

# **Varieties of Minimal Rational Tangents on the Fano Varieties**

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# Preface



# Chapter 1

## Introduction of Rational Curves

The main results here we follows the famous book [6].

### 1.1 Hilbert Schemes and Chow Schemes

#### 1.1.1 Hilbert Schemes, a Basic Introduction

**Definition 1.1.1.** Let  $X$  be an  $S$ -scheme, we define the Hilbert functor  $\mathcal{H}ilb_{X/S}$  sends an  $S$ -scheme  $Z$  to the set consists of subschemes  $V \subset X \times_S Z$  which is proper and flat over  $Z$ .

Fix a Polynomial  $P$  and a relative ample line bundle  $\mathcal{O}(1)$ , we can define  $\mathcal{H}ilb_{X/S}^P$  sends an  $S$ -scheme  $Z$  to the set consists of subschemes  $V \subset X \times_S Z$  which is proper and flat over  $Z$  with Hilbert Polynomial  $P$ .

**Theorem 1.1.2** (Grothendieck). Let  $S$  be a noetherian scheme, let  $X \rightarrow S$  be a projective morphism, and  $\mathcal{L}$  a relatively very ample line bundle on  $X$ . Then for any polynomial  $P$ , the Hilbert functor  $\mathcal{H}ilb_{X/S}^P$  is representable by a projective  $S$ -scheme  $\text{Hilb}_{X/S}^P$ . We also have  $\text{Hilb}_{X/S} = \coprod_P \text{Hilb}_{X/S}^P$ .

*Proof.* Note that this notion of projectivity is much general than [5], but is the same when  $S = \text{Spec } k$ . The proof is to embed it into Grassmannian. The original proof in [4] and we also refer [8], [6] and [3].  $\square$

**Remark 1.1.3.** In [2] we can remove the noetherian hypothesis, by instead assuming strong (quasi-)projectivity of  $X \rightarrow S$ . So also [1].

**Example 1.1.1.** Some examples and interesting results:

(a) We have  $\text{Hilb}_{X/S}^1 = X/S$ .

(b) Let  $C$  be a curve over a field  $k$ , then

$$\mathrm{Hilb}_{C/k}^m \cong S^m C := \underbrace{C \times \cdots \times C}_m / \mathfrak{S}_m.$$

Hence if  $C$  smooth, so is  $\mathrm{Hilb}_{C/k}^m$ . See also [3] Theorem 7.2.3(1) and Proposition 7.3.3.

(c) Let  $S$  be a smooth surface over a field  $k$ , then  $\mathrm{Hilb}_{S/k}^m$  is also smooth of dimension  $2m$  and hence  $\mathrm{Hilb}_{S/k}^m \rightarrow S^m X$  (we will see this later for general settings) is a resolution of singularities. Note that  $S^m X$  is smooth if and only if  $X$  is smooth and  $\dim X = 1$  or  $m < 2$ . See [3] Theorem 7.2.3(2) and Theorem 7.3.4.

(d) Let  $X$  be a nonsingular variety. Then  $\mathrm{Hilb}_{X/k}^m$  is nonsingular for  $m \leq 3$ . Moreover, for any nonsingular 3-fold the scheme  $\mathrm{Hilb}_{X/k}^4$  is singular. See [3] Remark 7.2.5 and 7.2.6.

(e) Let  $\mathcal{E}$  be a vector bundle of rank  $m+1$  over  $S$  and let  $P_d(n) = \binom{m+n}{m} - \binom{m+n-d}{m}$ , then

$$\mathrm{Hilb}_{\mathbb{P}(\mathcal{E})/S}^{P_d} \cong \mathbb{P}((\mathrm{Sym}^d \mathcal{E})^\vee).$$

(f) Let  $Z \rightarrow S$ , we have  $\mathrm{Hilb}_{X \times_S Z/Z} \cong \mathrm{Hilb}_{X/S} \times_S Z$ .

(g) **Hartshorne's Connectedness Theorem:** for every connected noetherian scheme  $S$ ,  $\mathrm{Hilb}_{\mathbb{P}_S^n/S}^P$  is connected.

(h) Let  $X$  be a connected variety over  $k$ , then  $\mathrm{Hilb}_{X/k}^n$  is connected for all  $n > 0$ .

