

## Geometric Eisenstein series of the Fargues–Fontaine curve

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(Joint work in progress with Peter Scholze)

## Goal of these talks

Formulate a strategy to prove the Harris-Viehweg (HV) Conj,  
by studying "Eisenstein series" in a certain geom setup.

## Lecture 1 : Introduction

Fix a prime  $p$ .

Let  $G/\mathbb{Q}_p$  be a Conn'd red grp + assumed quasi-split.

Fix  $T \subset B \subset G$   $\hookrightarrow$  standard parabolics  
 $\max$  torus Borel  $\hookrightarrow$  standard Levi's.

The HV conj relates the cohom of local shtuka spaces for  $G$  and for Levi subgrps  $M \subset G$ .

## Local shukra spaces

Axiomatically attached to certain triples  $(G, \mu, b)$

- $G$  as before
  - $b \in B(G) = G(\check{\otimes}_p) / (g \sim bg\sigma(b)^t)$ . (Kottwitz set)
   
 $\check{\otimes}_p = \widehat{\otimes_p^{\text{ur}}} \circ \sigma$

so  $\mathcal{B}(G) = \text{isom classes of "Frob-isocrystals"}$   
 with  $G\text{-str } / \bar{\mathbb{F}_p}$ .

note Kottwitz: For all  $b \in \mathcal{B}(G)$ , get

$$\begin{aligned} v_b &\in X_*(T)_{\mathbb{Q}, \text{dom}}^{\text{top}} \quad \text{Newton point} \\ + \quad k_b &\in \pi_k(G)_{T_{\mathbb{Q}_p}} = (X_*(T)/\mathbb{Z} \cdot \mathbb{Z})_{T_{\mathbb{Q}_p}} \quad \text{"Kottwitz point".} \end{aligned}$$

Fact  $(v_b, k_b)$  determine  $b$  uniquely.

↳ Dieudonné-Maine theory: slope when  $G = \text{GL}_n$ .

Also get  $G_b = \text{alg grp } / \mathbb{Q}_p \text{ w/ } G_b(\mathbb{Q}_p)$   
 $\{g \in G(\mathbb{Q}_p) \mid b \sigma(g) b^{-1} = g\}$ .

$G$  quasi-split  $\Rightarrow G_b = \text{inner form of a Levi of } G$ .

Now suppose  $\mu \in X_*(T)_{\text{dom}}$

so  $\mu$  determines a finite subset

$$\underbrace{\mathcal{B}(G, \mu)}_{\text{infinite}} \subset \mathcal{B}(G) \quad (\begin{array}{l} \text{Newton polygon lies} \\ \text{below Hodge polygon.} \end{array})$$

Def'n A local shtuka datum is a triple  $(G, \mu, b)$

where  $b \in \mathcal{B}(G, \mu)$  for  $G, \mu$  as above.

Given such a datum, Scholze-Weinstein constructed  
 a moduli space  $\text{Sht}_{G, \mu, b}$

of mixed characteristic shtukas.

Very rough idea:  $b \mapsto \mathcal{E}_b = a G\text{-fdl on the FF curve}$

$$\hookrightarrow \text{Sht}_{G,\mu,b} = \begin{array}{c} (\text{moduli space of } \mu\text{-bounded}) \\ \text{mero maps } \mathcal{E}_1 \dashrightarrow \mathcal{E}_b: \\ \downarrow \quad \downarrow \\ G(\mathbb{Q}_p) \quad G_b(\mathbb{Q}_p) \end{array}$$

so  $\text{Sht}_{G,\mu,b}$  has natural commuting actions  
of  $G(\mathbb{Q}_p)$  &  $G_b(\mathbb{Q}_p)$ .

Can make sense of the  $\ell$ -adic intersection cohom of  $\text{Sht}_{G,\mu,b}$ :

$$R\Gamma(G, \mu, b) = \text{Complex of smooth } G(\mathbb{Q}_p) \times G_b(\mathbb{Q}_p) \\ \overline{\mathbb{Q}}_\ell - \text{vec spaces}$$

+ natural commuting action of  $WE$   
( $E \subset \overline{\mathbb{Q}}_p$  fixed field of  $\text{Stab}_{\mathbb{Q}_p}(\mu)$ .)

Let  $\lambda$  any  $\mathbb{Z}_\ell$ -alg

$\hookrightarrow D(G(\mathbb{Q}_p), \lambda)$  = derived cat of the abelian cat  
of smooth  $G(\mathbb{Q}_p)$ -reps on  $\lambda$ -mods.

Get a functor

$$D(G_b(\mathbb{Q}_p), \overline{\mathbb{Q}}_\ell) \longrightarrow D(G(\mathbb{Q}_p), \overline{\mathbb{Q}}_\ell)^{BN_E}$$

$$\rho \longmapsto \underline{R\Gamma}(G, \mu, b)[\rho].$$

full IC coh of Sht

$$\text{Here } \underline{R\Gamma}(G, \mu, b)[\rho] := R\Gamma(G, \mu, b) \mathop{\otimes}\limits^L_{C_c(G_b(\mathbb{Q}_p), \overline{\mathbb{Q}}_\ell)} \rho.$$

Thm (Fargues - Scholze)

If  $\rho$  is a sm  $\bar{\mathbb{Q}}_p$ -rep of  $G_b(\mathbb{Q}_p)$  of fin length  
(in particular,  $\rho$  irred)

then  $H^i(R\Gamma(G, b, \mu)[\rho]) = 0$

for only finitely many  $i$

& each  $H^i$  is of finite length as a  $G(\mathbb{Q}_p)$ -rep.  
+  $W_E$ -action.

we can make the following definition

Def  $M_{G,b} : \text{Groth}(G_b(\mathbb{Q}_p)) \longrightarrow \text{Groth}(G(\mathbb{Q}_p) \times W_E)$   
 $\rho \longmapsto \sum_i (-1)^i H^i(R\Gamma(G, b, \mu)[\rho]).$

When  $b$  is basic ( $\Leftrightarrow G_b$  inner form of  $G$ )

$M_{G,b}$  is conjecturally very well-understood  
(Kottwitz Conjecture).

Partial results by Harris-Taylor, Shin,  
Fargues, Bertolini-Meli-Nguyen,  
Hansen-Kerth - Weinstein.

