

# CORPORATION LICENSE APPLICATION

SS 201 (Rev. 10/22)

DATE RECEIVED DATE

## GENERAL INFORMATION

> This application may be used to license:

- > A corporation with a designated broker-officer,
- > An additional broker-officer with a currently licensed corporation, OR
- > A new officer as a substitute (replacement) for the existing designated broker-officer.

> Read Sections III and IV before completing this application.

> This application must be completed and signed on form 26 and 30 by the broker-officer applicant.

- > A Certificate of Status or Articles of Incorporation and the appropriate fee must accompany this form. Refer to Section IV.
- > Any changes (addition, DRA, etc.) to a currently licensed corporation must be submitted on a Corporation Change Application (SS 296A).

## SECTION I — CORPORATION INFORMATION

1A. DOES THIS CORPORATION CURRENTLY HOLD AN ACTIVE LICENSE TO REAL ESTATE, JOINTLY IN CALIFORNIA?

☐ YES IF YES, COMPLETE ITEMS 1B-1E. ☒ NO IF NO, COMPLETE ITEMS 1A-1E

1B. THE CORPORATION'S CURRENT ADDRESS:

1C. CORPORATION TYPE

1D. CORPORATION NAME (PRINT NAME AS IT APPEARS ON CERTIFICATE OF STATUS, OR PART 1 OF ARTICLES OF INCORPORATION)

The Young Group

1E. CORPORATION IDENTIFICATION NUMBER (SEE INSTRUCTIONS FOR IDENTIFICATION NUMBER)

3392430

1F. FEDERAL TAXPAYER IDENTIFICATION NUMBER (REQUIRED - SEE INSTRUCTIONS)

45-2748371

1G. HOW MANY OFFICES (PRINT NUMBER OF EACH OFFICE CITY)

1805 Acanto Place

1H. CITY

Los Angeles

1I. STATE

CA

1J. ZIP CODE

90043

1K. FULL OFFICE ADDRESS (PRINT ADDRESS - MUST BE A PHYSICAL ADDRESS)

5016 N. Parkway Calabasas

1L. CITY

Calabasas, CA

1M. STATE

CA

1N. ZIP CODE

91302

1O. FURTHER ADDRESS (IF ANY - PRINT ADDRESS OF THE FURTHER ADDRESS) (SEE INSTRUCTIONS FOR FURTHER ADDRESS)

N/A

## SECTION II — BROKER-OFFICER INFORMATION

1A. DO YOU CURRENTLY HOLD A REAL ESTATE LICENSE IN ANY OTHER STATE OR JURISDICTION?

☒ YES IF YES, COMPLETE ITEMS 1B-1E. ☐ NO IF NO, COMPLETE ITEMS 1A-1E

1B. LICENSE IDENTIFICATION NUMBER

01371572

1C. TYPE OF LICENSE

Broker

1D. EXPIRATION DATE

08/15/2026

1E. NAME OF BROKER-OFFICER (PRINT FULL NAME)

Young, David J.

1F. SOCIAL SECURITY NUMBER (DO NOT PRINT SOCIAL SECURITY NUMBER)

460-81-3834

1G. BIRTH DATE (MM/DD/YYYY)

02/02/1977

1H. CORPORATION OFFICER TITLE

1I. TYPE OF BROKER-OFFICER LICENSE REQUEST (SEE INSTRUCTIONS FOR SECTION II, PAGE 2)

☒ DESIGNATED BROKER-OFFICER

☐ ADDITIONAL BROKER-OFFICER (SEE INSTRUCTIONS)

☐ MAINTENANCE OF CURRENT DESIGNATED BROKER-OFFICER (SEE INSTRUCTIONS, PAGE 2)

## DRE USE ONLY

1A. LICENSE TYPE

☐ CORPORATION

☐ OFFICER

1B. LICENSE TYPE

☐ BROKER

☐ NOT INDICATED

1C. FLS CLEARANCE

1D. LICENSE

1E. CLASSIFICATION

☐ SA

☐ RE

1F. SA/RE

☐ SA

☐ RE

1G. NOTES

1H. DATE OF BIRTH

1I. PLACE OF BIRTH (CITY AND STATE)

1J. LICENSE EFFECTIVE DATE

1K. LICENSE EXPIRATION DATE

## BROKER-OFFICER INFORMATION (CONTINUED)

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

☒ Yes ☐ No. If No, then a consent to service of process one that must also be completed. [Return to the top of the page](#)

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THE AUTHOR WILL PROVIDE A SUMMARY OF THE U.S. AIR FORCE

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(310) 954-7772

14. (a)  $\frac{1}{2} \ln 2$  (b)  $\frac{1}{2} \ln 2$  (c)  $\frac{1}{2} \ln 2$  (d)  $\frac{1}{2} \ln 2$  (e)  $\frac{1}{2} \ln 2$  (f)  $\frac{1}{2} \ln 2$  (g)  $\frac{1}{2} \ln 2$  (h)  $\frac{1}{2} \ln 2$  (i)  $\frac{1}{2} \ln 2$  (j)  $\frac{1}{2} \ln 2$  (k)  $\frac{1}{2} \ln 2$  (l)  $\frac{1}{2} \ln 2$  (m)  $\frac{1}{2} \ln 2$  (n)  $\frac{1}{2} \ln 2$  (o)  $\frac{1}{2} \ln 2$  (p)  $\frac{1}{2} \ln 2$  (q)  $\frac{1}{2} \ln 2$  (r)  $\frac{1}{2} \ln 2$  (s)  $\frac{1}{2} \ln 2$  (t)  $\frac{1}{2} \ln 2$  (u)  $\frac{1}{2} \ln 2$  (v)  $\frac{1}{2} \ln 2$  (w)  $\frac{1}{2} \ln 2$  (x)  $\frac{1}{2} \ln 2$  (y)  $\frac{1}{2} \ln 2$  (z)  $\frac{1}{2} \ln 2$

dyouno@orange10homebans.com

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(310) 595-7772

10. <http://www.pearsoned.com>

(310) 954-7772

44. HANSEN, C. D. 1980. THE OTHER HALF OF THE EQUATION. P. 177-178.

IF YOU'VE JUST HAD A DREAM: \_\_\_\_\_

## BACKGROUND INFORMATION

Carefully read and provide detailed answers to questions 25-32. You must provide a "yes" or "no" response to all questions, and completely and accurately provide the detailed information requested. Attach additional sheets if you need more space. Each additional sheet must be signed by the applicant. Failure to disclose pertinent information may result in denial of your license application and/or felony.

20. HAVE YOU EVER HAD A DENIED, SUSPENDED, RESTRICTED OR REVOKED BUSINESS OR PROFESSIONAL LICENSE (INCLUDING REAL ESTATE) IN CALIFORNIA OR ANY OTHER STATE? IF YES, COMPLETE ITEM 21.

2222 LIU ET AL.

24. ARE THERE ANY LICENSE DISCIPLINARY ACTIONS PENDING AGAINST A BUSINESS OR PROFESSIONAL LICENSE YOU HOLD AT THIS TIME? IF YES, COMPLETE ITEM 24.....

日期: 2014年11月11日

III. HAVE YOU EVER BEEN REQUIRED TO REGISTER AS A SEX OFFENDER PURSUANT TO THE PROVISIONS OF SECTION 262 OF THE CALIFORNIA PENAL CODE OR ANY COMPARABLE LAW OF ANY STATE OR GOVERNMENTAL ENTITY? IF YES, COMPLETE ITEM 25.

2178 JIN ET AL.

13. HAVE YOU EVER BEEN ORDERED TO CEASE, DESIST AND/OR REFRAIN FROM DOING AN ACTION OR FROM VIOLATING A LAW, RULE OR REGULATION BY, OR CITED FOR A BREACH OF ETHICS OR UNPROFESSIONAL CONDUCT, BY AN ADMINISTRATIVE AGENCY OR PROFESSIONAL ASSOCIATION IN CALIFORNIA OR ANY OTHER STATE? IF YES, COMPLETE IT HERE: 36-05

2178 JIN ET AL.

16. DETAIL TO EXPLANATION OF ITEM NO. 01 AUGUST 20, IF NOTED, FOR ALL OTHERS WILL BE PROVIDED BELOW.

**Prof. TERRY DE LUCA**

DOI: 10.1002/for

2002 LICENSE INFORMATION

2002-2003

**msc** **with** **standard** **abi**

**THE NEW YORK TIMES**

#### THE 2008 ACTION PLAN

NEW JERSEY BRITISH POLYMER ASSOC.

25. ADDITIONAL INFORMATION: SPECIFY WHICH LINES YOU ARE REQUESTING TO ATTACH EXTRA SHEETS IF MORE ROOM IS NEEDED. EACH ADDITIONAL SHEET MUST BE SIGNED BY APPLICANT.

➤ **Note: Broker-Officer Applicant should review pages 1 and 2 of this document prior to proceeding.**

#### OFFICER CERTIFICATION

I certify under penalty of perjury that I am an official corporate officer, and that the answers and statements given in this application are true and correct. I also certify that when the license is issued I will be 18 years of age or older, and that if licensed I will not violate any provisions of the Real Estate Law nor abuse the privileges of a real estate license. I understand that the license issued upon this application entitles the applicant to act only for this corporation and not in an individual capacity. I understand that if I fail to qualify for a license for any reason or withdraw this application, the Department of Real Estate cannot refund the fees submitted with this application.

I hereby grant to the Real Estate Commissioner of the State of California and his authorized Department of Real Estate representatives, pursuant to Business and Professions Code 10143 and 10144, the authority to examine the financial records of any trust fund account maintained by this corporation in a financial institution, wherever located, for any period of time in which I held a real estate license or have the right to renewal of such real estate license or which relates to a transaction which occurred when I held such a real estate license. I understand that the information to be obtained from the financial records of the corporation trust fund account(s) is for the purpose of aiding the Commissioner in his statutory duty to enforce the provisions of the Real Estate Law.

I am aware of the provisions of Section 1700 of the Labor Code which requires every employer to be insured against liability for workers' compensation.

I understand that it is my obligation to notify the Department upon licensure within 30 days in writing or by filing from RE 250 of any conviction, criminal complaint, indictment or indictment charging a felony, or disciplinary action taken by another licensing entity or authority of this state or an agency of the federal government pursuant to Business and Professions Code Section 10180.2. I also understand that the Department may delay my renewal and all the expiration date of my license pursuant to BAP Section 10177.

I, <u>DAVID YOUNG</u> , hereby certify that I am an official corporate officer of the corporation named above and that I am not under any legal disability or restriction from acting as an officer of the corporation.	EX. 10177 12-5-22
---	----------------------

\* EXEMPT signature not required for California Uniform Transmittal Form (UT-T) - Code section 1002.1 (b)(2)

25. PRINT NAME OF OFFICER

David Young

## SECTION III — REGULATION 2748

**Corporate Asset Details, Officers, Directors and Shareholders**

- (a) At the time of application for, or in the reinstatement of, an original real estate broker license, the designated officer shall file a background statement of information for each director, the chief executive officer, the president, first and vice presidents, secretary, chief financial officer, chief compliance officer with responsibility for licensing policy of the corporation and all natural persons owning or controlling more than ten percent of the shares. If each person has been the subject of any of the following:
- Sanctioned order or judgment issued by a state or governmental agency during the preceding 10 years (especially as personally restraining or enjoining any business conduct, practice or employment);
  - Has had a license to engage in or practice real estate or other regulated profession, occupation or vocation denied, suspended or revoked during the preceding 10 years;
  - Engaged in acts requiring a real estate license of any state without the benefit of a valid license or permit authorizing that conduct during the preceding 10 years which have been rejected by a court of law or administrative tribunal;
  - Have committed of a crime which is substantially related to the qualifications, functions or duties of a licensee of the Department as specified in Section 2013 of these Regulations (including drunk driving, negligent driving and speeding violations);
- (b) The background statement shall be on Form 1000-112 and shall require only about the information to be disclosed pursuant to subdivision (a). The background statement must be verified and completed by each corporate officer, director or shareholder as stated in subdivision (a) to the best extent of the signatory's actual knowledge.
- (c) Whenever there is a change in the person whose background statements are required to be so file with the Department for a corporate license pursuant to subdivision (a) or as addition to the persons required to file separately pursuant to subdivision (a), the designated officer of the corporate shell, within 30 days thereafter file with the Department a background statement of information for each new or changed person.

Share: Authority cited: Section 11881, Statutes and Regulations Code. Reference: Section 11112, Statutes and Regulations Code.

**CERTIFICATION**

I certify that I have read and understood the provisions described above. (NOTE: Failure to check one of the boxes below will delay the processing of your license application.)

- ☐ I also certify that a Corporate Background Statement (RE 212) is not needed for any officers or persons owning or controlling more than ten percent of the corporation shares (including myself).
- ☐ A completed Corporate Background Statement(s) (RE 212) is attached for each officer, director or shareholder with a reportable item under Regulation 2748.

RE CORPORATION SHEET

10. SIGNATURE OF BROKER/REALTOR/APP. (Must be original OR ELECTRONIC SIGNATURE)

78

P. DATE

12-5-22

\* Do not sign this document for California Dealer Transactions until 24 hours after the 2021 filing.



## CREDIT CARD PAYMENT

RE 909 (Rev. 10/09)

### GENERAL INFORMATION

- Fees may be charged to your VISA, MasterCard, American Express, or Discover card.
- Credit card information must be mailed with application forms.
- Forms and a variety of other information may also be obtained by visiting DRE's Web site at: [www.dre.ca.gov](http://www.dre.ca.gov).

#### Exam or Combined Exam and License Application Fee

Complete the information requested below and mail to DRE with your exam or combined simultaneous application and supporting documents.

