

DATA130008 Introduction to Artificial Intelligence

复旦大学大数据学院
School of Data Science, Fudan University

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Lab 2

October 21th 2020

- **Gomoku**
 - **Final project**
- **Alpha-Beta Pruning**
 - **Submit in class via OJ**
- **Constraint Satisfaction Problems**
 - **Take home as an assignment (Project 2)**

- **Gomoku**
 - **Final project**
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- Gomoku Rule
- Solve Gomoku
 - Board Representation
 - Monte Carlo Tree Search
 - Proof-Number Search
 - Threat-Space Search
 - Genetic Algorithm

- **Gomoku Rule**
- Solve Gomoku
 - Board Representation
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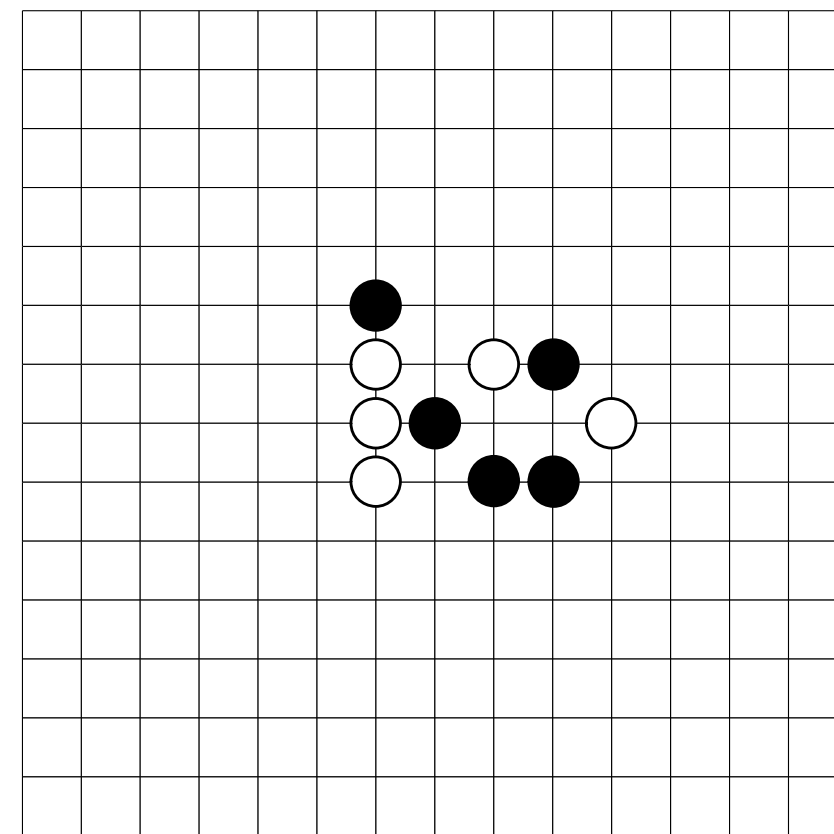
- **Goal: Five in a row, 15×15**
 - **Proved: Black first leads to win (1899)**
- **Gomoku without forbidden shape:**
 - **Free: 5 or more than 5**
 - **Standard: only 5**
 - **Swap 2 Rule**
- **Renju: forbid some shape for Black**
- **Focus on: Free Gomoku**

- Gomoku Rule
- **Solve Gomoku**
 - Board Representation
 - Monte Carlo Tree Search
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- Input
 - Current board state
- Goal
 - Search for next step

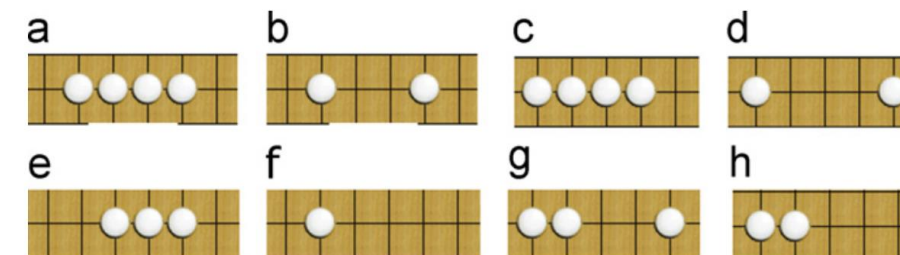
- Gomoku Rule
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 - **Board Representation**
 - Monte Carlo Tree Search
 - Proof-Number Search
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- Board representation
 - Atomic
 - $(x, y, \bullet / \circ / \cdot)$
 - Structured: Features
 - Patterns
 - Turns
 - Offensive/Defensive

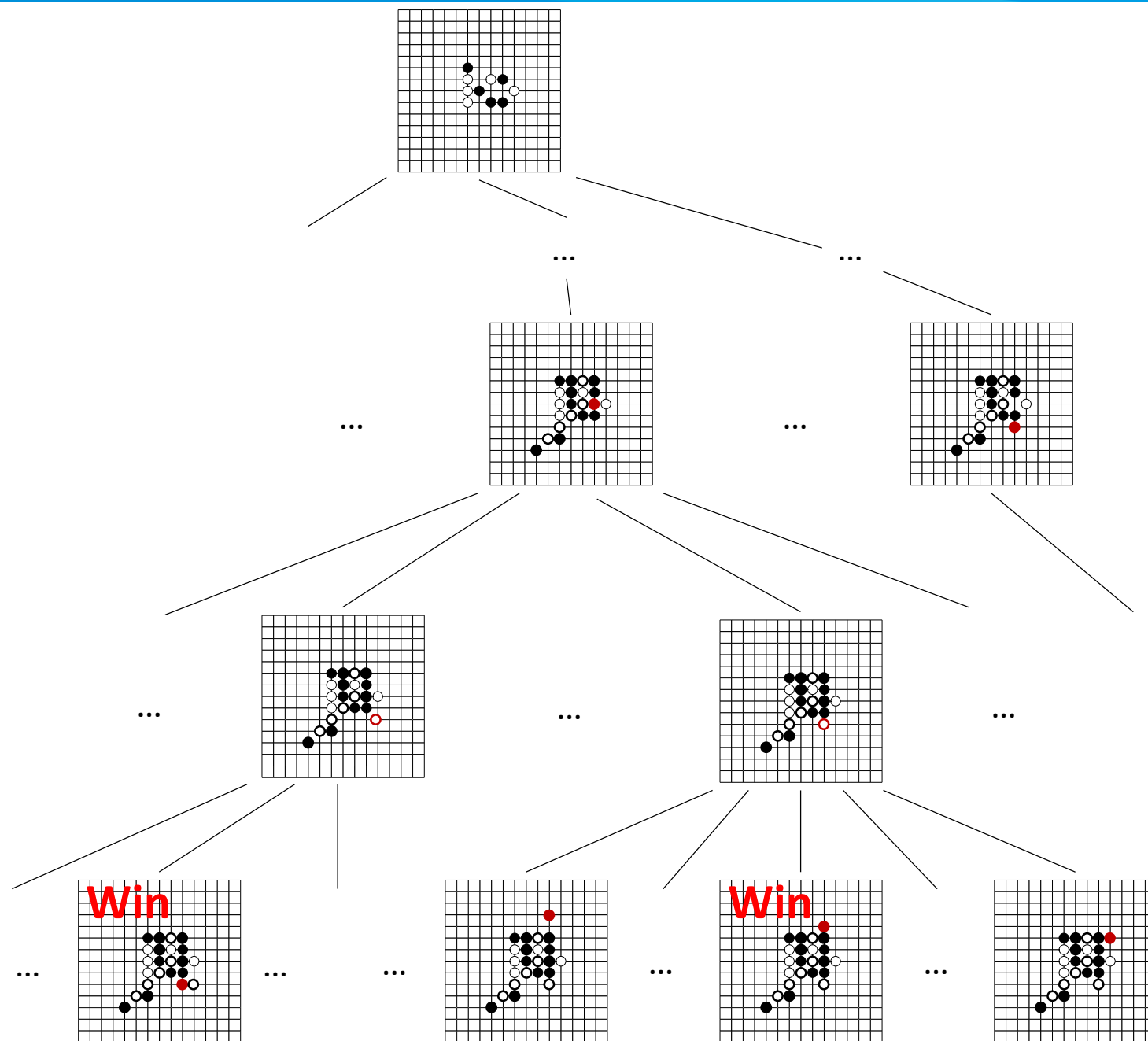


Dongbin Zhao, Zhen Zhang, and Yujie Dai.
Self-teaching adaptive dynamic programming for Gomoku.
Neurocomputing, 78(1):23 – 29, 2012.

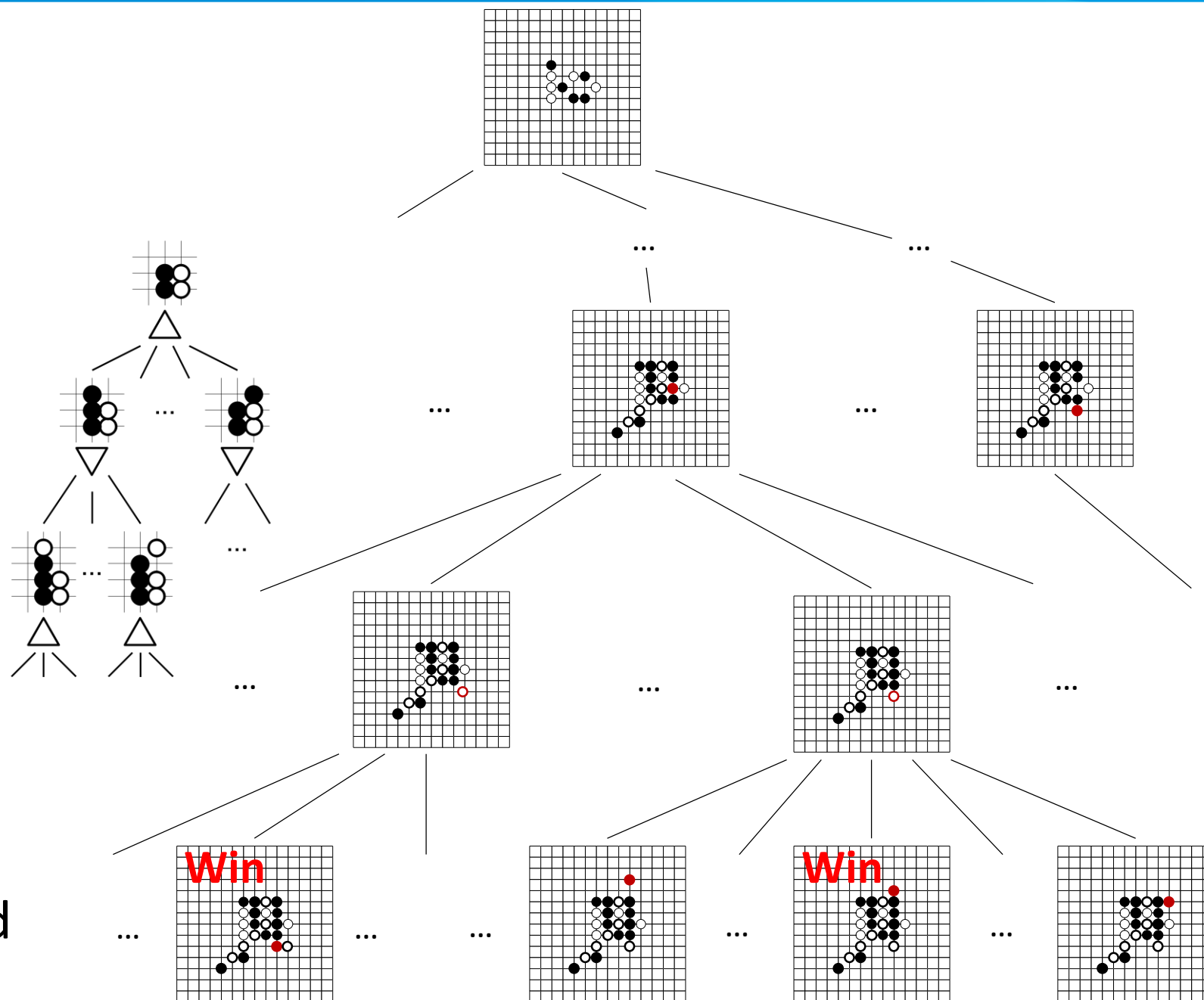
Zhentao Tang, Dongbin Zhao, Kun Shao, and Le Lv.
ADP with MCTS algorithm for Gomoku.
2016 IEEE Symp. Ser. Comput. Intell. SSCI 2016,



- Input
 - Current board state
- Goal
 - Search for next step
 - Single agent
 - Adversarial agent
- Construct a search tree
 - Node: Gomoku board



- Input
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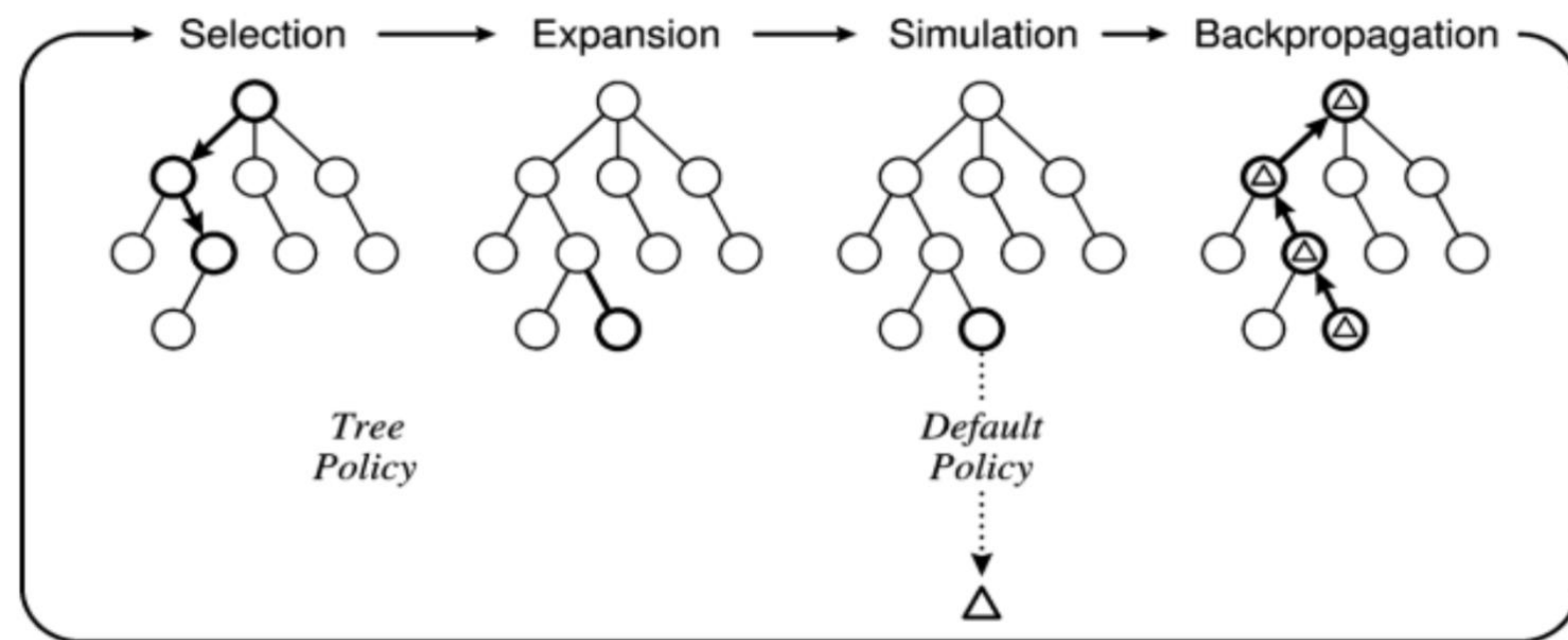
- Gomoku Rule
- Solve Gomoku
 - Board Representation
 - **Monte Carlo Tree Search**
 - Proof-Number Search
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 - Genetic Algorithm