Goal of these lectures Understand the non-basic case.

Fix  $M \subset G$  standard Levi,  $b \in B(M)$ .

Say  $b$  is well-chosen if  $v_b \in X^*(T)_{\mathbb{Q}, M\text{-dom}}^\Gamma$  (a priori  $M$ -dominant)  
is actually  $G$ -dominant.

Intuition  $(G = G_m)$   = M

blocks  $\longleftrightarrow$  isocrystals

Then slopes of isocrystals are decreasing w.r.t. ( $\searrow$ ).

$\Rightarrow$  Get  $G_b$ , but also  $M_b = \text{Levi of parab } P_b \subset G_b$

Def Fix  $(G, g_1, b)$ ,

$\mathcal{W}_G^M(\mu, b) := \text{multiset of } v \in X_{*(T)_M\text{-dom}} \text{ s.t. } b \in B(M, v)$

$v$  is counted with multiplicity  $\dim \text{Hom}_M(W_v, V_{\mu/\nu})$   
 highest wt regns

$$\underline{\text{Obs}} \quad \langle 2\rho_G - 2\rho_M, - \rangle : X_*(T) \rightarrow \mathbb{Z}$$

factors over the quotient  $(X_*(\Gamma) / \mathbb{Z} \cdot \Phi^*(\Gamma, M))_{\bar{\gamma}} = \pi_1(M)_{\bar{\gamma}}$ .

(target of Kottwitz map).

So can define  $\varepsilon = \langle 2\rho_G - 2\rho_M, K_b \rangle \in \mathbb{Z}$ .

Conj (Generalized HV Conj)

Have an equality

$$\text{Mant}_{G, \text{gr}, b}(\text{Ind}_{P_b(\mathbb{Q}_p)}^{G_b(\mathbb{Q}_p)} \rho) = (-1)^r \cdot \sum_{\nu \in W_G^H(\mu, b)} \text{Ind}_{P(\mathbb{Q}_p)}^{G(\mathbb{Q}_p)} \text{Mant}_{G, \nu, b}(\rho) \left( \frac{\epsilon}{2} \right)$$

Take twist

in  $\widehat{\text{Groth}}(G(\mathbb{Q}_p) \times W_E)$  for all  $p \in \text{Groth}(M_b(\mathbb{Q}))$ .

$(\frac{e}{2})$  only changes the Weil action.)

elts are finite sums  $\sum_i n_i \cdot (\pi_i \boxtimes \sigma_i)$   
 $\qquad\qquad\qquad$  G(Cop) WE.

Rmk (1) This passes various sanity checks

- compatible with composition.
- when  $\mu$  is minuscule (and  $G_b = M_b$ )  
all  $\nu$ 's are actually Weyl translates of  $\mu$   
and this reduces to the usual statement  
of the HV conj (cf. Bertolini-Meli).

(2) Previous partial results:

Harris-Taylor, Boyer, Mantovan, Shin, Hansen, Gaisin-Imai  
But no general strategy.

Goal of the remaining lectures:

Explain a strategy to prove this conj,  
in the "geometrization" framework of Fargues-Scholze.

### Background from F-S

Main player  $Bun_G =$  stack of  $G$ -bundles on the  $\mathbb{F}$  curve.

↪ This is a reasonable geom obj:

As a top space,  $|Bun_G| \cong B(G)$

by theorem of Fargues.

↪ Get a decomp. into locally closed substacks

$Bun_G^b$ ,  $b \in B(G)$ .

Note If  $b \in B(G)$  is basic, then  $Bun_G^b$  is open in  $Bun_G$

&  $Bun_G^b \cong [*/G_b(\mathbb{Q}_p)]$ .

Fix  $\Lambda = \mathbb{Z}_\ell$ -alg.

Then F-S define a natural cat

$$\mathcal{D}(\mathrm{Bun}_G, \Lambda)$$

+ a semi-orthogonal decomp into pieces

$$\mathcal{D}(\mathrm{Bun}_G^b, \Lambda) \cong \mathcal{D}(G_b(\mathbb{Q}_p), \Lambda).$$

There are two natural finiteness conditions on obj's of  $\mathcal{D}(\mathrm{Bun}_G, \Lambda)$ .

- ULA sheaves  $\longleftrightarrow$  admissible rep's

(Analogy in  $G(\mathbb{Q}_p)$ -reps:

$A \in \mathcal{D}(G(\mathbb{Q}_p), \Lambda)$  is adm if  $\forall K \subseteq G_b(\mathbb{Q}_p)^{\text{ss}}$ ,  $A^K \in \text{Perf}(\Lambda)$ )

- Compact sheaves  $\longleftrightarrow$  fin gen'd rep's (if  $\Lambda = \overline{\mathbb{Q}_\ell}$ )

(Analogy in  $G(\mathbb{Q}_p)$ -reps:

rep's gen'd by cpt ind from open subgrps.)

Fact ULA sheaves  $\hookrightarrow$  Verdier duality

Compact sheaves  $\hookrightarrow$  Bernstein-Zelevinsky duality

Also have Hecke operators acting:

For any  $V \in \text{Rep}(\widehat{G}_\Lambda)$ , get a natural functor

$$T_V: \mathcal{D}(\mathrm{Bun}_G, \Lambda) \longrightarrow \mathcal{D}(\mathrm{Bun}_G, \Lambda)$$

generates compact & ULA sheaves separately.

Fact If  $\Lambda = \overline{\mathbb{Q}_\ell}$ , and  $(G, \mu, b)$  is given.

$$\text{then } R\Gamma(G, \mu, b)[\wp] = i_1^* T_{V_\mu} i_{b!}[\wp]$$

$$(i_b : \mathrm{Bun}_G^b \hookrightarrow \mathrm{Bun}_G \text{ open}, \quad \mathbb{1} \in \mathcal{B}(G)).$$

Idea HV conj should follow from introducing some operation

$$\mathrm{Eis} : \mathcal{D}(\mathrm{Bun}_M, \Lambda) \longrightarrow \mathcal{D}(\mathrm{Bun}_G, \Lambda)$$

(which geometrizing parabolic inductions)

and studying how it interacts with Hecke operators on  $G$  & on  $M$ .

