APEX CLEARING ONE DALLAS CENTER 350 NORTH ST PAUL SUITE 1300 DALLAS, TX 75201

DARYL STRONGE 32445 SILVER CRK LAKE ELSINORE, CA 92532

# IMPORTANT YEAR END MESSAGES

This document includes your Consolidated Form 1099 that we are required to provide to you and coordians information that we are providing to the Internal Revenue Service and additional information that may be helpful to you for filing your tax return. You may wish to seek the advice of a tax professionalwhen preparing your return.

Please verify your personal information for accuracy and contact us to correct any discrepancies. If you own Mutual Funds, Real Estate Trusts (REITs), REMIC Securities or certain fixed investment trusts (WHFTS), income distributions may be realeastified by the issuer after the original 1099 is sent. If you hold these securities or another security that is subject to a reclassification event, you may receive a corrected Form 1099.

# IN ACCOUNT WITH

### WEBULL FINANCIAL LLC 917-725-2448

## APEX CLEARING ONE DALLAS CENTER 350 NORTH ST PAUL SUITE 1300 DALLAS, TX 75201 Customer Service: 214-765-1009 PAYER'S Federal ID No: 13-2967453 IN ACCOUNT WITH WEBULL FINANCIAL LLC (917) 725-2448 RECIPIENT'S ID No: XXX-XX-8918 Form 1099 Composite 2021 DARYL STRONGE 32445 SILVER CRK LAKE ELSINORE, CA 92532 Statement Date: 01/31/2022

FATCA filing requirement []

### **Tax Reporting Statement**

DIVIDENDS AND DISTRIBUTIONS 2021 Form 1099-DIV*	OMB No. 1545-0110
1a- Total Ordinary Dividends (includes amount shown on 1b, 2e)	0.00
1b- Qualified Dividends	0.00
2a- Total Capital Gain Distributions (includes lines 2b, 2c, 2d, 2f)	0.00
2b- Unrecaptured Section 1250 gain	0.00
2c- Section 1202 Gain	0.00
2d- Collectibles (28%) Gain	0.00
2e- Section 897 Ordinary Dividends	0.00
2f- Section 897 Capital Gain	0.00
3- Nondividend Distributions	0.00
4- Federal Income Tax Withheld	0.00
5- Section 199A Dividends	0.00
6- Investment Expenses	0.00
7- Foreign tax Paid	0.00
8- Foreign country or US Possession	
9- Cash Liquidation Distributions	0.00
10- Noncash Liquidation Distribution	0.00
11- Exempt-Interest Dividends	0.00
12- Specified Private Activity Bond Interest Dividends	0.00

MISCELLANEOUS INFORMATION	2021 Form 1099-MISC*	OMB No. 1545-0115
2- Royalties		0.00
3- Other Income		0.00
4- Federal Income Tax Withheld		0.00
8- Substitute Payments in Lieu of Divide	ends or Interest	0.00

INTEREST INCOME	2021 Form 1099-INT*	OMB No. 1545-0112
1- Interest Income		0.00
2- Early Withdrawal Penalty		0.00
3- Interest on U.S. Savings I	Bonds & Treasury Obligations	0.00
4- Federal Income Tax Witl	nheld	0.00
5- Investment Expenses		0.00
6- Foreign Tax Paid		0.00
7- Foreign Country or U.S. F	Possession	
8- Tax-Exempt Interest (incl	udes box 9)	0.00
9- Specified Private Activity	Bond Interest	0.00
10- Market Discount		0.00
11- Bond Premium		0.00
12- Bond Premium on Treas	ury Obligations	0.00
13- Bond Premium on Tax-E	Exempt Bonds	0.00
14- Tax-Exempt and Tax Ci	redit Bond CUSIP no.	

REGULATED FUTURES CONTRACTS & SECTION 1256 OPTIONS	
2021 Form 1099-B*	OMB No. 1545-0715
8- Profit or (loss) realized in 2021 on closed contracts	0.00
9- Unrealized profit or (loss) on open contracts - 12/31/2020	0.00
10- Unrealized profit or (loss) on open contracts - 12/31/2021	0.00
11- Aggregate profit or (loss) on contracts	0.00
As applicable, proceeds from other sale transactions are summarized provided in subsequent sections of this document. More details on futtooptions transactions are also provided in other sections of the	ures and section 1256

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Dividends may be reclassified for tax purposes after the original Form 1099 is sent in certain cases where information from issuers is received late. This may require us to provide you an amended tax form.

 APEX CLEARING
 Tax Summary
 2021

 5NB67880
 01/31/2022

Summary Of Sale Proceeds

Proceeds from sales of securities are reported individually by trade to the Internal Revenue Service. Please refer to the Proceeds from Broker and Barter Exchange Transactions details sections to determine correct amounts to include in your tax return. The summary transaction amounts shown below are for informational purposes.

Section	Total Proceeds	Total Cost Basis	Total Market Discount	Total Wash Sale Loss Disallowed	Total Net Gain or Loss(-)
Short-term transactions for covered tax lots	178,939.24	186,773.30	0.00	1,071.80	-6,762.26
Short-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Short-term	178,939.24	186,773.30	0.00	1,071.80	-6,762.26
Long-term transactions for covered tax lots	0.00	0.00	0.00	0.00	0.00
Long-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Undetermined-term	0.00	0.00	0.00	0.00	0.00

ORIGINALISSUE DISCOUNT SUMMARY*	
Original Issue Discount (Non-US Treasury Obligations)	0.00
Original Issue Discount on U.S. Treasury Obligations	0.00
Other Periodic Interest	0.00
Market Discount – Covered Positions	0.00
Acquisition Premium – Covered Positions	0.00
Bond Premium – Covered Positions	0.00
Tax-Exempt OID – Covered Positions	0.00
Tax-Exempt OID – Specified Private Activity Bonds – Covered Positions	0.00
Investment Expenses	0.00

<sup>\*</sup>Amounts shown in this section are summary totals for your reference. For bond-by-bond detail, use the OID section of this document.

### FEDERAL TAX WITHHELD

Form	Federal Income Tax Withheld	
1099-B Total (aggregate):	0.00	
1099-DIV Total:	0.00	
1099-INT Total:	0.00	
1099-MISC Total:	0.00	
1099-OID Total:	0.00	

### Proceeds from Broker and Barter Exchange Transactions 2021 Form 1099-B\*

5NB67880 01/31/2022 0MB No. 1545-0715

The following information is being provided to assist in your review and the preparation of your tax return.

This Form 1099-B — Proceeds from Broker and Barter Exchange Transactions provides information relating to sales of securities in your account. The sales details are organized into potentially five separate sections depending on your holding period in the security for the sale (short-term vs long-term) and whether the security is considered a "covered security" for tax reporting purpose. For covered securities, cost basis (adjusted as required under tax regulations) is being reported to the Internal Revenue Service (IRS), whereas for noncovered securities, cost basis if set forth below is provided only for your information.

A separate category is provided for sales of securities for which we do not know your holding period. For such tax lots, you will need to use your historical documents to determine your holding period (whether short-term or long-term) and your tax basis.

Unless noted otherwise under the column "Additional Notes", cost basis and corresponding gain or loss is determined by treating tax lots acquired first as being sold first. We have also assumed that you have made an election to amortize premium on the purchase of taxable bonds.

As brokers, we are only required to apply wash sales loss disallowance rules to securities with the same CUSIP or identifier and purchased and sold within the same account. We are also not required to apply wash sales rules across covered and noncovered securities. You as the taxpayer, however, generally have broader obligations under the wash sales rules to determine disallowed losses, and you may wish to consult with a tax adviser as to the application of such rules to you.

Sales of certain contingent payment debt instruments give rise to ordinary income rather than short-term or long-term capital gain or loss. Such ordinary income treatment is indicated in the Additional Notes column as "Box 2 — Ordinary." In addition, certain assets classified as collectibles are subject to special tax treatment. Any such classification is noted in the Additional Notes column as "Box 3 — Collectibles Box Checked." Loss disallowed for certain transactions involving change in control or capital structure is noted in the Additional Notes column as "Box 7 — Loss Disallowed Box Checked." Disposition of a QOF investment is noted in the Additional Notes column as "Box 3 — QOF."

Information in the transaction detail sections below that have a Box number is being transmitted to the IRS, whereas additional information that is not being transmitted to the IRS is not headed by a Box number. Such additional information may be helpful to you in the preparation of your tax return. Remember that taxpayers are ultimately responsible for the accuracy of their tax returns.

FATCA filing requirement [ ]

**APEX CLEARING** 

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

### Description of property | CUSIP | Symbol (Box 1a)

Date Sold or Disposed (Box 1c)	Quantit	Proceeds y (Box 1d		Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
LUMINAR TECHNOL	OGIES IN	C CLASS A COMMO	N STOCK   CUSI	P: 550424105   Symbol(B	Box 1a): LAZR		
01/05/2021 PUT SPY 06/11/21		00 149.38 TANDARD & POORS DE		131.75 SIP:   Symbol(Box 1a): \$	0.00 SPY210611P00425000	17.63	Sale
06/08/2021	3.	00 884.75	06/08/2021	894.23	0.00	-9.48	Sell to Close
PUT SPY 10/11/21	450 S	TANDARD & POORS DE	POSITORY   CU	SIP:   Symbol(Box 1a):	SPY211011P00450000		
10/08/2021	1.	00 1,109.94	10/08/2021	1,122.04	0.00	-12.10	Sell to Close

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5NB67880 (continued) 01/31/2022 OMB No. 1545-0715

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

### Description of property | CUSIP | Symbol(Box 1a)

	,,	(			Accrued Market Discount			
Date Sold or			Date		(M)(Box 1f) &			
Disposed	O austitu.	Proceeds (Box 1d)	Acquired	Cost or Other	Wash Sale Loss	Cain	()	Additional Notes
(Box 1c) CALL QCOM 03/19/2	Quantity		(Box 1b)	Basis (Box 1e) 1a): QCOM210319C00	Disallowed (D)(Box 1g)	Gain	or Loss(-)	Additional Notes
CALL QCOM 03/19/2	21 130 QUALUC	NINI INC   COSIF.	Gyllibol(Box	1a). QCONIZ 103 19000	130000			
02/11/2021	1.00	429.90	02/09/2021	737.25	0.00		-307.35	Sell to Close
02/11/2021	2.00	849.81	Various	1,152.16	152.17	D	-150.18	Sell to Close
Security Totals:		1,279,71		1.889.41			-457.53	
CALL QCOM 02/26/2	21 160 QUALCO		Symbol(Box	1a): QCOM210226C00	160000		101.00	
			, , ,	,				
02/02/2021	3.00	2,789.68	02/02/2021	2,775.24	0.00		14.44	Sell to Close
PUT SPY 10/27/21	460 STANDARI	0 & POORS DEPO	OSITORY   CUS	SIP:   Symbol(Box 1a):	SPY211027P00460000			
10/22/2021	3.00		10/22/2021	2,181.13	0.00		-96.29	Sell to Close
CALL SPY 05/28/21	422 STANDAR	D & POORS DEP	OSITORY   CU	SIP:   Symbol(Box 1a):	SPY210528C00422000			
05/25/2021	2.00	247.82	05/25/2021	272.15	0.00		-24.33	Sell to Close
05/05/0004	0.00	040.00	05/05/0004	070.45	0.00		00.00	O-III Ol
05/25/2021	2.00		05/25/2021	272.15	0.00		-22.33	Sell to Close
05/25/2021	2.00	255.82	Various	253.15	0.00		2.67	Sell to Close
Security Totals:		753.46		797.45			-43.99	
VERIZON COMMUNI	CATIONS   CUSIP:	92343V104   Sym	bol(Box 1a): VZ					
10/20/2021 PUT SPY 04/30/21	4.00		10/18/2021	207.80	0.00 SPY210430P00420000		6.54	Sale
PUT SPY 04/30/21	420 STANDARI	J & POURS DEPO	JSHORY   CUS	SIP:   Symbol(Box ra):	SP1210430P00420000			
04/29/2021	1.00	127.91	04/29/2021	124.07	0.00		3.84	Sell to Close
CALL QQQ 05/07/2	1 335 INVESCO	QQQ TRUST SE	RIES 1   CUSIP	:   Symbol(Box 1a): QC	QQ210507C00335000			
			·					
05/07/2021	1.00		05/07/2021	93.07	0.00		38.84	Sell to Close
RIOT BLOCKCHAIN I	INC COMMO	N STOCK   CUSIF	P: 767292105	Symbol(Box 1a): RIOT				
01/13/2021	2.00	47.08	01/11/2021	47.14	0.00		-0.06	Sale

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APEX CLEARING		Proc	eeds from E	3roker and B	Proceeds from Broker and Barter Exchange Transactions		2021 Form 1099-B*	
5NB67880				(continued)	(penu	01/3	01/31/2022 OMB No	OMB No. 1545-0715
SHORT TERM TRA		NS FOR (	ANSACTIONS FOR COVERED TAX LOTS	AX LOTS				
Report on Form 8949, Part I with Box A checked	with Box A check			-				
Box 2: Type of Gain of Loss - Snort am (L Box 5: Box Not Checked (Covered Security) The 1099-B data referenced by a Box Num complete voir reference	- Snort-Lerm (un overed Security) I by a Box Numb	iless indicated a	S Ordinary in Addit Box 6: Gross (unle to the IRS. The ad	Snort-Lerm (unless indicated as Ordinary in Additional Notes Column) Rex 6: Gross (unless indicated as Net in by a Box Number is reported to the IRS. The additional information	Snort-Lerm (unless indicated as Ordinary in Acquitonal Notes Column)  Box 12: Basis Reported to the IRS.  Box 16: Basis Reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to	Box 12: Basis er is not reported to	Box 12: Basis Reported to the IRS it reported to the IRS but may be helpful to	
Description of property   CUSIP   Symbol(Box 1a)	USIP   Symbol(B	ox 1a)						
Date Sold or Disposed (Box 1c) Quantity	Aj	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes	
CHAIN IN	COMMON S	TOCK   CUSIP.	5 Symb	ool(Box 1a): RIOT	(6) (-)			
02/26/2021	3.00	125.47 0	02/25/2021	136.74	0.00	-11.27	Sale	
Security Totals:		172.55		183.88		-11.33		
CALL QQQ 05/07/21 336		Q TRUST SERI	IES 1   CUSIP:   S	ymbol(Box1a): QQC	INVESCO QQQ TRUST SERIES 1   CUSIP:   Symbol(Box 1a): QQQ210507C00336000			
05/07/2021	1.00	85.91 0	05/07/2021	63.08	00.00	22.83	Sell to Close	
05/07/2021	8.00	351.33 0	05/07/2021	504.64	00.00	-153.31	Sell to Close	
05/07/2021	1.00	71.91	05/07/2021	63.08	0.00	8.83	Sell to Close	
Security Totals:		509.15		630.80		-121.65		
PUT SPY 09/24/21 440	STANDARD &	POORS DEPOS	SITORY   CUSIP:	Symbol(Box 1a): S	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210924P00440000			
09/23/2021	2.00	101.88 C	101.88 09/22/2021	728.10	313.11 D	-313.11	Sell to Close	
09/24/2021	1.00	0.00	09/24/2021	327.16	0.00	-327.16	Expiration	
Security Totals: PUT SPY 05/07/21 415	STANDARD &	101.88 POORS DEPOS	SITORY   CUSIP:	1,055.26   Symbol(Box 1a): S	101.88 1,055.26 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210507P00415000	-640.27		
05/05/2021 CALL AAPL 02/05/21 130	3.00 APPLE INC   C	329.75 0 5USIP:   Symb	3.00 329.75 05/05/2021 312.23 APPLE INC   CUSIP:   Symbol(Box 1a); AAPL210205C00130000	312.23 10205C00130000	00:00	17.52	Sell to Close	
	1.00	0 689.89 0	01/06/2021	535.08	0.00	154.81	Sell to Close	
PUI SPY 05/24/21 420	SI ANDARD & 1	FOORS DEFO	AS DEPOSITORY   CUSIP:	Symbol(Box 1a): Si	STANDAHD & POCHS DEPOSITORY   CUSIF:   Symbol(Box 19): SPY210524-00420000	9	0 of 1100	
CALL SPY 05/24/21 415	STANDARD &	POORS DEPO	SITORY   CUSIP:	Symbol(Box 1a): 5	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210524C00415000			
05/24/2021 PUT SPY 05/24/21 415	2.00 STANDARD & I	761.82 ( POORS DEPOS	761.82 05/24/2021 3S DEPOSITORY   CUSIP:	568.16   Symbol(Box 1a): Sl	2.00 761.82 05/24/2021 568.16 0.00 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210524P00415000	193.66	Sell to Close	
05/03/2021	1.00	411.91	411.91 05/03/2021	443.07	00:00	-31.16	Sell to Close	

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APEX CLEARING		Proce	eds from B	roker and E	Proceeds from Broker and Barter Exchange Transactions		1099-B*	
9NB67880				luoo)	(continued)	,ru	01/31/202/ ZZ02/16/10	UMB NO. 1545-U/15
SHORT TERM TRA	ANSACTIONS FOR COVERED TAX LOTS	FORC	OVERED TA	AX LOTS				
Report on Form 8949, Part I with Box A checked	with Box A checked.	-			,			
Box 2: Type of Gain or Loss - Short-Term (u Box 5: Box Not Checked (Covered Security)	<ul> <li>Short-Term (unless indicated as Ordinary in Additional Notes Column)</li> <li>wered Security)</li> </ul> Box 6: Gross (unless indicated as Net in	indicated as	Ordinary in Additi	onal Notes Columr ss indicated as Net	ss Ordinary in Additional Notes Column)  Box 6: Gross (unless indicated as Net in Additional Notes Column)	<b>Box 12:</b> Basi	Box 12: Basis Reported to the IRS	
The 1099-B data referenced complete your return.	i by a Box Number is	s reported to	o the IRS. The ad	ditional informatio	by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to	er is not reported to	o the IRS but may be helpful	o
Description of property   CUSIP   Symbol(Box 1a)	USIP   Symbol(Box 1	a)						
Date Sold or Disposed	Pr	Proceeds (Roy 1d)	Date Acquired (Box 1b)	Cost or Other	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Roy 1c)	Gain or Loce(.)	Additional Notes	ű
1/21	STANDARD & POC	RS DEPOS	TORY   CUSIP:	Symbol(Box 1a):	410 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210521P00410000			
05/17/2021	1.00	157.91 05/17/2021	5/17/2021	177.07	19.16 D	0.00	Sell to Close	
05/19/2021	1.00	481.91 05	05/18/2021	184.23	00:00	297.68	Sell to Close	
als:		639.82		361.30		297.68		
BLOCK INC CLA	ASS A COMMON STOCK   CUSIP: 852234103  Symbol(Box 1a): SQ	ock   cusi	P: 852234103  Sy	mbol(Box1a): SQ				
01/05/2021	1.00	224.28 12/29/2020	2/29/2020	211.83	0.00	12.45	Sale	
01/15/2021	1.00	229.18 01/07/2021	1/07/2021	238.05	0.00	-8.87	Sale	
Security Totals:		453.46		449.88		3.58		
PUT TSLA 02/26/21 680	TESLA INC   CUSIP:   Symbol(Box 1a): TSLA210226P00680000	P:  Symbol	(Box 1a): TSLA21	0226P00680000				
02/26/2021 CALL SOL 07/16/21 10	1.00 1.094.90 02/26/2021 880.08 RENESOLALTD ADR   CUSIP:   Symbol(Box 1a): SOL210716C00010000	1,094.90 02/26/2021 ADR   CUSIP:   Symbo	2/26/2021   Symbol(Box 1a	860.08 ): SOL210716C00	0.00	234.82	Sell to Close	
06/18/2021	00 5	224 71 06/14/2021	3/14/9091	616.07	00 0	-391 56	Soll to Close	
PUT TSLA 02/26/21 710	TESLA INC   CUSIP:   Symbol(Box 1a): TSLA210226P00710000	P:  Symbol	(Box 1a): TSLA21	0226P00710000				
02/25/2021 PUT SPY 12/22/21 472	1.00 STANDARD & POC	1,544.90 02/25/2021 ORS DEPOSITORY   0	2/25/2021 TORY   CUSIP:	1,438.08   Symbol(Box 1a):	1.00 1,544.90 02/25/2021 1,438.08 0.00 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211222P00472000	106.82	Sell to Close	
12/16/2021	2.00	847.94 12/16/2021	2/16/2021	894.04	0.00	-46.10	Sell to Close	
PUT SPY 11/10/21 464	STANDARD & POC	ORS DEPOS	TORY   CUSIP:	Symbol(Box 1a):	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211110P00464000			
11/10/2021 CALL AAPL 07/30/21 148	1.00 13.94 11/10/2021 17.04 APPLE INC   CUSIP:   Symbol (Box 18): AAPL 210730C00148000	13.94 11/10/2021 P:   Symbol(Box 1a)	1/10/2021 I(Box 1a): AAPL2	17.04 10730C00148000	0.00	-3.10	Sell to Close	
		6						
07/26/2021 CALL QCOM 02/12/21 160		344.93 07	07/26/2021   Symbol(Box 1a): (	00 344.93 07/26/2021 339.05 QUALCOMM INC   CUSIP:   Symbol(Box 1a); QCOM210272C00160000	160000	5.88	Sell to Close	
02/01/2021	1.00	559.89 01/27/2021	1/27/2021	560.08	0.00	-0.19	Sell to Close	