- Monte Carlo Tree Search

- Simulation, Expectation

- Steps

- Selection
 - Expansion
 - Simulation
 - Backpropagation

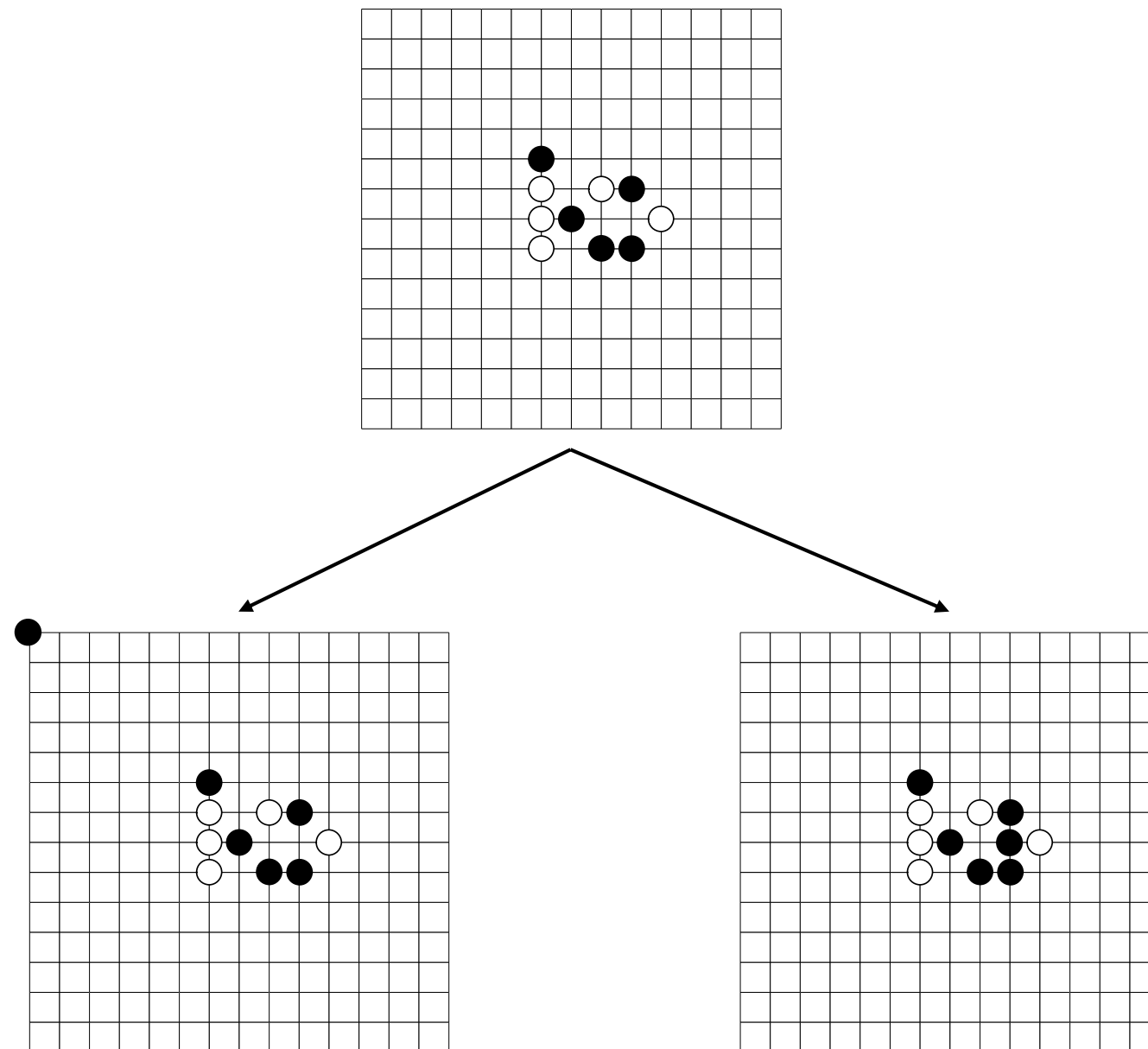


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2016 IEEE Symp. Ser. Comput. Intell. SSCI 2016, (61273136), 2017.

- Monte Carlo Tree Search
 - Simulation, Expectation
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- Monte Carlo Tree Search

- Simulation, Expectation

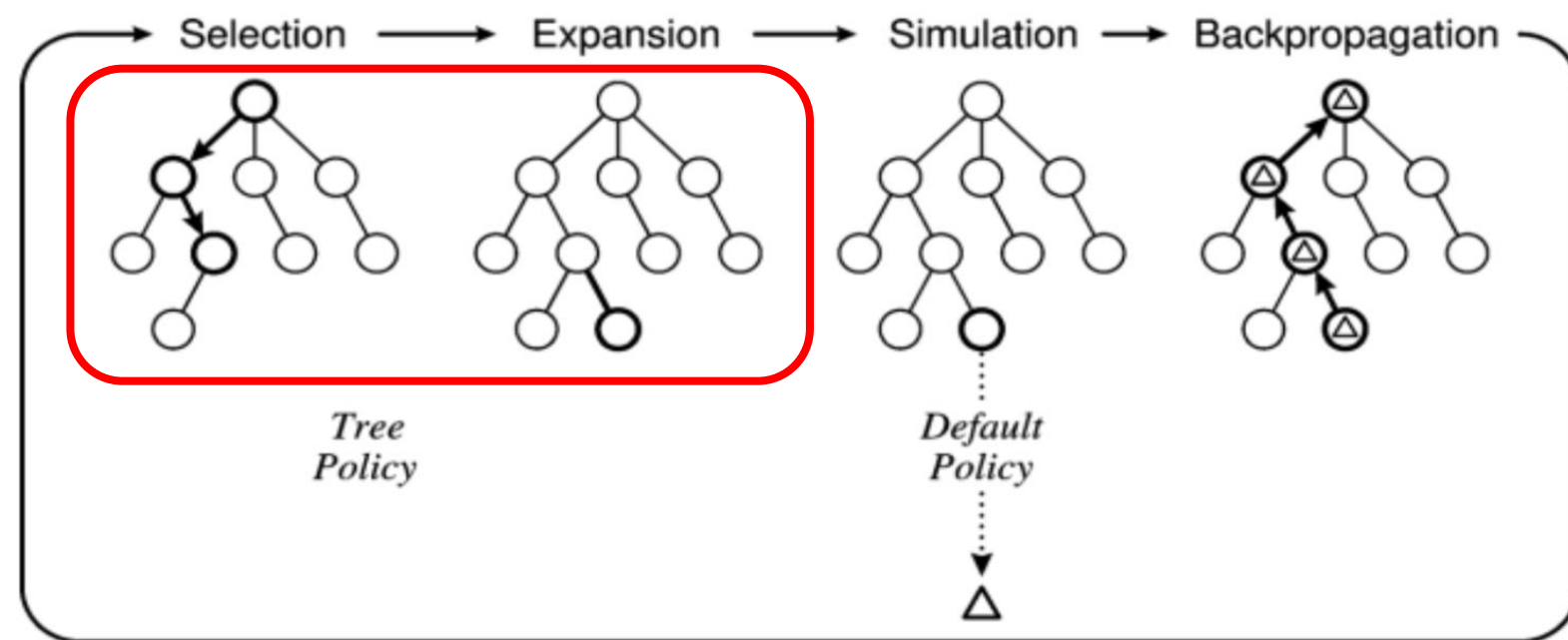
- Steps

- Selection

- Expansion

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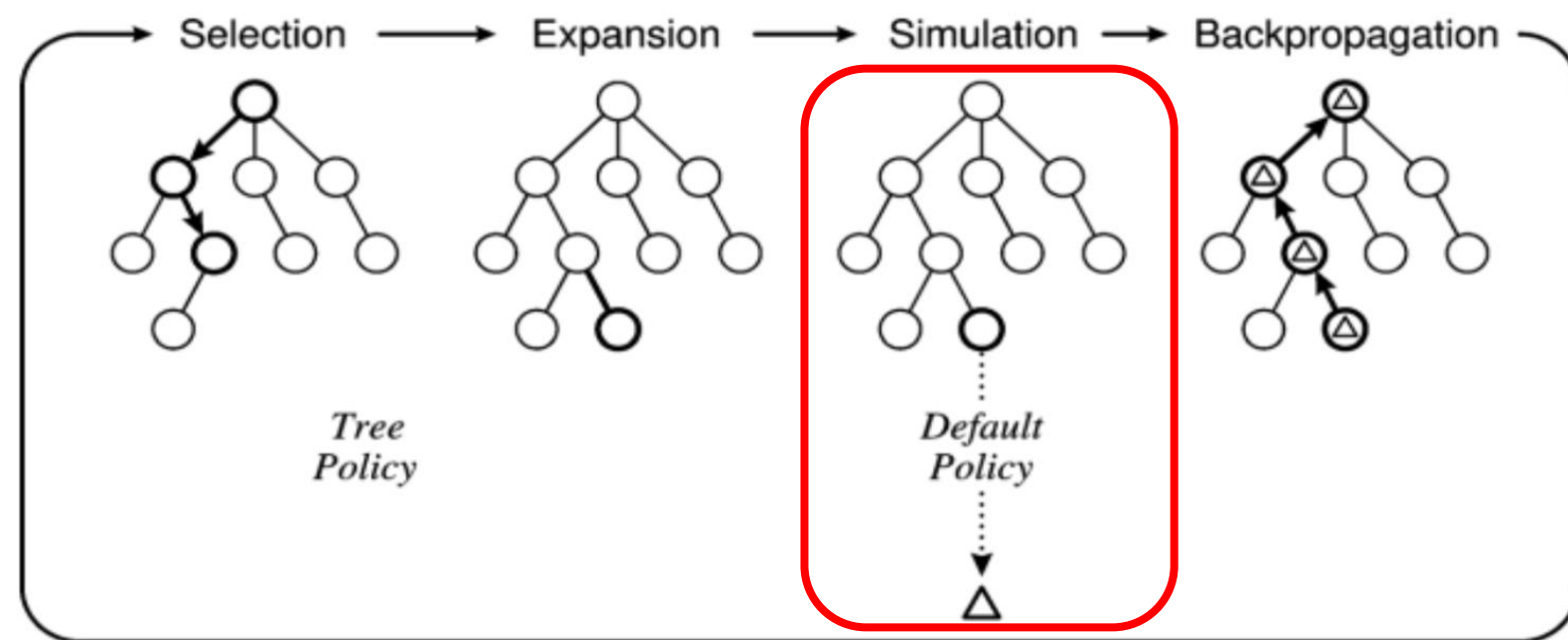
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- Expansion

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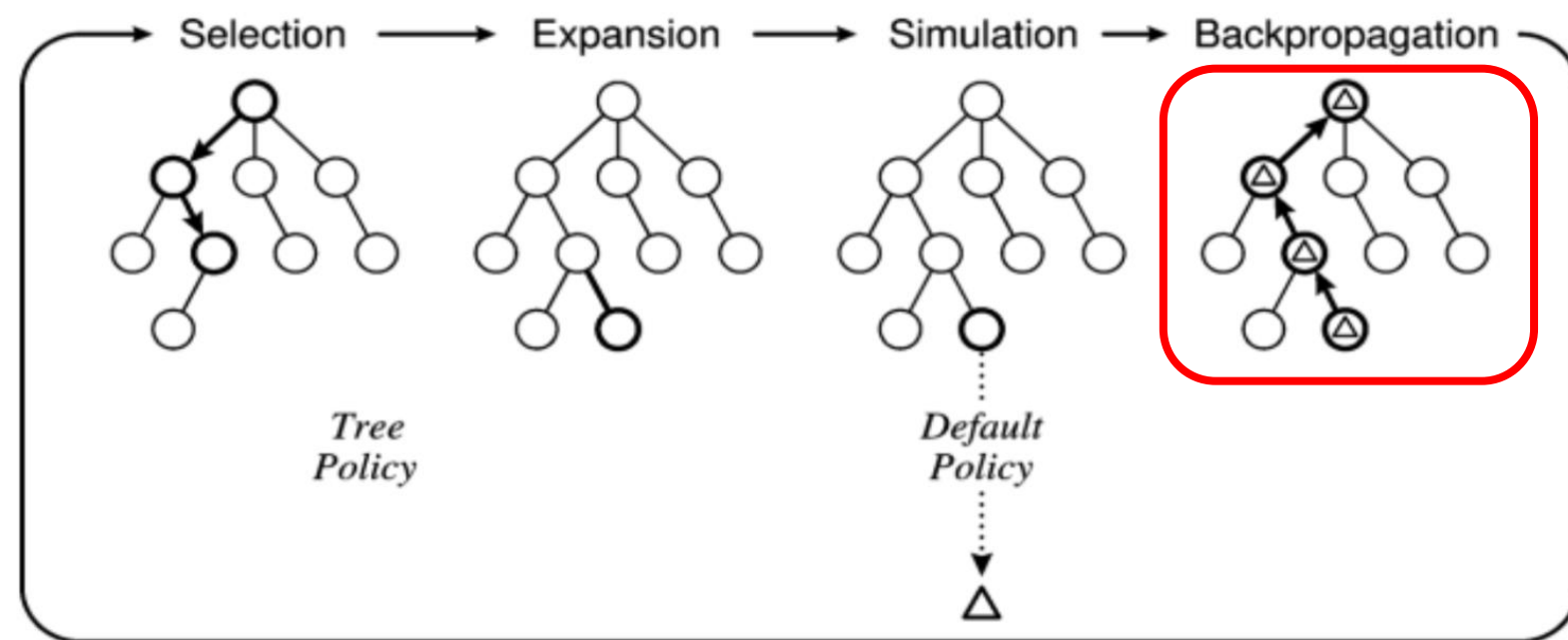
- Steps

- Selection

- Expansion

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ADP with MCTS algorithm for Gomoku.

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- **Input** original state s_0
- **Output** action a corresponding to the highest value of MCTS

```
add Heuristic Knowledge;
obtain possible action moves  $M$  from state  $s_0$ ;
for each move  $m$  in moves  $M$  do
    reward  $r_{total} \leftarrow 0$ ;
    while simulation times < assigned times do
        reward  $r \leftarrow \text{Simulation}(s(m))$ ;
         $r_{total} \leftarrow r_{total} + r$ ;
        simulation times add one;
    end while
    add  $(m, r_{total})$  into  $data$ ;
end for each
return action  $\text{Best}(data)$ 
```

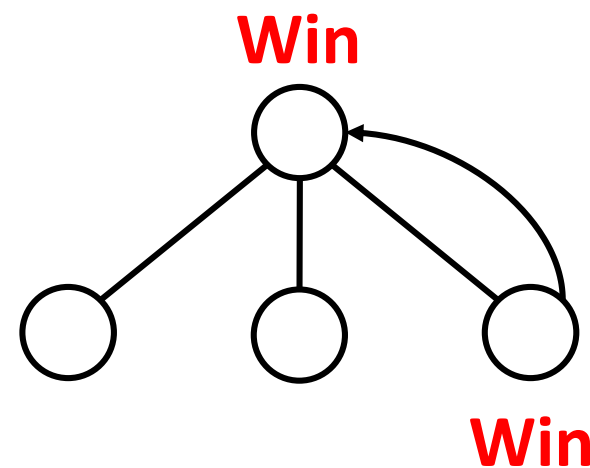
```
Simulation(state  $s_t$ )
    if ( $s_t$  is win and  $s_t$  is terminal) then return 1.0;
    else return 0.0;

    end if
    if ( $s_t$  satisfied with Heuristic Knowledge)
        then obtain forced action  $a_f$ ;
        new state  $s_{t+1} \leftarrow f(s_t, a_f)$ ;
    else choose random action  $a_r \in$  untried actions;
        new state  $s_{t+1} \leftarrow f(s_t, a_r)$ ;
    end if
    return Simulation( $s_{t+1}$ )
```

```
Best( $data$ )
    return action  $a$  //the maximum  $r_{total}$  of  $m$  from data
```

- Gomoku Rule
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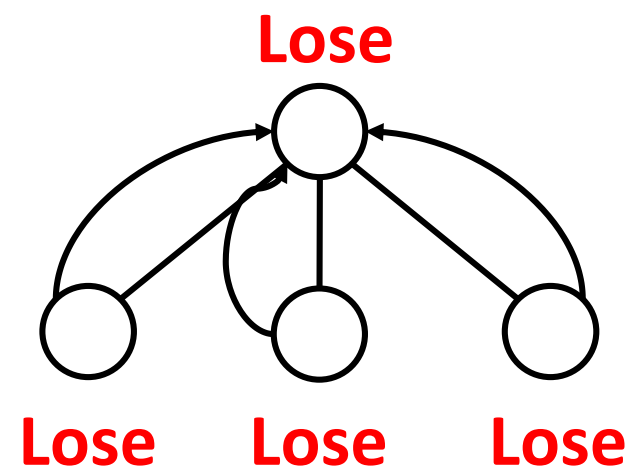
- When will the Black win?



