



Legislative, Judicial, and Executive

Governmental bodies classified under the Legislative, Judicial, and Executive section of the Governor's Budget are either established as independent entities under the California Constitution, or are departments with a recognized need to operate outside of the administrative oversight and control of an agency secretary. Constitutionally-established bodies include the Legislature, Judicial Branch, Governor's Office, and constitutional officers. This section also includes such independent entities as the Inspector General and the California State Lottery.

0110 Senate

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. The State Senate is comprised of 40 members who are elected for 4-year terms. Members of the Legislature may serve a maximum of 12 years total in the Senate, the Assembly, or both, in any combination of terms. Members first elected before June 6, 2012, however, may generally serve a maximum of 14 years total, with no more than two terms in the Senate and three terms in the Assembly.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0960 Support of the Senate	40.0	40.0	40.0	\$121,536	\$128,779	\$134,213
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	40.0	40.0	40.0	\$121,536	\$128,779	\$134,213
FUNDING				2015-16*	2016-17*	2017-18*
0001 General Fund				\$121,536	\$128,779	\$134,213
TOTALS, EXPENDITURES, ALL FUNDS				\$121,536	\$128,779	\$134,213

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article IV, Sections 1 and 2.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Miscellaneous Baseline Adjustments	\$-	\$-	-	\$5,434	\$-	-
Totals, Other Workload Budget Adjustments	\$-	\$-	-	\$5,434	\$-	-
Totals, Workload Budget Adjustments	\$-	\$-	-	\$5,434	\$-	-
Totals, Budget Adjustments	\$-	\$-	-	\$5,434	\$-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0110 Senate - Continued**Senate Expenditures by Category**

	2015-16*	2016-17*	2017-18*
General Fund Expenses:			
Salaries of Senators	\$5,308	\$5,488	\$5,680
Mileage of Senators	11	11	11
Session Per Diem	1,478	1,512	1,557
Totals, General Fund Expenses	\$6,797	\$7,011	\$7,248
Operating Fund Expenses:			
Salaries and Employee Benefits	\$104,331	\$108,806	\$112,578
Travel and Per Diem	1,376	1,735	1,987
Automotive Expenses	414	465	417
Automotive Repairs	41	48	55
Telephone	15	20	25
Postage	500	1,000	1,831
Freight	57	75	85
Office Supplies	177	250	283
Printing	430	485	502
Publications	56	85	95
Building Expense	2,230	3,100	3,100
Office Alterations	-	50	50
Furniture and Equipment Expense	135	325	388
Contracts	50	60	75
Meals	60	70	69
Ceremonies and Events	18	32	32
All Other Expenses	735	803	850
Total, Operating Fund Expenses	\$110,625	\$117,409	\$122,422
Operating Fund Transfers:			
Legislative Analyst	\$4,114	\$4,359	\$4,543
Total, Fund Transfers	\$4,114	\$4,359	\$4,543
TOTAL, Senate Expenses	\$121,536	\$128,779	\$134,213

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0110 Senate - Continued

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
	PROGRAM REQUIREMENTS			
0960	SUPPORT OF THE SENATE			
	State Operations:			
0001	General Fund	\$121,536	\$128,779	\$134,213
	Totals, State Operations	\$121,536	\$128,779	\$134,213
	TOTALS, EXPENDITURES			
	State Operations	121,536	128,779	134,213
	Totals, Expenditures	\$121,536	\$128,779	\$134,213

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	40.0	40.0	40.0	\$5,308	\$5,488	\$5,488
Total Adjustments	-	-	-	-	-	203
Net Totals, Salaries and Wages	40.0	40.0	40.0	\$5,308	\$5,488	\$5,691
Staff Benefits	-	-	-	-	-	-
Totals, Personal Services	40.0	40.0	40.0	\$5,308	\$5,488	\$5,691
OPERATING EXPENSES AND EQUIPMENT				\$116,228	\$123,291	\$128,522
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$121,536	\$128,779	\$134,213

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation (Senate)	\$121,536	\$128,779	\$134,213
TOTALS, EXPENDITURES	\$121,536	\$128,779	\$134,213
0348 Senate Operating Fund			
APPROPRIATIONS			
Government Code section 9129	\$121,536	\$128,779	\$128,779
TOTALS, EXPENDITURES	\$121,536	\$128,779	\$128,779
Less funding provided by General Fund	-121,536	-128,779	-128,779
NET TOTALS, EXPENDITURES	\$-	\$-	\$-
Total Expenditures, All Funds, (State Operations)	\$121,536	\$128,779	\$134,213

FUND CONDITION STATEMENTS

	2015-16*	2016-17*	2017-18*
0348 Senate Operating Fund ^s			
BEGINNING BALANCE	\$12	\$12	\$12
Adjusted Beginning Balance	\$12	\$12	\$12
Total Resources	\$12	\$12	\$12
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0110 Senate (State Operations)	121,536	128,779	128,779

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0110 Senate - Continued

	2015-16*	2016-17*	2017-18*
Expenditure Adjustments:			
Less funding provided by General Fund (State Operations)	-121,536	-128,779	-128,779
FUND BALANCE	\$12	\$12	\$12
Reserve for economic uncertainties	12	12	12

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	40.0	40.0	40.0	\$5,308	\$5,488	\$5,488
Salary and Other Adjustments	-	-	-	-	-	203
Totals, Adjustments	-	-	-	\$-	\$-	\$203
TOTALS, SALARIES AND WAGES	40.0	40.0	40.0	\$5,308	\$5,488	\$5,691

0120 Assembly

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. The State Assembly is comprised of 80 members who are elected for 2-year terms. Members of the Legislature may serve a maximum of 12 years total in the Senate, the Assembly, or both, in any combination of terms. Members first elected before June 6, 2012, however, may generally serve a maximum of 14 years total, with no more than two terms in the Senate and three terms in the Assembly.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0970 Support of the Assembly	80.0	80.0	80.0	\$160,139	\$169,683	\$176,843
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	80.0	80.0	80.0	\$160,139	\$169,683	\$176,843

FUNDING	2015-16*	2016-17*	2017-18*
0001 General Fund	\$160,139	\$169,683	\$176,843
TOTALS, EXPENDITURES, ALL FUNDS	\$160,139	\$169,683	\$176,843

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

California Constitution, Article IV, Sections 1 and 2.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Miscellaneous Baseline Adjustments	\$-	\$-	-	\$7,160	\$-	-
Totals, Other Workload Budget Adjustments	\$-	\$-	-	\$7,160	\$-	-
Totals, Workload Budget Adjustments	\$-	\$-	-	\$7,160	\$-	-
Totals, Budget Adjustments	\$-	\$-	-	\$7,160	\$-	-

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0120 Assembly - Continued**Assembly Expenditures By Category**

	2015-16*	2016-17*	2017-18*
General Fund Expenses:			
Salaries of Assembly Members	\$9,678	\$10,686	\$11,376
Mileage of Assembly Members	0	8	8
Session Per Diem	2,714	2,996	3,132
Totals, General Fund Expenses	\$12,392	\$13,690	\$14,516
Operating Fund Expenses:			
Salaries and Employee Benefits	\$127,589	\$133,386	\$138,641
Travel and Per Diem	2,289	2,394	2,620
Automotive Expenses	85	122	130
Automotive Repairs	64	89	97
Equipment and Furniture	442	763	790
Building Utilities, Maintenance, and Rent	3,641	3,733	3,920
Office Alterations	80	80	85
Telephone	470	498	530
Postage	340	340	365
Freight	197	232	242
Communications	4,028	4,733	4,901
Office Supplies	507	616	642
Printing	2,434	3,145	3,297
Publications	100	122	131
Study Contracts	0	0	0
Meals	5	14	14
Ceremonies and Events	43	54	58
All Other Expenses	1,287	1,313	1,321
Total, Operating Fund Expenses	\$143,601	\$151,634	\$157,784
Operating Fund Transfers:			
Office of the Legislative Analyst	\$4,114	\$4,359	\$4,543
State Agencies	32	0	0
Total, Fund Transfers	\$4,146	\$4,359	\$4,543
TOTAL, Assembly Expenses	\$160,139	\$169,683	\$176,843

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0120 Assembly - Continued**DETAILED EXPENDITURES BY PROGRAM**

		2015-16*	2016-17*	2017-18*
	PROGRAM REQUIREMENTS			
0970	SUPPORT OF THE ASSEMBLY			
	State Operations:			
0001	General Fund	\$160,139	\$169,683	\$176,843
	Totals, State Operations	\$160,139	\$169,683	\$176,843
	TOTALS, EXPENDITURES			
	State Operations	160,139	169,683	176,843
	Totals, Expenditures	\$160,139	\$169,683	\$176,843

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	80.0	80.0	80.0	\$9,858	\$10,686	\$10,686
Total Adjustments	-	-	-	-	-	690
Net Totals, Salaries and Wages	80.0	80.0	80.0	\$9,858	\$10,686	\$11,376
Staff Benefits	-	-	-	-	-	-
Totals, Personal Services	80.0	80.0	80.0	\$9,858	\$10,686	\$11,376
OPERATING EXPENSES AND EQUIPMENT				\$150,281	\$158,997	\$165,467
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$160,139	\$169,683	\$176,843

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
011 Budget Act appropriation (Assembly)	\$160,139	\$169,683	\$176,843
TOTALS, EXPENDITURES	\$160,139	\$169,683	\$176,843
0125 Assembly Operating Fund			
APPROPRIATIONS			
Government Code section 9129	\$160,139	\$169,683	\$169,683
TOTALS, EXPENDITURES	\$160,139	\$169,683	\$169,683
Less funding provided by General Fund	-160,139	-169,683	-169,683
NET TOTALS, EXPENDITURES	\$-	\$-	\$-
Total Expenditures, All Funds, (State Operations)	\$160,139	\$169,683	\$176,843

FUND CONDITION STATEMENTS

	2015-16*	2016-17*	2017-18*
0125 Assembly Operating Fund ^s			
BEGINNING BALANCE	\$137	\$144	\$144
Prior Year Adjustments	7	-	-
Adjusted Beginning Balance	\$144	\$144	\$144
Total Resources	\$144	\$144	\$144
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0120 Assembly - Continued

	2015-16*	2016-17*	2017-18*
0120 Assembly (State Operations)	160,139	169,683	169,683
Expenditure Adjustments:			
Less funding provided by General Fund (State Operations)	<u>-160,139</u>	<u>-169,683</u>	<u>-169,683</u>
FUND BALANCE	\$144	\$144	\$144
Reserve for economic uncertainties	144	144	144
0160 Operating Funds of the Assembly and Senate ^s			
BEGINNING BALANCE	\$8	\$27	\$27
Prior Year Adjustments	<u>19</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$27	\$27	\$27
Total Resources	<u>\$27</u>	<u>\$27</u>	<u>\$27</u>
FUND BALANCE	\$27	\$27	\$27
Reserve for economic uncertainties	27	27	27

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	80.0	80.0	80.0	\$9,858	\$10,686	\$10,686
Salary and Other Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>690</u>
Totals, Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>\$-</u>	<u>\$-</u>	<u>\$690</u>
TOTALS, SALARIES AND WAGES	80.0	80.0	80.0	\$9,858	\$10,686	\$11,376

0130 Joint Expenses

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. The State Senate is comprised of 40 members who are elected for 4-year terms. The State Assembly is comprised of 80 members who are elected for 2-year terms. Members of the Legislature may serve a maximum of 12 years total in the Senate, the Assembly, or both, in any combination of terms. Members first elected before June 6, 2012, however, may generally serve a maximum of 14 years total, with no more than two terms in the Senate and three terms in the Assembly.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0980 Support of the Office of the Legislative Analyst	-	-	-	\$8,228	\$8,718	\$9,086
0985 Transferred from Item 0110-001-0001	-	-	-	-4,114	-4,359	-4,543
0990 Transferred from Item 0120-011-0001	<u>-</u>	<u>-</u>	<u>-</u>	<u>-4,114</u>	<u>-4,359</u>	<u>-4,543</u>
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$-	\$-	\$-

DETAILED EXPENDITURES BY PROGRAM

	2015-16*	2016-17*	2017-18*
PROGRAM REQUIREMENTS			
0980 SUPPORT OF THE OFFICE OF THE LEGISLATIVE ANALYST			
State Operations:			
0001 General Fund	<u>\$8,228</u>	<u>\$8,718</u>	<u>\$9,086</u>
Totals, State Operations	\$8,228	\$8,718	\$9,086
PROGRAM REQUIREMENTS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0130 Joint Expenses - Continued

		2015-16*	2016-17*	2017-18*
0985	TRANSFERRED FROM ITEM 0110-001-0001			
	State Operations:			
0001	General Fund	-\$4,114	-\$4,359	-\$4,543
	Totals, State Operations	-\$4,114	-\$4,359	-\$4,543
	PROGRAM REQUIREMENTS			
0990	TRANSFERRED FROM ITEM 0120-011-0001			
	State Operations:			
0001	General Fund	-\$4,114	-\$4,359	-\$4,543
	Totals, State Operations	-\$4,114	-\$4,359	-\$4,543
	TOTALS, EXPENDITURES			
	Totals, Expenditures	\$-	\$-	\$-

0150 Contributions to the Legislators' Retirement System

Established in 1947, the Legislators' Retirement System provides retirement and death benefits for legislators, constitutional officers, and legislative statutory officers.

The Legislators' Retirement System is funded through contributions from the state, members of the system, and investment earnings. Between 2000-01 and 2011-12, the Legislators' Retirement System was super-funded and neither the state nor members were required to contribute. Beginning in 2012-13, contributions resumed for the state and members.

The California Public Employees' Retirement System (CalPERS) administers the Legislators' Retirement System on behalf of its members. Retirement benefits paid are based upon age, years of service, and final compensation. Benefits for death, disability, and surviving dependents of deceased members are also provided under specific conditions.

Proposition 140, approved by voters in 1990, closed the Legislators' Retirement System to all new legislators after November 6, 1990.

Effective January 1, 2013, the Legislators' Retirement System was closed to all new members by the California Public Employees' Pension Reform Act of 2013, Chapter 296, Statutes of 2012 (AB 340). New constitutional officers, statutory officers, Sergeant-at-Arms, and the Insurance Commissioner retain optional membership rights in CalPERS.

3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0110	Legislators' Retirement System	-	-	-	\$7,028	\$7,249	\$7,453
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		-	-	-	\$7,028	\$7,249	\$7,453
FUNDING					2015-16*	2016-17*	2017-18*
0820	Legislators Retirement Fund				\$7,028	\$7,249	\$7,453
TOTALS, EXPENDITURES, ALL FUNDS					\$7,028	\$7,249	\$7,453

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Government Code, Title 2, Division 2, Part 1, Chapter 3.5.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0150 Contributions to the Legislators' Retirement System - Continued

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Miscellaneous Baseline Adjustments	\$-	-\$676	-	\$-	-\$472	-
Totals, Other Workload Budget Adjustments	\$-	-\$676	-	\$-	-\$472	-
Totals, Workload Budget Adjustments	\$-	-\$676	-	\$-	-\$472	-
Totals, Budget Adjustments	\$-	-\$676	-	\$-	-\$472	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0150 Contributions to the Legislators' Retirement System - Continued**0820 Legislators' Retirement Fund Summary**

0820 Legislators' Retirement Fund	PY 2015-16*	CY 2016-17*	BY 2017-18*
Beginning Balance	\$121,677	\$119,050	\$113,830
Prior Year Adjustments	-1		
	\$121,676	\$119,050	\$114,829
Revenues:			
Investment Income	\$4,608	\$4,509	\$4,375
State Contributions	549	380	506
Member Contributions	97	105	102
Contribution Refunds	-379	-691	-921
Total Revenues	\$4,875	\$4,303	\$4,062
Expenditures:			
Pension Benefit Payments	\$7,028	\$7,925	\$7,877
Administrative Expenditures	473	599	599
Total Expenditures	\$7,501	\$8,524	\$8,476
Ending Fund Balance	\$119,050	\$114,829	\$110,415

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0150 Contributions to the Legislators' Retirement System - Continued**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

4 UNCLASSIFIED	2015-16*	2016-17*	2017-18*
0820 Legislators Retirement Fund			
APPROPRIATIONS			
Government Code section 9359.1	\$8,673	\$7,925	\$7,453
Past Year Adjustments	-478	-	-
Revised Estimates	-1,167	-676	-
TOTALS, EXPENDITURES	\$7,028	\$7,249	\$7,453
Total Expenditures, All Funds, (Unclassified)	\$7,028	\$7,249	\$7,453

0160 Legislative Counsel Bureau

The Legislative Counsel Bureau (Bureau) provides legal assistance to the two houses of the Legislature, and their members and committees, by resolving a large volume of complex legal problems arising in connection with the legislative process. The legal services furnished include rendering opinions, drafting bills, counseling, attending as counsel meetings of legislative committees, and representing the Legislature in litigation. The attorney-client relationship is maintained and all work is confidential.

In addition, the Bureau prepares and provides necessary indices and appropriate tables necessary to identify legislative measures and compiles and indexes statutes and codes.

The Bureau operates the Legislative Data Center, which provides information technology services in support of the legislative information system and the processing of legislative measures.

Pursuant to Chapter 46 of the Statutes of 2012, the California Commission on Uniform State Laws was transferred into the Legislative Counsel Bureau. The California Commission on Uniform State Laws presents to the Legislature uniform laws recommended by the National Conference of Commissioners on Uniform State Laws and then promotes passage of these uniform acts.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0120 Support	529.3	630.0	630.0	\$97,400	\$99,992	\$100,535
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	529.3	630.0	630.0	\$97,400	\$99,992	\$100,535

FUNDING	2015-16*	2016-17*	2017-18*
0001 General Fund	\$80,253	\$82,380	\$82,826
0995 Reimbursements	93	131	131
9740 Central Service Cost Recovery Fund	17,054	17,481	17,578
TOTALS, EXPENDITURES, ALL FUNDS	\$97,400	\$99,992	\$100,535

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Government Code, Sections 10200-10248 and 10270-10282.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0160 Legislative Counsel Bureau - Continued

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Adjustment for Law Revision Commission Employee Compensation and Retirement	\$26	\$-	-	\$31	\$-	-
• Salary Adjustments	1,005	221	-	1,340	295	-
• Retirement Rate Adjustments	585	128	-	585	128	-
• Benefit Adjustments	336	72	-	442	95	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-	-
Totals, Other Workload Budget Adjustments	\$1,952	\$421	-	\$2,398	\$518	-
Totals, Workload Budget Adjustments	\$1,952	\$421	-	\$2,398	\$518	-
Totals, Budget Adjustments	\$1,952	\$421	-	\$2,398	\$518	-

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
PROGRAM REQUIREMENTS				
0120 SUPPORT				
State Operations:				
0001 General Fund		\$80,253	\$82,380	\$82,826
0995 Reimbursements		93	131	131
9740 Central Service Cost Recovery Fund		17,054	17,481	17,578
Totals, State Operations		\$97,400	\$99,992	\$100,535
TOTALS, EXPENDITURES				
State Operations		97,400	99,992	100,535
Totals, Expenditures		\$97,400	\$99,992	\$100,535

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	630.0	630.0	630.0	\$48,444	\$48,444	\$48,444
Total Adjustments	-100.7	-	-	-4,980	1,226	1,635
Net Totals, Salaries and Wages	529.3	630.0	630.0	\$43,464	\$49,670	\$50,079
Staff Benefits	-	-	-	20,827	24,026	24,155
Totals, Personal Services	529.3	630.0	630.0	\$64,291	\$73,696	\$74,234
OPERATING EXPENSES AND EQUIPMENT				\$33,016	\$26,296	\$26,301
UNCLASSIFIED EXPENDITURES				93	-	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$97,400	\$99,992	\$100,535

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$78,664	\$80,428	\$82,826
Adjustment for Law Revision Commission Employee Compensation and Retirement	-	26	-

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0160 Legislative Counsel Bureau - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Allocation for Employee Compensation	906	1,005	-
Allocation for Staff Benefits	496	336	-
Map Reimbursable Activities to New Item	-131	-	-
Section 3.60 Pension Contribution Adjustment	318	585	-
TOTALS, EXPENDITURES	\$80,253	\$82,380	\$82,826
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$93	\$131	\$131
TOTALS, EXPENDITURES	\$93	\$131	\$131
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$16,676	\$17,060	\$17,578
Allocation for Employee Compensation	199	221	-
Allocation for Staff Benefits	109	72	-
Section 3.60 Pension Contribution Adjustment	70	128	-
TOTALS, EXPENDITURES	\$17,054	\$17,481	\$17,578
Total Expenditures, All Funds, (State Operations)	\$97,400	\$99,992	\$100,535

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	630.0	630.0	630.0	\$48,444	\$48,444	\$48,444
Salary and Other Adjustments	-100.7	-	-	-4,980	1,226	1,635
Totals, Adjustments	-100.7	-	-	-\$4,980	\$1,226	\$1,635
TOTALS, SALARIES AND WAGES	529.3	630.0	630.0	\$43,464	\$49,670	\$50,079

0250 Judicial Branch

Article VI of the Constitution creates the Supreme Court of California and the Courts of Appeal to exercise the judicial power of the state at the appellate level. Article VI also creates the Judicial Council of California to administer the state's judicial system. Chapter 869, Statutes of 1997, created the California Habeas Corpus Resource Center to represent any person financially unable to employ appellate counsel in capital cases.

The Lockyer-Isenberg Trial Court Funding Act of 1997 (Chapter 850, Statutes of 1997) provided a stable and consistent funding source for the trial courts. Beginning with fiscal year 1997-98, consolidation of the costs of operation of the trial courts was implemented at the state level, with the exception of facility, revenue collection, and local judicial benefit costs. This implementation capped the counties' general purpose revenue contributions to trial court costs at a revised 1994-95 level. The county contributions become part of the Trial Court Trust Fund, which supports all trial court operations. Fine and penalty revenue collected by each county is retained or distributed in accordance with statute. Each county makes quarterly payments to the Trial Court Trust Fund equal to the fine and penalty revenue received by the state General Fund in 1994-95, as adjusted by amounts equivalent to specified fine and fee revenues that counties benefited from in 2003-04. The Trial Court Facilities Act of 2002 (Chapter 1082, Statutes of 2002) provided a process for the responsibility for court facilities to be transferred from the counties to the state by July 1, 2007, which was extended to December 31, 2009, by Chapter 9, Statutes of 2008. The Trial Court Facilities Act of 2002 also established several new revenue sources, which went into effect on January 1, 2003. These revenues are deposited into the State Court Facilities Construction Fund for the purpose of funding the construction and maintenance of court facilities throughout the state. Counties contribute revenues for the ongoing operation and maintenance of court facilities based upon historical expenditures for facilities transferred to the state.

The mission of the Judicial Branch is to resolve disputes arising under the law and to interpret and apply the law consistently, impartially, and independently to protect the rights and liberties guaranteed by the Constitutions of California and the United States, in a fair, accessible, effective, and efficient manner.

Because the Judicial Branch's programs drive a need for infrastructure investment, the Judicial Branch has a capital outlay program to support this need. For the specifics on the Judicial Branch's capital outlay program see "Infrastructure Overview."

3-YR EXPENDITURES AND POSITIONS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

		Positions			Expenditures		
		2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0130	Supreme Court	156.4	156.2	156.2	\$42,906	\$47,906	\$48,577
0135	Courts of Appeal	793.0	795.0	795.0	216,721	232,091	233,950
0140	Judicial Council	567.4	553.5	562.5	132,869	141,495	140,443
0140023	Judicial Branch Facility Program	135.5	131.2	131.2	355,864	442,344	440,929
0150	State Trial Court Funding	-	-	-	2,645,581	2,776,062	2,822,260
0155	Habeas Corpus Resource Center	79.1	81.1	81.1	13,276	15,751	15,814
0170	Offset from Local Property Tax Revenue	-	-	-	-26,662	-45,864	-45,864
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		1,731.4	1,717.0	1,726.0	\$3,380,555	\$3,609,785	\$3,656,109

FUNDING		2015-16*	2016-17*	2017-18*
0001	General Fund	\$1,584,512	\$1,764,344	\$1,677,838
0044	Motor Vehicle Account, State Transportation Fund	198	202	202
0159	State Trial Court Improvement and Modernization Fund	22,530	15,182	20,177
0327	Court Interpreters Fund	162	156	646
0587	Family Law Trust Fund	1,206	1,706	1,706
0890	Federal Trust Fund	4,330	6,656	6,644
0932	Trial Court Trust Fund	1,292,901	1,291,732	1,384,144
0942	Special Deposit Fund	39	317	-
0995	Reimbursements	73,819	88,589	88,589
3037	State Court Facilities Construction Fund	137,508	131,144	132,828
3060	Appellate Court Trust Fund	4,945	6,417	7,684
3066	Court Facilities Trust Fund	109,111	113,591	103,130
3085	Mental Health Services Fund	1,070	1,077	1,077
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	142,107	180,746	230,442
3259	Recidivism Reduction Fund	8,169	6,648	-
8059	State Community Corrections Performance Incentive Fund	999	1,276	1,000
9728	Judicial Branch Workers Compensation Fund	-3,051	2	2
TOTALS, EXPENDITURES, ALL FUNDS		\$3,380,555	\$3,609,785	\$3,656,109

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article VI.

PROGRAM AUTHORITY

0150-State Trial Court Funding:

California Constitution, Article VI, Section 4.

0150037-Court Interpreters:

Trial Court Interpreter Employment and Labor Relations Act, Government Code Sections 71800-71829.

0155-Habeas Corpus Resource Center:

Government Code Sections 68660-68666.

DETAILED BUDGET ADJUSTMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Dependency Counsel Funding	\$-	\$-	-	\$22,000	\$-	-
• Equal Access Fund Augmentation	-	-	-	10,000	-	-
• Sustain Justice Edition Court Case Management System Replacement	-	-	-	4,100	-	-
• Replace Oracle with FI\$Cal	-	-	-	2,044	-	6.0
• Court Appointed Counsel Projects	-	-	-	1,041	-	-
• Veterans Collaborative Courts Evaluation	-	-	-	100	-	-
• Santa Clara Project Funding Plan	-	-	-	-	5,237	-
• Appellate Court Document Management System	-	-	-	-	1,267	-
• Language Access Plan Implementation and Support for Court Interpreters	-	-	-	-	842	-
• Statewide Electronic Filing Implementation and Operational Support	-	-	-	-	671	3.0
• Judicial Council Organizational Restructure	-	-	-	-	-	-
• Trial Court Employee Benefit Adjustment	-	-	-	-2,104	-	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$37,181	\$8,017	9.0
Other Workload Budget Adjustments						
• Trial Court Employee Health Benefit & Retirement Adjustment	\$-	\$-	-	\$7,066	\$-	-
• Allocation for Other Post-Employment Benefits	2,214	365	-	2,214	365	-
• Salary Adjustments	12,849	1,232	-	12,849	1,232	-
• Benefit Adjustments	3,034	422	-	3,026	420	-
• Retirement Rate Adjustments	2,425	385	-	2,425	385	-
• SWCAP	-	-	-	-	-12	-
• Pro Rata	-	-12,669	-	-	-12,669	-
• Lease Revenue Debt Service Adjustment	-315	-9,682	-	-904	39,357	-
• Miscellaneous Baseline Adjustments	33,627	-36,549	-	-35,179	-9,250	-
Totals, Other Workload Budget Adjustments	\$53,834	-\$56,496	-	-\$8,503	\$19,828	-
Totals, Workload Budget Adjustments	\$53,834	-\$56,496	-	\$28,678	\$27,845	9.0
Totals, Budget Adjustments	\$53,834	-\$56,496	-	\$28,678	\$27,845	9.0

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

Trial Court Funding, Expenditures, and Positions - 2015-16 and 2016-17

State and Non-State Trial Court Funding, Expenditures, and Positions - 2015-16 and 2016-17								
Section 1. Actual and Estimated Funding, Expenditures, and Positions by Trial Court					Current-Year Estimated			
Court	Prior-Year Actual							
	State Funding ^{1/} (Program 0150)	Non-State Funding ^{1/}	Total Court Expenditures ^{1/}	Filled Positions as of 7/1/2015 ^{2/}	State Funding ^{3/} (Program 0150)	Non-State Funding ^{3/}	Total Court Expenditures ^{3/}	Filled Positions as of 7/1/2016 ^{2/}
Alameda	94,101,371	9,293,925	107,549,652	690	93,214,743	8,942,247	104,492,100	665
Alpine	568,417	30,271	632,832	4	626,171	30,511	732,204	4
Amador	2,560,394	192,215	2,811,631	27	2,708,939	182,479	2,900,028	27
Butte	12,094,190	750,474	13,693,537	112	12,510,946	724,359	13,355,947	116
Calaveras	2,642,062	189,928	2,854,376	25	2,631,798	181,583	2,785,860	25
Colusa	2,139,631	189,253	2,309,191	15	2,245,314	203,021	2,424,016	13
Contra Costa	54,649,998	6,117,144	62,666,195	324	50,362,398	5,581,127	58,030,461	323
Del Norte	2,939,613	234,535	3,368,640	26	3,040,223	231,404	3,427,293	26
El Dorado	8,116,178	529,218	8,873,912	75	8,457,212	676,795	9,157,961	76
Fresno	60,754,591	3,657,790	63,681,240	433	64,318,809	4,071,475	68,826,248	521
Glenn	2,570,422	758,037	3,287,194	23	2,546,097	578,738	3,161,487	22
Humboldt	8,324,327	213,042	8,576,766	88	8,492,719	199,334	9,055,046	86
Imperial	10,859,012	2,190,980	13,437,521	141	11,417,043	1,884,901	14,239,922	145
Inyo	2,574,405	174,560	2,705,358	16	2,488,498	173,165	2,698,698	17
Kern	55,937,164	14,102,748	67,337,648	418	61,307,156	13,672,380	77,594,241	456
Kings	8,431,842	573,274	9,027,744	79	8,865,006	551,700	9,559,725	79
Lake	3,839,456	63,701	3,914,747	30	3,890,018	65,605	4,239,882	30
Lassen	2,845,874	215,904	3,193,569	21	2,840,851	219,650	3,275,529	20
Los Angeles	692,639,772	33,567,144	704,391,704	4,189	711,926,000	34,193,000	821,844,000	4,388
Madera	9,400,727	342,124	9,677,488	92	9,912,724	319,488	10,175,962	98
Marin	14,055,201	478,574	14,173,659	103	13,567,877	446,500	14,120,089	99
Mariposa	1,425,717	168,877	1,588,761	14	1,434,389	179,723	1,614,628	13
Mendocino	6,404,273	260,608	6,778,743	57	6,695,895	300,596	6,935,351	59
Merced	14,870,159	816,289	16,683,306	126	15,690,807	843,300	16,678,048	130
Modoc	1,269,077	82,786	1,278,110	9	1,165,537	77,431	1,351,779	9
Mono	1,991,643	133,277	2,080,985	12	2,013,637	72,600	2,086,236	12
Monterey	21,359,088	602,150	22,083,971	178	22,555,431	605,723	23,941,481	177
Napa	8,989,669	795,705	9,570,794	67	9,243,891	644,100	10,265,174	70
Nevada	6,230,372	709,388	6,938,903	57	6,277,656	704,684	6,884,452	58
Orange	176,108,742	21,905,187	195,035,603	1,400	178,492,436	22,872,246	206,019,113	1,424
Placer	18,003,050	850,719	18,510,520	113	19,012,333	2,026,592	21,614,164	119
Plumas	1,558,150	36,519	1,543,856	10	1,465,686	38,329	1,614,494	10
Riverside	122,530,166	23,287,056	149,449,013	1,105	127,602,350	22,689,675	150,552,139	1,091
Sacramento	89,796,072	5,744,930	95,714,851	650	86,987,602	5,403,725	94,938,340	672
San Benito	3,022,093	101,173	3,147,404	26	2,922,209	96,850	3,152,644	26
San Bernardino	109,873,628	4,433,052	115,685,305	927	116,200,384	5,498,500	128,922,795	947
San Diego	166,423,619	12,712,599	174,282,708	1,205	161,894,396	12,603,888	174,492,524	1,271
San Francisco	74,278,661	5,561,102	81,678,700	462	73,144,917	5,823,484	81,193,611	447
San Joaquin	35,927,050	2,889,349	39,212,123	295	37,872,332	3,365,504	43,223,947	319
San Luis Obispo	16,221,389	1,293,941	17,238,815	131	15,774,162	1,316,719	17,581,789	131
San Mateo	40,791,760	1,693,462	44,435,678	257	41,616,591	1,521,097	43,069,728	264
Santa Barbara	26,736,246	2,602,950	29,215,887	225	28,264,394	2,811,706	31,702,979	228
Santa Clara	89,427,238	7,749,569	98,887,179	652	91,354,587	9,971,776	105,220,307	624
Santa Cruz	14,087,641	680,287	15,106,077	122	14,434,680	621,750	15,056,430	120
Shasta	14,656,905	3,304,157	17,775,007	157	15,233,994	3,373,115	18,975,116	172
Sierra	793,330	50,027	724,029	5	764,471	47,525	952,578	5
Siskiyou	4,166,593	486,458	4,812,946	34	4,020,973	405,700	4,501,217	34
Solano	23,040,682	1,629,742	24,592,073	203	23,545,998	1,277,918	25,004,546	202
Sonoma	27,581,909	2,270,543	30,084,839	169	27,908,077	2,140,063	29,651,066	186
Stanislaus	24,452,539	2,046,679	26,595,646	227	23,942,886	2,131,875	27,874,200	238
Sutter	5,982,787	494,855	6,342,484	54	6,028,728	441,692	7,262,361	57
Tehama	4,883,853	459,718	5,038,152	39				40
Trinity	1,901,321	74,496	2,021,245	15	2,097,026	52,900	2,140,807	15
Tulare	22,319,137	4,783,805	26,589,072	235	23,851,924	4,335,408	29,207,336	230
Tuolumne	3,869,381	183,072	4,044,477	34	3,923,405	182,604	4,083,498	35
Ventura	39,418,110	8,465,233	47,039,686	350	41,373,460	8,752,943	48,416,161	351
Yolo	11,345,285	1,232,014	12,734,946	101	12,311,865	1,197,769	12,819,990	104
Yuba	5,027,262	735,266	6,006,503	44	5,677,415	624,804	6,314,801	46
Subtotal, Section 1	2,288,809,240	195,251,879	2,468,713,003	16,695	2,330,167,066	198,181,776	2,641,412,529	17,168
Section 2: Funding Not Yet Allocated or Not Distributed to the Trial Courts								
Pending State Funding to the Trial Courts ^{4/}								
Proposition 47 Workload Funding ^{5/}	-	-	-	-	10,700,000	-	-	-
Subtotal, State Funding to the Trial Courts	2,288,809,240	-	-	-	2,340,867,066	-	-	-
State Trial Court Funding Not Distributed to the Trial Courts ^{6/}								
Less: Expenses Made on Behalf of Courts from Courts' Share of State Trial Court Funding ^{7/}	(49,175,542)	-	-	-	(30,096,000)	-	-	-
Other ^{8/}	(19,174,802)	-	-	-	17,619,206	-	-	-
State Trial Court Funding Total ^{9/}	2,657,951,000	-	-	-	2,776,062,000	-	-	-

^{1/} Reflects the 2015-16 4th quarter Quarterly Financial Statement information submitted by the superior courts. A detailed breakdown of this data can be found in the Report of Trial Court Revenue, Expenditure, and Fund Balance Constraints for Fiscal Year 2015-16. Non-state funding includes local fees and non-fee revenue, enhanced collections and other reimbursements, grants from non-state entities, etc.

^{2/} Reflects the filled full-time equivalent positions reported on the Schedule 7As submitted by the superior courts. Does not include judges, who are constitutional officers and not court employees.

^{3/} Reflects the budgets of all 57 superior courts based on courts' 2016-17 Schedule 1 submissions as of November 28, 2016.

^{4/} Reflects pending allocations from the Judicial Council. Courts may have budgeted for some of these funds in Section 1 above in anticipation of their allocation.

^{5/} Reflects half of total funding (\$21.4 million). Allocation pending updated workload metrics from the courts related to 2016-17.

^{6/} See the "State Trial Court Funding Not Distributed to the Trial Courts" display for more detail. Funds either disbursed on behalf of trial courts, for statewide programs, for judges compensation, or to non-court entities, and as a result are not included in the superior courts' state funding in Section 1.

^{7/} See the "State Trial Court Funding Not Distributed to the Trial Courts" display for more detail. These expenses are funded by the trial courts opting to participate in those programs from their "State Funding" revenue distribution amounts reported in Section 1 of the "State and Non-State Trial Court Funding, Expenditures, and Positions" display. Because the funding for these expenses is already included in Section 1, they are subtracted from the total "State Trial Court Funding Not Distributed to Trial Courts" amount used to calculate the total expenditures displayed for "State Trial Court Funding Total".

^{8/} For the prior year, recognizes that the revenues courts report individually as received or to be received from the state will not be equal to the "State Trial Court Funding" expenditure amount reported at the state level due to timing and accounting differences. For the current year, this category reflects either differences in local versus state revenue projections, pending court budgets, or unallocated appropriation.

^{9/} Total state funding for trial courts ties to actual and accrued expenditures for 2015-16 and estimated expenditures for 2016-17 for Program 0150 "State Trial Court Funding" (as well as Program 0140019 "Trial Court Operations" for FY 2015-16) included in the 2017-18 Governor's Budget.

0250 Judicial Branch - Continued

State Trial Court Funding Not Distributed to the Trial Courts - 2015-16 and 2016-17

Programs by Category ^{1/}	Fund	Prior-Year Actual		Current-Year Estimated	
		Not From Courts' Share of State Trial Court Funding	From Courts' Share of State Trial Court Funding ^{2/}	Not From Courts' Share of State Trial Court Funding	From Courts' Share of State Trial Court Funding ^{2/}
Branch Accounting and Procurement Programs					
Court-Ordered Debt Task Force	IMF	5,679	-	-	-
Phoenix Program	IMF	3,202,043	-	3,751,200	-
Phoenix Program	TCTF	845	-	-	-
Budget Services Programs					
Court-Ordered Debt Task Force	IMF	-	-	9,500	-
Budget Focused Training and Meetings	IMF	49,239	-	50,000	-
Compensation of Superior Court Judges ^{3/}	TCTF	216,248,256	-	222,419,230	-
Other Post Employment Benefits Valuation	TCTF	-	524,750	-	-
Center for Children, Families, & the Courts Programs					
Children in Dependency Cases Training	TCTF	17,654	-	113,000	-
Court-Appointed Special Advocate Program	TCTF	2,213,000	-	2,213,000	-
Direct Payments for Court-Appointed Dependency Counsel ^{4/}	TCTF	76,625,261	-	75,813,550	-
Domestic Violence Forms Translation	IMF	19,227	-	17,000	-
Statewide Multidisciplinary Education	IMF	67,482	-	67,000	-
Equal Access Fund	TCTF	3,800,000	-	5,482,000	-
Equal Access Fund	GF	10,392,000	-	15,392,000	-
Self Help Center	IMF	5,000,013	-	5,000,000	-
Self-Help Document Assembly Programs	IMF	55,840	-	60,000	-
Juvenile Law Practice Resources	IMF	20,000	-	-	-
Sargent Shriver Civil Representation Pilot Program	TCTF	8,896,331	-	8,643,000	-
Statewide Support for Self-Help Programs	IMF	104,685	-	100,000	-
Court Operations Special Services Programs					
Assigned Judges	TCTF	25,199,733	-	27,005,000	-
Database Development - Court Interpreters	TCTF	87,000	-	87,000	-
Court Interpreter Program (Testing, Development, Recruitment and Education)	IMF	142,780	-	143,000	-
JusticeCorps (Court Access and Education)	IMF	347,550	-	-	-
Trial Court Performance and Accountability	IMF	7,132	-	13,000	-
Education Programs					
Distance Learning	IMF	74,649	-	118,000	-
Essential/Other Education for Court Management	IMF	11,437	-	18,000	-
Essential/Other Education for Court Personnel	IMF	170,003	-	74,000	-
Faculty and Curriculum Development	IMF	285,000	-	299,000	-
Mandated, Essential & Other Education for Judicial Officers	IMF	611,793	-	693,000	-
Facilities Management Programs					
Facility-Related Costs Incurred on Behalf of the Courts	TCTF	-	23,730,063	-	8,900,000
Human Resources Programs					
Judicial Branch Workers' Compensation Program ^{5/}	TCTF	659,000	19,348,000	651,000	18,317,000
Judicial Branch Workers' Compensation Program ^{5/}	JBWCF	(2,355,033)	-	1,000	-
Trial Court Labor Relations Academies and Forums	IMF	27,954	-	25,700	-
Information Technology Programs					
California Courts Technology Center	IMF	5,656,603	-	10,067,411	-
California Courts Technology Center	TCTF	-	1,443,149	-	1,472,000
California Courts Protective Order Registry	IMF	634,198	-	403,200	-
Civil, Small Claims, Probate and Mental Health (V3) Case Management System	TCTF	-	644,320	-	564,000
Civil, Small Claims, Probate and Mental Health (V3) Case Management System	IMF	3,618,590	-	4,330,767	-
Jury Management Systems	IMF	464,999	-	465,000	-
Data Integration	IMF	-	-	3,422,600	-
Enterprise Policy/Planning (Statewide Development)	IMF	5,063,989	-	5,898,530	-
Interim Case Management Systems	IMF	1,245,082	-	1,039,700	-
Interim Case Management Systems	TCTF	-	842,232	-	843,000
Telecommunications Support	IMF	16,068,616	-	17,558,800	-
Enterprise Test Management Suite (Testing Tools)	IMF	-	-	159,100	-
Legal Services Programs					
Judicial Performance Defense Insurance	IMF	962,321	-	966,600	-
Jury System Improvement Projects	IMF	10,956	-	19,000	-
Litigation Management Program	IMF	4,489,501	-	4,335,840	-
Trial Courts Transactional Assistance Program	IMF	758,182	-	651,000	-
Subtotal, Not From Courts' Share of State Trial Court Funding		390,959,590		417,575,728	
Subtotal, From Courts' Share of State Trial Court Funding^{2/}			46,532,514		30,096,000
Total, State Trial Court Funding Not Distributed to the Trial Courts			437,492,104	447,671,728	
Subtotal by Fund					

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

State Trial Court Funding Not Distributed to the Trial Courts - 2015-16 and 2016-17

Programs by Category ^{1/}	Fund	Prior-Year Actual		Current-Year Estimated	
		Not From Courts' Share of State Trial Court Funding	From Courts' Share of State Trial Court Funding ^{2/}	Not From Courts' Share of State Trial Court Funding	From Courts' Share of State Trial Court Funding ^{2/}
Trial Court Trust Fund	TCTF		380,279,595		372,522,780
State Trial Court Improvement and Modernization Fund	IMF		49,175,542		59,755,948
Judicial Branch Workers' Compensation Fund	JBWCF		(2,355,033)		1,000
General Fund	GF		10,392,000		15,392,000
Total, State Trial Court Funding Not Distributed to the Trial Courts			437,492,104		447,671,728

^{1/} Includes all Program 0150 "State Trial Court Funding" as well as Program 0140019 "Trial Court Operations" expenses from all funds, including the Trial Court Trust Fund (TCTF), State Trial Court Improvement and Modernization Fund (IMF), General Fund (GF), and Judicial Branch Workers' Compensation Fund (JBWCF). The expenses do not necessarily represent all expenses as those programs have a state operations component (e.g., Equal Access Fund) and/or a distribution that is made directly to courts (e.g., court-appointed dependency counsel).

^{2/} These expenses are funded by the trial courts opting to participate in those programs from their "State Funding" revenue distribution amounts reported in Section 1 of the "State and Non-State Trial Court Funding, Expenditures, and Positions" display. Because the funding for these expenses is already included in Section 1, they are subtracted from the total "State Trial Court Funding Not Distributed to the Trial Courts" amount used to calculate the total expenditures displayed for "State Trial Court Funding Total".

^{3/} This reflects judges' compensation paid excluding any amounts related to the reimbursement of courts for payments of judges' compensation as these amounts are already included in the courts' "State Funding" revenue amounts reported in the Trial Court Information section (\$113.5 million in 2015-16 and \$119.1 million in 2016-17). Judges from the Los Angeles, Riverside, and Ventura County Superior Courts are compensated locally and the court/county is reimbursed by the TCTF. In addition, 26 courts in 2015-16 and 25 courts in 2016-17 participate in the local salary reimbursement program in which a small portion of the judges' salary is paid locally and reimbursed by the TCTF.

^{4/} Reflects actual or estimated payments made directly to court-appointed dependency counsel from the TCTF on behalf of superior courts participating in the Dependency Representation, Administration, Funding, and Training (DRAFT) Program. Courts not participating in the DRAFT program are reimbursed up to a maximum amount from the TCTF for payments to court-appointed dependency counsel.

^{5/} For the TCTF, expenses are the annual premiums for 57 participating trial courts from their allocations and for superior court judges from the appropriation for the Compensation of Superior Court Judges that are transferred to the JBWCF. For the JBWCF, these expenses reflect payments of trial court employee and judge related workers' compensation claims from the JBWCF less the amount transferred from the TCTF.

0250 Judicial Branch - Continued

Judicial Council of California
Expenditures and Positions
By Office 2015-16 and 2016-17

Office ^{1/, 2/}	Fund*	2015-16 Actual		2016-17 Estimated	
		Positions ^{3/}	Expenditures	Positions ^{3/}	Expenditures
Executive	GF	7.1	2,967,443	10.0	2,829,267
	SDF	-	39,058	-	166,000
Legal Services	GF	31.9	7,233,332	46.0	8,278,198
	IMF	5.8	1,050,916	7.0	1,057,780
	SCFCF	4.2	1,252,301	5.0	1,796,309
Office of Governmental Affairs	GF	10.0	1,763,465	11.0	1,848,060
Center for Families, Children and the Courts	GF	16.6	3,354,351	22.0	4,319,687
	FLTF	6.8	1,205,509	8.0	1,706,000
	FTF	13.0	2,727,646	16.0	3,355,000
	TCTF ^{4/}	-	10,332,911	-	954,000
	REIMB	10.8	3,382,962	14.0	5,628,731
	MHSF	5.5	1,070,000	6.0	1,077,000
Center for Judicial Education and Research	GF	45.0	7,613,734	48.5	8,120,743
	REIMB	-	5,000	-	201,635
Budget Services	GF	53.2	14,290,423	22.0	6,698,214
	IMF	2.0	1,038,336	2.0	902,100
	TCTF	4.0	1,054,656	4.0	707,000
	SCFCF	10.8	2,033,031	13.6	835,817
Information Technology	GF	67.4	20,135,269	77.0	25,300,428
	IMF	27.0	5,119,955	36.0	4,829,000
	TCTF ^{4/}	0.4	3,109,229	-	-
	REIMB	-	974	-	-
	SCFCF	6.0	1,073,598	7.0	1,480,479
Human Resources	GF	31.4	7,156,974	38.0	7,345,064
	SCFCF	3.5	642,917	4.0	912,423
	JBWCF	-	(387,859)	-	2,000
Trial Court Administrative Services	GF	20.3	4,682,531	-	-
	IMF	49.4	6,635,668	-	-
	TCTF ^{4/}	10.9	1,493,336	-	-
Court Operations Special Services	GF	32.1	5,525,697	32.6	5,149,449
	CIF	-	162,323	-	156,000
	REIMB	-	36,974	-	58,082
	SCFCF	0.1	120,737	-	-
Administrative Services	GF	27.4	3,410,974	30.0	3,665,066
Appellate Court Services	GF	5.2	2,060,625	6.0	2,115,187
Criminal Justice Court Services	GF	11.3	1,000,561	20.0	1,419,503
	MVA	0.8	198,000	1.0	202,000
	REIMB	0.2	114,273	-	193,552
	SCCPIF	2.6	998,701	2.0	1,276,000
Public Affairs	GF	7.4	1,269,098	9.0	1,571,933
Judicial Council Support Services	GF	11.9	1,593,796	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

Judicial Council of California
Expenditures and Positions
By Office 2015-16 and 2016-17

Office ^{1/, 2/}	Fund*	2015-16 Actual		2016-17 Estimated	
		Positions ^{3/}	Expenditures	Positions ^{3/}	Expenditures
Trial Court Liaison	GF	7.7	1,282,384	-	-
Special Projects	GF	6.8	1,010,501	9.0	1,099,382
Audit Services	GF	8.1	1,478,419	10.0	1,545,116
	IMF	1.8	367,871	3.0	660,000
	SCFCF	1.0	160,693	1.0	171,540
Judicial Council Trial Court Leadership	GF		-	20.8	3,015,298
Branch Accounting and Procurement	GF		-	109.0	23,495,405
	IMF		-	1.0	122,000
	TCTF		-	11.0	1,456,000
	SCFCF		-	21.0	1,773,432
IMF ^{5/}					1,776,120
TCTF ^{5/}					223,000
Judicial Council of California Office Total		567.4	\$132,869,295	683.5	\$141,495,000

* Fund description included on first page of the Governor's Budget.

^{1/} Judicial Council of California Office Restructure

*Trial Court Administrative Services, Finance Accounting and Business Services merged to Branch Accounting and Procurement.

*Judicial Council Support Services and Trial Court Liaison merged to Judicial Council Trial Court Leadership.

^{2/} Beginning in 2017-18, Program 0140-Judicial Council and Program 0145-Judicial Branch Facility Program will be merged together; however, for display purposes in the 2017-18 Governor's Budget, all three fiscal years (2015-16, 2016-17, and 2017-18) show Programs 0140 and 0145 merged. This special display reflects the actual and estimated positions and expenditures for Program 0140-Judicial Council only.

^{3/} Position detail ties to the 2017-18 Salaries and Wages Supplement.

^{4/} Includes Program 0140019 Trial Court Operations expenditures for FY 2015/16. In 2016/17 appropriation for this item was transferred to Program 0150 Support for Trial Court Operations.

^{5/} Funding available for allocation by the Judicial Council of California.

0250 Judicial Branch - Continued

PROGRAM DESCRIPTIONS

0130 - SUPREME COURT

The Supreme Court is the highest court in the California judicial system. Its decisions are binding on all other California state courts. The Chief Justice of California and the six Associate Justices entertain petitions seeking review of decisions from the Courts of Appeal, original petitions for extraordinary relief (such as writs of mandate or habeas corpus), and recommendations for discipline of judicial officers and attorneys. The Court grants review and issues opinions in order to settle legal questions of statewide importance. In addition, under the California Constitution, all death penalty judgments are appealed directly to the Supreme Court.

0135 - COURTS OF APPEAL

Established by a constitutional amendment in 1904, the Courts of Appeal are California's intermediate courts of review. The six District Courts of Appeal hear appeals and original proceedings at nine different locations around the state. Cases before the Courts of Appeal involve every area of civil and criminal law.

0140 - JUDICIAL COUNCIL

The Judicial Council of California is the constitutional policy-making body for the state judiciary. The Council consists of 21 voting members and 11 advisory members; the Chief Justice of California serves as chair. The Judicial Council staff serve as the administrative arm of the Council. Staff provide policy support to the Council, administrative accountability in the operation of the courts as specified by law, strategic planning for capital outlay, design, and construction of court facilities; and administrative support for courts in areas such as budget, fiscal services, coordination of the assignment of retired judges, technology, education, legal advice and services, human resources, legislative advocacy, and research.

Consistent with the judiciary's mission, the Judicial Council is guided by the following principles:

- To make decisions in the best interests of the public and the court system as a whole.
- To conduct the Council's business based on an underlying commitment to equal and timely justice and public access to an independent forum for the resolution of disputes.
- To provide leadership in the administration of justice by planning and advocating for policies and resources that are necessary for courts to fulfill their mission.
- To ensure the continued development of an accessible, independent court system through planning, research, and evaluation programs, and through the use of modern management approaches and technological developments.
- To provide leadership in the administration of justice by establishing broad and consistent policies for the operation of the courts and appropriate uniform statewide rules and forms.
- To promote a competent, responsive, and ethical judiciary and staff through a comprehensive program of judicial education and training for court employees.
- To contribute to the public's understanding of the judicial process through a continuing program of public education.
- To provide assistance to the courts in developing action plans that are consistent with the Council's Strategic Plan and that address local needs and priorities.

0140023 - JUDICIAL BRANCH FACILITY PROGRAM

The Judicial Branch Facility Program administers the acquisition, planning, construction, operations, and maintenance of judicial branch facilities. This program is responsible for the development of long-term facilities master plans, facility and real estate management, and new courthouse planning, design, and construction.

0150 - STATE TRIAL COURT FUNDING

0150010 - SUPPORT FOR THE OPERATION OF THE TRIAL COURTS

This program's objective is to provide the resources necessary for the statewide trial court system to adjudicate civil and criminal cases. This program includes all allowable trial court administrative costs under Government Code section 77003, except court-appointed dependency counsel, salaries and benefits of Superior Court judges, compensation for assigned judges, support for language interpreters, grants, and expenses on behalf of the trial courts.

0150011 - COURT APPOINTED DEPENDENCY COUNSEL

This program provides funding for court-appointed counsel in juvenile court dependency proceedings and counsel appointed by the court to represent a minor pursuant to Chapter 10 (commencing with Section 3150) of Part 2 of Division 8 of the Family Code.

0150019 - COMPENSATION OF SUPERIOR COURT JUDGES

This program provides funding for the salaries and state benefits for Superior Court judges.

0150028 - ASSIGNED JUDGES

This program provides support for the salaries and related costs of retired as well as active judges who are assigned by the

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

Chief Justice to positions in courts which require assistance due to caseload backlogs or other factors impacting the ability of a court to avoid case delay.

0150037 - COURT INTERPRETERS

This program supports the provision of qualified language interpreters in criminal, juvenile, family and civil proceedings as required by statute.

0150046 - GRANTS

This program provides funding for government grants providing public services related to the trial courts.

0150095 - EXPENSES ON BEHALF OF THE TRIAL COURTS

This program provides appropriation for statewide programs and services provided to the court or courts by the Judicial Council or payment for services or property of any kind contracted for by the court or courts or on behalf of the courts by the Judicial Council that are paid for or reimbursed by the trial courts. The appropriation also supports the implementation and administration of the Civil Representation Pilot Program.

0155 - HABEAS CORPUS RESOURCE CENTER

The Habeas Corpus Resource Center provides legal representation for indigent petitioners in death penalty habeas corpus proceedings before the Supreme Court of California and the federal courts. The Center also recruits and trains attorneys to expand the pool of private counsel qualified to accept appointments in death penalty habeas corpus proceedings, serves as a resource to them, and thereby helps to reduce the number of unrepresented indigents on California's death row.

DETAILED EXPENDITURES BY PROGRAM

		<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
	PROGRAM REQUIREMENTS			
0130	SUPREME COURT			
	State Operations:			
0001	General Fund	\$42,554	\$46,772	\$47,443
3060	Appellate Court Trust Fund	372	1,134	1,134
9728	Judicial Branch Workers Compensation Fund	-20	-	-
	Totals, State Operations	\$42,906	\$47,906	\$48,577
	PROGRAM REQUIREMENTS			
0135	COURTS OF APPEAL			
	State Operations:			
0001	General Fund	\$212,480	\$226,808	\$227,400
3060	Appellate Court Trust Fund	4,573	5,283	6,550
9728	Judicial Branch Workers Compensation Fund	-332	-	-
	Totals, State Operations	\$216,721	\$232,091	\$233,950
	PROGRAM REQUIREMENTS			
0140	JUDICIAL COUNCIL			
	State Operations:			
0001	General Fund	\$96,582	\$159,498	\$115,204
0044	Motor Vehicle Account, State Transportation Fund	198	202	202
0159	State Trial Court Improvement and Modernization Fund	14,213	9,347	9,699
0327	Court Interpreters Fund	162	156	646
0587	Family Law Trust Fund	1,206	1,706	1,706
0890	Federal Trust Fund	2,728	3,355	3,343
0932	Trial Court Trust Fund	15,990	3,340	4,011
0942	Special Deposit Fund	39	317	-
0995	Reimbursements	17,208	28,082	28,082
3037	State Court Facilities Construction Fund	137,508	131,144	132,828
3066	Court Facilities Trust Fund	109,111	113,591	103,130

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

		<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
3085	Mental Health Services Fund	1,070	1,077	1,077
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	92,107	130,746	180,442
8059	State Community Corrections Performance Incentive Fund	999	1,276	1,000
9728	Judicial Branch Workers Compensation Fund	<u>-388</u>	<u>2</u>	<u>2</u>
	Totals, State Operations	\$488,733	\$583,839	\$581,372
	SUBPROGRAM REQUIREMENTS			
0140010	Judicial Council			
	State Operations:			
0001	General Fund	\$87,829	\$105,000	\$105,705
0044	Motor Vehicle Account, State Transportation Fund	198	202	202
0159	State Trial Court Improvement and Modernization Fund	14,213	9,347	9,699
0327	Court Interpreters Fund	162	156	646
0587	Family Law Trust Fund	1,206	1,706	1,706
0890	Federal Trust Fund	2,728	3,355	3,343
0932	Trial Court Trust Fund	3,621	3,340	4,011
0942	Special Deposit Fund	39	166	-
0995	Reimbursements	3,540	6,082	6,082
3037	State Court Facilities Construction Fund	5,283	9,786	6,970
3085	Mental Health Services Fund	1,070	1,077	1,077
8059	State Community Corrections Performance Incentive Fund	999	1,276	1,000
9728	Judicial Branch Workers Compensation Fund	<u>-388</u>	<u>2</u>	<u>2</u>
	Totals, State Operations	\$120,500	\$141,495	\$140,443
	SUBPROGRAM REQUIREMENTS			
0140019	Trial Court Operations			
	State Operations:			
0932	Trial Court Trust Fund	<u>\$12,369</u>	<u>\$-</u>	<u>\$-</u>
	Totals, State Operations	\$12,369	\$-	\$-
	SUBPROGRAM REQUIREMENTS			
0140023	Judicial Branch Facility Program			
	State Operations:			
0001	General Fund	\$8,753	\$54,498	\$9,499
0942	Special Deposit Fund	-	151	-
0995	Reimbursements	13,668	22,000	22,000
3037	State Court Facilities Construction Fund	132,225	121,358	125,858
3066	Court Facilities Trust Fund	109,111	113,591	103,130
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	92,107	130,746	180,442
	Totals, State Operations	\$355,864	\$442,344	\$440,929
	PROGRAM REQUIREMENTS			
0150	STATE TRIAL COURT FUNDING			
	Local Assistance:			
0001	General Fund	\$1,246,224	\$1,362,405	\$1,318,867
0159	State Trial Court Improvement and Modernization Fund	8,317	5,835	10,478

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

		2015-16*	2016-17*	2017-18*
0890	Federal Trust Fund	1,602	2,275	2,275
0932	Trial Court Trust Fund	1,276,911	1,288,392	1,380,133
0995	Reimbursements	56,611	60,507	60,507
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	50,000	50,000	50,000
3259	Recidivism Reduction Fund	8,169	6,648	-
9728	Judicial Branch Workers Compensation Fund	-2,253	-	-
	Totals, Local Assistance	\$2,645,581	\$2,776,062	\$2,822,260
	SUBPROGRAM REQUIREMENTS			
0150010	Support for Operation of Trial Courts			
	Local Assistance:			
0001	General Fund	\$661,398	\$749,429	\$684,199
0159	State Trial Court Improvement and Modernization Fund	8,317	5,835	10,478
0932	Trial Court Trust Fund	1,272,483	1,277,067	1,363,326
0995	Reimbursements	-	1	1
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	50,000	50,000	50,000
3259	Recidivism Reduction Fund	8,169	6,648	-
9728	Judicial Branch Workers Compensation Fund	-2,398	-	-
	Totals, Local Assistance	\$1,997,969	\$2,088,980	\$2,108,004
	SUBPROGRAM REQUIREMENTS			
0150011	Court Appointed Dependency Counsel			
	Local Assistance:			
0001	General Fund	\$114,700	\$114,700	\$136,700
0932	Trial Court Trust Fund	-313	-	-
	Totals, Local Assistance	\$114,387	\$114,700	\$136,700
	SUBPROGRAM REQUIREMENTS			
0150019	Compensation of Superior Court Judges			
	Local Assistance:			
0001	General Fund	\$329,711	\$341,431	\$341,431
0932	Trial Court Trust Fund	659	-	-
9728	Judicial Branch Workers Compensation Fund	145	-	-
	Totals, Local Assistance	\$330,515	\$341,431	\$341,431
	SUBPROGRAM REQUIREMENTS			
0150028	Assigned Judges			
	Local Assistance:			
0001	General Fund	\$25,200	\$27,005	\$27,005
	Totals, Local Assistance	\$25,200	\$27,005	\$27,005
	SUBPROGRAM REQUIREMENTS			
0150037	Court Interpreters			
	Local Assistance:			
0001	General Fund	\$95,517	\$103,459	\$103,633
0932	Trial Court Trust Fund	4,082	-	-
	Totals, Local Assistance	\$99,599	\$103,459	\$103,633
	SUBPROGRAM REQUIREMENTS			
0150051	Child Support Commissioner Program (AB 1058)			
	Local Assistance:			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

		<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
0995	Reimbursements	<u>53,586</u>	<u>54,332</u>	<u>54,332</u>
	Totals, Local Assistance	\$53,586	\$54,332	\$54,332
	SUBPROGRAM REQUIREMENTS			
0150055	California Collaborative and Drug Court Projects			
	Local Assistance:			
0001	General Fund	\$1,160	\$1,160	\$1,160
0995	Reimbursements	<u>1,747</u>	<u>4,588</u>	<u>4,588</u>
	Totals, Local Assistance	\$2,907	\$5,748	\$5,748
	SUBPROGRAM REQUIREMENTS			
0150059	Federal Child Access and Visitation Grant Program			
	Local Assistance:			
0890	Federal Trust Fund	<u>\$770</u>	<u>\$800</u>	<u>\$800</u>
	Totals, Local Assistance	\$770	\$800	\$800
	SUBPROGRAM REQUIREMENTS			
0150063	Federal Court Improvement Grant Program			
	Local Assistance:			
0890	Federal Trust Fund	<u>\$96</u>	<u>\$700</u>	<u>\$700</u>
	Totals, Local Assistance	\$96	\$700	\$700
	SUBPROGRAM REQUIREMENTS			
0150067	Court Appointed Special Advocate (CASA) Program			
	Local Assistance:			
0001	General Fund	<u>\$2,213</u>	<u>\$2,213</u>	<u>\$2,213</u>
	Totals, Local Assistance	\$2,213	\$2,213	\$2,213
	SUBPROGRAM REQUIREMENTS			
0150071	Model Self-Help Program			
	Local Assistance:			
0001	General Fund	<u>\$957</u>	<u>\$957</u>	<u>\$957</u>
	Totals, Local Assistance	\$957	\$957	\$957
	SUBPROGRAM REQUIREMENTS			
0150075	Grants-Other			
	Local Assistance:			
0995	Reimbursements	<u>1,278</u>	<u>1,586</u>	<u>1,586</u>
	Totals, Local Assistance	\$1,278	\$1,586	\$1,586
	SUBPROGRAM REQUIREMENTS			
0150079	Federal Grants-Other			
	Local Assistance:			
0890	Federal Trust Fund	<u>\$736</u>	<u>\$775</u>	<u>\$775</u>
	Totals, Local Assistance	\$736	\$775	\$775
	SUBPROGRAM REQUIREMENTS			
0150083	Equal Access Fund			
	Local Assistance:			
0001	General Fund	\$14,192	\$20,874	\$20,392
0932	Trial Court Trust Fund	<u>-</u>	<u>-</u>	<u>5,482</u>
	Totals, Local Assistance	\$14,192	\$20,874	\$25,874
	SUBPROGRAM REQUIREMENTS			
0150087	Family Law Information Centers			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

		2015-16*	2016-17*	2017-18*
	Local Assistance:			
0001	General Fund	\$345	\$345	\$345
	Totals, Local Assistance	\$345	\$345	\$345
	SUBPROGRAM REQUIREMENTS			
0150091	Civil Case Coordination			
	Local Assistance:			
0001	General Fund	\$831	\$832	\$832
	Totals, Local Assistance	\$831	\$832	\$832
	SUBPROGRAM REQUIREMENTS			
0150095	Expenses on Behalf of the Trial Courts			
	Local Assistance:			
0932	Trial Court Trust Fund	\$-	\$11,325	\$11,325
	Totals, Local Assistance	\$-	\$11,325	\$11,325
	PROGRAM REQUIREMENTS			
0155	HABEAS CORPUS RESOURCE CENTER			
	State Operations:			
0001	General Fund	\$13,334	\$14,725	\$14,788
0890	Federal Trust Fund	-	1,026	1,026
9728	Judicial Branch Workers Compensation Fund	-58	-	-
	Totals, State Operations	\$13,276	\$15,751	\$15,814
	PROGRAM REQUIREMENTS			
0170	OFFSET FROM LOCAL PROPERTY TAX REVENUE			
	Local Assistance:			
0001	General Fund	-\$26,662	-\$45,864	-\$45,864
	Totals, Local Assistance	-\$26,662	-\$45,864	-\$45,864
	TOTALS, EXPENDITURES			
	State Operations	761,636	879,587	879,713
	Local Assistance	2,618,919	2,730,198	2,776,396
	Totals, Expenditures	\$3,380,555	\$3,609,785	\$3,656,109

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	1,714.0	1,717.0	1,717.0	\$181,395	\$190,402	\$190,402
Total Adjustments	17.4	-	9.0	304	15,730	14,995
Net Totals, Salaries and Wages	1,731.4	1,717.0	1,726.0	\$181,593	\$201,356	\$200,621
Staff Benefits	-	-	-	72,911	92,765	92,539
Totals, Personal Services	1,731.4	1,717.0	1,726.0	\$254,504	\$294,121	\$293,160
OPERATING EXPENSES AND EQUIPMENT				\$431,701	\$508,533	\$508,834
SPECIAL ITEMS OF EXPENSES				75,358	76,933	77,719
UNCLASSIFIED EXPENDITURES				73	-	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$761,636	\$879,587	\$879,713

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$357,304	\$374,721	\$392,103
Allocation for Employee Compensation	3,756	8,073	-
Allocation for Other Post-Employment Benefits	-	2,214	-
Allocation for Staff Benefits	1,575	2,669	-
Executive Order 16/17-14 - CS 6.10 Deferred Maintenance	-	45,000	-
Executive Order per Provision 2, Budget Act of 2015	-1,320	-	-
Lease Revenue Debt Service Adjustment	-281	-	-
Map Reimbursable Activities to New Item	-6,076	-	-
Section 3.60 Pension Contribution Adjustment	1,341	2,425	-
Tenant Savings	-	-226	-
003 Budget Act appropriation	4,968	4,962	4,678
Lease Revenue Debt Service Adjustment	-838	-89	-
Map Reimbursable Activities to New Item	-1	-	-
011 Budget Act appropriation (transfer to Judicial Branch Workers' Compensation Fund)	1	1	1
Executive Order per Provision 2, Budget Act of 2015	1,320	-	-
012 Budget Act appropriation (transfer to Court Facilities Trust Fund)	8,053	8,053	8,053
013 Budget Act appropriation (loan to Trial Court Trust Fund)	-	-	(671)
Totals Available	\$369,802	\$447,803	\$404,835
Unexpended balance, estimated savings	-4,852	-	-
TOTALS, EXPENDITURES	\$364,950	\$447,803	\$404,835
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$198	\$199	\$202
Allocation for Employee Compensation	-	6	-
Allocation for Other Post-Employment Benefits	-	2	-
Allocation for Staff Benefits	-	2	-
Pro Rata Assessments Removal	-	-9	-
Section 3.60 Pension Contribution Adjustment	-	2	-
TOTALS, EXPENDITURES	\$198	\$202	\$202
0159 State Trial Court Improvement and Modernization Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$9,533	\$9,533	\$9,699
Allocation for Employee Compensation	178	244	-
Allocation for Other Post-Employment Benefits	-	72	-
Allocation for Staff Benefits	100	82	-
Miscellaneous Baseline Adjustment	-500	-	-
Past Year Request Adjustments	500	-	-
Pro Rata Assessments Removal	-	-660	-
Provision 1, Item 0250-001-0159	4,000	-	-
Section 3.60 Pension Contribution Adjustment	78	76	-
Transaction Request per Provision 1, Budget Act of 2015	500	-	-
Totals Available	\$14,389	\$9,347	\$9,699
Unexpended balance, estimated savings	-176	-	-
TOTALS, EXPENDITURES	\$14,213	\$9,347	\$9,699

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0250 Judicial Branch - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0327 Court Interpreters Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$163	\$164	\$646
Pro Rata Assessments Removal	-	-8	-
Totals Available	\$163	\$156	\$646
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$162	\$156	\$646
0587 Family Law Trust Fund			
APPROPRIATIONS			
Family Code section 1852	\$1,813	\$1,736	\$1,706
Pro Rata Assessments Removal	-	-30	-
Totals Available	\$1,813	\$1,706	\$1,706
Unexpended balance, estimated savings	-607	-	-
TOTALS, EXPENDITURES	\$1,206	\$1,706	\$1,706
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,321	\$4,381	\$4,369
Allocation for Employee Compensation	33	-	-
Allocation for Staff Benefits	17	-	-
Section 3.60 Pension Contribution Adjustment	14	-	-
Totals Available	\$4,385	\$4,381	\$4,369
Unexpended balance, estimated savings	-1,657	-	-
TOTALS, EXPENDITURES	\$2,728	\$4,381	\$4,369
0932 Trial Court Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$17,877	\$3,309	\$4,011
Allocation for Employee Compensation	41	58	-
Allocation for Other Post-Employment Benefits	-	17	-
Allocation for Staff Benefits	26	20	-
Miscellaneous Baseline Adjustment	-3,288	-	-
Past Year Request Adjustments	3,562	-	-
Pro Rata Assessments Removal	-	-82	-
Section 3.60 Pension Contribution Adjustment	18	18	-
Totals Available	\$18,236	\$3,340	\$4,011
Unexpended balance, estimated savings	-2,246	-	-
TOTALS, EXPENDITURES	\$15,990	\$3,340	\$4,011
0942 Special Deposit Fund			
APPROPRIATIONS			
Carryover	\$187	\$166	-
Past Year Adjustments	18	-	-
Carryover	151	151	-
Totals Available	\$356	\$317	\$-
Balance available in subsequent years	-317	-	-
TOTALS, EXPENDITURES	\$39	\$317	\$-
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$17,208	\$28,082	\$28,082
TOTALS, EXPENDITURES	\$17,208	\$28,082	\$28,082

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0250 Judicial Branch - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
3037 State Court Facilities Construction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$89,946	\$81,922	\$79,048
Allocation for Employee Compensation	352	877	-
Allocation for Other Post-Employment Benefits	-	260	-
Allocation for Staff Benefits	183	302	-
Map Reimbursable Activities to New Item	-13,000	-	-
Pro Rata Assessments Removal	-	-4,585	-
Section 26, Trial Court Facility Modification	3,000	-	-
Section 3.60 Pension Contribution Adjustment	154	274	-
003 Budget Act appropriation	60,873	60,907	53,780
Lease Revenue Debt Service Adjustment	-270	-8,813	-
Map Reimbursable Activities to New Item	-1	-	-
Totals Available	\$141,237	\$131,144	\$132,828
Unexpended balance, estimated savings	-3,729	-	-
TOTALS, EXPENDITURES	\$137,508	\$131,144	\$132,828
3060 Appellate Court Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$6,756	\$6,684	\$7,684
Allocation for Employee Compensation	9	22	-
Allocation for Other Post-Employment Benefits	-	7	-
Allocation for Staff Benefits	5	8	-
Pro Rata Assessments Removal	-	-311	-
Section 3.60 Pension Contribution Adjustment	4	7	-
Totals Available	\$6,774	\$6,417	\$7,684
Unexpended balance, estimated savings	-1,829	-	-
TOTALS, EXPENDITURES	\$4,945	\$6,417	\$7,684
3066 Court Facilities Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$118,734	\$112,083	\$111,183
Budget Revision 001 per Provision 1, Budget Act of 2016	-	9,561	-
Map Reimbursable Activities to New Item	-9,000	-	-
Provision 1, Item 0250-001-3066	6,030	-	-
Trial Court CFP	2,000	-	-
014 Budget Act appropriation	(-)	(377)	(903)
Santa Clara Project Funding Plan	(-)	(-)	(5,237)
Totals Available	\$117,764	\$121,644	\$111,183
Unexpended balance, estimated savings	-600	-	-
TOTALS, EXPENDITURES	\$117,164	\$121,644	\$111,183
Less funding provided by General Fund	-8,053	-8,053	-8,053
NET TOTALS, EXPENDITURES	\$109,111	\$113,591	\$103,130
3085 Mental Health Services Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,050	\$1,078	\$1,077
Allocation for Employee Compensation	10	25	-
Allocation for Other Post-Employment Benefits	-	7	-
Allocation for Staff Benefits	6	8	-
Pro Rata Assessments Removal	-	-49	-

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0250 Judicial Branch - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Section 3.60 Pension Contribution Adjustment	<u>4</u>	<u>8</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,070	\$1,077	\$1,077
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$30,239	\$35,886	\$31,214
Pro Rata Assessments Removal	-	-6,935	-
002 Budget Act appropriation	54,214	54,320	54,400
003 Budget Act appropriation	13,353	48,344	94,828
Lease Revenue Debt Service Adjustment	594	-869	-
Map Reimbursable Activities to New Item	<u>-1</u>	<u>-</u>	<u>-</u>
Totals Available	\$98,399	\$130,746	\$180,442
Unexpended balance, estimated savings	<u>-6,292</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$92,107	\$130,746	\$180,442
8059 State Community Corrections Performance Incentive Fund			
APPROPRIATIONS			
Carryover	\$271	\$60	-
Penal Code section 1233.6	1,000	1,000	1,000
Carryover	<u>4</u>	<u>216</u>	<u>-</u>
Totals Available	\$1,275	\$1,276	\$1,000
Balance available in subsequent years	<u>-276</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$999	\$1,276	\$1,000
9728 Judicial Branch Workers Compensation Fund			
APPROPRIATIONS			
Government Code section 68114.10	\$3	\$3	\$3
Past Year Adjustments	<u>522</u>	<u>-</u>	<u>-</u>
Totals Available	\$525	\$3	\$3
Unexpended balance, estimated savings	<u>-3</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$522	\$3	\$3
Less funding provided by General Fund	<u>-1,320</u>	<u>-1</u>	<u>-1</u>
NET TOTALS, EXPENDITURES	-\$798	\$2	\$2
Total Expenditures, All Funds, (State Operations)	\$761,636	\$879,587	\$879,713
2 LOCAL ASSISTANCE	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$78,259	\$22,753	\$27,753
Map Reimbursable Activities to New Item	-60,506	-	-
102 Budget Act appropriation	71,502	71,502	71,502
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	935,409	1,016,691	970,394
Allocation for Employee Compensation	8,196	4,776	-
Allocation for Staff Benefits	119	365	-
Budget Revision 010 per Provision 4, Budget Act of 2015	4,082	-	-
Past Year Adjustments	<u>-4,081</u>	<u>-</u>	<u>-</u>
112 Budget Act appropriation (transfer to State Trial Court Improvement and Modernization Fund)	44,218	-	57,518
112 Budget Act appropriation as amended by Chapter 318, Statutes of 2016 (transfer to State Trial Court Improvement and Modernization Fund)	<u>-</u>	<u>56,618</u>	<u>-</u>

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0250 Judicial Branch - Continued

2 LOCAL ASSISTANCE	2015-16*	2016-17*	2017-18*
113 Budget Act appropriation (transfer to Trial Court Trust Fund)	66,200	75,000	55,000
114 Budget Act appropriation (transfer to Trial Court Trust Fund)	114,700	114,700	136,700
Totals Available	\$1,258,098	\$1,362,405	\$1,318,867
Unexpended balance, estimated savings	-11,874	-	-
TOTALS, EXPENDITURES	\$1,246,224	\$1,362,405	\$1,318,867
Offset from local property tax revenue per Control Section 15.45	-26,662	-45,864	-45,864
NET TOTALS, EXPENDITURES	\$1,219,562	\$1,316,541	\$1,273,003
0159 State Trial Court Improvement and Modernization Fund			
APPROPRIATIONS			
102 Budget Act appropriation	\$60,359	\$75,193	\$67,996
Miscellaneous Baseline Adjustment	-2,520	-	-
Past Year Request Adjustments	2,520	-	-
Reduce Expenditures to Align with Fund Balance	-	-12,740	-
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	(594)	(594)	(594)
Totals Available	\$60,359	\$62,453	\$67,996
Unexpended balance, estimated savings	-7,824	-	-
TOTALS, EXPENDITURES	\$52,535	\$62,453	\$67,996
Less funding provided by General Fund	-44,218	-56,618	-57,518
NET TOTALS, EXPENDITURES	\$8,317	\$5,835	\$10,478
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,275	\$2,275	\$2,275
Totals Available	\$2,275	\$2,275	\$2,275
Unexpended balance, estimated savings	-673	-	-
TOTALS, EXPENDITURES	\$1,602	\$2,275	\$2,275
0932 Trial Court Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,337,628	-	\$2,405,526
101 Budget Act appropriation as amended by Chapter 318, Statutes of 2016	-	2,420,693	-
Allocation for Employee Compensation	8,196	4,776	-
Allocation for Staff Benefits	119	365	-
Budget Revision 010 per Provision 4, Budget Act of 2015	4,082	-	-
Executive Order per Provision 5, Budget Act of 2015	-20,007	-	-
Map Reimbursable Activities to New Item	-1	-	-
Miscellaneous Baseline Adjustment	-61,536	-	-
Past Year Adjustments	621	-	-
Past Year Request Adjustments	61,263	-	-
Reduce Expenditures to Align with Fund Balance	-	-40,611	-
102 Budget Act appropriation	114,700	114,700	136,700
115 Budget Act appropriation (transfer to Judicial Branch Workers Compensation Fund)	1	1	1
Executive Order per Provision 5, Budget Act of 2015	20,007	-	-
Totals Available	\$2,465,073	\$2,499,924	\$2,542,227
Unexpended balance, estimated savings	-70,837	-	-
TOTALS, EXPENDITURES	\$2,394,236	\$2,499,924	\$2,542,227
Less funding provided by General Fund	-943,725	-1,021,832	-970,394
Less funding provided by General Fund	-58,900	-75,000	-55,000
Less funding provided by General Fund	-114,700	-114,700	-136,700
NET TOTALS, EXPENDITURES	\$1,276,911	\$1,288,392	\$1,380,133

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0250 Judicial Branch - Continued

2 LOCAL ASSISTANCE	2015-16*	2016-17*	2017-18*
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$56,611	\$60,507	\$60,507
TOTALS, EXPENDITURES	\$56,611	\$60,507	\$60,507
3037 State Court Facilities Construction Fund			
APPROPRIATIONS			
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	(\$5,486)	(\$5,486)	(\$5,486)
TOTALS, EXPENDITURES	\$-	\$-	\$-
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$50,000	\$50,000	\$50,000
TOTALS, EXPENDITURES	\$50,000	\$50,000	\$50,000
3259 Recidivism Reduction Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,300	-	-
Prior Year Balances Available:			
Item 0250-101-3259, Budget Act of 2014	13,517	6,008	-
Item 0250-101-3259, Budget Act of 2015	-	640	-
Totals Available	\$14,817	\$6,648	\$-
Balance available in subsequent years	-6,648	-	-
TOTALS, EXPENDITURES	\$8,169	\$6,648	\$-
9728 Judicial Branch Workers Compensation Fund			
APPROPRIATIONS			
Government Code section 68114.10	\$1	\$1	\$1
Past Year Adjustments	17,754	-	-
Totals Available	\$17,755	\$1	\$1
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$17,754	\$1	\$1
Less funding provided by Trial Court Trust Fund	-20,007	-1	-1
NET TOTALS, EXPENDITURES	-\$2,253	\$-	\$-
Total Expenditures, All Funds, (Local Assistance)	\$2,618,919	\$2,730,198	\$2,776,396
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$3,380,555	\$3,609,785	\$3,656,109

FUND CONDITION STATEMENTS

	2015-16*	2016-17*	2017-18*
0159 State Trial Court Improvement and Modernization Fund^s			
BEGINNING BALANCE	\$9,255	\$6,956	\$4,398
Prior Year Adjustments	753	-	-
Adjusted Beginning Balance	\$10,008	\$6,956	\$4,398
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4140000 Document Sales	552	542	532
4163000 Investment Income - Surplus Money Investments	170	141	128
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	1	-	-
4172000 Fines and Forfeitures	32,683	26,592	29,916
4172500 Miscellaneous Revenue	63	-	-
Transfers and Other Adjustments			

