Final Project

In this project, I will be looking into the NYPD data set and strive to extract values out of the data. On the first part of this project, statistical and analytical approaches will be applied to the dataset, aiming at mining some useful information. On the second part of this project, a predictive model will be developed to address a specific task, which could be useful in reality. I will explain more on that as you read through this project.

Section 1: Data Analysis and Data Mining

The dataset is from ProPublica and records more than 12,000 civilian complaints filed against New York City police officers. The records span decades, from September 1985 to January 2020, and contains the information including complaint date, complaint type, age, ethnicity, and gender of both police officers and complainants.

The objective/research question of this project is to examine: Are the complaints made agaisnt the police officers more easy to be resolved for Women than to be resolved for Men?

I will answer this question by creating some exploratory data analysis (analyzing the statistics would help explain our questions) and conducting a few permutaiton tests for each category of complaint.

Based on our research setting, the most directly factors affecting the outcome (complain's disposition: Substantiated/ Unsubstantiated/ Exonerated) of a complaint is gender of complainants. On the other hand, we also recognize that there are other variables that might affect the substantiated rate, for example race of complainants, race of the police officer; however, we would only focused on the relationship between gender and complainant substantiated rate in this project.

I also regnozied that the result, inevitably, will come with certain bias as we ignore other genders beside female and male in the research question. We offer a way of thinking here but hopefully it

will also provide more insights to the readers on the concerned matter.

I have labelled each finding with a tag in a pair of square brackets so that you can easily find the corresponding code :)

Cleaning and EDA

Brief discription about our data cleaning processes

Fisrt of all, I did some very basic cleaning. I removed all the ages that are bellow 0 . Also,I took care of some wrong sheild numbers. [CLEAN I]

I also clean the "board_disposition" column. Since I am only interested in three main categories "exonerated", "substantiated", and "unsubstantiated", I have decided to aggregate small subcategories into one large categories. This greatly simplify our analysis while bear no undesirable repurcussion on the final coutcome. [CLEAN II]

[This cleaning step comes with ethical concern.so I only implement once] Since my analysis mainly focus on the gender inequality and racial discrimination, and also because of the fact that I do not have enough sample representing the minority gender here. I have decided to remove all minority gender for the sake of our analysis.(I will implement this step right before hypothesis and I will not do it at the begining so that every minority gender get represented fully during the EDA.)

let's ananlyze the demographic of our sample first through some diagrams and graphs

As you can observe from this diagram, the race composition of the officers who are being accused actually suggests what is opposite to a commonly held perception that White officer are more probable of being accused from the alleged wrongdoing.[Diagram 1]

Secondly, let's look at the ethinities of people who file the complaints against the officers. The mojority of the complainant are black people here, which mean black people may be more susceptible to injustice or unfair treatment than any other races are. [Diagram 2]

In my third analysis, I found that male are more likely to submit a complaint than female are. I need to take this factor into consideration. Because this might potentially bias my analysis regarding genders since men women or other genders are not equally represented in the given sample. [Diagram3]

Then I also look at the "Age Distribution among Complainant Genders". The majority of people who filed a complaint are round 25 to 45 regardless of their genders. [Diagram4] [Diagram 5]

Here is the interesting finding [Chart1 and Diagram 6]

Also, it worth noticing that among all the disposition of the filed complaints, the proportions that complaints are disposed as "substantiated" between men and women differ in a magnitude that I could not neglect, which is about 5 percent of difference. That is being said, the complaint brought by women might less likely to be "substantiated" than men are. Aside from that, data also shows that proportion of women whose complaints are unsubstantiated is higher than the corresponding prortion of their counterpart. Proportion which offciers who are substantiated of wrongdoing got away with those charges is much higher for the women than for the man. All those differences in our statistics suggest that there might be discrimination against general women population when comes to the disposition of a filed complaint. For that, we will conduct a hypothesis test to further investigate this finding.

This is a fllow-up investigation on the issue mentioned aboved. But this time, I will process the imformation at another level of granularity. Take an example to illustrate this, Say, what will the distribution of dispositions be like among the complaints that are about "Abuse of Power"?

Assessment of Missingness

Handle NI (non-ignorable) missingness [Additional Info needed]

I firmly believe that the variable "gender" have non-ignorable missingness. The reason is that sometimes it is hard tell someone's gender just by his or her appearence or you can hardly acquire this information without confronting any subjective issues. There might be a ambiguity in deciding what real gender is or someone just do not want their gender to be known by other people, which leads to the missing value In short, the missingness here actually depends on the

variable itself. If we can have some additional information such as the complainant's pronounce (provided by the complainant themself), we might be able to decisively figure out what's missing here.

Using permuation test to assess the missingness

case 1 (complainant_ethnicity vs mos_age_incident) (NO Dependence)(significance level 0.05)

I found that the missingness of the "complainant_ethnicity" is actually independent from variable "mos_age_incident". Graphically speaking, the distributions of missingness against variable "mos_age_incident" are quite similar, which suggests that those two distributions may be the same.(i.e they come from the same data generating process) And the p-value that I get from our permutation test also suggests that two distribution are likely to be identical.

(complainant_ethnicity vs year_received) (Strong Dependence) (significance level 0.05)

The missingness of "complainant_ethnicity" is strongly dependent on the variable "year_received". Graphically speaking, the distributions of missingness against variable "year_received" are quite different. And the small p-value (0) that we get from our permutation test also suggests that two distribution are likely to be different.

Hypothesis Test (Permutation test)

Because I want to examine if gender plays an important role in disposition substantiated rate (for the same allegation), thus I conduct a permutation test and shuffle the complainant_gender to compare the percentage of complaint made by male and female that are substantiated for each allegation categories. Since there are four allegation categories (Abuse of Authority, Discourtesy, Force, Offensive Language), I will perform four permutation tests in total and compare their outcomes.

One of the appropriate test statistics to use is difference in proportion. More explicitly, the difference in male substantiated rate and women substantiated rate. And the significance level we choose for the permutation tests is 0.05.

Null hypothesis: In the population, disposition substantiated rate of women complainant and men complainant have the same distribution.

