

User guidelines for running TSE software (Time-Series cultivar coefficient Estimator – for DSSAT crop models) – Standalone DSSAT plug-in

Emir Memic (University of Hohenheim, Stuttgart, Germany, June 2021)

E-mail: emir.memic@uni-hohenheim.de; emir_memic@windowslive.com

This cultivar coefficients optimisation program was developed and tested with DSSAT4.7 **CROPGRO-Soybean** model. The program was partially tested with **CERES-Maize** and **Wheat** models. The program was designed and written to enable optimisation of cultivar and ecotype coefficients of all available crop models in DSSAT4.7 and future DSSAT4.8 release and should work with other future versions. For writing this user guidelines test 4.8 version was used.

Contents

1. The TSE concept overview	2
2. General TSE program settings overview.....	3
3. Running TSE program.....	7
1. Do NOT modify!	9
2. Select desired model, cultivar or ecotype and Initialize selected!	9
3. Select cultivar from model corresponding list and File/s-X from list containing selected cultivar.	9
4. Select corresponding Treatment/s based on the File/s-X, containing selected cultivar.....	10
5. Execute (Check the DSSAT file setup) selected treatment/s with DSSAT model to check if core DSSAT files are runnable.....	11
6. Select optimisation of Phenology/Growth -related coefficients and corresponding methods.....	11
7. Selecting desired coefficients and coefficient ranges and increment steps.	14
If the user wants to fix the value of some coefficient, then they set Min and Max to equal value (same value in the Min edit box as in the Max value edit box).....	15
8. Check if optimisation software setup is correct.....	15
9. Run – Range setup & Run – Range reduction!	16
10. Additional options	17
Multi TRT comb	17
Gbuild	17
Exit the program and all running threads	17
APPENDIX	18
A.1 The nRMSE error minimisation method (nRMSE).....	18
A.2 The nRMSE multiple-treatment based goodness of fit criteria (nRMSE).....	18
A.3 The Relative Weight –nRMSE (RW-nRMSE).....	19
A.4 The Rank Weighting –nRMSE (Rank-nRMSE).....	20
A.5 Range reduction – generating coefficient combinations	22

1. The TSE concept overview

The cultivar/ecotype coefficients estimation program was developed to work with DSSAT crop growth models (CROPGRO and CERES). It will work only if fully functional DSSAT files are available such as experiment file (FileX), weather file, soil profile, functional species, ecotype and cultivar files, time-series file containing in-season observations (FileT) and summary file (FileA - optional) are available.

Overall program run can be separated in three steps:

1. Selection of the FileX and corresponding treatments defined in FileX. Based on the selected experiment corresponding in-season observations (as time-series data) are read in as temporal inputs (all available time-series observations can be used), and cultivar coefficients designated for optimisation (phenology- and/or growth-related) are selected with desired coefficient ranges (Figure 1, step 1).
2. For each cultivar coefficient combination defined in first step crop model is executed with simulated outputs being coupled with in-season observations and saved for later analysis (Figure 1, step 2).
3. After all cultivar coefficient combinations were used and simulated outputs coupled with in-season observations statistical analysis is conducted based on the target variables used in the cultivar coefficient estimation process and the combination with the best statistical agreement between simulated and observed is selected as “optimum”.

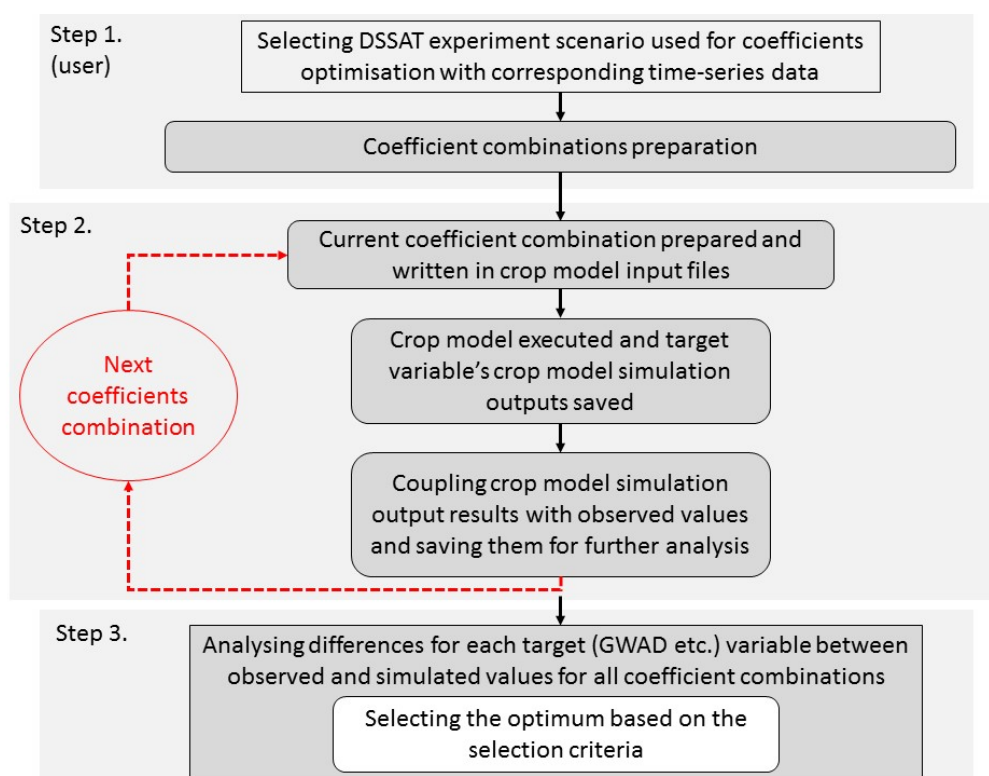


Figure 1: Flow chart showing overall program run in three steps (GWAD – grain weight) (Memic et al. 2021)

The program can be used for optimising cultivar coefficients based on single or multiple experiment data sets. User should first optimise phenology-related cultivar coefficients. After phenological events are correctly optimised, growth-related cultivar coefficients are optimised. Phenology-related cultivar coefficient optimisation is not conducted based on the time-series data (FileT), but by using FileA observations and simply minimising the difference between simulated and observed phenological event as day after planting. Growth-related cultivar coefficients are optimised by using FileT in-season observations by means of normalised RMSE (among others) throughout season. The combination providing lowest difference between simulated and observed values based on nRMSE is selected as optimum. Cultivar coefficient ranges initial values are predefined (by existing minimum and maximum values in cultivar files) but can be modified according to user's needs.

2. General TSE program settings overview

The program was developed (in Windows environment, for use on Windows) as standalone DSSAT plug-in and has no specific installation requirements. All required files for running the program are shared with “GitHub_TSE_month_year.zip” file.

Before using the program user should look into User Guidelines shared with the program.

The “GitHub_[month_year].zip” file has to be unzipped as “GitHub_[month_year]”. From “GitHub_[month_year]” directory “**TSE**” folder copied to the **Tools** directory: “C:\DSSAT48\Tools” (Figure 2) or depending on the version to “C:\DSSAT47\Tools”.

