

Ref: le Bru, Espaces de Banach-Colmez et faisceaux cohérents sur la courbe de Fargues-Fontaine.

Goal of this talk:

1. Introduce the settings and define the category

BE of Banach-Colmez Spaces [le Bru, §2.1-2.2]

30 minute

2. Calculate  $\text{Ext}^i(A, B)$  [le Bru, §4]

$A, B = G_K$  or  $Q_p$ . 1 hour.

(Warning: Highly detailed & messy! )

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§1. the category of Banach-Colmez spaces

Settings

fix  $K = \mathbb{C}$  or  $K = \mathbb{C}^b$ .

Let  $\text{Perf}_K = \text{cat. of perfectoid spaces}/_K$

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adic space covered by  $\text{Spa}(R, R^\circ)$   
with  $R$  perfectoid  $K$ -algebra.

Def:  $X, Y$ : analytic adic spaces.

$f: X \rightarrow Y$  is called pro-étale

if analytic locally on  $X$  and  $Y$ ,

We may write

$$f: Y = \varprojlim Y_i \longrightarrow X$$

" " "
   
 $\text{Spa}(S, S^+)$        $\text{Spa}(S_i, S_i^+)$        $\text{Spa}(R, R^+)$

s.t. each  $\text{Spa}(S_i, S_i^+) \rightarrow \text{Spa}(R, R^+)$  is étale.

Def: (1). The site  $\text{Perf}_{k, \text{perf}}$

= big pro-étale site  $\check{K}$

= Perf<sub>K</sub> + Coverings given by

$\{ f_i : S_i \xrightarrow{\text{pro-étale}} S, i \in I \}$  satisfying

(\*) for every qc open  $U \subseteq S$ , there exist

a finite set  $J \subseteq I$  and  $g_C$  opens  $Y_j \subseteq S_j$

$$\forall j \in J, \text{ s.t. } U = \bigcup_{j \in J} f_j(U_j)$$

(2).  $Y$ : analytic adic space

The site  $Y_{\text{proét}}$

= small pro-étale site of  $Y$ .

= { object :  $f: X \rightarrow Y$  pro-étale  
    ↑  
     $\text{Perf}_K$

Covering : similar as in (1).

(3). The site  $\text{Perf}_{K,v}$

=  $V$ -site of  $K$

=  $\text{Perf}_K +$  Covering given by

{  $f_i: S_i \rightarrow S, i \in I$  } satisfying  $(*)$

Then (tilting equivalence) The tilting functor

induces equivalences of sites :

$\text{Perf}_{C, \text{proét}} \xrightarrow{\sim} \text{Perf}_{C^b, \text{proét}}, \text{Perf}_{C,v} \xrightarrow{\sim} \text{Perf}_{C^b,v}$ .

# Sheaves on $\text{Perf}_{K,v}$ .

Fact: (1). The presheaf

$$G_a: \text{Perf}_C \rightarrow \text{Ab}$$

$$X \mapsto \mathcal{O}_X(X)$$

is a sheaf in  $\text{Perf}_{C,v}$  (thus also a sheaf in  $\text{Perf}_{C,\text{perf}}$ )

(2). The sheaf  $G_a$  is representable by  $A_C^1$ ,

the affine line/ $C$  (not perfectoid)

when  $C = C^\flat$ , it is repr'd by a perfectoid space  $A_C^{1,\text{perf}}$

(3).  $T$ : top. space, the presheaf

$$\text{Spa}(C^\flat \langle T \rangle^\rightarrow)$$

$$\underline{I}: \text{Perf}_C \rightarrow \text{Ab}$$

$$X \mapsto C^\circ(|X|, T)$$

is a sheaf in  $v$ -topology

(4). When  $T$  is profinite, the sheaf

$\underline{I}$  is representable by a perfectoid space

$$X = \text{Spa}(C^\circ(T, C), C^\circ(T, \mathcal{O}_C)).$$

$\uparrow$  Continuous functions

Moreover, this perfectoid space is strictly totally disconnected, i.e. A étale covering

$\coprod_{F \in \mathcal{F}} X_F \rightarrow X$  admits a section.

$$(\Rightarrow H^i_{\text{ét}}(X, F) = 0 \quad \forall F \in \text{Ab}(X_{\text{ét}}), \forall i > 0.)$$

## Banach-Colmez spaces

Def: The category of Banach-Colmez spaces, denoted by

$\mathbf{BC}$ , is the smallest abelian subcategory of  $\text{Ab}(\text{Perf}_{C, \text{proét}})$ , that is stable under extensions and containing  $\mathbb{Q}_p$  and  $\mathbb{G}_a$ .

