

# Database Management system (DBMS)

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## DBMS (Basics)

- Data : Raw and isolated fact about an entity (recorded)  
eg: text, image, video, document, map etc.
- Information : Processed, meaningful and useful data
- data  $\xrightarrow{\text{processing, usability}}$  information
- Database : collection of similar / related data.
- DBMS : s/w used to create, manipulate and delete database.

## Disadvantages of file system

- ① Data Redundancy : single data being found at multiple places (data scattering).
- ② Data inconsistency : one data at multiple places being changed at one place may/may not change other value resulting in inconsistency.
- ③ Difficulty in accessing data : problem being solved by DBMS queries.
- ④ Data isolation : isolated data can't be handled by OS as they are dependant.
- ⑤ Security problems
- ⑥ Atomicity problem : data comes in inconsistent state when OS gets closed due to some reason.

- ⑦ Concurrent access anomalies: only one user can access the data at once and that can't be a problem in DBMS.
- ⑧ Integrity problems: automatic triggers that can't be allowed can be sustained in DBMS.

## Types of Database Management system.

### OLAP

- online analytical processing
- holds historical data
- used in decision making, getting predictions
- subject oriented
- large size (TB, PB)
- CEO, MD, GM deals with it
- only read operation

### OLTP

- online transaction processing
- hold day-to-day operational data
- used for day to day operations
- application oriented
- small size (MB, GB)
- clerks & manager deal with it
- read & write data

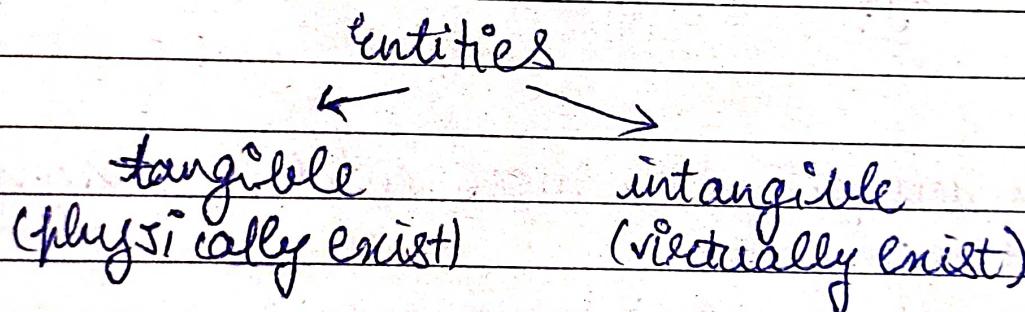
### Why OLAP and OLTP separated?

- 95% data was historical data that was not much in use
- 5% data is day to day use needed.
- if all data is stored together, then speed for day to day needed data gets decreased
- thus we divide over whole data into OLAP and OLTP.

# ER diagram (Entity Relationship diagram)

- A non technical design method works on conceptual level based on perception of real world.
- consist of collection of basic objects called entities and relationship among these objects & attributes define property
- free of ambiguities
- easy to understand (as diagram based)

entity: real world objects distinguishable from each other on the basis of values of attributes.



entity set: collection of same set of entities.

→ schema: structure consisting of entity set

→ entity set is represented by rectangle.

→ attributes:

→ attributes in ER diagram

→ units that describes properties in an entity

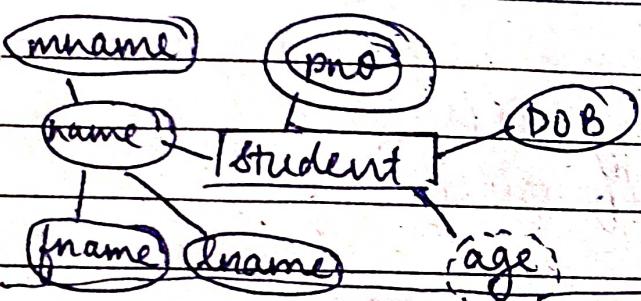
→ for each attribute, there is a permitted domain

→ represented by oval.

## Types of attribute

Simple - composite.