### Tentative plan

Lecture 2 Conjectures on  $\mathrm{Eis}$

+ easy proof of HV conditionally on those.

Lecture 3 Unconditional results of  $\mathrm{Eis}$  v.s. Hecke operators,  
finiteness conditions.

Lecture 4 Vanishing conjecture.

## Lecture 2 : The main conjecture for Eis

- Today
- Background from Lecture 1.
  - Conjectures on Eis.
  - Conditional reduction of HV conj from conj's on Eis.

Recall  $p$  prime,  $G/\mathbb{Q}_p$  Conn red grp.

+ assume  $G$  quasi-split

$\stackrel{\cup}{B} \rightarrow T$  fixed.

$B_{\text{rig}} =$  stack of  $G$ -balls on the FF curve.

(smooth Artin stack of dim 0)

&  $|B_{\text{rig}, G}| \cong B(G)$  (by Fargues).

$$\downarrow \qquad \qquad \downarrow \pi$$

$\pi_0(|B_{\text{rig}, G}|) \cong \pi_1(G)_T$  (Fargues-Scholze)

Strata  $B_{\text{rig}} = \bigcup_{b \in B(G)} \underbrace{B_{\text{rig}}^b}_{\subset \simeq [*/G_b(\mathbb{Q}_p) \times (\text{unipotent part})]}$

smooth of dim -  $\langle 2p, \nu_b \rangle$

&  $i_b: B_{\text{rig}}^b \hookrightarrow B_{\text{rig}}$  locally closed immersion.

Note If  $b$  basic, then  $i_b: [*/G_b(\mathbb{Q}_p)] \hookrightarrow B_{\text{rig}}$   
is an open immersion.

Fix  $\Lambda = \mathbb{Z}_p$ -alg. F-S define cat  $D(B_{\text{rig}}, \Lambda)$  &  $D(B_{\text{rig}}^b, \Lambda)$   
 $D(G_b(\mathbb{Q}_p), \Lambda)$ .

Have functors

$$\begin{array}{ccc} & \overset{i_b^*}{\curvearrowright} & \\ D(Bun_G^b, \Lambda) & \leftarrow \underset{i_b^*}{\curvearrowleft} & D(Bun_G, \Lambda) \\ \nwarrow \quad \uparrow & & \nearrow i_b! \\ & \overset{i_b^*}{\curvearrowright} & \\ & \downarrow i_b^* & \end{array}$$

Here  $i_b^* \vdash i_b^* \vdash i_b^*$  (note  $b$  basic  $\Rightarrow i_b$  open)  
&  $i_b! \vdash i_b!$   $\Rightarrow i_b^* = i_b!$  &  $i_b^* = i_b!$ .

### Finiteness conditions

Def'n  $A \in D(G_b(\mathbb{Q}_p), \Lambda)$  is admissible if  
 $A^K \in \text{Perf}(\Lambda)$ ,  $\forall$  open cpt subgrp  $K \subset G(\mathbb{Q}_p)$ .

There is a corresponding notion for sheaves  
 $F \in D(Bun_G, \Lambda)$ , called ULA-ness.

Fact  $F$  is ULA  $\Leftrightarrow i_b^* F$  admissible,  $\forall b$ .

Moreover, if  $A \in D(G_b(\mathbb{Q}_p), \Lambda)$  adm,  
then  $i_b! A$  &  $i_b^* A$  are ULA.

Finally, have  $R\text{Hom}(-, \Lambda) \subset D(G_b(\mathbb{Q}_p), \Lambda)$  "smooth duality"  
 $\hookrightarrow D(Bun_G, \Lambda)$  "Verdier duality".

These dualities intertwine  $i_b!$  with  $i_b^*$  (up to shifts).  
&  $i_b^*$  with  $i_b^!$

They induce self-equivalences satisfying dualities  
on cpt & ULA objects.

Facts  $A \in D(G_b(\mathbb{Q}_p), \Lambda)$  is compact  
 if it lies in the triangulated subcat gen'd by  
 objs of the form  $\mathcal{C}_c(K \backslash G_b(\mathbb{Q}_p), \Lambda)$   
 $K \subset G_b(\mathbb{Q}_p)$  open pro-p cpt subgrp.

On compact objs: have a cohom duality

$$\mathcal{D}_{\text{coh}}(A) := \text{RHom}(A, \mathcal{C}_c(G_b(\mathbb{Q}_p), \Lambda))$$

preserving complexes and satisfying biduality.

- $A \in D(\text{Bun}_G, \Lambda)$  is compact  
 $\Leftrightarrow i_{b!} i_b^* A$  is compact  
 and = 0 for all but fin many  $b$ .  
 (Scholze: "support is quasi-cpt".)

Also on cpt objs, have a duality

$\mathcal{D}_{\text{BZ}}$  satisfying biduality.

Finally, for any  $A \in D(G_b(\mathbb{Q}_p), \Lambda)$  cpt,

$$i_{b*} A \& i_{b!} A \text{ are cpt}$$

and  $\mathcal{D}_{\text{BZ}}(i_{b!} A) \cong i_{b*} \mathcal{D}_{\text{coh}}(A)$ .

If  $\Lambda = \overline{\mathbb{Q}_\ell}$  and  $\pi = \text{some adm irrep of } G_b(\mathbb{Q}_p)$

then  $\mathcal{D}_{\text{coh}}(\pi) = \mathbb{Z}[\tilde{\ell}(\pi)[-r]]$   
 "shift of some other irrep  $\sigma$ " ( $r \in \mathbb{Z}$ ).

Fix  $P = MN \subset G$  standard parabolic.

Conjecture There should exist a natural functor

$$\text{Eis} = \text{Eis}_p^G : D(\text{Bun}_M, \Lambda) \rightarrow D(\text{Bun}_G, \Lambda)$$

satisfying:

(i) (Compatible with parabolic induction)

Given  $\pi \in D(M(O_p), \Lambda)$ , then

$$\text{Eis}_p^G i_{\mathbb{A}}! \pi \cong i_{\mathbb{A}}! \text{Ind}_p^G \pi$$

& This is natural in  $\pi$ .

