

# **Kuznetsov components, Stability, and Moduli Spaces**

Xiaolong Liu

October 13, 2023



# Contents

<b>1</b>	<b>Derived Category and Kuznetsov Components</b>	<b>7</b>
1.1	Basic Definitions . . . . .	7
1.1.1	Exceptional Sequences and S.O.Ds . . . . .	7
1.2	Kuznetsov Components . . . . .	10
<b>2</b>	<b>Examples of Fano Manifolds of Calabi-Yau Type</b>	<b>11</b>
<b>3</b>	<b>Examples of Derived Equivalences of Kuznetsov Components with K3s</b>	<b>13</b>
<b>4</b>	<b>Stability Conditions on K3 Categories</b>	<b>15</b>
<b>5</b>	<b>Applications: Mukai's program</b>	<b>17</b>
<b>6</b>	<b>Application to Cubic Fourfolds and Gushel-Mukai Manifolds</b>	<b>19</b>
	<b>Index</b>	<b>21</b>
	<b>Bibliography</b>	<b>23</b>



# Preface

[1][2]



# Chapter 1

## Derived Category and Kuznetsov Components

### 1.1 Basic Definitions

Here we follow some definitions and results in [3]. Note that when I working in the derived category, we will omit the  $\mathbf{R}$  or  $\mathbf{L}$  of the derived functors.

#### 1.1.1 Exceptional Sequences and S.O.Ds

**Definition 1.1.1.** A full triangulated subcategory  $\mathcal{D}' \subset \mathcal{D}$  is called *admissible* if the inclusion has a right adjoint  $\pi : \mathcal{D} \rightarrow \mathcal{D}'$

The *orthogonal complement* of a(an admissible) subcategory  $\mathcal{D}' \subset \mathcal{D}$  is the full subcategory  $\mathcal{D}'^\perp$  of all objects  $C \in \mathcal{D}$  such that  $\text{Hom}(B, C) = 0$  for all  $B \in \mathcal{D}'$ .

**Definition 1.1.2.** An object  $E \in \mathcal{D}$  in a  $k$ -linear triangulated category  $\mathcal{D}$  is called *exceptional* if

$$\text{Hom}(E, E[\ell]) = \begin{cases} k, & \text{if } \ell = 0, \\ 0, & \text{if } \ell \neq 0. \end{cases}$$

An *exceptional sequence* is a sequence  $E_1, \dots, E_n$  of exceptional objects such that  $\text{Hom}(E_i, E_j[\ell]) = 0$  for all  $i > j$  and all  $\ell$ .

An exceptional sequence is *full* if  $\mathcal{D}$  is generated by  $\{E_i\}$ .

An exceptional collection  $E_1, \dots, E_n$  is **strong** if in addition  $\text{Hom}(E_i, E_j[\ell]) = 0$  for all  $i, j$  and all  $\ell \neq 0$ .

**Definition 1.1.3.** A sequence of full admissible triangulated subcategories  $\mathcal{D}_1, \dots, \mathcal{D}_n \subset \mathcal{D}$  is *semi-orthogonal* if for all  $i > j$  we have  $\mathcal{D}_j \subset \mathcal{D}_i^\perp$ . Such a sequence defines a *semi-orthogonal decomposition (S.O.D.)* of  $\mathcal{D}$  if  $\mathcal{D}$  is generated by the  $\mathcal{D}_i$ .

**Remark 1.1.4.** *Some remarks:*

- (a) If  $E \in \mathcal{D}$  is exceptional, then the objects  $\bigoplus_i E[i]^{\oplus j_i}$  form an admissible triangulated subcategory  $\langle E \rangle \subset \mathcal{D}$ .
- (b) Let  $E_1, \dots, E_n$  be an exceptional sequence in  $\mathcal{D}$ . Then the admissible triangulated subcategories  $\langle E_1 \rangle, \dots, \langle E_n \rangle$  form a semi-orthogonal sequence. If this sequence is a full exceptional sequence, then this forms an S.O.D. of  $\mathcal{D}$ .
- (c) Any semi-orthogonal sequence of full admissible triangulated subcategories  $\mathcal{D}_1, \dots, \mathcal{D}_n \subset \mathcal{D}$  defines an S.O.D. of  $\mathcal{D}$ , if and only if any object  $A \in \mathcal{D}$  with  $A \in \mathcal{D}_i^\perp$  for all  $i = 1, \dots, n$  is trivial. See Lemma 1.61 in [3].
- (d) If  $\mathcal{D}_1, \dots, \mathcal{D}_n \subset \mathcal{D}$  is an S.O.D., then  $D_1 \subset \langle \mathcal{D}_2, \dots, \mathcal{D}_n \rangle^\perp$  is an equivalence. See Exercise 1.62 in [3].

