Advanced SQL

02 — The Core of SQL

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1 The Core of SQL

- Let us recollect the **core constructs of SQL**, synchronize notation, and introduce query conventions.
- If you need to refresh your SQL memory, consider
 - the notes for DB1 (Chapters 6 and 9)
 - the PostgreSQL web (Part II, The SQL Language)
- We will significantly expand on this base SQL vocabulary during the semester.

Sample Table

Table T serves as a common "playground" for the upcoming SQL queries:

<u>a</u>	b	C	d
1	'X'	true	10
2	'y'	true	40
3	'X'	false	30
4	'y'	false	20
5	X	true	NULL

Table T

```
CREATE TABLE T (a int PRIMARY KEY, -- implies NOT NULL b text, -- here: char(1) c boolean, d int);
```

2 Row Variables

• Iterate over all rows of table T (in *some* order: bag semantics), bind **row variable** t to current row:

```
SELECT t -- 2 t is bound to current row of T FROM T AS t -- 1 bind/introduce t
```

- If you omit AS t in the FROM clause, a row variable T (generally: AS) will be implicitly introduced.
- This course: always explicitly introduce/name row variables for disambiguation, clarity, readability.

```
SELECT t -- 2 t is bound to current row of T FROM T AS t -- 1 bind/introduce t
```

 Row variable t is iteratively bound to row values whose field values and types are determined by the rows of table T:

field names: a b c d
$$t = (5, | x', true, NULL) \\ t = (1, | x', true, 10) \\ \vdots \\ t = (2, | y', true, 40)$$
field types: int text boolean int

Row Types

- t:: T with T = (a int, b text, c boolean, d int). Row type T is defined when CREATE TABLE T (...) is performed.
- ullet A row type au can also be explicitly defined via

CREATE TYPE τ AS (a int, b text, c boolean, d int)

 A table T1 equivalent to T — well, almost... — may then be created via

CREATE TABLE T1 OF τ

1 Read :: as "has type."

Row Field Access and * ("Star")

- Named field access uses dot notation. Assume t :: T and binding t = (5, 'x', true, NULL) then:
 - t.b evaluates to 'x' (of type text),
 - t.d evaluates to NULL (of type int).
- Field names are *not* first-class in SQL and must be provided verbatim (i.e., may *not* be computed).
- Notation t.* abbreviates t.a, t.b, t.c, t.d in contexts where this makes sense.²

² t.* is most often used in SELECT clauses.

• Row comparisons between rows t₁, t₂ are performed field-by-field and lexicographically (provided that the field types match). Assume t₁ :: T, t₂ :: T:

```
\circ t_1 = t_2 \iff t_1.a = t_2.a AND \cdots AND t_1.d = t_2.d \circ t_1 < t_2 \iff t_1.a < t_2.a OR (t_1.a = t_2.a AND t_1.b < t_2.b) OR \cdots
```

• A row value is NULL iff all of its field values are NULL.

Assume the binding t = (NULL, NULL, NULL, NULL). Then t IS NULL holds.

3 The SELECT Clause

A **SELECT clause** evaluates n expressions $e_1, ..., e_n$:

SELECT e_1 **AS** c_1 , ..., e_n **AS** c_n

- Creates n columns named c_1, \ldots, c_n .
- In absence of AS c_i , PostgreSQL assigns name "?column?" (for all such unnamed columns) \Rightarrow ambigiuity $\stackrel{*}{\simeq}$.
- This course: explicitly use AS to name columns unless a name can be derived from e_i (e.g., when e_i ≡ t.a).
- If column/table names are case-sensitive or contain whitespace/symbols/keywords: wrap in quotes "c:".

