# User guidelines for running TSE software (Time-Series cultivar coefficient Estimator for DSSAT crop models) Standalone external DSSAT plug-in

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This cultivar coefficients optimization program was developed and tested with DSSAT4.7 CROPGRO-Soybean model. The program was partially tested with CERES-Maize and Wheat models. The program was designed and written to enable optimization of cultivar and ecotype coefficients of all available crop models in DSSAT4.7 and future DSSAT4.8 release and should work with other future versions. For writing this user guidelines DSSAT 4.8 version was used.

TSE\_v1 – (June\_2021)

TSE v2 – (March 2023)

New features in version 2:

- Full window view will expand interface to fit the screen.
- Default resolution:

1220x720

- Scale layout:
  - The computer screen scale layout must be set to 100% for fully operable TSE!
  - Scale layout set at 125% is displayed properly to a certain degree (TSE partially operable).
  - Windows scale layout 150% will not display TSE options properly! (TSE inoperable!)
- PlantGro.OUT default DSSAT time-series output file used! Additionally other DSSAT time-series output files can be used such as: PlantGr2.OUT etc.
- Multiple @TRNO layers in File-T can be used directly in the optimization process.
- Boxplot sensitivity analysis output available in TSE\_workspace for selected target variables based on used coefficients in the optimization process.

# Contents

I The TSE concept overview (Memic et al. 2021)	3
II General TSE program settings overview	4
III Running TSE program	8
1. Do NOT modify!	10
2. Select desired model, cultivar or ecotype and Initialize selected!	10
3. Select cultivar from model corresponding list and File/s-X from list containing	•
4. Select corresponding Treatment/s based on the File/s-X, containing selected of	
5. Execute (Check the DSSAT file setup) selected treatment/s with DSSAT mod DSSAT files are runnable	
6. Select optimisation of Phenology/Growth -related coefficients and correspond	ding methods 12
7. Selecting desired coefficients and coefficient ranges and increment steps	14
8. Check if optimisation software setup is correct	15
9. Run – Range setup & Run – Range reduction!	16
10. Additional options	17
Multi TRT comb	17
Gbuild	17
Exit the program and all running threads	17
IV Boxplot based coefficient sensitivity analysis	18
1. Range setup example	18
2. Range reduction example	19
APPENDIX	19
A.1 The nRMSE error minimisation method (nRMSE) (Memic et al. 2021)	20
A.2 The nRMSE multiple treatment-based goodness of fit criteria (nRMSE) (M	emic et al. 2021). 20
A.3 The Relative Weight –nRMSE (RW-nRMSE)	21
A.4 The Rank Weighting –nRMSE (Rank-nRMSE)	22
A.5 Range reduction – generating coefficient combinations (Memic 2022)	24

## I The TSE concept overview (Memic et al. 2021)

The cultivar/ecotype coefficients estimation program was developed to work with DSSAT crop growth models (CROPGRO and CERES). It will work only if fully functional DSSAT files are available such as experiment file (File-X), weather file, soil profile, functional species, ecotype and cultivar files, time-series file containing in-season observations (File-T) and summary file (File-A - optional) are available.

Overall program run can be separated in three steps:

- 1. Selection of the File-X and corresponding treatments defined in File-X. Based on the selected experiment corresponding in-season observations (as time-series data) are read in as temporal inputs (all available time-series observations can be used), and cultivar coefficients designated for optimization (phenology- and/or growth-related) are selected with desired coefficient ranges (Figure 1, step 1).
- 2. For each cultivar coefficient combination defined in first step crop model is executed with simulated outputs being coupled with in-season observations and saved for later analysis (Figure 1, step 2).
- 3. After all cultivar coefficient combinations were used and simulated outputs coupled with inseason observations statistical analysis is conducted based on target variables used in the cultivar coefficient estimation process and the combination with the best statistical agreement between simulated and observed is selected as "optimum".

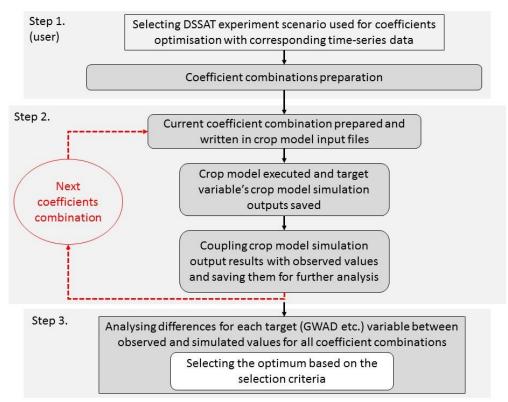


Figure 1: Flow chart showing overall program run in three steps (GWAD – grain weight) (Memic et al. 2021)

The program can be used for optimizing cultivar coefficients based on single or multiple experiment data sets. User should first optimize phenology-related cultivar coefficients. After phenological events are correctly optimized, growth-related cultivar coefficients are optimized. Phenology-related cultivar coefficient optimization is not conducted based on the time-series data (File-T), but by using File-A observations and by simply minimizing the difference between simulated and observed phenological event as day after planting. Growth-related cultivar coefficients are optimized by using File-T in-season observations by means of normalized RMSE (among others) throughout season. The combination providing lowest difference between simulated and observed values based on nRMSE is selected as optimum. Cultivar coefficient ranges initial values are predefined (by existing minimum and maximum values in cultivar files) but can be modified according to user's needs.

## II General TSE program settings overview

The program was developed (in Windows environment, for use on Windows) as standalone external DSSAT plug-in and has no specific installation requirements. All required files for running the program are shared on GitHub via zipped file.

The "TSE\_v2.zip" file must be unzipped and copied to the Tools directory: "C:\DSSAT48\Tools" (Figure 2) (depending on the version "C:\DSSAT\*\*\Tools").

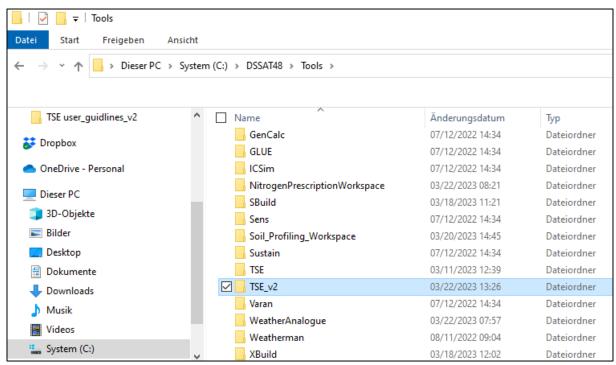


Figure 2 "C:\DSSAT48\Tools\TSE\_v2"

In the folder "TSE\_v2" "C:\DSSAT48\Tools\TSE\_v2" (Figure 3) "TSE\_v2.exe" windows runnable must be executed as "Administrator" (Figure 3).

