

# Locally symmetric spaces and Galois representations (1/2)

"Higher reciprocity laws"

Peter Scholze

Want to describe relation between

(A) Certain hyperbolic 3 manifolds

"Bianchi manifolds" (Bianchi, 1892)

(B) Galois rep'n's. Let  $\bar{\mathbb{Q}} \subseteq \mathbb{C}$  field of algebraic numbers

$$\{x \in \mathbb{C} \mid \exists n, a_1, \dots, a_n \in \mathbb{Q} : x^n + a_1 x^{n-1} + \dots + a_n = 0\}.$$

Let  $\text{Gal}_{\bar{\mathbb{Q}}} = \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) = \text{Aut}(\bar{\mathbb{Q}})$  large profinite group.

$$i \mapsto -i, \sqrt{2} \mapsto -\sqrt{2}, \sqrt[3]{7} \mapsto \sqrt[3]{7}, \dots$$

Interested in (continuous) rep'n's

$$\rho: \text{Gal}_{\bar{\mathbb{Q}}} \longrightarrow \text{GL}_n(k) \quad (k = \mathbb{C}, \bar{\mathbb{Q}_p}, \bar{\mathbb{F}_p})$$

Called Artin rep

e.g. given  $\text{Gal}_{\bar{\mathbb{Q}}} \rightarrow \text{GL}_2(\mathbb{F}_3) \rightarrow \text{PGL}(\mathbb{F}_3) \cong S_4$

so  $\text{Gal}_{\bar{\mathbb{Q}}}$  acts on  $\{x_1, x_2, x_3, x_4\} = \{4 \text{ roots of } x^4 + a_1 x^3 + a_2 x^2 + a_3 x + a_4 = 0\}$ .

One standard question:

[ Given  $P(x) = x^n + \dots + a_n$ , for which prime  $p$  ]  
[ Does  $P(x) \equiv 0 \pmod{p}$  have a solution? ]

Thm (Gauß' quadratic reciprocity)

Complete answer for all quad poly's.

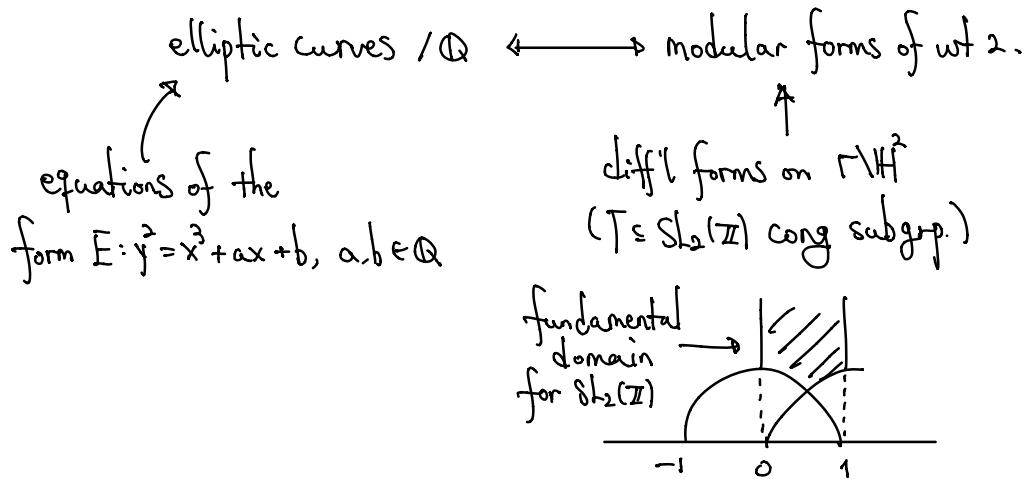
e.g.  $x^2 + 1 \equiv 0 \pmod{p} \iff p \equiv 1 \pmod{4}$  (or  $p=2$ ).

In the early 20th century, this has been generalized to "class field theory", giving an answer for all  $P$  s.t.

$\text{Gal}(\mathbb{Q}(\text{zeros of } P)/\mathbb{Q})$  acts through an abelian quotient.  
(This works for any finite ext'n  $K/\mathbb{Q}$  in place of  $\mathbb{Q}$ .)

Afterwards Search for "nonabelian reciprocity laws".