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		2					
5NB67880				iuoo)	(continued)	01/	01/31/2022 OMB No. 1545-0715
SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS	NANSACTIC	NS FOR (	COVERED T	AX LOTS			
Report on Form 8949, Part I with Box A checked.  Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)	I with Box A checks s - Short-Term (ur	sindicateda	ts Ordinary in Addit	tional Notes Columr	· · · · · · · · · · · · · · · · · · ·		: :
Box 5: Box Not Checked (Covered Security)  The 1099-B data referenced by a Box Num complete your return.	Sovered Security)  ad by a Box Numl	ber is reported	Box 6: Gross (unk to the IRS. The ac	ess indicated as Net dditional informatio	Box 5: Box Not Checked (Covered Security)  Box 6: Gross (unless indicated as Net in Additional Notes Column)  Box 72: Basis Reported to the IRS  The additional information not referenced by a Box Number is reported to the IRS but may be helpful to complete your return.	Box 12: Basis ber is not reported to	Box 12: Basis Reported to the IRS of reported to the IRS but may be helpful to
Description of property   CU	CUSIP   Symbol(Box 1a)	3ox 1a)					
Date Sold or Disposed (Box 1c) Qua	Quantity	Proceeds (Box1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
02/01/2021	1.00	704.90	01/27/2021	560.08	0.00	144.82	Sell to Close
Security Totals: CALL COIN 11/05/21 310		1,264.79	JSIP:   Symbol(Bc	1,120.16 CONNBASE GLOBALING   CLISIP:   Symbol/Box 1a); COIN211105C00310000	5000310000	144.63	
	•			2000		000	
11/01/2021 CALL AMC 06/25/21 20		AINMENT HOLDINGS IN	DINGS INC   CUS	2,080.04 ilP:   Symbol(Box 1	1.00 Z.ZUBJS 11,01/ZUZI Z.DB.UM AMC ENTERTAINMENT HOLDINGS INC   CUSIP:   Symbol(Box 1a): AMC210625C00020000	129.89	Sell to Close
		889.82	889.82 05/26/2021	710.16	00:00	179.66	Sell to Close
CALL TLRY 03/26/21 26		CUSIP: Symt	ool(Box1a): TLRY2	TILRAY INC   CUSIP:   Symbol(Box1a): TLRY210326C00026000			
02/25/2021 CALL NIO 03/12/21 40		839.82 ( CUSIP:   Symb	2.00 839.82 02/25/2021 910.16 NIO INC ADS   CUSIP:   Symbol(Box 1a): NIO210312C00040000	910.16 0312C00040000	0.00	-70.34	Sell to Close
03/09/2021 CALL SPY 06/04/21 413		126.90 ( POORS DEPO	126.90 03/09/2021 RS DEPOSITORY   CUSIP:	118.08   Symbol(Box 1a):	1.00 126.90 03/09/2021 118.08 0.00 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol (Box 1a): SPY210604C00413000	8.82	Sell to Close
05/28/2021 PUT SPY 09/24/21 435		813.91 ( POORS DEPOS	813.91 05/28/2021 3S DEPOSITORY   CUSIP:	854.07   Symbol(Box 1a): 3	1.00 813.91 05/28/2021 854.07 0.00 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a); SPY210924P00435000	-40.16	Sell to Close
09/23/2021 CALL AAPL 02/05/21 125		43.77 ( CUSIP:   Symb	43.77 09/23/2021 56.21 APPLE INC   CUSIP:   Symbol (Box 1a): AAPL210205C00125000	56.21 210205C00125000	0.00	-12.44	Sell to Close
01/29/2021 CALL SPY 07/19/21 425	·	1,029.88 01/14/2021 POORS DEPOSITORY	01/14/2021 SITORY   CUSIP:	877.08   Symbol(Box 1a):	1.00 1,029.88 01/14/2021 877.08 0.00 STANDARD & POORS DEPOSITORY   CLISIP - I SwmbolfBox 1a: SPV210719C00425000	152.80	Sell to Close
07/19/2021 CALL NIO 03/12/21 45	-   -	85.93 C CUSIP:   Symb	1.00 85.93 07/19/2021 100.05 NIO INC ADS   CUSIP:   Symbol(Box 1a): NIO210312C00045000	100.05 0312C00045000	0.00	-14.12	Sell to Close
03/10/2021	1.00	134.91	134.91 03/10/2021	113.08	00.00	21.83	Sell to Close
03/11/2021	2.00	103.82	03/11/2021	174.16	0.00	-70.34	Sell to Close
Security Totals:		238.73		287.24		-48.51	

<sup>\*</sup>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

APEX CLEARING		Procee	ds from B	Iroker and Barte	Proceeds from Broker and Barter Exchange Transactions		2021 Form 1099-B* OMB No. 1545-0715	-0715
SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS	ANSACTIONS	FOR CC	VERED T	AX LOTS	,			
Report on Form 8949, Part I with Box A checked.  Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)  Box 6: Rox Not Checked (Covered Security)  Box 6: Gross (unless indicated as Net in The 1099-B data referenced by a Box Number is reported to the IRS. The additional information complete your return.	with Box A checked Short-Term (unless ir overed Security)	ndicated as C Bo reported to	ordinary in Additi x 6: Gross (unle: the IRS. The ad	onal Notes Column) ss indicated as Net ir ditional informatior	Report on Form 8949, Part I with Box A checked.  Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)  Box 5: Type of Gain or Loss - Short-Term (unless indicated as Net in Additional Notes Column)  Box 5: Box Not Checked (Covered Security)  Box 6: Box Not Checked (Covered Security)  Box 12: Basis Reported to the IRS but may be helpful to complete your return.	Box 12: Basis ber is not reported to	Box 12: Basis Reported to the IRS of reported to the IRS but may be helpful to	
Description of property   CUSIP   Symbol(Box 1a)	USIP   Symbol(Box 1a	_						
Date Sold or Disposed (Box 1c) Quantity	Pro (B	Proceeds Ac (Box 1d) (6	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes	
CALLAMC 04/16/21 11 AMC ENTERTAINMENT HOLDINGS INC   CUSIP:   Symbol (Box 1a); AMC210416C00011000	AMC ENTERTAINMI	ENT HOLDIN	IGS INC COS	P:   Symbol (Box 1a	): AMC210416C00011000			
04/08/2021	4.00	123.66 03/26/2021	26/2021	824.32	0.00	-700.66	Sell to Close	
04/14/2021	1.00	8.91 03/	03/26/2021	206.08	0.00	-197.17	Sell to Close	
Security Totals:		132.57		1,030.40		-897.83		
CALL SPY 10/15/21 434		RS DEPOSIT	TORY   CUSIP:	Symbol(Box 1a): S	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211015C00434000			
	1.00	340.94 10/12/2021	12/2021	341.04	00:00	-0.10	Sell to Close	
CALL SOLO 02/26/21 7.5	50 ELECTRAMECCAN	IICA VEHICI	ES CORP   CU	SIP:  Symbol(Box1	7.50 ELECTRAMECCANICA VEHICLES CORP   CUSIP:   Symbol(Box 1a): SOLO210226C00007500			
01/26/2021 PUT SPY 05/19/21 404	5.00 STANDARD & POOF	989.56 01/25/2021 3S DEPOSITORY   C	25/2021 ORY   CUSIP:	800.40   Symbol(Box 1a): SI	5.00 989.56 01/25/2021 800.40 0.00 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210519P00404000	189.16	Sell to Close	
05/19/2021	1.00	55.91 05/19/2021	19/2021	100.07	0.00	-44.16	Sell to Close	
CALL AAL 12/23/21 18	AMERICAN AIRLINES GROUP INC   CUSIP:   Symbol (Box 1a): AAL211223C00018000	SGROUPIN	c cusip:  s	/mbol(Box 1a): AAL2	211223C00018000			
	2.00	349.94 11/22/2021	22/2021	400.04	00:00	-50.10	Sell to Close	
PUT SPY 01/03/22 480	STANDARD & POOF	3S DEPOSIT	ORY   CUSIP:	Symbol(Box 1a): SI	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY220103P00480000			
	2.00	781.94 12/28/2021	28/2021	786.04	00:00	-4.10	Sell to Close	
PUL SPY 07/21/21 426 07/20/2021	STANDARD & POOF	45 DEPOSIT	Various	Symbol(Box 1a): Si 3 251 60	STANDARD & POORS DEPOSITORY   COSIF:   Symbol(Box 18): SPYZIV/ZIP00426000	.0614.03	asol of less	
/24/21 467	STANDARD & POOF	3S DEPOSIT	ORY   CUSIP:	Symbol(Box 1a): SI	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPV211124P00467000			
11/24/2021	2.00	443.94 11/24/2021	24/2021	338.04	00:00	105.90	Sell to Close	
PUT SPY 04/19/21 415	STANDARD & POOF	3S DEPOSIT	ORY   CUSIP:	Symbol(Box 1a): SI	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210419P00415000			
	2.00	219.82 04/	04/19/2021	130.16	00:0	89.68	Sell to Close	
PUT UBER 10/29/21 50	UBER TECHNOLOGIES INC   CUSIP:   Symbol(Box 1a): UBER211029P00050000	IES INC   CI	JSIP:   Symbol	(Box 1a): UBER2110	,29P00050000			
10/15/2021	1.00	226.94 10/13/2021	13/2021	365.04	0.00	-138.10	Sell to Close	

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APEX CLEARING		Proc	eeds from E	<b>3roker and B</b>	Proceeds from Broker and Barter Exchange Transactions		2021 Form 1099-B*	
5NB67880				(cont	(continued)		01/31/2022 OMB N	OMB No. 1545-0715
SHORT TERM TR.	ANSACTIO	NS FOR	ANSACTIONS FOR COVERED TAX LOTS	AX LOTS				
Report on Form 8949, Part I with Box A checked	with Box A check	.pa						
Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)	s - Short-Term (un.	less indicated a	as Ordinary in Addit	ional Notes Column	(1			
Box 5: Box Not Checked (Covered Security)		1	Box 6: Gross (unle	ss indicated as Net	Box 6: Gross (unless indicated as Net in Additional Notes Column)	Box 12: Basis	Box 12: Basis Reported to the IRS	
I ne 1099-b data rererenced complete your return.		er is reported	to the IRS. The ac	iditional informatio	by a Box Number is reported to the IHS. The additional information not referenced by a Box Number is not reported to the IHS but may be neipful to	nber is not reported to	tne IKS but may be neipful t	
Description of property   Cl	CUSIP   Symbol(Box 1a)	ox 1a)						
Date Sold or Disposed (Box 1c) Qua	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes	
15/21		POORS DEPC	SITORY   CUSIP:	Symbol(Box 1a):	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol (Box 1a): SPY211015C00432000			
	1.00	1,015.94 10/07/2021	10/07/2021	1,051.04	0.00	-35.10	Sell to Close	
CALL SPY 10/13/21 434		POORS DEPC	SITORY   CUSIP:	Symbol(Box 1a):	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211013C00434000			
	3.00	845.85	845.85 10/12/2021	963.13	00.00	-117.28	Sell to Close	
PUI SLV 02/05/21 26	ISHAKES SILVE	H SHARES (	JUSIP:   Symbol(E	ISHARES SILVER SHARES   CUSIF:   SYMBOI(BOX 18): SLVZ1UZUSPUUUZBUUU	PUUUZBUUU			
02/01/2021		77.90	77.90 02/01/2021	88.08	00:00	-10.18	Sell to Close	
CALL AAPL 08/06/21 152. 07/30/2021		CUSIP:   Syn 67.76	nbol(box ra): AAPL Various	50 APPLE INC   CUSIP:   Symbol(Box 1a): AAPLZ 10806C00 132500 4.00 67.76 Various 766.22	0.00	-698.46	Sell to Close	
CALL SOL 07/30/21 11	RENESOLALTI	D ADR   CUSII	P:   Symbol(Box 1	RENESOLALTD ADR   CUSIP:   Symbol(Box 1a): SOL210730C00011000	011000			
06/28/2021	1.00	66.93	66.93 06/28/2021	45.05	0.00	21.88	Sell to Close	
CALL AMC 03/19/21 13	.50 AMC ENTER1	FAINMENT HC	NEDINGS INC   CU	SIP:   Symbol(Box	CALL AMC 03/19/21 13.50 AMC ENTERTAINMENT HOLDINGS INC   CUSIP:   Symbol(Box 1a): AMC210319C00013500			
03/16/2021 CALL SPY 01/05/22 470		85.90 POORS DEPC	85.90 03/16/2021 3S DEPOSITORY   CUSIP:	115.08   Svmbol(Box 1a):	1.00 85.90 03/16/2021 115.08 0.00 STANDARD & POORS DEPOSITORY ( CUSIP:   Symbol(Box 1a): SPY220105C00470000	-29.18	Sell to Close	
12/14/2021		284.96	284.96 12/13/2021	518.02	0.00	-233.06	Sell to Close	
CALL NVDA 11/12/21 247		PORATION	SUSIP:   Symbol(E	.50 NVIDIA CORPORATION   CUSIP:   Symbol (Box 1a): NVDA211112C00247500	12C00247500			
10/29/2021	1.00	1,079.94 10/29/2021	10/29/2021	885.04	0.00	194.90	Sell to Close	
10/29/2021	1.00	1,059.94	10/29/2021	885.04	00:00	174.90	Sell to Close	
Security Totals:		2,139.88		1,770.08		369.80		
PUT SPY 03/05/21 386		POORS DEPO	SITORY   CUSIP:	Symbol(Box 1a): \$	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210305P00386000			
03/03/2021		1,574.58 03/03/2021	03/03/2021	1,740.40	0.00	-165.82	Sell to Close	
PUT SPY 05/26/21 419		POORS DEPO	SITORY   CUSIP:	Symbol(Box 1a): \$	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210526P00419000			
05/26/2021	2.00	183.82	183.82 05/26/2021	202.50	0.00	-18.68	Sell to Close	

<sup>\*</sup>This is important tax information and is being furnished to the internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxabayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Continued   Cont	APEX CLEARING		Proc	seeds from I	Broker and E	Proceeds from Broker and Barter Exchange Transactions		1099-B*
TRA Part I w rloss - sed (Cov	5NB67880				(con	tinued)	01	1/31/2022 OMB No. 1545-0/15
Part I w 1 Loss- 1 Loss- 1 Loss- 1 Loss- 1 Loss 1 L	SHORT TERM TF	SANSACTIO	NS FOR	COVERED 1	TAX LOTS			
Consert   Cons	Report on Form 8949, Part	I with Box A check	ed.	ae Ordinany in Addi	tional Notes Column	6		
Accrued Market Discount   Symbol(Box 1a)   Date   Gost or Other   Wigh Sale Cost or Other   A34 D   Coop Sell to Cost or Other   A35 D   Cost or Other   A35 D   Cost or Other   A36 D   Cost or Other   A36 D   Cost or Other   A37 D   Cost or Other   A38 D   Cost or Other   A34 D   Cost or Other   A38 D   Cost or Other   Cost or Other   A38 D   Cost or Other   A38 D   Cost or Other   Cost or Oth	Box 5: Box Not Checked (I The 1099-B data reference complete your return.	Covered Security) ed by a Box Numb	er is reported	Box 6: Gross (unle	ess indicated as Net dditional informati	ri) t in Additional Notes Column) on not referenced by a Box Num	Box 12: Bas nber is not reported t	sis Reported to the IRS to the IRS but may be helpful to
Proceeds Acquired Gost or Other Wash Sale Loss   Wash Sale Loss   Wash Sale Loss   Wash Sale Loss	Description of property	CUSIP   Symbol(Bo	ox 1a)					
1.00   291 B2 05/26/2021   296.16   4.34 D   0.00   18.68   175 INC   CLASS A COMMON STOCK   CUSIP: 770700102   Symbol (Box 1a): HOOD   9.96   475.44   498.66   1.00   72.86 10/20/2021   82.82   0.00   9.96   87.66   10.50   461 B2 05/25/2021   374.16   0.00   87.66   10.50   461 B2 05/25/2021   374.16   0.00   87.66   10.50   461 B2 05/25/2021   374.16   0.00   87.66   10.50   461 B2 05/25/2021   10.20   1.00   88.91 04/22/2021   10.20   1.00   88.91 04/22/2021   10.20   1.20   1.10   1.25.90   1.10   1.25.90   1.10   1.25.90   1.10   1.25.90   1.10   1.25.24   1.20		antity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	) Additional Notes
18.06   19.66   10.00   19.66   10.00   19.66   10.00   19.66   10.00   19.66   10.00   10.0	05/26/2021	2.00		05/26/2021	296.16			
2.00         72.86 10/20/2021         82.82         0.00         -9.96           421         STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a); SPYZ10526P00421000         87.66         10.00         87.66           10.50 AMC ENTERTAINMENT HOLDINGS INC   CUSIP:   Symbol(Box 1a); AMC210430P00010500         -15.16         87.66           10.00         86.91 04/22/2021         10.207         0.00         -15.16           375 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a); SPY210312P00375000         -15.16         41.82           2.00         779.82 03/04/2021         662.16         0.00         117.66           2.00         779.82 03/04/2021         662.16         0.00         117.66           40 LUCID GROUP INC   CUSIP:   Symbol(Box 1a); LCID220128P00040000         297.14           40 LUCID GROUP INC   CUSIP:   Symbol(Box 1a); LCID220128P00040000         -40.06           3.00         359.74 03/19/2021         1,329.24         0.00         -40.06           41 SE LUCID GROUP INC   CUSIP:   Symbol(Box 1a); LCID220107P00035000         -969.50         -40.06           3.00         359.74 03/19/2021         1,125.06         0.00         -969.50           3.00         881.92 12/10/2021         1,125.06         0.00         -243.14           415 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a); SPY2	Security Totals: ROBINHOOD MARKETS I		475.64 COMMON ST	OCK   CUSIP: 770	498.66 700102   Symbol (Bc	эх1а): HOOD	-18.68	8
1.00   461.82   05/25/2021   374.16   0.00   87.66     1.00   86.31   04/22/2021   374.16   0.00   -15.16     1.00   86.31   04/22/2021   102.07   0.00   -15.16     1.00   372.90   03/04/2021   831.08   0.00   137.68     2.00   7798.2   03/04/2021   662.16   0.00   137.68     3.00   7798.2   03/04/2021   662.16   0.00   137.68     4.00   7798.2   03/04/2021   662.16   0.00   147.68     4.00   7798.2   03/04/2021   662.16   0.00   147.68     4.00   7798.2   03/04/2021   662.16   0.00   147.68     5.00   7798.2   03/04/2021   662.16   0.00   147.68     5.00   7798.2   03/04/2021   670.02   0.00   -40.06     6.00   7798.2   12/14/2021   1.329.24   0.00   -969.50     7.00   881.92   12/10/2021   1.125.06   0.00   -243.14     7.00   881.92   12/10/2021   1.125.06   0.00   -243.14     7.00   881.92   12/10/2021   1.125.06   0.00   -243.14     7.00   881.92   12/10/2021   1.125.06   0.00   -243.14     7.00   881.92   12/10/2021   1.125.06   0.00   -243.14     7.00   881.92   12/10/2021   1.125.06   0.00   -243.14     7.00   881.92   12/10/2021   1.125.06   0.00   -243.14     7.00   7.00   7.00   7.00   7.00   7.00     7.00   7.00   7.00   7.00   7.00   7.00     7.00   7.00   7.00   7.00   7.00   7.00     7.00   7.00   7.00   7.00   7.00   7.00     7.00   7.00   7.00   7.00   7.00   7.00     7.00   7.00   7.00   7.00   7.00   7.00     7.00   7.00   7.00   7.00   7.00   7.00     7.00   7.00   7.00   7.00   7.00   7.00     7.00   7.00   7.00   7.00   7.00   7.00     7.00   7.00   7.00   7.00   7.00   7.00     7.00   7.00   7.00   7.00   7.00   7.00   7.00     7.00   7.00   7.00   7.00   7.00   7.00   7.00     7.00   7.00   7.00   7.00   7.00   7.00   7.00   7.00     7.00   7.	10/26/2021		72.86	10/20/2021	82.82		96.6-	
10.50 AMC ENTERTAINMENT HOLDINGS INC   CUSIP:   Symbol(Box 1a): AMC210430P00010500   .15.16     1.00   86.91 04/22/2021   102.07   0.00   .15.16     1.00   372.90 03/04/2021   331.08   0.00   41.82     2.00   7798.82 03/04/2021   662.16   0.00   117.66     2.00   7798.2 03/04/2021   662.16   0.00   117.66     4.00   1.962.54   1.655.40   0.00   .17.66     4.00   LUCID GROUP INC   CUSIP:   Symbol(Box 1a): LCID220128P00040000   .40.06     4.00   3.00   359.74 03/19/2021   1.329.24   0.00   .969.50     3.00   881.92 12/14/2021   1.125.06   0.00   .243.14     4.182   3.00   881.92 12/14/2021   1.125.06   0.00   .243.14     4.182   3.00   3.00   881.92 12/14/2021   1.125.06   0.00   .243.14     4.182   3.00   881.92 12/14/2021   1.125.06   0.00   .243.14     4.182   3.00   881.92 12/14/2021   1.125.06   0.00   .243.14     4.183   3.00   881.92 12/14/2021   1.125.06   0.00   .243.14     4.184   STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210510P00415000	POL SPY 05/26/21 421 05/25/2021		-00KS DEPC 461.82	05/25/2021	Symbol(box 1a): 374.16	SPY210526F00421000 0.00	87.66	
1.00         86.91 04/22/2021         102.07         -15.16           375         STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210312P00375000         41.82           1.00         372.90         03/04/2021         862.16         0.00         41.82           2.00         779.82         03/04/2021         662.16         0.00         117.66           4.0         LUCID GROUP INC   CUSIP:   Symbol(Box 1a): LCID220128P00040000         297.14           40         LUCID GROUP INC   CUSIP:   Symbol(Box 1a): LCID220128P00040000         -40.06           40         NIO INC ADS   CUSIP:   Symbol(Box 1a): LCID220107P00035000         -40.06           3.00         881.92         12/14/2021         1,329.24         0.00         -969.50           3.00         881.92         12/14/2021         1,125.06         -243.14           415         STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210510P00415000         -243.14	PUT AMC 04/30/21 10		AINMENT HO	LDINGS INC   CU!	SIP:   Symbol(Box	1a): AMC210430P00010500		
1.00   372.90   03/04/2021   331.08   0.00   41.82     2.00   7798.2   03/04/2021   662.16   0.00   137.66     2.00   7798.2   03/04/2021   662.16   0.00   117.66     4.00   1.00   629.36   12/14/2021   670.02   0.00   -40.06     4.00   1.00   629.36   12/14/2021   670.02   0.00   -40.06     4.00   1.00   629.36   12/14/2021   1.329.24   0.00   -40.06     3.00   359.74   03/19/2021   1.329.24   0.00   -969.50     3.00   819.2   12/10/2021   1.125.06   0.00   -243.14     415   STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210510P00415000	04/22/2021 PUT SPY 03/12/21 375		86.91 200RS DEPO	04/22/2021 ISITORY   CUSIP:	102.07   Symbol(Box 1a):		-15.16	
2.00         799.82         03/04/2021         662.16         0.00         137.66           2.00         779.82         03/04/2021         662.16         0.00         117.66           40         LUCID GROUP INC   CUSIP:   Symbol(Box 1a): LCID220128P00040000         297.14           40         LUCID GROUP INC   CUSIP:   Symbol(Box 1a): NIO210409C00040000         -40.06           40         NIO INC ADS   CUSIP:   Symbol(Box 1a): NIO210409C00040000         -40.06           3.00         359.74         03/19/2021         -329.24         0.00         -969.50           3.00         881.92         12/10/2021         11.25.06         -969.50         -243.14           415         STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210510P00415000         -243.14	03/04/2021	1.00	372.90	03/04/2021	331.08	00:00	41.82	
1.00   1.952.54   1.655.40   1.756.540   1.756.540   1.756.540   1.756.540   1.756.540   1.756.540   1.00   1.352.54   1.655.40   1.00   1.202.0128P00040000   1.00   1.202.0128P00040000   1.00   1.00   1.202.0128P00040000   1.202.0128P00040000   1.322.40   1.202.0128P00040000   1.322.44   1.322	03/04/2021	2.00	799.82	03/04/2021	962.16	00:00	137.66	
40 LUCID GROUPINC   CUSIP:   Symbol (Box 1a): LCID220128P00040000  1.00 629.36 12/14/2021 670.02  40 NIO INC ADS   CUSIP:   Symbol (Box 1a): NIO210409C000040000  3.00 359.74 03/19/2021 1329.24  3.00 359.74 03/19/2021 1329.24  3.00 881.92 12/10/2021 11.25.06  415 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol (Box 1a): Symbol (Bo	03/04/2021	2.00		03/04/2021	662.16	0.00	117.66	- 1
1.00         629.96         12/14/2021         670.02         0.00         -40.06           40         NIO INC ADS   CUSIP:   Symbol(Box 1a): NIO210409C00040000         -40.06         -40.06           3.00         359.74         0.01/9/2021         -389.24         0.00         -969.50           3.00         881.92         12/10/2021         1,125.06         0.00         -243.14           415         STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210510P00415000         -243.14		-	1,952.54 NC   CUSIP:	Symbol(Box 1a):	1,655.40 LCID220128P0004	0000	297.14	
3.00 359.74 03/19/2021 1,329.24 0.00 -969.50 -969.50 3.00 881.32 12/10/2021 1,125.06 1,287.04 15 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210510P00415000	12/14/2021 CALL NIO 04/09/21 40		629.96 USIP:   Sym	12/14/2021 bol(Box 1a): NIO21	670.02 0409C00040000	0.00	-40.06	
3.00 881.92 12/10/2021 1,125.06 0.00 -243.14 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210510P00415000			359.74 NC   CUSIP:	03/19/2021   Symbol(Box 1a):	1,329.24 LCID220107P0003		969.50	
STANDARD & FOURS DEFUSITION   COSIT:   Symbol box 18; SFTZ10310F00413000	12/13/2021		881.92	12/10/2021	1,125.06		-243.14	
1.00 385.91 05/04/2021 248.07 0.00 137.84	POL SPY 05/10/21 415 05/04/2021		385.91	05/04/2021	Symbol(Box 1a): 248.07	SPYZ10510F00415000 0.00	137.84	t Sell to Close

