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Hw 5

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**Question 1:**

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Let  $X = l^1$  and consider  $T(x)$  defined on its action on each component via  $T(x)_i = x_i/2^i$ . We claim the image is dense but not closed.

Note that this clearly defines a linear operation  $T : l^1 \rightarrow l^1$ . It is also bounded (as it in fact decreases the norm of its input). Its image is not closed as the  $l^1$  sequence  $a = (1, 1/2, 1/4, \dots)$  is not in the range but the sequence  $[1]^n$  ie. the sequence of  $n$  ones and then 0 is s.t.  $T([1]^n) \rightarrow a$  in  $l^1$ . Further the image is dense as for any  $a \in l^1$ , we can find  $b \in \text{im}(T)$   $\epsilon$  close by finding index  $N$  s.t.  $\sum_{i=N}^{\infty} |a_i| < \epsilon$  then considering the  $b = (a_1, 2a_2, 4a_3, \dots)$  where then  $T(b)$  is  $\epsilon$  close to  $a$ .

Thus  $T$  is a linear bounded operator with dense but unclosed image.

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**Question 2:**

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a)

Suppose  $\{A_\alpha\}_{\alpha \in I}$ ,  $\{B_\alpha\}_{\alpha \in I}$  as in the problem statement. Then we show  $A_\alpha B_\alpha \rightarrow AB$

*Proof.* To show weak convergence we need to show convergence under every  $l \in H^*$  or equivalently  $\forall x \in H, \forall z \in H$

$$(z, A_\alpha B_\alpha x) \rightarrow (z, ABx)$$

Compute

$$|(z, A_\alpha B_\alpha x - ABx)| \leq |(z, A_\alpha B_\alpha x - A_\alpha Bx) + (z, A_\alpha Bx - AB_\alpha x) + (z, AB_\alpha x - ABx)| \leq$$

$$|(z, A_\alpha B_\alpha x - A_\alpha Bx)| + |(z, A_\alpha Bx - AB_\alpha x)| + |(z, AB_\alpha x - ABx)|$$

We now argue each term can be made arbitrarily small.

The first term

$$|(z, A_\alpha B_\alpha x - A_\alpha Bx)| = |(A_\alpha z, B_\alpha x - Bx)| \leq M \|z\| \|B_\alpha x - Bx\|$$

where we use the fact that strong convergence gives a bound in norm. Then we make this term small by letting  $\alpha$  large enough as  $B_\alpha \rightarrow B$  strongly.

The second term

$$|(z, A_\alpha Bx - AB_\alpha x)| = |(A_\alpha^* z, Bx) - (z, AB_\alpha x)| \rightarrow |(z, ABx - ABx)| = 0$$

since via continuity of the norm inner product we can send a the limit inside and recall  $AB_\alpha x \rightarrow ABx$  via strong convergence and  $A_\alpha^* z \rightarrow A^* z$ .

Finally the last term

$$|(z, AB_\alpha x - ABx)|$$

is made small via continuity of the inner product and strong convergence as  $AB_\alpha x \rightarrow ABx$ .

□

b)

Consider the hilbert space  $L_{per}^2$  and define the bounded linear operators  $T_n, S_n : H \rightarrow H$

$$T_n f(x) = f(x) e^{-inx}$$

$$S_n f(x) = f(x) e^{inx}$$

We proved  $T_n \rightarrow 0$  weakly (and a simiarl argument shows  $S_n \rightarrow 0$  weakly). Yet for arbitrary  $f \in L_{per}^2$ ,

$$f = S_n T_n f \implies S_n T_n = I \forall n$$

hence the product does not converge weakly to  $ST = 0 * 0 = 0$ .

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**Question 3:**

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Let  $X$  be a Banach space and  $P \in \mathcal{L}(X)$  be a projection. Assume  $P$  has finite rank.

**Claim:**  $\text{rank}(P) = \text{rank}(P')$

*Proof.* Let  $n$  be the dimension of  $\text{im}(P)$  and  $v_1, \dots, v_n$  a basis for  $\text{im}(P)$ . Define  $\lambda_i \in X^*$  via  $\lambda_i(v_i) = 1$  and 0 for vectors not a rescaling of  $v_i$ . We claim  $\lambda_1, \dots, \lambda_n$  are a basis for  $\text{im}(P')$ .

Let  $\lambda \in \text{im}(P')$ . We know that  $\lambda = P'(\mu) = \mu(P(\cdot))$  for  $\mu \in X^*$ . Thus we know it suffices to consider the action of  $\mu$  on elements of  $\text{im}(P)$ . Then on  $\text{im}(P)$   $\mu = \sum_{i=1}^n \mu(b_i) \lambda_i$  as for  $x = \sum_{i=1}^n c_i b_i \in \text{im}(P)$ ,

$$\begin{aligned}\mu(x) &= \sum_{i=1}^n c_i \mu(b_i) \\ \sum_{i=1}^n \mu(b_i) \lambda_i(x) &= \sum_{i=1}^n c_i \mu(b_i)\end{aligned}$$

Thus we may span all continuous linear functions over  $\text{im}(P)$  with  $n$  basis linear functionals. Since we know the  $\text{im}(P')$  is precisely these linear functionals (extended to be 0 on the kernel of  $P$  and the value of  $Px$  for  $x \notin \text{Im}(P)$ , where we extend our basis functions in the same way).

□

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**Question 4:**

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**Claim:** If  $P, Q \in \mathcal{L}(X)$  are both finite rank projection with  $\|P - Q\| < 1$  then  $\text{rank}(P) = \text{rank}(Q)$ .

*Proof.* We prove the contrapositive. Suppose  $m = \text{rank}(P) \neq \text{rank}(Q) = n$ . Wlog suppose  $m > n$ . Suppose we can find  $v \in \text{im}(P)$  s.t.  $Q(v)$ . Suppose it has unit norm. Then

$$\|(P - Q)v\| = \|Pv\| = \|v\| = 1$$

Thus  $\|P - Q\| \geq 1$ . It remains to justify we can find such a  $v$ .

Assume for sake of contradiction  $\ker(Q) \cap \text{im}(P) = \emptyset$ . Then we know  $Q : \text{im}(P) \rightarrow \text{im}(Q)$  is injective. Yet this is a contradiction since we cannot have a linear injection from a higher dimensional space to a lower dimensional one.

□

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**Question 5:**

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*Proof.* Note that for a bounded operator  $T \in X^*$  we know  $T$  is bounded from below  $\iff$  it is injective and has a closed graph. We see this since if  $T$  is bounded from below then it must be injective and its graph must be closed (via continuity).

Further if  $T$  closed and injective then  $T : X \rightarrow \text{im}(X)$  is a continuous bijection from a Banach space to Banach space and then by inverse mapping theorem the inverse is also bdd. We may thus compute

$$\|x\| = \|T^{-1}Tx\| \leq \|T^{-1}\| \|Tx\| \implies \|Tx\| \geq \frac{\|x\|}{\|T^{-1}\|}$$

as desired. This characterizes the set of  $(\lambda I - A)$  we are studying. Therefore we know there some  $m_\lambda$  s.t.

$$\|\lambda x - Ax\| \geq m_\lambda \|x\|$$

then via continuity of the norm we may adjust  $\lambda$  s.t. for any  $z \in B(\lambda, r)$ ,

$$\|zx - Ax\| \geq m_\lambda/2 \|x\|$$

This ensures we are still in the desired class of functions and shows the set open as we put a ball around  $\lambda$ .