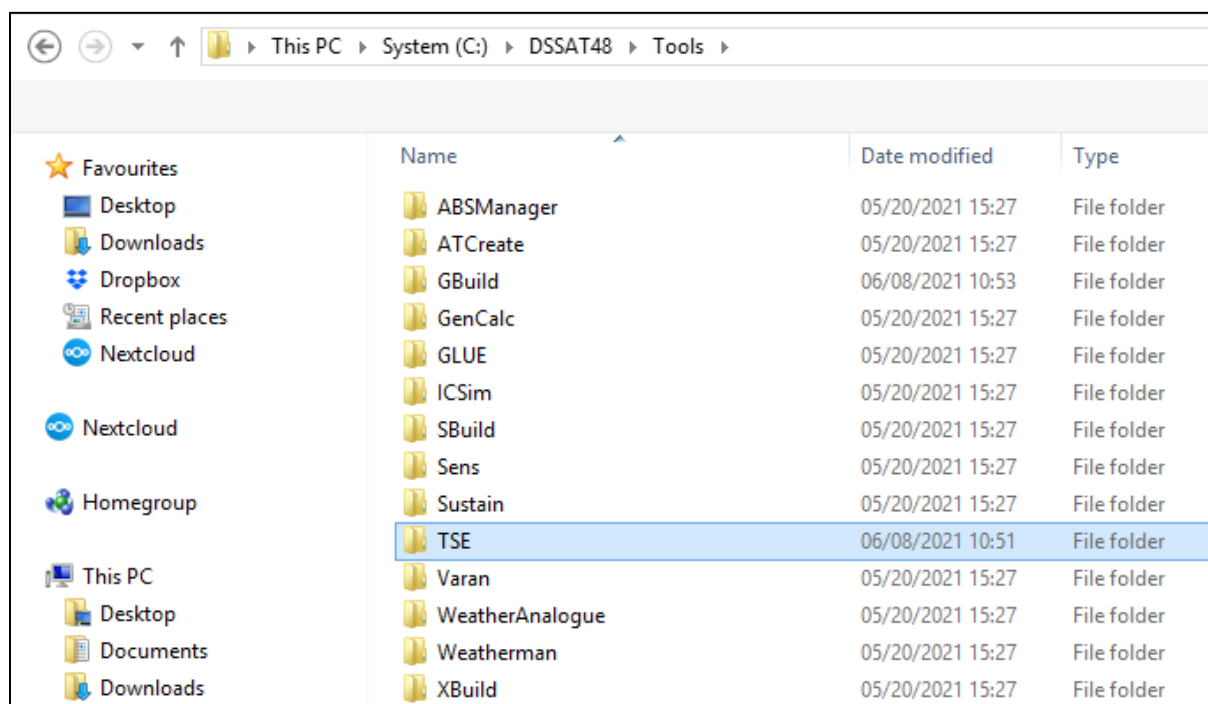


Figure 2 “C:\DSSAT48\Tools\TSE”

In the folder “**TSE**” “C:\DSSAT48\Tools\TSE” (Figure 3) “TSE_Coeff_Estimator_for_DSSAT_v1.exe” windows runnable has to be **executed as “Administrator”** (Figure 3).

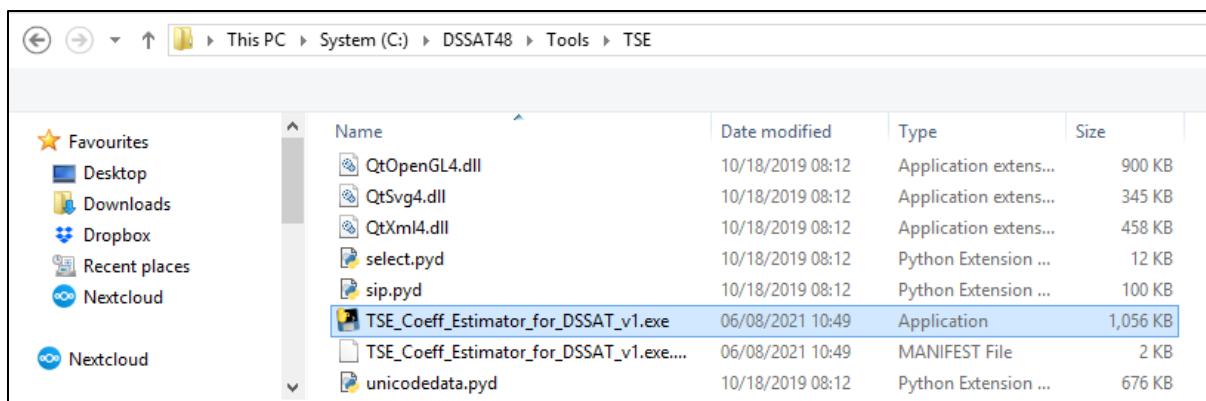


Figure 3 “TSE_Coeff_Estimator_for_DSSAT_v1.exe” windows runnable

VERY IMPORTANT:

- I. **PlantGro.Out** crop model outputs are coupled to those in **FileT** (phenology- or growth-related) time-series in-season observations. **Only variables available in FileT and PlantGro.OUT can be used in the optimisation process (Growth-related).**
- II. **Evaluate.Out** crop model outputs are used for optimising Phenological events which are passed into the model through **FileA**.
- III. If sub-model (eg. WHAPS) is initialised in the FileX, the calibrator might not work! (in File-X in *SIMULATION CONTROLS in GENERAL line, column SMODEL **do NOT initialise sub-models such as WHAPS, IXIM etc.!**). This does TSE program.
- IV. Only variables such as LAID, CWAD, GWAD etc. initialised in first time occurring “**@TRN....**” line in **FileT** are actively used by TSE.
- V. For multi TRT optimisations only target variables simultaneously available in all **FileT/s** (for corresponding **FileX/s** Treatment/s) are accessible for optimisation.
- VI. **FileT** observations: **All in-season observations available including 0 are used! Only “-99” values are ignored by TSE.**
- VII. The program is matching DOY from **FileT** with those in the **PlantGro.OUT**. If the user setup in the FileX reporting frequency for example every fifth day and exact observation DOY is not present in the PlantGro.OUT as it is written in the FileT, the program will NOT be able to match them for comparing simulated with observed.

The TSE program is creating additional directory “**TSE_workspace**” (“**C:\DSSAT48\TSE_workspace**”) (Figure 4) and modifying the cultivar file in that directory, which is then executed by main DSSAT model executable.

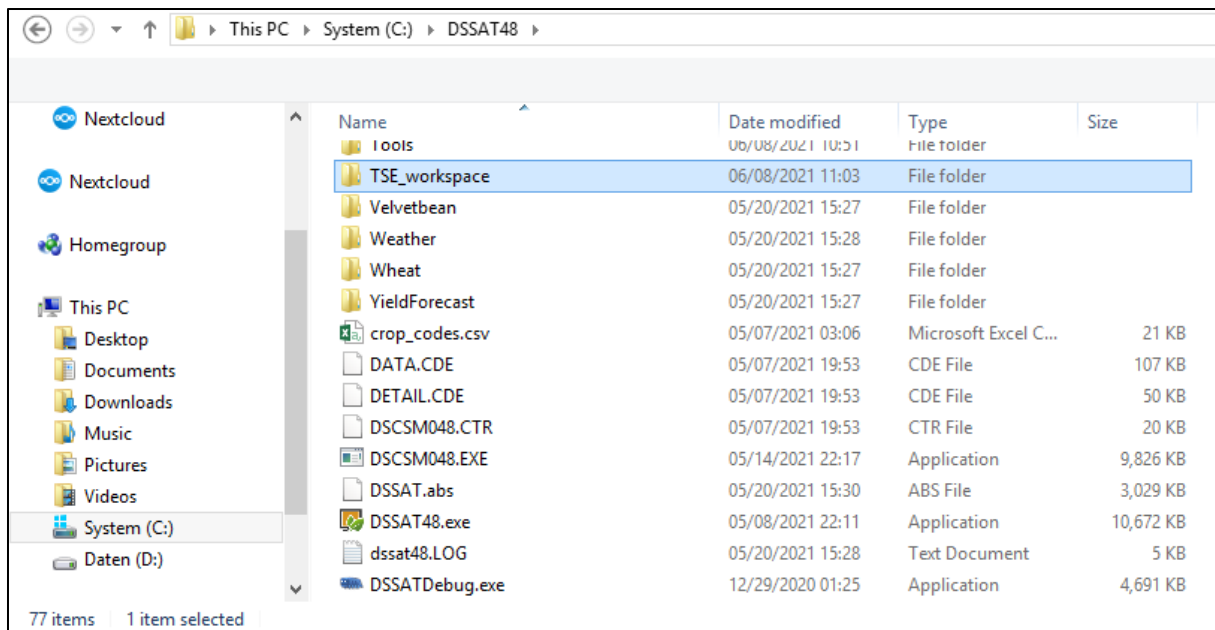


Figure 4 (“C:\DSSAT48\TSE_workspace”)

The TSE program is NOT modifying core DSSAT files in their original directories!

Program run is considered: From when “TSE_Coeff_Estimator_for_DSSAT_v1.exe” is executed until “Exit” push button is pressed. Any form of optimisation done in-between is temporary saved in the cultivar file in “TSE_workspace” directory.

