Comparative Analysis of Model Performance for Coffee Discrimination Using Hyperspectral Imaging and Machine Learning: Impact of Preprocessing and Variable Selection

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Introduction

This document evaluates the performance of various classification models in detecting Arabica coffee adulteration with Robusta. The analysis focuses on key factors affecting model performance, including:

- The type of classification model used.
- Variable or wavelength selection methods, such as Boruta and Genetic Algorithms (GA).
- The impact of spectral preprocessing techniques.
- Comparison of models trained on balanced versus imbalanced datasets.

The models assessed in this study include Linear Discriminant Analysis (LDA), k-Nearest Neighbors (KNN), Support Vector Machines (SVM), Random Forest (RF), Neural Networks, and ensemble methods. The ensemble models were constructed using a stacking approach, where all the individual models served as base learners, and Random Forest acted as the meta-learner. Model performance was evaluated using the Matthews Correlation Coefficient (MCC), a robust metric particularly suitable for imbalanced data sets.

Load Packages

```
suppressWarnings(suppressMessages({
 library(readxl)
 library(readr)
 library(tidyverse)
 library(car)
 library(PMCMRplus)
 library(ggplot2)
 library(tidyr)
 library(dplyr)
 library(skimr)
 library(janitor)
 library(lattice)
 library(dunn.test)
 library(ARTool)
 library(emmeans)
 library(ggpubr)
}))
```

Part 1: Assess Model Performance (External Validation Set): Based on type of model, variable selection & spectral pre-processing

Load and Visualize Data

```
df <- read_excel('summary_model_results_classification_ground _coffee.xlsx',sheet = 'Model')
head(df)</pre>
```

```
# A tibble: 6 × 11
 Model Pre_processing Wav_Selec_Reduction Unbalanced_Data_MCC Balanced Data MCC
  <chr> <chr>
                     <chr>>
                                                      <dbl>
                                                                       <dbl>
1 k-NN Unprocessed Full _Spectra_PCA
                                                       0.5
                                                                        0.1
2 k-NN SNV+SG+1D Full _Spectra_PCA
                                                                        0.87
                                                      0.86
3 k-NN SNV+SG+2D
                   Full Spectra PCA
                                                       0.94
                                                                        0.94
4 k-NN MSC+SG+1D
                    Full _Spectra_PCA
                                                       0.9
                                                                        0.89
5 k-NN MSC+SG+2D Full _Spectra_PCA
                                                       0.94
                                                                        0.9
6 LDA Unprocessed Full _Spectra_PCA
                                                                        0.53
# i 6 more variables: Imb_Balanced_Accuracy <dbl>, Bal_Balanced_Accuracy <dbl>,
   Imbal_Specificty <dbl>, Bal_Specificty <dbl>, Imbal_Sensitivity <dbl>,
   Bal_Sensitivity <dbl>
```

```
# Change the independent variables to factors

df$Model <- as.factor(df$Model)

df$Pre_processing <- as.factor(df$Pre_processing)

df$Wav_Selec_Reduction <- as.factor(df$Wav_Selec_Reduction)

df$Imb_Balanced_Accuracy <- as.numeric(df$Imb_Balanced_Accuracy)

df$Bal_Balanced_Accuracy <- as.numeric(df$Bal_Balanced_Accuracy)

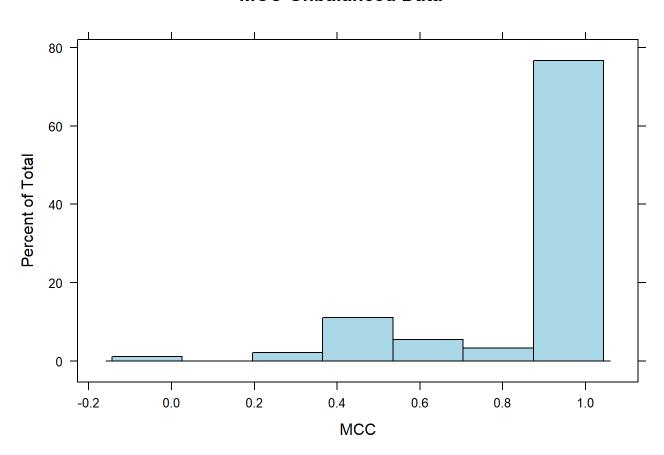
# column names

colnames(df)</pre>
```

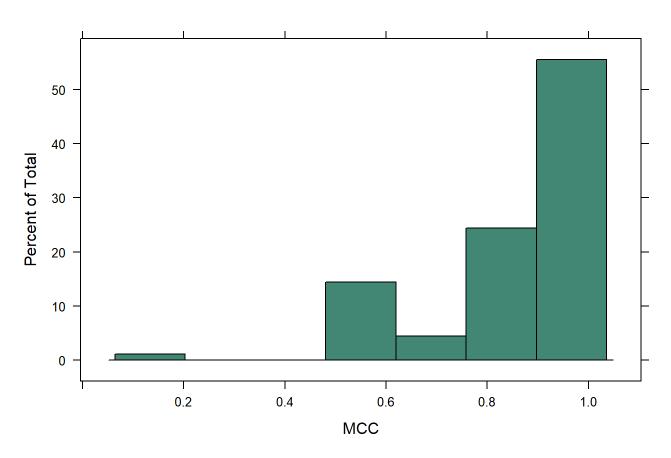
Check Distribution Using Histograms

```
# Unbalanced data
histogram(df$Unbalanced_Data_MCC,
    main = 'MCC-Unbalanced Data', xlab = 'MCC',
    cex.main = 1,col = 'lightblue')
```

MCC-Unbalanced Data

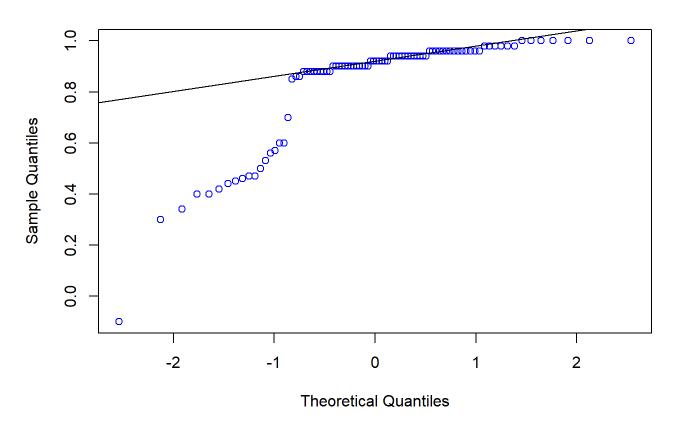


MCC-Balanced Data



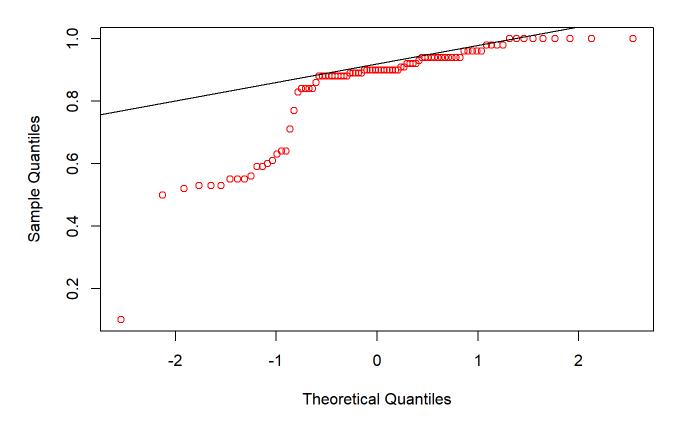
```
qqnorm(df$Unbalanced_Data_MCC,
    main = 'Q-Q Plot MCC Unbalanced Data', col = "blue") # Unbalanced Data
qqline(df$Unbalanced_Data_MCC)
```

Q-Q Plot MCC Unbalanced Data



```
qqnorm(df$Balanced_Data_MCC,
    main = 'Q-Q Plot MCC Balanced Data', col = "red") # Unbalanced Data
qqline(df$Unbalanced_Data_MCC)
```

Q-Q Plot MCC Balanced Data



• Based on the histograms and quantile plots, the data is not normally distributed. This can be verified further by the **Shapiro-Wilke Test**.

Test for Normality

```
shapiro.test(df$Unbalanced_Data_MCC) # for imbalanced data
```

Shapiro-Wilk normality test

data: df\$Unbalanced_Data_MCC
W = 0.68233, p-value = 1.176e-12

shapiro.test(df\$Balanced_Data_MCC) # Balanced Data

Shapiro-Wilk normality test

```
data: df$Balanced_Data_MCC
W = 0.75413, p-value = 5.711e-11
```

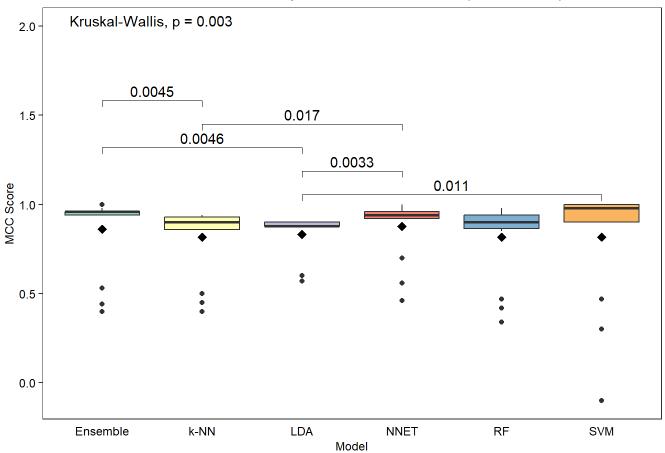
```
# Run ANOVA and check the normality of residuals # Unbalanced Data
 aov model 1 <- aov(Unbalanced Data MCC~Model, data = df)
 # Check assumptions
 shapiro.test(residuals(aov_model_1)) # Normality
    Shapiro-Wilk normality test
data: residuals(aov_model_1)
W = 0.68617, p-value = 1.423e-12
 leveneTest(Unbalanced_Data_MCC~Model, data = df) # Variance homogeneity
Levene's Test for Homogeneity of Variance (center = median)
     Df F value Pr(>F)
group 5 0.4898 0.783
      84
 ## Balanced (SMOTE)
 aov_model_2 <- aov(Balanced_Data_MCC~Model, data = df)</pre>
 shapiro.test(residuals(aov_model_2)) # Normality
    Shapiro-Wilk normality test
data: residuals(aov_model_2)
W = 0.74333, p-value = 3.043e-11
 leveneTest(Balanced_Data_MCC~Model, data = df)
Levene's Test for Homogeneity of Variance (center = median)
     Df F value Pr(>F)
group 5 0.1554 0.9778
      84
 colnames(df)
                            "Pre_processing"
[1] "Model"
                                                    "Wav Selec Reduction"
[4] "Unbalanced_Data_MCC"
                            "Balanced Data MCC"
                                                    "Imb Balanced Accuracy"
[7] "Bal_Balanced_Accuracy"
```

• The results of the Shapiro-Wilk test (for the residuals) are statistically significant (p < 0.05), providing strong evidence against the assumption of normality. This indicates that the data are not normally distribute. We will therefore consider non-parametric alternatives!