Louis Victor Allis.

Searching for Solutions in Games and Artificial Intelligence.
1994.

- When will the Black lose?



Louis Victor Allis.

Searching for Solutions in Games and Artificial Intelligence.
1994.

- Board situation: Win, Lose, Unknown
- 2 Nodes:
 - Black Turn (OR)
 - Win if there is an action (White take) leading to Black win
 - Lose if all actions leading to Black lose
 - White (AND)
 - Win if all actions leading to Black win
 - Lose if there is an action leading to Black lose

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Searching for Solutions in Games and Artificial Intelligence.
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- Board situation: Win, Lose, Unknown
- 2 Nodes: **Win or Lose: BLACK's View**
 - Black Turn (OR)
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 - White (AND)
 - Win if all actions leading to Black win
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Solve Gomoku: Proof-Number Search



- AND/OR Tree

- 3 Values: true, false, unknown

- 2 Nodes: AND, OR

- Black: OR

- Win if one child is win

- Unknown if no win and has unknown

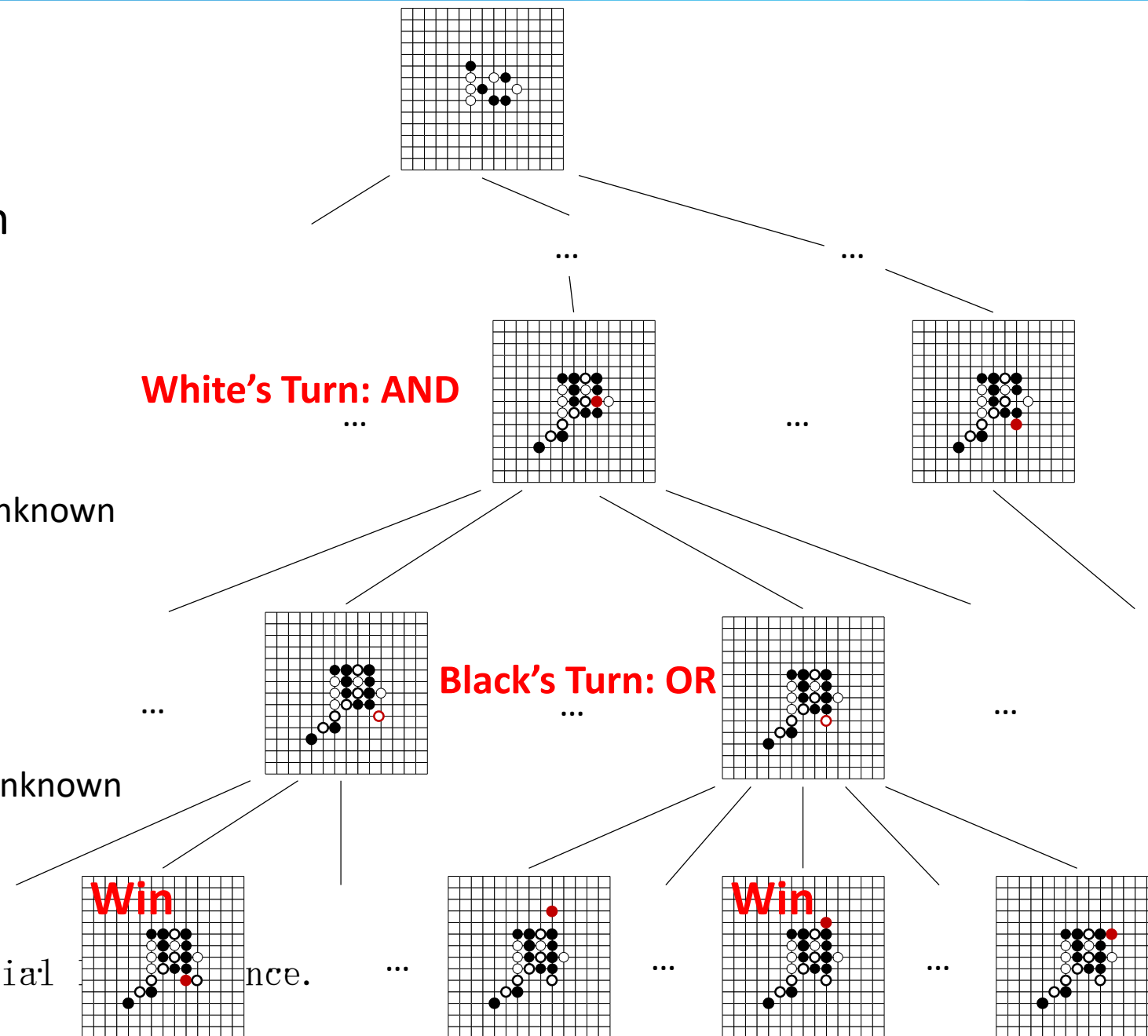
- Lose if all children are lose

- White: AND

- Lose if one child is lose

- Unknown if no lose and has unknown

- Win if all children are win



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- AND/OR Tree

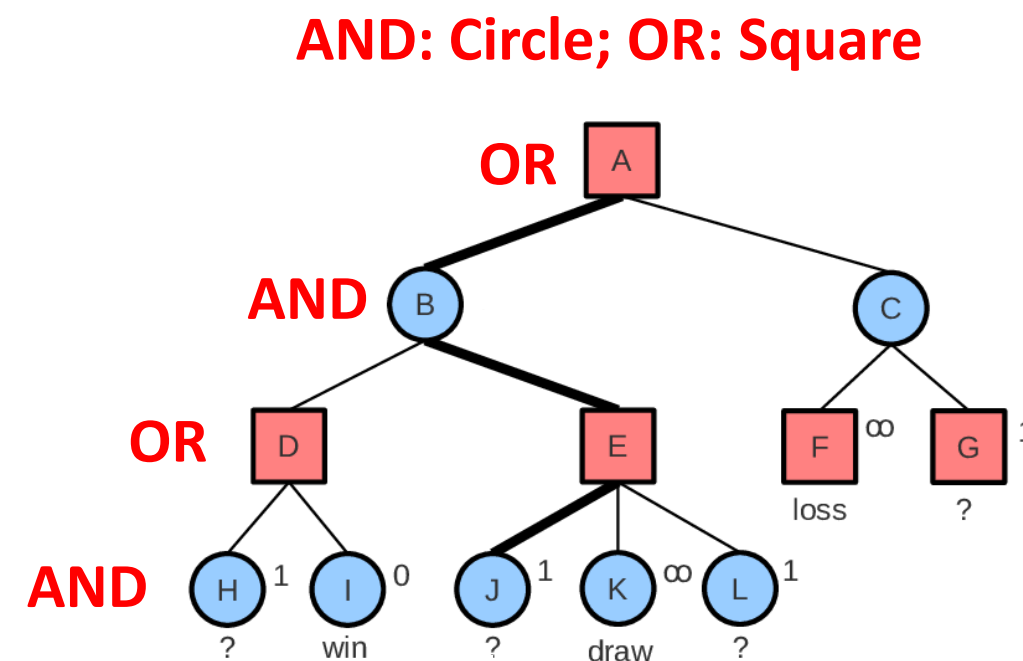
- Proof set

- Proof set: a set of frontier nodes S is a proof set if proving all nodes within S proves T
 - The proof number of T is defined as the cardinality of the smallest proof set of T

- Disproof set

- State of leaf nodes

- Win: 0, ∞
 - Lose: ∞ , 0
 - Unknown: 1, 1



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- AND/OR Tree

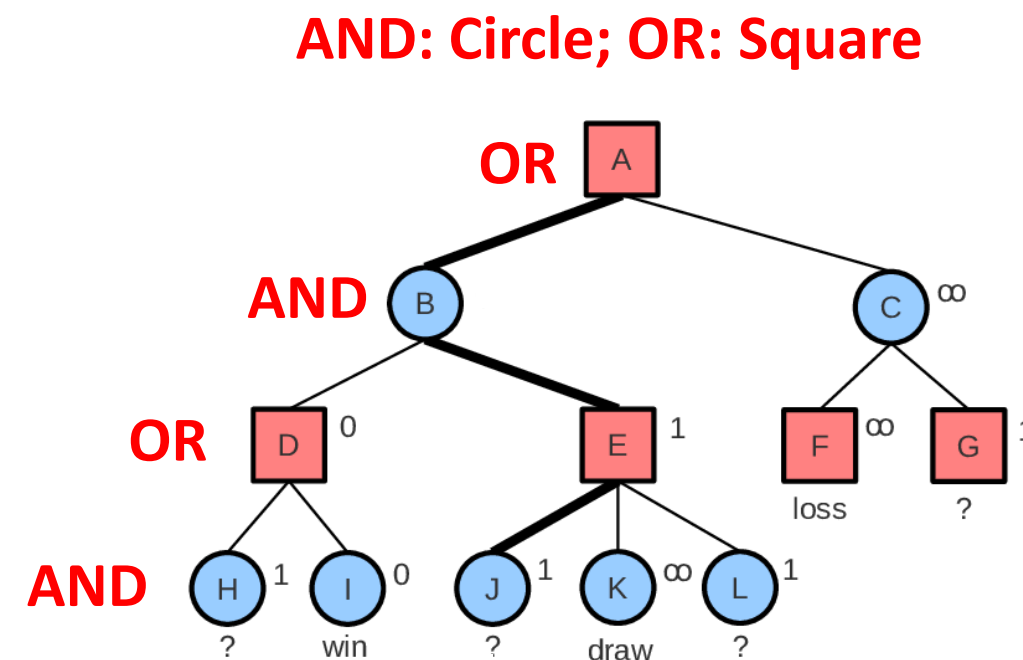
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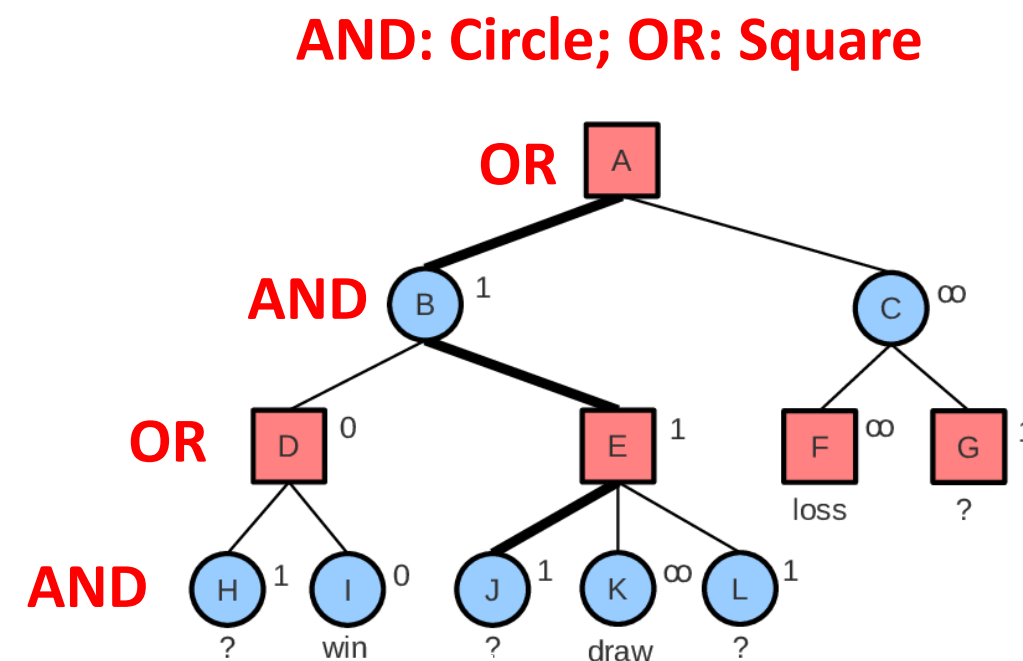
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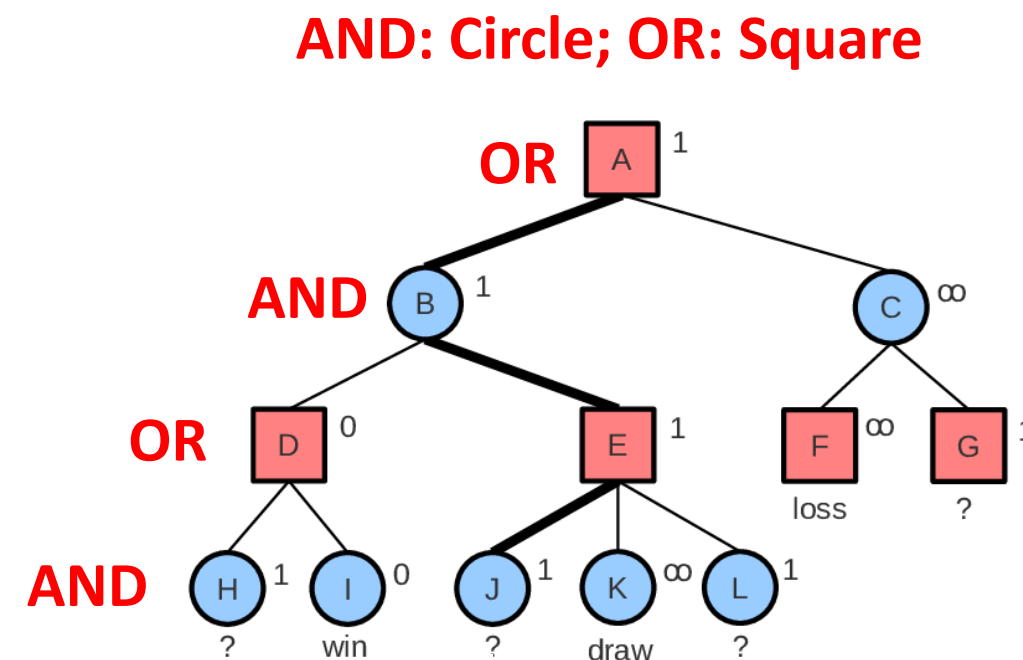
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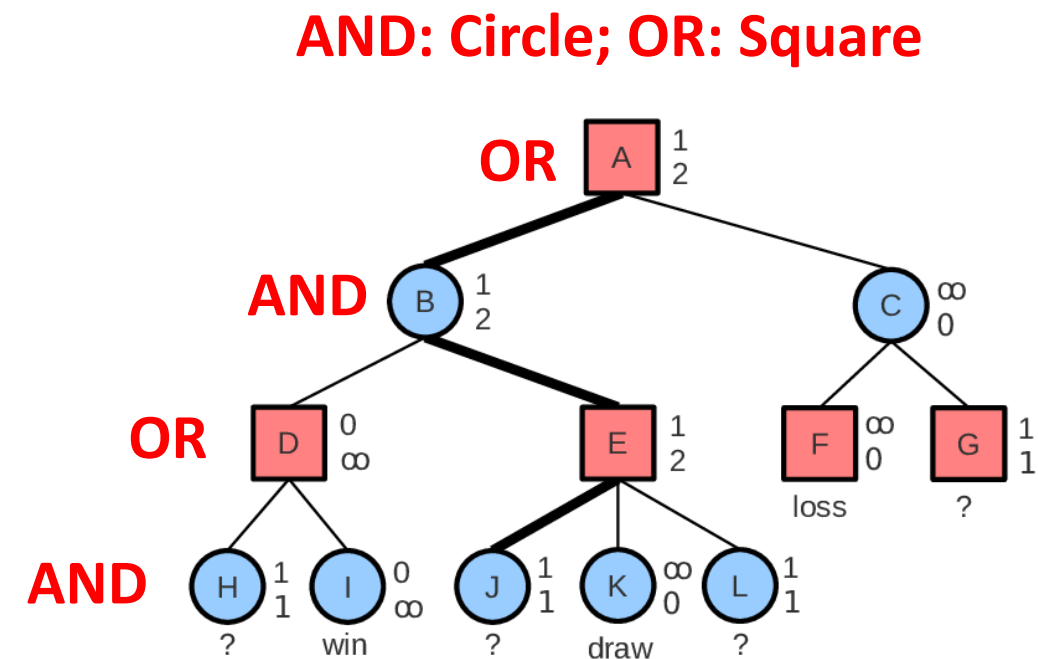
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 - Lose: ∞ , 0
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Louis Victor Allis.