(i) **Murphy's Law:** It has many singularities, that is, for every scheme  $X$  finite type over  $\mathbb{Z}$  and point  $x \in X$ , there exists a point  $q \in \mathrm{Hilb}_{\mathbb{P}^n/k}^P$  of some Hilbert scheme and an isomorphism

$$\widehat{\mathcal{O}}_{X,p}[[x_1, \dots, x_s]] \cong \widehat{\mathcal{O}}_{\mathrm{Hilb}_{\mathbb{P}^n/k,q}^P}[[y_1, \dots, y_t]].$$

See [11]. In fact, it can be arranged that the Hilbert scheme parameterizes smooth curves in  $\mathbb{P}^n$  for some  $n$ . It turns out that various other moduli spaces also satisfy Murphy's Law: Kontsevich's moduli space of maps, moduli of canonically polarized smooth surfaces, moduli of curves with linear systems, and the moduli space of stable sheaves.

(j) In [10] they gave a full classification of the situation where  $\mathrm{Hilb}_{\mathbb{P}^n/k}^P$  smooth.

**Definition 1.1.4.** Let  $X/S, Y/S$  are  $S$ -schemes, then we have a functor  $\mathcal{H}om_S(X, Y)$  send  $S$ -scheme  $T$  into a set of  $T$ -morphisms  $X \times_S T \rightarrow Y \times_S T$ .

For a subscheme  $B \subset X$  proper over  $S$  and  $g : B \rightarrow Y$ , we have a functor  $\mathcal{H}om_S(X, Y; g)$  send  $S$ -scheme  $T$  into a set of  $T$ -morphisms  $X \times_S T \rightarrow Y \times_S T$  such that  $f|_{B \times_S T} = g \times_S \mathrm{id}_T$ .



**Proposition 1.1.5.** *If  $X/S$  and  $Y/S$  are both projective over  $S$  and  $X$  is flat over  $S$ , then  $\mathcal{H}om_S(X, Y)$  represented by an open subscheme  $\text{Hom}_S(X, Y) \subset \text{Hilb}_{X \times_S Y/S}$ .*

*Proof.* Any  $X \times_S T \rightarrow Y \times_S T$  correspond to its graph which is a closed immersion  $\Gamma : X \times_S T \rightarrow X \times_S Y \times_S T$ . As  $X$  is flat over  $S$ , then  $X \times_S T$  is flat over  $T$ . Hence we get a morphism  $\text{Hom}_S(X, Y) \rightarrow \text{Hilb}_{X \times_S Y/S}$ . We omit the more details and refer Theorem I.1.10 in [6].  $\square$

**Proposition 1.1.6.** *If  $X/S$  and  $Y/S$  are both projective over  $S$  and  $X, B$  are both flat over  $S$ , then  $\mathcal{H}om_S(X, Y; g)$  represented by a subscheme  $\text{Hom}_S(X, Y; g) \subset \text{Hom}_S(X, Y)$ .*

*Proof.* Consider the restriction map  $R : \text{Hom}_S(X, Y) \rightarrow \text{Hom}_S(B, Y)$ , then  $g : B \rightarrow Y$  gives a section  $G : S \rightarrow \text{Hom}_S(B, Y)$ . Hence  $\text{Hom}_S(X, Y; g) := R^{-1}(G(S)) \subset \text{Hom}_S(X, Y)$  represents  $\mathcal{H}om_S(X, Y; g)$ .  $\square$

Now we state the deformation theory of Hilbert schemes. We only consider the simpler case that all schemes over a field  $k$ . For general case we refer Section 1.2 in [6].

**Theorem 1.1.7.** *Let  $Y$  be a projective scheme over a field  $k$  and  $Z \subset Y$  is a subscheme. Then*

(a) *We have*

$$T_{[Z]} \text{Hilb}_Y \cong \text{Hom}_Z(\mathcal{I}_Z/\mathcal{I}_Z^2, \mathcal{O}_Z).$$

(b) *The dimension of every irreducible components of  $\text{Hilb}_Y$  at  $[Z]$  is at least*

$$\dim \text{Hom}_Z(\mathcal{I}_Z/\mathcal{I}_Z^2, \mathcal{O}_Z) - \dim \text{Ext}_Z^1(\mathcal{I}_Z/\mathcal{I}_Z^2, \mathcal{O}_Z).$$

*Proof.* See Theorem I.2.8 in [6]. For family case we refer Theorem I.2.15 in [6].  $\square$

**Corollary 1.1.8.** *Let  $X, Y$  are projective varieties over a field  $k$  with a morphism  $f : X \rightarrow Y$ . Let  $Y$  is smooth over  $k$ . Then*

