



Legislative, Judicial, and Executive

Governmental bodies classified under the Legislative, Judicial, and Executive section of the Governor's Budget are either established as independent entities under the California Constitution, or are departments with a recognized need to operate outside of the administrative oversight and control of an agency secretary. Constitutionally-established bodies include the Legislature, Judicial Branch, Governor's Office, and constitutional officers. This section also includes such independent entities as the Inspector General and the California State Lottery.

0110 Senate

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. The State Senate is comprised of 40 members who are elected for 4-year terms. Members of the Legislature may serve a maximum of 12 years total in the Senate, the Assembly, or both, in any combination of terms. Members first elected before June 6, 2012, however, may generally serve a maximum of 14 years total, with no more than two terms in the Senate and three terms in the Assembly.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0960 Support of the Senate	40.0	40.0	40.0	\$139,622	\$145,458	\$150,724
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	40.0	40.0	40.0	\$139,622	\$145,458	\$150,724
FUNDING				2018-19*	2019-20*	2020-21*
0001 General Fund				\$139,622	\$145,458	\$150,724
TOTALS, EXPENDITURES, ALL FUNDS				\$139,622	\$145,458	\$150,724

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article IV, Sections 1 and 2.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Legislature SAL Adjustment	\$-	\$-	-	\$-888	\$-	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-888	\$-	-
• Legislature SAL Adjustment	-	-	-	6,154	-	-
Totals, Other Workload Budget Adjustments	\$-	\$-	-	\$6,154	\$-	-
Totals, Workload Budget Adjustments	\$-	\$-	-	\$5,266	\$-	-
Totals, Budget Adjustments	\$-	\$-	-	\$5,266	\$-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0110 Senate - Continued**Senate Expenditures By Category**

	2018-19*	2019-20*	2020-21*
General Fund Expenses:			
Salaries of Senators	\$5,850	\$6,145	\$6,366
Mileage of Senators	11	11	11
Session Per Diem	1,619	1,773	1,848
Totals, General Fund Expenses	\$7,480	\$7,929	\$8,225
Operating Fund Expenses:			
Salaries and Employee Benefits	\$116,432	\$120,095	\$124,442
Travel and Per Diem	2,467	2,997	3105
Automotive Expenses	519	291	302
Automotive Repairs	68	40	41
Telephone	33	37	38
Postage	1,958	2,115	2192
Freight	92	114	118
Office Supplies	289	312	323
Printing	514	621	643
Publications	96	133	138
Building Expense	3,492	3,977	4121
Office Alterations	50	0	0
Furniture and Equipment Expense	299	544	564
Contracts	83	87	90
Meals	76	91	94
Ceremonies and Events	37	45	47
All Other Expenses	911	1,106	1138
Total, Operating Fund Expenses	\$127,416	\$132,605	\$137,397
Operating Fund Transfers:			
Legislative Analyst	\$4,726	\$4,924	\$5,102
Total, Fund Transfers	\$4,726	\$4,924	\$5,102
TOTAL, Senate Expenses	\$139,622	\$145,458	\$150,724

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0110 Senate - Continued**DETAILED EXPENDITURES BY PROGRAM**

		2018-19*	2019-20*	2020-21*
	PROGRAM REQUIREMENTS			
0960	SUPPORT OF THE SENATE			
	State Operations:			
0001	General Fund	\$139,622	\$145,458	\$150,724
	Totals, State Operations	\$139,622	\$145,458	\$150,724
	TOTALS, EXPENDITURES			
	State Operations	139,622	145,458	150,724
	Totals, Expenditures	\$139,622	\$145,458	\$150,724

EXPENDITURES BY CATEGORY

	1 State Operations	Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
	PERSONAL SERVICES						
	Baseline Positions	40.0	40.0	40.0	\$5,861	\$6,145	\$6,145
	Other Adjustments	-	-	-	-	-	221
	Net Totals, Salaries and Wages	40.0	40.0	40.0	\$5,861	\$6,145	\$6,366
	Staff Benefits	-	-	-	-	-	-
	Totals, Personal Services	40.0	40.0	40.0	\$5,861	\$6,145	\$6,366
	OPERATING EXPENSES AND EQUIPMENT				\$133,761	\$139,313	\$144,358
	TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$139,622	\$145,458	\$150,724

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

	1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
	0001 General Fund			
	APPROPRIATIONS			
	001 Budget Act appropriation (Senate)	\$139,622	\$145,458	\$150,724
	TOTALS, EXPENDITURES	\$139,622	\$145,458	\$150,724
	0348 Senate Operating Fund			
	APPROPRIATIONS			
	Government Code section 9129	\$139,622	\$145,458	\$150,724
	TOTALS, EXPENDITURES	\$139,622	\$145,458	\$150,724
	Less funding provided by General Fund	-139,622	-145,458	-150,724
	NET TOTALS, EXPENDITURES	-	-	-
	Total Expenditures, All Funds, (State Operations)	\$139,622	\$145,458	\$150,724

FUND CONDITION STATEMENTS

	0348 Senate Operating Fund^s	2018-19*	2019-20*	2020-21*
	BEGINNING BALANCE	\$10	\$7	\$7
	Prior Year Adjustments	-3	-	-
	Adjusted Beginning Balance	\$7	\$7	\$7
	Total Resources	\$7	\$7	\$7

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0110 Senate - Continued

	2018-19*	2019-20*	2020-21*
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0110 Senate (State Operations)	139,622	145,458	150,724
Less funding provided by General Fund (State Operations)	-139,622	-145,458	-150,724
FUND BALANCE			
Reserve for economic uncertainties	\$7	\$7	\$7
	7	7	7

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	40.0	40.0	40.0	\$5,861	\$6,145	\$6,145
Salary and Other Adjustments	-	-	-	-	-	221
Totals, Adjustments	-	-	-	\$-	\$-	\$221
TOTALS, SALARIES AND WAGES	40.0	40.0	40.0	\$5,861	\$6,145	\$6,366

0120 Assembly

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. The State Assembly is comprised of 80 members who are elected for 2-year terms. Members of the Legislature may serve a maximum of 12 years total in the Senate, the Assembly, or both, in any combination of terms. Members first elected before June 6, 2012, however, may generally serve a maximum of 14 years total, with no more than two terms in the Senate and three terms in the Assembly.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0970 Support of the Assembly	80.0	80.0	80.0	\$183,970	\$191,660	\$198,599
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	80.0	80.0	80.0	\$183,970	\$191,660	\$198,599
FUNDING						
0001 General Fund				\$183,970	\$191,660	\$198,599
TOTALS, EXPENDITURES, ALL FUNDS				\$183,970	\$191,660	\$198,599

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article IV, Sections 1 and 2.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0120 Assembly - Continued

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Legislature SAL Adjustment	\$-	\$-	-	\$-1,169	\$-	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-1,169	\$-	-
• Legislature SAL Adjustment	-	-	-	8,108	-	-
Totals, Other Workload Budget Adjustments	\$-	\$-	-	\$8,108	\$-	-
Totals, Workload Budget Adjustments	\$-	\$-	-	\$6,939	\$-	-
Totals, Budget Adjustments	\$-	\$-	-	\$6,939	\$-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0120 Assembly - Continued**Assembly Expenditures By Category**

	2018-19*	2019-20*	2020-21*
General Fund Expenses:			
Salaries of Assembly Members	\$11,500	\$12,300	\$12,742
Mileage of Assembly Members	8	8	8
Session Per Diem	3,150	3,360	3,583
Totals, General Fund Expenses	\$14,658	\$15,668	\$16,407
Operating Fund Expenses:			
Salaries and Employee Benefits	\$144,801	\$150,822	156,192
Travel and Per Diem	2,625	2,700	2,798
Automotive Expenses	64	68	70
Automotive Repairs	44	46	48
Equipment and Furniture	270	285	295
Building Utilities, Maintenance, and Rent	4,097	4,150	4,300
Office Alterations	83	88	91
Telephone	405	427	442
Postage	218	230	238
Freight	116	123	127
Communications	5,325	5,364	5,558
Office Supplies	656	692	717
Printing	3,765	3,873	3,933
Publications	102	108	112
Meals	17	18	19
Ceremonies and Events	25	27	28
All Other Expenses	1,973	2,047	2,120
Total, Operating Fund Expenses	\$164,586	\$171,068	\$177,090
Operating Fund Transfers:			
Office of the Legislative Analyst	\$4,726	\$4,924	\$5,102
State Agencies	0	0	0
Total, Fund Transfers	\$4,726	\$4,924	\$5,102
TOTAL, Assembly Expenses	\$183,970	\$191,660	\$198,599

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0120 Assembly - Continued**DETAILED EXPENDITURES BY PROGRAM**

		2018-19*	2019-20*	2020-21*
	PROGRAM REQUIREMENTS			
0970	SUPPORT OF THE ASSEMBLY			
	State Operations:			
0001	General Fund	\$183,970	\$191,660	\$198,599
	Totals, State Operations	\$183,970	\$191,660	\$198,599
	TOTALS, EXPENDITURES			
	State Operations	183,970	191,660	198,599
	Totals, Expenditures	\$183,970	\$191,660	\$198,599

EXPENDITURES BY CATEGORY

	1 State Operations	Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
	PERSONAL SERVICES						
	Baseline Positions	80.0	80.0	80.0	\$11,222	\$12,300	\$12,300
	Other Adjustments	-	-	-	-	-	442
	Net Totals, Salaries and Wages	80.0	80.0	80.0	\$11,222	\$12,300	\$12,742
	Staff Benefits	-	-	-	-	-	-
	Totals, Personal Services	80.0	80.0	80.0	\$11,222	\$12,300	\$12,742
	OPERATING EXPENSES AND EQUIPMENT				\$172,748	\$179,360	\$185,857
	TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$183,970	\$191,660	\$198,599

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

	1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
	0001 General Fund			
	APPROPRIATIONS			
	011 Budget Act appropriation (Assembly)	\$183,970	\$191,660	\$198,599
	TOTALS, EXPENDITURES	\$183,970	\$191,660	\$198,599
	0125 Assembly Operating Fund			
	APPROPRIATIONS			
	Government Code section 9129	\$183,970	\$191,660	\$198,599
	TOTALS, EXPENDITURES	\$183,970	\$191,660	\$198,599
	Less funding provided by General Fund	-183,970	-191,660	-198,599
	NET TOTALS, EXPENDITURES	-	-	-
	Total Expenditures, All Funds, (State Operations)	\$183,970	\$191,660	\$198,599

FUND CONDITION STATEMENTS

	0125 Assembly Operating Fund^s	2018-19*	2019-20*	2020-21*
	BEGINNING BALANCE	\$127	\$145	\$145
	Prior Year Adjustments	-51	-	-
	Adjusted Beginning Balance	\$76	\$145	\$145
	REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0120 Assembly - Continued

	2018-19*	2019-20*	2020-21*
Revenues:			
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	21	-	-
4172500 Miscellaneous Revenue	48	-	-
Total Revenues, Transfers, and Other Adjustments	\$69	-	-
Total Resources	\$145	\$145	\$145
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0120 Assembly (State Operations)	183,970	191,660	198,599
Less funding provided by General Fund (State Operations)	-183,970	-191,660	-198,599
FUND BALANCE	\$145	\$145	\$145
Reserve for economic uncertainties	145	145	145
0160 Operating Funds of the Assembly and Senate^s			
BEGINNING BALANCE	\$35	\$34	\$34
Prior Year Adjustments	-2	-	-
Adjusted Beginning Balance	\$33	\$34	\$34
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172500 Miscellaneous Revenue	1	-	-
Transfers and Other Adjustments			
Revenue transfer from the State Project Infrastructure Fund (3292) to the Operating Funds of the Assembly and Senate (0160) per GC Sections 9112(a)(1) and 14692(a)(2)(c)(1)	-	8,809	-
Revenue transfer from the State Project Infrastructure Fund (3292) to the Operating Funds of the Assembly and Senate (0160) per GC Sections 9114.5(a) and 14692(a)(2)(C)	6,757	-	-
Total Revenues, Transfers, and Other Adjustments	\$6,758	\$8,809	-
Total Resources	\$6,791	\$8,843	\$34
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0130 Joint Expenses (Capital Outlay)	6,757	8,809	-
Total Expenditures and Expenditure Adjustments	\$6,757	\$8,809	-
FUND BALANCE	\$34	\$34	\$34
Reserve for economic uncertainties	34	34	34

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	80.0	80.0	80.0	\$11,222	\$12,300	\$12,300
Salary and Other Adjustments	-	-	-	-	-	442
Totals, Adjustments	-	-	-	\$-	\$-	\$442
TOTALS, SALARIES AND WAGES	80.0	80.0	80.0	\$11,222	\$12,300	\$12,742

0130 Joint Expenses

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. Both houses contribute to support the Legislative Analyst's Office, which provides fiscal and policy advice to the Legislature, and is overseen by the 16-member bipartisan Joint Legislative Budget Committee.

Because the Legislature's programs drive a need for infrastructure investment, the Legislature has a capital outlay program to support this need. For the specifics on the Legislature's capital outlay program see "Infrastructure Overview."

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0130 Joint Expenses - Continued**3-YEAR EXPENDITURES AND POSITIONS**

		Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0980	Support of the Office of the Legislative Analyst	-	-	-	\$9,452	\$9,848	\$10,204
0985	Transferred from Item 0110-001-0001	-	-	-	-4,726	-4,924	-5,102
0990	Transferred from Item 0120-011-0001	-	-	-	-4,726	-4,924	-5,102
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		-	-	-	\$-	\$-	\$-

DETAILED EXPENDITURES BY PROGRAM

			2018-19*	2019-20*	2020-21*
PROGRAM REQUIREMENTS					
0980	SUPPORT OF THE OFFICE OF THE LEGISLATIVE ANALYST				
State Operations:					
0001	General Fund		\$9,452	\$9,848	\$10,204
Totals, State Operations			\$9,452	\$9,848	\$10,204
PROGRAM REQUIREMENTS					
0985	TRANSFERRED FROM ITEM 0110-001-0001				
State Operations:					
0001	General Fund		-\$4,726	-\$4,924	-\$5,102
Totals, State Operations			-\$4,726	-\$4,924	-\$5,102
PROGRAM REQUIREMENTS					
0990	TRANSFERRED FROM ITEM 0120-011-0001				
State Operations:					
0001	General Fund		-\$4,726	-\$4,924	-\$5,102
Totals, State Operations			-\$4,726	-\$4,924	-\$5,102
TOTALS, EXPENDITURES					
Totals, Expenditures			\$-	\$-	\$-

INFRASTRUCTURE OVERVIEW

The California State Capitol, which serves as the state's working seat of government, began construction in 1860 and was completed in 1874. The Capitol remained largely unchanged until 1949, when additional space requirements drove the need for construction of the Capitol Annex, which attaches to the east side of the original Capitol building. The Annex, which was completed in 1951, houses legislative offices, committee rooms, and the Governor's offices.

Government Code Section 9112(a) authorizes the Joint Rules Committee to pursue the construction of a new, restored, rehabilitated, renovated, or reconstructed capitol building annex and associated projects.

SUMMARY OF PROJECTS

	State Building Program Expenditures	2018-19*	2019-20*	2020-21*
0991 CAPITAL OUTLAY Projects				
0005229	Sacramento: Capitol Annex	6,757	8,809	-
	Study	6,757	8,809	-
TOTALS, EXPENDITURES, ALL PROJECTS			\$6,757	\$8,809
FUNDING				
0160	Operating Funds of the Assembly and Senate	\$6,757	\$8,809	\$-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0130 Joint Expenses - Continued

FUNDING	2018-19*	2019-20*	2020-21*
TOTALS, EXPENDITURES, ALL FUNDS	\$6,757	\$8,809	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

3 CAPITAL OUTLAY	2018-19*	2019-20*	2020-21*
0160 Operating Funds of the Assembly and Senate			
APPROPRIATIONS			
Government Code section 9114.5	\$6,757	-	-
Current Year Adjustments	-	8,809	-
TOTALS, EXPENDITURES	\$6,757	\$8,809	-
Total Expenditures, All Funds, (Capital Outlay)	\$6,757	\$8,809	\$0

0150 Contributions to the Legislators' Retirement System

Established in 1947, the Legislators' Retirement System provides retirement and death benefits for legislators, constitutional officers, and legislative statutory officers.

The California Public Employees' Retirement System (CalPERS) administers the Legislators' Retirement System on behalf of its members. Retirement benefits paid are based upon age, years of service, and final compensation. Benefits for death, disability, and surviving dependents of deceased members are also provided under specific conditions. The Legislators' Retirement System is funded through contributions from the state, members of the system, and investment earnings.

Proposition 140, approved by voters in 1990, closed the Legislators' Retirement System to all new legislators after November 6, 1990. Subsequently, the California Public Employees' Pension Reform Act of 2013, Chapter 296, Statutes of 2012 (AB 340) closed the Legislators' Retirement System to all new members effective January 1, 2013. New constitutional officers, statutory officers, Sergeant-at-Arms, and the Insurance Commissioner retain optional membership rights in CalPERS.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0110 Legislators' Retirement System	-	-	-	\$6,945	\$7,020	\$7,111
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$6,945	\$7,020	\$7,111
FUNDING	2018-19*		2019-20*		2020-21*	
0820 Legislators Retirement Fund	\$6,945		\$7,020		\$7,111	
TOTALS, EXPENDITURES, ALL FUNDS	\$6,945		\$7,020		\$7,111	

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 2, Part 1, Chapter 3.5.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0150 Contributions to the Legislators' Retirement System - Continued

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Other Workload Budget Adjustments						
• Miscellaneous Baseline Adjustments	\$-	\$-669	-	\$-	\$-578	-
Totals, Other Workload Budget Adjustments	\$-	\$-669	-	\$-	\$-578	-
Totals, Workload Budget Adjustments	\$-	\$-669	-	\$-	\$-578	-
Totals, Budget Adjustments	\$-	\$-669	-	\$-	\$-578	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0150 Contributions to the Legislators' Retirement System - Continued

0820 Legislators' Retirement Fund Summary

0820 Legislators' Retirement Fund ^{1/}	PY 2018-19*	CY 2019-20*	BY 2020-21*
Beginning Balance	\$114,780	\$114,403	\$115,041
Prior Year Adjustments	-726		
	\$114,054	\$114,403	\$115,041
Revenues:			
Investment Income	\$7,859	\$7,884	\$7,925
State Contributions	250	412	377
Member Contributions	91	89	87
Contribution Refunds	-344	-211	-185
Total Revenues	\$7,856	\$8,174	\$8,204
Expenditures:			
Pension Benefit Payments	\$6,945	\$7,020	\$7,111
Administrative Expenditures ^{2/}	562	516	508
Total Expenditures	\$7,507	\$7,536	\$7,619
Ending Fund Balance	\$114,403	\$115,041	\$115,626

^{1/}This display reflects fund data as of the 2020-21 Governor's Budget.

^{2/}The 2020 Budget Act estimates 2020-21 administrative expenditures to be \$509,000, as approved by the CalPERS Board of Administration at its April 2020 meeting.

0150 Contributions to the Legislators' Retirement System - Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

4 UNCLASSIFIED	2018-19*	2019-20*	2020-21*
0820 Legislators Retirement Fund			
APPROPRIATIONS			
Government Code section 9359.1	\$6,945	\$7,689	\$7,111
Revised Estimates	-	-669	-
TOTALS, EXPENDITURES	\$6,945	\$7,020	\$7,111
Total Expenditures, All Funds, (Unclassified)	\$6,945	\$7,020	\$7,111

0160 Legislative Counsel Bureau

The Legislative Counsel Bureau (Bureau) provides legal assistance to the two houses of the Legislature, and their members and committees, by resolving a large volume of complex legal problems arising in connection with the legislative process. The legal services furnished include rendering opinions, drafting bills, counseling, attending as counsel meetings of legislative committees, and representing the Legislature in litigation. The attorney-client relationship is maintained and all work is confidential.

In addition, the Bureau prepares and provides necessary indices and appropriate tables necessary to identify legislative measures and compiles and indexes statutes and codes.

The Bureau operates the Legislative Data Center, which provides information technology services in support of the legislative information system and the processing of legislative measures.

Pursuant to Chapter 46 of the Statutes of 2012, the California Commission on Uniform State Laws was transferred into the Bureau. The California Commission on Uniform State Laws presents to the Legislature uniform laws recommended by the National Conference of Commissioners on Uniform State Laws and then promotes passage of these uniform acts.

Pursuant to Chapter 450 of the Statutes of 2018, the Bureau provides advice and investigation services to the Legislature related to workplace misconduct through the Workplace Conduct Unit.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0120 Support	525.0	634.0	634.0	\$109,445	\$114,188	\$114,296
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	525.0	634.0	634.0	\$109,445	\$114,188	\$114,296
FUNDING						
0001 General Fund				\$90,272	\$94,949	\$95,037
0995 Reimbursements				110	131	131
9740 Central Service Cost Recovery Fund				19,063	19,108	19,128
TOTALS, EXPENDITURES, ALL FUNDS				\$109,445	\$114,188	\$114,296

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Sections 10200-10249 and 10270-10282.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0160 Legislative Counsel Bureau - Continued**DETAILED BUDGET ADJUSTMENTS**

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$360	\$79	-	\$360	\$79	-
• Salary Adjustments	1,449	321	-	1,443	320	-
• Benefit Adjustments	676	150	-	770	171	-
• Retirement Rate Adjustments	733	161	-	733	161	-
Totals, Other Workload Budget Adjustments	\$3,218	\$711	-	\$3,306	\$731	-
Totals, Workload Budget Adjustments	\$3,218	\$711	-	\$3,306	\$731	-
Totals, Budget Adjustments	\$3,218	\$711	-	\$3,306	\$731	-

DETAILED EXPENDITURES BY PROGRAM

			2018-19*	2019-20*	2020-21*		
			2018-19*	2019-20*	2020-21*		
PROGRAM REQUIREMENTS							
0120 SUPPORT							
State Operations:							
0001 General Fund		\$90,272		\$94,949	\$95,037		
0995 Reimbursements		110		131	131		
9740 Central Service Cost Recovery Fund		19,063		19,108	19,128		
Totals, State Operations		\$109,445		\$114,188	\$114,296		
TOTALS, EXPENDITURES							
State Operations		109,445		114,188	114,296		
Totals, Expenditures		\$109,445		\$114,188	\$114,296		

EXPENDITURES BY CATEGORY

	1 State Operations	Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES							
Baseline Positions	630.0	634.0	634.0	\$54,435	\$54,653	\$54,653	
Other Adjustments	-105.0	-	-	-5,147	1,770	1,763	
Net Totals, Salaries and Wages	525.0	634.0	634.0	\$49,288	\$56,423	\$56,416	
Staff Benefits	-	-	-	24,925	30,051	30,166	
Totals, Personal Services	525.0	634.0	634.0	\$74,213	\$86,474	\$86,582	
OPERATING EXPENSES AND EQUIPMENT							
				\$35,232	\$27,714	\$27,714	
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$109,445	\$114,188	\$114,296	

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0160 Legislative Counsel Bureau - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
001 Budget Act appropriation	\$90,272	\$91,731	\$95,037
Allocation for Employee Compensation	-	1,449	-
Allocation for Other Post-Employment Benefits	-	360	-
Allocation for Staff Benefits	-	676	-
Section 3.60 Pension Contribution Adjustment	-	733	-
TOTALS, EXPENDITURES	\$90,272	\$94,949	\$95,037
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$110	\$131	\$131
TOTALS, EXPENDITURES	\$110	\$131	\$131
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$19,063	\$18,397	\$19,128
Allocation for Employee Compensation	-	321	-
Allocation for Other Post-Employment Benefits	-	79	-
Allocation for Staff Benefits	-	150	-
Section 3.60 Pension Contribution Adjustment	-	161	-
TOTALS, EXPENDITURES	\$19,063	\$19,108	\$19,128
Total Expenditures, All Funds, (State Operations)	\$109,445	\$114,188	\$114,296

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	630.0	634.0	634.0	\$54,435	\$54,653	\$54,653
Salary and Other Adjustments	-105.0	-	-	-5,147	1,770	1,763
Totals, Adjustments	-105.0	-	-	\$-5,147	\$1,770	\$1,763
TOTALS, SALARIES AND WAGES	525.0	634.0	634.0	\$49,288	\$56,423	\$56,416

0250 Judicial Branch

Article VI of the Constitution creates the Supreme Court of California and the Courts of Appeal to exercise the judicial power of the state at the appellate level. Article VI also creates the Judicial Council of California to administer the state's judicial system. Chapter 869, Statutes of 1997, created the California Habeas Corpus Resource Center to represent any person financially unable to employ appellate counsel in capital cases.

The Lockyer-Isenberg Trial Court Funding Act of 1997 (Chapter 850, Statutes of 1997) provided a stable and consistent funding source for the trial courts. Beginning with fiscal year 1997-98, consolidation of the costs of trial court operation was implemented at the state level, with the exception of facility, revenue collection, and local judicial benefit costs. This implementation capped the counties' general purpose revenue contributions to trial court costs at a revised 1994-95 level. The county contributions become part of the Trial Court Trust Fund, which supports all trial court operations. Fine and penalty revenue collected by each county is retained or distributed in accordance with statute. Each county makes quarterly payments to the Trial Court Trust Fund equal to the fine and penalty revenue received by the state General Fund in 1994-95, as adjusted by amounts equivalent to specified fine and fee revenues that counties benefited from in 2003-04. The Trial Court Facilities Act of 2002 (Chapter 1082, statutes of 2002) provided a process for the responsibility for court facilities to be transferred from the counties to the state by July 1, 2007, which was extended to December 31, 2009, by Chapter 9, Statutes of 2008. The Trial Court Facilities Act of 2002 also established several new revenue sources, effective on January 1, 2003. These revenues are deposited into the State Court Facilities Construction Fund for the purpose of funding the construction and maintenance of court facilities throughout the state. Counties contribute revenues for the ongoing operation and maintenance of court facilities based upon historical expenditures for facilities transferred to the state.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

The mission of the Judicial Branch is to resolve disputes arising under the law and to interpret and apply the law consistently, impartially, and independently to protect the rights and liberties guaranteed by the Constitutions of California and the United States, in a fair, accessible, effective, and efficient manner.

Because the Judicial Branch's programs drive a need for infrastructure investment, the Judicial Branch has a capital outlay program to support this need. For the specifics on the Judicial Branch's capital outlay program, see "Infrastructure Overview."

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0130	Supreme Court	151.2	153.2	153.2	\$48,834	\$52,833	\$50,318
0135	Courts of Appeal	786.2	785.3	785.3	227,678	258,535	246,534
0140	Judicial Council	701.0	697.5	701.6	586,387	729,071	674,867
0150	State Trial Court Funding	-	-	-	2,922,130	3,087,593	3,110,802
0155	Habeas Corpus Resource Center	74.8	78.1	78.1	15,700	17,418	16,846
0170	Offset from Local Property Tax Revenue	-	-	-	-63,651	-93,346	-93,346
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		1,713.2	1,714.1	1,718.2	\$3,737,078	\$4,052,104	\$4,006,021
FUNDING					2018-19*	2019-20*	2020-21*
0001	General Fund				\$1,860,168	\$2,258,378	\$2,159,024
0044	Motor Vehicle Account, State Transportation Fund				182	225	225
0159	State Trial Court Improvement and Modernization Fund				9,423	2,060	20,164
0327	Court Interpreters Fund				156	156	156
0587	Family Law Trust Fund				1,349	1,706	1,706
0890	Federal Trust Fund				3,097	6,694	6,716
0932	Trial Court Trust Fund				1,310,954	1,159,318	1,170,654
0942	Special Deposit Fund				23	-	-
0995	Reimbursements				80,213	122,630	145,686
3037	State Court Facilities Construction Fund				142,194	161,337	161,437
3060	Appellate Court Trust Fund				1,702	7,498	7,349
3066	Court Facilities Trust Fund				98,537	104,030	104,030
3085	Mental Health Services Fund				1,048	1,182	1,183
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund				225,847	225,888	226,689
8059	State Community Corrections Performance Incentive Fund				1,111	1,000	1,000
9728	Judicial Branch Workers Compensation Fund				1,074	2	2
TOTALS, EXPENDITURES, ALL FUNDS					\$3,737,078	\$4,052,104	\$4,006,021

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

California Constitution, Article VI.

PROGRAM AUTHORITY

0150-State Trial Court Funding:
California Constitution, Article VI, Section 4.

0150037-Court Interpreters:
Trial Court Interpreter Employment and Labor Relations Act, Government Code Sections 71800-71829.

0155-Habeas Corpus Resource Center:
Government Code Sections 68660-68666.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

MAJOR PROGRAM CHANGES

- Trial Court Trust Fund Shortfall—The Budget includes an additional \$238.5 million one-time General Fund in 2020-21 to backfill declining fine and fee revenues: \$90.9 million in 2019-20 and \$147.6 million for 2020-21.
 - Judicial Branch Budget Reductions—The Budget includes baseline reductions of \$176.9 million General Fund for the trial courts and \$23.1 million General Fund for the state level judiciary in 2020-21. However, If the federal government provides sufficient funding by October 15, 2020, \$126.9 million for the trial courts and \$23.1 million for the state level judiciary will be restored in 2020-21.
 - Resources to Fund Additional Workload from COVID-19—The Budget includes \$50 million one-time General Fund in 2020-21 to help the trial courts address the backlog in cases stemming from COVID-19 and resume normal operations.
 - Trial Court Employee Benefits—The Budget includes \$30 million ongoing General Fund for trial court employee health benefit and retirement costs, which brings the total funding for this purpose to \$180.5 million.
 - Modernization of Court Operations—The Budget includes \$25 million General Fund in 2020-21 and 2021-22 for modernizing court operations with the goal of achieving efficiencies and increasing access to court services online. The Judicial Council may allocate this funding for projects such as enabling electronic case filing, digitizing documents, resolving disputes online, and utilizing video technology to facilitate remote participating in hearings.
 - Language Access—The Budget includes \$9.9 million General Fund in 2020-21 and \$9.6 million annually thereafter for increased costs for court interpreters and to purchase equipment for the newly established Video Remote Interpreting Program. This will bring total funding for court interpreters to \$132 million annually.
 - County Law Libraries Backfill—The Budget includes \$7 million one-time General Fund in 2020-21 to backfill County Law Libraries for lost revenue due to the reduction in civil case filings from COVID-19.
 - Litigation Management Program—The Budget includes \$437,000 General Fund in 2020-21 and annually thereafter for the Judicial Branch to pay for legal defense services provided by the Department of Justice.
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DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Trial Court Trust Fund Revenue Shortfall for 2020-21	\$-	\$-	-	\$147,606	\$-147,606	-
• Support for Trial Court Operations	-	-	-	107,593	-	-
• Trial Court Trust Fund Revenue Shortfall for 2019-20	-	-	-	90,917	-90,917	-
• Resources to Fund Additional Workload from COVID-19	-	-	-	50,000	-	-
• Modernization of Court Operations	-	-	-	25,000	-	-
• Information Technology Modernization	-	-	-	10,260	-	12.0
• Language Access Plan Implementation	-	-	-	8,896	-	3.0
• Court Navigator Program	-	-	-	8,060	-	2.5
• Legislative Proposal: County Law Libraries Backfill	-	-	-	7,000	-	-
• Digitizing Documents Phases 2 and 3	-	-	-	6,895	-	1.0
• Appellate Court Appointed Counsel Projects	-	-	-	1,221	-	-
• Adjustment to Court Interpreter Costs	-	-	-	1,032	-	-
• Statutory Statewide External Audit Program	-	-	-	963	-	-
• Litigation Management Program	-	-	-	437	-	-
• Appointed Legal Counsel in Civil Cases (AB 330)	-	-	-	-	11,200	2.0

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Court Innovation Grant Program Provisional Language	-	-	-	-	-	-
• Withdraw Statutory Statewide External Audit Program	-	-	-	-963	-	-
• Withdraw Appellate Court Appointed Counsel Projects	-	-	-	-1,221	-	-
• Trial Court Employee Benefit Adjustment	-	-	-	-5,072	-	-
• Withdraw Digitizing Documents Phases 2 and 3	-	-	-	-6,895	-	-1.0
• Withdraw Court Navigator Program	-	-	-	-8,060	-	-2.5
• Withdraw Information Technology Modernization	-	-	-	-10,260	-	-12.0
• Withdraw Support for Trial Court Operations	-	-	-	-107,593	-	-
• Judicial Branch Budget Reduction	-	-	-	-200,000	-	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$125,816	\$-227,323	5.0
Other Workload Budget Adjustments						
• Trial Court Employee Benefits Adjustment	-	-	-	35,123	-	-
• Expenditure by Category Redistribution	26,468	9,640	-	23,004	8,379	-
• Other Post-Employment Benefit Adjustments	26	-	-	26	-	-
• Adjustment per Control Section 15.45	-3,219	-	-	-3,219	-	-
• Trial Court Trust Fund Revenue Backfill	-	-	-	-6,541	6,541	-
• Salary Adjustments	19,557	852	-	19,557	852	-
• Benefit Adjustments	3,055	387	-	3,277	428	-
• Retirement Rate Adjustments	2,976	408	-	2,976	408	-
• SWCAP	-	-	-	-	19	-
• Lease Revenue Debt Service Adjustment	6	-87	-	-302	27,257	-
• Budget Position Transparency	-26,468	-9,640	-59.3	-23,004	-8,379	-59.2
• Miscellaneous Baseline Adjustments	38,656	-233,415	-	-27,242	17,726	-
Totals, Other Workload Budget Adjustments	\$61,057	\$-231,855	-59.3	\$23,655	\$53,231	-59.2
Totals, Workload Budget Adjustments	\$61,057	\$-231,855	-59.3	\$149,471	\$-174,092	-54.2
Totals, Budget Adjustments	\$61,057	\$-231,855	-59.3	\$149,471	\$-174,092	-54.2

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

Trial Court Funding, Expenditures, and Positions – 2018-19 and 2019-20

Section 1. Actual and Estimated Funding, Expenditures, and Positions by Trial Court

Court	Prior-Year Actual				Current-Year Estimated			
	State Funding ¹ (Program 0150)	Non-State Funding ¹	Total Court Expenditures ¹ as of 7/1/2018 ²	Filled Positions	State Funding ³ (Program 0150)	Non-State Funding ³	Total Court Expenditures ³ as of 7/1/2019 ²	Filled Positions
Alameda	90,696,070	11,487,552	104,548,132	653	93,902,570	13,502,427	107,320,618	675
Alpine	771,508	28,685	812,874	5	809,933	34,241	854,543	4
Amador	3,184,586	666,025	3,727,041	27	3,521,164	611,007	4,233,216	28
Butte	13,524,845	1,828,086	15,138,286	122	13,994,486	1,963,650	16,292,596	123
Calaveras	2,966,822	382,966	3,093,111	22	3,191,859	386,178	3,563,035	23
Colusa	2,090,630	210,753	1,950,875	13	2,251,602	243,394	2,470,942	14
Contra Costa	52,846,089	6,396,936	59,551,348	320	57,697,915	6,367,314	65,352,409	322
Del Norte	3,245,294	438,451	3,613,461	23	3,493,630	457,130	3,967,709	23
El Dorado	8,235,869	1,440,015	9,227,888	71	8,327,920	1,446,938	9,690,750	73
Fresno	61,934,961	5,400,129	64,496,580	485	62,452,065	6,207,902	70,728,397	472
Glenn	2,553,942	705,288	3,304,088	22	2,667,784	750,111	3,339,636	22
Humboldt	8,662,713	616,785	9,177,936	83	9,653,372	1,677,800	11,477,346	82
Imperial	10,910,672	1,677,771	12,221,037	122	11,422,066	1,459,917	13,108,431	116
Inyo	2,454,973	546,215	3,022,215	16	2,570,021	584,986	3,156,632	16
Kern	67,436,869	16,159,847	83,713,339	486	68,365,357	16,565,659	85,919,782	525
Kings	10,336,539	1,173,926	10,680,216	81	10,449,651	1,126,567	11,393,135	88
Lake	4,367,896	358,581	4,485,194	31	4,963,004	283,710	5,578,027	32
Lassen	2,924,629	326,208	2,981,165	19	2,956,962	320,152	3,442,004	19
Los Angeles	735,456,168	54,222,970	790,624,088	4,492	772,387,000	53,702,000	867,435,847	4,545
Madera	10,394,010	785,484	11,024,123	92	10,809,399	682,688	11,688,479	98
Marin	14,070,090	1,062,831	14,633,756	98	14,050,507	1,050,836	15,319,085	103
Mariposa	1,688,766	259,447	1,938,985	13	1,634,670	371,542	2,006,505	13
Mendocino	7,208,683	1,075,554	7,635,008	60	7,475,867	1,021,193	9,274,585	62
Merced	16,288,201	1,708,700	17,841,137	133	16,506,134	1,841,931	18,732,998	134
Modoc	1,284,633	165,166	1,383,221	9	1,665,929	164,342	1,907,548	10
Mono	2,190,532	219,957	2,422,797	13	2,155,055	209,589	2,364,644	13
Monterey	23,788,147	2,148,330	25,590,655	184	25,150,221	2,080,014	27,079,502	199
Napa	9,388,604	848,737	10,172,601	68	9,573,975	877,425	10,562,147	67
Nevada	6,197,015	1,080,119	7,197,556	53	8,051,710	1,090,758	9,054,800	52
Orange	172,345,241	27,334,982	196,653,819	1,384	178,661,188	28,523,734	211,908,712	1,400
Placer	20,697,019	2,952,851	24,573,600	117	20,888,684	2,210,539	23,490,358	119
Plumas	1,322,395	164,998	1,439,574	10	1,440,303	187,323	1,649,141	9
Riverside	146,291,684	22,392,486	165,602,614	1,043	150,156,414	22,784,684	175,708,803	1,086
Sacramento	89,527,929	8,396,916	96,260,868	670	102,110,177	6,972,867	112,078,997	701
San Benito	3,646,433	282,226	3,227,932	22	3,723,692	297,583	4,774,485	26
San Bernardino	129,800,524	10,188,687	139,723,293	1,031	129,800,524	10,188,687	139,723,293	1,056
San Diego	172,078,291	18,660,880	181,342,677	1,161	169,414,138	18,965,538	183,747,499	1,234
San Francisco	66,561,983	7,290,245	75,396,579	431	66,447,599	8,017,644	75,225,243	406
San Joaquin	41,223,775	4,973,493	46,074,410	325	43,047,836	6,371,113	49,672,180	346
San Luis Obispo	16,901,692	1,644,919	17,573,424	126	17,081,781	1,768,528	19,626,446	128
San Mateo	45,518,841	3,619,948	46,264,129	270	44,191,158	6,883,903	52,620,197	269
Santa Barbara	28,753,020	3,569,805	30,697,699	241	29,603,648	4,972,879	35,998,373	228
Santa Clara	89,912,696	13,995,480	104,537,204	628	94,497,733	14,088,109	108,711,443	656
Santa Cruz	15,331,589	1,472,230	16,677,800	115	15,433,514	1,704,402	17,068,658	119
Shasta	16,600,943	3,940,628	20,322,510	176	16,947,113	4,633,277	21,578,977	179
Sierra	829,570	57,736	906,185	5	800,885	55,995	866,332	5
Siskiyou	3,720,939	575,961	4,349,198	29	3,783,137	602,547	4,274,500	27
Solano	26,319,847	3,151,081	28,687,541	202	27,136,256	2,897,336	30,232,488	205
Sonoma	27,127,177	2,687,341	29,526,603	168	27,737,220	5,180,657	32,656,937	172
Stanislaus	28,826,688	2,531,477	30,660,563	227	29,441,162	3,000,936	32,957,830	250
Sutter	6,809,597	900,580	7,073,225	53	6,969,821	762,339	7,958,734	57
Tehama	5,144,341	436,747	5,854,606	41	5,606,227	432,609	6,090,212	43
Trinity	2,304,579	117,058	2,460,482	13	2,297,872	120,707	2,398,164	14
Tulare	27,841,127	5,829,796	33,274,528	244	28,962,830	5,876,112	35,393,259	250
Tuolumne	4,414,298	484,070	4,709,664	35	5,058,524	521,428	5,231,726	38
Ventura	46,288,263	9,930,659	55,434,189	371	47,228,940	13,036,319	63,349,325	387
Yolo	14,298,558	1,734,626	15,284,238	99	14,812,444	1,644,962	16,331,957	103
Yuba	5,909,679	974,681	6,742,449	55	6,028,184	1,498,305	7,752,264	49
Subtotal, Section 1	2,433,448,469	273,714,098	2,677,540,122	17,105	2,521,460,834	291,282,463	2,876,391,881	17,512

Section 2: Funding Not Yet Allocated or Not Distributed to the Trial Courts

Pending State Funding to the Trial Courts ⁴	
Pretrial Pilot Project Funding	75,000,000
Additional for Court-Appointed Dependency Counsel	53,955,000
AB 1793 Cannabis Conviction Resentencing	13,901,000
State Trial Court Funding Not Distributed to the Trial Courts ⁵	575,028,880
Less: Expenses Made on Behalf of Courts from Courts' Share of State Trial Court Funding ⁶	(30,560,859)
Other ⁷	130,178,145
State Trial Court Funding Total ⁸	3,338,963,000

¹ Reflects the 2018-19 4th quarter Quarterly Financial Statement information submitted by the superior courts. A detailed breakdown of this data can be found in the Report of Trial Court Revenue, Expenditure, and Fund Balance Constraints for Fiscal Year 2018-19. Non-state funding includes local fees and non-fee revenue, enhanced collections and other reimbursements, grants from non-state entities, etc.

² Reflects the filled-time equivalent positions reported on the Schedule 7As submitted by the superior courts. Does not include judges, who are constitutional officers and not court employees.

³ Reflects the preliminary budgets of 58 superior courts based on courts' final certified 2019-20 Schedule 1 submissions.

⁴ Reflects pending allocations from the Judicial Council. Courts may have budgeted for some of these funds in section 1 in anticipation of their allocation.

⁵ See the "State Trial Court Funding Not Distributed to the Trial Courts" display for more detail. Funds either disbursed on behalf of trial courts, for statewide programs, for judges compensation, or to non-court entities, and as a result are not included in the superior courts' state funding in Section 1.

⁶ See the "State Trial Court Funding Not Distributed to the Trial Courts" display for more detail. These expenses are funded by the trial courts opting to participate in those programs from their "State Funding" revenue distribution amounts reported in Section 1 of the "State and Non-State Trial Court Funding, Expenditures, and Positions" display. Because the funding for these expenses is already included in Section 1, they are subtracted from the total "State Trial Court Funding Not Distributed to Trial Courts" amount used to calculate the total expenditures displayed for "State Trial Court Funding Total".

⁷ For the prior year, recognizes that the revenues courts report individually as received or to be received from the state will not be equal to the "State Trial Court Funding" expenditure amount reported at the state level due to timing and accounting differences. For the current year, this category reflects either differences in local versus state revenue projections, pending court budgets, or unallocated appropriation.

⁸ Total state funding for trial courts ties to actual and accrued expenditures for 2018-19 and estimated expenditures for 2019-20 for Program 0150 "State Trial Court Funding" included in the 2019-20 Enacted Budget.

0250 Judicial Branch - Continued**State Trial Court Funding Not Distributed to the Trial Courts - 2018-19 and 2019-20**

Programs by Category ^{1/}	Fund	2018-19 Past Year Actual		2019-20 Current Year Estimate	
		Not From Courts' Share of State Trial Court Funding	From Courts' Share of State Trial Court Funding ^{2/}	Not From Courts' Share of State Trial Court Funding	From Courts' Share of State Trial Court Funding ^{2/}
Audit Services					
Audit Services	IMF	326,374		354,804	
Branch Accounting and Procurement Programs					
Trial Court Procurement/TCAS -MSA	IMF			138,625	
Phoenix Program	IMF	1,527,911		0	
Phoenix Program	TCTF	1,273,321		1,473,900	
Budget Services Programs					
Treasury Services - Cash Management	IMF	297,546		298,216	
Budget Focused Training and Meetings	IMF	38,453		26,000	
Revenue Distribution Training	IMF	5,258		7,500	
Trial Court Performance Measures Study	IMF	6,642		8,500	
Compensation of Superior Court Judges ^{3/}	TCTF	250,760,208		296,195,221	
Other Post Employment Benefits Valuation	TCTF	118,000		556,062	
Center for Children, Families, & the Courts Programs					
Domestic Violence Forms Translation	IMF	17,000		17,000	
Self-Help Centers	IMF	5,000,000		5,000,000	
Self-Help Document Assembly Programs	IMF	57,508		60,000	
Statewide Multidisciplinary Education	IMF	60,675		67,000	
Statewide Support for Self-Help Programs	IMF	91,695		100,000	
Court Interpreter Program (Testing, Development, Recruitment and Education)	IMF	114,739		143,000	
Shriver Civil Counsel	IMF			520,692	
Children in Dependency Cases Training	TCTF	88,000		113,000	
Court-Appointed Special Advocate Program	TCTF	2,712,980		2,713,000	
Direct Payments for Court-Appointed Dependency Counsel ^{4/}	TCTF	89,802,826		82,477,531	
Equal Access Fund	TCTF	4,582,952		5,482,000	
Equal Access Fund	GF	20,392,000		42,892,000	
Sargent Shriver Civil Representation Pilot Program	TCTF	7,241,678		7,490,937	
Court Operations Special Services Programs					
Assigned Judges	TCTF	22,372,129		29,090,000	
Database Development - Court Interpreters	TCTF	87,000		87,000	
Education Programs					
New Judge Education	IMF	919,136			
Primary Assignment Orientation Courses for Experienced Judges	IMF	94,165			
Continuing Judicial Education for Experienced Judges	IMF	249,749			
Judicial Education	IMF			916,000	
Court Manager and Supervisor Education	IMF	23,395		35,000	
Court Personnel Education	IMF	124,741		215,000	
Faculty Development	IMF	21,373		36,000	
Facilities Management Programs					
Facility-Related Costs Incurred on Behalf of the Courts	TCTF		15,298,000		11,054,000
Human Resources Programs					
Judicial Branch Workers' Compensation Program ^{5/}	TCTF		19,084,000		18,223,000
Judicial Branch Workers' Compensation Program ^{5/}	JBWCF	-	-	-	-
Trial Court Labor Relations Academies and Forums	IMF	17,777		22,700	
Information Technology Programs					
California Courts Technology Center (CCTC)	IMF	8,131,367		8,361,824	
California Courts Technology Center	TCTF		651,475		657,611
CCPOR (ROM) and Development	IMF	807,950		854,048	
Civil, Small Claims, Probate and Mental Health (V3) Case Management System	IMF	3,835,704		2,797,361	
Civil, Small Claims, Probate and Mental Health (V3) Case Management System	TCTF		564,048		564,048
Jury Management Systems	IMF	717,000		665,000	
Data Integration/ISB Support	IMF	1,804,047		2,672,302	
Statewide Planning and Development Support	IMF	3,981,014		4,242,185	
Interim Case Management Systems	IMF	1,892,104		501,063	
Interim Case Management Systems	TCTF		287,520		62,200

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued**State Trial Court Funding Not Distributed to the Trial Courts - 2018-19 and 2019-20**

Programs by Category ^{1/}	2018-19 Past Year Actual			2019-20 Current Year Estimate		
	Fund	Not From Courts' Share of State Trial Court Funding	From Courts' Share of State Trial Court Funding ^{2/}	Not From Courts' Share of State Trial Court Funding		From Courts' Share of State Trial Court Funding ^{2/}
				Share of State Trial Court Funding	Funding ^{2/}	
Telecommunications Support	IMF	15,459,511				17,258,779
Phoenix Project	IMF	1,721,035				0
Uniform Civil Fees System	IMF	227,822				287,320
CMS Replacement - Phase IV	IMF					22,777,259
Futures Commission	IMF					853,000
Digitizing Court Records	IMF					4,853,000
Legal Services Programs						
Judicial Performance Defense Insurance	IMF	961,408				1,200,000
Jury System Improvement Projects	IMF	5,255				19,000
Litigation Management Program	IMF	4,707,283				0
Regional Office Assistance Group	IMF	611,770				589,192
Trial Courts Transactional Assistance Program	IMF	680,000				-
Subtotal, Not From Courts' Share of State Trial Court Funding		453,968,501				544,468,021
Subtotal, From Courts' Share of State Trial Court Funding^{2/}		35,885,043				30,560,859
Total, State Trial Court Funding Not Distributed to the Trial Courts		489,853,544				575,028,880
Subtotal by Fund						
Trial Court Trust Fund	TCTF		414,924,137			456,239,510
State Trial Court Improvement and Modernization Fund	IMF	54,537,407	54,537,407		75,897,370	75,897,370
Judicial Branch Workers' Compensation Fund	JBWCF	-	-		-	-
General Fund	GF		20,392,000			42,892,000
Total, State Trial Court Funding Not Distributed to the Trial Courts		489,853,544				575,028,880

^{1/} Includes all Program 0150 "State Trial Court Funding" expenses from all funds, including the Trial Court Trust Fund (TCTF), State Trial Court Improvement and Modernization Fund (IMF), General Fund (GF), and Judicial Branch Workers' Compensation Fund (JBWCF). The expenses do not necessarily represent all expenses for those programs have a state operations component (e.g., Equal Access Fund) and/or a distribution that is made directly to courts (e.g., court-appointed dependency counsel).

^{2/} These expenses are funded by the trial courts opting to participate in those programs from their "State Funding" revenue distribution amounts reported in Section 1 of the "State and Non-State Trial Court Funding, Expenditures, and Positions" display. Because the funding for these expenses is already included in Section 1, they are subtracted from the total "State Trial Court Funding Not Distributed to the Trial Courts" amount used to calculate the total expenditures displayed for "State Trial Court Funding Total".

^{3/} This reflects judges' compensation paid excluding any amounts related to the reimbursement of courts for payments of judges' compensation as these amounts are already included in the courts' "State Funding" revenue amounts reported in the Trial Court Information section (\$131.2 million in 2018-19 and \$137.1 million in 2019-20). Judges from the Los Angeles, Riverside, and Ventura County Superior Courts are compensated locally and the court/county is reimbursed by the TCTF. In addition, 23 courts in 2018-19 and 2019-20 participate in the local salary reimbursement program in which a small portion of the judges' salary is paid locally and reimbursed by the TCTF.

^{4/} Reflects actual or estimated payments made directly to court-appointed dependency counsel from the TCTF on behalf of superior courts participating in the Dependency Representation, Administration, Funding, and Training (DRAFT) Program. Courts not participating in the DRAFT program are reimbursed up to a maximum amount from the TCTF for payments to court-appointed dependency counsel.

^{5/} For the TCTF, expenses are the annual premiums for 57 participating trial courts from their allocations and for superior court judges from the appropriation for the Compensation of Superior Court Judges that are transferred to the JBWCF. For the JBWCF, these expenses reflect payments of trial court employee and judge related workers' compensation claims from the JBWCF less the amount transferred from the TCTF.

0250 Judicial Branch - Continued
Judicial Council Expenditures and Positions By Office 2018-19 and 2019-20

Office	Fund ^{1/}	2018-19		2019-20	
		Actual	Expenditures	Estimated	Expenditures
Executive	Fund ^{1/}				
	GF	13.8	3,281,779	11.8	3,587,064
	SDF	-	22,830	-	0
Legal Services	GF	38.2	8,858,317	37.0	17,801,198
	IMF	2.6	505,524	2.0	589,192
	SCFCF	4.6	1,481,030	5.0	1,845,025
Office of Governmental Affairs	GF	9.3	1,650,180	7.0	1,711,823
Center for Families, Children and the Courts ^{4/}	GF	21.9	4,238,646	34.7	8,499,441
	FLTF	7.0	1,349,308	7.0	1,706,000
	CIF				156,000
	FTF	7.0	2,168,904	10.7	3,293,930
	TCTF	-	798,354	-	1,006,000
	REIMB	14.0	4,282,477	12.0	5,051,500
	MHSF	5.5	1,047,606	4.0	1,182,000
Center for Judicial Education and Research	GF	43.8	7,071,462	42.0	7,455,853
	IMF	-	434	-	0
	REIMB	-	64,210	-	192,000
Budget Services	GF	30.0	13,716,659	18.8	18,774,472
	IMF	1.0	817,269	1.0	1,079,034
	TCTF	1.6	1,032,907	4.6	969,100
	SCFCF	2.9	1,413,926	2.7	2,767,833
Information Technology	GF	76.0	24,598,495	82.0	38,471,279
	IMF	18.5	2,986,102	12.0	3,916,345
	TCTF	1.4	266,887	2.0	630,000
	REIMB	-	-	-	345,500
	SCFCF	5.5	1,917,377	5.0	2,290,640
Human Resources	GF	38.2	7,996,362	42.0	9,216,234
	IMF	-	374	-	0
	SCFCF	4.8	617,652	4.0	963,968
	JBWCF	-	117,157	-	2,000
Court Operations Special Services ^{4/}	GF	6.9	2,664,398	-	0
	CIF	-	156,000	-	
	REIMB	-	20,574	-	0
	IMF	-	813	-	0
Appellate Court Services ^{3/}	GF	5.5	1,765,759	-	0
Criminal Justice Court Services	GF	13.1	1,962,109	22.8	3,989,491
	MVA	1.0	182,142	-	225,000
	IMF	-	-	-	4,000
	FTF	-	122,034	-	99,070
	REIMB	-	229,125	-	1,151,000
	SCCPIF	6.0	1,110,666	1.0	1,000,000
Public Affairs	GF	9.0	1,519,090	7.0	1,637,891
Business Management Services ^{4/}	GF	11.8	1,121,240	17.0	2,857,672
Audit Services	GF	9.8	1,749,587	7.0	1,826,710
	IMF	1.9	286,660	2.0	405,804
	SCFCF	1.0	159,937	-	181,213
Leadership Support Services ^{3/}	GF	52.1	7,527,188	59.8	10,480,067
Branch Accounting and Procurement	GF	102.7	25,148,386	111.0	25,931,805
	IMF	1.0	127,220	1.0	138,625
	REIMB	-	601	-	0
	TCTF	11.9	1,348,851	10.0	1,473,900
	SCFCF	11.7	1,459,738	11.0	1,712,321
Judicial Council of California Office Total		593.0	140,964,343	596.8	186,618,000

^{1/} Fund description included on first page of the Judicial Branch budget.^{2/} Position detail ties to the 2019-20 Salaries and Wages Supplement.^{3/} Beginning in 2019-20, Appellate Court Services merged to Leadership Support Services.^{4/} Beginning in 2019-20 Court Operations Special Services split out to CFCC, BMS and LSS.

0250 Judicial Branch - Continued

PROGRAM DESCRIPTIONS

0130 - SUPREME COURT

The Supreme Court is the highest court in the California judicial system. Its decisions are binding on all other California state courts. The Chief Justice of California and the six Associate Justices entertain petitions seeking review of decisions from the Courts of Appeal, original petitions for extraordinary relief (such as writs of mandate or habeas corpus), and recommendations for discipline of judicial officers and attorneys. The Court grants review and issues opinions in order to settle legal questions of statewide importance. In addition, under the California Constitution, all death penalty judgments are appealed directly to the Supreme Court.

0135 - COURTS OF APPEAL

Established by a constitutional amendment in 1904, the Courts of Appeal are California's intermediate courts of review. The six District Courts of Appeal hear appeals and original proceedings at nine different locations around the state. Cases before the Courts of Appeal involve every area of civil and criminal law.

0140 - JUDICIAL COUNCIL

The Judicial Council of California is the constitutional policy-making body for the state judiciary. The Council consists of 21 voting members and 10 advisory members; the Chief Justice of California serves as chair. The Judicial Council staff serve as the administrative arm of the Council. Staff provide policy support to the Council, administrative accountability in the operation of the courts as specified by law, strategic planning for capital outlay, design, and construction of court facilities; and administrative support for courts in areas such as budget, fiscal services, coordination of the assignment of retired judges, technology, education, legal advice and services, human resources, legislative advocacy, and research.

0140023 - JUDICIAL BRANCH FACILITY PROGRAM

The Judicial Branch Facility Program administers the acquisition, planning, construction, operations, and maintenance of judicial branch facilities. This program is responsible for the development of long-term facilities master plans, facility and real estate management, renovation of existing court facilities, and new courthouse planning, design, and construction.

0150 - STATE TRIAL COURT FUNDING

0150010 - SUPPORT FOR THE OPERATION OF THE TRIAL COURTS

This program's objective is to provide the resources necessary for the statewide trial court system to adjudicate civil and criminal cases. This program includes all allowable trial court administrative costs under Government Code section 77003, except court-appointed dependency counsel, salaries and benefits of Superior Court judges, compensation for assigned judges, support for language interpreters, grants, and expenses on behalf of the trial courts.

0150011 - COURT APPOINTED DEPENDENCY COUNSEL

This program provides funding for court-appointed counsel for children, non-minor dependents and parents in juvenile court dependency proceedings pursuant to Welfare and Institutions Code section 317, subdivisions (b) and (c), and Government Code section 77003, subdivision (a)(4).

0150019 - COMPENSATION OF SUPERIOR COURT JUDGES

This program provides funding for the salaries and state benefits for Superior Court judges.

0150028 - ASSIGNED JUDGES

This program provides support for the salaries and related costs of retired as well as active judges who are assigned by the Chief Justice to positions in courts which require assistance due to judicial absences or other factors impacting the ability of a court to avoid case delay.

0150037 - COURT INTERPRETERS

This program supports the provision of qualified language interpreters in criminal, juvenile, family and civil proceedings as required by statute.

0150046 - GRANTS

This program provides funding for government grants providing public services related to the trial courts.

0150095 - EXPENSES ON BEHALF OF THE TRIAL COURTS

This program provides appropriation for statewide programs and services provided to the court or courts by the Judicial Council or payment for services or property of any kind contracted for the court or courts or on behalf of the courts by the Judicial

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0250 Judicial Branch - Continued

Council, which are paid for or reimbursed by the trial courts. The appropriation also supports the implementation and administration of the Civil Representation Pilot Program.

0155 - HABEAS CORPUS RESOURCE CENTER

The Habeas Corpus Resource Center provides legal representation for indigent petitioners in death penalty habeas corpus proceedings before the California state courts and the federal courts. The Center also recruits and trains attorneys to expand the pool of private counsel qualified to accept appointments in death penalty habeas corpus proceedings, serves as a resource to them, and thereby helps to reduce the number of unrepresented indigents on California's death row.

DETAILED EXPENDITURES BY PROGRAM

		2018-19*	2019-20*	2020-21*
	PROGRAM REQUIREMENTS			
0130	SUPREME COURT			
	State Operations:			
0001	General Fund	\$48,834	\$51,651	\$49,136
3060	Appellate Court Trust Fund	-	1,182	1,182
	Totals, State Operations	\$48,834	\$52,833	\$50,318
	PROGRAM REQUIREMENTS			
0135	COURTS OF APPEAL			
	State Operations:			
0001	General Fund	\$225,976	\$252,219	\$240,367
3060	Appellate Court Trust Fund	1,702	6,316	6,167
	Totals, State Operations	\$227,678	\$258,535	\$246,534
	PROGRAM REQUIREMENTS			
0140	JUDICIAL COUNCIL			
	State Operations:			
0001	General Fund	\$130,599	\$246,872	\$186,039
0044	Motor Vehicle Account, State Transportation Fund	182	225	225
0159	State Trial Court Improvement and Modernization Fund	4,724	1,033	6,137
0327	Court Interpreters Fund	156	156	156
0587	Family Law Trust Fund	1,349	1,706	1,706
0890	Federal Trust Fund	2,291	3,393	3,415
0932	Trial Court Trust Fund	3,447	4,079	4,678
0942	Special Deposit Fund	23	-	-
0995	Reimbursements	24,762	28,168	28,170
3037	State Court Facilities Construction Fund	142,194	161,337	161,437
3066	Court Facilities Trust Fund	98,537	104,030	104,030
3085	Mental Health Services Fund	1,048	1,182	1,183
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	175,847	175,888	176,689
8059	State Community Corrections Performance Incentive Fund	1,111	1,000	1,000
9728	Judicial Branch Workers Compensation Fund	117	2	2
	Totals, State Operations	\$586,387	\$729,071	\$674,867
	SUBPROGRAM REQUIREMENTS			
0140010	Judicial Council			
	State Operations:			
0001	General Fund	\$114,869	\$152,241	\$150,344
0044	Motor Vehicle Account, State Transportation Fund	182	225	225
0159	State Trial Court Improvement and Modernization Fund	4,724	1,033	6,137

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0250 Judicial Branch - Continued

		2018-19*	2019-20*	2020-21*
0327	Court Interpreters Fund	156	156	156
0587	Family Law Trust Fund	1,349	1,706	1,706
0890	Federal Trust Fund	2,291	3,393	3,415
0932	Trial Court Trust Fund	3,447	4,079	4,678
0942	Special Deposit Fund	23	-	-
0995	Reimbursements	4,597	6,168	6,170
3037	State Court Facilities Construction Fund	7,050	9,761	9,766
3085	Mental Health Services Fund	1,048	1,182	1,183
8059	State Community Corrections Performance Incentive Fund	1,111	1,000	1,000
9728	Judicial Branch Workers Compensation Fund	117	2	2
Totals, State Operations		\$140,964	\$180,946	\$184,782
SUBPROGRAM REQUIREMENTS				
0140023	Judicial Branch Facility Program			
State Operations:				
0001	General Fund	\$15,730	\$94,631	\$35,695
0995	Reimbursements	20,165	22,000	22,000
3037	State Court Facilities Construction Fund	135,144	151,576	151,671
3066	Court Facilities Trust Fund	98,537	104,030	104,030
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	175,847	175,888	176,689
Totals, State Operations		\$445,423	\$548,125	\$490,085
PROGRAM REQUIREMENTS				
0150	STATE TRIAL COURT FUNDING			
Local Assistance:				
0001	General Fund	\$1,502,710	\$1,784,590	\$1,761,008
0159	State Trial Court Improvement and Modernization Fund	4,699	1,027	14,027
0890	Federal Trust Fund	806	2,275	2,275
0932	Trial Court Trust Fund	1,307,507	1,155,239	1,165,976
0995	Reimbursements	55,451	94,462	117,516
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	50,000	50,000	50,000
9728	Judicial Branch Workers Compensation Fund	957	-	-
Totals, Local Assistance		\$2,922,130	\$3,087,593	\$3,110,802
SUBPROGRAM REQUIREMENTS				
0150010	Support for Operation of Trial Courts			
Local Assistance:				
0001	General Fund	\$815,103	\$1,002,724	\$1,022,069
0159	State Trial Court Improvement and Modernization Fund	4,699	1,027	14,027
0932	Trial Court Trust Fund	1,291,976	1,135,135	1,130,410
0995	Reimbursements	1	1	1
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	50,000	50,000	50,000
9728	Judicial Branch Workers Compensation Fund	957	-	-
Totals, Local Assistance		\$2,162,736	\$2,188,887	\$2,216,507
SUBPROGRAM REQUIREMENTS				
0150011	Court Appointed Dependency Counsel			
Local Assistance:				
0001	General Fund	\$136,700	\$156,700	\$156,700
0932	Trial Court Trust Fund	-2,638	-	-
0995	Reimbursements	-	33,955	57,009

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0250 Judicial Branch - Continued

		2018-19*	2019-20*	2020-21*
	Totals, Local Assistance	\$134,062	\$190,655	\$213,709
	SUBPROGRAM REQUIREMENTS			
0150019	Compensation of Superior Court Judges			
	Local Assistance:			
0001	General Fund	\$369,964	\$425,918	\$387,647
0932	Trial Court Trust Fund	3,967	3,297	8,155
	Totals, Local Assistance	\$373,931	\$429,215	\$395,802
	SUBPROGRAM REQUIREMENTS			
0150028	Assigned Judges			
	Local Assistance:			
0001	General Fund	\$29,090	\$29,812	\$29,812
0932	Trial Court Trust Fund	-6,718	-	-
	Totals, Local Assistance	\$22,372	\$29,812	\$29,812
	SUBPROGRAM REQUIREMENTS			
0150037	Court Interpreters			
	Local Assistance:			
0001	General Fund	\$108,960	\$120,687	\$131,381
0932	Trial Court Trust Fund	7,813	-	-
	Totals, Local Assistance	\$116,773	\$120,687	\$131,381
	SUBPROGRAM REQUIREMENTS			
0150051	Child Support Commissioner Program (AB 1058)			
	Local Assistance:			
0995	Reimbursements	52,922	54,332	54,332
	Totals, Local Assistance	\$52,922	\$54,332	\$54,332
	SUBPROGRAM REQUIREMENTS			
0150055	California Collaborative and Drug Court Projects			
	Local Assistance:			
0001	General Fund	\$1,160	\$981	\$1,160
0995	Reimbursements	1,092	4,767	4,588
	Totals, Local Assistance	\$2,252	\$5,748	\$5,748
	SUBPROGRAM REQUIREMENTS			
0150059	Federal Child Access and Visitation Grant Program			
	Local Assistance:			
0890	Federal Trust Fund	\$723	\$800	\$800
	Totals, Local Assistance	\$723	\$800	\$800
	SUBPROGRAM REQUIREMENTS			
0150063	Federal Court Improvement Grant Program			
	Local Assistance:			
0890	Federal Trust Fund	\$83	\$700	\$700
	Totals, Local Assistance	\$83	\$700	\$700
	SUBPROGRAM REQUIREMENTS			
0150067	Court Appointed Special Advocate (CASA) Program			
	Local Assistance:			
0001	General Fund	\$2,713	\$2,713	\$2,713
	Totals, Local Assistance	\$2,713	\$2,713	\$2,713
	SUBPROGRAM REQUIREMENTS			
0150071	Model Self-Help Program			
	Local Assistance:			
0001	General Fund	\$957	\$957	\$957
	Totals, Local Assistance	\$957	\$957	\$957

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0250 Judicial Branch - Continued

			<u>2018-19*</u>	<u>2019-20*</u>	<u>2020-21*</u>
	SUBPROGRAM REQUIREMENTS				
0150075	Grants-Other				
	Local Assistance:				
0001	General Fund		\$16,494	\$179	\$7,000
0995	Reimbursements		1,436	1,407	1,586
	Totals, Local Assistance		\$17,930	\$1,586	\$8,586
	SUBPROGRAM REQUIREMENTS				
0150079	Federal Grants-Other				
	Local Assistance:				
0890	Federal Trust Fund		\$-	\$775	\$775
	Totals, Local Assistance		\$-	\$775	\$775
	SUBPROGRAM REQUIREMENTS				
0150083	Equal Access Fund				
	Local Assistance:				
0001	General Fund		\$20,392	\$42,742	\$20,392
0932	Trial Court Trust Fund		4,583	5,482	5,482
	Totals, Local Assistance		\$24,975	\$48,224	\$25,874
	SUBPROGRAM REQUIREMENTS				
0150087	Family Law Information Centers				
	Local Assistance:				
0001	General Fund		\$345	\$345	\$345
	Totals, Local Assistance		\$345	\$345	\$345
	SUBPROGRAM REQUIREMENTS				
0150091	Civil Case Coordination				
	Local Assistance:				
0001	General Fund		\$832	\$832	\$832
0932	Trial Court Trust Fund		-427	-	-
	Totals, Local Assistance		\$405	\$832	\$832
	SUBPROGRAM REQUIREMENTS				
0150095	Expenses on Behalf of the Trial Courts				
	Local Assistance:				
0932	Trial Court Trust Fund		\$8,951	\$11,325	\$21,929
	Totals, Local Assistance		\$8,951	\$11,325	\$21,929
	PROGRAM REQUIREMENTS				
0155	HABEAS CORPUS RESOURCE CENTER				
	State Operations:				
0001	General Fund		\$15,700	\$16,392	\$15,820
0890	Federal Trust Fund		-	1,026	1,026
	Totals, State Operations		\$15,700	\$17,418	\$16,846
	PROGRAM REQUIREMENTS				
0170	OFFSET FROM LOCAL PROPERTY TAX REVENUE				
	Local Assistance:				
0001	General Fund		-\$63,651	-\$93,346	-\$93,346
	Totals, Local Assistance		-\$63,651	-\$93,346	-\$93,346
	TOTALS, EXPENDITURES				
	State Operations		878,599	1,057,857	988,565
	Local Assistance		2,858,479	2,994,247	3,017,456
	Totals, Expenditures		\$3,737,078	\$4,052,104	\$4,006,021

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0250 Judicial Branch - Continued**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES						
Baseline Positions	1,752.9	1,773.4	1,772.4	\$191,899	\$194,525	\$193,803
Budget Position Transparency	-	-59.3	-59.2	-	-36,108	-31,383
Other Adjustments	-39.7	-	5.0	5,986	7,758	8,248
Net Totals, Salaries and Wages	1,713.2	1,714.1	1,718.2	\$197,885	\$166,175	\$170,668
Staff Benefits	-	-	-	95,380	131,401	128,993
Totals, Personal Services	1,713.2	1,714.1	1,718.2	\$293,265	\$297,576	\$299,661
OPERATING EXPENSES AND EQUIPMENT				\$541,768	\$687,662	\$612,685
SPECIAL ITEMS OF EXPENSES				43,566	72,619	76,219
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$878,599	\$1,057,857	\$988,565

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$407,810	\$458,817	\$452,782
Allocation for Employee Compensation	-	6,906	-
Allocation for Other Post-Employment Benefits	-	26	-
Allocation for Staff Benefits	-	2,873	-
Budget Position Transparency	-	-26,468	-
Carryover	-	43,854	-
Executive Order 19/20-83: Legal Aid for Renters Admin Costs	-	150	-
Expenditure by Category Redistribution	-	26,468	-
Section 3.60 Pension Contribution Adjustment	-	2,976	-
003 Budget Act appropriation	4,354	4,382	4,376
Section 4.30 Lease Revenue Debt Service Payment Adjustment	-	6	-
011 Budget Act appropriation (transfer to Judicial Branch Workers' Compensation Fund)	892	1	1
012 Budget Act appropriation (transfer to Court Facilities Trust Fund)	8,053	34,203	34,203
013 Budget Act appropriation (loan to Trial Court Trust Fund)	(491)	(-)	(-)
Chapter 36, Statutes of 2019	-	5,514	-
Executive Order 19/20-78: Pretrial Pilot Projects Admin Costs	-	6,915	-
Prior Year Balances Available:			
Item 0250-001-0001, Budget Act of 2016	-	511	-
Totals Available	\$421,109	\$567,134	\$491,362
TOTALS, EXPENDITURES	\$421,109	\$567,134	\$491,362
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$182	\$216	\$225
Allocation for Employee Compensation	-	5	-
Allocation for Staff Benefits	-	2	-
Budget Position Transparency	-	-26	-
Expenditure by Category Redistribution	-	26	-
Section 3.60 Pension Contribution Adjustment	-	2	-

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0250 Judicial Branch - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
Totals Available	\$182	\$225	\$225
TOTALS, EXPENDITURES	\$182	\$225	\$225
0159 State Trial Court Improvement and Modernization Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,724	\$5,928	\$6,137
Allocation for Employee Compensation	-	107	-
Allocation for Staff Benefits	-	47	-
Budget Position Transparency	-	-532	-
Current Year Adjustments	-	-5,100	-
Expenditure by Category Redistribution	-	532	-
Section 3.60 Pension Contribution Adjustment	-	51	-
Totals Available	\$4,724	\$1,033	\$6,137
TOTALS, EXPENDITURES	\$4,724	\$1,033	\$6,137
0327 Court Interpreters Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$156	\$156	\$156
TOTALS, EXPENDITURES	\$156	\$156	\$156
0587 Family Law Trust Fund			
APPROPRIATIONS			
011 Budget Act appropriation (loan to the General Fund)	-	-	(\$8,000)
Family Code section 1852	1,349	1,706	1,706
Totals Available	\$1,349	\$1,706	\$1,706
TOTALS, EXPENDITURES	\$1,349	\$1,706	\$1,706
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,291	\$4,362	\$4,441
Allocation for Employee Compensation	-	29	-
Allocation for Staff Benefits	-	14	-
Budget Position Transparency	-	-150	-
Expenditure by Category Redistribution	-	150	-
Section 3.60 Pension Contribution Adjustment	-	14	-
Totals Available	\$2,291	\$4,419	\$4,441
TOTALS, EXPENDITURES	\$2,291	\$4,419	\$4,441
0932 Trial Court Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,447	\$3,957	\$4,678
Allocation for Employee Compensation	-	63	-
Allocation for Staff Benefits	-	29	-
Budget Position Transparency	-	-317	-
Expenditure by Category Redistribution	-	317	-
Section 3.60 Pension Contribution Adjustment	-	30	-
Totals Available	\$3,447	\$4,079	\$4,678
TOTALS, EXPENDITURES	\$3,447	\$4,079	\$4,678
0942 Special Deposit Fund			
APPROPRIATIONS			
Government Code section 16370	\$23	-	-
TOTALS, EXPENDITURES	\$23	-	-
0995 Reimbursements			
APPROPRIATIONS			

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0250 Judicial Branch - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
Reimbursements	\$24,762	\$28,168	\$28,170
TOTALS, EXPENDITURES	\$24,762	\$28,168	\$28,170
3037 State Court Facilities Construction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$75,152	\$80,752	\$81,869
Allocation for Employee Compensation	-	563	-
Allocation for Staff Benefits	-	256	-
Budget Position Transparency	-	-8,044	-
Expenditure by Category Redistribution	-	8,044	-
Section 3.60 Pension Contribution Adjustment	-	270	-
003 Budget Act appropriation	67,042	79,523	79,568
Totals Available	\$142,194	\$161,364	\$161,437
Unexpended balance, estimated savings	-	-27	-
TOTALS, EXPENDITURES	\$142,194	\$161,337	\$161,437
3060 Appellate Court Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,702	\$7,467	\$7,349
Allocation for Employee Compensation	-	16	-
Allocation for Staff Benefits	-	7	-
Section 3.60 Pension Contribution Adjustment	-	8	-
011 Budget Act appropriation (loan to the General Fund)	-	-	(5,000)
Totals Available	\$1,702	\$7,498	\$7,349
TOTALS, EXPENDITURES	\$1,702	\$7,498	\$7,349
3066 Court Facilities Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$106,590	\$138,233	\$138,233
014 Budget Act appropriation	(4,103)	(4,103)	(4,103)
Totals Available	\$106,590	\$138,233	\$138,233
TOTALS, EXPENDITURES	\$106,590	\$138,233	\$138,233
Less funding provided by General Fund	-8,053	-34,203	-34,203
NET TOTALS, EXPENDITURES	\$98,537	\$104,030	\$104,030
3085 Mental Health Services Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,048	\$1,134	\$1,183
Allocation for Employee Compensation	-	25	-
Allocation for Staff Benefits	-	11	-
Budget Position Transparency	-	-121	-
Expenditure by Category Redistribution	-	121	-
Section 3.60 Pension Contribution Adjustment	-	12	-
Totals Available	\$1,048	\$1,182	\$1,183
TOTALS, EXPENDITURES	\$1,048	\$1,182	\$1,183
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$24,967	\$25,000	\$25,000
002 Budget Act appropriation	54,356	54,720	55,392
003 Budget Act appropriation	96,524	96,228	96,297
Totals Available	\$175,847	\$175,948	\$176,689
Unexpended balance, estimated savings	-	-60	-
TOTALS, EXPENDITURES	\$175,847	\$175,888	\$176,689

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0250 Judicial Branch - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
8059 State Community Corrections Performance Incentive Fund			
APPROPRIATIONS			
Penal Code section 1233.6	\$1,111	\$1,000	\$1,000
TOTALS, EXPENDITURES	\$1,111	\$1,000	\$1,000
9728 Judicial Branch Workers Compensation Fund			
APPROPRIATIONS			
Government Code section 68114.10	\$1,009	\$3	\$3
TOTALS, EXPENDITURES	\$1,009	\$3	\$3
Less funding provided by General Fund	-892	-1	-1
NET TOTALS, EXPENDITURES	\$117	\$2	\$2
Total Expenditures, All Funds, (State Operations)	\$878,599	\$1,057,857	\$988,565
2 LOCAL ASSISTANCE	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$41,154	\$127,603	\$35,786
Executive Order 19/20-78: Pretrial Pilot Projects Admin Costs	-	-6,915	-
Executive Order 19/20-83: Legal Aid for Renters Admin Costs	-	-150	-
102 Budget Act appropriation	68,819	71,502	71,502
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	1,171,492	1,290,044	1,180,471
Allocation for Employee Compensation	-	12,651	-
Allocation for Staff Benefits	-	182	-
Trial Court Employee Current Year Benefits	-	21,693	-
112 Budget Act appropriation (transfer to State Trial Court Improvement and Modernization Fund)	45,114	69,501	42,788
113 Budget Act appropriation (transfer to Trial Court Trust Fund)	35,431	41,779	273,761
114 Budget Act appropriation (transfer to Trial Court Trust Fund)	136,700	156,700	156,700
Chapter 45, Statutes of 2018 (transfer to Trial Court Trust Fund)	4,000	-	-
Totals Available	\$1,502,710	\$1,784,590	\$1,761,008
TOTALS, EXPENDITURES	\$1,502,710	\$1,784,590	\$1,761,008
Offset from local property tax revenue per Control Section 15.45	-63,651	-93,346	-93,346
NET TOTALS, EXPENDITURES	\$1,439,059	\$1,691,244	\$1,667,662
0159 State Trial Court Improvement and Modernization Fund			
APPROPRIATIONS			
102 Budget Act appropriation	\$49,813	\$80,528	\$56,815
Current Year Adjustments	-	-10,000	-
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	(594)	(594)	(594)
Totals Available	\$49,813	\$70,528	\$56,815
TOTALS, EXPENDITURES	\$49,813	\$70,528	\$56,815
Less funding provided by General Fund	-45,114	-69,501	-42,788
NET TOTALS, EXPENDITURES	\$4,699	\$1,027	\$14,027
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$806	\$2,275	\$2,275
Totals Available	\$806	\$2,275	\$2,275
TOTALS, EXPENDITURES	\$806	\$2,275	\$2,275
0932 Trial Court Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,497,509	\$2,705,376	\$2,620,207
Allocation for Employee Compensation	-	12,651	-

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0250 Judicial Branch - Continued

2 LOCAL ASSISTANCE	2018-19*	2019-20*	2020-21*
Allocation for Staff Benefits	-	182	-
Current Year Adjustments	-	-191,315	-
Trial Court Employee Current Year Benefits	-	21,693	-
Trial Court Trust Fund Adjustment	-	-27,000	-
Workers Compensation Cost Adjustment	-	-18,667	-
102 Budget Act appropriation	134,062	156,700	156,700
115 Budget Act appropriation (transfer to Judicial Branch Workers Compensation Fund)	19,559	1	1
Workers Compensation Cost Adjustment	-	18,667	-
Chapter 45, Statutes of 2018	4,000	-	-
Totals Available	\$2,655,130	\$2,678,288	\$2,776,908
TOTALS, EXPENDITURES	\$2,655,130	\$2,678,288	\$2,776,908
Less funding provided by General Fund	-1,347,623	-1,523,049	-1,610,932
NET TOTALS, EXPENDITURES	\$1,307,507	\$1,155,239	\$1,165,976
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$55,451	\$94,462	\$117,516
TOTALS, EXPENDITURES	\$55,451	\$94,462	\$117,516
3037 State Court Facilities Construction Fund			
APPROPRIATIONS			
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	(\$5,486)	(\$5,486)	(\$5,486)
TOTALS, EXPENDITURES	-	-	-
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$50,000	\$50,000	\$50,000
TOTALS, EXPENDITURES	\$50,000	\$50,000	\$50,000
9728 Judicial Branch Workers Compensation Fund			
APPROPRIATIONS			
Government Code section 68114.10	\$20,516	\$1	\$1
Workers Compensation Cost Adjustment	-	18,667	-
TOTALS, EXPENDITURES	\$20,516	\$18,668	\$1
Less funding provided by Trial Court Trust Fund	-19,559	-18,668	-1
NET TOTALS, EXPENDITURES	\$957	-	-
Total Expenditures, All Funds, (Local Assistance)	\$2,858,479	\$2,994,247	\$3,017,456
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$3,737,078	\$4,052,104	\$4,006,021

FUND CONDITION STATEMENTS

	2018-19*	2019-20*	2020-21*
0159 State Trial Court Improvement and Modernization Fund^s			
BEGINNING BALANCE	\$14,795	\$15,864	\$18,439
Prior Year Adjustments	-973	-	-
Adjusted Beginning Balance	\$13,822	\$15,864	\$18,439
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4140000 Document Sales	649	560	489
4163000 Investment Income - Surplus Money Investments	1,566	1,236	915
4172000 Fines and Forfeitures	22,230	15,881	14,678
4172500 Miscellaneous Revenue	5	60	2

