

# Lectures on Mod $p$ Langlands Program for $GL_2$ (2/4)

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Recall  $\pi(\bar{p}) = \text{repn of } GL_2(L)$

$$\text{Then } \underbrace{\text{soc}_{GL_2(W_L)}}_{K} \pi(\bar{p}) = \bigoplus_{\sigma \in W(\bar{p})} \sigma \quad (\text{minimal})$$

Lemma  $\sigma = \text{irred } K\text{-repn} = \text{irred } GL_2(\bar{F}_p)\text{-rep}$

(i) If  $\sigma \in W(\bar{p})$ , then  $\exists$  tame type

$$\tau : I \rightarrow GL_2(E) \leftrightarrow \sigma(\tau) : \text{repn of } k$$

$$\text{s.t. } \sigma \in JH(\overline{\sigma(\tau)}), \quad JH(\overline{\sigma(\tau)}) \cap W(\bar{p}) = \{\sigma\}.$$

(ii) If  $\sigma \notin W(\bar{p})$ , then  $\exists \pi$

$$\text{s.t. } \sigma \in JH(\overline{\sigma(\tau)}), \quad JH(\overline{\sigma(\tau)}) \cap W(\bar{p}) = \emptyset.$$

Recall that  $\tau$  is either of principal series type (PS).

or of cusp type

$$\hookrightarrow \sigma(\tau) \text{ on } GL_2(\bar{F}_q) : \begin{matrix} \text{either PS} \\ \uparrow \\ q+1 \end{matrix} \quad \begin{matrix} \text{or cusp} \\ \uparrow \\ q-1 \end{matrix}$$

$M_{\infty} = \text{patching module.}$

Recall  $M_{\infty}(\sigma(\tau)) \neq 0 \Leftrightarrow M_{\infty}(\overline{\sigma(\tau)}) \neq 0$

$$\Leftrightarrow \exists \sigma \in JH(\overline{\sigma(\tau)}) \text{ s.t. } M_{\infty}(\sigma) \neq 0.$$

Proof of Lemma (i) if  $\sigma \notin W(\bar{p})$ , need  $\text{Hom}_K(\sigma, \pi(\bar{p})) = 0$

$$\Leftrightarrow M_{\infty}(\sigma) = 0. \quad \begin{matrix} \text{(Gee, 2004: (i)} \\ \text{without Kisin,} \\ \text{with the same philosophy)} \end{matrix}$$

$\Rightarrow$  find  $\tau$  as in (ii), compute  $R\bar{p}((\sigma), \tau) = 0$ .

(ii) If  $\sigma \in W(\bar{p})$ , need  $M_{\infty}(\sigma) \neq 0$ , and

$$\dim \text{Mod}(\sigma)/m_{R\bar{p}} = 1 \Leftrightarrow M_{\infty}(\sigma) \text{ is cyclic as an } R\bar{p}\text{-mod.}$$

↔ find  $\tau$  as in (i)

compute  $R_{\bar{p}}((\sigma), \tau) \neq 0$ , a power series ring (regular).

Here  $\bar{p}$  has a potential BT type  $\tau$

$\Rightarrow \bar{f}$  has a lift at  $p$  which is of potential BT type  $\tau$

By "automorphic lifting"

$$\Rightarrow M_0(\sigma(\tau)) \neq 0$$

$$\Rightarrow \exists \sigma' \in \mathcal{J}H(\sigma(\tau)) \text{ s.t. } M_0(\sigma') \neq 0$$

but  $\sigma$  is the only possibility so that  $\sigma = \sigma'$ ,  $M_0(\sigma) \neq 0$ .

The "minimal" assumption: (= of multiplicity one).

$R_{\bar{p}}(\tau) = R_{\bar{p}} \otimes_{R_{\bar{p}}} R_{\bar{p}}((\sigma), \tau)$  is a regular local ring.

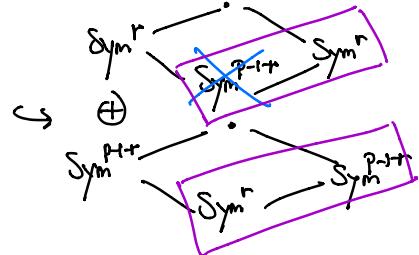
&  $M_0(\sigma)$  is a Cohen-Macaulay module.

( Auslander-Buchsbaum thm:  
 $\text{depth } M + \text{proj dim } M = \dim R$ . )

Ihm  $\pi(\bar{p})^{k_1} = D_0(\bar{p})$ .