(a) *We have*

$$T_{[f]} \text{Hom}_k(X, Y) \cong \text{Hom}_X(f^* \Omega_Y^1, \mathcal{O}_X).$$

(b) *The dimension of every irreducible components of  $\text{Hom}_k(X, Y)$  at  $[f]$  is at least*

$$\dim \text{Hom}_X(f^* \Omega_Y^1, \mathcal{O}_X) - \dim \text{Ext}_X^1(f^* \Omega_Y^1, \mathcal{O}_X).$$

*Proof.* Let  $Z \subset X \times_k Y$  be the graph of  $f$ , we claim that  $\mathcal{I}_Z/\mathcal{I}_Z^2 \cong f^* \Omega_Y^1$ . Indeed we have an exact sequence  $\mathcal{I}_Z/\mathcal{I}_Z^2 \rightarrow \Omega_{X \times_k Y}^1|_Z \rightarrow \Omega_Z^1 \rightarrow 0$ . This is split by  $\mathcal{O}_Z \cong \mathcal{O}_X \xrightarrow{(\text{id}_X, 1)} \mathcal{O}_{X \times_k Y}$ . Then we can show the claim. Hence the results follows from Theorem 1.1.7. The family version we refer Theorem I.2.17 in [6].  $\square$

### 1.1.2 Chow Schemes, a Basic Introduction

Here we only consider the schemes over a field  $k$  such that  $\text{char}(k) = 0$ . The positive characteristic case is very complicated and we refer Section I.4 in [6].

**Definition 1.1.9.** Let  $g_i : U_i \rightarrow W$  be a proper morphism of schemes over  $W$ . Assume that  $W$  is reduced and  $U_i$  is irreducible. By generic flatness there is an open subset  $W_i \subset g_i(U_i) \subset W$  such that  $g_i$  is flat of relative dimension  $d$  over  $W_i$ . Let  $T = \text{Spec } \Delta$  be the spectrum of a DVR  $\Delta$  and  $h : T \rightarrow W$  a morphism such that  $h(T_g) \in W_i$  and  $h(T_0) = w \in W$ . Let  $h^*U_i = U_i \times_h T$  and  $\mathcal{J} \subset \mathcal{O}_{h^*U_i}$  the ideal of those sections whose support is contained in the special fiber of  $h^*U_i \rightarrow T$ . Let  $(U_i)'_T := \text{Spec}_T \mathcal{O}_{h^*U_i} / \mathcal{J}$  which is flat over  $T$ . Then we let  $[Z_0]$  be the fundamental cycle of the central fiber of  $(U_i)'_T \rightarrow T$ , and define

$$\lim_{h \rightarrow w} (U_i/U) := [Z_0] \in Z_d(g_i^{-1}(w) \times_{\kappa(w)} T_0)$$

which is called the cycle theoretic fiber of  $g_i$  at  $w$  along  $h$ .

**Definition 1.1.10.** A well defined family of  $d$ -dimensional proper algebraic cycles over  $W$  is a pair  $(g : U \rightarrow W)$  satisfying the following properties:

- (a) There is a reduced scheme  $\text{supp } U$  with irreducible components  $U_i$  such that  $U = \sum_i m_i [U_i]$  is an algebraic cycle.
- (b)  $W$  is a reduced scheme and  $g : \text{supp } U \rightarrow W$  is a proper morphism.
- (c) Let  $g_i := g|_{U_i}$ . Then every  $g_i$  maps onto an irreducible component of  $W$  and every fiber of  $g_i$  is either empty or has dimension  $d$ . In particular there is a dense open subset  $W_0 \subset W$  such that every  $g_i$  is flat over  $W_0$ .
- (d) For every  $w \in W$  there is a cycle  $g^{[-1]}(w) \in Z_d(g^{-1}(w))$  such that for any  $h : T \rightarrow W$  of spectrum of DVR such that  $h(T_0) = w$  and  $h(T_g) \in W_0$  we have

$$g^{[-1]}(w) =_{\text{ess}} \sum_i m_i \lim_{h \rightarrow w} (U_i/W).$$

That is, both two cycles from a single cycle of  $Z_d(g^{-1}(w))$ .