(2) Eis should be compatible with composition  
and extension of scalars  $\Lambda \rightarrow \Lambda'$ .

Moreover, if  $\Lambda$  is torsion, then should have

$$\text{Eis} = p_! q^* \text{ for the maps } \begin{array}{ccc} & \text{Bun}_p & \\ p \swarrow & & \downarrow q \\ \text{Bun}_M & & \text{Bun}_G \end{array}$$

(3) (Preservation of ULA ness)

If  $f \in D(\text{Bun}_M, \Lambda)$  is ULA with quasi-compact support,  
then so is  $\text{Eis}(f)$ .

(Intuition:  $\text{Ind}_p^G$  preserves admissibility.)

(4) (Preservation of compactness)

If  $f \in D(\text{Bun}_M, \Lambda)$  compact, then so is  $\text{Eis}(f)$ .

Moreover, should have

$$\text{Eis}_p^G \mathcal{D}_{\mathbb{A}^{\times}} f \cong \mathcal{D}_{\mathbb{A}^{\times}} \text{Eis}_p^G f,$$

where  $p^-$  = the opposite parabolic.

(Intuition: If  $\lambda = \bar{\mathbb{Q}}_e$ ,  $A \in D(M(\mathbb{Q}_p), \bar{\mathbb{Q}}_e)$  compact,  
then so is  $\text{Ind}_p^G A$

and  $D_{\text{coh}} \text{Ind}_p^G A \simeq \text{Ind}_p^G D_{\text{coh}} A$ , by Bernstein.)

This is much harder than preserving admissibility.

(5) (Interaction with Hecke operators)

$Eis$  commutes with Hecke operators in some filtered sense.  
(see lecture 3).

→ Write  $Eis' =$  the renormalized version of  $Eis$  defined as follows.

If  $F \in D(Bun_M, \lambda)$  lies in a single connected comp,

corresponding to elt  $v \in X^*(M)_T$ ,

then  $Eis'(F) \cong Eis(F[-\langle 2\rho_G - 2\rho_M, v \rangle])$

(+ extend additively).

For this variant, have for  $V \in \text{Rep}(\widehat{G}_\Lambda)$  that

$$[Tr \circ Eis'] = [Eis' \circ Tr]_M$$

as maps  $K_0(D(Bun_M, \lambda)) \xrightarrow{\sim} K_0(D(Bun_G, \lambda))$

and compatible w/ finiteness conditions.

Final part of conjecture:

(6) (A simple formula in  $K_0$ )

Set  $D_{\text{fin}}(Bun_G, \lambda) =$  full subcat of objs that are compact & ULA.

Take  $\lambda = \bar{\mathbb{Q}}_e$ . Then  $\curvearrowright$  fin length adm reps /  $\bar{\mathbb{Q}}_e$

$$K_0 D_{\text{fin}}(Bun_G, \lambda) = \bigoplus_{b \in B(G)} K_0 \text{Rep}(G_b(\mathbb{Q}_p))$$

$$F \longmapsto \left( \sum_i (-1)^i H^i(i_b^* F) \right)_b$$

So by (3) (4), Eis induces a map

$$[Eis] : K_0 D_{fin}(Bun_M, \bar{\mathbb{Q}}_p) \longrightarrow K_0 D_{fin}(Bun_G, \bar{\mathbb{Q}}_p),$$

IS                          IS

$$\bigoplus_{b \in B(M)} K_0 \text{Rep}(M_b(\mathbb{Q}_p)) \longrightarrow \bigoplus_{b \in B(G)} K_0 \text{Rep}(G_b(\mathbb{Q}_p))$$

This  $[Eis]$  should be explicitly given by sending

$$A \in K_0 \text{Rep}(M_b(\mathbb{Q}_p)) \longmapsto \text{Ind}_{M_b}^{G_b} A \in K_0 \text{Rep}(G_b(\mathbb{Q}_p))$$

and then extending additively.

$$\text{i.e. } [Eis] \simeq (\text{extension}) \circ \left( \bigoplus_{b \in B(M)} \text{Ind}_{M_b}^{G_b} \right).$$

Prop This conjecture implies the HV Conjecture!

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Sketch Fix  $P = MU \subseteq G$ .

$(G, g, b)$  with  $b \in M(\mathbb{Q}_p)$  well-chosen.

Want to compute

$$\text{Mant}_{G, g, b}(\text{Ind}_{P_b}^{G_b} p) \in K_0 \text{Rep}(G_b(\mathbb{Q}_p)) \text{ for } p \in K_0 \text{Rep}(M_b(\mathbb{Q}_p)).$$

Idea  $\text{Mant}_{G, g, b} = [i_{\sharp}^* T_{V_g}^* i_b!]$ .

Want to compute  $[T_{V_g}^* \circ Eis(i_b^* p)]$  in two different ways

$$i_b^* : Bun_M \hookrightarrow Bun_M$$

## Lecture 3 : Unconditional commutativity & finiteness conditions

Last time Conjecture on  $Eis \Rightarrow HV$  conjecture.

Fix  $(G, g, b)$ ,  $P = M\bar{U}$  with  $\bar{b} \in M(\bar{\mathbb{Q}}_p)$   
 (as in statement of  $HV$  conj).

Recall Conjectures predict:

$$\exists Eis : D(Bun_M, \bar{\mathbb{Q}}_e) \longrightarrow D(Bun_{\bar{b}}, \bar{\mathbb{Q}}_e)$$

which preserve finiteness properties

$$+ \text{ satisfying } [T_v \circ Eis] = [Eis \circ T_v|_{\hat{M}}]$$

("comm" w/ Hecke operator)

and s.t. the diagram

$$\begin{array}{ccc} K_0 Dfin(Bun_M, \bar{\mathbb{Q}}_e) & \xrightarrow{[Eis]} & K_0 Dfin(Bun_{\bar{b}}, \bar{\mathbb{Q}}_e), \\ \downarrow & \uparrow & \downarrow \\ \bigoplus_{b \in BG} K_0 Rep(M_b(\bar{\mathbb{Q}}_p)) & \xrightarrow{eis} & \bigoplus_{b \in BG} K_0 Rep(G_b(\bar{\mathbb{Q}}_p)) \\ F_b & \longmapsto & Ind_{M_b}^{G_b} F_b. \end{array}$$

How to get  $HV$  from this?