After TSE program is started (“TSE_Coeff_Estimator_for_DSSAT_v1.exe” executed) all modifications on Cultivar or Ecotype file are conducted in (“C:\DSSAT48\TSE_workspace”). During one program run (until “Exit” push button is pressed) different coefficients (or different target variables) can be optimised one after another or simultaneously and cultivar changes will be saved if accepted as “optimums” in cultivar file in C:\DSSAT48\TSE_workspace. If user is satisfied with the cultivar coefficient values based on nRMSE (or other) fit, cultivar coefficient combination HAVE be copied to “C:\DSSAT47\Tools\Genotype” located cultivar or ecotype file **MANUALLY**. If TSE program is started again without saving the combination in C:\DSSAT47\Tools\Genotype located cultivar or ecotype file new TSE program start will copy original cultivar or ecotype file located in C:\DSSAT47\Tools\Genotype and overwrite user’s working cultivar file in “C:\DSSAT48\TSE_workspace”.

After model run finished and before the user click “Exit” push button they can open GBuild and check visual and statistical fit (RMSE, d-statistics within GBuild) of the experiment file executed with the “optimum” genetic coefficient combination found in the last model run. With GBuild the user opens PlantGro.OUT from “C:\DSSAT48\TSE_workspace” directory, because TSE will create parallel files it requires in this folder, without modifying the original files in DSSAT directory.

The more coefficients are “activated” (used in estimation process) the longer will optimisation last. **For each new coefficient and additional increment step (Inc) number of model runs will increase exponentially (time constraint).**

Example of optimising cultivar coefficient:

Every time **TSE_Coeff_Estimator_for_DSSAT_v1.exe** is executed, original cultivar file (**SBGRO048.CUL**) file from **C:\DSSAT48\Tools\Genotype** will be copied to **“C:\DSSAT48\TSE_workspace** directory (Figure 5), and overwrite cultivar file in that directory (if exist, if not then just copied). If user wants to keep the genetic coefficient combination, it has to be copied to the original cultivar file in **C:\DSSAT47\Tools\Genotype** directory into **SBGRO048.CUL** **Manually**.

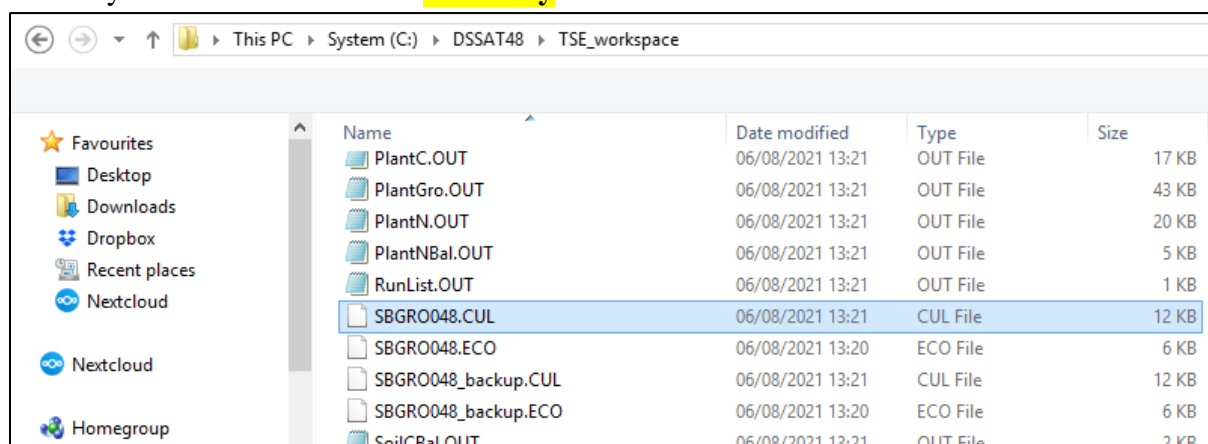


Figure 5 C:\DSSAT48\TSE_workspace\SBGRO047.CUL

The original cultivar is saved as **“!Old_timestamp_cultivarID...”** (Figure 6, text editor line 75-76) and the new one (Figure 7, text editor line 73) is saved in that working cultivar file (in **TSE_workspace**) and model is executed.

The screenshot shows a text editor window with the file name 'SBGRO048.CUL' in the title bar. The content is a table of cultivar data. The file is highlighted in red. The table has 10 columns: Line, Cultivar ID, Cultivar Name, SB, and 7 numerical values. The table is as follows:

Line	Cultivar ID	Cultivar Name	SB	1	2	3	4	5	6
67	UC0002	MAPLE ARROW (00)	SB0001	14.30	0.155	17.0	6.9	12.8	33.4
68	UC0003	MCCALL (00)	SB0001	14.30	0.155	16.5	5.5	12.0	34.3
69	IB0011	EVANS (0)	SB0001	14.10	0.171	16.8	7.8	13.8	30.0
70	IB0037	ELGIN-87 (2)	SB0201	13.59	0.249	17.0	8.9	13.0	35.8
71	IB0003	WAYNE (3)	SB0301	13.45	0.255	19.5	8.0	14.7	26.7
72	IB0010	WILLIAMS 82 (3)	SB0301	13.40	0.285	19.0	8.3	14.2	32.2
73	IB0001	BRAGG	SB0701	12.33	0.320	19.5	10.0	15.2	37.6
74									
75	!Old_2021-06-08 13:20:30.131000:								
76	!IB0001	BRAGG	SB0701	12.33	0.320	19.5	10.0	15.2	37.6
77									
78	IB0101	BRAGG (7)	SB0701	12.33	0.320	19.5	10.0	15.2	37.6
79	IB0002	COBB (8)	SB0801	12.25	0.330	21.0	9.4	16.0	37.2
80	IB0014	CENTENNIAL (6)	SB0601	12.48	0.311	20.0	9.0	15.5	34.5
81	IB0015	ESSEX (5)	SB0501	12.83	0.303	19.8	8.5	15.5	35.0
82	IB0008	FORREST (5)	SB0501	12.82	0.303	21.1	8.8	15.2	35.2
83	IB0006	RANSOM (7)	SB0702	12.38	0.320	18.4	9.0	14.2	33.5
84	IB0013	LEFLORE (6)	SB0601	12.55	0.312	19.6	8.4	16.0	37.3

Figure 6 Old and new cultivar

3. Running TSE program

(The steps of preparing the estimator for run are enumerated in the interface)

1. If directory path shown is “**C:\DSSAT48 or 47**”, do NOT modify! If the path is not “**C:\DSSAT48 or 47**” (This means that TSE folder was not copied to the “**C:\DSSAT48\Tools**”), then navigate to TSE folder and select it. It will be explained later in more details.
2. Select desired model, cultivar or ecotype and Initialize selected!
3. Select cultivar from model corresponding list and File/s-X from list containing selected cultivar.
4. Select corresponding Treatment/s based on the File/s-X containing selected cultivar.
5. Execute selected treatment/s with DSSAT model to check if core DSSAT files are runnable, and select Default/Advanced.
6. Select optimisation of Phenology/Growth -related coefficients and corresponding methods.
7. Selecting desired coefficients and coefficient ranges and increment steps.
8. Check if optimisation software setup is correct.
9. Run the model! (“*Run – Range setup*” or “*Run – Range reduction methods*”)
10. Estimate Multi-treatment based cultivar coefficient combination or execute GBuild!

Interface

TSE

1. User Guide C:\DSSAT48 Change Dir.

2. List Crop Models

Selected:

DCSM:

Crop dir:

v.:

Crop:

Model:

Crp short:

Cult. file:

☒ Cultivar
☐ Ecotype

Initialize selected

3.

Cultivar name:

Xfiles:

Exp info:

4.

X-files

TRT

TRT name

Summarize selected

5. Check the DSSAT file setup Reset

6. P/G Flags ☒ Default ☐ Advanced

Coefficient rules

(P)

Phenology (P)
Help

☐
☐
☐
☐

☐ Select all (P)

(G)

Growth (G)
Help

Step 1.

Universal code

Label

Initialize variable/s

Step 2.

☐ nRMSE Help
☐ RW-nRMSE
☐ Rank-nRMSE

(G)

7. Help

Step 1.

VAR-Name

P/G Flag

Initialize

Step 2.

Min

Max

X	1	1
X	1	1
X	1	1
X	1	1
X	1	1
X	1	1
X	1	1
X	1	1

Step 3.

No. Comb.

