



# **Federal Law From 21.11.2011 N 327-Fz (As Amended On 29.12.2012 With Changes Effective From 02.01.2013) "Amending Certain Acts Of The Russian Federation In Connection With Adoption Of The Federal Law" On Organized Trading "**

*November 21, 2011 N 327-FZ*

*Adopted by  
the State Duma on  
November 2, 2011*

*Approved by the  
Federation Council on  
November 9, 2011*

In the second paragraph of [paragraph 2](#) of Article 28.1 of the Law of the Russian Federation on May 29, 1992 N 2872-1 "On Pledge" (Gazette of the Congress of People's Deputies of the Russian Federation and the Supreme Soviet of the Russian Federation, 1992, N 23, Art. 1239, Collection of Laws of the Russian Federation, 2009, N 1, p. 14), the words "on trading by the Securities Market" shall be replaced by the words "organized trading."

The Federal Law [of December 26, 1995 N 208-FZ](#) "On Joint Stock Companies" (Collected Legislation of the Russian Federation, 1996, N 1, ст. 1; 2006, N 2, ст. 172; 2007, N 31, ст. 4016; 2009, N 19, ст. 2279; 2010, N 45, ст. 5757) следующие изменения:

1) in paragraph fourteenth [paragraph 2 of](#) Article 84.1, the words "trading by securities market" by "organized trading";

2) in [Article 84.2](#) :

a) edge fifteen [paragraph 2](#) the words "on trading by market valuable securities" shall be replaced by the words "organized trade";

b) in [paragraphs 4](#) :

In the first paragraph the words "by the results of trading by the Securities Market" replaced by "organized by the results of trades", the second sentence to read as follows: "If the securities are traded on organized trading of two or more trade organizers, their average price is determined by results organized trade in all trade organizers, where these securities are traded six months or more."  
";

In the second paragraph the first sentence to read as follows: "If the securities are not traded on organized trading or traded on organized trading less than six months, the price of securities to be acquired can not be lower than their market value determined by an independent appraiser. ";

c) in the third paragraph [of paragraph 5 of](#) the first sentence to read as follows: "The monetary valuation of securities that may be acquired by the securities may not be higher than the average price, determined by the results of organized trading in the six months preceding the date of submission of the mandatory offer in open society, and if the securities are not traded on organized bid or traded on organized trading in less than six months - not higher than their market value determined by an independent appraiser. ";

3) in [Article 84.9](#) :

a) in the first subparagraph of [paragraph 1](#), the words "on trading by the Securities Market" shall be replaced by the words "organized trading";

b) in [paragraph 2](#), the words "on trading by the Securities Market" shall be replaced by the words "organized trading."

The Federal Law [of April 22, 1996 N 39-FZ](#) "On the Securities Market" (Collected Legislation of the Russian Federation, 1996, N 17, ст. 1918; 2002, N 52, ст. 5141; 2004, N 31, ст. 3225; 2005, N 11, ст. 900; N 25, ст. 2426; 2006, N 1, ст. 5; N 2, ст. 172; N 17, ст. 1780; N 31, ст. 3437; 2007, N 1, ст. 45; N 22, ст. 2563; N 50, ст. 6247, 6249; 2008, N 44, ст. 4982; 2009, N 1, ст. 28; N 18, ст. 2154; N 23, ст. 2770; N 29, ст. 3642; N 48, ст. 5731; N 52, ст. 6428; 2010, N 31, ст. 4193; N 41, ст. 5193; 2011, N 7, ст. 905; N 23, ст. 3262; N 27, ст. 3880; N 29, ст. 4291) следующие изменения:

1) in [Article 2](#) :

a) part of the twenty-second in the following wording:

"The public offering of securities - placement of securities by public offering, including the placement of securities on organized trading. Not a public offering of securities intended for qualified investors on organized trading.";

b) part of the twenty-third in the following wording:

"The public circulation of securities - trading of securities on organized trading or trading of securities by offering securities to the public, including using advertising. Not a public circulation of securities held for qualified investors on organized trading. ";

c) part of the twenty-fourth in the following wording:

"Listing of securities - including securities trade organizer in the list of securities admitted to organized trading, including the inclusion of securities in the stock exchange quotation list.";

d) part of the twenty-fifth in the following wording:

"Delisting securities - securities exception organizer of trade from the list of securities admitted to organized trading, including the exclusion of securities exchange from the quotation list. ";

2) in [Article 3](#) :

a) incorporate Sections 2.1 and 2.2 as follows:

"2.1. If the contract so provides brokerage services, the broker has the right to conduct transactions with securities and enter into contracts, derivative financial instruments, as well as being a commercial representative of different parties to the transaction, including non-entrepreneurs.

2.2. Obligations arising from a contract concluded not to organized trading, each side of which is a broker, do not stop coincidence debtor and the creditor in one person, if the obligations of the parties are executed by different customers or third parties on behalf of various clients. Broker may not enter into a contract specified if its conclusion is in response to the customer's order, does not contain the price of the contract or its determination procedure. Consequence of the transaction with violation of the requirements of this paragraph, is laying on the broker's obligation to pay damages to the client. ";

b) in [paragraphs 4](#) :

in the fourth paragraph the words "on a stock exchange and / or other trade on the securities market" shall be replaced by the words "organized trading";

The fifth paragraph to read as follows:

"In the event of non-repayment of the loan amount in time and (or) employees of securities of non-payment within the period of interest on loans, and also if the amount of the security will be less than the amount provided by the client of the loan (the market value of securities employed prevailing in organized trading), the broker pays penalty on cash and (or) securities projecting software client's obligations underprovided broker loans extrajudicially through such securities on organized trading. ";

in the sixth paragraph, the word "stock" shall be deleted;

3) [Article 9](#) deemed null and void;

***Zakobase : Paragraph 3 of Article 3 shall enter into force on 01.01.2014 ( [Article 41](#) ).***

4) in [Article 10.1](#) :

a) The second paragraph [of paragraph 1](#) , after the words "non-state pension fund" the words ", the organizer of trade";

b) in [paragraph 2](#), the words ", including the stock exchange" shall be deleted;

5) the name [of Chapter 3](#) shall read as follows:

"Chapter 3. ADMISSION OF SECURITIES TO TRADING";

***Zakobase : Article 5, paragraph 3 shall enter into force on 01.01.2014 ( [Article 41](#) ).***

6) Articles [11](#) - [13](#) are abrogated;

***Zakobase : Article 6, paragraph 3 shall enter into force on 01.01.2014 ( [Article 41](#) ).***

7) [Article 14](#) shall read as follows:

1. It organized trading in accordance with the legislation of the Russian Federation may be admitted securities during placement and treatment.