## § Calculation of extensions.

Thm: In the category  $\text{Ab}(\text{Perf}_{C, \text{proét}})$ , we have

$$\text{Ext}^i(\mathbb{G}_a, \mathbb{G}_a) = \begin{cases} C & i=0 \\ C & i=1 \\ 0 & i=2 \end{cases} \quad \leftarrow \text{in detail}$$

$$\mathrm{Ext}^i(G_a, Q_p) = \begin{cases} 0 & i=0 \\ C & i=1 \\ 0 & i=2 \end{cases}$$

*in detail.*

$$\mathrm{Ext}^i(Q_p, G_a) = \begin{cases} C & i=0 \\ 0 & i=1, 2 \end{cases}$$

↓ other 2 are similar

$$\mathrm{Ext}^i(Q_p, Q_p) = \begin{cases} Q_p & i=0 \\ 0 & i=1, 2 \end{cases}$$

Strategy: For an abelian group  $G$  in topos  $T$ ,

$\exists$  a canonical resolution  $C^\bullet$  of  $G$

$$\dots \xrightarrow{\partial_4} \mathbb{Z}[G^4] \times \mathbb{Z}[G^3] \times \mathbb{Z}[G^3] \times \mathbb{Z}[G^2] \times \mathbb{Z}[G] \xrightarrow{\partial_3}$$

$$\mathbb{Z}[G^3] \times \mathbb{Z}[G^2] \xrightarrow{\partial_2} \mathbb{Z}[G^2] \xrightarrow{\partial_1} \mathbb{Z}[G] \xrightarrow{\varepsilon} G.$$

$C^{-3}$                              $C^{-2}$                              $C^{-1}$                              $C^0$

$$\text{with } \partial_1 [x, y] = [x+y] - [x] - [y];$$

$$\partial_2 [x, y, z] = [x+y, z] - [y, z] - [x, y+z] + [x, y],$$

$$\partial_2 [x, y] = [x, y] - [y, x];$$

There is a spectral sequence

$$E_i^{p,q} = \text{Ext}_{\mathbb{T}}^q(C^{-p}, G^i) \Rightarrow \text{Ext}_{\mathbb{T}}^{p+q}(C, G^i)$$

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$$\text{Ext}_{\mathbb{T}}^{p+q}(G, G^i)$$

And each  $E_i^{p,q}$  is a product of terms of the form

$$\text{Ext}_{\mathbb{T}}^i(\mathbb{Z}[G], G^i)$$

Upshot: In our case,  $G$  is represented by some  $X$

(e.g.  $G = G_{\text{ta}}$ ,  $X = A_G^i$ )

$$\text{Ext}_{\mathbb{T}}^i(\mathbb{Z}[G], G^i) \text{ equals to } H_{\text{per}}^i(X, G^i).$$

|| denote

$$H^i(X, G^i)$$

Rank :

Roughly speaking,  $X$  analytic adic space /  $\mathbb{C}$   $F \in \text{Ab}(X_{\text{proét}})$

$\mu: X_v \rightarrow X_{\text{proét}}$  induces

$$H^i_v(X, \mu^* F) = H^i_{\text{proét}}(X, F) \quad \forall i \geq 0$$

So these calculation of extensons also applies to  
v-topology.

The case  $G = G' = \mathbb{G}_a$ .