**Example 1.1.1** (Projective Bundle). For a smooth projective variety  $Y$  we consider the projective bundle  $\pi : \mathbb{P}(\mathcal{E}) \rightarrow Y$  of locally free sheaf  $\mathcal{E}$  of rank  $r$  on  $Y$ , in the sense of Grothendieck. Then for any  $a \in \mathbb{Z}$  we claim that  $\pi^* \mathbf{D}^b(Y) \otimes \mathcal{O}(a), \dots, \pi^* \mathbf{D}^b(Y) \otimes \mathcal{O}(a + r - 1)$  is an S.O.D. of  $\mathbf{D}^b(\mathbb{P}(\mathcal{E}))$ . This combined by the following two things:

**Step 1.** For any  $E \in \pi^* \mathbf{D}^b(Y) \otimes \mathcal{O}(m), F \in \pi^* \mathbf{D}^b(Y) \otimes \mathcal{O}(n)$ , we have  $\text{Hom}(E, F) = 0$  for any  $r - 1 \geq m - n > 0$ .

Indeed, we can let  $m = 0$  and hence  $-r + 1 \leq n < 0$ . Let  $E = \pi^* E'$  and  $F = \pi^* F' \otimes \mathcal{O}(n)$ , hence

$$\text{Hom}(E, F) = \text{Hom}(E', \pi_*(\pi^* F' \otimes \mathcal{O}(n))) = \text{Hom}(E', F' \otimes \pi_* \mathcal{O}(n)).$$

It's well-known that  $\mathbf{R}^i \pi_* \mathcal{O}(n) = \begin{cases} \text{Sym}^n \mathcal{E}, \text{ for } i = 0, \\ 0, \text{ for } 0 < i < r - 1, \text{ Well done.} \\ \text{Sym}^{-n-r} \mathcal{E}^\vee, \text{ for } i = r - 1. \end{cases}$

**Step 2.** Categories  $\pi^* \mathbf{D}^b(Y) \otimes \mathcal{O}(a), \dots, \pi^* \mathbf{D}^b(Y) \otimes \mathcal{O}(a + r - 1)$  generates  $\mathbf{D}^b(\mathbb{P}(\mathcal{E}))$ .

Here we generalize the proof for  $\mathbb{P}^n$  in [3] Corollary 8.29. Consider

$$\begin{array}{ccc} & \mathbb{P}(\mathcal{E}) \times_Y \mathbb{P}(\mathcal{E}) & \\ p \swarrow & \wedge & \searrow q \\ \mathbb{P}(\mathcal{E}) & & \mathbb{P}(\mathcal{E}) \\ \pi_1 \searrow & & \swarrow \pi_2 \\ & Y & \end{array}$$



then by the canonical identification

$$\begin{aligned}
& H^0(\mathbb{P}(\mathcal{E}) \times_Y \mathbb{P}(\mathcal{E}), \mathcal{O}(1) \boxtimes \mathcal{Q}^\vee) \\
&= H^0(\mathbb{P}(\mathcal{E}), \mathcal{O}(1) \otimes p_* q^* \mathcal{Q}^\vee) \\
&= H^0(\mathbb{P}(\mathcal{E}), \mathcal{O}(1) \otimes \pi_1^* \pi_{2,*} \mathcal{Q}^\vee) \\
&= H^0(Y, \pi_{1,*} \mathcal{O}(1) \otimes \pi_{2,*} \mathcal{Q}^\vee) \\
&= H^0(Y, \mathcal{E} \otimes \mathcal{E}^\vee)
\end{aligned}$$