2. Securities are admitted to trading organized through their listing. Exchange shall be entitled to exercise the listing of securities by their inclusion in the listings, which are part of the list admitted to the organized trading of securities. Inclusion of securities in the listings may be subject to compliance with the requirements of such securities laws of the Russian Federation and normative legal acts of the federal executive body for the securities market and carried out on the basis of an agreement with the issuer of the securities (the person obligated on securities). The Exchange may include in the quotation lists federal government securities, and securities issued by the herself, without a contract with the issuer of the securities.

3. Rules include securities in the listings and their exclusion from the quotation lists must comply with normative legal acts of the federal executive body for the securities market. At the same Exchange may establish additional requirements for securities that are included in the listings.

4. The trading system is not entitled to the listing of securities by including them in the listings.

5. Organizer trade may provide services that facilitate the issuance of investment units of mutual fund.

6. Organizer has the right to trade without explanation to refuse admission of securities to trading organized or discontinue the admission of securities to the organized trading. In the event of termination of the trade organizer admission of securities to trading organized without explanation cessation of organized trade in such securities does not occur earlier than three months from the date of disclosure of information about the organizer of trade cease admission of securities to trading organized. ";

8) [Article 15](#) deemed null and void;

***Zakonbase : Paragraph 8 of Article 3 shall enter into force on 01.01.2014 ( [Article 41](#) ).***

9) in the third paragraph of the ninth [article 16](#), the word "stock" shall be deleted;

10) in [paragraph 10](#) of Article 22:

a) In the third paragraph, the words "by a market securities, including stock exchange" shall be replaced by the words "organized trading";

b) in the sixth paragraph the words "on the organizers of trade on the securities market, including stock exchanges" with the words "on the organizers of trade";

11) in [Article 25](#) :

a) in the first subparagraph of [paragraph 2](#), the word "stock" shall be deleted;

b) in [paragraph 1](#) , paragraph 5, the word "stock" shall be deleted;

12) in [Article 27.5-2](#) :

a) [paragraphs 1](#) :

in [paragraph 1](#) the word "stock" shall be deleted;

in [paragraph 2](#) the word "stock", delete the words "bonds to trading" with "bonds to the organized trading";

subparagraph 6 shall read as follows:

"6) bonds are issued in certificated form with mandatory centralized custody;"

(As amended. Federal law [from 28.07.2012 N 145-FZ](#) )

b) in [paragraphs 6](#) :

in the first paragraph - the third word "stock" shall be deleted;

in the fourth paragraph the word "stock" shall be deleted;

The fifth paragraph to read as follows:

"When the admission of exchange bonds for trading on the stock exchange in the course of their treatment by the Exchange not to exercise their placement, the depositary, performing operations on the basis of securities transactions made through this exchange, for the rights to market bonds must register as a nominee for the depositary exercising mandatory centralized custody certificates exchange bonds. ";

c) in [paragraphs 7](#) :

in the first and second paragraphs the word "stock" shall be deleted;

in the third paragraph, the words "Stock Exchange" to replace the word "Exchange", the word "stock" shall be deleted;

in the fourth and fifth paragraphs of the word "stock" shall be deleted;

eighth paragraph to read as follows:

"If a decision on the admission of exchange-traded bonds to trading on the stock exchange during their placement on each copy of the decision on the issue (additional issue) of bonds and stock exchange bonds prospectus exchange its name indicates, a notation on the admission of exchange bonds for trading on the stock exchange and the date such access and identification number assigned to the issue (additional issue) exchange bonds exchange. ";

ninth paragraph shall read as follows:

"If a decision on the admission of exchange-traded bonds to trading on the stock exchange in the course of their treatment on each copy of the decision on the issue (additional issue) of bonds and stock exchange bonds prospectus exchange its name indicates, a notation on the admission of exchange bonds for trading on the stock exchange and the date such access and identification

number assigned to the issue (additional issue) stock exchange bonds that performed admission exchange bonds to trading on Exchange during their placement, and the name of the Exchange. "; in the tenth and eleventh paragraphs, the word "stock" shall be deleted;

d) [paragraph 8](#) shall read as follows:

"8. The notification for the inclusion of exchange bonds in the list of securities admitted to trading on the Stock Exchange during placement provided for in the fifth part of Article 9 of this Federal Law shall be given full corporate name of the issuer of exchange bonds, the date of their admission to trading on the Stock Exchange identification number assigned to the issue (additional issue) stock exchange bonds, nominal value, number of exchange-traded bonds and the price (price determination procedure) bonds placement. ";

d) in [paragraphs 9](#) :

In the first paragraph the word "stock" shall be deleted;

The second paragraph shall read as follows:

"In the case of admission to trading of exchange bonds on the stock exchange of the issuer, as well as Exchange, has carried out the admission to trading exchange-traded bonds are required to provide access to information contained in the prospectus of exchange bonds, any interested parties, regardless of the purpose of obtaining this information, as well as not later than seven days before date of placement (treatment) exchange bonds disclose the admission of exchange bonds for trading on the stock exchange in the manner prescribed by the rules of admission to trading exchange-traded bonds, approved by the exchange. ";

e) in the second paragraph [of paragraph 10 of](#) the word "stock" shall be deleted;

g) in [paragraph 11](#), the word "stock" shall be deleted;

c) in [paragraphs 12](#) :

in the first and second paragraphs the word "stock" shall be deleted;

The third paragraph shall read as follows:

"In the case of suspension and resumption of bonds placement exchange, as well as recognition of the exchange issue (additional issue) exchange bonds failed Exchange no later than the day following the day of the relevant decision, notify the federal executive body for the securities market in the established order. ";

and) in the second paragraph [of paragraph 13 of](#) the word "stock" shall be deleted;

k) in the first paragraph [of paragraph 14 of](#) the word "stock" shall be deleted;

l) in [paragraph 15](#) :

In the second paragraph the word "stock" shall be deleted;

in the third paragraph, the word "stock" shall be deleted;

m) in [paragraph 16](#), the word "stock" shall be deleted;

13) in [paragraph 4](#) of Article 27.5-3 word "stock" shall be deleted;

14) in [subparagraph 2](#) of paragraph 3 of Article 27.5-4 of the word "quotation list (were listed) on the stock exchange" shall be replaced by the words "in the quotation list on the stock exchange"; Paragraph 15) - Repealed.