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0250 Judicial Branch - Continued

	2015-16*	2016-17*	2017-18*
Revenue Transfer from the State Trial Court Improvement and Modernization Fund to the Trial Court Trust Fund per Government Code Section 77209(j)	-13,397	-13,397	-13,397
Revenue Transfer from the State Trial Court Improvement and Modernization Fund to the Trial Court Trust Fund per Item 0250-111-0159, Budget Acts of 2013, 2014, and 2015	-594	-594	-594
Total Revenues, Transfers, and Other Adjustments	<u>\$19,478</u>	<u>\$13,284</u>	<u>\$16,585</u>
Total Resources	\$29,486	\$20,240	\$20,983
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	14,213	9,347	9,699
0250 Judicial Branch (Local Assistance)	52,535	62,453	67,996
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	660	306
Expenditure Adjustments:			
Less funding provided by General Fund (Local Assistance)	<u>-44,218</u>	<u>-56,618</u>	<u>-57,518</u>
Total Expenditures and Expenditure Adjustments	<u>\$22,530</u>	<u>\$15,842</u>	<u>\$20,483</u>
FUND BALANCE	\$6,956	\$4,398	\$500
Reserve for economic uncertainties	6,956	4,398	500
0327 Court Interpreters Fund ^s			
BEGINNING BALANCE	\$505	\$636	\$692
Prior Year Adjustments	<u>57</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$562	\$636	\$692
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129400 Other Regulatory Licenses and Permits	<u>236</u>	<u>220</u>	<u>219</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$236</u>	<u>\$220</u>	<u>\$219</u>
Total Resources	\$798	\$856	\$911
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	162	156	646
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	8	9
Total Expenditures and Expenditure Adjustments	<u>\$162</u>	<u>\$164</u>	<u>\$655</u>
FUND BALANCE	\$636	\$692	\$256
Reserve for economic uncertainties	636	692	256
0587 Family Law Trust Fund ^s			
BEGINNING BALANCE	\$4,108	\$5,083	\$4,913
Prior Year Adjustments	<u>78</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$4,186	\$5,083	\$4,913
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	19	21	23
4172500 Miscellaneous Revenue	<u>2,084</u>	<u>1,545</u>	<u>1,375</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$2,103</u>	<u>\$1,566</u>	<u>\$1,398</u>
Total Resources	\$6,289	\$6,649	\$6,311
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	1,206	1,706	1,706

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0250 Judicial Branch - Continued

	2015-16*	2016-17*	2017-18*
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	30	56
Total Expenditures and Expenditure Adjustments	<u>\$1,206</u>	<u>\$1,736</u>	<u>\$1,762</u>
FUND BALANCE	\$5,083	\$4,913	\$4,549
Reserve for economic uncertainties	5,083	4,913	4,549
0932 Trial Court Trust Fund ^s			
BEGINNING BALANCE	\$7,157	\$34,829	\$36,211
Prior Year Adjustments	<u>6,685</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$13,842	\$34,829	\$36,211
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	498,850	498,600	498,600
4163000 Investment Income - Surplus Money Investments	335	614	614
4170700 Civil and Criminal Violation Assessment	128,403	127,729	162,972
4171200 Court Filing Fees and Surcharges	480,222	458,145	479,651
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	149	43	48
4172000 Fines and Forfeitures	161,432	161,429	161,429
4172500 Miscellaneous Revenue	125	84	145
4173000 Penalty Assessments - Other	25,069	27,249	28,085
Transfers and Other Adjustments			
Loan from the General Fund (0001) to the Trial Court Trust Fund (0932), per Proposed Item 0250-013-0001	-	-	671
Revenue Transfer from the State Court Facilities Construction Fund to the Trial Court Trust Fund per Item 0250-111-3037, Budget Acts of 2013, 2014, and 2015	5,486	5,486	5,486
Revenue Transfer from the State Trial Court Improvement and Modernization Fund to the Trial Court Trust Fund per Government Code Section 77209(j)	13,397	13,397	13,397
Revenue Transfer from the State Trial Court Improvement and Modernization Fund to the Trial Court Trust Fund per Item 0250-111-0159, Budget Acts of 2013, 2014, and 2015	594	594	594
Total Revenues, Transfers, and Other Adjustments	<u>\$1,314,062</u>	<u>\$1,293,370</u>	<u>\$1,351,692</u>
Total Resources	\$1,327,904	\$1,328,199	\$1,387,903
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	15,990	3,340	4,011
0250 Judicial Branch (Local Assistance)	2,394,236	2,499,924	2,542,227
0840 State Controller (State Operations)	174	174	174
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	82	129
Expenditure Adjustments:			
Less funding provided by General Fund (Local Assistance)	-114,700	-114,700	-136,700
Less funding provided by General Fund (Local Assistance)	-943,725	-1,021,832	-970,394
Less funding provided by General Fund (Local Assistance)	<u>-58,900</u>	<u>-75,000</u>	<u>-55,000</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,293,075</u>	<u>\$1,291,988</u>	<u>\$1,384,447</u>
FUND BALANCE	\$34,829	\$36,211	\$3,456
Reserve for economic uncertainties	34,829	36,211	3,456
3037 State Court Facilities Construction Fund ^s			
BEGINNING BALANCE	\$244,952	\$423,651	\$369,031
Prior Year Adjustments	2,193	-	-

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0250 Judicial Branch - Continued

	2015-16*	2016-17*	2017-18*
Adjusted Beginning Balance	\$247,145	\$423,651	\$369,031
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	39	-	10,000
4163000 Investment Income - Surplus Money Investments	999	2,240	1,882
4171200 Court Filing Fees and Surcharges	23,317	22,270	21,733
4172500 Miscellaneous Revenue	1,812	944	944
4172900 Penalty Assessments - Criminal Fines	61,194	47,149	59,823
4173000 Penalty Assessments - Other	12,139	13,992	14,584
Transfers and Other Adjustments			
Loan Repayment from the General Fund to the State Court Facilities	220,000	-	-
Construction Fund per Item 0250-012-3037, Budget Act of 2011			
Revenue Transfer from the State Court Facilities Construction Fund (3037) to the Immediate and Critical Needs Account (3138), per proposed Item 0250-311-3037, Budget Act of 2017	-	-	-24,493
Revenue Transfer from the State Court Facilities Construction Fund to the Trial Court Trust Fund per Item 0250-111-3037, Budget Acts of 2013, 2014, and 2015	-5,486	-5,486	-5,486
Total Revenues, Transfers, and Other Adjustments	<u>\$314,014</u>	<u>\$81,109</u>	<u>\$78,987</u>
Total Resources	\$561,159	\$504,760	\$448,018
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	137,508	131,144	132,828
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	4,585	4,953
Total Expenditures and Expenditure Adjustments	<u>\$137,508</u>	<u>\$135,729</u>	<u>\$137,781</u>
FUND BALANCE	\$423,651	\$369,031	\$310,237
Reserve for economic uncertainties	423,651	369,031	310,237
3060 Appellate Court Trust Fund ^s			
BEGINNING BALANCE	\$7,729	\$8,512	\$7,452
Prior Year Adjustments	<u>10</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$7,739	\$8,512	\$7,452
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	39	72	113
4171200 Court Filing Fees and Surcharges	<u>5,679</u>	<u>5,596</u>	<u>5,515</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$5,718</u>	<u>\$5,668</u>	<u>\$5,628</u>
Total Resources	\$13,457	\$14,180	\$13,080
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	4,945	6,417	7,684
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	311	384
Total Expenditures and Expenditure Adjustments	<u>\$4,945</u>	<u>\$6,728</u>	<u>\$8,068</u>
FUND BALANCE	\$8,512	\$7,452	\$5,012
Reserve for economic uncertainties	8,512	7,452	5,012
3066 Court Facilities Trust Fund ^s			
BEGINNING BALANCE	\$12,292	\$13,332	\$4,183
Prior Year Adjustments	3,871	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

	2015-16*	2016-17*	2017-18*
Adjusted Beginning Balance	\$16,163	\$13,332	\$4,183
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	98,317	96,991	97,469
4152500 Rental of State Property	7,704	7,571	7,700
4163000 Investment Income - Surplus Money Investments	172	257	140
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	2	-	-
4172500 Miscellaneous Revenue	85	-	-
Transfers and Other Adjustments			
Revenue Transfer from the Court Facilities Trust Fund (3066) to the Immediate and Critical Needs Account, State Court Facilities Construction Fund (3138) per Item 0250-014-3066, Budget Act 2016	-	-377	-903
Revenue Transfer from the State Court Facilities Trust Fund (3066) to the Immediate and Critical Needs Account (3138) per Item 0250-014-3138	-	-	-5,237
Total Revenues, Transfers, and Other Adjustments	<u>\$106,280</u>	<u>\$104,442</u>	<u>\$99,169</u>
Total Resources	\$122,443	\$117,774	\$103,352
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	117,164	121,644	111,183
Expenditure Adjustments:			
Less funding provided by General Fund (State Operations)	<u>-8,053</u>	<u>-8,053</u>	<u>-8,053</u>
Total Expenditures and Expenditure Adjustments	<u>\$109,111</u>	<u>\$113,591</u>	<u>\$103,130</u>
FUND BALANCE	\$13,332	\$4,183	\$222
Reserve for economic uncertainties	13,332	4,183	222

3138 Immediate and Critical Needs Account, State Court Facilities**Construction Fund^s**

BEGINNING BALANCE	\$152,622	\$215,632	\$211,203
Prior Year Adjustments	<u>1,277</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$153,899	\$215,632	\$211,203
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4152500 Rental of State Property	3	-	-
4163000 Investment Income - Surplus Money Investments	718	1,232	1,642
4171200 Court Filing Fees and Surcharges	26,470	25,293	24,403
4172500 Miscellaneous Revenue	16,807	21,838	23,014
4172900 Penalty Assessments - Criminal Fines	134,940	124,248	135,059
4173000 Penalty Assessments - Other	25,234	26,190	26,499
4173800 Traffic Violations	22,227	21,050	21,551
4524000 Other Receipts	-	5,116	-
Transfers and Other Adjustments			
Revenue Transfer from the Court Facilities Trust Fund (3066) to the Immediate and Critical Needs Account, State Court Facilities Construction Fund (3138) per Item 0250-014-3066, Budget Act 2016	-	377	903
Revenue Transfer from the State Court Facilities Construction Fund (3037) to the Immediate and Critical Needs Account (3138), per proposed Item 0250-311-3037, Budget Act of 2017	-	-	24,493
Revenue Transfer from the State Court Facilities Trust Fund (3066) to the Immediate and Critical Needs Account (3138) per Item 0250-014-3138	-	-	5,237
Total Revenues, Transfers, and Other Adjustments	<u>\$226,399</u>	<u>\$225,344</u>	<u>\$262,801</u>

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

	2015-16*	2016-17*	2017-18*
Total Resources	\$380,298	\$440,976	\$474,004
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	92,107	130,746	180,442
0250 Judicial Branch (Local Assistance)	50,000	50,000	50,000
0250 Judicial Branch (Capital Outlay)	22,559	42,092	18,240
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	6,935	648
Total Expenditures and Expenditure Adjustments	\$164,666	\$229,773	\$249,330
FUND BALANCE	\$215,632	\$211,203	\$224,674
Reserve for economic uncertainties	215,632	211,203	224,674

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	1,714.0	1,717.0	1,717.0	\$181,395	\$190,402	\$190,402
Salary and Other Adjustments	17.4	-	-	304	15,730	14,081
Workload and Administrative Adjustments						
Language Access Plan Implementation and Support for Court Interpreters						
Various	-	-	-	-	-	183
Replace Oracle with FISCal						
Various (Limited Term 07-01-2018)	-	-	6.0	-	-	461
Statewide Electronic Filing Implementation and Operational Support						
	-	-	3.0	-	-	270
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	9.0	\$-	\$-	\$914
Totals, Adjustments	17.4	0.0	9.0	\$198	\$10,954	\$10,219
TOTALS, SALARIES AND WAGES	1,731.4	1,717.0	1,726.0	\$181,593	\$201,356	\$200,621

INFRASTRUCTURE OVERVIEW

The Judicial Council facilities consist of the offices of its staff, the Supreme Court, the Courts of Appeal, the Habeas Corpus Resource Center, the Commission on Judicial Performance, and all Trial Courts statewide. The Supreme Court is located in the Civic Center Plaza in San Francisco (98,000 square feet) and the Ronald Reagan State Building in Los Angeles (7,600 sf). The Courts of Appeal are organized into six districts, operate in nine different locations, and consist of approximately 508,000 sf. The Trial Courts are located in 58 counties statewide consisting of more than 500 buildings and 2,100 courtrooms and approximately 14 million sf of usable area. The space includes public areas, such as courtrooms, waiting areas, clerks' offices, child waiting, records viewing, rooms for jury assembly and deliberation, and centers for self-help, alternative dispute resolution, and mediation, as well as private areas, such as judicial officer chambers, staff workspace, storage space, training rooms, and conference rooms. Judicial Council staff facilities, occupying approximately 255,000 sf, are located in San Francisco (Headquarters), Sacramento, and field offices throughout the state. Judicial Council responsibility and management has gradually increased to what is now approximately 20 million square feet of facility space statewide.

SUMMARY OF PROJECTS

	State Building Program Expenditures	2015-16*	2016-17*	2017-18*
0165 CAPITAL OUTLAY Projects				
0000078 Glenn County: Renovation and Addition to Willows Courthouse		1,611	235	-
Working Drawings		-	235	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

State Building Program Expenditures		2015-16*	2016-17*	2017-18*
	Construction	1,611	-	-
0000092	Mendocino County: New Ukiah Courthouse	3,116	-	-
	Acquisition	3,116	-	-
0000101	Riverside County: New Indio Juvenile and Family Courthouse	-	1,628	-
	Construction	-	1,628	-
0000102	Riverside County: New Mid-County Civil Courthouse	4,259	-	-
	Preliminary Plans	4,259	-	-
0000103	Sacramento County: New Sacramento Courthouse	-	-	16,000
	Working Drawings	-	-	16,000
0000106	San Diego County: New San Diego Courthouse	832	-	-
	Construction	832	-	-
0000107	San Joaquin County: New Stockton Courthouse	-	35,462	-
	Construction	-	35,462	-
0000109	Santa Barbara County: New Santa Barbara Criminal Courthouse	-	400	-
	Construction	-	400	-
0000110	Santa Clara County: New Family Justice Center	2,886	4,000	-
	Construction	2,886	4,000	-
0000111	Shasta County: New Redding Courthouse	8,675	2,301	-
	Working Drawings	8,675	-	-
	Construction	-	2,301	-
0000112	Siskiyou County: New Yreka Courthouse	-	-	664
	Construction	-	-	664
0000115	Stanislaus County: New Modesto Courthouse	-	2,066	-
	Construction	-	2,066	-
0000116	Sutter County: New Yuba City Courthouse	5,330	-	-
	Construction	5,330	-	-
0000119	Tuolumne County: New Sonora Courthouse	4,066	-	-
	Working Drawings	4,066	-	-
0002143	Alameda County: East County Hall of Justice Data Center	-	-	1,576
	Preliminary Plans	-	-	1
	Working Drawings	-	-	52
	Construction	-	-	1,523
TOTALS, EXPENDITURES, ALL PROJECTS		\$30,775	\$46,092	\$18,240
FUNDING		2015-16*	2016-17*	2017-18*
0668	Public Buildings Construction Fund Subaccount	\$8,216	\$4,000	\$-
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	22,559	42,092	18,240
TOTALS, EXPENDITURES, ALL FUNDS		\$30,775	\$46,092	\$18,240

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

3 CAPITAL OUTLAY	2015-16*	2016-17*	2017-18*
0660 Public Buildings Construction Fund			
Prior Year Balances Available:			
Item 0250-301-0660, Budget Act of 2010 as reappropriated by Items 0250-490 and 0250-491, BA of 2011 and as partially reappropriated by Item 0250-490, BA of 2013	244	-	-
Totals Available	\$244	\$-	\$-

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0250 Judicial Branch - Continued

3 CAPITAL OUTLAY	2015-16*	2016-17*	2017-18*
Unexpended balance, estimated savings	-244	-	-
TOTALS, EXPENDITURES	\$-	\$-	\$-
0668 Public Buildings Construction Fund Subaccount			
APPROPRIATIONS			
301 Budget Act appropriation	\$97,739	\$270,245	-
Prior Year Balances Available:			
Item 0250-301-0668, Budget Act of 2012	41,210	-	-
Item 0250-301-0668, Budget Act of 2013	1,497	-	-
Item 0250-301-0668, Budget Act of 2014 as reappropriated by Item 0250-493, Budget Act of 2015	33,182	33,569	-
Item 0250-302-0668, Budget Act of 2012 as added by Chapter 29, Statutes of 2012	2,886	-	-
Various Projects: Augmentations	-	4,000	-
Various Projects: Carryover	-	56,936	-
Various Projects: Miscellaneous Baseline Adjustments	-2,610	-	-
Totals Available	\$173,904	\$364,750	\$-
Unexpended balance, estimated savings	-75,183	-360,750	-
Balance available in subsequent years	-90,505	-	-
TOTALS, EXPENDITURES	\$8,216	\$4,000	\$-
3037 State Court Facilities Construction Fund			
APPROPRIATIONS			
Revenue Transfer from the State Court Facilities Construction Fund (3037) to the Immediate and Critical Needs Account (3138) per proposed Item 0250-311-3037 Budget Act of 2017	-	-	(\$24,493)
TOTALS, EXPENDITURES	\$-	\$-	\$-
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund			
APPROPRIATIONS			
301 Budget Act appropriation	\$51,781	\$26,390	\$2,240
Various Projects: Miscellaneous Baseline Adjustments	-	24	-
Prior Year Balances Available:			
Item 0250-301-3138, Budget Act of 2012 as reappropriated by Item 0250-490, Budget Act of 2015 and as reverted by Item 0250-495, Budget Act of 2013	2,194	14,468	-
Item 0250-301-3138, Budget Act of 2014 as reappropriated by Item 0250-491, Budget Act of 2015	32,588	52,152	-
Item 0250-301-3138, Budget Act of 2015 as reappropriated by Item 0250-490, Budget Act of 2016	-	38,866	-
Item 0250-302-3138, Budget Act of 2014 as added by Chapter 663, Statutes of 2014 and reappropriated by Item 0250-490, Budget Act of 2016 and Item 0250-491, Budget Act of 2017	-	16,000	-
0000103 - Sacramento County: New Sacramento Courthouse - COBCP/Reappropriation - W	-	-	16,000
Item 0250-302-3138, Budget Act of 2014 as added by Chapter 663, Statutes of 2014 and reappropriated by Item 0250-490, Budget Act of 2016 and Item 0250-491, Budget Act of 2017	16,000	-	-
Various Projects: Augmentation	235	235	-
Various Projects: Augmentations	-	35,462	-
Various Projects: Carryover	-	1,084	-
Various Projects: Miscellaneous Baseline Adjustments	48,054	-696	-
Totals Available	\$150,852	\$183,985	\$18,240
Unexpended balance, estimated savings	-5,488	-125,893	-

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0250 Judicial Branch - Continued

3 CAPITAL OUTLAY	2015-16*	2016-17*	2017-18*
Balance available in subsequent years	-122,805	-16,000	-
TOTALS, EXPENDITURES	\$22,559	\$42,092	\$18,240
Total Expenditures, All Funds, (Capital Outlay)	\$30,775	\$46,092	\$18,240

0280 Commission on Judicial Performance

The California Commission on Judicial Performance is the independent state agency responsible for investigating complaints of judicial misconduct and judicial incapacity, and for disciplining judges pursuant to Article VI, Section 18 of the California Constitution. It is the only body with such authority in the state. Its jurisdiction includes all active judges and justices of California's superior courts, Courts of Appeal and Supreme Court, and former judges for conduct prior to retirement or resignation. The Commission also shares authority with the local courts for the oversight of court commissioners and referees. In addition to its disciplinary functions, the Commission is responsible for handling judges' applications for disability retirement.

The Commission's authority is limited to investigating alleged judicial misconduct and, if warranted, imposing discipline. Judicial misconduct usually involves conduct in conflict with the standards set forth in the Code of Judicial Ethics. After investigation and, in some cases a public hearing, the Commission may impose sanctions ranging from confidential discipline to removal from office.

The Commission is composed of 11 members: 3 judges appointed by the Supreme Court; 2 attorneys appointed by the Governor; and 6 lay citizens, of which 2 are appointed by the Governor, 2 are appointed by the Senate Committee on Rules, and 2 are appointed by the Speaker of the Assembly. Members are appointed to four-year terms and may serve two terms. Commission members do not receive a salary.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0180 Commission on Judicial Performance	20.1	20.8	20.8	\$4,381	\$4,973	\$5,043
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	20.1	20.8	20.8	\$4,381	\$4,973	\$5,043

FUNDING				2015-16*	2016-17*	2017-18*
0001 General Fund				\$4,318	\$4,895	\$4,965
0995 Reimbursements				78	79	79
9728 Judicial Branch Workers Compensation Fund				-15	-1	-1
TOTALS, EXPENDITURES, ALL FUNDS				\$4,381	\$4,973	\$5,043

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

California Constitution, Article VI, Sections 8, 18, 18.1 and 18.5; Government Code, Sections 75060 et seq. and 75560 et seq.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Allocation for Other Post-Employment Benefits	\$39	\$-	-	\$39	\$-	-
• Salary Adjustments	130	-	-	130	-	-
• Miscellaneous Baseline Adjustments	-	-	-	70	-	-
• Benefit Adjustments	44	-	-	44	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0280 Commission on Judicial Performance - Continued

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Retirement Rate Adjustments	41	-	-	41	-	-
Totals, Other Workload Budget Adjustments	\$254	\$-	-	\$324	\$-	-
Totals, Workload Budget Adjustments	\$254	\$-	-	\$324	\$-	-
Totals, Budget Adjustments	\$254	\$-	-	\$324	\$-	-

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
PROGRAM REQUIREMENTS				
0180 COMMISSION ON JUDICIAL PERFORMANCE				
State Operations:				
0001 General Fund		\$4,318	\$4,895	\$4,965
0995 Reimbursements		78	79	79
9728 Judicial Branch Workers Compensation Fund		-15	-1	-1
Totals, State Operations		\$4,381	\$4,973	\$5,043
TOTALS, EXPENDITURES				
State Operations		4,381	4,973	5,043
Totals, Expenditures		\$4,381	\$4,973	\$5,043

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	19.8	20.8	20.8	\$2,041	\$2,210	\$2,210
Total Adjustments	0.3	-	-	105	130	130
Net Totals, Salaries and Wages	20.1	20.8	20.8	\$2,146	\$2,340	\$2,340
Staff Benefits	-	-	-	995	1,209	1,209
Totals, Personal Services	20.1	20.8	20.8	\$3,141	\$3,549	\$3,549
OPERATING EXPENSES AND EQUIPMENT				\$1,248	\$1,424	\$1,494
SPECIAL ITEMS OF EXPENSES				-8	-	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$4,381	\$4,973	\$5,043

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,421	\$4,640	\$4,964
Allocation for Employee Compensation	46	130	-
Allocation for Other Post-Employment Benefits	-	39	-
Allocation for Staff Benefits	23	44	-
Map Reimbursable Activities to New Item	-86	-	-
Section 28	7	-	-
Section 3.60 Pension Contribution Adjustment	20	41	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0280 Commission on Judicial Performance - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Tenant Rent Adjustment	-10	-	-
Workers' Compensation Fund	-15	-	-
011 Budget Act appropriation (transfer to Judicial Branch Workers' Compensation Fund)	1	1	1
Workers' Compensation Fund	15	-	-
Totals Available	\$4,422	\$4,895	\$4,965
Unexpended balance, estimated savings	-104	-	-
TOTALS, EXPENDITURES	\$4,318	\$4,895	\$4,965
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$78	\$79	\$79
TOTALS, EXPENDITURES	\$78	\$79	\$79
9728 Judicial Branch Workers Compensation Fund			
Less funding provided by General Fund	-15	-1	-1
NET TOTALS, EXPENDITURES	-\$15	-\$1	-\$1
Total Expenditures, All Funds, (State Operations)	\$4,381	\$4,973	\$5,043

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	19.8	20.8	20.8	\$2,041	\$2,210	\$2,210
Salary and Other Adjustments	0.3	-	-	105	130	130
Totals, Adjustments	0.3	-	-	\$105	\$130	\$130
TOTALS, SALARIES AND WAGES	20.1	20.8	20.8	\$2,146	\$2,340	\$2,340

0390 Contributions to the Judges' Retirement System

The Judges' Retirement System provides retirement benefit funding for judges on California's Supreme, Appellate, and Superior/Municipal courts.

The Judges' Retirement System provides retirement, disability, and death benefits based on age, years of service, compensation of active judges, and eligibility, as determined by specific sections of the Judges' Retirement Law. Active judges and the state each contribute 8 percent of salary to the Judges' Retirement System. Additional contributions come from filing fees for specific civil cases and investment income. These contributions, however, are not sufficient to fully fund benefit payments. Consequently, current law requires the state to fund the difference between existing contribution sources and the required benefit payments to retired judges.

A second retirement system for judges was established in 1994. All new judges elected or appointed on or after November 9, 1994 become members of the Judges' Retirement System II. The Judges' Retirement System II provides retirement, disability, and death benefits based on age, years of service, final compensation, and eligibility, as determined by specific sections of the Judges' Retirement System II Law. Members not eligible for a defined benefit receive the balance of their monetary credits (in a lump-sum payment). The Judges' Retirement System II receives contributions from judges equal to 8 percent of salary as well as any investment income. The state's contributions are adjusted annually to maintain actuarial soundness of the fund. Judges' Retirement System II members eligible for a service retirement have the option of choosing the monetary credit plan (a lump-sum return of contributions and interest earned) or the defined benefit plan.

Effective January 1, 2013, provisions of the California Public Employees' Pension Reform Act of 2013, Chapter 296, Statutes of 2012 (AB 340), require that new judges contribute 50 percent of the normal cost of their pension benefits.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0190 State Operations	-	-	-	\$4,173	\$4,116	\$4,399
0195 Local Assistance	-	-	-	252,177	264,465	268,830
0200 Benefit Payments	-	-	-	220,820	214,190	224,125

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0390 Contributions to the Judges' Retirement System - Continued

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$477,170	\$482,771	\$497,354
FUNDING				2015-16*	2016-17*	2017-18*
0001 General Fund				\$256,350	\$268,581	\$273,229
0815 Judges Retirement Fund				199,271	201,862	209,625
0884 Judges Retirement System II Fund				21,549	12,328	14,500
TOTALS, EXPENDITURES, ALL FUNDS				\$477,170	\$482,771	\$497,354

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 8, Chapters 11 and 11.5.

MAJOR PROGRAM CHANGES

- The Budget includes an increase to the state's contribution due to the CalPERS Board's action in 2017 to lower the assumed rate of return for the Judges' Retirement System II. The assumption change increased the system's liabilities, resulting in an increase to the state's funding obligation.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Miscellaneous Baseline Adjustments	-\$1,557	-\$5,899	-	-\$10,887	\$4,036	-
Totals, Other Workload Budget Adjustments	-\$1,557	-\$5,899	-	-\$10,887	\$4,036	-
Adjustments						
Totals, Workload Budget Adjustments	-\$1,557	-\$5,899	-	-\$10,887	\$4,036	-
Totals, Budget Adjustments	-\$1,557	-\$5,899	-	-\$10,887	\$4,036	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0390 Contributions to the Judges' Retirement System - Continued**Judges' Retirement Fund Summaries**

0815 Judges' Retirement Fund	PY 2015-16*	CY 2016-17*	BY 2017-18*
Beginning Balance	\$41,791	\$39,794	\$46,440
Revenues:			
Investment Income	\$195	\$185	\$216
State Contributions	194,885	206,850	201,623
Member Contributions	3,529	3,312	3,185
Contribution Refunds	(78)	(74)	(74)
Total Revenues	\$198,531	\$210,273	\$204,950
Expenditures:			
Pension Benefit Payments	\$199,271	\$201,862	\$209,625
Administrative Expenditures	1,257	1,765	1,765
Total Expenditures	\$200,528	\$203,627	\$211,390
Ending Fund Balance	\$39,794	\$46,440	\$40,000
0884 Judges' Retirement System II Fund	PY 2015-16*	CY 2016-17*	BY 2017-18*
Beginning Balance	\$1,084,186	\$1,172,953	\$1,270,462
Revenues:			
Investment Income	\$21,423	\$23,176	\$25,103
State Contributions	65,845	65,967	75,842
Member Contributions	24,592	22,762	22,975
Contribution Refunds	(155)	(85)	(85)
Total Revenues	\$111,705	\$111,820	\$123,835
Expenditures:			
Pension Benefit Payments	\$21,549	\$12,328	\$14,500
Administrative Expenditures	1,389	1,983	1,983
Total Expenditures	\$22,938	\$14,311	\$16,483
Ending Fund Balance	\$1,172,953	\$1,270,462	\$1,377,814

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0390 Contributions to the Judges' Retirement System - Continued**DETAILED EXPENDITURES BY PROGRAM**

		<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
	PROGRAM REQUIREMENTS			
0190	STATE OPERATIONS			
	State Operations:			
0001	General Fund	<u>\$4,173</u>	<u>\$4,116</u>	<u>\$4,399</u>
	Totals, State Operations	\$4,173	\$4,116	\$4,399
	PROGRAM REQUIREMENTS			
0195	LOCAL ASSISTANCE			
	Local Assistance:			
0001	General Fund	<u>\$252,177</u>	<u>\$264,465</u>	<u>\$268,830</u>
	Totals, Local Assistance	\$252,177	\$264,465	\$268,830
	PROGRAM REQUIREMENTS			
0200	BENEFIT PAYMENTS			
	Unclassified:			
0815	Judges Retirement Fund	\$199,271	\$201,862	\$209,625
0884	Judges Retirement System II Fund	<u>21,549</u>	<u>12,328</u>	<u>14,500</u>
	Totals, Unclassified	\$220,820	\$214,190	\$224,125
	TOTALS, EXPENDITURES			
	State Operations	4,173	4,116	4,399
	Local Assistance	252,177	264,465	268,830
	Unclassified	<u>220,820</u>	<u>214,190</u>	<u>224,125</u>
	Totals, Expenditures	\$477,170	\$482,771	\$497,354

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation (transfer to Judges' Retirement Fund)	\$1,150	\$1,150	\$1,150
Government Code section 75101 (JRS I)	724	661	822
Revised estimates	192	194	-
Government Code section 75600.5 (JRS II)	1,809	2,321	2,427
Revised estimates	<u>298</u>	<u>-210</u>	<u>-</u>
TOTALS, EXPENDITURES	\$4,173	\$4,116	\$4,399
Total Expenditures, All Funds, (State Operations)	\$4,173	\$4,116	\$4,399
2 LOCAL ASSISTANCE	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation (transfer to Judges' Retirement Fund)	\$185,803	\$198,152	\$193,052
Government Code section 75101 (JRS I)	2,566	1,920	2,363
Revised estimates	70	537	-
Government Code section 75600.5 (JRS II)	65,184	65,934	73,415
Revised estimates	<u>-1,446</u>	<u>-2,078</u>	<u>-</u>
TOTALS, EXPENDITURES	\$252,177	\$264,465	\$268,830
Total Expenditures, All Funds, (Local Assistance)	\$252,177	\$264,465	\$268,830

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0390 Contributions to the Judges' Retirement System - Continued

4 UNCLASSIFIED	2015-16*	2016-17*	2017-18*
0815 Judges Retirement Fund			
APPROPRIATIONS			
Government Code section 75025	\$207,960	\$208,162	\$209,625
Revised estimates	-8,689	-6,300	-
TOTALS, EXPENDITURES	\$199,271	\$201,862	\$209,625
0884 Judges Retirement System II Fund			
APPROPRIATIONS			
Government Code section 75522	\$9,492	\$11,927	\$14,500
Revised estimates	12,057	401	-
TOTALS, EXPENDITURES	\$21,549	\$12,328	\$14,500
Total Expenditures, All Funds, (Unclassified)	\$220,820	\$214,190	\$224,125
TOTALS, EXPENDITURES, ALL FUNDS (State Operations, Local Assistance and Unclassified)	\$477,170	\$482,771	\$497,354

0500 Governor's Office

Article V of the California Constitution vests the supreme executive power in a chief magistrate, who is called the Governor of the State of California. The Office of the Governor is maintained in Sacramento.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0210 Governor's Office	81.0	83.0	88.0	\$14,036	\$14,840	\$16,069
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	81.0	83.0	88.0	\$14,036	\$14,840	\$16,069

	2015-16*	2016-17*	2017-18*
FUNDING			
0001 General Fund	\$11,482	\$12,485	\$13,534
0995 Reimbursements	300	-	-
9740 Central Service Cost Recovery Fund	2,254	2,354	2,534
9750 Immigrant Integration Fund	-	1	1
TOTALS, EXPENDITURES, ALL FUNDS	\$14,036	\$14,840	\$16,069

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article V.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Miscellaneous Baseline Adjustments	\$-	\$-	2.0	\$1,070	\$180	7.0
• Salary Adjustments	177	-	-	236	-	-
• Retirement Rate Adjustments	124	-	-	124	-	-
• Benefit Adjustments	60	-	-	80	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0500 Governor's Office - Continued

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Totals, Other Workload Budget Adjustments	\$361	\$-	2.0	\$1,510	\$180	7.0
Totals, Workload Budget Adjustments	\$361	\$-	2.0	\$1,510	\$180	7.0
Totals, Budget Adjustments	\$361	\$-	2.0	\$1,510	\$180	7.0

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
PROGRAM REQUIREMENTS				
0210 GOVERNOR'S OFFICE				
State Operations:				
0001 General Fund		\$11,482	\$12,485	\$13,534
0995 Reimbursements		300	-	-
9740 Central Service Cost Recovery Fund		2,254	2,354	2,534
9750 Immigrant Integration Fund		-	1	1
Totals, State Operations		\$14,036	\$14,840	\$16,069
TOTALS, EXPENDITURES				
State Operations		14,036	14,840	16,069
Totals, Expenditures		\$14,036	\$14,840	\$16,069

EXPENDITURES BY CATEGORY

1 State Operations		Positions			Expenditures		
		2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES							
Baseline Positions		81.0	81.0	81.0	\$9,704	\$9,967	\$9,967
Total Adjustments		-	2.0	7.0	-1,400	177	1,111
Net Totals, Salaries and Wages		81.0	83.0	88.0	\$8,304	\$10,144	\$11,078
Staff Benefits		-	-	-	3,387	2,135	2,430
Totals, Personal Services		81.0	83.0	88.0	\$11,691	\$12,279	\$13,508
OPERATING EXPENSES AND EQUIPMENT					\$2,345	\$2,560	\$2,560
SPECIAL ITEMS OF EXPENSES					-	1	1
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)					\$14,036	\$14,840	\$16,069

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$11,165	\$12,124	\$13,534
Allocation for Employee Compensation	-	177	-
Allocation for Staff Benefits	-	60	-
Budget Revision (BR1)	300	-	-
Item 9800 Adjustments	286	-	-
Map Reimbursable Activities to New Item	-300	-	-
Section 3.60 Pension Contribution Adjustment	68	124	-

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0500 Governor's Office - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Totals Available	\$11,519	\$12,485	\$13,534
Unexpended balance, estimated savings	-37	-	-
TOTALS, EXPENDITURES	\$11,482	\$12,485	\$13,534
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$300	-	-
TOTALS, EXPENDITURES	\$300	\$-	\$-
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,286	\$2,354	\$2,534
Totals Available	\$2,286	\$2,354	\$2,534
Unexpended balance, estimated savings	-32	-	-
TOTALS, EXPENDITURES	\$2,254	\$2,354	\$2,534
9750 Immigrant Integration Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1	\$1	\$1
Totals Available	\$1	\$1	\$1
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$-	\$1	\$1
Total Expenditures, All Funds, (State Operations)	\$14,036	\$14,840	\$16,069

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	81.0	81.0	81.0	\$9,704	\$9,967	\$9,967
Salary and Other Adjustments	-	2.0	7.0	-1,400	177	1,111
Totals, Adjustments	-	2.0	7.0	-\$1,400	\$177	\$1,111
TOTALS, SALARIES AND WAGES	81.0	83.0	88.0	\$8,304	\$10,144	\$11,078

0509 Governor's Office of Business and Economic Development (GO-Biz)

The Governor's Office of Business and Economic Development (GO-Biz) provides a single point of contact for economic development, business assistance and job creation efforts. The GO-Biz works with companies and organizations across the nation to market the benefits of doing business in California, recruit new businesses, retain businesses, and support private sector job growth. The GO-Biz serves as the Governor's lead entity for economic strategy and the marketing of California on issues relating to business development, private sector investment, economic growth, export promotion, permit assistance, innovation and entrepreneurship.

3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0220	Go-Biz	25.7	22.0	33.6	\$4,626	\$5,089	\$6,531
0225	California Business Investment Services	11.7	9.0	9.0	1,831	2,002	1,752
0230	Office of the Small Business Advocate	2.8	6.0	6.0	2,480	1,797	3,298
0235	Infrastructure, Finance and Economic Development	42.9	45.4	45.4	17,013	18,660	18,062
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		83.1	82.4	94.0	\$25,950	\$27,548	\$29,643

FUNDING	2015-16*	2016-17*	2017-18*
0001 General Fund	\$12,508	\$11,979	\$14,708

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0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

FUNDING	2015-16*	2016-17*	2017-18*
0649 California Infrastructure and Economic Development Bank Fund	9,465	11,082	10,822
0890 Federal Trust Fund	-	322	-
0918 California Small Business Expansion Fund	2,115	2,117	2,117
0995 Reimbursements	1,742	1,927	1,648
3083 Welcome Center Fund	110	111	111
3095 Film Promotion and Marketing Fund	10	10	10
3237 Cost of Implementation Account, Air Pollution Control Fund	-	-	227
TOTALS, EXPENDITURES, ALL FUNDS	\$25,950	\$27,548	\$29,643

LEGAL CITATIONS AND AUTHORITY

Government Code Sections 12096.1-12100, and 63050; and Corporations Code Section 14000-14024.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Small Business Development Center Network	\$-	\$-	-	\$2,000	\$-	-
• California Competes Tax Credit Program	-	-	-	1,200	-	10.0
• Additional funding to support the Small Business Development Center Network	-	-	-	1,000	-	-
• Sustainable Freight Project Manager	-	-	-	-	227	1.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$4,200	\$227	11.0
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$84	\$43	-	\$84	\$43	-
• Salary Adjustments	103	77	-	76	40	-
• Miscellaneous Baseline Adjustments	-1	501	-	58	-	-
• Benefit Adjustments	7	5	-	4	4	-
Totals, Other Workload Budget Adjustments	\$193	\$626	-	\$222	\$87	-
Totals, Workload Budget Adjustments	\$193	\$626	-	\$4,422	\$314	11.0
Totals, Budget Adjustments	\$193	\$626	-	\$4,422	\$314	11.0

PROGRAM DESCRIPTIONS

0220 - GO-Biz

The GO-Biz serves as the Governor's lead entity for economic strategy and the marketing of California on issues relating to business development, private sector investment, and economic growth, and export promotion. This program makes recommendations to the Governor and the Legislature regarding policies, programs, and actions to advance statewide economic goals.

0225 - CALIFORNIA BUSINESS INVESTMENT SERVICES

This program serves employers, corporate executives, business owners, and site location consultants who are considering California for business investment and expansion. This program convenes teams on key business development issues. This program works with local, state, and federal partners to attract, retain, and grow businesses in addition to providing permit assistance and helping businesses succeed in California. The Innovation Hub (iHub) initiative improves the state's national and global competitiveness by stimulating partnerships, economic development, and job creation around specific

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0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

research clusters through state-designated iHubs.

0230 - OFFICE OF THE SMALL BUSINESS ADVOCATE

The director of the Office of the Small Business Advocate (OSBA) serves as the principal advocate in the state on behalf of small businesses, including legislation and administrative regulations that affect small business. The OSBA is responsible for disseminating information about programs and services provided by the state that benefit small businesses, and how small businesses can participate in these programs and services. The OSBA responds to issues from small businesses concerning the actions of state agencies, state laws and regulations adversely affecting those businesses. The OSBA maintains and distributes an annual list of persons serving as small business ombudsmen throughout state government.

0235010 - CALIFORNIA FILM COMMISSION

The California Film Commission (CFC) enhances California's status as the leader in motion picture, television and commercial production. The Commission is tasked with retaining and increasing motion picture production and to see that it continues to create jobs and boost business throughout the State. A one-stop office for filmmakers, the Commission supports productions of all sizes and budgets with a variety of services. In addition to issuing film permits for all state properties, administering the film and TV tax credit program, maintaining an extensive location library, and offering production assistance on a wide variety of issues, CFC also works closely with cities and counties with the goal of creating "film friendly" policies that are consistent state-wide.

0235019 - DIVISION OF TOURISM

The California Tourism Market Act provides for the marketing of California through an assessment of businesses that benefit from travel and tourism. The objective of the Tourism Assessment Program is to identify potentially assessable businesses, assist companies with determining the appropriate amount of their self-assessment, and collect the fee.

0235028 - CALIFORNIA INFRASTRUCTURE AND ECONOMIC DEVELOPMENT BANK

California Infrastructure and Economic Development Bank (IBank) was created to finance public infrastructure and private development that promote a healthy climate for jobs, contribute to a strong economy and improve the quality of life in California communities. IBank has a broad authority to issue tax-exempt and taxable revenue bonds, provide financing to public agencies, provide credit enhancements, acquire or lease facilities, and leverage State and Federal funds. IBank's current programs include the infrastructure state revolving fund, 501(c)(3) tax-exempt and taxable revenue bond program, industrial development revenue bond program, exempt facility revenue bond program, governmental bond program and the Clean Energy Finance Center (CEFC) and the Statewide Energy Efficiency Program under the CEFC.

0235037 - SMALL BUSINESS LOAN GUARANTEE PROGRAM

The Small Business Loan Guarantee Program (SBLGP) promotes local economic development by providing guarantees for loans issued to small businesses from financial institutions, typically banks, that otherwise would not approve a term loan or line of credit to a small business. As a result of the SBLGP, participating small businesses are able to secure financing that allows them to grow and expand their business. The loan guarantee serves as a credit enhancement and an incentive for financial institutions to make loans to small businesses that otherwise would not be eligible for such financing.

0235046 - CALIFORNIA WELCOME CENTERS

California Welcome Centers are visitor information centers that are readily accessible to and recognizable by tourists to encourage tourism in California and provide benefits to the state economy. The objective of the California Welcome Center Program is to determine the locality of underserved travelers, designate a welcome center, and establish operating standards across the network.

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
	PROGRAM REQUIREMENTS			
0220	GO-BIZ			
	State Operations:			
0001	General Fund	\$4,626	\$5,060	\$6,304
0995	Reimbursements	-	29	-
3237	Cost of Implementation Account, Air Pollution Control Fund	-	-	227
	Totals, State Operations	\$4,626	\$5,089	\$6,531
	PROGRAM REQUIREMENTS			
0225	CALIFORNIA BUSINESS INVESTMENT SERVICES			
	State Operations:			

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0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

		2015-16*	2016-17*	2017-18*
0001	General Fund	\$1,681	\$1,702	\$1,702
0995	Reimbursements	150	300	50
	Totals, State Operations	\$1,831	\$2,002	\$1,752
	PROGRAM REQUIREMENTS			
0230	OFFICE OF THE SMALL BUSINESS ADVOCATE			
	State Operations:			
0001	General Fund	\$2,480	\$1,797	\$3,298
	Totals, State Operations	\$2,480	\$1,797	\$3,298
	PROGRAM REQUIREMENTS			
0235	INFRASTRUCTURE, FINANCE AND ECONOMIC DEVELOPMENT			
	State Operations:			
0001	General Fund	\$3,721	\$3,420	\$3,404
0649	California Infrastructure and Economic Development Bank Fund	3,965	5,582	5,322
0890	Federal Trust Fund	-	322	-
0918	California Small Business Expansion Fund	2,115	2,117	2,117
0995	Reimbursements	1,592	1,598	1,598
3083	Welcome Center Fund	110	111	111
3095	Film Promotion and Marketing Fund	10	10	10
	Totals, State Operations	\$11,513	\$13,160	\$12,562
	Local Assistance:			
0649	California Infrastructure and Economic Development Bank Fund	\$5,500	\$5,500	\$5,500
	Totals, Local Assistance	\$5,500	\$5,500	\$5,500
	SUBPROGRAM REQUIREMENTS			
0235010	California Film Commission			
	State Operations:			
0001	General Fund	\$2,664	\$2,361	\$2,344
3095	Film Promotion and Marketing Fund	10	10	10
	Totals, State Operations	\$2,674	\$2,371	\$2,354
	SUBPROGRAM REQUIREMENTS			
0235019	Tourism			
	State Operations:			
0001	General Fund	\$191	\$193	\$194
0995	Reimbursements	893	899	899
3083	Welcome Center Fund	-	1	1
	Totals, State Operations	\$1,084	\$1,093	\$1,094
	SUBPROGRAM REQUIREMENTS			
0235028	California Infrastructure and Economic Development Bank			
	State Operations:			
0649	California Infrastructure and Economic Development Bank Fund	3,965	5,582	5,322
0995	Reimbursements	212	212	212
	Totals, State Operations	\$4,177	\$5,794	\$5,534
	Local Assistance:			

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0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

		2015-16*	2016-17*	2017-18*
0649	California Infrastructure and Economic Development Bank Fund	\$5,500	\$5,500	\$5,500
	Totals, Local Assistance	\$5,500	\$5,500	\$5,500
	SUBPROGRAM REQUIREMENTS			
0235037	Small Business Expansion			
	State Operations:			
0001	General Fund	\$866	\$866	\$866
0890	Federal Trust Fund	-	322	-
0918	California Small Business Expansion Fund	2,115	2,117	2,117
0995	Reimbursements	487	487	487
	Totals, State Operations	\$3,468	\$3,792	\$3,470
	SUBPROGRAM REQUIREMENTS			
0235046	Welcome Center Program			
	State Operations:			
3083	Welcome Center Fund	\$110	\$110	\$110
	Totals, State Operations	\$110	\$110	\$110
	TOTALS, EXPENDITURES			
	State Operations	20,450	22,048	24,143
	Local Assistance	5,500	5,500	5,500
	Totals, Expenditures	\$25,950	\$27,548	\$29,643

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	66.3	82.4	83.0	\$5,621	\$6,401	\$6,301
Total Adjustments	16.8	-	11.0	1,617	180	1,048
Net Totals, Salaries and Wages	83.1	82.4	94.0	\$7,238	\$6,581	\$7,349
Staff Benefits	-	-	-	4,753	5,256	5,594
Totals, Personal Services	83.1	82.4	94.0	\$11,991	\$11,837	\$12,943
OPERATING EXPENSES AND EQUIPMENT				\$3,611	\$5,541	\$8,352
SPECIAL ITEMS OF EXPENSES				4,848	4,670	2,848
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$20,450	\$22,048	\$24,143

2 Local Assistance	Expenditures		
	2015-16*	2016-17*	2017-18*
Other Special Items of Expense	\$5,500	\$5,500	\$5,500
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$5,500	\$5,500	\$5,500

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$13,049	\$10,925	\$13,847
Allocation for Employee Compensation	193	103	-
Allocation for Staff Benefits	-	7	-
CalATERS Funding Removal	-	-1	-
Map Reimbursable Activities to New Item	-1,742	-	-
Section 1.50 Budget Adjustment	100	-	-
Section 3.60 Pension Contribution Adjustment	47	84	-
011 Budget Act appropriation (transfer to Small Business Expansion Fund)	<u>861</u>	<u>861</u>	<u>861</u>
TOTALS, EXPENDITURES	\$12,508	\$11,979	\$14,708
0649 California Infrastructure and Economic Development Bank Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,875	\$5,465	\$5,322
Allocation for Employee Compensation	74	77	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	<u>16</u>	<u>35</u>	<u>-</u>
TOTALS, EXPENDITURES	\$3,965	\$5,582	\$5,322
0890 Federal Trust Fund			
APPROPRIATIONS			
Budget adjustment for federal funds-Small Business Loan Guarantee Program	<u>-</u>	<u>\$322</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$322	\$-
0918 California Small Business Expansion Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$122	\$128	\$130
Allocation for Employee Compensation	6	-	-
Section 3.60 Pension Contribution Adjustment	-	2	-
Corporations Code section 14030	1,848	1,848	1,848
Corporations Code section 14030(a) (default payments)	<u>1,000</u>	<u>1,000</u>	<u>1,000</u>
TOTALS, EXPENDITURES	\$2,976	\$2,978	\$2,978
Less funding provided by General Fund	<u>-861</u>	<u>-861</u>	<u>-861</u>
NET TOTALS, EXPENDITURES	\$2,115	\$2,117	\$2,117
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	<u>\$1,742</u>	<u>\$1,927</u>	<u>\$1,648</u>
TOTALS, EXPENDITURES	\$1,742	\$1,927	\$1,648
3083 Welcome Center Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$107	\$110	\$111
Allocation for Employee Compensation	2	-	-
Section 3.60 Pension Contribution Adjustment	<u>1</u>	<u>1</u>	<u>-</u>
TOTALS, EXPENDITURES	\$110	\$111	\$111
3095 Film Promotion and Marketing Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$10</u>	<u>\$10</u>	<u>\$10</u>
TOTALS, EXPENDITURES	\$10	\$10	\$10

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0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
3237 Cost of Implementation Account, Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$227
TOTALS, EXPENDITURES	\$-	\$-	\$227
Total Expenditures, All Funds, (State Operations)	\$20,450	\$22,048	\$24,143
2 LOCAL ASSISTANCE	2015-16*	2016-17*	2017-18*
0649 California Infrastructure and Economic Development Bank Fund			
APPROPRIATIONS			
Government Code section 63050	\$5,500	\$5,500	\$5,500
TOTALS, EXPENDITURES	\$5,500	\$5,500	\$5,500
Total Expenditures, All Funds, (Local Assistance)	\$5,500	\$5,500	\$5,500
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$25,950	\$27,548	\$29,643

FUND CONDITION STATEMENTS

	2015-16*	2016-17*	2017-18*
3083 Welcome Center Fund^s			
BEGINNING BALANCE	\$54	\$39	\$28
Prior Year Adjustments	-5	-	-
Adjusted Beginning Balance	\$49	\$39	\$28
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172500 Miscellaneous Revenue	100	100	100
Total Revenues, Transfers, and Other Adjustments	\$100	\$100	\$100
Total Resources	\$149	\$139	\$128
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0509 Governor's Office of Business and Economic Development (GO-Biz) (State Operations)	110	111	111
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	-	11
Total Expenditures and Expenditure Adjustments	\$110	\$111	\$122
FUND BALANCE	\$39	\$28	\$6
Reserve for economic uncertainties	39	28	6
3095 Film Promotion and Marketing Fund^s			
BEGINNING BALANCE	\$24	\$22	\$22
Prior Year Adjustments	-2	-	-
Adjusted Beginning Balance	\$22	\$22	\$22
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4140000 Document Sales	10	10	10
Total Revenues, Transfers, and Other Adjustments	\$10	\$10	\$10
Total Resources	\$32	\$32	\$32
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0509 Governor's Office of Business and Economic Development (GO-Biz) (State Operations)	10	10	10
Total Expenditures and Expenditure Adjustments	\$10	\$10	\$10

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

	2015-16*	2016-17*	2017-18*			
FUND BALANCE	\$22	\$22	\$22			
Reserve for economic uncertainties	22	22	22			
CHANGES IN AUTHORIZED POSITIONS						
	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	66.3	82.4	83.0	\$5,621	\$6,401	\$6,301
Salary and Other Adjustments	16.8	-	-	1,617	180	116
Workload and Administrative Adjustments						
California Competes Tax Credit Program						
Assoc Govtl Program Analyst	-	-	3.0	-	-	183
Atty III	-	-	1.0	-	-	111
Staff Svcs Analyst (Gen)	-	-	1.0	-	-	39
Staff Svcs Mgr I	-	-	2.0	-	-	141
Staff Svcs Mgr II (Supvry)	-	-	1.0	-	-	85
Sys Software Spec III (Tech)	-	-	1.0	-	-	85
Various	-	-	1.0	-	-	124
Sustainable Freight Project Manager						
Various	-	-	1.0	-	-	165
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	11.0	\$-	\$-	\$933
Totals, Adjustments	16.8	-	11.0	\$1,617	\$180	\$1,048
TOTALS, SALARIES AND WAGES	83.1	82.4	94.0	\$7,238	\$6,581	\$7,349

0511 Secretary for Government Operations Agency

The Government Operations Agency is responsible for coordinating state operations, including procurement, information technology, and human resources. The mission of the Government Operations Agency is to improve management and accountability of government programs, increase efficiency, and promote better and more coordinated operations decisions. The Government Operations Agency oversees the Department of General Services, Department of Human Resources, Department of Technology, Office of Administrative Law, Franchise Tax Board, State Personnel Board, Victim Compensation Board, California Public Employees' Retirement System, and California State Teachers' Retirement System.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0250 Administration of Government Operations Agency	14.5	11.6	12.6	\$2,738	\$3,410	\$3,608
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	14.5	11.6	12.6	\$2,738	\$3,410	\$3,608
FUNDING				2015-16*	2016-17*	2017-18*
0001 General Fund				\$1,089	\$1,329	\$1,344
0995 Reimbursements				1,649	2,081	2,264
TOTALS, EXPENDITURES, ALL FUNDS				\$2,738	\$3,410	\$3,608

LEGAL CITATIONS AND AUTHORITY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0511 Secretary for Government Operations Agency - Continued

Government Code section 12803.2.

MAJOR PROGRAM CHANGES

- The Budget includes \$160,000 in reimbursements and 1.0 position to establish the Data Drive Management Initiative. The Data Driven Management Initiative will design and implement a data-driven performance management framework for improving departmental performance.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Data Driven Management Initiative	\$-	\$-	-	\$-	\$160	1.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$160	1.0
Other Workload Budget Adjustments						
• Salary Adjustments	\$16	\$25	-	\$21	\$34	-
• Retirement Rate Adjustments	11	18	-	11	18	-
• Miscellaneous Baseline Adjustments	-	-	-	8	12	-
• Benefit Adjustments	5	8	-	7	10	-
Totals, Other Workload Budget Adjustments	\$32	\$51	-	\$47	\$74	-
Totals, Workload Budget Adjustments	\$32	\$51	-	\$47	\$234	1.0
Totals, Budget Adjustments	\$32	\$51	-	\$47	\$234	1.0

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
PROGRAM REQUIREMENTS				
0250	ADMINISTRATION OF GOVERNMENT OPERATIONS AGENCY			
	State Operations:			
0001	General Fund	\$1,089	\$1,329	\$1,344
0995	Reimbursements	1,649	2,081	2,264
	Totals, State Operations	\$2,738	\$3,410	\$3,608
TOTALS, EXPENDITURES				
	State Operations	2,738	3,410	3,608
	Totals, Expenditures	\$2,738	\$3,410	\$3,608

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	11.6	11.6	11.6	\$1,178	\$1,186	\$1,186
Total Adjustments	2.9	-	1.0	394	41	149
Net Totals, Salaries and Wages	14.5	11.6	12.6	\$1,572	\$1,227	\$1,335
Staff Benefits	-	-	-	624	899	950
Totals, Personal Services	14.5	11.6	12.6	\$2,196	\$2,126	\$2,285
OPERATING EXPENSES AND EQUIPMENT				\$542	\$1,284	\$1,323

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0511 Secretary for Government Operations Agency - Continued

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,738	\$3,410	\$3,608

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,242	\$1,297	\$1,344
Allocation for Employee Compensation	-	16	-
Allocation for Staff Benefits	-	5	-
Baseline Benefits Adjustments	20	-	-
Baseline Salary Adjustments	45	-	-
Map Reimbursable Activities to New Item	-2,027	-	-
Section 3.60 Pension Contribution Adjustment	16	11	-
Totals Available	\$1,296	\$1,329	\$1,344
Unexpended balance, estimated savings	-207	-	-
TOTALS, EXPENDITURES	\$1,089	\$1,329	\$1,344
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$1,649	\$2,081	\$2,264
TOTALS, EXPENDITURES	\$1,649	\$2,081	\$2,264
Total Expenditures, All Funds, (State Operations)	\$2,738	\$3,410	\$3,608

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	11.6	11.6	11.6	\$1,178	\$1,186	\$1,186
Salary and Other Adjustments	2.9	-	-	394	41	55
Workload and Administrative Adjustments						
Data Driven Management Initiative						
C.E.A. - A	-	-	1.0	-	-	94
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	1.0	\$-	\$-	\$94
Totals, Adjustments	2.9	-	1.0	\$394	\$41	\$149
TOTALS, SALARIES AND WAGES	14.5	11.6	12.6	\$1,572	\$1,227	\$1,335

0515 Secretary for Business, Consumer Services, and Housing Agency

The Business, Consumer Services, and Housing Agency was established in 2013. The Agency is responsible for overseeing departments, boards, commissions and agencies that provide the following services to the people of California: protection of the public through the licensing and regulation of more than 100 businesses and 200 professional categories; regulation of financial services and state-licensed financial institutions; enforcement of the sale of alcoholic beverages; regulation of the horse racing industry; protection of civil rights; safe and affordable housing opportunities; and earthquake safety. The Agency is comprised of the following entities: Department of Consumer Affairs; Department of Business Oversight; Department of Fair Employment and Housing; Department of Housing and Community Development; California Housing and Finance Agency; Alcoholic Beverage Control; Alcoholic Beverage Control Appeals Board; California Horse Racing Board; and the Alfred E. Alquist Seismic Safety Commission.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0515 Secretary for Business, Consumer Services, and Housing Agency - Continued**3-YR EXPENDITURES AND POSITIONS**

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0260 Support	14.2	13.0	13.0	\$3,195	\$3,008	\$3,031
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	14.2	13.0	13.0	\$3,195	\$3,008	\$3,031

FUNDING	2015-16*	2016-17*	2017-18*
0001 General Fund	\$102	\$124	\$127
0067 State Corporations Fund	292	209	210
0240 Local Agency Deposit Security Fund	1	1	1
0298 Financial Institutions Fund	145	103	104
0299 Credit Union Fund	43	29	29
0995 Reimbursements	2,314	2,252	2,268
3036 Alcohol Beverages Control Fund	246	253	254
3153 Horse Racing Fund	52	37	38
TOTALS, EXPENDITURES, ALL FUNDS	\$3,195	\$3,008	\$3,031

LEGAL CITATIONS AND AUTHORITY

Government Code, Sections 12800, 12804, 12804.5, 12855, and 12856.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Salary Adjustments	\$1	\$38	-	\$2	\$43	-
• Retirement Rate Adjustments	1	25	-	1	25	-
• Benefit Adjustments	-	11	-	1	13	-
• Miscellaneous Baseline Adjustments	-	-	-	1	13	-
• Pro Rata	-	-185	-	-	-185	-
Totals, Other Workload Budget Adjustments	\$2	-\$111	-	\$5	-\$91	-
Totals, Workload Budget Adjustments	\$2	-\$111	-	\$5	-\$91	-
Totals, Budget Adjustments	\$2	-\$111	-	\$5	-\$91	-

DETAILED EXPENDITURES BY PROGRAM

	2015-16*	2016-17*	2017-18*
PROGRAM REQUIREMENTS			
0260 SUPPORT			
State Operations:			
0001 General Fund	\$102	\$124	\$127
0067 State Corporations Fund	292	209	210
0240 Local Agency Deposit Security Fund	1	1	1
0298 Financial Institutions Fund	145	103	104
0299 Credit Union Fund	43	29	29
0995 Reimbursements	2,314	2,252	2,268

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0515 Secretary for Business, Consumer Services, and Housing Agency - Continued

		2015-16*	2016-17*	2017-18*
3036	Alcohol Beverages Control Fund	246	253	254
3153	Horse Racing Fund	52	37	38
	Totals, State Operations	\$3,195	\$3,008	\$3,031
	TOTALS, EXPENDITURES			
	State Operations	3,195	3,008	3,031
	Totals, Expenditures	\$3,195	\$3,008	\$3,031

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	13.0	13.0	13.0	\$1,432	\$1,432	\$1,432
Total Adjustments	1.2	-	-	98	39	45
Net Totals, Salaries and Wages	14.2	13.0	13.0	\$1,530	\$1,471	\$1,477
Staff Benefits	-	-	-	654	758	761
Totals, Personal Services	14.2	13.0	13.0	\$2,184	\$2,229	\$2,238
OPERATING EXPENSES AND EQUIPMENT				\$1,011	\$779	\$793
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$3,195	\$3,008	\$3,031

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,248	\$122	\$127
Allocation for employee compensation	33	1	-
Allocation for staff benefits	17	-	-
Map Reimbursable Activities to New Item	-2,190	-	-
Section 3.60 pension contribution adjustment	14	1	-
Totals Available	\$122	\$124	\$127
Unexpended balance, estimated savings	-20	-	-
TOTALS, EXPENDITURES	\$102	\$124	\$127
0067 State Corporations Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$287	\$304	\$210
Allocation for employee compensation	3	3	-
Allocation for staff benefits	1	1	-
Pro Rata Assessments Removal	-	-101	-
Section 3.60 pension contribution adjustment	1	2	-
TOTALS, EXPENDITURES	\$292	\$209	\$210
0240 Local Agency Deposit Security Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1	\$1	\$1
TOTALS, EXPENDITURES	\$1	\$1	\$1
0298 Financial Institutions Fund			
APPROPRIATIONS			

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0515 Secretary for Business, Consumer Services, and Housing Agency - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
001 Budget Act appropriation	\$143	\$152	\$104
Allocation for employee compensation	1	1	-
Allocation for staff benefits	1	-	-
Pro Rata Assessments Removal	-	-51	-
Section 3.60 pension contribution adjustment	1	1	-
Totals Available	\$146	\$103	\$104
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$145	\$103	\$104
0299 Credit Union Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$43	\$44	\$29
Pro Rata Assessments Removal	-	-15	-
TOTALS, EXPENDITURES	\$43	\$29	\$29
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,314	\$2,252	\$2,268
TOTALS, EXPENDITURES	\$2,314	\$2,252	\$2,268
3036 Alcohol Beverages Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$240	\$246	\$254
Allocation for employee compensation	3	4	-
Allocation for staff benefits	2	1	-
Section 3.60 pension contribution adjustment	1	2	-
TOTALS, EXPENDITURES	\$246	\$253	\$254
3153 Horse Racing Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$51	\$54	\$38
Allocation for employee compensation	1	-	-
Pro Rata Assessments Removal	-	-18	-
Section 3.60 pension contribution adjustment	-	1	-
TOTALS, EXPENDITURES	\$52	\$37	\$38
Total Expenditures, All Funds, (State Operations)	\$3,195	\$3,008	\$3,031

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	13.0	13.0	13.0	\$1,432	\$1,432	\$1,432
Salary and Other Adjustments	1.2	-	-	98	39	45
Totals, Adjustments	1.2	-	-	\$98	\$39	\$45
TOTALS, SALARIES AND WAGES	14.2	13.0	13.0	\$1,530	\$1,471	\$1,477

0521 Secretary for Transportation Agency

The California State Transportation Agency develops and coordinates the policies and programs of the state's transportation entities to achieve the state's mobility, safety and environmental sustainability objectives.

3-YR EXPENDITURES AND POSITIONS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0521 Secretary for Transportation Agency - Continued

		Positions			Expenditures		
		2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0270	Administration of Transportation Agency	23.1	21.0	21.0	\$3,825	\$5,098	\$8,612
0275	California Traffic Safety Program	30.2	33.0	33.0	95,907	118,841	96,961
0276	Transit and Intercity Rail Capital Program	-	-	-	-	425,952	174,488
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		53.3	54.0	54.0	\$99,732	\$549,891	\$280,061

		2015-16*	2016-17*	2017-18*
0001	General Fund	\$-	\$-	\$3,500
0044	Motor Vehicle Account, State Transportation Fund	2,362	2,952	2,947
0046	Public Transportation Account, State Transportation Fund	5	9,006	25,014
0890	Federal Trust Fund	95,454	118,466	96,600
0995	Reimbursements	1,905	2,443	2,451
3228	Greenhouse Gas Reduction Fund	6	417,024	149,549
TOTALS, EXPENDITURES, ALL FUNDS		\$99,732	\$549,891	\$280,061

LEGAL CITATIONS AND AUTHORITY

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

MAJOR PROGRAM CHANGES

- Road Repair and Accountability Act of 2017 - Of the \$2.8 billion in first year revenues and loan repayments, \$25 million in state transit assistance funding will be available for intercity and commuter rail services.

DETAILED BUDGET ADJUSTMENTS

		2016-17*			2017-18*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments							
Workload Budget Change Proposals							
• Legislative Investments-GoMentum		\$-	\$-	-	\$3,500	\$-	-
Totals, Workload Budget Change Proposals		\$-	\$-	-	\$3,500	\$-	-
Other Workload Budget Adjustments							
• Road Repair and Accountability Act - Support for Intercity and Commuter Rail Services		\$-	\$-	-	\$-	\$25,008	-
• SWCAP		-	-	-	-	110	-
• Salary Adjustments		-	140	-	-	92	-
• Retirement Rate Adjustments		-	76	-	-	76	-
• Benefit Adjustments		-	26	-	-	28	-
• Carryover/Reappropriation		-	204,402	-	-	-	-
• Pro Rata		-	-29	-	-	-29	-
• Miscellaneous Baseline Adjustments		-	35,187	-	-	-49,813	-
Totals, Other Workload Budget Adjustments		\$-	\$239,802	-	\$-	-\$24,528	-
Totals, Workload Budget Adjustments		\$-	\$239,802	-	\$3,500	-\$24,528	-
Totals, Budget Adjustments		\$-	\$239,802	-	\$3,500	-\$24,528	-

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0521 Secretary for Transportation Agency - Continued**PROGRAM DESCRIPTIONS****0270 - ADMINISTRATION OF TRANSPORTATION AGENCY**

The Administration of the Transportation Agency, under direction of the Secretary, advises the Governor on major policy and program matters and provides oversight and support of the Agency's departments and programs.

0275 - CALIFORNIA TRAFFIC SAFETY PROGRAM

The California Traffic Safety Program develops the California Highway Safety Plan. The plan uses available state and federal resources to identify and address major traffic safety problems throughout the state.

0276 - TRANSIT AND INTERCITY RAIL CAPITAL PROGRAM

The Transit and Intercity Rail Capital Program provides grants to encourage low carbon transit operations through the Transit, Affordable Housing, and Sustainable Communities Program. The program goals include reducing greenhouse gas emissions, improving mobility access across the state, and providing benefits to California's disadvantaged communities.

DETAILED EXPENDITURES BY PROGRAM

		<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
	PROGRAM REQUIREMENTS			
0270	ADMINISTRATION OF TRANSPORTATION AGENCY			
	State Operations:			
0044	Motor Vehicle Account, State Transportation Fund	\$1,915	\$2,449	\$2,455
0046	Public Transportation Account, State Transportation Fund	5	6	6
0890	Federal Trust Fund	-	200	200
0995	Reimbursements	<u>1,905</u>	<u>2,443</u>	<u>2,451</u>
	Totals, State Operations	\$3,825	\$5,098	\$5,112
	Local Assistance:			
0001	General Fund	<u>\$-</u>	<u>\$-</u>	<u>\$3,500</u>
	Totals, Local Assistance	\$-	\$-	\$3,500
	PROGRAM REQUIREMENTS			
0275	CALIFORNIA TRAFFIC SAFETY PROGRAM			
	State Operations:			
0044	Motor Vehicle Account, State Transportation Fund	\$447	\$503	\$492
0890	Federal Trust Fund	49,163	68,439	59,607
3228	Greenhouse Gas Reduction Fund	<u>6</u>	<u>72</u>	<u>69</u>
	Totals, State Operations	\$49,616	\$69,014	\$60,168
	Local Assistance:			
0890	Federal Trust Fund	<u>\$46,291</u>	<u>\$49,827</u>	<u>\$36,793</u>
	Totals, Local Assistance	\$46,291	\$49,827	\$36,793
	PROGRAM REQUIREMENTS			
0276	TRANSIT AND INTERCITY RAIL CAPITAL PROGRAM			
	Local Assistance:			
0046	Public Transportation Account, State Transportation Fund	\$-	\$9,000	\$25,008
3228	Greenhouse Gas Reduction Fund	<u>-</u>	<u>416,952</u>	<u>149,480</u>
	Totals, Local Assistance	\$-	\$425,952	\$174,488
	TOTALS, EXPENDITURES			
	State Operations	53,441	74,112	65,280

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0521 Secretary for Transportation Agency - Continued

	2015-16*	2016-17*	2017-18*
Local Assistance	46,291	475,779	214,781
Totals, Expenditures	\$99,732	\$549,891	\$280,061

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	53.0	54.0	54.0	\$4,320	\$4,418	\$4,418
Total Adjustments	0.3	-	-	110	140	92
Net Totals, Salaries and Wages	53.3	54.0	54.0	\$4,430	\$4,558	\$4,510
Staff Benefits	-	-	-	2,225	2,487	2,489
Totals, Personal Services	53.3	54.0	54.0	\$6,655	\$7,045	\$6,999
OPERATING EXPENSES AND EQUIPMENT				\$1,840	\$4,329	\$4,439
SPECIAL ITEMS OF EXPENSES				44,946	62,738	53,842
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$53,441	\$74,112	\$65,280

2 Local Assistance	Expenditures		
	2015-16*	2016-17*	2017-18*
Consulting and Professional Services -	-\$441	-\$520	-\$520
Interdepartmental - Other			
Grants and Subventions - Governmental	46,732	476,299	215,301
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$46,291	\$475,779	\$214,781

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,794	\$2,742	\$2,947
Allocation for Employee Compensation	68	42	-
Allocation for Staff Benefits	39	12	-
CalATERS Funding Removal	-	-2	-
Map Reimbursable Activities to New Item	-2,218	-	-
Mapping of Unallocated Reimbursable Activities	-	164	-
Pro Rata Assessments Removal	-	-29	-
Section 3.60 Pension Contribution Adjustment	23	23	-
Totals Available	\$2,706	\$2,952	\$2,947
Unexpended balance, estimated savings	-344	-	-
TOTALS, EXPENDITURES	\$2,362	\$2,952	\$2,947
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$6	\$6	\$6
Totals Available	\$6	\$6	\$6
Unexpended balance, estimated savings	-1	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0521 Secretary for Transportation Agency - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
TOTALS, EXPENDITURES	\$5	\$6	\$6
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,703	\$5,801	\$5,965
Allocation for Employee Compensation	47	63	-
Allocation for Staff Benefits	27	6	-
Past Year Adjustments	-1,577	-	-
Section 3.60 Pension Contribution Adjustment	17	31	-
002 Budget Act appropriation	53,842	53,842	53,842
Prior Year Balances Available:			
Item 0521-002-0890, Budget Act of 2015	-	8,896	-
Totals Available	\$58,059	\$68,639	\$59,807
Balance available in subsequent years	-8,896	-	-
TOTALS, EXPENDITURES	\$49,163	\$68,639	\$59,807
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$1,905	\$2,443	\$2,451
TOTALS, EXPENDITURES	\$1,905	\$2,443	\$2,451
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$71	\$68	\$69
Allocation for Employee Compensation	-	3	-
Section 3.60 Pension Contribution Adjustment	-	1	-
Totals Available	\$71	\$72	\$69
Unexpended balance, estimated savings	-65	-	-
TOTALS, EXPENDITURES	\$6	\$72	\$69
Total Expenditures, All Funds, (State Operations)	\$53,441	\$74,112	\$65,280
2 LOCAL ASSISTANCE	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$3,500
TOTALS, EXPENDITURES	\$-	\$-	\$3,500
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$9,000	-
Public Utilities Code section 99312.3	-	-	25,008
TOTALS, EXPENDITURES	\$-	\$9,000	\$25,008
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$36,993	\$36,793	\$36,793
Prior Year Balances Available:			
Item 0521-101-0890, Budget Act of 2014	22,332	-	-
Item 0521-101-0890, Budget Act of 2015	-	13,034	-
Totals Available	\$59,325	\$49,827	\$36,793
Balance available in subsequent years	-13,034	-	-
TOTALS, EXPENDITURES	\$46,291	\$49,827	\$36,793
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0521 Secretary for Transportation Agency - Continued

2 LOCAL ASSISTANCE	2015-16*	2016-17*	2017-18*
Greenhouse Gas Reduction funds authorized by Section 370, Statutes of 2016	-	\$135,000	-
Health and Safety Code section 39719(b)(1)(A)	199,486	199,455	149,480
Current Year Carry Forward Adjustments	-	182,472	-
Health and Safety Code section 39719 (b) (1) (A)	-17,000	-99,975	-
Past Year Adjustments	-14	-	-
Totals Available	\$182,472	\$416,952	\$149,480
Balance available in subsequent years	-182,472	-	-
TOTALS, EXPENDITURES	\$-	\$416,952	\$149,480
Total Expenditures, All Funds, (Local Assistance)	\$46,291	\$475,779	\$214,781
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$99,732	\$549,891	\$280,061

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	53.0	54.0	54.0	\$4,320	\$4,418	\$4,418
Salary and Other Adjustments	0.3	-	-	110	140	92
Totals, Adjustments	0.3	-	-	\$110	\$140	\$92
TOTALS, SALARIES AND WAGES	53.3	54.0	54.0	\$4,430	\$4,558	\$4,510

0530 Secretary for California Health and Human Services Agency

The primary mission of the Health and Human Services Agency (HHSA) is to provide policy leadership and direction to the departments, board and programs it oversees, to reduce duplication and fragmentation among HHSA departments in policy development and implementation, to improve coordination among departments on common programs, to ensure programmatic integrity, and to advance the Governor's priorities on health and human services issues.

The HHSA accomplishes its mission through the administration and coordination of state and federal programs for public health, health care services, social services, public assistance, health planning and licensing, and rehabilitation. These programs touch the lives of millions of California's most needy and vulnerable residents. The HHSA is committed to striking a balance between maintaining access to essential health and human services for California's most disadvantaged and at-risk residents while pursuing ways to better manage and control costs.

The following departments and entities fall under the purview of the HHSA:

- Department of Aging
- Department of Child Support Services
- Department of Community Services and Development
- Department of Developmental Services
- Emergency Medical Services Authority
- Department of Health Care Services
- Department of Managed Health Care
- Department of Public Health
- Department of Rehabilitation
- Department of Social Services
- Department of State Hospitals
- Office of Statewide Health Planning and Development

3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0280	Secretary of California Health and Human Services	39.3	26.4	26.4	\$8,317	\$9,958	\$10,239
0285	California Office of Health Information Integrity (CALOHII)	8.3	8.2	8.2	2,998	2,761	1,684
0290	Office of Systems Integration	198.3	255.8	328.8	319,520	390,371	442,678
0295	Office of the Patient Advocate	6.2	10.2	10.2	1,955	2,083	2,072

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0530 Secretary for California Health and Human Services Agency - Continued

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	252.1	300.6	373.6	\$332,790	\$405,173	\$456,673
FUNDING				2015-16*	2016-17*	2017-18*
0001 General Fund				\$5,297	\$4,164	\$3,891
0890 Federal Trust Fund				1,277	3,000	3,000
0942 Special Deposit Fund				-	800	-
0995 Reimbursements				4,055	3,251	3,222
3209 Office of Patient Advocate Trust Fund				1,955	2,083	2,072
9740 Central Service Cost Recovery Fund				1,154	2,002	2,299
9745 California Health and Human Services Automation Fund				319,052	389,873	442,189
TOTALS, EXPENDITURES, ALL FUNDS				\$332,790	\$405,173	\$456,673

LEGAL CITATIONS AND AUTHORITY

0280-Secretary for Health and Human Services:

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

0285-The California Office of Health Information Integrity:

Health and Safety Code Division 110, Section 130300 et seq. Health and Safety Code Section 130200 et seq, 130250 et seq, 130275 et seq.

0290-Office of Systems Integration:

Government Code, Title 2, Division 3, Part 2.5, Section 12803.3.

0295-Office of the Patient Advocate:

Health and Safety Code Division 115, Section 136000 et seq.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Office of Law Enforcement Support Rightsizing	\$-	\$-	-	\$271	\$-	-
• Child Welfare Digital Services Adjustment	-	-	-	-	51,110	57.0
• Medi-Cal Eligibility Data System Modernization Multi-Departmental Planning Team	-	-	-	-	5,473	13.0
• Case Management, Information and Payrolling System II - Implementation of Paid Sick Leave for IHSS Providers (SB 3)	-	-	-	-	4,800	-
• Horizontal Integration Office: Transfer to Office of Systems Integration	-	-	-	-	371	3.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$271	\$61,754	73.0
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$-	\$-	-	\$1,368	\$3,436	-
• Salary Adjustments	155	691	-	113	321	-
• Retirement Rate Adjustments	70	379	-	70	379	-

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0530 Secretary for California Health and Human Services Agency - Continued

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Benefit Adjustments	41	100	-	49	84	-
• Pro Rata	-	-21	-	-	-21	-
• Miscellaneous Baseline Adjustments	-1	-1	-	-250	248	-
• Budget Position Transparency	-	-	-	-1,368	-3,436	-53.4
Totals, Other Workload Budget Adjustments	\$265	\$1,148	-	-\$18	\$1,011	-53.4
Totals, Workload Budget Adjustments	\$265	\$1,148	-	\$253	\$62,765	19.6
Totals, Budget Adjustments	\$265	\$1,148	-	\$253	\$62,765	19.6

PROGRAM DESCRIPTIONS**0280 - SECRETARY FOR HEALTH AND HUMAN SERVICES**

The Secretary for California Health and Human Services Agency provides the Governor with the highest level of advice on state health, human services and related budget policy issues. The Secretary also provides the highest level of leadership and oversight of the agency-wide efforts to promote the health and well-being of a growing and increasingly diverse California population.

0285 - THE CALIFORNIA OFFICE OF HEALTH INFORMATION INTEGRITY

The California Office of Health Information Integrity (CalOHII) is responsible for statewide leadership, coordination, policy formation, direction, and oversight responsibilities for federal Health Insurance Portability and Accountability Act (HIPAA) requirements. As the leading resource for providing HIPAA expertise to the State of California, CalOHII exercises full authority relative to state entities to establish policy, provide direction to state entities, monitor progress and report on implementation efforts based on state and federal laws.

0290 - OFFICE OF SYSTEMS INTEGRATION

This Office provides project management services for automation projects for the Health and Human Services Agency, the Department of Social Services, the Department of Health Care Services, the Department of Public Health, and the Health Benefit Exchange, including:

- Appeals Case Management System
- California Healthcare Eligibility, Enrollment and Retention System Project.
- Case Management, Information and Payrolling System II
- Child Welfare Services/Case Management System
- Child Welfare Services - New System
- Electronic Benefit Transfer System
- Electronic Women, Infants and Children Management Information System Project
- Medi-Cal Eligibility Data System Modernization
- Statewide Automated Welfare System
- Statewide Fingerprint Imaging System
- Welfare Data Tracking Implementation Project

0295 - OFFICE OF THE PATIENT ADVOCATE

The mission of the Office of the Patient Advocate is to improve the access to and quality of health care services by promoting transparency and accountability. The Office of the Patient Advocate is mandated to produce a number of public reports on health care service topics. Current reporting activities include:

- Online Annual Health Care Quality Report Cards on health plans and medical groups,
- A Baseline Review and annual report on the State Consumer Assistance Call Centers and health care complaint data,
- Online Timely Access Compliance report on California Department of Managed Health Care health care services plans and providers, and
- Model protocols for State Consumer Assistance Call Centers.