- Salesperson Examination Application (RE 400A)
- Broker Examination Application (RE 400B)
- Salesperson Exam/License Application (RE 437)
- Broker Exam/License Application (RE 436)

#### Original (sales, broker, or corporation) License Application Fee

Complete the information requested below and mail to DRE with your license application and supporting documents.

- Salesperson License Application (RE 207)
- Broker License Application (RE 204)
- Corporation License Application (RE 201)

#### Renewal (sales, broker, or officer) Application Fee

Complete the information requested below and mail to DRE with your renewal application and supporting documents.

- Salesperson Renewal Application (RE 209)
- Broker Renewal Application (RE 206)
- Officer Renewal Application (RE 207)

#### Support Obligor

Complete the Support Obligor Fee Notice (RE 208) and mail it to DRE.

#### Prepaid Rental Listing Service (PRLS)

Complete the information below and mail to DRE with your PRLS application and supporting documents.

#### License Histories

For certified license histories (license requirements for other states) or license history certifications (for legal purposes) complete the information requested below and mail to DRE with your Certified License History Request (RE 259) or written request.

#### Petition Application Fee

Complete the information requested below and mail to DRE with the Petition Application (RE 506) and supporting documents.

(General Information continued on page 2)

### CREDIT CARD INFORMATION

- When charging fees to your VISA, MasterCard (MC), Discover Card (DC), or American Express (AE) for the following information must be completed and submitted with the appropriate form(s):

METHOD OF PAYMENT (CHECK ONE) <input type="checkbox"/> VISA <input type="checkbox"/> MC <input type="checkbox"/> DC <input checked="" type="checkbox"/> AE		CARD NUMBER 1 7 1 2 7 2 4 4 8 4 1 4 4 5 0 9	EXPIRATION DATE 09/2015	ZIP CODE OF CARDHOLDER 90015	DRE Fee Type APPLICATION FEE
CARDHOLDER NAME (PLEASE PRINT) David J. Young		SIGNATURE OF CARDHOLDER 			TRANSACTION DATE SEPTEMBER 4
PURPOSE OF TRANSACTION: <input type="checkbox"/> EXAM/LICENSE FEE <input type="checkbox"/> MORTGAGE LOAN ADVERTISING <input type="checkbox"/> EDUCATION COURSE APPROVAL <input type="checkbox"/> _____		<input checked="" type="checkbox"/> ORIGINAL LICENSE <input type="checkbox"/> SUDEN VISA PLANS <input type="checkbox"/> PETITION FEE			<input type="checkbox"/> RENEWAL LICENSE <input type="checkbox"/> SUPPORT OBLIGOR FEE <input type="checkbox"/> PRLS
LICENSEE INFORMATION (IF APPLICABLE) <input type="checkbox"/> INDIVIDUAL <input type="checkbox"/> CORPORATION/OTHER		YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>		ALLEGEDLY - SEE FILE # IF APPLICABLE	
<b>CERTIFICATION</b> I hereby certify that I understand that the fee provided is owed and earned upon receipt (R&P Code Section 10307).		SIGNATURE > PRINTED NAME (LAST, FIRST & MIDDLE) Young, David J. ADDRESS (ADDRESS) 1805 Aquatic Plaza Los Angeles, CA 90049		DATE 12/5/2012 DAY/TIME TELEPHONE NUMBER ( 310 ) 954-7772	

**Subdivision Filings**

Complete the information requested below and mail with supporting documents to the appropriate Subdivision's Office. Refer to Subdivision Filing Fee (RE 901) for public report application filing fee.

**Education Course Approval Fee (CE & Pre-License)**

Complete the information requested below and mail to DRE with the appropriate application and supporting documents.

Pre-License Course Approval Application (RE 300)

Continuing Education Offering Approval Application (RE 315)

CE Offering Renewal Application (RE 314)

CE Equivalent Activity Petition Information (RE 322)

**PRIVACY NOTICE:** Section 179A.17 of the Civil Code requires this notice be provided when collecting personal or confidential information from individuals. Such individual has the right to know personal information maintained by this agency, unless access is restricted by law. Government Code Sections 5492 and 5493 authorize the maintenance of this information. All information is voluntary; however, failure to provide requested information may cause your credit and payment request to be delayed. The information requested in this form is used to verify the authenticity of the credit and your visit to use to pay for DRE transaction services fees. There are no known or reasonably foreseeable or substantial benefits of this information. For more information or access to this notice, please contact the Field Office at (714) 774-8100 or you may write to Department of Real Estate, 1515 Executive Blvd., Sacramento, CA 95833.



## Secretary of State Certificate of Status

I, SHIRLEY N. WEBER, PH.D., California Secretary of State, hereby certify:

Entity Name:	THE YOUNG GROUP, INC.
Entity No.:	3392430
Registration Date:	06/24/2011
Entity Type:	Stock Corporation - CA - General
Formed In:	CALIFORNIA
Status:	Active

The above referenced entity is active on the Secretary of State's records and is authorized to exercise all its powers, rights and privileges in California.

This certificate relates to the status of the entity on the Secretary of State's records as of the date of this certificate and does not reflect documents that are pending review or other events that may impact status.

No information is available from this office regarding the financial condition, status of licenses, if any, business activities or practices of the entity.



IN WITNESS WHEREOF, I execute this certificate and affix the Great Seal of the State of California this day of December 06, 2022.

SHIRLEY N. WEBER, PH.D.  
Secretary of State

Certificate No.: 063974434

To verify the issuance of this Certificate, use the Certificate No. above with the Secretary of State Certification Verification Search available at [bizfileOnline.sos.ca.gov](http://bizfileOnline.sos.ca.gov).

# CORPORATION LICENSE APPLICATION

RE 201 (Rev. 4/19)

SEE INSTRUCTIONS

## GENERAL INFORMATION

> This application may be used as follows:

- > A corporation with a designated broker-officer.
- > An additional broker-officer with a new or currently licensed corporation, OR
- > A new officer as a substitute (replacement) for the existing designated broker-officer.

> Read Sections III and IV before completing this application.

> This application must be completed and signed on lines 30 and 34 by the broker-officer applicant.

- > A Certificate of Status or Articles of Incorporation and the appropriate fee must accompany this form. Refer to Section IV.
- > Any change (address, DBA, etc.) to a currently licensed corporation is a Substitution of Corporate Change Application (RE 204A).

## SECTION I — CORPORATION INFORMATION

1. IS THIS CORPORATION CURRENTLY IN GOOD STANDING WITH THE CALIFORNIA REAL ESTATE BOARD IN COMPLIANCE?

☐ YES IF YES, COMPLETE ITEMS 15-40 ☒ NO IF NO, COMPLETE ITEMS 21-40

15. FIRM CORPORATE OFFICE ADDRESS

16. FIRM PHONE NUMBER

21. CORPORATION NAME (FIRM NAME) AS APPEARS ON CERTIFICATE OF STATUS, CORRECT LAST FIVE CHARACTERS:

The Young Group, Inc.

22. CALIFORNIA REAL ESTATE BOARD LICENSE NUMBER (FIRM'S)

3392430

23. FIRM TAXPAYER IDENTIFICATION NUMBER (ASSIGNED BY IRS) (SEE INSTRUCTIONS)

45-2748371

24. FIRM ADDRESS (FIRM ADDRESS OR HOME OFFICE MAIL)

1135 S. Hayworth Ave

25. CITY

Los Angeles

26. STATE

CA

27. ZIP CODE

90035

28. MAILING ADDRESS (FIRM ADDRESS OR MAILING ADDRESS IF DIFFERENT)

1135 S. Hayworth Ave

29. CITY

Los Angeles

30. STATE

CA

31. ZIP CODE

90053

32. FIRM'S BUSINESS NAME (FIRM'S OFFICIAL NAME OF THE FIRM'S BUSINESS NAME (FIRM'S) AS LISTED WITH THE COUNTY CLERK)

## SECTION II — BROKER-OFFICER INFORMATION

33. DO YOU CURRENTLY HOLD OR HAVE YOU EVER HELD A REAL ESTATE LICENSE IN CALIFORNIA?

☒ YES IF YES, COMPLETE ITEMS 35-38 ☐ NO IF NO, COMPLETE ITEMS 39-40

35. LICENSE IDENTIFICATION NUMBER

01371572

36. TYPE OF LICENSE

Real Estate Brokers

37. EXPIRATION DATE OF LICENSE

02/27/2022

38. NAME OF BROKER-OFFICER (LAST, FIRST & MIDDLE)

David Jaime Young

39. SOCIAL SECURITY NUMBER OR ADDRESS CURRENT EMPLOYER

550-01-3034

40. BIRTH DATE (MM/DD/YYYY)

02/02/1977

41. TYPE OF BROKER-OFFICER (CHECK ALL THAT APPLY) (SEE INSTRUCTIONS)

- ☒ DESIGNATED BROKER-OFFICER
- ☐ ADDITIONAL BROKER-OFFICER (FIRM'S FIRM)
- ☐ SUBSTITUTION OF CURRENT DESIGNATED BROKER-OFFICER (FIRM'S FIRM)

## DRE USE ONLY

42. BROKER-OFFICER

☐ Corporation

☐ Other

43. BROKER-OFFICER

☐ Account

☐ Not Listed

44. CLEARANCE

☐ Yes

☐ No

45. ROUTE

46. CHANGE FACTS

☐ Yes

☐ No

47. RECORD

☐ Yes

☐ No

48. NOTES

49. SIGNATURE

50. RECEIVED & DATE PROCESSED

51. LICENSE #

SHANE HUNTER  
818 448 3326



## BROKER-OFFICER INFORMATION (CONTINUED)

15. DO YOU RESIDE IN THE STATE OF CALIFORNIA?

☒ YES ☐ NO IF NO, THEN A CONSENT TO SERVICE OF PROCESS (SOS) MUST ALSO BE COMPLETED. (Refer to SOS form on page 6)

16. ARE YOU CURRENTLY SERVING IN THE U.S. ARMY?

☐ YES ☒ NO

17. ARE YOU CURRENTLY SERVING IN THE U.S. NAVY?

☐ YES ☒ NO

18. IF YES, WHERE DO YOU CURRENTLY SERVE?

☐ YES ☐ NO (If yes, please specify location)

19. CURRENT PHONE NUMBER (RESIDENT)

(310) 9547772

20. CURRENT EMAIL ADDRESS (WORKING - PLEASE CLARIFY)

dyoung@onehalionhome loans.com

21. BUSINESS TELEPHONE NUMBER

(310) 9547772

22. BUSINESS CELL PHONE NUMBER

(310) 9547772

23. HAVE YOU EVER BEEN ARRESTED FOR ANY CRIME (e.g., MARIJUANA, DWI, ETC.)?

☐ YES

IF YES, LIST NAME(S) HERE:

☒ NO

24. GENDER

☒ MALE ☐ FEMALE

## BACKGROUND INFORMATION

Carefully read and provide detailed answers to questions 25-36. You must provide a "yes" or "no" response to all questions, and completely and accurately provide the detailed information requested. Attach additional sheets if you need more space. Each additional sheet must be signed by the applicant. Failure to disclose pertinent information may result in denial of your license application and/or fines.

"Convicted" as used in question 25 below includes:

- All state, commonwealth, possession, or federal misdemeanor and felony convictions, and all military and foreign convictions.
- A verdict of guilty by judge or jury, a plea of guilty, a plea of nolo contendere (or "no contest"), or a forfeiture of bail in the courts.
- Convictions expunged under Penal Code sections 1203.4, 1203.4a and 1203.41 or equivalent non-California statute must still be disclosed. Proof that a conviction has been expunged under Penal Code sections 1203.4, 1203.4a and 1203.41 must be submitted with the application.
- Convictions must be disclosed no matter how long ago they occurred and whether the convicted person was a minor (under 18 years of age, if tried as an adult).

Exceptions to "Convicted": DO NOT DISCLOSE

- Any Juvenile Court adjudication.
  - Any conviction sealed under Penal Code section 1203.4b or Welfare and Institutions Code section 261.
  - Any conviction under Health and Safety Code section 11367(b), (c), (d), or (e) or (45) or Health and Safety Code section 11360(d).
- AFTER at least two years have passed since the date of the conviction.

25. HAVE YOU EVER BEEN CONVICTED (SEE PARAGRAPH ABOVE) OF ANY VIOLATION OF THE LAW AT THE MISDEMEANOR OR FELONY LEVEL? IF YES, COMPLETE ITEM 27 WITH INFORMATION ON EACH CONVICTION.

☒ YES☐ NO

26. ARE THERE CRIMINAL CHARGES PENDING AGAINST YOU AT THIS TIME, OR ARE YOU CURRENTLY AWAITING JUDGMENT AND SENTENCING FOLLOWING ENTRY OF A PLEA OR JURY VERDICT? IF YES, COMPLETE ITEM 27.

☐ YES☒ NO

27. HAVE YOU EVER HAD A LICENSE, SUSPENDED, RESTRICTED OR REVOKED BUSINESS OR PROFESSIONAL LICENSE (INCLUDING REAL ESTATE), IN CALIFORNIA OR ANY OTHER STATE? IF YES, COMPLETE ITEM 28.

☒ YES☐ NO

28. ARE THERE ANY LICENSE DISCIPLINARY ACTIONS PENDING AGAINST A BUSINESS OR PROFESSIONAL LICENSE YOU HOLD AT THIS TIME? IF YES, COMPLETE ITEM 28.

☐ YES☒ NO

29. HAVE YOU EVER BEEN RECORDED TO REGISTER AS A SEX OFFENDER PURSUANT TO THE PROVISIONS OF SECTION 261 OF THE CALIFORNIA PENAL CODE OR ANY COMPARABLE LAW OF ANY STATE OR GOVERNMENTAL ENTITY? IF YES, COMPLETE ITEM 29.