Standalone SELECT

- If query Q generates n row bindings, SELECT is evaluated n times to emit n rows (but see aggregates below).
- A standalone SELECT (no FROM clause) is evaluated exactly once and emits a single row:

SELECT 1 + 41 AS "The Answer", 'Gla' || 'DOS' AS Portal;

The Answer	portal
42	GlaDOS

4 Literal Tables (VALUES)

A VALUES clause constructs a transient table from a list of provided row values e_i :

VALUES e_1, \ldots, e_n

- If n > 1, the e_i must agree in arity and field types (row value e_1 is used to infer and determine types).
- VALUES automatically assigns column names "column $\langle i \rangle$ ". Use column aliasing to assign names (see FROM below).
- Orthogonality: a VALUES clause (in parentheses (...)) may be used anywhere a SQL query expects a table.

```
5 Generating Row Variable Bindings (FROM)
```

A FROM clause expects a set of tables T_i and successively binds the row variables t_i to the tables' rows:

- The T_i may be table names or SQL queries computing tables (enclosed in (\cdots)).
- If you need to rename the columns of T_i (recall the VALUES clause), use **column aliasing** on all (or only the first $k \ge 0$ columns:

$$T_i$$
 AS $t_i(\underline{c_{i1}}, \underline{...}, \underline{c_{ik}})$

FROM Computes Cartesian Products

```
SELECT ... FROM T_1 AS t_1, ..., T_n AS t_n
```

- This FROM clause generates $|T_1| \times \cdots \times |T_n|$ bindings. Semantics: compute the **Cartesian product** $T_1 \times \cdots \times T_n$, draw the bindings for the t_i from this product.
- FROM operates over a *set* of tables (',' is associative and commutative).
- In particular, row variable t_i is not in scope in the table subqueries T_{i+1} , ..., T_n (but see LATERAL).

6 WHERE Discards Row Bindings

A WHERE clause introduces a predicate p that is evaluated under all row variable bindings generated by FROM:

- ullet All row variables t_i are in scope in p.
- Only bindings that yield p = true are passed on.³
- Absence of a WHERE clause is interpreted as WHERE true.

³ If p evaluates to NULL \neq true, the binding is discarded.

7 Compositionality: Subqueries Instead of Values

The meaning of a complex expression is determined by the meanings of constituent expressions. ??

—Principle of Compositionality

With the advent of the SQL-92 and SQL:1999 standards, SQL has gained in compositionality and orthogonality:

- Wherever a (tabular or scalar) value ν is required, a SQL expression in (...)—a **subquery**—may be used to compute ν .
- Subqueries nest to arbitrary depth.

Scalar Subqueries: Atomic Values

A SQL query that computes a **single-row**, **single-column table** (column name \Box irrelevant) may be **used in place of an atomic value** ν :



In a scalar subquery...

- ... an empty table is interpreted as NULL,
- ... a table with > 1 rows or > 1 columns will yield a runtime error ...

Scalar Subqueries: Atomic Values

- Runtime errors for WHERE t.a > 2 or SELECT t.a, t.d
- Subquery yields NULL: WHERE t.a = 0
- AS _ assigns a "don't care" column name—this is a case where column naming is obsolete and adds nothing.

Scalar Subqueries: Row Values

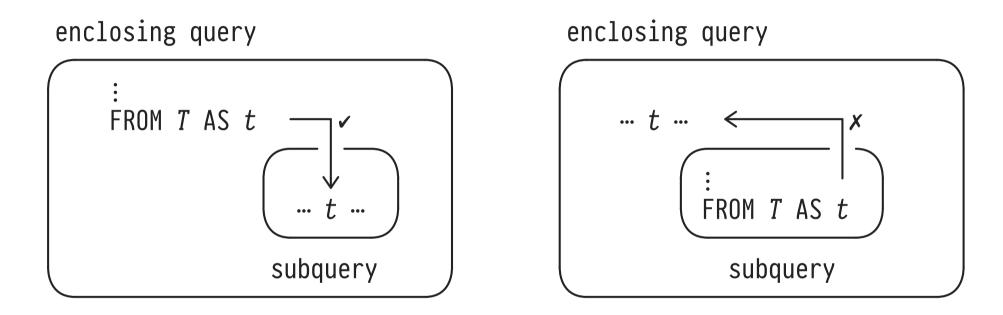
A SQL query that computes a **single-row table** with column names c_i may be **used in place of row value** $(v_1, ..., v_n)$ with field names c_i :



In a scalar subquery...