DETAILED EXPENDITURES BY PROGRAM

	2015-16*	2016-17*	2017-18*
PROGRAM REQUIREMENTS			
0280 SECRETARY OF CALIFORNIA HEALTH AND HUMAN SERVICES			
State Operations:			

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0530 Secretary for California Health and Human Services Agency - Continued

		<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
0001	General Fund	\$3,394	\$3,064	\$3,048
0890	Federal Trust Fund	1,277	3,000	3,000
0995	Reimbursements	2,492	1,892	1,892
9740	Central Service Cost Recovery Fund	<u>1,154</u>	<u>2,002</u>	<u>2,299</u>
	Totals, State Operations	\$8,317	\$9,958	\$10,239
	PROGRAM REQUIREMENTS			
0285	CALIFORNIA OFFICE OF HEALTH INFORMATION INTEGRITY (CALOHII)			
	State Operations:			
0001	General Fund	\$1,903	\$1,100	\$843
0942	Special Deposit Fund	-	800	-
0995	Reimbursements	<u>1,095</u>	<u>861</u>	<u>841</u>
	Totals, State Operations	\$2,998	\$2,761	\$1,684
	PROGRAM REQUIREMENTS			
0290	OFFICE OF SYSTEMS INTEGRATION			
	State Operations:			
0995	Reimbursements	468	498	489
9745	California Health and Human Services Automation Fund	319,052	389,873	442,189
	Totals, State Operations	\$319,520	\$390,371	\$442,678
	PROGRAM REQUIREMENTS			
0295	OFFICE OF THE PATIENT ADVOCATE			
	State Operations:			
3209	Office of Patient Advocate Trust Fund	<u>\$1,955</u>	<u>\$2,083</u>	<u>\$2,072</u>
	Totals, State Operations	\$1,955	\$2,083	\$2,072
	TOTALS, EXPENDITURES			
	State Operations	<u>332,790</u>	<u>405,173</u>	<u>456,673</u>
	Totals, Expenditures	\$332,790	\$405,173	\$456,673

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	<u>2015-16</u>	<u>2016-17</u>	<u>2017-18</u>	<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
PERSONAL SERVICES						
Baseline Positions	231.3	300.6	354.0	\$18,641	\$26,375	\$29,607
Total Adjustments	<u>20.8</u>	<u>-</u>	<u>19.6</u>	<u>2,835</u>	<u>846</u>	<u>1,294</u>
Net Totals, Salaries and Wages	252.1	300.6	373.6	\$21,476	\$27,221	\$30,901
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>7,153</u>	<u>15,438</u>	<u>17,587</u>
Totals, Personal Services	252.1	300.6	373.6	\$28,629	\$42,659	\$48,488
OPERATING EXPENSES AND EQUIPMENT				<u>\$304,161</u>	<u>\$362,514</u>	<u>\$408,185</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$332,790	\$405,173	\$456,673

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
	0001 General Fund			
APPROPRIATIONS				

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0530 Secretary for California Health and Human Services Agency - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
001 Budget Act appropriation	\$6,144	\$2,814	\$3,048
Allocation for Employee Compensation	94	146	-
Allocation for Staff Benefits	28	38	-
CalATERS Funding Removal	-	-1	-
Section 3.60 Pension Contribution Adjustment	34	67	-
Technical Adjustments	-2,492	-	-
017 Budget Act appropriation	3,770	1,085	843
Allocation for Employee Compensation	20	9	-
Allocation for Staff Benefits	11	3	-
Section 3.60 Pension Contribution Adjustment	7	3	-
Technical Adjustments	-1,333	-	-
Totals Available	\$6,283	\$4,164	\$3,891
Unexpended balance, estimated savings	-986	-	-
TOTALS, EXPENDITURES	\$5,297	\$4,164	\$3,891
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,643	\$3,000	\$3,000
Totals Available	\$3,643	\$3,000	\$3,000
Unexpended balance, estimated savings	-2,366	-	-
TOTALS, EXPENDITURES	\$1,277	\$3,000	\$3,000
0942 Special Deposit Fund			
APPROPRIATIONS			
017 Budget Act appropriation	-	\$800	-
TOTALS, EXPENDITURES	\$-	\$800	\$-
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$4,055	\$3,251	\$3,222
TOTALS, EXPENDITURES	\$4,055	\$3,251	\$3,222
3209 Office of Patient Advocate Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,089	\$2,077	\$2,072
Allocation for Employee Compensation	12	18	-
Allocation for Staff Benefits	4	2	-
Pro Rata Assessments Removal	-	-21	-
Section 3.60 Pension Contribution Adjustment	4	7	-
Totals Available	\$2,109	\$2,083	\$2,072
Unexpended balance, estimated savings	-154	-	-
TOTALS, EXPENDITURES	\$1,955	\$2,083	\$2,072
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,154	\$2,002	\$2,299
TOTALS, EXPENDITURES	\$1,154	\$2,002	\$2,299
9745 California Health and Human Services Automation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$331,874	\$388,772	\$442,189
Allocation for Employee Compensation	405	652	-
Allocation for Staff Benefits	222	87	-
CalATERS Funding Removal	-	-1	-

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0530 Secretary for California Health and Human Services Agency - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Past Year Adjustments	-28,758	-	-
Revised Expenditure Authority per Provision 1	15,635	-	-
Section 3.60 Pension Contribution Adjustment	142	363	-
Technical Adjustments	-468	-	-
TOTALS, EXPENDITURES	\$319,052	\$389,873	\$442,189
Total Expenditures, All Funds, (State Operations)	\$332,790	\$405,173	\$456,673

FUND CONDITION STATEMENTS

	2015-16*	2016-17*	2017-18*
3209 Office of Patient Advocate Trust Fund^s			
BEGINNING BALANCE	\$1,252	\$1,438	\$1,417
Prior Year Adjustments	43	-	-
Adjusted Beginning Balance	\$1,295	\$1,438	\$1,417
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	9	9	9
Transfers and Other Adjustments			
Revenue Transfer from Insurance Fund (0217) to Office of Patient Advocate Trust Fund (3209) per Chapter 552, Statutes of 2011	211	208	165
Revenue Transfer from Managed Care Fund (0933) to Office of Patient Advocate Trust Fund (3209) per Chapter 552, Statutes of 2011	1,878	1,869	1,898
Total Revenues, Transfers, and Other Adjustments	\$2,098	\$2,086	\$2,072
Total Resources	\$3,393	\$3,524	\$3,489
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0530 Secretary for California Health and Human Services Agency (State Operations)	1,955	2,083	2,072
8880 Financial Information System for California (State Operations)	-	3	2
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	21	7
Total Expenditures and Expenditure Adjustments	\$1,955	\$2,107	\$2,081
FUND BALANCE	\$1,438	\$1,417	\$1,408
Reserve for economic uncertainties	1,438	1,417	1,408

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	231.3	300.6	354.0	\$18,641	\$26,375	\$29,607
Salary and Other Adjustments	20.8	-	-53.4	2,835	846	-4,370
Workload and Administrative Adjustments						
Child Welfare Digital Services Adjustment						
	-	-	-	-	-	-
Assoc Info Sys Analyst (Spec)	-	-	6.0	-	-	369
Assoc Pers Analyst	-	-	1.0	-	-	62
Assoc Programmer Analyst (Spec)	-	-	2.0	-	-	134
Dp Mgr I	-	-	-1.0	-	-	-77
Dp Mgr II	-	-	4.0	-	-	340
Dp Mgr III	-	-	1.0	-	-	98
Mgmt Svcs Techn	-	-	1.0	-	-	38

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0530 Secretary for California Health and Human Services Agency - Continued

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Office Techn (Typing)	-	-	1.0	-	-	38
Sr Info Sys Analyst (Spec)	-	-	15.0	-	-	1,194
	-	-	-	-	-	-
Sr Legal Analyst	-	-	1.0	-	-	65
Sr Programmer Analyst (Spec)	-	-	1.0	-	-	81
Sr Programmer Analyst (Supvr)	-	-	1.0	-	-	85
Staff Info Sys Analyst (Spec)	-	-	9.0	-	-	625
Staff Programmer Analyst (Spec)	-	-	2.0	-	-	147
Staff Svcs Analyst (Gen)	-	-	1.0	-	-	47
Staff Svcs Mgr III	-	-	2.0	-	-	182
Sys Software Spec I (Tech)	-	-	1.0	-	-	74
Sys Software Spec II (Supvry)	-	-	2.0	-	-	170
Sys Software Spec II (Tech)	-	-	4.0	-	-	262
Sys Software Spec III (Supvry)	-	-	3.0	-	-	266
Horizontal Integration Office: Transfer to Office of Systems Integration						
Assoc Govtl Program Analyst	-	-	1.0	-	-	59
C.E.A.	-	-	1.0	-	-	116
Staff Svcs Mgr I	-	-	1.0	-	-	69
Medi-Cal Eligibility Data System Modernization Multi-Departmental Planning Team						
Temporary Help (Limited Term 06-30-2019)	-	-	13.0	-	-	1,220
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	73.0	\$-	\$-	\$5,664
Totals, Adjustments	20.8	-	19.6	\$2,835	\$846	\$1,294
TOTALS, SALARIES AND WAGES	252.1	300.6	373.6	\$21,476	\$27,221	\$30,901

0540 Secretary of the Natural Resources Agency

The mission of the Natural Resources Agency is to restore, protect and manage the state's natural, historical and cultural resources for current and future generations using creative approaches and solutions based on science, collaboration and respect for all involved communities. The secretary for Natural Resources, a member of the Governor's Cabinet, sets the policies and coordinates the environmental preservation and restoration activities of 26 various departments, boards, commissions and conservancies, and directly administers the Sea Grant Program, Ocean Protection Council, California Environmental Quality Act, Environmental Enhancement Mitigation Program, River Parkways, Urban Greening, and the California Cultural and Historical Endowment grant programs.

The Natural Resources Agency consists of the departments of Forestry and Fire Protection, Conservation, Fish and Wildlife, Parks and Recreation, and Water Resources; the California Conservation Corps; Exposition Park; California Science Center; California African American Museum; the State Lands Commission; the Colorado River Board; the San Francisco Bay Conservation and Development Commission; the Energy Resources Conservation and Development Commission; the Wildlife Conservation Board; the Delta Protection Commission; the California Coastal Commission; the State Coastal Conservancy; the California Tahoe Conservancy; the Santa Monica Mountains Conservancy; the Coachella Valley Mountains Conservancy; the San Joaquin River Conservancy; the San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy; the Baldwin Hills Conservancy; the San Diego River Conservancy; the Sierra Nevada Conservancy; the Sacramento-San Joaquin Delta Conservancy; the Native American Heritage Commission; and the Special Resources Program.

3-YR EXPENDITURES AND POSITIONS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0540 Secretary of the Natural Resources Agency - Continued

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0320 Administration of Natural Resources Agency	39.5	43.4	49.4	\$29,096	\$510,794	\$100,704
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	39.5	43.4	49.4	\$29,096	\$510,794	\$100,704
FUNDING				2015-16*	2016-17*	2017-18*
0001 General Fund				\$2,655	\$9,599	\$21,437
0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund				52	-	-
0140 California Environmental License Plate Fund				3,512	4,584	4,590
0183 Environmental Enhancement and Mitigation Program Fund				12,940	14,918	7,020
0890 Federal Trust Fund				589	9,215	9,213
0995 Reimbursements				1,737	873	1,200
1018 Lake Tahoe Science and Lake Improvement Account, General Fund				284	150	150
3212 Timber Regulation and Forest Restoration Fund				2,595	1,205	2,211
3228 Greenhouse Gas Reduction Fund				150	80,003	5
3237 Cost of Implementation Account, Air Pollution Control Fund				470	258	934
6015 River Protection Subaccount				-	-	4,400
6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund				1,267	6,650	84
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002				419	2,478	267
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006				2,006	21,203	23,653
6052 Disaster Preparedness and Flood Prevention Bond Fund of 2006				105	-	-
6076 California Ocean Protection Trust Fund				233	621	6,024
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014				82	359,037	19,351
8058 California Cultural and Historical Endowment Fund				-	-	165
TOTALS, EXPENDITURES, ALL FUNDS				\$29,096	\$510,794	\$100,704

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Government Code Sections 12800, 12801, 12802.5, 12805, 12807-12810, 12850-12850.7, 12851-12855. Public Resources Code Sections 32300, 36000, 85000. Education Code Sections 20053, 20080, 20092.

MAJOR PROGRAM CHANGES

- The Budget establishes a new Natural Resources and Parks Preservation Fund, which is a "pay as you go" special fund to support natural resources programs previously funded by bond measures, such as water and flood management, parks, forestry, land preservation, wildlife habitat, and climate adaptation. This new approach will be cost effective, as the state will get double the value for each dollar compared to bond financing. The amount of funding allocated for this Fund will be determined on a year-to-year basis.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Legislative Investments	\$-	\$-	-	\$18,838	\$-	-
• San Joaquin River Restoration Settlement	-	-	-	-	20,464	-

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0540 Secretary of the Natural Resources Agency - Continued

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• OTC Fees to Complete MPA Mitigation Program	-	-	-	-	5,400	-
• Bonds Unit Positions and Local Assistance	-	-	-	-	4,400	3.0
• Timber Regulation and Forest Restoration Program	-	-	-	-	1,009	1.0
• Climate Change Scoping Plan Implementation: Natural and Working Lands	-	-	-	-	675	-
• Museum Grant Program Staffing	-	-	-	-	165	1.0
• AB 2800 Implementation	-	-	-	-	150	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$18,838	\$32,263	5.0
Other Workload Budget Adjustments						
• Salary Adjustments	\$-	\$146	-	\$-	\$100	-
• Retirement Rate Adjustments	-	74	-	-	74	-
• Benefit Adjustments	-	31	-	-	34	-
• Carryover/Reappropriation	-	21,771	-	-	-	-
• Pro Rata	-	-85	-	-	-85	-
• Miscellaneous Baseline Adjustments	-	79,998	-	-	-49,769	-
Totals, Other Workload Budget Adjustments	\$-	\$101,935	-	\$-	-\$49,646	-
Totals, Workload Budget Adjustments	\$-	\$101,935	-	\$18,838	-\$17,383	5.0
Totals, Budget Adjustments	\$-	\$101,935	-	\$18,838	-\$17,383	5.0

PROGRAM DESCRIPTIONS

0320 - Administration of Natural Resources Agency

The Natural Resources Agency sets the policies and coordinates the environmental preservation and restoration activities of 26 various departments, boards, commissions, and conservancies, and directly administers the Sea Grant Program, Ocean Protection Council, California Environmental Quality Act, Environmental Enhancement Mitigation Program, River Parkways, Urban Greening, and the California Cultural and Historical Endowment grant programs.

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
	PROGRAM REQUIREMENTS			
0320	ADMINISTRATION OF NATURAL RESOURCES AGENCY			
	State Operations:			
0001	General Fund	\$2,655	\$5,099	\$2,599
0005	Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund	52	-	-
0140	California Environmental License Plate Fund	3,512	4,584	4,590
0183	Environmental Enhancement and Mitigation Program Fund	276	320	320
0890	Federal Trust Fund	589	9,215	9,213
0995	Reimbursements	1,737	873	1,200
1018	Lake Tahoe Science and Lake Improvement Account, General Fund	284	150	150
3212	Timber Regulation and Forest Restoration Fund	2,595	1,205	2,211

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0540 Secretary of the Natural Resources Agency - Continued

		2015-16*	2016-17*	2017-18*
3228	Greenhouse Gas Reduction Fund	150	3	5
3237	Cost of Implementation Account, Air Pollution Control Fund	470	258	934
6029	California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund	32	87	84
6031	Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002	419	278	267
6051	Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006	2,006	19,745	3,189
6052	Disaster Preparedness and Flood Prevention Bond Fund of 2006	105	-	-
6076	California Ocean Protection Trust Fund	233	621	6,024
6083	Water Quality, Supply, and Infrastructure Improvement Fund of 2014	82	340,437	751
8058	California Cultural and Historical Endowment Fund	-	-	165
	Totals, State Operations	\$15,197	\$382,875	\$31,702
	Local Assistance:			
0001	General Fund	\$-	\$4,500	\$18,838
0183	Environmental Enhancement and Mitigation Program Fund	12,664	14,598	6,700
3228	Greenhouse Gas Reduction Fund	-	80,000	-
6015	River Protection Subaccount	-	-	4,400
6029	California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund	1,235	6,563	-
6031	Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002	-	2,200	-
6051	Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006	-	1,458	20,464
6083	Water Quality, Supply, and Infrastructure Improvement Fund of 2014	-	18,600	18,600
	Totals, Local Assistance	\$13,899	\$127,919	\$69,002
	TOTALS, EXPENDITURES			
	State Operations	15,197	382,875	31,702
	Local Assistance	13,899	127,919	69,002
	Totals, Expenditures	\$29,096	\$510,794	\$100,704

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	41.4	43.4	44.4	\$3,388	\$2,936	\$2,983
Total Adjustments	-1.9	-	5.0	70	146	254
Net Totals, Salaries and Wages	39.5	43.4	49.4	\$3,458	\$3,082	\$3,237
Staff Benefits	-	-	-	1,967	1,440	1,518
Totals, Personal Services	39.5	43.4	49.4	\$5,425	\$4,522	\$4,755
OPERATING EXPENSES AND EQUIPMENT				\$9,446	\$375,941	\$24,470
SPECIAL ITEMS OF EXPENSES				326	2,412	2,477

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0540 Secretary of the Natural Resources Agency - Continued

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$15,197	\$382,875	\$31,702

2 Local Assistance	Expenditures		
	2015-16*	2016-17*	2017-18*
Consulting and Professional Services -	\$-	\$-	\$20,464
Interdepartmental - Other			
Grants and Subventions - Governmental	13,899	127,919	48,538
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$13,899	\$127,919	\$69,002

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,655	\$5,099	\$2,599
TOTALS, EXPENDITURES	\$2,655	\$5,099	\$2,599
0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection			
Bond Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$52	-	-
TOTALS, EXPENDITURES	\$52	\$-	\$-
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
002 Budget Act appropriation (transfer to Environmental Enhancement and Mitigation Program Fund)	(\$7,000)	(\$7,000)	(\$7,000)
TOTALS, EXPENDITURES	\$-	\$-	\$-
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
011 Budget Act appropriation (transfer from the Motor Vehicle Account, STF to the California Environmental License Plate Fund)	-	-	(\$6,300)
TOTALS, EXPENDITURES	\$-	\$-	\$-
0140 California Environmental License Plate Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,801	\$4,424	\$4,590
Allocation for Employee Compensation	45	92	-
Allocation for Staff Benefits	25	22	-
CalATERS Funding Removal	-	-2	-
Map Reimbursable Activities to New Item	-598	-	-
Section 3.60 Pension Contribution Adjustment	15	48	-
Prior Year Balances Available:			
Item 0540-001-0140, Budget Act of 2014	2,500	-	-
Totals Available	\$6,788	\$4,584	\$4,590
Unexpended balance, estimated savings	-3,276	-	-
TOTALS, EXPENDITURES	\$3,512	\$4,584	\$4,590
0183 Environmental Enhancement and Mitigation Program Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0540 Secretary of the Natural Resources Agency - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
001 Budget Act appropriation	\$316	\$330	\$320
Allocation for Employee Compensation	15	3	-
Allocation for Staff Benefits	5	1	-
Pro Rata Assessments Removal	-	-16	-
Section 3.60 Pension Contribution Adjustment	5	2	-
Totals Available	\$341	\$320	\$320
Unexpended balance, estimated savings	-65	-	-
TOTALS, EXPENDITURES	\$276	\$320	\$320
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$9,209	\$9,212	\$9,213
Allocation for Employee Compensation	2	2	-
Allocation for Staff Benefits	1	-	-
Section 3.60 Pension Contribution Adjustment	1	1	-
Totals Available	\$9,213	\$9,215	\$9,213
Unexpended balance, estimated savings	-8,624	-	-
TOTALS, EXPENDITURES	\$589	\$9,215	\$9,213
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$1,737	\$873	\$1,200
TOTALS, EXPENDITURES	\$1,737	\$873	\$1,200
1018 Lake Tahoe Science and Lake Improvement Account, General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$300	\$150	\$150
Totals Available	\$300	\$150	\$150
Unexpended balance, estimated savings	-16	-	-
TOTALS, EXPENDITURES	\$284	\$150	\$150
3212 Timber Regulation and Forest Restoration Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,594	\$1,004	\$2,211
Allocation for Employee Compensation	1	3	-
Allocation for Staff Benefits	1	-	-
Pro Rata Assessments Removal	-	-33	-
Section 3.60 Pension Contribution Adjustment	-	1	-
Chapter 340, Statutes of 2016	-	230	-
Prior Year Balances Available:			
Pending Legislation	-	-	230
Miscellaneous Baseline Adjustments	-	-	-230
Totals Available	\$2,596	\$1,205	\$2,211
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$2,595	\$1,205	\$2,211
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
Executive Order 15/16-56	\$150	-	-
Prior Year Balances Available:			
Public Resources Code section 75200.3 and Health and Safety Code section 39719(c)	-	3	5
TOTALS, EXPENDITURES	\$150	\$3	\$5

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0540 Secretary of the Natural Resources Agency - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
3237 Cost of Implementation Account, Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$493	\$290	\$934
Allocation for Employee Compensation	5	2	-
Allocation for Staff Benefits	2	1	-
Pro Rata Assessments Removal	-	-36	-
Section 3.60 Pension Contribution Adjustment	2	1	-
Totals Available	\$502	\$258	\$934
Unexpended balance, estimated savings	-32	-	-
TOTALS, EXPENDITURES	\$470	\$258	\$934
6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$410	\$83	\$84
Allocation for Employee Compensation	13	3	-
Allocation for Staff Benefits	6	-	-
Section 3.60 Pension Contribution Adjustment	5	1	-
Totals Available	\$434	\$87	\$84
Unexpended balance, estimated savings	-402	-	-
TOTALS, EXPENDITURES	\$32	\$87	\$84
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002			
APPROPRIATIONS			
001 Budget Act appropriation	\$813	\$263	\$267
Allocation for Employee Compensation	12	10	-
Allocation for Staff Benefits	7	1	-
Section 3.60 Pension Contribution Adjustment	4	4	-
Totals Available	\$836	\$278	\$267
Unexpended balance, estimated savings	-417	-	-
TOTALS, EXPENDITURES	\$419	\$278	\$267
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,071	\$19,710	\$3,189
Allocation for Employee Compensation	2	21	-
Allocation for Staff Benefits	2	3	-
Section 3.60 Pension Contribution Adjustment	1	11	-
Totals Available	\$3,076	\$19,745	\$3,189
Unexpended balance, estimated savings	-1,070	-	-
TOTALS, EXPENDITURES	\$2,006	\$19,745	\$3,189
6052 Disaster Preparedness and Flood Prevention Bond Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$98	-	-
Prior Year Balances Available:			
Item 0540-001-6052, Budget Act of 2012 as reappropriated by Item 0540-492, Budget Act of 2014 as amended by Chapter 1, Statutes of 2015	7	-	-
TOTALS, EXPENDITURES	\$105	\$-	\$-
6076 California Ocean Protection Trust Fund			
APPROPRIATIONS			

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0540 Secretary of the Natural Resources Agency - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
001 Budget Act appropriation	\$600	\$611	\$6,024
Allocation for Employee Compensation	6	5	-
Allocation for Staff Benefits	3	2	-
Section 3.60 Pension Contribution Adjustment	<u>2</u>	<u>3</u>	<u>-</u>
Totals Available	\$611	\$621	\$6,024
Unexpended balance, estimated savings	<u>-378</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$233	\$621	\$6,024
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014			
APPROPRIATIONS			
001 Budget Act appropriation	\$519	\$340,432	\$751
Allocation for Employee Compensation	5	3	-
Allocation for Staff Benefits	1	-	-
Section 3.60 Pension Contribution Adjustment	<u>2</u>	<u>2</u>	<u>-</u>
Totals Available	\$527	\$340,437	\$751
Unexpended balance, estimated savings	<u>-445</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$82	\$340,437	\$751
8058 California Cultural and Historical Endowment Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>-</u>	<u>-</u>	<u>\$165</u>
TOTALS, EXPENDITURES	\$-	\$-	\$165
Total Expenditures, All Funds, (State Operations)	\$15,197	\$382,875	\$31,702
2 LOCAL ASSISTANCE	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$4,500	\$18,838
Reversion of Legislative-Directed Arts Council Grants	-	-4,500	-
Technical Adjustment	<u>-</u>	<u>4,500</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$4,500	\$18,838
0183 Environmental Enhancement and Mitigation Program Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$6,700	\$6,700	\$6,700
Prior Year Balances Available:			
Item 0540-101-0183, Budget Act of 2013 as added by Chapter 354, Statutes of 2013	6,700	-	-
Carryover	-	7,898	-
Past year adjustments	<u>9,229</u>	<u>-</u>	<u>-</u>
Totals Available	\$22,629	\$14,598	\$6,700
Unexpended balance, estimated savings	<u>-2,067</u>	<u>-</u>	<u>-</u>
Balance available in subsequent years	<u>-7,898</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$12,664	\$14,598	\$6,700
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
Miscellaneous Baseline Adjustments	<u>-</u>	<u>\$80,000</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$80,000	\$-
6015 River Protection Subaccount			
APPROPRIATIONS			
101 Budget Act appropriation	<u>\$4,400</u>	<u>-</u>	<u>\$4,400</u>
Totals Available	\$4,400	\$-	\$4,400

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0540 Secretary of the Natural Resources Agency - Continued

2 LOCAL ASSISTANCE	2015-16*	2016-17*	2017-18*
Unexpended balance, estimated savings	-4,400	-	-
TOTALS, EXPENDITURES	\$-	\$-	\$4,400
6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$1,990	-
Prior Year Balances Available:			
Item 0540-101-6029, Budget Act of 2014	4,573	4,573	-
Item renumbered from 6120-101-6029, Budget Act of 2003 as reappropriated by Item 6120-490, BAs of 2004, 2007, 2009, and 2010, as reverted by Item 6120-495, BAs of 2005 and 2008, and as reappropriated by Item 0540-491, BA of 2015	1,235	-	-
Totals Available	\$5,808	\$6,563	\$-
Balance available in subsequent years	-4,573	-	-
TOTALS, EXPENDITURES	\$1,235	\$6,563	\$-
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$2,200	-
TOTALS, EXPENDITURES	\$-	\$2,200	\$-
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$1,458	\$20,464
TOTALS, EXPENDITURES	\$-	\$1,458	\$20,464
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014			
APPROPRIATIONS			
101 Budget Act appropriation	\$9,300	\$9,300	\$18,600
Prior Year Balances Available:			
Item 0540-101-6083, Budget Act of 2015	-	9,300	-
Totals Available	\$9,300	\$18,600	\$18,600
Balance available in subsequent years	-9,300	-	-
TOTALS, EXPENDITURES	\$-	\$18,600	\$18,600
Total Expenditures, All Funds, (Local Assistance)	\$13,899	\$127,919	\$69,002
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$29,096	\$510,794	\$100,704

FUND CONDITION STATEMENTS

	2015-16*	2016-17*	2017-18*
0183 Environmental Enhancement and Mitigation Program Fund^s			
BEGINNING BALANCE	\$35,074	\$31,506	\$24,386
Prior Year Adjustments	2,138	-	-
Adjusted Beginning Balance	\$37,212	\$31,506	\$24,386
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4151000 Interest Income - Other Loans	-	721	721
4163000 Investment Income - Surplus Money Investments	235	93	93
Transfers and Other Adjustments			
Revenue Transfer from State Highway Account, State Transportation Fund (0042) to Environmental Enhancement and Mitigation Program Fund (0183) per Budget Act Item 2660-022-0042, Budget Act of 2014	7,000	7,000	7,000
Total Revenues, Transfers, and Other Adjustments	\$7,235	\$7,814	\$7,814

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0540 Secretary of the Natural Resources Agency - Continued

	2015-16*	2016-17*	2017-18*
Total Resources	\$44,447	\$39,320	\$32,200
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0540 Secretary of the Natural Resources Agency (State Operations)	276	320	320
0540 Secretary of the Natural Resources Agency (Local Assistance)	12,664	14,598	6,700
8880 Financial Information System for California (State Operations)	1	-	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	16	14
Total Expenditures and Expenditure Adjustments	\$12,941	\$14,934	\$7,034
FUND BALANCE	\$31,506	\$24,386	\$25,166
Reserve for economic uncertainties	31,506	24,386	25,166
3212 Timber Regulation and Forest Restoration Fund ^s			
BEGINNING BALANCE	\$25,968	\$27,936	\$22,212
Prior Year Adjustments	2,073	-	-
Adjusted Beginning Balance	\$28,041	\$27,936	\$22,212
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	39,795	41,000	41,000
4171000 Cost Recoveries - Delinquent Receivables	-18	-	-
Total Revenues, Transfers, and Other Adjustments	\$39,777	\$41,000	\$41,000
Total Resources	\$67,818	\$68,936	\$63,212
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0540 Secretary of the Natural Resources Agency (State Operations)	2,595	1,205	2,211
0860 State Board of Equalization (State Operations)	1,163	1,826	1,573
3480 Department of Conservation (State Operations)	3,698	4,134	4,124
3540 Department of Forestry and Fire Protection (State Operations)	15,212	18,917	26,551
3540 Department of Forestry and Fire Protection (Local Assistance)	2,950	3,465	3,465
3600 Department of Fish and Wildlife (State Operations)	6,419	6,510	7,667
3600 Department of Fish and Wildlife (Local Assistance)	2,453	2,000	3,500
3940 State Water Resources Control Board (State Operations)	3,341	4,118	4,101
3940 State Water Resources Control Board (Local Assistance)	2,000	2,000	2,000
8880 Financial Information System for California (State Operations)	51	49	48
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	2,500	2,215
Total Expenditures and Expenditure Adjustments	\$39,882	\$46,724	\$57,455
FUND BALANCE	\$27,936	\$22,212	\$5,757
Reserve for economic uncertainties	27,936	22,212	5,757

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	41.4	43.4	44.4	\$3,388	\$2,936	\$2,983
Salary and Other Adjustments	-1.9	-	-	70	146	100
Workload and Administrative Adjustments						
Bonds Unit Positions and Local Assistance						
Assoc Park & Recr Spec	-	-	1.0	-	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0540 Secretary of the Natural Resources Agency - Continued

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Exec Secty I	-	-	1.0	-	-	-
Staff Svcs Mgr III	-	-	1.0	-	-	-
Museum Grant Program Staffing						
Assoc Park & Recr Spec	-	-	1.0	-	-	70
Timber Regulation and Forest Restoration Program						
Sr Envirnal Scientist (Spec)	-	-	1.0	-	-	84
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	5.0	\$-	\$-	\$154
Totals, Adjustments	-1.9	-	5.0	\$70	\$146	\$254
TOTALS, SALARIES AND WAGES	39.5	43.4	49.4	\$3,458	\$3,082	\$3,237

0552 Office of the Inspector General

The Office of the Inspector General (OIG) protects public safety by safeguarding the integrity of California's correctional system. The OIG is responsible for contemporaneous oversight of the California Department of Corrections and Rehabilitation's (CDCR) internal affairs investigations, use of force, and the employee disciplinary process. When requested by the Governor, the Senate Committee on Rules, or the Speaker of the Assembly, the Inspector General reviews the policies, practices, and procedures of the CDCR. The Inspector General reviews the Governor's candidates for appointment to serve as warden for the state's adult correctional institutions and as superintendents for the state's juvenile facilities; conducts metric-oriented inspection programs to periodically review delivery of medical care at each state prison and the delivery of reforms implemented by the CDCR since 2012. The OIG receives communications from individuals alleging improper governmental activity and maintains a toll-free public telephone number to receive allegations of wrongdoing by employees of the CDCR; conducts formal reviews of complaints of retaliation from CDCR employees against upper management where a legally cognizable cause of action is present; and reviews the mishandling of sexual abuse incidents within correctional institutions. The OIG provides critical public transparency for the state correctional system by publicly reporting its findings.

In addition, the OIG is responsible for the California Rehabilitation Oversight Board (Board) that examines CDCR's various mental health, substance abuse, educational, and employment programs for inmates and parolees. The Board meets at least twice annually to recommend modifications, additions, and eliminations of offender rehabilitation and treatment programs. The Board also submits biannual reports to the Governor, the Legislature, and the public to convey its findings on the effectiveness of treatment efforts, rehabilitation needs of offenders, gaps in offender rehabilitation services, and levels of offender participation and success.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0330 Office of the Inspector General	106.8	111.8	111.8	\$21,123	\$22,685	\$22,807
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	106.8	111.8	111.8	\$21,123	\$22,685	\$22,807
FUNDING				2015-16*	2016-17*	2017-18*
0001 General Fund				\$21,123	\$22,685	\$22,807
TOTALS, EXPENDITURES, ALL FUNDS				\$21,123	\$22,685	\$22,807

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Penal Code, Part III, Title 1, Chapter 3, Section 2641 and Title 7, Chapter 8.2, Sections 6125 to 6141.

DETAILED BUDGET ADJUSTMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0552 Office of the Inspector General - Continued

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Allocation for Other Post-Employment Benefits	\$42	\$-	-	\$42	\$-	-
• Salary Adjustments	380	-	-	476	-	-
• Retirement Rate Adjustments	171	-	-	171	-	-
• Benefit Adjustments	117	-	-	143	-	-
• Miscellaneous Baseline Adjustments	-2	-	-	-2	-	-
Totals, Other Workload Budget Adjustments	\$708	\$-	-	\$830	\$-	-
Totals, Workload Budget Adjustments	\$708	\$-	-	\$830	\$-	-
Totals, Budget Adjustments	\$708	\$-	-	\$830	\$-	-

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
PROGRAM REQUIREMENTS				
0330 OFFICE OF THE INSPECTOR GENERAL				
State Operations:				
0001 General Fund		\$21,123	\$22,685	\$22,807
Totals, State Operations		\$21,123	\$22,685	\$22,807
TOTALS, EXPENDITURES				
State Operations		21,123	22,685	22,807
Totals, Expenditures		\$21,123	\$22,685	\$22,807

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	111.8	111.8	111.8	\$11,779	\$11,779	\$11,779
Total Adjustments	-5.0	-	-	520	380	476
Net Totals, Salaries and Wages	106.8	111.8	111.8	\$12,299	\$12,159	\$12,255
Staff Benefits	-	-	-	4,873	6,390	6,416
Totals, Personal Services	106.8	111.8	111.8	\$17,172	\$18,549	\$18,671
OPERATING EXPENSES AND EQUIPMENT				\$3,951	\$4,136	\$4,136
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$21,123	\$22,685	\$22,807

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$21,568	\$21,977	\$22,807
Allocation for Employee Compensation	-	380	-
Allocation for Other Post-Employment Benefits	-	42	-
Allocation for Staff Benefits	440	117	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0552 Office of the Inspector General - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
CalATERS Funding Removal	-	-2	-
Section 3.60 Pension Contribution Adjustment	132	171	-
Totals Available	\$22,140	\$22,685	\$22,807
Unexpended balance, estimated savings	-1,017	-	-
TOTALS, EXPENDITURES	\$21,123	\$22,685	\$22,807
Total Expenditures, All Funds, (State Operations)	\$21,123	\$22,685	\$22,807

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	111.8	111.8	111.8	\$11,779	\$11,779	\$11,779
Salary and Other Adjustments	-5.0	-	-	520	380	476
Totals, Adjustments	-5.0	-	-	\$520	\$380	\$476
TOTALS, SALARIES AND WAGES	106.8	111.8	111.8	\$12,299	\$12,159	\$12,255

0555 Secretary for Environmental Protection

The Secretary for Environmental Protection is the cabinet level agency responsible for protecting human health and the environment. California Environmental Protection Agency programs reduce greenhouse gas emissions, restore and protect environmental quality, and protect public health. The Secretary coordinates the state's environmental regulatory programs and ensures fair and consistent enforcement of environmental law, which safeguards the state's residents and promotes the state's economic vitality. The Secretary also administers environmental justice, environmental law enforcement, emergency preparedness and response, Certified Unified Program Agencies, and scientific review programs. The Secretary leads greenhouse gas emission reduction and climate change activities in state government, and is responsible for coordinating the implementation of Chapter 488, Statutes of 2006 (AB 32). The Secretary also centralizes coordination of California-Mexico border environmental efforts.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0340 Support	67.6	73.2	73.2	\$15,587	\$20,885	\$23,316
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	67.6	73.2	73.2	\$15,587	\$20,885	\$23,316

FUNDING	2015-16*	2016-17*	2017-18*
0001 General Fund	\$1,931	\$1,959	\$2,956
0014 Hazardous Waste Control Account	355	353	353
0028 Unified Program Account	4,541	4,877	4,849
0044 Motor Vehicle Account, State Transportation Fund	2,026	2,051	2,035
0106 Department of Pesticide Regulation Fund	904	983	978
0115 Air Pollution Control Fund	1,016	1,286	1,282
0193 Waste Discharge Permit Fund	340	599	598
0226 California Tire Recycling Management Fund	300	175	124
0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund	67	67	52
0387 Integrated Waste Management Account, Integrated Waste Management Fund	124	263	262
0439 Underground Storage Tank Cleanup Fund	948	1,318	1,313
0679 State Water Quality Control Fund	189	192	192
0890 Federal Trust Fund	67	1,888	1,888
0995 Reimbursements	1,666	2,047	2,047
1006 Rural CUPA Reimbursement Account	-55	-	1,065
3058 Water Rights Fund	35	37	37

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0555 Secretary for Environmental Protection - Continued

FUNDING	2015-16*	2016-17*	2017-18*
3237 Cost of Implementation Account, Air Pollution Control Fund	670	658	1,153
8013 Environmental Enforcement and Training Account	463	2,132	2,132
TOTALS, EXPENDITURES, ALL FUNDS	\$15,587	\$20,885	\$23,316

LEGAL CITATIONS AND AUTHORITY

Governor's Reorganization Plan No. 1 of 1991; Government Code Section 12812.6; Health and Safety Code, Division 37, Section 57000 et seq.; Public Resources Code Sections 71000 and 71110; and Governor's Reorganization Plan No. 2 of 2012.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Green Small Business Network	\$-	\$-	-	\$1,000	\$-	-
• Rural County Certified Unified Program Agency Support	-	-	-	-	1,065	-
• Water-Energy Nexus Registry	-	-	-	-	500	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$1,000	\$1,565	-
Other Workload Budget Adjustments						
• Salary Adjustments	\$12	\$199	-	\$9	\$139	-
• Retirement Rate Adjustments	6	92	-	6	92	-
• Benefit Adjustments	3	46	-	3	46	-
• Miscellaneous Baseline Adjustments	-	-1	-	-	-15	-
• Pro Rata	-	-488	-	-	-488	-
Totals, Other Workload Budget Adjustments	\$21	-\$152	-	\$18	-\$226	-
Totals, Workload Budget Adjustments	\$21	-\$152	-	\$1,018	\$1,339	-
Totals, Budget Adjustments	\$21	-\$152	-	\$1,018	\$1,339	-

PROGRAM DESCRIPTIONS**0340 - SUPPORT PROGRAM**

The Secretary for Environmental Protection's primary program objectives are restoring, protecting, and enhancing environmental quality, and protecting public health while fostering economic vitality. The Secretary oversees the state's environmental regulatory programs with particular emphasis on promoting "cross-media" coordination, enhancing risk assessment (especially for disadvantaged communities and other sensitive populations), enforcing laws consistently and fairly, and objectively measuring and reporting results.

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
	PROGRAM REQUIREMENTS			
0340	SUPPORT			
	State Operations:			
0001	General Fund	\$1,931	\$1,959	\$2,121
0014	Hazardous Waste Control Account	355	353	353
0028	Unified Program Account	4,541	4,877	4,849
0044	Motor Vehicle Account, State Transportation Fund	2,026	2,051	2,035

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0555 Secretary for Environmental Protection - Continued

		<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
0106	Department of Pesticide Regulation Fund	904	983	978
0115	Air Pollution Control Fund	1,016	1,286	1,282
0193	Waste Discharge Permit Fund	340	599	598
0226	California Tire Recycling Management Fund	300	175	124
0235	Public Resources Account, Cigarette and Tobacco Products Surtax Fund	67	67	52
0387	Integrated Waste Management Account, Integrated Waste Management Fund	124	263	262
0439	Underground Storage Tank Cleanup Fund	948	1,318	1,313
0679	State Water Quality Control Fund	189	192	192
0890	Federal Trust Fund	67	1,888	1,888
0995	Reimbursements	1,666	2,047	2,047
1006	Rural CUPA Reimbursement Account	-55	-	-
3058	Water Rights Fund	35	37	37
3237	Cost of Implementation Account, Air Pollution Control Fund	670	658	1,153
8013	Environmental Enforcement and Training Account	<u>463</u>	<u>2,132</u>	<u>2,132</u>
	Totals, State Operations	\$15,587	\$20,885	\$21,416
	Local Assistance:			
0001	General Fund	\$-	\$-	\$835
1006	Rural CUPA Reimbursement Account	<u>-</u>	<u>-</u>	<u>1,065</u>
	Totals, Local Assistance	\$-	\$-	\$1,900
	TOTALS, EXPENDITURES			
	State Operations	15,587	20,885	21,416
	Local Assistance	<u>-</u>	<u>-</u>	<u>1,900</u>
	Totals, Expenditures	\$15,587	\$20,885	\$23,316

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	65.2	73.2	73.2	\$4,658	\$5,339	\$5,339
Total Adjustments	<u>2.4</u>	<u>-</u>	<u>-</u>	<u>806</u>	<u>41</u>	<u>-32</u>
Net Totals, Salaries and Wages	67.6	73.2	73.2	\$5,464	\$5,380	\$5,307
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>2,807</u>	<u>2,445</u>	<u>2,441</u>
Totals, Personal Services	67.6	73.2	73.2	\$8,271	\$7,825	\$7,748
OPERATING EXPENSES AND EQUIPMENT				\$6,260	\$9,629	\$11,072
SPECIAL ITEMS OF EXPENSES				<u>1,056</u>	<u>3,431</u>	<u>2,596</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$15,587	\$20,885	\$21,416

2 Local Assistance	Expenditures		
	2015-16*	2016-17*	2017-18*
Grants and Subventions - Governmental	<u>\$-</u>	<u>\$-</u>	<u>\$1,900</u>
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$-	\$-	\$1,900

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0555 Secretary for Environmental Protection - Continued**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,073	\$1,103	\$2,121
Allocation for Employee Compensation	15	12	-
Allocation for Staff Benefits	8	3	-
Rollover rounding adjustments	-1	-	-
Section 3.60 Pension Contribution Adjustment	5	6	-
011 Budget Act appropriation (transfer to Rural CUPA Reimbursement Account)	835	835	-
Totals Available	\$1,935	\$1,959	\$2,121
Unexpended balance, estimated savings	-4	-	-
TOTALS, EXPENDITURES	\$1,931	\$1,959	\$2,121
0014 Hazardous Waste Control Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$353	\$361	\$353
Allocation for Employee Compensation	3	4	-
Allocation for Staff Benefits	2	-	-
Pro Rata Assessments Removal	-	-14	-
Section 3.60 Pension Contribution Adjustment	1	2	-
Totals Available	\$359	\$353	\$353
Unexpended balance, estimated savings	-4	-	-
TOTALS, EXPENDITURES	\$355	\$353	\$353
0028 Unified Program Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,456	\$4,736	\$4,849
Allocation for Employee Compensation	47	85	-
Allocation for Staff Benefits	24	21	-
Pro Rata Assessments Removal	-	-4	-
Rollover rounding adjustments	1	-	-
Section 3.60 Pension Contribution Adjustment	16	39	-
Totals Available	\$4,544	\$4,877	\$4,849
Unexpended balance, estimated savings	-3	-	-
TOTALS, EXPENDITURES	\$4,541	\$4,877	\$4,849
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,023	\$2,044	\$2,035
Allocation for Employee Compensation	29	49	-
Allocation for Staff Benefits	15	12	-
Map Reimbursable Activities to New Item	-2,047	-	-
Pro Rata Assessments Removal	-	-77	-
Section 3.60 Pension Contribution Adjustment	10	23	-
Totals Available	\$2,030	\$2,051	\$2,035
Unexpended balance, estimated savings	-4	-	-
TOTALS, EXPENDITURES	\$2,026	\$2,051	\$2,035
0106 Department of Pesticide Regulation Fund			
APPROPRIATIONS			

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0555 Secretary for Environmental Protection - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
001 Budget Act appropriation	\$887	\$988	\$978
Allocation for Employee Compensation	9	17	-
Allocation for Staff Benefits	5	4	-
Pro Rata Assessments Removal	-	-34	-
Section 3.60 Pension Contribution Adjustment	3	8	-
TOTALS, EXPENDITURES	\$904	\$983	\$978
0115 Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$994	\$1,285	\$1,282
Allocation for Employee Compensation	12	12	-
Allocation for Staff Benefits	6	3	-
CalATERS Funding Removal	-	-1	-
Pro Rata Assessments Removal	-	-19	-
Section 3.60 Pension Contribution Adjustment	4	6	-
TOTALS, EXPENDITURES	\$1,016	\$1,286	\$1,282
0193 Waste Discharge Permit Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$331	\$704	\$598
Allocation for Employee Compensation	5	2	-
Allocation for Staff Benefits	2	-	-
Pro Rata Assessments Removal	-	-108	-
Section 3.60 Pension Contribution Adjustment	2	1	-
TOTALS, EXPENDITURES	\$340	\$599	\$598
0226 California Tire Recycling Management Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$175	\$124
Chapter 24, Statutes of 2015	300	-	-
TOTALS, EXPENDITURES	\$300	\$175	\$124
0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$67	\$69	\$52
Allocation for Employee Compensation	1	1	-
Pro Rata Assessments Removal	-	-3	-
Totals Available	\$68	\$67	\$52
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$67	\$67	\$52
0387 Integrated Waste Management Account, Integrated Waste Management Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$123	\$262	\$262
Allocation for Employee Compensation	1	1	-
TOTALS, EXPENDITURES	\$124	\$263	\$262
0439 Underground Storage Tank Cleanup Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$931	\$1,336	\$1,313
Allocation for Employee Compensation	9	11	-
Allocation for Staff Benefits	5	2	-
Pro Rata Assessments Removal	-	-36	-
Section 3.60 Pension Contribution Adjustment	3	5	-

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0555 Secretary for Environmental Protection - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
TOTALS, EXPENDITURES	\$948	\$1,318	\$1,313
0679 State Water Quality Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$189	\$189	\$192
Allocation for Employee Compensation	-	2	-
Section 3.60 Pension Contribution Adjustment	-	1	-
TOTALS, EXPENDITURES	\$189	\$192	\$192
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,888	\$1,888	\$1,888
Totals Available	\$1,888	\$1,888	\$1,888
Unexpended balance, estimated savings	-1,821	-	-
TOTALS, EXPENDITURES	\$67	\$1,888	\$1,888
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$1,666	\$2,047	\$2,047
TOTALS, EXPENDITURES	\$1,666	\$2,047	\$2,047
1006 Rural CUPA Reimbursement Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$835	\$835	-
Totals Available	\$835	\$835	\$-
Unexpended balance, estimated savings	-55	-	-
TOTALS, EXPENDITURES	\$780	\$835	\$-
Less funding provided by General Fund	-835	-835	-
NET TOTALS, EXPENDITURES	-\$55	\$-	\$-
3058 Water Rights Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$38	\$38	\$37
Pro Rata Assessments Removal	-	-1	-
Totals Available	\$38	\$37	\$37
Unexpended balance, estimated savings	-3	-	-
TOTALS, EXPENDITURES	\$35	\$37	\$37
3237 Cost of Implementation Account, Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$658	\$675	\$1,153
Allocation for Employee Compensation	10	15	-
Allocation for Staff Benefits	5	4	-
Pro Rata Assessments Removal	-	-43	-
Section 3.60 Pension Contribution Adjustment	3	7	-
Totals Available	\$676	\$658	\$1,153
Unexpended balance, estimated savings	-6	-	-
TOTALS, EXPENDITURES	\$670	\$658	\$1,153
8013 Environmental Enforcement and Training Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,303	\$2,281	\$2,132
Pro Rata Assessments Removal	-	-149	-
Totals Available	\$2,303	\$2,132	\$2,132
Unexpended balance, estimated savings	-1,840	-	-

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0555 Secretary for Environmental Protection - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
TOTALS, EXPENDITURES	\$463	\$2,132	\$2,132
Total Expenditures, All Funds, (State Operations)	\$15,587	\$20,885	\$21,416
 2 LOCAL ASSISTANCE	 2015-16*	 2016-17*	 2017-18*
0001 General Fund			
APPROPRIATIONS			
111 Budget Act appropriation (transfer to Rural CUPA Reimbursement Account)	-	-	\$835
TOTALS, EXPENDITURES	\$-	\$-	\$835
1006 Rural CUPA Reimbursement Account			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$1,900
TOTALS, EXPENDITURES	\$-	\$-	\$1,900
Less funding provided by General Fund	-	-	-835
NET TOTALS, EXPENDITURES	\$-	\$-	\$1,065
Total Expenditures, All Funds, (Local Assistance)	\$0	\$0	\$1,900
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$15,587	\$20,885	\$23,316

FUND CONDITION STATEMENTS

	2015-16*	2016-17*	2017-18*
0028 Unified Program Account ^s			
BEGINNING BALANCE	\$5,012	\$4,133	\$2,243
Prior Year Adjustments	1,836	-	-
Adjusted Beginning Balance	\$6,848	\$4,133	\$2,243
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	4,724	6,666	6,666
4163000 Investment Income - Surplus Money Investments	7	1	1
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	26	-	-
Transfers and Other Adjustments			
Revenue transfer from the Environmental Protection Trust Fund (0225) to Unified Program Account (0028) per Chapter 574, Statutes of 2011.	145	-	-
Total Revenues, Transfers, and Other Adjustments	\$4,902	\$6,667	\$6,667
Total Resources	\$11,750	\$10,800	\$8,910
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0555 Secretary for Environmental Protection (State Operations)	4,541	4,877	4,849
0690 Office of Emergency Services (State Operations)	592	842	841
3540 Department of Forestry and Fire Protection (State Operations)	511	677	677
3940 State Water Resources Control Board (State Operations)	574	591	591
3960 Department of Toxic Substances Control (State Operations)	1,227	1,233	1,227
3980 Office of Environmental Health Hazard Assessment (State Operations)	158	159	158
8880 Financial Information System for California (State Operations)	14	10	10
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	168	409
Total Expenditures and Expenditure Adjustments	\$7,617	\$8,557	\$8,762
FUND BALANCE	\$4,133	\$2,243	\$148
Reserve for economic uncertainties	4,133	2,243	148

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0555 Secretary for Environmental Protection - Continued

	2015-16*	2016-17*	2017-18*
1006 Rural CUPA Reimbursement Account^s			
BEGINNING BALANCE	\$1,686	\$1,739	\$1,739
Prior Year Adjustments	<u>-2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	<u>\$1,684</u>	<u>\$1,739</u>	<u>\$1,739</u>
Total Resources	\$1,684	\$1,739	\$1,739
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0555 Secretary for Environmental Protection (State Operations)	780	835	-
0555 Secretary for Environmental Protection (Local Assistance)	-	-	1,900
Expenditure Adjustments:			
Less funding provided by General Fund (State Operations)	-835	-835	-
Less funding provided by General Fund (Local Assistance)	<u>-</u>	<u>-</u>	<u>-835</u>
Total Expenditures and Expenditure Adjustments	<u>-\$55</u>	<u>-</u>	<u>\$1,065</u>
FUND BALANCE	\$1,739	\$1,739	\$674
Reserve for economic uncertainties	1,739	1,739	674

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	65.2	73.2	73.2	\$4,658	\$5,339	\$5,339
Salary and Other Adjustments	<u>2.4</u>	<u>-</u>	<u>-</u>	<u>806</u>	<u>41</u>	<u>-32</u>
Totals, Adjustments	<u>2.4</u>	<u>-</u>	<u>-</u>	<u>\$806</u>	<u>\$41</u>	<u>-\$32</u>
TOTALS, SALARIES AND WAGES	67.6	73.2	73.2	\$5,464	\$5,380	\$5,307

0559 Secretary for Labor and Workforce Development Agency

The Labor and Workforce Development Agency was established to address issues relating to California workers and their employers. The Agency is responsible for labor law enforcement, workforce development, and benefit payment and adjudication. The Agency works to combat the underground economy and help legitimate businesses and workers in California through a combination of enforcement and education activities.

3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0350	Office of the Secretary of Labor and Workforce Development	12.3	13.0	13.0	\$2,768	\$2,788	\$2,832
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		12.3	13.0	13.0	\$2,768	\$2,788	\$2,832
FUNDING					2015-16*	2016-17*	2017-18*
0001	General Fund				\$120	\$158	\$-
0995	Reimbursements				2,326	2,210	2,420
3078	Labor and Workforce Development Fund				<u>322</u>	<u>420</u>	<u>412</u>
TOTALS, EXPENDITURES, ALL FUNDS					\$2,768	\$2,788	\$2,832

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Government Code Sections 12800 and 12813.

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0559 Secretary for Labor and Workforce Development Agency - Continued**DETAILED BUDGET ADJUSTMENTS**

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Associate Secretary for Farmworker and Immigrant Services	\$-	\$-	-	\$-	\$205	1.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$205	1.0
Other Workload Budget Adjustments						
• Salary Adjustments	\$3	\$32	-	\$-	\$33	-
• Retirement Rate Adjustments	2	21	-	-	23	-
• Benefit Adjustments	1	9	-	-	11	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-	-
• Pro Rata	-	-172	-	-	-172	-
Totals, Other Workload Budget Adjustments	\$6	-\$110	-	\$-	-\$105	-
Totals, Workload Budget Adjustments	\$6	-\$110	-	\$-	\$100	1.0
Totals, Budget Adjustments	\$6	-\$110	-	\$-	\$100	1.0

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
PROGRAM REQUIREMENTS				
0350	OFFICE OF THE SECRETARY OF LABOR AND WORKFORCE DEVELOPMENT			
State Operations:				
0001	General Fund	\$120	\$158	\$-
0995	Reimbursements	2,326	2,210	2,420
3078	Labor and Workforce Development Fund	322	420	412
	Totals, State Operations	\$2,768	\$2,788	\$2,832
TOTALS, EXPENDITURES				
	State Operations	2,768	2,788	2,832
	Totals, Expenditures	\$2,768	\$2,788	\$2,832

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	12.0	13.0	12.0	\$1,525	\$1,475	\$1,381
Total Adjustments	0.3	-	1.0	1	35	162
Net Totals, Salaries and Wages	12.3	13.0	13.0	\$1,526	\$1,510	\$1,543
Staff Benefits	-	-	-	594	592	611
Totals, Personal Services	12.3	13.0	13.0	\$2,120	\$2,102	\$2,154
OPERATING EXPENSES AND EQUIPMENT				\$648	\$686	\$678
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,768	\$2,788	\$2,832

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0559 Secretary for Labor and Workforce Development Agency - Continued**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,240	\$152	-
Allocation for Employee Compensation	31	3	-
Allocation for Staff Benefits	16	1	-
Map Reimbursable Activities to New Item	-2,326	-	-
Section 1.50 Budget Adjustment	180	-	-
Section 3.60 Pension Contribution Adjustment	11	2	-
Totals Available	\$152	\$158	\$-
Unexpended balance, estimated savings	-32	-	-
TOTALS, EXPENDITURES	\$120	\$158	\$-
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,326	\$2,210	\$2,420
TOTALS, EXPENDITURES	\$2,326	\$2,210	\$2,420
3078 Labor and Workforce Development Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$324	\$590	\$412
Pro Rata Assessments Removal	-	-172	-
Section 3.60 Pension Contribution Adjustment	-	2	-
Totals Available	\$324	\$420	\$412
Unexpended balance, estimated savings	-2	-	-
TOTALS, EXPENDITURES	\$322	\$420	\$412
Total Expenditures, All Funds, (State Operations)	\$2,768	\$2,788	\$2,832

FUND CONDITION STATEMENTS

	2015-16*	2016-17*	2017-18*
3078 Labor and Workforce Development Fund^s			
BEGINNING BALANCE	\$14,239	\$22,074	\$26,219
Prior Year Adjustments	-202	-	-
Adjusted Beginning Balance	\$14,037	\$22,074	\$26,219
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4173500 Settlements and Judgments - Other	13,531	12,000	12,000
Total Revenues, Transfers, and Other Adjustments	\$13,531	\$12,000	\$12,000
Total Resources	\$27,568	\$34,074	\$38,219
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0559 Secretary for Labor and Workforce Development Agency (State Operations)	322	420	412
7300 Agricultural Labor Relations Board (State Operations)	830	1,142	1,132
7350 Department of Industrial Relations (State Operations)	4,332	5,638	6,343
8880 Financial Information System for California (State Operations)	10	7	10
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	648	621

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0559 Secretary for Labor and Workforce Development Agency - Continued

	2015-16*	2016-17*	2017-18*
Total Expenditures and Expenditure Adjustments	\$5,494	\$7,855	\$8,518
FUND BALANCE	\$22,074	\$26,219	\$29,701
Reserve for economic uncertainties	22,074	26,219	29,701

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	12.0	13.0	12.0	\$1,525	\$1,475	\$1,381
Salary and Other Adjustments	0.3	-	-	1	35	33
Workload and Administrative Adjustments						
Associate Secretary for Farmworker and Immigrant Services						
C.E.A. - A	-	-	1.0	-	-	129
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	1.0	\$-	\$-	\$129
Totals, Adjustments	0.3	-	1.0	\$1	\$35	\$162
TOTALS, SALARIES AND WAGES	12.3	13.0	13.0	\$1,526	\$1,510	\$1,543

0650 Office of Planning and Research

The Office of Planning and Research (OPR) assists the Governor and the Administration in planning, research, policy development, and legislative analysis. OPR formulates long-range state goals and policies to address land use, climate change, population growth and distribution, urban expansion, infrastructure development, groundwater sustainability and drought response, and resource protection. OPR also maintains and updates the General Plan Guidelines, the California Environmental Quality Act Guidelines, and operates the CEQA Clearinghouse. OPR acts as the state's liaison to a variety of entities including local government, planning professionals, small business, and the military. OPR houses and supports the Strategic Growth Council. In addition, OPR houses California Volunteers, a program aimed to increase the number and impact of Californians involved with service and volunteering throughout the state, and runs the State Clearinghouse, coordinating CEQA filings and state compliance issues.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0360 State Planning & Policy Development	19.1	12.5	11.0	\$8,837	\$13,988	\$15,727
0365 California Volunteers	16.8	21.9	21.9	28,507	40,309	31,813
0370 Strategic Growth Council	9.0	6.0	6.0	1,833	341,900	299,906
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	44.9	40.4	38.9	\$39,177	\$396,197	\$347,446

FUNDING	2015-16*	2016-17*	2017-18*
0001 General Fund	\$8,716	\$13,543	\$15,200
0890 Federal Trust Fund	27,328	36,520	27,937
0995 Reimbursements	1,003	4,072	4,087
3228 Greenhouse Gas Reduction Fund	1,833	341,756	299,761
9740 Central Service Cost Recovery Fund	297	306	461
TOTALS, EXPENDITURES, ALL FUNDS	\$39,177	\$396,197	\$347,446

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0650 Office of Planning and Research - Continued

Fish and Game Code Section 711.4; Government Code Sections: 4530-4535.3, 13073.5, 17525, 65025-65049, 65352, 65352.3, 65940, and 65944; Health and Safety Code Sections: 25199.4, 25199.7, and 50901; Public Resources Code Sections: 5024.5, 21080.3-21080.4, 21083, 21083.05, 21086, 21091, 21094.5.5, 21108, 21152.1, 21153, 21159.9(c), 21161, 21162, 21165, 71350, 71354, 71358, 71360, and 75121; California Code of Regulations: Title 2, Section 1896.38; Title 14, Sections: 15053, 15062, 15073, 15075, 15082, 15087, 15094, 15105, 15205, 15300.3, and 16000-16041.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Precision Medicine	\$-	\$-	-	\$10,000	\$-	-
• State Clearinghouse Federal Grant Administrator (AB 1348)	-	-	-	200	-	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$10,200	\$-	-
Other Workload Budget Adjustments						
• Miscellaneous Baseline Adjustments	-\$500	-\$49,566	-	\$46	-\$99,917	-
• Salary Adjustments	33	56	-	42	76	-
• Retirement Rate Adjustments	20	34	-	20	34	-
• Benefit Adjustments	11	18	-	15	25	-
• SWCAP	-	-	-	-	5	-
• Pro Rata	-	-190	-	-	-190	-
Totals, Other Workload Budget Adjustments	-\$436	-\$49,648	-	\$123	-\$99,967	-
Totals, Workload Budget Adjustments	-\$436	-\$49,648	-	\$10,323	-\$99,967	-
Policy Adjustments						
• Special Olympics	\$-	\$-	-	\$1,600	\$-	-
Totals, Policy Adjustments	\$-	\$-	-	\$1,600	\$-	-
Totals, Budget Adjustments	-\$436	-\$49,648	-	\$11,923	-\$99,967	-

PROGRAM DESCRIPTIONS

0360 - STATE PLANNING AND POLICY DEVELOPMENT

The major activities of OPR include: (1) recommending and implementing state policies on land-use and growth planning, including General Plan Guidelines; (2) coordinating the implementation of the California Environmental Quality Act, including: (a) operating the State Clearinghouse; (b) maintaining an online database for the electronic submission and archiving of CEQA documents; (c) developing CEQA guidelines; (d) providing technical assistance; (e) streamlining permitting; and (f) providing training; (3) supporting the Governor's Advisor for Military Affairs and Governor's Military Council; (4) providing policy research for the Governor and Cabinet; (5) providing technical advice to, and develop guidelines for, local governments on land use planning and compliance with environmental statutes and regulations; (6) developing a program to coordinate regional and local efforts with state climate adaptation strategies on the impacts of climate change ; (7) supporting drought response efforts; (8) supporting development of state plans including, but not limited to, the Water Action Plan work, State Multi-Hazard Mitigation Plan, Safeguarding California Plan, the Integrated Energy Policy Report, and the 5-Year Infrastructure Plan; (9) supporting multiple efforts to address climate change and response to carbon pollution; (10) administering the Integrated Climate Adaptation and Resiliency Program to coordinate regional and local efforts with state climate adaptation strategies to adapt to the impacts of climate change; (11) serving as the primary point of contact for information on federal grants related to community, economic, and local development, as well as the coordinator to manage and maximize federal grant opportunities within the state; and (12) conducting other activities as the Governor may direct.

0365 - CALIFORNIA VOLUNTEERS

California Volunteers is charged with increasing the number and impact of Californians involved with service and volunteering throughout the state. California Volunteers administers programs such as AmeriCorps and Disaster Volunteering and Preparedness, guides policy development to support the nonprofit and service fields, and leads the Service Enterprise Initiative, which empowers a nonprofit to more efficiently engage volunteers and effectively address community needs. Through the efforts of California Volunteers, Californians of all ages and abilities are provided ongoing opportunities to volunteer, to become better prepared to respond in emergencies and disasters, and ultimately, to embrace a

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0650 Office of Planning and Research - Continued

lifetime ethic of service.

0370 - STRATEGIC GROWTH COUNCIL

The Council coordinates interagency efforts and partners with local and regional government stakeholders to promote sustainable development, improving air and water quality, protecting natural resources and agricultural lands, and reducing greenhouse gas emissions. The Council administers the Transformative Climate Communities Program, the Affordable Housing and Sustainable Communities Program and the Sustainable Agricultural Lands Conservation Program, developing guidelines, reviewing applications, and providing funding as part of greenhouse gas reduction efforts associated with cap and trade funds. The Council also administers a technical assistance program to support all Greenhouse Gas Reduction Fund programs to assist in the development of projects that maximize greenhouse gas reductions. The Council is charged with review of the California 5-Year Infrastructure plan and with making grants and loans to institutions for planning and implementing land uses that achieve the goals of the State's Planning Priorities. The Council also oversees the Administration's Health in All Policies program, and sponsors research on infill development, conservation, and other planning issues.

DETAILED EXPENDITURES BY PROGRAM

		<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
	PROGRAM REQUIREMENTS			
0360	STATE PLANNING & POLICY DEVELOPMENT			
	State Operations:			
0001	General Fund	\$2,886	\$12,560	\$12,615
0890	Federal Trust Fund	54	83	6
0995	Reimbursements	600	1,039	1,045
9740	Central Service Cost Recovery Fund	297	306	461
	Totals, State Operations	\$3,837	\$13,988	\$14,127
	Local Assistance:			
0001	General Fund	\$5,000	\$-	\$1,600
	Totals, Local Assistance	\$5,000	\$-	\$1,600
	PROGRAM REQUIREMENTS			
0365	CALIFORNIA VOLUNTEERS			
	State Operations:			
0001	General Fund	\$830	\$839	\$840
0890	Federal Trust Fund	1,294	1,921	1,931
0995	Reimbursements	403	3,033	3,042
	Totals, State Operations	\$2,527	\$5,793	\$5,813
	Local Assistance:			
0890	Federal Trust Fund	\$25,980	\$34,516	\$26,000
	Totals, Local Assistance	\$25,980	\$34,516	\$26,000
	PROGRAM REQUIREMENTS			
0370	STRATEGIC GROWTH COUNCIL			
	State Operations:			
0001	General Fund	\$-	\$144	\$145
3228	Greenhouse Gas Reduction Fund	1,833	3,109	1,115
	Totals, State Operations	\$1,833	\$3,253	\$1,260
	Local Assistance:			
3228	Greenhouse Gas Reduction Fund	\$-	\$338,647	\$298,646
	Totals, Local Assistance	\$-	\$338,647	\$298,646
	TOTALS, EXPENDITURES			
	State Operations	8,197	23,034	21,200
	Local Assistance	30,980	373,163	326,246
	Totals, Expenditures	\$39,177	\$396,197	\$347,446

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0650 Office of Planning and Research - Continued**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	38.4	40.4	38.9	\$2,723	\$3,103	\$2,890
Total Adjustments	6.5	-	-	499	-27	312
Net Totals, Salaries and Wages	44.9	40.4	38.9	\$3,222	\$3,076	\$3,202
Staff Benefits	-	-	-	1,170	1,558	1,558
Totals, Personal Services	44.9	40.4	38.9	\$4,392	\$4,634	\$4,760
OPERATING EXPENSES AND EQUIPMENT				\$2,480	\$18,400	\$16,440
SPECIAL ITEMS OF EXPENSES				4,341	-	-
UNCLASSIFIED EXPENDITURES				-3,016	-	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$8,197	\$23,034	\$21,200

2 Local Assistance	Expenditures		
	2015-16*	2016-17*	2017-18*
Grants and Subventions - Governmental	\$30,980	\$233,163	\$326,246
Other Items of Expense - Miscellaneous	-	140,000	-
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$30,980	\$373,163	\$326,246

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$6,277	\$13,479	\$13,600
Allocation for Employee Compensation	-	33	-
Allocation for Staff Benefits	-	11	-
Item 9800 Baseline Adjustment	86	-	-
Map Reimbursable Activities to New Item	-4,019	-	-
Past Year Adjustments	3	-	-
Section 3.60 Pension Contribution Adjustment	17	20	-
Eligibility Study Funding	1,000	-	-
Prior Year Balances Available:			
Chapter 47, Statutes of 2013	500	500	-
Correction to Rollover Items	-	-500	-
Totals Available	\$3,864	\$13,543	\$13,600
Unexpended balance, estimated savings	-148	-	-
TOTALS, EXPENDITURES	\$3,716	\$13,543	\$13,600
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,031	\$1,980	\$1,937
Allocation for Employee Compensation	-	12	-
Allocation for Staff Benefits	-	4	-

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0650 Office of Planning and Research - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Item 9800 Baseline Adjustment	21	-	-
Past Year Adjustments	-54	-	-
Section 3.60 Pension Contribution Adjustment	4	8	-
Totals Available	\$2,002	\$2,004	\$1,937
Unexpended balance, estimated savings	-654	-	-
TOTALS, EXPENDITURES	\$1,348	\$2,004	\$1,937
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$1,003	\$4,072	\$4,087
TOTALS, EXPENDITURES	\$1,003	\$4,072	\$4,087
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,199	\$1,271	\$1,115
Allocation for Employee Compensation	-	14	-
Allocation for Staff Benefits	-	5	-
Item 9800 Baseline Adjustment	19	-	-
Pro Rata Assessments Removal	-	-190	-
SB 101 GGRF Adjustment	618	-	-
Section 3.60 Pension Contribution Adjustment	4	9	-
Disadvantaged Communities Outreach	-	2,000	-
Totals Available	\$1,840	\$3,109	\$1,115
Unexpended balance, estimated savings	-7	-	-
TOTALS, EXPENDITURES	\$1,833	\$3,109	\$1,115
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$290	\$300	\$461
Allocation for Employee Compensation	-	3	-
Allocation for Staff Benefits	-	1	-
Item 9800 Baseline Adjustment	6	-	-
Section 3.60 Pension Contribution Adjustment	1	2	-
TOTALS, EXPENDITURES	\$297	\$306	\$461
Total Expenditures, All Funds, (State Operations)	\$8,197	\$23,034	\$21,200
2 LOCAL ASSISTANCE	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$5,000	-	\$1,600
TOTALS, EXPENDITURES	\$5,000	\$-	\$1,600
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$26,000	\$26,000	\$26,000
California Volunteers Federal Trust Fund Adjustment per BR-1	415	8,516	-
Totals Available	\$26,415	\$34,516	\$26,000
Unexpended balance, estimated savings	-435	-	-
TOTALS, EXPENDITURES	\$25,980	\$34,516	\$26,000
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
Transformative Climate Communities Program	-	\$140,000	-

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0650 Office of Planning and Research - Continued

2 LOCAL ASSISTANCE	2015-16*	2016-17*	2017-18*
Health and Safety Code section 39719(b)(1)(C)	398,801	398,729	298,646
Adjust Greenhouse Gas Reduction Fund Authority to Align with 20 percent	-34,641	-200,082	-
Affordable Housing and Sustainable Communities Program Funding Transfer	-364,160	-	-
TOTALS, EXPENDITURES	\$-	\$338,647	\$298,646
Total Expenditures, All Funds, (Local Assistance)	\$30,980	\$373,163	\$326,246
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$39,177	\$396,197	\$347,446

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	38.4	40.4	38.9	\$2,723	\$3,103	\$2,890
Salary and Other Adjustments	6.5	-	-	499	-27	312
Totals, Adjustments	6.5	-	-	\$499	-\$27	\$312
TOTALS, SALARIES AND WAGES	44.9	40.4	38.9	\$3,222	\$3,076	\$3,202

0690 Office of Emergency Services

The principal objective of the Office of Emergency Services (OES) is to protect lives and property, build capabilities, and support our communities for a resilient California. The OES Director serves as both the Governor's Homeland Security Advisor and Emergency Management Director, with responsibility to implement and facilitate the state's homeland security and counter-terrorism strategy. The OES serves the public through effective collaboration in preparing for, protecting against, responding to, recovering from, and mitigating the impacts of all hazards and threats.

On a day-to-day basis, the OES provides leadership, assistance, training, and support to state and local agencies and coordinates with federal agencies in planning and preparing for the most effective use of federal, state, local, and private sector resources in emergencies. This emergency planning is based upon a system of mutual aid whereby a jurisdiction relies first on its own resources, and then requests assistance from its neighbors. The OES' plans and programs are coordinated with those of the federal government, other states, private sector, utilities, and state and local agencies within California.

During an emergency, the OES functions as the Governor's immediate staff to provide guidance and coordinate the state's responsibilities under the Emergency Services Act and applicable federal statutes. It also acts as the conduit for federal assistance through natural disaster grants and federal agency support. Additionally, the OES is responsible for the development and coordination of a comprehensive state strategy related to all hazards, including terrorism, which includes prevention, preparedness, mitigation, response, and recovery.

The OES implements the state homeland security strategy by overseeing the State Threat Assessment System (STAS), which includes management of the State Threat Assessment Center (STAC). The STAS is comprised of four regional and one major urban area Fusion Centers that assist in the detection, prevention, investigation, and response to criminal and terrorist activity; and information and intelligence sharing between local, state, federal, tribal agencies, and private sector partners. The STAC operates as the state's information-sharing clearinghouse for strategic threat analysis, counter-terrorism, situational awareness reporting, and case analysis for statewide leadership, agencies, and public safety community. Further, the OES improves the criminal justice system in California by providing financial and technical assistance to local governments, state agencies, and the private sector for homeland security, public safety, and victim services.

Because the OES' programs drive a need for infrastructure investment, the department has a capital outlay program to support this need. For the specifics on the department's capital outlay program see "Infrastructure Overview."

3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0380	Emergency Management Services	168.0	186.0	192.0	\$48,209	\$79,338	\$61,574
0385	Special Programs and Grant Management	206.5	207.8	230.8	883,264	1,261,757	1,135,046
0395	Public Safety Communications	378.1	358.3	358.3	171,019	187,650	188,060
9900100	Administration	113.0	128.3	128.3	14,503	19,457	17,923
9900200	Administration - Distributed	-	-	-	-14,503	-19,457	-17,923

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0690 Office of Emergency Services - Continued

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	865.6	880.4	909.4	\$1,102,492	\$1,528,745	\$1,384,680
FUNDING				2015-16*	2016-17*	2017-18*
0001 General Fund				\$124,182	\$205,481	\$171,538
0022 State Emergency Telephone Number Account				95,533	111,084	111,061
0028 Unified Program Account				592	842	841
0029 Nuclear Planning Assessment Special Account				1,887	3,129	3,187
0214 Restitution Fund				11,006	5,208	-
0241 Local Public Prosecutors and Public Defenders Training Fund				854	883	-
0425 Victim - Witness Assistance Fund				18,653	13,791	-
0437 State Assistance For Fire Equipment Account				3	100	100
0890 Federal Trust Fund				660,405	1,003,272	998,815
0903 State Penalty Fund				-	-	12,250
0985 Emergency Housing and Assistance Fund				-	10,000	-
0995 Reimbursements				2,657	4,520	4,509
3034 Antiterrorism Fund				2,594	763	1,259
3112 Equality in Prevention and Services for Domestic Abuse Fund				71	-	-
3260 Regional Railroad Accident Preparedness and Immediate Response Fund				7,064	9,238	-
6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006				100,682	82,761	2,746
8039 Disaster Resistant Communities Account				-	207	207
8069 Child Victims of Human Trafficking Fund				451	250	268
8084 American Red Cross, California Chapters Fund				372	400	400
8093 California Sexual Violence Victim Services Fund				-	250	250
8104 California Domestic Violence Victims Fund				-	-	250
9730 Technology Services Revolving Fund				75,486	-	-
9751 Public Safety Communications Revolving Fund				-	76,566	76,999
TOTALS, EXPENDITURES, ALL FUNDS				\$1,102,492	\$1,528,745	\$1,384,680

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Government Code, Title 2, Division 1, Chapter 7; Government Code, Title 5, Division 2, Part 1, Chapter 1, Article 6; Penal Code, Part 4, Title 6, Chapter 3.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Water Tank Program	\$-	\$-	-	\$6,500	\$-	-
• Public Assistance	-	-	-	3,500	-3,500	-
• Dam Safety and Emergency Flood Response	-	-	-	1,867	-	4.0
• Nonprofit Security Grant Program	-	-	-	-	500	-
• Child Victims of Human Trafficking Fund	-	-	-	-	268	-
• Domestic Violence Victims Fund	-	-	-	-	250	-

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0690 Office of Emergency Services - Continued

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• NPASA CPI Adjustment	-	-	-	-	60	-
• Hazardous Materials Training Support	-	-	-	-	-	2.0
• Victims of Crime Act	-	-	-	-	-	23.0
Totals, Workload Budget Change	\$-	\$-	-	\$11,867	-\$2,422	29.0
Proposals						
Other Workload Budget Adjustments						
• Miscellaneous Baseline Adjustments	\$1,727	\$9,981	-	\$34,570	-\$37,621	-
• Salary Adjustments	1,170	1,855	-	1,022	1,809	-
• Benefit Adjustments	343	509	-	340	680	-
• Retirement Rate Adjustments	210	839	-	210	839	-
• Lease Revenue Debt Service Adjustment	-21	-	-	5	-	-
• SWCAP	-	-	-	-	-723	-
• Pro Rata	-	-6,502	-	-	-6,502	-
Totals, Other Workload Budget Adjustments	\$3,429	\$6,682	-	\$36,147	-\$41,518	-
Totals, Workload Budget Adjustments	\$3,429	\$6,682	-	\$48,014	-\$43,940	29.0
Policy Adjustments						
• Homeless Youth Housing	\$-	\$-	-	\$10,000	\$-	-
• Human Trafficking	-	-	-	5,000	-	-
• Nonprofit Security Grant	-	-	-	1,500	-	-
• Internet Crimes Against Children	-	-	-	1,000	-	-
• Colton Fire Engine	-	-	-	500	-	-
Totals, Policy Adjustments	\$-	\$-	-	\$18,000	\$-	-
Totals, Budget Adjustments	\$3,429	\$6,682	-	\$66,014	-\$43,940	29.0

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0690 Office of Emergency Services - Continued

Program 0385-Victim Services Projects, Local Assistance

Component	Program Name	Source of Funds	Actual	Proposed	Proposed
			Expenditures	Expenditures	Expenditures
			2015-16	2016-17	2017-18
Public Safety / Victim Services					
0385.101	Victim-Witness Assistance	0425 Victim-Witness Assist Fund	\$12,641	\$7,721	\$0
0385.101	Victim-Witness Assistance	0903 State Penalty Fund	-	-	7,978
0385.101	Victim-Witness Assistance	0214 Restitution Fund	-	4,200	-
0385.102	Victims' Legal Resource Center	0001 General Fund	37	37	37
0385.151	Domestic Violence ^{1/}	0001 General Fund	20,602	20,602	20,602
0385.151	Domestic Violence ^{1/}	0890 Federal Trust Fund	7,718	8,510	8,510
0385.152	Family Violence Prevention	0001 General Fund	43	45	45
0385.161	Violence Against Women Act	0890 Federal Trust Fund	11,991	14,300	14,300
0385.301	Rape Crisis ^{1/}	0001 General Fund	45	45	45
0385.301	Rape Crisis ^{1/}	0425 Victim-Witness Assist Fund	3,669	3,670	-
0385.301	Rape Crisis ^{1/}	0903 State Penalty Fund	-	-	2,314
0385.351	Homeless Youth	0001 General Fund	356	356	10,356
0385.351	Homeless Youth	0903 State Penalty Fund	-	-	466
0385.352	Youth Emergency Telephone Refer	0001 General Fund	314	314	314
0385.353	Child Sexual Abuse & Exploitation	0425 Victim-Witness Assist Fund	979	978	-
0385.353	Child Sexual Abuse & Exploitation	0903 State Penalty Fund	-	-	156
0385.354	Child Sexual Abuse Prevention/Trng	0001 General Fund	272	272	272
0385.451	Victims of Crime Act	0890 Federal Trust Fund	99,612	229,300	232,000
0385.504	Project Safe Neighborhoods	0890 Federal Trust Fund	-	700	700
0385.523	Forensic Science Improvement Act	0890 Federal Trust Fund	-	2,090	2,090
0385.541	Public Pros/Pub Defender Training	0241 Local Pub Pros/Def Trng Fund	799	799	-
0385.541	Public Pros/Pub Defender Training	0903 State Penalty Fund	-	-	450
0385.902	Child Justice Act	0890 Federal Trust Fund	637	1,800	1,800
0385.906	Equality in Prevention & Serv.	3112 EPSDA	71	-	-
0385.908	Internet Crimes Against Children	0214 Restitution Fund	1,000	1,000	-
0385.908	Internet Crimes Against Children	0001 General Fund	-	-	1,000
0385.910	American Red Cross	8084 American Red Cross, CC Fund	372	400	400
0385.911	Child Victims of Human Trafficking	8069 Child Victims Human Traff Fund	451	250	268
0385.912	Human Trafficking Victims Assistance	0214 Restitution Fund	10,000	-	-
0385.912	Human Trafficking Victims Assistance	0001 General Fund	-	10,000	5,000
0385.913	CA Sexual Violence Victim Services	8093 CA Sexual Violence Victim Services Fund	-	250	250
0385.916	Victim Assistance Discretionary Training	0890 Federal Trust Fund	-	2,700	-
0385.917	Homeless Youth Emergency Service Pilot Program	0985 Emergency Housing and Assistance Fund	-	10,000	-
0385.918	California Domestic Violence Victims Program	8104 California Domestic Violence Victims Fund	-	-	250
Public Safety / Victim Services Total			\$171,609	\$320,339	\$309,603
Total, Program 0385-Victim Services Projects, Local Assistance			\$171,609	\$320,339	\$309,603

^{1/} Program has multiple funding sources.

