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Entire Document

CTA Project

Benchmarking Sorting Algorithms

Introduction

This section of the report is to introduce different concepts of sorting and the use of sorting algorithms. This introduction will hopefully demonstrate an understanding of concepts such as performance, complexity, comparator functions, comparison/non-comparison based sorts, and in-place sorting.

This project outcome is to demonstrate a well-designed algorithm, as an algorithm is to get an expected output from input with a set of rules. The request is to investigate and demonstrate this using a sorting algorithm, producing the correct output by demonstrating the efficiency of the sorting algorithm over space/time and varying sizes of the input (n=100 to n=10000).

What is algorithms' time complexity? The time complexity of an algorithm is estimated by counting the number of steps performed till its final execution. By the number of steps which approach would you consider is the best solution? A python for loop or using a mathematical operator of *

To demonstrate let us try two methods to output the square of 16. Example 1 uses a for loop to cycle from 1*1 eventually reaching 16*16 and printing out each step.

Example 1 For loop [3] Example 2 uses a Mathematical operator of *

Example 2 Mathematical operator of *

The time complexity of steps performed till their final execution using the mathematical operator of * returning the result in one line [4] is a better solution.

What is algorithms' space complexity? Space complexity is considered the amount of memory a computer requires to solve concerning the input size, another aspect that can also affect the outcome can include the type of machine, programming language or how the program is compiled. [1]

So if we re-run example 3 of the for loop with a bigger number (123456) this will take up more space in memory and resources, making the PC unusable until the program finishes executing.

Example 3 for loop Heavy on CPU and memory consumption.

Now let us get the square of 123456 using the mathematical operator of *. Example 4

Example 4 negligible CPU and memory consumption

The second squared example of a mathematical operator * requires little to no memory to achieve the same result and even with larger numbers did not reach the same requirements in example 3.

What methods measure complexity? With an algorithm, there are two methods of calculating this Fig 1 gives a further breakdown. • A Priori analysis looks at from a theoretical, device agnostic and measure of complexity. • A Posteriori analysis measures the performance on the same platform

Fig 1 Difference between Posteriori and Priori [1]

These methods indicated performance versus complexity and if we refer to the Examples 1 and 3 python for loops, performance never affects complexity but as the complexity increased input size n it affected the performance. This is shown in Fig 2 when the increased input size n growth functions Fig 2 Comparing growth functions [5]

What is the Big O notation? (Worst case) From a theoretical perspective, the

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Big O notation is the most common metric used for calculating time complexity.



Referring to example 1 and 3 pythons for loops increasing the size of the input n, increased the number of the operation taken. Big O notation measures the growth rate of a function increase or decrease in a worst-case scenario representing the lower bounds

If Example 2 has a less complex Big 0 notation than Example 1 it can be inferred that Example 2 is more efficient in terms of space/time requirements. [5]

What is Ω (omega) notation? (Best Case) This is the best-case scenario with a linear growth rate in execution time as n is increased, representing the upper bounds [5]

What is Θ (theta) notation? (Average Case) Θ (theta) notation is the upper and lower bounds of the runtime and is used for analysing the metric used for calculating the average time of complexity.[5]

What is a sorting algorithm? A sorting algorithm is to take an input (an array or list) to arrange the output in a particular order and has desirable properties of stability, efficiency and in-place sorting.[1] There are several sorting algorithms each with its efficiency in complexity by the number of operations or time it takes to complete known as Time and the amount of memory required to run also known as Space.

Why do we need sorting algorithms? It is said that 25 per cent of the running time of computers in the 1960s was spent sorting with some tasks responsible for more than half of the computing time. [2]

While we humans deal with sorting information on a day to day for example your shopping list and finding food in a supermarket. We generally use technology to simplify tasks for us, this is usually through sorting, how we search the web and the results and the order of the return results, how to find a car's make, model, by year, mileage, etc.