Input: (will be proved in next talk)

$$H^i(A_C^n, \mathbb{G}_a) = S^i(A_C^n) \quad \forall n \geq 1, i \geq 0$$

$E_1$ -page  $d: (p, q) \rightarrow (p+1, q)$

$$2 \quad H^2(A_C^1, \mathbb{G}_a) \xrightarrow{\partial_1} H^2(A_C^2, \mathbb{G}_a)$$

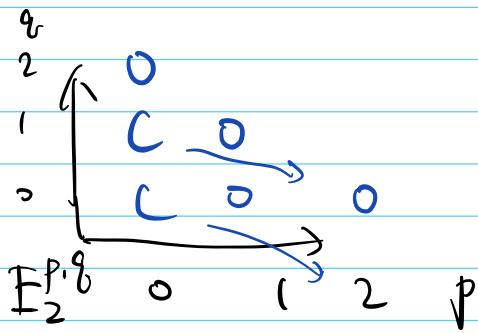
$$1 \quad H^1(A_C^1, \mathbb{G}_a) \xrightarrow{\partial_1} H^1(A_C^2, \mathbb{G}_a) \xrightarrow{\partial_2} H^1(A_C^3, \mathbb{G}_a) \times H^1(A_C^2, \mathbb{G}_a)$$

$\ker \partial_1 = \mathbb{C}$  exact

$$\begin{array}{ccccccc} & & & & & & \\ & \uparrow & & & & & \\ 0 & H^0(A_C^1, \mathbb{G}_a) \xrightarrow{\partial_1} H^0(A_C^2, \mathbb{G}_a) \xrightarrow{\partial_2} H^0(A_C^3, \mathbb{G}_a) \times H^0(A_C^2, \mathbb{G}_a) & \xrightarrow{\partial_3} & H^0(A_C^4, \mathbb{G}_a) \times H^0(A_C^3, \mathbb{G}_a) \times & & & \\ & \ker \partial_1 = \mathbb{C} & \text{exact} & \text{exact} & H^0(A_C^3, \mathbb{G}_a) \times H^0(A_C^2, \mathbb{G}_a) \times H^0(A_C^1, \mathbb{G}_a) & & \\ E_1^{p,q} & 0 & 1 & 2 & 3 & p & \end{array}$$

E<sub>2</sub>-page

$$d: (p, q) \rightarrow (p+2, q-1)$$



Single calculations

$$\textcircled{1} \quad E_1^{0,2} = \Sigma^2(A_C^1) = 0.$$

$$\textcircled{2} \quad E_1^{0,1} \xrightarrow{\partial_1} E_1^{1,1}$$

$$\Sigma^1(A_C^1) \rightarrow \Sigma^1(A_C^2)$$

$$\omega(x) \mapsto \omega(x+y) - \omega(x) - \omega(y)$$

$$\ker \partial_1 = \{ \omega \mid \omega = c \cdot dx \} = C,$$

$$\textcircled{3} \quad E_1^{0,0} \xrightarrow{\partial_1} E_1^{0,1} \quad \ker \partial_1 = \{ f \mid f(x) = cx, c \in C \}$$

$$\Sigma(A_C^1) \rightarrow \Sigma(A_C^2)$$

$$\cong C$$

$$f(x) \mapsto f(x+y) - f(x) - f(y)$$

Complicated calculations:

④ exactness at  $E_1^{1,0}$

$$E_1^{0,0} \xrightarrow{d_1} E_1^{1,0} \xrightarrow{d_2} E_1^{2,0}$$

$$\text{O}(A_C^1) \xrightarrow{d_1} \text{O}(A_C^2) \xrightarrow{d_2} \text{O}(A_C^3) \times \text{O}(A_C^2)$$

$$h(x) \mapsto h(x+y) - h(x) - h(y)$$

$$f(x,y) \mapsto (d_2^1, d_2^2)$$

$$d_2^1 = f(x+y, z) - f(x, y+z) + f(x, y) - f(y, z),$$

$$d_2^2 = f(x, y) - f(y, x)$$

Suppose  $f(x, y) = \sum_{j, k \geq 0} a_{j,k} x^j y^k$   
 $h(x) = \sum_{j \geq 0} c_j x^j$

Then  $f \in \text{Im}(d_1)$

$$\Leftrightarrow \exists c_j \in \mathbb{C} \text{ s.t. } h(x) = \sum c_j x^j \in \text{O}(A_C^1)$$

end

(a)  $(x^j y^k \text{ 's coefficient}, j, k > 0)$

$$a_{j,k} = \binom{j+k}{j} C_{j+k}$$

(b)  $(x^0 y^k, k > 0)$

$$a_{0,k} = C_k - c_k = 0$$

(c)  $(x^j y^0, j > 0)$

$$a_{j,0} = 0$$

(d)  $(x^0 y^0)$

$$a_{0,0} = -C_0$$

Then  $f \in \ker \mathcal{J}_2 \Leftrightarrow$

(A).  $(x^j y^k z^l)$ 's coefficient in  $\mathcal{J}_2' = 0, j, l > 0, k \geq 0$