- p1=p2 or p1-p2=0
 - p1 stands for male complainant substantiated rate
 - p2 stands for female complainant substantiated rate

Alternative hypothesis: In the population, women usually have less complainant substantiated rate than men have. p1-p2 > 0

Results: p-values for Abuse of Authority, Discourtesy, Force and Offensive Language are 0.0, 0.012, 0.005, and 0.465

Conclusion:

- Because the p-values for Abuse of Authority, Discourtesy and Force are all much smaller than the 0.05, the statistical test gives us sufficient evidence to reject the null, which states disposition substantiated rate of women complainant and men complainant have the same distribution.
- However, since the p-value for Offensive Language (0.4715) is greater than 0.05, the test failed to reject the null hypothesis test for this category of complaint and agree that disposition substantiated rate of women complainant and men complainant have the same distribution.

Code

```
In [1]: import matplotlib.pyplot as plt
import numpy as np
import os
import pandas as pd
import seaborn as sns
%matplotlib inline
%config InlineBackend.figure_format = 'retina' # Higher resolution figures
```

```
In [2]: # Before doing anyting, let's import the data
path=os.path.join("data", "allegations_202007271729.csv")
allegations=pd.read_csv(path)
```

Cleaning and EDA

we cleaned the following variables:

- complainant_age_incident: replace ages that are under 12 to np.nan to exclude negative ages and unrealistic ages.
- board_disposition: keep general information, only including Substantiated, Exonerated, Unsubstantiated for further analysis.
- shield no: replace invaild shield no (<=0) to np.nan

EDA Graphs:

- Univariate Analysis:
 - distribution of officers ethnicity
 - distribution of ethnicity of complainant
 - distribution of complainant gender
 - Distribution of complainant age
- Bivariate Analysis:
 - age distribution among Complainant Genders
 - Disposition result over famle and male
- Bivariate Analysis & Interesting Aggregates
 - Stacked barplot of substantiated rate among different fado reasons (category of complaint)
 - Chart1

	complainant_gender	fado_type	allegation	board_disposition
0	Female	Abuse of Authority	Failure to provide RTKA card	Substantiated (Command Lvl Instructions)
1	Male	Discourtesy	Action	Substantiated (Charges)
2	Male	Offensive Language	Race	Substantiated (Charges)
3	Male	Abuse of Authority	Question	Substantiated (Charges)
4	NaN	Force	Physical force	Substantiated (Command Discipline A)
33353	Male	Discourtesy	Word	Unsubstantiated
33354	Male	Abuse of Authority	Interference with recording	Unsubstantiated
33355	Male	Abuse of Authority	Search (of person)	Substantiated (Formalized Training)
33356	Male	Abuse of Authority	Vehicle search	Substantiated (Formalized Training)
33357	Male	Abuse of Authority	Frisk	Substantiated (Formalized Training)

33358 rows × 4 columns

```
In [4]: # CLEAN 1
    # Some cleaning work are necessary
    # remove the invalid age first. Remove all ages that are under 12
    allegations['complainant_age_incident'] = allegations['complainant_age_incident'].apply(lambda x: x if x > 11 else np.nan)
```

```
In [5]: # CLEAN II
# cleaning disposition: keep general disposition -- Substantiated, Exon
erated, Unsubstantiated
```

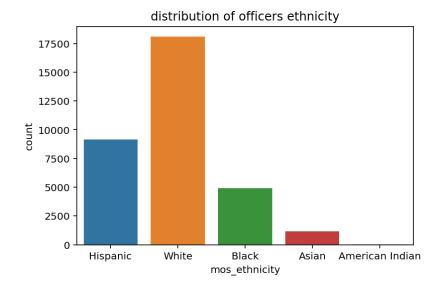
```
allegations['board_disposition'] = allegations['board_disposition'].app
ly(lambda x: x.split(' ')[0])
```

```
In [6]: # ClEAN III
# replace the shield_no which is equal or less than 0 to np.nan
allegations['shield_no'] = allegations['shield_no'].apply(lambda x: x i
f x > 0 else np.nan)
```

```
In [7]: # Diagram 1 - Univariate Analysis
# ethnicity composition of complainted officers in NYPD

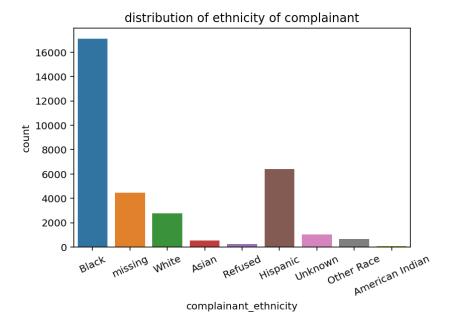
ax = sns.countplot(x = "mos_ethnicity", data = allegations)
ax.set_title('distribution of officers ethnicity')
```

Out[7]: Text(0.5, 1.0, 'distribution of officers ethnicity')



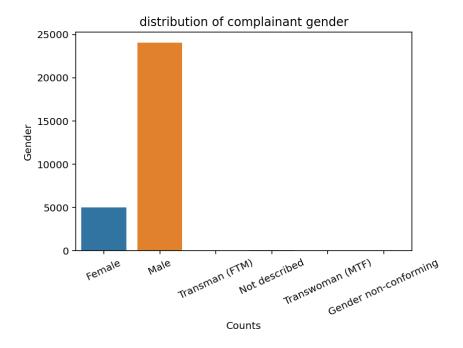
```
ax = sns.countplot(x = "complainant_ethnicity", data = temp)
plt.xticks(rotation=25)
ax.set_title('distribution of ethnicity of complainant')
```

Out[8]: Text(0.5, 1.0, 'distribution of ethnicity of complainant')



```
In [9]: # disgram 3 - Univariate Analysis
# Let's look at the gender distribution of complainants
ax = sns.countplot(x='complainant_gender', data=allegations);
ax.set_title('distribution of complainant gender')
plt.xticks(rotation=25)
ax.set_ylabel('Gender')
ax.set_xlabel('Counts')
```

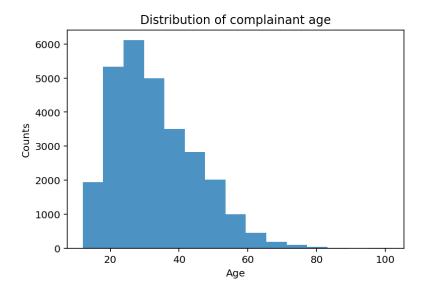
Out[9]: Text(0.5, 0, 'Counts')

