

# Triangulated and Derived Categories in Geometry and Algebra

## Lecture 4

### 0. Adjoint functors & limits

We define limits of diagrams  $D \in \text{Fun}(I, \mathcal{C})$ .

Inside  $\text{Fun}(I, \mathcal{C})$  there are constant functors:

$$\forall x \in \mathcal{C}$$

$\text{Const}(x) : I \rightarrow \mathcal{C}$  takes any  $i \in I$  to  $x$ , all morphisms to  $\text{id}_x$ .

Get a functor  $\mathcal{C} \xrightarrow{\text{Const}} \text{Fun}(I, \mathcal{C})$ , <sup>check</sup> fully faithful (if  $I \neq \emptyset$ ).

Rank  $D$  has a colimit  $\Leftrightarrow$  the constant diagram functor of  $x$ ,  $\text{Const}(x)$ , is initial in the category of arrows  $D \rightarrow \text{Const}(x)$ .

Rank Assume all  $D \in \text{Fun}(I, \mathcal{C})$  have a colimit. Then there is an isomorphism of functors

$$\text{Hom}_{\text{Fun}(I, \mathcal{C})}(\mathcal{D}, \text{const}(-)) \xrightarrow{\sim} \text{Hom}_{\mathcal{C}}(\lim_{\rightarrow} \mathcal{D}, -)$$

In other words, this functor is representable.

Follows from Problem 3 that  $\lim_{\rightarrow}$  is left adjoint to  $\text{const}$ .  
 Similarly,  $\text{const} \dashv \lim_{\leftarrow}$ .

Prop Let  $F: \mathcal{A} \rightleftarrows \mathcal{B}: G$  be adjoint,  $F \dashv G$ .

Assume  $\mathcal{D}: I \rightarrow \mathcal{A}$  has a colimit. Then

$F(\lim_{\rightarrow} \mathcal{D})$  is the colimit of  $F \circ D: I \rightarrow \mathcal{B}$ .

Similarly,  $G$  preserves limits: given  $\mathcal{D}: I \rightarrow \mathcal{B}$ ,  
 if  $\lim_{\leftarrow} \mathcal{D}$  exists, then  $G(\lim_{\leftarrow} \mathcal{D})$  is  $\lim_{\leftarrow} G \circ \mathcal{D}$ .

Proof  $\forall Y \in \mathcal{B} \quad \text{Hom}_{\mathcal{B}}(F(\lim_{\rightarrow} x_i), Y) \simeq \text{Hom}_{\mathcal{A}}(\lim_{\rightarrow} x_i, G(Y)) \simeq$

$$\simeq \lim_{\leftarrow} \text{Hom}_{\mathcal{A}}(x_i, G(Y)) \simeq \lim_{\leftarrow} \text{Hom}_{\mathcal{B}}(F(x_i), Y)$$

$\Leftarrow$  functor which  $\lim_{\rightarrow}$   $F \circ D$   
 should represent

Ex Redo using the UP. □

Immediate example. Consider a commutative ring  $A$ ,

then  $\forall M \in A\text{-Mod} \quad - \otimes_A M : \text{Hom}_A(M, -)$ .

Conclude that  $- \otimes_A M$  commutes with direct sums!

Rank The proposition gives you a way to prove that a functor does not admit a left/right adjoint.

### 3. Additive cat's & functors

Def  $\mathcal{A}, \mathcal{B}$  - additive, then  $F: \mathcal{A} \rightarrow \mathcal{B}$  is additive if  $\forall X, Y \in \mathcal{A} \quad F: \text{Hom}_{\mathcal{A}}(X, Y) \rightarrow \text{Hom}_{\mathcal{B}}(F(X), F(Y))$  is a group homomorphism  $\Leftrightarrow F$  preserves finite products (coproducts).

Recall  $\forall f, g: X \rightarrow Y \quad X \xrightarrow{\Delta} X \oplus Y \xrightarrow{f \times g} X \oplus Y \xrightarrow{\pi_2} Y$

$f \times g$   
 $f + g$

Observation  $\forall X, Y \in \mathcal{A}$  -additive  $\text{Hom}_{\mathcal{A}}(Y, X)$  is an abelian group!

$$h_- : \mathcal{A} \longrightarrow \text{Fun}(\mathcal{A}^{\text{op}}, \text{Sets})$$
$$\downarrow \qquad \qquad \qquad \nearrow$$
$$\text{Fun}(\mathcal{A}^{\text{op}}, \text{Ab})$$

One should think of  $h_x$  as functors  $\mathcal{A}^{\text{op}} \rightarrow \text{Ab}$ .

Same for  $h^x : \mathcal{A} \rightarrow \text{Ab}$ .

