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"There may, indeed, be other applications of the system other than its use as a logic"

Alonzo Church, 1932

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Topics

Polymorphism

Let-Polymorphism

Inference Algorithm

Extensions

What is the type of the following term?

$$\lambda x.x$$

- It clearly applies to arguments of any type
- ► To make sense of this, let us introduce type variables and type abstraction

$$\Lambda t.\lambda x:t.x$$

► This type of this function is typically written as

$$\Lambda t.\lambda x: t.x:: \Pi t.t \rightarrow t$$

$$\Lambda t.\lambda x: t.x:: \Pi t.t \rightarrow t$$

- In order to use it we instantiate it with different types
- For example, the following is the identity on $\mathbb N$ and has type $\mathbb N\to\mathbb N$ type

$$(\Lambda t.\lambda x:t.x)\mathbb{N}$$

▶ Hence, the following expression will evaluate to 3.

$$(\Lambda t.\lambda x:t.x)$$
 \mathbb{N} 3

$$\Lambda t.\lambda x: t.x:: \Pi t.t \rightarrow t$$

 \blacktriangleright Another example, the identity on $\mathbb B$ and has type $\mathbb B\to\mathbb B$ type

$$(\Lambda t.\lambda x:t.x)\mathbb{B}$$

▶ Hence, the following expression will evaluate to true.

$$(\Lambda t.\lambda x:t.x)\mathbb{B}$$
 true

Types of (Parametric) Polymorphism

$$\Lambda t.\lambda x: t.x:: \Pi t.t \rightarrow t$$

What is the set of types that we can apply it to?

- 1. Predicative Polymorphism
- 2. Impredicative Polymorphism
- 3. Type:Type Design

Predicative Polymorphism

$$\Lambda t.\lambda x: t.x:: \Pi t.t \rightarrow t$$

What is the set of types that we can apply it to?

- ▶ Types of the simply typed lambda calculus (eg. \mathbb{N} or $\mathbb{B} \to \mathbb{N}$), hence non-polymorphic types
- ➤ OCaml's let-polymorphism is this type of polymorphism (extended with a special rule for let).
- We will study let-polymorphism in detail today

Impredicative Polymorphism

$$\Lambda t.\lambda x: t.x:: \Pi t.t \rightarrow t$$

What is the set of types that we can apply it to?

- Any type, including those constructed using Π (such as $\Pi t.t \rightarrow t$ itself)
- Developed independently by Jean-Yves Girard (1971-72) and John Reynolds (1974)
- ▶ Called System F or λ 2
- We will study it in further detail later

Type:Type Design

$$\Lambda t.\lambda x: t.x:: \Pi t.t \rightarrow t$$

What is the set of types that we can apply it to?

- ▶ We introduce a type of all the types ★
- We can apply the above function to any type, including those constructed using ∀ and the type ★
- ► This introduces much more that polymorphism:
 - Functions over types. Eg.

$$(\Lambda t.\lambda x:t.x)\star \to \lambda x:\star.x$$

Dependent types. Eg.

$$\textit{vec}: \star \rightarrow \mathbb{N} \rightarrow \star$$

We will study it when we introduce dependent types

Let-Polymorphism

Inference Algorithm

Extensions

Monomorphism

```
# (fun f -> (f true, f 3)) (fun x -> 5);;
Error: This expression has type int but an expression was
expected of type bool

# let id = fun x -> x;;
val id : 'a -> 'a = <fun>
# (fun f -> (f true, f 3)) id;;
Error: This expression has type int but an expression was
expected of type bool
```

In order to declare and use polymorphic functions we use let

```
# let f = fun x -> x in (f true, f 3);;
- : bool * int = (true, 3)
```

- Type inference is similar to the monomorphic case
- Details shall follow soon

Type Inference in ML

We'll introduce two type systems: ML1 y ML2

- ► ML1
 - Abstract description
 - Convenient for introducing basic concepts of let-polymorphism
- ► ML2
 - Less abstract
 - The language in which we program in
 - We'll use it to perform type inference
- Both are equivalent in terms of typability

