# Towards Domain-Agnostic Contrastive Learning

DACL

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# Background

### Self-supervised learning

- deep learning one core objective
- to discover useful representations from the raw input signals without explicit labels provided by human annotators
- self-supervised learning
- accomplish the objective by reformulating the unsupervised representation learning problem into a supervised learning problem(define a pretext task)
- categorized by the pretext tasks (domain-specific, generally differ from domain to domain)
- **natural language understanding** predict the neighbouring words (word2vec)\ the next word\next sentence\the masked word \the replaced word in the sentence
- **computer vision** rotation prediction/relative position prediction of image patches /image colorization / reconstructing the original image from the partial image and predict an odd video subsequence in a video sequence
- graph-structured data predict the context (neighbourhood of a given node) / the masked attributes of the node
- contrastive learning a form of self-supervised learning
- pretext is to bring positive samples closer than the negative samples in the representation space

# Background

### **Contrastive learning**

categorized by how the positive and negative samples are constructed

domain-specific augmentations — state-of-the art for computer vision

not domains where semantic-preserving data augmentation does not exist, such as graph-data or tabular data

#### define the local and global context

not domains where such global and local context does not exist, such as tabular data

#### use the ordering in the sequential data

not if the data sample cannot be expressed as an ordered sequence, such as graphs and tabular data

#### simplest solution

add a sufficiently small random noise to a given sample to construct examples that are similar to it

mixup based methods — widely remarkable success in various problems

recently explored in contrastive learning 2020

this paper differs from existing methods in theoretically demonstration, several forms of mixup-noise, applicable to different domains and no additional gradient computation

### Problem Definition

### contrastive learning objective

- Suppose we have an encoding function h: x-> $\mathbf{h}$ , the anchor sample  $\mathbf{x}$ , its corresponding positive and negative samples  $x^+$  and  $x^-$
- the objective of contrastive learning is to bring the anchor and the positive sample closer in the embedding space the the anchor and the negative sample, which is to satisfy the following condition:

$$sim(h, h^+) > sim(h, h^-)$$

• Suppose that  $\{x_k\}_{k=1}^N$  is a set of N samples such that it consists of a sample xi which is semantically similar to xj and dissimilar to all the other samples in the set. Then the InfoNCE loss is defined as followed to maximize the similarity between the positive pair and minimize the similarity between the negative pairs:

$$\ell_{i,j} = -\log \frac{\exp(\operatorname{sim}(\boldsymbol{h}_i, \boldsymbol{h}_j))}{\sum_{k=1}^{N} 1_{[k \neq i]} \exp(\operatorname{sim}(\boldsymbol{h}_i, \boldsymbol{h}_k))}$$

# Mixup Noise

#### Gaussian-noise

• Consider an image *x* and adding Gaussian-noise to it for constructing the positive sample:

$$x^+ = x + \delta$$
, where  $\delta \sim N(0, \sigma^2 I)$ 

- In this case, to maximize the similarity between x and  $x^+$ , the network can learn just to take an average over the neighboring pixels to remove the noise, thus bypassing learning the semantic concepts in the image.
- The central hypothesis of DACL method is that a network is forced to learn better features if the noise captures the structure of the data manifold rather than being independent of it. For mixup noise, it forces the network to learn better features

# Linear-Mixup Noise

domains where natural data augmentation methods are not available, data has a fixed topology Given a data distribution  $D = \{x_k\}_{k=1}^N$ 

• create positive samples using Mixup in the input space by taking its random interpolation with another randomly chosen sample from the same distribution D:

$$x^{+} = \lambda x + (1 - \lambda)\tilde{x}$$

where  $\lambda$  is a coefficient sampled from a random distribution such that  $x^+$  is closer to x than  $x^-$ .