- ... an empty table is interpreted as (NULL, ..., NULL),
- ... a table with > 1 rows will yield a runtime error.

Subqueries may refer to any row variable t bound in their enclosing queries (up to the top-level query):



• NB: Seen from inside the subquery—i.e., inside the (...)—row variable t is free (binding provided externally).

Subqueries, Free Row Variables, Correlation

• If t is free in subquery q, we may understand the subquery as a function q(t): you supply a value for t, I will compute the (tabular) value of q:

```
SELECT t1.*

FROM T AS t1

WHERE t1.b <> (SELECT t2.b
FROM T AS t2
WHERE t1.a = t2.a)

\uparrow
free evaluated 5 times under t1 bindings:

t1 \equiv (1, ...)
t1 \equiv (2, ...)
t1 \equiv (3, ...)
t1 \equiv (4, ...)
t1 \equiv (5, ...)
```

 Subqueries featuring free variables are also known as correlated.

```
8 Row Ordering (ORDER BY)
```

SQL tables are unordered bags of rows, but rows may be locally ordered for result display or positional access:

```
      SELECT ...
      -- 3

      FROM ...
      -- 1

      WHERE ...
      -- 2

      ORDER BY e<sub>1</sub>, ..., e<sub>n</sub>
      -- 4
```

- The order of the e_i matters: sort order is determined lexicographically with e_1 being the major criterion.
- Sort criteria e_i are expressions that may refer to column names in the SELECT clause (evaluated after SELECT).

SELECT t.* FROM T AS t ...

		a	b	С	d	
		5	'X'	true	NULL	
		1	'X'	true	10	
		4	'y'	false	20	
		3	'X'	false	30	
		2	'y'	true	40	
•••	ORDE	R	BY t.	d ASC	NULLS	FIRST

	a	b	C	d	
	4	'y'	false	20	
	2	'ŷ'	true	40	
	3	'X'	false	30	
	1	'X'	true	10	
	_ 5	'X'	true	NULL	
•••	ORDI	ER BY	t.b D	ESC,	t.c

• Note: ASC (ascending) is default. NULL is larger than any non-NULL value. Ties: order is implementation-dependent.

ORDER BY establishes a well-defined row order that is **local** to the current (sub)query:

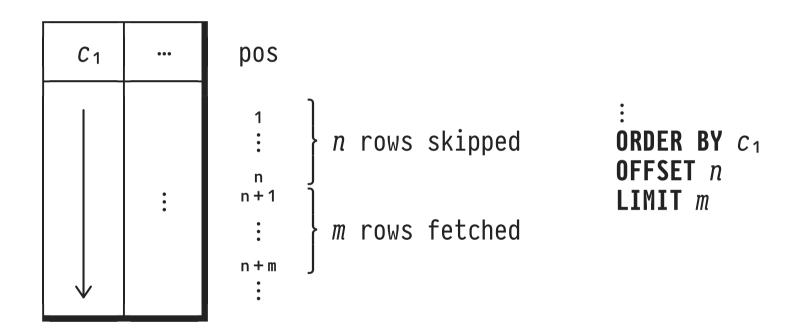
```
may yield rows in any order

SELECT t1.*
FROM (SELECT t2.*
FROM T AS t2
ORDER BY t2.a) AS t1;

guaranteed row order
inside the subquery only
```

- Never rely on row orders that appear consistent across runs—may vary between DBMSs, presence of indexes, etc.
- Q: What, then, is such local row order good for?

Once row order has been established it makes sense to "skip to the nth row" or "fetch the next m rows."



- OFFSET 0: read from the start. LIMIT ALL: fetch all rows.
- Alternative syntax: FETCH [FIRST | NEXT] m ROWS ONLY.