Lm Assume  $F : \mathcal{A} \rightleftarrows \mathcal{B} : G$  are adjoint b/w additive.  
Then both  $F$  &  $G$  are additive!

Pf  $F \dashv G \Rightarrow F$  preserves colimits  $\Rightarrow F(0) = 0$ ,  
 $F(X \oplus Y) = F(X) \oplus F(Y)$ . Same for  $G$  since  
 $G$  preserves limits.

□

Rmk If  $\mathcal{A}$  is additive, then  $\text{Fun}(\mathbb{Z}, \mathcal{A})$  is also additive.  $0: F(i) = 0 \quad \forall i$

$$(F \oplus G)(i) = F(i) \oplus G(i)$$

## 2. Complexes & homotopy

Def If  $\mathcal{A}$  - additive, the category of differential objects is  $\text{Diff}(\mathcal{A}) = \text{Fun}(\mathbb{Z}, \mathcal{A})$ .

Objects:  $\dots \rightarrow X^i \xrightarrow{f^i} X^{i+1} \xrightarrow{f^{i+1}} X^{i+2} \rightarrow \dots$

Morphisms:  $\begin{matrix} \dots \rightarrow Y^i & \xrightarrow{g^i} & Y^{i+1} & \xrightarrow{g^{i+1}} & Y^{i+2} & \rightarrow \dots \end{matrix}$

Versions :	$\text{Diff}^b(\mathcal{A})$	- full subcategory	$X^i = 0, (i \nmid 0)$
	$\text{Diff}^-(\mathcal{A})$	- - -	$X^i = 0, i \gg 0$
	$\text{Diff}^+(\mathcal{A})$	- - -	$X^i = 0, i \ll 0$

As a functor category, it's additive!

Rmk  $\mathcal{A} \hookrightarrow \text{Diff}^b(\mathcal{A})$  fully faithfully

$$X \hookrightarrow \dots \rightarrow 0 \rightarrow 0 \rightarrow X \rightarrow 0 \rightarrow \dots$$

↑ in degree 0

Def  $\mathcal{C}(\mathcal{A}) \subset \text{Diff}(\mathcal{A})$  - full subcategory of complexes  
 $= (X^i, d^i)$  s.t.  $\forall i \quad d^{i+1} \circ d^i = 0$ .

Similarly define  $\mathcal{C}^b(\mathcal{A})$ ,  $\mathcal{C}^-(\mathcal{A})$ ,  $\mathcal{C}^+(\mathcal{A})$ .

### Homotopy of morphisms

Def Consider  $f: X^* \rightarrow Y^*$  in  $\mathcal{C}(\mathcal{A})$ . Say that  $f \sim 0$   
homotopic to 0 if  $\exists h^i: X^i \rightarrow Y^{i-1}$  s.t.

$$f^i = h^i \circ d^i + d^{i+1} \circ h^i.$$

$$\begin{array}{ccccccc} \dots & \rightarrow & X^{i-1} & \xrightarrow{d^i} & X^i & \xrightarrow{d^i} & X^{i+1} \rightarrow \dots \\ & & \downarrow h^i & & \downarrow f^i & & \downarrow h^{i+1} \\ \dots & \rightarrow & Y^{i-1} & \xrightarrow{d^{i-1}} & Y^i & \xrightarrow{d^{i+1}} & Y^{i+1} \rightarrow \dots \end{array}$$

-  $f \sim g$  ( $f$  is homotopic to  $g$ ) if  $f-g \sim 0$

-  $x \in C(\Delta)$  is homotopic to 0 if  $\text{id}_x \sim 0$

-  $X, Y \in C(\Delta)$  are homotopy equivalent if

3.  $f: X \rightarrow Y, g: Y \rightarrow X$  s.t.  $g \circ f \sim \text{id}_X, f \circ g \sim \text{id}_Y$ .

Rank Zero morphisms are  $\sim 0$ , if  $f \sim 0, g \sim 0, f, g: X \rightarrow Y$ ,  
then  $-f$  &  $f+g$  are  $\sim 0$ .

Lem Consider  $X^{\cdot} \xrightarrow{f} Y^{\cdot} \xrightarrow{g} Z^{\cdot}$ . Then if  $f$  or  $g$   $\sim 0$ ,  
then  $g \circ f \sim 0$ .

(Morphisms homotopic to 0 form some kind of ideal!)