Check Performance based on Type of Model

• This section investigates the models k-NN, LDA, SVM, NNET, Random Forest and stacked models

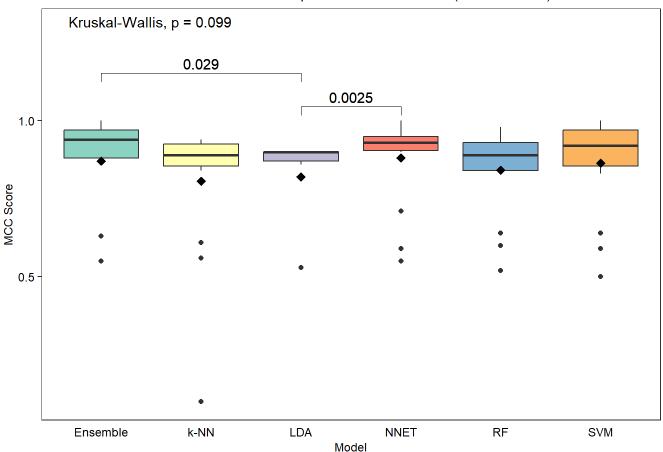
```
# Visualize with box plots
my_comparisons <- list(c('SVM','LDA'),c('NNET','LDA'),c('Ensemble','LDA'),</pre>
                       c('NNET','k-NN'),c('Ensemble','k-NN'))
# Imbalanced Data
ggplot(data = df, aes(x = Model, y = Unbalanced_Data_MCC))+
  geom_boxplot(aes(fill = Model))+
  labs(title = 'Model Performance Comparison for Imbalanced Data (Ground Coffee)')+
 ylab('MCC Score')+
  theme_bw()+
  theme(
    axis.title.x = element_text(color = "black", size = 9),
    axis.text.x = element_text(color = "black", size = 9),
    axis.ticks.x = element_blank(),
    axis.text.y = element_text(color = "black", size = 9),
    axis.title.y = element_text(color = "black", size = 9),
    panel.grid = element_blank(),
    plot.title = element_text(hjust = 0.5, color = 'black', size = 10),
    legend.position = 'none'
  )+
  scale_fill_brewer(palette = "Set3", name = 'Model')+
  stat_summary(fun=mean, geom="point", shape=18, size=3, color="black")+
  stat_compare_means(comparisons = my_comparisons)+
  stat_compare_means(label.y = max(df$Unbalanced_Data_MCC) * 2)
```



```
ggsave("Model_No_SMOTE.png", width = 6, height = 4, dpi = 600, bg = "white")
```

```
axis.title.y = element_text(color = "black", size = 9),
  plot.title = element_text(hjust = 0.5, color = 'black', size = 10),
  panel.grid = element_blank(),
  legend.position = 'none'
)+
scale_fill_brewer(palette = "Set3", name = 'Model')+
stat_summary(fun=mean, geom="point", shape=18, size=3, color="black")+
stat_compare_means(comparisons = my_comparisons)+
stat_compare_means(comparisons = my_comparisons)+
stat_compare_means(label.y = max(df$Balanced_Data_MCC) * 1.3)
```

Model Performance Comparison for SMOTE Data (Ground Coffee)



```
ggsave("Model_SMOTE.png", width = 6, height = 4, dpi = 600, bg = "white")

spec <- df %>% dplyr::select(Model,Bal_Specificty) %>% group_by(Model) %>%
summarise(Mean_Specificity = mean(Bal_Specificty)) %>% arrange(Mean_Specificity)
```

spec

```
3 Ensemble 91.9
4 SVM 91.9
5 NNET 95.6
6 LDA 98.5
```

Perform Kruskall-Wallis Test for Model Types

Imbalanced Data Set - Kruskall-Wallis Test

```
kruskal.test(Unbalanced_Data_MCC~Model, data= df)
```

```
Kruskal-Wallis rank sum test

data: Unbalanced_Data_MCC by Model

Kruskal-Wallis chi-squared = 17.954, df = 5, p-value = 0.003005
```

Balanced Data Set - Kruskall-Wallis Test

```
kruskal.test(Balanced_Data_MCC~Model, data= df)
```

```
Kruskal-Wallis rank sum test

data: Balanced_Data_MCC by Model
Kruskal-Wallis chi-squared = 9.2537, df = 5, p-value = 0.09936
```

• There is statistical difference in performance for models trained with unbalanced data. We will examine the differences using the **Dunn Test** and **Bonferroni correction**, and later by GLM.

Checking for differences in models trained on Unbalanced Data

```
dunn.test(df$Unbalanced_Data_MCC, df$Model, method = 'bonferroni')
```

```
alpha = 0.05
Reject Ho if p <= alpha/2</pre>
```

SVM

• These comparisons indicate that the MCC values for LDA models differ significantly from those of the Ensemble and SVM models.

Check Performance based on Variable Selection

• The models were trained using the full spectra (224 variables) and feature selection methods, including Genetic Algorithm, Recursive Feature Elimination, and Boruta. Due to high collinearity among variables, further dimensionality reduction was performed using Principal Component Analysis (PCA).

```
dunn.test(df$Balanced_Data_MCC, df$Model, method = 'bonferroni')
```

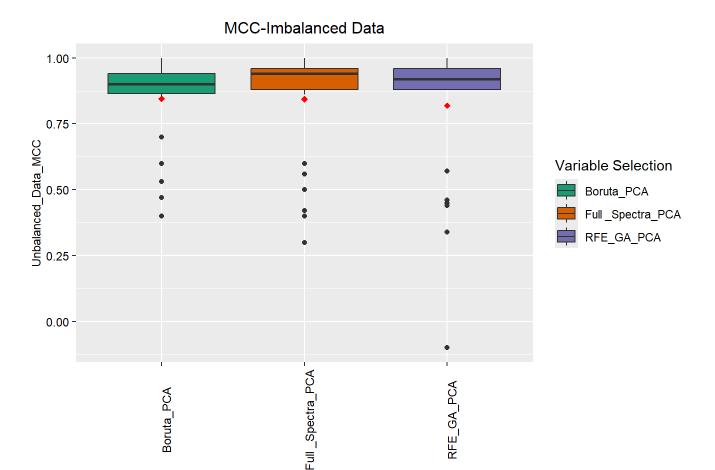
```
Kruskal-Wallis rank sum test
data: x and group
Kruskal-Wallis chi-squared = 9.2537, df = 5, p-value = 0.1
                        Comparison of x by group
                             (Bonferroni)
Col Mean-
Row Mean
           Ensemble
                        K-NN
                                  LDA
                                            NNET
   k-NN
           1.648984
            0.7436
    I DA I
           2.055967 0.406983
             0.2984 1.0000
   NNET
          -0.263135 -1.912120 -2.319103
            1.0000 0.4190
                              0.1529
     RF |
          1.413916 -0.235067 -0.642051 1.677052
            1.0000 1.0000
                              1.0000
                                           0.7015
```

0.343830 -1.305153 -1.712136 0.606966 -1.070085

```
alpha = 0.05
Reject Ho if p <= alpha/2
dunn.test(df$Bal_Specificty, df$Model, method = 'bonferroni')
 Kruskal-Wallis rank sum test
data: x and group
Kruskal-Wallis chi-squared = 16.4697, df = 5, p-value = 0.01
                         Comparison of x by group
                              (Bonferroni)
Col Mean-
                        k-NN
                                  LDA
Row Mean
          Ensemble
                                            NNET
                                                        RF
   k-NN | -0.502557
            1.0000
    LDA |
          -2.344047 -1.841489
            0.1431
                       0.4916
   NNET |
          -1.137174 -0.634616
                               1.206872
            1.0000 1.0000
                                1.0000
     RF |
          1.478327 1.980885 3.822374
                                        2.615502
            1.0000
                      0.3570
                               0.0010*
                                           0.0668
    SVM
            1.0000
                    1.0000
                               0.1208
                                           1.0000
                                                     1.0000
alpha = 0.05
Reject Ho if p <= alpha/2
# Visualize with box plots
# Imbalanced Data
df %>% ggplot(aes(x = Wav_Selec_Reduction, y = Unbalanced_Data_MCC))+
  geom_boxplot(aes(fill = Wav_Selec_Reduction))+
  labs(title = 'MCC-Imbalanced Data')+
  theme(axis.title.x = element_text(color = "black", size= 9),
        axis.text.x = element_text(color = "black", angle = 90, size= 9),
        axis.text.y = element_text(color = "black", size =9),
        axis.title.y = element_text(color = "black",size =9),
        plot.title = element_text(hjust = 0.5, color = 'black', size = 12))+
  scale_fill_brewer(palette = "Dark2", name = 'Variable Selection')+
  stat_summary(fun=mean, geom="point", shape=18, size=2, color="red")
```

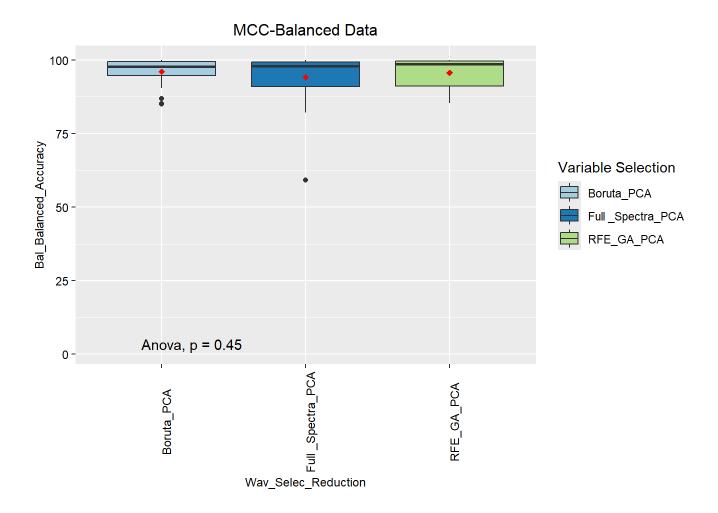
1.0000 1.0000 0.6515 1.0000

1.0000



Wav_Selec_Reduction

```
# Visualize with box plots
# Balanced Data
my_comparisons <- list(c('Boruta_PCA', 'Full_Spectra_PCA'),</pre>
                       c('Full_Spectra_PCA','RFE_GA_PCA'))
df %>% ggplot(aes(x = Wav_Selec_Reduction, y = Bal_Balanced_Accuracy))+
  geom_boxplot(aes(fill = Wav_Selec_Reduction))+
  labs(title = 'MCC-Balanced Data')+
  theme(axis.title.x = element_text(color = "black", size= 9),
        axis.text.x = element_text(color = "black", angle = 90, size= 9),
        axis.text.y = element_text(color = "black",size =9),
        axis.title.y = element_text(color = "black", size =9),
        plot.title = element text(hjust = 0.5, color = 'black', size = 12))+
  scale_fill_brewer(palette = "Paired", name = 'Variable Selection')+
  stat_summary(fun=mean, geom="point", shape=18, size=2, color="red")+
  stat_compare_means(comparisons = my_comparisons)+
  stat_compare_means(method = 'anova', label.y = max(df$Balanced_Data_MCC) * 1.5)
```



Perform Kruskall-Wallis Test for Variable Selection

Imbalanced Data Set - Kruskall-Wallis Test

```
kruskal.test(Unbalanced_Data_MCC~Wav_Selec_Reduction, data= df)
```

Kruskal-Wallis rank sum test

```
data: Unbalanced_Data_MCC by Wav_Selec_Reduction
Kruskal-Wallis chi-squared = 0.74402, df = 2, p-value = 0.6893
```

```
kruskal.test(Balanced_Data_MCC~Wav_Selec_Reduction, data= df)
```

Kruskal-Wallis rank sum test

```
data: Balanced_Data_MCC by Wav_Selec_Reduction
Kruskal-Wallis chi-squared = 0.4891, df = 2, p-value = 0.7831
```

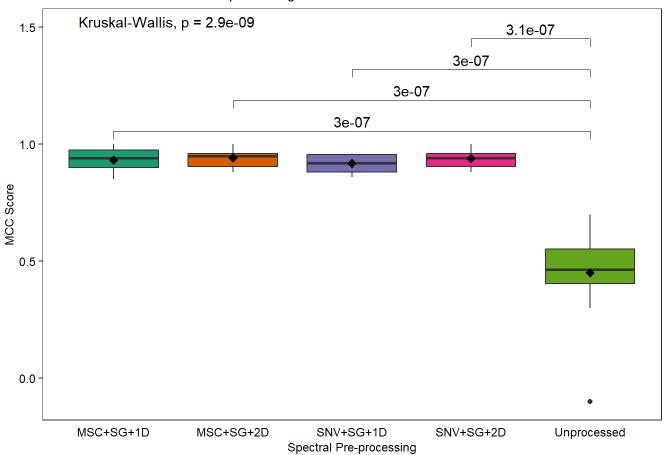
• There is no statistically significant difference in model performance based on variable selection methods (p > 0.05). This indicates that these techniques effectively identify variables or regions with useful

information without compromising model performance. This will, however, be investigated further by General Linear Model (GLM).

