

Notation:  $K$ : complete non-archi. field.  $\bar{K}$ : alg. closure.  
 $k$ : residue field

Rmk. Since open disk is clopen,  $K$  is totally disconnected.

## 2.2. Res. power series.

Denote  $B^n(\bar{K}) = \mathcal{O}_{\bar{K}}^n$ .

denote  $\nu = (\nu_1, \dots, \nu_n)$

Lem. 1. A formal p.s.  $f = \sum c_{\nu_1, \dots, \nu_n} z_1^{\nu_1} \cdots z_n^{\nu_n} \in K[[z_1, \dots, z_n]]$

converges on  $B^n(\bar{K}) \Leftrightarrow \bigcup_{|\nu| \rightarrow \infty} |c_{\nu}| \rightarrow 0$

P.f. For  $\forall x \in B^n(\bar{K})$ ,  $f(x)$  converge.  $\Leftrightarrow |c_{\nu}| / |x|^{\nu} \rightarrow 0$ .

$\therefore f$  conv. at any  $x \in B^n(\bar{K}) \Leftrightarrow f$  conv. at  $(1, 1, \dots, 1)$   
 $\Leftrightarrow |c_{\nu}| \rightarrow 0$ .

Denote  $T_n = k<z_1, \dots, z_n> := \left\{ \sum c_{\nu} \cdot z^{\nu} \in K[[z_1, \dots, z_n]] \mid \bigcup_{|\nu| \rightarrow \infty} |c_{\nu}| = 0 \right\}$ .  
 $= \{f \in K[[z_1, \dots, z_n]] \mid f \text{ conv. on } B^n(\bar{K})\}$ .

$T_0 := k$ .

Gauss norm for  $f \in T_n$ .  $|f| := \max |c_{\nu}|$ . ( $f = \sum c_{\nu} \cdot z^{\nu}$ ).

Prop. 1.1 is a norm. i.e. (1)  $|f| = 0 \Leftrightarrow f = 0$ .

(2) for any  $c \in k$ ,  $|cf| = |c| \cdot |f| \quad \} \text{ trivial.}$

(3)  $|(fg)| = |f| \cdot |g|$ .

(4)  $|(f+g)| \leq \max \{|f|, |g|\}$ .

Pf. 13). WLOG assume  $|f|=|g|=1$ .  $|fg| \leq 1$

Consider  $T_n \rightarrow k[z_1, \dots, z_n]$

$$f \rightarrow \bar{f}$$

$$\bar{fg} = \underline{\bar{f} \cdot \bar{g}} + 0 \therefore |fg| = 1.$$

$$(4) \ f = \sum c_v \cdot z^v \quad g = \sum d_v \cdot z^v$$

$$\max_v |c_v + d_v| \leq \max_v \{ |c_v|, |d_v| \} = \max \{ |f|, |g| \}.$$

Prop. 3.  $T_n$  is complete w.r.t. 1. 1.

P.f. Suppose  $\{f_n\}$  satisfies  $|f_n| \rightarrow 0$ . Need to prove  $\sum f_n \in T_n$ .

$$\text{Denote } f_n = \sum c_{n,v} z^v. \Leftarrow \begin{cases} \text{① } c_v := \sum_n c_{n,v} \in k. \\ \text{② } \lim_{n \rightarrow \infty} |c_v| = 0. \end{cases}$$

$$\text{① } |c_{n,v}| \leq |f_n| \rightarrow 0.$$

② For any  $\varepsilon > 0$ ,  $\exists N$  s.t. when  $n > N$ ,  $|f_n| < \varepsilon$ .

For  $f_1, \dots, f_N, \exists v'$  s.t. when  $\begin{cases} v > v' \\ 1 \leq i \leq N \end{cases} \quad |c_{i,v}| < \varepsilon$ .

$$\therefore \text{when } v > v', |c_v| = |\sum c_{n,v}| = \left| \sum_{i=1}^N c_{i,v} + \sum_{i>N} c_{i,v} \right| < \varepsilon.$$

Cor. 4.  $f \in T_n$  with  $|f|=1$  is a unit  $\Leftrightarrow \bar{f} \in k^*$ .