**Remark 1.1.11.** If  $W$  is normal, then (d) can be implied by (a)-(c). See Theorem I.3.17 in [6].

**Definition 1.1.12.** Let  $X$  be a scheme over  $S$ . A well defined family of proper algebraic cycles of  $X/S$  over  $W/S$  is a pair  $(g : U/S \rightarrow W/S)$  satisfying the following properties:

- (a)  $\text{supp } U$  is a closed subscheme of  $X \times_S W$  and  $g$  is the natural projection morphism.

- (b)  $(g : U \rightarrow W)$  is a well defined family of  $d$ -dimensional proper algebraic cycles over  $W$  for some  $d$ .

**Proposition 1.1.13.** *Assume that  $g : U \rightarrow W$  is proper and flat of relative dimension  $d$  and  $W$  is reduced. Let  $\sum_i m_i [U_i]$  be the fundamental cycle of  $U$ . Then  $g : [U] \rightarrow W$  is a well defined family of algebraic cycles over  $W$ .*

*Proof.* See Lemma I.3.14 and Corollary I.3.15 in [6].  $\square$

**Definition 1.1.14** (Chow Schemes of Characteristic Zero). *Let  $X/S$  and we define a functor  $\mathcal{C}how_{X/S}$  sends  $Z/S$  to the set consists of well defined families of nonnegative proper algebraic cycles of  $X \times_S Z/Z$ .*

*Let a relative ample line bundle  $\mathcal{O}(1)$ , we can define  $\mathcal{C}how_{X/S}^{d,d'}$  sends  $Z/S$  to the set consists of well defined families of nonnegative proper algebraic cycles of  $X \times_S Z/Z$  which is of dimension  $d$  and degree  $d'$ .*

**Theorem 1.1.15.** *Let  $X/S$  be a scheme, projective over  $S$  and  $\mathcal{O}(1)$  relatively ample. Then the functor  $\mathcal{C}how_{X/S}^{d,d'}$  is representable by a semi-normal and projective  $S$ -scheme  $\text{Chow}_{X/S}^{d,d'}$ . We also have  $\text{Chow}_{X/S} = \coprod_{d,d'} \text{Chow}_{X/S}^{d,d'}$ .*

*Proof.* Very complicated, we refer Theorem I.3.21 in [6].  $\square$

**Example 1.1.2.** *Let  $X$  be a semi-normal variety, then  $\text{Chow}_{X/k}^{0,m} \cong S^m X$ .*

**Proposition 1.1.16** (Hilbert-Chow). *Let  $X, Y$  be  $S$ -schemes.*

- (a) *We have a natural morphism  $\text{Hilb}_{X/S}^{\text{sn}} \rightarrow \text{Chow}_{X/S}$ . This morphism can be factored by dimensions.*
- (b) *If  $X, Y$  be projective  $S$ -schemes and  $X/S$  flat, then we have*

$$\text{Hom}_S(X, Y)^{\text{sn}} \rightarrow \text{Chow}_{Y/S}.$$

*Proof.* For (a), consider  $[\text{Univ}^{\text{Hilb}} \times_{\text{Hilb}_{X/S}} \text{Hilb}_{X/S}^{\text{sn}}] \rightarrow \text{Hilb}_{X/S}^{\text{sn}}$ , then by Proposition 1.1.13 this is a well defined family of algebraic cycles. This gives such morphism  $\text{Hilb}_{X/S}^{\text{sn}} \rightarrow \text{Chow}_{X/S}$ .

For (b), by (a) we have

$$\text{Hom}_S(X, Y)^{\text{sn}} \rightarrow \text{Hilb}(X \times_S Y/S)^{\text{sn}} \rightarrow \text{Chow}_{X \times_S Y/S} \rightarrow \text{Chow}_{Y/S}$$

and well done.  $\square$

**Remark 1.1.17.** *Let  $X$  be a semi-normal variety, hence we have  $(\text{Hilb}_{X/k}^m)^{\text{sn}} \rightarrow \text{Chow}_{X/k}^{0,m} \cong S^m X$ .*

### 1.1.3 Small Applications to Curves

For more applications we refer Section II.1 in [6]. Here we only need some easy case. We assume over a field  $k$ .