Sorting memory usage using in-place Different sorting techniques require different allocations of memory, to keep memory usage to a minimum the most desirable is in-place. In-place sorting does not require extra memory as the input data is usually overwritten by the output, only swapping elements within the input size n. This avoids creating an empty array for copying data to double the memory requirements.

In-place

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Definition: In-place means that the algorithm does not use extra space for manipulating the input but may require a small though non-constant extra space for its operation. Usually, this space is O(log n), though sometimes anything in O(n) (Smaller than linear) is allowed. [6]

Comparison-based and non-comparison-based sorts Comparison based means that elements within input size n are compared with each other. This is called a Comparator function which compares one element against another element and returns a value. Comparing elements can never have a worst-case time better than O(N log N) Fig 2.

A Non-Comparison is a way to design an algorithm not to compare elements, for instance making an assumption about data, taking a range of values from the data and the distribution of

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the data. Non-comparison sorts can achieve linear running time in the best case but are less flexible.

Fig 3 Overview of sorting algorithms [7]

Sorting Algorithms This section of the report is to introduce the five chosen sorting algorithms referencing

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their space and time complexity, how each algorithm works using bespoke diagrams and different example input instances. [8]

The five chosen sorting algorithms are as follows: 1. Bubble Sort is a



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comparison-based sort. 2. Merge Sort as an efficient comparison-based sort 3. Counting Sort as a non-comparison sort 4. Selection Sort is a simple comparison-based sort. 5. Insertion Sort is a simple comparison-based sort. Bubble Sort is a simple comparison-based sort. Bubble Sort is a comparison based sort which compares two elements, swapping them and continuing until they are in

the correct order. The name comes from how the highest number bubbles up to the top as the largest element is repeatedly compared to the neighbour on the right and swapped. This is repeated until the largest element is in the furthest position to the right, then the next highest element and so on. See the Diagram 1 to show the example [5]

Diagram 1

It may better visualise if the input the bubbling up affects if the array is transposed, this diagram shows 20 bubbling up, then 4, then 2. Diagram 2

Space complexity [9] .• O(1) The algorithm only requires using a temporary variable while swapping the largest element

Time complexities [9] .•

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Worst Case Time Complexity of Bubble Sort is O(N^2). ∘ This is the case when the array is

reversely sort ..

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Best Case Time Complexity of Bubble Sort is O(N). • This case occurs when the

given array is already sorted. .. Average Case Time Complexity of Bubble Sort is

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O(N^2) .. The number of comparisons is constant in Bubble Sort

so in the average case, there are $O(N^2)$ comparisons.

Python code and execution of the above diagrams

Example 5 cta-sorts.ipynb code and execution of the Bubble sort of above diagrams

Merge Sort

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is an efficient comparison-based sort Merge Sort is a recursive divide-and-conquer

approach by diving the index into halves and subdividing until the elements can be easily solved and then merging the results [10]. To visualise the diagram shows the index split into sub-indexes [2,4] [20] [1,19]

Diagram 3

Space complexity [11] . • O(N) as N is the space required for several elements in the index, this is divided and stored for the total space and merged back to a single array.



Time complexities [12] .•

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Merge Sort is O(n*Log n) in all the 3 cases (worst, average and best) as merge sort always divides the array into two halves and takes linear time to merge two halves.

Example 6 cta-sorts.ipynb code output of a merge sort of the above diagrams

Counting Sort as a non-comparison sort A Counting Sort is a non-comparison based algorithm based on keys in a range. It counts the number of elements with distinct values. The distinct value is held in a temporary array and used that to sort the input array [13] Please see the diagram below

Diagram 4

Space complexity [14] . • O(n+k) as an auxiliary array is created to the size of k, k in the example is 20 there for the auxiliary array is the size of 20 with only 5 elements (Example 7) on the input array or create an auxiliary array of 3 if the range is between input is between 1-3 with 5 elements (Example 8). This indicates that space complexity is not great for a wide range of integers as the auxiliary array of that size is required to be created.