☐ YES☒ NO

30. HAVE YOU EVER BEEN ORDERED TO CEASE, DESIST AND/OR REFRAIN FROM DOING AN ACT(S), OR FROM VIOLATING A LAW, RULE OR REGULATION BY, OR CITED FOR A BREACH OF ETHICS OR UNPROFESSIONAL CONDUCT, BY AN ADMINISTRATIVE AGENCY OR PROFESSIONAL ASSOCIATION IN CALIFORNIA OR ANY OTHER STATE? IF YES, COMPLETE ITEMS 29-30.

☐ YES☒ NO

## CONVICTION DETAILS

27. DETAILED EXPLANATION OF ITEM 25 AND/OR 26.

COMPLETE ONE LINE FOR EACH VIOLATION AND PROVIDE EXPLANATION BELOW IF YOU ARE UNABLE TO PROVIDE THIS INFORMATION. PROVIDE ALL THE REQUESTED INFORMATION YOU CAN OBTAIN WITH AN EXPLANATION FOR THE MISSING INFORMATION. IF THE CONVICTION STATUS HAS BEEN SUBSEQUENTLY CHANGED OR REVOKED, NOTE THAT FACT IN THE AREA PROVIDED FOR ADDITIONAL INFORMATION. ATTACHMENTS FOR ADDITIONAL INFORMATION SHOULD SPECIFY WHICH LINE YOU ARE REFERENCING TO. EACH ADDITIONAL SHEET MUST BE SIGNED BY APPLICANT.

\*2008 SECTION VIOLATED (e.g., 11367(b), 11367(c), 11367(d), 11367(e), 11367(f), 11367(g), 11367(h), 11367(i), 11367(j), 11367(k), 11367(l), 11367(m), 11367(n), 11367(o), 11367(p), 11367(q), 11367(r), 11367(s), 11367(t), 11367(u), 11367(v), 11367(w), 11367(x), 11367(y), 11367(z), 11367(aa), 11367(ab), 11367(ac), 11367(ad), 11367(ae), 11367(af), 11367(ag), 11367(ah), 11367(ai), 11367(aj), 11367(ak), 11367(al), 11367(am), 11367(an), 11367(ao), 11367(ap), 11367(aq), 11367(ar), 11367(as), 11367(at), 11367(au), 11367(av), 11367(aw), 11367(ax), 11367(ay), 11367(az), 11367(ba), 11367(bb), 11367(bc), 11367(bd), 11367(be), 11367(bf), 11367(bg), 11367(bh), 11367(bi), 11367(bj), 11367(bk), 11367(bl), 11367(bm), 11367(bn), 11367(bo), 11367(bp), 11367(bq), 11367(br), 11367(bs), 11367(bt), 11367(bu), 11367(bv), 11367(bw), 11367(bx), 11367(by), 11367(bz), 11367(ca), 11367(cb), 11367(cc), 11367(cd), 11367(ce), 11367(cf), 11367(cf), 11367(ch), 11367(ci), 11367(cj), 11367(ck), 11367(cl), 11367(cm), 11367(cn), 11367(co), 11367(cp), 11367(cq), 11367(cr), 11367(cs), 11367(ct), 11367(ct), 11367(cu), 11367(cv), 11367(cw), 11367(cx), 11367(cy), 11367(cz), 11367(da), 11367(db), 11367(dc), 11367(dd), 11367(de), 11367(df), 11367(df), 11367(dh), 11367(di), 11367(dj), 11367(dk), 11367(dl), 11367(dm), 11367(dn), 11367(do), 11367(dp), 11367(dq), 11367(dr), 11367(ds), 11367(dt), 11367(du), 11367(dv), 11367(dw), 11367(dx), 11367(dy), 11367(dz), 11367(ea), 11367(eb), 11367(ec), 11367(ed), 11367(ee), 11367(ef), 11367(ef), 11367(eh), 11367(ei), 11367(ej), 11367(ek), 11367(el), 11367(em), 11367(en), 11367(eo), 11367(ep), 11367(eq), 11367(er), 11367(es), 11367(et), 11367(eu), 11367(ev), 11367(ew), 11367(ex), 11367(ey), 11367(ez), 11367(fa), 11367(fb), 11367(fc), 11367(fd), 11367(fe), 11367(fe), 11367(fh), 11367(fi), 11367(fj), 11367(fk), 11367(fl), 11367(fm), 11367(fn), 11367(fo), 11367(fp), 11367(fq), 11367(fr), 11367(fs), 11367(ft), 11367(fu), 11367(fv), 11367(fw), 11367(fx), 11367(fy), 11367(fz), 11367(ga), 11367(gb), 11367(gc), 11367(gd), 11367(ge), 11367(ge), 11367(gg), 11367(gi), 11367(gj), 11367(gk), 11367(gl), 11367(gm), 11367(gn), 11367(fo), 11367(gp), 11367(gq), 11367(gr), 11367(gs), 11367(gt), 11367(gu), 11367(gv), 11367(gw), 11367(gx), 11367(gy), 11367(gz), 11367(ha), 11367(hb), 11367(hc), 11367(hd), 11367(he), 11367(he), 11367(hg), 11367(hi), 11367(hj), 11367(hk), 11367(hl), 11367(hm), 11367(hn), 11367(ho), 11367(hp), 11367(hq), 11367(hr), 11367(hs), 11367(ht), 11367(hu), 11367(hv), 11367(hw), 11367(hx), 11367(hy), 11367(hz), 11367(ia), 11367(ib), 11367(ic), 11367(id), 11367(ie), 11367(ie), 11367(ig), 11367(ii), 11367(ij), 11367(ik), 11367(il), 11367(im), 11367(in), 11367(io), 11367(ip), 11367(iq), 11367(ir), 11367(is), 11367(it), 11367(iu), 11367(iv), 11367(iw), 11367(ix), 11367(iy), 11367(iz), 11367(ja), 11367(jb), 11367(jc), 11367(jd), 11367(je), 11367(je), 11367(jg), 11367(ji), 11367(jj), 11367(jk), 11367(jl), 11367(jm), 11367(jn), 11367(jo), 11367(jp), 11367(jq), 11367(jr), 11367(js), 11367(jt), 11367(ju), 11367(jv), 11367(jw), 11367(jx), 11367(jy), 11367(jz), 11367(ka), 11367(kb), 11367(kc), 11367(kd), 11367(ke), 11367(ke), 11367(kg), 11367(ki), 11367(kj), 11367(kk), 11367(kl), 11367(km), 11367(kn), 11367(ko), 11367(kp), 11367(kq), 11367(kr), 11367(ks), 11367(kt), 11367(ku), 11367(kv), 11367(kw), 11367(kx), 11367(ky), 11367(kz), 11367(la), 11367(lb), 11367(lc), 11367(ld), 11367(le), 11367(le), 11367(lg), 11367(li), 11367(lj), 11367(lk), 11367(lm), 11367(ln), 11367(lo), 11367(lp), 11367(lq), 11367(lr), 11367(ls), 11367(lt), 11367(lu), 11367(lv), 11367(lw), 11367(lx), 11367(ly), 11367(lz), 11367(ma), 11367(mb), 11367(mc), 11367(md), 11367(me), 11367(me), 11367(mg), 11367(mi), 11367(mj), 11367(mk), 11367(ml), 11367(mm), 11367(mn), 11367(mo), 11367(mp), 11367(mq), 11367(mr), 11367(ms), 11367(mt), 11367(mu), 11367(mv), 11367(mw), 11367(mx), 11367(my), 11367(mz), 11367(na), 11367(nb), 11367(nc), 11367(nd), 11367(ne), 11367(ne), 11367(nh), 11367(ni), 11367(nj), 11367(nk), 11367(nl), 11367(nm), 11367(nn), 11367(no), 11367(np), 11367(nq), 11367(nr), 11367(ns), 11367(nt), 11367(nu), 11367(nv), 11367(nw), 11367(nx), 11367(ny), 11367(nz), 11367(oa), 11367(ob), 11367(oc), 11367(od), 11367(oe), 11367(oe), 11367(og), 11367(oi), 11367(oj), 11367(ok), 11367(ol), 11367(om), 11367(on), 11367(oo), 11367(op), 11367(oq), 11367(or), 11367(os), 11367(ot), 11367(ou), 11367(ov), 11367(ow), 11367(ox), 11367(oy), 11367(oz), 11367(pa), 11367(pb), 11367(pc), 11367(pd), 11367(pe), 11367(pe), 11367(pf), 11367(pi), 11367(pj), 11367(pk), 11367(pl), 11367(pm), 11367(pn), 11367(po), 11367(pp), 11367(pq), 11367(pr), 11367(ps), 11367(pt), 11367(pu), 11367(pv), 11367(pw), 11367(px), 11367(py), 11367(pz), 11367(qa), 11367(qb), 11367(qc), 11367(qd), 11367(qe), 11367(qe), 11367(qg), 11367(qi), 11367(qj), 11367(qk), 11367(ql), 11367(qm), 11367(qn), 11367(qo), 11367(qp), 11367(qq), 11367(qr), 11367(qs), 11367(qt), 11367(qu), 11367(qv), 11367(qw), 11367(qx), 11367(qy), 11367(qz), 11367(ra), 11367(rb), 11367(rc), 11367(rd), 11367(re), 11367(re), 11367(rh), 11367(ri), 11367(rj), 11367(rk), 11367(rl), 11367(rm), 11367(rn), 11367(ro), 11367(rp), 11367(rq), 11367(rr), 11367(rs), 11367(rt), 11367(ru), 11367(rv), 11367(rw), 11367(rx), 11367(ry), 11367(rz), 11367(sa), 11367(sb), 11367(sc), 11367(sd), 11367(se), 11367(se), 11367(sh), 11367(si), 11367(sj), 11367(sk), 11367(sl), 11367(sm), 11367(sn), 11367(so), 11367(sp), 11367(sq), 11367(sr), 11367(ss), 11367(st), 11367(su), 11367(sv), 11367(sw), 11367(sx), 11367(sy), 11367(sz), 11367(ta), 11367(tb), 11367(tc), 11367(td), 11367(te), 11367(te), 11367(th), 11367(ti), 11367(tj), 11367(tk), 11367(tl), 11367(tm), 11367(tn), 11367(to), 11367(tp), 11367(tq), 11367(tr), 11367(ts), 11367(tu), 11367(tv), 11367(tw), 11367(tx), 11367(ty), 11367(tz), 11367(ua), 11367(ub), 11367(uc), 11367(ud), 11367(ue), 11367(ue), 11367(ug), 11367(ui), 11367(uj), 11367(uk), 11367(ul), 11367(um), 11367(un), 11367(uo), 11367(up), 11367(uq), 11367(ur), 11367(us), 11367(ut), 11367(uy), 11367(uz), 11367(va), 11367(vb), 11367(vc), 11367(vd), 11367(ve), 11367(ve), 11367(vh), 11367(vi), 11367(vj), 11367(vk), 11367(vl), 11367(vm), 11367(vn), 11367(vo), 11367(vp), 11367(vq), 11367(vr), 11367(vs), 11367(vt), 11367(vu), 11367(vv), 11367(vw), 11367(vx), 11367(vy), 11367(vz), 11367(wa), 11367(wb), 11367(wc), 11367(wd), 11367(we), 11367(we), 11367(wh), 11367(wi), 11367(wj), 11367(wk), 11367(wl), 11367(wm), 11367(wn), 11367(wo), 11367(wp), 11367(wq), 11367(wr), 11367(ws), 11367(wt), 11367(wu), 11367(wv), 11367(wy), 11367(wz), 11367(xa), 11367(xb), 11367(xc), 11367(xd), 11367(xe), 11367(xe), 11367(xh), 11367(xi), 11367(xj), 11367(xk), 11367(xl), 11367(xm), 11367(xn), 11367(xo), 11367(xp), 11367(xq), 11367(xr), 11367(xs), 11367(xt), 11367(xu), 11367(xv), 11367(xw), 11367(xx), 11367(xy), 11367(xz), 11367(ya), 11367(yb), 11367(yc), 11367(yd), 11367(ye), 11367(ye), 11367(yh), 11367(yi), 11367(yj), 11367(yk), 11367(yl), 11367(yd), 11367(ym), 11367(yn), 11367(yo), 11367(yq), 11367(yr), 11367(ys), 11367(yt), 11367(yu), 11367(yv), 11367(yw), 11367(yx), 11367(yz), 11367(za), 11367(zb), 11367(zc), 11367(zd), 11367(ze), 11367(ze), 11367(zh), 11367(zi), 11367(zj), 11367(zk), 11367(zl), 11367(zm), 11367(zn), 11367(zn), 11367(zo), 11367(zp), 11367(zq), 11367(zr), 11367(zs), 11367(zt), 11367(zu), 11367(zv), 11367(zw), 11367(zx), 11367(zy), 11367(zz).