• negative samples — positive samples corresponding to other anchor samples

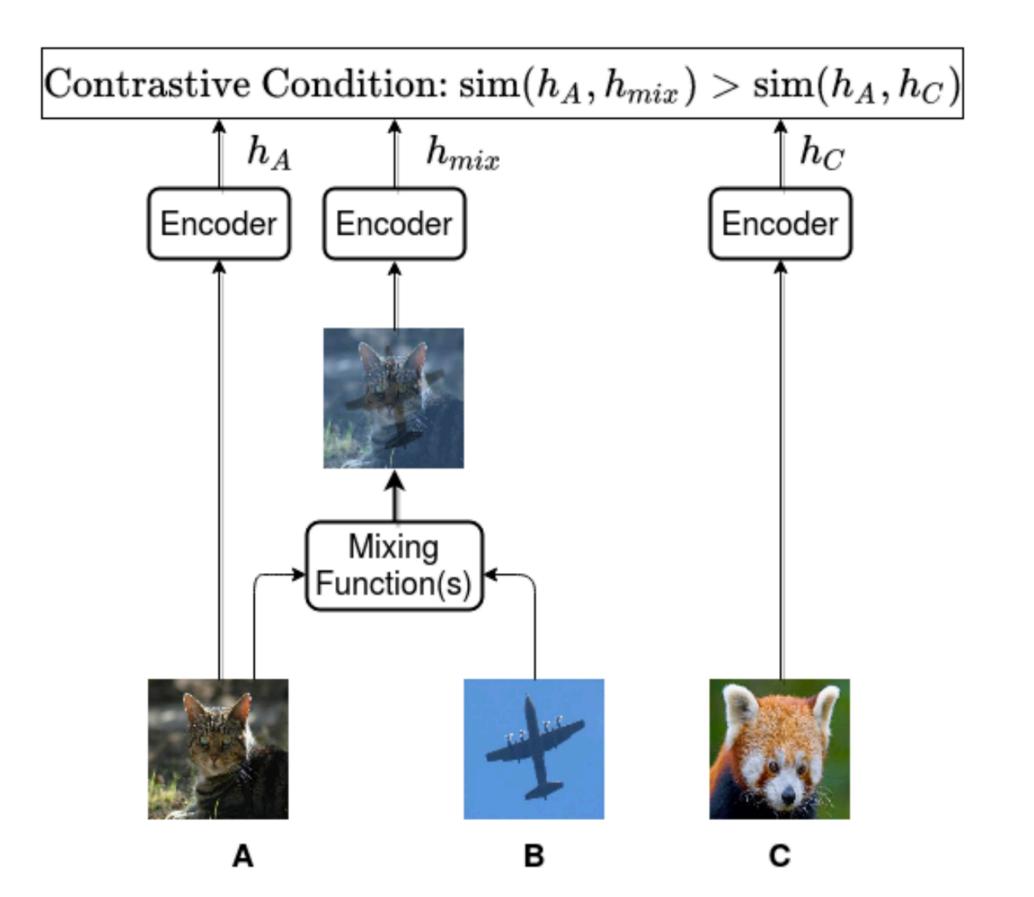


Figure 1. For a given sample A, we create a positive sample by mixing it with another random sample B. The mixing function can be either of the form of Equation 3 (Linear-Mixup), 5 (Geometric-Mixup) or 6 (Binary-Mixup), and the mixing coefficient is chosen in such a way that the mixed sample is closer to A than B. Using another randomly chosen sample C, the contrastive learning formulation tries to satisfy the condition  $\sin(h_A, h_{mix}) > \sin(h_A, h_C)$ , where sim is a measure of similarity between two vectors.

# Linear-Mixup Noise

### data has a non-fixed topology, such as sequences, trees and graphs

• create positive samples by mixing fixed-length hidden representation of samples:

Formally, let us assume that there exists an encoder function  $h: I \to \mathbf{h}$  that maps a sample I from such domains to a representation  $\mathbf{h}$  via an intermediate layer that has a fixed-length hidden representation v, then we create positive sample in the intermediate layer as:

$$v^{+} = \lambda v + (1 - \lambda)\tilde{v}$$

- negative samples positive samples corresponding to other anchor samples
- So, Mixup noise is adding noise to a given sample in the direction of another sample in the data distribution.