<sup>\*</sup>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxapayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS           Report or Form 8849, Part I with Box A checked.         Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)         Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)         Box 2: Type of Gain or Loss - Short-Term (unless indicated as Number is not reported to the IRS but may be helpful to complete your return.         Box 6: Gross (unless indicated as Number is reported to the IRS. The additional information not referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is reported to the IRS. The additional information of the IRS better 10 (Box 1d) (Box	## Covered Pack Lots    Solve   Covered	n Additional Notes Column) n not referenced by a Box Numbe n not referenced by a Box Numbe Accrued Market Discount (M)(Box 19) & Wash Sale Loss Disallowed (D)(Box 19) 230000 0.00 120.17 D 120.17 D	Box 12: Basis r is not reported to Gain or Loss(-) 109.82 -240.33 -340.35 -580.68	Box 12: Basis Reported to the IRS of reported to the IRS but may be helpful to in or Loss(.)  Additional Notes  109.82 Sell to Close -340.35 Sell to Close -580.68
	Accrued hat	ount (19) & coss (19) 0.00	Gain or Loss(-) 109.82 -240.33 -340.35 -580.68	Additional Notes Sell to Close Sell to Close Sell to Close
	3/mbol(Box 1a): MSFT2101096200230000 3/mbol(Box 1a): MSFT2101096200230000 1   Symbol(Box 1a): ARKK210319C00140000 1/2021	0.00	109.82 109.82 -240.33 -340.35 -580.68	Sell to Close Sell to Close
	Symbol(Box 1a): ARKK210319C00140000   Symbol(Box 1a): ARKK210319C00140000  /2021	0.00	.340.35 -580.68	Sell to Close
	2/2021 1,440.24 2/2021 700.25 2/140.49 DRY   CUSIP:   Symbol(Box 1a): SPY21121( 535.02 BRY   Clisip:   Symbol(Box 1a): SPY211210	0.00	-240.33 -340.35 -580.68	Sell to Close Sell to Close
	2/2021 700.25 2.140.49 DRY   CUSIP:   Symbol(Box 1a): SPY211210 37201 535.02 BRY   Clister   Symbol(Box 1a): SPY211310	0.00	-340.35	Sell to Close
	2,140.49 DRY   CUSIP:   Symbol(Box 1a): SPY211210 1/2021		-580.68	
.   63	0/2021   50-21   53/11/200   53-5.02   53/2021   53/2021   53/202   53/202   53/202   59/211/210	000000000000000000000000000000000000000		
	)/2021 535.02 BR   CHSIP: 1 Symbol/Box 1a): SPV211210	000460000		
	111   COII :   Opinion(DOX 14): Of 121121	0.00	-6.06	Sell to Close
	5/2021 663.02	0.00	-227.06	Sell to Close
	1/2021 (310.02)	0.00	57.94	Sell to Close
PUT SPY 05/26/21 415 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210526P00415000	nRY   CUSIP:   Symbol(Box 1a): SPY210526	00415000		
06/26/2021 1.00 7.92 05/26/2021 32.07 06/26/2021 427.50 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box1a): SPV210924P00427500	32.07 ORY   CUSIP:   Symbol(Box1a): SPY21092	0.00 P00427500	-24.15	Sell to Close
09/21/2021 4.00 759,77 09/21/2021 720.21 CALL SPY 12/31/21 475 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211231C00475000	720.21 DRY   CUSIP:   Symbol(Box 1a): SPY21123	0.00	39.56	Sell to Close
12/30/2021 1.00 288.96 12/30/2021 364.02 PUT SPY 01/03/22 475 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 14): SPY220103P00475000	)/2021 hPY   CUSIP:   Symbol(Box 1a): SPY220103	0.00	-75.06	Sell to Close
٠,	1,465.11	00:00	-55.24	Sell to Close
***BLACKBERRY LTD COM   CUSIP: 09228F103  Symbol(Box 1a): BB 06/04/2021 67.00 912.52 Various	oi(Box 1a): BB arious 1,222.27	178.21 D	-131.54	Sale

<sup>\*</sup>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxapayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

APEX CLEARING 5NB67880		Proc	eeds from	Broker and Barte	Proceeds from Broker and Barter Exchange Transactions (continued)		<b>2021 Form 1099-B*</b> 01/31/2022	OMB No. 1545-0715
SHORT TERM TR	TRANSACTION	ONS FOR	ANSACTIONS FOR COVERED TAX LOTS	'AX LOTS				
Report on Form 8949, Part I with Box A checked.  Box 2: Type of Gant Or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)	Part I with Box A chec	sked. Inless indicated a	ss Ordinary in Add	tional Notes Column)	And the second by the second b		000	
Box 5: Box Not Checked (Covered Security)  The 1099-B data referenced by a Box Nun complete your return.	ed (Covered Security) enced by a Box Num .	ber is reported	Box 6: Gross (unito the IRS. The a	ess indicated as Net ir dditional informatior	Box 5: Box Not Checked (Covered Security)  Box 6: Box Not Checked (Covered Security)  Box 6: Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.	Box 12: Basis ber is not reported to	Box 12: Basis Reported to the IRS it reported to the IRS but may be he	lpful to
Description of property   CUSIP   Symbol (Box 1a)	ty   CUSIP   Symbol(	Box 1a)						
Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes	Notes
06/07/2021	36.00	519.46	06/07/2021	688.33	0.00	-168.87	Sale	
Security Totals:		1,431.98		1,910.60		-300.41		
PUT AAPL 03/26/21 12	_	CUSIP:   Symb	ol(Box 1a): AAPL2	APPLE INC   CUSIP:   Symbol(Box 1a): AAPL210326P00121000				
03/19/2021	1.00	305.90	305.90 03/19/2021	273.08	0.00	32.82	Sell to Close	
FISKER INC	CLASS A COMMC	N STOCK   CUS	SIP: 33813J106   S	ASS A COMMON STOCK   CUSIP: 33813J106   Symbol (Box1a): FSR				
01/05/2021	13.00	198.88	Various	226.05	1.87 D	-25.30	Sale	
01/13/2021	1.00	15.88	15.88 12/16/2020	16.53	0.00	-0.65	Sale	
02/08/2021	1.00	14.88	14.88 02/01/2021	17.20	0.00	-2.32	Sale	
Security Totals:		229.64		259.78		-28.27		
CALL SPY 12/29/21 478		& POORS DEPC	SITORY   CUSIP	Symbol(Box 1a): S	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211229C00478000			
12/29/2021	3.00	146.92	146.92 12/29/2021	222.06	0.00	-75.14	Sell to Close	
CALL QCOM 11/26/21 170 QUALCOMMINC   CUSIP:   Symbol(Box1a): QCOM211126C00170000	1 170 QUALCOM	MINC CUSIP:	Symbol(Box 1a)	QCOM211126C0017	0000			
1/09/2021 380.04 380.04	1.00 172.50 WALT DISNE	329.94 EY CO   CUSIP:	329.94 11/09/2021   CUSIP:   Symbol(Box 1a)	380.04 : DIS211105C001725	00.00	-50.10	Sell to Close	
10/27/2021		153.94	153.94 10/27/2021	219.04	1.00 153.94 10/27/2021 219.04 0.00	-65.10	Sell to Close	
FUI SFT 06/01/21 422		, POURS DEPO		Symbol(Box 18): Si				
06/01/2021 PUT SPY 05/28/21 416		278.75 ( POORS DEPO	278.75 06/01/2021 3S DEPOSITORY   CUSIP:	3.00 278.75 06/01/2021 270.23 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 14): SPY210528P00416000	0.00 9Y210528P00416000	8.52	Sell to Close	
05/21/2021	ç	755.82	755.82 05/21/2021	602.16	0.00	153.66	Sell to Close	
CALL YOLO 02/19/21	<u>0</u>	ARES PURE OF	MABIS ETF   CO	orP:   Symbol(Box La	ADVISORBHARES PURE CANABIS ETF   CUSIF:   Symbol(Box 18): YOLOZ 10219C00018000			
01/06/2021	4.00	859.65	859.65 01/05/2021	576.32	0.00	283.33	Sell to Close	

\*This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxapayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

APEX CLEARING	Proc	seeds from E	roker and B	Proceeds from Broker and Barter Exchange Transactions		2021 Form 1099-B*	
5NB67880			(continued)	nued)	01/3	01/31/2022 OMB No. 1545-0715	-0715
SHORT TERM TRA	ANSACTIONS FOR COVERED TAX LOTS	COVERED TA	AX LOTS				
Report on Form 8949, Part I with Box A checked.  Box 2: Type of Gain or Loss - Short-Term (unles Box 5: Box Not Checked (Covered Security) The 1099-B data referenced by a Box Number complete your return.	Report on Form 8949, Part I with Box A checked.  Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)  Box 5: Box Not Checked (Covered Security)  Box 5: Box Not Checked (Covered Security)  The 1099-B data referenced by a Box Number is reported to the IRS. The additional informatio complete your return.	as Ordinary in Additi Box 6: Gross (unle I to the IRS. The ad	onal Notes Column) ss indicated as Net i ditional informatio	Report on Form 8949, Part I with Box A checked.  Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)  Box 5: Box Not Checked (Covered Security)  Box 6: Box Not Checked (Covered Security)  Box 6: Box Not Checked (Covered Security)  Box 12: Basis Reported to the IRS but may be helpful to complete your return.	Box 12: Basis per is not reported to	Box 12: Basis Reported to the IRS or reported to the IRS or reported to the IRS but may be helpful to	
Description of property   CUSIP   Symbol(Box 1a)	JSIP   Symbol(Box 1a)						
Date Sold or Disposed  (BOX 16)  OUT CON 16)  DIT CON 141004 467 CT	Date Proceeds Acquired tity (Box 1d) (Box 1d) (Box 1c) crannon s Box 1d) (Box 1d)		Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Cost or Other Wash Sale Loss Basis (Box 1g) Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes	
11/09/2021	100 26694		Symbol(Box 1a). 6	000/9400	-4 10	ot Head	
CALL SPY 05/14/21 409	NDARD & POO	DSITORY   CUSIP:	Symbol(Box 1a): 5	SPY210514C00409000			
05/13/2021	1.00 236.91	236.91 05/13/2021	226.07	0.00	10.84	Sell to Close	
VF CORPORATION   CUSIP 11/03/2021	P:918204108   Symbol(Box 1a): VFC 4.00 288.26	VFC Various	273.66	00.0	14.60	Sale	
PUT SPY 05/05/21 415	IDARD & POOI	SITORY   CUSIP:	Symbol(Box 1a): S				
05/04/2021	1.00 198.91	198.91 05/04/2021	135.07	0.00	63.84	Sell to Close	
PUT SPY 05/14/21 409	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210514P00409000	SITORY   CUSIP:	Symbol(Box 1a): S	PY210514P00409000			
05/13/2021 CALL NIO 07/09/21 50	1.00 119.91 05/13/2021 185.07 NIO INC ADS   CUSIP:   Symbol(Box 1a): NIO210709C00050000	119.91 05/13/2021 9:  Symbol(Box 1a): NIO210	185.07 1709C00050000	0.00	-65.16	Sell to Close	
06/29/2021 CALL AAPL 02/19/21 133	2.00 343.87 06/29/2021 386.11 APPLE INC   CUSIP:   Symbol(Box 1a); AAPL210219C00133000	343.87 06/29/2021 9:  Symbol(Box 1a): AAPL2	386.11 10219C00133000	0.00	-42.24	Sell to Close	
01/29/2021 PLUG POWER INC   CUSIP:	01/29/2021 1.00 554.89 01/29, PLUG POWER INC   CUSIP: 72919P202   Symbol(Box1a): PLUG	554.89 01/29/2021 (Box1a): PLUG	645.08	0.00	-90.19	Sell to Close	
01/15/2021 PUT SPY 06/01/21 421	1.00 60.08 01/14/2021 67.59 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210601P00421000	60.08 01/14/2021 S DEPOSITORY   CUSIP:	67.59   Symbol(Box 1a): S	0.00 PY210601P00421000	-7.51	Sale	
05/27/2021 CALL NIO 03/05/21 58	1.00 209.91 05/27/2021 203.07 NIO INC ADS   CUSIP:   Symbol(Box 14): NIO210305C00058000	209.91 05/27/2021 P:   Symbol(Box 1a): NIO210	203.07 )305C00058000	0.00	6.84	Sell to Close	
02/22/2021 CALL AAL 12/17/21 19.50	02/22/2021 1.00 231.90 02/12/2021 665.08 CALL AAL 12/17/21 19.50 AMERICAN AIRLINES GROUP INC   CUSIP:   Symbol(Box 1a): AAL211217C00019500	231.90 02/12/2021 ES GROUP INC   CUSIP:   5	665.08 Symbol(Box 1a): AA	0.00 L211217C00019500	-433.18	Sell to Close	
11/23/2021	4.00 455.89	455.89 11/22/2021	364.09	0.00	91.80	Sell to Close	

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APEX CLEARING	ā	roceeds from I	<b>3roker and Ba</b>	Proceeds from Broker and Barter Exchange Transactions		2021 Form 1099-B*	
5NB67880			(continued)	(per		01/31/2022 OMB No.	OMB No. 1545-0715
SHORT TERM TRANSACTIK Report on Form 8949, Part I with Box A chec Box 2: Type of Gain or Loss - Short-Term (L Box 5: Box Not Checked (Covered Security) The 1099-8 data referenced by a Box Num complete your return.	SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS Report on Form 8949, Part I with Box A checked.  Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)  Box 5: Ex Not Checked (Covered Security)  Box 6: Gross (unless indicated as Net in the 1099-8 data referenced by a Box Number is reported to the IRS. The additional information complete your return.	IR COVERED T ted as Ordinary in Addit Box 6: Gross (unle rted to the IRS. The ac	AX LOTS ional Notes Column) ss indicated as Net in.	ANSACTIONS FOR COVERED TAX LOTS with Box A checked. Short-Term (unless indicated as Ordinary in Additional Notes Column)  Box 6: Gross (unless indicated as Net in Additional Notes Column)  Box 12: Basis Reported to the IRS by a Box Number is reported to the IRS but may be helpful to	Box 12: Basis er is not reported to	Box 12: Basis Reported to the IRS t reported to the IRS but may be helpful to	
Description of property   CUSIP   Symbol(Box 1a)  Date Sold or Disposed  (Box 1c)  (Box 1c)  CALL AMC 05/07/21 14 AMC ENTERTAINME	y   CUSIP   Symbol(Box 1a)  Date  (M)(Box 1f) & (Box 1d)  Guantity  (Box 1d) (Box 1b)  Basis (Box 1e)  Disallowed (D)(Box 1g)  14 AMC ENTERTAINMENT HOLDINGS INC   CUSIP:   Symbol(Box 1g): AMC210507C00014000	Date s Acquired d) (Box1b) HOLDINGS INC   CUS	A Cost or Other Basis (Box 1e) IP:   Symbol (Box 1a):	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g) )): AMC210507C00014000	Gain or Loss(-)	Additional Notes	
04/23/2021 PUT SPY 12/10/21 455	1.00 23.91 03/25/2021 154.08 5 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211210P00455000	91 03/25/2021 EPOSITORY   CUSIP:	154.08   Symbol(Box 1a): SP	0.00 Y211210P00455000	-130.17	Sell to Close	
12/06/2021 CALL SOL 07/09/21 8	1.00 RENESOLA LTD ADF	285.96 12/06/2021 7   CUSIP:   Symbol(Box 1a	502.02 ): SOL210709C00008	00:00	-216.06	Sell to Close	
06/18/2021	1.00	89.93 06/14/2021	230.05	0.00	-140.12	Sell to Close	
PUT SPY 11/03/21 451 10/25/2021 PUT SPY 05/07/21 399	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211103P00451000 7.00 1,315.68 Various 1,820.29 3 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210507P00399000	EPOSITORY   CUSIP: 38 Various EPOSITORY   CUSIP:	Symbol(Box 1a): SP' 1,820.29   Symbol(Box 1a): SP'	Y211103P00451000 0.00 Y210507P00399000	-504.61	Sell to Close	
05/06/2021 PUT NIO 03/26/21 45	1.00 5.91 05/06/2021 6.07 NIO INC ADS   CUSIP:   Symbol(Box 1a): NIO210326P00045000	5.91 05/06/2021   Symbol(Box 1a): NIO210	6.07 326P00045000	0.00	-0.16	Sell to Close	
03/16/2021 CALL NIO 12/10/21 50	4.00 NIO INC ADS   CUS	1,075.66 03/16/2021 SIP:   Symbol(Box 1a): NIO21	1,132.32 1210C00050000	0.00	-56.66	Sell to Close	
11/08/2021 CALL SPY 12/31/21 477	10.00 STANDARD & PO	1,369.56 11/08/2021 ORS DEPOSITORY   CUSIP:	1,500.41   Symbol(Box 1a): SP	0.00 Y211231C00477000	-130.85	Sell to Close	
12/29/2021	1.00 173.5	173.96 12/29/2021	223.02	0.00	-49.06	Sell to Close	
12/30/2021	1.00 256.96	96 12/29/2021	223.02	0.00	33.94	Sell to Close	
12/30/2021	1.00 198.96	96 12/29/2021	223.02	0.00	-24.06	Sell to Close	
Security Totals:	629.88	88	90'699		-39.18		
PUT LCID 12/23/21 30	LUCID GROUP INC	IP:   Symbol(Box 1a):	_CID211223P0003000				
12/13/2021	7.00 321.5	321.83 12/10/2021	588.15	0.00	-266.32	Sell to Close	

<sup>\*</sup>This is important tax information and is being furnished to the internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxabayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

APEX CLEARING 5NB67880		Proc	eeds from E	<b>3roker and B</b>	Proceeds from Broker and Barter Exchange Transactions (continued)		<b>2021 Form 1099-B*</b> 01/31/2022 OMB No. 1545-0715
SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS Report on Form 8949, Part I with Box A checked.  Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)  Box 5: Box Not Checked (Covered Security)  The 1999-B data referenced by a Box Number is reported to the IRS. The additional information complete your return.	AANSACTIO I with Box A check ss - Short-Term (uni Covered Security) ed by a Box Numb	ins FOR (ed.	INSACTIONS FOR COVERED TAX LOTS ith Box A checked. Short-Term (unless indicated as Ordinary in Additional Notes Co ered Security)  Box 6: Gross (unless indicated as or a Box 8: Gross (unless indicated as box 8 or a Box 8).	AX LOTS ional Notes Column ss indicated as Net	SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS  Report on Form 8949, Part I with Box A checked.  Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)  Box 5: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)  Box 5: Type of Gain or Loss - Short-Term (unless indicated as Net in Additional Notes Column)  Box 12: Basis Reported to the IRS  The 1099-B active referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.	Box 12: Basis	Box 12: Basis Reported to the IRS or reported to the IRS but may be helpful to
Description of property   CU Date Sold or Disposed (Box1c) PUT SPY 10/22/21 452	y   CUSIP   Symbol(Box 1a) Proc Quantity (B 452 STANDARD & POOR	ox 1a) Proceeds (Box 1d) POORS DEPOR	SIP   Symbol(Box 1a)  Date Proceeds Acquired  Ity (Box 1b) STANDARD & POORS DEPOSITORY   CUSIP:	Cost or Other Basis (Box 1e)  Symbol(Box 1a): S	Accrued Market Discount (M)(Box 1f) & Cost or Other Wash Sale Loss Basis (Box 1e) Disallowed (D)(Box 1g)   Symbol(Box 1a): SPY211022P0045200	Gain or Loss(-)	Additional Notes
10/21/2021 CAMBER ENERGY INC	2.00 COMMON ST	197.90 TOCK   CUSIP.	00 COMMON STOCK   CUSIP: 13200M508  Symbol(Box 1a): CEI	182.08 ibol(Box 1a): CEI	0.00	15.82	Sell to Close
10/06/2021 CALL SPY 12/08/21 466	က	31.51 POORS DEPO	31.51 10/05/2021 RS DEPOSITORY   CUSIP:	51.30   Symbol(Box 1a): {	0.00 \$1.51 10/05/2021 \$1.30 0.00 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211208C00466000	-19.79	Sale
12/07/2021 PUT SPY 10/20/21 438		359.94 ·	359.94 12/07/2021 RS DEPOSITORY   CUSIP:	318.04   Symbol(Box 1a): S	2.00 359.94 12/07/2021 318.04 0.00 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211020P00438000	41.90	Sell to Close
10/13/2021 CALL TSLA 11/05/21 1200	ကျ	1,973.84 10/13/2021 CUSIP:  Symbol(Box 1a)	10/13/2021 bol(Box 1a): TSLA2	.00 1,973.84 10/13/2021 1,710.13 TESLA INC   CUSIP:   Symbol(Box 1a): TSLA211105C012000000	0.00	263.71	Sell to Close
11/03/2021 CALL AMC 06/18/21 20		1,669.94 11/03/2021 AINMENT HOLDINGS IN	11/03/2021 DINGS INC   CUS	2,575.04 IP:   Symbol (Box 1a	1.00 1,669,94 11/03/2021 2,575.04 0.00 AMC ENTERTAINMENT HOLDINGS INC   CUSIP:   Symbol (Box 1a): AMC210618C00020000	-905.10	Sell to Close
05/27/2021 PUT AAPL 03/26/21 118		1,929.82 05/27/2021 USIP:   Symbol(Box 1a):	2.00 1,929.82 05/27/2021 760.16 APPLE INC   CUSIP:   Symbol(Box 1a): AAPL210326P00118000	760.16 10326P00118000	0.00	1,169.66	Sell to Close
03/19/2021	2.00	259.82	03/19/2021	313.49	00.00	-53.67	Sell to Close
03/19/2021	1.00	171.90 (	171.90 03/19/2021	156.75	0.00	15.15	Sell to Close
Security Totals: CALL NIO 04/01/21 35		431.72 SUSIP:   Symb	431.72 NIO INC ADS   CUSIP:   Symbol(Box 1a); NIO210401C00035000	<b>470.24</b>		-38.52	
03/25/2021		1,259.66 03/25/2021	03/25/2021	1,520.32	0.00	-260.66	Sell to Close
PUI ISLA 11/05/21 1100 11/02/2021	"	2,639.89	LESLA INC   CUSIP:   Symbol(Box 1a):   SLA211105P01100000 2.00 2,639.89 Various 2,461.08	2,461.08	0.00	178.81	Sell to Close
CALL PYPL 01/07/22 200 12/15/2021		DINGS INC   CI 713.94	S INC   CUSIP:   Symbol(Bc 713.94 12/15/2021	PAYPAL HOLDINGS INC   CUSIP:   Symbol(Box1a): PYPL220107C002000000	7C00200000	43.90	Sell to Close
	) i	1		;	1	1	