Court Or Conviction (Name and Address)	Arresting Agency (Name and Address)	Date of Conviction	Type of Conviction	* Code Section Violated	** Code Violated	*** Description	Case Number
Example: Sacramento City 400 Main St., Sac.	Sacramento City Police 123 Main St., Sac.	2008/7	C Felony Misdemeanor	494	Penal Code	8 months probation, 60 days jail	1234
7th Superior Court of CA County of Los	Van Nuys Police Dept Van		C Felony Misdemeanor	25152 61		8208 fine, perform 70 hours of c.s.	5301022
10th Beverly Hills Court House	Beverly Hills Police Department	03/19	C Felony Misdemeanor	25152 61		32 Hour, first offender Alcohol	
200			C Felony Misdemeanor				

## BACKGROUND INFORMATION (CONTINUED)

12. DETAILED EXPLANATION OF ITEMS 12A THROUGH 26. IF NEEDED, EXPLANATION MAY BE PROVIDED BELOW.

12A. TYPE OF LICENSE	12B. LICENSE DATED	12C. LATEST EMPLOYER	12D. STATUS
Real Estate Salesperson License	N/A	N/A	CR
12E. ACTION DURING 12F	12F. DATE OF ACTION	12G. DATE ACTION TERMINATED	12H. CODE SECTION VIOLATED
Denied	05/17/04		1200.4

26. ADDITIONAL INFORMATION: SPECIFY WHICH CHARGE YOU ARE REFERRING TO. ATTACH EXTRA SHEETS IF MORE ROOM IS NEEDED. EACH ADDITIONAL SHEET MUST BE SIGNED BY APPLICANT.

(#23) In 2004 I applied for a real estate license and during the application process there was the question "have you ever been convicted of a crime" and I checked "No" on the box assuming it was not a "crime" because it was reduced to reckless driving. I really was not thinking it through when I did that application. Because of that response I was denied my real estate license.

See attached for further explanations and documentation.

➤ **Note: Broker-Officer Applicant should review pages 1 and 2 of this document prior to proceeding.**

## OFFICER CERTIFICATION

I certify under penalty of perjury that I am an official corporate officer, and that the answers and statements given to this application are true and correct. I also certify that when the license is issued I will be 18 years of age or older, and that if licensed I will not violate any provisions of the Real Estate Law nor abuse the privileges of a real estate licensee. I understand that the license issued upon this application entitles the applicant to act only for this corporation and not in an individual capacity. I understand that if I fail to qualify for a license for any reason or withdraw this application, the Department of Real Estate cannot refund the fees received with this application.

I hereby grant to the Real Estate Commissioner of the State of California and his authorized Department of Real Estate representatives, pursuant to Business and Professions Code 10145 and 10146, the authority to examine the financial records of any trust fund account maintained by this corporation in a financial institution, wherever located, for any period of time in which I held a real estate license or have the right to renewal of such real estate license or which relates to a transaction which occurred while I held such a real estate license. I understand that the information to be obtained from the financial records of the corporation trust fund account(s) is for the purpose of aiding the Commissioner in his statutory duty to enforce the provisions of the Real Estate Law.

I am aware of the provisions of Section 1100 of the Labor Code which requires every employer to be insured against liability for workers' compensation.

I understand that it is my obligation to notify the Department upon licensure within 30 days in writing or by filing form RD 258 of any conviction, criminal complaint, information or indictment charging a felony, or disciplinary action taken by another licensing entity or authority of this state or an agency of the federal government pursuant to Business and Professions Code Section 10186.2. I also understand that the Department may delay my renewal and set the expiration date of my license pursuant to R&P Section 10177.

13. SIGNATURE OF APPLICANT (MUST BE ORIGINAL SIGNATURE)

14. DATE OF SIGNATURE

15. SIGNATURE OF OFFICER

16. DATE OF SIGNATURE

17. SIGNATURE OF OFFICER

18. DATE OF SIGNATURE

19. SIGNATURE OF OFFICER

20. DATE OF SIGNATURE

21. SIGNATURE OF OFFICER

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99. SIGNATURE OF OFFICER

100. DATE OF SIGNATURE

## SECTION III — REGULATION 2746

**Corporate Real Estate Brokers, Officers, Directors and Shareholders**

- (A) At the time of application for, or in the reinstatement of, an original real estate broker license, the designated officer shall file a background statement of information for each director, the chief executive officer, the president, first level vice presidents, secretary, chief financial officer, operations officer with responsibility for hiring policy of the corporation and all natural persons owning or controlling more than ten percent of the shares, if such person has been the subject of any of the following:
- (1) Sanctioned order or judgment issued by a court or governmental agency during the preceding 10 years regarding or pertaining to restraining or enjoining any business conduct, practice or employment;
  - (2) Has had a license to engage in or practice real estate or other regulated profession, occupation or vocation denied, suspended or revoked during the preceding 10 years;
  - (3) Engaged in non-reporting a real estate license of any state without the benefit of a valid license or permit authorizing that conduct during the preceding 10 years which has been reinstated by a court of law or administrative tribunal;
  - (4) Been convicted of a crime which is materially related to the qualifications, functions or duties of a licensee of the Department as specified in Section 1710 of these Regulations (including drunk driving, reckless driving and speeding violations);
- (B) The background statement shall be set forth in REB Form 112 and shall require only those information to be disclosed pursuant to subdivision (A). The background statement must be verified and completed by each corporate officer, director or shareholder as named in subdivision (A) to the fullest extent of the signatory's actual knowledge.
- (C) Whenever there is a change in the person whose background statements are required to be on file with the Department for a corporate licensee pursuant to subdivision (A) or in addition to the persons required to file statements pursuant to subdivision (A), the designated officer of the corporation shall, within 30 days thereafter file with the Department a background statement of information for each new or changed person.

Note: Authority shall Section 1886, Business and Professions Code; Reference: Section 1710, Business and Professions Code.

**CERTIFICATION**

I certify that I have read and understood the provisions described above. (NOTE: Failure to check one of the boxes below will delay the processing of your license application).

- ☐ I also certify that a Corporate Background Statement (RE 112) is not needed for any officers or persons owning or controlling more than ten percent of the corporation shares including myself.
- ☐ A completed Corporate Background Statement(s) (RE 112) is attached for each officer, director or shareholder with a reportable item under Regulation 2746.

The Young Group, Inc.

cc: compliance unit

ALL SIGNING OFFICERS MUST SIGN AND PRINT NAME AND SIGNATURE

cc: 9/4/19



3992430

**ENDORSED - FILED**  
In the office of the Secretary of State  
of the State of California

ARTICLES OF INCORPORATION  
OF  
9 LIVES, INC.

JUN 24 2011

ONE: The name of this corporation is 9 LIVES, INC.

TWO: The purpose of this corporation is to engage in any lawful act or activity for which a corporation may be organized under the General Corporation Law of California other than the banking business, the trust company business or the practice of a profession permitted to be incorporated by the California Corporations Code.

THREE: The name and address in this state of the corporation's initial agent for service of process is:

DAVID J. YOUNG  
1135 S. HAYWORTH AVE,  
LOS ANGELES, CA 90035

FOUR: The corporation is authorized to issue only one class of shares of stock which shall be designated common stock. The total number of shares it is authorized to issue is 10,000 shares.

FIVE: The name and address of the person appointed to act as the initial director of this corporation is:

DAVID J. YOUNG  
1135 S. HAYWORTH AVE,  
LOS ANGELES, CA 90035

SIX: The liability of the director of the corporation for monetary damages shall be eliminated to the fullest extent permissible under California law.

SEVEN: The corporation is authorized to indemnify the director and officer of the corporation to the fullest extent permissible under California law.

IN WITNESS WHEREOF, the undersigned, being the person named above as the initial director, has executed these Articles of Incorporation.

Date:

6/24/11

DAVID J. YOUNG, DIRECTOR



The undersigned, is the person named above as the initial director, declares that he is the person who executed the foregoing Articles of Incorporation, which execution is his act and deed.

Dated: 6/20/11

  
\_\_\_\_\_  
DAVID YOUNG, DIRECTOR



I hereby certify that the foregoing  
transcript of \_\_\_\_\_ (page 100)  
is a true and correct copy of the  
original record in the custody of the  
California Secretary of State's office.

JUL 18 2011

Date: \_\_\_\_\_

*John Brown*

JOHN BROWN, Secretary of State



## EIN Assistant

Your Progress:

1. Identify

2. Authorization

3. Assignment

4. Details

5. EIN Confirmation

Congratulations! Your EIN has been automatically assigned.

EIN Assigned: 44-2540271

Legal Name: PLATON INC

## IMPORTANT:

Save and/or print this page and its confirmation letter below for your permanent records.

The confirmation letter below is your official IRS notice and contains important information regarding your EIN.

[CLICK HERE for Your EIN Confirmation Letter](#)Only with automatic printing your  
EIN

Once you have saved or printed your letter, click "Continue" to get additional information about using your new EIN.

[Continue >>](#)

## Help Topics

- 1 What is an EIN and how is it assigned to my business?
- 2 Can I assign the EIN to a new business?

**F LIVES INC**  
**A DAVID J. LIVES**  
**1135 S HAYWOOD AVE**  
**LOS ANGELES, CA 90015**

Date of this notice: 07-14-2011

Employer Identification Number:  
45-3748371

Form: SS-4

Number of this notice: CP 371 A

For assistance you may call us at:  
1-800-829-4923

IF YOU WRITE, ATTACH THIS  
STUB AT THE END OF THIS NOTICE.

**WE ASSIGNED YOU AN EMPLOYER IDENTIFICATION NUMBER**

Thank you for applying for an Employer Identification Number (EIN). We assigned you EIN 45-3748371. This EIN will identify you, your business accounts, tax returns, and documents, even if you have no employees. Please keep this notice in your permanent records.

When filing tax documents, payments, and related correspondence, it is very important that you use your EIN and complete name and address exactly as shown above. Any variation may cause a delay in processing, result in incorrect information in your account, or even cause you to be assigned more than one EIN. If the information is not correct as shown above, please make the correction using the attached tear off stub and return it to us.

Based on the information received from you or your representative, you must file the following form(s) by the date(s) shown.

Form 1120

03/15/2012

If you have questions about the form(s) or the due date(s) shown, you can call us at the phone number or write to us at the address shown at the top of this notice. If you need help in determining your annual accounting period (tax year), see Publication 538, *Accounting Periods and Methods*.

We assigned you a tax classification based on information obtained from you or your representative. It is not a legal determination of your tax classification, and is not binding on the IRS. If you want a legal determination of your tax classification, you may request a private letter ruling from the IRS under the guidelines in Revenue Procedure 2004-1, 2004-1 I.R.B. 2 (or superseding Revenue Procedure for the year it issued). Note: Certain tax classification elections can be requested by filing Form 8833, *Entity Classification Election*. See Form 8833 and its instructions for additional information.

**IMPORTANT INFORMATION FOR S CORPORATION ELECTION**

If you intend to elect to file your return as a small business corporation, an election to file a Form 1120-S must be made within certain timeframes and the corporation must meet certain tests. All of this information is included in the instructions for Form 2551, *Election by a Small Business Corporation*.





## CORPORATE LICENSE INSTRUCTIONS

RE 218 (Rev. 7/10)

P.O. Box 137004  
Sacramento, CA 95813-7004  
Telephone: (916) 373-4542

### GENERAL INFORMATION

- This form discusses briefly how to license a corporation as a real estate broker and how to make changes once the corporation is licensed.
- The following forms are referenced in this instruction sheet:

Corporation License Application	RE 201
Branch Office Application	RE 203
Corporation Change Application	RE 204A
Examination & Licensing Fees	RE 205
Certification (Assignment of Supervisory Responsibility)	RE 210
Corporation Background Statement	RE 212
Salesperson Change Application	RE 214
- Business and license mailing addresses are public information and as such are posted on the Internet and available from DRE via telephone and written requests. Please consider this when identifying a license mailing address.

### LICENSING A CORPORATION AS A REAL ESTATE BROKER

There are no provisions in the Business and Professions (B&P) Code which authorize a limited liability company (LLC) to become licensed as a real estate broker.

Refer to: Sections 10138, 10139, 10159.2, 10179.3 10180, and 10211 of the (B&P) Code. Sections 2740 through 2746 of the Commissioner's Regulations.

When a corporation wishes to act as a real estate broker, the firm must be licensed by the Department of Real Estate (DRE) through qualified broker-officers, who have either passed the broker license examination and are now qualified to obtain a broker license, or who are currently licensed as real estate brokers.

**Note:** A license as an individual broker and a license as a broker-officer are separate entities and the status is not transferable from one to the other. The status as a broker-officer of one corporation is not transferable to being a broker-officer of another corporation.

#### Fee and Applications Required

- **License Fee** — A license fee is required for each broker-officer to be licensed with the corporation. Refer to RE 204 for current fees.
- A **Corporation License Application** (RE 201) must be completed by the designated broker-officer applicant and each broker-officer who is to act for and on behalf of the corporation.
- **Corporation Background Statement** (RE 212) — The designated officer who obtains the original corporation license or who substitutes in as designated officer and is a new officer for an existing corporation must file an RE 212 for any director, chief executive officer, president, first level vice presidents, secretary, chief financial officer and subordinate officers with

responsibility for forming policy of the corporation and all natural persons owning or controlling more than ten percent of its shares, only if such a person has been subject of any of the items enumerated in the regulation. If none of the officers have been a subject of any of the items enumerated in the regulation, then an RE 212 is not needed. In all instances, the broker-officer must complete and sign the certification in Section III of RE 201.

- **Certificate of Status** — A corporation not currently licensed by this Department must include a Certificate of Status from the California Secretary of State. The certificate must be executed no more than thirty days prior to the date the application is submitted to our office. Articles of Incorporation will be accepted in lieu of the Certificate of Status provided the Articles were filed with the Secretary of State within six months preceding the date the application is submitted to DRE. If the firm is not incorporated in California, either a Certificate of Qualification or Certificate of Foreign Corporation from the California Secretary of State is required and must be received no more than thirty days prior to the date the application is submitted to our office.
- **Flourish Business Name (B&A)** — If the firm intends to use any name other than its own to conduct real estate business, submit a filed copy of the Flourish Business Name Statement filed on behalf of the corporation. This document is obtained from the County Clerk's Office where the firm's principal place of business is located.
- **Branch Office** — To be licensed with a branch office complete and submit an RE 203.
- **Salespersons** — An RE 214 is required for each salesperson to be employed by the corporation.