$f=1$ ,  $\bar{p}$  irreducible. (the case of  $\mathbb{Q}_p$ ).

$$D_0(\bar{p}) = \left( \begin{array}{ccc} \text{Sym}^r & \longrightarrow & \text{Sym}^{p-3-r} \otimes \det^{r-1} \\ \oplus & & | \\ \text{Sym}^{p+r} \otimes \det^r & \longrightarrow & \text{Sym}^{r+2} \end{array} \right)$$



Choose PS type  $\tau$  s.t.

$$\sigma(\tau)^{\circ}/\omega_E \simeq [\text{Sym}^r - \text{Sym}^{p+r}]$$

To prove that  $\text{Hom}_k(\sigma(\tau)^{\circ}/\omega_E, \pi(\bar{p}))$  is 1-dim

$\Leftarrow M_{\infty}(\sigma(\tau)^{\circ}/\varpi_E)$  is a cyclic  $R_{\infty}$ -module.

$(O_E[x, y]/(xy - p))$  is a regular local ring if  $E/\mathbb{Q}_p$  unramified.

[EGS] work with unramified coeff. field

$\Rightarrow M_{\infty}(\sigma(\tau)^{\circ})$  is a cyclic  $R_{\infty}$ -mod

multitype deformation ring.

E.g. When  $f=1$ , reducible non-split,  $W(\bar{p}) = \{\text{Sym}^r\}$

$$\bar{p}|_{I_{\mathbb{Q}_p}} = \begin{pmatrix} \omega^{r+1} & * \\ 0 & 1 \end{pmatrix}, D(\bar{p}) = \left( \begin{array}{c} \text{Sym}^{p-3-r} \\ \text{Sym}^r \\ \text{Sym}^{p-1-r} \end{array} \right) \xrightarrow{\quad} \text{Sym}^r$$

Consider  $\tau_1$ : PS type, reduction  $\text{Sym}^r$  &  $\text{Sym}^{p-1-r}$

$\tau_2$ : cusp type, reduction  $\text{Sym}^r$  &  $\text{Sym}^{p-3-r}$ .

Lemma  $\exists (\mathbb{H}) \subseteq \sigma(\tau_1) \oplus \sigma(\tau_2)$  s.t.

$$(\mathbb{H}/\varpi_E \mathbb{H}) \simeq \cdot \begin{array}{c} \nearrow \\ \cdot \\ \searrow \end{array} \cdot$$

Proof  $(\text{Proj}_{[O_EGL_2(F_p)]} \sigma)[\frac{1}{p}] = \sigma(\tau_1) \oplus \sigma(\tau_2)$

Recall  $H$  finite grp,  $\sigma$  = irred rep of  $H/F$ .

$$\hookrightarrow (\text{Proj}_{[H]} \sigma)[\frac{1}{p}]/\varpi = \text{Proj}_{[FH]} \sigma$$

$$(\text{Proj}_{[H]} \sigma)[\frac{1}{p}] \text{ (semi-simple)} = \bigoplus_i V_i^{\alpha_i}$$

$$\text{where } \alpha_i = \dim_{[FH]} (\text{Proj } \sigma, \overline{V_i}) = [\overline{V_i} : \sigma].$$

Now  $(\mathbb{H}) \subseteq \sigma(\tau_1) \oplus \sigma(\tau_2)$

$$\hookrightarrow \mathbb{H}_1 \subseteq \sigma(\tau_1) \text{ as } \mathbb{O}\text{-lattices}$$

$$\mathbb{H}_2 \subseteq \sigma(\tau_2)$$

$$\hookrightarrow 0 \rightarrow \mathbb{H} \hookrightarrow \mathbb{H}_1 \oplus \mathbb{H}_2 \xrightarrow{\text{p-torsion mod}} \boxed{\text{Sym}_q^r} \rightarrow 0 \text{ (check).}$$

Taking  $M_{\infty}(-)$ :

$$0 \rightarrow M_{\infty}(\oplus) \rightarrow M_{\infty}(\oplus_1) \oplus M_{\infty}(\oplus_2) \rightarrow M_{\infty}(\sigma) \rightarrow 0$$

↑ cyclic modules ↑ ↗

$$\text{Also, } M_{\infty}(\oplus) \text{ cyclic} \Leftrightarrow \text{Ann}(M_{\infty}(\oplus_1)) + \text{Ann}(M_{\infty}(\oplus_2)) = \text{Ann}(M_{\infty}(\sigma))$$

↓ ↓ ↓

$$\text{Ex } R_{\bar{p}}((\underline{\sigma}, \underline{\tau}), \tau_i) = \mathcal{O}[x, y]/(x), \quad (\mathcal{O}[x, y] = R_{\bar{p}}^{\text{uni}})$$

$$R_{\bar{p}}((\underline{\sigma}, \underline{\tau}), \tau_2) = \mathcal{O}[x, y]/(x - p)$$

cannot be written as  $\mathcal{O}[y]$ . need the coordinate.