ML1 – Type Expressions

Examples

- $ightharpoonup \forall s.Nat
 ightarrow s$
- $ightharpoonup \forall s.s
 ightarrow s$

Non-Examples

 $ightharpoonup (\forall s.s
ightarrow s)
ightarrow \mathit{Nat}$

ML1 – New Terms

```
\sigma, \tau ::= s \mid Nat \mid Bool \mid \sigma \to \tau (monomorphic types)
\pi ::= \sigma \mid \forall s.\pi (polymorphic type schemes)
                       | true | false | if M then P else Q
                        0 \mid succ(M) \mid pred(M) \mid iszero(M)
                         | \lambda x : \sigma.M | MN |
                       M \sigma
\Lambda s.M
\text{let } x : \pi = M \text{ in } M
```

ML1 - Type System (1/3)

Typing Contexts

$$\Gamma = \{x_1 : \pi_1, \ldots, x_n : \pi_n\}$$

Judgements

$$\Gamma \triangleright M : \pi$$

Note: third component of the judgement is now a polymorphic type scheme

ML1 - Type System (2/3)

$$\frac{\Gamma(x) = \pi}{\Gamma \rhd x : \pi} (\text{T-VAR})$$

$$\frac{\Gamma, x : \sigma \rhd M : \tau}{\Gamma \rhd \lambda x : \sigma.M : \sigma \to \tau} (\text{T-Abs})$$

$$\frac{\Gamma \rhd M : \sigma \to \tau}{\Gamma \rhd M : \tau} (\text{T-App})$$

Important: The types to the right of \triangleright in the typing judgements of (T-APP) and (T-ABS) are monomorphic

ML1 - Type System (3/3)

$$\frac{\Gamma \rhd M : \pi \quad s \notin \Gamma}{\Gamma \rhd \Lambda s.M : \forall s.\pi} \text{ (T-TABS)} \qquad \frac{\Gamma \rhd M : \forall s.\pi}{\Gamma \rhd M \sigma : \pi \{s := \sigma\}} \text{ (T-TAPP)}$$

$$\frac{\Gamma \rhd M : \pi \qquad \Gamma, x : \pi \rhd N : \sigma}{\Gamma \rhd \text{ let } x : \pi = M \text{ in } N : \sigma} \text{ (T-LET)}$$

- ► Example: type let $g: \forall s.s \rightarrow \textit{Nat} = \Lambda s. \lambda x: s.5$ in $\langle g \; \textit{Bool True}, g \; \textit{Nat} \; 3 \rangle$
- Note: Removing the type annotations gives us the original system presented in [Milner, 1978, Damas and Milner, 1982]

Comments on Rules

- ► In FP
 - "functions as first-class values"
- ► In regards to let-polymorphism "first-class values have monomorphic types"
- Polymorphism is not "first-class"
 - Type variables are only quantified at the top-level; ej. $(\forall s.s \rightarrow s) \rightarrow Nat$ is not allowed
 - ► Type variables may only be instantiated with monomorphic types

 $(M \sigma)$

Operational Semantics of ML1

$$V ::= true \mid false \mid \underline{n} \mid \lambda x : \sigma.M \mid \Lambda s.M$$

$$\frac{M \to M'}{M \sigma \to M' \sigma} \text{(E-TAPP1)}$$

$$\overline{(\Lambda s.M) \sigma \to M \{ s := \sigma \}} \text{(E-TAPP2)}$$

$$\frac{M \to M'}{\text{let } x : \pi = M \text{ in } N \to \text{let } x : \pi = M' \text{ in } N} \text{(E-LET1)}$$

 $\frac{}{\text{let } x : \pi = V \text{ in } N \to N\{x := V\}} \text{ (E-Let2)}$

Comment on Condition in (T-TABS)

$$\frac{\Gamma \rhd M : \pi \quad s \notin \Gamma}{\Gamma \rhd \Lambda s. M : \forall s. \pi}$$
 (T-TABS)

- Condition is necessary
- **Example:** $x : t \triangleright (\Lambda t.x) Nat : Nat$
- Otherwise, preservation fails
- Parallel to what happens in logic

Type Inference Problem – Recap

Given a term U without type annotations, find a term M (i.e. with type annotations), Γ and σ s.t.