**Theorem 1.1.18.** *Let  $C$  be a proper curve and  $f : C \rightarrow Y$  a morphism to a smooth variety  $Y$  of dimension  $n$ . Then*

$$\dim_{[f]} \operatorname{Hom}(C, Y) \geq -C \cdot K_Y + n\chi(\mathcal{O}_C).$$

*And equality holds if  $H^1(C, f^*T_Y) = 0$ , in this case it is smooth at  $[f]$ .*

*Proof.* By Corollary 1.1.8(b) we have

$$\begin{aligned} \dim_{[f]} \operatorname{Hom}(C, Y) &\geq \dim \operatorname{Hom}_X(f^*\Omega_Y^1, \mathcal{O}_X) - \dim \operatorname{Ext}_X^1(f^*\Omega_Y^1, \mathcal{O}_X) \\ &= h^0(C, f^*T_Y) - h^1(C, f^*T_Y) = \chi(C, f^*T_Y) \\ &= \deg f^*T_Y + n\chi(\mathcal{O}_C) \end{aligned}$$

by Riemann-Roch theorem. The final statement follows from Corollary 1.1.8(a).  $\square$

**Proposition 1.1.19.** *Assume that  $X/S$  is flat,  $B/S$  is flat and finite of degree  $m$  and  $Y/S$  is smooth of relative dimension  $n$ . Then  $\dim \operatorname{Hom}(X, Y; g) \geq \dim \operatorname{Hom}(X, Y) - kn$ .*

*Proof.* Let  $p : B \rightarrow S$  be the projection. By Corollary 1.1.8 we find that  $\operatorname{Hom}(B, Y)$  is smooth over  $S$  of relative dimension  $\operatorname{rank} kn$ . Thus  $g(S) \subset \operatorname{Hom}(B, Y)$  is locally defined by  $kn$  equations. Pulling back these equations by  $R$  we obtain local defining equations.  $\square$

**Lemma 1.1.20.** *Let  $0 \in T$  be the spectrum of a local ring and let  $U/T$  be a flat and proper and  $V/T$  be a variety. Let  $p : U \rightarrow V$  as a  $T$ -morphism. If  $p_0 : U_0 \rightarrow V_0$  is a closed immersion (resp. an isomorphism), then so is  $p$ .*

*Proof.* See Lemma I.1.10.1 and Proposition I.7.4.1.2 in [6]. We omit this.  $\square$

**Theorem 1.1.21.** *Let  $C$  be a projective curve over  $k$  and  $Y$  a smooth variety over  $k$ . Let  $B \subset C$  be a closed subscheme which is finite over  $k$ . Assume that  $C$  is smooth along  $B$ . Let  $g : B \rightarrow Y$  be a morphism. Then*

(a) *We have*

$$T_{[f]} \operatorname{Hom}(C, Y; g) \cong H^0(C, f^*T_Y \otimes \mathcal{I}_B).$$

(b) *The dimension of every irreducible component of  $\operatorname{Hom}(C, Y; g)$  at  $[f]$  is at least*

$$h^0(C, f^*T_Y \otimes \mathcal{I}_B) - h^1(C, f^*T_Y \otimes \mathcal{I}_B).$$

*Proof.* The original proof we refer [7]. A simple case of family version we refer Theorem II.1.7 in [6]. Here we assume  $k$  is algebraically closed. Here  $\mathcal{S}_B = \mathcal{O}_C(-s_1 - \dots - s_m)$ .

Let  $X_0 := C \times_k Y$  and let  $\gamma_0 : C \cong \Gamma_0 \subset X_0$  be the graph of  $f$ . Let  $\pi_1 : X_1 := \text{Bl}_{\{s_1\}} X_0 \rightarrow X_0$  and  $\Gamma_1$  be the strict transform of  $\Gamma_0$ . Let  $\gamma_1 : C \cong \Gamma_1 \subset X_1$  as  $C$  is smooth at  $s_1$ . Repeat the process and finally we get  $\pi_m : X_m := \text{Bl}_{\{s_m\}} X_{m-1} \rightarrow X_{m-1}$  and  $\Gamma_m$  be the strict transform of  $\Gamma_{m-1}$ . Let  $\gamma_m : C \cong \Gamma_m \subset X_m$ . Then we have  $\gamma_0^*(\mathcal{S}_{\Gamma_0}/\mathcal{S}_{\Gamma_0}^2) \cong f^*\Omega_Y^1$  and  $\gamma_{i+1}^*(\mathcal{S}_{\Gamma_{i+1}}/\mathcal{S}_{\Gamma_{i+1}}^2) \cong \gamma_i^*(\mathcal{S}_{\Gamma_i}/\mathcal{S}_{\Gamma_i}^2) \otimes \mathcal{O}_C(-s_{i+1})$ . Hence we get  $\gamma_m^*(\mathcal{S}_{\Gamma_m}/\mathcal{S}_{\Gamma_m}^2) \cong f^*\Omega_Y^1 \otimes \mathcal{S}_B$ .