When  $f=2$ , need to consider 2 PS types + 2 cusp types

say  $\tau_1, \tau_2$  &  $\tau'_1, \tau'_2$ .

such that  $\sigma_i \in JH(\overline{\sigma(\tau_i)}) \cap W(\bar{p})$ ,  $\sigma'_i \in JH(\sigma(\tau'_i)) \cap W(\bar{p})$ .

Assume  $\bar{p}$  reducible non-split

$$W(\bar{p}) = \{\sigma_1, \sigma_2\}, \quad \sigma_1 = (r_0, r_1), \quad \sigma_2 = (p-2-r_0, r_1+1)$$

$$\Rightarrow JH(\overline{\sigma(\tau_1)}) \cap W(\bar{p}) = \{\sigma_1\},$$

$$JH(\overline{\sigma(\tau_2)}) \cap W(\bar{p}) = \{\sigma_2\}$$

$$JH(\overline{\sigma(\tau'_1)}) \cap W(\bar{p}) = \{\sigma_1\}$$

$$JH(\overline{\sigma(\tau'_2)}) \cap W(\bar{p}) = \{\sigma_2\} \quad , \quad (\text{by B-M}).$$

$$\text{E.g. } R_{\bar{p}}^{\text{uni}} = \mathcal{O}[[x_0, y_0, x_1, y_1]] [\text{others}] . \quad R_{\bar{p}}(\bar{?}) = R_{\bar{p}}^{\text{uni}} / I ?$$

$$\begin{array}{c|c|c|c} \hline R_{\bar{p}}(\tau_1) & R_{\bar{p}}(\tau_2) & R_{\bar{p}}(\tau'_1) & R_{\bar{p}}(\tau'_2) \\ \hline (x_0, x_1) & (x_0 - p, x_1, y_1 - p) & (x_0, x_1, y_1, -p) & (x_0 - p, x_1) \\ \hline \end{array}$$

$$\text{Take } \Gamma = \mathbb{F}[GL_2(\bar{\mathbb{F}_q})] = \mathbb{F}[K]/m_K, \quad \tilde{\Gamma} := \mathbb{F}[K]/\tilde{m}_K$$

with  $\underbrace{\mathbb{F}[K]}_{\text{pro-}\bar{p} \text{ gp}} \cong \mathbb{F}[K]$ , local ring  $M_{K, 1} = (k_1 - 1)$ .

$$\Rightarrow \pi(\bar{p})^{k_1} = \pi(\bar{p})[m_{k_1}] \text{ (killed by } m_{k_1})$$

$\pi(\bar{p})[m_{k_1}^2] \subsetneq \pi(\bar{p}_2)^{k_2}$

$\hookrightarrow (\text{Inj}_{\mathbb{K}} \text{Sym}^r)[m_{k_1}^2]$ .

- Motivation:  $f=1$ ,  $\bar{p}$  = red non-split

$$\pi(\bar{p})^{k_1} = \text{D}_{\bar{p}}(\bar{p}) = \text{Sym}^r \begin{array}{c} \xrightarrow{\text{Sym}^{r+f}} \\ \xrightarrow{\text{Sym}^{r+f}} \end{array} \text{Sym}^r$$

$$\pi(\bar{p})|_K \hookrightarrow \text{Inj}_{\mathbb{K}} \text{Sym}^r \rightarrow \text{Sym}_{\mathbb{K}} \text{Sym}^r.$$

$$\text{Dual: } \text{Proj}_{\mathbb{K}}(\text{Sym}^r)^\vee \xrightarrow{\times} \text{Proj}_{\mathbb{K}}(\text{Sym}^r)^\vee \rightarrow \pi(\bar{p})^\vee \rightarrow 0$$

$$\Rightarrow \text{Proj}_{\mathbb{K}}(\text{Sym}^r)^\vee / x \rightarrow \pi(\bar{p})^\vee \quad (\text{expect dim}=1)$$

GK dim=3 (fix control character).