(As amended. Federal law [from 29.12.2012 N 282-FZ](#) )

16) in [Article 30](#) :

a) in [paragraph 8](#), the word "stock" shall be deleted;

b) in [paragraphs 14](#) :

[subparagraph 18](#) shall read as follows:

"18) on the conclusion of an agreement with the issuer of the Russian organizer of trade on the inclusion of the issuer's securities in the list of securities admitted to the organized trading of the Russian, as well as a contract with the Russian stock exchange for the inclusion of the issuer's securities in the quotation list of the Russian stock exchange;"

in [paragraph 19](#), the words "in the securities market" and the word "stock" shall be deleted;

in paragraphs [20](#) and [21](#) the word "stock" shall be deleted;

in [paragraph 36](#) the words "to trading on the securities market" with the words "to the organized trading organizer of trade";

in [paragraph 49](#) the word "stock" shall be deleted;

c) in the first paragraph [of paragraph 24 of](#) the words "on a stock exchange and (or) other trade on the securities market" shall be replaced by the words "organized trading";

17) in [sub-paragraph 3](#) of paragraph 1 of Article 30.1 of the words "to be traded on the stock exchange and (or) other trade organizer at the securities market" with the words "to the organized trading";

18) [, paragraph 6](#) of Article 39 shall read as follows:

"6. Activities of professional securities market participants licensed two types of licenses: a license of professional participant of the securities market and the license for the activity to the registry. According to a statement of the license applicant it may be licensed professional securities market participant for brokerage activities only on contracts that are derivative instruments whose underlying asset is a commodity.

Licensing conditions and requirements for brokerage activities may vary depending on the transactions and operations made in the implementation of brokering. ";

19) the fifth part of [Article 50](#), deemed null and void;

20) in [Article 51.1](#) :

a) [paragraphs 4](#) :

In the first paragraph the word "stock", delete the second sentence should read as follows: "Such a decision may be taken by the Russian stock exchange, if the said foreign securities, except securities of international financial organizations have been listed on a foreign stock exchange, included in the list approved by the federal executive body for the securities market, and in accordance with the personal law of foreign exchange may be offered to the general public. " the word "stock" shall be deleted;

The second paragraph shall read as follows:

"In the case of delisting of securities of foreign issuers in foreign exchange or foreign exchange exclusion from the list approved by the federal executive body for the securities market, the organized trading of such securities on the Russian stock exchange stopped.";

b) in paragraphs [6](#) - [8](#) the word "stock" shall be deleted;

c) in the second paragraph [of paragraph 14 of](#) the first sentence to read as follows: "Foreign securities mentioned in the first paragraph and the relevant requirements of paragraphs 1 and 2 of this Article, may be admitted to trading on an organized exchange in Russian accordance with the rules of the Russian stock exchange. ";

g) in [21 localities](#) :

In the first paragraph the word "stock" shall be deleted;

In the second paragraph the word "stock" shall be deleted;

d) in paragraphs [22](#) and [23](#) the word "stock" shall be deleted;

21) in [Article 51.3](#) :

a) in [paragraphs 19](#) :

In the first paragraph the words "rules of the organizers of trade on the securities market, stock exchange rules" substitute "rules organizers of trade, exchange rules";

The second paragraph shall read as follows:

"Repurchase agreements, the General Agreement (single contract), the organizer of trade rules and (or) the clearing rules can be provided that the conditions determined by their individual model terms of repo agreements developed for the said contract self-regulatory organizations in the securities market, and published in the press or placed network "Internet". ";

b) The first paragraph [of paragraph 20](#) to read as follows:

"20. General Agreement (single contract), the organizer of trade rules, rules of the exchange, the clearing rules may provide for:";

22) in [Article 51.4](#) :

a) in [paragraph 1](#), the words "on a stock exchange" shall be replaced by the words "organized trading";

b) in [paragraph 2](#), the word "stock" shall be deleted;

c) in [paragraph 3](#), the word "stock" shall be deleted;

d) [paragraphs 6](#) :

In the first paragraph the word "stock", delete the words "market participants" with "members of organized trading";

In the second paragraph the word "stock" shall be deleted;

23) in [Article 51.5](#) :

a) in [paragraph 6](#), the word "stock" and the word "stock" shall be deleted;

b) in [paragraph 7](#), the word "stock", delete the words "this paragraph" substitute "paragraph 6 of this Article."

[Paragraph 2](#) of Article 3 of the Federal Law of March 26, 1998 N 41-FZ "On Precious Metals and Precious Stones" (Collected Legislation of the Russian Federation, 1998, N 13, Art. 1463, 2005, N 30, Art. 3101, 2011, N 30, Art. 4596) read as follows:

"2. Exchange trading, which are the subject of precious metals and (or) precious stones, made in the manner provided by the Federal Law" On organized trades. "Government of the Russian Federation shall have the right to determine the features of the order of activity exchanges of precious metals and stones."

The Federal Law [of May 7, 1998 N 75-FZ](#) "On state pension funds" (Collected Legislation of the Russian Federation, 1998, N 19, Art. 2071, 2003, N 2, p. 166, 2004, N 49, p. 4854, 2007, N 50, Art. 6247, 2008, N 30, Art. 3616, 2009, N 29, Art. 3619; N 48, Art. 5731) as follows:

1) in [Article 25.1](#) :

a) in the fourth paragraph [, paragraph 1](#), the words "on a stock exchange or other organizer of trade on the securities market" shall be replaced by the words "organized trading";

b) in the second subparagraph of [paragraph 3](#), the words "on trading by the Securities Market" shall be replaced by the words "organized trading";

c) in [paragraph 4](#), the words "on trading by the Securities Market" shall be replaced by the words "organized trading";

d) in [paragraph 5](#), the word "stock" shall be deleted;

2) in paragraph sixteenth [article 36.18](#) of the word "committed by the dealer in the securities market on the basis of contracts concluded with the organizer of trade and (or) a clearing organization, with clearing activities and those of the organization of trading in the securities market" with "perfect through the organizertrade on the basis of contracts concluded with the organizer of trade and (or) clearing organization, with clearing activities and those of the organizer of trading. "



In [subparagraph 1 of](#) paragraph 1 of Article 46 of the Budget Code of the Russian Federation (Collection of Laws of the Russian Federation, 1998, N 31, Art. 3823, 2000, N 32, Art. 3339, 2005, N 52, Art. 5572, 2007, N 18, art . 2117, 2009, N 30, Art. 3739, 2010, N 31, Art. 4198, 2011, N 15, Art. 2041; N 41, Art. 5635), the words "on commodity exchanges and exchange trade" with the words "on organized trading. "

Add to [Article 24.9](#) of the Federal Law of 29 July 1998 N 135-FZ "On Valuation Activities in the Russian Federation" (Collected Legislation of the Russian Federation, 1998, N 31, Art. 3813, 2006, N 31, Art. 3456) as follows:

1) in the seventh part of the words "on the organized securities market" shall be replaced by the words "organized trading";

2) in the eighth part of the words "on the organized securities market" shall be replaced by the words "organized trading."