Inc. Step

3	1
3	1
3	1
3	1
3	1
3	1
3	1
3	1

8. Check input setup Show coeff. list

9. Run - Range setup ☒ Fixed initial Min - Max

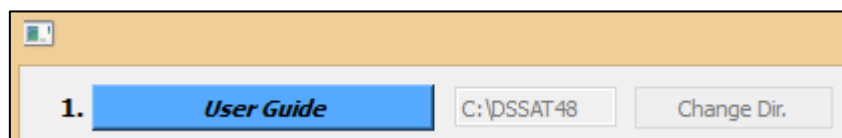
Run - Range reduction

Summary

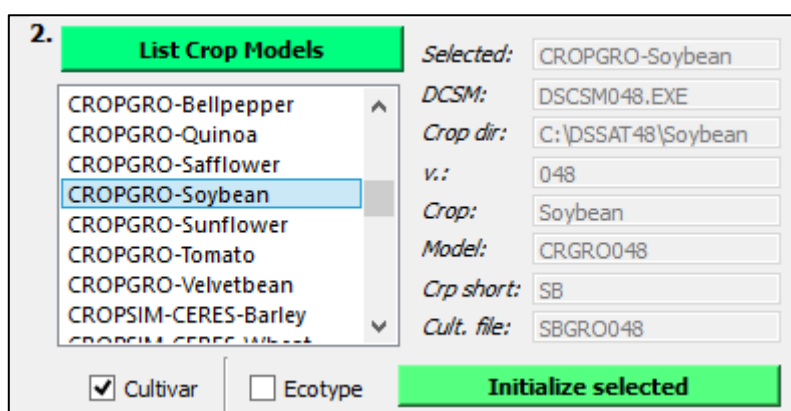
10. Multi TRT comb GBuild Exit

1. **Do NOT modify!**

If directory path shown is “C:\DSSAT48” (depending on what version of the model user is running), do **NOT** modify! If the path is not “C:\DSSAT47 or C:\DSSAT48” then copy TSE folder (not entire “GitHub_[month_year]” unzipped folder!), only the “TSE” folder from it into the “C:\DSSAT47\Tools”. After re-executing “TSE_Coeff_Estimator_for_DSSAT_v1.exe” from TSE -> “C:\DSSAT48\Tools\TSE” the correct path should be read in.

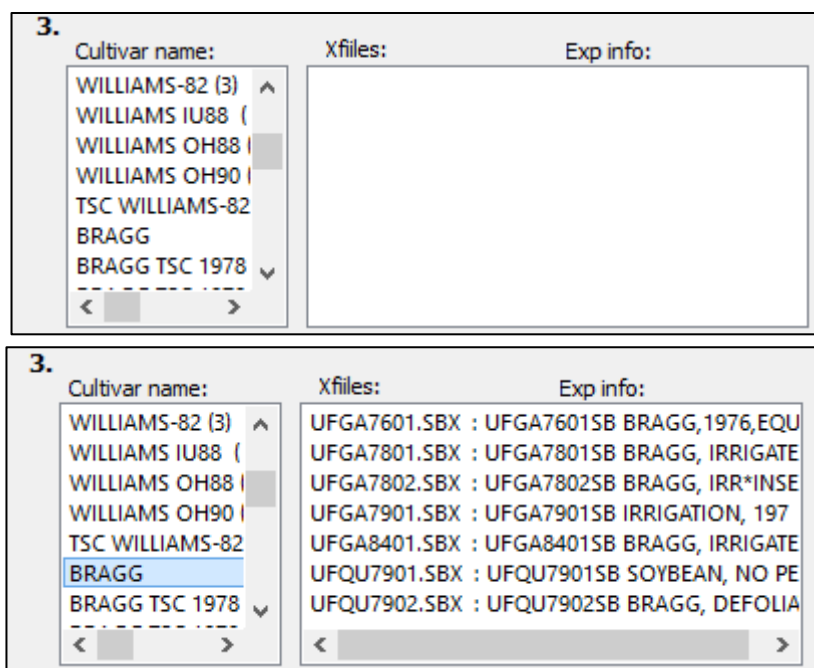


2. Select desired model, cultivar or ecotype and **Initialize selected!**



The list of models available in the DSSAT are uploaded into the TSE interface after **List Crop Model** is clicked. After selecting desired model and cultivar or ecotype the **Initialize selected** push button has to be clicked.

3. Select cultivar from model corresponding list and File/s-X from list containing selected cultivar.



After the model is initialized the list of the model corresponding cultivar names are read in the interface (“Cultivar name :”). After cultivar name (e.g. “BRAGG”) is selected the File/s-X containing that cultivar name are read in the interface (“Xfiles and Exp info”).

3.

Cultivar name:	Xfiles:	Exp info:
WILLIAMS-82 (3)	UFGA7601.SBX : UFGA7601SB BRAGG, 1976, EQU	
WILLIAMS IU88 (UFGA7801.SBX : UFGA7801SB BRAGG, IRRIGATE	
WILLIAMS OH88	UFGA7802.SBX : UFGA7802SB BRAGG, IRR*INSE	
WILLIAMS OH90	UFGA7901.SBX : UFGA7901SB IRRIGATION, 197	
TSC WILLIAMS-82	UFGA8401.SBX : UFGA8401SB BRAGG, IRRIGATE	
BRAGG	UFQU7901.SBX : UFQU7901SB SOYBEAN, NO PE	
BRAGG TSC 1978	UFQU7902.SBX : UFQU7902SB BRAGG, DEFOLIA	

4.

X-files	TRT	TRT name
UFGA7801.SBX	1	TNAME: 78 IRRIG, light rate,
UFGA7801.SBX	2	TNAME: 78 RAINFED BRAGG

Summarize selected

In the list File-X (or multiple File-Xs) can be selected as showed

(e.g. UFGA7801.SBX)
(Multiple treatment (experiment) selection is done by: **Ctrl+ mouse left button click**).

4. **Select** corresponding **Treatment/s** based on the File/s-X, containing selected cultivar.

3.

Cultivar name:	Xfiles:	Exp info:
WILLIAMS-82 (3)	UFGA7601.SBX : UFGA7601SB BRAGG, 1976, EQU	
WILLIAMS IU88 (UFGA7801.SBX : UFGA7801SB BRAGG, IRRIGATE	
WILLIAMS OH88	UFGA7802.SBX : UFGA7802SB BRAGG, IRR*INSE	
WILLIAMS OH90	UFGA7901.SBX : UFGA7901SB IRRIGATION, 197	
TSC WILLIAMS-82	UFGA8401.SBX : UFGA8401SB BRAGG, IRRIGATE	
BRAGG	UFQU7901.SBX : UFQU7901SB SOYBEAN, NO PE	
BRAGG TSC 1978	UFQU7902.SBX : UFQU7902SB BRAGG, DEFOLIA	

4.

X-files	TRT	TRT name
UFGA7801.SBX	1	TNAME: 78 IRRIG, light rate,
UFGA7801.SBX	2	TNAME: 78 RAINFED BRAGG

Summarize selected

After selecting FileX in the interface the list of the treatments in the FileX containing the cultivar is shown and offered for selection (green list widget box). The green box (green list widget box in the interface) is where the experiment file and treatments for optimising cultivar coefficients are **selected**. Multiple treatments can be selected (multiple treatment (experiment) selection is done by: **Ctrl+ mouse left button click**).

Summary

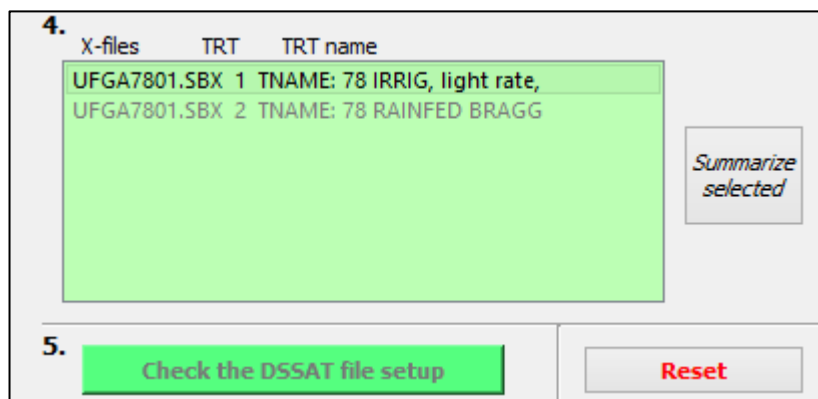
Model: CROPGRO-Soybean

Cultivar: BRAGG
IB0001 SB0701

The list of selected treatments:
- UFGA7801.SBX 1 TNAME: 78 IRRIG, light rate,

The "Summarize selected" push button will display selected options in the Summary window in the interface.

