



# **Legislative, Judicial, and Executive**

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Governmental bodies classified under the Legislative, Judicial, and Executive section of the Governor's Budget are either established as independent entities under the California Constitution, or are departments with a recognized need to operate outside of the administrative oversight and control of an agency secretary. Constitutionally-established bodies include the Legislature, Judicial Branch, Governor's Office, and constitutional officers. This section also includes such independent entities as the Inspector General and the California State Lottery.



## 0110 Senate

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. The State Senate is comprised of 40 members who are elected for 4-year terms. Members of the Legislature may serve a maximum of 12 years total in the Senate, the Assembly, or both, in any combination of terms. Members first elected before June 6, 2012, however, may generally serve a maximum of 14 years total, with no more than two terms in the Senate and three terms in the Assembly.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0960	Support of the Senate	40.0	40.0	40.0	\$128,779	\$134,213	\$139,622
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>40.0</b>	<b>40.0</b>	<b>40.0</b>	<b>\$128,779</b>	<b>\$134,213</b>	<b>\$139,622</b>
<b>FUNDING</b>		<b>2016-17*</b>			<b>2017-18*</b>		<b>2018-19*</b>
0001	General Fund	\$128,779			\$134,213		\$139,622
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$128,779</b>			<b>\$134,213</b>		<b>\$139,622</b>

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

California Constitution, Article IV, Sections 1 and 2.

### DETAILED BUDGET ADJUSTMENTS

		2017-18*			2018-19*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Other Workload Budget Adjustments</b>							
	• Miscellaneous Baseline Adjustments	\$-	\$-	-	\$5,409	\$-	-
<b>Totals, Other Workload Budget Adjustments</b>		<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$5,409</b>	<b>\$-</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>		<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$5,409</b>	<b>\$-</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>		<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$5,409</b>	<b>\$-</b>	<b>-</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0110 Senate - Continued****Senate Expenditures by Category**

	2016-17*	2017-18*	2018-19*
<b>General Fund Expenses:</b>			
Salaries of Senators	\$4,920	\$5,680	\$5,850
Mileage of Senators	11	11	11
Session Per Diem	1,336	1,557	1,619
<b>Totals, General Fund Expenses</b>	<b>\$6,267</b>	<b>\$7,248</b>	<b>\$7,480</b>
<b>Operating Fund Expenses:</b>			
Salaries and Employee Benefits	\$112,633	\$112,578	\$116,432
Travel and Per Diem	1,270	1,987	2,467
Automotive Expenses	471	417	519
Automotive Repairs	62	55	68
Telephone	17	25	33
Postage	50	1,831	1,958
Freight	57	85	92
Office Supplies	104	283	289
Printing	302	502	514
Publications	45	95	96
Building Expense	2,020	3,100	3,492
Office Alterations	0	50	50
Furniture and Equipment Expense	2	388	299
Contracts	5	75	83
Meals	68	69	76
Ceremonies and Events	22	32	37
All Other Expenses	1,025	850	911
<b>Total, Operating Fund Expenses</b>	<b>\$118,153</b>	<b>\$122,422</b>	<b>\$127,416</b>
<b>Operating Fund Transfers:</b>			
Legislative Analyst	\$4,359	\$4,543	\$4,726
<b>Total, Fund Transfers</b>	<b>\$4,359</b>	<b>\$4,543</b>	<b>\$4,726</b>
<b>TOTAL, Senate Expenses</b>	<b>\$128,779</b>	<b>\$134,213</b>	<b>\$139,622</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0110 Senate - Continued****DETAILED EXPENDITURES BY PROGRAM**

		2016-17*	2017-18*	2018-19*
	<b>PROGRAM REQUIREMENTS</b>			
<b>0960</b>	<b>SUPPORT OF THE SENATE</b>			
	<b>State Operations:</b>			
0001	General Fund	\$128,779	\$134,213	\$139,622
	<b>Totals, State Operations</b>	<b>\$128,779</b>	<b>\$134,213</b>	<b>\$139,622</b>
	<b>TOTALS, EXPENDITURES</b>			
	State Operations	128,779	134,213	139,622
	<b>Totals, Expenditures</b>	<b>\$128,779</b>	<b>\$134,213</b>	<b>\$139,622</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	40.0	40.0	40.0	\$5,488	\$5,691	\$5,691
Other Adjustments	-	-	-	-	-	170
<b>Net Totals, Salaries and Wages</b>	<b>40.0</b>	<b>40.0</b>	<b>40.0</b>	<b>\$5,488</b>	<b>\$5,691</b>	<b>\$5,861</b>
Staff Benefits	-	-	-	-	-	-
<b>Totals, Personal Services</b>	<b>40.0</b>	<b>40.0</b>	<b>40.0</b>	<b>\$5,488</b>	<b>\$5,691</b>	<b>\$5,861</b>
OPERATING EXPENSES AND EQUIPMENT				\$123,291	\$128,522	\$133,761
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$128,779</b>	<b>\$134,213</b>	<b>\$139,622</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation (Senate)	\$128,779	\$134,213	\$139,622
<b>TOTALS, EXPENDITURES</b>	<b>\$128,779</b>	<b>\$134,213</b>	<b>\$139,622</b>
0348 Senate Operating Fund			
APPROPRIATIONS			
Government Code section 9129	\$128,779	\$134,213	\$134,213
<b>TOTALS, EXPENDITURES</b>	<b>\$128,779</b>	<b>\$134,213</b>	<b>\$134,213</b>
Less funding provided by General Fund	-128,779	-134,213	-134,213
<b>NET TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$128,779</b>	<b>\$134,213</b>	<b>\$139,622</b>

**FUND CONDITION STATEMENTS**

	2016-17*	2017-18*	2018-19*
<b>0348 Senate Operating Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$12	\$14	\$14
Prior Year Adjustments	-2	-	-
Adjusted Beginning Balance	\$10	\$14	\$14
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0110 Senate - Continued**

Revenues:			
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	4	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$4</u>	<u>-</u>	<u>-</u>
Total Resources	<u>\$14</u>	<u>\$14</u>	<u>\$14</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0110 Senate (State Operations)	128,779	134,213	134,213
Expenditure Adjustments:			
Less funding provided by General Fund (State Operations)	<u>-128,779</u>	<u>-134,213</u>	<u>-134,213</u>
FUND BALANCE	<u>\$14</u>	<u>\$14</u>	<u>\$14</u>
Reserve for economic uncertainties	14	14	14

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
Baseline Positions	40.0	40.0	40.0	\$5,488	\$5,691	\$5,691
Salary and Other Adjustments	-	-	-	-	-	170
Totals, Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>\$-</u>	<u>\$-</u>	<u>\$170</u>
TOTALS, SALARIES AND WAGES	<u>40.0</u>	<u>40.0</u>	<u>40.0</u>	<u>\$5,488</u>	<u>\$5,691</u>	<u>\$5,861</u>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0120 Assembly

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. The State Assembly is comprised of 80 members who are elected for 2-year terms. Members of the Legislature may serve a maximum of 12 years total in the Senate, the Assembly, or both, in any combination of terms. Members first elected before June 6, 2012, however, may generally serve a maximum of 14 years total, with no more than two terms in the Senate and three terms in the Assembly.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0970	Support of the Assembly	80.0	80.0	80.0	\$169,683	\$176,843	\$183,970
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>80.0</b>	<b>80.0</b>	<b>80.0</b>	<b>\$169,683</b>	<b>\$176,843</b>	<b>\$183,970</b>
<b>FUNDING</b>		<b>2016-17*</b>			<b>2017-18*</b>		<b>2018-19*</b>
0001	General Fund	\$169,683			\$176,843		\$183,970
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$169,683</b>			<b>\$176,843</b>		<b>\$183,970</b>

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

California Constitution, Article IV, Sections 1 and 2.

### DETAILED BUDGET ADJUSTMENTS

		2017-18*			2018-19*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Other Workload Budget Adjustments</b>							
	• Miscellaneous Baseline Adjustments	\$-	\$-	-	\$7,127	\$-	-
<b>Totals, Other Workload Budget Adjustments</b>		<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$7,127</b>	<b>\$-</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>		<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$7,127</b>	<b>\$-</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>		<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$7,127</b>	<b>\$-</b>	<b>-</b>

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**0120 Assembly - Continued****Assembly Expenditures By Category**

	2016-17*	2017-18*	2018-19*
<b>General Fund Expenses:</b>			
Salaries of Assembly Members	\$10,435	\$11,854	\$11,700
Mileage of Assembly Members	8	8	8
Session Per Diem	3,207	3,433	3,300
<b>Totals, General Fund Expenses</b>	<b>\$13,650</b>	<b>\$15,295</b>	<b>\$15,008</b>
<b>Operating Fund Expenses:</b>			
Salaries and Employee Benefits	\$134,315	\$138,235	\$144,179
Travel and Per Diem	2,246	2,807	3,003
Automotive Expenses	58	28	29
Automotive Repairs	30	10	11
Equipment and Furniture	311	127	132
Building Utilities, Maintenance, and Rent	3,891	4,056	4,320
Office Alterations	80	80	86
Telephone	451	408	437
Postage	168	83	87
Freight	99	42	45
Communications	4,385	5,063	5,417
Office Supplies	618	620	663
Printing	3,338	3,543	3,791
Publications	109	97	104
Study Contracts	0	0	0
Meals	16	18	19
Ceremonies and Events	39	28	30
All Other Expenses	1,520	1,760	1,883
<b>Total, Operating Fund Expenses</b>	<b>\$151,674</b>	<b>\$157,005</b>	<b>\$164,236</b>
<b>Operating Fund Transfers:</b>			
Office of the Legislative Analyst	\$4,359	\$4,543	\$4,726
State Agencies	0	0	0
<b>Total, Fund Transfers</b>	<b>\$4,359</b>	<b>\$4,543</b>	<b>\$4,726</b>
<b>TOTAL, Assembly Expenses</b>	<b>\$169,683</b>	<b>\$176,843</b>	<b>\$183,970</b>

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**0120 Assembly - Continued****DETAILED EXPENDITURES BY PROGRAM**

		2016-17*	2017-18*	2018-19*
	<b>PROGRAM REQUIREMENTS</b>			
<b>0970</b>	<b>SUPPORT OF THE ASSEMBLY</b>			
	<b>State Operations:</b>			
0001	General Fund	\$169,683	\$176,843	\$183,970
	<b>Totals, State Operations</b>	<b>\$169,683</b>	<b>\$176,843</b>	<b>\$183,970</b>
	<b>TOTALS, EXPENDITURES</b>			
	State Operations	169,683	176,843	183,970
	<b>Totals, Expenditures</b>	<b>\$169,683</b>	<b>\$176,843</b>	<b>\$183,970</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	80.0	80.0	80.0	\$10,686	\$11,376	\$11,376
Other Adjustments	-	-	-	-	-	-154
<b>Net Totals, Salaries and Wages</b>	<b>80.0</b>	<b>80.0</b>	<b>80.0</b>	<b>\$10,686</b>	<b>\$11,376</b>	<b>\$11,222</b>
Staff Benefits	-	-	-	-	-	-
<b>Totals, Personal Services</b>	<b>80.0</b>	<b>80.0</b>	<b>80.0</b>	<b>\$10,686</b>	<b>\$11,376</b>	<b>\$11,222</b>
OPERATING EXPENSES AND EQUIPMENT				\$158,997	\$165,467	\$172,748
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$169,683</b>	<b>\$176,843</b>	<b>\$183,970</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
0001 General Fund			
APPROPRIATIONS			
011 Budget Act appropriation (Assembly)	\$169,683	\$176,843	\$183,970
<b>TOTALS, EXPENDITURES</b>	<b>\$169,683</b>	<b>\$176,843</b>	<b>\$183,970</b>
0125 Assembly Operating Fund			
APPROPRIATIONS			
Government Code section 9129	\$169,683	\$176,843	\$176,843
<b>TOTALS, EXPENDITURES</b>	<b>\$169,683</b>	<b>\$176,843</b>	<b>\$176,843</b>
Less funding provided by General Fund	-169,683	-176,843	-176,843
<b>NET TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$169,683</b>	<b>\$176,843</b>	<b>\$183,970</b>

**FUND CONDITION STATEMENTS**

	2016-17*	2017-18*	2018-19*
<b>0125 Assembly Operating Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$144	\$144	\$144
Prior Year Adjustments	-55	-	-
Adjusted Beginning Balance	\$89	\$144	\$144
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0120 Assembly - Continued**

Revenues:			
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	20	-	-
4172500 Miscellaneous Revenue	35	-	-
Total Revenues, Transfers, and Other Adjustments	\$55	-	-
Total Resources	\$144	\$144	\$144
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0120 Assembly (State Operations)	169,683	176,843	176,843
Expenditure Adjustments:			
Less funding provided by General Fund (State Operations)	-169,683	-176,843	-176,843
FUND BALANCE	\$144	\$144	\$144
Reserve for economic uncertainties	144	144	144
<b>0160 Operating Funds of the Assembly and Senate<sup>s</sup></b>			
BEGINNING BALANCE	\$27	\$26	\$26
Prior Year Adjustments	-1	-	-
Adjusted Beginning Balance	\$26	\$26	\$26
Total Resources	\$26	\$26	\$26
FUND BALANCE	\$26	\$26	\$26
Reserve for economic uncertainties	26	26	26

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
Baseline Positions	80.0	80.0	80.0	\$10,686	\$11,376	\$11,376
Salary and Other Adjustments	-	-	-	-	-	-154
Totals, Adjustments	-	-	-	\$-	\$-	\$-154
TOTALS, SALARIES AND WAGES	80.0	80.0	80.0	\$10,686	\$11,376	\$11,222

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0130 Joint Expenses

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. Both houses contribute to support the Legislative Analyst's Office, which provides fiscal and policy advice to the Legislature, and is overseen by the 16-member bipartisan Joint Legislative Budget Committee.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0980	Support of the Office of the Legislative Analyst	-	-	-	\$8,718	\$9,086	\$9,452
0985	Transferred from Item 0110-001-0001	-	-	-	-4,359	-4,543	-4,726
0990	Transferred from Item 0120-011-0001	-	-	-	-4,359	-4,543	-4,726
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		-	-	-	\$-	\$-	\$-

### DETAILED EXPENDITURES BY PROGRAM

		2016-17*	2017-18*	2018-19*
<b>PROGRAM REQUIREMENTS</b>				
<b>0980</b>	<b>SUPPORT OF THE OFFICE OF THE LEGISLATIVE ANALYST</b>			
	<b>State Operations:</b>			
0001	General Fund	\$8,718	\$9,086	\$9,452
	<b>Totals, State Operations</b>	<b>\$8,718</b>	<b>\$9,086</b>	<b>\$9,452</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>0985</b>	<b>TRANSFERRED FROM ITEM 0110-001-0001</b>			
	<b>State Operations:</b>			
0001	General Fund	-\$4,359	-\$4,543	-\$4,726
	<b>Totals, State Operations</b>	<b>-\$4,359</b>	<b>-\$4,543</b>	<b>-\$4,726</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>0990</b>	<b>TRANSFERRED FROM ITEM 0120-011-0001</b>			
	<b>State Operations:</b>			
0001	General Fund	-\$4,359	-\$4,543	-\$4,726
	<b>Totals, State Operations</b>	<b>-\$4,359</b>	<b>-\$4,543</b>	<b>-\$4,726</b>
<b>TOTALS, EXPENDITURES</b>				
	<b>Totals, Expenditures</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>

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## 0150 Contributions to the Legislators' Retirement System

Established in 1947, the Legislators' Retirement System provides retirement and death benefits for legislators, constitutional officers, and legislative statutory officers.

The Legislators' Retirement System is funded through contributions from the state, members of the system, and investment earnings. Between 2000-01 and 2011-12, the Legislators' Retirement System was super-funded and neither the state nor members were required to contribute. Beginning in 2012-13, contributions resumed for the state and members.

The California Public Employees' Retirement System (CalPERS) administers the Legislators' Retirement System on behalf of its members. Retirement benefits paid are based upon age, years of service, and final compensation. Benefits for death, disability, and surviving dependents of deceased members are also provided under specific conditions.

Proposition 140, approved by voters in 1990, closed the Legislators' Retirement System to all new legislators after November 6, 1990.

Effective January 1, 2013, the Legislators' Retirement System was closed to all new members by the California Public Employees' Pension Reform Act of 2013, Chapter 296, Statutes of 2012 (AB 340). New constitutional officers, statutory officers, Sergeant-at-Arms, and the Insurance Commissioner retain optional membership rights in CalPERS.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0110	Legislators' Retirement System	-	-	-	\$6,960	\$7,045	\$7,264
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		-	-	-	<b>\$6,960</b>	<b>\$7,045</b>	<b>\$7,264</b>
<b>FUNDING</b>			<b>2016-17*</b>		<b>2017-18*</b>		<b>2018-19*</b>
0820	Legislators Retirement Fund		\$6,960		\$7,045		\$7,264
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>			<b>\$6,960</b>		<b>\$7,045</b>		<b>\$7,264</b>

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Government Code, Title 2, Division 2, Part 1, Chapter 3.5.

### DETAILED BUDGET ADJUSTMENTS

		2017-18*			2018-19*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Other Workload Budget Adjustments</b>							
	• Miscellaneous Baseline Adjustments	\$-	\$-408	-	\$-	\$-861	-
<b>Totals, Other Workload Budget Adjustments</b>		<b>\$-</b>	<b>\$-408</b>	<b>-</b>	<b>\$-</b>	<b>\$-861</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>		<b>\$-</b>	<b>\$-408</b>	<b>-</b>	<b>\$-</b>	<b>\$-861</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>		<b>\$-</b>	<b>\$-408</b>	<b>-</b>	<b>\$-</b>	<b>\$-861</b>	<b>-</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0150 Contributions to the Legislators' Retirement System - Continued****0820 Legislators' Retirement Fund Summary**

<b>0820 Legislators' Retirement Fund</b>	<b>PY 2016-17*</b>	<b>CY 2017-18*</b>	<b>BY 2018-19*</b>
<b>Beginning Balance</b>	\$119,050	\$116,884	\$114,052
Prior Year Adjustments	-5		
	<b>\$119,045</b>	<b>\$116,884</b>	<b>\$114,052</b>
<b>Revenues:</b>			
Investment Income	\$5,047	\$4,955	\$4,835
State Contributions	516	552	539
Member Contributions	94	98	96
Contribution Refunds	-289	-787	-485
<b>Total Revenues</b>	<b>\$5,369</b>	<b>\$4,819</b>	<b>\$4,986</b>
<b>Expenditures:</b>			
Pension Benefit Payments	\$6,960	\$7,045	\$7,264
Administrative Expenditures	570	606	625
<b>Total Expenditures</b>	<b>\$7,530</b>	<b>\$7,651</b>	<b>\$7,889</b>
<b>Ending Fund Balance</b>	<b>\$116,884</b>	<b>\$114,052</b>	<b>\$111,149</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0150 Contributions to the Legislators' Retirement System - Continued****DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

<b>4 UNCLASSIFIED</b>	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>0820 Legislators Retirement Fund</b>			
APPROPRIATIONS			
Government Code section 9359.1	\$7,249	\$7,453	\$7,264
Past Year Adjustment	-289	-	-
Revised Estimates	-	-408	-
<b>TOTALS, EXPENDITURES</b>	<b>\$6,960</b>	<b>\$7,045</b>	<b>\$7,264</b>
<b>Total Expenditures, All Funds, (Unclassified)</b>	<b>\$6,960</b>	<b>\$7,045</b>	<b>\$7,264</b>

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\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0160 Legislative Counsel Bureau

The Legislative Counsel Bureau (Bureau) provides legal assistance to the two houses of the Legislature, and their members and committees, by resolving a large volume of complex legal problems arising in connection with the legislative process. The legal services furnished include rendering opinions, drafting bills, counseling, attending as counsel meetings of legislative committees, and representing the Legislature in litigation. The attorney-client relationship is maintained and all work is confidential.

In addition, the Bureau prepares and provides necessary indices and appropriate tables necessary to identify legislative measures and compiles and indexes statutes and codes.

The Bureau operates the Legislative Data Center, which provides information technology services in support of the legislative information system and the processing of legislative measures.

Pursuant to Chapter 46 of the Statutes of 2012, the California Commission on Uniform State Laws was transferred into the Legislative Counsel Bureau. The California Commission on Uniform State Laws presents to the Legislature uniform laws recommended by the National Conference of Commissioners on Uniform State Laws and then promotes passage of these uniform acts.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0120	Support	533.8	630.0	630.0	\$99,986	\$104,238	\$104,315
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>533.8</b>	<b>630.0</b>	<b>630.0</b>	<b>\$99,986</b>	<b>\$104,238</b>	<b>\$104,315</b>
<b>FUNDING</b>		<b>2016-17*</b>			<b>2017-18*</b>	<b>2018-19*</b>	
0001	General Fund	\$82,354			\$85,863	\$85,778	
0995	Reimbursements	99			131	131	
9740	Central Service Cost Recovery Fund	17,533			18,244	18,406	
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$99,986</b>			<b>\$104,238</b>	<b>\$104,315</b>	

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Government Code, Sections 10200-10248.5 and 10270-10282.

### DETAILED BUDGET ADJUSTMENTS

		2017-18*			2018-19*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Other Workload Budget Adjustments</b>							
• Allocation for Other Post-Employment Benefits		\$64	\$13	-	\$64	\$13	-
• Salary Adjustments		1,651	362	-	1,651	362	-
• Benefit Adjustments		663	146	-	727	159	-
• Retirement Rate Adjustments		659	145	-	659	145	-
• Miscellaneous Baseline Adjustments		-	-	-	-149	149	-
<b>Totals, Other Workload Budget Adjustments</b>		<b>\$3,037</b>	<b>\$666</b>	<b>-</b>	<b>\$2,952</b>	<b>\$828</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>		<b>\$3,037</b>	<b>\$666</b>	<b>-</b>	<b>\$2,952</b>	<b>\$828</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>		<b>\$3,037</b>	<b>\$666</b>	<b>-</b>	<b>\$2,952</b>	<b>\$828</b>	<b>-</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0160 Legislative Counsel Bureau - Continued****DETAILED EXPENDITURES BY PROGRAM**

		2016-17*	2017-18*	2018-19*
	<b>PROGRAM REQUIREMENTS</b>			
<b>0120</b>	<b>SUPPORT</b>			
	<b>State Operations:</b>			
0001	General Fund	\$82,354	\$85,863	\$85,778
0995	Reimbursements	99	131	131
9740	Central Service Cost Recovery Fund	17,533	18,244	18,406
	<b>Totals, State Operations</b>	<b>\$99,986</b>	<b>\$104,238</b>	<b>\$104,315</b>
	<b>TOTALS, EXPENDITURES</b>			
	State Operations	99,986	104,238	104,315
	<b>Totals, Expenditures</b>	<b>\$99,986</b>	<b>\$104,238</b>	<b>\$104,315</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	630.0	630.0	630.0	\$49,670	\$50,079	\$50,079
Other Adjustments	-96.2	-	-	-4,498	2,030	2,030
<b>Net Totals, Salaries and Wages</b>	<b>533.8</b>	<b>630.0</b>	<b>630.0</b>	<b>\$45,172</b>	<b>\$52,109</b>	<b>\$52,109</b>
Staff Benefits	-	-	-	22,044	25,859	25,936
<b>Totals, Personal Services</b>	<b>533.8</b>	<b>630.0</b>	<b>630.0</b>	<b>\$67,216</b>	<b>\$77,968</b>	<b>\$78,045</b>
OPERATING EXPENSES AND EQUIPMENT				\$32,770	\$26,270	\$26,270
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$99,986</b>	<b>\$104,238</b>	<b>\$104,315</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$82,380	\$82,826	\$85,778
Allocation for Employee Compensation	-	1,651	-
Allocation for Other Post-Employment Benefits	-	64	-
Allocation for Staff Benefits	-	663	-
Past Year Adjustments	-26	-	-
Section 3.60 Pension Contribution Adjustment	-	659	-
<b>TOTALS, EXPENDITURES</b>	<b>\$82,354</b>	<b>\$85,863</b>	<b>\$85,778</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$99	\$131	\$131
<b>TOTALS, EXPENDITURES</b>	<b>\$99</b>	<b>\$131</b>	<b>\$131</b>
<b>9740 Central Service Cost Recovery Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$17,481	\$17,578	\$18,406
Allocation for Employee Compensation	-	362	-
Allocation for Other Post-Employment Benefits	-	13	-
Allocation for Staff Benefits	-	146	-

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.



**0160 Legislative Counsel Bureau - Continued**

Past Year Adjustments	52	-	-
Section 3.60 Pension Contribution Adjustment	-	145	-
<b>TOTALS, EXPENDITURES</b>	<b>\$17,533</b>	<b>\$18,244</b>	<b>\$18,406</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$99,986</b>	<b>\$104,238</b>	<b>\$104,315</b>

**CHANGES IN AUTHORIZED POSITIONS**

	<b>Positions</b>			<b>Expenditures</b>		
	<b>2016-17</b>	<b>2017-18</b>	<b>2018-19</b>	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>Baseline Positions</b>	630.0	630.0	630.0	\$49,670	\$50,079	\$50,079
<b>Salary and Other Adjustments</b>	-96.2	-	-	-4,498	2,030	2,030
<b>Totals, Adjustments</b>	<b>-96.2</b>	<b>-</b>	<b>-</b>	<b>\$-4,498</b>	<b>\$2,030</b>	<b>\$2,030</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>533.8</b>	<b>630.0</b>	<b>630.0</b>	<b>\$45,172</b>	<b>\$52,109</b>	<b>\$52,109</b>

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## 0250 Judicial Branch

Article VI of the Constitution creates the Supreme Court of California and the Courts of Appeal to exercise the judicial power of the state at the appellate level. Article VI also creates the Judicial Council of California to administer the state's judicial system. Chapter 869, Statutes of 1997, created the California Habeas Corpus Resource Center to represent any person financially unable to employ appellate counsel in capital cases.

The Lockyer-Isenberg Trial Court Funding Act of 1997 (Chapter 850, Statutes of 1997) provided a stable and consistent funding source for the trial courts. Beginning with fiscal year 1997-98, consolidation of the costs of trial court operation was implemented at the state level, with the exception of facility, revenue collection, and local judicial benefit costs. This implementation capped the counties' general purpose revenue contributions to trial court costs at a revised 1994-95 level. The county contributions become part of the Trial Court Trust Fund, which supports all trial court operations. Fine and penalty revenue collected by each county is retained or distributed in accordance with statute. Each county makes quarterly payments to the Trial Court Trust Fund equal to the fine and penalty revenue received by the state General Fund in 1994-95, as adjusted by amounts equivalent to specified fine and fee revenues that counties benefited from in 2003-04. The Trial Court Facilities Act of 2002 (Chapter 1082, statutes of 2002) provided a process for the responsibility for court facilities to be transferred from the counties to the state by July 1, 2007, which was extended to December 31, 2009, by Chapter 9, Statutes of 2008. The Trial Court Facilities Act of 2002 also established several new revenue sources, effective on January 1, 2003. These revenues are deposited into the State Court Facilities Construction Fund for the purpose of funding the construction and maintenance of court facilities throughout the state. Counties contribute revenues for the ongoing operation and maintenance of court facilities based upon historical expenditures for facilities transferred to the state.

The mission of the Judicial Branch is to resolve disputes arising under the law and to interpret and apply the law consistently, impartially, and independently to protect the rights and liberties guaranteed by the Constitutions of California and the United States, in a fair, accessible, effective, and efficient manner.

Because the Judicial Branch's programs drive a need for infrastructure investment, the Judicial Branch has a capital outlay program to support this need. For additional information regarding the Judicial Branch's capital outlay program, see "Infrastructure Overview."

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0130	Supreme Court	155.9	156.2	156.2	\$44,741	\$50,339	\$50,708
0135	Courts of Appeal	782.7	797.0	805.0	223,257	242,787	243,872
0140	Judicial Council	581.2	564.4	579.4	126,816	145,487	152,015
0140023	Judicial Branch Facility Program	111.2	131.2	131.2	385,542	478,595	462,772
0150	State Trial Court Funding	-	-	-	2,727,333	2,741,786	2,964,417
0155	Habeas Corpus Resource Center	75.2	81.1	81.1	14,748	16,569	16,641
0170	Offset from Local Property Tax Revenue	-	-	-	-38,087	-48,174	-48,174
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>1,706.2</b>	<b>1,729.9</b>	<b>1,752.9</b>	<b>\$3,484,350</b>	<b>\$3,627,389</b>	<b>\$3,842,251</b>
<b>FUNDING</b>					<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
0001	General Fund				\$1,701,523	\$1,748,032	\$1,908,618
0044	Motor Vehicle Account, State Transportation Fund				202	215	215
0159	State Trial Court Improvement and Modernization Fund				14,177	1,705	18,986
0327	Court Interpreters Fund				-	646	156
0587	Family Law Trust Fund				1,064	1,706	1,706
0890	Federal Trust Fund				2,660	6,644	6,641
0932	Trial Court Trust Fund				1,263,649	1,306,127	1,317,063
0942	Special Deposit Fund				9	330	-
0995	Reimbursements				82,565	88,989	88,589
3037	State Court Facilities Construction Fund				126,955	135,523	160,346
3060	Appellate Court Trust Fund				1,298	7,728	7,472
3066	Court Facilities Trust Fund				106,771	103,130	104,030
3085	Mental Health Services Fund				1,066	1,128	1,129
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund				176,964	224,128	226,298
3259	Recidivism Reduction Fund				6,648	-	-

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**0250 Judicial Branch - Continued**

8059	State Community Corrections Performance Incentive Fund	919	1,356	1,000
9728	Judicial Branch Workers Compensation Fund	-2,120	2	2
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$3,484,350</b>	<b>\$3,627,389</b>	<b>\$3,842,251</b>

**LEGAL CITATIONS AND AUTHORITY**

## DEPARTMENT AUTHORITY

California Constitution, Article VI.

## PROGRAM AUTHORITY

0150-State Trial Court Funding:

California Constitution, Article VI, Section 4.

0150037-Court Interpreters:

Trial Court Interpreter Employment and Labor Relations Act, Government Code Sections 71800-71829.

0155-Habeas Corpus Resource Center:

Government Code Sections 68660-68666.

**MAJOR PROGRAM CHANGES**

- Support for Trial Court Operations-The Budget includes \$47.8 million General Fund to equalize funding among courts by bringing all trial courts up to the statewide average funding level.
- Discretionary Funding for Trial Courts-The Budget includes \$75 million General Fund for allocation to trial courts statewide based on priorities set by the Judicial Council. In approving this augmentation, the Legislature expressed its intent for courts to expand court reporter services in family court.
- Trial Court Employee Health Benefits and Retirement-The Budget includes an increase of \$24.9 million General Fund for trial court employee health benefit and retirement costs.
- Trial Court Trust Fund Revenue-The Budget includes an increase of \$9 million General Fund, bringing the total to \$64 million General Fund, to backfill a further reduction of fine and penalty revenues expected in 2018-19.
- Deferred Maintenance-The Budget includes \$50 million General Fund for deferred maintenance projects at trial courts.
- Services for Self-Represented Litigants-The Budget includes an increase of \$19.1 million General Fund to expand self-help services in trial courts to assist the increasing number of self-represented litigants and achieve court efficiencies, bringing the total budget for self-help services to \$30.3 million. The Budget also includes \$3.2 million General Fund to design, build, and maintain a web portal to enable those without legal representation to research, e-file, and track non-criminal cases via an online portal.
- Language Access-The Budget includes an increase of \$4 million General Fund to continue to expand the availability of interpreter services in all courts. The Budget also includes \$4 million General Fund ongoing to advance implementation of the Strategic Plan for Language Access in California Courts by providing funding for language access training for court interpreters, and for signage and materials in appropriate languages throughout the courts to enhance effective communication for those navigating courthouses.
- Pilot Project for Online Adjudication of Minor Traffic Violations-The Budget includes \$3.4 million General Fund to implement an eight-court pilot to begin moving toward a civil model for adjudication of minor traffic violations by simplifying current criminal procedures. The pilot also includes a tool which will recommend a reduction to the total amount due and maximum monthly payment amounts for defendants who have established their inability to pay fines and penalties.
- Judgeships-The Budget includes \$2.9 million General Fund for two additional judgeships in Riverside County, and \$1.2 million General Fund for one additional Justice in the Fourth Appellate District Court of Appeal in the San Bernardino/Riverside area.
- Court-Appointed Special Advocate Program-The Budget includes \$500,000 General Fund to provide additional grants to train and supervise volunteers assigned by a juvenile court judge to children or youth in foster care, leveraging outside investments to directly serve approximately 2,200 additional foster youth.
- California Courts Protective Order Registry-The Budget includes \$200,000 to expand the Registry, which serves as a central repository of restraining and protective orders, to the remaining seven trial courts.

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0250 Judicial Branch - Continued

## DETAILED BUDGET ADJUSTMENTS

	2017-18*			2018-19*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Workload Budget Change Proposals</b>						
• Support for Trial Court Operations	\$-	\$-	-	\$122,800	\$-	-
• Self-Help Centers in Trial Courts	-	-	-	19,100	-	-
• County Law Libraries	-	-	-	16,500	-	-
• Appellate Court Justice and Trial Court Judgeships	-	-	-	4,120	-	5.0
• Language Access Plan Augmentation	-	-	-	4,000	-	3.0
• Language Access Plan Interpreters	-	-	-	4,000	-	-
• Pilot Project for Online Adjudication of Traffic Violations	-	-	-	3,400	-	7.0
• Self-Represented Litigants e-Services Web Portal	-	-	-	3,236	-	4.0
• Collective Bargaining (AB 83)	-	-	-	610	-	3.0
• Court Appointed Special Advocate Grants Program	-	-	-	500	-	-
• California Courts Protective Order Registry	-	-	-	200	-	1.0
• Adjustment to Pilot Project for Online Adjudication of Traffic Violations	-	-	-	-	-	-
• Trial Court Employee Benefits Adjustment	-	-	-	-966	-	-
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$177,500</b>	<b>\$-</b>	<b>23.0</b>
<b>Other Workload Budget Adjustments</b>						
• Trial Court Employee Retirement and Health Adjustments	-	-	-	25,854	-	-
• Expenditure by Category Redistribution	17,483	5,143	-	16,983	4,999	-
• Allocation for Other Post-Employment Benefits	1,331	186	-	1,331	186	-
• Salary Adjustments	24,757	1,104	-	24,757	1,104	-
• Benefit Adjustments	3,388	430	-	3,587	455	-
• Retirement Rate Adjustments	2,794	373	-	2,794	373	-
• Carryover/Reappropriation	43,561	25,157	-	-	-	-
• SWCAP	-	-	-	-	-3	-
• Lease Revenue Debt Service Adjustment	-127	1,082	-	-292	27,738	-
• Miscellaneous Baseline Adjustments	-5,510	-127,246	-	-11,067	-80,492	-
• Budget Position Transparency	-17,483	-5,143	3.9	-16,983	-4,999	9.9
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$70,194</b>	<b>\$-98,914</b>	<b>3.9</b>	<b>\$46,964</b>	<b>\$-50,639</b>	<b>9.9</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$70,194</b>	<b>\$-98,914</b>	<b>3.9</b>	<b>\$224,464</b>	<b>\$-50,639</b>	<b>32.9</b>
<b>Totals, Budget Adjustments</b>	<b>\$70,194</b>	<b>\$-98,914</b>	<b>3.9</b>	<b>\$224,464</b>	<b>\$-50,639</b>	<b>32.9</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0250 Judicial Branch - Continued

## Trial Court Funding, Expenditures, and Positions - 2016-17 and 2017-18

State and Non-State Trial Court Funding, Expenditures, and Positions - 2016-17 and 2017-18								
Section 1. Actual and Estimated Funding, Expenditures, and Positions by Trial Court	Prior-Year Actual				Current-Year Estimated			
	State Funding <sup>1</sup> (Program 0150)	Non-State Funding <sup>1</sup>	Total Court Expenditures <sup>1</sup>	Filled Positions as of 7/1/2016 <sup>2</sup>	State Funding <sup>3</sup> (Program 0150)	Non-State Funding <sup>3</sup>	Total Court Expenditures <sup>3</sup>	Filled Positions as of 7/1/2017 <sup>2</sup>
Court	93,172,026	8,971,560	98,743,430	665	90,859,514	9,465,318	101,252,147	653
Alameda	531,509	30,622	613,706	4	641,265	31,015	609,229	4
Alpine	2,723,476	353,150	2,904,430	27	2,710,784	239,442	3,098,257	28
Amador	12,560,097	802,150	13,142,675	116	12,806,941	815,251	13,899,966	126
Butte	2,640,538	176,571	2,692,753	25	2,624,575	194,788	2,806,686	22
Calaveras	2,267,864	176,236	2,268,659	13	2,192,561	170,461	2,340,005	14
Colusa	50,897,476	5,746,285	57,236,253	323	51,515,693	5,448,873	57,967,943	321
Contra Costa	3,101,778	357,611	3,385,904	26	2,958,274	323,150	3,291,985	22
Del Norte	8,136,793	353,290	8,730,135	76	8,139,701	722,017	8,891,427	75
El Dorado	60,979,840	2,895,368	64,434,231	521	60,599,903	4,457,211	65,879,574	497
Fresno	2,527,718	581,513	3,071,762	22	2,518,311	617,795	3,128,423	21
Glenn	8,223,834	292,244	8,567,334	86	9,474,053	346,226	10,130,634	85
Humboldt	10,843,500	1,773,540	12,388,786	145	10,771,439	1,488,896	13,930,815	139
Imperial	2,510,035	178,391	2,717,605	17	2,553,017	171,900	2,770,062	17
Inyo	61,268,588	14,417,326	76,550,143	456	58,024,236	13,828,003	75,069,723	491
Kern	8,778,747	565,298	9,085,787	79	9,261,051	537,409	10,169,154	82
Kings	3,935,613	77,112	4,071,620	30	4,105,350	57,500	4,376,096	30
Lake	2,769,913	208,898	3,188,619	20	2,751,716	217,650	2,946,426	22
Lassen	699,492,148	36,123,668	732,956,255	4,388	705,424,000	36,524,000	820,690,003	4,483
Los Angeles	10,012,599	275,481	10,296,470	98	10,064,223	310,192	10,986,949	96
Madera	13,979,073	429,659	14,241,980	99	13,537,211	388,000	14,093,623	101
Marin	1,388,665	188,095	1,578,949	13	1,527,637	188,061	1,707,122	12
Mariposa	6,855,906	152,432	6,745,242	59	6,490,561	398,170	7,110,280	55
Mendocino	15,547,009	937,868	16,306,878	130	15,811,159	1,044,450	17,562,268	133
Merced	1,193,281	86,130	1,306,672	9	1,152,064	58,219	1,304,194	9
Modoc	2,071,509	103,302	2,031,417	12	2,202,606	75,591	2,278,197	13
Mono	22,136,436	719,153	22,886,922	177	22,700,174	1,315,944	24,742,736	185
Monterey	9,334,744	706,518	9,843,037	70	9,144,113	665,700	10,443,727	66
Napa	6,216,211	776,964	6,933,509	58	6,505,845	586,483	6,943,682	53
Nevada	173,496,563	22,131,113	198,341,227	1,424	169,766,157	21,971,815	193,079,664	1,422
Orange	19,275,871	1,656,469	20,381,332	119	20,024,089	1,376,084	22,709,735	123
Placer	1,589,232	16,376	1,565,400	10	1,320,943	16,377	1,477,789	11
Plumas	126,096,218	22,116,739	147,131,241	1,091	132,917,944	18,363,986	153,744,415	1,064
Riverside	86,263,825	6,822,432	92,909,422	672	88,734,126	5,077,609	98,159,790	662
Sacramento	2,937,143	95,905	3,054,997	26	3,030,964	59,772	3,191,582	23
San Benito	114,891,158	5,480,355	119,438,373	947	119,190,027	5,716,016	132,759,884	1,017
San Bernardino	160,293,577	12,364,969	177,318,119	1,271	158,799,822	12,827,787	171,636,749	1,206
San Diego	70,841,060	7,517,443	81,600,556	447	65,041,543	7,344,475	76,676,447	454
San Francisco	38,949,977	2,710,401	41,503,609	319	39,207,884	2,834,362	44,343,336	324
San Joaquin	15,560,102	1,015,547	16,785,921	131	15,225,393	1,240,002	17,312,417	128
San Luis Obispo	41,715,587	1,244,230	41,990,766	264	40,524,646	2,068,597	44,639,956	265
San Mateo	27,288,572	2,604,040	30,613,777	228	28,554,273	2,874,952	31,279,376	225
Santa Barbara	91,705,797	9,243,104	103,112,254	624	91,279,802	6,595,969	100,643,101	616
Santa Clara	14,765,080	677,884	14,999,078	120	14,074,882	601,269	14,886,798	121
Santa Cruz	15,206,032	3,239,546	18,450,244	172	15,631,599	3,377,032	19,241,158	173
Shasta	772,187	51,127	732,839	5	787,429	48,717	1,051,624	5
Sierra	4,050,000	426,903	4,381,144	34	3,900,800	396,705	4,397,619	31
Siskiyou	23,719,719	1,847,211	25,297,882	202	24,069,772	1,530,399	26,033,407	197
Solano	27,365,438	2,064,935	29,480,708	186	26,969,387	1,810,531	29,253,067	185
Sonoma	25,404,762	1,291,322	27,553,167	238	25,262,308	1,815,895	27,848,250	227
Stanislaus	5,957,686	388,440	7,014,202	57	6,264,588	375,119	6,905,084	56
Sutter	4,843,320	232,236	5,168,969	40	4,804,027	308,500	5,244,219	38
Tehama	2,062,245	60,225	2,103,205	15	2,080,909	67,595	2,139,367	15
Trinity	23,800,735	4,376,959	28,617,616	230	25,336,043	4,208,260	30,462,844	235
Tulare	3,929,480	188,496	4,092,500	35	4,097,703	180,795	4,257,713	35
Tuolumne	43,135,381	8,207,231	49,631,933	351	42,647,121	8,523,898	53,673,634	361
Ventura	12,404,944	1,166,200	13,193,393	104	12,622,031	1,148,666	13,948,026	106
Yolo	5,704,926	554,876	5,706,491	46	5,978,387	532,182	7,069,358	49
Yuba								
<b>Subtotal, Section 1</b>	<b>2,310,123,543</b>	<b>198,249,149</b>	<b>2,511,091,528</b>	<b>17,168</b>	<b>2,317,212,576</b>	<b>193,981,081</b>	<b>2,638,487,742</b>	<b>17,223</b>
<b>Section 2: Funding Not Yet Allocated or Not Distributed to the Trial Courts</b>								
<b>Pending State Funding to the Trial Courts <sup>4</sup></b>								
Compensation adjustment for Superior Court Judges					<b>14,775,000</b>			
<b>State Trial Court Funding Not Distributed to the Trial Courts <sup>5</sup></b>								
	<b>466,216,366</b>	-	-	-	<b>481,009,361</b>	-	-	-
<b>Less: Expenses Made on Behalf of Courts from Courts <sup>6</sup></b>								
<b>Share of State Trial Court Funding <sup>6</sup></b>					<b>(31,063,000)</b>	-	-	-
<b>Other <sup>7</sup></b>					<b>(40,147,937)</b>	-	-	-
<b>State Trial Court Funding Total <sup>8</sup></b>					<b>2,727,333,000</b>			
					<b>2,741,786,000</b>			

<sup>1</sup> Reflects the 2016-17 4th quarter Quarterly Financial Statement information submitted by the superior courts. A detailed breakdown of this data can be found in the Report of Trial Court Revenue, Expenditure, and Fund Balance Constraints for Fiscal Year 2016-17. Non-state funding includes local fees and non-fee revenue, enhanced collections and other reimbursements, grants from non-state entities, etc.

<sup>2</sup> Reflects the filled full-time equivalent positions reported on the Schedule 7As submitted by the superior courts. Does not include judges, who are constitutional officers and not court employees.

<sup>3</sup> Reflects the budgets of all 58 superior courts based on courts' 2017-18 Schedule 1 submissions as of December 1, 2017.

<sup>4</sup> Reflects pending allocations from the Judicial Council. Courts may have budgeted for some of these funds in section 1 in anticipation of their allocation.

<sup>5</sup> See the "State Trial Court Funding Not Distributed to the Trial Courts" display for more detail. Funds either disbursed on behalf of trial courts, for statewide programs, for judges compensation, or to non-court entities, and as a result are not included in the superior courts' state funding in Section 1.

<sup>6</sup> See the "State Trial Court Funding Not Distributed to the Trial Courts" display for more detail. These expenses are funded by the trial courts opting to participate in those programs from their "State Funding" revenue distribution amounts reported in Section 1 of the "State and Non-State Trial Court Funding, Expenditures, and Positions" display. Because the funding for these expenses is already included in Section 1, they are subtracted from the total "State Trial Court Funding Not Distributed to Trial Courts" amount used to calculate the total expenditures displayed for "State Trial Court Funding Total".

<sup>7</sup> For the prior year, recognizes that the revenues courts report individually as received or to be received from the state will not be equal to the "State Trial Court Funding" expenditure amount reported at the state level due to timing and accounting differences. For the current year, this category reflects either differences in local versus state revenue projections, pending court budgets, or unallocated appropriation.

<sup>8</sup> Total state funding for trial courts ties to actual and accrued expenditures for 2016-17 and estimated expenditures for 2017-18 for Program 0150 "State Trial Court Funding" (as well as Program 0140019 "Trial Court Operations" for FY 2017-18) included in the 2018-19 Governor's Budget.

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0250 Judicial Branch - Continued

## State Trial Court Funding Not Distributed to the Trial Courts - 2016-17 and 2017-18

Programs by Category <sup>1</sup>	Fund	Prior-Year Actual		Current-Year Estimated	
		Not From Courts' Share of State Trial Court Funding	From Courts' Share of State Trial Court Funding <sup>2</sup>	Not From Courts' Share of State Trial Court Funding	From Courts' Share of State Trial Court Funding <sup>2</sup>
<b>Branch Accounting and Procurement Programs</b>					
Court-Ordered Debt Task Force	IMF	8,031	-	9,500	-
Phoenix Program	IMF	3,387,987	-	3,545,668	-
Phoenix Program	TCTF	-	-	-	-
<b>Budget Services Programs</b>					
Court-Ordered Debt Task Force	IMF	-	-	-	-
Budget Focused Training and Meetings	IMF	31,896	-	50,000	-
Compensation of Superior Court Judges <sup>3</sup>	TCTF	219,617,127	-	236,640,907	-
Other Post Employment Benefits Valuation	TCTF	-	-	-	535,000
<b>Center for Children, Families, &amp; the Courts Programs</b>					
Children in Dependency Cases Training	TCTF	37,366	-	113,000	-
Court-Appointed Special Advocate Program	TCTF	2,213,000	-	2,213,000	-
Direct Payments for Court-Appointed Dependency Counsel <sup>4</sup>	TCTF	75,777,435	-	89,191,446	-
Domestic Violence Forms Translation	IMF	17,000	-	17,000	-
Statewide Multidisciplinary Education	IMF	67,000	-	27,000	-
Equal Access Fund	TCTF	3,800,000	-	5,221,139	-
Equal Access Fund	GF	20,392,000	-	20,392,000	-
Self Help Center	IMF	5,000,194	-	5,000,000	-
Self-Help Document Assembly Programs	IMF	58,975	-	60,000	-
Self Help Videos for Website	IMF	4,900	-	-	-
Sargent Shriver Civil Representation Pilot Program	TCTF	8,616,582	-	6,902,000	-
Statewide Support for Self-Help Programs	IMF	99,338	-	100,000	-
<b>Court Operations Special Services Programs</b>					
Assigned Judges	TCTF	25,923,351	-	27,005,000	-
Database Development - Court Interpreters	TCTF	87,000	-	87,000	-
Court Interpreter Program (Testing, Development, Recruitment and Education)	IMF	105,134	-	-	-
JusticeCorps (Court Access and Education)	IMF	-	-	143,000	-
Trial Court Performance and Accountability	IMF	27,911	-	7,000	-
<b>Education Programs</b>					
Distance Learning	IMF	24,254	-	20,000	-
Essential/Other Education for Court Management	IMF	16,155	-	18,000	-
Essential/Other Education for Court Personnel	IMF	83,469	-	116,000	-
Faculty and Curriculum Development	IMF	278,862	-	316,000	-
Mandated, Essential & Other Education for Judicial Officers	IMF	643,621	-	732,000	-
<b>Facilities Management Programs</b>					
Facility-Related Costs Incurred on Behalf of the Courts	TCTF	-	23,107,086	-	8,900,000
<b>Human Resources Programs</b>					
Judicial Branch Workers' Compensation Program <sup>5</sup>	TCTF	651,000	18,317,000	511,000	19,231,000
Judicial Branch Workers' Compensation Program <sup>5</sup>	JBWCF	(511,476)	-	1,000	-
Trial Court Labor Relations Academies and Forums	IMF	34,072	-	22,700	-
<b>Information Technology Programs</b>					
California Courts Technology Center	IMF	7,613,200	-	7,807,257	-
California Courts Technology Center	TCTF	-	1,330,841	-	1,472,000
California Courts Protective Order Registry	IMF	375,283	-	365,734	-
Civil, Small Claims, Probate and Mental Health (V3) Case Management System	TCTF	-	564,048	-	564,000
Civil, Small Claims, Probate and Mental Health (V3) Case Management System	IMF	2,775,446	-	3,356,376	-
V3 CMS Transition BCP	IMF	12,400,000	-	6,000,000	-
Sustain Justice BCP	IMF	-	-	4,100,000	-
Jury Management Systems	IMF	454,703	-	805,000	-
Data Integration	IMF	2,200,600	-	1,459,234	-
Enterprise Policy/Planning (Statewide Development)	IMF	4,038,869	-	4,542,842	-
Interim Case Management Systems	IMF	650,429	-	983,787	-
Interim Case Management Systems	TCTF	-	842,232	-	361,000
Telecommunications Support	IMF	18,956,126	-	14,994,771	-
<b>Legal Services Programs</b>					
Judicial Performance Defense Insurance	IMF	1,008,821	-	956,000	-
Jury System Improvement Projects	IMF	12,697	-	19,000	-
Litigation Management Program	IMF	4,425,986	-	5,433,000	-
Trial Courts Transactional Assistance Program	IMF	650,815	-	662,000	-
<b>Subtotal, Not From Courts' Share of State Trial Court Funding</b>					
		<b>422,055,159</b>		<b>449,946,361</b>	
<b>Subtotal, From Courts' Share of State Trial Court Funding<sup>2</sup></b>					
			<b>44,161,207</b>		<b>31,063,000</b>
<b>Total, State Trial Court Funding Not Distributed to the Trial Courts</b>			<b>466,216,366</b>		<b>481,009,361</b>
<b>Subtotal by Fund</b>					
Trial Court Trust Fund	TCTF	-	380,884,068	-	398,947,492
State Trial Court Improvement and Modernization Fund	IMF	65,451,774	65,451,774	61,668,869	61,668,869
Judicial Branch Workers' Compensation Fund	JBWCF	(511,476)	(511,476)	1,000	1,000
General Fund	GF	-	20,392,000	-	20,392,000
<b>Total, State Trial Court Funding Not Distributed to the Trial Courts</b>			<b>466,216,366</b>		<b>481,009,361</b>

1. Includes all Program 0150 "State Trial Court Funding" as well as Program 0140019 "Trial Court Operations" expenses from all funds, including the Trial Court Trust Fund (TCTF), State Trial Court Improvement and Modernization Fund (IMF), General Fund (GF), and Judicial Branch Workers' Compensation Fund (JBWCF). The expenses do not necessarily represent all expenses for those programs have a state operations component (e.g., Equal Access Fund) and/or a distribution that is made directly to courts (e.g., court-appointed dependency counsel).

2. These expenses are funded by the trial courts opting to participate in those programs from their "State Funding" revenue distribution amounts reported in Section 1 of the "State and Non-State Trial Court Funding, Expenditures, and Positions" display. Because the funding for these expenses is already included in Section 1, they are subtracted from the total "State Trial Court Funding Not Distributed to the Trial Courts" amount used to calculate the total expenditures displayed for "State Trial Court Funding Total".

3. This reflects judges' compensation paid excluding any amounts related to the reimbursement of courts for payments of judges' compensation as these amounts are already included in the courts' "State Funding" revenue amounts reported in the Trial Court Information section (\$115.3 million in 2016-17 and \$121.2 million in 2017-18). Judges from the Los Angeles, Riverside, and Ventura County Superior Courts are compensated locally and the court/county is reimbursed by the TCTF. In addition, 25 courts in 2016-17 and 24 courts in 2017-18 participate in the local salary reimbursement program in which a small portion of the judges' salary is paid locally and reimbursed by the TCTF.

4. Reflects actual or estimated payments made directly to court-appointed dependency counsel from the TCTF on behalf of superior courts participating in the Dependency Representation, Administration, Funding, and Training (DRAFT) Program. Courts not participating in the DRAFT program are reimbursed up to a maximum amount from the TCTF for payments to court-appointed dependency counsel.

5. For the TCTF, expenses are the annual premiums for 57 participating trial courts from their allocations and for superior court judges from the appropriation for the Compensation of Superior Court Judges that are transferred to the JBWCF. For the JBWCF, these expenses reflect payments of trial court employee and judge related workers' compensation claims from the JBWCF less the amount transferred from the TCTF.

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0250 Judicial Branch - Continued****Judicial Council of California**

Judicial Council of California

Expenditures and Positions

By Office 2016-17 and 2017-18

Office <sup>1,2</sup>	Fund*	2016-17 Actual		2017-18 Estimated	
		Positions <sup>3</sup>	Expenditures	Positions <sup>3</sup>	Expenditures
Executive	GF	8.8	2,729,438	10.0	3,227,426
	SDF	-	9,444	-	178,000
Legal Services	GF	33.5	7,977,554	49.0	10,160,637
	IMF	3.9	781,995	4.0	1,019,760
	SCFCF	4.6	1,419,629	5.0	1,974,305
Office of Governmental Affairs	GF	9.9	1,862,303	11.0	2,073,229
Center for Families, Children and the Courts	GF	18.3	3,761,505	21.0	4,434,563
	FLTF	6.8	1,064,206	8.0	1,706,000
	FTF	14.1	1,891,359	16.0	2,861,592
	TCTF	-	867,395	-	904,048
	REIMB	12.5	3,638,948	13.0	5,632,132
	MHSF	5.0	1,066,364	6.0	1,128,000
Center for Judicial Education and Research	GF	41.7	7,180,868	48.5	8,542,338
	REIMB	-	101,402	-	94,087
Budget Services	GF	20.1	3,632,364	35.0	5,829,908
	IMF	1.9	285,195	2.0	264,222
	TCTF	3.3	463,209	4.0	640,440
	SCFCF	11.7	1,582,194	9.0	2,267,860
Information Technology	GF	72.1	23,743,606	88.0	23,848,611
	IMF	17.2	3,495,894	28.0	3,883,641
	TCTF	-	424	-	671,000
	SCFCF	6.5	1,848,594	8.0	2,727,046
Human Resources	GF	34.1	8,728,365	39.0	9,230,343
	SCFCF	3.9	621,526	4.0	994,699
	JBWCF	-	(156,630)	-	2,000
Court Operations Special Services	GF	29.4	5,362,802	24.6	4,430,024
	CIF	-	-	-	646,000
	REIMB	-	20,855	-	44,308
	IMF	-	-	-	352,000
	SCFCF	-	405	-	-
Administrative Services	GF	26.4	3,707,029	30.0	3,978,596
Appellate Court Services	GF	3.9	1,963,589	7.0	2,442,286
Criminal Justice Court Services	GF	16.3	1,628,593	21.0	2,397,250
	MVA	1.0	202,000	1.0	215,000
	FTF	-	46,893	-	481,408
	REIMB	-	191,030	-	311,473
	SCCPIF	1.2	919,368	1.0	1,356,000
Public Affairs	GF	7.1	1,367,240	9.0	1,674,508
Special Projects	GF	8.6	1,388,536	10.0	1,579,333
Audit Services	GF	8.9	1,713,590	11.0	1,984,005
	IMF	1.1	174,098	2.0	626,266
	SCFCF	0.9	156,876	1.0	184,665
Judicial Council Trial Court Leadership	GF	18.6	2,752,820	19.8	3,295,113
Branch Accounting and Procurement	GF	104.8	23,201,054	117.0	21,871,831
	IMF	0.7	605,580	1.0	113,111
	TCTF	11.4	975,906	11.0	1,601,853
	SCFCF	11.0	1,391,965	15.0	1,998,426
TCTF <sup>3</sup>					305,659
Judicial Council of California Office Total		581.2	\$126,367,384	689.9	\$146,185,000

□ Fund description included on first page of the Judicial Branch budget.

<sup>1</sup> Beginning in 2017-18, Program 0140-Judicial Council and Program 0145-Judicial Branch Facility Program were merged to Program 0140. This special display reflects the actual and estimated positions and expenditures for Program 0140-Judicial Council only.

<sup>2</sup> Position detail ties to the 2018-19 Salaries and Wages Supplement.

<sup>3</sup> Funding available for allocation by the Judicial Council of California.

<sup>4</sup> 0250-011-0001 not included.

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0250 Judicial Branch - Continued****PROGRAM DESCRIPTIONS****0130 - SUPREME COURT**

The Supreme Court is the highest court in the California judicial system. Its decisions are binding on all other California state courts. The Chief Justice of California and the six Associate Justices entertain petitions seeking review of decisions from the Courts of Appeal, original petitions for extraordinary relief (such as writs of mandate or habeas corpus), and recommendations for discipline of judicial officers and attorneys. The Court grants review and issues opinions in order to settle legal questions of statewide importance. In addition, under the California Constitution, all death penalty judgments are appealed directly to the Supreme Court.

**0135 - COURTS OF APPEAL**

Established by a constitutional amendment in 1904, the Courts of Appeal are California's intermediate courts of review. The six District Courts of Appeal hear appeals and original proceedings at nine different locations around the state. Cases before the Courts of Appeal involve every area of civil and criminal law.

**0140 - JUDICIAL COUNCIL**

The Judicial Council of California is the constitutional policy-making body for the state judiciary. The Council consists of 21 voting members and 11 advisory members; the Chief Justice of California serves as chair. The Judicial Council staff serve as the administrative arm of the Council. Staff provide policy support to the Council, administrative accountability in the operation of the courts as specified by law, strategic planning for capital outlay, design, and construction of court facilities; and administrative support for courts in areas such as budget, fiscal services, coordination of the assignment of retired judges, technology, education, legal advice and services, human resources, legislative advocacy, and research.

**0140023 - JUDICIAL BRANCH FACILITY PROGRAM**

The Judicial Branch Facility Program administers the acquisition, planning, construction, operations, and maintenance of judicial branch facilities. This program is responsible for the development of long-term facilities master plans, facility and real estate management, renovation of existing court facilities, and new courthouse planning, design, and construction.

**0150 - STATE TRIAL COURT FUNDING****0150010 - SUPPORT FOR THE OPERATION OF THE TRIAL COURTS**

This program's objective is to provide the resources necessary for the statewide trial court system to adjudicate civil and criminal cases. This program includes all allowable trial court administrative costs under Government Code section 77003, except court-appointed dependency counsel, salaries and benefits of Superior Court judges, compensation for assigned judges, support for language interpreters, grants, and expenses on behalf of the trial courts.

**0150011 - COURT APPOINTED DEPENDENCY COUNSEL**

This program provides funding for court-appointed counsel for children, non-minor dependents and parents in juvenile court dependency proceedings pursuant to Welfare and Institutions Code section 317, subdivisions (b) and (c), and Government Code section 77003, subdivision (a)(4).

**0150019 - COMPENSATION OF SUPERIOR COURT JUDGES**

This program provides funding for the salaries and state benefits for Superior Court judges.

**0150028 - ASSIGNED JUDGES**

This program provides support for the salaries and related costs of retired as well as active judges who are assigned by the Chief Justice to positions in courts which require assistance due to judicial absences or other factors impacting the ability of a court to avoid case delay.

**0150037 - COURT INTERPRETERS**

This program supports the provision of qualified language interpreters in criminal, juvenile, family and civil proceedings as required by statute.

**0150046 - GRANTS**

This program provides funding for government grants providing public services related to the trial courts.

**0150095 - EXPENSES ON BEHALF OF THE TRIAL COURTS**



**0250 Judicial Branch - Continued**

This program provides appropriation for statewide programs and services provided to the court or courts by the Judicial Council or payment for services or property of any kind contracted for the court or courts or on behalf of the courts by the Judicial Council, which are paid for or reimbursed by the trial courts. The appropriation also supports the implementation and administration of the Civil Representation Pilot Program.

**0155 - HABEAS CORPUS RESOURCE CENTER**

The Habeas Corpus Resource Center provides legal representation for indigent petitioners in death penalty habeas corpus proceedings before the Supreme Court of California and the federal courts. The Center also recruits and trains attorneys to expand the pool of private counsel qualified to accept appointments in death penalty habeas corpus proceedings, serves as a resource to them, and thereby helps to reduce the number of unrepresented indigents on California's death row.

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0130</b>	<b>SUPREME COURT</b>			
	<b>State Operations:</b>			
0001	General Fund	\$44,751	\$49,176	\$49,545
3060	Appellate Court Trust Fund	7	1,163	1,163
9728	Judicial Branch Workers Compensation Fund	-17	-	-
	<b>Totals, State Operations</b>	<b>\$44,741</b>	<b>\$50,339</b>	<b>\$50,708</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0135</b>	<b>COURTS OF APPEAL</b>			
	<b>State Operations:</b>			
0001	General Fund	\$222,047	\$235,822	\$237,563
0995	Reimbursements	100	400	-
3060	Appellate Court Trust Fund	1,291	6,565	6,309
9728	Judicial Branch Workers Compensation Fund	-181	-	-
	<b>Totals, State Operations</b>	<b>\$223,257</b>	<b>\$242,787</b>	<b>\$243,872</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0140</b>	<b>JUDICIAL COUNCIL</b>			
	<b>State Operations:</b>			
0001	General Fund	\$113,573	\$164,111	\$128,327
0044	Motor Vehicle Account, State Transportation Fund	202	215	215
0159	State Trial Court Improvement and Modernization Fund	5,343	6,259	6,211
0327	Court Interpreters Fund	-	646	156
0587	Family Law Trust Fund	1,064	1,706	1,706
0890	Federal Trust Fund	1,939	3,343	3,340
0932	Trial Court Trust Fund	2,307	4,123	3,945
0942	Special Deposit Fund	9	330	-
0995	Reimbursements	25,403	28,082	28,082
3037	State Court Facilities Construction Fund	126,955	135,523	160,346
3066	Court Facilities Trust Fund	106,771	103,130	104,030
3085	Mental Health Services Fund	1,066	1,128	1,129
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	126,964	174,128	176,298
8059	State Community Corrections Performance Incentive Fund	919	1,356	1,000
9728	Judicial Branch Workers Compensation Fund	-157	2	2
	<b>Totals, State Operations</b>	<b>\$512,358</b>	<b>\$624,082</b>	<b>\$614,787</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0140010</b>	<b>Judicial Council</b>			
	<b>State Operations:</b>			

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0250 Judicial Branch - Continued**

0001	General Fund	\$103,151	\$110,973	\$118,749
0044	Motor Vehicle Account, State Transportation Fund	202	215	215
0159	State Trial Court Improvement and Modernization Fund	5,343	6,259	6,211
0327	Court Interpreters Fund	-	646	156
0587	Family Law Trust Fund	1,064	1,706	1,706
0890	Federal Trust Fund	1,939	3,343	3,340
0932	Trial Court Trust Fund	2,307	4,123	3,945
0942	Special Deposit Fund	9	178	-
0995	Reimbursements	3,952	6,082	6,082
3037	State Court Facilities Construction Fund	7,021	9,476	9,480
3085	Mental Health Services Fund	1,066	1,128	1,129
8059	State Community Corrections Performance Incentive Fund	919	1,356	1,000
9728	Judicial Branch Workers Compensation Fund	-157	2	2
Totals, State Operations		\$126,816	\$145,487	\$152,015
SUBPROGRAM REQUIREMENTS				
0140023	Judicial Branch Facility Program			
State Operations:				
0001	General Fund	\$10,422	\$53,138	\$9,578
0942	Special Deposit Fund	-	152	-
0995	Reimbursements	21,451	22,000	22,000
3037	State Court Facilities Construction Fund	119,934	126,047	150,866
3066	Court Facilities Trust Fund	106,771	103,130	104,030
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	126,964	174,128	176,298
Totals, State Operations		\$385,542	\$478,595	\$462,772
PROGRAM REQUIREMENTS				
0150	STATE TRIAL COURT FUNDING			
Local Assistance:				
0001	General Fund	\$1,344,540	\$1,331,554	\$1,525,742
0159	State Trial Court Improvement and Modernization Fund	8,834	-4,554	12,775
0890	Federal Trust Fund	662	2,275	2,275
0932	Trial Court Trust Fund	1,261,342	1,302,004	1,313,118
0995	Reimbursements	57,062	60,507	60,507
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	50,000	50,000	50,000
3259	Recidivism Reduction Fund	6,648	-	-
9728	Judicial Branch Workers Compensation Fund	-1,755	-	-
Totals, Local Assistance		\$2,727,333	\$2,741,786	\$2,964,417
SUBPROGRAM REQUIREMENTS				
0150010	Support for Operation of Trial Courts			
Local Assistance:				
0001	General Fund	\$731,565	\$680,999	\$852,091
0159	State Trial Court Improvement and Modernization Fund	8,834	-4,554	12,775
0932	Trial Court Trust Fund	1,259,791	1,287,197	1,296,311
0995	Reimbursements	-	1	1
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	50,000	50,000	50,000
3259	Recidivism Reduction Fund	6,648	-	-
9728	Judicial Branch Workers Compensation Fund	-1,659	-	-
Totals, Local Assistance		\$2,055,179	\$2,013,643	\$2,211,178
SUBPROGRAM REQUIREMENTS				
0150011	Court Appointed Dependency Counsel			
Local Assistance:				

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**0250 Judicial Branch - Continued**

0001	General Fund	\$114,700	\$136,700	\$136,700
	<b>Totals, Local Assistance</b>	<b>\$114,700</b>	<b>\$136,700</b>	<b>\$136,700</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0150019</b>	<b>Compensation of Superior Court Judges</b>			
	<b>Local Assistance:</b>			
0001	General Fund	\$341,431	\$356,206	\$356,974
0932	Trial Court Trust Fund	-5,869	-	-
9728	Judicial Branch Workers Compensation Fund	-96	-	-
	<b>Totals, Local Assistance</b>	<b>\$335,466</b>	<b>\$356,206</b>	<b>\$356,974</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0150028</b>	<b>Assigned Judges</b>			
	<b>Local Assistance:</b>			
0001	General Fund	\$27,005	\$28,117	\$28,117
0932	Trial Court Trust Fund	-1,116	-	-
	<b>Totals, Local Assistance</b>	<b>\$25,889</b>	<b>\$28,117</b>	<b>\$28,117</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0150037</b>	<b>Court Interpreters</b>			
	<b>Local Assistance:</b>			
0001	General Fund	\$103,458	\$103,633	\$108,961
0932	Trial Court Trust Fund	-1,175	-	-
	<b>Totals, Local Assistance</b>	<b>\$102,283</b>	<b>\$103,633</b>	<b>\$108,961</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0150051</b>	<b>Child Support Commissioner Program (AB 1058)</b>			
	<b>Local Assistance:</b>			
0995	Reimbursements	53,808	54,332	54,332
	<b>Totals, Local Assistance</b>	<b>\$53,808</b>	<b>\$54,332</b>	<b>\$54,332</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0150055</b>	<b>California Collaborative and Drug Court Projects</b>			
	<b>Local Assistance:</b>			
0001	General Fund	\$1,160	\$1,160	\$1,160
0995	Reimbursements	1,818	4,588	4,588
	<b>Totals, Local Assistance</b>	<b>\$2,978</b>	<b>\$5,748</b>	<b>\$5,748</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0150059</b>	<b>Federal Child Access and Visitation Grant Program</b>			
	<b>Local Assistance:</b>			
0890	Federal Trust Fund	\$565	\$881	\$800
	<b>Totals, Local Assistance</b>	<b>\$565</b>	<b>\$881</b>	<b>\$800</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0150063</b>	<b>Federal Court Improvement Grant Program</b>			
	<b>Local Assistance:</b>			
0890	Federal Trust Fund	\$97	\$700	\$700
	<b>Totals, Local Assistance</b>	<b>\$97</b>	<b>\$700</b>	<b>\$700</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0150067</b>	<b>Court Appointed Special Advocate (CASA) Program</b>			
	<b>Local Assistance:</b>			
0001	General Fund	\$2,213	\$2,213	\$2,713
	<b>Totals, Local Assistance</b>	<b>\$2,213</b>	<b>\$2,213</b>	<b>\$2,713</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0150071</b>	<b>Model Self-Help Program</b>			
	<b>Local Assistance:</b>			
0001	General Fund	\$957	\$957	\$957
	<b>Totals, Local Assistance</b>	<b>\$957</b>	<b>\$957</b>	<b>\$957</b>

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**0250 Judicial Branch - Continued**

<b>SUBPROGRAM REQUIREMENTS</b>				
<b>0150075</b>	<b>Grants-Other</b>			
	<b>Local Assistance:</b>			
0001	General Fund	\$-	\$-	\$16,500
0995	Reimbursements	1,436	1,586	1,586
	<b>Totals, Local Assistance</b>	<b>\$1,436</b>	<b>\$1,586</b>	<b>\$18,086</b>
<b>SUBPROGRAM REQUIREMENTS</b>				
<b>0150079</b>	<b>Federal Grants-Other</b>			
	<b>Local Assistance:</b>			
0890	Federal Trust Fund	\$-	\$694	\$775
	<b>Totals, Local Assistance</b>	<b>\$-</b>	<b>\$694</b>	<b>\$775</b>
<b>SUBPROGRAM REQUIREMENTS</b>				
<b>0150083</b>	<b>Equal Access Fund</b>			
	<b>Local Assistance:</b>			
0001	General Fund	\$20,874	\$20,392	\$20,392
0932	Trial Court Trust Fund	-1,682	5,482	5,482
	<b>Totals, Local Assistance</b>	<b>\$19,192</b>	<b>\$25,874</b>	<b>\$25,874</b>
<b>SUBPROGRAM REQUIREMENTS</b>				
<b>0150087</b>	<b>Family Law Information Centers</b>			
	<b>Local Assistance:</b>			
0001	General Fund	\$345	\$345	\$345
	<b>Totals, Local Assistance</b>	<b>\$345</b>	<b>\$345</b>	<b>\$345</b>
<b>SUBPROGRAM REQUIREMENTS</b>				
<b>0150091</b>	<b>Civil Case Coordination</b>			
	<b>Local Assistance:</b>			
0001	General Fund	\$832	\$832	\$832
	<b>Totals, Local Assistance</b>	<b>\$832</b>	<b>\$832</b>	<b>\$832</b>
<b>SUBPROGRAM REQUIREMENTS</b>				
<b>0150095</b>	<b>Expenses on Behalf of the Trial Courts</b>			
	<b>Local Assistance:</b>			
0932	Trial Court Trust Fund	\$11,393	\$9,325	\$11,325
	<b>Totals, Local Assistance</b>	<b>\$11,393</b>	<b>\$9,325</b>	<b>\$11,325</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>0155</b>	<b>HABEAS CORPUS RESOURCE CENTER</b>			
	<b>State Operations:</b>			
0001	General Fund	\$14,699	\$15,543	\$15,615
0890	Federal Trust Fund	59	1,026	1,026
9728	Judicial Branch Workers Compensation Fund	-10	-	-
	<b>Totals, State Operations</b>	<b>\$14,748</b>	<b>\$16,569</b>	<b>\$16,641</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>0170</b>	<b>OFFSET FROM LOCAL PROPERTY TAX REVENUE</b>			
	<b>Local Assistance:</b>			
0001	General Fund	-\$38,087	-\$48,174	-\$48,174
	<b>Totals, Local Assistance</b>	<b>-\$38,087</b>	<b>-\$48,174</b>	<b>-\$48,174</b>
<b>TOTALS, EXPENDITURES</b>				
	State Operations	795,104	933,777	926,008
	Local Assistance	2,689,246	2,693,612	2,916,243
	<b>Totals, Expenditures</b>	<b>\$3,484,350</b>	<b>\$3,627,389</b>	<b>\$3,842,251</b>

**EXPENDITURES BY CATEGORY**

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0250 Judicial Branch - Continued**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	1,717.0	1,726.0	1,720.0	\$201,356	\$200,621	\$199,977
Budget Position Transparency	-	3.9	9.9	-	-22,626	-21,982
Other Adjustments	-10.8	-	23.0	-14,004	10,201	12,759
<b>Net Totals, Salaries and Wages</b>	<b>1,706.2</b>	<b>1,729.9</b>	<b>1,752.9</b>	<b>\$187,352</b>	<b>\$188,196</b>	<b>\$190,754</b>
Staff Benefits	-	-	-	79,822	100,814	102,048
<b>Totals, Personal Services</b>	<b>1,706.2</b>	<b>1,729.9</b>	<b>1,752.9</b>	<b>\$267,174</b>	<b>\$289,010</b>	<b>\$292,802</b>
OPERATING EXPENSES AND EQUIPMENT				\$454,079	\$567,048	\$555,487
SPECIAL ITEMS OF EXPENSES				73,851	77,719	77,719
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$795,104</b>	<b>\$933,777</b>	<b>\$926,008</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$434,876	\$392,103	\$418,610
Allocation for Employee Compensation	-	9,097	-
Allocation for Other Post-Employment Benefits	-	1,331	-
Allocation for Staff Benefits	-	3,161	-
Budget Position Transparency	-	-17,483	-
Expenditure by Category Redistribution	-	17,483	-
Past Year Adjustments	-548	-	-
Section 3.60 Pension Contribution Adjustment	-	2,794	-
003 Budget Act appropriation	4,873	4,678	4,386
Lease Revenue Debt Service Adjustment	-	-127	-
011 Budget Act appropriation (transfer to Judicial Branch Workers' Compensation Fund)	1	1	1
Past Year Adjustments	786	-	-
012 Budget Act appropriation (transfer to Court Facilities Trust Fund)	8,053	8,053	8,053
013 Budget Act appropriation (loan to Trial Court Trust Fund)	(-)	(671)	(491)
Prior Year Balances Available:			
Item 0250-001-0001, Budget Act of 2016	-	43,561	-
<b>Totals Available</b>	<b>\$448,041</b>	<b>\$464,652</b>	<b>\$431,050</b>
Unexpended balance, estimated savings	-9,410	-	-
Balance available in subsequent years	-43,561	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$395,070</b>	<b>\$464,652</b>	<b>\$431,050</b>
<b>0044 Motor Vehicle Account, State Transportation Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$202	\$202	\$215
Allocation for Employee Compensation	-	7	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	3	-
Budget Position Transparency	-	-23	-
Expenditure by Category Redistribution	-	23	-
Section 3.60 Pension Contribution Adjustment	-	2	-
<b>TOTALS, EXPENDITURES</b>	<b>\$202</b>	<b>\$215</b>	<b>\$215</b>
<b>0159 State Trial Court Improvement and Modernization Fund</b>			
APPROPRIATIONS			

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**0250 Judicial Branch - Continued**

001 Budget Act appropriation	\$9,347	\$9,699	\$6,211
Allocation for Employee Compensation	-	191	-
Allocation for Other Post-Employment Benefits	-	32	-
Allocation for Staff Benefits	-	73	-
Budget Position Transparency	-	-520	-
Expenditure by Category Redistribution	-	520	-
Miscellaneous Baseline Adjustment	-	-3,800	-
Section 3.60 Pension Contribution Adjustment	-	64	-
<b>Totals Available</b>	<b>\$9,347</b>	<b>\$6,259</b>	<b>\$6,211</b>
Unexpended balance, estimated savings	-4,004	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$5,343</b>	<b>\$6,259</b>	<b>\$6,211</b>

**0327 Court Interpreters Fund**

## APPROPRIATIONS

001 Budget Act appropriation	\$156	\$646	\$156
<b>Totals Available</b>	<b>\$156</b>	<b>\$646</b>	<b>\$156</b>
Unexpended balance, estimated savings	-156	-	-
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>\$646</b>	<b>\$156</b>

**0587 Family Law Trust Fund**

## APPROPRIATIONS

Family Code section 1852	\$1,706	\$1,706	\$1,706
Budget Position Transparency	-	-109	-
Expenditure by Category Redistribution	-	109	-
Past Year Adjustments	-642	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,064</b>	<b>\$1,706</b>	<b>\$1,706</b>

**0890 Federal Trust Fund**

## APPROPRIATIONS

001 Budget Act appropriation	\$4,381	\$4,369	\$4,366
Budget Position Transparency	-	-154	-
Expenditure by Category Redistribution	-	154	-
<b>Totals Available</b>	<b>\$4,381</b>	<b>\$4,369</b>	<b>\$4,366</b>
Unexpended balance, estimated savings	-2,383	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,998</b>	<b>\$4,369</b>	<b>\$4,366</b>

**0932 Trial Court Trust Fund**

## APPROPRIATIONS

001 Budget Act appropriation	\$3,340	\$4,011	\$3,945
Allocation for Employee Compensation	-	59	-
Allocation for Other Post-Employment Benefits	-	10	-
Allocation for Staff Benefits	-	23	-
Budget Position Transparency	-	-205	-
Expenditure by Category Redistribution	-	205	-
Section 3.60 Pension Contribution Adjustment	-	20	-
<b>Totals Available</b>	<b>\$3,340</b>	<b>\$4,123</b>	<b>\$3,945</b>
Unexpended balance, estimated savings	-1,033	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,307</b>	<b>\$4,123</b>	<b>\$3,945</b>

**0942 Special Deposit Fund**

## APPROPRIATIONS

Government Code section 16370	\$166	-	-
Carryover	-	178	-
Past Year Adjustments	21	-	-
Government Code section 16370	151	-	-
Carryover	-	152	-
Past Year Adjustments	1	-	-

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**0250 Judicial Branch - Continued**

<b>Totals Available</b>	<b>\$339</b>	<b>\$330</b>	<b>-</b>
Balance available in subsequent years	-330	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$9</b>	<b>\$330</b>	<b>-</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$25,503	\$28,482	\$28,082
<b>TOTALS, EXPENDITURES</b>	<b>\$25,503</b>	<b>\$28,482</b>	<b>\$28,082</b>
<b>3037 State Court Facilities Construction Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$79,050	-	\$80,579
001 Budget Act appropriation as amended by Chapter 181, Statutes of 2017	-	79,048	-
Allocation for Employee Compensation	-	797	-
Allocation for Other Post-Employment Benefits	-	134	-
Allocation for Staff Benefits	-	312	-
Budget Position Transparency	-	-3,939	-
Expenditure by Category Redistribution	-	3,939	-
Section 3.60 Pension Contribution Adjustment	-	270	-
003 Budget Act appropriation	52,094	53,780	79,767
Lease Revenue Debt Service Adjustment	-	1,103	-
Lease Revenue Debt Service Past Year Adjustment	5,198	-	-
Lease Revenue and Tenant Adjustments	-	79	-
<b>Totals Available</b>	<b>\$136,342</b>	<b>\$135,523</b>	<b>\$160,346</b>
Unexpended balance, estimated savings	-9,387	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$126,955</b>	<b>\$135,523</b>	<b>\$160,346</b>
<b>3060 Appellate Court Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$6,417	\$7,684	\$7,472
Allocation for Employee Compensation	-	23	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	9	-
Section 3.60 Pension Contribution Adjustment	-	8	-
<b>Totals Available</b>	<b>\$6,417</b>	<b>\$7,728</b>	<b>\$7,472</b>
Unexpended balance, estimated savings	-5,119	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,298</b>	<b>\$7,728</b>	<b>\$7,472</b>
<b>3066 Court Facilities Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$121,644	-	\$112,083
001 Budget Act appropriation as amended by Chapter 181, Statutes of 2017	-	111,183	-
014 Budget Act appropriation	(377)	(6,140)	(4,103)
<b>Totals Available</b>	<b>\$121,644</b>	<b>\$111,183</b>	<b>\$112,083</b>
Unexpended balance, estimated savings	-6,820	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$114,824</b>	<b>\$111,183</b>	<b>\$112,083</b>
Less funding provided by General Fund	-8,053	-8,053	-8,053
<b>NET TOTALS, EXPENDITURES</b>	<b>\$106,771</b>	<b>\$103,130</b>	<b>\$104,030</b>
<b>3085 Mental Health Services Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,077	\$1,077	\$1,129
Allocation for Employee Compensation	-	27	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	10	-
Budget Position Transparency	-	-92	-
Expenditure by Category Redistribution	-	92	-

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**0250 Judicial Branch - Continued**

Section 3.60 Pension Contribution Adjustment	-	9	-
<b>Totals Available</b>	<b>\$1,077</b>	<b>\$1,128</b>	<b>\$1,129</b>
Unexpended balance, estimated savings	-11	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,066</b>	<b>\$1,128</b>	<b>\$1,129</b>
<b>3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$28,951	\$31,214	\$25,000
002 Budget Act appropriation	54,320	54,400	54,720
003 Budget Act appropriation	47,475	94,828	96,578
Lease Revenue Debt Service Adjustment	-	-36	-
Lease Revenue and Tenant Adjustments	-	-64	-
<b>Totals Available</b>	<b>\$130,746</b>	<b>\$180,342</b>	<b>\$176,298</b>
Unexpended balance, estimated savings	-3,782	-6,214	-
<b>TOTALS, EXPENDITURES</b>	<b>\$126,964</b>	<b>\$174,128</b>	<b>\$176,298</b>
<b>8059 State Community Corrections Performance Incentive Fund</b>			
APPROPRIATIONS			
Penal Code section 1233.6	\$60	-	-
Penal Code section 1233.6	1,216	1,000	1,000
Budget Position Transparency	-	-101	-
Carryover	-	356	-
Expenditure by Category Redistribution	-	101	-
<b>Totals Available</b>	<b>\$1,276</b>	<b>\$1,356</b>	<b>\$1,000</b>
Balance available in subsequent years	-357	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$919</b>	<b>\$1,356</b>	<b>\$1,000</b>
<b>9728 Judicial Branch Workers Compensation Fund</b>			
APPROPRIATIONS			
Government Code section 68114.10	\$3	\$3	\$3
Past Year Adjustments	421	-	-
<b>Totals Available</b>	<b>\$424</b>	<b>\$3</b>	<b>\$3</b>
Unexpended balance, estimated savings	-3	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$421</b>	<b>\$3</b>	<b>\$3</b>
Less funding provided by General Fund	-786	-1	-1
<b>NET TOTALS, EXPENDITURES</b>	<b>-\$365</b>	<b>\$2</b>	<b>\$2</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$795,104</b>	<b>\$933,777</b>	<b>\$926,008</b>

**2 LOCAL ASSISTANCE**

2016-17\* 2017-18\* 2018-19\*

**0001 General Fund**

APPROPRIATIONS			
101 Budget Act appropriation	\$22,753	\$27,753	\$46,603
102 Budget Act appropriation	71,502	71,502	71,502
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	1,021,832	970,394	1,157,529
Allocation for Employee Compensation	-	15,660	-
Allocation for Staff Benefits	-	227	-
112 Budget Act appropriation (transfer to State Trial Court Improvement and Modernization Fund)	-	57,518	45,114
112 Budget Act appropriation as amended by Chapter 318, Statutes of 2016 (transfer to State Trial Court Improvement and Modernization Fund)	56,618	-	-
113 Budget Act appropriation (transfer to Trial Court Trust Fund)	75,000	55,000	64,294
114 Budget Act appropriation (transfer to Trial Court Trust Fund)	114,700	136,700	136,700
Pending Legislation (transfer to Trial Court Trust Fund)	-	-	4,000
<b>Totals Available</b>	<b>\$1,362,405</b>	<b>\$1,334,754</b>	<b>\$1,525,742</b>
Unexpended balance, estimated savings	-17,865	-3,200	-

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**0250 Judicial Branch - Continued**

<b>TOTALS, EXPENDITURES</b>	<b>\$1,344,540</b>	<b>\$1,331,554</b>	<b>\$1,525,742</b>
Offset from local property tax revenue per Control Section 15.45	-38,087	-48,174	-48,174
<b>NET TOTALS, EXPENDITURES</b>	<b>\$1,306,453</b>	<b>\$1,283,380</b>	<b>\$1,477,568</b>
<b>0159 State Trial Court Improvement and Modernization Fund</b>			
APPROPRIATIONS			
102 Budget Act appropriation	\$62,453	\$67,996	\$57,889
Miscellaneous Baseline Adjustment	-	-15,032	-
Past Year Adjustments	12,740	-	-
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	(594)	(594)	(594)
<b>Totals Available</b>	<b>\$75,193</b>	<b>\$52,964</b>	<b>\$57,889</b>
Unexpended balance, estimated savings	-9,741	-3,200	-
<b>TOTALS, EXPENDITURES</b>	<b>\$65,452</b>	<b>\$49,764</b>	<b>\$57,889</b>
Less funding provided by General Fund	-56,618	-54,318	-45,114
<b>NET TOTALS, EXPENDITURES</b>	<b>\$8,834</b>	<b>-\$4,554</b>	<b>\$12,775</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,275	\$2,275	\$2,275
<b>Totals Available</b>	<b>\$2,275</b>	<b>\$2,275</b>	<b>\$2,275</b>
Unexpended balance, estimated savings	-1,613	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$662</b>	<b>\$2,275</b>	<b>\$2,275</b>
<b>0932 Trial Court Trust Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$2,405,526	\$2,534,940
101 Budget Act appropriation as amended by Chapter 318, Statutes of 2016	2,385,223	-	-
Allocation for Employee Compensation	-	15,660	-
Allocation for Staff Benefits	-	227	-
Miscellaneous Baseline Adjustment	-	-102,600	-
Past Year Adjustments	22,062	-	-
102 Budget Act appropriation	114,700	136,700	136,700
115 Budget Act appropriation (transfer to Judicial Branch Workers Compensation Fund)	1	1	1
Past Year Adjustments	19,743	-	-
Pending Legislation	-	-	4,000
Prior Year Balances Available:			
Item 0250-101-0932, Budget Act of 2016 as amended by Chapter 318, Statutes of 2016	-	24,471	-
<b>Totals Available</b>	<b>\$2,541,729</b>	<b>\$2,479,985</b>	<b>\$2,675,641</b>
Unexpended balance, estimated savings	-58,084	-	-
Balance available in subsequent years	-24,471	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,459,174</b>	<b>\$2,479,985</b>	<b>\$2,675,641</b>
Less funding provided by General Fund	-1,197,832	-1,177,981	-1,362,523
<b>NET TOTALS, EXPENDITURES</b>	<b>\$1,261,342</b>	<b>\$1,302,004</b>	<b>\$1,313,118</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$57,062	\$60,507	\$60,507
<b>TOTALS, EXPENDITURES</b>	<b>\$57,062</b>	<b>\$60,507</b>	<b>\$60,507</b>
<b>3037 State Court Facilities Construction Fund</b>			
APPROPRIATIONS			
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	(\$5,486)	(\$5,486)	(\$5,486)
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$50,000	\$50,000	\$50,000

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**0250 Judicial Branch - Continued**

<b>TOTALS, EXPENDITURES</b>	<b>\$50,000</b>	<b>\$50,000</b>	<b>\$50,000</b>
<b>3259 Recidivism Reduction Fund</b>			
Prior Year Balances Available:			
Item 0250-101-3259, Budget Act of 2014	6,008	-	-
Item 0250-101-3259, Budget Act of 2015	640	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$6,648</b>	<b>-</b>	<b>-</b>
<b>9728 Judicial Branch Workers Compensation Fund</b>			
APPROPRIATIONS			
Government Code section 68114.10	\$1	\$1	\$1
Past Year Adjustments	17,987	-	-
<b>Totals Available</b>	<b>\$17,988</b>	<b>\$1</b>	<b>\$1</b>
Unexpended balance, estimated savings	-1	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$17,987</b>	<b>\$1</b>	<b>\$1</b>
Less funding provided by Trial Court Trust Fund	-19,742	-1	-1
<b>NET TOTALS, EXPENDITURES</b>	<b>-\$1,755</b>	<b>-</b>	<b>-</b>
<b>Total Expenditures, All Funds, (Local Assistance)</b>	<b>\$2,689,246</b>	<b>\$2,693,612</b>	<b>\$2,916,243</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$3,484,350</b>	<b>\$3,627,389</b>	<b>\$3,842,251</b>

**FUND CONDITION STATEMENTS**

	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>0159 State Trial Court Improvement and Modernization Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$6,956	\$9,300	\$17,571
Prior Year Adjustments	4,188	-	-
Adjusted Beginning Balance	\$11,144	\$9,300	\$17,571
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4140000 Document Sales	608	736	748
4163000 Investment Income - Surplus Money Investments	416	750	750
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	1	2	2
4172000 Fines and Forfeitures	25,952	22,679	21,415
4172500 Miscellaneous Revenue	7	10	10
4173500 Settlements and Judgments - Other	-	96	96
Transfers and Other Adjustments			
Revenue Transfer from the State Trial Court Improvement and Modernization Fund (0159) to the Trial Court Trust Fund (0932) per Government Code Section 77209(j)	-13,397	-13,397	-13,397
Revenue Transfer from the State Trial Court Improvement and Modernization Fund (0159) to the Trial Court Trust Fund (0932) per Item 0250-111-0159, Budget Acts of 2016, 2017, and 2018	-594	-594	-594
Total Revenues, Transfers, and Other Adjustments	\$12,993	\$10,282	\$9,030
Total Resources	\$24,137	\$19,582	\$26,601
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	5,343	6,259	6,211
0250 Judicial Branch (Local Assistance)	65,452	49,764	57,889
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	660	306	306
Expenditure Adjustments:			
Less funding provided by General Fund (Local Assistance)	-56,618	-54,318	-45,114
Total Expenditures and Expenditure Adjustments	\$14,837	\$2,011	\$19,292
FUND BALANCE	\$9,300	\$17,571	\$7,309
Reserve for economic uncertainties	9,300	17,571	7,309

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**0250 Judicial Branch - Continued****0327 Court Interpreters Fund<sup>s</sup>**

BEGINNING BALANCE	\$636	\$1,014	\$577
Prior Year Adjustments	142	-	-
Adjusted Beginning Balance	\$778	\$1,014	\$577
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129400 Other Regulatory Licenses and Permits	244	218	215
Total Revenues, Transfers, and Other Adjustments	\$244	\$218	\$215
Total Resources	\$1,022	\$1,232	\$792
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	-	646	156
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	8	9	7
Total Expenditures and Expenditure Adjustments	\$8	\$655	\$163
FUND BALANCE	\$1,014	\$577	\$629
Reserve for economic uncertainties	1,014	577	629

**0587 Family Law Trust Fund<sup>s</sup>**

BEGINNING BALANCE	\$5,083	\$6,507	\$7,268
Prior Year Adjustments	110	-	-
Adjusted Beginning Balance	\$5,193	\$6,507	\$7,268
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	43	75	70
4172500 Miscellaneous Revenue	2,365	2,448	2,529
Total Revenues, Transfers, and Other Adjustments	\$2,408	\$2,523	\$2,599
Total Resources	\$7,601	\$9,030	\$9,867
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	1,064	1,706	1,706
9892 Supplemental Pension Payments (State Operations)	-	-	16
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	30	56	73
Total Expenditures and Expenditure Adjustments	\$1,094	\$1,762	\$1,795
FUND BALANCE	\$6,507	\$7,268	\$8,072
Reserve for economic uncertainties	6,507	7,268	8,072

**0932 Trial Court Trust Fund<sup>s</sup>**

BEGINNING BALANCE	\$34,829	\$66,569	\$53,753
Prior Year Adjustments	5,759	-	-
Adjusted Beginning Balance	\$40,588	\$66,569	\$53,753
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	498,600	498,600	498,600
4163000 Investment Income - Surplus Money Investments	809	1,506	1,506
4170700 Civil and Criminal Violation Assessment	111,146	102,742	101,318
4171200 Court Filing Fees and Surcharges	473,221	482,728	476,968
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	131	52	56
4172000 Fines and Forfeitures	162,082	162,554	160,172
4172500 Miscellaneous Revenue	223	647	141
4173000 Penalty Assessments - Other	24,209	24,541	24,465
4173500 Settlements and Judgments - Other	-	96	96
Transfers and Other Adjustments			

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**0250 Judicial Branch - Continued**

Loan from the General Fund (0001) to the Trial Court Trust Fund (0932) per Item 0250-013-0001, Budget Acts of 2017 and 2018	-	671	491
Revenue Transfer from the Trial Court Trust Fund (0932) to the General Fund (0001) per Item 0250-101-0932, Budget Act of 2016	-12	-	-
Revenue Transfer from the State Court Facilities Construction Fund (3037) to the Trial Court Trust Fund (0932) per Item 0250-111-3037, Budget Acts of 2016, 2017, and 2018	5,486	5,486	5,486
Revenue Transfer from the State Trial Court Improvement and Modernization Fund (0159) to the Trial Court Trust Fund (0932) per Government Code Section 77209(j)	13,397	13,397	13,397
Revenue Transfer from the State Trial Court Improvement and Modernization Fund (0159) to the Trial Court Trust Fund (0932) per Item 0250-111-0159, Budget Acts of 2016, 2017, and 2018	594	594	594
Total Revenues, Transfers, and Other Adjustments	<u>\$1,289,886</u>	<u>\$1,293,614</u>	<u>\$1,283,290</u>
Total Resources	<u>\$1,330,474</u>	<u>\$1,360,183</u>	<u>\$1,337,043</u>
<b>EXPENDITURE AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
0250 Judicial Branch (State Operations)	2,307	4,123	3,945
0250 Judicial Branch (Local Assistance)	2,459,174	2,479,985	2,675,641
0840 State Controller (State Operations)	174	174	174
9892 Supplemental Pension Payments (State Operations)	-	-	98
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	82	129	2
Expenditure Adjustments:			
Less funding provided by General Fund (Local Assistance)	-114,700	-136,700	-136,700
Less funding provided by General Fund (Local Assistance)	-1,021,832	-986,281	-1,161,529
Less funding provided by General Fund (Local Assistance)	-61,300	-55,000	-64,294
Total Expenditures and Expenditure Adjustments	<u>\$1,263,905</u>	<u>\$1,306,430</u>	<u>\$1,317,337</u>
FUND BALANCE	<u>\$66,569</u>	<u>\$53,753</u>	<u>\$19,706</u>
Reserve for economic uncertainties	66,569	53,753	19,706
<b>3037 State Court Facilities Construction Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$423,651	\$387,298	\$314,565
Prior Year Adjustments	8,287	-	-
Adjusted Beginning Balance	<u>\$431,938</u>	<u>\$387,298</u>	<u>\$314,565</u>
<b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	-	7,544	15
4163000 Investment Income - Surplus Money Investments	3,013	3,587	3,587
4171200 Court Filing Fees and Surcharges	24,087	24,649	25,243
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	54	-	-
4172500 Miscellaneous Revenue	1,075	1,000	1,000
4172900 Penalty Assessments - Criminal Fines	52,094	48,911	44,570
4173000 Penalty Assessments - Other	12,063	12,031	11,809
Transfers and Other Adjustments			
Revenue Transfer from the State Court Facilities Construction Fund (3037) to the Immediate and Critical Needs Account (3138) per Budget Act Item 0250-311-3037, Budget Act of 2018	-	-	-10,969
Revenue Transfer from the State Court Facilities Construction Fund (3037) to the Immediate and Critical Needs Account (3138) per Item 0250-311-3037, Budget Act of 2018	-	-24,493	-
Revenue Transfer from the State Court Facilities Construction Fund (3037) to the Trial Court Trust Fund (0932) per Item 0250-111-3037, Budget Acts of 2016, 2017, and 2018	-5,486	-5,486	-5,486
Total Revenues, Transfers, and Other Adjustments	<u>\$86,900</u>	<u>\$67,743</u>	<u>\$69,769</u>
Total Resources	<u>\$518,838</u>	<u>\$455,041</u>	<u>\$384,334</u>
<b>EXPENDITURE AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			

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**0250 Judicial Branch - Continued**

0250 Judicial Branch (State Operations)	126,955	135,523	160,346
9892 Supplemental Pension Payments (State Operations)	-	-	323
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	4,585	4,953	650
Total Expenditures and Expenditure Adjustments	<u>\$131,540</u>	<u>\$140,476</u>	<u>\$161,319</u>
FUND BALANCE	<u>\$387,298</u>	<u>\$314,565</u>	<u>\$223,015</u>
Reserve for economic uncertainties	387,298	314,565	223,015
<b>3060 Appellate Court Trust Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$8,512	\$12,664	\$10,293
Prior Year Adjustments	5	-	-
Adjusted Beginning Balance	<u>\$8,517</u>	<u>\$12,664</u>	<u>\$10,293</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	76	135	82
4171200 Court Filing Fees and Surcharges	5,680	5,606	5,555
Total Revenues, Transfers, and Other Adjustments	<u>\$5,756</u>	<u>\$5,741</u>	<u>\$5,637</u>
Total Resources	<u>\$14,273</u>	<u>\$18,405</u>	<u>\$15,930</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	1,298	7,728	7,472
9892 Supplemental Pension Payments (State Operations)	-	-	4
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	311	384	250
Total Expenditures and Expenditure Adjustments	<u>\$1,609</u>	<u>\$8,112</u>	<u>\$7,726</u>
FUND BALANCE	<u>\$12,664</u>	<u>\$10,293</u>	<u>\$8,204</u>
Reserve for economic uncertainties	12,664	10,293	8,204
<b>3066 Court Facilities Trust Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$13,332	\$14,795	\$11,201
Prior Year Adjustments	2,455	-	-
Adjusted Beginning Balance	<u>\$15,787</u>	<u>\$14,795</u>	<u>\$11,201</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	98,345	97,787	98,013
4152500 Rental of State Property	7,488	7,513	4,164
4163000 Investment Income - Surplus Money Investments	312	376	376
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	11	-	-
Transfers and Other Adjustments			
Revenue Transfer from the Court Facilities Trust Fund (3066) to the Immediate and Critical Needs Account (3138) per Item 0250-014-3066, Budget Acts of 2016, 2017, and 2018	-377	-903	-903
Revenue Transfer from the State Court Facilities Trust Fund (3066) to the Immediate and Critical Needs Account (3138) per Item 0250-014-3066, Budget Acts of 2017 and 2018	-	-5,237	-3,178
Total Revenues, Transfers, and Other Adjustments	<u>\$105,779</u>	<u>\$99,536</u>	<u>\$98,472</u>
Total Resources	<u>\$121,566</u>	<u>\$114,331</u>	<u>\$109,673</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	114,824	111,183	112,083
Expenditure Adjustments:			
Less funding provided by General Fund (State Operations)	-8,053	-8,053	-8,053
Total Expenditures and Expenditure Adjustments	<u>\$106,771</u>	<u>\$103,130</u>	<u>\$104,030</u>
FUND BALANCE	<u>\$14,795</u>	<u>\$11,201</u>	<u>\$5,643</u>
Reserve for economic uncertainties	14,795	11,201	5,643

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**0250 Judicial Branch - Continued****3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund<sup>s</sup>**

BEGINNING BALANCE	\$215,632	\$232,290	\$239,155
Prior Year Adjustments	22,821	-	-
Adjusted Beginning Balance	\$238,453	\$232,290	\$239,155
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	1,540	1,999	1,999
4171200 Court Filing Fees and Surcharges	26,094	27,271	27,596
4172500 Miscellaneous Revenue	25,486	19,760	18,551
4172900 Penalty Assessments - Criminal Fines	119,025	124,966	116,259
4173000 Penalty Assessments - Other	24,583	24,738	24,484
4173800 Traffic Violations	20,221	19,798	18,303
Transfers and Other Adjustments			
Revenue Transfer from the Court Facilities Trust Fund (3066) to the Immediate and Critical Needs Account (3138) per Item 0250-014-3066, Budget Acts of 2016, 2017, and 2018	377	903	903
Revenue Transfer from the State Court Facilities Construction Fund (3037) to the Immediate and Critical Needs Account (3138) per Budget Act Item 0250-311-3037, Budget Act of 2018	-	-	10,969
Revenue Transfer from the State Court Facilities Construction Fund (3037) to the Immediate and Critical Needs Account (3138) per Item 0250-311-3037, Budget Act of 2018	-	24,493	-
Revenue Transfer from the State Court Facilities Trust Fund (3066) to the Immediate and Critical Needs Account (3138) per Item 0250-014-3066, Budget Acts of 2017 and 2018	-	5,237	3,178
Total Revenues, Transfers, and Other Adjustments	\$217,326	\$249,165	\$222,242
Total Resources	\$455,779	\$481,455	\$461,397
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	126,964	174,128	176,298
0250 Judicial Branch (Local Assistance)	50,000	50,000	50,000
0250 Judicial Branch (Capital Outlay)	39,590	17,524	33,746
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	6,935	648	4,977
Total Expenditures and Expenditure Adjustments	\$223,489	\$242,300	\$265,021
FUND BALANCE	\$232,290	\$239,155	\$196,376
Reserve for economic uncertainties	232,290	239,155	196,376

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	1,717.0	1,726.0	1,720.0	\$201,356	\$200,621	\$199,977
Budget Position Transparency	-	3.9	9.9	-	-22,626	-21,982
<b>Salary and Other Adjustments</b>	-10.8	-	-	-14,004	10,201	10,201
<b>Workload and Administrative Adjustments</b>						
<b>Appellate Court Justice and Trial Court Judgeships</b>						
Various	-	-	5.0	-	-	688
<b>California Courts Protective Order Registry</b>						
Various	-	-	1.0	-	-	138
<b>Collective Bargaining (AB 83)</b>						
Various	-	-	3.0	-	-	315
<b>Language Access Plan Augmentation</b>						

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**0250 Judicial Branch - Continued**

Various	-	-	3.0	-	-	283
<b>Pilot Project for Online Adjudication of Traffic Violations</b>						
Various	-	-	7.0	-	-	730
<b>Self-Represented Litigants e-Services Web Portal</b>						
Various	-	-	4.0	-	-	404
<b>TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS</b>	-	-	<b>23.0</b>	<b>\$-</b>	<b>\$-</b>	<b>\$2,558</b>
<b>Totals, Adjustments</b>	<b>-10.8</b>	<b>3.9</b>	<b>32.9</b>	<b>\$-14,004</b>	<b>\$-12,425</b>	<b>\$-9,223</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>1,706.2</b>	<b>1,729.9</b>	<b>1,752.9</b>	<b>\$187,352</b>	<b>\$188,196</b>	<b>\$190,754</b>

**INFRASTRUCTURE OVERVIEW**

The Judicial Branch consists of the Supreme Court, courts of appeal, trial courts, and the Judicial Council. The Supreme Court is located in the Civic Center Plaza in San Francisco (101,500 square feet) and the Ronald Reagan State Building in Los Angeles (7,600 sf). The courts of appeal are organized into six districts, operate in nine different locations, and consist of approximately 508,000 sf. The trial courts are located in 58 counties statewide, in approximately 500 buildings and 2,100 courtrooms of approximately 14 million sf of usable area and more than 21 million sf of space under Judicial Council responsibility and management. The facilities of the Supreme Court, courts of appeal, and trial courts encompass not only the public courtroom spaces but also the chambers and workspaces where judicial officers and courtroom staff prepare for proceedings; secure areas, including holding cells; and building support functions. Judicial Council administrative facilities, occupying approximately 235,000 sf, are located in San Francisco and Sacramento. The Judicial Council consolidated field offices to realize program efficiencies and cost savings, by closing its Burbank office on June 30, 2017, to consolidate operations between Sacramento and San Francisco and by closing its Real Estate and Facilities Management field offices to relocate staff to the court facilities to which they provide service.