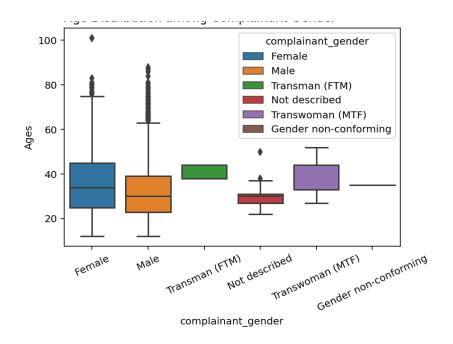


```
In [10]: # disgram 4 - Univariate Analysis
    # distribution of age in total
    plt.hist(allegations['complainant_age_incident'], bins=15, alpha=0.8)
    plt.title('Distribution of complainant age')
    plt.xlabel('Age')
    plt.ylabel('Counts')

C:\Users\RUI\anaconda3\lib\site-packages\numpy\lib\histograms.py:839: R
    untimeWarning: invalid value encountered in greater_equal
        keep = (tmp_a >= first_edge)
    C:\Users\RUI\anaconda3\lib\site-packages\numpy\lib\histograms.py:840: R
    untimeWarning: invalid value encountered in less_equal
        keep &= (tmp_a <= last_edge)

Out[10]: Text(0, 0.5, 'Counts')</pre>
```





Out[12]:

board_disposition Exonerated Substantiated Unsubstantiated

complainant_gender

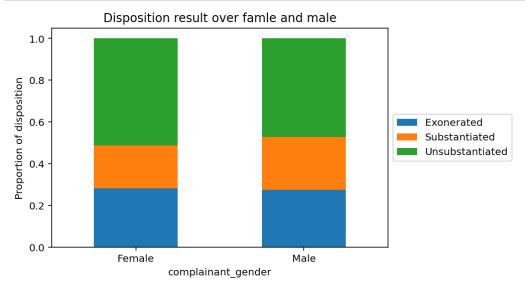
Female	0.281816	0.205537	0.512647

Graphs directly related to our hypothesis:

Because our research question (Are the complaints of women more successful than men (for the same allegations?)) only focus on women and men, in our complainant_gender category, we would also just focus on the female and male to exam.

- Graphs to include:
 - Bar graph of count of female and male complains over final disposition
 - Bar graph of normalized female and male complains over final disposition

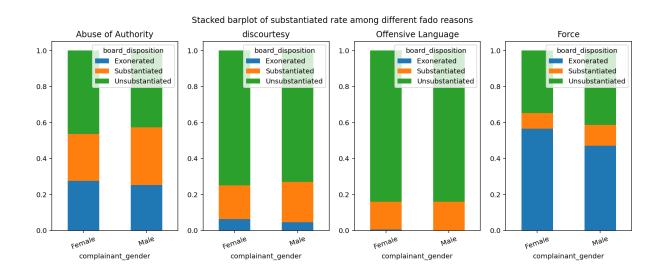
```
left', bbox_to_anchor=(1.0, 0.5))
ax.set_ylabel("Proportion of disposition");
```



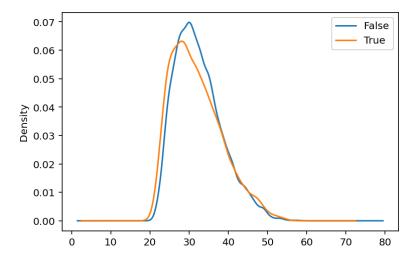
```
In [15]: # diagram 6 - Bivariate Analysis & Interesting Aggregates
         # multivariate analysis. does our statistics looks different at differe
         nt level of granularity
         # generate the dataset among four different fado types(category of comp
         laint)
         abuse data = gender[gender['fado type'] == 'Abuse of Authority']
         abuse = abuse data.pivot table(
                 values="unique mos id",
                 index="board disposition",
                 columns="complainant gender",
                 aggfunc="count"
         abuse = (abuse/abuse.sum()).T
         discourtesy data = gender[gender['fado type'] == 'Discourtesy']
         discourtesy = discourtesy data.pivot table(
                 values="unique mos id",
                 index="board disposition",
```

```
columns="complainant gender",
        aggfunc="count"
discourtesy = (discourtesy/discourtesy.sum()).T
discourtesv
offensive data = gender[gender['fado type'] == 'Offensive Language']
offensive = offensive data.pivot table(
        values="unique mos id",
        index="board disposition",
        columns="complainant gender",
        aggfunc="count"
offensive = (offensive/offensive.sum()).T
offensive
force data= gender[gender['fado type'] == 'Force']
force = force data .pivot_table(
        values="unique mos id",
        index="board disposition",
        columns="complainant gender",
        aggfunc="count"
force = (force/force.sum()).T
force
# generate the plot
fig, axes = plt.subplots(1, 4)
abuse.plot(ax = axes[0],kind='bar', stacked=True, rot=20,title = 'Abuse
of Authority', figsize = (15,5))
discourtesy.plot(ax = axes[1],kind='bar', stacked=True, rot=20, title =
'discourtesy')
offensive.plot(ax = axes[2],kind='bar', stacked=True, rot=20, title =
'Offensive Language')
force.plot(ax = axes[3],kind='bar', stacked=True, rot=20, title = 'Forc
e')
fig.suptitle('Stacked barplot of substantiated rate among different fad
o reasons')
```

Out[15]: Text(0.5, 0.98, 'Stacked barplot of substantiated rate among different



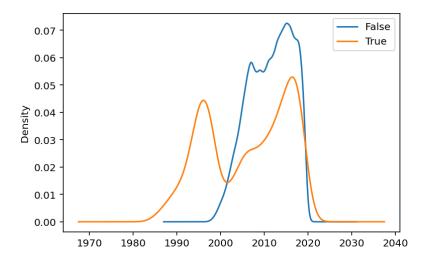
Assessment of Missingness



```
In [18]: group_A=test_df[test_df["is_null"]==True]["mos_age_incident"]
    group_B=test_df[test_df["is_null"]==False]["mos_age_incident"]
    ks_statistic=ks_2samp(group_A, group_B).statistic
    ks_statistic
```