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0690 Office of Emergency Services - Continued**PROGRAM DESCRIPTIONS****0380 - EMERGENCY MANAGEMENT SERVICES**

Through the Emergency Management Services and Homeland Security Program, the OES provides effective use of federal, state, and local resources, through the mutual aid system to jurisdictions whose resources and services are overextended in a disaster situation. Also, this program develops and coordinates a comprehensive state strategy related to an all-hazards approach that includes prevention, preparedness, mitigation, response, and recovery consistent with the National Strategy on Homeland Security. Central to this effort is maintaining operational readiness at all levels of government. Within this program, the OES also trains emergency managers, responders, and state and local leaders in preparedness and response to all hazards.

0385 - SPECIAL PROGRAMS AND GRANT MANAGEMENT

The OES serves as the State Administering Agent for federal homeland security, emergency management, and victim services grants and is the primary liaison with the Federal Emergency Management Agency, the United States Department of Homeland Security, and other federal agencies. Additionally, the OES serves as an advocate for local public safety, emergency management, and public and private agencies operating public safety and victim service programs. As part of its grant management role, the OES administers the California Disaster Assistance Act and provides eligible local agencies with financial assistance to recover from disasters. Included in this program is the State Threat Assessment Center which is the centerpiece of the State's intelligence information sharing environment and serves as the Governor's designated primary fusion center.

0395 - PUBLIC SAFETY COMMUNICATIONS

Public Safety Communications (PSC) serves the State of California by providing public safety communications to the State's first responders and oversight of the 9-1-1 system to the people of California. The PSC is dedicated to the preservation and protection of human life and public safety by delivering reliable and dependable communication services keeping the public connected during times of crisis.

9900 - ADMINISTRATION AND EXECUTIVE

The Administration and Executive Program provides the overall policy direction of the OES and support services such as accounting, fiscal, personnel, information technology, and business services.

DETAILED EXPENDITURES BY PROGRAM

		<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
	PROGRAM REQUIREMENTS			
0380	EMERGENCY MANAGEMENT SERVICES			
	State Operations:			
0001	General Fund	\$22,362	\$40,722	\$32,432
0028	Unified Program Account	592	842	841
0029	Nuclear Planning Assessment Special Account	684	1,109	1,116
0437	State Assistance For Fire Equipment Account	3	100	100
0890	Federal Trust Fund	14,368	21,975	21,748
0995	Reimbursements	2,657	4,500	4,489
3034	Antiterrorism Fund	479	645	641
3260	Regional Railroad Accident Preparedness and Immediate Response Fund	7,064	9,238	-
8039	Disaster Resistant Communities Account	-	207	207
	Totals, State Operations	\$48,209	\$79,338	\$61,574
	PROGRAM REQUIREMENTS			
0385	SPECIAL PROGRAMS AND GRANT MANAGEMENT			
	State Operations:			
0001	General Fund	\$25,038	\$40,532	\$24,426
0214	Restitution Fund	6	8	-
0241	Local Public Prosecutors and Public Defenders Training Fund	55	84	-

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0690 Office of Emergency Services - Continued

		2015-16*	2016-17*	2017-18*
0425	Victim - Witness Assistance Fund	1,363	1,422	-
0890	Federal Trust Fund	29,853	52,131	47,901
0903	State Penalty Fund	-	-	886
0995	Reimbursements	-	20	20
3034	Antiterrorism Fund	115	118	118
6061	Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	701	2,761	2,746
	Totals, State Operations	\$57,131	\$97,076	\$76,097
	Local Assistance:			
0001	General Fund	\$76,782	\$124,227	\$114,680
0029	Nuclear Planning Assessment Special Account	1,203	2,020	2,071
0214	Restitution Fund	11,000	5,200	-
0241	Local Public Prosecutors and Public Defenders Training Fund	799	799	-
0425	Victim - Witness Assistance Fund	17,290	12,369	-
0890	Federal Trust Fund	616,184	929,166	929,166
0903	State Penalty Fund	-	-	11,364
0985	Emergency Housing and Assistance Fund	-	10,000	-
3034	Antiterrorism Fund	2,000	-	500
3112	Equality in Prevention and Services for Domestic Abuse Fund	71	-	-
6061	Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	99,981	80,000	-
8069	Child Victims of Human Trafficking Fund	451	250	268
8084	American Red Cross, California Chapters Fund	372	400	400
8093	California Sexual Violence Victim Services Fund	-	250	250
8104	California Domestic Violence Victims Fund	-	-	250
	Totals, Local Assistance	\$826,133	\$1,164,681	\$1,058,949
	PROGRAM REQUIREMENTS			
0395	PUBLIC SAFETY COMMUNICATIONS			
	State Operations:			
0022	State Emergency Telephone Number Account	\$2,561	\$2,465	\$2,442
9730	Technology Services Revolving Fund	75,486	-	-
9751	Public Safety Communications Revolving Fund	-	76,566	76,999
	Totals, State Operations	\$78,047	\$79,031	\$79,441
	Local Assistance:			
0022	State Emergency Telephone Number Account	<u>\$92,972</u>	<u>\$108,619</u>	<u>\$108,619</u>
	Totals, Local Assistance	\$92,972	\$108,619	\$108,619
	SUBPROGRAM REQUIREMENTS			
9900100	Administration			
	State Operations:			
0001	General Fund	<u>\$14,503</u>	<u>\$19,457</u>	<u>\$17,923</u>
	Totals, State Operations	\$14,503	\$19,457	\$17,923
	SUBPROGRAM REQUIREMENTS			
9900200	Administration - Distributed			
	State Operations:			

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0690 Office of Emergency Services - Continued

		2015-16*	2016-17*	2017-18*
0001	General Fund	<u>-\$14,503</u>	<u>-\$19,457</u>	<u>-\$17,923</u>
	Totals, State Operations	-\$14,503	-\$19,457	-\$17,923
	TOTALS, EXPENDITURES			
	State Operations	183,387	255,445	217,112
	Local Assistance	<u>919,105</u>	<u>1,273,300</u>	<u>1,167,568</u>
	Totals, Expenditures	\$1,102,492	\$1,528,745	\$1,384,680

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	868.2	880.4	880.4	\$65,340	\$73,991	\$68,505
Total Adjustments	<u>-2.6</u>	<u>-</u>	<u>29.0</u>	<u>2,836</u>	<u>3,324</u>	<u>3,877</u>
Net Totals, Salaries and Wages	865.6	880.4	909.4	\$68,176	\$77,315	\$72,382
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>30,518</u>	<u>34,859</u>	<u>33,433</u>
Totals, Personal Services	865.6	880.4	909.4	\$98,694	\$112,174	\$105,815
OPERATING EXPENSES AND EQUIPMENT				\$81,264	\$143,271	\$111,297
SPECIAL ITEMS OF EXPENSES				4,064	-	-
UNCLASSIFIED EXPENDITURES				<u>-635</u>	<u>-</u>	<u>-</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$183,387	\$255,445	\$217,112

2 Local Assistance	Expenditures		
	2015-16*	2016-17*	2017-18*
Grants and Subventions - Governmental	<u>\$919,105</u>	<u>\$1,273,300</u>	<u>\$1,167,568</u>
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$919,105	\$1,273,300	\$1,167,568

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$40,458	\$71,694	\$48,625
Allocation for Employee Compensation	406	1,170	-
Allocation for Staff Benefits	176	343	-
CalATERS Funding Removal	-	-4	-
Map Reimbursable Activities to New Item	-4,449	-	-
Section 3.60 Pension Contribution Adjustment	124	210	-
Section 6.10 of the Budget Act of 2015, per E.O. 15/16-14	3,000	-	-
Section 6.10 of the Budget Act of 2016, Executive Order 16/17-14	-	800	-
003 Budget Act appropriation	6,367	6,361	6,366
Lease Revenue Debt Service Adjustment	-9	-21	-
Map Reimbursable Activities to New Item	-1	-	-
004 Budget Act appropriation	-	-	1,867
Prior Year Balances Available:			

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0690 Office of Emergency Services - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Item 0690-001-0001, Budget Act of 2014 as amended by Chapter 1, Statutes of 2015	4,372	-	-
Item 0690-001-0001, Budget Act of 2015	-	701	-
Totals Available	\$50,444	\$81,254	\$56,858
Unexpended balance, estimated savings	-2,343	-	-
Balance available in subsequent years	-701	-	-
TOTALS, EXPENDITURES	\$47,400	\$81,254	\$56,858
0022 State Emergency Telephone Number Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,541	\$2,492	\$2,442
Allocation for Employee Compensation	24	42	-
Allocation for Staff Benefits	13	7	-
CalATERS Funding Removal	-	-1	-
Pro Rata Assessments Removal	-	-96	-
Section 3.60 Pension Contribution Adjustment	8	21	-
Totals Available	\$2,586	\$2,465	\$2,442
Unexpended balance, estimated savings	-25	-	-
TOTALS, EXPENDITURES	\$2,561	\$2,465	\$2,442
0028 Unified Program Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$826	\$840	\$841
Allocation for Employee Compensation	11	22	-
Allocation for Staff Benefits	4	7	-
Pro Rata Assessments Removal	-	-33	-
Section 3.60 Pension Contribution Adjustment	3	6	-
Totals Available	\$844	\$842	\$841
Unexpended balance, estimated savings	-252	-	-
TOTALS, EXPENDITURES	\$592	\$842	\$841
0029 Nuclear Planning Assessment Special Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,266	\$1,153	\$1,116
Allocation for Employee Compensation	18	21	-
Allocation for Staff Benefits	7	5	-
Pro Rata Assessments Removal	-	-77	-
Section 3.60 Pension Contribution Adjustment	3	7	-
Totals Available	\$1,294	\$1,109	\$1,116
Balance available in subsequent years	-610	-	-
TOTALS, EXPENDITURES	\$684	\$1,109	\$1,116
0214 Restitution Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$8	\$8	-
Totals Available	\$8	\$8	\$-
Unexpended balance, estimated savings	-2	-	-
TOTALS, EXPENDITURES	\$6	\$8	\$-
0241 Local Public Prosecutors and Public Defenders Training Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$84	\$84	-
Allocation for Employee Compensation	1	2	-
Pro Rata Assessments Removal	-	-3	-

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0690 Office of Emergency Services - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Section 3.60 Pension Contribution Adjustment	-	1	-
Totals Available	\$85	\$84	\$-
Unexpended balance, estimated savings	-30	-	-
TOTALS, EXPENDITURES	\$55	\$84	\$-
0425 Victim - Witness Assistance Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,414	\$1,442	-
Allocation for Employee Compensation	19	21	-
Allocation for Staff Benefits	9	3	-
Pro Rata Assessments Removal	-	-56	-
Section 3.60 Pension Contribution Adjustment	7	12	-
Totals Available	\$1,449	\$1,422	\$-
Unexpended balance, estimated savings	-86	-	-
TOTALS, EXPENDITURES	\$1,363	\$1,422	\$-
0437 State Assistance For Fire Equipment Account			
APPROPRIATIONS			
Government Code section 8589.16	\$100	\$100	\$100
Totals Available	\$100	\$100	\$100
Unexpended balance, estimated savings	-97	-	-
TOTALS, EXPENDITURES	\$3	\$100	\$100
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$70,851	\$73,203	\$69,649
Allocation for Employee Compensation	470	516	-
Allocation for Staff Benefits	213	91	-
CalATERS Funding Removal	-	-7	-
Section 3.60 Pension Contribution Adjustment	124	303	-
Totals Available	\$71,658	\$74,106	\$69,649
Unexpended balance, estimated savings	-27,437	-	-
TOTALS, EXPENDITURES	\$44,221	\$74,106	\$69,649
0903 State Penalty Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$886
TOTALS, EXPENDITURES	\$-	\$-	\$886
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,657	\$4,520	\$4,509
TOTALS, EXPENDITURES	\$2,657	\$4,520	\$4,509
3034 Antiterrorism Fund			
APPROPRIATIONS			
010 Budget Act appropriation	\$782	\$745	\$759
Allocation for Employee Compensation	9	12	-
Allocation for Staff Benefits	3	3	-
Section 3.60 Pension Contribution Adjustment	1	3	-
Totals Available	\$795	\$763	\$759
Unexpended balance, estimated savings	-201	-	-
TOTALS, EXPENDITURES	\$594	\$763	\$759

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0690 Office of Emergency Services - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
3112 Equality in Prevention and Services for Domestic Abuse Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5	-	-
Totals Available	\$5	\$-	\$-
Unexpended balance, estimated savings	-5	-	-
TOTALS, EXPENDITURES	\$-	\$-	\$-
3260 Regional Railroad Accident Preparedness and Immediate Response Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$10,000	\$9,987	-
Pro Rata Assessments Removal	-	-749	-
Totals Available	\$10,000	\$9,238	\$-
Unexpended balance, estimated savings	-2,936	-	-
TOTALS, EXPENDITURES	\$7,064	\$9,238	\$-
6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,690	\$2,726	\$2,746
Allocation for Employee Compensation	17	21	-
Allocation for Staff Benefits	10	3	-
Section 3.60 Pension Contribution Adjustment	6	11	-
Totals Available	\$2,723	\$2,761	\$2,746
Unexpended balance, estimated savings	-2,022	-	-
TOTALS, EXPENDITURES	\$701	\$2,761	\$2,746
8039 Disaster Resistant Communities Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$207	\$207	\$207
Totals Available	\$207	\$207	\$207
Unexpended balance, estimated savings	-207	-	-
TOTALS, EXPENDITURES	\$-	\$207	\$207
9730 Technology Services Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$78,733	-	-
Allocation for Employee Compensation	776	-	-
Allocation for Staff Benefits	339	-	-
Section 3.60 Pension Contribution Adjustment	234	-	-
Totals Available	\$80,082	\$-	\$-
Unexpended balance, estimated savings	-4,596	-	-
TOTALS, EXPENDITURES	\$75,486	\$-	\$-
9751 Public Safety Communications Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$80,070	\$76,999
Allocation for Employee Compensation	-	1,156	-
Allocation for Staff Benefits	-	381	-
CalATERS Funding Removal	-	-11	-
Pro Rata Assessments Removal	-	-5,488	-
Section 3.60 Pension Contribution Adjustment	-	458	-
TOTALS, EXPENDITURES	\$-	\$76,566	\$76,999
Total Expenditures, All Funds, (State Operations)	\$183,387	\$255,445	\$217,112

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0690 Office of Emergency Services - Continued

2 LOCAL ASSISTANCE	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$21,671	\$31,671	\$45,671
102 Budget Act appropriation	-	-	500
112 Budget Act appropriation	61,314	91,314	67,497
115 Budget Act appropriation	1,012	1,012	1,012
Adjustment per Item 0690-115-0001, Budget Act of 2016	-	230	-
Executive Order 15/16-68	366	-	-
Totals Available	\$84,363	\$124,227	\$114,680
Unexpended balance, estimated savings	-7,581	-	-
TOTALS, EXPENDITURES	\$76,782	\$124,227	\$114,680
0022 State Emergency Telephone Number Account			
APPROPRIATIONS			
101 Budget Act appropriation	\$108,619	\$108,619	\$108,619
Totals Available	\$108,619	\$108,619	\$108,619
Unexpended balance, estimated savings	-15,647	-	-
TOTALS, EXPENDITURES	\$92,972	\$108,619	\$108,619
0029 Nuclear Planning Assessment Special Account			
APPROPRIATIONS			
101 Budget Act appropriation	\$3,735	\$2,020	\$2,071
Totals Available	\$3,735	\$2,020	\$2,071
Unexpended balance, estimated savings	-1,760	-	-
Balance available in subsequent years	-772	-	-
TOTALS, EXPENDITURES	\$1,203	\$2,020	\$2,071
0214 Restitution Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$11,000	\$5,200	-
TOTALS, EXPENDITURES	\$11,000	\$5,200	\$-
0241 Local Public Prosecutors and Public Defenders Training Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$799	\$799	-
TOTALS, EXPENDITURES	\$799	\$799	\$-
0425 Victim - Witness Assistance Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$17,319	\$12,369	-
Totals Available	\$17,319	\$12,369	\$-
Unexpended balance, estimated savings	-29	-	-
TOTALS, EXPENDITURES	\$17,290	\$12,369	\$-
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$929,166	\$669,766	\$669,766
102 Budget Act appropriation	-	259,400	259,400
Totals Available	\$929,166	\$929,166	\$929,166
Unexpended balance, estimated savings	-312,982	-	-
TOTALS, EXPENDITURES	\$616,184	\$929,166	\$929,166
0903 State Penalty Fund			
APPROPRIATIONS			

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0690 Office of Emergency Services - Continued

2 LOCAL ASSISTANCE	2015-16*	2016-17*	2017-18*
101 Budget Act appropriation	-	-	\$11,364
TOTALS, EXPENDITURES	\$-	\$-	\$11,364
0985 Emergency Housing and Assistance Fund			
APPROPRIATIONS			
Emergency Housing Assistance, Pursuant to Provision 2 of Item 2240-105-0001, Section 2 of Chapter 44, Statutes of 2016	-	\$10,000	-
TOTALS, EXPENDITURES	\$-	\$10,000	\$-
3034 Antiterrorism Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,000	-	\$500
TOTALS, EXPENDITURES	\$2,000	\$-	\$500
3112 Equality in Prevention and Services for Domestic Abuse Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$71	-	-
TOTALS, EXPENDITURES	\$71	\$-	\$-
6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
101 Budget Act appropriation	\$100,000	\$80,000	-
Totals Available	\$100,000	\$80,000	\$-
Unexpended balance, estimated savings	-19	-	-
TOTALS, EXPENDITURES	\$99,981	\$80,000	\$-
8069 Child Victims of Human Trafficking Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$451	\$250	\$268
TOTALS, EXPENDITURES	\$451	\$250	\$268
8084 American Red Cross, California Chapters Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$400	\$400	\$400
Totals Available	\$400	\$400	\$400
Unexpended balance, estimated savings	-28	-	-
TOTALS, EXPENDITURES	\$372	\$400	\$400
8093 California Sexual Violence Victim Services Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$250	\$250
TOTALS, EXPENDITURES	\$-	\$250	\$250
8104 California Domestic Violence Victims Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$250
TOTALS, EXPENDITURES	\$-	\$-	\$250
Total Expenditures, All Funds, (Local Assistance)	\$919,105	\$1,273,300	\$1,167,568
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$1,102,492	\$1,528,745	\$1,384,680

FUND CONDITION STATEMENTS

	2015-16*	2016-17*	2017-18*
0022 State Emergency Telephone Number Account ^s			
BEGINNING BALANCE	\$76,773	\$53,480	\$29,304
Prior Year Adjustments	-4,399	-	-
Adjusted Beginning Balance	\$72,374	\$53,480	\$29,304

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0690 Office of Emergency Services - Continued

	2015-16*	2016-17*	2017-18*
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4140500 Emergency Telephone User's Surcharge	81,605	81,605	81,605
4171000 Cost Recoveries - Delinquent Receivables	1	-	-
4171100 Cost Recoveries - Other	-	1	1
Transfers and Other Adjustments			
Revenue Transfer from Prepaid MTS 911 Account (3266) to State	-	11,181	9,900
Emergency Telephone Number Account (0022) per Chapter 885, Statutes of 2014 (AB 1717) Section 8 Revenue and Taxation Code Section 42023 (a)			
Total Revenues, Transfers, and Other Adjustments	<u>\$81,606</u>	<u>\$92,787</u>	<u>\$91,506</u>
Total Resources	\$153,980	\$146,267	\$120,810
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	2,561	2,465	2,442
0690 Office of Emergency Services (Local Assistance)	92,972	108,619	108,619
0860 State Board of Equalization (State Operations)	1,125	1,792	1,675
3540 Department of Forestry and Fire Protection (State Operations)	3,827	3,815	3,815
8880 Financial Information System for California (State Operations)	15	12	10
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	260	504
Total Expenditures and Expenditure Adjustments	<u>\$100,500</u>	<u>\$116,963</u>	<u>\$117,065</u>
FUND BALANCE	\$53,480	\$29,304	\$3,745
Reserve for economic uncertainties	53,480	29,304	3,745
0029 Nuclear Planning Assessment Special Account^s			
BEGINNING BALANCE	\$209	\$704	\$996
Prior Year Adjustments	<u>-496</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	-\$287	\$704	\$996
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	<u>3,595</u>	<u>4,530</u>	<u>4,317</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$3,595</u>	<u>\$4,530</u>	<u>\$4,317</u>
Total Resources	\$3,308	\$5,234	\$5,313
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	684	1,109	1,116
0690 Office of Emergency Services (Local Assistance)	1,203	2,020	2,071
4265 Department of Public Health (State Operations)	706	982	979
8880 Financial Information System for California (State Operations)	11	3	2
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	124	165
Total Expenditures and Expenditure Adjustments	<u>\$2,604</u>	<u>\$4,238</u>	<u>\$4,333</u>
FUND BALANCE	\$704	\$996	\$980
Reserve for economic uncertainties	704	996	980
0241 Local Public Prosecutors and Public Defenders Training Fund^s			
BEGINNING BALANCE	\$1,097	\$1,130	\$1,097
Prior Year Adjustments	<u>32</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,129	\$1,130	\$1,097
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0690 Office of Emergency Services - Continued

	2015-16*	2016-17*	2017-18*
4163000 Investment Income - Surplus Money Investments	5	3	-
4173000 Penalty Assessments - Other	850	850	-
Total Revenues, Transfers, and Other Adjustments	<u>\$855</u>	<u>\$853</u>	<u>-</u>
Total Resources	\$1,984	\$1,983	\$1,097
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	55	84	-
0690 Office of Emergency Services (Local Assistance)	799	799	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	3	7
Total Expenditures and Expenditure Adjustments	<u>\$854</u>	<u>\$886</u>	<u>\$7</u>
FUND BALANCE	\$1,130	\$1,097	\$1,090
Reserve for economic uncertainties	1,130	1,097	1,090
0372 Disaster Relief Fund^s			
BEGINNING BALANCE	-	-	-
FUND BALANCE	-	-	-
0375 Disaster Response-Emergency Operations Account, Special Fund for Economic Uncertainties^s			
BEGINNING BALANCE	-	\$23	\$23
Prior Year Adjustments	<u>\$23</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	<u>\$23</u>	<u>\$23</u>	<u>\$23</u>
Total Resources	<u>\$23</u>	<u>\$23</u>	<u>\$23</u>
FUND BALANCE	\$23	\$23	\$23
Reserve for economic uncertainties	23	23	23
0425 Victim - Witness Assistance Fund^s			
BEGINNING BALANCE	\$6,278	\$1,452	\$115
Prior Year Adjustments	<u>22</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$6,300	\$1,452	\$115
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4131500 Felony Conviction Penalties	1	1	-
4163000 Investment Income - Surplus Money Investments	27	16	-
4172500 Miscellaneous Revenue	-	21	-
4173000 Penalty Assessments - Other	9,658	8,352	-
Transfers and Other Adjustments			
Revenue Transfer From Driver Training Penalty Assessment Fund (0178) to Victim - Witness Assistance Fund (0425), per Code Section 24.10, Budget Acts	4,121	4,121	-
Total Revenues, Transfers, and Other Adjustments	<u>\$13,807</u>	<u>\$12,511</u>	<u>-</u>
Total Resources	\$20,107	\$13,963	\$115
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	1,363	1,422	-
0690 Office of Emergency Services (Local Assistance)	17,290	12,369	-
8880 Financial Information System for California (State Operations)	2	1	2
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	56	107
Total Expenditures and Expenditure Adjustments	<u>\$18,655</u>	<u>\$13,848</u>	<u>\$109</u>

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0690 Office of Emergency Services - Continued

	2015-16*	2016-17*	2017-18*
FUND BALANCE	\$1,452	\$115	\$6
Reserve for economic uncertainties	1,452	115	6
0437 State Assistance For Fire Equipment Account ^s			
BEGINNING BALANCE	\$1,283	\$1,426	\$1,426
Prior Year Adjustments	-4	-	-
Adjusted Beginning Balance	\$1,279	\$1,426	\$1,426
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4170400 Capital Asset Sales Proceeds	150	100	100
Total Revenues, Transfers, and Other Adjustments	\$150	\$100	\$100
Total Resources	\$1,429	\$1,526	\$1,526
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	3	100	100
Total Expenditures and Expenditure Adjustments	\$3	\$100	\$100
FUND BALANCE	\$1,426	\$1,426	\$1,426
Reserve for economic uncertainties	1,426	1,426	1,426
0903 State Penalty Fund ^N			
BEGINNING BALANCE	\$3	\$3	\$3
Adjusted Beginning Balance	\$3	\$3	\$3
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172240 Fines and Penalties - External - Other	106,114	98,325	93,642
4172500 Miscellaneous Revenue	-104,308	-96,490	-
Less Revenues Collected For Other Funds:			
Restitution Fund (Indemnity Fund)	(-33,090)	(-30,858)	
Peace Officers Training Fund	(-25,420)	(-23,192)	
Fish and Game Preservation Fund	(-424)	(-401)	
Corrections Training Fund	(-8,274)	(-7,618)	
Driver Training Penalty Assessment Fund	(-26,511)	(-24,585)	
Local Public Prosecutors/Defenders Training Fund	(-745)	(-850)	
Victim/Witness Assistance Fund	(-8,913)	(-8,373)	
Traumatic Brain Injury Fund	(-681)	(-638)	
Transfers and Other Adjustments			
Revenue Transfer from the State Penalty Fund (0903) to the Fish and Game Preservation Fund (0200) per Penal Code 1464	-	-	-450
Revenue Transfer from the State Penalty Fund (0903) to the Restitution Fund (0214) per Proposed Item 7870-011-0903	-	-	-9,100
Revenue Transfer from the State Penalty Fund (0903) to the Traumatic Brain Injury Fund (0311) per Proposed Item 5160-011-0903	-	-	-800
Transfer from State Penalty Fund (0903) to California Motorcyclist Fund (0840) per Item 2720-012-0903, Budget Acts	-250	-250	-
Total Revenues, Transfers, and Other Adjustments	\$1,556	\$1,585	\$83,292
Total Resources	\$1,559	\$1,588	\$83,295
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	-	-	886
0690 Office of Emergency Services (Local Assistance)	-	-	11,364
0820 Department of Justice (State Operations)	-	-	164

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0690 Office of Emergency Services - Continued

	2015-16*	2016-17*	2017-18*
0820 Department of Justice (Local Assistance)	-	-	3,113
0840 State Controller (State Operations)	1,556	1,459	1,442
5227 Board of State and Community Corrections (State Operations)	-	-	2,392
5227 Board of State and Community Corrections (Local Assistance)	-	-	14,817
6100 Department of Education (State Operations)	-	-	838
8120 Commission on Peace Officer Standards and Training (State Operations)	-	-	32,424
8120 Commission on Peace Officer Standards and Training (Local Assistance)	-	-	14,143
8880 Financial Information System for California (State Operations)	-	-	2
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	126	162
Total Expenditures and Expenditure Adjustments	<u>\$1,556</u>	<u>\$1,585</u>	<u>\$81,747</u>
FUND BALANCE	\$3	\$3	\$1,548
Reserve for economic uncertainties	3	3	1,548
3034 Antiterrorism Fund ^s			
BEGINNING BALANCE	\$1,498	\$356	\$1,261
Prior Year Adjustments	<u>144</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,642	\$356	\$1,261
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4142500 License Plate Fees - Personalized Plates	829	675	549
4172500 Miscellaneous Revenue	18	-	-
Transfers and Other Adjustments			
Loan Repayment from General Fund (0001) to Antiterrorism Fund (3034), per Item 0690-011-3034 Budget Act of 2008	-	2,000	-
Loan Repayment from General Fund (0001) to Antiterrorism Fund (3034), per Item 0690-011-3034 Budget Act of 2011	1,000	-	-
Revenue Transfer from California Memorial Scholarship Fund (3033) to the Antiterrorism Fund (3034) per Education Code Section 70010.7 (Ch. 38/2002)	-	-	109
Total Revenues, Transfers, and Other Adjustments	<u>\$1,847</u>	<u>\$2,675</u>	<u>\$658</u>
Total Resources	\$3,489	\$3,031	\$1,919
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	594	763	759
0690 Office of Emergency Services (Local Assistance)	2,000	-	500
8120 Commission on Peace Officer Standards and Training (State Operations)	-	455	-
8570 Department of Food and Agriculture (State Operations)	536	534	534
8880 Financial Information System for California (State Operations)	3	1	2
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	17	86
Total Expenditures and Expenditure Adjustments	<u>\$3,133</u>	<u>\$1,770</u>	<u>\$1,881</u>
FUND BALANCE	\$356	\$1,261	\$38
Reserve for economic uncertainties	356	1,261	38
3075 Unlawful Sales Reduction Fund ^s			
BEGINNING BALANCE	\$87	\$85	\$86
Prior Year Adjustments	-2	-	-

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0690 Office of Emergency Services - Continued

	2015-16*	2016-17*	2017-18*
Adjusted Beginning Balance	\$85	\$85	\$86
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4173000 Penalty Assessments - Other	-	1	1
Total Revenues, Transfers, and Other Adjustments	-	\$1	\$1
Total Resources	\$85	\$86	\$87
FUND BALANCE	\$85	\$86	\$87
Reserve for economic uncertainties	85	86	87
3112 Equality in Prevention and Services for Domestic Abuse Fund^s			
BEGINNING BALANCE	\$53	\$4	\$4
Prior Year Adjustments	-1	-	-
Adjusted Beginning Balance	\$52	\$4	\$4
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	23	-	-
Total Revenues, Transfers, and Other Adjustments	\$23	-	-
Total Resources	\$75	\$4	\$4
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (Local Assistance)	71	-	-
Total Expenditures and Expenditure Adjustments	\$71	-	-
FUND BALANCE	\$4	\$4	\$4
Reserve for economic uncertainties	4	4	4
3260 Regional Railroad Accident Preparedness and Immediate Response Fund^s			
BEGINNING BALANCE	\$959	\$3,870	\$3,286
Prior Year Adjustments	-25	-	-
Adjusted Beginning Balance	\$934	\$3,870	\$3,286
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172500 Miscellaneous Revenue	-	20,000	20,000
Transfers and Other Adjustments			
Loan from High-Cost Fund-B Administrative Committee Fund (0470) to Regional Railroad Accident Preparedness and Immediate Response Fund (3260) per Chapter 663 of the Statutes of 2014	10,000	-	-
Loan Repayment from Regional Railroad Accident Preparedness and Immediate Response Fund (3260) to California High-Cost Fund-B Administrative Committee Fund (0470) per Chapter 663, Statutes of 2014	-	-10,000	-10,000
Total Revenues, Transfers, and Other Adjustments	\$10,000	\$10,000	\$10,000
Total Resources	\$10,934	\$13,870	\$13,286
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	7,064	9,238	-
0860 State Board of Equalization (State Operations)	-	584	278
8880 Financial Information System for California (State Operations)	-	13	13
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	749	-
Total Expenditures and Expenditure Adjustments	\$7,064	\$10,584	\$291
FUND BALANCE	\$3,870	\$3,286	\$12,995

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0690 Office of Emergency Services - Continued

	2015-16*	2016-17*	2017-18*
Reserve for economic uncertainties	3,870	3,286	12,995
3266 Prepaid MTS 911 Account ^s			
BEGINNING BALANCE	-	\$1,281	\$1,451
Adjusted Beginning Balance	-	\$1,281	\$1,451
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	\$1,281	-	-
4140500 Emergency Telephone User's Surcharge	-	9,900	9,900
Transfers and Other Adjustments			
Revenue Transfer from Prepaid MTS 911 Account (3266) to State	-	-11,181	-9,900
Emergency Telephone Number Account (0022) per Chapter 885, Statutes of 2014 (AB 1717) Section 8 Revenue and Taxation Code Section 42023 (a)			
Revenue Transfer from Prepaid Mobile Telephony Services Surcharge Fund (3251) to Prepaid MTS 911 Account (3266) per Revenue and Taxation Code Section 42023(a)	-	1,451	1,486
Total Revenues, Transfers, and Other Adjustments	\$1,281	\$170	\$1,486
Total Resources	\$1,281	\$1,451	\$2,937
FUND BALANCE	\$1,281	\$1,451	\$2,937
Reserve for economic uncertainties	1,281	1,451	2,937

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	868.2	880.4	880.4	\$65,340	\$73,991	\$68,505
Salary and Other Adjustments	-2.6	-	-	2,836	3,324	3,436
Workload and Administrative Adjustments						
Dam Safety and Emergency Flood Response						
Emergency Svcs Coord	-	-	1.0	-	-	81
Overtime	-	-	-	-	-	100
Program Mgr I	-	-	1.0	-	-	86
Sr Emergency Svcs Coord	-	-	2.0	-	-	74
Various	-	-	-	-	-	100
Hazardous Materials Training Support						
Maint Mechanic	-	-	2.0	-	-	-
Victims of Crime Act						
Accounting Officer (Spec)	-	-	2.0	-	-	-
Assoc Budget Analyst	-	-	1.0	-	-	-
Assoc Govtl Program Analyst	-	-	10.0	-	-	-
Assoc Mgmt Auditor	-	-	4.0	-	-	-
Office Techn (Typing)	-	-	1.0	-	-	-
Staff Svcs Analyst (Gen)	-	-	2.0	-	-	-
Staff Svcs Mgr I	-	-	2.0	-	-	-
Staff Svcs Mgr II (Suprvy)	-	-	1.0	-	-	-
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	29.0	\$-	\$-	\$441
Totals, Adjustments	-2.6	-	29.0	\$2,836	\$3,324	\$3,877
TOTALS, SALARIES AND WAGES	865.6	880.4	909.4	\$68,176	\$77,315	\$72,382

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0690 Office of Emergency Services - Continued**INFRASTRUCTURE OVERVIEW**

The Office of Emergency Services' infrastructure includes a headquarters facility, an administrative building, and an Inland Regional Operations Center in Sacramento County; a Coastal Regional Operations Center in Walnut Creek, Contra Costa County; a Southern Regional Operations Center located at Los Alamitos Joint Forces Training Base in Orange County; the California Specialized Training Institute at Camp San Luis Obispo; and various small field offices throughout the state.

The Public Safety Communications division (PSC) is comprised of a main leased complex in Sacramento and 45 field locations throughout the state. These locations include 8 area offices and 37 area shops, positioned geographically to facilitate maintenance and installation services to remote communication sites and customers throughout the state. In addition, the PSC maintains and operates 10 communications vaults/towers and a total of more than 3,500 radio frequency points of presence.

SUMMARY OF PROJECTS

		State Building Program Expenditures	2015-16*	2016-17*	2017-18*
0405	CAPITAL OUTLAY				
	Projects				
0000121	Relocation of Red Mountain Communications Site, Del Norte County		18	-	3,117
	Preliminary Plans		18	-	-
	Working Drawings		-	-	1,261
	Equipment		-	-	1,856
0000711	Headquarters Complex, Rancho Cordova: Public Safety Communications Network Operations Center		-	453	5,571
	Preliminary Plans		-	361	-
	Working Drawings		-	92	-
	Construction		-	-	5,571
0000753	Southern Region Emergency Operations Center Replacement, Los Alamitos		30	-	-
	Acquisition		30	-	-
TOTALS, EXPENDITURES, ALL PROJECTS			\$48	\$453	\$8,688
FUNDING			2015-16*	2016-17*	2017-18*
0001	General Fund		<u>\$48</u>	<u>\$453</u>	<u>\$8,688</u>
TOTALS, EXPENDITURES, ALL FUNDS			\$48	\$453	\$8,688

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

3 CAPITAL OUTLAY		2015-16*	2016-17*	2017-18*
0001 General Fund				
APPROPRIATIONS				
301	Budget Act appropriation	\$3,402	-	\$7,427
Prior Year Balances Available:				
Item 0690-301-0001, Budget Act of 2015		-	1,714	-
0000121 - Relocation of Red Mountain Communications Site, Del Norte County - COBCP/Reappropriation - W		-	-	1,261
Various Projects: Miscellaneous Baseline Adjustments		18	-	-
Totals Available		\$3,420	\$1,714	\$8,688
Unexpended balance, estimated savings		-1,658	-	-
Balance available in subsequent years		-1,714	-1,261	-
TOTALS, EXPENDITURES		\$48	\$453	\$8,688
Total Expenditures, All Funds, (Capital Outlay)		\$48	\$453	\$8,688

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0750 Office of the Lieutenant Governor

Under California's Constitution, the Lieutenant Governor serves as Acting Governor whenever the Governor is absent from the state, and automatically becomes Governor if a vacancy occurs in the Office of Governor. The Lieutenant Governor is also President of the Senate and votes in case of a tie.

The Lieutenant Governor serves as a voting member of the Board of Regents of the University of California and a voting member of the Board of Trustees of the California State University system.

The Lieutenant Governor also serves on, and rotates with the State Controller, as chair of the three-member State Lands Commission, which oversees the control and leasing of millions of acres of state-owned land, including offshore oil resources, as well as use and permitting for all navigable waterways in California. The Commission also manages state land-use planning and revenues, and related interstate issues. During alternate years, when the Lieutenant Governor serves as Chairperson of the State Lands Commission, he also serves as a member of the Ocean Protection Council.

In addition, under state statutes, the Lieutenant Governor chairs the California Commission for Economic Development, which provides support and guidance to the Governor, Legislature and private sector regarding the development of California's economy.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0430 General Activities	5.0	5.7	5.7	\$910	\$1,423	\$1,428
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	5.0	5.7	5.7	\$910	\$1,423	\$1,428
FUNDING				2015-16*	2016-17*	2017-18*
0001 General Fund				\$910	\$1,423	\$1,428
TOTALS, EXPENDITURES, ALL FUNDS				\$910	\$1,423	\$1,428

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article V, Sections 9 and 10, and Article IX, Section 9; Education Code Section 66602; Government Code Sections 8704, 8575, 14999-14999.8, and 15364.2; Public Resources Code Section 6101; Unemployment Insurance Code Section 15036; Vehicle Code Section 2600.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Salary Adjustments	\$13	\$-	-	\$17	\$-	-
• Retirement Rate Adjustments	9	-	-	9	-	-
• Benefit Adjustments	4	-	-	5	-	-
Totals, Other Workload Budget Adjustments	\$26	\$-	-	\$31	\$-	-
Totals, Workload Budget Adjustments	\$26	\$-	-	\$31	\$-	-
Totals, Budget Adjustments	\$26	\$-	-	\$31	\$-	-

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
PROGRAM REQUIREMENTS				
0430 GENERAL ACTIVITIES				
State Operations:				
0001 General Fund		\$910	\$1,423	\$1,428
Totals, State Operations		\$910	\$1,423	\$1,428

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0750 Office of the Lieutenant Governor - Continued

	2015-16*	2016-17*	2017-18*
TOTALS, EXPENDITURES			
State Operations	910	1,423	1,428
Totals, Expenditures	\$910	\$1,423	\$1,428

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	5.7	5.7	5.7	\$531	\$718	\$718
Total Adjustments	-0.7	-	-	-7	13	17
Net Totals, Salaries and Wages	5.0	5.7	5.7	\$524	\$731	\$735
Staff Benefits	-	-	-	263	281	282
Totals, Personal Services	5.0	5.7	5.7	\$787	\$1,012	\$1,017
OPERATING EXPENSES AND EQUIPMENT				\$123	\$411	\$411
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$910	\$1,423	\$1,428

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,068	\$1,397	\$1,428
Allocation for Employee Compensation	-	13	-
Allocation for Staff Benefits	-	4	-
Item 9800 Adjustments	23	-	-
Section 3.60 Pension Contribution Adjustment	5	9	-
Totals Available	\$1,096	\$1,423	\$1,428
Unexpended balance, estimated savings	-186	-	-
TOTALS, EXPENDITURES	\$910	\$1,423	\$1,428
Total Expenditures, All Funds, (State Operations)	\$910	\$1,423	\$1,428

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	5.7	5.7	5.7	\$531	\$718	\$718
Salary and Other Adjustments	-0.7	-	-	-7	13	17
Totals, Adjustments	-0.7	-	-	-\$7	\$13	\$17
TOTALS, SALARIES AND WAGES	5.0	5.7	5.7	\$524	\$731	\$735

0820 Department of Justice

The constitutional office of the Attorney General, as chief law officer of the state, has the responsibility to see that the laws of California are uniformly and adequately enforced. This responsibility is fulfilled through the diverse programs of the Department of Justice.

The Department of Justice is responsible for providing skillful and efficient legal services on behalf of the people of California. The Attorney General represents the people in all matters before the Appellate and Supreme Courts of California and the United States; serves as legal counsel to state officers, boards, commissioners, and departments; represents the people in actions to protect the environment and to enforce consumer, antitrust, and civil laws; and assist district attorneys in

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0820 Department of Justice - Continued

the administration of justice. The Department provides oversight, enforcement, education, and regulation of California's firearms/dangerous weapon laws; provides evaluation and analysis of physical evidence; regulates legal gambling activities in California; supports the telecommunications and data processing needs of the California criminal justice community; and pursues projects designed to protect the people of California from fraudulent, unfair, and illegal activities.

3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0435	Division of Legal Services	1,539.4	1,593.8	1,609.8	\$396,962	\$446,552	\$456,051
0440	Law Enforcement	927.1	882.4	914.4	181,684	209,993	246,289
0445	California Justice Information Services	957.3	961.0	975.0	160,202	171,108	191,723
9900100	Administration	882.7	893.3	905.3	101,398	111,553	112,665
9900200	Administration - Distributed	-	-	-	-101,398	-111,553	-112,665
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		4,306.5	4,330.5	4,404.5	\$738,848	\$827,653	\$894,063

FUNDING		2015-16*	2016-17*	2017-18*
0001	General Fund	\$198,139	\$223,121	\$226,581
0012	Attorney General Antitrust Account	2,426	2,493	2,489
0017	Fingerprint Fees Account	70,784	71,144	80,357
0032	Firearm Safety Account	258	332	332
0044	Motor Vehicle Account, State Transportation Fund	26,304	26,234	25,939
0142	Department of Justice Sexual Habitual Offender Fund	2,050	2,505	2,488
0158	Travel Seller Fund	730	1,403	1,390
0214	Restitution Fund	5,146	5,217	-
0256	Sexual Predator Public Information Account	79	175	175
0367	Indian Gaming Special Distribution Fund	19,512	20,409	20,256
0378	False Claims Act Fund	12,178	14,872	19,548
0460	Dealers Record of Sale Special Account	28,423	19,400	23,281
0566	Department of Justice Child Abuse Fund	366	410	401
0567	Gambling Control Fund	9,662	14,345	14,240
0569	Gambling Control Fines and Penalties Account	39	251	249
0641	Domestic Violence Restraining Order Reimbursement Fund	1,018	1,018	1,018
0890	Federal Trust Fund	30,465	43,051	42,089
0903	State Penalty Fund	-	-	3,277
0942	Special Deposit Fund	1,148	2,119	2,119
0995	Reimbursements	23,482	41,878	41,850
1008	Firearms Safety and Enforcement Special Fund	4,151	14,632	9,565
3016	Missing Persons DNA Data Base Fund	2,905	3,439	3,435
3053	Public Rights Law Enforcement Special Fund	4,968	13,142	13,064
3086	DNA Identification Fund	70,034	57,648	55,454
3087	Unfair Competition Law Fund	11,170	22,109	22,136
3088	Registry of Charitable Trusts Fund	3,599	5,619	5,131
3131	California Bingo Fund	5	48	-
3240	Secondhand Dealer and Pawnbroker Fund	516	627	622
3285	Electronic Recording Authorization Fund	226	300	300
3297	Major League Sporting Event Raffle Fund	-	335	335
3303	Ammunition Safety and Enforcement Special Fund	-	1,595	12,687
3308	Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	-	45,000

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0820 Department of Justice - Continued

FUNDING	2015-16*	2016-17*	2017-18*
9731 Legal Services Revolving Fund	207,584	216,187	216,555
9740 Central Service Cost Recovery Fund	1,481	1,595	1,700
TOTALS, EXPENDITURES, ALL FUNDS	\$738,848	\$827,653	\$894,063

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

California Constitution, Article V, Section 13.

PROGRAM AUTHORITY**0435-Legal Services:**

California Constitution, Article V, Section 13; Business and Professions Code Sections 16700, 17200, and 17500; Civil Code Section 51 et seq.; Government Code Sections 4458, 11043, 11157, 12510 et seq., 12525.5, 12580 et seq., 12600 et seq., 12650 et seq., 12657 et seq., 12989.3, and 16645-16649; Health and Safety Code Sections 19958.5, 25180, 25249.7, and 104555-104557; Penal Code Sections 320.5, 320.6, 1256, 1475, 1548.3, 13012, and 13519.4; and Revenue and Taxation Code Section 30165.1.

0440-Law Enforcement:

California Constitution, Article V, Section 13; Business and Professions Code Sections 7583.26, 19800-19807; Penal Code Sections 295, 295.1, 297, 319-337z, 830.1, 832.15, 11006-11054, 11060, 11061, 11061.5, 13511, 14160-14167, 14250, 18900, 26190, 27560, 28225-28245, 29055, 30900-30905, 31005, 31115, 32010, 32015-32025, 32655, 32715, 33305; Government Code Sections 15001.1 and 15001.2; and Health and Safety Code Sections 11100-11103, 11106-11106.7, 11450-11454, 11641, and 11646.

0445-California Justice Information Services:

California Constitution, Article V, Section 13; Government Code Sections 15150-15167 and 27390-27399; and Penal Code Sections 11102.1, 11105, and 14200-14213.

9900-Directorate and Administration:

Government Code Section 12519.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Legal Resources for Federal Actions	\$-	\$-	-	\$6,500	\$-	31.0
• CalGANG Database	-	-	-	3,281	-	11.0
• Law Enforcement Contact Process (AB 1993)	-	-	-	116	-	1.0
• DNA Identification Fund Revenue Shortfall	-	-	-	-	15,000	-
• Assault Weapons (SB 880 & AB 1135)	-	-	-	-	2,588	27.0
• Firearms: Identifying Information (AB 857)	-	-	-	-	1,368	8.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$9,897	\$18,956	78.0
Other Workload Budget Adjustments						
• Tobacco Tax Initiative (Proposition 56) Allocation	\$-	\$-	-	\$-	\$45,000	-
• Provision 1, Cal Water, LSRF Augmentation	-	5,524	24.0	-	-	-
• Provision 1, Commission on Teacher Credentialing, LSRF Augmentation	-	3,623	18.0	-	-	-
• State Penalty Fund Allocation	-	-	-	-	-1,940	-

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0820 Department of Justice - Continued

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Salary Adjustments	3,971	9,606	-	3,616	7,912	-
• Retirement Rate Adjustments	1,748	4,294	-	1,748	4,294	-
• Benefit Adjustments	887	2,151	-	1,038	2,313	-
• SWCAP	-	-	-	-	-81	-
• Pro Rata	-	-26,188	-	-	-26,188	-
• Lease Revenue Debt Service Adjustment	-76	-	-	-51	-	-
• Miscellaneous Baseline Adjustments	195	-11,408	0.8	-5,103	6,805	-627.2
Totals, Other Workload Budget Adjustments	\$6,725	-\$12,398	42.8	\$1,248	\$38,115	-627.2
Totals, Workload Budget Adjustments	\$6,725	-\$12,398	42.8	\$11,145	\$57,071	-549.2
Policy Adjustments						
• Oversight of Immigration Detention Facilities	\$-	\$-	-	\$1,000	\$-	-
Totals, Policy Adjustments	\$-	\$-	-	\$1,000	\$-	-
Totals, Budget Adjustments	\$6,725	-\$12,398	42.8	\$12,145	\$57,071	-549.2

PROGRAM DESCRIPTIONS**0435 - LEGAL SERVICES**

Legal Services is organized into three elements: (1) Civil Law, (2) Criminal Law, and (3) Public Rights.

Civil Law represents the State of California and its officers, agencies, departments, boards, commissions, and employees in civil matters. It provides advice to these clients, defends cases brought against them and prosecutes cases to vindicate state interests. Deputy Attorneys General in Civil Law are responsible for managing and litigating cases before administrative tribunals, and in both state and federal courts at the trial level and on appeal, including appeals before the United States and California Supreme Courts. Deputies work in one of eight sections: Business and Tax; Correctional Law; Employment and Administrative Mandates; Government Law; Health, Education and Welfare; Health Quality Enforcement; Licensing; or Tort and Condemnation.

Criminal Law represents the state in criminal matters before the Appellate and Supreme courts. Criminal Law also fulfills the Attorney General's responsibilities of assisting district attorneys in cases for which they are recused, conducts criminal investigations, represents the Governor, Board of Parole Hearings, and California Department of Corrections and Rehabilitation in state and federal habeas corpus cases and appeals, and other proceedings relating to parole decisions and conditions of confinement in the state prisons and defends state and federal habeas corpus matters. Additional responsibilities include enforcing the Political Reform Act, advising the Governor on extradition matters, investigating and prosecuting Medi-Cal provider fraud, investigating and prosecuting the abuse or neglect of elder and dependent adults residing in long-term health care facilities, and investigating, prosecuting, and coordinating litigation involving white-collar crime, high-tech/computer/privacy crime, financial crimes against the elderly, human trafficking, environmental crimes, and public corruption.

Public Rights protects and preserves the public interest by providing legal services to state agencies and Constitutional Officers and by bringing actions in the name of the Attorney General to protect the public. Public Rights provides specialized services in the following areas: Civil Rights Enforcement (including Underground Economy and the Bureau of Children's Justice); Charitable Trusts (including the Registry of Charitable Trusts); Natural Resources Law; Corporate Fraud (including False Claims, Energy and Corporate Responsibility); Indian and Gaming Law; Environment Law; Land Law; Consumer Law (including Sellers of Travel and Privacy Enforcement and Protection); Antitrust Law; and Tobacco Litigation Enforcement.

0440 - LAW ENFORCEMENT

The Division of Law Enforcement is organized into five elements: (1) Bureau of Firearms, (2) Bureau of Forensic Services, (3) Bureau of Gambling Control, (4) Bureau of Investigation, and (5) the Office of the Director.

The Bureau of Firearms provides oversight, enforcement, education, and regulation of California's firearms/dangerous weapon laws by conducting firearms eligibility background checks and administering over thirty different state-mandated firearms-related programs. The Bureau conducts firearms dealer and manufacturer inspections and provides training as needed. Special Agents conduct investigations on armed and prohibited persons and other investigations resulting in the seizure of weapons. Agents also conduct firearms investigations to prevent illegal gun trafficking at in-state and out-of-state gun shows in accordance with state and federal law.

The Bureau of Forensic Services (BFS) provides evaluation and analysis of physical evidence, including crime scene

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0820 Department of Justice - Continued

investigation and expert court testimony to federal, state and local law enforcement agencies, district attorneys, and courts, by operating 11 specialized laboratories that serve 46 counties as well as a forensic training facility. BFS maintains the state DNA laboratory database which compiles DNA profiles of sex and violent offenders and felony arrestees.

The Bureau of Gambling Control regulates legal gambling activities in California to ensure gambling is conducted honestly and is free from criminal and corruptive elements. This is accomplished by investigating the qualifications of individuals and business entities who apply for state gambling licenses and monitoring the conduct of these licensees to ensure compliance with the Gambling Control Act. Furthermore, the Bureau conducts criminal investigations in, on or about Tribal casinos and California cardrooms. The Bureau also regulates Tribal gaming to ensure that each Tribe is in compliance with all aspects of the negotiated gaming compact.

The Bureau of Investigation is the premiere investigative agency that is responsible for exploiting and dismantling criminal organizations, as well as assisting with the prosecution of serious criminal offenses which present a significant and multi-jurisdictional threat to California. The Bureau prioritizes investigations related to transnational criminal organizations involved in gangs and human trafficking, as well as cases involving environmental crimes, public corruption, major fraud, underground economy, and high-technology crimes. In addition, the Bureau serves a principal role in providing leadership, coordination, and support to law enforcement through multi-agency drug, gang and major crimes task forces statewide.

The Office of the Director enhances public safety by providing training, technical, and administrative support to the investigative, regulatory and forensic components of the Division of Law Enforcement and other criminal justice agencies. The Office serves as the policy-making and oversight body for its four operational bureaus.

0445 - CALIFORNIA JUSTICE INFORMATION SERVICES

The California Justice Information Services Division provides criminal justice intelligence, information, and identification services to law enforcement, regulatory agencies, and the public. Four major functional areas carry out these primary services: 1) The Bureau of Criminal Identification & Investigative Services consolidates the identification, investigative, and field services functions, providing information and technical assistance on manual and automated systems including the fingerprint identification system and the violent crime information system; 2) The Bureau of Criminal Information and Analysis consolidates the functions related to the authorization, release, and use of criminal offender record information for law enforcement investigatory and regulatory purposes; 3) The Hawkins Data Center operates the Criminal Justice Information System and the California Law Enforcement Telecommunications System; and 4) the Operations Support Program provides business resumption planning and administrative support and oversight.

9900 - DIRECTORATE AND ADMINISTRATION

The Directorate and the Administration Division of the Department of Justice consists of the Division of Administrative Support and the Attorney General's Executive Office. The executive office maintains overall direction and administration over the diverse programs and projects of the department, including the Equal Employment Rights and Resolution Office, the Office of Program Review and Audits, the Opinions Unit, the Solicitor General's Unit, the Office of Legislative Affairs, the Office of Communications and the Public Inquiry Unit. In addition, the Division of Administrative Support provides support functions essential to the department's operations, including fiscal, personnel, and specialized services such as legal secretarial support, litigation support, and legal case management services.

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
	PROGRAM REQUIREMENTS			
0435	DIVISION OF LEGAL SERVICES			
	State Operations:			
0001	General Fund	\$136,791	\$146,899	\$145,090
0012	Attorney General Antitrust Account	2,384	2,451	2,447
0158	Travel Seller Fund	717	1,390	1,377
0367	Indian Gaming Special Distribution Fund	1,883	2,087	2,090
0378	False Claims Act Fund	10,868	13,509	18,191
0567	Gambling Control Fund	368	410	411
0890	Federal Trust Fund	24,872	33,036	32,155
0995	Reimbursements	982	1,012	1,012
3053	Public Rights Law Enforcement Special Fund	4,968	6,141	6,149
3087	Unfair Competition Law Fund	11,170	22,109	22,136
3088	Registry of Charitable Trusts Fund	3,303	5,311	4,826
3297	Major League Sporting Event Raffle Fund	-	335	335

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0820 Department of Justice - Continued

		<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
3308	Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	-	7,500
9731	Legal Services Revolving Fund	197,175	210,267	210,632
9740	Central Service Cost Recovery Fund	<u>1,481</u>	<u>1,595</u>	<u>1,700</u>
	Totals, State Operations	\$396,962	\$446,552	\$456,051
	SUBPROGRAM REQUIREMENTS			
0435010	Civil Law			
	State Operations:			
0001	General Fund	\$14,055	\$17,222	\$23,686
9731	Legal Services Revolving Fund	155,314	170,158	170,444
9740	Central Service Cost Recovery Fund	<u>1,152</u>	<u>1,271</u>	<u>1,339</u>
	Totals, State Operations	\$170,521	\$188,651	\$195,469
	SUBPROGRAM REQUIREMENTS			
0435019	Criminal Law			
	State Operations:			
0001	General Fund	\$95,566	\$99,721	\$95,146
0378	False Claims Act Fund	3,321	6,007	10,704
0890	Federal Trust Fund	24,872	33,036	32,155
0995	Reimbursements	982	1,012	1,012
9731	Legal Services Revolving Fund	<u>9,496</u>	<u>10,159</u>	<u>10,178</u>
	Totals, State Operations	\$134,237	\$149,935	\$149,195
	SUBPROGRAM REQUIREMENTS			
0435028	Public Rights			
	State Operations:			
0001	General Fund	\$27,170	\$29,956	\$26,258
0012	Attorney General Antitrust Account	2,384	2,451	2,447
0158	Travel Seller Fund	717	1,390	1,377
0367	Indian Gaming Special Distribution Fund	1,883	2,087	2,090
0378	False Claims Act Fund	7,547	7,502	7,487
0567	Gambling Control Fund	368	410	411
3053	Public Rights Law Enforcement Special Fund	4,968	6,141	6,149
3087	Unfair Competition Law Fund	11,170	22,109	22,136
3088	Registry of Charitable Trusts Fund	3,303	5,311	4,826
3297	Major League Sporting Event Raffle Fund	-	335	335
3308	Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	-	7,500
9731	Legal Services Revolving Fund	32,365	29,950	30,010
9740	Central Service Cost Recovery Fund	<u>329</u>	<u>324</u>	<u>361</u>
	Totals, State Operations	\$92,204	\$107,966	\$111,387
	PROGRAM REQUIREMENTS			
0440	LAW ENFORCEMENT			
	State Operations:			
0001	General Fund	\$9,142	\$19,969	\$24,617
0012	Attorney General Antitrust Account	28	28	28
0032	Firearm Safety Account	258	332	332
0142	Department of Justice Sexual Habitual Offender Fund	837	867	867

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0820 Department of Justice - Continued

		2015-16*	2016-17*	2017-18*
0214	Restitution Fund	291	362	-
0367	Indian Gaming Special Distribution Fund	17,304	17,982	17,831
0378	False Claims Act Fund	813	842	843
0460	Dealers Record of Sale Special Account	26,732	17,474	20,235
0567	Gambling Control Fund	9,294	13,935	13,829
0569	Gambling Control Fines and Penalties Account	14	225	223
0890	Federal Trust Fund	3,968	6,291	6,232
0903	State Penalty Fund	-	-	164
0942	Special Deposit Fund	1,148	2,112	2,112
0995	Reimbursements	20,629	36,602	36,574
1008	Firearms Safety and Enforcement Special Fund	4,151	9,632	9,565
3016	Missing Persons DNA Data Base Fund	2,905	3,439	3,435
3053	Public Rights Law Enforcement Special Fund	-	7,001	6,915
3086	DNA Identification Fund	68,873	56,593	54,400
3131	California Bingo Fund	5	48	-
3303	Ammunition Safety and Enforcement Special Fund	-	456	1,523
9731	Legal Services Revolving Fund	10,409	5,920	5,923
	Totals, State Operations	\$176,801	\$200,110	\$205,648
	Local Assistance:			
0214	Restitution Fund	\$4,855	\$4,855	\$-
0460	Dealers Record of Sale Special Account	28	28	28
0903	State Penalty Fund	-	-	3,113
1008	Firearms Safety and Enforcement Special Fund	-	5,000	-
3308	Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	-	37,500
	Totals, Local Assistance	\$4,883	\$9,883	\$40,641
	SUBPROGRAM REQUIREMENTS			
0440010	Investigation			
	State Operations:			
0001	General Fund	\$4,361	\$5,182	\$5,056
0012	Attorney General Antitrust Account	28	28	28
0378	False Claims Act Fund	813	842	843
0890	Federal Trust Fund	763	2,832	2,734
0942	Special Deposit Fund	1,148	2,112	2,112
0995	Reimbursements	16,846	24,855	24,864
3053	Public Rights Law Enforcement Special Fund	-	7,001	6,915
9731	Legal Services Revolving Fund	9,981	5,598	5,602
	Totals, State Operations	\$33,940	\$48,450	\$48,154
	SUBPROGRAM REQUIREMENTS			
0440019	Office of the Director			
	State Operations:			
0001	General Fund	\$1,027	\$743	\$512
0214	Restitution Fund	291	362	-
0367	Indian Gaming Special Distribution Fund	548	441	437
0460	Dealers Record of Sale Special Account	1,006	732	722
0567	Gambling Control Fund	685	503	500
0890	Federal Trust Fund	-	710	821

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0820 Department of Justice - Continued

		<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
0903	State Penalty Fund	-	-	164
0995	Reimbursements	550	6,825	6,822
3086	DNA Identification Fund	3,109	2,428	2,416
9731	Legal Services Revolving Fund	<u>428</u>	<u>322</u>	<u>321</u>
	Totals, State Operations	\$7,644	\$13,066	\$12,715
	Local Assistance:			
0214	Restitution Fund	\$4,855	\$4,855	\$-
0903	State Penalty Fund	-	-	3,113
3308	Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	-	37,500
	Totals, Local Assistance	\$4,855	\$4,855	\$40,613
	SUBPROGRAM REQUIREMENTS			
0440028	Forensic Services			
	State Operations:			
0001	General Fund	\$3,754	\$14,044	\$19,049
0142	Department of Justice Sexual Habitual Offender Fund	837	867	867
0890	Federal Trust Fund	3,205	2,749	2,677
0995	Reimbursements	3,194	4,478	4,444
3016	Missing Persons DNA Data Base Fund	2,905	3,439	3,435
3086	DNA Identification Fund	<u>65,764</u>	<u>54,165</u>	<u>51,984</u>
	Totals, State Operations	\$79,659	\$79,742	\$82,456
	SUBPROGRAM REQUIREMENTS			
0440037	Gambling			
	State Operations:			
0367	Indian Gaming Special Distribution Fund	\$16,756	\$17,541	\$17,394
0567	Gambling Control Fund	8,609	13,432	13,329
0569	Gambling Control Fines and Penalties Account	14	225	223
0995	Reimbursements	39	100	100
3131	California Bingo Fund	<u>5</u>	<u>48</u>	<u>-</u>
	Totals, State Operations	\$25,423	\$31,346	\$31,046
	SUBPROGRAM REQUIREMENTS			
0440046	Firearms			
	State Operations:			
0032	Firearm Safety Account	\$258	\$332	\$332
0460	Dealers Record of Sale Special Account	25,726	16,742	19,513
0995	Reimbursements	-	344	344
1008	Firearms Safety and Enforcement Special Fund	4,151	9,632	9,565
3303	Ammunition Safety and Enforcement Special Fund	<u>-</u>	<u>456</u>	<u>1,523</u>
	Totals, State Operations	\$30,135	\$27,506	\$31,277
	Local Assistance:			
0460	Dealers Record of Sale Special Account	\$28	\$28	\$28
1008	Firearms Safety and Enforcement Special Fund	<u>-</u>	<u>5,000</u>	<u>-</u>
	Totals, Local Assistance	\$28	\$5,028	\$28
	PROGRAM REQUIREMENTS			
0445	CALIFORNIA JUSTICE INFORMATION SERVICES			
	State Operations:			
0001	General Fund	\$52,206	\$56,253	\$56,874

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0820 Department of Justice - Continued

		2015-16*	2016-17*	2017-18*
0012	Attorney General Antitrust Account	14	14	14
0017	Fingerprint Fees Account	70,784	71,144	80,357
0044	Motor Vehicle Account, State Transportation Fund	26,304	26,234	25,939
0142	Department of Justice Sexual Habitual Offender Fund	1,213	1,638	1,621
0158	Travel Seller Fund	13	13	13
0256	Sexual Predator Public Information Account	79	175	175
0367	Indian Gaming Special Distribution Fund	325	340	335
0378	False Claims Act Fund	497	521	514
0460	Dealers Record of Sale Special Account	1,663	1,898	3,018
0566	Department of Justice Child Abuse Fund	366	410	401
0569	Gambling Control Fines and Penalties Account	25	26	26
0890	Federal Trust Fund	1,625	3,724	3,702
0942	Special Deposit Fund	-	7	7
0995	Reimbursements	1,871	4,264	4,264
3086	DNA Identification Fund	1,161	1,055	1,054
3088	Registry of Charitable Trusts Fund	296	308	305
3240	Secondhand Dealer and Pawnbroker Fund	516	627	622
3285	Electronic Recording Authorization Fund	226	300	300
3303	Ammunition Safety and Enforcement Special Fund	-	1,139	11,164
	Totals, State Operations	\$159,184	\$170,090	\$190,705
	Local Assistance:			
0641	Domestic Violence Restraining Order Reimbursement Fund	\$1,018	\$1,018	\$1,018
	Totals, Local Assistance	\$1,018	\$1,018	\$1,018
	SUBPROGRAM REQUIREMENTS			
0445010	O. J. Hawkins Data Center			
	State Operations:			
0001	General Fund	\$14,252	\$16,119	\$19,219
0012	Attorney General Antitrust Account	14	14	14
0017	Fingerprint Fees Account	12,776	14,619	14,453
0044	Motor Vehicle Account, State Transportation Fund	22,954	21,840	21,592
0158	Travel Seller Fund	13	13	13
0367	Indian Gaming Special Distribution Fund	325	340	335
0378	False Claims Act Fund	497	521	514
0460	Dealers Record of Sale Special Account	1,237	1,281	2,201
0569	Gambling Control Fines and Penalties Account	25	26	26
0995	Reimbursements	788	590	590
3086	DNA Identification Fund	574	-	-
3088	Registry of Charitable Trusts Fund	296	308	305
3303	Ammunition Safety and Enforcement Special Fund	-	1,139	11,164
	Totals, State Operations	\$53,751	\$56,810	\$70,426
	SUBPROGRAM REQUIREMENTS			
0445019	Criminal Information and Analysis			
	State Operations:			
0001	General Fund	\$15,532	\$15,597	\$15,292
0017	Fingerprint Fees Account	40,304	40,502	45,015
0142	Department of Justice Sexual Habitual Offender Fund	322	479	466
0460	Dealers Record of Sale Special Account	176	215	206

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0820 Department of Justice - Continued

		<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
0566	Department of Justice Child Abuse Fund	357	402	393
3285	Electronic Recording Authorization Fund	226	300	300
	Totals, State Operations	\$56,917	\$57,495	\$61,672
	SUBPROGRAM REQUIREMENTS			
0445028	Criminal Identification and Investigation Services			
	State Operations:			
0001	General Fund	\$14,407	\$10,096	\$10,014
0017	Fingerprint Fees Account	10,113	9,647	14,565
0044	Motor Vehicle Account, State Transportation Fund	1,293	1,789	1,763
0142	Department of Justice Sexual Habitual Offender Fund	870	1,141	1,137
0256	Sexual Predator Public Information Account	55	155	155
0460	Dealers Record of Sale Special Account	248	400	609
3086	DNA Identification Fund	573	1,043	1,042
	Totals, State Operations	\$27,559	\$24,271	\$29,285
	Local Assistance:			
0641	Domestic Violence Restraining Order Reimbursement Fund	\$1,018	\$1,018	\$1,018
	Totals, Local Assistance	\$1,018	\$1,018	\$1,018
	SUBPROGRAM REQUIREMENTS			
0445037	Criminal Justice Operational Support Program			
	State Operations:			
0001	General Fund	\$8,015	\$14,441	\$12,349
0017	Fingerprint Fees Account	7,591	6,376	6,324
0044	Motor Vehicle Account, State Transportation Fund	2,057	2,605	2,584
0142	Department of Justice Sexual Habitual Offender Fund	21	18	18
0256	Sexual Predator Public Information Account	24	20	20
0460	Dealers Record of Sale Special Account	2	2	2
0566	Department of Justice Child Abuse Fund	9	8	8
0890	Federal Trust Fund	1,625	3,724	3,702
0942	Special Deposit Fund	-	7	7
0995	Reimbursements	1,083	3,674	3,674
3086	DNA Identification Fund	14	12	12
3240	Secondhand Dealer and Pawnbroker Fund	516	627	622
	Totals, State Operations	\$20,957	\$31,514	\$29,322
	SUBPROGRAM REQUIREMENTS			
9900100	Administration			
	State Operations:			
0001	General Fund	\$101,398	\$111,553	\$112,665
	Totals, State Operations	\$101,398	\$111,553	\$112,665
	SUBPROGRAM REQUIREMENTS			
9900200	Administration - Distributed			
	State Operations:			
0001	General Fund	-\$101,398	-\$111,553	-\$112,665
	Totals, State Operations	-\$101,398	-\$111,553	-\$112,665
	TOTALS, EXPENDITURES			
	State Operations	732,947	816,752	852,404
	Local Assistance	5,901	10,901	41,659
	Totals, Expenditures	\$738,848	\$827,653	\$894,063

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0820 Department of Justice - Continued**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	4,192.2	4,287.7	4,953.7	\$390,851	\$403,114	\$402,908
Total Adjustments	114.3	42.8	-549.2	-29,568	8,690	23,882
Net Totals, Salaries and Wages	4,306.5	4,330.5	4,404.5	\$361,283	\$411,804	\$426,790
Staff Benefits	-	-	-	160,487	185,896	193,596
Totals, Personal Services	4,306.5	4,330.5	4,404.5	\$521,770	\$597,700	\$620,386
OPERATING EXPENSES AND EQUIPMENT				\$211,177	\$219,052	\$232,018
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$732,947	\$816,752	\$852,404

2 Local Assistance	Expenditures		
	2015-16*	2016-17*	2017-18*
Grants and Subventions - Governmental	5,901	10,901	41,659
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$5,901	\$10,901	\$41,659

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$231,868	-	\$221,037
001 Budget Act appropriation as amended by Chapter 318, Statutes of 2016	-	210,801	-
Allocation for Employee Compensation	2,857	3,971	-
Allocation for Staff Benefits	1,419	887	-
Map Reimbursable Activities to New Item	-36,829	-	-
Section 3.60 Pension Contribution Adjustment	908	1,748	-
Transfer Pursuant to the Political Reform Act of 1974	195	195	-
003 Budget Act appropriation (rental payments on lease-revenue bonds)	4,055	4,095	4,044
Lease Revenue Debt Service Adjustment	-300	-76	-
Map Reimbursable Activities to New Item	-1	-	-
011 Budget Act appropriation (loan to Major League Sporting Event Raffle Fund)	(-)	(335)	(335)
015 Budget Act appropriation (transfer to Legal Services Revolving Fund)	1,500	1,500	1,500
Totals Available	\$205,672	\$223,121	\$226,581
Unexpended balance, estimated savings	-7,533	-	-
TOTALS, EXPENDITURES	\$198,139	\$223,121	\$226,581
0012 Attorney General Antitrust Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,475	\$2,545	\$2,489
Allocation for Employee Compensation	30	45	-
Allocation for Staff Benefits	15	9	-
Pro Rata Assessments Removal	-	-126	-
Section 3.60 Pension Contribution Adjustment	10	20	-

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Totals Available	\$2,530	\$2,493	\$2,489
Unexpended balance, estimated savings	-104	-	-
TOTALS, EXPENDITURES	\$2,426	\$2,493	\$2,489
0017 Fingerprint Fees Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$71,428	\$73,172	\$80,357
Allocation for Employee Compensation	636	1,006	-
Allocation for Staff Benefits	373	124	-
Pro Rata Assessments Removal	-	-3,549	-
Section 3.60 Pension Contribution Adjustment	196	391	-
Totals Available	\$72,633	\$71,144	\$80,357
Unexpended balance, estimated savings	-1,849	-	-
TOTALS, EXPENDITURES	\$70,784	\$71,144	\$80,357
0032 Firearm Safety Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$339	\$345	\$332
Allocation for Employee Compensation	3	-	-
Allocation for Staff Benefits	1	-	-
Pro Rata Assessments Removal	-	-16	-
Section 3.60 Pension Contribution Adjustment	1	3	-
Totals Available	\$344	\$332	\$332
Unexpended balance, estimated savings	-86	-	-
TOTALS, EXPENDITURES	\$258	\$332	\$332
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$26,385	\$26,983	\$25,939
Allocation for Employee Compensation	101	352	-
Allocation for Staff Benefits	162	42	-
Pro Rata Assessments Removal	-	-1,340	-
Section 3.60 Pension Contribution Adjustment	106	197	-
Totals Available	\$26,754	\$26,234	\$25,939
Unexpended balance, estimated savings	-450	-	-
TOTALS, EXPENDITURES	\$26,304	\$26,234	\$25,939
0142 Department of Justice Sexual Habitual Offender Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,420	\$2,562	\$2,488
Allocation for Employee Compensation	102	46	-
Allocation for Staff Benefits	21	7	-
Pro Rata Assessments Removal	-	-123	-
Section 3.60 Pension Contribution Adjustment	3	13	-
Totals Available	\$2,546	\$2,505	\$2,488
Unexpended balance, estimated savings	-496	-	-
TOTALS, EXPENDITURES	\$2,050	\$2,505	\$2,488
0158 Travel Seller Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,438	\$1,452	\$1,390
Allocation for Employee Compensation	-	14	-
Allocation for Staff Benefits	2	2	-

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Pro Rata Assessments Removal	-	-71	-
Section 3.60 Pension Contribution Adjustment	2	6	-
Totals Available	\$1,442	\$1,403	\$1,390
Unexpended balance, estimated savings	-712	-	-
TOTALS, EXPENDITURES	\$730	\$1,403	\$1,390
0214 Restitution Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$374	\$378	-
Pro Rata Assessments Removal	-	-19	-
Section 3.60 Pension Contribution Adjustment	1	3	-
Totals Available	\$375	\$362	\$-
Unexpended balance, estimated savings	-84	-	-
TOTALS, EXPENDITURES	\$291	\$362	\$-
0256 Sexual Predator Public Information Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$182	\$183	\$175
Allocation for Employee Compensation	-	1	-
Pro Rata Assessments Removal	-	-9	-
Totals Available	\$182	\$175	\$175
Unexpended balance, estimated savings	-103	-	-
TOTALS, EXPENDITURES	\$79	\$175	\$175
0367 Indian Gaming Special Distribution Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$20,370	\$20,938	\$20,256
Allocation for Employee Compensation	172	371	-
Allocation for Staff Benefits	150	92	-
Pro Rata Assessments Removal	-	-1,201	-
Section 3.60 Pension Contribution Adjustment	128	209	-
Totals Available	\$20,820	\$20,409	\$20,256
Unexpended balance, estimated savings	-1,308	-	-
TOTALS, EXPENDITURES	\$19,512	\$20,409	\$20,256
0378 False Claims Act Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$12,701	\$15,055	\$19,548
Allocation for Employee Compensation	163	271	-
Allocation for Staff Benefits	81	66	-
Pro Rata Assessments Removal	-	-655	-
Section 3.60 Pension Contribution Adjustment	68	135	-
Totals Available	\$13,013	\$14,872	\$19,548
Unexpended balance, estimated savings	-835	-	-
TOTALS, EXPENDITURES	\$12,178	\$14,872	\$19,548
0460 Dealers Record of Sale Special Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$21,533	\$20,931	\$23,253
Allocation for Employee Compensation	74	77	-
Allocation for Staff Benefits	77	90	-
Budget Revision 005 per Provision 2, Budget Act of 2015	1,970	-	-
Map Reimbursable Activities to New Item	-344	-	-

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Pro Rata Assessments Removal	-	-1,768	-
Section 3.60 Pension Contribution Adjustment	155	42	-
Prior Year Balances Available:			
Chapter 2, Statutes of 2013	8,000	-	-
Totals Available	\$31,465	\$19,372	\$23,253
Unexpended balance, estimated savings	-3,070	-	-
TOTALS, EXPENDITURES	\$28,395	\$19,372	\$23,253
0566 Department of Justice Child Abuse Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$398	\$414	\$401
Allocation for Employee Compensation	7	11	-
Allocation for Staff Benefits	4	2	-
Pro Rata Assessments Removal	-	-20	-
Section 3.60 Pension Contribution Adjustment	1	3	-
Totals Available	\$410	\$410	\$401
Unexpended balance, estimated savings	-44	-	-
TOTALS, EXPENDITURES	\$366	\$410	\$401
0567 Gambling Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$11,272	\$14,499	\$14,240
Allocation for Employee Compensation	250	208	-
Allocation for Staff Benefits	75	46	-
Expenditure Redistribution	-	-3,000	-
Map Reimbursable Activities to New Item	-100	-	-
Pro Rata Assessments Removal	-	-542	-
Section 3.60 Pension Contribution Adjustment	62	134	-
Expenditure Redistribution	-	3,000	-
Totals Available	\$11,559	\$14,345	\$14,240
Unexpended balance, estimated savings	-1,897	-	-
TOTALS, EXPENDITURES	\$9,662	\$14,345	\$14,240
0569 Gambling Control Fines and Penalties Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$47	\$247	\$249
Allocation for Employee Compensation	-	4	-
Pro Rata Assessments Removal	-	-2	-
Section 3.60 Pension Contribution Adjustment	-	2	-
Totals Available	\$47	\$251	\$249
Unexpended balance, estimated savings	-8	-	-
TOTALS, EXPENDITURES	\$39	\$251	\$249
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$34,470	\$41,575	\$42,089
Allocation for Employee Compensation	373	818	-
Allocation for Staff Benefits	190	168	-
Section 3.60 Pension Contribution Adjustment	173	490	-
Totals Available	\$35,206	\$43,051	\$42,089
Unexpended balance, estimated savings	-4,741	-	-
TOTALS, EXPENDITURES	\$30,465	\$43,051	\$42,089

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0903 State Penalty Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$164
TOTALS, EXPENDITURES	\$-	\$-	\$164
0942 Special Deposit Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,551	\$1,551	\$1,551
011 Budget Act appropriation (State Asset Forfeiture Account)	568	568	568
Government Code section 27397(e)	650	-	-
Miscellaneous Baseline Adjustments	-650	-	-
Totals Available	\$2,119	\$2,119	\$2,119
Unexpended balance, estimated savings	-971	-	-
TOTALS, EXPENDITURES	\$1,148	\$2,119	\$2,119
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$23,482	\$41,878	\$41,850
TOTALS, EXPENDITURES	\$23,482	\$41,878	\$41,850
1008 Firearms Safety and Enforcement Special Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,535	\$9,068	\$9,565
Allocation for Employee Compensation	481	450	-
Allocation for Staff Benefits	139	55	-
Pro Rata Assessments Removal	-	-175	-
Section 3.60 Pension Contribution Adjustment	147	234	-
011 Budget Act appropriation (loan from the Firearms Safety and Enforcement Special Fund to the Dealers Record of Sale Special Account)	-	-	(1,368)
012 Budget Act appropriation (loan from the Firearms Safety and Enforcement Special Fund to the Dealers Record of Sale Special Account)	-	-	(2,588)
Totals Available	\$4,302	\$9,632	\$9,565
Unexpended balance, estimated savings	-151	-	-
TOTALS, EXPENDITURES	\$4,151	\$9,632	\$9,565
3016 Missing Persons DNA Data Base Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,475	\$3,552	\$3,435
Allocation for Employee Compensation	39	41	-
Allocation for Staff Benefits	15	9	-
Pro Rata Assessments Removal	-	-173	-
Section 3.60 Pension Contribution Adjustment	1	10	-
Totals Available	\$3,530	\$3,439	\$3,435
Unexpended balance, estimated savings	-625	-	-
TOTALS, EXPENDITURES	\$2,905	\$3,439	\$3,435
3053 Public Rights Law Enforcement Special Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$6,075	\$11,998	\$13,064
Allocation for Employee Compensation	68	1,118	-
Allocation for Staff Benefits	32	70	-
Pro Rata Assessments Removal	-	-308	-
Section 3.60 Pension Contribution Adjustment	23	264	-
Totals Available	\$6,198	\$13,142	\$13,064

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Unexpended balance, estimated savings	-1,230	-	-
TOTALS, EXPENDITURES	\$4,968	\$13,142	\$13,064
3086 DNA Identification Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$82,229	\$74,000	\$55,454
Allocation for Employee Compensation	983	134	-
Allocation for Staff Benefits	400	200	-
DNA Budget Adjustment	-7,500	-12,919	-
Map Reimbursable Activities to New Item	-3,903	-	-
Pro Rata Assessments Removal	-	-3,795	-
Section 3.60 Pension Contribution Adjustment	56	28	-
Totals Available	\$72,265	\$57,648	\$55,454
Unexpended balance, estimated savings	-2,231	-	-
TOTALS, EXPENDITURES	\$70,034	\$57,648	\$55,454
3087 Unfair Competition Law Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$11,097	\$22,181	\$22,136
Allocation for Employee Compensation	156	292	-
Allocation for Staff Benefits	75	69	-
Pro Rata Assessments Removal	-	-560	-
Section 3.60 Pension Contribution Adjustment	55	127	-
Totals Available	\$11,383	\$22,109	\$22,136
Unexpended balance, estimated savings	-213	-	-
TOTALS, EXPENDITURES	\$11,170	\$22,109	\$22,136
3088 Registry of Charitable Trusts Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,564	\$5,646	\$5,131
Allocation for Employee Compensation	60	108	-
Allocation for Staff Benefits	31	11	-
Pro Rata Assessments Removal	-	-185	-
Section 3.60 Pension Contribution Adjustment	20	39	-
Totals Available	\$5,675	\$5,619	\$5,131
Unexpended balance, estimated savings	-2,076	-	-
TOTALS, EXPENDITURES	\$3,599	\$5,619	\$5,131
3131 California Bingo Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$53	\$53	-
Pro Rata Assessments Removal	-	-5	-
Totals Available	\$53	\$48	\$-
Unexpended balance, estimated savings	-48	-	-
TOTALS, EXPENDITURES	\$5	\$48	\$-
3240 Secondhand Dealer and Pawnbroker Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$620	\$568	\$622
Allocation for Employee Compensation	6	7	-
Allocation for Staff Benefits	1	-	-
Pro Rata Assessments Removal	-	-50	-
Section 3.60 Pension Contribution Adjustment	1	102	-