- simple can't be divided further and is represented by oval.
- composite can be further divided into simple attributes, e.g. by oval connected to oval



single - multivalued

- only one instance at a time: single valued.

more than one instance at a

- time: multivalued

single oval: single from stored

- multivalued: double valued oval

multivalued:

- single oval: single from stored

values

- derived attribute

are derived using

- dotted oval

stored/derived

attribute

that values are

known and stored

in database

→ derived attri.

time: multivalued - later acc. derive

attribute

are derived using

dotted oval

## Relationship

- association between 2 or more entities of same or different entity set.
- not represented in ER diagram.
- represented using a row in a table

## Relationship set/type

- set of similar type of relationship
- represented using diamond in ER diagram.
- every relationship type has 3 components
  - \* Name
  - \* degree
  - \* cardinality ratio / participation constraints

Degree of relationship

→ no. of participating entity set.

Binary

Ternary

Ternary



- most of relationships are binary relationships
- = however there may arise some cases when we have more than 2 relationships

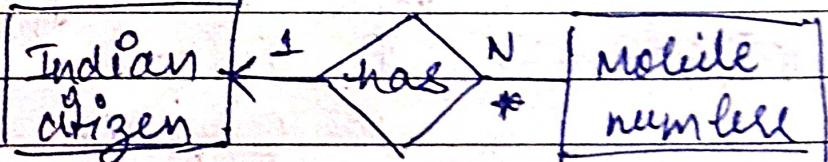
### Cardinality Ratio / Mapping cardinality

- number of entities to which other entity can be related via a relationship.
- it is more useful in binary relationships

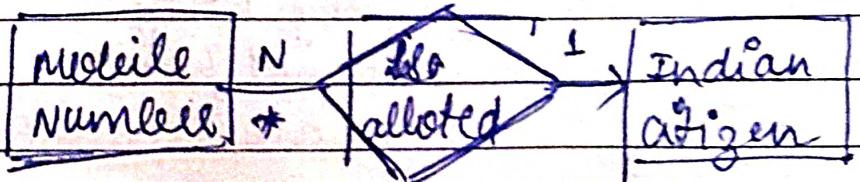
\* one to one



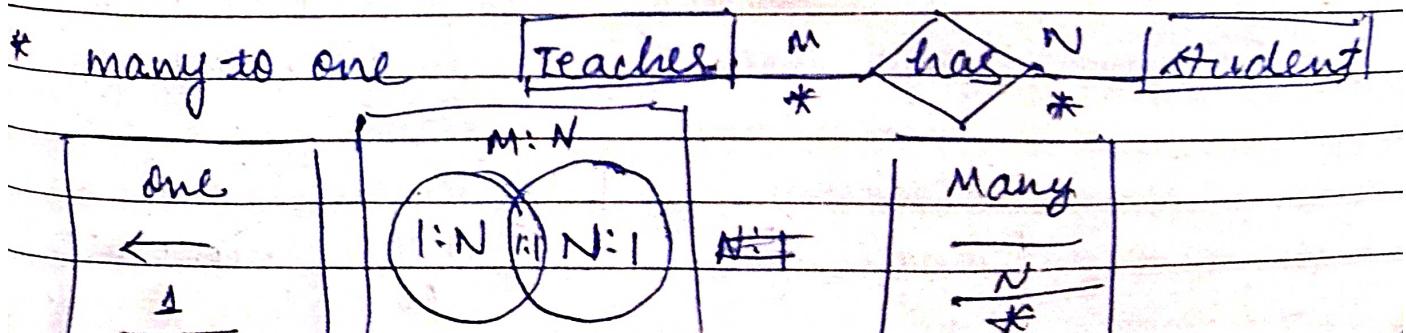
\* one to many



\* many to one



\* many to one

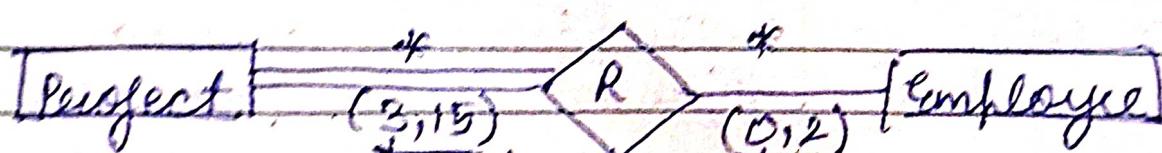


## Participation constraints.

- def. any entity needs to participate in any relationship to be able to exist in system
- specifies whether the existence of an entity depends on its being related to another entity via relationship type
- constraints specify minimum and maximum number of relationships instances that each entity can participate in