(In general,  $f$  is a unit  $\Leftrightarrow |f-f(0)| < |f(0)|$ ).  $\xleftarrow{\text{in } k[z_1, \dots, z_n]}$

P.f. " $\Rightarrow$ " If  $f \in T_n^*$ ,  $\exists g \in T_n^*$  s.t.  $fg=1$ .  $\therefore \bar{f} \cdot \bar{g}=1 \therefore \bar{f} \in k^*$ .

" $\leq$ " denote  $f(c) = c$ .  $g = f - c$ .  $\therefore f = c + g$ .  $|g| < 1$ .  $\therefore f$  is invertible

$$\underline{C(1+C^Tg)}$$

Prop. 6. For  $f \in T_n$ , then for  $\forall x \in B^n(\mathbb{R})$ .  $|fx| \leq |f|$ . and  $\exists x$  s.t.  $(f_1x_1) = |f|$

p.f.  $|fx| \leq |f|$   $\vee$ . For the second assume  $|f|=1$ .

$\therefore \bar{f} \in k[J_1, \dots, J_n] \therefore \exists t \in k^n$ , s.t.  $\bar{f}(t) \neq 0$ , pick any lift  $x$  of  $t$  ( $x \in B^n(\mathbb{R})$ ).  $|fx| = 1$ . ( $\bar{f}x = \bar{f}(t) \neq 0$ ).

Def. for  $g = \sum_{j=1}^n g_j \cdot J_j \in T_n$ . with  $g_j \in T_{n-1}$  is called  $J_n$ -distinguished if  $\exists s$ .

s.t.

$$(i) g_s \in T_{n-1}^*$$

(ii).  $|g| = |g_s|$ , and for  $j > s$ ,  $|g_s| > |g_j|$ .

Lem. 7. Given  $\forall f_1, \dots, f_r \in T_n$ ,  $\exists$  cont. aut. (keep the norm).

$$\sigma: T_n \rightarrow T_n \quad J_i \mapsto \begin{cases} J_i + J_n^{\alpha_i} & i < n \\ J_n & i = n \end{cases}$$

with suitable  $\alpha_i \in \mathbb{N}$  ( $1 \leq i \leq n-1$ ) s.t.  $\sigma(f_i)$  are dist. ( $1 \leq i \leq r$ ).

p.f.  $\sigma^{-1}: J_i \mapsto J_i - J_n^{\alpha_i}$  ( $i < n$ ).  $\therefore \sigma \in \text{Aut}(T_n)$ .

$\therefore |\sigma(f)| \leq |f|$ .  $|\sigma^{-1}(f)| \leq |f|$  ( $\forall f$ ).  $\therefore |\sigma(f)| = |f|$  ( $\forall f$ )  $\therefore \sigma$  cont.

Goal: find  $\alpha_i$  ( $1 \leq i \leq r$ ) s.t.  $\sigma(f_i)$  are dist.

Baby case: assume there is only one  $f$ .  $|f|=1$ .

Denote  $f = \sum c_\nu J^\nu$ .  $\bar{f} = \sum_{\nu \in N} \bar{c}_\nu \cdot J^\nu$  ( $N$ : nonzero indexes in  $f$ )

Choose  $t$  greater than any  $\alpha_i$  occurring in any  $r \in N$ .

Let  $\alpha_1 = t^{m_1}, \dots, \alpha_{n-1} = t$ .

$$\begin{aligned}\sigma(f) &= \sum_{\gamma \in N} \bar{c}_\gamma (J_1 + J_n^{\alpha_1})^{\gamma_1} \cdots (J_{n-1} + J_n^{\alpha_{n-1}})^{\gamma_{n-1}} J_n^{\gamma_n} \\ &= \sum_{\gamma \in N} \bar{c}_\gamma J_n^{\alpha_1 \gamma_1 + \cdots + \alpha_{n-1} \gamma_{n-1} + \gamma_n} + g \quad (\deg_{J_n} g < \sum_{i \in N} \alpha_i \gamma_i + \gamma_n),\end{aligned}$$

Due to the choice  $\alpha_1, \dots, \alpha_{n-1}, \sum_{i \in N} \alpha_i \gamma_i + \gamma_n$  are all different.