In [paragraph 4](#) of Article 50.33 of the Federal Law of February 25, 1999 N 40-FZ "On Insolvency (Bankruptcy)" (Collected Legislation of the Russian Federation, 1999, N 9, Art. 1097; 2004, N 34, art. 3536), the words "through a dealer in securities market" shall be replaced by the words "organized trading."

Add to [Article 6 of](#) the Federal Law of March 5, 1999 N 46-FZ "On protection of rights and legitimate interests of investors in the securities market" (Collected Legislation of the Russian Federation, 1999, N 10, Art. 1163, 2006, N 31, art. 3437) as follows:

1) the fourth paragraph [of paragraph 3](#) shall read as follows:

"Information about the prices of these securities on organized trading within six weeks preceding the date of submission of investor requirements for information, if these securities are included in the quotation list, or information about the absence of these securities in the quotation lists exchanges; ";

2) The second paragraph [of paragraph 4](#) to read as follows:

"Prices of these securities on organized trading within six weeks preceding the date of submission of investor requests for information, if these securities are included in the quotation list, or information about the absence of these securities in quotation lists exchanges; ".

In the second paragraph of [paragraph 2](#) of Article 169 of the Merchant Shipping Code of the Russian Federation (Collection of Laws of the Russian Federation, 1999, N 18, Art. 2207), the words "commodity exchange" with the words "defined on the stock exchange".

The Federal Law [of November 29, 2001 N 156-FZ](#) "On Investment Funds" (Collected Legislation of the Russian Federation, 2001, N 49, Art. 4562, 2007, N 50, Art. 6247, 2009, N 48, Art. 5731 ) as follows:

1) in [Article 40](#) :

a) in [sub-paragraph 3](#) of paragraph 1, the words "on trading by the Securities Market" shall be replaced by the words "organized trading";

Subparagraph b) - Deleted.

(As amended. Federal law [from 28.07.2012 N 145-FZ](#) )

c) in [subparagraph 1](#) of paragraph 4 the words "trading by securities market" shall be replaced by the words "organized trading";

g) in [subsection 1](#) , paragraph 5, the word "stock" shall be deleted;

2) [subparagraph 2](#) of paragraph 7 of Article 44 shall read as follows:

"2) the professional activities in the securities market, except for keeping the register of securities holders and depository activities, if the latter is to carry out on the basis of agreements with the organizer of trade and (or) the clearing organization depository operations under contracts with securities concluded on organized trading. ".



The Federal Law [of December 21, 2001 N 178-FZ](#) "On Privatization of State and Municipal Property" (Collected Legislation of the Russian Federation, 2002, N 4, p. 251, 2006, N 31, Art. 3454, 2010, N 23, Art. 2788, 2011, N 29, Art. 4292) as follows:

- 1) in [paragraph 6](#) of Article 13, paragraph 1, the words "in the securities market" shall be deleted;
- 2) [Article 22](#) shall read as follows:

1. Shares of joint stock companies may be sold on the stock exchange in accordance with the rules of trading, established by the exchange.
2. For the sale of shares of joint stock companies on the stock exchange brokers may be involved in the procedure established by the Government of the Russian Federation.

Terms of contracts with brokers to sell shares of public companies on the stock exchange should include the sale of the said shares at a price that can not be lower established such treaties initial price.

3. Information on the sale of shares of open joint-stock companies on the stock exchange to be published in accordance with the rules established by the exchange.

Information on the results of transactions in shares of public companies on the stock exchange is subject to a monthly publication in the official newsletters and (or) other media.

Disclosure of information necessary for making and execution of transactions in shares of open joint-stock exchange is carried out in Installed it right.

4. Requirements of paragraphs 2 - 11 Article 15 of this Federal Law shall not apply to the sale of shares in public companies on the stock exchange. ";

- 3) in [Article 40.1](#) :

a) name, the word "stock" shall be deleted;

b) In the first paragraph the word "stock" shall be deleted;

- 4) in [paragraph 2](#) of Article 41 the words "in the securities market" should be deleted.

Add to [the Code](#) of Administrative Offences (Collected Legislation of the Russian Federation, 2002, N 1, p. 1; N 30, Art. 3029; N 44, Art. 4295, 2003, N 27, Art. 2700, 2708, 2717; N 46, Art. 4434; N 50, Art. 4847, 2004, N 31, Art. 3229; N 34, Art. 3533, 2005, N 1, Art. 13, 40, 45; N 13, Art. 1075 1077; N 19, Art. 1752; N 27, Art. 2719, 2721; N 30, Art. 3104, 3131; N 50, Art. 5247, 2006, N 1, p. 4; N 6, p. 636; N 17, Art. 1776; N 18, Art. 1907; N 19, Art. 2066; N 31, ст. 3438; N 45, ст. 4641; N 52, ст. 5498; 2007, N 1, ст. 25; N 7, ст. 840; N 16, ст. 1825; N 26, ст. 3089; N 30, ст. 3755; N 31, ст. 4007, 4008; N 41, ст. 4845; N 46, ст. 5553; 2008, N 20, ст. 2251; N 30, ст. 3604; 49, ст. 5745; N 52, ст. 6235, 6236; 2009, N 1, ст. 17; N 7, ст. 777; N 23, ст. 2759; N 26, ст. 3120; N 29, ст. 3597, 3642; N 30, ст. 3739; N 48, ст. 5711, 5724; N 52, ст. 6412; 2010, N 1, ст. 1; N 18, ст. 2145; N 21, ст. 2525; N 23, ст. 2790; N 27, ст. 3416; N 30, ст. 4002, 4006, 4007; N 31, ст. 4158, 4164, 4193, 4206, 4207, 4208; N 41, ст. 5192, 5193; 2011, N 1, ст. 10, 23; N 7, ст. 905; N 15, ст. 2039; N 17, ст. 2310; N 19, ст. 2714, 2715; N 23, ст. 3260; N 27, ст. 3873; N 29, ст. 4290; N 30, ст. 4585, 4590, 4598, 4600, 4601, 4605) следующие изменения:

- 1) [Part 1](#) of Article 4.5, after the words "on the securities market," the words "of the clearing activities of organized trading";

- 2) [Article 14.24](#) to read as follows:

1. Violation of a person who is a party (the founder), a member of the management body of the organizer of trading requirements and restrictions in respect of the said persons the legislation on organized trading -

inclinations nalozhenie administrativnogo shtrafa of citizens in size from two-to odnoy tysyachi tysyach Rublee; of dolzhnostnyh Pers.lodging sum.Dec - from desyati tysyach to dvadtsati tysyach Rublee; of legal entities - from three hundred thousand to five hundred thousand rubles.