9 Identify Particular Rows Among Peers (DISTINCT ON)

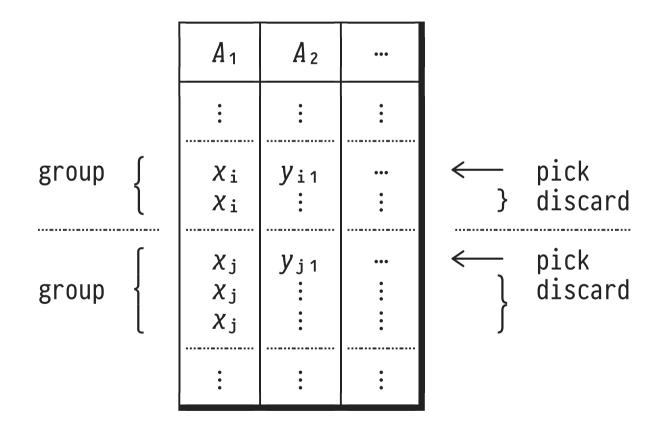
Extract the first row among a group of equivalent rows:

```
prefix of ORDER BY clause SELECT DISTINCT ON (e_1, ..., e_n) (c_1, ..., c_k) -- 2 FROM ... ORDER BY (e_1, ..., e_n) (e_1, ..., e_n) (e_1, ..., e_n) -- 3
```

- 1. Sort rows in e_1 , ..., e_n , e_{n+1} , ..., e_m order.
- 2. Rows with identical e_1 , ..., e_n values form one group.
- 3. From each of these groups, pick the first row in e_{n+1} , ..., e_m order.
- ! Without ORDER BY, step 3 picks any row in each group.

DISTINCT ON: Group, Then Pick First in Each Group

SELECT DISTINCT ON (A_1) ... -- For each A_1 , pick the row ... FROM ... ORDER BY A_1 , A_2 DESC -- ... with the largest A_2



DISTINCT: Table-Wide Duplicate Removal

Keep only a single row from each group of duplicates:

- True duplicate removal: rows are considered identical if they agree on **all** k columns c_{i} .
- Row order is irrelevant. DISTINCT returns a set of rows.
- May use SELECT ALL ... to explicitly document that a query is expected to return duplicate rows.

⁴ This is equivalent to SELECT DISTINCT ON $(c_1,...,c_k)$ $c_1,...,c_k$ FROM

10 | Summarizing Values: Aggregates

Aggregate functions (short: aggregates) reduce a collection of values to a single value (think summation, maximum).

• Simplest form: collection ≡ entire table:

```
SELECT agg_1(e_1) AS c_1, ..., agg_n(e_n) AS c_n FROM ...
```

- Reduction of input rows: result table will have one row.
- Cannot mix aggregates with non-aggregate expression *e* in a SELECT clause: which value of *e* should we pick?

⁵ But see GROUP BY later on.

```
SELECT agg(e) AS c — e will typically refer to t — range over entire table T
```

Aggregate agg defined by triple (Φ^{agg}, z^{agg}, ⊕^{agg}):
 Φ^{agg} (empty): aggregate of the empty value collection
 z^{agg} (zero): aggregate value initialiser
 ⊕^{agg} (merge): add value to existing aggregate

```
a \leftarrow \phi^{agg} -- a will be aggregate value

for t in T -- iterate over all rows of T

x \leftarrow e(t) -- value to be aggregated

if x \neq \text{NULL} -- aggregates ignore NULL values (x)

a \leftarrow \phi^{agg} -- once we see first non-NULL value:

a \leftarrow \phi^{agg} -- initialize aggregate

a \leftarrow \phi^{agg} -- maintain running aggregate
```

Aggregate Functions: Semantics

Aggregate agg	φagg	Z ^{agg}	\bigoplus agg(a, χ)
COUNT	0	0	a + 1
SUM	$NULL^6$	0	a + x
AVG ⁷	NULL	<0, 0>	(a.1 + x, a.2 + 1)
MAX	NULL	-∞	$\max_{2}(a, x)$
MIN	NULL	+∞	$\min_{2}(a, x)$
bool_and	NULL	true	$a \wedge x$
bool_or	NULL	false	$a \vee x$
•	:	•	•

• The special form COUNT(*) counts rows regardless of their fields' contents (NULL, in particular).

⁶ If you think "this is wrong," we're two already. Possible upside: sum differentiates between summation over an empty collection vs. a collection of all 0s.