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Totals Available	\$628	\$627	\$622
Unexpended balance, estimated savings	-112	-	-
TOTALS, EXPENDITURES	\$516	\$627	\$622
3285 Electronic Recording Authorization Fund			
APPROPRIATIONS			
Government Code section 27397	-	\$300	\$300
Miscellaneous Baseline Adjustment	-350	-	-
Miscellaneous Baseline Adjustments	650	-	-
Totals Available	\$300	\$300	\$300
Unexpended balance, estimated savings	-74	-	-
TOTALS, EXPENDITURES	\$226	\$300	\$300
3297 Major League Sporting Event Raffle Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$335
001 Budget Act appropriation as amended by Chapter 318, Statutes of 2016	-	335	-
TOTALS, EXPENDITURES	\$-	\$335	\$335
3303 Ammunition Safety and Enforcement Special Fund			
APPROPRIATIONS			
Penal Code section 30370 (f)	-	-	\$12,687
Proposition 63 Expenditures	-	1,595	-
TOTALS, EXPENDITURES	\$-	\$1,595	\$12,687
3308 Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$7,500
TOTALS, EXPENDITURES	\$-	\$-	\$7,500
9731 Legal Services Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$221,471	\$213,115	\$218,055
Allocation for Employee Compensation	2,789	4,155	-
Allocation for Staff Benefits	1,329	993	-
Lease Revenue Debt Service Adjustment	-141	-	-
Pro Rata Assessments Removal	-	-11,496	-
Provision 1, Cal Water, LSRF Augmentation	-	5,524	-
Provision 1, Commission on Teacher Credentialing, LSRF Augmentation	-	3,623	-
Provision 1, LSRF Augmentation	950	-	-
Section 3.60 Pension Contribution Adjustment	1,035	1,773	-
Totals Available	\$227,433	\$217,687	\$218,055
Unexpended balance, estimated savings	-18,349	-	-
TOTALS, EXPENDITURES	\$209,084	\$217,687	\$218,055
Less funding provided by General Fund	-1,500	-1,500	-1,500
NET TOTALS, EXPENDITURES	\$207,584	\$216,187	\$216,555
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,590	\$1,631	\$1,700
Allocation for Employee Compensation	19	30	-
Allocation for Staff Benefits	8	6	-
CalATERS Funding Removal	-	-84	-

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Section 3.60 Pension Contribution Adjustment	<u>7</u>	<u>12</u>	<u>-</u>
Totals Available	\$1,624	\$1,595	\$1,700
Unexpended balance, estimated savings	<u>-143</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,481	\$1,595	\$1,700
Total Expenditures, All Funds, (State Operations)	\$732,947	\$816,752	\$852,404
 2 LOCAL ASSISTANCE	 2015-16*	 2016-17*	 2017-18*
0214 Restitution Fund			
APPROPRIATIONS			
101 Budget Act appropriation	<u>\$4,855</u>	<u>\$4,855</u>	<u>-</u>
TOTALS, EXPENDITURES	\$4,855	\$4,855	\$-
0460 Dealers Record of Sale Special Account			
APPROPRIATIONS			
101 Budget Act appropriation	<u>\$28</u>	<u>\$28</u>	<u>\$28</u>
TOTALS, EXPENDITURES	\$28	\$28	\$28
0641 Domestic Violence Restraining Order Reimbursement Fund			
APPROPRIATIONS			
101 Budget Act appropriation	<u>\$1,018</u>	<u>\$1,018</u>	<u>\$1,018</u>
TOTALS, EXPENDITURES	\$1,018	\$1,018	\$1,018
0903 State Penalty Fund			
APPROPRIATIONS			
101 Budget Act appropriation	<u>-</u>	<u>-</u>	<u>\$3,113</u>
TOTALS, EXPENDITURES	\$-	\$-	\$3,113
1008 Firearms Safety and Enforcement Special Fund			
APPROPRIATIONS			
101 Budget Act appropriation	<u>-</u>	<u>\$5,000</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$5,000	\$-
3308 Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund			
APPROPRIATIONS			
101 Budget Act appropriation	<u>-</u>	<u>-</u>	<u>\$37,500</u>
TOTALS, EXPENDITURES	\$-	\$-	\$37,500
Total Expenditures, All Funds, (Local Assistance)	\$5,901	\$10,901	\$41,659
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$738,848	\$827,653	\$894,063

FUND CONDITION STATEMENTS

	2015-16*	2016-17*	2017-18*
0012 Attorney General Antitrust Account ^s			
BEGINNING BALANCE	\$695	\$633	\$412
Prior Year Adjustments	<u>164</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$859	\$633	\$412
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	1	1	1
4173400 Settlements and Judgments - Anti-Trust Actions (Attorney General)	<u>2,203</u>	<u>2,400</u>	<u>2,400</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$2,204</u>	<u>\$2,401</u>	<u>\$2,401</u>
Total Resources	\$3,063	\$3,034	\$2,813
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			

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0820 Department of Justice - Continued

	2015-16*	2016-17*	2017-18*
0820 Department of Justice (State Operations)	2,426	2,493	2,489
8880 Financial Information System for California (State Operations)	4	3	4
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	126	132
Total Expenditures and Expenditure Adjustments	<u>\$2,430</u>	<u>\$2,622</u>	<u>\$2,625</u>
FUND BALANCE	\$633	\$412	\$188
Reserve for economic uncertainties	633	412	188
0017 Fingerprint Fees Account ^s			
BEGINNING BALANCE	\$37,158	\$48,648	\$57,734
Prior Year Adjustments	<u>-669</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$36,489	\$48,648	\$57,734
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4127400 Renewal Fees	484	484	484
4129400 Other Regulatory Licenses and Permits	49	49	49
4132000 Fingerprint Identification Card Fees	82,495	83,317	83,317
4163000 Investment Income - Surplus Money Investments	<u>43</u>	<u>19</u>	<u>19</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$83,071</u>	<u>\$83,869</u>	<u>\$83,869</u>
Total Resources	\$119,560	\$132,517	\$141,603
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	70,784	71,144	80,357
8880 Financial Information System for California (State Operations)	128	90	213
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	3,549	8,636
Total Expenditures and Expenditure Adjustments	<u>\$70,912</u>	<u>\$74,783</u>	<u>\$89,206</u>
FUND BALANCE	\$48,648	\$57,734	\$52,397
Reserve for economic uncertainties	48,648	57,734	52,397
0032 Firearm Safety Account ^s			
BEGINNING BALANCE	\$3,521	\$4,029	\$4,585
Prior Year Adjustments	<u>1</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$3,522	\$4,029	\$4,585
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	762	900	900
4163000 Investment Income - Surplus Money Investments	<u>4</u>	<u>4</u>	<u>4</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$766</u>	<u>\$904</u>	<u>\$904</u>
Total Resources	\$4,288	\$4,933	\$5,489
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	258	332	332
8880 Financial Information System for California (State Operations)	1	-	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	16	18
Total Expenditures and Expenditure Adjustments	<u>\$259</u>	<u>\$348</u>	<u>\$350</u>
FUND BALANCE	\$4,029	\$4,585	\$5,139
Reserve for economic uncertainties	4,029	4,585	5,139

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0820 Department of Justice - Continued

	2015-16*	2016-17*	2017-18*
0142 Department of Justice Sexual Habitual Offender Fund^s			
BEGINNING BALANCE	\$2,033	\$2,352	\$2,038
Prior Year Adjustments	<u>6</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$2,039	\$2,352	\$2,038
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	2,347	2,300	2,300
4163000 Investment Income - Surplus Money Investments	3	1	1
4172500 Miscellaneous Revenue	<u>17</u>	<u>16</u>	<u>16</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$2,367</u>	<u>\$2,317</u>	<u>\$2,317</u>
Total Resources	\$4,406	\$4,669	\$4,355
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	2,050	2,505	2,488
8880 Financial Information System for California (State Operations)	4	3	4
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	<u>-</u>	<u>123</u>	<u>136</u>
Total Expenditures and Expenditure Adjustments	<u>\$2,054</u>	<u>\$2,631</u>	<u>\$2,628</u>
FUND BALANCE	\$2,352	\$2,038	\$1,727
Reserve for economic uncertainties	2,352	2,038	1,727
0158 Travel Seller Fund^s			
BEGINNING BALANCE	\$1,967	\$1,983	\$1,217
Prior Year Adjustments	<u>13</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,980	\$1,983	\$1,217
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	727	700	700
4163000 Investment Income - Surplus Money Investments	<u>9</u>	<u>9</u>	<u>9</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$736</u>	<u>\$709</u>	<u>\$709</u>
Total Resources	\$2,716	\$2,692	\$1,926
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	730	1,403	1,390
8880 Financial Information System for California (State Operations)	3	1	2
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	<u>-</u>	<u>71</u>	<u>73</u>
Total Expenditures and Expenditure Adjustments	<u>\$733</u>	<u>\$1,475</u>	<u>\$1,465</u>
FUND BALANCE	\$1,983	\$1,217	\$461
Reserve for economic uncertainties	1,983	1,217	461
0256 Sexual Predator Public Information Account^s			
BEGINNING BALANCE	\$287	\$332	\$249
Prior Year Adjustments	<u>2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$289	\$332	\$249
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	121	100	100
4163000 Investment Income - Surplus Money Investments	<u>1</u>	<u>1</u>	<u>1</u>
Total Revenues, Transfers, and Other Adjustments	\$122	\$101	\$101

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0820 Department of Justice - Continued

	2015-16*	2016-17*	2017-18*
Total Resources	\$411	\$433	\$350
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	79	175	175
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	9	10
Total Expenditures and Expenditure Adjustments	<u>\$79</u>	<u>\$184</u>	<u>\$185</u>
FUND BALANCE	\$332	\$249	\$165
Reserve for economic uncertainties	332	249	165

0288 The Registry of International Student Exchange Visitor Placement**Organizations Fund ^s**

BEGINNING BALANCE	\$99	\$108	\$119
Prior Year Adjustments	<u>-2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$97	\$108	\$119
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	<u>11</u>	<u>11</u>	<u>11</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$11</u>	<u>\$11</u>	<u>\$11</u>
Total Resources	<u>\$108</u>	<u>\$119</u>	<u>\$130</u>
FUND BALANCE	\$108	\$119	\$130
Reserve for economic uncertainties	108	119	130

0378 False Claims Act Fund ^s

BEGINNING BALANCE	\$902	\$1,112	\$3,081
Prior Year Adjustments	<u>334</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,236	\$1,112	\$3,081
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	9	11	11
4170700 Civil and Criminal Violation Assessment	12,067	17,500	15,000
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the False Claims Act Fund (0378) per Item 0820-012-0378, Budget Act of 2010	<u>-</u>	<u>-</u>	<u>12,700</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$12,076</u>	<u>\$17,511</u>	<u>\$27,711</u>
Total Resources	\$13,312	\$18,623	\$30,792
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	12,178	14,872	19,548
8880 Financial Information System for California (State Operations)	22	15	19
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	655	691
Total Expenditures and Expenditure Adjustments	<u>\$12,200</u>	<u>\$15,542</u>	<u>\$20,258</u>
FUND BALANCE	\$1,112	\$3,081	\$10,534
Reserve for economic uncertainties	1,112	3,081	10,534

0460 Dealers Record of Sale Special Account ^s

BEGINNING BALANCE	\$2,566	\$266	\$1,141
Prior Year Adjustments	<u>-488</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$2,078	\$266	\$1,141
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			

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0820 Department of Justice - Continued

	2015-16*	2016-17*	2017-18*
Revenues:			
4129400 Other Regulatory Licenses and Permits	3,311	3,311	3,311
4143500 Miscellaneous Services to the Public	16,748	18,728	18,728
4150500 Interest Income - Interfund Loans	104	-	-
4163000 Investment Income - Surplus Money Investments	4	4	4
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Dealers' Record of Sale Special Account (0460) per Item 0820-011-0460, Budget Act of 2011	6,500	-	-
Loan from the Firearms Safety and Enforcement Fund (1008) to the Dealers' Record of Sale Special Account (0460) per Item 0820-012-1008	-	-	2,588
Loan from the Firearms Safety and Enforcement Fund (1008) to the Dealers' Record of Sale Special Account (0460), per Item 0820-011-1008	-	-	1,368
Total Revenues, Transfers, and Other Adjustments	<u>\$26,667</u>	<u>\$22,043</u>	<u>\$25,999</u>
Total Resources	\$28,745	\$22,309	\$27,140
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	28,395	19,372	23,253
0820 Department of Justice (Local Assistance)	28	28	28
8880 Financial Information System for California (State Operations)	56	-	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	1,768	-
Total Expenditures and Expenditure Adjustments	<u>\$28,479</u>	<u>\$21,168</u>	<u>\$23,281</u>
FUND BALANCE	\$266	\$1,141	\$3,859
Reserve for economic uncertainties	266	1,141	3,859
0566 Department of Justice Child Abuse Fund^s			
BEGINNING BALANCE	\$1,744	\$1,656	\$1,477
Prior Year Adjustments	<u>-2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,742	\$1,656	\$1,477
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	279	250	250
4163000 Investment Income - Surplus Money Investments	<u>2</u>	<u>1</u>	<u>1</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$281</u>	<u>\$251</u>	<u>\$251</u>
Total Resources	\$2,023	\$1,907	\$1,728
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	366	410	401
8880 Financial Information System for California (State Operations)	1	-	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	20	22
Total Expenditures and Expenditure Adjustments	<u>\$367</u>	<u>\$430</u>	<u>\$423</u>
FUND BALANCE	\$1,656	\$1,477	\$1,305
Reserve for economic uncertainties	1,656	1,477	1,305
0567 Gambling Control Fund^s			
BEGINNING BALANCE	\$39,040	\$47,905	\$50,903
Prior Year Adjustments	<u>283</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$39,323	\$47,905	\$50,903
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			

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0820 Department of Justice - Continued

	2015-16*	2016-17*	2017-18*
4127400 Renewal Fees	1,166	1,166	1,166
4129200 Other Regulatory Fees	17,342	17,342	17,342
4129400 Other Regulatory Licenses and Permits	2,075	2,075	2,075
4143500 Miscellaneous Services to the Public	1,247	1,247	1,247
4163000 Investment Income - Surplus Money Investments	43	3	3
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	4	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$21,877</u>	<u>\$21,833</u>	<u>\$21,833</u>
Total Resources	\$61,200	\$69,738	\$72,736
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	9,662	14,345	14,240
0855 California Gambling Control Commission (State Operations)	3,610	3,813	4,113
8880 Financial Information System for California (State Operations)	23	19	23
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	658	923
Total Expenditures and Expenditure Adjustments	<u>\$13,295</u>	<u>\$18,835</u>	<u>\$19,299</u>
FUND BALANCE	\$47,905	\$50,903	\$53,437
Reserve for economic uncertainties	47,905	50,903	53,437
0569 Gambling Control Fines and Penalties Account ^s			
BEGINNING BALANCE	\$4,578	\$4,583	\$6,161
Prior Year Adjustments	<u>-35</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$4,543	\$4,583	\$6,161
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	5	-	-
4173000 Penalty Assessments - Other	<u>74</u>	<u>1,831</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$79</u>	<u>\$1,831</u>	<u>-</u>
Total Resources	\$4,622	\$6,414	\$6,161
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	39	251	249
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	2	3
Total Expenditures and Expenditure Adjustments	<u>\$39</u>	<u>\$253</u>	<u>\$252</u>
FUND BALANCE	\$4,583	\$6,161	\$5,909
Reserve for economic uncertainties	4,583	6,161	5,909
1008 Firearms Safety and Enforcement Special Fund ^s			
BEGINNING BALANCE	\$15,867	\$20,871	\$15,868
Prior Year Adjustments	<u>-58</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$15,809	\$20,871	\$15,868
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	9,140	9,829	9,829
4163000 Investment Income - Surplus Money Investments	78	78	78
Transfers and Other Adjustments			
Loan from the Firearms Safety and Enforcement Fund (1008) to the Dealers' Record of Sale Special Account (0460) per Item 0820-012-1008	-	-	-2,588

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0820 Department of Justice - Continued

	2015-16*	2016-17*	2017-18*
Loan from the Firearms Safety and Enforcement Fund (1008) to the Dealers'	-	-	-1,368
Record of Sale Special Account (0460), per Item 0820-011-1008			
Total Revenues, Transfers, and Other Adjustments	<u>\$9,218</u>	<u>\$9,907</u>	<u>\$5,951</u>
Total Resources	\$25,027	\$30,778	\$21,819
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	4,151	9,632	9,565
0820 Department of Justice (Local Assistance)	-	5,000	-
8880 Financial Information System for California (State Operations)	5	103	12
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	175	258
Total Expenditures and Expenditure Adjustments	<u>\$4,156</u>	<u>\$14,910</u>	<u>\$9,835</u>
FUND BALANCE	\$20,871	\$15,868	\$11,984
Reserve for economic uncertainties	20,871	15,868	11,984
3016 Missing Persons DNA Data Base Fund ^s			
BEGINNING BALANCE	\$2,289	\$5,505	\$4,782
Prior Year Adjustments	<u>180</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$2,469	\$5,505	\$4,782
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	2,882	2,882	2,882
4150500 Interest Income - Interfund Loans	53	-	-
4163000 Investment Income - Surplus Money Investments	11	11	11
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Missing Persons DNA Fund (3016) per Item 0820-011-3016, Budget Act of 2011	3,000	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$5,946</u>	<u>\$2,893</u>	<u>\$2,893</u>
Total Resources	\$8,415	\$8,398	\$7,675
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	2,905	3,439	3,435
8880 Financial Information System for California (State Operations)	5	4	4
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	173	181
Total Expenditures and Expenditure Adjustments	<u>\$2,910</u>	<u>\$3,616</u>	<u>\$3,620</u>
FUND BALANCE	\$5,505	\$4,782	\$4,055
Reserve for economic uncertainties	5,505	4,782	4,055
3053 Public Rights Law Enforcement Special Fund ^s			
BEGINNING BALANCE	\$1,726	\$2,861	\$3,921
Prior Year Adjustments	<u>152</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,878	\$2,861	\$3,921
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	21	7	7
4173500 Settlements and Judgments - Other	<u>5,940</u>	<u>14,510</u>	<u>10,000</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$5,961</u>	<u>\$14,517</u>	<u>\$10,007</u>
Total Resources	\$7,839	\$17,378	\$13,928
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			

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0820 Department of Justice - Continued

	2015-16*	2016-17*	2017-18*
0820 Department of Justice (State Operations)	4,968	13,142	13,064
8880 Financial Information System for California (State Operations)	10	7	15
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	308	333
Total Expenditures and Expenditure Adjustments	<u>\$4,978</u>	<u>\$13,457</u>	<u>\$13,412</u>
FUND BALANCE	\$2,861	\$3,921	\$516
Reserve for economic uncertainties	2,861	3,921	516
3086 DNA Identification Fund ^s			
BEGINNING BALANCE	\$20,039	\$8,089	\$1,693
Prior Year Adjustments	<u>556</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$20,595	\$8,089	\$1,693
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	55	55	55
4172500 Miscellaneous Revenue	28	28	28
4173000 Penalty Assessments - Other	<u>57,582</u>	<u>55,000</u>	<u>55,000</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$57,665</u>	<u>\$55,083</u>	<u>\$55,083</u>
Total Resources	\$78,260	\$63,172	\$56,776
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	70,034	57,648	55,454
8880 Financial Information System for California (State Operations)	137	36	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	3,795	-
Total Expenditures and Expenditure Adjustments	<u>\$70,171</u>	<u>\$61,479</u>	<u>\$55,454</u>
FUND BALANCE	\$8,089	\$1,693	\$1,322
Reserve for economic uncertainties	8,089	1,693	1,322
3087 Unfair Competition Law Fund ^s			
BEGINNING BALANCE	\$5,152	\$17,937	\$11,264
Prior Year Adjustments	<u>303</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$5,455	\$17,937	\$11,264
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	39	10	10
4173000 Penalty Assessments - Other	<u>23,631</u>	<u>16,000</u>	<u>13,000</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$23,670</u>	<u>\$16,010</u>	<u>\$13,010</u>
Total Resources	\$29,125	\$33,947	\$24,274
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	11,170	22,109	22,136
8880 Financial Information System for California (State Operations)	18	14	29
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	560	591
Total Expenditures and Expenditure Adjustments	<u>\$11,188</u>	<u>\$22,683</u>	<u>\$22,756</u>
FUND BALANCE	\$17,937	\$11,264	\$1,518
Reserve for economic uncertainties	17,937	11,264	1,518
3088 Registry of Charitable Trusts Fund ^s			
BEGINNING BALANCE	\$5,329	\$6,037	\$4,236

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0820 Department of Justice - Continued

	2015-16*	2016-17*	2017-18*
Prior Year Adjustments	138	-	-
Adjusted Beginning Balance	\$5,467	\$6,037	\$4,236
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	4,148	4,000	4,000
4163000 Investment Income - Surplus Money Investments	26	10	10
Total Revenues, Transfers, and Other Adjustments	\$4,174	\$4,010	\$4,010
Total Resources	\$9,641	\$10,047	\$8,246
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	3,599	5,619	5,131
8880 Financial Information System for California (State Operations)	5	7	8
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	185	417
Total Expenditures and Expenditure Adjustments	\$3,604	\$5,811	\$5,556
FUND BALANCE	\$6,037	\$4,236	\$2,690
Reserve for economic uncertainties	6,037	4,236	2,690
3131 California Bingo Fund^s			
BEGINNING BALANCE	\$679	\$697	\$667
Prior Year Adjustments	-2	-	-
Adjusted Beginning Balance	\$677	\$697	\$667
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4127400 Renewal Fees	23	23	-
4129400 Other Regulatory Licenses and Permits	2	2	-
Total Revenues, Transfers, and Other Adjustments	\$25	\$25	-
Total Resources	\$702	\$722	\$667
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	5	48	-
0855 California Gambling Control Commission (State Operations)	-	2	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	5	3
Total Expenditures and Expenditure Adjustments	\$5	\$55	\$3
FUND BALANCE	\$697	\$667	\$664
Reserve for economic uncertainties	697	667	664
3132 Charity Bingo Mitigation Fund^s			
BEGINNING BALANCE	\$1	\$1	\$6
Adjusted Beginning Balance	\$1	\$1	\$6
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172500 Miscellaneous Revenue	8	5	5
Total Revenues, Transfers, and Other Adjustments	\$8	\$5	\$5
Total Resources	\$9	\$6	\$11
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0855 California Gambling Control Commission (State Operations)	8	-	-
Total Expenditures and Expenditure Adjustments	\$8	-	-

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0820 Department of Justice - Continued

	2015-16*	2016-17*	2017-18*
FUND BALANCE	\$1	\$6	\$11
Reserve for economic uncertainties	1	6	11
3136 Foreclosure Consultant Regulation Fund^s			
BEGINNING BALANCE	\$12	\$12	\$12
Adjusted Beginning Balance	\$12	\$12	\$12
Total Resources	\$12	\$12	\$12
FUND BALANCE	\$12	\$12	\$12
Reserve for economic uncertainties	12	12	12
3240 Secondhand Dealer and Pawnbroker Fund^s			
BEGINNING BALANCE	\$2,781	\$2,442	\$2,481
Prior Year Adjustments	-540	-	-
Adjusted Beginning Balance	\$2,241	\$2,442	\$2,481
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4127400 Renewal Fees	571	571	571
4129400 Other Regulatory Licenses and Permits	134	134	134
4163000 Investment Income - Surplus Money Investments	12	12	12
Total Revenues, Transfers, and Other Adjustments	\$717	\$717	\$717
Total Resources	\$2,958	\$3,159	\$3,198
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	516	627	622
8880 Financial Information System for California (State Operations)	-	1	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	50	6
Total Expenditures and Expenditure Adjustments	\$516	\$678	\$628
FUND BALANCE	\$2,442	\$2,481	\$2,570
Reserve for economic uncertainties	2,442	2,481	2,570
3285 Electronic Recording Authorization Fund^s			
BEGINNING BALANCE	\$191	\$101	\$102
Adjusted Beginning Balance	\$191	\$101	\$102
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	136	300	300
4163000 Investment Income - Surplus Money Investments	-	1	1
Total Revenues, Transfers, and Other Adjustments	\$136	\$301	\$301
Total Resources	\$327	\$402	\$403
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	226	300	300
Total Expenditures and Expenditure Adjustments	\$226	\$300	\$300
FUND BALANCE	\$101	\$102	\$103
Reserve for economic uncertainties	101	102	103
3297 Major League Sporting Event Raffle Fund^s			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments			

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0820 Department of Justice - Continued

	2015-16*	2016-17*	2017-18*
Loan from the General Fund (0001) to the Major League Sporting Event	-	335	335
Raffle Fund (3297) per Item 0820-011-0001, Budget Acts			
Total Revenues, Transfers, and Other Adjustments	-	\$335	\$335
Total Resources	-	\$335	\$335
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	-	335	335
Total Expenditures and Expenditure Adjustments	-	\$335	\$335
FUND BALANCE	-	-	-
3303 Ammunition Safety and Enforcement Special Fund ^s			
BEGINNING BALANCE	-	-	23,405
Adjusted Beginning Balance	-	-	\$23,405
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments			
Loan from the General Fund (0001) to the Ammunition Safety and Enforcement Special Fund (3303) per Penal Code section 30371(a)	-	25,000	-
Total Revenues, Transfers, and Other Adjustments	-	\$25,000	-
Total Resources	-	\$25,000	\$23,405
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	-	1,595	12,687
Total Expenditures and Expenditure Adjustments	-	\$1,595	\$12,687
FUND BALANCE	-	\$23,405	\$10,718
Reserve for economic uncertainties	-	23,405	10,718

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	4,192.2	4,287.7	4,953.7	\$390,851	\$403,114	\$402,908
Salary and Other Adjustments	114.3	42.8	-627.2	-29,568	8,690	18,150
Workload and Administrative Adjustments						
Assault Weapons (SB 880 & AB 1135)						
Assoc Govtl Program Analyst	-	-	1.0	-	-	62
Criminal ID Spec II	-	-	24.0	-	-	1,136
Criminal ID Spec III	-	-	2.0	-	-	106
CalGANG Database						
Various	-	-	11.0	-	-	639
Firearms: Identifying Information (AB 857)						
Assoc Govtl Program Analyst	-	-	1.0	-	-	62
Criminal ID Spec II	-	-	3.0	-	-	106
Overtime	-	-	-	-	-	3
Program Techn II	-	-	1.0	-	-	28
Sr Info Sys Analyst (Spec)	-	-	1.0	-	-	81
Sr Programmer Analyst (Spec)	-	-	1.0	-	-	81
Sys Software Spec II (Tech)	-	-	1.0	-	-	81
Temporary Help	-	-	-	-	-	10
Law Enforcement Contact Process (AB 1993)						

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0820 Department of Justice - Continued

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Assoc Govtl Program Analyst	-	-	1.0	-	-	124
Overtime	-	-	-	-	-	51
Staff Programmer Analyst (Spec)	-	-	-	-	-	73
Legal Resources for Federal Actions						
Dep Atty Gen IV	-	-	19.0	-	-	2,727
Legal Secty	-	-	12.0	-	-	548
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	78.0	\$-	\$-	\$5,918
Totals, Adjustments	114.3	42.8	-549.2	-\$29,568	\$8,690	\$23,882
TOTALS, SALARIES AND WAGES	4,306.5	4,330.5	4,404.5	\$361,283	\$411,804	\$426,790

0840 State Controller

The State Controller is the Chief Fiscal Officer of California, the sixth largest economy in the world. Principally responsible for transparency and accountability of the state's financial resources, the Controller ensures the appropriate disbursement and tracking of taxpayer dollars. The Controller serves on dozens of state boards, commissions, and committees with duties ranging from administrative oversight of the nation's two largest public pension funds, to protection of state lands and coastlines, to modernization and financing of major infrastructure. The Controller also offers fiscal guidance to local governments and has independent auditing authority over government agencies related to the spending of state funds. The Controller's primary objectives are to:

- Account for and control disbursement of all state funds, issuing warrants in payment of the state's bills, including lottery prizes.
- Determine legality and accuracy of financial claims against the state.
- Audit state and local government programs.
- Safeguard many types of assets until claimed by the rightful owners, in accordance with the Unclaimed Property Law.
- Inform the public of the state's financial condition.
- Inform the public of financial transactions of city, county, and other local governments.
- Administer the Uniform State Payroll System.
- Audit and process all personnel and payroll transactions for state civil service, state exempt employees, state university employees, and college system employees.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0500100 Accounting and Reporting	276.5	253.7	257.5	\$42,037	\$43,677	\$47,313
0500200 Audits	300.5	297.3	299.3	45,961	51,853	51,149
0500300 Personnel/Payroll Services	202.3	205.0	205.0	50,290	43,141	45,419
0500400 Unclaimed Property	245.6	255.4	256.0	40,073	36,410	36,985
0500500 Disbursements	82.6	95.8	95.8	23,573	25,946	25,982
0505 Loan Repayments	-	-	-	-41	-	-
9900100 Administration	281.4	276.8	277.3	51,358	55,129	54,707
9900200 Administration - Distributed	-	-	-	-51,358	-55,128	-54,428
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	1,388.9	1,384.0	1,390.9	\$201,893	\$201,028	\$207,127
FUNDING				2015-16*	2016-17*	2017-18*
0001 General Fund				\$53,644	\$53,195	\$59,727
0046 Public Transportation Account, State Transportation Fund				19	19	19
0061 Motor Vehicle Fuel Account, Transportation Tax Fund				4,861	4,570	4,510
0062 Highway Users Tax Account, Transportation Tax Fund				1,688	1,601	1,586
0064 Motor Vehicle License Fee Account, Transportation Tax Fund				17	17	17
0107 Abandoned Vehicle Trust Fund				3	2	2

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0840 State Controller - Continued

FUNDING	2015-16*	2016-17*	2017-18*
0330 Local Revenue Fund	802	759	750
0494 Other - Unallocated Special Funds	6,670	1,289	-
0797 Unallocated Bond Funds - Select	1,105	1,110	-
0877 DMV Local Agency Collection Fund	13	11	11
0890 Federal Trust Fund	1,075	1,296	1,306
0903 State Penalty Fund	1,556	1,459	1,442
0932 Trial Court Trust Fund	174	174	174
0969 Public Safety Account, Local Public Safety Fund	268	268	268
0970 Unclaimed Property Fund	40,721	38,987	39,339
0988 Other - Unallocated Non-Governmental Cost Funds	473	482	274
0995 Reimbursements	61,439	62,293	61,887
3268 Senior Citizens and Disabled Citizens Property Tax Postponement Fund	1,699	2,312	2,072
3286 Safe Neighborhoods and Schools Fund	-	-	389
6036 2002 State School Facilities Fund	5	11	673
6044 2004 State School Facilities Fund	93	312	420
6057 2006 State School Facilities Fund	240	797	14
9740 Central Service Cost Recovery Fund	25,328	30,064	32,247
TOTALS, EXPENDITURES, ALL FUNDS	\$201,893	\$201,028	\$207,127

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Constitution, Article XVI, Section 7; Government Code Sections 12402 et seq.

PROGRAM AUTHORITY**0500100-Accounting and Reporting:**

Constitution, Article XVI, Section 7 and Government Code Section 12402 et seq.; Government Code Title 1, Division 7, Chapter 21; Government Code Title 2, Division 1, Chapter 12.491; Government Code Title 2, Division 1, Chapter 12.5; Government Code Title 2 Division 3, Part 2, Chapter 5 and Part 10b, Chapter 4; Government Code Title 2, Division 4, Part 7; Government Code Title 3, Division 3, Chapters 8 and 9; Government Code Title 5, Division 2, Part 1, Chapter 4, Article 9; Government Code Title 8 Chapter 6, Article 10; Health and Safety Code Division 24, Part 1, Chapter 1, Article 6; Penal Code Section 1463.02; Public Contracts Code Division 2, Part 3, Chapter 2; Public Utilities Code Division 10, Part 11, Chapter 4, Article 3 and 6.5; Revenue and Taxation Code, Division 2, Parts 2, 7, 8, 9.5 and 10.5; Streets and Highways Code Division 3, Chapter 3; Welfare and Institutions Code Division 9, Part 5, Chapter 6.

0500200-Audits:

Constitution, Article XVI, Section 7 and Government Code Section 12402 et seq.

0500300-Personnel/Payroll Services:

Constitution, Article XVI, Section 7 and Government Code Section 12402 et seq., Government Code Section 12432, and Government Code Section 19822.3.

0500400-Unclaimed Property:

Code of Civil Procedure Part 3, Title 10.

0500500-Disbursements:

Constitution, Article XVI, Section 7 and Government Code 17004, Mailing of Warrants.

9900100-Administration:

Government Code Section 12402 et seq. Membership by State Controller on boards and commissions, principally: State Board of Equalization-Constitution, Articles VII, XIX, and XIII; Franchise Tax Board-Government Code Section 15700; Board of Public Employees' Retirement System-Government Code Section 20090; Board of State Teacher's Retirement System-Education Code Section 22200; California Victim Compensation Board-Government Code Section 13901; State Lands Commission-Public Resources Code Section 6101; Pooled Money Investment Board-Government Code Section 16480.1;

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0840 State Controller - Continued

various bond and finance committees-Education Code Section 15909, Military and Veterans Code Section 991, Water Code Section 12933, Harbors and Navigation Code Sections 3903, and Government Code Section 17220.

MAJOR PROGRAM CHANGES

- California State Payroll System - The Budget includes \$3 million (\$1.7 million General Fund) one-time funding to perform business process re-engineering, document requirements, and to begin alternative analysis of a new payroll system.
- Implementation of Controller's Control Functions in FI\$Cal - The Budget includes \$1.5 million General Fund one-time funding for increased workload associated with SCO implementing its control functions within the Financial Information System of California (FI\$Cal).
- Vendor Management - The Budget includes an increase of \$1.2 million (\$647,000 General Fund) in 2017-18, \$1,149,000 (\$655,000 General Fund) in 2018-19, and \$488,000 (\$278,000 General Fund) ongoing to support new workload associated with converting and maintaining the statewide Vendor Management File used to process state payments.
- State Government Reporting - The Budget includes an increase of \$1.1 million (\$617,000 General Fund) to address increasingly complex accounting and reporting standards required by the Governmental Accounting Standards Board and increased workload required to prepare the annual Budgetary/Legal Basis Annual Report and the Comprehensive Annual Financial Report.
- Information Security - The Budget includes an increase of \$966,000 (\$549,000 General Fund) in 2017-18, \$928,000 (\$529,000 General Fund) in 2018-19, and \$258,000 (\$148,000 General Fund) ongoing to strengthen the Controller's cyber security posture in compliance with statewide security policy.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• California State Payroll System - PAL Stage 2	\$-	\$-	-	\$1,693	\$1,277	-
• FI\$Cal Implementation for SCO's Control Functions	-	-	-	1,500	-	-
• Vendor Management Workload	-	-	-	674	507	5.0
• California Automated Travel Expense Reimbursement System Replacement	-	-	-	642	484	-
• State Government Reporting	-	-	-	617	466	8.0
• SCO Information Security Workload	-	-	-	549	417	2.0
• Accounting Administrator Reclassification - Two-Year Funding	-	-	-	342	258	-
• Local Government Oversight Initiative	-	-	-	108	1,013	9.0
• Unclaimed Property Securities Accounting Workload	-	-	-	-	2,955	23.1
• Proposition 47 Agency and Grant Audits	-	-	-	-	389	3.0
• Property Tax Postponement (PTP) Program	-	-	-	-	358	-
• Electronic Claims Processing	-	-	-	-	343	3.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$6,125	\$8,467	53.1
Other Workload Budget Adjustments						
• Central Service Function Cost Realignment for SWCAP	\$-	\$-	-	\$977	-\$977	-
• Switch Funding to General Fund/CSCRF for CAFR Preparation and Publication	-	-	-	868	-868	-
• 21st Century Project Assessment Savings	-741	-559	-	-	-	-

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0840 State Controller - Continued

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• 21st Century Project Legal Savings	-	-3,592	-	-	-	-
• CDT War Room Costs	82	62	-	-	-	-
• Employee Self Service Portal	146	111	-	-	-	-
• Retirement Rate Adjustments	353	1,023	-	350	1,006	-
• Salary Adjustments	822	2,280	-	253	651	-
• Benefit Adjustments	112	290	-	81	204	-
• SWCAP	-	-	-	-	26	-
• Miscellaneous Baseline Adjustments	-	9	-	-	9	-
• Pro Rata	-	-4,118	-	-	-4,118	-
Totals, Other Workload Budget Adjustments	\$774	-\$4,494	-	\$2,529	-\$4,067	-
Totals, Workload Budget Adjustments	\$774	-\$4,494	-	\$8,654	\$4,400	53.1
Totals, Budget Adjustments	\$774	-\$4,494	-	\$8,654	\$4,400	53.1

PROGRAM DESCRIPTIONS**0500100 - ACCOUNTING AND REPORTING**

The State Accounting and Reporting Division maintains uniform and systematic control accounts of all receipts, payments, state fund balances, and bonded indebtedness; reports the financial condition of the state; monitors the cash flow of the General Fund; administers the statewide discharge from accountability program; participates in offsetting monies owed to the state; and collaborates with the Department of Finance, the Treasurer, and the Department of General Services to develop and implement the Financial Information System for California (FI\$Cal) to ensure the system meets the state's primary objectives and provides best business practices for the state.

The Local Government Programs and Services Division maintains a database of information and reports on local financial transactions and government compensation; apportions shared revenues to local governments; administers local mandated cost programs; approves county cost allocation plans; prescribes uniform accounting procedures for presentation of financial data for local governments and trial court revenues; administers and collects estate and inheritance taxes; collects delinquent insurance and motor vehicle fuel taxes and petroleum and gas assessments; refunds gasoline taxes paid on fuel consumed for off-highway purposes; instructs and advises county tax collectors; and administers the Property Tax Postponement Program for senior and disabled citizens.

0500200 - AUDITS

The Audits Division determines the legality and accuracy of all claims against the state through the performance of prepayment audits; assures the accuracy of local government claims and financial statements submitted to the state and federal governments by establishing and updating audit guidelines, and reviewing audits performed by independent auditors; performs field audits for a variety of state and federal programs; reviews the single audits reports of local governments and local education agencies that receive "pass-through federal funds" from state agencies; and audits major businesses for compliance with the Unclaimed Property Law.

0500300 - PERSONNEL/PAYROLL SERVICES

The Personnel/Payroll Services Division administers the state's payroll, employment history, the automated travel expense reimbursement system, and leave accounting processes in a manner that provides accurate, timely and efficient processing for the users of these systems. The Division works with other entities, e.g., Department of Human Resources, Judicial Council, Department of Finance, and California State University Chancellor's Office, to maximize the efficiency of the state's personnel and payroll functions, while continuing to maintain the highest level and quality of customer service.

The 21st Century Project was initiated to replace existing statewide human resource management systems with a fully integrated solution; however, the project was suspended in February 2013, after an unsuccessful eight-month pilot program, and terminated in June 2016, after reaching a settlement with the system integrator. The SCO will assess current information technology alternatives and the latest industry standards to analyze alternatives through the California Department of Technology Project Approval Lifecycle process.

0500400 - UNCLAIMED PROPERTY

The Unclaimed Property Division administers the Unclaimed Property Law by providing one central source for owners to claim their property; notifying owners of their unclaimed property before it is transferred to the state; receiving unclaimed property from banks, savings and loans, and other business firms; and providing outreach and education for California

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0840 State Controller - Continued

businesses holding unclaimed property.

0500500 - DISBURSEMENTS

The Disbursements Bureau provides services to all state Agencies, Departments and Boards. The primary responsibility is to produce and deliver all payments of the state's obligations after auditing and clearance through control accounts, including personal income tax refunds, payroll, and retirement payments. Disbursements also provides post issuance services, including, but not limited to disposition of undeliverable warrants; lost warrant duplication; and maintenance and production of paid warrant images.

9900100 - ADMINISTRATION

The Executive Office and the Administration Division provide executive direction and support services to programs in the State Controller's Office. The Information Systems Division develops, maintains, and operates all of the department's mainframe, client-server and web-based systems; and oversees the planning, procurement, use, and maintenance of information technology hardware and software.

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
	PROGRAM REQUIREMENTS			
0500	STATE CONTROLLER'S OFFICE			
	State Operations:			
0001	General Fund	\$53,685	\$53,194	\$59,726
0046	Public Transportation Account, State Transportation Fund	19	19	19
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	4,861	4,570	4,510
0062	Highway Users Tax Account, Transportation Tax Fund	1,688	1,601	1,586
0064	Motor Vehicle License Fee Account, Transportation Tax Fund	17	17	17
0107	Abandoned Vehicle Trust Fund	3	2	2
0330	Local Revenue Fund	802	759	750
0494	Other - Unallocated Special Funds	6,670	1,289	-
0797	Unallocated Bond Funds - Select	1,105	1,110	-
0877	DMV Local Agency Collection Fund	13	11	11
0890	Federal Trust Fund	1,075	1,296	1,306
0903	State Penalty Fund	1,556	1,459	1,442
0932	Trial Court Trust Fund	174	174	174
0969	Public Safety Account, Local Public Safety Fund	268	268	268
0970	Unclaimed Property Fund	40,721	38,987	39,339
0988	Other - Unallocated Non-Governmental Cost Funds	473	482	274
0995	Reimbursements	61,439	62,293	61,609
3268	Senior Citizens and Disabled Citizens Property Tax Postponement Fund	1,699	2,312	2,072
3286	Safe Neighborhoods and Schools Fund	-	-	389
6036	2002 State School Facilities Fund	5	11	673
6044	2004 State School Facilities Fund	93	312	420
6057	2006 State School Facilities Fund	240	797	14
9740	Central Service Cost Recovery Fund	25,328	30,064	32,247
	Totals, State Operations	\$201,934	\$201,027	\$206,848
	SUBPROGRAM REQUIREMENTS			
0500100	Accounting and Reporting			
	State Operations:			
0001	General Fund	\$14,651	\$16,703	\$20,964

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0840 State Controller - Continued

		<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
0046	Public Transportation Account, State Transportation Fund	19	19	19
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	2,546	2,562	2,529
0062	Highway Users Tax Account, Transportation Tax Fund	478	481	479
0064	Motor Vehicle License Fee Account, Transportation Tax Fund	17	17	17
0107	Abandoned Vehicle Trust Fund	3	2	2
0330	Local Revenue Fund	802	759	750
0494	Other - Unallocated Special Funds	208	209	-
0797	Unallocated Bond Funds - Select	1,105	1,110	-
0877	DMV Local Agency Collection Fund	7	11	11
0903	State Penalty Fund	335	352	349
0932	Trial Court Trust Fund	174	174	174
0969	Public Safety Account, Local Public Safety Fund	268	268	268
0988	Other - Unallocated Non-Governmental Cost Funds	389	394	187
0995	Reimbursements	12,857	10,861	10,370
3268	Senior Citizens and Disabled Citizens Property Tax Postponement Fund	1,699	2,312	2,072
6036	2002 State School Facilities Fund	2	7	442
6044	2004 State School Facilities Fund	5	206	269
6057	2006 State School Facilities Fund	6	515	8
9740	Central Service Cost Recovery Fund	<u>6,466</u>	<u>6,715</u>	<u>8,403</u>
	Totals, State Operations	\$42,037	\$43,677	\$47,313

SUBPROGRAM REQUIREMENTS**0500200 Audits****State Operations:**

0001	General Fund	\$14,225	\$13,008	\$13,149
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	2,315	2,008	1,981
0062	Highway Users Tax Account, Transportation Tax Fund	1,210	1,120	1,107
0877	DMV Local Agency Collection Fund	6	-	-
0890	Federal Trust Fund	1,075	1,296	1,306
0903	State Penalty Fund	1,221	1,107	1,093
0970	Unclaimed Property Fund	2,014	2,597	2,566
0988	Other - Unallocated Non-Governmental Cost Funds	84	88	87
0995	Reimbursements	15,706	21,271	20,498
3286	Safe Neighborhoods and Schools Fund	-	-	389
6036	2002 State School Facilities Fund	3	4	231
6044	2004 State School Facilities Fund	88	106	151
6057	2006 State School Facilities Fund	234	282	6
9740	Central Service Cost Recovery Fund	<u>7,780</u>	<u>8,966</u>	<u>8,585</u>
	Totals, State Operations	\$45,961	\$51,853	\$51,149

SUBPROGRAM REQUIREMENTS**0500300 Personnel/Payroll Services****State Operations:**

0001	General Fund	\$24,439	\$22,388	\$24,234
0494	Other - Unallocated Special Funds	6,462	1,080	-
0995	Reimbursements	10,466	7,510	8,223
9740	Central Service Cost Recovery Fund	8,923	12,163	12,962

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0840 State Controller - Continued

		<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
	Totals, State Operations	\$50,290	\$43,141	\$45,419
	SUBPROGRAM REQUIREMENTS			
0500400	Unclaimed Property			
	State Operations:			
0001	General Fund	\$443	\$-	\$109
0970	Unclaimed Property Fund	38,707	36,390	36,773
0995	Reimbursements	923	20	20
9740	Central Service Cost Recovery Fund	-	-	83
	Totals, State Operations	\$40,073	\$36,410	\$36,985
	SUBPROGRAM REQUIREMENTS			
0500500	Disbursements			
	State Operations:			
0001	General Fund	-\$73	\$1,095	\$1,270
0995	Reimbursements	21,487	22,631	22,498
9740	Central Service Cost Recovery Fund	2,159	2,220	2,214
	Totals, State Operations	\$23,573	\$25,946	\$25,982
	PROGRAM REQUIREMENTS			
0505	LOAN REPAYMENTS			
	State Operations:			
0001	General Fund	\$2	\$-	\$-
	Totals, State Operations	\$2	\$-	\$-
	Local Assistance:			
0001	General Fund	-\$43	\$-	\$-
	Totals, Local Assistance	-\$43	\$-	\$-
	PROGRAM REQUIREMENTS			
9900	ADMINISTRATION - TOTAL			
	State Operations:			
0001	General Fund	\$-	\$1	\$1
0995	Reimbursements	-	-	278
	Totals, State Operations	\$-	\$1	\$279
	SUBPROGRAM REQUIREMENTS			
9900100	Administration			
	State Operations:			
0001	General Fund	\$50,907	\$54,491	\$54,069
0995	Reimbursements	451	638	638
	Totals, State Operations	\$51,358	\$55,129	\$54,707
	SUBPROGRAM REQUIREMENTS			
9900200	Administration - Distributed			
	State Operations:			
0001	General Fund	-\$50,907	-\$54,490	-\$54,068
0995	Reimbursements	-451	-638	-360
	Totals, State Operations	-\$51,358	-\$55,128	-\$54,428
	TOTALS, EXPENDITURES			
	State Operations	201,936	201,028	207,127
	Local Assistance	-43	-	-
	Totals, Expenditures	\$201,893	\$201,028	\$207,127

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0840 State Controller - Continued**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	1,398.8	1,384.0	1,337.8	\$91,489	\$97,520	\$94,530
Total Adjustments	-9.9	-	53.1	155	3,534	7,760
Net Totals, Salaries and Wages	1,388.9	1,384.0	1,390.9	\$91,644	\$101,054	\$102,290
Staff Benefits	-	-	-	44,547	46,258	48,203
Totals, Personal Services	1,388.9	1,384.0	1,390.9	\$136,191	\$147,312	\$150,493
OPERATING EXPENSES AND EQUIPMENT				\$65,745	\$53,716	\$56,634
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$201,936	\$201,028	\$207,127

2 Local Assistance	Expenditures		
	2015-16*	2016-17*	2017-18*
Grants and Subventions - Governmental	-\$43	\$-	\$-
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	-\$43	\$-	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$112,887	\$52,421	\$59,727
Admin/Distributed Admin technical realignment	1	-	-
Allocation for Employee Compensation	1,167	822	-
Allocation for Staff Benefits	680	112	-
Budget Position Transparency	1,374	-	-
Expenditure by Category Redistribution	-1,374	-	-
Map Reimbursable Activities to New Item	-64,378	-	-
OE&E technical realignment	442	-	-
Past Year Adjustment	3	-	-
Personal Services technical realignment	-445	-	-
Section 3.60 Pension Contribution Adjustment	410	353	-
Unanticipated cost funding per Provision 14, Budget Act of 2015	2,918	-	-
Prior Year Balances Available:			
Penal Code section 290.3(b)(2)	2	-	-
Totals Available	\$53,687	\$53,708	\$59,727
Unexpended balance, estimated savings	-	-513	-
TOTALS, EXPENDITURES	\$53,687	\$53,195	\$59,727
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
Apportionment payment system assessments per Control Section 25.50	\$19	\$19	\$19
TOTALS, EXPENDITURES	\$19	\$19	\$19
0061 Motor Vehicle Fuel Account, Transportation Tax Fund			
APPROPRIATIONS			

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0840 State Controller - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
001 Budget Act appropriation	\$4,735	\$4,832	\$4,510
Allocation for Employee Compensation	67	77	-
Allocation for Staff Benefits	36	9	-
Pro Rata Assessments Removal	-	-390	-
Section 3.60 Pension Contribution Adjustment	23	42	-
TOTALS, EXPENDITURES	\$4,861	\$4,570	\$4,510
0062 Highway Users Tax Account, Transportation Tax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,348	\$1,375	\$1,281
Allocation for Employee Compensation	19	20	-
Allocation for Staff Benefits	10	2	-
Pro Rata Assessments Removal	-	-112	-
Section 3.60 Pension Contribution Adjustment	6	11	-
Apportionment payment system assessments per Control Section 25.50	305	305	305
TOTALS, EXPENDITURES	\$1,688	\$1,601	\$1,586
0064 Motor Vehicle License Fee Account, Transportation Tax Fund			
APPROPRIATIONS			
Apportionment payment system assessments per Control Section 25.50	\$17	\$20	\$17
Pro Rata Assessments Removal	-	-3	-
TOTALS, EXPENDITURES	\$17	\$17	\$17
0107 Abandoned Vehicle Trust Fund			
APPROPRIATIONS			
Vehicle Code section 9250.7(b)	-	-	\$2
Vehicle Code Section 9250.7(b)	3	2	-
TOTALS, EXPENDITURES	\$3	\$2	\$2
0330 Local Revenue Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$684	\$696	\$650
Allocation for Employee Compensation	9	12	-
Allocation for Staff Benefits	6	1	-
Pro Rata Assessments Removal	-	-56	-
Section 3.60 Pension Contribution Adjustment	3	6	-
Apportionment payment system assessments per Control Section 25.50	100	100	100
TOTALS, EXPENDITURES	\$802	\$759	\$750
0494 Other - Unallocated Special Funds			
APPROPRIATIONS			
011 Budget Act appropriation	\$204	\$208	-
Allocation for Employee Compensation	3	-	-
Allocation for Staff Benefits	1	-	-
Past Year Adjustment	-1	-	-
Rounding Adjustment	-	-1	-
Section 3.60 Pension Contribution Adjustment	1	2	-
Human Resources Management System assessments per Control Section 25.25	6,461	3,860	-
Past Year Adjustment	1	-	-
Totals Available	\$6,670	\$4,069	\$-
Unexpended balance, estimated savings	-	-2,780	-
TOTALS, EXPENDITURES	\$6,670	\$1,289	\$-

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0840 State Controller - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0797 Unallocated Bond Funds - Select			
APPROPRIATIONS			
011 Budget Act appropriation	\$1,078	\$1,100	-
Allocation for Employee Compensation	13	-	-
Allocation for Staff Benefits	8	-	-
Past Year Adjustment	1	-	-
Rounding Adjustment	-	1	-
Section 3.60 Pension Contribution Adjustment	5	9	-
TOTALS, EXPENDITURES	\$1,105	\$1,110	\$-
0877 DMV Local Agency Collection Fund			
APPROPRIATIONS			
Vehicle Code section 9250.14(b)	-	-	\$9
Vehicle Code Section 9250.14(b)	5	5	-
Vehicle Code Section 9250.19(b)	6	4	-
Apportionment payment system assessments per Control Section 25.50	2	2	2
TOTALS, EXPENDITURES	\$13	\$11	\$11
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,224	\$1,263	\$1,306
Allocation for Employee Compensation	17	20	-
Allocation for Staff Benefits	9	3	-
Section 3.60 Pension Contribution Adjustment	6	10	-
Totals Available	\$1,256	\$1,296	\$1,306
Unexpended balance, estimated savings	-181	-	-
TOTALS, EXPENDITURES	\$1,075	\$1,296	\$1,306
0903 State Penalty Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,516	\$1,547	\$1,442
Allocation for Employee Compensation	21	22	-
Allocation for Staff Benefits	12	3	-
Pro Rata Assessments Removal	-	-126	-
Section 3.60 Pension Contribution Adjustment	7	13	-
TOTALS, EXPENDITURES	\$1,556	\$1,459	\$1,442
0932 Trial Court Trust Fund			
APPROPRIATIONS			
Apportionment payment system assessments per Control Section 25.50	\$174	\$174	\$174
TOTALS, EXPENDITURES	\$174	\$174	\$174
0969 Public Safety Account, Local Public Safety Fund			
APPROPRIATIONS			
Apportionment payment system assessments per Control Section 25.50	\$268	\$268	\$268
TOTALS, EXPENDITURES	\$268	\$268	\$268
0970 Unclaimed Property Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$39,759	\$41,202	\$39,339
Allocation for Employee Compensation	494	777	-
Allocation for Staff Benefits	294	88	-
OE&E technical realignment	-443	-	-
Personal Services technical realignment	443	-	-

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0840 State Controller - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Pro Rata Assessments Removal	-	-3,389	-
Section 3.60 Pension Contribution Adjustment	174	309	-
TOTALS, EXPENDITURES	\$40,721	\$38,987	\$39,339
0988 Other - Unallocated Non-Governmental Cost Funds			
APPROPRIATIONS			
001 Budget Act appropriation	\$263	\$270	\$274
Allocation for Employee Compensation	3	5	-
Allocation for Staff Benefits	2	-	-
Section 3.60 Pension Contribution Adjustment	1	2	-
011 Budget Act appropriation	200	204	-
Allocation for Employee Compensation	3	-	-
Allocation for Staff Benefits	1	-	-
Past Year Adjustment	-1	-	-
Rounding Adjustment	-	-1	-
Section 3.60 Pension Contribution Adjustment	1	2	-
TOTALS, EXPENDITURES	\$473	\$482	\$274
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$61,439	\$62,293	\$61,887
TOTALS, EXPENDITURES	\$61,439	\$62,293	\$61,887
3268 Senior Citizens and Disabled Citizens Property Tax Postponement Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,224	\$2,288	\$2,072
Allocation for Employee Compensation	31	43	-
Allocation for Staff Benefits	17	5	-
Past Year Adjustment	-1	-	-
Pro Rata Assessments Removal	-	-42	-
Rounding Adjustment	-	-1	-
Section 3.60 Pension Contribution Adjustment	11	19	-
Totals Available	\$2,282	\$2,312	\$2,072
Unexpended balance, estimated savings	-583	-	-
TOTALS, EXPENDITURES	\$1,699	\$2,312	\$2,072
3286 Safe Neighborhoods and Schools Fund			
APPROPRIATIONS			
Government Code section 7599.2(d)	-	-	\$389
TOTALS, EXPENDITURES	\$-	\$-	\$389
6036 2002 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$11	\$11	\$673
Totals Available	\$11	\$11	\$673
Unexpended balance, estimated savings	-6	-	-
TOTALS, EXPENDITURES	\$5	\$11	\$673
6044 2004 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$296	\$304	\$420
Allocation for Employee Compensation	4	5	-
Allocation for Staff Benefits	2	-	-
Section 3.60 Pension Contribution Adjustment	1	3	-

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0840 State Controller - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Totals Available	\$303	\$312	\$420
Unexpended balance, estimated savings	-210	-	-
TOTALS, EXPENDITURES	\$93	\$312	\$420
6057 2006 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$757	\$777	\$14
Allocation for Employee Compensation	10	13	-
Allocation for Staff Benefits	6	1	-
Section 3.60 Pension Contribution Adjustment	3	6	-
Totals Available	\$776	\$797	\$14
Unexpended balance, estimated savings	-536	-	-
TOTALS, EXPENDITURES	\$240	\$797	\$14
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$24,740	\$29,662	\$32,247
Allocation for Employee Compensation	305	502	-
Allocation for Staff Benefits	179	69	-
Past Year Adjustment	-1	-	-
Section 3.60 Pension Contribution Adjustment	105	217	-
Totals Available	\$25,328	\$30,450	\$32,247
Unexpended balance, estimated savings	-	-386	-
TOTALS, EXPENDITURES	\$25,328	\$30,064	\$32,247
Total Expenditures, All Funds, (State Operations)	\$201,936	\$201,028	\$207,127
2 LOCAL ASSISTANCE			
0001 General Fund			
Unexpended balance, estimated savings	9	-	-
TOTALS, EXPENDITURES	\$9	\$-	\$-
Loan repayment per Government Code section 15373	-52	-	-
NET TOTALS, EXPENDITURES	-\$43	\$-	\$-
0979 California Firefighters Memorial Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$500	\$500	\$500
Allocation to California Firefighters' Memorial Fund	-500	-500	-500
TOTALS, EXPENDITURES	\$-	\$-	\$-
Total Expenditures, All Funds, (Local Assistance)	\$-43	\$0	\$0
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$201,893	\$201,028	\$207,127

FUND CONDITION STATEMENTS

	2015-16*	2016-17*	2017-18*
0442 California Olympic Training Account ^s			
BEGINNING BALANCE	-	-	-
Prior Year Adjustments	-\$2	-	-
Adjusted Beginning Balance	-\$2	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4142500 License Plate Fees - Personalized Plates	63	\$61	\$61
Transfers and Other Adjustments			

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0840 State Controller - Continued

	2015-16*	2016-17*	2017-18*
Revenue Transfer from the California Olympic Training Account (0442) to the General Fund (0001) per Government Code Section 7592	-61	-61	-61
Total Revenues, Transfers, and Other Adjustments	<u>\$2</u>	<u>-</u>	<u>-</u>
FUND BALANCE	-	-	-

3268 Senior Citizens and Disabled Citizens Property Tax Postponement**Fund^s**

BEGINNING BALANCE	\$16,614	\$16,618	\$21,461
Prior Year Adjustments	<u>-6,441</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$10,173	\$16,618	\$21,461
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172500 Miscellaneous Revenue	<u>2,956</u>	<u>-</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$2,956</u>	<u>-</u>	<u>-</u>
Total Resources	\$13,129	\$16,618	\$21,461
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	1,699	2,312	2,072
8880 Financial Information System for California (State Operations)	-	3	4
9100 Tax Relief (Local Assistance)	-5,188	-7,200	-6,400
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	42	379
Total Expenditures and Expenditure Adjustments	<u>-\$3,489</u>	<u>-\$4,843</u>	<u>-\$3,945</u>
FUND BALANCE	\$16,618	\$21,461	\$25,406
Reserve for economic uncertainties	16,618	21,461	25,406

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	1,398.8	1,384.0	1,337.8	\$91,489	\$97,520	\$94,530
Salary and Other Adjustments	-9.9	-	-	155	3,534	902
Workload and Administrative Adjustments						
Accounting Administrator						
Reclassification - Two-Year Funding						
Accounting Administrator I (Spec)	-	-	-14.0	-	-	-956
Accounting Administrator I (Supvr)	-	-	-10.0	-	-	-736
Accounting Administrator II	-	-	-9.0	-	-	-727
Accounting Administrator III	-	-	-4.0	-	-	-374
Assoc Accounting Analyst	-	-	-1.0	-	-	-65
Financial Accountant I	-	-	13.0	-	-	1,004
Financial Accountant II	-	-	12.0	-	-	1,067
Financial Accountant III	-	-	9.0	-	-	926
Financial Accountant IV	-	-	3.0	-	-	340
Financial Accountant V	-	-	1.0	-	-	119
Various	-	-	-	-	-	-207
California Automated Travel Expense						
Reimbursement System Replacement						
Assoc Govtl Program Analyst	-	-	1.0	-	-	62
Sr Info Sys Analyst (Spec)	-	-	1.0	-	-	81

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0840 State Controller - Continued

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Staff Info Sys Analyst (Spec)	-	-	1.0	-	-	74
Various	-	-	-3.0	-	-	-
California State Payroll System - PAL						
Stage 2						
Accounting Administrator I (Spec)	-	-	1.0	-	-	68
Assoc Govtl Program Analyst	-	-	4.0	-	-	249
Payroll Officer	-	-	4.0	-	-	248
Sr Info Sys Analyst (Spec)	-	-	2.0	-	-	162
Various	-	-	-11.0	-	-	-
Electronic Claims Processing						
Assoc Mgmt Auditor	-	-	2.0	-	-	134
Staff Mgmt Auditor (Spec)	-	-	1.0	-	-	70
FI\$Cal Implementation for SCO's Control Functions						
Accounting Administrator I (Spec)	-	-	4.0	-	-	304
Accounting Administrator I (Supvr)	-	-	1.0	-	-	79
Accounting Administrator II	-	-	3.0	-	-	261
Accounting Administrator III	-	-	1.0	-	-	97
Accounting Analyst	-	-	3.0	-	-	163
Sr Adm Analyst - Accounting Sys	-	-	1.0	-	-	87
Various	-	-	-13.0	-	-	-
Local Government Oversight Initiative						
Assoc Accounting Analyst	-	-	1.0	-	-	65
Assoc Mgmt Auditor	-	-	3.0	-	-	201
Sr Mgmt Auditor	-	-	1.0	-	-	81
Staff Mgmt Auditor (Spec)	-	-	4.0	-	-	281
Property Tax Postponement (PTP) Program						
Assoc Accounting Analyst	-	-	1.0	-	-	65
Assoc Govtl Program Analyst	-	-	1.0	-	-	62
Staff Svcs Analyst (Gen)	-	-	1.0	-	-	46
Various	-	-	-3.0	-	-	-
Proposition 47 Agency and Grant Audits						
Assoc Mgmt Auditor	-	-	2.0	-	-	134
Sr Mgmt Auditor	-	-	1.0	-	-	81
SCO Information Security Workload						
Assoc Info Sys Analyst (Spec)	-	-	6.0	-	-	402
Staff Info Sys Analyst (Spec)	-	-	2.0	-	-	148
Various	-	-	-6.0	-	-	-
State Government Reporting						
Accounting Administrator I (Spec)	-	-	7.0	-	-	478
Accounting Administrator II	-	-	1.0	-	-	78
Unclaimed Property Securities Accounting Workload						
Accounting Administrator I (Spec)	-	-	1.0	-	-	67
Accounting Administrator I (Supvr)	-	-	4.0	-	-	286
Accounting Administrator II	-	-	1.0	-	-	78

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0840 State Controller - Continued

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Assoc Accounting Analyst	-	-	15.1	-	-	985
Assoc Info Sys Analyst (Spec)	-	-	1.0	-	-	68
Office Techn (Gen)	-	-	1.0	-	-	37
Vendor Management Workload						
Assoc Govtl Program Analyst	-	-	2.0	-	-	124
Staff Info Sys Analyst (Spec)	-	-	1.0	-	-	74
Staff Svcs Analyst (Gen)	-	-	7.0	-	-	325
Staff Svcs Mgr I	-	-	1.0	-	-	71
Staff Svcs Mgr III	-	-	1.0	-	-	91
Various	-	-	-7.0	-	-	-
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	53.1	\$-	\$-	\$6,858
Totals, Adjustments	-9.9	-	53.1	\$155	\$3,534	\$7,760
TOTALS, SALARIES AND WAGES	1,388.9	1,384.0	1,390.9	\$91,644	\$101,054	\$102,290

0845 Department of Insurance

California's publicly-elected Insurance Commissioner regulates the sixth largest insurance economy in the world with insurers collecting more than \$288 billion in premium annually in California while protecting consumers and the integrity, health and vitality of the insurance marketplace. The vision of the California Department of Insurance (CDI) is "Insurance Protection for All Californians." CDI accomplishes this by enforcing insurance laws and regulations, assisting consumers in their dealings with insurers, and using innovation to improve services for insurance producers and consumers.

The CDI licenses and regulates insurance companies, and individuals in California. Currently, the CDI oversees and licenses approximately 1,300 insurance companies and more than 400,000 individuals and business entities as insurance agents, brokers, adjusters and bail agents. Additionally, the CDI receives and investigates approximately 200,000 consumer inquiries and complaints annually; performs examinations to ensure the financial solvency of companies; receives more than 30,000 suspected fraudulent claim referrals annually; receives and reviews approximately 7,250 rate filing applications annually; and works in conjunction with local, state and federal law enforcement agencies to investigate and prosecute fraudulent insurance practices.

3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0520	Regulation of Insurance Companies and Insurance Producers	421.2	427.3	428.3	\$82,251	\$85,690	\$85,043
0525	Consumer Protection	315.4	317.2	316.7	60,325	61,547	57,943
0530	Fraud Control	249.4	283.1	293.1	114,818	124,685	127,924
0535	General Fund Tax Collection and Compliance	4.0	3.4	3.4	1,253	1,290	1,262
9900100	Administration	240.4	218.8	217.3	32,753	36,960	35,309
9900200	Administration - Distributed	-	-	-	-32,753	-36,960	-35,309
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		1,230.4	1,249.8	1,258.8	\$258,647	\$273,212	\$272,172
FUNDING					2015-16*	2016-17*	2017-18*
0001	General Fund				\$8,955	\$11,119	\$8,301
0217	Insurance Fund				248,609	259,863	263,603
0890	Federal Trust Fund				1,076	1,980	18
0995	Reimbursements				7	250	250
TOTALS, EXPENDITURES, ALL FUNDS					\$258,647	\$273,212	\$272,172

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0845 Department of Insurance - Continued**LEGAL CITATIONS AND AUTHORITY****PROGRAM AUTHORITY**

0520-Regulation of Insurance Companies and Insurance Producers:

Insurance Code, Sections 1-16032, except as noted below.

0525-Consumer Protection:

Insurance Code, Division 1, Part 2, Chapter 1, Articles 4, 5.1, 6, 6.3, and 6.5, Chapter 5, Article 12, Sections 1872.81, 10127.17, 10273.7, 12921.1-12921.5, 12928, and 12930.

0530-Fraud Control:

Insurance Code, Division 1, Part 2, Chapter 12 (except Section 1872.81 cited above).

0535-General Fund Tax Collection and Compliance:

Insurance Code, Sections 1774-1780; and Revenue and Taxation Code, Part 7 of Division 2.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Enhanced Fraud Investigation and Prevention (Warner Chilcott Settlement)	\$-	\$-	-	\$1,864	\$-	2.0
• Workers' Compensation Fraud Program	-	-	-	-	3,424	8.0
• CDI Menu Modernization - Year 4	-	-	-	-	2,061	6.0
• Information Technology Infrastructure	-	-	-	-	1,300	-
• Out-of-Network Coverage (AB 72)	-	-	-	-	751	2.5
• Enhanced Auto Consumer Services	-	-	-	-	749	4.0
• Rate Regulation Restructure and Rate Review - Predictive Model Analysis	-	-	-	-	586	2.0
• Sacramento Headquarters Expansion	-	-	-	-	321	-
• Spanish License Examinations (AB 1899)	-	-	-	-	49	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$1,864	\$9,241	24.5
Other Workload Budget Adjustments						
• Salary Adjustments	\$167	\$4,630	-	\$187	\$3,325	-
• Retirement Rate Adjustments	39	1,783	-	39	1,783	-
• Benefit Adjustments	22	757	-	24	705	-
• SWCAP	-	-	-	-	-165	-
• Miscellaneous Baseline Adjustments	-	1,017	-26.0	-	-454	-36.0
• Pro Rata	-	-10,243	-	-	-10,243	-
Totals, Other Workload Budget Adjustments	\$228	-\$2,056	-26.0	\$250	-\$5,049	-36.0
Totals, Workload Budget Adjustments	\$228	-\$2,056	-26.0	\$2,114	\$4,192	-11.5
Totals, Budget Adjustments	\$228	-\$2,056	-26.0	\$2,114	\$4,192	-11.5

PROGRAM DESCRIPTIONS

0520 - REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS

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0845 Department of Insurance - Continued

The objectives of this program are to: (1) prevent losses to policyholders, beneficiaries or the public due to the insolvency of insurers; (2) prevent unlawful or unfair practices by insurers as defined by the Insurance Code; (3) ensure that property and casualty insurance rates are not excessive, inadequate, unfairly discriminatory or otherwise in violation of the Insurance Code and the California Code of Regulations; (4) review health insurance rates filed with CDI to determine whether they are reasonable and attempt to get unreasonable rates lowered by insurers; and (5) ensure that applicants for insurance licenses, and holders of insurance licenses, satisfy and maintain the qualifications for licensure. Through the Conservation and Liquidation Office, the CDI administers the estates of insolvent and delinquent insurance companies.

0525 - CONSUMER PROTECTION

The objectives of this program are to: (1) provide direct service to California consumers by protecting insurance policyholders and other parties involved in insurance transactions against unfair or illegal practices with respect to claims handling, rating or underwriting by insurers and (2) protect applicants and policyholders from discriminatory, unlawful or fraudulent practices or incompetence relating to the sale of insurance.

0530 - FRAUD CONTROL

The objective of this program is to protect the public from economic loss by actively investigating, arresting and referring for prosecution or adjudication those who commit insurance fraud and other violations of the law. The program is primarily staffed by sworn peace officers who conduct criminal investigations of insurance fraud and related criminal cases. The program also implements the Insurance Frauds Prevention Act, which authorizes the Commissioner to initiate or intervene in whistle-blower "qui tam" actions seeking civil penalties against perpetrators of insurance fraud.

0535 - GENERAL FUND TAX COLLECTION AND COMPLIANCE

This program performs tax collection; ensures compliance from insurance companies and surplus line brokers with the laws contained in the Insurance Code and Revenue and Taxation Code; and works with the Board of Equalization and State Controller's Office with various refund, assessment, and accounting matters relative to the premium tax program. Tax collections from this program are deposited in the state's General Fund.

9900 - ADMINISTRATION

This program provides administrative support services to the CDI including budgets, accounting, human resources, business services and information technology, as well as legislative and legal services.

DETAILED EXPENDITURES BY PROGRAM

		<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
	PROGRAM REQUIREMENTS			
0520	REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS			
	State Operations:			
0217	Insurance Fund	\$81,168	\$83,460	\$84,775
0890	Federal Trust Fund	1,076	1,980	18
0995	Reimbursements	<u>7</u>	<u>250</u>	<u>250</u>
	Totals, State Operations	\$82,251	\$85,690	\$85,043
	SUBPROGRAM REQUIREMENTS			
0520010	Rate Regulation			
	State Operations:			
0217	Insurance Fund	\$24,410	\$21,048	\$22,121
0890	Federal Trust Fund	1,076	1,980	18
0995	Reimbursements	<u>7</u>	<u>250</u>	<u>250</u>
	Totals, State Operations	\$25,493	\$23,278	\$22,389
	SUBPROGRAM REQUIREMENTS			
0520019	Regulatory			
	State Operations:			
0217	Insurance Fund	<u>\$22,356</u>	<u>\$25,643</u>	<u>\$25,371</u>
	Totals, State Operations	\$22,356	\$25,643	\$25,371
	SUBPROGRAM REQUIREMENTS			
0520028	Licensing			

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0845 Department of Insurance - Continued

		2015-16*	2016-17*	2017-18*
	State Operations:			
0217	Insurance Fund	\$27,434	\$27,694	\$28,199
	Totals, State Operations	\$27,434	\$27,694	\$28,199
	SUBPROGRAM REQUIREMENTS			
0520037	Special Programs			
	State Operations:			
0217	Insurance Fund	\$6,968	\$9,075	\$9,084
	Totals, State Operations	\$6,968	\$9,075	\$9,084
	PROGRAM REQUIREMENTS			
0525	CONSUMER PROTECTION			
	State Operations:			
0001	General Fund	\$3,703	\$4,867	\$2,079
0217	Insurance Fund	56,112	55,930	55,114
	Totals, State Operations	\$59,815	\$60,797	\$57,193
	Local Assistance:			
0217	Insurance Fund	\$510	\$750	\$750
	Totals, Local Assistance	\$510	\$750	\$750
	SUBPROGRAM REQUIREMENTS			
0525010	Legal Compliance			
	State Operations:			
0217	Insurance Fund	\$8,860	\$9,833	\$9,994
	Totals, State Operations	\$8,860	\$9,833	\$9,994
	SUBPROGRAM REQUIREMENTS			
0525019	Investigations			
	State Operations:			
0217	Insurance Fund	\$16,241	\$16,483	\$16,447
	Totals, State Operations	\$16,241	\$16,483	\$16,447
	Local Assistance:			
0217	Insurance Fund	\$510	\$750	\$750
	Totals, Local Assistance	\$510	\$750	\$750
	SUBPROGRAM REQUIREMENTS			
0525028	Consumer Services and Market Conduct			
	State Operations:			
0001	General Fund	\$3,000	\$3,000	\$-
0217	Insurance Fund	31,011	29,614	28,673
	Totals, State Operations	\$34,011	\$32,614	\$28,673
	SUBPROGRAM REQUIREMENTS			
0525037	Enhanced Fraud Investigation Division			
	State Operations:			
0001	General Fund	\$703	\$1,867	\$2,079
	Totals, State Operations	\$703	\$1,867	\$2,079
	PROGRAM REQUIREMENTS			
0530	FRAUD CONTROL			
	State Operations:			
0001	General Fund	\$4,252	\$5,252	\$5,222
0217	Insurance Fund	47,560	54,565	56,087
	Totals, State Operations	\$51,812	\$59,817	\$61,309

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0845 Department of Insurance - Continued

		<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
	Local Assistance:			
0001	General Fund	\$1,000	\$1,000	\$1,000
0217	Insurance Fund	<u>62,006</u>	<u>63,868</u>	<u>65,615</u>
	Totals, Local Assistance	\$63,006	\$64,868	\$66,615
	SUBPROGRAM REQUIREMENTS			
0530010	Fraud - Auto			
	State Operations:			
0217	Insurance Fund	<u>\$20,593</u>	<u>\$22,263</u>	<u>\$22,200</u>
	Totals, State Operations	\$20,593	\$22,263	\$22,200
	Local Assistance:			
0217	Insurance Fund	<u>\$21,951</u>	<u>\$21,951</u>	<u>\$21,951</u>
	Totals, Local Assistance	\$21,951	\$21,951	\$21,951
	SUBPROGRAM REQUIREMENTS			
0530019	Fraud - Workers' Compensation			
	State Operations:			
0217	Insurance Fund	<u>\$21,517</u>	<u>\$25,575</u>	<u>\$27,178</u>
	Totals, State Operations	\$21,517	\$25,575	\$27,178
	Local Assistance:			
0217	Insurance Fund	<u>\$34,951</u>	<u>\$35,101</u>	<u>\$36,848</u>
	Totals, Local Assistance	\$34,951	\$35,101	\$36,848
	SUBPROGRAM REQUIREMENTS			
0530028	Fraud - General Assessment			
	State Operations:			
0217	Insurance Fund	<u>\$2,203</u>	<u>\$2,987</u>	<u>\$2,977</u>
	Totals, State Operations	\$2,203	\$2,987	\$2,977
	SUBPROGRAM REQUIREMENTS			
0530037	Fraud - Disability and Healthcare			
	State Operations:			
0217	Insurance Fund	<u>\$3,247</u>	<u>\$3,740</u>	<u>\$3,732</u>
	Totals, State Operations	\$3,247	\$3,740	\$3,732
	Local Assistance:			
0217	Insurance Fund	<u>\$5,104</u>	<u>\$6,816</u>	<u>\$6,816</u>
	Totals, Local Assistance	\$5,104	\$6,816	\$6,816
	SUBPROGRAM REQUIREMENTS			
0530055	Enhanced Fraud - Fraud Division			
	State Operations:			
0001	General Fund	<u>\$3,965</u>	<u>\$3,898</u>	<u>\$4,319</u>
	Totals, State Operations	\$3,965	\$3,898	\$4,319
	Local Assistance:			
0001	General Fund	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
	Totals, Local Assistance	\$1,000	\$1,000	\$1,000
	SUBPROGRAM REQUIREMENTS			
0530064	Enhanced Fraud - Legal Branch			
	State Operations:			
0001	General Fund	<u>\$287</u>	<u>\$1,354</u>	<u>\$903</u>
	Totals, State Operations	\$287	\$1,354	\$903
	PROGRAM REQUIREMENTS			

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0845 Department of Insurance - Continued

		2015-16*	2016-17*	2017-18*
0535	GENERAL FUND TAX COLLECTION AND COMPLIANCE			
	State Operations:			
0217	Insurance Fund	\$1,253	\$1,290	\$1,262
	Totals, State Operations	\$1,253	\$1,290	\$1,262
	SUBPROGRAM REQUIREMENTS			
9900100	Administration			
	State Operations:			
0217	Insurance Fund	\$32,753	\$36,960	\$35,309
	Totals, State Operations	\$32,753	\$36,960	\$35,309
	SUBPROGRAM REQUIREMENTS			
9900200	Administration - Distributed			
	State Operations:			
0217	Insurance Fund	-\$32,753	-\$36,960	-\$35,309
	Totals, State Operations	-\$32,753	-\$36,960	-\$35,309
	TOTALS, EXPENDITURES			
	State Operations	195,131	207,594	204,807
	Local Assistance	63,516	65,618	67,365
	Totals, Expenditures	\$258,647	\$273,212	\$272,172

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	1,241.3	1,275.8	1,270.3	\$103,924	\$105,169	\$104,352
Total Adjustments	-10.9	-26.0	-11.5	-11,611	4,967	4,705
Net Totals, Salaries and Wages	1,230.4	1,249.8	1,258.8	\$92,313	\$110,136	\$109,057
Staff Benefits	-	-	-	45,525	49,400	49,589
Totals, Personal Services	1,230.4	1,249.8	1,258.8	\$137,838	\$159,536	\$158,646
OPERATING EXPENSES AND EQUIPMENT				\$57,293	\$48,058	\$46,161
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$195,131	\$207,594	\$204,807

2 Local Assistance	Expenditures		
	2015-16*	2016-17*	2017-18*
Grants and Subventions - Governmental	\$63,516	\$65,618	\$67,365
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$63,516	\$65,618	\$67,365

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,171	\$5,324	\$4,109
Allocation for employee compensation	67	132	-

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0845 Department of Insurance - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Allocation for staff benefits	-	19	-
Section 3.60 pension contribution adjustment	23	36	-
002 Budget Act appropriation	3,000	1,567	3,192
Allocation for employee compensation	-	35	-
Allocation for staff benefits	-	3	-
Section 3.60 pension contribution adjustment	-	3	-
003 Budget Act appropriation	-	3,000	-
Totals Available	\$8,261	\$10,119	\$7,301
Unexpended balance, estimated savings	-306	-	-
TOTALS, EXPENDITURES	\$7,955	\$10,119	\$7,301
0217 Insurance Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$189,563	\$198,185	\$197,238
Allocation for employee compensation	3,908	4,630	-
Allocation for staff benefits	-	757	-
CalATERS Funding Removal	-	-17	-
Control Section 28.00 CA Health Care Foundation	-	100	-
Healthcare Endowment Grant from Healthcare Compare Grant	-	50	-
Map Reimbursable Activities to New Item	-250	-	-
Map Values from Invisible Account Codes	-1	-	-
Pro Rata Assessments Removal	-	-10,243	-
Section 3.60 pension contribution adjustment	1,036	1,783	-
Totals Available	\$194,256	\$195,245	\$197,238
Unexpended balance, estimated savings	-8,163	-	-
TOTALS, EXPENDITURES	\$186,093	\$195,245	\$197,238
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$808	\$1,096	\$18
Control Section 28.00 Cycle III & IV Federal Funds Adjustment	-	68	-
Control Section 28.00 Cycle III Federal Funds Adjustment	342	-	-
Health Insurance & Consumer Protections Grant	-	816	-
Totals Available	\$1,150	\$1,980	\$18
Unexpended balance, estimated savings	-74	-	-
TOTALS, EXPENDITURES	\$1,076	\$1,980	\$18
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$7	\$250	\$250
TOTALS, EXPENDITURES	\$7	\$250	\$250
Total Expenditures, All Funds, (State Operations)	\$195,131	\$207,594	\$204,807

2 LOCAL ASSISTANCE

2015-16*	2016-17*	2017-18*
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0001 General Fund

APPROPRIATIONS		
101 Budget Act appropriation	\$1,000	\$1,000
TOTALS, EXPENDITURES	\$1,000	\$1,000

0217 Insurance Fund

APPROPRIATIONS		
101 Budget Act appropriation	\$64,618	\$64,618
		\$66,365

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0845 Department of Insurance - Continued

2 LOCAL ASSISTANCE	2015-16*	2016-17*	2017-18*
Totals Available	\$64,618	\$64,618	\$66,365
Unexpended balance, estimated savings	-2,102	-	-
TOTALS, EXPENDITURES	\$62,516	\$64,618	\$66,365
Total Expenditures, All Funds, (Local Assistance)	\$63,516	\$65,618	\$67,365
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$258,647	\$273,212	\$272,172

FUND CONDITION STATEMENTS

	2015-16*	2016-17*	2017-18*
0217 Insurance Fund^s			
BEGINNING BALANCE	\$16,621	\$32,038	\$28,311
Prior Year Adjustments	-223	-	-
Adjusted Beginning Balance	\$16,398	\$32,038	\$28,311
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4124000 Insurance Company - Examination Fees	24,029	24,799	25,892
4124200 Insurance Company - License Fees and Penalties	51,851	51,977	54,093
4124400 Insurance Company - General Fees	33,416	32,202	34,636
4124600 Insurance Company - Proposition 103 Fees	30,211	31,770	35,954
4124800 Insurance Fraud Assessment - Automobile	50,945	52,304	53,873
4125000 Insurance Fraud Assessment - General	13,596	12,936	12,814
4125200 Insurance Fraud Assessment - Workers Compensation	59,469	58,306	62,473
4140000 Document Sales	81	53	53
4143500 Miscellaneous Services to the Public	12	9	9
4163000 Investment Income - Surplus Money Investments	139	177	177
4171100 Cost Recoveries - Other	1,014	1,971	1,971
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	8	2	2
4172500 Miscellaneous Revenue	1,539	834	834
4173000 Penalty Assessments - Other	-	1,009	1,009
Transfers and Other Adjustments			
Revenue Transfer from Insurance Fund (0217) to Office of Patient Advocate Trust Fund (3209) per Chapter 552, Statutes of 2011	-211	-208	-165
Loan Repayment from Insurance Fund (0217) to General Fund (0001) per Item 0845-011-0001 Budget Act of 2013	-	-	-260
Loan Repayment from Insurance Fund (0217) to General Fund (0001) per Item 0845-011-0001, Budget Act of 2013	-121	-121	-121
Total Revenues, Transfers, and Other Adjustments	<u>\$265,978</u>	<u>\$268,020</u>	<u>\$283,244</u>
Total Resources	\$282,376	\$300,058	\$311,555
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0845 Department of Insurance (State Operations)	186,093	195,245	197,238
0845 Department of Insurance (Local Assistance)	62,516	64,618	66,365
1690 Alfred E. Alquist Seismic Safety Commission (State Operations)	1,395	1,249	1,277
8880 Financial Information System for California (State Operations)	334	241	254
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	10,394	12,770
Total Expenditures and Expenditure Adjustments	<u>\$250,338</u>	<u>\$271,747</u>	<u>\$277,904</u>
FUND BALANCE	\$32,038	\$28,311	\$33,651
Reserve for economic uncertainties	32,038	28,311	33,651

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0845 Department of Insurance - Continued

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	1,241.3	1,275.8	1,270.3	\$103,924	\$105,169	\$104,352
Salary and Other Adjustments	-10.9	-26.0	-36.0	-11,611	4,967	2,875
Workload and Administrative Adjustments						
CDI Menu Modernization - Year 4						
Sr Programmer Analyst (Spec) (Limited Term 06-30-2018)	-	-	1.0	-	-	81
Sys Software Spec II (Tech) (Limited Term 06-30-2018)	-	-	1.0	-	-	80
Sys Software Spec III (Supvry) (Limited Term 06-30-2018)	-	-	1.0	-	-	93
Sys Software Spec III (Tech) (Limited Term 06-30-2018)	-	-	1.0	-	-	89
Temporary Help (Limited Term 06-30-2018)	-	-	2.0	-	-	166
Enhanced Auto Consumer Services						
Atty III (Limited Term 06-30-2021)	-	-	1.0	-	-	110
Research Analyst I (Limited Term 06-30-2019)	-	-	1.0	-	-	50
Research Analyst II (Limited Term 06-30-2021)	-	-	1.0	-	-	65
Research Program Spec I (Limited Term 06-30-2019)	-	-	1.0	-	-	68
Enhanced Fraud Investigation and Prevention (Warner Chilcott Settlement)						
Assoc Govtl Program Analyst	-	-	2.0	-	-	124
Out-of-Network Coverage (AB 72)						
Assoc Ins Compliance Officer	-	-	1.5	-	-	98
Atty III (Limited Term 06-30-2019)	-	-	1.0	-	-	110
Rate Regulation Restructure and Rate Review - Predictive Model Analysis						
Chief Actuary	-	-	1.0	-	-	134
Staff Svcs Mgr III	-	-	1.0	-	-	91
Workers' Compensation Fraud Program						
Assoc Govtl Program Analyst	-	-	1.0	-	-	62
Investigator	-	-	4.0	-	-	253
Office Techn (Typing)	-	-	1.0	-	-	38
Staff Svcs Analyst (Gen)	-	-	1.0	-	-	46
Staff Svcs Mgr I	-	-	1.0	-	-	72
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	24.5	\$-	\$-	\$1,830
Totals, Adjustments	-10.9	-26.0	-11.5	-\$11,611	\$4,967	\$4,705
TOTALS, SALARIES AND WAGES	1,230.4	1,249.8	1,258.8	\$92,313	\$110,136	\$109,057

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0850 California State Lottery Commission

In 1984, Proposition 37 amended the California Constitution to authorize the establishment of a statewide lottery. As an initiative statute, the California State Lottery Act (Act) of 1984 created the California State Lottery Commission and gave it broad powers to oversee the operations of a statewide lottery. The purpose of the Act was to provide supplemental monies to benefit public education. The Lottery is overseen by a five-person Commission appointed by the Governor and confirmed by the State Senate.