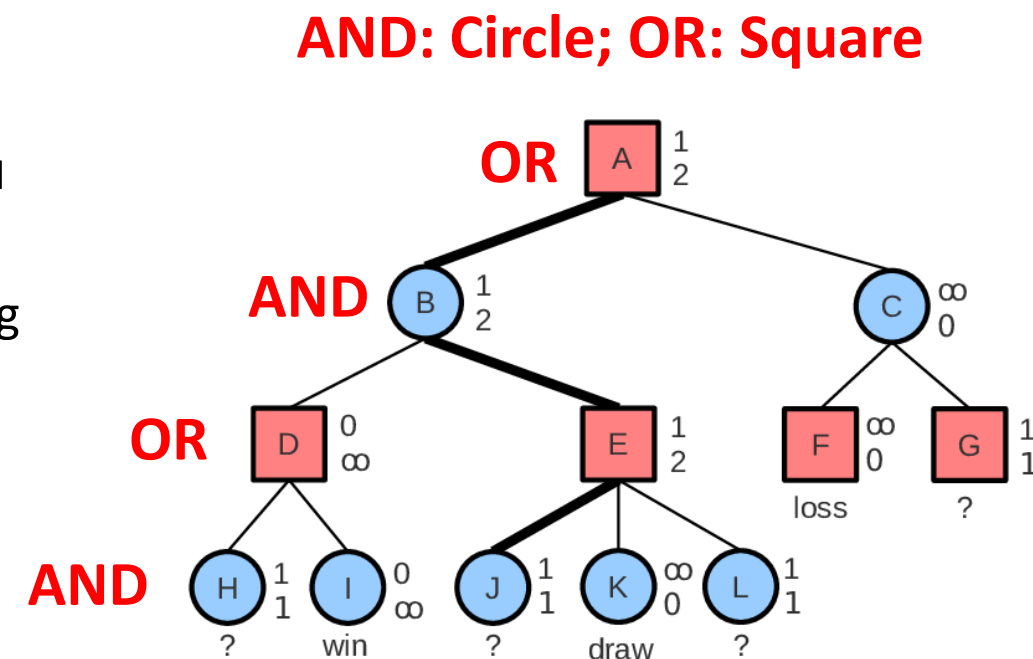
Searching for Solutions in Games and Artificial Intelligence.
1994.

- AND/OR Tree
 - Set proof number
 - AND: sum OR: min
 - Set disproof number
 - AND: min OR: sum



■ AND/OR Tree

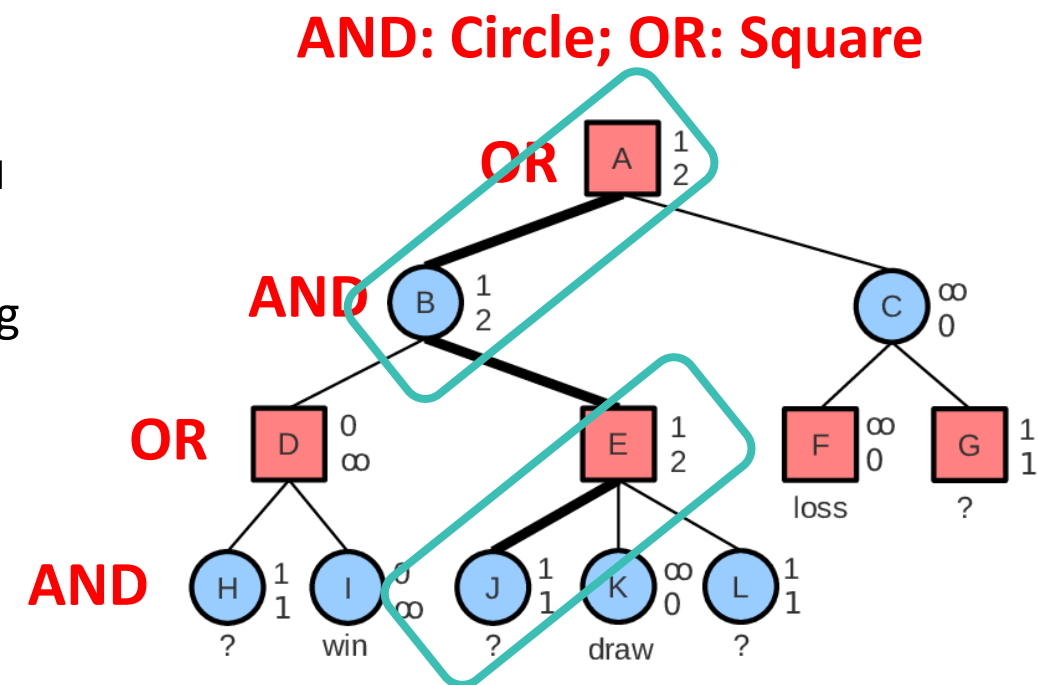
- Most-proving node
 - Proved: each pair consisting of a smallest proof set and a smallest disproof set has a non-empty intersection.
 - i.e. There must exist at least one most-proving node.



Louis Victor Allis.

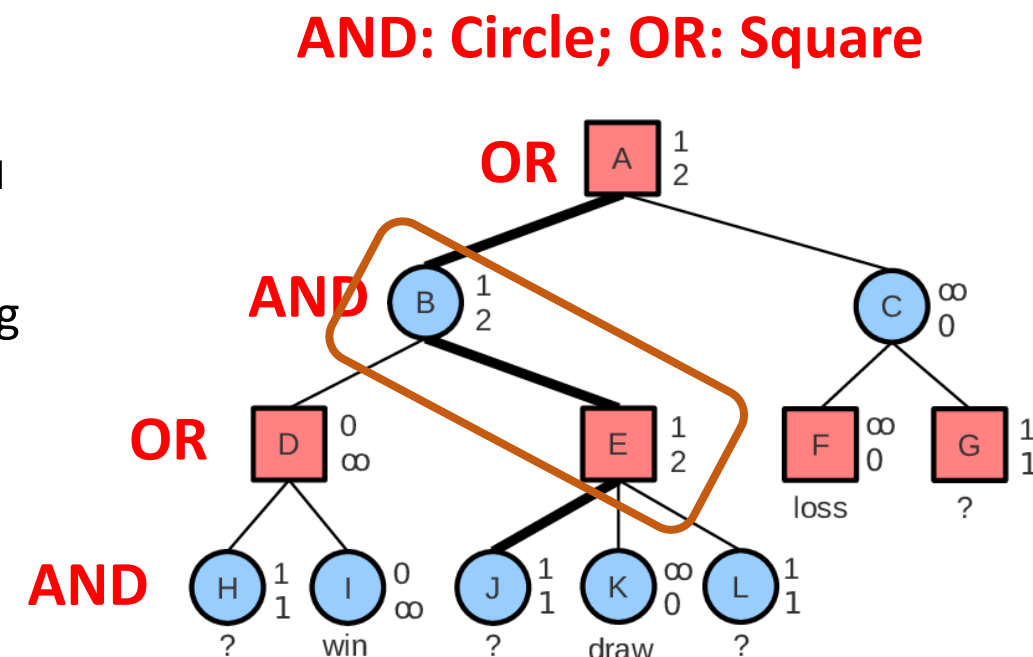
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■ AND/OR Tree

- Most-proving node
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■ Algorithm

```
procedure ProofNumberSearch(root);  
  Evaluate(root);  
  SetProofAndDisproofNumbers(root);  
  while root.proof  $\neq$  0 and root.disproof  $\neq$  0 and  
    ResourcesAvailable() do  
    mostProvingNode := SelectMostProving(root);  
    DevelopNode(mostProvingNode);  
    UpdateAncestors(mostProvingNode)  
  od ;  
  if root.proof = 0 then root.value := true  
  elseif root.disproof = 0 then root.value := false  
  else root.value := unknown  
  fi  
end
```

```
function SelectMostProving(node);  
  while node.expanded do  
    case node.type of  
      or :  
        i := 1;  
        while node.children[i].proof  $\neq$  node.proof do  
          i := i+1  
        od  
      and :  
        i := 1;  
        while node.children[i].disproof  $\neq$  node.disproof do  
          i := i+1  
        od  
      esac ;  
      node := node.children[i]  
    od ;  
  return node  
end
```

Louis Victor Allis.

Searching for Solutions in Games and Artificial Intelligence.
1994.

■ Algorithm

```
procedure SetProofAndDisproofNumbers(node);  
  if node.expanded then  
    case node.type of  
      and :  
        node.proof :=  $\sum_{N \in \text{Children}(\text{node})} N.\text{proof}$ ;  
        node.disproof :=  $\min_{N \in \text{Children}(\text{node})} N.\text{disproof}$   
      or :  
        node.proof :=  $\min_{N \in \text{Children}(\text{node})} N.\text{proof}$ ;  
        node.disproof :=  $\sum_{N \in \text{Children}(\text{node})} N.\text{disproof}$   
    esac  
  elseif node.evaluated then  
    case node.value of  
      false : node.proof :=  $\infty$ ; node.disproof := 0  
      true : node.proof := 0; node.disproof :=  $\infty$   
      unknown : node.proof := 1; node.disproof := 1  
    esac  
  else node.proof := 1; node.disproof := 1  
  fi  
end
```

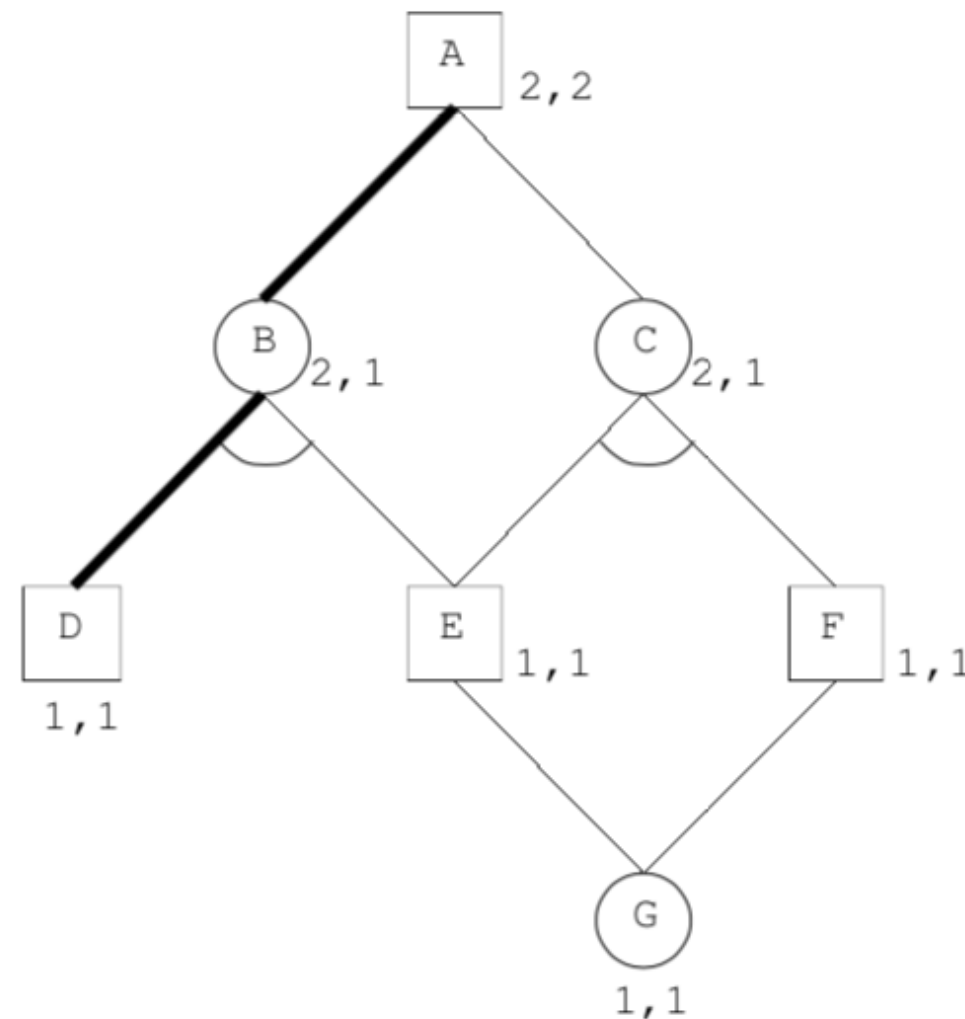
```
procedure DevelopNode(node);  
  GenerateAllChildren(node);  
  for i := 1 to node.numberOfChildren do  
    Evaluate(node.children[i]);  
    SetProofAndDisproofNumbers(node.children[i])  
  od  
end
```

```
procedure UpdateAncestors(node);  
  while node  $\neq$  nil do  
    SetProofAndDisproofNumbers(node);  
    node := node.parent  
  od  
end
```

Louis Victor Allis.

Searching for Solutions in Games and Artificial Intelligence.
1994.