^s Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

	2018-19*	2019-20*	2020-21*
4173500 Settlements and Judgments - Other	1,312	995	-
Transfers and Other Adjustments			
Revenue Transfer from the State Trial Court Improvement and Modernization Fund (0159) to the Trial Court Trust Fund (0932) per Government Code Section 77209(j)	-13,397	-13,397	-13,397
Revenue Transfer from the State Trial Court Improvement and Modernization Fund (0159) to the Trial Court Trust Fund (0932) per Item 0250-111-0159, Budget Acts of 2016, 2017, and 2018	-594	-594	-594
Total Revenues, Transfers, and Other Adjustments	\$11,771	\$4,741	\$2,093
Total Resources	\$25,593	\$20,605	\$20,532
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0250 Judicial Branch (State Operations)	4,724	1,033	6,137
0250 Judicial Branch (Local Assistance)	49,813	70,528	56,815
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	306	106	289
Less funding provided by General Fund (Local Assistance)	-45,114	-69,501	-42,788
Total Expenditures and Expenditure Adjustments	\$9,729	\$2,166	\$20,453
FUND BALANCE	\$15,864	\$18,439	\$79
Reserve for economic uncertainties	15,864	18,439	79
0327 Court Interpreters Fund^s			
BEGINNING BALANCE	\$751	\$841	\$814
Prior Year Adjustments	-8	-	-
Adjusted Beginning Balance	\$743	\$841	\$814
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129400 Other Regulatory Licenses and Permits	261	187	153
Total Revenues, Transfers, and Other Adjustments	\$261	\$187	\$153
Total Resources	\$1,004	\$1,028	\$967
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0250 Judicial Branch (State Operations)	156	156	156
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	7	58	12
Total Expenditures and Expenditure Adjustments	\$163	\$214	\$168
FUND BALANCE	\$841	\$814	\$799
Reserve for economic uncertainties	841	814	799
0587 Family Law Trust Fund^s			
BEGINNING BALANCE	\$7,732	\$8,805	\$9,286
Prior Year Adjustments	11	-	-
Adjusted Beginning Balance	\$7,743	\$8,805	\$9,286
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	183	98	70
4172500 Miscellaneous Revenue	2,301	2,161	1,941
Transfers and Other Adjustments			
Loan from the Family Law Trust Fund (0587) to the General Fund (0001) per Item 0250-011-0587, Budget Act of 2020	-	-	-8,000
Total Revenues, Transfers, and Other Adjustments	\$2,484	\$2,259	-\$5,989
Total Resources	\$10,227	\$11,064	\$3,297
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0250 Judicial Branch (State Operations)	1,349	1,706	1,706
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	73	72	123
Total Expenditures and Expenditure Adjustments	\$1,422	\$1,778	\$1,829
FUND BALANCE	\$8,805	\$9,286	\$1,468

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0250 Judicial Branch - Continued

	2018-19*	2019-20*	2020-21*
Reserve for economic uncertainties	8,805	9,286	1,468
0932 Trial Court Trust Fund^s			
BEGINNING BALANCE	\$60,478	\$71,630	\$72,244
Prior Year Adjustments	7,380	-	-
Adjusted Beginning Balance	<u>\$67,858</u>	<u>\$71,630</u>	<u>\$72,244</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	498,601	498,600	498,600
4163000 Investment Income - Surplus Money Investments	3,757	2,631	2,631
4170700 Civil and Criminal Violation Assessment	101,675	77,025	71,257
4171200 Court Filing Fees and Surcharges	498,688	382,525	330,604
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	-549	-	-
4172000 Fines and Forfeitures	165,101	162,769	161,840
4172500 Miscellaneous Revenue	1,535	210	135
4173000 Penalty Assessments - Other	25,487	17,011	16,488
4173500 Settlements and Judgments - Other	737	-	-
Transfers and Other Adjustments			
Loan from the General Fund (0001) to the Trial Court Trust Fund (0932) per Item 0250-013-0001, Budget Acts of 2017 and 2018	491	-	-
Loan Repayment from the Trial Court Trust Fund (0932) to the General Fund (0001) per Item 0250-013-0001, BAs of 2017 and 2018	-	-	-1,162
Revenue Transfer from the State Court Facilities Construction Fund (3037) to the Trial Court Trust Fund (0932) per Item 0250-111-3037, Budget Acts of 2016, 2017, and 2018	5,486	5,486	5,486
Revenue Transfer from the State Trial Court Improvement and Modernization Fund (0159) to the Trial Court Trust Fund (0932) per Government Code Section 77209(j)	13,397	13,397	13,397
Revenue Transfer from the State Trial Court Improvement and Modernization Fund (0159) to the Trial Court Trust Fund (0932) per Item 0250-111-0159, Budget Acts of 2016, 2017, and 2018	594	594	594
Total Revenues, Transfers, and Other Adjustments	<u>\$1,315,000</u>	<u>\$1,160,248</u>	<u>\$1,099,870</u>
Total Resources	<u>\$1,382,858</u>	<u>\$1,231,878</u>	<u>\$1,172,114</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0250 Judicial Branch (State Operations)	3,447	4,079	4,678
0250 Judicial Branch (Local Assistance)	2,655,130	2,678,288	2,776,908
0840 State Controller (State Operations)	174	174	174
9892 Supplemental Pension Payments (State Operations)	98	76	76
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	2	66	210
Less funding provided by General Fund (Local Assistance)	-136,700	-156,700	-156,700
Less funding provided by General Fund (Local Assistance)	-1,175,492	-1,324,570	-1,180,471
Less funding provided by General Fund (Local Assistance)	-35,431	-41,779	-273,761
Total Expenditures and Expenditure Adjustments	<u>\$1,311,228</u>	<u>\$1,159,634</u>	<u>\$1,171,114</u>
FUND BALANCE	<u>\$71,630</u>	<u>\$72,244</u>	<u>\$1,000</u>
Reserve for economic uncertainties	71,630	72,244	1,000
3037 State Court Facilities Construction Fund^s			
BEGINNING BALANCE	\$317,779	\$271,211	\$166,089
Prior Year Adjustments	2,815	-	-
Adjusted Beginning Balance	<u>\$320,594</u>	<u>\$271,211</u>	<u>\$166,089</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	242	17	17
4163000 Investment Income - Surplus Money Investments	6,799	4,697	3,000

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0250 Judicial Branch - Continued

	2018-19*	2019-20*	2020-21*
4171200 Court Filing Fees and Surcharges	26,224	16,812	16,424
4172500 Miscellaneous Revenue	6,764	1,070	1,000
4172900 Penalty Assessments - Criminal Fines	46,998	35,001	32,545
4173000 Penalty Assessments - Other	12,243	8,618	7,943
Transfers and Other Adjustments			
Revenue Transfer from the State Court Facilities Construction Fund (3037) to the Immediate and Critical Needs Account (3138) per Item 0250-311-3037, Budget Act of 2020	-	-	-10,969
Revenue Transfer from the State Court Facilities Construction Fund (3037) to the Trial Court Trust Fund (0932) per Item 0250-111-3037, Budget Acts of 2016, 2017, and 2018	-5,486	-5,486	-5,486
Total Revenues, Transfers, and Other Adjustments	\$93,784	\$60,729	\$44,474
Total Resources	\$414,378	\$331,940	\$210,563
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0250 Judicial Branch (State Operations)	142,194	161,337	161,437
8880 Financial Information System for California (State Operations)	-	-2	-
9892 Supplemental Pension Payments (State Operations)	323	782	782
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	650	3,734	7,338
Total Expenditures and Expenditure Adjustments	\$143,167	\$165,851	\$169,557
FUND BALANCE			
Reserve for economic uncertainties	271,211	166,089	41,006
3060 Appellate Court Trust Fund^s			
BEGINNING BALANCE	\$12,774	\$18,016	\$15,001
Prior Year Adjustments	-33	-	-
Adjusted Beginning Balance	\$12,741	\$18,016	\$15,001
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	399	237	154
4171200 Court Filing Fees and Surcharges	6,828	4,654	3,825
Transfers and Other Adjustments			
Loan from the Appellate Court Trust Fund (3060) to the General Fund (0001) per Item 0250-011-3060, Budget Act of 2020	-	-	-5,000
Total Revenues, Transfers, and Other Adjustments	\$7,227	\$4,891	-\$1,021
Total Resources	\$19,968	\$22,907	\$13,980
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0250 Judicial Branch (State Operations)	1,702	7,498	7,349
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	250	408	594
Total Expenditures and Expenditure Adjustments	\$1,952	\$7,906	\$7,943
FUND BALANCE			
Reserve for economic uncertainties	18,016	15,001	6,037
3066 Court Facilities Trust Fund^s			
BEGINNING BALANCE	\$10,336	\$11,560	\$5,698
Prior Year Adjustments	-79	-	-
Adjusted Beginning Balance	\$10,257	\$11,560	\$5,698
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	98,024	98,124	98,005
4152500 Rental of State Property	4,999	3,476	4,001
4163000 Investment Income - Surplus Money Investments	784	649	649
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	3	-	-
4172500 Miscellaneous Revenue	133	-	-

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0250 Judicial Branch - Continued

	2018-19*	2019-20*	2020-21*
Transfers and Other Adjustments			
Revenue Transfer from the State Court Facilities Trust Fund (3066) to the Immediate and Critical Needs Account (3138) per Item 0250-014-3066, Budget Acts of 2017 and 2018	-4,103	-4,081	-4,081
Total Revenues, Transfers, and Other Adjustments	<u>\$99,840</u>	<u>\$98,168</u>	<u>\$98,574</u>
Total Resources	<u>\$110,097</u>	<u>\$109,728</u>	<u>\$104,272</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0250 Judicial Branch (State Operations)	106,590	138,233	138,233
Less funding provided by General Fund (State Operations)	-8,053	-34,203	-34,203
Total Expenditures and Expenditure Adjustments	<u>\$98,537</u>	<u>\$104,030</u>	<u>\$104,030</u>
FUND BALANCE			
Reserve for economic uncertainties	11,560	5,698	242
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund^s			
BEGINNING BALANCE	\$253,284	\$215,779	\$251,366
Prior Year Adjustments	1,285	-	-
Adjusted Beginning Balance	<u>\$254,569</u>	<u>\$215,779</u>	<u>\$251,366</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4152500 Rental of State Property	7	-	-
4163000 Investment Income - Surplus Money Investments	3,892	6,432	3,892
4171200 Court Filing Fees and Surcharges	29,558	21,828	21,068
4172000 Fines and Forfeitures	2,314	-	-
4172500 Miscellaneous Revenue	18,728	15,071	13,918
4172900 Penalty Assessments - Criminal Fines	120,931	92,719	82,526
4173000 Penalty Assessments - Other	25,145	18,052	16,127
4173800 Traffic Violations	19,526	15,174	13,432
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Immediate and Critical Needs Account (3138) per Item 0250-011-3138, Budget Act of 2011	-	90,000	-
Revenue Transfer from the State Court Facilities Construction Fund (3037) to the Immediate and Critical Needs Account (3138) per Item 0250-311-3037, Budget Act of 2020	-	-	10,969
Revenue Transfer from the State Court Facilities Trust Fund (3066) to the Immediate and Critical Needs Account (3138) per Item 0250-014-3066, Budget Acts of 2017 and 2018	4,103	4,081	4,081
Total Revenues, Transfers, and Other Adjustments	<u>\$224,204</u>	<u>\$263,357</u>	<u>\$166,013</u>
Total Resources	<u>\$478,773</u>	<u>\$479,136</u>	<u>\$417,379</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0250 Judicial Branch (State Operations)	175,847	175,888	176,689
0250 Judicial Branch (Local Assistance)	50,000	50,000	50,000
0250 Judicial Branch (Capital Outlay)	32,170	1,366	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	4,977	516	-
Total Expenditures and Expenditure Adjustments	<u>\$262,994</u>	<u>\$227,770</u>	<u>\$226,689</u>
FUND BALANCE			
Reserve for economic uncertainties	215,779	251,366	190,690

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	1,752.9	1,773.4	1,772.4	\$191,899	\$194,525	\$193,803
Budget Position Transparency	-	-59.3	-59.2	-	-36,108	-31,383
Salary and Other Adjustments	-39.7	-	-	5,986	7,758	7,758
Workload and Administrative Adjustments						
Appointed Legal Counsel in Civil Cases (AB 330)						
Various	-	-	2.0	-	-	186
Court Navigator Program						
Various	-	-	2.5	-	-	245
Digitizing Documents Phases 2 and 3						
Various	-	-	1.0	-	-	101
Information Technology Modernization						
Various	-	-	12.0	-	-	1,335
Language Access Plan Implementation						
Various	-	-	3.0	-	-	304
Withdraw Court Navigator Program						
Various	-	-	-2.5	-	-	-245
Withdraw Digitizing Documents Phases 2 and 3						
Various	-	-	-1.0	-	-	-101
Withdraw Information Technology Modernization						
Various	-	-	-12.0	-	-	-1,335
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	5.0	\$-	\$-	\$490
Totals, Adjustments	-39.7	-59.3	-54.2	\$5,986	\$-28,350	\$-23,135
TOTALS, SALARIES AND WAGES	1,713.2	1,714.1	1,718.2	\$197,885	\$166,175	\$170,668

INFRASTRUCTURE OVERVIEW

The Judicial Branch consists of the Supreme Court, courts of appeal, trial courts, and the Judicial Council. The Supreme Court is located in the 101,500 square foot (sf) Civic Center Plaza in San Francisco and the 7,600 sf Ronald Reagan State Building in Los Angeles. The courts of appeal are organized into six districts, operate in nine different locations, and consist of approximately 508,000 sf. The trial courts are located in 58 counties statewide, in approximately 450 facilities, and 2,100 courtrooms of approximately 16 million sf of usable area and more than 21 million sf of space under Judicial Council responsibility and management. The facilities of the Supreme Court, courts of appeal, and trial courts encompass not only the public courtroom spaces but also the chambers and workspaces where judicial officers and courtroom staff prepare for proceedings; secure areas, including holding cells; and building support functions. Judicial Council administrative facilities, occupying approximately 270,000 sf, are located in San Francisco and Sacramento.

SUMMARY OF PROJECTS

0165		State Building Program Expenditures	2018-19*	2019-20*	2020-21*
			CAPITAL OUTLAY Projects		
0000078	Glenn County: Renovation and Addition to Willows Courthouse Construction		-	38,292	-
0000079	Imperial County: New El Centro Courthouse Construction		41,944	17,152	-
			41,944	17,152	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

		State Building Program Expenditures	2018-19*	2019-20*	2020-21*
0165		CAPITAL OUTLAY Projects			
0000101	Riverside County: New Indio Juvenile and Family Courthouse		45,327	1,366	19,764
	Working Drawings		-	1,366	-
	Construction		45,327	-	19,764
0000102	Riverside County: New Mid-County Civil Courthouse		5,666	-	75,792
	Working Drawings		5,666	-	-
	Construction		-	-	75,792
0000103	Sacramento County: New Sacramento Courthouse		-	-	459,801
	Construction		-	-	459,801
0000106	San Diego County: New San Diego Courthouse		11,020	3,583	-
	Construction		11,020	3,583	-
0000111	Shasta County: New Redding Courthouse		151,514	-	-
	Construction		151,514	-	-
0000112	Siskiyou County: New Yreka Courthouse		64,709	-	-
	Construction		64,709	-	-
0000114	Sonoma County: New Santa Rosa Criminal Courthouse		11,252	-	160,734
	Working Drawings		11,252	-	-
	Construction		-	-	160,734
0000115	Stanislaus County: New Modesto Courthouse		15,252	-	250,486
	Working Drawings		15,252	-	-
	Construction		-	-	250,486
0000119	Tuolumne County: New Sonora Courthouse		61,959	-	-
	Construction		61,959	-	-
TOTALS, EXPENDITURES, ALL PROJECTS			\$408,643	\$60,393	\$966,577
FUNDING			2018-19*	2019-20*	2020-21*
0660	Public Buildings Construction Fund		\$365,453	\$55,444	\$966,577
0668	Public Buildings Construction Fund Subaccount		11,020	3,583	-
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund		32,170	1,366	-
TOTALS, EXPENDITURES, ALL FUNDS			\$408,643	\$60,393	\$966,577

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

3 CAPITAL OUTLAY	2018-19*	2019-20*	2020-21*
0660 Public Buildings Construction Fund			
APPROPRIATIONS			
301 Budget Act appropriation	\$365,453	\$36,916	\$13,243
0000101 - Riverside County: New Indio Juvenile and Family Courthouse Reappropriation - COBCP - C	-	-19,764	-
Prior Year Balances Available:			
Item 0250-301-0660, Budget Act of 2018	-	38,292	933,570
Item 0250-301-0660, Budget Act of 2019	-	-	19,764
Totals Available	\$365,453	\$55,444	\$966,577
TOTALS, EXPENDITURES	\$365,453	\$55,444	\$966,577
0668 Public Buildings Construction Fund Subaccount			
Prior Year Balances Available:			
Item 0250-301-0668, Budget Act of 2013 as reappropriated by Item 0250-490, Budget Act of 2019	11,020	3,583	-
Totals Available	\$11,020	\$3,583	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

3 CAPITAL OUTLAY	2018-19*	2019-20*	2020-21*
TOTALS, EXPENDITURES	\$11,020	\$3,583	-
3037 State Court Facilities Construction Fund			
APPROPRIATIONS			
311 Budget Act appropriation (transfer to Immediate and Critical Needs Account, State Courts Facilities Construction Fund)	-	-	(\$10,969)
TOTALS, EXPENDITURES	-	-	-
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund			
APPROPRIATIONS			
301 Budget Act appropriation	\$32,170	\$1,366	-
Totals Available	\$32,170	\$1,366	-
TOTALS, EXPENDITURES	\$32,170	\$1,366	-
Total Expenditures, All Funds, (Capital Outlay)	\$408,643	\$60,393	\$966,577

0280 Commission on Judicial Performance

The California Commission on Judicial Performance is the independent state agency responsible for investigating complaints of judicial misconduct and judicial incapacity, and for disciplining judges pursuant to Article VI, Section 18 of the California Constitution. It is the only body with such authority in the state. Its jurisdiction includes all active judges and justices of California's superior courts, Courts of Appeal and Supreme Court, and former judges for conduct prior to retirement or resignation. The Commission also shares authority with the local courts for the oversight of court commissioners and referees. In addition to its disciplinary functions, the Commission is responsible for handling judges' applications for disability retirement.

The Commission's authority is limited to investigating alleged judicial misconduct and, if warranted, imposing discipline. Judicial misconduct usually involves conduct in conflict with the standards set forth in the Code of Judicial Ethics. After investigation and, in some cases a public hearing, the Commission may impose sanctions ranging from confidential discipline to removal from office.

The Commission is composed of 11 members: 3 judges appointed by the Supreme Court; 2 attorneys appointed by the Governor; and 6 lay citizens, of which 2 are appointed by the Governor, 2 are appointed by the Senate Committee on Rules, and 2 are appointed by the Speaker of the Assembly. Members are appointed to four-year terms and may serve two terms. Commission members do not receive a salary.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0180 Commission on Judicial Performance	21.8	20.2	24.2	\$5,227	\$5,530	\$6,887
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	21.8	20.2	24.2	\$5,227	\$5,530	\$6,887
FUNDING				2018-19*	2019-20*	2020-21*
0001 General Fund				\$5,234	\$5,452	\$6,809
0995 Reimbursements				-	79	79
9728 Judicial Branch Workers Compensation Fund				-7	-1	-1
TOTALS, EXPENDITURES, ALL FUNDS				\$5,227	\$5,530	\$6,887

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article VI, Sections 8, 18, 18.1 and 18.5; Government Code, Sections 75060 et seq. and 75560 et seq.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0280 Commission on Judicial Performance - Continued**MAJOR PROGRAM CHANGES**

- Improve Complaint and Investigative Processes—The Budget includes \$1.3 million General Fund in 2020-21 and \$1.1 million annually thereafter for the Commission on Judicial Performance to improve their investigative processes and to adopt a new case management system which accepts online complaints.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Improve Complaint and Investigative Processes	\$-	\$-	-	\$1,256	\$-	4.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$1,256	\$-	4.0
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	163	-	-	127	-	-
• Salary Adjustments	97	-	-	97	-	-
• Miscellaneous Baseline Adjustments	-	-	-	95	-	-
• Benefit Adjustments	45	-	-	51	-	-
• Retirement Rate Adjustments	46	-	-	46	-	-
• Budget Position Transparency	-163	-	-0.3	-127	-	-0.3
Totals, Other Workload Budget Adjustments	\$188	\$-	-0.3	\$289	\$-	-0.3
Totals, Workload Budget Adjustments	\$188	\$-	-0.3	\$1,545	\$-	3.7
Totals, Budget Adjustments	\$188	\$-	-0.3	\$1,545	\$-	3.7

DETAILED EXPENDITURES BY PROGRAM

			2018-19*			2019-20*			2020-21*				
			2018-19*	2019-20*	2020-21*	2018-19*	2019-20*	2020-21*	2018-19*	2019-20*	2020-21*		
PROGRAM REQUIREMENTS													
0180 COMMISSION ON JUDICIAL PERFORMANCE													
State Operations:													
0001	General Fund					\$5,234			\$5,452		\$6,809		
0995	Reimbursements					-			79		79		
9728	Judicial Branch Workers Compensation Fund					-7			-1		-1		
Totals, State Operations						\$5,227			\$5,530		\$6,887		
TOTALS, EXPENDITURES													
State Operations						5,227			5,530		6,887		
Totals, Expenditures						\$5,227			\$5,530		\$6,887		

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES						
Baseline Positions	20.5	20.5	20.5	\$2,574	\$2,574	\$2,574
Budget Position Transparency	-	-0.3	-0.3	-	-163	-127
Other Adjustments	1.3	-	4.0	124	97	646
Net Totals, Salaries and Wages	21.8	20.2	24.2	\$2,698	\$2,508	\$3,093

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0280 Commission on Judicial Performance - Continued

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Staff Benefits	-	-	-	1,465	1,478	1,793
Totals, Personal Services	21.8	20.2	24.2	\$4,163	\$3,986	\$4,886
OPERATING EXPENSES AND EQUIPMENT				\$1,064	\$1,544	\$2,001
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$5,227	\$5,530	\$6,887

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,227	\$5,263	\$6,808
Allocation for Employee Compensation	-	97	-
Allocation for Staff Benefits	-	45	-
Budget Position Transparency	-	-163	-
Expenditure by Category Redistribution	-	163	-
Section 3.60 Pension Contribution Adjustment	-	46	-
011 Budget Act appropriation (transfer to Judicial Branch Workers' Compensation Fund)	7	1	1
Totals Available	\$5,234	\$5,452	\$6,809
TOTALS, EXPENDITURES	\$5,234	\$5,452	\$6,809
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	-	\$79	\$79
TOTALS, EXPENDITURES		\$79	\$79
9728 Judicial Branch Workers Compensation Fund			
Less funding provided by General Fund	-7	-1	-1
NET TOTALS, EXPENDITURES	-\$7	-\$1	-\$1
Total Expenditures, All Funds, (State Operations)	\$5,227	\$5,530	\$6,887

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	20.5	20.5	20.5	\$2,574	\$2,574	\$2,574
Budget Position Transparency	-	-0.3	-0.3	-	-163	-127
Salary and Other Adjustments	1.3	-	-	124	97	97
Workload and Administrative Adjustments						
Improve Complaint and Investigative Processes						
Various	-	-	4.0	-	-	549
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	4.0	\$-	\$-	\$549
Totals, Adjustments	1.3	-0.3	3.7	\$124	\$-66	\$519
TOTALS, SALARIES AND WAGES	21.8	20.2	24.2	\$2,698	\$2,508	\$3,093

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0390 Contributions to the Judges' Retirement System

The Judges' Retirement System provides retirement benefit funding for judges on California's Supreme, Appellate, and Superior/Municipal courts. The California Public Employees' Retirement System administers both the Judges' Retirement System and the Judges' Retirement System II on behalf of its members.

The Judges' Retirement System is closed to new members, and a second retirement system for judges was established in 1994. All new judges elected or appointed on or after November 9, 1994 become members of the Judges' Retirement System II. The Judges' Retirement System II provides retirement, disability, and death benefits based on age, years of service, final compensation, and eligibility, as determined by specific sections of the Judges' Retirement System II Law. Judges' Retirement System II members eligible for a service retirement have the option of choosing the monetary credit plan (a lump-sum return of contributions and interest earned) or the defined benefit plan. Judges' Retirement System II members not eligible for a defined benefit receive the balance of their monetary credits (in a lump-sum payment). The Judges' Retirement System II receives contributions from judges equal to 8 percent of salary as well as any investment income. The state's contributions are adjusted annually to maintain actuarial soundness of the fund.

The Judges' Retirement System II provides retirement, disability, and death benefits based on age, years of service, compensation of active judges, and eligibility, as determined by specific sections of the Judges' Retirement Law. Active judges and the state each contribute 8 percent of salary to the Judges' Retirement System. Additional contributions come from filing fees for specific civil cases and investment income. These contributions, however, are not sufficient to fully fund benefit payments. Consequently, current law requires the state to fund the difference between existing contribution sources and the required benefit payments to retired judges.

Effective January 1, 2013, provisions of the California Public Employees' Pension Reform Act of 2013, Chapter 296, Statutes of 2012 (AB 340), require that new judges contribute 50 percent of the normal cost of their pension benefits.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0190 State Operations	-	-	-	\$5,643	\$45,702	\$5,504
0195 Local Assistance	-	-	-	272,827	304,138	304,417
0200 Benefit Payments	-	-	-	265,995	283,681	258,009
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$544,465	\$633,521	\$567,930
FUNDING				2018-19*	2019-20*	2020-21*
0001 General Fund				\$278,470	\$349,840	\$309,921
0815 Judges Retirement Fund				230,248	250,776	219,728
0884 Judges Retirement System II Fund				35,747	32,905	38,281
TOTALS, EXPENDITURES, ALL FUNDS				\$544,465	\$633,521	\$567,930

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 8, Chapters 11 and 11.5.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0390 Contributions to the Judges' Retirement System - Continued

- Miscellaneous Baseline Adjustments
- Totals, Other Workload Budget Adjustments**
- Totals, Workload Budget Adjustments**
- Totals, Budget Adjustments**

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
	\$2,476	\$29,557	-	\$2,687	\$-5,190	-
Totals, Other Workload Budget Adjustments	\$2,476	\$29,557	-	\$2,687	\$-5,190	-
Totals, Workload Budget Adjustments	\$2,476	\$29,557	-	\$2,687	\$-5,190	-
Totals, Budget Adjustments	\$2,476	\$29,557	-	\$2,687	\$-5,190	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0390 Contributions to the Judges' Retirement System - Continued

Judges' Retirement Fund Summaries

0815 Judges' Retirement Fund^{1/2/}	PY 2018-19*	CY 2019-20*	BY 2020-21*
Beginning Balance	\$42,359	\$10,169	\$26,519
Prior Year Adjustments	(1,998)	-	-
Adjusted Beginning Balance	\$40,361	\$10,169	\$26,519
 Revenues:			
Investment Income	\$5,363	\$3,863	\$4,336
State Contributions	194,371	262,889	224,935
Member Contributions	2,789	2,395	1,985
Contribution Refunds	-	-3	-3
Total Revenues	\$202,523	\$269,144	\$231,253
 Expenditures:			
Pension Benefit Payments	\$230,248	\$250,776	\$219,728
Administrative Expenditures ^{4/}	2,467	2,018	2,010
Total Expenditures	\$232,715	\$252,794	\$221,738
 Ending Fund Balance	\$10,169	\$26,519	\$36,034
 0884 Judges' Retirement System II Fund^{1/2/}	PY 2018-19*	CY 2019-20*	BY 2020-21*
Beginning Balance	\$1,528,901	\$1,710,089	\$1,914,268
Prior Year Adjustments	(2,411)	-	-
Adjusted Beginning Balance	\$1,526,490	\$1,710,089	\$1,914,268
 Revenues:			
Investment Income	\$106,781	\$119,624	\$133,895
State Contributions ^{3/}	84,099	86,951	86,951
Member Contributions	31,376	32,904	33,764
Contribution Refunds	-159	-97	-97
Total Revenues	\$222,097	\$239,382	\$254,513
 Expenditures:			
Pension Benefit Payments ^{3/}	\$35,747	\$32,905	\$38,281
Administrative Expenditures ^{4/}	2,751	2,298	2,266
Total Expenditures	\$38,498	\$35,203	\$40,547
 Ending Fund Balance	\$1,710,089	\$1,914,268	\$2,128,234

1/ This display reflects fund data as of the 2020-21 Governor's Budget.

2/ This display does not reflect the \$40 million payment in 2019-20 for the post judgment award in Robert M. Mallano, Individually, and on Behalf of a Class of Similarly Situated Persons v. John Chiang, Controller of the State of California (Superior Court of California, County of Los Angeles, Case No. BC-533770).

3/ The 2020 Budget Act estimates 2020-21 state contributions to be \$84,986,000 to the Judges' Retirement System II, and 2020-21 pension benefit payments \$38,281,000 from the Judges' Retirement System II Fund. The revisions in the estimates from the 2020-21 Governor's Budget to the 2020 Budget Act are the result of a decrease in the employer contribution rate to the Judges' Retirement System II, as approved by the CalPERS Board of Administration at its February 2020 meeting.

4/ The 2020 Budget Act estimates 2020-21 administrative expenditures to be \$1,974,000 for the Judges' Retirement Fund and \$2,252,000 for the Judges' Retirement System II Fund, as approved by the CalPERS Board of Administration at its April 2020 meeting.

0390 Contributions to the Judges' Retirement System - Continued**DETAILED EXPENDITURES BY PROGRAM**

		2018-19*	2019-20*	2020-21*
	PROGRAM REQUIREMENTS			
0190	STATE OPERATIONS			
	State Operations:			
0001	General Fund	\$5,643	\$45,702	\$5,504
	Totals, State Operations	\$5,643	\$45,702	\$5,504
	PROGRAM REQUIREMENTS			
0195	LOCAL ASSISTANCE			
	Local Assistance:			
0001	General Fund	\$272,827	\$304,138	\$304,417
	Totals, Local Assistance	\$272,827	\$304,138	\$304,417
	PROGRAM REQUIREMENTS			
0200	BENEFIT PAYMENTS			
	Unclassified:			
0815	Judges Retirement Fund	\$230,248	\$250,776	\$219,728
0884	Judges Retirement System II Fund	35,747	32,905	38,281
	Totals, Unclassified	\$265,995	\$283,681	\$258,009
	TOTALS, EXPENDITURES			
	State Operations	5,643	45,702	5,504
	Local Assistance	272,827	304,138	304,417
	Unclassified	265,995	283,681	258,009
	Totals, Expenditures	\$544,465	\$633,521	\$567,930

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

		2018-19*	2019-20*	2020-21*
1 STATE OPERATIONS				
	0001 General Fund			
	APPROPRIATIONS			
001	Budget Act appropriation (transfer to Judges' Retirement Fund)	\$1,150	\$41,150	\$1,150
	Government Code section 75101 (JRS I)	709	419	530
	Revised Estimates	-	220	-
001	Government Code section 75600.5 (JRS II)	3,784	3,494	3,824
	Revised Estimates	-	419	-
	TOTALS, EXPENDITURES	\$5,643	\$45,702	\$5,504
	Total Expenditures, All Funds, (State Operations)	\$5,643	\$45,702	\$5,504
2 LOCAL ASSISTANCE				
	0001 General Fund			
	APPROPRIATIONS			
101	Budget Act appropriation (transfer to Judges' Retirement Fund)	\$190,565	\$219,344	\$221,800
	Government Code section 75101 (JRS I)	1,947	1,225	1,455
	Revised Estimates	-	531	-
101	Government Code section 75600.5 (JRS II)	80,315	81,732	81,162
	Revised Estimates	-	1,306	-
	TOTALS, EXPENDITURES	\$272,827	\$304,138	\$304,417
	Total Expenditures, All Funds, (Local Assistance)	\$272,827	\$304,138	\$304,417
4 UNCLASSIFIED				
	0815 Judges Retirement Fund			
	APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0390 Contributions to the Judges' Retirement System - Continued

	2018-19*	2019-20*	2020-21*
4 UNCLASSIFIED			
Government Code section 75025	\$230,248	\$222,759	\$219,728
Revised Estimates	-	28,017	-
TOTALS, EXPENDITURES	\$230,248	\$250,776	\$219,728
0884 Judges Retirement System II Fund			
APPROPRIATIONS			
Government Code section 75522	\$35,747	\$31,365	\$38,281
Revised Estimates	-	1,540	-
TOTALS, EXPENDITURES	\$35,747	\$32,905	\$38,281
Total Expenditures, All Funds, (Unclassified)	\$265,995	\$283,681	\$258,009
TOTALS, EXPENDITURES, ALL FUNDS (State Operations, Local Assistance and Unclassified)	\$544,465	\$633,521	\$567,930

0500 Governor's Office

Article V of the California Constitution vests the supreme executive power in a chief magistrate, who is called the Governor of the State of California. The Office of the Governor is maintained in Sacramento.

The Office of the First Partner is dedicated to furthering the cause of gender equity in California, lifting up women and their families, and breaking down barriers for our youth.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0210 Governor's Office	108.0	125.0	125.0	\$19,720	\$24,875	\$24,874
0215 Office of the First Partner	-	7.0	7.0	-	831	832
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	108.0	132.0	132.0	\$19,720	\$25,706	\$25,706
FUNDING				2018-19*	2019-20*	2020-21*
0001 General Fund				\$16,975	\$22,320	\$21,405
9740 Central Service Cost Recovery Fund				2,745	3,385	4,300
9750 Immigrant Integration Fund				-	1	1
TOTALS, EXPENDITURES, ALL FUNDS				\$19,720	\$25,706	\$25,706

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article V.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$125	\$-	-	\$225	\$-	-
• Central Service Function Cost Realignment	-	-	-	-915	915	-
• Salary Adjustments	527	-	-	627	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0500 Governor's Office - Continued

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Retirement Rate Adjustments	253	-	-	380	-	-
• Benefit Adjustments	226	-	-	345	-	-
• Miscellaneous Baseline Adjustments	-	-	-	304	-	-
Totals, Other Workload Budget Adjustments	\$1,131	\$-	-	\$966	\$915	-
Totals, Workload Budget Adjustments	\$1,131	\$-	-	\$966	\$915	-
Totals, Budget Adjustments	\$1,131	\$-	-	\$966	\$915	-

DETAILED EXPENDITURES BY PROGRAM

			2018-19*	2019-20*	2020-21*		
			General Fund	Other Funds	Positions		
PROGRAM REQUIREMENTS							
0210 GOVERNOR'S OFFICE							
State Operations:							
0001 General Fund			\$16,975		\$21,489		
9740 Central Service Cost Recovery Fund			2,745		3,385		
9750 Immigrant Integration Fund			-		1		
Totals, State Operations			\$19,720		\$24,875		
PROGRAM REQUIREMENTS							
0215 OFFICE OF THE FIRST PARTNER							
State Operations:							
0001 General Fund			\$-		\$831		
Totals, State Operations			\$-		\$831		
TOTALS, EXPENDITURES							
State Operations			19,720		25,706		
Totals, Expenditures			\$19,720		\$25,706		

EXPENDITURES BY CATEGORY

	1 State Operations	Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES							
Baseline Positions		108.0	132.0	132.0	\$12,944	\$15,262	\$15,262
Other Adjustments		-	-	-	162	527	627
Net Totals, Salaries and Wages		108.0	132.0	132.0	\$13,106	\$15,789	\$15,889
Staff Benefits		-	-	-	4,080	6,709	7,055
Totals, Personal Services		108.0	132.0	132.0	\$17,186	\$22,498	\$22,944
OPERATING EXPENSES AND EQUIPMENT							
SPECIAL ITEMS OF EXPENSES					\$2,534	\$3,207	\$2,761
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)					\$19,720	\$25,706	\$25,706

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0500 Governor's Office - Continued

1 STATE OPERATIONS		2018-19*	2019-20*	2020-21*
0001 General Fund				
APPROPRIATIONS				
001 Budget Act appropriation		\$16,975	\$21,189	\$21,405
Allocation for Employee Compensation		-	527	-
Allocation for Other Post-Employment Benefits		-	125	-
Allocation for Staff Benefits		-	226	-
Section 3.60 Pension Contribution Adjustment		-	253	-
Totals Available		\$16,975	\$22,320	\$21,405
TOTALS, EXPENDITURES		\$16,975	\$22,320	\$21,405
9740 Central Service Cost Recovery Fund				
APPROPRIATIONS				
001 Budget Act appropriation		\$2,745	\$3,385	\$4,300
Totals Available		\$2,745	\$3,385	\$4,300
TOTALS, EXPENDITURES		\$2,745	\$3,385	\$4,300
9750 Immigrant Integration Fund				
APPROPRIATIONS				
001 Budget Act appropriation		-	\$1	\$1
Totals Available		-	\$1	\$1
TOTALS, EXPENDITURES		-	\$1	\$1
Total Expenditures, All Funds, (State Operations)		\$19,720	\$25,706	\$25,706

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	108.0	132.0	132.0	\$12,944	\$15,262	\$15,262
Salary and Other Adjustments	-	-	-	162	527	627
Totals, Adjustments	-	-	-	\$162	\$527	\$627
TOTALS, SALARIES AND WAGES	108.0	132.0	132.0	\$13,106	\$15,789	\$15,889

0509 Governor's Office of Business and Economic Development (GO-Biz)

The Governor's Office of Business and Economic Development (GO-Biz) provides a single point of contact for economic development, business assistance and job creation efforts. The GO-Biz works with companies and organizations across the nation to market the benefits of doing business in California, recruit new businesses, retain businesses, and support private sector job growth. The GO-Biz serves as the Governor's lead entity for economic strategy and the marketing of California on issues relating to business development, private sector investment, economic growth, export promotion, permit assistance, innovation and entrepreneurship.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0220	Go-Biz	32.1	46.4	39.1	\$6,652	\$29,157	\$8,672
0225	California Business Investment Services	5.8	11.5	16.3	2,243	2,716	3,477
0230	Office of the Small Business Advocate	2.3	5.3	5.8	23,268	21,468	21,468
0235	Infrastructure, Finance and Economic Development	43.6	34.1	40.1	8,701	69,838	94,984

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0509 Governor's Office of Business and Economic Development (GO-Biz) -

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0240 Community Reinvestment Grants Program	0.5	7.0	10.0	414	45,190	45,537
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	84.3	104.3	111.3	\$41,278	\$168,369	\$174,138
FUNDING				2018-19*	2019-20*	2020-21*
0001 General Fund				\$34,766	\$105,449	\$111,273
0649 California Infrastructure and Economic Development Bank Fund				4,592	12,693	12,639
0918 California Small Business Expansion Fund				152	2,154	2,154
0995 Reimbursements				1,088	17,327	16,925
3083 Welcome Center Fund				61	111	111
3095 Film Promotion and Marketing Fund				7	10	10
3237 Cost of Implementation Account, Air Pollution Control Fund				198	1,025	1,026
3314 California Cannabis Tax Fund				414	9,600	-
3348 Cannabis Tax Fund - Governor's Office of Business and Economic Development -Allocation 2				-	20,000	30,000
TOTALS, EXPENDITURES, ALL FUNDS				\$41,278	\$168,369	\$174,138

LEGAL CITATIONS AND AUTHORITY

Government Code Sections 12096.1-12100.69, 13995.150-13995.155, 14998-14998.13, 63050-63056, and 63088-63089.98; Corporations Code Sections 14000-14024; and Revenue and Taxation Code Section 34019(d).

MAJOR PROGRAM CHANGES

- Small Business Assistance**—The Budget includes \$75 million one-time General Fund for the California Infrastructure and Economic Development Bank to support programs that provide financial assistance for small businesses. Of that amount, \$50 million for the Small Business Finance Center will address gaps in available federal assistance and will leverage existing private lending capacity and philanthropic funding, and \$25 million will provide capital to Community Development Financial Institutions and other mission based lenders to enable the origination of more loans in underbanked communities.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Climate Catalyst Fund	\$-	\$-	-	\$250,000	\$-	1.0
• Small Business Assistance	-	-	-	75,000	-	-
• CalBIS Regional Specialists	-	-	-	758	-	4.0
• California Film Commission Baseline Cost Increases	-	-	-	198	-	-
• Legislative and Inter-governmental Affairs Support	-	-	-	127	-	1.0
• Human Resources and Administration Staffing	-	-	-	72	-	1.0
• IBank Workload Increases	-	-	-	-	-	3.0
• Human Resources and Administrative Staffing	-	-	-	-72	-	-1.0
• Legislative and Inter-governmental Affairs	-	-	-	-127	-	-1.0
• Climate Catalyst fund	-	-	-	-250,000	-	-1.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$75,956	\$-	7.0

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0509 Governor's Office of Business and Economic Development (GO-Biz) -

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	675	110	-	686	112	-
• Other Post-Employment Benefit Adjustments	71	46	-	71	46	-
• Executive Order E19/20-139: COVID-19 Control Section 36.00	50,000	-	-	-	-	-
• Salary Adjustments	248	154	-	245	148	-
• Benefit Adjustments	111	59	-	122	63	-
• Retirement Rate Adjustments	121	65	-	121	65	-
• Miscellaneous Baseline Adjustments	-	15,898	4.0	-	15,495	4.0
• Carryover/Reappropriation	-	9,600	-	-	-	-
• Budget Position Transparency	-675	-110	-6.0	-686	-112	1.0
Totals, Other Workload Budget Adjustments	\$50,551	\$25,822	-2.0	\$559	\$15,817	5.0
Totals, Workload Budget Adjustments	\$50,551	\$25,822	-2.0	\$76,515	\$15,817	12.0
Totals, Budget Adjustments	\$50,551	\$25,822	-2.0	\$76,515	\$15,817	12.0

PROGRAM DESCRIPTIONS**0220 - GO-Biz**

The GO-Biz serves as the Governor's lead entity for economic strategy and the marketing of California on issues relating to business development, private sector investment, and economic growth, and export promotion. This program makes recommendations to the Governor and the Legislature regarding policies, programs, and actions to advance statewide economic goals.

0225 - CALIFORNIA BUSINESS INVESTMENT SERVICES

This program serves employers, corporate executives, business owners, and site location consultants who are considering California for business investment and expansion. This program convenes teams on key business development issues. This program works with local, state, and federal partners to attract, retain, and grow businesses in addition to providing permit assistance and helping businesses succeed in California. The Innovation Hub (iHub) initiative improves the state's national and global competitiveness by stimulating partnerships, economic development, and job creation around specific research clusters through state-designated iHubs.

0230 - OFFICE OF THE SMALL BUSINESS ADVOCATE

The director of the Office of the Small Business Advocate (OSBA) serves as the principal advocate in the state on behalf of small businesses, including legislation and administrative regulations that affect small business. The OSBA is responsible for disseminating information about programs and services provided by the state that benefit small businesses, and how small businesses can participate in these programs and services. The OSBA responds to issues from small businesses concerning the actions of state agencies, state laws and regulations adversely affecting those businesses. The OSBA maintains and distributes an annual list of persons serving as small business ombudsmen throughout state government.

0235010 - CALIFORNIA FILM COMMISSION

The California Film Commission (CFC) enhances California's status as the leader in motion picture, television and commercial production. The Commission is tasked with retaining and increasing motion picture production and to see that it continues to create jobs and boost business throughout the State. A one-stop office for filmmakers, the Commission supports productions of all sizes and budgets with a variety of services. In addition to issuing film permits for all state properties, administering the film and TV tax credit program, maintaining an extensive location library, and offering production assistance on a wide variety of issues, CFC also works closely with cities and counties with the goal of creating "film friendly" policies that are consistent statewide.

0235019 - DIVISION OF TOURISM

The California Tourism Market Act provides for the marketing of California through an assessment of businesses that benefit from travel and tourism. The objective of the Tourism Assessment Program is to identify potentially assessable businesses, assist companies with determining the appropriate amount of their self-assessment, and collect the fee.

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0509 Governor's Office of Business and Economic Development (GO-Biz) -

0235028 - CALIFORNIA INFRASTRUCTURE AND ECONOMIC DEVELOPMENT BANK

California Infrastructure and Economic Development Bank (IBank) was created to finance public infrastructure and private development that promote a healthy climate for jobs, contribute to a strong economy and improve the quality of life in California communities. IBank has a broad authority to issue tax-exempt and taxable revenue bonds, provide financing to public agencies, provide credit enhancements, acquire or lease facilities, and leverage State and Federal funds. IBank's current programs include the infrastructure state revolving fund, 501(c)(3) tax-exempt and taxable revenue bond program, industrial development revenue bond program, exempt facility revenue bond program, governmental bond program and the Clean Energy Finance Center (CEFC) and the Statewide Energy Efficiency Program under the CEFC.

0235037 - SMALL BUSINESS LOAN GUARANTEE PROGRAM

The Small Business Loan Guarantee Program (SBLGP) promotes local economic development by providing guarantees for loans issued to small businesses from financial institutions, typically banks, that otherwise would not approve a term loan or line of credit to a small business. As a result of the SBLGP, participating small businesses are able to secure financing that allows them to grow and expand their business. The loan guarantee serves as a credit enhancement and an incentive for financial institutions to make loans to small businesses that otherwise would not be eligible for such financing.

0235046 - CALIFORNIA WELCOME CENTERS

California Welcome Centers are visitor information centers that are readily accessible to and recognizable by tourists to encourage tourism in California and provide benefits to the state economy. The objective of the California Welcome Center Program is to determine the locality of underserved travelers, designate a welcome center, and establish operating standards across the network.

0240 - COMMUNITY REINVESTMENT GRANT PROGRAM

The California Community Reinvestment Grants Program (CalCRG) was established on July 1, 2018 to implement the competitive grant program mandated by Proposition 64, the Adult Use of Marijuana Act, and the provisions specified in Revenue and Taxation Code section 34019(d). The CalCRG administers grants for local public health departments and qualified community-based nonprofit organizations to support various mental health treatment, substance use treatment, job placement, legal services and other health and wellness-related programs for communities disproportionately affected by past federal and state drug policies in California. GO-Biz must award grants annually and at least 50 percent of the grant funding will be allocated to qualified community-based nonprofit organizations.

DETAILED EXPENDITURES BY PROGRAM

		<u>2018-19*</u>	<u>2019-20*</u>	<u>2020-21*</u>
	PROGRAM REQUIREMENTS			
0220	GO-BIZ			
	State Operations:			
0001	General Fund	\$6,150	\$8,428	\$8,432
0995	Reimbursements	304	349	-
3237	Cost of Implementation Account, Air Pollution Control Fund	198	240	240
	Totals, State Operations	\$6,652	\$9,017	\$8,672
	Local Assistance:			
0001	General Fund	\$-	\$20,140	\$-
	Totals, Local Assistance	\$-	\$20,140	\$-
	PROGRAM REQUIREMENTS			
0225	CALIFORNIA BUSINESS INVESTMENT SERVICES			
	State Operations:			
0001	General Fund	\$1,740	\$1,881	\$2,641
0995	Reimbursements	503	50	50
3237	Cost of Implementation Account, Air Pollution Control Fund	-	785	786
	Totals, State Operations	\$2,243	\$2,716	\$3,477
	PROGRAM REQUIREMENTS			
0230	OFFICE OF THE SMALL BUSINESS ADVOCATE			

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0509 Governor's Office of Business and Economic Development (GO-Biz) -

			<u>2018-19*</u>	<u>2019-20*</u>	<u>2020-21*</u>
	State Operations:				
0001	General Fund		\$23,268	\$21,468	\$21,468
	Totals, State Operations		\$23,268	\$21,468	\$21,468
	PROGRAM REQUIREMENTS				
0235	INFRASTRUCTURE, FINANCE AND ECONOMIC DEVELOPMENT				
	State Operations:				
0001	General Fund		\$3,608	\$3,532	\$3,732
0649	California Infrastructure and Economic Development Bank Fund		4,592	7,193	7,139
0918	California Small Business Expansion Fund		152	2,154	2,154
0995	Reimbursements		281	1,338	1,338
3083	Welcome Center Fund		61	111	111
3095	Film Promotion and Marketing Fund		7	10	10
	Totals, State Operations		\$8,701	\$14,338	\$14,484
	Local Assistance:				
0001	General Fund		\$-	\$50,000	\$75,000
0649	California Infrastructure and Economic Development Bank Fund		-	5,500	5,500
	Totals, Local Assistance		\$-	\$55,500	\$80,500
	SUBPROGRAM REQUIREMENTS				
0235010	California Film Commission				
	State Operations:				
0001	General Fund		\$2,342	\$2,472	\$2,672
3095	Film Promotion and Marketing Fund		7	10	10
	Totals, State Operations		\$2,349	\$2,482	\$2,682
	SUBPROGRAM REQUIREMENTS				
0235019	Tourism				
	State Operations:				
0001	General Fund		\$400	\$194	\$194
0995	Reimbursements		281	639	639
3083	Welcome Center Fund		-	1	1
	Totals, State Operations		\$681	\$834	\$834
	SUBPROGRAM REQUIREMENTS				
0235028	California Infrastructure and Economic Development Bank				
	State Operations:				
0649	California Infrastructure and Economic Development Bank Fund		4,592	7,193	7,139
0995	Reimbursements		-	212	212
	Totals, State Operations		\$4,592	\$7,405	\$7,351
	Local Assistance:				
0649	California Infrastructure and Economic Development Bank Fund		-	5,500	5,500
	Totals, Local Assistance		\$-	\$5,500	\$5,500
	SUBPROGRAM REQUIREMENTS				
0235037	Small Business Expansion				
	State Operations:				
0001	General Fund		\$866	\$866	\$866
0918	California Small Business Expansion Fund		152	2,154	2,154
0995	Reimbursements		-	487	487
	Totals, State Operations		\$1,018	\$3,507	\$3,507
	Local Assistance:				
0001	General Fund		\$-	\$50,000	\$75,000
	Totals, Local Assistance		\$-	\$50,000	\$75,000

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0509 Governor's Office of Business and Economic Development (GO-Biz) -

		<u>2018-19*</u>	<u>2019-20*</u>	<u>2020-21*</u>
SUBPROGRAM REQUIREMENTS				
0235046	Welcome Center Program			
State Operations:				
3083	Welcome Center Fund	\$61	\$110	\$110
	Totals, State Operations	\$61	\$110	\$110
PROGRAM REQUIREMENTS				
0240	COMMUNITY REINVESTMENT GRANTS PROGRAM			
State Operations:				
0995	Reimbursements	-	15,590	15,537
3314	California Cannabis Tax Fund	414	-	-
3348	Cannabis Tax Fund - Governor's Office of Business and Economic Development -Allocation 2	-	841	1,242
	Totals, State Operations	\$414	\$16,431	\$16,779
Local Assistance:				
3314	California Cannabis Tax Fund	\$-	\$9,600	\$-
3348	Cannabis Tax Fund - Governor's Office of Business and Economic Development -Allocation 2	-	19,159	28,758
	Totals, Local Assistance	\$-	\$28,759	\$28,758
TOTALS, EXPENDITURES				
State Operations		41,278	63,970	64,880
Local Assistance		-	104,399	109,258
	Totals, Expenditures	\$41,278	\$168,369	\$174,138

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES						
Baseline Positions	87.3	106.3	99.3	\$7,144	\$8,909	\$9,112
Budget Position Transparency	-	-6.0	1.0	-	-785	-798
Other Adjustments	-3.0	4.0	11.0	-55	719	1,121
Net Totals, Salaries and Wages	84.3	104.3	111.3	\$7,089	\$8,843	\$9,435
Staff Benefits	-	-	-	4,103	7,788	8,078
Totals, Personal Services	84.3	104.3	111.3	\$11,192	\$16,631	\$17,513
OPERATING EXPENSES AND EQUIPMENT						
SPECIAL ITEMS OF EXPENSES				\$29,152	\$29,491	\$29,519
				934	17,848	17,848
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$41,278	\$63,970	\$64,880

2 Local Assistance	Expenditures		
	2018-19*	2019-20*	2020-21*
Grants and Subventions - Governmental	-	98,899	103,758
Other Special Items of Expense	-	5,500	5,500
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$-	\$104,399	\$109,258

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

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0509 Governor's Office of Business and Economic Development (GO-Biz) -

1 STATE OPERATIONS		2018-19*	2019-20*	2020-21*
	0001 General Fund			
APPROPRIATIONS				
001 Budget Act appropriation		\$33,905	\$33,897	\$35,412
Allocation for Employee Compensation		-	248	-
Allocation for Other Post-Employment Benefits		-	71	-
Allocation for Staff Benefits		-	111	-
Budget Position Transparency		-	-675	-
Expenditure by Category Redistribution		-	675	-
Section 3.60 Pension Contribution Adjustment		-	121	-
011 Budget Act appropriation (transfer to Small Business Expansion Fund)		861	861	861
Totals Available		\$34,766	\$35,309	\$36,273
TOTALS, EXPENDITURES		\$34,766	\$35,309	\$36,273
	0649 California Infrastructure and Economic Development Bank Fund			
APPROPRIATIONS				
001 Budget Act appropriation		\$4,592	\$6,963	\$7,139
Allocation for Employee Compensation		-	107	-
Allocation for Other Post-Employment Benefits		-	31	-
Allocation for Staff Benefits		-	42	-
Budget Position Transparency		-	-110	-
Expenditure by Category Redistribution		-	110	-
Section 3.60 Pension Contribution Adjustment		-	50	-
Totals Available		\$4,592	\$7,193	\$7,139
TOTALS, EXPENDITURES		\$4,592	\$7,193	\$7,139
	0918 California Small Business Expansion Fund			
APPROPRIATIONS				
001 Budget Act appropriation		\$152	\$152	\$167
Allocation for Employee Compensation		-	6	-
Allocation for Other Post-Employment Benefits		-	3	-
Allocation for Staff Benefits		-	3	-
Section 3.60 Pension Contribution Adjustment		-	3	-
Corporations Code section 14030		-	1,848	1,848
Corporations Code section 14030(a) (default payments)		861	1,000	1,000
Totals Available		\$1,013	\$3,015	\$3,015
TOTALS, EXPENDITURES		\$1,013	\$3,015	\$3,015
Less funding provided by General Fund		-861	-861	-861
NET TOTALS, EXPENDITURES		\$152	\$2,154	\$2,154
	0995 Reimbursements			
APPROPRIATIONS				
Reimbursements		\$1,088	\$17,327	\$16,925
TOTALS, EXPENDITURES		\$1,088	\$17,327	\$16,925
	3083 Welcome Center Fund			
APPROPRIATIONS				
001 Budget Act appropriation		\$61	\$111	\$111
Totals Available		\$61	\$111	\$111
TOTALS, EXPENDITURES		\$61	\$111	\$111
	3095 Film Promotion and Marketing Fund			
APPROPRIATIONS				
001 Budget Act appropriation		\$7	\$10	\$10
Totals Available		\$7	\$10	\$10

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0509 Governor's Office of Business and Economic Development (GO-Biz) -

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
TOTALS, EXPENDITURES	\$7	\$10	\$10
3237 Cost of Implementation Account, Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$198	\$1,002	\$1,026
Allocation for Employee Compensation	-	17	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	2	-
Totals Available	\$198	\$1,025	\$1,026
TOTALS, EXPENDITURES	\$198	\$1,025	\$1,026
3314 California Cannabis Tax Fund			
APPROPRIATIONS			
Revenue and Taxation Code section 34019(d)	\$414	-	-
Allocation for Employee Compensation	-	18	-
Allocation for Other Post-Employment Benefits	-	6	-
Allocation for Staff Benefits	-	8	-
Section 3.60 Pension Contribution Adjustment	-	9	-
Technical Adjustment for Cannabis Employee Compensation and Benefits	-	-41	-
Totals Available	\$414	-	-
TOTALS, EXPENDITURES	\$414	-	-
3348 Cannabis Tax Fund - Governor's Office of Business and Economic Development -Allocation 2			
APPROPRIATIONS			
Revenue and Taxation Code section 34019(d)	-	\$800	\$1,242
Technical Adjustment for Cannabis Employee Compensation and Benefits	-	41	-
TOTALS, EXPENDITURES	-	\$841	\$1,242
Total Expenditures, All Funds, (State Operations)	\$41,278	\$63,970	\$64,880
2 LOCAL ASSISTANCE	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$20,140	-
111 Budget Act appropriation (transfer to California Infrastructure and Economic Development Bank Fund)	-	-	75,000
Executive Order E19/20-139: COVID-19 Control Section 36.00	-	50,000	-
TOTALS, EXPENDITURES	-	\$70,140	\$75,000
0649 California Infrastructure and Economic Development Bank Fund			
APPROPRIATIONS			
Government Code section 63050	-	\$5,500	\$5,500
Totals Available	-	\$5,500	\$5,500
TOTALS, EXPENDITURES	-	\$5,500	\$5,500
0918 California Small Business Expansion Fund			
APPROPRIATIONS			
Pending Legislation	-	-	\$75,000
Executive Order E19/20-139: COVID-19 Control Section 36.00	-	50,000	-
TOTALS, EXPENDITURES	-	\$50,000	\$75,000
Less funding provided by General Fund	-	-50,000	-75,000
NET TOTALS, EXPENDITURES	-	-	-
3314 California Cannabis Tax Fund			
APPROPRIATIONS			
Carryover adjustment pursuant to Revenue and Taxation Code section 34019(d)	-	\$9,600	-

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0509 Governor's Office of Business and Economic Development (GO-Biz) -

	2018-19*	2019-20*	2020-21*
TOTALS, EXPENDITURES			
3348 Cannabis Tax Fund - Governor's Office of Business and Economic Development -Allocation 2			
APPROPRIATIONS			
Revenue and Taxation Code section 34019(d)	-	\$19,200	\$28,758
Technical Adjustment for Cannabis Employee Compensation and Benefits	-	-41	-
TOTALS, EXPENDITURES			
Total Expenditures, All Funds, (Local Assistance)			
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$41,278	\$168,369	\$174,138

FUND CONDITION STATEMENTS

	2018-19*	2019-20*	2020-21*
3083 Welcome Center Fund^s			
BEGINNING BALANCE	\$107	\$110	\$82
Prior Year Adjustments	-6	-	-
Adjusted Beginning Balance	\$101	\$110	\$82
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172500 Miscellaneous Revenue	83	90	90
Total Revenues, Transfers, and Other Adjustments	\$83	\$90	\$90
Total Resources	\$184	\$200	\$172
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0509 Governor's Office of Business and Economic Development (GO-Biz) (State Operations)	61	111	111
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	13	7	-
Total Expenditures and Expenditure Adjustments	\$74	\$118	\$111
FUND BALANCE	\$110	\$82	\$61
Reserve for economic uncertainties	110	82	61
3095 Film Promotion and Marketing Fund^s			
BEGINNING BALANCE	\$34	\$27	\$27
Adjusted Beginning Balance	\$34	\$27	\$27
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4140000 Document Sales	2	10	10
Total Revenues, Transfers, and Other Adjustments	\$2	\$10	\$10
Total Resources	\$36	\$37	\$37
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0509 Governor's Office of Business and Economic Development (GO-Biz) (State Operations)	7	10	10
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	2	-	-
Total Expenditures and Expenditure Adjustments	\$9	\$10	\$10
FUND BALANCE	\$27	\$27	\$27
Reserve for economic uncertainties	27	27	27
3348 Cannabis Tax Fund - Governor's Office of Business and Economic Development -Allocation 2^s			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			

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0509 Governor's Office of Business and Economic Development (GO-Biz) -

	2018-19*	2019-20*	2020-21*
Transfers and Other Adjustments			
Revenue Transfer from the California Cannabis Tax Fund (3314) to Cannabis Tax Fund - Governor's Office of Business and Economic Development - Allocation 2 (3348) per Revenue Tax Code Section 34019(d)	-	20,000	30,000
Total Revenues, Transfers, and Other Adjustments	<u>-</u>	<u>\$20,000</u>	<u>\$30,000</u>
Total Resources	<u>-</u>	<u>\$20,000</u>	<u>\$30,000</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0509 Governor's Office of Business and Economic Development (GO-Biz) (State Operations)	-	841	1,242
0509 Governor's Office of Business and Economic Development (GO-Biz) (Local Assistance)	-	19,159	28,758
Total Expenditures and Expenditure Adjustments	<u>-</u>	<u>\$20,000</u>	<u>\$30,000</u>
FUND BALANCE	<u>-</u>	<u>-</u>	<u>-</u>

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	87.3	106.3	99.3	\$7,144	\$8,909	\$9,112
Budget Position Transparency	-	-6.0	1.0	-	-785	-798
Salary and Other Adjustments	-3.0	4.0	4.0	-55	719	682
Workload and Administrative Adjustments						
CalBIS Regional Specialists						
Various	-	-	4.0	-	-	407
California Film Commission Baseline Cost Increases						
Executive Director	-	-	-	-	-	32
Climate Catalyst Fund						
C.E.A. - B	-	-	1.0	-	-	-
Climate Catalyst fund						
Various	-	-	-1.0	-	-	-
Human Resources and Administration Staffing						
Staff Svcs Analyst (Gen)	-	-	1.0	-	-	45
Human Resources and Administrative Staffing						
Various	-	-	-1.0	-	-	-45
IBank Workload Increases						
C.E.A. - A	-	-	1.0	-	-	-
Accounting Officer (Spec)	-	-	1.0	-	-	-
Assoc Govtl Program Analyst	-	-	1.0	-	-	-
Legislative and Inter-governmental Affairs						
Various	-	-	-1.0	-	-	-68
Legislative and Inter-governmental Affairs Support						
Assoc Govtl Program Analyst	-	-	1.0	-	-	68
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	<u>-</u>	<u>-</u>	<u>7.0</u>	<u>\$-</u>	<u>\$-</u>	<u>\$439</u>
Totals, Adjustments	<u>-3.0</u>	<u>-2.0</u>	<u>12.0</u>	<u>\$-55</u>	<u>\$-66</u>	<u>\$323</u>
TOTALS, SALARIES AND WAGES	<u>84.3</u>	<u>104.3</u>	<u>111.3</u>	<u>\$7,089</u>	<u>\$8,843</u>	<u>\$9,435</u>

0511 Secretary for Government Operations Agency

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0511 Secretary for Government Operations Agency - Continued

The Government Operations Agency is responsible for coordinating state operations, including procurement, information technology, and human resources. The mission of the Government Operations Agency is to improve management and accountability of government programs, increase efficiency, and promote better and more coordinated operations decisions. The Government Operations Agency oversees the Office of Administrative Law, California Public Employees' Retirement System, California State Teachers' Retirement System, State Personnel Board, Victim Compensation Board, Department of General Services, Department of Technology, Department of Human Resources, Franchise Tax Board, and Department of Tax and Fee Administration. In addition, the Government Operations Agency administers the California Complete Count-Census 2020 Office and Office of Digital Innovation.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0250	Administration of Government Operations Agency	16.8	13.7	16.0	\$3,961	\$4,594	\$5,092
0255	State Planning and Policy Development	19.7	-	-	64,045	87,931	-
0256	Digital Innovation	-	40.5	41.2	-	26,625	15,053
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		36.5	54.2	57.2	\$68,006	\$119,150	\$20,145
FUNDING					2018-19*	2019-20*	2020-21*
0001	General Fund				\$65,348	\$112,729	\$12,024
0995	Reimbursements				2,657	6,421	8,121
3212	Timber Regulation and Forest Restoration Fund				1	-	-
TOTALS, EXPENDITURES, ALL FUNDS					\$68,006	\$119,150	\$20,145

LEGAL CITATIONS AND AUTHORITY

Government Code sections 12803.2 and 12815.

DETAILED BUDGET ADJUSTMENTS

		2019-20*			2020-21*			
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions	
Workload Budget Adjustments								
Workload Budget Change Proposals								
• Workload BCP	\$-	\$-	-	\$286	\$450	3.0		
• Funding Realignment BCP	-	-	-	252	-252	-		
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$538	\$198	3.0		
Other Workload Budget Adjustments								
• Expenditure by Category Redistribution	2,565	-	-	2,205	-	-		
• Other Post-Employment Benefit Adjustments	71	15	-	71	15	-		
• Salary Adjustments	256	52	-	256	52	-		
• Retirement Rate Adjustments	122	26	-	122	26	-		
• Benefit Adjustments	92	21	-	93	23	-		
• Budget Position Transparency	-2,565	-	-13.4	-2,205	-	-12.4		
Totals, Other Workload Budget Adjustments	\$541	\$114	-13.4	\$542	\$116	-12.4		
Totals, Workload Budget Adjustments	\$541	\$114	-13.4	\$1,080	\$314	-9.4		
Totals, Budget Adjustments	\$541	\$114	-13.4	\$1,080	\$314	-9.4		

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0511 Secretary for Government Operations Agency - Continued**DETAILED EXPENDITURES BY PROGRAM**

			<u>2018-19*</u>	<u>2019-20*</u>	<u>2020-21*</u>
PROGRAM REQUIREMENTS					
0250	ADMINISTRATION OF GOVERNMENT OPERATIONS AGENCY				
State Operations:					
0001	General Fund		\$1,303	\$1,673	\$1,971
0995	Reimbursements		2,657	2,921	3,121
3212	Timber Regulation and Forest Restoration Fund		1	-	-
Totals, State Operations			\$3,961	\$4,594	\$5,092
PROGRAM REQUIREMENTS					
0255	STATE PLANNING AND POLICY DEVELOPMENT				
State Operations:					
0001	General Fund		\$64,045	\$86,931	\$-
0995	Reimbursements		-	1,000	-
Totals, State Operations			\$64,045	\$87,931	\$-
PROGRAM REQUIREMENTS					
0256	DIGITAL INNOVATION				
State Operations:					
0001	General Fund		\$-	\$24,125	\$10,053
0995	Reimbursements		-	2,500	5,000
Totals, State Operations			\$-	\$26,625	\$15,053
TOTALS, EXPENDITURES					
State Operations			68,006	119,150	20,145
Totals, Expenditures			\$68,006	\$119,150	\$20,145

EXPENDITURES BY CATEGORY

	1 State Operations	Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES							
Baseline Positions		38.6	67.6	66.6	\$4,913	\$7,823	\$7,562
Budget Position Transparency		-	-13.4	-12.4	-	-2,565	-2,205
Other Adjustments		-2.1	-	3.0	-765	308	667
Net Totals, Salaries and Wages		36.5	54.2	57.2	\$4,148	\$5,566	\$6,024
Staff Benefits		-	-	-	1,913	3,814	3,978
Totals, Personal Services		36.5	54.2	57.2	\$6,061	\$9,380	\$10,002
OPERATING EXPENSES AND EQUIPMENT							
SPECIAL ITEMS OF EXPENSES					\$61,945	\$99,770	\$10,143
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)					\$68,006	\$119,150	\$20,145

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$65,348	\$112,188	\$12,024

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0511 Secretary for Government Operations Agency - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
Allocation for Employee Compensation	-	256	-
Allocation for Other Post-Employment Benefits	-	71	-
Allocation for Staff Benefits	-	92	-
Budget Position Transparency	-	-2,565	-
Expenditure by Category Redistribution	-	2,565	-
Section 3.60 Pension Contribution Adjustment	-	122	-
Totals Available	\$65,348	\$112,729	\$12,024
TOTALS, EXPENDITURES	\$65,348	\$112,729	\$12,024
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,657	\$6,421	\$8,121
TOTALS, EXPENDITURES	\$2,657	\$6,421	\$8,121
3212 Timber Regulation and Forest Restoration Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1	-	-
Totals Available	\$1	-	-
TOTALS, EXPENDITURES	\$1	-	-
Total Expenditures, All Funds, (State Operations)	\$68,006	\$119,150	\$20,145

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	38.6	67.6	66.6	\$4,913	\$7,823	\$7,562
Budget Position Transparency	-	-13.4	-12.4	-	-2,565	-2,205
Salary and Other Adjustments	-2.1	-	-	-765	308	308
Workload and Administrative Adjustments						
Workload BCP						
C.E.A. - A	-	-	1.0	-	-	124
C.E.A. - B	-	-	-1.0	-	-	-
Deputy Secty	-	-	1.0	-	-	-
Info Tech Mgr II	-	-	1.0	-	-	135
Legal Secty	-	-	1.0	-	-	56
Office Techn (Typing)	-	-	-1.0	-	-	-47
Staff Svcs Mgr I	-	-	1.0	-	-	91
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	3.0	\$-	\$-	\$359
Totals, Adjustments	-2.1	-13.4	-9.4	\$-765	\$-2,257	\$-1,538
TOTALS, SALARIES AND WAGES	36.5	54.2	57.2	\$4,148	\$5,566	\$6,024

0515 Secretary for Business, Consumer Services, and Housing Agency

The Business, Consumer Services, and Housing Agency (Agency) is responsible for overseeing departments, boards, commissions, and agencies that provide the following services to the people of California: protection of the public through the licensing and regulation of more than 100 businesses and 200 professional categories; regulation of financial services and state-licensed financial institutions; enforcement of the sale of alcoholic beverages; regulation of the horse racing industry; protection of civil rights; safe and affordable housing opportunities; and earthquake safety. The Agency is comprised of the following entities: the Department of Consumer Affairs, the Department of Real Estate, the Department of Housing and

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0515 Secretary for Business, Consumer Services, and Housing Agency -

Community Development, the Department of Fair Employment and Housing, the Department of Business Oversight, the Department of Alcoholic Beverage Control, the Alcoholic Beverage Control Appeals Board, the California Housing Finance Agency, the California Horse Racing Board, the Alfred E. Alquist Seismic Safety Commission, and the Cannabis Control Appeals Panel. In addition, the Agency is charged with administration of the Homeless Coordinating and Financing Council.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0260	Support	21.8	28.0	17.0	\$503,439	\$756,240	\$4,073
0265	Homeless Coordinating and Financing Council	-	-	24.0	-	-	303,361
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		21.8	28.0	41.0	\$503,439	\$756,240	\$307,434
FUNDING			2018-19*		2019-20*		2020-21*
0001	General Fund		\$499,869		\$752,077		\$303,568
0067	State Corporations Fund		221		248		-
0240	Local Agency Deposit Security Fund		1		1		1
0298	Financial Institutions Fund		109		123		-
0299	Credit Union Fund		30		32		32
0317	Real Estate Fund		239		265		265
0995	Reimbursements		2,665		3,160		2,863
3036	Alcohol Beverage Control Fund		266		292		292
3153	Horse Racing Fund		39		42		42
3363	Financial Protection Fund		-		-		371
TOTALS, EXPENDITURES, ALL FUNDS			\$503,439		\$756,240		\$307,434

LEGAL CITATIONS AND AUTHORITY

Government Code Sections 12800, 12804, 12804.5, 12855, and 12856; Welfare and Institutions Code Sections 8225-8257; and Health and Safety Code Sections 35805, 50150-50154, and 50210-50221.

MAJOR PROGRAM CHANGES

- Local Aid for Homelessness – The Budget includes \$300 million General Fund for a second round of funding for the Homeless Housing, Assistance, and Prevention Program. This funding will be utilized to continue to build regional collaboration between continuums of care, counties, and cities and develop a unified regional response to homelessness.
- Homeless Coordinating and Financing Council Resources – The Budget includes \$1.5 million General Fund to expand the Homeless Coordinating and Financing Council and effectively carry out statutory mandates and strategic coordination of the state's efforts to address homelessness.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Local Aid for Homelessness	\$-	\$-	-	\$300,000	\$-	-
• Homeless Coordinating and Financing Council Resources	-	-	-	1,521	-	10.0

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0515 Secretary for Business, Consumer Services, and Housing Agency -

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
	\$-	\$-	-	\$301,521	\$-	10.0
Totals, Workload Budget Change Proposals						
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	14	356	-	12	298	-
• Other Post-Employment Benefit Adjustments	9	18	-	9	18	-
• Executive Order E 19/20 - 128: COVID-19 Control Section 36.00	100,000	-	-	-	-	-
• Homeless Housing, Assistance and Prevention Program Position Authority	-	-	-	-	-	3.0
• Salary Adjustments	27	72	-	27	72	-
• Retirement Rate Adjustments	13	35	-	13	35	-
• Benefit Adjustments	11	29	-	11	32	-
• Miscellaneous Baseline Adjustments	-	300	-	-	-	-
• Budget Position Transparency	-14	-356	-1.3	-12	-298	-1.3
Totals, Other Workload Budget Adjustments	\$100,060	\$454	-1.3	\$60	\$157	1.7
Totals, Workload Budget Adjustments	\$100,060	\$454	-1.3	\$301,581	\$157	11.7
Totals, Budget Adjustments	\$100,060	\$454	-1.3	\$301,581	\$157	11.7

DETAILED EXPENDITURES BY PROGRAM

	PROGRAM REQUIREMENTS		2018-19*	2019-20*	2020-21*
0260 SUPPORT					
	State Operations:				
0001	General Fund		\$869	\$3,948	\$207
0067	State Corporations Fund		221	248	-
0240	Local Agency Deposit Security Fund		1	1	1
0298	Financial Institutions Fund		109	123	-
0299	Credit Union Fund		30	32	32
0317	Real Estate Fund		239	265	265
0995	Reimbursements		2,665	3,160	2,863
3036	Alcohol Beverage Control Fund		266	292	292
3153	Horse Racing Fund		39	42	42
3363	Financial Protection Fund		-	-	371
	Totals, State Operations		\$4,439	\$8,111	\$4,073
	Local Assistance:				
0001	General Fund		\$499,000	\$748,129	\$-
	Totals, Local Assistance		\$499,000	\$748,129	\$-
	PROGRAM REQUIREMENTS				
0265 HOMELESS COORDINATING AND FINANCING COUNCIL					
	State Operations:				
0001	General Fund		\$-	\$-	\$3,361
	Totals, State Operations		\$-	\$-	\$3,361
	Local Assistance:				
0001	General Fund		\$-	\$-	\$300,000
	Totals, Local Assistance		\$-	\$-	\$300,000
	TOTALS, EXPENDITURES				

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0515 Secretary for Business, Consumer Services, and Housing Agency -

	2018-19*	2019-20*	2020-21*
State Operations	4,439	8,111	7,434
Local Assistance	499,000	748,129	300,000
Totals, Expenditures	\$503,439	\$756,240	\$307,434

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES						
Baseline Positions	21.3	29.3	29.3	\$2,998	\$3,100	\$3,100
Budget Position Transparency	-	-1.3	-1.3	-	-370	-310
Other Adjustments	0.5	-	13.0	-536	276	882
Net Totals, Salaries and Wages	21.8	28.0	41.0	\$2,462	\$3,006	\$3,672
Staff Benefits	-	-	-	1,078	1,660	1,994
Totals, Personal Services	21.8	28.0	41.0	\$3,540	\$4,666	\$5,666
OPERATING EXPENSES AND EQUIPMENT				\$899	\$3,445	\$1,768
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$4,439	\$8,111	\$7,434

2 Local Assistance	Expenditures		
	2018-19*	2019-20*	2020-21*
Grants and Subventions - Governmental	\$499,000	\$748,129	\$300,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$499,000	\$748,129	\$300,000

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$869	\$2,017	\$3,568
Allocation for Employee Compensation	-	27	-
Allocation for Other Post-Employment Benefits	-	9	-
Allocation for Staff Benefits	-	11	-
Budget Position Transparency	-	-14	-
Expenditure by Category Redistribution	-	14	-
Provision 1 of Item 0515-101-0001, Budget Act of 2019	-	1,871	-
Section 3.60 Pension Contribution Adjustment	-	13	-
Totals Available	\$869	\$3,948	\$3,568
TOTALS, EXPENDITURES	\$869	\$3,948	\$3,568
0067 State Corporations Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$221	\$238	-
Allocation for Employee Compensation	-	5	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	2	-
Budget Position Transparency	-	-23	-
Expenditure by Category Redistribution	-	23	-

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0515 Secretary for Business, Consumer Services, and Housing Agency -

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
Section 3.60 Pension Contribution Adjustment	-	2	-
Totals Available	\$221	\$248	-
TOTALS, EXPENDITURES	\$221	\$248	-
0240 Local Agency Deposit Security Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1	\$1	\$1
TOTALS, EXPENDITURES	\$1	\$1	\$1
0298 Financial Institutions Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$109	\$118	-
Allocation for Employee Compensation	-	2	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	1	-
Budget Position Transparency	-	-11	-
Expenditure by Category Redistribution	-	11	-
Section 3.60 Pension Contribution Adjustment	-	1	-
Totals Available	\$109	\$123	-
TOTALS, EXPENDITURES	\$109	\$123	-
0299 Credit Union Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$30	\$31	\$32
Allocation for Employee Compensation	-	1	-
Budget Position Transparency	-	-3	-
Expenditure by Category Redistribution	-	3	-
Totals Available	\$30	\$32	\$32
TOTALS, EXPENDITURES	\$30	\$32	\$32
0317 Real Estate Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$239	\$254	\$265
Allocation for Employee Compensation	-	5	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	2	-
Budget Position Transparency	-	-24	-
Expenditure by Category Redistribution	-	24	-
Section 3.60 Pension Contribution Adjustment	-	3	-
Totals Available	\$239	\$265	\$265
TOTALS, EXPENDITURES	\$239	\$265	\$265
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,665	\$3,160	\$2,863
TOTALS, EXPENDITURES	\$2,665	\$3,160	\$2,863
3036 Alcohol Beverage Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$266	\$281	\$292
Allocation for Employee Compensation	-	5	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	2	-
Budget Position Transparency	-	-27	-
Expenditure by Category Redistribution	-	27	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0515 Secretary for Business, Consumer Services, and Housing Agency -

	2018-19*	2019-20*	2020-21*
1 STATE OPERATIONS			
Section 3.60 Pension Contribution Adjustment	-	3	-
Totals Available	\$266	\$292	\$292
TOTALS, EXPENDITURES	\$266	\$292	\$292
3153 Horse Racing Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$39	\$41	\$42
Allocation for Employee Compensation	-	1	-
Budget Position Transparency	-	-4	-
Expenditure by Category Redistribution	-	4	-
Totals Available	\$39	\$42	\$42
TOTALS, EXPENDITURES	\$39	\$42	\$42
3363 Financial Protection Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$371
TOTALS, EXPENDITURES	-	-	\$371
Total Expenditures, All Funds, (State Operations)	\$4,439	\$8,111	\$7,434
2 LOCAL ASSISTANCE	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$499,000	\$650,000	\$300,000
Executive Order E 19/20 - 128: COVID-19 Control Section 36.00	-	100,000	-
Provision 1 of Item 0515-101-0001, Budget Act of 2019	-	-1,871	-
TOTALS, EXPENDITURES	\$499,000	\$748,129	\$300,000
Total Expenditures, All Funds, (Local Assistance)	\$499,000	\$748,129	\$300,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$503,439	\$756,240	\$307,434

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	21.3	29.3	29.3	\$2,998	\$3,100	\$3,100
Budget Position Transparency	-	-1.3	-1.3	-	-370	-310
Salary and Other Adjustments	0.5	-	3.0	-536	276	99
Workload and Administrative Adjustments						
Homeless Coordinating and Financing Council Resources						
Assoc Govt Program Analyst	-	-	2.0	-	-	142
Exec Asst	-	-	1.0	-	-	53
Info Officer II	-	-	1.0	-	-	86
Staff Svcs Mgr I	-	-	5.0	-	-	412
Staff Svcs Mgr II (Supvry)	-	-	1.0	-	-	90
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	10.0	\$-	\$-	\$783
Totals, Adjustments	0.5	-1.3	11.7	\$-536	\$-94	\$572
TOTALS, SALARIES AND WAGES	21.8	28.0	41.0	\$2,462	\$3,006	\$3,672

0521 Secretary for Transportation Agency

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0521 Secretary for Transportation Agency - Continued

The California State Transportation Agency develops and coordinates the policies and programs of the state's transportation entities to achieve the state's mobility, safety and environmental sustainability objectives.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0270 Administration of Transportation Agency	21.8	26.8	26.8	\$24,703	\$23,573	\$5,778
0275 California Traffic Safety Program	29.5	32.0	32.0	127,120	154,129	121,168
0276 Transit and Intercity Rail Capital Program	1.2	1.2	1.2	193,843	751,998	271,315
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	52.5	60.0	60.0	\$345,666	\$929,700	\$398,261
FUNDING				2018-19*	2019-20*	2020-21*
0001 General Fund				\$20,500	\$10,800	\$-
0042 State Highway Account, State Transportation Fund				-	-	3,685
0044 Motor Vehicle Account, State Transportation Fund				2,423	3,349	1,229
0046 Public Transportation Account, State Transportation Fund				30,769	78,348	47,063
0890 Federal Trust Fund				126,691	153,766	120,803
0995 Reimbursements				2,209	2,787	-
3228 Greenhouse Gas Reduction Fund				163,074	680,650	225,481
TOTALS, EXPENDITURES, ALL FUNDS				\$345,666	\$929,700	\$398,261

LEGAL CITATIONS AND AUTHORITY

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Fund Allocation Adjustment	\$-	\$-	-	\$-	\$-	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$-	-
Other Workload Budget Adjustments						
• Intercity Rail Forecast Update	-	-	-	-	1,358	-
• Other Post-Employment Benefit Adjustments	-	58	-	-	58	-
• Greenhouse Gas Reduction Fund Continuous Appropriation for 2020-21	-	25,827	-	-	-8,000	-
• Miscellaneous Baseline Adjustments	-	43,512	-	-	83,454	-
• Salary Adjustments	-	195	-	-	195	-
• Benefit Adjustments	-	91	-	-	102	-
• Retirement Rate Adjustments	-	94	-	-	94	-
• SWCAP	-	-	-	-	83	-
• Carryover/Reappropriation	-	429,169	-	-	-	-
Totals, Other Workload Budget Adjustments	\$-	\$498,946	-	\$-	\$77,344	-
Totals, Workload Budget Adjustments	\$-	\$498,946	-	\$-	\$77,344	-
Totals, Budget Adjustments	\$-	\$498,946	-	\$-	\$77,344	-

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0521 Secretary for Transportation Agency - Continued**PROGRAM DESCRIPTIONS****0270 - ADMINISTRATION OF TRANSPORTATION AGENCY**

The Administration of the Transportation Agency, under direction of the Secretary, advises the Governor on major policy and program matters and provides oversight and support of the Agency's departments and programs.