$$0 = \binom{j+k}{j} a_{j+k, l} - \binom{k+l}{k} a_{j, k+l}$$

(B).  $(x^0 y^k z^l, l > 0, k \geq 0)$

$$0 = \cancel{a_{k,l}} - \binom{k+l}{k} a_{0,k+l} + \cancel{a_{k+l}}$$

$$\Leftrightarrow a_{0,k+l} = 0.$$

(C).  $(x^j y^k z^0, j > 0, k \geq 0)$

$$a_{j+k, 0} = 0.$$

(D).  $(x^0 y^k z^0, k \geq 0)$

$$0 = 0.$$

(E).  $(x^j y^k)$ 's coefficient in  $\mathcal{J}_2^2 = 0, \forall j, k \geq 0$

$$a_{j,k} - a_{k,j} = 0.$$

Now  $\forall f \in \ker(d_2)$  just set

$$\begin{cases} C_n = \frac{1}{n} a_{n-1, 1}, & n > 0 \\ C_0 = -a_{0, 0} \end{cases}$$

Then (d) holds by construction.

(B). (C)  $\Rightarrow$  (b). (c) holds.

(A)  $\Rightarrow$   $\underset{(k=1)}{(\bar{j}+1)} a_{\bar{j}+1, l} = (\bar{l}+1) a_{\bar{j}, \bar{l}+1}$ . ( $\star$ )

By induction on  $l$ , we show (a) i.e.

$$a_{\bar{j}, l} = \binom{\bar{j}+l}{\bar{j}} C_{\bar{j}+l} \quad \forall j > 0.$$

When  $l=1$ .  $a_{\bar{j}, 1} = \binom{\bar{j}+1}{\bar{j}} C_{\bar{j}+1}$  be construction.

When  $a_{\bar{j}, l} = \binom{\bar{j}+l}{\bar{j}} C_{\bar{j}+l}$ ,  $\forall j > 0$ .

$$\begin{aligned} a_{\bar{j}, l+1} &\stackrel{(\star)}{=} \frac{\bar{j}+1}{\bar{l}+1} \cdot a_{\bar{j}+1, l} = \frac{\bar{j}+1}{\bar{l}+1} \binom{\bar{j}+l+1}{\bar{j}+1} \cdot C_{\bar{j}+l+1} \\ &= \binom{\bar{j}+l+1}{\bar{j}} \cdot C_{\bar{j}+l+1}, \end{aligned}$$

$\Rightarrow$  (a) holds.

We see that

$\ker(\partial_2) = \text{im}(\partial_1)$ , i.e. exact at  $E_1^{0,1}$

(5) exactness at  $E_1^{2,0}$

$$E_1^{1,0} \xrightarrow{\partial_2} E_1^{2,0} \xrightarrow{\partial_3} E_1^{3,0}$$

$$\begin{array}{ccc} \parallel & \parallel & \parallel \\ O(A^2) & \xrightarrow{\partial_2} & O(A^3) \times O(A^2) \xrightarrow{\partial_3} O(A^4) \times O(A^3) \times O(A^3) \\ h & \mapsto & (\dots \quad \dots) \qquad \qquad \times O(A^2) \times O(A) \\ & & (f, g) \mapsto (\dots \quad \dots) \end{array}$$

(6) exactness at  $E_1^{1,1}$

$$E_1^{0,1} \xrightarrow{\partial_0} E_1^{1,1} \xrightarrow{\partial_1} E_1^{2,1}$$

$$\begin{array}{ccc} \parallel & \parallel & \parallel \\ S^1(A^1) & \xrightarrow{\partial_0} & S^1(A^2) \xrightarrow{\partial_1} S^1(A^3) \times S^1(A^2) \end{array}$$

Similar explicit calculation

$\Rightarrow$  exactness at  $E_1^{2,0}, E_1^{1,1}$

These terms in spectral sequence  
no longer change after  $E_2$ -page

$$\Rightarrow \text{Ext}^i(G_a, G_a) = \begin{cases} C & i=0 \\ C & i=1 \\ 0 & i=2 \end{cases}$$