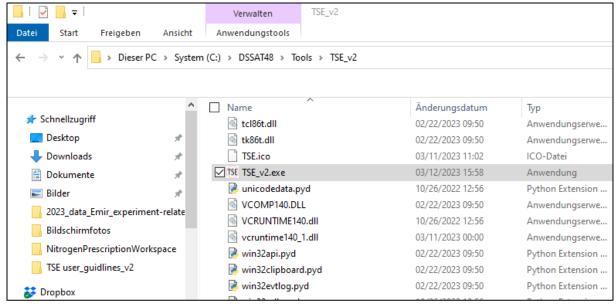


Figure 3 "TSE\_v2.exe" windows runnable

#### **VERY IMPORTANT:**

- I. **PlantGro.Out** (or other time-series) crop model outputs are coupled to those in **File-T** (phenology- or growth-related) time-series in-season observations.
- II. **Evaluate.Out** crop model outputs are used for optimising Phenological events which are passed into the model through **File-A**.
- III. If sub-model (e.g. WHAPS) is initialised in the File-X, the calibrator might NOT work! (in File-X in \*SIMULATION CONTROLS in GENERAL line, column SMODEL do NOT initialize sub-models such as WHAPS, IXIM etc.!). This does TSE program.
- IV. For multi-TRT optimizations only target variables simultaneously available in all **File- T**/s (for corresponding **File-X**/s Treatment/s) are accessible for optimisation.
- V. File-T observations: All in-season observations available including 0 are used! Only "-99" values are ignored by TSE.
- VI. The program is matching DOY from **File-T** with those in the **PlantGro.OUT**. If the user setup in File-X reporting frequency for example every fifth day and exact observation DOY is not present in the PlantGro.OUT as it is written in the File-T, the program will NOT be able to match them for comparing simulated with observed.

The TSE program is creating additional directory "TSE\_workspace" ("C:\DSSAT48\TSE\_workspace") (Figure 4) and modifying the cultivar file in that directory, which is then executed by main DSSAT model executable.

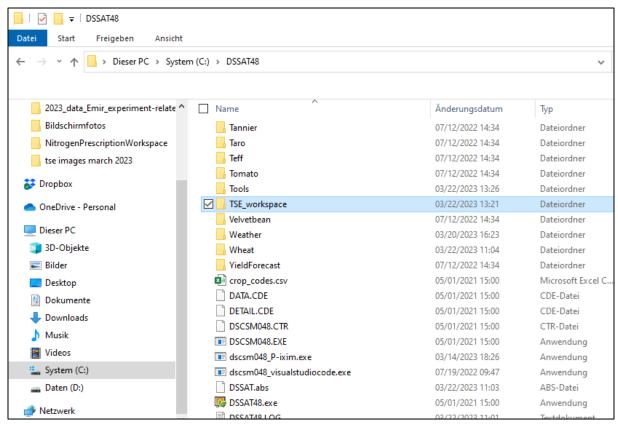


Figure 4 ("C:\DSSAT48\TSE workspace")

## The TSE program does NOT modify core DSSAT files in their original directories!

<u>Program run is considered</u>: From when "TSE\_v2.exe" is executed until "Exit" push button is pressed. Any form of optimization done in-between is temporarily saved in the cultivar file in "TSE\_workspace" directory as a working version.

After TSE program is started ("TSE\_v2.exe" executed) all modifications on Cultivar or Ecotype file are conducted in ("C:\DSSAT48\TSE\_workspace"). During one program run (until "Exit" push button is pressed) different coefficients (or different target variables) can be optimised one after another or simultaneously and cultivar changes will be saved if accepted as "optimums" in cultivar file in C:\DSSAT48\TSE\_workspace. If user is satisfied with the cultivar coefficient values based on nRMSE (or other) fit, cultivar coefficient combination MUST be copied to "C:\DSSAT47\Tools\Genotype" located cultivar or ecotype file MANUALLY. If TSE program is started again without saving the combination in C:\DSSAT47\Tools\Genotype located cultivar or ecotype file new TSE program start will copy original cultivar or ecotype file located in C:\DSSAT47\Tools\Genotype and overwrite user's working cultivar file in "C:\DSSAT48\TSE\_workspace".

After model run finished and before the user clicks "Exit" <u>push button</u> they can open GBuild and check visual and statistical fit (RMSE, d-statistics within GBuild) of the experiment file executed with the "optimum" genetic coefficient combination found in the last model run. With GBuild the user opens **PlantGro.OUT** from "C:\DSSAT48\TSE\_workspace" directory because TSE will create parallel files it requires in this folder, without modifying the original files in DSSAT directory.

The more coefficients are "activated" (used in estimation process) the longer will optimisation last. For each new coefficient and additional increment step (Inc) number of model runs will increase exponentially (time constraint).

Example of optimizing cultivar coefficient (CROPGRO-Soybean, SBGRO048.CUL):

Every time **TSE\_v2.exe** is executed, original cultivar file (SBGRO048.CUL) file from **C:\DSSAT48\Tools\Genotype** will be copied to "**C:\DSSAT48\TSE\_workspace** directory (Figure 5) and overwrite cultivar file in that directory (if exist, if not then just copied). If user wants to keep the genetic coefficient combination, it has to be copied to the original cultivar file in **C:\DSSAT47\Tools\Genotype** directory into SBGRO048.CUL **Manually**.