Check Performance based on spectral preprocessing methods

• The hyperspectral imaging spectra were pre-processed using various techniques, including Standard Normal Variate (SNV) and Multiplicative Scatter Correction (MSC), combined with Savitzky-Golay filtering and derivatives. Unprocessed spectra were used as the baseline for comparison.

```
# Visualize with box plots
# Imbalanced Data
my_comparisons <- list(</pre>
 c('MSC+SG+1D', 'Unprocessed'),
 c('MSC+SG+2D', 'Unprocessed'),
 c('SNV+SG+1D', 'Unprocessed'),
  c('SNV+SG+2D', 'Unprocessed')
df %>% ggplot(aes(x = Pre_processing, y = Unbalanced_Data_MCC))+
  geom_boxplot(aes(fill = Pre_processing))+
  labs(title = 'Preprocessing-Imbalanced Data Ground Coffee',x = 'Spectral Pre-processing')+
 ylab('MCC Score')+
 theme_bw()+
 theme(
    axis.title.x = element_text(color = "black", size = 9),
    axis.text.x = element_text(color = "black", size = 9),
    axis.ticks.x = element_blank(),
    axis.text.y = element_text(color = "black", size = 9),
    axis.title.y = element_text(color = "black", size = 9),
    plot.title = element_text(hjust = 0.5, color = 'black', size = 10),
    panel.grid = element_blank(),
    legend.position = 'none')+
  scale_fill_brewer(palette = "Dark2", name = 'Preprocessing')+
  stat_summary(fun=mean, geom="point", shape=18, size=3, color="black")+
  stat_compare_means(comparisons = my_comparisons)+
  stat_compare_means(method = 'kruskal.test', label.y = max(df$Unbalanced_Data_MCC) * 1.5)
```

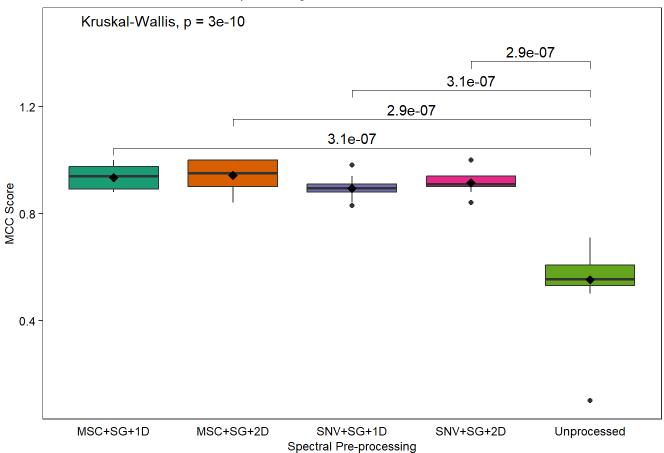


```
ggsave("MCC_Imbalanced_Data_Preprocessing.png", width = 6, height = 4, dpi = 600, bg = "white")
```

```
# Visualize with box plots
my_comparisons <- list(</pre>
 c('MSC+SG+1D', 'Unprocessed'),
 c('MSC+SG+2D', 'Unprocessed'),
 c('SNV+SG+1D', 'Unprocessed'),
  c('SNV+SG+2D', 'Unprocessed')
df %>% ggplot(aes(x = Pre_processing, y = Balanced_Data_MCC))+
  geom_boxplot(aes(fill = Pre_processing))+
  labs(title = 'Preprocessing-SMOTE Data Ground Coffee', x = 'Spectral Pre-processing')+
 ylab('MCC Score')+
 theme bw()+
 theme(
    axis.title.x = element_text(color = "black", size = 9),
    axis.text.x = element_text(color = "black", size = 9),
    axis.ticks.x = element_blank(),
    axis.text.y = element_text(color = "black", size = 9),
    axis.title.y = element_text(color = "black", size = 9),
    plot.title = element_text(hjust = 0.5, color = 'black', size = 10),
    panel.grid = element_blank(),
```

```
legend.position = 'none')+
scale_fill_brewer(palette = "Dark2", name = 'Preprocessing')+
stat_summary(fun=mean, geom="point", shape=18, size=3, color="black")+
stat_compare_means(comparisons = my_comparisons)+
stat_compare_means(label.y = max(df$Unbalanced_Data_MCC) * 1.5)
```

Preprocessing-SMOTE Data Ground Coffee



```
ggsave("MCC_SMOTE_Data_Preprocessing.png", width = 6, height = 4, dpi = 600, bg = "white")
```

Perform Kruskall-Wallis Test for Spectral Preprocessing Techniques

Imbalanced Data Set - Kruskall-Wallis Test

```
kruskal.test(Unbalanced_Data_MCC~Pre_processing, data= df)
```

```
data: Unbalanced_Data_MCC by Pre_processing
Kruskal-Wallis chi-squared = 45.651, df = 4, p-value = 2.911e-09
```

Balanced Data Set - Kruskall-Wallis Test

Kruskal-Wallis rank sum test

```
kruskal.test(Balanced_Data_MCC~Pre_processing, data= df)
```

Kruskal-Wallis rank sum test

```
data: Balanced_Data_MCC by Pre_processing
Kruskal-Wallis chi-squared = 50.387, df = 4, p-value = 2.997e-10
```

• Spectral preprocessing significantly (p < 0.05) influences the performance of models.

Checking for differences in pre-processing techniques for Unbalanced Data

```
dunn.test(df$Unbalanced_Data_MCC, df$Pre_processing, method = 'bonferroni')
 Kruskal-Wallis rank sum test
data: x and group
Kruskal-Wallis chi-squared = 45.6511, df = 4, p-value = 0
                         Comparison of x by group
                              (Bonferroni)
Col Mean-
          MSC+SG+1 MSC+SG+2 SNV+SG+1 SNV+SG+2
Row Mean
MSC+SG+2 | -0.632190
            1.0000
SNV+SG+1
            0.792645
                     1.424836
            1.0000
                      0.7710
SNV+SG+2
          -0.442854 0.189336 -1.235500
             1.0000
                     1.0000
                                1.0000
Unproces
            5.128127 5.760318 4.335482
                                          5.570982
            0.0000*
                     0.0000* 0.0001*
                                         0.0000*
alpha = 0.05
Reject Ho if p <= alpha/2
```

Checking for differences in pre-processing techniques for Balanced Data

```
dunn.test(df$Balanced_Data_MCC, df$Pre_processing, method = 'bonferroni')
```

```
data: x and group
Kruskal-Wallis chi-squared = 50.3874, df = 4, p-value = 0
```

Kruskal-Wallis rank sum test

```
Comparison of x by group (Bonferroni)
```

Models trained on spectra pre-processed using SNV+SG+1st derivative, SNV+SG+2nd derivative,
 MSC+SG+1st derivative, and MSC+SG+2nd derivative significantly outperformed those trained on raw, unprocessed spectra.

Does training on either imbalanced or Balanced Data set affect model performance?

We will use Mann-Whitney U Test to check for differences in performance based on MCC and balanced accuracy.

```
df_bal <- read_excel('summary_model_results_classification_ground _coffee.xlsx', sheet='data_balan
df_bal$data_balance <- as.factor(df_bal$data_balance)
df_bal$Model <- as.factor(df_bal$Model)
df_bal$Preprocessing<- as.factor(df_bal$Preprocessing)</pre>
```

```
# Define statistical comparisons
my_comparisons <- list(c( "SMOTE", "No_SMOTE"))

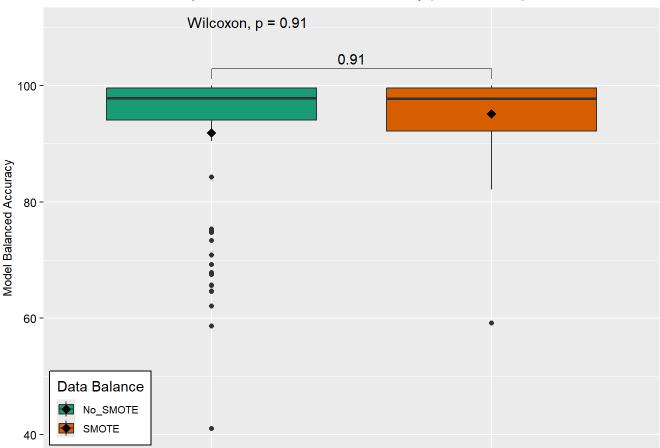
# Create Boxplot

df_bal %>% ggplot(aes(x = data_balance, y = Balanced_Accuracy, fill = data_balance)) +
    geom_boxplot() +
    labs(title = "Impact of SMOTE on Balanced Accuracy (Ground Coffee)",
        y = "Model Balanced Accuracy")+
    theme(
        axis.title.x = element_blank(),
        axis.text.x = element_blank(),
        axis.ticks.x = element_blank(),
```

```
axis.text.y = element_text(color = "black", size = 9),
axis.title.y = element_text(color = "black", size = 9),
plot.title = element_text(hjust = 0.5, color = 'black', size = 10),
legend.position = c(0.01, 0.009),
legend.justification = c(0, 0),
legend.background = element_rect(fill = "white", color = "black"),
legend.key.size = unit(0.5, "cm"),
legend.text = element_text(size = 8)
)+
scale_fill_brewer(palette = "Dark2", name = 'Data Balance')+
stat_summary(fun=mean, geom="point", shape=18, size=3, color="black")+
stat_compare_means(comparisons = my_comparisons)+
stat_compare_means(label.y = max(df_bal$Balanced_Accuracy) * 1.1)
```

Warning: A numeric `legend.position` argument in `theme()` was deprecated in ggplot2
3.5.0.
i Please use the `legend.position.inside` argument of `theme()` instead.