5. Execute (**Check the DSSAT file setup**) selected treatment/s with DSSAT model to check if core DSSAT files are runnable.

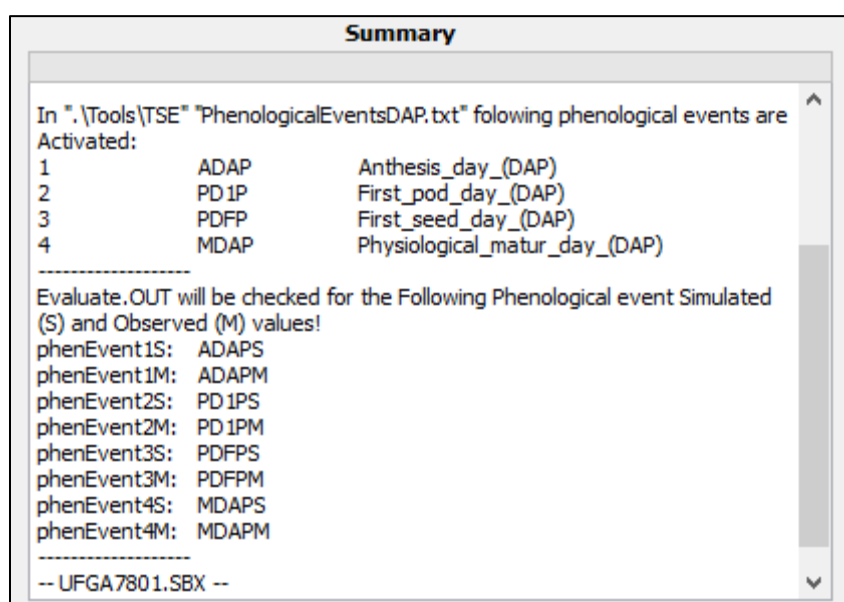


The “**Reset**” button will unlock the access to the selection of the crop model, cultivar/ecotype and FileXs.

6. Select optimisation of Phenology/Growth -related coefficients and corresponding methods.

Cultivar specific coefficient flags (Phenology and Growth – P/G) are based on cultivar file (in-file “!Calibration” line starting with DSSAT4.8 version or are listed in the “C:\DSSAT48\Tools\TSE” in a file “**ParameterProperty.txt**”. **Default** (check box) will upload only coefficients with predefined P/G flags (according to selection of the Phenology or Growth). **Advanced** (check box) will upload all available cultivar coefficients from cultivar file for potential optimisation.

Phenology:



There are four phenological events parameters described in (Memic at al. 2021) hardcoded in the TSE algorithm, specifically for CROPGRO-Soybean.

This hard coded solution can be over-written with manually customizable text file located in “C:\DSSAT47\Tools\TSE” named “**PhenologicalEventsDAP.txt**”. The file setup is explained in the file as shown in following figure and has to be located in “C:\DSSAT47\Tools\TSE”

directory and format rules have to be abide!

```

1  ! Phenological events as Days after planting (DAP)
2  !
3  !   Only four can be read in and the rest should be outcommented with "!"
4  !
5  !   Phenological events can be added to this list!
6  !   In order to work Phenological event - code (etc. ADAP) has to be outputed
7  !   by DSSAT in the Evaluate.OUT and this format has to be followed:
8  !   Example:
9  !   Code      Code_Name
10 !   ADAP      Anthesis_day
11 !
12 ! -----!
13 ADAP      Anthesis_day_(DAP)
14 PD1P      First_pod_day_(DAP)
15 PDFP      First_seed_day_(DAP)
16 MDAP      Physiological_matur_day_(DAP)
17 !PD1T      First_pod_date_(DAP) (YrDay) _?
18 !EDAP      Emergence_date_(DAP)
19 !B1DAP      Branch_1_date_(DAP)
20 !B2DAP      Branch_2_date_(DAP)
21 !B3DAP      Branch_3_date_(DAP)
22 !B4DAP      Branch_4_date_(DAP)
23 !FDAP      Forcing_Date_(DAP)
24 !TDAP      Begin_tuber_growth_(DAP)
25 ! -----!

```

6. P/G Flags ☐ Default ☒ Advanced

Coefficient rules

(P) **Phenology (P)** [Help](#)

☐ Anthesis_day_(DAP)

☐ First_pod_day_(DAP)

☐ First_seed_day_(DAP)

☐ Physiological_matur_day_(DAP)

☐ Select all (P)

(G) **Growth (G)** [Help](#)

Step 1.

Universal code	Label
L#SD	Leaf number
LAID	LAI
P#AD	Pod no #/m2
SWAD	Stem wt kg/ha
GWAD	Grain wt kg/ha
LWAD	Leaf wt kg/ha
GWAD	Grain wt kg/ha

Initialize variable/s

Step 2.

-99	-99
-99	-99
-99	-99
-99	-99

☐ nRMSE [Help](#)

☐ RW-nRMSE

☐ Rank-nRMSE

-99 -99 -99 -99

-99 -99 -99 -99

(G)

6. P/G Flags ☐ Default ☒ Advanced

Coefficient rules

(P) **Phenology (P)** [Help](#)

☐ Anthesis_day_(DAP)

☐ First_pod_day_(DAP)

☐ First_seed_day_(DAP)

☐ Physiological_matur_day_(DAP)

☐ Select all (P)

6. P/G Flags ☐ Default ☒ Advanced

Coefficient rules

(P) **Phenology (P)** [Help](#)

☒ Anthesis_day_(DAP)

☒ First_pod_day_(DAP)

☒ First_seed_day_(DAP)

☒ Physiological_matur_day_(DAP)

☒ Select all (P)

Growth:

6. P/G Flags ☐ Default ☒ Advanced

Coefficient rules

(P) _____

Phenology (P) [Help](#)

☐ Anthesis_day_(DAP)

☐ First_pod_day_(DAP)

☐ First_seed_day_(DAP)

☐ Physiological_matur_day_(DAP)

☐ Select all _____ (P)

(G) _____

Growth (G) [Help](#)

Step 1.

Universal code	Label
L#SD	Leaf number
LAI	LAI
P#AD	Pod no #/m2
SWAD	Stem wt kg/ha
GWAD	Grain wt kg/ha
LWAD	Leaf wt kg/ha
CWAD	Tops wt kg/ha

Initialize variable/s

Step 2.

-99	-99
-99	-99
-99	-99
-99	-99

☐ nRMSE [Help](#)

☐ RW-nRMSE

☐ Rank-nRMSE

-99	-99	-99	-99
-99	-99	-99	-99

_____ (G)

In this step error minimisation method (nRMSE or RW-nRMSE or Rank -nRMSE, explained in the APPENDIX) is selected. Maximum four different target variables (e.g. LWAD, CWAD ...) can be selected. If four are not available, one or two or three can be selected. In first round of optimisation four can be selected and in second four, or with some other combinations.

(G) _____

Growth (G) [Help](#)

Step 1.

Universal code	Label
LAI	LAI
P#AD	Pod no #/m2
SWAD	Stem wt kg/ha
GWAD	Grain wt kg/ha
LWAD	Leaf wt kg/ha
CWAD	Tops wt kg/ha

Initialize variable/s

Step 2.

-99	-99
-99	-99
-99	-99
-99	-99

☐ nRMSE [Help](#)

☐ RW-nRMSE

☐ Rank-nRMSE

-99	-99	-99	-99
-99	-99	-99	-99

_____ (G)

“**Ctrl + mouse left click**”- for selecting multiple target variables simultaneously!

(G)

Growth (G) [Help](#)

Step 1.

Universal code	Label
LAID	LAI
P#AD	Pod no #/m2
SWAD	Stem wt kg/ha
GWAD	Grain wt kg/ha
LWAD	Leaf wt kg/ha
CWAD	Tops wt kg/ha

Initialize variable/s

Step 2.

GWAD	Grain wt kg/ha
LAID	LAI
CWAD	Tops wt kg/ha
LWAD	Leaf wt kg/ha

☒ nRMSE [Help](#)

☐ RW-nRMSE

☐ Rank-nRMSE

GWAD LAID CWAD LWAD

-99 -99 -99 -99

(G)

After selecting target variables **Initialize target variable/s** has to be clicked.