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APEX CLEARING 5NB67880		Proc	eeds from E	Sroker and B	Proceeds from Broker and Barter Exchange Transactions (continued)		<b>2021 Form 1099-B*</b> 01/31/2022 OME	OMB No. 1545-0715
r TERM TR/ Form 8949, Part I v e of Gain or Loss s Not Checked (Co data referenced B data referenced	ANSACTIOI with Box A checke Short-Term (unic vered Security) by a Box Numbe	NS FOR (	ANSACTIONS FOR COVERED TAX LOTS with Box A checked. Short-Term (unless indicated as Ordinary in Additional Notes Co vered Security) Box 6: Gross (unless indicated as by a Box Number is reported to the IRS. The additional inform	NSACTIONS FOR COVERED TAX LOTS rith Box A checked. Short-Term (unless indicated as Ordinary in Additional Notes Column) rered Security) Box 6: Gross (unless indicated as Net in by a Box Number is reported to the IRS. The additional information	NSACTIONS FOR COVERED TAX LOTS  with Box A checked. Short-Term (unless indicated as Ordinary in Additional Notes Column)  wered Security)  Box 12: Basis Reported to the IRS. The additional Information not referenced by a Box Number is not reported to the IRS but may be helpful to	Box 12: Basis	Box 12: Basis Reported to the IRS rt reported to the IRS but may be helpfu	ę p
Description of property   CUSIP   Symbol(Box 1a)  Date Sold or Disposed (Box 1c) Quantity (B	ISIP   Symbol(Bc	eeds x 1d)	Date Acquired (Box1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes	ss
	1.00		12/15/2021	335.02	00.00	149.94	Sell to Close	
Security Totals: CALL SPY 01/10/22 480	STANDARD & F	1,198.90 OORS DEPO	SITORY   CUSIP:	1,005.06   Symbol(Box 1a): {	1,198.90 1,198.90 1,005.06 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY220110C00480000	193.84		
12/28/2021 CALL AAPL 06/18/21 150	2.00 APPLE INC	489.95 1 ADJ	489.95 12/28/2021 ADJ 4:1 STOCK SPLIT	532.04   CUSIP:   Symbo	532.04 0.00 ADJ 4:1 STOCK SPLIT   CUSIP:   Symbol(Box 1a): AAPL210618C00150000	-42.09	Sell to Close	
02/01/2021 CALL AMC 09/24/21 42	1.00 AMC ENTERTAI	766.89 C	766.89 01/28/2021 ENT HOLDINGS INC   CUS	965.08 IP:   Symbol(Box 18	1.00 766.89 01/28/2021 965.08 0.00 AMC ENTERTAINMENT HOLDINGS INC   CUSIP:   Symbol(Box 1a): AMC210824C00042000	-198.19	Sell to Close	
09/24/2021 PUT TSLA 06/04/21 620	5.00 TESLA INC   CL	64.72 C JSIP:  Symbo	5.00 64.72 09/24/2021 65.26 TESLA INC   CUSIP:   Symbol(Box 1a): TSLA210604P00620000	65.26 10604P00620000	00:0	-0.54	Sell to Close	
06/02/2021 PUT SPY 06/23/21 420	1.00 STANDARD & P	1,464.91 06/02/2021 OORS DEPOSITORY   0	16/02/2021 SITORY   CUSIP:	941.07   Symbol(Box 1a): S	1.00 1,464,91 06/02/2021 941,07 0.00 STANDARD & POORS DEPOSITORY   OUSIP:   Symbol(Box 14): SPY210623P00420000	523.84	Sell to Close	
06/22/2021 PUT LCID 11/26/21 50	1.00 LUCID GROUP IN	49.93 C	49.93 06/22/2021 3USIP:  Symbol(Box 1a):1	1.00 49.93 06/22/2021 49.05 LUCID GROUP INC   CUSIP:   Symbol (Box 1a); LCID211126P00050000	0000	0.88	Sell to Close	
11/22/2021 PUT AMC 04/01/21 15	1.00 AMC ENTERTAII	158.96 1 NMENT HOLE	158.96 11/22/2021 ENT HOLDINGS INC   CUSI	214.02 P:   Symbol(Box 1a	1.00 158.96 11/22/2021 214.02 0.00 AMC ENTERTAINMENT HOLDINGS INC   CUSIP:   Symbol(Box 1a): AMC210401P00015000	-55.06	Sell to Close	
03/19/2021 CALL AMC 04/01/21 15	2.00 AMC ENTERTA	597.82 C	597.82 03/19/2021 ENT HOLDINGS INC   CUSI	584.16 IP:   Symbol(Box 1a	2.00 597.82 03/19/2021 584.16 0.00 AMC ENTERTAINMENT HOLDINGS INC   CUSIP:   Symbol(Box 1a); AMC210401C00015000	13.66	Sell to Close	
03/22/2021 GAMESTOP CORP	2.00 CLASS A   CUS	241.82 03/19/2021 iIP: 36467W109   Symbo	2.00 241.82 03/19/2021 CLASS A   CUSIP: 36467W109   Symbol(Box 1a): GME	344.16 ): GME	0.00	-102.34	Sell to Close	
09/16/2021 PUT UPST 04/16/21 80	4.00 UPSTART HOLE	832.38 C	832.38 08/30/2021 3S INC   CUSIP:   Symbol(E	4.00 832.38 08/30/2021 871.68 UPSTART HOLDINGS INC   CUSIP:   Symbol(Box1a): UPST210416P00080000	0.00	-39.30	Sale	
03/18/2021	1.00	0 06:608	03/18/2021	1,150.08	00.0	-340.18	Sell to Close	

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APEX CLEARING		Proc	seeds from	Broker and Ba	Proceeds from Broker and Barter Exchange Transactions		2021 Form 1099-B*	
5NB67880				(continued)	(pant	01/	01/31/2022 OMB	OMB No. 1545-0715
SHORT TERM TR		IONS FOR	ANSACTIONS FOR COVERED TAX LOTS	FAX LOTS				
Report on Form 8949, Part I with Box A checked		ecked.						
Box 2: Type of Gain or Loss - Short-Term (u Box 5: Box Not Checked (Covered Security) The 1099-B data referenced by a Box Num	Loss - d (Co)	(unless indicated y)  mber is reported	as Ordinary in Add Box 6: Gross (unl to the IRS. The a	Short-Term (unless indicated as Ordinary in Additional Notes Column) ered Security)  Box 6: Gross (unless indicated as Net ir eds Security)  by a Box Number is reported to the IRS. The additional information	Short-Term (unless indicated as Ordinary in Additional Notes Column)  Box 12: Basis Reported to the IRS  Box 12: Basis Reported to the IRS  By a Box Number is not reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to	Box 12: Basi ber is not reported to	Box 12: Basis Reported to the IRS it reported to the IRS	đ
complete your return.  Description of property   Ct	y   CUSIP   Symbol(Box 1a)	I(Box 1a)						
Date Sold or Disposed (Box 10)		Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1o)	Gain or Loss(-)	Additional Notes	v.
25/21		& POORS DEP	OSITORY   CUSIP	:   Symbol(Box 1a): S	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210625C00426000			
06/24/2021	4.00	201.76	06/24/2021	236.22	34.46 D	0.00	Sell to Close	
06/25/2021	7.00	286.60	Various	411.83	0.00	-125.23	Sell to Close	
Security Totals:		488.36		648.05		-125.23		
TATTOOED CHEF INC		COMMON STOC	K   CUSIP: 87663)	CLASS A COMMON STOCK   CUSIP: 87663X102  Symbol(Box 1a): TTCF	: ТТСF			
01/06/2021	8.00	189.76	12/15/2020	166.40	00.00	23.36	Sale	
01/08/2021	42.00	983.15	01/08/2021	995.47	0.00	-12.32	Sale	
Security Totals:	232 FO MICROSC	1,172.91	IP.   Symbol/Box	1,172.91 1,161.87	1030500	11.04		
	25.30 MINOTO			la). Mist 121002000	222200			
03/23/2021 PUT AMC 05/28/21	1.00 24 AMC ENTER	599.90 TAINMENT HOL	599.90 03/23/2021 ENT HOLDINGS INC   CUS	685.08 3IP:   Symbol(Box 1a)	1.00 599.90 03/23/2021 685.08 0.00 AMC ENTERTAINMENT HOLDINGS INC   CUSIP:   Symbol(Box 1a): AMC210528P00024000	-85.18	Sell to Close	
05/28/2021 ETSY INC	1.00 COM   CUSIP: 297	1.00   CUSIP: 29786A106  Symbol(Box1a): ETSY	05/27/2021 01(Box1a): ETSY	77.07	00.00	-58.16	Sell to Close	
12/09/2021 PUT SPY 12/22/21 466		234.26 & POORS DEPC	234.26 12/08/2021 3S DEPOSITORY   CUSIP:	1.00 234.26 12/08/2021 284.00 STANDARD & POORS DEPOSITORY   CUSIP:  Symbol(Box 1a): SPY211222P00466000	0.00 PY211222P00466000	0.26	Sale	
12/22/2021	6.00	418.85	418.85 12/22/2021	390.13	00.00	28.72	Sell to Close	
CLOVER HEALTH INVESTMENTS CORP CLASS A COMMON STOCK   CUSIP: 18914F103   Symbol(Box 1a): CLOV	ESTMENTS CORF	CLASS A COMI	MON STOCK   CU	SIP: 18914F103  Sym	bol(Box 1a): CLOV			
06/08/2021	2.00	39.46	06/08/2021	35.64	0.00	3.82	Sale	
06/08/2021	29.00	584.98	06/08/2021	516.78	00:00	68.20		
06/10/2021	20.00	815.51	Various	1,347.66	0.00	-532.15	Sale	
Security Totals:		1,439.95		1,900.08		-460.13		

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A GT MGGT TGOUG							
בו שהם והטחט		NS FOR	<b>NSACTIONS FOR COVERED TAX LOTS</b>	TAX LOTS			
Report on Form 8949, Part I with Box A checked.  Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)  Box 5: Box Not Checked (Covered Security)  Box 6: Gross (unless indicated as Net in 1099-B data referenced by a Box Number is reported to the IRS. The additional information complete your return.	with Box A checke - Short-Term (unlo overed Security) d by a Box Numb	ed. ess indicated a er is reported	as Ordinary in Add Box 6: Gross (un to the IRS. The	litional Notes Column less indicated as Net tdditional informatic	Report on Form 8949, Part I with Box A checked.  Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)  Box 5: Box Not Checked (Covered Security)  Box 6: Box Not Checked (Covered Security)  Box 6: Box Not Checked (Covered Security)  Box 12: Basis Reported to the IRS  The 1099-B data referenced by a Box Number is reported to the IRS but may be helpful to complete your return.	Box 12: Basis ber is not reported to	Box 12: Basis Reported to the IRS it reported to the IRS it reported to the IRS but may be helpful to
Description of property   CUSIP   Symbol(Box 1a)	USIP   Symbol(Bo	)x 1a)					
Date Sold or Disposed (Box1c) Quantity		Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
BIONANO GENOMICS INC	COMMON	STOCK   CUS	IP: 09075F107   S	COMMON STOCK   CUSIP: 09075F107   Symbol(Box 1a): BNGO	0		
01/15/2021	3.00	25.93	01/08/2021	15.15	00:00	10.78	Sale
	32.00	375.98	Varions	311.80	00:00	64.18	Sale
Security Totals: CALL SPY 06/04/21 422	"	<b>401.91</b>	SITORY   CUSIF	326.95 ::   Symbol(Box 1a):	401.91 326.95 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210604C00422000	74.96	
05/28/2021	1.00	140.91	05/28/2021	167.08	00.00	-26.17	Sell to Close
05/28/2021	1.00	138.91	05/28/2021	167.08	0.00	-28.17	Sell to Close
05/28/2021	2.00	281.82	05/28/2021	334.16	0.00	-52.34	Sell to Close
Security Totals:		561.64		668.32		-106.68	
PUT SPY 10/15/21 430	STANDARD & F	OORS DEPO	SITORY   CUSIP	Symbol(Box 1a): 8	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211015P00430000		
10/06/2021	1.00	521.94	521.94 10/05/2021	364.04	0.00	157.90	Sell to Close
CALL SPY 12/31/21 471	STANDARD & F	OORS DEPC	SITORY   CUSIF	:   Symbol(Box 1a):	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211231C00471000		
12/23/2021	1.00	329.96	329.96 12/23/2021	309.02	0.00	20.94	Sell to Close
12/23/2021	2.00	639.94	12/23/2021	618.04	0.00	21.90	Sell to Close
Security Totals: PUT SPY 05/14/21 415	STANDARD & F	969.90 OORS DEPO	SITORY   CUSIP	927.06   Symbol(Box 1a): §	969.90 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210514P00415000	42.84	
05/14/2021 CALL SPY 05/14/21 415	-	90.91 POORS DEPC	05/14/2021 OSITORY   CUSIF	104.07 ::   Symbol(Box 1a):	.00 90.91 05/14/2021 104.07 0.00 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210514C00415000	-13.16	Sell to Close
05/12/2021	1.00	105.91	105.91 05/12/2021	104.07	0.00	1.84	Sell to Close
CALL WISH 10/15/21 15		IC INC CL A	CUSIP:   Symbo	CONTEXTLOGIC INC CL A   CUSIP:   Symbol(Box 1a): WISH211015C00015000	15C00015000		

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APEX CLEARING 5NB67880		Proc	eeds from E	roker and E	Proceeds from Broker and Barter Exchange Transactions (continued)		2021 Form 1099-B* 01/31/2022 OMB No. 1645-0715
SHORT TERM TRANSACTION. Report on Form 8949, Part I with Box A checked. Box 2: Type of Gain or Loss - Short-Term (unles Box 5: Box Not Checked (Covered Security) The 1099-B data referenced by a Box Number complete your return.	ANSACTIO with Box A check s - Short-Term (un overed Security) d by a Box Numb	ons FOR (ed.	ANSACTIONS FOR COVERED TAX LOTS with Box A checked. Short-Term (unless indicated as Ordinary in Additional Notes Column) vered Security) Box 6: Gross (unless indicated as Net in by a Box Number is reported to the IRS. The additional information	AX LOTS onal Notes Columr ss indicated as Net	MNSACTIONS FOR COVERED TAX LOTS         with Box A checked.       Short-Term (unless indicated as Ordinary in Additional Notes Column)         short-Term (unless indicated as Net in Additional Notes Column)       Box 12: Basis Reported to the IRS but may be helpful to by a Box Number is reported to the IRS but may be helpful to by a Box Number is reported to the IRS but may be helpful to be a solution of the IRS but may be helpful to the IRS but may be helpful to the IRS but may be helpful to the IRS by a Box Number is reported to the IRS but may be helpful to the IRS by a Box Number is reported to the IRS but may be helpful to the IRS by a Box Number is reported to the IRS but may be helpful to the IRS by a Box Number is reported to the IRS but may be helpful to the IRS but may be helpful to the IRS by a Box Number is reported to the IRS but may be helpful to the IRS by a Box Number is reported to the IRS but may be helpful to the IRS by a Box Number is reported to the IRS but may be helpful to the IRS by a Box Number is reported to the IRS but may be helpful to the IRS by a Box Number is reported to the IRS but may be helpful to the IRS by a Box Number is reported to the	Box 12: Basis nber is not reported to	Box 12: Basis Reported to the IRS it reported to the IRS but may be helpful to
Description of property   CU Date Sold or Disposed	:USIP Symbol(Box 1a)	tox 1a)		Cost or Other	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss		
(Box 1c) Quant CALL SPY 12/20/21 463	뒫	(Box 1d) POORS DEPO	(Box 1b) I	Basis (Box 1e)   Symbol (Box 1a):	ity (Box 1d) (Box 1b) Basis (Box 1e) Disallowed (D)(Box 1g) STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211220C00463000	Gain or Loss(-)	Additional Notes
12/15/2021 CALL NIO 08/13/21 50	3.00 NIO INC ADS   C	1,547.92 12/14/2021 CUSIP:   Symbol(Box1a)	3.00 1,547.92 12/14/2021 1,086.06 NIO INC ADS   CUSIP:   Symbol(Box 1a): NIO210813C00050000	1,086.06 0813C00050000	0.00	461.86	Sell to Close
07/15/2021 CALL SPY 06/21/21 417		109.93 (POORS DEPO	07/13/2021 OSITORY   CUSIP:	252.05   Symbol(Box 1a):	1.00 109.93 07/13/2021 252.05 0.00 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPV210621C00417000	-142.12	Sell to Close
06/21/2021 PUT SPY 10/29/21 446	2.00 STANDARD & F	261.87 POORS DEPO	261.87 06/21/2021 3S DEPOSITORY   CUSIP:	152.11   Symbol(Box 1a): \$	2.00 261.87 06/21/2021 152.11 0.00 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211029P00446000	109.76	Sell to Close
10/15/2021 PUT SPY 10/13/21 430	3.00 STANDARD & F	1,274.85 10/15/2021 POORS DEPOSITORY   C	10/15/2021 SITORY   CUSIP:	1,221.13   Symbol(Box 1a): {	3.00 1,274.85 10/15/2021 1,221.13 0.00 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211013P00430000	53.72	Sell to Close
10/06/2021	1.00	524.94	10/05/2021	300.04	0.00	224.90	Sell to Close
10/06/2021	1.00		10/05/2021	300.04	0.00	155.90	Sell to Close
Security lotals: CALL SPY 10/13/21 430		POORS DEPO	SITORY   CUSIP:	600.08   Symbol(Box 1a):	980.88 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211013C00430000	380.80	
10/12/2021 ARK ETF TRUST	1.00 ARK GENOMICI	606.94 REVOLUTION	1.00 606.94 10/12/2021 600.04 ARK GENOMIC REVOLUTION ETF   CUSIP: 002140302   Symbol(Box 1a): ARKG	600.04 4Q302  Symbol(Bo	0.00 0x1a): ARKG	06.9	Sell to Close
01/28/2021 PUT SPY 12/06/21 465	3.00 STANDARD & F	307.45 POORS DEPO	Various SITORY   CUSIP:	316.53   Symbol(Box 1a): 9	3.00 307.45 Various 316.53 0.00 STANDAHD & POORS DEPOSITORY   CUSIP:  Symbol(Box 1a): SPY211206P00465000	-9.08	Sale
12/01/2021 CALL SPY 12/20/21 465		501.96 POORS DEPC	501.96 12/01/2021 RS DEPOSITORY   CUSIP:	422.02   Symbol(Box 1a):	1.00 501.96 12/01/2021 422.02 0.00 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol (Box 1a): SPY211220C00465000	79.94	Sell to Close
12/10/2021 CALL NIO 03/12/21 59.50		1,239.94 12/10/2021 CUSIP:   Symbol(Box 1a	2.00 1,239.94 12/10/2021 1,370.04 NIO INC ADS   CUSIP:   Symbol(Box 1a): NIO210312C00059500	1,370.04 0312C00059500	0.00	-130.10	Sell to Close
02/11/2021	1.00	782.89	02/10/2021	935.08	0.00	-152.19	Sell to Close

<sup>\*</sup>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxapayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