Pf Assume  $f \sim 0$

$$\begin{array}{ccccccc} \rightarrow & X^{i-1} & \xrightarrow{f} & X^i & \xrightarrow{h} & X^{i+1} & \rightarrow \\ & \downarrow f & \searrow h & \downarrow f & \searrow h & \downarrow f & \\ \rightarrow & Y^{i-1} & \xrightarrow{g} & Y^i & \xrightarrow{h} & Y^{i+1} & \rightarrow 0 \\ & \downarrow g & & \downarrow g & & \downarrow g & \\ \rightarrow & Z^{i-1} & \xrightarrow{g} & Z^i & \xrightarrow{h} & Z^{i+1} & \rightarrow 0 \end{array}$$

if  $h$  is a homotopy,  
then  $g \circ h$  is  
a homotopy!

Cor There is a well-defined category, the homotopy category of  $\mathcal{A}$ , s.t. quotient groups

$$\text{Ob } K(\mathcal{A}) = \text{Ob } \mathcal{C}(\mathcal{A}), \text{Hom}_{K(\mathcal{A})}(X, Y) = \text{Hom}_{\mathcal{C}(\mathcal{A})}(X, Y)/_n.$$

Similarly are defined  $K^0(\mathcal{A})$ ,  $K^-(\mathcal{A})$ ,  $K^+(\mathcal{A})$ .

### 3. Abelian categories

Recall that the kernel of  $X \xrightarrow{f} Y$  in  $\mathcal{A}$ -additive is the equalizer  $\text{Eq}(f, 0)$ . (If exists!)

$$\text{Ker } f \rightarrow X \rightarrow Y$$

Cokernel -  $\text{Coeq}(f, 0)$ , coimage - cokernel of the kernel, image - kernel of the cokernel.

In If  $\text{Ker}(f)$  exists, then it's a monomorphism.  $\text{Ker } f \rightarrow X$   
 If  $\text{Coker}(f)$  exists, then it's an epimorphism.  $Y \rightarrow \text{Coker } f$

Pf Enough to check the first (opposite cat. argument).

$$\begin{array}{ccccc} Z & \xrightarrow{g} & \ker f & \hookrightarrow & X \xrightarrow{f} Y \\ h \swarrow & & & & \end{array}$$

Assume  $\text{co}\text{g} = \text{co}\text{h}$ . Then  $\text{io}(g-h) = 0$

Thus,  $f \circ \text{io}(g-h) = 0$ , Also  $f \circ 0 = 0$ !

The UP of the kernel implies that  $g-h = 0$ .  $\square$

### Construction

Assume  $\ker f$ ,  $\text{co}\ker f$ ,  $\text{Im } f$ ,  $\text{co}\text{im } f$  exist. Let's construct a canonical arrow  $\text{co}\text{im } f \rightarrow \text{Im } f$ .

$$\begin{array}{ccccc} \ker f & \xrightarrow{\text{co}} & X & \xrightarrow{f} & Y \xrightarrow{\text{p}} \text{co}\ker f \\ & \xleftarrow{c} & & & \\ q \downarrow & \exists! h' \nearrow & \uparrow & & \\ \text{co}\text{im } f & \dashrightarrow \text{Im } f & & & \end{array}$$

Consider  $poh$ .

Claim  $poh = 0$

$\Rightarrow \exists \text{ co}\text{im } f \rightarrow \text{Im } f$

But  $pof = 0$ ,  $q$  is an epimorphism and  $poh \circ q = pof = 0 \Rightarrow$   
 $\Rightarrow poh = 0$ !

Example  $\text{Fil}_k$  - category of  $\mathbb{Z}$ -filtered vector spaces /  $k$ .

Objects:  $\dots \supseteq V^i \supseteq V^{i+1} \supseteq \dots \supseteq \dots$

Morphisms:  $\varphi: UV^i \rightarrow UW^i$  s.t.  $\varphi(v^i) \subseteq w^i$ .

Exe Check that it is additive!

Consider  $V: V^i = \begin{cases} k, & i < 0 \\ 0, & i \geq 0 \end{cases}, \quad W: W^i = \begin{cases} k, & i \leq 0 \\ 0, & i > 0 \end{cases}$

There is an obvious morphism  $f: V \rightarrow W$ .

$$\begin{array}{ccc} 0 & \xrightarrow{\circ} & 0 \\ \cap & \hookrightarrow & \cap \\ 0 & \xrightarrow{\circ} & k \\ \cap & \hookrightarrow & \cap \\ k & \xleftarrow{\subseteq} & k \\ \cap & \hookleftarrow & \cap \\ k & \xleftarrow{\subseteq} & k \\ \cap & \hookleftarrow & \cap \\ \vdots & & \vdots \end{array}$$

- Check:
1.  $\ker f = 0$
  2.  $\text{Im } f = 0$
  3.  $\text{Coker } f = V$
  4.  $\text{Im } f = W$
  5.  $f$  is not an isom.