Impact of SMOTE on Balanced Accuracy (Ground Coffee)

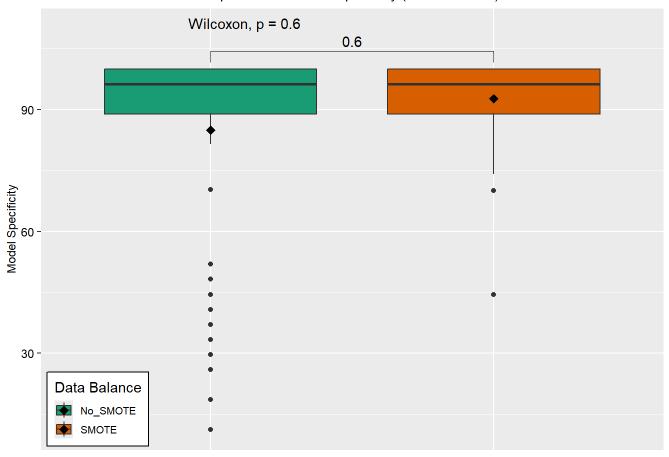


```
ggsave("SMOTE_Data_Balance_Ground_Coffee.png", width = 6, height = 4, dpi = 600, bg = "white")
```

```
# Define statistical comparisons
my_comparisons <- list(c("No_SMOTE", "SMOTE"))</pre>
```

```
# Create Boxplot
df_bal %>% ggplot(aes(x = data_balance, y = Specificity, fill = data_balance)) +
  geom boxplot() +
  labs(title = "Impact of SMOTE on Specificity (Ground Coffee)",
       y = "Model Specificity")+
theme(
    axis.title.x = element_blank(),
    axis.text.x = element_blank(),
    axis.ticks.x = element_blank(),
    axis.text.y = element_text(color = "black", size = 9),
    axis.title.y = element_text(color = "black", size = 9),
    plot.title = element_text(hjust = 0.5, color = 'black', size = 10),
    legend.position = c(0.01, 0.009),
    legend.justification = c(0, 0),
    legend.background = element_rect(fill = "white", color = "black"),
    legend.key.size = unit(0.5, "cm"),
    legend.text = element_text(size = 8)
  )+
  scale_fill_brewer(palette = "Dark2", name = 'Data Balance')+
  stat_summary(fun=mean, geom="point", shape=18, size=3, color="black")+
  stat_compare_means(comparisons = my_comparisons)+
  stat_compare_means(label.y = max(df_bal$Balanced_Accuracy) * 1.1)
```

Impact of SMOTE on Specificity (Ground Coffee)



```
#ggsave("SMOTE_Data_Balance_Ground_Coffee.png", width = 6, height = 4, dpi = 600, bg = "white")
```

```
# Mean for specifity
spec_smote <- df_bal$Specificity[df_bal$data_balance=='SMOTE']
mean(spec_smote)</pre>
```

[1] 92.71667

```
spec_no_smote <- df_bal$Specificity[df_bal$data_balance=='No_SMOTE']
mean(spec_no_smote)</pre>
```

[1] 84.98

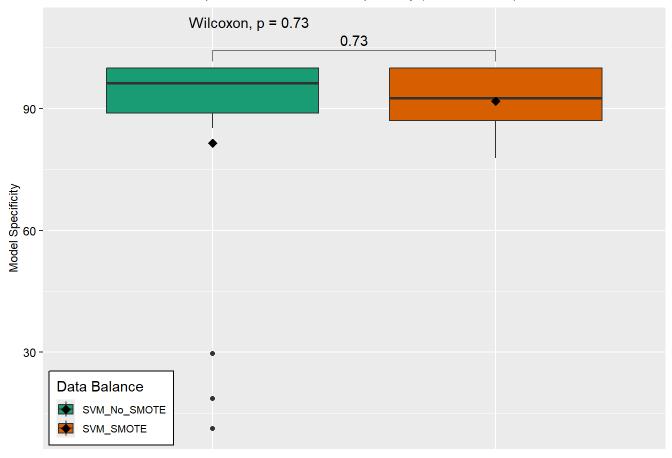
Check model performance with and without SMOTE

```
# SVM ModeL
svm <- df_bal %>%
  filter(Model %in% c('SVM_No_SMOTE', 'SVM_SMOTE')) %>%
  dplyr::select(Model, MCC, Balanced_Accuracy, Specificity)
head(svm)
```

```
# A tibble: 6 × 4
 Model
         MCC Balanced_Accuracy Specificity
                                    <dbl>
 <fct> <dbl>
                            <dbl>
1 SVM_No_SMOTE 0.3
                            58.7
                                      18.5
2 SVM_No_SMOTE 0.94
                            96.2
                                      92.6
                                     100
3 SVM_No_SMOTE 1
                           100
                                      96.3
4 SVM_No_SMOTE 0.98
                            98.1
5 SVM_No_SMOTE 0.98
                            99.9
                                     100
6 SVM_No_SMOTE -0.1
                             41
                                      11.1
```

```
legend.justification = c(0, 0),
legend.background = element_rect(fill = "white", color = "black"),
legend.key.size = unit(0.5, "cm"),
legend.text = element_text(size = 8)
)+
scale_fill_brewer(palette = "Dark2", name = 'Data Balance')+
stat_summary(fun=mean, geom="point", shape=18, size=3, color="black")+
stat_compare_means(comparisons = my_comparisons)+
stat_compare_means(label.y = max(df_bal$Specificity) * 1.1)
```

Impact of SVM-SMOTE on Specificity (Ground Coffee)



```
# LDA

lda <- df_bal %>%
  filter(Model %in% c('LDA_No_SMOTE', 'LDA_SMOTE')) %>%
  dplyr::select(Model, MCC, Balanced_Accuracy, Specificity)

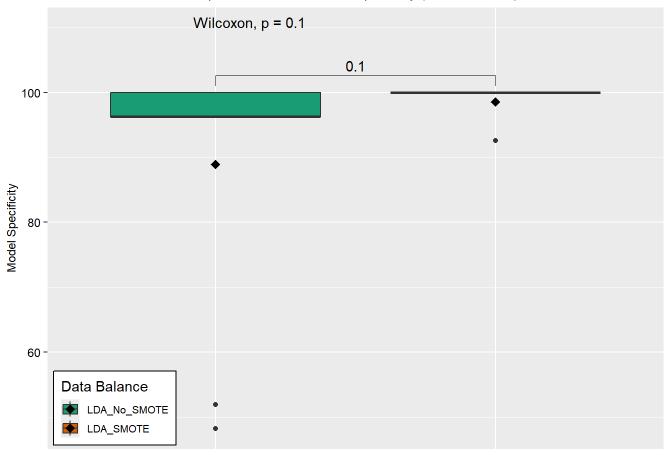
# Define statistical comparisons
my_comparisons <- list(c('LDA_No_SMOTE', 'LDA_SMOTE'))

# Create Boxplot

lda %>% ggplot(aes(x = Model, y = Specificity, fill = Model)) +
```

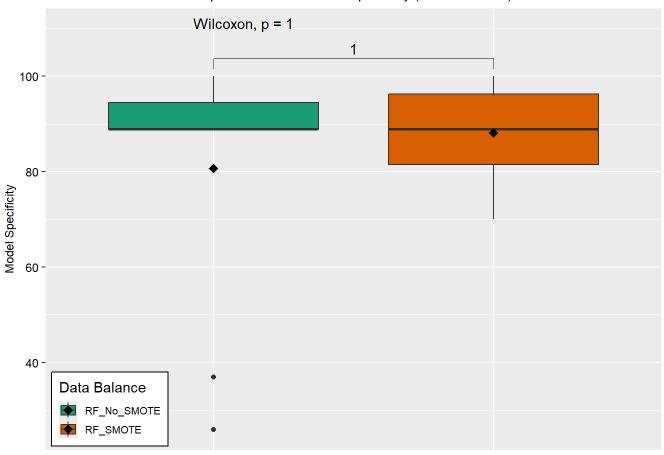
```
geom_boxplot() +
 labs(title = "Impact of LDA-SMOTE on Specificity (Ground Coffee)",
      y = "Model Specificity")+
theme(
   axis.title.x = element_blank(),
   axis.text.x = element_blank(),
   axis.ticks.x = element_blank(),
   axis.text.y = element_text(color = "black", size = 9),
   axis.title.y = element_text(color = "black", size = 9),
   plot.title = element_text(hjust = 0.5, color = 'black', size = 10),
   legend.position = c(0.01, 0.009),
   legend.justification = c(0, 0),
   legend.background = element_rect(fill = "white", color = "black"),
   legend.key.size = unit(0.5, "cm"),
   legend.text = element_text(size = 8)
 )+
 scale_fill_brewer(palette = "Dark2", name = 'Data Balance')+
 stat_summary(fun=mean, geom="point", shape=18, size=3, color="black")+
 stat_compare_means(comparisons = my_comparisons)+
 stat_compare_means(label.y = max(df_bal$Balanced_Accuracy) * 1.1)
```

Impact of LDA-SMOTE on Specificity (Ground Coffee)



```
filter(Model %in% c('RF_No_SMOTE', 'RF_SMOTE')) %>%
  dplyr::select(Model, MCC, Balanced_Accuracy, Specificity)
# Define statistical comparisons
my_comparisons <- list(c('RF_No_SMOTE', 'RF_SMOTE'))</pre>
# Create Boxplot
rf %>% ggplot(aes(x = Model, y = Specificity, fill = Model)) +
  geom_boxplot() +
  labs(title = "Impact of RF-SMOTE on Specificity (Ground Coffee)",
       y = "Model Specificity")+
theme(
    axis.title.x = element_blank(),
    axis.text.x = element_blank(),
    axis.ticks.x = element blank(),
    axis.text.y = element_text(color = "black", size = 9),
    axis.title.y = element_text(color = "black", size = 9),
    plot.title = element_text(hjust = 0.5, color = 'black', size = 10),
    legend.position = c(0.01, 0.009),
    legend.justification = c(0, 0),
    legend.background = element rect(fill = "white", color = "black"),
   legend.key.size = unit(0.5, "cm"),
    legend.text = element_text(size = 8)
  scale_fill_brewer(palette = "Dark2", name = 'Data Balance')+
  stat_summary(fun=mean, geom="point", shape=18, size=3, color="black")+
  stat_compare_means(comparisons = my_comparisons)+
  stat_compare_means(label.y = max(df_bal$Balanced_Accuracy) * 1.1)
```

Impact of RF-SMOTE on Specificity (Ground Coffee)

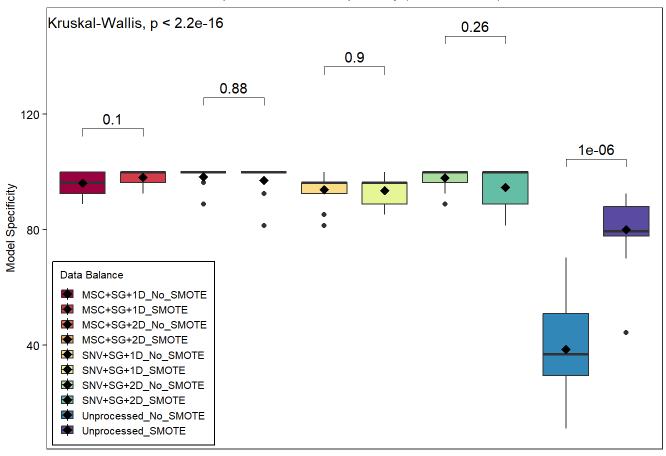


Check preprocessing and SMOTE

```
# Define statistical comparisons
my_comparisons <- list(c('Unprocessed_No_SMOTE', 'Unprocessed_SMOTE'),</pre>
                       c('MSC+SG+1D_No_SMOTE', 'MSC+SG+1D_SMOTE'),
                       c('MSC+SG+2D_No_SMOTE', 'MSC+SG+2D_SMOTE'),
                       c('SNV+SG+1D_No_SMOTE', 'SNV+SG+1D_SMOTE'),
                       c('SNV+SG+2D_No_SMOTE', 'SNV+SG+2D_SMOTE'))
# Create Box plot
df_bal %>% ggplot(aes(x = Preprocessing, y = Specificity, fill = Preprocessing)) +
 geom_boxplot() +
  labs(title = "Impact of SMOTE on Specificity (Ground Coffee)",
       y = "Model Specificity")+
theme_bw()+
 theme(
    axis.title.x = element_blank(),
    axis.text.x = element_blank(),
    axis.ticks.x = element_blank(),
    axis.text.y = element_text(color = "black", size = 9),
    axis.title.y = element_text(color = "black", size = 9),
    plot.title = element_text(hjust = 0.5, color = 'black', size = 10),
    panel.grid = element_blank(),
```

```
legend.position = c(0.01, 0.009),
legend.justification = c(0, 0),
legend.background = element_rect(fill = "white", color = "black"),
legend.key.size = unit(0.4, "cm"),
legend.text = element_text(size = 8),
legend.title = element_text(size = 8)
)+
scale_fill_brewer(palette = "Spectral", name = 'Data Balance')+
stat_summary(fun=mean, geom="point", shape=18, size=3, color="black")+
stat_compare_means(comparisons = my_comparisons)+
stat_compare_means(label.y = max(df_bal$Balanced_Accuracy) * 1.5)
```