**MAJOR PROJECT CHANGES**

- Courthouse Construction-The Budget includes \$1.3 billion lease revenue bond funds and \$32.1 million special funds for the continuing phases of ten active projects on the Judicial Council's immediate and critical needs list.

**SUMMARY OF PROJECTS**

		State Building Program Expenditures	2016-17*	2017-18*	2018-19*
<b>0165</b>	<b>CAPITAL OUTLAY Projects</b>				
0000078	Glenn County: Renovation and Addition to Willows Courthouse		235	-	38,292
	Working Drawings		235	-	-
	Construction		-	-	38,292
0000079	Imperial County: New El Centro Courthouse		-	-	41,944
	Construction		-	-	41,944
0000101	Riverside County: New Indio Juvenile and Family Courthouse		-	-	45,327
	Construction		-	-	45,327
0000102	Riverside County: New Mid-County Civil Courthouse		-	-	81,458
	Working Drawings		-	-	5,666
	Construction		-	-	75,792
0000103	Sacramento County: New Sacramento Courthouse		-	16,000	459,801
	Working Drawings		-	16,000	-
	Construction		-	-	459,801
0000107	San Joaquin County: New Stockton Courthouse		35,462	-	-
	Construction		35,462	-	-
0000109	Santa Barbara County: New Santa Barbara Criminal Courthouse		386	-	-
	Construction		386	-	-

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**0250 Judicial Branch - Continued**

0000110	Santa Clara County: New Family Justice Center	4,000	-	-
	Construction	4,000	-	-
0000111	Shasta County: New Redding Courthouse	1,810	491	138,763
	Construction	1,810	491	138,763
0000112	Siskiyou County: New Yreka Courthouse	-	664	59,203
	Construction	-	664	59,203
0000114	Sonoma County: New Santa Rosa Criminal Courthouse	-	-	171,986
	Working Drawings	-	-	11,252
	Construction	-	-	160,734
0000115	Stanislaus County: New Modesto Courthouse	1,697	369	252,495
	Working Drawings	-	-	15,252
	Construction	1,697	369	237,243
0000119	Tuolumne County: New Sonora Courthouse	-	-	57,722
	Construction	-	-	57,722
0002143	Alameda County: East County Hall of Justice Data Center	-	-	1,576
	Preliminary Plans	-	-	1
	Working Drawings	-	-	52
	Construction	-	-	1,523
<b>TOTALS, EXPENDITURES, ALL PROJECTS</b>		<b>\$43,590</b>	<b>\$17,524</b>	<b>\$1,348,567</b>
<b>FUNDING</b>		<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
0660	Public Buildings Construction Fund	\$-	\$-	\$1,314,821
0668	Public Buildings Construction Fund Subaccount	4,000	-	-
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	39,590	17,524	33,746
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$43,590</b>	<b>\$17,524</b>	<b>\$1,348,567</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

<b>3 CAPITAL OUTLAY</b>	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>0660 Public Buildings Construction Fund</b>			
APPROPRIATIONS			
301 Budget Act appropriation	-	-	\$1,314,821
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>\$1,314,821</b>
<b>0668 Public Buildings Construction Fund Subaccount</b>			
APPROPRIATIONS			
301 Budget Act appropriation	\$270,245	-	-
Prior Year Balances Available:			
Item 0250-301-0668, Budget Act of 2014 as reappropriated by Item 0250-493, Budget Act of 2015	33,569	-	-
Item 0250-301-0668, Budget Act of 2015	56,936	-	-
Item 0250-302-0668, Budget Act of 2012 as added by Chapter 29, Statutes of 2012	4,000	-	-
<b>Totals Available</b>	<b>\$364,750</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-360,750	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$4,000</b>	<b>-</b>	<b>-</b>
<b>3037 State Court Facilities Construction Fund</b>			
APPROPRIATIONS			
311 Budget Act appropriation (transfer to Immediate and Critical Needs Account, State Courts Facilities Construction Fund)	(-)	(\$24,493)	(-)
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund</b>			
APPROPRIATIONS			
301 Budget Act appropriation	\$26,414	\$2,240	\$32,170

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.



**0250 Judicial Branch - Continued**

## Prior Year Balances Available:

Item 0250-301-3138, Budget Act of 2012 as reappropriated by Item 0250-490, Budget Act of 2015 and as reverted by Item 0250-495, Budget Act of 2013	14,856	-	-
Item 0250-301-3138, Budget Act of 2013 as reappropriated by Item 0250-490, Budget Act of 2014	35,697	-	-
Item 0250-301-3138, Budget Act of 2014 as reappropriated by Item 0250-491, Budget Act of 2015	52,152	-	-
Item 0250-301-3138, Budget Act of 2015 as reappropriated by Item 0250-490, Budget Act of 2016	38,866	-	-
Item 0250-301-3138, Budget Act of 2016	-	860	-
Item 0250-301-3138, Budget Act of 2017	-	-	1,576
Item 0250-302-3138, Budget Act of 2014 as added by Chapter 663, Statutes of 2014 and reappropriated by Item 0250-490, Budget Act of 2016 and Item 0250-491, Budget Act of 2017	16,000	16,000	-
<b>Totals Available</b>	<b>\$183,985</b>	<b>\$19,100</b>	<b>\$33,746</b>
Unexpended balance, estimated savings	-127,535	-	-
Balance available in subsequent years	-16,860	-1,576	-
<b>TOTALS, EXPENDITURES</b>	<b>\$39,590</b>	<b>\$17,524</b>	<b>\$33,746</b>
<b>Total Expenditures, All Funds, (Capital Outlay)</b>	<b>\$43,590</b>	<b>\$17,524</b>	<b>\$1,348,567</b>

## 0280 Commission on Judicial Performance

The California Commission on Judicial Performance is the independent state agency responsible for investigating complaints of judicial misconduct and judicial incapacity, and for disciplining judges pursuant to Article VI, Section 18 of the California Constitution. It is the only body with such authority in the state. Its jurisdiction includes all active judges and justices of California's superior courts, Courts of Appeal and Supreme Court, and former judges for conduct prior to retirement or resignation. The Commission also shares authority with the local courts for the oversight of court commissioners and referees. In addition to its disciplinary functions, the Commission is responsible for handling judges' applications for disability retirement.

The Commission's authority is limited to investigating alleged judicial misconduct and, if warranted, imposing discipline. Judicial misconduct usually involves conduct in conflict with the standards set forth in the Code of Judicial Ethics. After investigation and, in some cases a public hearing, the Commission may impose sanctions ranging from confidential discipline to removal from office.

The Commission is composed of 11 members: 3 judges appointed by the Supreme Court; 2 attorneys appointed by the Governor; and 6 lay citizens, of which 2 are appointed by the Governor, 2 are appointed by the Senate Committee on Rules, and 2 are appointed by the Speaker of the Assembly. Members are appointed to four-year terms and may serve two terms. Commission members do not receive a salary.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0180	Commission on Judicial Performance	21.4	20.5	20.5	\$4,927	\$5,200	\$5,213
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>21.4</b>	<b>20.5</b>	<b>20.5</b>	<b>\$4,927</b>	<b>\$5,200</b>	<b>\$5,213</b>
<b>FUNDING</b>				<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>	
0001	General Fund			\$4,892	\$5,175	\$5,205	
0995	Reimbursements			40	26	9	
9728	Judicial Branch Workers Compensation Fund			-5	-1	-1	
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$4,927</b>	<b>\$5,200</b>	<b>\$5,213</b>	

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

California Constitution, Article VI, Sections 8, 18, 18.1 and 18.5; Government Code, Sections 75060 et seq. and 75560 et seq.

### DETAILED BUDGET ADJUSTMENTS

		2017-18*			2018-19*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Other Workload Budget Adjustments</b>							
• Allocation for Other Post-Employment Benefits		\$22	\$-	-	\$22	\$-	-
• Expenditure by Category Redistribution		-69	-	-	-102	-	-
• Salary Adjustments		132	-	-	132	-	-
• Budget Position Transparency		69	-	-0.3	102	-	-0.3
• Benefit Adjustments		52	-	-	55	-	-
• Retirement Rate Adjustments		45	-	-	45	-	-
• Miscellaneous Baseline Adjustments		-41	-53	-	-14	-70	-
<b>Totals, Other Workload Budget Adjustments</b>		<b>\$210</b>	<b>\$-53</b>	<b>-0.3</b>	<b>\$240</b>	<b>\$-70</b>	<b>-0.3</b>
<b>Totals, Workload Budget Adjustments</b>		<b>\$210</b>	<b>\$-53</b>	<b>-0.3</b>	<b>\$240</b>	<b>\$-70</b>	<b>-0.3</b>
<b>Totals, Budget Adjustments</b>		<b>\$210</b>	<b>\$-53</b>	<b>-0.3</b>	<b>\$240</b>	<b>\$-70</b>	<b>-0.3</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0280 Commission on Judicial Performance - Continued****DETAILED EXPENDITURES BY PROGRAM**

		<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0180</b>	<b>COMMISSION ON JUDICIAL PERFORMANCE</b>			
	<b>State Operations:</b>			
0001	General Fund	\$4,892	\$5,175	\$5,205
0995	Reimbursements	40	26	9
9728	Judicial Branch Workers Compensation Fund	-5	-1	-1
	<b>Totals, State Operations</b>	<b>\$4,927</b>	<b>\$5,200</b>	<b>\$5,213</b>
	<b>TOTALS, EXPENDITURES</b>			
	State Operations	4,927	5,200	5,213
	<b>Totals, Expenditures</b>	<b>\$4,927</b>	<b>\$5,200</b>	<b>\$5,213</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	<u>2016-17</u>	<u>2017-18</u>	<u>2018-19</u>	<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
PERSONAL SERVICES						
Baseline Positions	20.8	20.8	20.8	\$2,340	\$2,340	\$2,340
Budget Position Transparency	-	-0.3	-0.3	-	69	102
Other Adjustments	0.6	-	-	124	132	132
<b>Net Totals, Salaries and Wages</b>	<b>21.4</b>	<b>20.5</b>	<b>20.5</b>	<b>\$2,464</b>	<b>\$2,541</b>	<b>\$2,574</b>
Staff Benefits	-	-	-	1,132	1,328	1,331
<b>Totals, Personal Services</b>	<b>21.4</b>	<b>20.5</b>	<b>20.5</b>	<b>\$3,596</b>	<b>\$3,869</b>	<b>\$3,905</b>
OPERATING EXPENSES AND EQUIPMENT				\$1,331	\$1,331	\$1,308
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$4,927</b>	<b>\$5,200</b>	<b>\$5,213</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS		<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
	<b>0001 General Fund</b>			
APPROPRIATIONS				
001 Budget Act appropriation		\$4,894	\$4,964	\$5,204
Adjustment per Provision 1 of Budget Act Items 0280-001-0001 and 0280-011-0001, Budget Act of 2016		-5	-	-
Allocation for Employee Compensation		-	132	-
Allocation for Other Post-Employment Benefits		-	22	-
Allocation for Staff Benefits		-	52	-
Budget Position Transparency		-	69	-
Expenditure by Category Redistribution		-	-69	-
Section 3.60 Pension Contribution Adjustment		-	45	-
011 Budget Act appropriation (transfer to Judicial Branch Workers' Compensation Fund)		1	1	1
Adjustment per Provision 1 of Budget Act Items 0280-001-0001 and 0280-011-0001, Budget Act of 2016		5	-	-
<b>Totals Available</b>		<b>\$4,895</b>	<b>\$5,216</b>	<b>\$5,205</b>
Unexpended balance, estimated savings		-3	-41	-
<b>TOTALS, EXPENDITURES</b>		<b>\$4,892</b>	<b>\$5,175</b>	<b>\$5,205</b>
	<b>0995 Reimbursements</b>			
APPROPRIATIONS				

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0280 Commission on Judicial Performance - Continued**

Reimbursements	\$40	\$26	\$9
<b>TOTALS, EXPENDITURES</b>	<b>\$40</b>	<b>\$26</b>	<b>\$9</b>
<b>9728 Judicial Branch Workers Compensation Fund</b>			
Less funding provided by General Fund	-5	-1	-1
<b>NET TOTALS, EXPENDITURES</b>	<b>-\$5</b>	<b>-\$1</b>	<b>-\$1</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$4,927</b>	<b>\$5,200</b>	<b>\$5,213</b>

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	20.8	20.8	20.8	\$2,340	\$2,340	\$2,340
Budget Position Transparency	-	-0.3	-0.3	-	69	102
<b>Salary and Other Adjustments</b>	0.6	-	-	124	132	132
<b>Totals, Adjustments</b>	<b>0.6</b>	<b>-0.3</b>	<b>-0.3</b>	<b>\$124</b>	<b>\$201</b>	<b>\$234</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>21.4</b>	<b>20.5</b>	<b>20.5</b>	<b>\$2,464</b>	<b>\$2,541</b>	<b>\$2,574</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

### 0390 Contributions to the Judges' Retirement System

The Judges' Retirement System provides retirement benefit funding for judges on California's Supreme, Appellate, and Superior/Municipal courts. The California Public Employees' Retirement System administers both the Judges' Retirement System and the Judges' Retirement System II on behalf of its members.

The Judges' Retirement System II provides retirement, disability, and death benefits based on age, years of service, compensation of active judges, and eligibility, as determined by specific sections of the Judges' Retirement Law. Active judges and the state each contribute 8 percent of salary to the Judges' Retirement System. Additional contributions come from filing fees for specific civil cases and investment income. These contributions, however, are not sufficient to fully fund benefit payments. Consequently, current law requires the state to fund the difference between existing contribution sources and the required benefit payments to retired judges.

The Judges' Retirement System is closed to new members, and a second retirement system for judges was established in 1994. All new judges elected or appointed on or after November 9, 1994 become members of the Judges' Retirement System II. The Judges' Retirement System II provides retirement, disability, and death benefits based on age, years of service, final compensation, and eligibility, as determined by specific sections of the Judges' Retirement System II Law. Judges' Retirement System II members eligible for a service retirement have the option of choosing the monetary credit plan (a lump-sum return of contributions and interest earned) or the defined benefit plan. Judges' Retirement System II members not eligible for a defined benefit receive the balance of their monetary credits (in a lump-sum payment). The Judges' Retirement System II receives contributions from judges equal to 8 percent of salary as well as any investment income. The state's contributions are adjusted annually to maintain actuarial soundness of the fund.

Effective January 1, 2013, provisions of the California Public Employees' Pension Reform Act of 2013, Chapter 296, Statutes of 2012 (AB 340), require that new judges contribute 50 percent of the normal cost of their pension benefits.

#### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0190	State Operations	-	-	-	\$4,257	\$4,613	\$4,372
0195	Local Assistance	-	-	-	265,498	272,349	264,579
0200	Benefit Payments	-	-	-	222,766	222,667	235,048
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		-	-	-	<b>\$492,521</b>	<b>\$499,629</b>	<b>\$503,999</b>

  

		2016-17*	2017-18*	2018-19*
<b>FUNDING</b>				
0001	General Fund	\$269,755	\$276,962	\$268,951
0815	Judges Retirement Fund	200,440	204,267	212,578
0884	Judges Retirement System II Fund	22,326	18,400	22,470
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$492,521</b>	<b>\$499,629</b>	<b>\$503,999</b>

#### LEGAL CITATIONS AND AUTHORITY

##### DEPARTMENT AUTHORITY

Government Code, Title 8, Chapters 11 and 11.5.

#### DETAILED BUDGET ADJUSTMENTS

		2017-18*			2018-19*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Other Workload Budget Adjustments</b>							
	• Miscellaneous Baseline Adjustments	\$3,733	\$-1,458	-	\$-4,278	\$10,923	-
<b>Totals, Other Workload Budget Adjustments</b>		<b>\$3,733</b>	<b>\$-1,458</b>	<b>-</b>	<b>\$-4,278</b>	<b>\$10,923</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>		<b>\$3,733</b>	<b>\$-1,458</b>	<b>-</b>	<b>\$-4,278</b>	<b>\$10,923</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>		<b>\$3,733</b>	<b>\$-1,458</b>	<b>-</b>	<b>\$-4,278</b>	<b>\$10,923</b>	<b>-</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## **0390 Contributions to the Judges' Retirement System - Continued**

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**0390 Contributions to the Judges' Retirement System - Continued****Judges' Retirement Fund Summaries**

<b>0815 Judges' Retirement Fund<sup>1/</sup></b>	<b>PY 2016-17*</b>	<b>CY 2017-18*</b>	<b>BY 2018-19*</b>
<b>Beginning Balance</b>	\$39,675	\$48,275	\$47,263
<b>Revenues:</b>			
Investment Income	\$424	\$516	\$505
State Contributions	206,902	201,531	198,796
Member Contributions	3,366	3,127	2,878
Contribution Refunds	-	-71	-71
<b>Total Revenues</b>	<b>\$210,692</b>	<b>\$205,103</b>	<b>\$202,108</b>
<b>Expenditures:</b>			
Pension Benefit Payments	\$200,440	\$204,267	\$212,578
Administrative Expenditures	1,652	1,848	1,927
<b>Total Expenditures</b>	<b>\$202,092</b>	<b>\$206,115</b>	<b>\$214,505</b>
<b>Ending Fund Balance</b>	<b>\$48,275</b>	<b>\$47,263</b>	<b>\$34,866</b>
 <b>0884 Judges' Retirement System II Fund<sup>1/</sup></b>	 <b>PY 2016-17*</b>	 <b>CY 2017-18*</b>	 <b>BY 2018-19*</b>
<b>Beginning Balance</b>	\$1,173,137	\$1,356,099	\$1,575,160
<b>Revenues:</b>			
Investment Income	\$115,058	\$133,002	\$154,487
State Contributions <sup>2/</sup>	67,112	79,633	79,633
Member Contributions	25,066	27,183	28,028
Contribution Refunds	-81	-85	-85
<b>Total Revenues</b>	<b>\$207,155</b>	<b>\$239,733</b>	<b>\$262,063</b>
<b>Expenditures:</b>			
Pension Benefit Payments	\$22,326	\$18,400	\$22,470
Administrative Expenditures	1,867	2,272	2,347
<b>Total Expenditures</b>	<b>\$24,193</b>	<b>\$20,672</b>	<b>\$24,817</b>
<b>Ending Fund Balance</b>	<b>\$1,356,099</b>	<b>\$1,575,160</b>	<b>\$1,812,406</b>

<sup>1/</sup> This display reflects fund data as of the 2018-19 Governor's Budget.

<sup>2/</sup> The 2018 Budget Act estimates 2018-19 state contributions to the Judges' Retirement System II to be \$74,359,000. The reduction in the estimate from the 2018-19 Governor's Budget to the 2018 Budget Act is the result of a decrease in the employer contribution rate to the Judges' Retirement System II, as approved by the CalPERS Board of Administration at its February 2018 meeting.

**0390 Contributions to the Judges' Retirement System - Continued****DETAILED EXPENDITURES BY PROGRAM**

		2016-17*	2017-18*	2018-19*
	<b>PROGRAM REQUIREMENTS</b>			
<b>0190</b>	<b>STATE OPERATIONS</b>			
	<b>State Operations:</b>			
0001	General Fund	\$4,257	\$4,613	\$4,372
	<b>Totals, State Operations</b>	<b>\$4,257</b>	<b>\$4,613</b>	<b>\$4,372</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0195</b>	<b>LOCAL ASSISTANCE</b>			
	<b>Local Assistance:</b>			
0001	General Fund	\$265,498	\$272,349	\$264,579
	<b>Totals, Local Assistance</b>	<b>\$265,498</b>	<b>\$272,349</b>	<b>\$264,579</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0200</b>	<b>BENEFIT PAYMENTS</b>			
	<b>Unclassified:</b>			
0815	Judges Retirement Fund	\$200,440	\$204,267	\$212,578
0884	Judges Retirement System II Fund	22,326	18,400	22,470
	<b>Totals, Unclassified</b>	<b>\$222,766</b>	<b>\$222,667</b>	<b>\$235,048</b>
	<b>TOTALS, EXPENDITURES</b>			
	State Operations	4,257	4,613	4,372
	Local Assistance	265,498	272,349	264,579
	Unclassified	222,766	222,667	235,048
	<b>Totals, Expenditures</b>	<b>\$492,521</b>	<b>\$499,629</b>	<b>\$503,999</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

<b>1 STATE OPERATIONS</b>	2016-17*	2017-18*	2018-19*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation (transfer to Judges' Retirement Fund)	\$1,150	\$1,150	\$1,150
Government Code section 75101 (JRS I)	855	822	768
Past Year Adjustments	37	-	-
Revised Estimates	-	13	-
Government Code section 75600.5 (JRS II)	2,111	2,427	2,454
Past Year Adjustments	104	-	-
Revised Estimates	-	201	-
<b>TOTALS, EXPENDITURES</b>	<b>\$4,257</b>	<b>\$4,613</b>	<b>\$4,372</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$4,257</b>	<b>\$4,613</b>	<b>\$4,372</b>
<b>2 LOCAL ASSISTANCE</b>	2016-17*	2017-18*	2018-19*
<b>0001 General Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation (transfer to Judges' Retirement Fund)	\$198,152	\$193,052	\$190,565
Government Code section 75101 (JRS I)	2,457	2,363	2,109
Past Year Adjustments	-8	-	-
Revised Estimates	-	-71	-
Government Code section 75600.5 (JRS II)	63,856	73,415	71,905
Past Year Adjustments	1,041	-	-
Revised Estimates	-	3,590	-

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.



**0390 Contributions to the Judges' Retirement System - Continued**

<b>TOTALS, EXPENDITURES</b>	<b>\$265,498</b>	<b>\$272,349</b>	<b>\$264,579</b>
<b>Total Expenditures, All Funds, (Local Assistance)</b>	<b>\$265,498</b>	<b>\$272,349</b>	<b>\$264,579</b>
 <b>4 UNCLASSIFIED</b>	 <b>2016-17*</b>	 <b>2017-18*</b>	 <b>2018-19*</b>
<b>0815 Judges Retirement Fund</b>			
APPROPRIATIONS			
Government Code section 75025	\$201,862	\$209,625	\$212,578
Past Year Adjustments	-1,422	-	-
Revised Estimates	-	-5,358	-
<b>TOTALS, EXPENDITURES</b>	<b>\$200,440</b>	<b>\$204,267</b>	<b>\$212,578</b>
<b>0884 Judges Retirement System II Fund</b>			
APPROPRIATIONS			
Government Code section 75522	\$12,328	\$14,500	\$22,470
Past Year Adjustments	9,998	-	-
Revised Estimates	-	3,900	-
<b>TOTALS, EXPENDITURES</b>	<b>\$22,326</b>	<b>\$18,400</b>	<b>\$22,470</b>
<b>Total Expenditures, All Funds, (Unclassified)</b>	<b>\$222,766</b>	<b>\$222,667</b>	<b>\$235,048</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations, Local Assistance and Unclassified)</b>	<b>\$492,521</b>	<b>\$499,629</b>	<b>\$503,999</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0500 Governor's Office

Article V of the California Constitution vests the supreme executive power in a chief magistrate, who is called the Governor of the State of California. The Office of the Governor is maintained in Sacramento.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0210	Governor's Office	83.0	88.0	91.0	\$14,450	\$16,732	\$19,342
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>83.0</b>	<b>88.0</b>	<b>91.0</b>	<b>\$14,450</b>	<b>\$16,732</b>	<b>\$19,342</b>

  

		2016-17*	2017-18*	2018-19*
<b>FUNDING</b>				
0001	General Fund		\$12,184	\$14,197
9740	Central Service Cost Recovery Fund		2,266	2,534
9750	Immigrant Integration Fund		-	1
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>			<b>\$14,450</b>	<b>\$16,732</b>

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

California Constitution, Article V.

### DETAILED BUDGET ADJUSTMENTS

		2017-18*			2018-19*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Other Workload Budget Adjustments</b>							
	• Miscellaneous Baseline Adjustments	\$-	\$-	-	\$2,322	\$278	3.0
	• Salary Adjustments	370	-	-	370	-	-
	• Benefit Adjustments	143	-	-	153	-	-
	• Retirement Rate Adjustments	150	-	-	150	-	-
<b>Totals, Other Workload Budget Adjustments</b>		<b>\$663</b>	<b>\$-</b>	<b>-</b>	<b>\$2,995</b>	<b>\$278</b>	<b>3.0</b>
<b>Totals, Workload Budget Adjustments</b>		<b>\$663</b>	<b>\$-</b>	<b>-</b>	<b>\$2,995</b>	<b>\$278</b>	<b>3.0</b>
<b>Totals, Budget Adjustments</b>		<b>\$663</b>	<b>\$-</b>	<b>-</b>	<b>\$2,995</b>	<b>\$278</b>	<b>3.0</b>

### DETAILED EXPENDITURES BY PROGRAM

		2016-17*	2017-18*	2018-19*
<b>PROGRAM REQUIREMENTS</b>				
<b>0210</b>	<b>GOVERNOR'S OFFICE</b>			
<b>State Operations:</b>				
0001	General Fund	\$12,184	\$14,197	\$16,529
9740	Central Service Cost Recovery Fund	2,266	2,534	2,812
9750	Immigrant Integration Fund	-	1	1
<b>Totals, State Operations</b>		<b>\$14,450</b>	<b>\$16,732</b>	<b>\$19,342</b>
<b>TOTALS, EXPENDITURES</b>				
State Operations		14,450	16,732	19,342
<b>Totals, Expenditures</b>		<b>\$14,450</b>	<b>\$16,732</b>	<b>\$19,342</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0500 Governor's Office - Continued****EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	83.0	88.0	88.0	\$10,144	\$11,078	\$11,078
Other Adjustments	-	-	3.0	-1,485	-1,011	1,489
<b>Net Totals, Salaries and Wages</b>	<b>83.0</b>	<b>88.0</b>	<b>91.0</b>	<b>\$8,659</b>	<b>\$10,067</b>	<b>\$12,567</b>
Staff Benefits	-	-	-	3,694	3,790	3,900
<b>Totals, Personal Services</b>	<b>83.0</b>	<b>88.0</b>	<b>91.0</b>	<b>\$12,353</b>	<b>\$13,857</b>	<b>\$16,467</b>
OPERATING EXPENSES AND EQUIPMENT				\$2,097	\$2,874	\$2,874
SPECIAL ITEMS OF EXPENSES				-	1	1
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$14,450</b>	<b>\$16,732</b>	<b>\$19,342</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$12,485	\$13,534	\$16,529
Allocation for Employee Compensation	-	370	-
Allocation for Staff Benefits	-	143	-
Section 3.60 Pension Contribution Adjustment	-	150	-
<b>Totals Available</b>	<b>\$12,485</b>	<b>\$14,197</b>	<b>\$16,529</b>
Unexpended balance, estimated savings	-301	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$12,184</b>	<b>\$14,197</b>	<b>\$16,529</b>
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,354	\$2,534	\$2,812
<b>Totals Available</b>	<b>\$2,354</b>	<b>\$2,534</b>	<b>\$2,812</b>
Unexpended balance, estimated savings	-88	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,266</b>	<b>\$2,534</b>	<b>\$2,812</b>
9750 Immigrant Integration Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1	\$1	\$1
<b>Totals Available</b>	<b>\$1</b>	<b>\$1</b>	<b>\$1</b>
Unexpended balance, estimated savings	-1	-	-
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>\$1</b>	<b>\$1</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$14,450</b>	<b>\$16,732</b>	<b>\$19,342</b>

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
Baseline Positions	83.0	88.0	88.0	\$10,144	\$11,078	\$11,078
Salary and Other Adjustments	-	-	3.0	-1,485	-1,011	1,489
<b>Totals, Adjustments</b>	<b>-</b>	<b>-</b>	<b>3.0</b>	<b>\$-1,485</b>	<b>\$-1,011</b>	<b>\$1,489</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>83.0</b>	<b>88.0</b>	<b>91.0</b>	<b>\$8,659</b>	<b>\$10,067</b>	<b>\$12,567</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0509 Governor's Office of Business and Economic Development (GO-Biz)

The Governor's Office of Business and Economic Development (GO-Biz) provides a single point of contact for economic development, business assistance and job creation efforts. The GO-Biz works with companies and organizations across the nation to market the benefits of doing business in California, recruit new businesses, retain businesses, and support private sector job growth. The GO-Biz serves as the Governor's lead entity for economic strategy and the marketing of California on issues relating to business development, private sector investment, economic growth, export promotion, permit assistance, innovation and entrepreneurship.

### 3-YEAR EXPENDITURES AND POSITIONS

			Positions			Expenditures		
			2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0220	Go-Biz		20.0	27.1	38.7	\$4,801	\$6,756	\$7,298
0225	California Business Investment Services		10.3	7.1	7.2	1,818	1,833	1,835
0230	Office of the Small Business Advocate		2.8	2.6	2.7	1,797	3,321	23,321
0235	Infrastructure, Finance and Economic Development		38.3	38.5	35.7	15,993	18,345	18,025
0240	Community Reinvestment Grants Program		-	-	3.0	-	-	10,000
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>			<b>71.4</b>	<b>75.3</b>	<b>87.3</b>	<b>\$24,409</b>	<b>\$30,255</b>	<b>\$60,479</b>

  

FUNDING			2016-17*	2017-18*	2018-19*
0001	General Fund		\$11,400	\$15,087	\$35,632
0649	California Infrastructure and Economic Development Bank Fund		11,851	11,026	11,025
0890	Federal Trust Fund		316	-	-
0918	California Small Business Expansion Fund		191	2,127	2,128
0995	Reimbursements		606	1,667	1,346
3083	Welcome Center Fund		35	111	111
3095	Film Promotion and Marketing Fund		10	10	10
3237	Cost of Implementation Account, Air Pollution Control Fund		-	227	227
3314	California Cannabis Tax Fund		-	-	10,000
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>			<b>\$24,409</b>	<b>\$30,255</b>	<b>\$60,479</b>

### LEGAL CITATIONS AND AUTHORITY

Government Code Sections 12096.1-12100, and 63050; and Corporations Code Section 14000-14024.

### MAJOR PROGRAM CHANGES

- Small Business Development Technical Assistance Expansion Program – The Budget includes \$23 million General Fund to establish a program to provide funding to augment existing small business services such as free or low-cost one-on-one consulting and low-cost training. Of that amount, \$17 million is provided each year, for the next five years to technical assistance centers with a focus on services to underserved business groups, including women, minority, and veteran-owned businesses and businesses in low-wealth, rural, and disaster-impacted communities. The Budget also includes the continuation of \$3 million in 2018-19 through 2022-23 for Small Business Development Centers' local cash match funding. The remaining \$3 million is one-time local cash match funding targeted for other federal small business technical assistance centers. Additionally, the program will allocate funding through a competitive grant process.
- Cannabis-Community Reinvestment Grants Program – The Budget includes \$10 million California Cannabis Tax Fund and three positions to administer the Program and to fund grants to local health departments and qualified community-based nonprofit organizations that support various substance abuse treatment, job placement, legal services, and other cannabis use-related programs in California, pursuant to Proposition 64.

### DETAILED BUDGET ADJUSTMENTS

2017-18*			2018-19*		
General Fund	Other Funds	Positions	General Fund	Other Funds	Positions

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

### Workload Budget Adjustments

#### Workload Budget Change Proposals

• California Small Business Development Technical Assistance Programs	\$-	\$-	-	\$23,000	\$-	-
• California Competes Tax Credit Program Extension	-	-	-	1,422	-	10.0
• Information Technology Cloud Support and Business Analysis Requirements	-	-	-	277	-	2.0
• Cannabis: Community Reinvestment Grants Program	-	-	-	-	10,000	3.0
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$24,699</b>	<b>\$10,000</b>	<b>15.0</b>

#### Other Workload Budget Adjustments

• Expenditure by Category Redistribution	2,144	-	-	1,200	-	-
• Allocation for Other Post-Employment Benefits	1	2	-	1	2	-
• Salary Adjustments	209	127	-	209	127	-
• Retirement Rate Adjustments	88	53	-	88	53	-
• Benefit Adjustments	81	51	-	87	56	-
• Miscellaneous Baseline Adjustments	-	-	-	40	-326	-3.0
• Budget Position Transparency	-2,144	-	-18.7	-1,200	-	-18.1
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$379</b>	<b>\$233</b>	<b>-18.7</b>	<b>\$425</b>	<b>\$-88</b>	<b>-21.1</b>

#### Totals, Workload Budget Adjustments

<b>Totals, Workload Budget Adjustments</b>	<b>\$379</b>	<b>\$233</b>	<b>-18.7</b>	<b>\$25,124</b>	<b>\$9,912</b>	<b>-6.1</b>
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#### Totals, Budget Adjustments

<b>Totals, Budget Adjustments</b>	<b>\$379</b>	<b>\$233</b>	<b>-18.7</b>	<b>\$25,124</b>	<b>\$9,912</b>	<b>-6.1</b>
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### PROGRAM DESCRIPTIONS

#### 0220 - GO-Biz

The GO-Biz serves as the Governor's lead entity for economic strategy and the marketing of California on issues relating to business development, private sector investment, and economic growth, and export promotion. This program makes recommendations to the Governor and the Legislature regarding policies, programs, and actions to advance statewide economic goals.

#### 0225 - CALIFORNIA BUSINESS INVESTMENT SERVICES

This program serves employers, corporate executives, business owners, and site location consultants who are considering California for business investment and expansion. This program convenes teams on key business development issues. This program works with local, state, and federal partners to attract, retain, and grow businesses in addition to providing permit assistance and helping businesses succeed in California. The Innovation Hub (iHub) initiative improves the state's national and global competitiveness by stimulating partnerships, economic development, and job creation around specific research clusters through state-designated iHubs.

#### 0230 - OFFICE OF THE SMALL BUSINESS ADVOCATE

The director of the Office of the Small Business Advocate (OSBA) serves as the principal advocate in the state on behalf of small businesses, including legislation and administrative regulations that affect small business. The OSBA is responsible for disseminating information about programs and services provided by the state that benefit small businesses, and how small businesses can participate in these programs and services. The OSBA responds to issues from small businesses concerning the actions of state agencies, state laws and regulations adversely affecting those businesses. The OSBA maintains and distributes an annual list of persons serving as small business ombudsmen throughout state government.

#### 0235010 - CALIFORNIA FILM COMMISSION

The California Film Commission (CFC) enhances California's status as the leader in motion picture, television and commercial production. The Commission is tasked with retaining and increasing motion picture production and to see that it continues to create jobs and boost business throughout the State. A one-stop office for filmmakers, the Commission supports productions of all sizes and budgets with a variety of services. In addition to issuing film permits for all state properties, administering the film and TV tax credit program, maintaining an extensive location library, and offering production assistance on a wide variety of issues, CFC also works closely with cities and counties with the goal of creating "film friendly" policies that are consistent state-wide.

#### 0235019 - DIVISION OF TOURISM

## 0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

The California Tourism Market Act provides for the marketing of California through an assessment of businesses that benefit from travel and tourism. The objective of the Tourism Assessment Program is to identify potentially assessable businesses, assist companies with determining the appropriate amount of their self-assessment, and collect the fee.

### 0235028 - CALIFORNIA INFRASTRUCTURE AND ECONOMIC DEVELOPMENT BANK

California Infrastructure and Economic Development Bank (IBank) was created to finance public infrastructure and private development that promote a healthy climate for jobs, contribute to a strong economy and improve the quality of life in California communities. IBank has a broad authority to issue tax-exempt and taxable revenue bonds, provide financing to public agencies, provide credit enhancements, acquire or lease facilities, and leverage State and Federal funds. IBank's current programs include the infrastructure state revolving fund, 501(c)(3) tax-exempt and taxable revenue bond program, industrial development revenue bond program, exempt facility revenue bond program, governmental bond program and the Clean Energy Finance Center (CEFC) and the Statewide Energy Efficiency Program under the CEFC.

### 0235037 - SMALL BUSINESS LOAN GUARANTEE PROGRAM

The Small Business Loan Guarantee Program (SBLGP) promotes local economic development by providing guarantees for loans issued to small businesses from financial institutions, typically banks, that otherwise would not approve a term loan or line of credit to a small business. As a result of the SBLGP, participating small businesses are able to secure financing that allows them to grow and expand their business. The loan guarantee serves as a credit enhancement and an incentive for financial institutions to make loans to small businesses that otherwise would not be eligible for such financing.

### 0235046 - CALIFORNIA WELCOME CENTERS

California Welcome Centers are visitor information centers that are readily accessible to and recognizable by tourists to encourage tourism in California and provide benefits to the state economy. The objective of the California Welcome Center Program is to determine the locality of underserved travelers, designate a welcome center, and establish operating standards across the network.

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## DETAILED EXPENDITURES BY PROGRAM

		<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0220</b>	<b>GO-BIZ</b>			
	<b>State Operations:</b>			
0001	General Fund	\$4,772	\$6,529	\$7,071
0995	Reimbursements	29	-	-
3237	Cost of Implementation Account, Air Pollution Control Fund	-	227	227
	<b>Totals, State Operations</b>	<b>\$4,801</b>	<b>\$6,756</b>	<b>\$7,298</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0225</b>	<b>CALIFORNIA BUSINESS INVESTMENT SERVICES</b>			
	<b>State Operations:</b>			
0001	General Fund	\$1,518	\$1,783	\$1,785
0995	Reimbursements	300	50	50
	<b>Totals, State Operations</b>	<b>\$1,818</b>	<b>\$1,833</b>	<b>\$1,835</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0230</b>	<b>OFFICE OF THE SMALL BUSINESS ADVOCATE</b>			
	<b>State Operations:</b>			
0001	General Fund	\$1,797	\$3,321	\$23,321
	<b>Totals, State Operations</b>	<b>\$1,797</b>	<b>\$3,321</b>	<b>\$23,321</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0235</b>	<b>INFRASTRUCTURE, FINANCE AND ECONOMIC DEVELOPMENT</b>			
	<b>State Operations:</b>			
0001	General Fund	\$3,313	\$3,454	\$3,455
0649	California Infrastructure and Economic Development Bank Fund	6,351	5,526	5,525
0890	Federal Trust Fund	316	-	-
0918	California Small Business Expansion Fund	191	2,127	2,128

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

0995	Reimbursements	277	1,617	1,296
3083	Welcome Center Fund	35	111	111
3095	Film Promotion and Marketing Fund	10	10	10
Totals, State Operations		\$10,493	\$12,845	\$12,525
Local Assistance:				
0649	California Infrastructure and Economic Development Bank Fund	\$5,500	\$5,500	\$5,500
Totals, Local Assistance		\$5,500	\$5,500	\$5,500
SUBPROGRAM REQUIREMENTS				
0235010	California Film Commission			
State Operations:				
0001	General Fund	\$2,258	\$2,394	\$2,395
0649	California Infrastructure and Economic Development Bank Fund	-	5	-
3095	Film Promotion and Marketing Fund	10	10	10
Totals, State Operations		\$2,268	\$2,409	\$2,405
SUBPROGRAM REQUIREMENTS				
0235019	Tourism			
State Operations:				
0001	General Fund	\$189	\$194	\$194
0995	Reimbursements	277	918	597
3083	Welcome Center Fund	1	1	1
Totals, State Operations		\$467	\$1,113	\$792
SUBPROGRAM REQUIREMENTS				
0235028	California Infrastructure and Economic Development Bank			
State Operations:				
0649	California Infrastructure and Economic Development Bank Fund	6,351	5,521	5,525
0995	Reimbursements	-	212	212
Totals, State Operations		\$6,351	\$5,733	\$5,737
Local Assistance:				
0649	California Infrastructure and Economic Development Bank Fund	\$5,500	\$5,500	\$5,500
Totals, Local Assistance		\$5,500	\$5,500	\$5,500
SUBPROGRAM REQUIREMENTS				
0235037	Small Business Expansion			
State Operations:				
0001	General Fund	\$866	\$866	\$866
0890	Federal Trust Fund	316	-	-
0918	California Small Business Expansion Fund	191	2,127	2,128
0995	Reimbursements	-	487	487
Totals, State Operations		\$1,373	\$3,480	\$3,481
SUBPROGRAM REQUIREMENTS				
0235046	Welcome Center Program			
State Operations:				
3083	Welcome Center Fund	\$34	\$110	\$110
Totals, State Operations		\$34	\$110	\$110
PROGRAM REQUIREMENTS				
0240	COMMUNITY REINVESTMENT GRANTS PROGRAM			
State Operations:				
3314	California Cannabis Tax Fund	-	-	400
Totals, State Operations		\$-	\$-	\$400
Local Assistance:				
3314	California Cannabis Tax Fund	\$-	\$-	\$9,600
Totals, Local Assistance		\$-	\$-	\$9,600

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

**TOTALS, EXPENDITURES**

State Operations	18,909	24,755	45,379
Local Assistance	5,500	5,500	15,100
<b>Totals, Expenditures</b>	<b>\$24,409</b>	<b>\$30,255</b>	<b>\$60,479</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	82.4	94.0	93.4	\$6,581	\$7,349	\$6,582
Budget Position Transparency	-	-18.7	-18.1	-	-2,144	-1,200
Other Adjustments	-11.0	-	12.0	910	336	1,397
<b>Net Totals, Salaries and Wages</b>	<b>71.4</b>	<b>75.3</b>	<b>87.3</b>	<b>\$7,491</b>	<b>\$5,541</b>	<b>\$6,779</b>
Staff Benefits	-	-	-	5,151	5,870	5,993
<b>Totals, Personal Services</b>	<b>71.4</b>	<b>75.3</b>	<b>87.3</b>	<b>\$12,642</b>	<b>\$11,411</b>	<b>\$12,772</b>
OPERATING EXPENSES AND EQUIPMENT				\$3,451	\$10,496	\$29,759
SPECIAL ITEMS OF EXPENSES				2,816	2,848	2,848
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$18,909</b>	<b>\$24,755</b>	<b>\$45,379</b>

**2 Local Assistance**

	Expenditures		
	2016-17*	2017-18*	2018-19*
Grants and Subventions - Governmental	\$-	\$-	\$9,600
Other Special Items of Expense	5,500	5,500	5,500
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$5,500</b>	<b>\$5,500</b>	<b>\$15,100</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$11,118	\$13,847	\$34,771
Allocation for Employee Compensation	-	209	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	81	-
Budget Position Transparency	-	-2,144	-
Expenditure by Category Redistribution	-	2,144	-
Section 3.60 Pension Contribution Adjustment	-	88	-
011 Budget Act appropriation (transfer to Small Business Expansion Fund)	861	861	861
<b>Totals Available</b>	<b>\$11,979</b>	<b>\$15,087</b>	<b>\$35,632</b>
Unexpended balance, estimated savings	-579	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$11,400</b>	<b>\$15,087</b>	<b>\$35,632</b>
<b>0649 California Infrastructure and Economic Development Bank Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,582	\$5,322	\$5,525
Allocation for Employee Compensation	-	108	-
Allocation for Other Post-Employment Benefits	-	2	-
Allocation for Staff Benefits	-	49	-
Section 3.60 Pension Contribution Adjustment	-	45	-

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## 0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

<b>Totals Available</b>	<b>\$5,582</b>	<b>\$5,526</b>	<b>\$5,525</b>
Unexpended balance, estimated savings	769	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$6,351</b>	<b>\$5,526</b>	<b>\$5,525</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
Federal Funds	\$322	-	-
<b>Totals Available</b>	<b>\$322</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-6	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$316</b>	<b>-</b>	<b>-</b>
<b>0918 California Small Business Expansion Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$130	\$130	\$141
Allocation for Employee Compensation	-	6	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	2	-
Corporations Code section 14030	1,848	1,848	1,848
Corporations Code section 14030(a) (default payments)	1,000	1,000	1,000
<b>Totals Available</b>	<b>\$2,978</b>	<b>\$2,988</b>	<b>\$2,989</b>
Unexpended balance, estimated savings	-1,926	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,052</b>	<b>\$2,988</b>	<b>\$2,989</b>
Less funding provided by General Fund	-861	-861	-861
<b>NET TOTALS, EXPENDITURES</b>	<b>\$191</b>	<b>\$2,127</b>	<b>\$2,128</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$606	\$1,667	\$1,346
<b>TOTALS, EXPENDITURES</b>	<b>\$606</b>	<b>\$1,667</b>	<b>\$1,346</b>
<b>3083 Welcome Center Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$111	\$111	\$111
<b>Totals Available</b>	<b>\$111</b>	<b>\$111</b>	<b>\$111</b>
Unexpended balance, estimated savings	-76	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$35</b>	<b>\$111</b>	<b>\$111</b>
<b>3095 Film Promotion and Marketing Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$10	\$10	\$10
<b>TOTALS, EXPENDITURES</b>	<b>\$10</b>	<b>\$10</b>	<b>\$10</b>
<b>3237 Cost of Implementation Account, Air Pollution Control Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$227	\$227
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>\$227</b>	<b>\$227</b>
<b>3314 California Cannabis Tax Fund</b>			
APPROPRIATIONS			
Revenue and Taxation Code section 34019(d)	-	-	\$400
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>\$400</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$18,909</b>	<b>\$24,755</b>	<b>\$45,379</b>
<b>2 LOCAL ASSISTANCE</b>	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>0649 California Infrastructure and Economic Development Bank Fund</b>			
APPROPRIATIONS			
Government Code section 63050	\$5,500	\$5,500	\$5,500
<b>TOTALS, EXPENDITURES</b>	<b>\$5,500</b>	<b>\$5,500</b>	<b>\$5,500</b>
<b>3314 California Cannabis Tax Fund</b>			

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

## APPROPRIATIONS

Revenue and Taxation Code section 34019(d)	-	-	\$9,600
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>\$9,600</b>
<b>Total Expenditures, All Funds, (Local Assistance)</b>	<b>\$5,500</b>	<b>\$5,500</b>	<b>\$15,100</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$24,409</b>	<b>\$30,255</b>	<b>\$60,479</b>

## FUND CONDITION STATEMENTS

	2016-17*	2017-18*	2018-19*
<b>3083 Welcome Center Fund<sup>S</sup></b>			
BEGINNING BALANCE	\$39	\$116	\$174
Prior Year Adjustments	28	-	-
Adjusted Beginning Balance	\$67	\$116	\$174
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172500 Miscellaneous Revenue	84	180	180
Total Revenues, Transfers, and Other Adjustments	\$84	\$180	\$180
Total Resources	\$151	\$296	\$354
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0509 Governor's Office of Business and Economic Development (GO-Biz) (State Operations)	35	111	111
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	11	13
Total Expenditures and Expenditure Adjustments	\$35	\$122	\$124
FUND BALANCE	\$116	\$174	\$230
Reserve for economic uncertainties	116	174	230
<b>3095 Film Promotion and Marketing Fund<sup>S</sup></b>			
BEGINNING BALANCE	\$22	\$31	\$31
Prior Year Adjustments	9	-	-
Adjusted Beginning Balance	\$31	\$31	\$31
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4140000 Document Sales	10	10	10
Total Revenues, Transfers, and Other Adjustments	\$10	\$10	\$10
Total Resources	\$41	\$41	\$41
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0509 Governor's Office of Business and Economic Development (GO-Biz) (State Operations)	10	10	10
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	-	2
Total Expenditures and Expenditure Adjustments	\$10	\$10	\$12
FUND BALANCE	\$31	\$31	\$29
Reserve for economic uncertainties	31	31	29

## CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	82.4	94.0	93.4	\$6,581	\$7,349	\$6,582
Budget Position Transparency	-	-18.7	-18.1	-	-2,144	-1,200
<b>Salary and Other Adjustments</b>	-11.0	-	-3.0	910	336	146

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

**Workload and Administrative Adjustments****California Competes Tax Credit Program Extension**

Assoc Govtl Program Analyst	-	-	4.0	-	-	253
Atty III	-	-	1.0	-	-	117
Staff Svcs Mgr I	-	-	2.0	-	-	151
Staff Svcs Mgr II (Supvry)	-	-	1.0	-	-	83
Sys Software Spec III (Tech)	-	-	1.0	-	-	88
Various	-	-	1.0	-	-	133

**Cannabis: Community Reinvestment Grants Program**

C.E.A. - B	-	-	1.0	-	-	127
Assoc Govtl Program Analyst	-	-	1.0	-	-	63
Staff Svcs Mgr I	-	-	1.0	-	-	75

**Information Technology Cloud Support and Business  
Analysis Requirements**

Staff Info Sys Analyst (Spec)	-	-	1.0	-	-	73
Sys Software Spec III (Tech)	-	-	1.0	-	-	88

**TOTALS, WORKLOAD AND ADMINISTRATIVE  
ADJUSTMENTS**

	-	-	15.0	\$-	\$-	\$1,251
<b>Totals, Adjustments</b>	<b>-11.0</b>	<b>-18.7</b>	<b>-6.1</b>	<b>\$910</b>	<b>\$-1,808</b>	<b>\$197</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>71.4</b>	<b>75.3</b>	<b>87.3</b>	<b>\$7,491</b>	<b>\$5,541</b>	<b>\$6,779</b>

## 0511 Secretary for Government Operations Agency

The Government Operations Agency is responsible for coordinating state operations, including procurement, information technology, and human resources. The mission of the Government Operations Agency is to improve management and accountability of government programs, increase efficiency, and promote better and more coordinated operations decisions. The Government Operations Agency oversees the Department of General Services, Department of Human Resources, Department of Technology, Department of Tax and Fee Administration, Franchise Tax Board, State Personnel Board, Victim Compensation Board, Office of Administrative Law, California Public Employees' Retirement System, and California State Teachers' Retirement System.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0250	Administration of Government Operations Agency	15.9	14.6	16.6	\$3,134	\$3,760	\$4,585
0255	State Planning and Policy Development	-	-	22.0	-	-	90,300
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>15.9</b>	<b>14.6</b>	<b>38.6</b>	<b>\$3,134</b>	<b>\$3,760</b>	<b>\$94,885</b>
<b>FUNDING</b>				<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>	
0001	General Fund			\$1,222	\$1,404	\$91,705	
0995	Reimbursements			1,912	2,356	2,680	
3212	Timber Regulation and Forest Restoration Fund			-	-	500	
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$3,134</b>	<b>\$3,760</b>	<b>\$94,885</b>	

### LEGAL CITATIONS AND AUTHORITY

Government Code section 12803.2.

### MAJOR PROGRAM CHANGES

- State Census - The Budget includes \$90.3 million General Fund to support the California Complete Count effort, which was established within the Government Operations Agency to perform outreach focusing on hard-to-count populations for the decennial census.
- Forest Carbon Plan - The Budget includes \$500,000 from the Timber Regulation and Forest Restoration Fund to administer the California Mass Timber Building Competition.

### DETAILED BUDGET ADJUSTMENTS

		2017-18*			2018-19*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Workload Budget Change Proposals</b>							
• State Census		\$-	\$-	-	\$90,300	\$-	22.0
• Forest Carbon Plan: California Mass Timber Building Competition		-	-	-	-	500	-
• Increased Legal and Administrative Workload		-	-	-	-	323	2.0
<b>Totals, Workload Budget Change Proposals</b>		<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$90,300</b>	<b>\$823</b>	<b>24.0</b>
<b>Other Workload Budget Adjustments</b>							
• Expenditure by Category Redistribution		-299	-	-	-317	-	-
• Budget Position Transparency		299	-	2.0	317	-	2.0
• Salary Adjustments		34	52	-	34	52	-
• Retirement Rate Adjustments		14	21	-	14	21	-
• Benefit Adjustments		12	19	-	13	20	-
<b>Totals, Other Workload Budget Adjustments</b>		<b>\$60</b>	<b>\$92</b>	<b>2.0</b>	<b>\$61</b>	<b>\$93</b>	<b>2.0</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0511 Secretary for Government Operations Agency - Continued**

Totals, Workload Budget Adjustments	\$60	\$92	2.0	\$90,361	\$916	26.0
Totals, Budget Adjustments	\$60	\$92	2.0	\$90,361	\$916	26.0

**DETAILED EXPENDITURES BY PROGRAM**

		2016-17*	2017-18*	2018-19*
<b>PROGRAM REQUIREMENTS</b>				
<b>0250</b>	<b>ADMINISTRATION OF GOVERNMENT OPERATIONS AGENCY</b>			
	<b>State Operations:</b>			
0001	General Fund	\$1,222	\$1,404	\$1,405
0995	Reimbursements	1,912	2,356	2,680
3212	Timber Regulation and Forest Restoration Fund	-	-	500
	<b>Totals, State Operations</b>	<b>\$3,134</b>	<b>\$3,760</b>	<b>\$4,585</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>0255</b>	<b>STATE PLANNING AND POLICY DEVELOPMENT</b>			
	<b>State Operations:</b>			
0001	General Fund	\$-	\$-	\$90,300
	<b>Totals, State Operations</b>	<b>\$-</b>	<b>\$-</b>	<b>\$90,300</b>
<b>TOTALS, EXPENDITURES</b>				
	State Operations	3,134	3,760	94,885
	<b>Totals, Expenditures</b>	<b>\$3,134</b>	<b>\$3,760</b>	<b>\$94,885</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>PERSONAL SERVICES</b>						
Baseline Positions	11.6	12.6	12.6	\$1,227	\$1,335	\$1,335
Budget Position Transparency	-	2.0	2.0	-	299	317
Other Adjustments	4.3	-	2.0	567	86	3,173
<b>Net Totals, Salaries and Wages</b>	<b>15.9</b>	<b>14.6</b>	<b>38.6</b>	<b>\$1,794</b>	<b>\$1,720</b>	<b>\$4,825</b>
Staff Benefits	-	-	-	760	1,016	2,434
<b>Totals, Personal Services</b>	<b>15.9</b>	<b>14.6</b>	<b>38.6</b>	<b>\$2,554</b>	<b>\$2,736</b>	<b>\$7,259</b>
<b>OPERATING EXPENSES AND EQUIPMENT</b>				\$580	\$1,024	\$37,626
<b>SPECIAL ITEMS OF EXPENSES</b>				-	-	50,000
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$3,134</b>	<b>\$3,760</b>	<b>\$94,885</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
<b>0001 General Fund</b>			
<b>APPROPRIATIONS</b>			
001 Budget Act appropriation	\$1,329	\$1,344	\$91,705
Allocation for Employee Compensation	-	34	-
Allocation for Staff Benefits	-	12	-
Budget Position Transparency	-	299	-
Expenditure by Category Redistribution	-	-299	-
Section 3.60 Pension Contribution Adjustment	-	14	-

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**0511 Secretary for Government Operations Agency - Continued**

<b>Totals Available</b>	<b>\$1,329</b>	<b>\$1,404</b>	<b>\$91,705</b>
Unexpended balance, estimated savings	-107	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,222</b>	<b>\$1,404</b>	<b>\$91,705</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$1,912	\$2,356	\$2,680
<b>TOTALS, EXPENDITURES</b>	<b>\$1,912</b>	<b>\$2,356</b>	<b>\$2,680</b>
<b>3212 Timber Regulation and Forest Restoration Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$500
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>\$500</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$3,134</b>	<b>\$3,760</b>	<b>\$94,885</b>

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	11.6	12.6	12.6	\$1,227	\$1,335	\$1,335
Budget Position Transparency	-	2.0	2.0	-	299	317
<b>Salary and Other Adjustments</b>	4.3	-	-	567	86	2975
<b>Workload and Administrative Adjustments</b>						
<b>Increased Legal and Administrative Workload</b>						
Administrative Asst	-	-	1.0	-	-	58
Asst Gen Counsel	-	-	1.0	-	-	140
<b>State Census</b>						
Various	-	-	22.0	-	-	-
<b>TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS</b>	<b>-</b>	<b>-</b>	<b>24.0</b>	<b>\$-</b>	<b>\$-</b>	<b>\$198</b>
<b>Totals, Adjustments</b>	<b>4.3</b>	<b>2.0</b>	<b>26.0</b>	<b>\$567</b>	<b>\$385</b>	<b>\$3,490</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>15.9</b>	<b>14.6</b>	<b>38.6</b>	<b>\$1,794</b>	<b>\$1,720</b>	<b>\$4,825</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0515 Secretary for Business, Consumer Services, and Housing Agency

The Business, Consumer Services and Housing Agency (Agency) was established in 2013. The Agency is responsible for overseeing departments, boards, commissions and agencies that provide the following services to the people of California: protection of the public through the licensing and regulation of more than 100 businesses and 200 professional categories; regulation of financial services and state-licensed financial institutions; enforcement of the sale of alcoholic beverages; regulation of the horse racing industry; protection of civil rights; safe and affordable housing opportunities; and earthquake safety. The Agency is comprised of the following entities: the Department of Consumer Affairs, the Department of Real Estate, the Department of Housing and Community Development, the Department of Fair Employment and Housing, the Department of Business Oversight, the Department of Alcoholic Beverage Control, the Alcoholic Beverage Control Appeals Board, the California Horse Racing Board, the Alfred E. Alquist Seismic Safety Commission, and the Cannabis Control Appeals Panel.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0260	Support	12.7	14.3	21.3	\$2,443	\$3,196	\$504,222
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>12.7</b>	<b>14.3</b>	<b>21.3</b>	<b>\$2,443</b>	<b>\$3,196</b>	<b>\$504,222</b>

  

		2016-17*	2017-18*	2018-19*
0001	General Fund	\$93	\$132	\$500,638
0067	State Corporations Fund	169	219	230
0240	Local Agency Deposit Security Fund	1	1	1
0298	Financial Institutions Fund	83	108	114
0299	Credit Union Fund	25	29	31
0317	Real Estate Fund	-	-	249
0995	Reimbursements	1,836	2,403	2,641
3036	Alcohol Beverage Control Fund	206	265	277
3153	Horse Racing Fund	30	39	41
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$2,443</b>	<b>\$3,196</b>	<b>\$504,222</b>

### LEGAL CITATIONS AND AUTHORITY

Government Code, Sections 12800, 12804, 12804.5, 12855, and 12856.

### DETAILED BUDGET ADJUSTMENTS

		2017-18*			2018-19*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Workload Budget Change Proposals</b>							
• Emergency Aid Block Grants		\$-	\$-	-	\$500,000	\$-	-
• Homelessness Planning		-	-	-	500	-	3.0
• Increased Legal and Programmatic Workload		-	-	-	6	518	4.0
<b>Totals, Workload Budget Change Proposals</b>		<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$500,506</b>	<b>\$518</b>	<b>7.0</b>
<b>Other Workload Budget Adjustments</b>							
• Expenditure by Category Redistribution		-	-126	-	-	-140	-
• Salary Adjustments		3	67	-	3	67	-
• Retirement Rate Adjustments		1	28	-	1	28	-
• Benefit Adjustments		1	25	-	1	27	-
• Budget Position Transparency		-	126	1.3	-	140	1.3
• Miscellaneous Baseline Adjustments		-	40	-	-	40	-
<b>Totals, Other Workload Budget Adjustments</b>		<b>\$5</b>	<b>\$160</b>	<b>1.3</b>	<b>\$5</b>	<b>\$162</b>	<b>1.3</b>
<b>Totals, Workload Budget Adjustments</b>		<b>\$5</b>	<b>\$160</b>	<b>1.3</b>	<b>\$500,511</b>	<b>\$680</b>	<b>8.3</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0515 Secretary for Business, Consumer Services, and Housing Agency - Continued

Totals, Budget Adjustments	\$5	\$160	1.3	\$500,511	\$680	8.3
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### DETAILED EXPENDITURES BY PROGRAM

		2016-17*	2017-18*	2018-19*
	<b>PROGRAM REQUIREMENTS</b>			
<b>0260</b>	<b>SUPPORT</b>			
	<b>State Operations:</b>			
0001	General Fund	\$93	\$132	\$638
0067	State Corporations Fund	169	219	230
0240	Local Agency Deposit Security Fund	1	1	1
0298	Financial Institutions Fund	83	108	114
0299	Credit Union Fund	25	29	31
0317	Real Estate Fund	-	-	249
0995	Reimbursements	1,836	2,403	2,641
3036	Alcohol Beverage Control Fund	206	265	277
3153	Horse Racing Fund	30	39	41
	<b>Totals, State Operations</b>	<b>\$2,443</b>	<b>\$3,196</b>	<b>\$4,222</b>
	<b>Local Assistance:</b>			
0001	General Fund	\$-	\$-	\$500,000
	<b>Totals, Local Assistance</b>	<b>\$-</b>	<b>\$-</b>	<b>\$500,000</b>
	<b>TOTALS, EXPENDITURES</b>			
	State Operations	2,443	3,196	4,222
	Local Assistance	-	-	500,000
	<b>Totals, Expenditures</b>	<b>\$2,443</b>	<b>\$3,196</b>	<b>\$504,222</b>

### EXPENDITURES BY CATEGORY

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>1 State Operations</b>						
PERSONAL SERVICES						
Baseline Positions	13.0	13.0	13.0	\$1,471	\$1,477	\$1,477
Budget Position Transparency	-	1.3	1.3	-	126	140
Other Adjustments	-0.3	-	7.0	-88	70	689
<b>Net Totals, Salaries and Wages</b>	<b>12.7</b>	<b>14.3</b>	<b>21.3</b>	<b>\$1,383</b>	<b>\$1,673</b>	<b>\$2,306</b>
Staff Benefits	-	-	-	465	816	997
<b>Totals, Personal Services</b>	<b>12.7</b>	<b>14.3</b>	<b>21.3</b>	<b>\$1,848</b>	<b>\$2,489</b>	<b>\$3,303</b>
OPERATING EXPENSES AND EQUIPMENT				\$595	\$707	\$919
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$2,443</b>	<b>\$3,196</b>	<b>\$4,222</b>

	Expenditures		
	2016-17*	2017-18*	2018-19*
<b>2 Local Assistance</b>			
Grants and Subventions - Governmental	\$-	\$-	\$500,000
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$-</b>	<b>\$-</b>	<b>\$500,000</b>

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

<b>1 STATE OPERATIONS</b>	2016-17*	2017-18*	2018-19*
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\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.



## 0515 Secretary for Business, Consumer Services, and Housing Agency - Continued

### 0001 General Fund

#### APPROPRIATIONS

001 Budget Act appropriation	\$124	\$127	\$638
Allocation for Employee Compensation	-	3	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	1	-

#### Totals Available

	\$124	\$132	\$638
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Unexpended balance, estimated savings	-31	-	-
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#### TOTALS, EXPENDITURES

	\$93	\$132	\$638
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### 0067 State Corporations Fund

#### APPROPRIATIONS

001 Budget Act appropriation	\$209	\$210	\$230
Allocation for Employee Compensation	-	5	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	2	-

#### Totals Available

	\$209	\$219	\$230
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Unexpended balance, estimated savings	-40	-	-
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#### TOTALS, EXPENDITURES

	\$169	\$219	\$230
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### 0240 Local Agency Deposit Security Fund

#### APPROPRIATIONS

001 Budget Act appropriation	\$1	\$1	\$1
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#### TOTALS, EXPENDITURES

	\$1	\$1	\$1
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### 0298 Financial Institutions Fund

#### APPROPRIATIONS

001 Budget Act appropriation	\$103	\$104	\$114
Allocation for Employee Compensation	-	2	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	1	-

#### Totals Available

	\$103	\$108	\$114
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Unexpended balance, estimated savings	-20	-	-
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#### TOTALS, EXPENDITURES

	\$83	\$108	\$114
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### 0299 Credit Union Fund

#### APPROPRIATIONS

001 Budget Act appropriation	\$29	\$29	\$31
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#### Totals Available

	\$29	\$29	\$31
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Unexpended balance, estimated savings	-4	-	-
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#### TOTALS, EXPENDITURES

	\$25	\$29	\$31
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### 0317 Real Estate Fund

#### APPROPRIATIONS

001 Budget Act appropriation	-	-	\$249
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#### TOTALS, EXPENDITURES

	-	-	\$249
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### 0995 Reimbursements

#### APPROPRIATIONS

Reimbursements	\$1,836	\$2,403	\$2,641
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#### TOTALS, EXPENDITURES

	\$1,836	\$2,403	\$2,641
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### 3036 Alcohol Beverage Control Fund

#### APPROPRIATIONS

001 Budget Act appropriation	\$253	\$254	\$277
Allocation for Employee Compensation	-	6	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	2	-

#### Totals Available

	\$253	\$265	\$277
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\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0515 Secretary for Business, Consumer Services, and Housing Agency - Continued

Unexpended balance, estimated savings	-47	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$206</b>	<b>\$265</b>	<b>\$277</b>
<b>3153 Horse Racing Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$37	\$38	\$41
Allocation for Employee Compensation	-	1	-
<b>Totals Available</b>	<b>\$37</b>	<b>\$39</b>	<b>\$41</b>
Unexpended balance, estimated savings	-7	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$30</b>	<b>\$39</b>	<b>\$41</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$2,443</b>	<b>\$3,196</b>	<b>\$4,222</b>

**2 LOCAL ASSISTANCE**

2016-17\* 2017-18\* 2018-19\*

**0001 General Fund**

APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$500,000
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>\$500,000</b>
<b>Total Expenditures, All Funds, (Local Assistance)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$500,000</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$2,443</b>	<b>\$3,196</b>	<b>\$504,222</b>

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	13.0	13.0	13.0	\$1,471	\$1,477	\$1,477
Budget Position Transparency	-	1.3	1.3	-	126	140
<b>Salary and Other Adjustments</b>	-0.3	-	-	-88	70	70
<b>Workload and Administrative Adjustments</b>						
<b>Homelessness Planning</b>						
Various	-	-	3.0	-	-	300
<b>Increased Legal and Programmatic Workload</b>						
Assoc Govtl Program Analyst	-	-	2.0	-	-	132
Atty III	-	-	1.0	-	-	120
Various	-	-	1.0	-	-	67
<b>TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS</b>	<b>-</b>	<b>-</b>	<b>7.0</b>	<b>\$-</b>	<b>\$-</b>	<b>\$619</b>
<b>Totals, Adjustments</b>	<b>-0.3</b>	<b>1.3</b>	<b>8.3</b>	<b>\$-88</b>	<b>\$196</b>	<b>\$829</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>12.7</b>	<b>14.3</b>	<b>21.3</b>	<b>\$1,383</b>	<b>\$1,673</b>	<b>\$2,306</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0521 Secretary for Transportation Agency

The California State Transportation Agency develops and coordinates the policies and programs of the state's transportation entities to achieve the state's mobility, safety and environmental sustainability objectives.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0270	Administration of Transportation Agency	22.0	26.8	26.8	\$3,916	\$9,696	\$25,810
0275	California Traffic Safety Program	28.6	32.0	32.0	98,052	118,563	97,058
0276	Transit and Intercity Rail Capital Program	0.9	1.2	1.2	219,994	503,786	288,138
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>51.5</b>	<b>60.0</b>	<b>60.0</b>	<b>\$321,962</b>	<b>\$632,045</b>	<b>\$411,006</b>

  

		2016-17*	2017-18*	2018-19*
0001	General Fund	\$-	\$3,500	\$20,500
0044	Motor Vehicle Account, State Transportation Fund	2,425	4,512	3,070
0046	Public Transportation Account, State Transportation Fund	5	34,915	42,922
0890	Federal Trust Fund	97,592	116,249	96,743
0995	Reimbursements	1,951	3,998	2,555
3228	Greenhouse Gas Reduction Fund	219,989	468,871	245,216
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$321,962</b>	<b>\$632,045</b>	<b>\$411,006</b>

### LEGAL CITATIONS AND AUTHORITY

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

### MAJOR PROGRAM CHANGES

- Road Repair and Accountability Act of 2017 – The Budget includes \$43 million in new revenues for intercity rail and commuter rail service.
- The Budget includes \$20.5 million General Fund for four new projects added by the Legislature – \$8 million to Los Angeles County Metropolitan Transportation Agency for the Rivers to Rails Project, \$6.5 million to Merced County for the California AutoTech Testing and Development Project, \$5 million to the Metropolitan Transportation Commission for the Fremont Shinn Station feasibility study, and \$1 million to the Alameda-Contra Costa Transit District for Oakland Unified School District bus services.

### DETAILED BUDGET ADJUSTMENTS

		2017-18*			2018-19*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Workload Budget Change Proposals</b>							
• River to Rails Project		\$-	\$-	-	\$8,000	\$-	-
• California AutoTech Testing and Development Project		-	-	-	6,500	-	-
• Shinn Station Feasibility Study		-	-	-	5,000	-	-
• Oakland Unified School District Bus Service		-	-	-	1,000	-	-
<b>Totals, Workload Budget Change Proposals</b>		<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$20,500</b>	<b>\$-</b>	<b>-</b>
<b>Other Workload Budget Adjustments</b>							
• Expenditure by Category Redistribution		-	-384	-	-	-384	-
• Miscellaneous Baseline Adjustments		-	136,821	-	-	138,582	-
• Budget Position Transparency		-	384	6.0	-	384	6.0

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0521 Secretary for Transportation Agency - Continued**

• Salary Adjustments	-	202	-	-	202	-
• Benefit Adjustments	-	84	-	-	92	-
• Retirement Rate Adjustments	-	86	-	-	86	-
• Carryover/Reappropriation	-	214,791	-	-	-	-
• SWCAP	-	-	-	-	-9	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$351,984</b>	<b>6.0</b>	<b>\$-</b>	<b>\$138,953</b>	<b>6.0</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$351,984</b>	<b>6.0</b>	<b>\$20,500</b>	<b>\$138,953</b>	<b>6.0</b>
<b>Totals, Budget Adjustments</b>	<b>\$-</b>	<b>\$351,984</b>	<b>6.0</b>	<b>\$20,500</b>	<b>\$138,953</b>	<b>6.0</b>

**PROGRAM DESCRIPTIONS****0270 - ADMINISTRATION OF TRANSPORTATION AGENCY**

The Administration of the Transportation Agency, under direction of the Secretary, advises the Governor on major policy and program matters and provides oversight and support of the Agency's departments and programs.

**0275 - CALIFORNIA TRAFFIC SAFETY PROGRAM**

The California Traffic Safety Program develops the California Highway Safety Plan. The plan uses available state and federal resources to identify and address major traffic safety problems throughout the state.

**0276 - TRANSIT AND INTERCITY RAIL CAPITAL PROGRAM**

The Transit and Intercity Rail Capital Program provides grants to encourage low carbon transit operations through the Transit, Affordable Housing, and Sustainable Communities Program. The program goals include reducing greenhouse gas emissions, improving mobility access across the state, and providing benefits to California's disadvantaged communities.

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0270</b>	<b>ADMINISTRATION OF TRANSPORTATION AGENCY</b>			
	<b>State Operations:</b>			
0044	Motor Vehicle Account, State Transportation Fund	\$1,965	\$2,998	\$2,555
0890	Federal Trust Fund	-	200	200
0995	Reimbursements	1,951	2,998	2,555
	<b>Totals, State Operations</b>	<b>\$3,916</b>	<b>\$6,196</b>	<b>\$5,310</b>
	<b>Local Assistance:</b>			
0001	General Fund	\$-	\$3,500	\$20,500
	<b>Totals, Local Assistance</b>	<b>\$-</b>	<b>\$3,500</b>	<b>\$20,500</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0275</b>	<b>CALIFORNIA TRAFFIC SAFETY PROGRAM</b>			
	<b>State Operations:</b>			
0044	Motor Vehicle Account, State Transportation Fund	\$460	\$1,514	\$515
0890	Federal Trust Fund	60,386	66,635	59,750
0995	Reimbursements	-	1,000	-
	<b>Totals, State Operations</b>	<b>\$60,846</b>	<b>\$69,149</b>	<b>\$60,265</b>
	<b>Local Assistance:</b>			
0890	Federal Trust Fund	\$37,206	\$49,414	\$36,793
	<b>Totals, Local Assistance</b>	<b>\$37,206</b>	<b>\$49,414</b>	<b>\$36,793</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0276</b>	<b>TRANSIT AND INTERCITY RAIL CAPITAL PROGRAM</b>			
	<b>State Operations:</b>			
0046	Public Transportation Account, State Transportation Fund	\$5	\$6	\$6

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**0521 Secretary for Transportation Agency - Continued**

3228	Greenhouse Gas Reduction Fund	62	70	70
<b>Totals, State Operations</b>		<b>\$67</b>	<b>\$76</b>	<b>\$76</b>
<b>Local Assistance:</b>				
0046	Public Transportation Account, State Transportation Fund	\$-	\$34,909	\$42,916
3228	Greenhouse Gas Reduction Fund	219,927	468,801	245,146
<b>Totals, Local Assistance</b>		<b>\$219,927</b>	<b>\$503,710</b>	<b>\$288,062</b>
<b>TOTALS, EXPENDITURES</b>				
State Operations		64,829	75,421	65,651
Local Assistance		257,133	556,624	345,355
<b>Totals, Expenditures</b>		<b>\$321,962</b>	<b>\$632,045</b>	<b>\$411,006</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	54.0	54.0	54.0	\$4,558	\$4,510	\$4,510
Budget Position Transparency	-	6.0	6.0	-	384	384
Other Adjustments	-2.5	-	-	-50	192	292
<b>Net Totals, Salaries and Wages</b>	<b>51.5</b>	<b>60.0</b>	<b>60.0</b>	<b>\$4,508</b>	<b>\$5,086</b>	<b>\$5,186</b>
Staff Benefits	-	-	-	2,444	2,659	2,669
<b>Totals, Personal Services</b>	<b>51.5</b>	<b>60.0</b>	<b>60.0</b>	<b>\$6,952</b>	<b>\$7,745</b>	<b>\$7,855</b>
OPERATING EXPENSES AND EQUIPMENT				\$2,018	\$6,955	\$3,954
SPECIAL ITEMS OF EXPENSES				55,859	60,721	53,842
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$64,829</b>	<b>\$75,421</b>	<b>\$65,651</b>

2 Local Assistance	Expenditures		
	2016-17*	2017-18*	2018-19*
Consulting and Professional Services - Interdepartmental - Other	-\$409	-\$484	-\$484
Grants and Subventions - Governmental	257,542	557,108	345,839
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$257,133</b>	<b>\$556,624</b>	<b>\$345,355</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
<b>0044 Motor Vehicle Account, State Transportation Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,952	\$2,947	\$3,070
Allocation for Employee Compensation	-	65	-
Allocation for Staff Benefits	-	26	-
Budget Act of 2017, Item 0521-001-0044 Reimbursements	-	1,445	-
Budget Position Transparency	-	384	-
Expenditure by Category Redistribution	-	-384	-
Section 3.60 Pension Contribution Adjustment	-	29	-
<b>Totals Available</b>	<b>\$2,952</b>	<b>\$4,512</b>	<b>\$3,070</b>
Unexpended balance, estimated savings	-527	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,425</b>	<b>\$4,512</b>	<b>\$3,070</b>
<b>0046 Public Transportation Account, State Transportation Fund</b>			

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**0521 Secretary for Transportation Agency - Continued**

## APPROPRIATIONS

001 Budget Act appropriation	\$6	\$6	\$6
<b>Totals Available</b>	<b>\$6</b>	<b>\$6</b>	<b>\$6</b>
Unexpended balance, estimated savings	-1	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$5</b>	<b>\$6</b>	<b>\$6</b>

**0890 Federal Trust Fund**

## APPROPRIATIONS

001 Budget Act appropriation	\$5,901	\$5,965	\$6,108
Allocation for Employee Compensation	-	81	-
Allocation for Staff Benefits	-	36	-
Section 3.60 Pension Contribution Adjustment	-	32	-
002 Budget Act appropriation	53,842	53,842	53,842
Prior Year Balances Available:			
Item 0521-002-0890, Budget Act of 2015	8,896	-	-
Item 0521-002-0890, Budget Act of 2016	-	6,879	-
<b>Totals Available</b>	<b>\$68,639</b>	<b>\$66,835</b>	<b>\$59,950</b>
Unexpended balance, estimated savings	-1,374	-	-
Balance available in subsequent years	-6,879	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$60,386</b>	<b>\$66,835</b>	<b>\$59,950</b>

**0995 Reimbursements**

## APPROPRIATIONS

Reimbursements	\$1,951	\$3,998	\$2,555
<b>TOTALS, EXPENDITURES</b>	<b>\$1,951</b>	<b>\$3,998</b>	<b>\$2,555</b>

**3228 Greenhouse Gas Reduction Fund**

## APPROPRIATIONS

001 Budget Act appropriation	\$72	\$69	\$70
Section 3.60 Pension Contribution Adjustment	-	1	-
<b>Totals Available</b>	<b>\$72</b>	<b>\$70</b>	<b>\$70</b>
Unexpended balance, estimated savings	-10	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$62</b>	<b>\$70</b>	<b>\$70</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$64,829</b>	<b>\$75,421</b>	<b>\$65,651</b>

**2 LOCAL ASSISTANCE****2016-17\* 2017-18\* 2018-19\*****0001 General Fund**

## APPROPRIATIONS

101 Budget Act appropriation	-	\$3,500	\$12,500
Pending Legislation	-	-	8,000
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>\$3,500</b>	<b>\$20,500</b>

**0046 Public Transportation Account, State Transportation Fund**

## APPROPRIATIONS

101 Budget Act appropriation	\$9,000	-	-
Public Utilities Code section 99312.3	-	25,020	42,916
Revenue Update for Public Utilities Code Section 99312.3	-	889	-
Prior Year Balances Available:			
Item 0521-101-0046, Budget Act of 2016	-	9,000	-
<b>Totals Available</b>	<b>\$9,000</b>	<b>\$34,909</b>	<b>\$42,916</b>
Balance available in subsequent years	-9,000	-	-
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>\$34,909</b>	<b>\$42,916</b>

**0890 Federal Trust Fund**

## APPROPRIATIONS

101 Budget Act appropriation	\$36,793	\$36,793	\$36,793
Prior Year Balances Available:			

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**0521 Secretary for Transportation Agency - Continued**

Item 0521-101-0890, Budget Act of 2015	13,034	-	-
Item 0521-101-0890, Budget Act of 2016	-	12,621	-
<b>Totals Available</b>	<b>\$49,827</b>	<b>\$49,414</b>	<b>\$36,793</b>
Balance available in subsequent years	-12,621	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$37,206</b>	<b>\$49,414</b>	<b>\$36,793</b>
<b>3228 Greenhouse Gas Reduction Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation as added by Chapter 370, Statutes of 2016	\$135,000	-	-
Health and Safety Code section 39719(b)(1)(A)	89,217	283,064	245,700
Carryover	182,472	51,291	-
Consulting and Professional Services	-471	-554	-554
Prior Year Balances Available:			
Item 0521-101-3228, Budget Act of 2016 as added by Chapter 370, Statutes of 2016	-	135,000	-
<b>Totals Available</b>	<b>\$406,218</b>	<b>\$468,801</b>	<b>\$245,146</b>
Balance available in subsequent years	-186,291	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$219,927</b>	<b>\$468,801</b>	<b>\$245,146</b>
<b>Total Expenditures, All Funds, (Local Assistance)</b>	<b>\$257,133</b>	<b>\$556,624</b>	<b>\$345,355</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$321,962</b>	<b>\$632,045</b>	<b>\$411,006</b>

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	54.0	54.0	54.0	\$4,558	\$4,510	\$4,510
Budget Position Transparency	-	6.0	6.0	-	384	384
<b>Salary and Other Adjustments</b>	-2.5	-	-	-50	192	292
<b>Totals, Adjustments</b>	<b>-2.5</b>	<b>6.0</b>	<b>6.0</b>	<b>\$-50</b>	<b>\$576</b>	<b>\$676</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>51.5</b>	<b>60.0</b>	<b>60.0</b>	<b>\$4,508</b>	<b>\$5,086</b>	<b>\$5,186</b>

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## 0530 Secretary for California Health and Human Services Agency

The primary mission of the Health and Human Services Agency (HHSA) is to provide policy leadership and direction to the departments, board and programs it oversees, to reduce duplication and fragmentation among HHSA departments in policy development and implementation, to improve coordination among departments on common programs, to ensure programmatic integrity, and to advance the Governor's priorities on health and human services issues.

The HHSA accomplishes its mission through the administration and coordination of state and federal programs for public health, health care services, social services, public assistance, health planning and licensing, and rehabilitation. These programs touch the lives of millions of California's most needy and vulnerable residents. The HHSA is committed to striking a balance between maintaining access to essential health and human services for California's most disadvantaged and at-risk residents while pursuing ways to better manage and control costs.

The following departments and entities fall under the purview of the HHSA:

- Department of Aging
- Department of Child Support Services
- Department of Community Services and Development
- Department of Developmental Services
- Emergency Medical Services Authority
- Department of Health Care Services
- Department of Managed Health Care
- Department of Public Health
- Department of Rehabilitation
- Department of Social Services
- Department of State Hospitals
- Office of Statewide Health Planning and Development

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0280	Secretary of California Health and Human Services	43.4	29.4	28.4	\$7,658	\$11,050	\$13,510
0285	California Office of Health Information Integrity (CALOHII)	4.2	8.2	9.2	2,297	1,711	1,730
0290	Office of Systems Integration	277.2	311.6	315.6	351,258	447,692	450,951
0295	Office of the Patient Advocate	6.2	10.2	10.2	1,769	2,106	2,107
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>331.0</b>	<b>359.4</b>	<b>363.4</b>	<b>\$362,982</b>	<b>\$462,559</b>	<b>\$468,298</b>
<b>FUNDING</b>				<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>	
0001	General Fund			\$3,695	\$4,090	\$10,508	
0890	Federal Trust Fund			1,129	3,000	-	
0942	Special Deposit Fund			671	-	-	
0995	Reimbursements			2,956	3,817	3,851	
3209	Office of Patient Advocate Trust Fund			1,769	2,106	2,107	
9740	Central Service Cost Recovery Fund			2,002	2,368	1,395	
9745	California Health and Human Services Automation Fund			350,760	447,178	450,437	
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$362,982</b>	<b>\$462,559</b>	<b>\$468,298</b>	

### LEGAL CITATIONS AND AUTHORITY

0280-Secretary for Health and Human Services:

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.



