

Lecture 3: Abstract p-adic forms & corank thm

Notations: \tilde{H} primitive $\mathcal{O}[[k_p]]$ -proj augmented module of type \bar{p} .

- $\varepsilon: \Delta^2 \rightarrow \mathcal{O}^\times, \quad \varepsilon(\bar{\alpha}, \bar{\gamma}) = \bar{\alpha}^{a+b}, \quad \bar{\alpha} \in \Delta.$

- $\mathcal{O}[[\mathcal{W}]^{(\varepsilon)}] = (\mathcal{O}[\Delta^\times \mathbb{Z}_p^\times]) \otimes_{\mathcal{O}[[\Delta]], \varepsilon} \mathcal{O} \simeq \mathcal{O}[[\mathcal{V}]]$

$$w = \mathbb{I}(1, \exp(p)) - 1.$$

- $\chi_{univ}^{(\varepsilon)}: \mathcal{B}^0(\mathbb{Z}_p) = \begin{pmatrix} \mathbb{Z}_p^\times & 0 \\ 0 & \mathbb{Z}_p^\times \end{pmatrix} \longrightarrow (\mathcal{O}[[\mathcal{W}]^{(\varepsilon)}])^\times$

$$\begin{pmatrix} \alpha & 0 \\ 0 & \delta \end{pmatrix} \longmapsto [(\bar{\alpha}, \bar{\delta})] \otimes 1$$

$$\varepsilon(\bar{\alpha}, \bar{\delta}) \frac{\log(\bar{\alpha}/\bar{\delta})}{(1+w)/p}$$

- $\text{Ind}_{\mathcal{B}^0(\mathbb{Z}_p)}^{\text{Inp}}(\chi_{univ}^{(\varepsilon)}) := \left\{ \text{Cont } f: \text{Inp} \rightarrow \mathcal{O}[[\mathcal{W}]^{(\varepsilon)}] \mid \begin{array}{l} f(gb) = \chi_{univ}^{(\varepsilon)}(b)f(g) \\ f|_{\begin{pmatrix} \alpha & 0 \\ 0 & \delta \end{pmatrix}}(g) = f\left(\begin{pmatrix} \alpha & 0 \\ 0 & \delta \end{pmatrix}g\right) \end{array} \right\}$

right action convolution.

$$\text{Ind}_{\mathcal{B}^0(\mathbb{Z}_p)}^{\text{Inp}}(\chi_{univ}^{(\varepsilon)}) \simeq C(\mathbb{Z}_p, \mathcal{O}[[\mathcal{W}]^{(\varepsilon)}]).$$

$$f \longmapsto h(g) = f\left(\begin{pmatrix} 1 & g \\ 0 & 1 \end{pmatrix}\right).$$

- The action extends to $M_1 = \left\{ \begin{pmatrix} \alpha & \beta \\ 0 & \delta \end{pmatrix} \in M_2(\mathbb{Z}_p) : p \nmid \alpha, p \nmid \delta, \det \neq 0 \right\}.$

$$\det \begin{pmatrix} \alpha & \beta \\ 0 & \delta \end{pmatrix} = p^t \downarrow, \quad \text{for some } t \in \mathbb{Z}_p^\times.$$

$$h|_{\begin{pmatrix} \alpha & \beta \\ 0 & \delta \end{pmatrix}} = \left[(\bar{\delta}/\bar{\delta}, \bar{\delta}^{-1} + \delta) \right] h\left(\frac{\alpha \bar{\delta} + \beta}{\bar{\delta}^2 + \delta}\right)$$

$$= \varepsilon\left(\bar{\delta}/\bar{\delta}, \bar{\delta}\right) \frac{\log((\bar{\delta}^2 + \delta)/w\bar{\delta})/p}{h\left(\frac{\alpha \bar{\delta} + \beta}{\bar{\delta}^2 + \delta}\right)},$$