⁷ Returns a.1 / a.2 as final aggregate value.

Aggregate Functions on Table T

#rows	#d		max(b)		
_5	4	100	'y'	false	true
		p	≡ true		

#rows	#d	Σd	max(b)	Уc	0E=bE
0	0	NULL	NULL	NULL	NULL

$$p \equiv false$$

Ordered Aggregates

- For most aggregates agg, merge ⊕agg is commutative (and associative): row order does not matter.
- Order-sensitive aggregates admit a trailing ORDER BY e_1 , ..., e_n argument that defines row order:

```
cast to text separator string

SELECT string_agg(t.a :: text, ',' ORDER BY t.d) AS "all a"

FROM T AS t
```

all a '1,4,3,2,5'

 $^{^{8}}$ \oplus string-agg essentially is || (string concatenation) which is not commutative.

Filtered and Unique Aggregates

```
SELECT agg(e) FILTER (WHERE p)
FROM ...
```

• FILTER clause alters aggregate semantics (see *):

```
:

x ← e(t)

if x ≠ NULL ∧ p(x):

:
```

```
SELECT agg(DISTINCT e)
FROM ...
```

Aggregates distinct (non-NULL) values of expression e.
 (May use ALL to flag that duplicates are expected.)

11 Forming Groups of Rows

Once FROM has generated row bindings, SQL clauses operate row-by-row. After GROUP BY: operate group-by-group:

```
      SELECT e<sub>1</sub>, ..., e<sub>m</sub>
      -- 5

      FROM ...
      -- 1

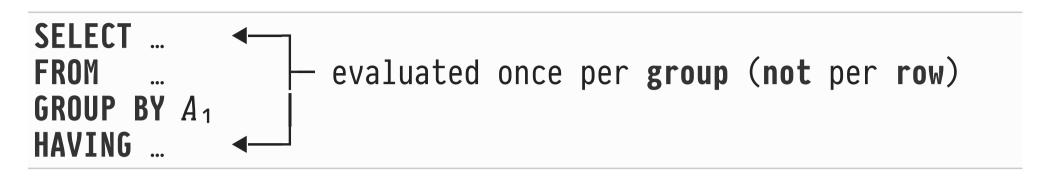
      WHERE ...
      -- 2

      GROUP BY g<sub>1</sub>, ..., g<sub>n</sub>
      -- 3

      HAVING p
      -- 4
```

- All rows that agree on all expressions g_i (the set of grouping criteria) form one group.
- \Rightarrow Steps 4 and 5 process groups (not individual rows). This affects expressions p and the e_j .

GROUP BY Partitions Rows



	A ₁	A 2	•••
	•	•	:
the x_i group {	<i>X</i> i	<i>y</i> _{i1}	:
the x_j group $\left\{ \begin{array}{c} \end{array} \right.$	Х _ј Хј	Уј1 Уј2	:
	•	•	•

Grouping partitions the row bindings:

- there are no empty groups
- each row belongs to exactly one group

GROUP BY Changes Field Types From τ **To bag** (τ) ⁹

```
SELECT t.b, t.d FROM T AS t GROUP BY t.b

SELECT the(t.b) AS b, SUM(t.d) AS "Σd"

FROM T AS t GROUP BY t.b
```

- t.d references current group of d values: violates 1NF!
 ⇒ After GROUP BY: must use aggregates on field values.
- t.b references current group of b values all of which are
 equal in a group ⇒ SQL: using just t.b is OK.
- (* May think of hypothetical aggregate the(e) that picks one among equal e values.)

⁹ A view of GROUP BY that is due to Philip Wadler.

Aggregates are Evaluated Once Per Group

group	size	Σd	∀even(a)	all a
' X '	3	40	false	'1;3;5'
'y'	2	60	true	'2;4'

 HAVING p acts like WHERE but after grouping: p = false discards groups (not rows).

Grouping Criteria

- The grouping criteria g_i form a set—order is irrelevant.
- Grouping on a **key** effectively puts each row in its own singleton group. (Typically a query smell .)
- Expressions e that are functionally dependent on the gi are constant within a group (and thus can be used in SELECT).
 - \circ If SQL does not know about the FD, explicitly add e to the set of g_i —this will not affect the grouping.