Out[18]: 0.06591802120369275

```
ge incident"]
             group B null=shuffled table[shuffled table["is null"]==False]["mos
         age incident"]
             ks statistic null=shuffled table.groupby("is null")["mos age incide
         nt"].mean().diff().iloc[-1]
             simulations2.append(ks statistic null)
In [20]: # get the p value
         vectorized simulations2=pd.Series(simulations2)
         p value=(ks statistic<=vectorized simulations2).mean()</pre>
         p value
Out[20]: 0.259
In [21]: # permutation test [complainant ethnicity vs. year received]
         # Basic plan: plot the distribution of mos age incident vs complainant
         ethnicity
         null vector=allegations["complainant ethnicity"].isnull()
         test df=allegations.assign(is null=null vector)
         test df.groupby("is null").year received.plot(kind="kde",legend=True)
Out[21]: is null
         False
                  AxesSubplot(0.125,0.125;0.775x0.755)
                  AxesSubplot(0.125,0.125;0.775x0.755)
         True
         Name: year received, dtype: object
```



```
In [22]: group_A=test_df[test_df["is_null"]==True]["year_received"]
    group_B=test_df[test_df["is_null"]==False]["year_received"]
    ks_statistic=ks_2samp(group_A, group_B).statistic
    ks_statistic
```

Out[22]: 0.3466206227037251

```
In [23]: num_repetitions=1000
    simulations2=[]
    for i in range(num_repetitions):
        # first and foremost, we must shuffle the column of age
            shuffled_col=test_df["year_received"].sample(replace=False, frac=1)
            .reset_index(drop=True)
            # put them in a table
            shuffled_table=allegations.assign(**{"year_received":shuffled_col,
            "is_null":allegations['complainant_ethnicity'].isnull()})
            # compute a different statistic
            group_A_null=shuffled_table[shuffled_table["is_null"]==True]["year_received"]
            group_B_null=shuffled_table[shuffled_table["is_null"]==False]["year_received"]
            ks_statistic_null=shuffled_table.groupby("is_null")["year_received"]
```

Hypothesis/Permutation Test

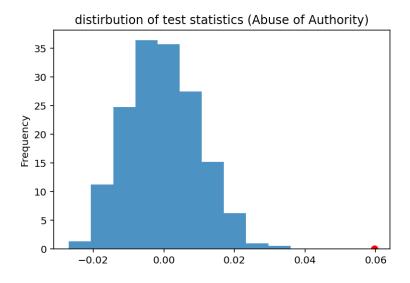
Four permutaion tests for each disposition:

- Abuse of Authority
- Discourtesy
- Offensive Language
- Force

```
shuffled = (
       abuse data
        .assign(**{'shuffled gender': shuffled gender})
   group counts =(shuffled.pivot table(
        values="fado type",
       index="board disposition",
        columns="shuffled gender",
        aggfunc="count"
   ))
   group means = (group counts/group counts.sum()).T
   difference = group means.diff().iloc[-1][1]
   stats.append(difference)
p value = (pd.Series(stats) >= obs).mean()
p value abuse = p value
print('p-value: %f' % p value)
pd.Series(stats).plot(kind='hist', density=True, alpha=0.8, title = 'di
stirbution of test statistics (Abuse of Authority)')
plt.scatter(obs, 0, color='red', s=40);
```

board_disposition Exonerated Substantiated Unsubstantiated complainant gender

Female	0.275875	0.261297	0.462828
Male	0.252910	0.320964	0.426126



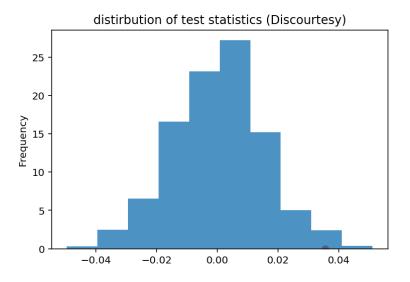
```
In [26]: # allegation type: 'discourtesy'
         display(discourtesy)
         discourtesy data = discourtesy_data[['fado_type','complainant_gender',
         'board disposition']].reset index()
         # find test statistics: difference in proportion (male - female)
         size = discourtesy data.shape[0]
         obs = discourtesy.diff().iloc[-1][1]
         stats = []
         for in range(1000):
             # shuffle the gender
             shuffled gender = (
                 discourtesy data['complainant gender']
                 .sample(replace=False, frac=1)
                 .reset_index(drop=True)
             # put them in a table
             shuffled = (
                 discourtesy data
                 .assign(**{'shuffled gender': shuffled gender})
```

```
group_counts =(shuffled.pivot_table(
    values="fado_type",
    index="board_disposition",
    columns="shuffled_gender",
    aggfunc="count"
))
group_means = (group_counts/group_counts.sum()).T
difference = group_means.diff().iloc[-1][1]
stats.append(difference)

p_value = (pd.Series(stats) >= obs).mean()
p_value_discourtesy = p_value
print('p-value: %f' % p_value)
pd.Series(stats).plot(kind='hist', density=True, alpha=0.8, title = 'di
stirbution of test statistics (Discourtesy)')
plt.scatter(obs, 0, color='red', s=40);
```

board_disposition Exonerated Substantiated Unsubstantiated complainant_gender

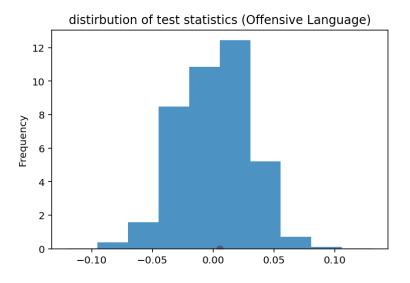
Female	0.063851	0.187623	0.748527
Male	0.046144	0.223289	0.730567



```
In [27]: # allegation type: 'offensive'
         display(offensive)
         offensive data = offensive data[['fado type','complainant gender','boar
         d_disposition']].reset_index()
         # find test statistics: difference in proportion (male - female)
         size = offensive data.shape[0]
         obs = offensive.diff().iloc[-1][1]
         stats = []
         for in range(2000):
             # shuffle the gender
             shuffled gender = (
                 offensive data['complainant gender']
                 .sample(replace=False, frac=1)
                 .reset index(drop=True)
             # put them in a table
             shuffled = (
                 offensive data
                 .assign(**{'shuffled_gender': shuffled_gender})
```