The Act initially required that 50 percent of total annual revenues be returned to the public in the form of prizes and at least 34 percent of total revenues be allocated to the benefit of public education. No more than 16 percent of total revenues were to be used for administrative costs.

In 2010, the Act was changed to allow the Lottery flexibility to pay out more money in prizes and reduce the administrative cost limit to 13 percent of total revenues. Along with that flexibility, the new law requires the Lottery to meet minimum levels of contribution to public education. Revenues to education are placed in a special fund, known as the California State Lottery Education Fund, which holds revenues until they are allocated on a per capita basis, using prior year certified Average Daily Attendance data, to the following categories: K-12 education, Community Colleges, the California State University, the University of California, and other educational entities, including the California Schools for the Deaf and Blind.

In the 31 years since sales began in October 1985 through June 30, 2016, the California State Lottery has raised nearly \$31 billion for public education, including \$1.59 billion in FY 2015-16.

Because of the inherently variable nature of lottery ticket sales, revenue estimates for 2016-17 and 2017-18 cannot be made with certainty.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 1, Chapter 12.5 (Sections 8880-8880.72).

0850 California State Lottery Commission - Continued**Statement of Operations**

	2015-16*	2016-17*	2017-18*
Lottery sales	\$6,275,597	\$6,310,000	\$6,750,000
Less prizes	<u>3,955,791</u>	<u>4,071,407</u>	<u>4,379,352</u>
Sales after prizes	2,319,806	2,238,594	2,370,648
Less Gaming Costs:			
Retailer costs	432,986	445,293	475,249
Gaming system costs	78,277	89,613	102,238
Instant ticket costs	<u>36,485</u>	<u>36,564</u>	<u>42,258</u>
Total, Game Costs	<u>\$547,748</u>	<u>\$571,470</u>	<u>\$619,745</u>
Resources before operating expenses	\$1,772,058	\$1,667,124	\$1,750,903
Operating Expenses:			
Salaries, wages and benefits	79,416	86,098	96,296
Advertising	74,280	77,408	75,103
Promotion, public relations and point-of-sale	10,991	9,556	13,191
Other professional services	14,368	16,982	19,947
Depreciation and amortization	13,528	18,536	21,573
Other general and administrative expenses	<u>19,806</u>	<u>20,107</u>	<u>31,645</u>
Total, Operating Expenses	<u>\$212,389</u>	<u>\$228,686</u>	<u>\$257,755</u>
Income and Proceeds to Education	1,559,669	1,438,437	1,493,148
Interest and Other Income	<u>3,481</u>	<u>4,100</u>	<u>4,100</u>
Net Resources	\$1,563,150	\$1,442,537	\$1,497,248
Unclaimed Prizes	24,267	41,000	35,000
Administrative Reserve	-	-	10,000
TOTAL RESOURCES DUE TO EDUCATION FUND	\$1,587,417	\$1,483,537	\$1,542,248

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0850 California State Lottery Commission - Continued**Distribution of State Lottery Education Fund Revenues**

	2015-16*	2016-17*	2017-18*
Department of Education (K-12)	\$1,250,687	\$1,143,147	\$1,188,388
California Community Colleges	239,525	219,942	228,646
California State University	58,466	72,962	75,849
University of California	38,199	46,765	48,616
Other Public Colleges and Universities	136	172	179
Miscellaneous Educational Institutions	<u>403</u>	<u>549</u>	<u>571</u>
TOTALS	\$1,587,417	\$1,483,537	\$1,542,248

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0855 California Gambling Control Commission

The mission of the California Gambling Control Commission (Commission) is to ensure integrity in California's gaming environment. The Commission has jurisdiction over gambling establishments (cardrooms), third-party providers of proposition player services, and certain aspects of Tribal casinos, pursuant to its authority under state law and Tribal-State Gaming Compacts (Compacts). The Commission also has jurisdiction over gaming policies, regulations, criteria, and standards.

There are 89 licensed cardrooms in California over which the Commission has broad regulatory and adjudicatory authority. This authority extends to the operation, concentration, and supervision of the cardrooms and all persons and things related to each licensed establishment.

The Commission has fiduciary, regulatory, and administrative responsibilities related to Tribal gaming that include: (1) distributing Tribal gaming revenues to Non-Compact, Non-Gaming and Limited-Gaming Tribes; (2) making suitability determinations for key employees, vendors, and financial sources; and (3) serving as the administrator of the Indian Gaming Revenue Sharing Trust Fund, Indian Gaming Special Distribution Fund, and the Tribal Nation Grant Fund.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0560 California Gambling Control Commission	32.3	32.7	35.7	\$83,908	\$103,076	\$103,374
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	32.3	32.7	35.7	\$83,908	\$103,076	\$103,374

FUNDING				2015-16*	2016-17*	2017-18*
0366 Indian Gaming Revenue Sharing Trust Fund				\$77,825	\$96,500	\$96,500
0367 Indian Gaming Special Distribution Fund				2,465	2,761	2,761
0567 Gambling Control Fund				3,610	3,813	4,113
3131 California Bingo Fund				-	2	-
3132 Charity Bingo Mitigation Fund				8	-	-
TOTALS, EXPENDITURES, ALL FUNDS				\$83,908	\$103,076	\$103,374

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Business and Professions Code Sections 19811-19825 and 19840-19984

Government Code Sections 12012.25 - 12012.90, 12710 - 12715, 12716 - 12718, and 63048.65

Penal Code Sections 326.4-326.5, and 337j

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Workload Increase	\$-	\$-	-	\$-	\$300	3.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$300	3.0
Other Workload Budget Adjustments						
• Salary Adjustments	\$-	\$93	-	\$-	\$67	-
• Retirement Rate Adjustments	-	48	-	-	48	-
• Miscellaneous Baseline Adjustments	-	-	-	-	23	-
• Benefit Adjustments	-	19	-	-	20	-
• Pro Rata	-	-200	-	-	-200	-
Totals, Other Workload Budget Adjustments	\$-	-\$40	-	\$-	-\$42	-
Totals, Workload Budget Adjustments	\$-	-\$40	-	\$-	\$258	3.0

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0855 California Gambling Control Commission - Continued

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Totals, Budget Adjustments	\$-	-\$40	-	\$-	\$258	3.0

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
PROGRAM REQUIREMENTS				
0560 CALIFORNIA GAMBLING CONTROL COMMISSION				
State Operations:				
0367 Indian Gaming Special Distribution Fund		\$2,465	\$2,761	\$2,761
0567 Gambling Control Fund		3,610	3,813	4,113
3131 California Bingo Fund		-	2	-
3132 Charity Bingo Mitigation Fund		8	-	-
Totals, State Operations		\$6,083	\$6,576	\$6,874
Local Assistance:				
0366 Indian Gaming Revenue Sharing Trust Fund		\$77,825	\$96,500	\$96,500
Totals, Local Assistance		\$77,825	\$96,500	\$96,500
TOTALS, EXPENDITURES				
State Operations		6,083	6,576	6,874
Local Assistance		77,825	96,500	96,500
Totals, Expenditures		\$83,908	\$103,076	\$103,374

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	32.7	32.7	32.7	\$2,843	\$2,843	\$2,843
Total Adjustments	-0.4	-	3.0	281	93	251
Net Totals, Salaries and Wages	32.3	32.7	35.7	\$3,124	\$2,936	\$3,094
Staff Benefits	-	-	-	1,156	1,906	1,997
Totals, Personal Services	32.3	32.7	35.7	\$4,280	\$4,842	\$5,091
OPERATING EXPENSES AND EQUIPMENT				\$1,795	\$1,734	\$1,783
SPECIAL ITEMS OF EXPENSES				8	-	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$6,083	\$6,576	\$6,874

2 Local Assistance	Expenditures		
	2015-16*	2016-17*	2017-18*
Grants and Subventions - Governmental	\$77,825	\$96,500	\$96,500
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$77,825	\$96,500	\$96,500

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0855 California Gambling Control Commission - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0367 Indian Gaming Special Distribution Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,762	\$2,778	\$2,761
Allocation for Employee Compensation	31	39	-
Allocation for Staff Benefits	16	8	-
Pro Rata Assessments Removal	-	-84	-
Section 3.60 Pension Contribution Adjustment	11	20	-
Totals Available	\$2,820	\$2,761	\$2,761
Unexpended balance, estimated savings	-355	-	-
TOTALS, EXPENDITURES	\$2,465	\$2,761	\$2,761
0567 Gambling Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,815	\$3,836	\$4,113
Allocation for Employee Compensation	43	54	-
Allocation for Staff Benefits	22	11	-
Pro Rata Assessments Removal	-	-116	-
Section 3.60 Pension Contribution Adjustment	15	28	-
Totals Available	\$3,895	\$3,813	\$4,113
Unexpended balance, estimated savings	-285	-	-
TOTALS, EXPENDITURES	\$3,610	\$3,813	\$4,113
3131 California Bingo Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2	\$2	-
Totals Available	\$2	\$2	\$-
Unexpended balance, estimated savings	-2	-	-
TOTALS, EXPENDITURES	\$-	\$2	\$-
3132 Charity Bingo Mitigation Fund			
APPROPRIATIONS			
Interest expense on Indian Gaming Special Distribution Fund per Penal Code section 326.4(d)(1)	\$12	-	-
Baseline Adjustment Charity Bingo Mitigation	-6	-	-
Totals Available	\$6	\$-	\$-
Unexpended balance, estimated savings	2	-	-
TOTALS, EXPENDITURES	\$8	\$-	\$-
Total Expenditures, All Funds, (State Operations)	\$6,083	\$6,576	\$6,874
2 LOCAL ASSISTANCE	2015-16*	2016-17*	2017-18*
0366 Indian Gaming Revenue Sharing Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$96,500	\$96,500	\$96,500
Totals Available	\$96,500	\$96,500	\$96,500
Unexpended balance, estimated savings	-18,675	-	-
TOTALS, EXPENDITURES	\$77,825	\$96,500	\$96,500
0367 Indian Gaming Special Distribution Fund			
APPROPRIATIONS			
111 Budget Act appropriation (transfer to Indian Gaming Revenue Sharing Trust Fund)	(\$25,000)	(\$25,000)	(\$25,000)
Revenue Transfer Reduction	(-)	(-)	(-10,000)
TOTALS, EXPENDITURES	\$-	\$-	\$-

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0855 California Gambling Control Commission - Continued

2 LOCAL ASSISTANCE	2015-16*	2016-17*	2017-18*
Total Expenditures, All Funds, (Local Assistance)	\$77,825	\$96,500	\$96,500
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$83,908	\$103,076	\$103,374

FUND CONDITION STATEMENTS

	2015-16*	2016-17*	2017-18*
0367 Indian Gaming Special Distribution Fund^s			
BEGINNING BALANCE	\$17,533	\$19,576	\$7,662
Prior Year Adjustments	-1,010	-	-
Adjusted Beginning Balance	\$16,523	\$19,576	\$7,662
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4150500 Interest Income - Interfund Loans	8	-	-
4163000 Investment Income - Surplus Money Investments	49	54	54
4172500 Miscellaneous Revenue	2	-	-
4173900 Tribal Gaming Revenues	51,227	46,000	46,000
Transfers and Other Adjustments			
Revenue Transfer from the Indian Gaming Special Distribution Fund (0367) to the Indian Gaming Revenue Sharing Trust Fund (0366) per Item 0855-111 -0367, Budget Acts	-20,800	-25,000	-15,000
Revenue Transfer from the Indian Gaming Special Distribution Fund (0367) to the Indian Gaming Revenue Sharing Trust Fund (0366) per Item 0855-111 -0367, Budget Acts, Adjustment	2,800	-	-
Total Revenues, Transfers, and Other Adjustments	\$33,286	\$21,054	\$31,054
Total Resources	\$49,809	\$40,630	\$38,716
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	19,512	20,409	20,256
0855 California Gambling Control Commission (State Operations)	2,465	2,761	2,761
4265 Department of Public Health (State Operations)	4,286	4,214	4,198
4265 Department of Public Health (Local Assistance)	3,906	4,000	4,000
7501 Department of Human Resources (State Operations)	16	75	75
8880 Financial Information System for California (State Operations)	48	35	37
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	1,474	1,460
Total Expenditures and Expenditure Adjustments	\$30,233	\$32,968	\$32,787
FUND BALANCE	\$19,576	\$7,662	\$5,929
Reserve for economic uncertainties	19,576	7,662	5,929

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	32.7	32.7	32.7	\$2,843	\$2,843	\$2,843
Salary and Other Adjustments	-0.4	-	-	281	93	65
Workload and Administrative Adjustments						
Workload Increase						
Assoc Govtl Program Analyst	-	-	3.0	-	-	186
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	3.0	\$-	\$-	\$186
Totals, Adjustments	-0.4	-	3.0	\$281	\$93	\$251

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0855 California Gambling Control Commission - Continued

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
TOTALS, SALARIES AND WAGES	32.3	32.7	35.7	\$3,124	\$2,936	\$3,094

0860 State Board of Equalization

Prior to July 1, 2017, the State Board of Equalization (BOE) administered various tax and fee programs including the Sales and Use tax, adopted rules and regulations to clarify tax laws, acted as an appellate body for the review of property, business and income tax assessments, assessed and allocated the property values of railroads and specified utilities and businesses, and oversaw the property tax assessment practices of all 58 county assessors.

Effective July 1, 2017, legislation enacted as part of the 2017 Budget Act recast the BOE as the California Department of Tax and Fee Administration (CDTFA). The CDTFA will perform those statutory duties formerly assigned to the BOE, except for tax appeals. The BOE will continue in existence, but will handle only those duties assigned to it by the California Constitution, namely the assessment and allocation of the property values of railroads and specified utilities and businesses, and the oversight of the property tax assessment practices of all 58 county assessors.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0570025 County Assessment Standards Program	83.8	71.5	70.3	\$10,477	\$10,846	\$10,612
0570050 State-Assessed Property Program	66.0	72.5	69.8	10,518	10,586	9,946
0570075 Timber Tax Program	13.2	9.9	5.6	1,707	2,255	1,782
0570100 Sales and Use Tax Program	3,427.8	3,471.7	3,446.1	442,900	493,835	491,992
0570125 Hazardous Substances Tax Program	43.6	40.8	40.9	4,883	5,370	5,293
0570150 Alcoholic Beverage Tax Program	23.5	19.5	19.7	2,589	3,103	3,117
0570175 Tire Recycling Fee Program	17.4	15.8	15.9	1,739	1,857	1,842
0570200 Cigarette and Tobacco Products Tax Program	95.8	98.1	139.2	23,596	23,689	30,144
0570225 Cigarette and Tobacco Products Licensing Program	76.0	67.7	67.7	10,373	10,005	10,133
0570250 Transportation Fund Tax Program	188.5	154.0	146.3	27,433	29,510	28,508
0570275 Occupational Lead Poisoning Prevention Fee Program	8.6	7.2	7.2	888	852	833
0570300 Integrated Waste Management Program	4.0	4.4	4.4	541	567	567
0570325 Underground Storage Tank Fee Program	31.1	25.7	25.8	3,662	3,611	3,534
0570350 Oil Spill Prevention Program	2.6	3.2	2.7	367	653	607
0570375 Energy Resources Surcharge Program	1.4	2.1	2.2	222	314	325
0570400 Annual Water Rights Fee Program	4.0	4.2	4.2	458	487	483
0570425 Childhood Lead Poisoning Prevention Fee Program	2.6	4.4	4.4	363	569	569
0570450 Marine Invasive Species Program	2.6	3.5	3.4	318	518	495
0570475 Fire Prevention Fee Program	66.5	61.2	61.3	8,914	7,566	7,492
0570500 Emergency Telephone Users Surcharge Program	7.9	11.8	11.5	1,125	1,792	1,675
0570525 E-Waste Recycling Fee Program	32.2	32.8	31.5	4,273	5,124	4,886
0570550 Lumber Fee Program	3.9	14.4	12.0	1,163	1,826	1,573
0570575 Insurance Tax Program	2.5	1.9	1.9	341	342	336
0570600 Natural Gas Surcharge Program	4.5	4.2	4.1	713	993	934
0570625 Appeals from Other Governmental Programs	22.1	13.5	13.5	3,532	2,482	2,470
0570650 Prepaid Mobile Telephony Program	6.4	23.2	16.8	7,082	36,638	34,945

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0860 State Board of Equalization - Continued

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0570675 Regional Railroad Accident Preparedness and Immediate Response Program	0.3	2.3	1.3	294	584	278
0570700 Lead-Acid Battery Cleanup Fee Program	-	3.1	6.3	-	1,235	784
0570725 Cannabis Taxes Program	-	1.9	19.7	-	1,100	4,620
9900100 Administration	-	474.2	474.2	41	66,356	66,270
9900200 Administration - Distributed	-	-	-	-	-65,939	-65,853
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	4,238.8	4,720.6	4,729.9	\$570,512	\$658,726	\$661,192

FUNDING	2015-16*	2016-17*	2017-18*
0001 General Fund	\$288,879	\$337,330	\$335,452
0004 Breast Cancer Fund	770	662	659
0022 State Emergency Telephone Number Account	1,125	1,792	1,675
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	27,351	29,073	28,263
0070 Occupational Lead Poisoning Prevention Account	888	852	833
0080 Childhood Lead Poisoning Prevention Fund	363	569	569
0230 Cigarette and Tobacco Products Surtax Fund	9,562	7,366	7,099
0320 Oil Spill Prevention and Administration Fund	367	653	607
0387 Integrated Waste Management Account, Integrated Waste Management Fund	541	567	567
0439 Underground Storage Tank Cleanup Fund	3,662	3,611	3,534
0465 Energy Resources Programs Account	222	314	325
0623 California Children and Families First Trust Fund	16,945	14,247	14,150
0890 Federal Trust Fund	82	437	245
0965 Timber Tax Fund	1,707	2,255	1,782
0995 Reimbursements	193,203	196,320	195,342
3015 Gas Consumption Surcharge Fund	713	993	934
3058 Water Rights Fund	458	487	483
3063 State Responsibility Area Fire Prevention Fund	8,914	7,566	7,492
3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund	4,273	5,124	4,886
3067 Cigarette and Tobacco Products Compliance Fund	2,242	7,125	7,266
3212 Timber Regulation and Forest Restoration Fund	1,163	1,826	1,573
3251 Prepaid Mobile Telephony Services Surcharge Fund	2,021	2,159	1,082
3260 Regional Railroad Accident Preparedness and Immediate Response Fund	-	584	278
3270 Local Charges for Prepaid Mobile Telephony Service Fund	5,061	34,479	33,863
3288 Cannabis Control Fund	-	1,100	4,620
3301 Lead-Acid Battery Cleanup Fund	-	1,235	784
3304 California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	-	960
3308 Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	-	5,869
TOTALS, EXPENDITURES, ALL FUNDS	\$570,512	\$658,726	\$661,192

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Article XIII, Section 17 of the California Constitution.

Government Code Section 12803.2, Government Code Sections 15570 through 15570.4, Government Code Sections 15570.20 through 15570.84, and Government Code Sections 15600, 15601, and 15609.5.

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0860 State Board of Equalization - Continued

PROGRAM AUTHORITY

0570025-County Assessment Standards Program:

California Constitution, Article XIII, Section 18, Revenue & Taxation Code Sections 63.1, 64, 69.5, 75.60, 155, 169, 214-214.16, 218.5, 251, 254.6, 401.5, 407, 422.7, 423, 452, 480.1, 480.2, 480.4, 601, 602, 615, 618, 670-680, 1153, 1254, 1366, 1603, 1650, 1716, 1717.1, 1815-1817, 5364, 5781, and 5840, and Government Code Sections 15606-15608, 15611, 15624, and 15640-15646.

0570050-State-Assessed Property Program:

California Constitution, Article XIII, Section 19, Revenue and Taxation Code Sections 100-100.96, 721-868, 4876-4880, 5011-5014, 5148 and 11201-11702, and Government Code Sections 54900-54916.5.

0570075-Timber Tax Program:

Revenue & Taxation Code Sections 431-437, 38101-38908, and Public Resources Code, Section 4654.

0570100-Sales and Use Tax Program:

California Constitution (Sections 35 and 36, Article XIII), State Sales and Use Tax

Revenue & Taxation Code Sections 6001-7176, Bradley-Burns Uniform Local Sales and Use Tax, Revenue & Taxation Code Sections 7200-7226, Transactions and Use Tax (cities/counties), and Revenue & Taxation Code Sections 7251-7279.6, 7285, and 7285.8.

0570125-Hazardous Substances Tax Program:

Revenue & Taxation Code Sections 43001-43651, and Health & Safety Code Sections 25174-25174.11, 25205.1-25205.23, 25253.5, and 43011.3.

0570150-Alcoholic Beverage Tax Program:

California Constitution, Article XX, Section 22, Revenue & Taxation Code Sections 32001-32557, and Business and Professions Code Sections 23000-23673.

0570175-Tire Recycling Fee Program:

Revenue & Taxation Code Sections 55001-55381, and Public Resource Code Sections 42860-42895.

0570200-Cigarette and Tobacco Products Tax Program:

Constitution Article XIIIB, Section 12, Revenue and Taxation Code Sections 30001-30483, Federal Laws relating to collection of state cigarette tax: (15 U.S.C.A., Chapter 10A, Sections 375-378; 63 Stat. 884, as amended by 67 Stat. 617, and 69 Stat. 627.), and Health and Safety Code Sections 104555-104558.

0570225-Cigarette and Tobacco Products Licensing Program:

Government Code Section 15618.5, Business and Professions Code, Sections 22950.5, 22958, 22962 and 22970-22991, Health and Safety Code Sections 14950 and 104557, Penal Code Section 830.11, Revenue and Taxation Code Sections 30019, 30140-30149, 30155-30159, 30165.1, 30166.1, 30168, 30177.5, 30210-30215, 30355-30358, 30435, 30436, 30449, 30471, 30473, 30473.5, 30474, 30474.1, 30475, 30481, and 30482.

0570250-Transportation Fund Tax Program:

Constitution Article XIX, Sections 1-9; Revenue and Taxation Code Sections 7301-8526, 8601-9355, 9401-9433, and 60001-60708.

0570275-Occupational Lead Poisoning Prevention Fee Program:

Revenue and Taxation Code Sections 43001-43651; Health and Safety Code Sections 105185-105195; and California Code of Regulations Title 17, Chapter 11, Sections 38001-38005.

0570300-Integrated Waste Management Program:

Revenue and Taxation Code Sections 45001-45984, and Public Resource Code Sections 40000-48008.

0570325-Underground Storage Tank Fee Program:

Revenue and Taxation Code Sections 50101-50162, and Health and Safety Code Sections 25280-25299.99.

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0860 State Board of Equalization - Continued

0570350-Oil Spill Prevention Program:

Revenue and Taxation Code Sections 46001-46751, and Government Code Sections 8670.1 and 8670.73.

0570375-Energy Resources Surcharge Program:

Revenue and Taxation Code Sections 40001-40216.

0570400-Annual Water Rights Fee Program:

Water Code Sections 1525-1552, 13050-13160.1, and Revenue and Taxation Code Sections 55001-55381.

0570425-Childhood Lead Poisoning Prevention Fee Program:

Revenue and Taxation Code Sections 43001-43651, and Health & Safety Code Sections 105275-105310.

0570450-Marine Invasive Species Fee Program:

Revenue and Taxation Code Sections 44000-44007 and 55001-55381, and Public Resource Code Sections 71200-71271.

0570475-Fire Prevention Fee Program:

Public Resources Code Sections 4210-4214 and 4220-4228, and Revenue and Taxation Code Sections 55001-55381.

0570500-Emergency Telephone Users Surcharge Program:

Revenue and Taxation Code Sections 41001-41176.

0570525-E-Waste Recycling Fee Program:

Health and Safety Code Sections 25214.9-25214.10, Public Resource Code Sections 41516 and 42460-42486, and Revenue and Taxation Code Sections 55001-55381.

0570550-Lumber Fee Program:

California Public Resources Code, Section 4629.5 and Revenue and Taxation Code, Sections 55001-55381.

0570575-Insurance Tax Program:

Constitution Article XIII, Section 28, Revenue and Taxation Code, Sections 12001-13170, and Insurance Code Sections 132, 685-685.4, 995.5, 1530, 1531, 1774-1780, 10089.44, 12976, and 12976.5.

0570600-Natural Gas Surcharge Program:

Revenue and Taxation Code, Sections 55001-55381, and Public Utilities Code Sections 890-900.

0570625-Appeals from Other Governmental Programs:

Administration of Franchise and Income Tax Laws: Revenue and Taxation Code, Sections 18401-19802.

Personal Income Tax Law: Revenue and Taxation Code, Sections 17001-18180.

Bank and Corporation Tax Law: Revenue and Taxation Code, Sections 23001-25141.

Senior Citizens Homeowners' and Renters' Property Tax Assistance: Revenue and Taxation Code, Sections 20501-20646.

Public Owned Property and California Constitution, Article XIII, Section 11, Revenue and Taxation Code, Sections 1840-1841 Procedural Regulations: Title 18, California Code of Regulations, Section 5010, et seq.

0570650-Prepaid Mobile Telephony Services Surcharge Program:

Revenue and Taxation Code, Sections 42001-42024, 42100-42111, and 55001-55381.

0570675-Regional Railroad Accident Preparedness and Immediate Response Program:

Government Code, Sections 8574.30-8574.48, and, Revenue and Taxation Code, Sections 55001-55381.

570700-Lead Acid Battery Recycling Fee Program:

Health and Safety Code, Sections 25215-25215.75, Revenue and Taxation Code, Sections 55001-55381.

570725-Cannabis Taxes Program:

0860 State Board of Equalization - Continued

Business and Professions Code, Sections 26000-26211, Revenue and Taxation Code, Sections 34010-34021.5 and 55001-55381.

MAJOR PROGRAM CHANGES

- Legislation enacted concurrent with the 2017 Budget Act recast the State Board of Equalization (BOE) as the California Department of Tax and Fee Administration (CDTFA) effective July 1, 2017. The CDTFA will have a Director appointed by the Governor, and it will operate under the Government Operations Agency. The CDTFA will perform those statutory duties previously performed by the BOE, other than tax appeals, which will be assigned to the newly created Office of Tax Appeals effective January 1, 2018. The BOE will remain in existence, but it will be responsible only for those duties assigned to it by the California Constitution. The BOE will house the Board Members and their staff, together with those current BOE civil service employees who work in those programs still under the BOE's jurisdiction. All other BOE civil service employees will transition to the CDTFA. Both the CDTFA and the BOE will be funded in 2017-18 from the appropriations provided by the 0860 Budget Unit.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Centralized Revenue Opportunity System (CROS) Implementation Phase- Vacancy Redirection	\$-	\$-	-	\$16,569	\$12,074	55.4
• Cigarette and Tobacco Products Licensing Program	-	-	-	-	286	-
• Administration of the Board of Equalization	-	-	-	-	-	-
• Lead-Acid Battery Fee Implementation (AB 2153)- Vacancy Redirection	-	1,235	3.1	-177	412	1.8
• Proposition 64 - Adult Use Cannabis - Vacancy Redirection	-	1,100	1.9	-979	3,712	3.9
• Implementation of The California Healthcare Research and Prevention Tobacco Tax Act of 2016 (Proposition 56)- Vacancy Redirection	-	-	-	-2,605	4,605	3.7
Totals, Workload Budget Change Proposals	\$-	\$2,335	5.0	\$12,808	\$21,089	64.8
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$2,879	\$2,099	-	\$2,879	\$2,099	-
• Salary Adjustments	6,252	4,499	-	1,508	867	-
• Benefit Adjustments	699	496	-	400	260	-
• SWCAP	-	-	-	-	-4	-
• Pro Rata	-	-9,109	-	-	-9,109	-
• Miscellaneous Baseline Adjustments	-84	31,804	-	-84	31,575	-
Totals, Other Workload Budget Adjustments	\$9,746	\$29,789	-	\$4,703	\$25,688	-
Totals, Workload Budget Adjustments	\$9,746	\$32,124	5.0	\$17,511	\$46,777	64.8
Totals, Budget Adjustments	\$9,746	\$32,124	5.0	\$17,511	\$46,777	64.8

PROGRAM DESCRIPTIONS**0570025 - COUNTY ASSESSMENT STANDARDS PROGRAM**

This program carries out the BOE's constitutional and statutory responsibilities of ensuring that taxable properties are enrolled and assessed, providing consultation and services to the County Assessors and their staff. In addition, the Board

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0860 State Board of Equalization - Continued

through this program oversees the 58 County Assessors to validate property tax assessments conform with state law.

0570050 - STATE-ASSESSED PROPERTY PROGRAM

This program is responsible for valuing and assessing inter-county pipelines and properties owned or used by railroads, regulated telephone companies, companies transmitting or selling gas or electricity, and private railroad car companies. Local jurisdictions use the established values for the levy and collection of local property taxes. In addition, the program values and assesses private rail cars and collects the Private Rail Car Tax, which is deposited in the General Fund.

0570075 - TIMBER TAX PROGRAM

This program administers the timber yield tax by establishing the harvest value of timber and collecting a tax on the harvested timber.

0570100 - SALES AND USE TAX PROGRAM

This program ensures that all sales and use tax revenues are collected in an equitable and effective manner through accurate reporting of tax liability. This is accomplished by detecting and correcting errors in self-assessments, and promptly collecting amounts determined to be due and economically recoverable. Under this program, the BOE administers the following taxes: State Sales and Use Tax, Bradley-Burns Uniform Local Sales and Use Tax, and District Transactions and Use Tax (cities/counties).

0570125 - HAZARDOUS SUBSTANCES TAX PROGRAM

These programs provide revenue for the Hazardous Waste Control Account (HWCA) and the Toxic Substances Control Account (TSCA). The fees provide funding to the Department of Toxic Substances to regulate hazardous waste in California. Generators of hazardous waste, hazardous waste facilities and certain business organizations, as identified by statutes, are subject to the fees. The BOE administers the following fee programs in partnership with the department: Disposal Fee (HWCA), Facility Fee (HWCA), Generator Fee (HWCA), Transportable Treatment Unit Fee (HWCA), Activity Fee (HWCA), and Environmental Fee (TSCA).

0570150 - ALCOHOLIC BEVERAGE TAX PROGRAM

This program collects the excise tax imposed on the sale, distribution, or importation of alcoholic beverages in California.

0570175 - TIRE RECYCLING FEE PROGRAM

This program provides revenue for the California Tire Recycling Account in the California Tire Recycling Management Fund and Air Pollution Control Fund. The fee provides funding to reduce: air pollution, landfill disposal, and stockpiling of used tires. The BOE administers the program in partnership with the California Department of Resources Recycling and Recovery (CalRecycle) and the Air Resources Board.

0570200 - CIGARETTE AND TOBACCO PRODUCTS TAX PROGRAM

This program provides revenue for the Cigarette Tax Fund, Cigarette and Tobacco Products Surtax Fund, the Breast Cancer Fund, and the California Children and Families First Trust Fund. The program objective is to ensure that all cigarette and tobacco products tax revenues are collected equitably and effectively by ensuring timely reporting and payment of tax liabilities, detecting and correcting errors in reporting, and promptly collecting amounts determined to be due and economically recoverable.

0570225 - CIGARETTE AND TOBACCO PRODUCTS LICENSING PROGRAM

This program provides revenue for the Cigarette and Tobacco Products Compliance Fund, which is used to implement, enforce, and administer the provisions of the California Cigarette and Tobacco Licensing Act. The purpose of the Act is to reduce tax evasion, smuggling, and counterfeiting of cigarette and tobacco products and stamps. It requires statewide licensing of all distributors, wholesalers, importers, manufacturers, and retailers of cigarette and tobacco products.

0570250 - TRANSPORTATION FUND TAX PROGRAM

This program provides revenue for the Transportation Tax Fund. Allocations are made from the fund to the Aeronautics Account, Harbors and Watercraft Revolving Fund, Off-Highway Vehicle Trust Fund, Highway Users Tax Fund, and Department of Food and Agriculture Fund. The objective of this program is to ensure that the motor vehicle fuel, jet fuel, various use fuel, and diesel fuel taxes are administered equitably and effectively. Pursuant to the International Fuel Tax Agreement (IFTA), the BOE registers interstate truckers whose base state is California. Revenues from this program are used to construct and maintain public roads and mass transit systems, airports and waterways.

0570275 - OCCUPATIONAL LEAD POISONING PREVENTION FEE PROGRAM

This program provides revenue for the Occupational Lead Poisoning Prevention Account in the General Fund. The funds are used to establish and maintain occupational health and disease prevention programs. Employers in specified Standard Industrial Classification codes who employ 10 or more qualifying employees are subject to the fee. The BOE administers the program in partnership with the California Department of Public Health.

0860 State Board of Equalization - Continued**0570300 - INTEGRATED WASTE MANAGEMENT PROGRAM**

This program provides revenue for the Integrated Waste Management Account in the Integrated Waste Management Fund. The fees provide funding to respond to potential health and environmental problems at on-site and off-site solid waste landfills, and supports state and local landfill permit enforcement programs. Operators of solid waste disposal facilities that include non-hazardous wood waste facilities are subject to the fee. The BOE administers the program in partnership with CalRecycle.

0570325 - UNDERGROUND STORAGE TANK FEE PROGRAM

This program provides revenue for the Underground Storage Tank (UST) Cleanup Fund in the State Treasury. BOE collects a fee for each gallon of petroleum placed in USTs for the Petroleum Underground Storage Tank Financing Account. This revenue provides funding to monitor and regulate underground storage tanks containing petroleum, reimburse businesses for the cleanup of leaking USTs, and protect human health and the environment.

0570350 - OIL SPILL PREVENTION PROGRAM

This program provides revenue to the Department of Fish and Wildlife, which is deposited into the Oil Spill Prevention and Administration Fund. Fees are collected on all crude oil and petroleum products received in California via marine pipelines and terminals. The prevention and administration fees provide funding to implement oil spill prevention programs and reimburse the member agencies of the State Interagency Oil Spill Committee for costs arising from the implementation of this program. Funding is also provided to implement, install, and maintain emergency programs, equipment, and facilities to respond to, contain, and cleanup oil spills.

0570375 - ENERGY RESOURCES SURCHARGE PROGRAM

This program provides revenue for the Energy Resource Surcharge Fund and is used to support the State Energy Resources Conservation and Development Commission. The revenue is generated by administering a surcharge on consumers of electrical energy purchased from an electrical utility.

0570400 - ANNUAL WATER RIGHTS FEE PROGRAM

This program provides revenue for the Water Rights Fund. The fees provide funding to the State Water Resources Control Board to support the activities of its Division of Water Rights. The annual fee is collected from water right permit holders based on a schedule of fees adopted each fiscal year by the State Water Resources Control Board.

0570425 - CHILDHOOD LEAD POISONING PREVENTION FEE PROGRAM

This program provides revenue for the Childhood Lead Poisoning Prevention Fund. This program collects an annual fee from architectural coatings distributors, motor vehicle fuel distributors, and facilities currently reporting releases of lead into ambient air in California. This revenue supports the Childhood Lead Poisoning Prevention Fee Program administered by the California Department of Public Health, Childhood Lead Poisoning Prevention Branch.

0570450 - MARINE INVASIVE SPECIES PROGRAM

This program provides revenue for the Marine Invasive Species Control Fund and is often referred to as the Ballast Water Management Program. The fees provide funding to the California State Lands Commission to prevent or minimize the introduction and spread of non-indigenous aquatic species into California waters. Owners or operators of qualifying vessels carrying, or capable of carrying, ballast water from outside California are subject to the fee. The BOE administers the program in partnership with the Commission.

0570475 - FIRE PREVENTION FEE PROGRAM

This program provides revenue for the State Responsibility Area Fire Prevention Fund. The revenue is generated by administering an annual fire prevention fee charged on each habitable structure on a parcel that is within a state responsibility area. The revenues are to be used by the California Department of Forestry and Fire Protection for fire prevention activities within the State Responsibility Area which benefits those owners who are subject to the fire prevention fee.

0570500 - EMERGENCY TELEPHONE USERS SURCHARGE PROGRAM

This program provides revenue for the State Emergency Telephone Number Account. Revenue generated by this program funds the State's "911" emergency telephone system by administering a surcharge on intrastate telephone and Voice over Internet Protocol (VoIP) communication services.

0570525 - E-WASTE RECYCLING FEE PROGRAM

This program provides revenue for the Electronic Waste Recovery and Recycling Account in the Integrated Waste Management Fund. The fees provide funding for CalRecycle by imposing a recycling fee upon the retail sale or lease of new or refurbished video display devices identified by the Department of Toxic Substances as containing hazardous materials.

0570550 - LUMBER FEE PROGRAM

0860 State Board of Equalization - Continued

This program collects a one percent assessment on purchases of lumber products and engineered wood products for use in California.

0570575 - INSURANCE TAX PROGRAM

This program provides revenue to the Insurance Tax Fund. Revenue is generated from taxes assessed on insurance premiums, underwriting profits from ocean marine insurance, and retaliatory assessments levied on "foreign" insurers. The taxes collected are used to pay refunds or transferred to the General Fund.

0570600 - NATURAL GAS SURCHARGE PROGRAM

This program provides revenue to fund low-income assistance programs, cost effective energy efficiency programs, conservation activities, and public interest research and development. The revenue is generated by administering a surcharge on the consumption of all natural gas in California.

0570625 - APPEALS FROM OTHER GOVERNMENTAL PROGRAMS

This program reviews income tax assessments and corporation taxes and eligibility determinations made in administering the Senior Citizens Property Tax Assistance Program upon written request filed by a taxpayer. The program also acts as an impartial tribunal to hear and decide property tax assessment appeals by a local government (or one of its agencies) that owns taxable real property located outside its boundaries. Property owned by a local government, such as a city, and located outside its boundaries is subject to assessment by the county in which the property is located.

0570650 - PREPAID MOBILE TELEPHONY PROGRAM

This program provides revenue to the Prepaid Mobile Telephony Services (MTS) Surcharge Fund and the Local Charges for the Prepaid MTS Fund. The revenue funds the State Emergency Telephone Number Account, universal service funds and local funds. A surcharge is imposed on each consumer of prepaid mobile telephony services at the retail level.

0570675 - REGIONAL RAILROAD ACCIDENT PREPAREDNESS AND IMMEDIATE RESPONSE PROGRAM

This program provides revenue to the Governor's Office of Emergency Services, to prepare for and provide immediate onsite response related to a large-scale release of hazardous materials from a rail car or a railroad accident involving a rail car. The revenues are deposited into the Regional Railroad Accident Preparedness and Immediate Response Fund. Fees are collected on the top 25 most hazardous material commodities transported by rail car within California. The fee provides funding to pay for the planning, developing, support, and maintenance of various aspects of regional, state, and local emergency response programs, teams, specialized equipment, supplies, and training to prepare for such an occurrence.

0570700 - LEAD-ACID BATTERY RECYCLING FEE PROGRAM

This program provides revenue to the Lead-Acid Battery Cleanup Fund. The fee provides funding for investigation, site evaluation, cleanup, remediation, removal, monitoring, and other response actions for areas contaminated due to lead-acid battery recycling facility operations, as well as refund payments, loan repayments, and administrative costs. Lead-acid battery manufacturers and consumers are subject to the fees. The BOE administers the program in partnership with the California Department of Toxic Substances Control. This program creates the California Battery Fee and the Manufacturer Battery Fee.

0570725 - CANNABIS TAXES PROGRAM

This program imposes an excise tax on the retail purchase of medical and recreational cannabis and a cultivation tax on the dry weight of flowers and leaves of cannabis harvested for sale. The excise tax is imposed upon the purchaser of the cannabis and the retailer is required to collect and remit the tax. The cultivation tax is imposed upon the person harvesting cannabis that enters the commercial marketplace. These taxes provide funding for youth education and prevention programs, environmental mitigation efforts, law enforcement, and administration costs for numerous state agencies charged with licensing, regulating, and taxing the cultivation and sale of cannabis.

9900 - ADMINISTRATION

This program implements the policies and directives of the Board Members and provides direction, leadership, planning, and support services for all Board programs.

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
	PROGRAM REQUIREMENTS			
0570	ADMINISTRATION OF THE BOARD OF EQUALIZATION			
	State Operations:			
0001	General Fund	\$288,879	\$337,330	\$335,452
0004	Breast Cancer Fund	770	662	659

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0860 State Board of Equalization - Continued

		2015-16*	2016-17*	2017-18*
0022	State Emergency Telephone Number Account	1,125	1,792	1,675
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	27,351	29,073	28,263
0070	Occupational Lead Poisoning Prevention Account	888	852	833
0080	Childhood Lead Poisoning Prevention Fund	363	569	569
0230	Cigarette and Tobacco Products Surtax Fund	9,562	7,366	7,099
0320	Oil Spill Prevention and Administration Fund	367	653	607
0387	Integrated Waste Management Account, Integrated Waste Management Fund	541	567	567
0439	Underground Storage Tank Cleanup Fund	3,662	3,611	3,534
0465	Energy Resources Programs Account	222	314	325
0623	California Children and Families First Trust Fund	16,945	14,247	14,150
0890	Federal Trust Fund	82	437	245
0965	Timber Tax Fund	1,707	2,255	1,782
0995	Reimbursements	193,162	195,903	194,925
3015	Gas Consumption Surcharge Fund	713	993	934
3058	Water Rights Fund	458	487	483
3063	State Responsibility Area Fire Prevention Fund	8,914	7,566	7,492
3065	Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund	4,273	5,124	4,886
3067	Cigarette and Tobacco Products Compliance Fund	2,242	7,125	7,266
3212	Timber Regulation and Forest Restoration Fund	1,163	1,826	1,573
3251	Prepaid Mobile Telephony Services Surcharge Fund	2,021	2,159	1,082
3260	Regional Railroad Accident Preparedness and Immediate Response Fund	-	584	278
3270	Local Charges for Prepaid Mobile Telephony Service Fund	572	1,772	1,161
3288	Cannabis Control Fund	-	1,100	4,620
3301	Lead-Acid Battery Cleanup Fund	-	1,235	784
3304	California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	-	960
3308	Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	-	5,869
Totals, State Operations		\$565,982	\$625,602	\$628,073
Local Assistance:				
3270	Local Charges for Prepaid Mobile Telephony Service Fund	\$4,489	\$32,707	\$32,702
Totals, Local Assistance		\$4,489	\$32,707	\$32,702
SUBPROGRAM REQUIREMENTS				
0570025	County Assessment Standards Program			
State Operations:				
0001	General Fund	\$10,477	\$10,846	\$10,612
Totals, State Operations		\$10,477	\$10,846	\$10,612
SUBPROGRAM REQUIREMENTS				
0570050	State-Assessed Property Program			
State Operations:				
0001	General Fund	\$10,172	\$9,979	\$9,339
0995	Reimbursements	346	607	607

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0860 State Board of Equalization - Continued

		2015-16*	2016-17*	2017-18*
	Totals, State Operations	\$10,518	\$10,586	\$9,946
	SUBPROGRAM REQUIREMENTS			
0570075	Timber Tax Program			
	State Operations:			
0965	Timber Tax Fund	\$1,707	\$2,255	\$1,782
	Totals, State Operations	\$1,707	\$2,255	\$1,782
	SUBPROGRAM REQUIREMENTS			
0570100	Sales and Use Tax Program			
	State Operations:			
0001	General Fund	\$257,318	\$306,284	\$305,304
0995	Reimbursements	185,582	187,551	186,688
	Totals, State Operations	\$442,900	\$493,835	\$491,992
	SUBPROGRAM REQUIREMENTS			
0570125	Hazardous Substances Tax Program			
	State Operations:			
0995	Reimbursements	4,883	5,370	5,293
	Totals, State Operations	\$4,883	\$5,370	\$5,293
	SUBPROGRAM REQUIREMENTS			
0570150	Alcoholic Beverage Tax Program			
	State Operations:			
0001	General Fund	\$2,589	\$3,103	\$3,117
	Totals, State Operations	\$2,589	\$3,103	\$3,117
	SUBPROGRAM REQUIREMENTS			
0570175	Tire Recycling Fee Program			
	State Operations:			
0995	Reimbursements	1,739	1,857	1,842
	Totals, State Operations	\$1,739	\$1,857	\$1,842
	SUBPROGRAM REQUIREMENTS			
0570200	Cigarette and Tobacco Products Tax Program			
	State Operations:			
0001	General Fund	\$3,522	\$3,933	\$3,913
0004	Breast Cancer Fund	584	596	593
0230	Cigarette and Tobacco Products Surtax Fund	7,224	6,549	6,282
0623	California Children and Families First Trust Fund	12,266	12,611	12,527
3304	California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	-	960
3308	Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	-	5,869
	Totals, State Operations	\$23,596	\$23,689	\$30,144
	SUBPROGRAM REQUIREMENTS			
0570225	Cigarette and Tobacco Products Licensing Program			
	State Operations:			
0001	General Fund	\$928	\$361	\$361
0004	Breast Cancer Fund	186	66	66
0230	Cigarette and Tobacco Products Surtax Fund	2,338	817	817
0623	California Children and Families First Trust Fund	4,679	1,636	1,623

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0860 State Board of Equalization - Continued

		<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
3067	Cigarette and Tobacco Products Compliance Fund	<u>2,242</u>	<u>7,125</u>	<u>7,266</u>
	Totals, State Operations	\$10,373	\$10,005	\$10,133
	SUBPROGRAM REQUIREMENTS			
0570250	Transportation Fund Tax Program			
	State Operations:			
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	\$27,351	\$29,073	\$28,263
0890	Federal Trust Fund	<u>82</u>	<u>437</u>	<u>245</u>
	Totals, State Operations	\$27,433	\$29,510	\$28,508
	SUBPROGRAM REQUIREMENTS			
0570275	Occupational Lead Poisoning Prevention Fee Program			
	State Operations:			
0070	Occupational Lead Poisoning Prevention Account	<u>\$888</u>	<u>\$852</u>	<u>\$833</u>
	Totals, State Operations	\$888	\$852	\$833
	SUBPROGRAM REQUIREMENTS			
0570300	Integrated Waste Management Program			
	State Operations:			
0387	Integrated Waste Management Account, Integrated Waste Management Fund	<u>\$541</u>	<u>\$567</u>	<u>\$567</u>
	Totals, State Operations	\$541	\$567	\$567
	SUBPROGRAM REQUIREMENTS			
0570325	Underground Storage Tank Fee Program			
	State Operations:			
0439	Underground Storage Tank Cleanup Fund	<u>\$3,662</u>	<u>\$3,611</u>	<u>\$3,534</u>
	Totals, State Operations	\$3,662	\$3,611	\$3,534
	SUBPROGRAM REQUIREMENTS			
0570350	Oil Spill Prevention Program			
	State Operations:			
0320	Oil Spill Prevention and Administration Fund	<u>\$367</u>	<u>\$653</u>	<u>\$607</u>
	Totals, State Operations	\$367	\$653	\$607
	SUBPROGRAM REQUIREMENTS			
0570375	Energy Resources Surcharge Program			
	State Operations:			
0465	Energy Resources Programs Account	<u>\$222</u>	<u>\$314</u>	<u>\$325</u>
	Totals, State Operations	\$222	\$314	\$325
	SUBPROGRAM REQUIREMENTS			
0570400	Annual Water Rights Fee Program			
	State Operations:			
3058	Water Rights Fund	<u>\$458</u>	<u>\$487</u>	<u>\$483</u>
	Totals, State Operations	\$458	\$487	\$483
	SUBPROGRAM REQUIREMENTS			
0570425	Childhood Lead Poisoning Prevention Fee Program			
	State Operations:			
0080	Childhood Lead Poisoning Prevention Fund	<u>\$363</u>	<u>\$569</u>	<u>\$569</u>
	Totals, State Operations	\$363	\$569	\$569
	SUBPROGRAM REQUIREMENTS			
0570450	Marine Invasive Species Program			

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0860 State Board of Equalization - Continued

		<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
	State Operations:			
0995	Reimbursements	<u>318</u>	<u>518</u>	<u>495</u>
	Totals, State Operations	\$318	\$518	\$495
	SUBPROGRAM REQUIREMENTS			
0570475	Fire Prevention Fee Program			
	State Operations:			
3063	State Responsibility Area Fire Prevention Fund	<u>\$8,914</u>	<u>\$7,566</u>	<u>\$7,492</u>
	Totals, State Operations	\$8,914	\$7,566	\$7,492
	SUBPROGRAM REQUIREMENTS			
0570500	Emergency Telephone Users Surcharge Program			
	State Operations:			
0022	State Emergency Telephone Number Account	<u>\$1,125</u>	<u>\$1,792</u>	<u>\$1,675</u>
	Totals, State Operations	\$1,125	\$1,792	\$1,675
	SUBPROGRAM REQUIREMENTS			
0570525	E-Waste Recycling Fee Program			
	State Operations:			
3065	Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund	<u>\$4,273</u>	<u>\$5,124</u>	<u>\$4,886</u>
	Totals, State Operations	\$4,273	\$5,124	\$4,886
	SUBPROGRAM REQUIREMENTS			
0570550	Lumber Fee Program			
	State Operations:			
3212	Timber Regulation and Forest Restoration Fund	<u>1,163</u>	<u>1,826</u>	<u>1,573</u>
	Totals, State Operations	\$1,163	\$1,826	\$1,573
	SUBPROGRAM REQUIREMENTS			
0570575	Insurance Tax Program			
	State Operations:			
0001	General Fund	<u>\$341</u>	<u>\$342</u>	<u>\$336</u>
	Totals, State Operations	\$341	\$342	\$336
	SUBPROGRAM REQUIREMENTS			
0570600	Natural Gas Surcharge Program			
	State Operations:			
3015	Gas Consumption Surcharge Fund	<u>\$713</u>	<u>\$993</u>	<u>\$934</u>
	Totals, State Operations	\$713	\$993	\$934
	SUBPROGRAM REQUIREMENTS			
0570625	Appeals from Other Governmental Programs			
	State Operations:			
0001	General Fund	<u>\$3,532</u>	<u>\$2,482</u>	<u>\$2,470</u>
	Totals, State Operations	\$3,532	\$2,482	\$2,470
	SUBPROGRAM REQUIREMENTS			
0570650	Prepaid Mobile Telephony Program			
	State Operations:			
3251	Prepaid Mobile Telephony Services Surcharge Fund	<u>\$2,021</u>	<u>\$2,159</u>	<u>\$1,082</u>
3270	Local Charges for Prepaid Mobile Telephony Service Fund	<u>572</u>	<u>1,772</u>	<u>1,161</u>
	Totals, State Operations	\$2,593	\$3,931	\$2,243
	Local Assistance:			

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0860 State Board of Equalization - Continued

		<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
3270	Local Charges for Prepaid Mobile Telephony Service Fund	\$4,489	\$32,707	\$32,702
	Totals, Local Assistance	\$4,489	\$32,707	\$32,702
	SUBPROGRAM REQUIREMENTS			
0570675	Regional Railroad Accident Preparedness and Immediate Response Program			
	State Operations:			
0995	Reimbursements	294	-	-
3260	Regional Railroad Accident Preparedness and Immediate Response Fund	-	584	278
	Totals, State Operations	\$294	\$584	\$278
	SUBPROGRAM REQUIREMENTS			
0570700	Lead-Acid Battery Cleanup Fee Program			
	State Operations:			
3301	Lead-Acid Battery Cleanup Fund	\$-	\$1,235	\$784
	Totals, State Operations	\$-	\$1,235	\$784
	SUBPROGRAM REQUIREMENTS			
0570725	Cannabis Taxes Program			
	State Operations:			
3288	Cannabis Control Fund	\$-	\$1,100	\$4,620
	Totals, State Operations	\$-	\$1,100	\$4,620
	PROGRAM REQUIREMENTS			
9900	ADMINISTRATION - TOTAL			
	State Operations:			
0995	Reimbursements	41	417	417
	Totals, State Operations	\$41	\$417	\$417
	SUBPROGRAM REQUIREMENTS			
9900100	Administration			
	State Operations:			
0001	General Fund	-\$216	\$65,939	\$65,853
0004	Breast Cancer Fund	1	-	-
0022	State Emergency Telephone Number Account	6	-	-
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	65	-	-
0070	Occupational Lead Poisoning Prevention Account	2	-	-
0080	Childhood Lead Poisoning Prevention Fund	1	-	-
0230	Cigarette and Tobacco Products Surtax Fund	12	-	-
0320	Oil Spill Prevention and Administration Fund	1	-	-
0387	Integrated Waste Management Account, Integrated Waste Management Fund	1	-	-
0439	Underground Storage Tank Cleanup Fund	9	-	-
0623	California Children and Families First Trust Fund	25	-	-
0965	Timber Tax Fund	3	-	-
0995	Reimbursements	41	417	417
3015	Gas Consumption Surcharge Fund	1	-	-
3058	Water Rights Fund	1	-	-
3063	State Responsibility Area Fire Prevention Fund	45	-	-
3065	Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund	9	-	-

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0860 State Board of Equalization - Continued

		2015-16*	2016-17*	2017-18*
3067	Cigarette and Tobacco Products Compliance Fund	31	-	-
3212	Timber Regulation and Forest Restoration Fund	1	-	-
3251	Prepaid Mobile Telephony Services Surcharge Fund	1	-	-
3270	Local Charges for Prepaid Mobile Telephony Service Fund	1	-	-
	Totals, State Operations	\$41	\$66,356	\$66,270
	SUBPROGRAM REQUIREMENTS			
9900200	Administration - Distributed			
	State Operations:			
0001	General Fund	\$216	-\$65,939	-\$65,853
0004	Breast Cancer Fund	-1	-	-
0022	State Emergency Telephone Number Account	-6	-	-
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	-65	-	-
0070	Occupational Lead Poisoning Prevention Account	-2	-	-
0080	Childhood Lead Poisoning Prevention Fund	-1	-	-
0230	Cigarette and Tobacco Products Surtax Fund	-12	-	-
0320	Oil Spill Prevention and Administration Fund	-1	-	-
0387	Integrated Waste Management Account, Integrated Waste Management Fund	-1	-	-
0439	Underground Storage Tank Cleanup Fund	-9	-	-
0623	California Children and Families First Trust Fund	-25	-	-
0965	Timber Tax Fund	-3	-	-
3015	Gas Consumption Surcharge Fund	-1	-	-
3058	Water Rights Fund	-1	-	-
3063	State Responsibility Area Fire Prevention Fund	-45	-	-
3065	Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund	-9	-	-
3067	Cigarette and Tobacco Products Compliance Fund	-31	-	-
3212	Timber Regulation and Forest Restoration Fund	-1	-	-
3251	Prepaid Mobile Telephony Services Surcharge Fund	-1	-	-
3270	Local Charges for Prepaid Mobile Telephony Service Fund	-1	-	-
	Totals, State Operations	\$-	-\$65,939	-\$65,853
	TOTALS, EXPENDITURES			
	State Operations	566,023	626,019	628,490
	Local Assistance	4,489	32,707	32,702
	Totals, Expenditures	\$570,512	\$658,726	\$661,192

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	4,622.1	4,715.6	4,665.1	\$306,224	\$310,588	\$304,388
Total Adjustments	-383.3	5.0	64.8	-5,432	11,250	14,986
Net Totals, Salaries and Wages	4,238.8	4,720.6	4,729.9	\$300,792	\$321,838	\$319,374
Staff Benefits	-	-	-	143,942	157,967	158,152
Totals, Personal Services	4,238.8	4,720.6	4,729.9	\$444,734	\$479,805	\$477,526

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0860 State Board of Equalization - Continued

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
OPERATING EXPENSES AND EQUIPMENT				\$121,289	\$146,214	\$150,964
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$566,023	\$626,019	\$628,490

2 Local Assistance	Expenditures		
	2015-16*	2016-17*	2017-18*
Grants and Subventions - Governmental	\$4,489	\$32,707	\$32,702
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$4,489	\$32,707	\$32,702

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$489,600	\$327,584	\$323,452
Adjustment for Employee Compensation	-	358	-
Adjustment for Staff Benefits	-	66	-
Allocation for Employee Compensation	-	5,894	-
Allocation for Staff Benefits	-	633	-
Allocation for employee compensation and staff benefits	10,675	-	-
CalATERS Funding Removal	-	-84	-
Lease Revenue Debt Service Adjustment	-29	-	-
Map Reimbursable Activities to New Item	-194,791	-	-
Regional Railroad Accident Preparedness and Immediate Response Program	821	-	-
Section 3.60 Pension Contribution Adjustment	2,367	2,879	-
002 Budget Act appropriation	-	-	12,000
Totals Available	\$308,643	\$337,330	\$335,452
Unexpended balance, estimated savings	-19,764	-	-
TOTALS, EXPENDITURES	\$288,879	\$337,330	\$335,452
0004 Breast Cancer Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$819	\$722	\$659
Allocation for Employee Compensation	-	5	-
Allocation for Staff Benefits	-	1	-
Allocation for employee compensation and staff benefits	6	-	-
Pro Rata Assessments Removal	-	-68	-
Section 3.60 Pension Contribution Adjustment	1	2	-
Totals Available	\$826	\$662	\$659
Unexpended balance, estimated savings	-56	-	-
TOTALS, EXPENDITURES	\$770	\$662	\$659
0022 State Emergency Telephone Number Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,742	\$1,909	\$1,675
Allocation for Employee Compensation	-	30	-
Allocation for Staff Benefits	-	3	-

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0860 State Board of Equalization - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Allocation for employee compensation and staff benefits	34	-	-
Pro Rata Assessments Removal	-	-164	-
Section 3.60 Pension Contribution Adjustment	7	14	-
Totals Available	\$1,783	\$1,792	\$1,675
Unexpended balance, estimated savings	-658	-	-
TOTALS, EXPENDITURES	\$1,125	\$1,792	\$1,675
0061 Motor Vehicle Fuel Account, Transportation Tax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$28,591	\$31,287	\$28,263
Allocation for Employee Compensation	-	473	-
Allocation for Staff Benefits	-	52	-
Allocation for employee compensation and staff benefits	441	-	-
CalATERS Funding Removal	-	-3	-
Pro Rata Assessments Removal	-	-2,951	-
Section 3.60 Pension Contribution Adjustment	90	215	-
Totals Available	\$29,122	\$29,073	\$28,263
Unexpended balance, estimated savings	-1,771	-	-
TOTALS, EXPENDITURES	\$27,351	\$29,073	\$28,263
0070 Occupational Lead Poisoning Prevention Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$869	\$901	\$833
Allocation for Employee Compensation	-	24	-
Allocation for Staff Benefits	-	3	-
Allocation for employee compensation and staff benefits	19	-	-
Pro Rata Assessments Removal	-	-87	-
Section 3.60 Pension Contribution Adjustment	4	11	-
Totals Available	\$892	\$852	\$833
Unexpended balance, estimated savings	-4	-	-
TOTALS, EXPENDITURES	\$888	\$852	\$833
0080 Childhood Lead Poisoning Prevention Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$616	\$629	\$569
Allocation for employee compensation and staff benefits	12	-	-
Pro Rata Assessments Removal	-	-62	-
Section 3.60 Pension Contribution Adjustment	3	2	-
Totals Available	\$631	\$569	\$569
Unexpended balance, estimated savings	-268	-	-
TOTALS, EXPENDITURES	\$363	\$569	\$569
0230 Cigarette and Tobacco Products Surtax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$10,154	\$8,954	\$7,099
Adjustment for Employee Compensation	-	2	-
Adjustment for Staff Benefits	-	1	-
Allocation for Employee Compensation	-	65	-
Allocation for Staff Benefits	-	7	-
Allocation for employee compensation and staff benefits	82	-	-
CalATERS Funding Removal	-	-1	-
Pro Rata Assessments Removal	-	-846	-

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0860 State Board of Equalization - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Section 3.60 Pension Contribution Adjustment	18	30	-
Totals Available	\$10,254	\$8,212	\$7,099
Unexpended balance, estimated savings	-692	-846	-
TOTALS, EXPENDITURES	\$9,562	\$7,366	\$7,099
0320 Oil Spill Prevention and Administration Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$671	\$710	\$607
Allocation for employee compensation and staff benefits	14	-	-
Pro Rata Assessments Removal	-	-62	-
Section 3.60 Pension Contribution Adjustment	3	5	-
Totals Available	\$688	\$653	\$607
Unexpended balance, estimated savings	-321	-	-
TOTALS, EXPENDITURES	\$367	\$653	\$607
0387 Integrated Waste Management Account, Integrated Waste Management Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$575	\$611	\$567
Allocation for Employee Compensation	-	10	-
Allocation for Staff Benefits	-	1	-
Allocation for employee compensation and staff benefits	12	-	-
Pro Rata Assessments Removal	-	-59	-
Section 3.60 Pension Contribution Adjustment	3	4	-
Totals Available	\$590	\$567	\$567
Unexpended balance, estimated savings	-49	-	-
TOTALS, EXPENDITURES	\$541	\$567	\$567
0439 Underground Storage Tank Cleanup Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,627	\$3,840	\$3,534
Allocation for Employee Compensation	-	98	-
Allocation for Staff Benefits	-	10	-
Allocation for employee compensation and staff benefits	64	-	-
Pro Rata Assessments Removal	-	-364	-
Section 3.60 Pension Contribution Adjustment	14	27	-
Totals Available	\$3,705	\$3,611	\$3,534
Unexpended balance, estimated savings	-43	-	-
TOTALS, EXPENDITURES	\$3,662	\$3,611	\$3,534
0465 Energy Resources Programs Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$308	\$338	\$325
Allocation for Employee Compensation	-	5	-
Allocation for Staff Benefits	-	1	-
Allocation for employee compensation and staff benefits	6	-	-
Pro Rata Assessments Removal	-	-32	-
Section 3.60 Pension Contribution Adjustment	1	2	-
Totals Available	\$315	\$314	\$325
Unexpended balance, estimated savings	-93	-	-
TOTALS, EXPENDITURES	\$222	\$314	\$325
0623 California Children and Families First Trust Fund			
APPROPRIATIONS			

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0860 State Board of Equalization - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
001 Budget Act appropriation	\$17,939	\$15,506	\$14,150
Adjustment for Employee Compensation	-	3	-
Adjustment for Staff Benefits	-	1	-
Allocation for Employee Compensation	-	129	-
Allocation for Staff Benefits	-	14	-
Allocation for employee compensation and staff benefits	163	-	-
CalATERS Funding Removal	-	-1	-
Pro Rata Assessments Removal	-	-1,465	-
Section 3.60 Pension Contribution Adjustment	36	60	-
Totals Available	\$18,138	\$14,247	\$14,150
Unexpended balance, estimated savings	-1,193	-	-
TOTALS, EXPENDITURES	\$16,945	\$14,247	\$14,150
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$435	\$437	\$245
Totals Available	\$435	\$437	\$245
Unexpended balance, estimated savings	-353	-	-
TOTALS, EXPENDITURES	\$82	\$437	\$245
0965 Timber Tax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,468	\$2,498	\$1,782
Allocation for employee compensation and staff benefits	23	-	-
Pro Rata Assessments Removal	-	-245	-
Section 3.60 Pension Contribution Adjustment	3	2	-
Totals Available	\$2,494	\$2,255	\$1,782
Unexpended balance, estimated savings	-787	-	-
TOTALS, EXPENDITURES	\$1,707	\$2,255	\$1,782
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$193,203	\$196,320	\$195,342
TOTALS, EXPENDITURES	\$193,203	\$196,320	\$195,342
3015 Gas Consumption Surcharge Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$871	\$1,066	\$934
Allocation for Employee Compensation	-	13	-
Allocation for Staff Benefits	-	1	-
Allocation for employee compensation and staff benefits	15	-	-
Pro Rata Assessments Removal	-	-93	-
Section 3.60 Pension Contribution Adjustment	3	6	-
Totals Available	\$889	\$993	\$934
Unexpended balance, estimated savings	-176	-	-
TOTALS, EXPENDITURES	\$713	\$993	\$934
3058 Water Rights Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$504	\$524	\$483
Allocation for Employee Compensation	-	9	-
Allocation for Staff Benefits	-	1	-
Allocation for employee compensation and staff benefits	10	-	-

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0860 State Board of Equalization - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Pro Rata Assessments Removal	-	-51	-
Section 3.60 Pension Contribution Adjustment	2	4	-
Totals Available	\$516	\$487	\$483
Unexpended balance, estimated savings	-58	-	-
TOTALS, EXPENDITURES	\$458	\$487	\$483
3063 State Responsibility Area Fire Prevention Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$8,697	\$8,501	\$7,492
Allocation for Employee Compensation	-	116	-
Allocation for Staff Benefits	-	13	-
Allocation for employee compensation and staff benefits	294	-	-
CalATERS Funding Removal	-	-1	-
Pro Rata Assessments Removal	-	-1,114	-
Section 3.60 Pension Contribution Adjustment	77	51	-
Totals Available	\$9,068	\$7,566	\$7,492
Unexpended balance, estimated savings	-154	-	-
TOTALS, EXPENDITURES	\$8,914	\$7,566	\$7,492
3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,344	\$5,521	\$4,886
Allocation for Employee Compensation	-	90	-
Allocation for Staff Benefits	-	10	-
Allocation for employee compensation and staff benefits	66	-	-
Pro Rata Assessments Removal	-	-539	-
Section 3.60 Pension Contribution Adjustment	13	42	-
Totals Available	\$5,423	\$5,124	\$4,886
Unexpended balance, estimated savings	-1,150	-	-
TOTALS, EXPENDITURES	\$4,273	\$5,124	\$4,886
3067 Cigarette and Tobacco Products Compliance Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,985	\$7,512	\$7,266
Adjustment for Employee Compensation	-	2	-
Adjustment for Staff Benefits	-	1	-
Allocation for Employee Compensation	-	162	-
Allocation for Staff Benefits	-	17	-
Allocation for employee compensation and staff benefits	211	-	-
CalATERS Funding Removal	-	-1	-
Pro Rata Assessments Removal	-	-662	-
Section 3.60 Pension Contribution Adjustment	46	94	-
TOTALS, EXPENDITURES	\$2,242	\$7,125	\$7,266
3212 Timber Regulation and Forest Restoration Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,239	\$2,069	\$1,573
Allocation for employee compensation and staff benefits	19	-	-
Pro Rata Assessments Removal	-	-245	-
Section 3.60 Pension Contribution Adjustment	3	2	-
Totals Available	\$2,261	\$1,826	\$1,573

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0860 State Board of Equalization - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Unexpended balance, estimated savings	-1,098	-	-
TOTALS, EXPENDITURES	\$1,163	\$1,826	\$1,573
3251 Prepaid Mobile Telephony Services Surcharge Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,358	\$2,089	\$1,082
Allocation for Employee Compensation	-	55	-
Allocation for Staff Benefits	-	6	-
Allocation for employee compensation and staff benefits	11	-	-
Section 3.60 Pension Contribution Adjustment	2	9	-
Totals Available	\$2,371	\$2,159	\$1,082
Unexpended balance, estimated savings	-350	-	-
TOTALS, EXPENDITURES	\$2,021	\$2,159	\$1,082
3260 Regional Railroad Accident Preparedness and Immediate Response Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$579	\$278
Allocation for Employee Compensation	-	4	-
Section 3.60 Pension Contribution Adjustment	-	1	-
TOTALS, EXPENDITURES	\$-	\$584	\$278
3270 Local Charges for Prepaid Mobile Telephony Service Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,265	\$1,759	\$1,161
Allocation for employee compensation and staff benefits	15	-	-
Section 3.60 Pension Contribution Adjustment	3	13	-
Totals Available	\$3,283	\$1,772	\$1,161
Unexpended balance, estimated savings	-2,711	-	-
TOTALS, EXPENDITURES	\$572	\$1,772	\$1,161
3288 Cannabis Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$4,620
Proposition 64 - Adult Use Cannabis - Vacancy Redirection	-	1,100	-
TOTALS, EXPENDITURES	\$-	\$1,100	\$4,620
3301 Lead-Acid Battery Cleanup Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$784
Lead-Acid Battery Fee Implementation (AB 2153)- Vacancy Redirection	-	1,235	-
TOTALS, EXPENDITURES	\$-	\$1,235	\$784
3304 California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$960
TOTALS, EXPENDITURES	\$-	\$-	\$960
3308 Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$5,869
TOTALS, EXPENDITURES	\$-	\$-	\$5,869
Total Expenditures, All Funds, (State Operations)	\$566,023	\$626,019	\$628,490

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0860 State Board of Equalization - Continued

2 LOCAL ASSISTANCE	2015-16*	2016-17*	2017-18*
3270 Local Charges for Prepaid Mobile Telephony Service Fund			
APPROPRIATIONS			
Revenue and Taxation Code section 42103(b)	-	-	\$32,702
MTS Local Assistance per Revenue and Taxation Code Section 42103(b)	-	32,707	-
Past Year Adjustments	4,489	-	-
TOTALS, EXPENDITURES	\$4,489	\$32,707	\$32,702
Total Expenditures, All Funds, (Local Assistance)	\$4,489	\$32,707	\$32,702
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$570,512	\$658,726	\$661,192

FUND CONDITION STATEMENTS

	2015-16*	2016-17*	2017-18*
0965 Timber Tax Fund ^N			
BEGINNING BALANCE	\$2,227	\$2,253	\$250
Prior Year Adjustments	1,102	-	-
Adjusted Beginning Balance	\$3,329	\$2,253	\$250
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4153000 Sale of Natural Resources	7,412	7,412	7,412
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	7	-	-
Total Revenues, Transfers, and Other Adjustments	\$7,419	\$7,412	\$7,412
Total Resources	\$10,748	\$9,665	\$7,662
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0860 State Board of Equalization (State Operations)	1,707	2,255	1,782
8880 Financial Information System for California (State Operations)	4	3	4
9350 Shared Revenues (Local Assistance)	6,784	6,912	4,864
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	245	261
Total Expenditures and Expenditure Adjustments	\$8,495	\$9,415	\$6,911
FUND BALANCE	\$2,253	\$250	\$751
Reserve for economic uncertainties	2,253	250	751
3067 Cigarette and Tobacco Products Compliance Fund ^S			
BEGINNING BALANCE	\$8,923	\$8,481	\$6,559
Prior Year Adjustments	-76	-	-
Adjusted Beginning Balance	\$8,847	\$8,481	\$6,559
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129000 Other Fees and Licenses	1,861	5,850	11,708
4171100 Cost Recoveries - Other	3	3	3
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	15	15	15
Total Revenues, Transfers, and Other Adjustments	\$1,879	\$5,868	\$11,726
Total Resources	\$10,726	\$14,349	\$18,285
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0860 State Board of Equalization (State Operations)	2,242	7,125	7,266
8880 Financial Information System for California (State Operations)	3	3	10
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	662	296

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0860 State Board of Equalization - Continued

	2015-16*	2016-17*	2017-18*
Total Expenditures and Expenditure Adjustments	\$2,245	\$7,790	\$7,572
FUND BALANCE	\$8,481	\$6,559	\$10,713
Reserve for economic uncertainties	8,481	6,559	10,713
3251 Prepaid Mobile Telephony Services Surcharge Fund^s			
BEGINNING BALANCE	-	\$77	-\$61
Prior Year Adjustments	\$13	-	-
Adjusted Beginning Balance	\$13	\$77	-\$61
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	2,085	22,704	22,704
Transfers and Other Adjustments			
Revenue Transfer from Prepaid Mobile Telephony Services Surcharge Fund (3251) to Local Charges for Prepaid Mobile Telephony Services Fund (3270)	-	-2,762	-2,803
Revenue Transfer from Prepaid Mobile Telephony Services Surcharge Fund (3251) to Prepaid MTS 911 Account (3266) per Revenue and Taxation Code Section 42023(a)	-	-1,451	-1,486
Revenue Transfer from Prepaid Mobile Telephony Services Surcharge Fund (3251) to Prepaid MTS PUC Account (3265) per Revenue and Taxation Code Section 42023(a)	-	-16,467	-16,864
Total Revenues, Transfers, and Other Adjustments	\$2,085	\$2,024	\$1,551
Total Resources	\$2,098	\$2,101	\$1,490
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0860 State Board of Equalization (State Operations)	2,021	2,159	1,082
8880 Financial Information System for California (State Operations)	-	3	4
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	-	472
Total Expenditures and Expenditure Adjustments	\$2,021	\$2,162	\$1,558
FUND BALANCE	\$77	-\$61	-\$68
Reserve for economic uncertainties	77	-61	-68
3270 Local Charges for Prepaid Mobile Telephony Service Fund^s			
BEGINNING BALANCE	-	-	-
Prior Year Adjustments	\$14	-	-
Adjusted Beginning Balance	\$14	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	5,047	\$31,721	\$31,721
Transfers and Other Adjustments			
Revenue Transfer from Prepaid Mobile Telephony Services Surcharge Fund (3251) to Local Charges for Prepaid Mobile Telephony Services Fund (3270)	-	2,762	2,803
Total Revenues, Transfers, and Other Adjustments	\$5,047	\$34,483	\$34,524
Total Resources	\$5,061	\$34,483	\$34,524
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0860 State Board of Equalization (State Operations)	572	1,772	1,161
0860 State Board of Equalization (Local Assistance)	4,489	32,707	32,702
8880 Financial Information System for California (State Operations)	-	4	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	-	653

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0860 State Board of Equalization - Continued

	2015-16*	2016-17*	2017-18*
Total Expenditures and Expenditure Adjustments	\$5,061	\$34,483	\$34,516
FUND BALANCE	-	-	\$8
Reserve for economic uncertainties	-	-	8

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	4,622.1	4,715.6	4,665.1	\$306,224	\$310,588	\$304,388
Salary and Other Adjustments	-383.3	-	-	-5,432	10,751	2,375
Workload and Administrative Adjustments						
Centralized Revenue Opportunity System (CROS) Implementation Phase- Vacancy Redirection						
	-	-	-	-	-	-
Bus Taxes Administrator II	-	-	1.0	-	-	85
	-	-	-	-	-	-
Bus Taxes Spec I	-	-	1.0	-	-	75
Bus Taxes Spec II	-	-	2.0	-	-	162
Bus Taxes Spec III	-	-	1.0	-	-	93
Dp Mgr II	-	-	1.0	-	-	85
Office Techn (Typing)	-	-	1.0	-	-	38
Overtime	-	-	-	-	-	6,768
Sr Info Sys Analyst (Spec)	-	-	4.0	-	-	324
Sr Info Sys Analyst (Supvr)	-	-	1.0	-	-	85
Staff Info Sys Analyst (Spec)	-	-	2.0	-	-	147
Sys Software Spec II (Tech)	-	-	6.0	-	-	484
Tax Auditor	-	-	-1.0	-	-	-51
Temporary Help	-	-	36.4	-	-	2,636
Various	-	-	-	-	-	312
Cigarette and Tobacco Products Licensing Program						
Overtime	-	-	-	-	-	200
Implementation of The California Healthcare Research and Prevention Tobacco Tax Act of 2016 (Proposition 56)- Vacancy Redirection						
Accounting Administrator I (Spec) (Limited Term 06-30-2020)	-	-	0.5	-	-	34
Assoc Accounting Analyst (Limited Term 06-30-2020)	-	-	0.5	-	-	33
Assoc Govtl Program Analyst (Limited Term 06-30-2020)	-	-	-1.0	-	-	-64
Assoc Info Sys Analyst (Supvr)	-	-	-1.0	-	-	-67
Assoc Prop Appraiser (Limited Term 06-30-2020)	-	-	-1.0	-	-	-65
Assoc Tax Auditor (Limited Term 06-30-2020)	-	-	-7.0	-	-	-469
Bus Taxes Compliance Spec (Limited Term 06-30-2020)	-	-	8.0	-	-	520

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0860 State Board of Equalization - Continued

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Bus Taxes Rep (Limited Term 06-30-2020)	-	-	0.5	-	-	25
Bus Taxes Spec I (Limited Term 06-30-2020)	-	-	10.5	-	-	791
Bus Taxes Spec II (Limited Term 06-30-2020)	-	-	1.0	-	-	81
Bus Taxes Spec III (Limited Term 06-30-2020)	-	-	2.0	-	-	223
C.E.A. (Limited Term 06-30-2019)	-	-	-1.0	-	-	-97
Office Asst (Gen) (Limited Term 04-30-2018)	-	-	0.8	-	-	23
Office Techn (Typing) (Limited Term 06-30-2020)	-	-	-1.8	-	-	-76
Overtime	-	-	-	-	-	83
Personnel Spec (Limited Term 06-30-2020)	-	-	0.5	-	-	23
Staff Svcs Analyst (Gen)	-	-	-	-	-	5
Supvng Tax Auditor II (Limited Term 06-30-2020)	-	-	-1.0	-	-	-94
Tax Auditor (Limited Term 06-30-2019)	-	-	-6.0	-	-	-332
Tax Counsel III (Spec) (Limited Term 06-30-2019)	-	-	1.0	-	-	109
Tax Techn I (Limited Term 01-30-2018)	-	-	-0.5	-	-	-34
Tax Techn II (Limited Term 06-30-2020)	-	-	-4.7	-	-	-176
	-	-	-	-	-	-
Temporary Help	-	-	3.4	-	-	186
Lead-Acid Battery Fee Implementation						
(AB 2153)- Vacancy Redirection						
Accounting Administrator I (Spec)	-	-	-1.0	-	-	-68
Assoc Accounting Analyst	-	0.8	1.0	-	49	65
Asst Info Sys Analyst	-	-	-1.0	-	-	-51
Bus Taxes Administrator III	-	-	-0.5	-	-	-53
	-	-	-	-	-	-
Bus Taxes Spec I (Limited Term 09-30-2017)	-	2.0	0.8	-	142	57
Overtime	-	-	-	-	121	118
Tax Techn I	-	-	1.0	-	-	34
Temporary Help	-	0.5	1.5	-	16	46
Proposition 64 - Adult Use Cannabis -						
Vacancy Redirection						
Accounting Administrator I (Spec) (Limited Term 06-30-2020)	-	-	1.0	-	-	68
Assoc Govtl Program Analyst (Limited Term 06-30-2019)	-	-	-0.7	-	-	-36
Bus Taxes Administrator III (Limited Term 06-30-2018)	-	-	-0.5	-	-	-53
Bus Taxes Compliance Spec (Limited Term 06-30-2020)	-	-	-0.9	-	-	-58
Bus Taxes Rep (Limited Term 06-30-2020)	-	-	3.9	-	-	140
Bus Taxes Spec I (Limited Term 06-30-2018)	-	0.8	5.3	-	56	396
Bus Taxes Spec II (Limited Term 06-30-2020)	-	-	1.5	-	-	121
C.E.A. (Limited Term 12-31-2018)	-	-	-1.0	-	-	-97

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0860 State Board of Equalization - Continued

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Dp Mgr III (Limited Term 12-31-2017)	-	-	-0.4	-	-	-18
Graphic Designer III (Limited Term 06-30-2017)	-	0.3	-	-	15	-
Office Svcs Supvr III (Gen) (Limited Term 06-30-2018)	-	-	-1.0	-	-	-49
Office Techn (Typing) (Limited Term 06-30-2020)	-	-	-1.0	-	-	-40
Overtime	-	-	-	-	27	95
Personnel Supvr I (Limited Term 06-30-2018)	-	-	-1.0	-	-	-53
Sr Info Sys Analyst (Spec) (Limited Term 06-30-2018)	-	-	-1.0	-	-	-81
Staff Svcs Analyst (Gen) (Limited Term 06-30-2017)	-	0.3	-	-	12	-
Tax Auditor (Limited Term 06-30-2020)	-	-	-4.2	-	-	-243
Tax Counsel IV (Limited Term 12-31-2018)	-	0.5	1.0	-	61	128
Tax Techn II (Limited Term 09-30-2018)	-	-	-	-	-	-9
Tax Techn III (Limited Term 06-30-2020)	-	-	0.2	-	-	9
Temporary Help	-	-	2.7	-	-	138
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	5.2	64.8	\$-	\$499	\$12,611
Totals, Adjustments	-383.3	5.0	64.8	-\$5,432	\$11,250	\$14,986
TOTALS, SALARIES AND WAGES	4,238.8	4,720.6	4,729.9	\$300,792	\$321,838	\$319,374

0890 Secretary of State

The Secretary of State, a constitutionally established office, is the chief elections officer of the state and is responsible for the administration and enforcement of election laws. The Office also is responsible for administering and enforcing laws pertaining to filing documents associated with corporations, limited liability companies, partnerships, limited partnerships, unincorporated associations and pertaining to filing bonds and perfecting security agreements. In addition, the Office is responsible for commissioning notaries public, enforcing the notary laws, and in conjunction with being the home of the State Archives, administering a state records management program and preserving documents and records having historical significance. The Secretary of State is the filing officer for lobbying and campaign registration and disclosure documents filed under the Political Reform Act. The Secretary of State also operates the Safe at Home program, maintains the Domestic Partners and Advance Health Care Directives registries, provides support functions for the Voting Modernization Board, and is home to the California Museum.