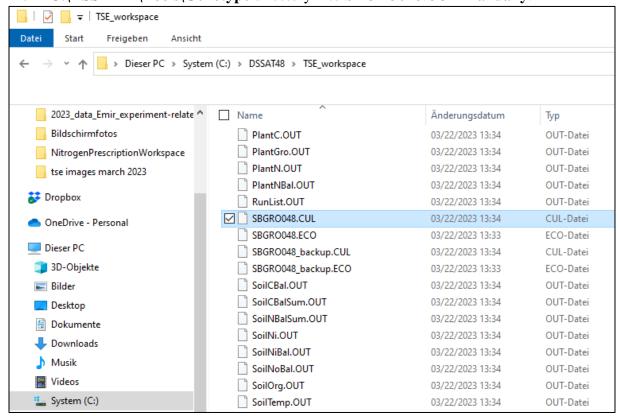


Figure 5 C:\DSSAT48\TSE\_workspace\SBGRO047.CUL

The <u>original cultivar</u> is saved as "!Old\_timestamp\_cultivarID...." (Figure 6, text editor line 75-76) and the <u>new one</u> (Figure 7, text editor line 73) is saved in that working cultivar file (in **TSE\_workspace**) and model is executed.

```
PhenologicalEventsDAP.txt
                      🔚 SBGRO048.CUL 🔀
     UC0002 MAPLE ARROW (00)
                                  . SB0001 14.30 0.155
                                                        17.0
                                                               6.9
                                                                    12 8 33 4
68
     UC0003 MCCALL (00)
                                 . SB0001 14.30 0.155 16.5
                                                               5.5 12.0 34.3
     IB0011 EVANS (0)
                                 . SB0001 14.10 0.171 16.8
                                                               7.8 13.8 30.0
                                                               8.9
                                                                    13.0 35.8
     IB0037 ELGIN-87 (2)
                                  . SB0201 13.59 0.249
                                                        17.0
70
71
     IB0003 WAYNE (3)
                                  . SB0301 13.45 0.255
                                                        19.5
                                                               8.0
                                                                     14.7 26.7
     P0010 WITTIAMS 82 (3)
72
                                  . SB0301 13.40 0.285
                                                        19.0
                                                               8.3
                                                                     14.2 32.2
    IB0001 BRAGG
73
                                  . SB0701 12.33 0.320
                                                        19.5
                                                              10.0
                                                                     15.2 37.6
     !Old 2021-06-08 13:20:30.131000:
75
     !IB0001 BRAGG
                                    SB0701 12.33 0.320 19.5 10.0 15.2 37.
```

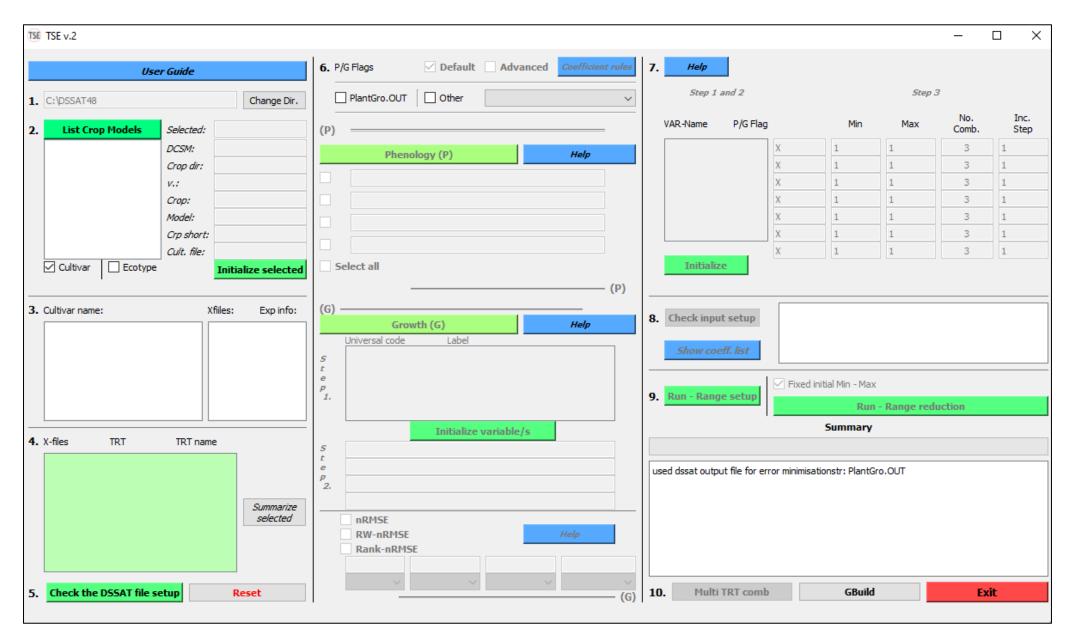
Figure 6 Old and new cultivar

## III Running TSE program

(The steps of preparing the estimator for run are enumerated in the interface)

- 1. If directory path shown is "C:\DSSAT48" (or other C:\DSSAT\*\*), do NOT modify! If the path is not "C:\DSSAT48" (This means that TSE folder was not copied to the "C:\DSSAT48\Tools"), then use "Change Dir." To navigate to "C:\DSSAT48" folder and select it. This will be explained later in more details.
- 2. Select desired model, cultivar or ecotype and Initialize selected!
- 3. Select cultivar from model corresponding list and File/s-X from list containing selected cultivar.
- 4. Select corresponding Treatment/s based on the File/s-X containing selected cultivar.
- 5. Execute selected treatment/s with DSSAT model to check if core DSSAT files are runnable and select Default/Advanced.
- 6. Select optimization of Phenology/Growth -related coefficients and corresponding methods.
- 7. Selecting desired coefficients and coefficient ranges and increment steps.
- 8. Check if optimization software setup is correct.
- 9. Run the model! ("Run Range setup" or "Run Range reduction method")
- 10. Estimate Multi-treatment-based cultivar coefficient combination or execute GBuild!

#### Interface

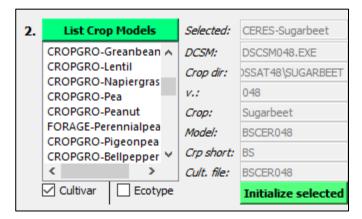


## 1. Do NOT modify!

If directory path shown is "C:\DSSAT48" (depending on what version of the model user is running), do *NOT* modify! If the path is not "C:\DSSAT47 or C:\DSSAT48" or other, then copy TSE\_v2 folder into the "C:\DSSAT\*\*\Tools". After re-executing "TSE\_v2.exe" from "C:\DSSAT48\Tools\TSE\_v2" the correct path should be read in.

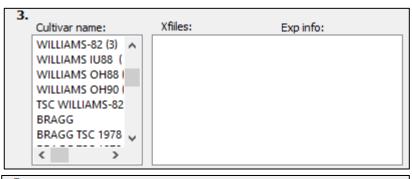


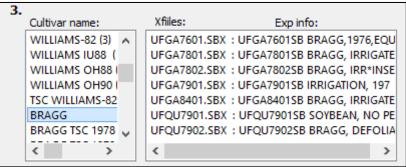
# 2. Select desired model, cultivar or ecotype and Initialize selected!



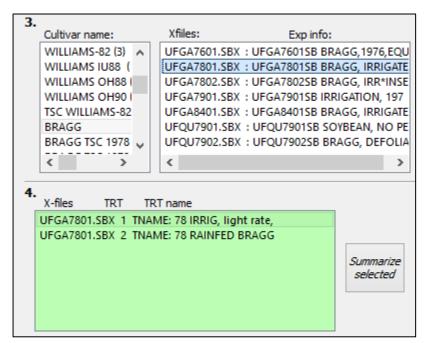
The list of models available in the DSSAT are uploaded into the TSE interface after List Crop Model is clicked. After selecting desired model and cultivar or ecotype the Initialize selected push button has to be clicked.