Eichler, Shimura relation between



If  $f(\tau)d\tau$  is such a mod form then  $f(\tau) = f(\tau+1)$

$$\Rightarrow f(\tau) = \sum_{n \geq 0} a_n q^n, \quad q = e^{2\pi i \tau}.$$

This takes the following form:

Thm If  $f = q + a_2 q^2 + \dots + a_n q^n + \dots$  modular eigenform of wt 2  
s.t.  $a_i \in \mathbb{Q}$  ( $\Rightarrow a_i \in \mathbb{Z}$ )

Then  $\exists E_f / \mathbb{Q}$  ell curve s.t. for almost all  $p$

$$\# E_f(\mathbb{F}_p) = (p+1) - a_p.$$

The map  $f \mapsto E_f$  is explicit:

Hodge theory  $H^2(\Gamma \backslash \mathbb{H}^2)$  related to diff'l forms = wt 2 mod forms.

But  $\Gamma \backslash \mathbb{H}^2$  is an algebraic curve /  $\mathbb{Q}$

often, it is already the sought-for elliptic curve.

(In general, take a piece of  $\text{Jac}(\Gamma \backslash \mathbb{H}^3)$ .)

$\Gamma \backslash \mathbb{H}^2$  mod forms (analysis)

elliptic curves (algebra)

Thm (Wiles et al) All elliptic curves /  $\mathbb{Q}$  come from some  $f$ .

Langlands Conjectures vast generalization ( $K/\mathbb{Q}$  finite)

$$\begin{array}{ccc} \left\{ \text{motives of rank } n \right\} & \longleftrightarrow & \left\{ \text{automorphic forms} \right\} \\ \text{over } K & & \text{on } \text{GL}_n(\mathbb{A}_K) \\ \left\{ \text{alg var } / K \right\} & \xleftrightarrow{\quad} & \left\{ \text{Gal}_K \rightarrow \text{GL}_n(\bar{\mathbb{Q}}_p) \right\} \end{array}$$

$\text{GL}_2/\mathbb{Q}$  is largely known

(except for "even Artin"  $\longleftrightarrow$  "Modular forms of eigenval 1/4").

Next case  $\text{GL}_2/k$ ,  $k$  quad field,  $K = \mathbb{Q}(\sqrt{d})$ .

•  $d > 0$ : Similar to  $\text{GL}_2/\mathbb{Q}$

(Hilbert-Blumenthal surface, Shimura curves, ...)

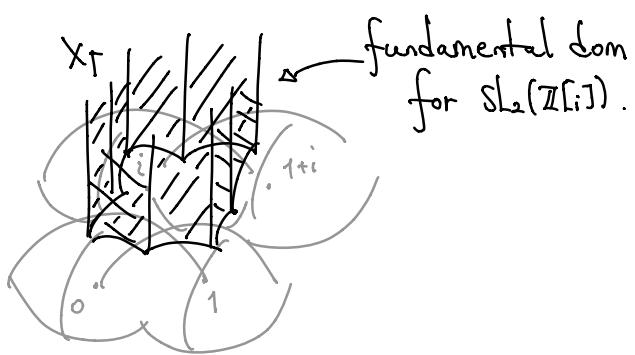
•  $d < 0$ : (imag quad) Very different!

The relevant loc sym space is

$$X_\Gamma := \Gamma \backslash \mathbb{H}^3 \leftarrow 3\text{-dim hyperbolic}, \mathbb{H}^3 = \mathbb{C} \times \mathbb{R}_{>0} \\ \uparrow \\ \subseteq \text{SL}_2(\mathcal{O}_K) \text{ cong subgroup}$$

Called "Bianchi manifolds".

Picture of  $X_\Gamma$ :



Note These are real 3-dim'l, hence has no complex str,  
a fortiori no alg str.

↓ sh var doesn't have big torsion !

$$(1) H_1(\Gamma \backslash \mathbb{H}^3, \mathbb{Z}) = \underbrace{\mathbb{Z}^{f_1(X_\Gamma)}}_{\text{quite small}} \oplus (\text{torsion part})$$

extremely big (exclusive feature of non-alg var)

Thm (Lück, 1994)  $f_1(X_\Gamma) = o(\text{vol}(X_\Gamma))$  when  $\Gamma \rightarrow \circ$  (suff small).

Conj (Bergeron-Venkatesh)

Torsion part grows exponentially in volume.

(2)  $H_1(\Gamma \backslash \mathbb{H}^3, \mathbb{C})$  described by autom forms.

Expect (Langlands)  $H_1(\Gamma \backslash \mathbb{H}^3, \mathbb{Q}) \hookrightarrow (\text{Gal}_K \rightarrow \text{GL}_2(\bar{\mathbb{Q}_p}))$ .