# Additional Forms of Mixup Noise

### Geometric-Mixup, Binary-Mixup

• In GeometricMixup, we create a positive sample corresponding to a sample x by taking its weighted-geometric mean with another randomly chosen sample  $\tilde{x}$ :

$$oldsymbol{x}^+ = oldsymbol{x}^{\lambda} \odot ilde{oldsymbol{x}}^{(1-\lambda)}$$

• In Binary-Mixup (Beckham et al., 2019), the elements of x are swapped with the elements of another randomly chosen sample  $\tilde{x}$ . This is implemented by sampling a binary mask  $m \in \{0, 1\}^k$  (where k denotes the number of input features) and performing the following operation:

$$x^+ = x \odot m + \tilde{x} \odot (1 - m)$$

# Algorithm 1

#### Mixup-nose Domain-Agnostic Contrastive Learning

#### • DACL+

For a given sample x, we randomly select a noise function from LinearMixup, Geometric-Mixup, and Binary-Mixup, and apply this function to create both of the positive samples corresponding to x (line 7 and 13 in Algorithm 1). The rest of the details are the same as Algorithm1. We refer to this procedure as DACL+ in the following experiments.

```
1: input: batch size N, temperature \tau, encoder function
      h, projection-head g, hyperparameter \alpha.
 2: for sampled minibatch \{x_k\}_{k=1}^N do
         for all k \in \{1, \dots, N\} do
             # Create first positive sample using Mixup Noise
            \lambda_1 \sim U(\alpha, 1.0) # sample mixing coefficient
            oldsymbol{x} \sim \{oldsymbol{x}_k\}_{k=1}^N - \{oldsymbol{x}_{oldsymbol{k}}\}
            \tilde{\boldsymbol{x}}_{2k-1} = \lambda_1 \boldsymbol{x}_k + (1 - \lambda_1) \boldsymbol{x}
            \boldsymbol{h}_{2k-1} = h(\tilde{\boldsymbol{x}}_{2k-1}) # apply encoder
            z_{2k-1} = g(h_{2k-1}) # apply projection-head
 9:
10:
               # Create second positive sample using Mixup
         Noise
            \lambda_2 \sim U(\alpha, 1.0) # sample mixing coefficient
11:
            oldsymbol{x} \sim \{oldsymbol{x}_k\}_{k=1}^N - \{oldsymbol{x}_{oldsymbol{k}}\}
12:
            \tilde{\boldsymbol{x}}_{2k-1} = \lambda_2 \boldsymbol{x}_k + (1 - \lambda_2) \boldsymbol{x}
13:
           \boldsymbol{h}_{2k} = h(\tilde{\boldsymbol{x}}_{2k})
                                                            # apply encoder
14:
             z_{2k} = g(h_{2k}) # apply projection-head
15:
         end for
16:
         for all i \in \{1, \dots, 2N\} and j \in \{1, \dots, 2N\} do
17:
            s_{i,j} = \mathbf{z}_i^{\top} \mathbf{z}_j / (\|\mathbf{z}_i\| \|\mathbf{z}_j\|) # pairwise similarity
18:
         end for
19:
       define \ell(i,j) = -\log \frac{\exp(s_{i,j}/\tau)}{\sum_{k=1}^{2N} 1_{[k \neq i]} \exp(s_{i,k}/\tau)}
21: \mathcal{L} = \frac{1}{2N} \sum_{k=1}^{N} \left[ \ell(2k-1, 2k) + \ell(2k, 2k-1) \right]
         update networks h and g to minimize \mathcal{L}
23: end for
24: return encoder function h(\cdot), and projection-head g(\cdot)
```

# Theoretical Analysis

analyze and compare the properties of Mixup-noise and Gaussian-noise based contrastive learning for a binary classification task

- first prove that for both Mixup-noise and Gaussian-noise, optimizing hidden layers with a contrastive loss is related to minimizing classification loss with the last layer being optimized using labeled data
- then prove that the proposed method with Mixup-noise induces a different regularization effect on the classification loss when compared with that of Gaussian-noise.shows the advantage of Mixup-noise over Gaussian-noise when the data manifold lies in a low dimensional subspace
- contrastive learning with Mixup-noise has implicit data-adaptive regularization effects that promote generalization

#### linear evaluation

- use the linear evaluation protocol to evaluate the learned representations where a linear classifier is trained on top of a frozen encoder network, and the test accuracy is used as a proxy for representation quality
- discard the projection-head during linear evaluation(similar to SimCLR as the baseline)
- domains tabular, images and graphs