Now we claim that there is an open neighborhood  $[\Gamma_m] \in U \subset \text{Hilb}_{X_m}$  such that  $\text{Hom}(C, Y; g) \cong U$ . Indeed, let  $U \subset \text{Hilb}_{X_m}$  be the open set parametrizing those 1-cycles  $D$  for which the projection  $D \rightarrow C$  is an isomorphism. This is open by Lemma 1.1.20.

First, the universal family of  $U$  is contained in  $\text{Hom}(C, Y; g)(U)$ . Conversely consider  $[p_0 : C \times R \rightarrow Y \times R] \in \text{Hom}(C, Y; g)(R)$ . Let its graph is  $G_0 \subset X_0 \times R$ . As  $\{s_1\} \times R \subset G_0$  and  $G_0 \rightarrow R$  smooth along  $\{s_1\} \times R$ , we let  $G_1 \subset X_1 \times R$  be the strict transform of  $G_0$ . Then  $G_1 \cong G_0 \cong C \times R$ . Repeat the process and finally we get  $X_m \times R \supset C \times R \cong G_m \in \text{Hilb}_{X_m}(R)$ . Hence this give the isomorphism  $\text{Hom}(C, Y; g) \cong U$ . Hence by Theorem 1.1.7 and we get the result.  $\square$

## 1.2 Families of Rational Curves

We may assume all schemes over a field  $k$  of characteristic zero locally of finite type. Note that there are also have the same results by some small modification in the case of positive characteristic, see Section II.2 in [6].

**Proposition 1.2.1.** *Let  $f : X \rightarrow Y$  be a proper morphism of relative dimension one. Assume that if  $T$  is the spectrum of a DVR and  $h : T \rightarrow Y$  a morphism, then every irreducible component of  $T \times_Y X$  has dimension two (By Corollary I.3.16 in [6] this is always the case if  $f$  is a well defined family of proper algebraic 1-cycles). Then the subset*

$$\{y \in Y : f^{-1}(y) \text{ has geometrically rational components}\} \subset Y$$

*is closed in  $Y$ .*

*Proof.* See Proposition II.2.2 in [6].  $\square$

**Corollary 1.2.2.** *Let  $g : U \rightarrow V$  be a family of proper algebraic 1-cycles of  $X/S$ . Let  $U' \subset U$  be the set of points  $u \in U$  which are contained in a geometrically rational component of  $g^{-1}(g(u))$ . The image of the natural morphism  $U' \rightarrow X$  is called the rational locus of  $g$ . It is denoted by  $\text{RatLocus}(g : U \rightarrow V)$ .*

*Now let  $V \rightarrow S$  is proper, then  $\text{RatLocus}(g : U \rightarrow V)$  is proper over  $S$ .*

*Proof.* WLOG we let  $V$  is irreducible. Let  $U = \sum_i a_i U_i$ , then we just need to consider every  $g_i : U_i \rightarrow V$ . Consider the generic fiber  $D_i$  of  $g_i$  which is a irreducible curve, then if  $D_i$  rational, then so is whole  $g_i$  by Proposition 1.2.1. Hence  $\text{RatLocus}(g_i : U_i \rightarrow V) = \text{Im}(U_i \rightarrow X)$  is proper over  $S$ . If  $D_i$  is not rational, then there is an open subset  $\emptyset \neq W \subset V$  such that the fibers of  $g_i$  over  $W$  are irreducible and nonrational. Thus

$$\text{RatLocus}(g_i : U_i \rightarrow V) = \text{RatLocus}(g_i : g_i^{-1}(V \setminus W) \rightarrow V \setminus W).$$

Hence we can apply Noetherian induction.  $\square$

**Definition 1.2.3.** Let  $\text{Hom}_{\text{bir}}(\mathbb{P}^1, X) \subset \text{Hom}(\mathbb{P}^1, X)$  be a subscheme correspond to the morphisms  $\mathbb{P}^1 \rightarrow X$  birational to its image. By Lemma 1.1.20 since  $\mathbb{P}^1 \rightarrow X$  birational to its image if and only if it is a immersion at its generic point, then  $\text{Hom}_{\text{bir}}(\mathbb{P}^1, X) \subset \text{Hom}(\mathbb{P}^1, X)$  is an open subscheme.