0275 - CALIFORNIA TRAFFIC SAFETY PROGRAM

The California Traffic Safety Program develops the California Highway Safety Plan. The plan uses available state and federal resources to identify and address major traffic safety problems throughout the state.

0276 - TRANSIT AND INTERCITY RAIL CAPITAL PROGRAM

The Transit and Intercity Rail Capital Program provides grants to encourage low carbon transit operations through the Transit, Affordable Housing, and Sustainable Communities Program. The program goals include reducing greenhouse gas emissions, improving mobility access across the state, and providing benefits to California's disadvantaged communities.

DETAILED EXPENDITURES BY PROGRAM

		2018-19*	2019-20*	2020-21*
	PROGRAM REQUIREMENTS			
0270	ADMINISTRATION OF TRANSPORTATION AGENCY			
	State Operations:			
0042	State Highway Account, State Transportation Fund	\$-	\$-	\$3,344
0044	Motor Vehicle Account, State Transportation Fund	1,994	2,786	1,118
0046	Public Transportation Account, State Transportation Fund	-	3,000	1,116
0890	Federal Trust Fund	-	200	200
0995	Reimbursements	2,209	2,787	-
	Totals, State Operations	\$4,203	\$8,773	\$5,778
	Local Assistance:			
0001	General Fund	\$20,500	\$10,800	\$-
0046	Public Transportation Account, State Transportation Fund	-	4,000	-
	Totals, Local Assistance	\$20,500	\$14,800	\$-
	PROGRAM REQUIREMENTS			
0275	CALIFORNIA TRAFFIC SAFETY PROGRAM			
	State Operations:			
0042	State Highway Account, State Transportation Fund	\$-	\$-	\$341
0044	Motor Vehicle Account, State Transportation Fund	429	563	111
0046	Public Transportation Account, State Transportation Fund	-	-	113
0890	Federal Trust Fund	39,898	66,365	58,002
	Totals, State Operations	\$40,327	\$66,928	\$58,567
	Local Assistance:			
0890	Federal Trust Fund	\$86,793	\$87,201	\$62,601
	Totals, Local Assistance	\$86,793	\$87,201	\$62,601
	PROGRAM REQUIREMENTS			
0276	TRANSIT AND INTERCITY RAIL CAPITAL PROGRAM			
	State Operations:			
0046	Public Transportation Account, State Transportation Fund	\$5	\$7	\$6
3228	Greenhouse Gas Reduction Fund	45	73	73
	Totals, State Operations	\$50	\$80	\$79
	Local Assistance:			

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0521 Secretary for Transportation Agency - Continued

		2018-19*	2019-20*	2020-21*
0046	Public Transportation Account, State Transportation Fund	\$30,764	\$71,341	\$45,828
3228	Greenhouse Gas Reduction Fund	163,029	680,577	225,408
	Totals, Local Assistance	\$193,793	\$751,918	\$271,236
	TOTALS, EXPENDITURES			
	State Operations	44,580	75,781	64,424
	Local Assistance	301,086	853,919	333,837
	Totals, Expenditures	\$345,666	\$929,700	\$398,261

EXPENDITURES BY CATEGORY

	1 State Operations			Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*			
PERSONAL SERVICES									
Baseline Positions	60.0	60.0	60.0	\$5,398	\$5,401	\$5,401			
Other Adjustments	-7.5	-	-	-446	195	193			
Net Totals, Salaries and Wages	52.5	60.0	60.0	\$4,952	\$5,596	\$5,594			
Staff Benefits	-	-	-	2,434	3,106	3,116			
Totals, Personal Services	52.5	60.0	60.0	\$7,386	\$8,702	\$8,710			
OPERATING EXPENSES AND EQUIPMENT									
SPECIAL ITEMS OF EXPENSES				\$2,039	\$6,800	\$3,885			
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$44,580	\$75,781	\$64,424			
2 Local Assistance									
				Expenditures					
	2018-19*	2019-20*	2020-21*						
Consulting and Professional Services - Interdepartmental - Other		-\$542		-\$592		-\$592			
Grants and Subventions - Governmental		301,628		854,511		334,429			
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)		\$301,086		\$853,919		\$333,837			

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$3,685
TOTALS, EXPENDITURES	-	-	\$3,685
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,423	\$3,206	\$1,229
Allocation for Employee Compensation	-	63	-
Allocation for Other Post-Employment Benefits	-	18	-
Allocation for Staff Benefits	-	31	-
Section 3.60 Pension Contribution Adjustment	-	31	-
Totals Available	\$2,423	\$3,349	\$1,229
TOTALS, EXPENDITURES	\$2,423	\$3,349	\$1,229
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			

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0521 Secretary for Transportation Agency - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
001 Budget Act appropriation	\$5	\$3,006	\$1,235
Allocation for Employee Compensation	-	1	-
Totals Available	\$5	\$3,007	\$1,235
TOTALS, EXPENDITURES	\$5	\$3,007	\$1,235
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,743	\$6,113	\$6,373
Allocation for Employee Compensation	-	76	-
Allocation for Other Post-Employment Benefits	-	25	-
Allocation for Staff Benefits	-	36	-
Section 3.60 Pension Contribution Adjustment	-	36	-
002 Budget Act appropriation	31,677	38,114	51,829
Prior Year Balances Available:			
Item 0521-002-0890, Budget Act of 2017	3,478	-	-
Item 0521-002-0890, Budget Act of 2018	-	22,165	-
Totals Available	\$39,898	\$66,565	\$58,202
TOTALS, EXPENDITURES	\$39,898	\$66,565	\$58,202
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,209	\$2,787	-
TOTALS, EXPENDITURES	\$2,209	\$2,787	-
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$45	\$71	\$73
Allocation for Employee Compensation	-	1	-
Section 3.60 Pension Contribution Adjustment	-	1	-
Totals Available	\$45	\$73	\$73
TOTALS, EXPENDITURES	\$45	\$73	\$73
Total Expenditures, All Funds, (State Operations)	\$44,580	\$75,781	\$64,424
2 LOCAL ASSISTANCE	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$12,500	\$10,800	-
Chapter 46, Statutes of 2018	8,000	-	-
TOTALS, EXPENDITURES	\$20,500	\$10,800	-
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$9,000	-
Public Utilities Code section 99312.3	30,764	44,972	45,828
Intercity Rail Forecast Update	-	-2,679	-
Past Year Expenditure Adjustments	-	20,048	-
Prior Year Balances Available:			
Chapter 934, Statutes of 2018	-	4,000	-
Totals Available	\$30,764	\$75,341	\$45,828
TOTALS, EXPENDITURES	\$30,764	\$75,341	\$45,828
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$86,793	\$87,201	\$62,601

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0521 Secretary for Transportation Agency - Continued

2 LOCAL ASSISTANCE	2018-19*	2019-20*	2020-21*
TOTALS, EXPENDITURES	\$86,793	\$87,201	\$62,601
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
Health and Safety Code section 39719(b)(1)(A)	\$163,029	\$225,603	\$225,408
Greenhouse Gas Reduction Fund Carryover Adjustment	-	429,169	-
Greenhouse Gas Reduction Fund Continuous Appropriation for 2020-21	-	25,805	-
Totals Available	\$163,029	\$680,577	\$225,408
TOTALS, EXPENDITURES	\$163,029	\$680,577	\$225,408
Total Expenditures, All Funds, (Local Assistance)	\$301,086	\$853,919	\$333,837
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$345,666	\$929,700	\$398,261

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	60.0	60.0	60.0	\$5,398	\$5,401	\$5,401
Salary and Other Adjustments	-7.5	-	-	-446	195	193
Totals, Adjustments	-7.5	-	-	\$-446	\$195	\$193
TOTALS, SALARIES AND WAGES	52.5	60.0	60.0	\$4,952	\$5,596	\$5,594

0530 Secretary for California Health and Human Services Agency

The primary mission of the Health and Human Services Agency (HHSA) is to provide policy leadership and direction to the departments, board and programs it oversees, to reduce duplication and fragmentation among HHSA departments in policy development and implementation, to improve coordination among departments on common programs, to ensure programmatic integrity, and to advance the Governor's priorities on health and human services issues.

The HHSA accomplishes its mission through the administration and coordination of state and federal programs for public health, health care services, social services, public assistance, health planning and licensing, and rehabilitation. These programs touch the lives of millions of California's most needy and vulnerable residents. The HHSA is committed to striking a balance between maintaining access to essential health and human services for California's most disadvantaged and at-risk residents while pursuing ways to better manage and control costs.

The following departments and entities fall under the purview of the HHSA:

- Department of Aging
- Department of Child Support Services
- Department of Community Services and Development
- Department of Developmental Services
- Emergency Medical Services Authority
- Department of Health Care Services
- Department of Managed Health Care
- Department of Public Health
- Department of Rehabilitation
- Department of Social Services
- Department of State Hospitals
- Office of Statewide Health Planning and Development

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0530 Secretary for California Health and Human Services Agency - Continued**3-YEAR EXPENDITURES AND POSITIONS**

		Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0280	Secretary of California Health and Human Services	37.2	41.7	41.7	\$8,262	\$22,661	\$26,792
0285	California Office of Health Information Integrity (CALOHI)	2.8	9.2	9.2	1,539	1,833	1,826
0290	Office of Systems Integration	312.0	310.6	290.6	411,066	507,871	465,175
0295	Office of the Patient Advocate	4.6	10.2	10.2	1,788	2,184	2,185
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		356.6	371.7	351.7	\$422,655	\$534,549	\$495,978
FUNDING				2018-19*	2019-20*	2020-21*	
0001	General Fund			\$5,228	\$18,353	\$6,666	
0890	Federal Trust Fund			-	-	13,415	
0995	Reimbursements			3,668	4,051	4,051	
3209	Office of Patient Advocate Trust Fund			1,788	2,184	2,185	
9740	Central Service Cost Recovery Fund			1,419	2,658	5,056	
9745	California Health and Human Services Automation Fund			410,552	507,303	464,605	
TOTALS, EXPENDITURES, ALL FUNDS				\$422,655	\$534,549	\$495,978	

LEGAL CITATIONS AND AUTHORITY

0280-Secretary for Health and Human Services:

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

0285-The California Office of Health Information Integrity:

Health and Safety Code Division 110, Section 130300 et seq. Health and Safety Code Section 130200 et seq., 130250 et seq., 130275 et seq.

0290-Office of Systems Integration:

Government Code, Title 2, Division 3, Part 2.5, Section 12803.3.

0295-Office of the Patient Advocate:

Health and Safety Code Division 115, Section 136000 et seq.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Electronic Visit Verification for In-Home Supportive Services (Phase I)	\$-	\$-	-	\$-	\$20,684	-
• Preschool Development Grant	-	-	-	-	13,415	-
• Electronic Visit Verification Phase II	-	-	-	-	2,419	3.0
• Electronic Visit Verification Phase II Planning	-	-	-	-	1,970	-
• Partially Revert Funding for the Early Childhood Policy Council	-4,350	-	-	-	-	-
• State Verification Hub Position Change	-	-	-	-	-926	-1.0

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0530 Secretary for California Health and Human Services Agency - Continued

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Medi-Cal Eligibility Data System Modernization Reduction	-	-	-	-	-3,432	-19.0
Totals, Workload Budget Change Proposals	\$-4,350	\$-	-	\$-	\$34,130	-17.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	43	365	-	43	365	-
• Cradle-to-Career Data System Workgroup Transfer, per Education Code Section 10859(b)(2)(A)	100	-	-	-	-	-
• Executive Order E 19/20 – 155: Public Safety Power Shutoff State Allocation	4,500	-	-	-	-	-
• Expenditure by Category Redistribution	-727	1,783	-	-794	123	-
• Carryover/Reappropriation	-2,200	-	-	1,100	-	-
• Budget Position Transparency	727	-1,783	-12.7	794	-123	-12.7
• Salary Adjustments	195	1,164	-	179	1,140	-
• Benefit Adjustments	78	545	-	82	621	-
• Retirement Rate Adjustments	62	589	-	62	589	-
• Miscellaneous Baseline Adjustments	-	-	-	-4,598	2,398	-
Totals, Other Workload Budget Adjustments	\$2,778	\$2,663	-12.7	\$-3,132	\$5,113	-12.7
Totals, Workload Budget Adjustments	\$-1,572	\$2,663	-12.7	\$-3,132	\$39,243	-29.7
Totals, Budget Adjustments	\$-1,572	\$2,663	-12.7	\$-3,132	\$39,243	-29.7

PROGRAM DESCRIPTIONS**0280 - SECRETARY FOR HEALTH AND HUMAN SERVICES**

The Secretary for California Health and Human Services Agency provides the Governor with the highest level of advice on state health, human services and related budget policy issues. The Secretary also provides the highest level of leadership and oversight of the agency-wide efforts to promote the health and well-being of a growing and increasingly diverse California population.

0285 - THE CALIFORNIA OFFICE OF HEALTH INFORMATION INTEGRITY

The California Office of Health Information Integrity (CalOHI) is responsible for statewide leadership, coordination, policy formation, direction, and oversight responsibilities for federal Health Insurance Portability and Accountability Act (HIPAA) requirements. As the leading resource for providing HIPAA expertise to the State of California, CalOHI exercises full authority relative to state entities to establish policy, provide direction to state entities, monitor progress and report on implementation efforts based on state and federal laws.

0290 - OFFICE OF SYSTEMS INTEGRATION

The Office of Systems Integration's (OSI) mission is to procure, manage, and deliver complex technology systems that support the delivery of health and human services for automation projects for the Health and Human Services Agency, the Department of Social Services, the Department of Health Care Services, the Department of Public Health, and the Health Benefit Exchange, including:

- Appeals Case Management System
- California Healthcare Eligibility, Enrollment and Retention System (CalHEERS)
- Case Management, Information and Payrolling System II (CMIPS)
- Child Welfare Services/Case Management System (CWS/CMS)
- Child Welfare Digital Services (CWDS)
- Child Welfare Services - California Automated Response and Engagement System
- Electronic Benefit Transfer System (EBT)

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0530 Secretary for California Health and Human Services Agency - Continued

- Electronic Women, Infants and Children Management Information System Project (eWIC)
- Medi-Cal Eligibility Data System Modernization (MEDS Mod)
- Statewide Automated Welfare System (SAWS)
- Welfare Data Tracking Implementation Project (WDTIP)

0295 - OFFICE OF THE PATIENT ADVOCATE

The mission of the Office of the Patient Advocate is to improve the access to and quality of health care services by promoting transparency and accountability. The Office of the Patient Advocate is mandated to produce a number of public reports on health care service topics. Current reporting activities include:

- Online Annual Health Care Quality Report Cards on health plans and medical groups,
- A Baseline Review and annual report on the State Consumer Assistance Call Centers and health care complaint data,
- Online Timely Access Compliance report on California Department of Managed Health Care health care services plans and providers, and
- Model protocols for State Consumer Assistance Call Centers.

DETAILED EXPENDITURES BY PROGRAM

		2018-19*	2019-20*	2020-21*
PROGRAM REQUIREMENTS				
0280	SECRETARY OF CALIFORNIA HEALTH AND HUMAN SERVICES			
State Operations:				
0001	General Fund	\$4,392	\$17,496	\$5,812
0890	Federal Trust Fund	-	-	13,415
0995	Reimbursements	2,451	2,507	2,509
9740	Central Service Cost Recovery Fund	1,419	2,658	5,056
Totals, State Operations		\$8,262	\$22,661	\$26,792
PROGRAM REQUIREMENTS				
0285	CALIFORNIA OFFICE OF HEALTH INFORMATION INTEGRITY (CALOHI)			
State Operations:				
0001	General Fund	\$836	\$857	\$854
0995	Reimbursements	703	976	972
Totals, State Operations		\$1,539	\$1,833	\$1,826
PROGRAM REQUIREMENTS				
0290	OFFICE OF SYSTEMS INTEGRATION			
State Operations:				
0995	Reimbursements	514	568	570
9745	California Health and Human Services Automation Fund	410,552	507,303	464,605
Totals, State Operations		\$411,066	\$507,871	\$465,175
PROGRAM REQUIREMENTS				
0295	OFFICE OF THE PATIENT ADVOCATE			
State Operations:				
3209	Office of Patient Advocate Trust Fund	\$1,788	\$2,184	\$2,185
Totals, State Operations		\$1,788	\$2,184	\$2,185
TOTALS, EXPENDITURES				
State Operations		422,655	534,549	495,978
Totals, Expenditures		\$422,655	\$534,549	\$495,978

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0530 Secretary for California Health and Human Services Agency - Continued**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES						
Baseline Positions	363.4	384.4	381.4	\$34,533	\$36,684	\$35,359
Budget Position Transparency	-	-12.7	-12.7	-	-1,056	671
Other Adjustments	-6.8	-	-17.0	-2,796	1,359	-26
Net Totals, Salaries and Wages	356.6	371.7	351.7	\$31,737	\$36,987	\$36,004
Staff Benefits	-	-	-	16,643	23,601	22,073
Totals, Personal Services	356.6	371.7	351.7	\$48,380	\$60,588	\$58,077
OPERATING EXPENSES AND EQUIPMENT				\$374,275	\$468,961	\$437,901
SPECIAL ITEMS OF EXPENSES				-	5,000	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$422,655	\$534,549	\$495,978

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,392	\$23,489	\$6,912
Allocation for Employee Compensation	-	186	-
Allocation for Staff Benefits	-	72	-
Budget Position Transparency	-	727	-
Executive Order E 19/20 – 155: Public Safety Power Shutoff State Allocation	-	4,500	-
Expenditure by Category Redistribution	-	-727	-
Other Post-Employment Benefits Adjustments	-	41	-
Partially Revert Funding for the Early Childhood Policy Council	-	-4,350	-
Section 3.60 Pension Contribution Adjustment	-	58	-
017 Budget Act appropriation	836	836	854
Allocation for Employee Compensation	-	9	-
Allocation for Staff Benefits	-	6	-
Other Post-Employment Benefits Adjustments	-	2	-
Section 3.60 Pension Contribution Adjustment	-	4	-
Cradle-to-Career Data System Workgroup Transfer, per Education Code Section 10859(b)(2)(A)	-	100	-
Totals Available	\$5,228	\$24,953	\$7,766
Balance available in subsequent years	-	-6,600	-1,100
TOTALS, EXPENDITURES	\$5,228	\$18,353	\$6,666
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$13,415
TOTALS, EXPENDITURES	-	-	\$13,415
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$3,668	\$4,051	\$4,051
TOTALS, EXPENDITURES	\$3,668	\$4,051	\$4,051
3209 Office of Patient Advocate Trust Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0530 Secretary for California Health and Human Services Agency - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
001 Budget Act appropriation	\$1,788	\$2,146	\$2,185
Allocation for Employee Compensation	-	17	-
Allocation for Staff Benefits	-	7	-
Other Post-Employment Benefits Adjustments	-	5	-
Section 3.60 Pension Contribution Adjustment	-	9	-
Totals Available	\$1,788	\$2,184	\$2,185
TOTALS, EXPENDITURES	\$1,788	\$2,184	\$2,185
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,419	\$2,598	\$5,056
Allocation for Employee Compensation	-	19	-
Allocation for Staff Benefits	-	7	-
Other Post-Employment Benefits Adjustments	-	5	-
Section 3.60 Pension Contribution Adjustment	-	29	-
TOTALS, EXPENDITURES	\$1,419	\$2,658	\$5,056
9745 California Health and Human Services Automation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$410,552	\$504,841	\$464,605
Allocation for Employee Compensation	-	1,088	-
Allocation for Staff Benefits	-	515	-
Budget Position Transparency	-	-1,783	-
Expenditure by Category Redistribution	-	1,783	-
Other Post-Employment Benefits Adjustments	-	344	-
Section 3.60 Pension Contribution Adjustment	-	515	-
Totals Available	\$410,552	\$507,303	\$464,605
TOTALS, EXPENDITURES	\$410,552	\$507,303	\$464,605
Total Expenditures, All Funds, (State Operations)	\$422,655	\$534,549	\$495,978

FUND CONDITION STATEMENTS

	2018-19*	2019-20*	2020-21*
3209 Office of Patient Advocate Trust Fund^s			
BEGINNING BALANCE	\$2,012	\$2,462	\$2,442
Prior Year Adjustments	89	-	-
Adjusted Beginning Balance	\$2,101	\$2,462	\$2,442
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	65	53	55
Transfers and Other Adjustments			
Current and Budget Year Revenue Adjustments	-	-	35
Current and Budget Year Revenue Adjustments	-	-	4
Revenue Transfer from Insurance Fund (0217) to Office of Patient Advocate Trust Fund (3209) per Chapter 552, Statutes of 2011	154	145	143
Revenue Transfer from Managed Care Fund (0933) to Office of Patient Advocate Trust Fund (3209) per Chapter 552, Statutes of 2011	1,953	2,001	2,042
Total Revenues, Transfers, and Other Adjustments	\$2,172	\$2,199	\$2,279
Total Resources	\$4,273	\$4,661	\$4,721
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0530 Secretary for California Health and Human Services Agency (State Operations)	1,788	2,184	2,185

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0530 Secretary for California Health and Human Services Agency - Continued

	2018-19*	2019-20*	2020-21*
9892 Supplemental Pension Payments (State Operations)	13	21	21
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	10	14	11
Total Expenditures and Expenditure Adjustments	\$1,811	\$2,219	\$2,217
FUND BALANCE	\$2,462	\$2,442	\$2,504
Reserve for economic uncertainties	2,462	2,442	2,504

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	363.4	384.4	381.4	\$34,533	\$36,684	\$35,359
Budget Position Transparency	-	-12.7	-12.7	-	-1,056	671
Salary and Other Adjustments	-6.8	-	-	-2,796	1,359	1,319
Workload and Administrative Adjustments						
Electronic Visit Verification Phase II						
Temporary Help	-	-	3.0	-	-	257
Electronic Visit Verification Phase II Planning						
Temporary Help	-	-	-	-	-	276
Medi-Cal Eligibility Data System Modernization Reduction						
Temporary Help	-	-	-19.0	-	-	-1,782
Office of the Surgeon General Trauma-Informed Training Development and Public Awareness Campaign	-	-	-	-	-	-
State Verification Hub Position Change						
Temporary Help	-	-	-1.0	-	-	-96
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	-17.0	\$-	\$-	-\$1,345
Totals, Adjustments	-6.8	-12.7	-29.7	\$-2,796	\$303	\$645
TOTALS, SALARIES AND WAGES	356.6	371.7	351.7	\$31,737	\$36,987	\$36,004

0540 Secretary of the Natural Resources Agency

The mission of the Natural Resources Agency is to restore, protect and manage the state's natural, historical and cultural resources for current and future generations using creative approaches and solutions based on science, collaboration and respect for all involved communities. The secretary for Natural Resources, a member of the Governor's Cabinet, sets the policies and coordinates the environmental preservation and restoration activities of 26 various departments, boards, commissions and conservancies, and directly administers the Sea Grant Program, Ocean Protection Council, California Environmental Quality Act, Environmental Enhancement Mitigation Program, River Parkways, Urban Greening, and the California Cultural and Historical Endowment grant programs.

The Natural Resources Agency consists of the departments of Forestry and Fire Protection, Conservation, Fish and Wildlife, Parks and Recreation, and Water Resources; the California Conservation Corps; Exposition Park; California Science Center; California African American Museum; the State Lands Commission; the Colorado River Board; the San Francisco Bay Conservation and Development Commission; the Energy Resources Conservation and Development Commission; the Wildlife Conservation Board; the Delta Protection Commission; the California Coastal Commission; the State Coastal Conservancy; the California Tahoe Conservancy; the Santa Monica Mountains Conservancy; the Coachella Valley Mountains Conservancy; the San Joaquin River Conservancy; the San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy; the Baldwin Hills Conservancy; the San Diego River Conservancy; the Sierra Nevada Conservancy; the Sacramento-San Joaquin Delta Conservancy; the Native American Heritage Commission; and the Special Resources Program.

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0540 Secretary of the Natural Resources Agency - Continued**3-YEAR EXPENDITURES AND POSITIONS [†]**

		Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0320	Administration of Natural Resources Agency	56.9	55.1	59.1	\$354,420	\$382,334	\$64,225
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		56.9	55.1	59.1	\$354,420	\$382,334	\$64,225
FUNDING					2018-19*	2019-20*	2020-21*
0001	General Fund				\$41,589	\$121,577	\$9,140
0140	California Environmental License Plate Fund				21,291	6,784	6,993
0183	Environmental Enhancement and Mitigation Program Fund				11,869	7,065	7,065
0200	Fish and Game Preservation Fund				148	62	62
0263	Off-Highway Vehicle Trust Fund				31	12	12
0392	State Parks and Recreation Fund				104	42	42
0516	Harbors and Watercraft Revolving Fund				12	5	5
0890	Federal Trust Fund				-	1	1
0995	Reimbursements				1,614	9,476	11,264
1018	Lake Tahoe Science and Lake Improvement Account, General Fund				150	755	255
3046	Oil, Gas, and Geothermal Administrative Fund				148	62	62
3117	Alternative and Renewable Fuel and Vehicle Technology Fund				327	137	137
3212	Timber Regulation and Forest Restoration Fund				1,793	1,523	1,523
3228	Greenhouse Gas Reduction Fund				69,641	30,000	-
3237	Cost of Implementation Account, Air Pollution Control Fund				292	312	312
6015	River Protection Subaccount				2,207	-	-
6029	California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund				3,387	-	-
6031	Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002				2,002	294	294
6051	Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006				4,388	3,318	15,332
6076	California Ocean Protection Trust Fund				6,039	6,039	7,051
6083	Water Quality, Supply, and Infrastructure Improvement Fund of 2014				40,334	1,396	1,786
6088	California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access For All Fund				146,865	193,277	1,691
8058	California Cultural and Historical Endowment Fund				189	197	1,198
TOTALS, EXPENDITURES, ALL FUNDS					\$354,420	\$382,334	\$64,225

[†] Fiscal year 2018-19 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2018-19 ending fund balance will be reflected as a prior year adjustment in the 2021-22 Governor's Budget publication.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 12800, 12801, 12802.5, 12805, 12807-12810, 12850-12850.7, 12851-12855. Public Resources Code Sections 32300, 36000, 85000. Education Code Sections 20053, 20080, 20092.

MAJOR PROGRAM CHANGES

- Natural Resources Agency New Facility Relocation—The Budget includes \$4.8 million one-time General Fund to conduct

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0540 Secretary of the Natural Resources Agency - Continued

activities and acquisitions associated with California Natural Resources Agency's move to its new facility, which includes: 1) relocation of CNRA Tier III Data Center to a new location; 2) technical infrastructure components for the network and voice capabilities; and 3) physical decommission and move of office furniture and equipment for the vacated buildings. The move into the new Natural Resources building will be put on hold until the completion of the state's evaluation of telework opportunities and restacking opportunities in state-owned buildings.

- Museum Grant Program—The Budget includes \$1 million California Cultural and Historical Endowment Fund for competitive grants to museums to support small capital projects and programming.
- Environmental Justice and Tribal Affairs—The Budget includes \$360,000 ongoing Environmental License Plate Fund for 2 positions to support and expand the Agency's effort to institutionalize environmental justice and tribal consultation practices into its program planning, development, and implementation decisions.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Natural Resources Agency New Facility Relocation	\$-	\$-	-	\$4,823	\$-	-
• Biological Opinions Litigation	-	-	-	515	-	-
• Proposition 84: Continuation of San Joaquin River Restoration Project	-	-	-	-	12,013	-
• Ocean Protection Council: Once-Through Cooling Interim Mitigation	-	-	-	-	1,012	-
• Museum Grant Program	-	-	-	-	1,000	-
• Environmental Justice and Tribal Affairs	-	-	-	-	360	2.0
• Salton Sea Management Plan Operations	-	-	-	-	-	2.0
• Proposition 68: Technical Adjustment	-	-	-	-	-109	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$5,338	\$14,276	4.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	5	67	-	5	67	-
• Adult Use of Marijuana Act: Youth Community Access	-	359	-	-	8,307	-
• Expenditure by Category Redistribution	-	1,473	-	-	1,268	-
• 9840 Unanticipated Cost: Federal Biological Opinions	264	-	-	-	-	-
• Transfer from Item 0540-101-0001 to Item 3540-101-0001, Schedule (1)(l), per Provision 9	-5,000	-	-	-	-	-
• Transfer from Item 0540-101-0001 to Item 3825-601-0001, Schedule (1)(j), per Provision 9	-3,000	-	-	-	-	-
• Transfer from Item 0540-101-0001 to Item 3825-601-0001, Schedule (1)(t), per Provision 9	-3,000	-	-	-	-	-
• Salary Adjustments	16	238	-	16	238	-
• Retirement Rate Adjustments	7	106	-	7	106	-
• Benefit Adjustments	6	89	-	7	102	-
• Legislation with an Appropriation	750	-	-	-	-	-
• Miscellaneous Baseline Adjustments	15,277	-	-	-	-	-
• Budget Position Transparency	-	-1,473	-2.8	-	-1,268	-2.8
Totals, Other Workload Budget Adjustments	\$5,325	\$859	-2.8	\$35	\$8,820	-2.8
Totals, Workload Budget Adjustments	\$5,325	\$859	-2.8	\$5,373	\$23,096	1.2
Totals, Budget Adjustments	\$5,325	\$859	-2.8	\$5,373	\$23,096	1.2

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0540 Secretary of the Natural Resources Agency - Continued**PROGRAM DESCRIPTIONS**

0320 - Administration of Natural Resources Agency

The Natural Resources Agency sets the policies and coordinates the environmental preservation and restoration activities of 26 various departments, boards, commissions, and conservancies; and directly administers the Sea Grant Program, Ocean Protection Council, California Environmental Quality Act, Environmental Enhancement Mitigation Program, River Parkways, Urban Greening, and the California Cultural and Historical Endowment grant programs.

DETAILED EXPENDITURES BY PROGRAM [†]

		2018-19*	2019-20*	2020-21*
PROGRAM REQUIREMENTS				
0320 ADMINISTRATION OF NATURAL RESOURCES AGENCY				
State Operations:				
0001 General Fund		\$1,168	\$4,400	\$9,140
0140 California Environmental License Plate Fund		6,291	6,784	6,993
0183 Environmental Enhancement and Mitigation Program Fund		349	365	365
0200 Fish and Game Preservation Fund		148	62	62
0263 Off-Highway Vehicle Trust Fund		31	12	12
0392 State Parks and Recreation Fund		104	42	42
0516 Harbors and Watercraft Revolving Fund		12	5	5
0890 Federal Trust Fund		-	1	1
0995 Reimbursements		1,614	3,753	2,957
1018 Lake Tahoe Science and Lake Improvement Account, General Fund		150	755	255
3046 Oil, Gas, and Geothermal Administrative Fund		148	62	62
3117 Alternative and Renewable Fuel and Vehicle Technology Fund		327	137	137
3212 Timber Regulation and Forest Restoration Fund		1,793	1,523	1,523
3228 Greenhouse Gas Reduction Fund		150	-	-
3237 Cost of Implementation Account, Air Pollution Control Fund		292	312	312
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002		283	294	294
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006		3,494	3,318	3,319
6076 California Ocean Protection Trust Fund		6,039	6,039	7,051
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014		29,254	1,396	1,786
6088 California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access For All Fund		31,365	1,787	1,691
8058 California Cultural and Historical Endowment Fund		189	197	198
Totals, State Operations		\$83,201	\$31,244	\$36,205
Local Assistance:				
0001 General Fund		\$40,421	\$117,177	\$-
0140 California Environmental License Plate Fund		15,000	-	-
0183 Environmental Enhancement and Mitigation Program Fund		11,520	6,700	6,700
0995 Reimbursements		-	5,723	8,307
3228 Greenhouse Gas Reduction Fund		69,491	30,000	-
6015 River Protection Subaccount		2,207	-	-
6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund		3,387	-	-
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002		1,719	-	-
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006		894	-	12,013
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014		11,080	-	-

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0540 Secretary of the Natural Resources Agency - Continued

			2018-19*	2019-20*	2020-21*
6088	California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access For All Fund		115,500	191,490	-
8058	California Cultural and Historical Endowment Fund		-	-	1,000
	Totals, Local Assistance		\$271,219	\$351,090	\$28,020
	TOTALS, EXPENDITURES				
	State Operations		83,201	31,244	36,205
	Local Assistance		271,219	351,090	28,020
	Totals, Expenditures		\$354,420	\$382,334	\$64,225

† Fiscal year 2018-19 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2018-19 ending fund balance will be reflected as a prior year adjustment in the 2021-22 Governor's Budget publication.

EXPENDITURES BY CATEGORY †

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES						
Baseline Positions	56.9	57.9	57.9	\$6,348	\$6,426	\$6,340
Budget Position Transparency	-	-2.8	-2.8	-	-1,473	-1,268
Other Adjustments	-	-	4.0	-276	254	494
Net Totals, Salaries and Wages	56.9	55.1	59.1	\$6,072	\$5,207	\$5,566
Staff Benefits	-	-	-	2,648	3,119	3,242
Totals, Personal Services	56.9	55.1	59.1	\$8,720	\$8,326	\$8,808
OPERATING EXPENSES AND EQUIPMENT				\$43,871	\$20,441	\$24,920
SPECIAL ITEMS OF EXPENSES				30,610	2,477	2,477
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$83,201	\$31,244	\$36,205

2 Local Assistance	Expenditures		
	2018-19*	2019-20*	2020-21*
Grants and Subventions - Governmental	\$271,219	\$351,090	\$28,020
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$271,219	\$351,090	\$28,020

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DETAIL OF APPROPRIATIONS AND ADJUSTMENTS †

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,168	\$4,102	\$9,140
9840 Unanticipated Cost: Federal Biological Opinions	-	264	-

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0540 Secretary of the Natural Resources Agency - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
Allocation for Employee Compensation	-	16	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	6	-
Section 3.60 Pension Contribution Adjustment	-	7	-
Totals Available	\$1,168	\$4,400	\$9,140
TOTALS, EXPENDITURES	\$1,168	\$4,400	\$9,140
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
002 Budget Act appropriation (transfer to Environmental Enhancement and Mitigation Program Fund)	(\$7,000)	(\$7,000)	(\$7,000)
TOTALS, EXPENDITURES	-	-	-
0140 California Environmental License Plate Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$6,291	\$6,510	\$6,993
Allocation for Employee Compensation	-	139	-
Allocation for Other Post-Employment Benefits	-	40	-
Allocation for Staff Benefits	-	58	-
Budget Position Transparency	-	-1,473	-
Expenditure by Category Redistribution	-	1,473	-
Section 3.60 Pension Contribution Adjustment	-	37	-
TOTALS, EXPENDITURES	\$6,291	\$6,784	\$6,993
0183 Environmental Enhancement and Mitigation Program Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$349	\$349	\$365
Allocation for Employee Compensation	-	8	-
Allocation for Other Post-Employment Benefits	-	2	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	3	-
011 Budget Act appropriation (loan to the General Fund)	-	-	(17,000)
TOTALS, EXPENDITURES	\$349	\$365	\$365
0200 Fish and Game Preservation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$148	\$59	\$62
Allocation for Employee Compensation	-	2	-
Section 3.60 Pension Contribution Adjustment	-	1	-
TOTALS, EXPENDITURES	\$148	\$62	\$62
0263 Off-Highway Vehicle Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$31	\$12	\$12
TOTALS, EXPENDITURES	\$31	\$12	\$12
0392 State Parks and Recreation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$104	\$41	\$42
Allocation for Employee Compensation	-	1	-
TOTALS, EXPENDITURES	\$104	\$42	\$42
0516 Harbors and Watercraft Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$12	\$5	\$5
TOTALS, EXPENDITURES	\$12	\$5	\$5
0890 Federal Trust Fund			

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0540 Secretary of the Natural Resources Agency - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
APPROPRIATIONS			
001 Budget Act appropriation	-	\$1	\$1
TOTALS, EXPENDITURES	-	\$1	\$1
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$1,614	\$3,753	\$2,957
TOTALS, EXPENDITURES	\$1,614	\$3,753	\$2,957
1018 Lake Tahoe Science and Lake Improvement Account, General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$150	\$749	\$255
Allocation for Employee Compensation	-	3	-
Allocation for Other Post-Employment Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	2	-
TOTALS, EXPENDITURES	\$150	\$755	\$255
3046 Oil, Gas, and Geothermal Administrative Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$148	\$59	\$62
Allocation for Employee Compensation	-	2	-
Section 3.60 Pension Contribution Adjustment	-	1	-
TOTALS, EXPENDITURES	\$148	\$62	\$62
3117 Alternative and Renewable Fuel and Vehicle Technology Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$327	\$131	\$137
Allocation for Employee Compensation	-	3	-
Allocation for Other Post-Employment Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	2	-
TOTALS, EXPENDITURES	\$327	\$137	\$137
3212 Timber Regulation and Forest Restoration Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,793	\$1,494	\$1,523
Allocation for Employee Compensation	-	13	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	7	-
TOTALS, EXPENDITURES	\$1,793	\$1,523	\$1,523
3228 Greenhouse Gas Reduction Fund			
Prior Year Balances Available:			
Public Resources Code section 75200.3 and Health and Safety Code section 39719(b)(1)(C)	150	-	-
TOTALS, EXPENDITURES	\$150	-	-
3237 Cost of Implementation Account, Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$292	\$292	\$312
Allocation for Employee Compensation	-	10	-
Allocation for Other Post-Employment Benefits	-	3	-
Allocation for Staff Benefits	-	4	-
Section 3.60 Pension Contribution Adjustment	-	3	-
TOTALS, EXPENDITURES	\$292	\$312	\$312
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002			
APPROPRIATIONS			
001 Budget Act appropriation	\$283	\$283	\$294

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0540 Secretary of the Natural Resources Agency - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
Allocation for Employee Compensation	-	4	-
Allocation for Other Post-Employment Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	6	-
TOTALS, EXPENDITURES	\$283	\$294	\$294
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,332	\$3,282	\$3,319
Allocation for Employee Compensation	-	14	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	13	-
Prior Year Balances Available:			
State operations expenditure from local assistance appropriation	1,162	-	-
TOTALS, EXPENDITURES	\$3,494	\$3,318	\$3,319
6076 California Ocean Protection Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$6,039	\$6,039	\$7,051
TOTALS, EXPENDITURES	\$6,039	\$6,039	\$7,051
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,333	\$1,349	\$1,786
Allocation for Employee Compensation	-	22	-
Allocation for Other Post-Employment Benefits	-	6	-
Allocation for Staff Benefits	-	8	-
Section 3.60 Pension Contribution Adjustment	-	11	-
Prior Year Balances Available:			
Item 0540-001-6083, Budget Act of 2016 as reappropriated by Item 0540-491, Budget Act of 2019	27,921	-	-
TOTALS, EXPENDITURES	\$29,254	\$1,396	\$1,786
6088 California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access For All Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$1,747	\$1,691
001 Budget Act appropriation as reverted by Item 0540-495, Budget Act of 2019	31,365	-	-
Allocation for Employee Compensation	-	13	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	18	-
Totals Available	\$31,365	\$1,787	\$1,691
TOTALS, EXPENDITURES	\$31,365	\$1,787	\$1,691
8058 California Cultural and Historical Endowment Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$189	\$189	\$198
Allocation for Employee Compensation	-	4	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	2	-
TOTALS, EXPENDITURES	\$189	\$197	\$198
Total Expenditures, All Funds, (State Operations)	\$83,201	\$31,244	\$36,205

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0540 Secretary of the Natural Resources Agency - Continued

2 LOCAL ASSISTANCE		2018-19*	2019-20*	2020-21*
	0001 General Fund			
APPROPRIATIONS				
101 Budget Act appropriation		\$36,945	\$112,150	-
Chapter 363, Statutes of 2019 (SB 109)		-	750	-
Transfer from Item 0540-101-0001 to Item 3540-101-0001, Schedule (1)(l), per Provision 9		-	-5,000	-
Transfer from Item 0540-101-0001 to Item 3825-601-0001, Schedule (1)(j), per Provision 9		-	-3,000	-
Transfer from Item 0540-101-0001 to Item 3825-601-0001, Schedule (1)(t), per Provision 9		-	-3,000	-
Prior Year Balances Available:				
Item 0540-101-0001, Budget Act of 2017		3,476	10,447	-
Item 0540-101-0001, Budget Act of 2018		-	4,830	-
Totals Available		\$40,421	\$117,177	-
TOTALS, EXPENDITURES		\$40,421	\$117,177	-
	0140 California Environmental License Plate Fund			
APPROPRIATIONS				
101 Budget Act appropriation		\$15,000	-	-
TOTALS, EXPENDITURES		\$15,000	-	-
	0183 Environmental Enhancement and Mitigation Program Fund			
APPROPRIATIONS				
101 Budget Act appropriation		\$6,700	\$6,700	\$6,700
Prior Year Balances Available:				
Item 0540-101-0183, Budget Act of 2017		4,820	-	-
TOTALS, EXPENDITURES		\$11,520	\$6,700	\$6,700
	0995 Reimbursements			
APPROPRIATIONS				
Reimbursements		-	\$5,723	\$8,307
TOTALS, EXPENDITURES		-	\$5,723	\$8,307
	3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS				
101 Budget Act appropriation		\$40,000	\$30,000	-
Prior Year Balances Available:				
Item 0540-101-3228, Budget Act of 2016 as added by Chapter 370, Statutes of 2016 as reappropriated by Item 0540-491, Budget Act of 2018		3,491	-	-
Item 0540-101-3228, Budget Act of 2017 as added by Chapter 249, Statutes of 2017		26,000	-	-
TOTALS, EXPENDITURES		\$69,491	\$30,000	-
	6015 River Protection Subaccount			
Prior Year Balances Available:				
Item 0540-101-6015, Budget Act of 2017		2,207	-	-
TOTALS, EXPENDITURES		\$2,207	-	-
	6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund			
APPROPRIATIONS				
101 Budget Act appropriation		\$500	-	-
Prior Year Balances Available:				
Item 0540-101-6029, Budget Act of 2002 as reappropriated by Item 0540-492, Budget Act of 2004, Item 0540-490, Budget Acts of 2007, 2011, 2016, and 2019, and as reverted by Item 0540-495, Budget Act of 2006		476	-	-
Item 0540-101-6029, Budget Act of 2014 as reappropriated by Item 0540-490, Budget Act of 2017		1,621	-	-
Item 0540-101-6029, Budget Act of 2016		790	-	-
TOTALS, EXPENDITURES		\$3,387	-	-
	6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002			
Prior Year Balances Available:				

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0540 Secretary of the Natural Resources Agency - Continued

2 LOCAL ASSISTANCE	2018-19*	2019-20*	2020-21*
Item 0540-101-6031, Budget Act of 2006 as reappropriated by Item 0540-490, Budget Acts of 2011, 2014, and 2017	1,481	-	-
Item 0540-101-6031, Budget Act of 2016 as reappropriated by Item 0540-490, Budget Act of 2019	238	-	-
TOTALS, EXPENDITURES	\$1,719	-	-
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$12,013
Prior Year Balances Available:			
Item 0540-101-6051, Budget Act of 2008 as reappropriated by Item 0540-490, Budget Acts of 2011, 2014, and 2017	175	-	-
Item 0540-101-6051, Budget Act of 2010 as reappropriated by Item 0540-490, Budget Acts of 2013, 2014 and 2017	246	-	-
Item 0540-101-6051, Budget Act of 2011 as reappropriated by Item 0540-490, Budget Acts of 2014 and 2017	136	-	-
Item 0540-101-6051, Budget Act of 2016 as reappropriated by Item 0540-490, Budget Act of 2019	337	-	-
TOTALS, EXPENDITURES	\$894	-	\$12,013
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014			
Prior Year Balances Available:			
Item 0540-101-6083, Budget Act of 2016	1,587	-	-
Item 0540-101-6083, Budget Act of 2017	9,493	-	-
TOTALS, EXPENDITURES	\$11,080	-	-
6088 California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access For All Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$115,500	\$191,490	-
TOTALS, EXPENDITURES	\$115,500	\$191,490	-
8058 California Cultural and Historical Endowment Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$1,000
TOTALS, EXPENDITURES	-	-	\$1,000
Total Expenditures, All Funds, (Local Assistance)	\$271,219	\$351,090	\$28,020
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$354,420	\$382,334	\$64,225

† Fiscal year 2018-19 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2018-19 ending fund balance will be reflected as a prior year adjustment in the 2021-22 Governor's Budget publication.

FUND CONDITION STATEMENTS †

	2018-19*	2019-20*	2020-21*
0183 Environmental Enhancement and Mitigation Program Fund^s			
BEGINNING BALANCE	\$33,352	\$30,287	\$30,895
Adjusted Beginning Balance	\$33,352	\$30,287	\$30,895
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	1,819	693	693
Transfers and Other Adjustments			

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0540 Secretary of the Natural Resources Agency - Continued

	2018-19*	2019-20*	2020-21*
Loan from Environmental Enhancement and Mitigation Program Fund (0183) to General Fund (0001) per Item 0540-011-0183, Budget Act of 2020	-	-	-17,000
Revenue Transfer from State Highway Account, State Transportation Fund (0042) to Environmental Enhancement and Mitigation Program Fund (0183) per Budget Act Item 2660-022-0042, Budget Act of 2014	7,000	7,000	7,000
Total Revenues, Transfers, and Other Adjustments	\$8,819	\$7,693	-\$9,307
Total Resources	\$42,171	\$37,980	\$21,588
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0540 Secretary of the Natural Resources Agency (State Operations)	349	365	365
0540 Secretary of the Natural Resources Agency (Local Assistance)	11,520	6,700	6,700
9892 Supplemental Pension Payments (State Operations)	4	8	8
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	11	12	2
Total Expenditures and Expenditure Adjustments	\$11,884	\$7,085	\$7,075
FUND BALANCE	\$30,287	\$30,895	\$14,513
Reserve for economic uncertainties	30,287	30,895	14,513
3212 Timber Regulation and Forest Restoration Fund^s			
BEGINNING BALANCE	\$27,612	\$23,655	\$15,622
Prior Year Adjustments	13,826	-	-
Adjusted Beginning Balance	\$41,438	\$23,655	\$15,622
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4171000 Cost Recoveries - Delinquent Receivables	-118	-	-
4172400 Forest Product Sales	37,001	46,000	46,000
Total Revenues, Transfers, and Other Adjustments	\$36,883	\$46,000	\$46,000
Total Resources	\$78,321	\$69,655	\$61,622
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0511 Secretary for Government Operations Agency (State Operations)	1	-	-
0540 Secretary of the Natural Resources Agency (State Operations)	1,793	1,523	1,523
3480 Department of Conservation (State Operations)	4,428	4,630	4,633
3540 Department of Forestry and Fire Protection (State Operations)	26,719	26,068	26,290
3540 Department of Forestry and Fire Protection (Local Assistance)	1,945	-	-
3600 Department of Fish and Wildlife (State Operations)	7,837	10,331	10,343
3600 Department of Fish and Wildlife (Local Assistance)	2,000	1,000	-
3855 Sierra Nevada Conservancy (Local Assistance)	310	690	-
3940 State Water Resources Control Board (State Operations)	4,432	4,633	4,665
3940 State Water Resources Control Board (Local Assistance)	2,000	1,000	-
7600 California Department of Tax and Fee Administration (State Operations)	570	1,296	1,301
8880 Financial Information System for California (State Operations)	5	-2	-
9892 Supplemental Pension Payments (State Operations)	442	899	898
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	2,184	1,965	2,583
Total Expenditures and Expenditure Adjustments	\$54,666	\$54,033	\$52,236
FUND BALANCE	\$23,655	\$15,622	\$9,386
Reserve for economic uncertainties	23,655	15,622	9,386
3312 Natural Resources and Parks Preservation Fund^s			
BEGINNING BALANCE	-	\$100,000	\$95,310
Adjusted Beginning Balance	-	\$100,000	\$95,310
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments			
Revenue Transfer from the Natural Resources and Parks Preservation Fund (3312) to the General Fund (0001) per Item 3790-311-3312, Budget Act of 2020	-	-	-95,310

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0540 Secretary of the Natural Resources Agency - Continued

	2018-19*	2019-20*	2020-21*
Total Revenues, Transfers, and Other Adjustments	-	-	\$95,310
Total Resources	-	\$100,000	-
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
3790 Department of Parks and Recreation (Capital Outlay)	-	4,690	-
Less funding provided by General Fund (Capital Outlay)	-\$100,000	-	-
Total Expenditures and Expenditure Adjustments	-\$100,000	\$4,690	-
FUND BALANCE			
Reserve for economic uncertainties	\$100,000	\$95,310	-
	100,000	95,310	-

† Fiscal year 2018-19 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2018-19 ending fund balance will be reflected as a prior year adjustment in the 2021-22 Governor's Budget publication.

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	56.9	57.9	57.9	\$6,348	\$6,426	\$6,340
Budget Position Transparency	-	-2.8	-2.8	-	-1,473	-1,268
Salary and Other Adjustments	-	-	-	-276	254	254
Workload and Administrative Adjustments						
Environmental Justice and Tribal Affairs						
Asst Secretary	-	-	2.0	-	-	240
Salton Sea Management Plan Operations						
Info Officer II	-	-	1.0	-	-	-
Program Mgr II	-	-	1.0	-	-	-
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	4.0	\$-	\$-	\$240
Totals, Adjustments	-	-2.8	1.2	\$-276	\$-1,219	\$-774
TOTALS, SALARIES AND WAGES	56.9	55.1	59.1	\$6,072	\$5,207	\$5,566

INFRASTRUCTURE OVERVIEW

The California Natural Resources Agency has one Capital Outlay project, overseeing the Species Conservation Habitat Project at the Salton Sea. The Project includes development of a series of ponds, sediment mixing basins, ditches, and berms allowing water currently detached from the sea to be managed to provide suitable habitat for fish and birds. The Project is one component of the Salton Sea Management Plan.

SUMMARY OF PROJECTS

	State Building Program Expenditures	2018-19*	2019-20*	2020-21*
0325	CAPITAL OUTLAY Projects			
0006289	Salton Sea Species Conservation Habitat Project	-	111,157	-
	Design Build	-	111,157	-
TOTALS, EXPENDITURES, ALL PROJECTS		\$-	\$111,157	\$-

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0540 Secretary of the Natural Resources Agency - Continued

FUNDING		2018-19*	2019-20*	2020-21*
6088	California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access For All Fund	\$-	\$111,157	\$-
TOTALS, EXPENDITURES, ALL FUNDS		\$-	\$111,157	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

3 CAPITAL OUTLAY		2018-19*	2019-20*	2020-21*
6088	California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access For All Fund			
APPROPRIATIONS				
Chapter 363, Statutes of 2019 (SB 109)		-	\$111,157	-
TOTALS, EXPENDITURES		-	\$111,157	-
Total Expenditures, All Funds, (Capital Outlay)		\$0	\$111,157	\$0

0552 Office of the Inspector General

The Office of the Inspector General (OIG) protects public safety by safeguarding the integrity of California's correctional system. OIG is responsible for contemporaneous oversight of the California Department of Corrections and Rehabilitation's (CDCR) internal affairs investigations, use of force, staff complaints, and the employee disciplinary process. The Inspector General audits and reviews the policies, practices, and procedures of CDCR. The Inspector General reviews the Governor's candidates for appointment to serve as warden for the state's adult correctional institutions and as superintendents for the state's juvenile facilities, and conducts metric-oriented inspection programs to periodically review delivery of medical care at each state prison. OIG receives communications from individuals alleging improper governmental activity and maintains a toll-free public telephone number to receive allegations of wrongdoing by employees of CDCR; conducts formal reviews of complaints of retaliation from CDCR employees against upper management where a legally cognizable cause of action is present; and reviews sexual abuse incidents within correctional institutions. OIG provides critical public transparency for the state correctional system by publicly reporting its findings.

In addition, OIG is responsible for the California Rehabilitation Oversight Board (Board) that examines CDCR's various mental health, substance abuse, educational, and employment programs for inmates and parolees. The Board meets at least twice annually to recommend modifications, additions, and eliminations of offender rehabilitation and treatment programs. The Board also submits biannual reports to the Governor, the Legislature, and the public to convey its findings on the effectiveness of treatment efforts, rehabilitation needs of offenders, gaps in offender rehabilitation services, and levels of offender participation and success.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0330	Office of the Inspector General	109.3	143.8	143.8	\$22,441	\$29,219
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	109.3	143.8	143.8	\$22,441	\$29,219	\$29,244
FUNDING		2018-19*		2019-20*		2020-21*
0001	General Fund		\$22,441		\$29,219	\$29,244
TOTALS, EXPENDITURES, ALL FUNDS		\$22,441		\$29,219		\$29,244

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Penal Code, Part III, Title 1, Chapter 3, Section 2641 and Title 7, Chapter 8.2, Sections 6125 to 6141.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0552 Office of the Inspector General - Continued**DETAILED BUDGET ADJUSTMENTS**

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Budget Act appropriation as added by Ch. 364, Stats 2019	\$3,499	\$-	21.0	\$3,499	\$-	21.0
• Other Post-Employment Benefit Adjustments	80	-	-	80	-	-
• Expenditure by Category Redistribution	-697	-	-	-903	-	-
• Budget Position Transparency	697	-	15.0	903	-	15.0
• Salary Adjustments	537	-	-	537	-	-
• Retirement Rate Adjustments	268	-	-	268	-	-
• Benefit Adjustments	217	-	-	242	-	-
Totals, Other Workload Budget Adjustments	\$4,601	\$-	36.0	\$4,626	\$-	36.0
Totals, Workload Budget Adjustments	\$4,601	\$-	36.0	\$4,626	\$-	36.0
Totals, Budget Adjustments	\$4,601	\$-	36.0	\$4,626	\$-	36.0

DETAILED EXPENDITURES BY PROGRAM

			2018-19*	2019-20*	2020-21*		
			2018-19*	2019-20*	2020-21*		
PROGRAM REQUIREMENTS							
0330 OFFICE OF THE INSPECTOR GENERAL							
State Operations:							
0001	General Fund		\$22,441	\$29,219	\$29,244		
	Totals, State Operations		\$22,441	\$29,219	\$29,244		
TOTALS, EXPENDITURES							
	State Operations		22,441	29,219	29,244		
	Totals, Expenditures		\$22,441	\$29,219	\$29,244		

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES						
Baseline Positions	107.8	107.8	107.8	\$13,695	\$13,746	\$13,746
Budget Position Transparency	-	15.0	15.0	-	697	903
Other Adjustments	1.5	21.0	21.0	32	2,699	2,699
Net Totals, Salaries and Wages	109.3	143.8	143.8	\$13,727	\$17,142	\$17,348
Staff Benefits	-	-	-	5,972	8,376	8,401
Totals, Personal Services	109.3	143.8	143.8	\$19,699	\$25,518	\$25,749
OPERATING EXPENSES AND EQUIPMENT						
				\$2,742	\$3,701	\$3,495
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)						
				\$22,441	\$29,219	\$29,244

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0552 Office of the Inspector General - Continued

1 STATE OPERATIONS		2018-19*	2019-20*	2020-21*
0001 General Fund				
APPROPRIATIONS				
001 Budget Act appropriation		\$22,441	\$24,618	\$29,244
Allocation for Employee Compensation		-	537	-
Allocation for Other Post-Employment Benefits		-	80	-
Allocation for Staff Benefits		-	217	-
Budget Act appropriation as added by Ch. 364, Stats 2019		-	3,499	-
Budget Position Transparency		-	697	-
Expenditure by Category Redistribution		-	-697	-
Section 3.60 Pension Contribution Adjustment		-	268	-
Totals Available		\$22,441	\$29,219	\$29,244
TOTALS, EXPENDITURES		\$22,441	\$29,219	\$29,244
Total Expenditures, All Funds, (State Operations)		\$22,441	\$29,219	\$29,244

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	107.8	107.8	107.8	\$13,695	\$13,746	\$13,746
Budget Position Transparency	-	15.0	15.0	-	697	903
Salary and Other Adjustments	1.5	21.0	21.0	32	2,699	2,699
Totals, Adjustments	1.5	36.0	36.0	\$32	\$3,396	\$3,602
TOTALS, SALARIES AND WAGES	109.3	143.8	143.8	\$13,727	\$17,142	\$17,348

0555 Secretary for Environmental Protection

The Secretary for Environmental Protection is the cabinet-level agency responsible for protecting the environment. California Environmental Protection Agency programs reduce greenhouse gas emissions, restore and protect environmental quality, and protect public health. The Secretary coordinates the state's environmental regulatory programs and ensures fair and consistent enforcement of environmental law, which safeguards the state's residents and promotes the state's economic vitality. The Secretary also administers environmental justice, environmental law enforcement, emergency preparedness and response, Certified Unified Program Agencies, and scientific review programs. The Secretary leads greenhouse gas emission reduction and climate change activities in state government, and is responsible for coordinating the implementation of Chapter 488, Statutes of 2006 (AB 32). The Secretary also centralizes coordination of California-Mexico border environmental efforts.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0340 Support	77.8	74.3	74.3	\$19,624	\$27,520	\$21,479
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	77.8	74.3	74.3	\$19,624	\$27,520	\$21,479
FUNDING				2018-19*	2019-20*	2020-21*
0001 General Fund				\$3,028	\$4,838	\$3,584
0014 Hazardous Waste Control Account				371	382	383
0028 Unified Program Account				4,935	4,447	4,702
0044 Motor Vehicle Account, State Transportation Fund				2,059	2,211	2,213
0106 Department of Pesticide Regulation Fund				968	1,053	1,054

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0555 Secretary for Environmental Protection - Continued

FUNDING		2018-19*	2019-20*	2020-21*
0115	Air Pollution Control Fund	1,609	2,153	1,405
0133	California Beverage Container Recycling Fund	375	375	-
0193	Waste Discharge Permit Fund	992	1,020	645
0226	California Tire Recycling Management Fund	133	139	139
0235	Public Resources Account, Cigarette and Tobacco Products Surtax Fund	32	96	44
0387	Integrated Waste Management Account, Integrated Waste Management Fund	279	292	292
0439	Underground Storage Tank Cleanup Fund	1,369	1,417	1,418
0557	Toxic Substances Control Account	349	-	-
0679	State Water Quality Control Fund	200	207	207
0890	Federal Trust Fund	-	300	300
0995	Reimbursements	1,549	2,181	2,183
1006	Rural CUPA Reimbursement Account	-55	-	-
3058	Water Rights Fund	33	37	37
3228	Greenhouse Gas Reduction Fund	-	3,000	-
3237	Cost of Implementation Account, Air Pollution Control Fund	1,208	1,240	741
8013	Environmental Enforcement and Training Account	190	2,132	2,132
TOTALS, EXPENDITURES, ALL FUNDS		\$19,624	\$27,520	\$21,479

LEGAL CITATIONS AND AUTHORITY

Governor's Reorganization Plan No. 1 of 1991; Government Code Section 12812.6; Health and Safety Code, Division 37, Section 57000 et seq.; Public Resources Code Sections 71000 and 71110; and Governor's Reorganization Plan No. 2 of 2012.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Federal Biological Opinions Litigation	\$-	\$-	-	\$515	\$-	-
• Technical Adjustment: Environmental Enforcement and Training Account	-	-	-	-	-	-
• Technical Adjustment: Reduction to Unified Program Account Expenditures	-	-	-	-	-750	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$515	\$-750	-
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	5	73	-	5	73	-
• Expenditure by Category Redistribution	-	201	-	-	99	-
• Attorney General Services Rate Increases	-	7	-	-	8	-
• 9840 Unanticipated Cost: Federal Biological Opinions	264	-	-	-	-	-
• 9840 Unanticipated Cost: HR Settlement	500	-	-	-	-	-
• Salary Adjustments	19	249	-	19	249	-
• Benefit Adjustments	8	117	-	9	131	-
• Retirement Rate Adjustments	9	117	-	9	117	-
• Legislation with an Appropriation	1,000	-	-	-	-	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-8	-
• Budget Position Transparency	-	-201	-1.1	-	-99	-1.1
Totals, Other Workload Budget Adjustments	\$1,805	\$563	-1.1	\$42	\$570	-1.1

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0555 Secretary for Environmental Protection - Continued

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
	\$1,805	\$563	-1.1	\$557	\$-180	-1.1
Totals, Workload Budget Adjustments						
Totals, Budget Adjustments	\$1,805	\$563	-1.1	\$557	\$-180	-1.1

PROGRAM DESCRIPTIONS

0340 - SUPPORT PROGRAM

The Secretary for Environmental Protection's primary program objectives are restoring, protecting, and enhancing environmental quality, and protecting public health while fostering economic vitality. The Secretary oversees the state's environmental regulatory programs with particular emphasis on promoting "cross-media" coordination, enhancing risk assessment (especially for disadvantaged communities and other sensitive populations), enforcing laws consistently and fairly, and objectively measuring and reporting results.

DETAILED EXPENDITURES BY PROGRAM

	PROGRAM REQUIREMENTS		2018-19*	2019-20*	2020-21*
0340 SUPPORT					
	State Operations:				
0001	General Fund		\$2,193	\$4,003	\$2,749
0014	Hazardous Waste Control Account		371	382	383
0028	Unified Program Account		4,935	4,447	4,702
0044	Motor Vehicle Account, State Transportation Fund		2,059	2,211	2,213
0106	Department of Pesticide Regulation Fund		968	1,053	1,054
0115	Air Pollution Control Fund		1,234	1,403	1,405
0193	Waste Discharge Permit Fund		617	645	645
0226	California Tire Recycling Management Fund		133	139	139
0235	Public Resources Account, Cigarette and Tobacco Products Surtax Fund		32	96	44
0387	Integrated Waste Management Account, Integrated Waste Management Fund		279	292	292
0439	Underground Storage Tank Cleanup Fund		1,369	1,417	1,418
0679	State Water Quality Control Fund		200	207	207
0890	Federal Trust Fund		-	300	300
0995	Reimbursements		1,549	2,181	2,183
3058	Water Rights Fund		33	37	37
3228	Greenhouse Gas Reduction Fund		-	3,000	-
3237	Cost of Implementation Account, Air Pollution Control Fund		1,208	1,240	741
8013	Environmental Enforcement and Training Account		190	2,132	-
	Totals, State Operations		\$17,370	\$25,185	\$18,512
	Local Assistance:				
0001	General Fund		\$835	\$835	\$835
0115	Air Pollution Control Fund		375	750	-
0133	California Beverage Container Recycling Fund		375	375	-
0193	Waste Discharge Permit Fund		375	375	-
0557	Toxic Substances Control Account		349	-	-
1006	Rural CUPA Reimbursement Account		-55	-	-
8013	Environmental Enforcement and Training Account		-	-	2,132
	Totals, Local Assistance		\$2,254	\$2,335	\$2,967

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0555 Secretary for Environmental Protection - Continued

	2018-19*	2019-20*	2020-21*
TOTALS, EXPENDITURES			
State Operations	17,370	25,185	18,512
Local Assistance	2,254	2,335	2,967
Totals, Expenditures	\$19,624	\$27,520	\$21,479

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES						
Baseline Positions	69.4	75.4	75.4	\$6,468	\$6,996	\$6,996
Budget Position Transparency	-	-1.1	-1.1	-	-201	-99
Other Adjustments	8.4	-	-	596	268	268
Net Totals, Salaries and Wages	77.8	74.3	74.3	\$7,064	\$7,063	\$7,165
Staff Benefits	-	-	-	3,256	3,713	3,626
Totals, Personal Services	77.8	74.3	74.3	\$10,320	\$10,776	\$10,791
OPERATING EXPENSES AND EQUIPMENT				\$6,491	\$10,313	\$7,721
SPECIAL ITEMS OF EXPENSES				559	4,096	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$17,370	\$25,185	\$18,512

2 Local Assistance	Expenditures		
	2018-19*	2019-20*	2020-21*
Grants and Subventions - Governmental	\$2,254	\$2,335	\$2,967
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$2,254	\$2,335	\$2,967

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,193	\$2,198	\$2,749
9840 Unanticipated Cost: Federal Biological Opinions	-	264	-
9840 Unanticipated Cost: HR Settlement	-	500	-
Allocation for Employee Compensation	-	19	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	8	-
California Green Business Network	-	1,000	-
Section 3.60 Pension Contribution Adjustment	-	9	-
Totals Available	\$2,193	\$4,003	\$2,749
TOTALS, EXPENDITURES	\$2,193	\$4,003	\$2,749
0014 Hazardous Waste Control Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$371	\$371	\$383
Allocation for Employee Compensation	-	5	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	2	-

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0555 Secretary for Environmental Protection - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
Section 3.60 Pension Contribution Adjustment	-	3	-
TOTALS, EXPENDITURES	\$371	\$382	\$383
0028 Unified Program Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,935	\$4,209	\$4,702
Allocation for Employee Compensation	-	107	-
Allocation for Other Post-Employment Benefits	-	33	-
Allocation for Staff Benefits	-	50	-
Budget Position Transparency	-	-201	-
Expenditure by Category Redistribution	-	201	-
Section 3.60 Pension Contribution Adjustment	-	48	-
Totals Available	\$4,935	\$4,447	\$4,702
TOTALS, EXPENDITURES	\$4,935	\$4,447	\$4,702
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,059	\$2,144	\$2,213
Allocation for Employee Compensation	-	30	-
Allocation for Other Post-Employment Benefits	-	8	-
Allocation for Staff Benefits	-	14	-
Section 3.60 Pension Contribution Adjustment	-	15	-
Totals Available	\$2,059	\$2,211	\$2,213
TOTALS, EXPENDITURES	\$2,059	\$2,211	\$2,213
0106 Department of Pesticide Regulation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$968	\$1,023	\$1,054
Allocation for Employee Compensation	-	13	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	6	-
Section 3.60 Pension Contribution Adjustment	-	7	-
Totals Available	\$968	\$1,053	\$1,054
TOTALS, EXPENDITURES	\$968	\$1,053	\$1,054
0115 Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,234	\$1,354	\$1,405
Allocation for Employee Compensation	-	19	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	9	-
Attorney General Services Rate Increases	-	7	-
Section 3.60 Pension Contribution Adjustment	-	9	-
Totals Available	\$1,234	\$1,403	\$1,405
TOTALS, EXPENDITURES	\$1,234	\$1,403	\$1,405
0193 Waste Discharge Permit Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$617	\$627	\$645
Allocation for Employee Compensation	-	8	-
Allocation for Other Post-Employment Benefits	-	2	-
Allocation for Staff Benefits	-	4	-
Section 3.60 Pension Contribution Adjustment	-	4	-
Totals Available	\$617	\$645	\$645

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0555 Secretary for Environmental Protection - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
TOTALS, EXPENDITURES	\$617	\$645	\$645
0226 California Tire Recycling Management Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$133	\$133	\$139
Allocation for Employee Compensation	-	3	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	1	-
TOTALS, EXPENDITURES	\$133	\$139	\$139
0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$32	\$96	\$44
TOTALS, EXPENDITURES	\$32	\$96	\$44
0387 Integrated Waste Management Account, Integrated Waste Management Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$279	\$279	\$292
Allocation for Employee Compensation	-	5	-
Allocation for Other Post-Employment Benefits	-	2	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	3	-
TOTALS, EXPENDITURES	\$279	\$292	\$292
0439 Underground Storage Tank Cleanup Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,369	\$1,377	\$1,418
Allocation for Employee Compensation	-	18	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	8	-
Section 3.60 Pension Contribution Adjustment	-	9	-
Totals Available	\$1,369	\$1,417	\$1,418
TOTALS, EXPENDITURES	\$1,369	\$1,417	\$1,418
0679 State Water Quality Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$200	\$201	\$207
Allocation for Employee Compensation	-	3	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	1	-
Totals Available	\$200	\$207	\$207
TOTALS, EXPENDITURES	\$200	\$207	\$207
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$300	\$300
Totals Available	-	\$300	\$300
TOTALS, EXPENDITURES	-	\$300	\$300
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$1,549	\$2,181	\$2,183
TOTALS, EXPENDITURES	\$1,549	\$2,181	\$2,183
3058 Water Rights Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0555 Secretary for Environmental Protection - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
001 Budget Act appropriation	\$33	\$37	\$37
Totals Available	\$33	\$37	\$37
TOTALS, EXPENDITURES	\$33	\$37	\$37
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$3,000	-
TOTALS, EXPENDITURES	-	\$3,000	-
3237 Cost of Implementation Account, Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,208	\$1,208	\$741
Allocation for Employee Compensation	-	15	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	7	-
Section 3.60 Pension Contribution Adjustment	-	6	-
TOTALS, EXPENDITURES	\$1,208	\$1,240	\$741
8013 Environmental Enforcement and Training Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$190	\$2,132	-
Totals Available	\$190	\$2,132	-
TOTALS, EXPENDITURES	\$190	\$2,132	-
Total Expenditures, All Funds, (State Operations)	\$17,370	\$25,185	\$18,512
2 LOCAL ASSISTANCE	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
111 Budget Act appropriation (transfer to Rural CUPA Reimbursement Account)	\$835	\$835	\$835
TOTALS, EXPENDITURES	\$835	\$835	\$835
0115 Air Pollution Control Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$375	\$750	-
TOTALS, EXPENDITURES	\$375	\$750	-
0133 California Beverage Container Recycling Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$375	\$375	-
TOTALS, EXPENDITURES	\$375	\$375	-
0193 Waste Discharge Permit Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$375	\$375	-
TOTALS, EXPENDITURES	\$375	\$375	-
0557 Toxic Substances Control Account			
APPROPRIATIONS			
101 Budget Act appropriation	\$349	-	-
Totals Available	\$349	-	-
TOTALS, EXPENDITURES	\$349	-	-
1006 Rural CUPA Reimbursement Account			
APPROPRIATIONS			
101 Budget Act appropriation	\$780	\$835	\$835
Totals Available	\$780	\$835	\$835
TOTALS, EXPENDITURES	\$780	\$835	\$835
Less funding provided by General Fund	-835	-835	-835

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0555 Secretary for Environmental Protection - Continued

2 LOCAL ASSISTANCE	2018-19*	2019-20*	2020-21*
NET TOTALS, EXPENDITURES	\$55	-	-
8013 Environmental Enforcement and Training Account			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$2,132
TOTALS, EXPENDITURES	-	-	\$2,132
Total Expenditures, All Funds, (Local Assistance)	\$2,254	\$2,335	\$2,967
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$19,624	\$27,520	\$21,479

FUND CONDITION STATEMENTS

	2018-19*	2019-20*	2020-21*
0028 Unified Program Account^s			
BEGINNING BALANCE	\$1,307	\$1,075	\$781
Prior Year Adjustments	2,623	-	-
Adjusted Beginning Balance	\$3,930	\$1,075	\$781
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	6,191	8,644	8,644
4163000 Investment Income - Surplus Money Investments	57	85	30
4172500 Miscellaneous Revenue	10	-	-
Total Revenues, Transfers, and Other Adjustments	\$6,258	\$8,729	\$8,674
Total Resources	\$10,188	\$9,804	\$9,455
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0555 Secretary for Environmental Protection (State Operations)	4,935	4,447	4,702
0690 Office of Emergency Services (State Operations)	626	957	958
3540 Department of Forestry and Fire Protection (State Operations)	718	751	752
3940 State Water Resources Control Board (State Operations)	619	655	661
3960 Department of Toxic Substances Control (State Operations)	1,403	1,407	1,407
3980 Office of Environmental Health Hazard Assessment (State Operations)	179	202	202
8880 Financial Information System for California (State Operations)	1	-1	-
9892 Supplemental Pension Payments (State Operations)	80	160	160
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	552	445	358
Total Expenditures and Expenditure Adjustments	\$9,113	\$9,023	\$9,200
FUND BALANCE			
Reserve for economic uncertainties	1,075	781	255
1006 Rural CUPA Reimbursement Account^s			
BEGINNING BALANCE	\$806	\$861	\$861
Adjusted Beginning Balance	\$806	\$861	\$861
Total Resources	\$806	\$861	\$861
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0555 Secretary for Environmental Protection (Local Assistance)	780	835	835
Less funding provided by General Fund (Local Assistance)	-835	-835	-835
Total Expenditures and Expenditure Adjustments	-\$55	-	-
FUND BALANCE			
Reserve for economic uncertainties	861	861	861

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0555 Secretary for Environmental Protection - Continued

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	69.4	75.4	75.4	\$6,468	\$6,996	\$6,996
Budget Position Transparency	-	-1.1	-1.1	-	-201	-99
Salary and Other Adjustments	8.4	-	-	596	268	268
Totals, Adjustments	8.4	-1.1	-1.1	\$596	\$67	\$169
TOTALS, SALARIES AND WAGES	77.8	74.3	74.3	\$7,064	\$7,063	\$7,165

0559 Secretary for Labor and Workforce Development Agency

The Labor and Workforce Development Agency was established to address issues relating to California workers and their employers. The Agency is responsible for labor law enforcement, workforce development, and benefit payment and adjudication. The Agency works to combat the underground economy and help legitimate businesses and workers in California through a combination of enforcement and education activities.

3-YEAR EXPENDITURES AND POSITIONS [†]

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0350 Office of the Secretary of Labor and Workforce Development	10.8	37.2	39.2	\$2,702	\$5,309	\$12,418
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	10.8	37.2	39.2	\$2,702	\$5,309	\$12,418
FUNDING				2018-19*	2019-20*	2020-21*
0001 General Fund				\$-	\$2,132	\$2,533
0995 Reimbursements				2,631	2,723	2,726
3078 Labor and Workforce Development Fund				71	454	7,159
TOTALS, EXPENDITURES, ALL FUNDS				\$2,702	\$5,309	\$12,418

[†] Fiscal year 2018-19 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2018-19 ending fund balance will be reflected as a prior year adjustment in the 2021-22 Governor's Budget publication.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 12800 and 12813.

MAJOR PROGRAM CHANGES

- Labor Agency Strategic Outreach – The Budget includes \$6.7 million one-time, over three years, from the Labor and Workforce Development Fund to develop and implement an intra-agency outreach plan to raise awareness of workplace rights and improve state labor law enforcement. This outreach plan will be in partnership with the Department of Industrial Relations and the Agricultural Labor Relations Board.
- Labor and Workforce Development Fund Loan to the General Fund – The Budget includes a loan of \$107 million from the Labor and Workforce Development Fund to the General Fund to support statewide budget needs.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0559 Secretary for Labor and Workforce Development Agency - Continued**DETAILED BUDGET ADJUSTMENTS**

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Future of Work Reappropriation	\$-500	\$-	-	\$500	\$-	-
• Labor Agency Strategic Outreach	-	-	-	-	6,704	2.0
Totals, Workload Budget Change Proposals	\$-500	\$-	-	\$500	\$6,704	2.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	7	15	-	7	15	-
• Expenditure by Category Redistribution	-	308	-	-	308	-
• Cradle-to-Career Data System Workgroup Transfer, per Education Code Section 10859(b)(2)(A)	100	-	-	-	-	-
• Salary Adjustments	26	56	-	26	57	-
• Retirement Rate Adjustments	18	27	-	18	27	-
• Benefit Adjustments	11	23	-	12	26	-
• Budget Position Transparency	-	-308	-2.1	-	-308	-2.1
Totals, Other Workload Budget Adjustments	\$162	\$121	-2.1	\$63	\$125	-2.1
Totals, Workload Budget Adjustments	\$-338	\$121	-2.1	\$563	\$6,829	-0.1
Totals, Budget Adjustments	\$-338	\$121	-2.1	\$563	\$6,829	-0.1

DETAILED EXPENDITURES BY PROGRAM [†]

		2018-19*			2019-20*		2020-21*		
		2018-19*	2019-20*	2020-21*	2018-19*	2019-20*	2018-19*	2019-20*	
PROGRAM REQUIREMENTS									
0350 OFFICE OF THE SECRETARY OF LABOR AND WORKFORCE DEVELOPMENT									
State Operations:									
0001 General Fund				\$-	\$2,132	\$2,533			
0995 Reimbursements				2,631	2,723	2,726			
3078 Labor and Workforce Development Fund				71	454	7,159			
Totals, State Operations				\$2,702	\$5,309	\$12,418			
TOTALS, EXPENDITURES									
State Operations				2,702	5,309	12,418			
Totals, Expenditures				\$2,702	\$5,309	\$12,418			

[†] Fiscal year 2018-19 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2018-19 ending fund balance will be reflected as a prior year adjustment in the 2021-22 Governor's Budget publication.

EXPENDITURES BY CATEGORY [†]

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES						

0559 Secretary for Labor and Workforce Development Agency - Continued

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	12.3	39.3	39.3	\$1,550	\$2,622	\$2,622
Budget Position Transparency	-	-2.1	-2.1	-	-308	-308
Other Adjustments	-1.5	-	2.0	-249	82	261
Net Totals, Salaries and Wages	10.8	37.2	39.2	\$1,301	\$2,396	\$2,575
Staff Benefits	-	-	-	597	1,311	1,404
Totals, Personal Services	10.8	37.2	39.2	\$1,898	\$3,707	\$3,979
OPERATING EXPENSES AND EQUIPMENT				\$804	\$2,102	\$7,939
SPECIAL ITEMS OF EXPENSES				-	-500	500
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,702	\$5,309	\$12,418

† Fiscal year 2018-19 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2018-19 ending fund balance will be reflected as a prior year adjustment in the 2021-22 Governor's Budget publication.

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS †

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$2,470	\$2,033
Allocation for Employee Compensation	-	26	-
Allocation for Other Post-Employment Benefits	-	7	-
Allocation for Staff Benefits	-	11	-
Future of Work Reappropriation	-	-500	-
Section 3.60 Pension Contribution Adjustment	-	18	-
Cradle-to-Career Data System Workgroup Transfer, per Education Code Section 10859(b)(2)(A)	-	100	-
Prior Year Balances Available:			
Item 0559-001-0001, Budget Act of 2019 as reappropriated by Item 0559-490, Budget Act of 2020	-	-	500
TOTALS, EXPENDITURES	-	\$2,132	\$2,533
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,631	\$2,723	\$2,726
TOTALS, EXPENDITURES	\$2,631	\$2,723	\$2,726
3078 Labor and Workforce Development Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$71	\$447	\$7,159
Allocation for Employee Compensation	-	3	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	2	-
011 Budget Act appropriation (loan to the General Fund)	-	-	(107,000)
Totals Available	\$71	\$454	\$7,159
TOTALS, EXPENDITURES	\$71	\$454	\$7,159

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0559 Secretary for Labor and Workforce Development Agency - Continued**1 STATE OPERATIONS**

Total Expenditures, All Funds, (State Operations)	2018-19*	2019-20*	2020-21*
	\$2,702	\$5,309	\$12,418

[†] Fiscal year 2018-19 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2018-19 ending fund balance will be reflected as a prior year adjustment in the 2021-22 Governor's Budget publication.