\* Max cardinality: defines maximum number of times an entity occurrence participate in relationship

\* Min cardinality: defines minimum number of times an entity occurrence participate in relationship



participation:

Partial

Participation

Total

Participation

\* if any entry does not participate in relationship

\* if all entity participate in relationship

\* that side of relationship is total.

\* that side of relationship is total.

\* partial

\* double line

\* single line

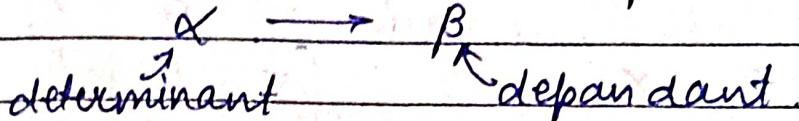
(not recommended to use)

## Functional Dependencies

→ if there is a functional dependency from  $\alpha$  to  $\beta$  in a relational table, then we can search value of  $\beta$  from relational table using  $\alpha$ .

→ denote:  $f(a) \rightarrow \beta$  and  $a \in R \wedge \beta \in R$

$\rightarrow$  if  $t_1[x] = t_2[\alpha]$  then  $t_1[\beta] = t_2[\beta]$



→ FD can be classified in 2 types: ① trivial ② non-trivial  
③ trivial functional dependencies.

- if  $\alpha \rightarrow \beta$  and  $\beta \subseteq \alpha$ , then dependency is trivial.
- $AB \rightarrow A$

② non-trivial functional dependencies

- If  $\alpha \rightarrow \beta$  and  $\beta \not\equiv \alpha$ , then dependency is non-trivial.
- $AB \rightarrow AB(C)$  → new attribute.

Problem, which options are correct:

A)  $A \rightarrow BC$

$X @ C \rightarrow DE$

A	B	C	D	E
a	2	3	4	5
2	a	3	4	5
a	2	3	6	5
a	2	3	6	6

shortcut to find whether dependency is true

① check value of  $\alpha$ .

② if all values of  $c$  are unique, dependency is true

③ Check value of  $\beta$

(ii) if all values of  $\beta$  are same, dependency is true.

Question: which options are valid

- (A)  $X \rightarrow Y \& Y \rightarrow Z \quad X$
- (B)  $Y \rightarrow X \& X \rightarrow Y \quad \checkmark$
- (C)  $Y \rightarrow X \& X \rightarrow Y \quad X$
- (D)  $X \rightarrow Y \& Y \rightarrow Z \quad X$

x	y	z
1	4	2
1	5	3
1	6	3
3	2	2

Question: which options are valid

- (A)  $A \rightarrow B \& BC \rightarrow A \quad X$
- (B)  $C \rightarrow B \& CA \rightarrow B \quad X$
- (C)  $B \rightarrow C \& AB \rightarrow C \quad \checkmark$
- (D)  $A \rightarrow C \& BC \rightarrow A \quad X$

A	B	C
1	2	4
3	5	4
3	7	2
1	4	2

attribute closure / closure of attribute set / closure of attribute set.

if  $A \rightarrow B$  and  $B \rightarrow C$  then  $A \rightarrow BC$ .

here A is called closure set  $(A)^+$

$$(A)^+ \text{ here} = ABC$$

question R(ABCDEF)

$$A \rightarrow B$$

$$(D)^+ = DAFB = \boxed{ABDF}$$

$$C \rightarrow DE$$

$$(DE)^+ = DAFBCE = \boxed{ABCDEF}$$

$$AC \rightarrow F$$

$$D \rightarrow AF$$

$$E \rightarrow CF$$

Armstrong Axioms / Rules

Primary Rule (RAT)