- $\tilde{S}_H^{(\varepsilon)} = \text{Hom}_{\text{Inp}}(\tilde{H}, \text{Ind}_{\mathcal{B}^0(\mathbb{Z}_p)}^{\text{Inp}}(\chi_{univ}^{(\varepsilon)}))$

$$\simeq \text{Hom}_{\text{Inp}}(\tilde{H}, C(\mathbb{Z}_p, \mathcal{O}[[\mathcal{W}]^{(\varepsilon)}])) \quad \text{families of } p\text{-adic forms}.$$

$$\text{Inp} \left(\begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} \right) \text{Inp} = \amalg v_j \text{Inp}, \quad \text{e.g. } v_j = \begin{pmatrix} p & 0 \\ p_j & 1 \end{pmatrix}.$$

Similarly, families of overconvergent forms

- $\tilde{S}_H^{(\varepsilon)} = \text{Hom}_{\text{Inp}}(\tilde{H}, \Lambda^{(\varepsilon), \frac{1}{p}} \langle z \rangle),$

$$\bigcup_{U_p} \bigcup_{M_1}$$

where $\Lambda^{\leq \frac{1}{p}} = \mathcal{O}(w/p)$, $\Lambda^{> \frac{1}{p}} = \mathcal{O}[\![w]\!] \langle P/w \rangle$, $\Lambda^{\frac{1}{p}} = \mathcal{O}(w_p, P_w)$,

and $\Lambda^{(\varepsilon),?} = \mathcal{O}[\![w]\!]^{(\varepsilon)} \otimes_{\mathcal{O}[\![w]\!]} \Lambda^?$.

(U_p is compact on $S_H^{+, (\varepsilon)}$)

$\cdot \psi: \Delta^2 \rightarrow \mathcal{O}^\times$, $k \geq 2$,

$$S_{H,k}^\dagger(\psi) := \text{Hom}_{\mathcal{I}_{kp}}(\tilde{H}, \mathcal{O}[\![z]\!] \otimes \psi).$$

$$\text{For } f \in \mathcal{O}[\![z]\!], f|_{(\alpha, \beta)} := (z_\beta + \delta)^k \cdot f\left(\frac{\alpha z + \beta}{z_\beta + \delta}\right).$$

$$S_{H,k}^{\text{Inv}}(\psi) := \text{Hom}_{\mathcal{I}_{kp}}(\tilde{H}, \mathcal{O}[\![z]\!]^{k-2} \otimes \psi).$$

$\cdot (\psi, k) \mapsto \xi = \xi(\psi, k) = \psi(1 \times w^k)$

It turns out $S_{H,k}^{\text{Inv}}(\psi) \subset S_{H,k}^\dagger(\psi) \subset S_H^{+, (\varepsilon)} \otimes_{w \mapsto w_k} \mathcal{O}$.

$\cdot \eta: \Delta \rightarrow \mathcal{O}^\times \mapsto S_k^\text{ur}(\eta) := \text{Hom}_{\mathcal{I}_{kp}}(\tilde{H}, \mathcal{O}[\![z]\!]^{\leq k-2} \otimes \eta \circ \det)$

$$M_2 = \left\{ \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in M_2(\mathbb{Z}_p) : \det \neq 0 \right\}.$$

$$\text{s.t. } (\eta \circ \det) \left(\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \right) = \eta(d), \quad p^r d = \det \left(\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \right), \quad d \in \mathbb{Z}_p^\times.$$

char power series of U_p

$U_p \hookrightarrow S_H^{+, (\varepsilon)}$ compact \Rightarrow only defined over $\Lambda^{(\varepsilon), \leq \frac{1}{p}}$.

\hookrightarrow sublattice $C^0(\mathbb{Z}_p, \Lambda^{(\varepsilon), \geq \frac{1}{p}})^\text{mod}$

"modified".

$$\hat{\oplus} w^{\lceil \frac{m}{p} \rceil} \binom{p}{n} \Lambda^{(\varepsilon), \geq \frac{1}{p}} \subseteq C^0(\mathbb{Z}_p, \Lambda^{(\varepsilon), \geq \frac{1}{p}}).$$

resp. $p^{\lceil \frac{m}{p} \rceil} \binom{z}{n}$ with " $\leq \frac{1}{p}$ ".