- Transposition
 - Hash table
 - Directed Acyclic Graphs



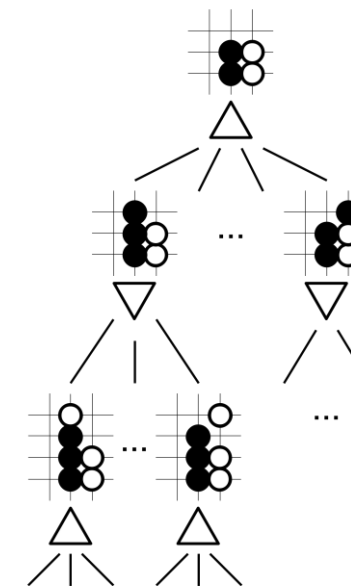
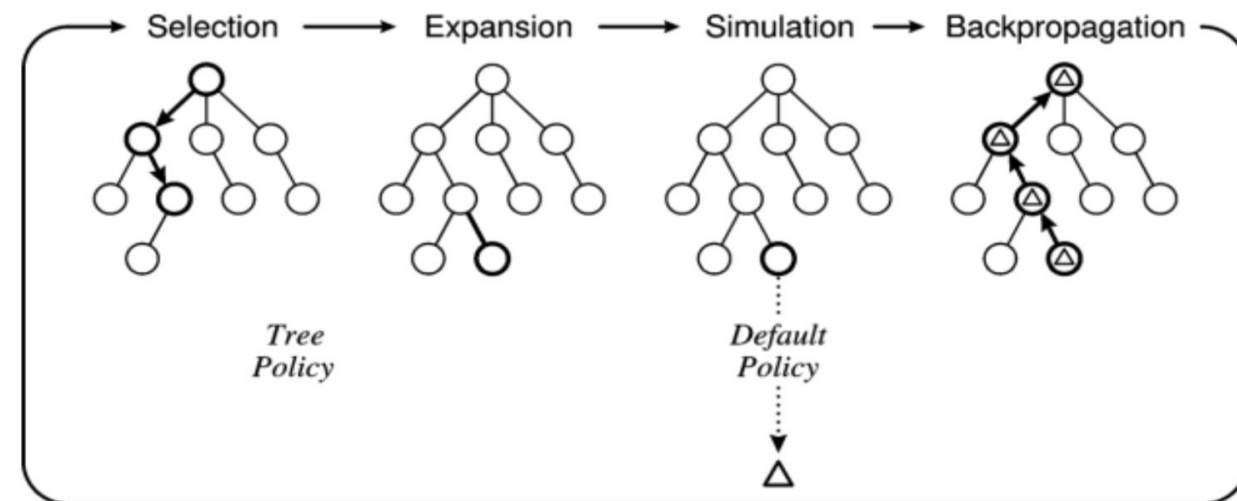
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1994.

Solve Gomoku: Monte Carlo Tree Search



- Monte Carlo Tree Search
 - Simulation, Expectation
 - Steps
 - Selection
 - Proof-Number Search
 - Expansion
 - Simulation Threat-Space Search, Genetic Algorithm
 - Backpropagation



- Gomoku Rule
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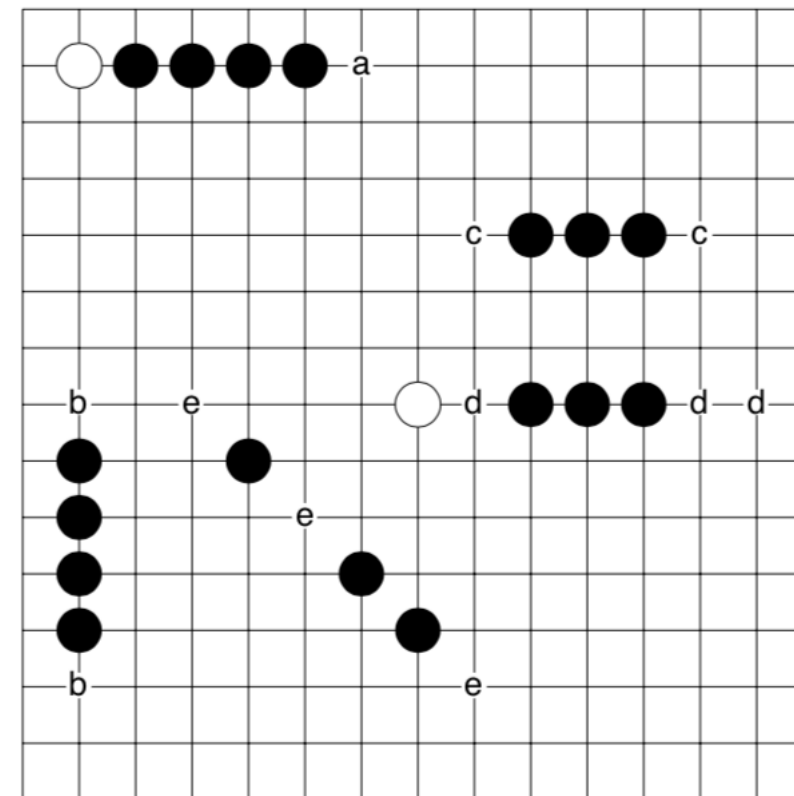
Solve Gomoku: Threat-Space Search



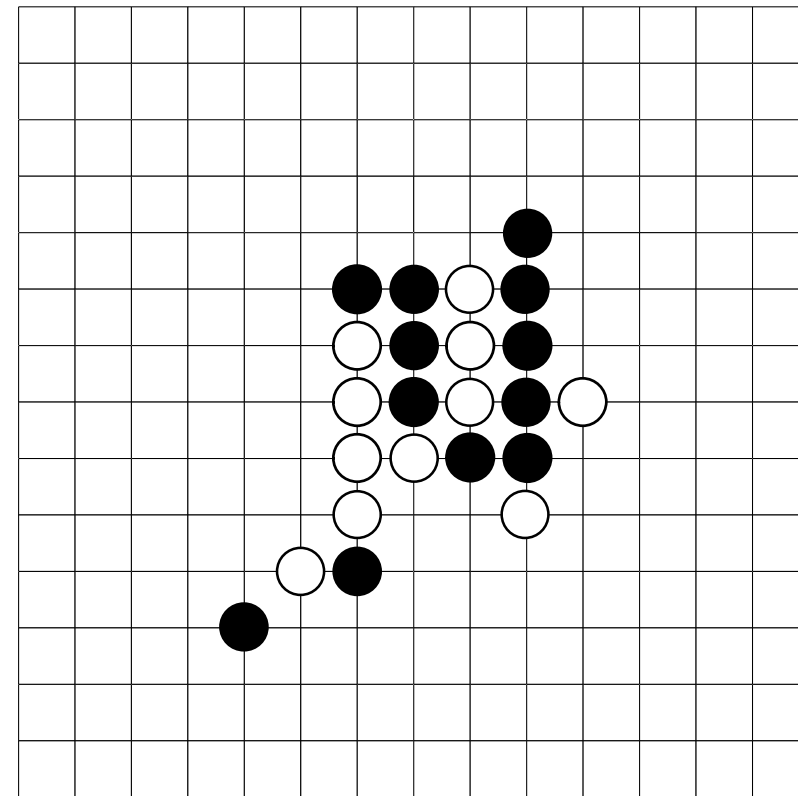
- Goal: Five in a row

Four in a row
Three in a row
...
Threat

Threat Sequence



- Threat Sequence
- Winning Threat Sequence



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- Conflict
-
- Threat A
- Threat B

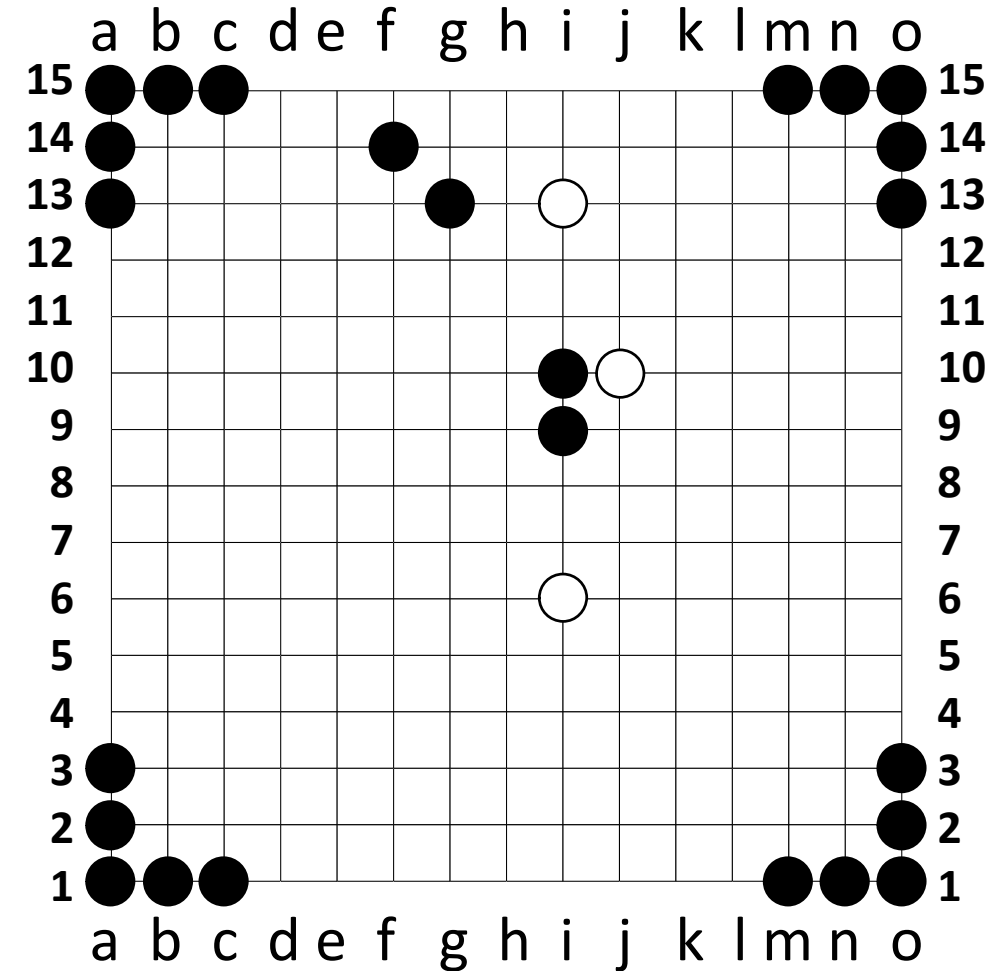


Louis Victor Allis and HJ Van Den Herik.
Go-moku and threat-space search.
. Ist. Psu. Edu/, 1993.

■ Threat Search tree:

- Threat A being independent of threat B is not allowed to occur in the search tree of threat B.
- Only threats for the attacker are included.

Depth	Type of threat	Gain square	Cost squares
1	Four	<i>l15</i>	<i>k15</i>
1	Four	<i>k15</i>	<i>l15</i>
1	Four	<i>e15</i>	<i>d15</i>
2	Four	<i>i11</i>	<i>h12</i>
3	Straight Four	<i>i8</i>	<i>i7</i>
2	Four	<i>h12</i>	<i>i11</i>
1	Four	<i>d15</i>	<i>e15</i>
1	Four	<i>o12</i>	<i>o11</i>
1	Four	<i>o11</i>	<i>o12</i>
1	Four	<i>a12</i>	<i>a11</i>
1	Four	<i>a11</i>	<i>a12</i>
1	Three	<i>i11</i>	<i>i7,i8,i12</i>
2	Four	<i>h12</i>	<i>e15</i>
2	Four	<i>e15</i>	<i>h12</i>
3	Five	<i>d15</i>	
1	Three	<i>i8</i>	<i>i7,i11,i12</i>
1	Four	<i>o5</i>	<i>o4</i>
1	Four	<i>o4</i>	<i>o5</i>
1	Four	<i>l1</i>	<i>k1</i>
1	Four	<i>k1</i>	<i>l1</i>
1	Four	<i>e1</i>	<i>d1</i>
1	Four	<i>d1</i>	<i>e1</i>
1	Four	<i>a5</i>	<i>a4</i>
1	Four	<i>a4</i>	<i>a5</i>



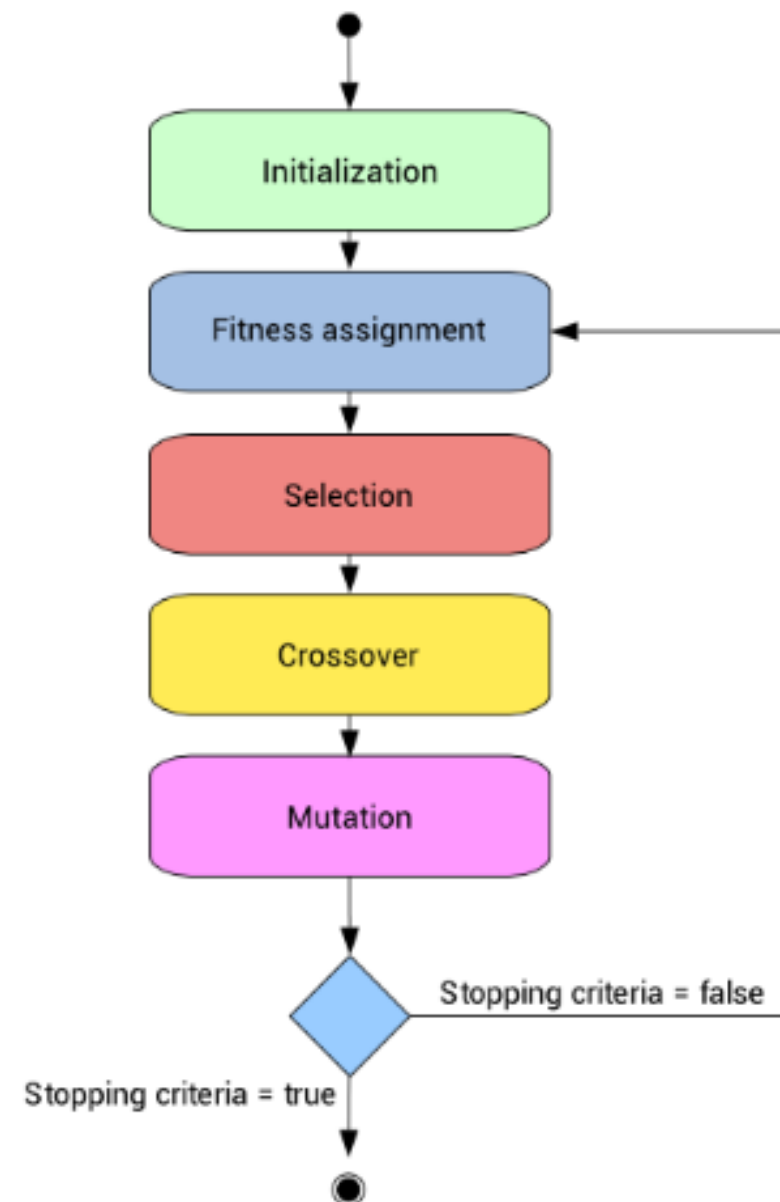
- Victoria
 - Threat-space search
 - Proof-number search
- Threat-Space Search
 - a module capable of quickly determining whether a winning threat sequence exists
 - used as a first evaluation function
 - Win for the attacker
 - No win: proof-number search
 - a heuristic evaluation procedure

- Gomoku Rule
- Solve Gomoku
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 - Genetic Algorithm

Solve Gomoku: Genetic Algorithm



- Initialization: Coding Scheme
- Fitness assignment
- Selection
- Crossover
- Mutation