SHORT TERM TRANSACTIONS FOR COVERED TAX.LOTS	APEX CLEARING		Proc	eeds from k	3roker and B	Proceeds from Broker and Barter Exchange Transactions	ransactions		1099-B*
	5NB67880				(cont	(panu)		01/3	ļ
	SHORT TERM TR	RANSACTION	IS FOR	COVERED 1	AX LOTS				
	Report on Form 8949, Part <b>Box 2:</b> Type of Gain or Los	I with Box A checked s - Short-Term (unles	ı. ss indicated	as Ordinary in Addi	ional Notes Column				
STANDARD & Proceeds   Acquired   Cost or Other   Washin Sale Loss   Washin Sale Mask   Washin Sale Mask   Washin Sale Sale Sale   Washin Sale Mask   Washin Sale Ma	Box 5: Box Not Checked (C The 1099-B data reference complete your return.	Sovered Security)	is reported	Box 6: Gross (unk	ess indicated as Net Iditional informatio	in Additional Notes Column)	Box Number is not re	c 12: Basis ported to t	Reported to the IRS he IRS but may be helpful to
Proceeds	Description of property   C	CUSIP   Symbol(Box	c1a)						
NIO INC ADS   CUSIP:   Symbol(Box 1a): NIO2107300000000000000000000000000000000000			Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1d)		Loss(-)	Additional Notes
1.00   619.83   06/29/2021   330.05   0.00   288.98     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210712C00435000   44.88     LUCID GROUP INC   CUSIP:   Symbol(Box 1a): LCID211223P00040000   0.00   44.88     LUCID GROUP INC   CUSIP:   Symbol(Box 1a): SPY210505P00421000   2.00.81     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210505P00421000   2.84     AMERICAN DEPOSITARY SHARES   CUSIP:   Symbol(Box 1a): NNDM   0.00   2.0.58     LUCID GROUP INC   CUSIP:   Symbol(Box 1a): SPY211124P00470000   0.00   2.0.58     S300		NIO INC ADS   CU	SIP:   Sym	bol(Box 1a): NIO21	0730C00050000	(6			
STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210712C00435000  1.00 149.93 07/12/2021 105.05  4.00 1.399.89 Various 1.199.08 1.199.08 1.199.00 1.00 282.91 04/29/2021 280.07 280.00 280.07 280.00	06/30/2021		619.93	06/29/2021	330.05	0.00		289.88	Sell to Close
1.00         149.93         07/12/2021         44.88           LUCID GROUP INC   CUSIP:   Symbol(Box 1a): LCID211223900040000         1.199.08         0.00         200.81           4.00         1.399.89         Various         1.199.08         0.00         200.81           STANDARD & POORS DEPOSITARY SHARES   CUSIP: 63008G203   Symbol(Box 1a): SPY210505P00421000         2.84         2.84           AMERICAN DEPOSITARY SHARES   CUSIP: 63008G203   Symbol(Box 1a): NNDM         0.00         2.84           28.00         178.02         201/21/2021         207.91         0.76         D         -0.66           15.00         206.68         01/22/2021         207.91         0.00         -1.23           33.00         178.02         Various         198.60         0.00         -2.64           STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211124P00465000         -36.14         -22.47           STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211124P00465000         -36.14           2.00         237.34         11/39/2021         206.04         0.00         -36.14           3.00         237.34         11/23/2021         350.07         0.00         -36.14           47525103   Symbol(Box 1a): CUSIP:   Symbol(Box 1a): Symbol(Box 1a): Symbol(Box 1a): Symbol(Box 1a): Symbol(Box 1a): Symbol(Box 1	CALL SPY 07/12/21 435		OORS DEP(	OSITORY   CUSIP:	Symbol(Box 1a):	SPY210712C00435000			
LUCID GROUP INC   CUSIP:   Symbol (Box 1a): LCID211223900040000   1,399.89   Various   1,199.08   0.00   200.81		1.00	149.93	07/12/2021	105.05			44.88	Sell to Close
1,00   1,00   287.30   740.00   1,00   2.00.		LUCID GROUP IN	C CUSIP:	Symbol (Box 1a):	LCID211223P0004C			600	00 C4
1.00   282.91   04/29/2021   280.07   0.00   0.00   2.84     AMERICAN DEPOSITARY SHARES   CUSIP: 63008G203  Symbol(Box 1a): NNDM     28.00   359.22   01/21/2021   360.64   0.76   D   -0.66     15.00   206.68   01/22/2021   207.91   0.00   0.00   -1.23     33.00   178.02   Various   198.60   0.00   0.00   -20.58     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211124P00470000   -36.14     30.00   746.92   11/19/2021   783.06   0.00   -36.14     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211124P00465000   -36.14     50.00   237.94   11/23/2021   206.04   0.00   31.90     BEYOND MEAT INC   CUSIP:   Symbol(Box 1a): BYVD210528C00140000   -0.00   434.91   05/27/2021   350.07   0.00   0.00   -7.51     1.00   434.91   05/27/2021   350.07   0.00   0.00   -7.51     1.00   136.59   02/19/2021   144.10   0.00   0.00   -7.51     1.00   136.59   02/19/2021   144.10   0.00   0.00   209.82     2.00   1.809.90   Various   1.600.08   0.00   209.82     2.00   200.00   1.600.08   0.00   209.02     2.00   2.00.08   2.00.00   2.00.08   2.00.08     2.00   2.00.08   2.00.00   2.00.08   2.00.08     3.00.00   2.00.00   2.00.08   2.00.00   2.00.00     3.00.00   2.00.00   2.00.00   2.00.00   2.00.00     3.00.00   2.00.00   2.00.00   2.00.00   2.00.00     3.00.00   2.00.00   2.00.00   2.00.00   2.00.00     3.00.00   2.00.00   2.00.00   2.00.00   2.00.00   2.00.00     3.00.00   2.00.00   2.00.00   2.00.00   2.00.00   2.00.00     3.00.00   2.00.00   2.00.00   2.00.00   2.00.00   2.00.00     3.00.00   2.00.00   2.00.00   2.00.00   2.00.00   2.00.00     3.00.00   2.00.00   2.00.00   2.00.00   2.00.00     3.00.00   2.00.00   2.00.00   2.00.00   2.00.00   2.00.00     3.00.00   2.00.00   2.00.00   2.00.00   2.00.00   2.00.00     3.00.00   2.00.00   2.00.00   2.00.00   2.00.00   2.00.00     3.00.00   3.00.00   2		STANDARD & PC	ORS DEPC	SITORY   CUSIP:	Symbol(Box 1a): 5			200.01	Sell to Close
AMERICAN DEPOSITARY SHARES   CUSIP: 63008G203   Symbol (Box 1a): NNDM  28.00 359.22 01/21/2021 360.64 0.76 D -0.66  15.00 206.68 01/22/2021 207.91 0.00 -1.23  33.00 746.92 11/19/2021 767.15 -22.47  STANDARD & POORS DEPOSITORY   CUSIP:   Symbol (Box 1a): SPY211124P00470000  2.00 237.94 11/23/2021 206.04 0.00 -36.14  STANDARD & ROORS DEPOSITORY   CUSIP:   Symbol (Box 1a): SPY211124P00465000  2.00 237.94 11/23/2021 206.04 0.00 31.90  BEYOND MEAT INC   CUSIP:   Symbol (Box 1a): BYND210528C00140000  1.00 434.91 05/27/2021 350.07 0.00 84.84  47525103   Symbol (Box 1a): TOCM  1.00 136.59 02/19/2021 144.10 0.00 0.00 -7.51  NVIDIA CORPORATION ADJ 4: STOCK SPLIT   CUSIP:   Symbol (Box 1a): NVDA211119C00250000  2.00 1,600.90 Various 1,600.00 209.82	04/29/2021	1.0	282.91	04/29/2021	280.07			2.84	Sell to Close
28.00         359.22         01/21/2021         360.64         0.76         D         -0.66           15.00         206.68         01/22/2021         207.91         0.00         -1.23           33.00         178.02         Various         767.15         -20.56         -20.56           STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211124P00465000         -36.14         -22.47           3.00         746.22         11/19/2021         783.06         0.00         -36.14           STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211124P00465000         -36.14         31.90           BEYOND MEAT INC   CUSIP:   Symbol(Box 1a): BYND210528000140000         -36.14         31.90           1.00         434.91         16/27/2021         350.07         0.00         84.84           47525103   Symbol(Box 1a): COSM         144.10         0.00         -7.51           NVIDIA CORPORATION         ADJ 4:1 STOCK SPLIT   CUSIP:   Symbol(Box 1a): NVDA211119C00250000         -7.51           2.00         1,809.90         Various         1,600.00         0.00         209.82	***NANO DIMENSION LTD		EPOSITAR	Y SHARES   CUSIF	o: 63008G203  Syml	bol(Box1a): NNDM			
1.00   1.00	01/21/2021	28.00		01/21/2021	360.64	0.76		-0.66	Sale
33.00         178.02         Various         198.60         0.00         -20.58           STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211124P00470000         -22.47         -22.47           3.00         746.92         11/19/2021         783.06         0.00         -36.14           STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211124P00465000         -36.14           2.00         237.94         11/23/2021         206.04         0.00         31.90           BEYOND MEAT INC   CUSIP:   Symbol(Box 1a): BYND210528C00140000         0.00         434.91         0.00         44.84           1.00         434.91         05/27/2021         350.07         0.00         84.84           47525 103   Symbol(Box 1a): QCOM         1.44.10         0.00         -7.51           NVIDIA CORPORATION         ADJ 4:1 STOCK SPLIT   CUSIP:   Symbol(Box 1a): NVDA211119C00250000         -7.51           2:00         1,600.90         Various         1,600.00         0.00         209.82	01/22/2021	15.00		01/22/2021	207.91	0.00		-1.23	Sale
143.92   11/13/2021   18/mbol( Box 1a); SPY211124P00470000   -36.14     3.00	10/04/2021	33.00	178.02	Various	198.60	0.00		-20.58	Sale
3.00         746.92         11/19/2021         783.06         0.00         -36.14           STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211124P00465000         31.30           2.00         237.94         11/23/2021         206.04         0.00         31.30           BEYOND MEAT INC   CUSIP:   Symbol(Box 1a): BYNDZ10528C00140000         30.00         84.84           4755103   Symbol(Box 1a): OCOM         350.07         0.00         84.84           4755103   Symbol(Box 1a): OCOM         148.59         02/19/2021         144.10         0.00         7.51           NVIDIA CORPORATION         ADJ 4:1 STOCK SPLIT   CUSIP:   Symbol(Box 1a): NVDA211119C00250000         7.51         209.82           2:00         1,809.90         Various         1,600.08         0.00         209.82	Security Totals: PUT SPY 11/24/21 470		743.92 JORS DEPC	SITORY   CUSIP:	767.15   Symbol(Box 1a): §	3PY211124P00470000		-22.47	
2.00 237.94 11/23/2021 206.04 0.00 31.90 BEYOND MEAT INC   CUSIP:   Symbol(Box 1a); BYND210528C00140000 0.00 434.91 05/27/2021 350.07 0.00 84.84 47525103   Symbol(Box 1a); GCOM 136.59 02/19/2021 144.10 0.00 0.00 -7.51 0.00 1.90.90 Various 1,600.08 1,600.08 1,600.08 0.00 209.82	11/19/2021 BIT SBV 11/24/21 ASE		746.92	11/19/2021	783.06			-36.14	Sell to Close
2.00         237.94         11/23/2021         206.04         0.00         31.90           BEYOND MEAT INC   CUSIP:   Symbol(Box 1a); BYND210528C00140000         0.00         84.84           1.00         434.91         05/27/2021         350.07         0.00         84.84           47525103   Symbol(Box 1a); QCOM         1.36.59         02/19/2021         144.10         0.00         -7.51           1.00         1.36.59         02/19/2021         144.10         0.00         -7.51           NVIDIA CORPORATION         ADJ 4:1 STOCK SPLIT   CUSIP:   Symbol(Box 1a); NVDA211119C00250000         -7.51           2.00         1,809.90         Various         1,600.08         0.00         209.82	204 12/42/11 120 101		איזים טרוטי		godina on the contract of the	000000000000000000000000000000000000000			
BEYOND MEAT INC   CUSIF:   Symbol(Box 1a): BYND210528C00140000  1.00 434.91 05/27/2021 350.07 0.00 84.84  47525103   Symbol(Box 1a): QCOM 136.59 02/19/2021 144.10 0.00 -7.51  NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT   CUSIF:   Symbol(Box 1a): NVDA211119C00250000 209.82  2.00 1,809.90 Various 1,600.08 0.00 209.82	11/23/2021	7	237.94	11/23/2021	206.04			31.90	Sell to Close
1.00         434.91         05/27/2021         350.07         0.00         84.84           47525103   Symbol(Box 1a): QCOM         136.59         02/19/2021         144.10         0.00         -7.51           1.00         136.59         02/19/2021         144.10         0.00         -7.51           NVIDIA CORPORATION         ADJ 4:1 STOCK SPLIT   CUSIP:   Symbol(Box 1a): NVDA211119C00250000         -7.51           2.00         1,809.90         Various         1,600.08         0.00         209.82	CALL BYND 05/28/21 14			P:   Symbol(Box 1	a): BYND210528C0	0140000			
1.00         136.59         02/19/2021         144.10         0.00         -7.51           NVIDIA CORPORATION         ADJ 4:1 STOCK SPLIT   CUSIP:   Symbol (Box 1a): NVDA211119C00250000         209.82           2:00         1,809.90         Various         1,600.08         0.00         209.82	05/27/2021 QUALCOMM INC   CUSIP:		434.91 (Box 1a): QC	05/27/2021 30M	350.07	0.00		84.84	Sell to Close
NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT   CUSIP:   Symbol(Box 1a): NVDA211119C00250000 2:00 1,809.90 Various 1,600.08 0.00 209.82	02/23/2021	1.00		02/19/2021	144.10	00.0		-7.51	Sale
2.00 1,809.90 Various 1,600.08 0.00 209.82	CALL NVDA 11/19/21 25			ADJ 4:1 STOC	K SPLIT   CUSIP:	Symbol (Box 1a): NVDA211	119C00250000		
	10/28/2021	2.00	1,809.90	Various	1,600.08	0.00		209.82	Sell to Close

<sup>\*</sup>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxapayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

APEX CLEARING		Proce	eds from E	roker and E	Proceeds from Broker and Barter Exchange Transactions		2021 Form 1099-B*
5NB67880				uoo)	(continued)		01/31/2022 OMB No. 1545-0715
SHORT TERM TRA	ANSACTIONS FOR COVERED TAX LOTS	S FOR C	OVERED T	AX LOTS			
Report on Form 8949, Part I with Box A checked.	with Box A checked.	0	: : : : : : : : : : : : : : : : : : :	Section 1	1		
BOX 2: Type of dain of LOSS - Shoft - erm (unless indicated as Ordinary in Additional votes Column)  BOX 5: Box Not Checked (Covered Security)  The 1099-B data referenced by a Box Number is reported to the IRS. The additional information	s - Snort - Lerm (unless overed Security) d by a Box Number is	sindicated as  B  s reported to	Ordinary in Additions (unlead of the IRS. The ad	onal Notes Colum ss indicated as Net ditional informati	Box 5: 1ype of Stan of Loss - Short - Infin (unless indicated as Urdinary in Additional Notes Column)  Box 5: Box Not Checked (Covered Security)  Box 6: Gross (unless indicated as Net in Additional Notes Column)  The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to	Box 12: Basis nber is not reported to	Box 12: Basis Reported to the IRS at reported to the IRS but may be helpful to
complete your return.		.					
Description of property   CUSIP   Symbol(Box 1a)	:USIP   Symbol(Box 1	la)	ote C		Accrued Market Discount		
Disposed	뒫	Proceeds (Box 1d)	Acquired (Box 1b)	Cost or Other Basis (Box 1e) P:   Symbol(Box	Proceeds Acquired Cost or Other Wash Sale Loss ty (Box 1d) (Box 1b) Basis (Box 1e) Disallowed (D)(Box 1g)  AMC ENTERTAINMENT HOLDINGS INC   CUSIP:   Symbol (Box 1a): AMC210618C00032000	Gain or Loss(-)	Additional Notes
05/28/2021	1.00	969.91	05/28/2021	965.07	0.00	4.84	Sell to Close
PUT SPY 03/24/21 395	STANDARD & POC	ORS DEPOS	ITORY   CUSIP:	Symbol(Box 1a):	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210324P00395000		
03/17/2021	1.00	464.90 03/17/2021	3/17/2021	463.08	0:00	1.82	Sell to Close
CALL SPY 07/26/21 428	STANDARD & PO	ORS DEPOS	SITORY   CUSIP:	Symbol(Box 1a):	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210726C00428000		
07/19/2021	00.6	2,339.47 07	07/19/2021	2,925.49	0.00	-586.02	Sell to Close
PUT SPY 04/30/21 416	STANDARD & POC	ORS DEPOS	ITORY   CUSIP:	Symbol(Box 1a):	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210430P00416000		
04/26/2021	1.00	187.91 0	04/23/2021	203.07	15.16 D	0.00	Sell to Close
04/26/2021	1.00	177.91 0	04/26/2021	219.23	41.32 D	0.00	Sell to Close
04/30/2021	1.00	53.91 0	04/30/2021	97.39	00:00	-43.48	Sell to Close
Security Totals:		419.73		519.69		-43.48	
PUT SPY 10/11/21 436	STANDARD & POC	ORS DEPOS	ITORY   CUSIP:	Symbol(Box 1a):	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211011P00436000		
10/11/2021	1.00	39.94 10	0/11/2021	75.04	1.00 39.94 10/11/2021 75.04 0.00	-35.10	Sell to Close
OALL AINIO 04/09/21 12				r.   ayınıbdı(box	1a). AMICZ 10409C000 12000		
03/15/2021	7.00	2,974.41 03/12/2021	3/12/2021	1,568.56	0.00	1,405.85	Sell to Close
****HENESOLA LI D 06/17/2021	AMERICAN DEPOS 22.00	186.32	-ACH REPRES	=N IING IEN SHA 208.56	AMERICAN DEPOSITARY ON EACH REPRESENTING IEN SHARES   COSIF. (3971)301   3ymbol(box 1a); 5CL 22.00 186.32 Various 208.56 6.25 D	bol(box1a): SUL ) -15.99	Sale
06/18/2021	45.00	371.23	Various	426.88	0.00	-55.65	Sale
Security Totals:		557.55		635.44		-71.64	
CALL NIO 02/12/21 57.50	.0 NIO INC ADS   CUSIP:   Symbol(Box 1a): NIO210212C00057500	SIP:   Symb	ol(Box 1a): NIO21	0212C00057500			
02/09/2021	1.00	281.90 02/09/2021	2/09/2021	222.08	00:00	59.82	Sell to Close
CALL QCOM 01/22/21 152.50 QUALCOMM INC   CUSIP:   Symbol(Box1a): QCOM210122C00152500	2.50 QUALCOMM IN	c   cusip:	Symbol(Box1a):	QCOM210122C0	00152500		
01/12/2021	3.00	1,412.71 01/05/2021	1/05/2021	1,215.24	00:00	197.47	Sell to Close

<sup>\*</sup>This is important tax information and is being furnished to the internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are utilimately responsible for the accuracy of their tax returns.

APEX CLEARING		Pro	ceeds from E	3roker and	Proceeds from Broker and Barter Exchange Transactions		2021 Form 1099-B*
5NB67880				(coi	(continued)	01/3	01/31/2022 OMB No. 1545-0715
SHORT TERM TRA		NS FOR	ANSACTIONS FOR COVERED TAX LOTS	AX LOTS			
Report on Form 8949, Part I with Box A checked.		ked.					
Box 2: Type of Gain or Loss -	ss - Short-Term (ur	nlessindicated	Short-Term (unless indicated as Ordinary in Additional Notes Column)	ional Notes Colur	(uu	:	!
Box 5: Box Not Checked (Covered Security) The 1099-B data referenced by a Box Num complete your return.	Covered Security) ed by a Box Numk	ber is reporte	Box 6: Gross (unle d to the IRS. The ac	ess indicated as Ne Iditional informat	vered Security)  Box 6: Gross (unless indicated as Net in Additional Notes Column)  Box 12: Basis Reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to by a Box Number is not reported to the IRS but may be helpful to	Box 12: Basis mber is not reported to	Box 12: Basis Reported to the IRS it reported to the IRS but may be helpful to
Description of property   CU	CUSIP   Symbol(Box 1a)	3ox 1a)					
Date Sold or Disposed	-	Proceeds	Date Acquired	Cost or Other	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss		
8/21	<u></u> ≣∣	(Box 1d) POORS DEP	STANDARD & POORS DEPOSITORY   CUSIP:	Basis (Box 1e) Symbol(Box 1a):	Basis (Box 1e) Disallowed (D)(Box 1g)   Symbol(Box 1a): SPY210428P00418000	Gain or Loss(-)	Additional Notes
04/23/2021	1.00	249.91	04/23/2021	250.07	0.00	-0.16	Sell to Close
PUT SPY 12/10/21 468		POORS DEP	OSITORY   CUSIP:	Symbol(Box 1a):	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211210P00468000		
12/08/2021	1.00	244.96	244.96 12/07/2021	329.02	00:00	-84.06	Sell to Close
CALL NIO 03/19/21 40	NIO INC ADS   (	CUSIP:   Syr	NIO INC ADS   CUSIP:   Symbol(Box 1a): NIO210319C00040000	0319C00040000			
03/08/2021	1.00	108.90	03/08/2021	186.08	0.00	-77.18	Sell to Close
***NIO INC AMER	<b>TERICAN DEPOSI</b>	TARY SHARE	ES ECH RPRSNTNG	ONE CL A ORD	RICAN DEPOSITARY SHARES ECH RPRSNTNG ONE CL A ORD SHARE   CUSIP: 62914V106   Symbol(Box 1a): NIO	rmbol(Box 1a): NIO	
01/05/2021	4.00	207.06	Various	214.67	8.17	D 0.56	Sale
01/21/2021	3.00	175.48	01/07/2021	171.73	00.0	3.75	Sale
01/28/2021	10.00	577.47	Various	575.17	0.51	D 2.81	Sale
02/23/2021	4.00	194.90	01/08/2021	230.37	00:00	-35.47	Sale
03/09/2021	1.00	41.51	02/26/2021	45.37	00:00	-3.86	Sale
10/04/2021	8.00	268.30	09/20/2021	280.72	0.00	-12.42	Sale
Security Totals: PUT SPY 05/07/21 414		1,464.72 POORS DEP	OSITORY   CUSIP:	1,518.03   Symbol(Box 1a):	1,464.72 1,518.03 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210507P00414000	-44.63	
05/06/2021	4.00	743.66	743.66 05/06/2021	560.32	0.00	183.34	Sell to Close
NVIDIA CORP   CUSIP: 67066G104   Symbol(Box 1a): NVDA	'066G104  Symbo	l(Box 1a): NVI	PΑ				
02/08/2021	1.00	577.87	02/02/2021	538.02	0.00	39.85	Sale
12/09/2021	1.00	305.22	12/03/2021	305.07	00.00	0.15	Sale
Security Totals:		883.09		843.09		40.00	
CALL COIN 10/29/21 305		LOBAL INC	COINBASE GLOBAL INC   CUSIP:   Symbol (Box 1a): COIN211029C00305000	ox 1a): COIN2110;	29C00305000		
10/19/2021	1.00	1,349.94	1,349.94 10/19/2021	1,230.04	0.00	119.90	Sell to Close

<sup>\*</sup>This is important tax information and is being furnished to the internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are utilimately responsible for the accuracy of their tax returns.