Time complexities [9] .• O(n+k)

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range k of the elements is significantly larger than the other elements

deepening the range of elements, Comparing example 7 with 40 steps and example 8 with 23 steps Example 7 ctasorts.ipynb input index of 5 elements with range 1-20 with the auxiliary of 20 Example 8 cta-sorts.ipynb input index of 5 elements with range 1-3 with the auxiliary of 3

Selection Sort is

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a simple comparison-based sort. A Selection Sort essentially finds the minimum element in an array and inserts it

into its position with the element in its place. [15] Diagram 5

Space complexity [16] .• O(1) Similar to bubble sort the algorithm only requires using a temporary variable for the to hold an element in the array while swapping the elements

Time complexities [16] .•

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Worst Case Time Complexity of Selection Sort is O(N^2). ∙ This is the case if the given array is sorted except for the last element is the

smallest .•

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Best Case Time Complexity of Selection Sort is $O(N^2)$. This case occurs when the given array is already sorted.

Average Case Time Complexity of Selection Sort is O(N^2). • The number of comparisons is constant in Selection Sort so in an average case, there are O(N^2) comparisons.



Example 9 cta-sorts.ipynb code output of a Selection sort of the above diagrams Insertion Sort is a simple comparisonbased sort.

An Insertion Sort compares each pair in if the minimum element in an array is found it inserts that element into the correct position. [17] Diagram 6

Space complexity [18] .• O(1) Similar to Bubble sort and Selection sort the algorithm only requires

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space by shifting the element to right and inserting the element at the appropriate position.

Time complexities [18] .•

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Worst Case Time Complexity of Insertion Sort is O(N^2).

This is the case if

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the array is reversely sorted. • Best Case Time Complexity of Insertion Sort is O(N). • This case occurs when the

given array is already sorted. ..

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Average Case Time Complexity of Insertion Sort is O(N^2) . The

number of comparisons is constant in Insertion Sort so in an average case, there are $O(N^2)$ comparisons.

Example 10 cta-sorts.ipynb code output of an Insertion sort of the above diagrams

Implementation & Benchmarking This section of the report is to introduce the implementation using a Jupyter notebook to time and test all the python sorting functions and output the required table and graphs. The results of each of the benchmarks are discussed and broken into 3 parts. Information on extra notes and scripts used in the project and a conclusion.

Jupyter notebook ctaproject-V05.ipynb The project was implemented in a Jupyter notebook called ctaproject-V05.ipynb, with the five sorting algorithms. Each of the sorting functions is labelled and commented on appropriately and included some information from this project.

• Bubble Sort is a

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simple comparison-based sort [20] .• Merge Sort is an efficient comparison-based sort [21] .• Counting Sort as a noncomparison sort [22] .• Selection Sort is a simple comparison-based sort. [23] .• Insertion Sort is a simple comparisonbased sort. [24]

Settings Example 11 Settings (not including imports) have the following

.• A list of sorting algorithms names (algos) .• To call the functions .• A list with varying integers sizes (Nsizes) .• To create the sizes of N .• A dictionary (a_dict) .• To hold the results of the timer.

Example 11 Setting Code Snippet



For Loop Example 12 A for loop [19] iterates over list Nsizes for every element in algos calling the function timer and adds them to a a_dict Example 12 For Loop Code Snippet

Benchmarking Example 13 For benchmarking a timer function was adapted from 1.2.2 Python of the CTA Project Specification pdf [8]. This accepted two inputs x (Nsizes) and y (algos). A random array is created using Numpy with a range of numbers 1 – 100 and size (Nsizes). A section is commented out on a second random array to check how a larger range of 1 - 100000 would affect the timing on the Count Sort. A While Loop is used to count 10 runs of sorting algorithms, the time module records the times in seconds before and after each run of an algorithm and array size. The recorded times are subtracted and multiplied by 1000 to get the milliseconds as required by the Project Specifications. All 10 runs record are added and then divided by 10 to get the average run. The average run is returned and stored in the dictionary a_dict.