**0530 Secretary for California Health and Human Services Agency - Continued**

0285-The California Office of Health Information Integrity:

Health and Safety Code Division 110, Section 130300 et seq. Health and Safety Code Section 130200 et seq, 130250 et seq, 130275 et seq.

0290-Office of Systems Integration:

Government Code, Title 2, Division 3, Part 2.5, Section 12803.3.

0295-Office of the Patient Advocate:

Health and Safety Code Division 115, Section 136000 et seq.

**MAJOR PROGRAM CHANGES**

- The Budget includes one-time funding of \$5 million General Fund to create the Council on Health Care Delivery Systems to develop options for achieving expanded coverage and an efficient health care financing system, continuing the state's progress toward providing health coverage for all Californians.

**DETAILED BUDGET ADJUSTMENTS**

	2017-18*			2018-19*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Workload Budget Change Proposals</b>						
• Rightsizing the Office of Law Enforcement Support	\$-	\$-	-	\$620	\$-	1.0
• Medi-Cal Eligibility Data System Modernization Project Multi-Departmental Team	-	-	-	-	7,350	4.0
• eWIC MIS Project Expenditure Increase	-	-	-	-	4,808	-
• Electronic Visit Verification Multi-Departmental Planning Team	-	-	-	-	143	-
• Extend LT Position for HIPAA Compliance and Technical Assistance	-	-	-	-	128	1.0
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$620</b>	<b>\$12,429</b>	<b>6.0</b>
<b>Other Workload Budget Adjustments</b>						
• Allocation for Other Post-Employment Benefits	11	5	-	11	5	-
• Expenditure by Category Redistribution	-563	1,622	-	-648	-112	-
• Miscellaneous Baseline Adjustments	-	3,429	-	975	-3,536	-2.0
• Budget Position Transparency	563	-1,622	-14.2	648	112	-14.2
• Salary Adjustments	109	1,223	-	109	1,223	-
• Benefit Adjustments	39	509	-	40	563	-
• Retirement Rate Adjustments	40	521	-	40	521	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$199</b>	<b>\$5,687</b>	<b>-14.2</b>	<b>\$1,175</b>	<b>\$-1,224</b>	<b>-16.2</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$199</b>	<b>\$5,687</b>	<b>-14.2</b>	<b>\$1,795</b>	<b>\$11,205</b>	<b>-10.2</b>
<b>Policy Adjustments</b>						
• Council on Health Care Delivery Systems	-	-	-	5,000	-	-
<b>Totals, Policy Adjustments</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>5,000</b>	<b>-</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>	<b>\$199</b>	<b>\$5,687</b>	<b>-14.2</b>	<b>\$6,795</b>	<b>\$11,205</b>	<b>-10.2</b>

**PROGRAM DESCRIPTIONS**

0280 - SECRETARY FOR HEALTH AND HUMAN SERVICES

The Secretary for California Health and Human Services Agency provides the Governor with the highest level of advice on state health, human services and related budget policy issues. The Secretary also provides the highest level of leadership and

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**0530 Secretary for California Health and Human Services Agency - Continued**

oversight of the agency-wide efforts to promote the health and well-being of a growing and increasingly diverse California population.

**0285 - THE CALIFORNIA OFFICE OF HEALTH INFORMATION INTEGRITY**

The California Office of Health Information Integrity (CalOHII) is responsible for statewide leadership, coordination, policy formation, direction, and oversight responsibilities for federal Health Insurance Portability and Accountability Act (HIPAA) requirements. As the leading resource for providing HIPAA expertise to the State of California, CalOHII exercises full authority relative to state entities to establish policy, provide direction to state entities, monitor progress and report on implementation efforts based on state and federal laws.

**0290 - OFFICE OF SYSTEMS INTEGRATION**

This Office provides project management services for automation projects for the Health and Human Services Agency, the Department of Social Services, the Department of Health Care Services, the Department of Public Health, and the Health Benefit Exchange, including:

- Appeals Case Management System
- California Healthcare Eligibility, Enrollment and Retention System Project.
- Case Management, Information and Payrolling System II
- Child Welfare Services/Case Management System
- Child Welfare Services - New System
- Electronic Benefit Transfer System
- Electronic Women, Infants and Children Management Information System Project
- Medi-Cal Eligibility Data System Modernization
- Statewide Automated Welfare System
- Statewide Fingerprint Imaging System
- Welfare Data Tracking Implementation Project

**0295 - OFFICE OF THE PATIENT ADVOCATE**

The mission of the Office of the Patient Advocate is to improve the access to and quality of health care services by promoting transparency and accountability. The Office of the Patient Advocate is mandated to produce a number of public reports on health care service topics. Current reporting activities include:

- Online Annual Health Care Quality Report Cards on health plans and medical groups,
- A Baseline Review and annual report on the State Consumer Assistance Call Centers and health care complaint data,
- Online Timely Access Compliance report on California Department of Managed Health Care health care services plans and providers, and
- Model protocols for State Consumer Assistance Call Centers.

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0280</b>	<b>SECRETARY OF CALIFORNIA HEALTH AND HUMAN SERVICES</b>			
	<b>State Operations:</b>			
0001	General Fund	\$2,635	\$3,230	\$9,704
0890	Federal Trust Fund	1,129	3,000	-
0995	Reimbursements	1,892	2,452	2,411
9740	Central Service Cost Recovery Fund	2,002	2,368	1,395
	<b>Totals, State Operations</b>	<b>\$7,658</b>	<b>\$11,050</b>	<b>\$13,510</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0285</b>	<b>CALIFORNIA OFFICE OF HEALTH INFORMATION INTEGRITY (CALOHII)</b>			

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**0530 Secretary for California Health and Human Services Agency - Continued**

State Operations:				
0001	General Fund	\$1,060	\$860	\$804
0942	Special Deposit Fund	671	-	-
0995	Reimbursements	566	851	926
Totals, State Operations		\$2,297	\$1,711	\$1,730
PROGRAM REQUIREMENTS				
0290 OFFICE OF SYSTEMS INTEGRATION				
State Operations:				
0995	Reimbursements	498	514	514
9745	California Health and Human Services Automation Fund	350,760	447,178	450,437
Totals, State Operations		\$351,258	\$447,692	\$450,951
PROGRAM REQUIREMENTS				
0295 OFFICE OF THE PATIENT ADVOCATE				
State Operations:				
3209	Office of Patient Advocate Trust Fund	\$1,769	\$2,106	\$2,107
Totals, State Operations		\$1,769	\$2,106	\$2,107
TOTALS, EXPENDITURES				
State Operations		362,982	462,559	468,298
Totals, Expenditures		\$362,982	\$462,559	\$468,298

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	300.6	373.6	373.6	\$27,221	\$30,901	\$30,254
Budget Position Transparency	-	-14.2	-14.2	-	-1,059	760
Other Adjustments	30.4	-	4.0	1,992	1,712	2,157
<b>Net Totals, Salaries and Wages</b>	<b>331.0</b>	<b>359.4</b>	<b>363.4</b>	<b>\$29,213</b>	<b>\$31,554</b>	<b>\$33,171</b>
Staff Benefits	-	-	-	13,365	18,804	19,231
<b>Totals, Personal Services</b>	<b>331.0</b>	<b>359.4</b>	<b>363.4</b>	<b>\$42,578</b>	<b>\$50,358</b>	<b>\$52,402</b>
OPERATING EXPENSES AND EQUIPMENT				\$320,404	\$412,201	\$415,896
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$362,982</b>	<b>\$462,559</b>	<b>\$468,298</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,064	\$3,048	\$9,704
Allocation for Employee Compensation	-	102	-
Allocation for Other Post-Employment Benefits	-	11	-
Allocation for Staff Benefits	-	35	-
Budget Position Transparency	-	563	-
Employer Retirement Contributions	-	37	-
Expenditure by Category Redistribution	-	-563	-
017 Budget Act appropriation	1,100	843	804
Allocation for Employee Compensation	-	7	-

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**0530 Secretary for California Health and Human Services Agency - Continued**

Allocation for Staff Benefits	-	4	-
Employer Retirement Contributions	-	3	-
<b>Totals Available</b>	<b>\$4,164</b>	<b>\$4,090</b>	<b>\$10,508</b>
Unexpended balance, estimated savings	-469	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$3,695</b>	<b>\$4,090</b>	<b>\$10,508</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,000	\$3,000	-
<b>Totals Available</b>	<b>\$3,000</b>	<b>\$3,000</b>	-
Unexpended balance, estimated savings	-1,871	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,129</b>	<b>\$3,000</b>	-
<b>0942 Special Deposit Fund</b>			
APPROPRIATIONS			
017 Budget Act appropriation	\$800	-	-
<b>Totals Available</b>	<b>\$800</b>	-	-
Unexpended balance, estimated savings	-129	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$671</b>	-	-
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$2,956	\$3,817	\$3,851
<b>TOTALS, EXPENDITURES</b>	<b>\$2,956</b>	<b>\$3,817</b>	<b>\$3,851</b>
<b>3209 Office of Patient Advocate Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,083	\$2,072	\$2,107
Allocation for Employee Compensation	-	20	-
Allocation for Staff Benefits	-	6	-
Employer Retirement Contributions	-	8	-
<b>Totals Available</b>	<b>\$2,083</b>	<b>\$2,106</b>	<b>\$2,107</b>
Unexpended balance, estimated savings	-314	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,769</b>	<b>\$2,106</b>	<b>\$2,107</b>
<b>9740 Central Service Cost Recovery Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,002	\$2,299	\$1,395
Allocation for Employee Compensation	-	33	-
Allocation for Staff Benefits	-	12	-
Employer Retirement Contributions	-	24	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,002</b>	<b>\$2,368</b>	<b>\$1,395</b>
<b>9745 California Health and Human Services Automation Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$389,873	\$442,189	\$450,437
Allocation for Employee Compensation	-	1,109	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	466	-
Budget Position Transparency	-	-1,622	-
Employer Retirement Contributions	-	462	-
Expenditure by Category Redistribution	-	1,622	-
Revised Expenditure Authority per Provision 1	-	2,947	-
<b>Totals Available</b>	<b>\$389,873</b>	<b>\$447,178</b>	<b>\$450,437</b>
Unexpended balance, estimated savings	-39,113	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$350,760</b>	<b>\$447,178</b>	<b>\$450,437</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$362,982</b>	<b>\$462,559</b>	<b>\$468,298</b>

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**0530 Secretary for California Health and Human Services Agency - Continued****FUND CONDITION STATEMENTS**

	2016-17*	2017-18*	2018-19*
<b>3209 Office of Patient Advocate Trust Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$1,438	\$1,769	\$1,733
Prior Year Adjustments	31	-	-
Adjusted Beginning Balance	\$1,469	\$1,769	\$1,733
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	16	16	16
Transfers and Other Adjustments			
Revenue Transfer from Insurance Fund (0217) to Office of Patient Advocate Trust Fund (3209) per Chapter 552, Statutes of 2011	208	165	154
Revenue Transfer from Managed Care Fund (0933) to Office of Patient Advocate Trust Fund (3209) per Chapter 552, Statutes of 2011	1,869	1,898	1,953
Total Revenues, Transfers, and Other Adjustments	\$2,093	\$2,079	\$2,123
Total Resources	\$3,562	\$3,848	\$3,856
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0530 Secretary for California Health and Human Services Agency (State Operations)	1,769	2,106	2,107
8880 Financial Information System for California (State Operations)	3	2	-
9892 Supplemental Pension Payments (State Operations)	-	-	13
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	21	7	10
Total Expenditures and Expenditure Adjustments	\$1,793	\$2,115	\$2,130
FUND BALANCE	\$1,769	\$1,733	\$1,726
Reserve for economic uncertainties	1,769	1,733	1,726

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	300.6	373.6	373.6	\$27,221	\$30,901	\$30,254
Budget Position Transparency	-	-14.2	-14.2	-	-1,059	760
<b>Salary and Other Adjustments</b>	30.4	-	-2.0	1,992	1,712	1,332
<b>Workload and Administrative Adjustments</b>						
<b>Electronic Visit Verification Multi-Departmental Planning Team</b>						
Sr Info Sys Analyst (Spec)	-	-	-	-	-	84
<b>Extend LT Position for HIPAA Compliance and Technical Assistance</b>						
Staff Svcs Mgr I	-	-	1.0	-	-	75
<b>Medi-Cal Eligibility Data System Modernization Project Multi-Departmental Team</b>						
Assoc Info Sys Analyst (Spec)	-	-	1.0	-	-	70
Atty III	-	-	0.5	-	-	61
Exec Asst	-	-	1.0	-	-	48
Mgmt Svcs Techn	-	-	1.0	-	-	39
Sr Info Sys Analyst (Spec)	-	-	0.5	-	-	42
Temporary Help	-	-	-	-	-	51
<b>Rightsizing the Office of Law Enforcement Support</b>						
Atty IV	-	-	1.0	-	-	129
Investigator	-	-	-	-	-	194
Supvng Special Investigator II	-	-	-	-	-	32

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**0530 Secretary for California Health and Human Services Agency - Continued**

TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	6.0	\$-	\$-	\$825
Totals, Adjustments	30.4	-14.2	-10.2	\$1,992	\$653	\$2,917
TOTALS, SALARIES AND WAGES	331.0	359.4	363.4	\$29,213	\$31,554	\$33,171

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## 0540 Secretary of the Natural Resources Agency

The mission of the Natural Resources Agency is to restore, protect and manage the state's natural, historical and cultural resources for current and future generations using creative approaches and solutions based on science, collaboration and respect for all involved communities. The secretary for Natural Resources, a member of the Governor's Cabinet, sets the policies and coordinates the environmental preservation and restoration activities of 26 various departments, boards, commissions and conservancies, and directly administers the Sea Grant Program, Ocean Protection Council, California Environmental Quality Act, Environmental Enhancement Mitigation Program, River Parkways, Urban Greening, and the California Cultural and Historical Endowment grant programs.

The Natural Resources Agency consists of the departments of Forestry and Fire Protection, Conservation, Fish and Wildlife, Parks and Recreation, and Water Resources; the California Conservation Corps; Exposition Park; California Science Center; California African American Museum; the State Lands Commission; the Colorado River Board; the San Francisco Bay Conservation and Development Commission; the Energy Resources Conservation and Development Commission; the Wildlife Conservation Board; the Delta Protection Commission; the California Coastal Commission; the State Coastal Conservancy; the California Tahoe Conservancy; the Santa Monica Mountains Conservancy; the Coachella Valley Mountains Conservancy; the San Joaquin River Conservancy; the San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy; the Baldwin Hills Conservancy; the San Diego River Conservancy; the Sierra Nevada Conservancy; the Sacramento-San Joaquin Delta Conservancy; the Native American Heritage Commission; and the Special Resources Program.

### 3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0320 Administration of Natural Resources Agency	43.4	40.8	56.9	\$313,890	\$334,428	\$294,787
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>43.4</b>	<b>40.8</b>	<b>56.9</b>	<b>\$313,890</b>	<b>\$334,428</b>	<b>\$294,787</b>
<b>FUNDING</b>				<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
0001 General Fund				\$7,053	\$21,437	\$64,637
0140 California Environmental License Plate Fund				4,564	4,834	21,071
0183 Environmental Enhancement and Mitigation Program Fund				8,805	13,955	7,036
0200 Fish and Game Preservation Fund				-	-	146
0263 Off-Highway Vehicle Trust Fund				-	-	31
0392 State Parks and Recreation Fund				-	-	103
0516 Harbors and Watercraft Revolving Fund				-	-	12
0890 Federal Trust Fund				80	9,213	-
0995 Reimbursements				2,293	1,200	1,608
1018 Lake Tahoe Science and Lake Improvement Account, General Fund				35	150	150
3046 Oil, Gas, and Geothermal Administrative Fund				-	-	146
3117 Alternative and Renewable Fuel and Vehicle Technology Fund				-	-	321
3212 Timber Regulation and Forest Restoration Fund				853	2,466	1,762
3228 Greenhouse Gas Reduction Fund				313	105,987	40,000
3237 Cost of Implementation Account, Air Pollution Control Fund				192	953	278
6015 River Protection Subaccount				-	4,400	-
6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund				4,147	2,541	500
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002				874	1,767	267
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006				21,467	24,158	2,289
6052 Disaster Preparedness and Flood Prevention Bond Fund of 2006				53	10	-
6076 California Ocean Protection Trust Fund				5,757	20,182	6,035
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014				257,404	120,992	1,301
6088 CA Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access				-	-	146,911
8058 California Cultural and Historical Endowment Fund				-	183	183
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$313,890</b>	<b>\$334,428</b>	<b>\$294,787</b>

### LEGAL CITATIONS AND AUTHORITY

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**0540 Secretary of the Natural Resources Agency - Continued**

## DEPARTMENT AUTHORITY

Government Code Sections 12800, 12801, 12802.5, 12805, 12807-12810, 12850-12850.7, 12851-12855. Public Resources Code Sections 32300, 36000, 85000. Education Code Sections 20053, 20080, 20092.

**MAJOR PROGRAM CHANGES**

- Salton Sea Restoration - \$30 million Proposition 68 for the Natural Resources Agency to construct water management infrastructure and habitat conservation and dust mitigation projects, consistent with the Phase I ten-year plan. This funding builds on existing appropriations from Propositions 1, 84, and 50 that will be used to meet the goals of the plan.

**DETAILED BUDGET ADJUSTMENTS**

	2017-18*			2018-19*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Workload Budget Change Proposals</b>						
• Legislative Investments: Los Angeles River Community Restoration and Revitalization Projects	\$-	\$-	-	\$20,000	\$-	-
• Legislative Investments: Cheech Marin Latino Art Museum	-	-	-	9,700	-	-
• Legislative Investments: Lassen Courthouse	-	-	-	8,450	-	-
• Legislative Investments: Ocean Protection Council: Whale and Sea Turtle Entanglement	-	-	-	7,500	-	-
• Legislative Investments: City of San Francisco - Seawall Earthquake Safety and Disaster Prevention Center	-	-	-	5,000	-	-
• Legislative Investments: City of Santa Ana - Advanced Water Metering Infrastructure	-	-	-	4,000	-	-
• Legislative Investments: Camp Taylor Renovations in Stanislaus County	-	-	-	2,000	-	-
• Legislative Investments: City of Daly City - Doelger Center Complex	-	-	-	1,500	-	-
• Information Security Operations	-	-	-	1,312	1,604	6.0
• Legislative Investments: City of Colton - Fireman's Paseo Project	-	-	-	600	-	-
• Legislative Investments: Italian American Museum of Los Angeles	-	-	-	250	-	-
• Legislative Investments: Museum of Lesbian, Gay, Bisexual, Transgender, and Queer (LGBTQ) History and Culture	-	-	-	250	-	-
• Legislative Investments: Stories: The AIDS Monument	-	-	-	250	-	-
• Legislative Investments: Oakland Museum	-	-	-	250	-	-
• Legislative Investments: Muckenthaler Sprinkler System	-	-	-	25	-	-
• Appropriations for Agency Programs (SB 5)	-	-	-	-	57,200	5.0
• Proposition 68: Competitive Grants	-	-	-	-	39,000	-
• Salton Sea Management Program Phase 1 Implementation (SB 5)	-	-	-	-	30,000	-
• Ocean Protection Council - Advancing Ocean and Coastal Health, Productivity and Resiliency (SB 5)	-	-	-	-	20,284	2.0
• Cap and Trade Expenditure Plan: Forest Carbon Plan (Northern, Coastal, and Southern California Regional Forest Health Projects)	-	-	-	-	20,000	-
• Cap and Trade Expenditure Plan: Greenhouse Urban Greening	-	-	-	-	20,000	-
• Ocean Resiliency Program	-	-	-	-	15,000	-

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**0540 Secretary of the Natural Resources Agency - Continued**

• OTC Fees to Complete MPA Mitigation Program	-	-	-	-	5,400	-
• Proposition 68: Sutter Butte Flood Management Agency - Sediment Management	-	-	-	-	5,000	-
• Natural Resources Conservation Monitoring and Stewardship Program	-	-	-	-	700	4.0
• Proposition 1 Bond Auditing	-	-	-	-	540	-
• Technical Adjustments	-	-	-	-	500	-
• Statewide Bond Costs (SB 5)	-	-	-	-	427	2.0
• Implementation of AB 707 - Clear Lake	-	-	-	-	250	-
• Proposition 68 Technical Adjustment	-	-	-	-	-5,000	-
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$61,087</b>	<b>\$210,905</b>	<b>19.0</b>
<b>Other Workload Budget Adjustments</b>						
• Allocation for Other Post-Employment Benefits	-	33	-	-	33	-
• Expenditure by Category Redistribution	-	-96	-	-	-46	-
• Miscellaneous Baseline Adjustments	-	32,134	-	-	40,848	-
• Salary Adjustments	-	198	-	-	198	-
• Retirement Rate Adjustments	-	82	-	-	82	-
• Benefit Adjustments	-	76	-	-	80	-
• Budget Position Transparency	-	96	-8.6	-	46	-11.0
• Carryover/Reappropriation	-	201,201	-	-	-	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$233,724</b>	<b>-8.6</b>	<b>\$-</b>	<b>\$41,241</b>	<b>-11.0</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$233,724</b>	<b>-8.6</b>	<b>\$61,087</b>	<b>\$252,146</b>	<b>8.0</b>
<b>Totals, Budget Adjustments</b>	<b>\$-</b>	<b>\$233,724</b>	<b>-8.6</b>	<b>\$61,087</b>	<b>\$252,146</b>	<b>8.0</b>

**PROGRAM DESCRIPTIONS**

0320 - Administration of Natural Resources Agency

The Natural Resources Agency sets the policies and coordinates the environmental preservation and restoration activities of 26 various departments, boards, commissions, and conservancies, and directly administers the Sea Grant Program, Ocean Protection Council, California Environmental Quality Act, Environmental Enhancement Mitigation Program, River Parkways, Urban Greening, and the California Cultural and Historical Endowment grant programs.

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
<b>PROGRAM REQUIREMENTS</b>				
<b>0320</b>	<b>ADMINISTRATION OF NATURAL RESOURCES AGENCY</b>			
	<b>State Operations:</b>			
0001	General Fund	\$2,553	\$2,599	\$3,862
0140	California Environmental License Plate Fund	4,564	4,834	6,071
0183	Environmental Enhancement and Mitigation Program Fund	288	336	336
0200	Fish and Game Preservation Fund	-	-	146
0263	Off-Highway Vehicle Trust Fund	-	-	31
0392	State Parks and Recreation Fund	-	-	103
0516	Harbors and Watercraft Revolving Fund	-	-	12
0890	Federal Trust Fund	80	9,213	-
0995	Reimbursements	2,293	1,200	1,608
1018	Lake Tahoe Science and Lake Improvement Account, General Fund	35	150	150
3046	Oil, Gas, and Geothermal Administrative Fund	-	-	146
3117	Alternative and Renewable Fuel and Vehicle Technology Fund	-	-	321
3212	Timber Regulation and Forest Restoration Fund	853	2,466	1,762

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0540 Secretary of the Natural Resources Agency - Continued**

3228	Greenhouse Gas Reduction Fund	150	150	-
3237	Cost of Implementation Account, Air Pollution Control Fund	192	953	278
6029	California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund	41	84	-
6031	Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002	174	267	267
6051	Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006	18,579	3,237	2,289
6052	Disaster Preparedness and Flood Prevention Bond Fund of 2006	53	10	-
6076	California Ocean Protection Trust Fund	255	6,035	6,035
6083	Water Quality, Supply, and Infrastructure Improvement Fund of 2014	249,989	91,207	1,301
6088	CA Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access	-	-	31,411
8058	California Cultural and Historical Endowment Fund	-	183	183
<b>Totals, State Operations</b>		<b>\$280,099</b>	<b>\$122,924</b>	<b>\$56,312</b>
<b>Local Assistance:</b>				
0001	General Fund	\$4,500	\$18,838	\$60,775
0140	California Environmental License Plate Fund	-	-	15,000
0183	Environmental Enhancement and Mitigation Program Fund	8,517	13,619	6,700
3228	Greenhouse Gas Reduction Fund	163	105,837	40,000
6015	River Protection Subaccount	-	4,400	-
6029	California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund	4,106	2,457	500
6031	Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002	700	1,500	-
6051	Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006	2,888	20,921	-
6076	California Ocean Protection Trust Fund	5,502	14,147	-
6083	Water Quality, Supply, and Infrastructure Improvement Fund of 2014	7,415	29,785	-
6088	CA Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access	-	-	115,500
<b>Totals, Local Assistance</b>		<b>\$33,791</b>	<b>\$211,504</b>	<b>\$238,475</b>
<b>TOTALS, EXPENDITURES</b>				
State Operations		280,099	122,924	56,312
Local Assistance		33,791	211,504	238,475
<b>Totals, Expenditures</b>		<b>\$313,890</b>	<b>\$334,428</b>	<b>\$294,787</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	43.4	49.4	48.9	\$3,082	\$3,237	\$3,201
Budget Position Transparency	-	-8.6	-11.0	-	96	46
Other Adjustments	-	-	19.0	970	344	1,877
<b>Net Totals, Salaries and Wages</b>	<b>43.4</b>	<b>40.8</b>	<b>56.9</b>	<b>\$4,052</b>	<b>\$3,677</b>	<b>\$5,124</b>
Staff Benefits	-	-	-	1,516	1,708	2,520
<b>Totals, Personal Services</b>	<b>43.4</b>	<b>40.8</b>	<b>56.9</b>	<b>\$5,568</b>	<b>\$5,385</b>	<b>\$7,644</b>
OPERATING EXPENSES AND EQUIPMENT				\$272,314	\$115,062	\$47,141
SPECIAL ITEMS OF EXPENSES				2,217	2,477	1,527
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$280,099</b>	<b>\$122,924</b>	<b>\$56,312</b>

**2 Local Assistance**

Expenditures		
2016-17*	2017-18*	2018-19*

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0540 Secretary of the Natural Resources Agency - Continued**

Consulting and Professional Services - Interdepartmental - Other	\$-	\$20,464	\$-
Grants and Subventions - Governmental	33,791	191,040	238,475
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$33,791</b>	<b>\$211,504</b>	<b>\$238,475</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

<b>1 STATE OPERATIONS</b>	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,099	\$2,599	\$3,862
<b>Totals Available</b>	<b>\$5,099</b>	<b>\$2,599</b>	<b>\$3,862</b>
Unexpended balance, estimated savings	-2,546	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,553</b>	<b>\$2,599</b>	<b>\$3,862</b>
<b>0042 State Highway Account, State Transportation Fund</b>			
APPROPRIATIONS			
002 Budget Act appropriation (transfer to Environmental Enhancement and Mitigation Program Fund)	(\$7,000)	(\$7,000)	(\$7,000)
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>0044 Motor Vehicle Account, State Transportation Fund</b>			
APPROPRIATIONS			
011 Budget Act appropriation (transfer from the Motor Vehicle Account, STF to the California Environmental License Plate Fund)	(-)	(\$6,300)	(-)
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>0140 California Environmental License Plate Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,584	\$4,590	\$6,071
Allocation for Employee Compensation	-	126	-
Allocation for Other Post-Employment Benefits	-	23	-
Allocation for Staff Benefits	-	47	-
Budget Position Transparency	-	96	-
Expenditure by Category Redistribution	-	-96	-
Section 3.60 Pension Contribution Adjustment	-	48	-
<b>Totals Available</b>	<b>\$4,584</b>	<b>\$4,834</b>	<b>\$6,071</b>
Unexpended balance, estimated savings	-20	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$4,564</b>	<b>\$4,834</b>	<b>\$6,071</b>
<b>0183 Environmental Enhancement and Mitigation Program Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$320	\$320	\$336
Allocation for Employee Compensation	-	9	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	4	-
<b>Totals Available</b>	<b>\$320</b>	<b>\$336</b>	<b>\$336</b>
Unexpended balance, estimated savings	-32	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$288</b>	<b>\$336</b>	<b>\$336</b>
<b>0200 Fish and Game Preservation Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$146
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>\$146</b>
<b>0263 Off-Highway Vehicle Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$31
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>\$31</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0540 Secretary of the Natural Resources Agency - Continued****0392 State Parks and Recreation Fund**

## APPROPRIATIONS

001 Budget Act appropriation	-	-	\$103
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<b>TOTALS, EXPENDITURES</b>	-	-	<b>\$103</b>
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**0516 Harbors and Watercraft Revolving Fund**

## APPROPRIATIONS

001 Budget Act appropriation	-	-	\$12
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<b>TOTALS, EXPENDITURES</b>	-	-	<b>\$12</b>
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**0890 Federal Trust Fund**

## APPROPRIATIONS

001 Budget Act appropriation	\$9,215	\$9,213	-
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<b>Totals Available</b>	<b>\$9,215</b>	<b>\$9,213</b>	-
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Unexpended balance, estimated savings	-9,135	-	-
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<b>TOTALS, EXPENDITURES</b>	<b>\$80</b>	<b>\$9,213</b>	-
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**0995 Reimbursements**

## APPROPRIATIONS

Reimbursements	\$2,293	\$1,200	\$1,608
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<b>TOTALS, EXPENDITURES</b>	<b>\$2,293</b>	<b>\$1,200</b>	<b>\$1,608</b>
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**1018 Lake Tahoe Science and Lake Improvement Account, General Fund**

## APPROPRIATIONS

001 Budget Act appropriation	\$150	\$150	\$150
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<b>Totals Available</b>	<b>\$150</b>	<b>\$150</b>	<b>\$150</b>
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Unexpended balance, estimated savings	-115	-	-
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<b>TOTALS, EXPENDITURES</b>	<b>\$35</b>	<b>\$150</b>	<b>\$150</b>
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**3046 Oil, Gas, and Geothermal Administrative Fund**

## APPROPRIATIONS

001 Budget Act appropriation	-	-	\$146
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<b>TOTALS, EXPENDITURES</b>	-	-	<b>\$146</b>
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**3117 Alternative and Renewable Fuel and Vehicle Technology Fund**

## APPROPRIATIONS

001 Budget Act appropriation	-	-	\$321
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<b>TOTALS, EXPENDITURES</b>	-	-	<b>\$321</b>
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**3212 Timber Regulation and Forest Restoration Fund**

## APPROPRIATIONS

001 Budget Act appropriation	\$975	\$2,211	\$1,762
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Allocation for Employee Compensation	-	12	-
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Allocation for Other Post-Employment Benefits	-	3	-
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Allocation for Staff Benefits	-	5	-
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Section 3.60 Pension Contribution Adjustment	-	5	-
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Chapter 340, Statutes of 2016	230	-	-
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Prior Year Balances Available:			
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Chapter 340, Statutes of 2016	-	230	-
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<b>Totals Available</b>	<b>\$1,205</b>	<b>\$2,466</b>	<b>\$1,762</b>
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Unexpended balance, estimated savings	-122	-	-
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Balance available in subsequent years	-230	-	-
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<b>TOTALS, EXPENDITURES</b>	<b>\$853</b>	<b>\$2,466</b>	<b>\$1,762</b>
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**3228 Greenhouse Gas Reduction Fund**

## Prior Year Balances Available:

Public Resources Code section 75200.3 and Health and Safety Code section 39719(b)(1)(C)	-	150	-
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Public Resources Code section 75200.3 and Health and Safety Code section 39719(c)	150	-	-
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<b>TOTALS, EXPENDITURES</b>	<b>\$150</b>	<b>\$150</b>	-
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**3237 Cost of Implementation Account, Air Pollution Control Fund**

**0540 Secretary of the Natural Resources Agency - Continued**

## APPROPRIATIONS

001 Budget Act appropriation	\$258	\$934	\$278
Allocation for Employee Compensation	-	8	-
Allocation for Other Post-Employment Benefits	-	3	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	5	-

**Totals Available**

<b>\$258</b>	<b>\$953</b>	<b>\$278</b>
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Unexpended balance, estimated savings

-66	-	-
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**TOTALS, EXPENDITURES**

<b>\$192</b>	<b>\$953</b>	<b>\$278</b>
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**6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund**

## APPROPRIATIONS

001 Budget Act appropriation	\$87	\$84	-
<b>Totals Available</b>	<b>\$87</b>	<b>\$84</b>	-
Unexpended balance, estimated savings	-46	-	-

**TOTALS, EXPENDITURES**

<b>\$41</b>	<b>\$84</b>	-
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**6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002**

## APPROPRIATIONS

001 Budget Act appropriation	\$278	\$267	\$267
<b>Totals Available</b>	<b>\$278</b>	<b>\$267</b>	<b>\$267</b>
Unexpended balance, estimated savings	-104	-	-

**TOTALS, EXPENDITURES**

<b>\$174</b>	<b>\$267</b>	<b>\$267</b>
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**6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006**

## APPROPRIATIONS

001 Budget Act appropriation	\$19,745	\$3,189	\$2,289
Allocation for Employee Compensation	-	25	-
Allocation for Staff Benefits	-	10	-
Section 3.60 Pension Contribution Adjustment	-	13	-

**Totals Available**

<b>\$19,745</b>	<b>\$3,237</b>	<b>\$2,289</b>
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Unexpended balance, estimated savings

-1,166	-	-
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**TOTALS, EXPENDITURES**

<b>\$18,579</b>	<b>\$3,237</b>	<b>\$2,289</b>
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**6052 Disaster Preparedness and Flood Prevention Bond Fund of 2006**

## Prior Year Balances Available:

Item 0540-001-6052, Budget Act of 2012 as reappropriated by Item 0540-492, Budget Act of 2014 as amended by Chapter 1, Statutes of 2015	15	-	-
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Item 0540-001-6052, Budget Act of 2013 as reappropriated by Item 0540-492, Budget Act of 2014 as added by Chapter 1, Statutes of 2015	48	10	-
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**Totals Available**

<b>\$63</b>	<b>\$10</b>	-
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Balance available in subsequent years

-10	-	-
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**TOTALS, EXPENDITURES**

<b>\$53</b>	<b>\$10</b>	-
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**6076 California Ocean Protection Trust Fund**

## APPROPRIATIONS

001 Budget Act appropriation	\$621	\$6,024	\$6,035
Allocation for Employee Compensation	-	5	-
Allocation for Other Post-Employment Benefits	-	2	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	2	-

## Prior Year Balances Available:

Item 0540-001-6076, Budget Act of 2015	378	-	-
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**Totals Available**

<b>\$999</b>	<b>\$6,035</b>	<b>\$6,035</b>
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Unexpended balance, estimated savings

-744	-	-
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**TOTALS, EXPENDITURES**

<b>\$255</b>	<b>\$6,035</b>	<b>\$6,035</b>
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\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0540 Secretary of the Natural Resources Agency - Continued****6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014**

## APPROPRIATIONS

001 Budget Act appropriation	\$340,437	\$751	\$1,301
Allocation for Employee Compensation	-	4	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	2	-

## Prior Year Balances Available:

Item 0540-001-6083, Budget Act of 2016	-	90,448	-
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**Totals Available**

<b>\$340,437</b>	<b>\$91,207</b>	<b>\$1,301</b>
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Balance available in subsequent years

-90,448	-	-
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**TOTALS, EXPENDITURES**

<b>\$249,989</b>	<b>\$91,207</b>	<b>\$1,301</b>
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**6088 CA Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access**

## APPROPRIATIONS

001 Budget Act appropriation	-	-	\$31,411
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**TOTALS, EXPENDITURES**

-	-	<b>\$31,411</b>
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**8058 California Cultural and Historical Endowment Fund**

## APPROPRIATIONS

001 Budget Act appropriation	-	\$165	\$183
Allocation for Employee Compensation	-	9	-
Allocation for Other Post-Employment Benefits	-	2	-
Allocation for Staff Benefits	-	4	-
Section 3.60 Pension Contribution Adjustment	-	3	-

**TOTALS, EXPENDITURES**

-	<b>\$183</b>	<b>\$183</b>
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**Total Expenditures, All Funds, (State Operations)**

<b>\$280,099</b>	<b>\$122,924</b>	<b>\$56,312</b>
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**2 LOCAL ASSISTANCE**

<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
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**0001 General Fund**

## APPROPRIATIONS

101 Budget Act appropriation	\$4,500	\$18,838	\$60,775
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**TOTALS, EXPENDITURES**

<b>\$4,500</b>	<b>\$18,838</b>	<b>\$60,775</b>
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**0140 California Environmental License Plate Fund**

## APPROPRIATIONS

101 Budget Act appropriation	-	-	\$15,000
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**TOTALS, EXPENDITURES**

-	-	<b>\$15,000</b>
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**0183 Environmental Enhancement and Mitigation Program Fund**

## APPROPRIATIONS

101 Budget Act appropriation	\$6,700	\$6,700	\$6,700
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## Prior Year Balances Available:

Item 0540-101-0183, Budget Act of 2013 as added by Chapter 354, Statutes of 2013 and reappropriated by Item 0540-490, Budget Act of 2017	522	424	-
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Item 0540-101-0183, Budget Act of 2014 as reappropriated by Item 0540-490, Budget Act of 2017	2,067	506	-
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Item 0540-101-0183, Budget Act of 2015 as reappropriated by Item 0540-490, Budget Act of 2017	6,147	226	-
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Item 0540-101-0183, Budget Act of 2016 as reappropriated by Item 0540-490, Budget Act of 2017	-	5,763	-
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**Totals Available**

<b>\$15,436</b>	<b>\$13,619</b>	<b>\$6,700</b>
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Unexpended balance, estimated savings

-5,989	-	-
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Balance available in subsequent years

-930	-	-
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**TOTALS, EXPENDITURES**

<b>\$8,517</b>	<b>\$13,619</b>	<b>\$6,700</b>
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**3228 Greenhouse Gas Reduction Fund**

## APPROPRIATIONS

101 Budget Act appropriation	-	-	\$40,000
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101 Budget Act appropriation as added by Chapter 370, Statutes of 2016	80,000	-	-
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\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0540 Secretary of the Natural Resources Agency - Continued**

Carryover	-	79,837	-
Chapter 249, Statutes of 2017	-	26,000	-
<b>Totals Available</b>	<b>\$80,000</b>	<b>\$105,837</b>	<b>\$40,000</b>
Balance available in subsequent years	-79,837	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$163</b>	<b>\$105,837</b>	<b>\$40,000</b>
<b>6015 River Protection Subaccount</b>			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$4,400	-
<b>TOTALS, EXPENDITURES</b>	-	<b>\$4,400</b>	-
<b>6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,990	-	\$500
Prior Year Balances Available:			
Item 0540-101-6029, Budget Act of 2014 as reappropriated by Item 0540-490, Budget Act of 2017	4,573	1,610	-
Item 0540-101-6029, Budget Act of 2016	-	847	-
<b>Totals Available</b>	<b>\$6,563</b>	<b>\$2,457</b>	<b>\$500</b>
Balance available in subsequent years	-2,457	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$4,106</b>	<b>\$2,457</b>	<b>\$500</b>
<b>6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,200	-	-
Prior Year Balances Available:			
Item 0540-101-6031, Budget Act of 2016	-	1,500	-
<b>Totals Available</b>	<b>\$2,200</b>	<b>\$1,500</b>	-
Balance available in subsequent years	-1,500	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$700</b>	<b>\$1,500</b>	-
<b>6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,458	\$20,464	-
Prior Year Balances Available:			
Item 0540-101-6051, Budget Act of 2008 as reappropriated by Item 0540-490, Budget Acts of 2011 and 2014	1,323	-	-
Item 0540-101-6051, Budget Act of 2009 as reappropriated by Item 0540-490, Budget Acts of 2011 and 2014	17	-	-
Item 0540-101-6051, Budget Act of 2010 as reappropriated by Item 0540-490, Budget Act of 2013 and Item 0540-490, Budget Act of 2014	376	-	-
Item 0540-101-6051, Budget Act of 2011 as reappropriated by Item 0540-490, Budget Act of 2014	319	-	-
Item 0540-101-6051, Budget Act of 2012 as reappropriated by Item 0540-491, Budget Act of 2015	108	119	-
Item 0540-101-6051, Budget Act of 2016	-	338	-
<b>Totals Available</b>	<b>\$3,601</b>	<b>\$20,921</b>	-
Unexpended balance, estimated savings	-256	-	-
Balance available in subsequent years	-457	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,888</b>	<b>\$20,921</b>	-
<b>6076 California Ocean Protection Trust Fund</b>			
APPROPRIATIONS			
Carryover	-	\$14,147	-
Past Year Adjustments	19,649	-	-
<b>Totals Available</b>	<b>\$19,649</b>	<b>\$14,147</b>	-
Balance available in subsequent years	-14,147	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$5,502</b>	<b>\$14,147</b>	-

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0540 Secretary of the Natural Resources Agency - Continued****6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014**

## APPROPRIATIONS

101 Budget Act appropriation	\$9,300	\$18,600	-
Prior Year Balances Available:			
Item 0540-101-6083, Budget Act of 2015	9,300	1,885	-
Item 0540-101-6083, Budget Act of 2016	-	9,300	-
<b>Totals Available</b>	<b>\$18,600</b>	<b>\$29,785</b>	<b>-</b>
Balance available in subsequent years	-11,185	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$7,415</b>	<b>\$29,785</b>	<b>-</b>

**6088 CA Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access**

## APPROPRIATIONS

101 Budget Act appropriation	-	-	\$115,500
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>\$115,500</b>
<b>Total Expenditures, All Funds, (Local Assistance)</b>	<b>\$33,791</b>	<b>\$211,504</b>	<b>\$238,475</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$313,890</b>	<b>\$334,428</b>	<b>\$294,787</b>

**FUND CONDITION STATEMENTS**

	2016-17*	2017-18*	2018-19*
<b>0183 Environmental Enhancement and Mitigation Program Fund <sup>S</sup></b>			
BEGINNING BALANCE	\$31,506	\$32,141	\$25,986
Prior Year Adjustments	2,062	-	-
Adjusted Beginning Balance	\$33,568	\$32,141	\$25,986
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4151000 Interest Income - Other Loans	-	721	721
4163000 Investment Income - Surplus Money Investments	394	93	93
Transfers and Other Adjustments			
Revenue Transfer from State Highway Account, State Transportation Fund (0042) to Environmental Enhancement and Mitigation Program Fund (0183) per Budget Act Item 2660-022-0042, Budget Act of 2014	7,000	7,000	7,000
Total Revenues, Transfers, and Other Adjustments	\$7,394	\$7,814	\$7,814
Total Resources	\$40,962	\$39,955	\$33,800
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0540 Secretary of the Natural Resources Agency (State Operations)	288	336	336
0540 Secretary of the Natural Resources Agency (Local Assistance)	8,517	13,619	6,700
9892 Supplemental Pension Payments (State Operations)	-	-	4
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	16	14	11
Total Expenditures and Expenditure Adjustments	\$8,821	\$13,969	\$7,051
FUND BALANCE	\$32,141	\$25,986	\$26,749
Reserve for economic uncertainties	32,141	25,986	26,749
<b>0940 Bosco-Keene Renewable Resources Investment Fund <sup>S</sup></b>			
BEGINNING BALANCE	\$1,886	\$2,024	\$2,165
Adjusted Beginning Balance	\$1,886	\$2,024	\$2,165
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments			
Revenue transfer from Geothermal Resources Development Account (0034) to the Bosco-Keene Renewable Resources Investment Fund (0940) per Public Resources Code Section 3825	991	1,200	1,200
Total Revenues, Transfers, and Other Adjustments	\$991	\$1,200	\$1,200
Total Resources	\$2,877	\$3,224	\$3,365

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.



**0540 Secretary of the Natural Resources Agency - Continued**

## EXPENDITURE AND EXPENDITURE ADJUSTMENTS

## Expenditures:

3480 Department of Conservation (State Operations)	824	1,039	1,040
3860 Department of Water Resources (State Operations)	-	20	-
9892 Supplemental Pension Payments (State Operations)	-	-	8
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	29	-	21
Total Expenditures and Expenditure Adjustments	<u>\$853</u>	<u>\$1,059</u>	<u>\$1,069</u>
FUND BALANCE	<u>\$2,024</u>	<u>\$2,165</u>	<u>\$2,296</u>
Reserve for economic uncertainties	2,024	2,165	2,296

**3212 Timber Regulation and Forest Restoration Fund<sup>s</sup>**

BEGINNING BALANCE	\$27,936	\$30,737	\$26,270
Prior Year Adjustments	1,806	-	-
Adjusted Beginning Balance	<u>\$29,742</u>	<u>\$30,737</u>	<u>\$26,270</u>

## REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS

## Revenues:

4121200 Delinquent Fees	-5	-	-
4129200 Other Regulatory Fees	41,668	54,000	46,000
Total Revenues, Transfers, and Other Adjustments	<u>\$41,663</u>	<u>\$54,000</u>	<u>\$46,000</u>
Total Resources	<u>\$71,405</u>	<u>\$84,737</u>	<u>\$72,270</u>

## EXPENDITURE AND EXPENDITURE ADJUSTMENTS

## Expenditures:

0511 Secretary for Government Operations Agency (State Operations)	-	-	500
0540 Secretary of the Natural Resources Agency (State Operations)	853	2,466	1,762
0860 State Board of Equalization (State Operations)	603	-	-
3480 Department of Conservation (State Operations)	3,857	4,242	4,245
3540 Department of Forestry and Fire Protection (State Operations)	15,828	27,258	26,042
3540 Department of Forestry and Fire Protection (Local Assistance)	3,435	3,465	-
3600 Department of Fish and Wildlife (State Operations)	6,020	7,854	7,448
3600 Department of Fish and Wildlife (Local Assistance)	2,000	3,500	2,000
3855 Sierra Nevada Conservancy (Local Assistance)	-	-	1,000
3940 State Water Resources Control Board (State Operations)	3,525	4,272	4,275
3940 State Water Resources Control Board (Local Assistance)	2,000	2,000	2,000
7600 California Department of Tax and Fee Administration (State Operations)	-	1,148	1,268
8880 Financial Information System for California (State Operations)	49	47	5
9892 Supplemental Pension Payments (State Operations)	-	-	442
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	2,498	2,215	2,184
Total Expenditures and Expenditure Adjustments	<u>\$40,668</u>	<u>\$58,467</u>	<u>\$53,171</u>
FUND BALANCE	<u>\$30,737</u>	<u>\$26,270</u>	<u>\$19,099</u>
Reserve for economic uncertainties	30,737	26,270	19,099

**3312 Natural Resources and Parks Preservation Fund<sup>s</sup>**

BEGINNING BALANCE	-	-	-
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
3790 Department of Parks and Recreation (Capital Outlay)	-	-	100,000
Expenditure Adjustments:			
Less funding provided by General Fund (Capital Outlay)	-	-	-100,000
FUND BALANCE	<u>-</u>	<u>-</u>	<u>-</u>

**CHANGES IN AUTHORIZED POSITIONS****Positions****Expenditures**

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0540 Secretary of the Natural Resources Agency - Continued**

	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	43.4	49.4	48.9	\$3,082	\$3,237	\$3,201
Budget Position Transparency	-	-8.6	-11.0	-	96	46
<b>Salary and Other Adjustments</b>	-	-	-	970	344	128
<b>Workload and Administrative Adjustments</b>						
<b>Appropriations for Agency Programs (SB 5)</b>						
Assoc Park & Recr Spec	-	-	5.0	-	-	395
<b>Information Security Operations</b>						
Sys Software Spec II (Tech)	-	-	2.0	-	-	192
Sys Software Spec III (Supvry)	-	-	1.0	-	-	115
Sys Software Spec III (Tech)	-	-	3.0	-	-	317
<b>Natural Resources Conservation Monitoring and Stewardship Program</b>						
Environmental Scientist	-	-	3.0	-	-	240
Sr Envirnal Scientist (Supvry)	-	-	1.0	-	-	128
<b>Ocean Protection Council - Advancing Ocean and Coastal Health, Productivity and Resiliency (SB 5)</b>						
Environmental Scientist	-	-	2.0	-	-	160
<b>Statewide Bond Costs (SB 5)</b>						
C.E.A. - B	-	-	1.0	-	-	122
Info Officer II	-	-	1.0	-	-	80
<b>TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS</b>	-	-	19.0	\$-	\$-	\$1,749
<b>Totals, Adjustments</b>	-	-8.6	8.0	\$970	\$440	\$1,923
<b>TOTALS, SALARIES AND WAGES</b>	43.4	40.8	56.9	\$4,052	\$3,677	\$5,124

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## 0552 Office of the Inspector General

The Office of the Inspector General (OIG) protects public safety by safeguarding the integrity of California's correctional system. OIG is responsible for contemporaneous oversight of the California Department of Corrections and Rehabilitation's (CDCR) internal affairs investigations, use of force, and the employee disciplinary process. When requested by the Governor, the Senate Committee on Rules, or the Speaker of the Assembly, the Inspector General reviews the policies, practices, and procedures of CDCR. The Inspector General reviews the Governor's candidates for appointment to serve as warden for the state's adult correctional institutions and as superintendents for the state's juvenile facilities, and conducts metric-oriented inspection programs to periodically review delivery of medical care at each state prison. OIG receives communications from individuals alleging improper governmental activity and maintains a toll-free public telephone number to receive allegations of wrongdoing by employees of CDCR; conducts formal reviews of complaints of retaliation from CDCR employees against upper management where a legally cognizable cause of action is present; and reviews the mishandling of sexual abuse incidents within correctional institutions. OIG provides critical public transparency for the state correctional system by publicly reporting its findings.

In addition, OIG is responsible for the California Rehabilitation Oversight Board (Board) that examines CDCR's various mental health, substance abuse, educational, and employment programs for inmates and parolees. The Board meets at least twice annually to recommend modifications, additions, and eliminations of offender rehabilitation and treatment programs. The Board also submits biannual reports to the Governor, the Legislature, and the public to convey its findings on the effectiveness of treatment efforts, rehabilitation needs of offenders, gaps in offender rehabilitation services, and levels of offender participation and success.

### 3-YEAR EXPENDITURES AND POSITIONS

			Positions			Expenditures		
			2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0330	Office of the Inspector General		115.1	107.8	107.8	\$22,569	\$23,811	\$23,826
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>			<b>115.1</b>	<b>107.8</b>	<b>107.8</b>	<b>\$22,569</b>	<b>\$23,811</b>	<b>\$23,826</b>

  

FUNDING		2016-17*	2017-18*	2018-19*
0001	General Fund	\$22,569	\$23,811	\$23,826
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$22,569</b>	<b>\$23,811</b>	<b>\$23,826</b>

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Penal Code, Part III, Title 1, Chapter 3, Section 2641 and Title 7, Chapter 8.2, Sections 6125 to 6141.

### DETAILED BUDGET ADJUSTMENTS

			2017-18*			2018-19*		
			General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>								
<b>Other Workload Budget Adjustments</b>								
• Allocation for Other Post-Employment Benefits			\$65	\$-	-	\$65	\$-	-
• Expenditure by Category Redistribution			77	-	-	-391	-	-
• Salary Adjustments			528	-	-	528	-	-
• Budget Position Transparency			-77	-	-4.0	391	-	-4.0
• Benefit Adjustments			209	-	-	224	-	-
• Retirement Rate Adjustments			202	-	-	202	-	-
<b>Totals, Other Workload Budget Adjustments</b>			<b>\$1,004</b>	<b>\$-</b>	<b>-4.0</b>	<b>\$1,019</b>	<b>\$-</b>	<b>-4.0</b>
<b>Totals, Workload Budget Adjustments</b>			<b>\$1,004</b>	<b>\$-</b>	<b>-4.0</b>	<b>\$1,019</b>	<b>\$-</b>	<b>-4.0</b>
<b>Totals, Budget Adjustments</b>			<b>\$1,004</b>	<b>\$-</b>	<b>-4.0</b>	<b>\$1,019</b>	<b>\$-</b>	<b>-4.0</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0552 Office of the Inspector General - Continued****DETAILED EXPENDITURES BY PROGRAM**

		2016-17*	2017-18*	2018-19*
	<b>PROGRAM REQUIREMENTS</b>			
<b>0330</b>	<b>OFFICE OF THE INSPECTOR GENERAL</b>			
	<b>State Operations:</b>			
0001	General Fund	\$22,569	\$23,811	\$23,826
	<b>Totals, State Operations</b>	<b>\$22,569</b>	<b>\$23,811</b>	<b>\$23,826</b>
	<b>TOTALS, EXPENDITURES</b>			
	State Operations	22,569	23,811	23,826
	<b>Totals, Expenditures</b>	<b>\$22,569</b>	<b>\$23,811</b>	<b>\$23,826</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	111.8	111.8	111.8	\$12,159	\$12,255	\$12,255
Budget Position Transparency	-	-4.0	-4.0	-	-77	391
Other Adjustments	3.3	-	-	1,418	528	528
<b>Net Totals, Salaries and Wages</b>	<b>115.1</b>	<b>107.8</b>	<b>107.8</b>	<b>\$13,577</b>	<b>\$12,706</b>	<b>\$13,174</b>
Staff Benefits	-	-	-	4,958	6,892	6,907
<b>Totals, Personal Services</b>	<b>115.1</b>	<b>107.8</b>	<b>107.8</b>	<b>\$18,535</b>	<b>\$19,598</b>	<b>\$20,081</b>
OPERATING EXPENSES AND EQUIPMENT				\$4,034	\$4,213	\$3,745
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$22,569</b>	<b>\$23,811</b>	<b>\$23,826</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$22,685	\$22,807	\$23,826
Allocation for Employee Compensation	-	528	-
Allocation for Other Post-Employment Benefits	-	65	-
Allocation for Staff Benefits	-	209	-
Budget Position Transparency	-	-77	-
Expenditure by Category Redistribution	-	77	-
Section 3.60 Pension Contribution Adjustment	-	202	-
<b>Totals Available</b>	<b>\$22,685</b>	<b>\$23,811</b>	<b>\$23,826</b>
Unexpended balance, estimated savings	-116	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$22,569</b>	<b>\$23,811</b>	<b>\$23,826</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$22,569</b>	<b>\$23,811</b>	<b>\$23,826</b>

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	111.8	111.8	111.8	\$12,159	\$12,255	\$12,255

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0552 Office of the Inspector General - Continued**

Budget Position Transparency	-	-4.0	-4.0	-	-77	391
<b>Salary and Other Adjustments</b>	3.3	-	-	1,418	528	528
<b>Totals, Adjustments</b>	<b>3.3</b>	<b>-4.0</b>	<b>-4.0</b>	<b>\$1,418</b>	<b>\$451</b>	<b>\$919</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>115.1</b>	<b>107.8</b>	<b>107.8</b>	<b>\$13,577</b>	<b>\$12,706</b>	<b>\$13,174</b>

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## 0555 Secretary for Environmental Protection

The Secretary for Environmental Protection is the cabinet level agency responsible for protecting human health and the environment. California Environmental Protection Agency programs reduce greenhouse gas emissions, restore and protect environmental quality, and protect public health. The Secretary coordinates the state's environmental regulatory programs and ensures fair and consistent enforcement of environmental law, which safeguards the state's residents and promotes the state's economic vitality. The Secretary also administers environmental justice, environmental law enforcement, emergency preparedness and response, Certified Unified Program Agencies, and scientific review programs. The Secretary leads greenhouse gas emission reduction and climate change activities in state government, and is responsible for coordinating the implementation of Chapter 488, Statutes of 2006 (AB 32). The Secretary also centralizes coordination of California-Mexico border environmental efforts.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0340	Support	72.9	69.4	69.4	\$16,290	\$22,163	\$22,591
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>72.9</b>	<b>69.4</b>	<b>69.4</b>	<b>\$16,290</b>	<b>\$22,163</b>	<b>\$22,591</b>
<b>FUNDING</b>					<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
0001	General Fund				\$1,952	\$3,012	\$3,013
0014	Hazardous Waste Control Account				352	362	362
0028	Unified Program Account				4,668	5,001	5,005
0044	Motor Vehicle Account, State Transportation Fund				1,858	2,086	2,087
0106	Department of Pesticide Regulation Fund				979	999	999
0115	Air Pollution Control Fund				725	1,315	1,691
0133	California Beverage Container Recycling Fund				-	-	375
0193	Waste Discharge Permit Fund				589	612	987
0226	California Tire Recycling Management Fund				173	128	128
0235	Public Resources Account, Cigarette and Tobacco Products Surtax Fund				60	48	32
0387	Integrated Waste Management Account, Integrated Waste Management Fund				253	267	267
0439	Underground Storage Tank Cleanup Fund				1,270	1,344	1,344
0557	Toxic Substances Control Account				-	-	375
0679	State Water Quality Control Fund				168	196	196
0890	Federal Trust Fund				494	300	300
0995	Reimbursements				1,521	2,079	2,080
1006	Rural CUPA Reimbursement Account				-55	1,065	-
3058	Water Rights Fund				36	37	37
3237	Cost of Implementation Account, Air Pollution Control Fund				654	1,180	1,181
8013	Environmental Enforcement and Training Account				593	2,132	2,132
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>					<b>\$16,290</b>	<b>\$22,163</b>	<b>\$22,591</b>

### LEGAL CITATIONS AND AUTHORITY

Governor's Reorganization Plan No. 1 of 1991; Government Code Section 12812.6; Health and Safety Code, Division 37, Section 57000 et seq.; Public Resources Code Sections 71000 and 71110; and Governor's Reorganization Plan No. 2 of 2012.

### DETAILED BUDGET ADJUSTMENTS

		2017-18*			2018-19*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Workload Budget Change Proposals</b>							
• Legislative Investments: Green Business Network Program		\$-	\$-	-	\$1,000	\$-	-

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**0555 Secretary for Environmental Protection - Continued**

• Environmental Justice Grants	-	-	-	-	1,500	-
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$1,000</b>	<b>\$1,500</b>	<b>-</b>
<b>Other Workload Budget Adjustments</b>						
• Allocation for Other Post-Employment Benefits	1	9	-	1	9	-
• Expenditure by Category Redistribution	-	-519	-	-	-664	-
• Salary Adjustments	29	198	-	29	198	-
• Retirement Rate Adjustments	14	94	-	14	94	-
• Benefit Adjustments	12	82	-	13	90	-
• Budget Position Transparency	-	519	-3.8	-	664	-3.8
• Miscellaneous Baseline Adjustments	-	-1,592	-	-	-1,608	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$56</b>	<b>\$-1,209</b>	<b>-3.8</b>	<b>\$57</b>	<b>\$-1,217</b>	<b>-3.8</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$56</b>	<b>\$-1,209</b>	<b>-3.8</b>	<b>\$1,057</b>	<b>\$283</b>	<b>-3.8</b>
<b>Totals, Budget Adjustments</b>	<b>\$56</b>	<b>\$-1,209</b>	<b>-3.8</b>	<b>\$1,057</b>	<b>\$283</b>	<b>-3.8</b>

**PROGRAM DESCRIPTIONS****0340 - SUPPORT PROGRAM**

The Secretary for Environmental Protection's primary program objectives are restoring, protecting, and enhancing environmental quality, and protecting public health while fostering economic vitality. The Secretary oversees the state's environmental regulatory programs with particular emphasis on promoting "cross-media" coordination, enhancing risk assessment (especially for disadvantaged communities and other sensitive populations), enforcing laws consistently and fairly, and objectively measuring and reporting results.

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
<b>0340</b>	<b>PROGRAM REQUIREMENTS</b>			
	<b>SUPPORT</b>			
	<b>State Operations:</b>			
0001	General Fund	\$1,952	\$2,177	\$2,178
0014	Hazardous Waste Control Account	352	362	362
0028	Unified Program Account	4,668	5,001	5,005
0044	Motor Vehicle Account, State Transportation Fund	1,858	2,086	2,087
0106	Department of Pesticide Regulation Fund	979	999	999
0115	Air Pollution Control Fund	725	1,315	1,316
0193	Waste Discharge Permit Fund	589	612	612
0226	California Tire Recycling Management Fund	173	128	128
0235	Public Resources Account, Cigarette and Tobacco Products Surtax Fund	60	48	32
0387	Integrated Waste Management Account, Integrated Waste Management Fund	253	267	267
0439	Underground Storage Tank Cleanup Fund	1,270	1,344	1,344
0679	State Water Quality Control Fund	168	196	196
0890	Federal Trust Fund	494	300	300
0995	Reimbursements	1,521	2,079	2,080
1006	Rural CUPA Reimbursement Account	-55	-	-
3058	Water Rights Fund	36	37	37
3237	Cost of Implementation Account, Air Pollution Control Fund	654	1,180	1,181
8013	Environmental Enforcement and Training Account	593	2,132	2,132
	<b>Totals, State Operations</b>	<b>\$16,290</b>	<b>\$20,263</b>	<b>\$20,256</b>
	<b>Local Assistance:</b>			
0001	General Fund	\$-	\$835	\$835
0115	Air Pollution Control Fund	-	-	375

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**0555 Secretary for Environmental Protection - Continued**

0133	California Beverage Container Recycling Fund	-	-	375
0193	Waste Discharge Permit Fund	-	-	375
0557	Toxic Substances Control Account	-	-	375
1006	Rural CUPA Reimbursement Account	-	1,065	-
<b>Totals, Local Assistance</b>		<b>\$-</b>	<b>\$1,900</b>	<b>\$2,335</b>
<b>TOTALS, EXPENDITURES</b>				
State Operations		16,290	20,263	20,256
Local Assistance		-	1,900	2,335
<b>Totals, Expenditures</b>		<b>\$16,290</b>	<b>\$22,163</b>	<b>\$22,591</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	73.2	73.2	73.2	\$5,380	\$5,307	\$5,307
Budget Position Transparency	-	-3.8	-3.8	-	519	664
Other Adjustments	-0.3	-	-	882	227	227
<b>Net Totals, Salaries and Wages</b>	<b>72.9</b>	<b>69.4</b>	<b>69.4</b>	<b>\$6,262</b>	<b>\$6,053</b>	<b>\$6,198</b>
Staff Benefits	-	-	-	3,355	2,653	2,662
<b>Totals, Personal Services</b>	<b>72.9</b>	<b>69.4</b>	<b>69.4</b>	<b>\$9,617</b>	<b>\$8,706</b>	<b>\$8,860</b>
OPERATING EXPENSES AND EQUIPMENT				\$5,893	\$8,961	\$8,800
SPECIAL ITEMS OF EXPENSES				780	2,596	2,596
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$16,290</b>	<b>\$20,263</b>	<b>\$20,256</b>

2 Local Assistance	Expenditures		
	2016-17*	2017-18*	2018-19*
Grants and Subventions - Governmental	\$-	\$1,900	\$2,335
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$-</b>	<b>\$1,900</b>	<b>\$2,335</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,124	\$2,121	\$2,178
Allocation for Employee Compensation	-	29	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	12	-
Section 3.60 Pension Contribution Adjustment	-	14	-
011 Budget Act appropriation (transfer to Rural CUPA Reimbursement Account)	835	-	-
<b>Totals Available</b>	<b>\$1,959</b>	<b>\$2,177</b>	<b>\$2,178</b>
Unexpended balance, estimated savings	-7	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,952</b>	<b>\$2,177</b>	<b>\$2,178</b>
0014 Hazardous Waste Control Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$353	\$353	\$362
Allocation for Employee Compensation	-	5	-
Allocation for Staff Benefits	-	2	-

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.



**0555 Secretary for Environmental Protection - Continued**

Section 3.60 Pension Contribution Adjustment	-	2	-
<b>Totals Available</b>	<b>\$353</b>	<b>\$362</b>	<b>\$362</b>
Unexpended balance, estimated savings	-1	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$352</b>	<b>\$362</b>	<b>\$362</b>
<b>0028 Unified Program Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,877	\$4,849	\$5,005
Allocation for Employee Compensation	-	80	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	32	-
Budget Position Transparency	-	519	-
Expenditure by Category Redistribution	-	-519	-
Section 3.60 Pension Contribution Adjustment	-	35	-
<b>Totals Available</b>	<b>\$4,877</b>	<b>\$5,001</b>	<b>\$5,005</b>
Unexpended balance, estimated savings	-209	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$4,668</b>	<b>\$5,001</b>	<b>\$5,005</b>
<b>0044 Motor Vehicle Account, State Transportation Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,051	\$2,035	\$2,087
Allocation for Employee Compensation	-	26	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	11	-
Section 3.60 Pension Contribution Adjustment	-	13	-
<b>Totals Available</b>	<b>\$2,051</b>	<b>\$2,086</b>	<b>\$2,087</b>
Unexpended balance, estimated savings	-193	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,858</b>	<b>\$2,086</b>	<b>\$2,087</b>
<b>0106 Department of Pesticide Regulation Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$983	\$978	\$999
Allocation for Employee Compensation	-	11	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	5	-
<b>Totals Available</b>	<b>\$983</b>	<b>\$999</b>	<b>\$999</b>
Unexpended balance, estimated savings	-4	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$979</b>	<b>\$999</b>	<b>\$999</b>
<b>0115 Air Pollution Control Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,286	\$1,282	\$1,316
Allocation for Employee Compensation	-	16	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	7	-
Section 3.60 Pension Contribution Adjustment	-	9	-
<b>Totals Available</b>	<b>\$1,286</b>	<b>\$1,315</b>	<b>\$1,316</b>
Unexpended balance, estimated savings	-561	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$725</b>	<b>\$1,315</b>	<b>\$1,316</b>
<b>0193 Waste Discharge Permit Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$599	\$598	\$612
Allocation for Employee Compensation	-	7	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	4	-
<b>Totals Available</b>	<b>\$599</b>	<b>\$612</b>	<b>\$612</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0555 Secretary for Environmental Protection - Continued**

Unexpended balance, estimated savings	-10	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$589</b>	<b>\$612</b>	<b>\$612</b>
<b>0226 California Tire Recycling Management Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$175	\$124	\$128
Allocation for Employee Compensation	-	2	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	1	-
<b>Totals Available</b>	<b>\$175</b>	<b>\$128</b>	<b>\$128</b>
Unexpended balance, estimated savings	-2	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$173</b>	<b>\$128</b>	<b>\$128</b>
<b>0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$67	\$52	\$32
<b>Totals Available</b>	<b>\$67</b>	<b>\$52</b>	<b>\$32</b>
Unexpended balance, estimated savings	-7	-4	-
<b>TOTALS, EXPENDITURES</b>	<b>\$60</b>	<b>\$48</b>	<b>\$32</b>
<b>0387 Integrated Waste Management Account, Integrated Waste Management Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$263	\$262	\$267
Allocation for Employee Compensation	-	3	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	1	-
<b>Totals Available</b>	<b>\$263</b>	<b>\$267</b>	<b>\$267</b>
Unexpended balance, estimated savings	-10	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$253</b>	<b>\$267</b>	<b>\$267</b>
<b>0439 Underground Storage Tank Cleanup Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,318	\$1,313	\$1,344
Allocation for Employee Compensation	-	16	-
Allocation for Staff Benefits	-	7	-
Section 3.60 Pension Contribution Adjustment	-	8	-
<b>Totals Available</b>	<b>\$1,318</b>	<b>\$1,344</b>	<b>\$1,344</b>
Unexpended balance, estimated savings	-48	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,270</b>	<b>\$1,344</b>	<b>\$1,344</b>
<b>0679 State Water Quality Control Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$192	\$192	\$196
Allocation for Employee Compensation	-	2	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	1	-
<b>Totals Available</b>	<b>\$192</b>	<b>\$196</b>	<b>\$196</b>
Unexpended balance, estimated savings	-24	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$168</b>	<b>\$196</b>	<b>\$196</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,888	\$1,888	\$300
Current and Budget Year Expenditure Adjustments	-	-1,588	-
<b>Totals Available</b>	<b>\$1,888</b>	<b>\$300</b>	<b>\$300</b>
Unexpended balance, estimated savings	-1,394	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$494</b>	<b>\$300</b>	<b>\$300</b>
<b>0995 Reimbursements</b>			

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0555 Secretary for Environmental Protection - Continued**

APPROPRIATIONS			
Reimbursements	\$1,521	\$2,079	\$2,080
<b>TOTALS, EXPENDITURES</b>	<b>\$1,521</b>	<b>\$2,079</b>	<b>\$2,080</b>

**1006 Rural CUPA Reimbursement Account**

APPROPRIATIONS			
001 Budget Act appropriation	\$835	-	-
<b>Totals Available</b>	<b>\$835</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-55	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$780</b>	<b>-</b>	<b>-</b>
Less funding provided by General Fund	-835	-	-
<b>NET TOTALS, EXPENDITURES</b>	<b>-\$55</b>	<b>-</b>	<b>-</b>

**3058 Water Rights Fund**

APPROPRIATIONS			
001 Budget Act appropriation	\$37	\$37	\$37
<b>Totals Available</b>	<b>\$37</b>	<b>\$37</b>	<b>\$37</b>
Unexpended balance, estimated savings	-1	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$36</b>	<b>\$37</b>	<b>\$37</b>

**3237 Cost of Implementation Account, Air Pollution Control Fund**

APPROPRIATIONS			
001 Budget Act appropriation	\$658	\$1,153	\$1,181
Allocation for Employee Compensation	-	13	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	8	-
<b>Totals Available</b>	<b>\$658</b>	<b>\$1,180</b>	<b>\$1,181</b>
Unexpended balance, estimated savings	-4	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$654</b>	<b>\$1,180</b>	<b>\$1,181</b>

**8013 Environmental Enforcement and Training Account**

APPROPRIATIONS			
001 Budget Act appropriation	\$2,132	\$2,132	\$2,132
<b>Totals Available</b>	<b>\$2,132</b>	<b>\$2,132</b>	<b>\$2,132</b>
Unexpended balance, estimated savings	-1,539	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$593</b>	<b>\$2,132</b>	<b>\$2,132</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$16,290</b>	<b>\$20,263</b>	<b>\$20,256</b>

**2 LOCAL ASSISTANCE****2016-17\* 2017-18\* 2018-19\*****0001 General Fund**

APPROPRIATIONS			
111 Budget Act appropriation (transfer to Rural CUPA Reimbursement Account)	-	\$835	\$835
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>\$835</b>	<b>\$835</b>

**0115 Air Pollution Control Fund**

APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$375
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>\$375</b>

**0133 California Beverage Container Recycling Fund**

APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$375
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>\$375</b>

**0193 Waste Discharge Permit Fund**

APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$375
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>\$375</b>

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**0555 Secretary for Environmental Protection - Continued****0557 Toxic Substances Control Account**

## APPROPRIATIONS

101 Budget Act appropriation	-	-	\$375
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<b>TOTALS, EXPENDITURES</b>	-	-	<b>\$375</b>
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**1006 Rural CUPA Reimbursement Account**

## APPROPRIATIONS

101 Budget Act appropriation	-	\$1,900	\$835
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<b>TOTALS, EXPENDITURES</b>	-	<b>\$1,900</b>	<b>\$835</b>
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Less funding provided by General Fund	-	-835	-835
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<b>NET TOTALS, EXPENDITURES</b>	-	<b>\$1,065</b>	-
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<b>Total Expenditures, All Funds, (Local Assistance)</b>	<b>\$0</b>	<b>\$1,900</b>	<b>\$2,335</b>
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<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$16,290</b>	<b>\$22,163</b>	<b>\$22,591</b>
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**FUND CONDITION STATEMENTS †**

	2016-17*	2017-18*	2018-19*
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**0028 Unified Program Account <sup>s</sup>**

BEGINNING BALANCE	\$4,133	\$2,970	\$2,584
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Prior Year Adjustments	1,841	-	-
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Adjusted Beginning Balance	\$5,974	\$2,970	\$2,584
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## REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS

## Revenues:

4129200 Other Regulatory Fees	4,973	8,644	8,644
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4163000 Investment Income - Surplus Money Investments	8	8	8
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Total Revenues, Transfers, and Other Adjustments	\$4,981	\$8,652	\$8,652
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Total Resources	\$10,955	\$11,622	\$11,236
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## EXPENDITURE AND EXPENDITURE ADJUSTMENTS

## Expenditures:

0555 Secretary for Environmental Protection (State Operations)	4,668	5,001	5,005
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0690 Office of Emergency Services (State Operations)	676	877	877
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3540 Department of Forestry and Fire Protection (State Operations)	619	696	701
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3940 State Water Resources Control Board (State Operations)	469	591	591
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3960 Department of Toxic Substances Control (State Operations)	1,233	1,286	1,287
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3980 Office of Environmental Health Hazard Assessment (State Operations)	142	168	170
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8880 Financial Information System for California (State Operations)	10	10	1
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9892 Supplemental Pension Payments (State Operations)	-	-	80
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9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	168	409	552
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Total Expenditures and Expenditure Adjustments	\$7,985	\$9,038	\$9,264
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FUND BALANCE	\$2,970	\$2,584	\$1,972
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Reserve for economic uncertainties	2,970	2,584	1,972
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**1006 Rural CUPA Reimbursement Account <sup>s</sup>**

BEGINNING BALANCE	\$1,739	\$1,794	\$729
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Adjusted Beginning Balance	\$1,739	\$1,794	\$729
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Total Resources	\$1,739	\$1,794	\$729
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## EXPENDITURE AND EXPENDITURE ADJUSTMENTS

## Expenditures:

0555 Secretary for Environmental Protection (State Operations)	780	-	-
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0555 Secretary for Environmental Protection (Local Assistance)	-	1,900	835
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## Expenditure Adjustments:

Less funding provided by General Fund (State Operations)	-835	-	-
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Less funding provided by General Fund (Local Assistance)	-	-835	-835
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\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0555 Secretary for Environmental Protection - Continued**

Total Expenditures and Expenditure Adjustments	<u>-\$55</u>	<u>\$1,065</u>	<u>-</u>
FUND BALANCE	<u>\$1,794</u>	<u>\$729</u>	<u>\$729</u>
Reserve for economic uncertainties	1,794	729	729

† Fiscal year 2016-17 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2016-17 ending fund balance will be reflected as a prior year adjustment in the 2019-20 Governor's Budget publication.

**CHANGES IN AUTHORIZED POSITIONS**

	<b>Positions</b>			<b>Expenditures</b>		
	<b>2016-17</b>	<b>2017-18</b>	<b>2018-19</b>	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>Baseline Positions</b>	73.2	73.2	73.2	\$5,380	\$5,307	\$5,307
Budget Position Transparency	-	-3.8	-3.8	-	519	664
<b>Salary and Other Adjustments</b>	-0.3	-	-	882	227	227
<b>Totals, Adjustments</b>	<u>-0.3</u>	<u>-3.8</u>	<u>-3.8</u>	<u>\$882</u>	<u>\$746</u>	<u>\$891</u>
<b>TOTALS, SALARIES AND WAGES</b>	<u>72.9</u>	<u>69.4</u>	<u>69.4</u>	<u>\$6,262</u>	<u>\$6,053</u>	<u>\$6,198</u>

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## 0559 Secretary for Labor and Workforce Development Agency

The Labor and Workforce Development Agency was established to address issues relating to California workers and their employers. The Agency is responsible for labor law enforcement, workforce development, and benefit payment and adjudication. The Agency works to combat the underground economy and help legitimate businesses and workers in California through a combination of enforcement and education activities.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0350	Office of the Secretary of Labor and Workforce Development	11.2	12.3	12.3	\$2,710	\$2,941	\$2,943
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>11.2</b>	<b>12.3</b>	<b>12.3</b>	<b>\$2,710</b>	<b>\$2,941</b>	<b>\$2,943</b>
<b>FUNDING</b>		<b>2016-17*</b>			<b>2017-18*</b>	<b>2018-19*</b>	
0001	General Fund	\$158			\$-	\$-	
0995	Reimbursements	2,197			2,517	2,519	
3078	Labor and Workforce Development Fund	355			424	424	
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$2,710</b>			<b>\$2,941</b>	<b>\$2,943</b>	

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Government Code Sections 12800 and 12813.

### DETAILED BUDGET ADJUSTMENTS

		2017-18*			2018-19*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Other Workload Budget Adjustments</b>							
• Expenditure by Category Redistribution		\$-	\$136	-	\$-	\$116	-
• Allocation for Other Post-Employment Benefits		-	1	-	-	1	-
• Salary Adjustments		-	61	-	-	61	-
• Retirement Rate Adjustments		-	25	-	-	25	-
• Benefit Adjustments		-	22	-	-	24	-
• Budget Position Transparency		-	-136	-0.7	-	-116	-0.7
<b>Totals, Other Workload Budget Adjustments</b>		<b>\$-</b>	<b>\$109</b>	<b>-0.7</b>	<b>\$-</b>	<b>\$111</b>	<b>-0.7</b>
<b>Totals, Workload Budget Adjustments</b>		<b>\$-</b>	<b>\$109</b>	<b>-0.7</b>	<b>\$-</b>	<b>\$111</b>	<b>-0.7</b>
<b>Totals, Budget Adjustments</b>		<b>\$-</b>	<b>\$109</b>	<b>-0.7</b>	<b>\$-</b>	<b>\$111</b>	<b>-0.7</b>

### DETAILED EXPENDITURES BY PROGRAM

		2016-17*	2017-18*	2018-19*
<b>PROGRAM REQUIREMENTS</b>				
<b>0350</b>	<b>OFFICE OF THE SECRETARY OF LABOR AND WORKFORCE DEVELOPMENT</b>			
<b>State Operations:</b>				
0001	General Fund	\$158	\$-	\$-
0995	Reimbursements	2,197	2,517	2,519
3078	Labor and Workforce Development Fund	355	424	424
<b>Totals, State Operations</b>		<b>\$2,710</b>	<b>\$2,941</b>	<b>\$2,943</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0559 Secretary for Labor and Workforce Development Agency - Continued****TOTALS, EXPENDITURES**

State Operations	2,710	2,941	2,943
<b>Totals, Expenditures</b>	<b>\$2,710</b>	<b>\$2,941</b>	<b>\$2,943</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	13.0	13.0	13.0	\$1,510	\$1,543	\$1,543
Budget Position Transparency	-	-0.7	-0.7	-	-136	-116
Other Adjustments	-1.8	-	-	-167	61	61
<b>Net Totals, Salaries and Wages</b>	<b>11.2</b>	<b>12.3</b>	<b>12.3</b>	<b>\$1,343</b>	<b>\$1,468</b>	<b>\$1,488</b>
Staff Benefits	-	-	-	578	659	661
<b>Totals, Personal Services</b>	<b>11.2</b>	<b>12.3</b>	<b>12.3</b>	<b>\$1,921</b>	<b>\$2,127</b>	<b>\$2,149</b>
OPERATING EXPENSES AND EQUIPMENT				\$789	\$814	\$794
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$2,710</b>	<b>\$2,941</b>	<b>\$2,943</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$158	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$158</b>	<b>-</b>	<b>-</b>
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,197	\$2,517	\$2,519
<b>TOTALS, EXPENDITURES</b>	<b>\$2,197</b>	<b>\$2,517</b>	<b>\$2,519</b>
3078 Labor and Workforce Development Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$420	\$412	\$424
Allocation for Employee Compensation	-	7	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	2	-
<b>Totals Available</b>	<b>\$420</b>	<b>\$424</b>	<b>\$424</b>
Unexpended balance, estimated savings	-65	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$355</b>	<b>\$424</b>	<b>\$424</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$2,710</b>	<b>\$2,941</b>	<b>\$2,943</b>

**FUND CONDITION STATEMENTS**

	2016-17*	2017-18*	2018-19*
3078 Labor and Workforce Development Fund <sup>S</sup>			
BEGINNING BALANCE	\$22,074	\$37,210	\$50,122
Prior Year Adjustments	282	-	-
Adjusted Beginning Balance	\$22,356	\$37,210	\$50,122
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0559 Secretary for Labor and Workforce Development Agency - Continued**

Revenues:			
4121800 Employment Agency Filing Fees	287	287	287
4173500 Settlements and Judgments - Other	21,440	21,440	21,440
Total Revenues, Transfers, and Other Adjustments	<u>\$21,727</u>	<u>\$21,727</u>	<u>\$21,727</u>
Total Resources	\$44,083	\$58,937	\$71,849
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0559 Secretary for Labor and Workforce Development Agency (State Operations)	355	424	424
7300 Agricultural Labor Relations Board (State Operations)	971	1,179	1,180
7350 Department of Industrial Relations (State Operations)	4,892	6,581	6,539
8880 Financial Information System for California (State Operations)	7	10	1
9892 Supplemental Pension Payments (State Operations)	-	-	41
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	648	621	665
Total Expenditures and Expenditure Adjustments	<u>\$6,873</u>	<u>\$8,815</u>	<u>\$8,850</u>
FUND BALANCE	<u>\$37,210</u>	<u>\$50,122</u>	<u>\$62,999</u>
Reserve for economic uncertainties	37,210	50,122	62,999

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	13.0	13.0	13.0	\$1,510	\$1,543	\$1,543
Budget Position Transparency	-	-0.7	-0.7	-	-136	-116
<b>Salary and Other Adjustments</b>	-1.8	-	-	-167	61	61
<b>Totals, Adjustments</b>	<u>-1.8</u>	<u>-0.7</u>	<u>-0.7</u>	<u>\$-167</u>	<u>\$-75</u>	<u>\$-55</u>
<b>TOTALS, SALARIES AND WAGES</b>	<u>11.2</u>	<u>12.3</u>	<u>12.3</u>	<u>\$1,343</u>	<u>\$1,468</u>	<u>\$1,488</u>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.



## 0650 Office of Planning and Research

The Office of Planning and Research (OPR) assists the Governor and the Administration in planning, research, policy development, and legislative analysis. OPR formulates long-range state goals and policies to address land use, climate change, population growth and distribution, urban expansion, infrastructure development, groundwater sustainability and drought response, and resource protection. OPR also maintains and updates the General Plan Guidelines, the California Environmental Quality Act (CEQA) Guidelines, and operates the CEQA Clearinghouse, coordinating CEQA filings and state compliance issues. OPR acts as the state's liaison to a variety of entities including local government, planning professionals, small business, and the military. OPR houses and supports the Strategic Growth Council. In addition, OPR houses California Volunteers, a program aimed to increase the number and impact of Californians involved with service and volunteering throughout the state.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0360	State Planning & Policy Development	24.2	10.2	12.2	\$11,188	\$23,321	\$44,846
0365	California Volunteers	16.0	21.3	21.3	35,861	40,010	31,894
0370	Strategic Growth Council	10.5	14.0	14.0	7,220	606,982	548,770
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>50.7</b>	<b>45.5</b>	<b>47.5</b>	<b>\$54,269</b>	<b>\$670,313</b>	<b>\$625,510</b>

  

		2016-17*	2017-18*	2018-19*
<b>FUNDING</b>				
0001	General Fund	\$11,140	\$15,302	\$43,825
0890	Federal Trust Fund	34,870	40,538	27,959
0995	Reimbursements	877	7,166	4,500
3228	Greenhouse Gas Reduction Fund	7,076	606,831	548,618
9740	Central Service Cost Recovery Fund	306	476	608
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$54,269</b>	<b>\$670,313</b>	<b>\$625,510</b>

### LEGAL CITATIONS AND AUTHORITY

Fish and Game Code sections: 711.4, 1854, and 1856; Government Code sections: 4530-4535.3, 7562, 7564, 13073.5, 17525, 50470; 63024, 65025-65049, 65073, 65352, 65352.3, 65400, 65565.1, 65850.8, 65940, 65944, 66521, and 99502; Health and Safety Code sections: 25199.7, 25395.23, 25395.41, 39719, and 50901; Public Contract Code section 10340; Public Resources Code sections: 5024.5, 21071, 21080.3-21080.4, 21083, 21083.05, 21086, 21091, 21092.2, 21094.5.5, 21095, 21108, 21152.1, 21153, 21159.9, 21161, 21165, 25404, 30400, 30415, 30420, 71350-71360, and 75121; California Code of Regulations: title 2, section 1896.38; title 14, sections: 763, 1683.7, 15000-15007, 15053, 15062, 15073, 15075, 15082, 15087, 15094, 15105, 15205, 15300.3, 16000-16041, and 16500; title 20, section 1862; title 21, section 8122.

### MAJOR PROGRAM CHANGES

- Precision Medicine-The Budget includes \$30 million General Fund to further the efforts of the California Initiative to Advance Precision Medicine. The resources provided will fund additional demonstration projects in precision medicine over a multi-year period, facilitate additional multi-institution and private sector partnerships, and maximize potential non-state matching funds and in-kind support.
- California Education Learning Lab-The Budget includes \$10 million General Fund ongoing for a grant to be made to a consortium of public colleges and universities to operate a lab focused on improving the quality of online higher education.

### DETAILED BUDGET ADJUSTMENTS

		2017-18*			2018-19*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Workload Budget Change Proposals</b>							
	• Precision Medicine	\$-	\$-	-	\$30,000	\$-	-

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0650 Office of Planning and Research - Continued**

• Online Education	-	-	-	10,000	-	-
• Cap and Trade Expenditure Plan: Transformative Climate Communities	-	-	-	-	40,000	-
• Cap and Trade Expenditure Plan: California Climate Change Technology and Solutions Initiative	-	-	-	-	20,000	-
• Housing Package Response (SB 2, SB 35, AB 73, SB 540)	-	-	-	-	333	2.0
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$40,000</b>	<b>\$60,333</b>	<b>2.0</b>
<b>Other Workload Budget Adjustments</b>						
• Expenditure by Category Redistribution	4	-	-	71	15	-
• Adjustment to Reflect Greenhouse Gas Reduction Fund Auction Proceeds	-	266,366	-	-	191,445	-
• Central Service Function Cost Realignment	-	-	-	-131	131	-
• Miscellaneous Baseline Adjustments	-	-273,939	-	239	-2,782	-
• Salary Adjustments	60	101	-	60	101	-
• Benefit Adjustments	24	41	-	27	44	-
• Retirement Rate Adjustments	18	31	-	18	31	-
• Carryover/Reappropriation	-	309,165	-	-	-	-
• Legislation with an Appropriation	-	21,000	-	-	-	-
• SWCAP	-	-	-	-	-8	-
• Budget Position Transparency	-4	-	6.6	-71	-15	6.6
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$102</b>	<b>\$322,765</b>	<b>6.6</b>	<b>\$213</b>	<b>\$188,962</b>	<b>6.6</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$102</b>	<b>\$322,765</b>	<b>6.6</b>	<b>\$40,213</b>	<b>\$249,295</b>	<b>8.6</b>
<b>Totals, Budget Adjustments</b>	<b>\$102</b>	<b>\$322,765</b>	<b>6.6</b>	<b>\$40,213</b>	<b>\$249,295</b>	<b>8.6</b>

**PROGRAM DESCRIPTIONS****0360 - STATE PLANNING AND POLICY DEVELOPMENT**

The major activities of the State Planning and Policy Development program include: (1) recommending and implementing state policies on land-use and growth planning, including General Plan Guidelines; (2) coordinating the implementation of the California Environmental Quality Act (CEQA), including: (a) operating the State Clearinghouse; (b) maintaining an online database for the electronic submission and archiving of CEQA documents; (c) developing CEQA guidelines; (d) providing technical assistance; (e) streamlining permitting; and (f) providing training; (3) supporting the Governor's Advisor for Military Affairs and Governor's Military Council; (4) providing policy research for the Governor and Cabinet; (5) providing technical advice to, and developing guidelines for, local governments on land use planning and compliance with environmental statutes and regulations; (6) developing a program to coordinate regional and local efforts with state climate adaptation strategies regarding the impacts of climate change; (7) supporting drought and fire response efforts; (8) supporting development of state plans including, but not limited to, the Water Action Plan, the State Multi-Hazard Mitigation Plan, the Safeguarding California Plan, the Integrated Energy Policy Report, and the 5-Year Infrastructure Plan; (9) supporting multiple efforts to address climate change and response to carbon pollution; (10) administering the Integrated Climate Adaptation and Resiliency Program to coordinate regional and local efforts with state climate adaptation strategies to adapt to the impacts of climate change; (11) serving as the primary point of contact for information on federal grants related to community, economic, and local development, as well as the coordinator to manage and maximize federal grant opportunities within the state; (12) administering CENSUS 2020 funding, Land Conservation and Conveyance Plan funding, and Precision Medicine funding; (13) conducting a study reviewing the Master Plan for Higher Education; (14) establishing the Rural Economic Development Steering Committee to prioritize sustainable rural economic development in alignment with the state's existing climate goals; and (15) conducting other activities as the Governor may direct.

**0365 - CALIFORNIA VOLUNTEERS**

California Volunteers is charged with increasing the number and impact of Californians involved with service and volunteering throughout the state. California Volunteers administers programs such as AmeriCorps and Disaster Volunteering and Preparedness, guides policy development to support the nonprofit and service fields, and leads the Service Enterprise Initiative, which empowers a nonprofit to more efficiently engage volunteers and effectively address community needs. Through the efforts of California Volunteers, Californians of all ages and abilities are provided ongoing opportunities to volunteer, to become better prepared to respond in emergencies and disasters, and ultimately, to embrace a lifetime ethic of service.

**0370 - STRATEGIC GROWTH COUNCIL**

**0650 Office of Planning and Research - Continued**

The Council coordinates interagency efforts and partners with local and regional government stakeholders to promote sustainable development, improving air and water quality, protecting natural resources and agricultural lands, and reducing greenhouse gas emissions. The Council administers the Transformative Climate Communities Program, the Affordable Housing and Sustainable Communities Program, and the Sustainable Agricultural Lands Conservation Program, developing guidelines, reviewing applications, and providing funding as part of greenhouse gas reduction efforts associated with cap and trade funds. The Council also administers a technical assistance program to support all Greenhouse Gas Reduction Fund programs to assist in the development of projects that maximize greenhouse gas reductions. The Council administers Climate Change and Adaptation Research Program funding. The Council is charged with review of the California 5-Year Infrastructure plan and with making grants and loans to institutions for planning and implementing land uses that achieve the goals of the State's Planning Priorities. The Council also oversees the Health in All Policies program, and sponsors research on infill development, conservation, and other planning issues.