7. Selecting desired coefficients and coefficient ranges and increment steps.

7. Step 1. [Help](#) Step 2. Step 3.

VAR-Name	P/G Flag		Min	Max	No. Comb.	Inc. Step
LFMAX	G					
SLAVR	G	X	1	1	3	1
SIZLF	G	X	1	1	3	1
WTPSD	G	X	1	1	3	1
SFDUR	G	X	1	1	3	1
SDPDV	G	X	1	1	3	1
		X	1	1	3	1
		X	1	1	3	1

Initialize

First coefficient/s are selected from the list:

7. Step 1. [Help](#) Step 2. Step 3.

VAR-Name	P/G Flag		Min	Max	No. Comb.	Inc. Step
SD-PM	P					
FL-LF	-					
LFMAX	G					
SLAVR	G					
SIZLF	G	X	130	230.0	3	50.0
XFRT	-	X	1	1	3	1
WTPSD	G	X	1	1	3	1
		X	1	1	3	1

Initialize

After selecting coefficient or multiple coefficients (**Ctrl+ mouse left button click** – for multiple selection) “**Initialize**” push button is clicked!

7. *Step 1.* *Step 2.* *Step 3.*

VAR-Name	P/G Flag		Min	Max	No. Comb.	Inc. Step
SD-PM	P					
FL-LF	-					
LFMAX	G	X	1	1	3	1
SLAVR	G	X	1	1	3	1
SIZLF	G	X	1	1	3	1
XFRT	-	X	1	1	3	1
WTPSD	G	X	1	1	3	1

Initialize

After selecting desired coefficients in section 7, from list widget window (step 1.)

In the DSSAT4.8version additional MINIMA/MAXIMA coefficient values are included in cultivar files

with more details. Since MINIMA/MAXIMA are recommendations made by crop model developers a new code addition was done to the TSE algorithm. The TSE program will check for these values and populate Min Max edit boxes with those values.

Initialize push button will initialize coefficient Labels in edit boxes and populate Min/Max coefficient ranges with min/max value available in corresponding cultivar file for selected cultivar coefficient (step 2.). Automatically this will create simple range of Min/Max value with three coefficient combinations that are going to be passed into the cultivar file, where after each model is executed. If the user wants more combinations in between given Min/Max range number of desired coefficient combinations between Min/Max can be given in “No. Comb.” edit boxes. After giving the desired number of combinations between Min/Max increment steps are automatically calculated.

If user would like to modify Min/Max values it can be done directly (manually) in edit boxes below Min/Max labels in step 2. If Min/Max are modified based on the number of combinations values are automatically calculated and populated in corresponding edit boxes.

If the user wants to fix the value of some coefficient, then they set Min and Max to equal value (same value in the Min edit box as in the Max value edit box).

8. Check if optimisation software setup is correct.

8. **Check input setup** **Show coeff. list**

9. **Run - Range setup** ☒ Fixed initial Min - Max **Run - Range reduction**

Show coeff. List push button will display coefficient to be used in the estimation process.

Coefficient combinations							
Comb_Count	SIZLF	X	X	X	X	X	X
1	130.0	-99	-99	-99	-99	-99	-99
2	155.0	-99	-99	-99	-99	-99	-99
3	180.0	-99	-99	-99	-99	-99	-99
4	205.0	-99	-99	-99	-99	-99	-99
5	230.0	-99	-99	-99	-99	-99	-99

9. **Run – Range setup** & **Run – Range reduction**!

Range reduction is described on an example in Appendix A.5.

8. **Check input setup**

Show coeff. list

9. **Run - Range setup**

☒ Fixed initial Min - Max
Run - Range reduction

Summary

2	PD1P	First_pou_day_(DAP)
3	PDFP	First_seed_day_(DAP)
4	MDAP	Physiological_matur_day_(DAP)

Evaluate.OUT will be checked for the Following Phenological event Simulated (S) and Observed (M) values!

phenEvent1S: ADAPS
phenEvent1M: ADAPM
phenEvent2S: PD1PS
phenEvent2M: PD1PM
phenEvent3S: PDFPS
phenEvent3M: PDFPM
phenEvent4S: MDAPS
phenEvent4M: MDAPM

-- UFGA7801.SBX --

---- Cultivar coefficient initial Min/Max: ----

SIZLF	130.0	230.0
-------	-------	-------

Run-Range setup – will pass to the cultivar/ecotype file only these five coefficients based on the example described in user guidelines section 7.

Coefficient combinations							
Coefficient combinations:							
Comb_Count	SIZLF	X	X	X	X	X	X
1	130.0	-99	-99	-99	-99	-99	-99
2	155.0	-99	-99	-99	-99	-99	-99
3	180.0	-99	-99	-99	-99	-99	-99
4	205.0	-99	-99	-99	-99	-99	-99
5	230.0	-99	-99	-99	-99	-99	-99

Run-Range reduction – will use initial Min/Max and increment step as a starting point and through four run cycle try to localize (find local optimum) the best coefficient value based on the error minimisation method for coefficient values that are not tested at all in simple “Run-Range setup”, as shown in following figure, as described in APENDIX 5.

If “Fixed initial Min – Max” check box is “checked” range reduction algorithm will not be allowed to offer Min “optimum” lower than that which is defined while initializing cultivar coefficient values. Same goes for Max values.

1	Range reduction phase: 1
2	130.0 1.0 1.0 1.0 1.0 1.0 1.0
3	155.0 1.0 1.0 1.0 1.0 1.0 1.0
4	180.0 1.0 1.0 1.0 1.0 1.0 1.0
5	205.0 1.0 1.0 1.0 1.0 1.0 1.0
6	230.0 1.0 1.0 1.0 1.0 1.0 1.0
7	"optmal comb: 155.0 1.0 1.0 1.0 1.0 1.0 1.0
8	
9	Range reduction phase: 2
10	146.0 1.0 1.0 1.0 1.0 1.0 1.0
11	150.5 1.0 1.0 1.0 1.0 1.0 1.0
12	155.0 1.0 1.0 1.0 1.0 1.0 1.0
13	159.5 1.0 1.0 1.0 1.0 1.0 1.0
14	164.0 1.0 1.0 1.0 1.0 1.0 1.0
15	"optmal comb: 164.0 1.0 1.0 1.0 1.0 1.0 1.0
16	
17	Range reduction phase: 3
18	162.3 1.0 1.0 1.0 1.0 1.0 1.0
19	163.1 1.0 1.0 1.0 1.0 1.0 1.0
20	163.9 1.0 1.0 1.0 1.0 1.0 1.0
21	164.7 1.0 1.0 1.0 1.0 1.0 1.0
22	165.6 1.0 1.0 1.0 1.0 1.0 1.0
23	"optmal comb: 165.6 1.0 1.0 1.0 1.0 1.0 1.0
24	
25	Range reduction phase: 4
26	165.3 1.0 1.0 1.0 1.0 1.0 1.0
27	165.4 1.0 1.0 1.0 1.0 1.0 1.0
28	165.5 1.0 1.0 1.0 1.0 1.0 1.0
29	165.6 1.0 1.0 1.0 1.0 1.0 1.0
30	165.8 1.0 1.0 1.0 1.0 1.0 1.0
31	"optmal comb: 165.8 1.0 1.0 1.0 1.0 1.0 1.0
32	

10. Additional options

Multi TRT comb

If this push button clicked multi treatment based cultivar coefficient combination will be estimated, as described in appendix A.2.

Gbuild

With **GBuild** PlantGro.Out can be opened in **TSE_workspace** directory to see how good new combination is. If more than one experiments were used (if Multi-TRT) combination was found, all used treatments can be seen in Gbuild simultaneously.

If the **PlantGro.Out** in “C:\DSSAT48\TSE_workspace” is open with GBuild visual and statistical fit across all used experiments of coefficient optimisation can be seen in GBuild.

Exit the program and all running threads

APPENDIX

A.1 The *nRMSE* error minimisation method (*nRMSE*)

For quantifying the variation between simulated (S_i) and observed (O_i) values the statistical method of *nRMSE* (Eq. 2) is used. The *nRMSE*, is RMSE (Eq. 1) normalized by mean (\bar{O}) for each observed crop variable.

$$RMSE = \left[\frac{1}{n} \sum_{i=1}^n (S_i - O_i)^2 \right]^{0.5} \quad (1)$$

$$nRMSE = \frac{RMSE}{\bar{O}} \quad (2)$$

The *nRMSE* is a simplified selection criterion that is applicable across multiple target variables with different scales. The coefficients are estimated across multiple target variables, with specific objective of locating coefficients combination with lowest *nRMSE* over all targeted variables (Eq. 3).

$$AVG_{nRMSE(n)} = (GWAD_{nRMSE(n)} + LAID_{nRMSE(n)} + CWAD_{nRMSE(n)} + LWAD_{nRMSE(n)})/4 \quad (3)$$

The selection of the coefficient combination with the lowest *nRMSEs* average across all target variables proves to be a good solution (Table 1, AVG-*nRMSE*=0.12).