Def An abelian category  $\mathcal{A}$  is an additive category which has all kernels & cokernels and the natural hom  $f \rightarrow \text{Im } f$  are isomorphisms.

Main example

- $R$  - ring with 1 (associative, might not be commutative)  
 $R\text{-Mod}$  - left- $R$ -modules  
 $\text{Mod-}R$  - right- $R$ -modules are abelian categories.
  - $\text{Ab}$ ,  $\text{Ab}^+$
  - $\mathcal{A}$  - abelian  $\Rightarrow \mathcal{A}^{\text{op}}$  - abelian
  - $\mathcal{A}$  - abelian  $\Rightarrow \text{Fun}(\mathcal{I}, \mathcal{A})$  - abelian category
- Exe Check this!
- $C(\mathcal{A})$ ,  $C^b(\mathcal{A})$ ,  $C^-(\mathcal{A})$ ,  $C^+(\mathcal{A})$  are abelian if  $\mathcal{A}$  is.

Def  $\mathcal{A}$ -abelian,  $f: X \rightarrow Y$

- $f$  is injective if  $\ker f = 0$ ,
- $f$  is surjective if  $\text{coker } f = 0$ .

Lm  $f$  is injective  $\Leftrightarrow f$  is a monomorphism,  
 $f$  is surjective  $\Leftrightarrow f$  is an epimorphism.

Cor Any  $f: X \rightarrow Y$  in an abelian category decomposes as  $f = p \circ c$ ,  $c$ -injective,  $p$ -surjective

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ & \searrow p & \nearrow c \\ & \text{Im } f \cong \text{Im } c & \end{array}$$

Lm  $\mathcal{A}$ -abelian  $\Rightarrow$  all finite limits & colimits exist in  $\mathcal{A}$ .

- Pf
- 1) Finite products exist.
  - 2)  $\Sigma(f,g) = \ker(f-g)$ . *Check this*
  - 3) Colimits by duality.

Def A complex  $X^{\bullet} \in C(A)$ ,  $A$ -abelian is exact if  $\forall i \quad \text{Im } d^{i-1} \cong \ker d^i$ .

$$\begin{array}{ccccccc}
 X^{i-1} & \xrightarrow{d^{i-1}} & X^i & \xrightarrow{\text{id}} & X^i & \xrightarrow{d^i} & X^{i+1} \\
 & \searrow p & \uparrow \varphi & \downarrow h & \uparrow \psi & & \\
 & & \text{Im } d^{i-1} & \dashrightarrow & \ker d^i & &
 \end{array}$$

$$h = d^i \circ \varphi. \text{ know that } h \circ p = d^i \circ \varphi \circ p = d^i \circ d^{i-1} = 0.$$

Since  $p$  is an epimorphism,  $d^i \circ \varphi = h = 0$ .

Thus,  $\exists!$   $j$  s.t. everything commutes.

Exc Check that  $\text{Im } d^{i-1} \rightarrow \ker d^i$  is injective.

Def Given  $X \xrightarrow{f} Y \xrightarrow{g} Z$  st.  $g \circ f = 0$ , say that it's a left exact sequence if  $\ker g \cong \text{Im } f$ , and  $f$  - injective. Right exact if  $\ker g \cong \text{Im } f$ ,

$g$  is surjective. Exact if both right + left.

<u>Notation</u>	$0 \rightarrow X \rightarrow Y \rightarrow Z$	left exact
	$X \rightarrow Y \rightarrow Z \rightarrow 0$	right exact
	$0 \rightarrow X \rightarrow Y \rightarrow Z \rightarrow 0$	exact (short exact sequence = SES)

Def An additive functor is left exact if it maps left exact sequences to left exact:

$$0 \rightarrow X \rightarrow Y \rightarrow Z \rightsquigarrow 0 \rightarrow F(X) \rightarrow F(Y) \rightarrow F(Z)$$

Right exact: preserves right exactness.

Exact: preserves exactness.

Thm (Freyd-Mitchell embedding theorem)

Let  $\mathcal{A}$  be abelian. Then there exists a ring  $R$  and a fully faithful exact embedding  $\mathcal{A} \hookrightarrow R\text{-Mod}$ .

Allows us to think of  $\mathcal{A}$  as of a full subcategory

of a category of modules closed under  $\oplus$ ,  $\ker$ ,  $\text{coker}$ .

Problem 2 Let  $\mathcal{A}, \mathcal{B}$  be abelian. Show that  $F: \mathcal{A} \rightarrow \mathcal{B}$  is left (right) exact  $\Leftrightarrow F$  preserves finite limits (colimits).