2. Illegal use of a legal entity in its name and (or) in the advertisement the words "exchange", "trading system" or "organizer of trade" as well as derivatives of these words, and combinations of them -

punishable by an administrative fine on legal entities in the amount of five hundred thousand to seven thousand.

3. Violation of trade organizer disclosure order established by the legislation on organized trading -

punishable by an administrative fine on officials in the amount of thirty thousand to fifty thousand rubles or disqualification for a period of one to two years; for legal entities - from seven hundred thousand to one million rubles.

4. Obstruction of the trade organizer holding the federal executive authority in the field of financial markets inspections or evasion of such checks -

punishable by an administrative fine on officials in the amount of thirty thousand to fifty thousand rubles or disqualification for a period of one to two years; for legal entities - from seven hundred thousand to one million rubles.

5. Violation of the federal laws and in accordance with other regulations of the Russian Federation restrictions on combining efforts to conduct organized trading with other activities -

punishable by an administrative fine on officials in the amount of thirty thousand to fifty thousand rubles or disqualification for a period of one to two years; for legal entities - from seven hundred thousand to one million rubles.

6. Violation of party agreement concluded on organized trading not established by normative legal acts of the Government Russian Federation and the order (or) the timing of the provision of information about the specified contract, including the provision of incomplete and (or) false information -

inclinations nalozhenie administrativnogo shtrafa of citizens in size from two-to odnoy тыsyachi тыsyach pyatisot Rublee; of dolzhnostnykh Pers.lodging sum.Dec - from dvadtsati тыsyach to tridtsati тыsyach Rublee; of yuridicheskikh Pers.lodging sum.Dec - from trehsot тыsyach to pyatisot тыsyach Rublee.

7. Violation of the right to organize trade organizer trades registered in accordance with the legislation on organized tradingok -

punishable by an administrative fine on officials in the amount of ten thousand to twenty thousand rubles; for legal entities - from three hundred thousand to five hundred thousand rubles.

8. Violation of exchange established by the legislation on organized trading order of formation of the Exchange Board (stock section) -

punishable by an administrative fine on officials in the amount of ten thousand to twenty thousand rubles; for legal entities - from three hundred thousand to five hundred thousand rubles.

9. Failure to execute the trade organizer responsibilities to monitor the participants organized trading, admitted to organized trading commodities, securities and their issuers, as well as the operations carried out on organized trading -

punishable by an administrative fine on officials in the amount of thirty thousand to fifty thousand rubles; for legal entities - from seven hundred thousand to one million rubles. ";

3) [Article 15.19](#) add Part 4 as follows:

"4. Violation party contracts that are not traded on organized on the conditions of the general agreement (single contract) established by normative legal acts of the federal executive authority in

the field of financial markets and the order (or) the timing of the provision of information about the specified contract, including the provision of incomplete and (or) false information - inclinations nalozhenie administrativnogo shtrafa of citizens in size from two-to odnoy tysyachi tysyach pyatisot Rublee; of dolzhnostnykh Pers.lodging sum.Dec - from dvadtsati tysyach to tridtsati tysyach Rublee; of yuridicheskikh Pers.lodging sum.Dec - from trehsot tysyach to pyatisot tysyach Rublee. "

4) The first paragraph [of Part 1](#) Article 19.4.1, after the words "such inspections", the words "except as specified in paragraph 4 of Article 14.24 and Part 9 of Article 15.29 of this Code";

5) [Part 2](#) of Article 23.1, after the words "Part 1 of Article 14.20," the words "part 3 - 5, Article 14.24,".

The Federal Law [of July 10, 2002 N 86-FZ](#) "On the Central Bank of the Russian Federation (Bank of Russia)" (Collected Legislation of the Russian Federation, 2002, N 28, Art. 2790, 2004, N 31, Art. 3233, 2005, N 25, Art. 2426, 2006, N 25, Art. 2648, 2008, N 44, Art. 4982, 2009, N 48, Art. 5731, 2010, N 45, Art. 5756, 2011, N 27, art. 3873; N 43, Art. 5973) as follows:

1) [point 17](#) of Article 4 is repealed;

2) in the edge fifteen [paragraph 9](#) Section 18, the words "on a stock exchange and (or) other trade on the securities market" with the words "securities on organized trading";

3) in the seventh paragraph of the third part [of Article 25](#) the words "on a stock exchange and (or) other trade on the securities market" with the words "securities on organized trading";

4) in [Article 26.1](#) the words "on a stock exchange and (or) other trade on the securities market" with the words "securities on organized trading";

5) in [paragraphs 2](#) first part of Article 39 the words "on a stock exchange and (or) other trade on the securities market" shall be replaced by the words "organized trading";

6) the fourth part [of Article 97](#) repealed.

The Federal Law [of 24 July 2002 N 102-FZ](#) "On Arbitration Courts in the Russian Federation" (Collected Legislation of the Russian Federation, 2002, N 30, Art. 3019) as follows:

1) in the first subparagraph [of paragraph 2 of](#) Article 3, the word "exchange" with the words "trade organizers, operating in accordance with the Federal Law" On the organized trading ";

2) in [Article 7](#) :

a) [paragraphs 1](#) first sentence, the words "if another form of the arbitration agreement is not provided by this Federal Law or other federal law";

b) add paragraph 1.1 as follows:

"1.1. Agreement to submit the dispute to arbitration may be incorporated in the rules of organized trading, clearing rules that are incorporated under the laws of the Russian Federation. Such an agreement is the arbitration agreement participants organized trading parties contract for an organized trading in accordance with the rules of organized trading or clearing participants. ";

c) in [paragraph 2](#), the words "paragraph 1" are replaced by "paragraphs 1 and 1.1."

The Federal Law [of 24 July 2002 N 111-FZ](#) "On the investment of funds for the funded part of pensions in the Russian Federation" (Collected Legislation of the Russian Federation, 2002, N 30, Art. 3028, 2009, N 29, Art. 3619 ) as follows:

1) [subparagraph 16](#) of Article 11 shall read as follows:

"16) does not combine their specialized depository with other types of licensed activity, except banking or depository, with the depositoryactivities that are connected to the conduct on the basis of agreements with the organizers of trade and (or) the clearing organization depository operations under contracts with securities concluded on organized trading; ";

2) in [paragraph 1 of](#) Article 23, the words "a participant of the stock exchange trading" are replaced by "a participant of the exchange trading, which covers transactions in securities";

3) in [paragraph 1 of](#) Article 24, the words "in the securities market" should be deleted.