- Aim: control  $\text{GK}(\pi(\bar{p}))$

$$\Rightarrow \text{GK}(\pi(\bar{p})^\vee) \in \text{GK}(\text{Proj}(\text{Sym}^r)^\vee / x). \quad \text{To prove: } \text{GK}(\text{Proj}(\text{Sym}^r)^\vee / (x, y)) = 1.$$

Recall  $0 \rightarrow V^{k_1} \rightarrow V \rightarrow V/V^{k_1} \rightarrow 0$

$$\Rightarrow 0 \rightarrow V^{k_1} \xrightarrow{\sim} V^{k_1} \rightarrow (V/V^{k_1})^{k_1} \xrightarrow{\sim} H'(k_1, V^{k_1}) \rightarrow 0 \quad (f=1)$$

$$(\underbrace{\text{Sym}^2 \mathbb{F} \otimes \det^r}_{\text{SL}_2}) \otimes V^{k_1} \xrightarrow{\sim} H'(k_1, \mathbb{F}) \otimes V^{k_1}$$

$$\underbrace{\text{Hom}(k_1/\mathbb{Z}_1, \mathbb{F})}_{\text{3-dim! } \mathbb{F}\text{-v.s.}} \hookrightarrow \Gamma\text{-action}$$

3-dim!  $\mathbb{F}$ -v.s.

$$\text{Get } 0 \rightarrow \text{Inj}_{\Gamma} \text{Sym}^r \rightarrow (\text{Inj}_{\mathbb{K}} \text{Sym}^r)[m_{k_1}^2] \rightarrow (\underbrace{\text{Sym}^2 \otimes}_{(2 < r < p-3)} \text{Inj}_{\Gamma} \text{Sym}^r \rightarrow 0$$

Thus  $\pi(\bar{p})[m_{k_1}^2]$  is of "multiplicity one".

$\left( \begin{array}{l} \text{In particular, } \forall \sigma \in W(\bar{p}), \text{ Sym}^r \xrightarrow{\sim} \text{Sym}^r \\ \sigma \text{ occurs once in } \pi(\bar{p})[m_{k_1}^2] \end{array} \right)$

Thus,

$$\pi(\bar{p})[M_{k_1}^2] = \text{Sym}^r \begin{array}{c} \nearrow \text{Sym}^{p-3-r} \\ \nearrow \text{Sym}^{p+r} \\ \searrow \text{Sym}^{p+r} \\ \searrow \text{Sym}^{p-2} \end{array} \begin{array}{c} \text{Sym}^{p+2} \\ \cancel{\text{Sym}^r} \\ \cancel{\text{Sym}^r} \\ \text{Sym}^{p-1-r} \end{array}$$

Proof.  $(\text{Sym}^2 \mathbb{F}^2 \otimes \det^{-1}) \otimes \text{Proj}_{\mathbb{F}} \text{Sym}^r$   
 $\xrightarrow{\text{lift to char } 0} (\text{Sym}^2 \mathbb{O}^2 \otimes \det^{-1}) \otimes (\text{Proj}_{\mathbb{O}[\tilde{\Gamma}]} \text{Sym}^r)[\frac{1}{p}]$   
 Alg repn  $=$  tame type direct sum.

Use deformation ring  $(-1, 2)$  of tame type, multitype.  
 $\leadsto (\text{Proj}_{\mathbb{O}[\tilde{\Gamma}]} \sigma)[\frac{1}{p}]$  smooth  $\tilde{\Gamma}$ -repn,  $\tilde{\Gamma}$  finite algebra.

Attempt:  $\text{GL}_2(\mathbb{Q}_p) +$  Paskunas:

$$0 \rightarrow \text{Proj}_{\mathbb{F}}(\text{Sym}^r)^V \xrightarrow{y} \text{Proj}_{\mathbb{F}}(\text{Sym}^r)^V \xrightarrow{x} \text{Proj}_{\mathbb{F}}(\text{Sym}^r)^V \rightarrow \text{coker} \rightarrow 0$$

$$\xrightarrow{x} \text{Proj}_{\mathbb{F}}(\text{Sym}^r)^V \xrightarrow{y} \text{Proj}_{\mathbb{F}}(\text{Sym}^r)^V \xrightarrow{\pi \circ p} \text{Proj}_{\mathbb{F}}(\text{Sym}^r)^V.$$

### § Iwahori subgroup

$$I = \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \Rightarrow I_1 = \text{Sylow prop Iwahori}.$$

$\leadsto \mathbb{F}[I_1/\mathbb{Z}_1]$  Iwasawa algebra,

a  $\mathfrak{f}$ -dim/ noetherian domain.

$I_1/\mathbb{Z}_1$  is not uniform:  $\text{Hom}(I_1/\mathbb{Z}_1, \mathbb{F}) = \mathfrak{f}$ . ( $k_i/\mathbb{Z}_1$  unramified)  
 corresp. to poly ring of  $\mathfrak{f}$  variables.