### GENERAL INFORMATION

#### NEW & CURRENTLY LICENSED CORPORATIONS

**Assignment of Supervisory Responsibility** — Section 10159.2 B&P Code permits the assignment of supervisory duties to licensed broker-officers, other than the broker-officer designated pursuant to Section 10211 B&P, by resolution of the Board of Directors. Complete and submit an RE 210 and a copy of the resolution adopted by the Board of Directors to this Department. Do not submit RE 210 if the corporation has only one licensed broker-officer.

### RECORD CHANGES

#### AFTER THE CORPORATION HAS BEEN LICENSED

Commissioner's Regulation 2746 requires corporations to file an RE 212 whenever there is an addition or a change in corporation officers or a natural person owning or controlling more than ten percent of the corporation shares, if such a person has been the subject of any of the items enumerated in the regulation.

*Continued on reverse side*



### Broker-Officer Changes

- **Substitution of designated broker-officer with a new officer** — Only the designated broker-officer of a corporation may be replaced by another qualified broker during the licensed period. This may be accomplished by submitting an RE 201 from the new officer and either a copy of the personally signed resignation of the broker-officer leaving the firm, a copy of the Resolution of the Board bearing the corporate seal indicating this avoidance, or a signed statement providing the date of death of the currently licensed designated broker-officer. A licensed certificate will then be issued to the new broker-officer for the balance of the licensed period.

To keep the corporation continuously licensed with the Department, both the RE 201 and the resignation of the former broker-officer must be received in the same package. If all necessary documents are properly completed and in order, the effective date of the substitution on the records will be the received date of the package. If these documents are not received together, the licenses of the corporation and its branch offices will be cancelled and the working status of all salespersons will be terminated.

*Note:* No fee is required when the new officer is completing the balance of the license period.

- **Adding "additional" broker-officers** — Additional broker-officers may be licensed upon receipt of a completed RE 201 and the current license fee. Refer to RE 206 for current fees. Indicate on the application that the applicant is to be an "additional" broker-officer.
- **Adding a new officer to be the designated broker-officer and the current designated officer is remaining as an "additional" broker-officer** — A new broker-officer may be licensed as the designated broker-officer upon receipt of a completed RE 201, a license fee, and a personally signed statement from the current designated officer indicating that he will remain with the corporation as an "additional" broker-officer. If a new broker-officer applicant has passed the broker examination, but not applied for a license, and is substituting for a currently licensed broker-officer, a license fee is required. (A fingerprint processing fee may also be required if the applicant has not had a real estate license in the previous two years.) Branch licenses will be extended to match the term of the new "designated" broker-officer.

*Note:* A late fee must be submitted if an officer substitution is requested and the corporation license has expired. If an additional officer license is requested, a late fee must be submitted if the additional officer applicant does not have current valid broker license status. Refer to RE 206 for current fees.

- **Change of designated broker-officer with another currently licensed broker-officer** — Submit a completed RE 204A. No new license certificate will be issued to either broker-officer. However, if the license terms differ, new branch office licenses will be issued.

*Note:* The expiration date of the corporation is always the same as that of the designated officer. One license is issued for both the corporation and the designated broker-officer.

### To Change a Main Office Address or Mailing Address

Submit a completed RE 204A signed by a licensed broker-officer.

### To Add or Delete a Fictitious Business Name (DBA)

Submit a completed RE 204A signed by a licensed broker-officer.

*Note:* For addition, also submit a first copy of the Fictitious Business Name Statement filed on behalf of the corporation in the county where the corporation main office is located.

### Change of Corporation Name

Submit a completed RE 204A and the amended Articles of Incorporation or amended name statement by a foreign corporation reflecting the name change and bearing the embossed or filed stamp of the California Secretary of State. If currently licensed with a DBA, a copy of the filed Fictitious Business Name Statement reflecting the new corporate name as relevant must be submitted.

### Reactivating an Expired Corporation

To reactivate an expired corporation within the two-year grace period, with a new appointed designated officer, submit a completed RE 201, Certificate of Status from the Secretary of State, and a late fee. Refer to RE 206 for current fees.

### How to Cancel a Corporation

To cancel a corporation which is no longer conducting business for which a real estate license is required, submit a letter signed by all licensed broker-officers indicating that it is no longer conducting business. Upon cancellation of the corporate license, the working status of all salespersons licensed to the corporation will be terminated and all branch offices and DBAs will be cancelled.

### Cancelling An Additional Officer

To cancel an "additional" broker-officer who was longer conducting business on behalf of the corporation submit a personally signed letter of cancellation from the broker-officer, or a copy of the Resolution of the Board indicating the removal.

### Re-Activating A Cancelled Corporation

Submit a completed RE 204A if the corporation is being reactivated by the previously licensed designated broker-officer. Submit a completed RE 204A and RE 201 if the corporation is being reactivated by a new designated broker-officer.

### eLicensing

Licenses can perform the following transactions using the eLicensing system at [www.dca.ca.gov](http://www.dca.ca.gov):

- Broker and salesperson renewals
- License certificate requests (broker, salesperson, officer and branch)
- Salesperson additions/changes of employing broker
- Broker discontinuation of salesperson employment
- Mailing address changes
- Broker main office address additions/changes
- Automated fee payment and processing

## FEEB AND MAILING INFORMATION

**Fees** — Refer to Exam & Licensing Fees (RE 206) for current fee schedule.

Acceptable payment methods — cash, check, money order, check or credit card.

- Make check or money order payable to:  
**Department of Real Estate**
- Credit card payments must be submitted with a Credit Card Payment (RRE 909) form.

**Mail To:** Department of Real Estate  
P.O. Box 137004  
Sacramento, CA 95813-7004

EXPEDITED PROCESSING FOR U.S. VETERANS

Formerly discharged veterans of the U.S. Armed Forces are eligible for extended coverage.

- In order to obtain expedited processing, please submit a copy of your U.S. DD Form 314, MGB-22 form, or Honorable Discharge certificate to confirm your condition of discharge, along with the application, supporting qualification documents, and fee.
- Please note that applications received in this P.O. Box from non-Honorable Discharged veterans will be processed in date received order.

**Mail To —** Department of Real Estate  
P.O. Box 137014  
Sacramento, CA 95813-7014  
Attn: Expedited Military Processing

THE UNIVERSITY OF CHICAGO PRESS

[illegible]

Department of Real Estate  
1001 Sycamore Drive  
Berkeley, CA 94702  
Telephone: 415/875-4000

Several journals of the Confederation, including *WMO*, *WMF*, and *WAFB* of the Southern and Northwestern FFA chapters, the association of the two

[illegible][illegible]

The National Law Center for Education Policy Commission report indicates a growth in discipline with specific references that it is expected the impact of discipline is not positive, pointing the to school to address the discipline law, school to create

Example: it is the case of a natural hypothesis, how many the statement the counterfactual of this (including a square is double a number) (etc.) is an extension of the *if* clause.

The information appearing in this form is primarily sent to Federal income tax information to the Department's Voluntary System, and is hence required and sent voluntarily to the public performance status, pending and financial information and internal control to help, provide, review or

This information may be transferred to well-recognized agencies in other states, for information, special K-12 focus, 2000's. Organisms: Social Media, History, Science, 2010, and we offer additional agencies, i.e., Department of Science, Oregon, Department of Education, Department of Science, 2010, and the Department of Science.



## SECTION IV — READ AND RETAIN FOR FUTURE REFERENCE

## GENERAL INFORMATION

- Complete all information in Sections I and II according to the instructions.
- Type or print clearly in ink. Faxed applications will be returned.

**Filing Period** — Unless currently licensed either as a real estate broker or as a real estate broker-officer, your application and license fee are to be filed within one year from the date you successfully completed your examination. (Section 10100.4 of the Business and Professions Code.)

**Certificate of Status** — A corporation not currently licensed by this Department must include a Certificate of Status from the California Secretary of State. Articles of Incorporation will be accepted in lieu of the Certificate of Status provided the Articles were filed with the Secretary of State within six months preceding the date the application is submitted to our office. If the firm is not incorporated in California, either a Certificate of Qualification or Certificate of Foreign Corporation from the California Secretary of State is required. If a Certificate of Status, Certificate of Qualification, or Certificate of Foreign Corporation is submitted, the certificate must be received no more than thirty days prior to the date the application is submitted to our office.

**Corporation Background Statement (RE 212)** — Regulation 2746 requires the designated officer who obtains the original corporation license to file an RE 212 for any director, chief executive officer, president, first-level vicepresidents, secretary, chief financial officer and subordinate officers with responsibility for financing policy of the corporation and all natural persons owning or controlling more than ten percent of its shares. If such a person has been subject of any of the items enumerated in the regulation, if name of the officers have been a subject of any of the items enumerated in the regulation, then an RE 212 is not needed. In all instances, the broker-officer must complete and sign the certification in Part III of this application.

**Branch Office License** — Submit a completed Branch Office Application (RE 203) for each branch office to be licensed.

**Continuing Education** — Evidence of continuing education (completed within the previous four years) will be required for the issuance of an officer license, if the officer-applicant does not have current individual broker status. For more details/information, refer to the Instructions to License Applicants pamphlet or Section 10171.3 of the Business and Professions Code.

## COMPLETION INSTRUCTIONS FOR SECTION I

**Note:** Any changes to a currently licensed corporation must be submitted on a Corporation Change Application (RE 204A) or Corporation License Application (RE 201) for termination or adding an additional broker-officer.

- Answer licensing questions and complete IB and IC, if you answered YES.
- Corporation Name & ID** — Enter the complete name of corporation as shown on the Certificate of Notice. If a foreign corporation, enter the name as the corporation will be licensed in California.  
Enter the California Secretary of State's identification number (as shown on the Articles of Incorporation) in Item 2B, and enter the Federal Taxpayer identification number in Item 2C.
- Mailing Address** — Enter the mailing address of the corporation. All mail sent by DRE will be delivered to this address. **Note:**

Business and license mailing addresses are public information and as such are posted on the Internet and available from DRE via telephone and written requests. Please consider this when identifying a license mailing address.

- Main Office Address** — Enter the primary place of business of the corporation (must be a physical California address).
- Previous Business Name** — Enter the name exactly as it appears on the Fulltime Business Name Statement (FBNS) as filed with the county clerk. The DBA must be filed in the county of the corporation's main office address.
  - Prior to filing your FBNS with the county, you may wish to contact a local DRE district office, or check our Web site at [www.dre.ca.gov](http://www.dre.ca.gov) to determine if the name is already in use by another broker.
  - Submit a copy of the FBNS with the "bird stamp" from the county clerk's office.
  - The corporation's name must appear as a registrant on the FBNS.

## COMPLETION INSTRUCTIONS FOR SECTION II

- Answer licensing questions and complete IB-D, if you answered YES.
- Name of Broker-Officer** — Enter the complete name of the broker-officer to be licensed.
- Social Security Number** — Your SSN is mandatory. Refer to Privacy Notice on page 6.
- Birth Date** — Enter your birth date.
- Corporate Officer Title** — Enter the official title (e.g., president, vice president, etc.) held within the corporation. "Broker of record" is not sufficient. The designated officer must be an official corporate officer.
- Type of Broker-Officer License Requested** — Check the appropriate box. **Note:** If "additional broker-officer" checked, submit appropriate fee. If "termination of current designated broker-officer" checked, attach resignation/letter of resignation or Resignation of Board indicating that employment has been terminated.
- California Residence** — Check the appropriate response.  
**Non California Residents** — If residing outside the State of California, a notarized Consent To Service of Process (RE 214) is also required, if not already on file.
- Military Service** — Mark the appropriate answers to these questions. If answer is 14B or yes, provide proof of honorable discharge for expedited processing. See page 6 of this form for more information.
- Business, Residences, & Cell Phone Telephone Numbers** — Enter the area code and phone numbers.
- Email Address** — Enter email address.
- Questions 13-19 must be answered and fully explained. Incomplete or no explanations will result in a significant delay in processing your license application.
- Read Officer Certification**, then sign item 20, item 21, and type the name of the person signing on item 22.





## CORPORATE LICENSE INSTRUCTIONS

RE 218 (Rev. 7/13)

P.O. Box 137004  
Sacramento, CA 95813-7004  
Telephone: (977) 373-4542

### GENERAL INFORMATION

- This form discusses briefly how to become a corporation as a real estate broker and how to make changes once the corporation is licensed.
- The following items are referenced in this instruction sheet:

Corporation License Application	RE 201
Branch Office Application	RE 203
Corporation Change Application	RE 204A
Examination & Licensing Fee	RE 206
Certification (Assignment of Supervisory Responsibility)	RE 210
Corporation Background Statement	RE 212
Employment Change Application	RE 214
- Business and license mailing addresses are public information and as such are posted on the Internet and available from DRE via telephone and written requests. Please consider this when identifying a license mailing address.

### LICENSING A CORPORATION AS A REAL ESTATE BROKER

There are no provisions in the Business and Professions (B&P) Code which authorize a limited liability company (LLC) to become licensed as a real estate broker.

Refer to: Sections 10154, 10155, 10159.2, 10170.5, 10180, and 10211 of the (B&P) Code. Sections 2740 through 2746 of the Commissioner's Regulations.

When a corporation wishes to act as a real estate broker, the firm must be licensed by the Department of Real Estate (DRE) through qualified broker-officers, who have either passed the broker license examination and are now qualified to obtain a broker license, or who are currently licensed as real estate brokers.