board_disposition Exonerated Substantiated Unsubstantiated complainant_gender

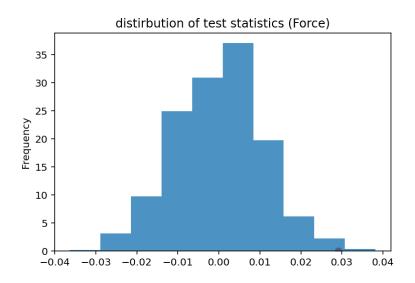
Female	0.004695	0.154930	0.840376
Male	NaN	0.160183	0.839817



```
In [28]: # allegation type: 'Force'
         display(force)
         force_data = force_data[['fado_type','complainant_gender','board dispos
         ition']].reset index()
         # find test statistics: difference in proportion (male - female)
         size = force data.shape[0]
         obs = force.diff().iloc[-1][1]
         stats = []
         for in range(2000):
             # shuffle the gender
             shuffled gender = (
                 force data['complainant gender']
                  .sample(replace=False, frac=1)
                  .reset index(drop=True)
             # put them in a table
             shuffled = (
                 force data
                  .assign(**{'shuffled_gender': shuffled_gender})
```

board_disposition Exonerated Substantiated Unsubstantiated complainant_gender

Female	0.565966	0.086998	0.347036
Male	0.471483	0.116142	0.412375



Out[29]:

p_value Reject/FTR

uisposition		
Abuse of Authority	0.000	Reject
Discourtesy	0.012	Reject
Force	0.005	Reiect

dienocition

Section 2 Predictive Model

Introduction

- In this part, I am trying to predict the outcome of the allegations that are sent to NYPD officers who are being accused of wrongdoing and misdemeanor. The problem is going to be a classification problem with the goal to classify whether a given allegation will be 1 (meaning substantiated) or 0 (meaning other outcomes besides substantiated). The model will saves time and energy for the invesitgators from police department when they are trying to figuring out if the accused wrongdoing actually occured. If the outcome of a prediction gives you 1 (substantiated), then you know that the allegation you have is likely to be true and with careful investigation, the allegation might be substantiated with the given charges.
- After building the model, I will carefully examine its effectiveness and moral implications. That is being said that a good model designed to solve attempted probelm should be good at accomplishing two goals: First, my model must reach certain amount of precision and accuracy with respect to different subpopulations with which my model is trying to predict. Second, it should be and must be fair enough. I will do pairty test on our model and see if the model is lack of fairness or bias against certain subgroups. Should the model turns out to be not fair enough, additional processes are needed to make sure that people whose welfare depends on the ourcome of this model, are being treated fairly and responsibly.
- To be more specific, the metrics to evaluate the performance of our model are accuracy, recall, specificity, precison as well as f1 score. On the other hand, in oder to evaluate the fairness of my model, applications of parity test and permutation test will play important roles in our assessment. The result will be demonstrated below.
- my objective for the final model is about 82 percent overall accuracy with high specificity. My final model will reach this accuracy.

Baseline Model

- In baseline Model, I am using 8 features in total
- The nominal features I used for building our model are: 'rank_incident',
 'mos_ethnicity', 'mos_gender', 'complainant_ethnicity',
 'complainant_gender', and 'fado_type'. Because those variables are nominal, I
 will use one-hot encoder to encode them in the pipeline.
- The **quantitative feature** I used on building the model are 'mos_age_incident' and complainant_age_incident'. I will leave it as is and use them directly in our prediction.
- The mean accuracy score baseline model scores only about 69%, which is ill-performed.
 Clearly, I need some improvements on the feature selection process and more useful feature engineering on my models, and in later part I plan to use grid search to find the best perameters combination for my model.
- *I get 5173 true negative, 1031 false positive, 1412 false negative, and 654 true positive (might change if re-run). The outcome here is very concerning as both false positive and false negative count is high and true positive is small.
- In **false positive case**, if the allegation outcome is not substantiated(0), but my model lebels the allegation as 1 (Substantiated), this would cause the officer to be punished when he or she did not do wrong.
- In **false negative case**, if the allegation outcome is substantiated(1), but my model lebels the allegation as 0 (not substatantiated), this would cause the wrongdoing officer not to be punished when he or she did do something wrong.
- *In conlusion, bad performance on predicting certain outcome and lack of overall accuracy tells us that this baseline model needs improvements. Below are the evaluation metrics being used: Accuracy Score: 0.704; Recall:0.3267; Specificity:0.8288 Precision:0.387. False Discovery Rate:0.613.

Final Model

Feature Added:

I would like to utilize more variables from our dataset; thus I choosed the feature year_received to add into the model. I believe that this features would capture some characteristics that influence the allegation outcome. In certain year, depending on the judge, would substantiated more allegations; whereas in other year, the judge is more strict and would substatiated less legations.

- In the final Model, we **engineered** on two new features:
 - time_elapsed: calculate the time difference between case received and case closed in years using 'year_closed', 'month_closed','year_received','month_received'. I believe that the time between the case received and closed is an extremly important factor that influences the outcome. Substantiated cases might take less time to determine, whereas the cases that are failed to substantiated might take longer to examine the detail and make decision.
 - I also take logarithm on complainant_age_incident** to normalized complainants' age distribution, since the original data is skewed and might have some variation in range
- By try different model type and fit it into our pipeline, I conclude that
 RandomForestClassifier is the best model in the case as it ended up with the highest accuracy score among all the classifier I tested (*DecisionTreeClassifier: 0.750, RandomForestClassifier: 0.808, KNeighborsClassifier: 0.774,LogisticRegression:0.762,svc:0.765,GradientBoostingClassifier:0.772,LGBMClassifier:0
- *By doing **grid search**, the best parameters combination is tree__max_depth': None, 'tree__min_samples_leaf': 1, 'tree__min_samples_split': 2, tree n estimators=200.
- The overall performance increases in my final model. Here are the statistics we used to assess our model: Accuracy score: 0.82; Specificity:0.953; Precision:0.741; FDR; 0.258.

Fairness Evaluation

- The prediction accuracies must be the same when you are trying to make a prediction for different subgroups of the entire populations
- With this key interest in mind, let's examine the fairness issue by looking at the below
 question: Does the accuracy depend on complainants' age? I will solve this problem by
 doing a permutation tests. In this question, we define two age groups. One is called "Old"
 and the other is called "Young". The cut line between two group is 21 years old. If you are
 older than 21, then you are being regarded as "Old", but if you are younger than 21, then
 you are "Young".
- Null hypothesis: Complainants from different age groups will experience same model accuracies from its prediction. Alternative hypothesis: Complainants from different age groups will experience different model accuracies from its prediction.