Table 1 The *nRMSE* - simplified example of varying one of the cultivar parameters affecting growth (G) related target variables (GWAD, LAID, CWAD, and LWAD) of Gainesville 1978 treatment with calculated *nRMSEs* for multiple target variables (each) and optimum selection based on the average *nRMSE* (AVG-*nRMSE*) over multiple target variables (Memic et al.2021, under review).

LFMAX (G -Growth)	nRMSE				AVG nRMSE	
	GWAD	LAID	CWAD	LWAD		
0.8	0.208	0.22	0.185	0.203	0.204	
0.912	0.131	0.153	0.119	0.146	0.137	
1.024	0.082	0.145	0.109	0.144	0.12	0.12
1.136	0.078	0.171	0.137	0.173	0.14	
1.248	0.109	0.209	0.178	0.211	0.177	

LFMAX – CROPGRO coeff., GWAD - grain weight, LAID - leaf area index, CWAD - tops weight, LWAD - leaf weight

A.2 The *nRMSE* multiple-treatment based goodness of fit criteria (*nRMSE*)

Cultivar coefficients can be optimised based on the in-season observation of one experiment (single treatment/experiment) or multiple experiment data sets (multiple season and/or locations). Using only one experimental data set for optimisation will lead to better statistical agreement between simulated and observed, but potentially result in over-fitting of simulation outputs with observations. Cultivar coefficients should perform well across multiple seasons

and locations and as such should be derived based on multiple season and locations. In Table 2 are shown single treatment “optimums” and multiple treatment “optimum”.

For demonstrating single treatment and multiple treatment based cultivar coefficient optimisation one cultivar coefficient (LFMAX) and three treatments have been selected (Gainesville 1978, Gainesville 1979 and Gainesville 1984). Cultivar coefficient value minimum (0.85), maximum (1.25) and increment step (0.1) were written in the corresponding cultivar file and crop model was executed. For each cultivar coefficient simulated and observed GWAD, LAID, CWAD and LWAD average nRMSE was calculated for localising “optimums”. First single treatment “optimums” are localised based on the lowest average nRMSE (AVG-nRMSE, Table 2, section a, grey fields).

In second step multiple treatment cultivar coefficient “optimum” is localised based on the single treatment based AVG-nRMSEs with formula: $[(TRT_1+TRT_2+TRT_3)/3]$. Based on the averaging of the single treatment based AVG-nRMSEs multiple treatment based optimum is selected (Table 2, section b, grey fields) with lowest treatment based average nRMSE (Table 2, section b, blue field).

Table 2 ‘Bragg’ cultivar (*DSSAT Default*) is shown for soybean experiments conducted in Gainesville 1978, Gainesville 1979 and Gainesville 1984, with LFMAX cultivar coefficient variations from 0.85 to 1.25 with increment step 0.1 with 5 coefficient combinations for each treatment.

a) Single treatment “optimums”				b) Multi treatment based “optimum”					
Year	TRT	LFMAX	AVG nRMSE	Year	TRT	LFMAX	AVG nRMSE	Multiple treatment average	Optimum
1978	1	0.85	0.17	1978	1	0.85	0.17		
1978	1	0.95	0.128	1979	2	0.85	0.119		
1978	1	1.05	0.122	1984	3	0.85	0.408	0.232	
1978	1	1.15	0.142	1978	1	0.95	0.128		
1978	1	1.25	0.175	1979	2	0.95	0.14		
1979	2	0.85	0.119	1984	3	0.95	0.374	0.214	0.214
1979	2	0.95	0.14	1978	1	1.05	0.122		
1979	2	1.05	0.177	1979	2	1.05	0.177		
1979	2	1.15	0.21	1984	3	1.05	0.355	0.218	
1979	2	1.25	0.239	1978	1	1.15	0.142		
1984	3	0.85	0.408	1979	2	1.15	0.21		
1984	3	0.95	0.374	1984	3	1.15	0.346	0.233	
1984	3	1.05	0.355	1978	1	1.25	0.175		
1984	3	1.15	0.346	1979	2	1.25	0.239		
1984	3	1.25	0.345	1984	3	1.25	0.345	0.253	

LFMAX-defined in Table 3, TRT-treatment, AVG-nRMSE-average of normalised RMSE over four target variables (grain weight, leaf area index, Tops weight, Leaf weight)

A.3 The Relative Weight –nRMSE (RW-nRMSE)

A Relative Weight -nRMSE (RW-nRMSE) complementary method was developed with relative weights assigned to the selected target variables (1 to 5). A relative weight can be assigned to each target variable separately, where 5 is the most accurate and 1 the least accurate, in relative terms. Each accuracy weight has weight coefficient assigned to it in the TSE code. With relative weights each target variable’s accuracy can be selected, giving users more flexibility in terms of target variable prioritisation in the cultivar coefficients estimation process. The relative weights multiplier (rw) in Eq. 4 is used to artificially inflate nRMSE of specific target variables.

By doing so the size of specific target variable is artificially increased in the overall AVG-nRMSE that results at the end in cultivar coefficient combination prioritising those variables over others.

$$AVG_{nRMSE(n)} = (rw_1 * GWAD_{nRMSE(n)} + rw_2 * LAID_{nRMSE(n)} + rw_3 * CWAD_{nRMSE(n)})/3 \quad (4)$$

It will be additionally explained!

Table 3

A.4 The Rank Weighting –nRMSE (Rank-nRMSE)

A *Relative Weight -nRMSE (RW-nRMSE)* complementary method was developed with priority ranks (1 to 5). A rank can be assigned to each target variable separately, where 1 is the most accurate and 5 the least accurate, in relative terms. Each accuracy rank has weight coefficient assigned to it in the TSE code (Table 4), rank 1 with weight coefficient of 0.01 and rank 4 with values 0.04 used in selection criteria. With ranks each target variable's accuracy can be selected, giving users more flexibility in terms of target variable prioritisation in the cultivar coefficients estimation process (Table 4), as shown in the following example with gwad having rank 1 and the other target variables rank 4.

Table 4 RW-nRMSE method target variable ranking with weight coefficients

RW-nRMSE		
	Rank	Coefficient weight
LAID	4	0.04
CWAD	4	0.04
LWAD	4	0.04
GWAD	1	0.01

Initially the weight coefficients are defined (by ranking) for creating a mathematical threshold used in the program (Figure A.1a). The initial selection criteria was set to 0.0 (Figure A.1a). With the initial selection criteria the *while* loop (flow controlling statement executed repeatedly until specified conditions are meet in the programming language) is initialised (Figure A.1b). Within the *while* loop, the *if* condition is set for finding an overall statistically acceptable solution (Figure A.1c). The *while* loop will be stopped only if the calculated nRMSEs for multiple target variables satisfies the RW-nRMSE selection criteria.

If accurate grain yield is the goal (other target variables less accurate) of the optimisation then the relative weight coefficients based on RW-nRMSE criteria (Table 4) gwad rank 1 and the other three variables rank 4 offers more accurate results of gwad target variable. The rank 1 for gwad and rank 4 for laid, cwad and lwad initializes RW-nRMSE mathematical threshold in to code for while loop first run of 0.01 for nRMSE-gwad and 0.04 for nRMSE-laid-cwad-lwad. In practice this means when the first loop run is searching through calculated nRMSEs for all target variables only coefficient combinations that have calculated nRMSE-gwad lower than 0.01 and nRMSE-laid-cwad-lwad lower than 0.04 is selected as optimum. If in first while loop run none of the coefficient combinations have calculated nRMSE as defined with relative weights the while loop is restarted for the second time with new mathematical threshold for nRMSE-gwad lower than 0.02 and nRMSE-laid-cwad-lwad lower than 0.8. If the newly formed mathematical threshold doesn't provide coefficient combination with calculated nRMSEs the

while loop will be started again with nRMSE-gwad mathematical threshold of 0.03 etc. until coefficient combination providing calculated nRMSEs for target variables is located.