**DETAILED EXPENDITURES BY PROGRAM**

		2016-17*	2017-18*	2018-19*
	<b>PROGRAM REQUIREMENTS</b>			
<b>0360</b>	<b>STATE PLANNING &amp; POLICY DEVELOPMENT</b>			
	<b>State Operations:</b>			
0001	General Fund	\$10,157	\$12,699	\$42,821
0890	Federal Trust Fund	70	787	6
0995	Reimbursements	655	4,078	1,411
9740	Central Service Cost Recovery Fund	306	476	608
	<b>Totals, State Operations</b>	<b>\$11,188</b>	<b>\$18,040</b>	<b>\$44,846</b>
	<b>Local Assistance:</b>			
0001	General Fund	\$-	\$1,600	\$-
0890	Federal Trust Fund	-	3,681	-
	<b>Totals, Local Assistance</b>	<b>\$-</b>	<b>\$5,281</b>	<b>\$-</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0365</b>	<b>CALIFORNIA VOLUNTEERS</b>			
	<b>State Operations:</b>			
0001	General Fund	\$839	\$852	\$852
0890	Federal Trust Fund	1,563	1,960	1,953
0995	Reimbursements	222	3,088	3,089
	<b>Totals, State Operations</b>	<b>\$2,624</b>	<b>\$5,900</b>	<b>\$5,894</b>
	<b>Local Assistance:</b>			
0890	Federal Trust Fund	\$33,237	\$34,110	\$26,000
	<b>Totals, Local Assistance</b>	<b>\$33,237</b>	<b>\$34,110</b>	<b>\$26,000</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0370</b>	<b>STRATEGIC GROWTH COUNCIL</b>			
	<b>State Operations:</b>			
0001	General Fund	\$144	\$151	\$152
3228	Greenhouse Gas Reduction Fund	7,076	15,675	21,165
	<b>Totals, State Operations</b>	<b>\$7,220</b>	<b>\$15,826</b>	<b>\$21,317</b>
	<b>Local Assistance:</b>			
3228	Greenhouse Gas Reduction Fund	-	591,156	527,453
	<b>Totals, Local Assistance</b>	<b>\$-</b>	<b>\$591,156</b>	<b>\$527,453</b>
	<b>TOTALS, EXPENDITURES</b>			
	State Operations	21,032	39,766	72,057
	Local Assistance	33,237	630,547	553,453
	<b>Totals, Expenditures</b>	<b>\$54,269</b>	<b>\$670,313</b>	<b>\$625,510</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0650 Office of Planning and Research - Continued****EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	40.4	38.9	38.9	\$3,076	\$3,202	\$3,284
Budget Position Transparency	-	6.6	6.6	-	-4	-86
Other Adjustments	10.3	-	2.0	729	161	353
<b>Net Totals, Salaries and Wages</b>	<b>50.7</b>	<b>45.5</b>	<b>47.5</b>	<b>\$3,805</b>	<b>\$3,359</b>	<b>\$3,551</b>
Staff Benefits	-	-	-	1,589	1,674	2,104
<b>Totals, Personal Services</b>	<b>50.7</b>	<b>45.5</b>	<b>47.5</b>	<b>\$5,394</b>	<b>\$5,033</b>	<b>\$5,655</b>
OPERATING EXPENSES AND EQUIPMENT				\$15,638	\$30,952	\$26,402
SPECIAL ITEMS OF EXPENSES				-	3,781	40,000
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$21,032</b>	<b>\$39,766</b>	<b>\$72,057</b>

2 Local Assistance	Expenditures		
	2016-17*	2017-18*	2018-19*
Goods - Other	\$-	\$10,000	\$-
Grants and Subventions - Governmental	33,237	488,047	553,453
Other Items of Expense - Miscellaneous	-	132,500	-
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$33,237</b>	<b>\$630,547</b>	<b>\$553,453</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$13,543	\$13,600	\$43,825
Allocation for Employee Compensation	-	60	-
Allocation for Staff Benefits	-	24	-
Budget Position Transparency	-	-4	-
Expenditure by Category Redistribution	-	4	-
Section 3.60 Pension Contribution Adjustment	-	18	-
<b>Totals Available</b>	<b>\$13,543</b>	<b>\$13,702</b>	<b>\$43,825</b>
Unexpended balance, estimated savings	-2,403	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$11,140</b>	<b>\$13,702</b>	<b>\$43,825</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,004	\$1,937	\$1,959
Adjustment per Control Section 28.50, AMP SoCal	-	124	-
Adjustment per Control Section 28.50, CASCADE	-	657	-
Allocation for Employee Compensation	-	17	-
Allocation for Staff Benefits	-	7	-
Section 3.60 Pension Contribution Adjustment	-	5	-
<b>Totals Available</b>	<b>\$2,004</b>	<b>\$2,747</b>	<b>\$1,959</b>
Unexpended balance, estimated savings	-371	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,633</b>	<b>\$2,747</b>	<b>\$1,959</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$877	\$7,166	\$4,500

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**0650 Office of Planning and Research - Continued**

<b>TOTALS, EXPENDITURES</b>	<b>\$877</b>	<b>\$7,166</b>	<b>\$4,500</b>
<b>3228 Greenhouse Gas Reduction Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,109	-	\$21,165
001 Budget Act appropriation as amended by Chapter 249, Statutes of 2017	-	1,115	-
Allocation for Employee Compensation	-	29	-
Allocation for Staff Benefits	-	12	-
Chapter 249, Statutes of 2017 (AB 109)	-	11,000	-
Section 3.60 Pension Contribution Adjustment	-	9	-
002 Budget Act appropriation as added by Chapter 370, Statutes of 2016	2,000	-	-
Adjustment per Control Section 1.50, Transformative Climate Communities Program Administration	-	500	-
Past Year Adjustments	7,000	-	-
Prior Year Balances Available:			
State operations expenditure from local assistance appropriation	-	3,010	-
<b>Totals Available</b>	<b>\$10,109</b>	<b>\$15,675</b>	<b>\$21,165</b>
Unexpended balance, estimated savings	-23	-	-
Balance available in subsequent years	-3,010	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$7,076</b>	<b>\$15,675</b>	<b>\$21,165</b>
<b>9740 Central Service Cost Recovery Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$306	\$461	\$608
Allocation for Employee Compensation	-	9	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	3	-
<b>TOTALS, EXPENDITURES</b>	<b>\$306</b>	<b>\$476</b>	<b>\$608</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$21,032</b>	<b>\$39,766</b>	<b>\$72,057</b>
<b>2 LOCAL ASSISTANCE</b>	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>0001 General Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$1,600	-
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>\$1,600</b>	<b>-</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$34,516	\$26,000	\$26,000
Adjustment per Control Section 28.00, AmeriCorps	-	8,110	-
Adjustment per Control Section 28.50, AMP SoCal	-	1,376	-
Adjustment per Control Section 28.50, CASCADE	-	2,305	-
<b>Totals Available</b>	<b>\$34,516</b>	<b>\$37,791</b>	<b>\$26,000</b>
Unexpended balance, estimated savings	-1,279	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$33,237</b>	<b>\$37,791</b>	<b>\$26,000</b>
<b>3228 Greenhouse Gas Reduction Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$40,000
101 Budget Act appropriation as added by Chapter 370, Statutes of 2016	140,000	-	-
Adjustment per Control Section 1.50, Transformative Climate Communities Program Administration	-	-500	-
Chapter 249, Statutes of 2017 (AB 109)	-	10,000	-
Past Year Adjustments	-7,000	-	-
Health and Safety Code section 39719(b)(1)(C)	198,647	298,646	487,453
Adjustment to Reflect Greenhouse Gas Reduction Fund Auction Proceeds	-	266,366	-

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**0650 Office of Planning and Research - Continued**

Affordable Housing and Sustainable Communities Program Funding Transfer per Health and Safety Code Section 39719	-	-286,298	-
Affordable Housing and Sustainable Communities Program Funding Transfer, per Health and Safety Code Section 39719	-3,988	-3,213	-
Carryover	-	173,125	-
Carryover from Item 0650-001-3228 (Past and Prior Years)	-	30	-
Past Year Adjustments	-21,534	-	-
Prior Year Balances Available:			
Item 0650-101-3228, Budget Act of 2016 as added by Chapter 370, Statutes of 2016	-	133,000	-
<b>Totals Available</b>	<b>\$306,125</b>	<b>\$591,156</b>	<b>\$527,453</b>
Balance available in subsequent years	-306,125	-	-
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>\$591,156</b>	<b>\$527,453</b>
<b>Total Expenditures, All Funds, (Local Assistance)</b>	<b>\$33,237</b>	<b>\$630,547</b>	<b>\$553,453</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$54,269</b>	<b>\$670,313</b>	<b>\$625,510</b>

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	40.4	38.9	38.9	\$3,076	\$3,202	\$3,284
Budget Position Transparency	-	6.6	6.6	-	-4	-86
<b>Salary and Other Adjustments</b>	10.3	-	-	729	161	161
<b>Workload and Administrative Adjustments</b>						
<b>Housing Package Response (SB 2, SB 35, AB 73, SB 540)</b>						
Various	-	-	2.0	-	-	192
<b>TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS</b>	<b>-</b>	<b>-</b>	<b>2.0</b>	<b>\$-</b>	<b>\$-</b>	<b>\$192</b>
<b>Totals, Adjustments</b>	<b>10.3</b>	<b>6.6</b>	<b>8.6</b>	<b>\$729</b>	<b>\$157</b>	<b>\$267</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>50.7</b>	<b>45.5</b>	<b>47.5</b>	<b>\$3,805</b>	<b>\$3,359</b>	<b>\$3,551</b>

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## 0690 Office of Emergency Services

The principal objective of the Office of Emergency Services (OES) is to protect lives and property, build capabilities, and support our communities for a resilient California. The OES Director serves as both the Governor's Homeland Security Advisor and Emergency Management Director, with responsibility to implement and facilitate the state's homeland security and counter-terrorism strategy. The OES serves the public through effective collaboration in preparing for, protecting against, responding to, recovering from, and mitigating the impacts of all hazards and threats.

On a day-to-day basis, the OES provides leadership, assistance, training, and support to state and local agencies and coordinates with federal agencies in planning and preparing for the most effective use of federal, state, local, and private sector resources in emergencies. This emergency planning is based upon a system of mutual aid whereby a jurisdiction relies first on its own resources, and then requests assistance from its neighbors. The OES' plans and programs are coordinated with those of the federal government, other states, private sector, utilities, and state and local agencies within California.

During an emergency, the OES functions as the Governor's immediate staff to provide guidance and coordinate the state's responsibilities under the Emergency Services Act and applicable federal statutes. It also acts as the conduit for federal assistance through natural disaster grants and federal agency support. Additionally, the OES is responsible for the development and coordination of a comprehensive state strategy related to all hazards, including terrorism, which includes prevention, preparedness, mitigation, response, and recovery.

The OES implements the state homeland security strategy by overseeing the State Threat Assessment System (STAS), which includes management of the State Threat Assessment Center (STAC). The STAS is comprised of four regional and one major urban area Fusion Centers that assist in the detection, prevention, investigation, and response to criminal and terrorist activity; and information and intelligence sharing between local, state, federal, tribal agencies, and private sector partners. The STAC operates as the state's information-sharing clearinghouse for strategic threat analysis, counter-terrorism, situational awareness reporting, and case analysis for statewide leadership, agencies, and public safety community. Further, the OES improves the criminal justice system in California by providing financial and technical assistance to local governments, state agencies, and the private sector for homeland security, public safety, and victim services.

Because the OES' programs drive a need for infrastructure investment, the OES has a capital outlay program to support this need. For the specifics on the OES' capital outlay program see "Infrastructure Overview."

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0380	Emergency Management Services	161.8	190.5	211.7	\$67,213	\$63,159	\$118,078
0385	Special Programs and Grant Management	246.3	218.8	296.9	1,008,359	1,321,076	1,251,870
0395	Public Safety Communications	363.2	355.5	371.8	150,428	191,183	217,701
9900100	Administration	109.2	128.2	154.2	16,505	18,602	22,227
9900200	Administration - Distributed	-	-	-	-16,505	-18,602	-22,227
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>880.5</b>	<b>893.0</b>	<b>1,034.6</b>	<b>\$1,226,000</b>	<b>\$1,575,418</b>	<b>\$1,587,649</b>
<b>FUNDING</b>					<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
0001	General Fund				\$191,159	\$332,600	\$308,725
0022	State Emergency Telephone Number Account				79,425	111,161	137,653
0028	Unified Program Account				676	877	877
0029	Nuclear Planning Assessment Special Account				2,906	3,227	3,298
0214	Restitution Fund				5,201	-	-
0241	Local Public Prosecutors and Public Defenders Training Fund				809	-	-
0425	Victim - Witness Assistance Fund				13,298	-	-
0437	State Assistance For Fire Equipment Account				4	100	100
0890	Federal Trust Fund				760,609	1,000,108	1,012,644
0903	State Penalty Fund				-	12,284	9,434
0985	Emergency Housing and Assistance Fund				10,000	-	-
0995	Reimbursements				6,165	4,610	4,936
3034	Antiterrorism Fund				446	1,268	1,268
3228	Greenhouse Gas Reduction Fund				-	25,000	25,000
3260	Regional Railroad Accident Preparedness and Immediate Response Fund				3,242	-	-
6061	Transit System Safety, Security, and Disaster Response Account, Highway				80,526	2,786	2,787

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**0690 Office of Emergency Services - Continued**

Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006				
8039	Disaster Resistant Communities Account	-	207	207
8069	Child Victims of Human Trafficking Fund	250	268	172
8084	American Red Cross, California Chapters Fund	37	400	-
8093	California Sexual Violence Victim Services Fund	244	250	250
8104	California Domestic Violence Victims Fund	-	250	250
9751	Public Safety Communications Revolving Fund	71,003	80,022	80,048
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$1,226,000</b>	<b>\$1,575,418</b>	<b>\$1,587,649</b>

**LEGAL CITATIONS AND AUTHORITY**

## DEPARTMENT AUTHORITY

Government Code, Title 2, Division 1, Chapter 7; Government Code, Title 5, Division 2, Part 1, Chapter 1, Article 6; Penal Code, Part 4, Title 6, Chapter 3.

**MAJOR PROGRAM CHANGES**

- California Disaster Assistance Act – The Budget includes a one-time augmentation of \$88.1 million General Fund to increase the amount of funding available through the California Disaster Assistance Act, which is used to repair, restore, or replace public real property damaged or destroyed by a disaster, and to reimburse local governments for costs associated with certain emergency activities undertaken in response to a state of emergency. This augmentation increases the total funding available to \$127.2 million in 2018-19.
- California Public Safety Microwave Network – The Budget includes \$15 million State Emergency Telephone Number Account to begin a five-year plan to upgrade the California Public Safety Microwave Network from an analog system to a digital system to enhance emergency response communications.
- Next Generation 9-1-1 – The Budget includes \$11.5 million State Emergency Telephone Number Account to implement a statewide Next Generation 9-1-1 system, which will provide various benefits, including faster call delivery, increased routing accuracy and functionality, call overflow and backup functionality, updated geographic information capability and wireless location data, and incoming text capability.
- California Earthquake Early Warning System – The Budget includes \$15.8 million General Fund to complete the buildout of the California Earthquake Early Warning System and provide support to the California Earthquake Early Warning Program and Advisory Board.
- Situational Awareness and Collaboration Tool – The Budget includes \$353,000 General Fund and \$325,000 reimbursements to manage and train local agencies on the effective use of this tactical tool, which is deployed in the field to coordinate various disaster response efforts, including, but not limited to, evacuations, warnings, and shelters.
- Emergency Response Operations – The Budget includes \$1.6 million General Fund to increase staffing within the Homeland Security Branch and Regional Response and Readiness Branch. These branches support liaison efforts between federal, state, and local partners, and assist with responding to disasters and emergency management coordination.
- Recovery Program Workload – The Budget includes \$2.8 million General Fund and \$8.4 million Federal Trust Fund for three years to address increased workload related to disaster recovery activities, including working with impacted communities to implement and administer California Disaster Assistance Act and federal disaster programs.
- Hazard Mitigation Program – The Budget includes \$3.2 million Federal Trust Fund for three years to enhance hazard mitigation activities throughout the state. Mitigation programs provide funding for eligible activities that reduce disaster losses and protect life and property from future disaster damages.
- Regional Hazardous Materials Response Program – The Budget includes \$3.4 million General Fund for three years to provide regional and on-site support for planning, response, and mitigation capabilities in the event of a release of hazardous materials.
- Domestic Violence Housing Program – The Budget includes \$10 million General Fund one-time to support domestic violence services providers for projects that include emergency safe homes or shelters for victims and their families.
- Domestic Violence and Sexual Assault Prevention Services – The Budget includes \$10 million General Fund one-time to support services for individuals impacted by domestic violence and sexual assault.
- Family Justice Centers – The Budget includes \$10 million General Fund one-time to provide grants to Family Justice Centers

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**0690 Office of Emergency Services - Continued**

throughout the state.

- Internet Crimes Against Children – The Budget includes \$5 million General Fund one-time to support the Internet Crimes Against Children Task Forces.
- San Francisco Public Health and Public Safety Capital Upgrades – The Budget includes \$15 million General Fund one-time for public health and public safety capital upgrades in San Francisco.
- Increased Resources for Mutual Aid – The Budget includes \$25 million General Fund one-time to procure equipment and technology to improve the state's mutual aid system and response coordination.
- City of Rialto – The Budget includes \$1.7 million General Fund one-time to the City of Rialto for emergency equipment.

**DETAILED BUDGET ADJUSTMENTS**

	2017-18*			2018-19*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Workload Budget Change Proposals</b>						
• Increased Resources for Mutual Aid	\$-	\$-	-	\$25,000	\$-	-
• California Earthquake Early Warning System	-	-	-	15,000	-	-
• Domestic Violence Housing Program	-	-	-	10,000	-	-
• Domestic Violence Prevention Services	-	-	-	10,000	-	-
• Southern California Debris Removal Local Match Waived	-	-	-	5,500	-	-
• Internet Crimes Against Children	-	-	-	5,000	-	-
• Hazardous Materials Regional Response Program	-	-	-	3,356	-	5.0
• Recovery Program Workload Increase	-	-	-	2,816	8,357	81.0
• Emergency Response Operations	-	-	-	1,566	-	8.0
• Homeless Youth and Exploitation Program	-	-	-	1,000	-	-
• California Earthquake Early Warning Program Staffing	-	-	-	750	-	-
• Disaster Service Worker Volunteer Program	-	-	-	675	-	-
• Situational Awareness and Collaboration Tool	-	-	-	353	325	2.0
• Cap and Trade Expenditure Plan: Local Fire Response	-	-	-	-	25,000	6.0
• California Public Safety Microwave Network	-	-	-	-	15,027	10.0
• 9-1-1 Sustainment	-	-	-	-	11,463	6.0
• Hazard Mitigation Program Workload Increase	-	-	-	-	3,220	23.0
• Nonprofit Security Grant Program	-	-	-	-	500	-
• Child Victim of Human Trafficking Fund	-	-	-	-	172	-
• American Red Cross, CA Chapters Fund	-	-	-	-	-400	-
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$81,016</b>	<b>\$63,664</b>	<b>141.0</b>
<b>Other Workload Budget Adjustments</b>						
• California Disaster Assistance Act Adjustment	-	-	-	82,623	-	-
• Allocation for Other Post-Employment Benefits	132	347	-	129	347	-
• Adjustment per Government Code Section 8610.5	-	-	-	-	71	-
• Expenditure by Category Redistribution	719	1,437	-	-369	-731	-
• Miscellaneous Baseline Adjustments	159,942	-	-8.0	931	-82,851	-4.0
• Salary Adjustments	784	2,511	-	774	2,513	-
• Budget Position Transparency	-719	-1,437	-8.4	369	731	-7.8
• Benefit Adjustments	323	961	-	339	1,015	-
• Retirement Rate Adjustments	307	857	-	307	857	-
• SWCAP	-	-	-	-	934	-
• Carryover/Reappropriation	150	-	-	-	-	-

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**0690 Office of Emergency Services - Continued**

• Legislation with an Appropriation	-	25,000	-	-	-	-
• Lease Revenue Debt Service Adjustment	-576	-	-	-887	-	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$161,062</b>	<b>\$29,676</b>	<b>-16.4</b>	<b>\$84,216</b>	<b>\$-77,114</b>	<b>-11.8</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$161,062</b>	<b>\$29,676</b>	<b>-16.4</b>	<b>\$165,232</b>	<b>\$-13,450</b>	<b>129.2</b>
<b>Policy Adjustments</b>						
• San Francisco Public Health and Public Safety Capital Upgrades	-	-	-	15,000	-	-
• Family Justice Centers	-	-	-	10,000	-	-
• City of Rialto	-	-	-	1,705	-	-
Totals, Policy Adjustments	-	-	-	26,705	-	-
<b>Totals, Budget Adjustments</b>	<b>\$161,062</b>	<b>\$29,676</b>	<b>-16.4</b>	<b>\$191,937</b>	<b>\$-13,450</b>	<b>129.2</b>

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**0690 Office of Emergency Services - Continued****Natural Disaster Assistance Costs**

	GB Estimated 2017-18	GB Proposed 2018-19	GB Two-Year Total	MR Estimated 2017-18	MR Proposed 2018-19	MR Two-Year Total	Purpose
<b>Northern California Wildfires (October 2017)</b>							
Governor's Office of Emergency Service	\$949,702	\$0	\$949,702	\$1,135,875	\$0	\$1,135,875	Debris removal
Governor's Office of Emergency Service	63,313	0	63,313	29,125	0	29,125	Local debris cost
Governor's Office of Emergency Service	400,000	0	400,000	400,000	0	400,000	Fire response
Governor's Office of Emergency Service	3,513	0	3,513	3,067	0	3,067	State Op Center
Governor's Office of Emergency Service	1,686	0	1,686	3,005	0	3,005	Field operations
Governor's Office of Emergency Service	15,000	50,000	65,000	11,801	39,337	51,138	Public assistance
Governor's Office of Emergency Services (CDAA)	0	0	0	0	13,862	13,862	Public assistance
Department of General Services	13,000	0	13,000	7,176	0	7,176	Miscellaneous
Department of Social Services	6,550	130	6,680	2,800	200	3,000	Shelter support
California Military Department	5,000	0	5,000	4,501	0	4,501	Fire response
Department of Public Health	1,424	0	1,424	1,424	0	1,424	Field operations
Department of Housing and Community Development	652	212	864	652	480	1,132	Public assistance
Emergency Medical Services Authority	315	0	315	50	0	50	Field operations
Department of Forestry and Fire Protection <sup>1</sup>	238,618	0	238,618	226,882	0	226,882	Fire response
Department of Resources Recycling and Recovery	35,000	0	35,000	35,000	0	35,000	Debris removal
Department of Social Services	5,000	0	5,000	5,000	0	5,000	Public assistance
Department of Toxic Substances Control	1,500	0	1,500	1,500	0	1,500	Debris removal
Backfill of Local Property Tax	0	23,700	23,700	0	21,813	21,813	Public assistance
Backfill of Local Property Tax (Proposition 98)	11,400	13,000	24,400	11,451	11,165	22,616	Public assistance
<b>Subtotal Northern California Wildfires (October 2017)</b>	<b>\$1,751,673</b>	<b>\$87,042</b>	<b>\$1,838,715</b>	<b>\$1,879,309</b>	<b>\$86,857</b>	<b>\$1,966,166</b>	
<b>Southern California Wildfires (December 2017)</b>							
Department of Forestry and Fire Protection <sup>2</sup>	\$300,000	\$0	\$300,000	\$405,254	\$0	\$405,254	Fire response
Governor's Office of Emergency Service	NA	NA	NA	321,979	15,709	337,688	Miscellaneous
Governor's Office of Emergency Services (CDAA)	NA	NA	NA	0	5,500	5,500	Local debris cost
Governor's Office of Emergency Services (CDAA)	NA	NA	NA	0	34,204	34,204	Miscellaneous
Department of Resources Recycling and Recovery	NA	NA	NA	79,400	0	79,400	Debris removal
Department of Toxic Substances Control	1,900	0	1,900	1,900	0	1,900	Debris removal
California Military Department	NA	NA	NA	1,641	0	1,641	Fire response
Backfill of Local Property Tax	NA	NA	NA	0	11,013	11,013	Public assistance
Backfill of Local Property Tax (Proposition 98)	NA	NA	NA	5,206	9,308	14,514	Public assistance
<b>Subtotal Southern California Wildfires (December 2017)</b>	<b>\$301,900</b>	<b>\$0</b>	<b>\$301,900</b>	<b>\$815,380</b>	<b>\$75,734</b>	<b>\$891,114</b>	
<b>Total Estimated Expenditures</b>	<b>\$2,053,573</b>	<b>\$87,042</b>	<b>\$2,140,615</b>	<b>\$2,694,689</b>	<b>\$162,591</b>	<b>\$2,857,280</b>	
<b>Base E-Fund Expenditures</b>	<b>-\$69,318</b>	<b>\$0</b>	<b>-\$69,318</b>	<b>-\$162,836</b>	<b>\$0</b>	<b>-\$162,836</b>	
<b>Base CDAA Expenditures</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>-\$19,650</b>	<b>-\$19,650</b>	
<b>Total Estimated Federal/Local Cost Share</b>	<b>-\$1,224,404</b>	<b>-\$427,822</b>	<b>-\$1,652,226</b>	<b>-\$2,240,301</b>	<b>-\$49,709</b>	<b>-\$2,290,010</b>	
<b>Net General Fund Cost</b>	<b>\$759,851</b>	<b>-\$340,780</b>	<b>\$419,071</b>	<b>\$291,552</b>	<b>\$93,232</b>	<b>\$384,784</b>	
<b>General Fund Change since GB</b>						<b>-\$34,287</b>	

<sup>1</sup> Governor's Budget assumed a 2018-19 federal abatement of \$190.9 million based on total Northern wildfire Emergency Fund expenditures of \$238.6 million. CalFire's latest expenditure estimate is \$226.9 million and a 2017-18 federal abatement of \$181.5 million is assumed in the May Revision.

<sup>2</sup> Governor's Budget assumed a 2018-19 federal abatement of \$180 million based on total Southern wildfire Emergency Fund expenditures of \$300 million. CalFire's latest expenditure estimate is \$405.3 million and a 2017-18 federal abatement of \$243.2 million is assumed in the May Revision.

**0690 Office of Emergency Services - Continued****Program 0385-Victim Services Projects, Local Assistance**

Component	Program Name	Source of Funds	Actual Expenditures 2016-17	Proposed Expenditures 2017-18	Proposed Expenditures 2018-19
<b>Public Safety / Victim Services</b>					
0385.101	Victim-Witness Assistance	0425 Victim-Witness Assist Fund	\$7,721	\$0	\$0
0385.101	Victim-Witness Assistance	0903 State Penalty Fund	-	7,978	5,894
0385.101	Victim-Witness Assistance	0214 Restitution Fund	4,200	-	-
0385.102	Victims' Legal Resource Center	0001 General Fund	37	37	37
0385.151	Domestic Violence <sup>1</sup>	0001 General Fund	20,602	20,602	30,602
0385.151	Domestic Violence <sup>1</sup>	0890 Federal Trust Fund	8,519	8,510	8,510
0385.152	Family Violence Prevention	0001 General Fund	45	45	5,045
0385.161	Violence Against Women Act	0890 Federal Trust Fund	15,406	14,300	14,300
0385.301	Rape Crisis <sup>1</sup>	0001 General Fund	45	45	5,045
0385.301	Rape Crisis <sup>1</sup>	0425 Victim-Witness Assist Fund	3,670	-	-
0385.301	Rape Crisis <sup>1</sup>	0903 State Penalty Fund	-	2,314	1,710
0385.351	Homeless Youth	0001 General Fund	356	10,356	1,356
0385.351	Homeless Youth	0903 State Penalty Fund	-	466	344
0385.352	Youth Emergency Telephone Refer	0001 General Fund	314	314	314
0385.353	Child Sexual Abuse & Exploitation	0425 Victim-Witness Assist Fund	978	-	-
0385.353	Child Sexual Abuse & Exploitation	0903 State Penalty Fund	-	156	115
0385.354	Child Sexual Abuse Prevention/Trng	0001 General Fund	272	272	272
0385.451	Victims of Crime Act	0890 Federal Trust Fund	228,346	232,000	232,000
0385.504	Project Safe Neighborhoods	0890 Federal Trust Fund	450	700	700
0385.523	Forensic Science Improvement Act	0890 Federal Trust Fund	1,570	2,090	2,090
0385.541	Public Pros/Pub Defender Training	0241 Local Pub Pros/Def Trng Fund	799	-	-
0385.541	Public Pros/Pub Defender Training	0903 State Penalty Fund	-	450	450
0385.902	Child Justice Act	0890 Federal Trust Fund	1,679	1,800	1,800
0385.908	Internet Crimes Against Children	0214 Restitution Fund	1,000	-	-
0385.908	Internet Crimes Against Children	0001 General Fund	-	1,000	5,000
0385.910	American Red Cross	8084 American Red Cross, CC Fund	37	400	-
0385.911	Child Victims of Human Trafficking	8069 Child Victims Human Traff Fund	250	268	172
0385.912	Human Trafficking Victims Assistance	0001 General Fund	10,000	5,000	-
0385.913	CA Sexual Violence Victim Services	8093 CA Sexual Violence Victim Services Fund	244	250	250
0385.916	Victim Assistance Discretionary Training	0890 Federal Trust Fund	2,719	-	-
0385.918	California Domestic Violence Victims Program	8104 California Domestic Violence Victims Fund	-	250	250
0385.921	Family Justice Centers	0001 General Fund	-	-	10,000
<b>Public Safety / Victim Services Total</b>			<b>\$309,259</b>	<b>\$309,603</b>	<b>\$326,256</b>
<b>Total, Program 0385-Victim Services Projects, Local Assistance</b>			<b>\$309,259</b>	<b>\$309,603</b>	<b>\$326,256</b>

<sup>1</sup> Program has multiple funding sources

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**0690 Office of Emergency Services - Continued****PROGRAM DESCRIPTIONS****0380 - EMERGENCY MANAGEMENT SERVICES**

Through the Emergency Management Services and Homeland Security Program, the OES provides effective use of federal, state, and local resources, through the mutual aid system to jurisdictions whose resources and services are overextended in a disaster situation. Also, this program develops and coordinates a comprehensive state strategy related to an all-hazards approach that includes prevention, preparedness, mitigation, response, and recovery consistent with the National Strategy on Homeland Security. Central to this effort is maintaining operational readiness at all levels of government. Within this program, the OES also trains emergency managers, responders, and state and local leaders in preparedness and response to all hazards.

**0385 - SPECIAL PROGRAMS AND GRANT MANAGEMENT**

The OES serves as the State Administering Agent for federal homeland security, emergency management, and victim services grants and is the primary liaison with the Federal Emergency Management Agency, the United States Department of Homeland Security, and other federal agencies. Additionally, the OES serves as an advocate for local public safety, emergency management, and public and private agencies operating public safety and victim service programs. As part of its grant management role, the OES administers the California Disaster Assistance Act and provides eligible local agencies with financial assistance to recover from disasters. Included in this program is the State Threat Assessment Center which is the centerpiece of the State's intelligence information sharing environment and serves as the Governor's designated primary fusion center.

**0395 - PUBLIC SAFETY COMMUNICATIONS**

Public Safety Communications (PSC) serves the State of California by providing public safety communications to the State's first responders and oversight of the 9-1-1 system to the people of California. The PSC is dedicated to the preservation and protection of human life and public safety by delivering reliable and dependable communication services keeping the public connected during times of crisis.

**9900 - ADMINISTRATION AND EXECUTIVE**

The Administration and Executive Program provides the overall policy direction of the OES and support services such as accounting, fiscal, personnel, information technology, and business services.

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0380</b>	<b>EMERGENCY MANAGEMENT SERVICES</b>			
	<b>State Operations:</b>			
0001	General Fund	\$38,512	\$33,416	\$37,747
0028	Unified Program Account	676	877	877
0029	Nuclear Planning Assessment Special Account	1,220	1,156	1,165
0437	State Assistance For Fire Equipment Account	4	100	100
0890	Federal Trust Fund	16,964	22,163	22,416
0995	Reimbursements	6,165	4,590	4,916
3034	Antiterrorism Fund	430	650	650
3228	Greenhouse Gas Reduction Fund	-	-	25,000
3260	Regional Railroad Accident Preparedness and Immediate Response Fund	3,242	-	-
8039	Disaster Resistant Communities Account	-	207	207
	<b>Totals, State Operations</b>	<b>\$67,213</b>	<b>\$63,159</b>	<b>\$93,078</b>
	<b>Local Assistance:</b>			
0001	General Fund	\$-	\$-	\$25,000
	<b>Totals, Local Assistance</b>	<b>\$-</b>	<b>\$-</b>	<b>\$25,000</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0385</b>	<b>SPECIAL PROGRAMS AND GRANT MANAGEMENT</b>			
	<b>State Operations:</b>			

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**0690 Office of Emergency Services - Continued**

0001	General Fund	\$34,380	\$184,504	\$42,678
0214	Restitution Fund	1	-	-
0241	Local Public Prosecutors and Public Defenders Training Fund	10	-	-
0425	Victim - Witness Assistance Fund	929	-	-
0890	Federal Trust Fund	38,090	48,779	61,062
0903	State Penalty Fund	-	920	921
0995	Reimbursements	-	20	20
3034	Antiterrorism Fund	16	118	118
6061	Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	526	2,786	2,787
<b>Totals, State Operations</b>		<b>\$73,952</b>	<b>\$237,127</b>	<b>\$107,586</b>
<b>Local Assistance:</b>				
0001	General Fund	\$118,267	\$114,680	\$203,300
0029	Nuclear Planning Assessment Special Account	1,686	2,071	2,133
0214	Restitution Fund	5,200	-	-
0241	Local Public Prosecutors and Public Defenders Training Fund	799	-	-
0425	Victim - Witness Assistance Fund	12,369	-	-
0890	Federal Trust Fund	705,555	929,166	929,166
0903	State Penalty Fund	-	11,364	8,513
0985	Emergency Housing and Assistance Fund	10,000	-	-
3034	Antiterrorism Fund	-	500	500
3228	Greenhouse Gas Reduction Fund	-	25,000	-
6061	Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	80,000	-	-
8069	Child Victims of Human Trafficking Fund	250	268	172
8084	American Red Cross, California Chapters Fund	37	400	-
8093	California Sexual Violence Victim Services Fund	244	250	250
8104	California Domestic Violence Victims Fund	-	250	250
<b>Totals, Local Assistance</b>		<b>\$934,407</b>	<b>\$1,083,949</b>	<b>\$1,144,284</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>0395</b>	<b>PUBLIC SAFETY COMMUNICATIONS</b>			
<b>State Operations:</b>				
0022	State Emergency Telephone Number Account	\$2,106	\$2,542	\$18,653
9751	Public Safety Communications Revolving Fund	71,003	80,022	80,048
<b>Totals, State Operations</b>		<b>\$73,109</b>	<b>\$82,564</b>	<b>\$98,701</b>
<b>Local Assistance:</b>				
0022	State Emergency Telephone Number Account	\$77,319	\$108,619	\$119,000
<b>Totals, Local Assistance</b>		<b>\$77,319</b>	<b>\$108,619</b>	<b>\$119,000</b>
<b>SUBPROGRAM REQUIREMENTS</b>				
<b>9900100</b>	<b>Administration</b>			
<b>State Operations:</b>				
0001	General Fund	\$16,505	\$18,602	\$22,227
<b>Totals, State Operations</b>		<b>\$16,505</b>	<b>\$18,602</b>	<b>\$22,227</b>
<b>SUBPROGRAM REQUIREMENTS</b>				
<b>9900200</b>	<b>Administration - Distributed</b>			
<b>State Operations:</b>				
0001	General Fund	-\$16,505	-\$18,602	-\$22,227
<b>Totals, State Operations</b>		<b>-\$16,505</b>	<b>-\$18,602</b>	<b>-\$22,227</b>
<b>TOTALS, EXPENDITURES</b>				
State Operations		214,274	382,850	299,365
Local Assistance		1,011,726	1,192,568	1,288,284

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**0690 Office of Emergency Services - Continued****Totals, Expenditures****\$1,226,000    \$1,575,418    \$1,587,649****EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	880.4	909.4	905.4	\$77,315	\$72,382	\$71,319
Budget Position Transparency	-	-8.4	-7.8	-	-2,156	1,100
Other Adjustments	0.1	-8.0	137.0	-5,602	10,791	25,666
<b>Net Totals, Salaries and Wages</b>	<b>880.5</b>	<b>893.0</b>	<b>1,034.6</b>	<b>\$71,713</b>	<b>\$81,017</b>	<b>\$98,085</b>
Staff Benefits	-	-	-	31,248	38,048	46,070
<b>Totals, Personal Services</b>	<b>880.5</b>	<b>893.0</b>	<b>1,034.6</b>	<b>\$102,961</b>	<b>\$119,065</b>	<b>\$144,155</b>
OPERATING EXPENSES AND EQUIPMENT				\$111,313	\$103,843	\$155,210
SPECIAL ITEMS OF EXPENSES				-	159,942	-
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$214,274</b>	<b>\$382,850</b>	<b>\$299,365</b>

2 Local Assistance	Expenditures		
	2016-17*	2017-18*	2018-19*
Goods - Other	\$-	\$25,000	\$-
Grants and Subventions - Governmental	1,011,726	1,167,568	1,288,284
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$1,011,726</b>	<b>\$1,192,568</b>	<b>\$1,288,284</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$74,213	\$48,625	\$74,015
Allocation for Employee Compensation	-	774	-
Allocation for Other Post-Employment Benefits	-	129	-
Allocation for Staff Benefits	-	320	-
Budget Position Transparency	-	-719	-
Expenditure by Category Redistribution	-	719	-
Northern California Wildfire Costs	-	138,568	-
Section 3.60 Pension Contribution Adjustment	-	304	-
Southern California Wildfire Costs	-	21,374	-
003 Budget Act appropriation	6,340	6,366	5,479
Lease Revenue Debt Service Adjustment	-	-576	-
004 Budget Act appropriation	-	1,867	931
Allocation for Employee Compensation	-	10	-
Allocation for Other Post-Employment Benefits	-	3	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	3	-
Prior Year Balances Available:			
Item 0690-001-0001, Budget Act of 2015	701	-	-
Item 0690-001-0001, Budget Act of 2016	-	150	-
<b>Totals Available</b>	<b>\$81,254</b>	<b>\$217,920</b>	<b>\$80,425</b>
Unexpended balance, estimated savings	-8,212	-	-

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**0690 Office of Emergency Services - Continued**

Balance available in subsequent years	-150	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$72,892</b>	<b>\$217,920</b>	<b>\$80,425</b>
<b>0022 State Emergency Telephone Number Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,465	\$2,442	\$18,653
Allocation for Employee Compensation	-	53	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	22	-
Budget Position Transparency	-	-42	-
Expenditure by Category Redistribution	-	42	-
Section 3.60 Pension Contribution Adjustment	-	24	-
<b>Totals Available</b>	<b>\$2,465</b>	<b>\$2,542</b>	<b>\$18,653</b>
Unexpended balance, estimated savings	-359	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,106</b>	<b>\$2,542</b>	<b>\$18,653</b>
<b>0028 Unified Program Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$842	\$841	\$877
Allocation for Employee Compensation	-	19	-
Allocation for Other Post-Employment Benefits	-	3	-
Allocation for Staff Benefits	-	8	-
Budget Position Transparency	-	-9	-
Expenditure by Category Redistribution	-	9	-
Section 3.60 Pension Contribution Adjustment	-	6	-
<b>Totals Available</b>	<b>\$842</b>	<b>\$877</b>	<b>\$877</b>
Unexpended balance, estimated savings	-166	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$676</b>	<b>\$877</b>	<b>\$877</b>
<b>0029 Nuclear Planning Assessment Special Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,109	\$1,116	\$1,165
Allocation for Employee Compensation	-	20	-
Allocation for Other Post-Employment Benefits	-	6	-
Allocation for Staff Benefits	-	7	-
Budget Position Transparency	-	-14	-
Expenditure by Category Redistribution	-	14	-
Past Year Adjustments	305	-	-
Section 3.60 Pension Contribution Adjustment	-	7	-
<b>Totals Available</b>	<b>\$1,414</b>	<b>\$1,156</b>	<b>\$1,165</b>
Unexpended balance, estimated savings	-194	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,220</b>	<b>\$1,156</b>	<b>\$1,165</b>
<b>0214 Restitution Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$8	-	-
<b>Totals Available</b>	<b>\$8</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-7	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>-</b>	<b>-</b>
<b>0241 Local Public Prosecutors and Public Defenders Training Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$84	-	-
<b>Totals Available</b>	<b>\$84</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-74	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$10</b>	<b>-</b>	<b>-</b>
<b>0425 Victim - Witness Assistance Fund</b>			

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**0690 Office of Emergency Services - Continued**

## APPROPRIATIONS

001 Budget Act appropriation	\$1,422	-	-
<b>Totals Available</b>	<b>\$1,422</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-493	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$929</b>	<b>-</b>	<b>-</b>

**0437 State Assistance For Fire Equipment Account**

## APPROPRIATIONS

Government Code section 8589.16	\$100	\$100	\$100
<b>Totals Available</b>	<b>\$100</b>	<b>\$100</b>	<b>\$100</b>
Unexpended balance, estimated savings	-96	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$4</b>	<b>\$100</b>	<b>\$100</b>

**0890 Federal Trust Fund**

## APPROPRIATIONS

001 Budget Act appropriation	\$74,106	\$69,649	\$83,478
Allocation for Employee Compensation	-	689	-
Allocation for Other Post-Employment Benefits	-	71	-
Allocation for Staff Benefits	-	264	-
Budget Position Transparency	-	-422	-
Expenditure by Category Redistribution	-	422	-
Section 3.60 Pension Contribution Adjustment	-	269	-
<b>Totals Available</b>	<b>\$74,106</b>	<b>\$70,942</b>	<b>\$83,478</b>
Unexpended balance, estimated savings	-19,052	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$55,054</b>	<b>\$70,942</b>	<b>\$83,478</b>

**0903 State Penalty Fund**

## APPROPRIATIONS

001 Budget Act appropriation	-	\$886	\$921
Allocation for Employee Compensation	-	18	-
Allocation for Staff Benefits	-	8	-
Budget Position Transparency	-	-10	-
Expenditure by Category Redistribution	-	10	-
Section 3.60 Pension Contribution Adjustment	-	8	-
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>\$920</b>	<b>\$921</b>

**0995 Reimbursements**

## APPROPRIATIONS

Reimbursements	\$6,165	\$4,610	\$4,936
<b>TOTALS, EXPENDITURES</b>	<b>\$6,165</b>	<b>\$4,610</b>	<b>\$4,936</b>

**3034 Antiterrorism Fund**

## APPROPRIATIONS

010 Budget Act appropriation	\$763	\$759	\$768
Allocation for Employee Compensation	-	5	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	1	-
Budget Position Transparency	-	-3	-
Expenditure by Category Redistribution	-	3	-
Section 3.60 Pension Contribution Adjustment	-	2	-
<b>Totals Available</b>	<b>\$763</b>	<b>\$768</b>	<b>\$768</b>
Unexpended balance, estimated savings	-317	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$446</b>	<b>\$768</b>	<b>\$768</b>

**3228 Greenhouse Gas Reduction Fund**

## APPROPRIATIONS

001 Budget Act appropriation	-	-	\$25,000
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>\$25,000</b>

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**0690 Office of Emergency Services - Continued****3260 Regional Railroad Accident Preparedness and Immediate Response Fund**

## APPROPRIATIONS

001 Budget Act appropriation	\$9,238	-	-
<b>Totals Available</b>	<b>\$9,238</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-5,996	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$3,242</b>	<b>-</b>	<b>-</b>

**6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006**

## APPROPRIATIONS

001 Budget Act appropriation	\$2,761	\$2,746	\$2,787
Allocation for Employee Compensation	-	22	-
Allocation for Staff Benefits	-	9	-
Budget Position Transparency	-	-12	-
Expenditure by Category Redistribution	-	12	-
Section 3.60 Pension Contribution Adjustment	-	9	-
<b>Totals Available</b>	<b>\$2,761</b>	<b>\$2,786</b>	<b>\$2,787</b>
Unexpended balance, estimated savings	-2,235	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$526</b>	<b>\$2,786</b>	<b>\$2,787</b>

**8039 Disaster Resistant Communities Account**

## APPROPRIATIONS

001 Budget Act appropriation	\$207	\$207	\$207
<b>Totals Available</b>	<b>\$207</b>	<b>\$207</b>	<b>\$207</b>
Unexpended balance, estimated savings	-207	-	-
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>\$207</b>	<b>\$207</b>

**9751 Public Safety Communications Revolving Fund**

## APPROPRIATIONS

001 Budget Act appropriation	\$76,566	\$76,999	\$80,048
Allocation for Employee Compensation	-	1,632	-
Allocation for Other Post-Employment Benefits	-	255	-
Allocation for Staff Benefits	-	623	-
Budget Position Transparency	-	-891	-
Expenditure by Category Redistribution	-	891	-
Section 3.60 Pension Contribution Adjustment	-	513	-
<b>Totals Available</b>	<b>\$76,566</b>	<b>\$80,022</b>	<b>\$80,048</b>
Unexpended balance, estimated savings	-5,563	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$71,003</b>	<b>\$80,022</b>	<b>\$80,048</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$214,274</b>	<b>\$382,850</b>	<b>\$299,365</b>

**2 LOCAL ASSISTANCE**

2016-17\* 2017-18\* 2018-19\*

**0001 General Fund**

## APPROPRIATIONS

101 Budget Act appropriation	\$31,671	\$45,671	\$99,376
102 Budget Act appropriation	-	500	-
112 Budget Act appropriation	91,314	-	127,237
112 Budget Act appropriation as amended by Chapter 54, Statutes of 2017	-	67,497	-
115 Budget Act appropriation	1,242	1,012	1,687
<b>Totals Available</b>	<b>\$124,227</b>	<b>\$114,680</b>	<b>\$228,300</b>
Unexpended balance, estimated savings	-5,960	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$118,267</b>	<b>\$114,680</b>	<b>\$228,300</b>

**0022 State Emergency Telephone Number Account**

## APPROPRIATIONS

101 Budget Act appropriation	\$108,619	\$108,619	\$119,000
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**0690 Office of Emergency Services - Continued**

<b>Totals Available</b>	<b>\$108,619</b>	<b>\$108,619</b>	<b>\$119,000</b>
Unexpended balance, estimated savings	-31,300	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$77,319</b>	<b>\$108,619</b>	<b>\$119,000</b>
<b>0029 Nuclear Planning Assessment Special Account</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,020	\$2,071	\$2,133
<b>Totals Available</b>	<b>\$2,020</b>	<b>\$2,071</b>	<b>\$2,133</b>
Unexpended balance, estimated savings	-334	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,686</b>	<b>\$2,071</b>	<b>\$2,133</b>
<b>0214 Restitution Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$5,200	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$5,200</b>	<b>-</b>	<b>-</b>
<b>0241 Local Public Prosecutors and Public Defenders Training Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$799	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$799</b>	<b>-</b>	<b>-</b>
<b>0425 Victim - Witness Assistance Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$12,369	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$12,369</b>	<b>-</b>	<b>-</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$669,766	\$669,766	\$669,766
102 Budget Act appropriation	259,400	259,400	259,400
<b>Totals Available</b>	<b>\$929,166</b>	<b>\$929,166</b>	<b>\$929,166</b>
Unexpended balance, estimated savings	-223,611	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$705,555</b>	<b>\$929,166</b>	<b>\$929,166</b>
<b>0903 State Penalty Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$11,364	\$8,513
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>\$11,364</b>	<b>\$8,513</b>
<b>0985 Emergency Housing and Assistance Fund</b>			
APPROPRIATIONS			
Per Provision 2 of Item 2240-105-0001, Budget Act of 2016	\$10,000	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$10,000</b>	<b>-</b>	<b>-</b>
<b>3034 Antiterrorism Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$500	\$500
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>\$500</b>	<b>\$500</b>
<b>3228 Greenhouse Gas Reduction Fund</b>			
APPROPRIATIONS			
Chapter 249, Statutes of 2017 (AB 109)	-	\$25,000	-
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>\$25,000</b>	<b>-</b>
<b>6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$80,000	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$80,000</b>	<b>-</b>	<b>-</b>
<b>8069 Child Victims of Human Trafficking Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$250	\$268	\$172
<b>TOTALS, EXPENDITURES</b>	<b>\$250</b>	<b>\$268</b>	<b>\$172</b>

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**0690 Office of Emergency Services - Continued****8084 American Red Cross, California Chapters Fund**

## APPROPRIATIONS

101 Budget Act appropriation	\$400	\$400	-
<b>Totals Available</b>	<b>\$400</b>	<b>\$400</b>	<b>-</b>
Unexpended balance, estimated savings	-363	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$37</b>	<b>\$400</b>	<b>-</b>

**8093 California Sexual Violence Victim Services Fund**

## APPROPRIATIONS

101 Budget Act appropriation	\$250	\$250	\$250
<b>Totals Available</b>	<b>\$250</b>	<b>\$250</b>	<b>\$250</b>
Unexpended balance, estimated savings	-6	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$244</b>	<b>\$250</b>	<b>\$250</b>

**8104 California Domestic Violence Victims Fund**

## APPROPRIATIONS

101 Budget Act appropriation	-	\$250	\$250
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>\$250</b>	<b>\$250</b>
<b>Total Expenditures, All Funds, (Local Assistance)</b>	<b>\$1,011,726</b>	<b>\$1,192,568</b>	<b>\$1,288,284</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$1,226,000</b>	<b>\$1,575,418</b>	<b>\$1,587,649</b>

**FUND CONDITION STATEMENTS**

	2016-17*	2017-18*	2018-19*
<b>0022 State Emergency Telephone Number Account <sup>s</sup></b>			
BEGINNING BALANCE	\$53,480	\$52,640	\$16,071
Prior Year Adjustments	2,422	-	-
Adjusted Beginning Balance	\$55,902	\$52,640	\$16,071
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4140500 Emergency Telephone User's Surcharge	81,076	80,549	130,013
4171100 Cost Recoveries - Other	2	2	2
Total Revenues, Transfers, and Other Adjustments	\$81,078	\$80,551	\$130,015
Total Resources	\$136,980	\$133,191	\$146,086
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	2,106	2,542	18,653
0690 Office of Emergency Services (Local Assistance)	77,319	108,619	119,000
0860 State Board of Equalization (State Operations)	995	-	-
3540 Department of Forestry and Fire Protection (State Operations)	3,648	3,815	3,815
7600 California Department of Tax and Fee Administration (State Operations)	-	1,630	1,689
8880 Financial Information System for California (State Operations)	12	10	1
9892 Supplemental Pension Payments (State Operations)	-	-	44
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	260	504	568
Total Expenditures and Expenditure Adjustments	\$84,340	\$117,120	\$143,770
FUND BALANCE	\$52,640	\$16,071	\$2,316
Reserve for economic uncertainties	52,640	16,071	2,316
<b>0029 Nuclear Planning Assessment Special Account <sup>s</sup></b>			
BEGINNING BALANCE	\$704	\$590	\$206
Prior Year Adjustments	-704	-	-
Adjusted Beginning Balance	-	\$590	\$206
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			

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**0690 Office of Emergency Services - Continued**

4129200 Other Regulatory Fees	4,343	3,994	4,160
Total Revenues, Transfers, and Other Adjustments	<u>\$4,343</u>	<u>\$3,994</u>	<u>\$4,160</u>
Total Resources	\$4,343	\$4,584	\$4,366
<b>EXPENDITURE AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
0690 Office of Emergency Services (State Operations)	1,220	1,156	1,165
0690 Office of Emergency Services (Local Assistance)	1,686	2,071	2,133
4265 Department of Public Health (State Operations)	720	984	984
8880 Financial Information System for California (State Operations)	3	2	-
9892 Supplemental Pension Payments (State Operations)	-	-	14
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	124	165	70
Total Expenditures and Expenditure Adjustments	<u>\$3,753</u>	<u>\$4,378</u>	<u>\$4,366</u>
FUND BALANCE	\$590	\$206	\$0
Reserve for economic uncertainties	590	206	-
<b>0241 Local Public Prosecutors and Public Defenders Training Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$1,130	\$1,121	\$1,119
Prior Year Adjustments	-54	-	-
Adjusted Beginning Balance	<u>\$1,076</u>	<u>\$1,121</u>	<u>\$1,119</u>
<b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>			
Revenues:			
4163000 Investment Income - Surplus Money Investments	7	5	-
4173000 Penalty Assessments - Other	850	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$857</u>	<u>\$5</u>	<u>-</u>
Total Resources	\$1,933	\$1,126	\$1,119
<b>EXPENDITURE AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
0690 Office of Emergency Services (State Operations)	10	-	-
0690 Office of Emergency Services (Local Assistance)	799	-	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	3	7	-
Total Expenditures and Expenditure Adjustments	<u>\$812</u>	<u>\$7</u>	<u>-</u>
FUND BALANCE	\$1,121	\$1,119	\$1,119
Reserve for economic uncertainties	1,121	1,119	1,119
<b>0375 Disaster Response-Emergency Operations Account, Special Fund for Economic Uncertainties<sup>s</sup></b>			
BEGINNING BALANCE	\$23	\$23	\$23
Adjusted Beginning Balance	<u>\$23</u>	<u>\$23</u>	<u>\$23</u>
Total Resources	\$23	\$23	\$23
FUND BALANCE	<u>\$23</u>	<u>\$23</u>	<u>\$23</u>
Reserve for economic uncertainties	23	23	23
<b>0425 Victim - Witness Assistance Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$1,452	\$322	\$220
Prior Year Adjustments	-292	-	-
Adjusted Beginning Balance	<u>\$1,160</u>	<u>\$322</u>	<u>\$220</u>
<b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>			
Revenues:			
4131500 Felony Conviction Penalties	1	1	-
4163000 Investment Income - Surplus Money Investments	22	6	-
4173000 Penalty Assessments - Other	8,373	-	-
Transfers and Other Adjustments			
Revenue Transfer From Driver Training Penalty Assessment Fund (0178) to Victim - Witness Assistance Fund (0425), per Code Section 24.10, Budget Acts	4,121	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$12,517</u>	<u>\$7</u>	<u>-</u>

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**0690 Office of Emergency Services - Continued**

Total Resources	\$13,677	\$329	\$220
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	929	-	-
0690 Office of Emergency Services (Local Assistance)	12,369	-	-
8880 Financial Information System for California (State Operations)	1	2	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	56	107	-
Total Expenditures and Expenditure Adjustments	\$13,355	\$109	-
FUND BALANCE	\$322	\$220	\$220
Reserve for economic uncertainties	322	220	220

**0437 State Assistance For Fire Equipment Account <sup>S</sup>**

BEGINNING BALANCE	\$1,426	\$1,867	\$1,867
Adjusted Beginning Balance	\$1,426	\$1,867	\$1,867
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4170400 Capital Asset Sales Proceeds	445	100	100
Total Revenues, Transfers, and Other Adjustments	\$445	\$100	\$100
Total Resources	\$1,871	\$1,967	\$1,967
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	4	100	100
Total Expenditures and Expenditure Adjustments	\$4	\$100	\$100
FUND BALANCE	\$1,867	\$1,867	\$1,867
Reserve for economic uncertainties	1,867	1,867	1,867

**0903 State Penalty Fund <sup>N</sup>**

BEGINNING BALANCE	\$3	-	\$634
Prior Year Adjustments	-3	-	-
Adjusted Beginning Balance	-	-	\$634
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172240 Fines and Penalties - External - Other	98,350	\$93,642	81,041
4172500 Miscellaneous Revenue	-96,515	-	-474
Transfers and Other Adjustments			
Revenue Transfer from the State Penalty Fund (0903) to the Fish and Game Preservation Fund (0200) per Penal Code 1464	-	-450	-450
Revenue Transfer from the State Penalty Fund (0903) to the Restitution Fund (0214) per Proposed Item 7870-011-0903	-	-9,100	-6,534
Revenue Transfer from the State Penalty Fund (0903) to the Traumatic Brain Injury Fund (0311) per Proposed Item 5160-011-0903	-	-800	-800
Transfer from State Penalty Fund (0903) to California Motorcyclist Fund (0840) per Item 2720-012-0903, Budget Acts	-250	-	-
Total Revenues, Transfers, and Other Adjustments	\$1,585	\$83,292	\$72,783
Total Resources	\$1,585	\$83,292	\$73,417
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	-	920	921
0690 Office of Emergency Services (Local Assistance)	-	11,364	8,513
0820 Department of Justice (State Operations)	-	164	124
0820 Department of Justice (Local Assistance)	-	3,113	2,354
0840 State Controller (State Operations)	1,459	1,493	1,495
5227 Board of State and Community Corrections (State Operations)	-	2,487	-
5227 Board of State and Community Corrections (Local Assistance)	-	14,817	-
6100 Department of Education (State Operations)	-	895	-

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0690 Office of Emergency Services - Continued**

8120 Commission on Peace Officer Standards and Training (State Operations)	-	33,098	33,267
8120 Commission on Peace Officer Standards and Training (Local Assistance)	-	14,143	13,974
8880 Financial Information System for California (State Operations)	-	2	-
9892 Supplemental Pension Payments (State Operations)	-	-	43
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	126	162	1,061
Total Expenditures and Expenditure Adjustments	<u>\$1,585</u>	<u>\$82,658</u>	<u>\$61,752</u>
FUND BALANCE	-	\$634	\$11,665
Reserve for economic uncertainties	-	634	11,665

**3034 Antiterrorism Fund <sup>s</sup>**

BEGINNING BALANCE	\$356	\$2,450	\$1,643
Prior Year Adjustments	64	-	-
Adjusted Beginning Balance	<u>\$420</u>	<u>\$2,450</u>	<u>\$1,643</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4142500 License Plate Fees - Personalized Plates	934	974	995
4150500 Interest Income - Interfund Loans	465	-	-
Transfers and Other Adjustments			
Loan Repayment from General Fund (0001) to Antiterrorism Fund (3034), per Item 0690-011-3034 Budget Act of 2008	2,000	-	-
Revenue Transfer from California Memorial Scholarship Fund (3033) to the Antiterrorism Fund (3034) per Education Code Section 70010.7 (Ch. 38/2002)	-	109	-
Revenue Transfer from California Memorial Scholarship Fund (3033) to the Antiterrorism Fund (3034) per Education Code Section 70010.7 (Ch. 38/2002)	-	-	369
Total Revenues, Transfers, and Other Adjustments	<u>\$3,399</u>	<u>\$1,083</u>	<u>\$1,364</u>
Total Resources	<u>\$3,819</u>	<u>\$3,533</u>	<u>\$3,007</u>

**EXPENDITURE AND EXPENDITURE ADJUSTMENTS**

Expenditures:			
0690 Office of Emergency Services (State Operations)	446	768	768
0690 Office of Emergency Services (Local Assistance)	-	500	500
8120 Commission on Peace Officer Standards and Training (State Operations)	446	-	-
8570 Department of Food and Agriculture (State Operations)	459	534	534
8880 Financial Information System for California (State Operations)	1	2	-
9892 Supplemental Pension Payments (State Operations)	-	-	7
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	17	86	86
Total Expenditures and Expenditure Adjustments	<u>\$1,369</u>	<u>\$1,890</u>	<u>\$1,895</u>
FUND BALANCE	<u>\$2,450</u>	<u>\$1,643</u>	<u>\$1,112</u>
Reserve for economic uncertainties	2,450	1,643	1,112

**3075 Unlawful Sales Reduction Fund <sup>s</sup>**

BEGINNING BALANCE	\$85	\$85	\$86
Adjusted Beginning Balance	<u>\$85</u>	<u>\$85</u>	<u>\$86</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4173000 Penalty Assessments - Other	-	1	1
Total Revenues, Transfers, and Other Adjustments	<u>-</u>	<u>\$1</u>	<u>\$1</u>
Total Resources	<u>\$85</u>	<u>\$86</u>	<u>\$87</u>
FUND BALANCE	<u>\$85</u>	<u>\$86</u>	<u>\$87</u>
Reserve for economic uncertainties	85	86	87

**3112 Equality in Prevention and Services for Domestic Abuse Fund <sup>s</sup>**

BEGINNING BALANCE	\$4	\$27	\$42
Adjusted Beginning Balance	<u>\$4</u>	<u>\$27</u>	<u>\$42</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			

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**0690 Office of Emergency Services - Continued**

4129200 Other Regulatory Fees	23	15	24
Total Revenues, Transfers, and Other Adjustments	<u>\$23</u>	<u>\$15</u>	<u>\$24</u>
Total Resources	<u>\$27</u>	<u>\$42</u>	<u>\$66</u>
FUND BALANCE	<u>\$27</u>	<u>\$42</u>	<u>\$66</u>
Reserve for economic uncertainties	27	42	66
<b>3260 Regional Railroad Accident Preparedness and Immediate Response Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$3,870	\$965	\$702
Prior Year Adjustments	1,249	-	-
Adjusted Beginning Balance	<u>\$5,119</u>	<u>\$965</u>	<u>\$702</u>
Total Resources	<u>\$5,119</u>	<u>\$965</u>	<u>\$702</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	3,242	-	-
0860 State Board of Equalization (State Operations)	150	-	-
7600 California Department of Tax and Fee Administration (State Operations)	-	263	-
8880 Financial Information System for California (State Operations)	13	-	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	749	-	466
Total Expenditures and Expenditure Adjustments	<u>\$4,154</u>	<u>\$263</u>	<u>\$466</u>
FUND BALANCE	<u>\$965</u>	<u>\$702</u>	<u>\$236</u>
Reserve for economic uncertainties	965	702	236
<b>3266 Prepaid MTS 911 Account<sup>s</sup></b>			
BEGINNING BALANCE	\$1,281	\$903	\$903
Prior Year Adjustments	-1,281	-	-
Adjusted Beginning Balance	<u>-</u>	<u>\$903</u>	<u>\$903</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4140500 Emergency Telephone User's Surcharge	903	-	-
Transfers and Other Adjustments			
Revenue Transfer from Prepaid MTS 911 Account (3266) to State Emergency Telephone Number Account (0022) per Chapter 885, Statutes of 2014 (AB 1717) Section 8 Revenue and Taxation Code Section 42023 (a)	-1,471	-2,254	-2,215
Revenue Transfer from Prepaid MTS 911 Account (3266) to State Emergency Telephone Number Account (0022) per Revenue and Taxation Code Section 42023 (a)	-902	626	624
Revenue Transfer from Prepaid Mobile Telephony Services Surcharge Fund (3251) to Prepaid MTS 911 Account (3266) per Revenue and Taxation Code Section 42023(a)	2,373	1,628	1,591
Total Revenues, Transfers, and Other Adjustments	<u>\$903</u>	<u>-</u>	<u>-</u>
Total Resources	<u>\$903</u>	<u>\$903</u>	<u>\$903</u>
FUND BALANCE	<u>\$903</u>	<u>\$903</u>	<u>\$903</u>
Reserve for economic uncertainties	903	903	903

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	880.4	909.4	905.4	\$77,315	\$72,382	\$71,319
Budget Position Transparency	-	-8.4	-7.8	-	-2,156	1,100
<b>Salary and Other Adjustments</b>	0.1	-8.0	-4.0	-5,602	10,791	14,600
<b>Workload and Administrative Adjustments</b>						
<b>9-1-1 Sustainment</b>						
Assoc Govtl Program Analyst	-	-	1.0	-	-	64
Assoc Telecomms Engr	-	-	1.0	-	-	103
Telecomms Sys Mgr I (Spec)	-	-	4.0	-	-	316

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**0690 Office of Emergency Services - Continued****California Earthquake Early Warning Program Staffing**

Assoc Govtl Program Analyst	-	-	-	-	-	79
Program Mgr II	-	-	-	-	-	103
Research Program Spec II	-	-	-	-	-	188

**California Public Safety Microwave Network**

Assoc Telecomms Engr	-	-	3.0	-	-	309
Info Tech Spec II	-	-	2.0	-	-	183
Info Tech Spec III	-	-	1.0	-	-	101
Sr Telecomms Techn	-	-	4.0	-	-	313

**Cap and Trade Expenditure Plan: Local Fire Response**

Assoc Govtl Program Analyst	-	-	1.0	-	-	65
Heavy Equipt Mechanic	-	-	2.0	-	-	118
Staff Svcs Analyst (Gen)	-	-	3.0	-	-	144
Various	-	-	-	-	-	80

**Emergency Response Operations**

Coord -Law Enforcement	-	-	2.0	-	-	191
Emergency Svcs Coord	-	-	6.0	-	-	399

**Hazard Mitigation Program Workload Increase**

Accounting Administrator I (Supvr)	-	-	1.0	-	-	77
Accounting Officer (Spec)	-	-	1.0	-	-	57
Assoc Govtl Program Analyst	-	-	11.0	-	-	712
Assoc Mgmt Auditor	-	-	1.0	-	-	70
Info Tech Assoc	-	-	1.0	-	-	63
Overtime	-	-	-	-	-	103
Program Mgr II	-	-	1.0	-	-	85
Program Mgr III	-	-	1.0	-	-	98
Staff Svcs Analyst (Gen)	-	-	2.0	-	-	89
Staff Svcs Mgr I	-	-	3.0	-	-	230
Staff Svcs Mgr II (Supvry)	-	-	1.0	-	-	84
Temporary Help	-	-	-	-	-	30

**Hazardous Materials Regional Response Program**

Assoc Accounting Analyst	-	-	1.0	-	-	68
Assoc Govtl Program Analyst	-	-	1.0	-	-	65
Coord (Fire & Rescue Svcs)	-	-	1.0	-	-	95
Emergency Mgmt Coord/Instructor II	-	-	1.0	-	-	80
Overtime	-	-	-	-	-	45
Staff Svcs Analyst (Gen)	-	-	1.0	-	-	45

**Recovery Program Workload Increase**

Accounting Administrator II	-	-	1.0	-	-	84
Accounting Officer (Spec)	-	-	2.0	-	-	113
Assoc Accounting Analyst	-	-	2.0	-	-	136
Assoc Govtl Program Analyst	-	-	13.0	-	-	840
Assoc Pers Analyst	-	-	2.0	-	-	129
Disaster Assistance Programs Spec I	-	-	18.0	-	-	1,010
Disaster Assistance Programs Spec II	-	-	5.0	-	-	331
Emergency Svcs Coord	-	-	6.0	-	-	399
Info Tech Spec I	-	-	2.0	-	-	120
Info Tech Supvr I	-	-	2.0	-	-	164
Office Techn (Typing)	-	-	1.0	-	-	39
Overtime	-	-	-	-	-	400
Personnel Spec	-	-	2.0	-	-	90
Program Mgr I	-	-	5.0	-	-	389

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**0690 Office of Emergency Services - Continued**

Program Mgr II	-	-	4.0	-	-	342
Sr Emergency Svcs Coord	-	-	3.0	-	-	219
Staff Svcs Analyst (Gen)	-	-	4.0	-	-	179
Staff Svcs Mgr I	-	-	5.0	-	-	383
Staff Svcs Mgr II (Supvry)	-	-	3.0	-	-	252
Staff Svcs Mgr III	-	-	1.0	-	-	97
Temporary Help	-	-	-	-	-	300
<b>Situational Awareness and Collaboration Tool</b>						
Emergency Mgmt Coord/Instructor II	-	-	1.0	-	-	81
Program Mgr II	-	-	1.0	-	-	85
Various	-	-	-	-	-	32
<b>TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS</b>	-	-	<b>141.0</b>	<b>\$-</b>	<b>\$-</b>	<b>\$11,066</b>
<b>Totals, Adjustments</b>	<b>0.1</b>	<b>-16.4</b>	<b>129.2</b>	<b>\$-5,602</b>	<b>\$8,635</b>	<b>\$26,766</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>880.5</b>	<b>893.0</b>	<b>1,034.6</b>	<b>\$71,713</b>	<b>\$81,017</b>	<b>\$98,085</b>

**INFRASTRUCTURE OVERVIEW**

The Office of Emergency Services' infrastructure includes a headquarters facility, an administrative building, and an Inland Regional Operations Center in Sacramento County; a Coastal Regional Operations Center in Walnut Creek, Contra Costa County; a Southern Regional Operations Center located at Los Alamos Joint Forces Training Base in Orange County; the California Specialized Training Institute at Camp San Luis Obispo; and various small field offices throughout the state.

The Public Safety Communications division (PSC) is comprised of a main leased complex in Sacramento and 45 field locations throughout the state. These locations include 8 area offices and 37 area shops, positioned geographically to facilitate maintenance and installation services to remote communication sites and customers throughout the state. In addition, the PSC maintains and operates 10 communications vaults/towers and a total of more than 3,500 radio frequency points of presence.

**SUMMARY OF PROJECTS**

		State Building Program Expenditures	2016-17*	2017-18*	2018-19*
<b>0405</b>	<b>CAPITAL OUTLAY Projects</b>				
0000121	Relocation of Red Mountain Communications Site, Del Norte County		-	1,856	16,643
	Working Drawings		-	-	1,261
	Construction		-	-	15,382
	Equipment		-	1,856	-
0000711	Headquarters Complex, Rancho Cordova: Public Safety Communications Network Operations Center		95	5,571	-
	Preliminary Plans		3	-	-
	Working Drawings		92	-	-
	Construction		-	5,571	-
<b>TOTALS, EXPENDITURES, ALL PROJECTS</b>			<b>\$95</b>	<b>\$7,427</b>	<b>\$16,643</b>
<b>FUNDING</b>		<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>	
0001	General Fund	\$95	\$7,427	\$16,643	
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$95</b>	<b>\$7,427</b>	<b>\$16,643</b>	

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

<b>3 CAPITAL OUTLAY</b>	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>0001 General Fund</b>			

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**0690 Office of Emergency Services - Continued**

## APPROPRIATIONS

301 Budget Act appropriation	-	\$7,427	\$15,382
Prior Year Balances Available:			
Item 0690-301-0001, Budget Act of 2015 as reappropriated by Item 0690-491, Budget Acts of 2016, 2017, and 2018, and as reverted by Item 0690-495, Budget Act of 2016	1,714	1,261	1,261
<b>Totals Available</b>	<b>\$1,714</b>	<b>\$8,688</b>	<b>\$16,643</b>
Unexpended balance, estimated savings	-358	-	-
Balance available in subsequent years	-1,261	-1,261	-
<b>TOTALS, EXPENDITURES</b>	<b>\$95</b>	<b>\$7,427</b>	<b>\$16,643</b>
<b>Total Expenditures, All Funds, (Capital Outlay)</b>	<b>\$95</b>	<b>\$7,427</b>	<b>\$16,643</b>

## 0720 Governor's Portrait

These funds are budgeted for the traditional painting of the Governor's portrait. The portrait will be displayed in the State Capitol Building along with the portraits of all Governors of California.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0410	Governor's Portrait	-	-	-	\$-	\$-	\$25
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>-</b>	<b>-</b>	<b>-</b>	<b>\$-</b>	<b>\$-</b>	<b>\$25</b>
<b>FUNDING</b>		<b>2016-17*</b>			<b>2017-18*</b>		
0001	General Fund		\$-			\$-	\$25
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>			<b>\$-</b>			<b>\$-</b>	<b>\$25</b>

### DETAILED EXPENDITURES BY PROGRAM

		2016-17*	2017-18*	2018-19*
<b>PROGRAM REQUIREMENTS</b>				
<b>0410</b>	<b>GOVERNOR'S PORTRAIT</b>			
	<b>State Operations:</b>			
0001	General Fund	\$-	\$-	\$25
	<b>Totals, State Operations</b>	<b>\$-</b>	<b>\$-</b>	<b>\$25</b>
<b>TOTALS, EXPENDITURES</b>				
	State Operations	-	-	25
	<b>Totals, Expenditures</b>	<b>\$-</b>	<b>\$-</b>	<b>\$25</b>

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

		2016-17*	2017-18*	2018-19*
<b>1</b>	<b>STATE OPERATIONS</b>			
	<b>0001 General Fund</b>			
APPROPRIATIONS				
001	Budget Act appropriation	-	-	\$25
<b>TOTALS, EXPENDITURES</b>		<b>-</b>	<b>-</b>	<b>\$25</b>
<b>Total Expenditures, All Funds, (State Operations)</b>		<b>\$0</b>	<b>\$0</b>	<b>\$25</b>

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## 0730 Governor Elect and Outgoing Governor

These funds are to provide assistance to the Governor-elect and the outgoing Governor, during the transition period following the election, in carrying out the duties described under the provisions of Government Code Sections 12015 and 12015.5.

The law provides that state agencies furnish to the Governor-elect any information or assistance necessary in the preparation of the annual state budget and for the orderly transfer of the executive power. This gives the Governor-elect approximately two months to formulate policies and to incorporate them in the various programs included in the Budget, which will be transmitted to the Legislature.

The law also provides for the outgoing Governor to appoint persons for up to a two-month period, to assist in concluding matters arising from official duties during the last term of office.

Of the \$1,000,000 budgeted, \$865,000 is for the Governor-elect and \$135,000 is for the outgoing Governor.

### 3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0420 Transition Funding	-	-	-	\$-	\$-	\$1,000
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>\$-</b>	<b>\$-</b>	<b>\$1,000</b>
<b>FUNDING</b>	<b>2016-17*</b>			<b>2017-18*</b>	<b>2018-19*</b>	
0001 General Fund			\$-	\$-		\$1,000
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>			<b>\$-</b>	<b>\$-</b>		<b>\$1,000</b>

### LEGAL CITATIONS AND AUTHORITY

Government Code Sections 12015 and 12015.5.

### DETAILED BUDGET ADJUSTMENTS

	2017-18*			2018-19*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Miscellaneous Baseline Adjustments	\$-	\$-	-	\$1,000	\$-	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$1,000</b>	<b>\$-</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$1,000</b>	<b>\$-</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$1,000</b>	<b>\$-</b>	<b>-</b>

### DETAILED EXPENDITURES BY PROGRAM

		2016-17*	2017-18*	2018-19*
<b>0420</b>	<b>PROGRAM REQUIREMENTS</b>			
	<b>TRANSITION FUNDING</b>			
	<b>State Operations:</b>			
0001	General Fund	\$-	\$-	\$1,000
	<b>Totals, State Operations</b>	<b>\$-</b>	<b>\$-</b>	<b>\$1,000</b>
	<b>TOTALS, EXPENDITURES</b>			
	State Operations	-	-	1,000
	<b>Totals, Expenditures</b>	<b>\$-</b>	<b>\$-</b>	<b>\$1,000</b>

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**0730 Governor Elect and Outgoing Governor - Continued****EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
OPERATING EXPENSES AND EQUIPMENT				\$-	\$-	\$1,000
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$-</b>	<b>\$-</b>	<b>\$1,000</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$1,000
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>\$1,000</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,000</b>

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## 0750 Office of the Lieutenant Governor

Under California's Constitution, the Lieutenant Governor serves as Acting Governor whenever the Governor is absent from the state, and automatically becomes Governor if a vacancy occurs in the Office of Governor. The Lieutenant Governor is also President of the Senate and votes in case of a tie.

The Lieutenant Governor serves as a voting member of the Board of Regents of the University of California and a voting member of the Board of Trustees of the California State University system.

The Lieutenant Governor also serves on, and rotates with the State Controller, as chair of the three-member State Lands Commission, which oversees the control and leasing of millions of acres of state-owned land, including offshore oil resources, as well as use and permitting for all navigable waterways in California. The Commission also manages state land-use planning and revenues, and related interstate issues. During alternate years, when the Lieutenant Governor serves as Chairperson of the State Lands Commission, he also serves as a member of the Ocean Protection Council.

In addition, under state statutes, the Lieutenant Governor chairs the California Commission for Economic Development, which provides support and guidance to the Governor, Legislature and private sector regarding the development of California's economy.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0430	General Activities	7.0	7.0	7.0	\$1,196	\$1,482	\$1,483
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>7.0</b>	<b>7.0</b>	<b>7.0</b>	<b>\$1,196</b>	<b>\$1,482</b>	<b>\$1,483</b>
<b>FUNDING</b>		<b>2016-17*</b>			<b>2017-18*</b>	<b>2018-19*</b>	
0001	General Fund		\$1,196		\$1,482	\$1,483	
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>			<b>\$1,196</b>		<b>\$1,482</b>	<b>\$1,483</b>	

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

California Constitution, Article V, Sections 9 and 10, and Article IX, Section 9; Education Code Section 66602; Government Code Sections 8704, 8575, 14999-14999.8, and 15364.2; Public Resources Code Section 6101; Unemployment Insurance Code Section 15036; Vehicle Code Section 2600.

### DETAILED BUDGET ADJUSTMENTS

		2017-18*			2018-19*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Other Workload Budget Adjustments</b>							
• Expenditure by Category Redistribution		\$-41	\$-	-	\$-41	\$-	-
• Budget Position Transparency		41	-	1.3	41	-	1.3
• Salary Adjustments		31	-	-	31	-	-
• Benefit Adjustments		12	-	-	13	-	-
• Retirement Rate Adjustments		11	-	-	11	-	-
<b>Totals, Other Workload Budget Adjustments</b>		<b>\$54</b>	<b>\$-</b>	<b>1.3</b>	<b>\$55</b>	<b>\$-</b>	<b>1.3</b>
<b>Totals, Workload Budget Adjustments</b>		<b>\$54</b>	<b>\$-</b>	<b>1.3</b>	<b>\$55</b>	<b>\$-</b>	<b>1.3</b>
<b>Totals, Budget Adjustments</b>		<b>\$54</b>	<b>\$-</b>	<b>1.3</b>	<b>\$55</b>	<b>\$-</b>	<b>1.3</b>

### DETAILED EXPENDITURES BY PROGRAM

2016-17*	2017-18*	2018-19*
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\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0750 Office of the Lieutenant Governor - Continued**

PROGRAM REQUIREMENTS				
0430	GENERAL ACTIVITIES			
	State Operations:			
0001	General Fund	\$1,196	\$1,482	\$1,483
	Totals, State Operations	\$1,196	\$1,482	\$1,483
TOTALS, EXPENDITURES				
	State Operations	1,196	1,482	1,483
	Totals, Expenditures	\$1,196	\$1,482	\$1,483

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	5.7	5.7	5.7	\$731	\$735	\$735
Budget Position Transparency	-	1.3	1.3	-	41	41
Other Adjustments	1.3	-	-	-129	31	31
<b>Net Totals, Salaries and Wages</b>	<b>7.0</b>	<b>7.0</b>	<b>7.0</b>	<b>\$602</b>	<b>\$807</b>	<b>\$807</b>
Staff Benefits	-	-	-	214	305	306
<b>Totals, Personal Services</b>	<b>7.0</b>	<b>7.0</b>	<b>7.0</b>	<b>\$816</b>	<b>\$1,112</b>	<b>\$1,113</b>
OPERATING EXPENSES AND EQUIPMENT				\$380	\$370	\$370
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$1,196</b>	<b>\$1,482</b>	<b>\$1,483</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,423	\$1,428	\$1,483
Allocation for Employee Compensation	-	31	-
Allocation for Staff Benefits	-	12	-
Budget Position Transparency	-	41	-
Expenditure by Category Redistribution	-	-41	-
Section 3.60 Pension Contribution Adjustment	-	11	-
<b>Totals Available</b>	<b>\$1,423</b>	<b>\$1,482</b>	<b>\$1,483</b>
Unexpended balance, estimated savings	-227	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,196</b>	<b>\$1,482</b>	<b>\$1,483</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$1,196</b>	<b>\$1,482</b>	<b>\$1,483</b>

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	5.7	5.7	5.7	\$731	\$735	\$735
Budget Position Transparency	-	1.3	1.3	-	41	41
<b>Salary and Other Adjustments</b>	1.3	-	-	-129	31	31
<b>Totals, Adjustments</b>	<b>1.3</b>	<b>1.3</b>	<b>1.3</b>	<b>\$-129</b>	<b>\$72</b>	<b>\$72</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>7.0</b>	<b>7.0</b>	<b>7.0</b>	<b>\$602</b>	<b>\$807</b>	<b>\$807</b>

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**0750 Office of the Lieutenant Governor - Continued**

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## 0820 Department of Justice

The constitutional office of the Attorney General, as chief law officer of the state, has the responsibility to see that the laws of California are uniformly and adequately enforced. This responsibility is fulfilled through the diverse programs of the Department of Justice.

The Department of Justice is responsible for providing skillful and efficient legal services on behalf of the people of California. The Attorney General represents the people in all matters before the Appellate and Supreme Courts of California and the United States; serves as legal counsel to state officers, boards, commissioners, and departments; represents the people in actions to protect the environment and to enforce consumer, antitrust, and civil laws; and assists district attorneys in the administration of justice. The Department provides oversight, enforcement, education, and regulation of California's firearms/ dangerous weapon laws; provides evaluation and analysis of physical evidence; regulates legal gambling activities in California; supports the telecommunications and data processing needs of the California criminal justice community; and pursues projects designed to protect the people of California from fraudulent, unfair, and illegal activities.

### 3-YEAR EXPENDITURES AND POSITIONS

			Positions			Expenditures		
			2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0435	Division of Legal Services		1,591.0	1,652.5	1,679.5	\$405,390	\$477,115	\$484,401
0440	Law Enforcement		927.6	918.4	963.3	176,748	251,810	268,127
0445	California Justice Information Services		946.6	978.4	1,020.4	163,121	197,890	207,373
9900100	Administration		866.7	912.1	917.1	105,947	117,546	120,716
9900200	Administration - Distributed		-	-	-	-105,947	-117,546	-120,716
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>			<b>4,331.9</b>	<b>4,461.4</b>	<b>4,580.3</b>	<b>\$745,259</b>	<b>\$926,815</b>	<b>\$959,901</b>
<b>FUNDING</b>						<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
0001	General Fund					\$218,918	\$237,987	\$281,586
0012	Attorney General Antitrust Account					2,466	2,597	4,378
0017	Fingerprint Fees Account					69,806	82,798	82,855
0032	Firearm Safety Account					124	335	335
0044	Motor Vehicle Account, State Transportation Fund					26,182	26,840	26,858
0142	Department of Justice Sexual Habitual Offender Fund					1,970	2,579	2,582
0158	Travel Seller Fund					731	1,417	1,418
0214	Restitution Fund					5,217	-	-
0256	Sexual Predator Public Information Account					73	177	177
0367	Indian Gaming Special Distribution Fund					19,511	21,086	21,103
0378	False Claims Act Fund					13,036	20,400	17,414
0460	Dealers Record of Sale Special Account					18,860	24,309	21,397
0566	Department of Justice Child Abuse Fund					373	422	423
0567	Gambling Control Fund					14,298	14,805	14,959
0569	Gambling Control Fines and Penalties Account					247	258	258
0641	Domestic Violence Restraining Order Reimbursement Fund					1,018	1,018	1,018
0890	Federal Trust Fund					32,464	43,628	43,735
0903	State Penalty Fund					-	3,277	2,478
0942	Special Deposit Fund					1,266	2,119	2,119
0995	Reimbursements					23,245	42,470	42,486
1008	Firearms Safety and Enforcement Special Fund					9,632	9,882	9,887
3016	Missing Persons DNA Data Base Fund					3,430	3,516	3,518
3053	Public Rights Law Enforcement Special Fund					11,840	13,552	17,126
3086	DNA Identification Fund					53,833	55,671	55,671
3087	Unfair Competition Law Fund					17,672	22,814	22,822
3088	Registry of Charitable Trusts Fund					4,018	5,319	5,849
3240	Secondhand Dealer and Pawnbroker Fund					452	637	637
3285	Electronic Recording Authorization Fund					249	300	300
3297	Major League Sporting Event Raffle Fund					298	335	335

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**0820 Department of Justice - Continued**

3303	Ammunition Safety and Enforcement Special Fund	1,219	12,687	11,094
3308	Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	45,000	-
3320	Justice, Tobacco Law Enforcement Acct, CA Healthcare, Rsrch Prvt FD	-	-	36,000
9731	Legal Services Revolving Fund	191,216	226,810	226,943
9740	Central Service Cost Recovery Fund	1,595	1,770	2,140
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$745,259</b>	<b>\$926,815</b>	<b>\$959,901</b>

**LEGAL CITATIONS AND AUTHORITY****DEPARTMENT AUTHORITY**

California Constitution, Article V, Section 13.

**PROGRAM AUTHORITY****0435-Legal Services:**

California Constitution, Article V, Section 13; Business and Professions Code Sections 16700, 17200, and 17500; Civil Code Section 51 et seq.; Government Code Sections 4458, 11040 et seq., 11157, 12510 et seq., 12525.5, 12580 et seq., 12600 et seq., 12650 et seq., 12657 et seq., 12989.3, and 16645-16649; Health and Safety Code Sections 19958.5, 25180, 25249.7, and 104555-104557; Penal Code Sections 320.5, 320.6, 1256, 1475, 1548.3, 13012, and 13519.4; and Revenue and Taxation Code Section 30165.1.

**0440-Law Enforcement:**

California Constitution, Article V, Section 13; Business and Professions Code Sections 7583.26, 19800-19807; Penal Code Sections 295, 295.1, 297, 319-337z, 830.1, 832.15, 11006-11054, 11060, 11061, 11061.5, 13511, 14160-14167, 14250, 18900, 26190, 27560, 28225-28245, 29055, 30900-30905, 31005, 31115, 32010, 32015-32025, 32655, 32715, 33305; Government Code Sections 15001.1 and 15001.2; and Health and Safety Code Sections 11100-11103, 11106-11106.7, 11450-11454, 11641, and 11646.

**0445-California Justice Information Services:**

California Constitution, Article V, Section 13; Government Code Sections 15150-15167 and 27390-27399; and Penal Code Sections 11102.1, 11105, and 14200-14213.

**9900-Directorate and Administration:**

Government Code Section 12519.

**MAJOR PROGRAM CHANGES**

- Sex Offenders: Registration (Senate Bill 384) – The Budget includes \$10 million General Fund and 25 positions to begin implementing Chapter 541, Statutes of 2017 (SB 384), which will replace the existing lifetime sex offender registration systems with a tiered registration system.
- Audit of Sexual Assault Kit Backlog - The Budget includes \$1 million General Fund for the compilation of information on the number of untested sexual assault kits statewide. The resources provided are available for grants to counties and cities to count the number of untested sexual assault kits in their possession.
- Sexual Assault Kit Backlog Testing - The Budget includes \$6.5 million General Fund for the processing of sexual assault evidence. The resources provided are available for grants to counties and cities. In awarding grants, the Department of Justice shall prioritize applications to eliminate existing backlogs of unprocessed evidence and all grantees shall provide a dollar-for-dollar match to any state grant funds awarded.
- DNA Identification Fund Backfill – The Budget includes \$6 million General Fund to backfill the decline in fine and fee revenues in the DNA Identification Fund. This funding will enable the Department of Justice to continue processing forensic evidence for client counties at existing levels.
- Bureau of Forensic Services Equipment Replacement – The Budget includes \$5.4 million General Fund to replace critical forensic equipment within the Bureau of Forensic Services.
- Immigration Data Governance (SB 54) Implementation – The Budget includes \$2.4 million General Fund and 13 positions to implement the provisions of SB 54, including promulgating model policies, collecting data from local law enforcement

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**0820 Department of Justice - Continued**

agencies, and publishing an annual report, guidance, and training recommendations.

- Bureau of Children's Justice – The Budget includes \$3.6 million Public Rights Law Enforcement Special Fund and 14 positions to support the Bureau of Children's Justice. The Bureau of Children's Justice is a specialized unit that conducts independent civil systemic investigations of entities charged with the care, custody, and education of children. The Bureau was formed in 2014-15 with one-time settlement funds and positions borrowed from within the Public Rights Division. The resources provided will establish permanent spending and position authority for the Bureau.
- Cybercrime Investigation Teams – The Budget includes \$5.6 million General Fund and 19 positions to create a statewide effort to investigate cybercrimes, white collar crimes, and human trafficking involving the use of technology to further criminal activities. The resources provided will establish two investigation teams, one in Northern California and one in Southern California.
- Cybersecurity Program Resources – The Budget includes \$2.3 million General Fund and 6 positions to provide additional resources to safeguard information in the Department of Justice's data and law enforcement networks throughout California.

**DETAILED BUDGET ADJUSTMENTS**

	2017-18*			2018-19*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Workload Budget Change Proposals</b>						
• Statewide Forensics Services	\$-	\$-	-	\$11,400	\$-	-
• Sex Offenders: Registration (SB 384)	-	-	-	10,028	-	25.0
• Sexual Assault Kit Backlog Testing	-	-	-	6,500	-	-
• Cybercrime Investigation Teams	-	-	-	5,634	-	19.0
• Immigration Data Governance (SB 54)	-	-	-	2,406	-	13.0
• Cybersecurity Program Resources	-	-	-	2,251	-	6.0
• Audit of Sexual Assault Kit Backlog	-	-	-	1,000	-	-
• Nonprofit Health Facilities: Sale of Assets (AB 651)	-	-	-	369	-	2.0
• eCrime Unit Website	-	-	-	150	-	-
• Firearm Violence Research Center: Gun Violence Restraining Orders (SB 536)	-	-	-	138	-	-
• Bureau of Children's Justice	-	-	-	-	3,567	14.0
• Antitrust Workload	-	-	-	-	1,780	9.0
• Bureau of Gambling Control Third-Party Providers Workload	-	-	-	-	1,564	-
• Registry of Charitable Trusts - Delinquency Compliance	-	-	-	-	525	5.0
• Proposition 56 Technical Adjustment	-	-	-	-	-	-
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$39,876</b>	<b>\$7,436</b>	<b>93.0</b>
<b>Other Workload Budget Adjustments</b>						
• Allocation for Other Post-Employment Benefits	925	1,408	-	925	1,408	-
• Technical Redirection for Bureau of Medi-Cal Fraud and Elder Abuse	-	-	-	-	-3,000	-
• Central Service Function Cost Realignment	-	-	-	-369	369	-
• Salary Adjustments	6,166	11,091	-	6,166	11,091	-
• Benefit Adjustments	2,181	4,231	-	2,365	4,577	-
• Retirement Rate Adjustments	1,937	4,616	-	1,937	4,616	-
• Lease Revenue Debt Service Adjustment	2	-	-	1	-	-
• Miscellaneous Baseline Adjustments	195	-	51.9	-	35,577	77.8
• SWCAP	-	-	-	-	85	-
• Budget Position Transparency	-	-	5.0	-	-	5.0
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$11,406</b>	<b>\$21,346</b>	<b>56.9</b>	<b>\$11,025</b>	<b>\$54,723</b>	<b>82.8</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$11,406</b>	<b>\$21,346</b>	<b>56.9</b>	<b>\$50,901</b>	<b>\$62,159</b>	<b>175.8</b>

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**0820 Department of Justice - Continued**

<b>Totals, Budget Adjustments</b>	<b>\$11,406</b>	<b>\$21,346</b>	<b>56.9</b>	<b>\$50,901</b>	<b>\$62,159</b>	<b>175.8</b>
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**PROGRAM DESCRIPTIONS****0435 - LEGAL SERVICES**

Legal Services is organized into three elements: (1) Civil Law, (2) Criminal Law, and (3) Public Rights.

Civil Law represents the State of California and its officers, agencies, departments, boards, commissions, and employees in civil matters. It provides advice to these clients, defends cases brought against them and prosecutes cases to vindicate state interests. Deputy Attorneys General in Civil Law are responsible for managing and litigating cases before administrative tribunals, and in both state and federal courts at the trial level and on appeal, including appeals before the United States and California Supreme Courts. Deputies work in one of eight sections: Business and Tax; Correctional Law; Employment and Administrative Mandates; Government Law; Health, Education and Welfare; Health Quality Enforcement; Licensing; or Tort and Condemnation.

Criminal Law represents the state in criminal matters before the Appellate and Supreme courts. Criminal Law also fulfills the Attorney General's responsibilities of assisting district attorneys in cases for which they are recused, conducts criminal investigations, represents the Governor, Board of Parole Hearings, and California Department of Corrections and Rehabilitation in state and federal habeas corpus cases and appeals, and other proceedings relating to parole decisions and conditions of confinement in the state prisons and defends state and federal habeas corpus matters. Additional responsibilities include enforcing the Political Reform Act, advising the Governor on extradition matters, investigating and prosecuting Medi-Cal provider fraud, investigating and prosecuting the abuse or neglect of elder and dependent adults residing in long-term health care facilities, and investigating, prosecuting, and coordinating litigation involving white-collar crime, high-tech/computer/privacy crime, financial crimes against the elderly, human trafficking, environmental crimes, and public corruption.

Public Rights protects and preserves the public interest by providing legal services to state agencies and Constitutional Officers and by bringing actions in the name of the Attorney General to protect the public. Public Rights provides specialized services in the following areas: Civil Rights Enforcement (including Underground Economy and the Bureau of Children's Justice); Charitable Trusts (including the Registry of Charitable Trusts); Natural Resources Law; Corporate Fraud (including False Claims, Energy and Corporate Responsibility); Indian and Gaming Law; Environment Law; Land Law; Consumer Law (including Sellers of Travel and Privacy Enforcement and Protection); Antitrust Law; and Tobacco Litigation Enforcement.

**0440 - LAW ENFORCEMENT**

The Division of Law Enforcement is organized into five elements: (1) Bureau of Firearms, (2) Bureau of Forensic Services, (3) Bureau of Gambling Control, (4) Bureau of Investigation, and (5) the Office of the Chief.

The Bureau of Firearms provides oversight, enforcement, education, and regulation of California's firearms/dangerous weapon laws by conducting firearms eligibility background checks and administering over thirty different state-mandated firearms-related programs. The Bureau conducts firearms dealer and manufacturer inspections and provides training as needed. Special Agents conduct investigations on armed and prohibited persons and other investigations resulting in the seizure of weapons. Agents also conduct firearms investigations to prevent illegal gun trafficking at in-state and out-of-state gun shows in accordance with state and federal law.

The Bureau of Forensic Services (BFS) provides evaluation and analysis of physical evidence, including crime scene investigation and expert court testimony to federal, state and local law enforcement agencies, district attorneys, and courts, by operating 11 specialized laboratories that serve 46 counties as well as a forensic training facility. BFS maintains the state DNA laboratory database which compiles DNA profiles of sex and violent offenders and felony arrestees.

The Bureau of Gambling Control regulates legal gambling activities in California to ensure gambling is conducted honestly and is free from criminal and corruptive elements. This is accomplished by investigating the qualifications of individuals and business entities who apply for state gambling licenses and monitoring the conduct of these licensees to ensure compliance with the Gambling Control Act and applicable regulations. Furthermore, the Bureau conducts criminal investigations in, on or about Tribal casinos and California cardrooms. The Bureau also regulates Tribal gaming to ensure that each Tribe is in compliance with all aspects of the negotiated gaming compact.

The Bureau of Investigation is the premiere investigative agency that is responsible for exploiting and dismantling criminal organizations, as well as assisting with the prosecution of serious criminal offenses which present a significant and multi-jurisdictional threat to California. The Bureau prioritizes investigations related to transnational criminal organizations involved in gangs and human trafficking, as well as cases involving environmental crimes, public corruption, major fraud, underground economy, and high-technology crimes. In addition, the Bureau serves a principal role in providing leadership, coordination, and support to law enforcement through multi-agency drug, gang and major crimes task forces statewide.

**0820 Department of Justice - Continued**

The Office of the Chief enhances public safety by providing training, technical, and administrative support to the investigative, regulatory and forensic components of the Division of Law Enforcement and other criminal justice agencies. The Office serves as the policy-making and oversight body for its four operational bureaus.