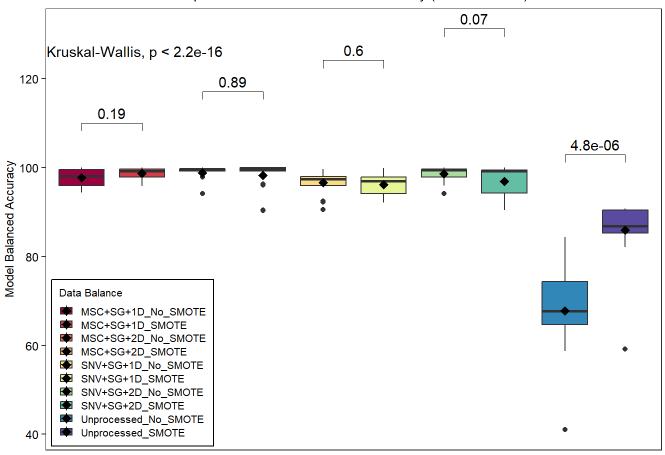
Impact of SMOTE on Specificity (Ground Coffee)



```
ggsave("SMOTE_Data_Preprocessing_Balance_Ground_Coffee_Specifity.png", width = 6, height = 4, dpi
```

```
# Create Box plot
df_bal %>% ggplot(aes(x = Preprocessing, y = Balanced_Accuracy, fill = Preprocessing)) +
  geom boxplot() +
  labs(title = "Impact of SMOTE on Balanced Accuracy (Ground Coffee)",
       y = "Model Balanced Accuracy")+
 theme bw()+
 theme(
    axis.title.x = element_blank(),
    axis.text.x = element_blank(),
    axis.ticks.x = element_blank(),
    axis.text.y = element text(color = "black", size = 9),
    axis.title.y = element_text(color = "black", size = 9),
    plot.title = element_text(hjust = 0.5, color = 'black', size = 10),
    panel.grid = element_blank(),
    legend.position = c(0.01, 0.009),
    legend.justification = c(0,0),
    legend.background = element_rect(fill = "white", color = "black"),
    legend.key.size = unit(0.4, "cm"),
    legend.text = element_text(size = 8),
    legend.title = element_text(size=8),
    legend.spacing.y = unit(0.1, 'lines'),
    legend.key.height = unit(0.7,'lines')
  )+
  scale fill brewer(palette = "Spectral", name = 'Data Balance')+
  stat_summary(fun=mean, geom="point", shape=18, size=3, color="black")+
  stat_compare_means(comparisons = my_comparisons)+
  stat_compare_means(label.y = max(df_bal$Balanced_Accuracy) * 1.25)
```

Impact of SMOTE on Balanced Accuracy (Ground Coffee)



```
ggsave("SMOTE_Data_Preprocessing_Balance_Ground_Coffee_Accuracy.png", width = 6, height = 4, dpi

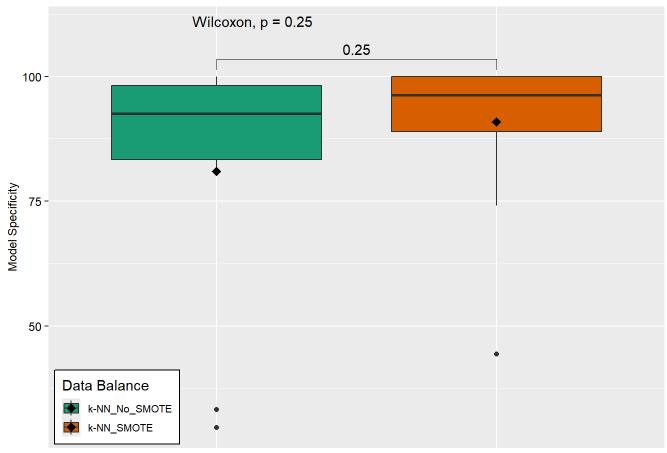
knn <- df_bal %>%
  filter(Model %in% c('k-NN_No_SMOTE', 'k-NN_SMOTE')) %>%
  dplyr::select(Model, MCC, Balanced_Accuracy, Specificity)
head(knn)

# A tibble: 6 × 4
```

```
MCC Balanced_Accuracy Specificity
 Model
  <fct>
                <dbl>
                                   <dbl>
                                                <dbl>
1 k-NN_No_SMOTE 0.5
                                                 29.6
                                    64.7
2 k-NN_No_SMOTE 0.86
                                    90.5
                                                 81.5
3 k-NN_No_SMOTE
                 0.94
                                    99.6
                                                100
4 k-NN_No_SMOTE
                 0.9
                                    95.9
                                                 92.6
5 k-NN_No_SMOTE
                                    99.6
                                                100
                 0.94
6 k-NN_No_SMOTE 0.45
                                    64.7
                                                 29.6
# Define statistical comparisons
my_comparisons <- list(c('k-NN_No_SMOTE', 'k-NN_SMOTE'))</pre>
```

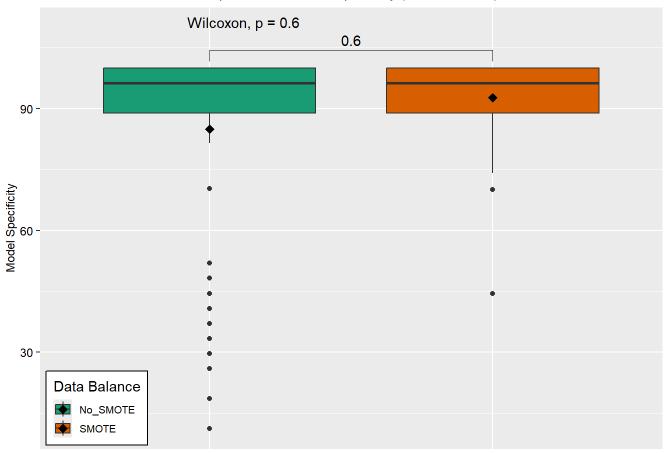
```
# Create Boxplot
knn %>% ggplot(aes(x = Model, y = Specificity, fill = Model)) +
  geom_boxplot() +
  labs(title = "Impact of SMOTE on Specificity (Ground Coffee)",
       y = "Model Specificity")+
theme(
    axis.title.x = element_blank(),
    axis.text.x = element_blank(),
    axis.ticks.x = element_blank(),
    axis.text.y = element_text(color = "black", size = 9),
    axis.title.y = element_text(color = "black", size = 9),
    plot.title = element_text(hjust = 0.5, color = 'black', size = 10),
    legend.position = c(0.01, 0.009),
    legend.justification = c(0, 0),
    legend.background = element_rect(fill = "white", color = "black"),
    legend.key.size = unit(0.5, "cm"),
    legend.text = element_text(size = 8)
  scale_fill_brewer(palette = "Dark2", name = 'Data Balance')+
  stat_summary(fun=mean, geom="point", shape=18, size=3, color="black")+
  stat_compare_means(comparisons = my_comparisons)+
  stat_compare_means(label.y = max(df_bal$Balanced_Accuracy) * 1.1)
```

Impact of SMOTE on Specificity (Ground Coffee)



```
# Define statistical comparisons
my_comparisons <- list(c("No_SMOTE", "SMOTE"))</pre>
# Create Boxplot
df_bal %>% ggplot(aes(x = data_balance, y = Specificity, fill = data_balance)) +
  geom_boxplot() +
  labs(title = "Impact of SMOTE on Specificity (Ground Coffee)",
       y = "Model Specificity")+
theme(
    axis.title.x = element_blank(),
    axis.text.x = element_blank(),
    axis.ticks.x = element_blank(),
    axis.text.y = element_text(color = "black", size = 9),
    axis.title.y = element_text(color = "black", size = 9),
    plot.title = element_text(hjust = 0.5, color = 'black', size = 10),
    legend.position = c(0.01, 0.009),
    legend.justification = c(0, 0),
    legend.background = element_rect(fill = "white", color = "black"),
    legend.key.size = unit(0.5, "cm"),
    legend.text = element_text(size = 8)
  )+
  scale_fill_brewer(palette = "Dark2", name = 'Data Balance')+
  stat_summary(fun=mean, geom="point", shape=18, size=3, color="black")+
  stat_compare_means(comparisons = my_comparisons)+
  stat_compare_means(label.y = max(df_bal$Balanced_Accuracy) * 1.1)
```

Impact of SMOTE on Specificity (Ground Coffee)



```
#ggsave("SMOTE_Data_Balance_Ground_Coffee.png", width = 6, height = 4, dpi = 600, bg = "white")
```

```
# Perform the Wilcoxon rank-sum test
# Based on MCC
overall_test <- wilcox.test(df$Unbalanced_Data_MCC, df$Balanced_Data_MCC)
print(overall_test)</pre>
```

Wilcoxon rank sum test with continuity correction

```
data: df$Unbalanced_Data_MCC and df$Balanced_Data_MCC W = 4373.5, p-value = 0.3532 alternative hypothesis: true location shift is not equal to 0
```

```
# Based on Balanced Accuracy
overall_bal_acc <- wilcox.test(df$Imb_Balanced_Accuracy, df$Bal_Balanced_Accuracy)
# Print the results
print(overall_bal_acc)</pre>
```

```
data: df$Imb_Balanced_Accuracy and df$Bal_Balanced_Accuracy
W = 4053.5, p-value = 0.9931
alternative hypothesis: true location shift is not equal to 0
```

• There is no statistical difference in balanced accuracy or MCC between the two groups although models trained with balanced data show improved balanced accuracy when tested on an external data set.

```
mean_imbalanced <- mean(df$Imb_Balanced_Accuracy)
mean_balanced <- mean(df$Bal_Balanced_Accuracy)

print(paste('The mean balanced accuracy for imbalanced dataset is', round(mean_imbalanced, 2), 'w</pre>
```

[1] "The mean balanced accuracy for imbalanced dataset is 91.9 while for the balanced dataset is 95.25"

Check SMOTE performance based on pre_processing

Verify with General Linear Model (GLM)

Fit GLM-Unbalanced Data

Call.