# 3. Select <u>cultivar</u> from model corresponding list and <u>File/s-X</u> from list containing selected cultivar.



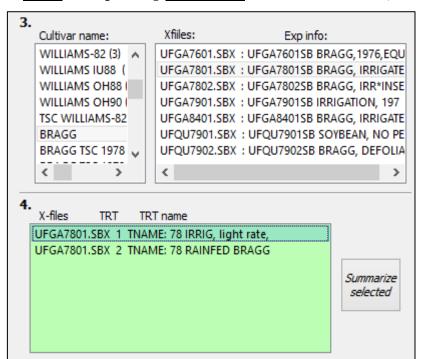


After the model is initialized the list of the model corresponding cultivar names are read in the interface ("Cultivar name"). After cultivar name (e.g., "BRAGG") is selected the File/s-X containing that cultivar name are read in the interface ("X files and Exp info").

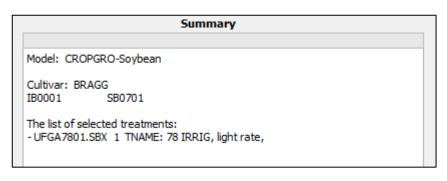


In the list File-X (or multiple File-Xs) can be selected as showed (e.g., UFGA7801.SBX) (Multiple treatment (experiment) selection is done by: *Ctrl+ mouse left button click*).

# 4. Select corresponding Treatment/s based on the File/s-X, containing selected cultivar.

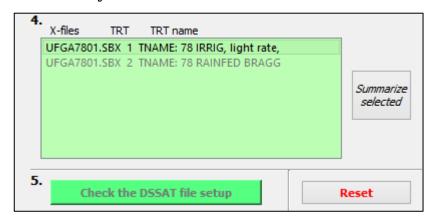


After selecting File-X in the interface the list of the treatments in the File-X containing the cultivar is shown and offered for selection (green list widget box). The green box (green list widget box in the interface) is where the experiment file and treatments for optimising cultivar coefficients are selected. Multiple treatments can be selected (multiple treatment (experiment) selection is done by: *Ctrl*+ *mouse left* button click).



The "Summarize selected" push button will display selected options in the Summary window in the interface.

# 5. Execute (Check the DSSAT file setup) selected treatment/s with DSSAT model to check if core DSSAT files are runnable.



The "Reset" button will unlock the access to the selection of the crop model, cultivar/ecotype and File-Xs.

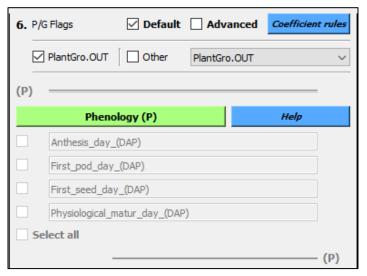
# 6. Select optimisation of <u>Phenology/Growth</u> -related coefficients and corresponding methods.

Cultivar specific coefficient flags (Phenology and Growth – P/G) are based on cultivar file (infile "!Calibration" line starting with DSSAT4.8 version or are listed in the "C:\DSSAT48\Tools\TSE" in a file "ParameterProperty.txt". *Default* (check box) will upload only coefficients with predefined P/G flags (according to selection of the Phenology or Growth). *Advanced* (check box) will upload all available cultivar coefficients from cultivar file for potential optimisation. It is possible to use other DSSAT time-series output files for



coefficient optimization in case observations are simultaneously available in corresponding output file (e.g., PlantGr2.OUT) and File-T.

#### Phenology:



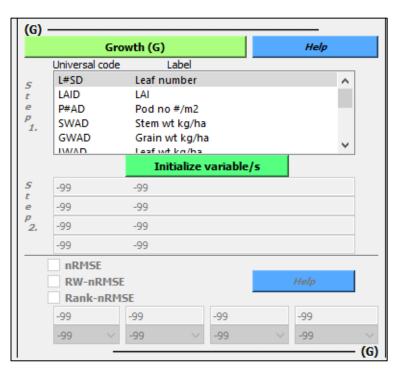
There are four phenological events parameters described in (Memic at al. 2021) hardcoded in the TSE algorithm, specifically for CROPGRO-Soybean.

This hard coded solution can be over-written with manually customizable text file located in "C:\DSSAT48\Tools\TSE" named "PhenologicalEventsDAP.txt". The file setup is explained in the file as shown in following figure and has to be located in

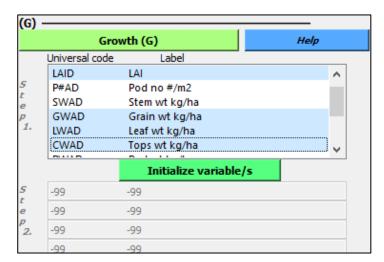
"C:\DSSAT47\Tools\TSE" directory and format rules have to be abided!

```
🔚 Phenological Events DAP.txt 🔀
       Phenological events as Days after planting (DAP)
 3
        Only four can be read in and the rest should be outcomented with "!"
     1
 4
        Phenological events can be added to this list!
 5
        In order to work Phenological event - code (etc. ADAP) has to be outputed
        by DSSAT in the Evaluate.OUT and this format has to be followed:
 8
        Example:
 9
     Ţ
        Code
                 Code Name
 10
        ADAP
                 Anthesis_day
11
12
     [------
                 Anthesis_day_(DAP)
13
     ADAP
14
     PD1P
                 First_pod_day_(DAP)
15
     PDFP
                 First_seed_day_(DAP)
16
     MDAP
                 Physiological_matur_day_(DAP)
 17
     ! PD1T
                 First_pod_date_(DAP)(YrDoy)_?
                 Emergence_date_(DAP)
 18
     !EDAP
                 Branch_1_date_(DAP)
19
     !B1DAP
                 Branch_2_date_(DAP)
20
     !B2DAP
21
     !B3DAP
                 Branch_3_date_(DAP)
22
     !B4DAP
                 Branch_4_date_(DAP)
23
     IFDAD
                 Forcing_Date_(DAP)
24
     !TDAP
                 Begin_tuber_growth_(DAP)
 25
```

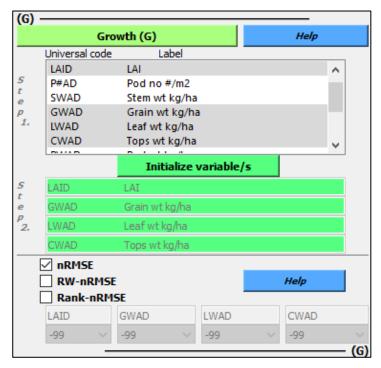
#### **Growth:**



In this step, error minimization method (nRMSE or RW-nRMSE or Rank -nRMSE, explained in the APPENDIX) is selected. Maximum four different target variables (e.g. LWAD, CWAD ...) can be selected. If four are not available, one or two or three can be selected. In first round of optimization four can be selected and in second four, or with some other combinations.