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS						
SHORT TERM TRANSAC			(continued)	ned)	/10	01/31/2022 OMB No. 1545-0715
	CTIONS FOR	COVERED TA	AX LOTS			
Report on Form 8949, Part I with Box A checked	A checked.	-	(			
Box 2: I ype of Gain or Loss - Short-I erm (unless indicated as Ordinary in Additional Notes Column) Box 5: Box Not Checked (Covered Security)	erm (unless indicated curity)	as Ordinary in Additic	onal Notes Column) is indicated as Net in	as Ordinary in Additional Notes Column) <b>Box 6</b> : Gross (unless indicated as Net in Additional Notes Column)	Box 12: Basi	Box 12: Basis Reported to the IRS
The 1099-B data referenced by a Box complete your return.	x Number is reported	d to the IRS. The add	ditional information	not referenced by a Box Num	ber is not reported to	by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to
Description of property   CUSIP   Symbol(Box 1a)	mbol(Box 1a)					
Date Sold or Disposed (Box 1c) Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1q)	Gain or Loss(-)	Additional Notes
_	1,459.94	1,459.94 10/20/2021	1,150.04	00:0	309.90	Sell to Close
	2,809.88		2,380.08		429.80	ł
6/21 444	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210816P00444000	OSITORY   CUSIP:	Symbol(Box 1a): SF	Y210816P00444000		
08/16/2021 1.00 PUT LCID 12/17/21 39 LUCID G	1.00 65.93 08/16/2021 51.05 LUCID GROUP INC   CUSIP:   Symbol (Box 1a): LCID211217P00039000	08/16/2021   Symbol(Box 1a): L0	51.05 CID211217P000390	00.00	14.88	Sell to Close
	96 600	12/08/2021	80.08	00 0	120 94	Sell to Close
/21/21 412	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210521P00412000	OSITORY   CUSIP:	Symbol(Box 1a): SF			
05/20/2021 2.00 CALL SPY 05/21/21 413 STAND/	355.82 ARD & POORS DEP	355.82 05/20/2021 RS DEPOSITORY   CUSIP:	358.16   Symbol (Box 1a): SF	2.00 355.82 05/20/2021 358.16 0.00 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a); SPY210521C00413000	-2.34	Sell to Close
05/20/2021 1.00 PUT SPY 10/20/21 430 STANDA	1.00 195.91 05/20/2021 174.07 STANDARD & POORS DEPOSITORY   CUSIP:   Swmbol(Box 1a): SPV211020P00430000	195.91 05/20/2021 RS DEPOSITORY   CUSIP:	174.07 Symbol(Box 1a): SF	0.00 9Y211020P00430000	21.84	Sell to Close
	298.94	298.94 10/12/2021	323.04	0.00	-24.10	Sell to Close
PUT SPY 12/27/21 462 STANDA	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211227P00462000	OSITORY   CUSIP:	Symbol(Box 1a): SF	°Y211227P00462000		
12/17/2021 2.00 PLIT SPY 08/97/91 445 STANDA	2.00 995.94 12/17/2021 1,084.04 STANDARD & POORS DEPOSITORY   CHRISP - I SymboliRov 1a): SPV210827P00445000	12/17/2021 JSITOBY   CHSIP:	1,084.04 Symbol(Box 1a): SF	0.00 9Y910897P00445000	-88.10	Sell to Close
	89.87	Various	99.10	0.00	-9.23	Sell to Close
	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210623P00424000	OSITORY   CUSIP:	Symbol(Box 1a): SF	9Y210623P00424000		
06/23/2021 4.00 PLIT SPY 06/23/21 423 STANDA	4.00 323.76 06/23/2021 440.22 STANDABD & POORS DEPOSITORY   CHSP - I Svenhol/Rev 1ai SPV210623P00423000	323.76 06/23/2021	440.22 Symbol(Box 1a): SP	0.00 0.00	-116.46	Sell to Close
	176 93	176 93 06/22/2021	288 OF		-11119	osolO ot lless
12/19/21 237.5	ROSOFT CORP   CUS	SIP:   Symbol (Box 1a	a): MSFT210219C00			
02/08/2021 1.00	559.89	559.89 02/08/2021	710.08	0.00	-150.19	Sell to Close

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5NB67880				hoo)	(continued)		01/31/2022	OMB No. 1545-0715
SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS Report on Form 8949, Part I with Box A checked.  Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)  Box 5: Box Not Checked (Covered Security)  The 1099-B data referenced by a Box Number is reported to the IRS. The additional information complete your return.	IANSACTI( I with Box A chec S - Short-Term (u covered Security) id by a Box Num	ONS FOR (cked	NSACTIONS FOR COVERED TAX LOTS In Box A checked. Short-Term (unless indicated as Ordinary in Additional Notes Cored Security) Box 6: Gross (unless indicated as y a Box Number is reported to the IRS. The additional inform	FAX LOTS itional Notes Columness indicated as Net	SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS  Report on Form 8949, Part I with Box A checked.  Box 2: Type of Galin or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)  Box 5: Box Not Checked (Covered Security)  Box 6: Gross (unless indicated as Net in Additional Notes Column)  Box 12: Basis Reported to the IRS but may be helpful to complete your return.	Box 12: Be mber is not reported	Box 12: Basis Reported to the IRS it reported to the IRS but may be	s i helpful to
Description of property   CUSIP   Symbol(Box 1a)	SUSIP   Symbol(	Box 1a)						
Date Sold or Disposed (Box 1c) Qua	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)		Additional Notes
02/08/2021	1.00	604.89	02/08/2021	710.08	0.00	-105.19	19 Sell to Close	
Security Totals: CALL SPY 06/02/21 418		1,164.78 & POORS DEPO	SITORY   CUSIP:	1,420.16   Symbol(Box 1a):	1,184,78 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210602C00418000	-255.38	38	
06/02/2021 PUT SPY 03/31/21 394		279.91 ( R POORS DEPO	279.91 06/02/2021 3S DEPOSITORY   CUSIP:	294.07   Symbol(Box 1a): 3	1.00 279.91 06/02/2021 294.07 0.00 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210331P00394000	-14.16	16 Sell to Close	
03/29/2021	2.00	555.82	03/29/2021	562.16	6.34	0.0	0.00 Sell to Close	
03/30/2021	2.00	343.82	Various	333.33	0.00	10.49		
03/30/2021	1.00	171.90	03/30/2021	168.25	0.00	3.65	S5 Sell to Close	
Security Totals:		1,071.54		1,063.74		14.14	4	
CONTEXTLOGIC INC	CLASS A CC	DMMON STOCK	(   CUSIP: 21077C	CLASS A COMMON STOCK   CUSIP: 21077C107   Symbol(Box 1a): WISH	a): WISH			
07/15/2021	100.00	962.98	Various	1,090.75	7.44	D -120.33	33 Sale	
08/27/2021	0.00	40.45	07/13/2021	72.96	0.00	-32.54	54 Sale	
Security Totals:		1,003.40		1,163.71		-152.87	37	
PUT SPY 05/17/21 415		R POORS DEPO	SITORY   CUSIP:	Symbol(Box 1a):	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210517P00415000			
05/14/2021	1.00	186.91	05/14/2021	214.07	27.16	٥:0	0.00 Sell to Close	
05/17/2021	1.00	77.91	05/17/2021	131.23	00:00	-53.32	32 Sell to Close	
Security Totals: CALL SPY 06/28/21 420		264.82 & POORS DEPO	SITORY   CUSIP:	345.30   Symbol(Box 1a):	264.82 345.30 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210628C00420000	-53.32	35	
06/21/2021	2.00	327.87	327.87 06/21/2021	378.11	0:00	-50.24	24 Sell to Close	
PUT SPY 05/24/21 410		R POORS DEPO	SITORY   CUSIP:	Symbol(Box 1a):	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210524P00410000			
05/24/2021	1.00	2.91	2.91 05/21/2021	38.07	0.00	-35.16	16 Sell to Close	

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				(con	(continued)	01/3	01/31/2022 OMB No. 1545-0715
SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS Report on Form 8949, Part I with Box A checked.  Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)  Box 5: Box Not Checked (Covered Security)  The 1099-B data referenced by a Box Number is reported to the IRS. The additional information complete your return.	ANSACTIC  with Box A check  - Short-Term (ur  overed Security)  d by a Box Numil	NS FOR (ked.	ANSACTIONS FOR COVERED TAX LOTS with Box A checked Short-Term (unless indicated as Ordinary in Additional Notes Col vered Security)  Box 6: Gross (unless indicated as 1 by a Box Number is reported to the IRS. The additional inform	'AX LOTS tional Notes Columr sss indicated as Net	ANSACTIONS FOR COVERED TAX LOTS  with Box A checked. Short-Term (unless indicated as Ordinary in Additional Notes Column)  wered Security)  Box 6: Gross (unless indicated as Net in Additional Notes Column)  Box 12: Basis Reported to the IRS  by a Box Number is reported to the IRS but may be helpful to	Box 12: Basis	Box 12: Basis Reported to the IRS or reported to the IRS but may be helpful to
Description of property   CUSIP   Symbol(Box 1a) Date Sold or	SUSIP   Symbol(E	30x 1a)	Date	;	Accrued Market Discount (M)(Box 1f) &		
(Box 1c) Qua	Quantity 440 STANDARD &	(Box 1d) POORS DEPOS	ity (Box 1d) (Box 1b) STANDARD & POORS DEPOSITORY   CUSIP:		Cost or Other Wash Sale Loss Basis (Box 1e)   Symbol(Box 1a): SPY210920P00440000	Gain or Loss(-)	Additional Notes
09/20/2021 1.00 782.93 09/20/2021 515.05 PUT MSFT 06/18/21 252.50 MICROSOFT CORP   CUSIP:   Symbol(Box 1a); MSFT 210618P00252500	1.00 2.50 MICROSOF	792.93 0 T CORP   CUSIF	09/20/2021 IP:   Symbol(Box 1.	515.05 a): MSFT210618P0	0.00	277.88	Sell to Close
06/14/2021 CALL SPY 07/23/21 440	- 1	43.93 C POORS DEPO	43.93 06/14/2021 S DEPOSITORY   CUSIP:	51.05   Symbol(Box 1a):	1.00 43.93 06/14/2021 51.05 0.00 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210723C00440000	-7.12	Sell to Close
07/12/2021	3.00	437.82 0	07/12/2021	396.16	0000	41.66	Sell to Close
07/15/2021	1.00	52.93 0	07/13/2021	143.05	0.00	-90.12	Sell to Close
Security Totals: CALL TTCF 02/19/21 22.		490.75 CHEF INC CL A	1 CUSIP:   Symb	490.75 539.21 50 TATTOOED CHEF INC CL A   CUSIP:   Symbol(Box1a): TTCF210219C00022500	10219C00022500	-48.46	
01/13/2021 PUT SPY 03/10/21 368	1.00 STANDARD &	479.89 ( POORS DEPOS	479.89 01/07/2021 3S DEPOSITORY   CUSIP:	370.08   Symbol(Box 1a): {	1.00 479.89 01/07/2021 370.08 0.00 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210310P00368000	109.81	Sell to Close
03/05/2021 CALL SPY 12/23/21 470	``	431.82 0 POORS DEPO	03/05/2021 OSITORY   CUSIP:	502.16   Symbol(Box 1a):	2.00 431.82 03/05/2021 502.16 0.00 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a); SPY211223C00470000	-70.34	Sell to Close
12/23/2021 CALL HOOD 11/19/21 40	က	392.92 1 MARKET INC	392.92 12/23/2021 KET INC   CUSIP:   Symbo	.00 392.92 12/23/2021 423.06 ROBINHOOD MARKET INC   CUSIP:   Symbol(Box 1a): HOOD211119C00040000	0.00	-30.14	Sell to Close
10/21/2021	2.00	723.90 1	10/21/2021	60.009	0.00	123.81	Sell to Close
10/22/2021	1.00	175.94 1	10/21/2021	300.04	00.00	-124.10	Sell to Close
10/25/2021	1.00	157.94 1	10/21/2021	300.04	0.00	-142.10	Sell to Close
Security Totals: PUT SPY 12/23/21 467	STANDARD &	1,057.78 POORS DEPOS	SITORY   CUSIP:	1,200.17   Symbol(Box 1a): \$	1,007.78 1,007.78 1,200.17 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a); SPY211223P00467000	-142.39	
12/22/2021	4.00	875.89	875.89 12/22/2021	748.09	0.00	127.80	Sell to Close

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APEX CLEARING 5NB67880		Pro	ceeds from	Broker and E	Proceeds from Broker and Barter Exchange Transactions		<b>2021 Form 1099-B*</b> 01/31/2022 OMB No. 1545-0715	0715
SHORT TERM TR		NS FOR	ANSACTIONS FOR COVERED TAX LOTS	FAX LOTS				
Report on Form 8949, Part I with Box A checked. <b>Box 2:</b> Type of Gain or Loss - Short-Term (unles		ed. lessindicated	as Ordinary in Add	ith Box A checked. Short-Term (unless indicated as Ordinary in Additional Notes Column)	(F			
Box 5: Box Not Checked (Covered Security) The 1099-B data referenced by a Box Nurr complete your return.	overed Security) d by a Box Numb	ver is reported	Box 6: Gross (unl	ess indicated as Net dditional informatio	rered Security)  Box 6: Gross (unless indicated as Net in Additional Notes Column)  Box 12: Basis Reported to the IRS but may be helpful to by a Box Number is reported to the IRS but may be helpful to	Box 12: Basis ir is not reported to	Box 12: Basis Reported to the IRS it reported to the IRS but may be helpful to	
Description of property   CU	:USIP   Symbol(Box 1a)	ox 1a)						
Date Sold or Disposed (Box 1c) Qua	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1d)	Gain or Loss(-)	Additional Notes	
PUT AAPL 03/19/21 125	APPLE INC	AD	J 4:1 STOCK SPLIT	T   CUSIP:   Symbo	ADJ 4:1 STOCK SPLIT   CUSIP:   Symbol(Box 1a): AAPL210319P00125000			
02/26/2021	1.00	529.90	529.90 02/26/2021	579.08	49.18 D	0.00	Sell to Close	
02/26/2021	1.00	489.90	02/26/2021	635.26	0.00	-145.36	Sell to Close	
Security Totals:		1,019.80		1,214.34		-145.36		
PUT SPY 05/07/21 418	STANDARD &	POORS DEP(	DSITORY   CUSIP:	Symbol(Box 1a):	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210507P00418000			
04/28/2021 UPSTART HOLDINGS INC	1.0	293.91 STOCK   CUS	293.91 04/28/2021 2K   CUSIP: 91680M107   S	COMMON STOCK   CUSIP: 91680M107   Symbol(Box 1a): UPST	00:00	-58.16	Sell to Close	
		- !						
01/14/2021	3.00		5	170.61	0.00	16.75	Sale	
01/28/2021	2.00	129.13	Various	124.08	0.00	5.05	Sale	
02/01/2021	1.00	70.16	01/13/2021	67.20	0.00	2.96	Sale	
		386.65		361.89		24.76		
CALL SPY 05/07/21 418		POORS DEP	OSITORY   CUSIP	:   Symbol(Box 1a):	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210507C00418000			
05/05/2021 PUT SPY 03/12/21 368	2.00 STANDARD & I	261.82 POORS DEPC	261.82 05/05/2021 3S DEPOSITORY   CUSIP:	234.16   Symbol(Box 1a): \$	2.00 261.82 05/05/2021 234.16 0.00 STANDAHD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210312P00368000	27.66	Sell to Close	
03/05/2021	1.00	311.90	03/05/2021	230.08	0.00	81.82	Sell to Close	
03/05/2021	2.00	563.82	03/05/2021	460.16	0.00	103.66	Sell to Close	
03/05/2021	2.00	625.82	03/05/2021	460.16	00:00	165.66	Sell to Close	
03/05/2021	1.00	282.90	03/05/2021	230.08	0.00	52.82	Sell to Close	
		1,784.44		1,380.48		403.96		
PUT SPY 03/05/21 381	STANDARD &	POORS DEP(	OSITORY   CUSIP:	Symbol(Box 1a):	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210305P00381000			
03/03/2021	1.00	206.90	03/03/2021	162.08	00:00	44.82	Sell to Close	

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APEX CLEARING		Procee	ds from B	roker and B	Proceeds from Broker and Barter Exchange Transactions		1099-B*	
5NB67880				(conti	(continued)	01/3	01/31/2022 OMB No. 1545-0715	l
SHORT TERM TRA		ANSACTIONS FOR COVERED TAX LOTS	VERED TA	AX LOTS				
Report on Form 8949, Part I with Box A checked	Part I with Box A chec	ked.						
Box 2: 1ype of cain of Loss - Snort-1 arm (u Box 2: Box Not Checked (Covered Security) The 1099-B data referenced by a Box Nurr complete your return.	Loss - Short - Lerm (u ed (Covered Security) enced by a Box Num	Snort-Lerm (unless indicated as Urdinary in Additional Notes Column)  Pox 6: Gross (unless indicated as Not it by a Box Number is reported to the IRS. The additional information	rdinary in Additi c 6: Gross (unles the IRS. The ad	onal Notes Column, ss indicated as Net i ditional informatio	Short-Lerm (unless indicated as Ordinary in Additional Notes Column)  Box 12: Basis Reported to the IRS.  Box 16: Basis Reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to	Box 12: Basis per is not reported to	Box 12: Basis Reported to the IRS treported to the IRS but may be helpful to	
Description of property   CU	ty   CUSIP   Symbol(Box 1a)	Box 1a)						
Date Sold or Disposed		Proceeds Ac	Date Acquired	Cost or Other	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss			
CALL AMC 06/11/21 35	ΞI	TAINMENT HOLDIN		P:   Symbol (Box 1a	AMC ENTERTAINMENT HOLDINGS INC   CUSIP:   Symbol (Box 1a): AMC210611C00035000	Galli of Loss(-)	Additional Notes	
06/01/2021 CALL CCIV 03/12/21	2.00 35 CHURCHILL (	969.82 06/01/2021 SAPITAL CORP IV CL A   C	11/2021 SL A   CUSIP:	1,320.16   Symbol(Box1a): C	2.00 969.82 06/01/2021 1,320.16 0.00 CHURCHILL CAPITAL CORP IV CL A   CUSIP:   Symbol (Box 1a); CCIVZ10312C00035000	-350.34	Sell to Close	
03/01/2021	2.00	409.82 03/0	03/01/2021	760.16	0.00	-350.34	Sell to Close	
03/01/2021	1.00	214.90 03/0	03/01/2021	380.08	0.00	-165.18	Sell to Close	
Security Totals:		624.72		1,140.24		-515.52		
APPLE INC   CUSIP: 037833	37833100  Symbol(Box 1a): AAPL	ox 1a): AAPL						
02/12/2021	1.00	134.59 02/08/2021	18/2021	136.01	0.00	-1.42	Sale	
02/12/2021	1.00	134.46 02/08/2021	18/2021	136.01	00:00	-1.55	Sale	
Security Totals:		269.05		272.02		-2.97		
ARK ETF TRUST	ARK INNOVAT	ARK INNOVATION ETF   CUSIP: 00214Q104   Symbol (Box 1a): ARKK	0214Q104  Syn	nbol(Box1a): ARKk	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \			
02/23/2021		275.98	Various	286.79	00:00	-10.81	Sale	
CALL SQ 04/16/21	250 SQUAREINC	SQUARE INC   CUSIP:   Symbol(Box 1a): SQ210416C00250000	Box 1a): SQ210	416C00250000				
03/16/2021 PUT NIO 06/11/21 46	_	1.00 1,729.90 03/16/2021 1,725.08 NIO INC ADS   CUSIP:   Symbol(Box 1a): NIO210611P00046000	6/2021 lox 1a): NIO210	1,725.08 611P00046000	0.00	4.82	Sell to Close	
06/11/2021		36.93 06/1	06/11/2021	50.05	0.00	-13.12	Sell to Close	
AMC ENTERTAINMENT HO		LDINGS INC CL A COM   CUSIP: 00165C104   Symbol(Box 1a): AMC	00165C104   Syr	nbol(Box 1a): AMC				
01/28/2021	1.00	12.27 01/2	01/27/2021	18.05	5.78 D	0.00	Sale	
02/02/2021	32.00		Various	519.93	0.00	-222.03	Sale	
06/25/2021	15.00		Various	139.26	0.00	686.77	Sale	
07/12/2021	00.9		Various	116.31	0.00	148.81	Sale	
09/23/2021	00.9	241.84	Various	185.92	0.00	55.92	Sale	
10/01/2021	25.00	975.48 10/0	10/01/2021	966.50	0.00	8.98	Sale	

<sup>\*</sup>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

APEX CLEARING		Proc	seeds from	Broker and E	Proceeds from Broker and Barter Exchange Transactions		2021 Form 1099-B*	
5NB6/880				(con	(continued)	/LO	2202/18/10	OMB No. 1545-0715
SHORT TERM TRANSACTION Report on Form 8949, Part I with Box A checked	TRANSACTI	IONS FOR	ANSACTIONS FOR COVERED TAX LOTS	FAX LOTS				
Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)  Box 5: Box Not Checked (Covered Security)  The 1099-B data referenced by a Box Number is reported to the IRS. The additional information complete your return.	or Loss - Short-Term (ked (Covered Security renced by a Box Nurity n.enced by a Box Nurity n.e.	(unless indicated.// // mber is reported	as Ordinary in Add Box 6: Gross (unl I to the IRS. The a	itional Notes Columr ess indicated as Net dditional informatic	<ul> <li>Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)</li> <li>Box 5: Box Not Checked (Covered Security)</li> <li>Box 6: Gross (unless indicated as Net in Additional Notes Column)</li> <li>Box 12: Basis Reported to the IRS</li> <li>The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.</li> </ul>	Box 12: Basi ber is not reported to	Box 12: Basis Reported to the IRS of reported to the IRS but may be h	eipful to
Description of property   CUSIP   Symbol(Box 1a)	rty   CUSIP   Symbo	I(Box 1a)						
Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes	INotes
12/01/2021	2.00	58.00	58.00 11/26/2021	74.02	0.00	-16.02	Sale	
Security Totals:		2,676.64		2,019.99		662.43		
PUT TSLA 07/23/21 605		CUSIP: Symb	ool(Box 1a): TSLA2	TESLA INC   CUSIP:   Symbol(Box 1a): TSLA210723P00605000				
07/19/2021 CALL DDOG 02/12/21 105	1.00 21 105 DATADOG	912.93	912.93 07/19/2021 A   CHSIP   Symbol/Box	1.00 912.93 07/19/2021 763.05 DATADOG INC CLA   CLISIP   Symbol/Box 1a): DDOG210212C00105000	0.00	149.88	Sell to Close	
CALL DDOG UZ/1Z/	ממצועם החווא		or .   Symbol (BOX	ia). DDOGZIUZIĘC				
CALL AMC 11/19/21 65		SZ4:30 STAINMENT HO	ENT HOLDINGS INC   CUS	SIP:   Symbol (Box 1	AMC ENTERTAINMENT HOLDINGS INC   CUSIP:   Symbol(Box 1a): AMC211119C00065000	01.62-	20000	
11/03/2021		178.94	178.94 11/03/2021	145.04	0.00	33.90	Sell to Close	
PUT SPY 07/06/21 430		& POORS DEPC	SITORY   CUSIP:	Symbol(Box 1a):	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210706P00430000			
06/30/2021 PLIT SPV 04/26/21 412		237.93 & POORS DEPC	237.93 06/30/2021	301.05   Symbol/Box 1a)	1.00 237.93 06/30/2021 301.05 0.00 STANDARD & POORS DEPOSITORY   CI.ISIP - I Swmbol Roy 143: SPV210426P00412000	-63.12	Sell to Close	
12/02/40 115 101		מייים ייי	1000   1000   100	Syllibol(Box 1d).		9	:	
04/22/2021 PUT GME 01/29/21	88	CORP   CUSIP:	185.91 04/22/2021 CUSIP:  Symbol(Box 1a):	1.00 GAMESTOP CORP   CUSIP:   Symbol(Box 1a): GME210129P00038000	8000	103.84	Sell to Close	
01/14/2021	-	949.88	949.88 01/14/2021	940.08	0.00	9.80	Sell to Close	
CALL PYPL 12/23/21 200	_	OLDINGS INC   C	:USIP:   Symbol(E	PAYPAL HOLDINGS INC   CUSIP:   Symbol(Box1a): PYPL211223C00200000	3C00200000			
12/16/2021	5.00	809.87	12/16/2021	890.11	80.24 D	0.00	Sell to Close	
12/16/2021	00.9	749.85	Various	1,163.37	0.00	-413.52	Sell to Close	
Security Totals:		1,559.72		2,053.48		-413.52		
PUT SPY 04/26/21		& POORS DEPC	SITORY   CUSIP:	Symbol(Box 1a):	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210426P00413000			
04/22/2021	1.00	225.91	225.91 04/21/2021	133.07	0.00	92.84	Sell to Close	
TESLA INC	COMMON STOC	K   CUSIP: 88160	COMMON STOCK   CUSIP: 88160R101   Symbol (Box 1a): TSLA	x1a): TSLA				
02/23/2021	3.00	2,089.49	Various	2,434.78	0.00	-345.29	Sale	