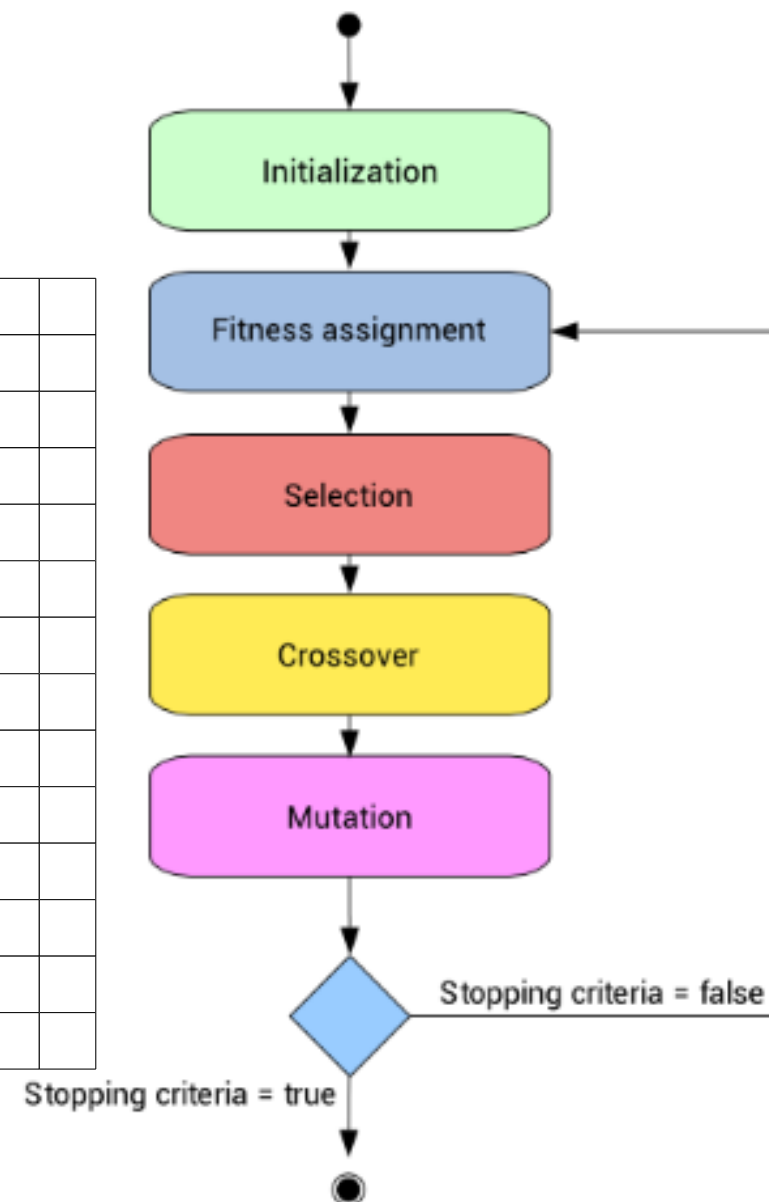
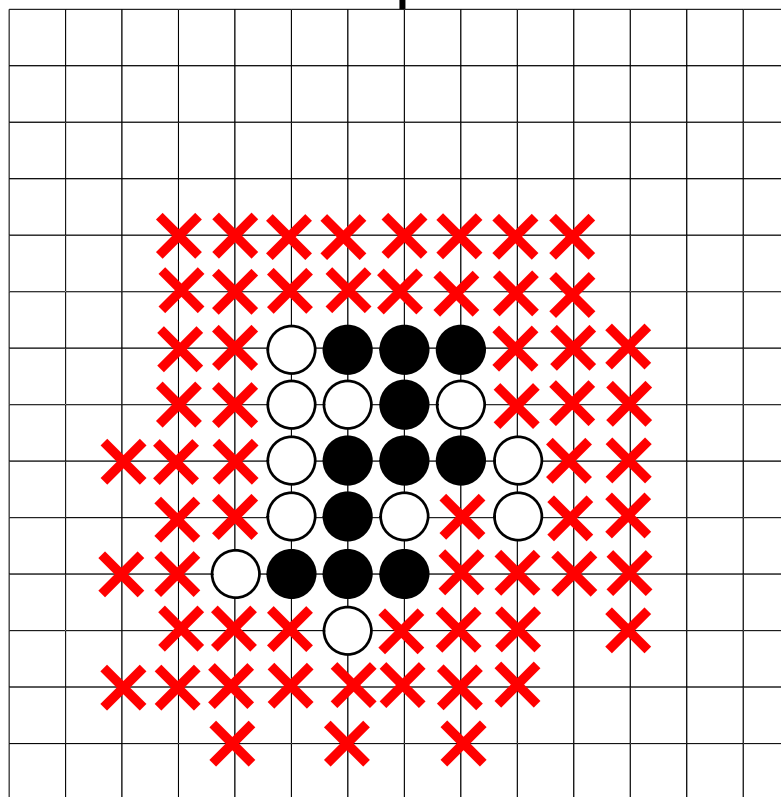
- Initialization: Coding Scheme
 - Coordinates of consecutive K steps

- N sequences

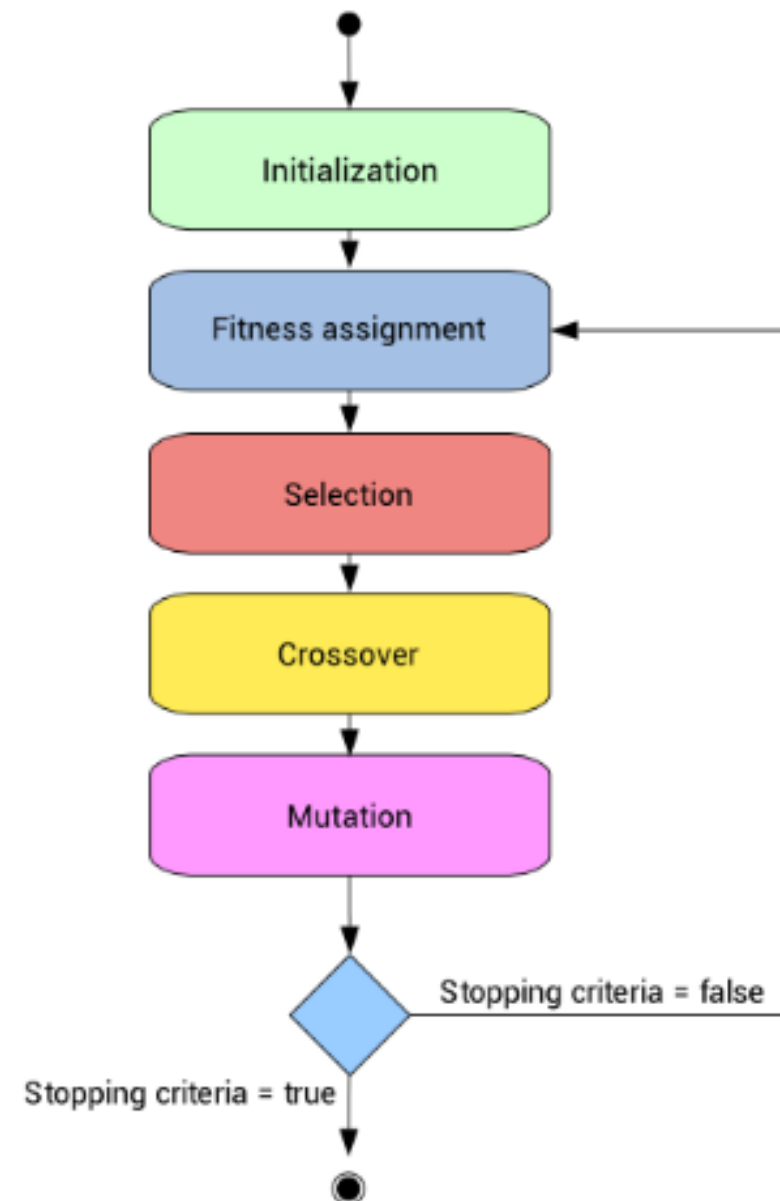
- Representation:

- $A_2A_1A_7A_3A_8A_5A_6$
- $A_5A_3A_1A_4A_9A_2A_8$
- $A_1A_6A_8A_2A_5A_3A_4$
- $A_2A_9A_3A_4A_7A_8A_6$
- $A_1A_4A_7A_6A_5A_8A_2$

Both you and the enemy



- Initialization: Coding Scheme
- Fitness assignment
 - Example:
$$f(s) = 4800 * (\text{number of four structures in neighborhood}) + 97 * (\text{number of three structures in neighborhood}) + 17 * (\text{number of two structures in neighborhood})$$
- Selection



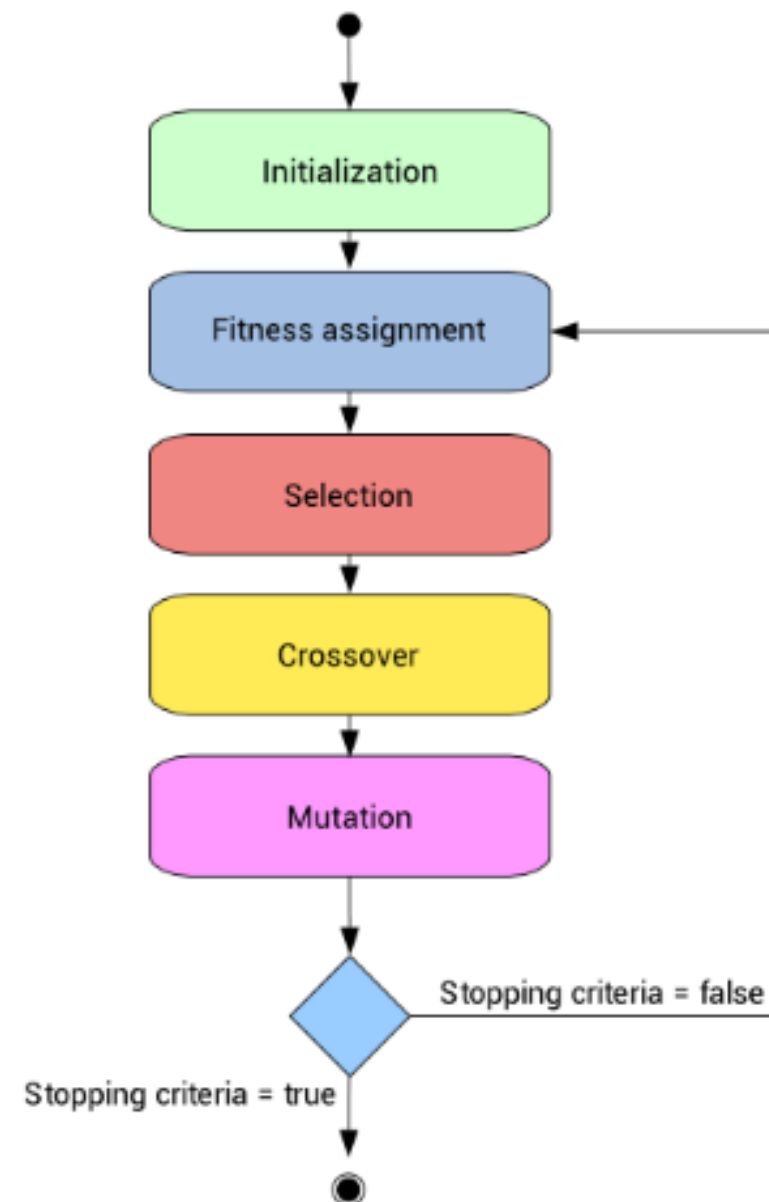
- Initialization: Coding Scheme
- Fitness assignment
- Selection
- Crossover

■ Parents: $A_2A_1A_7A_3A_8A_5A_6$
 $A_5A_3A_1A_4A_9A_2A_8$

■ Children: $A_2A_1A_7A_3A_9A_2A_8$
 $A_5A_3A_1A_4A_8A_5A_6$

- Mutation

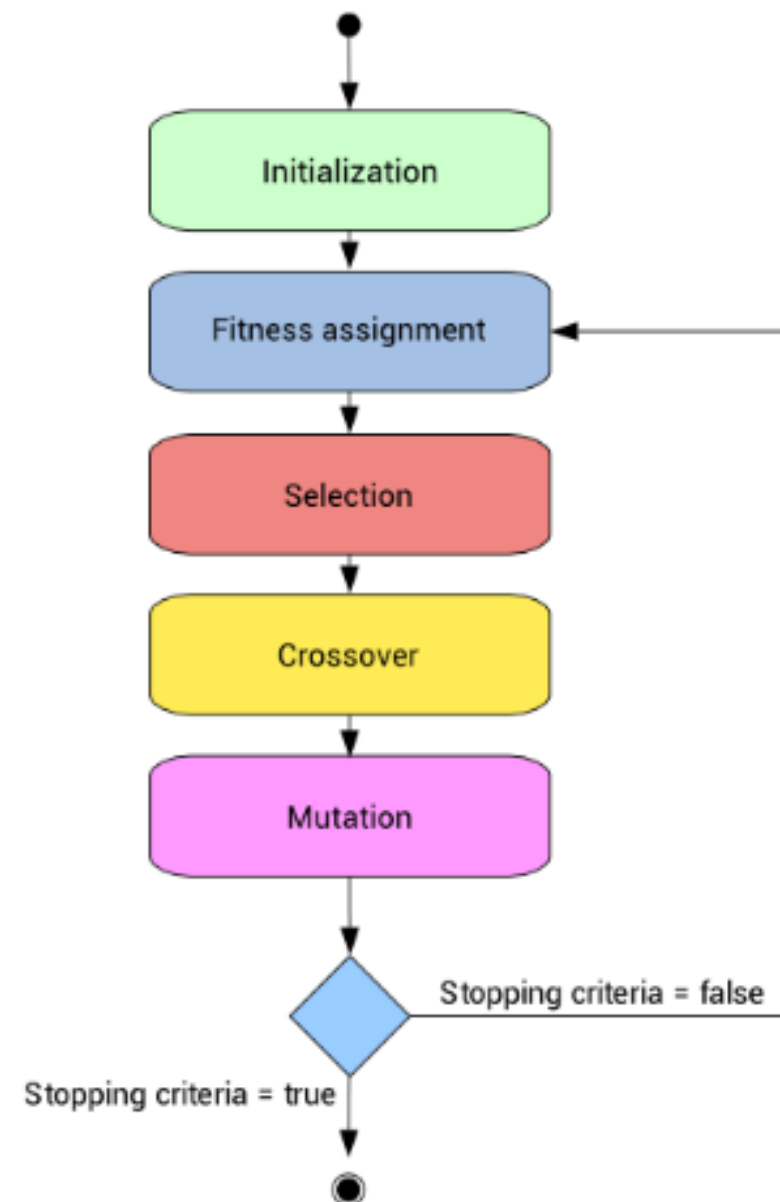
$A_2A_9A_3A_4A_7A_8A_6$
 $A_2A_9A_7A_8A_3A_4A_6$



Solve Gomoku: Genetic Algorithm



- Initialization: Coding Scheme
- Fitness assignment
- Selection
- Crossover
- Mutation



- Gomoku manager
 - <http://gomocup.org/download-gomocup-manager/>
- AI
 - <http://gomocup.org/download-gomoku-ai/>
- Python Template
 - <https://github.com/stranskyjan/pbrain-pyrandom>
- Gomocup
 - <http://gomocup.org/>

- Gomoku
 - Final project
- Alpha-Beta Pruning
 - Submit in class via OJ
- Constraint Satisfaction Problems
 - Take home as an assignment (Project 2)

Lab2: Adversarial Search (Minimax)



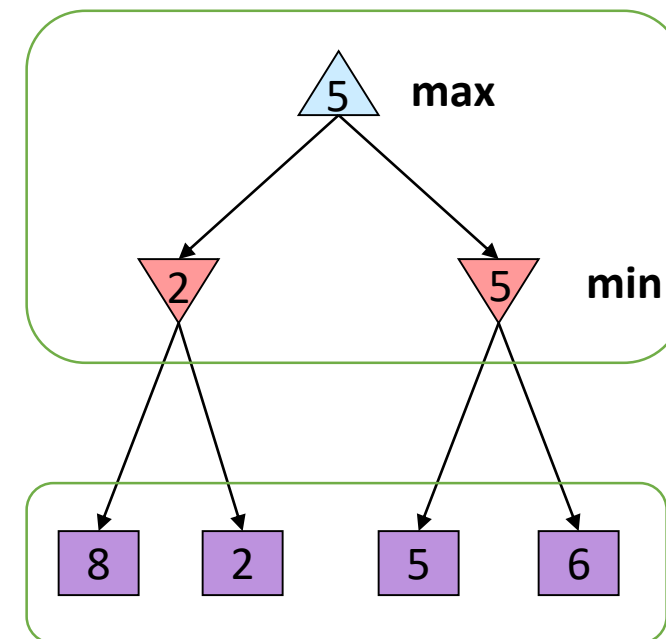
```
function ALPHA-BETA-SEARCH(state) returns an action  
   $v \leftarrow \text{MAX-VALUE}(\text{state}, -\infty, +\infty)$   
  return the action in  $\text{ACTIONS}(\text{state})$  with value  $v$ 
```

```
function MAX-VALUE(state,  $\alpha$ ,  $\beta$ ) returns a utility value  
  if  $\text{TERMINAL-TEST}(\text{state})$  then return  $\text{UTILITY}(\text{state})$   
   $v \leftarrow -\infty$   
  for each  $a$  in  $\text{ACTIONS}(\text{state})$  do  
     $v \leftarrow \text{MAX}(v, \text{MIN-VALUE}(\text{RESULT}(s, a), \alpha, \beta))$   
    if  $v \geq \beta$  then return  $v$   
     $\alpha \leftarrow \text{MAX}(\alpha, v)$   
  return  $v$ 
```

```
function MIN-VALUE(state,  $\alpha$ ,  $\beta$ ) returns a utility value  
  if  $\text{TERMINAL-TEST}(\text{state})$  then return  $\text{UTILITY}(\text{state})$   
   $v \leftarrow +\infty$   
  for each  $a$  in  $\text{ACTIONS}(\text{state})$  do  
     $v \leftarrow \text{MIN}(v, \text{MAX-VALUE}(\text{RESULT}(s, a), \alpha, \beta))$   
    if  $v \leq \alpha$  then return  $v$   
     $\beta \leftarrow \text{MIN}(\beta, v)$   
  return  $v$ 
```

Figure 5.7 The alpha–beta search algorithm. Notice that these routines are the same as the MINIMAX functions in Figure 5.3, except for the two lines in each of MIN-VALUE and MAX-VALUE that maintain α and β (and the bookkeeping to pass these parameters along).