$\text{gr}_{m_{I_1}}(\mathbb{F}[I_1/\mathbb{Z}_1])$  not commutative.

Thm  $\text{gr}_{m_{\mathbb{I}}}(\mathbb{F}\mathbb{I}/z_i) = U(g)$

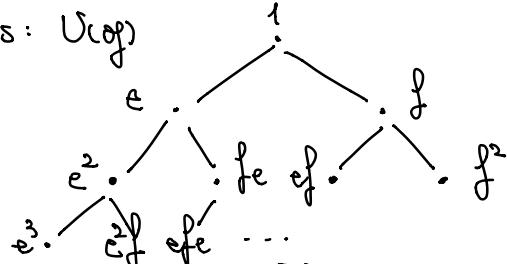
where  $g = \bigoplus_{i=0}^{f-1} F e_i \oplus F f_i \oplus F f_j$ ,  $f_i \in Z(g)$ .

s.t.  $[e_i, f_i] = f_i$ ,  $[e_i, f_j] = [f_i, f_j] = 0$ ,  $[e_i, f_k] = 0$  ( $i \neq j$ ).

When  $f = 1$ ,  $g = Fe \oplus Ff \oplus Ff$ ,  $f = ef - fe$ .

and  $e(ef - fe) = (ef - fe)e$ .

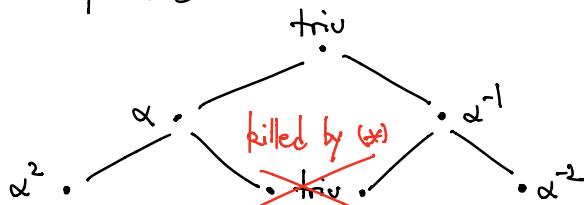
PBW basis:  $U(g)$



Take  $H \otimes g$ ,  $H \ni \alpha_i : \begin{pmatrix} [a] & 0 \\ 0 & [d] \end{pmatrix} \mapsto \alpha_i^{-1}$

so  $g \cdot e_i = \alpha_i(g) \cdot e_i$ ,  $g \cdot f_i = \alpha_i^\dagger(g) \cdot f_i$ ,  $g \cdot f_j = f_j$ .  
with  $e_i \leftrightarrow \begin{pmatrix} 1 & [x_i] \\ 0 & 1 \end{pmatrix}^{-1}$ .

On the H-repn page,



Facts (i)  $f_j$  is central in  $U(g)$ ,  $U(g)/(f_0, \dots, f_{f-1}) \cong \mathbb{F}[e_i, f_i]$  is of Krull dim =  $f$ .  
poly ring of Krull dim =  $f$ .

(ii)  $U(g)/(e_i f_i, f_i e_i)$  is a commutative noetherian ring  
of Krull dim =  $f$ .

Thm Let  $\pi = \text{adm smooth repn of } \mathbb{I}/z_i$ .

Assume  $\forall x \in \text{soc}_{\mathbb{I}}(\pi)$ ,  $[\pi[m_{\mathbb{I}}^3] : x] \stackrel{(*)}{=} [\pi[m_{\mathbb{I}}] : x]$  then  $\text{Gk-dim}(\pi) \leq f$ .

Further condition (x):  $\pi^\vee$  is f.g.  $\mathbb{F}[I_i/z_i]$ -mod  $\hookrightarrow H$ -action  
 $\text{gr}(\pi^\vee)$  is f.g.  $\text{gr}(I_i/z_i)$ -mod.  
 $\Rightarrow (e_if_i, f_ie_i) \in \text{Ann}_{\mathbb{F}[I_i]}(\text{gr}(\pi^\vee))$ .  
i.e.  $\text{gr}(\pi^\vee)$  is f.g. module over  $\underbrace{\mathbb{F}[e_i, f_i]/(e_if_i)}$ .  
 $\Rightarrow \text{Gk-dim}(\text{gr}(\pi^\vee)) \leq f$  Krull-dim = f.  
 $\Rightarrow \text{Gk}(\pi^\vee) \leq f$ .

Then  $\text{Gk}(\pi(\bar{p})) \leq f$

Proof Known:  $\pi(\bar{p})[m_{\bar{p}}^2] \subseteq k$  is of multiplicity one.

I-rep'n.  $\begin{array}{c} \downarrow \text{Frob-reciprocity.} \\ \pi(\bar{p})[m_{\bar{p}}^3] \text{ is of multiplicity one. } (\ast) \\ \downarrow \\ \text{Gk}(\pi(\bar{p})) \leq f. \end{array}$