**Note:** A license as an individual broker and a license as a broker-officer are separate entities and the status is not transferable from one to the other. The status as a broker-officer of one corporation is not transferable to being a broker-officer of another corporation.

#### Fee and Applications Required

- **License Fee** — A license fee is required for each broker-officer to be licensed with the corporation. Refer to RE 204 for current fees.
- A Corporation License Application (RE 201) must be completed by the designated broker-officer applicant and each broker-officer who is to act for and on behalf of the corporation.
- **Corporation Background Statement (RE 212)** — The designated officer who obtains the original corporation license or who substitutes as a designated officer and is a new officer for an existing corporation must file an RE 212 for any director, chief executive officer, president, first level vice presidents, secretary, chief financial officer and subordinate officers with

responsibility for forming policy of the corporation and all natural persons owning or controlling more than 10% percent of its shares, *only if* such a person has been subject of any of the items enumerated in the regulation. If none of the officers have been a subject of any of the items enumerated in the regulation, then an RE 212 is not needed. In all instances, the broker-officer must complete and sign the certification in Section III of RE 201.

- **Certificate of Status** — A corporation not currently licensed by this Department must include a Certificate of Status from the California Secretary of State. The certificate must be executed no more than thirty days prior to the date the application is submitted to our office. Articles of Incorporation will be accepted in lieu of the Certificate of Status provided the Articles were filed with the Secretary of State within six months preceding the date the application is submitted to DRE. If the firm is not incorporated in California, either a Certificate of Qualification or Certificate of Foreign Corporation from the California Secretary of State is required and must be executed no more than thirty days prior to the date the application is submitted to our office.
- **Fictitious Business Name (FBN)** — If the firm intends to use any name other than its own to conduct real estate business, submit a filed copy of the Fictitious Business Name Statement filed on behalf of the corporation. This document is obtained from the County Clerk's Office where the firm's principal place of business is located.
- **Branch Office** — To be licensed with a branch office complete and submit an RE 203.
- **Salespersons** — An RE 214 is required for each salesperson to be employed by the corporation.

### GENERAL INFORMATION

#### NEW & CURRENTLY LICENSED CORPORATIONS

**Assignment of Supervisory Responsibility** — Section 10159.2 B&P Code permits the assignment of supervisory duties to licensed broker-officers, other than the broker-officer so designated pursuant to Section 10211 B&P, by resolution of the Board of Directors. Complete and submit an RE 210 and a copy of the resolution adopted by the Board of Directors to this Department. *Do not submit* RE 210 if the corporation has only one licensed broker-officer.

### RECORD CHANGES

#### AFTER THE CORPORATION HAS BEEN LICENSED

Commissioner's Regulation 2746 requires corporations to file an RE 212 whenever there is an addition or a change in corporate officers or a natural person owning or controlling more than 10% percent of the corporation shares, *if* such a person has been the subject of any of the items enumerated in the regulation.

*Continued on reverse side*

### Broker-Officer Changes

- **Substitution of designated broker-officer with a new officer** — Only the designated broker-officer of a corporation may be replaced by another qualified broker during the licensed period. This may be accomplished by submitting an RE 201 from the new officer and either a copy of the personally signed resignation of the broker-officer leaving the firm, a copy of the Resolution of the Board bearing the corporate seal indicating this severance, or a signed statement providing the date of death of the currently licensed designated broker-officer. A license certificate will then be issued to the new broker-officer for the balance of the licensed period.

To keep the corporation continuously licensed with the Department, both the RE 201 and the resignation of the former broker-officer must be received in the same package. If all necessary documents are properly completed and in order, the effective date of the substitution on the records will be the received date of the package. If these documents are not received together, the license of the corporation and its branch offices will be cancelled and the working status of all salespersons will be terminated.

**Note:** No fee is required when the new officer is completing the balance of the license period.

- **Adding "additional" broker-officers** — Additional broker-officers may be licensed upon receipt of a completed RE 201 and the current license fee. Refer to RE 206 for current fees. Indicate on the application that the applicant is to be an "additional" broker-officer.
- **Adding a new officer to be the designated broker-officer and the current designated officer is continuing as an "additional" broker-officer** — A new broker-officer may be licensed as the designated broker-officer upon receipt of a completed RE 201, a license fee, and a personally signed statement from the current designated officer indicating that he will remain with the corporation as an "additional" broker-officer. If a new broker-officer applicant has passed the broker examination, but not applied for a license, and is submitting for a currently licensed broker-officer, a license fee is required. (A fingerprint processing fee may also be required if the applicant has not held a real estate license in the previous two years.) Branch licenses will be reviewed to match the terms of the new "designated" broker-officer.

**Note:** A late fee must be submitted if an officer substitution is requested and the corporation license has expired. If an additional officer license is requested, a late fee must be submitted if the additional officer applicant does not have current valid broker license status. Refer to RE 206 for current fees.

- **Change of designated broker-officer with another currently licensed broker-officer** — Submit a completed RE 204A. No new license certificate will be issued to either broker-officer. However, if the license terms differ, new branch office licenses will be issued.

**Note:** The expiration date of the corporation is always the same as that of the designated officer. One license is issued for both the corporation and the designated broker-officer.

### To Change a Main Office Address or Mailing Address

Submit a completed RE 204A signed by a licensed broker-officer.

### To Add or Delete a Fictitious Business Name (DBA)

Submit a completed RE 204A signed by a licensed broker-officer.

**Note:** For additions, also submit a filed copy of the Fictitious Business Name Statement filed on behalf of the corporation in the county where the corporation main office is located.

### Change of Corporation Name

Submit a completed RE 204A and the amended Articles of Incorporation or amended name statement by a foreign corporation reflecting the name change and bearing the endorsed or filed stamp of the California Secretary of State. If currently licensed with a DBA, a copy of the filed Fictitious Business Name Statement reflecting the new corporate name or statement must be submitted.

### Reactivating an Expired Corporation

To reactivate an expired corporation within the two year grace period, with a new appointed designated officer, submit a completed RE 201, Certificate of Status from the Secretary of State, and a late fee. Refer to RE 206 for current fees.

### How to Cancel a Corporation

To cancel a corporation which is no longer conducting business for which a real estate license is required, submit a letter signed by all licensed broker-officers indicating that it is no longer conducting business. Upon cancellation of the corporate license, the working status of all salespersons licensed to the corporation will be terminated and all branch offices and DBAs will be cancelled.

### Cancelling An Additional Officer

To cancel an "additional" broker-officer who is no longer conducting business on behalf of the corporation submit a personally signed letter of cancellation from the broker-officer, or a copy of the Resolution of the Board indicating the severance.

### Re-Activating A Cancelled Corporation

Submit a completed RE 204A if the corporation is being reactivated by the previously licensed designated broker-officer. Submit a completed RE 204A and RE 201 if the corporation is being reactivated by a new designated broker-officer.

### eLicensing

Licensees can perform the following transactions using the eLicensing system at [www.dre.ca.gov](http://www.dre.ca.gov):

- Broker and salesperson renewals
- License certificate requests (broker, salesperson, officer and branch)
- Salesperson addition/change of employing broker
- Broker dissociation of salesperson employment
- Mailing address changes
- Broker main office address addition/change
- Automated fee payment and processing



# **FEEES AND MAILING INFORMATION**

**Fees** -- Entry to Fees & Licensing Fee (EL 106) fee current fee schedule.

**Acceptable payment methods** -- cashiers' check, money order, check or credit card.

- Make check or money order payable to:  
**Department of Real Estate**
- Credit card payments must be submitted with a Credit Card Payment (RE-906) form.

**Mail To:** Department of Real Estate  
P.O. Box 137014  
Sacramento, CA 95813-7014

# **EXPEDITED PROCESSING FOR U.S. VETERANS**

Formerly discharged veterans of the U.S. Armed Forces are eligible for expedited processing.

- In order to obtain expedited processing, please submit a copy of your U.S. DD Form 214, NGB-22 form, or Honorable Discharge certificate to confirm your condition of discharge, along with the application, supporting qualification documents, and fee.
- Please note that applications received to this P.O. Box from non-Honorably Discharged veterans will be processed in date received order.

**Mail To** -- Department of Real Estate  
P.O. Box 137014  
Sacramento, CA 95813-7014  
*Attn: Expedited Military Processing*

## **DISCLAIMER OF WARRANTIES**

Section 1196.17 of the Civil Code requires that every person who offers or provides information to the public, including the Department of Real Estate, shall warrant that the information is accurate and complete.

Department of Real Estate, 1000 California Street, Suite 1000, Sacramento, CA 95811. Telephone: (916) 224-4444.

Official records of the Department of Real Estate are maintained by the Department of Real Estate, 1000 California Street, Suite 1000, Sacramento, CA 95811.

Real estate and professional title records, 1000 California Street, Suite 1000, Sacramento, CA 95811. Telephone: (916) 224-4444. The Department of Real Estate, 1000 California Street, Suite 1000, Sacramento, CA 95811. Telephone: (916) 224-4444. The Department of Real Estate, 1000 California Street, Suite 1000, Sacramento, CA 95811. Telephone: (916) 224-4444.

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David Young

David James Young (DOB: 5/21/84)

20 #21

~~Have~~ Renewed your registration as Soccer? YES

Driver's License was suspended

Re: the first arrest

On December 21, 2000, I was pulled over for traffic violations in the City of Los Angeles California. I was arrested for driving under the influence of alcohol, section 23132 of the California Vehicle Code (CVC). My attempts to complete a breath test were unsuccessful and it was alleged that I refused the test per 23012 CVC. My driver's license was suspended for one year automatically. On the day of trial the charge was reduced to a one-violation related traffic offense, exhibition of speed, 23109(c) CVC and the related allegation was dismissed. I was placed on probation for two years.

Re: the second arrest

On March 19 2003, I was pulled over in the City of Beverly Hills California for traffic violations. I was arrested for driving under the influence of alcohol 23132 CVC. I completed a blood test and was charged with driving under the influence. My driver's license was automatically suspended for one year. On October 31 2003, I pled no contest to 23132, 23150(b) CVC and was placed on probation for three years. I was also ordered to pay a fine and complete a 22 hour, first offender, alcohol education program.







David Young  
Ralph

CASE NO: 0400187  
DEF NO: 00

PAGE NO: 2  
DATE PRINTED 07/18/00

DEFENDANT IS PRESENT IN COURT, AND REPRESENTED BY MONIQUE HOFFMEYER DEPUTY  
PUBLIC DEFENDER.

DEPOSITION 121 IS NOW IN SESSION 121 HANDLING THE CALLED.

CASE IS TRANSFERRED TO 1/1/01 AT 8:10 AM IN DEPOSITION 121 FOR

JURY TRIAL.

COURT ORDERS AND FORWARDS:

-THE COURT ORDERS THE DEFENDANT TO APPEAR ON THE NEXT COURT DATE.

NEXT SCHEDULED EVENT:

01/01/01 8:10 AM JURY TRIAL 1217 VAN HANES COURTHOUSE DIV 121

01/01/01 8:10 AM JURY TRIAL 1217 VAN HANES COURTHOUSE DIV 121

CUSTODY STATUS: WILL TO COME

ON 01/01/01 AT 8:10 AM IN VAN HANES COURTHOUSE DIV 121

CASE CALLED FOR JURY TRIAL

PARTIES: ELIZABETH A. LINDSEY (10000) VAN HANES (11111)

DEFENDANT IS PRESENT IN COURT, AND REPRESENTED BY MONIQUE HOFFMEYER DEPUTY  
PUBLIC DEFENDER.

IN DEPOSITION 121, CASE IS CONTINUED TO 1/1/01 AT 8:10 AM IN

DEPOSITION 121 FOR JURY TRIAL AS DAY 2 OF 20. TIME IS WAIVED.

CASE IS TRANSFERRED. DEFENDANT IS RELEASED ON THE OWN

RECOGNITION.

COURT ORDERS AND FORWARDS:

-THE COURT ORDERS THE DEFENDANT TO APPEAR ON THE NEXT COURT DATE.

NEXT SCHEDULED EVENT:

01/15/01 8:10 AM JURY TRIAL 1217 VAN HANES COURTHOUSE DIV 121

01/15/01 8:10 AM JURY TRIAL 1217 VAN HANES COURTHOUSE DIV 121

01/01/01 CONTINUED, # 1011111111

CUSTODY STATUS: WILL REMAIN

CUSTODY STATUS: RELEASED ON OWN RECOGNITION

ON 01/15/01 AT 8:10 AM IN VAN HANES COURTHOUSE DIV 121

ON 01/15/01 AT 8:10 AM IN VAN HANES COURTHOUSE DIV 121

CASE CALLED FOR JURY TRIAL

PARTIES: ELIZABETH A. LINDSEY (10000) VAN HANES (11111)

DEFENDANT IS PRESENT IN COURT, AND REPRESENTED BY MONIQUE HOFFMEYER DEPUTY  
PUBLIC DEFENDER.

IN DEPOSITION 121, CASE IS CONTINUED TO 1/1/01 AT 8:10 AM

IN DEPOSITION 121 FOR JURY TRIAL AS DAY 2 OF 20. TIME IS WAIVED.

CASE IS TRANSFERRED TO PEOPLE'S COURT.

COURT ORDERS AND FORWARDS:

-THE COURT ORDERS THE DEFENDANT TO APPEAR ON THE NEXT COURT DATE.