(1) Reflexivity:  $Y \subseteq X \Rightarrow X \rightarrow Y$

Secondary Rule:

(1) Union:  $X \rightarrow Y, X \supseteq Z \Rightarrow X \rightarrow YZ$

(2) Augmentation:  $X \rightarrow Y \Rightarrow XZ \rightarrow YZ$

(2) Decomposition,  $X \rightarrow YZ \Rightarrow X \rightarrow Y, X \rightarrow Z$

(3) Transitivity:  $X \rightarrow Y \& Y \rightarrow Z \Rightarrow X \rightarrow Z$

(3) Pseudotransitivity

$X \rightarrow Y \& Y \rightarrow Z \Rightarrow X \rightarrow Z$

then  $w/X \rightarrow Z$

## equivalence of functional dependances

F: R(ACDEH)

$$\begin{matrix} A \\ \rightarrow \\ C \end{matrix}$$

$$AC \rightarrow D$$

$$E \rightarrow AD$$

$$E \rightarrow H$$

$$G: A \rightarrow CD$$

$$E \rightarrow AH$$

$$(A)^+ \rightarrow ACD$$

$$(AC)^+ \rightarrow ACD$$

$$(E)^+ \rightarrow EAHC \rightarrow FCG$$

which one is true?

$$(a) F \subseteq G$$

$$(b) F \supseteq G$$

$$(c) F = G$$

$$(d) F \neq G$$

$$\begin{matrix} (A)^+ = ACD \\ (E)^+ = EADHC \end{matrix} \Rightarrow G \subseteq F$$

## R: R(PQRS)

$$x: P \rightarrow Q \quad \checkmark$$

$$Q \rightarrow R \quad \times$$

$$R \rightarrow S \quad \checkmark$$

$$y: P \rightarrow QR \quad \checkmark$$

$$R \rightarrow S \quad \checkmark$$

which one is true

$$\times \subset Y$$

$$\checkmark Y \subseteq X$$

$$\times \quad X = Y$$

$$d) X \neq Y$$

$$(P)^+ = PQRS$$

$$(Q)^+ = Q$$

$$(R)^+ = RS$$

$$\text{thus } Y \subseteq X$$

$$\text{thus } X \subseteq Y$$

## R: R(ABC)

$$F: A \rightarrow B \quad \checkmark$$

$$B \rightarrow C \quad \checkmark$$

$$C \rightarrow A \quad \checkmark$$

!

## R: R(VWXYZ)

$$F: W \rightarrow X \quad \checkmark$$

$$WX \rightarrow Y \quad \checkmark$$

$$Z \neq WY \quad \checkmark$$

$$Z \rightarrow V \quad \times$$

$$G: W \rightarrow XY \quad \checkmark$$

$$Z \rightarrow WX \quad \checkmark$$

$$(W)^+ = WXY$$

$$(WX)^+ = WXY$$

$$(Z)^+ = ZWX$$

$$(W)^+ = WXY \quad \times$$

$$(Z)^+ = ZWYX \quad \checkmark$$

$$F^+ = ABC$$

$$(A)^+ = ABC$$

$$B^+ = BCA$$

$$(B)^+ = BCA$$

$$C^+ = CAB$$

$$(C)^+ = CAB$$

$$F \subseteq G$$

$$G \subseteq F$$

$$F = G$$

$$F \neq G$$

$$G \subseteq F$$

Irreducible set of functional dependancies (canonical form)

→ if any functional dependancies contains some redundant elements, then we must remove it.

$R(WXYZ)$

$X \rightarrow W$

$WZ \rightarrow XY$

$Y \rightarrow WZX$

decomposed in diff relations

$Y \rightarrow X$

$Y \rightarrow Z$

$X \rightarrow W$

$WZ \rightarrow X$

$WZ \rightarrow Y$

$(X)^+ = XW$

$(X)^+ = X$

$(WZ)^+ = WZX$

$(WZ)^+ = WZY$

$(WZ)^+ = WZYX$

(ignoring first rel)