**0445 - CALIFORNIA JUSTICE INFORMATION SERVICES**

The California Justice Information Services Division provides criminal justice intelligence, information, and identification services to law enforcement, regulatory agencies, and the public. Four major functional areas carry out these primary services: 1) The Bureau of Criminal Identification & Investigative Services consolidates the identification, investigative, and field services functions, providing information and technical assistance on manual and automated systems including the fingerprint identification system and the violent crime information system; 2) The Bureau of Criminal Information and Analysis consolidates the functions related to the authorization, release, and use of criminal offender record information for law enforcement investigatory and regulatory purposes; 3) The Hawkins Data Center operates the Criminal Justice Information System and the California Law Enforcement Telecommunications System; and 4) the Operations Support Program provides business resumption planning and administrative support and oversight.

**9900 - DIRECTORATE AND ADMINISTRATION**

The Directorate and the Administration Division of the Department of Justice consists of the Division of Operations and the Attorney General's Executive Office. The executive office maintains overall direction and administration over the diverse programs and projects of the department, including the Equal Employment Rights and Resolution Office, the Office of Program Oversight and Accountability, the Opinions Unit, the Solicitor General's Unit, the Office of Legislative Affairs, the Office of Communications and the Public Inquiry Unit. In addition, the Division of Operations provides support functions essential to the department's operations, including fiscal, personnel, and specialized services such as legal secretarial support, litigation support, and legal case management services.

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0435</b>	<b>DIVISION OF LEGAL SERVICES</b>			
	<b>State Operations:</b>			
0001	General Fund	\$143,860	\$152,473	\$157,904
0012	Attorney General Antitrust Account	2,429	2,555	4,336
0158	Travel Seller Fund	717	1,404	1,405
0367	Indian Gaming Special Distribution Fund	1,854	2,190	2,190
0378	False Claims Act Fund	12,130	18,994	16,008
0567	Gambling Control Fund	365	433	434
0890	Federal Trust Fund	27,675	33,524	33,475
0995	Reimbursements	1,008	1,042	1,042
3053	Public Rights Law Enforcement Special Fund	5,150	6,396	9,966
3087	Unfair Competition Law Fund	17,672	22,814	22,822
3088	Registry of Charitable Trusts Fund	3,710	5,004	5,533
3297	Major League Sporting Event Raffle Fund	298	335	335
3308	Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	7,500	-
3320	Justice, Tobacco Law Enforcement Acct, CA Healthcare, Rsrch Prvt FD	-	-	6,000
9731	Legal Services Revolving Fund	186,927	220,681	220,811
9740	Central Service Cost Recovery Fund	1,595	1,770	2,140
	<b>Totals, State Operations</b>	<b>\$405,390</b>	<b>\$477,115</b>	<b>\$484,401</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0435010</b>	<b>Civil Law</b>			
	<b>State Operations:</b>			
0001	General Fund	\$15,258	\$15,870	\$20,512
9731	Legal Services Revolving Fund	152,593	178,512	178,612
9740	Central Service Cost Recovery Fund	1,271	1,396	1,766
	<b>Totals, State Operations</b>	<b>\$169,122</b>	<b>\$195,778</b>	<b>\$200,890</b>

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**0820 Department of Justice - Continued****SUBPROGRAM REQUIREMENTS****0435019 Criminal Law****State Operations:**

0001	General Fund	\$100,065	\$101,224	\$101,222
0378	False Claims Act Fund	4,628	11,159	8,167
0890	Federal Trust Fund	27,675	33,524	33,475
0995	Reimbursements	1,008	1,042	1,042
3308	Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	3,000	-
3320	Justice, Tobacco Law Enforcement Acct, CA Healthcare, Rsrch Prvt FD	-	-	3,000
9731	Legal Services Revolving Fund	10,955	10,771	10,780
<b>Totals, State Operations</b>		<b>\$144,331</b>	<b>\$160,720</b>	<b>\$157,686</b>

**SUBPROGRAM REQUIREMENTS****0435028 Public Rights****State Operations:**

0001	General Fund	\$28,537	\$35,379	\$36,170
0012	Attorney General Antitrust Account	2,429	2,555	4,336
0158	Travel Seller Fund	717	1,404	1,405
0367	Indian Gaming Special Distribution Fund	1,854	2,190	2,190
0378	False Claims Act Fund	7,502	7,835	7,841
0567	Gambling Control Fund	365	433	434
3053	Public Rights Law Enforcement Special Fund	5,150	6,396	9,966
3087	Unfair Competition Law Fund	17,672	22,814	22,822
3088	Registry of Charitable Trusts Fund	3,710	5,004	5,533
3297	Major League Sporting Event Raffle Fund	298	335	335
3308	Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	4,500	-
3320	Justice, Tobacco Law Enforcement Acct, CA Healthcare, Rsrch Prvt FD	-	-	3,000
9731	Legal Services Revolving Fund	23,379	31,398	31,419
9740	Central Service Cost Recovery Fund	324	374	374
<b>Totals, State Operations</b>		<b>\$91,937</b>	<b>\$120,617</b>	<b>\$125,825</b>

**PROGRAM REQUIREMENTS****0440 LAW ENFORCEMENT****State Operations:**

0001	General Fund	\$19,960	\$26,654	\$51,102
0012	Attorney General Antitrust Account	23	28	28
0032	Firearm Safety Account	124	335	335
0142	Department of Justice Sexual Habitual Offender Fund	867	902	903
0214	Restitution Fund	362	-	-
0367	Indian Gaming Special Distribution Fund	17,317	18,549	18,565
0378	False Claims Act Fund	684	873	873
0460	Dealers Record of Sale Special Account	17,474	21,154	18,657
0567	Gambling Control Fund	13,933	14,372	14,525
0569	Gambling Control Fines and Penalties Account	221	231	231
0890	Federal Trust Fund	2,244	6,301	6,272
0903	State Penalty Fund	-	164	124
0942	Special Deposit Fund	1,266	2,112	2,119
0995	Reimbursements	19,987	36,671	35,873
1008	Firearms Safety and Enforcement Special Fund	9,632	9,882	9,887
3016	Missing Persons DNA Data Base Fund	3,430	3,516	3,518
3053	Public Rights Law Enforcement Special Fund	6,690	7,156	7,160
3086	DNA Identification Fund	53,332	54,617	54,617
3303	Ammunition Safety and Enforcement Special Fund	30	1,523	4,824

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**0820 Department of Justice - Continued**

3320	Justice, Tobacco Law Enforcement Acct, CA Healthcare, Rsrch Prvt FD	-	-	1,500
9731	Legal Services Revolving Fund	4,289	6,129	6,132
<b>Totals, State Operations</b>		<b>\$171,865</b>	<b>\$211,169</b>	<b>\$237,245</b>
<b>Local Assistance:</b>				
0214	Restitution Fund	\$4,855	\$-	\$-
0460	Dealers Record of Sale Special Account	28	28	28
0903	State Penalty Fund	-	3,113	2,354
3308	Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	37,500	-
3320	Justice, Tobacco Law Enforcement Acct, CA Healthcare, Rsrch Prvt FD	-	-	28,500
<b>Totals, Local Assistance</b>		<b>\$4,883</b>	<b>\$40,641</b>	<b>\$30,882</b>
<b>SUBPROGRAM REQUIREMENTS</b>				
<b>0440010 Investigation</b>				
<b>State Operations:</b>				
0001	General Fund	\$5,306	\$5,344	\$10,854
0012	Attorney General Antitrust Account	23	28	28
0378	False Claims Act Fund	684	873	873
0890	Federal Trust Fund	1,046	2,771	2,775
0942	Special Deposit Fund	1,266	-	-
0995	Reimbursements	16,333	23,852	23,852
3053	Public Rights Law Enforcement Special Fund	6,690	7,156	7,160
9731	Legal Services Revolving Fund	3,967	5,796	5,799
<b>Totals, State Operations</b>		<b>\$35,315</b>	<b>\$45,820</b>	<b>\$51,341</b>
<b>SUBPROGRAM REQUIREMENTS</b>				
<b>0440019 Office of the Chief</b>				
<b>State Operations:</b>				
0001	General Fund	\$610	\$512	\$512
0214	Restitution Fund	362	-	-
0367	Indian Gaming Special Distribution Fund	441	456	457
0460	Dealers Record of Sale Special Account	732	750	751
0567	Gambling Control Fund	503	522	522
0890	Federal Trust Fund	-	1,021	1,009
0903	State Penalty Fund	-	164	124
0942	Special Deposit Fund	-	2,112	2,119
0995	Reimbursements	424	7,834	7,034
3086	DNA Identification Fund	2,428	2,443	2,443
3320	Justice, Tobacco Law Enforcement Acct, CA Healthcare, Rsrch Prvt FD	-	-	1,500
9731	Legal Services Revolving Fund	322	333	333
<b>Totals, State Operations</b>		<b>\$5,822</b>	<b>\$16,147</b>	<b>\$16,804</b>
<b>Local Assistance:</b>				
0214	Restitution Fund	\$4,855	\$-	\$-
0903	State Penalty Fund	-	3,113	2,354
3308	Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	37,500	-
3320	Justice, Tobacco Law Enforcement Acct, CA Healthcare, Rsrch Prvt FD	-	-	28,500
<b>Totals, Local Assistance</b>		<b>\$4,855</b>	<b>\$40,613</b>	<b>\$30,854</b>
<b>SUBPROGRAM REQUIREMENTS</b>				
<b>0440028 Forensic Services</b>				
<b>State Operations:</b>				
0001	General Fund	\$14,044	\$20,798	\$39,736
0142	Department of Justice Sexual Habitual Offender Fund	867	902	903
0890	Federal Trust Fund	1,198	2,509	2,488

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**0820 Department of Justice - Continued**

0995	Reimbursements	3,196	4,541	4,543
3016	Missing Persons DNA Data Base Fund	3,430	3,516	3,518
3086	DNA Identification Fund	50,904	52,174	52,174
	<b>Totals, State Operations</b>	<b>\$73,639</b>	<b>\$84,440</b>	<b>\$103,362</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0440037</b>	<b>Gambling</b>			
	<b>State Operations:</b>			
0367	Indian Gaming Special Distribution Fund	\$16,876	\$18,093	\$18,108
0567	Gambling Control Fund	13,430	13,850	14,003
0569	Gambling Control Fines and Penalties Account	221	231	231
0995	Reimbursements	34	100	100
	<b>Totals, State Operations</b>	<b>\$30,561</b>	<b>\$32,274</b>	<b>\$32,442</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0440046</b>	<b>Firearms</b>			
	<b>State Operations:</b>			
0032	Firearm Safety Account	\$124	\$335	\$335
0460	Dealers Record of Sale Special Account	16,742	20,404	17,906
0995	Reimbursements	-	344	344
1008	Firearms Safety and Enforcement Special Fund	9,632	9,882	9,887
3303	Ammunition Safety and Enforcement Special Fund	30	1,523	4,824
	<b>Totals, State Operations</b>	<b>\$26,528</b>	<b>\$32,488</b>	<b>\$33,296</b>
	<b>Local Assistance:</b>			
0460	Dealers Record of Sale Special Account	\$28	\$28	\$28
	<b>Totals, Local Assistance</b>	<b>\$28</b>	<b>\$28</b>	<b>\$28</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0445</b>	<b>CALIFORNIA JUSTICE INFORMATION SERVICES</b>			
	<b>State Operations:</b>			
0001	General Fund	\$55,098	\$58,860	\$72,580
0012	Attorney General Antitrust Account	14	14	14
0017	Fingerprint Fees Account	69,806	82,798	82,855
0044	Motor Vehicle Account, State Transportation Fund	26,182	26,840	26,858
0142	Department of Justice Sexual Habitual Offender Fund	1,103	1,677	1,679
0158	Travel Seller Fund	14	13	13
0256	Sexual Predator Public Information Account	73	177	177
0367	Indian Gaming Special Distribution Fund	340	347	348
0378	False Claims Act Fund	222	533	533
0460	Dealers Record of Sale Special Account	1,358	3,127	2,712
0566	Department of Justice Child Abuse Fund	373	422	423
0569	Gambling Control Fines and Penalties Account	26	27	27
0890	Federal Trust Fund	2,545	3,803	3,988
0942	Special Deposit Fund	-	7	-
0995	Reimbursements	2,250	4,757	5,571
3086	DNA Identification Fund	501	1,054	1,054
3088	Registry of Charitable Trusts Fund	308	315	316
3240	Secondhand Dealer and Pawnbroker Fund	452	637	637
3285	Electronic Recording Authorization Fund	249	300	300
3303	Ammunition Safety and Enforcement Special Fund	1,189	11,164	6,270
	<b>Totals, State Operations</b>	<b>\$162,103</b>	<b>\$196,872</b>	<b>\$206,355</b>
	<b>Local Assistance:</b>			
0641	Domestic Violence Restraining Order Reimbursement Fund	\$1,018	\$1,018	\$1,018
	<b>Totals, Local Assistance</b>	<b>\$1,018</b>	<b>\$1,018</b>	<b>\$1,018</b>

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**0820 Department of Justice - Continued****SUBPROGRAM REQUIREMENTS****0445010 O. J. Hawkins Data Center****State Operations:**

0001	General Fund	\$18,819	\$19,904	\$21,421
0012	Attorney General Antitrust Account	14	14	14
0017	Fingerprint Fees Account	13,182	14,967	14,976
0044	Motor Vehicle Account, State Transportation Fund	22,171	22,361	22,376
0158	Travel Seller Fund	14	13	13
0367	Indian Gaming Special Distribution Fund	340	347	348
0378	False Claims Act Fund	222	533	533
0460	Dealers Record of Sale Special Account	803	2,480	2,079
0569	Gambling Control Fines and Penalties Account	26	27	27
0995	Reimbursements	675	770	770
3086	DNA Identification Fund	619	1,042	1,042
3088	Registry of Charitable Trusts Fund	308	315	316
3303	Ammunition Safety and Enforcement Special Fund	1,189	11,164	6,270
<b>Totals, State Operations</b>		<b>\$58,382</b>	<b>\$73,937</b>	<b>\$70,185</b>

**SUBPROGRAM REQUIREMENTS****0445019 Criminal Information and Analysis****State Operations:**

0001	General Fund	\$14,403	\$15,950	\$15,967
0017	Fingerprint Fees Account	37,971	46,289	46,321
0142	Department of Justice Sexual Habitual Offender Fund	320	487	488
0460	Dealers Record of Sale Special Account	204	215	215
0566	Department of Justice Child Abuse Fund	364	414	415
3285	Electronic Recording Authorization Fund	249	300	300
<b>Totals, State Operations</b>		<b>\$53,511</b>	<b>\$63,655</b>	<b>\$63,706</b>

**SUBPROGRAM REQUIREMENTS****0445028 Criminal Identification and Investigation Services****State Operations:**

0001	General Fund	\$12,459	\$10,352	\$22,532
0017	Fingerprint Fees Account	11,905	15,055	15,068
0044	Motor Vehicle Account, State Transportation Fund	1,236	1,833	1,835
0142	Department of Justice Sexual Habitual Offender Fund	761	1,172	1,173
0256	Sexual Predator Public Information Account	49	157	157
0460	Dealers Record of Sale Special Account	348	430	416
3086	DNA Identification Fund	-132	-	-
<b>Totals, State Operations</b>		<b>\$26,626</b>	<b>\$28,999</b>	<b>\$41,181</b>

**Local Assistance:**

0641	Domestic Violence Restraining Order Reimbursement Fund	\$1,018	\$1,018	\$1,018
<b>Totals, Local Assistance</b>		<b>\$1,018</b>	<b>\$1,018</b>	<b>\$1,018</b>

**SUBPROGRAM REQUIREMENTS****0445037 Criminal Justice Operational Support Program****State Operations:**

0001	General Fund	\$9,417	\$12,654	\$12,660
0017	Fingerprint Fees Account	6,748	6,487	6,490
0044	Motor Vehicle Account, State Transportation Fund	2,775	2,646	2,647
0142	Department of Justice Sexual Habitual Offender Fund	22	18	18
0256	Sexual Predator Public Information Account	24	20	20
0460	Dealers Record of Sale Special Account	3	2	2
0566	Department of Justice Child Abuse Fund	9	8	8
0890	Federal Trust Fund	2,545	3,803	3,988

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**0820 Department of Justice - Continued**

0942	Special Deposit Fund	-	7	-
0995	Reimbursements	1,575	3,987	4,801
3086	DNA Identification Fund	14	12	12
3240	Secondhand Dealer and Pawnbroker Fund	452	637	637
<b>Totals, State Operations</b>		<b>\$23,584</b>	<b>\$30,281</b>	<b>\$31,283</b>
<b>SUBPROGRAM REQUIREMENTS</b>				
<b>9900100</b>	<b>Administration</b>			
<b>State Operations:</b>				
0001	General Fund	\$105,947	\$117,546	\$120,716
<b>Totals, State Operations</b>		<b>\$105,947</b>	<b>\$117,546</b>	<b>\$120,716</b>
<b>SUBPROGRAM REQUIREMENTS</b>				
<b>9900200</b>	<b>Administration - Distributed</b>			
<b>State Operations:</b>				
0001	General Fund	-\$105,947	-\$117,546	-\$120,716
<b>Totals, State Operations</b>		<b>-\$105,947</b>	<b>-\$117,546</b>	<b>-\$120,716</b>
<b>TOTALS, EXPENDITURES</b>				
State Operations		739,358	885,156	928,001
Local Assistance		5,901	41,659	31,900
<b>Totals, Expenditures</b>		<b>\$745,259</b>	<b>\$926,815</b>	<b>\$959,901</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	4,330.5	4,404.5	4,404.5	\$411,804	\$426,790	\$426,754
Budget Position Transparency	-	5.0	5.0	-	-	-
Other Adjustments	1.4	51.9	170.8	-30,516	14,275	37,841
<b>Net Totals, Salaries and Wages</b>	<b>4,331.9</b>	<b>4,461.4</b>	<b>4,580.3</b>	<b>\$381,288</b>	<b>\$441,065</b>	<b>\$464,595</b>
Staff Benefits	-	-	-	173,296	214,919	210,600
<b>Totals, Personal Services</b>	<b>4,331.9</b>	<b>4,461.4</b>	<b>4,580.3</b>	<b>\$554,584</b>	<b>\$655,984</b>	<b>\$675,195</b>
OPERATING EXPENSES AND EQUIPMENT				\$184,774	\$229,172	\$252,806
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$739,358</b>	<b>\$885,156</b>	<b>\$928,001</b>

2 Local Assistance	Expenditures		
	2016-17*	2017-18*	2018-19*
Grants and Subventions - Governmental	\$5,901	\$41,659	\$31,900
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$5,901</b>	<b>\$41,659</b>	<b>\$31,900</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$276,041
001 Budget Act appropriation as amended by Chapter 318, Statutes of 2016	217,602	-	-
001 Budget Act appropriation as amended by Chapter 54, Statutes of 2017	-	221,037	-
Allocation for Employee Compensation	-	6,166	-
Allocation for Other Post-Employment Benefits	-	925	-

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**0820 Department of Justice - Continued**

Allocation for Staff Benefits	-	2,181	-
Section 3.60 Pension Contribution Adjustment	-	1,937	-
Transfer Pursuant to the Political Reform Act of 1974	-	195	-
003 Budget Act appropriation (rental payments on lease-revenue bonds)	4,019	4,044	4,045
Lease Revenue Debt Service Adjustment	-	2	-
011 Budget Act appropriation (loan to Major League Sporting Event Raffle Fund)	(335)	(335)	(335)
015 Budget Act appropriation (transfer to Legal Services Revolving Fund)	1,500	1,500	1,500
<b>Totals Available</b>	<b>\$223,121</b>	<b>\$237,987</b>	<b>\$281,586</b>
Unexpended balance, estimated savings	-4,203	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$218,918</b>	<b>\$237,987</b>	<b>\$281,586</b>

**0012 Attorney General Antitrust Account**

## APPROPRIATIONS

001 Budget Act appropriation	\$2,493	\$2,489	\$4,378
Allocation for Employee Compensation	-	58	-
Allocation for Other Post-Employment Benefits	-	6	-
Allocation for Staff Benefits	-	22	-
Section 3.60 Pension Contribution Adjustment	-	22	-
<b>Totals Available</b>	<b>\$2,493</b>	<b>\$2,597</b>	<b>\$4,378</b>
Unexpended balance, estimated savings	-27	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,466</b>	<b>\$2,597</b>	<b>\$4,378</b>

**0017 Fingerprint Fees Account**

## APPROPRIATIONS

001 Budget Act appropriation	\$71,144	\$80,357	\$82,855
Allocation for Employee Compensation	-	1,331	-
Allocation for Other Post-Employment Benefits	-	89	-
Allocation for Staff Benefits	-	539	-
Section 3.60 Pension Contribution Adjustment	-	482	-
<b>Totals Available</b>	<b>\$71,144</b>	<b>\$82,798</b>	<b>\$82,855</b>
Unexpended balance, estimated savings	-1,338	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$69,806</b>	<b>\$82,798</b>	<b>\$82,855</b>

**0032 Firearm Safety Account**

## APPROPRIATIONS

001 Budget Act appropriation	\$332	\$332	\$335
Section 3.60 Pension Contribution Adjustment	-	3	-
<b>Totals Available</b>	<b>\$332</b>	<b>\$335</b>	<b>\$335</b>
Unexpended balance, estimated savings	-208	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$124</b>	<b>\$335</b>	<b>\$335</b>

**0044 Motor Vehicle Account, State Transportation Fund**

## APPROPRIATIONS

001 Budget Act appropriation	\$26,234	\$25,939	\$26,858
Allocation for Employee Compensation	-	491	-
Allocation for Other Post-Employment Benefits	-	3	-
Allocation for Staff Benefits	-	202	-
Section 3.60 Pension Contribution Adjustment	-	205	-
<b>Totals Available</b>	<b>\$26,234</b>	<b>\$26,840</b>	<b>\$26,858</b>
Unexpended balance, estimated savings	-52	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$26,182</b>	<b>\$26,840</b>	<b>\$26,858</b>

**0142 Department of Justice Sexual Habitual Offender Fund**

## APPROPRIATIONS

001 Budget Act appropriation	\$2,505	\$2,488	\$2,582
Allocation for Employee Compensation	-	48	-
Allocation for Other Post-Employment Benefits	-	13	-

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**0820 Department of Justice - Continued**

Allocation for Staff Benefits	-	18	-
Section 3.60 Pension Contribution Adjustment	-	12	-
<b>Totals Available</b>	<b>\$2,505</b>	<b>\$2,579</b>	<b>\$2,582</b>
Unexpended balance, estimated savings	-535	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,970</b>	<b>\$2,579</b>	<b>\$2,582</b>
<b>0158 Travel Seller Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,403	\$1,390	\$1,418
Allocation for Employee Compensation	-	14	-
Allocation for Staff Benefits	-	7	-
Section 3.60 Pension Contribution Adjustment	-	6	-
<b>Totals Available</b>	<b>\$1,403</b>	<b>\$1,417</b>	<b>\$1,418</b>
Unexpended balance, estimated savings	-672	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$731</b>	<b>\$1,417</b>	<b>\$1,418</b>
<b>0214 Restitution Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$362	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$362</b>	<b>-</b>	<b>-</b>
<b>0256 Sexual Predator Public Information Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$175	\$175	\$177
Allocation for Employee Compensation	-	1	-
Allocation for Other Post-Employment Benefits	-	1	-
<b>Totals Available</b>	<b>\$175</b>	<b>\$177</b>	<b>\$177</b>
Unexpended balance, estimated savings	-102	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$73</b>	<b>\$177</b>	<b>\$177</b>
<b>0367 Indian Gaming Special Distribution Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$20,409	\$20,256	\$21,103
Allocation for Employee Compensation	-	398	-
Allocation for Other Post-Employment Benefits	-	63	-
Allocation for Staff Benefits	-	169	-
Section 3.60 Pension Contribution Adjustment	-	200	-
<b>Totals Available</b>	<b>\$20,409</b>	<b>\$21,086</b>	<b>\$21,103</b>
Unexpended balance, estimated savings	-898	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$19,511</b>	<b>\$21,086</b>	<b>\$21,103</b>
<b>0378 False Claims Act Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$14,872	\$19,548	\$17,414
Allocation for Employee Compensation	-	432	-
Allocation for Other Post-Employment Benefits	-	60	-
Allocation for Staff Benefits	-	170	-
Section 3.60 Pension Contribution Adjustment	-	190	-
<b>Totals Available</b>	<b>\$14,872</b>	<b>\$20,400</b>	<b>\$17,414</b>
Unexpended balance, estimated savings	-1,836	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$13,036</b>	<b>\$20,400</b>	<b>\$17,414</b>
<b>0460 Dealers Record of Sale Special Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$19,372	\$23,253	\$21,369
Allocation for Employee Compensation	-	493	-
Allocation for Other Post-Employment Benefits	-	107	-
Allocation for Staff Benefits	-	200	-

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**0820 Department of Justice - Continued**

Section 3.60 Pension Contribution Adjustment	-	228	-
<b>Totals Available</b>	<b>\$19,372</b>	<b>\$24,281</b>	<b>\$21,369</b>
Unexpended balance, estimated savings	-540	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$18,832</b>	<b>\$24,281</b>	<b>\$21,369</b>
<b>0566 Department of Justice Child Abuse Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$410	\$401	\$423
Allocation for Employee Compensation	-	12	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	3	-
<b>Totals Available</b>	<b>\$410</b>	<b>\$422</b>	<b>\$423</b>
Unexpended balance, estimated savings	-37	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$373</b>	<b>\$422</b>	<b>\$423</b>
<b>0567 Gambling Control Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$11,345	\$14,240	\$14,959
Allocation for Employee Compensation	-	285	-
Allocation for Other Post-Employment Benefits	-	27	-
Allocation for Staff Benefits	-	119	-
Section 3.60 Pension Contribution Adjustment	-	134	-
Chapter 344, Statutes of 2016	3,000	-	-
<b>Totals Available</b>	<b>\$14,345</b>	<b>\$14,805</b>	<b>\$14,959</b>
Unexpended balance, estimated savings	-47	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$14,298</b>	<b>\$14,805</b>	<b>\$14,959</b>
<b>0569 Gambling Control Fines and Penalties Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$251	\$249	\$258
Allocation for Employee Compensation	-	5	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	2	-
<b>Totals Available</b>	<b>\$251</b>	<b>\$258</b>	<b>\$258</b>
Unexpended balance, estimated savings	-4	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$247</b>	<b>\$258</b>	<b>\$258</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$43,051	\$42,089	\$43,735
Allocation for Employee Compensation	-	690	-
Allocation for Other Post-Employment Benefits	-	132	-
Allocation for Staff Benefits	-	280	-
Section 3.60 Pension Contribution Adjustment	-	437	-
<b>Totals Available</b>	<b>\$43,051</b>	<b>\$43,628</b>	<b>\$43,735</b>
Unexpended balance, estimated savings	-10,587	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$32,464</b>	<b>\$43,628</b>	<b>\$43,735</b>
<b>0903 State Penalty Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$164	\$124
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>\$164</b>	<b>\$124</b>
<b>0942 Special Deposit Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,551	\$1,551	\$1,551
011 Budget Act appropriation (State Asset Forfeiture Account)	568	568	568

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0820 Department of Justice - Continued**

<b>Totals Available</b>	<b>\$2,119</b>	<b>\$2,119</b>	<b>\$2,119</b>
Unexpended balance, estimated savings	-853	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,266</b>	<b>\$2,119</b>	<b>\$2,119</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$23,245	\$42,470	\$42,486
<b>TOTALS, EXPENDITURES</b>	<b>\$23,245</b>	<b>\$42,470</b>	<b>\$42,486</b>
<b>1008 Firearms Safety and Enforcement Special Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$9,632	\$9,565	\$9,887
Allocation for Employee Compensation	-	129	-
Allocation for Other Post-Employment Benefits	-	49	-
Allocation for Staff Benefits	-	58	-
Section 3.60 Pension Contribution Adjustment	-	81	-
011 Budget Act appropriation (loan from the Firearms Safety and Enforcement Special Fund to the Dealers Record of Sale Special Account)	(-)	(1,368)	(1,022)
012 Budget Act appropriation (loan from the Firearms Safety and Enforcement Special Fund to the Dealers Record of Sale Special Account)	(-)	(2,588)	(-)
<b>TOTALS, EXPENDITURES</b>	<b>\$9,632</b>	<b>\$9,882</b>	<b>\$9,887</b>
<b>3016 Missing Persons DNA Data Base Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,439	\$3,435	\$3,518
Allocation for Employee Compensation	-	42	-
Allocation for Other Post-Employment Benefits	-	17	-
Allocation for Staff Benefits	-	12	-
Section 3.60 Pension Contribution Adjustment	-	10	-
<b>Totals Available</b>	<b>\$3,439</b>	<b>\$3,516</b>	<b>\$3,518</b>
Unexpended balance, estimated savings	-9	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$3,430</b>	<b>\$3,516</b>	<b>\$3,518</b>
<b>3053 Public Rights Law Enforcement Special Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$13,142	\$13,064	\$17,126
Allocation for Employee Compensation	-	234	-
Allocation for Other Post-Employment Benefits	-	51	-
Allocation for Staff Benefits	-	94	-
Section 3.60 Pension Contribution Adjustment	-	109	-
<b>Totals Available</b>	<b>\$13,142</b>	<b>\$13,552</b>	<b>\$17,126</b>
Unexpended balance, estimated savings	-1,302	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$11,840</b>	<b>\$13,552</b>	<b>\$17,126</b>
<b>3086 DNA Identification Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$57,648	\$55,454	\$55,671
Section 3.60 Pension Contribution Adjustment	-	217	-
<b>Totals Available</b>	<b>\$57,648</b>	<b>\$55,671</b>	<b>\$55,671</b>
Unexpended balance, estimated savings	-3,815	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$53,833</b>	<b>\$55,671</b>	<b>\$55,671</b>
<b>3087 Unfair Competition Law Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$22,109	\$22,136	\$22,822
Allocation for Employee Compensation	-	371	-
Allocation for Other Post-Employment Benefits	-	40	-
Allocation for Staff Benefits	-	134	-
Section 3.60 Pension Contribution Adjustment	-	133	-

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**0820 Department of Justice - Continued**

<b>Totals Available</b>	<b>\$22,109</b>	<b>\$22,814</b>	<b>\$22,822</b>
Unexpended balance, estimated savings	-4,437	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$17,672</b>	<b>\$22,814</b>	<b>\$22,822</b>
<b>3088 Registry of Charitable Trusts Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,619	\$5,131	\$5,849
Allocation for Employee Compensation	-	101	-
Allocation for Other Post-Employment Benefits	-	3	-
Allocation for Staff Benefits	-	43	-
Section 3.60 Pension Contribution Adjustment	-	41	-
<b>Totals Available</b>	<b>\$5,619</b>	<b>\$5,319</b>	<b>\$5,849</b>
Unexpended balance, estimated savings	-1,601	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$4,018</b>	<b>\$5,319</b>	<b>\$5,849</b>
<b>3131 California Bingo Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$48	-	-
<b>Totals Available</b>	<b>\$48</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-48	-	-
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>3240 Secondhand Dealer and Pawnbroker Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$627	\$622	\$637
Allocation for Employee Compensation	-	8	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	3	-
<b>Totals Available</b>	<b>\$627</b>	<b>\$637</b>	<b>\$637</b>
Unexpended balance, estimated savings	-175	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$452</b>	<b>\$637</b>	<b>\$637</b>
<b>3285 Electronic Recording Authorization Fund</b>			
APPROPRIATIONS			
Government Code section 27397	\$300	\$300	\$300
<b>Totals Available</b>	<b>\$300</b>	<b>\$300</b>	<b>\$300</b>
Unexpended balance, estimated savings	-51	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$249</b>	<b>\$300</b>	<b>\$300</b>
<b>3297 Major League Sporting Event Raffle Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$335	\$335
001 Budget Act appropriation as amended by Chapter 318, Statutes of 2016	335	-	-
<b>Totals Available</b>	<b>\$335</b>	<b>\$335</b>	<b>\$335</b>
Unexpended balance, estimated savings	-37	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$298</b>	<b>\$335</b>	<b>\$335</b>
<b>3303 Ammunition Safety and Enforcement Special Fund</b>			
APPROPRIATIONS			
Penal Code section 30370 (f)	\$1,595	\$12,687	\$11,094
<b>Totals Available</b>	<b>\$1,595</b>	<b>\$12,687</b>	<b>\$11,094</b>
Unexpended balance, estimated savings	-376	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,219</b>	<b>\$12,687</b>	<b>\$11,094</b>
<b>3308 Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$7,500	-

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**0820 Department of Justice - Continued**

<b>TOTALS, EXPENDITURES</b>	-	\$7,500	-
<b>3320 Justice, Tobacco Law Enforcement Acct, CA Healthcare, Rsrch Prvt FD</b>			
APPROPRIATIONS			
Revenue and Taxation Code section 30130.57(e)(1) and (f)	-	-	\$1,500
Revenue and Taxation Code section 30130.57(e)(4) and (f)	-	-	6,000
<b>TOTALS, EXPENDITURES</b>	-	-	\$7,500
<b>9731 Legal Services Revolving Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$217,687	\$218,055	\$228,443
Allocation for Employee Compensation	-	5,579	-
Allocation for Other Post-Employment Benefits	-	655	-
Allocation for Staff Benefits	-	2,004	-
Section 3.60 Pension Contribution Adjustment	-	2,017	-
<b>Totals Available</b>	<b>\$217,687</b>	<b>\$228,310</b>	<b>\$228,443</b>
Unexpended balance, estimated savings	-24,971	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$192,716</b>	<b>\$228,310</b>	<b>\$228,443</b>
Less funding provided by General Fund	-1,500	-1,500	-1,500
<b>NET TOTALS, EXPENDITURES</b>	<b>\$191,216</b>	<b>\$226,810</b>	<b>\$226,943</b>
<b>9740 Central Service Cost Recovery Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,595	\$1,700	\$2,140
Allocation for Employee Compensation	-	41	-
Allocation for Other Post-Employment Benefits	-	3	-
Allocation for Staff Benefits	-	11	-
Section 3.60 Pension Contribution Adjustment	-	15	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,595</b>	<b>\$1,770</b>	<b>\$2,140</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$739,358</b>	<b>\$885,156</b>	<b>\$928,001</b>
<b>2 LOCAL ASSISTANCE</b>	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>0214 Restitution Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$4,855	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$4,855</b>	<b>-</b>	<b>-</b>
<b>0460 Dealers Record of Sale Special Account</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$28	\$28	\$28
<b>TOTALS, EXPENDITURES</b>	<b>\$28</b>	<b>\$28</b>	<b>\$28</b>
<b>0641 Domestic Violence Restraining Order Reimbursement Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,018	\$1,018	\$1,018
<b>TOTALS, EXPENDITURES</b>	<b>\$1,018</b>	<b>\$1,018</b>	<b>\$1,018</b>
<b>0903 State Penalty Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$3,113	\$2,354
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>\$3,113</b>	<b>\$2,354</b>
<b>1008 Firearms Safety and Enforcement Special Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$5,000	-	-
<b>Totals Available</b>	<b>\$5,000</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-5,000	-	-
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>-</b>

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**0820 Department of Justice - Continued****3308 Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund**

## APPROPRIATIONS

101 Budget Act appropriation	-	\$37,500	-
<b>TOTALS, EXPENDITURES</b>	-	<b>\$37,500</b>	-

**3320 Justice, Tobacco Law Enforcement Acct, CA Healthcare, Rsrch Prvt FD**

## APPROPRIATIONS

Revenue and Taxation Code section 30130.57(e)(1)	-	-	\$28,500
<b>TOTALS, EXPENDITURES</b>	-	-	<b>\$28,500</b>
<b>Total Expenditures, All Funds, (Local Assistance)</b>	<b>\$5,901</b>	<b>\$41,659</b>	<b>\$31,900</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$745,259</b>	<b>\$926,815</b>	<b>\$959,901</b>

**FUND CONDITION STATEMENTS**

	2016-17*	2017-18*	2018-19*
<b>0012 Attorney General Antitrust Account<sup>s</sup></b>			
BEGINNING BALANCE	\$633	\$555	\$423
Prior Year Adjustments	13	-	-
Adjusted Beginning Balance	\$646	\$555	\$423
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	1	1	1
4173400 Settlements and Judgments - Anti-Trust Actions (Attorney General)	2,503	2,600	4,700
Total Revenues, Transfers, and Other Adjustments	\$2,504	\$2,601	\$4,701
Total Resources	\$3,150	\$3,156	\$5,124
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	2,466	2,597	4,378
8880 Financial Information System for California (State Operations)	3	4	-
9892 Supplemental Pension Payments (State Operations)	-	-	24
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	126	132	247
Total Expenditures and Expenditure Adjustments	\$2,595	\$2,733	\$4,649
FUND BALANCE	\$555	\$423	\$475
Reserve for economic uncertainties	555	423	475
<b>0017 Fingerprint Fees Account<sup>s</sup></b>			
BEGINNING BALANCE	\$48,648	\$62,526	\$57,404
Prior Year Adjustments	664	-	-
Adjusted Beginning Balance	\$49,312	\$62,526	\$57,404
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4127400 Renewal Fees	408	408	408
4129400 Other Regulatory Licenses and Permits	41	41	41
4132000 Fingerprint Identification Card Fees	86,140	86,000	86,000
4163000 Investment Income - Surplus Money Investments	70	70	70
Total Revenues, Transfers, and Other Adjustments	\$86,659	\$86,519	\$86,519
Total Resources	\$135,971	\$149,045	\$143,923
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	69,806	82,798	82,855
8880 Financial Information System for California (State Operations)	90	207	9
9892 Supplemental Pension Payments (State Operations)	-	-	1,050

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**0820 Department of Justice - Continued**

9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	3,549	8,636	6,312
Total Expenditures and Expenditure Adjustments	<u>\$73,445</u>	<u>\$91,641</u>	<u>\$90,226</u>
FUND BALANCE	<u>\$62,526</u>	<u>\$57,404</u>	<u>\$53,697</u>
Reserve for economic uncertainties	62,526	57,404	53,697

**0032 Firearm Safety Account <sup>S</sup>**

BEGINNING BALANCE	\$4,029	\$5,002	\$5,489
Prior Year Adjustments	111	-	-
Adjusted Beginning Balance	<u>\$4,140</u>	<u>\$5,002</u>	<u>\$5,489</u>

## REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS

## Revenues:

4143500 Miscellaneous Services to the Public	995	833	833
4163000 Investment Income - Surplus Money Investments	7	7	7
Total Revenues, Transfers, and Other Adjustments	<u>\$1,002</u>	<u>\$840</u>	<u>\$840</u>
Total Resources	<u>\$5,142</u>	<u>\$5,842</u>	<u>\$6,329</u>

## EXPENDITURE AND EXPENDITURE ADJUSTMENTS

## Expenditures:

0820 Department of Justice (State Operations)	124	335	335
9892 Supplemental Pension Payments (State Operations)	-	-	1
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	16	18	18
Total Expenditures and Expenditure Adjustments	<u>\$140</u>	<u>\$353</u>	<u>\$354</u>
FUND BALANCE	<u>\$5,002</u>	<u>\$5,489</u>	<u>\$5,975</u>
Reserve for economic uncertainties	5,002	5,489	5,975

**0142 Department of Justice Sexual Habitual Offender Fund <sup>S</sup>**

BEGINNING BALANCE	\$2,352	\$2,737	\$2,542
Prior Year Adjustments	8	-	-
Adjusted Beginning Balance	<u>\$2,360</u>	<u>\$2,737</u>	<u>\$2,542</u>

## REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS

## Revenues:

4143500 Miscellaneous Services to the Public	2,449	2,500	2,500
4163000 Investment Income - Surplus Money Investments	4	4	4
4172500 Miscellaneous Revenue	20	20	20
Total Revenues, Transfers, and Other Adjustments	<u>\$2,473</u>	<u>\$2,524</u>	<u>\$2,524</u>
Total Resources	<u>\$4,833</u>	<u>\$5,261</u>	<u>\$5,066</u>

## EXPENDITURE AND EXPENDITURE ADJUSTMENTS

## Expenditures:

0820 Department of Justice (State Operations)	1,970	2,579	2,582
8880 Financial Information System for California (State Operations)	3	4	-
9892 Supplemental Pension Payments (State Operations)	-	-	25
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	123	136	139
Total Expenditures and Expenditure Adjustments	<u>\$2,096</u>	<u>\$2,719</u>	<u>\$2,746</u>
FUND BALANCE	<u>\$2,737</u>	<u>\$2,542</u>	<u>\$2,320</u>
Reserve for economic uncertainties	2,737	2,542	2,320

**0158 Travel Seller Fund <sup>S</sup>**

BEGINNING BALANCE	\$1,983	\$1,928	\$1,196
Prior Year Adjustments	6	-	-
Adjusted Beginning Balance	<u>\$1,989</u>	<u>\$1,928</u>	<u>\$1,196</u>

## REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS

## Revenues:

4143500 Miscellaneous Services to the Public	727	750	750
4163000 Investment Income - Surplus Money Investments	15	10	10
Total Revenues, Transfers, and Other Adjustments	<u>\$742</u>	<u>\$760</u>	<u>\$760</u>

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**0820 Department of Justice - Continued**

Total Resources	\$2,731	\$2,688	\$1,956
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	731	1,417	1,418
8880 Financial Information System for California (State Operations)	1	2	-
9892 Supplemental Pension Payments (State Operations)	-	-	9
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	71	73	73
Total Expenditures and Expenditure Adjustments	\$803	\$1,492	\$1,500
FUND BALANCE	\$1,928	\$1,196	\$456
Reserve for economic uncertainties	1,928	1,196	456
<b>0256 Sexual Predator Public Information Account<sup>s</sup></b>			
BEGINNING BALANCE	\$332	\$339	\$242
Prior Year Adjustments	-1	-	-
Adjusted Beginning Balance	\$331	\$339	\$242
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	88	88	88
4163000 Investment Income - Surplus Money Investments	2	2	2
Total Revenues, Transfers, and Other Adjustments	\$90	\$90	\$90
Total Resources	\$421	\$429	\$332
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	73	177	177
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	9	10	10
Total Expenditures and Expenditure Adjustments	\$82	\$187	\$187
FUND BALANCE	\$339	\$242	\$145
Reserve for economic uncertainties	339	242	145
<b>0288 The Registry of International Student Exchange Visitor Placement Organizations Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$108	\$122	\$135
Prior Year Adjustments	-1	-	-
Adjusted Beginning Balance	\$107	\$122	\$135
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	14	12	12
4163000 Investment Income - Surplus Money Investments	1	1	1
Total Revenues, Transfers, and Other Adjustments	\$15	\$13	\$13
Total Resources	\$122	\$135	\$148
FUND BALANCE	\$122	\$135	\$148
Reserve for economic uncertainties	122	135	148
<b>0378 False Claims Act Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$1,112	\$4,022	\$8,612
Prior Year Adjustments	446	-	-
Adjusted Beginning Balance	\$1,558	\$4,022	\$8,612
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	46	-	-
4170700 Civil and Criminal Violation Assessment	16,124	13,000	14,000
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the False Claims Act Fund (0378) per Item 0820-012-0378, Budget Act of 2010	-	12,700	-
Total Revenues, Transfers, and Other Adjustments	\$16,170	\$25,700	\$14,000

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**0820 Department of Justice - Continued**

Total Resources	\$17,728	\$29,722	\$22,612
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	13,036	20,400	17,414
8880 Financial Information System for California (State Operations)	15	19	2
9892 Supplemental Pension Payments (State Operations)	-	-	174
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	655	691	910
Total Expenditures and Expenditure Adjustments	\$13,706	\$21,110	\$18,500
FUND BALANCE	\$4,022	\$8,612	\$4,112
Reserve for economic uncertainties	4,022	8,612	4,112
<b>0460 Dealers Record of Sale Special Account<sup>s</sup></b>			
BEGINNING BALANCE	\$266	\$6,046	\$6,241
Prior Year Adjustments	1,820	-	-
Adjusted Beginning Balance	\$2,086	\$6,046	\$6,241
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129400 Other Regulatory Licenses and Permits	3,302	2,670	2,670
4143500 Miscellaneous Services to the Public	21,280	17,872	17,872
4163000 Investment Income - Surplus Money Investments	5	5	5
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	1	1	1
Transfers and Other Adjustments			
Loan from the Firearms Safety and Enforcement Fund (1008) to the Dealers' Record of Sale Special Account (0460) per Item 0820-012-1008	-	2,588	-
Loan from the Firearms Safety and Enforcement Fund (1008) to the Dealers' Record of Sale Special Account (0460), per Item 0820-011-1008	-	1,368	1,022
Total Revenues, Transfers, and Other Adjustments	\$24,588	\$24,504	\$21,570
Total Resources	\$26,674	\$30,550	\$27,811
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	18,832	24,281	21,369
0820 Department of Justice (Local Assistance)	28	28	28
9892 Supplemental Pension Payments (State Operations)	-	-	325
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	1,768	-	556
Total Expenditures and Expenditure Adjustments	\$20,628	\$24,309	\$22,278
FUND BALANCE	\$6,046	\$6,241	\$5,533
Reserve for economic uncertainties	6,046	6,241	5,533
<b>0566 Department of Justice Child Abuse Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$1,656	\$1,527	\$1,333
Prior Year Adjustments	-3	-	-
Adjusted Beginning Balance	\$1,653	\$1,527	\$1,333
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	265	248	248
4163000 Investment Income - Surplus Money Investments	2	2	2
Total Revenues, Transfers, and Other Adjustments	\$267	\$250	\$250
Total Resources	\$1,920	\$1,777	\$1,583
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	373	422	423
9892 Supplemental Pension Payments (State Operations)	-	-	5
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	20	22	23
Total Expenditures and Expenditure Adjustments	\$393	\$444	\$451

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**0820 Department of Justice - Continued**

FUND BALANCE	\$1,527	\$1,333	\$1,132
Reserve for economic uncertainties	1,527	1,333	1,132
<b>0567 Gambling Control Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$47,905	\$53,106	\$56,501
Prior Year Adjustments	-58	-	-
Adjusted Beginning Balance	\$47,847	\$53,106	\$56,501
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4127400 Renewal Fees	1,257	1,257	1,257
4129200 Other Regulatory Fees	18,654	18,654	18,654
4129400 Other Regulatory Licenses and Permits	2,301	2,301	2,301
4135000 Local Agencies - Miscellaneous Revenue	3	-	-
4143500 Miscellaneous Services to the Public	1,119	1,119	1,119
4163000 Investment Income - Surplus Money Investments	75	75	75
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	12	-	-
Total Revenues, Transfers, and Other Adjustments	\$23,421	\$23,406	\$23,406
Total Resources	\$71,268	\$76,512	\$79,907
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	14,298	14,805	14,959
0855 California Gambling Control Commission (State Operations)	3,187	4,261	4,264
8880 Financial Information System for California (State Operations)	19	22	2
9892 Supplemental Pension Payments (State Operations)	-	-	183
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	658	923	1,455
Total Expenditures and Expenditure Adjustments	\$18,162	\$20,011	\$20,863
FUND BALANCE	\$53,106	\$56,501	\$59,044
Reserve for economic uncertainties	53,106	56,501	59,044
<b>0569 Gambling Control Fines and Penalties Account <sup>s</sup></b>			
BEGINNING BALANCE	\$4,583	\$7,986	\$7,756
Prior Year Adjustments	-1	-	-
Adjusted Beginning Balance	\$4,582	\$7,986	\$7,756
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	9	-	-
4173000 Penalty Assessments - Other	3,644	31	-
Total Revenues, Transfers, and Other Adjustments	\$3,653	\$31	-
Total Resources	\$8,235	\$8,017	\$7,756
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	247	258	258
9892 Supplemental Pension Payments (State Operations)	-	-	3
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	2	3	25
Total Expenditures and Expenditure Adjustments	\$249	\$261	\$286
FUND BALANCE	\$7,986	\$7,756	\$7,470
Reserve for economic uncertainties	7,986	7,756	7,470
<b>1008 Firearms Safety and Enforcement Special Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$20,871	\$23,004	\$17,690
Prior Year Adjustments	1,157	-	-
Adjusted Beginning Balance	\$22,028	\$23,004	\$17,690
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			

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**0820 Department of Justice - Continued**

4143500 Miscellaneous Services to the Public	10,718	8,663	8,663
4163000 Investment Income - Surplus Money Investments	168	131	131
Transfers and Other Adjustments			
Loan from the Firearms Safety and Enforcement Fund (1008) to the Dealers' Record of Sale Special Account (0460) per Item 0820-012-1008	-	-2,588	-
Loan from the Firearms Safety and Enforcement Fund (1008) to the Dealers' Record of Sale Special Account (0460), per Item 0820-011-1008	-	-1,368	-1,022
Total Revenues, Transfers, and Other Adjustments	<u>\$10,886</u>	<u>\$4,838</u>	<u>\$7,772</u>
Total Resources	<u>\$32,914</u>	<u>\$27,842</u>	<u>\$25,462</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	9,632	9,882	9,887
8880 Financial Information System for California (State Operations)	103	12	10
9892 Supplemental Pension Payments (State Operations)	-	-	125
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	175	258	837
Total Expenditures and Expenditure Adjustments	<u>\$9,910</u>	<u>\$10,152</u>	<u>\$10,859</u>
FUND BALANCE	<u>\$23,004</u>	<u>\$17,690</u>	<u>\$14,603</u>
Reserve for economic uncertainties	23,004	17,690	14,603
<b>3016 Missing Persons DNA Data Base Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$5,505	\$5,237	\$4,794
Prior Year Adjustments	81	-	-
Adjusted Beginning Balance	<u>\$5,586</u>	<u>\$5,237</u>	<u>\$4,794</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	3,218	3,218	3,218
4163000 Investment Income - Surplus Money Investments	40	40	40
Total Revenues, Transfers, and Other Adjustments	<u>\$3,258</u>	<u>\$3,258</u>	<u>\$3,258</u>
Total Resources	<u>\$8,844</u>	<u>\$8,495</u>	<u>\$8,052</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	3,430	3,516	3,518
8880 Financial Information System for California (State Operations)	4	4	-
9892 Supplemental Pension Payments (State Operations)	-	-	23
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	173	181	185
Total Expenditures and Expenditure Adjustments	<u>\$3,607</u>	<u>\$3,701</u>	<u>\$3,726</u>
FUND BALANCE	<u>\$5,237</u>	<u>\$4,794</u>	<u>\$4,326</u>
Reserve for economic uncertainties	5,237	4,794	4,326
<b>3053 Public Rights Law Enforcement Special Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$2,861	\$2,771	\$1,871
Prior Year Adjustments	169	-	-
Adjusted Beginning Balance	<u>\$3,030</u>	<u>\$2,771</u>	<u>\$1,871</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	70	-	-
4173500 Settlements and Judgments - Other	11,826	13,000	17,000
Total Revenues, Transfers, and Other Adjustments	<u>\$11,896</u>	<u>\$13,000</u>	<u>\$17,000</u>
Total Resources	<u>\$14,926</u>	<u>\$15,771</u>	<u>\$18,871</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	11,840	13,552	17,126
8880 Financial Information System for California (State Operations)	7	15	1
9892 Supplemental Pension Payments (State Operations)	-	-	141

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**0820 Department of Justice - Continued**

9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	308	333	1,074
Total Expenditures and Expenditure Adjustments	<u>\$12,155</u>	<u>\$13,900</u>	<u>\$18,342</u>
FUND BALANCE	<u>\$2,771</u>	<u>\$1,871</u>	<u>\$529</u>
Reserve for economic uncertainties	2,771	1,871	529
<b>3086 DNA Identification Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$8,089	\$5,203	\$3,102
Prior Year Adjustments	1,515	-	-
Adjusted Beginning Balance	<u>\$9,604</u>	<u>\$5,203</u>	<u>\$3,102</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	50	50	50
4172500 Miscellaneous Revenue	20	20	20
4173000 Penalty Assessments - Other	53,193	53,500	53,500
Total Revenues, Transfers, and Other Adjustments	<u>\$53,263</u>	<u>\$53,570</u>	<u>\$53,570</u>
Total Resources	<u>\$62,867</u>	<u>\$58,773</u>	<u>\$56,672</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	53,833	55,671	55,671
8880 Financial Information System for California (State Operations)	36	-	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	3,795	-	-
Total Expenditures and Expenditure Adjustments	<u>\$57,664</u>	<u>\$55,671</u>	<u>\$55,671</u>
FUND BALANCE	<u>\$5,203</u>	<u>\$3,102</u>	<u>\$1,001</u>
Reserve for economic uncertainties	5,203	3,102	1,001
<b>3087 Unfair Competition Law Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$17,937	\$16,329	\$9,433
Prior Year Adjustments	266	-	-
Adjusted Beginning Balance	<u>\$18,203</u>	<u>\$16,329</u>	<u>\$9,433</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	58	37	37
4173000 Penalty Assessments - Other	16,314	16,500	16,500
Total Revenues, Transfers, and Other Adjustments	<u>\$16,372</u>	<u>\$16,537</u>	<u>\$16,537</u>
Total Resources	<u>\$34,575</u>	<u>\$32,866</u>	<u>\$25,970</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	17,672	22,814	22,822
8880 Financial Information System for California (State Operations)	14	28	2
9892 Supplemental Pension Payments (State Operations)	-	-	149
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	560	591	1,779
Total Expenditures and Expenditure Adjustments	<u>\$18,246</u>	<u>\$23,433</u>	<u>\$24,752</u>
FUND BALANCE	<u>\$16,329</u>	<u>\$9,433</u>	<u>\$1,218</u>
Reserve for economic uncertainties	16,329	9,433	1,218
<b>3088 Registry of Charitable Trusts Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$6,037	\$6,427	\$5,212
Prior Year Adjustments	136	-	-
Adjusted Beginning Balance	<u>\$6,173</u>	<u>\$6,427</u>	<u>\$5,212</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	4,416	4,500	4,500
4163000 Investment Income - Surplus Money Investments	48	29	29
Transfers and Other Adjustments			

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**0820 Department of Justice - Continued**

Loan Repayment from the General Fund (0001) to the Registry of Charitable Trusts Fund (3088) per Item 0820-011-3088, Budget Act of 2011	-	-	2,700
Total Revenues, Transfers, and Other Adjustments	\$4,464	\$4,529	\$7,229
Total Resources	\$10,637	\$10,956	\$12,441
<b>EXPENDITURE AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
0820 Department of Justice (State Operations)	4,018	5,319	5,849
8880 Financial Information System for California (State Operations)	7	8	1
9892 Supplemental Pension Payments (State Operations)	-	-	51
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	185	417	411
Total Expenditures and Expenditure Adjustments	\$4,210	\$5,744	\$6,312
FUND BALANCE	\$6,427	\$5,212	\$6,129
Reserve for economic uncertainties	6,427	5,212	6,129
<b>3131 California Bingo Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$697	\$704	\$701
Prior Year Adjustments	-2	-	-
Adjusted Beginning Balance	\$695	\$704	\$701
<b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>			
Revenues:			
4127400 Renewal Fees	13	-	-
4129400 Other Regulatory Licenses and Permits	2	-	-
Total Revenues, Transfers, and Other Adjustments	\$15	-	-
Total Resources	\$710	\$704	\$701
<b>EXPENDITURE AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
0855 California Gambling Control Commission (State Operations)	1	-	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	5	3	-
Total Expenditures and Expenditure Adjustments	\$6	\$3	-
FUND BALANCE	\$704	\$701	\$701
Reserve for economic uncertainties	704	701	701
<b>3132 Charity Bingo Mitigation Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$1	\$5	\$10
Prior Year Adjustments	-1	-	-
Adjusted Beginning Balance	-	\$5	\$10
<b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>			
Revenues:			
4172500 Miscellaneous Revenue	5	5	5
Total Revenues, Transfers, and Other Adjustments	\$5	\$5	\$5
Total Resources	\$5	\$10	\$15
FUND BALANCE	\$5	\$10	\$15
Reserve for economic uncertainties	5	10	15
<b>3136 Foreclosure Consultant Regulation Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$12	\$12	\$12
Adjusted Beginning Balance	\$12	\$12	\$12
Total Resources	\$12	\$12	\$12
FUND BALANCE	\$12	\$12	\$12
Reserve for economic uncertainties	12	12	12
<b>3240 Secondhand Dealer and Pawnbroker Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$2,442	\$2,580	\$2,557
Prior Year Adjustments	21	-	-
Adjusted Beginning Balance	\$2,463	\$2,580	\$2,557

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**0820 Department of Justice - Continued**

## REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS

## Revenues:

4127400 Renewal Fees	481	481	481
4129400 Other Regulatory Licenses and Permits	120	120	120
4163000 Investment Income - Surplus Money Investments	19	19	19
Total Revenues, Transfers, and Other Adjustments	<u>\$620</u>	<u>\$620</u>	<u>\$620</u>
Total Resources	\$3,083	\$3,200	\$3,177

## EXPENDITURE AND EXPENDITURE ADJUSTMENTS

## Expenditures:

0820 Department of Justice (State Operations)	452	637	637
8880 Financial Information System for California (State Operations)	1	-	-
9892 Supplemental Pension Payments (State Operations)	-	-	4
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	50	6	41
Total Expenditures and Expenditure Adjustments	<u>\$503</u>	<u>\$643</u>	<u>\$682</u>
FUND BALANCE	\$2,580	\$2,557	\$2,495
Reserve for economic uncertainties	2,580	2,557	2,495

**3285 Electronic Recording Authorization Fund<sup>s</sup>**

BEGINNING BALANCE	\$101	\$26	\$27
Adjusted Beginning Balance	<u>\$101</u>	<u>\$26</u>	<u>\$27</u>

## REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS

## Revenues:

4135000 Local Agencies - Miscellaneous Revenue	173	300	300
4163000 Investment Income - Surplus Money Investments	1	1	1
Total Revenues, Transfers, and Other Adjustments	<u>\$174</u>	<u>\$301</u>	<u>\$301</u>
Total Resources	\$275	\$327	\$328

## EXPENDITURE AND EXPENDITURE ADJUSTMENTS

## Expenditures:

0820 Department of Justice (State Operations)	249	300	300
9892 Supplemental Pension Payments (State Operations)	-	-	4
Total Expenditures and Expenditure Adjustments	<u>\$249</u>	<u>\$300</u>	<u>\$304</u>
FUND BALANCE	\$26	\$27	\$24
Reserve for economic uncertainties	26	27	24

**3297 Major League Sporting Event Raffle Fund<sup>s</sup>**

BEGINNING BALANCE	-	\$297	\$557
Adjusted Beginning Balance	<u>-</u>	<u>\$297</u>	<u>\$557</u>

## REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS

## Revenues:

4129200 Other Regulatory Fees	\$89	90	90
4129400 Other Regulatory Licenses and Permits	171	170	170
Transfers and Other Adjustments			
Loan from the General Fund (0001) to the Major League Sporting Event Raffle Fund (3297) per Item 0820-011-0001, Budget Acts	335	335	335
Total Revenues, Transfers, and Other Adjustments	<u>\$595</u>	<u>\$595</u>	<u>\$595</u>
Total Resources	\$595	\$892	\$1,152

## EXPENDITURE AND EXPENDITURE ADJUSTMENTS

## Expenditures:

0820 Department of Justice (State Operations)	298	335	335
9892 Supplemental Pension Payments (State Operations)	-	-	3
Total Expenditures and Expenditure Adjustments	<u>\$298</u>	<u>\$335</u>	<u>\$338</u>
FUND BALANCE	\$297	\$557	\$814
Reserve for economic uncertainties	297	557	814

**3303 Ammunition Safety and Enforcement Special Fund<sup>s</sup>**

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**0820 Department of Justice - Continued**

BEGINNING BALANCE	-	\$23,781	\$11,138
Adjusted Beginning Balance	-	\$23,781	\$11,138
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129400 Other Regulatory Licenses and Permits	-	44	44
Transfers and Other Adjustments			
Loan from the General Fund (0001) to the Ammunition Safety and Enforcement Special Fund (3303) per Penal Code section 30371(a)	\$25,000	-	-
Total Revenues, Transfers, and Other Adjustments	\$25,000	\$44	\$44
Total Resources	\$25,000	\$23,825	\$11,182
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	1,219	12,687	11,094
8880 Financial Information System for California (State Operations)	-	-	1
9892 Supplemental Pension Payments (State Operations)	-	-	6
Total Expenditures and Expenditure Adjustments	\$1,219	\$12,687	\$11,101
FUND BALANCE	\$23,781	\$11,138	\$81
Reserve for economic uncertainties	23,781	11,138	81

**3320 Justice, Tobacco Law Enforcement Acct, CA Healthcare, Rsrch Prvt FD <sup>S</sup>**

BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments			
Revenue Transfer from the California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund (3304) to the Department of Justice, Tobacco Law Enforcement Acct, CA Healthcare, Rsrch Prvt Fund (3320) per Revenue and Tax Code Section	-	-	36,000
Total Revenues, Transfers, and Other Adjustments	-	-	\$36,000
Total Resources	-	-	\$36,000
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	-	-	7,500
0820 Department of Justice (Local Assistance)	-	-	28,500
Total Expenditures and Expenditure Adjustments	-	-	\$36,000
FUND BALANCE	-	-	-

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	4,330.5	4,404.5	4,404.5	\$411,804	\$426,790	\$426,754
Budget Position Transparency	-	5.0	5.0	-	-	-
<b>Salary and Other Adjustments</b>	1.4	51.9	77.8	-30,516	14,275	28,230
<b>Workload and Administrative Adjustments</b>						
<b>Antitrust Workload</b>						
Dep Atty Gen IV	-	-	3.0	-	-	402
Legal Analyst	-	-	1.0	-	-	56
Legal Secty	-	-	3.0	-	-	150
Research Program Spec II	-	-	1.0	-	-	78
Supvng Dep Atty Gen	-	-	1.0	-	-	148
<b>Bureau of Children's Justice</b>						
Assoc Govtl Program Analyst	-	-	1.0	-	-	64
Dep Atty Gen IV	-	-	11.0	-	-	1,475
Legal Analyst	-	-	1.0	-	-	56

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**0820 Department of Justice - Continued**

Supvng Dep Atty Gen	-	-	1.0	-	-	148
<b>Bureau of Gambling Control Third-Party Providers Workload</b>						
Assoc Govtl Program Analyst	-	-	-	-	-	776
<b>Cybercrime Investigation Teams</b>						
Crim Intelligence Spec III	-	-	2.0	-	-	124
Investigative Auditor III	-	-	2.0	-	-	165
Overtime	-	-	-	-	-	347
Special Agent - Dept of Justice	-	-	10.0	-	-	1,090
Special Agent Supv	-	-	2.0	-	-	246
Special Agent-In-Charge	-	-	1.0	-	-	144
Staff Svcs Analyst (Gen)	-	-	2.0	-	-	104
Temporary Help	-	-	-	-	-	38
<b>Cybersecurity Program Resources</b>						
Info Tech Mgr II	-	-	1.0	-	-	119
Info Tech Spec II	-	-	5.0	-	-	476
<b>Firearm Violence Research Center: Gun Violence Restraining Orders (SB 536)</b>						
Various	-	-	-	-	-	68
<b>Immigration Data Governance (SB 54)</b>						
Administrator I	-	-	1.0	-	-	76
Assoc Govtl Program Analyst	-	-	2.0	-	-	129
Dep Atty Gen IV	-	-	1.0	-	-	134
Fld Rep	-	-	3.0	-	-	199
Legal Secty	-	-	1.0	-	-	30
Overtime	-	-	-	-	-	139
Research Analyst I	-	-	1.0	-	-	46
Research Analyst II	-	-	1.0	-	-	68
Staff Info Sys Analyst (Spec)	-	-	2.0	-	-	153
Sys Software Spec III (Tech)	-	-	1.0	-	-	92
<b>Nonprofit Health Facilities: Sale of Assets (AB 651)</b>						
Dep Atty Gen IV	-	-	1.0	-	-	134
Legal Secty	-	-	1.0	-	-	30
<b>Registry of Charitable Trusts - Delinquency Compliance</b>						
Office Techn (Typing)	-	-	1.0	-	-	40
Staff Svcs Analyst (Gen)	-	-	4.0	-	-	179
<b>Sex Offenders: Registration (SB 384)</b>						
Assoc Govtl Program Analyst	-	-	4.0	-	-	259
Assoc Info Sys Analyst (Spec)	-	-	2.0	-	-	140
Sr Info Sys Analyst (Spec)	-	-	5.0	-	-	421
Sr Programmer Analyst (Spec)	-	-	1.0	-	-	84
Staff Info Sys Analyst (Spec)	-	-	1.0	-	-	76
Staff Programmer Analyst (Spec)	-	-	3.0	-	-	229
Staff Svcs Analyst (Gen)	-	-	3.0	-	-	134
Sys Software Spec II (Tech)	-	-	1.0	-	-	84
Sys Software Spec III (Tech)	-	-	5.0	-	-	461
<b>TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS</b>	-	-	<b>93.0</b>	<b>\$-</b>	<b>\$-</b>	<b>\$9,611</b>
<b>Totals, Adjustments</b>	<b>1.4</b>	<b>56.9</b>	<b>175.8</b>	<b>\$-30,516</b>	<b>\$14,275</b>	<b>\$37,841</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>4,331.9</b>	<b>4,461.4</b>	<b>4,580.3</b>	<b>\$381,288</b>	<b>\$441,065</b>	<b>\$464,595</b>

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## 0840 State Controller

The State Controller is the Chief Fiscal Officer of California, the fifth largest economy in the world. Principally responsible for transparency and accountability of the state's financial resources, the Controller ensures the appropriate disbursement and tracking of taxpayer dollars. The Controller serves on dozens of state boards, commissions, and committees with duties ranging from administrative oversight of the nation's two largest public pension funds, to protection of state lands and coastlines, and modernization and financing of major infrastructure. The Controller also offers fiscal guidance to local governments and has independent auditing authority over government agencies related to the spending of state funds. The Controller's primary objectives are to:

- Account for and control disbursement of all state funds, issuing warrants in payment of the state's bills, including lottery prizes.
- Determine legality and accuracy of financial claims against the state.
- Audit state and local government programs.
- Safeguard many types of assets until claimed by the rightful owners, in accordance with the Unclaimed Property Law.
- Inform the public of the state's financial condition.
- Inform the public of financial transactions of city, county, and other local governments.
- Administer the Uniform State Payroll System.
- Audit and process all personnel and payroll transactions for state civil service, state exempt employees, state university employees, and college system employees.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0500100	Accounting and Reporting	287.9	257.8	277.8	\$43,364	\$47,826	\$52,342
0500200	Audits	282.1	280.7	283.7	46,075	52,922	53,738
0500300	Personnel/Payroll Services	224.4	205.0	250.0	39,012	46,502	50,792
0500400	Unclaimed Property	249.0	256.0	256.0	37,017	38,390	39,397
0500500	Disbursements	82.6	95.8	96.8	29,549	29,564	30,460
9900100	Administration	295.0	287.6	293.6	52,849	56,752	61,155
9900200	Administration - Distributed	-	-	-	-52,849	-56,752	-60,876
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>1,421.0</b>	<b>1,382.9</b>	<b>1,457.9</b>	<b>\$195,017</b>	<b>\$215,204</b>	<b>\$227,008</b>
<b>FUNDING</b>					<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
0001	General Fund				\$53,198	\$63,128	\$70,712
0046	Public Transportation Account, State Transportation Fund				19	19	19
0061	Motor Vehicle Fuel Account, Transportation Tax Fund				4,570	4,677	4,683
0062	Highway Users Tax Account, Transportation Tax Fund				1,601	1,632	1,633
0064	Motor Vehicle License Fee Account, Transportation Tax Fund				17	17	17
0107	Abandoned Vehicle Trust Fund				4	2	2
0330	Local Revenue Fund				759	770	771
0494	Other - Unallocated Special Funds				1,132	-	-
0797	Unallocated Bond Funds - Select				1,110	-	-
0877	DMV Local Agency Collection Fund				14	11	11
0890	Federal Trust Fund				1,094	1,355	1,358
0903	State Penalty Fund				1,459	1,493	1,495
0932	Trial Court Trust Fund				174	174	174
0969	Public Safety Account, Local Public Safety Fund				268	268	268
0970	Unclaimed Property Fund				38,987	40,922	41,952
0988	Other - Unallocated Non-Governmental Cost Funds				482	282	282
0995	Reimbursements				57,733	63,484	61,970
3268	Senior Citizens and Disabled Citizens Property Tax Postponement Fund				1,993	2,148	2,151
3286	Safe Neighborhoods and Schools Fund				-	389	383

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**0840 State Controller - Continued**

3290	Road Maintenance and Rehabilitation Account, State Transportation Fund	-	-	1,139
6036	2002 State School Facilities Fund	7	696	-
6044	2004 State School Facilities Fund	92	433	1,131
6057	2006 State School Facilities Fund	240	14	14
9740	Central Service Cost Recovery Fund	30,064	33,290	36,843
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$195,017</b>	<b>\$215,204</b>	<b>\$227,008</b>

**LEGAL CITATIONS AND AUTHORITY****DEPARTMENT AUTHORITY**

Constitution, Article XVI, Section 7; Government Code Sections 12402 et seq.

**PROGRAM AUTHORITY****0500100-Accounting and Reporting:**

Constitution, Article XVI, Section 7 and Government Code Section 12402 et seq.; Government Code Title 1, Division 7, Chapter 21; Government Code Title 2, Division 1, Chapter 12.491; Government Code Title 2, Division 1, Chapter 12.5; Government Code Title 2 Division 3, Part 2, Chapter 5 and Part 10b, Chapter 4; Government Code Title 2, Division 4, Part 7; Government Code Title 3, Division 3, Chapters 8 and 9; Government Code Title 5, Division 2, Part 1, Chapter 4, Article 9; Government Code Title 8 Chapter 6, Article 10; Health and Safety Code Division 24, Part 1, Chapter 1, Article 6; Penal Code Section 1463.02; Public Contracts Code Division 2, Part 3, Chapter 2; Public Utilities Code Division 10, Part 11, Chapter 4, Article 3 and 6.5; Revenue and Taxation Code, Division 2, Parts 2, 7, 8, 9.5 and 10.5; Streets and Highways Code Division 3, Chapter 3 and 4; Welfare and Institutions Code Division 9, Part 5, Chapter 6.

**0500200-Audits:**

Constitution, Article XVI, Section 7 and Government Code Section 12402 et seq.

**0500300-Personnel/Payroll Services:**

Constitution, Article XVI, Section 7 and Government Code Section 12402 et seq., Government Code Section 12470, and Government Code Section 19822.3.

**0500400-Unclaimed Property:**

Code of Civil Procedure Part 3, Title 10.

**0500500-Disbursements:**

Constitution, Article XVI, Section 7 and Government Code 17004, Mailing of Warrants.

**9900100-Administration:**

Government Code Section 12402 et seq. and Government Code Section 17220. Membership by State Controller on boards and commissions, principally: State Board of Equalization-Constitution, Articles VII, XIX, and XIII; Franchise Tax Board-Government Code Section 15700; Board of Public Employees' Retirement System-Government Code Section 20090; Board of State Teacher's Retirement System-Education Code Section 22200; California Victim Compensation Board-Government Code Section 13901; State Lands Commission-Public Resources Code Section 6101; Pooled Money Investment Board-Government Code Section 16480.1; various bond and finance committees-Education Code Section 15909, Military and Veterans Code Section 991, Water Code Section 12933, and Harbors and Navigation Code Sections 3903.

**MAJOR PROGRAM CHANGES**

- **Personnel and Payroll Services Workload** - The Budget includes \$6.1 million (\$3.5 million General Fund) in 2018-19 and 2019-20, and approximately \$4.2 million (\$2.4 million General Fund) ongoing, to support limited-term and ongoing workload related to the Affordable Care Act, the California Public Employees' Pension Reform Act, and Other Post-Employment Benefits, and to increase efficiencies and improve customer services.
- **Integrated Data Management System Replacement Workload** - The Budget includes \$5.7 million (\$2.4 million General Fund) in 2018-19 through 2022-23 to support Integrated Data Management System contract costs and permanent positions to develop and implement a system migration plan.

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**0840 State Controller - Continued**

- **Road Maintenance and Rehabilitation Program** - The Budget includes \$0.9 million in 2018-19 and 2019-20, and \$0.4 million ongoing to perform audits and ensure city and county compliance with maintenance of effort expenditure requirements as required by Chapter 5, Statutes of 2017 (SB1).
- **Financial Information System of California (FI\$Cal), SCO Implementation Workload** - The Budget includes \$5.4 million dollars (\$3.1 million General Fund) in 2018-19, and approximately \$7.5 million dollars (\$4.3 million General Fund) through 2021-22, to support an integrated solution to transition SCO's legacy systems to FI\$Cal, including additional vendor management workload.
- **California State Payroll System** - The Budget includes \$4.6 million General Fund in 2018-19, \$3.4 million General Fund in 2019-20, and \$1.9 million General Fund through 2021-22 to continue and complete the California Department of Technology Project Approval Life cycle, develop and implement statewide an Employee Self Service portal web application, and contract with a procurement support vendor for the California State Payroll System Project.
- **California Automated Travel Expense Reimbursement System (CalATERS)** - The Budget includes \$1.6 million General Fund in 2018-19 and \$0.7 million through 2021-22 to address workload associated with the CalATERS system.

**DETAILED BUDGET ADJUSTMENTS**

	2017-18*			2018-19*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Workload Budget Change Proposals</b>						
• California State Payroll System	\$-	\$-	-	\$4,639	\$-	11.0
• Personnel and Payroll Services Workload	-	-	-	3,503	2,644	37.0
• SCO FI\$Cal Implementation	-	-	-	3,093	2,334	24.0
• CA-IDMS Licensing Increase	-	-	-	2,387	3,299	4.0
• CalATERS System	-	-	-	1,558	-	-
• Payroll Audits	-	-	-	389	293	-
• FI\$Cal Claim Audit Workload	-	-	-	151	114	-
• Local Apportionments Workload Increase	-	-	-	138	108	2.0
• Legislative Accounting Workload (SB1, SB 84, Proposition 56, Proposition 64)	-	-	-	115	230	2.0
• Road Maintenance and Rehabilitation Program	-	-	-	-	909	3.0
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$15,973</b>	<b>\$9,931</b>	<b>83.0</b>
<b>Other Workload Budget Adjustments</b>						
• Allocation for Other Post-Employment Benefits	5	2	-	5	2	-
• Unanticipated Cost for Integrated Solution to Implement SCO Control Functions in FI\$Cal	1,215	-	8.0	-	-	-
• Central Service Function Cost Realignment	-	-	-	-907	907	-
• Salary Adjustments	1,191	2,561	-	1,191	2,561	-
• Benefit Adjustments	507	1,086	-	579	1,237	-
• Retirement Rate Adjustments	483	1,027	-	483	1,027	-
• SWCAP	-	-	-	-	1	-
• Miscellaneous Baseline Adjustments	-	-	-16.0	-	-	-16.0
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$3,401</b>	<b>\$4,676</b>	<b>-8.0</b>	<b>\$1,351</b>	<b>\$5,735</b>	<b>-16.0</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$3,401</b>	<b>\$4,676</b>	<b>-8.0</b>	<b>\$17,324</b>	<b>\$15,666</b>	<b>67.0</b>
<b>Totals, Budget Adjustments</b>	<b>\$3,401</b>	<b>\$4,676</b>	<b>-8.0</b>	<b>\$17,324</b>	<b>\$15,666</b>	<b>67.0</b>

**PROGRAM DESCRIPTIONS****0500100 - ACCOUNTING AND REPORTING**

The State Accounting and Reporting Division maintains uniform and systematic control accounts of all receipts, payments, state fund balances, and bonded indebtedness; reports the financial condition of the state; monitors the cash flow of the General Fund; administers the statewide discharge from accountability program; participates in offsetting monies owed to the

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**0840 State Controller - Continued**

state; and collaborates with the Department of Finance, the Treasurer, and the Department of General Services to develop and implement the Financial Information System for California (FI\$Cal) to ensure the system meets the state's primary objectives and provides best business practices for the state.

The Local Government Programs and Services Division maintains a database of information and reports on local financial transactions and government compensation; apportions shared revenues to local governments; administers local mandated cost programs; approves county cost allocation plans; prescribes uniform accounting procedures for presentation of financial data for local governments and trial court revenues; administers and collects estate and inheritance taxes; collects delinquent insurance and motor vehicle fuel taxes and petroleum and gas assessments; refunds gasoline taxes paid on fuel consumed for off-highway purposes; instructs and advises county tax collectors; and administers the Property Tax Postponement Program for senior and disabled citizens.

**0500200 - AUDITS**

The Audits Division determines the legality and accuracy of all claims against the state through the performance of prepayment audits; assures the accuracy of local government claims and financial statements submitted to the state and federal governments by establishing and updating audit guidelines, and reviewing audits performed by independent auditors; performs field audits for a variety of state and federal programs; reviews the single audits reports of local governments and local education agencies that receive "pass-through federal funds" from state agencies; and audits major businesses for compliance with the Unclaimed Property Law.

**0500300 - PERSONNEL/PAYROLL SERVICES**

The Personnel/Payroll Services Division administers the state's payroll, employment history, the automated travel expense reimbursement system, and leave accounting processes in a manner that provides accurate, timely and efficient processing for the users of these systems. The Division works with other entities, e.g., Department of Human Resources, Judicial Council, Department of Finance, and California State University Chancellor's Office, to maximize the efficiency of the state's personnel and payroll functions, while continuing to maintain the highest level and quality of customer service.

The California State Payroll System Project was initiated to modernize and replace existing statewide human resource management systems as part of a fully integrated solution. The new system should enable the state to improve management processes and fulfill its payroll, reporting, and deduction processing obligations accurately and on time.

**0500400 - UNCLAIMED PROPERTY**

The Unclaimed Property Division administers the Unclaimed Property Law by providing one central source for owners to claim their property; notifying owners of their unclaimed property before it is transferred to the state; receiving unclaimed property from banks, savings and loans, and other business firms; and providing outreach and education for California businesses holding unclaimed property.

**0500500 - DISBURSEMENTS**

The Disbursements Bureau provides services to all state Agencies, Departments and Boards. The primary responsibility is to produce and deliver all payments of the state's obligations after auditing and clearance through control accounts, including personal income tax refunds, payroll, and retirement payments. Disbursements also provides post issuance services, including, but not limited to disposition of undeliverable warrants; lost warrant duplication; and maintenance and production of paid warrant images.

**9900100 - ADMINISTRATION**

The Executive Office and the Administration Division provide executive direction and support services to programs in the State Controller's Office. The Information Systems Division develops, maintains, and operates all of the department's mainframe, client-server and web-based systems; and oversees the planning, procurement, use, and maintenance of information technology hardware and software.

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0500</b>	<b>STATE CONTROLLER'S OFFICE</b>			
	<b>State Operations:</b>			
0001	General Fund	\$53,198	\$63,128	\$70,711
0046	Public Transportation Account, State Transportation Fund	19	19	19
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	4,570	4,677	4,683

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**0840 State Controller - Continued**

0062	Highway Users Tax Account, Transportation Tax Fund	1,601	1,632	1,633
0064	Motor Vehicle License Fee Account, Transportation Tax Fund	17	17	17
0107	Abandoned Vehicle Trust Fund	4	2	2
0330	Local Revenue Fund	759	770	771
0494	Other - Unallocated Special Funds	1,132	-	-
0797	Unallocated Bond Funds - Select	1,110	-	-
0877	DMV Local Agency Collection Fund	14	11	11
0890	Federal Trust Fund	1,094	1,355	1,358
0903	State Penalty Fund	1,459	1,493	1,495
0932	Trial Court Trust Fund	174	174	174
0969	Public Safety Account, Local Public Safety Fund	268	268	268
0970	Unclaimed Property Fund	38,987	40,922	41,952
0988	Other - Unallocated Non-Governmental Cost Funds	482	282	282
0995	Reimbursements	57,733	63,484	61,692
3268	Senior Citizens and Disabled Citizens Property Tax Postponement Fund	1,993	2,148	2,151
3286	Safe Neighborhoods and Schools Fund	-	389	383
3290	Road Maintenance and Rehabilitation Account, State Transportation Fund	-	-	1,139
6036	2002 State School Facilities Fund	7	696	-
6044	2004 State School Facilities Fund	92	433	1,131
6057	2006 State School Facilities Fund	240	14	14
9740	Central Service Cost Recovery Fund	30,064	33,290	36,843
<b>Totals, State Operations</b>		<b>\$195,017</b>	<b>\$215,204</b>	<b>\$226,729</b>

**SUBPROGRAM REQUIREMENTS****0500100 Accounting and Reporting****State Operations:**

0001	General Fund	\$16,834	\$19,906	\$22,503
0046	Public Transportation Account, State Transportation Fund	19	19	19
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	2,193	2,609	2,612
0062	Highway Users Tax Account, Transportation Tax Fund	483	484	484
0064	Motor Vehicle License Fee Account, Transportation Tax Fund	17	17	17
0107	Abandoned Vehicle Trust Fund	4	2	2
0330	Local Revenue Fund	759	770	771
0494	Other - Unallocated Special Funds	209	-	-
0797	Unallocated Bond Funds - Select	1,110	-	-
0877	DMV Local Agency Collection Fund	14	11	11
0903	State Penalty Fund	205	355	355
0932	Trial Court Trust Fund	174	174	174
0969	Public Safety Account, Local Public Safety Fund	268	268	268
0988	Other - Unallocated Non-Governmental Cost Funds	396	192	192
0995	Reimbursements	11,862	11,446	10,674
3268	Senior Citizens and Disabled Citizens Property Tax Postponement Fund	1,993	2,148	2,151
3290	Road Maintenance and Rehabilitation Account, State Transportation Fund	-	-	230
6036	2002 State School Facilities Fund	4	456	-
6044	2004 State School Facilities Fund	3	277	735
6057	2006 State School Facilities Fund	4	8	8
9740	Central Service Cost Recovery Fund	6,813	8,684	11,136
<b>Totals, State Operations</b>		<b>\$43,364</b>	<b>\$47,826</b>	<b>\$52,342</b>

**SUBPROGRAM REQUIREMENTS****0500200 Audits****State Operations:**

0001	General Fund	\$13,742	\$16,313	\$16,556
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**0840 State Controller - Continued**

0061	Motor Vehicle Fuel Account, Transportation Tax Fund	2,377	2,068	2,071
0062	Highway Users Tax Account, Transportation Tax Fund	1,118	1,148	1,149
0890	Federal Trust Fund	1,094	1,355	1,358
0903	State Penalty Fund	1,254	1,138	1,140
0970	Unclaimed Property Fund	2,114	2,662	2,665
0988	Other - Unallocated Non-Governmental Cost Funds	86	90	90
0995	Reimbursements	15,066	18,455	17,435
3286	Safe Neighborhoods and Schools Fund	-	389	383
3290	Road Maintenance and Rehabilitation Account, State Transportation Fund	-	-	909
6036	2002 State School Facilities Fund	3	240	-
6044	2004 State School Facilities Fund	89	156	396
6057	2006 State School Facilities Fund	236	6	6
9740	Central Service Cost Recovery Fund	8,896	8,902	9,580
<b>Totals, State Operations</b>		<b>\$46,075</b>	<b>\$52,922</b>	<b>\$53,738</b>
<b>SUBPROGRAM REQUIREMENTS</b>				
<b>0500300</b>	<b>Personnel/Payroll Services</b>			
<b>State Operations:</b>				
0001	General Fund	\$16,468	\$22,711	\$26,777
0494	Other - Unallocated Special Funds	923	-	-
0995	Reimbursements	9,486	10,420	10,420
9740	Central Service Cost Recovery Fund	12,135	13,371	13,595
<b>Totals, State Operations</b>		<b>\$39,012</b>	<b>\$46,502</b>	<b>\$50,792</b>
<b>SUBPROGRAM REQUIREMENTS</b>				
<b>0500400</b>	<b>Unclaimed Property</b>			
<b>State Operations:</b>				
0001	General Fund	\$-	\$109	\$103
0970	Unclaimed Property Fund	36,873	38,178	39,193
0995	Reimbursements	144	20	20
9740	Central Service Cost Recovery Fund	-	83	81
<b>Totals, State Operations</b>		<b>\$37,017</b>	<b>\$38,390</b>	<b>\$39,397</b>
<b>SUBPROGRAM REQUIREMENTS</b>				
<b>0500500</b>	<b>Disbursements</b>			
<b>State Operations:</b>				
0001	General Fund	\$6,154	\$4,089	\$4,772
0970	Unclaimed Property Fund	-	82	94
0995	Reimbursements	21,175	23,143	23,143
9740	Central Service Cost Recovery Fund	2,220	2,250	2,451
<b>Totals, State Operations</b>		<b>\$29,549</b>	<b>\$29,564</b>	<b>\$30,460</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>9900</b>	<b>ADMINISTRATION - TOTAL</b>			
<b>State Operations:</b>				
0001	General Fund	\$-	\$-	\$1
0995	Reimbursements	-	-	278
<b>Totals, State Operations</b>		<b>\$-</b>	<b>\$-</b>	<b>\$279</b>
<b>SUBPROGRAM REQUIREMENTS</b>				
<b>9900100</b>	<b>Administration</b>			
<b>State Operations:</b>				
0001	General Fund	\$52,849	\$56,114	\$60,517
0995	Reimbursements	-	638	638
<b>Totals, State Operations</b>		<b>\$52,849</b>	<b>\$56,752</b>	<b>\$61,155</b>
<b>SUBPROGRAM REQUIREMENTS</b>				
<b>9900200</b>	<b>Administration - Distributed</b>			

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**0840 State Controller - Continued**

<b>State Operations:</b>				
0001	General Fund	-\$52,849	-\$56,114	-\$60,516
0995	Reimbursements	-	-638	-360
<b>Totals, State Operations</b>		<b>-\$52,849</b>	<b>-\$56,752</b>	<b>-\$60,876</b>
<b>TOTALS, EXPENDITURES</b>				
State Operations		195,017	215,204	227,008
<b>Totals, Expenditures</b>		<b>\$195,017</b>	<b>\$215,204</b>	<b>\$227,008</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	1,384.0	1,390.9	1,390.9	\$101,054	\$102,290	\$98,572
Other Adjustments	37.0	-8.0	67.0	551	1,828	14,586
<b>Net Totals, Salaries and Wages</b>	<b>1,421.0</b>	<b>1,382.9</b>	<b>1,457.9</b>	<b>\$101,605</b>	<b>\$104,118</b>	<b>\$113,158</b>
Staff Benefits	-	-	-	42,307	52,190	51,940
<b>Totals, Personal Services</b>	<b>1,421.0</b>	<b>1,382.9</b>	<b>1,457.9</b>	<b>\$143,912</b>	<b>\$156,308</b>	<b>\$165,098</b>
OPERATING EXPENSES AND EQUIPMENT				\$51,102	\$58,896	\$61,910
SPECIAL ITEMS OF EXPENSES				3	-	-
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$195,017</b>	<b>\$215,204</b>	<b>\$227,008</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$53,708	\$59,727	\$70,712
Allocation for Employee Compensation	-	1,191	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	507	-
Section 3.60 Pension Contribution Adjustment	-	483	-
Unanticipated Cost for Integrated Solution to Implement SCO Control Functions in FI\$Cal	-	1,215	-
<b>Totals Available</b>	<b>\$53,708</b>	<b>\$63,128</b>	<b>\$70,712</b>
Unexpended balance, estimated savings	-510	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$53,198</b>	<b>\$63,128</b>	<b>\$70,712</b>
<b>0046 Public Transportation Account, State Transportation Fund</b>			
APPROPRIATIONS			
Apportionment payment system assessments per Control Section 25.50	\$19	\$19	\$19
<b>TOTALS, EXPENDITURES</b>	<b>\$19</b>	<b>\$19</b>	<b>\$19</b>
<b>0061 Motor Vehicle Fuel Account, Transportation Tax Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,570	\$4,510	\$4,683
Allocation for Employee Compensation	-	91	-
Allocation for Staff Benefits	-	38	-
Section 3.60 Pension Contribution Adjustment	-	38	-
<b>TOTALS, EXPENDITURES</b>	<b>\$4,570</b>	<b>\$4,677</b>	<b>\$4,683</b>
<b>0062 Highway Users Tax Account, Transportation Tax Fund</b>			
APPROPRIATIONS			

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**0840 State Controller - Continued**

001 Budget Act appropriation	\$1,296	\$1,281	\$1,328
Allocation for Employee Compensation	-	26	-
Allocation for Staff Benefits	-	10	-
Section 3.60 Pension Contribution Adjustment	-	10	-
Apportionment payment system assessments per Control Section 25.50	305	305	305
<b>TOTALS, EXPENDITURES</b>	<b>\$1,601</b>	<b>\$1,632</b>	<b>\$1,633</b>

**0064 Motor Vehicle License Fee Account, Transportation Tax Fund**

## APPROPRIATIONS

Apportionment payment system assessments per Control Section 25.50	\$17	\$17	\$17
<b>TOTALS, EXPENDITURES</b>	<b>\$17</b>	<b>\$17</b>	<b>\$17</b>

**0107 Abandoned Vehicle Trust Fund**

## APPROPRIATIONS

Vehicle Code section 9250.7(b)	\$2	\$2	\$2
<b>Totals Available</b>	<b>\$2</b>	<b>\$2</b>	<b>\$2</b>
Unexpended balance, estimated savings	2	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$4</b>	<b>\$2</b>	<b>\$2</b>

**0330 Local Revenue Fund**

## APPROPRIATIONS

001 Budget Act appropriation	\$659	\$650	\$665
Allocation for Employee Compensation	-	11	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	4	-
Apportionment payment system assessments per Control Section 25.50	100	100	106
<b>TOTALS, EXPENDITURES</b>	<b>\$759</b>	<b>\$770</b>	<b>\$771</b>

**0494 Other - Unallocated Special Funds**

## APPROPRIATIONS

011 Budget Act appropriation	\$209	-	-
Human Resources Management System assessments per Control Section 25.25	3,860	-	-
<b>Totals Available</b>	<b>\$4,069</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-2,937	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,132</b>	<b>-</b>	<b>-</b>

**0797 Unallocated Bond Funds - Select**

## APPROPRIATIONS

011 Budget Act appropriation	\$1,110	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,110</b>	<b>-</b>	<b>-</b>

**0877 DMV Local Agency Collection Fund**

## APPROPRIATIONS

Vehicle Code section 9250.14(b)	\$9	\$9	\$9
Apportionment payment system assessments per Control Section 25.50	2	2	2
<b>Totals Available</b>	<b>\$11</b>	<b>\$11</b>	<b>\$11</b>
Unexpended balance, estimated savings	3	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$14</b>	<b>\$11</b>	<b>\$11</b>

**0890 Federal Trust Fund**

## APPROPRIATIONS

001 Budget Act appropriation	\$1,296	\$1,306	\$1,358
Allocation for Employee Compensation	-	27	-
Allocation for Staff Benefits	-	11	-
Section 3.60 Pension Contribution Adjustment	-	11	-
<b>Totals Available</b>	<b>\$1,296</b>	<b>\$1,355</b>	<b>\$1,358</b>
Unexpended balance, estimated savings	-202	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,094</b>	<b>\$1,355</b>	<b>\$1,358</b>

**0903 State Penalty Fund**

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0840 State Controller - Continued**

## APPROPRIATIONS

001 Budget Act appropriation	\$1,459	\$1,442	\$1,495
Allocation for Employee Compensation	-	29	-
Allocation for Staff Benefits	-	11	-
Section 3.60 Pension Contribution Adjustment	-	11	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,459</b>	<b>\$1,493</b>	<b>\$1,495</b>

**0932 Trial Court Trust Fund**

## APPROPRIATIONS

Apportionment payment system assessments per Control Section 25.50	\$174	\$174	\$174
<b>TOTALS, EXPENDITURES</b>	<b>\$174</b>	<b>\$174</b>	<b>\$174</b>

**0969 Public Safety Account, Local Public Safety Fund**

## APPROPRIATIONS

Apportionment payment system assessments per Control Section 25.50	\$268	\$268	\$268
<b>TOTALS, EXPENDITURES</b>	<b>\$268</b>	<b>\$268</b>	<b>\$268</b>

**0970 Unclaimed Property Fund**

## APPROPRIATIONS

001 Budget Act appropriation	\$38,987	\$39,339	\$41,952
Allocation for Employee Compensation	-	868	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	370	-
Section 3.60 Pension Contribution Adjustment	-	344	-
<b>TOTALS, EXPENDITURES</b>	<b>\$38,987</b>	<b>\$40,922</b>	<b>\$41,952</b>

**0988 Other - Unallocated Non-Governmental Cost Funds**

## APPROPRIATIONS

001 Budget Act appropriation	\$277	\$274	\$282
Allocation for Employee Compensation	-	4	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	2	-
011 Budget Act appropriation	205	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$482</b>	<b>\$282</b>	<b>\$282</b>

**0995 Reimbursements**

## APPROPRIATIONS

Reimbursements	\$57,733	\$63,484	\$61,970
<b>TOTALS, EXPENDITURES</b>	<b>\$57,733</b>	<b>\$63,484</b>	<b>\$61,970</b>

**3268 Senior Citizens and Disabled Citizens Property Tax Postponement Fund**

## APPROPRIATIONS

001 Budget Act appropriation	\$2,312	\$2,072	\$2,151
Allocation for Employee Compensation	-	42	-
Allocation for Staff Benefits	-	17	-
Section 3.60 Pension Contribution Adjustment	-	17	-
<b>Totals Available</b>	<b>\$2,312</b>	<b>\$2,148</b>	<b>\$2,151</b>
Unexpended balance, estimated savings	-319	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,993</b>	<b>\$2,148</b>	<b>\$2,151</b>

**3286 Safe Neighborhoods and Schools Fund**

## APPROPRIATIONS

Government Code section 7599.2(d)	-	\$389	\$383
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>\$389</b>	<b>\$383</b>

**3290 Road Maintenance and Rehabilitation Account, State Transportation Fund**

## APPROPRIATIONS

001 Budget Act appropriation	-	-	\$1,139
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>\$1,139</b>

**6036 2002 State School Facilities Fund**

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0840 State Controller - Continued**

## APPROPRIATIONS

001 Budget Act appropriation	\$11	\$673	-
Allocation for Employee Compensation	-	13	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	5	-
<b>Totals Available</b>	<b>\$11</b>	<b>\$696</b>	<b>-</b>
Unexpended balance, estimated savings	-4	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$7</b>	<b>\$696</b>	<b>-</b>

**6044 2004 State School Facilities Fund**

## APPROPRIATIONS

001 Budget Act appropriation	\$312	\$420	\$1,131
Allocation for Employee Compensation	-	7	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	3	-
<b>Totals Available</b>	<b>\$312</b>	<b>\$433</b>	<b>\$1,131</b>
Unexpended balance, estimated savings	-220	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$92</b>	<b>\$433</b>	<b>\$1,131</b>

**6057 2006 State School Facilities Fund**

## APPROPRIATIONS

001 Budget Act appropriation	\$797	\$14	\$14
<b>Totals Available</b>	<b>\$797</b>	<b>\$14</b>	<b>\$14</b>
Unexpended balance, estimated savings	-557	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$240</b>	<b>\$14</b>	<b>\$14</b>

**9740 Central Service Cost Recovery Fund**

## APPROPRIATIONS

001 Budget Act appropriation	\$30,450	\$32,247	\$36,843
Allocation for Employee Compensation	-	574	-
Allocation for Staff Benefits	-	244	-
Section 3.60 Pension Contribution Adjustment	-	225	-
<b>Totals Available</b>	<b>\$30,450</b>	<b>\$33,290</b>	<b>\$36,843</b>
Unexpended balance, estimated savings	-386	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$30,064</b>	<b>\$33,290</b>	<b>\$36,843</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$195,017</b>	<b>\$215,204</b>	<b>\$227,008</b>

**2 LOCAL ASSISTANCE****2016-17\* 2017-18\* 2018-19\*****0979 California Firefighters Memorial Fund**

## APPROPRIATIONS

101 Budget Act appropriation	\$500	\$500	\$500
Allocation to California Firefighters' Memorial Fund	-500	-500	-500
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total Expenditures, All Funds, (Local Assistance)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$195,017</b>	<b>\$215,204</b>	<b>\$227,008</b>

**FUND CONDITION STATEMENTS****2016-17\* 2017-18\* 2018-19\*****0442 California Olympic Training Account <sup>s</sup>**

BEGINNING BALANCE	-	-	-
Prior Year Adjustments	-\$1	-	-
Adjusted Beginning Balance	-\$1	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			

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**0840 State Controller - Continued**

Revenues:			
4142500 License Plate Fees - Personalized Plates	57	\$56	\$56
Transfers and Other Adjustments			
Revenue Transfer from the California Olympic Training Account (0442) to the General Fund (0001) per Government Code Section 7592	-56	-56	-56
Total Revenues, Transfers, and Other Adjustments	\$1	-	-
FUND BALANCE	-	-	-
<b>3268 Senior Citizens and Disabled Citizens Property Tax Postponement Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$16,618	\$19,971	\$15,000
Adjusted Beginning Balance	\$16,618	\$19,971	\$15,000
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4151000 Interest Income - Other Loans	2,755	2,486	2,486
4163000 Investment Income - Surplus Money Investments	131	247	247
Transfers and Other Adjustments			
Revenue Transfer from the Senior Citizens and Disabled Citizens Property Tax Postponement Fund (3268) to the General Fund (0001) per Government Code Section 16180(b)	-	-7,678	-2,664
Total Revenues, Transfers, and Other Adjustments	\$2,886	-\$4,945	\$69
Total Resources	\$19,504	\$15,026	\$15,069
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	1,993	2,148	2,151
8880 Financial Information System for California (State Operations)	3	4	-
9100 Tax Relief (Local Assistance)	-2,505	-2,505	-2,505
9892 Supplemental Pension Payments (State Operations)	-	-	33
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	42	379	390
Total Expenditures and Expenditure Adjustments	-\$467	\$26	\$69
FUND BALANCE	\$19,971	\$15,000	\$15,000
Reserve for economic uncertainties	19,971	15,000	15,000

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	1,384.0	1,390.9	1,390.9	\$101,054	\$102,290	\$98,572
<b>Salary and Other Adjustments</b>	37.0	-8.0	-16.0	551	1,828	4,614
<b>Workload and Administrative Adjustments</b>						
<b>CA-IDMS Licensing Increase</b>						
Assoc Info Sys Analyst (Spec)	-	-	1.0	-	-	70
Sr Info Sys Analyst (Spec)	-	-	2.0	-	-	168
Staff Info Sys Analyst (Spec) (Limited Term 06-30-2020)	-	-	2.0	-	-	152
Various	-	-	-1.0	-	-	-
<b>CalATERS System</b>						
Assoc Govtl Program Analyst (Limited Term 06-30-2022)	-	-	1.0	-	-	71
Info Tech Spec I (Limited Term 06-30-2022)	-	-	3.5	-	-	301
Various	-	-	-4.5	-	-	-
<b>California State Payroll System</b>						
C.E.A. - B	-	-	1.0	-	-	116
Accounting Administrator I (Spec) (Limited Term 06-30-2019)	-	-	1.0	-	-	79
Assoc Govtl Program Analyst (Limited Term 06-30-2019)	-	-	5.0	-	-	331
C.E.A.	-	-	1.0	-	-	144

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**0840 State Controller - Continued**

Info Tech Mgr I	-	-	1.0	-	-	100
Info Tech Spec I (Limited Term 06-30-2020)	-	-	8.0	-	-	677
Info Tech Spec II (Limited Term 06-30-2020)	-	-	1.0	-	-	105
Payroll Officer (Limited Term 06-30-2019)	-	-	4.0	-	-	265
Staff Svcs Mgr I	-	-	1.0	-	-	77
Staff Svcs Mgr III	-	-	1.0	-	-	100
Various	-	-	-13.0	-	-	-
<b>FI\$Cal Claim Audit Workload</b>						
Sr Claim Auditor	-	-	3.0	-	-	136
Various	-	-	-3.0	-	-	-
<b>Legislative Accounting Workload (SB1, SB 84, Proposition 56, Proposition 64)</b>						
Assoc Accounting Analyst (Limited Term 06-30-2019)	-	-	3.0	-	-	204
Various	-	-	-1.0	-	-	-
<b>Local Apportionments Workload Increase</b>						
Accounting Administrator I (Supvr)	-	-	1.0	-	-	77
Assoc Accounting Analyst	-	-	1.0	-	-	68
<b>Payroll Audits</b>						
Assoc Mgmt Auditor (Limited Term 06-30-2020)	-	-	2.0	-	-	140
Sr Mgmt Auditor (Limited Term 06-30-2020)	-	-	1.0	-	-	86
Staff Mgmt Auditor (Spec) (Limited Term 06-30-2020)	-	-	2.0	-	-	146
Various	-	-	-5.0	-	-	-
<b>Personnel and Payroll Services Workload</b>						
Assoc Govtl Program Analyst (Limited Term 06-30-2020)	-	-	17.0	-	-	1,100
Payroll Officer	-	-	2.0	-	-	133
Payroll Spec	-	-	11.0	-	-	500
Sr Info Sys Analyst (Spec)	-	-	1.0	-	-	84
Sr Payroll Spec (Limited Term 06-30-2020)	-	-	14.0	-	-	771
Sr Programmer Analyst (Spec) (Limited Term 06-30-2020)	-	-	5.0	-	-	420
Staff Info Sys Analyst (Spec) (Limited Term 06-30-2020)	-	-	2.0	-	-	153
Staff Programmer Analyst (Spec) (Limited Term 06-30-2020)	-	-	3.0	-	-	229
Staff Svcs Mgr I	-	-	1.0	-	-	77
Various	-	-	-19.0	-	-	-
<b>Road Maintenance and Rehabilitation Program</b>						
Assoc Mgmt Auditor (Limited Term 06-30-2020)	-	-	4.0	-	-	279
Sr Mgmt Auditor	-	-	1.0	-	-	86
Staff Mgmt Auditor (Spec) (Limited Term 06-30-2020)	-	-	2.0	-	-	146
Various	-	-	-4.0	-	-	-
<b>SCO FI\$Cal Implementation</b>						
Accounting Analyst	-	-	3.0	-	-	155
Assoc Accounting Analyst	-	-	6.0	-	-	407
Financial Accountant I	-	-	10.0	-	-	803
Financial Accountant II	-	-	3.0	-	-	277
Financial Accountant III	-	-	3.0	-	-	321
Financial Accountant IV	-	-	1.0	-	-	118
Sr Adm Analyst - Accounting Sys	-	-	1.0	-	-	84
Sr Programmer Analyst (Spec)	-	-	2.0	-	-	168
Staff Svcs Analyst (Gen)	-	-	1.0	-	-	48
Various	-	-	-6.0	-	-	-
<b>TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS</b>	-	-	<b>83.0</b>	<b>\$-</b>	<b>\$-</b>	<b>\$9,972</b>
<b>Totals, Adjustments</b>	<b>37.0</b>	<b>-8.0</b>	<b>67.0</b>	<b>\$551</b>	<b>\$1,828</b>	<b>\$14,586</b>

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**0840 State Controller - Continued**

TOTALS, SALARIES AND WAGES	1,421.0	1,382.9	1,457.9	\$101,605	\$104,118	\$113,158
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## 0845 Department of Insurance

California's publicly-elected Insurance Commissioner regulates the sixth largest insurance economy in the world with insurers collecting more than \$289 billion in premium annually in California while protecting consumers and the integrity, health and vitality of the insurance marketplace. The vision of the California Department of Insurance (CDI) is "Insurance Protection for All Californians." CDI accomplishes this by enforcing insurance laws and regulations, assisting consumers in their dealings with insurers, and using innovation to improve services for insurance producers and consumers.