NEXT SCHEDULED EVENT:

01/15/01 8:10 AM JURY TRIAL 1217 VAN HANES COURTHOUSE DIV 121

01/15/01 8:10 AM JURY TRIAL 1217 VAN HANES COURTHOUSE DIV 121

01/01/01 CONTINUED, # 1011111111

01/01/01 CONTINUED, # 1011111111

ON 01/15/01 AT 8:10 AM IN VAN HANES COURTHOUSE DIV 121

ON 01/15/01 AT 8:10 AM IN VAN HANES COURTHOUSE DIV 121

Direct rang  
Dj

CASE NO. 0400187  
CRF NO. 01

PAGE NO. 1  
DATE PRINTED 07/11/08

CASE CALLED FOR JURY TRIAL  
PARTIES: ELIZABETH A. LIPPETT (JUDGE) MARY DUNNICK (CLERK)  
ANTHONY HOLMQUIST (DEF) DANIEL ALLEN (CA)  
DEFENDANT IS PRESENT IN COURT, AND REPRESENTED BY ANTHONY HOLMQUIST DEFENDANT  
PUBLIC DEFENDER  
OFFENSE WARRANTS READY FOR TRIAL  
CASE IS TRAILER TO 4/4/05 AT 9:30 AM IN DIVISION 111 FOR  
JURY TRIAL  
COURT ORDERS AND VERDICTS:  
-THE COURT ORDERS THE DEFENDANT TO APPEAR ON THE NEXT COURT DATE.  
NEXT SCHEDULED COURT:  
04/05/05 111 AM JURY TRIAL DIST VHS HNS COURTHOUSE DIV 111  
JAY 05 OF 10

COURT STATUS: DEFENDANT REMAINS IN THE RECEIVING

IN 04/05/05 AT 111 AM IN VHS HNS COURTHOUSE DIV 111

CASE CALLED FOR JURY TRIAL  
PARTIES: ELIZABETH A. LIPPETT (JUDGE) DAVID J. VERNECKIA (CLERK)  
ANTHONY HOLMQUIST (DEF) DANIEL ALLEN (CA)  
DEFENDANT IS PRESENT IN COURT, AND REPRESENTED BY ANTHONY HOLMQUIST DEFENDANT  
PUBLIC DEFENDER  
NEXT SCHEDULED COURT:  
JURY TRIAL 111 AM JURY TRIAL DIST VHS HNS COURTHOUSE DIV 111  
JAY 10 OF 10

COURT STATUS: DEFENDANT REMAINS IN THE RECEIVING

IN 04/05/05 AT 111 AM IN VHS HNS COURTHOUSE DIV 111

CASE CALLED FOR JURY TRIAL  
PARTIES: ELIZABETH A. LIPPETT (JUDGE) MARY DUNNICK (CLERK)  
ANTHONY HOLMQUIST (DEF) DANIEL ALLEN (CA)  
DEFENDANT IS PRESENT IN COURT, AND REPRESENTED BY ANTHONY HOLMQUIST DEFENDANT  
PUBLIC DEFENDER  
OFFENSE WARRANTS READY  
OFFENSE WARRANTS FOR PROSECUTOR'S DEFENSE  
JURY TRIAL IS ORDERED FOR 1:15 PM  
NEXT SCHEDULED COURT:  
04/05/05 111 AM COMMENCEMENT OF TRIAL DIST VHS HNS COURTHOUSE DIV 111

IN 04/05/05 AT 111 AM IN VHS HNS COURTHOUSE DIV 111

CASE CALLED FOR COMMENCEMENT OF TRIAL  
PARTIES: ELIZABETH A. LIPPETT (JUDGE) MARY DUNNICK (CLERK)  
ANTHONY HOLMQUIST (DEF) DANIEL ALLEN (CA)  
DEFENDANT IS PRESENT IN COURT, AND REPRESENTED BY ANTHONY HOLMQUIST DEFENDANT  
PUBLIC DEFENDER  
AT 1:15 PM CASE CALLED. (THE PROSECUTOR'S TRIAL AND ALL  
PARTIES PRESENT.

CASE NO. BARTINE  
DPF NO. 11

PAGE NO. 1  
DATE PRINTED 07/21/00

QUALIFICATION DATE ADMINISTERED TO THE RESPECTIVE JURORS.

CHARGES OF THE COMPLAINT AND PETITIONS READ TO THE RESPECTIVE JURORS BY THE COURT.

JURY WITH CODE BOOKS.

AT 8:00 AM COURT IS RESIDED TO 4/11/01 AT 1:30 PM IN  
REVISION 111. PROSECUTION JURORS ADMONISHED AND RETURNED TO  
RETURN.

NEXT SCHEDULED EVENT:

04/11/01 8:00 AM JURY TRIAL IN PROGRESS TEST FOR JURY CONTINUITY (TV 111)

ON 04/10/01 AT 8:00 AM IN THE JURY CONTINUITY TEST 111

CASE CALLED FOR JURY TRIAL IN PROGRESS

WITNESSES: ELIZABETH A. LIPPETT (JUDGE) MARY DAVIS (CLERK)  
KATHY WILSON (JURY) (JURY) KIMBLE BARNETT (CA)  
DEFENDANT IS PRESENT IN COURT, AND REPRESENTED BY MICHAEL HOFFMAN (DEFENDANT)  
PUBLIC DEFENDER

AT 1:41 PM COURT CALLED. PROSECUTION JURORS AND ALL WITNESSES  
PRESENT.

JURY WITH CODE BOOKS.

AT 1:41 PM (11) ADDITIONAL PROSECUTION JURORS PRESENT.

QUALIFICATION DATE ADMINISTERED TO THE ADDITIONAL (11)

PROSECUTION JURORS.

CHARGES OF THE COMPLAINT AND PETITIONS READ TO THE ADDITIONAL

RESPECTIVE JURORS BY THE COURT.

JURY WITH CODE BOOKS.

AT 1:44 PM (11) JURORS AND WITNESSES ARE ADVISED TO THE THE  
CASE AS REFLECTED IN THE JURY CASE INFORMATION SHEET, FILED  
THIS DATE AND INCORPORATED HEREIN BY REFERENCE.

(11) ALTERNATE JURORS WILL BE DESIGNATED BY RANDOM DRAWING  
FROM THE JURY LIST.

INTRODUCTORY JURY INSTRUCTIONS GIVEN TO THE JURY BY THE COURT.

AT 1:51 PM COURT IS RESIDED TO 4/11/01 IN REVISION 111.

JURY IS ADVISED TO RETURN AT 1:30 PM.

DEFENDANT AND COUSIN ADVISED TO RETURN AT 12:00 PM FOR THE  
4TH C.C. HEARING.

COURT JURORS AND WITNESSES:

-THE COURT ORDERS THE DEFENDANT TO APPEAR ON THE NEXT COURT DATE.

NEXT SCHEDULED EVENT:

04/11/01 8:00 AM JURY TRIAL IN PROGRESS TEST FOR JURY CONTINUITY (TV 111)

ON 04/11/01 AT 8:00 AM IN THE JURY CONTINUITY TEST 111

CASE CALLED FOR JURY TRIAL IN PROGRESS

WITNESSES: ELIZABETH A. LIPPETT (JUDGE) MARY DAVIS (CLERK)  
KATHY WILSON (JURY) (JURY) KIMBLE BARNETT (CA)  
DEFENDANT IS PRESENT IN COURT, AND REPRESENTED BY MICHAEL HOFFMAN (DEFENDANT)  
PUBLIC DEFENDER

AT 11:30 AM COURT CALLED.





Page 103, OmeE143  
294-300, 65

HEREIN  
 DEMAND FOR THE DEFENDANT JOIN IN THE ANSWERS AND CROSS IN THE FILE.  
 COURT FINDS THAT EACH SUCH WAIVER IS KNOWINGLY, VOLUNTARILY, AND ADEQUATELY  
 MADE.  
 THE DEFENDANT WITH THE COURT APPROVAL, PLEASE HOLD DEFENDANT TO COURT IN A  
 REVISION OF SECTION 11200(c) et. The COURT FINDS THE DEFENDANT'S  
 COURT (25) : REVISIONS: DEFENDANT  
 COURT FINDS THAT THERE IS A FACTUAL BASIS FOR DEFENDANT'S FILE, AND THAT  
 ACCEPTS FILE.  
 GRANTS THE FOR SENTENCE.  
 NEXT SCHEDULES COURT:  
 SENTENCE  
 DEFENDANT ADVISES MANAGEMENT FOR JUDGMENT AND STATES THERE IS NO LEGAL CASE  
 WHY SENTENCE SHOULD NOT BE PRISONARY. THE COURT DENIES THE FOLLOWING  
 ALLEGED:  
 AS TO COURT (25):  
 REVISIONS OF DEFENDANT'S DEFENSE  
 DEFENDANT PLANS TO SUBMIT REVISIONS

DEPENDENT. IN ORDER TO OBTAIN A COPY OF THE ABOVE  
CONDITIONS OF ASSOCIATION.

[illegible]

CASE NO. 00000000  
SEP 06, 00

PAGE NO. 8  
DATE PRINTED 07/21/08

ON 08/11/08 AT 8:00 AM IN VAN NUYS COURTHOUSE BOX 111

CASE CALLED FOR PROBATION REPORT  
PARTIES: DOROTHY A. LEFFERT (JUDGE) JANE WILLIAMS (CLERK)  
ANTHONY HOLMQUIST (REP) MARTIN A. HOLMQUIST (CA)  
DEFENDANT IS PRESENT IN COURT, AND NOT REPRESENTED BY COUNSEL.  
DEFENDANT APPEARS IN PRO 408  
WARRANT IN THE AMOUNT OF \$750.00 PAID ON 08/11/08 RECEIPT # 1444270000  
FIVE PAID IN FULL  
NEXT SCHEDULED EVENT:  
PROBATION IS OFFICE

ON 09/05/08 AT 1:00 PM IN VAN NUYS COURTHOUSE BOX 103

CASE CALLED FOR SET 8 - ATTORNEY FEE PAYMENT  
PARTIES: NONE (JUDGE) NONE (CLERK)  
NONE (REP) MURRAY C. ADAMS (CA)  
DEFENDANT IS NOT PRESENT IN COURT, AND NOT REPRESENTED BY COUNSEL.  
PAYMENT RECEIVED FROM THE DEFENDANT FOR THE OUTSTANDING APPOINTED  
COUNSEL REPRESENTATION FEE IN THE AMOUNT OF \$25.00 IN DISCOUNT NUMBER  
0050333.  
NEXT SCHEDULED EVENT:  
PROCEEDINGS TERMINATED

ON 01/01/01 AT 8:00 AM :

RECEIVED AND UNDER ORDER P.C. 1201.6 AND P.C. 1201.6A FROM  
CITY ATTORNEY NOTIFIED  
CASE SENT TO CIV 110 FOR CONSIDERATION  
WARRANT IN THE AMOUNT OF \$50.00 PAID ON 01/01/01 RECEIPT # 1444270000  
PROBATION REPORT AT THE 1201.6

ON 01/01/01 AT 8:00 AM IN VAN NUYS COURTHOUSE BOX 111

CASE CALLED FOR PROBATION REPORT PC SEC 1201.6  
PARTIES: RICHARD A. HOLMQUIST (JUDGE) MARTIN A. HOLMQUIST (CLERK)  
ANTHONY HOLMQUIST (REP) NONE (CA)  
DEFENDANT IS NOT PRESENT IN COURT, AND NOT REPRESENTED BY COUNSEL.  
PROBATION TERMINATED  
IN THE COURT 1201.6  
ANY DEFENDANT NOT REGISTERED  
NEXT SCHEDULED EVENT:  
PROCEEDINGS TERMINATED



County of Los Angeles, California  
Office of the Clerk of the Superior Court  
at 1201.6, page 10000, CLERK, Clerk of the  
Superior Court of the County of Los Angeles  
Date 7/23/08 By Maria S. O. [Signature]

JUDICIAL DISTRICT OF CALIFORNIA COUNTY OF LOS ANGELES		SENTENCE INPRISONMENT		Date of Birth: <u>10/1/1951</u>	
Defendant's Name: <u>Yoon, Yoon</u>		Arrested: <u>10/1/1951</u>		Arrested by: <u>10/1/1951</u>	
Case No. <u>300</u>	File No. <u>300</u>	County of Birth: <u>Los Angeles</u>	County of Arrest: <u>Los Angeles</u>	County of Residence: <u>Los Angeles</u>	County of Trial: <u>Los Angeles</u>

☐ I am a citizen of the United States and I am a resident of the County of Los Angeles.

☐ I am a citizen of the United States and I am a resident of the County of Los Angeles.

☐ I am a citizen of the United States and I am a resident of the County of Los Angeles.

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☐ I am a citizen of the United States and I am a resident of the County of Los Angeles.

☐ I am a citizen of the United States and I am a resident of the County of Los Angeles.

Number of Pages: <u>1</u>	Number of Pages: <u>1</u>
Page No. <u>1</u>	Page No. <u>1</u>
County of Birth: <u>Los Angeles</u>	County of Arrest: <u>Los Angeles</u>
County of Residence: <u>Los Angeles</u>	County of Trial: <u>Los Angeles</u>

I am a citizen of the United States and I am a resident of the County of Los Angeles.

I am a citizen of the United States and I am a resident of the County of Los Angeles.

THE JUDICIAL DISTRICT OF CALIFORNIA  
COUNTY OF LOS ANGELES

10/1/1951

David Young

David James Young (NMI 5657045)

Explanation on the "YES" answer for item ~~4~~ #23

In 2004 I had applied for a real estate license and during the application process there was a question "have you ever been convicted of a crime" and I checked no. In 2000 I had been pulled over for a DUI (already provided documentation pertaining to this incident) and the end result was I pled guilty to reckless driving (non-alcohol related). At which I checked no, even being convicted of a crime I was under the impression that it was merely a traffic violation and not a "conviction of a crime" in which I spent the next year trying to explain that choice. So when it was all said and done the BRE denied me my real estate license because they felt I should have known better. Please feel the attached final information they provided me showing the denial.