$\therefore$  their maximum  $s$  is achieved at a single  $\gamma \in N$ .

$$\therefore \sigma(f) = \bar{c}_\gamma \cdot J_n^s + h. \quad \deg_{J_n} h < s.$$

Since  $\bar{c}_\gamma \neq 0$ .  $\therefore \sigma(f)$  is  $J_n$ -dist of order  $s$ .

In general, choose a  $t$  large enough to work for all  $f_i$ .

Thm. 8. (Weierstrass Division). Let  $g \in T_n$  be a  $J_n$ -distinguished of some order  $s$ . Then for any  $f \in T_n$ ,  $\exists! q \in T_n$   $r \in T_{n-1}[J_n]$  of  $\deg_{J_n} r < s$

s.t.  $f = qg + r$  and  $|f| = \max\{|g|, |q|, |r|\}$ .

Pf. Uniqueness,  $|f| = \max\{|g|, |q|, |r|\}$ : Assume  $f = qg + r'$ .

WLOG,  $|g| = \max\{|g|, |q|, |r|\} = 1$ .  $\therefore |f| \leq \max\{|g|, |q|, |r'|\} = 1$ .

If  $|f| < 1$ ,  $\Rightarrow \bar{q}\bar{g} + \bar{r}' = 0$ . ( $\bar{q} \neq 0$  or  $\bar{r}' \neq 0$ ). which contradicts with Euclid's division in  $k[J_1, \dots, J_{n-1}][J_n]$ .  $\therefore |f| \geq 1$ . uniqueness is also clear.

Existence: denote  $g = \sum_{r \geq 0} g_r \cdot S_n^r$   $g_r \in T_{n-1}$   $g_s \in T_n^*$  when  $r > s$ ,  $|g_r| < |g_s| = g=1$ .

Set  $\varepsilon = \max_{r \geq 0} |g_r|$ .  $\varepsilon < 1$ . We show a weaker assertion:

(W). For any  $f \in T_n$ ,  $\exists q, f_i \in T_n$ ,  $r \in T_{n-1}[S_n]$  s.t.  $\deg_{S_n} r < s$  s.t.

$$f = gg + r + f_i \quad (|q|, |r| \leq |f|, |f_i| \leq \varepsilon |f|).$$

(Let  $f_0 = f$ ,  $f_i = q; g + r_i + f_{i+1}$ .  $\Rightarrow f = (\sum q_i)g + (\sum r_i)$ ).

Pf of (W). Assume  $f = \sum_{m \geq 0} f_m$   $f_m \in T_{n-1}[S_n]$  ( $|f_m| \rightarrow 0$ ). Then only need to prove (W) for  $f_m$ . WLOG assume  $f \in T_{n-1}[S_n]$ .

Set  $g_1 = \sum_{i=0}^{\frac{s}{2}} g_i S_n^i$ ,  $f = q_1 g_1 + r$  ( $\deg_{S_n} r < s$ ).  $|f| = \max \{|q_1|, |r|\}$ .

$$\therefore f = gg + r + (q_1 g_1 - gg) \quad |g_1 - g| \leq \varepsilon |g| (= |f|). \quad \therefore |f_i| \leq \varepsilon |f|. \quad \square.$$

Cor. 9. Let  $g \in T_n$  be  $S_n$ -dist. of order  $s$ .  $\exists 1$  monic  $w \in T_{n-1}[S_n]$  dist. of order  $s$ ,  $(w)=1$  s.t.  $g = ew$  for a  $e \in T_n^*$ . ( $w$ : Uniserial poly.).

Pf. Existence:  $S_n^s = gg + r$   $|S_n^s| = 1 = \max \{|g|, |r|\}$ .  $\therefore |r| \leq 1$ . ( $\deg_{S_n} r < s$ ).

Set  $w = S_n^s - r$ .  $(w)=1$ , dist. of order  $s$ .

Suffices to prove  $g \in T_n^*$ . Assume  $|q| (= |g|) = 1$ .

$$\overline{w} = \overline{S_n^s} \Rightarrow \overline{q} \in \mathbb{A}^* \therefore q \in T_n^*.$$

$$\deg_{S_n} g = s$$

Uniqueness: Assume  $g = ew$ , let  $r = S_n^s - w \therefore S_n^s = e^{-1}g + r$ .