The case  $G = G_a$ ,  $G' = Q_p$ . (sketch)

Input: (next week)

$$H^0(A_C^n, \mathbb{Q}_p) = \mathbb{Q}_p$$

$$H^1(A_C^n, \mathbb{Q}_p) = O(A_C^n) / C$$

$$H^2(A_C^1, \mathbb{Q}_p) = 0. \quad \text{↑ constant functions}$$

Similar analysis on E<sub>1</sub> page

$$\Rightarrow \text{Ext}^i(G_a, \mathbb{Q}_p) = \begin{cases} 0 & i=0 \\ C & i=1 \\ 0 & i=2 \end{cases}$$

Rmk: The fundamental exact sequence

$$0 \rightarrow \mathbb{Q}_p \cdot t \rightarrow B_{\text{cris}}^{+ \varphi=p} \rightarrow G_a \rightarrow 0$$

gives a nontrivial extension

$$\text{in } \operatorname{Ext}^1(G_a, \mathbb{Q}_p) = C$$

The case  $G = \mathbb{Q}_p$ ,  $G' = G_a$  or  $\mathbb{Q}_p$

As  $\operatorname{Hom}(\mathbb{Q}_p, -) = \varprojlim_{\mathbb{Z}_p} \operatorname{Hom}(\mathbb{Z}_p, -)$ , we have SES

$$0 \rightarrow R \xrightarrow{\varprojlim_{\mathbb{Z}_p}} \operatorname{Ext}^{i-1}(\mathbb{Z}_p, G') \rightarrow \operatorname{Ext}^i(\mathbb{Z}_p, G') \rightarrow \varprojlim_{\mathbb{Z}_p} \operatorname{Ext}^i(\mathbb{Q}_p, G') \rightarrow 0$$

so it suffice to show

$$\operatorname{Ext}^i(\mathbb{Z}_p, \mathbb{Q}_p) = \begin{cases} \mathbb{Q} & i=0 \\ 0 & i>0 \end{cases}$$

$$\operatorname{Ext}^i(\mathbb{Z}_p, C) = \begin{cases} C & i=0 \\ 0 & i>0 \end{cases}$$

Input: Let  $X = \operatorname{Spa}(C^\circ(\mathbb{Z}_p^n, C), C^\circ(\mathbb{Z}_p^n, \mathcal{O}_C))$

$X^n$  is perfectoid + strictly totally disconnected

$$\text{then (1)} H^i(\tilde{X}, \mathbb{G}_a) = \begin{cases} C^*(\mathbb{Z}_p^n, C) & i=0 \\ 0 & i>0 \end{cases}$$

$$(2) H^i(\tilde{X}, \mathbb{Q}_p) = \begin{cases} C^*(\mathbb{Z}_p^n, \mathbb{Q}_p) & i=0 \\ 0 & i>0 \end{cases}$$

(Pf):  $H^i(\tilde{X}, \mathbb{G}_a) = H^i(\tilde{X}, \mathbb{Q}_p)$

(1) Just follows from  $X$  is affinoid perfectoid.

for (2).  $H^i(\tilde{X}, \mathbb{Z}_{p^k}) = H_{et}^i(\tilde{X}, \mathbb{Z}_{p^k}) = 0$

Strictly tot. discoun.

We have the SES:

$$0 \rightarrow R^1 \varprojlim H^i(\tilde{X}, \mathbb{Z}_{p^k}) \rightarrow H^i(\tilde{X}, \mathbb{Z}_p) \rightarrow \varinjlim H^i(X, \mathbb{Z}_{p^k}) \rightarrow 0$$

$$\Rightarrow H^i(\tilde{X}, \mathbb{Z}_p) = 0$$

and  $H^i(\tilde{X}, \mathbb{Q}_p) = H^i(\tilde{X}, \mathbb{Z}_p)[\frac{1}{p}] = 0$ .