Coefficients:

		Estimate	Std. Error	t value	Pr(> t)	
	(Intercept)	0.964778	0.030153	31.997	<2e-16	***
	Modelk-NN	-0.044000	0.030153	-1.459	0.149	
	ModelLDA	-0.029333	0.030153	-0.973	0.334	
	ModelNNET	0.015333	0.030153	0.509	0.613	
	ModelRF	-0.044667	0.030153	-1.481	0.143	
	ModelSVM	-0.042667	0.030153	-1.415	0.161	
	Pre_processingMSC+SG+2D	0.010556	0.027525	0.383	0.702	
	Pre_processingSNV+SG+1D	-0.013889	0.027525	-0.505	0.615	
	Pre_processingSNV+SG+2D	0.008333	0.027525	0.303	0.763	
	Pre_processingUnprocessed	-0.481111	0.027525	-17.479	<2e-16	***
١	Wav_Selec_ReductionFull _Spectra_PCA	-0.001333	0.021321	-0.063	0.950	

```
Wav_Selec_ReductionRFE_GA_PCA -0.025333 0.021321 -1.188 0.238
---
Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1

(Dispersion parameter for gaussian family taken to be 0.006818832)

Null deviance: 3.95106 on 89 degrees of freedom
Residual deviance: 0.53187 on 78 degrees of freedom
AIC: -180.4

Number of Fisher Scoring iterations: 2
```

Pairwise comparisons-Unbalanced data

```
# Pairwise comparisons for Model
emmeans_model <- emmeans(glm_model, ~ Model)
pairs(emmeans_model, adjust = "bonferroni") # Bonferroni correction</pre>
```

```
contrast
                 estimate
                             SE df t.ratio p.value
Ensemble - (k-NN) 0.044000 0.0302 78 1.459 1.0000
Ensemble - LDA
               0.029333 0.0302 78 0.973 1.0000
Ensemble - NNET -0.015333 0.0302 78 -0.509 1.0000
Ensemble - RF 0.044667 0.0302 78 1.481 1.0000
Ensemble - SVM
                0.042667 0.0302 78 1.415 1.0000
(k-NN) - LDA
               -0.014667 0.0302 78 -0.486 1.0000
               -0.059333 0.0302 78 -1.968 0.7897
(k-NN) - NNET
(k-NN) - RF
                0.000667 0.0302 78 0.022 1.0000
(k-NN) - SVM
                -0.001333 0.0302 78 -0.044 1.0000
LDA - NNET
               -0.044667 0.0302 78 -1.481 1.0000
LDA - RF
                0.015333 0.0302 78 0.509 1.0000
LDA - SVM
                0.013333 0.0302 78 0.442 1.0000
NNET - RF
                0.060000 0.0302 78 1.990 0.7516
NNET - SVM
                0.058000 0.0302 78 1.924 0.8709
RF - SVM
                -0.002000 0.0302 78 -0.066 1.0000
```

Results are averaged over the levels of: Pre_processing, Wav_Selec_Reduction P value adjustment: bonferroni method for 15 tests

```
# Pairwise comparisons for Pre_processing
emmeans_preprocessing <- emmeans(glm_model, ~ Pre_processing)
pairs(emmeans_preprocessing, adjust = "bonferroni")</pre>
```

```
contrast estimate SE df t.ratio p.value (MSC+SG+1D) - (MSC+SG+2D) -0.01056 0.0275 78 -0.383 1.0000 (MSC+SG+1D) - (SNV+SG+1D) 0.01389 0.0275 78 0.505 1.0000 (MSC+SG+1D) - (SNV+SG+2D) -0.00833 0.0275 78 -0.303 1.0000 (MSC+SG+1D) - Unprocessed 0.48111 0.0275 78 17.479 <.0001 (MSC+SG+2D) - (SNV+SG+1D) 0.02444 0.0275 78 0.888 1.0000 (MSC+SG+2D) - (SNV+SG+2D) 0.00222 0.0275 78 0.081 1.0000
```

```
(MSC+SG+2D) - Unprocessed 0.49167 0.0275 78 17.862 <.0001
 (SNV+SG+1D) - (SNV+SG+2D) -0.02222 0.0275 78 -0.807 1.0000
 (SNV+SG+1D) - Unprocessed 0.46722 0.0275 78 16.974 <.0001
 (SNV+SG+2D) - Unprocessed 0.48944 0.0275 78 17.782 <.0001
Results are averaged over the levels of: Model, Wav Selec Reduction
P value adjustment: bonferroni method for 10 tests
 # Pairwise comparisons for Wav Selec Reduction
 emmeans_wave <- emmeans(glm_model, ~ Wav_Selec_Reduction)</pre>
 pairs(emmeans wave, adjust = "bonferroni")
 contrast
                           estimate
                                      SE df t.ratio p.value
 Boruta PCA - RFE GA PCA
                      0.02533 0.0213 78 1.188 0.7151
 Full Spectra PCA - RFE GA PCA 0.02400 0.0213 78 1.126 0.7913
Results are averaged over the levels of: Model, Pre processing
P value adjustment: bonferroni method for 3 tests
Fit GLM-Balanced Data
 glm_model_bal <- glm(Balanced_Data_MCC ~ Model + Pre_processing + Wav_Selec_Reduction,
               data = df,
               family = gaussian())
 summary(glm_model_bal)
Call:
glm(formula = Balanced Data MCC ~ Model + Pre processing + Wav Selec Reduction,
   family = gaussian(), data = df)
Coefficients:
                                Estimate Std. Error t value Pr(>|t|)
(Intercept)
                                Modelk-NN
                               ModelLDA
ModelNNET
                                0.009333 0.022645 0.412 0.68135
```

-0.030000 0.022645 -1.325 0.18910

-0.382222

-0.012667

0.020672 -18.490 < 2e-16 ***

0.016012 -2.373 0.02010 *

0.016012 -0.791 0.43130

ModelRF

ModelSVM

Pre_processingMSC+SG+2D Pre_processingSNV+SG+1D

Pre processingSNV+SG+2D

Pre_processingUnprocessed

Wav_Selec_ReductionRFE_GA_PCA

Wav Selec ReductionFull Spectra PCA -0.038000

Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1

```
(Dispersion parameter for gaussian family taken to be 0.00384584)
```

```
Null deviance: 2.38191 on 89 degrees of freedom Residual deviance: 0.29998 on 78 degrees of freedom
```

AIC: -231.94

Number of Fisher Scoring iterations: 2

```
# Pairwise comparisons for Model
emmeans_model_bal <- emmeans(glm_model_bal, ~ Model)
pairs(emmeans_model_bal, adjust = "bonferroni") # Bonferroni correction</pre>
```

```
contrast
                estimate
                            SE df t.ratio p.value
Ensemble - (k-NN) 0.06533 0.0226 78 2.885 0.0758
Ensemble - LDA
               0.05067 0.0226 78 2.237 0.4216
Ensemble - NNET -0.00933 0.0226 78 -0.412 1.0000
Ensemble - RF 0.03000 0.0226 78 1.325 1.0000
Ensemble - SVM
               0.00667 0.0226 78 0.294 1.0000
(k-NN) - LDA
                -0.01467 0.0226 78 -0.648 1.0000
(k-NN) - NNET
                -0.07467 0.0226 78 -3.297 0.0221
(k-NN) - RF
                -0.03533 0.0226 78 -1.560 1.0000
(k-NN) - SVM
                -0.05867 0.0226 78 -2.591 0.1714
LDA - NNET
                -0.06000 0.0226 78 -2.650 0.1463
LDA - RF
                -0.02067 0.0226 78 -0.913 1.0000
LDA - SVM
                -0.04400 0.0226 78 -1.943 0.8342
                0.03933 0.0226 78 1.737 1.0000
NNET - RF
NNET - SVM
                0.01600 0.0226 78 0.707 1.0000
                -0.02333 0.0226 78 -1.030 1.0000
RF - SVM
```

Results are averaged over the levels of: Pre_processing, Wav_Selec_Reduction P value adjustment: bonferroni method for 15 tests

```
# Pairwise comparisons for Pre_processing
emmeans_preprocessing_bal <- emmeans(glm_model_bal, ~ Pre_processing)
pairs(emmeans_preprocessing_bal, adjust = "bonferroni")</pre>
```

```
contrast estimate SE df t.ratio p.value (MSC+SG+1D) - (MSC+SG+2D) -0.00833 0.0207 78 -0.403 1.0000 (MSC+SG+1D) - (SNV+SG+1D) 0.03944 0.0207 78 1.908 0.6005 (MSC+SG+1D) - (SNV+SG+2D) 0.01889 0.0207 78 0.914 1.0000 (MSC+SG+1D) - Unprocessed 0.38222 0.0207 78 18.490 <.0001 (MSC+SG+2D) - (SNV+SG+1D) 0.04778 0.0207 78 2.311 0.2346 (MSC+SG+2D) - (SNV+SG+2D) 0.02722 0.0207 78 1.317 1.0000 (MSC+SG+2D) - Unprocessed 0.39056 0.0207 78 18.893 <.0001 (SNV+SG+1D) - (SNV+SG+2D) -0.02056 0.0207 78 -0.994 1.0000 (SNV+SG+1D) - Unprocessed 0.34278 0.0207 78 16.582 <.0001 (SNV+SG+2D) - Unprocessed 0.36333 0.0207 78 17.576 <.0001
```

```
Results are averaged over the levels of: Model, Wav_Selec_Reduction P value adjustment: bonferroni method for 10 tests
```

```
# Pairwise comparisons for Wav_Selec_Reduction
emmeans wave bal <- emmeans(glm model bal, ~ Wav Selec Reduction)
pairs(emmeans_wave_bal, adjust = "bonferroni")
 contrast
                              estimate
                                         SE df t.ratio p.value
 Boruta PCA - RFE GA PCA
                          0.0127 0.016 78 0.791 1.0000
Full Spectra PCA - RFE GA PCA -0.0253 0.016 78 -1.582 0.3530
Results are averaged over the levels of: Model, Pre processing
P value adjustment: bonferroni method for 3 tests
# Group by some models
Model Means <- df %>%
  dplyr::select(Model, Unbalanced_Data_MCC, Balanced_Data_MCC) %>%
  group by(Model) %>%
  summarise(
    Mean Unbalanced Data MCC = mean(Unbalanced Data MCC, na.rm = TRUE),
    Mean Balanced Data MCC = mean(Balanced Data MCC, na.rm = TRUE)
  ) %>% arrange(desc(Mean_Balanced_Data_MCC))
# View the result
print(Model Means)
# A tibble: 6 × 3
          Mean Unbalanced Data MCC Mean Balanced Data MCC
 Model
 <fct>
                            <dbl>
                                                   <dbl>
1 NNET
                            0.876
                                                   0.881
2 Ensemble
                            0.861
                                                  0.871
3 SVM
                            0.818
                                                  0.865
4 RF
                            0.816
                                                  0.841
5 LDA
                            0.831
                                                  0.821
6 k-NN
                            0.817
                                                  0.806
# Group Variable Selection MCC Averages
model means var selec <- df %>%
  dplyr::select(Wav_Selec_Reduction, Unbalanced_Data_MCC, Balanced_Data_MCC,Bal_Balanced_Accuracy
  group_by(Wav_Selec_Reduction) %>%
  summarise(
    Mean_Unbalanced_Data_MCC = mean(Unbalanced_Data_MCC, na.rm = TRUE),
    Mean_Balanced_Data_MCC = mean(Balanced_Data_MCC, na.rm = TRUE),
    Mean_Balanced_Data_Acc = mean(Bal_Balanced_Accuracy, na.rm = TRUE)
  ) %>% arrange(desc(Mean_Balanced_Data_MCC))
```

```
print(model_means_var_selec)
```

```
# A tibble: 6 \times 7
        Unbalanced Data MCC Balanced Data MCC Imb Balanced Accuracy
  <fct>
                          <dbl>
                                            <dbl>
                                                                   <dbl>
1 Ensemble
                         0.861
                                            0.871
                                                                    93.8
2 k-NN
                         0.817
                                            0.806
                                                                    90.1
3 LDA
                          0.831
                                            0.821
                                                                    93.7
4 NNET
                         0.876
                                            0.881
                                                                    94.3
5 RF
                         0.816
                                            0.841
                                                                    90.0
6 SVM
                          0.818
                                            0.865
                                                                    89.6
# i 3 more variables: Bal Balanced Accuracy <dbl>, Imbal Specificty <dbl>,
   Bal_Specificty <dbl>
```

The GLM analysis on data balanced using SMOTE reveals intriguing insights. Stacked models and NNET demonstrate significantly superior performance compared to k-NN. Furthermore, spectral pre-processing has been validated as an effective approach to enhance model performance. Notably, feature selection using Boruta significantly outperforms models trained on full spectra data.