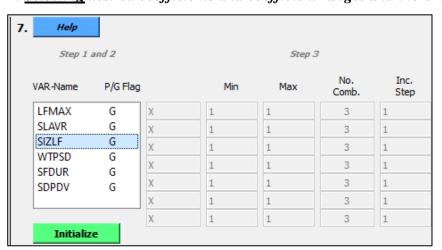


"Ctrl + mouse left click"- for selecting multiple target variables simultaneously!

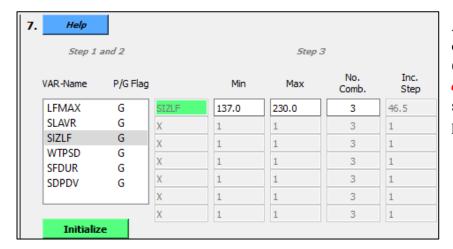


After selecting target variables Initialize target variable/s has to be clicked.

# 7. Selecting desired coefficients and coefficient ranges and increment steps.



First: coefficient/s are selected from the list:



After selecting coefficient or multiple coefficients (*Ctrl*+ *mouse left button click* – for multiple selection) "Initialize" push button is clicked!

In the DSSAT4.8version additional MINIMA/MAXIMA coefficient values are included in cultivar files with more details. Since MINIMA/MAXIMA are recommendations made by crop model developers a new code addition was done to the TSE algorithm. The TSE program will check for these values and populate Min Max edit boxes with those values.

Initialize push button will initialize coefficient Labels in edit boxes and populate Min/Max coefficient ranges with min/max value available in corresponding cultivar file for selected cultivar coefficient (step 2.). Automatically this will create a simple range of Min/Max values with three coefficient combinations that are going to be passed into the cultivar file, after each model is executed. If the user wants more combinations in between given Min/Max range number of desired coefficient combinations between Min/Max can be given in "No. Comb." edit boxes. After giving the desired number of combinations between Min/Max increment steps are automatically calculated.

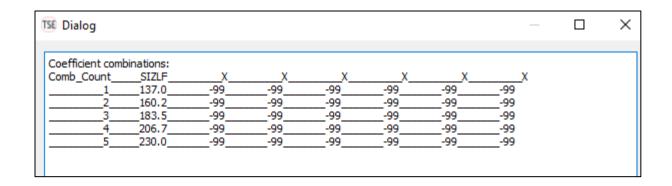
If a user would like to modify Min/Max values it can be done directly (manually) in edit boxes below Min/Max labels in step 2. If Min/Max are modified based on the number of combinations values are automatically calculated and populated in corresponding edit boxes.

If the user wants to fix the value of some coefficient, then they set Min and Max to equal value (same value in the Min edit box as in the Max value edit box).

#### 8. Check if optimisation software setup is correct.

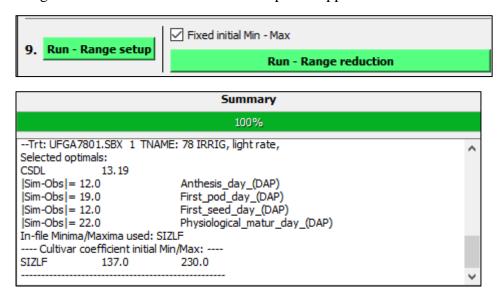


Show coeff. List push button will display coefficient to be used in the estimation process.



# 9. Run – Range setup & Run – Range reduction!

Range reduction is described as an example in Appendix A.5.



**Run-Range setup** – will pass to the cultivar/ecotype file only these five coefficients based on the example described in user guidelines section **7**.

Comb_Count	SIZLF	X	X	X	X	X	X
11	130.0	-99	-99	-99	-99	-99	-99
2	155.0	-99	-99	-99	-99	-99	-99
3	180.0	-99	-99	-99	-99	-99	-99
4	205.0	-99	-99	-99	-99	-99	-99
5	230.0	-99	-99	-99	-99	-99	-99

**Run-Range reduction** — will use initial Min/Max and increment step as a starting point and through four run cycle try to localize (find local optimum) the best coefficient value based on the error minimization method for coefficient values that are not tested at all in simple "Run-Range setup", as shown in following figure, as described in APENDIX 5.

If "Fixed initial Min – Max" check box is "checked" range reduction algorithm will not be allowed to offer Min "optimum" lower than that which is defined while initializing cultivar coefficient values. Same goes for Max values.

```
Range reduction phase:
     130.0
            1.0 1.0 1.0 1.0 1.0 1.0
            1.0 1.0 1.0 1.0 1.0 1.0
 3
     155.0
     180.0
            1.0 1.0 1.0 1.0 1.0 1.0
 4
 5
     205.0
            1.0 1.0 1.0 1.0 1.0 1.0
     230.0
            1.0 1.0 1.0 1.0 1.0 1.0
                             1.0 1.0 1.0 1.0 1.0 1.0
     "optmal comb:
                     155.0
 8
9
    Range reduction phase: 2
10
     146.0
            1.0 1.0 1.0 1.0 1.0 1.0
     150.5
            1.0 1.0 1.0 1.0 1.0 1.0
11
12
     155.0
            1.0 1.0 1.0 1.0 1.0 1.0
13
     159.5
            1.0 1.0 1.0 1.0 1.0 1.0
14
     164.0
            1.0 1.0 1.0 1.0 1.0 1.0
                             1.0 1.0 1.0 1.0 1.0 1.0
15
                    164.0
     "optmal comb:
16
17
    Range reduction phase:
18
    162.3
           1.0 1.0 1.0 1.0 1.0 1.0
            1.0 1.0 1.0 1.0 1.0 1.0
19
    163.1
20
    163.9
            1.0 1.0 1.0 1.0 1.0 1.0
            1.0 1.0 1.0 1.0 1.0 1.0
21
     164.7
22
     165.6
            1.0 1.0 1.0 1.0 1.0 1.0
23
     "optmal comb:
                    165.6 1.0 1.0 1.0 1.0 1.0 1.0
24
25
    Range reduction phase:
26
    165.3
           1.0 1.0 1.0 1.0 1.0 1.0
27
     165.4
            1.0 1.0 1.0 1.0 1.0 1.0
28
     165.5
            1.0 1.0 1.0 1.0 1.0 1.0
29
     165.6
            1.0 1.0 1.0 1.0 1.0 1.0
30
     165.8
            1.0 1.0 1.0 1.0 1.0 1.0
31
                    165.8
                             1.0 1.0 1.0 1.0 1.0 1.0
     "optmal comb:
```

## 10. Additional options

#### Multi TRT comb

If this push button clicked multi treatment-based cultivar coefficient combination will be estimated, as described in appendix A.2.