The P value of permutation test is 0.1446, which suggests that,no matter what group does
certain allegation belong to, my model always gives roughly the same prediction
accuarcy,meaning that my model is fair for the complainants came from different age
groups.

Demo

The demo part is at the end of my report, which, basically, is a demonstration of the appliactions of my predictive model with emphasis on its real world implications.

Code

```
In [30]: import matplotlib.pyplot as plt
         import numpy as np
         import os
         import pandas as pd
         import seaborn as sns
         import re
         from sklearn.tree import DecisionTreeClassifier
         from sklearn.neighbors import KNeighborsClassifier
         from sklearn.ensemble import RandomForestClassifier
         from sklearn.model selection import train test split
         from sklearn.preprocessing import FunctionTransformer
         from sklearn.linear model import LogisticRegression
         from sklearn.preprocessing import StandardScaler
         from sklearn.preprocessing import OneHotEncoder
         from sklearn.pipeline import Pipeline
         from sklearn.compose import ColumnTransformer
         from sklearn.decomposition import PCA
         from sklearn.naive bayes import GaussianNB
         from sklearn import svm
         from xgboost import XGBClassifier
         from sklearn.ensemble import GradientBoostingClassifier
         from lightqbm import LGBMClassifier
```

%matplotlib inline
%config InlineBackend.figure_format = 'retina' # Higher resolution fig
ures

In [31]: allegations

Out[31]:

	unique_mos_id	first_name	last_name	command_now	shield_no	complaint_id	month_re
0	10004	Jonathan	Ruiz	078 PCT	8409.0	42835	
1	10007	John	Sears	078 PCT	5952.0	24601	
2	10007	John	Sears	078 PCT	5952.0	24601	
3	10007	John	Sears	078 PCT	5952.0	26146	
4	10009	Noemi	Sierra	078 PCT	24058.0	40253	
33353	9992	Tomasz	Pulawski	078 PCT	2642.0	35671	
33354	9992	Tomasz	Pulawski	078 PCT	2642.0	35671	
33355	9992	Tomasz	Pulawski	078 PCT	2642.0	35671	
33356	9992	Tomasz	Pulawski	078 PCT	2642.0	35671	
33357	9992	Tomasz	Pulawski	078 PCT	2642.0	35671	

33358 rows × 27 columns

To construct the classifier, I have to impute the missingness:

- Drop the null values if the number of missingness is relative small (under 1000):
 - allegation
 - precinct
 - contact reason
 - outcome_description
- categorical variable (command at incident, complainant ethnicity, complainant gender):
 - making another category ('missing') to fill the missing values
- quantative variables (complainant_age_incident):
 - probabilistic Imputation to perserve the original mean and variance

```
In [32]: allegations = allegations.dropna(subset = ['allegation', 'precinct', 'co
         ntact_reason','outcome description'])
In [33]: # categorical variables fillna with missing
         allegations = allegations.assign(**{"command at incident": allegations[
          'command at incident'].fillna('missing'),
                                            'complainant ethnicity': allegations[
          'complainant ethnicity'].fillna('missing'),
                                            'complainant gender': allegations['com
         plainant gender'].fillna('missing')})
         allegations['complainant age incident'] = allegations['complainant age
In [341:
         incident'].apply(lambda x: x \text{ if } x > 0 \text{ else } np.nan)
In [35]: # use probabilistic to impute quantative variables
         # number of nulls
         num null = allegations['complainant age incident'].isnull().sum()
         # draw fill vals from distribution
         fill values = allegations['complainant age incident'].dropna().sample(n
         um null, replace=True)
         # align the index, which is missing?
```

```
fill_values.index = allegations.loc[allegations['complainant_age_incide
nt'].isnull()].index
allegations = allegations.fillna({'complainant_age_incident': fill_valu
es.to_dict()}) # fill the vals
```

```
In [36]: # Fill nan value of the remaining categorical variables
allegations=allegations.fillna("Missing")
```

To predict wheather the case is substanstiated or not, we replace board_disposition by 1 if that case is substantitated, else 0.

```
In [37]: allegations['board_disposition'] = allegations['board_disposition'].app
ly(lambda x: 1 if x == 'Substantiated' else 0)
```

Baseline Model

```
In [38]: # one-hot encode
         one hot feat = ['rank incident', 'mos ethnicity', 'mos gender',\
                          'complainant ethnicity', 'complainant gender', 'fado typ
         e'1
         age feat = ['mos age incident','complainant age incident']
         preproc = ColumnTransformer(transformers=[('one-hot', OneHotEncoder(spa
         rse=False, handle unknown='ignore'), one hot feat),
                                                        ('age', FunctionTransform
         er(lambda x:x), age feat)])
         pl = Pipeline([
                      ('preprocessor', preproc),
                     ('tree', DecisionTreeClassifier())
         1)
         # features
         X = allegations.drop('board disposition', axis=1)
         # outcome
         y = allegations.board disposition
```

```
# split train/test set
         X train, X test, y train, y test = train test split(X, y)
         pl.fit(X train, y train)
         display(pl.score(X train, y train))
         display(pl.score(X test, y test))
         0.912652666371075
         0.7045949214026602
In [39]: from sklearn import metrics
In [40]: # evaluate the model
         preds = pl.predict(X test)
         display('Proportion of predictions that are correct: {}'.format(metrics
         .accuracy score(y test, preds)))
         print('Confusion matrix(Counts of TN/FP/FN/TP): \n{}'.format(metrics.co
         nfusion matrix(y test, preds)))
         display('Recall score (TP/P): {}'.format(metrics.recall score(y test, p
         reds)))
         print('Specificity score (TN/N): {}'.format(metrics.recall score(y test
         , preds, pos label=0)))
         display('Precision: {}'.format(metrics.precision score(y test, preds)))
         print('FDR: {}'.format(1 - metrics.precision score(y test, preds)))
         recall=metrics.recall score(y test, preds)
         precision=metrics.precision score(y test, preds)
         F1=2*((recall)*(precision))/(recall+precision)
         print('F1 score: {}'.format(F1))
         'Proportion of predictions that are correct: 0.7045949214026602'
         Confusion matrix(Counts of TN/FP/FN/TP):
         [[5173 1031]
          [1412 654]]
         'Recall score (TP/P): 0.3165537270087125'
         Specificity score (TN/N): 0.8338168923275306
         'Precision: 0 3881305637082106'
```