Note P/w is a unit in $\Lambda^{\frac{1}{p}} \Rightarrow C^0(\mathbb{Z}_p, \Lambda^{(\varepsilon), \geq \frac{1}{p}})^\text{mod} \& C^0(\mathbb{Z}_p, \Lambda^{(\varepsilon), \leq \frac{1}{p}})^\text{mod}$
coincide on $\Lambda^{(\varepsilon), \frac{1}{p}}$.

They glue to a Banach subsheaf of $C(\mathbb{Z}_p, \Lambda^{(\varepsilon)})$

$$\mathcal{O}[\![w]\!]^{(\varepsilon)}$$

$$w^{\lceil \frac{m}{p} \rceil} \binom{z}{n} \Big|_{(\alpha, \beta) \in M_1} = \sum_{m \geq 0} p_{m,n} w^{\lceil \frac{m}{p} \rceil} \binom{z}{m}.$$

Fact If $p \nmid \alpha, (\phi|_{\mathcal{D}}, p \nmid \delta)$, then $P_{m,n} \in W^{[m/p]} \wedge^{[p]}$.

Similar for $p^{[m/p]}(\frac{\beta}{n}) \Rightarrow U_p$ is compact on this Banach subsheaf!

Can define $C_H^{(\varepsilon)}(w, t)$ as an element of $\mathcal{O}[w, t]$.
(Stronger than Coleman-Mazur.)

From now on, assume $b=0$ ($\Rightarrow \begin{pmatrix} P & 0 \\ 0 & P \end{pmatrix}$ acts trivially on \tilde{H} .)

$$\bar{T} \cdot \begin{pmatrix} \Delta & 0 \\ 0 & \Delta \end{pmatrix} \in \mathcal{O}[\bar{I}_{\text{up}}].$$

$$\hookrightarrow \tilde{H} \cong \bigoplus_{i=1}^r e_i \mathcal{O} \otimes_{X_i, \mathcal{O}[\bar{I}]} \mathcal{O}[\bar{I}_{\text{up}}], \quad r = \text{rank}_{\mathbb{F}} \tilde{H}.$$

noetherian local ring

Since \tilde{H} is primitive,

$$\text{Proj}_{A,0} \cong \bigoplus_{i=1}^r e_i \mathcal{O} \otimes_{X_i} \mathbb{F}(\bar{B}).$$

Fact $X_1 = 1 \times \omega^q$, $X_2 = \omega^q \times 1$. (Possibly, will exchange X_1 & X_2 .)

Lemma We may replace e_2 by $e'_2 = e_1 \begin{pmatrix} 0 & 1 \\ p & 0 \end{pmatrix}$ ($\Rightarrow e_1 = e'_1 \begin{pmatrix} 0 & 1 \\ p & 0 \end{pmatrix}$).

Proof If e_1 has \bar{T} -char $1 \times \omega^q$, then \bar{e}'_2 has \bar{T} -char $\omega^q \times 1$. \square

\hookrightarrow From now on, assume $e_2 = e_1 \begin{pmatrix} 0 & 1 \\ p & 0 \end{pmatrix}$.

$$\begin{aligned} S_{\tilde{H}}^{+,(\varepsilon)} &= \text{Hom}_{\bar{I}_{\text{up}}}(\tilde{H}, \Lambda^{(\varepsilon), \leq \frac{1}{p}} \otimes \mathbb{F}), \quad \varepsilon = \omega^{-\delta_{\varepsilon}} \times \omega^{q+\delta_{\varepsilon}}, \quad \delta_{\varepsilon} \in \{0, \dots, p-2\}, \\ &\cong e_1^*(\Lambda^{(\varepsilon), \leq \frac{1}{p}} \otimes \mathbb{F})^{\bar{T}=1 \times \omega^q} \oplus e_2^*(\Lambda^{(\varepsilon), \leq \frac{1}{p}} \otimes \mathbb{F})^{\bar{T}=\omega^q \times 1}. \end{aligned}$$

