

Consider the monotone strictly increasing sequence of nonnegative numbers  $X = (x_i)_{i=1}^L$ ,  $L \in \mathbb{N}$ . We consider the exponential sum defined by  $S_X(z) = \sum_{n=1}^L e^{x_n z}$  and consider its zeroes.

## 1 On Concavity of Geometric Sequence

This argument needs some small fixing (I misplaced some terms in the computation) but the core idea should still work: show concavity of  $F(t) = \log(t \sum e^{kt})$  by looking at level sets of second derivative of  $\log(\sum e^{kt})$  and bounding decay via integral approximation. Lots of annoying algebra. But clearly exponentially decaying.

## 2 On Imaginary Zeroes of Arithmetic Progressions and Symmetries

**Theorem 1.** *Let the sequence  $X$  above be an arbitrary arithmetic progression, ie. of the form  $x_1 = d, x_2 = d + c, \dots, x_L = d + (L-1)c$  for arbitrary  $d \in \mathbb{R}, c > 0$ . Then  $S_X(z)$  has zeroes only on the imaginary axis.*

*Proof.* Write

$$S_X(z) = \sum_{n=1}^L e^{x_n z} = 0 \iff e^{x_1 z} \left( \sum_{n=1}^L e^{(x_n - x_1)z} \right) = 0 \iff \sum_{n=1}^L e^{(x_n - x_1)z} = 0$$

since  $e^{x_1 z}$  has no zeroes. So wlog we may assume  $x_1 = 0$ , since the translation still results in an arithmetic sequence. Then we sum

$$\sum_{n=1}^L e^{x_n z} = \sum_{n=1}^L e^{(n-1)cz} = \sum_{n=0}^{L-1} (e^{cz})^n = \frac{e^{Lcz} - 1}{e^{cz} - 1}$$

So

$$S_X(z) = 0 \implies \frac{e^{Lcz} - 1}{e^{cz} - 1} = 0 \implies e^{Lcz} = 1 \implies z = ib$$

for some  $b \in \mathbb{R}$ . In fact we must have  $Lcz = 2\pi n \implies z = \frac{2\pi n}{Lc}$  for some  $n \in \mathbb{Z}$  □

Using Rouché's Theorem we can extend this result to the symmetric case:

**Theorem 2.** *Consider the sequence  $Y = -X \cup X + c$  where  $X$  is arithmetic as defined above and  $c \in \mathbb{R}$  is an arbitrary translation. Then  $S_Y(z)$  has zeroes only on the imaginary axis.*

*Proof.* Via shifting it suffices to consider  $c = 0$ . Then we argue wlog for  $z \in \mathbb{C}$  s.t.  $\operatorname{Re}(z) > 0$ ,  $|S_X(z)| \geq |S_{-X}(z)|$ . Since we already know  $S_X$  has no zeroes in an arbitrarily small ball around  $z \in \mathbb{C}, \operatorname{Re}(z) > 0$ , this completes the proof via Rouché.

Simply compute

$$\begin{aligned}
S_X(z) &= \frac{e^{Lcz} - 1}{e^{cz} - 1}, S_{-X}(z) = \frac{e^{-Lcz} - 1}{e^{-cz} - 1} \\
|S_{-X}| \leq |S_X| &\iff \left| \frac{e^{-Lcz} - 1}{e^{-cz} - 1} \right| \leq \left| \frac{e^{Lcz} - 1}{e^{cz} - 1} \right| \iff \left| \frac{e^{-Lcz}}{e^{-cz}} \right| \left| \frac{e^{Lcz} - 1}{e^{cz} - 1} \right| \leq \left| \frac{e^{Lcz} - 1}{e^{cz} - 1} \right| \\
&\iff 1 \leq \left| \frac{e^{Lcz}}{e^{cz}} \right| = e^{Re(z)(L-1)c}
\end{aligned}$$

□

### 3 On Imaginary Zeroes of Complement Sequences

Let the sequence  $X$  defined above be integer valued. We prove

**Theorem 3.**  $S_X(z) = 0 \implies Re(z) = 0 \iff \forall n \in [L], x_i \in X \iff x_L - x_n \in X$  or  $X$  is a translation of such a sequence.

*Proof.* Write

$$S_X(z) = \sum_{n=1}^L e^{(x_n z)} = 0 \iff e^{x_1 z} \left( \sum_{n=1}^L e^{(x_n - x_1)z} \right) = 0 \iff \sum_{n=1}^L e^{(x_n - x_1)z} = 0$$

since  $e^{x_1 z}$  has no zeroes. So wlog we may assume  $x_1 = 0$ . Then make the change of variables  $z = \log(z')$  where we note complex log is surjective since  $z = \log(z') \iff e^z = z'$ . Also  $z = ib \iff \log(z') = ib \iff z' = e^{ib} \implies z' \in \mathbb{S}^1$ . Compute

$$S_X(\log(z')) = 1 + \sum_{n=2}^L e^{\log((z')^{x_n})} = 1 + \sum_{n=2}^L (z')^{x_n} = P_X(z')$$

which is a polynomial in terms of  $z'$ . Thus  $S_X(z)$  has an imaginary root  $\iff P_X(z')$  has a root on the complex unit circle. So  $S_X$  has strictly imaginary axis roots iff  $P_X$  has strictly unit modulus roots. It is known that the only polynomials which have strictly unit modulus roots are the palindromic polynomials ( $a_i = a_{n-i}$ ) and the anti-palindromic polynomials ( $a_i = -a_{n-i}$ ) [1]. It is clear our polynomial  $P$  cannot be anti-palindromic, so to have all roots on  $\mathbb{S}^1$  it must be palindromic.

The rest does not work since palindromic polynomials need not have all unit modulus roots.

□

*Remark 4.* This result includes a fairly wide class of sequences, including integer arithmetic progressions and their symmetries. Call this class the set of complement sequences  $\mathcal{C}$ . So in particular this implies the random variable defined as  $P(X = k) = 1/2L$  for  $k \in \{-L, \dots, -1\} \cup \{1, \dots, L\}$  is type L (and hence Ultra-Sub Gaussian). This also fully characterizes discrete integer valued uniform type L random variables.

### 4 On The Zeroes of Other Types of Sequences

Don't yet have a full characterization but we know through testing

- The geometric sequence  $(q^i)_{i=1}^L$  does not have strictly imaginary zeroes
- Binomial coefficient sequences (the first half) do not have strictly imaginary zeroes

so this breaks for the full log-concave class.

## 5 Other Ideas

I came across a theorem from Control Theory? called the Jury Test[2] which tells us when a polynomial has zeroes outside of the unit disc by looking at operations on the coefficients. I don't think this is useful anymore since we now have a complete characterization via these palindromic polynomials, but I'm not sure. It's proved using Rouché's theorem so it may be useful.

I think we should be able to extend this proof involving palindromic polynomials to a wider class via some shifting arguments, but I haven't thought about how very thoroughly yet.

My notation changed several times over the course of writing this out, sorry about that.

## References

- [1] Markovsky. I, Shodhan. R, Palindromic Polynomials, Time-Reversible Systems, and Conserved Quantities. <https://eprints.soton.ac.uk/266592/1/Med08.pdf>
- [2] Keel. L, Bhattacharyya. S, A New Proof of the Jury Test. <https://ieeexplore.ieee.org/document/703305>