FUND CONDITION STATEMENTS [†]

	2018-19*	2019-20*	2020-21*
3078 Labor and Workforce Development Fund^s			
BEGINNING BALANCE	\$65,080	\$101,494	\$133,333
Adjusted Beginning Balance	\$65,080	\$101,494	\$133,333
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4121800 Employment Agency Filing Fees	360	375	375
4173500 Settlements and Judgments - Other	42,112	43,000	43,000
Transfers and Other Adjustments			
Loan from Labor and Workforce Development Fund (3078) to General Fund (0001) per Item 0559-011-3078, Budget Act of 2020	-	-	-107,000
Total Revenues, Transfers, and Other Adjustments	\$42,472	\$43,375	\$63,625
Total Resources	\$107,552	\$144,869	\$69,708
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0559 Secretary for Labor and Workforce Development Agency (State Operations)	71	454	7,159
7300 Agricultural Labor Relations Board (State Operations)	1,213	1,279	1,831
7350 Department of Industrial Relations (State Operations)	4,067	9,125	21,789
8880 Financial Information System for California (State Operations)	1	-1	-
9892 Supplemental Pension Payments (State Operations)	41	74	74
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	665	605	437
Total Expenditures and Expenditure Adjustments	\$6,058	\$11,536	\$31,290
FUND BALANCE	\$101,494	\$133,333	\$38,418
Reserve for economic uncertainties	101,494	133,333	38,418

[†] Fiscal year 2018-19 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2018-19 ending fund balance will be reflected as a prior year adjustment in the 2021-22 Governor's Budget publication.

CHANGES IN AUTHORIZED POSITIONS [†]

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	12.3	39.3	39.3	\$1,550	\$2,622	\$2,622
Budget Position Transparency	-	-2.1	-2.1	-	-308	-308
Salary and Other Adjustments	-1.5	-	-	-249	82	83
Workload and Administrative Adjustments						
Labor Agency Strategic Outreach						

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0559 Secretary for Labor and Workforce Development Agency - Continued

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Research Data Analyst II	-	-	1.0	-	-	73
Staff Svcs Mgr III	-	-	1.0	-	-	105
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	2.0	\$-	\$-	\$178
Totals, Adjustments	-1.5	-2.1	-0.1	\$-249	\$-226	\$-47
TOTALS, SALARIES AND WAGES	10.8	37.2	39.2	\$1,301	\$2,396	\$2,575

† Fiscal year 2018-19 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2018-19 ending fund balance will be reflected as a prior year adjustment in the 2021-22 Governor's Budget publication.

0650 Office of Planning and Research

The Office of Planning and Research (OPR) assists the Governor and the Administration in planning, research, policy development, and legislative analysis. OPR formulates long-range state goals and policies to address land use, climate change, population growth and distribution, urban expansion, infrastructure development, groundwater sustainability and drought response, and resource protection. OPR also coordinates guidelines and compliance of the California Environmental Quality Act (CEQA). OPR acts as the state's liaison to a variety of entities including local government, planning professionals, small business, and the Department of Defense.

OPR houses and supports the Strategic Growth Council, a program created to coordinate state agency activities in supporting planning and development of sustainable communities and California Volunteers, a program aimed to increase the number and impact of Californians involved with service and volunteering throughout the state.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0360 State Planning & Policy Development	12.2	21.5	20.5	\$14,933	\$66,346	\$21,264
0365 California Volunteers	21.3	24.8	43.3	64,827	68,821	61,843
0370 Strategic Growth Council	14.0	23.1	24.6	766,183	510,404	531,970
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	47.5	69.4	88.4	\$845,943	\$645,571	\$615,077
FUNDING		2018-19*		2019-20*		2020-21*
0001 General Fund		\$43,293		\$79,537		\$26,561
0890 Federal Trust Fund		34,623		48,758		52,784
0995 Reimbursements		803		7,606		4,274
3228 Greenhouse Gas Reduction Fund		766,025		509,123		530,629
9740 Central Service Cost Recovery Fund		1,199		547		829
TOTALS, EXPENDITURES, ALL FUNDS		\$845,943		\$645,571		\$615,077

LEGAL CITATIONS AND AUTHORITY

Fish and Game Code sections: 711.4, 1854, and 1856; Government Code sections: 4530-4535.3, 7562, 7564, 13073.5, 17525, 50470; 63024, 65025-65049, 65040.15, 65059.1-65059.3, 65073, 65352, 65352.3, 65400, 65565.1, 65850.8, 65940, 65944, 66521, and 99502; Health and Safety Code sections: 25199.7, 25395.23, 25395.41, 39719, and 50901; Public Contract Code section 10340; Public Resources Code sections: 4205, 5024.5, 21071, 21080.3-21080.4, 21083, 21083.05, 21086, 21091, 21092.2, 21094.5.5, 21095, 21108, 21152.1, 21153, 21159.9, 21161, 21165, 25404, 30400, 30415, 30420, 71350-71360, and

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0650 Office of Planning and Research - Continued

75121; California Code of Regulations: title 2, section 1896.38; title 14, sections: 763, 1683.7, 15000-15007, 15053, 15062, 15073, 15075, 15082, 15087, 15094, 15105, 15205, 15300.3, 16000-16041, and 16500; title 20, section 1862; title 21, section 8122.

MAJOR PROGRAM CHANGES

California Volunteers - This budget includes \$13 million for California Volunteers lead role for Emergency Support Function —17, Volunteer and Donation Management and maintenance of the AmeriCorps volunteer expansion, by providing:

- \$2.9 million ongoing General Fund for administrative and strategic planning staff, including emergency volunteer coordinators that will be located in the three most populated regions of the state.
- \$10.1 million ongoing General Fund to sustain nearly 500 AmeriCorps volunteer positions that were established with funding from the 2019 Budget Act. AmeriCorps volunteers serve statewide in programs that address critical community needs in education, public safety, health and human services, and the environment.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Fresno Integrated K-16 Collaborative	\$-	\$-	-	\$17,000	\$-	-
• California Volunteers Emergency Support Function-17 and AmeriCorps Funding Strategy	-	-	-	13,009	-	18.0
• California Volunteers Infrastructure Strategy	-	-	-	1,742	-	10.0
• Legislative and Legal Staff Increase	-	-	-	357	-	2.0
• California Transportation Plan Assessment (AB 285)	-	-	-	349	-	1.0
• Various Technical Adjustments	-	1,372	-	-	80,758	-
• Withdraw Legislative and Legal Staff Increase	-	-	-	-357	-	-2.0
• Withdraw Governor's Budget Proposal and Delay AmeriCorps Infrastructure Expansion	-	-	-	-1,742	-	-10.0
• Modify the Online Learning Program to Reduce Expenditures by 20 Percent	-	-	-	-2,000	-	-
• Withdraw Fresno Integrated K-16 Collaborative	-	-	-	-17,000	-	-
Totals, Workload Budget Change Proposals	\$-	\$1,372	-	\$11,358	\$80,758	19.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	32	19	-	32	19	-
• Adjustment to Reflect Greenhouse Gas Auction Funds	-	-	-	-	169,354	-
• Adjust Current Year Precision Medicine to Reflect Available General Fund Savings	-18,100	-	-	-	-	-
• Cradle-to-Career Data System Workgroup Transfer, per Education Code Section 10859(b)(2)(A)	-1,300	-	-	-	-	-
• Funds from Executive Order E 19/20 Mission Tasking Appropriation for Emergency Support Function 17 Activities	571	-	-	-	-	-
• Central Service Function Cost Realignment	-	-	-	-282	282	-
• Expenditure by Category Redistribution	-533	-387	-	-797	-579	-
• Budget Position Transparency	533	387	12.9	797	579	14.9
• Salary Adjustments	135	80	-	135	80	-

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0650 Office of Planning and Research - Continued

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Benefit Adjustments	61	39	-	71	40	-
• Retirement Rate Adjustments	62	35	-	62	35	-
• Miscellaneous Baseline Adjustments	-	15,397	-	-	5,354	-
• Carryover/Reappropriation	30,000	-	-	-	-	-
• SWCAP	-	-	-	-	-11	-
Totals, Other Workload Budget Adjustments	\$11,461	\$15,570	12.9	\$18	\$175,153	14.9
Totals, Workload Budget Adjustments	\$11,461	\$16,942	12.9	\$11,376	\$255,911	33.9
Totals, Budget Adjustments	\$11,461	\$16,942	12.9	\$11,376	\$255,911	33.9

PROGRAM DESCRIPTIONS**0360 - STATE PLANNING AND POLICY DEVELOPMENT**

By statute, the office is the state's comprehensive planning agency. The major activities of the State Planning and Policy Development program include: (1) recommending and implementing state policies on land-use and growth planning and compliance with environmental statutes and regulations, including General Plan Guidelines and other guidance to local and regional governments; (2) coordinating the implementation of the California Environmental Quality Act (CEQA), including: (a) operating the State Clearinghouse; (b) maintaining an online database for the electronic submission and archiving of CEQA documents; (c) developing CEQA guidelines amendments; (d) providing technical assistance; and (e) providing training; (3) supporting the Governor's Advisor for Military Affairs and Governor's Military Council; (4) providing policy research for the Governor and Cabinet; (5) supporting local planning efforts related to the impacts of droughts and wildfires; (6) supporting development of state plans including, but not limited to, the Water Action Plan, the State Multi-Hazard Mitigation Plan, the Safeguarding California Plan, the Integrated Energy Policy Report, and the 5-Year Infrastructure Plan; (7) supporting multiple efforts to address climate change and response to carbon pollution; (8) administering the Integrated Climate Adaptation and Resiliency Program to coordinate regional and local efforts with state climate adaptation strategies to adapt to the impacts of climate change; (9) housing the Federal Grants Administrator for tracking of federal grants coming to California and providing information on federal grants to help maximize federal grant opportunities within the state; (10) administering the California Education Learning Lab, California Initiative to Advance Precision Medicine, the Cradle-to-Career, and Higher Education Innovation grant programs; (11) Establishing and facilitating the Rural Economic Development Steering Committee/Wood Utilization Work Group to promote rural economic development that drives healthy and sustainable forest management consistent with our rural economic development, public health and safety, and climate goals; and (13) conducting other activities as the Governor may direct.

0365 - CALIFORNIA VOLUNTEERS

California Volunteers is charged with increasing the number and impact of Californians involved with service and volunteering throughout the state. California Volunteers administers programs such as AmeriCorps and Disaster Volunteering and Preparedness, and guides policy development to support the nonprofit and service fields. Through the efforts of California Volunteers, Californians of all ages and abilities are provided ongoing opportunities to volunteer, to become better prepared to respond in emergencies and disasters, and ultimately, to embrace a lifetime ethic of service.

0370 - STRATEGIC GROWTH COUNCIL

The California Strategic Growth Council (SGC) coordinates and works collaboratively with public agencies, communities, and stakeholders to promote sustainable community development, preserve natural resources, reduce greenhouse gas emissions, advance equity, and protect public health. SGC administers the following grant programs: Affordable Housing and Sustainable Communities; Transformative Climate Communities; Sustainable Agricultural Lands Conservation; and Climate Change Research. Each of these programs contributes to greenhouse gas emission reductions and is part of the California Climate Investments program, funded by proceeds from the state's cap and trade auction revenues. For each program, SGC works with an interagency team to develop program guidelines, review applications, and administer program funds. SGC also administers the Regional Climate Collaboratives, which supports local capacity building in under-resourced communities across the state. SGC's Technical Assistance program supports access to SGC's own grant programs as well as those of many other state agencies. This technical assistance improves the accessibility of these programs and advances projects that maximize greenhouse gas reductions. SGC also houses two collaborative policy initiatives: the Health in All Policies program, which advances integration of health and equity principles in existing state programs and planning; and a partnership with the High Speed Rail authority to support sustainable development and conservation practices through that project. SGC is also charged

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0650 Office of Planning and Research - Continued

with the review of the state's 5-Year Infrastructure Plan and contributes to interagency efforts to promote sustainability and resilience in the state's investments.

DETAILED EXPENDITURES BY PROGRAM

		2018-19*	2019-20*	2020-21*
	PROGRAM REQUIREMENTS			
0360	STATE PLANNING & POLICY DEVELOPMENT			
	State Operations:			
0001	General Fund	\$12,302	\$46,651	\$11,082
0890	Federal Trust Fund	666	539	716
0995	Reimbursements	766	2,161	1,117
9740	Central Service Cost Recovery Fund	1,199	547	829
	Totals, State Operations	\$14,933	\$49,898	\$13,744
	Local Assistance:			
0001	General Fund	\$-	\$10,000	\$-
0890	Federal Trust Fund	-	4,448	7,520
0995	Reimbursements	-	2,000	-
	Totals, Local Assistance	\$-	\$16,448	\$7,520
	PROGRAM REQUIREMENTS			
0365	CALIFORNIA VOLUNTEERS			
	State Operations:			
0001	General Fund	\$854	\$2,814	\$4,718
0890	Federal Trust Fund	1,933	1,990	1,979
0995	Reimbursements	37	3,156	3,157
	Totals, State Operations	\$2,824	\$7,960	\$9,854
	Local Assistance:			
0001	General Fund	\$29,979	\$19,080	\$9,420
0890	Federal Trust Fund	32,024	41,781	42,569
	Totals, Local Assistance	\$62,003	\$60,861	\$51,989
	PROGRAM REQUIREMENTS			
0370	STRATEGIC GROWTH COUNCIL			
	State Operations:			
0001	General Fund	\$158	\$992	\$1,341
0995	Reimbursements	-	289	-
3228	Greenhouse Gas Reduction Fund	4,956	12,688	32,229
	Totals, State Operations	\$5,114	\$13,969	\$33,570
	Local Assistance:			
3228	Greenhouse Gas Reduction Fund	\$761,069	\$496,435	\$498,400
	Totals, Local Assistance	\$761,069	\$496,435	\$498,400
	TOTALS, EXPENDITURES			
	State Operations	22,871	71,827	57,168
	Local Assistance	823,072	573,744	557,909
	Totals, Expenditures	\$845,943	\$645,571	\$615,077

EXPENDITURES BY CATEGORY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0650 Office of Planning and Research - Continued

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES						
Baseline Positions	47.5	56.5	54.5	\$3,757	\$4,965	\$4,509
Budget Position Transparency	-	12.9	14.9	-	920	1,376
Other Adjustments	-	-	19.0	843	800	1,678
Net Totals, Salaries and Wages	47.5	69.4	88.4	\$4,600	\$6,685	\$7,563
Staff Benefits	-	-	-	2,594	2,763	3,377
Totals, Personal Services	47.5	69.4	88.4	\$7,194	\$9,448	\$10,940
OPERATING EXPENSES AND EQUIPMENT				\$9,688	\$38,317	\$37,645
SPECIAL ITEMS OF EXPENSES				5,989	24,062	8,583
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$22,871	\$71,827	\$57,168
2 Local Assistance				Expenditures		
	2018-19*	2019-20*	2020-21*			
Goods - Other	1,100	-	8,400			
Grants and Subventions - Governmental	690,261	634,901	549,509			
Other Items of Expense - Miscellaneous	131,120	-1	-			
Other Special Items of Expense	591	-	-			
Unallocated Operating Expense and Equipment	-	-61,156	-			
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$823,072	\$573,744	\$557,909			

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		2018-19*	2019-20*	2020-21*
0001 General Fund				
APPROPRIATIONS				
001 Budget Act appropriation		\$13,314	\$15,576	\$17,141
Adjustment per Control Section 1.50 for Tribal Challenge Program (SO & LA)		-	350	-
Allocation for Employee Compensation		-	135	-
Allocation for Other Post-Employment Benefits		-	32	-
Allocation for Staff Benefits		-	61	-
Budget Position Transparency		-	533	-
Correction to 0650-029-BBA-2020-GB: Adjustment per Control Section 1.50 for Tribal Challenge Program (SO & LA)		-	-350	-
Expenditure by Category Redistribution		-	-533	-
Funds from Executive Order E 19/20 Mission Tasking Appropriation for Emergency Support Function 17 Activities		-	571	-
Section 3.60 Pension Contribution Adjustment		-	62	-
Education Code section 10859 (CA Cradle-to-Career Data System Act)		-	10,000	-
Cradle-to-Career Data System Workgroup Transfer, per Education Code Section 10859(b)(2)(A)		-	-1,300	-
Transfer to 0650-594-0001 for Program Administration Costs per Provision 1 of Item 0650-102-0001, Budget Act of 2019		-	920	-
Prior Year Balances Available:				
Item 0650-001-0001, Budget Act of 2016 as reappropriated by Item 0650-491, Budget Act of 2019		-	2,500	-
Item 0650-001-0001, Budget Act of 2017 as reappropriated by Item 0650-491, Budget Act of 2019		-	10,000	-

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0650 Office of Planning and Research - Continued**1 STATE OPERATIONS**

Item 0650-001-0001, Budget Act of 2018 as reappropriated by Item 0650-491, Budget Act of 2019

Totals Available

Unexpended balance, estimated savings

TOTALS, EXPENDITURES

	2018-19*	2019-20*	2020-21*
Item 0650-001-0001, Budget Act of 2018 as reappropriated by Item 0650-491, Budget Act of 2019	-	30,000	-
Totals Available	\$13,314	\$68,557	\$17,141
Unexpended balance, estimated savings	-	-18,100	-
TOTALS, EXPENDITURES	\$13,314	\$50,457	\$17,141

0890 Federal Trust Fund**APPROPRIATIONS**

001 Budget Act appropriation

001 Budget Act appropriation	\$2,599	\$1,974	\$2,695
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Adjustment per Control Section 28.00 for AMP SoCal

Adjustment per Control Section 28.00 for AMP SoCal	-	62	-
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Adjustment per Control Section 28.00 for Federal Funds Received from the Department of Defense for CASCADE, CASCADE II, and CaMEO Camp Programs

Adjustment per Control Section 28.00 for Federal Funds Received from the Department of Defense for CASCADE, CASCADE II, and CaMEO Camp Programs	-	471	-
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Allocation for Employee Compensation

Allocation for Employee Compensation	-	10	-
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Allocation for Other Post-Employment Benefits

Allocation for Other Post-Employment Benefits	-	2	-
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Allocation for Staff Benefits

Allocation for Staff Benefits	-	5	-
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Budget Position Transparency

Budget Position Transparency	-	44	-
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Expenditure by Category Redistribution

Expenditure by Category Redistribution	-	-44	-
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Section 3.60 Pension Contribution Adjustment

Section 3.60 Pension Contribution Adjustment	-	5	-
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Totals Available

Totals Available	\$2,599	\$2,529	\$2,695
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TOTALS, EXPENDITURES

TOTALS, EXPENDITURES	\$2,599	\$2,529	\$2,695
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0995 Reimbursements**APPROPRIATIONS**

Reimbursements

Reimbursements	\$803	\$5,606	\$4,274
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TOTALS, EXPENDITURES

TOTALS, EXPENDITURES	\$803	\$5,606	\$4,274
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3228 Greenhouse Gas Reduction Fund**APPROPRIATIONS**

001 Budget Act appropriation

001 Budget Act appropriation	\$3,576	\$8,231	\$1,316
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Allocation for Employee Compensation

Allocation for Employee Compensation	-	41	-
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Allocation for Other Post-Employment Benefits

Allocation for Other Post-Employment Benefits	-	10	-
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Allocation for Staff Benefits

Allocation for Staff Benefits	-	19	-
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Budget Position Transparency

Budget Position Transparency	-	219	-
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Expenditure by Category Redistribution

Expenditure by Category Redistribution	-	-219	-
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Section 3.60 Pension Contribution Adjustment

Section 3.60 Pension Contribution Adjustment	-	15	-
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Transfer to Item 0650-001-3228 for Program Administration Costs per Provision 2 of Item 0650-101-3228 Budget Act of 2019

Transfer to Item 0650-001-3228 for Program Administration Costs per Provision 2 of Item 0650-101-3228 Budget Act of 2019	-	3,000	-
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Prior Year Balances Available:

Item 0650-001-3228, Budget Act of 2017 as amended by Chapter 249, Statutes of 2017 as reappropriated by Item 0650-490, Budget Act of 2019

Item 0650-001-3228, Budget Act of 2017 as amended by Chapter 249, Statutes of 2017 as reappropriated by Item 0650-490, Budget Act of 2019	-	10,930	10,930
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Item 0650-001-3228, Budget Act of 2018 as reappropriated by Item 0650-490, Budget Act of 2020

Item 0650-001-3228, Budget Act of 2018 as reappropriated by Item 0650-490, Budget Act of 2020	-	17,655	17,655
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State operations expenditure from local assistance appropriation

State operations expenditure from local assistance appropriation	1,380	1,700	1,700
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State operations expenditure from local assistance appropriation

State operations expenditure from local assistance appropriation	-	2,000	628
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Totals Available

Totals Available	\$4,956	\$43,601	\$32,229
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Balance available in subsequent years

Balance available in subsequent years	-	-30,913	-
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TOTALS, EXPENDITURES

TOTALS, EXPENDITURES	\$4,956	\$12,688	\$32,229
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9740 Central Service Cost Recovery Fund**APPROPRIATIONS**

001 Budget Act appropriation

001 Budget Act appropriation	\$1,199	\$538	\$829
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Allocation for Employee Compensation

Allocation for Employee Compensation	-	4	-
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Allocation for Other Post-Employment Benefits

Allocation for Other Post-Employment Benefits	-	1	-
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Allocation for Staff Benefits

Allocation for Staff Benefits	-	2	-
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Budget Position Transparency

Budget Position Transparency	-	15	-
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0650 Office of Planning and Research - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
Expenditure by Category Redistribution	-	-15	-
Section 3.60 Pension Contribution Adjustment	-	2	-
Totals Available	\$1,199	\$547	\$829
TOTALS, EXPENDITURES	\$1,199	\$547	\$829
Total Expenditures, All Funds, (State Operations)	\$22,871	\$71,827	\$57,168
2 LOCAL ASSISTANCE	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$10,000	-
101 Budget Act appropriation as added by Chapter 1, Statutes of 2019	29,979	-	-
Adjustment per Control Section 1.50 for Tribal Challenge Program (SO & LA)	-	2,000	-
Correction to 0650-029-BBA-2020-GB: Adjustment per Control Section 1.50 for Tribal Challenge Program (SO & LA)	-	-2,000	-
102 Budget Act appropriation	-	20,000	9,420
Transfer to 0650-594-0001 for Program Administration Costs per Provision 1 of Item 0650-102-0001, Budget Act of 2019	-	-920	-
Totals Available	\$29,979	\$29,080	\$9,420
TOTALS, EXPENDITURES	\$29,979	\$29,080	\$9,420
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$32,024	\$26,000	\$50,089
Adjustment per Control Section 28.00 for AmeriCorps	-	15,781	-
Adjustment per Control Section 28.00 for Federal Funds Received from the Department of Defense for CASCADE, CASCADE II, and CaMEO Camp Programs	-	4,448	-
Totals Available	\$32,024	\$46,229	\$50,089
TOTALS, EXPENDITURES	\$32,024	\$46,229	\$50,089
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	-	\$2,000	-
TOTALS, EXPENDITURES	-	\$2,000	-
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$60,000	-
Transfer to Item 0650-001-3228 for Program Administration Costs per Provision 2 of Item 0650-101-3228 Budget Act of 2019	-	-3,000	-
Health and Safety Code section 39719(b)(1)(C)	626,969	447,800	452,000
Transfer from Item 0650-601-3228 to Land Resource Protection pursuant to Public Resources Code 75200.3 and Health and Safety Code 39719(b)(1)(C)	-	-61,157	-
Transfer to 2240-501-3228 Pursuant to Public Resources Code 75200.3 and Health and Safety Code 39719(b)(1)(C)	-	-4,408	-
Update to Greenhouse Gas Reduction Fund Estimates for 2019-20 and 2020-21	-	57,200	-
Prior Year Balances Available:			
Item 0650-101-3228, BA of 2017 as added by Chapter 249, Statutes of 2017 as reappropriated by Item 0650-491, BA of 2018, Item 0650-492, BA of 2019, and Item 0650-490, BA of 2020	-	-	8,400
Item 0650-101-3228, BA of 2017 as added by Chapter 249, Statutes of 2017, as reappropriated by Item 0650-491, BA of 2018, Item 0650-492, BA of 2019, and Item 0650-490, BA of 2020	1,100	8,400	-
Item 0650-101-3228, Budget Act of 2016 as added by Chapter 370, Statutes of 2016 and as reappropriated by Item 0650-491, Budget Act of 2018	133,000	-	-
Item 0650-101-3228, Budget Act of 2018 as reappropriated by Item 0650-492, Budget Act of 2019 and by Item 0650-490, Budget Act of 2020	-	38,000	38,000
Totals Available	\$761,069	\$542,835	\$498,400

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0650 Office of Planning and Research - Continued

2 LOCAL ASSISTANCE	2018-19*	2019-20*	2020-21*
Balance available in subsequent years	-	-46,400	-
TOTALS, EXPENDITURES	\$761,069	\$496,435	\$498,400
Total Expenditures, All Funds, (Local Assistance)	\$823,072	\$573,744	\$557,909
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$845,943	\$645,571	\$615,077

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	47.5	56.5	54.5	\$3,757	\$4,965	\$4,509
Budget Position Transparency	-	12.9	14.9	-	920	1,376
Salary and Other Adjustments	-	-	-	843	800	215
Workload and Administrative Adjustments						
California Transportation Plan Assessment (AB 285)						
Sr Projs Analyst - Office of Plan & Research (Limited Term 06-30-2023)	-	-	1.0	-	-	112
California Volunteers Emergency Support Function-17 and AmeriCorps Funding Strategy						
Asst Intergovtl Program Analyst	-	-	11.0	-	-	715
Info Tech Spec I	-	-	1.0	-	-	88
Sr Projs Analyst - Office of Plan & Research	-	-	1.0	-	-	112
Sr Staff Analyst I	-	-	3.0	-	-	260
Staff Svcs Mgr I	-	-	2.0	-	-	176
California Volunteers Infrastructure Strategy						
Asst Intergovtl Program Analyst	-	-	6.0	-	-	390
Sr Projs Analyst - Office of Plan & Research	-	-	1.0	-	-	112
Sr Staff Analyst I	-	-	3.0	-	-	258
Legislative and Legal Staff Increase						
Sr Intergovtl Program Analyst	-	-	1.0	-	-	83
Sr Projs Analyst - Office of Plan & Research	-	-	1.0	-	-	112
Withdraw Governor's Budget Proposal and Delay AmeriCorps Infrastructure Expansion						
Asst Intergovtl Program Analyst	-	-	-6.0	-	-	-390
Sr Projs Analyst - Office of Plan & Research	-	-	-1.0	-	-	-112
Sr Staff Analyst I	-	-	-3.0	-	-	-258
Withdraw Legislative and Legal Staff Increase						
Sr Intergovtl Program Analyst	-	-	-1.0	-	-	-83
Sr Projs Analyst - Office of Plan & Research	-	-	-1.0	-	-	-112
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	19.0	\$-	\$-	\$1,463
Totals, Adjustments	-	12.9	33.9	\$843	\$1,720	\$3,054
TOTALS, SALARIES AND WAGES	47.5	69.4	88.4	\$4,600	\$6,685	\$7,563

0690 Office of Emergency Services

The principal objective of the Office of Emergency Services (Cal OES) is to protect lives and property, build capabilities, and support our communities for a resilient California. The Cal OES Director serves as both the Governor's Homeland Security Advisor and Emergency Management Director, with responsibility to implement and facilitate the state's homeland security and

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0690 Office of Emergency Services - Continued

counter-terrorism strategy. Cal OES serves the public through effective collaboration in preparing for, protecting against, responding to, recovering from, and mitigating the impacts of hazards and threats.

Cal OES provides leadership, assistance, training, and support to state and local agencies and coordinates with federal agencies in planning and preparing for the most effective use of federal, state, local, and private sector resources in emergencies. This emergency planning is based upon a system of mutual aid whereby a jurisdiction relies first on its own resources, and then requests assistance from its neighbors. Cal OES' plans and programs are coordinated with those of the federal government, other states, private sector, utilities, and state and local agencies within California.

During an emergency, Cal OES functions as the Governor's immediate staff to provide guidance and coordinate the state's responsibilities under the Emergency Services Act and applicable federal statutes. It also acts as the conduit for federal assistance through natural disaster grants and federal agency support. Additionally, Cal OES is responsible for the development and coordination of a comprehensive state strategy related to all hazards, including terrorism, which includes prevention, preparedness, mitigation, response, and recovery.

Cal OES implements the state's homeland security strategy by overseeing the California Cybersecurity Integration Center and the State Threat Assessment System (STAS), which includes management of the State Threat Assessment Center. The STAS assists in the detection, prevention, and investigation of and response to criminal and terrorist activity and also facilitates information and intelligence sharing between local, state, federal, tribal agencies, and private sector partners.

The Budget reflects the transfer of the Alfred E. Alquist Seismic Safety Commission to the Office of Emergency Services beginning in 2020-21.

Because Cal OES' programs drive a need for infrastructure investment, Cal OES has a capital outlay program to support this need. For the specifics on Cal OES' capital outlay program, see "Infrastructure Overview."

3-YEAR EXPENDITURES AND POSITIONS †

		Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0380	Emergency Management Services	191.3	231.6	243.6	\$119,829	\$126,416	\$113,644
0385	Special Programs and Grant Management	328.7	331.6	331.1	1,886,629	3,511,653	1,365,410
0390	Alfred E. Alquist Seismic Safety Commission	-	-	6.0	-	-	2,518
0395	Public Safety Communications	401.5	362.4	372.3	189,103	272,214	261,809
9900100	Administration	138.6	166.2	166.2	21,752	26,023	27,247
9900200	Administration - Distributed	-	-	-	-21,752	-26,023	-27,247
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		1,060.1	1,091.8	1,119.2	\$2,195,561	\$3,910,283	\$1,743,381
FUNDING					2018-19*	2019-20*	2020-21*
0001	General Fund				\$556,458	\$1,291,939	\$321,742
0022	State Emergency Telephone Number Account				108,627	114,687	163,833
0028	Unified Program Account				626	957	958
0029	Nuclear Planning Assessment Special Account				2,417	3,905	3,586
0217	Insurance Fund				-	-	1,300
0437	State Assistance For Fire Equipment Account				4	100	100
0890	Federal Trust Fund				1,406,856	2,385,074	1,128,196
0903	State Penalty Fund				8,890	9,511	9,513
0942	Special Deposit Fund				-	-	700
0995	Reimbursements				4,575	13,238	5,228
3034	Antiterrorism Fund				805	846	847
3228	Greenhouse Gas Reduction Fund				25,026	1,175	1,176
3361	California Earthquake Safety Fund				-	-	17,283
6061	Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006				381	2,873	2,874
8039	Disaster Resistant Communities Account				-	207	207

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0690 Office of Emergency Services - Continued

FUNDING	2018-19*	2019-20*	2020-21*
8069 Child Victims of Human Trafficking Fund	172	-	-
8093 California Sexual Violence Victim Services Fund	12	250	250
8104 California Domestic Violence Victims Fund	236	250	250
9751 Public Safety Communications Revolving Fund	80,476	85,271	85,338
TOTALS, EXPENDITURES, ALL FUNDS	\$2,195,561	\$3,910,283	\$1,743,381

† Fiscal year 2018-19 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2018-19 ending fund balance will be reflected as a prior year adjustment in the 2021-22 Governor's Budget publication.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 1, Chapter 7 and Chapter 12; Government Code, Title 2, Division 3, Part 6.5; Government Code, Title 5, Division 2, Part 1, Chapter 1, Article 6; Penal Code, Part 4, Title 6, Chapter 3.

MAJOR PROGRAM CHANGES

- Community Power Resiliency—The Budget includes \$50 million one-time General Fund for additional preparedness measures to bolster community resiliency. Building on the state’s 2019-20 power-resiliency investments, critical services still vulnerable to power outage events include schools, county election offices, and food storage reserves.
- California Earthquake Early Warning System—The Budget includes \$17.3 million California Earthquake Safety Fund in 2020-21, which relies on a one-time \$17.3 million School Land Bank Fund loan while ongoing revenue options continue to be evaluated and pursued. These funds will support the system operations and program management, an education and outreach campaign, and research and development to expand earthquake mitigation uses.
- California Cybersecurity Integration Center—The Budget includes three-year limited-term funding of \$7.6 million General Fund in 2020-21 and \$8.1 million in 2021-22 and 2022-23 to support additional capacity within the California Cybersecurity Integration Center, consistent with the requirements of Government Code section 8586.5. This funding is part of a collaborative effort between the Department of Technology, Office of Emergency Services, California Military Department, and the California Highway Patrol.
- Wildfire Forecast and Threat Intelligence Integration Center (SB 209)—The Budget includes \$2 million ongoing General Fund for OES—in conjunction with the Department of Forestry and Fire Protection, California Public Utilities Commission, and California Military Department—to establish and operate the Wildfire Forecast and Threat Intelligence Integration Center, consistent with the requirements of Chapter 405, Statutes of 2019 (SB 209).
- Transfer of the Alfred E. Alquist Seismic Safety Commission to the Office of Emergency Services—The Budget reflects the transfer of the Commission, its six positions, and associated funding to Cal OES beginning in 2020-21. To support this transfer, the Budget includes \$2.5 million (\$503,000 General Fund) in 2020-21 and \$2.4 million ongoing (\$351,000 General Fund). As part of the state’s broader preparedness efforts, the Commission will be better positioned to provide a coordinated framework for establishing earthquake safety policies and recommendations, and tracking the state’s progress toward higher levels of seismic safety. The Budget also proposes a reduction in the number of appointed Commissioners from 20 to 15 and an expansion of the entities required to provide updates to the Commission on earthquake preparedness and seismic safety activities.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0690 Office of Emergency Services - Continued

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Change Proposals						
• Community Power Resiliency	\$-	\$-	-	\$50,000	\$-	-
• California Disaster Assistance Act Adjustment	-	-	-	38,203	-	-
• California Cybersecurity Integration Center	-	-	-	7,585	-	12.0
• Pacific Gas and Electric Company Operational Observer Contract	-	-	-	5,000	-	-
• Wildfire Forecast and Threat Intelligence Integration Center (SB 209)	-	-	-	2,000	-	-
• Relocation of Resources Building Communication Site	-	-	-	929	-	-
• Seismic Safety Commission Transfer	-	-	-	503	2,015	6.0
• Sexual Assault: Medical Evidentiary Examinations (AB 538)	-	-	-	310	-	-
• California Earthquake Early Warning System	-	-	-	-	17,283	-
• Telecommunications Community Isolation Outages (SB 670)	-	-	-	-	311	1.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$104,530	\$19,609	19.0
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	5,682	13,381	-	5,719	13,340	-
• Other Post-Employment Benefit Adjustments	370	742	-	370	742	-
• Attorney General Services Rate Increases	60	29	-	72	35	-
• Nuclear Planning Assessment Special Account Consumer Price Index Technical Adjustment	-	-	-	-	90	-
• Control Section 28.00 - Hurricane Florence Emergency Management Assistance Compact	-	353	-	-	-	-
• Control Section 8.5: Federal Disaster Assistance Cost Reimbursement	-	1,245,000	-	-	-	-
• Executive Order E 19/20 - 159: Mission Tasking Appropriation Transfer	-571	-	-	-	-	-
• Executive Order E 19/20 - 112 & 134: Mission Tasking Appropriation Transfer	-3,073	-	-	-	-	-
• Executive Order E 19/20 - 143: COVID-19 Disaster Response-Emergency Operations Account Transfer	306,822	-	-	-	-	-
• Executive Order E 19/20 - 144: COVID-19 Control Section 36.00	188,178	-	-	-	-	-
• Executive Order E 19/20 - 155: Public Safety Power Shutoff State Allocation	-16,750	-	-	-	-	-
• Executive Order E 19/20 - 160: COVID-19 Disaster Response-Emergency Operations Account Transfer	104,693	-	-	-	-	-
• Salary Adjustments	1,879	2,925	-	1,874	2,918	-
• Benefit Adjustments	753	1,211	-	803	1,340	-
• Retirement Rate Adjustments	541	1,099	-	541	1,099	-
• SWCAP	-	-	-	-	106	-
• Legislation with an Appropriation	22,500	-	-	-	-	-
• Miscellaneous Baseline Adjustments	232,727	19,484	-	-	-	-
• Lease Revenue Debt Service Adjustment	-4	-	-	-886	-	-
• Budget Position Transparency	-5,682	-13,381	-54.8	-5,719	-13,340	-56.5
Totals, Other Workload Budget Adjustments	\$838,125	\$1,270,843	-54.8	\$2,774	\$6,330	-56.5
Totals, Workload Budget Adjustments	\$838,125	\$1,270,843	-54.8	\$107,304	\$25,939	-37.5
Totals, Budget Adjustments	\$838,125	\$1,270,843	-54.8	\$107,304	\$25,939	-37.5

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0690 Office of Emergency Services - Continued

0690 Office of Emergency Services - Continued**Program 0385 - Victim Services Projects, Local Assistance**

Component	Program Name	Source of Funds	Actual Expenditures 2018-19*	Estimated Expenditures 2019-20*	Proposed Expenditures 2020-21*
Public Safety / Victim Services					
0385.101	Victim-Witness Assistance	0903 State Penalty Fund	5,894	5,894	5,894
0385.102	Victims' Legal Resource Center	0001 General Fund	37	37	37
0385.151	Domestic Violence ^{1/}	0001 General Fund	30,426	20,602	20,602
0385.151	Domestic Violence ^{1/}	0890 Federal Trust Fund	8,855	8,510	8,510
0385.152	Family Violence Prevention	0001 General Fund	5,043	2,545	45
0385.161	Violence Against Women Act	0890 Federal Trust Fund	13,591	14,300	14,300
0385.301	Rape Crisis ^{1/}	0001 General Fund	-	2,545	45
0385.301	Rape Crisis ^{1/}	0903 State Penalty Fund	1,710	1,710	1,710
0385.351	Homeless Youth ^{1/}	0001 General Fund	1,356	7,026	356
0385.351	Homeless Youth ^{1/}	0903 State Penalty Fund	344	344	344
0385.352	Youth Emergency Telephone Refer	0001 General Fund	314	314	314
0385.353	Child Sexual Abuse & Exploitation	0903 State Penalty Fund	115	115	115
0385.354	Child Sexual Abuse Prevention/Trng	0001 General Fund	272	272	272
0385.451	Victims of Crime Act	0890 Federal Trust Fund	179,043	282,000	282,000
0385.504	Project Safe Neighborhoods	0890 Federal Trust Fund	-	700	700
0385.523	Forensic Science Improvement Act	0890 Federal Trust Fund	3,262	2,090	2,090
0385.541	Public Pros/Pub Defender Training ^{1/}	0001 General Fund	-	250	-
0385.541	Public Pros/Pub Defender Training ^{1/}	0903 State Penalty Fund	450	450	450
0385.902	Child Justice Act	0890 Federal Trust Fund	960	1,800	1,800
0385.908	Internet Crimes Against Children	0001 General Fund	5,000	5,000	5,000
0385.911	Child Victims of Human Trafficking	8069 Child Victims Human Traff Fund	172	-	-
0385.912	Human Trafficking Victims Assistance	0001 General Fund	10,000	10,000	10,000
0385.913	CA Sexual Violence Victim Services	8093 CA Sexual Violence Victim Services Fund	-	250	250
0385.918	California Domestic Violence Victims Program	8104 California Domestic Violence Victims Fund	235	250	250
0385.920	Improving Outcomes for Child & Youth Victims	0890 Federal Trust Fund	660	-	-
0385.921	Family Justice Centers	0001 General Fund	10,000	-	-
0385.923	STOP School Violence	0890 Federal Trust Fund	-	450	-
Public Safety / Victim Services Total			\$277,739	\$367,454	\$355,084
Total, Program 0385-Victim Services Projects, Local Assistance			\$277,739	\$367,454	\$355,084

^{1/}Program has multiple funding sources.

0690 Office of Emergency Services - Continued

PROGRAM DESCRIPTIONS

0380 - EMERGENCY MANAGEMENT SERVICES

Working through the state's mutual aid system, the Emergency Management Services and Homeland Security Program coordinates the provision of federal, state, and local resources to jurisdictions whose resources and services are overextended in a disaster situation. In addition, this program develops and coordinates a comprehensive state strategy related to an all-hazards approach that includes prevention, preparedness, mitigation, response, and recovery consistent with the National Strategy on Homeland Security. Central to this effort is maintaining operational readiness at all levels of government. Within this program, Cal OES also trains emergency managers, responders, and state and local leaders in preparedness and response to all hazards.

0385 - SPECIAL PROGRAMS AND GRANT MANAGEMENT

Cal OES serves as the State Administering Agent for federal homeland security, emergency management, and victim services grants and is the primary liaison with the Federal Emergency Management Agency, the United States Department of Homeland Security, and other federal agencies. Additionally, Cal OES serves as an advocate for local public safety, emergency management, and public and private agencies operating public safety and victim service programs. As part of its grant management role, Cal OES administers the California Disaster Assistance Act and provides eligible local agencies with financial assistance to recover from disasters. Included in this program is the State Threat Assessment Center, which is the centerpiece of the state's intelligence information sharing environment and serves as the Governor's designated primary fusion center.

0390 - ALFRED E. ALQUIST SEISMIC SAFETY COMMISSION

The Alfred E. Alquist Seismic Safety Commission program provides a coordinated framework for establishing consistent earthquake policies; advising the Governor, the Legislature, local governments, and the public; and tracking the state's progress toward higher levels of seismic safety. The Commission uses the expertise of its commissioners to review, evaluate, and translate scientific information and make recommendations to guide and influence earthquake safety policies. The Commission works with federal, state, and local agencies, as well as the private sector, on a variety of activities that support the state's earthquake preparedness, mitigation, response, and recovery. These activities include: (1) developing and reviewing seismic safety projects; (2) providing consistent policy direction; (3) proposing and reviewing earthquake-related legislation; (4) conducting public hearings on seismic safety issues; (5) using existing knowledge and conducting studies, where necessary, to improve the performance of structures in California, (6) recommending earthquake safety programs and supporting cost-effective partnerships that help reduce earthquake risks and speed economic recovery.

0395 - PUBLIC SAFETY COMMUNICATIONS

The Public Safety Communications program is responsible for the design, installation, maintenance, and repair of the state's public safety communications networks and oversight of the state's 9-1-1 system. The program is dedicated to the preservation and protection of human life and public safety by delivering reliable and dependable communication services that keep the public connected during times of crisis.

9900 - ADMINISTRATION AND EXECUTIVE

The Administration and Executive Program provides the overall policy direction of Cal OES and support services such as accounting, fiscal, personnel, information technology, and business services.

DETAILED EXPENDITURES BY PROGRAM [†]

		2018-19*	2019-20*	2020-21*
PROGRAM REQUIREMENTS				
0380	EMERGENCY MANAGEMENT SERVICES			
State Operations:				
0001	General Fund	\$38,199	\$60,347	\$55,629
0028	Unified Program Account	626	957	958
0029	Nuclear Planning Assessment Special Account	694	1,298	1,314
0437	State Assistance For Fire Equipment Account	4	100	100
0890	Federal Trust Fund	22,135	23,386	23,338
0995	Reimbursements	4,565	13,218	5,193
3034	Antiterrorism Fund	294	728	729
3228	Greenhouse Gas Reduction Fund	25,026	1,175	1,176

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0690 Office of Emergency Services - Continued

			2018-19*	2019-20*	2020-21*
8039	Disaster Resistant Communities Account		-	207	207
	Totals, State Operations		\$91,543	\$101,416	\$88,644
	Local Assistance:				
0001	General Fund		\$28,286	\$25,000	\$25,000
	Totals, Local Assistance		\$28,286	\$25,000	\$25,000
	PROGRAM REQUIREMENTS				
0385	SPECIAL PROGRAMS AND GRANT MANAGEMENT				
	State Operations:				
0001	General Fund		\$256,884	\$882,293	\$38,487
0890	Federal Trust Fund		62,211	65,673	65,692
0903	State Penalty Fund		383	998	1,000
0995	Reimbursements		10	20	20
3034	Antiterrorism Fund		11	118	118
3361	California Earthquake Safety Fund		-	-	17,283
6061	Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006		381	2,873	2,874
	Totals, State Operations		\$319,880	\$951,975	\$125,474
	Local Assistance:				
0001	General Fund		\$233,089	\$263,442	\$189,485
0029	Nuclear Planning Assessment Special Account		1,723	2,607	2,272
0890	Federal Trust Fund		1,322,510	2,284,616	1,039,166
0903	State Penalty Fund		8,507	8,513	8,513
3034	Antiterrorism Fund		500	-	-
8069	Child Victims of Human Trafficking Fund		172	-	-
8093	California Sexual Violence Victim Services Fund		12	250	250
8104	California Domestic Violence Victims Fund		236	250	250
	Totals, Local Assistance		\$1,566,749	\$2,559,678	\$1,239,936
	PROGRAM REQUIREMENTS				
0390	ALFRED E. ALQUIST SEISMIC SAFETY COMMISSION				
	State Operations:				
0001	General Fund		\$-	\$-	\$503
0217	Insurance Fund		-	-	1,300
0942	Special Deposit Fund		-	-	700
0995	Reimbursements		-	-	15
	Totals, State Operations		\$-	\$-	\$2,518
	PROGRAM REQUIREMENTS				
0395	PUBLIC SAFETY COMMUNICATIONS				
	State Operations:				
0001	General Fund		\$-	\$60,857	\$12,638
0022	State Emergency Telephone Number Account		13,021	-29,584	21,442
0890	Federal Trust Fund		-	1,140	-
9751	Public Safety Communications Revolving Fund		80,476	85,271	85,338
	Totals, State Operations		\$93,497	\$117,684	\$119,418
	Local Assistance:				
0022	State Emergency Telephone Number Account		\$95,606	\$144,271	\$142,391
0890	Federal Trust Fund		-	10,259	-
	Totals, Local Assistance		\$95,606	\$154,530	\$142,391
	SUBPROGRAM REQUIREMENTS				

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0690 Office of Emergency Services - Continued

		2018-19*	2019-20*	2020-21*
9900100	Administration			
	State Operations:			
0001	General Fund	\$21,752	\$26,023	\$27,247
	Totals, State Operations	\$21,752	\$26,023	\$27,247
	SUBPROGRAM REQUIREMENTS			
9900200	Administration - Distributed			
	State Operations:			
0001	General Fund	-\$21,752	-\$26,023	-\$27,247
	Totals, State Operations	-\$21,752	-\$26,023	-\$27,247
	TOTALS, EXPENDITURES			
	State Operations	504,920	1,171,075	336,054
	Local Assistance	1,690,641	2,739,208	1,407,327
	Totals, Expenditures	\$2,195,561	\$3,910,283	\$1,743,381

† Fiscal year 2018-19 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2018-19 ending fund balance will be reflected as a prior year adjustment in the 2021-22 Governor's Budget publication.

EXPENDITURES BY CATEGORY †

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES						
Baseline Positions	1,034.6	1,146.6	1,156.7	\$101,583	\$105,114	\$107,680
Budget Position Transparency	-	-54.8	-56.5	-	-19,063	-19,059
Other Adjustments	25.5	-	19.0	-2,619	4,886	7,263
Net Totals, Salaries and Wages	1,060.1	1,091.8	1,119.2	\$98,964	\$90,937	\$95,884
Staff Benefits	-	-	-	38,256	45,384	48,084
Totals, Personal Services	1,060.1	1,091.8	1,119.2	\$137,220	\$136,321	\$143,968
OPERATING EXPENSES AND EQUIPMENT				\$366,207	\$1,026,063	\$192,086
SPECIAL ITEMS OF EXPENSES				1,493	8,691	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$504,920	\$1,171,075	\$336,054

2 Local Assistance	Expenditures		
	2018-19*	2019-20*	2020-21*
Consulting and Professional Services - External - Other	\$37,142	\$-	\$-
Goods - Other	289,985	-16,750	-
Grants and Subventions - Governmental	1,058,256	2,755,958	1,385,753
Indirect Distributed Cost	-1,260	-	-
Other Items of Expense - Miscellaneous	24,399	-	21,574
Other Special Items of Expense	282,119	-	-
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$1,690,641	\$2,739,208	\$1,407,327

0690 Office of Emergency Services - Continued

[†] Fiscal year 2018-19 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2018-19 ending fund balance will be reflected as a prior year adjustment in the 2021-22 Governor's Budget publication.

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS [†]

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$288,766	\$94,711	\$100,763
Allocation for Employee Compensation	-	1,842	-
Allocation for Other Post-Employment Benefits	-	364	-
Allocation for Staff Benefits	-	742	-
Attorney General Services Rate Increases	-	60	-
Budget Position Transparency	-	-5,682	-
Executive Order E 19/20 - 143: COVID-19 Disaster Response-Emergency Operations Account Transfer	-	306,822	-
Executive Order E 19/20 - 144: COVID-19 Control Section 36.00	-	188,178	-
Executive Order E 19/20 - 160: COVID-19 Disaster Response-Emergency Operations Account Transfer	-	104,693	-
Expenditure by Category Redistribution	-	5,682	-
Section 3.60 Pension Contribution Adjustment	-	535	-
003 Budget Act appropriation	5,456	5,458	5,480
004 Budget Act appropriation	861	953	1,014
Allocation for Employee Compensation	-	37	-
Allocation for Other Post-Employment Benefits	-	6	-
Allocation for Staff Benefits	-	11	-
Section 3.60 Pension Contribution Adjustment	-	6	-
006 Budget Act appropriation	-	20,000	-
Executive Order E 19/20 - 112 & 134: Mission Tasking Appropriation Transfer	-	-3,073	-
Executive Order E 19/20 - 159: Mission Tasking Appropriation Transfer	-	-571	-
011 Budget Act appropriation (Loan to State Emergency Telephone Number Account) as added by Chapter 1, Statutes of 2019	(10,000)	(-)	(-)
011 Budget Act appropriation (transfer to State Emergency Telephone Number Account)	-	50,000	-
Prior Year Balances Available:			
Item 0690-001-0001, Budget Act of 2018	-	232,727	-
Totals Available	\$295,083	\$1,003,501	\$107,257
Unexpended balance, estimated savings	-	-4	-
TOTALS, EXPENDITURES	\$295,083	\$1,003,497	\$107,257
0022 State Emergency Telephone Number Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$13,021	\$20,127	\$21,442
Allocation for Employee Compensation	-	136	-
Allocation for Other Post-Employment Benefits	-	38	-
Allocation for Staff Benefits	-	57	-
Budget Position Transparency	-	-809	-
Expenditure by Category Redistribution	-	809	-
Section 3.60 Pension Contribution Adjustment	-	58	-
Totals Available	\$13,021	\$20,416	\$21,442
TOTALS, EXPENDITURES	\$13,021	\$20,416	\$21,442
Less funding provided by General Fund	-	-50,000	-

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0690 Office of Emergency Services - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
NET TOTALS, EXPENDITURES	\$13,021	-\$29,584	\$21,442
0028 Unified Program Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$626	\$910	\$958
Allocation for Employee Compensation	-	25	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	10	-
Section 3.60 Pension Contribution Adjustment	-	7	-
Totals Available	\$626	\$957	\$958
TOTALS, EXPENDITURES	\$626	\$957	\$958
0029 Nuclear Planning Assessment Special Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$694	\$1,210	\$1,314
Allocation for Employee Compensation	-	54	-
Allocation for Other Post-Employment Benefits	-	8	-
Allocation for Staff Benefits	-	17	-
Section 3.60 Pension Contribution Adjustment	-	9	-
Totals Available	\$694	\$1,298	\$1,314
TOTALS, EXPENDITURES	\$694	\$1,298	\$1,314
0217 Insurance Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$1,300
TOTALS, EXPENDITURES	-	-	\$1,300
0347 School Land Bank Fund			
APPROPRIATIONS			
011 Budget Act appropriation (loan to California Earthquake Safety Fund)	-	-	(\$17,283)
TOTALS, EXPENDITURES	-	-	-
0437 State Assistance For Fire Equipment Account			
APPROPRIATIONS			
Government Code section 8589.16	\$4	\$100	\$100
Totals Available	\$4	\$100	\$100
TOTALS, EXPENDITURES	\$4	\$100	\$100
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$84,346	\$86,627	\$89,030
Allocation for Employee Compensation	-	1,245	-
Allocation for Other Post-Employment Benefits	-	299	-
Allocation for Staff Benefits	-	481	-
Budget Position Transparency	-	-4,696	-
Control Section 28.00 - Next Generation 9-1-1 Federal Grant Program	-	1,140	-
Expenditure by Category Redistribution	-	4,696	-
Section 3.60 Pension Contribution Adjustment	-	407	-
Totals Available	\$84,346	\$90,199	\$89,030
TOTALS, EXPENDITURES	\$84,346	\$90,199	\$89,030
0903 State Penalty Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$383	\$959	\$1,000
Allocation for Employee Compensation	-	17	-
Allocation for Other Post-Employment Benefits	-	6	-
Allocation for Staff Benefits	-	8	-

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0690 Office of Emergency Services - Continued

	2018-19*	2019-20*	2020-21*
1 STATE OPERATIONS			
Section 3.60 Pension Contribution Adjustment	-	8	-
Totals Available	\$383	\$998	\$1,000
TOTALS, EXPENDITURES	\$383	\$998	\$1,000
0942 Special Deposit Fund			
APPROPRIATIONS			
Government Code section 16370	-	-	\$700
TOTALS, EXPENDITURES	-	-	\$700
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$4,575	\$13,238	\$5,228
TOTALS, EXPENDITURES	\$4,575	\$13,238	\$5,228
3034 Antiterrorism Fund			
APPROPRIATIONS			
010 Budget Act appropriation	\$305	\$798	\$847
Allocation for Employee Compensation	-	30	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	9	-
Section 3.60 Pension Contribution Adjustment	-	4	-
Totals Available	\$305	\$846	\$847
TOTALS, EXPENDITURES	\$305	\$846	\$847
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$25,026	\$1,140	\$1,176
Allocation for Employee Compensation	-	15	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	8	-
Section 3.60 Pension Contribution Adjustment	-	7	-
TOTALS, EXPENDITURES	\$25,026	\$1,175	\$1,176
3361 California Earthquake Safety Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$17,283
TOTALS, EXPENDITURES	-	-	\$17,283
6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$381	\$2,832	\$2,874
Allocation for Employee Compensation	-	18	-
Allocation for Other Post-Employment Benefits	-	6	-
Allocation for Staff Benefits	-	9	-
Section 3.60 Pension Contribution Adjustment	-	8	-
Totals Available	\$381	\$2,873	\$2,874
TOTALS, EXPENDITURES	\$381	\$2,873	\$2,874
8039 Disaster Resistant Communities Account			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$207	\$207
Totals Available	-	\$207	\$207
TOTALS, EXPENDITURES	-	\$207	\$207
9751 Public Safety Communications Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$80,476	\$82,479	\$85,338

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0690 Office of Emergency Services - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
Allocation for Employee Compensation	-	1,270	-
Allocation for Other Post-Employment Benefits	-	349	-
Allocation for Staff Benefits	-	576	-
Attorney General Services Rate Increases	-	29	-
Budget Position Transparency	-	-7,876	-
Expenditure by Category Redistribution	-	7,876	-
Section 3.60 Pension Contribution Adjustment	-	568	-
Totals Available	\$80,476	\$85,271	\$85,338
TOTALS, EXPENDITURES	\$80,476	\$85,271	\$85,338
Total Expenditures, All Funds, (State Operations)	\$504,920	\$1,171,075	\$336,054
2 LOCAL ASSISTANCE	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$112,660	\$99,641	\$61,981
Chapter 363, Statutes of 2019 (SB 109)	-	12,500	-
103 Budget Act appropriation	-	15,000	-
103 Budget Act appropriation as added by Chapter 1, Statutes of 2019	20,000	-	-
104 Budget Act appropriation	-	75,000	50,000
Executive Order E 19/20 - 155: Public Safety Power Shutoff State Allocation	-	-16,750	-
Chapter 363, Statutes of 2019 (SB 109)	-	10,000	-
112 Budget Act appropriation	127,237	91,364	100,817
115 Budget Act appropriation	1,478	1,687	1,687
Totals Available	\$261,375	\$288,442	\$214,485
TOTALS, EXPENDITURES	\$261,375	\$288,442	\$214,485
0022 State Emergency Telephone Number Account			
APPROPRIATIONS			
101 Budget Act appropriation	\$95,606	\$144,271	\$142,391
TOTALS, EXPENDITURES	\$95,606	\$144,271	\$142,391
0029 Nuclear Planning Assessment Special Account			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,723	\$2,197	\$2,272
Past Year Carryover Adjustments	-	410	-
Totals Available	\$1,723	\$2,607	\$2,272
TOTALS, EXPENDITURES	\$1,723	\$2,607	\$2,272
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,112,892	\$729,766	\$729,766
Control Section 28.00 - Next Generation 9-1-1 Federal Grant Program	-	10,259	-
Control Section 8.5: Federal Disaster Assistance Cost Reimbursement	-	1,245,000	-
102 Budget Act appropriation	209,618	309,850	309,400
Totals Available	\$1,322,510	\$2,294,875	\$1,039,166
TOTALS, EXPENDITURES	\$1,322,510	\$2,294,875	\$1,039,166
0903 State Penalty Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$8,507	\$8,513	\$8,513
Totals Available	\$8,507	\$8,513	\$8,513
TOTALS, EXPENDITURES	\$8,507	\$8,513	\$8,513
3034 Antiterrorism Fund			

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0690 Office of Emergency Services - Continued

2 LOCAL ASSISTANCE	2018-19*	2019-20*	2020-21*
APPROPRIATIONS			
101 Budget Act appropriation	\$500	-	-
TOTALS, EXPENDITURES	\$500	-	-
8069 Child Victims of Human Trafficking Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$172	-	-
TOTALS, EXPENDITURES	\$172	-	-
8093 California Sexual Violence Victim Services Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$12	\$250	\$250
Totals Available	\$12	\$250	\$250
TOTALS, EXPENDITURES	\$12	\$250	\$250
8104 California Domestic Violence Victims Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$236	\$250	\$250
Totals Available	\$236	\$250	\$250
TOTALS, EXPENDITURES	\$236	\$250	\$250
Total Expenditures, All Funds, (Local Assistance)	\$1,690,641	\$2,739,208	\$1,407,327
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$2,195,561	\$3,910,283	\$1,743,381

† Fiscal year 2018-19 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2018-19 ending fund balance will be reflected as a prior year adjustment in the 2021-22 Governor's Budget publication.

FUND CONDITION STATEMENTS †

	2018-19*	2019-20*	2020-21*
0022 State Emergency Telephone Number Account^s			
BEGINNING BALANCE	\$36,653	\$241	\$7,342
Prior Year Adjustments	10,971	-	-
Adjusted Beginning Balance	\$47,624	\$241	\$7,342
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4140500 Emergency Telephone User's Surcharge	54,045	126,274	192,410
4171100 Cost Recoveries - Other	1	2	2
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	-34	2	-
Transfers and Other Adjustments			
Loan from the General Fund (0001) to the State Emergency Telephone Number Account (0022), per Item 0690-011-0001, Budget Act 2018 (AB 72)	10,000	-	-
Revenue Transfer from Prepaid MTS 911 Account (3266) to State Emergency Telephone Number Account (0022) per Chapter 885, Statutes of 2014 (AB 1717) Section 8 Revenue and Taxation Code 42023 (a)	2,603	1,408	-
Total Revenues, Transfers, and Other Adjustments	\$66,615	\$127,686	\$192,412
Total Resources	\$114,239	\$127,927	\$199,754
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0690 Office of Emergency Services (State Operations)	13,021	20,416	21,442
0690 Office of Emergency Services (Local Assistance)	95,606	144,271	142,391
3540 Department of Forestry and Fire Protection (State Operations)	3,815	3,815	3,815

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0690 Office of Emergency Services - Continued

	2018-19*	2019-20*	2020-21*
7600 California Department of Tax and Fee Administration (State Operations)	943	1,735	1,719
8880 Financial Information System for California (State Operations)	1	-1	-
9892 Supplemental Pension Payments (State Operations)	44	95	95
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	568	254	1,487
Less funding provided by General Fund (State Operations)	-	-50,000	-
Total Expenditures and Expenditure Adjustments	\$113,998	\$120,585	\$170,949
FUND BALANCE	<hr/>	<hr/>	<hr/>
Reserve for economic uncertainties	241	7,342	28,805
0029 Nuclear Planning Assessment Special Account^s			
BEGINNING BALANCE	\$1,214	\$312	-
Prior Year Adjustments	-1,226	-	-
Adjusted Beginning Balance	<hr/>	<hr/>	<hr/>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	3,584	4,702	\$4,803
Total Revenues, Transfers, and Other Adjustments	<hr/>	<hr/>	<hr/>
Total Resources	\$3,572	\$5,014	\$4,803
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0690 Office of Emergency Services (State Operations)	694	1,298	1,314
0690 Office of Emergency Services (Local Assistance)	1,723	2,607	2,272
4265 Department of Public Health (State Operations)	759	1,003	1,004
8880 Financial Information System for California (State Operations)	-	-1	-
9892 Supplemental Pension Payments (State Operations)	14	39	39
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	70	68	77
Total Expenditures and Expenditure Adjustments	<hr/>	<hr/>	<hr/>
FUND BALANCE	\$312	-	\$97
Reserve for economic uncertainties	312	-	97
0241 Local Public Prosecutors and Public Defenders Training Fund^s			
BEGINNING BALANCE	\$973	\$999	\$1,020
Adjusted Beginning Balance	<hr/>	<hr/>	<hr/>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	26	22	22
Total Revenues, Transfers, and Other Adjustments	<hr/>	<hr/>	<hr/>
Total Resources	\$999	\$1,021	\$1,042
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
9892 Supplemental Pension Payments (State Operations)	-	1	1
Total Expenditures and Expenditure Adjustments	<hr/>	<hr/>	<hr/>
FUND BALANCE	\$999	\$1,020	\$1,041
Reserve for economic uncertainties	999	1,020	1,041
0375 Disaster Response-Emergency Operations Account, Special Fund for Economic Uncertainties^s			
BEGINNING BALANCE	\$23	\$23	\$23
Adjusted Beginning Balance	<hr/>	<hr/>	<hr/>
Total Resources	\$23	\$23	\$23
FUND BALANCE	<hr/>	<hr/>	<hr/>
Reserve for economic uncertainties	23	23	23
0425 Victim - Witness Assistance Fund^s			
BEGINNING BALANCE	-	\$6	\$8

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0690 Office of Emergency Services - Continued

	2018-19*	2019-20*	2020-21*
Prior Year Adjustments	\$2	-	-
Adjusted Beginning Balance	<u>\$2</u>	<u>\$6</u>	<u>\$8</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4131500 Felony Conviction Penalties	4	2	2
Total Revenues, Transfers, and Other Adjustments	<u>\$4</u>	<u>\$2</u>	<u>\$2</u>
Total Resources	<u>\$6</u>	<u>\$8</u>	<u>\$10</u>
FUND BALANCE	<u>\$6</u>	<u>\$8</u>	<u>\$10</u>
Reserve for economic uncertainties	6	8	10
0437 State Assistance For Fire Equipment Account^s			
BEGINNING BALANCE	\$1,861	\$2,185	\$2,845
Prior Year Adjustments	<u>8</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	<u>\$1,869</u>	<u>\$2,185</u>	<u>\$2,845</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	200	-	-
4170400 Capital Asset Sales Proceeds	120	760	100
Total Revenues, Transfers, and Other Adjustments	<u>\$320</u>	<u>\$760</u>	<u>\$100</u>
Total Resources	<u>\$2,189</u>	<u>\$2,945</u>	<u>\$2,945</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0690 Office of Emergency Services (State Operations)	4	100	100
Total Expenditures and Expenditure Adjustments	<u>\$4</u>	<u>\$100</u>	<u>\$100</u>
FUND BALANCE	<u>\$2,185</u>	<u>\$2,845</u>	<u>\$2,845</u>
Reserve for economic uncertainties	2,185	2,845	2,845
0903 State Penalty Fund^N			
BEGINNING BALANCE	\$1,019	\$10,786	\$20,512
Prior Year Adjustments	<u>-5,653</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	<u>-\$4,634</u>	<u>\$10,786</u>	<u>\$20,512</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129000 Other Fees and Licenses	-	2	-
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	-	68	-
4172240 Fines and Penalties - External - Other	84,085	78,916	72,541
Transfers and Other Adjustments			
Revenue Transfer from the State Penalty Fund (0903) to the Fish and Game Preservation Fund (0200) per Penal Code 1464	-450	-450	-450
Revenue Transfer from the State Penalty Fund (0903) to the Restitution Fund (0214) per Item 7870-011-0903	-6,534	-6,534	-6,534
Revenue Transfer from the State Penalty Fund (0903) to the Traumatic Brain Injury Fund (0311) per Item 5160-011-0903	-800	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$76,301</u>	<u>\$72,002</u>	<u>\$65,557</u>
Total Resources	<u>\$71,667</u>	<u>\$82,788</u>	<u>\$86,069</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0690 Office of Emergency Services (State Operations)	383	998	1,000
0690 Office of Emergency Services (Local Assistance)	8,507	8,513	8,513
0820 Department of Justice (State Operations)	123	129	129
0820 Department of Justice (Local Assistance)	2,354	2,354	2,354
0840 State Controller (State Operations)	1,554	1,623	1,625
8120 Commission on Peace Officer Standards and Training (State Operations)	33,937	31,829	31,844
8120 Commission on Peace Officer Standards and Training (Local Assistance)	12,919	13,428	13,428

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0690 Office of Emergency Services - Continued

	2018-19*	2019-20*	2020-21*
9892 Supplemental Pension Payments (State Operations)	43	573	573
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	1,061	2,829	3,131
Total Expenditures and Expenditure Adjustments	\$60,881	\$62,276	\$62,597
FUND BALANCE	\$10,786	\$20,512	\$23,472
Reserve for economic uncertainties	10,786	20,512	23,472
3034 Antiterrorism Fund^s			
BEGINNING BALANCE	\$1,951	\$2,216	\$1,728
Prior Year Adjustments	14	-	-
Adjusted Beginning Balance	\$1,965	\$2,216	\$1,728
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4115600 Motor Vehicles - Other Fees	842	871	-
4142500 License Plate Fees - Personalized Plates	24	78	893
Transfers and Other Adjustments			
Revenue Transfer from California Memorial Scholarship Fund (3033) to the Antiterrorism Fund (3034) per Education Code Section 70010.7 (Chapter 38 Statutes of 2002)	817	-	-
Total Revenues, Transfers, and Other Adjustments	\$1,683	\$949	\$893
Total Resources	\$3,648	\$3,165	\$2,621
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0690 Office of Emergency Services (State Operations)	305	846	847
0690 Office of Emergency Services (Local Assistance)	500	-	-
8570 Department of Food and Agriculture (State Operations)	534	534	534
9892 Supplemental Pension Payments (State Operations)	7	6	6
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	86	51	44
Total Expenditures and Expenditure Adjustments	\$1,432	\$1,437	\$1,431
FUND BALANCE	\$2,216	\$1,728	\$1,190
Reserve for economic uncertainties	2,216	1,728	1,190
3075 Unlawful Sales Reduction Fund^s			
BEGINNING BALANCE	\$85	\$85	\$85
Adjusted Beginning Balance	\$85	\$85	\$85
Total Resources	\$85	\$85	\$85
FUND BALANCE	\$85	\$85	\$85
Reserve for economic uncertainties	85	85	85
3112 Equality in Prevention and Services for Domestic Abuse Fund^s			
BEGINNING BALANCE	\$52	\$61	\$68
Adjusted Beginning Balance	\$52	\$61	\$68
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	9	7	7
Total Revenues, Transfers, and Other Adjustments	\$9	\$7	\$7
Total Resources	\$61	\$68	\$75
FUND BALANCE	\$61	\$68	\$75
Reserve for economic uncertainties	61	68	75
3260 Regional Railroad Accident Preparedness and Immediate Response Fund^s			
BEGINNING BALANCE	\$1,233	\$1,325	\$1,271
Prior Year Adjustments	558	-	-
Adjusted Beginning Balance	\$1,791	\$1,325	\$1,271
Total Resources	\$1,791	\$1,325	\$1,271
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			

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0690 Office of Emergency Services - Continued

	2018-19*	2019-20*	2020-21*
9892 Supplemental Pension Payments (State Operations)	-	7	7
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	466	47	-
Total Expenditures and Expenditure Adjustments	<u>\$466</u>	<u>\$54</u>	<u>\$7</u>
FUND BALANCE	<u>\$1,325</u>	<u>\$1,271</u>	<u>\$1,264</u>
Reserve for economic uncertainties	1,325	1,271	1,264
3266 Prepaid MTS 911 Account^s			
BEGINNING BALANCE	-	\$1	\$1
Adjusted Beginning Balance	-	\$1	\$1
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4140500 Emergency Telephone User's Surcharge	\$55	-	-
Transfers and Other Adjustments			
Revenue Transfer from Prepaid MTS 911 Account (3266) to State Emergency Telephone Number Account (0022) per Chapter 885, Statutes of 2014 (AB 1717) Section 8 Revenue and Taxation Code Section 42023 (a)	-2,603	-1,408	-
Revenue Transfer from Prepaid Mobile Telephony Services Surcharge Fund (3251) to Prepaid MTS 911 Account (3266) per Revenue and Taxation Code Section 42023(a)	2,549	1,408	-
Total Revenues, Transfers, and Other Adjustments	<u>\$1</u>	<u>-</u>	<u>-</u>
Total Resources	<u>\$1</u>	<u>\$1</u>	<u>\$1</u>
FUND BALANCE	<u>\$1</u>	<u>\$1</u>	<u>\$1</u>
Reserve for economic uncertainties	1	1	1
3361 California Earthquake Safety Fund^s			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments			
Loan from the School Land Bank Fund (0347) to the California Earthquake Safety Fund (3361) per Item 0690-011-0347 Budget Act 2020	-	-	17,283
Total Revenues, Transfers, and Other Adjustments	<u>-</u>	<u>-</u>	<u>\$17,283</u>
Total Resources	<u>-</u>	<u>-</u>	<u>\$17,283</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0690 Office of Emergency Services (State Operations)	-	-	17,283
Total Expenditures and Expenditure Adjustments	<u>-</u>	<u>-</u>	<u>\$17,283</u>
FUND BALANCE	<u>-</u>	<u>-</u>	<u>-</u>

[†] Fiscal year 2018-19 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2018-19 ending fund balance will be reflected as a prior year adjustment in the 2021-22 Governor's Budget publication.

CHANGES IN AUTHORIZED POSITIONS[†]

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	1,034.6	1,146.6	1,156.7	\$101,583	\$105,114	\$107,680
Budget Position Transparency	-	-54.8	-56.5	-	-19,063	-19,059
Salary and Other Adjustments	25.5	-	-	-2,619	4,886	4,794
Workload and Administrative Adjustments						
California Cybersecurity Integration Center						
Exec Asst	-	-	1.0	-	-	39

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0690 Office of Emergency Services - Continued

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Info Tech Mgr II	-	-	2.0	-	-	178
Info Tech Spec II	-	-	3.0	-	-	222
Info Tech Spec III	-	-	6.0	-	-	489
Overtime	-	-	-	-	-	68
California Earthquake Early Warning System						
Various	-	-	-	-	-	333
FISCAL Resources						
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
Relocation of Resources Building Communication Site						
Various (Limited Term 07-01-2022)	-	-	-	-	-	128
Seismic Safety Commission Transfer						
	-	-	-	-	-	-
Assoc Govt Program Analyst	-	-	1.0	-	-	73
Executive Director	-	-	1.0	-	-	168
Research Data Analyst II	-	-	1.0	-	-	77
Sr Structural Engr	-	-	1.0	-	-	158
Staff Svcs Mgr II (Supvry)	-	-	1.0	-	-	104
Structural Engring Assoc	-	-	1.0	-	-	115
Temporary Help	-	-	-	-	-	211
Various	-	-	-	-	-	15
Telecommunications Community Isolation Outages (SB 670)						
Overtime	-	-	-	-	-	12
Telecomms Sys Mgr I (Spec)	-	-	1.0	-	-	79
Wildfire Forecast and Threat Intelligence Integration Center (SB 209)						
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
Wildfire Mitigation Financial Assistance Pilot Program (AB 38)						
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	19.0	\$-	\$-	\$2,469
Totals, Adjustments	25.5	-54.8	-37.5	\$-2,619	\$-14,177	\$-11,796
TOTALS, SALARIES AND WAGES	1,060.1	1,091.8	1,119.2	\$98,964	\$90,937	\$95,884

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0690 Office of Emergency Services - Continued

[†] Fiscal year 2018-19 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2018-19 ending fund balance will be reflected as a prior year adjustment in the 2021-22 Governor's Budget publication.

INFRASTRUCTURE OVERVIEW

The Office of Emergency Services' infrastructure includes a headquarters facility, an administrative building, and an Inland Regional Operations Center in Sacramento County; a Coastal Regional Operations Center in Fairfield in Solano County; a Southern Regional Operations Center located at Los Alamitos Joint Forces Training Base in Orange County; the California Specialized Training Institute at Camp San Luis Obispo; and various small field offices throughout the state.

The Public Safety Communications division (PSC) is comprised of a main leased complex in Sacramento and 45 field locations throughout the state. These locations include 8 area offices and 37 area shops, positioned geographically to facilitate maintenance and installation services to remote communication sites and customers throughout the state. In addition, the PSC maintains and operates 11 communications vaults/towers and a total of more than 3,500 radio frequency points of presence.

SUMMARY OF PROJECTS

		State Building Program Expenditures	2018-19*	2019-20*	2020-21*
0405		CAPITAL OUTLAY Projects			
0000121	Relocation of Red Mountain Communications Site, Del Norte County		553	18,160	2,835
	Acquisition		-	1,517	-
	Preliminary Plans		553	-	-
	Working Drawings		-	1,261	979
	Construction		-	15,382	-
	Equipment		-	-	1,856
0000985	Sacramento: Fire Apparatus Maintenance Shop and General Purpose Warehouse		-	2,200	-
	Acquisition		-	2,200	-
TOTALS, EXPENDITURES, ALL PROJECTS			\$553	\$20,360	\$2,835
FUNDING		2018-19*	2019-20*	2020-21*	
0001	General Fund	\$553	\$20,360	\$2,835	
TOTALS, EXPENDITURES, ALL FUNDS		\$553	\$20,360	\$2,835	

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

3 CAPITAL OUTLAY	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
301 Budget Act appropriation		-	\$3,717
Prior Year Balances Available:			
Item 0690-301-0001, Budget Act of 2015 as reappropriated by Item 0690-491, Budget Acts of 2016, 2017, 2018, and 2019, and as reverted by Item 0690-495, Budget Act of 2016	-	1,261	-
Item 0690-301-0001, Budget Act of 2017 as reappropriated by Item 0690-491, Budget Act of 2020	553	1,856	1,856
Item 0690-301-0001, Budget Act of 2018	-	15,382	-
Totals Available	\$553	\$22,216	\$2,835
Balance available in subsequent years	-	-1,856	-
TOTALS, EXPENDITURES	\$553	\$20,360	\$2,835
Total Expenditures, All Funds, (Capital Outlay)	\$553	\$20,360	\$2,835

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0720 Governor's Portrait

These funds are budgeted for the traditional painting of the Governor's portrait. The portrait will be displayed in the State Capitol Building along with the portraits of all Governors of California.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0410 Governor's Portrait	-	-	-	\$-	\$25	\$-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$-	\$25	\$-
FUNDING				2018-19*	2019-20*	2020-21*
0001 General Fund				\$-	\$25	\$-
TOTALS, EXPENDITURES, ALL FUNDS				\$-	\$25	\$-

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Legislation with an Appropriation	\$25	\$-	-	\$-	\$-	-
Totals, Other Workload Budget Adjustments	\$25	\$-	-	\$-	\$-	-
Totals, Workload Budget Adjustments	\$25	\$-	-	\$-	\$-	-
Totals, Budget Adjustments	\$25	\$-	-	\$-	\$-	-

DETAILED EXPENDITURES BY PROGRAM

		2018-19*			2019-20*			2020-21*		
		PROGRAM REQUIREMENTS	GOVERNOR'S PORTRAIT	State Operations:	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
0410										
0001	General Fund				\$-			\$25		\$-
	Totals, State Operations				\$-			\$25		\$-
	TOTALS, EXPENDITURES									
	State Operations				-			25		-
	Totals, Expenditures				\$-			\$25		\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0001 General Fund			
Prior Year Balances Available:			
Item 0720-001-0001, Budget Act of 2018 as reappropriated by Item 0720-490, Budget Act of 2019	-	25	-
Totals Available	-	\$25	-
TOTALS, EXPENDITURES	-	\$25	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0720 Governor's Portrait - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
Total Expenditures, All Funds, (State Operations)	\$0	\$25	\$0

0730 Governor Elect and Outgoing Governor

These funds are to provide assistance to the Governor-elect and the outgoing Governor, during the transition period following the election, in carrying out the duties described under the provisions of Government Code Sections 12015 and 12015.5.

The law provides that state agencies furnish to the Governor-elect any information or assistance necessary in the preparation of the annual state budget and for the orderly transfer of the executive power. This gives the Governor-elect approximately two months to formulate policies and to incorporate them in the various programs included in the Budget, which will be transmitted to the Legislature.

The law also provides for the outgoing Governor to appoint persons for up to a two-month period, to assist in concluding matters arising from official duties during the last term of office.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0420 Transition Funding	2.4	-	-	\$680	\$-	\$-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	2.4	-	-	\$680	\$-	\$-
FUNDING				2018-19*	2019-20*	2020-21*
0001 General Fund				\$680	\$-	\$-
TOTALS, EXPENDITURES, ALL FUNDS				\$680	\$-	\$-

LEGAL CITATIONS AND AUTHORITY

Government Code Sections 12015 and 12015.5.

DETAILED EXPENDITURES BY PROGRAM

	PROGRAM REQUIREMENTS	2018-19*			2019-20*			2020-21*		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*	2018-19*	2019-20*	2020-21*
0420 TRANSITION FUNDING										
State Operations:										
0001 General Fund				\$680			\$-		\$-	
Totals, State Operations				\$680			\$-		\$-	
TOTALS, EXPENDITURES										
State Operations				680			-		-	
Totals, Expenditures				\$680			\$-		\$-	

EXPENDITURES BY CATEGORY

	1 State Operations	Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES							
Other Adjustments		2.4	-	-	332	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0730 Governor Elect and Outgoing Governor - Continued

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Net Totals, Salaries and Wages	2.4	-	-	\$332	\$-	\$-
Staff Benefits	-	-	-	56	-	-
Totals, Personal Services	2.4	-	-	\$388	\$-	\$-
OPERATING EXPENSES AND EQUIPMENT				\$292	\$-	\$-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$680	\$-	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$680	-	-
Totals Available	\$680	-	-
TOTALS, EXPENDITURES	\$680	-	-
Total Expenditures, All Funds, (State Operations)	\$680	\$0	\$0

0750 Office of the Lieutenant Governor

Under California's Constitution, the Lieutenant Governor serves as Acting Governor whenever the Governor is absent from the state, and automatically becomes Governor if a vacancy occurs in the Office of Governor. The Lieutenant Governor is also President of the Senate and votes in case of a tie.

The Lieutenant Governor serves as a voting member of the Board of Regents of the University of California and a voting member of the Board of Trustees of the California State University system.

The Lieutenant Governor also serves on, and rotates with the State Controller, as chair of the three-member State Lands Commission, which oversees the control and leasing of millions of acres of state-owned land, including offshore oil resources, as well as use and permitting for all navigable waterways in California. The Commission also manages state land-use planning and revenues, and related interstate issues. During alternate years, when the Lieutenant Governor serves as Chairperson of the State Lands Commission, the Lieutenant Governor also serves as a member of the Ocean Protection Council and as a non-voting member of the California Coastal Commission.