Pick some  $\rho \in Rep_{\bar{\mathbb{Q}}_e}(M_b(\bar{\mathbb{Q}}_p))$ , and evaluate the identity

$$[T_{V_\mu} \circ Eis] = [Eis \circ T_{V_\mu^*}|_{\hat{M}}] \text{ on } i_{b!}^M \rho.$$

$$\begin{aligned} \rightsquigarrow \text{LHS becomes } [T_{V_\mu} \circ Eis(i_{b!}^M \rho)] &= [T_{V_\mu^*}] \circ eis[i_{b!}^M \rho] \\ &= [T_{V_\mu^*}] \circ i_b^G Ind_{M_b}^{G_b} \rho. \end{aligned}$$

$$\& \text{ RHS becomes } eis[T_{V_\mu^*}|_{\hat{M}} i_{b!}^M \rho] = eis \sum_{v \in V_G^\infty(\mu)} [T_{W_v} \circ i_{b!}^M \rho],$$

where  $W_G^M(\mu) = \text{multiset of } v \in X_*(T)_M\text{-dom}'s$   
 with multiplicity  $= \dim \text{Hom}(\mathcal{D}_v, T_{\mu}(\hat{M}))$ .

In other words,  $V_{\mu|\hat{M}} = \bigoplus_{v \in W_G^M(\mu)} \mathcal{D}_v$ .

Now project everything to

$\text{KoRep}_{\mathbb{Q}_p}(G(\mathbb{Q}_p))$  (summand corresp. to  $b = \mathbb{1}$ ).

$\hookrightarrow$  LHS becomes

$$[i_1^* T_{\mu}^* i_{b!} \text{Ind}_{P_b}^{G_b} \rho] = \text{Mant}_{G, \mu, b} \text{Ind}_{P_b}^{G_b} \rho$$

& RHS becomes

$$\begin{aligned} \text{Ind}_P^G \sum_{v \in W_G^M(\mu)} [i_1^{M*} T_{\mu|v} i_{b!}^M \rho] &= \text{Ind}_P^G \sum_{v \in W_G^M(\mu)} \text{Mant}_{M, \mu, b}(\rho) \\ &= \text{Ind}_P^G \sum_{v \in W_G^M(\mu, b)} \text{Mant}_{M, \mu, b}(\rho) \end{aligned}$$

Remaining lecture Towards an unconditional proof of HV.

- Reduction to  $\mathbb{I}_\ell$ -coeffs  
 we can define (a variant of) Eis unconditionally.
- Why should Eis preserve finiteness properties?
- "Combinatorial analysis" of Eis.

Eventual outcome of all this:

The HV conj is (unconditionally)  
 a consequence of the following conj.

(Conj (i)) For any  $b \in B(G)$ , the function

$$i_{b*} : D(G_b(\mathbb{Q}_p), \mathbb{I}_\ell) \longrightarrow D(B_{\text{reg}}, \mathbb{I}_\ell)$$

sends admissible objs to ULA objs.

( $\Rightarrow i_{b\#}$  sends adm & cpt objs to ULA & cpt objs)

(2) For any  $b' \neq b \in B(G)$ , the induced map

$$\begin{aligned} D_{cpt, adm}(G_b(\mathbb{Q}_p), \bar{\mathbb{Z}}_p) &\rightarrow K_0 \text{Rep}_{\bar{\mathbb{Q}}_p}(G_{b'}(\mathbb{Q}_p)) \\ A &\longmapsto [i_{b'}^* i_{b\#} A \otimes \bar{\mathbb{Q}}_p] \end{aligned}$$

is identically zero.

Rmk Hansen checked this conjecture by hand for  $G = GL_n$  ( $n \leq 4$ )

$\Rightarrow HV$  is true in these cases.

(Method is very painful; does not geometrize)

### Reduction to integral coefficients

Defn Let  $\pi$  be an adm  $\bar{\mathbb{Q}}_p$ -reg of  $G(\mathbb{Q}_p)$ .

A lattice in  $\pi$  is a free  $\bar{\mathbb{Z}}_p$ -mod  $\pi^\circ \subset \pi$   
stable under  $G(\mathbb{Q}_p)$ -action

& containing a  $\bar{\mathbb{Q}}_p$ -basis of  $\pi$ .

This is a well-behaved notion b/c If  $\pi$  has fin length,

then • any two  $\pi^\circ$ 's are commensurable,

•  $\pi^\circ$  is fin gen'd,

and •  $(\pi^\circ \otimes \bar{\mathbb{Z}}_p/m)^{ss}$  depends only on  $\pi$ .  
max ideal

Example (i) suppose  $\pi$  is irred & supercuspidal.

Then  $\pi$  admits a lattice

$$\Leftrightarrow \omega_\pi: Z_G(\mathbb{Q}_p) \rightarrow \bar{\mathbb{Q}}^\times \text{ has image in } \bar{\mathbb{Z}}^\times.$$

(2) For any irred  $\pi$ ,  $\pi$  admits a lattice

$$\Leftrightarrow \varphi_\pi: W_{\mathbb{Q}_p} \rightarrow {}^L G(\bar{\mathbb{Q}}_p) \text{ factors over}$$

) (a conjugate of)  ${}^L G(\bar{\mathbb{Z}}_p)$ .

ss L-param (constructed by Fargues-Schulze)

Note  $\Rightarrow$ : Easy.

$\Leftarrow$ : uses Dat's theory of v-tempered repns.

Thm (Reduction) In proving the HV conj, it is enough to prove it for all  $\rho \in \text{Irr}_{\bar{\mathbb{Q}}^\times}(M_b(\mathbb{Q}_p))$  which admit a lattice.

Intuition Both sides of the HV conj are "continuous" fcts of  $\rho$ , and the  $\rho$ 's which admit lattices are "dense" in the set of all  $\rho$ 's.

Notation Let  $K_0 G := K_0 \text{Rep}_{\bar{\mathbb{Q}}^\times}(G(\mathbb{Q}_p))$ .