The CDI licenses and regulates insurance companies, and individuals in California. Currently, the CDI oversees and licenses approximately 1,300 insurance companies and more than 400,000 individuals and business entities as insurance agents, brokers, adjusters and bail agents. Additionally, the CDI receives and investigates approximately 200,000 consumer inquiries and complaints annually; performs examinations to ensure the financial solvency of companies; receives more than 28,000 suspected fraudulent claim referrals annually; receives and reviews approximately 7,250 rate filing applications annually; and works in conjunction with local, state and federal law enforcement agencies to investigate and prosecute fraudulent insurance practices.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0520	Regulation of Insurance Companies and Insurance Producers	419.5	447.5	453.8	\$84,204	\$91,168	\$89,994
0525	Consumer Protection	303.3	321.3	321.3	59,405	60,248	59,657
0530	Fraud Control	266.9	308.4	320.3	118,784	132,378	136,483
0535	General Fund Tax Collection and Compliance	3.3	3.7	3.7	1,115	1,308	1,294
9900100	Administration	232.8	231.9	226.6	36,295	36,752	34,050
9900200	Administration - Distributed	-	-	-	-36,295	-36,752	-34,050
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>1,225.8</b>	<b>1,312.8</b>	<b>1,325.7</b>	<b>\$263,508</b>	<b>\$285,102</b>	<b>\$287,428</b>

  

FUNDING		2016-17*	2017-18*	2018-19*
0001	General Fund	\$10,516	\$8,606	\$10,166
0217	Insurance Fund	251,718	274,560	275,898
0890	Federal Trust Fund	1,263	1,686	992
0995	Reimbursements	11	250	372
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$263,508</b>	<b>\$285,102</b>	<b>\$287,428</b>

### LEGAL CITATIONS AND AUTHORITY

#### PROGRAM AUTHORITY

0520-Regulation of Insurance Companies and Insurance Producers:

Insurance Code, Sections 1-16032, except as noted below.

0525-Consumer Protection:

Insurance Code, Division 1, Part 2, Chapter 1, Articles 4, 5.1, 6, 6.3, and 6.5, Chapter 5, Article 12, Sections 1872.81, 10127.17, 10273.7, 12921.1-12921.5, 12928, and 12930.

0530-Fraud Control:

Insurance Code, Division 1, Part 2, Chapter 12 (except Section 1872.81 cited above).

0535-General Fund Tax Collection and Compliance:

Insurance Code, Sections 1774-1780; and Revenue and Taxation Code, Part 7 of Division 2.

### MAJOR PROGRAM CHANGES

- Enhanced Fraud Investigation and Prevention—The Budget includes \$8.2 million General Fund to continue enhanced fraud prevention, investigation, and prosecution efforts through the use of settlement revenue.

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0845 Department of Insurance - Continued****DETAILED BUDGET ADJUSTMENTS**

	2017-18*			2018-19*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Workload Budget Change Proposals</b>						
• Enhanced Fraud Investigation and Prevention	\$-	\$-	-	\$7,725	\$-	38.0
• Enhanced Fraud Investigation and Prevention (Fraud Data Analytics/eDiscovery)	-	-	-	485	-	-
• Workers' Compensation Fraud Program	-	-	-	-	2,936	-
• Producer Licensing Enforcement Cases	-	-	-	-	1,140	6.0
• CDI Menu Modernization - Year 5	-	-	-	-	278	-
• Surplus Line Brokers: Surplus Line Advisory Organization (AB 1641)	-	-	-	-	196	1.0
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$8,210</b>	<b>\$4,550</b>	<b>45.0</b>
<b>Other Workload Budget Adjustments</b>						
• Allocation for Other Post-Employment Benefits	26	426	-	8	426	-
• Expenditures by Category Redistribution	-	8,234	-	-	3,900	-
• Retirement Rate Adjustments	43	1,812	-	43	1,812	-
• Salary Adjustments	161	6,061	-	26	6,061	-
• Benefit Adjustments	75	2,658	-	10	2,804	-
• SWCAP	-	-	-	-	74	-
• Budget Position Transparency	-	-8,234	65.0	-	-3,900	68.9
• Miscellaneous Baseline Adjustments	-	1,668	-11.0	-225	1,040	-11.0
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$305</b>	<b>\$12,625</b>	<b>54.0</b>	<b>-\$138</b>	<b>\$12,217</b>	<b>57.9</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$305</b>	<b>\$12,625</b>	<b>54.0</b>	<b>\$8,072</b>	<b>\$16,767</b>	<b>102.9</b>
<b>Totals, Budget Adjustments</b>	<b>\$305</b>	<b>\$12,625</b>	<b>54.0</b>	<b>\$8,072</b>	<b>\$16,767</b>	<b>102.9</b>

**PROGRAM DESCRIPTIONS****0520 - REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS**

The objectives of this program are to: (1) prevent losses to policyholders, beneficiaries or the public due to the insolvency of insurers; (2) prevent unlawful or unfair practices by insurers as defined by the Insurance Code; (3) ensure that property and casualty insurance rates are not excessive, inadequate, unfairly discriminatory or otherwise in violation of the Insurance Code and the California Code of Regulations; (4) review health insurance rates filed with CDI to determine whether they are reasonable and attempt to get unreasonable rates lowered by insurers; and (5) ensure that applicants for insurance licenses, and holders of insurance licenses, satisfy and maintain the qualifications for licensure. Through the Conservation and Liquidation Office, the CDI administers the estates of insolvent and delinquent insurance companies.

**0525 - CONSUMER PROTECTION**

The objectives of this program are to: (1) provide direct service to California consumers by protecting insurance policyholders and other parties involved in insurance transactions against unfair or illegal practices with respect to claims handling, rating or underwriting by insurers and (2) protect applicants and policyholders from discriminatory, unlawful or fraudulent practices or incompetence relating to the sale of insurance and (3) oversee programs that benefit California's underserved communities.

**0530 - FRAUD CONTROL**

The objective of this program is to protect the public from economic loss by actively investigating, arresting and referring for prosecution or adjudication those who commit insurance fraud and other violations of the law. The program is primarily staffed by sworn peace officers who conduct criminal investigations of insurance fraud and related criminal cases. The program also implements the Insurance Frauds Prevention Act, which authorizes the Commissioner to initiate or intervene in whistle-blower "qui tam" actions seeking civil penalties against perpetrators of insurance fraud.

**0535 - GENERAL FUND TAX COLLECTION AND COMPLIANCE**

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**0845 Department of Insurance - Continued**

This program performs tax collection; ensures compliance from insurance companies and surplus line brokers with the laws contained in the Insurance Code and Revenue and Taxation Code; and works with the Board of Equalization and State Controller's Office with various refund, assessment, and accounting matters relative to the premium tax program. Tax collections from this program are deposited in the state's General Fund.

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0520</b>	<b>REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS</b>			
	<b>State Operations:</b>			
0217	Insurance Fund	\$82,930	\$89,250	\$88,770
0890	Federal Trust Fund	1,263	1,668	974
0995	Reimbursements	11	250	250
	<b>Totals, State Operations</b>	<b>\$84,204</b>	<b>\$91,168</b>	<b>\$89,994</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0520010</b>	<b>Rate Regulation</b>			
	<b>State Operations:</b>			
0217	Insurance Fund	\$25,783	\$23,638	\$23,551
0890	Federal Trust Fund	1,263	1,668	974
0995	Reimbursements	11	250	250
	<b>Totals, State Operations</b>	<b>\$27,057</b>	<b>\$25,556</b>	<b>\$24,775</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0520019</b>	<b>Regulatory</b>			
	<b>State Operations:</b>			
0217	Insurance Fund	\$19,890	\$27,059	\$26,621
	<b>Totals, State Operations</b>	<b>\$19,890</b>	<b>\$27,059</b>	<b>\$26,621</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0520028</b>	<b>Licensing</b>			
	<b>State Operations:</b>			
0217	Insurance Fund	\$30,938	\$29,430	\$29,604
	<b>Totals, State Operations</b>	<b>\$30,938</b>	<b>\$29,430</b>	<b>\$29,604</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0520037</b>	<b>Special Programs</b>			
	<b>State Operations:</b>			
0217	Insurance Fund	\$6,319	\$9,123	\$8,994
	<b>Totals, State Operations</b>	<b>\$6,319</b>	<b>\$9,123</b>	<b>\$8,994</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0525</b>	<b>CONSUMER PROTECTION</b>			
	<b>State Operations:</b>			
0001	General Fund	\$4,762	\$2,137	\$2,192
0217	Insurance Fund	54,044	57,361	56,715
	<b>Totals, State Operations</b>	<b>\$58,806</b>	<b>\$59,498</b>	<b>\$58,907</b>
	<b>Local Assistance:</b>			
0217	Insurance Fund	\$599	\$750	\$750
	<b>Totals, Local Assistance</b>	<b>\$599</b>	<b>\$750</b>	<b>\$750</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0525010</b>	<b>Legal Compliance</b>			
	<b>State Operations:</b>			
0217	Insurance Fund	\$9,009	\$10,464	\$10,726
	<b>Totals, State Operations</b>	<b>\$9,009</b>	<b>\$10,464</b>	<b>\$10,726</b>

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**0845 Department of Insurance - Continued**

<b>SUBPROGRAM REQUIREMENTS</b>				
<b>0525019</b>	<b>Investigations</b>			
	<b>State Operations:</b>			
0217	Insurance Fund	\$12,937	\$16,955	\$16,463
	<b>Totals, State Operations</b>	<b>\$12,937</b>	<b>\$16,955</b>	<b>\$16,463</b>
	<b>Local Assistance:</b>			
0217	Insurance Fund	\$599	\$750	\$750
	<b>Totals, Local Assistance</b>	<b>\$599</b>	<b>\$750</b>	<b>\$750</b>
<b>SUBPROGRAM REQUIREMENTS</b>				
<b>0525028</b>	<b>Consumer Services and Market Conduct</b>			
	<b>State Operations:</b>			
0001	General Fund	\$3,000	\$-	\$-
0217	Insurance Fund	32,098	29,942	29,526
	<b>Totals, State Operations</b>	<b>\$35,098</b>	<b>\$29,942</b>	<b>\$29,526</b>
<b>SUBPROGRAM REQUIREMENTS</b>				
<b>0525037</b>	<b>Enhanced Fraud Investigation Division</b>			
	<b>State Operations:</b>			
0001	General Fund	\$1,762	\$2,137	\$2,192
	<b>Totals, State Operations</b>	<b>\$1,762</b>	<b>\$2,137</b>	<b>\$2,192</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>0530</b>	<b>FRAUD CONTROL</b>			
	<b>State Operations:</b>			
0001	General Fund	\$4,754	\$5,469	\$6,474
0217	Insurance Fund	50,572	60,276	59,818
0890	Federal Trust Fund	-	18	18
0995	Reimbursements	-	-	122
	<b>Totals, State Operations</b>	<b>\$55,326</b>	<b>\$65,763</b>	<b>\$66,432</b>
	<b>Local Assistance:</b>			
0001	General Fund	\$1,000	\$1,000	\$1,500
0217	Insurance Fund	62,458	65,615	68,551
	<b>Totals, Local Assistance</b>	<b>\$63,458</b>	<b>\$66,615</b>	<b>\$70,051</b>
<b>SUBPROGRAM REQUIREMENTS</b>				
<b>0530010</b>	<b>Fraud - Auto</b>			
	<b>State Operations:</b>			
0217	Insurance Fund	\$19,676	\$24,019	\$23,834
0995	Reimbursements	-	-	122
	<b>Totals, State Operations</b>	<b>\$19,676</b>	<b>\$24,019</b>	<b>\$23,956</b>
	<b>Local Assistance:</b>			
0217	Insurance Fund	\$21,951	\$21,951	\$21,951
	<b>Totals, Local Assistance</b>	<b>\$21,951</b>	<b>\$21,951</b>	<b>\$21,951</b>
<b>SUBPROGRAM REQUIREMENTS</b>				
<b>0530019</b>	<b>Fraud - Workers' Compensation</b>			
	<b>State Operations:</b>			
0217	Insurance Fund	\$24,022	\$28,994	\$28,776
0890	Federal Trust Fund	-	18	18
	<b>Totals, State Operations</b>	<b>\$24,022</b>	<b>\$29,012</b>	<b>\$28,794</b>
	<b>Local Assistance:</b>			
0217	Insurance Fund	\$34,903	\$36,848	\$39,784
	<b>Totals, Local Assistance</b>	<b>\$34,903</b>	<b>\$36,848</b>	<b>\$39,784</b>
<b>SUBPROGRAM REQUIREMENTS</b>				
<b>0530028</b>	<b>Fraud - General Assessment</b>			
	<b>State Operations:</b>			

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**0845 Department of Insurance - Continued**

0217	Insurance Fund	\$2,603	\$3,205	\$3,178
	<b>Totals, State Operations</b>	<b>\$2,603</b>	<b>\$3,205</b>	<b>\$3,178</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0530037</b>	<b>Fraud - Disability and Healthcare</b>			
	<b>State Operations:</b>			
0217	Insurance Fund	\$4,271	\$4,058	\$4,030
	<b>Totals, State Operations</b>	<b>\$4,271</b>	<b>\$4,058</b>	<b>\$4,030</b>
	<b>Local Assistance:</b>			
0217	Insurance Fund	\$5,604	\$6,816	\$6,816
	<b>Totals, Local Assistance</b>	<b>\$5,604</b>	<b>\$6,816</b>	<b>\$6,816</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0530055</b>	<b>Enhanced Fraud - Fraud Division</b>			
	<b>State Operations:</b>			
0001	General Fund	\$4,144	\$4,531	\$3,792
	<b>Totals, State Operations</b>	<b>\$4,144</b>	<b>\$4,531</b>	<b>\$3,792</b>
	<b>Local Assistance:</b>			
0001	General Fund	\$1,000	\$1,000	\$1,500
	<b>Totals, Local Assistance</b>	<b>\$1,000</b>	<b>\$1,000</b>	<b>\$1,500</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0530064</b>	<b>Enhanced Fraud - Legal Branch</b>			
	<b>State Operations:</b>			
0001	General Fund	\$610	\$938	\$2,682
	<b>Totals, State Operations</b>	<b>\$610</b>	<b>\$938</b>	<b>\$2,682</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0535</b>	<b>GENERAL FUND TAX COLLECTION AND COMPLIANCE</b>			
	<b>State Operations:</b>			
0217	Insurance Fund	\$1,115	\$1,308	\$1,294
	<b>Totals, State Operations</b>	<b>\$1,115</b>	<b>\$1,308</b>	<b>\$1,294</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>9900100</b>	<b>Administration</b>			
	<b>State Operations:</b>			
0217	Insurance Fund	\$36,295	\$36,752	\$34,050
	<b>Totals, State Operations</b>	<b>\$36,295</b>	<b>\$36,752</b>	<b>\$34,050</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>9900200</b>	<b>Administration - Distributed</b>			
	<b>State Operations:</b>			
0217	Insurance Fund	-\$36,295	-\$36,752	-\$34,050
	<b>Totals, State Operations</b>	<b>-\$36,295</b>	<b>-\$36,752</b>	<b>-\$34,050</b>
	<b>TOTALS, EXPENDITURES</b>			
	State Operations	199,451	217,737	216,627
	Local Assistance	64,057	67,365	70,801
	<b>Totals, Expenditures</b>	<b>\$263,508</b>	<b>\$285,102</b>	<b>\$287,428</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	1,249.8	1,258.8	1,222.8	\$110,136	\$109,057	\$107,061
Budget Position Transparency	-	65.0	68.9	-	-8,234	-3,900
Other Adjustments	-24.0	-11.0	34.0	-13,231	6,436	9,666

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**0845 Department of Insurance - Continued**

<b>Net Totals, Salaries and Wages</b>	<b>1,225.8</b>	<b>1,312.8</b>	<b>1,325.7</b>	<b>\$96,905</b>	<b>\$107,259</b>	<b>\$112,827</b>
Staff Benefits	-	-	-	51,628	55,608	56,270
<b>Totals, Personal Services</b>	<b>1,225.8</b>	<b>1,312.8</b>	<b>1,325.7</b>	<b>\$148,533</b>	<b>\$162,867</b>	<b>\$169,097</b>
OPERATING EXPENSES AND EQUIPMENT				\$50,918	\$53,989	\$47,230
SPECIAL ITEMS OF EXPENSES				-	881	300
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$199,451</b>	<b>\$217,737</b>	<b>\$216,627</b>

<b>2 Local Assistance</b>	<b>Expenditures</b>		
	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
Grants and Subventions - Governmental	\$64,057	\$67,365	\$70,801
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$64,057</b>	<b>\$67,365</b>	<b>\$70,801</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

<b>1 STATE OPERATIONS</b>	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,511	\$4,109	\$6,225
Allocation for Employee Compensation	-	135	-
Allocation for Other Post-Employment Benefits	-	18	-
Allocation for Staff Benefits	-	66	-
Section 3.60 Pension Contribution Adjustment	-	35	-
002 Budget Act appropriation	1,608	3,192	2,441
Allocation for Employee Compensation	-	26	-
Allocation for Other Post-Employment Benefits	-	8	-
Allocation for Staff Benefits	-	9	-
Section 3.60 Pension Contribution Adjustment	-	8	-
003 Budget Act appropriation	3,000	-	-
<b>Totals Available</b>	<b>\$10,119</b>	<b>\$7,606</b>	<b>\$8,666</b>
Unexpended balance, estimated savings	-603	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$9,516</b>	<b>\$7,606</b>	<b>\$8,666</b>
<b>0217 Insurance Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$195,245	-	\$206,597
001 Budget Act appropriation as amended by Chapter 181, Statutes of 2017	-	197,238	-
Allocation for Employee Compensation	-	6,061	-
Allocation for Other Post-Employment Benefits	-	426	-
Allocation for Staff Benefits	-	2,658	-
Budget Position Transparency	-	-8,234	-
Expenditures by Category Redistribution	-	8,234	-
Section 3.60 Pension Contribution Adjustment	-	1,812	-
Past Year Adjustments	1	-	-
<b>Totals Available</b>	<b>\$195,246</b>	<b>\$208,195</b>	<b>\$206,597</b>
Unexpended balance, estimated savings	-6,585	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$188,661</b>	<b>\$208,195</b>	<b>\$206,597</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,980	\$18	\$992
Health Care Fraud Task Force	-	18	-
Health Insurance & Consumer Protections Grant	-	1,650	-

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**0845 Department of Insurance - Continued**

<b>Totals Available</b>	<b>\$1,980</b>	<b>\$1,686</b>	<b>\$992</b>
Unexpended balance, estimated savings	-717	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,263</b>	<b>\$1,686</b>	<b>\$992</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$11	\$250	\$372
<b>TOTALS, EXPENDITURES</b>	<b>\$11</b>	<b>\$250</b>	<b>\$372</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$199,451</b>	<b>\$217,737</b>	<b>\$216,627</b>
<b>2 LOCAL ASSISTANCE</b>			
	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>0001 General Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,000	\$1,000	\$1,500
<b>TOTALS, EXPENDITURES</b>	<b>\$1,000</b>	<b>\$1,000</b>	<b>\$1,500</b>
<b>0217 Insurance Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$64,618	\$66,365	\$69,301
<b>Totals Available</b>	<b>\$64,618</b>	<b>\$66,365</b>	<b>\$69,301</b>
Unexpended balance, estimated savings	-1,561	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$63,057</b>	<b>\$66,365</b>	<b>\$69,301</b>
<b>Total Expenditures, All Funds, (Local Assistance)</b>	<b>\$64,057</b>	<b>\$67,365</b>	<b>\$70,801</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$263,508</b>	<b>\$285,102</b>	<b>\$287,428</b>

**FUND CONDITION STATEMENTS**

	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>0217 Insurance Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$32,038	\$33,382	\$17,555
Prior Year Adjustments	-1,492	-	-
Adjusted Beginning Balance	\$30,546	\$33,382	\$17,555
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4124000 Insurance Company - Examination Fees	24,990	23,189	26,989
4124200 Insurance Company - License Fees and Penalties	51,463	53,747	55,102
4124400 Insurance Company - General Fees	31,211	33,652	34,799
4124600 Insurance Company - Proposition 103 Fees	31,715	33,548	34,531
4124800 Insurance Fraud Assessment - Automobile	51,902	52,586	54,164
4125000 Insurance Fraud Assessment - General	13,247	13,932	14,200
4125200 Insurance Fraud Assessment - Workers Compensation	58,275	58,488	66,801
4140000 Document Sales	66	82	82
4143500 Miscellaneous Services to the Public	9	10	10
4163000 Investment Income - Surplus Money Investments	283	167	167
4171100 Cost Recoveries - Other	2,434	2,251	1,897
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	10	2	2
4172500 Miscellaneous Revenue	928	1,659	718
4173000 Penalty Assessments - Other	200	-	-
Transfers and Other Adjustments			
Revenue Transfer from Insurance Fund (0217) to California Health Data and Planning Fund (0143) per Chapter 603, Statutes of 2017	-	-	-35
Revenue Transfer from Insurance Fund (0217) to Office of Patient Advocate Trust Fund (3209) per Chapter 552, Statutes of 2011	-208	-165	-154

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**0845 Department of Insurance - Continued**

Loan Repayment from Insurance Fund (0217) to General Fund (0001) per Item 0845-011-0001, Budget Act of 2013	-121	-80	-10
Total Revenues, Transfers, and Other Adjustments	<u>\$266,404</u>	<u>\$273,068</u>	<u>\$289,263</u>
Total Resources	<u>\$296,950</u>	<u>\$306,450</u>	<u>\$306,818</u>
<b>EXPENDITURE AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
0845 Department of Insurance (State Operations)	188,661	208,195	206,597
0845 Department of Insurance (Local Assistance)	63,057	66,365	69,301
1690 Alfred E. Alquist Seismic Safety Commission (State Operations)	1,215	1,318	1,319
8880 Financial Information System for California (State Operations)	241	247	21
9892 Supplemental Pension Payments (State Operations)	-	-	2,600
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	10,394	12,770	13,198
Total Expenditures and Expenditure Adjustments	<u>\$263,568</u>	<u>\$288,895</u>	<u>\$293,036</u>
<b>FUND BALANCE</b>	<u>\$33,382</u>	<u>\$17,555</u>	<u>\$13,782</u>
Reserve for economic uncertainties	33,382	17,555	13,782

**CHANGES IN AUTHORIZED POSITIONS**

	<b>Positions</b>			<b>Expenditures</b>		
	<b>2016-17</b>	<b>2017-18</b>	<b>2018-19</b>	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>Baseline Positions</b>	1,249.8	1,258.8	1,222.8	\$110,136	\$109,057	\$107,061
Budget Position Transparency	-	65.0	68.9	-	-8,234	-3,900
<b>Salary and Other Adjustments</b>	-24.0	-11.0	-11.0	-13,231	6,436	6,200
<b>Workload and Administrative Adjustments</b>						
<b>Enhanced Fraud Investigation and Prevention</b>						
Assoc Govtl Program Analyst (Limited Term 06-30-2021)	-	-	2.0	-	-	129
Assoc Mgmt Auditor (Limited Term 06-30-2021)	-	-	1.0	-	-	70
Atty (Limited Term 06-30-2021)	-	-	1.0	-	-	89
Atty III (Limited Term 06-30-2021)	-	-	1.0	-	-	121
Atty IV (Limited Term 06-30-2021)	-	-	1.0	-	-	134
Gen Auditor III (Limited Term 06-30-2021)	-	-	4.0	-	-	280
Investigator (Limited Term 06-30-2021)	-	-	13.0	-	-	923
Legal Analyst (Limited Term 06-30-2021)	-	-	3.0	-	-	169
Legal Secty (Limited Term 06-30-2021)	-	-	3.0	-	-	140
Prop Cntrlr I (Limited Term 06-30-2021)	-	-	2.0	-	-	84
Special Investigator (Limited Term 06-30-2021)	-	-	4.0	-	-	264
Staff Svcs Mgr I (Limited Term 06-30-2021)	-	-	1.0	-	-	77
Supvng Special Investigator I (Non-Peace Officer) (Limited Term 06-30-2021)	-	-	1.0	-	-	81
Various (Limited Term 06-30-2021)	-	-	1.0	-	-	141
<b>Producer Licensing Enforcement Cases</b>						
Atty III	-	-	5.0	-	-	607
Sr Legal Typist	-	-	1.0	-	-	41
<b>Surplus Line Brokers: Surplus Line Advisory Organization (AB 1641)</b>						
Atty III	-	-	1.0	-	-	116
<b>TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS</b>	<u>-</u>	<u>-</u>	<u>45.0</u>	<u>\$-</u>	<u>\$-</u>	<u>\$3,466</u>
<b>Totals, Adjustments</b>	<u>-24.0</u>	<u>54.0</u>	<u>102.9</u>	<u>\$-13,231</u>	<u>\$-1,798</u>	<u>\$5,766</u>
<b>TOTALS, SALARIES AND WAGES</b>	<u>1,225.8</u>	<u>1,312.8</u>	<u>1,325.7</u>	<u>\$96,905</u>	<u>\$107,259</u>	<u>\$112,827</u>

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## 0850 California State Lottery Commission

In 1984, Proposition 37 amended the California Constitution to authorize the establishment of a statewide lottery. As an initiative statute, the California State Lottery Act (Act) of 1984 created the California State Lottery Commission and gave it broad powers to oversee the operations of a statewide lottery. The purpose of the Act was to provide supplemental monies to benefit public education. The Lottery is overseen by a five-person Commission appointed by the Governor and confirmed by the State Senate.

The Act initially required that 50 percent of total annual revenues be returned to the public in the form of prizes and at least 34 percent of total revenues be allocated to the benefit of public education. No more than 16 percent of total revenues were to be used for administrative costs.

In 2010, the Act was changed to allow the Lottery flexibility to pay out more money in prizes and reduce the administrative cost limit to 13 percent of total revenues. Along with that flexibility, the new law requires the Lottery to meet minimum levels of contribution to public education. Revenues to education are placed in a special fund, known as the California State Lottery Education Fund, which holds revenues until they are allocated on a per capita basis, using prior year certified Average Daily Attendance data, to the following categories: K-12 education, Community Colleges, the California State University, the University of California, and other educational entities, including the California Schools for the Deaf and Blind.

In the 32 years since sales began in October 1985 through June 30, 2017, the California State Lottery has raised \$32.5 billion for public education, including \$1.55 billion in FY 2016-17.

Because of the inherently variable nature of lottery ticket sales, revenue estimates for 2017-18 and 2018-19 cannot be made with certainty.

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### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Government Code, Title 2, Division 1, Chapter 12.5 (Sections 8880-8880.72).

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**0850 California State Lottery Commission - Continued****Statement of Operations**

	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
Lottery sales	\$6,233,468	\$6,750,000	\$6,750,000
Less prizes	3,963,453	4,379,352	4,379,352
Sales after prizes	<u>\$2,270,015</u>	<u>\$2,370,648</u>	<u>\$2,370,648</u>
Less Gaming Costs:			
Retailer costs	433,656	475,249	475,249
Gaming system costs	81,567	102,238	102,238
Instant ticket costs	34,819	42,258	42,258
Total, Game Costs	<u>\$550,042</u>	<u>\$619,745</u>	<u>\$619,745</u>
Resources before operating expenses	\$1,719,973	\$1,750,903	\$1,750,903
Operating Expenses:			
Salaries, wages and benefits	91,254	96,296	97,463
Advertising	76,691	75,103	75,103
Promotion, public relations and point-of-sale	8,657	13,191	13,191
Other professional services	14,267	19,947	19,947
Depreciation and amortization	16,890	21,573	21,573
Other general and administrative expenses	18,036	31,645	30,478
Total, Operating Expenses	<u>\$225,795</u>	<u>\$257,755</u>	<u>\$257,755</u>
Income and Proceeds to Education	1,494,178	1,493,148	1,493,148
Interest and Other Income	<u>4,826</u>	<u>4,100</u>	<u>4,100</u>
Net Resources	\$1,499,004	\$1,497,248	\$1,497,248
Unclaimed Prizes	46,521	35,000	35,000
Administrative Reserve	-	10,000	8,833
<b>TOTAL RESOURCES DUE TO EDUCATION FUND</b>	<b>\$1,545,525</b>	<b>\$1,542,248</b>	<b>\$1,541,081</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0850 California State Lottery Commission - Continued****Distribution of State Lottery Education Fund Revenues**

	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
Department of Education (K-12)	\$1,204,321	\$1,201,767	\$1,200,858
California Community Colleges	231,840	231,348	231,173
California State University	66,847	66,706	66,655
University of California	41,927	41,838	41,806
Other Public Colleges and Universities	140	140	140
Miscellaneous Educational Institutions	450	449	449
<b>TOTALS</b>	<b>\$1,545,525</b>	<b>\$1,542,248</b>	<b>\$1,541,081</b>

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\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0855 California Gambling Control Commission

The mission of the California Gambling Control Commission (Commission) is to ensure integrity in California's gaming environment. The Commission has jurisdiction over gambling establishments (cardrooms), third-party providers of proposition player services, and certain aspects of Tribal casinos, pursuant to its authority under state law and Tribal-State Gaming Compacts (Compacts). The Commission also has jurisdiction over gaming policies, regulations, criteria, and standards.

There are 89 licensed cardrooms in California over which the Commission has broad regulatory and adjudicatory authority. This authority extends to the operation, concentration, and supervision of the cardrooms and all persons and things related to each licensed establishment.

The Commission has fiduciary, regulatory, and administrative responsibilities related to Tribal gaming that include: (1) distributing Tribal gaming revenues to Non-Compact, Non-Gaming and Limited-Gaming Tribes; (2) making suitability determinations for key employees, vendors, and financial sources; and (3) serving as the administrator of the Indian Gaming Revenue Sharing Trust Fund, Indian Gaming Special Distribution Fund, and the Tribal Nation Grant Fund.

### 3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0560 California Gambling Control Commission	31.8	33.4	33.4	\$82,987	\$103,631	\$103,636
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>31.8</b>	<b>33.4</b>	<b>33.4</b>	<b>\$82,987</b>	<b>\$103,631</b>	<b>\$103,636</b>
<b>FUNDING</b>			<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>	
0366 Indian Gaming Revenue Sharing Trust Fund			\$77,499	\$96,500	\$96,500	
0367 Indian Gaming Special Distribution Fund			2,300	2,870	2,872	
0567 Gambling Control Fund			3,187	4,261	4,264	
3131 California Bingo Fund			1	-	-	
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>			<b>\$82,987</b>	<b>\$103,631</b>	<b>\$103,636</b>	

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Business and Professions Code Sections 19811-19825 and 19840-19984

Government Code Sections 12012.25 - 12012.90, 12710 - 12715, 12716 - 12718, and 63048.65

Penal Code Sections 326.4-326.5, and 337j

### DETAILED BUDGET ADJUSTMENTS

	2017-18*			2018-19*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Expenditure by Category Redistribution	\$-	\$123	-	\$-	\$47	-
• Allocation for Other Post-Employment Benefits	-	9	-	-	9	-
• Salary Adjustments	-	136	-	-	136	-
• Benefit Adjustments	-	56	-	-	61	-
• Retirement Rate Adjustments	-	56	-	-	56	-
• Budget Position Transparency	-	-123	-2.3	-	-47	-2.3
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$257</b>	<b>-2.3</b>	<b>\$-</b>	<b>\$262</b>	<b>-2.3</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$257</b>	<b>-2.3</b>	<b>\$-</b>	<b>\$262</b>	<b>-2.3</b>
<b>Totals, Budget Adjustments</b>	<b>\$-</b>	<b>\$257</b>	<b>-2.3</b>	<b>\$-</b>	<b>\$262</b>	<b>-2.3</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0855 California Gambling Control Commission - Continued****DETAILED EXPENDITURES BY PROGRAM**

		<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0560</b>	<b>CALIFORNIA GAMBLING CONTROL COMMISSION</b>			
	<b>State Operations:</b>			
0367	Indian Gaming Special Distribution Fund	\$2,300	\$2,870	\$2,872
0567	Gambling Control Fund	3,187	4,261	4,264
3131	California Bingo Fund	1	-	-
	<b>Totals, State Operations</b>	<b>\$5,488</b>	<b>\$7,131</b>	<b>\$7,136</b>
	<b>Local Assistance:</b>			
0366	Indian Gaming Revenue Sharing Trust Fund	\$77,499	\$96,500	\$96,500
	<b>Totals, Local Assistance</b>	<b>\$77,499</b>	<b>\$96,500</b>	<b>\$96,500</b>
	<b>TOTALS, EXPENDITURES</b>			
	State Operations	5,488	7,131	7,136
	Local Assistance	77,499	96,500	96,500
	<b>Totals, Expenditures</b>	<b>\$82,987</b>	<b>\$103,631</b>	<b>\$103,636</b>

**EXPENDITURES BY CATEGORY**

	<b>1 State Operations</b>			<b>Expenditures</b>		
	<u>2016-17</u>	<u>2017-18</u>	<u>2018-19</u>	<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
PERSONAL SERVICES						
Baseline Positions	32.7	35.7	35.7	\$2,936	\$3,094	\$3,094
Budget Position Transparency	-	-2.3	-2.3	-	-123	-47
Other Adjustments	-0.9	-	-	15	136	136
<b>Net Totals, Salaries and Wages</b>	<b>31.8</b>	<b>33.4</b>	<b>33.4</b>	<b>\$2,951</b>	<b>\$3,107</b>	<b>\$3,183</b>
Staff Benefits	-	-	-	1,461	2,241	2,170
<b>Totals, Personal Services</b>	<b>31.8</b>	<b>33.4</b>	<b>33.4</b>	<b>\$4,412</b>	<b>\$5,348</b>	<b>\$5,353</b>
OPERATING EXPENSES AND EQUIPMENT				\$1,076	\$1,783	\$1,783
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$5,488</b>	<b>\$7,131</b>	<b>\$7,136</b>

  

	<b>2 Local Assistance</b>			<b>Expenditures</b>		
	<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>	<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
Grants and Subventions - Governmental	\$77,499	\$96,500	\$96,500			
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$77,499</b>	<b>\$96,500</b>	<b>\$96,500</b>			

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

<b>1 STATE OPERATIONS</b>	<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
<b>0367 Indian Gaming Special Distribution Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,761	\$2,761	\$2,872
Allocation for Employee Compensation	-	57	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	24	-
Budget Position Transparency	-	-52	-
Expenditure by Category Redistribution	-	52	-
Section 3.60 Pension Contribution Adjustment	-	24	-

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**0855 California Gambling Control Commission - Continued**

<b>Totals Available</b>	<b>\$2,761</b>	<b>\$2,870</b>	<b>\$2,872</b>
Unexpended balance, estimated savings	-461	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,300</b>	<b>\$2,870</b>	<b>\$2,872</b>
<b>0567 Gambling Control Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,813	\$4,113	\$4,264
Allocation for Employee Compensation	-	79	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	32	-
Budget Position Transparency	-	-71	-
Expenditure by Category Redistribution	-	71	-
Section 3.60 Pension Contribution Adjustment	-	32	-
<b>Totals Available</b>	<b>\$3,813</b>	<b>\$4,261</b>	<b>\$4,264</b>
Unexpended balance, estimated savings	-626	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$3,187</b>	<b>\$4,261</b>	<b>\$4,264</b>
<b>3131 California Bingo Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2	-	-
<b>Totals Available</b>	<b>\$2</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-1	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>-</b>	<b>-</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$5,488</b>	<b>\$7,131</b>	<b>\$7,136</b>

**2 LOCAL ASSISTANCE****2016-17\* 2017-18\* 2018-19\*****0366 Indian Gaming Revenue Sharing Trust Fund**

APPROPRIATIONS			
101 Budget Act appropriation	\$96,500	\$96,500	\$96,500
<b>Totals Available</b>	<b>\$96,500</b>	<b>\$96,500</b>	<b>\$96,500</b>
Unexpended balance, estimated savings	-19,001	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$77,499</b>	<b>\$96,500</b>	<b>\$96,500</b>

**0367 Indian Gaming Special Distribution Fund**

APPROPRIATIONS			
111 Budget Act appropriation (transfer to Indian Gaming Revenue Sharing Trust Fund)	(\$11,800)	(\$15,000)	(\$1)
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total Expenditures, All Funds, (Local Assistance)</b>	<b>\$77,499</b>	<b>\$96,500</b>	<b>\$96,500</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$82,987</b>	<b>\$103,631</b>	<b>\$103,636</b>

**FUND CONDITION STATEMENTS**

	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>0367 Indian Gaming Special Distribution Fund<sup>s</sup></b>			
BEGINNING BALANCE			
Prior Year Adjustments	\$19,576	\$17,979	\$18,270
Adjusted Beginning Balance	-2,371	-	-
	\$17,205	\$17,979	\$18,270
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4150500 Interest Income - Interfund Loans	5	-	-
4163000 Investment Income - Surplus Money Investments	97	54	54
4173900 Tribal Gaming Revenues	43,732	49,000	48,000
Transfers and Other Adjustments			

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**0855 California Gambling Control Commission - Continued**

Revenue Transfer from the Indian Gaming Special Distribution Fund (0367) to the Indian Gaming Revenue Sharing Trust Fund (0366) per Item 0855-111-0367, Budget Acts	-11,800	-15,000	-1
Total Revenues, Transfers, and Other Adjustments	<u>\$32,034</u>	<u>\$34,054</u>	<u>\$48,053</u>
Total Resources	<u>\$49,239</u>	<u>\$52,033</u>	<u>\$66,323</u>
<b>EXPENDITURE AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
0820 Department of Justice (State Operations)	19,511	21,086	21,103
0855 California Gambling Control Commission (State Operations)	2,300	2,870	2,872
4265 Department of Public Health (State Operations)	3,934	4,236	4,238
4265 Department of Public Health (Local Assistance)	4,000	4,000	4,000
7501 Department of Human Resources (State Operations)	6	75	75
8880 Financial Information System for California (State Operations)	35	36	3
9892 Supplemental Pension Payments (State Operations)	-	-	307
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	1,474	1,460	1,606
Total Expenditures and Expenditure Adjustments	<u>\$31,260</u>	<u>\$33,763</u>	<u>\$34,204</u>
FUND BALANCE	<u>\$17,979</u>	<u>\$18,270</u>	<u>\$32,119</u>
Reserve for economic uncertainties	17,979	18,270	32,119

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	32.7	35.7	35.7	\$2,936	\$3,094	\$3,094
Budget Position Transparency	-	-2.3	-2.3	-	-123	-47
<b>Salary and Other Adjustments</b>	-0.9	-	-	15	136	136
<b>Totals, Adjustments</b>	<u>-0.9</u>	<u>-2.3</u>	<u>-2.3</u>	<u>\$15</u>	<u>\$13</u>	<u>\$89</u>
<b>TOTALS, SALARIES AND WAGES</b>	<u>31.8</u>	<u>33.4</u>	<u>33.4</u>	<u>\$2,951</u>	<u>\$3,107</u>	<u>\$3,183</u>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.



## 0860 State Board of Equalization

Prior to July 1, 2017, the State Board of Equalization (BOE) administered a variety of tax and fee programs including the Sales and Use tax, as required by statute. The BOE also adjudicated appeals for these tax and fee programs including income tax.

Pursuant to Chapter 16, Statutes of 2017, (AB 102) most statutory duties formerly assigned to the BOE were shifted to the newly created California Department of Tax and Fee Administration (CDTFA) and the Office of Tax Appeals.

The BOE now handles only those duties assigned to it by the California Constitution, and three statutorily prescribed property tax programs. The BOE's duties primarily include assessing and allocating the property values of railroads and specified utilities and businesses; adjudicating property tax appeals of taxable government owned property; overseeing the property tax assessment practices of the 58 county assessors; adopting rules to clarify property tax laws; and administering the alcoholic beverage excise tax and the insurance tax, including appeals. The BOE contracts with the CDTFA to administer the alcoholic beverage tax and insurance tax program.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0570	Administration of the Board of Equalization	4,230.1	207.6	195.6	\$555,240	\$30,826	\$29,223
9900100	Administration	-	-	-	34	-	-
9900200	Administration - Distributed	-	-	-	-	-	-
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>4,230.1</b>	<b>207.6</b>	<b>195.6</b>	<b>\$555,274</b>	<b>\$30,826</b>	<b>\$29,223</b>
<b>FUNDING</b>					<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
0001	General Fund				\$288,678	\$30,369	\$28,766
0004	Breast Cancer Fund				526	-	-
0022	State Emergency Telephone Number Account				995	-	-
0061	Motor Vehicle Fuel Account, Transportation Tax Fund				25,505	-	-
0070	Occupational Lead Poisoning Prevention Account				851	-	-
0080	Childhood Lead Poisoning Prevention Fund				223	-	-
0230	Cigarette and Tobacco Products Surtax Fund				6,523	-	-
0320	Oil Spill Prevention and Administration Fund				363	-	-
0387	Integrated Waste Management Account, Integrated Waste Management Fund				440	-	-
0439	Underground Storage Tank Cleanup Fund				3,611	-	-
0465	Energy Resources Programs Account				219	-	-
0623	California Children and Families First Trust Fund				11,329	-	-
0890	Federal Trust Fund				56	-	-
0965	Timber Tax Fund				1,479	-	-
0995	Reimbursements				191,052	457	457
3015	Gas Consumption Surcharge Fund				627	-	-
3058	Water Rights Fund				416	-	-
3063	State Responsibility Area Fire Prevention Fund				7,506	-	-
3065	Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund				3,745	-	-
3067	Cigarette and Tobacco Products Compliance Fund				7,125	-	-
3212	Timber Regulation and Forest Restoration Fund				603	-	-
3251	Prepaid Mobile Telephony Services Surcharge Fund				2,088	-	-
3260	Regional Railroad Accident Preparedness and Immediate Response Fund				150	-	-
3270	Local Charges for Prepaid Mobile Telephony Service Fund				715	-	-
3288	Cannabis Control Fund				130	-	-
3301	Lead-Acid Battery Cleanup Fund				319	-	-
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>					<b>\$555,274</b>	<b>\$30,826</b>	<b>\$29,223</b>

### LEGAL CITATIONS AND AUTHORITY

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0860 State Board of Equalization - Continued**

## DEPARTMENT AUTHORITY

Article XIII, Section 17 of the California Constitution.  
Government Code Section 12803.2 and 15600-15609.5, and Revenue and Taxation Code Section 20.

## PROGRAM AUTHORITY

0570025-County Assessment Standards Program:

California Constitution, Article XIII, Section 18, Revenue & Taxation Code Sections 63.1, 64, 69.5, 75.60, 155, 169, 214-214.16, 218.5, 251, 401.5, 407, 422.7, 423, 452, 480.4, 601, 602, 615, 618, 670-680, 1153, 1254, 1366, 1603, 1650, 1716, 1717.1, 1815-1817, 5364, 5781, and 5840, and Government Code Sections 15606-15608, 15611, 15624, and 15640-15646.

0570050-State-Assessed Property Program:

California Constitution, Article XIII, Section 19, Revenue and Taxation Code Sections 100-100.96, 721-868, 4876-4880, 5011-5014, 5148 and 11201-11702.

0570075-Timber Tax Program:

Revenue & Taxation Code Sections 431-437, 38101-38908, and Public Resources Code, Section 4654.

0570100-Sales and Use Tax Program:

California Constitution (Sections 35 and 36, Article XIII), State Sales and Use Tax  
Revenue & Taxation Code Sections 6001-7176, Bradley-Burns Uniform Local Sales and Use Tax, Revenue & Taxation Code Sections 7200-7226, Transactions and Use Tax (cities/counties), and Revenue & Taxation Code Sections 7251-7279.6, 7285, and 7285.8.

0570125-Hazardous Substances Tax Program:

Revenue & Taxation Code Sections 43001-43651, and Health & Safety Code Sections 25174-25174.11, 25205.1-25205.23, 25253.5, and 43011.3.

0570150-Alcoholic Beverage Tax Program:

California Constitution, Article XX, Section 22, Revenue & Taxation Code Sections 32001-32557, and Business and Professions Code Sections 23000-23673.

0570175-Tire Recycling Fee Program:

Revenue & Taxation Code Sections 55001-55381, and Public Resource Code Sections 42860-42895.

0570200-Cigarette and Tobacco Products Tax Program:

Constitution Article XIIIB, Section 12, Part 13, Division 2, Revenue and Taxation Code Sections 30001-30483, Federal Laws relating to collection of state cigarette tax: (15 U.S.C.A., Chapter 10A, Sections 375-378; 63 Stat. 884, as amended by 67 Stat. 617, and 69 Stat. 627.), and Health and Safety Code Sections 104555-104558.

0570225-Cigarette and Tobacco Products Licensing Program:

Government Code Section 15618.5, Business and Professions Code, Division 8.6, Health and Safety Code Sections 14950 and 104557, Penal Code Section 830.11, Revenue and Taxation Code Sections 30019, 30140-30149, 30155-30159, 30165.1, 30166.1, 30168, 30177.5, 30210-30215, 30355-30358, 30435, 30436, 30449, 30471, 30473, 30473.5, 30474, 30474.1, 30475, 30481, and 30482.

0570250-Transportation Fund Tax Program:

Constitution Article XIX, Sections 1-9; Revenue and Taxation Code Sections 7301-8526, 8601-9355, 9401-9433, and 60001-60708.

0570275-Occupational Lead Poisoning Prevention Fee Program:

Revenue and Taxation Code Sections 43001-43651; Health and Safety Code Sections 105185-105197; and California Code of

**0860 State Board of Equalization - Continued**

Regulations Title 17, Chapter 11, Sections 38001-38005.

0570300-Integrated Waste Management Program:

Revenue and Taxation Code Sections 45001-45984, and Public Resource Code Sections 40000-48008.

0570325-Underground Storage Tank Fee Program:

Revenue and Taxation Code Sections 50101-50162, and Health and Safety Code Sections 25280-25299.99.3

0570350-Oil Spill Prevention Program:

Revenue and Taxation Code Sections 46001-46751, and Government Code Sections 8670.1 and 8670.73.

0570375-Energy Resources Surcharge Program:

Revenue and Taxation Code Sections 40001-40216.

0570400-Annual Water Rights Fee Program:

Water Code Sections 1525-1552, 13050-13160.1, and Revenue and Taxation Code Sections 55001-55381.

0570425-Childhood Lead Poisoning Prevention Fee Program:

Revenue and Taxation Code Sections 43001-43651, and Health & Safety Code Sections 105275-105310.

0570450-Marine Invasive Species Fee Program:

Revenue and Taxation Code Sections 44000-44007 and 55001-55381, and Public Resource Code Sections 71200-71271.

0570475-Fire Prevention Fee Program:

Public Resources Code Sections 4210-4214 and 4220-4228, and Revenue and Taxation Code Sections 55001-55381. Note: Statutory authority eliminated on July 25, 2017 by Chapter 135, Statutes of 2017 (AB 398).

0570500-Emergency Telephone Users Surcharge Program:

Revenue and Taxation Code Sections 41001-41176.

0570525-E-Waste Recycling Fee Program:

Health and Safety Code Sections 25214.9-25214.10, Public Resource Code Sections 41516 and 42460-42486, and Revenue and Taxation Code Sections 55001-55381.

0570550-Lumber Fee Program:

California Public Resources Code, Section 4629.5 and Revenue and Taxation Code, Sections 55001-55381.

0570575-Insurance Tax Program:

Constitution Article XIII, Section 28, Revenue and Taxation Code, Sections 12001-13170, and Insurance Code Sections 132, 685-685.4, 995.5, 1530, 1531, 1774-1780, 10089.44, 12976, and 12976.5.

0570600-Natural Gas Surcharge Program:

Revenue and Taxation Code, Sections 55001-55381, and Public Utilities Code Sections 890-900.

0570625-Appeals from Other Governmental Programs:

Administration of Franchise and Income Tax Laws: Revenue and Taxation Code Sections 18401-19802.

Personal Income Tax Law: Revenue and Taxation Code Sections 17001-18180.

Bank and Corporation Tax Law: Revenue and Taxation Code Sections 23001-25141.

**0860 State Board of Equalization - Continued**

Senior Citizens Homeowners' and Renters' Property Tax Assistance: Revenue and Taxation Code, Sections 20501-20646.

Public Owned Property and California Constitution, Article XIII, Section 11, Revenue and Taxation Code, Sections 1840-1841  
Procedural Regulations: Title 18, California Code of Regulations, Section 5000, et seq.

0570650-Prepaid Mobile Telephony Services Surcharge Program:

Revenue and Taxation Code, Sections 42001-42024, 42100-42111, and 55001-55381.

0570675-Regional Railroad Accident Preparedness and Immediate Response Program:

Government Code, Sections 8574.30-8574.48, and, Revenue and Taxation Code, Sections 55001-55381.

0570700-Lead Acid Battery Recycling Fee Program:

Health and Safety Code, Sections 25215-25215.75, Revenue and Taxation Code, Sections 55001-55381.

0570725-Cannabis Taxes Program:

Business and Professions Code, Sections 26000-26211, Revenue and Taxation Code, Sections 34010-34021.5 and 55001-55381.

**DETAILED BUDGET ADJUSTMENTS**

	2017-18*			2018-19*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Workload Budget Change Proposals</b>						
• Board Member Staffing	\$-	\$-	-	\$-1,222	\$-	-8.0
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-1,222</b>	<b>\$-</b>	<b>-8.0</b>
<b>Other Workload Budget Adjustments</b>						
• Reallocation to the Board of Equalization (AB 102)	7,070	-7,070	-	7,371	-7,371	-
• Allocation for Other Post-Employment Benefits	54	-	-	54	-	-
• The Board of Equalization Funding Transfer to the Office of Tax Appeals (AB 102)	-393	-	-4.0	-786	-	-8.0
• The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-313,726	-318,213	-4,518.3	-298,291	-304,390	-4,478.2
• Salary Adjustments	1,181	-	-	1,173	-	-
• Benefit Adjustments	453	-	-	473	-	-
• Retirement Rate Adjustments	278	-	-	278	-	-
• SWCAP	-	-	-	-	-10	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$-305,083</b>	<b>\$-325,283</b>	<b>-4,522.3</b>	<b>\$-289,728</b>	<b>\$-311,771</b>	<b>-4,486.2</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$-305,083</b>	<b>\$-325,283</b>	<b>-4,522.3</b>	<b>\$-290,950</b>	<b>\$-311,771</b>	<b>-4,494.2</b>
<b>Totals, Budget Adjustments</b>	<b>\$-305,083</b>	<b>\$-325,283</b>	<b>-4,522.3</b>	<b>\$-290,950</b>	<b>\$-311,771</b>	<b>-4,494.2</b>

**PROGRAM DESCRIPTIONS**

0570025 - COUNTY ASSESSMENT STANDARDS PROGRAM

This program carries out the BOE's constitutional and statutory responsibilities of ensuring that taxable properties are enrolled and assessed, that the 58 county assessors assess all properties. The BOE is also involved in providing consultation and services to the County Assessors and their staff.

0570050 - STATE-ASSESSED PROPERTY PROGRAM

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0860 State Board of Equalization - Continued**

This program is responsible for valuing and assessing inter-county pipelines and properties owned or used by railroads, regulated telephone companies, companies transmitting or selling gas or electricity, and private railroad car companies. Local jurisdictions use the established values for the levy and collection of local property taxes. In addition, the BOE collects the Private Railroad Car Tax that is deposited in the State's General Fund.

**0570075 - TIMBER TAX PROGRAM**

This program administers the Timber Yield Tax by establishing the harvest value of timber and collecting a tax on the harvested timber. Note: Transferred to CDTFA effective July 1, 2017.

**0570100 - SALES AND USE TAX PROGRAM**

This program ensures that all sales and use tax revenues are collected in an equitable and effective manner through accurate reporting of tax liability. This is accomplished by detecting and correcting errors in self-assessments, and promptly collecting amounts determined to be due and economically recoverable. Under this program, the CDTFA administers the following taxes: State Sales and Use Tax, Bradley-Burns Uniform Local Sales and Use Tax, and District Transactions and Use Tax (cities/counties). Note: Transferred to CDTFA effective July 1, 2017.

**0570125 - HAZARDOUS SUBSTANCES TAX PROGRAM**

These programs provide revenue for the Hazardous Waste Control Account (HWCA) and the Toxic Substances Control Account (TSCA). The fees provide funding to the Department of Toxic Substances (DTS) to regulate hazardous waste in California. Generators of hazardous waste, hazardous waste facilities and certain business organizations, as identified by statute, are subject to the fees. The CDTFA administers the following fee programs in partnership with the DTS: Disposal Fee (HWCA), Generator Fee (HWCA), Transportable Treatment Unit Fee (HWCA), Facility Fee (HWCA), and Environmental Fee (TSCA). Note: Transferred to CDTFA effective July 1, 2017.

**0570150 - ALCOHOLIC BEVERAGE TAX PROGRAM**

This program collects the excise tax imposed on the sale, distribution, or importation of alcoholic beverages in California. Beginning in 2017-18, the BOE contracts with the CDTFA to administer this program.

**0570175 - TIRE RECYCLING FEE PROGRAM**

This program provides revenue for the California Tire Recycling Account in the California Tire Recycling Management Fund and Air Pollution Control Fund. The fee provides funding to reduce air pollution, landfill disposal, and stockpiling of used tires. The CDTFA administers the program in partnership with the California Department of Resources Recycling and Recovery, and the Air Resources Board. Note: Transferred to CDTFA effective July 1, 2017.

**0570200 - CIGARETTE AND TOBACCO PRODUCTS TAX PROGRAM**

This program provides revenue for the Cigarette Tax Fund, Cigarette and Tobacco Products Surtax Fund, the Breast Cancer Fund, and the California Children and Families First Trust Fund. The program objective is to ensure that all cigarette and tobacco products tax revenues are collected equitably and effectively by ensuring timely reporting and payment of tax liabilities, detecting and correcting errors in reporting, and promptly collecting amounts determined to be due and economically recoverable. Note: Transferred to CDTFA effective July 1, 2017.

**0570225 - CIGARETTE AND TOBACCO PRODUCTS LICENSING PROGRAM**

This program provides revenue for the Cigarette and Tobacco Products Compliance Fund, which is used to implement, enforce, and administer the provisions of the California Cigarette and Tobacco Licensing Act. The purpose of the Act is to reduce tax evasion, smuggling, and counterfeiting of cigarette and tobacco products and stamps. It requires statewide licensing of all distributors, wholesalers, importers, manufacturers, and retailers of cigarette and tobacco products. Note: Transferred to CDTFA effective July 1, 2017.

**0570250 - TRANSPORTATION FUND TAX PROGRAM**

This program provides revenue for the Transportation Tax Fund. Allocations are made from the fund to the Aeronautics Account, Harbors and Watercraft Revolving Fund, Off-Highway Vehicle Trust Fund, Highway Users Tax Fund, and Department of Food and Agriculture Fund. The objective of this program is to ensure that the motor vehicle fuel, jet fuel, various use fuel, and diesel fuel taxes are administered equitably and effectively. Pursuant to the International Fuel Tax Agreement (IFTA), the CDTFA registers interstate truckers whose base state is California. Revenues from this program are used to construct and maintain public roads and mass transit systems, airports and waterways. Note: Transferred to CDTFA effective July 1, 2017.

**0570275 - OCCUPATIONAL LEAD POISONING PREVENTION FEE PROGRAM**

This program provides revenue for the Occupational Lead Poisoning Prevention Account in the General Fund. The funds are used to establish and maintain occupational health and disease prevention programs. Employers in specified Standard

**0860 State Board of Equalization - Continued**

Industrial Classification codes who employ 10 or more qualifying employees are subject to the fee. The CDTFA administers the program in partnership with the California Department of Public Health. Note: Transferred to CDTFA effective July 1, 2017.

**0570300 - INTEGRATED WASTE MANAGEMENT PROGRAM**

This program provides revenue for the Integrated Waste Management Account in the Integrated Waste Management Fund. The fees provide funding to respond to potential health and environmental problems at on-site and off-site solid waste landfills, and supports state and local landfill permit enforcement programs. Operators of solid waste disposal facilities that include non-hazardous wood waste facilities are subject to the fee. The CDTFA administers the program in partnership with CalRecycle. Note: Transferred to CDTFA effective July 1, 2017.

**0570325 - UNDERGROUND STORAGE TANK FEE PROGRAM**

This program provides revenue for the Underground Storage Tank (UST) Cleanup Fund in the State Treasury which provides a means for petroleum UST owners and operators to meet the federal and state requirements of maintaining financial responsibility to pay for any damages arising from their tank operations. The Fund also provides money to the Regional Water Boards and local regulatory agencies to abate emergency situations or to cleanup abandoned sites that pose a threat to human health, safety, and the environment, as a result of a UST petroleum release. Revenue is generated by a per gallon fee paid by UST owners who are required to have a permit to own a UST. Note: Transferred to CDTFA effective July 1, 2017.

**0570350 - OIL SPILL PREVENTION PROGRAM**

This program provides revenue to the Department of Fish and Wildlife, which is deposited into the Oil Spill Prevention and Administration Fund. Fees are collected on all crude oil and petroleum products received in California refineries and marine terminals. The prevention and administration fees provide funding to implement oil spill prevention programs to implement, install, and maintain emergency programs, equipment, and facilities to respond to, contain, and cleanup oil spills, and to fund the Oiled Wildlife Care Network. Note: Transferred to CDTFA effective July 1, 2017.

**0570375 - ENERGY RESOURCES SURCHARGE PROGRAM**

This program provides revenue for the Energy Resource Surcharge Fund and is used to support the State Energy Resources Conservation and Development Commission. The revenue is generated by administering a surcharge on the consumption of electrical energy purchased from an electrical utility. The Energy Resource Surcharge Fund is ultimately transferred into the State Energy Resources and Development Special Account in the General Fund. Note: Transferred to CDTFA effective July 1, 2017.

**0570400 - ANNUAL WATER RIGHTS FEE PROGRAM**

This program provides revenue for the Water Rights Fund. The fees provide funding to the State Water Resources Control Board to support the activities of its Division of Water Rights. The annual fee is collected from water right permit holders based on a schedule of fees adopted each fiscal year by the State Water Resources Control Board. Note: Transferred to CDTFA effective July 1, 2017.

**0570425 - CHILDHOOD LEAD POISONING PREVENTION FEE PROGRAM**

This program provides revenue for the Childhood Lead Poisoning Prevention Fund. The fee funds the Childhood Lead Poisoning Prevention Program, administered by the California Department of Public Health (CDPH), Childhood Lead Poisoning Prevention Branch, which include services such as health care referrals, environmental assessments, and educational activities necessary to reduce a child's exposure to lead and the consequences of the exposure. The CDTFA administers the Childhood Lead Poisoning Prevention Fee on behalf of the CDPH. The CDTFA collects an annual fee from architectural coatings distributors, motor vehicle fuel distributors, and facilities currently reporting releases of lead into ambient air in California. The fee is determined by the CDPH based on the feepayer's market share responsibility for environmental lead contamination. Note: Transferred to CDTFA effective July 1, 2017.

**0570450 - MARINE INVASIVE SPECIES PROGRAM**

This program provides revenue for the Marine Invasive Species Control Fund and was previously referred to as the Ballast Water Management Program. The fees provide funding to the California State Lands Commission (SLC) Marine Invasive Species Program established to prevent or minimize the introduction and spread of non-indigenous aquatic species into California waters. Owners or operators of qualifying vessels carrying, or capable of carrying, ballast water from outside California are subject to the fee for each voyage. The CDTFA administers the program in partnership with the SLC. Note: Transferred to CDTFA effective July 1, 2017.

**0570475 - FIRE PREVENTION FEE PROGRAM**

This program, which was terminated on July 25, 2017 pursuant to Chapter 135, Statutes of 2017 (AB 398), provided revenue for the State Responsibility Area Fire Prevention Fund. The revenue was generated by administering an annual fire prevention fee charged on each habitable structure within a State Responsibility Area. The revenues were used by the California Department of Forestry and Fire Protection for fire prevention activities that benefitted owners subject to the fee.

**0860 State Board of Equalization - Continued****0570500 - EMERGENCY TELEPHONE USERS SURCHARGE PROGRAM**

This program provides revenue for the State Emergency Telephone Number Account. Revenue generated by this program funds the State's "911" emergency telephone system by administering a surcharge on intrastate telephone and Voice over Internet Protocol (VoIP) communication services. Note: Transferred to CDTFA effective July 1, 2017.

**0570525 - E-WASTE RECYCLING FEE PROGRAM**

This program provides revenue for the Electronic Waste Recovery and Recycling Account in the Integrated Waste Management Fund. The fees collected by the CDTFA provide funding for CalRecycle by imposing a recycling fee upon the retail sale or lease of new or refurbished video display devices identified by the Department of Toxic Substances (DTSC) as containing hazardous materials. Note: Transferred to CDTFA effective July 1, 2017.

**0570550 - LUMBER FEE PROGRAM**

This program collects a one percent assessment on purchases of lumber products and engineered wood products for use in California. Note: Transferred to CDTFA effective July 1, 2017.

**0570575 - INSURANCE TAX PROGRAM**

This program provides revenue to the Insurance Tax Fund. Revenue is generated from taxes assessed on insurance premiums, underwriting profits from ocean marine insurance, and retaliatory assessments levied on "foreign" insurers. The taxes collected are used to pay refunds or transferred to the General Fund. Beginning in 2017-18, the BOE contracts with the CDTFA to administer this program.

**0570600 - NATURAL GAS SURCHARGE PROGRAM**

This program provides revenue to fund low-income assistance programs, cost effective energy efficiency programs, conservation activities, and public interest research and development. The revenue is generated by administering a surcharge on the consumption of all natural gas in California. Note: Transferred to CDTFA effective July 1, 2017.

**0570625 - APPEALS FROM OTHER GOVERNMENTAL PROGRAMS**

This program includes the review of franchise and income tax assessments and refund claims of appeals from the Franchise Tax Board. The program also includes the review of eligibility determinations made in administering the Senior Citizens Property Tax Assistance Program. The program also acts as an impartial tribunal to hear and decide property tax assessment appeals by a local government (or one of its agencies) that owns taxable real property located outside its boundaries. Property owned by a local government, such as a city, and located outside its boundaries, is subject to assessment by the county in which the property is located. Note: Effective January 1, 2018, the responsibility for reviewing income tax assessments and corporation taxes transferred to the Office of Tax Appeals.

**0570650 - PREPAID MOBILE TELEPHONY PROGRAM**

This program provides revenue to the Prepaid Mobile Telephony Services (MTS) Surcharge Fund and the Local Charges for the Prepaid MTS Fund. The revenue funds the State Emergency Telephone Number Account, universal service funds and local funds. A surcharge is imposed on each consumer of prepaid mobile telephony services at the retail level. Note: Transferred to CDTFA effective July 1, 2017.

**0570675 - REGIONAL RAILROAD ACCIDENT PREPAREDNESS AND IMMEDIATE RESPONSE PROGRAM**

This program provides revenue to the Governor's Office of Emergency Services, to prepare for and provide immediate onsite response related to a large-scale release of hazardous materials from a rail car or a railroad accident involving a rail car. The revenues are deposited into the Regional Railroad Accident Preparedness and Immediate Response Fund. Fees are collected on the top 25 most hazardous material commodities transported by rail car within California. The fee provides funding to pay for the planning, developing, support, and maintenance of various aspects of regional, state, and local emergency response programs, teams, specialized equipment, supplies, and training to prepare for such an occurrence. Note: Transferred to CDTFA effective July 1, 2017.

**0570700 - LEAD-ACID BATTERY RECYCLING FEE PROGRAM**

This program provides revenue to the Lead-Acid Battery Cleanup Fund. The fee provides funding for investigation, site evaluation, cleanup, remediation, removal, monitoring, and other response actions for areas contaminated due to lead-acid battery recycling facility operations, as well as refund payments, loan repayments, and administrative costs. Lead-acid battery manufacturers and consumers are subject to the fees. The CDTFA administers the program in partnership with the California Department of Toxic Substances Control. This program creates the California Battery Fee and the Manufacturer Battery Fee. Note: Transferred to CDTFA effective July 1, 2017.

**0570725 - CANNABIS TAXES PROGRAM**

**0860 State Board of Equalization - Continued**

This program created two types of cannabis taxes, a cannabis excise tax and a cultivation tax. The program provides revenue for the California Cannabis Control Fund. The program objective is to establish a special trust fund solely to carry out the purpose of the Medicinal and Adult-Use Cannabis Regulation and Safety Act (MAUCRSA). The purpose of MAUCRSA is to establish a comprehensive system to control and regulate the cultivation, distribution, transport, storage, manufacturing, processing, and sale of all cannabis and cannabis products. The cannabis taxes provide funding for youth education and prevention programs, medical research, environmental mitigation efforts, law enforcement, and administration costs for numerous state agencies charged with licensing, regulating, and taxing the cultivation and sale of cannabis. Note: Transferred to CDTFA effective July 1, 2017.

**9900 - ADMINISTRATION**

This program implements the policies and directives of the Board Members and provides direction, leadership, planning, and support services for all BOE programs.

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0570</b>	<b>ADMINISTRATION OF THE BOARD OF EQUALIZATION</b>			
	<b>State Operations:</b>			
0001	General Fund	\$288,678	\$30,369	\$28,766
0004	Breast Cancer Fund	526	-	-
0022	State Emergency Telephone Number Account	995	-	-
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	25,505	-	-
0070	Occupational Lead Poisoning Prevention Account	851	-	-
0080	Childhood Lead Poisoning Prevention Fund	223	-	-
0230	Cigarette and Tobacco Products Surtax Fund	6,523	-	-
0320	Oil Spill Prevention and Administration Fund	363	-	-
0387	Integrated Waste Management Account, Integrated Waste Management Fund	440	-	-
0439	Underground Storage Tank Cleanup Fund	3,611	-	-
0465	Energy Resources Programs Account	219	-	-
0623	California Children and Families First Trust Fund	11,329	-	-
0890	Federal Trust Fund	56	-	-
0965	Timber Tax Fund	1,479	-	-
0995	Reimbursements	191,018	457	457
3015	Gas Consumption Surcharge Fund	627	-	-
3058	Water Rights Fund	416	-	-
3063	State Responsibility Area Fire Prevention Fund	7,506	-	-
3065	Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund	3,745	-	-
3067	Cigarette and Tobacco Products Compliance Fund	7,125	-	-
3212	Timber Regulation and Forest Restoration Fund	603	-	-
3251	Prepaid Mobile Telephony Services Surcharge Fund	2,088	-	-
3260	Regional Railroad Accident Preparedness and Immediate Response Fund	150	-	-
3270	Local Charges for Prepaid Mobile Telephony Service Fund	715	-	-
3288	Cannabis Control Fund	130	-	-
3301	Lead-Acid Battery Cleanup Fund	319	-	-
	<b>Totals, State Operations</b>	<b>\$555,240</b>	<b>\$30,826</b>	<b>\$29,223</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0570025</b>	<b>County Assessment Standards Program</b>			
	<b>State Operations:</b>			
0001	General Fund	\$9,973	\$17,371	\$16,506
	<b>Totals, State Operations</b>	<b>\$9,973</b>	<b>\$17,371</b>	<b>\$16,506</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.



**0860 State Board of Equalization - Continued****0570050 State-Assessed Property Program****State Operations:**

0001	General Fund	\$8,441	\$12,998	\$12,260
0995	Reimbursements	329	457	457
	<b>Totals, State Operations</b>	<b>\$8,770</b>	<b>\$13,455</b>	<b>\$12,717</b>

**SUBPROGRAM REQUIREMENTS****0570075 Timber Tax Program****State Operations:**

0965	Timber Tax Fund	\$1,479	\$-	\$-
	<b>Totals, State Operations</b>	<b>\$1,479</b>	<b>\$-</b>	<b>\$-</b>

**SUBPROGRAM REQUIREMENTS****0570100 Sales and Use Tax Program****State Operations:**

0001	General Fund	\$259,256	\$-	\$-
0995	Reimbursements	183,834	-	-
	<b>Totals, State Operations</b>	<b>\$443,090</b>	<b>\$-</b>	<b>\$-</b>

**SUBPROGRAM REQUIREMENTS****0570125 Hazardous Substances Tax Program****State Operations:**

0995	Reimbursements	4,570	-	-
	<b>Totals, State Operations</b>	<b>\$4,570</b>	<b>\$-</b>	<b>\$-</b>

**SUBPROGRAM REQUIREMENTS****0570150 Alcoholic Beverage Tax Program****State Operations:**

0001	General Fund	\$3,281	\$-	\$-
	<b>Totals, State Operations</b>	<b>\$3,281</b>	<b>\$-</b>	<b>\$-</b>

**SUBPROGRAM REQUIREMENTS****0570175 Tire Recycling Fee Program****State Operations:**

0001	General Fund	\$65	\$-	\$-
0995	Reimbursements	1,792	-	-
	<b>Totals, State Operations</b>	<b>\$1,857</b>	<b>\$-</b>	<b>\$-</b>

**SUBPROGRAM REQUIREMENTS****0570200 Cigarette and Tobacco Products Tax Program****State Operations:**

0001	General Fund	\$3,101	\$-	\$-
0004	Breast Cancer Fund	470	-	-
0230	Cigarette and Tobacco Products Surtax Fund	5,831	-	-
0623	California Children and Families First Trust Fund	9,944	-	-
	<b>Totals, State Operations</b>	<b>\$19,346</b>	<b>\$-</b>	<b>\$-</b>

**SUBPROGRAM REQUIREMENTS****0570225 Cigarette and Tobacco Products Licensing Program****State Operations:**

0001	General Fund	\$306	\$-	\$-
0004	Breast Cancer Fund	56	-	-
0230	Cigarette and Tobacco Products Surtax Fund	692	-	-
0623	California Children and Families First Trust Fund	1,385	-	-
3067	Cigarette and Tobacco Products Compliance Fund	7,125	-	-
	<b>Totals, State Operations</b>	<b>\$9,564</b>	<b>\$-</b>	<b>\$-</b>

**SUBPROGRAM REQUIREMENTS****0570250 Transportation Fund Tax Program****State Operations:**

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0860 State Board of Equalization - Continued**

0061	Motor Vehicle Fuel Account, Transportation Tax Fund	\$25,505	\$-	\$-
0890	Federal Trust Fund	56	-	-
	<b>Totals, State Operations</b>	<b>\$25,561</b>	<b>\$-</b>	<b>\$-</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0570275</b>	<b>Occupational Lead Poisoning Prevention Fee Program</b>			
	<b>State Operations:</b>			
0070	Occupational Lead Poisoning Prevention Account	\$851	\$-	\$-
	<b>Totals, State Operations</b>	<b>\$851</b>	<b>\$-</b>	<b>\$-</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0570300</b>	<b>Integrated Waste Management Program</b>			
	<b>State Operations:</b>			
0387	Integrated Waste Management Account, Integrated Waste Management Fund	\$440	\$-	\$-
	<b>Totals, State Operations</b>	<b>\$440</b>	<b>\$-</b>	<b>\$-</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0570325</b>	<b>Underground Storage Tank Fee Program</b>			
	<b>State Operations:</b>			
0001	General Fund	\$84	\$-	\$-
0439	Underground Storage Tank Cleanup Fund	3,611	-	-
	<b>Totals, State Operations</b>	<b>\$3,695</b>	<b>\$-</b>	<b>\$-</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0570350</b>	<b>Oil Spill Prevention Program</b>			
	<b>State Operations:</b>			
0320	Oil Spill Prevention and Administration Fund	\$363	\$-	\$-
	<b>Totals, State Operations</b>	<b>\$363</b>	<b>\$-</b>	<b>\$-</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0570375</b>	<b>Energy Resources Surcharge Program</b>			
	<b>State Operations:</b>			
0465	Energy Resources Programs Account	219	-	-
	<b>Totals, State Operations</b>	<b>\$219</b>	<b>\$-</b>	<b>\$-</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0570400</b>	<b>Annual Water Rights Fee Program</b>			
	<b>State Operations:</b>			
3058	Water Rights Fund	\$416	\$ -	\$-
	<b>Totals, State Operations</b>	<b>\$416</b>	<b>\$-</b>	<b>\$-</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0570425</b>	<b>Childhood Lead Poisoning Prevention Fee Program</b>			
	<b>State Operations:</b>			
0080	Childhood Lead Poisoning Prevention Fund	\$223	\$-	\$-
	<b>Totals, State Operations</b>	<b>\$223</b>	<b>\$-</b>	<b>\$-</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0570450</b>	<b>Marine Invasive Species Program</b>			
	<b>State Operations:</b>			
0995	Reimbursements	344	-	-
	<b>Totals, State Operations</b>	<b>\$344</b>	<b>\$-</b>	<b>\$-</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0570475</b>	<b>Fire Prevention Fee Program</b>			
	<b>State Operations:</b>			
3063	State Responsibility Area Fire Prevention Fund	\$7,506	\$ -	\$-
	<b>Totals, State Operations</b>	<b>\$7,506</b>	<b>\$-</b>	<b>\$-</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0570500</b>	<b>Emergency Telephone Users Surcharge Program</b>			
	<b>State Operations:</b>			

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**0860 State Board of Equalization - Continued**

0022	State Emergency Telephone Number Account	\$995	\$-	\$-
	<b>Totals, State Operations</b>	<b>\$995</b>	<b>\$-</b>	<b>\$-</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0570525</b>	<b>E-Waste Recycling Fee Program</b>			
	<b>State Operations:</b>			
3065	Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund	\$3,745	\$ -	\$-
	<b>Totals, State Operations</b>	<b>\$3,745</b>	<b>\$-</b>	<b>\$-</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0570550</b>	<b>Lumber Fee Program</b>			
	<b>State Operations:</b>			
3212	Timber Regulation and Forest Restoration Fund	\$603	\$ -	\$-
	<b>Totals, State Operations</b>	<b>\$603</b>	<b>\$-</b>	<b>\$-</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0570575</b>	<b>Insurance Tax Program</b>			
	<b>State Operations:</b>			
0001	General Fund	\$406	\$-	\$-
	<b>Totals, State Operations</b>	<b>\$406</b>	<b>\$-</b>	<b>\$-</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0570600</b>	<b>Natural Gas Surcharge Program</b>			
	<b>State Operations:</b>			
3015	Gas Consumption Surcharge Fund	\$627	\$ -	\$-
	<b>Totals, State Operations</b>	<b>\$627</b>	<b>\$-</b>	<b>\$-</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0570625</b>	<b>Appeals from Other Governmental Programs</b>			
	<b>State Operations:</b>			
0001	General Fund	\$3,765	\$-	\$-
	<b>Totals, State Operations</b>	<b>\$3,765</b>	<b>\$-</b>	<b>\$-</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0570650</b>	<b>Prepaid Mobile Telephony Program</b>			
	<b>State Operations:</b>			
3251	Prepaid Mobile Telephony Services Surcharge Fund	\$2,088	\$ -	\$-
3270	Local Charges for Prepaid Mobile Telephony Service Fund	715	-	-
	<b>Totals, State Operations</b>	<b>\$2,803</b>	<b>\$-</b>	<b>\$-</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0570675</b>	<b>Regional Railroad Accident Preparedness and Immediate Response Program</b>			
	<b>State Operations:</b>			
0995	Reimbursements	149	-	-
3260	Regional Railroad Accident Preparedness and Immediate Response Fund	150	-	-
	<b>Totals, State Operations</b>	<b>\$299</b>	<b>\$-</b>	<b>\$-</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0570700</b>	<b>Lead-Acid Battery Cleanup Fee Program</b>			
	<b>State Operations:</b>			
3301	Lead-Acid Battery Cleanup Fund	\$319	\$ -	\$-
	<b>Totals, State Operations</b>	<b>\$319</b>	<b>\$-</b>	<b>\$-</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0570725</b>	<b>Cannabis Taxes Program</b>			
	<b>State Operations:</b>			
3288	Cannabis Control Fund	\$130	\$ -	\$-
	<b>Totals, State Operations</b>	<b>\$130</b>	<b>\$-</b>	<b>\$-</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>9900</b>	<b>ADMINISTRATION - TOTAL</b>			
	<b>State Operations:</b>			

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**0860 State Board of Equalization - Continued**

0995	Reimbursements	34	-	-
	<b>Totals, State Operations</b>	<b>\$34</b>	<b>\$-</b>	<b>\$-</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>9900100</b>	<b>Administration</b>			
	<b>State Operations:</b>			
0995	Reimbursements	34	-	-
	<b>Totals, State Operations</b>	<b>\$34</b>	<b>\$-</b>	<b>\$-</b>
	<b>TOTALS, EXPENDITURES</b>			
	State Operations	555,274	30,826	29,223
	<b>Totals, Expenditures</b>	<b>\$555,274</b>	<b>\$30,826</b>	<b>\$29,223</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	4,720.6	4,729.9	4,689.8	\$321,838	\$319,374	\$308,144
Other Adjustments	-490.5	-4,522.3	-4,494.2	-25,048	-297,081	-286,909
<b>Net Totals, Salaries and Wages</b>	<b>4,230.1</b>	<b>207.6</b>	<b>195.6</b>	<b>\$296,790</b>	<b>\$22,293</b>	<b>\$21,235</b>
Staff Benefits	-	-	-	147,708	5,243	4,727
<b>Totals, Personal Services</b>	<b>4,230.1</b>	<b>207.6</b>	<b>195.6</b>	<b>\$444,498</b>	<b>\$27,536</b>	<b>\$25,962</b>
OPERATING EXPENSES AND EQUIPMENT				\$110,776	\$3,290	\$3,261
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$555,274</b>	<b>\$30,826</b>	<b>\$29,223</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$337,330	\$323,452	-
Reallocation to the Board of Equalization (AB 102)	-	-9,726	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-313,726	-
002 Budget Act appropriation	-	-	28,766
002 Budget Act appropriation as amended by Chapter 54, Statutes of 2017	-	12,000	-
Allocation for Employee Compensation	-	1,181	-
Allocation for Other Post-Employment Benefits	-	54	-
Allocation for Staff Benefits	-	453	-
Reallocation to the Board of Equalization (AB 102)	-	16,796	-
Section 3.60 Pension Contribution Adjustment	-	278	-
The Board of Equalization Funding Transfer to the Office of Tax Appeals (AB 102)	-	-393	-
<b>Totals Available</b>	<b>\$337,330</b>	<b>\$30,369</b>	<b>\$28,766</b>
Unexpended balance, estimated savings	-48,652	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$288,678</b>	<b>\$30,369</b>	<b>\$28,766</b>
<b>0004 Breast Cancer Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$662	\$659	-
Reallocation to the Board of Equalization (AB 102)	-	-14	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-645	-

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**0860 State Board of Equalization - Continued**

<b>Totals Available</b>	<b>\$662</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-136	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$526</b>	<b>-</b>	<b>-</b>
<b>0022 State Emergency Telephone Number Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,792	\$1,675	-
Reallocation to the Board of Equalization (AB 102)	-	-45	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-1,630	-
<b>Totals Available</b>	<b>\$1,792</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-797	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$995</b>	<b>-</b>	<b>-</b>
<b>0061 Motor Vehicle Fuel Account, Transportation Tax Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$29,073	\$28,263	-
Reallocation to the Board of Equalization (AB 102)	-	-825	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-27,438	-
<b>Totals Available</b>	<b>\$29,073</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-3,568	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$25,505</b>	<b>-</b>	<b>-</b>
<b>0070 Occupational Lead Poisoning Prevention Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$852	\$833	-
Reallocation to the Board of Equalization (AB 102)	-	-18	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-815	-
<b>Totals Available</b>	<b>\$852</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-1	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$851</b>	<b>-</b>	<b>-</b>
<b>0080 Childhood Lead Poisoning Prevention Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$569	\$569	-
Reallocation to the Board of Equalization (AB 102)	-	-14	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-555	-
<b>Totals Available</b>	<b>\$569</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-346	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$223</b>	<b>-</b>	<b>-</b>
<b>0230 Cigarette and Tobacco Products Surtax Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$8,212	\$7,099	-
Reallocation to the Board of Equalization (AB 102)	-	-185	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-6,914	-
<b>Totals Available</b>	<b>\$8,212</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-1,689	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$6,523</b>	<b>-</b>	<b>-</b>
<b>0320 Oil Spill Prevention and Administration Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$653	\$607	-
Reallocation to the Board of Equalization (AB 102)	-	-18	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-589	-

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**0860 State Board of Equalization - Continued**

<b>Totals Available</b>	<b>\$653</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-290	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$363</b>	<b>-</b>	<b>-</b>
<b>0387 Integrated Waste Management Account, Integrated Waste Management Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$567	\$567	-
Reallocation to the Board of Equalization (AB 102)	-	-14	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-553	-
<b>Totals Available</b>	<b>\$567</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-127	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$440</b>	<b>-</b>	<b>-</b>
<b>0439 Underground Storage Tank Cleanup Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,611	\$3,534	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-3,534	-
<b>TOTALS, EXPENDITURES</b>	<b>\$3,611</b>	<b>-</b>	<b>-</b>
<b>0465 Energy Resources Programs Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$314	\$325	-
Reallocation to the Board of Equalization (AB 102)	-	-8	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-317	-
<b>Totals Available</b>	<b>\$314</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-95	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$219</b>	<b>-</b>	<b>-</b>
<b>0623 California Children and Families First Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$14,247	\$14,150	-
Reallocation to the Board of Equalization (AB 102)	-	-371	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-13,779	-
<b>Totals Available</b>	<b>\$14,247</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-2,918	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$11,329</b>	<b>-</b>	<b>-</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$437	\$245	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-245	-
<b>Totals Available</b>	<b>\$437</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-381	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$56</b>	<b>-</b>	<b>-</b>
<b>0965 Timber Tax Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,255	\$1,782	-
Reallocation to the Board of Equalization (AB 102)	-	-42	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-1,740	-
<b>Totals Available</b>	<b>\$2,255</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-776	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,479</b>	<b>-</b>	<b>-</b>
<b>0995 Reimbursements</b>			

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**0860 State Board of Equalization - Continued**

APPROPRIATIONS			
Reimbursements	\$191,052	\$457	\$457
<b>TOTALS, EXPENDITURES</b>	<b>\$191,052</b>	<b>\$457</b>	<b>\$457</b>
<b>3015 Gas Consumption Surcharge Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$993	\$934	-
Reallocation to the Board of Equalization (AB 102)	-	-27	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-907	-
<b>Totals Available</b>	<b>\$993</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-366	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$627</b>	<b>-</b>	<b>-</b>
<b>3058 Water Rights Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$487	\$483	-
Reallocation to the Board of Equalization (AB 102)	-	-13	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-470	-
<b>Totals Available</b>	<b>\$487</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-71	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$416</b>	<b>-</b>	<b>-</b>
<b>3063 State Responsibility Area Fire Prevention Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$7,566	-	-
001 Budget Act appropriation as repealed by Chapter 249, Statutes of 2017	-	7,492	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-7,492	-
<b>Totals Available</b>	<b>\$7,566</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-60	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$7,506</b>	<b>-</b>	<b>-</b>
<b>3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,124	\$4,886	-
Reallocation to the Board of Equalization (AB 102)	-	-140	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-4,746	-
<b>Totals Available</b>	<b>\$5,124</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-1,379	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$3,745</b>	<b>-</b>	<b>-</b>
<b>3067 Cigarette and Tobacco Products Compliance Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$7,125	\$7,266	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-7,266	-
<b>TOTALS, EXPENDITURES</b>	<b>\$7,125</b>	<b>-</b>	<b>-</b>
<b>3212 Timber Regulation and Forest Restoration Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,826	\$1,573	-
Reallocation to the Board of Equalization (AB 102)	-	-425	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-1,148	-
<b>Totals Available</b>	<b>\$1,826</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-1,223	-	-

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**0860 State Board of Equalization - Continued**

<b>TOTALS, EXPENDITURES</b>	<b>\$603</b>	<b>-</b>	<b>-</b>
<b>3251 Prepaid Mobile Telephony Services Surcharge Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,159	\$1,082	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-1,082	-
<b>Totals Available</b>	<b>\$2,159</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-71	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,088</b>	<b>-</b>	<b>-</b>
<b>3260 Regional Railroad Accident Preparedness and Immediate Response Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$584	\$278	-
Reallocation to the Board of Equalization (AB 102)	-	-15	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-263	-
<b>Totals Available</b>	<b>\$584</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-434	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$150</b>	<b>-</b>	<b>-</b>
<b>3270 Local Charges for Prepaid Mobile Telephony Service Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,772	\$1,161	-
Reallocation to the Board of Equalization (AB 102)	-	-113	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-1,048	-
<b>Totals Available</b>	<b>\$1,772</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-1,057	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$715</b>	<b>-</b>	<b>-</b>
<b>3288 Cannabis Control Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$4,620	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-4,620	-
Business and Professions Code section 26211(a)	1,100	-	-
<b>Totals Available</b>	<b>\$1,100</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-970	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$130</b>	<b>-</b>	<b>-</b>
<b>3301 Lead-Acid Battery Cleanup Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$784	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-784	-
Health and Safety Code section 25215.72	1,235	-	-
<b>Totals Available</b>	<b>\$1,235</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-916	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$319</b>	<b>-</b>	<b>-</b>
<b>3304 California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$960	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-960	-
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>3308 Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund</b>			
APPROPRIATIONS			

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**0860 State Board of Equalization - Continued**

001 Budget Act appropriation	-	\$5,869	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-5,869	-
<b>TOTALS, EXPENDITURES</b>	-	-	-
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$555,274</b>	<b>\$30,826</b>	<b>\$29,223</b>

<b>2 LOCAL ASSISTANCE</b>	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>3270 Local Charges for Prepaid Mobile Telephony Service Fund</b>			
APPROPRIATIONS			
Revenue and Taxation Code section 42103(b)	\$32,707	\$32,702	-
The Board of Equalization Funding Transfer to the California Department of Tax and Fee Administration (AB 102)	-	-32,702	-
<b>Totals Available</b>	<b>\$32,707</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-32,707	-	-
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total Expenditures, All Funds, (Local Assistance)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$555,274</b>	<b>\$30,826</b>	<b>\$29,223</b>

**CHANGES IN AUTHORIZED POSITIONS**

	<b>Positions</b>			<b>Expenditures</b>		
	<b>2016-17</b>	<b>2017-18</b>	<b>2018-19</b>	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>Baseline Positions</b>	4,720.6	4,729.9	4,689.8	\$321,838	\$319,374	\$308,144
<b>Salary and Other Adjustments</b>	-490.5	-4,522.3	-4,486.2	-25,048	-297,081	-286,100
<b>Workload and Administrative Adjustments</b>						
<b>Board Member Staffing</b>						
C.E.A.	-	-	-4.0	-	-	-405
Tax Consultant Expert II	-	-	-2.0	-	-	-248
Various	-	-	-2.0	-	-	-156
<b>TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS</b>	<b>-</b>	<b>-</b>	<b>-8.0</b>	<b>\$-</b>	<b>\$-</b>	<b>-\$809</b>
<b>Totals, Adjustments</b>	<b>-490.5</b>	<b>-4,522.3</b>	<b>-4,494.2</b>	<b>\$-25,048</b>	<b>\$-297,081</b>	<b>\$-286,909</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>4,230.1</b>	<b>207.6</b>	<b>195.6</b>	<b>\$296,790</b>	<b>\$22,293</b>	<b>\$21,235</b>

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## 0870 Office of Tax Appeals

The Office of Tax Appeals (OTA) was established effective January 1, 2018, by Chapter 16, Statutes of 2017 (AB 102). The OTA performs those tax appeal duties formerly assigned by statute to the State Board of Equalization, including personal income tax appeals, franchise tax appeals, and sales and use tax appeals. The OTA's mission is to ensure tax appeals are performed in a fair, transparent, consistent, equitable, and impartial manner.