Policy associated with the administration of the Office is accomplished through the divisions of Elections, Political Reform, Business Programs, Archives, Information Technology, and Management Services.

3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0700	Filings and Registrations	310.4	289.4	328.8	\$53,166	\$54,777	\$54,698
0705	Elections	60.7	72.0	77.0	70,319	92,754	38,682
0710	Archives	28.8	70.7	31.3	7,148	8,313	8,284
0715	DOJ Legal Services	-	-	-	333	333	333

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0890 Secretary of State - Continued

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
9900100 Administration	119.5	134.2	137.2	19,130	24,943	25,722
9900200 Administration - Distributed	-	-	-	-19,130	-24,943	-25,722
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	519.4	566.3	574.3	\$130,966	\$156,177	\$101,997
FUNDING				2015-16*	2016-17*	2017-18*
0001 General Fund				\$44,663	\$38,681	\$36,587
0228 Secretary of States Business Fees Fund				50,376	50,036	49,561
0890 Federal Trust Fund				34,095	62,089	11,373
0942 Special Deposit Fund				-	-	463
0995 Reimbursements				8	-	-
3042 Victims of Corporate Fraud Compensation Fund				1,217	1,530	1,530
3244 Political Disclosure, Accountability, Transparency, and Access Fund				89	1,841	83
3254 Business Programs Modernization Fund				518	2,000	2,400
TOTALS, EXPENDITURES, ALL FUNDS				\$130,966	\$156,177	\$101,997

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

The California Constitution, Article 5, Section 11, Government Code Sections 12159-12179.1.

PROGRAM AUTHORITY**0700-Filings and Registrations:**

Corporations Code, Titles 1-3; Government Code, Sections 960.3, 960.4, 1363, 6205-6210, 6215-6216, 6503.5, 6503.7, 6518, 7171, 7220, 7222, 7223, 7225, 7226, 7227, 7229, 8200, 8201, 8201.1, 8201.2, 8201.5, 8202.5, 8203.1-8203.4, 8204.1, 8205, 8206, 8207, 8207.1, 8207.2, 8207.3, 8213, 8213.6, 8214.1, 8214.15, 8214.4, 8214.5, 8214.8, 8219.5, 8220, 8222, 8228, 12160, 12163, 12168, 12168.5, 12168.7, 12176, 12177, 12178.1, 12179.1, 12180, 12181, 12182, 12182.1, 12183-12197, 12260-12263, 23712-23714, 34459-34461, and 53051; Business and Professions Code, Sections 14207, 14209, 14215, 14217, 14220, 14230, 14235, 14240, 14245, 14417, 14427, 14461, 14482, 14483, 14492, 17550 et seq., 18896 et seq., 22441.1, 22442.4, 22443.1, 22443.2, 22908-22912, 22914, 22916, 22917, 22920, 22921, and 22923; Civil Code, Sections 912, 1185, 1189, 1195, 1789.10 et seq., 1812.50 et seq., 1812.80 et seq., 1812.100 et seq., 1812.214, 1812.503, 1812.510, 1812.515, 1812.525, 1812.600, 1812.607, 2945.45, 3344.1, 3440.5, 4225, 4280, 5405 6622, and 6760; Commercial Code, Sections 9501, 9509, 9512-9516, 9518, 9519-9523, and 9525-9528; Code of Civil Procedure, Sections 416.10-416.40, 488.375, 488.405, 488.730, 697.510, 697.550, 697.570, 697.580, 697.640-697.670, 724.060, 2101, and 2103-2105; Family Code, Sections 297, 297.1, 298, 298.5, 298.6, 298.7, 299, 535, 6345, 17520, and 17523; Financial Code, Sections 1102-1106, 4966, 5403, 5703-5705, 5715, 5716, 5758, 5760, 14100, 14101, 14101.6, 14101.8, 14102.2, 14102.4, 14102.8, 15302, 15304, 15252, 15258, 18101.5, and 18102; Insurance Code, Sections 881, 881.3, 1556, 1560.10, 1560.17, 1560.18, 4093, 4097.11, 4097.18, 4097.19, 5053, 7049, 7084, 7085, 9103, 11542, 11546, and 11547; Food and Agriculture Code, Sections 3951, 3952, 5304, 6047.109, 6047.119, 6273, 6286, 8704, 8757, 54081-54083, 55702, 57405, 57408-57411, 57414, 57512, 57516-57517, 57519, 57530, 57531, 57535, 57537, 57540, 57541, 57545, 57561 et seq., 57590, 57591, and 57595; Probate Code, Sections 4717, 4800-4806, 6389, and 8574; Vehicle Code, Section 40305.5; Penal Code, Sections 4056-4058, 4067, 4206, 4207, 4208 and 4227; Health and Safety Code, Sections 1341, 1341.2, 2043, 2832, 2834, 4927, 9043, 33103, 33103.5, 33104, 33142, 34126, 34117, 51153, 103435, and 123371; California Code of Regulations, Title 2, Sections 20800 et seq., 21000 et seq., 21900 et seq., 22000 et seq., 22100 et seq., 22500 et seq., 22600 et seq., and 22610.1 et seq.

0705-Elections:

California Constitution, Article XVI, Section 1; California Elections Code, Section 10; California Elections Code 9082.7; California Government Code, Sections 12172.5, and 81000 et seq.; Help America Vote Act of 2002 (Public Law 107-252) and other federal elections laws; Government Code, Title 9.

0710-Archives:

Chapter 1, Statutes of 1850; Government Code, Sections 6204, 6268, 9080, 9149.9, 11347.3, 12153, 12174, 12220-12237, and 12270-12279; Civil Code, Sections 1798.24, and 1798.64; Evidence Code, Section 1605, and Military and Veterans Code, Section 1360.

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0890 Secretary of State - Continued**DETAILED BUDGET ADJUSTMENTS**

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Cal-ACCESS Replacement Project (SB 1349)	\$-	\$-	-	\$5,519	\$-	2.0
• Information Technology and Management Services Staffing Increases	-	-	-	165	778	3.0
• Help America Vote Act - VoteCal	-	-	-	-	7,102	-
• Help America Vote Act Spending Plan	-	-	-	-	4,065	-
• California Business Connect Project	-	-	-	-	2,400	-
• Vote Centers (SB 450)	-	-	-	-	463	3.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$5,684	\$14,808	8.0
Other Workload Budget Adjustments						
• Allocation for Contingencies and Emergencies (Election Related Costs)	\$1,091	\$-	-	\$-	\$-	-
• Miscellaneous Baseline Adjustments	711	2,666	70.4	1,607	201	70.4
• Salary Adjustments	360	906	-	152	304	-
• Retirement Rate Adjustments	149	348	-	149	348	-
• Benefit Adjustments	32	91	-	17	52	-
• SWCAP	-	-	-	-	-31	-
• Pro Rata	-	-2,493	-	-	-2,493	-
Totals, Other Workload Budget Adjustments	\$2,343	\$1,518	70.4	\$1,925	-\$1,619	70.4
Totals, Workload Budget Adjustments	\$2,343	\$1,518	70.4	\$7,609	\$13,189	78.4
Totals, Budget Adjustments	\$2,343	\$1,518	70.4	\$7,609	\$13,189	78.4

PROGRAM DESCRIPTIONS**0700 - FILINGS AND REGISTRATIONS**

The Business Programs Division is comprised of three primary areas: Business Entities, Uniform Commercial Code, and Notary Public and Special Filings.

Business Entities examines, files, and maintains formation documents for new California business entities and qualifies out-of-state and international entities to conduct business in California; and also examines, files and maintains changes to the records of domestic or qualified foreign business entities to ensure the business entities are properly formed, merged, converted, amended, and dissolved in compliance with California law. Statements of Information containing key officers and contact information are also processed and maintained for public and governmental use.

Uniform Commercial Code files certain financing statements allowing lending institutions to protect their financial interest in personal property and established priority in case of debtor default or bankruptcy. Notices of judgment liens, attachment liens, agricultural liens, and equipment liens are also filed in Uniform Commercial Code, as well as notices of various tax liens submitted by state and federal taxing agencies such as the Franchise Tax Board and the Internal Revenue Service.

Notary Public and Special Filings appoints and commissions qualified notaries public for four- year terms, reviews and approves notary public education courses, and certifies to the authenticity of signatures of notaries public and public officials on documents for use in foreign countries. Special Filings include trademarks and service marks as well as surety bonds that certain business entities are required to file, notices of joint power agreements, city and county charters, and claims for successor-in-interest. This area also has disciplinary functions with regard to notaries public and immigration consultants.

The Advance Health Care Directive (AHCD) Registry maintains AHCDs, which allow a person to indicate his/her medical treatment preferences if he/she cannot speak or make decisions for him/herself. The AHCD also may designate someone else to make decisions regarding medical treatment. AHCDs and related information can be provided upon request to the registrant's health care provider, public guardian, or legal representative.

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0890 Secretary of State - Continued

The Domestic Partners Registry registers same-sex couples regardless of the age of the partners, and opposite-sex couples in which one partner is at least 62 years old, as domestic partners in California.

The Victims of Corporate Fraud Compensation Fund provides limited restitution to victims of corporate fraud who are unable to collect through other means on a judgment against a corporation for corporate fraud.

Safe at Home is a confidential mail forwarding program designed to assist victims of domestic violence, sexual assault, stalking, or a reproductive healthcare employee, patient, or volunteer. Participants in the Safe at Home program are provided with a free post office box to use as a substitute mailing address, thereby protecting their actual residential address. Once enrolled into the program, Safe at Home serves as a liaison for those participants who qualify for additional confidential services offered by various state and local agencies.

0705 - ELECTIONS

The Secretary of State, as California's chief elections officer, has broad responsibility to administer the election process. This program oversees all federal and state elections within California, maintaining a statewide database of all registered voters, tracks and certifies ballot measures, certifies candidates, and certifies election results. In addition, the program oversees the modernization of voting equipment and the improvement of current electoral database systems, enhancing the voting processes, providing accessibility to voters, working to increase people's knowledge of the electoral system, and investigates election related criminal violations as provided by California Elections Code.

This program includes the campaign and lobbying registration and disclosure provisions of the Political Reform Act of 1974. Under this law, the Office registers all levels of campaign committees, and reviews for compliance with statutory requirements all state-level candidate and campaign recipient committees, major donors, slate mailer, political party, and independent expenditure committees. The program registers lobbyists (including placement agents), lobbying firms and lobbyist employers, reviews for compliance with statutory requirements the periodic financial disclosure reports filed by lobbying entities, and publishes a Lobbying Directory on the Internet. This program also supports the electronic filing requirements of the Political Reform Act and posts relevant registration and disclosure information to the Office's web site so the public can track where campaign money is raised and spent and what lobbying activity is occurring in state government. A searchable database of campaign and lobbying financial activity is also provided through the web site.

0710 - ARCHIVES

The California State Archives establishes and administers a records management program that applies efficient and economical management methods to the creation, utilization, maintenance, retention, preservation, and disposal of state records and acquires, indexes, preserves, and provides reference access to irreplaceable historic materials from the three branches of state government. The Archives acquires physical and electronic records with legal, administrative or evidentiary value, or that have historical significance. Materials are transferred to the Archives for permanent preservation.

9900 - ADMINISTRATION

This program develops and manages overall policy. It serves as the liaison to other state agencies, the Legislature, the federal government, and other states' Secretaries of State. This program responds to constituent correspondence and requests from the public and advocates in the Legislature, administers the Secretary's role as a member of the National Association of Secretaries of State, in the management of task forces and advisory committees, in the implementation of new federal and state statutory requirements, and coordinates and disseminates information about the Office and its initiatives to the public. It also provides the Office with the necessary personnel, general administrative, budgeting, fiscal services, and information technology expertise to plan, develop, implement, and operate innovative and effective business and information systems solutions.

DETAILED EXPENDITURES BY PROGRAM

		<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
	PROGRAM REQUIREMENTS			
0700	FILINGS AND REGISTRATIONS			
	State Operations:			
0001	General Fund	\$1,055	\$1,211	\$1,207
0228	Secretary of States Business Fees Fund	50,376	50,036	49,561
3042	Victims of Corporate Fraud Compensation Fund	1,217	1,530	1,530
3254	Business Programs Modernization Fund	518	2,000	2,400
	Totals, State Operations	\$53,166	\$54,777	\$54,698
	PROGRAM REQUIREMENTS			
0705	ELECTIONS			
	State Operations:			
0001	General Fund	\$19,839	\$28,824	\$26,763
0890	Federal Trust Fund	30,177	11,089	8,245

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0890 Secretary of State - Continued

		<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
0942	Special Deposit Fund	-	-	463
0995	Reimbursements	8	-	-
3244	Political Disclosure, Accountability, Transparency, and Access Fund	89	1,841	83
	Totals, State Operations	\$50,113	\$41,754	\$35,554
	Local Assistance:			
0001	General Fund	\$16,288	\$-	\$-
0890	Federal Trust Fund	3,918	51,000	3,128
	Totals, Local Assistance	\$20,206	\$51,000	\$3,128
	PROGRAM REQUIREMENTS			
0710	ARCHIVES			
	State Operations:			
0001	General Fund	\$7,148	\$8,313	\$8,284
	Totals, State Operations	\$7,148	\$8,313	\$8,284
	PROGRAM REQUIREMENTS			
0715	DOJ LEGAL SERVICES			
	State Operations:			
0001	General Fund	\$333	\$333	\$333
	Totals, State Operations	\$333	\$333	\$333
	SUBPROGRAM REQUIREMENTS			
9900100	Administration			
	State Operations:			
0001	General Fund	\$19,130	\$24,943	\$25,722
	Totals, State Operations	\$19,130	\$24,943	\$25,722
	SUBPROGRAM REQUIREMENTS			
9900200	Administration - Distributed			
	State Operations:			
0001	General Fund	-\$19,130	-\$24,943	-\$25,722
	Totals, State Operations	-\$19,130	-\$24,943	-\$25,722
	TOTALS, EXPENDITURES			
	State Operations	110,760	105,177	98,869
	Local Assistance	20,206	51,000	3,128
	Totals, Expenditures	\$130,966	\$156,177	\$101,997

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	<u>2015-16</u>	<u>2016-17</u>	<u>2017-18</u>	<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
PERSONAL SERVICES						
Baseline Positions	493.9	495.8	495.8	\$28,427	\$30,161	\$27,701
Total Adjustments	25.5	70.4	78.4	948	1,942	3,138
Net Totals, Salaries and Wages	519.4	566.3	574.3	\$29,375	\$32,103	\$30,839
Staff Benefits	-	-	-	15,874	17,886	18,562
Totals, Personal Services	519.4	566.3	574.3	\$45,249	\$49,989	\$49,401
OPERATING EXPENSES AND EQUIPMENT				\$65,511	\$55,188	\$49,468
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$110,760	\$105,177	\$98,869

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0890 Secretary of State - Continued

2 Local Assistance	Expenditures		
	2015-16*	2016-17*	2017-18*
Grants and Subventions - Governmental	\$20,206	\$51,000	\$3,128
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$20,206	\$51,000	\$3,128

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$30,702	\$36,338	\$36,587
Allocation for Contingencies and Emergencies (Election Related Costs)	-	1,091	-
Allocation for Employee Compensation	339	360	-
Allocation for Staff Benefits	-	32	-
Allocation of Funding pursuant to the Political Reform Act, Item 8640-001-0001	711	711	-
Map Reimbursable Activities to New Item	-8	-	-
Section 3.60 Pension Contribution Adjustment	77	149	-
Totals Available	\$31,821	\$38,681	\$36,587
Unexpended balance, estimated savings	-3,446	-	-
TOTALS, EXPENDITURES	\$28,375	\$38,681	\$36,587
0228 Secretary of States Business Fees Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$55,579	\$51,100	\$49,561
Allocation for Employee Compensation	860	905	-
Allocation for Staff Benefits	-	91	-
Pro Rata Assessments Removal	-	-2,407	-
Section 3.60 Pension Contribution Adjustment	189	347	-
Totals Available	\$56,628	\$50,036	\$49,561
Unexpended balance, estimated savings	-6,252	-	-
TOTALS, EXPENDITURES	\$50,376	\$50,036	\$49,561
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$33,997	\$8,423	\$8,245
Revised expenditure authority per Provision 4 of Item 0890-001-0890	-	2,666	-
Totals Available	\$33,997	\$11,089	\$8,245
Unexpended balance, estimated savings	-3,820	-	-
TOTALS, EXPENDITURES	\$30,177	\$11,089	\$8,245
0942 Special Deposit Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$463
TOTALS, EXPENDITURES	\$-	\$-	\$463
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$8	-	-
TOTALS, EXPENDITURES	\$8	\$-	\$-
3042 Victims of Corporate Fraud Compensation Fund			
APPROPRIATIONS			
Corporations Code section 2280	\$1,530	\$1,571	\$1,530
Pro Rata Assessments Removal	-	-41	-

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0890 Secretary of State - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Totals Available	\$1,530	\$1,530	\$1,530
Unexpended balance, estimated savings	-313	-	-
TOTALS, EXPENDITURES	\$1,217	\$1,530	\$1,530
3244 Political Disclosure, Accountability, Transparency, and Access Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$87	\$1,845	\$83
Allocation for Employee Compensation	1	1	-
Pro Rata Assessments Removal	-	-6	-
Section 3.60 Pension Contribution Adjustment	1	1	-
TOTALS, EXPENDITURES	\$89	\$1,841	\$83
3254 Business Programs Modernization Fund			
APPROPRIATIONS			
001 Budget Act Appropriation	\$1,500	\$2,039	\$2,400
Pro Rata Assessments Removal	-	-39	-
Totals Available	\$1,500	\$2,000	\$2,400
Unexpended balance, estimated savings	-982	-	-
TOTALS, EXPENDITURES	\$518	\$2,000	\$2,400
Total Expenditures, All Funds, (State Operations)	\$110,760	\$105,177	\$98,869
2 LOCAL ASSISTANCE	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
Chapter 11, Statutes of 2016 (AB 120)	\$16,288	-	-
TOTALS, EXPENDITURES	\$16,288	\$-	\$-
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$3,621	\$51,000	\$3,128
Revised Expenditure Authority per Provision 1, Item 0890-101-0890	1,212	-	-
Totals Available	\$4,833	\$51,000	\$3,128
Unexpended balance, estimated savings	-915	-	-
TOTALS, EXPENDITURES	\$3,918	\$51,000	\$3,128
Total Expenditures, All Funds, (Local Assistance)	\$20,206	\$51,000	\$3,128
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$130,966	\$156,177	\$101,997

FUND CONDITION STATEMENTS

	2015-16*	2016-17*	2017-18*
0228 Secretary of States Business Fees Fund ^s			
BEGINNING BALANCE	\$1,001	\$1,001	\$1,000
Prior Year Adjustments	2,439	-	-
Adjusted Beginning Balance	\$3,440	\$1,001	\$1,000
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4120800 Corporation Fees - Domestic Corporations	10,194	10,500	9,500
4121000 Corporation Fees - Foreign Corporations	1,245	1,070	1,070
4122800 Filing Financing Statements	2,494	2,200	2,200
4125800 Notary Public License Fees	891	890	890
4129200 Other Regulatory Fees	9,359	10,000	9,000
4145500 Secretary of State - Fees	29,078	31,829	29,371

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0890 Secretary of State - Continued

	2015-16*	2016-17*	2017-18*
4163000 Investment Income - Surplus Money Investments	82	72	72
4171000 Cost Recoveries - Delinquent Receivables	21	18	18
4172500 Miscellaneous Revenue	19,665	21,000	19,000
4173500 Settlements and Judgments - Other	12	13	13
Transfers and Other Adjustments			
Revenue Transfer from the Business Fees Fund (0228) to the General Fund (0001) Per Government Code Section 12176.	-25,010	-25,080	-19,165
Total Revenues, Transfers, and Other Adjustments	<u>\$48,031</u>	<u>\$52,512</u>	<u>\$51,969</u>
Total Resources	\$51,471	\$53,513	\$52,969
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0890 Secretary of State (State Operations)	50,376	50,036	49,561
8880 Financial Information System for California (State Operations)	94	70	65
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	2,407	2,343
Total Expenditures and Expenditure Adjustments	<u>\$50,470</u>	<u>\$52,513</u>	<u>\$51,969</u>
FUND BALANCE	\$1,001	\$1,000	\$1,000
Reserve for economic uncertainties	1,001	1,000	1,000
3042 Victims of Corporate Fraud Compensation Fund ^s			
BEGINNING BALANCE	\$12,294	\$11,895	\$11,867
Prior Year Adjustments	-928	-	-
Adjusted Beginning Balance	<u>\$11,366</u>	<u>\$11,895</u>	<u>\$11,867</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4145500 Secretary of State - Fees	1,697	1,500	1,500
4163000 Investment Income - Surplus Money Investments	49	44	44
Total Revenues, Transfers, and Other Adjustments	<u>\$1,746</u>	<u>\$1,544</u>	<u>\$1,544</u>
Total Resources	\$13,112	\$13,439	\$13,411
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0890 Secretary of State (State Operations)	1,217	1,530	1,530
8880 Financial Information System for California (State Operations)	-	1	2
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	41	46
Total Expenditures and Expenditure Adjustments	<u>\$1,217</u>	<u>\$1,572</u>	<u>\$1,578</u>
FUND BALANCE	\$11,895	\$11,867	\$11,833
Reserve for economic uncertainties	11,895	11,867	11,833

3244 Political Disclosure, Accountability, Transparency, and Access Fund

^s			
BEGINNING BALANCE	\$1,403	\$1,929	\$586
Prior Year Adjustments	-1	-	-
Adjusted Beginning Balance	<u>\$1,402</u>	<u>\$1,929</u>	<u>\$586</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4145500 Secretary of State - Fees	457	460	460
4163000 Investment Income - Surplus Money Investments	7	6	6
4173000 Penalty Assessments - Other	152	38	38
Total Revenues, Transfers, and Other Adjustments	<u>\$616</u>	<u>\$504</u>	<u>\$504</u>

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0890 Secretary of State - Continued

	2015-16*	2016-17*	2017-18*
Total Resources	\$2,018	\$2,433	\$1,090
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0890 Secretary of State (State Operations)	89	1,841	83
8880 Financial Information System for California (State Operations)	-	-	2
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	6	3
Total Expenditures and Expenditure Adjustments	<u>\$89</u>	<u>\$1,847</u>	<u>\$88</u>
FUND BALANCE	\$1,929	\$586	\$1,002
Reserve for economic uncertainties	1,929	586	1,002
3254 Business Programs Modernization Fund^s			
BEGINNING BALANCE	<u>\$2,205</u>	<u>\$3,397</u>	<u>\$2,867</u>
Adjusted Beginning Balance	\$2,205	\$3,397	\$2,867
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4145500 Secretary of State - Fees	1,697	1,500	1,500
4163000 Investment Income - Surplus Money Investments	<u>13</u>	<u>10</u>	<u>10</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$1,710</u>	<u>\$1,510</u>	<u>\$1,510</u>
Total Resources	\$3,915	\$4,907	\$4,377
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0890 Secretary of State (State Operations)	518	2,000	2,400
8880 Financial Information System for California (State Operations)	-	1	2
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	39	112
Total Expenditures and Expenditure Adjustments	<u>\$518</u>	<u>\$2,040</u>	<u>\$2,514</u>
FUND BALANCE	\$3,397	\$2,867	\$1,863
Reserve for economic uncertainties	3,397	2,867	1,863

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	493.9	495.8	495.8	\$28,427	\$30,161	\$27,701
Salary and Other Adjustments	25.5	70.4	70.4	948	1,942	414
Workload and Administrative Adjustments						
Cal-ACCESS Replacement Project (SB 1349)						
Sr Programmer Analyst (Spec)	-	-	1.0	-	-	81
Sys Software Spec III (Tech)	-	-	1.0	-	-	89
California Business Connect Project						
Various	-	-	-	-	-	660
Help America Vote Act - VoteCal						
Various	-	-	-	-	-	907
Help America Vote Act Spending Plan						
Various	-	-	-	-	-	556
Information Technology and Management Services Staffing Increases						
Accounting Analyst	-	-	3.0	-	-	155

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0890 Secretary of State - Continued

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Vote Centers (SB 450)						
Assoc Govtl Program Analyst	-	-	1.0	-	-	60
Program Director	-	-	1.0	-	-	132
Sr Info Sys Analyst (Spec)	-	-	1.0	-	-	84
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	8.0	\$-	\$-	\$2,724
Totals, Adjustments	25.5	70.4	78.4	\$948	\$1,942	\$3,138
TOTALS, SALARIES AND WAGES	519.4	566.3	574.3	\$29,375	\$32,103	\$30,839

0911 Citizens Redistricting Initiative

The "Voters First Act" (Proposition 11) and the "Voters First Act for Congress" (Proposition 20) reformed the redistricting process and established an independent 14-member Citizens Redistricting Commission to draw the decennial district boundaries for California's Congressional delegation, state Senate, state Assembly, and Board of Equalization.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0730 Support	0.6	0.5	0.5	\$88	\$107	\$93
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	0.6	0.5	0.5	\$88	\$107	\$93
FUNDING				2015-16*	2016-17*	2017-18*
0001 General Fund				\$88	\$107	\$93
TOTALS, EXPENDITURES, ALL FUNDS				\$88	\$107	\$93

LEGAL CITATIONS AND AUTHORITY

Government Code Title 2, Division 1, Chapter 3.2, Sections 8251 to 8253.6, as added by Proposition 11, with amendments as a result of Proposition 20.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Benefit Adjustments	\$1	\$-	-	\$1	\$-	-
• Retirement Rate Adjustments	1	-	-	1	-	-
• Salary Adjustments	1	-	-	1	-	-
• Miscellaneous Baseline Adjustments	14	-	-	-	-	-
Totals, Other Workload Budget Adjustments	\$17	\$-	-	\$3	\$-	-
Totals, Workload Budget Adjustments	\$17	\$-	-	\$3	\$-	-
Totals, Budget Adjustments	\$17	\$-	-	\$3	\$-	-

PROGRAM DESCRIPTIONS

0730 - The Citizens Redistricting Commission shall draw California's new congressional and state Assembly, Senate, and

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0911 Citizens Redistricting Initiative - Continued

Board of Equalization districts following the completion of the decennial census. The districts shall be based on strict, non-partisan rules delineated in California's Constitution that are designed to ensure fair representation and shall be defended by the commission as the sole legal defender.

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
	PROGRAM REQUIREMENTS			
0730	SUPPORT			
	State Operations:			
0001	General Fund	\$88	\$107	\$93
	Totals, State Operations	\$88	\$107	\$93
	TOTALS, EXPENDITURES			
	State Operations	88	107	93
	Totals, Expenditures	\$88	\$107	\$93

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	0.5	0.5	0.5	\$40	\$40	\$40
Total Adjustments	0.1	-	-	15	1	1
Net Totals, Salaries and Wages	0.6	0.5	0.5	\$55	\$41	\$41
Staff Benefits	-	-	-	13	21	21
Totals, Personal Services	0.6	0.5	0.5	\$68	\$62	\$62
OPERATING EXPENSES AND EQUIPMENT				\$20	\$45	\$31
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$88	\$107	\$93

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$87	\$90	\$93
Allocation for Employee Compensation	2	1	-
Allocation for Staff Benefits	-	1	-
Revised expenditure authority per Provision 1	-	14	-
Section 3.60 Pension Contribution Adjustment	1	1	-
Totals Available	\$90	\$107	\$93
Unexpended balance, estimated savings	-2	-	-
TOTALS, EXPENDITURES	\$88	\$107	\$93
Total Expenditures, All Funds, (State Operations)	\$88	\$107	\$93

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	0.5	0.5	0.5	\$40	\$40	\$40
Salary and Other Adjustments	0.1	-	-	15	1	1
Totals, Adjustments	0.1	-	-	\$15	\$1	\$1
TOTALS, SALARIES AND WAGES	0.6	0.5	0.5	\$55	\$41	\$41

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0950 State Treasurer

The State Treasurer, a constitutionally established office, provides banking services for state government with goals to minimize interest and service costs and to maximize yield on investments. The Treasurer is responsible for the custody of all monies and securities belonging to or held in trust by the state; investment of temporarily idle state monies; administration of the sale of state bonds, their redemption and interest payments; and payment of warrants or checks drawn by the State Controller and other state agencies.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0740010 Investment Services	18.0	18.0	18.0	\$3,676	\$3,560	\$3,572
0740019 Centralized Treasury & Securities Management	63.5	65.5	65.5	12,958	13,995	13,990
0740028 Public Finance	53.3	56.3	56.3	10,963	17,033	16,811
0740035 Administration	-	-	93.4	-	-	6,201
9900100 Administration	91.1	92.9	-	15,602	16,118	-
9900200 Administration - Distributed	-	-	-	-10,850	-9,926	-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	225.9	232.7	233.2	\$32,349	\$40,780	\$40,574

FUNDING				2015-16*	2016-17*	2017-18*
0001 General Fund				\$3,692	\$4,846	\$5,255
0995 Reimbursements				25,932	32,171	31,954
6084 No Place Like Home Fund				-	700	500
9740 Central Service Cost Recovery Fund				2,725	3,063	2,865
TOTALS, EXPENDITURES, ALL FUNDS				\$32,349	\$40,780	\$40,574

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution Article XVI, Sections 1.5 and 7; and Government Code Sections 12300-12333, 16300-16600, 53661.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Information Security Program Augmentation	\$-	\$-	-	\$303	\$-	1.0
• Debt Management System (DMS) II Project	-	-	-	-	5,830	6.0
• Data and Government Transparency Unit	-	-	-	-	799	5.0
• No Place Like Home Program Administrative Costs	-	-	-	-	500	-
• Debt Management System (DMS) II Project Cost Realignment	-	-42	-	-	342	-
Totals, Workload Budget Change Proposals	\$-	-\$42	-	\$303	\$7,471	12.0
Other Workload Budget Adjustments						
• Salary Adjustments	\$88	\$498	-	\$37	\$208	-
• Retirement Rate Adjustments	36	248	-	36	248	-
• Benefit Adjustments	14	77	-	12	66	-
• Miscellaneous Baseline Adjustments	-	700	-	-86	86	-

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0950 State Treasurer - Continued

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Totals, Other Workload Budget Adjustments	\$138	\$1,523	-	-\$1	\$608	-
Totals, Workload Budget Adjustments	\$138	\$1,481	-	\$302	\$8,079	12.0
Totals, Budget Adjustments	\$138	\$1,481	-	\$302	\$8,079	12.0

PROGRAM DESCRIPTIONS**0740010 - INVESTMENT SERVICES**

The Investment Division is responsible for investment of state monies from the date of receipt through the date of redemption. During the 2015-16 fiscal year, this Division handled 7,359 security investment transactions totaling \$312.9 billion. The Pooled Money Investment Board (PMIB) program accounted for 5,337 of these transactions totaling \$266.1 billion; time deposits accounted for 1,599 transactions totaling \$37.5 billion. The remaining \$9.3 billion is invested on behalf of the state's special funds, such as those associated with the California Housing Finance Agency, the Department of Fish and Wildlife, the state's retirement system, etc. The Division also administers the Local Agency Investment Fund (LAIF), a voluntary investment program created to offer California local agencies greater access to the financial markets through the PMIB program. In the 2015-16 fiscal year, 2,479 local agencies participated in LAIF, with deposits averaging \$20.9 billion for the fiscal year.

0740019 - CENTRALIZED TREASURY AND SECURITIES MANAGEMENT

In 1949, the California Legislature amended Government Code Section 16305 to create the Centralized Treasury System (CTS) thereby requiring agencies of the State to deposit their money in trust with the Treasurer. The legislation also requires the Treasurer to safeguard the money and make safe and prudent investments. In 1955, the Legislature created the PMIB, giving the Board the responsibility to designate the amount of money available for the investment in securities, bank deposits, and loans to the General Fund.

The Centralized Treasury and Securities Management Division (CTSMD) oversees all banking aspects of the CTS. The goal of the CTSMD is to maximize the earning of interest consistent with safe and prudent treasury management, and to ensure that the depository banks provide the state with proper and adequate security for the deposit of state monies. The State Treasurer maintains demand bank accounts with eight banks for the purpose of providing necessary statewide depository coverage for the remittance of funds collected by the various state agencies.

The CTSMD 1) monitors the cash flow of all state funds, forecasts cash balances, revenue, expenditures, and the amounts available for daily investments, 2) ensures accurate and timely agency deposits, 3) administers and executes the wire transfer of funds, 4) reconciles state accounts with depository banks and redeems all state items submitted by presenting banks for payments, 5) executes the clearance and income collection for state investments (excluding PERS and STRS) and securities pledged to the state, 6) fulfills the clearance and settlement of securities pledged to the state for the time, demand, and other state agency programs, and 7) is responsible for the safekeeping of securities and other personal property owned by or pledged to the state.

0740028 - PUBLIC FINANCE

The Public Finance Division is responsible for selling State of California general obligation bonds, commercial paper notes, revenue anticipation notes, lease revenue bonds, revenue bonds, and any other indebtedness for all state agencies. The Division provides debt administration services for most state bonds and is responsible for disseminating information to bondholders through the Investor Relations Program.

The Division also assures compliance with federal tax laws applicable to state debt so there is no adverse consequences to bondholders or increased borrowing costs to the state. The post-issuance tax compliance for various state debt includes monitoring the use of bond proceeds, monitoring use of bond financed property, tracking expenditures, managing rebate and yield restriction compliance, coordinating necessary financial disclosure, and ensuring proper record keeping.

0740035 - ADMINISTRATION AND INFORMATION SERVICES

The Administration Division, Executive Office, and the Information Services Division provide executive direction and support services to programs in the State Treasurer's Office. Services include budgeting, personnel, accounting, information systems, business services, technical support, and production operations.

9900 - ADMINISTRATION AND INFORMATION SERVICES

The Administration Division, Executive Office, and the Information Services Division provide executive direction and support services to programs in the State Treasurer's Office. Services include budgeting, personnel, accounting, information systems, business services, technical support, and production operations. Starting with the 2017-18 Governor's Budget, the Administration program is shown separately.

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0950 State Treasurer - Continued

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
	PROGRAM REQUIREMENTS			
0740	STATE TREASURER'S OFFICE			
	State Operations:			
0001	General Fund	\$3,230	\$3,929	\$5,255
0995	Reimbursements	21,642	26,909	31,954
6084	No Place Like Home Fund	-	700	500
9740	Central Service Cost Recovery Fund	2,725	3,050	2,865
	Totals, State Operations	\$27,597	\$34,588	\$40,574
	SUBPROGRAM REQUIREMENTS			
0740010	Investment Services			
	State Operations:			
0001	General Fund	\$430	\$428	\$443
0995	Reimbursements	2,867	2,813	2,824
9740	Central Service Cost Recovery Fund	379	319	305
	Totals, State Operations	\$3,676	\$3,560	\$3,572
	SUBPROGRAM REQUIREMENTS			
0740019	Centralized Treasury & Securities Management			
	State Operations:			
0001	General Fund	\$1,542	\$2,191	\$2,295
0995	Reimbursements	10,189	10,113	10,132
9740	Central Service Cost Recovery Fund	1,227	1,691	1,563
	Totals, State Operations	\$12,958	\$13,995	\$13,990
	SUBPROGRAM REQUIREMENTS			
0740028	Public Finance			
	State Operations:			
0001	General Fund	\$1,258	\$1,310	\$1,361
0995	Reimbursements	8,586	13,983	13,953
6084	No Place Like Home Fund	-	700	500
9740	Central Service Cost Recovery Fund	1,119	1,040	997
	Totals, State Operations	\$10,963	\$17,033	\$16,811
	SUBPROGRAM REQUIREMENTS			
0740035	Administration			
	State Operations:			
0001	General Fund	\$-	\$-	\$1,156
0995	Reimbursements	-	-	5,045
	Totals, State Operations	\$-	\$-	\$6,201
	PROGRAM REQUIREMENTS			
9900	ADMINISTRATION - TOTAL			
	State Operations:			
0001	General Fund	\$462	\$917	\$-
0995	Reimbursements	4,290	5,262	-
9740	Central Service Cost Recovery Fund	-	13	-
	Totals, State Operations	\$4,752	\$6,192	\$-
	SUBPROGRAM REQUIREMENTS			
9900100	Administration			

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0950 State Treasurer - Continued

		2015-16*	2016-17*	2017-18*
State Operations:				
0001	General Fund	\$11,312	\$10,843	\$-
0995	Reimbursements	4,290	5,262	-
9740	Central Service Cost Recovery Fund	-	13	-
	Totals, State Operations	\$15,602	\$16,118	\$-
SUBPROGRAM REQUIREMENTS				
9900200	Administration - Distributed			
State Operations:				
0001	General Fund	-\$10,850	-\$9,926	\$-
	Totals, State Operations	-\$10,850	-\$9,926	\$-
TOTALS, EXPENDITURES				
	State Operations	32,349	40,780	40,574
	Totals, Expenditures	\$32,349	\$40,780	\$40,574

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	225.7	232.7	221.2	\$16,396	\$16,961	\$16,046
Total Adjustments	0.2	-	12.0	253	586	1,314
Net Totals, Salaries and Wages	225.9	232.7	233.2	\$16,649	\$17,547	\$17,360
Staff Benefits	-	-	-	7,805	10,489	10,659
Totals, Personal Services	225.9	232.7	233.2	\$24,454	\$28,036	\$28,019
OPERATING EXPENSES AND EQUIPMENT				\$7,895	\$12,649	\$12,555
SPECIAL ITEMS OF EXPENSES				-	95	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$32,349	\$40,780	\$40,574

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$30,631	\$4,708	\$5,255
Allocation for Employee Compensation	-	88	-
Allocation for Staff Benefits	-	14	-
Allocation for employee compensation	395	-	-
Allocation for staff benefits	207	-	-
Lease Revenue Debt Service Adjustment	-1	-	-
Map Reimbursable Activities to New Item	-26,431	-	-
Section 3.60 Pension Contribution Adjustment	-	36	-
Section 3.60 pension contribution adjustments	138	-	-
Totals Available	\$4,939	\$4,846	\$5,255
Unexpended balance, estimated savings	-1,247	-	-
TOTALS, EXPENDITURES	\$3,692	\$4,846	\$5,255
0995 Reimbursements			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0950 State Treasurer - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Reimbursements	<u>\$25,932</u>	<u>\$32,171</u>	<u>\$31,954</u>
TOTALS, EXPENDITURES	\$25,932	\$32,171	\$31,954
6084 No Place Like Home Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$500
Adjustment per Welfare and Institutions Code Section 5849.4(a)	-	975	-
Current Year Adjustment to No Place Like Home Program Funding	<u>-</u>	<u>-275</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$700	\$500
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,652	\$2,960	\$2,865
Allocation for Employee Compensation	-	65	-
Allocation for Staff Benefits	-	11	-
Allocation for employee compensation	39	-	-
Allocation for staff benefits	20	-	-
Section 3.60 Pension Contribution Adjustment	-	27	-
Section 3.60 pension contribution adjustments	<u>14</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$2,725	\$3,063	\$2,865
Total Expenditures, All Funds, (State Operations)	\$32,349	\$40,780	\$40,574

FUND CONDITION STATEMENTS

	2015-16*	2016-17*	2017-18*
0467 State Notes Expense Account ^s			
BEGINNING BALANCE	<u>\$250</u>	<u>\$250</u>	<u>\$250</u>
Adjusted Beginning Balance	<u>\$250</u>	<u>\$250</u>	<u>\$250</u>
Total Resources	<u>\$250</u>	<u>\$250</u>	<u>\$250</u>
FUND BALANCE	<u>\$250</u>	<u>\$250</u>	<u>\$250</u>
Reserve for economic uncertainties	250	250	250
3059 Fiscal Recovery Fund ^s			
BEGINNING BALANCE	\$998,127	\$170,189	\$171,500
Prior Year Adjustments	<u>-1,541</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	<u>\$996,586</u>	<u>\$170,189</u>	<u>\$171,500</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4117200 Retail Sales and Use Tax - Fiscal Recovery	964,645	-	-
4163000 Investment Income - Surplus Money Investments	3,228	1,311	-
Transfers and Other Adjustments			
Revenue Transfer from Fiscal Recovery Fund (3059) to General Fund (0001) per Government Code Section 99010	<u>-</u>	<u>-</u>	<u>-171,500</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$967,873</u>	<u>\$1,311</u>	<u>-\$171,500</u>
Total Resources	<u>\$1,964,459</u>	<u>\$171,500</u>	<u>-</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
9210 Local Government Financing (Local Assistance)	798,619	-	-
9618 Economic Recovery Financing Committee (Unclassified)	<u>995,651</u>	<u>-</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,794,270</u>	<u>-</u>	<u>-</u>
FUND BALANCE	<u>\$170,189</u>	<u>\$171,500</u>	<u>-</u>
Reserve for economic uncertainties	170,189	171,500	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0950 State Treasurer - Continued

	2015-16*	2016-17*	2017-18*
3090 Deficit Recovery Bond Retirement Sinking Fund Subaccount, Budget			
Stabilization Account ^s			
BEGINNING BALANCE	\$1,260	-	-
Prior Year Adjustments	335	-	-
Adjusted Beginning Balance	<u>\$1,595</u>	-	-
Total Resources	\$1,595	-	-
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
9618 Economic Recovery Financing Committee (Unclassified)	1,595	-	-
Total Expenditures and Expenditure Adjustments	<u>\$1,595</u>	-	-
FUND BALANCE	-	-	-

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	225.7	232.7	221.2	\$16,396	\$16,961	\$16,046
Salary and Other Adjustments	0.2	-	-	253	586	245
Workload and Administrative Adjustments						
Data and Government Transparency Unit						
Sr Programmer Analyst (Spec) (Limited Term 06-30-2019)	-	-	3.0	-	-	275
Staff Info Sys Analyst (Spec) (Limited Term 06-30-2019)	-	-	1.0	-	-	84
Sys Software Spec III (Supvry) (Limited Term 06-30-2019)	-	-	1.0	-	-	106
Debt Management System (DMS) II Project						
Dp Mgr III	-	-	1.0	-	-	106
Sr Info Sys Analyst (Spec)	-	-	1.0	-	-	92
Sr Programmer Analyst (Spec)	-	-	1.0	-	-	81
Staff Info Sys Analyst (Spec)	-	-	1.0	-	-	74
Sys Software Spec II (Tech)	-	-	1.0	-	-	85
Treasury Program Mgr II	-	-	1.0	-	-	85
Information Security Program Augmentation						
Sys Software Spec II (Tech)	-	-	1.0	-	-	81
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	12.0	\$-	\$-	\$1,069
Totals, Adjustments	<u>0.2</u>	-	<u>12.0</u>	<u>\$253</u>	<u>\$586</u>	<u>\$1,314</u>
TOTALS, SALARIES AND WAGES	225.9	232.7	233.2	\$16,649	\$17,547	\$17,360

0954 Scholarshare Investment Board

The ScholarShare Investment Board administers the Golden State ScholarShare College Savings Trust Program (ScholarShare), the Governor's Scholarship Programs (GSP), and the California Memorial Scholarship Program (CMS). ScholarShare is a way for families to save for higher education costs using a tax-advantaged 529 college savings plan. GSP granted scholarships to students who attained certain scores on state tests. CMS provides scholarships to surviving dependents of California residents killed in the terrorist attacks of September 11, 2001.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0954 Scholarshare Investment Board - Continued

3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0780	Golden State Scholarshare Trust Program	8.8	9.0	9.0	\$2,110	\$2,623	\$5,610
0785	Governor's Scholarship Program	1.0	1.0	1.0	239	373	370
0790	California Memorial Scholarship Programs	-	-	-	-	236	-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		9.8	10.0	10.0	\$2,349	\$3,232	\$5,980

		2015-16*	2016-17*	2017-18*
0001	General Fund	\$239	\$368	\$3,365
0564	Scholarshare Administrative Fund	2,110	2,628	2,615
3033	California Memorial Scholarship Fund	-	236	-
TOTALS, EXPENDITURES, ALL FUNDS		\$2,349	\$3,232	\$5,980

LEGAL CITATIONS AND AUTHORITY

PROGRAM AUTHORITY

0780-Golden State ScholarShare College Savings Trust Program:

Education Code Sections 69980 to 69994.

0785-Governor's Scholarship Programs:

Education Code Sections 69999.6 to 69999.8.

0790-California Memorial Scholarship Programs:

Education Code Sections 70010 to 70011.9, and Vehicle Code Section 5066.

MAJOR PROGRAM CHANGES

- College Savings Matching Grant Program - Provide \$3 million General Fund one time to the ScholarShare Investment Board to support a matching grant program for new college-savings accounts.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• One-Time Funding for Matching Grant Program	\$-	\$-	-	\$3,000	\$-	-
• Increased Information Technology Overhead Costs	-	-	-	-	44	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$3,000	\$44	-
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$1	\$10	-	\$1	\$10	-
• Salary Adjustments	2	22	-	-	9	-
• Benefit Adjustments	1	3	-	-	3	-
• Pro Rata	-	-132	-	-	-132	-
Totals, Other Workload Budget Adjustments	\$4	-\$97	-	\$1	-\$110	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0954 Scholarshare Investment Board - Continued

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Totals, Workload Budget Adjustments	\$4	-\$97	-	\$3,001	-\$66	-
Totals, Budget Adjustments	\$4	-\$97	-	\$3,001	-\$66	-

PROGRAM DESCRIPTIONS**0780 - GOLDEN STATE SCHOLARSHARE COLLEGE SAVINGS TRUST PROGRAM**

The Golden State ScholarShare College Savings Trust Program is California's state-sponsored 529 college savings plan established to help families save for higher education. Earnings are tax-free when used for qualified higher education expenses (such as tuition, fees, supplies, books, and certain room and board expenses) at eligible educational institutions.

0785 - GOVERNOR'S SCHOLARSHIP PROGRAMS

The Governor's Scholarship Programs (GSP) rewarded public school students who demonstrated high academic achievement with scholarships. Students may have earned multiple \$1,000 Governor's Scholars Awards and a one-time \$2,500 Governor's Distinguished Mathematics and Science Scholars Award for scores attained on tests taken in 2000, 2001, and 2002. GSP became inoperative and was repealed after 2002. ScholarShare administers scholarship awards that were already earned.

0790 - CALIFORNIA MEMORIAL SCHOLARSHIP PROGRAM

The California Memorial Scholarship Program provides scholarships to surviving dependents of California residents killed as a result of the terrorist attacks of September 11, 2001.

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
PROGRAM REQUIREMENTS				
0780	GOLDEN STATE SCHOLARSHARE TRUST PROGRAM			
	State Operations:			
0564	Scholarshare Administrative Fund	2,110	2,623	2,610
	Totals, State Operations	\$2,110	\$2,623	\$2,610
	Local Assistance:			
0001	General Fund	\$-	\$-	\$3,000
	Totals, Local Assistance	\$-	\$-	\$3,000
PROGRAM REQUIREMENTS				
0785	GOVERNOR'S SCHOLARSHIP PROGRAM			
	State Operations:			
0001	General Fund	\$239	\$368	\$365
0564	Scholarshare Administrative Fund	-	5	5
	Totals, State Operations	\$239	\$373	\$370
PROGRAM REQUIREMENTS				
0790	CALIFORNIA MEMORIAL SCHOLARSHIP PROGRAMS			
	Local Assistance:			
3033	California Memorial Scholarship Fund	\$-	\$236	\$-
	Totals, Local Assistance	\$-	\$236	\$-
TOTALS, EXPENDITURES				
	State Operations	2,349	2,996	2,980
	Local Assistance	-	236	3,000
	Totals, Expenditures	\$2,349	\$3,232	\$5,980

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0954 Scholarshare Investment Board - Continued**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	10.0	10.0	10.0	\$723	\$723	\$723
Total Adjustments	-0.2	-	-	-20	12	8
Net Totals, Salaries and Wages	9.8	10.0	10.0	\$703	\$735	\$731
Staff Benefits	-	-	-	342	330	329
Totals, Personal Services	9.8	10.0	10.0	\$1,045	\$1,065	\$1,060
OPERATING EXPENSES AND EQUIPMENT				\$1,304	\$1,931	\$1,920
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,349	\$2,996	\$2,980

2 Local Assistance	Expenditures		
	2015-16*	2016-17*	2017-18*
Grants and Subventions - Governmental	\$-	\$236	\$3,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$-	\$236	\$3,000

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$360	\$364	\$365
Allocation for Employee Compensation	2	2	-
Allocation for Staff Benefits	1	1	-
Section 3.60 Pension Contribution Adjustment	1	1	-
Technical Adjustment	1	-	-
Totals Available	\$365	\$368	\$365
Unexpended balance, estimated savings	-126	-	-
TOTALS, EXPENDITURES	\$239	\$368	\$365
0564 Scholarshare Administrative Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,706	\$2,725	\$2,615
Allocation for Employee Compensation	15	22	-
Allocation for Staff Benefits	9	3	-
Pro Rata Assessments Removal	-	-132	-
Section 3.60 Pension Contribution Adjustment	5	10	-
Technical Adjustment	2	-	-
Totals Available	\$2,737	\$2,628	\$2,615
Unexpended balance, estimated savings	-627	-	-
TOTALS, EXPENDITURES	\$2,110	\$2,628	\$2,615
3033 California Memorial Scholarship Fund			
Prior Year Balances Available:			
Chapter 677, Statutes of 2005	27	27	27

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0954 Scholarshare Investment Board - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Adjustment to Reflect End of California Memorial Scholarship Program	-	-	-27
Past Year Adjustments	3	-	-
Totals Available	\$30	\$27	\$-
Balance available in subsequent years	-30	-27	-
TOTALS, EXPENDITURES	\$-	\$-	\$-
Total Expenditures, All Funds, (State Operations)	\$2,349	\$2,996	\$2,980
2 LOCAL ASSISTANCE	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$3,000
TOTALS, EXPENDITURES	\$-	\$-	\$3,000
3033 California Memorial Scholarship Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$236	-
TOTALS, EXPENDITURES	\$-	\$236	\$-
Total Expenditures, All Funds, (Local Assistance)	\$0	\$236	\$3,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$2,349	\$3,232	\$5,980

FUND CONDITION STATEMENTS

	2015-16*	2016-17*	2017-18*
3033 California Memorial Scholarship Fund^s			
BEGINNING BALANCE	\$18	\$345	\$109
Prior Year Adjustments	-2	-	-
Adjusted Beginning Balance	\$16	\$345	\$109
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4142500 License Plate Fees - Personalized Plates	328	-	-
4163000 Investment Income - Surplus Money Investments	1	-	-
Transfers and Other Adjustments			
Revenue Transfer from California Memorial Scholarship Fund (3033) to the Antiterrorism Fund (3034) per Education Code Section 70010.7 (Ch. 38/2002)	-	-	-109
Total Revenues, Transfers, and Other Adjustments	\$329	-	-\$109
Total Resources	\$345	\$345	-
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0954 Scholarshare Investment Board (Local Assistance)	-	236	-
Total Expenditures and Expenditure Adjustments	-	\$236	-
FUND BALANCE	\$345	\$109	-
Reserve for economic uncertainties	345	109	-

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	10.0	10.0	10.0	\$723	\$723	\$723
Salary and Other Adjustments	-0.2	-	-	-20	12	8
Totals, Adjustments	-0.2	-	-	-\$20	\$12	\$8
TOTALS, SALARIES AND WAGES	9.8	10.0	10.0	\$703	\$735	\$731

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0956 California Debt and Investment Advisory Commission

The mission of the California Debt and Investment Advisory Commission is to support and improve the practice of public finance in California by providing responsive and reliable information, analysis, and training. The Commission assists California state and local governments to monitor, issue, and manage public debt and to safely and prudently invest public funds.

The Commission consists of nine members including the State Treasurer, who serves as chairperson; the Governor or, upon his designation, the Director of Finance; the State Controller; two local government finance officers appointed by the State Treasurer; two members of the Assembly appointed by the Speaker of the Assembly; and two members of the Senate appointed by the Senate Committee on Rules.

3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0800	California Debt and Investment Advisory Commission	20.8	18.0	19.0	\$3,198	\$3,392	\$3,699
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		20.8	18.0	19.0	\$3,198	\$3,392	\$3,699
FUNDING					2015-16*	2016-17*	2017-18*
0171	California Debt and Investment Advisory Commission Fund				\$3,167	\$3,212	\$3,519
0995	Reimbursements				31	180	180
TOTALS, EXPENDITURES, ALL FUNDS					\$3,198	\$3,392	\$3,699

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 8855-8859.

DETAILED BUDGET ADJUSTMENTS

		2016-17*			2017-18*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments							
Workload Budget Change Proposals							
•	Personal Services Augmentation	\$-	\$-	-	\$-	\$200	-
•	Accountability Reports (SB 1029)	-	-	-	-	140	1.0
•	Increased Information Technology Overhead Costs	-	-	-	-	88	-
Totals, Workload Budget Change Proposals		\$-	\$-	-	\$-	\$428	1.0
Other Workload Budget Adjustments							
•	Retirement Rate Adjustments	\$-	\$22	-	\$-	\$22	-
•	Salary Adjustments	-	48	-	-	17	-
•	Benefit Adjustments	-	7	-	-	6	-
•	Pro Rata	-	-190	-	-	-190	-
Totals, Other Workload Budget Adjustments		\$-	-\$113	-	\$-	-\$145	-
Totals, Workload Budget Adjustments		\$-	-\$113	-	\$-	\$283	1.0
Totals, Budget Adjustments		\$-	-\$113	-	\$-	\$283	1.0

PROGRAM DESCRIPTIONS

0800 - CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0956 California Debt and Investment Advisory Commission - Continued

The Commission's primary objective is to enhance the knowledge of public officials with respect to the administration and issuance of public debt and the investment of public funds. The Commission achieves this objective by performing functions in three principal areas, including:

- Data Collection and Analysis - Serves as a clearinghouse of debt issued by California public entities and nonprofit student loan corporations.
- Continuing Education - Provides educational seminars, workshops, and conferences to public officials on municipal debt and public fund investment topics. In addition, the Commission provides technical assistance to public officials and taxpayers through direct interaction and public forums to disseminate relevant information.
- Policy Research - Undertakes original research on the issuance and administration of public debt and on the investment of public funds. Research results are published in the form of reports, issue briefs, or articles and are disseminated in printed and electronic forms.

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
	PROGRAM REQUIREMENTS			
0800	CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION			
	State Operations:			
0171	California Debt and Investment Advisory Commission Fund	\$3,167	\$3,212	\$3,519
0995	Reimbursements	31	180	180
	Totals, State Operations	\$3,198	\$3,392	\$3,699
	TOTALS, EXPENDITURES			
	State Operations	3,198	3,392	3,699
	Totals, Expenditures	\$3,198	\$3,392	\$3,699

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	18.0	18.0	18.0	\$1,194	\$1,194	\$1,194
Total Adjustments	2.8	-	1.0	247	48	279
Net Totals, Salaries and Wages	20.8	18.0	19.0	\$1,441	\$1,242	\$1,473
Staff Benefits	-	-	-	673	623	658
Totals, Personal Services	20.8	18.0	19.0	\$2,114	\$1,865	\$2,131
OPERATING EXPENSES AND EQUIPMENT				\$1,084	\$1,527	\$1,568
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$3,198	\$3,392	\$3,699

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0171 California Debt and Investment Advisory Commission Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,403	\$3,325	\$3,519
Adjustment to current service level	1	-	-
Allocation for Employee Compensation	-	48	-
Allocation for Staff Benefits	-	7	-
Allocation for employee compensation	32	-	-
Allocation for staff benefits	19	-	-
Budget position transparency	-136	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0956 California Debt and Investment Advisory Commission - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Expenditure by category redistribution	136	-	-
Map Reimbursable Activities to New Item	-180	-	-
Pro Rata Assessments Removal	-	-190	-
Section 3.60 Pension Contribution Adjustment	-	22	-
Section 3.60 pension contribution adjustment	11	-	-
Totals Available	\$3,286	\$3,212	\$3,519
Unexpended balance, estimated savings	-119	-	-
TOTALS, EXPENDITURES	\$3,167	\$3,212	\$3,519
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$31	\$180	\$180
TOTALS, EXPENDITURES	\$31	\$180	\$180
Total Expenditures, All Funds, (State Operations)	\$3,198	\$3,392	\$3,699

FUND CONDITION STATEMENTS

	2015-16*	2016-17*	2017-18*
0171 California Debt and Investment Advisory Commission Fund^s			
BEGINNING BALANCE	\$3,426	\$5,254	\$4,822
Prior Year Adjustments	-7	-	-
Adjusted Beginning Balance	\$3,419	\$5,254	\$4,822
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	2,624	2,951	3,269
4150500 Interest Income - Interfund Loans	362	-	-
4163000 Investment Income - Surplus Money Investments	21	23	22
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the California Debt and Investment Advisory Commission Fund (0171), per Item 0956-001-0171 Budget Act of 2013	2,000	-	-
Total Revenues, Transfers, and Other Adjustments	\$5,007	\$2,974	\$3,291
Total Resources	\$8,426	\$8,228	\$8,113
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0956 California Debt and Investment Advisory Commission (State Operations)	3,167	3,212	3,519
8880 Financial Information System for California (State Operations)	5	4	4
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	190	219
Total Expenditures and Expenditure Adjustments	\$3,172	\$3,406	\$3,742
FUND BALANCE	\$5,254	\$4,822	\$4,371
Reserve for economic uncertainties	5,254	4,822	4,371

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	18.0	18.0	18.0	\$1,194	\$1,194	\$1,194
Salary and Other Adjustments	2.8	-	-	247	48	17
Workload and Administrative Adjustments						
Accountability Reports (SB 1029)						

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0956 California Debt and Investment Advisory Commission - Continued

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Assoc Govtl Program Analyst	-	-	1.0	-	-	62
Personal Services Augmentation						
	-	-	-	-	-	200
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	1.0	\$-	\$-	\$262
Totals, Adjustments	2.8	-	1.0	\$247	\$48	\$279
TOTALS, SALARIES AND WAGES	20.8	18.0	19.0	\$1,441	\$1,242	\$1,473

0959 California Debt Limit Allocation Committee

The California Debt Limit Allocation Committee's mission is to allocate tax-exempt private activity bond authority for the State of California. Private activity bonds may only be used by the private sector for projects and programs that provide a public benefit, such as the creation of affordable housing.

The federal government limits the amount of tax-exempt private activity bond authority that can be issued on an annual basis. Except for the Qualified Public Educational Facility Bond Program, the bond authority limit is calculated by multiplying the state population by \$100. The state debt (or tax-exempt bond) limit totaled over \$3.92 billion in 2017. The limit for the Qualified Public Educational Facility Bond Program is calculated by multiplying the state population by \$10, and totaled \$392 million for 2017.

The Committee's allocation of tax-exempt bond authority results in the issuance of bonds by cities, counties, joint powers authorities and state agencies. The bonds are purchased and used by the private sector and are not an obligation of the state or of the federal government.

The Committee administers 10 programs that are funded through the allocation and issuance of tax-exempt private activity bonds, and is also responsible for the reallocation of Qualified Energy Conservation Bond (QECB) authority originally provided to qualified localities, but later waived back to the state. The QECB program, made available through the American Recovery and Reinvestment Act of 2009, provides tax incentives and lower borrowing costs for local governments and private entities to promote job creation and economic recovery in areas particularly affected by employment decline and to facilitate renewable energy conservation programs and projects throughout the state.

The Committee is funded on a fee-supported basis and is comprised of the State Treasurer as Chairperson, the Governor, or upon his designation, the Director of Finance, and the State Controller.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0810 California Debt Limit Allocation Committee	8.7	9.3	9.3	\$1,346	\$1,559	\$1,534
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	8.7	9.3	9.3	\$1,346	\$1,559	\$1,534
FUNDING				2015-16*	2016-17*	2017-18*
0169 California Debt Limit Allocation Committee Fund				\$1,346	\$1,559	\$1,534
TOTALS, EXPENDITURES, ALL FUNDS				\$1,346	\$1,559	\$1,534

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Government Code Section 8869.80 et seq.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0959 California Debt Limit Allocation Committee - Continued

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Change Proposals						
• Increased information technology overhead costs	\$-	\$-	-	\$-	\$44	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$44	-
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$-	\$10	-	\$-	\$10	-
• Salary Adjustments	-	25	-	-	7	-
• Benefit Adjustments	-	3	-	-	2	-
• Pro Rata	-	-39	-	-	-39	-
Totals, Other Workload Budget Adjustments	\$-	-\$1	-	\$-	-\$20	-
Totals, Workload Budget Adjustments	\$-	-\$1	-	\$-	\$24	-
Totals, Budget Adjustments	\$-	-\$1	-	\$-	\$24	-

PROGRAM DESCRIPTIONS**0810 - CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE**

Specified entities can issue various bonds, including mortgage revenue bonds (MRBs) that support below-market interest rates for the specified loans; and mortgage credit certificates (MCCs) that are used to reduce a homebuyer's federal tax liability. Below is a discussion of each program:

Qualified Residential Rental Project Program:

These tax-exempt housing revenue bonds assist developers of multifamily rental housing units to acquire land and construct new units or purchase and rehabilitate existing units. The tax-exempt bonds lower the interest rate on a mortgage to be paid by the developers. The developers, in turn, produce affordable and market rate rental housing for low and very low-income households by reducing rental rates to these individuals and families. Projects that receive an award of bond authority have the right to apply for non-competitive four-percent tax credits.

Single-Family Housing Program:

These tax-exempt MRBs or MCCs assist first-time homebuyers with purchasing homes. Homebuyers may purchase single-family homes, either freestanding or detached, condominiums, or townhouses. Program participants must meet income limits and must purchase a home that falls within the program's purchase price limitations.

Home Improvement and Rehabilitation Bond Program:

MRBs or MCCs may be used to assist homeowners with home improvement financing. Homeowners may improve single-family homes, either freestanding or detached, condominiums, or townhouses. Program participants must meet income limits, and in certain cases, must own a home that falls within the program's rehabilitation cost and age-of-home limitations.

Extra Credit Home Purchase Program:

These MRBs or MCCs assist teachers, principals and other eligible school staff with purchasing homes.

Industrial Development Bond Project Program:

Small-Issue industrial development bonds are tax-exempt private activity bonds that are issued to assist manufacturing facilities finance capital expenditures. These bonds offer interest rate savings to small and midsize manufacturers in contrast to conventional loans, and help manufacturers retain and create new jobs within their communities.

Exempt Facility Program:

Exempt Facility Bonds are tax-exempt private activity bonds that are issued to finance solid waste disposal and waste recycling facilities. The tax-exempt bonds provide facility owners with low-cost financing in the form of below-market interest rate loans. The interest rate savings enable the project owners to maintain lower customer rates or minimize customer rate increases, while at the same time assisting the communities they serve to meet mandated requirements to protect and enhance the environment.

Student Loan Program:

Student Loan Bonds are tax-exempt private activity bonds issued for the purpose of either financing direct loans to college students and their parents or purchasing bundles of already-originated loans on the secondary market. When used for direct lending programs, tax-exempt bond allocation allows lenders to pass on interest rate savings to financially needy students via below-market interest rate loans.

Beginning Farmer Program:

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0959 California Debt Limit Allocation Committee - Continued

Beginning Farmer Bonds are tax-exempt private activity bonds that are issued to back below-market interest rate financing for eligible agricultural land, construction/improvements, breeder livestock and equipment for qualified farmers. Eligibility, permissible items, and loan limits are set by the United States Internal Revenue Code. Under the program, a conduit bond issuer applies to the Committee for an allocation of Beginning Farmer Bonds. If the Committee approves the allocation, the issuer then brings together farmers, financial institutions, contract sellers or investors to negotiate terms of a transaction.

Qualified Public Educational Facility Bond Program:

Qualified Public Educational Facility Bonds (QPEF's) are tax-exempt private activity bonds issued to finance the construction, renovation, and furnishing of primary and secondary school facilities. This form of financing reduces the cost of financing for schools as interest earned by the lender is tax exempt.

Qualified Energy Conservation Bond Program:

Qualified Energy Conservation Bonds (QECBs) may be issued as either tax-exempt private activity bonds or governmental purpose taxable bonds. When issued as a governmental purpose taxable bond, QECBs provide the issuer with either a direct or tax credit interest subsidy. QECBs may be issued to finance a broad array of qualified conservation purposes such as capital expenditures, research facilities and research grant programs, mass commuting facilities, public education campaigns and demonstration projects.

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
PROGRAM REQUIREMENTS				
0810	CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE			
	State Operations:			
0169	California Debt Limit Allocation Committee Fund	\$1,346	\$1,559	\$1,534
	Totals, State Operations	\$1,346	\$1,559	\$1,534
	TOTALS, EXPENDITURES			
	State Operations	1,346	1,559	1,534
	Totals, Expenditures	\$1,346	\$1,559	\$1,534

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	8.3	9.3	9.3	\$541	\$603	\$603
Total Adjustments	0.4	-	-	28	25	7
Net Totals, Salaries and Wages	8.7	9.3	9.3	\$569	\$628	\$610
Staff Benefits	-	-	-	279	321	320
Totals, Personal Services	8.7	9.3	9.3	\$848	\$949	\$930
OPERATING EXPENSES AND EQUIPMENT						
				\$498	\$610	\$604
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,346	\$1,559	\$1,534

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0169 California Debt Limit Allocation Committee Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,464	\$1,560	\$1,534
Allocation for Employee Compensation	15	25	-
Allocation for Staff Benefits	8	3	-
Budget Position Transparency	-66	-	-
Expenditure By Category Redistribution	66	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0959 California Debt Limit Allocation Committee - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Pro Rata Assessments Removal	-	-39	-
Rounding Adjustments	1	-	-
Section 3.60 Pension Contribution Adjustment	5	10	-
Totals Available	\$1,493	\$1,559	\$1,534
Unexpended balance, estimated savings	-147	-	-
TOTALS, EXPENDITURES	\$1,346	\$1,559	\$1,534
Total Expenditures, All Funds, (State Operations)	\$1,346	\$1,559	\$1,534

FUND CONDITION STATEMENTS

	2015-16*	2016-17*	2017-18*
0169 California Debt Limit Allocation Committee Fund^s			
BEGINNING BALANCE	\$2,617	\$5,467	\$5,699
Prior Year Adjustments	16	-	-
Adjusted Beginning Balance	\$2,633	\$5,467	\$5,699
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	1,799	1,799	1,640
4150500 Interest Income - Interfund Loans	362	-	-
4163000 Investment Income - Surplus Money Investments	21	32	32
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the California Debt Limit Allocation Committee Fund (0169), per item 0959-001-0169 Budget Act of 2013.	2,000	-	-
Total Revenues, Transfers, and Other Adjustments	\$4,182	\$1,831	\$1,672
Total Resources	\$6,815	\$7,298	\$7,371
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0959 California Debt Limit Allocation Committee (State Operations)	1,346	1,559	1,534
8880 Financial Information System for California (State Operations)	2	1	2
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	39	126
Total Expenditures and Expenditure Adjustments	\$1,348	\$1,599	\$1,662
FUND BALANCE	\$5,467	\$5,699	\$5,709
Reserve for economic uncertainties	5,467	5,699	5,709

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	8.3	9.3	9.3	\$541	\$603	\$603
Salary and Other Adjustments	0.4	-	-	28	25	7
Totals, Adjustments	0.4	-	-	\$28	\$25	\$7
TOTALS, SALARIES AND WAGES	8.7	9.3	9.3	\$569	\$628	\$610

0964 California Transportation Financing Authority

The California Transportation Financing Authority was established by Chapter 474, Statutes of 2009, to increase the construction of new capacity or improvements for the state transportation system in a manner that will help achieve the state's goals of reducing greenhouse gas, improving air quality, and conserving natural resources.

The Authority consists of seven members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, the Director of Transportation, the Executive Director of the California Transportation Commission, a local

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0964 California Transportation Financing Authority - Continued

agency representative appointed by the Senate Committee on Rules, and a local agency representative appointed by the Speaker of the Assembly.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0820 California Transportation Financing Authority	-	-	-	\$-	\$-	\$-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$-	\$-	\$-

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Division 3 (commencing with Section 64100) of Title 6.7.

PROGRAM DESCRIPTIONS

0820 - CALIFORNIA TRANSPORTATION FINANCING AUTHORITY

The Authority was established to assist transportation agencies in obtaining financing to develop transportation projects. To meet this objective, statutes allow the Authority to issue, or to approve specified project sponsors to issue, revenue bonds to fund transportation projects backed in whole or in part, by various revenue streams of transportation funds (including toll revenues). Statutes provide the authority to approve the imposition and collection of tolls on proposed transportation projects under certain conditions. The planning, programming, and allocation of transportation projects are performed by the California Transportation Commission and the California Department of Transportation, as appropriate.

0965 California Industrial Development Financing Advisory Commission

The California Industrial Development Financing Advisory Commission creates employment opportunities and supports local economic development by approving local entities' issuance of industrial development bonds. These bonds provide manufacturers with a low-cost financing option to build, expand, or equip their facilities in California. The Commission independently reviews bond applications to ensure compliance with federal tax law and state law, provides technical assistance to bond issuers, and approves the bond sales by local authorities.

The State Treasurer serves as chairperson of the Commission. The other members are the Director of the Department of Finance, the State Controller, the Director of the Governor's Office of Business and Economic Development, and the Commissioner of the Department of Business Oversight.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0830 California Industrial Development Financing Advisory Commission	0.5	1.0	1.0	\$89	\$75	\$40
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	0.5	1.0	1.0	\$89	\$75	\$40
FUNDING				2015-16*	2016-17*	2017-18*
0215 Industrial Development Fund				\$89	\$75	\$40
TOTALS, EXPENDITURES, ALL FUNDS				\$89	\$75	\$40

LEGAL CITATIONS AND AUTHORITY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0965 California Industrial Development Financing Advisory Commission - Continued

DEPARTMENT AUTHORITY

Government Code Sections 91500 to 91574, Insurance Code Section 1192.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
• Augmentation per Provision 1 of Item 0965-001-0215, Budget Act of 2016	\$-	\$35	-	\$-	\$-	-
Totals, Other Workload Budget Adjustments	\$-	\$35	-	\$-	\$-	-
Totals, Workload Budget Adjustments	\$-	\$35	-	\$-	\$-	-
Totals, Budget Adjustments	\$-	\$35	-	\$-	\$-	-

PROGRAM DESCRIPTIONS**0830 - CALIFORNIA INDUSTRIAL DEVELOPMENT FINANCING ADVISORY COMMISSION**

The core program area is the Industrial Development Bond Program, under which the Commission serves as the mandatory approval agency for the local bond issuers. Once potential borrowers (manufacturers and processing companies) apply through their local government agency for low cost tax-exempt financing, the Commission carefully reviews the public benefits generated by the proposed project, particularly those benefits associated with job creation, and determines whether these benefits will significantly outweigh any detrimental public effects. Eligible capital expenditures include the acquisition of land, building construction, building renovation, and the purchase of machinery and equipment. Bond proceeds also can be used to cover the cost of architects, engineers, attorneys, permits, and the cost of bond issuance. The local issuer can be a city, county, economic development authority, or a joint power authority.

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
PROGRAM REQUIREMENTS				
0830 CALIFORNIA INDUSTRIAL DEVELOPMENT FINANCING ADVISORY COMMISSION				
State Operations:				
0215 Industrial Development Fund		\$89	\$75	\$40
Totals, State Operations		\$89	\$75	\$40
TOTALS, EXPENDITURES				
State Operations		89	75	40
Totals, Expenditures		\$89	\$75	\$40

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	1.0	1.0	1.0	\$85	\$-	\$-
Total Adjustments	-0.5	-	-	-33	35	-
Net Totals, Salaries and Wages	0.5	1.0	1.0	\$52	\$35	\$-
Staff Benefits	-	-	-	23	-	-
Totals, Personal Services	0.5	1.0	1.0	\$75	\$35	\$-
OPERATING EXPENSES AND EQUIPMENT				\$14	\$40	\$40

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0965 California Industrial Development Financing Advisory Commission - Continued

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$89	\$75	\$40

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0215 Industrial Development Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$41	\$40	\$40
Adjustment to current service level	-1	-	-
Augmentation per Provision 1 of Item 0965-001-0215, Budget Act of 2015	85	-	-
Augmentation per Provision 1 of Item 0965-001-0215, Budget Act of 2016	-	35	-
Totals Available	\$125	\$75	\$40
Unexpended balance, estimated savings	-36	-	-
TOTALS, EXPENDITURES	\$89	\$75	\$40
Total Expenditures, All Funds, (State Operations)	\$89	\$75	\$40

FUND CONDITION STATEMENTS

	2015-16*	2016-17*	2017-18*
0215 Industrial Development Fund^s			
BEGINNING BALANCE	\$124	\$46	\$31
Prior Year Adjustments	-2	-	-
Adjusted Beginning Balance	\$122	\$46	\$31
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	13	60	60
Total Revenues, Transfers, and Other Adjustments	\$13	\$60	\$60
Total Resources	\$135	\$106	\$91
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0965 California Industrial Development Financing Advisory Commission (State Operations)	89	75	40
Total Expenditures and Expenditure Adjustments	\$89	\$75	\$40
FUND BALANCE	\$46	\$31	\$51
Reserve for economic uncertainties	46	31	51

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	1.0	1.0	1.0	\$85	\$-	\$-
Salary and Other Adjustments	-0.5	-	-	-33	35	-
Totals, Adjustments	-0.5	-	-	-\$33	\$35	\$-
TOTALS, SALARIES AND WAGES	0.5	1.0	1.0	\$52	\$35	\$-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0968 California Tax Credit Allocation Committee

The mission of the California Tax Credit Allocation Committee is to fairly allocate federal and state tax credits to create and maintain safe quality affordable rental housing for low-income households in California by forming partnerships with developers, investors and public entities.

The Committee works in public/private partnerships to assist with low-income multi-family rental housing project development, while fulfilling its responsibilities as a credit agency through project compliance monitoring. The Committee also coordinates its functions with state and local housing fund providers and with private fund investors, when providing and maintaining quality, affordable housing.