#### Algorithm 1 SimCLR's main learning algorithm.

```
input: batch size N, temperature \tau, structure of f, g, \mathcal{T}.
for sampled minibatch \{x_k\}_{k=1}^N do
   for all k \in \{1, \ldots, N\} do
       draw two augmentation functions t \sim T, t' \sim T
        # the first augmentation
        \tilde{\boldsymbol{x}}_{2k-1} = t(\boldsymbol{x}_k)
       h_{2k-1} = f(\tilde{x}_{2k-1})
                                                             # representation
       z_{2k-1} = g(h_{2k-1})
                                                                   # projection
       # the second augmentation
       \tilde{\boldsymbol{x}}_{2k} = t'(\boldsymbol{x}_k)
       \boldsymbol{h}_{2k} = f(\tilde{\boldsymbol{x}}_{2k})
                                                             # representation
       \boldsymbol{z}_{2k} = g(\boldsymbol{h}_{2k})
                                                                   # projection
   end for
   for all i \in \{1, ..., 2N\} and j \in \{1, ..., 2N\} do
       s_{i,j} = \mathbf{z}_i^{\mathsf{T}} \mathbf{z}_i / (\tau \|\mathbf{z}_i\| \|\mathbf{z}_i\|) # pairwise similarity
   end for
   define \ell(i,j) as \ell(i,j) = -\log \frac{\exp(s_{i,j})}{\sum_{k=1}^{2N} \mathbb{1}_{[k \neq i]} \exp(s_{i,k})}
   \mathcal{L} = \frac{1}{2N} \sum_{k=1}^{N} \left[ \ell(2k-1, 2k) + \ell(2k, 2k-1) \right]
   update networks f and g to minimize \mathcal{L}
end for
return encoder network f
                                                               知乎 @mileistone
```

#### **Tabular Data**

Datasets

Fashion-MNIST + CIFAR-10

Baselines

No-pretraining

Gaussian-noise based contrastive leaning

Full network supervised training

Results

DACL performs significantly better than the Gaussiannoise based contrastive learning

DACL+ further improves the performance of DACL

DACL gives better performance than training the full network in a supervised manner

Method	Fashion-MNIST	CIFAR10
No-Pretraining	66.6	26.8
Gaussian-noise	75.8	27.4
DACL	81.4	37.6
DACL+	82.4	<b>39.7</b>
Full network		
supervised training	79.1	35.2

Table 1. Results on tabular data with a 12-layer fully-connected network.

### **Image Data**

- Datasets: CIFAR-10 + CIFAR-100
- Baselines:

No-Pretraining,

Gaussian-noise based contrastive learning

SimCLR

• Results:

DACL is better than Gaussian-noise based contrastive learning by a wide margin and DACL+ can improve the test accuracy even further.

DACL falls short of methods that use image augmentations such as SimCLR.

the invariances learned using the image-specific augmentation methods facilitate learning better representations than making the representations invariant to Mixup-noise.

Method	CIFAR-10	CIFAR-100
No-Pretraining	43.1	18.1
Gaussian-noise	56.1	29.8
DACL	81.3	46.5
DACL+	83.8	52.7
SimCLR	93.4	73.8
SimCLR+DACL	94.3	75.5

Table 2. Results on CIFAR10/100 with ResNet50( $4\times$ )

### **Image Data**

- Datasets: ImageNet
- Baselines: recent contrastive learning methods
- SimCLR+DACL refers to the combination of the SimCLR and DACL methods, which is implemented using the following steps: (1) for each training batch, compute the SimCLR loss and DACL loss separately and (2) pretrain the network using the sum of SimCLR and DACL losses.
- Results:

combine DACL with SimCLR can improve the performance of SimCLR across all the datasets.

suggest that Mixup-noise is complementary to other image data augmentations for contrastive learning.