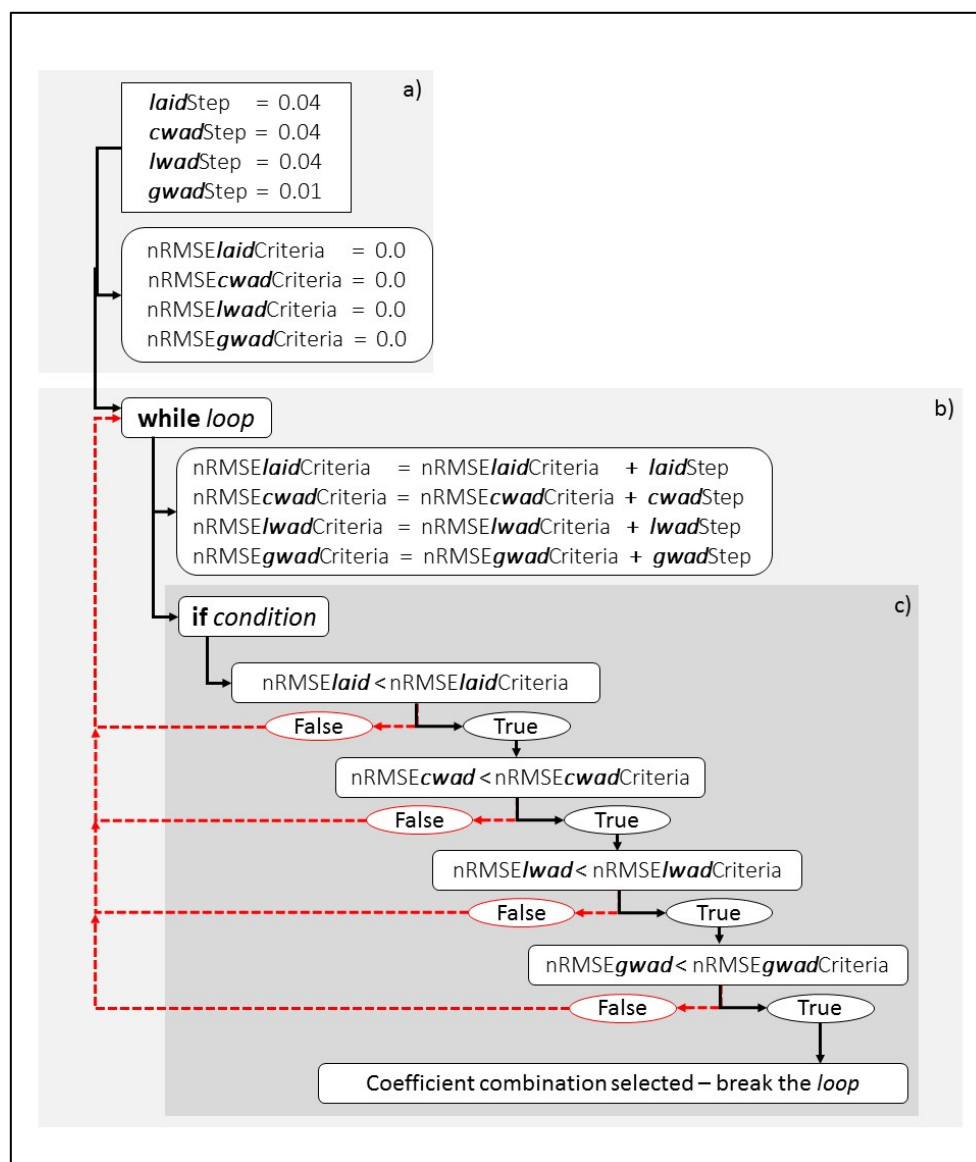


Figure A.1 The RW-nRMSE cultivar coefficient combination selection method

With RW-nRMSE-gwad rank 1 and RW-nRMSE-laid-cwad-lwad rank 4 while loop with if conditions is repeated 8 times (Table 7) with desired LFMAX coefficient of 1.136 providing the most accurate simulations for grain weight (gwad). This coefficient values is different from the one selected with simple nRMSE criteria results shown in Table 1.

Table 5 The RW-nRMSE - simplified example of varying one of the cultivar parameters with calculated nRMSEs for multiple target variables demonstrating selected combinations with target variable with relative weights ranking in TSE.

	nRMSE				
LFMAX (G)	gwad	laid	cwad	lwad	AVG-nRMSE
0.8	0.208	0.22	0.185	0.203	0.204
0.912	0.131	0.153	0.119	0.146	0.137
1.024	0.082	0.145	0.109	0.144	0.12
1.136	0.078	0.171	0.137	0.173	0.14

1.248	0.109	0.209	0.178	0.211	0.177
G – growth, gwad - grain weight, laid - leaf area index, cwad - tops weight, lwad - leaf weight					

A.5 Range reduction – generating coefficient combinations

(This method has to be used carefully in case if “Fixed initial Min – Max” check box is NOT checked! It is designed to provide the best statistical fit between simulated and observed. The best statistical fit providing coefficients might not be Physiologically meaningful!)

The cultivar coefficient estimation process consists of varying the values for each cultivar coefficient and comparing a statistical fit of simulated outputs with field observations in order to determine the coefficient combination providing the best agreement between simulated and observed values. Various cultivar coefficients have potentially wide ranges (minimum and maximum values difference) with many in-between values that depend on the increment step size (Inc). The so called *Exhaustive gridding - coefficient variation* (Table 6) can be used to systematically investigate coefficient ranges in search for coefficient values that provide the best statistical fit. For example P5 coefficient value for minimum 100, maximum 900 and increment step 3.3 can be passed into the cultivar file and for each coefficient value model executed. In this example as shown in Table 6 it can be seen that for a coefficient range from 100 to 900 with increment steps of 3.3 a total 243 coefficient variations are executed for the P5 coefficient with Exhaustive gridding method. In order to overcome time losses in the process of cultivar coefficients estimation based on the statistical fit (lowest nRMSE), a range reduction method (Röll et al. 2020) was implemented (Table 6). With range reduction method four global phases are conducted in the process of estimating cultivar coefficient with smallest average nRMSE. Greater increment steps are used in the first phase for each given coefficient range with P5 coefficient having minimum 100, maximum 900 and increment step 200. Based on the lowest nRMSE, the value for coefficient is selected, i.e. 300. In the second Phase, the new coefficient ranges with a narrower increment step are executed with P5 having minimum 180, maximum 420 and increment step 60. Based on the lowest nRMSE, the new coefficient “optimum” is selected, i.e. P5=300. In the third phase new range for each coefficient is defined with P5 having minimum 270, maximum 330 and increment step 15. In the final phase P5 minimum is 263.2 and maximum 276.7 with increment step of 3.3 is passed into the cultivar file and based on lowest nRMSE P5=263.2 is selected. Based on the range reduction approach, 48% fewer combinations are executed when compared to exhaustive gridding coefficient variations. The range reduction method as described in Table 6 is expected to retain a systematic optimum localisation approach (achievable with exhaustive gridding variation), and is expected to provide more realistic values for the coefficients when compared to the random generation of cultivar coefficients for allowed ranges. The range reduction is flexible programmed and will work with different scale coefficients. The method will be described in more detail in additional publication with corresponding programming code written in python.

Example with CERES-Wheat P5 coefficient

Exhaustive gridding		Range reduction method			
P5		Phase 1	Phase 2	Phase 3	Phase 4
Min	100	100	180	270	263.2
Max	900	900	420	330	267.7
Inc. step	+3.3	+200	+60	+15	+3.3
					263.2 →263.2
					266.5
					269.9
					273.3
					276.7
100	100		180	270 →270	
103.3	300 →300		240	285	
...	500		300 →300	300	
→263.2	700		360	315	
...	900		420	330	
900					
No. comb.	243	5	5	5	5
Total	243	20			

References

Memic E., Graeff S., Boote K., Hensel O., Hoogenboom G., (2021). Cultivar coefficient estimator for the cropping system model based on time-series data – A case study for soybean. (*Accepted in Trans. ASABE – not published yet*)

The TSE user interface was created in Qt Designer 4 (<https://doc.qt.io/qtcreator/index.html>) and compiled into windows runnable with Pyinstaller (<https://www.pyinstaller.org/>) and TSE algorithm written by Emir Memic.