Minimax values:
computed recursively



Terminal values:
part of the game

```
function ALPHA-BETA-SEARCH(state) returns an action  
   $v \leftarrow \text{MAX-VALUE}(\text{state}, -\infty, +\infty)$   
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    if  $v \leq \alpha$  then return  $v$   
     $\beta \leftarrow \text{MIN}(\beta, v)$   
  return  $v$ 
```

For MAX node

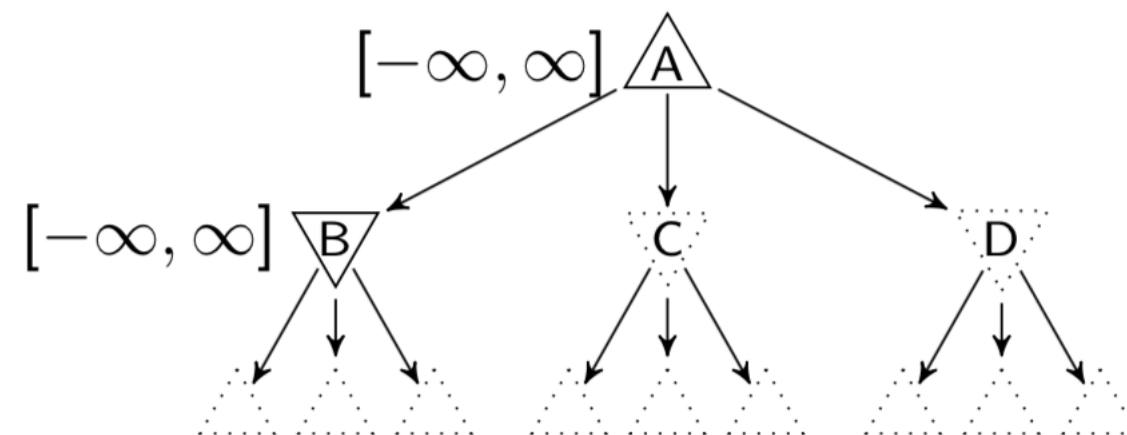
β is fixed as β_{parent}

v is used to update α initialized as α_{parent}

For MIN node

α is fixed as α_{parent}

v is used to update β initialized as β_{parent}



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function MAX-VALUE(state,  $\alpha$ ,  $\beta$ ) returns a utility value  
if  $\text{TERMINAL-TEST}(\text{state})$  then return  $\text{UTILITY}(\text{state})$ 
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   $v \leftarrow -\infty$   
  for each  $a$  in  $\text{ACTIONS}(\text{state})$  do  
     $v \leftarrow \text{MAX}(v, \text{MIN-VALUE}(\text{RESULT}(s, a), \alpha, \beta))$   
    if  $v \geq \beta$  then return  $v$   
     $\alpha \leftarrow \text{MAX}(\alpha, v)$   
  return  $v$ 
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function MIN-VALUE(state,  $\alpha$ ,  $\beta$ ) returns a utility value  
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```

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   $v \leftarrow +\infty$   
  for each  $a$  in  $\text{ACTIONS}(\text{state})$  do  
     $v \leftarrow \text{MIN}(v, \text{MAX-VALUE}(\text{RESULT}(s, a), \alpha, \beta))$   
    if  $v \leq \alpha$  then return  $v$   
     $\beta \leftarrow \text{MIN}(\beta, v)$   
  return  $v$ 
```

For MAX node

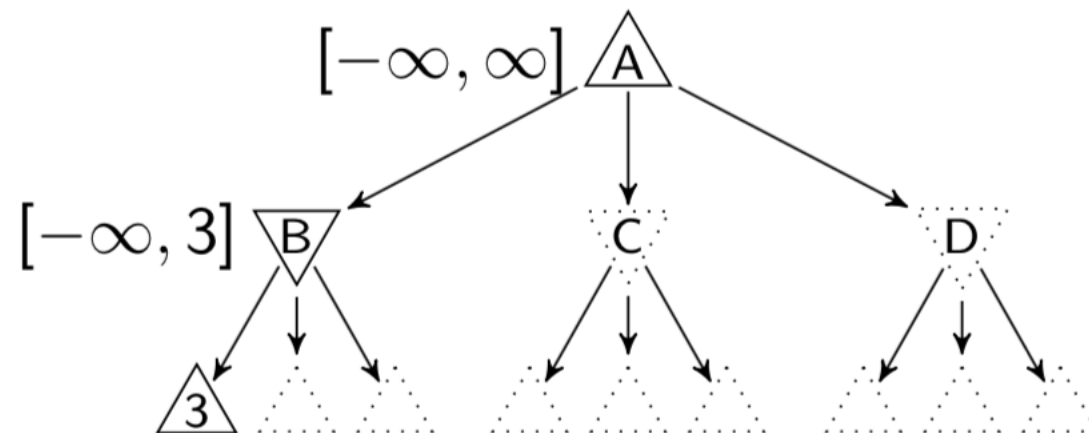
β is fixed as β_{parent}

v is used to update α initialized as α_{parent}

For MIN node

α is fixed as α_{parent}

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    if  $v \leq \alpha$  then return  $v$   
     $\beta \leftarrow \text{MIN}(\beta, v)$  ←  
  return  $v$ 
```

For MAX node

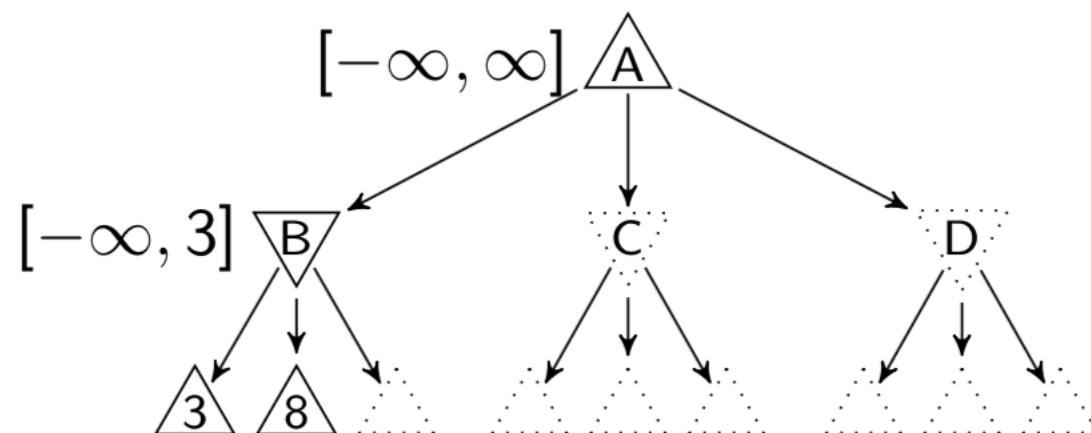
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For MIN node

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    if  $v \geq \beta$  then return  $v$   
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```

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    if  $v \leq \alpha$  then return  $v$   
     $\beta \leftarrow \text{MIN}(\beta, v)$  ←  
  return  $v$ 
```

For MAX node

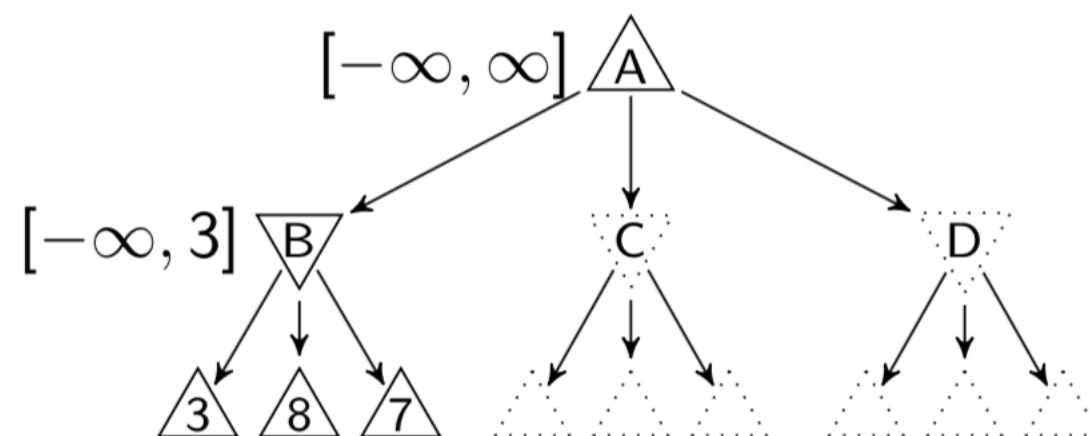
β is fixed as β_{parent}

v is used to update α initialized as α_{parent}

For MIN node

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    if  $v \leq \alpha$  then return  $v$   
     $\beta \leftarrow \text{MIN}(\beta, v)$   
  return  $v$ 
```

For MAX node

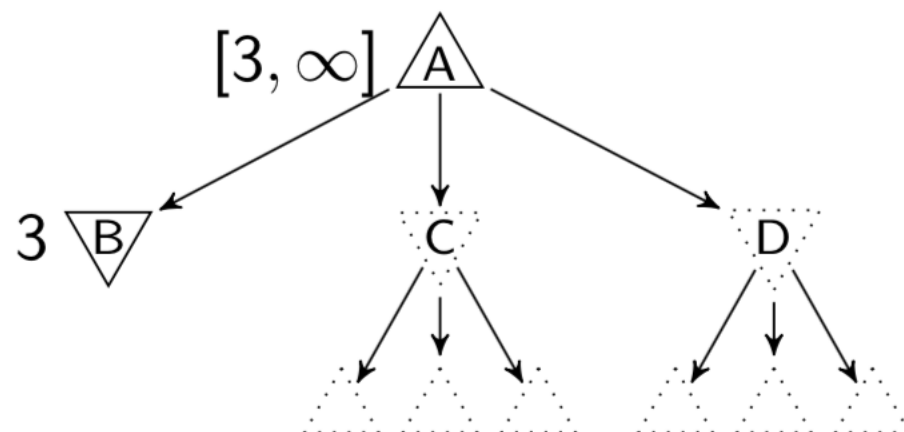
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    if  $v \leq \alpha$  then return  $v$   
     $\beta \leftarrow \text{MIN}(\beta, v)$   
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```

For MAX node

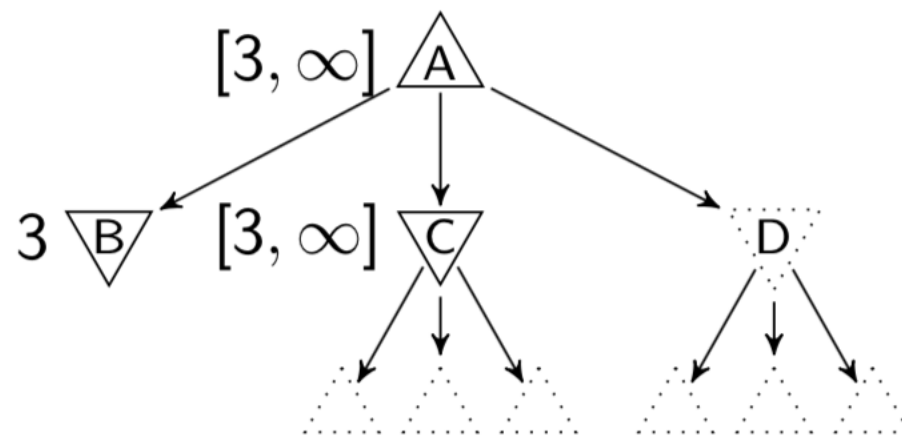
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    if  $v \leq \alpha$  then return  $v$  ←  
     $\beta \leftarrow \text{MIN}(\beta, v)$   
  return  $v$ 
```

For MAX node

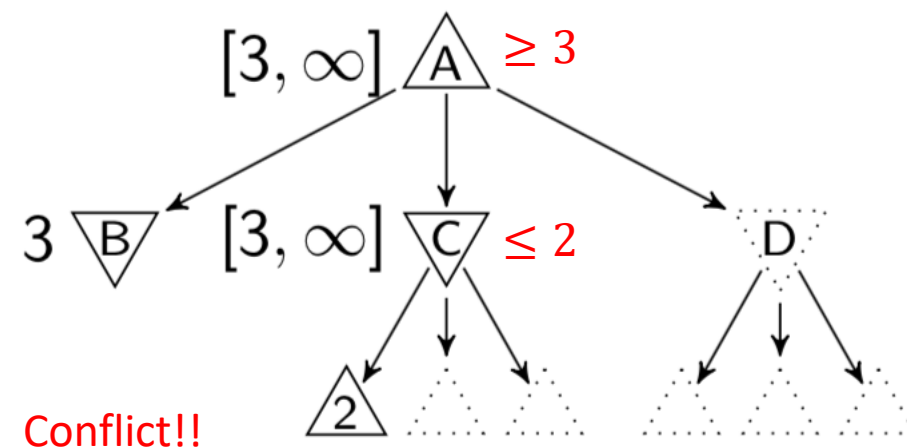
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```