The OTA has hearing offices in Sacramento, Fresno, and Los Angeles.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0620100	Executive Division	-	7.0	7.0	\$-	\$898	\$2,221
0620200	Administration Division	-	10.0	12.0	-	897	2,053
0620300	Hearings Division	-	39.0	39.0	-	3,853	9,407
0620400	Foundations Division	-	24.0	31.0	-	1,906	6,270
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>-</b>	<b>80.0</b>	<b>89.0</b>	<b>\$-</b>	<b>\$7,554</b>	<b>\$19,951</b>
<b>FUNDING</b>		<b>2016-17*</b>			<b>2017-18*</b>		
0001	General Fund			\$-		\$7,554	\$19,951
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$-</b>		<b>\$7,554</b>	<b>\$19,951</b>

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Government Code Section 15570.

#### PROGRAM AUTHORITY

Government Code Sections 15670 through 15679.

### DETAILED BUDGET ADJUSTMENTS

		2017-18*			2018-19*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Workload Budget Change Proposals</b>							
• BCP to Increase Funding		\$-	\$-	-	\$15,000	\$-	48.0
• Administration Positions for OTA - Administration Division		-	-	-	-	-	2.0
• Staff Attorney and Support Positions - Foundation Division		-	-	-	-	-	7.0
<b>Totals, Workload Budget Change Proposals</b>		<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$15,000</b>	<b>\$-</b>	<b>57.0</b>
<b>Other Workload Budget Adjustments</b>							
• Allocation for Other Post-Employment Benefits		17	-	-	29	-	-
• Miscellaneous Baseline Adjustments		2,183	-	32.0	4,366	-	32.0
• Salary Adjustments		195	-	-	335	-	-
• Benefit Adjustments		87	-	-	149	-	-
• Retirement Rate Adjustments		72	-	-	72	-	-
• Legislation with an Appropriation		5,000	-	48.0	-	-	-
<b>Totals, Other Workload Budget Adjustments</b>		<b>\$7,554</b>	<b>\$-</b>	<b>80.0</b>	<b>\$4,951</b>	<b>\$-</b>	<b>32.0</b>
<b>Totals, Workload Budget Adjustments</b>		<b>\$7,554</b>	<b>\$-</b>	<b>80.0</b>	<b>\$19,951</b>	<b>\$-</b>	<b>89.0</b>
<b>Totals, Budget Adjustments</b>		<b>\$7,554</b>	<b>\$-</b>	<b>80.0</b>	<b>\$19,951</b>	<b>\$-</b>	<b>89.0</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0870 Office of Tax Appeals - Continued****PROGRAM DESCRIPTIONS****0620100 - EXECUTIVE DIVISION**

The Executive Division provides leadership and direction to the OTA, and empowers staff to perform their duties fairly, impartially, and in strict conformance with applicable statutes and regulations.

**0620200 - ADMINISTRATION DIVISION**

The Administration Division ensures the efficient operation of the OTA by providing fiscal oversight and performing personnel management, information technology, and business services functions.

**0620300 - HEARINGS DIVISION**

The Hearings Division provides the venues in which taxpayers may appeal decisions rendered by the Franchise Tax Board and the California Department of Tax and Fee Administration. Tax appeals are adjudicated by hearing panels consisting of three Administrative Law Judges.

**0620400 - APPEALS BUREAU PROCESS DIVISION**

The Appeals Bureau Process Division provides case research and support functions, and also sets the hearing calendar for tax appeals.

**DETAILED EXPENDITURES BY PROGRAM**

		2016-17*	2017-18*	2018-19*
	<b>PROGRAM REQUIREMENTS</b>			
<b>0620</b>	<b>OFFICE OF TAX APPEALS</b>			
	<b>State Operations:</b>			
0001	General Fund	\$-	\$7,554	\$19,951
	<b>Totals, State Operations</b>	<b>\$-</b>	<b>\$7,554</b>	<b>\$19,951</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0620100</b>	<b>Executive Division</b>			
	<b>State Operations:</b>			
0001	General Fund	\$-	\$898	\$2,221
	<b>Totals, State Operations</b>	<b>\$-</b>	<b>\$898</b>	<b>\$2,221</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0620200</b>	<b>Administration Division</b>			
	<b>State Operations:</b>			
0001	General Fund	\$-	\$897	\$2,053
	<b>Totals, State Operations</b>	<b>\$-</b>	<b>\$897</b>	<b>\$2,053</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0620300</b>	<b>Hearings Division</b>			
	<b>State Operations:</b>			
0001	General Fund	\$-	\$3,853	\$9,407
	<b>Totals, State Operations</b>	<b>\$-</b>	<b>\$3,853</b>	<b>\$9,407</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0620400</b>	<b>Foundations Division</b>			
	<b>State Operations:</b>			
0001	General Fund	\$-	\$1,906	\$6,270
	<b>Totals, State Operations</b>	<b>\$-</b>	<b>\$1,906</b>	<b>\$6,270</b>
	<b>TOTALS, EXPENDITURES</b>			
	State Operations	-	7,554	19,951
	<b>Totals, Expenditures</b>	<b>\$-</b>	<b>\$7,554</b>	<b>\$19,951</b>

**EXPENDITURES BY CATEGORY**

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0870 Office of Tax Appeals - Continued**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Other Adjustments	-	80.0	89.0	-	4,263	7,636
<b>Net Totals, Salaries and Wages</b>	-	<b>80.0</b>	<b>89.0</b>	<b>\$-</b>	<b>\$4,263</b>	<b>\$7,636</b>
Staff Benefits	-	-	-	-	2,196	3,885
<b>Totals, Personal Services</b>	-	<b>80.0</b>	<b>89.0</b>	<b>\$-</b>	<b>\$6,459</b>	<b>\$11,521</b>
OPERATING EXPENSES AND EQUIPMENT				\$-	\$955	\$8,430
SPECIAL ITEMS OF EXPENSES				-	140	-
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$-</b>	<b>\$7,554</b>	<b>\$19,951</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$19,951
Allocation for Employee Compensation	-	195	-
Allocation for Other Post-Employment Benefits	-	17	-
Allocation for Staff Benefits	-	87	-
Establishment of the Office of Tax Appeals Authorized by Chapter 16, Statutes of 2017	-	5,000	-
Section 3.60 Pension Contribution Adjustment	-	72	-
The Board of Equalization Funding Transfer to the Office of Tax Appeals (AB 102)	-	393	-
The California Department of Tax and Fee Administration Funding Transfer to the Office of Tax Appeals (AB 102)	-	1,790	-
<b>TOTALS, EXPENDITURES</b>	-	<b>\$7,554</b>	<b>\$19,951</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$0</b>	<b>\$7,554</b>	<b>\$19,951</b>

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	-	-	-	\$-	\$-	\$-
<b>Salary and Other Adjustments</b>	-	80.0	32.0	-	4,263	3,114
<b>Workload and Administrative Adjustments</b>						
<b>Administration Positions for OTA - Administration Division</b>						
Office Techn (Gen)	-	-	1.0	-	-	-
Staff Svcs Mgr II (Supvry)	-	-	1.0	-	-	-
<b>BCP to Increase Funding</b>						
Various	-	-	48.0	-	-	4,522
<b>Staff Attorney and Support Positions - Foundation Division</b>						
Legal Secty	-	-	1.0	-	-	-
Tax Counsel III (Spec)	-	-	6.0	-	-	-
<b>TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS</b>	-	-	<b>57.0</b>	<b>\$-</b>	<b>\$-</b>	<b>\$4,522</b>
<b>Totals, Adjustments</b>	-	<b>80.0</b>	<b>89.0</b>	<b>\$-</b>	<b>\$4,263</b>	<b>\$7,636</b>
<b>TOTALS, SALARIES AND WAGES</b>	-	<b>80.0</b>	<b>89.0</b>	<b>\$-</b>	<b>\$4,263</b>	<b>\$7,636</b>

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## 0890 Secretary of State

The Secretary of State, a constitutionally established office, is the chief elections officer of the state and is responsible for the administration and enforcement of election laws. The Office also is responsible for administering and enforcing laws pertaining to filing documents associated with corporations, limited liability companies, partnerships, limited partnerships, unincorporated associations and pertaining to filing bonds and perfecting security agreements. In addition, the Office is responsible for commissioning notaries public, enforcing the notary laws, and in conjunction with being the home of the State Archives, administering a state records management program and preserving documents and records having historical significance. The Secretary of State is the filing officer for lobbying and campaign registration and disclosure documents filed under the Political Reform Act. The Secretary of State also operates the Safe at Home program, maintains the Domestic Partners and Advance Health Care Directives registries, provides support functions for the Voting Modernization Board, and is home to the California Museum.

Policy associated with the administration of the Office is accomplished through the divisions of Elections, Political Reform, Business Programs, Archives, Information Technology, and Management Services.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0700	Filings and Registrations	325.4	291.6	341.3	\$50,510	\$56,629	\$73,756
0705	Elections	33.3	66.0	69.2	71,179	39,564	186,260
0710	Archives	28.5	27.0	27.2	7,951	8,520	8,611
0715	DOJ Legal Services	-	-	-	333	333	633
9900100	Administration	114.4	109.6	122.5	18,240	26,509	28,646
9900200	Administration - Distributed	-	-	-	-18,240	-26,509	-28,457
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>501.6</b>	<b>494.2</b>	<b>560.2</b>	<b>\$129,973</b>	<b>\$105,046</b>	<b>\$269,449</b>

#### FUNDING

		2016-17*	2017-18*	2018-19*
0001	General Fund	\$36,952	\$37,749	\$183,899
0228	Secretary of States Business Fees Fund	47,255	51,442	67,388
0890	Federal Trust Fund	41,781	11,373	11,569
0942	Special Deposit Fund	-	463	463
3042	Victims of Corporate Fraud Compensation Fund	681	1,530	1,530
3244	Political Disclosure, Accountability, Transparency, and Access Fund	1,751	89	1,090
3254	Business Programs Modernization Fund	1,553	2,400	3,510
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$129,973</b>	<b>\$105,046</b>	<b>\$269,449</b>

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

The California Constitution, Article 5, Section 11, Government Code Sections 12159-12179.1.

#### PROGRAM AUTHORITY

0700-Filings and Registrations:

Corporations Code, Titles 1-3; Government Code, Sections 960.3, 960.4, 1363, 6205-6210, 6215-6216, 6503.5, 6503.7, 6518, 7171, 7220, 7222, 7223, 7225, 7226, 7227, 7229, 8200, 8201, 8201.1, 8201.2, 8201.5, 8202.5, 8203.1-8203.4, 8204.1, 8205, 8206, 8207, 8207.1, 8207.2, 8207.3, 8213, 8213.6, 8214.1, 8214.15, 8214.4, 8214.5, 8214.8, 8219.5, 8220, 8222, 8228, 12160, 12163, 12168, 12168.5, 12168.7, 12176, 12177, 12178.1, 12179.1, 12180, 12181, 12182, 12182.1, 12183-12197, 12260-12263, 23712-23714, 34459-34461, and 53051; Business and Professions Code, Sections 14207, 14209, 14215, 14217, 14220, 14230, 14235, 14240, 14245, 14417, 14427, 14461, 14482, 14483, 14492, 17550 et seq., 18896 et seq., 22441.1, 22442.4, 22443.1, 22443.2, 22908-22912, 22914, 22916, 22917, 22920, 22921, and 22923; Civil Code, Sections 912, 1185, 1189, 1195, 1789.10 et seq., 1812.50 et seq., 1812.80 et seq., 1812.100 et seq., 1812.214, 1812.503., 1812.510, 1812.515, 1812.525, 1812.600, 1812.607, 2945.45, 3344.1, 3440.5, 4225, 4280, 5405 6622, and 6760; Commercial Code, Sections 9501, 9509, 9512-9516, 9518, 9519-9523, and 9525-9528; Code of Civil Procedure, Sections 416.10-416.40, 488.375, 488.405, 488.730, 697.510, 697.550, 697.570, 697.580, 697.640-697.670, 724.060, 2101, and 2103-2105; Family Code, Sections 297, 297.1, 298, 298.5, 298.6, 298.7, 299, 535, 6345, 17520, and 17523; Financial Code, Sections 1102-1106, 4966, 5403, 5703-5705, 5715, 5716, 5758, 5760, 14100, 14101, 14101.6, 14101.8, 14102.2, 14102.4, 14102.8, 15302, 15304,

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**0890 Secretary of State - Continued**

15252, 15258, 18101.5, and 18102; Insurance Code, Sections 881, 881.3, 1556, 1560.10, 1560.17, 1560.18, 4093, 4097.11, 4097.18, 4097.19, 5053, 7049, 7084, 7085, 9103, 11542, 11546, and 11547; Food and Agriculture Code, Sections 3951, 3952, 5304, 6047.109, 6047.119, 6273, 6286, 8704, 8757, 54081-54083, 55702, 57405, 57408-57411, 57414, 57512, 57516-57517, 57519, 57530, 57531, 57535, 57537, 57540, 57541, 57545, 57561 et seq., 57590, 57591, and 57595; Probate Code, Sections 4717, 4800-4806, 6389, and 8574; Vehicle Code, Section 40305.5; Penal Code, Sections 4056-4058, 4067, 4206, 4207, 4208 and 4227; Health and Safety Code, Sections 1341, 1341.2, 2043, 2832, 2834, 4927, 9043, 33103, 33103.5, 33104, 33142, 34126, 34117, 51153, 103435, and 123371; California Code of Regulations, Title 2, Sections 20800 et seq., 21000 et seq., 21900 et seq., 22000 et seq., 22100 et seq., 22500 et seq., 22600 et seq., and 22610.1 et seq.

**0705-Elections:**

California Constitution, Article XVI, Section 1; California Elections Code, Section 10; California Elections Code 9082.7; California Government Code, Sections 12172.5, and 81000 et seq.; Help America Vote Act of 2002 (Public Law 107-252) and other federal elections laws; Government Code, Title 9.

**0710-Archives:**

Chapter 1, Statutes of 1850; Government Code, Sections 6204, 6268, 9080, 9149.9, 11347.3, 12153, 12174, 12220-12237, and 12270-12279; Civil Code, Sections 1798.24, and 1798.64; Evidence Code, Section 1605, and Military and Veterans Code, Section 1360.

**MAJOR PROGRAM CHANGES**

- **Office of Elections Cybersecurity**—The Budget includes annual funding of \$2 million General Fund for the establishment and administration of an Office of Elections Cybersecurity. The funding will be used to coordinate activities and information with state, federal, and local officials, including county registrars of voters, to reduce cybersecurity incidents in California elections.
- **Voting Systems Replacement for Counties**—The Budget includes one-time funding of \$134.4 million General Fund for the research and development, purchase, or lease of hardware, software, and initial licensing for the replacement of voting systems and technology in all 58 counties. This funding will provide reimbursement to counties by matching county funds spent on voting system replacement activities on a dollar-for-dollar basis, up to the maximum amount of funds allocated for this purpose.

**DETAILED BUDGET ADJUSTMENTS**

	2017-18*			2018-19*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Workload Budget Change Proposals</b>						
• Voting Systems Replacement for Counties	\$-	\$-	-	\$134,348	\$-	-
• Cal-ACCESS Replacement Project	-	-	-	14,824	1,000	7.0
• Additional funding to support an Office of Elections Cybersecurity	-	-	-	2,000	-	7.0
• Cyber Security Program Enhancement	-	-	-	251	759	1.0
• Address Confidentiality for Victims of Human Trafficking (SB 597)	-	-	-	240	-	1.0
• California Business Connect Project	-	-	-	-	18,510	-
• Help America Vote Act - VoteCal	-	-	-	-	7,102	-
• Business Programs Division Filings Processing Times	-	-	-	-	5,108	47.0
• Help America Vote Act Spending Plan	-	-	-	-	4,235	-
• Cannabis Filings and Trademark Workload	-	-	-	-	440	3.0
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$151,663</b>	<b>\$37,154</b>	<b>66.0</b>
<b>Other Workload Budget Adjustments</b>						
• Section 3.63 Personal Services Contracts	16	41	-	32	82	-
• Allocation for Other Post-Employment Benefits	7	12	-	7	12	-
• Expenditure by Category Redistribution	969	2,401	-	-616	-1,527	-

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**0890 Secretary of State - Continued**

• Budget Position Transparency	-969	-2,401	-80.1	616	1,527	-78.1
• Salary Adjustments	420	1,059	-	420	1,059	-
• Miscellaneous Baseline Adjustments	-	-	-	300	-	-
• Benefit Adjustments	237	464	-	253	508	-
• Retirement Rate Adjustments	156	381	-	156	381	-
• SWCAP	-	-	-	-	26	-
• Lease Revenue Debt Service Adjustment	-24	-70	-	-	-	-
• Legislation with an Appropriation	350	-	-	-	-	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$1,162</b>	<b>\$1,887</b>	<b>-80.1</b>	<b>\$1,168</b>	<b>\$2,068</b>	<b>-78.1</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$1,162</b>	<b>\$1,887</b>	<b>-80.1</b>	<b>\$152,831</b>	<b>\$39,222</b>	<b>-12.1</b>
<b>Totals, Budget Adjustments</b>	<b>\$1,162</b>	<b>\$1,887</b>	<b>-80.1</b>	<b>\$152,831</b>	<b>\$39,222</b>	<b>-12.1</b>

**PROGRAM DESCRIPTIONS****0700 - FILINGS AND REGISTRATIONS**

The Business Programs Division is comprised of three primary areas: Business Entities, Uniform Commercial Code, and Notary Public and Special Filings.

Business Entities examines, files, and maintains formation documents for new California business entities and qualifies out-of-state and international entities to conduct business in California; and also examines, files and maintains changes to the records of domestic or qualified foreign business entities to ensure the business entities are properly formed, merged, converted, amended, and dissolved in compliance with California law. Statements of Information containing key officers and contact information are also processed and maintained for public and governmental use.

Uniform Commercial Code files certain financing statements allowing lending institutions to protect their financial interest in personal property and established priority in case of debtor default or bankruptcy. Notices of judgment liens, attachment liens, agricultural liens, and equipment liens are also filed in Uniform Commercial Code, as well as notices of various tax liens submitted by state and federal taxing agencies such as the Franchise Tax Board and the Internal Revenue Service.

Notary Public and Special Filings appoints and commissions qualified notaries public for four- year terms, reviews and approves notary public education courses, and certifies to the authenticity of signatures of notaries public and public officials on documents for use in foreign countries. Special Filings include trademarks and service marks as well as surety bonds that certain business entities are required to file, notices of joint power agreements, city and county charters, and claims for successor-in-interest. This area also has disciplinary functions with regard to notaries public and immigration consultants.

The Advance Health Care Directive (AHCD) Registry maintains AHCDs, which allow a person to indicate his/her medical treatment preferences if he/she cannot speak or make decisions for him/herself. The AHCD also may designate someone else to make decisions regarding medical treatment. AHCDs and related information can be provided upon request to the registrant's health care provider, public guardian, or legal representative.

The Domestic Partners Registry registers same-sex couples regardless of the age of the partners, and opposite-sex couples in which one partner is at least 62 years old, as domestic partners in California.

The Victims of Corporate Fraud Compensation Fund provides limited restitution to victims of corporate fraud who are unable to collect through other means on a judgment against a corporation for corporate fraud.

Safe at Home is a confidential mail forwarding program designed to assist victims of domestic violence and human trafficking, sexual assault, stalking, or a reproductive healthcare employee, patient, or volunteer. Participants in the Safe at Home program are provided with a free post office box to use as a substitute mailing address, thereby protecting their actual residential address. Once enrolled into the program, Safe at Home serves as a liaison for those participants who qualify for additional confidential services offered by various state and local agencies.

**0705 - ELECTIONS**

The Secretary of State, as California's chief elections officer, has broad responsibility to administer the election process. This program oversees all federal and state elections within California, maintaining a statewide database of all registered voters, tracks and certifies ballot measures, certifies candidates, and certifies election results. In addition, the program oversees the modernization of voting equipment and the improvement of current electoral database systems, enhancing the voting processes, providing accessibility to voters, working to increase people's knowledge of the electoral system, and investigates election related criminal violations as provided by California Elections Code.

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**0890 Secretary of State - Continued**

This program includes the campaign and lobbying registration and disclosure provisions of the Political Reform Act of 1974. Under this law, the Office registers all levels of campaign committees, and reviews for compliance with statutory requirements all state-level candidate and campaign recipient committees, major donors, slate mailer, political party, and independent expenditure committees. The program registers lobbyists (including placement agents), lobbying firms and lobbyist employers, reviews for compliance with statutory requirements the periodic financial disclosure reports filed by lobbying entities, and publishes a Lobbying Directory on the Internet. This program also supports the electronic filing requirements of the Political Reform Act and posts relevant registration and disclosure information to the Office's web site so the public can track where campaign money is raised and spent and what lobbying activity is occurring in state government. A searchable database of campaign and lobbying financial activity is also provided through the web site.

**0710 - ARCHIVES**

The California State Archives establishes and administers a records management program that applies efficient and economical management methods to the creation, utilization, maintenance, retention, preservation, and disposal of state records and acquires, indexes, preserves, and provides reference access to irreplaceable historic materials from the three branches of state government. The Archives acquires physical and electronic records with legal, administrative or evidentiary value, or that have historical significance. Materials are transferred to the Archives for permanent preservation.

**9900 - ADMINISTRATION**

This program develops and manages overall policy. It serves as the liaison to other state agencies, the Legislature, the federal government, and other states' Secretaries of State. This program responds to constituent correspondence and requests from the public and advocates in the Legislature, administers the Secretary's role as a member of the National Association of Secretaries of State, in the management of task forces and advisory committees, in the implementation of new federal and state statutory requirements, and coordinates and disseminates information about the Office and its initiatives to the public. It also provides the Office with the necessary personnel, general administrative, budgeting, fiscal services, and information technology expertise to plan, develop, implement, and operate innovative and effective business and information systems solutions.

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0700</b>	<b>FILINGS AND REGISTRATIONS</b>			
	<b>State Operations:</b>			
0001	General Fund	\$1,021	\$1,257	\$1,517
0228	Secretary of States Business Fees Fund	47,255	51,442	67,199
3042	Victims of Corporate Fraud Compensation Fund	681	1,530	1,530
3254	Business Programs Modernization Fund	1,553	2,400	3,510
	<b>Totals, State Operations</b>	<b>\$50,510</b>	<b>\$56,629</b>	<b>\$73,756</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0705</b>	<b>ELECTIONS</b>			
	<b>State Operations:</b>			
0001	General Fund	\$27,647	\$27,389	\$38,790
0890	Federal Trust Fund	6,260	8,245	8,441
0942	Special Deposit Fund	-	463	463
3244	Political Disclosure, Accountability, Transparency, and Access Fund	1,751	89	1,090
	<b>Totals, State Operations</b>	<b>\$35,658</b>	<b>\$36,186</b>	<b>\$48,784</b>
	<b>Local Assistance:</b>			
0001	General Fund	\$-	\$250	\$134,348
0890	Federal Trust Fund	35,521	3,128	3,128
	<b>Totals, Local Assistance</b>	<b>\$35,521</b>	<b>\$3,378</b>	<b>\$137,476</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0710</b>	<b>ARCHIVES</b>			
	<b>State Operations:</b>			
0001	General Fund	\$7,951	\$8,520	\$8,611
	<b>Totals, State Operations</b>	<b>\$7,951</b>	<b>\$8,520</b>	<b>\$8,611</b>

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**0890 Secretary of State - Continued**

<b>PROGRAM REQUIREMENTS</b>			
<b>0715</b>	<b>DOJ LEGAL SERVICES</b>		
	<b>State Operations:</b>		
0001	General Fund	\$333	\$333 \$633
	<b>Totals, State Operations</b>	<b>\$333</b>	<b>\$333 \$633</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>9900</b>	<b>ADMINISTRATION - TOTAL</b>		
	<b>State Operations:</b>		
0228	Secretary of States Business Fees Fund	-	- 189
	<b>Totals, State Operations</b>	<b>\$-</b>	<b>\$- \$189</b>
<b>SUBPROGRAM REQUIREMENTS</b>			
<b>9900100</b>	<b>Administration</b>		
	<b>State Operations:</b>		
0001	General Fund	\$18,240	\$26,509 \$28,457
0228	Secretary of States Business Fees Fund	-	- 189
	<b>Totals, State Operations</b>	<b>\$18,240</b>	<b>\$26,509 \$28,646</b>
<b>SUBPROGRAM REQUIREMENTS</b>			
<b>9900200</b>	<b>Administration - Distributed</b>		
	<b>State Operations:</b>		
0001	General Fund	-\$18,240	-\$26,509 -\$28,457
	<b>Totals, State Operations</b>	<b>-\$18,240</b>	<b>-\$26,509 -\$28,457</b>
<b>TOTALS, EXPENDITURES</b>			
	State Operations	94,452	101,668 131,973
	Local Assistance	35,521	3,378 137,476
	<b>Totals, Expenditures</b>	<b>\$129,973</b>	<b>\$105,046 \$269,449</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	566.3	574.3	572.3	\$32,103	\$30,839	\$26,332
Budget Position Transparency	-	-80.1	-78.1	-	-3,370	2,143
Other Adjustments	-64.7	-	66.0	-1,737	1,479	7,890
<b>Net Totals, Salaries and Wages</b>	<b>501.6</b>	<b>494.2</b>	<b>560.2</b>	<b>\$30,366</b>	<b>\$28,948</b>	<b>\$36,365</b>
Staff Benefits	-	-	-	16,083	23,189	18,511
<b>Totals, Personal Services</b>	<b>501.6</b>	<b>494.2</b>	<b>560.2</b>	<b>\$46,449</b>	<b>\$52,137</b>	<b>\$54,876</b>
OPERATING EXPENSES AND EQUIPMENT				\$47,944	\$49,531	\$77,097
SPECIAL ITEMS OF EXPENSES				59	-	-
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$94,452</b>	<b>\$101,668</b>	<b>\$131,973</b>

2 Local Assistance	Expenditures		
	2016-17*	2017-18*	2018-19*
Grants and Subventions - Governmental	\$35,521	\$3,378	\$137,476
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$35,521</b>	<b>\$3,378</b>	<b>\$137,476</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0890 Secretary of State - Continued**

<b>1 STATE OPERATIONS</b>	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$38,681	\$36,587	\$49,551
Allocation for Employee Compensation	-	420	-
Allocation for Other Post-Employment Benefits	-	7	-
Allocation for Staff Benefits	-	237	-
As amended by Chapter 180, Statutes of 2017	-	100	-
Budget Position Transparency	-	-969	-
Expenditure by Category Redistribution	-	969	-
Lease Revenue and Tenant Adjustments	-	-24	-
Section 3.60 Pension Contribution Adjustment	-	156	-
Section 3.63 Personal Services Contracts	-	16	-
<b>Totals Available</b>	<b>\$38,681</b>	<b>\$37,499</b>	<b>\$49,551</b>
Unexpended balance, estimated savings	-1,729	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$36,952</b>	<b>\$37,499</b>	<b>\$49,551</b>
<b>0228 Secretary of States Business Fees Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$50,036	\$49,561	\$67,388
Allocation for Employee Compensation	-	1,056	-
Allocation for Other Post-Employment Benefits	-	12	-
Allocation for Staff Benefits	-	462	-
Budget Position Transparency	-	-2,401	-
Expenditure by Category Redistribution	-	2,401	-
Lease Revenue and Tenant Adjustments	-	-70	-
Section 3.60 Pension Contribution Adjustment	-	380	-
Section 3.63 Personal Services Contracts	-	41	-
<b>Totals Available</b>	<b>\$50,036</b>	<b>\$51,442</b>	<b>\$67,388</b>
Unexpended balance, estimated savings	-2,781	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$47,255</b>	<b>\$51,442</b>	<b>\$67,388</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$11,089	\$8,245	\$8,441
<b>Totals Available</b>	<b>\$11,089</b>	<b>\$8,245</b>	<b>\$8,441</b>
Unexpended balance, estimated savings	-4,829	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$6,260</b>	<b>\$8,245</b>	<b>\$8,441</b>
<b>0942 Special Deposit Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$463	\$463
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>\$463</b>	<b>\$463</b>
<b>3042 Victims of Corporate Fraud Compensation Fund</b>			
APPROPRIATIONS			
Corporations Code section 2280	\$1,530	\$1,530	\$1,530
<b>Totals Available</b>	<b>\$1,530</b>	<b>\$1,530</b>	<b>\$1,530</b>
Unexpended balance, estimated savings	-849	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$681</b>	<b>\$1,530</b>	<b>\$1,530</b>
<b>3244 Political Disclosure, Accountability, Transparency, and Access Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,841	\$83	\$1,090
Allocation for Employee Compensation	-	3	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	1	-

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**0890 Secretary of State - Continued**

<b>Totals Available</b>	<b>\$1,841</b>	<b>\$89</b>	<b>\$1,090</b>
Unexpended balance, estimated savings	-90	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,751</b>	<b>\$89</b>	<b>\$1,090</b>
<b>3254 Business Programs Modernization Fund</b>			
APPROPRIATIONS			
001 Budget Act Appropriation	\$2,000	\$2,400	\$3,510
<b>Totals Available</b>	<b>\$2,000</b>	<b>\$2,400</b>	<b>\$3,510</b>
Unexpended balance, estimated savings	-447	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,553</b>	<b>\$2,400</b>	<b>\$3,510</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$94,452</b>	<b>\$101,668</b>	<b>\$131,973</b>
<b>2 LOCAL ASSISTANCE</b>			
	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>0001 General Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$134,348
As amended by Chapter 180, Statutes of 2017	-	250	-
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>\$250</b>	<b>\$134,348</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$51,000	\$3,128	\$3,128
<b>Totals Available</b>	<b>\$51,000</b>	<b>\$3,128</b>	<b>\$3,128</b>
Unexpended balance, estimated savings	-15,479	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$35,521</b>	<b>\$3,128</b>	<b>\$3,128</b>
<b>Total Expenditures, All Funds, (Local Assistance)</b>	<b>\$35,521</b>	<b>\$3,378</b>	<b>\$137,476</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$129,973</b>	<b>\$105,046</b>	<b>\$269,449</b>

**FUND CONDITION STATEMENTS**

	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>0228 Secretary of States Business Fees Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$1,001	\$999	\$1,000
Prior Year Adjustments	844	-	-
Adjusted Beginning Balance	\$1,845	\$999	\$1,000
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4120800 Corporation Fees - Domestic Corporations	10,225	9,500	9,600
4121000 Corporation Fees - Foreign Corporations	1,214	1,030	1,172
4122800 Filing Financing Statements	2,271	2,000	2,128
4125800 Notary Public License Fees	990	860	967
4129200 Other Regulatory Fees	10,075	9,000	9,102
4145500 Secretary of State - Fees	31,782	29,000	29,100
4163000 Investment Income - Surplus Money Investments	135	73	125
4171000 Cost Recoveries - Delinquent Receivables	24	24	26
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	6	-	-
4172500 Miscellaneous Revenue	21,363	20,000	20,300
Transfers and Other Adjustments			
Revenue Transfer from the Business Fees Fund (0228) to the General Fund (0001) Per Government Code Section 12176.	-29,199	-17,638	-2,396
Total Revenues, Transfers, and Other Adjustments	\$48,886	\$53,849	\$70,124
Total Resources	\$50,731	\$54,848	\$71,124
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			

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**0890 Secretary of State - Continued**

Expenditures:			
0890 Secretary of State (State Operations)	47,255	51,442	67,388
8880 Financial Information System for California (State Operations)	70	63	5
9892 Supplemental Pension Payments (State Operations)	-	-	354
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	2,407	2,343	2,377
Total Expenditures and Expenditure Adjustments	<u>\$49,732</u>	<u>\$53,848</u>	<u>\$70,124</u>
FUND BALANCE	<u>\$999</u>	<u>\$1,000</u>	<u>\$1,000</u>
Reserve for economic uncertainties	999	1,000	1,000
<b>3042 Victims of Corporate Fraud Compensation Fund <sup>S</sup></b>			
BEGINNING BALANCE	\$11,895	\$13,233	\$13,499
Prior Year Adjustments	157	-	-
Adjusted Beginning Balance	<u>\$12,052</u>	<u>\$13,233</u>	<u>\$13,499</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4145500 Secretary of State - Fees	1,799	1,800	1,800
4163000 Investment Income - Surplus Money Investments	94	44	44
4173500 Settlements and Judgments - Other	11	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$1,904</u>	<u>\$1,844</u>	<u>\$1,844</u>
Total Resources	<u>\$13,956</u>	<u>\$15,077</u>	<u>\$15,343</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0890 Secretary of State (State Operations)	681	1,530	1,530
8880 Financial Information System for California (State Operations)	1	2	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	41	46	77
Total Expenditures and Expenditure Adjustments	<u>\$723</u>	<u>\$1,578</u>	<u>\$1,607</u>
FUND BALANCE	<u>\$13,233</u>	<u>\$13,499</u>	<u>\$13,736</u>
Reserve for economic uncertainties	13,233	13,499	13,736
<b>3244 Political Disclosure, Accountability, Transparency, and Access Fund <sup>S</sup></b>			
BEGINNING BALANCE	\$1,929	\$812	\$1,125
Prior Year Adjustments	-1	-	-
Adjusted Beginning Balance	<u>\$1,928</u>	<u>\$812</u>	<u>\$1,125</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4145500 Secretary of State - Fees	545	365	460
4163000 Investment Income - Surplus Money Investments	16	2	2
4173000 Penalty Assessments - Other	80	40	40
Total Revenues, Transfers, and Other Adjustments	<u>\$641</u>	<u>\$407</u>	<u>\$502</u>
Total Resources	<u>\$2,569</u>	<u>\$1,219</u>	<u>\$1,627</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0890 Secretary of State (State Operations)	1,751	89	1,090
8880 Financial Information System for California (State Operations)	-	2	-
9892 Supplemental Pension Payments (State Operations)	-	-	1
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	6	3	171
Total Expenditures and Expenditure Adjustments	<u>\$1,757</u>	<u>\$94</u>	<u>\$1,262</u>
FUND BALANCE	<u>\$812</u>	<u>\$1,125</u>	<u>\$365</u>
Reserve for economic uncertainties	812	1,125	365
<b>3254 Business Programs Modernization Fund <sup>S</sup></b>			
BEGINNING BALANCE	\$3,397	\$3,639	\$2,935
Prior Year Adjustments	7	-	-
Adjusted Beginning Balance	<u>\$3,404</u>	<u>\$3,639</u>	<u>\$2,935</u>

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**0890 Secretary of State - Continued**

## REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS

## Revenues:

4145500 Secretary of State - Fees	1,799	1,800	1,800
4163000 Investment Income - Surplus Money Investments	29	10	10
Total Revenues, Transfers, and Other Adjustments	<u>\$1,828</u>	<u>\$1,810</u>	<u>\$1,810</u>
Total Resources	<u>\$5,232</u>	<u>\$5,449</u>	<u>\$4,745</u>

## EXPENDITURE AND EXPENDITURE ADJUSTMENTS

## Expenditures:

0890 Secretary of State (State Operations)	1,553	2,400	3,510
8880 Financial Information System for California (State Operations)	1	2	-
9892 Supplemental Pension Payments (State Operations)	-	-	6
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	39	112	168
Total Expenditures and Expenditure Adjustments	<u>\$1,593</u>	<u>\$2,514</u>	<u>\$3,684</u>
FUND BALANCE	<u>\$3,639</u>	<u>\$2,935</u>	<u>\$1,061</u>
Reserve for economic uncertainties	3,639	2,935	1,061

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	566.3	574.3	572.3	\$32,103	\$30,839	\$26,332
Budget Position Transparency	-	-80.1	-78.1	-	-3,370	2,143
<b>Salary and Other Adjustments</b>	-64.7	-	-	-1,737	1,479	1,479
<b>Workload and Administrative Adjustments</b>						
<b>Additional funding to support an Office of Elections Cybersecurity</b>						
Assoc Govtl Program Analyst	-	-	3.0	-	-	194
Elections Spec	-	-	1.0	-	-	71
Graphic Designer III	-	-	1.0	-	-	64
Info Officer II	-	-	1.0	-	-	80
Info Tech Spec III	-	-	1.0	-	-	101
<b>Address Confidentiality for Victims of Human Trafficking (SB 597)</b>						
Staff Svcs Mgr II (Supvry)	-	-	1.0	-	-	119
<b>Business Programs Division Filings Processing Times</b>						
Assoc Pers Analyst	-	-	1.0	-	-	65
Info Sys Techn	-	-	1.0	-	-	41
Overtime	-	-	-	-	-	73
Program Techn	-	-	21.0	-	-	733
Program Techn II	-	-	16.0	-	-	620
Program Techn III	-	-	1.0	-	-	43
Supvng Program Techn I	-	-	3.0	-	-	120
Supvng Program Techn II	-	-	3.0	-	-	129
Supvng Program Techn III	-	-	1.0	-	-	49
Temporary Help	-	-	-	-	-	248
<b>Cal-ACCESS Replacement Project</b>						
(Limited Term 06-30-2019)	-	-	7.0	-	-	539
<b>California Business Connect Project</b>						
Temporary Help	-	-	-	-	-	344
Various	-	-	-	-	-	721
<b>Cannabis Filings and Trademark Workload</b>						
Assoc Govtl Program Analyst	-	-	2.0	-	-	129

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**0890 Secretary of State - Continued**

Atty III	-	-	1.0	-	-	122
<b>Cyber Security Program Enhancement</b>						
Sys Software Spec III (Tech)	-	-	1.0	-	-	92
Various	-	-	-	-	-	81
<b>Help America Vote Act - VoteCal</b>						
Various	-	-	-	-	-	907
<b>Help America Vote Act Spending Plan</b>						
Various	-	-	-	-	-	726
<b>TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS</b>	-	-	66.0	\$-	\$-	\$6,411
<b>Totals, Adjustments</b>	<u>-64.7</u>	<u>-80.1</u>	<u>-12.1</u>	<u>\$-1,737</u>	<u>\$-1,891</u>	<u>\$10,033</u>
<b>TOTALS, SALARIES AND WAGES</b>	<u>501.6</u>	<u>494.2</u>	<u>560.2</u>	<u>\$30,366</u>	<u>\$28,948</u>	<u>\$36,365</u>

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## 0911 Citizens Redistricting Initiative

The "Voters First Act" (Proposition 11) and the "Voters First Act for Congress" (Proposition 20) reformed the redistricting process and established an independent 14-member Citizens Redistricting Commission to draw the decennial district boundaries for California's Congressional delegation, state Senate, state Assembly, and Board of Equalization.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0730	Support	0.5	0.5	0.5	\$102	\$97	\$97
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>0.5</b>	<b>0.5</b>	<b>0.5</b>	<b>\$102</b>	<b>\$97</b>	<b>\$97</b>
<b>FUNDING</b>		<b>2016-17*</b>			<b>2017-18*</b>		
0001	General Fund			\$102			\$97
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$102</b>		<b>\$97</b>	<b>\$97</b>

### LEGAL CITATIONS AND AUTHORITY

Government Code Title 2, Division 1, Chapter 3.2, Sections 8251 to 8253.6, as added by Proposition 11, with amendments as a result of Proposition 20.

### DETAILED BUDGET ADJUSTMENTS

		2017-18*			2018-19*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Other Workload Budget Adjustments</b>							
	• Expenditure by Category Redistribution	\$2	\$-	-	\$2	\$-	-
	• Salary Adjustments	2	-	-	2	-	-
	• Benefit Adjustments	1	-	-	1	-	-
	• Retirement Rate Adjustments	1	-	-	1	-	-
	• Budget Position Transparency	-2	-	-	-2	-	-
	<b>Totals, Other Workload Budget Adjustments</b>	<b>\$4</b>	<b>\$-</b>	<b>-</b>	<b>\$4</b>	<b>\$-</b>	<b>-</b>
	<b>Totals, Workload Budget Adjustments</b>	<b>\$4</b>	<b>\$-</b>	<b>-</b>	<b>\$4</b>	<b>\$-</b>	<b>-</b>
	<b>Totals, Budget Adjustments</b>	<b>\$4</b>	<b>\$-</b>	<b>-</b>	<b>\$4</b>	<b>\$-</b>	<b>-</b>

### PROGRAM DESCRIPTIONS

0730 - The Citizens Redistricting Commission shall draw California's new congressional and state Assembly, Senate, and Board of Equalization districts following the completion of the decennial census. The districts shall be based on strict, non-partisan rules delineated in California's Constitution that are designed to ensure fair representation and shall be defended by the commission as the sole legal defender.

### DETAILED EXPENDITURES BY PROGRAM

		2016-17*	2017-18*	2018-19*
<b>PROGRAM REQUIREMENTS</b>				
<b>0730</b>	<b>SUPPORT</b>			
	<b>State Operations:</b>			
0001	General Fund	\$102	\$97	\$97
	<b>Totals, State Operations</b>	<b>\$102</b>	<b>\$97</b>	<b>\$97</b>
	<b>TOTALS, EXPENDITURES</b>			

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**0911 Citizens Redistricting Initiative - Continued**

State Operations	102	97	97
<b>Totals, Expenditures</b>	<b>\$102</b>	<b>\$97</b>	<b>\$97</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	0.5	0.5	0.5	\$41	\$41	\$41
Budget Position Transparency	-	-	-	-	-2	-2
Other Adjustments	-	-	-	-1	2	2
<b>Net Totals, Salaries and Wages</b>	<b>0.5</b>	<b>0.5</b>	<b>0.5</b>	<b>\$40</b>	<b>\$41</b>	<b>\$41</b>
Staff Benefits	-	-	-	13	25	25
<b>Totals, Personal Services</b>	<b>0.5</b>	<b>0.5</b>	<b>0.5</b>	<b>\$53</b>	<b>\$66</b>	<b>\$66</b>
OPERATING EXPENSES AND EQUIPMENT				\$49	\$31	\$31
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$102</b>	<b>\$97</b>	<b>\$97</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$107	\$93	\$97
Allocation for Employee Compensation	-	2	-
Allocation for Staff Benefits	-	1	-
Budget Position Transparency	-	-2	-
Expenditure by Category Redistribution	-	2	-
Section 3.60 Pension Contribution Adjustment	-	1	-
<b>Totals Available</b>	<b>\$107</b>	<b>\$97</b>	<b>\$97</b>
Unexpended balance, estimated savings	-5	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$102</b>	<b>\$97</b>	<b>\$97</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$102</b>	<b>\$97</b>	<b>\$97</b>

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	0.5	0.5	0.5	\$41	\$41	\$41
Budget Position Transparency	-	-	-	-	-2	-2
<b>Salary and Other Adjustments</b>	-	-	-	-1	2	2
<b>Totals, Adjustments</b>	-	-	-	<b>\$-1</b>	<b>\$-</b>	<b>\$-</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>0.5</b>	<b>0.5</b>	<b>0.5</b>	<b>\$40</b>	<b>\$41</b>	<b>\$41</b>

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## 0950 State Treasurer

The State Treasurer, a constitutionally established office, provides banking services for state government with goals to minimize interest and service costs and to maximize yield on investments. The Treasurer is responsible for the custody of all monies and securities belonging to or held in trust by the state; investment of temporarily idle state monies; administration of the sale of state bonds, their redemption and interest payments; and payment of warrants or checks drawn by the State Controller and other state agencies.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0740010	Investment Services	16.6	17.0	17.0	\$3,855	\$3,768	\$3,774
0740019	Centralized Treasury & Securities Management	56.0	62.5	63.0	12,848	14,606	14,628
0740028	Public Finance	54.9	53.3	53.1	15,701	15,370	16,879
0740035	Administration	-	87.8	87.9	-	6,173	6,559
9900100	Administration	98.2	-	-	16,163	-	-
9900200	Administration - Distributed	-	-	-	-11,433	-	-
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>225.7</b>	<b>220.6</b>	<b>221.0</b>	<b>\$37,134</b>	<b>\$39,917</b>	<b>\$41,840</b>
<b>FUNDING</b>		<b>2016-17*</b>			<b>2017-18*</b>	<b>2018-19*</b>	
0001	General Fund	\$3,143			\$5,433	\$5,708	
0995	Reimbursements	30,489			30,716	33,003	
6084	No Place Like Home Fund	439			761	-	
9740	Central Service Cost Recovery Fund	3,063			3,007	3,129	
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$37,134</b>			<b>\$39,917</b>	<b>\$41,840</b>	

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

California Constitution Article XVI, Sections 1.5 and 7; and Government Code Sections 12300-12333, 16300-16600, 53661.

### MAJOR PROGRAM CHANGES

- Infrastructure Support and Sustainability - The Budget includes one-time funding of \$450,000 General Fund in 2018-19 to align the department's information technology infrastructure budget with its recent growth in resources.
- Debt Management System II Project - The Budget includes \$6,130,000 and 5.8 positions to continue modernizing its existing system to allow the department to more efficiently manage the state's debt.

### DETAILED BUDGET ADJUSTMENTS

		2017-18*			2018-19*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Workload Budget Change Proposals</b>							
	• Infrastructure Support and Sustainability	\$-	\$-	-	\$450	\$-	-
	• Debt Management System II Project	-	-2,322	-	-	6,130	5.8
<b>Totals, Workload Budget Change Proposals</b>		<b>\$-</b>	<b>\$-2,322</b>	<b>-</b>	<b>\$450</b>	<b>\$6,130</b>	<b>5.8</b>
<b>Other Workload Budget Adjustments</b>							
	• Allocation for Other Post-Employment Benefits	-	2	-	-	2	-
	• Expenditure by Category Redistribution	128	-	-	-305	-	-
	• Budget Position Transparency	-128	-	-12.6	305	-	-11.5
	• Salary Adjustments	111	672	-	115	662	-

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0950 State Treasurer - Continued**

• Benefit Adjustments	47	275	-	50	296	-
• Retirement Rate Adjustments	48	277	-	48	277	-
• Lease Revenue Debt Service Adjustment	-28	-	-	-	-	-
• Miscellaneous Baseline Adjustments	-	261	-	-188	188	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$178</b>	<b>\$1,487</b>	<b>-12.6</b>	<b>\$25</b>	<b>\$1,425</b>	<b>-11.5</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$178</b>	<b>\$-835</b>	<b>-12.6</b>	<b>\$475</b>	<b>\$7,555</b>	<b>-5.7</b>
<b>Totals, Budget Adjustments</b>	<b>\$178</b>	<b>\$-835</b>	<b>-12.6</b>	<b>\$475</b>	<b>\$7,555</b>	<b>-5.7</b>

**PROGRAM DESCRIPTIONS****0740010 - INVESTMENT SERVICES**

The Investment Division is responsible for investment of state monies from the date of receipt through the date of redemption. During the 2016-17 fiscal year, this Division handled 7,697 security investment transactions totaling \$339.2 billion. The Pooled Money Investment Board (PMIB) program accounted for 5,926 of these transactions totaling \$295 billion; time deposits accounted for 1,395 transactions totaling \$34.2 billion. The remaining \$10 billion is invested on behalf of the state's special funds, such as those associated with the California Housing Finance Agency, the Department of Fish and Wildlife, the state's retirement system, etc. The Division also administers the Local Agency Investment Fund (LAIF), a voluntary investment program created to offer California local agencies greater access to the financial markets through the PMIB program. In the 2016-17 fiscal year, 2,439 local agencies participated in LAIF, with deposits averaging \$21.8 billion for the fiscal year.

**0740019 - CENTRALIZED TREASURY AND SECURITIES MANAGEMENT**

In 1949, the California Legislature amended Government Code Section 16305 to create the Centralized Treasury System (CTS) thereby requiring agencies of the State to deposit their money in trust with the Treasurer. The legislation also requires the Treasurer to safeguard the money and make safe and prudent investments. In 1955, the Legislature created the PMIB, giving the Board the responsibility to designate the amount of money available for the investment in securities, bank deposits, and loans to the General Fund.

The Centralized Treasury and Securities Management Division (CTSMD) oversees all banking aspects of the CTS. The goal of the CTSMD is to maximize the earning of interest consistent with safe and prudent treasury management, and to ensure that the depository banks provide the state with proper and adequate security for the deposit of state monies. The Treasurer maintains demand bank accounts with eight banks for the purpose of providing necessary statewide depository coverage for the remittance of funds collected by the various state agencies.

The CTSMD 1) monitors the cash flow of all state funds, forecasts cash balances, revenue, expenditures, and the amounts available for daily investments, 2) ensures accurate and timely agency deposits, 3) administers and executes the wire transfer of funds, 4) reconciles state accounts with depository banks and redeems all state items submitted by presenting banks for payments, 5) executes the clearance and income collection for state investments (excluding PERS and STRS) and securities pledged to the state, 6) fulfills the clearance and settlement of securities pledged to the state for the time, demand, and other state agency programs, and 7) is responsible for the safekeeping of securities and other personal property owned by or pledged to the state.

**0740028 - PUBLIC FINANCE**

The Public Finance Division is responsible for selling State of California general obligation bonds, commercial paper notes, revenue anticipation notes, lease revenue bonds, revenue bonds, and any other indebtedness for all state agencies. The Division provides debt administration services for most state bonds and is responsible for disseminating information to bondholders through the Investor Relations Program.

The Division also assures compliance with federal tax laws applicable to state debt so there is no adverse consequences to bondholders or increased borrowing costs to the state. The post-issuance tax compliance for various state debt includes monitoring the use of bond proceeds, managing rebate and yield restriction compliance, coordinating necessary financial disclosure, and ensuring proper record keeping.

**0740035 - ADMINISTRATION AND INFORMATION SERVICES**

The Administration Division, Executive Office, and the Information Services Division provide executive direction and support services to programs in the State Treasurer's Office. Services include budgeting, personnel, accounting, information systems, business services, technical support, and production operations.

**9900 - ADMINISTRATION AND INFORMATION SERVICES**

As of the 2017-18 Governor's Budget, this program has been consolidated with the Administration and Information Services Program (0740035).

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0950 State Treasurer - Continued****DETAILED EXPENDITURES BY PROGRAM**

		<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0740</b>	<b>STATE TREASURER'S OFFICE</b>			
	<b>State Operations:</b>			
0001	General Fund	\$2,652	\$5,433	\$5,708
0995	Reimbursements	26,250	30,716	33,003
6084	No Place Like Home Fund	439	761	-
9740	Central Service Cost Recovery Fund	3,063	3,007	3,129
	<b>Totals, State Operations</b>	<b>\$32,404</b>	<b>\$39,917</b>	<b>\$41,840</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0740010</b>	<b>Investment Services</b>			
	<b>State Operations:</b>			
0001	General Fund	\$253	\$468	\$453
0995	Reimbursements	3,103	2,978	2,983
9740	Central Service Cost Recovery Fund	499	322	338
	<b>Totals, State Operations</b>	<b>\$3,855</b>	<b>\$3,768</b>	<b>\$3,774</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0740019</b>	<b>Centralized Treasury &amp; Securities Management</b>			
	<b>State Operations:</b>			
0001	General Fund	\$1,275	\$2,396	\$2,352
0995	Reimbursements	10,319	10,581	10,598
9740	Central Service Cost Recovery Fund	1,254	1,629	1,678
	<b>Totals, State Operations</b>	<b>\$12,848</b>	<b>\$14,606</b>	<b>\$14,628</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0740028</b>	<b>Public Finance</b>			
	<b>State Operations:</b>			
0001	General Fund	\$1,124	\$1,441	\$1,389
0995	Reimbursements	12,828	12,112	14,377
6084	No Place Like Home Fund	439	761	-
9740	Central Service Cost Recovery Fund	1,310	1,056	1,113
	<b>Totals, State Operations</b>	<b>\$15,701</b>	<b>\$15,370</b>	<b>\$16,879</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>0740035</b>	<b>Administration</b>			
	<b>State Operations:</b>			
0001	General Fund	\$-	\$1,128	\$1,514
0995	Reimbursements	-	5,045	5,045
	<b>Totals, State Operations</b>	<b>\$-</b>	<b>\$6,173</b>	<b>\$6,559</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>9900</b>	<b>ADMINISTRATION - TOTAL</b>			
	<b>State Operations:</b>			
0001	General Fund	\$491	\$-	\$-
0995	Reimbursements	4,239	-	-
	<b>Totals, State Operations</b>	<b>\$4,730</b>	<b>\$-</b>	<b>\$-</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>9900100</b>	<b>Administration</b>			
	<b>State Operations:</b>			
0001	General Fund	\$11,924	\$-	\$-
0995	Reimbursements	4,239	-	-

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**0950 State Treasurer - Continued**

	<b>Totals, State Operations</b>	<b>\$16,163</b>	<b>\$-</b>	<b>\$-</b>
	<b>SUBPROGRAM REQUIREMENTS</b>			
<b>9900200</b>	<b>Administration - Distributed</b>			
	<b>State Operations:</b>			
0001	General Fund	-\$11,433	\$-	\$-
	<b>Totals, State Operations</b>	<b>-\$11,433</b>	<b>\$-</b>	<b>\$-</b>
	<b>TOTALS, EXPENDITURES</b>			
	State Operations	37,134	39,917	41,840
	<b>Totals, Expenditures</b>	<b>\$37,134</b>	<b>\$39,917</b>	<b>\$41,840</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	232.7	233.2	226.7	\$17,547	\$17,360	\$16,810
Budget Position Transparency	-	-12.6	-11.5	-	-128	305
Other Adjustments	-7.0	-	5.8	-148	783	1,334
<b>Net Totals, Salaries and Wages</b>	<b>225.7</b>	<b>220.6</b>	<b>221.0</b>	<b>\$17,399</b>	<b>\$18,015</b>	<b>\$18,449</b>
Staff Benefits	-	-	-	8,458	11,436	11,352
<b>Totals, Personal Services</b>	<b>225.7</b>	<b>220.6</b>	<b>221.0</b>	<b>\$25,857</b>	<b>\$29,451</b>	<b>\$29,801</b>
OPERATING EXPENSES AND EQUIPMENT				\$11,277	\$10,466	\$12,039
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$37,134</b>	<b>\$39,917</b>	<b>\$41,840</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,846	\$5,255	\$5,708
Allocation for Employee Compensation	-	111	-
Allocation for Staff Benefits	-	47	-
Budget Position Transparency	-	-128	-
Expenditure by Category Redistribution	-	128	-
Lease Revenue and Tenant Adjustments	-	-28	-
Section 3.60 Pension Contribution Adjustment	-	48	-
<b>Totals Available</b>	<b>\$4,846</b>	<b>\$5,433</b>	<b>\$5,708</b>
Unexpended balance, estimated savings	-1,703	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$3,143</b>	<b>\$5,433</b>	<b>\$5,708</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$30,489	\$30,716	\$33,003
<b>TOTALS, EXPENDITURES</b>	<b>\$30,489</b>	<b>\$30,716</b>	<b>\$33,003</b>
<b>6084 No Place Like Home Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$500	-
Welfare and Institutions Code section 5849.4(a)	700	-	-
Prior Year Balances Available:			
Welfare and Institutions Code section 5849.4(a)	-	261	-

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**0950 State Treasurer - Continued**

<b>Totals Available</b>	<b>\$700</b>	<b>\$761</b>	<b>-</b>
Balance available in subsequent years	-261	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$439</b>	<b>\$761</b>	<b>-</b>
<b>9740 Central Service Cost Recovery Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,063	\$2,865	\$3,129
Allocation for Employee Compensation	-	78	-
Allocation for Staff Benefits	-	31	-
Section 3.60 Pension Contribution Adjustment	-	33	-
<b>TOTALS, EXPENDITURES</b>	<b>\$3,063</b>	<b>\$3,007</b>	<b>\$3,129</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$37,134</b>	<b>\$39,917</b>	<b>\$41,840</b>

**FUND CONDITION STATEMENTS**

	2016-17*	2017-18*	2018-19*
<b>0467 State Notes Expense Account<sup>s</sup></b>			
BEGINNING BALANCE	\$250	\$250	\$250
Adjusted Beginning Balance	\$250	\$250	\$250
Total Resources	\$250	\$250	\$250
FUND BALANCE	\$250	\$250	\$250
Reserve for economic uncertainties	250	250	250
<b>3059 Fiscal Recovery Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$170,189	171,531	-
Prior Year Adjustments	1	-	-
Adjusted Beginning Balance	\$170,190	\$171,531	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	1,341	400	-
Transfers and Other Adjustments			
Revenue Transfer from Fiscal Recovery Fund (3059) to General Fund (0001) per Government Code Section 99010	-	-171,931	-
Total Revenues, Transfers, and Other Adjustments	\$1,341	-\$171,531	-
Total Resources	\$171,531	-	-
FUND BALANCE	\$171,531	-	-
Reserve for economic uncertainties	171,531	-	-

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	232.7	233.2	226.7	\$17,547	\$17,360	\$16,810
Budget Position Transparency	-	-12.6	-11.5	-	-128	305
<b>Salary and Other Adjustments</b>	-7.0	-	-	-148	783	777
<b>Workload and Administrative Adjustments</b>						
<b>Debt Management System II Project</b>						
Dp Mgr III	-	-	0.8	-	-	85
Sr Info Sys Analyst (Spec)	-	-	1.0	-	-	87
Sr Programmer Analyst (Spec)	-	-	1.0	-	-	96
Staff Info Sys Analyst (Spec)	-	-	1.0	-	-	96
Sys Software Spec II (Tech)	-	-	1.0	-	-	95

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**0950 State Treasurer - Continued**

Treasury Program Mgr II	-	-	1.0	-	-	98
<b>TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS</b>	-	-	<b>5.8</b>	<b>\$-</b>	<b>\$-</b>	<b>\$557</b>
<b>Totals, Adjustments</b>	<b>-7.0</b>	<b>-12.6</b>	<b>-5.7</b>	<b>\$-148</b>	<b>\$655</b>	<b>\$1,639</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>225.7</b>	<b>220.6</b>	<b>221.0</b>	<b>\$17,399</b>	<b>\$18,015</b>	<b>\$18,449</b>

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## 0954 Scholarshare Investment Board

The ScholarShare Investment Board administers the Golden State ScholarShare College Savings Trust Program (ScholarShare), the Governor's Scholarship Programs (GSP), and the California Memorial Scholarship Program (CMS). ScholarShare is a way for families to save for higher education costs using a tax-advantaged 529 college savings plan. GSP provided scholarships to students who attained certain scores on state tests. CMS provides scholarships to surviving dependents of California residents killed in the terrorist attacks of September 11, 2001.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0780	Golden State Scholarshare Trust Program	9.3	9.0	9.0	\$1,794	\$2,659	\$2,665
0785	Governor's Scholarship Program	0.6	1.0	1.0	160	239	234
0790	California Memorial Scholarship Programs	-	-	-	35	-	-
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>9.9</b>	<b>10.0</b>	<b>10.0</b>	<b>\$1,989</b>	<b>\$2,898</b>	<b>\$2,899</b>

  

<b>FUNDING</b>		2016-17*		2017-18*	2018-19*
0001	General Fund	\$160		\$234	\$234
0564	Scholarshare Administrative Fund	1,794		2,664	2,665
3033	California Memorial Scholarship Fund	35		-	-
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$1,989</b>		<b>\$2,898</b>	<b>\$2,899</b>

### LEGAL CITATIONS AND AUTHORITY

#### PROGRAM AUTHORITY

0780-Golden State ScholarShare College Savings Trust Program:

Education Code Sections 69980 to 69994.

0785-Governor's Scholarship Programs:

Education Code Sections 69999.6 to 69999.8.

0790-California Memorial Scholarship Programs:

Education Code Sections 70010 to 70011.9, and Vehicle Code Section 5066.

### DETAILED BUDGET ADJUSTMENTS

		2017-18*			2018-19*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Other Workload Budget Adjustments</b>							
• Transfer Every Kid Counts Act Administration pursuant to Chapter 7, Statutes of 2018	\$-3,000	\$-	-	-	\$-	\$-	-
• Adjustment to Reflect Program Needs	-135	-	-	-	-135	-	-
• Salary Adjustments	2	27	-	-	2	27	-
• Benefit Adjustments	1	11	-	-	1	12	-
• Retirement Rate Adjustments	1	11	-	-	1	11	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-	27	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$-3,131</b>	<b>\$49</b>	<b>-</b>	<b>-</b>	<b>\$-131</b>	<b>\$77</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$-3,131</b>	<b>\$49</b>	<b>-</b>	<b>-</b>	<b>\$-131</b>	<b>\$77</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>	<b>\$-3,131</b>	<b>\$49</b>	<b>-</b>	<b>-</b>	<b>\$-131</b>	<b>\$77</b>	<b>-</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0954 Scholarshare Investment Board - Continued****PROGRAM DESCRIPTIONS****0780 - GOLDEN STATE SCHOLARSHARE COLLEGE SAVINGS TRUST PROGRAM**

The Golden State ScholarShare College Savings Trust Program is California's state-sponsored 529 college savings plan established to help families save for higher education. Earnings are tax-free when used for qualified higher education expenses (such as tuition, fees, supplies, books, and certain room and board expenses) at eligible educational institutions.

**0785 - GOVERNOR'S SCHOLARSHIP PROGRAMS**

The Governor's Scholarship Programs (GSP) rewarded public school students who demonstrated high academic achievement with scholarships. Students may have earned multiple \$1,000 Governor's Scholars Awards and a one-time \$2,500 Governor's Distinguished Mathematics and Science Scholars Award for scores attained on tests taken in 2000, 2001, and 2002. GSP became inoperative and was repealed after 2002. ScholarShare administers scholarship awards that were already earned.

**0790 - CALIFORNIA MEMORIAL SCHOLARSHIP PROGRAM**

The California Memorial Scholarship Program provides scholarships to surviving dependents of California residents killed as a result of the terrorist attacks of September 11, 2001.

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0780</b>	<b>GOLDEN STATE SCHOLARSHARE TRUST PROGRAM</b>			
	<b>State Operations:</b>			
0564	Scholarshare Administrative Fund	1,794	2,659	2,665
	<b>Totals, State Operations</b>	<b>\$1,794</b>	<b>\$2,659</b>	<b>\$2,665</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0785</b>	<b>GOVERNOR'S SCHOLARSHIP PROGRAM</b>			
	<b>State Operations:</b>			
0001	General Fund	\$160	\$234	\$234
0564	Scholarshare Administrative Fund	-	5	-
	<b>Totals, State Operations</b>	<b>\$160</b>	<b>\$239</b>	<b>\$234</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0790</b>	<b>CALIFORNIA MEMORIAL SCHOLARSHIP PROGRAMS</b>			
	<b>Local Assistance:</b>			
3033	California Memorial Scholarship Fund	\$35	\$-	\$-
	<b>Totals, Local Assistance</b>	<b>\$35</b>	<b>\$-</b>	<b>\$-</b>
	<b>TOTALS, EXPENDITURES</b>			
	State Operations	1,954	2,898	2,899
	Local Assistance	35	-	-
	<b>Totals, Expenditures</b>	<b>\$1,989</b>	<b>\$2,898</b>	<b>\$2,899</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	<u>2016-17</u>	<u>2017-18</u>	<u>2018-19</u>	<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
PERSONAL SERVICES						
Baseline Positions	10.0	10.0	10.0	\$735	\$731	\$732
Other Adjustments	-0.1	-	-	-	29	29
<b>Net Totals, Salaries and Wages</b>	<b>9.9</b>	<b>10.0</b>	<b>10.0</b>	<b>\$735</b>	<b>\$760</b>	<b>\$761</b>
Staff Benefits	-	-	-	329	353	354
<b>Totals, Personal Services</b>	<b>9.9</b>	<b>10.0</b>	<b>10.0</b>	<b>\$1,064</b>	<b>\$1,113</b>	<b>\$1,115</b>

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**0954 Scholarshare Investment Board - Continued**

OPERATING EXPENSES AND EQUIPMENT	\$890	\$1,920	\$1,919
SPECIAL ITEMS OF EXPENSES	-	-135	-135
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$1,954</b>	<b>\$2,898</b>	<b>\$2,899</b>

2 Local Assistance	Expenditures		
	2016-17*	2017-18*	2018-19*
Grants and Subventions - Governmental	\$35	\$-	\$-
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$35</b>	<b>\$-</b>	<b>\$-</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$368	\$365	\$234
Allocation for Employee Compensation	-	2	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	1	-
<b>Totals Available</b>	<b>\$368</b>	<b>\$369</b>	<b>\$234</b>
Unexpended balance, estimated savings	-208	-135	-
<b>TOTALS, EXPENDITURES</b>	<b>\$160</b>	<b>\$234</b>	<b>\$234</b>
<b>0564 Scholarshare Administrative Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,628	\$2,615	\$2,665
Allocation for Employee Compensation	-	27	-
Allocation for Staff Benefits	-	11	-
Section 3.60 Pension Contribution Adjustment	-	11	-
<b>Totals Available</b>	<b>\$2,628</b>	<b>\$2,664</b>	<b>\$2,665</b>
Unexpended balance, estimated savings	-834	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,794</b>	<b>\$2,664</b>	<b>\$2,665</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$1,954</b>	<b>\$2,898</b>	<b>\$2,899</b>

2 LOCAL ASSISTANCE	2016-17*	2017-18*	2018-19*
<b>0001 General Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$3,000	-
<b>Totals Available</b>	<b>-</b>	<b>\$3,000</b>	<b>-</b>
Unexpended balance, estimated savings	-	-3,000	-
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>3033 California Memorial Scholarship Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$236	-	-
<b>Totals Available</b>	<b>\$236</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-201	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$35</b>	<b>-</b>	<b>-</b>
<b>Total Expenditures, All Funds, (Local Assistance)</b>	<b>\$35</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$1,989</b>	<b>\$2,898</b>	<b>\$2,899</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0954 Scholarshare Investment Board - Continued****FUND CONDITION STATEMENTS**

	2016-17*	2017-18*	2018-19*
<b>3033 California Memorial Scholarship Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$345	\$478	\$369
Adjusted Beginning Balance	\$345	\$478	\$369
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4142500 License Plate Fees - Personalized Plates	165	-	-
4163000 Investment Income - Surplus Money Investments	3	-	-
Transfers and Other Adjustments			
Revenue Transfer from California Memorial Scholarship Fund (3033) to the Antiterrorism Fund (3034) per Education Code Section 70010.7 (Ch. 38/2002)	-	-109	-369
Total Revenues, Transfers, and Other Adjustments	\$168	-\$109	-\$369
Total Resources	\$513	\$369	-
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0954 Scholarshare Investment Board (Local Assistance)	35	-	-
Total Expenditures and Expenditure Adjustments	\$35	-	-
FUND BALANCE	\$478	\$369	-
Reserve for economic uncertainties	478	369	-

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	10.0	10.0	10.0	\$735	\$731	\$732
<b>Salary and Other Adjustments</b>	-0.1	-	-	-	29	29
<b>Totals, Adjustments</b>	-0.1	-	-	\$-	\$29	\$29
<b>TOTALS, SALARIES AND WAGES</b>	9.9	10.0	10.0	\$735	\$760	\$761

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## 0956 California Debt and Investment Advisory Commission

The mission of the California Debt and Investment Advisory Commission is to support and improve the practice of public finance in California by providing responsive and reliable information, analysis, and training. The Commission assists California state and local governments to monitor, issue, and manage public debt and to safely and prudently invest public funds.

The Commission consists of nine members including the State Treasurer, who serves as chairperson; the Governor or, upon his designation, the Director of Finance; the State Controller; two local government finance officers appointed by the State Treasurer; two members of the Assembly appointed by the Speaker of the Assembly; and two members of the Senate appointed by the Senate Committee on Rules.

### 3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0800 California Debt and Investment Advisory Commission	17.2	16.8	16.8	\$3,178	\$3,812	\$3,804
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>17.2</b>	<b>16.8</b>	<b>16.8</b>	<b>\$3,178</b>	<b>\$3,812</b>	<b>\$3,804</b>
<b>FUNDING</b>				<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
0171 California Debt and Investment Advisory Commission Fund				\$3,114	\$3,632	\$3,624
0995 Reimbursements				64	180	180
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$3,178</b>	<b>\$3,812</b>	<b>\$3,804</b>

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Government Code Sections 8855-8859.

### DETAILED BUDGET ADJUSTMENTS

	2017-18*			2018-19*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Expenditure by Category Redistribution	\$-	\$273	-	\$-	\$237	-
• Salary Adjustments	-	62	-	-	62	-
• Benefit Adjustments	-	25	-	-	27	-
• Retirement Rate Adjustments	-	26	-	-	26	-
• Budget Position Transparency	-	-273	-2.2	-	-237	-2.2
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$113</b>	<b>-2.2</b>	<b>\$-</b>	<b>\$115</b>	<b>-2.2</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$113</b>	<b>-2.2</b>	<b>\$-</b>	<b>\$115</b>	<b>-2.2</b>
<b>Totals, Budget Adjustments</b>	<b>\$-</b>	<b>\$113</b>	<b>-2.2</b>	<b>\$-</b>	<b>\$115</b>	<b>-2.2</b>

### PROGRAM DESCRIPTIONS

#### 0800 - CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION

The Commission's primary objective is to enhance the knowledge of public officials with respect to the administration and issuance of public debt and the investment of public funds. The Commission achieves this objective by performing functions in three principal areas, including:

- Data Collection and Analysis - Serves as a clearinghouse of debt issued by California public entities and nonprofit student loan corporations.
- Continuing Education - Provides educational seminars, workshops, and conferences to public officials on municipal debt and public fund investment topics. In addition, the Commission provides technical assistance to public officials and taxpayers

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0956 California Debt and Investment Advisory Commission - Continued**

through direct interaction and public forums to disseminate relevant information.

- Policy Research - Undertakes original research on the issuance and administration of public debt and on the investment of public funds. Research results are published in the form of reports, issue briefs, or articles and are disseminated in printed and electronic forms.

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0800</b>	<b>CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION</b>			
	<b>State Operations:</b>			
0171	California Debt and Investment Advisory Commission Fund	\$3,114	\$3,632	\$3,624
0995	Reimbursements	64	180	180
	<b>Totals, State Operations</b>	<b>\$3,178</b>	<b>\$3,812</b>	<b>\$3,804</b>
	<b>TOTALS, EXPENDITURES</b>			
	State Operations	3,178	3,812	3,804
	<b>Totals, Expenditures</b>	<b>\$3,178</b>	<b>\$3,812</b>	<b>\$3,804</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	<u>2016-17</u>	<u>2017-18</u>	<u>2018-19</u>	<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
PERSONAL SERVICES						
Baseline Positions	18.0	19.0	19.0	\$1,242	\$1,473	\$1,473
Budget Position Transparency	-	-2.2	-2.2	-	-273	-237
Other Adjustments	-0.8	-	-	63	62	62
<b>Net Totals, Salaries and Wages</b>	<b>17.2</b>	<b>16.8</b>	<b>16.8</b>	<b>\$1,305</b>	<b>\$1,262</b>	<b>\$1,298</b>
Staff Benefits	-	-	-	617	709	711
<b>Totals, Personal Services</b>	<b>17.2</b>	<b>16.8</b>	<b>16.8</b>	<b>\$1,922</b>	<b>\$1,971</b>	<b>\$2,009</b>
OPERATING EXPENSES AND EQUIPMENT				\$1,256	\$1,841	\$1,795
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$3,178</b>	<b>\$3,812</b>	<b>\$3,804</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

<b>1 STATE OPERATIONS</b>	<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
<b>0171 California Debt and Investment Advisory Commission Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,212	\$3,519	\$3,624
Allocation for Employee Compensation	-	62	-
Allocation for Staff Benefits	-	25	-
Budget Position Transparency	-	-273	-
Expenditure by Category Redistribution	-	273	-
Section 3.60 Pension Contribution Adjustment	-	26	-
<b>Totals Available</b>	<b>\$3,212</b>	<b>\$3,632</b>	<b>\$3,624</b>
Unexpended balance, estimated savings	-98	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$3,114</b>	<b>\$3,632</b>	<b>\$3,624</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			

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**0956 California Debt and Investment Advisory Commission - Continued**

Reimbursements	\$64	\$180	\$180
<b>TOTALS, EXPENDITURES</b>	<b>\$64</b>	<b>\$180</b>	<b>\$180</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$3,178</b>	<b>\$3,812</b>	<b>\$3,804</b>

**FUND CONDITION STATEMENTS**

	2016-17*	2017-18*	2018-19*
<b>0171 California Debt and Investment Advisory Commission Fund <sup>S</sup></b>			
BEGINNING BALANCE	\$5,254	\$5,152	\$5,431
Prior Year Adjustments	-15	-	-
Adjusted Beginning Balance	\$5,239	\$5,152	\$5,431
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	3,186	4,100	4,150
4163000 Investment Income - Surplus Money Investments	35	34	36
Total Revenues, Transfers, and Other Adjustments	\$3,221	\$4,134	\$4,186
Total Resources	\$8,460	\$9,286	\$9,617
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0956 California Debt and Investment Advisory Commission (State Operations)	3,114	3,632	3,624
8880 Financial Information System for California (State Operations)	4	4	-
9892 Supplemental Pension Payments (State Operations)	-	-	31
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	190	219	267
Total Expenditures and Expenditure Adjustments	\$3,308	\$3,855	\$3,922
FUND BALANCE	\$5,152	\$5,431	\$5,695
Reserve for economic uncertainties	5,152	5,431	5,695

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	18.0	19.0	19.0	\$1,242	\$1,473	\$1,473
Budget Position Transparency	-	-2.2	-2.2	-	-273	-237
<b>Salary and Other Adjustments</b>	-0.8	-	-	63	62	62
<b>Totals, Adjustments</b>	<b>-0.8</b>	<b>-2.2</b>	<b>-2.2</b>	<b>\$63</b>	<b>\$-211</b>	<b>\$-175</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>17.2</b>	<b>16.8</b>	<b>16.8</b>	<b>\$1,305</b>	<b>\$1,262</b>	<b>\$1,298</b>

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## 0959 California Debt Limit Allocation Committee

The California Debt Limit Allocation Committee's mission is to allocate tax-exempt, private activity bond authority for the State of California. Private activity bonds may be used by the private sector for projects and programs that provide a public benefit, such as the creation of affordable housing.

The federal government limits the total amount of tax-exempt private activity bond authority that can be issued on an annual basis. Except for the Qualified Public Educational Facility Bond Program, the bond authority limit (debt limit) is calculated by multiplying the state population by \$100. California's limit totaled over \$3.92 billion in 2017. (California's limit for the Qualified Public Educational Facility Bond Program is calculated separately by multiplying the state population by \$10, and totaled \$392 million for 2017.)

The Committee's allocation of tax-exempt bond authority results in the issuance of bonds by cities, counties, joint powers authorities and state agencies. The bonds are purchased and used by the private sector and are not an obligation of the state or federal government.

The Committee administers 10 programs that are funded through the allocation and issuance of tax-exempt private activity bonds, and is responsible for reallocation of Qualified Energy Conservation Bond (QECB) authority, originally provided to qualified localities, but later waived back to the state. The QECB program was made available through the federal American Recovery and Reinvestment Act of 2009. It provides tax incentives and lower borrowing costs for local governments and private entities to promote job creation and economic recovery in areas particularly affected by employment decline. It also facilitates renewable energy conservation programs and projects throughout the state.

The Committee is funded on a fee-supported basis and is comprised of the State Treasurer (Chairperson), the Governor, or upon his designation, the Director of Finance, and the State Controller.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0810	California Debt Limit Allocation Committee	9.6	9.6	9.6	\$1,417	\$1,587	\$1,588
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>9.6</b>	<b>9.6</b>	<b>9.6</b>	<b>\$1,417</b>	<b>\$1,587</b>	<b>\$1,588</b>
<b>FUNDING</b>				<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>	
0169	California Debt Limit Allocation Committee Fund			\$1,417	\$1,587	\$1,588	
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$1,417</b>	<b>\$1,587</b>	<b>\$1,588</b>	

### LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Section 8869.80 et seq.

### DETAILED BUDGET ADJUSTMENTS

	2017-18*			2018-19*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Expenditure By Category Redistribution	\$-	\$-81	-	\$-	\$-110	-
• Budget Position Transparency	-	81	0.3	-	110	0.3
• Salary Adjustments	-	29	-	-	29	-
• Benefit Adjustments	-	12	-	-	13	-
• Retirement Rate Adjustments	-	12	-	-	12	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$53</b>	<b>0.3</b>	<b>\$-</b>	<b>\$54</b>	<b>0.3</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$53</b>	<b>0.3</b>	<b>\$-</b>	<b>\$54</b>	<b>0.3</b>
<b>Totals, Budget Adjustments</b>	<b>\$-</b>	<b>\$53</b>	<b>0.3</b>	<b>\$-</b>	<b>\$54</b>	<b>0.3</b>

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**0959 California Debt Limit Allocation Committee - Continued****PROGRAM DESCRIPTIONS****0810 - CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE**

To lower the cost of financing, specified entities can issue various bonds, including mortgage revenue bonds (MRBs) that support below-market interest rate mortgage loans; and mortgage credit certificates (MCCs) that reduce a homebuyer's federal tax liability. Below is a summary of each program:

**Qualified Residential Rental Project Program** - Tax-exempt housing revenue bonds lower the cost for developers of multifamily rental housing to acquire land and construct new housing or purchase and rehabilitate existing housing. The developers produce affordable rental housing for low-income households by reducing rental rates charged to these individuals and families. Projects that receive an award of bond authority have the right to apply for non-competitive

four-percent tax credits.

**Single-Family Housing Program** - Tax-exempt MRBs or MCCs to assist first-time homebuyers with purchasing homes. Homebuyers may purchase single-family homes, either freestanding or detached, condominiums, or townhouses. Program participants must meet income limits and must purchase a home that falls within the program's purchase price limitations.

**Home Improvement and Rehabilitation Bond Program** - MRBs or MCCs to assist homeowners with home improvement financing. Homeowners may improve single-family homes, either freestanding or detached, condominiums, or townhouses. Program participants must meet income limits, and in certain cases, must own a home that falls within the program's rehabilitation cost and age-of-home limitations.

**Extra Credit Home Purchase Program** - MRBs or MCCs to assist teachers, principals and other eligible school staff with purchasing homes.

**Industrial Development Bond Project Program** - Small-Issue industrial development bonds are tax-exempt private activity bonds issued to assist manufacturing facilities finance capital expenditures. These bonds offer interest rate savings to small and midsize manufacturers in contrast to conventional loans, and help manufacturers retain and create new jobs within their communities.

**Exempt Facility Program** - Tax-exempt private activity bonds issued to finance solid waste disposal and waste recycling facilities. Loan interest rate savings enable the project owners to charge lower customer rates, while assisting communities they serve to meet mandated requirements to protect and enhance the environment.

**Student Loan Program** - Tax-exempt private activity bonds issued for either financing direct loans to college students and their parents, or purchasing bundles of already-originated loans on the secondary market. When used for direct lending programs, tax-exempt bond allocation allows lenders to pass on interest rate savings to students via below-market interest rate loans.

**Beginning Farmer Program** - Tax-exempt private activity bonds issued to back below-market interest rate loans for agricultural land, construction improvements, breeder livestock, and equipment for farmers. Eligibility, permissible items, and loan limits are set by the United States Internal Revenue Code. Under the program, a conduit bond issuer applies to the Committee for an allocation of Beginning Farmer Bonds. If approved, the issuer brings together farmers, financial institutions, and investors to negotiate terms of a transaction.

**Qualified Public Educational Facility Bond Program** - Tax-exempt private activity bonds issued to finance the construction, renovation, and furnishing of primary and secondary school facilities.

**Qualified Energy Conservation Bond Program** - Tax-exempt private activity bonds or governmental purpose taxable bonds issued to finance a broad array of qualified conservation purposes such as capital expenditures, research facilities and research grant programs, mass commuting facilities, public education campaigns and demonstration projects. When issued as a governmental purpose taxable bond, QECBs provide the issuer with either a direct or tax credit interest subsidy.

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0810</b>	<b>CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE</b>			
	<b>State Operations:</b>			
0169	California Debt Limit Allocation Committee Fund	\$1,417	\$1,587	\$1,588
	<b>Totals, State Operations</b>	<b>\$1,417</b>	<b>\$1,587</b>	<b>\$1,588</b>
	<b>TOTALS, EXPENDITURES</b>			

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**0959 California Debt Limit Allocation Committee - Continued**

State Operations	1,417	1,587	1,588
<b>Totals, Expenditures</b>	<b>\$1,417</b>	<b>\$1,587</b>	<b>\$1,588</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	9.3	9.3	9.3	\$628	\$610	\$610
Budget Position Transparency	-	0.3	0.3	-	81	110
Other Adjustments	0.3	-	-	15	29	29
<b>Net Totals, Salaries and Wages</b>	<b>9.6</b>	<b>9.6</b>	<b>9.6</b>	<b>\$643</b>	<b>\$720</b>	<b>\$749</b>
Staff Benefits	-	-	-	331	344	345
<b>Totals, Personal Services</b>	<b>9.6</b>	<b>9.6</b>	<b>9.6</b>	<b>\$974</b>	<b>\$1,064</b>	<b>\$1,094</b>
OPERATING EXPENSES AND EQUIPMENT				\$443	\$523	\$494
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$1,417</b>	<b>\$1,587</b>	<b>\$1,588</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
<b>0169 California Debt Limit Allocation Committee Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,559	\$1,534	\$1,588
Allocation for Employee Compensation	-	29	-
Allocation for Staff Benefits	-	12	-
Budget Position Transparency	-	81	-
Expenditure By Category Redistribution	-	-81	-
Section 3.60 Pension Contribution Adjustment	-	12	-
<b>Totals Available</b>	<b>\$1,559</b>	<b>\$1,587</b>	<b>\$1,588</b>
Unexpended balance, estimated savings	-142	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,417</b>	<b>\$1,587</b>	<b>\$1,588</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$1,417</b>	<b>\$1,587</b>	<b>\$1,588</b>

**FUND CONDITION STATEMENTS**

	2016-17*	2017-18*	2018-19*
<b>0169 California Debt Limit Allocation Committee Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$5,467	\$7,387	\$7,718
Prior Year Adjustments	542	-	-
Adjusted Beginning Balance	\$6,009	\$7,387	\$7,718
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	2,789	2,000	2,100
4163000 Investment Income - Surplus Money Investments	46	46	46
Total Revenues, Transfers, and Other Adjustments	\$2,835	\$2,046	\$2,146
Total Resources	\$8,844	\$9,433	\$9,864
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.



**0959 California Debt Limit Allocation Committee - Continued**

0959 California Debt Limit Allocation Committee (State Operations)	1,417	1,587	1,588
8880 Financial Information System for California (State Operations)	1	2	-
9892 Supplemental Pension Payments (State Operations)	-	-	17
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	39	126	187
Total Expenditures and Expenditure Adjustments	<u>\$1,457</u>	<u>\$1,715</u>	<u>\$1,792</u>
FUND BALANCE	<u>\$7,387</u>	<u>\$7,718</u>	<u>\$8,072</u>
Reserve for economic uncertainties	7,387	7,718	8,072

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	9.3	9.3	9.3	\$628	\$610	\$610
Budget Position Transparency	-	0.3	0.3	-	81	110
<b>Salary and Other Adjustments</b>	0.3	-	-	15	29	29
<b>Totals, Adjustments</b>	<u>0.3</u>	<u>0.3</u>	<u>0.3</u>	<u>\$15</u>	<u>\$110</u>	<u>\$139</u>
<b>TOTALS, SALARIES AND WAGES</b>	<u>9.6</u>	<u>9.6</u>	<u>9.6</u>	<u>\$643</u>	<u>\$720</u>	<u>\$749</u>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0964 California Transportation Financing Authority

The California Transportation Financing Authority was established by Chapter 474, Statutes of 2009, to increase the construction of new capacity or improvements for the state transportation system in a manner that will help achieve the state's goals of reducing greenhouse gas, improving air quality, and conserving natural resources.

The Authority consists of seven members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, the Director of Transportation, the Executive Director of the California Transportation Commission, a local agency representative appointed by the Senate Committee on Rules, and a local agency representative appointed by the Speaker of the Assembly.

### 3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0820 California Transportation Financing Authority	-	-	-	\$-	\$-	\$-
<b>TOTALS, POSITIONS AND EXPENDITURES (ALL Programs)</b>	-	-	-	\$-	\$-	\$-

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Government Code, Division 3 (commencing with Section 64100) of Title 6.7.

### PROGRAM DESCRIPTIONS

#### 0820 - CALIFORNIA TRANSPORTATION FINANCING AUTHORITY

The Authority was established to assist transportation agencies in obtaining financing to develop transportation projects. To meet this objective, statutes allow the Authority to issue, or to approve specified project sponsors to issue revenue bonds to fund transportation projects backed in whole or in part, by various revenue streams of transportation funds (including toll revenues). Statutes provide the authority to approve the imposition and collection of tolls on proposed transportation projects under certain conditions. The planning, programming, and allocation of transportation projects are performed by the California Transportation Commission and the California Department of Transportation, as appropriate.

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0965 California Industrial Development Financing Advisory Commission

The California Industrial Development Financing Advisory Commission creates employment opportunities and supports local economic development by approving local entities' issuance of industrial development bonds. These bonds provide manufacturers with a low-cost financing option to build, expand, or equip their facilities in California. The Commission independently reviews bond applications to ensure compliance with federal tax law and state law, provides technical assistance to bond issuers, and approves the bond sales by local authorities.

The State Treasurer serves as chairperson of the Commission. The other members are the Director of the Department of Finance, the State Controller, the Director of the Governor's Office of Business and Economic Development, and the Commissioner of the Department of Business Oversight.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0830	California Industrial Development Financing Advisory Commission	-	1.0	1.0	\$37	\$40	\$40
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>-</b>	<b>1.0</b>	<b>1.0</b>	<b>\$37</b>	<b>\$40</b>	<b>\$40</b>
<b>FUNDING</b>		<b>2016-17*</b>			<b>2017-18*</b>		
0215	Industrial Development Fund		\$37		\$40		\$40
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>			<b>\$37</b>		<b>\$40</b>		<b>\$40</b>

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Government Code Sections 91500 to 91574, Insurance Code Section 1192.

### PROGRAM DESCRIPTIONS

#### 0830 - CALIFORNIA INDUSTRIAL DEVELOPMENT FINANCING ADVISORY COMMISSION

The core program area is the Industrial Development Bond Program, under which the Commission serves as the mandatory approval agency for the local bond issuers. Once potential borrowers (manufacturers and processing companies) apply through their local government agency for low cost tax-exempt financing, the Commission carefully reviews the public benefits generated by the proposed project, particularly those benefits associated with job creation, and determines whether these benefits will significantly outweigh any detrimental public effects. Eligible capital expenditures include the acquisition of land, building construction, building renovation, and the purchase of machinery and equipment. Bond proceeds also can be used to cover the cost of architects, engineers, attorneys, permits, and the cost of bond issuance. The local issuer can be a city, county, economic development authority, or a joint power authority.