- 1. $\Gamma \triangleright M : \sigma$, for some Γ and σ ; and
- 2. Erase(M) = U

Note:

- ► We must define *Erase*(•)
- We use σ and not π since

$$\Gamma \rhd M : \forall s_1 \ldots \forall s_n \sigma \text{ iff } \Gamma \rhd M : \sigma \{\vec{s} := \vec{t}\}$$

for fresh variables \vec{t}

Type Inference Problem for ML1

```
Erase(\lambda x : \sigma.M) \stackrel{\text{def}}{=} \lambda x.Erase(M)
Erase(\Lambda s.M) \stackrel{\text{def}}{=} Erase(M)
Erase(M \sigma) \stackrel{\text{def}}{=} Erase(M)
Erase(let x : \pi = M \text{ in } N) \stackrel{\text{def}}{=} let x = Erase(M) \text{ in } Erase(N)
```

- ► First clause ⇒ from last class
- ightharpoonup The others \Rightarrow new

Given a term U without type annotations, find a term M (i.e. with type annotations), Γ and σ s.t.

- 1. $\Gamma \triangleright M : \sigma$, for some Γ and σ ; and
- 2. Erase(M) = U
- Left pending for now; will revisit when we introduce ML2

ML₂

- Less verbose formulation; easier on the programmer
- ► Same type expressions as ML1

$$\sigma, \tau ::= s \mid Nat \mid Bool \mid \sigma \to \tau$$
 $\pi ::= \sigma \mid \forall s.\pi$

Terms are simplified (hence also the type system)

$$M ::= ...$$
 $| \mathcal{M} \sigma$
 $| As. \mathcal{M}$
 $| let x : \pi = M in M$

ML2 – Type System

$$\sigma, \tau ::= Nat \mid Bool \mid \sigma \to \tau$$
 $\pi ::= \sigma \mid \forall s.\pi$

Sme typing contexts

$$\Gamma = \{x_1 : \pi_1, \dots, x_n : \pi_n\}$$

Judgements¹ only holding monomorphic types to the right of the ▷ symbol

$$\Gamma \rhd_2 M : \sigma$$

Notation: Let $S = s_1, \dots, s_n$ be a sequence of type variables $\forall S.\sigma$ denotes $\forall s_1, \dots, \forall s_n, \sigma$

¹Note use of subindex 2 to distinguish it from ML1

ML2 – Type Rules (1/1)

$$\frac{\Gamma(x) = \forall \vec{s}.\tau}{\Gamma \rhd_{2} x : \tau \{ \vec{s} := \vec{\sigma} \}} (\text{T-VAR})$$

$$\frac{\Gamma, x : \sigma \rhd_{2} M : \tau}{\Gamma \rhd_{2} \lambda x : \sigma.M : \sigma \to \tau} (\text{T-Abs}) \frac{\Gamma \rhd_{2} M : \sigma \to \tau}{\Gamma \rhd_{2} M N : \tau} (\text{T-Abs})$$

$$\frac{\Gamma \rhd_{2} M : \tau}{\Gamma \rhd_{2} M N : \tau} (\text{T-Let})$$

$$\frac{\Gamma \rhd_{2} M : \tau}{\Gamma \rhd_{2} \text{let } x : \text{gen}(\tau, \Gamma) \rhd_{2} N : \sigma} (\text{T-Let})$$

- ▶ gen $(\sigma, \Gamma) \stackrel{\text{def}}{=} \forall S.\sigma$ where $S = FV(\sigma) \setminus FV(\Gamma)$
- ightharpoonup FV(σ) are the type variables in σ (same with FV(Γ))

Examples

- ▶ let $g: \forall s.s \rightarrow Nat = \lambda x: s.5$ in $\langle g \ True, g \ 3 \rangle$
- ▶ let $d: \forall s.(s \rightarrow s) \rightarrow s = \lambda f: s \rightarrow s.\lambda x: s.f (f x)$ in $\langle d (+1) 2, d \text{ not True} \rangle$