In addition, under state statutes, the Lieutenant Governor chairs the California Commission for Economic Development, which provides support and guidance to the Governor, Legislature, and private sector regarding the development of California's economy.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0430 General Activities	7.8	10.9	10.9	\$1,329	\$2,099	\$2,100
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	7.8	10.9	10.9	\$1,329	\$2,099	\$2,100
FUNDING	2018-19*		2019-20*		2020-21*	
0001 General Fund	\$1,329		\$2,099		\$2,100	
TOTALS, EXPENDITURES, ALL FUNDS	\$1,329		\$2,099		\$2,100	

LEGAL CITATIONS AND AUTHORITY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0750 Office of the Lieutenant Governor - Continued**DEPARTMENT AUTHORITY**

California Constitution, Article V, Sections 9 and 10, and Article IX, Section 9; Education Code Section 66602; Government Code Sections 8704, 8575, 14999-14999.8, and 15364.2; Public Resources Code Section 6101; Unemployment Insurance Code Section 15036; Vehicle Code Section 2600.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$213	\$-	-	\$180	\$-	-
• Other Post-Employment Benefit Adjustments	8	-	-	8	-	-
• Salary Adjustments	32	-	-	32	-	-
• Retirement Rate Adjustments	18	-	-	18	-	-
• Benefit Adjustments	14	-	-	15	-	-
• Budget Position Transparency	-213	-	-0.1	-180	-	-0.1
Totals, Other Workload Budget Adjustments	\$72	\$-	-0.1	\$73	\$-	-0.1
Totals, Workload Budget Adjustments	\$72	\$-	-0.1	\$73	\$-	-0.1
Totals, Budget Adjustments	\$72	\$-	-0.1	\$73	\$-	-0.1

DETAILED EXPENDITURES BY PROGRAM

		2018-19*		2019-20*		2020-21*	
		PROGRAM REQUIREMENTS	GENERAL ACTIVITIES	State Operations:	General Fund	TOTALS, EXPENDITURES	State Operations
0430	0001	General Fund			\$1,329	\$2,099	\$2,100
		Totals, State Operations			\$1,329	\$2,099	\$2,100
		TOTALS, EXPENDITURES					
		State Operations			1,329	2,099	2,100
		Totals, Expenditures			\$1,329	\$2,099	\$2,100

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES						
Baseline Positions	7.0	11.0	11.0	\$830	\$1,330	\$1,330
Budget Position Transparency	-	-0.1	-0.1	-	-213	-180
Other Adjustments	0.8	-	-	-94	32	32
Net Totals, Salaries and Wages	7.8	10.9	10.9	\$736	\$1,149	\$1,182
Staff Benefits	-	-	-	198	474	458
Totals, Personal Services	7.8	10.9	10.9	\$934	\$1,623	\$1,640
OPERATING EXPENSES AND EQUIPMENT				\$395	\$476	\$460
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS				\$1,329	\$2,099	\$2,100

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0750 Office of the Lieutenant Governor - Continued

	1 State Operations	Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
(State Operations)							

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,329	\$2,027	\$2,100
Allocation for Employee Compensation	-	32	-
Allocation for Other Post-Employment Benefits	-	8	-
Allocation for Staff Benefits	-	14	-
Budget Position Transparency	-	-213	-
Expenditure by Category Redistribution	-	213	-
Section 3.60 Pension Contribution Adjustment	-	18	-
Totals Available	\$1,329	\$2,099	\$2,100
TOTALS, EXPENDITURES	\$1,329	\$2,099	\$2,100
Total Expenditures, All Funds, (State Operations)	\$1,329	\$2,099	\$2,100

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	7.0	11.0	11.0	\$830	\$1,330	\$1,330
Budget Position Transparency	-	-0.1	-0.1	-	-213	-180
Salary and Other Adjustments	0.8	-	-	-94	32	32
Totals, Adjustments	0.8	-0.1	-0.1	\$-94	\$-181	\$-148
TOTALS, SALARIES AND WAGES	7.8	10.9	10.9	\$736	\$1,149	\$1,182

0820 Department of Justice

The constitutional office of the Attorney General, as chief law officer of the state, has the responsibility to see that the laws of California are uniformly and adequately enforced. This responsibility is fulfilled through the diverse programs of the Department of Justice.

The Department of Justice is responsible for providing skillful and efficient legal services on behalf of the people of California. The Attorney General represents the people in all matters before the Appellate and Supreme Courts of California and the United States; serves as legal counsel to state officers, boards, commissioners, and departments; represents the people in actions to protect the environment and to enforce consumer, antitrust, and civil laws; and assists district attorneys in the administration of justice. The Department provides oversight, enforcement, education, and regulation of California's firearms/dangerous weapon laws; provides evaluation and analysis of physical evidence; regulates legal gambling activities in California; supports the telecommunications and data processing needs of the California criminal justice community; and pursues projects designed to protect the people of California from fraudulent, unfair, and illegal activities.

Because the Department of Justice's programs drive a need for infrastructure investment, the Department has a capital outlay program to support this need. For the specifics on the Department's capital outlay program see "Infrastructure Overview."

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0820 Department of Justice - Continued**3-YEAR EXPENDITURES AND POSITIONS**

		Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0435	Division of Legal Services	1,650.5	1,686.8	1,718.8	\$465,171	\$546,740	\$558,705
0440	Law Enforcement	941.8	1,046.2	1,052.8	230,215	300,309	287,705
0445	California Justice Information Services	1,024.9	1,082.3	1,120.4	206,281	239,119	245,159
9900100	Administration	897.8	897.2	909.2	116,825	140,665	143,813
9900200	Administration - Distributed	-	-	-	-116,825	-140,665	-143,813
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		4,515.0	4,712.5	4,801.2	\$901,667	\$1,086,168	\$1,091,569
FUNDING					2018-19*	2019-20*	2020-21*
0001	General Fund				\$290,940	\$691,757	\$369,205
0012	Attorney General Antitrust Account				4,841	5,721	11,070
0017	Fingerprint Fees Account				85,780	90,899	94,704
0032	Firearm Safety Account				345	357	357
0044	Motor Vehicle Account, State Transportation Fund				27,303	28,968	28,997
0142	Department of Justice Sexual Habitual Offender Fund				1,769	2,805	2,809
0158	Travel Seller Fund				772	1,484	1,486
0256	Sexual Predator Public Information Account				73	181	181
0367	Indian Gaming Special Distribution Fund				20,384	23,218	23,222
0378	False Claims Act Fund				18,024	17,507	17,460
0460	Dealers Record of Sale Special Account				23,233	24,795	27,300
0566	Department of Justice Child Abuse Fund				441	467	468
0567	Gambling Control Fund				14,942	16,222	16,617
0569	Gambling Control Fines and Penalties Account				255	284	1,008
0641	Domestic Violence Restraining Order Reimbursement Fund				1,018	1,018	1,018
0890	Federal Trust Fund				36,292	43,863	44,054
0903	State Penalty Fund				2,477	2,483	2,483
0942	Special Deposit Fund				153	2,119	2,119
0995	Reimbursements				23,646	46,200	44,610
1008	Firearms Safety and Enforcement Special Fund				10,329	10,306	10,315
3016	Missing Persons DNA Data Base Fund				3,167	3,769	3,772
3053	Public Rights Law Enforcement Special Fund				13,649	19,117	19,074
3086	DNA Identification Fund				47,340	49,916	41,490
3087	Unfair Competition Law Fund				24,239	31,604	34,595
3088	Registry of Charitable Trusts Fund				6,062	6,261	6,259
3240	Secondhand Dealer and Pawnbroker Fund				436	675	675
3285	Electronic Recording Authorization Fund				145	300	300
3297	Major League Sporting Event Raffle Fund				322	704	647
3303	Ammunition Safety and Enforcement Special Fund				8,680	7,181	3,984
3320	Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund				31,295	38,543	34,000
3372	Data Brokers Registry Fund				-	-	360
8071	National Mortgage Special Deposit Fund				-	-331,044	-
9731	Legal Services Revolving Fund				201,321	246,634	245,220
9740	Central Service Cost Recovery Fund				1,994	1,854	1,710
TOTALS, EXPENDITURES, ALL FUNDS					\$901,667	\$1,086,168	\$1,091,569

LEGAL CITATIONS AND AUTHORITY

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0820 Department of Justice - Continued

DEPARTMENT AUTHORITY

California Constitution, Article V, Section 13.

PROGRAM AUTHORITY

0435-Legal Services:

California Constitution, Article V, Section 13; Business and Professions Code Sections 16700, 17200, and 17500; Civil Code Section 51 et seq.; Government Code Sections 4458, 11040 et seq., 11157, 12510 et seq., 12525.5, 12580 et seq., 12600 et seq., 12650 et seq., 12657 et seq., 12989.3, and 16645-16649; Health and Safety Code Sections 19958.5, 25180, 25249.7, and 104555-104558; Penal Code Sections 320.5, 320.6, 1256, 1475, 1548.3, 13012, and 13519.4; and Revenue and Taxation Code Section 30165.1-30165.2.

0440-Law Enforcement:

California Constitution, Article V, Section 13; Business and Professions Code Sections 7583.26, 19800-19807; Penal Code Sections 295, 295.1, 297, 319-337z, 830.1, 832.15, 11006-11054, 11060, 11061, 11061.5, 13511, 14160-14167, 14250, 18900, 26190, 27560, 28225-28245, 29055, 30900-30905, 31005, 31115, 32010, 32015-32025, 32655, 32715, 33305; Government Code Sections 15001.1 and 15001.2; and Health and Safety Code Sections 11100-11103, 11106-11106.7, 11450-11454, 11641, and 11646.

0445-California Justice Information Services:

California Constitution, Article V, Section 13; Government Code Sections 15150-15167 and 27390-27399; and Penal Code Sections 11102.1, 11105, and 14200-14213.

9900-Directorate and Administration:

Government Code Section 12519.

MAJOR PROGRAM CHANGES

- Bureau of Forensic Services Backfill—The Budget includes \$35.8 million one-time (\$25.8 million General Fund and \$10 million Fingerprint Fees Account) to backfill the continued decline in fine and fee revenues in the DNA Identification Fund.
- Erskine Fire Claims Settlement—The Budget includes \$11.6 million one-time General Fund to pay for claims related to the Erskine Wildfire.
- Healthcare Rights and Access Section—The Budget includes \$6.9 million in 2020-21 (\$3.7 million Attorney General Antitrust Account and \$3.2 million Unfair Competition Law Fund) and \$6.7 million annually thereafter (\$3.6 million Attorney General Antitrust Account and \$3.1 million Unfair Competition Law fund) to establish the Healthcare Rights and Access Section to consolidate and centralize healthcare litigation within the Department of Justice.
- Firearms: Precursor Parts (Chapter 730, Statutes of 2019 (AB 879))—The Budget includes \$5.9 million General Fund in 2020-21 and \$8.3 million in 2021-22 to regulate and track the sale of firearm precursor parts. Given the risk that such guns present to public safety, the Budget includes resources to accelerate the implementation of these requirements to July 1, 2022.
- Criminal Records: Automatic Relief (Chapter 578, Statutes of 2019 (AB 1076))—The Budget includes \$3.7 million Fingerprint Fees Account in 2020-21, \$4 million in 2021-22, and \$1.9 million annually thereafter to review records in statewide criminal justice databases to identify persons eligible to have their arrest or criminal conviction records withheld from disclosure and modify existing systems to grant such relief automatically.
- Tax Recovery in the Underground Economy (TRUE) Criminal Enforcement Program—The Budget includes \$3.3 million General Fund in 2020-21 and \$3 million annually thereafter to bolster one of the existing TRUE teams.
- California Law Enforcement Telecommunications System: Immigration (Chapter 789, Statutes of 2019 (AB 1747))—The Budget includes \$2.8 million General Fund in 2020-21, \$2.9 million in 2021-22, and \$2.5 million annually thereafter to conduct investigations and audits to monitor compliance with AB 1747, which limits the use of the California Law Enforcement Telecommunications System for immigration enforcement purposes.
- Firearms Information Technology Systems Modernization—The Budget includes \$2.4 million one-time Dealers Record of Sale Special Account for the initial planning and analysis phase of a new firearms system that will combine 11 existing firearms systems into one comprehensive system. The current systems are antiquated, costly to update, and inefficient at gathering data.
- Firearms: Transfers (Chapter 737, Statutes of 2019 (SB 61))—The Budget includes \$2.1 million Dealers Record of Sale

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0820 Department of Justice - Continued

Special Account in 2020-21, \$1 million in 2021-22, and \$379,000 annually thereafter to implement and enforce the provisions of SB 61 that prohibit the sale of semiautomatic centerfire rifles to any person under 21 years of age, except a law enforcement officer or active duty member of the Armed Forces, and prohibit a person from making an application to purchase more than one semiautomatic centerfire rifle in any 30-day period.

- Firearms Transfer (Chapter 738, Statutes of 2019 (SB 376))—The Budget includes \$981,000 Dealers Record of Sale Special Account in 2020-21, \$306,000 in 2021-22, and \$232,000 annually thereafter to track and report annual firearms sales, loans, and transfers to enforce the provision under SB 376 that reduces the threshold for which a manufacturer's license is required from 100 firearms manufactured annually to 50.
- Replacement of License 2000 System—The Budget includes \$724,000 one-time Gambling Control Fines and Penalties Account for the initial planning and analysis phase of replacing the License 2000 System, which is used by the Bureau of Gambling Control and Gambling Control Commission to manage cardroom licensing, registration, and auditing functions.
- “Other” Firearm Registration—The Budget includes \$128,000 Dealers Record of Sale Special Account in 2020-21, \$862,000 in 2021-22, and \$14,000 annually thereafter to close regulatory loopholes for assault weapons that are not currently defined as a rifle, pistol, or shotgun.
- Sex Offender Registration (Chapter 541, Statutes of 2017 (SB 384)) Funding Alignment—A net change of zero by moving \$4.8 million General Fund expenditures proposed in the Governor’s Budget for 2020-21 and 2021-22 to 2022-23 and 2023-24, to align the funding to implement SB 384 with an updated implementation timeline.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Bureau of Forensic Services Funding Backfill & Equipment Refresh	\$-	\$-	-	\$29,324	\$-5,829	-
• Erskine Fire Claims Settlement	-	-	-	11,624	-	-
• TRUE Criminal Enforcement Program (AB 1296)	-	-	-	5,753	-	23.0
• Firearms: Precursor Parts (AB 879)	-	-	-	5,000	-	-
• TRUE Criminal Enforcement Program	-	-	-	3,334	-	13.0
• California Law Enforcement Telecommunications System: Immigration (AB 1747)	-	-	-	2,845	-	16.0
• Healthcare Rights and Access Section	-	-	-	2,174	6,875	37.0
• Firearms: Precursor Parts (AB 879) Update	-	-	-	928	-	3.0
• Rape Kits Testing (SB 22)	-	-	-	896	-	-
• Worker Status: Employees and Independent Contractors (AB 5)	-	-	-	779	-	4.0
• Firearms: Prohibited Persons (AB 164)	-	-	-	654	-	2.0
• Victims Services Workload	-	-	-	476	-	3.0
• Firearms: Reports to DOJ (SB 172)	-	-	-	379	-	2.0
• Telecommunications: Caller Identification Fraud (AB 1132)	-	-	-	377	-	2.0
• Pretrial release: Risk Assessment Tools (SB 36)	-	-	-	314	-	2.0
• Criminal Justice Data (AB 1331)	-	-	-	161	-	1.0
• Privacy: Data Brokers (AB 1202)	-	-	-	150	510	2.0
• Public Records Act Workload	-	-	-	150	-	1.0
• Cardroom and Third Party Providers of Proposition Player Services Oversight	-	-	-	-	5,436	30.0
• Criminal Records: Automatic Relief (AB 1076)	-	-	-	-	3,637	16.0
• Firearms: Transfers (SB 61)	-	-	-	-	2,440	2.0
• Firearms IT Systems Modernization	-	-	-	-	2,352	-

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0820 Department of Justice - Continued

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Preserving Access to Affordable Drugs (AB 824)	-	-	-	-	1,660	7.0
• Registry of Charitable Trusts Workload	-	-	-	-	1,555	12.0
• Firearms Transfer (SB 376)	-	-	-	-	981	2.0
• Licensing Section Support Staff	-	-	-	-	867	8.0
• Replacement of License 2000 System	-	-	-	-	724	-
• Gambling Control Act (AB 649)	-	-	-	-	379	-
• Personal Information: Data Breaches (AB 1130)	-	-	-	-	375	2.0
• "Other" Firearm Registration	-	-	-	-	128	-
• Technical Adjustment: Criminal Records: Automatic Relief (AB 1076)	-	-	-	-	84	-
• Withdraw Personal Information: Data Breaches (AB 1130)	-	-	-	-	-375	-2.0
• Modify Firearms: Transfers (SB 61)	-	-	-	-	-379	-
• Withdraw Licensing Section Support Staff	-	-	-	-	-867	-8.0
• Withdraw Registry of Charitable Trusts Workload	-	-	-	-	-1,555	-12.0
• Withdraw Cardroom and Third Party Providers of Proposition Player Services Oversight	-	-	-	-	-5,436	-30.0
• Modify California Law Enforcement Telecommunications System: Immigration (AB 1747)	-	-	-	-78	-	-
• Modify Privacy: Data Brokers (AB 1202)	-	-	-	-150	-	-1.0
• Withdraw Public Records Act Workload	-	-	-	-150	-	-1.0
• Withdraw Criminal Justice Data (AB 1331)	-	-	-	-161	-	-1.0
• Withdraw Pretrial Release: Risk Assessment Tools (SB 36)	-	-	-	-314	-	-2.0
• Withdraw Telecommunications: Caller Identification Fraud (AB 1132)	-	-	-	-377	-	-2.0
• Withdraw Firearms: Reports to DOJ (SB 172)	-	-	-	-379	-	-2.0
• Withdraw Victim Services Workload	-	-	-	-476	-	-3.0
• Withdraw Firearms: Prohibited Persons (AB 164)	-	-	-	-654	-	-2.0
• Modify Healthcare Rights and Access Section	-	-	-	-2,174	-	-10.0
• Sex Offender: Registration (SB 384) Funding Alignment	-	-	-	-2,670	-	-
• Modify Bureau of Forensic Services Funding Backfill & Equipment Refresh	-	-	-	-3,560	-	-
• Withdraw TRUE Criminal Enforcement Program (AB 1296)	-	-	-	-5,753	-	-23.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$48,422	\$13,562	91.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	2,199	2,535	-	2,199	2,535	-
• Central Service Function Cost Realignment	-	-	-	135	-135	-
• Attorney General Services Rate Increases	5,661	-	-	-	-	-
• National Mortgage Settlement (EO 19/20-79)	331,044	-331,044	-	-	-	-
• Salary Adjustments	10,769	11,462	-	9,455	9,616	-
• Benefit Adjustments	3,989	4,377	-	4,274	4,731	-
• Retirement Rate Adjustments	2,843	5,383	-	2,843	5,383	-
• SWCAP	-	-	-	-	191	-
• Budget Position Transparency	-	-	-124.7	-	-	-124.7
• Carryover/Reappropriation	1,814	-	-	-	-	-
• Miscellaneous Baseline Adjustments	195	-12,882	48.3	-	-9,017	46.0

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0820 Department of Justice - Continued

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Lease Revenue Debt Service Adjustment	-159	-	-	-280	-	-
Totals, Other Workload Budget Adjustments	\$358,355	\$-320,169	-76.4	\$18,626	\$13,304	-78.7
Totals, Workload Budget Adjustments	\$358,355	\$-320,169	-76.4	\$67,048	\$26,866	12.3
Totals, Budget Adjustments	\$358,355	\$-320,169	-76.4	\$67,048	\$26,866	12.3

PROGRAM DESCRIPTIONS**0435 - LEGAL SERVICES**

Legal Services is organized into three elements: (1) Civil Law, (2) Criminal Law, and (3) Public Rights.

Civil Law represents the State of California and its officers, agencies, departments, boards, commissions, and employees in civil matters. It provides advice to these clients, defends cases brought against them, and prosecutes cases to vindicate state interests. Deputy Attorneys General in Civil Law are responsible for managing and litigating cases before administrative tribunals, and in both state and federal courts at the trial level and on appeal, including appeals before the United States and California Supreme Courts. Deputies work in one of nine sections: Business and Tax; Cannabis Control; Correctional Law; Employment and Administrative Mandates; Government Law; Health, Education, and Welfare; Health Quality Enforcement; Licensing; or Tort and Condemnation.

Criminal Law represents the People of the State of California in criminal matters before the Appellate and Supreme courts. Criminal Law also fulfills the Attorney General's responsibilities of assisting district attorneys in cases for which they are recused, conducting criminal investigations, representing the Governor, Board of Parole Hearings, and California Department of Corrections and Rehabilitation in state and federal habeas corpus cases and appeals, and other proceedings relating to parole decisions and conditions of confinement in the state prisons, and defending criminal judgements in state and federal habeas corpus matters. Additional responsibilities include enforcing the Political Reform Act, advising the Governor on extradition matters, investigating and prosecuting Medi-Cal provider fraud, investigating and prosecuting the abuse or neglect of elder and dependent adults residing in long-term health care facilities, and investigating, prosecuting, and coordinating litigation involving white-collar crimes, high-tech/computer/privacy crimes, financial crimes against the elderly, human trafficking, environmental crimes, and public corruption.

Public Rights protects and preserves the public's interests by providing legal services to state agencies and Constitutional Officers and by bringing actions in the name of the Attorney General to protect the public. Public Rights provides specialized services in the following areas: Civil Rights Enforcement (including Worker's Rights and Fair Labor Bureau and the Bureau of Children's Justice); Charitable Trusts (including the Registry of Charitable Trusts); Natural Resources Law; Corporate Fraud (including False Claims, Energy and Corporate Responsibility); Healthcare Rights and Access; Indian and Gaming Law; Environment Law (including the Bureau of Environmental Justice); Land Law; Consumer Law (including Sellers of Travel and Privacy Enforcement and Protection); Antitrust Law; and Tobacco Litigation and Enforcement.

0440 - LAW ENFORCEMENT

The Division of Law Enforcement is organized into five elements: (1) Bureau of Firearms, (2) Bureau of Forensic Services, (3) Bureau of Gambling Control, (4) Bureau of Investigation, and (5) the Office of the Chief.

The Bureau of Firearms provides oversight, enforcement, education, and regulation of California's firearms and ammunitions laws by administering 35 different state-mandated firearms and ammunition-related programs. It is accomplished by conducting firearm and ammunition eligibility checks, conducting compliance inspections at firearm dealers, ammunition vendors, and manufacturers, and by providing valuable training to stakeholders statewide. Special Agents conduct investigations on armed and prohibited persons and other investigations resulting in the seizure of firearms, magazines, and ammunition. Agents also conduct investigations to monitor and prevent illegal firearm trafficking at permitted gun shows in accordance with state and federal laws.

The Bureau of Forensic Services (BFS) provides evaluation and analysis of physical evidence, including crime scene investigation and expert court testimony to federal, state and local law enforcement agencies, district attorneys, and courts, by operating 11 specialized laboratories that serve 46 counties as well as a forensic training facility. BFS maintains the state DNA laboratory database, which compiles DNA profiles of sex and violent offenders and felony arrestees.

The Bureau of Gambling Control regulates legal gambling activities in California to ensure gambling is conducted honestly and is free from criminal and corruptive elements. This is accomplished by investigating the qualifications of individuals and

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0820 Department of Justice - Continued

business entities who apply for state gambling licenses and monitoring the conduct of these licensees to ensure compliance with the Gambling Control Act and applicable regulations. The Bureau's Special Agents conduct criminal investigations in and around tribal casinos and California cardrooms. In addition, the Bureau conducts audits and reviews for tribal gaming to ensure that each tribe is in compliance with all aspects of the state gaming compact.

The Bureau of Investigation is the premiere investigative bureau that is responsible for investigating and dismantling criminal organizations, as well as assisting with the prosecution of serious criminal offenses which present a significant and multi-jurisdictional threat to California. The Bureau prioritizes investigations related to transnational criminal organizations involved in gangs, as well as cases involving environmental crimes, public corruption, major white-collar fraud, underground economy, recycle fraud, foreign prosecution, human trafficking and sexual predator apprehension crimes. In addition, the Bureau serves a principal role in providing leadership, coordination, and support to law enforcement through multi-agency drug, gang and major crimes task forces statewide.

The Office of the Chief enhances public safety by providing training, technical, and administrative support to the investigative, regulatory and forensic components of the Division of Law Enforcement and other criminal justice agencies. The Office serves as the policy-making and oversight body for its four operational bureaus.

0445 - CALIFORNIA JUSTICE INFORMATION SERVICES

The California Justice Information Services Division provides criminal justice intelligence, information, and identification services to law enforcement, regulatory agencies, and the public. Five major functional areas carry out these primary services: 1) The Bureau of Criminal Identification & Investigative Services consolidates the identification, investigative, and field services functions, providing information and technical assistance on manual and automated systems including the fingerprint identification system and the violent crime information system; 2) The Bureau of Criminal Information and Analysis consolidates the functions related to the authorization, release, and use of criminal offender record information for law enforcement investigatory and regulatory purposes; 3) The Hawkins Data Center operates the Criminal Justice Information System and the California Law Enforcement Telecommunications System, as well as provides IT services for the Attorney General's Office; 4) the Operations Support Program provides business resumption planning and administrative support and oversight; and 5) the Department of Justice Research Center, within the Operations Support Program, oversees statistical models and engages in the analysis of data to provide evidence-based research.

9900 - DIRECTORATE AND ADMINISTRATION

The Directorate and the Administration Division of the Department of Justice consists of the Division of Operations and the Attorney General's Executive Office. The Executive Office maintains the overall direction and administration of the diverse programs and projects of the department, including the Equal Employment Rights and Resolution Office, the Office of Program Oversight and Accountability, the Opinions Unit, the Solicitor General's Unit, the Office of Legislative Affairs, the Office of Communications and the Public Inquiry Unit. In addition, the Division of Operations provides support functions essential to the department's operations, including fiscal, personnel, and specialized services such as legal secretarial support, litigation support, and legal case management services.

DETAILED EXPENDITURES BY PROGRAM

	PROGRAM REQUIREMENTS	2018-19*	2019-20*	2020-21*
0435	DIVISION OF LEGAL SERVICES			
	State Operations:			
0001	General Fund	\$167,035	\$520,476	\$196,251
0012	Attorney General Antitrust Account	4,800	5,678	11,027
0158	Travel Seller Fund	759	1,471	1,473
0367	Indian Gaming Special Distribution Fund	2,195	2,392	2,377
0378	False Claims Act Fund	16,623	15,974	15,925
0567	Gambling Control Fund	439	479	476
0890	Federal Trust Fund	33,139	33,829	33,962
0995	Reimbursements	974	1,342	1,342
3053	Public Rights Law Enforcement Special Fund	6,616	11,260	11,214
3087	Unfair Competition Law Fund	24,239	31,604	34,595
3088	Registry of Charitable Trusts Fund	5,740	5,920	5,918

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0820 Department of Justice - Continued

			2018-19*	2019-20*	2020-21*
3297	Major League Sporting Event Raffle Fund		322	382	366
3320	Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund		1,280	5,184	3,550
8071	National Mortgage Special Deposit Fund		-	-331,044	-
9731	Legal Services Revolving Fund		199,016	239,939	238,519
9740	Central Service Cost Recovery Fund		1,994	1,854	1,710
	Totals, State Operations		\$465,171	\$546,740	\$558,705
	SUBPROGRAM REQUIREMENTS				
0435010	Civil Law				
	State Operations:				
0001	General Fund		\$15,773	\$23,369	\$17,746
9731	Legal Services Revolving Fund		163,937	191,445	190,283
9740	Central Service Cost Recovery Fund		1,697	1,450	1,307
	Totals, State Operations		\$181,407	\$216,264	\$209,336
	SUBPROGRAM REQUIREMENTS				
0435019	Criminal Law				
	State Operations:				
0001	General Fund		\$107,608	\$115,371	\$116,153
0378	False Claims Act Fund		7,563	6,604	6,592
0890	Federal Trust Fund		33,139	33,829	33,962
0995	Reimbursements		974	1,342	1,342
3320	Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund		81	1,971	1,350
9731	Legal Services Revolving Fund		10,675	12,164	12,115
	Totals, State Operations		\$160,040	\$171,281	\$171,514
	SUBPROGRAM REQUIREMENTS				
0435028	Public Rights				
	State Operations:				
0001	General Fund		\$43,654	\$381,736	\$62,352
0012	Attorney General Antitrust Account		4,800	5,678	11,027
0158	Travel Seller Fund		759	1,471	1,473
0367	Indian Gaming Special Distribution Fund		2,195	2,392	2,377
0378	False Claims Act Fund		9,060	9,370	9,333
0567	Gambling Control Fund		439	479	476
3053	Public Rights Law Enforcement Special Fund		6,616	11,260	11,214
3087	Unfair Competition Law Fund		24,239	31,604	34,595
3088	Registry of Charitable Trusts Fund		5,740	5,920	5,918
3297	Major League Sporting Event Raffle Fund		322	382	366
3320	Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund		1,199	3,213	2,200
8071	National Mortgage Special Deposit Fund		-	-331,044	-
9731	Legal Services Revolving Fund		24,404	36,330	36,121
9740	Central Service Cost Recovery Fund		297	404	403
	Totals, State Operations		\$123,724	\$159,195	\$177,855
	PROGRAM REQUIREMENTS				
0440	LAW ENFORCEMENT				
	State Operations:				
0001	General Fund		\$49,033	\$79,102	\$82,262
0012	Attorney General Antitrust Account		27	29	29
0032	Firearm Safety Account		345	357	357

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0820 Department of Justice - Continued

		2018-19*	2019-20*	2020-21*
0142	Department of Justice Sexual Habitual Offender Fund	656	1,006	1,007
0367	Indian Gaming Special Distribution Fund	17,834	20,449	20,468
0378	False Claims Act Fund	857	957	958
0460	Dealers Record of Sale Special Account	20,662	16,869	17,327
0567	Gambling Control Fund	14,503	15,743	16,141
0569	Gambling Control Fines and Penalties Account	228	256	256
0890	Federal Trust Fund	1,694	6,264	6,288
0903	State Penalty Fund	123	129	129
0942	Special Deposit Fund	153	2,119	2,119
0995	Reimbursements	19,353	36,682	36,686
1008	Firearms Safety and Enforcement Special Fund	10,329	10,306	10,315
3016	Missing Persons DNA Data Base Fund	3,167	3,769	3,772
3053	Public Rights Law Enforcement Special Fund	7,033	7,857	7,860
3086	DNA Identification Fund	46,966	48,859	40,433
3297	Major League Sporting Event Raffle Fund	-	322	281
3303	Ammunition Safety and Enforcement Special Fund	2,180	1,484	1,484
3320	Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	1,515	2,848	1,950
9731	Legal Services Revolving Fund	2,305	6,695	6,701
Totals, State Operations		\$198,963	\$262,102	\$256,823
Local Assistance:				
0001	General Fund	\$370	\$5,314	\$-
0460	Dealers Record of Sale Special Account	28	28	28
0903	State Penalty Fund	2,354	2,354	2,354
3320	Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	28,500	30,511	28,500
Totals, Local Assistance		\$31,252	\$38,207	\$30,882
SUBPROGRAM REQUIREMENTS				
0440010	Investigation			
State Operations:				
0001	General Fund	\$12,922	\$15,955	\$16,169
0012	Attorney General Antitrust Account	27	29	29
0378	False Claims Act Fund	857	957	958
0890	Federal Trust Fund	476	1,725	1,620
0995	Reimbursements	15,219	23,782	23,782
3053	Public Rights Law Enforcement Special Fund	7,033	7,857	7,860
9731	Legal Services Revolving Fund	1,997	6,336	6,340
Totals, State Operations		\$38,531	\$56,641	\$56,758
Local Assistance:				
0001	General Fund	\$-	\$1,500	\$-
Totals, Local Assistance		\$-	\$1,500	\$-
SUBPROGRAM REQUIREMENTS				
0440019	Office of the Chief			
State Operations:				
0001	General Fund	\$948	\$5,541	\$608
0367	Indian Gaming Special Distribution Fund	423	494	494
0460	Dealers Record of Sale Special Account	695	813	812
0567	Gambling Control Fund	486	568	568
0890	Federal Trust Fund	-	1,937	1,544
0903	State Penalty Fund	123	129	129

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0820 Department of Justice - Continued

		2018-19*	2019-20*	2020-21*
0942	Special Deposit Fund	153	2,119	2,119
0995	Reimbursements	656	7,647	7,647
3086	DNA Identification Fund	2,263	2,644	2,643
3320	Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	1,515	2,848	1,950
9731	Legal Services Revolving Fund	308	359	361
	Totals, State Operations	\$7,570	\$25,099	\$18,875
	Local Assistance:			
0903	State Penalty Fund	\$2,354	\$2,354	\$2,354
3320	Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	28,500	30,511	28,500
	Totals, Local Assistance	\$30,854	\$32,865	\$30,854
	SUBPROGRAM REQUIREMENTS			
0440028	Forensic Services			
	State Operations:			
0001	General Fund	\$35,163	\$38,407	\$46,566
0142	Department of Justice Sexual Habitual Offender Fund	656	1,006	1,007
0890	Federal Trust Fund	1,218	2,602	3,124
0995	Reimbursements	3,447	4,809	4,813
3016	Missing Persons DNA Data Base Fund	3,167	3,769	3,772
3086	DNA Identification Fund	44,703	46,215	37,790
	Totals, State Operations	\$88,354	\$96,808	\$97,072
	Local Assistance:			
0001	General Fund	\$370	\$3,814	\$-
	Totals, Local Assistance	\$370	\$3,814	\$-
	SUBPROGRAM REQUIREMENTS			
0440037	Gambling			
	State Operations:			
0367	Indian Gaming Special Distribution Fund	\$17,411	\$19,955	\$19,974
0567	Gambling Control Fund	14,017	15,175	15,573
0569	Gambling Control Fines and Penalties Account	228	256	256
0995	Reimbursements	31	100	100
3297	Major League Sporting Event Raffle Fund	-	322	281
	Totals, State Operations	\$31,687	\$35,808	\$36,184
	SUBPROGRAM REQUIREMENTS			
0440046	Firearms			
	State Operations:			
0001	General Fund	\$-	\$19,199	\$18,919
0032	Firearm Safety Account	345	357	357
0460	Dealers Record of Sale Special Account	19,967	16,056	16,515
0995	Reimbursements	-	344	344
1008	Firearms Safety and Enforcement Special Fund	10,329	10,306	10,315
3303	Ammunition Safety and Enforcement Special Fund	2,180	1,484	1,484
	Totals, State Operations	\$32,821	\$47,746	\$47,934
	Local Assistance:			
0460	Dealers Record of Sale Special Account	\$28	\$28	\$28
	Totals, Local Assistance	\$28	\$28	\$28
	PROGRAM REQUIREMENTS			
0445	CALIFORNIA JUSTICE INFORMATION SERVICES			
	State Operations:			

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0820 Department of Justice - Continued

		2018-19*	2019-20*	2020-21*
0001	General Fund	\$74,502	\$86,865	\$90,692
0012	Attorney General Antitrust Account	14	14	14
0017	Fingerprint Fees Account	85,780	90,899	94,704
0044	Motor Vehicle Account, State Transportation Fund	27,303	28,968	28,997
0142	Department of Justice Sexual Habitual Offender Fund	1,113	1,799	1,802
0158	Travel Seller Fund	13	13	13
0256	Sexual Predator Public Information Account	73	181	181
0367	Indian Gaming Special Distribution Fund	355	377	377
0378	False Claims Act Fund	544	576	577
0460	Dealers Record of Sale Special Account	2,543	7,898	9,945
0566	Department of Justice Child Abuse Fund	441	467	468
0569	Gambling Control Fines and Penalties Account	27	28	752
0890	Federal Trust Fund	1,459	3,770	3,804
0995	Reimbursements	3,319	8,176	6,582
3086	DNA Identification Fund	374	1,057	1,057
3088	Registry of Charitable Trusts Fund	322	341	341
3240	Secondhand Dealer and Pawnbroker Fund	436	675	675
3285	Electronic Recording Authorization Fund	145	300	300
3303	Ammunition Safety and Enforcement Special Fund	6,500	5,697	2,500
3372	Data Brokers Registry Fund	-	-	360
Totals, State Operations		\$205,263	\$238,101	\$244,141

Local Assistance:

0641	Domestic Violence Restraining Order Reimbursement Fund	\$1,018	\$1,018	\$1,018
Totals, Local Assistance		\$1,018	\$1,018	\$1,018

SUBPROGRAM REQUIREMENTS**0445010 O. J. Hawkins Data Center****State Operations:**

0001	General Fund	\$20,869	\$22,398	\$29,741
0012	Attorney General Antitrust Account	14	14	14
0017	Fingerprint Fees Account	15,281	16,724	19,265
0044	Motor Vehicle Account, State Transportation Fund	22,831	24,189	24,213
0158	Travel Seller Fund	13	13	13
0367	Indian Gaming Special Distribution Fund	355	377	377
0378	False Claims Act Fund	544	576	577
0460	Dealers Record of Sale Special Account	2,121	7,212	9,257
0569	Gambling Control Fines and Penalties Account	27	28	752
0995	Reimbursements	891	770	770
3086	DNA Identification Fund	357	1,045	1,045
3088	Registry of Charitable Trusts Fund	322	341	341
3303	Ammunition Safety and Enforcement Special Fund	6,500	5,697	2,500
3372	Data Brokers Registry Fund	-	-	360
Totals, State Operations		\$70,125	\$79,384	\$89,225

SUBPROGRAM REQUIREMENTS**0445019 Criminal Information and Analysis****State Operations:**

0001	General Fund	\$18,393	\$13,222	\$13,168
0017	Fingerprint Fees Account	51,156	55,491	56,377
0142	Department of Justice Sexual Habitual Offender Fund	344	524	526
0460	Dealers Record of Sale Special Account	217	233	234

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0820 Department of Justice - Continued

			2018-19*	2019-20*	2020-21*
0566	Department of Justice Child Abuse Fund		430	459	460
3285	Electronic Recording Authorization Fund		145	300	300
	Totals, State Operations		\$70,685	\$70,229	\$71,065
	SUBPROGRAM REQUIREMENTS				
0445028	Criminal Identification and Investigation Services				
	State Operations:				
0001	General Fund		\$17,714	\$34,082	\$30,272
0017	Fingerprint Fees Account		11,990	11,296	11,331
0044	Motor Vehicle Account, State Transportation Fund		1,232	1,985	1,988
0142	Department of Justice Sexual Habitual Offender Fund		743	1,257	1,258
0256	Sexual Predator Public Information Account		45	161	161
0460	Dealers Record of Sale Special Account		202	451	452
	Totals, State Operations		\$31,926	\$49,232	\$45,462
	Local Assistance:				
0641	Domestic Violence Restraining Order Reimbursement Fund		\$1,018	\$1,018	\$1,018
	Totals, Local Assistance		\$1,018	\$1,018	\$1,018
	SUBPROGRAM REQUIREMENTS				
0445037	Criminal Justice Operational Support Program				
	State Operations:				
0001	General Fund		\$17,526	\$17,163	\$17,511
0017	Fingerprint Fees Account		7,353	7,388	7,731
0044	Motor Vehicle Account, State Transportation Fund		3,240	2,794	2,796
0142	Department of Justice Sexual Habitual Offender Fund		26	18	18
0256	Sexual Predator Public Information Account		28	20	20
0460	Dealers Record of Sale Special Account		3	2	2
0566	Department of Justice Child Abuse Fund		11	8	8
0890	Federal Trust Fund		1,459	3,770	3,804
0995	Reimbursements		2,428	7,406	5,812
3086	DNA Identification Fund		17	12	12
3240	Secondhand Dealer and Pawnbroker Fund		436	675	675
	Totals, State Operations		\$32,527	\$39,256	\$38,389
	SUBPROGRAM REQUIREMENTS				
9900100	Administration				
	State Operations:				
0001	General Fund		\$116,825	\$140,665	\$143,813
	Totals, State Operations		\$116,825	\$140,665	\$143,813
	SUBPROGRAM REQUIREMENTS				
9900200	Administration - Distributed				
	State Operations:				
0001	General Fund		-\$116,825	-\$140,665	-\$143,813
	Totals, State Operations		-\$116,825	-\$140,665	-\$143,813
	TOTALS, EXPENDITURES				
	State Operations		869,397	1,046,943	1,059,669
	Local Assistance		32,270	39,225	31,900
	Totals, Expenditures		\$901,667	\$1,086,168	\$1,091,569

EXPENDITURES BY CATEGORY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0820 Department of Justice - Continued

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES						
Baseline Positions	4,608.5	4,788.9	4,788.9	\$472,978	\$501,895	\$502,822
Budget Position Transparency	-	-124.7	-124.7	-	-	-
Other Adjustments	-93.5	48.3	137.0	-48,074	4,319	18,320
Net Totals, Salaries and Wages	4,515.0	4,712.5	4,801.2	\$424,904	\$506,214	\$521,142
Staff Benefits	-	-	-	210,027	263,049	263,905
Totals, Personal Services	4,515.0	4,712.5	4,801.2	\$634,931	\$769,263	\$785,047
OPERATING EXPENSES AND EQUIPMENT				\$234,466	\$277,680	\$274,622
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$869,397	\$1,046,943	\$1,059,669
2 Local Assistance				Expenditures		
	2018-19*		2019-20*		2020-21*	
Grants and Subventions - Governmental	\$32,270		\$39,225		\$31,900	
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$32,270		\$39,225		\$31,900	

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$285,026	\$324,368	\$338,177
Allocation for Employee Compensation	-	10,769	-
Allocation for Other Post-Employment Benefits	-	2,199	-
Allocation for Staff Benefits	-	3,989	-
Attorney General Services Rate Increases	-	5,661	-
Section 3.60 Pension Contribution Adjustment	-	2,843	-
Transfer from Item 8640-001-0001, per Provision 1	-	195	-
003 Budget Act appropriation (rental payments on lease-revenue bonds)	4,044	4,034	3,764
011 Budget Act appropriation (loan to Major League Sporting Event Raffle Fund)	(335)	(-)	(-)
013 Budget Act appropriation (transfer to DNA Identification Fund)	-	-	25,764
015 Budget Act appropriation (transfer to Legal Services Revolving Fund)	1,500	1,500	1,500
National Mortgage Settlement (EO 19/20-79)	-	331,044	-
Totals Available	\$290,570	\$686,602	\$369,205
Unexpended balance, estimated savings	-	-159	-
TOTALS, EXPENDITURES	\$290,570	\$686,443	\$369,205
0012 Attorney General Antitrust Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,841	\$4,864	\$11,070
Allocation for Employee Compensation	-	85	-
Allocation for Other Post-Employment Benefits	-	18	-
Allocation for Staff Benefits	-	29	-
Section 3.60 Pension Contribution Adjustment	-	725	-
Totals Available	\$4,841	\$5,721	\$11,070
TOTALS, EXPENDITURES	\$4,841	\$5,721	\$11,070
0017 Fingerprint Fees Account			
APPROPRIATIONS			

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
001 Budget Act appropriation	\$85,780	\$87,740	\$94,704
Allocation for Employee Compensation	-	1,503	-
Allocation for Other Post-Employment Benefits	-	414	-
Allocation for Staff Benefits	-	668	-
Section 3.60 Pension Contribution Adjustment	-	574	-
011 Budget Act appropriation (loan to the General Fund)	-	-	(50,000)
TOTALS, EXPENDITURES	\$85,780	\$90,899	\$94,704
0032 Firearm Safety Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$345	\$346	\$357
Allocation for Employee Compensation	-	4	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	3	-
011 Budget Act appropriation (loan to the General Fund)	-	-	(6,400)
TOTALS, EXPENDITURES	\$345	\$357	\$357
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$27,303	\$27,933	\$28,997
Allocation for Employee Compensation	-	456	-
Allocation for Other Post-Employment Benefits	-	145	-
Allocation for Staff Benefits	-	213	-
Section 3.60 Pension Contribution Adjustment	-	221	-
Totals Available	\$27,303	\$28,968	\$28,997
TOTALS, EXPENDITURES	\$27,303	\$28,968	\$28,997
0142 Department of Justice Sexual Habitual Offender Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,769	\$2,662	\$2,809
Allocation for Employee Compensation	-	81	-
Allocation for Other Post-Employment Benefits	-	17	-
Allocation for Staff Benefits	-	27	-
Section 3.60 Pension Contribution Adjustment	-	18	-
Totals Available	\$1,769	\$2,805	\$2,809
TOTALS, EXPENDITURES	\$1,769	\$2,805	\$2,809
0158 Travel Seller Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$772	\$1,451	\$1,486
Allocation for Employee Compensation	-	14	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	7	-
Section 3.60 Pension Contribution Adjustment	-	7	-
Totals Available	\$772	\$1,484	\$1,486
TOTALS, EXPENDITURES	\$772	\$1,484	\$1,486
0256 Sexual Predator Public Information Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$73	\$177	\$181
Allocation for Employee Compensation	-	3	-
Allocation for Staff Benefits	-	1	-
Totals Available	\$73	\$181	\$181

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
TOTALS, EXPENDITURES	\$73	\$181	\$181
0367 Indian Gaming Special Distribution Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$20,384	\$21,944	\$23,222
Allocation for Employee Compensation	-	601	-
Allocation for Other Post-Employment Benefits	-	134	-
Allocation for Staff Benefits	-	289	-
Section 3.60 Pension Contribution Adjustment	-	250	-
Totals Available	\$20,384	\$23,218	\$23,222
TOTALS, EXPENDITURES	\$20,384	\$23,218	\$23,222
0378 False Claims Act Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$18,024	\$16,118	\$17,460
Allocation for Employee Compensation	-	415	-
Allocation for Other Post-Employment Benefits	-	88	-
Allocation for Staff Benefits	-	172	-
Section 3.60 Pension Contribution Adjustment	-	714	-
Totals Available	\$18,024	\$17,507	\$17,460
TOTALS, EXPENDITURES	\$18,024	\$17,507	\$17,460
0460 Dealers Record of Sale Special Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$22,436	\$23,378	\$27,272
Allocation for Employee Compensation	-	727	-
Allocation for Other Post-Employment Benefits	-	162	-
Allocation for Staff Benefits	-	281	-
Section 3.60 Pension Contribution Adjustment	-	219	-
Prior Year Balances Available:			
Provision 3 of Item 0820-001-0460, Budget Act of 2017	769	-	-
Totals Available	\$23,205	\$24,767	\$27,272
TOTALS, EXPENDITURES	\$23,205	\$24,767	\$27,272
0566 Department of Justice Child Abuse Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$441	\$441	\$468
Allocation for Employee Compensation	-	14	-
Allocation for Other Post-Employment Benefits	-	3	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	4	-
TOTALS, EXPENDITURES	\$441	\$467	\$468
0567 Gambling Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$14,942	\$15,424	\$16,617
Allocation for Employee Compensation	-	365	-
Allocation for Other Post-Employment Benefits	-	98	-
Allocation for Staff Benefits	-	174	-
Section 3.60 Pension Contribution Adjustment	-	161	-
011 Budget Act appropriation (loan to the General Fund)	-	-	(85,000)
Totals Available	\$14,942	\$16,222	\$16,617
TOTALS, EXPENDITURES	\$14,942	\$16,222	\$16,617
0569 Gambling Control Fines and Penalties Account			
APPROPRIATIONS			

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
001 Budget Act appropriation	\$255	\$269	\$1,008
Allocation for Employee Compensation	-	7	-
Allocation for Other Post-Employment Benefits	-	2	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	3	-
011 Budget Act appropriation (loan to the General Fund)	-	-	(7,300)
Totals Available	\$255	\$284	\$1,008
TOTALS, EXPENDITURES	\$255	\$284	\$1,008
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$36,292	\$43,863	\$44,054
Totals Available	\$36,292	\$43,863	\$44,054
TOTALS, EXPENDITURES	\$36,292	\$43,863	\$44,054
0903 State Penalty Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$123	\$124	\$129
Allocation for Employee Compensation	-	3	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	1	-
Totals Available	\$123	\$129	\$129
TOTALS, EXPENDITURES	\$123	\$129	\$129
0942 Special Deposit Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$153	\$1,551	\$1,551
011 Budget Act appropriation (State Asset Forfeiture Account)	-	568	568
Totals Available	\$153	\$2,119	\$2,119
TOTALS, EXPENDITURES	\$153	\$2,119	\$2,119
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$23,646	\$46,200	\$44,610
TOTALS, EXPENDITURES	\$23,646	\$46,200	\$44,610
1008 Firearms Safety and Enforcement Special Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$10,329	\$9,762	\$10,315
Allocation for Employee Compensation	-	289	-
Allocation for Other Post-Employment Benefits	-	59	-
Allocation for Staff Benefits	-	113	-
Section 3.60 Pension Contribution Adjustment	-	83	-
011 Budget Act appropriation (loan to Dealers Record of Sale Special Account)	(1,022)	(866)	(-)
TOTALS, EXPENDITURES	\$10,329	\$10,306	\$10,315
3016 Missing Persons DNA Data Base Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,167	\$3,595	\$3,772
Allocation for Employee Compensation	-	108	-
Allocation for Other Post-Employment Benefits	-	20	-
Allocation for Staff Benefits	-	29	-
Section 3.60 Pension Contribution Adjustment	-	17	-
Totals Available	\$3,167	\$3,769	\$3,772
TOTALS, EXPENDITURES	\$3,167	\$3,769	\$3,772

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
3053 Public Rights Law Enforcement Special Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$13,649	\$18,292	\$19,074
Allocation for Employee Compensation	-	399	-
Allocation for Other Post-Employment Benefits	-	72	-
Allocation for Staff Benefits	-	178	-
Section 3.60 Pension Contribution Adjustment	-	176	-
Totals Available	\$13,649	\$19,117	\$19,074
TOTALS, EXPENDITURES	\$13,649	\$19,117	\$19,074
3086 DNA Identification Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$47,340	\$55,924	\$67,254
Allocation for Employee Compensation	-	77	-
Allocation for Other Post-Employment Benefits	-	17	-
Allocation for Staff Benefits	-	31	-
Section 3.60 Pension Contribution Adjustment	-	267	-
Special Fund Expenditure Adjustments	-	-6,400	-
Totals Available	\$47,340	\$49,916	\$67,254
TOTALS, EXPENDITURES	\$47,340	\$49,916	\$67,254
Less funding provided by General Fund			-25,764
NET TOTALS, EXPENDITURES	\$47,340	\$49,916	\$41,490
3087 Unfair Competition Law Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$24,239	\$29,431	\$34,595
Allocation for Employee Compensation	-	545	-
Allocation for Other Post-Employment Benefits	-	113	-
Allocation for Staff Benefits	-	180	-
Section 3.60 Pension Contribution Adjustment	-	1,335	-
Totals Available	\$24,239	\$31,604	\$34,595
TOTALS, EXPENDITURES	\$24,239	\$31,604	\$34,595
3088 Registry of Charitable Trusts Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$6,062	\$6,017	\$6,259
Allocation for Employee Compensation	-	110	-
Allocation for Other Post-Employment Benefits	-	32	-
Allocation for Staff Benefits	-	52	-
Section 3.60 Pension Contribution Adjustment	-	50	-
Totals Available	\$6,062	\$6,261	\$6,259
TOTALS, EXPENDITURES	\$6,062	\$6,261	\$6,259
3240 Secondhand Dealer and Pawnbroker Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$436	\$655	\$675
Allocation for Employee Compensation	-	11	-
Allocation for Other Post-Employment Benefits	-	2	-
Allocation for Staff Benefits	-	4	-
Section 3.60 Pension Contribution Adjustment	-	3	-
Totals Available	\$436	\$675	\$675
TOTALS, EXPENDITURES	\$436	\$675	\$675
3285 Electronic Recording Authorization Fund			

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
APPROPRIATIONS			
Government Code section 27397	\$145	\$300	\$300
Totals Available	\$145	\$300	\$300
TOTALS, EXPENDITURES	\$145	\$300	\$300
3297 Major League Sporting Event Raffle Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$322	\$667	\$647
Allocation for Employee Compensation	-	19	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	7	-
Section 3.60 Pension Contribution Adjustment	-	7	-
Totals Available	\$322	\$704	\$647
TOTALS, EXPENDITURES	\$322	\$704	\$647
3303 Ammunition Safety and Enforcement Special Fund			
APPROPRIATIONS			
Penal Code section 30370 (f)	\$8,680	\$13,663	\$3,984
Special Fund Expenditure Adjustments	-	-6,482	-
Totals Available	\$8,680	\$7,181	\$3,984
TOTALS, EXPENDITURES	\$8,680	\$7,181	\$3,984
3320 Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund			
APPROPRIATIONS			
Revenue and Taxation Code section 30130.57(e)(1) and (f)	\$1,515	\$2,848	\$1,950
Revenue and Taxation Code section 30130.57(e)(4) and (f)	1,280	5,184	3,550
Totals Available	\$2,795	\$8,032	\$5,500
TOTALS, EXPENDITURES	\$2,795	\$8,032	\$5,500
3372 Data Brokers Registry Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$360
TOTALS, EXPENDITURES	-	-	\$360
8071 National Mortgage Special Deposit Fund			
Less funding provided by General Fund	-	-331,044	-
NET TOTALS, EXPENDITURES	-	-\$331,044	-
9731 Legal Services Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$202,821	\$239,516	\$246,720
Allocation for Employee Compensation	-	5,462	-
Allocation for Other Post-Employment Benefits	-	1,089	-
Allocation for Staff Benefits	-	1,849	-
Section 3.60 Pension Contribution Adjustment	-	218	-
Totals Available	\$202,821	\$248,134	\$246,720
TOTALS, EXPENDITURES	\$202,821	\$248,134	\$246,720
Less funding provided by General Fund	-1,500	-1,500	-1,500
NET TOTALS, EXPENDITURES	\$201,321	\$246,634	\$245,220
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,994	\$1,782	\$1,710
Allocation for Employee Compensation	-	37	-
Allocation for Other Post-Employment Benefits	-	8	-
Allocation for Staff Benefits	-	12	-

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0820 Department of Justice - Continued

	2018-19*	2019-20*	2020-21*
1 STATE OPERATIONS			
Section 3.60 Pension Contribution Adjustment	-	15	-
Totals Available	\$1,994	\$1,854	\$1,710
TOTALS, EXPENDITURES	\$1,994	\$1,854	\$1,710
Total Expenditures, All Funds, (State Operations)	\$869,397	\$1,046,943	\$1,059,669
2 LOCAL ASSISTANCE			
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$2,000	-
102 Budget Act appropriation	-	1,500	-
Per Provision 5 and 6 of Item 0820-001-0001, Budget Act of 2018	370	-	-
Provisional 5 Transfer from State Operations to Local Assistance	-	1,814	-
Totals Available	\$370	\$5,314	-
TOTALS, EXPENDITURES	\$370	\$5,314	-
0460 Dealers Record of Sale Special Account			
APPROPRIATIONS			
101 Budget Act appropriation	\$28	\$28	\$28
TOTALS, EXPENDITURES	\$28	\$28	\$28
0641 Domestic Violence Restraining Order Reimbursement Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,018	\$1,018	\$1,018
TOTALS, EXPENDITURES	\$1,018	\$1,018	\$1,018
0903 State Penalty Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,354	\$2,354	\$2,354
TOTALS, EXPENDITURES	\$2,354	\$2,354	\$2,354
3320 Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund			
APPROPRIATIONS			
Revenue and Taxation Code section 30130.57(e)(1)	\$28,500	\$30,511	\$28,500
TOTALS, EXPENDITURES	\$28,500	\$30,511	\$28,500
Total Expenditures, All Funds, (Local Assistance)	\$32,270	\$39,225	\$31,900
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$901,667	\$1,086,168	\$1,091,569

FUND CONDITION STATEMENTS

	2018-19*	2019-20*	2020-21*
0012 Attorney General Antitrust Account ^s			
BEGINNING BALANCE	\$433	\$380	\$410
Prior Year Adjustments	237	-	-
Adjusted Beginning Balance	\$670	\$380	\$410
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	22	22	22
4173400 Settlements and Judgments - Anti-Trust Actions (Attorney General)	4,800	5,903	12,055
Total Revenues, Transfers, and Other Adjustments	\$4,822	\$5,925	\$12,077
Total Resources	\$5,492	\$6,305	\$12,487
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	4,841	5,721	11,070

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0820 Department of Justice - Continued

	2018-19*	2019-20*	2020-21*
9892 Supplemental Pension Payments (State Operations)	24	52	52
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	247	122	333
Total Expenditures and Expenditure Adjustments	<u>\$5,112</u>	<u>\$5,895</u>	<u>\$11,455</u>
FUND BALANCE	<u>\$380</u>	<u>\$410</u>	<u>\$1,032</u>
Reserve for economic uncertainties	380	410	1,032
0017 Fingerprint Fees Account^s			
BEGINNING BALANCE	<u>\$60,248</u>	<u>\$66,623</u>	<u>\$90,323</u>
Prior Year Adjustments	<u>2,980</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	<u>\$63,228</u>	<u>\$66,623</u>	<u>\$90,323</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4127400 Renewal Fees	380	380	380
4129400 Other Regulatory Licenses and Permits	29	29	29
4132000 Fingerprint Identification Card Fees	97,482	97,482	97,482
4150500 Interest Income - Interfund Loans	-	699	-
4163000 Investment Income - Surplus Money Investments	1,241	586	586
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	4	4	4
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Fingerprint Fees Account (0017) per Item 0820-011-0017, Budget Act of 2011	-	24,000	-
Loan from the Fingerprint Fees Account (0017) to the General Fund (0001) per Item 0820-011-0017, Budget Act of 2020	-	-	-50,000
Total Revenues, Transfers, and Other Adjustments	<u>\$99,136</u>	<u>\$123,180</u>	<u>\$48,481</u>
Total Resources	<u>\$162,364</u>	<u>\$189,803</u>	<u>\$138,804</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	85,780	90,899	94,704
8880 Financial Information System for California (State Operations)	9	-11	-
9892 Supplemental Pension Payments (State Operations)	3,640	2,631	2,631
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	6,312	5,961	7,111
Total Expenditures and Expenditure Adjustments	<u>\$95,741</u>	<u>\$99,480</u>	<u>\$104,446</u>
FUND BALANCE	<u>\$66,623</u>	<u>\$90,323</u>	<u>\$34,358</u>
Reserve for economic uncertainties	66,623	90,323	34,358
0032 Firearm Safety Account^s			
BEGINNING BALANCE	<u>\$5,522</u>	<u>\$5,959</u>	<u>\$6,519</u>
Prior Year Adjustments	<u>24</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	<u>\$5,546</u>	<u>\$5,959</u>	<u>\$6,519</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	660	821	660
4163000 Investment Income - Surplus Money Investments	117	117	117
Transfers and Other Adjustments			
Loan from the Firearm Safety Account (0032) to the General Fund (0001) per Item 0820-011-0032, Budget Act of 2020	-	-	-6,400
Total Revenues, Transfers, and Other Adjustments	<u>\$777</u>	<u>\$938</u>	<u>-\$5,623</u>
Total Resources	<u>\$6,323</u>	<u>\$6,897</u>	<u>\$896</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	345	357	357
9892 Supplemental Pension Payments (State Operations)	1	6	6
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	18	15	15
Total Expenditures and Expenditure Adjustments	<u>\$364</u>	<u>\$378</u>	<u>\$378</u>

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0820 Department of Justice - Continued

	2018-19*	2019-20*	2020-21*
FUND BALANCE	\$ 5,959	\$ 6,519	\$ 518
Reserve for economic uncertainties	5,959	6,519	518
0142 Department of Justice Sexual Habitual Offender Fund^s			
BEGINNING BALANCE	\$ 2,642	\$ 3,393	\$ 3,039
Prior Year Adjustments	13	-	-
Adjusted Beginning Balance	\$ 2,655	\$ 3,393	\$ 3,039
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	2,590	2,590	2,590
4163000 Investment Income - Surplus Money Investments	65	31	31
4172500 Miscellaneous Revenue	16	17	17
Total Revenues, Transfers, and Other Adjustments	\$ 2,671	\$ 2,638	\$ 2,638
Total Resources	\$ 5,326	\$ 6,031	\$ 5,677
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	1,769	2,805	2,809
9892 Supplemental Pension Payments (State Operations)	25	67	67
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	139	120	116
Total Expenditures and Expenditure Adjustments	\$ 1,933	\$ 2,992	\$ 2,992
FUND BALANCE	\$ 3,393	\$ 3,039	\$ 2,685
Reserve for economic uncertainties	3,393	3,039	2,685
0158 Travel Seller Fund^s			
BEGINNING BALANCE	\$ 1,850	\$ 1,753	\$ 1,012
Prior Year Adjustments	35	-	-
Adjusted Beginning Balance	\$ 1,885	\$ 1,753	\$ 1,012
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	684	800	800
4163000 Investment Income - Surplus Money Investments	38	26	26
Total Revenues, Transfers, and Other Adjustments	\$ 722	\$ 826	\$ 826
Total Resources	\$ 2,607	\$ 2,579	\$ 1,838
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	772	1,484	1,486
9892 Supplemental Pension Payments (State Operations)	9	19	19
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	73	64	62
Total Expenditures and Expenditure Adjustments	\$ 854	\$ 1,567	\$ 1,567
FUND BALANCE	\$ 1,753	\$ 1,012	\$ 271
Reserve for economic uncertainties	1,753	1,012	271
0256 Sexual Predator Public Information Account^s			
BEGINNING BALANCE	\$ 252	\$ 257	\$ 152
Prior Year Adjustments	2	-	-
Adjusted Beginning Balance	\$ 254	\$ 257	\$ 152
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	78	78	78
4163000 Investment Income - Surplus Money Investments	8	8	8
Total Revenues, Transfers, and Other Adjustments	\$ 86	\$ 86	\$ 86
Total Resources	\$ 340	\$ 343	\$ 238
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	73	181	181

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0820 Department of Justice - Continued

	2018-19*	2019-20*	2020-21*
9892 Supplemental Pension Payments (State Operations)	-	2	2
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	10	8	8
Total Expenditures and Expenditure Adjustments	\$83	\$191	\$191
FUND BALANCE	\$257	\$152	\$47
Reserve for economic uncertainties	257	152	47
0288 The Registry of International Student Exchange Visitor Placement Organizations Fund^s			
BEGINNING BALANCE	\$136	\$148	\$161
Prior Year Adjustments	-1	-	-
Adjusted Beginning Balance	\$135	\$148	\$161
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	10	10	10
4163000 Investment Income - Surplus Money Investments	3	3	3
Total Revenues, Transfers, and Other Adjustments	\$13	\$13	\$13
Total Resources	\$148	\$161	\$174
FUND BALANCE	\$148	\$161	\$174
Reserve for economic uncertainties	148	161	174
0378 False Claims Act Fund^s			
BEGINNING BALANCE	\$2,988	\$1,761	\$1,422
Prior Year Adjustments	421	-	-
Adjusted Beginning Balance	\$3,409	\$1,761	\$1,422
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	138	138	138
4170700 Civil and Criminal Violation Assessment	17,324	18,671	18,366
Total Revenues, Transfers, and Other Adjustments	\$17,462	\$18,809	\$18,504
Total Resources	\$20,871	\$20,570	\$19,926
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	18,024	17,507	17,460
8880 Financial Information System for California (State Operations)	2	-2	-
9892 Supplemental Pension Payments (State Operations)	174	309	309
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	910	1,334	915
Total Expenditures and Expenditure Adjustments	\$19,110	\$19,148	\$18,684
FUND BALANCE	\$1,761	\$1,422	\$1,242
Reserve for economic uncertainties	1,761	1,422	1,242
0460 Dealers Record of Sale Special Account^s			
BEGINNING BALANCE	\$9,367	\$5,669	\$7,525
Prior Year Adjustments	160	-	-
Adjusted Beginning Balance	\$9,527	\$5,669	\$7,525
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129400 Other Regulatory Licenses and Permits	3,455	4,888	4,997
4143500 Miscellaneous Services to the Public	15,344	22,267	22,763
4163000 Investment Income - Surplus Money Investments	110	110	110
Transfers and Other Adjustments			
Loan from the Firearms Safety and Enforcement Fund (1008) to the Dealers' Record of Sale Special Account (0460), per Item 0820-011-1008	1,022	866	-
Total Revenues, Transfers, and Other Adjustments	\$19,931	\$28,131	\$27,870

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0820 Department of Justice - Continued

	2018-19*	2019-20*	2020-21*
Total Resources	\$29,458	\$33,800	\$35,395
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	23,205	24,767	27,272
0820 Department of Justice (Local Assistance)	28	28	28
8880 Financial Information System for California (State Operations)	-	-5	-
9892 Supplemental Pension Payments (State Operations)	-	666	666
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	556	819	1,226
Total Expenditures and Expenditure Adjustments	\$23,789	\$26,275	\$29,192
FUND BALANCE	\$5,669	\$7,525	\$6,203
Reserve for economic uncertainties	5,669	7,525	6,203
0566 Department of Justice Child Abuse Fund^s			
BEGINNING BALANCE	\$1,490	\$1,546	\$1,567
Prior Year Adjustments	4	-	-
Adjusted Beginning Balance	\$1,494	\$1,546	\$1,567
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	491	491	491
4163000 Investment Income - Surplus Money Investments	30	30	30
Total Revenues, Transfers, and Other Adjustments	\$521	\$521	\$521
Total Resources	\$2,015	\$2,067	\$2,088
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	441	467	468
9892 Supplemental Pension Payments (State Operations)	5	13	13
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	23	20	20
Total Expenditures and Expenditure Adjustments	\$469	\$500	\$501
FUND BALANCE	\$1,546	\$1,567	\$1,587
Reserve for economic uncertainties	1,546	1,567	1,587
0567 Gambling Control Fund^s			
BEGINNING BALANCE	\$60,753	\$64,992	\$99,878
Prior Year Adjustments	-811	-	-
Adjusted Beginning Balance	\$59,942	\$64,992	\$99,878
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4127400 Renewal Fees	1,621	1,621	1,621
4129200 Other Regulatory Fees	18,927	18,652	18,652
4129400 Other Regulatory Licenses and Permits	1,946	1,946	1,946
4143500 Miscellaneous Services to the Public	1,346	1,346	1,346
4150500 Interest Income - Interfund Loans	-	3,446	-
4163000 Investment Income - Surplus Money Investments	1,291	1,291	1,291
4172500 Miscellaneous Revenue	3	-	-
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Gambling Control Fund (0567) per Item 0855-011-0567, Budget Act of 2008	-	10,000	-
Loan Repayment from the General Fund (0001) to the Gambling Control Fund (0567) per Item 0855-011-0567, Budget Act of 2011	-	19,000	-
Loan from the Gambling Control Fund (0567) to the General Fund (0001) per Item 0820-011-0567, Budget Act of 2020	-	-	-85,000
Total Revenues, Transfers, and Other Adjustments	\$25,134	\$57,302	\$60,144
Total Resources	\$85,076	\$122,294	\$39,734
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			

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0820 Department of Justice - Continued

	2018-19*	2019-20*	2020-21*
0820 Department of Justice (State Operations)	14,942	16,222	16,617
0855 California Gambling Control Commission (State Operations)	3,502	4,494	4,192
8880 Financial Information System for California (State Operations)	2	-2	-
9892 Supplemental Pension Payments (State Operations)	183	402	402
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	1,455	1,300	1,380
Total Expenditures and Expenditure Adjustments	\$20,084	\$22,416	\$22,591
FUND BALANCE	\$64,992	\$99,878	\$17,143
Reserve for economic uncertainties	64,992	99,878	17,143
0569 Gambling Control Fines and Penalties Account^s			
BEGINNING BALANCE	\$7,277	\$7,978	\$8,142
Prior Year Adjustments	7	-	-
Adjusted Beginning Balance	\$7,284	\$7,978	\$8,142
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	154	154	154
4173000 Penalty Assessments - Other	823	325	325
Transfers and Other Adjustments			
Loan from the Gambling Control Fines & Penalties Account (0569) to the General Fund (0001) per Item 0820-011-0569, Budget Act of 2020	-	-	-7,300
Total Revenues, Transfers, and Other Adjustments	\$977	\$479	-\$6,821
Total Resources	\$8,261	\$8,457	\$1,321
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	255	284	1,008
9892 Supplemental Pension Payments (State Operations)	3	7	7
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	25	24	12
Total Expenditures and Expenditure Adjustments	\$283	\$315	\$1,027
FUND BALANCE	\$7,978	\$8,142	\$294
Reserve for economic uncertainties	7,978	8,142	294
1008 Firearms Safety and Enforcement Special Fund^s			
BEGINNING BALANCE	\$17,064	\$11,439	\$15,115
Prior Year Adjustments	138	-	-
Adjusted Beginning Balance	\$17,202	\$11,439	\$15,115
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	6,243	10,479	8,422
4150500 Interest Income - Interfund Loans	-	143	-
4163000 Investment Income - Surplus Money Investments	317	317	317
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Firearms Safety and Enforcement Special Fund (1008) per Item 0820-011-1008, Budget Act of 2011	-	4,900	-
Loan from the Firearms Safety and Enforcement Fund (1008) to the Dealers' Record of Sale Special Account (0460), per Item 0820-011-1008	-1,022	-866	-
Loan from the Firearms Safety and Enforcement Special Fund (1008) to the General Fund (0001) per Item 0820-011-1008, Budget Act of 2020	-	-	-6,500
Total Revenues, Transfers, and Other Adjustments	\$5,538	\$14,973	\$2,239
Total Resources	\$22,740	\$26,412	\$17,354
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	10,329	10,306	10,315
8880 Financial Information System for California (State Operations)	10	-1	-
9892 Supplemental Pension Payments (State Operations)	125	236	236

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0820 Department of Justice - Continued

	2018-19*	2019-20*	2020-21*
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	837	756	458
Total Expenditures and Expenditure Adjustments	\$11,301	\$11,297	\$11,009
FUND BALANCE	\$11,439	\$15,115	\$6,345
Reserve for economic uncertainties	11,439	15,115	6,345
3016 Missing Persons DNA Data Base Fund^s			
BEGINNING BALANCE	\$5,320	\$5,141	\$4,308
Prior Year Adjustments	37	-	-
Adjusted Beginning Balance	\$5,357	\$5,141	\$4,308
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	3,055	3,055	3,055
4163000 Investment Income - Surplus Money Investments	104	104	104
Total Revenues, Transfers, and Other Adjustments	\$3,159	\$3,159	\$3,159
Total Resources	\$8,516	\$8,300	\$7,467
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	3,167	3,769	3,772
9892 Supplemental Pension Payments (State Operations)	23	63	63
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	185	160	152
Total Expenditures and Expenditure Adjustments	\$3,375	\$3,992	\$3,987
FUND BALANCE	\$5,141	\$4,308	\$3,480
Reserve for economic uncertainties	5,141	4,308	3,480
3053 Public Rights Law Enforcement Special Fund^s			
BEGINNING BALANCE	\$2,824	\$6,092	\$1,779
Prior Year Adjustments	223	-	-
Adjusted Beginning Balance	\$3,047	\$6,092	\$1,779
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	165	92	92
4173500 Settlements and Judgments - Other	17,745	16,000	19,300
Total Revenues, Transfers, and Other Adjustments	\$17,910	\$16,092	\$19,392
Total Resources	\$20,957	\$22,184	\$21,171
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	13,649	19,117	19,074
8880 Financial Information System for California (State Operations)	1	-1	-
9892 Supplemental Pension Payments (State Operations)	141	268	268
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	1,074	1,021	1,036
Total Expenditures and Expenditure Adjustments	\$14,865	\$20,405	\$20,378
FUND BALANCE	\$6,092	\$1,779	\$793
Reserve for economic uncertainties	6,092	1,779	793
3086 DNA Identification Fund^s			
BEGINNING BALANCE	\$7,350	\$4,966	\$230
Prior Year Adjustments	-2,587	-	-
Adjusted Beginning Balance	\$4,763	\$4,966	\$230
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	157	157	157
4172500 Miscellaneous Revenue	15	15	15
4173000 Penalty Assessments - Other	47,371	45,001	42,787
Total Revenues, Transfers, and Other Adjustments	\$47,543	\$45,173	\$42,959

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0820 Department of Justice - Continued

	2018-19*	2019-20*	2020-21*
Total Resources	\$52,306	\$50,139	\$43,189
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	47,340	49,916	67,254
8880 Financial Information System for California (State Operations)	-	-7	-
Less funding provided by General Fund (State Operations)	-	-	-25,764
Total Expenditures and Expenditure Adjustments	\$47,340	\$49,909	\$41,490
FUND BALANCE			
Reserve for economic uncertainties	4,966	230	1,699
3087 Unfair Competition Law Fund^s			
BEGINNING BALANCE	\$5,769	\$4,268	\$4,527
Prior Year Adjustments	333	-	-
Adjusted Beginning Balance	\$6,102	\$4,268	\$4,527
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	274	165	165
4173000 Penalty Assessments - Other	24,061	33,631	32,931
Total Revenues, Transfers, and Other Adjustments	\$24,335	\$33,796	\$33,096
Total Resources	\$30,437	\$38,064	\$37,623
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	24,239	31,604	34,595
8880 Financial Information System for California (State Operations)	2	-1	-
9892 Supplemental Pension Payments (State Operations)	149	268	268
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	1,779	1,666	1,096
Total Expenditures and Expenditure Adjustments	\$26,169	\$33,537	\$35,959
FUND BALANCE			
Reserve for economic uncertainties	4,268	4,527	1,664
3088 Registry of Charitable Trusts Fund^s			
BEGINNING BALANCE	\$5,952	\$7,239	\$5,276
Prior Year Adjustments	165	-	-
Adjusted Beginning Balance	\$6,117	\$7,239	\$5,276
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	4,741	4,545	4,545
4150500 Interest Income - Interfund Loans	77	-	-
4163000 Investment Income - Surplus Money Investments	129	86	86
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Registry of Charitable Trusts Fund (3088) per Item 0820-011-3088, Budget Act of 2011	2,700	-	-
Total Revenues, Transfers, and Other Adjustments	\$7,647	\$4,631	\$4,631
Total Resources	\$13,764	\$11,870	\$9,907
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	6,062	6,261	6,259
8880 Financial Information System for California (State Operations)	1	-1	-
9892 Supplemental Pension Payments (State Operations)	51	111	111
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	411	223	275
Total Expenditures and Expenditure Adjustments	\$6,525	\$6,594	\$6,645
FUND BALANCE			
Reserve for economic uncertainties	7,239	5,276	3,262
3131 California Bingo Fund^s			

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0820 Department of Justice - Continued

	2018-19*	2019-20*	2020-21*
BEGINNING BALANCE	\$708	\$708	\$708
Adjusted Beginning Balance	\$708	\$708	\$708
Total Resources	\$708	\$708	\$708
FUND BALANCE	\$708	\$708	\$708
Reserve for economic uncertainties	708	708	708
3132 Charity Bingo Mitigation Fund^s			
BEGINNING BALANCE	\$5	\$5	\$5
Adjusted Beginning Balance	\$5	\$5	\$5
Total Resources	\$5	\$5	\$5
FUND BALANCE	\$5	\$5	\$5
Reserve for economic uncertainties	5	5	5
3136 Foreclosure Consultant Regulation Fund^s			
BEGINNING BALANCE	\$13	\$13	\$14
Adjusted Beginning Balance	\$13	\$13	\$14
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	-	1	1
Total Revenues, Transfers, and Other Adjustments	-	\$1	\$1
Total Resources	\$13	\$14	\$15
FUND BALANCE	\$13	\$14	\$15
Reserve for economic uncertainties	13	14	15
3240 Secondhand Dealer and Pawnbroker Fund^s			
BEGINNING BALANCE	\$2,884	\$3,021	\$2,905
Prior Year Adjustments	19	-	-
Adjusted Beginning Balance	\$2,903	\$3,021	\$2,905
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4127400 Renewal Fees	454	454	454
4129400 Other Regulatory Licenses and Permits	85	85	85
4163000 Investment Income - Surplus Money Investments	60	60	60
Total Revenues, Transfers, and Other Adjustments	\$599	\$599	\$599
Total Resources	\$3,502	\$3,620	\$3,504
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	436	675	675
9892 Supplemental Pension Payments (State Operations)	4	10	10
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	41	30	28
Total Expenditures and Expenditure Adjustments	\$481	\$715	\$713
FUND BALANCE	\$3,021	\$2,905	\$2,791
Reserve for economic uncertainties	3,021	2,905	2,791
3285 Electronic Recording Authorization Fund^s			
BEGINNING BALANCE	-	\$104	\$87
Adjusted Beginning Balance	-	\$104	\$87
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	\$247	281	281
4163000 Investment Income - Surplus Money Investments	2	2	2
Total Revenues, Transfers, and Other Adjustments	\$249	\$283	\$283
Total Resources	\$249	\$387	\$370

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0820 Department of Justice - Continued

	2018-19*	2019-20*	2020-21*
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	145	300	300
Total Expenditures and Expenditure Adjustments	\$145	\$300	\$300
FUND BALANCE			
Reserve for economic uncertainties	104	87	70
3297 Major League Sporting Event Raffle Fund^s			
BEGINNING BALANCE	\$515	\$1,757	\$2,233
Prior Year Adjustments	10	-	-
Adjusted Beginning Balance	\$525	\$1,757	\$2,233
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	95	85	85
4129400 Other Regulatory Licenses and Permits	1,106	1,123	1,123
4163000 Investment Income - Surplus Money Investments	21	14	14
Transfers and Other Adjustments			
Loan from the General Fund (0001) to the Major League Sporting Event Raffle Fund (3297) per Item 0820-011-0001, Budget Acts	335	-	-
Total Revenues, Transfers, and Other Adjustments	\$1,557	\$1,222	\$1,222
Total Resources	\$2,082	\$2,979	\$3,455
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	322	704	647
9892 Supplemental Pension Payments (State Operations)	3	8	8
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	34	34
Total Expenditures and Expenditure Adjustments	\$325	\$746	\$689
FUND BALANCE			
Reserve for economic uncertainties	1,757	2,233	2,766
3303 Ammunition Safety and Enforcement Special Fund^s			
BEGINNING BALANCE	\$16,410	\$8,120	\$1,974
Adjusted Beginning Balance	\$16,410	\$8,120	\$1,974
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4127400 Renewal Fees	50	50	50
4129400 Other Regulatory Licenses and Permits	8	1,926	1,673
4163000 Investment Income - Surplus Money Investments	333	333	333
Total Revenues, Transfers, and Other Adjustments	\$391	\$2,309	\$2,056
Total Resources	\$16,801	\$10,429	\$4,030
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	8,680	7,181	3,984
8880 Financial Information System for California (State Operations)	1	-	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	1,274	-
Total Expenditures and Expenditure Adjustments	\$8,681	\$8,455	\$3,984
FUND BALANCE			
Reserve for economic uncertainties	8,120	1,974	46
3320 Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund^s			
BEGINNING BALANCE	-	\$4,924	\$4,315
Adjusted Beginning Balance	-	\$4,924	\$4,315
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			

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0820 Department of Justice - Continued

	2018-19*	2019-20*	2020-21*
4163000 Investment Income - Surplus Money Investments	\$219	-	-
Transfers and Other Adjustments			
Revenue Transfer from the California Healthcare Research and Prevention Tobacco Tax Act of 2016 Fund (3304) to the Department of Justice Tobacco Law Enforcement Account (3320) per Revenue and Taxation Code 30130.57(e)	-	2	-1,326
Revenue Transfer from the California Healthcare Research and Prevention Tobacco Tax Act of 2016 Fund (3304) to the Department of Justice Tobacco Law Enforcement Account (3320), per Revenue and Taxation Code 30130.57(e)	-	1,944	-
Revenue Transfer from the California Healthcare Research and Prevention Tobacco Tax Act of 2016 Fund (3304) to the Department of Justice, Tobacco Law Enforcement Account (3320) per Revenue and Taxation Code 30130.57(e)	36,000	32,276	33,410
Revenue Transfer from the Tobacco Law Enforcement Account Fund (3308) to the Department of Justice Tobacco Law Enforcement Account (3320) per Revenue and Taxation Code 30130.57(e)	-	3,712	-
Total Revenues, Transfers, and Other Adjustments	\$36,219	\$37,934	\$32,084
Total Resources	\$36,219	\$42,858	\$36,399
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	2,795	8,032	5,500
0820 Department of Justice (Local Assistance)	28,500	30,511	28,500
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	-	742
Total Expenditures and Expenditure Adjustments	\$31,295	\$38,543	\$34,742
FUND BALANCE	\$4,924	\$4,315	\$1,657
Reserve for economic uncertainties	4,924	4,315	1,657
3372 Data Brokers Registry Fund^s			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172500 Miscellaneous Revenue	-	-	360
Total Revenues, Transfers, and Other Adjustments	-	-	\$360
Total Resources	-	-	\$360
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	-	-	360
Total Expenditures and Expenditure Adjustments	-	-	\$360
FUND BALANCE	-	-	-

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	4,608.5	4,788.9	4,788.9	\$472,978	\$501,895	\$502,822
Budget Position Transparency	-	-124.7	-124.7	-	-	-
Salary and Other Adjustments	-93.5	48.3	46.0	-48,074	4,319	10,762
Workload and Administrative Adjustments						
"Other" Firearm Registration						
Temporary Help	-	-	-	-	-	94
California Law Enforcement Telecommunications System: Immigration (AB 1747)						
Assoc Govt Program Analyst	-	-	10.0	-	-	383
Info Tech Spec I	-	-	2.0	-	-	180
Info Tech Spec II	-	-	1.0	-	-	98
Legal Secty	-	-	-	-	-	161