Part 1: Key Messages/Conclusions

Superior Model Performance: Stacked models and NNET consistently outperform k-NN in both balanced and unbalanced data sets, highlighting their effectiveness for coffee discrimination tasks.

Impact of Spectral Pre-Processing: Spectral pre-processing techniques, particularly SNV+SG (1st and 2nd derivatives) and MSC+SG (1st and 2nd derivatives), significantly enhance model performance compared to raw, unprocessed spectra.

Effectiveness of Feature Selection: Models trained with feature selection using Boruta outperform those trained on the full spectra, underscoring the importance of variable selection in improving classification accuracy.

Balanced Data Insights: While there is no statistical difference in balanced accuracy or MCC between balanced and unbalanced data, models trained on balanced data sets demonstrate improved balanced accuracy when tested on external data sets, emphasizing the value of data balancing through SMOTE.

Validation of Pre-Processing and Data Balancing: Both pre-processing and data balancing are confirmed as critical steps to achieve superior model performance, providing a roadmap for optimizing machine learning applications in hyperspectral data analysis.

Part 2: Assess Time Differences for Training the Models

```
df time <- read excel('model training time.xlsx')</pre>
 head(df_time)
# A tibble: 6 × 4
 Model Wav_Selec_Reduction Imbalanced_Training_Time Balanced_Training_Time
  <chr> <chr>
                                                 <dbl>
1 k-NN Full _Spectra_PCA
                                                 13
                                                                         33.4
2 k-NN Full _Spectra_PCA
                                                 16
                                                                         40
3 k-NN Full _Spectra_PCA
                                                 21.4
                                                                         48.4
4 k-NN Full _Spectra_PCA
                                                  20
                                                                         44.3
5 k-NN Full Spectra PCA
                                                 18.9
                                                                         43.5
6 LDA Full _Spectra_PCA
                                                  1.7
                                                                          2
 df_time$Model <- as.factor(df_time$Model) # convert to a factor</pre>
df_time$Wav_Selec_Reduction <- as.factor(df_time$Wav_Selec_Reduction)</pre>
```

```
# Get the average/mean training time grouped by model type

df_time %>% dplyr::select(Model,Imbalanced_Training_Time,Balanced_Training_Time) %>%

group_by(Model) %>% summarise(
    Mean_Training_Time_Imbalanced = mean(Imbalanced_Training_Time),
    Mean_Training_Time_Balanced = mean(Balanced_Training_Time)) %>%

arrange(desc(Mean_Training_Time_Balanced))
```

```
# A tibble: 6 \times 3
           Mean_Training_Time_Imbalanced Mean_Training_Time_Balanced
  Model
  <fct>
                                     <dbl>
                                                                    <dbl>
1 NNET
                                                                   875.
                                    433.
2 Ensemble
                                    510.
                                                                   691.
3 RF
                                     31.1
                                                                    71.1
4 SVM
                                                                    64.8
                                     34.6
5 k-NN
                                     17.9
                                                                    29.5
6 LDA
                                      3.01
                                                                     2.97
```

```
# Get the average/mean training time grouped by variable selection

df_time %>% dplyr::select(Wav_Selec_Reduction,Imbalanced_Training_Time,Balanced_Training_Time) %>%

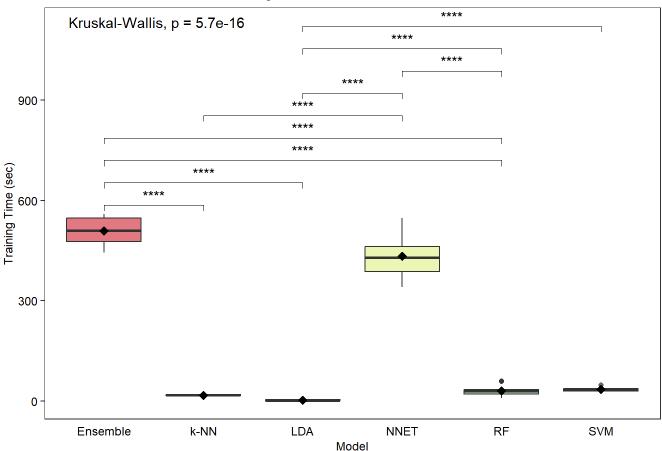
group_by(Wav_Selec_Reduction) %>% summarise(
    Mean_Training_Time_Imbalanced = mean(Imbalanced_Training_Time),
    Mean_Training_Time_Balanced = mean(Balanced_Training_Time)) %>%

arrange(desc(Mean_Training_Time_Balanced))
```

```
# Visualizations
# Training Time for Imbalanced Data based on type of model
# Pairwise comparisons based on Bonferroni-corrected results
my_comparisons <- list(</pre>
  c("Ensemble", "k-NN"), c("Ensemble", "LDA"), c("Ensemble", "RF"),
 c("Ensemble", "RF"), c("NNET", "k-NN"), c("NNET", "LDA"), c("NNET", "RF"),
 c("RF", "LDA"),c("SVM", "LDA"))
# Boxplot with statistical comparisons
ggplot(df_time, aes(x = Model, y = Imbalanced_Training_Time, fill = Model)) +
geom\ boxplot(alpha = 0.7) +
ylab('Training Time (sec)')+
labs(title = 'Training Time for Imbalanced Data Models')+
theme bw()+
theme(
    axis.title.x = element text(color = "black", size = 9),
    axis.text.x = element_text(color = "black", size = 9),
    axis.ticks.x = element blank(),
    axis.text.y = element_text(color = "black", size = 9),
    axis.title.y = element_text(color = "black", size = 9),
    plot.title = element_text(hjust = 0.5, color = 'black', size = 10),
    panel.grid = element blank(),
    legend.position = 'none',
    legend.justification = c(0,0),
    legend.background = element_rect(fill = "white", color = "black"),
    legend.key.size = unit(0.4, "cm"),
    legend.text = element text(size = 8),
    legend.title = element_text(size=8),
    legend.spacing.y = unit(0.1, 'lines'),
    legend.key.height = unit(0.7,'lines')
```

```
scale_fill_brewer(palette = "Spectral",name = 'Data Balance')+
stat_summary(fun=mean, geom="point", shape=18, size=3, color="black")+
stat_compare_means(comparisons = my_comparisons, label = 'p.signif')+
stat_compare_means(label.y = max(df_time$Imbalanced_Training_Time) * 2)
```

Training Time for Imbalanced Data Models



```
ggsave("No_SMOTE_Data_Model_Training_Time.png", width = 6, height = 4, dpi = 600, bg = "white")
```

Check the statistics

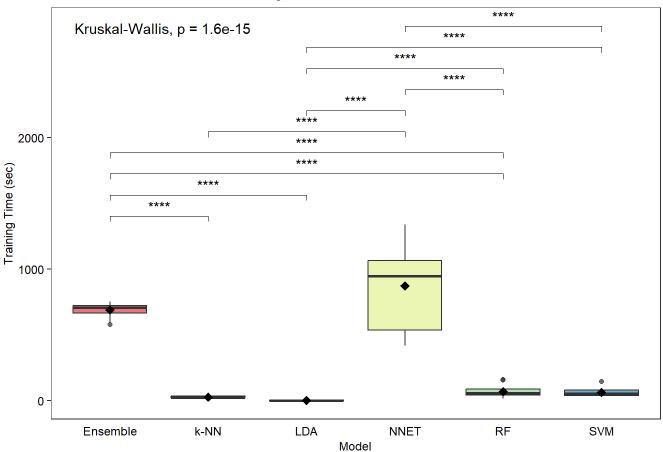
```
dunn.test(df_time$Imbalanced_Training_Time, df_time$Model, method = 'bonferroni')
```

```
0.0000*
    I DA |
          7.635322 1.907956
           0.0000* 0.4230
   NNET
          1.118216 -4.609148 -6.517105
           1.0000 0.0000*
                             0.0000*
     RF I
          4.315616 -1.411748 -3.319705 3.197400
            0.0001* 1.0000 0.0068* 0.0104*
    SVM
         3.428032 -2.299332 -4.207289 2.309815 -0.887584
           0.0046* 0.1611 0.0002*
                                        0.1567 1.0000
alpha = 0.05
Reject Ho if p <= alpha/2
```

```
library(ggthemes)
# Pairwise comparisons based on Bonferroni-corrected results
my comparisons <- list(</pre>
  c("Ensemble", "k-NN"), c("Ensemble", "LDA"), c("Ensemble", "RF"),
  c("Ensemble", "RF"), c("NNET", "k-NN"), c("NNET", "LDA"), c("NNET", "RF"),
 c("RF", "LDA"),c("SVM", "LDA"),c('NNET','SVM'))
# Boxplot with statistical comparisons
ggplot(df_time, aes(x = Model, y = Balanced_Training_Time, fill = Model)) +
geom_boxplot(alpha = 0.7) +
ylab('Training Time (sec)')+
labs(title = 'Training Time for Balanced Data Models')+
theme bw()+
theme(
    axis.title.x = element_text(color = "black", size = 9),
    axis.text.x = element text(color = "black", size = 9),
    axis.ticks.x = element_blank(),
    axis.text.y = element text(color = "black", size = 9),
    axis.title.y = element_text(color = "black", size = 9),
    plot.title = element_text(hjust = 0.5, color = 'black', size = 10),
    legend.position = 'none',
    legend.justification = c(0,0),
    legend.background = element_rect(fill = "white", color = "black"),
    legend.key.size = unit(0.4, "cm"),
    legend.text = element_text(size = 8),
    legend.title = element text(size=8),
    legend.spacing.y = unit(0.1, 'lines'),
    legend.key.height = unit(0.7,'lines'),
    panel.grid = element blank(),
  )+
  scale_fill_brewer(palette = "Spectral",name = 'Model')+
  stat_summary(fun=mean, geom="point", shape=18, size=3, color="black")+
```

```
stat_compare_means(comparisons = my_comparisons, label = 'p.signif')+
stat_compare_means(label.y = max(df_time$Imbalanced_Training_Time) * 5)
```

Training Time for Balanced Data Models



```
ggsave("SMOTE_Data_Model_Training_Time.png", width = 6, height = 4, dpi = 600, bg = "white")

dunn.test(df_time$Balanced_Training_Time, df_time$Model, method = 'bonferroni')

Kruskal-Wallis rank sum test
```

```
data: x and group
Kruskal-Wallis chi-squared = 78.6426, df = 5, p-value = 0
```