On Unicity of Types

- - ► No
 - ► Eg. let $x = \lambda z$: s.z in x
- ▶ But one may prove that *M* is typable with a unique principal type

Given Γ and M, σ is a principal type of M under typing context Γ if:

- 1. $\Gamma \triangleright_2 M : \sigma$ is derivable and
- 2. $\Gamma \triangleright_2 M : \tau$ derivable implies τ is an instance of σ

Equivalence with ML1

$$ML1 \Rightarrow ML2$$

$$\Gamma \rhd M : \sigma \Rightarrow \Gamma \rhd_2 \operatorname{ERASET}(M) : \sigma$$

where

EraseT(
$$\Lambda s.M$$
) $\stackrel{\text{def}}{=}$ EraseT(M)
EraseT($M \sigma$) $\stackrel{\text{def}}{=}$ EraseT(M)

 $ML2 \Rightarrow ML1$

$$\Gamma \rhd_2 M : \sigma \Rightarrow \exists M'. \text{EraseT}(M') = M \land \Gamma \rhd M' : \sigma$$

▶ Inference for ML2 \Rightarrow inference for ML1

Inference Problem for ML2

$$Erase(\lambda x : \sigma.M) \stackrel{\text{def}}{=} \lambda x.Erase(M)$$

 $Erase(\text{let } x : \pi = M \text{ in } N) \stackrel{\text{def}}{=} \text{let } x = Erase(M) \text{ in } Erase(N)$

- ► First clause ⇒ from last class
- ▶ The other \Rightarrow is new

Given a term U without type annotations, find a term M (i.e. with type annotations), Γ and σ such that

- 1. $\Gamma \triangleright_2 M : \sigma$, for some Γ and σ , and
- 2. Erase(M) = U

Inference Problem for ML2

$$Erase(\lambda x : \sigma.M) \stackrel{\text{def}}{=} \lambda x.Erase(M)$$

$$Erase(\text{let } x : \pi = M \text{ in } N) \stackrel{\text{def}}{=} \text{let } x = Erase(M) \text{ in } Erase(N)$$

- ► First clause ⇒ from last class
- ightharpoonup The other \Rightarrow is new

Given a term U without type annotations, find a term M (i.e. with type annotations), Γ and σ such that there exists some M that verifies:

- 1. $\Gamma \triangleright_2 M : \sigma$, for some Γ and σ , and
- 2. Erase(M) = U
- We will not be computing the decoration for U; this simplifies the algorithm

Let-Polymorphism

Inference Algorithm

Extensions

Inference Algorithm for ML2

$$\mathbb{W}(U, E)$$

- U term without type annotations
- ▶ E partial function that associates pairs ⟨typingcontext, type⟩ to type variables
 - ▶ Used for variables bound by let
 - $ightharpoonup E = \emptyset$ in "top-level"
- First we present clauses defining $\mathbb{W}(U, E)$ on constants and variables, then we address the other constructors

Inference Algorithm (constants and variables)

First three clauses are the same as before

▶ This changes:

$$\mathbb{W}(\mathbf{x}, E) \stackrel{\text{def}}{=} \begin{cases} \Gamma \rhd \mathbf{x} : \sigma, & \text{if } E(\mathbf{x}) = \langle \Gamma, \sigma \rangle \\ \{\mathbf{x} : \mathbf{s}\} \rhd \mathbf{x} : s, & \text{otherw.}(\mathbf{s} \text{ fresh variable}) \end{cases}$$

Inference Algorithm

- The rest of the cases are the same as before except that we do not compute the type decoration
- ► For example
 - ▶ Let $\mathbb{W}(U, E) = \Gamma \triangleright U : \tau$
 - ▶ Let $S = MGU(\{\tau \doteq \mathbb{N}\})$
 - ► Then

$$\mathbb{W}(\operatorname{succ}(U), E) \stackrel{\operatorname{def}}{=} S\Gamma \rhd U : \mathbb{N}$$