this shows that the dependancies

is essential

this means

$WZ \rightarrow X$  is redundant

Rule

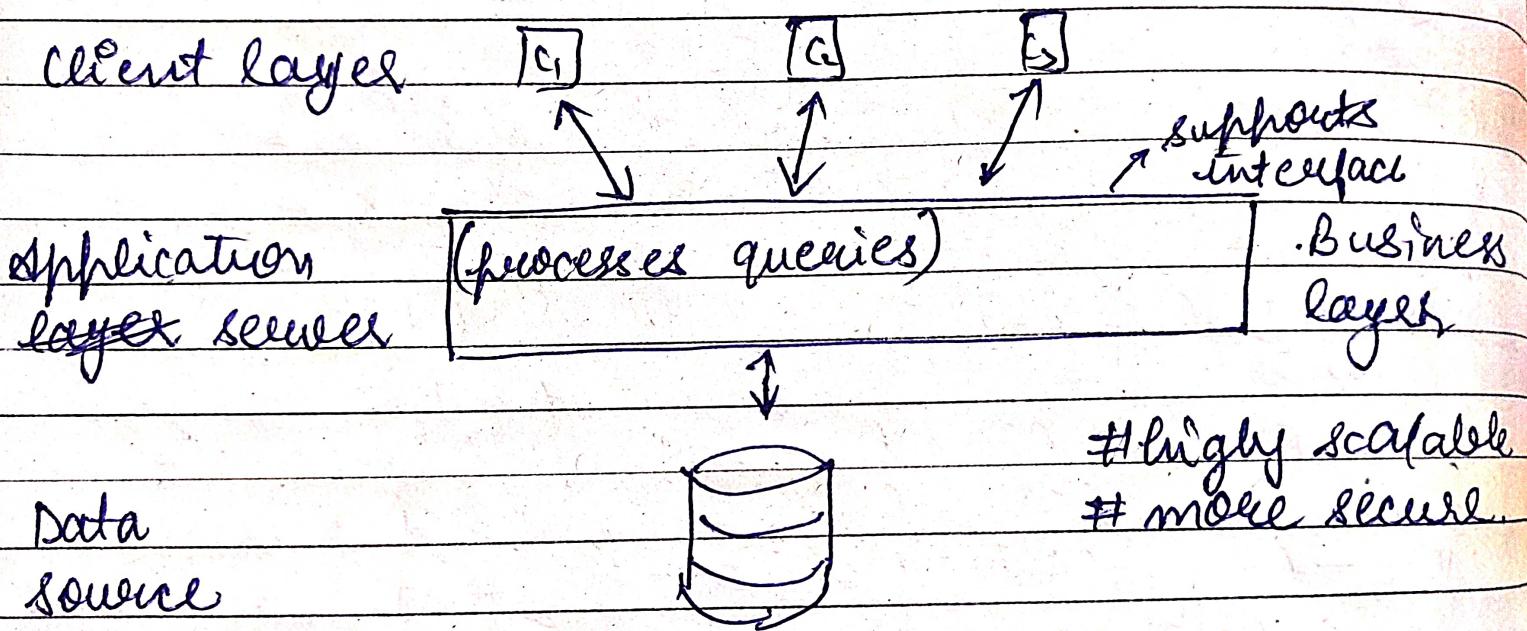
- ① Apply decomposition rule to each and every dependancy
- ② Find and compare closure of any any dependancy with and without dependancies
- ③ If it is same, then the dependancies is non-essential and vice versa.

Q.  $R(ABCD) \rightarrow$  find minimal canonical form

$A \rightarrow B$	$A \rightarrow B$ ✓	$A^+ = AB$	$A^+ = A$
$C \rightarrow B$	$C \rightarrow B$ ✓	$C^+ = CB$	$C^+ = C$
$D \rightarrow ABC$	$D \rightarrow A$ ✓	$D^+ = DABC$	$D^+ = DBC$
$AC \rightarrow D$	$D \rightarrow B$ ✓	$D^+ = DBAC$	$D^+ = DACB$
	$D \rightarrow C$ ✓	$D^+ = DCAB$	$D^+ = DAB$
	$AC \rightarrow D$ ✓	$AC^+ = ACDB$	$AC^+ = ACB$