**Definition 1.2.4.** Let  $X/S$  be a scheme, projective over  $S$ .

- (a) Let  $\text{Hom}_{\text{bir}}(\mathbb{P}^1, X)^{\text{sn}} = \bigcup_i W_i$  be the decomposition into irreducible subschemes of semi-normalization of  $\text{Hom}_{\text{bir}}(\mathbb{P}^1, X)$ . By Proposition 1.1.16 we have the Hilbert-Chow morphism  $\text{Hom}_{\text{bir}}(\mathbb{P}^1, X)^{\text{sn}} \rightarrow \text{Chow}_{X/S}$ . Let  $V'_i = \overline{\text{Im}(U_i \rightarrow \text{Chow}_{X/S})}$ . By Proposition 1.2.1  $V'_i$  parametrizes 1-cycles with geometrically rational components, and the generic 1-cycle is irreducible. Let  $V_i \subset V'_i$  be the open subscheme parametrizing irreducible 1-cycles.

Let  $\eta_i \in V_i$  be the generic points correspond to curves  $C_i$ . By generic smoothness  $C_i$  is a smooth rational curve. Let  $V_i^n$  be the normalization of  $V_i$ . Then we define the family of rational curves on  $X$  is

$$\text{RatCurves}^n(X/S) := \bigcup_i V_i^n.$$

with a normalization morphism  $\text{RatCurves}^n(X/S) \rightarrow \text{Chow}_{X/S}$ .

If  $\mathcal{L}$  is ample on  $X/S$ , then we can define  $\text{RatCurves}^n(X/S) = \coprod_d \text{RatCurves}_d^n(X/S)$  where  $\text{RatCurves}_d^n(X/S)$  is quasi-projective over  $S$  for any  $d$ . We define its universal rational curve is

$$\text{Univ}^{\text{rc}}(X/S) := \left( \text{RatCurves}^n(X/S) \times_{\text{Chow}_{X/S}} \text{Univ}_{X/S}^{\text{Chow}} \right)^n$$

be the normalization.

- (b) Fix a section  $f : S \rightarrow X$ . Similar as (a) we can define  $\text{RatCurves}^n(f, X/S) = \coprod_d \text{RatCurves}_d^n(f, X/S)$  and  $\text{Univ}^{\text{rc}}(f, X/S)$ . This is called family of rational curves passing through  $\text{Im}(f)$ .

In particular if  $S = \text{Spec } k$  where  $k$  is a field and  $f : (\text{Spec } k) = x \in X$ , then we will use the notation  $\text{RatCurves}^n(x, X) = \coprod_d \text{RatCurves}_d^n(x, X)$  and  $\text{Univ}^{\text{rc}}(x, X)$ .

**Theorem 1.2.5.** (a) *Let  $f : X \rightarrow Y$  be a proper and surjective morphism between irreducible and normal schemes. Assume that the dimension of every fiber is one (hence  $f$  is a well defined family of proper 1-cycles by Remark 1.1.11). Assume that for every  $y \in Y$  the cycle theoretic fiber  $f^{[-1]}(y)$  is an irreducible and reduced rational curve, then  $f$  is a  $\mathbb{P}^1$ -bundle.*

(b) *In the case of the definition, the universal morphisms*

$$\mathrm{Univ}^{\mathrm{rc}}(X/S) \rightarrow \mathrm{RatCurves}^n(X/S) \text{ and } \mathrm{Univ}^{\mathrm{rc}}(x, X) \rightarrow \mathrm{RatCurves}^n(x, X)$$

*are  $\mathbb{P}^1$ -bundles.*

*Proof.* (b) follows directly from (a), so we just need to prove (a). □

**Remark 1.2.6.** *In positive characteristic, (a) is right if we assume generic-smoothness.*

### 1.3 Free and Minimal Rational Curves

#### 1.4 Bend and Break

#### 1.5 Application I: Basic Theory of Fano Manifolds

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#### 1.6 Application II: Boundedness of Fano Manifolds

#### 1.7 Application III: Hartshorne's Conjecture





## Chapter 2

# Varieties of Minimal Rational Tangents

We will assume the base field is  $\mathbb{C}$ .

### 2.1 Basic Properties

### 2.2 Examples of VMRT

### 2.3 Distributions and Its Properties

### 2.4 Cartan-Fubini Type Extension Theorem



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