Example 13 Benchmarking Code Snippet

Pandas Example 14 – 17 A pandas dataframe is created with the index for each of the sorting algorithms and a_dict is inserted into the Pandas Dataframe

Example 14 Pandas Code Snippet

All data is then rounded to 3 decimal places and a table of the results is presented to the user. Example 15 Pandas Code Snippet

This produces a graph overlay of each of the chosen sorting algorithms.

Example 16 Pandas Code Snippet

The second graph is produced for each sorting algorithm

Example 17 Pandas Code Snippet

Results of Benchmarking Benchmarking was tested in 3 different ways 1. n size 100 - 10000 with a random range of 1 - 1000 2. n size 100 - 10000 with a random range of 1 - 100000 3. n size 100 - 100000 with a random range of 1 - 100000

Part 1 n=10000 Range 1-100 Example 18 - 20 The results of the benchmarking are presented show simple comparison sorts, Bubble Sort taking over 23 seconds to complete n=10000 and followed close behind with Selection and Insertion sort over 11 seconds. All three of the simple comparison-based sort displayed the growth rate of O(N 2).

Merge sort in 84 milliseconds and Counting Sort in 15 milliseconds

Example 18 Results of Benchmarking Part 1

Example 19 Results of Benchmarking Part 1

Example 20 Results of Benchmarking Part 1

Part 2 n=10000 Range 1-100000 Example 21 - 24

Part 2 was to test if the range of numbers was increased 1000 times from a range of 100 to a range of 100000 and what effect this would have on the benchmark results. The results showed no significant changes in 4 of the 5 sorting algorithms. The time and space were affected in the Counting Sort indicating a nearly ~ 2.4 increase in the time to sort the range with an n=10000

Example 21 Comparing Solve times of ranges 100 versus 100000 Example 22 Results of Benchmarking Part 2

Example 23 Results of Benchmarking Part 2 Example 24 Results of Benchmarking Part 2

Part 3 n=100000 Range 1-100 Example 25 - 31 This was the initial testing of using n=100000 was not efficient due to time constraints and an error in the layout of the script. Both of these issues produced some interesting results and contributed to my understanding of sorting algorithms' time and space. These are the discoveries:

- This was only run once as the total runtime was 544 minutes, as all the sorting algorithms required a higher time and space complexity
- When compared to a run of n=10000 table it was noted the average time was ~100 times slower. This means an estimation could be made for all n sizes, for example below estimating an n=1000000 Example 25 Estimating



n=10000000

• An error in the coding when the run on the same random array 10 times (getarray) Insertion time did not follow the curve and had significantly lower times than expected of ~10 times faster, Bubble Sort was ~2 times as fast. Selection, Merge and Count times were not significant enough to note. See Example 28 for comparison Example 26 getarray outside while loop Example 27 getarray inside while loop

Example 28 Compare results of error in code

Example 29 Results of Benchmarking Part 3 Example 30 Results of Benchmarking Part 3

Example 31 Results of Benchmarking Part 3

Conclusion Example 32 This project has allowed me a greater understanding of sorting algorithms and space and time complexities. The times in the table and graphs comparisons are the expected results given in the course. As demonstrated various factors came into play including the machine's performance. Testing the Jupyter notebook on another machine will not exactly match output tables and graphs but the expected behaviour of each of the chosen sorting algorithms. The test was run over ten times for each Nsize on a random NumPy array with different ranges. Changing the ranges did affect the outcome of the Counting Sort increasing the times to solve by ~2.4 times. Counting Sort was still overall the fast algorithm of the five chosen. The changes made also proved that Comparisons based (Inplace) sorting does not require extra memory as the input data is usually overwritten by the output, only swapping elements within the input size n. In the larger range of numbers 1 – 100000, a

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Non-comparison sort can achieve linear running time in the best case but are less flexible,

as demonstrated with the Counting Sort creating a larger second key array significantly increasing the memory requirements. It was also noted that the error made on the script using the same random array saw a significant decrease in times for Insertion (10 times) and Bubble Sort (2 times), and would require further investigation.