$$\hookrightarrow \equiv a + \delta_{\varepsilon} \pmod{p-1}$$

$$\text{Prop } \{e_1^* \cdot \sum_{i \geq 0}^{\delta_{\varepsilon} + (p-1)i} \{e_2^* \cdot \sum_{j \geq 0}^{a + \delta_{\varepsilon} + (p-1)j}\} \}_{i,j \geq 0}$$

is a basis of $S_{\tilde{H}}^{+,(\varepsilon)}$ as a Banach module over $\Lambda^{\leq \frac{1}{p}}$.

or of $\mathcal{S}_{H,k}^+(\varepsilon(1 \times \omega^k))$ as a Banach \mathcal{O} -mod.

Moreover, terms whose (powers in \mathfrak{z}) $\leq k-2$ form an \mathcal{O} -basis of $\mathcal{S}_k^+(\varepsilon(1 \times \omega^k))$.

Proof $\cdot z^j$ has $\bar{\tau}$ -char $\omega^j \times \omega^{-j}$

$$\cdot (j - \delta_{\varepsilon}, j + a + \delta_{\varepsilon}) = (a, a) \text{ or } (a, 0) \quad \left. \begin{array}{l} \\ \Rightarrow j = \delta_{\varepsilon} \text{ or } j = a + \delta_{\varepsilon} \end{array} \right\} \pmod{p-1}. \quad \square$$

$B^{(\varepsilon)} \text{ or } B_k^{(\varepsilon)} \in U_p$

Remark $U_{(p)}^{t,(\varepsilon)}$ = matrix of U_p w.r.t. $B_k^{(\varepsilon)}$ $\mapsto C_H^{(\varepsilon)}(w, t) = \text{char}(1 - U_p \cdot ?)$

$$\varepsilon'(\psi, 2-k)$$

Prop (Theta map) $\varepsilon' = \varepsilon(\omega^{k-1} \times \omega^{k-1}), \psi = \varepsilon(1 \times \omega^{2-k}), \psi' = \varepsilon'(1 \times \omega^k)$.

(1) \exists short exact sequence

$$0 \rightarrow S_k^{I_w}(\psi) \xrightarrow{\text{Inj}} S_k^+(\psi) \xrightarrow{(\frac{d}{dx})^{k-1} \circ (-)} S_{2-k}^+(\psi) \xrightarrow{\text{Surj}} p^{k-1} U_p$$

$\underbrace{(\frac{d}{dx})^{k-1} \circ \psi}_{\text{equivariant wrt } U_p\text{-action}}(x) := (\frac{d}{dx})^{k-1}(\psi(x)).$

$p^{k-1} U_p$ -equivariant here

$$(2) C^{(\varepsilon)}(w_p, t) = C^{(\varepsilon)}(w_{2k}, p^{k-1} t) = \text{char}(U_p, S_k^{I_w}(\psi)).$$

(3) All finite U_p -slopes that are $< k-1$ belong to $S_k^{I_w}(\psi)$.

Proof (1) By direct computation.

(2) $\cdot \ker((\frac{d}{dx})^{k-1} \circ (-))$ is spanned by $B_k^{(\varepsilon)}$

$$\hookrightarrow (\frac{d}{dx})^{k-1}(e_i^* \cdot z^j) = j \underbrace{(j-1) \cdots (j-k+2)}_{\text{"integrating is not integral"}} e_i^* \cdot z^{j-k}.$$

$$\cdot U_k^{t,(\varepsilon)} = \begin{pmatrix} I_w & 0 \\ 0 & p^{k-1} D \end{pmatrix}$$

D = diagonal matrix given by the coefficients $j(j-1) \cdots (j-k+2)$.

$\Rightarrow (2)$.

(3) U_p -slopes of $S_{2-k}^+(\psi)$ is nonnegative

\Rightarrow if U_p -slope of f is $< k-1$, then $(\frac{d}{dx})^{k-1}(f) = 0$.