1166737011 013007303031305730

FDR: 0.6118694362017805

F1 score: 0.3487070114636097

Final Model-- Selections and investigations

Feature Engineering:

- **time_elapsed**: calculate the time difference between case received and case closed in years using 'year_closed', 'month_closed', 'year_received', 'month_received'.
- take logarithm on both mos_age_incident and complainant_age_incident to normalized both the officers and complainants' age distribution.

```
In [41]: # First try
         def final model(allegations, model):
             # feature engineering one
             time feat = ['year closed', 'month closed', 'year received', 'month r
         eceived']
             def time elapsed(df):
                 return (df['year_closed']+df['month_closed']/12 - df['year_rece
         ived']-df['month received']/12).to frame()
             # one hot features
             one hot feat = ['mos ethnicity','complainant ethnicity','fado type'
                              'outcome description', 'precinct', 'year closed']
             # taking log
             log feat = ['mos age incident','complainant age incident']
             # column transform
             # with feature engineering two, logging officers' and complainants'
         ages to normalized the data
             preproc = ColumnTransformer(transformers=[('one-hot', OneHotEncoder
          (sparse=False, handle unknown='ignore'), one hot feat),
                                                        ('log', FunctionTransform
```

```
er(lambda x:np.log(x)), log feat),
                                            ('time elapsed', FunctionTr
ansformer(time elapsed), time feat)])
    pl = Pipeline([
            ('preprocessor', preproc),
            ('tree', model)])
    # features
   X = allegations.drop({'board disposition'}, axis=1)
    # outcome
   y = allegations.board disposition
   # split train/test set
   X train, X test, y train, y test = train test split(X, y)
    pl.fit(X train, y train)
   #.score Return the mean accuracy on the given test data and labels.
   display('-----'.format(str(model)))
    display('Mean accuracy in train data : {:.3f}'.format(pl.score(X tr
ain, y train)))
    display('Mean accuracy in test data : {:.3f}'.format(pl.score(X tes
t, y test)))
final model(allegations, RandomForestClassifier())
```

'------RandomForestClassifier()------

'Mean accuracy in train data : 0.951'

'Mean accuracy in test data : 0.790'

Below is the most optimal combinations of features. Believe me, I have been tried an hour to get this combination. I deleted features that are not so useful in improving the accuracy of my model and add some more one-hot encoded features, like allegations and contact reasons.

```
time feat = ['year closed', 'month closed', 'year received', 'month r
eceived'l
    def time elapsed(df):
        return (df['year_closed']+df['month_closed']/12 - df['year_rece
ived']-df['month received']/12).to frame()
    # one hot features
    one hot feat = ['fado type',\
                    'outcome description', 'precinct', 'year closed', 'con
tact reason', "allegation"]
    # taking log
    log feat = ['complainant age incident']
    # column transform
    # with feature engineering two, logging officers' and complainants'
ages to normalized the data
    preproc = ColumnTransformer(transformers=[('one-hot', OneHotEncoder
(sparse=False, handle unknown='ignore'), one hot feat),
                                              ('log', FunctionTransform
er(lambda x:np.log(x)), log feat),
                                             ('time elapsed', FunctionTr
ansformer(time elapsed), time feat)])
    pl = Pipeline([
            ('preprocessor', preproc),
            ('tree', model)])
    # features
   X = allegations.drop({'board disposition'}, axis=1)
    # outcome
    y = allegations.board disposition
    # split train/test set
    X train, X test, y train, y test = train test split(X, y)
    pl.fit(X train, y train)
    #.score Return the mean accuracy on the given test data and labels.
    display('-----'.format(str(model)))
    display('Mean accuracy in train data : {:.3f}'.format(pl.score(X tr
ain, y train)))
    display('Mean accuracy in test data : {:.3f}'.format(pl.score(X tes
```

```
t, y_test)))
final model(allegations, RandomForestClassifier(n jobs=-1))
'------RandomForestClassifier(n jobs=-1)------'
'Mean accuracy in train data : 0.992'
'Mean accuracy in test data: 0.808'
Search for the best model and parameters using the pipeline.

    DecisionTreeClassifier
```

- - RandomForestClassifier
- KNeighborsClassifier
- LogisticRegression
- SupportVectorMachine
- XGBbooster
- lightGBM

```
In [139]: | models = [DecisionTreeClassifier(),RandomForestClassifier(),KNeighborsC
         lassifier(),LogisticRegression(max iter=2000),svm.SVC(),GradientBoostin
         gClassifier(),LGBMClassifier()]
         for i in models:
             final model(allegations, i)
         '-----'
         'Mean accuracy in train data: 0.991'
         'Mean accuracy in test data: 0.750'
         '------RandomForestClassifier()------
         'Mean accuracy in train data : 0.991'
         'Mean accuracy in test data : 0.817'
         '-----'
KNeighborsClassifier()------'
```

```
'Mean accuracy in train data: 0.855'
'Mean accuracy in test data: 0.774'
'-----LogisticRegression(max iter=2000)------
'Mean accuracy in train data: 0.768'
'Mean accuracy in test data: 0.762'
'-----'
'Mean accuracy in train data : 0.767'
'Mean accuracy in test data: 0.765'
'-----GradientBoostingClassifier()------
'Mean accuracy in train data : 0.772'
'Mean accuracy in test data: 0.772'
'-----'
'Mean accuracy in train data : 0.803'
'Mean accuracy in test data : 0.774'
```

By comparing the mean accuracy (pl.score) in test data, we found that **Random forest classifier** has the hightest mean accuracy among seven classifiers, while the DecisionTreeClassifier has lowerest mean accuracy scores.

Search for the best model and parameters using the pipeline and using Random forest classifier.