Method	Architecture	Param(M)	Top 1	Top 5
Rotation (Gidaris et al., 2018)	ResNet50 (4×)	86	55.4	-
BigBiGAN (Donahue & Simonyan, 2019)	ResNet50 (4×)	86	61.3	81.9
AMDIM	Resnet30 (4×)	80	01.5	01.9
(Bachman et al., 2019)	Custom-ResNet	626	68.1	-
CMC (Tian et al., 2019)	ResNet50 $(2\times)$	188	68.4	88.2
MoCo (He et al., 2020)	ResNet50 $(4\times)$	375	68.6	-
CPC v2 (Hénaff et al., 2019)	ResNet161	305	71.5	90.1
BYOL (300 epochs)				
(Grill et al., 2020)	ResNet50 $(4\times)$	375	72.5	90.8
No-Pretraining	ResNet50 $(4\times)$	375	4.1	11.5
Gaussian-noise	ResNet50 $(4\times)$	375	10.2	23.6
DACL	ResNet50 $(4\times)$	375	24.6	44.4
SimCLR (Chen et al., 2020b)	ResNet50 $(4\times)$	375	73.4	91.6
SimCLR+DACL	ResNet50 $(4\times)$	375	74.4	92.2

Table 3. Accuracy of linear classifiers trained on representations learned with different self-supervised methods on the ImageNet dataset.

### Graph-Structured Data/Graph classification

- datasets: MUTAG, PTC-MR, REDDIT-BINARY, REDDIT-MULTI-5K, IMDB-BINARY, and IMDB-MULTI
- baseline: InfoGraph—based on maximizing the mutual-information between the global and node-level features of a graph by formulating this as a contrastive learning problem.
- it is required to obtain fixed-length representations from an intermediate layer of the encoder and Mixup-noise applied to the output of the encoder
- Results:

DACL closely matches the performance of InfoGraph, with the classification accuracy of these methods being within the standard deviation of each other.

In terms of the classification accuracy mean, DACL outperforms InfoGraph on four out of six datasets. no domain knowledge used for formulating the contrastive loss, yet achieved comparable performance to a state-of-the-art graph contrastive learning method.

#### **Towards Domain-Agnostic Contrastive Learning**

Dataset	MUTAG	PTC-MR	REDDIT-BINARY	REDDIT-M5K	IMDB-BINARY	IMDB-MULTI
No. Graphs	188	344	2000	4999	1000	1500
No. classes	2	2	2	5	2	3
Avg. Graph Size	17.93	14.29	429.63	508.52	19.77	13.00
			Method			
No-Pretraining	$81.70 \pm 2.58$	$53.07 \pm 1.27$	$55.13 \pm 1.86$	$24.27 \pm 0.93$	$52.67 \pm 2.08$	$33.72 \pm 0.80$
InfoGraph (Sun et al., 2020)	$86.74 \pm 1.28$	$57.09 \pm 1.52$	$63.52 \pm 1.66$	$42.89 \pm 0.62$	$63.97 \pm 2.05$	$39.28 \pm 1.43$
DACL	$85.31 \pm 1.34$	$59.24 \pm 2.57$	$66.92 \pm 3.38$	$42.86 \pm 1.11$	$64.71 \pm 2.13$	$40.16 \pm 1.50$

Table 4. Classification accuracy using a linear classifier trained on representations obtained using different self-supervised methods on 6 benchmark graph classification datasets.

### Future Work

#### contributions & future work

• contributions of this paper:

propose Mixup-noise as a way of constructing positive and negative samples for contrastive learning and conduct theoretical analysis to show that Mixup-noise has better generalization bounds than Gaussian-noise.

show that using other forms of data-dependent noise (geometric-mixup, binary-mixup) can further improve the performance of DACL.

extend DACL to domains where data has a non-fixed topology (for example, graphs) by applying Mixup-noise in the hidden states.

demonstrate that Mixup-noise based data augmentation is complementary to other image-specific augmentations for contrastive learning, resulting in improvements over SimCLR baseline for CIFAR10, CIFAR100 and ImageNet datasets.

• future work could be:

extend DACL to other domains such as natural language and speech.

extend this analysis to the multi-class setting might shed more light on developing a better Mixup-noise based contrastive learning method

extend the experiments by mixing between more than two samples or learning the optimal mixing policy through an auxiliary network

Q&A

Thanks!