where  $0 \rightarrow \mathcal{Q} \rightarrow \pi^* \mathcal{E} \rightarrow \mathcal{O}(1) \rightarrow 0$  is the universal exact sequence. Let  $s$  correspond to the  $\text{id}_{\mathcal{E}}$ , then  $Z(s) = \Delta \subset \mathbb{P}(\mathcal{E}) \times_Y \mathbb{P}(\mathcal{E})$ . By the Koszul resolution of  $\mathcal{O}_\Delta$  respect to the  $s$ , we have an exact sequence:

$$\begin{aligned}
0 \rightarrow \bigwedge^{r-1} (\mathcal{O}(-1) \boxtimes \mathcal{Q}) &\rightarrow \bigwedge^{r-2} (\mathcal{O}(-1) \boxtimes \mathcal{Q}) \\
\rightarrow \cdots \rightarrow \mathcal{O}(-1) \boxtimes \mathcal{Q} &\rightarrow \mathcal{O} \boxtimes \mathcal{O} \rightarrow \mathcal{O}_\Delta \rightarrow 0.
\end{aligned}$$

(you can also use the Euler exact sequence instead of the universal exact sequence, just as in [3] Corollary 8.29)

Now there is to way to solve this.

*The First Way:* for any coherent sheaf  $\mathcal{F} \in \text{Coh}(\mathbb{P}(\mathcal{E}))$ , tensoring  $q^* \mathcal{F}$  we have

$$\begin{aligned}
0 \rightarrow \mathcal{O}(-r+1) \boxtimes \bigwedge^{r-1} \mathcal{Q} \otimes \mathcal{F} &\rightarrow \mathcal{O}(-r+2) \boxtimes \bigwedge^{r-2} \mathcal{Q} \otimes \mathcal{F} \\
\rightarrow \cdots \rightarrow \mathcal{O}(-1) \boxtimes (\mathcal{Q} \otimes \mathcal{F}) &\rightarrow \mathcal{O} \boxtimes \mathcal{F} \rightarrow q^* \mathcal{F}|_\Delta \rightarrow 0.
\end{aligned}$$

Consider a spectral sequence

$$\begin{aligned}
E_1^{ij} &= \mathbf{R}^i p_* (\mathcal{O}(j) \boxtimes \bigwedge^{-j} \mathcal{Q} \otimes \mathcal{F}) = \mathcal{O}(j) \otimes \mathbf{R}^i p_* q^* \bigwedge^{-j} \mathcal{Q} \otimes \mathcal{F} \\
&= \mathcal{O}(j) \otimes \pi_1^* \mathbf{R}^i \pi_{2,*} \bigwedge^{-j} \mathcal{Q} \otimes \mathcal{F} \Rightarrow \mathbf{R}^{i+j} p_* q^* \mathcal{F}|_\Delta.
\end{aligned}$$

We know that  $\mathbf{R}^{i+j} p_* q^* \mathcal{F}|_\Delta = 0$  if  $i+j \neq 0$  and  $\mathbf{R}^{i+j} p_* q^* \mathcal{F}|_\Delta = \mathcal{F}$  if  $i+j = 0$ . Since any  $E_1^{ij}$  contained in

$$\left\langle \pi^* \mathbf{D}^b(Y) \otimes \mathcal{O}(-r+1), \dots, \pi^* \mathbf{D}^b(Y) \otimes \mathcal{O}(0) \right\rangle,$$

so is  $\mathcal{F}$ . Hence well done (if you use the Euler exact sequence instead of the universal exact sequence, the similar spectral sequence called the generalized Beilinson spectral sequence as Proposition 8.28 in [3]).