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APEX CLEARING 5NB67880	Pro	ceeds from E	Sroker and E	Proceeds from Broker and Barter Exchange Transactions (continued)		2021 Form 1099-B* 01/31/2022 OMB No. 1545-0715	545-07 15
SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS Report on Form 8949, Part I with Box A checked.  Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)  Box 5: Box Not Checked (Covered Security)  Box 5: Box Not Checked (Covered Security)  The 1099-B data referenced by a Box Number is reported to the IRS. The additional information complete your return.	ANSACTIONS FOR COVERED TAX LOTS with Box A checked.  - Short-Term (unless indicated as Ordinary in Additional Notes Co overed Security)  Box 6: Gross (unless indicated as duby a Box Number is reported to the IRS. The additional information of the IRS.	COVERED T as Ordinary in Addit Box 6: Gross (unle	AX LOTS ional Notes Columr ss indicated as Net	SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS  Report on Form 8949, Part I with Box A checked.  Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)  Box 5: Box Not Checked (Covered Security)  Box 6: Gross (unless indicated as Net in Additional Notes Column)  Box 12: Basis Reported to the IRS  Box 12: Basis Reported to the IRS on the IRS on the IRS but may be helpful to complete your return.	Box 12: Basi ber is not reported to	Box 12: Basis Reported to the IRS of reported to the IRS but may be helpful to	
Description of property   CUSIP   Symbol(Box 1a)  Date Sold or Disposed (Box 1c) Quantity (Bc)	P   Symbol(Box1a) Proceeds Rox1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes	
11/16/2021 1.00	1,046.67	11/10/2021	1,044.87	0.00	1.80	Sale	
Security Totals: PUT SPY 06/30/21 429 ST	3,136,16 3479,65 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210630P00429000	SITORY   CUSIP:	3,479.65   Symbol(Box 1a): 8	SPY210630P00429000	-343.49		
06/30/2021 1.00 PUT SPY 03/03/21 391 STAI	NDARD & POOI	144.93 06/29/2021 RS DEPOSITORY   CUSIP:	144.05   Symbol(Box 1a): \$	0.00 SPY210303P00391000	0.88	Sell to Close	
02/25/2021 1.00 PALANTIR TECHNOLOGIES INC	CLASS A CC	487.90 02/25/2021 WMMON STOCK   CUSIP: 69	483.08 608A108   Symbol(f	0.00 Box 1a): PLTR	4.82	Sell to Close	
01/13/2021 CALL NIO 08/20/21 50 NIO II	NC ADS   CUSIF	107.70 01/13/2021 P:  Symbol(Box 1a): NIO210	107.32 0820C00050000	0.00	0.38	Sale	
06/28/2021 1.00 434.93 06/28/2021 305.05 CALL QCOM 11/12/21 170 QUALCOMM INC   CUSIP:   Symbol(Box 1a); QCOM211112C00170000	00 434.93 QUALCOMM INC   CUSIP:	434.93 06/28/2021 CUSIP:   Symbol(Box 1a):	305.05 QCOM211112C001	0.00	129.88	Sell to Close	
11/09/2021 PUT SPY 07/07/21 431 STAI	NDARD & POOF	638.86 11/09/2021 RS DEPOSITORY   CUSIP:	915.12   Symbol(Box 1a): \$	0.00 SPY210707P00431000	-276.26	Sell to Close	
07/02/2021 3.00 COINBASE GLOBAL INC C	LASS A COMM	317.82 07/01/2021 ON STOCK   CUSIP: 19260C	606.16 2107   Symbol (Box 1	0.00 (a): COIN	-288.34	Sell to Close	
10/22/2021 1.00 CALL HOOD 10/29/21 44.50 R0	DBINHOOD MA	301.11 10/20/2021 RKET INC   CUSIP:   Symb	309.11 ol(Box1a): HOOD2	0.00 :11029C00044500	-8.00	Sale	
10/21/2021 1.00 10/21/2021 7.00	87.94 895.68	10/20/2021 Various	67.04 545.29	0.00	20.90	Sell to Close Sell to Close	
Security Totals: CALL AAPL 03/12/21 123 APF 02/26/2021 2.00	983.62 612.33 APPLE INC   CUSIP:   Symbol (Box 1a): AAPL210312C00123000 .00 767.82 Various 819.16	ibol(Box 1a): AAPL2 Various	612.33 10312C00123000 819.16	00:0	<b>371.29</b>	Sell to Close	

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APEX CLEARING 5NB67880		Pro	ceeds from [	Sroker and E	Proceeds from Broker and Barter Exchange Transactions (continued)		2021 Form 1099-B* 01/31/2022 OMB No. 1545-0715
SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS Report on Form 8949, Part I with Box A checked.  Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column) Box 5: Box Not Checked (Covered Security) The 1099-B data referenced by a Box Number is reported to the IRS. The additional information complete your return.	ANSACTIO with Box A check \$- Short-Term (un overed Security) d by a Box Numb	NNS FOR ced. Iless indicated	ANSACTIONS FOR COVERED TAX LOTS with Box A checked Short-Term (unless indicated as Ordinary in Additional Notes Co vered Security)  Box 6: Gross (unless indicated as t by a Box Number is reported to the IRS. The additional inform	'AX LOTS ional Notes Columrs indicated as Net	ANSACTIONS FOR COVERED TAX LOTS with Box A checked. Short-Term (unless indicated as Ordinary in Additional Notes Column) Box 6: Gross (unless indicated as Net in Additional Notes Column) Box 12: Basis Reported to the IRS.  Box 12: Basis Reported to the IRS.  Box 12: Basis Reported to the IRS.	Box 12: Basis	Box 12: Basis Reported to the IRS it reported to the IRS
Description of property   CUSIP   Symbol(Box 1a)	ᅵᄀ	Proceeds (Box 1d) POORS DEP	Date Acquired (Box 1b) OSITORY   CUSIP:	Cost or Other Basis (Box 1e)   Symbol(Box 1a):	Date	Gain or Loss(-)	Additional Notes
05/12/2021	5.00	239.59	05/12/2021	350.40	0.00	-110.81	Sell to Close
05/12/2021	1.00	48.92	05/12/2021	70.08	0.00	-21.16	Sell to Close
Security Totals: PUT SPY 05/12/21 411	STANDARD &	288.51 POORS DEP	OSITORY   CUSIP:	<b>420.48</b>   Symbol(Box 1a): (	28851 420.48 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210512P00411000	-131.97	
05/11/2021 PUT SPY 05/24/21 417	1.00 STANDARD &	199.91 POORS DEP	05/11/2021 OSITORY   CUSIP:	154.07   Symbol(Box 1a): \$	1.00 199.91 05/11/2021 154.07 0.00 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210524P00417000	45.84	Sell to Close
05/21/2021 CHURCHILL CAPITAL CORP IV		219.91 A COMMON S	219.91 05/21/2021 196.07 CLASS A COMMON STOCK   CUSIP: 171439102   Symbol(Box 1a): CCIV	196.07 439102  Symbol(Bo	0.00 ox 1a): CCIV	23.84	Sell to Close
02/23/2021 PUT SPY 06/18/21 410	8.00 STANDARD &	282.78 POORS DEP	282.78 02/23/2021 S DEPOSITORY   CUSIP:	301.40   Symbol(Box 1a): \$	8.00 282.78 02/23/2021 301.40 0.00 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 14): SPY210618P00410000	-18.62	Sale
06/18/2021 PUT SPY 05/12/21 412	1.00 STANDARD &	0.93 POORS DEP	06/18/2021 OSITORY   CUSIP:	13.05   Symbol(Box 1a): {	1.00 0.93 06/18/2021 13.05 0.00 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 14): SPY210512P00412000	-12.12	Sell to Close
05/11/2021	1.00	86.91	05/11/2021	202.08	0.00	-115.17	Sell to Close
05/11/2021	1.00	273.91	05/11/2021	202.08	0.00	71.83	Sell to Close
Security Totals: CALL AMC 11/12/21 50		360.82 AINMENT HC	SIDINGS INC   CUS	404.16 IP:   Symbol (Box 1	360.82 404.16 AMC ENTERTAINMENT HOLDINGS INC   CUSIP:   Symbol (Box 1a): AMC211112C00050000	-43.34	
11/08/2021 PUT DE 07/02/21 345	1.00 DEERE & CO   0	217.94 CUSIP:   Syn	1.00 217.94 11/08/2021 224.04 DEERE & CO   CUSIP:   Symbol(Box1a): DE210702P00345000	224.04 )702P00345000	00:00	-6.10	Sell to Close
06/30/2021 PUT LCID 12/17/21 38	1.00 LUCID GROUP	108.93 INC   CUSIP:	1.00 108.93 06/29/2021 160.05 LUCID GROUP INC   CUSIP:   Symbol (Box 1a): LCID211217P00038000	160.05 LCID211217P00036	00:00	-51.12	Sell to Close
12/09/2021	1.00	169.96	169.96 12/08/2021	70.02	0.00	99.94	Sell to Close

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APEX CLEARING	<u>a</u>	roceeds from E	Sroker and Barte	Proceeds from Broker and Barter Exchange Transactions		2021 Form 1099-B* OMB No. 1545-0715	715
T TERM TR.	ANSACTIONS FOR COVERED TAX LOTS	OR COVERED T	AX LOTS				
Report on Form 8949, Part I with Box A checked.  Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)  Box 5: Box Not Checked (Covered Security)  Box 6: Gross (unless indicated as Net in The 1099-B data referenced by a Box Number is reported to the IRS. The additional information complete your return.	with Box A checked Short-Term (unless indice vered Security) by a Box Number is repo	ated as Ordinary in Addit Box 6: Gross (unle orted to the IRS. The ad	ional Notes Column) ss indicated as Net in Iditional information	with Box A checked. Short-Term (unless indicated as Ordinary in Additional Notes Column) Short-Term (unless indicated as Ordinary in Additional Notes Column) Box 6: Gross (unless indicated as Net in Additional Notes Column) Box 12: Basis Reported to the IRS but may be helpful to by a Box Number is not reported to the IRS but may be helpful to	Box 12: Basis ber is not reported to	Box 12: Basis Reported to the IRS it reported to the IRS but may be helpful to	
Description of property   CL	USIP   Symbol(Box 1a)		•	**************************************			
Date Sold or Disposed (Box 1c) CALL SPY 07/09/21 431 ST	Date   Accruse Market Disco   Mol Sox	Date ds Acquired d) (Box 1b) DEPOSITORY   CUSIP:	Cost or Other Basis (Box 1e)   Symbol (Box 1a): SF	Accrued market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g) SPY210709C00431000	Gain or Loss(-)	Additional Notes	
07/06/2021 CALL YBEV 02/06/24 40	2.00 423.87 07/06/2021 380.11 SPENJANG NATA AND CLASS A LOTISIDE. 1 SUMPHISHED VERY VERY VERY VERY VERY VERY VERY VERY	87 07/06/2021	360.11	0.00	63.76	Sell to Close	
4	1.00 776.89 02/04/2021 980.08 STANDARD & POORS DEPOSITORY   CUSIP:   SymboliBox 1a); SPY210709P00430000	776.89 02/04/2021 RS DEPOSITORY   CUSIP:	980.08   Symbol(Box 1a): SF	0.00 9Y210709P00430000	-203.19	Sell to Close	
07/07/2021 CALL XPEV 01/22/21 50	1.00 42.93 07/06/2021 79.05 XPENG INCADR CLASS A   CUSIP:   Symbol (Box 1a)	93 07/06/2021 S A I CUSIP:   Symbol/	79.05 Box 1a): XPEV21012	0.00	-36.12	Sell to Close	
100	1.00  7.00	90 01/04/2021	309.08	0.00	-29.18	Sell to Close	
12/03/2021 CALL TWTR 03/19/21 65		1,379.92 12/02/2021	Symbol(Box 1a): 13   846.06   TR210319C0006500	0.00	533.86	Sell to Close	
	_	149.90 01/21/2021	76.08	0.00	73.82	Sell to Close	
01/26/2021	1.00 147.90	90 01/21/2021	76.08	0.00	71.82	Sell to Close	
Security Totals: CALL AMC 11/12/21 60	297.80 AMC ENTERTAINMENT H	80 HOLDINGS INC   CUSI	152.16 IP:   Symbol(Box 1a)	297.80 152.16 AMC ENTERTAINMENT HOLDINGS INC   CUSIP:   Symbol(Box 1a): AMC211112C00060000	145.64		
11/08/2021 CALL NIO 02/26/21 55.50	1.00 NIO INC ADS   CUS	113.94 11/08/2021 IP:   Symbol(Box 1a): NIO2 <sup>-</sup>	108.04 10226C00055500	0.00	5.90	Sell to Close	
02/18/2021 CALL UPST 02/19/21 70	1.00 238.90 02/12/2021 565.08 UPSTART HOLDINGS INC   CUSIP:   Symbol(Box 1a): UPST210219C00070000	238.90 02/12/2021 GS INC   CUSIP:   Symbol((	565.08 Box 1a): UPST210219	0.00	-326.18	Sell to Close	
01/25/2021 PUT SPY 12/10/21 462	1.00 809.89 01/14/2021 669.08 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Bαx 1a): SPY211210P00462000	809.89 01/14/2021 RS DEPOSITORY   CUSIP:	669.08   Symbol(Box 1a): SF	0.00 9Y211210P00462000	140.81	Sell to Close	
12/10/2021	3.00 20.8	20.92 12/09/2021	147.06	0.00	-126.14	Sell to Close	

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SHIGTING	APEX CLEARING		Proc	eeds from E	3roker and E	Proceeds from Broker and Barter Exchange Transactions		2021 Form 1099-B*	
	5NB67880				(con	tinued)	01/3		B No. 1545-0715
	SHORT TERM TR.		NS FOR (	COVERED T	AX LOTS				
	Report on Form 8949, Part I  Box 2: Type of Gain or Loss	with Box A check - Short-Term (unl	s indicated a	s Ordinary in Addit	ional Notes Columi	(		- -	
Proceeds	Box 5: Box Not Checked (Co The 1099-B data referenced complete your return.	vered Security)  I by a Box Numb	er is reported	Box 6: Gross (unle to the IRS. The ad	iss indicated as Net Iditional informati	in Additional Notes Column) on not referenced by a Box Numl	Box 12: Basis ber is not reported to	s Reported to the IRS the IRS but may be helpfu	ıl to
Proceeds	Description of property   C	JSIP   Symbol(B	ox 1a)						
NO INC ADS   CUSIP:   Symbol (Box 14): NIO2101080C00044000		4			Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional No.	y g
1.00   929.88   12/29/2020   320.08   0.00   609.80     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY220103C00477000   -89.10     APPLE INC   CUSIP:   Symbol(Box 1a): AAPL210219P00135000   1.00   685.89   02/01/2021   560.08   0.00   34.81     1.00   594.89   02/01/2021   560.08   0.00   34.81     1.00   594.89   02/01/2021   560.08   0.00   34.81     1.00   1,280.78   1,120.16   3.169.29   0.00   3.481     1.00   2.878.66   03/17/2021   3.169.29   0.00   -290.63     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY21032P00390000   -6.12     1.00   113.93   06/17/2021   120.05   0.00   -6.12     NIO INC ADS   CUSIP:   Symbol(Box 1a): SPY210426P00417000   -447.56     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210426P00417000   -17.16     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210426P00417000   -17.16     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210524C00418000   -17.16     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210524C00418000   -17.16     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210524C00418000   -17.16     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210524C00418000   -17.16     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210506000   -17.16     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -17.16     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -17.16     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -17.16     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -17.16     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -17.16     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -17.16     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -17.16     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -17.16     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Bo	CALL NIO 01/08/21 44	NIO INC ADS   C	CUSIP:   Symb	ol(Box 1a): NIO210	0108C00044000	(6. xo-)(-) boundary			
STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY220103C00477000  2.00  4.95.94 Various  2.25.04  1.00  6.65.89 02/01/2021  5.60.08  1.260.78  STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210322P00390000  1.00  1.39.30  1.30.0  2.87.86  3.16.02  3.16.	01/04/2021	1.00	929.88	2/29/2020	320.08	0.00	609.80	Sell to Close	
APPLE INC   CUSIE:   Symbol(Box 14): AAPL210219P00135000	CALL SPY 01/03/22 477	STANDARD &	POORS DEPO	SITORY   CUSIP:	Symbol(Box 1a):	SPY220103C00477000	6		
1.00 685.89 02/01/2021 560.08 0.00 125.81 1.00 594.89 02/01/2021 560.08 0.00 34.81 1.120.16 11.20.16 11.20.16 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210322P00390000 1.00 2.878.66 03/17/2021 120.05 0.00 -290.63 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210618P00420000 1.00 113.93 06/17/2021 120.05 0.00 -6.12 NIO INC ADS   CUSIP:   Symbol(Box 1a): SPY210426P00417000 1.00 43.91 04/26/2021 61.07 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210426P00417000 1.00 43.91 04/26/2021 61.07 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210524C00418000 1.00 43.91 04/26/2021 61.07 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210524C00418000 1.00 43.91 05/24/2021 72.08 0.00 23.83 3.00 236.75 05/24/2021 216.24 0.00 20.51 3.32.66 288.32 288.32 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000 43.91 10/06/2021 444.17 0.00 -43.81 10/06/2021 444.17 0.00 -43.88	12/30/2021 DLIT AADI 09/19/91 135	Z:00	435.94	Various	525.04 10219D00135000	0.00	01.88-10	Sell to Close	
1,00         685.89         02/01/2021         560.08         0.00         125.81           1,00         1,280.78         1,120.16         160.62         34.81           STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210322P00390000         -290.63           16.00         2,878.66         03/17/2021         -290.63           STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210618P00420000         -6.12           NIO INC ADS   CUSIP:   Symbol(Box 1a): NIO210716C00047000         -6.12           Sino         497.17         07/13/2021         497.27           Sino         43.91         04/26/2021         61.07         -447.56           STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210524C00418000         -17.16           STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210524C00418000         -17.16           STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210524C00418000         -17.16           STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210564C00418000         -17.16           STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211068P00430000         -44.34           44.34         -44.34           STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000         -4.36           4.00         -43.81         10/06/2021         -44.47.7	FUI AAPL 02/19/21 135	APPLE INC.	JOSIP: J SYMBI	DI(BOX IB): AAPLZ	0219700135000				
1,00   594.89   02/01/2021   560.08   0.00   94.81     1,280.78	02/01/2021	1.00	685.89	02/01/2021	560.08	0.00	125.81	Sell to Close	
1,280.78	02/01/2021	1.00		12/01/2021	560.08	00:0	34.81	Sell to Close	
STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210322P00390000  1600  2,878.66 03/17/2021 3,169.29  STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210618P00420000  1.00  1.00  1.13.93 06/17/2021 120.05  1.00  49.71 07/13/2021 497.27  1.00  49.71 07/13/2021 497.27  1.00  49.71 07/13/2021 497.27  1.00  49.71 07/13/2021 61.07  1.00  49.71 07/13/2021 61.07  1.00	Security Totals:		1,280.78		1,120.16		160.62		
1600   2,878.66   03/17/2021   3,169.29   0.00   0.290.63     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210618P00420000   1.13.93   06/17/2021   120.05   0.00   0.00   0.61.12     1.00	PUT SPY 03/22/21 390	STANDARD & I	POORS DEPOS	SITORY   CUSIP:	Symbol(Box 1a):	SPY210322P00390000			
STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210618P00420000   113.93   06/17/2021   120.05   0.00   0.00   0.01   0.00	03/17/2021	16.00	2.878.66	13/17/2021	3,169.29	0.00	-290.63	Sell to Close	
1.00	PUT SPY 06/18/21 420	STANDARD & I	POORS DEPOS	SITORY   CUSIP:	Symbol(Box 1a):				
1.00   49.71   07/13/2021   497.27   0.00   447.56     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210426P00417000   -17.16     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210524C00418000   -17.16     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210524C00418000   -17.16     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -20.51     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -4.36     44.34   44.37   -4.36   -4.36   -4.36   -4.36     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -4.36     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -4.36     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -4.36     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -4.36     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -4.36     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -4.36     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -4.36     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -4.36     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -4.36     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -4.36     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -4.36     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -4.36     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -4.36     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -4.36     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000   -4.36     STANDARD & POORS DEPOSITORY   CUSIP:   SYMBOL(Box 1a): SPY211006P00430000   -4.36     STANDARD & POORS DEPOSITORY   CUSIP:   SYMBOL(Box 1a): SPY211006P00430000   -4.36     STANDARD & SYMBOL(Box 1a): SPY211006P004300		1.00 1.00	113.93 (	06/17/2021	120.05	0.00	-6.12	Sell to Close	
5.00         49.71 3/2021         497.27         0.00         -447.56           STANDARD & POORS DEPOSITORY   CUSIP.   Symbol(Box 1a): SPY210426P00417000         1.00         43.91 04/26/2021         61.07         0.00         -17.16           STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210524C00418000         1.00         95.91 05/24/2021         72.08         0.00         23.83           3.00         236.75 05/24/2021         216.24         0.00         20.51           3.266         286.75 05/24/2021         216.24         0.00         20.51           STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000         44.34         44.34           4.00         439.81 10/06/2021         444.17         0.00         -4.36				(DOV 197): INIOE II					
1.00   43.91   04/26/2021   61.07   0.00   -17.16     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210524C00418000     1.00   95.91   05/24/2021   72.08   0.00   23.83     3.00   236.75   05/24/2021   216.24   0.00   20.51     3.26   288.32   288.32   44.34     STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000     4.00   439.81   10/06/2021   444.17   0.00   -4.36	07/14/2021 PUT SPY 04/26/21 417	5.00 STANDARD & F	POORS DEPOS	37/13/2021 SITORY   CUSIP:	497.27   Symbol(Box 1a):		-447.56	Sell to Close	
STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210524C00418000       1.00     95.91     05/24/2021     72.08     0.00     23.83       3.00     236.75     05/24/2021     216.24     0.00     20.51       STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000       4.00     439.81     10/06/2021     444.17     0.00     -4.36	04/26/2021	1.00	43.91	14/26/2021	61.07	00.0	-17.16		
1.00         95.91         05/24/2021         72.08         0.00         23.83           3.00         236.75         05/24/2021         216.24         0.00         20.51           STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000         44.34           4.00         439.81         10/06/2021         444.17         0.00         -4.36	CALL SPY 05/24/21 418	STANDARD &	POORS DEPO	SITORY   CUSIP:	Symbol(Box 1a):	SPY210524C00418000		1	
3.00         236.75         05/24/2021         216.24         0.00         20.51           332.66         288.32         44.34           STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000         44.34           4.00         439.81         10/06/2021         444.17         0.00         -4.36	05/24/2021	1.00	95.91	15/24/2021	72.08	0.00	23.83		
332.66 288.32 44.34 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPV211006P00430000 4.00 439.81 10/06/2021 444.17 0.00 -4.36	05/24/2021	3.00	236.75	15/24/2021	216.24	00:0	20.51	Sell to Close	
STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211006P00430000 4.00 439.81 10/06/2021 444.17 0.00 -4.36	Security Totals:		332.66		288.32		44.34		
4.00 439.81 10/06/2021 444.17 0.00 -4.36	PUT SPY 10/06/21 430	STANDARD & I	POORS DEPO	SITORY   CUSIP:	Symbol(Box 1a):	SPY211006P00430000			
	10/06/2021	4.00	ı	10/06/2021	444.17	00:00	-4.36	Sell to Close	