Q Also for torsion classes?

Conj (Grunewald 1970s, Ash 1990)

For any mod p torsion class

there is an assoc Galois repr  $\text{Gal}_K \rightarrow \text{GL}_2(\bar{\mathbb{F}_p})$ .

Thm (Scholze) The conj is true.

Remarks (i) Works for  $G_{n+1}/K$  as well, K totally real.

- (2) For torsion-free part, due to Harris-Lar-Taylor-Thorne.
- (3) cf. forthcoming work of Boxer.
- (4)  $\exists$  version "mod  $p$ "  $\Rightarrow$   $p$ -adic Galois rep  
for "many" autom rep's.
- (5) Example (Figueiredo thesis, 98)

Consider  $P(x) = x^4 - 7x^2 - 3x + 1$ . ( $\text{disc} = 3^2 \times 61^2$ )

It generates  $A_4$ -ext of  $\mathbb{Q}$ , corr to "even Artin" repr  
↗ ramified at 3, 61.

( $\Rightarrow$  does not come from  $H^1(\Gamma \backslash H^2, \mathbb{Z})$ ).

finds 3-torsion classes in  $H_1(\Gamma \backslash H^3, \mathbb{Z})$ ,

$$\Gamma = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}[i]) \mid c \equiv 0 \pmod{3 \cdot 61}, d \equiv 1 \pmod{3} \right\}$$

whose Hecke eigenvalues  $a_p \in \mathbb{F}_3$  ( $p$  prime of  $\mathbb{Z}[i]$ )  
seem to match.

Cor They do match, and thus

$$\exists \text{ a solution to } x^4 - 7x^2 - 3x + 1 \equiv 0 \pmod{p} \Leftrightarrow a_p \neq 0$$

Locally symmetric spaces and Galois representations (2/2)

Peter Scholze

Let  $F$  totally real or CM,  $n \geq 1$ .

( $\Gamma \rightarrow$ )  $K \subseteq \mathrm{GL}_n(A_F, f)$  compact open

( $X_K = \mathrm{GL}_n(F) \backslash (\mathrm{GL}_n(A_F) / K \times K)$ )

$\uparrow$   
max'l cpt subgrp of  $\mathrm{GL}_n(F \otimes_{\mathbb{Q}} \mathbb{R})$

(=  $\prod_{\text{finite}}$  (congruence subgrp) \ (symm space)).

Thm (Scholze) For any system of Hecke eigenvals  $(a_p)$  ( $p$  prime of  $F$ ) appearing in  $H^*(X_K, \bar{\mathbb{F}}_p)$ , there is cont  $\rho: \mathrm{Gal}_F \rightarrow \mathrm{GL}_n(\bar{\mathbb{F}}_p)$  s.t.  $\forall p$ ,  $\mathrm{tr}(\mathrm{Frob}_p) = a_p$ .

The big lines of the proof ( $F$  im quad)

$$\left\{ \begin{array}{l} \bar{\mathbb{F}}_p\text{-cohom of loc symm} \\ \text{space for } \mathrm{GL}_n/F \end{array} \right\} \xrightarrow[\substack{\text{boundary} \\ \text{Contribution} \\ (\text{Borel-Serre})}]{} \left\{ \begin{array}{l} \bar{\mathbb{F}}_p\text{-cohom of loc symm} \\ \text{space for } \mathrm{U}(n,n)/\mathbb{Q} \end{array} \right\}$$

$\uparrow$   
quasi-split unitary

Note  $\mathrm{U}(n,n)(\mathbb{Q}) \supseteq$  Siegel parabolic  $\supseteq$  Levi component