### DETAILED EXPENDITURES BY PROGRAM

		2016-17*	2017-18*	2018-19*
<b>PROGRAM REQUIREMENTS</b>				
<b>0830</b>	<b>CALIFORNIA INDUSTRIAL DEVELOPMENT FINANCING ADVISORY COMMISSION</b>			
	<b>State Operations:</b>			
0215	Industrial Development Fund	\$37	\$40	\$40
	<b>Totals, State Operations</b>	<b>\$37</b>	<b>\$40</b>	<b>\$40</b>
<b>TOTALS, EXPENDITURES</b>				
	State Operations	37	40	40
	<b>Totals, Expenditures</b>	<b>\$37</b>	<b>\$40</b>	<b>\$40</b>

### EXPENDITURES BY CATEGORY

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0965 California Industrial Development Financing Advisory Commission - Continued

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	1.0	1.0	1.0	\$35	\$-	\$-
Other Adjustments	-1.0	-	-	-35	-	-
<b>Net Totals, Salaries and Wages</b>	<b>-</b>	<b>1.0</b>	<b>1.0</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
Staff Benefits	-	-	-	-	-	-
<b>Totals, Personal Services</b>	<b>-</b>	<b>1.0</b>	<b>1.0</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
OPERATING EXPENSES AND EQUIPMENT				\$37	\$40	\$40
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$37</b>	<b>\$40</b>	<b>\$40</b>

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
0215 Industrial Development Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$75	\$40	\$40
<b>Totals Available</b>	<b>\$75</b>	<b>\$40</b>	<b>\$40</b>
Unexpended balance, estimated savings	-38	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$37</b>	<b>\$40</b>	<b>\$40</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$37</b>	<b>\$40</b>	<b>\$40</b>

### FUND CONDITION STATEMENTS

	2016-17*	2017-18*	2018-19*
0215 Industrial Development Fund <sup>S</sup>			
BEGINNING BALANCE	\$46	\$36	\$36
Adjusted Beginning Balance	\$46	\$36	\$36
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	27	40	40
Total Revenues, Transfers, and Other Adjustments	\$27	\$40	\$40
Total Resources	\$73	\$76	\$76
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0965 California Industrial Development Financing Advisory Commission (State Operations)	37	40	40
Total Expenditures and Expenditure Adjustments	\$37	\$40	\$40
FUND BALANCE	\$36	\$36	\$36
Reserve for economic uncertainties	36	36	36

### CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
Baseline Positions	1.0	1.0	1.0	\$35	\$-	\$-
Salary and Other Adjustments	-1.0	-	-	-35	-	-
<b>Totals, Adjustments</b>	<b>-1.0</b>	<b>-</b>	<b>-</b>	<b>\$-35</b>	<b>\$-</b>	<b>\$-</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>-</b>	<b>1.0</b>	<b>1.0</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0965 California Industrial Development Financing Advisory Commission -  
Continued**

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## 0968 California Tax Credit Allocation Committee

The mission of the California Tax Credit Allocation Committee is to fairly allocate federal and state tax credits to create and maintain safe, quality affordable rental housing for low-income households in California. The Committee does this by forming partnerships with developers, investors and public entities.

The Committee assists development of rental housing projects for low-income families and monitors these projects for years after they are placed in service to ensure compliance with income and rent restrictions related to the tax credit programs of the Committee. Coordinating with state and local housing fund providers, and with private investors, the Committee helps to create and maintain a supply of quality, affordable housing.

The Committee consists of seven members, including three voting members and four advisors. The voting members are the Treasurer (Chairperson of the Committee), the Governor (who may choose the Director of Finance as his or her representative), and the State Controller. The non-voting (advisory) members are the Director of Housing and Community Development, the Executive Director of the California Housing Finance Agency, and two local government representatives. One local representative is associated with a city government and appointed by the Speaker of the Assembly. The other is a county government representative appointed by the Senate Rules Committee.

### 3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0840 California Tax Credit Allocation Committee	41.7	43.1	43.1	\$6,899	\$8,436	\$8,432
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>41.7</b>	<b>43.1</b>	<b>43.1</b>	<b>\$6,899</b>	<b>\$8,436</b>	<b>\$8,432</b>
<b>FUNDING</b>				<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account				\$4,317	\$5,151	\$5,142
0457 Tax Credit Allocation Fee Account				2,442	3,175	3,180
0995 Reimbursements				140	110	110
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$6,899</b>	<b>\$8,436</b>	<b>\$8,432</b>

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Internal Revenue Code Section 42; California Health and Safety Code Sections 50199.4 through 50199.22; and California Revenue and Taxation Code Sections 12205, 12206, 17057.5, 17058, 23610.4, and 23610.5; Federal Tax Reform Act of 1986, Section 252 of Public Law No. 99-514 (October 22, 1986); Chapter 658, California Statutes of 1987, as amended; Chapter 1138, California Statutes of 1987, as amended; Internal Revenue Code, Title 26, Subtitle A, Chapter 1, Subchapter X, Section 1400E et seq., as amended.

### DETAILED BUDGET ADJUSTMENTS

	2017-18*			2018-19*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Expenditure By Category Redistribution	\$-	\$80	-	\$-	\$-46	-
• Salary Adjustments	-	126	-	-	126	-
• Benefit Adjustments	-	53	-	-	59	-
• Retirement Rate Adjustments	-	53	-	-	53	-
• Budget Position Transparency	-	-80	-2.8	-	46	-2.8
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$232</b>	<b>-2.8</b>	<b>\$-</b>	<b>\$238</b>	<b>-2.8</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$232</b>	<b>-2.8</b>	<b>\$-</b>	<b>\$238</b>	<b>-2.8</b>
<b>Totals, Budget Adjustments</b>	<b>\$-</b>	<b>\$232</b>	<b>-2.8</b>	<b>\$-</b>	<b>\$238</b>	<b>-2.8</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0968 California Tax Credit Allocation Committee - Continued****PROGRAM DESCRIPTIONS****0840 - CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE****The Federal Program**

Congress authorized the federal Low-Income Housing Tax Credit (LIHTC) program in 1986. It replaced traditional housing tax incentives, such as accelerated depreciation, with a tax credit that enables developers of affordable rental housing to raise project equity through the "sale" of tax benefits to investors. The federal per-capita tax credit ceiling is calculated annually and indexed for inflation. For 2018, each state has an annual housing credit ceiling of \$2.40 per state resident. Additionally, each state may qualify annually for a share of unused credits in a national pool.

Two types of federal tax credits are available, generally referred to as 9-percent and 4-percent credits. These terms refer to the percentage of a project's "qualified basis" an investor in LIHTC projects may deduct from his or her federal income tax liability annually in each of ten years. A project's qualified basis is the portion of the total project cost used to calculate the amount of the tax credit and typically excludes non-depreciable costs such as the purchase of land. The affordable housing project sponsor uses or sells ten times the project's tax-credit allocation amount because investors can take the annual tax credit each year for a ten-year period.

**The State LIHTC Program**

In 1987, recognizing the high cost of housing in California, the Legislature authorized the state's program to augment the federal program. Housing projects receiving (or that have previously received) an allocation of federal tax credits may apply for an allocation of state tax credits. Projects serving farmworkers may apply for state tax credits regardless of their federal tax credit status.

The annual state credit ceiling is indexed for inflation, and for 2017, was \$96.5 million. Investors take the state tax credit annually over a four-year period in contrast to the ten-year federal period.

**Tax-Exempt Bond Financed Program**

Developments financed with tax-exempt bond proceeds may also receive federal tax credit. The sponsors of such projects must apply to the Committee and must conform to applicable federal and state statutory and regulatory requirements, but there is no annual cap on the amount of credit that may be awarded by the state to such developments. The annual credit available is based on approximately 4 percent of the qualified basis of the development. The qualified basis includes those costs attributable to the units that will be income and rent restricted for a minimum of 30 years.

Under federal law, credit projects must remain affordable for at least 30 years; however, California's program generally requires maintaining affordability for 55 years. Land use agreements are recorded against each credit project to ensure compliance.

**Farmworker Housing Program**

Chapter 521, Statutes of 2008, folded the previous Farmworker Housing Assistance Tax Credit program into the general state LIHTC program as a \$500,000 annual set-aside in support of farmworker housing. Pursuant to Chapter 372, Statutes of 2017, these credits differ from other state credits in that the credit percentage for tax-exempt bond financed projects is higher and projects may receive state credits even if they receive an increase in their federal tax credit basis.

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0840</b>	<b>CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE</b>			
	<b>State Operations:</b>			
0448	Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account	\$4,317	\$5,151	\$5,142
0457	Tax Credit Allocation Fee Account	2,235	2,985	2,990
0995	Reimbursements	140	110	110
	<b>Totals, State Operations</b>	<b>\$6,692</b>	<b>\$8,246</b>	<b>\$8,242</b>
	<b>Local Assistance:</b>			
0457	Tax Credit Allocation Fee Account	\$207	\$190	\$190
	<b>Totals, Local Assistance</b>	<b>\$207</b>	<b>\$190</b>	<b>\$190</b>
	<b>TOTALS, EXPENDITURES</b>			
	State Operations	6,692	8,246	8,242

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0968 California Tax Credit Allocation Committee - Continued**

Local Assistance	207	190	190
<b>Totals, Expenditures</b>	<b>\$6,899</b>	<b>\$8,436</b>	<b>\$8,432</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	44.9	45.9	45.9	\$2,955	\$2,906	\$2,906
Budget Position Transparency	-	-2.8	-2.8	-	-80	46
Other Adjustments	-3.2	-	-	-151	126	126
<b>Net Totals, Salaries and Wages</b>	<b>41.7</b>	<b>43.1</b>	<b>43.1</b>	<b>\$2,804</b>	<b>\$2,952</b>	<b>\$3,078</b>
Staff Benefits	-	-	-	1,435	1,649	1,655
<b>Totals, Personal Services</b>	<b>41.7</b>	<b>43.1</b>	<b>43.1</b>	<b>\$4,239</b>	<b>\$4,601</b>	<b>\$4,733</b>
OPERATING EXPENSES AND EQUIPMENT				\$2,453	\$3,639	\$3,503
SPECIAL ITEMS OF EXPENSES				-	6	6
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$6,692</b>	<b>\$8,246</b>	<b>\$8,242</b>

2 Local Assistance	Expenditures		
	2016-17*	2017-18*	2018-19*
Grants and Subventions - Governmental	\$207	\$190	\$190
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$207</b>	<b>\$190</b>	<b>\$190</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
<b>0448 Occupancy Compliance Monitoring Account,Tax Credit Allocation Fee Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,982	\$5,011	\$5,142
Allocation for Employee Compensation	-	76	-
Allocation for Staff Benefits	-	32	-
Budget Position Transparency	-	-80	-
Expenditure By Category Redistribution	-	80	-
Section 3.60 Pension Contribution Adjustment	-	32	-
<b>Totals Available</b>	<b>\$4,982</b>	<b>\$5,151</b>	<b>\$5,142</b>
Unexpended balance, estimated savings	-665	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$4,317</b>	<b>\$5,151</b>	<b>\$5,142</b>
<b>0457 Tax Credit Allocation Fee Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,940	\$2,887	\$2,984
Allocation for Employee Compensation	-	50	-
Allocation for Staff Benefits	-	21	-
Section 3.60 Pension Contribution Adjustment	-	21	-
Health and Safety Code section 50199.9(b)	6	6	6
<b>Totals Available</b>	<b>\$2,946</b>	<b>\$2,985</b>	<b>\$2,990</b>
Unexpended balance, estimated savings	-711	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,235</b>	<b>\$2,985</b>	<b>\$2,990</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.



**0968 California Tax Credit Allocation Committee - Continued**

Reimbursements	\$140	\$110	\$110
<b>TOTALS, EXPENDITURES</b>	<b>\$140</b>	<b>\$110</b>	<b>\$110</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$6,692</b>	<b>\$8,246</b>	<b>\$8,242</b>

**2 LOCAL ASSISTANCE****2016-17\* 2017-18\* 2018-19\*****0457 Tax Credit Allocation Fee Account**

## APPROPRIATIONS

Health and Safety Code section 50199.9(b)	\$190	\$190	\$190
Past Year Adjustments	17	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$207</b>	<b>\$190</b>	<b>\$190</b>
<b>Total Expenditures, All Funds, (Local Assistance)</b>	<b>\$207</b>	<b>\$190</b>	<b>\$190</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$6,899</b>	<b>\$8,436</b>	<b>\$8,432</b>

**FUND CONDITION STATEMENTS**

	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account<sup>s</sup></b>			
BEGINNING BALANCE	\$24,983	\$24,964	\$24,794
Prior Year Adjustments	110	-	-
Adjusted Beginning Balance	\$25,093	\$24,964	\$24,794
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	4,218	5,000	5,100
4163000 Investment Income - Surplus Money Investments	190	192	194
Total Revenues, Transfers, and Other Adjustments	\$4,408	\$5,192	\$5,294
Total Resources	\$29,501	\$30,156	\$30,088
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0968 California Tax Credit Allocation Committee (State Operations)	4,317	5,151	5,142
8880 Financial Information System for California (State Operations)	5	6	1
9892 Supplemental Pension Payments (State Operations)	-	-	44
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	215	205	561
Total Expenditures and Expenditure Adjustments	\$4,537	\$5,362	\$5,748
FUND BALANCE	\$24,964	\$24,794	\$24,340
Reserve for economic uncertainties	24,964	24,794	24,340
<b>0457 Tax Credit Allocation Fee Account<sup>s</sup></b>			
BEGINNING BALANCE	\$19,353	\$36,345	\$39,501
Prior Year Adjustments	35	-	-
Adjusted Beginning Balance	\$19,388	\$36,345	\$39,501
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	5,907	6,143	6,265
4150500 Interest Income - Interfund Loans	292	-	-
4163000 Investment Income - Surplus Money Investments	314	320	325
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Tax Credit Allocation Fee Account (0457), per Item 0968-001-0457 Budget Act of 2015.	13,000	-	-
Total Revenues, Transfers, and Other Adjustments	\$19,513	\$6,463	\$6,590
Total Resources	\$38,901	\$42,808	\$46,091
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0968 California Tax Credit Allocation Committee - Continued**

0968 California Tax Credit Allocation Committee (State Operations)	2,235	2,985	2,990
0968 California Tax Credit Allocation Committee (Local Assistance)	207	190	190
8880 Financial Information System for California (State Operations)	3	4	-
9892 Supplemental Pension Payments (State Operations)	-	-	27
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	111	128	213
Total Expenditures and Expenditure Adjustments	<u>\$2,556</u>	<u>\$3,307</u>	<u>\$3,420</u>
FUND BALANCE	<u>\$36,345</u>	<u>\$39,501</u>	<u>\$42,671</u>
Reserve for economic uncertainties	36,345	39,501	42,671

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	44.9	45.9	45.9	\$2,955	\$2,906	\$2,906
Budget Position Transparency	-	-2.8	-2.8	-	-80	46
<b>Salary and Other Adjustments</b>	-3.2	-	-	-151	126	126
<b>Totals, Adjustments</b>	<u>-3.2</u>	<u>-2.8</u>	<u>-2.8</u>	<u>\$-151</u>	<u>\$46</u>	<u>\$172</u>
<b>TOTALS, SALARIES AND WAGES</b>	<u>41.7</u>	<u>43.1</u>	<u>43.1</u>	<u>\$2,804</u>	<u>\$2,952</u>	<u>\$3,078</u>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0971 California Alternative Energy and Advanced Transportation Financing Authority

The California Alternative Energy and Advanced Transportation Financing Authority was established by Chapter 908, Statutes of 1980, to promote the prompt and efficient development of energy sources which are renewable or which more efficiently utilize and conserve scarce energy resources. Recognizing the importance of developing a secure energy future to protect the environment and ensure economic stability, the Authority advances the state's goals of reducing greenhouse gas emissions by providing financing options to assist industries in increasing the deployment of sustainable and renewable energy sources, implementing measures that increase the efficiency of the use of energy, creating high quality employment opportunities, and lessening the state's dependence on fossil fuels. To support these goals, the Authority provides financing and credit enhancements to promote the establishment of facilities that use alternative methods and sources of energy, and facilities needed for the development and commercialization of advanced transportation technologies.

The Authority consists of five members: the State Treasurer, who serves as the chairperson, the State Controller, the Director of the Department of Finance, the Chairperson of the State Energy Resources Conservation and Development Commission, and the President of the Public Utilities Commission.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0850	California Alternative Energy and Advanced Transportation Financing Authority	16.1	9.0	9.0	\$3,043	\$5,808	\$5,728
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>16.1</b>	<b>9.0</b>	<b>9.0</b>	<b>\$3,043</b>	<b>\$5,808</b>	<b>\$5,728</b>
<b>FUNDING</b>				<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>	
0465	Energy Resources Programs Account			\$412	\$504	\$500	
0528	California Alternative Energy Authority Fund			711	1,644	1,631	
0995	Reimbursements			1,920	3,660	3,597	
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$3,043</b>	<b>\$5,808</b>	<b>\$5,728</b>	

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Public Resources Code Sections 26000-26082.

### MAJOR PROGRAM CHANGES

- Energy Efficiency Pilot Programs—The Budget includes \$3.6 million limited-term funding to continue administering the ratepayer funded pilot programs designed to encourage lenders to develop financial products for energy efficiency projects.

### DETAILED BUDGET ADJUSTMENTS

		2017-18*			2018-19*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Workload Budget Change Proposals</b>							
• Administration of Energy Efficiency Pilot Programs		\$-	\$-	-	\$-	\$3,597	-
<b>Totals, Workload Budget Change Proposals</b>		<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-</b>	<b>\$3,597</b>	<b>-</b>
<b>Other Workload Budget Adjustments</b>							
• Removal of Excess Reimbursement Authority		-	-1,001	-	-	-980	-
• Salary Adjustments		-	53	-	-	24	-
• Retirement Rate Adjustments		-	22	-	-	22	-
• Benefit Adjustments		-	21	-	-	12	-
<b>Totals, Other Workload Budget Adjustments</b>		<b>\$-</b>	<b>\$-905</b>	<b>-</b>	<b>\$-</b>	<b>\$-922</b>	<b>-</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0971 California Alternative Energy and Advanced Transportation Financing Authority - Continued

Totals, Workload Budget Adjustments	\$-	\$-905	-	\$-	\$2,675	-
Totals, Budget Adjustments	\$-	\$-905	-	\$-	\$2,675	-

### PROGRAM DESCRIPTIONS

#### 0850 - ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY

Financial assistance can take the form of loans, loan loss reserves, interest rate reductions, proceeds of bonds issued by the authority, bond insurance, loan guarantees or other credit enhancements, contributions of money, or a combination thereof, as determined and approved by resolution of the Authority.

In 2010, the Authority launched a sales and use tax exclusion (STE) program for advanced transportation and alternative source manufacturing in California (Chapter 10, Statutes of 2010) and subsequently, Chapter 677, Statutes of 2012, expanded the STE program to include advanced manufacturing, with an annual cap of \$100 million available for STE awards. Chapter 768, Statutes of 2015, further expanded the program to also include equipment primarily used to process recycled feedstock and equipment that primarily utilizes recycled feedstock in the production of another product. The objective of this program is to promote the creation of California-based manufacturing, businesses, and jobs that are aimed at reducing greenhouse gases, air and water pollution, and energy consumption.

Legislation in 2010 (Chapter 15, Statutes of 2010), 2011 (Chapter 9, Statutes of 2011, First Extraordinary Session), and 2013 (Chapter 356, Statutes of 2013), authorized financial assistance to encourage energy efficiency retrofits. The Authority developed a loan loss reserve program to assist financial institutions in making loans to homeowners in the state for residential whole house energy efficiency and renewable energy projects, and developed a risk mitigation program for residential Property Assessed Clean Energy programs in California.

Most recently, the Budget Act of 2014 authorized administration of the California Hub for Energy Efficiency Financing on behalf of, and in collaboration with, the California Public Utilities Commission. These energy efficiency financing pilot programs will employ various types of credit enhancements to encourage and leverage private capital lending (in both the residential and commercial sectors) for energy efficiency improvements needed to help achieve California's energy efficiency goals.

### DETAILED EXPENDITURES BY PROGRAM

		2016-17*	2017-18*	2018-19*
<b>PROGRAM REQUIREMENTS</b>				
<b>0850</b>	<b>CALIFORNIA ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY</b>			
	<b>State Operations:</b>			
0465	Energy Resources Programs Account	\$412	\$504	\$500
0528	California Alternative Energy Authority Fund	711	1,644	1,631
0995	Reimbursements	1,920	3,660	3,597
	<b>Totals, State Operations</b>	<b>\$3,043</b>	<b>\$5,808</b>	<b>\$5,728</b>
<b>TOTALS, EXPENDITURES</b>				
	State Operations	3,043	5,808	5,728
	<b>Totals, Expenditures</b>	<b>\$3,043</b>	<b>\$5,808</b>	<b>\$5,728</b>

### EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	15.0	9.0	9.0	\$922	\$1,337	\$573
Other Adjustments	1.1	-	-	65	53	828
<b>Net Totals, Salaries and Wages</b>	<b>16.1</b>	<b>9.0</b>	<b>9.0</b>	<b>\$987</b>	<b>\$1,390</b>	<b>\$1,401</b>
Staff Benefits	-	-	-	477	738	681
<b>Totals, Personal Services</b>	<b>16.1</b>	<b>9.0</b>	<b>9.0</b>	<b>\$1,464</b>	<b>\$2,128</b>	<b>\$2,082</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0971 California Alternative Energy and Advanced Transportation Financing Authority - Continued

OPERATING EXPENSES AND EQUIPMENT	\$1,579	\$3,680	\$3,646
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$3,043</b>	<b>\$5,808</b>	<b>\$5,728</b>

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
<b>0465 Energy Resources Programs Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$497	\$495	\$500
Allocation for Employee Compensation	-	5	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	2	-
<b>Totals Available</b>	<b>\$497</b>	<b>\$504</b>	<b>\$500</b>
Unexpended balance, estimated savings	-85	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$412</b>	<b>\$504</b>	<b>\$500</b>
<b>0528 California Alternative Energy Authority Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,625	\$1,612	\$1,631
Allocation for Employee Compensation	-	18	-
Allocation for Staff Benefits	-	7	-
Section 3.60 Pension Contribution Adjustment	-	7	-
<b>Totals Available</b>	<b>\$1,625</b>	<b>\$1,644</b>	<b>\$1,631</b>
Unexpended balance, estimated savings	-914	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$711</b>	<b>\$1,644</b>	<b>\$1,631</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$1,920	\$3,660	\$3,597
<b>TOTALS, EXPENDITURES</b>	<b>\$1,920</b>	<b>\$3,660</b>	<b>\$3,597</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$3,043</b>	<b>\$5,808</b>	<b>\$5,728</b>

### CHANGES IN AUTHORIZED POSITIONS

	<b>Positions</b>			<b>Expenditures</b>		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	15.0	9.0	9.0	\$922	\$1,337	\$573
<b>Salary and Other Adjustments</b>	1.1	-	-	65	53	24
<b>Workload and Administrative Adjustments</b>						
<b>Administration of Energy Efficiency Pilot Programs</b>						
Assoc Govtl Program Analyst	-	-	4.0	-	-	258
Office Techn (Gen)	-	-	2.0	-	-	79
Staff Svcs Mgr I	-	-	5.0	-	-	383
Staff Svcs Mgr II (Supvry)	-	-	1.0	-	-	84
Various	-	-	-12.0	-	-	-
<b>TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>\$-</b>	<b>\$-</b>	<b>\$804</b>
<b>Totals, Adjustments</b>	<b>1.1</b>	<b>-</b>	<b>-</b>	<b>\$65</b>	<b>\$53</b>	<b>\$828</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>16.1</b>	<b>9.0</b>	<b>9.0</b>	<b>\$987</b>	<b>\$1,390</b>	<b>\$1,401</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0974 California Pollution Control Financing Authority

California Pollution Control Financing Authority is committed to stimulating local economies in California by making capital more accessible to small businesses, and delivering innovative financing for projects that protect and restore the environment. The Authority was created to assist the solid waste, recycling, water, and wastewater industries through its Pollution Control Tax-Exempt Bond Program. Over the last twenty years, the Authority has evolved to provide financing assistance to California's small business sector through its California Capital Access Program (CalCAP), and to promote the reuse and redevelopment of brownfields through the California Recycle Underutilized Sites Program (CALReUSE).

The Authority consists of the State Treasurer (Chairperson), the State Controller, and the Director of the Department of Finance.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0860	Pollution Control Tax-Exempt Bond Program	8.0	3.0	3.0	\$1,792	\$612	\$613
0865	Capital Access Program for Small Businesses	18.3	15.3	14.1	17,456	3,208	2,980
0870	California Recycle Underutilized Sites Program	4.0	7.1	7.1	9,381	19,289	19,289
0877	California Seismic Safety Capital Access Loan Program	-	-	-	9,998	-	-
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>30.3</b>	<b>25.4</b>	<b>24.2</b>	<b>\$38,627</b>	<b>\$23,109</b>	<b>\$22,882</b>
<b>FUNDING</b>		<b>2016-17*</b>			<b>2017-18*</b>	<b>2018-19*</b>	
0001	General Fund	\$9,998			\$-	\$-	
0890	Federal Trust Fund	762			-	-	
0930	Pollution Control Financing Authority Fund	27,867			23,109	22,882	
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$38,627</b>			<b>\$23,109</b>	<b>\$22,882</b>	

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Health and Safety Code Sections 44500-44563.

### DETAILED BUDGET ADJUSTMENTS

		2017-18*			2018-19*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Other Workload Budget Adjustments</b>							
• Expenditure by Category Redistribution		\$-	\$-7	-	\$-	\$-139	-
• Budget Position Transparency		-	7	-0.7	-	139	0.6
• Salary Adjustments		-	76	-	-	76	-
• Benefit Adjustments		-	32	-	-	34	-
• Retirement Rate Adjustments		-	32	-	-	32	-
<b>Totals, Other Workload Budget Adjustments</b>		<b>\$-</b>	<b>\$140</b>	<b>-0.7</b>	<b>\$-</b>	<b>\$142</b>	<b>0.6</b>
<b>Totals, Workload Budget Adjustments</b>		<b>\$-</b>	<b>\$140</b>	<b>-0.7</b>	<b>\$-</b>	<b>\$142</b>	<b>0.6</b>
<b>Totals, Budget Adjustments</b>		<b>\$-</b>	<b>\$140</b>	<b>-0.7</b>	<b>\$-</b>	<b>\$142</b>	<b>0.6</b>

### PROGRAM DESCRIPTIONS

#### 0860 - POLLUTION CONTROL TAX-EXEMPT BOND PROGRAM

The objective of this program is to provide bond financing to California businesses, irrespective of company size, for the acquisition, construction, or installation of qualified pollution control, waste disposal, water furnishing, wastewater treatment,

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**0974 California Pollution Control Financing Authority - Continued**

waste recovery facilities, and the acquisition and installation of new equipment. Tax-exempt financing issued by the Authority assists municipalities in complying with waste diversion mandates of the California Integrated Waste Management Act of 1989. As of June 30, 2017, bonds totaling approximately \$15.3 billion have been issued by the Authority.

**0865 - CALIFORNIA CAPITAL ACCESS PROGRAM FOR SMALL BUSINESSES**

The objective of this program is to encourage banks and other financial institutions to make loans to small businesses. CalCAP for Small Businesses is a form of loan loss recovery that may provide up to 100 percent coverage on certain loan defaults. By participating in CalCAP, lenders have available to them a proven financing mechanism to meet the financing needs of California's small businesses. The program works through the creation of a loan loss-reserve fund for each participating financial institution. The Authority funds this reserve together with the financial institution and the borrower. Additional incentives are provided to lend to businesses located in specified communities. The reserve serves to reduce loan-loss risk, allowing banks to lend to targeted California small businesses. Chapter 731, Statutes of 2010, appropriated \$6 million General Fund to CalCAP to increase lending efforts. As of June 30, 2017, approximately \$1.877 million of the \$6 million remained in the State-funded program account to assist small businesses.

In addition, California was awarded an allocation of federal funds in the amount of \$168.6 million from the federal State Small Business Credit Initiative (SSBCI). The Authority and the Governor's Office of Business and Economic Development (Go-BIZ) equally share this allocation of \$84.3 million each. These funds have enabled the Authority to expand the availability of its CalCAP program statewide. The Authority also launched the Collateral Support Program to assist more small businesses and utilize the SSBCI federal funds. Funds were allocated to California in three installments based on program progress. The Authority received \$27 million in March 2011, \$27 million in December 2013, and \$29 million in August 2015. The Authority was allocated an additional \$321,000 in December 2016, the final receipt of federal funds under the SSBCI program. As of June 30, 2017, approximately \$900,000 remained in the federally-funded program account to assist small businesses under the CalCAP loan loss reserve program. Approximately \$5 million remained in the federally-funded program account for the Collateral Support Program. Funds are being recaptured to sustain the programs.

Utilizing the CalCAP model, the Authority has partnered with the Air Resources Board (ARB) to assist diesel truck and equipment owners in meeting new clean air requirements by directing a total of \$107.3 million in Air Quality Improvement Program funds towards the purchase or retrofit of diesel trucks to comply with ARB's Statewide Truck and Bus Rule. The Authority has also partnered with the California Energy Commission to implement a \$2 million pilot program to provide credit enhancements and rebates to small business borrowers who install electric vehicle charging stations. Similarly, the Authority is partnering with the Department of Resources, Recycling, and Recovery to assist with the financing of important state recycling objectives.

**0870 - CALIFORNIA RECYCLE UNDERUTILIZED SITES PROGRAM**

The CALReUSE program assists with the reuse and redevelopment of underutilized properties with real or perceived contamination issues. CALReUSE addresses a funding and information gap in the development of contaminated properties (brownfields) to help bring these properties into productive reuse. Beginning in 2000, the CALReUSE Assessment Program provided forgivable loans of up to \$300,000, which was later increased to \$500,000 per brownfield site. The funds assisted with brownfield site assessment and characterization, technical assistance, and the development of remedial action plans. Eligible projects included sites with potential beneficial reuse not currently redeveloped due to lack of information about real or perceived contamination, uncertainty about clean-up costs, or concerns regarding time frames and the regulatory process.

Additionally, Proposition 1C, the Housing and Emergency Shelter Trust Fund Act of 2006, included provisions to fund brownfield cleanup that resulted in the expansion of the CALReUSE Program. The CALReUSE Remediation Program received \$60 million in Proposition 1C funds and provided up to \$5 million per project in loans and grants for the purpose of brownfield cleanup that promotes affordable infill residential and mixed-used development. All CALReUSE Program funds have been awarded. The CALReUSE Program's \$60 million investment in cleanup of contaminated sites will result in 5,618 housing units in 30 California communities.

**0875 - ALTERNATIVE FINANCING PROGRAMS**

Under its authority to provide alternative methods of financing facilities for pollution control, clean water supply and alternative energy production, the Authority is developing alternative financing models to assist sister agencies with achieving the state's environmental goals. The Authority is authorized to receive and accept contributions from other sources including federal and state agencies to carry out the purposes of its statutory authority.

**0876 - CALIFORNIA CAPITAL ACCESS PROGRAM FOR COMPLIANCE WITH THE AMERICANS WITH DISABILITIES ACT**

The objective of this program, created by Chapter 787, Statutes of 2015, is to help small businesses comply with the federal Americans with Disabilities Act and increase access for their employees and customers by encouraging lenders and other financial institutions to make loans to very small businesses to finance tenant improvements. The Legislature provided the Authority an appropriation of \$10 million effective January 1, 2016, to administer a credit enhancement program following the CalCAP model and create loan loss reserve accounts for lenders that enroll qualifying loans into the program.

**0974 California Pollution Control Financing Authority - Continued****0877 - CALIFORNIA SEISMIC SAFETY CAPITAL ACCESS LOAN PROGRAM**

The California Seismic Safety Capital Access Loan Program, authorized by Chapter 32, Statutes of 2016, provided a one-time allocation of \$10 million to create an accessible loan program to qualified small businesses and residential property owners (including multi-unit dwellings and mobile homes registered by the California Department of Housing and Community Development) for costs related to seismic retrofitting. The Program encourages banks and other financial institutions to participate by creating loan loss reserve accounts for lenders that enroll qualifying loans into the program. The loans to small businesses and residential property owners are for financing seismic retrofits of real property to reduce losses and mitigate seismic damage.

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0860</b>	<b>POLLUTION CONTROL TAX-EXEMPT BOND PROGRAM</b>			
	<b>State Operations:</b>			
0930	Pollution Control Financing Authority Fund	\$1,792	\$612	\$613
	<b>Totals, State Operations</b>	<b>\$1,792</b>	<b>\$612</b>	<b>\$613</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0865</b>	<b>CAPITAL ACCESS PROGRAM FOR SMALL BUSINESSES</b>			
	<b>State Operations:</b>			
0930	Pollution Control Financing Authority Fund	\$16,694	\$3,208	\$2,980
	<b>Totals, State Operations</b>	<b>\$16,694</b>	<b>\$3,208</b>	<b>\$2,980</b>
	<b>Local Assistance:</b>			
0890	Federal Trust Fund	\$762	\$-	\$-
	<b>Totals, Local Assistance</b>	<b>\$762</b>	<b>\$-</b>	<b>\$-</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0870</b>	<b>CALIFORNIA RECYCLE UNDERUTILIZED SITES PROGRAM</b>			
	<b>State Operations:</b>			
0930	Pollution Control Financing Authority Fund	\$9,381	\$19,289	\$19,289
	<b>Totals, State Operations</b>	<b>\$9,381</b>	<b>\$19,289</b>	<b>\$19,289</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0877</b>	<b>CALIFORNIA SEISMIC SAFETY CAPITAL ACCESS LOAN PROGRAM</b>			
	<b>Local Assistance:</b>			
0001	General Fund	\$9,998	\$-	\$-
	<b>Totals, Local Assistance</b>	<b>\$9,998</b>	<b>\$-</b>	<b>\$-</b>
	<b>TOTALS, EXPENDITURES</b>			
	State Operations	27,867	23,109	22,882
	Local Assistance	10,760	-	-
	<b>Totals, Expenditures</b>	<b>\$38,627</b>	<b>\$23,109</b>	<b>\$22,882</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	26.1	26.1	23.6	\$1,623	\$1,572	\$1,456
Budget Position Transparency	-	-0.7	0.6	-	7	139
Other Adjustments	4.2	-	-	230	76	76
<b>Net Totals, Salaries and Wages</b>	<b>30.3</b>	<b>25.4</b>	<b>24.2</b>	<b>\$1,853</b>	<b>\$1,655</b>	<b>\$1,671</b>
Staff Benefits	-	-	-	903	960	914

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**0974 California Pollution Control Financing Authority - Continued**

<b>Totals, Personal Services</b>	<b>30.3</b>	<b>25.4</b>	<b>24.2</b>	<b>\$2,756</b>	<b>\$2,615</b>	<b>\$2,585</b>
OPERATING EXPENSES AND EQUIPMENT				\$25,111	\$20,494	\$20,297
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$27,867</b>	<b>\$23,109</b>	<b>\$22,882</b>

<b>2 Local Assistance</b>	<b>Expenditures</b>		
	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
Consulting and Professional Services - External - Other	\$762	\$-	\$-
Other Special Items of Expense	9,998	-	-
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$10,760</b>	<b>\$-</b>	<b>\$-</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

<b>1 STATE OPERATIONS</b>	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>0930 Pollution Control Financing Authority Fund</b>			
APPROPRIATIONS			
Health and Safety Code section 44526	\$20,831	\$20,816	\$20,649
Allocation for Employee Compensation	-	33	-
Allocation for Staff Benefits	-	14	-
Budget Position Transparency	-	7	-
Expenditure by Category Redistribution	-	-7	-
Past Year Adjustments	6,485	-	-
Section 3.60 Pension Contribution Adjustment	-	14	-
Health and Safety Code section 44526 (California Capital Access Program for Small Businesses)	2,176	2,153	2,233
Allocation for Employee Compensation	-	43	-
Allocation for Staff Benefits	-	18	-
Past Year Adjustments	-1,625	-	-
Section 3.60 Pension Contribution Adjustment	-	18	-
<b>TOTALS, EXPENDITURES</b>	<b>\$27,867</b>	<b>\$23,109</b>	<b>\$22,882</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$27,867</b>	<b>\$23,109</b>	<b>\$22,882</b>

<b>2 LOCAL ASSISTANCE</b>	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>0001 General Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation (transfer to California Seismic Safety Capital Access Loan Program Fund)	\$10,000	-	-
<b>Totals Available</b>	<b>\$10,000</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-2	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$9,998</b>	<b>-</b>	<b>-</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
Past Year Adjustments	\$762	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$762</b>	<b>-</b>	<b>-</b>
<b>8102 California Seismic Safety Capital Access Loan Program Fund</b>			
APPROPRIATIONS			
Health and Safety Code section 44559.14(c)	\$10,000	-	-
<b>Totals Available</b>	<b>\$10,000</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-2	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$9,998</b>	<b>-</b>	<b>-</b>
Less funding provided by General Fund	-9,998	-	-

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**0974 California Pollution Control Financing Authority - Continued****NET TOTALS, EXPENDITURES**

Total Expenditures, All Funds, (Local Assistance)

TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)

-	-	-
<b>\$10,760</b>	<b>\$0</b>	<b>\$0</b>
<b>\$38,627</b>	<b>\$23,109</b>	<b>\$22,882</b>

**CHANGES IN AUTHORIZED POSITIONS**

	<b>Positions</b>			<b>Expenditures</b>		
	<b>2016-17</b>	<b>2017-18</b>	<b>2018-19</b>	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>Baseline Positions</b>	26.1	26.1	23.6	\$1,623	\$1,572	\$1,456
Budget Position Transparency	-	-0.7	0.6	-	7	139
<b>Salary and Other Adjustments</b>	4.2	-	-	230	76	76
<b>Totals, Adjustments</b>	<b>4.2</b>	<b>-0.7</b>	<b>0.6</b>	<b>\$230</b>	<b>\$83</b>	<b>\$215</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>30.3</b>	<b>25.4</b>	<b>24.2</b>	<b>\$1,853</b>	<b>\$1,655</b>	<b>\$1,671</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0977 California Health Facilities Financing Authority

The mission of the California Health Facilities Financing Authority is to help eligible and credit worthy nonprofit and public health facilities reduce their cost of capital, and promote health care improvement and cost containment objectives by providing cost-effective tax-exempt bond, low-cost loan, and direct grant programs.

The Authority was established by Chapter 1033, Statutes of 1979 (AB 1558), and consists of nine members: the State Treasurer, the Director of the Department of Finance, the State Controller, two public members appointed by the Senate, two public members appointed by the Assembly, and two public members appointed by the Governor.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0880	Children's Hospital Program	3.5	3.5	3.5	\$29,073	\$125,751	\$140,751
0885	Health Facilities Grants and Loans	13.4	14.0	14.0	8,015	29,073	14,786
0890	Mental Health Wellness Grants	-	-	-	14,999	25,504	212,504
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>16.9</b>	<b>17.5</b>	<b>17.5</b>	<b>\$52,087</b>	<b>\$180,328</b>	<b>\$368,041</b>
<b>FUNDING</b>					<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
0001	General Fund				\$-	\$1,687	\$65,813
0904	California Health Facilities Financing Authority Fund				8,015	29,073	9,077
0995	Reimbursements				-	2,800	2,800
3085	Mental Health Services Fund				14,999	20,717	144,000
6046	Childrens Hospital Fund				645	50,362	40,000
6079	Childrens Hospital Bond Act Fund				28,428	75,389	100,751
6084	No Place Like Home Fund				-	300	-
8073	California Health Access Model Program Account, California Health Facilities Financing Authority Fund				-	-	5,600
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>					<b>\$52,087</b>	<b>\$180,328</b>	<b>\$368,041</b>

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Government Code Sections 15430-15463. Health and Safety Code Sections 1179.10-1179.43 and 1179.50-1179.72. Welfare and Institutions Code Section 5890-5892 and 5848.5-5848.6.

### DETAILED BUDGET ADJUSTMENTS

		2017-18*			2018-19*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Other Workload Budget Adjustments</b>							
• Debt Service for No Place Like Home Bonds		\$-	\$-	-	\$-	\$140,000	-
• Expenditure By Category Redistribution		-	1,911	-	-	-109	-
• Miscellaneous Baseline Adjustments		-65,813	9,875	-	65,813	30,200	-
• Budget Position Transparency		-	-1,911	1.5	-	109	1.5
• Salary Adjustments		-	57	-	-	58	-
• Benefit Adjustments		-	22	-	-	25	-
• Retirement Rate Adjustments		-	24	-	-	24	-
<b>Totals, Other Workload Budget Adjustments</b>		<b>\$-65,813</b>	<b>\$9,978</b>	<b>1.5</b>	<b>\$65,813</b>	<b>\$170,307</b>	<b>1.5</b>
<b>Totals, Workload Budget Adjustments</b>		<b>\$-65,813</b>	<b>\$9,978</b>	<b>1.5</b>	<b>\$65,813</b>	<b>\$170,307</b>	<b>1.5</b>
<b>Totals, Budget Adjustments</b>		<b>\$-65,813</b>	<b>\$9,978</b>	<b>1.5</b>	<b>\$65,813</b>	<b>\$170,307</b>	<b>1.5</b>

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## 0977 California Health Facilities Financing Authority - Continued

### PROGRAM DESCRIPTIONS

#### 0880 - CHILDREN'S HOSPITAL PROGRAM

In 2004, California voters approved Proposition 61, which established the Children's Hospital Program. In 2008, Proposition 3 established the second Children's Hospital Program. The purpose of both programs is to improve the health and welfare of California's critically ill children by providing a stable and ready source of funds for capital improvement projects for children's hospitals. Children's Hospital Program grant funding is derived from the issuance of general obligation bonds, up to \$750 million under Proposition 61 and \$980 million under Proposition 3.

#### 0885 - HEALTH FACILITIES GRANTS AND LOANS

The Authority serves as a conduit provider of tax-exempt bonds and a direct provider of financial assistance to health institutions.

##### Tax-Exempt Bond Program

Bonds issued under this conduit program are not a debt, liability, or a pledge of the full faith and credit of the taxing power of the state or any of its political subdivisions. The full faith and credit of the participating institutions are pledged for repayment of the bonds. To qualify for funding, the proposed project must be a health facility, operated by a private nonprofit corporation or association, city, city and county, county, or hospital district.

##### Lifeline Grant Program

Chapter 52, Statutes of 2017 (SB 97) authorized the Authority to approve grants of up to \$20 million dollars from the HELP II Loan Program subfund account. The Lifeline Grant Program will assist small and rural health facilities, including community-based clinics, that may be adversely affected financially by a reduction or elimination of federal government assistance and that have little to no access to working capital. Grants must be awarded by June 30, 2020.

##### HELP II Loan Program

The Authority administers the Healthcare Expansion Loan Program II (HELP II) to assist small and rural health facilities in obtaining financing for their capital needs. The HELP II Program provides two percent and three percent fixed interest rate loans of up to \$1,500,000 to California's nonprofit small and rural health facilities in an efficient, timely, and cost effective manner. The two percent interest rate applies to loans approved from April 30, 2015 - April 30, 2017, and is effective for the life of the loan. Refinancing loans are not eligible for the two percent interest rate. Refinancing loans are eligible up to \$1,000,000. HELP II loans may be used to purchase or construct new facilities, remodel or renovate existing facilities, purchase equipment and/or furnishings, and refinance existing debt.

##### California Health Access Model Program

Chapter 23, Statutes of 2012 (AB 1467) authorized the California Health Access Model Program (CHAMP), a grant program intended to support innovative methods of delivering health care services and improve health outcomes for vulnerable populations and communities by bringing services to individuals where they live or congregate.

#### 0890 - MENTAL HEALTH WELLNESS GRANTS

Chapter 34, Statutes of 2013 (SB 82), the Investment in Mental Health Wellness Act of 2013 and related legislation provided \$142.5 million in one-time General Fund, and \$4 million in ongoing Mental Health Services Act funding to provide grants for community based mental health crisis support, which may also be eligible for matching federal funding.

The Act established a grant program to disburse funds to California counties or to their nonprofit or public agency designates for the purpose of developing mental health crisis support programs. Specifically, funds will increase capacity for client assistance and services in crisis intervention, crisis stabilization, crisis residential treatment, rehabilitative mental health services, and mobile crisis support teams. The grants will support capital improvement, expansion and limited start-up costs.

##### Children and Youth Mental Health Wellness Grants

With the passage of Chapter 30, Statutes of 2016 (SB 833), the Children and Youth Investment in Mental Health Wellness Grant Program was created to increase mental health services for children and youth 21 years of age and under to develop a complete continuum of crisis services. Working with counties, the program will fund facility acquisition, construction/renovation, equipment acquisition, and applicable startup or expansion costs to provide mental health services for children and youth as well as provide family care throughout the state.

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0977 California Health Facilities Financing Authority - Continued**

The 2016 Budget included an additional one-time appropriation of \$11,000,000 in Mental Health Services Act (MHSA) funding. The 2017 Budget appropriated \$16,717,000 in MHSA funding.

## Community Services Infrastructure Grants

Chapter 33, Statutes of 2016 (SB 843) creates the Community Services Infrastructure competitive grant program to expand community alternatives to jail and prison. The program seeks to expand access to jail and prison diversion programs and services for those with mental health illness, substance use disorders, or who have suffered from trauma. Working with counties and/or cities, the grant program will fund facility acquisition, construction/renovation, equipment acquisition, and applicable startup or expansion costs for facilities that provide services to this population.

Funded with a one-time General Fund appropriation in fiscal year 2017-18 of \$67,500,000 with \$1,687,000 for administrative costs.

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
<b>PROGRAM REQUIREMENTS</b>				
<b>0880 CHILDREN'S HOSPITAL PROGRAM</b>				
<b>State Operations:</b>				
6046	Childrens Hospital Fund	\$645	\$362	\$-
6079	Childrens Hospital Bond Act Fund	580	389	751
	<b>Totals, State Operations</b>	<b>\$1,225</b>	<b>\$751</b>	<b>\$751</b>
<b>Local Assistance:</b>				
6046	Childrens Hospital Fund	\$-	\$50,000	\$40,000
6079	Childrens Hospital Bond Act Fund	27,848	75,000	100,000
	<b>Totals, Local Assistance</b>	<b>\$27,848</b>	<b>\$125,000</b>	<b>\$140,000</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>0885 HEALTH FACILITIES GRANTS AND LOANS</b>				
<b>State Operations:</b>				
0904	California Health Facilities Financing Authority Fund	\$2,343	\$2,573	\$2,686
	<b>Totals, State Operations</b>	<b>\$2,343</b>	<b>\$2,573</b>	<b>\$2,686</b>
<b>Local Assistance:</b>				
0904	California Health Facilities Financing Authority Fund	\$5,672	\$26,500	\$6,500
8073	California Health Access Model Program Account, California Health Facilities Financing Authority Fund	-	-	5,600
	<b>Totals, Local Assistance</b>	<b>\$5,672</b>	<b>\$26,500</b>	<b>\$12,100</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>0890 MENTAL HEALTH WELLNESS GRANTS</b>				
<b>State Operations:</b>				
0001	General Fund	\$-	\$1,687	\$-
0904	California Health Facilities Financing Authority Fund	-	-	-109
3085	Mental Health Services Fund	185	265	-
6084	No Place Like Home Fund	-	300	-
	<b>Totals, State Operations</b>	<b>\$185</b>	<b>\$2,252</b>	<b>-\$109</b>
<b>Local Assistance:</b>				
0001	General Fund	\$-	\$-	\$65,813
0995	Reimbursements	-	2,800	2,800
3085	Mental Health Services Fund	14,814	20,452	144,000
	<b>Totals, Local Assistance</b>	<b>\$14,814</b>	<b>\$23,252</b>	<b>\$212,613</b>
<b>TOTALS, EXPENDITURES</b>				

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**0977 California Health Facilities Financing Authority - Continued**

State Operations	3,753	5,576	3,328
Local Assistance	48,334	174,752	364,713
<b>Totals, Expenditures</b>	<b>\$52,087</b>	<b>\$180,328</b>	<b>\$368,041</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	16.0	16.0	16.0	\$1,332	\$3,097	\$1,120
Budget Position Transparency	-	1.5	1.5	-	-	-
Other Adjustments	0.9	-	-	-153	57	58
<b>Net Totals, Salaries and Wages</b>	<b>16.9</b>	<b>17.5</b>	<b>17.5</b>	<b>\$1,179</b>	<b>\$3,154</b>	<b>\$1,178</b>
Staff Benefits	-	-	-	591	601	604
<b>Totals, Personal Services</b>	<b>16.9</b>	<b>17.5</b>	<b>17.5</b>	<b>\$1,770</b>	<b>\$3,755</b>	<b>\$1,782</b>
OPERATING EXPENSES AND EQUIPMENT				\$1,622	-\$18,179	\$1,546
SPECIAL ITEMS OF EXPENSES				361	20,000	-
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$3,753</b>	<b>\$5,576</b>	<b>\$3,328</b>

2 Local Assistance	Expenditures		
	2016-17*	2017-18*	2018-19*
Debt Service - Principal	\$-	\$-	\$140,000
Grants and Subventions - Governmental	42,659	174,752	224,713
Other Special Items of Expense	5,675	-	-
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$48,334</b>	<b>\$174,752</b>	<b>\$364,713</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$1,687	-
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>\$1,687</b>	<b>-</b>
<b>0904 California Health Facilities Financing Authority Fund</b>			
APPROPRIATIONS			
Government Code section 15439	\$2,522	\$2,501	\$2,577
Allocation for Employee Compensation	-	40	-
Allocation for Staff Benefits	-	15	-
Budget Position Transparency	-	-1,911	-
Expenditure By Category Redistribution	-	1,911	-
Section 3.60 Pension Contribution Adjustment	-	17	-
Updated Estimates for Health Facilities Grants and Loans	-	-20,000	-
Government Code section 15438.11(g) - Lifeline Grant Program	-	20,000	-
<b>Totals Available</b>	<b>\$2,522</b>	<b>\$2,573</b>	<b>\$2,577</b>
Unexpended balance, estimated savings	-179	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,343</b>	<b>\$2,573</b>	<b>\$2,577</b>
<b>3085 Mental Health Services Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$185	\$265	-

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**0977 California Health Facilities Financing Authority - Continued**

<b>TOTALS, EXPENDITURES</b>	<b>\$185</b>	<b>\$265</b>	<b>-</b>
<b>6046 Childrens Hospital Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$366	\$362	-
Past Year Adjustments	-82	-	-
Health and Safety Code section 1179.24 (h)	361	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$645</b>	<b>\$362</b>	<b>-</b>
<b>6079 Childrens Hospital Bond Act Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$182	-	-
Past Year Adjustments	-50	-	-
Health and Safety Code section 1179.57(h)	-	358	389
Allocation for Employee Compensation	-	17	-
Allocation for Staff Benefits	-	7	-
Section 3.60 Pension Contribution Adjustment	-	7	-
Health and Safety Code section 1179.64(b)	-	-	362
Past Year Adjustments	448	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$580</b>	<b>\$389</b>	<b>\$751</b>
<b>6084 No Place Like Home Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$25	-
Welfare and Institutions Code section 5849.4(a)	275	-	-
Prior Year Balances Available:			
Welfare and Institutions Code section 5849.4(a)	-	275	-
<b>Totals Available</b>	<b>\$275</b>	<b>\$300</b>	<b>-</b>
Balance available in subsequent years	-275	-	-
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>\$300</b>	<b>-</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$3,753</b>	<b>\$5,576</b>	<b>\$3,328</b>
<b>2 LOCAL ASSISTANCE</b>	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>0001 General Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$65,813	-
Prior Year Balances Available:			
Item 0977-101-0001, Budget Act of 2017	-	-	65,813
<b>Totals Available</b>	<b>-</b>	<b>\$65,813</b>	<b>\$65,813</b>
Balance available in subsequent years	-	-65,813	-
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>\$65,813</b>
<b>0904 California Health Facilities Financing Authority Fund</b>			
APPROPRIATIONS			
Government Code section 15439	\$6,500	\$6,500	\$6,500
Updated Estimates for Health Facilities Grants and Loans	-	20,000	-
<b>Totals Available</b>	<b>\$6,500</b>	<b>\$26,500</b>	<b>\$6,500</b>
Unexpended balance, estimated savings	-828	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$5,672</b>	<b>\$26,500</b>	<b>\$6,500</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	-	\$2,800	\$2,800
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>\$2,800</b>	<b>\$2,800</b>
<b>3085 Mental Health Services Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$14,815	\$20,452	\$4,000

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**0977 California Health Facilities Financing Authority - Continued**

Welfare and Institutions Code section 5890(f)	-	-	140,000
<b>Totals Available</b>	<b>\$14,815</b>	<b>\$20,452</b>	<b>\$144,000</b>
Unexpended balance, estimated savings	-1	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$14,814</b>	<b>\$20,452</b>	<b>\$144,000</b>
<b>6046 Childrens Hospital Fund</b>			
APPROPRIATIONS			
Health and Safety Code sections 1179.10-1179.43	\$40,000	\$40,000	\$40,000
Updated Estimates for Children's Hospital Program	-	10,000	-
<b>Totals Available</b>	<b>\$40,000</b>	<b>\$50,000</b>	<b>\$40,000</b>
Unexpended balance, estimated savings	-40,000	-	-
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>\$50,000</b>	<b>\$40,000</b>
<b>6079 Childrens Hospital Bond Act Fund</b>			
APPROPRIATIONS			
Health and Safety Code section 1179.53	\$75,000	\$75,000	\$100,000
<b>Totals Available</b>	<b>\$75,000</b>	<b>\$75,000</b>	<b>\$100,000</b>
Unexpended balance, estimated savings	-47,152	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$27,848</b>	<b>\$75,000</b>	<b>\$100,000</b>
<b>8073 California Health Access Model Program Account, California Health Facilities Financing Authority Fund</b>			
Prior Year Balances Available:			
Government Code section 15438.10(c)(1)	400	-	5,600
<b>Totals Available</b>	<b>\$400</b>	<b>-</b>	<b>\$5,600</b>
Unexpended balance, estimated savings	-400	-	-
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>\$5,600</b>
<b>Total Expenditures, All Funds, (Local Assistance)</b>	<b>\$48,334</b>	<b>\$174,752</b>	<b>\$364,713</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$52,087</b>	<b>\$180,328</b>	<b>\$368,041</b>

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	16.0	16.0	16.0	\$1,332	\$3,097	\$1,120
Budget Position Transparency	-	1.5	1.5	-	-	-
<b>Salary and Other Adjustments</b>	0.9	-	-	-153	57	58
<b>Totals, Adjustments</b>	<b>0.9</b>	<b>1.5</b>	<b>1.5</b>	<b>\$-153</b>	<b>\$57</b>	<b>\$58</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>16.9</b>	<b>17.5</b>	<b>17.5</b>	<b>\$1,179</b>	<b>\$3,154</b>	<b>\$1,178</b>

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## 0981 California ABLE Act Board

The California Achieving a Better Life Experience Act (ABLE) Board was established by Chapter 796, Statutes of 2015 (SB 324) to create a Qualified ABLE Program (the Program). The Program will provide eligible individuals with disabilities the opportunity to save private funds in tax-advantaged ABLE accounts for the purpose of supporting persons with disabilities to maintain their health, independence, and quality of life.

The Board consists of the State Treasurer (Chair), the Director of Finance, the Controller, the Director of Developmental Services, the Chairperson of the State Council on Developmental Disabilities, the Director of Rehabilitation, and the Chair of the State Independent Living Council.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0895	California ABLE Act Board	2.7	3.0	3.0	\$508	\$650	\$2,000
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>2.7</b>	<b>3.0</b>	<b>3.0</b>	<b>\$508</b>	<b>\$650</b>	<b>\$2,000</b>
<b>FUNDING</b>		<b>2016-17*</b>			<b>2017-18*</b>	<b>2018-19*</b>	
8101	California ABLE Administrative Fund			\$508	\$650	\$2,000	
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$508</b>	<b>\$650</b>	<b>\$2,000</b>	

### LEGAL CITATIONS AND AUTHORITY

California Welfare and Institutions Code, Sections 4875-4884; and California Revenue and Taxation Code, Section 23711.4.

### DETAILED BUDGET ADJUSTMENTS

		2017-18*			2018-19*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Workload Budget Change Proposals</b>							
	• Contract funding for the California Achieving a Better Life Experience Program	\$-	\$-	-	\$-	\$1,100	-
	• Continued Implementation of the California Achieving a Better Life Experience Program	-	-	-	-	900	3.0
<b>Totals, Workload Budget Change Proposals</b>		<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-</b>	<b>\$2,000</b>	<b>3.0</b>
<b>Other Workload Budget Adjustments</b>							
	• Realignment to fully fund staff benefits	-	-17	-	-	-17	-
	• Removal of Ongoing Costs	-	-	-	-	-650	-3.0
	• Salary Adjustments	-	10	-	-	10	-
	• Retirement Rate Adjustments	-	4	-	-	4	-
	• Benefit Adjustments	-	3	-	-	3	-
<b>Totals, Other Workload Budget Adjustments</b>		<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-</b>	<b>\$-650</b>	<b>-3.0</b>
<b>Totals, Workload Budget Adjustments</b>		<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-</b>	<b>\$1,350</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>		<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-</b>	<b>\$1,350</b>	<b>-</b>

### PROGRAM DESCRIPTIONS

#### 0895 - CALIFORNIA ABLE ACT BOARD

On December 19, 2014, President Obama signed the Stephen Beck Jr., Achieving a Better Life Experience Act of 2014, which allows individuals who became blind or disabled before reaching age 26, to create tax-free savings accounts. Individuals can make nondeductible cash contributions to an ABLE account in the name of a designated beneficiary with tax-free earnings. ABLE account distributions are also not included in the beneficiary's income, as long as they are used for qualified disability expenses. Chapter 774, Statutes of 2015 (AB 449), implements the ABLE Act in California, and directs the Board, with the State Treasurer as Chair, to administer the California ABLE Program (the Program) accounts on behalf of eligible individuals.

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0981 California ABLE Act Board - Continued**

The Board and the California ABLE Program Trust were established by Chapter 796, Statutes of 2015 (SB 324), for the purpose of creating the Program. Under the Program, a person may make contributions of up to \$14,000 during a taxable year, to a designated beneficiary's ABLE account to be used for the qualified disability expenses of that designated beneficiary.

**DETAILED EXPENDITURES BY PROGRAM**

		2016-17*	2017-18*	2018-19*
	<b>PROGRAM REQUIREMENTS</b>			
<b>0895</b>	<b>CALIFORNIA ABLE ACT BOARD</b>			
	<b>State Operations:</b>			
8101	California ABLE Administrative Fund	\$508	\$650	\$2,000
	<b>Totals, State Operations</b>	<b>\$508</b>	<b>\$650</b>	<b>\$2,000</b>
	<b>TOTALS, EXPENDITURES</b>			
	State Operations	508	650	2,000
	<b>Totals, Expenditures</b>	<b>\$508</b>	<b>\$650</b>	<b>\$2,000</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	3.0	3.0	3.0	\$214	\$220	\$220
Other Adjustments	-0.3	-	-	-12	-	29
<b>Net Totals, Salaries and Wages</b>	<b>2.7</b>	<b>3.0</b>	<b>3.0</b>	<b>\$202</b>	<b>\$220</b>	<b>\$249</b>
Staff Benefits	-	-	-	84	108	131
<b>Totals, Personal Services</b>	<b>2.7</b>	<b>3.0</b>	<b>3.0</b>	<b>\$286</b>	<b>\$328</b>	<b>\$380</b>
OPERATING EXPENSES AND EQUIPMENT				\$222	\$322	\$1,620
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$508</b>	<b>\$650</b>	<b>\$2,000</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS		2016-17*	2017-18*	2018-19*
	<b>0001 General Fund</b>			
APPROPRIATIONS				
011	Budget Act appropriation (loan to California ABLE Administrative Fund)	(\$850)	(\$650)	(-)
	Continued Implementation of the California Achieving a Better Life Experience Program	(-)	(-)	(900)
	Contract funding for the California Achieving a Better Life Experience Program	(-)	(-)	(850)
	<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>-</b>
	<b>8101 California ABLE Administrative Fund</b>			
APPROPRIATIONS				
001	Budget Act appropriation	\$850	\$650	\$2,000
	Allocation for Employee Compensation	-	10	-
	Allocation for Staff Benefits	-	3	-
	Realignment to fully fund staff benefits	-	-17	-
	Section 3.60 Pension Contribution Adjustment	-	4	-
	<b>Totals Available</b>	<b>\$850</b>	<b>\$650</b>	<b>\$2,000</b>
	Unexpended balance, estimated savings	-342	-	-
	<b>TOTALS, EXPENDITURES</b>	<b>\$508</b>	<b>\$650</b>	<b>\$2,000</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0981 California ABLE Act Board - Continued**

Total Expenditures, All Funds, (State Operations)

\$508

\$650

\$2,000

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	3.0	3.0	3.0	\$214	\$220	\$220
<b>Salary and Other Adjustments</b>	-0.3	-	-3.0	-12	-	-220
<b>Workload and Administrative Adjustments</b>						
<b>Continued Implementation of the California Achieving a Better Life Experience Program</b>						
Assoc Govtl Program Analyst	-	-	1.0	-	-	65
Executive Director	-	-	1.0	-	-	108
Staff Svcs Mgr I	-	-	1.0	-	-	76
<b>TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS</b>	-	-	3.0	\$-	\$-	\$249
<b>Totals, Adjustments</b>	-0.3	-	-	\$-12	\$-	\$29
<b>TOTALS, SALARIES AND WAGES</b>	2.7	3.0	3.0	\$202	\$220	\$249

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0983 California Urban Waterfront Area Restoration Financing Authority

Created in 1983, the California Urban Waterfront Area Restoration Financing Authority was established to restore, revitalize and develop in an environmentally and economically sound manner, the coastal and inland urban waterfront areas of the state, in cooperation with local governments. The Authority consists of five members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, the Secretary of the Natural Resources Agency, and the Executive Director of the State Coastal Conservancy.

### 3-YEAR EXPENDITURES AND POSITIONS <sup>†</sup>

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0900	California Urban Waterfront Restoration Financing Program	-	-	-	\$-	\$-	\$-
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		-	-	-	\$-	\$-	\$-

<sup>†</sup> The Authority funding is not subject to Budget Act appropriation. This budget is for informational purposes only. The informational budget presented here reflects the department's best estimate of the budgets for 2017-18 and 2018-19. Public Resource Code section 32054 authorizes an Executive Director; however, the position is currently vacant.

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Public Resources Code, Division 22, Sections 32000-32208.

### PROGRAM DESCRIPTIONS

0900 - The Authority was established to issue up to \$650 million in conduit financing to make loans or acquire title to property and to underwrite or undertake directly a variety of urban waterfront development projects within the coastal zone, the Sacramento-Yolo Port District, the Stockton Port District, and those metropolitan statistical areas meeting specified conditions. The Federal Tax Reform Act of 1986 requires that bonds authorized by the Authority generally be within an allocation from the state's "private activity" bond limit in order for the bonds to be federally tax-exempt, unless the issuer qualifies as a private, nonprofit business.

Financing is available for both publicly and privately sponsored projects that provide visitor-serving facilities, waterfront-dependent industries, public recreation, and erosion control facilities. The State Coastal Conservancy must approve both the specific project and a master plan for urban waterfront restoration before any project can obtain the Authority approval for revenue bond financing. The Authority has sold \$3.33 million in revenue bonds to date.

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 0984 California Secure Choice Retirement Savings Investment Board

The California Secure Choice Retirement Savings Investment Board was established by Chapter 734, Statutes of 2012 (SB 1234). Subsequently, Chapter 804, Statutes of 2016 (SB 1234) authorized the Board to implement the CalSavers Retirement Savings Program (formerly known as the California Secure Choice Retirement Savings Program), which is a state-administered retirement savings program for private sector employees in California with no access to workplace retirement savings plans.

The Board consists of nine members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, a retirement savings and investment expert appointed by the Senate Committee on Rules, an employee representative appointed by the Speaker of the Assembly, a small business representative appointed by the Governor, a public member appointed by the Governor, and two additional members appointed by the Governor.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0910	California Secure Choice Retirement Savings Investment Board	0.4	5.0	10.0	\$347	\$15,000	\$2,500
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>0.4</b>	<b>5.0</b>	<b>10.0</b>	<b>\$347</b>	<b>\$15,000</b>	<b>\$2,500</b>
<b>FUNDING</b>				<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>	
8081	Secure Choice Retirement Savings Program Fund			\$347	\$-	\$-	
8111	Secure Choice Retirement Savings Administration Fund			-	15,000	2,500	
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$347</b>	<b>\$15,000</b>	<b>\$2,500</b>	

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Government Code Sections 100000-100050.

### MAJOR PROGRAM CHANGES

- The Budget includes a \$2.5 million General Fund loan and 5 positions for the support of the Program's start-up and administrative activities.

### DETAILED BUDGET ADJUSTMENTS

		2017-18*			2018-19*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Workload Budget Change Proposals</b>							
• CalSavers Program Implementation		\$-	\$-	-	\$-	\$2,500	5.0
<b>Totals, Workload Budget Change Proposals</b>		<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-</b>	<b>\$2,500</b>	<b>5.0</b>
<b>Other Workload Budget Adjustments</b>							
• Miscellaneous Baseline Adjustments		-	-	-	-	-	5.0
<b>Totals, Other Workload Budget Adjustments</b>		<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-</b>	<b>\$-</b>	<b>5.0</b>
<b>Totals, Workload Budget Adjustments</b>		<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-</b>	<b>\$2,500</b>	<b>10.0</b>
<b>Totals, Budget Adjustments</b>		<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-</b>	<b>\$2,500</b>	<b>10.0</b>

### DETAILED EXPENDITURES BY PROGRAM

	2016-17*	2017-18*	2018-19*
<b>PROGRAM REQUIREMENTS</b>			

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0984 California Secure Choice Retirement Savings Investment Board - Continued****0910 CALIFORNIA SECURE CHOICE RETIREMENT SAVINGS INVESTMENT BOARD****State Operations:**

8081	Secure Choice Retirement Savings Program Fund	347	-	-
8111	Secure Choice Retirement Savings Administration Fund	-	15,000	2,500
<b>Totals, State Operations</b>		<b>\$347</b>	<b>\$15,000</b>	<b>\$2,500</b>
<b>TOTALS, EXPENDITURES</b>				
State Operations		347	15,000	2,500
<b>Totals, Expenditures</b>		<b>\$347</b>	<b>\$15,000</b>	<b>\$2,500</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	3.0	5.0	-	\$256	\$376	\$-
Other Adjustments	-2.6	-	10.0	-215	-	742
<b>Net Totals, Salaries and Wages</b>	<b>0.4</b>	<b>5.0</b>	<b>10.0</b>	<b>\$41</b>	<b>\$376</b>	<b>\$742</b>
Staff Benefits	-	-	-	20	197	404
<b>Totals, Personal Services</b>	<b>0.4</b>	<b>5.0</b>	<b>10.0</b>	<b>\$61</b>	<b>\$573</b>	<b>\$1,146</b>
OPERATING EXPENSES AND EQUIPMENT				\$286	\$14,427	\$1,354
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$347</b>	<b>\$15,000</b>	<b>\$2,500</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
<b>0001 General Fund</b>			
APPROPRIATIONS			
011 Budget Act appropriation (loan to Secure Choice Retirement Savings Program Fund)	(\$1,900)	(\$15,000)	(-)
CalSavers Program Implementation	(-)	(-)	(2,500)
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>8081 Secure Choice Retirement Savings Program Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,900	-	-
Prior Year Balances Available:			
Item 0984-001-8081, Budget Act of 2015 as reappropriated by Item 0984-490, Budget Act of 2016	200	-	-
<b>Totals Available</b>	<b>\$2,100</b>	<b>-</b>	<b>-</b>
Unexpended balance, estimated savings	-1,753	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$347</b>	<b>-</b>	<b>-</b>
<b>8111 Secure Choice Retirement Savings Administration Fund</b>			
APPROPRIATIONS			
Government Code section 100004 (Secure Choice administration)	-	\$15,000	\$2,500
<b>TOTALS, EXPENDITURES</b>	<b>-</b>	<b>\$15,000</b>	<b>\$2,500</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$347</b>	<b>\$15,000</b>	<b>\$2,500</b>

**CHANGES IN AUTHORIZED POSITIONS**

Positions			Expenditures		
2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0984 California Secure Choice Retirement Savings Investment Board - Continued**

<b>Baseline Positions</b>	3.0	5.0	-	\$256	\$376	\$-
<b>Salary and Other Adjustments</b>	-2.6	-	5.0	-215	-	-
<b>Workload and Administrative Adjustments</b>						
<b>CalSavers Program Implementation</b>						
Various	-	-	5.0	-	-	742
<b>TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS</b>	-	-	5.0	\$-	\$-	\$742
<b>Totals, Adjustments</b>	-2.6	-	10.0	\$-215	\$-	\$742
<b>TOTALS, SALARIES AND WAGES</b>	0.4	5.0	10.0	\$41	\$376	\$742

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## 0985 California School Finance Authority

The California School Finance Authority (CSFA), created in 1985, provides facilities and working capital financing to school districts, community college districts, county offices of education, and charter schools. The State Treasurer serves as chair of CSFA and the Superintendent of Public Instruction and the Director of Finance serve as members. CSFA has offices in Los Angeles and Sacramento.

CSFA serves as the primary state agency for California charter schools seeking facility and working capital assistance by providing access to low-cost financing through several State and federally funded programs.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0918	Smart Bonds	1.5	1.0	1.0	\$331	\$301	\$301
0920	Charter School Facilities Program	2.5	2.5	2.5	944	1,253	1,253
0925	State Charter School Facilities Incentive Grants Program	3.0	3.0	3.0	12,230	20,433	20,435
0930	Charter School Facility Grant Program	4.0	4.0	4.0	112,358	133,617	137,226
0935	Charter School Revolving Loan Program	1.0	1.0	1.0	7,097	12,502	12,502
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>12.0</b>	<b>11.5</b>	<b>11.5</b>	<b>\$132,960</b>	<b>\$168,106</b>	<b>\$171,717</b>

  

FUNDING		2016-17*	2017-18*	2018-19*
0001	General Fund	\$424	\$556	\$556
0001	General Fund, Proposition 98	112,031	133,177	136,786
0526	California School Finance Authority Fund	331	301	301
0606	Charter School Revolving Loan Fund	7,000	12,386	12,386
0890	Federal Trust Fund	12,230	20,433	20,435
6044	2004 State School Facilities Fund	641	687	687
6057	2006 State School Facilities Fund	303	566	566
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$132,960</b>	<b>\$168,106</b>	<b>\$171,717</b>

### LEGAL CITATIONS AND AUTHORITY

#### PROGRAM AUTHORITY

Education Code Sections 17078.52-17078.66, 17170-17199.5, 41365, 41366.5, 41366.7, 41367, and 47614.5

### MAJOR PROGRAM CHANGES

- An increase of \$21.1 million in one-time Proposition 98 General Fund in the current year and \$24.8 million ongoing Proposition 98 General Fund in the budget year was provided to the Charter School Facility Grant Program to reflect increases in programmatic costs.

### DETAILED BUDGET ADJUSTMENTS

		2017-18*			2018-19*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Workload Budget Change Proposals</b>							
• Adjust Budget Year Appropriation for the Charter School Facility Grant Program		\$-	\$-	-	\$24,755	\$-	-
• Augment Current Year Charter School Facility Grant Program Funding		21,146	-	-	-	-	-
<b>Totals, Workload Budget Change Proposals</b>		<b>\$21,146</b>	<b>\$-</b>	<b>-</b>	<b>\$24,755</b>	<b>\$-</b>	<b>-</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.



**0985 California School Finance Authority - Continued****Other Workload Budget Adjustments**

• Salary Adjustments	13	25	-	13	25	-
• Benefit Adjustments	6	9	-	6	10	-
• Retirement Rate Adjustments	5	11	-	5	11	-
• SWCAP	-	-	-	-	1	-
• Budget Position Transparency	-	-	-0.5	-	-	-0.5
• Miscellaneous Baseline Adjustments	-	-	-	-	-	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$24</b>	<b>\$45</b>	<b>-0.5</b>	<b>\$24</b>	<b>\$47</b>	<b>-0.5</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$21,170</b>	<b>\$45</b>	<b>-0.5</b>	<b>\$24,779</b>	<b>\$47</b>	<b>-0.5</b>
<b>Totals, Budget Adjustments</b>	<b>\$21,170</b>	<b>\$45</b>	<b>-0.5</b>	<b>\$24,779</b>	<b>\$47</b>	<b>-0.5</b>

**PROGRAM DESCRIPTIONS****0918 - SMART BONDS PROGRAM**

Chapter 1438, Statutes of 1985, as amended by Chapter 598, Statutes of 1987, established CSFA and authorized the issuance of revenue bonds or other debt instruments. The proceeds from the sale of the bonds were available for loans to schools and community college districts to assist with the acquisition of equipment and new school sites, construction of new facilities, reconstruction of existing facilities, capital improvements, and to provide working capital. Chapter 325, Statutes of 2006 (AB 2717), allows CSFA to issue debt on behalf of California charter schools for capital improvements and working capital needs.

**0920 - CHARTER SCHOOL FACILITIES PROGRAM**

Chapter 935, Statutes of 2002, as amended by Chapter 587, Statutes of 2003, created the Charter School Facilities Program (CSFP), which provides funding for the new construction, renovation or rehabilitation of charter school facilities. CSFA and the Office of Public School Construction jointly administer the program. CSFP was funded through the Kindergarten-University Public Education Facilities Bond Acts of 2002, 2004, 2006, and 2016, for a total of \$1.4 billion. The program provides a 50 percent state subsidy for the charter school facilities project costs, with the balance of the project costs being repaid (to the state) by the charter school in the form of a long-term lease. Recipient charter schools must provide site-based instruction, be deemed financially sound by CSFA, and meet other program eligibility requirements.

**0925 - STATE CHARTER SCHOOL FACILITIES INCENTIVE GRANTS PROGRAM**

In 2004, 2009, and 2014, CSFA was awarded grants under the United States Department of Education's State Charter School Facilities Incentive Grants Program (CFDA 84.282D) to provide California charter schools with assistance for facilities costs. Grant funds reimburse a charter school's costs for rent, lease, mortgage or debt service payments for existing or new facilities or the costs of acquiring land and/or constructing or renovating a facility. Grants are awarded to charter schools based on preference points allotted by the percentage of low-income students, percentage of overcrowding, not-for-profit status, and demonstrated student performance. Schools cannot use program funds to supplant state funding.

**0930 - CHARTER SCHOOL FACILITY GRANT PROGRAM**

The Charter School Facility Grant Program provides Proposition 98 General Fund grants to assist charter schools with rent and lease costs. This program targets schools and communities with significant populations of economically disadvantaged students. Eligible charter schools are provided up to \$1,145 per unit of eligible average daily attendance, not to exceed 75 percent of their total annual facilities rent or lease costs.

**0935 - CHARTER SCHOOL REVOLVING LOAN FUND**

Charter 1010, Statutes of 1976 established the Charter School Revolving Loan Fund in the State Treasury to provide loans of up to \$250,000 to new charter schools to assist them in meeting the purposes of the school's approved charter. The program is available to any charter school that is not a conversion of an existing public school, and preference is given to schools that have not completed their full charter term.

**DETAILED EXPENDITURES BY PROGRAM**

	<u>2016-17*</u>	<u>2017-18*</u>	<u>2018-19*</u>
<b>PROGRAM REQUIREMENTS</b>			
<b>0918 SMART BONDS</b>			
State Operations:			

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0985 California School Finance Authority - Continued**

0526	California School Finance Authority Fund	\$331	\$301	\$301
	<b>Totals, State Operations</b>	<b>\$331</b>	<b>\$301</b>	<b>\$301</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0920</b>	<b>CHARTER SCHOOL FACILITIES PROGRAM</b>			
	<b>State Operations:</b>			
6044	2004 State School Facilities Fund	641	687	687
6057	2006 State School Facilities Fund	303	566	566
	<b>Totals, State Operations</b>	<b>\$944</b>	<b>\$1,253</b>	<b>\$1,253</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0925</b>	<b>STATE CHARTER SCHOOL FACILITIES INCENTIVE GRANTS PROGRAM</b>			
	<b>State Operations:</b>			
0890	Federal Trust Fund	\$230	\$433	\$435
	<b>Totals, State Operations</b>	<b>\$230</b>	<b>\$433</b>	<b>\$435</b>
	<b>Local Assistance:</b>			
0890	Federal Trust Fund	\$12,000	\$20,000	\$20,000
	<b>Totals, Local Assistance</b>	<b>\$12,000</b>	<b>\$20,000</b>	<b>\$20,000</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0930</b>	<b>CHARTER SCHOOL FACILITY GRANT PROGRAM</b>			
	<b>State Operations:</b>			
0001	General Fund	\$327	\$440	\$440
	<b>Totals, State Operations</b>	<b>\$327</b>	<b>\$440</b>	<b>\$440</b>
	<b>Local Assistance:</b>			
0001	General Fund	\$112,031	\$133,177	\$136,786
	<b>Totals, Local Assistance</b>	<b>\$112,031</b>	<b>\$133,177</b>	<b>\$136,786</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0935</b>	<b>CHARTER SCHOOL REVOLVING LOAN PROGRAM</b>			
	<b>State Operations:</b>			
0001	General Fund	\$97	\$116	\$116
	<b>Totals, State Operations</b>	<b>\$97</b>	<b>\$116</b>	<b>\$116</b>
	<b>Local Assistance:</b>			
0606	Charter School Revolving Loan Fund	\$7,000	\$12,386	\$12,386
	<b>Totals, Local Assistance</b>	<b>\$7,000</b>	<b>\$12,386</b>	<b>\$12,386</b>
	<b>TOTALS, EXPENDITURES</b>			
	State Operations	1,929	2,543	2,545
	Local Assistance	131,031	165,563	169,172
	<b>Totals, Expenditures</b>	<b>\$132,960</b>	<b>\$168,106</b>	<b>\$171,717</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	12.0	12.0	12.0	\$786	\$755	\$755
Budget Position Transparency	-	-0.5	-0.5	-	-26	-26
Other Adjustments	-	-	-	35	38	38
<b>Net Totals, Salaries and Wages</b>	<b>12.0</b>	<b>11.5</b>	<b>11.5</b>	<b>\$821</b>	<b>\$767</b>	<b>\$767</b>
Staff Benefits	-	-	-	307	453	454
<b>Totals, Personal Services</b>	<b>12.0</b>	<b>11.5</b>	<b>11.5</b>	<b>\$1,128</b>	<b>\$1,220</b>	<b>\$1,221</b>
OPERATING EXPENSES AND EQUIPMENT				\$801	\$1,323	\$1,324

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0985 California School Finance Authority - Continued****TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS  
(State Operations)**

<b>\$1,929</b>	<b>\$2,543</b>	<b>\$2,545</b>
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**2 Local Assistance****Expenditures**

	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
Grants and Subventions - Governmental	\$131,031	\$165,563	\$169,172
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$131,031</b>	<b>\$165,563</b>	<b>\$169,172</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS****1 STATE OPERATIONS**

<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
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**0001 General Fund**

## APPROPRIATIONS

001 Budget Act appropriation	\$559	\$532	\$556
Allocation for Employee Compensation	-	13	-
Allocation for Staff Benefits	-	6	-
Section 3.60 Pension Contribution Adjustment	-	5	-

**Totals Available**

<b>\$559</b>	<b>\$556</b>	<b>\$556</b>
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Unexpended balance, estimated savings

-135	-	-
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**TOTALS, EXPENDITURES**

<b>\$424</b>	<b>\$556</b>	<b>\$556</b>
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**0526 California School Finance Authority Fund**

## APPROPRIATIONS

Education Code section 17181(a)	\$294	\$294	\$301
Allocation for Employee Compensation	-	4	-
Allocation for Staff Benefits	-	1	-
Budget Position Transparency	-	-26	-
Expenditure by Category Redistribution	-	26	-
Past Year Adjustments	37	-	-
Section 3.60 Pension Contribution Adjustment	-	2	-

**TOTALS, EXPENDITURES**

<b>\$331</b>	<b>\$301</b>	<b>\$301</b>
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**0890 Federal Trust Fund**

## APPROPRIATIONS

001 Budget Act appropriation	\$413	\$420	\$435
Allocation for Employee Compensation	-	8	-
Allocation for Staff Benefits	-	2	-
Past Year Adjustments	2	-	-
Section 3.60 Pension Contribution Adjustment	-	3	-

**Totals Available**

<b>\$415</b>	<b>\$433</b>	<b>\$435</b>
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Unexpended balance, estimated savings

-185	-	-
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**TOTALS, EXPENDITURES**

<b>\$230</b>	<b>\$433</b>	<b>\$435</b>
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**6044 2004 State School Facilities Fund**

## APPROPRIATIONS

Education Code Section 17078.52(b)(2) (Transfer to 2004 Charter School Facilities Account, 2004 State School Facilities Fund)	\$670	\$662	\$687
Current Year and Budget Year Adjustments	-	25	-

**Totals Available**

<b>\$670</b>	<b>\$687</b>	<b>\$687</b>
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Unexpended balance, estimated savings

-29	-	-
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**TOTALS, EXPENDITURES**

<b>\$641</b>	<b>\$687</b>	<b>\$687</b>
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**6057 2006 State School Facilities Fund**

## APPROPRIATIONS

Education Code Section 17078.52(b)(3) (Transfer to 2006 Charter School Facilities Account, 2006 State School Facilities Fund)	\$546	\$566	\$566
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\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0985 California School Finance Authority - Continued**

<b>Totals Available</b>	<b>\$546</b>	<b>\$566</b>	<b>\$566</b>
Unexpended balance, estimated savings	-243	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$303</b>	<b>\$566</b>	<b>\$566</b>
<b>9734 2004 Charter School Facilities Account, 2004 State School Facilities Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$670	\$662	\$687
Allocation for Employee Compensation	-	13	-
Allocation for Staff Benefits	-	6	-
Section 3.60 Pension Contribution Adjustment	-	6	-
<b>Totals Available</b>	<b>\$670</b>	<b>\$687</b>	<b>\$687</b>
Unexpended balance, estimated savings	-29	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$641</b>	<b>\$687</b>	<b>\$687</b>
Less funding provided by 2004 State School Facilities Fund	-641	-687	-687
<b>NET TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>9735 2006 Charter School Facilities Account, 2006 State School Facilities Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$546	\$566	\$566
<b>Totals Available</b>	<b>\$546</b>	<b>\$566</b>	<b>\$566</b>
Unexpended balance, estimated savings	-243	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$303</b>	<b>\$566</b>	<b>\$566</b>
Less funding provided by 2006 State School Facilities Fund	-303	-566	-566
<b>NET TOTALS, EXPENDITURES</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$1,929</b>	<b>\$2,543</b>	<b>\$2,545</b>

<b>2 LOCAL ASSISTANCE</b>	<b>2016-17*</b>	<b>2017-18*</b>	<b>2018-19*</b>
<b>0001 General Fund, Proposition 98</b>			
APPROPRIATIONS			
220 Budget Act appropriation	\$112,031	\$112,031	\$136,786
Augment Current Year Charter School Facility Grant Program Funding	-	21,146	-
<b>TOTALS, EXPENDITURES</b>	<b>\$112,031</b>	<b>\$133,177</b>	<b>\$136,786</b>
<b>0606 Charter School Revolving Loan Fund</b>			
APPROPRIATIONS			
Education Code section 41365	\$12,386	\$12,386	\$12,386
Past Year Adjustments	3,489	-	-
<b>Totals Available</b>	<b>\$15,875</b>	<b>\$12,386</b>	<b>\$12,386</b>
Unexpended balance, estimated savings	-8,875	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$7,000</b>	<b>\$12,386</b>	<b>\$12,386</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$20,000	\$20,000	\$20,000
<b>Totals Available</b>	<b>\$20,000</b>	<b>\$20,000</b>	<b>\$20,000</b>
Unexpended balance, estimated savings	-8,000	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$12,000</b>	<b>\$20,000</b>	<b>\$20,000</b>
<b>Total Expenditures, All Funds, (Local Assistance)</b>	<b>\$131,031</b>	<b>\$165,563</b>	<b>\$169,172</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$132,960</b>	<b>\$168,106</b>	<b>\$171,717</b>

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	12.0	12.0	12.0	\$786	\$755	\$755

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0985 California School Finance Authority - Continued**

Budget Position Transparency	-	-0.5	-0.5	-	-26	-26
<b>Salary and Other Adjustments</b>	-	-	-	35	38	38
<b>Totals, Adjustments</b>	-	-0.5	-0.5	<b>\$35</b>	<b>\$12</b>	<b>\$12</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>12.0</b>	<b>11.5</b>	<b>11.5</b>	<b>\$821</b>	<b>\$767</b>	<b>\$767</b>

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## 0989 California Educational Facilities Authority

The California Educational Facilities Authority (CEFA) provides qualified nonprofit higher education institutions with assistance through a tax-exempt revenue bond program to reduce the costs of financing academic facilities. CEFA may also issue bonds, notes, and other forms of indebtedness for student loans to support students' costs of higher education.

CEFA consists of the following five members: the Director of Finance, the State Controller, the State Treasurer, and two public members appointed by the Governor.

### 3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
0940	Bond Financing	4.6	5.0	5.0	\$851	\$923	\$923
0955	College Access Tax Credit Program	-	0.5	-	32	136	75
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>4.6</b>	<b>5.5</b>	<b>5.0</b>	<b>\$883</b>	<b>\$1,059</b>	<b>\$998</b>
<b>FUNDING</b>			<b>2016-17*</b>		<b>2017-18*</b>		<b>2018-19*</b>
0911	Educational Facilities Authority Fund			\$851		\$923	\$923
3263	College Access Tax Credit Fund			32		136	75
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$883</b>		<b>\$1,059</b>	<b>\$998</b>

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Education Code Sections 94100 to 94213.

### DETAILED BUDGET ADJUSTMENTS

			2017-18*			2018-19*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions		
<b>Workload Budget Adjustments</b>								
<b>Workload Budget Change Proposals</b>								
• College Access Tax Credit Fund Administration	\$-	\$-	-	\$-	\$66	-		
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-</b>	<b>\$66</b>	<b>-</b>		
<b>Other Workload Budget Adjustments</b>								
• Salary Adjustments	-	17	-	-	16	-		
• Benefit Adjustments	-	7	-	-	8	-		
• Retirement Rate Adjustments	-	7	-	-	7	-		
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$31</b>	<b>-</b>	<b>\$-</b>	<b>\$31</b>	<b>-</b>		
<b>Totals, Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$31</b>	<b>-</b>	<b>\$-</b>	<b>\$97</b>	<b>-</b>		
<b>Totals, Budget Adjustments</b>	<b>\$-</b>	<b>\$31</b>	<b>-</b>	<b>\$-</b>	<b>\$97</b>	<b>-</b>		

### PROGRAM DESCRIPTIONS

#### 0940 - BOND FINANCING

CEFA issues revenue bonds to assist private educational institutions of higher learning construct educational facilities. Because it is authorized to issue tax-exempt bonds, the Authority may be able to provide more favorable financing terms than might otherwise be obtainable. CEFA also may include qualifying nonprofit entities as eligible program participants for the construction of student and faculty housing. Bonds issued by CEFA are not a debt, liability, or claim on the faith and credit or the taxing power of the State of California or any of its political subdivisions. The full faith and credit of the participating institution is normally pledged to the payment of the bonds. Bonds issued for this purpose are not subject to the state's "private activity" bond ceiling as specified in federal law.

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0989 California Educational Facilities Authority - Continued**

For purposes of the California Educational Facilities Act, "private college" or "private participating college" means a private college that does not restrict the admission of a student based on his or her race or ethnicity, provided that the financing does not violate constitutional provisions.

"Bond" means bonds, notes, debentures, securities, or other evidences of indebtedness. This broad definition allows CEFA to issue tax-exempt direct or private placement loans for colleges and universities, which may provide greater cost savings than these colleges and universities might otherwise realize on a traditional bond financing.

**0955 - COLLEGE ACCESS TAX CREDIT FUND**

Chapter 367, Statutes of 2014, required CEFA to administer the College Access Tax Credit Fund and allocate and certify the tax credits for taxable years beginning on or after January 1, 2014, and before January 1, 2017. Chapter 22, Statutes of 2015, added a requirement that CEFA continue to allocate and certify the tax credits for taxable years beginning on or after January 1, 2017, and before January 1, 2018. Chapter 527, Statutes of 2017, extended CEFA's responsibility to administer the tax credits for taxable years beginning on or after January 1, 2017, and before January 1, 2023.

**DETAILED EXPENDITURES BY PROGRAM**

		2016-17*	2017-18*	2018-19*
	<b>PROGRAM REQUIREMENTS</b>			
<b>0940</b>	<b>BOND FINANCING</b>			
	<b>State Operations:</b>			
0911	Educational Facilities Authority Fund	\$851	\$923	\$923
	<b>Totals, State Operations</b>	<b>\$851</b>	<b>\$923</b>	<b>\$923</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0955</b>	<b>COLLEGE ACCESS TAX CREDIT PROGRAM</b>			
	<b>State Operations:</b>			
3263	College Access Tax Credit Fund	\$32	\$136	\$75
	<b>Totals, State Operations</b>	<b>\$32</b>	<b>\$136</b>	<b>\$75</b>
	<b>TOTALS, EXPENDITURES</b>			
	State Operations	883	1,059	998
	<b>Totals, Expenditures</b>	<b>\$883</b>	<b>\$1,059</b>	<b>\$998</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
PERSONAL SERVICES						
Baseline Positions	6.0	5.5	5.0	\$441	\$403	\$372
Other Adjustments	-1.4	-	-	-74	17	54
<b>Net Totals, Salaries and Wages</b>	<b>4.6</b>	<b>5.5</b>	<b>5.0</b>	<b>\$367</b>	<b>\$420</b>	<b>\$426</b>
Staff Benefits	-	-	-	202	217	222
<b>Totals, Personal Services</b>	<b>4.6</b>	<b>5.5</b>	<b>5.0</b>	<b>\$569</b>	<b>\$637</b>	<b>\$648</b>
OPERATING EXPENSES AND EQUIPMENT				\$314	\$422	\$350
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$883</b>	<b>\$1,059</b>	<b>\$998</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2016-17*	2017-18*	2018-19*
0911 Educational Facilities Authority Fund			
APPROPRIATIONS			

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0989 California Educational Facilities Authority - Continued**

Education Code sections 94140-94141	\$902	\$896	\$923
Allocation for Employee Compensation	-	15	-
Allocation for Staff Benefits	-	6	-
Section 3.60 Pension Contribution Adjustment	-	6	-
<b>Totals Available</b>	<b>\$902</b>	<b>\$923</b>	<b>\$923</b>
Unexpended balance, estimated savings	-51	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$851</b>	<b>\$923</b>	<b>\$923</b>
<b>3263 College Access Tax Credit Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$242	\$132	\$75
Allocation for Employee Compensation	-	2	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	1	-
<b>Totals Available</b>	<b>\$242</b>	<b>\$136</b>	<b>\$75</b>
Unexpended balance, estimated savings	-210	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$32</b>	<b>\$136</b>	<b>\$75</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$883</b>	<b>\$1,059</b>	<b>\$998</b>

**FUND CONDITION STATEMENTS**

	2016-17*	2017-18*	2018-19*
<b>3263 College Access Tax Credit Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$6,533	\$7,189	\$8,113
Prior Year Adjustments	1,246	-	-
Adjusted Beginning Balance	\$7,779	\$7,189	\$8,113
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	81	-	-
4171300 Donations	8,797	9,858	9,858
Transfers and Other Adjustments			
Revenue Transfer from College Access Tax Credit Fund (3263) to the General Fund (0001) per Revenue and Taxation Code Section 17053.86 (Ch. 367/2014)	-5,369	-5,369	-5,369
Revenue Transfer from College Access Tax Credit Fund (3263) to the General Fund (0001) per Revenue and Taxation Code Section 17053.86 (Ch.367/2014)	-	2,162	2,162
Total Revenues, Transfers, and Other Adjustments	\$3,509	\$6,651	\$6,651
Total Resources	\$11,288	\$13,840	\$14,764
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0989 California Educational Facilities Authority (State Operations)	32	136	75
6980 California Student Aid Commission (Local Assistance)	4,067	5,546	5,631
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	45	45
Total Expenditures and Expenditure Adjustments	\$4,099	\$5,727	\$5,751
FUND BALANCE	\$7,189	\$8,113	\$9,013
Reserve for economic uncertainties	7,189	8,113	9,013

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2016-17	2017-18	2018-19	2016-17*	2017-18*	2018-19*
<b>Baseline Positions</b>	6.0	5.5	5.0	\$441	\$403	\$372
<b>Salary and Other Adjustments</b>	-1.4	-	-	-74	17	16

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.



**0989 California Educational Facilities Authority - Continued****Workload and Administrative Adjustments****College Access Tax Credit Fund Administration**

Various	-	-	-	-	-	38
<b>TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS</b>	-	-	-	\$-	\$-	<b>\$38</b>
<b>Totals, Adjustments</b>	<b>-1.4</b>	<b>-</b>	<b>-</b>	<b>\$-74</b>	<b>\$17</b>	<b>\$54</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>4.6</b>	<b>5.5</b>	<b>5.0</b>	<b>\$367</b>	<b>\$420</b>	<b>\$426</b>