\*This is important tax information and is being furnished to the internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxabayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

APEX CLEARING 5NB67880	<b>G</b>	roceeds from E	Sroker and Barte (continued)	Proceeds from Broker and Barter Exchange Transactions (continued)		2021 Form 1099-B* 01/31/2022 OMB No. 1545-0715
SHORT TERM TRANSACTION. Report on Form 8949, Part I with Box A checked. Box 2: Type of Gain or Loss - Short-Term (unles Box 5: Box Not Checked (Covered Security) The 1099-B data referenced by a Box Number complete your return.	ANSACTIONS FOR COVERED TAX LOTS with Box A checked.  s. Short-Term (unless indicated as Ordinary in Additional Notes Column) overed Security)  Box 6: Gross (unless indicated as Net in a Box Number is reported to the IRS. The additional information	OR COVERED T. ated as Ordinary in Additi Box 6: Gross (unle.) orted to the IRS. The ad	AX LOTS onal Notes Column) ss indicated as Net in A ditional information n	COVERED TAX LOTS  Is Ordinary in Additional Notes Column)  Box 6: Gross (unless indicated as Net in Additional Notes Column) to the IRS. The additional information not referenced by a Box Num	Box 12: Basis ber is not reported to	ANSACTIONS FOR COVERED TAX LOTS  with Box A checked. Short-Term (unless indicated as Ordinary in Additional Notes Column)  Box 6: Gross (unless indicated as Net in Additional Notes Column)  Box 12: Basis Reported to the IRS  Box 12: Basis Reported to the IRS  Box 12: Basis Reported to the IRS  Box 12: Basis Reported to the IRS
Date Sold or Disposed (Box1c) Quanti	USIP   Symbol(Box 1a)  Date Proceeds Acquired  Inity (Box 1b) STANDARD & POORS DEPOSITORY   CUSIP:	Date is Acquired Id) (Box 1b)   IEPOSITORY   CUSIP:	Accrued Market Disco (M)(Box Cost or Other Wash Sale Basis (Box 1e) Disallowed (D)(Box   Symbol(Box 1a): SPY211227P00466000	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g) PY211227P00466000	Gain or Loss(-)	Additional Notes
12/13/2021 PUT SPY 04/21/21 412	1.00 511.96 12/13/2021 511.02 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210421P00412000	511.96 12/13/2021 3S DEPOSITORY   CUSIP:	511.02   Symbol(Box 1a): SPY	0.00 210421P00412000	0.94	Sell to Close
04/21/2021 PUT SPY 10/11/21 431	2.00 191.82 04/21/2021 224.16 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY211011P00431000	82 04/21/2021 EPOSITORY   CUSIP:	224.16   Symbol(Box 1a): SPY	0.00 211011P00431000	-32.34	Sell to Close
10/11/2021 PUT SPY 04/21/21 413	10.00 59.55 10/11/2021 90.42 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210421P00413000	55 10/11/2021 EPOSITORY   CUSIP:	90.42   Symbol(Box 1a): SPY	0.00 210421P00413000	-30.87	Sell to Close
04/20/2021 PUT LCID 12/10/21 45	2.00 373.82 04/20/2021 220.16 LUCID GROUP INC   CUSIP:   Symbol(Box 1a); LCID211210P00045000	373.82 04/20/2021 CUSIP:   Symbol(Box 1a): L	220.16 .CID211210P00045000	00.00	153.66	Sell to Close
12/08/2021 MP MATERIALS CORP	2.00 749. CLASS A COMMON S	00 749.94 12/07/2021 370.04 CLASS A COMMON STOCK   CUSIP: 553368101   Symbol(Box1a): MP	370.04  01  Symbol(Box1a): N	0:00 MP	379.90	Sell to Close
01/21/2021 06/11/2021	10.00 339.53 4.00 132.58	53 01/13/2021 58 Various	345.00	00:0	-5.47	Sale Sale
Security Totals: PUT SPY 03/03/21 390	NDARD & POOF	.11 IEPOSITORY   CUSIP:	<b>456.20</b>   Symbol(Box 1a): SPY		15.91	
03/02/2021 CALL TSLA 02/05/21 870	CQ.	.00 459.82 03/02/2021 428.16 TESLA INC   CUSIP:   Symbol(Box1a): TSLA210205C00870000	428.16 10205C00870000	00.0	31.66	Sell to Close
02/03/2021 PUT SPY 05/10/21 417	1.00 1,499.87 02/03/2021 1,619.08 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210510P00417000	1,499.87 02/03/2021 ORS DEPOSITORY   CUSIP:	1,619.08   Symbol(Box 1a): SPY	0.00 210510P00417000	-119.21	Sell to Close
04/30/2021 PUT LCID 11/12/21 40	1.00 342.91 04/30/2021 314.07 LUCID GROUP INC   CUSIP:   Symbol(Box 1a): LCID211112P00040000	342.91 04/30/2021 CUSIP:   Symbol(Box 1a): L	314.07 .CID211112P00040000	00.00	28.84	Sell to Close
11/12/2021	1.00 0.	0.00 11/10/2021	84.04	00.00	-84.04	Expiration

<sup>\*</sup>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxapayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

APEX CLEARING		Proc	eeds from	<b>Broker and E</b>	Proceeds from Broker and Barter Exchange Transactions		2021 Form 1099-B*
5NB67880				(cou	(continued)	01/3	01/31/2022 OMB No. 1545-0715
SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS	ANSACTION	S FOR (	COVERED .	TAX LOTS			
Report on Form 8949, Part I with Box A checked.	with Box A checked	:	:				
Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)	- Short-Term (unles	sindicateda	ts Ordinary in Adc	litional Notes Colum	(n)		
<b>box 5:</b> Box Not Checked (Covered Security)  The 1099-B data referenced by a Box Num	vered Security)  by a Box Number	is reported	Box 6: Gross (un to the IRS. The a	less indicated as Ne.	rered Security)  by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to	ber is not reported to	BOX 12: Basis Reported to the IRS at reported to the IRS but may be helpful to
complete your return.							
Description of property   CUSIP   Symbol(Box 1a)	JSIP   Symbol(Box	1a)			Accried Market Discount		
Date Sold or Disposed (Box 1c) Quan	d Hite	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	(M)(Box 1f) & Wash Sale Loss	Gain or Loss(-)	Additional Notes
NC	CÓMMON STOCK   CUSIP: 52567D107   Symbol(Box 1a): LMND	CUSIP: 525	567D107   Symbo	ار(Box 1a): LMND			
02/08/2021	1.00	144.58 (	144.58 01/22/2021	150.40	0.00	-5.82	Sale
11/22/2021	1.00	52.38	52.38 11/09/2021	61.72	0.00	-9.34	Sale
Security Totals:		196.96		212.12		-15.16	
SOLAREDGE I ECHNOLOGI	ES INC	.USIP: 8341	COM   CUSIP: 8341 /M104   Symbol(box 1a): SEDG	30x 1a): SEDG			
01/27/2021 CALL XPEV 02/26/21 50	1.00 XPENG INC ADR	297.01 (	297.01 01/15/2021 LASS A   CUSIP:   Symbo	1.00 297.01 01/15/2021 299.60 XPENZ 10226C00050000	0.00 0.226C00050000	-2.59	Sale
00/05/0001	0	1 400/10/00 7	1000/1000	1 025 40	8	0 900	200 C C 1100
CALL AAPL 08/27/21 152:50 APPLE INC   CUSIP;   Symbol(Box 1a): AAPL210827C00152500	50 APPLE INC   CL	JSIP:  Sym	ibol(Box 1a): AAP	L210827C0015250C			
08/25/2021 CALL LMND 02/12/21 165	N	41.87	41.87 08/23/2021	00 41.87 08/23/2021 74.11	0.00	-32.24	Sell to Close
OALL LWIND 02/12/21 103			ayıııbuı(bux ı.a).	LIVINDELOZIZOOO			
01/29/2021	1.00	659.89 (	659.89 01/29/2021	1,050.08	1.00 659.89 01/29/2021 1,050.08 0.00	-390.19	Sell to Close
	1.00	45.93 (	45.93 06/18/2021	311.   Symbol (BOX)	(a). AMOSTOBIBOODO (0000)	-63.12	Sell to Close
PUT LCID 12/31/21 40	LUCID GROUP IN	c   cusip:	Symbol(Box 1a)	LUCID GROUP INC   CUSIP:   Symbol(Box 1a): LCID211231P00040000	10000		
12/09/2021 CALL SPY 08/20/21 441	2.00 STANDARD & PC	1,201.94 12/08/2021 JORS DEPOSITORY	12/08/2021 SITORY   CUSIP	640.04 ::   Symbol(Box 1a):	2.00 1,201.94 12/08/2021 640.04 0.00 0.00 sTANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210820C00441000	561.90	Sell to Close
08/19/2021 PUT SPY 07/07/21 430	1.00 STANDARD & PO	106.93 (ORS DEPO	106.93 08/19/2021 3S DEPOSITORY   CUSIP:	72.05 :   Symbol(Box 1a):	1.00 106.93 08/19/2021 72.05 0.00 STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a); SPY210707P00430000	34.88	Sell to Close
06/30/2021	1.00 STANDARD & BO	257.93 (	257.93 06/29/2021	304.05	1.00 257.93 06/29/2021 304.05 0.00 cstanina p. ponde nepositydd i cisige. I sawdollaw 1ai sexual 100enon	-46.12	Sell to Close
11/03/21 400	סר אי טראטוארוט	97 P. 1	3 DEFOSITOR   COSIT.	.   Symbol(Box 1a).	000000000000000000000000000000000000000	ō	2001 of 110 0
1/02/2021	00.1	94.34	11/02/2021	90.06	00:00	01.00	

<sup>\*</sup>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

APEX CLEARING	Pro	ceeds from E	<b>3roker and B</b>	Proceeds from Broker and Barter Exchange Transactions		2021 Form 1099-B*
5NB67880			(continued)	nued)	01/3	01/31/2022 OMB No. 1545-0715
SHORT TERM TRA	ANSACTIONS FOR COVERED TAX LOTS	COVERED T	AX LOTS			
Report on Form 8949, Part I with Box A checked.	th Box A checked.					
Box 2: Type of Gain or Loss - \$	Short-Term (unless indicated as Ordinary in Additional Notes Column)	l as Ordinary in Addit	ional Notes Column)			
Box 5: Box Not Checked (Covered Security) The 1099-B data referenced by a Box Num	ared Security) y a Box Number is reporte	Box 6: Gross (unle d to the IRS. The ad	ss indicated as Net in Iditional information	rered Security)  Box 6: Gross (unless indicated as Net in Additional Notes Column)  Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to	Box 12: Basis er is not reported to	Box 12: Basis Reported to the IRS or reported to the IRS but may be helpful to
complete your return.						
Description of property   CUS	ISIP   Symbol(Box 1a)			to to the Management		
Date Sold or Disposed (Box 1c) Quantity	Proceeds tv (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
1/26/21 462	STANDARD & POÒRS DEPOSITORY   CUSIP:	OSITORY   CUSIP:	Symbol(Box 1a): S	Symbol(Box 1a): SPY211126P00462000		
- 1	4.00 387.89	11/24/2021	396.09	00:00	-8.20	Sell to Close
PUT DASH 10/29/21 200	DOORDASH INC CL A   CUSIP:   Symbol(Box 1a): DASH211029P00200000	JSIP:   Symbol(Box	1a): DASH211029P(	0020000		
·	1.00 1,019.94	1,019.94 10/07/2021	650.04	0.00	369.90	Sell to Close
CALL SPY 07/19/21 431	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210719C00431000	OSITORY   CUSIP:	Symbol(Box 1a): S	3PY210719C00431000		
	1.00 358.93	358.93 07/08/2021	360.05	0.00	-1.12	Sell to Close
PUT SPY 05/10/21 421 S	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210510P00421000	OSITORY   CUSIP:	Symbol(Box 1a): S	.PY210510P00421000		
	2.00 61.82	61.82 05/10/2021	110.16	0.00	-48.34	Sell to Close
CALL MP 04/16/21 45 N	MP MATERIALS CORPORATION CL A   CUSIP:   Symbol(Box 1a): MP210416C00045000	TION CL A   CUSIP:	Symbol(Box 1a): N	AP210416C00045000		
03/11/2021	3.00 1,439.74	03/08/2021	1,200.24	0.00	239.50	Sell to Close
03/16/2021	2.00 819.82	03/08/2021	800.16	0.00	19.66	Sell to Close
	2,259.56		2,000.40		259.16	
CALL CCIV 03/05/21 32 (	CHURCHILL CAPITAL CORP IV CL A   CUSIP:   Symbol(Box 1a): CCIV210305C00032000	IP IV CL A   CUSIP:	Symbol(Box1a): C	CIV210305C00032000		
03/01/2021 1.00 224.9 CALL ARKK 01/15/21 121.96 ARK INNOVATION ETF	1.00 224.90 96 ARK INNOVATION ETF	03/0	250.08 STRIBUTION   CUSI	1/2021 250.08 0.00 ADJ CASH DISTRIBUTION   CUSIP:   Symbol(Box 1a); ARKK210115C00121960	-25.18 15C00121960	Sell to Close
	1.00	12/29/2020	620.08	00'0	19.81	Sell to Close
PUT LCID 12/10/21 50 L	GROUPINC	Symbol(Box 1a): I	-CID211210P00050			
	2.00 465.94	11/26/2021	960.04	0.00	-494.10	Sell to Close
CALL NIO 01/21/22 50 N	NIO INC ADS   CUSIP:   Symbol(Box 1a): NIO220121C00050000	nbol(Box 1a): NIO22(	0121C00050000			
	1.00 183.96	183.96 11/10/2021	200.04	0.00	-16.08	Sell to Close
CALL NIO 07/30/21 45.50	NIO INC ADS   CUSIP:   Symbol(Box 1a): NIO210730C00045500	/mbol(Box 1a): NIO2	10730C00045500			
07/09/2021	1.00 299.93	299.93 07/09/2021	296.05	0.00	3.88	Sell to Close

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APEX CLEARING	Pro	ceeds from E	Sroker and B	Proceeds from Broker and Barter Exchange Transactions		2021 Form 1099-B*	OMB No. 1545-0715
			1100	(200	Ď	01/2025	
SHORT TERM TRA	ANSACTIONS FOR COVERED TAX LOTS	COVERED T	AX LOTS				
Report on Form 8949, Part I with Box A checked	th Box A checked.						
Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)	Short-Term (unless indicated	as Ordinary in Addit	tional Notes Column				
Box 5: Box Not Checked (Covered Security)	ered Security)	Box 6: Gross (unle	ess indicated as Net	Box 6: Gross (unless indicated as Net in Additional Notes Column)	Box 12: Basis	Box 12: Basis Reported to the IRS	
The 1099-B data referenced b complete your return.	y a Box Number is reporte	d to the IRS. The ac	dditional informatio	by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to	oer is not reported to	the IRS but may be he	lpful to
Description of property   CUS	USIP   Symbol(Box 1a)						
Date Sold or	í			Accrued Market Discount (M)(Box 1f) &			
Disposed Quantity	Proceeds tv (Box 1d)	Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Wash Sale Loss Disallowed (D) (Box 1q)	Gain or Loss(-)	Additional Notes	Notes
23/21 422	ANDARD & P	OSITORY   CUSIP:	Symbol(Box 1a): 8	SPY210623P00422000			
06/22/2021	3.00 359.82	359.82 06/22/2021	636.16	0.00	-276.34	Sell to Close	
CALL AAPL 08/13/21 149	APPLE INC   CUSIP:   Symbol (Box 1a): AAPL210813C00149000	nbol(Box 1a): AAPL2	10813C00149000				
	1.00 374.93	374.93 07/26/2021	340.05	0.00	34.88	Sell to Close	
CALL QCOM 01/15/21 155	QUALCOMM INC   CUSIP:   Symbol(Box 1a): QCOM210115C00155000	:   Symbol(Box 1a):	QCOM210115C001	155000			
	1.00	269.90 12/29/2020	162.08	0.00	107.82	Sell to Close	
PUT SPY 05/12/21 422 S	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY210512P00422000	OSITORY   CUSIP:	Symbol(Box 1a): 8	SPY210512P00422000			
05/10/2021	3.00 494.75	494.75 05/10/2021	525.23	0.00	-30.48	Sell to Close	
PUT SPY 01/05/22 480 S	STANDARD & POORS DEPOSITORY   CUSIP:   Symbol(Box 1a): SPY220105P00480000	OSITORY   CUSIP:	Symbol(Box 1a): 8	SPY220105P00480000			
12/31/2021	1,029.94	1,029.94 12/31/2021	894.04	0.00	135.90	Sell to Close	
CALL PTON 10/29/21 82	PELOTON INTERACTIVE INC CL A   CUSIP:   Symbol(Box 1a): PTON211029C00082000	NC CL A   CUSIP:	Symbol(Box1a): P	TON211029C00082000			
10/19/2021	1.00 611.94	611.94 10/19/2021	610.04	0.00	1.90	Sell to Close	
Totals:	178,939.24		186,773.30		-6,762.26		

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### Common Instructions for Recipient

Becipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (SSN, TIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

unim soo. Order unique number the payer assigned to distinguish your account. More than the second order unique number the payer assigned to distinguish your account. Or must flee as the "yaye" the respective Form (1099 (DIV, INT, or OID) (with a Form 1096) to the IRS for each of the other powers to show their share of the respective Copy B Form(6) to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2021 General Instructions for Certain Information Returns. FreeFile, Go to www.isogo/PreFerFile to see if you quality for necost online before it any preparation, e-filing, and direct deposits or payment options.

# Keep tax documents for your records

109-CNV Instructions for Redighert

The State of Court of the State of Court of State of Court (1040 St Also, report it on Schedule B (From 1040), if required.

The State of Court of State of Court of State of

1099-NT Instructions for Recipient
1 occordings
2 and 1 occordings
3 a

kers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1089-B. Reporting also is required to when your broker reported this type of transaction to you, the corporation is identified in an analysis of transaction to you, the corporation is identified in

CUSP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable developed may be shown the stress of the procedures of the proce

Box 16. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For appragate reporting in boxes 6 through 11, no entry will be present.

The date of the control or application that the sale of the control or application that are not all created that the sale of the control or capable from the corporate interests of the control or capable from the corporate interests of the control or capable from the corporate interests of the control or capable from the control or capable or capable from the control or capable from the capable from the control or capable from the control or capable from the control or capable from the capable from the control or capable from the capable from the control or capable from the capable from the capable from the capable from the capable from th

1099-MISC instructions for Recipient

For Indicates should see the instructions for Schedule SE (Form 1040) Corporations, floridations, or patherships must report the amounts on the proper line of their tax returns.

For II Report the set employment (SE) tax, inclidates should see the instructions for Schedule (Form 1040) (you produced significant sensions in the instructions as a business. See Pub. 257.

BAC 2 Report instruction of the set of the

1099-OID Instructions for Recipient
Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of

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example, if you paid acquired with a satisfied bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 12.12 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquirition

Box 2. Show qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired if from year, see Public Soff or reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is lax exempt interest.

and is continuoued in interest income on your tax return. In general, see how to report tax-exempt interest in the instructions for Form 1040 and 1040 SR.

Should be a see where to the right from thindnew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income tax return. See the instructions for Form 1040 and 1040 SR to see where to the cities the the continuous for Form a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 and 1040 SR to see where the presence of the continuous for Form 1040 SR to see where the presence of the continuous for Form 1040 SR to see where the presence of the continuous for Form 1040 SR to see where the presence of the continuous for Form 1040 SR to see where the presence of the continuous for Form 1040 SR to see where the presence of the continuous for Form 1040 SR to see where the presence of the continuous for Form 1040 SR to see where the presence of the continuous for Form 1040 SR to see where the presence of the continuous for Form 1040 SR to see where the presence of the continuous for Form 1040 SR to see where the presence of the continuous for Form 1040 SR to see the continuous for 1040 SR to see the continuous for Form 1040 SR to see the continuous for 1040 SR t

Box 4.50 we bedup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding, include this amount on your income tax return as tax with 6.0 worked security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 16045-1(in)(5), shows the market discount that

execused on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you. For a covered

year-to're accessed on or after January 1, 2015, market discount to market discount to the beachelished on a constant yield basis unless you notified your payer in writing in accordance with Regulations section (1904) that you did not want to market a constant yield basis unless the account or attended to or after January 1, 2017, market discount for a base heavy to be a section (1904). The payer may, the payer may, the payer may, the payer may, the payer may that the section of the payer may that the payer may that the section of the payer may that the payer may that the section of the payer may that the payer may that the section of the payer may that the payer may that the section of the payer may that the payer may that the section of the payer may that the payer may that the section of the payer may that the payer may that the section of the payer may that the paye