#### **Gbuild**

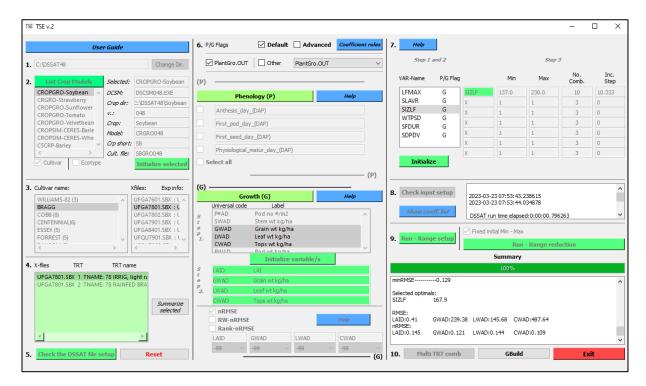
With **GBuild** PlantGro.Out can be opened **in TSE\_workspace** directory to see how good new combination is. If more than one experiments were used (if Multi-TRT) combination was found, all used treatments can be seen in Gbuild simultaneously.

If the **PlantGro.Out** in "C:\DSSAT48\TSE\_workspace" is open with GBuild visual and statistical fit across all used experiments of coefficient optimization can be seen in GBuild.

# Exit the program and all running threads

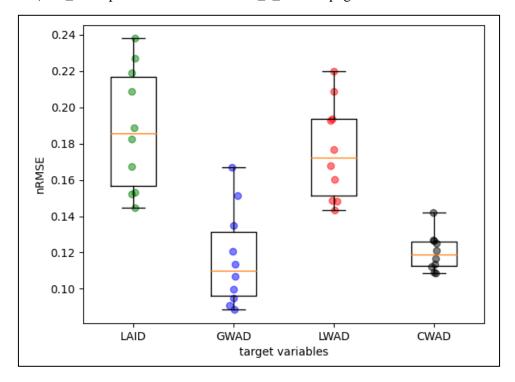


# IV Boxplot based coefficient sensitivity analysis



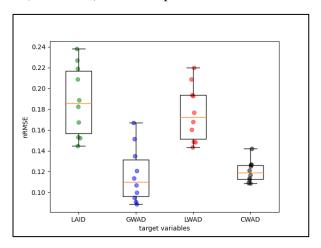
# 1. Range setup example

C:\DSSAT48\TSE\_workspace -> UFGA7801.SBX\_1\_boxPlot.png

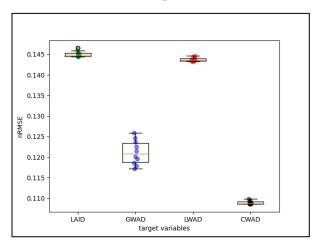


# 2. Range reduction example

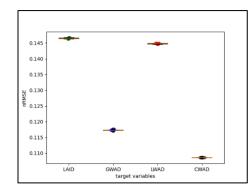
 $C: \label{eq:constraint} C: \label{eq:constraint} C: \label{eq:constraint} DSSAT48 \label{eq:constraint} TSE\_work space -> UFGA7801.SBX\_1\_1\_boxPlot.png$ 

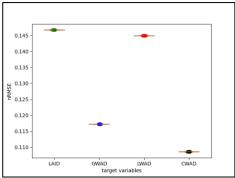


C:\DSSAT48\TSE\_workspace -> UFGA7801.SBX\_1\_2\_boxPlot.png



 $\label{local_constraint} C:\DSSAT48\TSE\_workspace -> UFGA7801.SBX\_1\_3\_boxPlot.png \ and \ UFGA7801.SBX\_1\_4\_boxPlot.png$ 





## **APPENDIX**

#### **A.1 The nRMSE error minimisation method (nRMSE)** (Memic et al. 2021)

For quantifying the variation between simulated (Si) and observed (Oi) values the statistical method of nRMSE (Eq. 2) is used. The nRMSE, is RMSE (Eq. 1) normalized by mean  $(\overline{O})$  for each observed crop variable.

$$RMSE = \left[\frac{1}{n}\sum_{i=1}^{n}(S_i - O_i)^2\right]^{0.5}$$
 (1)

$$nRMSE = \frac{RMSE}{\bar{O}} \tag{2}$$

The *nRMSE* is a simplified selection criterion that is applicable across multiple target variables with different scales. The coefficients are estimated across multiple target variables, with specific objective of locating coefficients combination with lowest *nRMSE* over all targeted variables (Eq. 3).

$$AVG_{nRMSE(n)} = (GWAD_{nRMSE(n)} + LAID_{nRMSE(n)} + CWAD_{nRMSE(n)} + LWAD_{nRMSE(n)})/4$$
 (3)

The selection of the coefficient combination with the lowest nRMSEs average across all target variables proves to be a good solution (Table 1, AVG-nRMSE=0.12).

Table 1 The nRMSE - simplified example of varying one of the cultivar parameters affecting growth (G) related target variables (GWAD, LAID, CWAD, and LWAD) of Gainesville 1978 treatment with calculated nRMSEs for multiple target variables (each) and optimum selection based on the average nRMSE (AVG-nRMSE) over multiple target variables (Memic et al.2021).

LFMAX		AVG				
(G - Growth)	GWAD	LAID	CWAD	LWAD	nRMS	E
0.8	0.208	0.22	0.185	0.203	0.204	
0.912	0.131	0.153	0.119	0.146	0.137	
1.024	0.082	0.145	0.109	0.144	0.12	0.12
1.136	0.078	0.171	0.137	0.173	0.14	
1.248	0.109	0.209	0.178	0.211	0.177	

LFMAX – CROPGRO coeff., GWAD - grain weight, LAID - leaf area index, CWAD - tops weight, LWAD - leaf weight

# **A.2 The nRMSE multiple treatment-based goodness of fit criteria (nRMSE)** (Memic et al. 2021)

Cultivar coefficients can be optimized based on the in-season observation of one experiment (single treatment/experiment) or multiple experiment data sets (multiple season and/or locations). Using only one experimental data set for optimization will lead to better statistical agreement between simulated and observed, but potentially result in over-fitting of simulation outputs with observations. Cultivar coefficients should perform well across multiple seasons and locations and as such should be derived based on multiple seasons and locations. In Table 2 are shown single treatment "optimums" and multiple treatment "optimum".