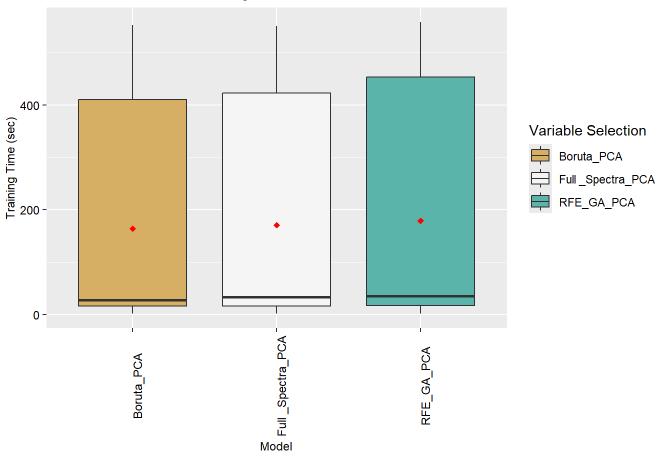
Comparison of x by group (Bonferroni)

	an		k-NN	LDA	RF
	NN	4.850264 0.0000*			
L) DA 	6.814131 0.0000*	1.963867 0.3716		

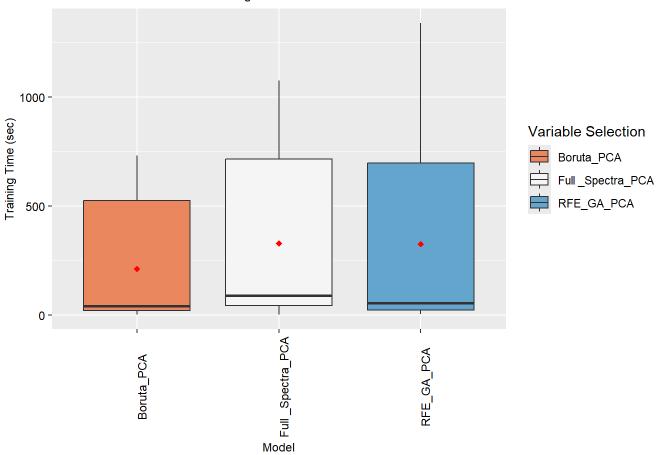
```
NNET
          -0.524163 -5.374428 -7.338295
              1.0000 0.0000* 0.0000*
     RF | 3.064612 -1.785651 -3.749519 3.588776
             0.0163*
                       0.5562 0.0013*
                                           0.0025*
    SVM
            3.092567 -1.757696 -3.721564 3.616731 0.027955
             0.0149*
                     0.5910 0.0015* 0.0022*
                                                     1.0000
alpha = 0.05
Reject Ho if p <= alpha/2
# Training Time for Imbalanced Data based on wavelength selection method
df_time %>% ggplot(aes(x = Wav_Selec_Reduction, y = Imbalanced_Training_Time))+
  geom_boxplot(aes(fill = Wav_Selec_Reduction))+
  labs(title = 'Model Training Time: Imbalanced Data',
       y = 'Training Time (sec)',
       x = 'Model') +
  theme(axis.title.x = element_text(color = "black", size= 9),
        axis.text.x = element_text(color = "black", angle = 90, size= 9),
        axis.text.y = element_text(color = "black",size =9),
        axis.title.y = element_text(color = "black", size =9),
        plot.title = element_text(hjust = 0.5, color = 'black', size = 10))+
  scale_fill_brewer(palette = "BrBG", name = 'Variable Selection')+
```

stat_summary(fun=mean, geom="point", shape=18, size=2, color="red")

Model Training Time: Imbalanced Data



Model Training Time: Imbalanced Data



Check differences with GLM Model

family = gaussian(), data = df_time)

```
Coefficients:

Estimate Std. Error t value Pr(>|t|)

(Intercept)

Modelk-NN

-491.600

11.462 -42.889 < 2e-16 ***

-506.500

11.462 -44.189 < 2e-16 ***
```

```
11.462 -6.642 3.15e-09 ***
ModelNNET
                                    -76.127
ModelRF
                                    -478.373
                                               11.462 -41.735 < 2e-16 ***
ModelSVM
                                   -474.907
                                               11.462 -41.433 < 2e-16 ***
Wav_Selec_ReductionFull _Spectra_PCA
                                     7.140
                                                8.105
                                                        0.881 0.3809
Wav_Selec_ReductionRFE_GA_PCA
                                                8.105 1.863 0.0661 .
                                     15.097
Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
(Dispersion parameter for gaussian family taken to be 985.3422)
   Null deviance: 4183044 on 89 degrees of freedom
Residual deviance: 80798 on 82 degrees of freedom
AIC: 885.4
Number of Fisher Scoring iterations: 2
# Check pairwise comparisons
# Pairwise comparisons for Model
emmeans_model_imbal_time <- emmeans(glm_time, ~ Model)</pre>
pairs(emmeans_model_imbal_time, adjust = "bonferroni") # Bonferroni correction
 contrast
                  estimate SE df t.ratio p.value
 Ensemble - (k-NN) 491.60 11.5 82 42.889 <.0001
 Ensemble - LDA
                  506.50 11.5 82 44.189 <.0001
 Ensemble - NNET
                   76.13 11.5 82 6.642 <.0001
 Ensemble - RF
                  478.37 11.5 82 41.735 <.0001
 Ensemble - SVM
                  474.91 11.5 82 41.433 <.0001
 (k-NN) - LDA
                   14.90 11.5 82 1.300 1.0000
 (k-NN) - NNET
                  -415.47 11.5 82 -36.248 <.0001
 (k-NN) - RF
                   -13.23 11.5 82 -1.154 1.0000
 (k-NN) - SVM
                   -16.69 11.5 82 -1.456 1.0000
 LDA - NNET
                  -430.37 11.5 82 -37.548 <.0001
                   -28.13 11.5 82 -2.454 0.2437
LDA - RF
LDA - SVM
                   -31.59 11.5 82 -2.756 0.1080
NNET - RF
                   402.25 11.5 82 35.094 <.0001
NNET - SVM
                   398.78 11.5 82 34.791 <.0001
RF - SVM
                    -3.47 11.5 82 -0.302 1.0000
Results are averaged over the levels of: Wav Selec Reduction
P value adjustment: bonferroni method for 15 tests
# Pairwise comparisons for Wav_Selec_Reduction
emmeans wave imbal time <- emmeans(glm time, ~ Wav Selec Reduction)
pairs(emmeans_wave_imbal_time, adjust = "bonferroni")
 contrast
                              estimate SE df t.ratio p.value
```

Boruta_PCA - Full _Spectra_PCA -7.14 8.1 82 -0.881 1.0000

-15.10 8.1 82 -1.863 0.1983

Boruta PCA - RFE GA PCA

```
Results are averaged over the levels of: Model
P value adjustment: bonferroni method for 3 tests
# Balanced data
glm_time_bal <- glm(Balanced_Training_Time~Model+Wav_Selec_Reduction,</pre>
                family =gaussian(), data = df_time )
print(summary(glm time bal))
Call:
glm(formula = Balanced_Training_Time ~ Model + Wav_Selec_Reduction,
   family = gaussian(), data = df_time)
Coefficients:
                                   Estimate Std. Error t value Pr(>|t|)
(Intercept)
                                               33.99 18.069 < 2e-16 ***
                                     614.14
Modelk-NN
                                    -661.46
                                               41.63 -15.890 < 2e-16 ***
ModelLDA
                                    -687.99
                                               41.63 -16.527 < 2e-16 ***
                                              ModelNNET
                                    184.41
ModelRF
                                               41.63 -14.890 < 2e-16 ***
                                    -619.86
ModelSVM
                                    -626.14
                                               41.63 -15.041 < 2e-16 ***
Wav_Selec_ReductionFull _Spectra_PCA 116.72
                                               29.44 3.965 0.000156 ***
Wav_Selec_ReductionRFE_GA_PCA
                                     113.75
                                               29.44 3.865 0.000222 ***
Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
(Dispersion parameter for gaussian family taken to be 12996.63)
   Null deviance: 12615731 on 89 degrees of freedom
Residual deviance: 1065724 on 82 degrees of freedom
AIC: 1117.6
Number of Fisher Scoring iterations: 2
# Check pairwise comparisons for the SMOTE model
# Pairwise comparisons for Model
emmeans_model_bal_time <- emmeans(glm_time_bal, ~ Model)</pre>
pairs(emmeans_model_bal_time, adjust = "bonferroni") # Bonferroni correction
 contrast
                  estimate SE df t.ratio p.value
 Ensemble - (k-NN) 661.46 41.6 82 15.890 < .0001
 Ensemble - LDA
                  687.99 41.6 82 16.527 <.0001
 Ensemble - NNET -184.41 41.6 82 -4.430 0.0004
 Ensemble - RF
                  619.86 41.6 82 14.890 <.0001
 Ensemble - SVM
                  626.14 41.6 82 15.041 <.0001
```

26.53 41.6 82 0.637 1.0000

Full Spectra PCA - RFE GA PCA -7.96 8.1 82 -0.982 0.9874

(k-NN) - LDA

```
(k-NN) - RF
                   -41.60 41.6 82 -0.999 1.0000
 (k-NN) - SVM
                   -35.32 41.6 82 -0.848 1.0000
 LDA - NNET
                   -872.39 41.6 82 -20.957 <.0001
 LDA - RF
                   -68.13 41.6 82 -1.637 1.0000
LDA - SVM
                   -61.85 41.6 82 -1.486 1.0000
NNET - RF
                   804.27 41.6 82 19.320 <.0001
                   810.55 41.6 82 19.471 <.0001
NNET - SVM
RF - SVM
                     6.28 41.6 82 0.151 1.0000
Results are averaged over the levels of: Wav_Selec_Reduction
P value adjustment: bonferroni method for 15 tests
# Pairwise comparisons for Wav Selec Reduction
emmeans_wave_bal_time <- emmeans(glm_time_bal, ~ Wav_Selec_Reduction)</pre>
pairs(emmeans_wave_bal_time, adjust = "bonferroni")
 contrast
                               estimate SE df t.ratio p.value
 Boruta PCA - Full Spectra PCA -116.72 29.4 82 -3.965 0.0005
 Boruta_PCA - RFE_GA_PCA
                                -113.75 29.4 82 -3.865 0.0007
 Full Spectra PCA - RFE GA PCA
                                2.96 29.4 82 0.101 1.0000
Results are averaged over the levels of: Model
P value adjustment: bonferroni method for 3 tests
df_time %>% select(Wav_Selec_Reduction,Imbalanced_Training_Time,Balanced_Training_Time) %>%
  group_by(Wav_Selec_Reduction) %>% summarise(
    Mean Training Time Imbalanced = mean(Imbalanced Training Time),
    Mean_Training_Time_Balanced = mean(Balanced_Training_Time)) %>%
  arrange(desc(Mean_Training_Time_Balanced))
# A tibble: 3 \times 3
 Wav Selec Reduction Mean Training Time Imbalanced Mean Training Time Balanced
  <fct>
                                             <dbl>
                                                                         <dbl>
1 Full Spectra PCA
                                                                          329.
                                              171.
2 RFE_GA_PCA
                                              179.
                                                                          326.
3 Boruta_PCA
                                              164.
                                                                          212.
```

-845.87 41.6 82 -20.320 <.0001

Part 2: Key Takeaways

Model Training Time:

(k-NN) - NNET

Ensemble Models: Have the longest training times for both unbalanced and balanced data, with further increases observed under balanced data due to the larger data set size.

NNET: Significantly slower than simpler models (e.g., k-NN, LDA, RF, SVM) but faster than Ensemble models in both data sets.

Simpler Models (k-NN, LDA, RF, SVM): Maintain comparable and efficient training times in both data sets, making them ideal for time-sensitive applications

Variable Selection:

Boruta_PCA: Shows the most significant reduction in training time, especially for balanced data, making it the preferred method for large-scale data sets.

Full _Spectra_PCA and **RFE_GA_PCA**: Perform similarly in training time but are less efficient compared to Boruta_PCA when data sets are balanced.

Practical Recommendations

Use Boruta_PCA for Variable Selection:

• Variable selection with Boruta and subsequent reduction dimension by PCA is appropriate as significantly reduces training time without compromising performance.

Balance Between Performance and Training Time:

- Ensemble models and NNET can be utilized in discrimination tasks where time is not a constraint.
- Time sensitive analysis should opt for k-NN, LDA, LDA, RF or SVM as they offer shorter training times while maintaining competitive performance.

SMOTE

• While SMOTE improves model performance (as observed from the balanced accuracy), it increases training time for complex models. There should be a consideration between accuracy and computational efficiency.