- Trying every combination ('grid search'):
 - 'tree__max_depth': [20, None]
 - 'tree_min_samples_leaf':[1, 2, 3]
 - 'tree__min_samples_split':[1,2,3]

"tree__n_estimators":[100,200]

```
In [147]: from sklearn.model selection import GridSearchCV
          # feature engineering one
          time feat = ['year closed', 'month closed', 'year received', 'month recei
          ved'l
          def time elapsed(df):
               return (df['year_closed']+df['month_closed']/12 - df['year_receive
          d']-df['month received']/12).to frame()
          # one hot features
          one hot feat = ['fado type',\
                               'outcome description', 'precinct', 'year closed', 'con
          tact reason', "allegation"]
          # taking log
          log feat = ['complainant_age_incident']
          # column transform
          # with feature engineering two, logging officers' and complainants' age
          s to normalized the data
          preproc = ColumnTransformer(transformers=[('one-hot', OneHotEncoder(spa
          rse=False, handle unknown='ignore'), one hot feat),
                                                       ('log', FunctionTransformer
          (lambda x:np.log(x)), log feat),
                                                       ('time elapsed', FunctionTra
          nsformer(time elapsed), time feat)])
          pl = Pipeline([
                  ('preprocessor', preproc),
                   ('tree', RandomForestClassifier())])
          # features
          X = allegations.drop({'board disposition'}, axis=1)
          # outcome
          y = allegations.board_disposition
          X_train, X_test, y_train, y_test = train_test_split(X, y)
          # different combinations
```

```
parameters = {
               'tree max depth': [20, None],
               'tree min samples leaf': [1,2,3],
               'tree min samples split': [1,2,3],
               "tree n estimators":[100,200]
          clf = GridSearchCV(pl, parameters, cv=3,n jobs=-1)
          clf.fit(X train, y train)
          # shows the best parameters combinations
          display('best parameters')
          clf.best params
           'best parameters'
Out[147]: {'tree max depth': None,
           'tree min samples leaf': 1,
           'tree min samples split': 2,
            'tree n estimators': 200}
          Afetr obtaining the best parameters, let's evaluate the performance.
In [148]: # calculating the new
          print(clf.score(X train,y train))
          print(clf.score(X test,y test))
          0.9915756378733525
          0.820677146311971
In [149]: # evaluate the model
          preds = clf.predict(X test)
          display('Proportion of predictions that are correct: {}'.format(metrics
           .accuracy score(y test, preds)))
          print('Confusion matrix(Counts of TN/FP/FN/TP): \n{}'.format(metrics.co
          nfusion matrix(y test, preds)))
          display('Recall score (TP/P): {}'.format(metrics.recall score(y test, p
```

```
reds)))
print('Specificity score (TN/N): {}'.format(metrics.recall score(y test
, preds, pos label=0)))
display('Precision: {}'.format(metrics.precision score(y test, preds)))
print('FDR: {}'.format(1 - metrics.precision score(y test, preds)))
recall=metrics.recall score(y test, preds)
precision=metrics.precision score(y test, preds)
F1=2*((recall)*(precision))/(recall+precision)
print('F1 score: {}'.format(F1))
'Proportion of predictions that are correct: 0.820677146311971'
Confusion matrix(Counts of TN/FP/FN/TP):
[[5946 293]
 [1190 841]]
'Recall score (TP/P): 0.414081733136386'
Specificity score (TN/N): 0.9530373457284821
'Precision: 0.7416225749559083'
FDR: 0.25837742504409167
F1 score: 0.5314375987361769
```

Comments on the final Evaluation of my final model

The specificity of my model is high, which is good. Because the main purpose of the model is to tell the judges whether he or she could have reason to believe that the charged officers is innocent or could eventually be exonerated. If the model tells that the charge is likely to be substantiated, the judge should not believe the outcome right away. Instead, a careful investigation should be conducted to given compliant. The NYPD and court should also take police officers' interest into consideration rather than believe the model blindly. After all, it only serve a suggestive purpose rather than telling the judge what to do.

Fairness Evaluation

```
In [150]: # Visualize the demongraphic parity
          X test=X test.reset index(drop=True)
          X test["is young"]=(allegations["mos age incident"] <= 21).replace({True</pre>
           :'young', False:'old'})
          X test["prediction"]=preds
          y= y_test.reset_index(drop=True)
          X test['tag'] = y
In [151]: # Accuracy Parity
              X test
               .groupby('is young')
               .apply(lambda x: metrics.accuracy_score(x.tag, x.prediction))
               .rename('accuracy')
               .to frame()
Out[151]:
                   accuracy
           is_young
                old 0.821063
             young 0.875000
In [152]: obs = X test.groupby('is young').apply(lambda x: metrics.accuracy score
           (x.tag, x.prediction)).diff().iloc[-1]
           metrs = []
           for _ in range(10000):
               s = (
                   X test[['is young', 'prediction', 'tag']]
                   .assign(is young=X test.is young.sample(frac=1.0, replace=False
           ).reset index(drop=True))
                   .groupby('is young')
                   .apply(lambda x: metrics.accuracy_score(x.tag, x.prediction))
                   .diff()
```

```
.iloc[-1]
)
metrs.append(s)

In [153]: print(pd.Series(metrs <= obs).mean())
0.4858</pre>
```

Working Demo

Now, we can actually build a predictive model that can "guess" whether the officer who are charged with wrongdoing can actually be exnonerated or not. This is useful because each month, the police department in NY city is very likely to receive large amount of compliants, and therefore it is impossible to carefully investigate each and every filed cases. Sometimes the lack of evidence or any form of injustices could possibly sabotage or somehow affect the outcome of the investigation, which eventually could be against either complainants' or officer's interest.

Think about it. We do not want the innocent officers being punished by fabricated or at least exagerrated allegations but also we want the officers who actually harm the citizens to be proceduted to full extent of the law. What if there is an algorithm that can help the judges or investigators of misdemeanors to determine the possible outcome of the certain complaint? Since such algorithm is not 100 correct but it is fairly accurate enough, the output of the model could therefore serve as a reliable advisor telling the possibilities of certain outcome based on empirical observations.

This could save the investigators time and energy, allowing them spend more time on more imperative matters. All they have do is enter some key info of the complaint, which is a quite esay thing to do.

```
In [174]: # Application
def allegations_judge(info):
    outcome=clf.predict(info)
    if outcome==1:
        return "More investigation is required !"
```

else:

return "Likely a false allegation.Treat it with less prioity"