In Summary, the results of the benchmarking are in line with the introduction of each of the chosen sorting algorithms.

Example 32 Conclusion

Extra Scripting and notes

A second Jupyter notebook cta-sorts.ipynb is included to demonstrate the bespoke diagrams run in code and the outputs at the different stages. The code cta-sorts.ipynb is a reference in the examples 5-10

A third script is included square.py used in Examples 1-3

Requirement.txt contains the required imports for the Jupyter notebook.

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Big O notation is the most common metric used for calculating time complexity.

Big O notation is the most common metric fo calculating time complexity.

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Definition: In-place means that the algorithm does not use extra space for manipulating the input but may require a small though non-constant extra space for its operation. Usually, this space is O(log n), though sometimes anything in O(n) (Smaller than linear) is allowed. [6]

definition is, In-place means that the algorithm does not use extra space for manipulating the input but may require a small though non-constant extra space for its operation. Usually, this space is O(log n), though sometimes anything in O(n) (Smaller than linear) is allowed.

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the data. Non-comparison sorts can achieve linear running time in the best case but are less flexible.

the data to be sorted. Non comparison sorting algorithms can achieve better running time in the best case but they are less flexible.

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their space and time complexity, how each algorithm works using bespoke diagrams and different example input instances. [8]

their space and time complexity, and explain how each algorithm works using your own bespoke diagrams and different example input instances.

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comparison-based sort. 2. Merge Sort as an efficient comparison-based sort 3. Counting Sort as a non-comparison sort 4. Selection Sort is a simple comparison-based sort. 5. Insertion Sort is a simple comparison-based sort. Bubble Sort is a simple comparison-based sort. Bubble Sort is a comparison based sort which compares two elements, swapping them and continuing until they are in

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Comparison based sort 2) Merge Sort Algorithm-Efficient comparison based sort 3) Bucket Sort Algorithm-Non comparison sort 4) Insertion Sort Algorithm-Comparison based sort 5) Count Sort Algorithm-Non comparison based sort Bubble-Sort Algorithm: The bubble sort algorithm is an example a comparison based algorithm in each pair of adjacent elements are compared and if they are not in

59 WORDS

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7/28 SUBMITTED TEXT 25 WORDS 58% MATCHING TEXT 25 WORDS

Worst Case Time Complexity of Bubble Sort is $O(N^2)$. \circ This is the case when the array is

Worst Case Time Complexity of Selection Sort The worst case is the case when the array is

w https://iq.opengenus.org/time-complexity-of-selection-sort/

6/28 SUBMITTED TEXT 21 WORDS 73% MATCHING TEXT 21 WORDS

Best Case Time Complexity of Bubble Sort is O(N) . \circ This case occurs when the

best-case time complexity of bucket sort is O(n + k). • case complexity - occurs when the

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8/28 SUBMITTED TEXT 18 WORDS 90% MATCHING TEXT 18 WORDS

 $O(N^2)$. The number of comparisons is constant in Bubble Sort

O(n 2). Average Case: The number of comparisons is constant in Bubble Sort,

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9/28 SUBMITTED TEXT 12 WORDS 75% MATCHING TEXT 12 WORDS

is an efficient comparison-based sort Merge Sort is a recursive divide-and-conquer

is an efficient comparison-based sort. It is similar to Merge Sort in that it is a recursive divide and conquer

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o di 15				
11/28	SUBMITTED TEXT	52 WORDS	95% MATCHING TEXT	52 WORDS

Merge Sort is O(n*Log n) in all the 3 cases (worst, average and best) as merge sort always divides the array into two halves and takes linear time to merge two halves.