12 | Bag and Set Operations

Tables contain **bags of rows.** SQL provides the common family of binary **bag operations** (no row order):

```
q_1 UNION ALL q_2 -- U<sup>+</sup> (bag union) q_1 INTERSECT ALL q_2 -- N<sup>+</sup> (bag intersection) q_1 EXCEPT ALL q_2 -- \<sup>+</sup> (bag difference)
```

- Row types (width, field types) of the q_i must match.
- With ALL, row multiplicaties are respected: if row r occurs n_i times in q_i , r will occur $\max(n_1-n_2,0)$ times in q_1 EXCEPT ALL q_2 (INTERSECT ALL: $\min(n_1,n_2)$).
 - Without ALL: obtain set semantics (no duplicates).

13 | Multi-Dimensional Data

- Relational representation of *measures* (*facts*) depending on multiple parameters (*dimensions*).
- Example: table prehistoric with dimensions class, herbivore?, legs, fact species:

<u>class</u>	<u>herbivore?</u>	<u>legs</u>	species
'mammalia'	true	2	'Megatherium'
'mammalia'	true	4	'Paraceratherium'
'mammalia'	false	2	NULL
'mammalia'	false	4	'Sabretooth'
'reptilia'	true	2	'Iguanodon' 'Brachiosaurus'
'reptilia'	true	4	'Brachiosaurus'
'reptilia'	false	2	'Velociraptor'
'reptilia'	false	4	NULL

Table prehistoric

Multiple GROUP BYs: GROUPING SETS

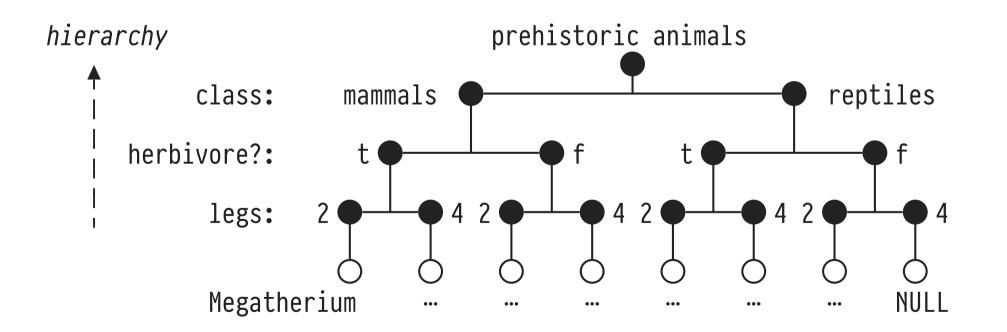
- Analyze (here: group, then aggregate) table T along multiple dimensions ⇒ perform separate GROUP BYs on each relevant dimension:
- SQL syntactic sugar:

```
SELECT e_1, ..., e_m
FROM T
GROUP BY GROUPING SETS (G_1, \ldots, G_n)
-- G_i: grouping criteria sets in (\cdots)
```

Yields n GROUP BY queries q_i, glued together by
 UNION ALL. If non-aggregate e_i ∉ G_i, e_i ≡ NULL in q_i.

• Group along a path from any node G_n up to the root:

ROLLUP
$$(G_1,...,G_n) \equiv GROUPING SETS ((G_1,...,G_{n-1},G_n) | hierarchy , (G_1), ..., (G_1), ..., (G_1), ..., (G_1), ...$$



Analyze All Dimension Combinations: CUBE

• = slice for herbivore? = true

herbivore? — true

false

mam rep

class

CUBE
$$(G_1, ..., G_n) \equiv GROUPING SETS ((G_1, ..., G_n))$$
 all 2^n subsets $(G_1, ..., G_n)$ all 2^n considered

14 | SQL Evaluation vs. Reading Order

```
SELECT DISTINCT ON (es 7) es 8, aggs 6
FROM
   qs
WHERE
GROUP BY es
HAVING p
 ORDER BY es
OFFSET
ITMIT
```

Query Nesting and (Non-)Readability

```
SELECT ...

FROM (SELECT ...

FROM ...

FROM ...

: ) AS descriptive

: ) AS ...

:
```

- The more complex the query and the more useful the descriptive name becomes, the deeper it is buried. \heartsuit
- Query is a syntactic monolith. Tough to develop a query in stages/phases and assess the correctness of its parts.