Prop (Atkin-Lehner involution). $\psi = \psi_1 \times \psi_2$, $\psi^s = \psi_2 \times \psi_1$ forms of Δ^2 .
 $\Sigma'' = \Sigma \cdot \psi^s \cdot \psi^{-1}$.

(1) \exists natural morphism

$$\begin{aligned} AL(k, \psi) : S_k^{Inv}(\psi) &\longrightarrow S_k^{Inv}(\psi^s) \\ \psi &\longmapsto (x \mapsto \varphi(x \begin{pmatrix} 0 & p^{-1} \\ 1 & 0 \end{pmatrix}) \Big|_{\begin{pmatrix} 0 & 1 \\ p & 0 \end{pmatrix}}). \end{aligned}$$

(2) $\forall j \geq 0$, $\ell = 1, \dots, d_p(\psi^s)$, $i = 1, 2$

$$AL(k, \psi)(e_i z^j) = p^{k-2-j} e_{3-i}^* z^{k-2-j}$$

$$(\Rightarrow AL(k, \psi^s) \circ AL(k, \psi) = p^{k-2}.)$$

(3) When $\psi_1 \neq \psi_2$ ($\Leftrightarrow k \neq k_E \bmod p-1$), we have

$$U_p \circ AL(k, \psi) \circ U_p = p^{k-1} \cdot AL(k, \psi).$$

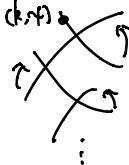
In this case, can pair U_p -slopes on $S_k^{Inv}(\psi)$ & $S_k^{Inv}(\psi^s)$

s.t. each pair adds up to $k-1$.

Remark If $\psi_1 \neq \psi_2$, then (k, ψ) , (k, ψ^s) are often on different wt disks.

Some Ping-Pong type phenomenon:

$$(k, \psi) \rightsquigarrow (k', \psi') \rightsquigarrow (k, \psi).$$



From now on, assume $k = k_E$.

Then Atkin-Lehner $\rightsquigarrow S_k^{Inv}(\tilde{\Sigma}_1) \longrightarrow S_k^{Inv}(\tilde{\Sigma}_2)$ ($\Sigma = \Sigma_1 \cup \Sigma_2$).

Fix $\eta : \Delta \rightarrow \mathcal{O}^\times$, $\tilde{\eta} := \eta \times \eta : \Delta^2 \rightarrow \mathcal{O}^\times$.

Def'n

$$\begin{array}{ccc} \tilde{\eta} & & \\ \downarrow i_1 & \nearrow i_2 & \\ S_k^{Inv}(\Sigma_1) & \xrightarrow{\quad \eta \cdot \psi \quad} & S_k^{Inv}(\tilde{\Sigma}_1) \\ \text{pr}_1 & & \text{pr}_2 \end{array}$$

$$i_1(\psi) = \psi, \quad i_2(\psi) = AL(\psi)$$

$$\text{pr}_1(\psi)(x) = \sum_{j=0, \dots, p-1} (\psi(x u_j))_{u_j^{-1}}$$

$$\text{pr}_2(\psi)(x) = \sum_{j=0, \dots, p-1} (\psi(x \begin{pmatrix} 0 & p^{-1} \\ 1 & 0 \end{pmatrix} u_j))_{u_j^{-1} \begin{pmatrix} 0 & 1 \\ p & 0 \end{pmatrix}}.$$

$$\text{pr}_1(AL(\psi))(x).$$

$$\begin{aligned}\text{Prop } U_p(\varphi) &= i_2(\text{pr}_1(\varphi)) - AL(\varphi), \quad \varphi \in S_k^{Iw}(\tilde{\Sigma}) \\ &= AL(\text{pr}_1(\varphi)) - AL(\varphi).\end{aligned}$$

Put U_k^{Iw} = matrix of U_p w.r.t. $B_k^{(E)}$

L_k^c = matrix of AL w.r.t. $B_k^{(E)}$.