The Federal Law [of October 26, 2002 N 127-FZ](#) "On Insolvency (Bankruptcy)" (Collected Legislation of the Russian Federation, 2002, N 43, Art. 4190, 2010, N 17, Art. 1988; 2011, N 7, Article . 905; N 29, Art. 4301) as follows:

- 1) in [paragraph 2](#) , paragraph 3 of Article 4.1 of the words "or a stock" with the words "clearing organization, or";
- 2) in [paragraph 2](#) of Article 185.2 of the word "securities market" shall be deleted;
- 3) [Article 185.7](#) :
  - a) [paragraphs 1](#) words "on trading by the Securities Market" substitute "for organized trading";
  - b) in [paragraph 2](#), the words "for trading in the securities market" with the words "to the organized trading";
- 4) [Article 186.10](#) :
  - a) in [paragraph 2](#), the words "for trading in the securities market" with the words "to the organized trading";
  - b) in [paragraph 3](#), the words "on trading by the Securities Market" shall be replaced by the words "organized trading."

Add to [part 5](#) Article 20 of the Federal Law of November 11, 2003 N 152-FZ "On Mortgage Securities" (Collected Legislation of the Russian Federation, 2003, N 46, Art. 4448) as follows:

- 1) In the first paragraph the words "through trade organizers in the securities market" shall be replaced by the words "organized trading";
- 2) In the second paragraph the words "through a dealer in securities market" shall be replaced by the words "organized trading."

The Federal Law [of 10 December 2003 N 173-FZ](#) "On Currency Regulation and Currency Control" (Collected Legislation of the Russian Federation, 2003, N 50, Art. 4859, 2004, N 27, Art. 2711, 2005, N 30, Art. 3101, 2007, N 1, Art. 30; N 22, Art. 2563; N 29, Art. 3480; N 45, Art. 5419, 2008, N 30, Art. 3606, 2010, N 47, art. 6028, 2011, N 7, Art. 905) as follows:

- 1) [item 11](#) of Part 1 of Article 1 is repealed;
- 2) in [paragraphs 5](#) Part 1 of Article 9, the words "by organizers of trade on the securities market of the Russian Federation" shall be replaced by the words "organized trading";
- 3) in [Part 8 of](#) Article 12, the words "and to currency exchanges" shall be deleted;
- 4) in [Article 22](#) :
  - a) in [Part 4 of](#) the words "as well as currency exchanges" shall be deleted;
  - b) in [Part 5 of](#) the words "or currency exchanges" deleted.

The Federal Law [of August 20, 2004 N 117-FZ](#) "On savings and mortgage system of housing for military" (Collected Legislation of the Russian Federation, 2004, N 34, art. 3532; 2007, N 50, art. 6237; 2011, N 27, art. 3879) as follows:

- 1) in [paragraphs 16](#) Part 2 of Article 20, the words "on trading by the Securities Market" shall be replaced by the words "organized trading";
- 2) [item 11](#) of Part 2 of Article 21 shall be amended as follows:

"11) do not combine activities as a specialized depository with other licensed activities, except depository or banking activities, with the depository activity, which is to carry out on the basis of agreements with the organizers trade and (or) the clearing organization depository operations under contracts with securities concluded on organized trading; ";
- 3) [Paragraph 3](#) Part 1 of Article 22 shall read as follows:

"3) be a member of organized trading";
- 4) in [Article 23](#) the words "in the securities market" should be deleted.

In [part 3.5](#) of Article 19 of the Federal Law of December 20, 2004 N 166-FZ "On fisheries and conservation of marine biological resources" (Collected Legislation of the Russian Federation, 2004,

N 52, Art. 5270, 2007, N 50, Art. 6246; 2008 , N 49, Art. 5748, 2011, N 1, p. 32), the words "on the commodity exchanges" replaced by the words "organized trading."

In [paragraphs 2](#) Part 1 of Article 10 of the Federal Law of July 21, 2005 N 94-FZ "On placing orders for goods, works and services for state and municipal needs" (Collected Legislation of the Russian Federation, 2005, N 30, Art. 3105; 2007 , N 17, Art. 1929; N 31, Art. 4015, 2009, N 48, Art. 5723, 2010, N 19, Art. 2291; N 31, Art. 4209, 2011, N 17, Art. 2320) word "commodity" should be deleted. In [part 8](#) Article 29 of the Federal Law of March 13, 2006 N 38-FZ "On Advertising" (Collected Legislation of the Russian Federation, 2006, N 12, Art. 1232, 2007, N 7, Art. 839), the word "stock" deleted.

The Federal Law [of 26 July 2006 N 135-FZ](#) "On Protection of Competition" (Collected Legislation of the Russian Federation, 2006, N 31, Art. 3434, 2008, N 18, Art. 1941, 2009, N 29, Art. 3601 , 2011, N 29, Art. 4291) as follows:

1) in [paragraph 6](#) of Article 4, the words "stock exchange, currency exchange" with the words "the organizer of trade, clearing organization";

2) in [subparagraph "n"](#) paragraph 2 of Part 1 of Article 23, the word "commodity" should be deleted.

In [part 2](#) Article 1 of the Federal Law of 29 December 2006 N 244-FZ "On state regulation of the organization and conduct of gambling and making Amendments to Certain Legislative Acts of the Russian Federation" (Collected Legislation of the Russian Federation, 2007, N 1, Art. 7) The word "exchanges" with the words "trade organizers, operating in accordance with the Federal Law "On the organized trading."

[Part 2](#) of Article 1 of the Federal Law of December 30, 2006 N 271-FZ "On the retail markets and on amendments to the Labour Code of the Russian Federation" (Collected Legislation Russian Federation, 2007, N 1, Art. 34; 2010, N 52, art. 6984) add paragraph 3 as follows:

"3) the activities organized for the trades."

In [Part 3](#) of Article 17 of the Federal Law of December 30, 2006 N 275-FZ "On the formation and use of endowment nonprofit organizations" (Collected Legislation of the Russian Federation, 2007, N 1, p. 38), the words "on trading by the market securities" shall be replaced by the words "organized trading. "

The Federal Law [on October 2, 2007 N 229-FZ](#) "On Enforcement Proceedings" (Collected Legislation of the Russian Federation, 2007, N 41, art. 4849; 2009, N 1, Art. 14) the following changes:

1) in [Article 85](#) :

a) in [paragraph 2](#) of Part 2 of the words "on the organized securities market" shall be replaced by the words "organized trading";

b) [Part 5](#) shall read as follows:

"5. The value of securities traded on organized trading, set the bailiff by asking prices of the securities in the trade organizer at which the relevant securities are included in the list of securities admitted to trading and the cost of investment units open and interval mutual funds - by requesting prices for investment shares of the management company of the relevant investment fund. ";

2) in [Article 89](#) :

a) in [Part 3 of](#) the first sentence to read as follows: "The securities traded in an auction, to be sold on such trades.";

b) [Part 4](#) shall read as follows:

"4. Guided trades conducted by organizers of trade, carried out in accordance with the legislation of the Russian Federation an organized trading, without applying the provisions of Articles 90 - 92 of this Federal Law. ";

c) in [Part 5 of](#) the words "on trading by the Securities Market" shall be replaced by the words "organized trading";

g) in [Part 6 of](#) the words "on trading by the Securities Market" substitute "for organized trading."