For demonstrating single treatment and multiple treatment-based cultivar coefficient optimization one cultivar coefficient (LFMAX) and three treatments have been selected (Gainesville 1978, Gainesville 1979 and Gainesville 1984). Cultivar coefficient value minimum (0.85), maximum (1.25) and increment step (0.1) were written in the corresponding cultivar file

and crop model was executed. For each cultivar coefficient simulated and observed GWAD, LAID, CWAD and LWAD average nRMSE was calculated for localizing "optimums". First single treatment "optimums" are localized based on the lowest average nRMSE (AVG-nRMSE, Table 2, section a, grey fields).

In second step multiple treatment cultivar coefficient "optimum" is localized based on the single treatment based AVG-nRMSEs with formula: [(TRT<sub>1</sub>+TRT<sub>2</sub>+TRT<sub>3</sub>)/3]. Based on the averaging of the single treatment based AVG-nRMSEs multiple treatment based optimum is selected (Table 2, section b, grey fields) with lowest treatment based average nRMSE (Table 2, section b, blue field).

Table 2 'Bragg' cultivar (*DSSAT Default*) is shown for soybean experiments conducted in Gainesville 1978, Gainesville 1979 and Gainesville 1984, with LFMAX cultivar coefficient variations from 0.85 to 1.25 with increment step 0.1 with 5 coefficient combinations for each treatment. (Memic et al. 2021)

	a) Single treatment "optimums" b) Multi treatment based "optimum"									
<u>a)</u> S	single ti	eatment "o	•	b) Multi treatment based "optimum"						
			AVG					AVG	Multiple	Optimum
Year	TRT	LFMAX	nRMSE		Year	TRT	LFMAX	nRMSE	treatment	
									average	
1978	1	0.85	0.17		1978	1	0.85	0.17		
1978	1	0.95	0.128		1979	2	0.85	0.119		
1978	1	1.05	0.122		1984	3	0.85	0.408	0.232	
1978	1	1.15	0.142		1978	1	0.95	0.128		
1978	1	1.25	0.175		1979	2	0.95	0.14		
1979	2	0.85	0.119		1984	3	0.95	0.374	0.214	0.214
1979	2	0.95	0.14		1978	1	1.05	0.122		
1979	2	1.05	0.177		1979	2	1.05	0.177		
1979	2	1.15	0.21		1984	3	1.05	0.355	0.218	
1979	2	1.25	0.239		1978	1	1.15	0.142	<del></del>	<del></del>
1984	3	0.85	0.408		1979	2	1.15	0.21		
1984	3	0.95	0.374		1984	3	1.15	0.346	0.233	
1984	3	1.05	0.355		1978	1	1.25	0.175		
1984	3	1.15	0.346		1979	2	1.25	0.239		
1984	3	1.25	0.345		1984	3	1.25	0.345	0.253	

*LFMAX*-defined in Table 3,*TRT*-treatment, *AVG-nRMSE*-average of normalised RMSE over four target variables (grain weight, leaf area index, Tops weight, Leaf weight)

# A.3 The Relative Weight –nRMSE (RW-nRMSE)

A *Relative Weight -nRMSE* (*RW-nRMSE*) complementary method was developed with relative weights assigned to the selected target variables (1 to 5). A relative weight can be assigned to each target variable separately, where 5 is the most accurate and 1 the least accurate, in relative terms. Each accuracy weight has weight coefficient assigned to it in the TSE code. With relative weights each target variable's accuracy can be selected, giving users more flexibility in terms of target variable prioritization in the cultivar coefficients estimation process. The relative weights multiplier (rw) in Eq. 4 is used to artificially inflate nRMSE of specific target variables. By doing so the size of specific target variable is artificially increased in the overall AVG-nRMSE that results at the end in cultivar coefficient combination prioritizing those variables over others.

$$AVG_{nRMSE(n)} = (rw_1 * GWAD_{nRMSE(n)} + rw_2 * LAID_{nRMSE(n)} + rw_3 * CWAD_{nRMSE(n)})/3$$
 (4)

It will be additionally explained!

#### A.4 The Rank Weighting –nRMSE (Rank-nRMSE)

A *Relative Weight -nRMSE* (*RW-nRMSE*) complementary method was developed with priority ranks (1 to 5). A rank can be assigned to each target variable separately, where 1 is the most accurate and 5 the least accurate, in relative terms. Each accuracy rank has weight coefficient assigned to it in the TSE code (Table 4), rank 1 with weight coefficient of 0.01 and rank 4 with values 0.04 used in selection criteria. With ranks each target variable's accuracy can be selected, giving users more flexibility in terms of target variable prioritization in the cultivar coefficients estimation process (Table 4), as shown in the following example with GWAD having rank 1 and the other target variables rank 4.

Table 4 RW-nRMSE method target variable ranking with weight coefficients.

RW-nRMSE		
	Rank	Coefficient weight
LAID	4	0.04
CWAD	4	0.04
LWAD	4	0.04
GWAD	1	0.01

Initially the weight coefficients are defined (by ranking) for creating a mathematical threshold used in the program (Figure A.1a). The initial selection criteria were set to 0.0 (Figure A.1a). With the initial selection criteria, the *while* loop (flow controlling statement executed repeatedly until specified conditions are meet in the programming language) is initialized (Figure A.1b). Within the *while* loop, the *if* condition is set for finding an overall statistically acceptable solution (Figure A.1c). The *while* loop will be stopped only if the calculated nRMSEs for multiple target variables satisfies the RW-nRMSE selection criteria.

If accurate grain yield is the goal (other target variables less accurate) of the optimisation then the relative weight coefficients based on RW-nRMSE criteria (Table 4) gwad rank 1 and the other three variables rank 4 offers more accurate results of gwad target variable. The rank 1 for gwad and rank 4 for laid, cwad and lwad initializes RW-nRMSE mathematical threshold in to code for while loop first run of 0.01 for nRMSE-gwad and 0.04 for nRMSE-laid-cwad-lwad. In practice this means when the first loop run is searching through calculated nRMSEs for all target variables only coefficient combinations that have calculated nRMSE-gwad lower than 0.01 and nRMSE-laid-cwad-lwad lower than 0.04 is selected as optimum. If in first while loop run none of the coefficient combinations have calculated nRMSE as defined with relative weights the while loop is restarted for the second time with new mathematical threshold for nRMSE-gwad lower than 0.02 and nRMSE-laid-cwad-lwad lower than 0.8. If the newly formed mathematical threshold doesn't provide coefficient combination with calculated nRMSEs the while loop will be started again with nRMSE-gwad mathematical threshold of 0.03 etc. until coefficient combination providing calculated nRMSEs for target variables is located.