$\Rightarrow e, r$  are unique (by Thm 8).

Cor 10.  $T_1 = k\langle S_1 \rangle$  is an ED.

Pf. Any  $g \in T_1$  is  $\mathfrak{J}_1$ -dist. of some order  $s$ . Then  $\mathfrak{J}$  says:  $g \rightarrow s$  is a well defined Euclidean function.

Cor 11. (Noether Normalization)

For any proper  $a \subsetneq T_n$ ,  $\exists$   $k$ -alg. mono.  $T_d \hookrightarrow T_n$  s.t.  $T_d \rightarrow T_n \rightarrow T_n/a$  is finite mono.  $d$  is uniquely determined, and is called Krull dim of  $T_n/a$ .

Pf. Choose  $g \neq 0 \in a$ . Use Lem 7 to make  $g$   $\mathfrak{J}_n$ -dist. of some order  $s > 0$ . By Euclidean division,  $T_n(g)$  is a free  $T_{n-1}$ -module with basis  $1, g_1, \dots, g_s$ .  $\therefore T_{n-1} \rightarrow T_n \rightarrow T_n(g)$  is finite, mono.

$T_{n-1} \rightarrow T_n/g \rightarrow T_n/a$  denote  $a_i = \ker \theta$  if  $a_i \neq 0 \vee$

If  $a_i \neq 0$ , proceed with  $a_i$  and  $T_{n-1}$ . after finite steps, we get finite mono:  $T_d \rightarrow T_n/a$ .

(By prop. 17,  $\dim T_n = n$ .  $\therefore \dim T_n/a = \dim T_d = d$ ).

Cor 12. For any  $m \in \text{Max } T_n$ ,  $T_n/m$  is finite over  $K$ .

Pf.  $\exists d$  s.t.  $T_d \hookrightarrow T_n/m$  is finite.  $\therefore d=0$ .  $\therefore T_n/m$  is finite over  $K=T_0$ .

Cor 13.  $B^n(K) \rightarrow \text{Max } T_n$  is surj

$$x \rightarrow m_x = \{f \mid f(x)=0\}.$$

Pf. Clearly  $m_x \in \text{Max } T_n$ :  $T_n \xrightarrow{\Theta_x} K(x_1, \dots, x_n)$   $\ker \Theta_x = m_x$ .

$$\begin{array}{l} x = (x_1, \dots, x_n) \\ \downarrow \\ J_i \rightarrow x_i \end{array}$$

Surj: If  $m \in \text{Max } T_n$ , then  $T_n/m$  is finite over  $K$ .

$$T_n/m \xrightarrow{\varphi} \bar{K} \quad (\overbrace{T_n \rightarrow T_n/m \rightarrow \bar{K}}^{\varphi}). \quad \varphi(s; j) \stackrel{\Delta}{=} x_i \in \bar{K}.$$

$\therefore x = (x_1, \dots, x_n) \in \bar{K}^n$ . Suffices to prove  $|x_i| \leq 1$  ( $\forall i$ ).

For any  $x_i$ , let  $P(\eta) = \eta^r + c_1\eta^{r-1} + \dots + c_r$  be its minimal poly. over  $K$ , let  $\alpha_j$  ( $j=1, \dots, n$ ) be its roots.  $\forall i, x_i = \alpha_j$ .

$\therefore |\alpha_j| = |\alpha_1| \wedge |\alpha_j| \leq 1$ , then  $|c_i| \leq |\alpha_j|^i \leq |\alpha_1|^r = c_r$  ( $i < r$ ).

$\therefore P(J_1)$  is a unit (Cor 4). contradicts with  $\varphi(P(J_1)) = 0$  !

Rmk It's not inj. Example:  $O_E \rightarrow \text{Max } T_1$  is not inj. Since we can pick any  $t \in O_E \setminus K$ , then  $m_t = (f_t)$  ( $f_t$ : minimal poly of  $t$ ). and other roots of  $f_t$  gives the same maximal ideal.