```
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    if  $v \leq \alpha$  then return  $v$   
     $\beta \leftarrow \text{MIN}(\beta, v)$   
  return  $v$ 
```

For MAX node

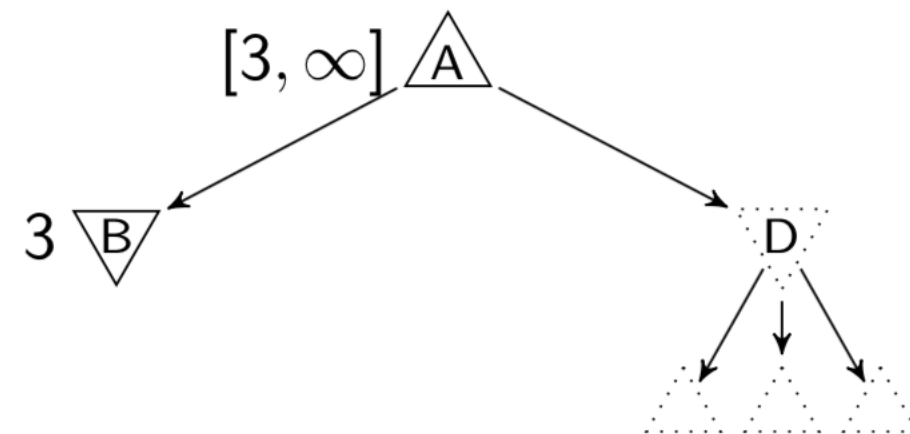
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For MAX node

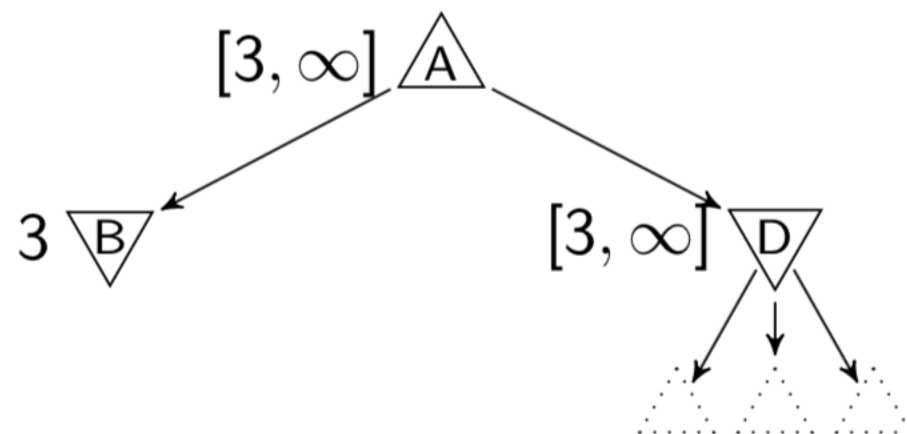
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For MAX node

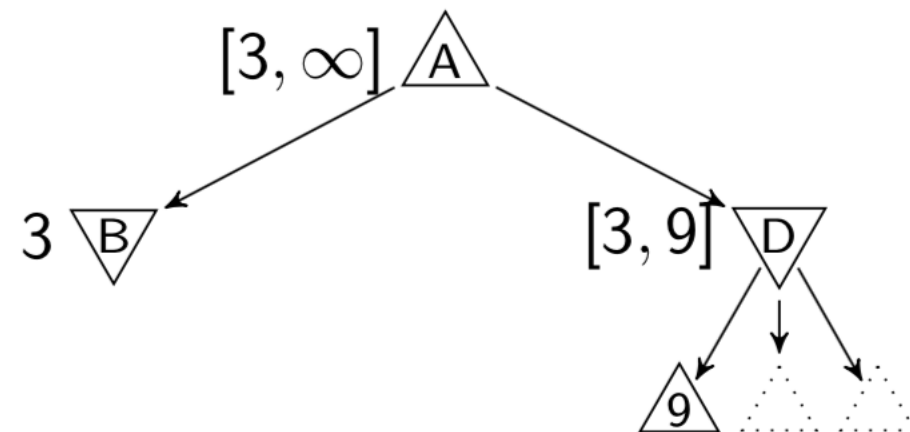
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For MAX node

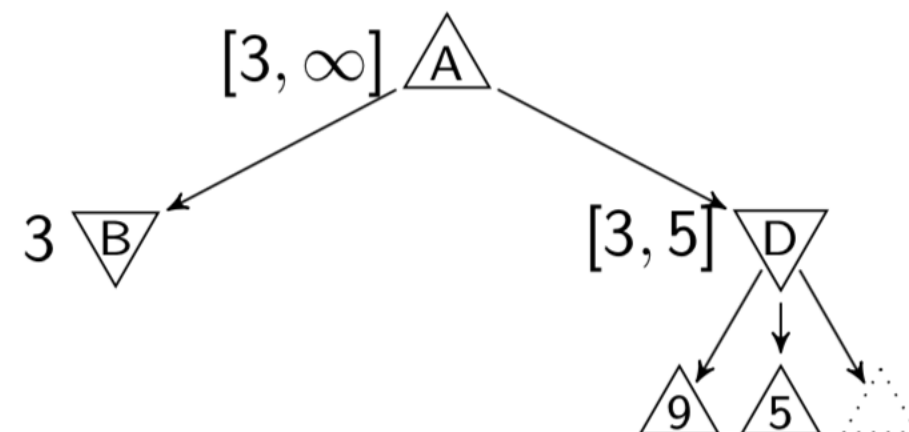
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For MAX node

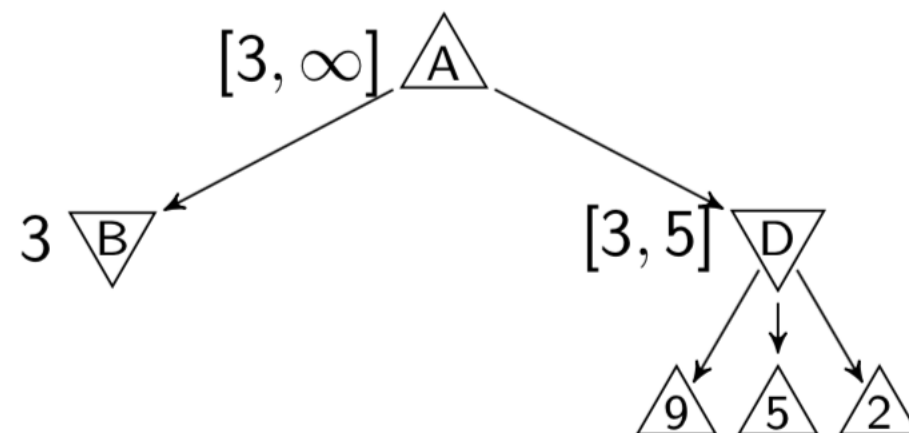
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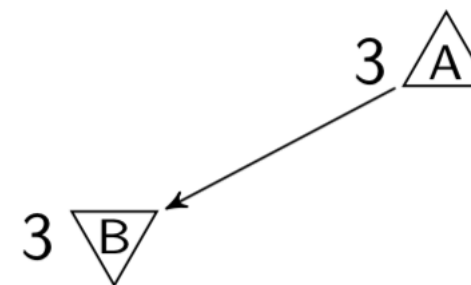
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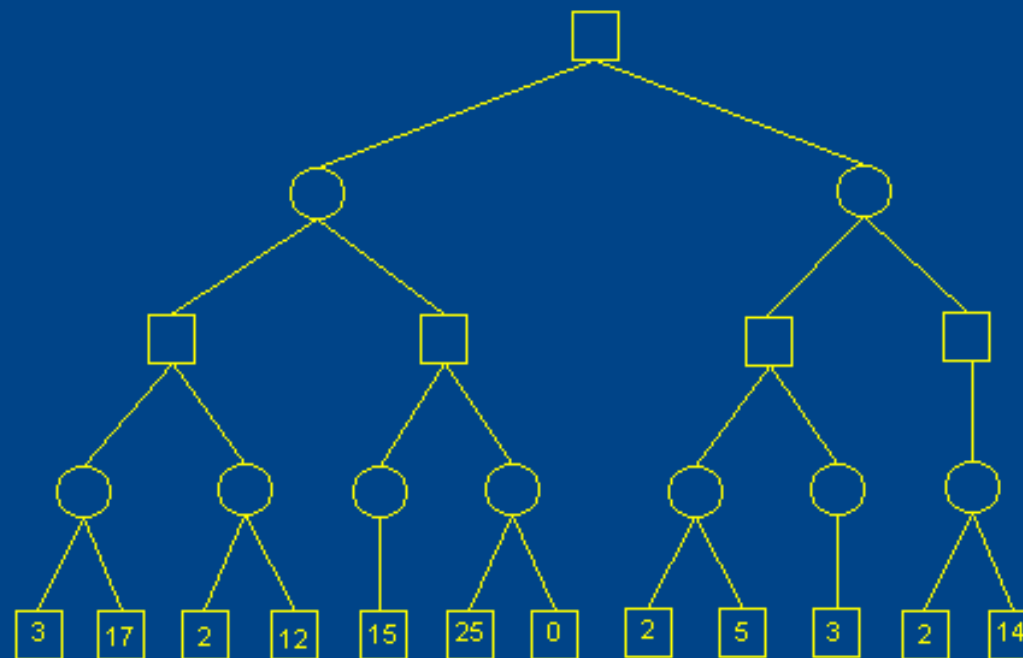
α is fixed as α_{parent}

v is used to update β initialized as β_{parent}

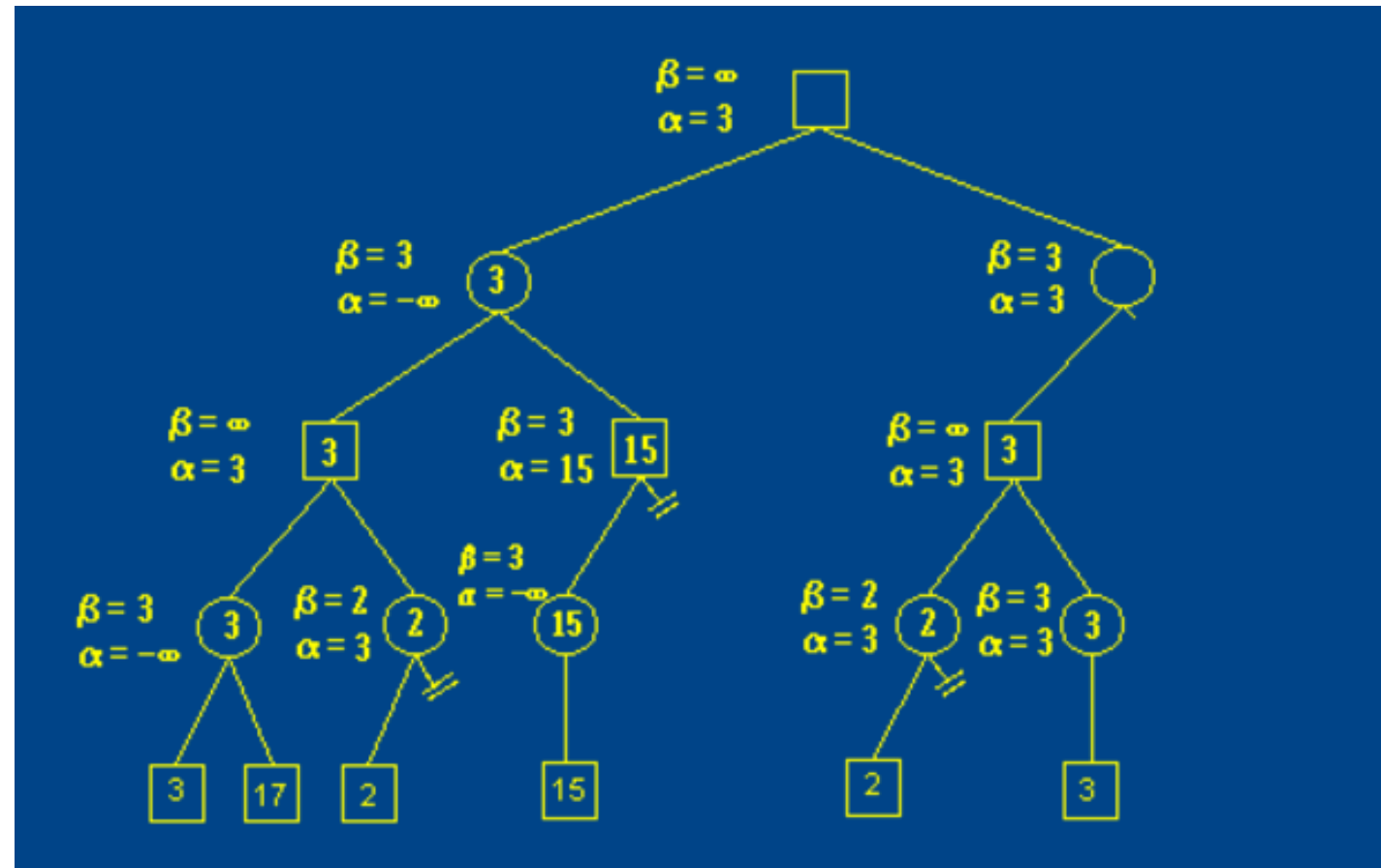


Square represents MAX node while circle stands for MIN node. For each test case, it contains two lines. The first line consists of two integers, the role of the root node (1 for MAX node and 0 for MIN node) and the depth of the tree. The second line is a nested list which stands for the game tree.

```
1 5
[[[3,17],[2,12]], [[15],[25,0]], [[2,5],[3]], [[2,14]]]
```



For each test case, the output should include two lines. The first line contains the result for minimax search. The second line should consist of **pruned nodes** in order.



3
12 25 0 5 2 14

```
rule, n = map(int, input().strip().split())
tree = eval(input().strip())
root_node = construct_tree(n-1, tree, rule)
print(get_value(root_node, float('-inf'), float('inf')))
# print out unvisited nodes
print(' '.join([str(node) for node in get_unvisited_nodes(root_node)]))
```

- **def** get_value(node, alpha, beta)
 - Choose which function to call
- **def** max_value(node, alpha, beta)
- **def** min_value(node, alpha, beta)

```
def construct_tree(n, tree, rule):  
    """Construct a tree using given information and return the root node.  
  
    Args:  
        n: int, the height of tree  
        tree: the input tree described with list nested structure  
        rule: int, root node's type, 1 for max, 0 for min  
  
    Returns:  
        root node  
  
    Hint: tree structure example  
        root_node:  
            rule: 1 (MAX node)  
            is_leaf: False  
            value: 5  
            visited: bool, visited or not  
            successor: [child1, child2, child3, ...]  
                and each child has similar structure of root_node  
    """  
    node = Node(rule=rule)  
    successors = []  
    if n == 1: # leaf  
        for t in tree:  
            successors.append(Node(rule=1-rule, is_leaf=True, value=t))  
    else: # sub-tree  
        for t in tree:  
            successors.append(construct_tree(n-1, t, 1-rule))  
    node.successor = successors  
    return node
```

```
class Node:  
    """Node of the tree.  
  
    Attributes:  
        rule: int, 0 or 1, 1 for MAX node and 0 for MIN node  
        successor: list of Node representing children of the current node  
        is_leaf: bool, whether the node is a leaf or not  
        value: value of the node  
        visited: bool, visited or not  
  
    Hint:  
        We use this class to construct a tree in construct_tree method.  
    """  
    def __init__(self, rule=0, successor=None, is_leaf=False, value=None):  
        if successor is None:  
            successor = []  
        self.rule = 'max' if rule == 1 else 'min'  
        self.successor = successor  
        self.is_leaf = is_leaf  
        self.value = value  
        self.visited = False  
  
    def get_unvisited_nodes(node):  
        """Get unvisited nodes for the tree.  
  
    Args:  
        node: class Node object, root node of the current tree (or leaf)  
  
    Returns:  
        float list of values of the unvisited nodes.  
    """  
        unvisited = []  
        if node.successor:  
            for successor in node.successor:  
                unvisited += get_unvisited_nodes(successor)  
        else:  
            if not node.visited:  
                unvisited.append(node.value)  
        return unvisited
```

- Implement the following 3 functions:
 - **def** get_value(node, alpha, beta)
 - Choose which function to call
 - **def** max_value(node, alpha, beta)
 - **def** min_value(node, alpha, beta)

- Gomoku
 - Final project
- Alpha-Beta Pruning
 - Submit in class via OJ
- Constraint Satisfaction Problems
 - Take home as an assignment (Project 2)

- You need to submit your own version of code.
- You are encouraged to discuss with your group members. It might take some time to get familiar with all the supportive codes.
- **Homework 2 is due on Nov 18rd, Wednesday, 11:55pm, 2020.**