Recall For any  $f \in C_c(G(\mathbb{Q}_p), \bar{\mathbb{Q}}^\times)$  and any  $\pi \in \text{Rep}_{\bar{\mathbb{Q}}^\times}(G(\mathbb{Q}_p))$ , can form

$$\text{tr}(f|_\pi) = \int_{G(\mathbb{Q}_p)} f(g) \otimes_\pi(g) dg \in \bar{\mathbb{Q}}^\times.$$

This extends to a linear form

$$\text{tr}(f|_-) : K_0 G \longrightarrow \bar{\mathbb{Q}}^\times$$

called trace form.

Fact  $\exists$  enough trace forms in the sense that  
 if  $l(\pi) = 0$  for all trace forms  $l$ , then  $\pi = 0$ .

Def's Say functor  $\alpha: K_0 G \rightarrow K_0 H$  is continuous  
 if  $\forall$  trace form  $l: K_0 H \rightarrow \bar{\mathbb{Q}}_e$ ,  $l \circ \alpha$  is a trace form on  $K_0 G$ .

Example If  $P = MU \subset G$ , then

$$\text{Ind}_P^G: K_0 M \rightarrow K_0 G, \quad \text{Jac}_G^P: K_0 G \rightarrow K_0 M$$

(Tangent module)

are continuous in this sense.

Prop A (Hansen-Kaletha-Weinstein)

For any  $(G, \mu, b)$ , any  $f \in C_c(G(\mathbb{Q}_p), \bar{\mathbb{Q}}_e)$  and any  $w \in W_E$ ,  
 the linear form  $K_0 G_b \longrightarrow \bar{\mathbb{Q}}_e$   
 $p \longmapsto \text{tr}(f \circ w|_{\text{Mat}_{G, \mu, b}(p)})$   
 for some  $f \in C_c(G_b(\mathbb{Q}_p), \bar{\mathbb{Q}}_e)$  is a trace form.

Taking  $w = 1 \in W_E$ , get:

$\text{Mat}_{G, \mu, b}: K_0 G_b \rightarrow K_0 G$  is continuous.

Prop B (Hansen-Kaletha-Weinstein)

If  $l: K_0 G \rightarrow \bar{\mathbb{Q}}_e$  is a trace form  
 s.t.  $l(\pi) = 0$ ,  $\forall \pi \in \text{Irr}_{\bar{\mathbb{Q}}_e}(G(\mathbb{Q}_p))$  admitting a lattice,  
 then  $l = 0$  ("density").

Pf of Prop A Uses trace Paley-Werner theorem  
+ the FS machinery.

Pf of Prop B Uses an  $\ell$ -adic analogue of  
Langlands classification due to Dat.

Proof of Reduction thm

Consider the map

$$h: K_0 M_b \longrightarrow K_0 G$$

$$\rho \longmapsto \text{Mant}_{G,y,b} \text{Ind}_{\rho_b}^{G_b} \rho - (-i)^* \sum_{D \in W_G(y,b)} \text{Ind}_{\rho}^G \text{Mant}_{D,y,b}(\rho)$$

By assumption,

$$h(\rho) = 0 \text{ if } \rho \text{ admits a lattice.}$$

$$\underline{\text{Want}} \quad h = 0.$$

↪ ETS: ∀ trace form  $l: K_0 G \rightarrow \bar{\mathbb{Q}}_e$ ,  $l \circ h = 0$ .

For any such  $l$ ,  $l \circ h: K_0 M_b \rightarrow \bar{\mathbb{Q}}_e$  is a trace form by Prop A.

Since  $(l \circ h)(\rho) = 0$  for all  $\rho$  admitting a lattice.

$$\Rightarrow l \circ h = 0 \text{ by Prop B.}$$

$$\Rightarrow (l \circ h)(\rho) = 0 \text{ for any } \rho \text{ & any } l.$$

$$\Rightarrow h(\rho) = 0 \text{ for any } \rho. \quad \square$$

By further small reductions, can work for  $\mathbb{Q}_E$ -coeffs  
(not only  $\bar{\mathbb{Z}}_e$ -coeffs,  $E/\mathbb{Q}_e$  finite).

Why helpful?

After all, def'n of  $Eis: D(\text{Bun}_M, \mathcal{O}_E) \rightarrow D(\text{Bun}_G, \mathcal{O}_E)$  is still missing.

Point After passing to  $\ell$ -adically complete objects.

can define  $Eis$  unconditionally.

Def'n Fix  $\ell$  prime.

$$\widehat{D}(\text{Bun}_G, \mathcal{O}_E) := \varprojlim D(\text{Bun}_G, \mathcal{O}_E/\ell^n).$$

( $D_{\text{et}}(\text{Bun}_G, \mathcal{O}_E)$  in Fargues-Scholze)

Then  $\exists$  a natural functor

$$\begin{aligned} \gamma: D(\text{Bun}_G, \mathcal{O}_E) &\longrightarrow \widehat{D}(\text{Bun}_G, \mathcal{O}_E) \\ F &\longmapsto \varprojlim (F \otimes^{\mathbb{L}} \mathcal{O}_E/\ell^n) \end{aligned}$$

which commutes w/ colims

+ admits a right adjoint  $\delta$

(by Lurie's derived functor thm).

In general,  $\gamma$  and  $\delta$  can throw away information.

However, for  $\text{WT}$  objs, everything behaves as well as possible.

Prop  $\gamma, \delta$  are mutually inverse equivalences of cats

$$D(\text{Bun}_G, \mathcal{O}_E)_{\text{WT}} \xrightleftharpoons[\delta]{\gamma} \widehat{D}(\text{Bun}_G, \mathcal{O}_E)_{\text{WT}}.$$

Idea of pf This can be checked on strata, using

Fact (A nontrivial result in FS)  $\forall$  open  $j: U \hookrightarrow \text{Bun}_G$ ,

$j_*$  preserves  $\text{WT}$  objs in both settings and are compatible.  $\square$

In the  $\widehat{\mathcal{D}} (= \mathcal{D}^\sharp)$  setting, have a full 6-functor formalism.

we can define

$$\widehat{Eis}: \widehat{\mathcal{D}}(\text{Bun}_M, \mathcal{O}_E) \longrightarrow \widehat{\mathcal{D}}(\text{Bun}_S, \mathcal{O}_E)$$

$$F \longmapsto p_! q^* F,$$

$$\begin{array}{ccc} & \text{Bun}_S & \\ q^* / & \downarrow & \downarrow p \\ \text{Bun}_M & & \text{Bun}_S \end{array}$$

Conj  $\widehat{Eis}$  preserves ULA obj, and

the "true"  $Eis$  functor on ULA obj agrees with  $s_C \circ \widehat{Eis} \circ \gamma_M$ .

