- The well-known SAT problem is to determine if a boolean formula in Conjunctive Normal Form (CNF) has a satisfying truth assignment
  - A CNF formula is a conjunction of clauses
  - A clause is a disjunction of literals (variables or their negations)
  - denotes the empty clause (falsified by every truth assignment)
  - A satisfying assignment assigns true to at least one literal of every clause.
- MAXSAT is an optimization extension of SAT that asks what is the maximum number of clauses that can be simultaneously satisfied

$$\mathcal{F} = (\neg x) \land (x \lor y) \land (\neg y) \land (z \lor w)$$

### Example

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 $\Rightarrow \pi$  is a solution to the MAXSAT problem  $\mathcal{F}$ .

- Some clauses may be more important to satisfy than others
- This can be modeled by associating a positive cost with each clause C that will be incurred if C is falsified

$$\mathcal{F} = (\neg x, \infty) \land (x \lor y, 4) \land (\neg y, 1) \land (z \lor w, \infty)$$

- Some clauses may be more important to satisfy than others
- This can be modeled by associating a positive cost with each clause C that will be incurred if C is falsified
- If it is mandatory to satisfy C, its cost is ∞ and C is called hard
- Otherwise, C is called soft

$$\mathcal{F} = (\neg x, \infty) \land (x \lor y, 4) \land (\neg y, 1) \land (z \lor w, \infty)$$
  
In  $\mathcal{F}$ ,  $(\neg x, \infty)$  is a **hard** clause, and  $(x \lor y, 4)$  is **soft** with cost 4.

- A truth assignment  $\pi$  has cost equal to the sum of the costs of the clauses it falsifies
- Goal: find an optimal feasible truth assignment, i.e., a truth assignment of minimum finite cost mincost(F)

```
 \mathcal{F} = (\neg x, \infty) \land (\cancel{x} \lor y, 4) \land (\neg y, 1) \land (z \lor w, \infty)   \pi = \{\neg x, y, z, \neg w\} \text{ satisfies all clauses except } (\neg y, 1)   \pi \text{ is optimal: } mincost(\mathcal{F}) = cost(\pi) = 1.
```

# §1. Notes

- We use cost(C) to denote the cost of clause C.
- A solution must satisfy all hard clauses (else its cost will be infinite).
- A solution also satisfies a maximum total cost of soft clauses.
  - Casting as minimization problem more closely corresponds to how most MAXSAT solvers work.
- Many solutions might exist—typically we are only interested in finding one, sometimes only interested in finding out the cost of a solution.

## §1.1. Categories of MAXSAT

- MAXSAT (ms) (standard MAXSAT): no hard clauses and all clause have weight 1.
  - · Solution maximizes the number of satisfied clauses.
- Weighted MAXSAT (wms): no hard clauses.
- Partial MAXSAT (pms): have hard clauses but all soft clauses have weight 1.
- Weighted Partial MAXSAT (wpms): the version we have defined here (subsumes all other versions).
- Standard MAXSAT is most interesting for theory: it already has sufficient structure for theoretical insights.
- Other versions mostly an artifact of the limitations of earlier MAXSAT solvers.