In [paragraph 3 of](#) Part 3 of Article 14 of the Federal Law of December 1, 2007 N 315-FZ "On the self-regulatory organizations" (Collected Legislation Russian Federation, 2007, N 49, p. 6076; 2008, N 30, art. 3604), the words "on a stock exchange and (or) at the other organizers of trading on the securities market" shall be replaced by the words "organized trading."

[Part 3.1](#) of Article 1 of the Federal Law of December 26, 2008 N 294-FZ "On protection of legal entities and individual entrepreneurs in the implementation of state control (supervision) and municipal control" (Collected Legislation of the Russian Federation, 2008, N 52, art.6249;2009, N 18, ст. 2140; N 29, ст. 3601; N 52, ст. 6441; 2010, N 17, ст. 1988; N 31, ст. 4160, 4193; 2011, N 17, ст. 2310; N 30, ст. 4590) дополнить пунктом 13.1as follows:  
"13.1) State supervision of the activities organized for the trades;"

Add to [Article 5 of](#) the Federal Law of December 30, 2008 N 307-FZ "On Auditing" (Collected Legislation of the Russian Federation, 2009, N 1, Art. 15; 2010, N 27, Art. 3420, 2011, N 1, Art . 12) as follows:

1) in [Part 1](#) :

a) in [paragraph 2](#), the words "on a stock exchange and (or) other trade on the securities market" shall be replaced by the words "organized trading";

b) in [paragraph 3](#), the word "commodity, currency or stock exchange" by "the organizer of trade";

2) in [Part 3 of](#) the words "on a stock exchange and (or) other trade on the securities market" shall be replaced by the words "organized trading."

Add to [article 40 of](#) the Federal Law of 18 July 2009 N 190-FZ "On Credit Cooperatives" (Collected Legislation of the Russian Federation, 2009, N 29, Art. 3627) as follows:

1) in [paragraph 2](#), Part 3, the words "on the organized securities market" shall be replaced by the words "organized trading";

2) in [Part 4](#), the words "on the organized securities market" shall be replaced by the words "organized trading."

[Item 2 of](#) Part 4 of Article 1 of the Federal Law of December 28, 2009 N 381-FZ "On the basis of state regulation of commercial activities in the Russian Federation" (Collected Legislation of the Russian Federation, 2010, N 1, Art. 2) to read as follows:

"2) efforts to conduct organized trading;"

The Federal Law [of 27 July 2010 N 208-FZ](#) "On the Consolidated Financial Statements" (Collected Legislation of the Russian Federation, 2010, N 31, Art. 4177)the following changes:

1) in [paragraph 3 of](#) Part 1 of Article 2, the words "on a stock exchange and (or) other trade on the securities market" shall be replaced by the words "organized trading";

2) in [Part 2 of](#) Article 8, the words "whose securities are admitted to trading on stock exchanges and (or) other trade on the securities market" with the words "whose securities are admitted to trading on organized trading", the words "bonds are admitted tottrading on stock exchanges and (or) other organizers trade in the securities market "with" bonds are admitted to trading on organized trading."  
"

In [Part 2](#) of Article 5 of the Federal Law of 27 July 2010 N 211-FZ "On the reorganization of the Russian Corporation of Nanotechnologies" (Collected Legislation of the Russian Federation, 2010, N 31, Art. 4180), the words "for trading in the securities market", replace the words "to the organized trading."

The Federal Law [of 27 July 2010 N 224-FZ](#) "On Countering misuse of insider information and market manipulation and on Amendments to Certain Legislative Acts of the Russian Federation" (Collected Legislation of the Russian Federation, 2010, N 31, Art. 4193; 2011 , N 29, Art. 4291) as follows:

1) [, paragraph 3](#) of Article 2 in the following wording:



"3) The organizer of trade - the person who is the organizer of trade within the meaning of the Federal Law "On the organized trading," ";

2) in [Part 6](#) of Article 7 of the word "license to sign the exchange intermediaries and stockbrokers in exchange trading contracts are derivative financial instruments whose underlying asset is a commodity," shall be deleted;

3) [Article 19](#) , paragraphs 2 and 3 of Article 20 shall be abrogated.

***Zakonbase : Paragraph 3 of Article 36 shall enter into force on 01.01.2014 ( [Article 41](#) ).***

The Federal Law [on February 7, 2011 N 7-FZ](#) "On clearing and clearing activities" (Collected Legislation of the Russian Federation, 2011, N 7, Art. 904) as follows:

1) [Article 4 of the](#) add Sections 12 and 13 read as follows:

"12. Clearing Rules may provide for cases in which an obligation (liability) existing (existing) between the parties to a contract concluded not with a central counterparty, stops (stop) the replacement of a new liability (new obligations) between each of the parties to the said agreement and the central counterparty . When this occurs again (emerging) the obligation (liability) should provide the same subject and manner of execution, and that the contract concluded not with a central counterparty.

13. Clearing Rules may provide for cases where the results of clearing obligations enforced by contract (contracts) on organized trading. ";

2) in [Part 3 of](#) Article 5, the word "trust", delete the words "specialized depository joint" with "specialized depositories", the words "equity investment fund" with the words "joint-stock investment funds," the words "Pension fund" be replaced by "non-state Retirement pension funds and pension insurance ";

3) in [Part 2 of](#) Article 6, the words "the qualifications and professional experience requirements" with "requirements for professional experience and qualification requirements, including the requirements of a qualification certificate in the field of clearing activities";

4) in [paragraph 2 of](#) Part 2 of Article 7, the words "more than" replace the word "below", the words "amount of the transaction that resulted in the reduction of such" replaced by the words "the amount excluded voting shares (");

5) [Paragraph 19](#) of Part 1 of Article 25, the words "and adopted in accordance with it normative legal acts";

6) in [Part 2 of](#) Article 27 of the digits "45" to replace the figures "30".

The Federal Law [on February 7, 2011 N 8-FZ](#) "On Amendments to Certain Legislative Acts of the Russian Federation in connection with the adoption of the Federal Law" On clearing and clearing activities "(Collected Legislation of the Russian Federation, 2011, N 7, Art. 905) as follows:

1) in the second paragraph [of subparagraph "b" of](#) paragraph 2 of Article 1, the word "currency" shall be deleted;

2) [, paragraph 7](#) of Article 2 is repealed.