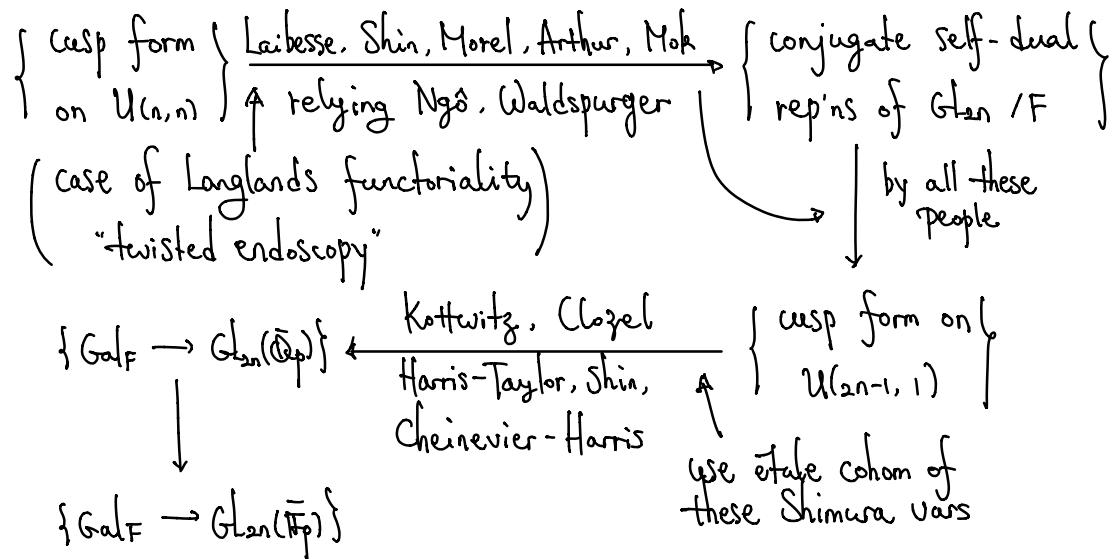
$\downarrow$   
a subgrp of  $\mathrm{GL}_n(F)$

boundary stratum of  $\mathrm{Sh}_{\mathrm{GL}}$

Upshot RHS: Space is an alg var /  $\mathbb{Q}$ .

Lifting thm (Scholze)

$$\left\{ \begin{array}{l} \bar{\mathbb{F}}_p\text{-cohom of loc sym} \\ \text{space for } \mathrm{U}(n,n)/\mathbb{Q} \end{array} \right\} \xrightarrow{\text{lifting}} \left\{ \begin{array}{l} \text{cusp form} \\ \text{on } \mathrm{U}(n,n) \end{array} \right\}$$



### Lifting thm (Scholze)

Let  $\text{Sh}_k$  be a Shimura var of Hodge type.

(i.e.  $\exists$  embedding  $\text{Sh}_k \hookrightarrow \mathcal{A}_g$ )

For any system  $(\alpha_p)$  of eigenvals appearing in  $H^i(\text{Sh}_k, \bar{\mathbb{F}_p})$   
 there exists a classical cuspidal eigenform  $f$  on  $\text{Sh}_k'$

(same grp but  $k' \subset k$  larger level at  $p$ )

s.t. Hecke eigenval of  $f \equiv \alpha_p \pmod{p}$ .

Rmk (1) In particular this provides congruences between Eisenstein series & cusp forms.

(2) Also interesting in compact case.

In that case, recent work with Caraiani, same result is proved.  
 but increasing level at  $l+p$  instead.

(3) Have to go from singular cohomology to functions.

Over  $\mathbb{C}$ , this is done using Hodge theory.

Here, use (integral)  $p$ -adic Hodge theory.

(4) Proof makes heavy use of  $p$ -adic geometry of Shimura varieties.

Rest of the talk Explain this geometry in simplest case.

The modular curve  $\Gamma \subseteq \mathrm{SL}_2(\mathbb{Z})$ ,  $M_\Gamma/\mathbb{Q}$  with  $M_\Gamma(\mathbb{C}) = \Gamma \backslash \mathbb{H}^2$ .

moduli space of elliptic curves with level- $\Gamma$ -structure.

(First work  $/\mathbb{C}$ )

$$\varprojlim_{\Gamma} M_\Gamma(\mathbb{C}) \approx \mathbb{H}^2 = \left\{ (E, \alpha) \mid \begin{array}{l} E/\mathbb{C} \text{ elliptic curve,} \\ \alpha: H_1(E, \mathbb{Z}) \cong \mathbb{Z}^2 \text{ orientation-preserving} \end{array} \right\}$$

$\downarrow \qquad \qquad \downarrow$

$$P'(\mathbb{C}) \text{ Hodge fil'n: } \mathbb{C}^2 \cong H_1(E, \mathbb{Z}) \otimes_{\mathbb{Z}} \mathbb{C} = H_1^{dR}(E) \rightarrow \mathrm{Lie} E.$$

Then want a  $p$ -adic analogue of this.

$\mathbb{Q}_p = \widehat{\mathbb{Q}_p}$ . Need analogue of Hodge fil'n  $/ \mathbb{Q}_p$ .