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0820 Department of Justice - Continued

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Overtime	-	-	-	-	-	21
Research Data Analyst II	-	-	1.0	-	-	37
Research Data Spec II	-	-	1.0	-	-	42
Staff Svcs Mgr I	-	-	1.0	-	-	82
Temporary Help	-	-	-	-	-	57
Cardroom and Third Party Providers of Proposition Player Services Oversight						
Assoc Govtl Program Analyst	-	-	4.0	-	-	278
Dep Atty Gen IV	-	-	2.0	-	-	279
Fld Rep	-	-	5.0	-	-	174
Investigative Auditor III	-	-	7.0	-	-	526
Legal Secty	-	-	1.0	-	-	65
Overtime	-	-	-	-	-	230
Special Agent - Dept of Justice	-	-	10.0	-	-	450
Staff Svcs Analyst (Gen)	-	-	1.0	-	-	48
Temporary Help	-	-	-	-	-	58
Criminal Justice Data (AB 1331)						
Research Data Spec I	-	-	1.0	-	-	76
Criminal Records: Automatic Relief (AB 1076)						
Assoc Govtl Program Analyst	-	-	2.0	-	-	104
Criminal ID Spec III	-	-	2.0	-	-	57
Info Tech Assoc	-	-	-	-	-	68
Info Tech Spec I	-	-	2.0	-	-	494
Program Techn II	-	-	7.0	-	-	149
Research Data Spec I	-	-	1.0	-	-	76
Research Data Spec II	-	-	1.0	-	-	84
Supvng Program Techn II	-	-	1.0	-	-	24
Temporary Help	-	-	-	-	-	15
Firearms Transfer (SB 376)						
Assoc Govtl Program Analyst	-	-	1.0	-	-	81
Fld Rep	-	-	1.0	-	-	60
Firearms: Precursor Parts (AB 879) Update						
Assoc Govtl Program Analyst	-	-	1.0	-	-	139
Info Tech Spec II	-	-	1.0	-	-	98
Overtime	-	-	-	-	-	110
Staff Svcs Mgr III	-	-	1.0	-	-	105
Temporary Help	-	-	-	-	-	6
Firearms: Prohibited Persons (AB 164)						
Overtime	-	-	-	-	-	56
Special Agent - Dept of Justice	-	-	2.0	-	-	191
Temporary Help	-	-	-	-	-	4
Firearms: Reports to DOJ (SB 172)						
Assoc Govtl Program Analyst	-	-	-	-	-	69
Criminal ID Spec II	-	-	2.0	-	-	111
Firearms: Transfers (SB 61)						
Dep Atty Gen IV	-	-	-	-	-	145
Info Tech Spec I	-	-	2.0	-	-	300
Legal Secty	-	-	-	-	-	47

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0820 Department of Justice - Continued

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Gambling Control Act (AB 649)						
Dep Atty Gen IV	-	-	-	-	-	143
Legal Secty	-	-	-	-	-	32
Temporary Help	-	-	-	-	-	2
Healthcare Rights and Access Section						
C.E.A. - B	-	-	1.0	-	-	136
Dep Atty Gen IV	-	-	13.0	-	-	1,813
Investigative Auditor IV (Spec)	-	-	4.0	-	-	314
Legal Secty	-	-	13.0	-	-	646
Sr Legal Analyst	-	-	4.0	-	-	292
Supvng Dep Atty Gen	-	-	2.0	-	-	307
Licensing Section Support Staff						
Office Techn (Typing)	-	-	2.0	-	-	85
Program Techn II	-	-	5.0	-	-	213
Staff Svcs Mgr I	-	-	1.0	-	-	82
Temporary Help	-	-	-	-	-	16
Modify California Law Enforcement Telecommunications System: Immigration (AB 1747)						
Overtime	-	-	-	-	-	-21
Temporary Help	-	-	-	-	-	-57
Modify Firearms: Transfers (SB 61)						
Various	-	-	-	-	-	-246
Modify Healthcare Rights and Access Section						
Dep Atty Gen IV	-	-	-3.0	-	-	-418
Legal Secty	-	-	-6.0	-	-	-145
Sr Legal Analyst	-	-	-1.0	-	-	-124
Modify Privacy: Data Brokers (AB 1202)						
Info Tech Spec I	-	-	-1.0	-	-	-90
Personal Information: Data Breaches (AB 1130)						
Dep Atty Gen IV	-	-	1.0	-	-	143
Legal Secty	-	-	1.0	-	-	32
Preserving Access to Affordable Drugs (AB 824)						
Dep Atty Gen IV	-	-	3.0	-	-	430
Legal Secty	-	-	3.0	-	-	129
Sr Legal Analyst	-	-	1.0	-	-	73
Pretrial release: Risk Assessment Tools (SB 36)						
Research Data Analyst II	-	-	1.0	-	-	73
Research Data Spec I	-	-	1.0	-	-	76
Privacy: Data Brokers (AB 1202)						
Assoc Govtl Program Analyst	-	-	1.0	-	-	140
Info Tech Spec I	-	-	1.0	-	-	90
Public Records Act Workload						
Assoc Govtl Program Analyst	-	-	1.0	-	-	88
Rape Kits Testing (SB 22)						
Various	-	-	-	-	-	409
Registry of Charitable Trusts Workload						
Office Techn (Typing)	-	-	1.0	-	-	43
Staff Svcs Analyst (Gen)	-	-	9.0	-	-	433

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0820 Department of Justice - Continued

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Staff Svcs Mgr I	-	-	2.0	-	-	165
Sex Offender: Registration (SB 384) Funding Alignment						
Various	-	-	-	-	-	-1,081
TRUE Criminal Enforcement Program						
Various	-	-	13.0	-	-	1,100
TRUE Criminal Enforcement Program (AB 1296)						
Info Tech Spec II	-	-	2.0	-	-	197
Investigative Auditor IV (Spec)	-	-	2.0	-	-	157
Investigative Auditor IV (Supvr)	-	-	1.0	-	-	85
Legal Secty	-	-	2.0	-	-	97
Overtime	-	-	-	-	-	159
Research Data Analyst II	-	-	1.0	-	-	73
Research Data Spec I	-	-	1.0	-	-	77
Research Data Spec II	-	-	1.0	-	-	84
Research Data Supvr II	-	-	1.0	-	-	90
Special Agent - Dept of Justice	-	-	6.0	-	-	563
Special Agent-In-Charge	-	-	1.0	-	-	138
Sr Legal Analyst	-	-	2.0	-	-	146
Staff Svcs Analyst (Gen)	-	-	2.0	-	-	96
Supvng Dep Atty Gen	-	-	1.0	-	-	158
Temporary Help	-	-	-	-	-	18
Telecommunications: Caller Identification Fraud (AB 1132)						
Dep Atty Gen IV	-	-	1.0	-	-	143
Legal Secty	-	-	1.0	-	-	32
Victims Services Workload						
Assoc Govtl Program Analyst	-	-	3.0	-	-	250
Withdraw Cardroom and Third Party Providers of Proposition Player Services Oversight						
Assoc Govtl Program Analyst	-	-	-4.0	-	-	-278
Dep Atty Gen IV	-	-	-2.0	-	-	-279
Fld Rep	-	-	-5.0	-	-	-174
Investigative Auditor III	-	-	-7.0	-	-	-526
Legal Secty	-	-	-1.0	-	-	-65
Overtime	-	-	-	-	-	-230
Special Agent - Dept of Justice	-	-	-10.0	-	-	-450
Staff Svcs Analyst (Gen)	-	-	-1.0	-	-	-48
Temporary Help	-	-	-	-	-	-58
Withdraw Criminal Justice Data (AB 1331)						
Research Data Spec I	-	-	-1.0	-	-	-76
Withdraw Firearms: Prohibited Persons (AB 164)						
Overtime	-	-	-	-	-	-56
Special Agent - Dept of Justice	-	-	-2.0	-	-	-191
Temporary Help	-	-	-	-	-	-4
Withdraw Firearms: Reports to DOJ (SB 172)						
Assoc Govtl Program Analyst	-	-	-	-	-	-69
Criminal ID Spec II	-	-	-2.0	-	-	-111
Withdraw Licensing Section Support Staff						
Office Techn (Typing)	-	-	-2.0	-	-	-85

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0820 Department of Justice - Continued

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Program Techn II	-	-	-5.0	-	-	-213
Staff Svcs Mgr I	-	-	-1.0	-	-	-82
Temporary Help	-	-	-	-	-	-16
Withdraw Personal Information: Data Breaches (AB 1130)						
Dep Atty Gen IV	-	-	-1.0	-	-	-143
Legal Secty	-	-	-1.0	-	-	-32
Withdraw Pretrial Release: Risk Assessment Tools (SB 36)						
Research Data Analyst II	-	-	-1.0	-	-	-73
Research Data Spec I	-	-	-1.0	-	-	-76
Withdraw Public Records Act Workload						
Assoc Govtl Program Analyst	-	-	-1.0	-	-	-88
Withdraw Registry of Charitable Trusts Workload						
Office Techn (Typing)	-	-	-1.0	-	-	-43
Staff Svcs Analyst (Gen)	-	-	-9.0	-	-	-433
Staff Svcs Mgr I	-	-	-2.0	-	-	-165
Withdraw TRUE Criminal Enforcement Program (AB 1296)						
Info Tech Spec II	-	-	-2.0	-	-	-197
Investigative Auditor IV (Spec)	-	-	-2.0	-	-	-157
Investigative Auditor IV (Supvr)	-	-	-1.0	-	-	-85
Legal Secty	-	-	-2.0	-	-	-97
Overtime	-	-	-	-	-	-159
Research Data Analyst II	-	-	-1.0	-	-	-73
Research Data Spec I	-	-	-1.0	-	-	-77
Research Data Spec II	-	-	-1.0	-	-	-84
Research Data Supvr II	-	-	-1.0	-	-	-90
Special Agent - Dept of Justice	-	-	-6.0	-	-	-563
Special Agent-In-Charge	-	-	-1.0	-	-	-138
Sr Legal Analyst	-	-	-2.0	-	-	-146
Staff Svcs Analyst (Gen)	-	-	-2.0	-	-	-96
Supvng Dep Atty Gen	-	-	-1.0	-	-	-158
Temporary Help	-	-	-	-	-	-18
Withdraw Telecommunications: Caller Identification Fraud (AB 1132)						
Dep Atty Gen IV	-	-	-1.0	-	-	-143
Legal Secty	-	-	-1.0	-	-	-32
Withdraw Victim Services Workload						
Assoc Govtl Program Analyst	-	-	-3.0	-	-	-250
Worker Status: Employees and Independent Contractors (AB 5)						
Dep Atty Gen IV	-	-	1.0	-	-	146
Investigative Auditor IV (Spec)	-	-	1.0	-	-	87
Legal Secty	-	-	1.0	-	-	52
Sr Legal Analyst	-	-	1.0	-	-	82
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	91.0	\$-	\$-	\$7,558
Totals, Adjustments	-93.5	-76.4	12.3	\$-48,074	\$4,319	\$18,320
TOTALS, SALARIES AND WAGES	4,515.0	4,712.5	4,801.2	\$424,904	\$506,214	\$521,142

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0820 Department of Justice - Continued**0840 State Controller**

The State Controller is the chief fiscal officer of California, the fifth largest economy in the world. Principally responsible for transparency and accountability of the state's financial resources, the Controller ensures the appropriate disbursement and tracking of taxpayer dollars. The Controller serves on dozens of state boards, commissions, and committees with duties ranging from administrative oversight of the nation's two largest public pension funds, to protection of state lands and coastlines, to modernization and financing of major infrastructure. The Controller also offers fiscal guidance to local governments and has independent auditing authority over government agencies related to the spending of state funds. The Controller's primary objectives are to:

- Account for and control disbursement of all state funds, issuing warrants in payment of the state's bills, including lottery prizes.
- Determine legality and accuracy of financial claims against the state.
- Audit state and local government programs and agencies.
- Safeguard many types of assets until claimed by the rightful owners, in accordance with the Unclaimed Property Law.
- Inform the public of the state's financial condition.
- Inform the public of financial transactions of city, county, and other local governments.
- Administer the Uniform State Payroll System.
- Audit and process all personnel and payroll transactions for state civil service, state exempt employees, California State University employees, and Judicial Council employees.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0500100	Accounting and Reporting	287.4	292.8	296.8	\$39,000	\$43,901	\$44,780
0500200	Audits	238.4	289.7	289.7	31,865	39,220	39,057
0500300	Personnel/Payroll Services	228.1	247.0	255.0	31,670	45,768	37,859
0500400	Unclaimed Property	244.2	254.8	254.8	27,583	30,146	30,198
0500500	Disbursements	80.5	95.8	95.8	25,043	26,216	26,347
0500900	Departmental Administration	336.0	321.8	328.8	64,943	70,750	69,241
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		1,414.6	1,501.9	1,520.9	\$220,104	\$256,001	\$247,482

FUNDING		2018-19*	2019-20*	2020-21*
0001	General Fund	\$73,894	\$88,650	\$76,857
0046	Public Transportation Account, State Transportation Fund	19	19	19
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	4,868	5,087	5,092
0062	Highway Users Tax Account, Transportation Tax Fund	1,687	1,749	1,751
0064	Motor Vehicle License Fee Account, Transportation Tax Fund	17	17	17
0107	Abandoned Vehicle Trust Fund	4	2	2
0330	Local Revenue Fund	795	821	822
0877	DMV Local Agency Collection Fund	9	11	11
0890	Federal Trust Fund	1,234	1,449	1,437
0903	State Penalty Fund	1,554	1,623	1,625
0932	Trial Court Trust Fund	174	174	174
0969	Public Safety Account, Local Public Safety Fund	268	268	268
0970	Unclaimed Property Fund	43,281	46,629	46,550
0988	Other - Unallocated Non-Governmental Cost Funds	291	301	301

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0840 State Controller - Continued

FUNDING		2018-19*	2019-20*	2020-21*
0995	Reimbursements	50,346	65,064	65,113
3268	Senior Citizens and Disabled Citizens Property Tax Postponement Fund	2,174	2,335	2,519
3286	Safe Neighborhoods and Schools Fund	383	383	383
3290	Road Maintenance and Rehabilitation Account, State Transportation Fund	1,186	1,215	594
6044	2004 State School Facilities Fund	3	-	-
6057	2006 State School Facilities Fund	5	1,145	-
6086	2016 State School Facilities Fund	-	43	1,188
9740	Central Service Cost Recovery Fund	37,912	39,016	42,759
TOTALS, EXPENDITURES, ALL FUNDS		\$220,104	\$256,001	\$247,482

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Constitution, Article XVI, Section 7; and Government Code Section 12402 et seq.

PROGRAM AUTHORITY

0500100-Accounting and Reporting:

Constitution, Article XVI, Section 7; Government Code Title 1, Division 7, Chapter 21; Government Code Title 2, Division 1, Chapter 12.491; Government Code Title 2, Division 1, Chapter 12.5; Government Code Title 2, Division 3, Part 2, Chapter 5 and Part 10b, Chapter 4; Government Code Title 2, Division 4, Part 1, Chapter 5; Government Code Title 2, Division 4, Part 7; Government Code Title 3, Division 3, Chapters 8 and 9; Government Code Title 5, Division 2, Part 1, Chapter 4, Article 9; Government Code Title 8 Chapter 1, and Chapter 6, Article 10; Penal Code Section 1463.02; Public Contracts Code Division 2, Part 3, Chapter 2; Public Resources Code Division 3, Chapters 1, 3.5, 4, and 6; Public Utilities Code Division 10, Part 11, Chapter 4, Article 3 and 6.5; Revenue and Taxation Code, Division 2, Parts 2, 7, 8, 9.5 and 10.5; Streets and Highways Code Division 3, Chapter 3 and 4; and Welfare and Institutions Code Division 9, Part 5, Chapter 6.

0500200-Audits:

Constitution, Article XVI, Section 7; and Government Code Section 12402 et seq.

0500300-Personnel and Payroll Services:

Constitution, Article XVI, Section 7; Government Code Section 12402 et seq.; Government Code Section 12470; and Government Code Section 19822.3.

0500400-Unclaimed Property:

Code of Civil Procedure Part 3, Title 10.

0500500-Disbursements:

Constitution, Article XVI, Section 7; Government Code Section 11100; Government Code Section 12421; Government Code Section 12478; and Government Code 17000 et seq.

0500900-Departmental Administration:

Government Code Section 12402 et seq.; and Government Code Section 17220 et seq. Membership by State Controller on boards and commissions, principally: State Board of Equalization-Constitution, Articles VII, XIX, and XIII; Franchise Tax Board-Government Code Section 15700; Board of Public Employees' Retirement System-Government Code Section 20090; Board of State Teacher's Retirement System-Education Code Section 22200; California Victim Compensation Board-Government Code Section 13901; State Lands Commission-Public Resources Code Section 6101; and Pooled Money Investment Board-Government Code Section 16480.1. Various bond and finance committees: Education Code Section 15909; Military and Veterans Code Section 991; Water Code Section 12933; and Harbors and Navigation Code Section 3903.

MAJOR PROGRAM CHANGES

- **California State Payroll System Project** – The Budget includes approximately \$3.4 million in fiscal year 2020-21, \$1.7 million in fiscal year 2021-22, and \$1.2 million in fiscal year 2022-23 and ongoing to support 7 permanent positions and contracted service costs during the Project Approval Lifecycle process.

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0840 State Controller - Continued

- **California Automated Travel Expense Reimbursement System (CalATERS)** – The Budget includes \$3.1 million in fiscal year 2020-21, \$4.9 million in fiscal year 2021-22, and \$2.9 million in fiscal year 2022-23 to address workload associated with the CalATERS replacement project. The funding includes system integrator and maintenance contract costs.
- **Other-Post Employment Benefits Deduction Workload** – The Budget includes \$898,000 in 2020-21 and ongoing to support 7 permanent positions to maintain and support the employment history, payroll, reporting, and remittance functions related to OPEB automation.
- **Property Tax Postponement Program** – The Budget includes \$540,000 in 2020-21 and \$531,000 ongoing to support 3 permanent positions for ongoing program administration and 1 permanent position to address workload related to Chapter 794, Statutes of 2019 (AB 133).

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• California State Payroll System (CSPS) Project	\$-	\$-	-	\$1,966	\$1,483	7.0
• California Automated Travel Expense Reimbursement System (CalATERS)	-	-	-	1,764	1,332	1.0
• Other Post-Employment Benefits (OPEB) Deduction Workload	-	-	-	512	386	7.0
• Property Tax Postponement (PTP) Program - Continuing Positions and AB 133 Workload	-	-	-	-	540	4.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$4,242	\$3,741	19.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	453	735	-	451	737	-
• Attorney General Services Rate Increases	85	81	-	102	97	-
• Salary Adjustments	1,426	2,333	-	1,417	2,314	-
• Benefit Adjustments	695	1,144	-	795	1,326	-
• Retirement Rate Adjustments	675	1,122	-	675	1,122	-
• SWCAP	-	-	-	-	-12	-
• Miscellaneous Baseline Adjustments	-	-	-	-1,927	1,927	-
Totals, Other Workload Budget Adjustments	\$3,334	\$5,415	-	\$1,513	\$7,511	-
Totals, Workload Budget Adjustments	\$3,334	\$5,415	-	\$5,755	\$11,252	19.0
Totals, Budget Adjustments	\$3,334	\$5,415	-	\$5,755	\$11,252	19.0

PROGRAM DESCRIPTIONS

0500100 - ACCOUNTING AND REPORTING

The State Accounting and Reporting Division maintains uniform and systematic control accounts of all receipts, payments, state fund balances, and bonded indebtedness; reports the financial condition of the state; monitors the cash flow of the General Fund; administers the statewide discharge from accountability program; participates in offsetting monies owed to the state; and collaborates with the Department of Finance, the Treasurer, and the Department of General Services to develop and implement the Financial Information System for California (FISCal) to ensure the system meets the state's primary objectives and provides best business practices for the state.

The Local Government Programs and Services Division maintains a database of information and reports on local financial transactions and government compensation; apportions shared revenues to local governments; administers local mandated cost programs; approves county cost allocation plans; prescribes uniform accounting procedures for counties, special districts, and trial court revenues; administers and collects estate and inheritance taxes; collects delinquent insurance and motor vehicle fuel taxes, petroleum and gas assessments, and trial court penalties; refunds gasoline taxes paid on fuel consumed for off-highway purposes; instructs and advises county tax collectors; approves state and local government requests to participate in

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0840 State Controller - Continued

the Interagency Intercept Collection Program; and administers the Property Tax Postponement Program for seniors and people with disabilities.

0500200 - AUDITS

The Audits Division determines the legality and accuracy of all claims against the state through the performance of prepayment audits; assures the accuracy of local government claims and financial statements submitted to the state and federal governments by establishing and updating audit guidelines, and reviewing audits performed by independent auditors; performs field audits for state and federal programs; reviews the single audit reports of local governments and local education agencies that receive pass-through federal funds from state agencies; and audits major businesses for compliance with the Unclaimed Property Law.

0500300 - PERSONNEL AND PAYROLL SERVICES

The Personnel and Payroll Services Division administers the state's payroll, employment history, the automated travel expense reimbursement system, and leave accounting processes. The Division works with the Department of Human Resources, California Public Employees' Retirement System, Judicial Council, Department of Finance, and California State University Chancellor's Office to maximize the efficiency of the state's personnel and payroll functions.

The California State Payroll System Project was initiated to modernize the statewide human resource management and payroll systems as part of a fully integrated solution. The system should enable the state to improve management processes and fulfill its payroll, reporting, and deduction processing obligations accurately and on time.

0500400 - UNCLAIMED PROPERTY

The Unclaimed Property Division administers the Unclaimed Property Law by providing one central source for owners to claim their property; notifying owners of their unclaimed property before it is transferred to the state; receiving unclaimed property from banks, savings and loans, insurance companies, and other businesses; and providing outreach and education for businesses holding unclaimed property.

0500500 - DISBURSEMENTS

The Disbursements Bureau produces and delivers all payments of the state's obligations after auditing and clearance through control accounts. Examples of some of the state's payments are personal income tax refunds, payroll, vendor payments, and retirement payments. Disbursements also provides post-issuance services including disposition of undeliverable warrants; lost warrant duplication; and maintenance and production of paid warrant images.

0500900 - DEPARTMENTAL ADMINISTRATION

The Executive Office and the Administration Program provide executive direction and support services to all divisions in the State Controller's Office. The Information Systems Division develops, maintains, and operates all of the department's mainframe, client-server, and web-based systems; and oversees the planning, procurement, use, and maintenance of information technology hardware and software.

DETAILED EXPENDITURES BY PROGRAM

		<u>2018-19*</u>	<u>2019-20*</u>	<u>2020-21*</u>
PROGRAM REQUIREMENTS				
0500	STATE CONTROLLER'S OFFICE			
State Operations:				
0001	General Fund	\$73,894	\$88,650	\$76,857
0046	Public Transportation Account, State Transportation Fund	19	19	19
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	4,868	5,087	5,092
0062	Highway Users Tax Account, Transportation Tax Fund	1,687	1,749	1,751
0064	Motor Vehicle License Fee Account, Transportation Tax Fund	17	17	17
0107	Abandoned Vehicle Trust Fund	4	2	2
0330	Local Revenue Fund	795	821	822
0877	DMV Local Agency Collection Fund	9	11	11
0890	Federal Trust Fund	1,234	1,449	1,437

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0840 State Controller - Continued

		2018-19*	2019-20*	2020-21*
0903	State Penalty Fund	1,554	1,623	1,625
0932	Trial Court Trust Fund	174	174	174
0969	Public Safety Account, Local Public Safety Fund	268	268	268
0970	Unclaimed Property Fund	43,281	46,629	46,550
0988	Other - Unallocated Non-Governmental Cost Funds	291	301	301
0995	Reimbursements	50,346	65,064	65,113
3268	Senior Citizens and Disabled Citizens Property Tax Postponement Fund	2,174	2,335	2,519
3286	Safe Neighborhoods and Schools Fund	383	383	383
3290	Road Maintenance and Rehabilitation Account, State Transportation Fund	1,186	1,215	594
6044	2004 State School Facilities Fund	3	-	-
6057	2006 State School Facilities Fund	5	1,145	-
6086	2016 State School Facilities Fund	-	43	1,188
9740	Central Service Cost Recovery Fund	37,912	39,016	42,759
Totals, State Operations		\$220,104	\$256,001	\$247,482

SUBPROGRAM REQUIREMENTS**0500100 Accounting and Reporting****State Operations:**

0001	General Fund	\$11,430	\$12,378	\$12,452
0046	Public Transportation Account, State Transportation Fund	19	19	19
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	2,331	2,431	2,433
0062	Highway Users Tax Account, Transportation Tax Fund	493	499	499
0064	Motor Vehicle License Fee Account, Transportation Tax Fund	17	17	17
0107	Abandoned Vehicle Trust Fund	4	2	2
0330	Local Revenue Fund	795	821	822
0877	DMV Local Agency Collection Fund	9	11	11
0903	State Penalty Fund	215	222	223
0932	Trial Court Trust Fund	174	174	174
0969	Public Safety Account, Local Public Safety Fund	268	268	268
0988	Other - Unallocated Non-Governmental Cost Funds	201	208	208
0995	Reimbursements	9,084	11,167	11,182
3268	Senior Citizens and Disabled Citizens Property Tax Postponement Fund	2,174	2,335	2,519
3290	Road Maintenance and Rehabilitation Account, State Transportation Fund	239	245	132
6044	2004 State School Facilities Fund	3	-	-
6057	2006 State School Facilities Fund	5	742	-
6086	2016 State School Facilities Fund	-	26	768
9740	Central Service Cost Recovery Fund	11,539	12,336	13,051
Totals, State Operations		\$39,000	\$43,901	\$44,780

SUBPROGRAM REQUIREMENTS**0500200 Audits****State Operations:**

0001	General Fund	\$3,446	\$2,673	\$1,970
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	2,537	2,656	2,659
0062	Highway Users Tax Account, Transportation Tax Fund	1,194	1,250	1,252
0890	Federal Trust Fund	1,234	1,449	1,437
0903	State Penalty Fund	1,339	1,401	1,402
0970	Unclaimed Property Fund	2,327	3,279	3,282
0988	Other - Unallocated Non-Governmental Cost Funds	90	93	93
0995	Reimbursements	8,451	15,446	16,323
3286	Safe Neighborhoods and Schools Fund	383	383	383

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0840 State Controller - Continued

			2018-19*	2019-20*	2020-21*
3290	Road Maintenance and Rehabilitation Account, State Transportation Fund		947	970	462
6057	2006 State School Facilities Fund		-	403	-
6086	2016 State School Facilities Fund		-	17	420
9740	Central Service Cost Recovery Fund		9,917	9,200	9,374
	Totals, State Operations		\$31,865	\$39,220	\$39,057
	SUBPROGRAM REQUIREMENTS				
0500300	Personnel/Payroll Services				
	State Operations:				
0001	General Fund		\$6,485	\$19,714	\$9,007
0995	Reimbursements		11,175	11,006	11,020
9740	Central Service Cost Recovery Fund		14,010	15,048	17,832
	Totals, State Operations		\$31,670	\$45,768	\$37,859
	SUBPROGRAM REQUIREMENTS				
0500400	Unclaimed Property				
	State Operations:				
0970	Unclaimed Property Fund		27,539	29,997	30,049
0995	Reimbursements		44	149	149
	Totals, State Operations		\$27,583	\$30,146	\$30,198
	SUBPROGRAM REQUIREMENTS				
0500500	Disbursements				
	State Operations:				
0001	General Fund		\$1,238	\$2,980	\$3,032
0995	Reimbursements		21,359	20,804	20,813
9740	Central Service Cost Recovery Fund		2,446	2,432	2,502
	Totals, State Operations		\$25,043	\$26,216	\$26,347
	SUBPROGRAM REQUIREMENTS				
0500900	Departmental Administration				
	State Operations:				
0001	General Fund		\$51,295	\$50,905	\$50,396
0970	Unclaimed Property Fund		13,415	13,353	13,219
0995	Reimbursements		233	6,492	5,626
	Totals, State Operations		\$64,943	\$70,750	\$69,241
	TOTALS, EXPENDITURES				
	State Operations		220,104	256,001	247,482
	Totals, Expenditures		\$220,104	\$256,001	\$247,482

EXPENDITURES BY CATEGORY

	1 State Operations	Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES							
Baseline Positions		1,457.9	1,501.9	1,501.9	\$112,735	\$114,700	\$111,796
Other Adjustments		-43.3	-	19.0	-7,088	1,995	6,862
Net Totals, Salaries and Wages		1,414.6	1,501.9	1,520.9	\$105,647	\$116,695	\$118,658
Staff Benefits		-	-	-	56,638	64,596	62,706
Totals, Personal Services		1,414.6	1,501.9	1,520.9	\$162,285	\$181,291	\$181,364
OPERATING EXPENSES AND EQUIPMENT					\$57,819	\$65,210	\$66,118
SPECIAL ITEMS OF EXPENSES					-	9,500	-

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0840 State Controller - Continued

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$220,104	\$256,001	\$247,482

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$73,894	\$85,316	\$76,857
Allocation for Employee Compensation	-	1,426	-
Allocation for Other Post-Employment Benefits	-	453	-
Allocation for Staff Benefits	-	695	-
Attorney General Services Rate Increases	-	85	-
Section 3.60 Pension Contribution Adjustment	-	675	-
Totals Available	\$73,894	\$88,650	\$76,857
TOTALS, EXPENDITURES	\$73,894	\$88,650	\$76,857
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
Apportionment payment system assessments per Control Section 25.50	\$19	\$19	\$19
TOTALS, EXPENDITURES	\$19	\$19	\$19
0061 Motor Vehicle Fuel Account, Transportation Tax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,868	\$4,869	\$5,092
Allocation for Employee Compensation	-	96	-
Allocation for Other Post-Employment Benefits	-	30	-
Allocation for Staff Benefits	-	46	-
Section 3.60 Pension Contribution Adjustment	-	46	-
Totals Available	\$4,868	\$5,087	\$5,092
TOTALS, EXPENDITURES	\$4,868	\$5,087	\$5,092
0062 Highway Users Tax Account, Transportation Tax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,382	\$1,382	\$1,446
Allocation for Employee Compensation	-	28	-
Allocation for Other Post-Employment Benefits	-	9	-
Allocation for Staff Benefits	-	12	-
Section 3.60 Pension Contribution Adjustment	-	13	-
Apportionment payment system assessments per Control Section 25.50	305	305	305
TOTALS, EXPENDITURES	\$1,687	\$1,749	\$1,751
0064 Motor Vehicle License Fee Account, Transportation Tax Fund			
APPROPRIATIONS			
Apportionment payment system assessments per Control Section 25.50	\$17	\$17	\$17
TOTALS, EXPENDITURES	\$17	\$17	\$17
0107 Abandoned Vehicle Trust Fund			
APPROPRIATIONS			
Vehicle Code section 9250.7(b)	\$4	\$2	\$2
TOTALS, EXPENDITURES	\$4	\$2	\$2
0330 Local Revenue Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$689	\$695	\$722

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0840 State Controller - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
Allocation for Employee Compensation	-	12	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	5	-
Apportionment payment system assessments per Control Section 25.50	106	100	100
TOTALS, EXPENDITURES	\$795	\$821	\$822
0877 DMV Local Agency Collection Fund			
APPROPRIATIONS			
Vehicle Code section 9250.14(b)	\$7	\$9	\$9
Apportionment payment system assessments per Control Section 25.50	2	2	2
TOTALS, EXPENDITURES	\$9	\$11	\$11
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,234	\$1,383	\$1,437
Allocation for Employee Compensation	-	29	-
Allocation for Other Post-Employment Benefits	-	9	-
Allocation for Staff Benefits	-	14	-
Section 3.60 Pension Contribution Adjustment	-	14	-
Totals Available	\$1,234	\$1,449	\$1,437
TOTALS, EXPENDITURES	\$1,234	\$1,449	\$1,437
0903 State Penalty Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,554	\$1,554	\$1,625
Allocation for Employee Compensation	-	32	-
Allocation for Other Post-Employment Benefits	-	9	-
Allocation for Staff Benefits	-	14	-
Section 3.60 Pension Contribution Adjustment	-	14	-
TOTALS, EXPENDITURES	\$1,554	\$1,623	\$1,625
0932 Trial Court Trust Fund			
APPROPRIATIONS			
Apportionment payment system assessments per Control Section 25.50	\$174	\$174	\$174
TOTALS, EXPENDITURES	\$174	\$174	\$174
0969 Public Safety Account, Local Public Safety Fund			
APPROPRIATIONS			
Apportionment payment system assessments per Control Section 25.50	\$268	\$268	\$268
TOTALS, EXPENDITURES	\$268	\$268	\$268
0970 Unclaimed Property Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$43,281	\$44,737	\$46,550
Allocation for Employee Compensation	-	789	-
Allocation for Other Post-Employment Benefits	-	252	-
Allocation for Staff Benefits	-	394	-
Attorney General Services Rate Increases	-	81	-
Section 3.60 Pension Contribution Adjustment	-	376	-
Totals Available	\$43,281	\$46,629	\$46,550
TOTALS, EXPENDITURES	\$43,281	\$46,629	\$46,550
0988 Other - Unallocated Non-Governmental Cost Funds			
APPROPRIATIONS			
001 Budget Act appropriation	\$291	\$291	\$301
Allocation for Employee Compensation	-	5	-

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0840 State Controller - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	2	-
TOTALS, EXPENDITURES	\$291	\$301	\$301
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$50,346	\$65,064	\$65,113
TOTALS, EXPENDITURES	\$50,346	\$65,064	\$65,113
3268 Senior Citizens and Disabled Citizens Property Tax Postponement Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,174	\$2,239	\$2,519
Allocation for Employee Compensation	-	42	-
Allocation for Other Post-Employment Benefits	-	13	-
Allocation for Staff Benefits	-	20	-
Section 3.60 Pension Contribution Adjustment	-	21	-
Totals Available	\$2,174	\$2,335	\$2,519
TOTALS, EXPENDITURES	\$2,174	\$2,335	\$2,519
3286 Safe Neighborhoods and Schools Fund			
APPROPRIATIONS			
Government Code section 7599.2(d)	\$383	\$383	\$383
TOTALS, EXPENDITURES	\$383	\$383	\$383
3290 Road Maintenance and Rehabilitation Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,186	\$1,162	\$594
Allocation for Employee Compensation	-	24	-
Allocation for Other Post-Employment Benefits	-	7	-
Allocation for Staff Benefits	-	11	-
Section 3.60 Pension Contribution Adjustment	-	11	-
TOTALS, EXPENDITURES	\$1,186	\$1,215	\$594
6044 2004 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3	-	-
Totals Available	\$3	-	-
TOTALS, EXPENDITURES	\$3	-	-
6057 2006 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5	\$1,145	-
Totals Available	\$5	\$1,145	-
TOTALS, EXPENDITURES	\$5	\$1,145	-
6086 2016 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$43	\$1,188
Totals Available	-	\$43	\$1,188
TOTALS, EXPENDITURES	-	\$43	\$1,188
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$37,912	\$37,620	\$42,759
Allocation for Employee Compensation	-	612	-
Allocation for Other Post-Employment Benefits	-	192	-
Allocation for Staff Benefits	-	301	-

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0840 State Controller - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
Section 3.60 Pension Contribution Adjustment	-	291	-
Totals Available	\$37,912	\$39,016	\$42,759
TOTALS, EXPENDITURES	\$37,912	\$39,016	\$42,759
Total Expenditures, All Funds, (State Operations)	\$220,104	\$256,001	\$247,482
2 LOCAL ASSISTANCE	2018-19*	2019-20*	2020-21*
0979 California Firefighters Memorial Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$500	\$500
Allocation to California Firefighters' Memorial Fund	-	-500	-500
TOTALS, EXPENDITURES	-	-	-
Total Expenditures, All Funds, (Local Assistance)	\$0	\$0	\$0
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$220,104	\$256,001	\$247,482

FUND CONDITION STATEMENTS

	2018-19*	2019-20*	2020-21*
0442 California Olympic Training Account ^s			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4142500 License Plate Fees - Personalized Plates	\$48	\$52	\$52
Transfers and Other Adjustments			
Revenue Transfer from the California Olympic Training Account (0442) to the General Fund (0001) per Government Code Section 7592	-48	-52	-52
FUND BALANCE	-	-	-
3268 Senior Citizens and Disabled Citizens Property Tax Postponement Fund ^s			
BEGINNING BALANCE	\$16,003	\$15,805	\$15,069
Prior Year Adjustments	-2	-	-
Adjusted Beginning Balance	\$16,001	\$15,805	\$15,069
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4151000 Interest Income - Other Loans	2,802	2,693	2,693
4163000 Investment Income - Surplus Money Investments	339	317	317
Transfers and Other Adjustments			
Revenue Transfer from the Senior Citizens and Disabled Citizens Property Tax Postponement Fund (3268) to the General Fund (0001) per Government Code Section 16180(b)	2,785	-3,468	-3,396
Total Revenues, Transfers, and Other Adjustments	\$5,926	-\$458	-\$386
Total Resources	\$21,927	\$15,347	\$14,683
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0840 State Controller (State Operations)	2,174	2,335	2,519
9100 Tax Relief (Local Assistance)	3,525	-2,505	-2,505
9892 Supplemental Pension Payments (State Operations)	33	276	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	390	172	150
Total Expenditures and Expenditure Adjustments	\$6,122	\$278	\$164
FUND BALANCE	\$15,805	\$15,069	\$14,519
Reserve for economic uncertainties	15,805	15,069	14,519

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0840 State Controller - Continued**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	1,457.9	1,501.9	1,501.9	\$112,735	\$114,700	\$111,796
Salary and Other Adjustments	-43.3	-	-	-7,088	1,995	4,152
Workload and Administrative Adjustments						
California Automated Travel Expense Reimbursement System (CalATERS)						
Info Tech Spec I	-	-	1.0	-	-	93
California State Payroll System (CSPS) Project						
Assoc Govtl Program Analyst	-	-	2.0	-	-	141
Info Tech Mgr I	-	-	2.0	-	-	214
Info Tech Spec II	-	-	3.0	-	-	300
Other Post-Employment Benefits (OPEB) Deduction Workload						
Assoc Govtl Program Analyst	-	-	2.0	-	-	141
Info Tech Spec II	-	-	2.0	-	-	200
Sr Payroll Spec	-	-	3.0	-	-	178
Property Tax Postponement (PTP) Program - Continuing Positions and AB 133 Workload						
Assoc Accounting Analyst	-	-	1.0	-	-	74
Assoc Govtl Program Analyst	-	-	2.0	-	-	142
Staff Svcs Analyst (Gen)	-	-	1.0	-	-	53
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	19.0	\$-	\$-	\$1,536
Totals, Adjustments	-43.3	-	19.0	\$-7,088	\$1,995	\$5,688
TOTALS, SALARIES AND WAGES	1,414.6	1,501.9	1,520.9	\$105,647	\$116,695	\$117,484

0845 Department of Insurance

California's publicly-elected Insurance Commissioner regulates the fourth largest insurance economy in the world with insurers collecting more than \$310 billion in premiums annually in California while protecting consumers and the integrity, health and vitality of the insurance marketplace. The vision of the California Department of Insurance (CDI) is "Insurance Protection for All Californians." CDI accomplishes this by enforcing insurance laws and regulations, assisting consumers in their dealings with insurers, and using innovation to improve services for insurance producers and consumers.

The CDI licenses and regulates insurance companies, and individuals in California. Currently, the CDI oversees and licenses approximately 1,400 insurance companies and approximately 420,000 individuals and business entities as insurance agents, brokers, adjusters and bail agents. Additionally, the CDI receives and investigates approximately 200,000 consumer inquiries and complaints annually; performs examinations to ensure the financial solvency of companies; receives more than approximately 23,000 suspected fraudulent claim referrals annually; receives and reviews approximately 6,800 rate filing applications annually; and works in conjunction with local, state and federal law enforcement agencies to investigate and prosecute fraudulent insurance practices.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0520	Regulation of Insurance Companies and Insurance Producers	400.6	452.6	452.6	\$91,735	\$100,002	\$99,320
0525	Consumer Protection	305.3	319.2	319.2	60,635	66,477	66,659
0530	Fraud Control	272.7	317.4	317.4	135,697	146,147	151,513

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0845 Department of Insurance - Continued

		Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0535	General Fund Tax Collection and Compliance	3.3	3.6	3.6	1,087	1,396	1,400
9900100	Administration	236.9	224.6	224.6	35,312	37,893	37,497
9900200	Administration - Distributed	-	-	-	-35,312	-37,893	-37,497
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		1,218.8	1,317.4	1,317.4	\$289,154	\$314,022	\$318,892
FUNDING					2018-19*	2019-20*	2020-21*
0001	General Fund				\$4,859	\$10,967	\$11,316
0217	Insurance Fund				283,703	301,873	307,107
0890	Federal Trust Fund				461	810	25
0995	Reimbursements				131	372	444
TOTALS, EXPENDITURES, ALL FUNDS					\$289,154	\$314,022	\$318,892

LEGAL CITATIONS AND AUTHORITY

PROGRAM AUTHORITY

0520-Regulation of Insurance Companies and Insurance Producers:
Insurance Code, Sections 1-16032, except as noted below.

0525-Consumer Protection:

Insurance Code, Division 1, Part 2, Chapter 1, Articles 4, 5.1, 6, 6.3, and 6.5, Chapter 5, Article 12, Sections 1872.81, 10127.17, 10273.7, 12921.1-12921.5, 12928, and 12930.

0530-Fraud Control:

Insurance Code, Division 1, Part 2, Chapter 12 (except Section 1872.81 cited above).

0535-General Fund Tax Collection and Compliance:

Insurance Code, Sections 1774-1780; and Revenue and Taxation Code, Part 7 of Division 2.

MAJOR PROGRAM CHANGES

- Enhanced Fraud Investigation and Prevention (Fraud Data Analytics/eDiscovery)—The Budget includes \$840,000 General Fund to support the Fraud Data Analytics Software (FDAS) system and the eDiscovery Software-as-a-Service solution, which are currently used by the Fraud Division within the California Department of Insurance to perform fraud investigations of civil whistleblower cases related to the California Insurance Fraud Prevention Act, Insurance Code section 1871.7, et seq. Updates to the algorithms of the FDAS system will help the Fraud Division continue to select cases with the greatest impact on the industry and/or cases that have the greatest potential to benefit California consumers, while remaining relevant as fraud patterns change. The renewal of the eDiscovery software reduces the time spent on processing, reviewing, and organizing discovery data, which provides attorneys the ability to initiate independent investigations into large scale fraudulent schemes.
- Workers' Compensation Fraud Program—The Budget includes \$3.4 million Insurance Fund to support workers' compensation fraud investigation and prosecution workload increases, which aligns with the increased assessment approved by the Fraud Assessment Commission in September 2019. The goal of this program is to arrest, prosecute, and convict perpetrators who commit workers' compensation insurance fraud and to provide anti-fraud outreach and training to the public, private, and governmental sectors.

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0845 Department of Insurance - Continued**DETAILED BUDGET ADJUSTMENTS**

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Enhanced Fraud Investigation and Prevention (Fraud Data Analytics/eDiscovery)	\$-	\$-	-	\$840	\$-	-
• Workers' Compensation Fraud Program	-	-	-	-	3,449	-
• California Department of Insurance Rent Increases	-	-	-	-	1,800	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$840	\$5,249	-
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	44	1,319	-	44	1,319	-
• Expenditure by Category Redistribution	-	7,135	-	-	5,067	-
• Attorney General Services Rate Increases	-	364	-	-	437	-
• Salary Adjustments	276	6,486	-	270	6,236	-
• Benefit Adjustments	119	3,032	-	126	3,245	-
• Retirement Rate Adjustments	72	2,258	-	72	2,258	-
• SWCAP	-	-	-	-	-	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-713	-
• Budget Position Transparency	-	-7,135	-12.5	-	-5,067	-12.5
Totals, Other Workload Budget Adjustments	\$511	\$13,459	-12.5	\$512	\$12,782	-12.5
Totals, Workload Budget Adjustments	\$511	\$13,459	-12.5	\$1,352	\$18,031	-12.5
Totals, Budget Adjustments	\$511	\$13,459	-12.5	\$1,352	\$18,031	-12.5

PROGRAM DESCRIPTIONS**0520 - REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS**

The objectives of this program are to: (1) prevent losses to policyholders, beneficiaries or the public due to the insolvency of insurers, including the review of complex, principles-based reserving methods to avoid reliance on black box models; (2) prevent unlawful or unfair practices by insurers as defined by the Insurance Code; (3) ensure that property and casualty insurance rates are not excessive, inadequate, unfairly discriminatory or otherwise in violation of the Insurance Code and the California Code of Regulations; (4) assess and implement strategies to reduce climate risk impacts in the insurance sector; (5) review health insurance rates filed with CDI to determine whether they are reasonable and attempt to get unreasonable rates lowered by insurers; and (6) ensure that applicants for insurance licenses, and holders of insurance licenses, satisfy and maintain the qualifications for licensure. Through the Conservation and Liquidation Office, the CDI administers the estates of insolvent and delinquent insurance companies.

0525 - CONSUMER PROTECTION

The objectives of this program are to: (1) provide direct service to California consumers by protecting insurance policyholders and other parties involved in insurance transactions against unfair or illegal practices with respect to claims handling, rating or underwriting by insurers and (2) protect applicants and policyholders from discriminatory, unlawful or fraudulent practices or incompetence relating to the sale of insurance and (3) oversee programs that benefit California's underserved communities.

0530 - FRAUD CONTROL

The objective of this program is to protect the public from economic loss by actively investigating, arresting and referring for prosecution or adjudication those who commit insurance fraud and other violations of the law. The program is primarily staffed by sworn peace officers who conduct criminal investigations of insurance fraud and related criminal cases. The program administers the Insurance Frauds Prevention Act (IFPA), which authorizes the department to conduct criminal insurance fraud investigations. In addition, the IFPA authorizes the Commissioner to initiate or intervene in whistle-blower "qui tam" actions seeking civil penalties against perpetrators of insurance fraud.

0535 - GENERAL FUND TAX COLLECTION AND COMPLIANCE

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0845 Department of Insurance - Continued

This program performs tax collection; ensures compliance from insurance companies and surplus line brokers with the laws contained in the Insurance Code and Revenue and Taxation Code; and works with California Department of Tax and Fee Administration and State Controller's Office with various refund, assessment, and accounting matters relative to the premium tax program. Tax collections from this program are deposited in the state's General Fund.

DETAILED EXPENDITURES BY PROGRAM

		<u>2018-19*</u>	<u>2019-20*</u>	<u>2020-21*</u>
	PROGRAM REQUIREMENTS			
0520	REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS			
	State Operations:			
0217	Insurance Fund	\$91,283	\$98,960	\$99,070
0890	Federal Trust Fund	443	792	-
0995	Reimbursements	9	250	250
	Totals, State Operations	\$91,735	\$100,002	\$99,320
	SUBPROGRAM REQUIREMENTS			
0520010	Rate Regulation			
	State Operations:			
0217	Insurance Fund	\$31,070	\$26,807	\$26,910
0890	Federal Trust Fund	443	792	-
0995	Reimbursements	9	250	250
	Totals, State Operations	\$31,522	\$27,849	\$27,160
	SUBPROGRAM REQUIREMENTS			
0520019	Regulatory			
	State Operations:			
0217	Insurance Fund	\$20,396	\$30,447	\$30,499
	Totals, State Operations	\$20,396	\$30,447	\$30,499
	SUBPROGRAM REQUIREMENTS			
0520028	Licensing			
	State Operations:			
0217	Insurance Fund	\$33,882	\$32,496	\$32,419
	Totals, State Operations	\$33,882	\$32,496	\$32,419
	SUBPROGRAM REQUIREMENTS			
0520037	Special Programs			
	State Operations:			
0217	Insurance Fund	\$5,935	\$9,210	\$9,242
	Totals, State Operations	\$5,935	\$9,210	\$9,242
	PROGRAM REQUIREMENTS			
0525	CONSUMER PROTECTION			
	State Operations:			
0001	General Fund	\$1,016	\$2,537	\$2,529
0217	Insurance Fund	58,869	63,190	63,380
	Totals, State Operations	\$59,885	\$65,727	\$65,909
	Local Assistance:			
0217	Insurance Fund	\$750	\$750	\$750
	Totals, Local Assistance	\$750	\$750	\$750
	SUBPROGRAM REQUIREMENTS			
0525010	Legal Compliance			
	State Operations:			
0217	Insurance Fund	\$10,901	\$12,282	\$12,267

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0845 Department of Insurance - Continued

			2018-19*	2019-20*	2020-21*
	Totals, State Operations		\$10,901	\$12,282	\$12,267
	SUBPROGRAM REQUIREMENTS				
0525019	Investigations				
	State Operations:				
0217	Insurance Fund		\$15,980	\$18,389	\$18,450
	Totals, State Operations		\$15,980	\$18,389	\$18,450
	Local Assistance:				
0217	Insurance Fund		\$750	\$750	\$750
	Totals, Local Assistance		\$750	\$750	\$750
	SUBPROGRAM REQUIREMENTS				
0525028	Consumer Services and Market Conduct				
	State Operations:				
0217	Insurance Fund		\$31,988	\$32,519	\$32,663
	Totals, State Operations		\$31,988	\$32,519	\$32,663
	SUBPROGRAM REQUIREMENTS				
0525037	Enhanced Fraud Investigation Division				
	State Operations:				
0001	General Fund		\$1,016	\$2,537	\$2,529
	Totals, State Operations		\$1,016	\$2,537	\$2,529
	PROGRAM REQUIREMENTS				
0530	FRAUD CONTROL				
	State Operations:				
0001	General Fund		\$2,343	\$6,930	\$7,287
0217	Insurance Fund		56,612	66,221	67,702
0890	Federal Trust Fund		18	18	25
0995	Reimbursements		122	122	194
	Totals, State Operations		\$59,095	\$73,291	\$75,208
	Local Assistance:				
0001	General Fund		\$1,500	\$1,500	\$1,500
0217	Insurance Fund		75,102	71,356	74,805
	Totals, Local Assistance		\$76,602	\$72,856	\$76,305
	SUBPROGRAM REQUIREMENTS				
0530010	Fraud - Auto				
	State Operations:				
0217	Insurance Fund		\$26,334	\$26,529	\$27,153
0995	Reimbursements		122	122	194
	Totals, State Operations		\$26,456	\$26,651	\$27,347
	Local Assistance:				
0217	Insurance Fund		\$26,996	\$22,100	\$22,100
	Totals, Local Assistance		\$26,996	\$22,100	\$22,100
	SUBPROGRAM REQUIREMENTS				
0530019	Fraud - Workers' Compensation				
	State Operations:				
0217	Insurance Fund		\$23,055	\$31,684	\$32,357
0890	Federal Trust Fund		18	18	25
	Totals, State Operations		\$23,073	\$31,702	\$32,382
	Local Assistance:				
0217	Insurance Fund		\$40,690	\$42,440	\$45,889
	Totals, Local Assistance		\$40,690	\$42,440	\$45,889

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0845 Department of Insurance - Continued

		<u>2018-19*</u>	<u>2019-20*</u>	<u>2020-21*</u>
	SUBPROGRAM REQUIREMENTS			
0530028	Fraud - General Assessment			
	State Operations:			
0217	Insurance Fund	\$2,867	\$3,511	\$3,588
	Totals, State Operations	<u>\$2,867</u>	<u>\$3,511</u>	<u>\$3,588</u>
	SUBPROGRAM REQUIREMENTS			
0530037	Fraud - Disability and Healthcare			
	State Operations:			
0217	Insurance Fund	\$4,356	\$4,497	\$4,604
	Totals, State Operations	<u>\$4,356</u>	<u>\$4,497</u>	<u>\$4,604</u>
	Local Assistance:			
0217	Insurance Fund	\$7,416	\$6,816	\$6,816
	Totals, Local Assistance	<u>\$7,416</u>	<u>\$6,816</u>	<u>\$6,816</u>
	SUBPROGRAM REQUIREMENTS			
0530055	Enhanced Fraud - Fraud Division			
	State Operations:			
0001	General Fund	\$1,229	\$4,151	\$4,155
	Totals, State Operations	<u>\$1,229</u>	<u>\$4,151</u>	<u>\$4,155</u>
	Local Assistance:			
0001	General Fund	\$1,500	\$1,500	\$1,500
	Totals, Local Assistance	<u>\$1,500</u>	<u>\$1,500</u>	<u>\$1,500</u>
	SUBPROGRAM REQUIREMENTS			
0530064	Enhanced Fraud - Legal Branch			
	State Operations:			
0001	General Fund	\$1,114	\$2,779	\$3,132
	Totals, State Operations	<u>\$1,114</u>	<u>\$2,779</u>	<u>\$3,132</u>
	PROGRAM REQUIREMENTS			
0535	GENERAL FUND TAX COLLECTION AND COMPLIANCE			
	State Operations:			
0217	Insurance Fund	\$1,087	\$1,396	\$1,400
	Totals, State Operations	<u>\$1,087</u>	<u>\$1,396</u>	<u>\$1,400</u>
	SUBPROGRAM REQUIREMENTS			
9900100	Administration			
	State Operations:			
0217	Insurance Fund	\$35,312	\$37,893	\$37,497
	Totals, State Operations	<u>\$35,312</u>	<u>\$37,893</u>	<u>\$37,497</u>
	SUBPROGRAM REQUIREMENTS			
9900200	Administration - Distributed			
	State Operations:			
0217	Insurance Fund	-\$35,312	-\$37,893	-\$37,497
	Totals, State Operations	<u>-\$35,312</u>	<u>-\$37,893</u>	<u>-\$37,497</u>
	TOTALS, EXPENDITURES			
	State Operations	211,802	240,416	241,837
	Local Assistance	77,352	73,606	77,055
	Totals, Expenditures	<u>\$289,154</u>	<u>\$314,022</u>	<u>\$318,892</u>

EXPENDITURES BY CATEGORY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0845 Department of Insurance - Continued

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES						
Baseline Positions	1,325.8	1,329.9	1,329.9	\$116,819	\$117,292	\$117,292
Budget Position Transparency	-	-12.5	-12.5	-	-7,135	-5,067
Other Adjustments	-107.0	-	-	-9,247	6,762	6,585
Net Totals, Salaries and Wages	1,218.8	1,317.4	1,317.4	\$107,572	\$116,919	\$118,810
Staff Benefits	-	-	-	58,648	68,684	68,880
Totals, Personal Services	1,218.8	1,317.4	1,317.4	\$166,220	\$185,603	\$187,690
OPERATING EXPENSES AND EQUIPMENT				\$45,445	\$54,513	\$53,847
SPECIAL ITEMS OF EXPENSES				137	300	300
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$211,802	\$240,416	\$241,837
2 Local Assistance				Expenditures		
				2018-19*	2019-20*	2020-21*
Grants and Subventions - Governmental				\$77,352	\$73,606	\$77,055
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)				\$77,352	\$73,606	\$77,055

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		2018-19*	2019-20*	2020-21*
0001 General Fund				
APPROPRIATIONS				
001 Budget Act appropriation		\$2,531	\$6,320	\$7,554
Allocation for Employee Compensation		-	203	-
Allocation for Other Post-Employment Benefits		-	32	-
Allocation for Staff Benefits		-	97	-
Section 3.60 Pension Contribution Adjustment		-	60	-
002 Budget Act appropriation	828		2,636	2,262
Allocation for Employee Compensation		-	73	-
Allocation for Other Post-Employment Benefits		-	12	-
Allocation for Staff Benefits		-	22	-
Section 3.60 Pension Contribution Adjustment		-	12	-
Totals Available		\$3,359	\$9,467	\$9,816
TOTALS, EXPENDITURES		\$3,359	\$9,467	\$9,816
0217 Insurance Fund				
APPROPRIATIONS				
001 Budget Act appropriation		\$207,851	\$216,308	\$231,552
Allocation for Employee Compensation		-	6,486	-
Allocation for Other Post-Employment Benefits		-	1,319	-
Allocation for Staff Benefits		-	3,032	-
Attorney General Services Rate Increases		-	364	-
Budget Position Transparency		-	-7,135	-
Expenditure by Category Redistribution		-	7,135	-
Section 3.60 Pension Contribution Adjustment		-	2,258	-
Totals Available		\$207,851	\$229,767	\$231,552
TOTALS, EXPENDITURES		\$207,851	\$229,767	\$231,552
0890 Federal Trust Fund				

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0845 Department of Insurance - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
APPROPRIATIONS			
001 Budget Act appropriation	\$461	\$810	\$25
Totals Available	\$461	\$810	\$25
TOTALS, EXPENDITURES	\$461	\$810	\$25
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$131	\$372	\$444
TOTALS, EXPENDITURES	\$131	\$372	\$444
Total Expenditures, All Funds, (State Operations)	\$211,802	\$240,416	\$241,837
2 LOCAL ASSISTANCE	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,500	\$1,500	\$1,500
TOTALS, EXPENDITURES	\$1,500	\$1,500	\$1,500
0217 Insurance Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$75,852	\$72,106	\$75,555
Totals Available	\$75,852	\$72,106	\$75,555
TOTALS, EXPENDITURES	\$75,852	\$72,106	\$75,555
Total Expenditures, All Funds, (Local Assistance)	\$77,352	\$73,606	\$77,055
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$289,154	\$314,022	\$318,892

FUND CONDITION STATEMENTS

	2018-19*	2019-20*	2020-21*
0217 Insurance Fund^s			
BEGINNING BALANCE	\$31,945	\$18,474	\$14,152
Prior Year Adjustments	517	-	-
Adjusted Beginning Balance	\$32,462	\$18,474	\$14,152
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4124000 Insurance Company - Examination Fees	25,048	24,719	28,932
4124200 Insurance Company - License Fees and Penalties	56,109	64,668	66,229
4124400 Insurance Company - General Fees	34,883	38,493	38,650
4124600 Insurance Company - Proposition 103 Fees	33,822	35,253	37,695
4124800 Insurance Fraud Assessment - Automobile	53,013	53,748	54,366
4125000 Insurance Fraud Assessment - General	13,994	13,802	14,050
4125200 Insurance Fraud Assessment - Workers Compensation	66,894	72,644	78,508
4140000 Document Sales	101	101	101
4143500 Miscellaneous Services to the Public	16	16	16
4163000 Investment Income - Surplus Money Investments	729	729	729
4170400 Capital Asset Sales Proceeds	77	77	77
4171100 Cost Recoveries - Other	2,006	6,966	2,005
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	7	7	7
4172500 Miscellaneous Revenue	216	215	215
Transfers and Other Adjustments			
Revenue Transfer from Insurance Fund (0217) to California Health Data and Planning Fund (0143) per Chapter 603, Statutes of 2017	-35	-60	-55

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0845 Department of Insurance - Continued

	2018-19*	2019-20*	2020-21*
Revenue Transfer from Insurance Fund (0217) to Office of Patient Advocate Trust Fund (3209) per Chapter 552, Statutes of 2011	-154	-145	-143
Total Revenues, Transfers, and Other Adjustments	\$286,726	\$311,233	\$321,382
Total Resources	\$319,188	\$329,707	\$335,534
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0690 Office of Emergency Services (State Operations)	-	-	1,300
0845 Department of Insurance (State Operations)	207,851	229,767	231,552
0845 Department of Insurance (Local Assistance)	75,852	72,106	75,555
1690 Alfred E. Alquist Seismic Safety Commission (State Operations)	1,192	1,325	-
8880 Financial Information System for California (State Operations)	21	-25	-
9892 Supplemental Pension Payments (State Operations)	2,600	1,547	3,469
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	13,198	10,835	11,111
Total Expenditures and Expenditure Adjustments	\$300,714	\$315,555	\$322,987
FUND BALANCE			
Reserve for economic uncertainties	\$18,474	\$14,152	\$12,547
	18,474	14,152	12,547

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	1,325.8	1,329.9	1,329.9	\$116,819	\$117,292	\$117,292
Budget Position Transparency	-	-12.5	-12.5	-	-7,135	-5,067
Salary and Other Adjustments	-107.0	-	-	-9,247	6,762	6,585
Totals, Adjustments	-107.0	-12.5	-12.5	\$-9,247	\$-373	\$1,518
TOTALS, SALARIES AND WAGES	1,218.8	1,317.4	1,317.4	\$107,572	\$116,919	\$118,810

0850 California State Lottery Commission

In 1984, Proposition 37 amended the California Constitution to authorize the establishment of a statewide lottery. As an initiative statute, the California State Lottery Act (Act) of 1984 created the California State Lottery Commission and gave it broad powers to oversee the operations of a statewide lottery. The purpose of the Act was to provide supplemental monies to benefit public education. The Lottery is overseen by a five-person Commission appointed by the Governor and confirmed by the State Senate.

The Act initially required that 50 percent of total annual revenues be returned to the public in the form of prizes and at least 34 percent of total revenues be allocated to the benefit of public education. No more than 16 percent of total revenues were to be used for administrative costs.

In 2010, the Act was changed to allow the Lottery flexibility to pay out more money in prizes and reduce the administrative cost limit to 13 percent of total revenues. Along with that flexibility, the new law requires the Lottery to meet minimum levels of contribution to public education. Revenues to education are placed in a special fund, known as the California State Lottery Education Fund, which holds revenues until they are allocated on a per capita basis, using prior year certified Average Daily Attendance data, to the following categories: K-12 education, Community Colleges, the California State University, the University of California, and other educational entities, including the California Schools for the Deaf and Blind.

In the 34 years since sales began in October 1985 through June 30, 2019, the California State Lottery has raised \$36.0 billion for public education, including \$1.85 billion in FY 2018-19.

Because of the inherently variable nature of lottery ticket sales, revenue estimates for 2019-20 and 2020-21 cannot be made with certainty.

LEGAL CITATIONS AND AUTHORITY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0850 California State Lottery Commission - Continued**DEPARTMENT AUTHORITY**

Government Code, Title 2, Division 1, Chapter 12.5 (Sections 8880-8880.72).

0850 California State Lottery Commission - Continued
Statement of Operations

	2018-19*	2019-20*	2020-21*
Lottery sales	\$7,388,050	\$7,295,000	\$7,295,000
Less prizes	4,715,593	4,771,461	4,771,461
Sales after prizes	2,672,457	2,523,539	2,523,539
Less Gaming Costs:			
Retailer costs	505,903	500,874	500,874
Gaming system costs	92,089	87,089	87,089
Instant ticket costs	39,208	43,299	43,299
Total, Game Costs	\$637,200	\$631,262	\$631,262
Resources before operating expenses	2,035,257	1,892,276	1,892,276
Operating Expenses:			
Salaries, wages and benefits	112,081	117,923	120,476
Advertising	66,773	63,900	63,900
Promotion, public relations and point-of-sale	9,306	34,500	34,500
Other professional services	16,898	21,394	21,394
Depreciation and amortization	18,815	21,574	21,574
Other general and administrative expenses	14,080	57,796	55,243
Total, Operating Expenses	\$237,953	\$317,087	\$317,087
Income and Proceeds to Education	1,797,304	1,575,189	1,575,189
Interest and Other Income	15,503	12,000	12,000
Net Resources	\$1,812,807	\$1,587,189	\$1,587,189
Unclaimed Prizes	45,523	35,000	35,000
Administrative Reserve	0	37,811	35,258
TOTAL RESOURCES DUE TO EDUCATION FUND	\$1,858,330	\$1,660,000	\$1,657,447

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0850 California State Lottery Commission - Continued
Distribution of State Lottery Education Fund Revenues

	2018-19*	2019-20*	2020-21*
Department of Education (K-12)	\$1,466,103	\$1,309,633	\$1,307,619
California Community Colleges	\$275,391	\$246,000	\$245,621
California State University	\$69,572	\$62,147	\$62,051
University of California	\$46,722	\$41,736	\$41,672
Other Public Colleges and Universities	\$166	\$148	\$148
Miscellaneous Educational Institutions	<hr/> \$376	<hr/> \$336	<hr/> \$336
TOTALS	\$1,858,330	\$1,660,000	\$1,657,447

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0855 California Gambling Control Commission

The mission of the California Gambling Control Commission (Commission) is to ensure integrity in California's gaming environment. The Commission has jurisdiction over gambling establishments (cardrooms), third-party providers of proposition player services, and certain aspects of Tribal casinos, pursuant to its authority under state law and Tribal-State Gaming Compacts (Compacts). The Commission also has jurisdiction over gaming policies, regulations, criteria, and standards.

There are 86 licensed cardrooms in California over which the Commission has broad regulatory and adjudicatory authority. This authority extends to the operation, concentration, and supervision of the cardrooms and all persons and things related to each licensed establishment.

The Commission has fiduciary, regulatory, and administrative responsibilities related to Tribal gaming that include: (1) distributing specified Tribal gaming revenues to Non-Compact, Non-Gaming and Limited-Gaming Tribes; (2) making suitability determinations for key employees, gaming resource suppliers, and financial sources; and (3) serving as the administrator of the Indian Gaming Revenue Sharing Trust Fund, Indian Gaming Special Distribution Fund, and the Tribal Nation Grant Fund.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0560 California Gambling Control Commission	32.9	33.3	30.6	\$83,899	\$149,579	\$153,959
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	32.9	33.3	30.6	\$83,899	\$149,579	\$153,959
FUNDING				2018-19*	2019-20*	2020-21*
0366 Indian Gaming Revenue Sharing Trust Fund				\$77,825	\$96,500	\$96,500
0367 Indian Gaming Special Distribution Fund				2,572	3,277	3,267
0567 Gambling Control Fund				3,502	4,494	4,192
8089 Tribal Nation Grant Fund				-	45,308	50,000
TOTALS, EXPENDITURES, ALL FUNDS				\$83,899	\$149,579	\$153,959

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Business and Professions Code Sections 19811-19825 and 19840-19984

Government Code Sections 12012.25 - 12012.90, 12710 - 12715, 12716 - 12718, and 63048.65

Penal Code Sections 326.4-326.5, and 337j

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Tribal Nation Grant Fund Authority Adjustment	\$-	\$-	-	\$-	\$10,682	-
• Expenditure by Category Redistribution	-	236	-	-	100	-
• Other Post-Employment Benefit Adjustments	-	35	-	-	35	-
• Revised Expenditure Authority per Provision 1	-	5,990	-	-	-	-
• Salary Adjustments	-	129	-	-	119	-
• Benefit Adjustments	-	58	-	-	66	-
• Retirement Rate Adjustments	-	61	-	-	61	-
• Budget Position Transparency	-	-236	-1.1	-	-100	-3.8

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0855 California Gambling Control Commission - Continued

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Totals, Other Workload Budget Adjustments	\$-	\$6,273	-1.1	\$-	\$10,963	-3.8
Totals, Workload Budget Adjustments	\$-	\$6,273	-1.1	\$-	\$10,963	-3.8
Totals, Budget Adjustments	\$-	\$6,273	-1.1	\$-	\$10,963	-3.8

DETAILED EXPENDITURES BY PROGRAM

			2018-19*	2019-20*	2020-21*
			General Fund	Other Funds	Positions
PROGRAM REQUIREMENTS					
0560	CALIFORNIA GAMBLING CONTROL COMMISSION				
State Operations:					
0367	Indian Gaming Special Distribution Fund		\$2,572	\$3,277	\$3,267
0567	Gambling Control Fund		3,502	4,494	4,192
Totals, State Operations			\$6,074	\$7,771	\$7,459
Local Assistance:					
0366	Indian Gaming Revenue Sharing Trust Fund		\$77,825	\$96,500	\$96,500
8089	Tribal Nation Grant Fund		-	45,308	50,000
Totals, Local Assistance			\$77,825	\$141,808	\$146,500
TOTALS, EXPENDITURES					
State Operations			6,074	7,771	7,459
Local Assistance			77,825	141,808	146,500
Totals, Expenditures			\$83,899	\$149,579	\$153,959

EXPENDITURES BY CATEGORY

	1 State Operations	Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES							
Baseline Positions		33.4	34.4	34.4	\$3,318	\$3,393	\$3,207
Budget Position Transparency		-	-1.1	-3.8	-	-236	-100
Other Adjustments		-0.5	-	-	-40	129	119
Net Totals, Salaries and Wages		32.9	33.3	30.6	\$3,278	\$3,286	\$3,226
Staff Benefits		-	-	-	1,697	2,716	2,498
Totals, Personal Services		32.9	33.3	30.6	\$4,975	\$6,002	\$5,724
OPERATING EXPENSES AND EQUIPMENT						\$1,099	\$1,769
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)						\$6,074	\$7,771
2 Local Assistance							
		Expenditures					
		2018-19*	2019-20*	2020-21*			
Grants and Subventions - Governmental		\$77,825	\$141,808	\$146,500			
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)		\$77,825	\$141,808	\$146,500			

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

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0855 California Gambling Control Commission - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0367 Indian Gaming Special Distribution Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,572	\$3,158	\$3,267
Allocation for Employee Compensation	-	54	-
Allocation for Other Post-Employment Benefits	-	15	-
Allocation for Staff Benefits	-	24	-
Budget Position Transparency	-	-99	-
Expenditure by Category Redistribution	-	99	-
Section 3.60 Pension Contribution Adjustment	-	26	-
Totals Available	\$2,572	\$3,277	\$3,267
TOTALS, EXPENDITURES	\$2,572	\$3,277	\$3,267
0567 Gambling Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,502	\$4,330	\$4,192
Allocation for Employee Compensation	-	75	-
Allocation for Other Post-Employment Benefits	-	20	-
Allocation for Staff Benefits	-	34	-
Budget Position Transparency	-	-137	-
Expenditure by Category Redistribution	-	137	-
Section 3.60 Pension Contribution Adjustment	-	35	-
Totals Available	\$3,502	\$4,494	\$4,192
TOTALS, EXPENDITURES	\$3,502	\$4,494	\$4,192
Total Expenditures, All Funds, (State Operations)	\$6,074	\$7,771	\$7,459
2 LOCAL ASSISTANCE	2018-19*	2019-20*	2020-21*
0366 Indian Gaming Revenue Sharing Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$77,825	\$96,500	\$96,500
111 Budget Act appropriation (transfer to Tribal Nation Grant Fund)	(-)	(39,318)	(50,000)
Revised Expenditure Authority per Provision 1	(-)	(5,990)	(-)
Totals Available	\$77,825	\$96,500	\$96,500
TOTALS, EXPENDITURES	\$77,825	\$96,500	\$96,500
0367 Indian Gaming Special Distribution Fund			
APPROPRIATIONS			
111 Budget Act appropriation (transfer to Indian Gaming Revenue Sharing Trust Fund)	(\$1)	(\$1)	(\$1)
TOTALS, EXPENDITURES	-	-	-
8089 Tribal Nation Grant Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$39,318	\$50,000
Revised Expenditure Authority per Provision 1	-	5,990	-
TOTALS, EXPENDITURES	-	\$45,308	\$50,000
Total Expenditures, All Funds, (Local Assistance)	\$77,825	\$141,808	\$146,500
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$83,899	\$149,579	\$153,959

FUND CONDITION STATEMENTS

	2018-19*	2019-20*	2020-21*
0367 Indian Gaming Special Distribution Fund^s			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0855 California Gambling Control Commission - Continued

	2018-19*	2019-20*	2020-21*
BEGINNING BALANCE	\$32,986	\$51,471	\$40,243
Prior Year Adjustments	951	-	-
Adjusted Beginning Balance	<u>\$33,937</u>	<u>\$51,471</u>	<u>\$40,243</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	774	542	400
4173900 Tribal Gaming Revenues	49,833	25,254	39,706
Transfers and Other Adjustments			
Revenue Transfer from the Indian Gaming Special Distribution Fund (0367) to the Indian Gaming Revenue Sharing Trust Fund (0366) per Item 0855-111-0367, Budget Acts	-	-1	-1
Total Revenues, Transfers, and Other Adjustments	<u>\$50,607</u>	<u>\$25,795</u>	<u>\$40,105</u>
Total Resources	<u>\$84,544</u>	<u>\$77,266</u>	<u>\$80,348</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	20,384	23,218	23,222
0855 California Gambling Control Commission (State Operations)	2,572	3,277	3,267
4265 Department of Public Health (State Operations)	4,141	4,369	4,374
4265 Department of Public Health (Local Assistance)	4,000	4,000	4,000
7501 Department of Human Resources (State Operations)	60	75	75
8880 Financial Information System for California (State Operations)	3	-4	-
9892 Supplemental Pension Payments (State Operations)	307	623	623
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	1,606	1,465	1,617
Total Expenditures and Expenditure Adjustments	<u>\$33,073</u>	<u>\$37,023</u>	<u>\$37,178</u>
FUND BALANCE	<u>\$51,471</u>	<u>\$40,243</u>	<u>\$43,170</u>
Reserve for economic uncertainties	<u>51,471</u>	<u>40,243</u>	<u>43,170</u>

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	33.4	34.4	34.4	\$3,318	\$3,393	\$3,207
Budget Position Transparency	-	-1.1	-3.8	-	-236	-100
Salary and Other Adjustments	-0.5	-	-	-40	129	119
Totals, Adjustments	<u>-0.5</u>	<u>-1.1</u>	<u>-3.8</u>	<u>\$-40</u>	<u>\$107</u>	<u>\$19</u>
TOTALS, SALARIES AND WAGES	32.9	33.3	30.6	\$3,278	\$3,286	\$3,226

0860 State Board of Equalization

The State Board of Equalization's (BOE) duties primarily include assessing and allocating the property values of railroads and specified utilities and businesses; adjudicating property tax appeals of taxable government owned property; overseeing the property tax assessment practices of the 58 county assessors; adopting rules to clarify property tax laws; and administering the alcoholic beverage excise tax and the insurance tax, including appeals. The BOE contracts with the California Department of Tax and Fee Administration (CDTFA) to administer the alcoholic beverage tax and insurance tax program.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0570025 County Assessment Standards Program	65.4	107.2	107.2	\$11,834	\$17,672	\$17,670

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0860 State Board of Equalization - Continued

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0570050 State-Assessed Property Program	88.9	85.4	85.4	13,217	13,578	13,577
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	154.3	192.6	192.6	\$25,051	\$31,250	\$31,247
FUNDING		2018-19*		2019-20*		2020-21*
0001 General Fund		\$24,772		\$30,793		\$30,790
0995 Reimbursements		279		457		457
TOTALS, EXPENDITURES, ALL FUNDS		\$25,051		\$31,250		\$31,247

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Article XIII, section 17 of the California Constitution. Government Code sections 11126, 15600-15624, 15652, and Revenue and Taxation Code sections 20, 32471.

PROGRAM AUTHORITY

0570025-County Assessment Standards Program:

California Constitution, Article XIII, section 18, Revenue & Taxation Code sections 63.1, 64, 69.5, 75.60, 155, 169, 214-214.16, 218.5, 251, 401.5, 407, 422.7, 423, 452, 480.1, 480.2, 480.4., 601, 602, 615, 618, 670-680, 987, 1153, 1252, 1254, 1366, 1603, 1650, 1716, 1717.1, 1815-1817, 5364, 5781, and 5840, and Government Code sections 15606-15608, 15611, 15624, and 15640-15646.

0570050-State-Assessed Property Program:

California Constitution, Article XIII, section 19, Revenue and Taxation Code sections 100-100.96, 721-868, 4876-4880, 5011-5014, 5148, 11201-11702, and 23154, and Government Code sections 54900-54916.5.

0570150-Alcoholic Beverage Tax Program:

California Constitution, Article XX, section 22, Revenue & Taxation Code sections 32001-32557, and Business and Professions Code sections 23000-23673.

0570575-Insurance Tax Program:

California Constitution Article XIII, section 28, Revenue and Taxation Code, sections 12001-13170, and Insurance Code sections 132, 685-685.4, 995.5, 1530, 1531, 1774-1780, 10089.44, 12976, and 12976.5.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0860 State Board of Equalization - Continued

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$171	\$-	-	\$171	\$-	-
• Miscellaneous Baseline Adjustments	9,740	-	-	9,476	-	-
• Salary Adjustments	599	-	-	571	-	-
• Benefit Adjustments	261	-	-	286	-	-
• Retirement Rate Adjustments	268	-	-	268	-	-
• Budget Position Transparency	-9,740	-	-	-9,476	-	-
Totals, Other Workload Budget Adjustments	\$1,299	\$-	-	\$1,296	\$-	-
Totals, Workload Budget Adjustments	\$1,299	\$-	-	\$1,296	\$-	-
Totals, Budget Adjustments	\$1,299	\$-	-	\$1,296	\$-	-

PROGRAM DESCRIPTIONS

0570025 - COUNTY ASSESSMENT STANDARDS PROGRAM

This program ensures that taxable properties are enrolled and assessed by the 58 county assessors in full conformity with the law. The BOE is also charged with providing consultation and services to the county assessors and their staff, establishing standards, providing training for assessors and assessment appeals boards, evaluating the effectiveness of each county assessor's administration, co-administering the welfare exemption, and administering the Legal Entity Ownership Program.

0570050 - STATE-ASSESSED PROPERTY PROGRAM

This program values and assesses inter-county pipelines and properties owned or used by railroads, regulated telephone companies, companies transmitting or selling gas or electricity, and private railroad car companies. Local jurisdictions use the established values for the levy and collection of local property taxes. In addition, the BOE collects the Private Railroad Car Tax that is deposited in the state General Fund.

0570150 - ALCOHOLIC BEVERAGE TAX PROGRAM

This program collects the excise tax imposed on the sale, distribution, or importation of alcoholic beverages in California. The BOE contracts with the CDTFA to administer this program.

0570575 - INSURANCE TAX PROGRAM

This program provides revenue to the Insurance Tax Fund. Revenue is generated from taxes assessed on insurance premiums, underwriting profits from ocean marine insurance, and retaliatory assessments levied on out-of-state insurers. The taxes collected are used to pay refunds or transferred to the General Fund. The BOE contracts with the CDTFA to administer this program.

DETAILED EXPENDITURES BY PROGRAM

		2018-19*	2019-20*	2020-21*
PROGRAM REQUIREMENTS				
0570 ADMINISTRATION OF THE BOARD OF EQUALIZATION				
State Operations:				
0001	General Fund	\$24,772	\$30,793	\$30,790
0995	Reimbursements	279	457	457
	Totals, State Operations	\$25,051	\$31,250	\$31,247
SUBPROGRAM REQUIREMENTS				

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0860 State Board of Equalization - Continued

			<u>2018-19*</u>	<u>2019-20*</u>	<u>2020-21*</u>
0570025	County Assessment Standards Program				
	State Operations:				
0001	General Fund		\$11,834	\$17,672	\$17,670
	Totals, State Operations		\$11,834	\$17,672	\$17,670
	SUBPROGRAM REQUIREMENTS				
0570050	State-Assessed Property Program				
	State Operations:				
0001	General Fund		\$12,938	\$13,121	\$13,120
0995	Reimbursements		279	457	457
	Totals, State Operations		\$13,217	\$13,578	\$13,577
	TOTALS, EXPENDITURES				
	State Operations		25,051	31,250	31,247
	Totals, Expenditures		\$25,051	\$31,250	\$31,247

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES						
Baseline Positions	200.6	192.6	192.6	\$22,325	\$21,493	\$21,493
Budget Position Transparency	-	-	-	-	-9,740	-9,476
Other Adjustments	-46.3	-	-	-8,145	599	571
Net Totals, Salaries and Wages	154.3	192.6	192.6	\$14,180	\$12,352	\$12,588
Staff Benefits	-	-	-	6,569	14,065	13,826
Totals, Personal Services	154.3	192.6	192.6	\$20,749	\$26,417	\$26,414
OPERATING EXPENSES AND EQUIPMENT				\$4,302	\$4,833	\$4,833
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$25,051	\$31,250	\$31,247

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
Budget Position Transparency	-	-\$9,740	-
Expenditure by Category Redistribution	-	9,740	-
002 Budget Act appropriation	24,772	29,494	30,790
Allocation for Employee Compensation	-	599	-
Allocation for Other Post-Employment Benefits	-	171	-
Allocation for Staff Benefits	-	261	-
Section 3.60 Pension Contribution Adjustment	-	268	-
Totals Available	\$24,772	\$30,793	\$30,790
TOTALS, EXPENDITURES	\$24,772	\$30,793	\$30,790
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$279	\$457	\$457
TOTALS, EXPENDITURES	\$279	\$457	\$457

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0860 State Board of Equalization - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
Total Expenditures, All Funds, (State Operations)	\$25,051	\$31,250	\$31,247

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	200.6	192.6	192.6	\$22,325	\$21,493	\$21,493
Budget Position Transparency	-	-	-	-	-9,740	-9,476
Salary and Other Adjustments	-46.3	-	-	-8,145	599	571
Totals, Adjustments	-46.3	-	-	\$-8,145	\$-9,141	\$-8,905
TOTALS, SALARIES AND WAGES	154.3	192.6	192.6	\$14,180	\$12,352	\$12,588

0870 Office of Tax Appeals

The Office of Tax Appeals (OTA) was established effective January 1, 2018, by Chapter 16, Statutes of 2017 (AB 102). The OTA performs those tax appeal duties formerly assigned by statute to the State Board of Equalization, including personal income tax appeals, franchise tax appeals, and sales and use tax appeals. The OTA's mission is to ensure tax appeals are performed in a fair, transparent, consistent, equitable, and impartial manner.