***Zakonbase: Paragraph 2 of Article 38 shall enter into force on 01.01.2014 ( [Article 41](#) ).***

[Item 5 of](#) Part 2 of Article 1 of the Federal Law of May 4, 2011 N 99-FZ "On Licensing Certain Types of Activities" (Collected Legislation of the Russian Federation, 2011, N 19, art.2716) read as follows:

"5) The activities organized for the trades;"

The following are repealed:

1) Law of the Russian Federation [on February 20, 1992 N 2383-1](#) "On Commodity Exchanges and Exchange Trade" (Gazette of the Congress of People's Deputies of the Russian Federation and the Supreme Soviet of the Russian Federation, 1992, N 18, art. 961);

2) [Article 21 of the](#) Law of the Russian Federation dated June 24, 1992 N 3119-1 "On Amendments and Additions to the RSFSR Civil Code, Code of Civil Procedure RSFSR, the Rules of the Supreme

Soviet of the RSFSR, RSFSR Law "On the Jewish Autonomous Region", "On the Election of People's Deputies," "On the additional powers of local Soviets in the transition to a market economy," "On peasant (farmer) economy," " On Land Reform ", " On Banks and Banking Activity in the RSFSR, "" On the Central Bank of the Russian Federation (Bank of Russia), "" On the property in the Russian Federation ", " On enterprises and entrepreneurial activity ", " On State Tax Service RSFSR, "" On Competition and Restriction of Monopolistic Activity in Commodity Markets ", " The priority agribusiness providing logistical resources ", " On Local Self-Government in the Russian Federation ", " On privatization of state and municipal enterprises in the Russian Federation ", " On the basis of the budgetary system and budgetary process in the Russian Federation ", " On State Duty "Laws of the Russian Federation" On the regional, provincial People's Council Deputies and territorial or regional administration, "" On Commodity Exchanges and Exchange Trade "(Gazette of the Congress of People's Deputies of the Russian Federation and the Supreme Soviet of the Russian Federation, 1992, N 34, Art. 1966);

3) Law of the Russian Federation [on April 30, 1993 N 4919-1](#) "On Amending the Federal Law" On Commodity Exchanges and Exchange Trade "(Gazette of the Congress People's Deputies of the Russian Federation and the Supreme Soviet of the Russian Federation, 1993, N 22, art. 790);

4) [Article 7 of](#) the Federal Law of June 19, 1995 N 89-FZ "On Amendments and Additions to the legislative acts of the Russian Federation in connection with the adoption of the laws of the Russian Federation" On Standardization ", " On the uniformity of measurements ", " On certification of products and services "(Collected Legislation of the Russian Federation, 1995, N 26, Art. 2397);

5) [paragraph 6](#) , the second paragraph - the twenty-seventh [paragraph 8](#) and [Item 9](#) Article 1 of the Federal Law of December 28, 2002 N 185-FZ "On Amendments and Additions to the Federal Law" On the Securities Market "and on completion of the Federal Law" On noncommercial organizations "(Collected Legislation of the Russian Federation, 2002, N 52 , Art. 5141);

6) [paragraph 1](#) of the Federal Law of March 7, 2005 N 16-FZ "On Amendments to Article 9 and 22.1 of the Federal Law" On the Securities Market "(Collected Legislation of the Russian Federation, 2005, N 11, art. 900);

7) [paragraph 2](#) Article 1 of the Federal Law of June 18, 2005 N 61-FZ "On Amending the Federal Law" On the Securities Market ", Federal Law" On protection of rights and legitimate interests of investors in the securities market "and the Federal Law" On the Central Bank of the Russian Federation (Bank of Russia) "(Collected Legislation of the Russian Federation, 2005, N 25, Art. 2426);

8) [Article 1](#) Federal Law of April 15, 2006 N 47-FZ "On Amending the Federal Law" On Commodity Exchanges and Exchange Trade "and the Code of Administrative Offences" (Collected Legislation of the Russian Federation, 2006, N 17, Art. 1776) ;

9) [paragraph 2](#) Federal Law of December 6, 2007 N 336-FZ "On Amendments to Articles 7 and 11 of the Federal Law" On the Securities Market "(Collected Legislation of the Russian Federation, 2007, N 50, Art. 6249);

10) [Article 2 of](#) the Federal Law of October 27, 2008 N 176-FZ "On Amending the Federal Law" On the Central Bank of the Russian Federation (Bank of Russia) "and Article 12 of the Federal Law" On the Securities Market "(Collected Legislation of the Russian Federation, 2008, N 44, Art. 4982);

11) [§ 2](#) Article 1 of the Federal Law of April 28, 2009 N 74-FZ "On Amending the Federal Law" On the Securities Market "and Article 5 of the Federal Law" On protection of rights and legitimate interests of investors in the securities market "(Collected Legislation of the Russian Federation, 2009, N 18, Art. 2154);

12) [Article 4](#) , paragraphs [4](#) and [5](#) of Article 5 of the Federal Law of November 25, 2009 N 281-FZ "On Amendments to Parts One and Two of the Tax Code of the Russian Federation and Certain

Legislative Acts of the Russian Federation "(Collected Legislation of the Russian Federation, 2009, N 48, Art. 5731);

13) Paragraphs [2](#) and [3](#) Article 1 of the Federal Law of October 4, 2010 N 264-FZ "On Amending the Federal Law" On the Securities Market "and some legislative acts of the Russian Federation" (Collected Legislation of the Russian Federation, 2010, N 41, Art. 5193).

***Zakonbase: Article 40 shall enter into force on 01.01.2014 ( [Article 41](#) ).***

1. This Federal Law shall enter into force on 1 January 2012, except for the provisions of this Article for which other terms of their entry into force.

2. The first paragraph and [paragraph 1](#) of Article 38 shall enter into force on the day of the official publication of this Federal Law.

3. Articles [1](#) and [2](#) , the second and fifth paragraphs [of subparagraph "b"](#) of paragraph 2, paragraphs [4](#) , [9](#) - [23](#), Article 3, Article [4](#) - [14](#) and [16](#) , paragraphs [2](#) - [4](#) of Article 17, Article [18](#) - [35](#) , the first paragraph and paragraphs [1](#) and [2](#) of Article 36, [Article 39](#) this Federal Law shall enter into force on 1 January 2013.

4. Paragraphs [3](#) , [5](#) , [6](#) and [8](#) of Article 3, [paragraph 3](#) of Article 36, [paragraph 2](#) of Article 38 and [Article 40](#) of this Federal Law shall enter into force on 1 January 2014.

*President  
of the Russian Federation  
MEDVEDEV*

The Kremlin, Moscow

November 21, 2011

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