### Strategy for tN conj

- (1) Prove that  $\widehat{Eis}$  preserves ULA obj.
- (2) Prove that  $\widehat{Eis}$  interacts with Hecke operators  
in the expected "comm" way.
- (3) "Combinatorial analysis":

Reduce the vanishing conj on  $Eis$   
to the conj on  $i_{b*}$  stated earlier.

## Lecture 4: More computation for $\widehat{E}_S$

- Plan
- Interaction of  $\widehat{E}_S$  with Hecke operators.
  - Why  $\widehat{E}_S$  should preserve WTA objs.
  - No Combinatorics!

Rather, discuss relationship between  $\widehat{E}_S$  &  $\widehat{\mathcal{Z}}_{\theta}^{\#}$  when  $G = GL_2$ .

Recall  $G$  quasi-split  $\Rightarrow P = MU$ .

$$\begin{array}{ccc} \text{Bump} & & \text{no } \widehat{E}_S : \widehat{D}(Bun_M, \mathcal{O}_E) \rightarrow \widehat{D}(Bun_G, \mathcal{O}_E). \\ \downarrow \varphi & \quad \downarrow p & \\ \text{Bun}_M & \quad \text{Bun}_G & \\ & & F \longmapsto p! \varphi^* F. \end{array}$$

## Interaction of $\widehat{E}_S$ with Hecke operators

For simplicity, assume  $G$  split.

Let  $V \in \text{Rep}_{\mathcal{O}_E}(\widehat{G})$  be some alg rep of  $\widehat{G} / \mathcal{O}_E$ .

no Get Hecke operator

$$T_V : \widehat{D}(Bun_G, \mathcal{O}_E) \longrightarrow \widehat{D}(Bun_G, \mathcal{O}_E)^{\text{BN}_{\text{rep}}}.$$

For any such  $V$ ,  $\exists$  (many) filtrations

$$0 = V_0 \subset V_1 \subset \dots \subset V_k = V|_M$$

with property that each  $W_i = V_i / V_{i-1} \in \text{Rep}_{\mathcal{O}_E}(\widehat{M})$

is  $\alpha_i$ -isotypic for some  $\alpha \in X^*(Z(\widehat{M})^F) \cong \pi(M)_F$ .

Thm Notations as above, the fil'n can be chosen

s.t.  $T_v \circ \widehat{Eis}$  admits a fil'n  
 with graded pieces  $\widehat{Eis} \circ T_{W_i}[-d_i](-\frac{d_i}{2})$   
 where  $d_i = \langle d_i, 2p_G - 2p_M \rangle$ .

$$\Rightarrow [T_v \circ \widehat{Eis}] = \sum_{i=0}^k [\widehat{Eis} \circ T_{W_i}[-d_i](-\frac{d_i}{2})].$$

### $\widehat{Eis}$ v.s. ULA sheaves

ULA sheaves: set-ups "smooth-locally nice"

Let  $f: X \rightarrow Y$  be a "reasonable" map of Artin stacks.

↪ Have  $f_!, f^!$  with usual properties.

Fix a prime  $\ell$ .

Defn  $F \in \widehat{\mathcal{D}}(X, \mathcal{O}_E)$  is called  $f$ -ULA (universally locally acyclic)

if (i)  $F$  is "overconvergent",

i.e.  $\forall x: \text{Spa}(C, C^\flat) \hookrightarrow X$ ,

$x^* F \otimes^{\mathbb{L}} \mathcal{O}_E/\ell \in \mathcal{D}(\text{Spa}(C, C^\flat), \mathcal{O}_E/\ell)$  is constant.

(ii)  $R\text{Hom}(F, f^!(-)) : \mathcal{D}(Y, \mathcal{O}_F) \rightarrow \mathcal{D}(X, \mathcal{O}_E)$

commutes with all direct sums,

and still after any base change on  $Y$ .

This is some kind of finiteness condition:

Example (i) If  $Y = \text{Spa}(C, \mathcal{O}_C)$  geom pt,

$X = \mathbb{X}^{\text{an}}$ ,  $\mathbb{X}$  some alg var /  $C$ ,

then for any  $F \in D_c^b(X, \mathcal{O}_E)$ ,  $F^{\text{an}} \in D(X, \mathcal{O}_E)$  is  $f$ -ULA  
 (for  $f: X \rightarrow Y$  str map).

(2) If  $f: X = \text{Bun}_G \rightarrow Y = \text{Spd } \bar{F}_p$ , then  
 $F \in \widehat{D}(\text{Bun}_G, \mathcal{O}_E)$  is  $f$ -ULA  
 $\Leftrightarrow$  all stalks  $\otimes^L \mathcal{O}_E/\ell$  are admissible.

Note (1)  $f$ -ULAness is sm-local on the source  
 & v-locally on the target.

(2)  $f$ -ULAness is stable under sm pullback and proper pushforward  
 i.e. for  $X \xrightarrow{g} Y \xrightarrow{f} Z$ ,

- if  $F$   $f$ -ULA on  $Y$ ,  $g$  sm, then  $g^* F$  is  $g \circ f$ -ULA
- if  $F$   $f \circ g$ -ULA on  $X$ ,  $g$  proper, then  $g_* F$  is  $f$ -ULA.

(2') For  $X \xrightarrow{g} Y \xrightarrow{f} Z$ ,

given  $F$  on  $Y$   $f$ -ULA &  $g$  on  $X$   $g$ -ULA.

then  $g \otimes g^* F$  is  $f \circ g$ -ULA.