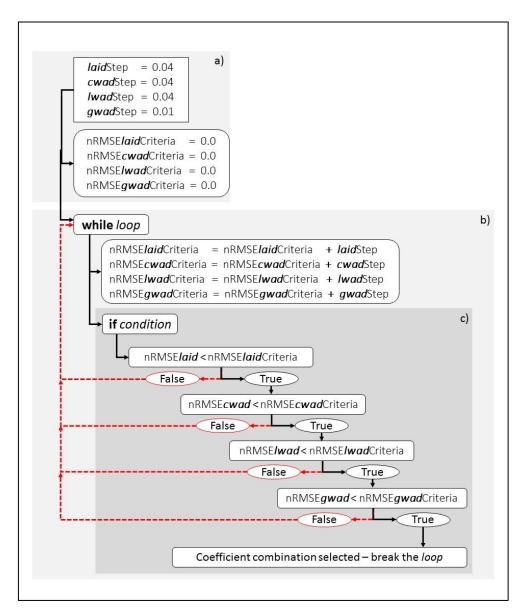


Figure A.1 The RW-nRMSE cultivar coefficient combination selection method (Röll et al. 2020)

With RW-nRMSE-gwad rank 1 and RW-nRMSE-laid-cwad-lwad rank 4 while loop with if conditions is repeated 8 times (Table 7) with desired LFMAX coefficient of 1.136 providing the most accurate simulations for grain weight (gwad). This coefficient values is different from the one selected with simple nRMSE criteria results shown in Table 1.

Table 5 The RW-nRMSE - simplified example of varying one of the cultivar parameters with calculated nRMSEs for multiple target variables demonstrating selected combinations with target variable with relative weights ranking in TSE (Memic et al.2021).

LFMAX (G)	gwad	laid	cwad	lwad	AVG-nRMSE
0.8	0.208	0.22	0.185	0.203	0.204
0.912	0.131	0.153	0.119	0.146	0.137
1.024	0.082	0.145	0.109	0.144	0.12
1.136	0.078	0.171	0.137	0.173	0.14
1.248	0.109	0.209	0.178	0.211	0.177

G - growth, gwad - grain weight, laid - leaf area index, cwad - tops weight, lwad - leaf weight

# A.5 Range reduction – generating coefficient combinations (Memic 2022)

(This method must be used carefully in case if "Fixed initial Min – Max" check box is NOT checked! It is designed to provide the best statistical fit between simulated and observed. The best statistical fit providing coefficients might not be Physiologically meaningful!)

The cultivar coefficient estimation process consists of varying the values for each cultivar coefficient and comparing a statistical fit of simulated outputs with field observations in order to determine the coefficient combination providing the best agreement between simulated and observed values. Various cultivar coefficients have potentially wide ranges (minimum and maximum values difference) with many in-between values that depend on the increment step size (Inc). The so-called Exhaustive gridding - coefficient variation (Table 6) can be used to systematically investigate coefficient ranges in search of coefficient values that provide the best statistical fit. For example, P5 coefficient value for minimum 100, maximum 900 and increment step 3.3 can be passed into the cultivar file and for each coefficient value model executed. In this example as shown in Table 6 for a coefficient range from 100 to 900 with increment steps of 3.3 a total 243 coefficient variations are executed for the P5 coefficient with Exhaustive gridding method. To overcome time losses in the process of cultivar coefficients estimation based on the statistical fit (lowest nRMSE), a range reduction method (Röll et al. 2020) was implemented (Table 6). With range reduction method four global phases are conducted in the process of estimating cultivar coefficient with smallest average nRMSE. Greater increment steps are used in the first phase for each given coefficient range with P5 coefficient having minimum 100, maximum 900 and increment step 200. Based on the lowest nRMSE, the value for coefficient is selected, i.e., 300. In the second Phase, the new coefficient ranges with a narrower increment step are executed with P5 having minimum 180, maximum 420 and increment step 60. Based on the lowest nRMSE, the new coefficient "optimum" is selected, i.e. P5=300.In the third phase, the new range for each coefficient is defined with P5 having minimum 270, maximum 330 and increment step 15. In the final phase P5 minimum is 263.2 and maximum 276.7 with increment step of 3.3 is passed into the cultivar file and based on lowest nRMSE P5=263.2 is selected. Based on the range reduction approach, 48% fewer combinations are executed when compared to exhaustive gridding coefficient variations. The range reduction method as described in Table 6 is expected to retain a systematic optimum localization approach (achievable with exhaustive gridding variation) and is expected to provide more realistic values for the coefficients when compared to the random generation of cultivar coefficients for allowed ranges. The range reduction is flexible programmed and will work with different scale coefficients. The method will be described in more detail in an additional publication with corresponding programming code written in python.

# Example with CERES-Wheat P5 coefficient (Memic 2022)

Exhaustiv	ve gridding	Range reduction method							
P5		Phase 1		Phase 2		Phase 3		Phase 4	
Min	100	100		180		270		263.2	
Max	900	900		420		330		267.7	
Inc. step	+3.3	+200		+60		+15		+3.3	
								263.2	→263.2
								266.5	
				180		270	→270	269.9	
	100	100		240		285		273.3	
	103.3	300	→300	300	→300	300		276.7	
		500		360		315			
	<b>→263.2</b>	700		420		330			
		900							
	900								
No. comb.	243	5		5		5		5	
Total	243					20			

#### References

Memic, E.; Graeff, S.; Boote, K. J.; Hensel, O.; Hoogenboom, G. (2021): Cultivar Coefficient Estimator for the Cropping System Model Based on Time-Series Data: A Case Study for Soybean. *Transactions of the ASABE* 64 (4), S. 1391–1402. DOI: 10.13031/trans.14432

Memic, E (2022): Combining crop growth models with the Precision Agriculture concept of yield gap analysis to evaluate yield limiting and reducing factors. Uni Kassel, Germany. *Dissertation*.

Röll, G., Memic, E., Graeff-Hönninger, S. (2020): Implementation of an automatic time-series calibration method for the DSSAT wheat models to enhance multi-model approaches. Agronomy Journal 112(5), 3891-3912. https://doi.org/10.1002/agj2.20328

#### Interface:

<u>The TSE\_v2 user interface was created in Qt Designer 5</u> (https://doc.qt.io/qtcreator/index.html)

# Programming language:

<u>The TSE\_v2 algorithm was written in python 3.7</u> Python Software Foundation. Python Language Reference, version 3.7. Available at http://www.python.org

#### Windows runnable:

TSE\_v2 was compiled into windows runnable with Pyinstaller (https://www.pyinstaller.org/)

TSE\_v2 algorithm and interface development/setup by Emir Memic.