The Committee consists of seven members, including the Treasurer who is designated as Chairperson, the Governor or Director of Finance, the State Controller, the Director of the Department of Housing and Community Development, the Executive Director of the California Housing Finance Agency, and two local government representatives.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0840 California Tax Credit Allocation Committee	38.7	44.9	45.9	\$7,240	\$8,228	\$8,204
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	38.7	44.9	45.9	\$7,240	\$8,228	\$8,204

FUNDING		2015-16*	2016-17*	2017-18*
0448	Occupancy Compliance Monitoring Account,Tax Credit Allocation Fee Account	\$4,610	\$4,982	\$5,011
0457	Tax Credit Allocation Fee Account	2,490	3,136	3,083
0995	Reimbursements	140	110	110
TOTALS, EXPENDITURES, ALL FUNDS		\$7,240	\$8,228	\$8,204

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Internal Revenue Code Section 42; California Health and Safety Code Sections 50199.4 through 50199.22; and California Revenue and Taxation Code Sections 12205, 12206, 17057.5, 17058, 23610.4, and 23610.5; Federal Tax Reform Act of 1986, Section 252 of Public Law No. 99-514 (October 22, 1986); Chapter 658, California Statutes of 1987, as amended; Chapter 1138, California Statutes of 1987, as amended; Internal Revenue Code, Title 26, Subtitle A, Chapter 1, Subchapter X, Section 1400E et seq., as amended.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Increased information technology overhead costs	\$-	\$-	-	\$-	\$208	-
• Compliance Section Support Staff	-	-	-	-	107	1.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$315	1.0
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$-	\$46	-	\$-	\$46	-
• Salary Adjustments	-	111	-	-	24	-
• Benefit Adjustments	-	12	-	-	8	-
• Miscellaneous Baseline Adjustments	-	-2	-	-	-2	-
• Pro Rata	-	-326	-	-	-326	-
Totals, Other Workload Budget Adjustments	\$-	-\$159	-	\$-	-\$250	-
Totals, Workload Budget Adjustments	\$-	-\$159	-	\$-	\$65	1.0
Totals, Budget Adjustments	\$-	-\$159	-	\$-	\$65	1.0

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0968 California Tax Credit Allocation Committee - Continued

PROGRAM DESCRIPTIONS

0840 - CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE

The Federal Low-Income Housing Tax-Credit Program:

Congress authorized the federal program in 1986. It replaced traditional housing tax incentives, such as accelerated depreciation, with a tax credit that enables developers of affordable rental housing to raise project equity through the "sale" of tax benefits to investors.

The annual housing credit ceiling is indexed for inflation. As of 2016, each state has an annual housing credit ceiling of \$2.35 per state resident, and may qualify for a share of credits available annually in a national pool comprised of states' unused credits. Investors can take the annual credit against their federal income tax liability each year for a ten-year period.

The State Low-Income Housing Tax-Credit Program:

Recognizing the high cost of developing housing in California, the California Legislature authorized the State Low-Income Housing Tax-Credit Program to augment the federal tax credit program. Specifically, projects that are not receiving an increase in their federal tax credit basis may apply for and receive state tax credits. However, an exception to this rule is in place for projects serving special needs populations (as defined in Section 10325 of the California Code of Regulations). Those projects may apply for and receive state tax credits even if they are receiving an increase in their federal tax credit basis. The project's "eligible basis" is the portion of the total project cost that is used to calculate the amount of the tax credit.

The annual state credit ceiling is currently \$94.5 million, indexed for inflation. Investors take the state credit over a four-year period in contrast to the ten-year federal allocation period.

Tax-Exempt Bond Financed Program:

Developments financed with tax-exempt bond proceeds may also receive federal tax credit. The sponsors of such projects must apply to the Committee and must conform to applicable federal and state statutory and regulatory requirements, but there is no annual cap on the amount of credit that may be awarded by the state to such developments. The annual credit available is based on approximately four percent of the qualified basis of the development. The qualified basis includes those costs attributable to the units that will be income and rent restricted for a minimum of 30 years.

Under federal law, credit projects must remain affordable for at least 30 years; however, California's program generally requires maintaining affordability for 55 years. Land use agreements are recorded against each credit project to ensure compliance.

Farmworker Housing Tax-Credit Program:

Chapter 521, Statutes of 2008 folded the previous Farmworker Housing Assistance tax credits into the general state low-income housing tax-credit program. While \$500,000 annually continues to be authorized for state tax credits in support of farmworker housing, the program now operates under the rules of the general state low-income housing tax-credit program.

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
	PROGRAM REQUIREMENTS			
0840	CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE			
	State Operations:			
0448	Occupancy Compliance Monitoring Account,Tax Credit Allocation Fee Account	\$4,610	\$4,982	\$5,011
0457	Tax Credit Allocation Fee Account	2,230	2,946	2,893
0995	Reimbursements	140	110	110
	Totals, State Operations	\$6,980	\$8,038	\$8,014
	Local Assistance:			
0457	Tax Credit Allocation Fee Account	\$260	\$190	\$190
	Totals, Local Assistance	\$260	\$190	\$190
	TOTALS, EXPENDITURES			
	State Operations	6,980	8,038	8,014
	Local Assistance	260	190	190

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0968 California Tax Credit Allocation Committee - Continued

	2015-16*	2016-17*	2017-18*
Totals, Expenditures	\$7,240	\$8,228	\$8,204

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	37.9	44.9	44.9	\$2,410	\$2,844	\$2,844
Total Adjustments	0.8	-	1.0	120	111	62
Net Totals, Salaries and Wages	38.7	44.9	45.9	\$2,530	\$2,955	\$2,906
Staff Benefits	-	-	-	1,271	1,520	1,543
Totals, Personal Services	38.7	44.9	45.9	\$3,801	\$4,475	\$4,449
OPERATING EXPENSES AND EQUIPMENT				\$3,179	\$3,557	\$3,559
SPECIAL ITEMS OF EXPENSES				-	6	6
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$6,980	\$8,038	\$8,014

2 Local Assistance	Expenditures		
	2015-16*	2016-17*	2017-18*
Grants and Subventions - Governmental	\$260	\$190	\$190
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$260	\$190	\$190

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,700	\$5,099	\$5,011
Allocation for Employee Compensation	42	62	-
Allocation for Staff Benefits	23	7	-
Budget Position Transparency	-143	-	-
Expenditure By Category Redistribution	143	-	-
Map Reimbursable Activities to New Item	-80	-	-
Pro Rata Assessments Removal	-	-215	-
Section 3.60 Pension Contribution Adjustment	14	29	-
Totals Available	\$4,699	\$4,982	\$5,011
Unexpended balance, estimated savings	-89	-	-
TOTALS, EXPENDITURES	\$4,610	\$4,982	\$5,011
0457 Tax Credit Allocation Fee Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,690	\$2,982	\$2,887
Allocation for Employee Compensation	22	48	-
Allocation for Staff Benefits	13	6	-
Budget Position Transparency	-77	-	-
CalATERS Funding Removal	-	-2	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0968 California Tax Credit Allocation Committee - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Expenditure By Category Redistribution	77	-	-
Map Reimbursable Activities to New Item	-30	-	-
Pro Rata Assessments Removal	-	-111	-
Section 3.60 Pension Contribution Adjustment	8	17	-
Health and Safety Code section 50199.9(b)	6	6	6
Totals Available	\$2,709	\$2,946	\$2,893
Unexpended balance, estimated savings	-479	-	-
TOTALS, EXPENDITURES	\$2,230	\$2,946	\$2,893
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$140	\$110	\$110
TOTALS, EXPENDITURES	\$140	\$110	\$110
Total Expenditures, All Funds, (State Operations)	\$6,980	\$8,038	\$8,014
2 LOCAL ASSISTANCE			
0457 Tax Credit Allocation Fee Account			
APPROPRIATIONS			
Health and Safety Code section 50199.9(b)	\$190	\$190	\$190
Past Year Adjustments	70	-	-
TOTALS, EXPENDITURES	\$260	\$190	\$190
Total Expenditures, All Funds, (Local Assistance)	\$260	\$190	\$190
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$7,240	\$8,228	\$8,204

FUND CONDITION STATEMENTS

	2015-16*	2016-17*	2017-18*
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account^s			
BEGINNING BALANCE	\$22,779	\$24,983	\$26,737
Prior Year Adjustments	1	-	-
Adjusted Beginning Balance	\$22,780	\$24,983	\$26,737
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	6,718	6,852	6,989
4163000 Investment Income - Surplus Money Investments	102	104	106
Total Revenues, Transfers, and Other Adjustments	\$6,820	\$6,956	\$7,095
Total Resources	\$29,600	\$31,939	\$33,832
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0968 California Tax Credit Allocation Committee (State Operations)	4,610	4,982	5,011
8880 Financial Information System for California (State Operations)	7	5	6
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	215	205
Total Expenditures and Expenditure Adjustments	\$4,617	\$5,202	\$5,222
FUND BALANCE	\$24,983	\$26,737	\$28,610
Reserve for economic uncertainties	24,983	26,737	28,610
0457 Tax Credit Allocation Fee Account^s			
BEGINNING BALANCE	\$14,865	\$19,353	\$36,500
Prior Year Adjustments	-3	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0968 California Tax Credit Allocation Committee - Continued

	2015-16*	2016-17*	2017-18*
Adjusted Beginning Balance	\$14,862	\$19,353	\$36,500
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	6,802	6,938	7,076
4150500 Interest Income - Interfund Loans	-	294	-
4163000 Investment Income - Surplus Money Investments	153	165	175
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	30	-	-
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Tax Credit Allocation	-	13,000	-
Fee Account (0457), per Item 0968-001-0457 Budget Act of 2015.			
Total Revenues, Transfers, and Other Adjustments	<u>\$6,985</u>	<u>\$20,397</u>	<u>\$7,251</u>
Total Resources	\$21,847	\$39,750	\$43,751
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0968 California Tax Credit Allocation Committee (State Operations)	2,230	2,946	2,893
0968 California Tax Credit Allocation Committee (Local Assistance)	260	190	190
8880 Financial Information System for California (State Operations)	4	3	4
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	111	128
Total Expenditures and Expenditure Adjustments	<u>\$2,494</u>	<u>\$3,250</u>	<u>\$3,215</u>
FUND BALANCE	\$19,353	\$36,500	\$40,536
Reserve for economic uncertainties	19,353	36,500	40,536

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	37.9	44.9	44.9	\$2,410	\$2,844	\$2,844
Salary and Other Adjustments	0.8	-	-	120	111	24
Workload and Administrative Adjustments						
Compliance Section Support Staff						
Office Techn (Typing)	-	-	1.0	-	-	38
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	<u>-</u>	<u>-</u>	<u>1.0</u>	<u>\$-</u>	<u>\$-</u>	<u>\$38</u>
Totals, Adjustments	<u>0.8</u>	<u>-</u>	<u>1.0</u>	<u>\$120</u>	<u>\$111</u>	<u>\$62</u>
TOTALS, SALARIES AND WAGES	38.7	44.9	45.9	\$2,530	\$2,955	\$2,906

0971 California Alternative Energy and Advanced Transportation Financing Authority

The California Alternative Energy and Advanced Transportation Financing Authority was established by Chapter 908, Statutes of 1980, to promote the prompt and efficient development of energy sources which are renewable or which more efficiently utilize and conserve scarce energy resources. Recognizing the importance of developing a secure energy future to protect the environment and ensure economic stability, the Authority advances the state's goals of reducing greenhouse gas emissions by providing financing options to assist industries in increasing the deployment of sustainable and renewable energy sources, implementing measures that increase the efficiency of the use of energy, creating high quality employment opportunities, and lessening the state's dependence on fossil fuels. To support these goals, the Authority provides financing and credit enhancements to promote the establishment of facilities that use alternative methods and sources of energy, and facilities needed for the development and commercialization of advanced transportation technologies.

The Authority consists of five members: the State Treasurer, who serves as the chairperson, the State Controller, the Director of the Department of Finance, the Chairperson of the State Energy Resources Conservation and Development Commission, and the President of the Public Utilities Commission.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0971 California Alternative Energy and Advanced Transportation Financing Authority

- Continued

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0850 California Alternative Energy and Advanced Transportation Financing Authority	12.4	15.0	9.0	\$2,364	\$6,038	\$6,713
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	12.4	15.0	9.0	\$2,364	\$6,038	\$6,713

FUNDING

	2015-16*	2016-17*	2017-18*
0465 Energy Resources Programs Account	\$416	\$497	\$495
0528 California Alternative Energy Authority Fund	679	1,625	1,612
0995 Reimbursements	1,269	3,916	4,606
TOTALS, EXPENDITURES, ALL FUNDS	\$2,364	\$6,038	\$6,713

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Public Resources Code Sections 26000-26082.

MAJOR PROGRAM CHANGES

- Energy Efficiency Pilot Programs - The Budget includes an increase of \$3.7 million in 2017-18 to continue administering the ratepayer funded pilot programs designed to encourage private lenders to develop financial products for energy efficiency projects.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Administration of Energy Efficiency Pilot Programs	\$-	\$-	-	\$-	\$3,660	-
• Increased Information Technology Overhead Costs	-	-	-	-	80	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$3,740	-
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$-	\$16	-	\$-	\$16	-
• Salary Adjustments	-	37	-	-	12	-
• Benefit Adjustments	-	5	-	-	4	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-	-
• Pro Rata	-	-185	-	-	-185	-
Totals, Other Workload Budget Adjustments	\$-	-\$127	-	\$-	-\$153	-
Totals, Workload Budget Adjustments	\$-	-\$127	-	\$-	\$3,587	-
Totals, Budget Adjustments	\$-	-\$127	-	\$-	\$3,587	-

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0971 California Alternative Energy and Advanced Transportation Financing Authority

- Continued

PROGRAM DESCRIPTIONS

0850 - ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY

Financial assistance can take the form of loans, loan loss reserves, interest rate reductions, proceeds of bonds issued by the authority, bond insurance, loan guarantees or other credit enhancements or liquidity facilities, contributions of money, or a combination thereof, as determined and approved by resolution of the Authority.

In 2010, the Authority launched a sales and use tax exclusion (STE) program for advanced transportation and alternative source manufacturing in California (Chapter 10, Statutes of 2010) and subsequently, Chapter 677, Statutes of 2012, expanded the STE program to include advanced manufacturing, with an annual cap of \$100 million available for STE awards. Chapter 768, Statutes of 2015, further expanded the program to also include equipment primarily used to process recycled feedstock and equipment that primarily utilizes recycled feedstock in the production of another product. The objective of this program is to promote the creation of California-based manufacturing, businesses, and jobs that are aimed at reducing greenhouse gases, air and water pollution, and energy consumption.

Legislation in 2010 (Chapter 15, Statutes of 2010), 2011 (Chapter 9, Statutes of 2011, First Extraordinary Session), and 2013 (Chapter 356, Statutes of 2013), authorized financial assistance to encourage energy efficiency retrofits. The Authority developed a loan loss reserve program to assist financial institutions in making loans to homeowners in the state for residential whole house energy efficiency and renewable energy projects, and developed a risk mitigation program for residential Property Assessed Clean Energy programs in California.

Most recently, the Budget Act of 2014 authorized administration of the California Hub for Energy Efficiency Financing on behalf of, and in collaboration with, the California Public Utilities Commission. These energy efficiency financing pilot programs will employ various types of credit enhancements to encourage and leverage private capital lending (in both the residential and commercial sectors) for energy efficiency improvements needed to help achieve California's energy efficiency goals.

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
	PROGRAM REQUIREMENTS			
0850	CALIFORNIA ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY			
	State Operations:			
0465	Energy Resources Programs Account	\$416	\$497	\$495
0528	California Alternative Energy Authority Fund	679	1,625	1,612
0995	Reimbursements	1,269	3,916	4,606
	Totals, State Operations	\$2,364	\$6,038	\$6,713
	TOTALS, EXPENDITURES			
	State Operations	2,364	6,038	6,713
	Totals, Expenditures	\$2,364	\$6,038	\$6,713

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	14.0	15.0	9.0	\$736	\$885	\$561
Total Adjustments	-1.6	-	-	13	37	776
Net Totals, Salaries and Wages	12.4	15.0	9.0	\$749	\$922	\$1,337
Staff Benefits	-	-	-	387	445	695
Totals, Personal Services	12.4	15.0	9.0	\$1,136	\$1,367	\$2,032
OPERATING EXPENSES AND EQUIPMENT				\$1,228	\$4,671	\$4,681
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,364	\$6,038	\$6,713

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0971 California Alternative Energy and Advanced Transportation Financing Authority

- Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0465 Energy Resources Programs Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$554	\$571	\$495
Allocation for Employee Compensation	3	5	-
Allocation for Staff Benefits	2	1	-
Pro Rata Assessments Removal	-	-82	-
Section 3.60 Pension Contribution Adjustment	1	2	-
Totals Available	\$560	\$497	\$495
Unexpended balance, estimated savings	-144	-	-
TOTALS, EXPENDITURES	\$416	\$497	\$495
0528 California Alternative Energy Authority Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,546	\$1,711	\$1,612
Allocation for Employee Compensation	21	10	-
Allocation for Staff Benefits	11	1	-
Budget Position Transparency	-265	-	-
Expenditure by Category Redistribution	265	-	-
Map Reimbursable Activities to New Item	-2,798	-	-
Pro Rata Assessments Removal	-	-103	-
Section 3.60 Pension Contribution Adjustment	7	6	-
Totals Available	\$1,787	\$1,625	\$1,612
Unexpended balance, estimated savings	-1,108	-	-
TOTALS, EXPENDITURES	\$679	\$1,625	\$1,612
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$1,269	\$3,916	\$4,606
TOTALS, EXPENDITURES	\$1,269	\$3,916	\$4,606
Total Expenditures, All Funds, (State Operations)	\$2,364	\$6,038	\$6,713

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	14.0	15.0	9.0	\$736	\$885	\$561
Salary and Other Adjustments	-1.6	-	-	13	37	12
Workload and Administrative Adjustments						
Administration of Energy Efficiency Pilot Programs						
Assoc Govtl Program Analyst	-	-	4.0	-	-	248
Office Techn (Gen)	-	-	2.0	-	-	76
Staff Svcs Mgr I	-	-	5.0	-	-	357
Staff Svcs Mgr II (Suprvy)	-	-	1.0	-	-	83
Various	-	-	-12.0	-	-	-
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	-	\$-	\$-	\$764

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0971 California Alternative Energy and Advanced Transportation Financing Authority

- Continued

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Totals, Adjustments	-1.6	-	-	\$13	\$37	\$776
TOTALS, SALARIES AND WAGES	12.4	15.0	9.0	\$749	\$922	\$1,337

0974 California Pollution Control Financing Authority

California Pollution Control Financing Authority is committed to stimulating local economies in California by making capital more accessible to small businesses, and delivering innovative financing for projects that protect and restore the environment. The Authority was created to assist the solid waste, recycling, water, and wastewater industries through its Pollution Control Tax-Exempt Bond Program. Over the last twenty years, the Authority has evolved to provide financing assistance to California's small business sector through its California Capital Access Program (CalCAP), and to promote the reuse and redevelopment of brownfields through the California Recycle Underutilized Sites Program (CALReUSE).

The Authority consists of the State Treasurer (Chairperson), the State Controller, and the Director of the Department of Finance.

3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0860	Pollution Control Tax-Exempt Bond Program	7.0	3.0	3.0	\$2,468	\$591	\$573
0865	Capital Access Program for Small Businesses	12.6	16.0	16.0	49,009	3,136	3,129
0870	California Recycle Underutilized Sites Program	6.3	7.1	7.1	2,525	19,280	19,267
0876	California Capital Access Program for Compliance with the Americans with Disabilities Act	-	-	-	10,000	-	-
0877	California Seismic Safety Capital Access Loan Program	-	-	-	-	10,000	-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		25.9	26.1	26.1	\$64,002	\$33,007	\$22,969

FUNDING		2015-16*	2016-17*	2017-18*
0001	General Fund	\$10,000	\$10,000	\$-
0890	Federal Trust Fund	28,842	-	-
0930	Pollution Control Financing Authority Fund	25,160	23,007	22,969
TOTALS, EXPENDITURES, ALL FUNDS		\$64,002	\$33,007	\$22,969

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Health and Safety Code Sections 44500-44563.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Increased Information Technology Overhead Costs	\$-	\$-	-	\$-	\$137	-

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0974 California Pollution Control Financing Authority - Continued

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Totals, Workload Budget Change	\$-	\$-	-	\$-	\$137	-
Proposals						
Other Workload Budget Adjustments						
• Miscellaneous Baseline Adjustments	\$-	\$343	3.0	\$-	\$343	3.0
• Retirement Rate Adjustments	-	29	-	-	29	-
• Salary Adjustments	-	73	-	-	22	-
• Benefit Adjustments	-	10	-	-	6	-
• Pro Rata	-	-144	-	-	-144	-
Totals, Other Workload Budget Adjustments	\$-	\$311	3.0	\$-	\$256	3.0
Totals, Workload Budget Adjustments	\$-	\$311	3.0	\$-	\$393	3.0
Totals, Budget Adjustments	\$-	\$311	3.0	\$-	\$393	3.0

PROGRAM DESCRIPTIONS**0860 - POLLUTION CONTROL TAX-EXEMPT BOND PROGRAM**

The objective of this program is to provide bond financing to California businesses, irrespective of company size, for the acquisition, construction, or installation of qualified pollution control, waste disposal, water furnishing, wastewater treatment, waste recovery facilities, and the acquisition and installation of new equipment. Tax-exempt financing issued by the Authority assists municipalities in complying with waste diversion mandates of the California Integrated Waste Management Act of 1989. As of June 30, 2016, bonds totaling approximately \$14.8 billion have been issued by the Authority.

0865 - CALIFORNIA CAPITAL ACCESS PROGRAM FOR SMALL BUSINESSES

The objective of this program is to encourage banks and other financial institutions to make loans to small businesses. CalCAP for Small Businesses is a form of loan portfolio insurance that may provide up to 100 percent coverage on certain loan defaults. By participating in CalCAP, lenders have available to them a proven financing mechanism to meet the financing needs of California's small businesses. The strength of CalCAP rests in its simplicity and its leverage of federal and state funds. The program works through the creation of a loan loss-reserve fund for each participating financial institution. The Authority funds this reserve together with the financial institution and the borrower. Additional incentives are provided to lend to businesses located in specified communities. The reserve serves to reduce loan-loss risk, allowing banks to lend to targeted California small businesses. Chapter 731, Statutes of 2010, appropriated \$6 million General Fund to CalCAP to increase lending efforts. As of June 30, 2016, approximately \$3.5 million of the \$6 million has been used to assist small businesses.

In addition, California was awarded an allocation of federal funds in the amount of \$168.6 million from the federal State Small Business Credit Initiative (SSBCI). The Authority and the Governor's Office of Business and Economic Development (Go-BIZ) equally share this allocation of \$84.3 million each. These funds have enabled the Authority to significantly expand the availability of its CalCAP program statewide. The Authority also launched the Collateral Support Program to assist more small businesses and utilize the SSBCI Federal funds. Funds were allocated to California in three installments based on program progress. The Authority received \$27 million in March 2011, \$27 million in December 2013, and \$29 million in August 2015.

Utilizing the CalCAP model, the Authority has partnered with the Air Resources Board (ARB) to assist diesel truck and equipment owners in meeting new clean air requirements by directing a total of \$95 million in Air Quality Improvement Program funds towards the purchase or retrofit of diesel trucks to comply with ARB's Statewide Truck and Bus Rule. The Authority has also partnered with the California Energy Commission to implement a \$2 million pilot program to provide credit enhancements and rebates to small business borrowers who install electric vehicle charging stations. Similarly, the Authority is partnering with the Department of Resources, Recycling, and Recovery to assist with the financing of important state recycling objectives.

0870 - CALIFORNIA RECYCLE UNDERUTILIZED SITES PROGRAM

The CALReUSE program assists with the reuse and redevelopment of underutilized properties with real or perceived contamination issues (brownfields). CALReUSE addresses a funding and information gap in the development of brownfields to help bring these properties into productive reuse. Beginning in 2000, the CALReUSE Assessment Program provided forgivable loans of up to \$300,000 per brownfield site. The funds assisted with brownfield site assessment and characterization, technical assistance, remedial action plans and site access. Eligible projects included sites with potential beneficial reuse not currently redeveloped due to lack of information about real or perceived contamination, uncertainty about clean-up costs, or concerns regarding time frames and the regulatory process.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0974 California Pollution Control Financing Authority - Continued

Additionally, Proposition 1C, the Housing and Emergency Shelter Trust Fund Act of 2006, included provisions to fund brownfield cleanup that resulted in the expansion of CALReUSE. The CALReUSE Remediation Program received \$60 million in Proposition 1C funds and is providing up to \$5 million per project in loans and grants for the purpose of brownfield cleanup that promotes infill residential and mixed-used development, including affordable housing, consistent with regional and local land use plans.

0875 - ALTERNATIVE FINANCING PROGRAMS

Under its authority to provide alternative methods of financing facilities for pollution control, clean water supply and alternative energy production, the Authority is developing alternative financing models to assist sister agencies with achieving the state's environmental goals. The Authority is authorized to receive and accept contributions from other sources including federal and state agencies to carry out the purposes of its statutory authority.

0876 - CALIFORNIA CAPITAL ACCESS PROGRAM FOR COMPLIANCE WITH THE AMERICANS WITH DISABILITIES ACT

The objective of this program, created by Chapter 787, Statutes of 2015, is to help small businesses comply with the federal Americans with Disabilities Act and increase access for their employees and customers by encouraging lenders and other financial institutions to make loans to very small businesses to finance tenant improvements. The Legislature provided the Authority an appropriation of \$10 million effective January 1, 2016, to administer a credit enhancement program following the CalCAP model and create loan loss reserve accounts for lenders that enroll qualifying loans into the program.

0877 - CALIFORNIA SEISMIC SAFETY CAPITAL ACCESS LOAN PROGRAM

The California Seismic Safety Capital Access Loan Program authorized by Chapter 32, Statutes of 2016, provided a one-time allocation of \$10 million to provide an accessible loan program to qualified small businesses and residential property owners (including multiunit dwellings and mobile homes registered by the California Department of Housing and Community Development) for costs related to seismic retrofitting. The Program encourages banks and other financial institutions to participate by creating loan loss reserve accounts for lenders that enroll qualifying loans into the program. The loans to small businesses and residential property owners are for financing seismic retrofits of real property to reduce losses and mitigate seismic damage.

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
	PROGRAM REQUIREMENTS			
0860	POLLUTION CONTROL TAX-EXEMPT BOND PROGRAM			
	State Operations:			
0930	Pollution Control Financing Authority Fund	\$2,468	\$591	\$573
	Totals, State Operations	\$2,468	\$591	\$573
	PROGRAM REQUIREMENTS			
0865	CAPITAL ACCESS PROGRAM FOR SMALL BUSINESSES			
	State Operations:			
0930	Pollution Control Financing Authority Fund	\$20,167	\$3,136	\$3,129
	Totals, State Operations	\$20,167	\$3,136	\$3,129
	Local Assistance:			
0890	Federal Trust Fund	\$28,842	\$-	\$-
	Totals, Local Assistance	\$28,842	\$-	\$-
	PROGRAM REQUIREMENTS			
0870	CALIFORNIA RECYCLE UNDERUTILIZED SITES PROGRAM			
	State Operations:			
0930	Pollution Control Financing Authority Fund	\$2,525	\$19,280	\$19,267
	Totals, State Operations	\$2,525	\$19,280	\$19,267
	PROGRAM REQUIREMENTS			
0876	CALIFORNIA CAPITAL ACCESS PROGRAM FOR COMPLIANCE WITH THE AMERICANS WITH DISABILITIES ACT			
	Local Assistance:			

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0974 California Pollution Control Financing Authority - Continued

		2015-16*	2016-17*	2017-18*
0001	General Fund	\$10,000	\$-	\$-
	Totals, Local Assistance	\$10,000	\$-	\$-
	PROGRAM REQUIREMENTS			
0877	CALIFORNIA SEISMIC SAFETY CAPITAL ACCESS			
	LOAN PROGRAM			
	Local Assistance:			
0001	General Fund	\$-	\$10,000	\$-
	Totals, Local Assistance	\$-	\$10,000	\$-
	TOTALS, EXPENDITURES			
	State Operations	25,160	23,007	22,969
	Local Assistance	38,842	10,000	-
	Totals, Expenditures	\$64,002	\$33,007	\$22,969

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	23.1	23.1	23.1	\$1,386	\$1,386	\$1,386
Total Adjustments	2.8	3.0	3.0	88	237	186
Net Totals, Salaries and Wages	25.9	26.1	26.1	\$1,474	\$1,623	\$1,572
Staff Benefits	-	-	-	691	900	896
Totals, Personal Services	25.9	26.1	26.1	\$2,165	\$2,523	\$2,468
OPERATING EXPENSES AND EQUIPMENT				\$11,509	\$20,484	\$20,501
SPECIAL ITEMS OF EXPENSES				11,486	-	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$25,160	\$23,007	\$22,969

2 Local Assistance	Expenditures		
	2015-16*	2016-17*	2017-18*
Grants and Subventions - Governmental	\$28,842	\$-	\$-
Other Special Items of Expense	10,000	10,000	-
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$38,842	\$10,000	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0930 Pollution Control Financing Authority Fund			
APPROPRIATIONS			
Health and Safety Code section 44526	\$2,393	\$20,573	\$20,816
Add Positions for CalCAP Small Businesses	-	343	-
Allocation for Employee Compensation	19	41	-
Allocation for Staff Benefits	9	5	-
Budget Position Transparency	-160	-	-
Expenditure by Category Redistribution	160	-	-
Past Year Adjustments	22,086	-	-

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0974 California Pollution Control Financing Authority - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Pro Rata Assessments Removal	-	-144	-
Section 3.60 Pension Contribution Adjustment	6	13	-
Health and Safety Code section 44526 (California Capital Access Program for Small Businesses)	2,121	2,123	2,153
Adding Position for CalCAP	140	-	-
Allocation for Employee Compensation	20	32	-
Allocation for Staff Benefits	9	5	-
Budget Position Transparency	-187	-	-
Expenditure by Category Redistribution	187	-	-
Past Year Adjustments	-1,651	-	-
Section 3.60 Pension Contribution Adjustment	8	16	-
TOTALS, EXPENDITURES	\$25,160	\$23,007	\$22,969
Total Expenditures, All Funds, (State Operations)	\$25,160	\$23,007	\$22,969
2 LOCAL ASSISTANCE	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation (transfer to California Seismic Safety Capital Access Loan Program Fund)	-	\$10,000	-
California Americans with Disabilities Funding (AB 1230)	10,000	-	-
TOTALS, EXPENDITURES	\$10,000	\$10,000	\$-
0890 Federal Trust Fund			
APPROPRIATIONS			
Health and Safety Code section 44526(e) and (f)	\$28,666	-	-
Totals Available	\$28,666	\$-	\$-
Unexpended balance, estimated savings	176	-	-
TOTALS, EXPENDITURES	\$28,842	\$-	\$-
0930 Pollution Control Financing Authority Fund			
APPROPRIATIONS			
Health and Safety Code section 44526	\$18,000	-	-
Past Year Adjustments	-18,000	-	-
TOTALS, EXPENDITURES	\$-	\$-	\$-
8098 California Americans with Disabilities Act Small Business Capital Access Loan Program Fund			
APPROPRIATIONS			
California Americans with Disabilities Funding (AB 1230)	\$10,000	-	-
TOTALS, EXPENDITURES	\$10,000	\$-	\$-
Less funding provided by General Fund	-10,000	-	-
NET TOTALS, EXPENDITURES	\$-	\$-	\$-
8102 California Seismic Safety Capital Access Loan Program Fund			
APPROPRIATIONS			
Health and Safety Code section 44559.14(c)	-	\$10,000	-
TOTALS, EXPENDITURES	\$-	\$10,000	\$-
Less funding provided by General Fund	-	-10,000	-
NET TOTALS, EXPENDITURES	\$-	\$-	\$-
Total Expenditures, All Funds, (Local Assistance)	\$38,842	\$10,000	\$0
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$64,002	\$33,007	\$22,969

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0974 California Pollution Control Financing Authority - Continued

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	23.1	23.1	23.1	\$1,386	\$1,386	\$1,386
Salary and Other Adjustments	2.8	3.0	3.0	88	237	186
Totals, Adjustments	2.8	3.0	3.0	\$88	\$237	\$186
TOTALS, SALARIES AND WAGES	25.9	26.1	26.1	\$1,474	\$1,623	\$1,572

0977 California Health Facilities Financing Authority

The mission of the California Health Facilities Financing Authority is to help eligible and credit worthy nonprofit and public health facilities reduce their cost of capital, and promote health care improvement and cost containment objectives by providing cost-effective tax-exempt bond, low-cost loan, and direct grant programs.

The Authority was established by Chapter 1033, Statutes of 1979, and consists of nine members: the State Treasurer, the Director of the Department of Finance, the State Controller, two public members appointed by the Senate, two public members appointed by the Assembly, and two public members appointed by the Governor.

3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0880	Children's Hospital Program	3.5	3.5	3.5	\$167,571	\$115,548	\$115,720
0885	Health Facilities Grants and Loans	11.4	12.5	12.5	4,454	9,422	29,401
0890	Mental Health Wellness Grants	-	-	-	48,743	18,075	91,042
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		14.9	16.0	16.0	\$220,768	\$143,045	\$236,163

FUNDING		2015-16*	2016-17*	2017-18*
0001	General Fund	\$44,744	\$-	\$67,500
0904	California Health Facilities Financing Authority Fund	4,454	9,022	29,001
0995	Reimbursements	-	2,800	2,800
3085	Mental Health Services Fund	3,999	15,000	20,717
6046	Childrens Hospital Fund	68,128	40,366	40,362
6079	Childrens Hospital Bond Act Fund	99,443	75,182	75,358
6084	No Place Like Home Fund	-	275	25
8073	California Health Access Model Program Account, California Health Facilities Financing Authority Fund	-	400	400
TOTALS, EXPENDITURES, ALL FUNDS		\$220,768	\$143,045	\$236,163

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 15430-15463. Health and Safety Code Sections 1179.10-1179.43 and 1179.50-1179.72. Welfare and Institutions Code Section 5890-5892 and 5848.5-5848.6.

MAJOR PROGRAM CHANGES

- Clinic Lifeline Grants - The Budget includes \$20 million one-time funding from the California Health Facilities Financing Authority Fund to establish a grant program for working capital and operating support to licensed, non-profit small or rural health facilities in medically underserved areas. Grants will be awarded by the California Health Facilities Financing Authority.
- Community Infrastructure Grants - The Budget includes \$67.5 million General Fund for one-time community infrastructure grants to promote public safety diversion programs and services by increasing the number of mental

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0977 California Health Facilities Financing Authority - Continued

health, substance use disorder, and trauma-related services facilities. Grants will be awarded by the California Health Facilities Financing Authority on a competitive basis to cities and/or counties. The grants will aim to expand local resources for facility acquisition or renovation, equipment purchases, and applicable program startup or expansion costs to increase the availability of these programs.

- Children's Mental Health Crisis Services - The Budget includes one-time \$16.7 million Mental Health Services Fund for the Children's Mental Health Crisis Services grant program. The grants are intended to increase children's crisis capacity services and programs. Grants provided by the California Health Facilities Financing Authority will go to local government programs that serve children and youth under the age of 21.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Increased Information Technology Overhead Costs	\$-	\$-	-	\$-	\$75	-
• No Place Like Home Program Administrative Costs	-	-	-	-	25	-
• Elimination of Community Infrastructure Grants, Reverted by Item 0977-495, Budget Act of 2017	-67,500	-	-	-	-	-
• Reduction of Children's Mental Health Crisis Services Grants, Reverted by Item 0977-495, Budget Act of 2017	-16,717	-	-	-	-	-
Totals, Workload Budget Change Proposals	-\$84,217	\$-	-	\$-	\$100	-
Other Workload Budget Adjustments						
• Miscellaneous Baseline Adjustments	-\$322	\$275	-	\$-	\$180	-
• Retirement Rate Adjustments	-	17	-	-	17	-
• Salary Adjustments	-	41	-	-	14	-
• Benefit Adjustments	-	6	-	-	5	-
• Carryover/Reappropriation	1,039	-	-	-	-	-
• Pro Rata	-	-248	-	-	-248	-
Totals, Other Workload Budget Adjustments	\$717	\$91	-	\$-	-\$32	-
Totals, Workload Budget Adjustments	-\$83,500	\$91	-	\$-	\$68	-
Policy Adjustments						
• Community Infrastructure Grants	\$-	\$-	-	\$67,500	\$-	-
• Community Clinic Lifeline Grant Program	-	-	-	-	20,000	-
• Children's Mental Health Crisis Services Grants	-	-	-	-	16,717	-
Totals, Policy Adjustments	\$-	\$-	-	\$67,500	\$36,717	-
Totals, Budget Adjustments	-\$83,500	\$91	-	\$67,500	\$36,785	-

PROGRAM DESCRIPTIONS

0880 - CHILDREN'S HOSPITAL PROGRAM

In 2004, California voters approved Proposition 61, which established the Children's Hospital Program. In 2008, Proposition 3 established the second Children's Hospital Program. The purpose of both programs is to improve the health and welfare of California's critically ill children by providing a stable and ready source of funds for capital improvement projects for children's hospitals. Children's Hospital Program grant funding is derived from the issuance of general obligation bonds, up to \$750 million under Proposition 61 and \$980 million under Proposition 3.

0885 - HEALTH FACILITIES GRANTS AND LOANS

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0977 California Health Facilities Financing Authority - Continued

The Authority serves as a conduit provider of tax-exempt bonds and a direct provider of financial assistance to health institutions.

Tax-Exempt Bond Program

Bonds issued under this conduit program are not a debt or liability or a pledge of the full faith and credit of the taxing power of the state or any of its political subdivisions. The full faith and credit of the participating institutions are pledged for repayment of the bonds. To qualify for funding, the proposed project must be a health facility, operated by a private nonprofit corporation or association, city, city and county, county, or hospital district.

Clinic Grant Programs

Chapter 99, Statutes of 2000 (AB 2875), established the Cedillo-Alarcon Community Clinic Investment Act of 2000 and allocated \$50 million dollars to the Authority for the purpose of awarding grants to eligible primary care clinics for capital outlay projects. In 2004, as part of the Anthem-Well Point merger, \$35 million dollars was allocated to the Authority for the purpose of awarding grants to eligible health care facilities providing service to underserved communities throughout California. To qualify for funding, the proposed project must be a health facility, operated by a private nonprofit corporation or association, city, city and county, county, or hospital district.

HELP II Loan Program

The Authority administers the Healthcare Expansion Loan Program II (HELP II) to assist small and rural health facilities in obtaining financing for their capital needs. The HELP II Program provides two percent and three percent fixed interest rate loans of up to \$1,500,000 to California's nonprofit small and rural health facilities in an efficient, timely, and cost effective manner. The two percent interest rate applies to loans approved from April 30, 2015 - April 30, 2017, and is effective for the life of the loan. Refinancing loans are not eligible for the two percent interest rate. Refinancing loans are eligible up to \$1,000,000. HELP II loans may be used to purchase or construct new facilities, remodel or renovate existing facilities, purchase equipment and/or furnishings, and refinance existing debt.

California Health Access Model Program

Chapter 23, Statutes of 2012 (AB 1467) authorized the California Health Access Model Program (CHAMP), a grant program intended to support innovative methods of delivering health care services and improve health outcomes for vulnerable populations and communities by bringing services to individuals where they live or congregate.

0890 - MENTAL HEALTH WELLNESS GRANTS

Chapter 34, Statutes of 2013 (SB 82), the Investment in Mental Health Wellness Act of 2013 and related legislation provided \$142.5 million in one-time General Fund, and \$4 million in ongoing Mental Health Services Act funding to provide grants for community based mental health crisis support, which may also be eligible for matching federal funding.

The Act established a grant program to disburse funds to California counties or to their nonprofit or public agency designates for the purpose of developing mental health crisis support programs. Specifically, funds will increase capacity for client assistance and services in crisis intervention, crisis stabilization, crisis residential treatment, rehabilitative mental health services, and mobile crisis support teams. The grants will support capital improvement, expansion and limited start-up costs.

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
	PROGRAM REQUIREMENTS			
0880	CHILDREN'S HOSPITAL PROGRAM			
	State Operations:			
6046	Childrens Hospital Fund	\$276	\$366	\$362
6079	Childrens Hospital Bond Act Fund	146	182	358
	Totals, State Operations	\$422	\$548	\$720
	Local Assistance:			
6046	Childrens Hospital Fund	\$67,852	\$40,000	\$40,000
6079	Childrens Hospital Bond Act Fund	99,297	75,000	75,000
	Totals, Local Assistance	\$167,149	\$115,000	\$115,000
	PROGRAM REQUIREMENTS			
0885	HEALTH FACILITIES GRANTS AND LOANS			
	State Operations:			
0904	California Health Facilities Financing Authority Fund	\$2,182	\$2,522	\$2,501
	Totals, State Operations	\$2,182	\$2,522	\$2,501

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0977 California Health Facilities Financing Authority - Continued

		2015-16*	2016-17*	2017-18*
Local Assistance:				
0904	California Health Facilities Financing Authority Fund	\$2,272	\$6,500	\$26,500
8073	California Health Access Model Program Account, California Health Facilities Financing Authority Fund	-	400	400
	Totals, Local Assistance	\$2,272	\$6,900	\$26,900
PROGRAM REQUIREMENTS				
0890	MENTAL HEALTH WELLNESS GRANTS			
State Operations:				
0001	General Fund	\$21	\$-	\$1,687
3085	Mental Health Services Fund	-	185	265
6084	No Place Like Home Fund	-	275	25
	Totals, State Operations	\$21	\$460	\$1,977
Local Assistance:				
0001	General Fund	\$44,723	\$-	\$65,813
0995	Reimbursements	-	2,800	2,800
3085	Mental Health Services Fund	3,999	14,815	20,452
	Totals, Local Assistance	\$48,722	\$17,615	\$89,065
TOTALS, EXPENDITURES				
	State Operations	2,625	3,530	5,198
	Local Assistance	218,143	139,515	230,965
	Totals, Expenditures	\$220,768	\$143,045	\$236,163

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	16.0	16.0	16.0	\$1,106	\$3,243	\$1,106
Total Adjustments	-1.1	-	-	-60	-1,911	1,991
Net Totals, Salaries and Wages	14.9	16.0	16.0	\$1,046	\$1,332	\$3,097
Staff Benefits	-	-	-	495	556	555
Totals, Personal Services	14.9	16.0	16.0	\$1,541	\$1,888	\$3,652
OPERATING EXPENSES AND EQUIPMENT				\$1,084	\$1,642	\$1,546
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,625	\$3,530	\$5,198

2 Local Assistance	Expenditures		
	2015-16*	2016-17*	2017-18*
Grants and Subventions - Governmental	\$218,143	\$139,515	\$230,965
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$218,143	\$139,515	\$230,965

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0977 California Health Facilities Financing Authority - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
001 Budget Act appropriation	-	\$1,952	\$1,687
Elimination of Community Infrastructure Grants, Reverted by Item 0977-495, Budget Act of 2017	-	-1,687	-
Reduction of Children's Mental Health Crisis Services Grants, Reverted by Item 0977-495, Budget Act of 2017	-	-265	-
Prior Year Balances Available:			
Chapter 34, Statutes of 2013	21	-	-
TOTALS, EXPENDITURES	\$21	\$-	\$1,687
0904 California Health Facilities Financing Authority Fund			
APPROPRIATIONS			
Government Code section 15439	\$3,051	\$2,722	\$2,501
Allocation for Employee Compensation	22	32	-
Allocation for Staff Benefits	11	4	-
Past Year Adjustments	-910	-	-
Pro Rata Assessments Removal	-	-248	-
Section 3.60 Pension Contribution Adjustment	7	12	-
Technical Adjustment	1	-	-
TOTALS, EXPENDITURES	\$2,182	\$2,522	\$2,501
3085 Mental Health Services Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$185	\$265
TOTALS, EXPENDITURES	\$-	\$185	\$265
6046 Childrens Hospital Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$347	\$356	\$362
Allocation for Employee Compensation	4	6	-
Allocation for Staff Benefits	2	1	-
Section 3.60 Pension Contribution Adjustment	2	3	-
Technical Adjustment	1	-	-
Totals Available	\$356	\$366	\$362
Unexpended balance, estimated savings	-80	-	-
TOTALS, EXPENDITURES	\$276	\$366	\$362
6079 Childrens Hospital Bond Act Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$172	\$176	-
Allocation for Employee Compensation	2	3	-
Allocation for Staff Benefits	1	1	-
Section 3.60 Pension Contribution Adjustment	1	2	-
Technical Adjustment	2	-	-
Health and Safety Code section 1179.57(h)	-	-	358
Totals Available	\$178	\$182	\$358
Unexpended balance, estimated savings	-32	-	-
TOTALS, EXPENDITURES	\$146	\$182	\$358
6084 No Place Like Home Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$25
Current Year Adjustment for No Place Like Home Program	-	275	-
TOTALS, EXPENDITURES	\$-	\$275	\$25
Total Expenditures, All Funds, (State Operations)	\$2,625	\$3,530	\$5,198

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0977 California Health Facilities Financing Authority - Continued

2 LOCAL ASSISTANCE	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$75,548	\$65,813
Elimination of Community Infrastructure Grants, Reverted by Item 0977-495, Budget Act of 2017	-	-65,813	-
Reduction of Children's Mental Health Crisis Services Grants, Reverted by Item 0977-495, Budget Act of 2017	-	-9,735	-
Prior Year Balances Available:			
Item 0977-101-0001, Budget Act of 2013 as reappropriated by 0977-490, Budget Act of 2016	-	6,717	-
Item 0977-101-0001, Budget Act of 2013	51,762	-	-
Reduction of Children's Mental Health Crisis Services Grants, Reverted by Item 0977-495, Budget Act of 2017	-	-6,717	-
Totals Available	\$51,762	\$-	\$65,813
Balance available in subsequent years	-7,039	-	-
TOTALS, EXPENDITURES	\$44,723	\$-	\$65,813
0904 California Health Facilities Financing Authority Fund			
APPROPRIATIONS			
Government Code section 15439	\$6,500	\$6,500	\$6,500
Pending Legislation (Lifeline Grant Program)	-	-	20,000
Past Year Adjustments	-4,228	-	-
TOTALS, EXPENDITURES	\$2,272	\$6,500	\$26,500
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	-	\$2,800	\$2,800
TOTALS, EXPENDITURES	\$-	\$2,800	\$2,800
3085 Mental Health Services Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$6,800	\$14,815	\$20,452
Map Reimbursable Activities to New Item	-2,800	-	-
Totals Available	\$4,000	\$14,815	\$20,452
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$3,999	\$14,815	\$20,452
6046 Childrens Hospital Fund			
APPROPRIATIONS			
Health and Safety Code sections 1179.10-1179.43	-	\$40,000	\$40,000
Miscellaneous Budget Adjustments	30,000	-	-
Past Year Adjustments	37,852	-	-
TOTALS, EXPENDITURES	\$67,852	\$40,000	\$40,000
6079 Childrens Hospital Bond Act Fund			
APPROPRIATIONS			
Health and Safety Code section 1179.53	\$100,000	\$75,000	\$75,000
Past Year Adjustments	-703	-	-
TOTALS, EXPENDITURES	\$99,297	\$75,000	\$75,000
8073 California Health Access Model Program Account, California Health Facilities Financing Authority Fund			
Prior Year Balances Available:			
Government Code section 15438.10(c)(1)	-	400	400

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0977 California Health Facilities Financing Authority - Continued

2 LOCAL ASSISTANCE	2015-16*	2016-17*	2017-18*
Miscellaneous Budget Adjustments	500	-	-
Past Year Adjustments	-500	-	-
TOTALS, EXPENDITURES	\$-	\$400	\$400
Total Expenditures, All Funds, (Local Assistance)	\$218,143	\$139,515	\$230,965
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$220,768	\$143,045	\$236,163

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	16.0	16.0	16.0	\$1,106	\$3,243	\$1,106
Salary and Other Adjustments	-1.1	-	-	-60	41	14
Totals, Adjustments	-1.1	-	-	-\$60	-\$1,911	\$1,991
TOTALS, SALARIES AND WAGES	14.9	16.0	16.0	\$1,046	\$1,332	\$3,097

0981 California ABLE Act Board

The California Achieving a Better Life Experience Act Board was established by Chapter 796, Statutes of 2015 (SB 324) to create a statewide Qualified ABLE Program. The Program will assist individuals and families with saving private funds for the purpose of supporting persons with disabilities to maintain their health, independence, and quality of life.

The Board consists of the State Treasurer (Chair), the Director of Finance, the Controller, the Director of Developmental Services, the Chairperson of the State Council on Developmental Disabilities, the Director of Rehabilitation, and the Chair of the State Independent Living Council.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0895 California ABLE Act Board	-	3.0	3.0	\$-	\$850	\$650
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	3.0	3.0	\$-	\$850	\$650

FUNDING	2015-16*	2016-17*	2017-18*
8101 California ABLE Administrative Fund	\$-	\$850	\$650
TOTALS, EXPENDITURES, ALL FUNDS	\$-	\$850	\$650

LEGAL CITATIONS AND AUTHORITY

California Welfare and Institutions Code, Sections 4875-4884; and California Revenue and Taxation Code, Section 23711.4.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Realignment to fully fund staff benefits	\$-	-\$11	-	\$-	-\$9	-
• Salary Adjustments	-	6	-	-	5	-
• Retirement Rate Adjustments	-	3	-	-	3	-
• Benefit Adjustments	-	2	-	-	1	-
Totals, Other Workload Budget Adjustments	\$-	\$-	-	\$-	\$-	-
Totals, Workload Budget Adjustments	\$-	\$-	-	\$-	\$-	-

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0981 California ABLE Act Board - Continued

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Totals, Budget Adjustments	\$-	\$-	-	\$-	\$-	-

PROGRAM DESCRIPTIONS**0895 - CALIFORNIA ABLE ACT BOARD**

On December 19, 2014, President Obama signed the Stephen Beck Jr., Achieving a Better Life Experience Act of 2014 (the ABLE Act), which allows individuals who became blind or disabled before reaching age 26, to create tax-free savings accounts. Individuals can make nondeductible cash contributions to an ABLE account in the name of a specified beneficiary with tax-free earnings. ABLE account distributions are also not included in the beneficiary's income, as long as they are used for qualified services. Chapter 774, Statutes of 2015 (AB 449), implements the ABLE Act in California, and directs the State Treasurer to administer ABLE accounts on behalf of qualified Californians.

The California Achieving a Better Life Experience Act Board and the California ABLE Program Trust were established by Chapter 796, Statutes of 2015 (SB 324), for the purpose of creating a statewide program known as the Qualified ABLE Program (the Program). Under the Program, a person may make contributions of up to \$100,000 for a taxable year, for the benefit of an individual who is an eligible individual for that taxable year, to an ABLE account that is established for the purpose of meeting the qualified expenses of the designated beneficiary of the account.

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
PROGRAM REQUIREMENTS				
0895 CALIFORNIA ABLE ACT BOARD				
State Operations:				
8101 California ABLE Administrative Fund		\$-	\$850	\$650
Totals, State Operations		\$-	\$850	\$650
TOTALS, EXPENDITURES				
State Operations		-	850	650
Totals, Expenditures		\$-	\$850	\$650

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	-	3.0	3.0	\$-	\$256	\$256
Total Adjustments	-	-	-	-	-42	-36
Net Totals, Salaries and Wages	-	3.0	3.0	\$-	\$214	\$220
Staff Benefits	-	-	-	-	108	108
Totals, Personal Services	-	3.0	3.0	\$-	\$322	\$328
OPERATING EXPENSES AND EQUIPMENT				\$-	\$528	\$322
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$-	\$850	\$650

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
011 Budget Act appropriation (loan to California ABLE Administrative Fund)	(-)	(\$850)	(\$650)

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0981 California ABLE Act Board - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
TOTALS, EXPENDITURES	\$-	\$-	\$-
8101 California ABLE Administrative Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$850	\$650
Allocation for Employee Compensation	-	6	-
Allocation for Staff Benefits	-	2	-
Realignment to fully fund staff benefits	-	-11	-
Section 3.60 Pension Contribution Adjustment	-	3	-
TOTALS, EXPENDITURES	\$-	\$850	\$650
Total Expenditures, All Funds, (State Operations)	\$0	\$850	\$650

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	-	3.0	3.0	\$-	\$256	\$256
Salary and Other Adjustments	-	-	-	-	-42	-36
Totals, Adjustments	-	-	-	\$-	-\$42	-\$36
TOTALS, SALARIES AND WAGES	-	3.0	3.0	\$-	\$214	\$220

0983 California Urban Waterfront Area Restoration Financing Authority

Created in 1983, the California Urban Waterfront Area Restoration Financing Authority was established to restore, revitalize and develop in an environmentally and economically sound manner, the coastal and inland urban waterfront areas of the state, in cooperation with local governments. The Authority consists of five members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, the Secretary of the Natural Resources Agency, and the Executive Director of the State Coastal Conservancy.

3-YR EXPENDITURES AND POSITIONS [†]

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0900 California Urban Waterfront Restoration Financing Program	-	-	-	\$-	\$-	\$-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$-	\$-	\$-

[†] The Authority funding is not subject to Budget Act appropriation. This budget is for informational purposes only. The informational budget presented here reflects the department's best estimate of the budgets of the budgets for 2016-17 and 2017-18. Public Resource Code section 32054 authorizes an Executive Director; however, the position is currently vacant.

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Public Resources Code, Division 22, Sections 32000-32208.

PROGRAM DESCRIPTIONS

0900 - The Authority was established to issue up to \$650 million in conduit financing to make loans or acquire title to property and to underwrite or undertake directly a variety of urban waterfront development projects within the coastal zone, the Sacramento-Yolo Port District, the Stockton Port District, and those metropolitan statistical areas meeting specified conditions. The Federal Tax Reform Act of 1986 requires that bonds authorized by the Authority generally be within an

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0983 California Urban Waterfront Area Restoration Financing Authority - Continued

allocation from the state's "private activity" bond limit in order for the bonds to be federally tax-exempt, unless the issuer qualifies as a private, nonprofit business.

Financing is available for both publicly and privately sponsored projects that provide visitor-serving facilities, waterfront-dependent industries, public recreation, and erosion control facilities. The State Coastal Conservancy must approve both the specific project and a master plan for urban waterfront restoration before any project can obtain the Authority approval for revenue bond financing. The Authority has sold \$3.33 million in revenue bonds to date.

0984 California Secure Choice Retirement Savings Investment Board

The California Secure Choice Retirement Savings Investment Board was established by Chapter 734, Statutes of 2012 (SB 1234). Subsequently, Chapter 804, Statutes of 2016 (SB 1234) authorized the Board to implement the California Secure Choice Retirement Savings Program, which is a state-administered retirement savings program for private sector employees in California with no access to workplace retirement savings plans.

The Board consists of nine members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, a retirement savings and investment expert appointed by the Senate Committee on Rules, an employee representative appointed by the Speaker of the Assembly, a small business representative appointed by the Governor, a public member appointed by the Governor, and two additional members appointed by the Governor.

3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0910	California Secure Choice Retirement Savings Investment Board	-	3.0	5.0	\$628	\$2,100	\$15,000
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		-	3.0	5.0	\$628	\$2,100	\$15,000
FUNDING					2015-16*	2016-17*	2017-18*
8081	Secure Choice Retirement Savings Program Fund				\$628	\$2,100	\$-
8111	Secure Choice Retirement Savings Administration Fund				-	-	15,000
TOTALS, EXPENDITURES, ALL FUNDS					\$628	\$2,100	\$15,000

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 100000-100050.

MAJOR PROGRAM CHANGES

- The Budget includes a \$15 million loan from the General Fund for the Program's start-up and administrative costs pursuant to Government Code Section 100050.

DETAILED BUDGET ADJUSTMENTS

		2016-17*			2017-18*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments							
Workload Budget Change Proposals							
•	Start-Up and Administrative Costs (SB 1234)	\$-	\$-	-	\$-	\$15,000	5.0
•	Miscellaneous Adjustments	-	-	-	-	-	-
Totals, Workload Budget Change Proposals		\$-	\$-	-	\$-	\$15,000	5.0
Other Workload Budget Adjustments							

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0984 California Secure Choice Retirement Savings Investment Board - Continued

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Miscellaneous Baseline Adjustments	\$-	\$-	-	\$-	-\$256	-3.0
Totals, Other Workload Budget Adjustments	\$-	\$-	-	\$-	-\$256	-3.0
Totals, Workload Budget Adjustments	\$-	\$-	-	\$-	\$14,744	2.0
Totals, Budget Adjustments	\$-	\$-	-	\$-	\$14,744	2.0

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
PROGRAM REQUIREMENTS				
0910 CALIFORNIA SECURE CHOICE RETIREMENT SAVINGS INVESTMENT BOARD				
State Operations:				
8081 Secure Choice Retirement Savings Program Fund		628	2,100	-
8111 Secure Choice Retirement Savings Administration Fund		-	-	15,000
Totals, State Operations		\$628	\$2,100	\$15,000
TOTALS, EXPENDITURES				
State Operations		628	2,100	15,000
Totals, Expenditures		\$628	\$2,100	\$15,000

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	-	3.0	3.0	\$-	\$256	\$256
Total Adjustments	-	-	2.0	-	-	120
Net Totals, Salaries and Wages	-	3.0	5.0	\$-	\$256	\$376
Staff Benefits	-	-	-	-	-	197
Totals, Personal Services	-	3.0	5.0	\$-	\$256	\$573
OPERATING EXPENSES AND EQUIPMENT				\$628	\$200	\$14,427
SPECIAL ITEMS OF EXPENSES				-	1,644	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$628	\$2,100	\$15,000

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
011 Budget Act appropriation (loan to Secure Choice Retirement Savings Program Fund)	(-)	(\$1,900)	(-)
Start-Up and Administrative Costs (SB 1234)	(-)	(-)	(15,000)
TOTALS, EXPENDITURES	\$-	\$-	\$-
8081 Secure Choice Retirement Savings Program Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,000	\$1,900	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0984 California Secure Choice Retirement Savings Investment Board - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Prior Year Balances Available:			
Item 0984-001-8081, Budget Act of 2015 as reappropriated by Item 0984-490, Budget Act of 2016	-	200	-
Totals Available	\$1,000	\$2,100	\$-
Unexpended balance, estimated savings	-172	-	-
Balance available in subsequent years	-200	-	-
TOTALS, EXPENDITURES	\$628	\$2,100	\$-
8111 Secure Choice Retirement Savings Administration Fund			
APPROPRIATIONS			
Government Code section 100004 (Secure Choice administration)	-	-	\$15,000
TOTALS, EXPENDITURES	\$-	\$-	\$15,000
Total Expenditures, All Funds, (State Operations)	\$628	\$2,100	\$15,000

0985 California School Finance Authority

The California School Finance Authority (CSFA), created in 1985, provides facilities and working capital financing to school districts, community college districts, county offices of education, and charter schools. The State Treasurer serves as chair of CSFA and the Superintendent of Public Instruction and the Director of Finance serve as members. CSFA has offices in Los Angeles and Sacramento.

CSFA serves as the primary state agency for California charter schools seeking facility and working capital assistance by providing access to low-cost financing through several State and federally funded programs.

3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0918	Smart Bonds	1.5	1.5	1.5	\$315	\$294	\$294
0920	Charter School Facilities Program	4.3	2.5	2.5	844	1,217	1,228
0925	State Charter School Facilities Incentive Grants Program	1.3	3.0	3.0	19,852	20,412	20,420
0930	Charter School Facility Grant Program	2.7	4.0	4.0	109,536	112,475	112,452
0935	Charter School Revolving Loan Program	1.0	1.0	1.0	8,088	12,501	12,497
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		10.8	12.0	12.0	\$138,635	\$146,899	\$146,891

FUNDING	2015-16*	2016-17*	2017-18*
0001 General Fund	\$332	\$559	\$532
0001 General Fund, Proposition 98	109,292	112,031	112,031
0526 California School Finance Authority Fund	315	294	294
0606 Charter School Revolving Loan Fund	8,000	12,386	12,386
0890 Federal Trust Fund	19,852	20,413	20,420
6044 2004 State School Facilities Fund	564	670	662
6057 2006 State School Facilities Fund	280	546	566
TOTALS, EXPENDITURES, ALL FUNDS	\$138,635	\$146,899	\$146,891

LEGAL CITATIONS AND AUTHORITY**PROGRAM AUTHORITY**

Education Code Sections 17078.52-17078.66, 17170-17199.5, 41365, 41366.5, 41366.7, 41367, and 47614.5

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0985 California School Finance Authority - Continued

MAJOR PROGRAM CHANGES

- Charter School Facility Grant Program-The budget has been adjusted to increase the per student funding rate to \$1,117 for the 2017-18 fiscal year and provides an ongoing cost-of-living adjustment for the program moving forward.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Increased Information Technology Overhead Costs	\$-	\$-	-	\$-	\$64	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$64	-
Other Workload Budget Adjustments						
• Smart Bonds Program Workload Adjustment	\$-	\$70	0.5	\$-	\$70	0.5
• Salary Adjustments	23	14	-	6	-	-
• Retirement Rate Adjustments	5	9	-	5	9	-
• Benefit Adjustments	2	2	-	2	-	-
• SWCAP	-	-	-	-	15	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-	-
Totals, Other Workload Budget Adjustments	\$30	\$95	0.5	\$13	\$94	0.5
Totals, Workload Budget Adjustments	\$30	\$95	0.5	\$13	\$158	0.5
Totals, Budget Adjustments	\$30	\$95	0.5	\$13	\$158	0.5

PROGRAM DESCRIPTIONS

0918 - SMART BONDS PROGRAM

Chapter 1438, Statutes of 1985, as amended by Chapter 598, Statutes of 1987, established CSFA and authorized the issuance of revenue bonds or other debt instruments. The proceeds from the sale of the bonds were available for loans to school and community college districts to assist with the acquisition of equipment and new school sites, construction of new facilities, reconstruction of existing facilities, capital improvements, and to provide working capital. Chapter 325, Statutes of 2006 (AB 2717), allows CSFA to issue debt on behalf of California charter schools for capital improvements and working capital needs.

0920 - CHARTER SCHOOL FACILITIES PROGRAM

Chapter 935, Statutes of 2002, as amended by Chapter 587, Statutes of 2003, created the Charter School Facilities Program (CSFP), which provides funding for the new construction, renovation or rehabilitation of charter school facilities. CSFA and the Office of Public School Construction jointly administer the program. CSFP was funded through the Kindergarten-University Public Education Facilities Bond Acts of 2002, 2004, and 2006, for a total of \$900 million. Proposition 51, approved by voters in November 2016, extends the existing CSFP and authorized a total of \$500 million in State General Obligation Bonds for charter school construction projects.

The program provides a 50 percent state subsidy for the charter school facilities project costs, with the balance of the project costs being repaid (to the state) by the charter school in the form of a long-term lease. Recipient charter schools must provide site-based instruction, be deemed financially sound by CSFA, and meet other program eligibility requirements.

0925 - STATE CHARTER SCHOOL FACILITIES INCENTIVE GRANTS PROGRAM

In 2004, 2009, and 2014, CSFA was awarded a grant under the United States Department of Education's State Charter School Facilities Incentive Grants Program (CFDA 84.282D) to provide California charter schools with assistance for facilities costs. Grant funds are used toward a charter school's cost of rent, lease, mortgage or debt service payments for existing or new facilities or toward the costs of acquiring land and constructing or renovating a facility. Grants are awarded to charter schools based on preference points allotted by the percentage of low-income students, percentage overcrowded, not-for-profit status, and demonstrated student performance. Schools cannot use program funds to supplant state funding.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0985 California School Finance Authority - Continued**0930 - CHARTER SCHOOL FACILITY GRANT PROGRAM**

The Charter School Facility Grant Program provides Proposition 98 General Fund grants to assist charter schools with rent and lease costs. This program targets schools and communities with significant populations of economically disadvantaged students. Eligible charter schools are provided up to \$750 per unit of eligible average daily attendance, not to exceed 75 percent of the total annual facilities rent or lease costs.

DETAILED EXPENDITURES BY PROGRAM

		<u>2015-16*</u>	<u>2016-17*</u>	<u>2017-18*</u>
	PROGRAM REQUIREMENTS			
0918	SMART BONDS			
	State Operations:			
0526	California School Finance Authority Fund	<u>\$315</u>	<u>\$294</u>	<u>\$294</u>
	Totals, State Operations	\$315	\$294	\$294
	PROGRAM REQUIREMENTS			
0920	CHARTER SCHOOL FACILITIES PROGRAM			
	State Operations:			
0890	Federal Trust Fund	<u>\$-</u>	<u>\$1</u>	<u>\$-</u>
6044	2004 State School Facilities Fund	<u>564</u>	<u>670</u>	<u>662</u>
6057	2006 State School Facilities Fund	<u>280</u>	<u>546</u>	<u>566</u>
	Totals, State Operations	\$844	\$1,217	\$1,228
	PROGRAM REQUIREMENTS			
0925	STATE CHARTER SCHOOL FACILITIES INCENTIVE GRANTS PROGRAM			
	State Operations:			
0890	Federal Trust Fund	<u>\$182</u>	<u>\$412</u>	<u>\$420</u>
	Totals, State Operations	\$182	\$412	\$420
	Local Assistance:			
0890	Federal Trust Fund	<u>\$19,670</u>	<u>\$20,000</u>	<u>\$20,000</u>
	Totals, Local Assistance	\$19,670	\$20,000	\$20,000
	PROGRAM REQUIREMENTS			
0930	CHARTER SCHOOL FACILITY GRANT PROGRAM			
	State Operations:			
0001	General Fund	<u>\$244</u>	<u>\$444</u>	<u>\$421</u>
	Totals, State Operations	\$244	\$444	\$421
	Local Assistance:			
0001	General Fund	<u>\$109,292</u>	<u>\$112,031</u>	<u>\$112,031</u>
	Totals, Local Assistance	\$109,292	\$112,031	\$112,031
	PROGRAM REQUIREMENTS			
0935	CHARTER SCHOOL REVOLVING LOAN PROGRAM			
	State Operations:			
0001	General Fund	<u>\$88</u>	<u>\$115</u>	<u>\$111</u>
	Totals, State Operations	\$88	\$115	\$111
	Local Assistance:			
0606	Charter School Revolving Loan Fund	<u>\$8,000</u>	<u>\$12,386</u>	<u>\$12,386</u>
	Totals, Local Assistance	\$8,000	\$12,386	\$12,386
	TOTALS, EXPENDITURES			
	State Operations	1,673	2,482	2,474
	Local Assistance	<u>136,962</u>	<u>144,417</u>	<u>144,417</u>
	Totals, Expenditures	\$138,635	\$146,899	\$146,891

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0985 California School Finance Authority - Continued

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	8.5	11.5	11.5	\$552	\$714	\$714
Total Adjustments	<u>2.3</u>	<u>0.5</u>	<u>0.5</u>	<u>155</u>	<u>72</u>	<u>41</u>
Net Totals, Salaries and Wages	10.8	12.0	12.0	\$707	\$786	\$755
Staff Benefits	-	-	-	327	424	422
Totals, Personal Services	10.8	12.0	12.0	\$1,034	\$1,210	\$1,177
OPERATING EXPENSES AND EQUIPMENT				<u>\$639</u>	<u>\$1,272</u>	<u>\$1,297</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,673	\$2,482	\$2,474

2 Local Assistance	Expenditures		
	2015-16*	2016-17*	2017-18*
Grants and Subventions - Governmental	\$128,962	\$132,031	\$132,031
Other Special Items of Expense	<u>8,000</u>	<u>12,386</u>	<u>12,386</u>
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$136,962	\$144,417	\$144,417

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$385	\$529	\$532
Allocation for Employee Compensation	-	23	-
Allocation for Staff Benefits	10	2	-
Past Year Expenditures	1	-	-
Section 3.60 Pension Contribution Adjustment	<u>2</u>	<u>5</u>	<u>-</u>
Totals Available	\$398	\$559	\$532
Unexpended balance, estimated savings	<u>-66</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$332	\$559	\$532
0526 California School Finance Authority Fund			
APPROPRIATIONS			
Education Code section 17181(a)	\$101	\$223	\$294
Establish New Position for Bond Program	132	-	-
Past Year Expenditures	82	-	-
Section 3.60 Pension Contribution Adjustment	-	1	-
Smart Bonds Program Workload Adjustment	<u>-</u>	<u>70</u>	<u>-</u>
TOTALS, EXPENDITURES	\$315	\$294	\$294
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$183	\$402	\$420
Allocation for Employee Compensation	-	7	-
Allocation for Staff Benefits	3	1	-

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0985 California School Finance Authority - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Past Year Expenditures	2	-	-
Section 3.60 Pension Contribution Adjustment	1	3	-
Totals Available	\$189	\$413	\$420
Unexpended balance, estimated savings	-7	-	-
TOTALS, EXPENDITURES	\$182	\$413	\$420
6044 2004 State School Facilities Fund			
APPROPRIATIONS			
Education Code Section 17078.52(b)(2) (Transfer to 2004 Charter School Facilities Account, 2004 State School Facilities Fund)	\$642	\$657	\$662
Miscellaneous Baseline Adjustments	15	8	-
Section 3.60 Pension Contribution Adjustment	-	5	-
Totals Available	\$657	\$670	\$662
Unexpended balance, estimated savings	-93	-	-
TOTALS, EXPENDITURES	\$564	\$670	\$662
6057 2006 State School Facilities Fund			
APPROPRIATIONS			
Education Code Section 17078.52(b)(3) (Transfer to 2006 Charter School Facilities Account, 2006 State School Facilities Fund)	\$546	\$546	\$566
Totals Available	\$546	\$546	\$566
Unexpended balance, estimated savings	-266	-	-
TOTALS, EXPENDITURES	\$280	\$546	\$566
9734 2004 Charter School Facilities Account, 2004 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$642	\$657	\$662
Allocation for Employee Compensation	-	7	-
Allocation for Staff Benefits	12	1	-
Budget Position Transparency	-153	-	-
Expenditure by Category Redistribution	153	-	-
Section 3.60 Pension Contribution Adjustment	3	5	-
Totals Available	\$657	\$670	\$662
Unexpended balance, estimated savings	-93	-	-
TOTALS, EXPENDITURES	\$564	\$670	\$662
Less funding provided by 2006 State School Facilities Fund	-564	-670	-662
NET TOTALS, EXPENDITURES	\$-	\$-	\$-
9735 2006 Charter School Facilities Account, 2006 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$546	\$546	\$566
Totals Available	\$546	\$546	\$566
Unexpended balance, estimated savings	-266	-	-
TOTALS, EXPENDITURES	\$280	\$546	\$566
Less funding provided by 2006 State School Facilities Fund	-280	-546	-566
NET TOTALS, EXPENDITURES	\$-	\$-	\$-
Total Expenditures, All Funds, (State Operations)	\$1,673	\$2,482	\$2,474
2 LOCAL ASSISTANCE	2015-16*	2016-17*	2017-18*
0001 General Fund, Proposition 98			
APPROPRIATIONS			
220 Budget Act appropriation	\$112,031	\$112,031	\$112,031

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0985 California School Finance Authority - Continued

2 LOCAL ASSISTANCE	2015-16*	2016-17*	2017-18*
Totals Available	\$112,031	\$112,031	\$112,031
Unexpended balance, estimated savings	-2,739	-	-
TOTALS, EXPENDITURES	\$109,292	\$112,031	\$112,031
0606 Charter School Revolving Loan Fund			
APPROPRIATIONS			
Education Code section 41365	\$12,386	\$12,386	\$12,386
Past Year Expenditures	3,801	-	-
Totals Available	\$16,187	\$12,386	\$12,386
Unexpended balance, estimated savings	-8,187	-	-
TOTALS, EXPENDITURES	\$8,000	\$12,386	\$12,386
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$20,000	\$20,000	\$20,000
Totals Available	\$20,000	\$20,000	\$20,000
Unexpended balance, estimated savings	-330	-	-
TOTALS, EXPENDITURES	\$19,670	\$20,000	\$20,000
Total Expenditures, All Funds, (Local Assistance)	\$136,962	\$144,417	\$144,417
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$138,635	\$146,899	\$146,891

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	8.5	11.5	11.5	\$552	\$714	\$714
Salary and Other Adjustments	2.3	0.5	0.5	155	72	41
Totals, Adjustments	2.3	0.5	0.5	\$155	\$72	\$41
TOTALS, SALARIES AND WAGES	10.8	12.0	12.0	\$707	\$786	\$755

0989 California Educational Facilities Authority

The California Educational Facilities Authority (CEFA) provides qualified nonprofit higher education institutions with assistance through a tax-exempt revenue bond program to reduce the costs of financing academic facilities. CEFA may also issue bonds, notes, and other forms of indebtedness for student loans to support students' costs of higher education.

CEFA consists of the following five members: the Director of Finance, the State Controller, the State Treasurer, and two public members appointed by the Governor.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
0940 Bond Financing	3.8	5.0	5.0	\$987	\$902	\$896
0955 College Access Tax Credit Program	1.0	1.0	0.5	39	242	132
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	4.8	6.0	5.5	\$1,026	\$1,144	\$1,028
FUNDING				2015-16*	2016-17*	2017-18*
0911 Educational Facilities Authority Fund				\$975	\$902	\$896
0954 Student Loan Authority Fund				12	-	-
3263 College Access Tax Credit Fund				39	242	132
TOTALS, EXPENDITURES, ALL FUNDS				\$1,026	\$1,144	\$1,028

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0989 California Educational Facilities Authority - Continued**LEGAL CITATIONS AND AUTHORITY**

DEPARTMENT AUTHORITY

Education Code Sections 94100 to 94213.

DETAILED BUDGET ADJUSTMENTS

	2016-17*			2017-18*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Increased Information Technology Overhead Costs	\$-	\$-	-	\$-	\$22	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$22	-
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$-	\$7	-	\$-	\$7	-
• Salary Adjustments	-	15	-	-	6	-
• Benefit Adjustments	-	2	-	-	2	-
• Pro Rata	-	-47	-	-	-47	-
Totals, Other Workload Budget Adjustments	\$-	-\$23	-	\$-	-\$32	-
Totals, Workload Budget Adjustments	\$-	-\$23	-	\$-	-\$10	-
Totals, Budget Adjustments	\$-	-\$23	-	\$-	-\$10	-

PROGRAM DESCRIPTIONS**0940 - BOND FINANCING**

CEFA issues revenue bonds to assist private educational institutions of higher learning construct educational facilities. Because it is authorized to issue tax-exempt bonds, the Authority may be able to provide more favorable financing terms than might otherwise be obtainable. CEFA also may include qualifying nonprofit entities as eligible program participants for the construction of student and faculty housing. Bonds issued by CEFA are not a debt, liability, or claim on the faith and credit or the taxing power of the State of California or any of its political subdivisions. The full faith and credit of the participating institution is normally pledged to the payment of the bonds. Bonds issued for this purpose are not subject to the state's "private activity" bond ceiling as specified in federal law.

For purposes of the California Educational Facilities Act, "private college" or "private participating college" means a private college that does not restrict the admission of a student based on his or her race or ethnicity, provided that the financing does not violate constitutional provisions.

"Bond" means bonds, notes, debentures, securities, or other evidences of indebtedness. This broad definition allows CEFA to issue tax-exempt direct or private placement loans for colleges and universities, which may provide greater cost savings than these colleges and universities might otherwise realize on a traditional bond financing.

0955 - COLLEGE ACCESS TAX CREDIT FUND

Chapter 367, Statutes of 2014, required CEFA to administer the College Access Tax Credit Fund and allocate and certify the tax credits for taxable years beginning on or after January 1, 2014, and before January 1, 2017. Chapter 22, Statutes of 2015, added a requirement that CEFA continue to allocate and certify the tax credits for taxable years beginning on or after January 1, 2017, and before January 1, 2018.

DETAILED EXPENDITURES BY PROGRAM

		2015-16*	2016-17*	2017-18*
	PROGRAM REQUIREMENTS			
0940	BOND FINANCING			
	State Operations:			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0989 California Educational Facilities Authority - Continued

		2015-16*	2016-17*	2017-18*
0911	Educational Facilities Authority Fund	\$975	\$902	\$896
0954	Student Loan Authority Fund	12	-	-
	Totals, State Operations	\$987	\$902	\$896
	PROGRAM REQUIREMENTS			
0955	COLLEGE ACCESS TAX CREDIT PROGRAM			
	State Operations:			
3263	College Access Tax Credit Fund	\$39	\$242	\$132
	Totals, State Operations	\$39	\$242	\$132
	TOTALS, EXPENDITURES			
	State Operations	1,026	1,144	1,028
	Totals, Expenditures	\$1,026	\$1,144	\$1,028

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
PERSONAL SERVICES						
Baseline Positions	6.0	6.0	5.5	\$427	\$427	\$397
Total Adjustments	-1.2	-	-	-59	14	6
Net Totals, Salaries and Wages	4.8	6.0	5.5	\$368	\$441	\$403
Staff Benefits	-	-	-	177	216	203
Totals, Personal Services	4.8	6.0	5.5	\$545	\$657	\$606
OPERATING EXPENSES AND EQUIPMENT				\$481	\$487	\$422
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,026	\$1,144	\$1,028

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
0911 Educational Facilities Authority Fund			
APPROPRIATIONS			
Education Code sections 94140-94141	\$1,016	\$929	\$896
Allocation for Employee Compensation	8	13	-
Allocation for Staff Benefits	4	1	-
Past Year Adjustments	-57	-	-
Pro Rata Assessments Removal	-	-47	-
Section 3.60 Pension Contribution Adjustment	3	6	-
Technical Adjustment	1	-	-
TOTALS, EXPENDITURES	\$975	\$902	\$896
0954 Student Loan Authority Fund			
APPROPRIATIONS			
Past Year Adjustments	\$12	-	-
TOTALS, EXPENDITURES	\$12	\$-	\$-
3263 College Access Tax Credit Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$244	\$238	\$132
Allocation for Employee Compensation	2	2	-
Allocation for Staff Benefits	1	1	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0989 California Educational Facilities Authority - Continued

1 STATE OPERATIONS	2015-16*	2016-17*	2017-18*
Section 3.60 Pension Contribution Adjustment	<u>1</u>	<u>1</u>	<u>-</u>
Totals Available	\$248	\$242	\$132
Unexpended balance, estimated savings	<u>-209</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$39	\$242	\$132
Total Expenditures, All Funds, (State Operations)	\$1,026	\$1,144	\$1,028

FUND CONDITION STATEMENTS

	2015-16*	2016-17*	2017-18*
3263 College Access Tax Credit Fund ^s			
BEGINNING BALANCE	\$2,454	\$6,533	\$5,678
Prior Year Adjustments	<u>-2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$2,452	\$6,533	\$5,678
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	34	-	-
4171300 Donations	12,662	9,858	9,858
4172500 Miscellaneous Revenue	1,111	-	-
Transfers and Other Adjustments			
Revenue Transfer from College Access Tax Credit Fund (3263) to the General Fund (0001) per Revenue and Taxation Code Section 17053.86 (Ch. 367/2014)	<u>-8,231</u>	<u>-5,369</u>	<u>-5,369</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$5,576</u>	<u>\$4,489</u>	<u>\$4,489</u>
Total Resources	\$8,028	\$11,022	\$10,167
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0989 California Educational Facilities Authority (State Operations)	39	242	132
6980 California Student Aid Commission (Local Assistance)	1,456	5,102	5,546
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	<u>-</u>	<u>-</u>	<u>45</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,495</u>	<u>\$5,344</u>	<u>\$5,723</u>
FUND BALANCE	\$6,533	\$5,678	\$4,444
Reserve for economic uncertainties	6,533	5,678	4,444

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2015-16	2016-17	2017-18	2015-16*	2016-17*	2017-18*
Baseline Positions	6.0	6.0	5.5	\$427	\$427	\$397
Salary and Other Adjustments	<u>-1.2</u>	<u>-</u>	<u>-</u>	<u>-59</u>	<u>14</u>	<u>6</u>
Totals, Adjustments	<u>-1.2</u>	<u>-</u>	<u>-</u>	<u>-\$59</u>	<u>\$14</u>	<u>\$6</u>
TOTALS, SALARIES AND WAGES	4.8	6.0	5.5	\$368	\$441	\$403

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.