Back to  $Eis$

$$\begin{array}{ccccc} & & \text{Bun}_G & & \\ & \swarrow q & \xrightarrow{p} & \searrow p & \\ \text{Bun}_H & & & & \text{Bun}_G \hookrightarrow \widehat{Eis} = p_! q^*. \\ & \searrow \pi_H & & \swarrow \pi_G & \\ & & \text{Spd } \bar{F}_p & & \end{array}$$

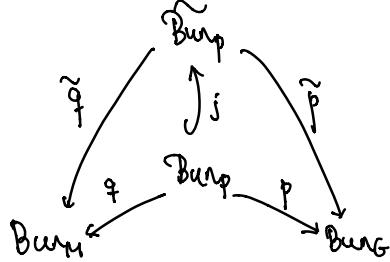
Q Does  $\widehat{Eis}$  preserve ULAness?

A Yes, if  $q$  smooth!

No always, if  $p$  not proper!

Remedy strategy Enlarge the diagram above

by "compactifying" the morphism  $p$ .



Here  $\widetilde{Bun}_p$  = the so-called Drinfeld Cpt'n of  $Bun_p$ .

- Conj
- (1)  $\widetilde{p}$  is proper (on individual conn comp's of  $\widetilde{Bun}_p$ )
  - (2)  $j_! \mathcal{O}_E$  is  $\widetilde{q}$ -ULA.

Prop This conj  $\Rightarrow f_!$  preserves ULA obj with qc supports.

Proof Have  $\widehat{Eis}(F) = p_! q^* F \cong \widetilde{p}_! j_! \widetilde{q}^* F$   
 (by commutativity)  
 $\cong \widetilde{p}_! (\widetilde{q}^* F \otimes j_! \mathcal{O}_E)$   
 (b/c both vanish on boundary of  $\widetilde{Bun}_p$ )  
 $\cong \widetilde{p}_* (\widetilde{q}^* F \otimes j_! \mathcal{O}_E)$   
 (by Conj (1),  $\widetilde{p}$  proper).

But now, if  $F$  is  $\pi_H$ -ULA (& has qc support),

so then  $\widetilde{q}^* F \otimes j_! \mathcal{O}_E$  is  $\pi_H \circ \widetilde{q}$ -ULA  
 (by Conj (2) + property (2') before).

$\Rightarrow \widetilde{q}^* F \otimes j_! \mathcal{O}_E$  is  $\pi_G \circ \widetilde{p}$ -ULA  
 (by commutativity).

Then  $\widetilde{p}_* (\widetilde{q}^* F \otimes j_! \mathcal{O}_E)$  is  $\pi_G$ -ULA  
 (proper pushforward). □

Rmk : Conj (2) is true in the classical setup  
(Braverman - Gaitsgory).

- Should be within reach, by studying "local models" for  $\tilde{q}$ .
- Conj (3) is true for  $P = B$ .

### The case of $G = G_b$

$B$  upper triangular,  $T \subset B$  diagonal.

$$\begin{array}{ccc} & \text{Bun}_B & \\ \hookrightarrow & \downarrow \text{q} & \downarrow \text{q} \\ \text{Bun}_T & & \text{Bun}_G \end{array}$$

$$\text{Bun}_T = \coprod_{b \in B(T) \cong \mathbb{Z}^2} [*/T(\mathbb{Q}_p)] .$$

$$b \in B(T) \cong (m, n) \in \mathbb{Z}^2 \cong \mathcal{O}(m) \oplus \mathcal{O}(n) .$$

Q Suppose  $F \in D(\text{Bun}_T, \mathcal{O}_F)$  is concentrated on one stratum,  
say corresp. to  $(m, n) \in \mathbb{Z}^2$ .

{ What is  $\widehat{\text{Fis}}(F)$  in this case?

#### Three cases

(i)  $m > n$ . Then any  $B$ -blob with a reduction to  $\mathcal{O}(m) \oplus \mathcal{O}(n)$   
is actually isom to  $\mathcal{O}(m) \oplus \mathcal{O}(n)$ .

The fibre  $\text{Bun}_B^{(m,n)}$  of  $\text{q}$  over  $(m, n)$ -stratum is

$$[*/\text{Aut}(\mathcal{O}(m) \oplus \mathcal{O}(n))] = [*/T(\mathbb{Q}_p) \times (\text{unipotent part})] .$$

and  $\text{Bun}_B^{(m,n)} \hookrightarrow \text{Bun}_B$  is the inclusion of  
the HN stratum of  $\text{Bun}_B$  labelled by  $(m, n)$ .

$$\Rightarrow \text{Eis}(F) = i_{(m,n)!} F \text{ for } i_{(m,n)} = p.$$

(ii)  $m=n$ . Then the fibre of  $q$  is

$$[*/B(\mathbb{Q}_p)].$$

and  $p$  maps this fibre to  $[*/G_b(\mathbb{Q}_p)] \subset \text{Bun}_{G_b}$ .

$$\Rightarrow \text{Eis}(F) = i_{(m,m)!} \text{Ind}_B^G F$$

(iii)  $m < n$ . Then the fibre of  $q$  is

the moduli space  $M^{(m,n)}$  of diagrams

$$\left( \begin{array}{ccccccc} 0 & \rightarrow & \mathcal{L} & \rightarrow & \mathcal{E} & \rightarrow & \mathcal{L}' & \rightarrow 0 \\ & & \text{rk } 1 & & \text{rk } 2 & & \text{rk } 1 & \\ & & \text{deg } m & & & & \text{deg } n & \end{array} \right).$$

$$\begin{matrix} & M^{(m,n)} & \\ \text{as} & \swarrow & \searrow p \\ [*/T(\mathbb{Q}_p)] & & \text{forget } \mathcal{E} & & \text{forget } \mathcal{L} \text{ & } \mathcal{L}' \\ & & & & \text{Bun}_G \end{matrix}$$

The image in  $\{\text{Bun}_G\}$  contains the tN  $(n,m)$ -stratum  
but it also contains all pts

which are "more semistable" than this one.

Obs These spaces  $M^{(m,n)}$  are exactly "local charts" used in FS.  
and in this case  $p_! q^* F = i_{(n,m),*} F$  (up to shift).

Note For general  $G$ ,

$$\begin{matrix} M_b & \\ \downarrow q_b & \downarrow p_b \\ [*/G_b(\mathbb{Q}_p)] & \text{Bun}_G \end{matrix} \quad \text{as } i_{b*} = p_{b,!} q_b^* \text{ up to shift.}$$