Inference Algorithm (*let* case)

- ▶ Let $\mathbb{W}(U, E) = \Gamma_1 \triangleright U : \tau_1$
- ▶ Let $E' = E \cup \{x := \langle \Gamma_1, \tau_1 \rangle \}$
- ▶ Let $\mathbb{W}(V, E') = \Gamma_2 \triangleright V : \tau_2$
- ► Then

$$\mathbb{W}(\text{let } x = U \text{ in } V, E) \stackrel{\text{def}}{=} \Gamma_2 \rhd \text{let } x = U \text{ in } V : \tau_2$$

Examples

- let $i = \lambda x.x$ in ii
- ▶ let $g : \forall s.s \rightarrow \textit{Nat} = \lambda x : s.5 \text{ in } \langle g \textit{ True}, g \textit{ 3} \rangle$
- $\lambda x.$ let $x = \lambda z.zx$ in $\langle x succ, x not \rangle$

Properties of the Algorithm

- 1. Correctness
- 2. Completeness
- 3. Finds principal type

Items 2 and 3 are proved in [Damas and Milner, 1982]

Complexity of Type Inference in ML

- Contrary to what was originally though, it is exponential [Mairson, 1990, Kfoury et al., 1990]
- ► Here is an example:

```
1 let x0=M (* M assumed to have some type sigma *)
2 in let x1=<x0,x0>
3 in let x2=<x1,x1>
4 in ...
5 in let xn=<xn-1,xn-1>
in xn
```

Note that different uses of a let declaration have distinct occurrences of their type variables Polymorphism

Let-Polymorphism

Inference Algorithm

Extensions

Extension 1 – Polymorphic Constants

data List a = Nil | Cons a (List a)

```
Nil :: \forall s.List\ s

Cons :: \forall s.List\ s \rightarrow s \rightarrow List\ s

caseL :: \forall s. \forall t.List\ s \rightarrow t \rightarrow (s \rightarrow List\ s \rightarrow t) \rightarrow t
```

We add observer (seen as a constant)

$$\frac{c:\forall \vec{s}.\tau}{\Gamma \rhd_2 c:\tau\{\vec{s}:=\vec{\sigma}\}} \text{(Cst)}$$

Example:

- ► Type [1, 2, 3] :: List Nat
- ▶ Type head :: $\forall s.List\ s \rightarrow s$ implemented using case T

Extensions of the Inference Algorithm

$$\mathbb{W}(\mathbf{c}, E) \stackrel{\text{def}}{=} \emptyset \triangleright c : \tau \text{ if } c : \forall s_1, \ldots, \forall s_n, \tau$$

Example:

▶ $\mathbb{W}([1,2,3],E)$

Let-Polymorphism and Expressions that Cause Side Effects

Let P be the term

let
$$r = ref(\lambda x.x)$$
 in $(r := (\lambda x : Nat.succ x); (!r) true)$

- $ightharpoonup \mathbb{W}(P,\emptyset)$ returns ok
- ▶ What happens when we execute it?
- One possible solution: value restriction [Wright, 1995, Garrigue, 2004]
 - \triangleright x in let x = M in N can be treated polymorphically in N only if M is a value

$$\frac{\Gamma \rhd_2 \mathbf{V} : \tau \qquad \Gamma, \mathbf{x} : \operatorname{gen}(\tau, \Gamma) \rhd_2 \mathbf{N} : \sigma}{\Gamma \rhd_2 \operatorname{let} \mathbf{x} : \operatorname{gen}(\tau, \Gamma) = \mathbf{V} \text{ in } \mathbf{N} : \sigma} (\text{T-Let})$$

► Not restrictive in practice

Let-Polymorphism and Expressions that Cause Side Effects

```
\frac{\Gamma \rhd_2 \mathbf{V} : \tau \qquad \Gamma, x : \operatorname{gen}(\tau, \Gamma) \rhd_2 \mathbf{N} : \sigma}{\Gamma \rhd_2 \operatorname{let} x : \operatorname{gen}(\tau, \Gamma) = \mathbf{V} \text{ in } \mathbf{N} : \sigma} (\operatorname{T-LET})
```