$A \rightarrow B$	$(AC)^+ = ACD$	Minimal cover
$C \rightarrow B$	$(A)^+ = AB$	$\rightarrow A \rightarrow B$
$D \rightarrow A$	$(C)^+ = B$	$C \rightarrow B$
$D \rightarrow C$		$D \rightarrow AC$
$AC \rightarrow D$		$AC \rightarrow D$

## 3 tier architecture (3 level architecture)



## 3 schema architecture

→ Schema: structure (what is structure to store data)

1. Primary key.
  - used to identify one and only instance of entity uniquely
  - most suited key out of a set of keys
  - selection is based on requirement & developer

## 2. Candidate key.

- attribute / set of attributes uniquely identify tuple
- remaining attribute except primary key are considered as candidate key.

## 3. Super key.

- set of attributes that can uniquely identify tuple
- candidate key is superkey but superkey can't be candidate.

## 4. Alternate key.

- candidate key other than primary key is called alternate key

## 5. Foreign key.

- if an attribute can only take values present as values of some other attributes, it'll be foreign key to attributes to which it refers.

## Normalization

- remove redundancy / reduce redundancy's duplicate for a relation / set of relations
- redundancy causes insertion, deletion and updation anomalies.

## 1) First Normal Form (1NF)

- table should not contain any multivalued attributes.
- a table is in 1NF if every attribute in relation is single valued attribute

## Closure Method

- used to find all primary candidate keys in a table.

$R(A B C D)$

$$\{A \rightarrow B, B \rightarrow C, C \rightarrow D\} \text{ CK} = \{A\}$$

$$A^+ = ABCD \quad B^+ = BCD \quad C^+ = CD \quad D^+ = D$$

$AB = ABCD \rightarrow$  (But AB must be minimal so AB cannot be first candidate key)

## 2) Second Normal Form (2NF)

- table must be 1NF.
- table must not contain partial dependencies.

Partial dependencies: non prime attributes is dependent on proper subset of candidate key.

(AB)  $\rightarrow CK$

but  $A \rightarrow C \not\rightarrow CK$  thus there is partial dependency

$R(A B C D E F)$

$$FD \{C \rightarrow F, E \rightarrow A, EC \rightarrow D, A \rightarrow B\}$$

$$CK: FAD \not\rightarrow B \quad EC = FADB.$$

$$EC^+ = ABCDEF \quad \Rightarrow \quad \text{partial dependency}$$

## 3) Third Normal Form (3NF)

- a table is in 3NF, if there are no transitive dependencies for non prime attributes
- and table should be in 2NF.

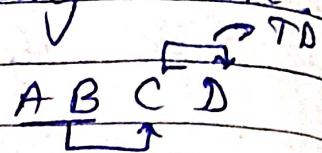
transitive dependency.

→ if any non prime attribute is being determined by another non prime attribute

+ non prime attribute is not participating in CK

$$R(ABCD) \quad FD: AB \rightarrow C \quad C \rightarrow D$$

$$CK = AB \quad PA = A, B \quad NPA = C, D$$



This table is not in 3NF

check for 3NF →

① LHS must be CK or SK. ② RHS must be prime attribute.

#### 4. Boyce-Codd normal form (BCNF)

→ special case of 3<sup>rd</sup> NF.

→ Table must be in 3NF.

→ every functional dependencies must have candidate key on LHS.

→ candidate can only determine all dependencies

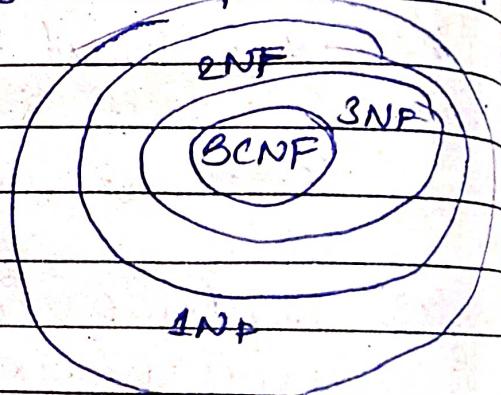
SQL.

→ most popular & widely used query language.