The OTA has hearing offices in Sacramento, Fresno, and Los Angeles.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0620100	Executive Division	6.1	7.0	7.0	\$1,582	\$2,361	\$2,362
0620200	Administrative Division	9.7	14.0	14.0	2,217	2,452	2,454
0620300	Hearings Division	31.4	43.0	43.0	7,796	10,764	10,720
0620400	Foundations Division	21.7	38.0	38.0	4,723	8,367	8,348
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		68.9	102.0	102.0	\$16,318	\$23,944	\$23,884
FUNDING		2018-19*		2019-20*		2020-21*	
0001	General Fund	\$16,318		\$23,944		\$23,884	
TOTALS, EXPENDITURES, ALL FUNDS		\$16,318		\$23,944		\$23,884	

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code section 15570.

PROGRAM AUTHORITY

Government Code sections 15670 through 15679.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0870 Office of Tax Appeals - Continued**DETAILED BUDGET ADJUSTMENTS**

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$86	\$-	-	\$86	\$-	-
• Salary Adjustments	379	-	-	307	-	-
• Retirement Rate Adjustments	170	-	-	170	-	-
• Benefit Adjustments	133	-	-	145	-	-
Totals, Other Workload Budget Adjustments	\$768	\$-	-	\$708	\$-	-
Totals, Workload Budget Adjustments	\$768	\$-	-	\$708	\$-	-
Totals, Budget Adjustments	\$768	\$-	-	\$708	\$-	-

PROGRAM DESCRIPTIONS

0620100 - EXECUTIVE DIVISION

The Executive Division provides leadership and direction to the OTA, and empowers staff to perform their duties fairly, impartially, and in strict conformance with applicable statutes and regulations.

0620200 - ADMINISTRATION DIVISION

The Administration Division ensures the efficient operation of the OTA by providing fiscal oversight and performing personnel management, information technology, and business services functions.

0620300 - HEARINGS DIVISION

The Hearings Division is responsible for hearing and determining tax appeals arising from taxpayer disputes from assessments made by the Franchise Tax Board and the Department of Tax and Fee Administration. It is responsible for writing and publishing decisions for each case that comes before OTA.

0620400 - FOUNDATIONS DIVISION

The Foundations Division is responsible for preparing and developing cases for Administrative Law Judge (ALJ) determination. They are subject matter experts in tax law who use their expertise to expedite the ALJ determination process.

DETAILED EXPENDITURES BY PROGRAM

		2018-19*	2019-20*	2020-21*
0620	PROGRAM REQUIREMENTS			
0620100	OFFICE OF TAX APPEALS			
0001	State Operations:			
0001	General Fund	\$16,318	\$23,944	\$23,884
	Totals, State Operations	\$16,318	\$23,944	\$23,884
0620200	SUBPROGRAM REQUIREMENTS			
0620100	Executive Division			
0001	State Operations:			
0001	General Fund	\$1,582	\$2,361	\$2,362
	Totals, State Operations	\$1,582	\$2,361	\$2,362
0620200	SUBPROGRAM REQUIREMENTS			
0620200	Administrative Division			
0001	State Operations:			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0870 Office of Tax Appeals - Continued

		2018-19*	2019-20*	2020-21*
0001	General Fund	\$2,217	\$2,452	\$2,454
	Totals, State Operations	\$2,217	\$2,452	\$2,454
	SUBPROGRAM REQUIREMENTS			
0620300	Hearings Division			
	State Operations:			
0001	General Fund	\$7,796	\$10,764	\$10,720
	Totals, State Operations	\$7,796	\$10,764	\$10,720
	SUBPROGRAM REQUIREMENTS			
0620400	Foundations Division			
	State Operations:			
0001	General Fund	\$4,723	\$8,367	\$8,348
	Totals, State Operations	\$4,723	\$8,367	\$8,348
	TOTALS, EXPENDITURES			
	State Operations	16,318	23,944	23,884
	Totals, Expenditures	\$16,318	\$23,944	\$23,884

EXPENDITURES BY CATEGORY

	1 State Operations			Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*			
PERSONAL SERVICES									
Baseline Positions	89.0	102.0	102.0	\$7,970	\$9,281	\$9,281			
Other Adjustments	-20.1	-	-	-506	379	307			
Net Totals, Salaries and Wages	68.9	102.0	102.0	\$7,464	\$9,660	\$9,588			
Staff Benefits	-	-	-	3,537	5,276	5,288			
Totals, Personal Services	68.9	102.0	102.0	\$11,001	\$14,936	\$14,876			
OPERATING EXPENSES AND EQUIPMENT				\$5,310	\$9,008	\$9,008			
SPECIAL ITEMS OF EXPENSES				7	-	-			
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$16,318	\$23,944	\$23,884			

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$15,541	\$23,176	\$23,884
Allocation for Employee Compensation	-	379	-
Allocation for Other Post-Employment Benefits	-	86	-
Allocation for Staff Benefits	-	133	-
Section 3.60 Pension Contribution Adjustment	-	170	-
Prior Year Balances Available:			
Chapter 16, Statutes of 2017	777	-	-
Totals Available	\$16,318	\$23,944	\$23,884
TOTALS, EXPENDITURES	\$16,318	\$23,944	\$23,884
Total Expenditures, All Funds, (State Operations)	\$16,318	\$23,944	\$23,884

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0870 Office of Tax Appeals - Continued**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	89.0	102.0	102.0	\$7,970	\$9,281	\$9,281
Salary and Other Adjustments	-20.1	-	-	-506	379	307
Totals, Adjustments	-20.1	-	-	\$-506	\$379	\$307
TOTALS, SALARIES AND WAGES	68.9	102.0	102.0	\$7,464	\$9,660	\$9,588

0890 Secretary of State

The Secretary of State, a constitutionally established office, is the chief elections officer of the state and is responsible for the administration and enforcement of election laws. The Office also is responsible for administering and enforcing laws pertaining to filing documents associated with corporations, limited liability companies, partnerships, limited partnerships, unincorporated associations and pertaining to filing bonds and perfecting security agreements. In addition, the Office is responsible for commissioning notaries public, enforcing the notary laws, and in conjunction with being the home of the State Archives, administering a state records management program and preserving documents and records having historical significance. The Secretary of State is the filing officer for lobbying and campaign registration and disclosure documents filed under the Political Reform Act. The Secretary of State also operates the Safe at Home program, maintains the Domestic Partners and Advance Health Care Directives registries, provides support functions for the Voting Modernization Board, and is home to the California Museum.

Policy associated with the administration of the Office is accomplished through the divisions of Elections, Political Reform, Business Programs, Archives, Information Technology, and Management Services.

3-YEAR EXPENDITURES AND POSITIONS [†]

		Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0700	Filings and Registrations	254.8	320.2	319.5	\$75,893	\$75,137	\$76,692
0705	Elections	59.7	62.1	62.5	186,487	158,431	164,934
0710	Archives	27.2	30.2	31.3	9,058	10,276	10,369
0715	DOJ Legal Services	-	-	-	633	816	852
9900100	Administration	143.5	111.0	114.2	27,152	37,752	37,614
9900200	Administration - Distributed	-	-	-	-30,714	-37,752	-37,739
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		485.2	523.5	527.5	\$268,509	\$244,660	\$252,722
FUNDING					2018-19*	2019-20*	2020-21*
0001	General Fund				\$179,835	\$139,071	\$89,851
0228	Secretary of States Business Fees Fund				69,280	69,901	71,975
0890	Federal Trust Fund				12,569	30,673	86,380
0942	Special Deposit Fund				463	463	464
0995	Reimbursements				225	225	225
3042	Victims of Corporate Fraud Compensation Fund				1,530	1,530	1,530
3244	Political Disclosure, Accountability, Transparency, and Access Fund				1,097	797	797
3254	Business Programs Modernization Fund				3,510	2,000	1,500
TOTALS, EXPENDITURES, ALL FUNDS					\$268,509	\$244,660	\$252,722

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0890 Secretary of State - Continued

† Fiscal year 2018-19 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2018-19 ending fund balance will be reflected as a prior year adjustment in the 2021-22 Governor's Budget publication.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

The California Constitution, Article 5, Section 11, Government Code Sections 12159-12179.1.

PROGRAM AUTHORITY

0700-Filings and Registrations:

Corporations Code, Titles 1-3; Government Code, Sections 960.3, 960.4, 1363, 6205-6210, 6215-6216, 6503.5, 6503.7, 6518, 7171, 7220, 7222, 7223, 7225, 7226, 7227, 7229, 8200, 8201, 8201.1, 8201.2, 8201.5, 8202.5, 8203.1-8203.4, 8204.1, 8205, 8206, 8207, 8207.1, 8207.2, 8207.3, 8213, 8213.6, 8214.1, 8214.15, 8214.4, 8214.5, 8214.8, 8219.5, 8220, 8222, 8228, 12160, 12163, 12168, 12168.5, 12168.7, 12176, 12177, 12178.1, 12179.1, 12180, 12181, 12182, 12182.1, 12183-12197, 12260-12263, 23712-23714, 34459-34461, and 53051; Business and Professions Code, Sections 14207, 14209, 14215, 14217, 14220, 14230, 14235, 14240, 14245, 14417, 14427, 14461, 14482, 14483, 14492, 17550 et seq., 18896 et seq., 22441.1, 22442.4, 22443.1, 22443.2, 22908-22912, 22914, 22916, 22917, 22920, 22921, and 22923; Civil Code, Sections 912, 1185, 1189, 1195, 1789.10 et seq., 1812.50 et seq., 1812.80 et seq., 1812.100 et seq., 1812.214, 1812.503., 1812.510, 1812.515, 1812.525, 1812.600, 1812.607, 2945.45, 3344.1, 3440.5, 4225, 4280, 5405 6622, and 6760; Commercial Code, Sections 9501, 9509, 9512-9516, 9518, 9519-9523, and 9525-9528; Code of Civil Procedure, Sections 416.10-416.40, 488.375, 488.405, 488.730, 697.510, 697.550, 697.570, 697.580, 697.640-697.670, 724.060, 1277, 2101, and 2103-2105; Family Code, Sections 297, 297.1, 298, 298.5, 298.6, 298.7, 299, 535, 6345, 17520, and 17523; Financial Code, Sections 1102-1106, 4966, 5403, 5703-5705, 5715, 5716, 5758, 5760, 14100, 14101, 14101.6, 14101.8, 14102.2, 14102.4, 14102.8, 15302, 15304, 15252, 15258, 18101.5, and 18102; Insurance Code, Sections 881, 881.3, 1556, 1560.10, 1560.17, 1560.18, 4093, 4097.11, 4097.18, 4097.19, 5053, 7049, 7084, 7085, 9103, 11542, 11546, and 11547; Food and Agriculture Code, Sections 3951, 3952, 5304, 6047.109, 6047.119, 6273, 6286, 8704, 8757, 54081-54083, 55702, 57405, 57408-57411, 57414, 57512, 57516-57517, 57519, 57530, 57531, 57535, 57537, 57540, 57541, 57545, 57561 et seq., 57590, 57591, and 57595; Probate Code, Sections 4717, 4800-4806, 6389, and 8574; Vehicle Code, Section 40305.5; Penal Code, Sections 4056-4058, 4067, 4206, 4207, 4208 and 4227; Health and Safety Code, Sections 1341, 1341.2, 2043, 2832, 2834, 4927, 9043, 33103, 33103.5, 33104, 33142, 34126, 34117, 51153, 103435, and 123371; California Code of Regulations, Title 2, Sections 20800 et seq., 21000 et seq., 21900 et seq., 22000 et seq., 22100 et seq., 22500 et seq., 22600 et seq., and 22610.1 et seq.

0705-Elections:

California Constitution, Article XVI, Section 1; California Elections Code, Sections 10 and 10.5; ; California Government Code, Sections 12172.5, and 81000 et seq.; Help America Vote Act of 2002 (Public Law 107-252) , National Voter Registration Act of 1993, and other federal elections laws; Government Code, Title 9.

0710-Archives:

Chapter 1, Statutes of 1850; Government Code, Sections 1001, 6204, 6268, 9080, 9149., 9196, 11347.3, 12153, 12168.7, 12174, 12220-12237, and 12270-12279, 14746, 14771(a)(7); 14901, 26205.5, 34090.5, 34460, 56382, and 81009. Civil Code, Sections 1798.24, and 1798.64; Evidence Code, Section 1605, and Military and Veterans Code, Section 1360.

MAJOR PROGRAM CHANGES

- **November 2020 Election Funding**—The Budget provides a total of \$111.6 million (\$46.1 million General Fund and \$65.5 million Federal Funds) to prevent, prepare for, and respond to the impacts of COVID-19. This funding includes \$35 million in new General Fund, \$11.1 million in remaining General Fund from voting system replacement funding originally provided in the 2018 and 2019 Budget Acts, and federal Help America Vote Act funds from the CARES Act (\$36.5 million) and the Consolidated Appropriations Act of 2020 (\$29 million).

0890 Secretary of State - Continued**DETAILED BUDGET ADJUSTMENTS**

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Additional Funds for the 2020 General Elections	\$-	\$-	-	\$35,000	\$-	-
• CAL-ACCESS Replacement System Project	-	-	-	13,430	700	-
• Voter Language Preference on Voter Notification Cards and Vote by Mail Applications (AB 1391)	-	-	-	150	-	-
• Increasing Civic Engagement of California's Public Postsecondary Institutions (AB 963)	-	-	-	126	-	2.0
• Records Management Coordinator (AB 469)	-	-	-	63	-	1.0
• Elections Printing Requirements and Ballot Design (AB 623)	-	-	-	25	-	-
• CARES Act Elections Funding	-	-	-	-	65,482	-
• California Business Connect Project	-	-	-	-	14,848	-
• HAVA Spending Plan	-	-	-	-	10,966	-
• HAVA VoteCal	-	-	-	-	9,739	-
• Vote Centers (SB 450)	-	-	-	-	464	-
• Cannabis Filings and Trademark Workload	-	-	-	-	448	-
• Domestic Partnerships (SB 30)	-	-	-	-	94	1.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$48,794	\$102,741	4.0
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	2,585	5,593	-	867	1,868	-
• Attorney General Services Rate Increases	183	-	-	219	-	-
• Other Post-Employment Benefit Adjustments	131	260	-	131	260	-
• Executive Order E19/20-155: Public Safety Power Shutoff State Allocation	250	-	-	-	-	-
• Salary Adjustments	433	903	-	432	901	-
• Benefit Adjustments	203	440	-	230	505	-
• Retirement Rate Adjustments	205	436	-	205	436	-
• Miscellaneous Baseline Adjustments	-	-	-	135	-463	-
• SWCAP	-	-	-	-	-7	-
• Budget Position Transparency	-2,585	-5,593	-50.7	-867	-1,868	-47.7
Totals, Other Workload Budget Adjustments	\$1,405	\$2,039	-50.7	\$1,352	\$1,632	-47.7
Totals, Workload Budget Adjustments	\$1,405	\$2,039	-50.7	\$50,146	\$104,373	-43.7
Totals, Budget Adjustments	\$1,405	\$2,039	-50.7	\$50,146	\$104,373	-43.7

PROGRAM DESCRIPTIONS**0700 - FILINGS AND REGISTRATIONS**

The Business Programs Division is comprised of three primary areas: Business Entities, Uniform Commercial Code, and Notary Public and Special Filings.

Business Entities examines, files, and maintains formation documents for new California business entities and qualifies out-of-state and international entities to conduct business in California; and also examines, files and maintains changes to the records of domestic or qualified foreign business entities to ensure the business entities are properly formed, merged, converted, amended, and dissolved in compliance with California law. Statements of Information containing key officers and contact information are also processed and maintained for public and governmental use.

Uniform Commercial Code files certain financing statements allowing lending institutions to protect their financial interest in

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0890 Secretary of State - Continued

personal property and established priority in case of debtor default or bankruptcy. Notices of judgment liens, attachment liens, agricultural liens, and equipment liens are also filed in Uniform Commercial Code, as well as notices of various tax liens submitted by state and federal taxing agencies such as the Franchise Tax Board and the Internal Revenue Service.

Notary Public and Special Filings appoints and commissions qualified notaries public for four- year terms, reviews and approves notary public education courses, and certifies to the authenticity of signatures of notaries public and public officials on documents for use in foreign countries. Special Filings include trademarks and service marks as well as surety bonds that certain business entities are required to file, notices of joint power agreements, city and county charters, and claims for successor-in-interest. This area also has disciplinary functions with regard to notaries public and immigration consultants.

The Advance Health Care Directive (AHCD) Registry maintains AHCDs, which allow a person to indicate his/her medical treatment preferences if he/she cannot speak or make decisions for him/herself. The AHCD also may designate someone else to make decisions regarding medical treatment. AHCDs and related information can be provided upon request to the registrant's health care provider, public guardian, or legal representative.

The Domestic Partners Registry registers same-sex couples regardless of the age of the partners, and opposite-sex couples in which one partner is at least 62 years old, as domestic partners in California.

The Victims of Corporate Fraud Compensation Fund provides limited restitution to victims of corporate fraud who are unable to collect through other means on a judgment against a corporation for corporate fraud.

Safe at Home is a confidential mail forwarding program designed to assist victims and survivors of domestic violence and human trafficking, sexual assault, stalking, elder/dependent adult abuse, their household members and minor children, and reproductive healthcare employees, patients, and volunteers. Participants in the Safe at Home program are provided with a free post office box to use as a substitute mailing address, thereby reducing or eliminating the need to use their actual residential address. Once enrolled into the program, Safe at Home serves as a liaison for those participants who qualify for additional confidential services offered by various state and local agencies.

0705 - ELECTIONS

The Secretary of State serves as California's chief elections officer and has broad responsibility to administer and oversee federal and state elections held within California. The Elections Program maintains the official statewide database of registered voters, tracks and certifies ballot measures, certifies candidates for placement on the ballot, and certifies official election results. In addition, the program oversees the certification and modernization of voting equipment and the enhancement of election processes. The program works to increase accessibility to voters, provides resources to educate voters on the electoral system, and investigates election related criminal violations as provided by the California Elections Code.

This program includes the campaign and lobbying registration and disclosure provisions of the Political Reform Act of 1974. Under this law, the Office registers all levels of campaign committees, and reviews for compliance with statutory requirements all state-level candidate and campaign recipient committees, major donors, slate mailer, political party, and independent expenditure committees. The program registers lobbyists (including placement agents), lobbying firms and lobbyist employers, reviews for compliance with statutory requirements the periodic financial disclosure reports filed by lobbying entities, and publishes a Lobbying Directory on the Internet. This program also supports the electronic filing requirements of the Political Reform Act and posts relevant registration and disclosure information to the Office's web site so the public can track where campaign money is raised and spent and what lobbying activity is occurring in state government. A searchable database of campaign and lobbying financial activity is also provided through the web site.

0710 - ARCHIVES

The California State Archives establishes and administers a records management program that applies efficient and economical management methods to the creation, utilization, maintenance, retention, preservation, and disposal of state records and acquires, indexes, preserves, and provides reference access to irreplaceable historic materials from the three branches of state government. The Archives acquires physical and electronic records with legal, administrative or evidentiary value, or that have historical significance. Materials are transferred to the Archives for permanent preservation.

9900 - ADMINISTRATION

This program develops and manages overall policy. It serves as the liaison to other state agencies, the Legislature, the federal government, and other states' Secretaries of State. This program responds to constituent correspondence and requests from the public and advocates in the Legislature, administers the Secretary's role as a member of the National Association of Secretaries of State, in the management of task forces and advisory committees, in the implementation of new federal and state statutory requirements, and coordinates and disseminates information about the Office and its initiatives to the public. This program also monitors elections cybersecurity incidents and promotes transparency in alerting the public regarding false election websites and information as well as providing oversight on cybersecurity risk management. It also provides the Office

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0890 Secretary of State - Continued

with the necessary personnel, general administrative, budgeting, fiscal services, and information technology expertise to plan, develop, implement, and operate innovative and effective business and information systems solutions.

DETAILED EXPENDITURES BY PROGRAM [†]

		2018-19*	2019-20*	2020-21*
	PROGRAM REQUIREMENTS			
0700	FILINGS AND REGISTRATIONS			
	State Operations:			
0001	General Fund	\$1,573	\$1,706	\$1,687
0228	Secretary of States Business Fees Fund	69,280	69,901	71,975
3042	Victims of Corporate Fraud Compensation Fund	1,530	1,530	1,530
3254	Business Programs Modernization Fund	3,510	2,000	1,500
	Totals, State Operations	\$75,893	\$75,137	\$76,692
	PROGRAM REQUIREMENTS			
0705	ELECTIONS			
	State Operations:			
0001	General Fund	\$38,010	\$37,733	\$40,793
0890	Federal Trust Fund	8,441	10,709	14,134
0942	Special Deposit Fund	463	463	464
3244	Political Disclosure, Accountability, Transparency, and Access Fund	1,097	797	797
	Totals, State Operations	\$48,011	\$49,702	\$56,188
	Local Assistance:			
0001	General Fund	\$134,348	\$88,765	\$36,500
0890	Federal Trust Fund	4,128	19,964	72,246
	Totals, Local Assistance	\$138,476	\$108,729	\$108,746
	PROGRAM REQUIREMENTS			
0710	ARCHIVES			
	State Operations:			
0001	General Fund	\$8,833	\$10,051	\$10,144
0995	Reimbursements	225	225	225
	Totals, State Operations	\$9,058	\$10,276	\$10,369
	PROGRAM REQUIREMENTS			
0715	DOJ LEGAL SERVICES			
	State Operations:			
0001	General Fund	\$633	\$816	\$852
	Totals, State Operations	\$633	\$816	\$852
	PROGRAM REQUIREMENTS			
9900	ADMINISTRATION - TOTAL			
	State Operations:			
0001	General Fund	-\$3,562	\$-	-\$125
	Totals, State Operations	-\$3,562	\$-	-\$125
	SUBPROGRAM REQUIREMENTS			
9900100	Administration			
	State Operations:			
0001	General Fund	\$27,152	\$36,252	\$36,114
	Totals, State Operations	\$27,152	\$36,252	\$36,114
	Local Assistance:			
0001	General Fund	\$-	\$1,500	\$1,500

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		<u>2018-19*</u>	<u>2019-20*</u>	<u>2020-21*</u>
		\$-	\$1,500	\$1,500
	Totals, Local Assistance			
	SUBPROGRAM REQUIREMENTS			
9900200	Administration - Distributed			
	State Operations:			
0001	General Fund	-\$30,714	-\$36,252	-\$36,239
	Totals, State Operations	-\$30,714	-\$36,252	-\$36,239
	Local Assistance:			
0001	General Fund	\$-	-\$1,500	-\$1,500
	Totals, Local Assistance	\$-	-\$1,500	-\$1,500
	TOTALS, EXPENDITURES			
	State Operations	130,033	135,931	143,976
	Local Assistance	138,476	108,729	108,746
	Totals, Expenditures	\$268,509	\$244,660	\$252,722

† Fiscal year 2018-19 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2018-19 ending fund balance will be reflected as a prior year adjustment in the 2021-22 Governor's Budget publication.

EXPENDITURES BY CATEGORY †

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES						
Baseline Positions	560.2	574.2	571.2	\$37,740	\$40,649	\$36,099
Budget Position Transparency	-	-50.7	-47.7	-	-8,178	-2,735
Other Adjustments	-75.0	-	4.0	-4,886	1,336	5,650
Net Totals, Salaries and Wages	485.2	523.5	527.5	\$32,854	\$33,807	\$39,014
Staff Benefits	-	-	-	19,803	31,214	25,954
Totals, Personal Services	485.2	523.5	527.5	\$52,657	\$65,021	\$64,968
OPERATING EXPENSES AND EQUIPMENT				\$77,376	\$70,910	\$79,008
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$130,033	\$135,931	\$143,976
2 Local Assistance				Expenditures		
				<u>2018-19*</u>	<u>2019-20*</u>	<u>2020-21*</u>
Grants and Subventions - Governmental				\$138,476	\$108,729	\$108,746
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)				\$138,476	\$108,729	\$108,746

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DETAIL OF APPROPRIATIONS AND ADJUSTMENTS †

0890 Secretary of State - Continued

1 STATE OPERATIONS		2018-19*	2019-20*	2020-21*
	0001 General Fund			
APPROPRIATIONS				
001 Budget Act appropriation		\$45,487	\$48,901	\$53,351
Allocation for Employee Compensation		-	433	-
Allocation for Other Post-Employment Benefits		-	131	-
Allocation for Staff Benefits		-	203	-
Attorney General Services Rate Increases		-	183	-
Budget Position Transparency		-	-2,585	-
Executive Order E19/20-155: Public Safety Power Shutoff State Allocation		-	250	-
Expenditure by Category Redistribution		-	2,585	-
Section 3.60 Pension Contribution Adjustment		-	205	-
TOTALS, EXPENDITURES		\$45,487	\$50,306	\$53,351
	0228 Secretary of States Business Fees Fund			
APPROPRIATIONS				
001 Budget Act appropriation		\$69,280	\$67,862	\$71,975
Allocation for Employee Compensation		-	903	-
Allocation for Other Post-Employment Benefits		-	260	-
Allocation for Staff Benefits		-	440	-
Budget Position Transparency		-	-5,593	-
Expenditure by Category Redistribution		-	5,593	-
Section 3.60 Pension Contribution Adjustment		-	436	-
TOTALS, EXPENDITURES		\$69,280	\$69,901	\$71,975
	0890 Federal Trust Fund			
APPROPRIATIONS				
001 Budget Act appropriation		\$8,441	\$10,709	\$14,134
TOTALS, EXPENDITURES		\$8,441	\$10,709	\$14,134
	0942 Special Deposit Fund			
APPROPRIATIONS				
001 Budget Act appropriation		\$463	\$463	\$464
TOTALS, EXPENDITURES		\$463	\$463	\$464
	0995 Reimbursements			
APPROPRIATIONS				
Reimbursements		\$225	\$225	\$225
TOTALS, EXPENDITURES		\$225	\$225	\$225
	3042 Victims of Corporate Fraud Compensation Fund			
APPROPRIATIONS				
011 Budget Act appropriation (loan to the General Fund)		-	-	(\$11,500)
Corporations Code section 2280		1,530	1,530	1,530
TOTALS, EXPENDITURES		\$1,530	\$1,530	\$1,530
	3244 Political Disclosure, Accountability, Transparency, and Access Fund			
APPROPRIATIONS				
001 Budget Act appropriation		\$1,097	\$797	\$797
TOTALS, EXPENDITURES		\$1,097	\$797	\$797
	3254 Business Programs Modernization Fund			
APPROPRIATIONS				
001 Budget Act Appropriation		\$3,510	\$2,000	\$1,500
TOTALS, EXPENDITURES		\$3,510	\$2,000	\$1,500
Total Expenditures, All Funds, (State Operations)		\$130,033	\$135,931	\$143,976

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0890 Secretary of State - Continued

2 LOCAL ASSISTANCE		2018-19*	2019-20*	2020-21*
	0001 General Fund			
APPROPRIATIONS				
101 Budget Act appropriation		\$134,348	\$88,765	\$36,500
TOTALS, EXPENDITURES		\$134,348	\$88,765	\$36,500
	0890 Federal Trust Fund			
APPROPRIATIONS				
101 Budget Act appropriation		\$3,128	\$19,964	\$72,246
Chapter 451, Statutes of 2018		1,000	-	-
TOTALS, EXPENDITURES		\$4,128	\$19,964	\$72,246
Total Expenditures, All Funds, (Local Assistance)		\$138,476	\$108,729	\$108,746
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)		\$268,509	\$244,660	\$252,722

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FUND CONDITION STATEMENTS †

		2018-19*	2019-20*	2020-21*
	0228 Secretary of States Business Fees Fund^s			
BEGINNING BALANCE		\$1,000	\$1,000	\$1,000
Adjusted Beginning Balance		<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS				
Revenues:				
4120800 Corporation Fees - Domestic Corporations		10,990	9,130	9,130
4121000 Corporation Fees - Foreign Corporations		1,254	955	955
4122800 Filing Financing Statements		2,358	2,819	2,819
4125800 Notary Public License Fees		960	849	849
4129200 Other Regulatory Fees		10,011	11,072	11,072
4145500 Secretary of State - Fees		35,548	37,521	37,521
4163000 Investment Income - Surplus Money Investments		179	393	393
4171000 Cost Recoveries - Delinquent Receivables		26	26	26
4172500 Miscellaneous Revenue		22,000	12,260	14,761
Transfers and Other Adjustments				
Revenue Transfer from the Business Fees Fund (0228) to the General Fund (0001) per Government Code Section 12176		-	5,514	17,112
Revenue Transfer from the Business Fees Fund (0228) to the General Fund (0001) per Government Code Section 12176.		-11,310	-6,794	-18,644
Total Revenues, Transfers, and Other Adjustments		<u>\$72,016</u>	<u>\$73,745</u>	<u>\$75,994</u>
Total Resources		<u>\$73,016</u>	<u>\$74,745</u>	<u>\$76,994</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS				
0890 Secretary of State (State Operations)		69,280	69,901	71,975
8880 Financial Information System for California (State Operations)		5	-5	-
9892 Supplemental Pension Payments (State Operations)		354	1,087	1,087
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)		2,377	2,762	2,932
Total Expenditures and Expenditure Adjustments		<u>\$72,016</u>	<u>\$73,745</u>	<u>\$75,994</u>
FUND BALANCE		<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
Reserve for economic uncertainties		1,000	1,000	1,000

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0890 Secretary of State - Continued

	2018-19*	2019-20*	2020-21*
3042 Victims of Corporate Fraud Compensation Fund^s			
BEGINNING BALANCE	\$13,796	\$14,033	\$14,312
Adjusted Beginning Balance	<u>\$13,796</u>	<u>\$14,033</u>	<u>\$14,312</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4145500 Secretary of State - Fees	1,800	1,854	1,854
4163000 Investment Income - Surplus Money Investments	44	44	44
Transfers and Other Adjustments			
Loan from Victims of Corporate Fraud Compensation Fund (3042) to General Fund (0001) per Item 0890-011-3042, Budget Act of 2020	-	-	-11,500
Total Revenues, Transfers, and Other Adjustments	<u>\$1,844</u>	<u>\$1,898</u>	<u>-\$9,602</u>
Total Resources	<u>\$15,640</u>	<u>\$15,931</u>	<u>\$4,710</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0890 Secretary of State (State Operations)	1,530	1,530	1,530
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	77	89	42
Total Expenditures and Expenditure Adjustments	<u>\$1,607</u>	<u>\$1,619</u>	<u>\$1,572</u>
FUND BALANCE	<u>\$14,033</u>	<u>\$14,312</u>	<u>\$3,138</u>
Reserve for economic uncertainties	14,033	14,312	3,138
3244 Political Disclosure, Accountability, Transparency, and Access Fund^s			
BEGINNING BALANCE	\$1,254	\$518	\$218
Adjusted Beginning Balance	<u>\$1,254</u>	<u>\$518</u>	<u>\$218</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4145500 Secretary of State - Fees	434	433	569
4163000 Investment Income - Surplus Money Investments	17	4	2
4173000 Penalty Assessments - Other	82	70	50
Total Revenues, Transfers, and Other Adjustments	<u>\$533</u>	<u>\$507</u>	<u>\$621</u>
Total Resources	<u>\$1,787</u>	<u>\$1,025</u>	<u>\$839</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0890 Secretary of State (State Operations)	1,097	797	797
9892 Supplemental Pension Payments (State Operations)	1	4	4
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	171	6	-
Total Expenditures and Expenditure Adjustments	<u>\$1,269</u>	<u>\$807</u>	<u>\$801</u>
FUND BALANCE	<u>\$518</u>	<u>\$218</u>	<u>\$38</u>
Reserve for economic uncertainties	518	218	38
3254 Business Programs Modernization Fund^s			
BEGINNING BALANCE	\$4,841	\$2,967	\$2,644
Adjusted Beginning Balance	<u>\$4,841</u>	<u>\$2,967</u>	<u>\$2,644</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4145500 Secretary of State - Fees	1,800	1,854	1,854
4163000 Investment Income - Surplus Money Investments	10	10	10
Total Revenues, Transfers, and Other Adjustments	<u>\$1,810</u>	<u>\$1,864</u>	<u>\$1,864</u>
Total Resources	<u>\$6,651</u>	<u>\$4,831</u>	<u>\$4,508</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0890 Secretary of State (State Operations)	3,510	2,000	1,500
9892 Supplemental Pension Payments (State Operations)	6	12	12
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	168	175	174
Total Expenditures and Expenditure Adjustments	<u>\$3,684</u>	<u>\$2,187</u>	<u>\$1,686</u>

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0890 Secretary of State - Continued

	2018-19*	2019-20*	2020-21*
FUND BALANCE	\$2,967	\$2,644	\$2,822
Reserve for economic uncertainties	2,967	2,644	2,822

[†] Fiscal year 2018-19 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2018-19 ending fund balance will be reflected as a prior year adjustment in the 2021-22 Governor's Budget publication.

CHANGES IN AUTHORIZED POSITIONS [†]

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	560.2	574.2	571.2	\$37,740	\$40,649	\$36,099
Budget Position Transparency	-	-50.7	-47.7	-	-8,178	-2,735
Salary and Other Adjustments	-75.0	-	-	-4,886	1,336	1,057
Workload and Administrative Adjustments						
CAL-ACCESS Replacement System Project						
Various	-	-	-	-	-	1,056
California Business Connect Project						
Various	-	-	-	-	-	1,107
Cannabis Filings and Trademark Workload						
Various	-	-	-	-	-	265
Domestic Partnerships (SB 30)						
Program Techn II	-	-	1.0	-	-	47
HAVA Spending Plan						
Various	-	-	-	-	-	726
HAVA VoteCal						
Various	-	-	-	-	-	907
IT Division Resources Workload Growth						
Info Tech Spec I	-	-	2.0	-	-	167
Various	-	-	-2.0	-	-	-167
Increasing Civic Engagement of California's Public Postsecondary Institutions (AB 963)						
Assoc Govtl Program Analyst	-	-	2.0	-	-	139
Records Management Coordinator (AB 469)						
Assoc Govtl Program Analyst	-	-	1.0	-	-	70
Staffing Increase for the Human Resources Bureau						
Assoc Pers Analyst	-	-	4.0	-	-	278
Staff Svcs Mgr I	-	-	2.0	-	-	165
Various	-	-	-6.0	-	-	-443
Vote Centers (SB 450)						
Various	-	-	-	-	-	276
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	4.0	\$-	\$-	\$4,593
Totals, Adjustments	-75.0	-50.7	-43.7	\$-4,886	\$-6,842	\$2,915
TOTALS, SALARIES AND WAGES	485.2	523.5	527.5	\$32,854	\$33,807	\$39,014

0890 Secretary of State - Continued

[†] Fiscal year 2018-19 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2018-19 ending fund balance will be reflected as a prior year adjustment in the 2021-22 Governor's Budget publication.

0911 Citizens Redistricting Initiative

The "Voters First Act" (Proposition 11) and the "Voters First Act for Congress" (Proposition 20) reformed the redistricting process and established an independent 14-member Citizens Redistricting Commission to draw the decennial district boundaries for California's Congressional delegation, state Senate, state Assembly, and Board of Equalization.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0730	Support	0.4	-	0.4	\$72	\$-	\$92
0731	Citizens Redistricting Commission	-	0.4	-	-	7,317	-
0732	Post Redistricting Process	-	-	-	-	4,297	-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		0.4	0.4	0.4	\$72	\$11,614	\$92
FUNDING		2018-19*		2019-20*		2020-21*	
0001	General Fund	\$72		\$11,614		\$92	
TOTALS, EXPENDITURES, ALL FUNDS		\$72		\$11,614		\$92	

LEGAL CITATIONS AND AUTHORITY

Government Code Title 2, Division 1, Chapter 3.2, Sections 8251 to 8253.6, as added by Proposition 11, with amendments as a result of Proposition 20.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$6	\$-	-	\$6	\$-	-
• Salary Adjustments	2	-	-	2	-	-
• Benefit Adjustments	1	-	-	1	-	-
• Miscellaneous Baseline Adjustments	-5,200	-	-	-	-	-
• Budget Position Transparency	-6	-	-0.1	-6	-	-0.1
Totals, Other Workload Budget Adjustments	\$-5,197	\$-	-0.1	\$3	\$-	-0.1
Totals, Workload Budget Adjustments	\$-5,197	\$-	-0.1	\$3	\$-	-0.1
Totals, Budget Adjustments	\$-5,197	\$-	-0.1	\$3	\$-	-0.1

PROGRAM DESCRIPTIONS

0730 - SUPPORT

The Citizens Redistricting Commission shall draw California's new congressional and state Assembly, Senate, and Board of Equalization districts following the completion of the decennial census. The districts shall be based on strict, non-partisan rules delineated in California's Constitution that are designed to ensure fair representation and shall be defended by the Commission as the sole legal defender.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0911 Citizens Redistricting Initiative - Continued**DETAILED EXPENDITURES BY PROGRAM**

		2018-19*	2019-20*	2020-21*
	PROGRAM REQUIREMENTS			
0730	SUPPORT			
	State Operations:			
0001	General Fund	\$72	\$-	\$92
	Totals, State Operations	\$72	\$-	\$92
	PROGRAM REQUIREMENTS			
0731	CITIZENS REDISTRICTING COMMISSION			
	State Operations:			
0001	General Fund	\$-	\$7,317	\$-
	Totals, State Operations	\$-	\$7,317	\$-
	PROGRAM REQUIREMENTS			
0732	POST REDISTRICTING PROCESS			
	State Operations:			
0001	General Fund	\$-	\$4,297	\$-
	Totals, State Operations	\$-	\$4,297	\$-
	TOTALS, EXPENDITURES			
	State Operations	72	11,614	92
	Totals, Expenditures	\$72	\$11,614	\$92

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES						
Baseline Positions	0.5	0.5	0.5	\$43	\$43	\$43
Budget Position Transparency	-	-0.1	-0.1	-	-6	-6
Other Adjustments	-0.1	-	-	-6	2	2
Net Totals, Salaries and Wages	0.4	0.4	0.4	\$37	\$39	\$39
Staff Benefits	-	-	-	11	32	32
Totals, Personal Services	0.4	0.4	0.4	\$48	\$71	\$71
OPERATING EXPENSES AND EQUIPMENT				\$24	\$11,543	\$21
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$72	\$11,614	\$92

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$72	\$16,811	\$92
Allocation for Employee Compensation	-	2	-
Allocation for Staff Benefits	-	1	-
Budget Position Transparency	-	-6	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0911 Citizens Redistricting Initiative - Continued**1 STATE OPERATIONS**

	2018-19*	2019-20*	2020-21*
Executive Order E19/20-177: Provision 4, Item 0911-001-0001, Budget Act of 2019	-	-5,200	-
Expenditure by Category Redistribution	-	6	-
Totals Available	\$72	\$11,614	\$92
TOTALS, EXPENDITURES	\$72	\$11,614	\$92
Total Expenditures, All Funds, (State Operations)	\$72	\$11,614	\$92

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	0.5	0.5	0.5	\$43	\$43	\$43
Budget Position Transparency	-	-0.1	-0.1	-	-6	-6
Salary and Other Adjustments	-0.1	-	-	-6	2	2
Totals, Adjustments	-0.1	-0.1	-0.1	\$-6	\$-4	\$-4
TOTALS, SALARIES AND WAGES	0.4	0.4	0.4	\$37	\$39	\$39

0950 State Treasurer

The State Treasurer, a constitutionally established office, provides banking services for state government with goals to minimize interest and service costs and to maximize yield on investments. The Treasurer is responsible for the custody of all monies and securities belonging to or held in trust by the state; investment of temporarily idle state monies; administration of the sale of state bonds, their redemption and interest payments; and payment of warrants or checks drawn by the State Controller and other state agencies.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0740010	Investment Services	17.2	16.0	16.0	\$4,455	\$4,188	\$4,192
0740019	Centralized Treasury & Securities Management	54.5	62.0	62.0	13,591	15,922	15,942
0740028	Public Finance	54.0	51.3	51.3	15,932	13,259	13,277
0740035	Administration	97.0	81.7	82.7	5,743	5,310	5,458
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		222.7	211.0	212.0	\$39,721	\$38,679	\$38,869
FUNDING		2018-19*		2019-20*		2020-21*	
0001	General Fund		\$5,235		\$12,973		\$9,967
0995	Reimbursements		31,197		22,196		22,367
6084	No Place Like Home Fund		9		-		-
9740	Central Service Cost Recovery Fund		3,280		3,510		6,535
TOTALS, EXPENDITURES, ALL FUNDS		\$39,721		\$38,679		\$38,869	

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution Article XVI, Sections 1.5 and 7; and Government Code Sections 5700-5703, 12300-12333, 16300, 16586, 16650-16857.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0950 State Treasurer - Continued**DETAILED BUDGET ADJUSTMENTS**

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Legislative Unit Staff Augmentation	\$-	\$-	-	\$-	\$148	1.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$148	1.0
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	1,307	-	-	971	-	-
• Other Post-Employment Benefit Adjustments	76	131	-	76	131	-
• Central Service Function Cost Realignment	-	-	-	-3,020	3,020	-
• Salary Adjustments	254	437	-	254	437	-
• Benefit Adjustments	121	205	-	135	233	-
• Retirement Rate Adjustments	121	212	-	121	212	-
• Budget Position Transparency	-1,307	-	-4.2	-971	-	-4.2
Totals, Other Workload Budget Adjustments	\$572	\$985	-4.2	\$-2,434	\$4,033	-4.2
Totals, Workload Budget Adjustments	\$572	\$985	-4.2	\$-2,434	\$4,181	-3.2
Totals, Budget Adjustments	\$572	\$985	-4.2	\$-2,434	\$4,181	-3.2

PROGRAM DESCRIPTIONS

0740010 - INVESTMENT SERVICES

The Investment Division is responsible for investment of state monies from the date of receipt through the date of redemption. During the 2018-19 fiscal year, this Division handled 8,649 security investment transactions totaling \$394.7 billion. The Pooled Money Investment Board (PMIB) program accounted for 7,225 of these transactions totaling \$360 billion; time deposits accounted for 1,139 transactions totaling \$27.9 billion. The remaining \$7.2 billion is invested on behalf of the state's special funds, such as those associated with the California Housing Finance Agency, the Department of Fish and Wildlife, the state's retirement system, etc. The Division also administers the Local Agency Investment Fund (LAIF), a voluntary investment program created to offer California local agencies greater access to the financial markets through the PMIB program. In the 2018-19 fiscal year, 2,405 local agencies participated in LAIF, with deposits averaging \$21.7 billion for the fiscal year.

0740019 - CENTRALIZED TREASURY AND SECURITIES MANAGEMENT

In 1949, the California Legislature amended Government Code Section 16305 to create the Centralized Treasury System (CTS) thereby requiring agencies of the State to deposit their money in trust with the Treasurer. The legislation also requires the Treasurer to safeguard the money and make safe and prudent investments. In 1955, the Legislature created the PMIB, giving the Board the responsibility to designate the amount of money available for the investment in securities, bank deposits, and loans to the General Fund.

The Centralized Treasury and Securities Management Division (CTSMD) oversees all banking aspects of the CTS. The goal of the CTSMD is to maximize the earning of interest consistent with safe and prudent treasury management, and to ensure that the depository banks provide the state with proper and adequate security for the deposit of state monies. The Treasurer maintains demand bank accounts with eight banks for the purpose of providing necessary statewide depository coverage for the remittance of funds collected by the various state agencies.

The CTSMD 1) monitors the cash flow of all state funds, forecasts cash balances, revenue, expenditures, and the amounts available for daily investments, 2) ensures accurate and timely agency deposits, 3) administers and executes the wire transfer of funds, 4) reconciles state accounts with depository banks and redeems all state items submitted by presenting banks for payments, 5) executes the clearance and income collection for state investments (excluding PERS and STRS) and securities pledged to the state, 6) fulfills the clearance and settlement of securities pledged to the state for the time, demand, and other state agency programs, and 7) is responsible for the safekeeping of securities and other personal property owned by or pledged to the state.

0740028 - PUBLIC FINANCE

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0950 State Treasurer - Continued

The Public Finance Division is responsible for selling State of California general obligation bonds, commercial paper notes, revenue anticipation notes, lease revenue bonds, revenue bonds, and any other indebtedness for all state agencies. The Division provides debt administration services for most state bonds and is responsible for disseminating information to bondholders through the Investor Relations Program.

The Division also assures compliance with federal tax laws applicable to certain state debt so there is no adverse consequences to bondholders or increased borrowing costs to the state. The post-issuance tax compliance includes monitoring the use of bond proceeds, managing rebate and yield restriction compliance, coordinating necessary financial disclosure, and ensuring proper record keeping.

0740035 - ADMINISTRATION AND INFORMATION SERVICES

The Administration Division, Executive Office, and the Information Services Division provide executive direction and support services to programs in the State Treasurer's Office. Services include budgeting, personnel, accounting, information systems, business services, technical support, and production operations.

DETAILED EXPENDITURES BY PROGRAM

		2018-19*	2019-20*	2020-21*
PROGRAM REQUIREMENTS				
0740	STATE TREASURER'S OFFICE			
State Operations:				
0001	General Fund	\$5,235	\$12,973	\$9,967
0995	Reimbursements	31,197	22,196	22,367
6084	No Place Like Home Fund	9	-	-
9740	Central Service Cost Recovery Fund	3,280	3,510	6,535
Totals, State Operations		\$39,721	\$38,679	\$38,869
SUBPROGRAM REQUIREMENTS				
0740010	Investment Services			
State Operations:				
0001	General Fund	\$276	\$944	\$613
0995	Reimbursements	3,593	2,862	2,865
9740	Central Service Cost Recovery Fund	586	382	714
Totals, State Operations		\$4,455	\$4,188	\$4,192
SUBPROGRAM REQUIREMENTS				
0740019	Centralized Treasury & Securities Management			
State Operations:				
0001	General Fund	\$2,282	\$6,713	\$5,151
0995	Reimbursements	10,023	7,346	7,355
9740	Central Service Cost Recovery Fund	1,286	1,863	3,436
Totals, State Operations		\$13,591	\$15,922	\$15,942
SUBPROGRAM REQUIREMENTS				
0740028	Public Finance			
State Operations:				
0001	General Fund	\$1,325	\$4,252	\$3,139
0995	Reimbursements	13,190	7,742	7,753
6084	No Place Like Home Fund	9	-	-
9740	Central Service Cost Recovery Fund	1,408	1,265	2,385
Totals, State Operations		\$15,932	\$13,259	\$13,277
SUBPROGRAM REQUIREMENTS				
0740035	Administration			
State Operations:				
0001	General Fund	\$1,352	\$1,064	\$1,064

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0950 State Treasurer - Continued

		2018-19*	2019-20*	2020-21*
0995	Reimbursements	4,391	4,246	4,394
	Totals, State Operations	\$5,743	\$5,310	\$5,458
	TOTALS, EXPENDITURES			
	State Operations	39,721	38,679	38,869
	Totals, Expenditures	\$39,721	\$38,679	\$38,869

EXPENDITURES BY CATEGORY

	1 State Operations	Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
	PERSONAL SERVICES						
	Baseline Positions	221.0	215.2	215.2	\$19,224	\$18,673	\$18,673
	Budget Position Transparency	-	-4.2	-4.2	-	-1,307	-971
	Other Adjustments	1.7	-	1.0	-361	691	768
	Net Totals, Salaries and Wages	222.7	211.0	212.0	\$18,863	\$18,057	\$18,470
	Staff Benefits	-	-	-	9,721	13,940	13,690
	Totals, Personal Services	222.7	211.0	212.0	\$28,584	\$31,997	\$32,160
	OPERATING EXPENSES AND EQUIPMENT				\$11,137	\$6,682	\$6,709
	TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$39,721	\$38,679	\$38,869

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,235	\$12,401	\$9,967
Allocation for Employee Compensation	-	254	-
Allocation for Other Post-Employment Benefits	-	76	-
Allocation for Staff Benefits	-	121	-
Budget Position Transparency	-	-1,307	-
Expenditure by Category Redistribution	-	1,307	-
Section 3.60 Pension Contribution Adjustment	-	121	-
Totals Available	\$5,235	\$12,973	\$9,967
TOTALS, EXPENDITURES	\$5,235	\$12,973	\$9,967
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$31,197	\$22,196	\$22,367
TOTALS, EXPENDITURES	\$31,197	\$22,196	\$22,367
6084 No Place Like Home Fund			
Prior Year Balances Available:			
Welfare and Institutions Code section 5849.4(a)	9	-	-
Totals Available	\$9	-	-
TOTALS, EXPENDITURES	\$9	-	-
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,280	\$3,344	\$6,535

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0950 State Treasurer - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
Allocation for Employee Compensation	-	73	-
Allocation for Other Post-Employment Benefits	-	22	-
Allocation for Staff Benefits	-	35	-
Section 3.60 Pension Contribution Adjustment	-	36	-
TOTALS, EXPENDITURES	\$3,280	\$3,510	\$6,535
Total Expenditures, All Funds, (State Operations)	\$39,721	\$38,679	\$38,869

FUND CONDITION STATEMENTS

	2018-19*	2019-20*	2020-21*
0467 State Notes Expense Account^s			
BEGINNING BALANCE	\$250	\$250	\$250
Adjusted Beginning Balance	\$250	\$250	\$250
Total Resources	\$250	\$250	\$250
FUND BALANCE	\$250	\$250	\$250
Reserve for economic uncertainties	250	250	250

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	221.0	215.2	215.2	\$19,224	\$18,673	\$18,673
Budget Position Transparency	-	-4.2	-4.2	-	-1,307	-971
Salary and Other Adjustments	1.7	-	-	-361	691	691
Workload and Administrative Adjustments						
Legislative Unit Staff Augmentation						
Assoc Govt Program Analyst	-	-	1.0	-	-	77
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	1.0	\$-	\$-	\$77
Totals, Adjustments	1.7	-4.2	-3.2	\$-361	\$-616	\$-203
TOTALS, SALARIES AND WAGES	222.7	211.0	212.0	\$18,863	\$18,057	\$18,470

0954 Scholarshare Investment Board

The ScholarShare Investment Board administers the Golden State ScholarShare College Savings Trust Program (ScholarShare 529), the Governor's Scholarship Programs (GSP), the California Memorial Scholarship Program (CMS), and the California Kids Investment and Development Savings Program (KIDS Program). ScholarShare 529 is a way for families to save for higher education costs using a tax-advantaged 529 college savings plan. GSP provided scholarships to students who attained certain scores on state tests. CMS provides scholarships to surviving dependents of California residents killed in the terrorist attacks of September 11, 2001. The KIDS Program provides California children born on or after July 1, 2020, with college savings accounts, including seed deposits and potential incentives.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0780 Golden State Scholarshare Trust Program	8.4	9.0	10.0	\$2,714	\$27,724	\$2,884

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0954 Scholarshare Investment Board - Continued

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0785 Governor's Scholarship Program	1.0	1.0	-	-620	245	92
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	9.4	10.0	10.0	\$2,094	\$27,969	\$2,976
FUNDING				2018-19*	2019-20*	2020-21*
0001 General Fund				\$108	\$25,245	\$92
0564 Scholarshare Administrative Fund				1,986	2,724	2,884
TOTALS, EXPENDITURES, ALL FUNDS				\$2,094	\$27,969	\$2,976

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Education Code Sections 69980 to 69994, 69996 to 69999.8, 70010 to 70011.9, and Vehicle Code Section 5066.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Shift in Funding Source for Existing Analyst Position	\$-	\$-	-	\$-153	\$153	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-153	\$153	-
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	1	7	-	1	7	-
• Salary Adjustments	3	23	-	3	23	-
• Benefit Adjustments	1	11	-	1	12	-
• Retirement Rate Adjustments	1	12	-	1	12	-
• Miscellaneous Baseline Adjustments	-	-	-	-	6	-
Totals, Other Workload Budget Adjustments	\$6	\$53	-	\$6	\$60	-
Totals, Workload Budget Adjustments	\$6	\$53	-	\$-147	\$213	-
Totals, Budget Adjustments	\$6	\$53	-	\$-147	\$213	-

PROGRAM DESCRIPTIONS

0780 - GOLDEN STATE SCHOLARSHARE COLLEGE SAVINGS TRUST PROGRAM

The Golden State ScholarShare College Savings Trust Program is California's state-sponsored 529 college savings plan established to help families save for higher education. Earnings are tax-free when used for qualified higher education expenses (such as tuition, fees, supplies, books, computer equipment, and certain room and board expenses) at eligible educational institutions.

0785 - GOVERNOR'S SCHOLARSHIP PROGRAMS

The Governor's Scholarship Programs (GSP) rewarded public school students who demonstrated high academic achievement with scholarships. Students may have earned multiple \$1,000 Governor's Scholars Awards and a one-time \$2,500 Governor's Distinguished Mathematics and Science Scholars Award for scores attained on tests taken in 2000, 2001, and 2002. GSP became inoperative and was repealed after 2002. The ScholarShare Investment Board administers scholarship awards that were already earned.

0790 - CALIFORNIA MEMORIAL SCHOLARSHIP PROGRAM

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0954 Scholarshare Investment Board - Continued

The California Memorial Scholarship Program provides scholarships to surviving dependents of California residents killed as a result of the terrorist attacks of September 11, 2001.

DETAILED EXPENDITURES BY PROGRAM

		2018-19*	2019-20*	2020-21*
	PROGRAM REQUIREMENTS			
0780	GOLDEN STATE SCHOLARSHARE TRUST PROGRAM			
	State Operations:			
0564	Scholarshare Administrative Fund	\$2,714	\$2,724	\$2,884
	Totals, State Operations	\$2,714	\$2,724	\$2,884
	Local Assistance:			
0001	General Fund	\$-	\$25,000	\$-
	Totals, Local Assistance	\$-	\$25,000	\$-
	PROGRAM REQUIREMENTS			
0785	GOVERNOR'S SCHOLARSHIP PROGRAM			
	State Operations:			
0001	General Fund	\$108	\$245	\$92
0564	Scholarshare Administrative Fund	-728	-	-
	Totals, State Operations	-\$620	\$245	\$92
	TOTALS, EXPENDITURES			
	State Operations	2,094	4,219	2,976
	Local Assistance	-	23,750	-
	Totals, Expenditures	\$2,094	\$27,969	\$2,976

EXPENDITURES BY CATEGORY

	1 State Operations	Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
	PERSONAL SERVICES						
	Baseline Positions	10.0	10.0	10.0	\$790	\$790	\$790
	Other Adjustments	-0.6	-	-	-31	26	26
	Net Totals, Salaries and Wages	9.4	10.0	10.0	\$759	\$816	\$816
	Staff Benefits	-	-	-	378	413	414
	Totals, Personal Services	9.4	10.0	10.0	\$1,137	\$1,229	\$1,230
	OPERATING EXPENSES AND EQUIPMENT				\$957	\$1,875	\$1,881
	SPECIAL ITEMS OF EXPENSES				-	1,115	-135
	TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,094	\$4,219	\$2,976
	2 Local Assistance				Expenditures		
					2018-19*	2019-20*	2020-21*
	Grants and Subventions - Governmental				\$-	\$23,750	\$-
	TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)				\$-	\$23,750	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0954 Scholarshare Investment Board - Continued

1 STATE OPERATIONS		2018-19*	2019-20*	2020-21*
	0001 General Fund			
APPROPRIATIONS				
001 Budget Act appropriation		\$108	\$239	\$92
Allocation for Employee Compensation		-	3	-
Allocation for Other Post-Employment Benefits		-	1	-
Allocation for Staff Benefits		-	1	-
Section 3.60 Pension Contribution Adjustment		-	1	-
Totals Available		\$108	\$245	\$92
TOTALS, EXPENDITURES		\$108	\$245	\$92
	0564 Scholarshare Administrative Fund			
APPROPRIATIONS				
001 Budget Act appropriation		\$1,986	\$2,671	\$2,884
Allocation for Employee Compensation		-	23	-
Allocation for Other Post-Employment Benefits		-	7	-
Allocation for Staff Benefits		-	11	-
Section 3.60 Pension Contribution Adjustment		-	12	-
Totals Available		\$1,986	\$2,724	\$2,884
TOTALS, EXPENDITURES		\$1,986	\$2,724	\$2,884
	8127 California Kids Investment and Development Savings Program Fund			
APPROPRIATIONS				
Child Savings Accounts Technical Adjustments		-	\$1,250	-
TOTALS, EXPENDITURES		-	\$1,250	-
Total Expenditures, All Funds, (State Operations)		\$2,094	\$4,219	\$2,976
2 LOCAL ASSISTANCE		2018-19*	2019-20*	2020-21*
	0001 General Fund			
APPROPRIATIONS				
101 Budget Act appropriation		-	\$25,000	-
TOTALS, EXPENDITURES		-	\$25,000	-
	8127 California Kids Investment and Development Savings Program Fund			
APPROPRIATIONS				
Child Savings Accounts Technical Amendments		-	\$23,750	-
TOTALS, EXPENDITURES		-	\$23,750	-
Less funding provided by General Fund		-	-25,000	-
NET TOTALS, EXPENDITURES		-	-\$1,250	-
Total Expenditures, All Funds, (Local Assistance)		\$0	\$23,750	\$0
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)		\$2,094	\$27,969	\$2,976

FUND CONDITION STATEMENTS

	2018-19*	2019-20*	2020-21*
3033 California Memorial Scholarship Fund^s			
BEGINNING BALANCE	\$647	-	-
Adjusted Beginning Balance	\$647	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4142500 License Plate Fees - Personalized Plates	154	-	-
4163000 Investment Income - Surplus Money Investments	16	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0954 Scholarshare Investment Board - Continued

	2018-19*	2019-20*	2020-21*
Transfers and Other Adjustments			
Revenue Transfer from California Memorial Scholarship Fund (3033) to the Antiterrorism Fund (3034) per Education Code Section 70010.7 (Ch. 38/2002)	-795	-	-
Revenue Transfer from California Memorial Scholarship Fund (3033) to the Antiterrorism Fund (3034) per Education Code Section 70010.7 (Ch. 38/2002)	-22	-	-
Total Revenues, Transfers, and Other Adjustments	<u><u>-\$647</u></u>	<u><u>-</u></u>	<u><u>-</u></u>
FUND BALANCE	<u><u>-</u></u>	<u><u>-</u></u>	<u><u>-</u></u>

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	10.0	10.0	10.0	\$790	\$790	\$790
Salary and Other Adjustments	-0.6	-	-	-31	26	26
Workload and Administrative Adjustments						
Shift in Funding Source for Existing Analyst Position						
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	-	\$-	\$-	\$-
Totals, Adjustments	-0.6	-	-	\$-31	\$26	\$26
TOTALS, SALARIES AND WAGES	9.4	10.0	10.0	\$759	\$816	\$816

0956 California Debt and Investment Advisory Commission

The mission of the California Debt and Investment Advisory Commission is to support and improve the practice of public finance in California by providing responsive and reliable information, analysis, and training. The Commission assists California state and local governments to monitor, issue, and manage public debt and to safely and prudently invest public funds.

The Commission consists of nine members including the State Treasurer, who serves as chairperson; the Governor or, upon his designation, the Director of Finance; the State Controller; two local government finance officers appointed by the State Treasurer; two members of the Assembly appointed by the Speaker of the Assembly; and two members of the Senate appointed by the Senate Committee on Rules.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0800	California Debt and Investment Advisory Commission	19.0	16.1	16.1	\$3,470	\$3,965	\$3,983
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		19.0	16.1	16.1	\$3,470	\$3,965	\$3,983
FUNDING					2018-19*	2019-20*	2020-21*
0171	California Debt and Investment Advisory Commission Fund				\$3,398	\$3,785	\$3,803
0995	Reimbursements				72	180	180
TOTALS, EXPENDITURES, ALL FUNDS					\$3,470	\$3,965	\$3,983

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 8855-8859.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0956 California Debt and Investment Advisory Commission - Continued**DETAILED BUDGET ADJUSTMENTS**

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$-	\$143	-	\$-	\$113	-
• Other Post-Employment Benefit Adjustments	-	17	-	-	17	-
• Salary Adjustments	-	57	-	-	57	-
• Benefit Adjustments	-	27	-	-	31	-
• Retirement Rate Adjustments	-	27	-	-	27	-
• Miscellaneous Baseline Adjustments	-	-	-	-	14	-
• Budget Position Transparency	-	-143	-0.7	-	-113	-0.7
Totals, Other Workload Budget Adjustments	\$-	\$128	-0.7	\$-	\$146	-0.7
Totals, Workload Budget Adjustments	\$-	\$128	-0.7	\$-	\$146	-0.7
Totals, Budget Adjustments	\$-	\$128	-0.7	\$-	\$146	-0.7

PROGRAM DESCRIPTIONS

0800 - CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION

The Commission's primary objective is to enhance the knowledge of public officials with respect to the administration and issuance of public debt and the investment of public funds. The Commission achieves this objective by performing functions in three principal areas, including:

- Data Collection and Analysis - Serves as a clearinghouse of debt issued by California public entities and nonprofit student loan corporations.
- Continuing Education - Provides educational seminars, workshops, and conferences to public officials on municipal debt and public fund investment topics. In addition, the Commission provides technical assistance to public officials and taxpayers through direct interaction and public forums to disseminate relevant information.
- Policy Research - Undertakes original research on the issuance and administration of public debt and on the investment of public funds. Research results are published in the form of reports, issue briefs, or articles and are disseminated in printed and electronic forms.

DETAILED EXPENDITURES BY PROGRAM

			2018-19*	2019-20*	2020-21*		
PROGRAM REQUIREMENTS							
0800 CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION							
State Operations:							
0171	California Debt and Investment Advisory Commission Fund		\$3,398	\$3,785	\$3,803		
0995	Reimbursements		72	180	180		
	Totals, State Operations		\$3,470	\$3,965	\$3,983		
TOTALS, EXPENDITURES							
	State Operations		3,470	3,965	3,983		
	Totals, Expenditures		\$3,470	\$3,965	\$3,983		

EXPENDITURES BY CATEGORY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0956 California Debt and Investment Advisory Commission - Continued

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES						
Baseline Positions	16.8	16.8	16.8	\$1,360	\$1,360	\$1,360
Budget Position Transparency	-	-0.7	-0.7	-	-143	-113
Other Adjustments	2.2	-	-	125	57	57
Net Totals, Salaries and Wages	19.0	16.1	16.1	\$1,485	\$1,274	\$1,304
Staff Benefits	-	-	-	867	841	845
Totals, Personal Services	19.0	16.1	16.1	\$2,352	\$2,115	\$2,149
OPERATING EXPENSES AND EQUIPMENT						
				\$1,118	\$1,850	\$1,834
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$3,470	\$3,965	\$3,983

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0171 California Debt and Investment Advisory Commission Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,398	\$3,657	\$3,803
Allocation for Employee Compensation	-	57	-
Allocation for Other Post-Employment Benefits	-	17	-
Allocation for Staff Benefits	-	27	-
Budget Position Transparency	-	-143	-
Expenditure by Category Redistribution	-	143	-
Section 3.60 Pension Contribution Adjustment	-	27	-
Totals Available	\$3,398	\$3,785	\$3,803
TOTALS, EXPENDITURES	\$3,398	\$3,785	\$3,803
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$72	\$180	\$180
TOTALS, EXPENDITURES	\$72	\$180	\$180
Total Expenditures, All Funds, (State Operations)	\$3,470	\$3,965	\$3,983

FUND CONDITION STATEMENTS

	2018-19*	2019-20*	2020-21*
0171 California Debt and Investment Advisory Commission Fund^s			
BEGINNING BALANCE			
Prior Year Adjustments	\$5,883	\$5,500	\$4,752
Adjusted Beginning Balance	-83	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS	\$5,800	\$5,500	\$4,752
Revenues:			
4129200 Other Regulatory Fees	3,284	3,153	3,200
4163000 Investment Income - Surplus Money Investments	112	83	73
Total Revenues, Transfers, and Other Adjustments	\$3,396	\$3,236	\$3,273
Total Resources	\$9,196	\$8,736	\$8,025
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0956 California Debt and Investment Advisory Commission (State Operations)	3,398	3,785	3,803

^{*} Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0956 California Debt and Investment Advisory Commission - Continued

	2018-19*	2019-20*	2020-21*
9892 Supplemental Pension Payments (State Operations)	31	70	70
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	267	129	223
Total Expenditures and Expenditure Adjustments	\$3,696	\$3,984	\$4,096
FUND BALANCE	\$5,500	\$4,752	\$3,929
Reserve for economic uncertainties	5,500	4,752	3,929

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	16.8	16.8	16.8	\$1,360	\$1,360	\$1,360
Budget Position Transparency	-	-0.7	-0.7	-	-143	-113
Salary and Other Adjustments	2.2	-	-	125	57	57
Totals, Adjustments	2.2	-0.7	-0.7	\$125	\$-86	\$-56
TOTALS, SALARIES AND WAGES	19.0	16.1	16.1	\$1,485	\$1,274	\$1,304

0959 California Debt Limit Allocation Committee

The California Debt Limit Allocation Committee's mission is to allocate tax-exempt, private activity bond authority for the State of California. Private activity bonds may be used by the private sector for projects and programs that provide a public benefit, such as the creation of affordable housing.

The federal government limits the total amount of tax-exempt private activity bond authority that can be issued on an annual basis. Except for the Qualified Public Educational Facility Bond Program, the bond authority limit (debt limit) is calculated by multiplying the state population by \$105. California's limit totaled over \$4.1 billion in 2019. (California's limit for the Qualified Public Educational Facility Bond Program is calculated separately by multiplying the state population by \$10, and totaled \$396 million for 2019.)

The Committee's allocation of tax-exempt bond authority results in the issuance of bonds by cities, counties, joint powers authorities and state agencies. The bonds are purchased and used by the private sector and are not an obligation of the state or federal government.

The Committee administers five active programs that are funded through the allocation and issuance of tax-exempt private activity bonds. The Committee is funded on a fee-supported basis and is comprised of the State Treasurer (Chairperson), the Governor, or upon his designation, the Director of Finance, and the State Controller.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0810 California Debt Limit Allocation Committee	9.6	8.3	12.3	\$1,445	\$1,656	\$2,382
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	9.6	8.3	12.3	\$1,445	\$1,656	\$2,382
FUNDING				2018-19*	2019-20*	2020-21*
0169 California Debt Limit Allocation Committee Fund				\$1,445	\$1,656	\$2,382
TOTALS, EXPENDITURES, ALL FUNDS				\$1,445	\$1,656	\$2,382

LEGAL CITATIONS AND AUTHORITY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0959 California Debt Limit Allocation Committee - Continued**DEPARTMENT AUTHORITY**

Government Code Section 8869.80 et seq.

MAJOR PROGRAM CHANGES

- Staff Augmentation** - The budget includes \$715,000 in fiscal year 2020-21 and ongoing and 4 permanent positions to address an increase in the Committee's workload.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Staff Augmentation to Accommodate Growth in Workload	\$-	\$-	-	\$-	\$715	4.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$715	4.0
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	-	171	-	-	154	-
• Other Post-Employment Benefit Adjustments	-	8	-	-	8	-
• Salary Adjustments	-	25	-	-	25	-
• Benefit Adjustments	-	13	-	-	15	-
• Retirement Rate Adjustments	-	12	-	-	12	-
• Miscellaneous Baseline Adjustments	-	-	-	-	9	-
• Budget Position Transparency	-	-171	-1.3	-	-154	-1.3
Totals, Other Workload Budget Adjustments	\$-	\$58	-1.3	\$-	\$69	-1.3
Totals, Workload Budget Adjustments	\$-	\$58	-1.3	\$-	\$784	2.7
Totals, Budget Adjustments	\$-	\$58	-1.3	\$-	\$784	2.7

PROGRAM DESCRIPTIONS**0810 - CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE**

To lower the cost of financing, specified entities can issue various bonds, including mortgage revenue bonds (MRBs) that support below-market interest rate mortgage loans; and mortgage credit certificates (MCCs) that reduce a homebuyer's federal tax liability. Below is a summary of each program:

Qualified Residential Rental Project Program - Tax-exempt housing revenue bonds lower the cost for developers of multifamily rental housing, to acquire land and construct new housing, or purchase and rehabilitate existing housing. The developers produce affordable rental housing for low-income households by reducing rental rates charged to these individuals and families. To qualify, developers must elect either to reserve at least 20% of the units for households earning up to 50% AMI or reserve at least 40% of the units for households earning up to 60% AMI. Projects that receive an award of bond authority can apply for non-competitive four-percent tax credits using the California Debt Limit Allocation Committee/California Tax Credit Allocation Committee Joint Application.

Single-Family Housing Program - Tax-exempt MRBs or MCCs to assist first-time homebuyers with purchasing homes. Homebuyers may purchase single-family homes, either freestanding or detached, condominiums, or townhouses. Program participants must meet income limits and must purchase a home that falls within the program's purchase price limitations.

Industrial Development Bond Project Program - Small-Issue industrial development bonds are tax-exempt private activity bonds issued to assist manufacturing facilities finance capital expenditures. These bonds offer interest rate savings to small and midsized manufacturers in contrast to conventional loans, and help manufacturers retain and create new jobs within their

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0959 California Debt Limit Allocation Committee - Continued

communities.

Exempt Facility Program - Tax-exempt private activity bonds issued to finance any of the following facilities identified by the IRS as an exempt facility, including but not limited to: solid waste disposal and waste recycling facilities, mass commuting facilities, high-speed rail, energy and power generating facilities, and sustainable design facilities. Loan interest rate savings enable the project owners to charge lower customer rates, while assisting communities they serve to meet mandated requirements to protect and enhance the environment.

Qualified Public Educational Facility Bond Program - Tax-exempt private activity bonds issued to finance the construction, renovation, and furnishing of primary and secondary school facilities.

DETAILED EXPENDITURES BY PROGRAM

		2018-19*	2019-20*	2020-21*
PROGRAM REQUIREMENTS				
0810	CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE			
State Operations:				
0169	California Debt Limit Allocation Committee Fund	\$1,445	\$1,656	\$2,382
	Totals, State Operations	\$1,445	\$1,656	\$2,382
TOTALS, EXPENDITURES				
	State Operations	1,445	1,656	2,382
	Totals, Expenditures	\$1,445	\$1,656	\$2,382

EXPENDITURES BY CATEGORY

	1 State Operations	Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES							
Baseline Positions		9.6	9.6	9.6	\$777	\$777	\$777
Budget Position Transparency		-	-1.3	-1.3	-	-171	-154
Other Adjustments		-	-	4.0	-66	25	396
Net Totals, Salaries and Wages		9.6	8.3	12.3	\$711	\$631	\$1,019
Staff Benefits		-	-	-	363	404	586
Totals, Personal Services		9.6	8.3	12.3	\$1,074	\$1,035	\$1,605
OPERATING EXPENSES AND EQUIPMENT							
					\$371	\$621	\$777
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)					\$1,445	\$1,656	\$2,382

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0169 California Debt Limit Allocation Committee Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,445	\$1,598	\$2,382
Allocation for Employee Compensation	-	25	-
Allocation for Other Post-Employment Benefits	-	8	-
Allocation for Staff Benefits	-	13	-
Budget Position Transparency	-	-171	-
Expenditure by Category Redistribution	-	171	-

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0959 California Debt Limit Allocation Committee - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
Section 3.60 Pension Contribution Adjustment	-	12	-
011 Budget Act appropriation (loan to the General Fund)	-	-	(4,000)
Totals Available	\$1,445	\$1,656	\$2,382
TOTALS, EXPENDITURES	\$1,445	\$1,656	\$2,382
Total Expenditures, All Funds, (State Operations)	\$1,445	\$1,656	\$2,382

FUND CONDITION STATEMENTS

	2018-19*	2019-20*	2020-21*
0169 California Debt Limit Allocation Committee Fund^s			
BEGINNING BALANCE	\$7,783	\$7,326	\$8,256
Prior Year Adjustments	-597	-	-
Adjusted Beginning Balance	\$7,186	\$7,326	\$8,256
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129000 Other Fees and Licenses	-	300	-
4129200 Other Regulatory Fees	1,608	2,469	3,169
4163000 Investment Income - Surplus Money Investments	153	110	110
Transfers and Other Adjustments			
CIDFAC Fund Balance Revenue Transfer to CDLAC per Chapter 645 Statutes of 2018 (AB1547)	28	-	-
Loan from California Debt Limit Allocation Committee Fund (0169) to General Fund (0001) per Item 0959-011-0169, Budget Act of 2020	-	-	-4,000
Total Revenues, Transfers, and Other Adjustments	\$1,789	\$2,879	\$-721
Total Resources	\$8,975	\$10,205	\$7,535
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0959 California Debt Limit Allocation Committee (State Operations)	1,445	1,656	2,382
9892 Supplemental Pension Payments (State Operations)	17	35	35
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	187	258	146
Total Expenditures and Expenditure Adjustments	\$1,649	\$1,949	\$2,563
FUND BALANCE	\$7,326	\$8,256	\$4,972
Reserve for economic uncertainties	7,326	8,256	4,972

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	9.6	9.6	9.6	\$777	\$777	\$777
Budget Position Transparency	-	-1.3	-1.3	-	-171	-154
Salary and Other Adjustments	-	-	-	-66	25	25
Workload and Administrative Adjustments						
Staff Augmentation to Accommodate Growth in Workload						
Assoc Govt Program Analyst	-	-	3.0	-	-	91
Staff Svcs Mgr I	-	-	1.0	-	-	232
Various	-	-	-	-	-	48
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	4.0	\$-	\$-	\$371
Totals, Adjustments	-	-1.3	2.7	\$-66	\$-146	\$242

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0959 California Debt Limit Allocation Committee - Continued

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
TOTALS, SALARIES AND WAGES	9.6	8.3	12.3	\$711	\$631	\$1,019

0964 California Transportation Financing Authority

The California Transportation Financing Authority was established by Chapter 474, Statutes of 2009, to increase the construction of new capacity or improvements for the state transportation system in a manner that will help achieve the state's goals of reducing greenhouse gas, improving air quality, and conserving natural resources.

The Authority consists of seven members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, the Director of Transportation, the Executive Director of the California Transportation Commission, a local agency representative appointed by the Senate Committee on Rules, and a local agency representative appointed by the Speaker of the Assembly.

3-YEAR EXPENDITURES AND POSITIONS †

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0820 California Transportation Financing Authority	-	-	-	\$-	\$-	\$-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$-	\$-	\$-

† This budget display is for informational purposes only. Government Code section 64103 authorizes an Executive Director, however, the position is currently vacant.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Division 3 (commencing with Section 64100) of Title 6.7.

PROGRAM DESCRIPTIONS

0820 - CALIFORNIA TRANSPORTATION FINANCING AUTHORITY

The Authority was established to assist transportation agencies in obtaining financing to develop transportation projects. To meet this objective, statutes allow the Authority to issue, or to approve specified project sponsors to issue revenue bonds to fund transportation projects backed in whole or in part, by various revenue streams of transportation funds (including toll revenues). Statutes provide the authority to approve the imposition and collection of tolls on proposed transportation projects under certain conditions. The planning, programming, and allocation of transportation projects are performed by the California Transportation Commission and the California Department of Transportation, as appropriate.

0965 California Industrial Development Financing Advisory Commission

The California Industrial Development Financing Advisory Commission created employment opportunities and supported local economic development by approving local entities' issuance of industrial development bonds. These bonds provided manufacturers with a low-cost financing option to build, expand, or equip their facilities in California. The Commission independently reviewed bond applications to ensure compliance with federal tax law and state law, provided technical assistance to bond issuers, and approved the bond sales by local authorities.

The State Treasurer served as chairperson of the Commission. The other members were the Director of the Department of

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0965 California Industrial Development Financing Advisory Commission -

Finance, the State Controller, the Director of the Governor's Office of Business and Economic Development, and the Commissioner of the Department of Business Oversight.

Since AB 1547 abolished the California Industrial Development Financing Advisory Commission on September 21, 2018, the California Debt Limit Allocation Committee will continue to provide state level oversight of locally issued industrial development bonds through its management of the state's allocation of tax-exempt bonds.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0830 California Industrial Development Financing Advisory Commission	-	-	-	\$8	\$-	\$-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$8	\$-	\$-
FUNDING				2018-19*	2019-20*	2020-21*
0215 Industrial Development Fund				\$8	\$-	\$-
TOTALS, EXPENDITURES, ALL FUNDS				\$8	\$-	\$-

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 91500 to 91574, Insurance Code Section 1192.

DETAILED EXPENDITURES BY PROGRAM

			2018-19*	2019-20*	2020-21*
PROGRAM REQUIREMENTS					
0830 CALIFORNIA INDUSTRIAL DEVELOPMENT FINANCING ADVISORY COMMISSION					
State Operations:					
0215 Industrial Development Fund			\$8	\$-	\$-
Totals, State Operations			\$8	\$-	\$-
TOTALS, EXPENDITURES					
State Operations					
Totals, Expenditures			\$8	\$-	\$-

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES						
Net Totals, Salaries and Wages	-	-	-	\$-	\$-	\$-
Staff Benefits						
Totals, Personal Services	-	-	-	\$-	\$-	\$-
OPERATING EXPENSES AND EQUIPMENT				\$8	\$-	\$-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$8	\$-	\$-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0965 California Industrial Development Financing Advisory Commission -**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0215 Industrial Development Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$8	-	-
TOTALS, EXPENDITURES	\$8	-	-
Total Expenditures, All Funds, (State Operations)	\$8	\$0	\$0

FUND CONDITION STATEMENTS

	2018-19*	2019-20*	2020-21*
0215 Industrial Development Fund^s			
BEGINNING BALANCE	\$31	-	-
Prior Year Adjustments	3	-	-
Adjusted Beginning Balance	\$34	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	2	-	-
Transfers and Other Adjustments			
CIDFAC Fund Balance Revenue Transfer to CDLAC per Chapter 645, Statutes of 2018 (AB1547)	-28	-	-
Total Revenues, Transfers, and Other Adjustments	-\$26	-	-
Total Resources	\$8	-	-
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0965 California Industrial Development Financing Advisory Commission (State Operations)	8	-	-
Total Expenditures and Expenditure Adjustments	\$8	-	-
FUND BALANCE	-	-	-

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	-	-	-	\$-	\$-	\$-
Totals, Adjustments	-	-	-	\$-	\$-	\$-
TOTALS, SALARIES AND WAGES	-	-	-	\$-	\$-	\$-

0968 California Tax Credit Allocation Committee

The mission of the California Tax Credit Allocation Committee is to fairly allocate federal and state tax credits to create and maintain safe, quality affordable rental housing for low-income households in California. The Committee does this by forming partnerships with developers, investors and public entities.

The Committee assists development of rental housing projects for low-income families and monitors these projects for years after they are placed in service to ensure compliance with federal and state tax credit program requirements of the Committee. Coordinating with state and local housing fund providers, and with private investors, the Committee helps to create and maintain a supply of quality, affordable housing.

The Committee consists of seven members, including five voting members and two advisors. The voting members are the Treasurer (Chairperson of the Committee), the Governor (who may choose the Director of Finance as his or her

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0968 California Tax Credit Allocation Committee - Continued

representative), the State Controller, the Director of Housing and Community Development, and the Executive Director of the California Housing Finance Agency. The non-voting (advisory) members are two local government representatives. One local representative is associated with a city government and appointed by the Speaker of the Assembly. The other is a county government representative appointed by the Senate Rules Committee.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0840 California Tax Credit Allocation Committee	44.8	51.1	62.1	\$7,451	\$9,861	\$12,533
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	44.8	51.1	62.1	\$7,451	\$9,861	\$12,533
FUNDING				2018-19*	2019-20*	2020-21*
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account				\$4,896	\$5,529	\$6,838
0457 Tax Credit Allocation Fee Account				2,467	4,177	5,540
0995 Reimbursements				88	155	155
TOTALS, EXPENDITURES, ALL FUNDS				\$7,451	\$9,861	\$12,533

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Internal Revenue Code Section 42; California Health and Safety Code Sections 50199.4 through 50199.22; and California Revenue and Taxation Code Sections 12205, 12206, 17057.5, 17058, 23610.4, and 23610.5; Federal Tax Reform Act of 1986, Section 252 of Public Law No. 99-514 (October 22, 1986); Chapter 658, California Statutes of 1987, as amended; Chapter 1138, California Statutes of 1987, as amended; Internal Revenue Code, Title 26, Subtitle A, Chapter 1, Subchapter X, Section 1400E et seq., as amended.