Hodge fil'n  $/ \mathbb{Q}_p$  Let  $X/\mathbb{Q}_p$  proper smooth rigid-analytic var  
(e.g.  $X = E$  elliptic curve)

Then (Hodge, Deligne if  $X$  algebraic, Scholze, Conrad-Gabber)

The Hodge-de Rham spectral sequence

$$E_1^{ij} = H^j(X, \Omega_X^i) \Rightarrow H_{dR}^{i+j}(X)$$

$\mathbb{Q}$  degenerates at  $E_1$ .

$\Rightarrow$  Hodge-de Rham filtration on  $H_{dR}^i(X)$ .

Note Over  $\mathbb{Q}_p$ ,  $H_{\mathrm{et}}^i(X, \mathbb{Z}_p) \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$  not canonically isom to  $H_{dR}^i(X)$

(Need Fontaine's Bdp.)

Thm (Tate (1967) for abelian vars, Faltings (1990) if  $X$  algebraic,  
Scholze, Conrad-Gabber)

There is a Hodge-Tate spectral sequence

$$E_2^{i,j} = H^i(X, \Omega_X^j)(-j) \Rightarrow H_{\text{ét}}^{i+j}(X, \mathbb{Z}_p) \otimes \mathbb{Q}_p.$$

It degenerates at  $E_2$

$$\Rightarrow \text{Hodge-Tate fil'n on } H_{\text{ét}}^i(X, \mathbb{Z}_p) \otimes \mathbb{Q}_p.$$

Example  $E/\mathbb{Q}_p$  elliptic curve.

$$0 \rightarrow (\text{Lie } E^*)^* \rightarrow H_1^{\text{dR}}(E) \rightarrow \text{Lie } E \rightarrow 0.$$

$$0 \rightarrow (\text{Lie } E)(1) \rightarrow T_p E \otimes_{\mathbb{Z}_p} \mathbb{Q}_p \rightarrow (\text{Lie } E^*)^* \rightarrow 0$$

Back to modular curve:

After fixing isom  $\alpha: T_p E \cong \mathbb{Z}_p^\times$ , get "Hodge-Tate period map".

Thm (Scholze)

(1) There exists a perfectoid space  $M_{\Gamma(\mathbb{Q}_p^\times)}/\mathbb{Q}_p$  which parametrizes  $(E, \alpha)$ , where

- $E/\mathbb{Q}_p$  elliptic curve
- $\alpha: T_p E \cong \mathbb{Z}_p^\times$ .

(2) There is a Hodge-Tate period map

$$\pi_{\text{HT}}: M_{\Gamma(\mathbb{Q}_p^\times)} \rightarrow T_{\mathbb{Q}_p^\times}$$

↑  
rigid-analytic var

$$\text{via } (E, \alpha) \mapsto (\mathbb{G}_\text{a}^\times \overset{\alpha}{\cong} T_p E \otimes \mathbb{Q}_p \rightarrow (\text{Lie } E^*)^*).$$

It contracts  $G_{\mathbb{F}_p}(\mathbb{A}_f^p)$ -orbits.

$$\begin{aligned} M_{T(p^\infty)} &= M_{T(p^\infty)}^{ss} \sqcup M_{T(p^\infty)}^{\text{ord}} \sqcup M_{T(p^\infty)}^{\text{bad}} \\ \downarrow &\quad \downarrow \text{interesting} \quad \downarrow \\ P'_{\mathbb{Q}_p} &= \Omega^2 \sqcup \overline{P'}(\mathbb{Q}_p) \\ \overline{P'}_{\mathbb{Q}_p} - \overline{P'}(\mathbb{Q}_p) &\text{ Drinfeld's } p\text{-adic upper-half plane} \\ (\text{cf. } \mathcal{H}^\pm = \overline{P'}(\mathbb{C}) - \overline{P'}(\mathbb{R})) \end{aligned}$$

conn comps of  $M^{\text{ord}} \sqcup M^{\text{bad}}$  are contracted to pts!

$$\begin{aligned} M_{T(p^\infty)}^{ss} &= \coprod_{\text{finite}} M_{T,\infty} \quad (\text{Lubin-Tate space w/ infinite level}). \\ &\cong \coprod_{\text{finite}} M_{\text{ord},\infty} \quad (\text{by Faltings, Fargues, Scholze-Weinstein}) \\ \xrightarrow{\pi_{HT}} &\Omega^2 \quad \downarrow \text{profinite \'etale cover} \end{aligned}$$

### Strategy of proof of Lifting thm

Understand cohom of  $M_{T(p^\infty)}$  using Leray spectral sequence  
Corr to  $\pi_{HT}$ .