→ domain specific & not general purpose.

→ can define database, modify, insert and delete elements/table/database.

→ based on relational algebra



Parts of SQL

① DDL (Data definition language)

→ define a table in database.

→ can create, define, delete, modify schema of table

e.g.

② Data Manipulation Language (DML)

→ provide ability to query info from DB,

- insert, delete and modify tuple of database
  - weak off instance

③ TCL (Trans action control language) :  
includes commands for beginning and ending  
of transaction  
① rollback ② commit ③ checkpoint.

## ~~SQL~~ DQL (Data Query Language)

- for extracting a data from DB.

- Use of SELECT operation.

FROM operation and WHERE I/p —  
operation  
(at least  
one)  
Sd L      O/p.  
Query      One table.

SELECT (column name) from (Relation name) where  
(Condition)

- SQL is case insensitive.
  - SQL supports duplication in both I/p and O/p.

## SELECT clause

- pick column reqd. in result of query out of all columns in relations
  - o → all columns (\*)
  - supports simple arithmetic operation (no change in database)

Select all details from branches.

>> SELECT \* from BRANCHES;

~~SECRET~~

find the name of all customer who have account

7 Select name from depositor;

Distinct

find each l.no along with amount  
 > select l.no, amount from loan ;

find all account no. and balance with 6% year  
 > select account, balance \* 1.06 from account;

where clause.

- specify condition/predicate.
- can allow comparison operation ( $<$ ,  $\leq$ ,  $>$ ,  $\geq$ ,
- logical operators OR and AND and NOT
- between clause

Q. all a.no where balance is less than 1000

>> SELECT a.no. from account where balance < 1000;

Q. find b.name which is situated in delhi and  
 having assets  $<$  100,000

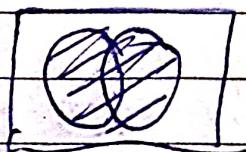
>> select b.name from branch where B.city  
 $\Theta = \text{'Delhi'}$  and ~~sales~~ assets  $<$  100,000;

Q. find branch and A.no where balance is  $\geq 1000$   
 and  $\leq 1000$

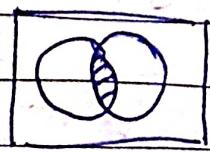
>> Select b.name, A.no from account where  
 balance between 100 and 1000.

SET operator.

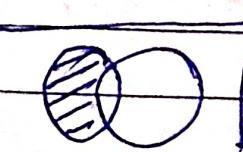
→ Union, Intersect, except, minus, set diff



A ∪ B



A ∩ B



A - B

- union intersection & minus automatically removes duplicate values.
- If we want to retain duplicates even after operation we write ~~all~~ operation

- ① Find all the customer name having an account or loan or both.
    - select cname from depositor union select cname from Borrower.
    - \* if we want not to eliminate duplicates, we use union all.
  - ② Find all the customer having both account and loan.
    - select cname from depositor intersect select cname from borrower.
  - ③ Find all the customers having an account but not loan.
    - select cname from depositor minus select cname from borrower.
- set operations are only applicable to 2 tables if they are compatible.  
+ they must have same no. of columns and same domain.

### Cartesian Product ('x')

- query on multiple relation
- combine each and every tuple from first relation with every possible tuple of second relation

- ① Find name of customers having branch in North Delhi.  
Select cname from Account, Depositor where bname = 'North Delhi' and account.no = Depositor.no

② find loan no and amount of loans which are from branch situated in delhi

> select lno, amount from loan, branch where b.city = 'Delhi' and loan.lname = branch.bname

③ find customer name which have loan from branch with assets < 100,000

> select cname

from branch, loan, Borrower  
where assets < 100000

and Branch.Bname = loan.Bname

and loan.lno = Borrower.lno.

Natural join.