D

Now fix  $G = \mathbb{Z}_p$ ,  $G' = C$ . ( $G' = \mathbb{Q}_p$  is similar)

$E_1$ -page.

$$0 \longrightarrow 0$$

$$0 \longrightarrow 0 \longrightarrow 0$$

$$E_1^{0,0} \xrightarrow{\partial_1} E_1^{1,0} \xrightarrow{\partial_2} E_1^{2,0} \xrightarrow{\partial_3} E_1^{3,0}$$

$$\begin{array}{c} \circlearrowleft \\ C(\mathbb{Z}_p, \mathbb{C}) \end{array} \quad \begin{array}{c} \circlearrowleft \\ C(\mathbb{Z}_p^2, \mathbb{C}) \end{array} \quad \begin{array}{c} \circlearrowleft \\ C(\mathbb{Z}_p^3, \mathbb{C}) \times C(\mathbb{Z}_p^2, \mathbb{C}) \end{array} \quad \begin{array}{c} \circlearrowleft \\ C(\mathbb{Z}_p^4, \mathbb{C}) \times C(\mathbb{Z}_p^3, \mathbb{C}) \times \\ C(\mathbb{Z}_p^3, \mathbb{C}) \times C(\mathbb{Z}_p^2, \mathbb{C}) \times C(\mathbb{Z}_p, \mathbb{C}) \end{array}$$

$E_1^{p,q}$

0

1

2

3

P

Claim: (1).  $\ker \partial_1 = C$

(2). exact at  $E_1^{1,0}$

(3). exact at  $E_1^{2,0}$

(4). The spectral sequence no longer changes after  $E_2$  page.

Sketch of (2):

Fact: (Mahler expansion)

the functions

$$(x, y) \mapsto \binom{x}{k} \binom{y}{l} = \underbrace{\frac{x(x-1)\dots(x-k+1)}{k!}}_{\in \mathbb{Z}_p^2} \cdot \underbrace{\frac{y(y-1)\dots(y-l+1)}{l!}}$$

forms a basis of  $C^0(\mathbb{Z}_p^2, \mathbb{C})$ .

$\forall f \in C^0(\mathbb{Z}_p^2, \mathbb{C})$

we can write  $f = \sum a_{kl} \binom{x}{k} \binom{y}{l}$ , with  $a_{k,l} \rightarrow 0$

Then

$f \in \text{im } \mathcal{J}_2 \Leftrightarrow \exists h, f(x, y) = h(xy) - h(x) - h(y)$

$\Leftrightarrow \exists c_j \text{ s.t. } (h(x) = \sum_{j \geq 0} c_j \binom{x}{j})$

(a).  $(x^j y^k, j, k > 0)$

$$a_{j,k} = c_{j+k}$$

(b).  $(x^0 y^k, k > 0)$

$$a_{0,k} = 0$$

(c).  $(x^j y^0, j > 0)$

$$a_{j,0} = 0$$

(d).  $(x^0 y^0)$

$$a_{0,0} = -c_0$$

$f \in \ker \partial_2 \Leftrightarrow$

$$\begin{cases} f(x+y, z) - f(y, z) - f(x, y+z) + f(x, y) = 0 \\ f(x, y) - f(y, x) = 0 \end{cases}$$

Fact:  $\binom{x+y}{k} = \sum_{j=0}^k \binom{x}{j} \binom{y}{k-j}$

$f \in \ker \partial_2$

$\Rightarrow (A)$  (Coefficient of  $x^j y^k z^l$        $j, l > 0, k \geq 0$ )

$$a_{j+k, l} = a_{j, l+k}.$$

(B) ( $x^j y^k z^l$ ,  $l > 0, k \geq 0$ )

$$\cancel{a_{j+k, l}} - \cancel{a_{j, l}} + a_{j, k+l} = 0$$

(C) ( $x^j y^k z^l$ ,  $j > 0, k \geq 0$ )

$$a_{j+k, 0} = 0$$

(D)  $a_{j, k} = a_{k, j}$

Now just choose  $c_j = a_{j-1, 1}$     $\forall j > 1$    is OK.

$$c_0 = -a_{0, 0}.$$

$c_1$  arbitrary

(B). (C)  $\Rightarrow$  (D). (C). (A)  $\Rightarrow$  (A) (D) is by construction.)

The claim shows

$$\mathrm{Ext}^i(\mathbb{Z}_p, C) = \begin{cases} C & i=0 \\ 0 & i>0 \end{cases}$$

$$\Rightarrow \mathrm{Ext}^i(\mathbb{Q}_p, C) = \begin{cases} C & i=0 \\ 0 & i>0 \end{cases} \quad \square$$