*The Second Way: Consider again the Koszul resolution*

$$\begin{aligned} 0 \rightarrow \bigwedge^{r-1}(\mathcal{O}(-1) \boxtimes \mathcal{Q}) &\rightarrow \bigwedge^{r-2}(\mathcal{O}(-1) \boxtimes \mathcal{Q}) \\ &\rightarrow \cdots \rightarrow \mathcal{O}(-1) \boxtimes \mathcal{Q} \rightarrow \mathcal{O} \boxtimes \mathcal{O} \rightarrow \mathcal{O}_\Delta \rightarrow 0. \end{aligned}$$

*Split it into short exact sequences*

$$\begin{aligned} 0 \rightarrow \bigwedge^{r-1}(\mathcal{O}(-1) \boxtimes \mathcal{Q}) &\rightarrow \bigwedge^{r-2}(\mathcal{O}(-1) \boxtimes \mathcal{Q}) \rightarrow M_{r-2} \rightarrow 0, \\ 0 \rightarrow M_{r-2} &\rightarrow \bigwedge^{r-3}(\mathcal{O}(-1) \boxtimes \mathcal{Q}) \rightarrow M_{r-3} \rightarrow 0, \\ &\cdots, \\ 0 \rightarrow M_1 &\rightarrow \mathcal{O} \boxtimes \mathcal{O} \rightarrow \mathcal{O}_\Delta \rightarrow 0. \end{aligned}$$

*Tensor product with  $q^*F$  and direct image under the first projection  $p$  yields distinguished triangles of Fourier-Mukai transforms:*

$$\Phi_{M_{i+1}}(\mathcal{F}) \rightarrow \Phi_{\bigwedge^i(\mathcal{O}(-1) \boxtimes \mathcal{Q})}(\mathcal{F}) \rightarrow \Phi_{M_i}(\mathcal{F}) \rightarrow \Phi_{M_{i+1}}(\mathcal{F})[1].$$

*Easy to see that*

$$\Phi_{\bigwedge^i(\mathcal{O}(-1) \boxtimes \mathcal{Q})}(\mathcal{F}) \in \left\langle \pi^* \mathbf{D}^b(Y) \otimes \mathcal{O}(-i) \right\rangle.$$

*By induction we get  $F = \Phi_{\mathcal{O}_\Delta} F \in \langle \pi^* \mathbf{D}^b(Y) \otimes \mathcal{O}(-r+1), \dots, \pi^* \mathbf{D}^b(Y) \otimes \mathcal{O} \rangle$ . Well done.*

**Fully Exceptional Sequence.** *By the discussed above, we know that pick any fully exceptional sequence  $E_1, \dots, E_n$  of  $Y$ , the set*

$$\{\pi^* E_1 \otimes \mathcal{O}(a), \dots, \pi^* E_n \otimes \mathcal{O}(a), \dots, \pi^* E_1 \otimes \mathcal{O}(a+r-1), \dots, \pi^* E_n \otimes \mathcal{O}(a+r-1)\}$$

*is a fully exceptional sequence of  $\mathbb{P}(\mathcal{E})$  for any  $a \in \mathbb{Z}$ .*

**Example 1.1.2.** *More general case, such as Grassmann bundle and even the flag bundle has the similar things. We refer [4].*

**Example 1.1.3** (Blow-Up).

## 1.2 Kuznetsov Components

## Chapter 2

# Examples of Fano Manifolds of Calabi-Yau Type



## Chapter 3

# Examples of Derived Equivalences of Kuznetsov Components with K3s



## Chapter 4

# Stability Conditions on K3 Categories





## Chapter 5

# Applications: Mukai's program



## Chapter 6

# Application to Cubic Fourfolds and Gushel-Mukai Manifolds



# Index

admissible, 7

exceptional element, 7

exceptional sequence, 7

orthogonal complement, 7

semi-orthogonal, 7

semi-orthogonal decomposition, 7



# Bibliography

- [1] Tom Bridgeland. Stability conditions on triangulated categories. *Ann. Math.*, 166(2):317–345, 2007.
- [2] Tom Bridgeland. Stability conditions on k3 surfaces. *Duke Math. J.*, 166(2):241–291, 2008.
- [3] D. Huybrechts. *Fourier-Mukai Transforms in Algebraic Geometry*. Oxford University Press, 2006.
- [4] Dmitri Orlov. Projective bundles, monoidal transformations, and derived categories of coherent sheaves. *Izvestiya Mathematics*, 41(1):133–141, 1993.