Prop (1) L_k^c is anti-diag with entries $p^{\deg \epsilon_1^{(E)}}, p^{\deg \epsilon_2^{(E)}}, \dots$
(where $\epsilon_1^{(E)}, \epsilon_2^{(E)}, \dots$ is the power basis indexed by exponents of \mathfrak{p})
from upper right to lower left.

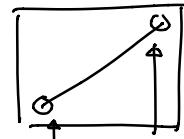
(2) $U_k^{Iw} = -L_k^c + \square$, where \square has $\text{rk } \square \leq d_k^{ur}$.
size $(d_k^{Iw} \times d_k^{Iw})$

Proof (2) $\square = U_k^{Iw} + L_k^c$ is the matrix corresponds to $\varphi \mapsto L_+(\text{pr}_1(\varphi))$. \square

Consider $d_k^{new}(\epsilon_i) = d_k^{Iw}(\epsilon_i) - 2d_k^{ur}(\epsilon_i)$.

Cor The multiplicities of $\pm p^{\frac{(k-2)}{2}}$ as eigenvalues of U_p on $S_k^{Iw}(\tilde{\Sigma})$
are at least $\frac{1}{2} d_k^{new}(\epsilon_i)$ each.

Proof $U_k^{Iw} \pm p^{\frac{k-2}{2}} I = -\underbrace{(L_k^c \pm p^{\frac{k-2}{2}} I)}_{\text{rank } \frac{1}{2} d_k^{Iw}} + \square \uparrow \text{rank } \leq d_k^{ur}$



L_k^c has eigenvalues $\pm p^{\frac{k-2}{2}}$ each with multiplicity $\frac{1}{2} d_k^{Iw}$.

$$\Rightarrow \text{rank } U_k^{Iw} \pm p^{\frac{k-2}{2}} I \leq d_k^{ur} + \frac{1}{2} d_k^{Iw}$$

$$\Rightarrow \text{corank } U_k^{Iw} \pm p^{\frac{k-2}{2}} I \geq \frac{1}{2} d_k^{Iw} - d_k^{ur} = \frac{1}{2} d_k^{new}.$$

\square

Cor (Weak corank thm)

Write $U_{(n)}^{+, (E)} \in M_n(O(\frac{w}{p}))$ for the upper-left $n \times n$ -submatrix of $U^{+, (E)}$.

Then $p^{-\deg g_n^{(E)}} | \det(U_{(n)}^{+, (E)}) \in O(\frac{w}{p})$.

Proof Need to show: $\forall k \equiv k_E \pmod{p-1}$ s.t. $m_n(k) > 0$,

$$\left(\frac{w}{p} - \frac{w_k}{p}\right)^{m_n(k)} \mid \det(U_p^{\dagger}(n)).$$

(note: coeffs $\in \mathbb{Q}(\frac{w}{p})$ we need to divide each ghost factor by p).

\Leftarrow (eval $U_p^{\dagger}(n)$ at $w=w_k$) = $U_p^{\dagger}(n)$ has corank $\geq m_n(k)$.

Indeed, for $L_k^{\dagger}(n) :=$ upper left $n \times n$ -submat of L_k^{\dagger} .

Prop (i)(2) above $\Rightarrow \text{rank}(U_p^{\dagger}(n)) \leq d_k^{ur} + \text{rank } L_k^{\dagger}(n)$

$$= \begin{cases} d_k^{ur}, & n \leq \frac{1}{2} d_k^{ur}, \\ d_k^{ur} + 2(n - \frac{1}{2} d_k^{ur}), & n \geq \frac{1}{2} d_k^{ur}. \end{cases}$$

$$\Rightarrow \text{corank } U_p^{\dagger}(n) \geq \begin{cases} n - d_k^{ur} & \text{if } n \leq \frac{1}{2} d_k^{ur}, \\ d_k^{ur} - d_k^{ur} - n & \text{if } n \geq \frac{1}{2} d_k^{ur}. \end{cases}$$

$$\Rightarrow \text{corank } U_p^{\dagger}(n) \geq m_n(k).$$

□