- ► Not restrictive in practice
- ▶ Typically taken care of by η -conversion

```
1 # let f = id id;;
2 val f : '_ a -> '_ a = <fun>
```

ightharpoonup After η -conversion

```
1 # let g x = id id x;;
2 val g : 'a -> 'a = <fun>
```

Extension 2 – Recursion

We replace:

$$\frac{\Gamma \rhd_2 M : \tau \qquad \Gamma, x : \operatorname{gen}(\tau, \Gamma) \rhd_2 N : \sigma}{\Gamma \rhd_2 \operatorname{let} x : \operatorname{gen}(\tau, \Gamma) = M \text{ in } N : \sigma} (\operatorname{T-LET})$$

with:

$$\frac{\Gamma, x : \tau \rhd_2 M : \tau}{\Gamma \rhd_2 \text{ letrec } x : \text{gen}(\tau, \Gamma) \rhd_2 N : \sigma} \text{ (T-LETREC)}$$

► Rule introduced in [Mycroft, 1984]

Limitations of Monomorphic Recursion - Example 1

```
1 # let rec f = fun i x ->
2
      if i=0 then 7 else f (i-1) (x,x);;
   Error: This expression has type 'a * 'b but an

→ expression was expected

   of type 'a
           The type variable 'a occurs inside 'a * 'b
5
6
   # let rec f : 'a. int \rightarrow 'a \rightarrow int = fun i x \rightarrow
      if i=0 then 7 else f (i-1) (x,x);;
   val f : int -> 'a -> int = <fun>
10
   # f 4 5;;
11
   -: int = 7
12
```

Limitations of Monomorphic Recursion - Example 1

```
letrec f = \lambda i : Nat.\lambda x : s.

if isZero(i) then x
else f pred(i) \langle x, x \rangle
in (f \ 87) true

1 # let rec f: 'a. int -> 'a -> 'a = fun i x ->
2 if i=0 then x else f (i-1) (x,x);;
3 Error: This expression has type 'a * 'a but an

\hookrightarrow expression was expected
4 of type 'a
5 The type variable 'a occurs inside 'a * 'a
```

- What does it evaluate to?
- Show that it is not typable (build a prospective derivation)
- ▶ The problem: *f* is polymorphic only "outside" of *M*

Limitations of Monomorphic Recursion - Example 2

```
# type 'a seq = Nil | Cons of 'a * ('a * 'a) seq;;
2
  # Cons(1, Cons((2, 3), Cons(((4, 5), (6, 7)), Nil)));;
  -: int seq = Cons (1, Cons ((2, 3), Cons (((4, 5),
       \hookrightarrow (6, 7)), Nil)))
5
  # let rec size = function
     | Nil -> 0
7
     | \text{Cons} (\_, xs) \rightarrow 1 + 2 * (size2 xs);;
   Error: This expression has type ('a * 'a) seq
           but an expression was expected of type 'a seq
10
           The type variable 'a occurs inside 'a * 'a
11
12
   # let rec size: 'a. 'a seq -> int = function
13
     | Nil -> 0
14
     | Cons (\_, xs) \rightarrow 1 + 2 * (size xs);;
15
   val size : 'a seq -> int = <fun>
16
```

► There are other ways to do this in OCaml (eg. fields of records and of object types can have polymorphic types)

For more examples see [Hallett and Kfoury 2005]

Limitations of Monomorphic Recursion

```
\frac{\Gamma, x : \tau, x : \operatorname{gen}(\tau, \Gamma) \rhd_2 M : \tau \qquad \Gamma, x : \operatorname{gen}(\tau, \Gamma) \rhd_2 N : \sigma}{\Gamma \rhd_2 \operatorname{letrec} x : \operatorname{gen}(\tau, \Gamma) = M \text{ in } N : \sigma} (\operatorname{T-LETREC})
```

- ► Milner-Mycroft type system (1984)
- ► Inference undecidable for this system ([Henglein, 1993], and also [Kfoury et al., 1993])



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