Merge Sort is O(n*Log n) in all the 3 cases (worst, average and best) as merge sort always divides the array in two halves and takes linear time to merge two halves. •

w https://www.studytonight.com/data-structures/merge-sort

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range k of th	e elements is significantly larger	than the	range l	k of the elements is significantly	larger than the
other elemer	nts		other e	elements.	

w https://ig.opengenus.org/time-and-space-complexity-of-counting-sort/

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a simple comparison-based sort. A Selection Sort essentially finds the minimum element in an array and inserts it

A Simple Comparison Based Sort) Selection Sort takes the smallest element in an unsorted array and brings it

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12/28 SUBMITTED TEXT 34 WORDS 44% MATCHING TEXT 34 WORDS

Worst Case Time Complexity of Selection Sort is $O(N^2)$. $^{\circ}$ This is the case if the given array is sorted except for the last element is the

Worst Case Time Complexity of Selection Sort The worst case is the case when the array is already sorted (with one swap) but the smallest element is the

https://iq.opengenus.org/time-complexity-of-selection-sort/

13/28 SUBMITTED TEXT 27 WORDS 55% MATCHING TEXT 27 WORDS

Best Case Time Complexity of Selection Sort is $O(N^2)$. This case occurs when the given array is already sorted.

Best Case Time Complexity of Selection Sort The best case is the case the array is already sorted.

w https://iq.opengenus.org/time-complexity-of-selection-sort/

15/28 SUBMITTED TEXT 15 WORDS 80% MATCHING TEXT 15 WORDS

space by shifting the element to right and inserting the element at the appropriate position.

space by shifting the elements to right and insert the element at the appropriate position. 4.

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17/28	SUBMITTED TEXT	17 WORDS	100% MATCHING TEXT	17 WORDS
/orst Case	Time Complexity of Insertion	Sort is O(N^2) .	worst case time complexity of Inse	rtion sort is O(N^2) •
w https:/	//iq.opengenus.org/insertion-	sort-analysis/		
16/28	SUBMITTED TEXT	27 WORDS	55% MATCHING TEXT	27 WORD:
-	reversely sorted .• Best Case T Sort is O(N) .• This case occur		the array is already sorted. best-cas counting sort is $\Omega(n + k)$. • Worse C	
A submi	ssion.pdf (D135762562)			
18/28	SUBMITTED TEXT	18 WORDS	100% MATCHING TEXT	18 WORD
_	se Time Complexity of Insertic	on Sort is O(N^2)	average case time complexity of In The	sertion sort is O(N^2) •
The	se Time Complexity of Insertic		, ,	sertion sort is O(N^2) •
The			, ,	
The https:// 20/28 mple complection compactor comparison	//iq.opengenus.org/insertion-	39 WORDS rge Sort is an ounting Sort as a rt is a simple	The 44% MATCHING TEXT Simple comparison-based sort (Bu	39 WORD bble Sort) Efficient comparison- ing Sort) 2.4 Simple Sort)
The https:// 20/28 mple completificient corpon-comparison omparison	SUBMITTED TEXT parison-based sort [20] • Mer mparison-based sort [21] • Co parison sort [22] • Selection Sort passed sort. [23] • Insertion S	39 WORDS rge Sort is an ounting Sort as a rt is a simple	The 44% MATCHING TEXT Simple comparison-based sort (Bu	39 WORD bble Sort) Efficient comparison- ing Sort) 2.4 Simple Sort)
The https:// 20/28 mple complection comparison omparison	SUBMITTED TEXT parison-based sort [20] • Mer mparison-based sort [21] • Co arison sort [22] • Selection Sort a-based sort. [23] • Insertion St a-based sort. [24]	39 WORDS rge Sort is an ounting Sort as a rt is a simple	The 44% MATCHING TEXT Simple comparison-based sort (Bu	39 WORD bble Sort) Efficient comparison- ing Sort) 2.4 Simple Sort)



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