15 The let...in of SQL: WITH (Common Table Expressions)

Use **common table expressions (CTEs)** to bind table names before they are used, potentially multiple times:

```
 \begin{array}{c} \textbf{WITH} \\ T_1(c_{11},...,c_{1,k1}) \ \textbf{AS} \ (\\ q_1 \ ), \\ \vdots \\ T_n(c_{n1},...,c_{n,kn}) \ \textbf{AS} \ (\\ q_n \ ) \end{array} \right\} \begin{array}{c} \text{Query } q_i \ \text{may refer to} \\ \text{tables } T_1, \ ..., \ T_{i-1} \\ q \ \text{may refer to all tables } T_i \end{array}
```

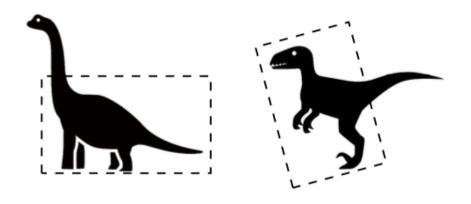
- "Literate SQL": Reading and writing order coincide.
- Think of let $T_1 = q_1$, ... $T_n = q_n$ in q in your favorite FP language. The T_i are undefined outside WITH.

- 1. **Define queries in stages**, intermediate results in tables T_i . May use $q = TABLE T_i^{10}$ to debug stage i.
- 2. Bundle a query with test data:

¹⁰ Syntactic sugar for SELECT t.* FROM T_i AS t.

16 Use Case: WITH (Dinosaur Body Shapes)

Paleontology: dinosaur body shape (height/length ratio) and form of locomotion (using 2 or 4 legs) correlate:



 Use this correlation to infer bipedality (quadropedality) in incomplete dinosaur data sets:

<u>species</u>	height	length	legs
Gallimimus	2.4	5.5	?

Dinosaur Body Shapes

<u>species</u>	height	length	legs
Ceratosaurus	4.0	6.1	2
Deinonychus	1.5	2.7	2
Microvenator	0.8	1.2	2
Plateosaurus	2.1	7.9	2
Spinosaurus	2.4	12.2	2 2 2 2
Tyrannosaurus	7.0	15.2	2
Velociraptor	0.6	1.8	2
Apatosaurus	2.2	22.9	4
Brachiosaurus	7.6	30.5	4
Diplodocus	3.6	27.1	4
Supersaurus	10.0	30.5	4
Albertosaurus	4.6	9.1	NULL
Argentinosaurus	10.7	36.6	NULL
Compsognathus	0.6	0.9	NULL
Gallimimus	2.4	5.5	NULL
Mamenchisaurus	5.3	21.0	NULL
Oviraptor	0.9	1.5	NULL
Ultrasaurus	8.1	30.5	NULL

Table dinosaurs

Dinosaur Body Shapes

```
WITH
bodies(legs, shape) AS (
    SELECT d.legs, AVG(d.height / d.length) AS shape
    FROM dinosaurs AS d
    WHERE d.legs IS NOT NULL
    GROUP BY d.legs
)
:
```

<u>legs</u>	shape
2	0.447
4	0.201

Transient Table bodies

Dinosaur Body Shapes

• Query Plan: 11

- 0. Assume average body shapes in bodies are available
- 1. Iterate over all dinosaurs d:
 - \blacksquare If locomotion for d is known, output d as is
 - If locomotion for d is unknown:
 - Compute body shape for d
 - Find the shape entry b in bodies that matches d's shape the closest
 - Use the locomotion (column legs) in b to complete d, output completed d

¹¹ In this course, *query plan* refers to a "plan of attack" for a query problem, not EXPLAIN output.