→ works same as cartesian product but considers only those pair of tuples with same value on attributes that appear in schema

above queries with natural join

①

select cname

from account natural join depositor  
where branch.name = 'N-D'

② select loan\_no, amount

from loan natural join branch  
where b.city = "Delhi"

③ select cname

from Branch natural join loan natural join borrowed  
where assets < 100000

- no prefix required
- commutative in nature
- lead to data loss if attribute to be joined has different name or attribute present in both tables

### Inner join

- works same as cartesian product if not use "using" keyword
- allows us to choose attribute in order to eliminate redundant tuples

Q find the name of customer having loan amount < 1000

Select c.name

from borrower join loan using (lno)

where amount < 1000

on keyword (more powerful than using)

- allows us to use a general predicate over being joined relations

Q. write a SQL query to find all customer name having loan amount < 1000.

>> select cname

from loan ~~inner~~ join borrower ~~other~~

on loan.lno = borrower.lno and

~~where~~ loan amount < 1000.

→ no use of where

→ can express any predicate

→ improves readability of query.

Outer join

→ manage data loss from inner/natural joins

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left outer join (X), right outer join (X)  
full outer join (X)

left outer join

→ all the tuples from left table and matching tuples from right, in case there are no matching values, tuple is filled with null values

right outer join

→ all the tuples from right table and matching tuples from left, in case there are no matching values, tuple is filled with null values

full outer join

→ union of left and right outer join.

Rename operator

→ provide column or table with temporary name

→ only exist for a duration of query

→ used for comparing table with itself

→ used to provide name to columns that got selected as a result of operations on one or more columns

① Find account number and balance with 8% interest if bal < 1000

select a.no, (balance) ~~for~~ \* (1.08) as lowi  
from account

where balance < 1000 .

Q. Find customer name and loan amount.

→ select c\_name as customername, amount as loan-amount  
from loan, borrower where loan.acno = borrower.acno

Q. Find account no, bname and locid

→ select A.acno, B.bname, B.locid  
from Account, Branch as B  
where A.bname = B.bname

Q. Find maximum loan amount from bank.

→ (select A.amount  
from loan as A, loan as B  
where A.amount < B.amount.) ①

→ select amount from loan minus ①

→ SQL aliases are used to give temporary name  
to a table or column

→ exist for duration of query

→ used for comparing table with itself.

→ does not change anything in table.

### String Operation

→ enclose string in single quotes

→ equality operation may/may not be case sensitive

→ operation: concatenation, stripping, change case,  
find ~~length~~.length

→ pattern matching can be performed with strings  
using like operation.

→ like is case sensitive

→ % : match any substring

- : match with any character .

- specify double occurrence of comma in string  
 comma in string  
 'doesn't' → "doesn't"
- if want to use special character in string, use backslash (same with  $\%$ )  
 "ab%C" → ab\%C

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- Ordering the display of tuples (Order By) clause
- sort the results of query based on columns
  - ASC and DESC

- ① Find the name of all branches which are situated in "gilgit" in alphabet order.
- ⇒ select B-name from Branch where b.city = 'gilgit'  
 order by B-name.
- ordering can be done by multiple attributes.

### Query language.

- Languages in which user request some info from database
  - types: procedural & non procedural
- ① procedural : user instruct system to perform a sequence of operations in order to produce desired result.
- defines what to and how to retrieve data
- ② Non procedural : user describle desire info without giving any instruction of how to perform it.
- Relational model is conceptual framework and RDBMS is its implementation

Relational Model	RDBMS
RA, R.C.	SQL
Algo	code
conceptual	Reality
Theoretical	Practical

## Relational Algebra.

- theoretical framework.
- associated with relational model.
- defines no. of operations and also relation as operator
- every operator takes 1/2 table as i/p, it yields out results as table, without name.
- it is based on set theory thus no duplicates possible
- It is procedural query language
- no use of english key words.

Basic	derived
Select ( $\sigma$ )	Natural join ( $\Delta$ )
Project ( $\pi$ )	$\bowtie$ , $\bowtie^*$
union ( $\cup$ )	Intersection ( $\cap$ )
set difference (-)	division ( $\div$ )
cartesian product ( $\times$ )	
Rename ( $\theta$ )	

### Select operator. ( $\sigma$ )

- ⇒ unary operator so can take only one table.
- ⇒ fundamental operator.
- find tuples / rows from table which satisfy a particular condition
- $\sigma$  condition (table-name)