David Young  
5657045-0000-0000  
00000000000000000000



BEFORE THE  
DEPARTMENT OF REAL ESTATE  
STATE OF CALIFORNIA

**FILED**  
JAN 13 2005  
DEPARTMENT OF REAL ESTATE

*K. Mendenhall*

In the Matter of the Statement of Issues  
Against:

Case No. E-30544 LA

DAVID J. YOUNG,

CAFE No. 1-2104020583

Respondent.

**PROPOSED DECISION**

This matter was heard by Vincent Kalambe, Administrative Law Judge of the Office of Administrative Hearings, on April 19, 2004, in Los Angeles. Complainant Department of Real Estate was represented by Elisee Mae Lewis, Staff Counsel. Respondent David J. Young was present and represented himself.

Oral and documentary evidence having been received and the matter submitted to decision, the Administrative Law Judge finds as follows:

**FACTUAL FINDINGS**

1. The Administrative Law Judge takes official notice that, on November 26, 2003, the Statement of Issues, Case No. E-30544 LA, was made and filed by Elisee Lewis in her official capacity as Deputy Real Estate Commissioner, Department of Real Estate, State of California (hereinafter Department).

2. On or about January 25, 2005, David J. Young (hereinafter respondent) filed a Subpoena Duces Tecum Application with the Department for issuance of a subpoena duces tecum.

3. (A) Question No. 21 on said subpoena application asks, "Have you ever been convicted of any violation of law?" Convictions expunged under Penal Code Section 1203.4 must be disclosed. However, you may omit minor traffic citations which do not constitute a misdemeanor or felony offense." If the applicant answers "Yes", the application requires the applicant to provide a detailed explanation of any convictions, including the years of conviction, arresting agency, date and type of conviction, date violated, and disposition.

(B) In response to Question No. 23, respondent did not mark the box for either the answer "No" or the answer "Yes". Respondent thus did not answer Question No. 23 but did indicate in the next question that he had no criminal charges pending against him at the time. Respondent certified under penalty of perjury that the answers and statements given on his Subpoena Return Application were true and correct.

4. On or about March 10, 2003, the Department sent a letter to respondent informing him that additional information was needed before his application could be further processed. In said letter, the Department asked "Have you ever been convicted of any violation of law?" Respondent answered the question by marking the answer "No" on the letter and signed and returned the letter to the Department. Respondent's answer was false and incorrect and he made a false statement of fact required to be revealed in his license application.

5. (A) On March 24, 2003, the Department advised respondent that his application was being held pending results from the California Department of Justice of a criminal history check based on his fingerprints. The Department advised that it does not issue an original respondent's license to an applicant until the Department of Justice reports confirms there is no criminal history or discloses the existence of a criminal history information.

(B) On May 7, 2003, the Department wrote to respondent that additional information was required before a determination could be made on his license application. The Department advised that his application was being forwarded to a district office for additional processing.

(C) On June 12, 2003, respondent first met and police records of a criminal conviction with the Department. On or about June 10, 2003, respondent completed an interview information statement. On July 9, 2003, he appeared for an interview with a Deputy Real Estate Commissioner and reported that he had a misdemeanor conviction for reckless driving.

6. (A) On April 17, 2001, before the Superior Court of California, County of Los Angeles, in People v. Daniel J. Yung, Case No. UV340187, respondent was convicted on his plea of guilty or admission of violating Vehicle Code Section 21506(a) (tagging in a motor vehicle is an exhibition of speed) as a misdemeanor. The offense of exhibition of speed in a motor vehicle is one which involving moral turpitude.

(B) As a result of his plea, respondent's sentence was suspended and respondent was placed on summary probation for 24 months on conditions, in part, that he pay a fine of \$240 plus assessment or, in lieu of a fine, perform 70 hours of summary service, pay a restitution fine of \$70, not operate a motor vehicle with any measurable amount of alcohol in his blood system, not refuse to take a chemical or

breath test for alcohol or drug consumption when requested by a peace officer, and not later without a valid driver's license in his possession.

7. The facts and circumstances of respondent's arrest and conviction are as follows: In the evening of December 21, 2000, respondent enjoyed having dinner and drinks at a restaurant with his son Steven (respondent). He left in his car with three acquaintances and offered to drive one of them home to the San Francisco Valley. While respondent was driving southward on the 101 freeway, a police officer followed, observed one of the passengers to throw a lit cigarette from respondent's car, and checked respondent's car at 80 miles per hour. Upon stopping respondent's car, the police officer smelled the odor of alcoholic beverage coming from the car and on respondent's breath and saw that respondent's eyes were red and watery. The police officer had respondent perform field sobriety tests. In the officer's view, respondent failed the tests and he was arrested for driving under the influence of alcohol. Subsequently, respondent completed one of two required breath tests and then refused to undergo a blood test. The result of his one breath test showed he had 0.08 percent of alcohol in his blood. Respondent was then charged with driving under the influence of alcohol, a misdemeanor, and the criminal complaint alleged that he refused to submit to a chemical test of his blood. On April 12, 2001, in the course of a jury trial, the deputy city prosecutor amended the criminal complaint and respondent waived his rights and entered a plea to having engaged or driven a car in an exhibition of speed. Respondent was represented by a (deputy public defender.

8. Respondent acknowledges his conviction. On August 12, 2001, he paid to lift the court ordered fines and assessment in the sum of \$716. He successfully completed the summary probation for his offense and conviction although he has not filed a petition to have the conviction expunged.

9. Respondent also acknowledges that he did not discuss his conviction on his license application but states he was not trying to hide anything. He admits he did not understand the terminology of the question as to whether he had ever been "convicted" of any violation of law. He explains that his public defender told him that he was convicted of reckless driving and, as a result, he thought he had a traffic violation on his record and not a criminal conviction. Respondent claims he did not know that he had been convicted of a crime. He further asserts he did not read the question on the application as to criminal convictions correctly or thoroughly and checked the wrong answer box on his application. Respondent's claims were not credible.

10. Respondent attacks his simple but still not perfect, any protective evidence of rehabilitation from his false statement on his real name subsequent's license application.

11. Respondent is 27 years old. He attained a bachelor of science degree in communications from California State University Northridge in 2003. For the past

five years, he has applied for Mortgage Capital Association to work Los Angeles, his current position is in loan production and customer relations. He hopes to obtain a real estate salesperson's license so that he can become a loan officer. He is also considering attending law school.

\* \* \* \* \*

Based on the foregoing findings of fact, the Administrative Law Judge makes the following determination of law:

#### LEGAL CONCLUSIONS

1. Grounds exist to deny respondent's application for a real estate salesperson's license under Business and Professions Code Section 4901(c) for having knowingly made a false statement of a fact required to be included in his application for license as a real estate salesperson, as set forth in Findings 2 - 4 and 6 and 7 above.

2. Refutation—Based on Findings 8 - 11 above, it was not established that respondent is substantiated from his false statement on his license application under the criteria set forth in Title 18, California Code of Regulations, Section 2912.

First, respondent's explanation for failing to disclose his conviction on license application was not credible. He was arrested and charged with the crime of driving under the influence of alcohol; he was represented by counsel in criminal court proceedings and underwent a jury trial. In a plea bargain and after waiving his rights, he pleaded no contest to admission of guilt as a misdemeanor and was placed on summary probation for two years. Under these circumstances, a reasonable person would have to believe that he had been convicted of a misdemeanor and, if he was not sure, would have made a diligent inquiry of his court record to find out the nature and extent of his criminal case. Respondent's claim that he thought his criminal matter was ruled out as a traffic ticket or parking violation was not credible.

Furthermore, respondent did not answer the question regarding convictions accurately or completely just once but on two occasions. When he first filed his Salesperson License Application with the Department, he did not state any how or to whether he had been convicted of a violation of law. He left the question unanswered and did not disclose his misdemeanor conviction. When asked again by the Department in a follow-up letter, respondent answered the question falsely by indicating he had not ever been convicted of a violation of law. Thus, he had two



DAVID J. YOUNG  


David James Young (NMLS#6204)

Explanation on the 2002 arrest:

I was arrested for trespassing at the Kodak theater with 2 other friends but we were never charged with anything and we were released. There was no paperwork or record on the incident. The arrest happened as such:

We were at dinner on 1<sup>st</sup> floor of the Kodak theater and decided after dinner to go upstairs to a club which was on the third floor. We decided to take the stairs and once we reached the stairs security grabbed us and asked us to follow them, which we did. From what we gathered by the Kodak security there were people in the club that were involved in a fight and they (believed) these people ran out of the club and took the stairs down. When we reached they believed them to be us (which we were not). Once they took us to the holding cell at the police station we were later released and a few weeks later were notified that the DA had thrown the case out. What really was happening at that time was it was them were holding the Best Academy Awards show at the Kodak and security was on high alert and we were just at the wrong place at the wrong time.

David Young  
800-874-4434 ext. 279  
dyoung@mtgcapital.com

3392430

**ENDORSED - FILED**  
in the office of the Secretary of State  
of the State of California

ARTICLES OF INCORPORATION  
OF  
9 LIVES, INC.

JUN 24 2011

ONE: The name of this corporation is 9 LIVES, INC.

TWO: The purpose of this corporation is to engage in any lawful act or activity for which a corporation may be organized under the General Corporation Law of California other than the banking business, the trust company business or the practice of a profession permitted to be incorporated by the California Corporations Code.

THREE: The name and address in this state of the corporation's initial agent for service of process is:

DAVID J. YOUNG  
1135 S. HAYWORTH AVE,  
LOS ANGELES, CA 90035

FOUR: The corporation is authorized to issue only one class of shares of stock which shall be designated common stock. The total number of shares it is authorized to issue is 10,000 shares.

FIVE: The name and address of the person appointed to act as the initial director of this corporation is:

DAVID J. YOUNG  
1135 S. HAYWORTH AVE,  
LOS ANGELES, CA 90035

SIX: The liability of the director of the corporation for monetary damages shall be eliminated to the fullest extent permissible under California law.

SEVEN: The corporation is authorized to indemnify the director and officer of the corporation to the fullest extent permissible under California law.

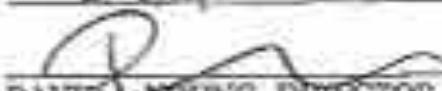
IN WITNESS WHEREOF, the undersigned, being the person named above as the initial director, has executed these Articles of Incorporation.

Dated: 6/24/11

  
DAVID J. YOUNG, DIRECTOR

The undersigned, is the person named above as the initial director, declares that he is the person who executed the foregoing Articles of Incorporation, which execution is his act and deed.

Dated: 6/22/11

  
\_\_\_\_\_  
DAVID YOUNG, DIRECTOR



I hereby certify that the foregoing  
document of \_\_\_\_\_ page(s)  
is a full, true and correct copy of the  
original record in the custody of the  
California Secretary of State's office.

JUL 18 2011

Date: \_\_\_\_\_

*John Bortin*

JOHN BORTIN, Secretary of State



**ENDORSED - FILED**  
In the office of the Secretary of State  
of the State of California

MAY - 4 2012

**CERTIFICATE OF AMENDMENT OF  
ARTICLES OF INCORPORATION**

The undersigned certify that:

1. They are the president and the secretary, respectively, of Y Lines, Inc., a California corporation. (3323433)
2. Article one of the Articles of Incorporation of this corporation is amended to read as follows:  
  
The name of the corporation is:  
The Young Group, Inc.
3. The foregoing amendment of Article of Incorporation has been fully approved by the board of directors.
4. The corporation has issued no shares.

We further declare under penalty of perjury under the laws of the State of California that the contents set forth in this certificate are true and correct to the best of our own knowledge.

DATE: 5/4/2012

  
DAVID L. YOUNG, PRESIDENT

  
DAVID L. YOUNG, SECRETARY

279221 - 1



I hereby certify that the foregoing  
is a true and correct copy of the  
original record in the custody of the  
California Secretary of State's office.

MAY 21 2012

Date

*John B. ...*

JOHN B. ... Secretary of State

**SIMPLEHUMAN**

SHIP TO:  
Simplehuman California  
4800 E. Camelot St.  
Long Beach, CA 90808  
UNITED STATES

INSERT INTO RETURN PACKAGE

EXPRESS CODE: 80773041  
  
RETURN STARTED: 04-05-2021  
IN THIS RETURN: 1 (100%)

ORDER	ITEM DESCRIPTION	ITEM	RETURN TYPE / REASON
			
SH113286			
			
STOCK			
hard-wired wall mount sensor			
Return			
Changed need			

**ENDORSED - FILED**  
in the office of the Secretary of State  
of the State of California

MAY - 4 2012

**CERTIFICATE OF AMENDMENT OF  
ARTICLES OF INCORPORATION**

The undersigned hereby state:

1. They are the president and the secretary, respectively, of Y Live, Inc., a California corporation (5180430).
2. Article one of the Articles of Incorporation of the corporation is amended to read as follows:  
  
The name of the corporation is:  
The Young Group, Inc.
3. The foregoing amendment of Articles of Incorporation has been fully approved by the board of directors.
4. The corporation has issued no shares.

We further declare under penalty of perjury under the laws of the State of California that the signatures on this certificate are true and correct of our own knowledge.

DATE: 5/4/2012

  
DAVID L. YOUNG, PRESIDENT

  
DAVID L. YOUNG, SECRETARY



SECRET



I hereby certify that the foregoing  
is a true and correct copy of the  
original record in the custody of the  
Central Intelligence Agency.

MAY 21 1977

Date

*Jim*

*John Brown*  
ADMINISTRATIVE, Bureau of State