MAJOR PROGRAM CHANGES

- **Development and Compliance Sector Augmentation** - The Budget proposes \$2.1 million in fiscal year 2020-21 and ongoing and 11 permanent positions to address an increase in the development and compliance monitoring workload as well as workload resulting from the expansion in state tax credit as authorized in Chapter 159, Statutes of 2019.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Development and Compliance Section Augmentation	\$-	\$-	-	\$-	\$1,440	8.0
• State Tax Credit Program Expansion	-	-	-	-	649	3.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$2,089	11.0
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	-	92	-	-	229	-
• Other Post-Employment Benefit Adjustments	-	43	-	-	43	-
• Salary Adjustments	-	133	-	-	133	-
• Benefit Adjustments	-	65	-	-	74	-
• Retirement Rate Adjustments	-	64	-	-	64	-
• Miscellaneous Baseline Adjustments	-	-	-	-	46	-
• Budget Position Transparency	-	-92	1.0	-	-229	-3.0

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0968 California Tax Credit Allocation Committee - Continued

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Totals, Other Workload Budget Adjustments	\$-	\$305	1.0	\$-	\$360	-3.0
Totals, Workload Budget Adjustments	\$-	\$305	1.0	\$-	\$2,449	8.0
Totals, Budget Adjustments	\$-	\$305	1.0	\$-	\$2,449	8.0

PROGRAM DESCRIPTIONS

0840 - CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE

The Federal Program

Congress authorized the federal Low-Income Housing Tax Credit (LIHTC) program in 1986. It replaced traditional housing tax incentives, such as accelerated depreciation, with a tax credit that enables developers of affordable rental housing to raise project equity through the "sale" of tax benefits to investors. The federal per-capita tax credit ceiling is calculated annually and indexed for inflation.

Two types of federal tax credits are available, generally referred to as 9-percent and 4-percent credits. These terms refer to the percentage of a project's "qualified basis" an investor in LIHTC projects may deduct from his or her federal income tax liability annually in each of ten years. A project's qualified basis is the portion of the total project cost used to calculate the amount of the tax credit and typically excludes non-depreciable costs such as the purchase of land. The affordable housing project sponsor uses or sells ten times the project's tax-credit allocation amount because investors can take the annual tax credit each year for a ten-year period.

The federal per-capita tax credit ceiling for 9-percent credits is calculated annually and indexed for inflation. For 2019, each state has an annual housing credit ceiling of \$2.76 per state resident. Additionally, each state may qualify annually for a share of unused credits in a national pool.

Developments financed with tax-exempt bond proceeds may receive the 4% federal tax credit. The sponsors of such projects must apply to the Committee and must conform to applicable federal and state statutory and regulatory requirements, but there is no annual cap on the amount of credit that may be awarded by the state to such developments.

Under federal law, credit projects must remain affordable for at least 30 years. However, California's program generally requires maintaining affordability for 55 years. Land use agreements are recorded against each credit project to ensure compliance.

The State LIHTC Program

In 1987, recognizing the high cost of housing in California, the Legislature authorized the state's program to augment the federal program. Housing projects receiving (or that have previously received) an allocation of federal tax credits may apply for an allocation of state tax credits. Projects serving farmworkers may apply for state tax credits regardless of their federal tax credit status.

The annual state credit ceiling is indexed for inflation, and for 2019, was \$100.4 million. Investors take the state tax credit annually over a four-year period in contrast to the ten-year federal period.

Chapter 159, Statutes of 2019, authorized the California Tax Credit Allocation Committee to issue \$500 Million in state tax credits to be paired with the 4% federal program to bolster new construction of low income housing.

Farmworker Housing Program

Chapter 521, Statutes of 2008, folded the previous Farmworker Housing Assistance Tax Credit program into the general state LIHTC program as a \$500,000 annual set-aside in support of farmworker housing. Pursuant to Chapter 372, Statutes of 2017, these credits differ from other state credits in that the credit percentage for tax-exempt bond financed projects is higher and projects may receive state credits even if they receive a federal tax credit basis increase.

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0968 California Tax Credit Allocation Committee - Continued**DETAILED EXPENDITURES BY PROGRAM**

		<u>2018-19*</u>	<u>2019-20*</u>	<u>2020-21*</u>
PROGRAM REQUIREMENTS				
0840 CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE				
State Operations:				
0448 Occupancy Compliance Monitoring Account,Tax Credit Allocation Fee Account		\$4,896	\$5,529	\$6,838
0457 Tax Credit Allocation Fee Account		2,332	3,987	5,350
0995 Reimbursements		88	155	155
Totals, State Operations		\$7,316	\$9,671	\$12,343
Local Assistance:				
0457 Tax Credit Allocation Fee Account		\$135	\$190	\$190
Totals, Local Assistance		\$135	\$190	\$190
TOTALS, EXPENDITURES				
State Operations		7,316	9,671	12,343
Local Assistance		135	190	190
Totals, Expenditures		\$7,451	\$9,861	\$12,533

EXPENDITURES BY CATEGORY

	1 State Operations	Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES							
Baseline Positions		43.1	50.1	54.1	\$3,165	\$3,634	\$3,902
Budget Position Transparency		-	1.0	-3.0	-	-92	-229
Other Adjustments		1.7	-	11.0	-52	133	1,122
Net Totals, Salaries and Wages		44.8	51.1	62.1	\$3,113	\$3,675	\$4,795
Staff Benefits		-	-	-	1,726	2,197	2,901
Totals, Personal Services		44.8	51.1	62.1	\$4,839	\$5,872	\$7,696
OPERATING EXPENSES AND EQUIPMENT							
					\$2,477	\$3,799	\$4,647
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)					\$7,316	\$9,671	\$12,343
2 Local Assistance							
Grants and Subventions - Governmental					\$135	\$190	\$190
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)					\$135	\$190	\$190

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0448 Occupancy Compliance Monitoring Account,Tax Credit Allocation Fee Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,896	\$5,350	\$6,838
Allocation for Employee Compensation	-	77	-
Allocation for Other Post-Employment Benefits	-	25	-
Allocation for Staff Benefits	-	40	-
Budget Position Transparency	-	-92	-

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0968 California Tax Credit Allocation Committee - Continued**1 STATE OPERATIONS**

	2018-19*	2019-20*	2020-21*
Expenditure by Category Redistribution	-	92	-
Section 3.60 Pension Contribution Adjustment	-	37	-
011 Budget Act appropriation (loan to the General Fund)	-	-	(60,000)
Totals Available	\$4,896	\$5,529	\$6,838
TOTALS, EXPENDITURES	\$4,896	\$5,529	\$6,838

0457 Tax Credit Allocation Fee Account**APPROPRIATIONS**

001 Budget Act appropriation	\$2,332	\$3,861	\$5,350
Allocation for Employee Compensation	-	56	-
Allocation for Other Post-Employment Benefits	-	18	-
Allocation for Staff Benefits	-	25	-
Section 3.60 Pension Contribution Adjustment	-	27	-
011 Budget Act appropriation (loan to the General Fund)	-	-	(60,000)
Totals Available	\$2,332	\$3,987	\$5,350
TOTALS, EXPENDITURES	\$2,332	\$3,987	\$5,350

0995 Reimbursements**APPROPRIATIONS**

Reimbursements	\$88	\$155	\$155
TOTALS, EXPENDITURES	\$88	\$155	\$155
Total Expenditures, All Funds, (State Operations)	\$7,316	\$9,671	\$12,343

2 LOCAL ASSISTANCE**0457 Tax Credit Allocation Fee Account****APPROPRIATIONS**

Health and Safety Code section 50199.9(b)	\$135	\$190	\$190
Totals Available	\$135	\$190	\$190
TOTALS, EXPENDITURES	\$135	\$190	\$190
Total Expenditures, All Funds, (Local Assistance)	\$135	\$190	\$190
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$7,451	\$9,861	\$12,533

FUND CONDITION STATEMENTS

	2018-19*	2019-20*	2020-21*
0448 Occupancy Compliance Monitoring Account,Tax Credit Allocation Fee Account^s			
BEGINNING BALANCE	\$26,161	\$27,752	\$90,428
Prior Year Adjustments	73	-	-
Adjusted Beginning Balance	\$26,234	\$27,752	\$90,428
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	6,420	6,500	6,652
4150500 Interest Income - Interfund Loans	-	4,598	-
4163000 Investment Income - Surplus Money Investments	600	585	590
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Occupancy Compliance Monitoring Account (0448), per Item 0968-001-0448, Budget Act of 2015	-	57,000	-
Loan from Occupancy Compliance Monitoring Account (0448) to General Fund (0001) per Item 0968-011-0448, Budget Act of 2020	-	-	-60,000
Total Revenues, Transfers, and Other Adjustments	\$7,020	\$68,683	\$52,758
Total Resources	\$33,254	\$96,435	\$37,670

^{*} Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0968 California Tax Credit Allocation Committee - Continued

	2018-19*	2019-20*	2020-21*
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0968 California Tax Credit Allocation Committee (State Operations)	4,896	5,529	6,838
8880 Financial Information System for California (State Operations)	1	-1	-
9892 Supplemental Pension Payments (State Operations)	44	97	97
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	561	382	365
Total Expenditures and Expenditure Adjustments	\$5,502	\$6,007	\$7,300
FUND BALANCE			
Reserve for economic uncertainties	27,752	90,428	30,370
0457 Tax Credit Allocation Fee Account^s			
BEGINNING BALANCE	\$40,208	\$46,075	\$90,342
Prior Year Adjustments	4	-	-
Adjusted Beginning Balance	\$40,212	\$46,075	\$90,342
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	7,084	8,232	12,152
4150500 Interest Income - Interfund Loans	-	3,928	-
4163000 Investment Income - Surplus Money Investments	1,381	1,564	2,309
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	2	-	-
4172500 Miscellaneous Revenue	103	-	-
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Tax Credit Allocation Fee Account (0457), per Item 0968-001-0457, Budget Act of 2015	-	35,000	-
Loan from Tax Credit Allocation Fee Account (0457) to General Fund (0001) per Item 0968-011-0457, Budget Act of 2020	-	-	-60,000
Total Revenues, Transfers, and Other Adjustments	\$8,570	\$48,724	\$45,539
Total Resources	\$48,782	\$94,799	\$44,803
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0968 California Tax Credit Allocation Committee (State Operations)	2,332	3,987	5,350
0968 California Tax Credit Allocation Committee (Local Assistance)	135	190	190
9892 Supplemental Pension Payments (State Operations)	27	58	58
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	213	222	210
Total Expenditures and Expenditure Adjustments	\$2,707	\$4,457	\$5,808
FUND BALANCE			
Reserve for economic uncertainties	46,075	90,342	38,995

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	43.1	50.1	54.1	\$3,165	\$3,634	\$3,902
Budget Position Transparency	-	1.0	-3.0	-	-92	-229
Salary and Other Adjustments	1.7	-	-	-52	133	133
Workload and Administrative Adjustments						
Development and Compliance Section Augmentation						
Assoc Govt Program Analyst	-	-	4.0	-	-	309
Staff Svcs Mgr I	-	-	4.0	-	-	365
State Tax Credit Program Expansion						
Assoc Govt Program Analyst	-	-	3.0	-	-	315
TOTALS, WORKLOAD AND ADMINISTRATIVE	—	—	11.0	\$-	\$-	\$989

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0968 California Tax Credit Allocation Committee - Continued

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
ADJUSTMENTS						
Totals, Adjustments	1.7	1.0	8.0	\$-52	\$41	\$893
TOTALS, SALARIES AND WAGES	44.8	51.1	62.1	\$3,113	\$3,675	\$4,795

0971 California Alternative Energy and Advanced Transportation Financing Authority

The California Alternative Energy and Advanced Transportation Financing Authority was established by Chapter 908, Statutes of 1980, to promote the prompt and efficient development of energy sources which are renewable or which more efficiently utilize and conserve scarce energy resources. Recognizing the importance of developing a secure energy future to protect the environment and ensure economic stability, the Authority advances the state's goals of reducing greenhouse gas emissions by providing financing options to assist industries in increasing the deployment of sustainable and renewable energy sources, implementing measures that increase the efficiency of the use of energy, creating high quality employment opportunities, and lessening the state's dependence on fossil fuels. To support these goals, the Authority provides financing and credit enhancements to promote the establishment of facilities that use alternative methods and sources of energy, and facilities needed for the development and commercialization of advanced transportation technologies.

The Authority consists of five members: the State Treasurer, who serves as the chairperson, the State Controller, the Director of the Department of Finance, the Chairperson of the State Energy Resources Conservation and Development Commission, and the President of the Public Utilities Commission.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0850	California Alternative Energy and Advanced Transportation Financing Authority	19.3	9.0	10.0	\$4,470	\$5,934	\$6,651
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		19.3	9.0	10.0	\$4,470	\$5,934	\$6,651
FUNDING							
0465	Energy Resources Programs Account				\$476	\$519	\$520
0995	Reimbursements				2,870	3,699	4,134
9332	California Alternative Energy Authority Fund				1,124	1,716	1,997
TOTALS, EXPENDITURES, ALL FUNDS					\$4,470	\$5,934	\$6,651

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Public Resources Code Sections 26000-26082.

MAJOR PROGRAM CHANGES

- Sales and Use Tax Exclusion Program** – The Budget includes \$263,000 to address workload associated with administration and compliance of the program as extended by Chapter 690, Statutes of 2019.
- Energy Efficiency Pilot Programs** – The Budget includes \$2.5 million limited-term funding in 2020-21 (\$7.9 million through 2021-22) to continue administering the ratepayer funded pilot programs designed to increase the availability of lower-cost financing for energy efficiency projects.

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0971 California Alternative Energy and Advanced Transportation Financing**DETAILED BUDGET ADJUSTMENTS**

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Administration of the CA Hub for Energy Efficiency Financing Pilot Programs	\$-	\$-	-	\$-	\$2,503	-
• Extension of the Sales and Use Tax Exclusion (STE) Program - AB 1583	-	-	-	-	263	1.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$2,766	1.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	-	7	-	-	7	-
• Salary Adjustments	-	24	-	-	24	-
• Benefit Adjustments	-	14	-	-	17	-
• Miscellaneous Baseline Adjustments	-	-	-	-	16	-
• Retirement Rate Adjustments	-	11	-	-	11	-
• Carryover/Reappropriation	-	727	-	-	-	-
Totals, Other Workload Budget Adjustments	\$-	\$783	-	\$-	\$75	-
Totals, Workload Budget Adjustments	\$-	\$783	-	\$-	\$2,841	1.0
Totals, Budget Adjustments	\$-	\$783	-	\$-	\$2,841	1.0

PROGRAM DESCRIPTIONS**0850 - ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY**

Financial assistance can take the form of loans, loan loss reserves, interest rate reductions, proceeds of bonds issued by the authority, bond insurance, loan guarantees or other credit enhancements, contributions of money, or a combination thereof, as determined and approved by resolution of the Authority.

In 2010, the Authority launched a sales and use tax exclusion (STE) program for advanced transportation and alternative source manufacturing in California (Chapter 10, Statutes of 2010) and subsequently, Chapter 677, Statutes of 2012, expanded the STE program to include advanced manufacturing, with an annual cap of \$100 million available for STE awards. Chapter 768, Statutes of 2015, further expanded the program to also include equipment primarily used to process recycled feedstock and equipment that primarily utilizes recycled feedstock in the production of another product. In 2019, Chapter 690, Statutes of 2019, extended the STE program sunset date to January 1, 2026, and Chapter 672, Statutes of 2019, expanded the job creation-related criteria by which CAEATFA must evaluate applications. The objective of this program is to promote the creation of California-based manufacturing, businesses, and jobs that are aimed at reducing greenhouse gases, air and water pollution, and energy consumption.

Legislation in 2010 (Chapter 15, Statutes of 2010), 2011 (Chapter 9, Statutes of 2011, First Extraordinary Session), and 2013 (Chapter 356, Statutes of 2013), authorized financial assistance to encourage energy efficiency retrofits. The Authority developed a loan loss reserve program to assist financial institutions in making loans to homeowners in the state for residential whole house energy efficiency and renewable energy projects, and developed a risk mitigation program for residential Property Assessed Clean Energy programs in California.

The Budget Act of 2014 authorized administration of the California Hub for Energy Efficiency Financing on behalf of, and in collaboration with, the California Public Utilities Commission. These energy efficiency financing pilot programs employ various types of credit enhancements to encourage and leverage private capital lending (in both the residential and commercial sectors). The pilot programs also lower costs and expand access to capital for Californians making energy efficiency improvements needed to help achieve the state's energy efficiency goals.

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0971 California Alternative Energy and Advanced Transportation Financing**DETAILED EXPENDITURES BY PROGRAM**

			<u>2018-19*</u>	<u>2019-20*</u>	<u>2020-21*</u>
PROGRAM REQUIREMENTS					
0850	CALIFORNIA ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY				
State Operations:					
0465	Energy Resources Programs Account		\$476	\$519	\$520
0995	Reimbursements		2,870	3,699	4,134
9332	California Alternative Energy Authority Fund		1,124	1,716	1,997
Totals, State Operations			\$4,470	\$5,934	\$6,651
TOTALS, EXPENDITURES					
State Operations			4,470	5,934	6,651
Totals, Expenditures			\$4,470	\$5,934	\$6,651

EXPENDITURES BY CATEGORY

	1 State Operations	Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES							
Baseline Positions		9.0	9.0	9.0	\$1,425	\$1,453	\$1,079
Other Adjustments		10.3	-	1.0	-112	80	491
Net Totals, Salaries and Wages		19.3	9.0	10.0	\$1,313	\$1,533	\$1,570
Staff Benefits		-	-	-	715	713	874
Totals, Personal Services		19.3	9.0	10.0	\$2,028	\$2,246	\$2,444
OPERATING EXPENSES AND EQUIPMENT							
					\$2,442	\$3,688	\$4,207
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)					\$4,470	\$5,934	\$6,651

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		2018-19*	2019-20*	2020-21*
0465 Energy Resources Programs Account				
APPROPRIATIONS				
001 Budget Act appropriation		\$476	\$507	\$520
Allocation for Employee Compensation		-	5	-
Allocation for Other Post-Employment Benefits		-	2	-
Allocation for Staff Benefits		-	3	-
Section 3.60 Pension Contribution Adjustment		-	2	-
Totals Available		\$476	\$519	\$520
TOTALS, EXPENDITURES		\$476	\$519	\$520
0995 Reimbursements				
APPROPRIATIONS				
Reimbursements		\$2,870	\$3,699	\$4,134
TOTALS, EXPENDITURES		\$2,870	\$3,699	\$4,134
9332 California Alternative Energy Authority Fund				
APPROPRIATIONS				
001 Budget Act appropriation		\$1,124	\$1,672	\$1,997
Allocation for Employee Compensation		-	19	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0971 California Alternative Energy and Advanced Transportation Financing

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	11	-
Section 3.60 Pension Contribution Adjustment	-	9	-
Totals Available	\$1,124	\$1,716	\$1,997
TOTALS, EXPENDITURES	\$1,124	\$1,716	\$1,997
Total Expenditures, All Funds, (State Operations)	\$4,470	\$5,934	\$6,651

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	9.0	9.0	9.0	\$1,425	\$1,453	\$1,079
Salary and Other Adjustments	10.3	-	-	-112	80	24
Workload and Administrative Adjustments						
Administration of the CA Hub for Energy Efficiency						
Financing Pilot Programs						
Assoc Govtl Program Analyst	-	-	6.0	-	-	251
Office Techn (Typing)	-	-	2.0	-	-	7
Staff Svcs Mgr I	-	-	5.0	-	-	120
Staff Svcs Mgr II (Supvry)	-	-	1.0	-	-	12
Various	-	-	-14.0	-	-	-
Extension of the Sales and Use Tax Exclusion (STE) Program - AB 1583						
Assoc Govtl Program Analyst	-	-	1.0	-	-	77
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	1.0	\$-	\$-	\$467
Totals, Adjustments	10.3	-	1.0	\$-112	\$80	\$491
TOTALS, SALARIES AND WAGES	19.3	9.0	10.0	\$1,313	\$1,533	\$1,570

0974 California Pollution Control Financing Authority

California Pollution Control Financing Authority is committed to stimulating local economies in California by making capital more accessible to small businesses, and delivering innovative financing for projects that protect and restore the environment. The Authority was created to assist the solid waste, recycling, water, and wastewater industries through its Pollution Control Tax-Exempt Bond Program. Over the last twenty-five years, the Authority has evolved to provide financing assistance to California's small business sector through its California Capital Access Program (CalCAP), and to promote the reuse and redevelopment of brownfields through the California Recycle Underutilized Sites Program (CALReUSE).

The Authority consists of the State Treasurer (Chairperson), the State Controller, and the Director of the Department of Finance.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0860 Pollution Control Tax-Exempt Bond Program	5.0	6.0	6.0	\$1,269	\$1,190	\$1,192
0865 Capital Access Program for Small Businesses	21.4	18.3	18.3	58,169	20,241	20,263
0870 California Recycle Underutilized Sites Program	3.0	3.0	3.0	1,316	1,356	1,356
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	29.4	27.3	27.3	\$60,754	\$22,787	\$22,811

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0974 California Pollution Control Financing Authority - Continued

FUNDING	2018-19*	2019-20*	2020-21*
0930 Pollution Control Financing Authority Fund	\$60,754	\$22,787	\$22,811
TOTALS, EXPENDITURES, ALL FUNDS	\$60,754	\$22,787	\$22,811

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Health and Safety Code Sections 44500-44563.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$-	\$309	-	\$-	\$251	-
• Other Post-Employment Benefit Adjustments	-	23	-	-	23	-
• Salary Adjustments	-	73	-	-	73	-
• Benefit Adjustments	-	34	-	-	38	-
• Retirement Rate Adjustments	-	35	-	-	35	-
• Miscellaneous Baseline Adjustments	-	-	-	-	20	-
• Budget Position Transparency	-	-309	5.6	-	-251	5.6
Totals, Other Workload Budget Adjustments	\$-	\$165	5.6	\$-	\$189	5.6
Totals, Workload Budget Adjustments	\$-	\$165	5.6	\$-	\$189	5.6
Totals, Budget Adjustments	\$-	\$165	5.6	\$-	\$189	5.6

PROGRAM DESCRIPTIONS

0860 - POLLUTION CONTROL TAX-EXEMPT BOND PROGRAM

The objective of this program is to provide bond financing to California businesses, irrespective of company size, for the acquisition, construction, or installation of qualified pollution control, waste disposal, water furnishing, wastewater treatment, waste recovery facilities, and the acquisition and installation of new equipment. Tax-exempt financing issued by the Authority assists municipalities in complying with waste diversion mandates of the California Integrated Waste Management Act of 1989. As of June 30, 2019, bonds totaling approximately \$16.1 billion have been issued by the Authority.

0865 - CALIFORNIA CAPITAL ACCESS PROGRAM FOR SMALL BUSINESSES

The objective of this program is to encourage banks and other financial institutions to make loans to small businesses. CalCAP for Small Businesses is a form of loan loss recovery that may provide up to 100 percent coverage on certain loan defaults. By participating in CalCAP, lenders have available to them a proven financing mechanism to meet the financing needs of California's small businesses. The program works through the creation of a loan loss-reserve fund for each participating financial institution. The Authority funds this reserve together with the financial institution and the borrower. Additional incentives are provided to lend to businesses located in specified communities. The reserve serves to reduce loan-loss risk, allowing banks to lend to targeted California small businesses.

Prior to 2010, CalCAP was entirely funded with \$38 million transferred from CPCFA's Small Business Assistance Fund (SBAF) established by fees collected from large companies financed through CPCFA's Pollution Control tax-exempt bond program. In October 2010, Chapter 731, Statutes of 2010, appropriated \$6 million General Fund to CalCAP to increase lending efforts. The \$6 million appropriation of the State-funded program account was fully-expended by the end of 2018 allowing the annual recapture of contributions to become the primary source for the State's contributions.

In addition, in 2011 California was awarded an allocation of federal funds in the amount of \$168 million from the federal State

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0974 California Pollution Control Financing Authority - Continued

Small Business Credit Initiative (SSBCI). The allocation was shared between the Authority, \$85 million, and the Governor's Office of Business and Economic Development (Go-BIZ), \$83 million. These funds enabled the Authority to expand the availability of its CalCAP for Small Business program statewide. The Authority also launched the Collateral Support Program to assist small businesses with more substantial capital needs. The federal funds were expended by the fourth quarter of 2018. Funds are being recaptured annually to sustain both the CalCAP for Small Business and the Collateral Support programs.

Utilizing the CalCAP model, the Authority has partnered with the California Air Resources Board (ARB) to assist diesel truck and equipment owners in meeting new clean air requirements by directing a total of \$167.9 million in Air Quality Improvement Program funds towards the purchase or retrofit of diesel trucks to comply with ARB's Statewide Truck and Bus Rule. The Authority has also partnered with the California Energy Commission to implement a \$2 million pilot program to provide credit enhancements and rebates to small business borrowers who install electric vehicle charging stations.

0870 - CALIFORNIA RECYCLE UNDERUTILIZED SITES PROGRAM

The CALReUSE program assists with the reuse and redevelopment of underutilized properties with real or perceived contamination issues. CALReUSE addresses a funding and information gap in the development of contaminated properties (brownfields) to help bring these properties into productive reuse. Beginning in 2000, the CALReUSE Assessment Program provided forgivable loans of up to \$300,000, which was later increased to \$500,000 per brownfield site. The funds assisted with brownfield site assessment and characterization, technical assistance, and the development of remedial action plans. Eligible projects included sites with potential beneficial reuse not currently redeveloped due to lack of information about real or perceived contamination, uncertainty about clean-up costs, or concerns regarding time frames and the regulatory process.

Additionally, Proposition 1C, the Housing and Emergency Shelter Trust Fund Act of 2006, included provisions to fund brownfield cleanup that resulted in the expansion of the CALReUSE Program. The CALReUSE Remediation Program received \$60 million in Proposition 1C funds and provided loans and grants up to \$5 million per project for brownfield remediation that promotes affordable infill residential and mixed-used developments. All CALReUSE Program funds have been awarded. The CALReUSE Program's \$60 million investment in cleanup of contaminated sites will result in 5,439 housing units in 30 California communities.

0875 - ALTERNATIVE FINANCING PROGRAMS

Under its authority to provide alternative methods of financing facilities for pollution control, clean water supply and alternative energy production, the Authority is developing alternative financing models to assist sister agencies with achieving the state's environmental goals. The Authority is authorized to receive and accept contributions from other sources including federal and state agencies to carry out the purposes of its statutory authority.

0876 - CALIFORNIA CAPITAL ACCESS PROGRAM FOR COMPLIANCE WITH THE AMERICANS WITH DISABILITIES ACT

The objective of this program, created by Chapter 787, Statutes of 2015, is to help small businesses comply with the federal Americans with Disabilities Act and increase access for their employees and customers by encouraging lenders and other financial institutions to make loans to very small businesses to finance tenant improvements. The Legislature provided the Authority an appropriation of \$10 million effective January 1, 2016, to administer a credit enhancement program following the CalCAP model and create loan loss reserve accounts for lenders that enroll qualifying loans into the program.

0877 - CALIFORNIA SEISMIC SAFETY CAPITAL ACCESS LOAN PROGRAM

The California Seismic Safety Capital Access Loan Program, authorized by Chapter 32, Statutes of 2016, provided a one-time allocation of \$10 million effective January 1, 2017 to administer a credit enhancement program for qualified small businesses and residential property owners (including multi-unit dwellings and mobile homes registered by the California Department of Housing and Community Development) for costs related to seismic retrofitting. The Program encourages banks and other financial institutions to participate by creating loan loss reserve accounts for lenders that enroll qualifying loans into the program.

DETAILED EXPENDITURES BY PROGRAM

		<u>2018-19*</u>	<u>2019-20*</u>	<u>2020-21*</u>
PROGRAM REQUIREMENTS				
0860	POLLUTION CONTROL TAX-EXEMPT BOND PROGRAM			
	State Operations:			
0930	Pollution Control Financing Authority Fund	\$1,269	\$1,190	\$1,192

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0974 California Pollution Control Financing Authority - Continued

		<u>2018-19*</u>	<u>2019-20*</u>	<u>2020-21*</u>
	Totals, State Operations	<u>\$1,269</u>	<u>\$1,190</u>	<u>\$1,192</u>
PROGRAM REQUIREMENTS				
0865	CAPITAL ACCESS PROGRAM FOR SMALL BUSINESSES			
State Operations:				
0930	Pollution Control Financing Authority Fund	\$58,169	\$20,241	\$20,263
	Totals, State Operations	<u>\$58,169</u>	<u>\$20,241</u>	<u>\$20,263</u>
PROGRAM REQUIREMENTS				
0870	CALIFORNIA RECYCLE UNDERUTILIZED SITES PROGRAM			
State Operations:				
0930	Pollution Control Financing Authority Fund	\$1,316	\$1,356	\$1,356
	Totals, State Operations	<u>\$1,316</u>	<u>\$1,356</u>	<u>\$1,356</u>
TOTALS, EXPENDITURES				
State Operations		60,754	22,787	22,811
Totals, Expenditures		<u>\$60,754</u>	<u>\$22,787</u>	<u>\$22,811</u>

EXPENDITURES BY CATEGORY

	1 State Operations	Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES							
Baseline Positions		24.2	21.7	21.7	\$2,284	\$2,166	\$2,166
Budget Position Transparency		-	5.6	5.6	-	-309	-251
Other Adjustments		5.2	-	-	-320	73	73
Net Totals, Salaries and Wages		29.4	27.3	27.3	\$1,964	\$1,930	\$1,988
Staff Benefits		-	-	-	1,077	1,393	1,397
Totals, Personal Services		29.4	27.3	27.3	\$3,041	\$3,323	\$3,385
OPERATING EXPENSES AND EQUIPMENT					\$1,545	\$19,464	\$19,426
SPECIAL ITEMS OF EXPENSES					56,168	-	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)					\$60,754	\$22,787	\$22,811

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		2018-19*	2019-20*	2020-21*
0930	Pollution Control Financing Authority Fund			
APPROPRIATIONS				
Health and Safety Code section 44526		\$60,360	\$20,302	\$20,369
Allocation for Employee Compensation		-	31	-
Allocation for Other Post-Employment Benefits		-	10	-
Allocation for Staff Benefits		-	14	-
Section 3.60 Pension Contribution Adjustment		-	10	-
Health and Safety Code section 44526 (California Capital Access Program for Small Businesses)		394	2,320	2,442
Allocation for Employee Compensation		-	42	-
Allocation for Other Post-Employment Benefits		-	13	-
Allocation for Staff Benefits		-	20	-
Budget Position Transparency		-	-309	-

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0974 California Pollution Control Financing Authority - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
Expenditure by Category Redistribution	-	309	-
Section 3.60 Pension Contribution Adjustment	-	25	-
Totals Available	\$60,754	\$22,787	\$22,811
TOTALS, EXPENDITURES	\$60,754	\$22,787	\$22,811
Total Expenditures, All Funds, (State Operations)	\$60,754	\$22,787	\$22,811

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	24.2	21.7	21.7	\$2,284	\$2,166	\$2,166
Budget Position Transparency	-	5.6	5.6	-	-309	-251
Salary and Other Adjustments	5.2	-	-	-320	73	73
Totals, Adjustments	5.2	5.6	5.6	\$-320	\$-236	\$-178
TOTALS, SALARIES AND WAGES	29.4	27.3	27.3	\$1,964	\$1,930	\$1,988

0977 California Health Facilities Financing Authority

The mission of the California Health Facilities Financing Authority is to help eligible and credit worthy nonprofit and public health facilities reduce their cost of capital, and promote health care improvement and cost containment objectives by providing cost-effective tax-exempt bond, low-cost loan, and direct grant programs.

The Authority was established by Chapter 1033, Statutes of 1979 (AB 1558), and consists of nine members: the State Treasurer, the Director of the Department of Finance, the State Controller, two public members appointed by the Senate, two public members appointed by the Assembly, and two public members appointed by the Governor.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0880 Children's Hospital Program	3.9	7.5	7.5	\$150,822	\$345,154	\$534,367
0885 Health Facilities Grants and Loans	15.1	15.5	15.5	13,191	9,295	9,313
0890 Mental Health Wellness Grants	0.3	-	-	4,310	126,234	65,949
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	19.3	23.0	23.0	\$168,323	\$480,683	\$609,629
FUNDING				2018-19*	2019-20*	2020-21*
0001 General Fund				\$289	\$68,432	\$-
0904 California Health Facilities Financing Authority Fund				13,191	9,295	9,313
3085 Mental Health Services Fund				4,000	4,067	4,673
3357 The Supportive Housing Program Subaccount, Mental Health Services Fund				-	53,735	61,276
6046 Childrens Hospital Fund				8,757	40,362	40,362
6079 Childrens Hospital Bond Act Fund				141,950	75,434	75,435
6084 No Place Like Home Fund				21	-	-
6090 Children's Hospital Bond Act Fund of 2018				115	229,358	418,570
TOTALS, EXPENDITURES, ALL FUNDS				\$168,323	\$480,683	\$609,629

LEGAL CITATIONS AND AUTHORITY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0977 California Health Facilities Financing Authority - Continued

DEPARTMENT AUTHORITY

Government Code Sections 15430-15463. Health and Safety Code Sections 1179.10-1179.43, 1179.50-1179.72, and 1179.81-1179.102. Welfare and Institutions Code Sections 5848.5-5848.6, and 5849.1-5849.15, and 5890-5892.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Proposition 4 Adjustment per Health and Safety Code Section 1179.83	\$-	\$-	3.0	\$-	\$418,550	3.0
• No Place Like Home Program Service Contract Payments per WIC Section 5890(f)	-	53,735	-	-	61,276	-
• Other Post-Employment Benefit Adjustments	-	19	-	-	19	-
• Expenditure by Category Redistribution	-	134	-	-	-73	-
• Adjustment per Government Code Section 15438.10(e)	-	-5,600	-	-	-5,600	-
• Technical Adjustment for No Place Like Home Program	-	-140,000	-	-	-140,000	-
• Carryover/Reappropriation	68,432	67	-	-	673	-
• Budget Position Transparency	-	-134	2.0	-	73	2.0
• Salary Adjustments	-	61	-	-	61	-
• Benefit Adjustments	-	28	-	-	30	-
• Retirement Rate Adjustments	-	29	-	-	29	-
• Miscellaneous Baseline Adjustments	-	-253	0.5	-	-236	0.5
Totals, Other Workload Budget Adjustments	\$68,432	\$-91,914	5.5	\$-	\$334,802	5.5
Totals, Workload Budget Adjustments	\$68,432	\$-91,914	5.5	\$-	\$334,802	5.5
Totals, Budget Adjustments	\$68,432	\$-91,914	5.5	\$-	\$334,802	5.5

PROGRAM DESCRIPTIONS

0880 - CHILDREN'S HOSPITAL PROGRAM

In 2004, California voters approved Proposition 61, which established the Children's Hospital Program. In 2008, Proposition 3 established the second Children's Hospital Program. In 2018, Proposition 4 established a third Children's Hospital Program. The purpose of the three programs is to improve the health and welfare of California's critically ill children by providing a stable and ready source of funds for capital improvement projects for children's hospitals. Children's Hospital Program grant funding is derived from the issuance of general obligation bonds, up to \$750 million under Proposition 61, \$980 million under Proposition 3, and \$1.5 billion under Proposition 4.

0885 - HEALTH FACILITIES BONDS, LOANS, AND GRANTS

The Authority serves as a conduit provider of tax-exempt bonds and a direct provider of financial assistance to health institutions.

Tax-Exempt Bond Program

Bonds issued under this conduit program are not a debt, liability, or a pledge of the full faith and credit of the taxing power of the state or any of its political subdivisions. The full faith and credit of the participating institutions are pledged for repayment of the bonds. To qualify for funding, the proposed project must be a health facility, operated by a private nonprofit corporation or association, city, city and county, county, or hospital district.

HELP II Loan Program

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0977 California Health Facilities Financing Authority - Continued

The Authority administers the Healthcare Expansion Loan Program II (HELP II) to assist small and rural health facilities in obtaining financing for their capital needs. The HELP II Program provides two percent and three percent fixed interest rate loans of up to \$1.5 million to California's nonprofit small and rural health facilities in an efficient, timely, and cost effective manner. HELP II loans may be used to purchase or construct new facilities, remodel or renovate existing facilities, purchase equipment and/or furnishings, and refinance existing debt.

0890 - MENTAL HEALTH WELLNESS GRANTS

- Investment in Mental Health Wellness Act of 2013 Grant Program

Chapter 34, Statutes of 2013 (SB 82), the Investment in Mental Health Wellness Act of 2013 and related legislation provided \$142.5 million in one-time General Fund, and \$4 million in ongoing Mental Health Services Act funding to provide grants for community based mental health crisis support, which may also be eligible for matching federal funding.

The Act established a grant program to disburse funds to California counties or to their nonprofit or public agency designees for the purpose of developing mental health crisis support programs. Specifically, funds may be used to increase capacity for client assistance and services in crisis intervention, crisis stabilization, crisis residential treatment, rehabilitative mental health services, and mobile crisis support teams. The grants will support capital improvement, expansion and limited start-up costs.

- Investment in Mental Health Wellness Grant Program for Children and Youth

Chapter 30, Statutes of 2016 (SB 833), Section 20 created the Investment in Mental Health Wellness Grant Program for Children and Youth to increase mental health services for children and youth 21 years of age and under to develop a complete continuum of crisis services. Working with counties, the program funds facility acquisition, construction/renovation, equipment acquisition, applicable startup or expansion costs, and limited personnel funding to develop crisis residential treatment and crisis stabilization facilities, mobile crisis support teams, and family respite care facilities.

- Community Services Infrastructure Grants

Chapter 33, Statutes of 2016 (SB 843), Section 52 created the Community Services Infrastructure competitive grant program to expand community alternatives to jail and prison. The program seeks to expand access to jail and prison diversion programs and services for those with mental health illness, substance use disorders, or who have suffered from trauma. Working with counties, the program funds facility acquisition, renovation, equipment acquisition, and applicable startup or expansion costs for facilities that provide services to this population.

- No Place Like Home Program

Chapter 43, Statutes of 2016 (AB 1618) established the No Place Like Home Program (NPLH), which requires the Department of Housing and Community Development (HCD) to award up to \$2 billion to counties for the acquisition, design, construction, rehabilitation, or preservation of permanent supportive housing for individuals living with a severe mental illness who are homeless or at risk of chronic homelessness. Chapter 322, Statutes of 2016 (AB 1628) authorized CHFFA to enter into contracts with HCD and further authorized CHFFA to issue up to \$2 billion in taxable revenue bonds pursuant to the NPLH Program. Chapter 41, Statutes of 2018 (AB 1827) placed the No Place Like Home program on the November 2018 ballot (Proposition 2), where it was adopted by the voters as the No Place Like Home Act. This ratified existing law establishing the No Place Like Home program as being consistent with the Mental Health Services Act approved through Proposition 63 in 2004. It also ratified the issuance of up to \$2 billion in previously authorized bonds.

DETAILED EXPENDITURES BY PROGRAM

		<u>2018-19*</u>	<u>2019-20*</u>	<u>2020-21*</u>
PROGRAM REQUIREMENTS				
0880 CHILDREN'S HOSPITAL PROGRAM				
State Operations:				
6046 Childrens Hospital Fund		\$-	\$362	\$362
6079 Childrens Hospital Bond Act Fund		530	434	435
6090 Children's Hospital Bond Act Fund of 2018		115	370	570
Totals, State Operations		\$645	\$1,166	\$1,367
Local Assistance:				
6046 Childrens Hospital Fund		\$8,757	\$40,000	\$40,000
6079 Childrens Hospital Bond Act Fund		141,420	75,000	75,000

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0977 California Health Facilities Financing Authority - Continued

		2018-19*	2019-20*	2020-21*
6090	Children's Hospital Bond Act Fund of 2018		- 228,988	418,000
	Totals, Local Assistance	\$150,177	\$343,988	\$533,000
PROGRAM REQUIREMENTS				
0885 HEALTH FACILITIES GRANTS AND LOANS				
State Operations:				
0904	California Health Facilities Financing Authority Fund	\$2,223	\$2,795	\$2,813
	Totals, State Operations	\$2,223	\$2,795	\$2,813
Local Assistance:				
0904	California Health Facilities Financing Authority Fund	\$10,968	\$6,500	\$6,500
	Totals, Local Assistance	\$10,968	\$6,500	\$6,500
PROGRAM REQUIREMENTS				
0890 MENTAL HEALTH WELLNESS GRANTS				
State Operations:				
0001	General Fund	\$289	\$1,397	\$-
3085	Mental Health Services Fund	-	10	-
3357	The Supportive Housing Program Subaccount, Mental Health Services Fund	-	250	500
6084	No Place Like Home Fund	21	-	-
	Totals, State Operations	\$310	\$1,657	\$500
Local Assistance:				
0001	General Fund	\$-	\$67,035	\$-
3085	Mental Health Services Fund	4,000	4,057	4,673
3357	The Supportive Housing Program Subaccount, Mental Health Services Fund	-	53,485	60,776
	Totals, Local Assistance	\$4,000	\$124,577	\$65,449
TOTALS, EXPENDITURES				
State Operations				
		3,178	5,618	4,680
Local Assistance				
		165,145	475,065	604,949
	Totals, Expenditures	\$168,323	\$480,683	\$609,629

EXPENDITURES BY CATEGORY

	1 State Operations	Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES							
Baseline Positions		17.5	17.5	17.5	\$2,926	\$1,393	\$1,240
Budget Position Transparency		-	2.0	2.0	-	-134	73
Other Adjustments		1.8	3.5	3.5	-1,517	1,718	811
Net Totals, Salaries and Wages		19.3	23.0	23.0	\$1,409	\$2,977	\$2,124
Staff Benefits		-	-	-	495	923	993
Totals, Personal Services		19.3	23.0	23.0	\$1,904	\$3,900	\$3,117
OPERATING EXPENSES AND EQUIPMENT					\$1,274	\$1,718	\$1,563
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)					\$3,178	\$5,618	\$4,680

2 Local Assistance	Expenditures		
	2018-19*	2019-20*	2020-21*
Debt Service - Interest	\$-	\$7,113	\$11,314
Debt Service - Principal	-	46,372	49,462

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0977 California Health Facilities Financing Authority - Continued

	2 Local Assistance			Expenditures		
	2018-19*	2019-20*	2020-21*	2018-19*	2019-20*	2020-21*
Grants and Subventions - Governmental	165,145	421,580	544,173			
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$165,145	\$475,065	\$604,949			

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0001 General Fund			
Prior Year Balances Available:			
Item 0977-001-0001, Budget Act of 2017	289	1,397	-
Totals Available	\$289	\$1,397	-
TOTALS, EXPENDITURES	\$289	\$1,397	-
0904 California Health Facilities Financing Authority Fund			
APPROPRIATIONS			
Government Code section 15439	\$2,223	\$2,590	\$2,813
Allocation for Employee Compensation	-	43	-
Allocation for Other Post-Employment Benefits	-	13	-
Allocation for Staff Benefits	-	20	-
Budget Position Transparency	-	-134	-
Expenditure by Category Redistribution	-	134	-
Miscellaneous Baseline Adjustment	-	109	-
Section 3.60 Pension Contribution Adjustment	-	20	-
TOTALS, EXPENDITURES	\$2,223	\$2,795	\$2,813
3085 Mental Health Services Fund			
Balance available in subsequent years	-	10	-
TOTALS, EXPENDITURES	-	\$10	-
3357 The Supportive Housing Program Subaccount, Mental Health Services Fund			
APPROPRIATIONS			
Welfare and Institutions Code section 5890(f)	-	-	\$500
No Place Like Home Program Service Contract Payments per WIC Section 5890(f)	-	250	-
TOTALS, EXPENDITURES	-	\$250	\$500
6046 Childrens Hospital Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$362	\$362
TOTALS, EXPENDITURES	-	\$362	\$362
6079 Childrens Hospital Bond Act Fund			
APPROPRIATIONS			
Health and Safety Code section 1179.57(h)	\$530	\$413	\$435
Allocation for Employee Compensation	-	9	-
Allocation for Other Post-Employment Benefits	-	3	-
Allocation for Staff Benefits	-	4	-
Section 3.60 Pension Contribution Adjustment	-	5	-
Health and Safety Code section 1179.64(b)	-	362	-
Miscellaneous Baseline Adjustment	-	-362	-
TOTALS, EXPENDITURES	\$530	\$434	\$435
6084 No Place Like Home Fund			
Prior Year Balances Available:			
Welfare and Institutions Code section 5849.4(a)	21	-	-
Totals Available	\$21	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0977 California Health Facilities Financing Authority - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
TOTALS, EXPENDITURES	\$21	-	-
6090 Children's Hospital Bond Act Fund of 2018			
APPROPRIATIONS			
Health and Safety Code section 1179.83 and 1179.87(h)	\$115	\$350	\$570
Allocation for Employee Compensation	-	9	-
Allocation for Other Post-Employment Benefits	-	3	-
Allocation for Staff Benefits	-	4	-
Section 3.60 Pension Contribution Adjustment	-	4	-
Totals Available	\$115	\$370	\$570
TOTALS, EXPENDITURES	\$115	\$370	\$570
Total Expenditures, All Funds, (State Operations)	\$3,178	\$5,618	\$4,680
2 LOCAL ASSISTANCE			
0001 General Fund	2018-19*	2019-20*	2020-21*
Prior Year Balances Available:			
Item 0977-101-0001, Budget Act of 2013 as reappropriated by Item 0977-490, Budget Acts of 2016 and 2019, and Item 0977-491, Budget Act of 2017	-	1,222	-
Item 0977-101-0001, Budget Act of 2017	-	65,813	-
Totals Available	-	\$67,035	-
TOTALS, EXPENDITURES	-	\$67,035	-
0904 California Health Facilities Financing Authority Fund			
APPROPRIATIONS			
Government Code section 15439	\$3,692	\$6,500	\$6,500
Prior Year Balances Available:			
Government Code section 15438.11 (Lifeline Grant Program)	7,276	-	-
TOTALS, EXPENDITURES	\$10,968	\$6,500	\$6,500
3085 Mental Health Services Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$4,000	\$4,000	\$4,000
Welfare and Institutions Code section 5890(f)	-	140,000	-
Technical Adjustment for No Place Like Home Program	-	-140,000	-
Prior Year Balances Available:			
Item 0977-101-3085, Budget Act of 2016 as reappropriated by Item 0977-490, Budget Act of 2019	-	10,815	10,758
Item 0977-101-3085, Budget Act of 2017 as reappropriated by Item 0977-490, Budget Act of 2019	-	16,452	16,452
Totals Available	\$4,000	\$31,267	\$31,210
Balance available in subsequent years	-	-27,210	-26,537
TOTALS, EXPENDITURES	\$4,000	\$4,057	\$4,673
3357 The Supportive Housing Program Subaccount, Mental Health Services Fund			
APPROPRIATIONS			
Welfare and Institutions Code section 5890(f)	-	-	\$60,776
No Place Like Home Program Service Contract Payments per WIC Section 5890(f)	-	53,485	-
TOTALS, EXPENDITURES	-	\$53,485	\$60,776
6046 Childrens Hospital Fund			
APPROPRIATIONS			
Health and Safety Code sections 1179.10-1179.43	\$8,757	\$40,000	\$40,000
TOTALS, EXPENDITURES	\$8,757	\$40,000	\$40,000
6079 Childrens Hospital Bond Act Fund			
APPROPRIATIONS			
Health and Safety Code section 1179.53	\$141,420	\$75,000	\$75,000

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0977 California Health Facilities Financing Authority - Continued

2 LOCAL ASSISTANCE	2018-19*	2019-20*	2020-21*
TOTALS, EXPENDITURES	\$141,420	\$75,000	\$75,000
6090 Children's Hospital Bond Act Fund of 2018			
APPROPRIATIONS			
Health and Safety Code section 1179.83 and 1179.85	-	\$228,988	\$418,000
TOTALS, EXPENDITURES	-	\$228,988	\$418,000
Total Expenditures, All Funds, (Local Assistance)	\$165,145	\$475,065	\$604,949
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$168,323	\$480,683	\$609,629

FUND CONDITION STATEMENTS

	2018-19*	2019-20*	2020-21*
3357 The Supportive Housing Program Subaccount, Mental Health Services Fund^s			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments			
Revenue Transfer from Mental Health Services Fund (3085) to the Supportive Housing Program Subaccount, Mental Health Services Fund (3357) per Welfare and Institutions Code Section 5890(f)	-	53,735	61,276
Total Revenues, Transfers, and Other Adjustments	-	\$53,735	\$61,276
Total Resources	-	\$53,735	\$61,276
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0977 California Health Facilities Financing Authority (State Operations)	-	250	500
0977 California Health Facilities Financing Authority (Local Assistance)	-	53,485	60,776
Total Expenditures and Expenditure Adjustments	-	\$53,735	\$61,276
FUND BALANCE	-	-	-

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	17.5	17.5	17.5	\$2,926	\$1,393	\$1,240
Budget Position Transparency	-	2.0	2.0	-	-134	73
Salary and Other Adjustments	1.8	3.5	3.5	-1,517	1,718	811
Totals, Adjustments	1.8	5.5	5.5	\$-1,517	\$1,584	\$884
TOTALS, SALARIES AND WAGES	19.3	23.0	23.0	\$1,409	\$2,977	\$2,124

0981 California ABLE Act Board

The California Achieving a Better Life Experience Act (ABLE) Board was established by Chapter 796, Statutes of 2015 (SB 324) to create a Qualified ABLE Program. The Program will provide eligible individuals with disabilities the opportunity to save private funds in tax-advantaged ABLE accounts for the purpose of supporting persons with disabilities to maintain their health, independence, and quality of life.

The Board consists of the State Treasurer (Chair), the Director of Finance, the Controller, the Director of Developmental Services, the Chairperson of the State Council on Developmental Disabilities, the Director of Rehabilitation, and the Chair of the State Independent Living Council.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0981 California ABLE Act Board - Continued

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0895	California ABLE Act Board	2.7	3.0	3.0	\$1,418	\$1,089	\$1,212
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		2.7	3.0	3.0	\$1,418	\$1,089	\$1,212
FUNDING				2018-19*	2019-20*	2020-21*	
8101	California ABLE Administrative Fund			\$1,418	\$1,089	\$1,212	
TOTALS, EXPENDITURES, ALL FUNDS				\$1,418	\$1,089	\$1,212	

LEGAL CITATIONS AND AUTHORITY

California Welfare and Institutions Code, Sections 4875-4884; and California Revenue and Taxation Code, Section 23711.4.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Continued Administration of the California Achieving a Better Life Experience Program	\$-	\$-	-	\$-	\$1,190	3.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$1,190	3.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	-	2	-	-	3	-
• Salary Adjustments	-	9	-	-	9	-
• Benefit Adjustments	-	4	-	-	4	-
• Retirement Rate Adjustments	-	4	-	-	4	-
• Miscellaneous Baseline Adjustments	-	-	-	-	2	-
Totals, Other Workload Budget Adjustments	\$-	\$19	-	\$-	\$22	-
Totals, Workload Budget Adjustments	\$-	\$19	-	\$-	\$1,212	3.0
Totals, Budget Adjustments	\$-	\$19	-	\$-	\$1,212	3.0

PROGRAM DESCRIPTIONS

0895 - CALIFORNIA ABLE ACT BOARD

On December 19, 2014, President Obama signed the Stephen Beck Jr., Achieving a Better Life Experience Act of 2014, which allows individuals who became blind or disabled before reaching age 26, to create tax-free savings accounts. Individuals can make nondeductible cash contributions to an ABLE account in the name of a designated beneficiary with tax-free earnings. ABLE account distributions are also not included in the beneficiary's income, as long as they are used for qualified disability expenses. Chapter 774, Statutes of 2015 (AB 449), implements the ABLE Act in California, and directs the Board, with the State Treasurer as Chair, to administer the California ABLE Program accounts on behalf of eligible individuals.

The Board and the California ABLE Program Trust were established by Chapter 796, Statutes of 2015 (SB 324), for the purpose of creating the Program. CalABLE was officially launched in December of 2018 with the mission to meet the diverse needs of our customers and their families. CalABLE's pledge is to be customer-driven, accountable, and a trusted partner in providing financial services.

Under the Program, a person may make contributions of up to \$16,000 during a taxable year, to a designated beneficiary's ABLE account to be used for the qualified disability expenses of that designated beneficiary.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0981 California ABLE Act Board - Continued**DETAILED EXPENDITURES BY PROGRAM**

		2018-19*	2019-20*	2020-21*
	PROGRAM REQUIREMENTS			
0895	CALIFORNIA ABLE ACT BOARD			
	State Operations:			
8101	California ABLE Administrative Fund	\$1,418	\$1,089	\$1,212
	Totals, State Operations	\$1,418	\$1,089	\$1,212
	TOTALS, EXPENDITURES			
	State Operations	1,418	1,089	1,212
	Totals, Expenditures	\$1,418	\$1,089	\$1,212

EXPENDITURES BY CATEGORY

	1 State Operations	Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
	PERSONAL SERVICES						
	Baseline Positions	3.0	3.0	-	\$249	\$282	\$-
	Other Adjustments	-0.3	-	3.0	-9	9	300
	Net Totals, Salaries and Wages	2.7	3.0	3.0	\$240	\$291	\$300
	Staff Benefits	-	-	-	120	155	165
	Totals, Personal Services	2.7	3.0	3.0	\$360	\$446	\$465
	OPERATING EXPENSES AND EQUIPMENT				\$1,058	\$643	\$747
	TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,418	\$1,089	\$1,212

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		2018-19*	2019-20*	2020-21*
	0001 General Fund			
	APPROPRIATIONS			
	011 Budget Act appropriation (loan to California ABLE Administrative Fund)	(\$1,750)	(\$1,070)	(\$500)
	TOTALS, EXPENDITURES	-	-	-
	8101 California ABLE Administrative Fund			
	APPROPRIATIONS			
	001 Budget Act appropriation	\$1,418	\$1,070	\$1,212
	Allocation for Employee Compensation	-	9	-
	Allocation for Other Post-Employment Benefits	-	2	-
	Allocation for Staff Benefits	-	4	-
	Section 3.60 Pension Contribution Adjustment	-	4	-
	Totals Available	\$1,418	\$1,089	\$1,212
	TOTALS, EXPENDITURES	\$1,418	\$1,089	\$1,212
	Total Expenditures, All Funds, (State Operations)	\$1,418	\$1,089	\$1,212

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0981 California ABLE Act Board - Continued**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	3.0	3.0	-	\$249	\$282	\$-
Salary and Other Adjustments	-0.3	-	-	-9	9	9
Workload and Administrative Adjustments						
Continued Administration of the California Achieving a Better Life Experience Program						
Assoc Govtl Program Analyst	-	-	1.0	-	-	77
Executive Director	-	-	1.0	-	-	123
Staff Svcs Mgr I	-	-	1.0	-	-	91
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	3.0	\$-	\$-	\$291
Totals, Adjustments	-0.3	-	3.0	\$-9	\$9	\$300
TOTALS, SALARIES AND WAGES	2.7	3.0	3.0	\$240	\$291	\$300

0983 California Urban Waterfront Area Restoration Financing Authority

Created in 1983, the California Urban Waterfront Area Restoration Financing Authority was established to restore, revitalize and develop in an environmentally and economically sound manner, the coastal and inland urban waterfront areas of the state, in cooperation with local governments. The Authority consists of five members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, the Secretary of the Natural Resources Agency, and the Executive Director of the State Coastal Conservancy.

3-YEAR EXPENDITURES AND POSITIONS [†]

		Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0900	California Urban Waterfront Restoration Financing Program	-	-	-	\$-	\$-	\$-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		-	-	-	\$-	\$-	\$-

[†] This budget display is for informational purposes only. Public Resources Code section 32054 authorizes an Executive Director, however, the position is currently vacant.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Public Resources Code, Division 22, Sections 32000-32208.

PROGRAM DESCRIPTIONS

0900 - The Authority was established to issue up to \$650 million in conduit financing to make loans or acquire title to property and to underwrite or undertake directly a variety of urban waterfront development projects within the coastal zone, the Sacramento-Yolo Port District, the Stockton Port District, and those metropolitan statistical areas meeting specified conditions. The Federal Tax Reform Act of 1986 requires that bonds authorized by the Authority generally be within an allocation from the state's "private activity" bond limit in order for the bonds to be federally tax-exempt, unless the issuer qualifies as a private, nonprofit business.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0983 California Urban Waterfront Area Restoration Financing Authority - Continued

Financing is available for both publicly and privately sponsored projects that provide visitor-serving facilities, waterfront-dependent industries, public recreation, and erosion control facilities. The State Coastal Conservancy must approve both the specific project and a master plan for urban waterfront restoration before any project can obtain the Authority approval for revenue bond financing. The Authority has sold \$3.33 million in revenue bonds to date.

0984 California Secure Choice Retirement Savings Investment Board

The California Secure Choice Retirement Savings Investment Board was established by Chapter 734, Statutes of 2012 (SB 1234). Subsequently, Chapter 804, Statutes of 2016 (SB 1234), authorized the Board to implement the CalSavers Retirement Savings Program (CalSavers), which is a state-administered retirement savings program for private sector employees in California with no access to workplace retirement savings plans.

The Board consists of nine members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, a retirement savings and investment expert appointed by the Senate Committee on Rules, an employee representative appointed by the Speaker of the Assembly, a small business representative appointed by the Governor, a public member appointed by the Governor, and two additional members appointed by the Governor.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0910	California Secure Choice Retirement Savings Investment Board	6.3	10.0	10.0	\$1,742	\$3,046	\$3,414
	TOTALS, POSITIONS AND EXPENDITURES (All Programs)	6.3	10.0	10.0	\$1,742	\$3,046	\$3,414
	FUNDING				2018-19*	2019-20*	2020-21*
8111	Secure Choice Retirement Savings Administration Fund				\$1,742	\$3,046	\$3,414
	TOTALS, EXPENDITURES, ALL FUNDS				\$1,742	\$3,046	\$3,414

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 100000-100050.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$-	\$8	-	\$-	\$8	-
• Miscellaneous Baseline Adjustments	-	-357	-	-	3,352	-
• Salary Adjustments	-	27	-	-	27	-
• Benefit Adjustments	-	12	-	-	14	-
• Retirement Rate Adjustments	-	13	-	-	13	-
Totals, Other Workload Budget Adjustments	\$-	\$-297	-	\$-	\$3,414	-
Totals, Workload Budget Adjustments	\$-	\$-297	-	\$-	\$3,414	-
Totals, Budget Adjustments	\$-	\$-297	-	\$-	\$3,414	-

PROGRAM DESCRIPTIONS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0984 California Secure Choice Retirement Savings Investment Board - Continued**0910 - CALSAVERS**

The Program provides workers access to a simple, portable, low-cost retirement savings account. Any private sector employer with at least five employees that does not offer a workplace retirement savings vehicle by the statutory compliance deadline will be required to provide their employees with access to the Program. The final date to do so is July 1, 2022. CalSavers launched statewide on July 1, 2019, following a limited scope pilot.

DETAILED EXPENDITURES BY PROGRAM

		2018-19*	2019-20*	2020-21*
PROGRAM REQUIREMENTS				
0910	CALIFORNIA SECURE CHOICE RETIREMENT SAVINGS INVESTMENT BOARD			
State Operations:				
8111	Secure Choice Retirement Savings Administration Fund	1,742	3,046	3,414
Totals, State Operations		\$1,742	\$3,046	\$3,414
TOTALS, EXPENDITURES				
State Operations		1,742	3,046	3,414
Totals, Expenditures		\$1,742	\$3,046	\$3,414

EXPENDITURES BY CATEGORY

	1 State Operations	Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES							
Baseline Positions	10.0	10.0	10.0	\$591	\$866	\$-	
Other Adjustments	-3.7	-	-	-59	-149	880	
Net Totals, Salaries and Wages	6.3	10.0	10.0	\$532	\$717	\$880	
Staff Benefits	-	-	-	295	284	349	
Totals, Personal Services	6.3	10.0	10.0	\$827	\$1,001	\$1,229	
OPERATING EXPENSES AND EQUIPMENT				\$915	\$2,045	\$2,185	
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,742	\$3,046	\$3,414	

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		2018-19*	2019-20*	2020-21*
0001 General Fund				
APPROPRIATIONS				
011 Budget Act appropriation (loan to Secure Choice Retirement Savings Program Fund)		(\$2,500)	(-)	(-)
TOTALS, EXPENDITURES		-	-	-
8111 Secure Choice Retirement Savings Administration Fund				
APPROPRIATIONS				
Government Code section 100004 (Secure Choice administration)		\$1,742	\$3,343	\$3,414
Allocation for Employee Compensation		-	27	-
Allocation for Other Post-Employment Benefits		-	8	-
Allocation for Staff Benefits		-	12	-
Miscellaneous Adjustments		-	-357	-
Section 3.60 Pension Contribution Adjustment		-	13	-

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0984 California Secure Choice Retirement Savings Investment Board - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
Totals Available	\$1,742	\$3,046	\$3,414
TOTALS, EXPENDITURES	\$1,742	\$3,046	\$3,414
Total Expenditures, All Funds, (State Operations)	\$1,742	\$3,046	\$3,414

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	10.0	10.0	10.0	\$591	\$866	\$-
Salary and Other Adjustments	-3.7	-	-	-59	-149	880
Totals, Adjustments	-3.7	-	-	\$-59	\$-149	\$880
TOTALS, SALARIES AND WAGES	6.3	10.0	10.0	\$532	\$717	\$880

0985 California School Finance Authority

The California School Finance Authority (CSFA), created in 1985, provides facilities and working capital financing to school districts, community college districts, county offices of education, and charter schools. The State Treasurer serves as chair of CSFA and the Superintendent of Public Instruction and the Director of Finance serve as members. CSFA has offices in Los Angeles and Sacramento.

CSFA serves as the primary state agency for California charter schools seeking facility and working capital assistance by providing access to low-cost financing through several State and federally funded programs.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0918	Smart Bonds	1.0	-	-	\$306	\$306	\$306
0920	Charter School Facilities Program	2.5	2.5	2.5	1,278	1,310	1,320
0925	State Charter School Facilities Incentive Grants Program	3.0	2.6	2.6	20,450	20,475	20,489
0930	Charter School Facility Grant Program	3.6	3.0	3.0	137,247	137,271	137,272
0935	Charter School Revolving Loan Program	1.0	1.0	1.0	12,508	12,514	12,514
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		11.1	9.1	9.1	\$171,789	\$171,876	\$171,901
FUNDING					2018-19*	2019-20*	2020-21*
0001	General Fund				\$583	\$613	\$614
0001	General Fund, Proposition 98				136,786	136,786	136,786
0526	California School Finance Authority Fund				306	306	306
0606	Charter School Revolving Loan Fund				12,386	12,386	12,386
0890	Federal Trust Fund				20,450	20,475	20,489
6044	2004 State School Facilities Fund				712	744	745
6057	2006 State School Facilities Fund				566	566	575
TOTALS, EXPENDITURES, ALL FUNDS					\$171,789	\$171,876	\$171,901

LEGAL CITATIONS AND AUTHORITY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0985 California School Finance Authority - Continued**PROGRAM AUTHORITY**

Education Code Sections 17078.52-17078.66, 17170-17199.5, 41365, 41366.5, 41366.7, 41367, and 47614.5

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$4	\$7	-	\$4	\$7	-
• Expenditure by Category Redistribution	-	215	-	-	188	-
• Salary Adjustments	14	22	-	14	22	-
• Benefit Adjustments	6	10	-	7	11	-
• Retirement Rate Adjustments	6	11	-	6	11	-
• SWCAP	-	-	-	-	14	-
• Miscellaneous Baseline Adjustments	-	-	-	-	9	-
• Budget Position Transparency	-	-215	-2.4	-	-188	-2.4
Totals, Other Workload Budget Adjustments	\$30	\$50	-2.4	\$31	\$74	-2.4
Totals, Workload Budget Adjustments	\$30	\$50	-2.4	\$31	\$74	-2.4
Totals, Budget Adjustments	\$30	\$50	-2.4	\$31	\$74	-2.4

PROGRAM DESCRIPTIONS

0918 - SMART BONDS PROGRAM

Chapter 1438, Statutes of 1985, as amended by Chapter 598, Statutes of 1987, established CSFA and authorized the issuance of revenue bonds or other debt instruments. The proceeds from the sale of the bonds were available for loans to schools and community college districts to assist with the acquisition of equipment and new school sites, construction of new facilities, reconstruction of existing facilities, capital improvements, and to provide working capital. Chapter 325, Statutes of 2006 (AB 2717), allows CSFA to issue debt on behalf of California charter schools for capital improvements and working capital needs.

0920 - CHARTER SCHOOL FACILITIES PROGRAM

Chapter 935, Statutes of 2002, as amended by Chapter 587, Statutes of 2003, created the Charter School Facilities Program (CSFP), which provides funding for the new construction, renovation or rehabilitation of charter school facilities. CSFA and the Office of Public School Construction jointly administer the program. CSFP was funded through the Kindergarten-University Public Education Facilities Bond Acts of 2002, 2004, 2006, and 2016, for a total of \$1.4 billion. The program provides a 50 percent state subsidy for the charter school facilities project costs, with the balance of the project costs being repaid (to the state) by the charter school in the form of a long-term lease. Recipient charter schools must provide site-based instruction, be deemed financially sound by CSFA, and meet other program eligibility requirements.

0925 - STATE CHARTER SCHOOL FACILITIES INCENTIVE GRANTS PROGRAM

In 2004, 2009, and 2014, CSFA was awarded grants under the United States Department of Education's State Charter School Facilities Incentive Grants Program (CFDA 84.282D) to provide California charter schools with assistance for facilities costs. Grant funds reimburse a charter school's costs for rent, lease, mortgage or debt service payments for existing or new facilities or the costs of acquiring land and/or constructing or renovating a facility. Grants are awarded to charter schools based on preference points allotted by the percentage of low-income students, percentage of overcrowding, not-for-profit status, and demonstrated student performance. Schools cannot use program funds to supplant state funding.

0930 - CHARTER SCHOOL FACILITY GRANT PROGRAM

The Charter School Facility Grant Program provides Proposition 98 General Fund grants to assist charter schools with rent and lease costs. This program targets schools and communities with significant populations of economically disadvantaged

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0985 California School Finance Authority - Continued

students. Eligible charter schools are provided up to \$1,211 per unit of eligible average daily attendance, not to exceed 75 percent of their total annual facilities rent or lease costs.

0935 - CHARTER SCHOOL REVOLVING LOAN FUND

Charter 1010, Statutes of 1976 established the Charter School Revolving Loan Fund in the State Treasury to provide loans of up to \$250,000 to new charter schools to assist them in meeting the purposes of the school's approved charter. The program is available to any charter school that is not a conversion of an existing public school, and preference is given to schools that have not completed their full charter term.

DETAILED EXPENDITURES BY PROGRAM

		2018-19*	2019-20*	2020-21*
	PROGRAM REQUIREMENTS			
0918 SMART BONDS				
	State Operations:			
0526 California School Finance Authority Fund		\$306	\$306	\$306
	Totals, State Operations	\$306	\$306	\$306
	PROGRAM REQUIREMENTS			
0920 CHARTER SCHOOL FACILITIES PROGRAM				
	State Operations:			
6044 2004 State School Facilities Fund		\$712	\$744	\$745
6057 2006 State School Facilities Fund		566	566	575
	Totals, State Operations	\$1,278	\$1,310	\$1,320
	PROGRAM REQUIREMENTS			
0925 STATE CHARTER SCHOOL FACILITIES INCENTIVE GRANTS PROGRAM				
	State Operations:			
0890 Federal Trust Fund		\$450	\$475	\$489
	Totals, State Operations	\$450	\$475	\$489
	Local Assistance:			
0890 Federal Trust Fund		\$20,000	\$20,000	\$20,000
	Totals, Local Assistance	\$20,000	\$20,000	\$20,000
	PROGRAM REQUIREMENTS			
0930 CHARTER SCHOOL FACILITY GRANT PROGRAM				
	State Operations:			
0001 General Fund		\$461	\$485	\$486
	Totals, State Operations	\$461	\$485	\$486
	Local Assistance:			
0001 General Fund		\$136,786	\$136,786	\$136,786
	Totals, Local Assistance	\$136,786	\$136,786	\$136,786
	PROGRAM REQUIREMENTS			
0935 CHARTER SCHOOL REVOLVING LOAN PROGRAM				
	State Operations:			
0001 General Fund		\$122	\$128	\$128
	Totals, State Operations	\$122	\$128	\$128
	Local Assistance:			
0606 Charter School Revolving Loan Fund		\$12,386	\$12,386	\$12,386
	Totals, Local Assistance	\$12,386	\$12,386	\$12,386
	TOTALS, EXPENDITURES			
State Operations		2,617	2,704	2,729

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0985 California School Finance Authority - Continued

	2018-19*	2019-20*	2020-21*
Local Assistance	169,172	169,172	169,172
Totals, Expenditures	\$171,789	\$171,876	\$171,901

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES						
Baseline Positions	11.5	11.5	11.5	\$805	\$805	\$805
Budget Position Transparency	-	-2.4	-2.4	-	-215	-188
Other Adjustments	-0.4	-	-	-3	36	36
Net Totals, Salaries and Wages	11.1	9.1	9.1	\$802	\$626	\$653
Staff Benefits	-	-	-	488	539	535
Totals, Personal Services	11.1	9.1	9.1	\$1,290	\$1,165	\$1,188
OPERATING EXPENSES AND EQUIPMENT				\$1,327	\$1,539	\$1,541
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,617	\$2,704	\$2,729

2 Local Assistance	Expenditures		
	2018-19*	2019-20*	2020-21*
Grants and Subventions - Governmental	\$169,172	\$169,172	\$169,172
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$169,172	\$169,172	\$169,172

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$583	\$583	\$614
Allocation for Employee Compensation	-	14	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	6	-
Section 3.60 Pension Contribution Adjustment	-	6	-
TOTALS, EXPENDITURES	\$583	\$613	\$614
0526 California School Finance Authority Fund			
APPROPRIATIONS			
Education Code section 17181(a)	\$306	\$306	\$306
Budget Position Transparency	-	-215	-
Expenditure by Category Redistribution	-	215	-
TOTALS, EXPENDITURES	\$306	\$306	\$306
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$450	\$456	\$489
Allocation for Employee Compensation	-	8	-
Allocation for Other Post-Employment Benefits	-	3	-
Allocation for Staff Benefits	-	4	-
Section 3.60 Pension Contribution Adjustment	-	4	-

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0985 California School Finance Authority - Continued

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
TOTALS, EXPENDITURES	\$450	\$475	\$489
6044 2004 State School Facilities Fund			
APPROPRIATIONS			
Education Code Section 17078.52(b)(2) (Transfer to 2004 Charter School Facilities Account, 2004 State School Facilities Fund)	\$712	\$713	\$745
Allocation for Employee Compensation	-	14	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	6	-
Section 3.60 Pension Contribution Adjustment	-	7	-
TOTALS, EXPENDITURES	\$712	\$744	\$745
6057 2006 State School Facilities Fund			
APPROPRIATIONS			
Education Code Section 17078.52(b)(3) (Transfer to 2006 Charter School Facilities Account, 2006 State School Facilities Fund)	\$566	\$566	\$575
TOTALS, EXPENDITURES	\$566	\$566	\$575
9734 2004 Charter School Facilities Account, 2004 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$712	\$713	\$745
Allocation for Employee Compensation	-	14	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	6	-
Section 3.60 Pension Contribution Adjustment	-	7	-
TOTALS, EXPENDITURES	\$712	\$744	\$745
Less funding provided by 2004 State School Facilities Fund	-712	-744	-745
NET TOTALS, EXPENDITURES	-	-	-
9735 2006 Charter School Facilities Account, 2006 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$566	\$566	\$575
TOTALS, EXPENDITURES	\$566	\$566	\$575
Less funding provided by 2006 State School Facilities Fund	-566	-566	-575
NET TOTALS, EXPENDITURES	-	-	-
Total Expenditures, All Funds, (State Operations)	\$2,617	\$2,704	\$2,729
2 LOCAL ASSISTANCE			
0001 General Fund, Proposition 98			
APPROPRIATIONS			
220 Budget Act appropriation	\$136,786	\$136,786	\$136,786
TOTALS, EXPENDITURES	\$136,786	\$136,786	\$136,786
0606 Charter School Revolving Loan Fund			
APPROPRIATIONS			
Education Code section 41365	\$12,386	\$12,386	\$12,386
TOTALS, EXPENDITURES	\$12,386	\$12,386	\$12,386
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$20,000	\$20,000	\$20,000
TOTALS, EXPENDITURES	\$20,000	\$20,000	\$20,000
Total Expenditures, All Funds, (Local Assistance)	\$169,172	\$169,172	\$169,172
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$171,789	\$171,876	\$171,901

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0985 California School Finance Authority - Continued**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	11.5	11.5	11.5	\$805	\$805	\$805
Budget Position Transparency	-	-2.4	-2.4	-	-215	-188
Salary and Other Adjustments	-0.4	-	-	-3	36	36
Totals, Adjustments	-0.4	-2.4	-2.4	\$-3	\$-179	\$-152
TOTALS, SALARIES AND WAGES	11.1	9.1	9.1	\$802	\$626	\$653

0989 California Educational Facilities Authority

The California Educational Facilities Authority (CEFA) provides qualified nonprofit higher education institutions with assistance through a tax-exempt revenue bond program to reduce the costs of financing academic facilities. CEFA also may issue bonds, notes, and other forms of indebtedness for student loans to support students' costs of higher education.

CEFA consists of the following five members: the Director of Finance, the State Controller, the State Treasurer, and two public members appointed by the Governor.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
0940 Bond Financing	3.3	5.0	5.0	\$646	\$954	\$957
0955 College Access Tax Credit Program	-	-	-	27	79	79
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	3.3	5.0	5.0	\$673	\$1,033	\$1,036
FUNDING				2018-19*	2019-20*	2020-21*
0911 Educational Facilities Authority Fund				\$646	\$954	\$957
3263 College Access Tax Credit Fund				27	79	79
TOTALS, EXPENDITURES, ALL FUNDS				\$673	\$1,033	\$1,036

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Education Code Sections 94100 to 94213.

DETAILED BUDGET ADJUSTMENTS

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$-	\$4	-	\$-	\$4	-
• Salary Adjustments	-	12	-	-	12	-
• Benefit Adjustments	-	6	-	-	6	-
• Retirement Rate Adjustments	-	6	-	-	6	-
• Miscellaneous Baseline Adjustments	-	-	-	-	3	-

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0989 California Educational Facilities Authority - Continued

	2019-20*			2020-21*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Totals, Other Workload Budget Adjustments	\$-	\$28	-	\$-	\$31	-
Totals, Workload Budget Adjustments	\$-	\$28	-	\$-	\$31	-
Totals, Budget Adjustments	\$-	\$28	-	\$-	\$31	-

PROGRAM DESCRIPTIONS**0940 - BOND FINANCING**

CEFA issues tax-exempt revenue bonds to assist private educational institutions of higher learning to construct educational facilities. Because it is authorized to issue tax-exempt bonds, CEFA may be able to provide more favorable financing terms than might otherwise be obtainable. CEFA also may include qualifying nonprofit entities as eligible program participants for the construction of student and faculty housing. Bonds issued by CEFA are not a debt, liability, or claim on the faith and credit or the taxing power of the State of California or any of its political subdivisions. The full faith and credit of the participating institution is normally pledged to the payment of the bonds. Bonds issued for this purpose are not subject to the state's "private activity" bond ceiling as specified in federal law.

For purposes of the California Educational Facilities Act, "private college" or "private participating college" means a private college that does not restrict the admission of a student based on the student's ethnicity, provided that the financing does not violate constitutional provisions.

"Bond" means bonds, notes, debentures, securities, or other evidences of indebtedness. This broad definition allows CEFA to issue tax-exempt direct or private placement loans for colleges and universities, which may provide greater cost savings than these colleges and universities might otherwise realize on a traditional bond financing.

0955 - COLLEGE ACCESS TAX CREDIT FUND

Chapter 367, Statutes of 2014, required CEFA to administer the College Access Tax Credit Fund and allocate and certify the tax credits for taxable years beginning on or after January 1, 2014, and before January 1, 2017. Chapter 22, Statutes of 2015, added a requirement that CEFA continue to allocate and certify the tax credits for taxable years beginning on or after January 1, 2017, and before January 1, 2018. Chapter 527, Statutes of 2017, extended CEFA's responsibility to administer the tax credits for taxable years beginning on or after January 1, 2017, and before January 1, 2023.

DETAILED EXPENDITURES BY PROGRAM

		2018-19*	2019-20*	2020-21*
	PROGRAM REQUIREMENTS			
0940	BOND FINANCING			
	State Operations:			
0911	Educational Facilities Authority Fund	\$646	\$954	\$957
	Totals, State Operations	\$646	\$954	\$957
	PROGRAM REQUIREMENTS			
0955	COLLEGE ACCESS TAX CREDIT PROGRAM			
	State Operations:			
3263	College Access Tax Credit Fund	\$27	\$79	\$79
	Totals, State Operations	\$27	\$79	\$79
	TOTALS, EXPENDITURES			
	State Operations	673	1,033	1,036
	Totals, Expenditures	\$673	\$1,033	\$1,036

EXPENDITURES BY CATEGORY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0989 California Educational Facilities Authority - Continued

1 State Operations	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
PERSONAL SERVICES						
Baseline Positions	5.0	5.0	5.0	\$441	\$441	\$441
Other Adjustments	-1.7	-	-	-195	12	12
Net Totals, Salaries and Wages	3.3	5.0	5.0	\$246	\$453	\$453
Staff Benefits	-	-	-	135	252	252
Totals, Personal Services	3.3	5.0	5.0	\$381	\$705	\$705
OPERATING EXPENSES AND EQUIPMENT				\$292	\$328	\$331
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$673	\$1,033	\$1,036

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2018-19*	2019-20*	2020-21*
0911 Educational Facilities Authority Fund			
APPROPRIATIONS			
Education Code sections 94140-94141	\$646	\$926	\$957
Allocation for Employee Compensation	-	12	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	6	-
Section 3.60 Pension Contribution Adjustment	-	6	-
TOTALS, EXPENDITURES	\$646	\$954	\$957
3263 College Access Tax Credit Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$27	\$79	\$79
Totals Available	\$27	\$79	\$79
TOTALS, EXPENDITURES	\$27	\$79	\$79
Total Expenditures, All Funds, (State Operations)	\$673	\$1,033	\$1,036

FUND CONDITION STATEMENTS

	2018-19*	2019-20*	2020-21*
3263 College Access Tax Credit Fund^s			
BEGINNING BALANCE			
Adjusted Beginning Balance	\$5,737	\$5,771	\$458
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4171300 Donations	9,684	808	808
4172500 Miscellaneous Revenue	268	-	-
Transfers and Other Adjustments			
Revenue Transfer from College Access Tax Credit Fund (3263) to the General Fund (0001) per Revenue and Taxation Code Sections 17053.86 (Ch. 367/2014) and 17053.87 (Ch. 527/2017)	-4,977	-405	-405
Total Revenues, Transfers, and Other Adjustments	\$4,975	\$403	\$403
Total Resources	\$10,712	\$6,174	\$861
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0989 California Educational Facilities Authority (State Operations)	27	79	79
6980 California Student Aid Commission (Local Assistance)	4,869	5,631	782

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0989 California Educational Facilities Authority - Continued

	2018-19*	2019-20*	2020-21*
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	45	6	-
Total Expenditures and Expenditure Adjustments	\$4,941	\$5,716	\$861
FUND BALANCE	\$5,771	\$458	-
Reserve for economic uncertainties	5,771	458	-

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2018-19	2019-20	2020-21	2018-19*	2019-20*	2020-21*
Baseline Positions	5.0	5.0	5.0	\$441	\$441	\$441
Salary and Other Adjustments	-1.7	-	-	-195	12	12
Totals, Adjustments	-1.7	-	-	\$-195	\$12	\$12
TOTALS, SALARIES AND WAGES	3.3	5.0	5.0	\$246	\$453	\$453

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