

## Affinoid subdomains.

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### §1 Affinoid spaces (recap)

$K$  non-arch local field.

Tate alg  $T_n = K<\zeta_1, \dots, \zeta_n> \cong \mathcal{O}$  ideal.

$\hookrightarrow T_n/\mathcal{O} = A$  affinoid algebra.

Def'n (1) The affinoid  $K$ -space is the datum

$$\mathrm{Sp}A := (\mathrm{Max} A, A)$$

Note Algebraic geom:  $\mathrm{Spec} A \leftrightarrow$  prime ideals

Rigid geom:  $\mathrm{Sp}A (= \mathrm{Spn}A) \leftrightarrow$  max'l ideals

(2) The vanishing locus of  $a$  is

$$\begin{aligned} V(a) &:= \{x \in \mathrm{Sp}A : f(x) = 0, \forall f \in a\} \\ &= \{x \in \mathrm{Sp}A : a \subseteq x\}. \end{aligned}$$

(3) The basic open subset is

$$D_f := \{x \in \mathrm{Sp}A : f(x) \neq 0\}, \quad f \in A.$$

Prob How about  $V(a) = \{x \in \mathbb{B}^n(\bar{K}) : \forall f \in a, f(x) = 0\}$ ?

•  $f \in A \hookrightarrow$  function  $\mathrm{Sp}A \rightarrow \bar{K}$ .

•  $f \in T_n \hookrightarrow$  function  $\mathbb{B}^n(\bar{K}) \rightarrow \bar{K}$ .

$$\mathbb{B}^n(\bar{K}) / \mathrm{Gal}(\bar{K}/K) \xrightarrow{\quad} \mathrm{Max} T_n \rightarrow \mathrm{Max} A$$

Prop (1)  $a \subseteq b \Rightarrow V(a) \supseteq V(b); V(\sum a_i) = \bigcap V(a_i); V(ab) = V(a) \cup V(b).$

(2)  $\{D_f\}_{f \in A}$ : Zariski top basis on  $\mathrm{Sp}A$ .

(3) (Hilbert Nullstellensatz).

$Y \subseteq \mathrm{Sp}A$ , define  $\mathrm{id}(Y) := \bigcap_{y \in Y} \mathfrak{m}_y \subseteq A = \{f \in A : f(y) = 0, \forall y \in Y\}$ .

Then  $V(\mathrm{id}(Y)) = Y, \mathrm{id}(V(a)) = \sqrt{a}.$

Cor  $f_1, \dots, f_n \in A$ . Then  $(f_1, \dots, f_n) = A \Leftrightarrow \bigcup Df_i = \text{Sp } A$ .

Thm There's an equivalence of cats:

$$\{\text{affinoid } k\text{-algebras}\}^{\text{opp}} \simeq \{\text{affinoid } k\text{-spaces}\}$$

$$A \longleftrightarrow \text{Sp } A.$$

E.g. (1)  $\sigma: A \xrightarrow{\quad u_1 \quad} B \xrightarrow{\quad u_1 \quad} \text{Sp } B \xrightarrow{\quad u_1 \quad} \text{Sp } A$ .  
 still max'l  $\rightarrow \boxed{\sigma^{-1}(m)}$   $m \mapsto \sigma^{-1}(m)$ .

Also,  $k \hookrightarrow A/\sigma^{-1}(m) \hookrightarrow B/m$  monomorphisms.

(2) Functoriality: e.g.

$$\begin{array}{ccc} R \rightarrow S & & \text{Sp } R \leftarrow \text{Sp } S \\ \downarrow & \downarrow & \uparrow \leftrightarrow \uparrow \\ T \rightarrow S \hat{\otimes}_R T & & \text{Sp } T \leftarrow \text{Sp } (S \hat{\otimes}_R T) \\ & & \text{Sp } S \not\simeq_{\text{Sp } R} \text{Sp } T. \end{array}$$

### §2 Canonical topology

Motivation Rigid space:  $X = \text{Sp } A + \text{Grothendieck top} \xrightarrow{\text{adm opens}}$   
 $\downarrow$  adm open coverings

$\rightsquigarrow$  sheaf  $\mathcal{O}_X$  of rigid analytic functions  
 s.t.  $A = \Gamma(X, \mathcal{O}_X)$ .

$\rightsquigarrow$  non-arch analogue  $/ \overline{\mathbb{C}_p} \cong \mathbb{C}$  of alg geom/ $\mathbb{C}$  or arith geom/ $\mathbb{Q}$   
 canonical top/zar top zar top conf top/zar top.  
 & non-arch norms & disc.

e.g. rigid-analytic GAGA, coh comparison,  
 uniformizations of Shimura varieties, etc.

Example  $X = \text{Sp } T_1 = \text{Sp } k<\zeta>$  closed unit disc.

$Y = U \sqcup S$ ,  $U$  = open unit disc,  $S = \text{Sp } k < \mathbb{S}, \mathbb{S}^*$  boundary.

$\Rightarrow Y \rightarrow X$  open bijective immersion, but  $Y \not\cong X$  as aff spaces.

b/c  $U \neq \bigcup^{\infty} (\text{aff subdomains})$

$\Rightarrow \{U, \delta\} \neq \text{adm open cover under Grothendieck top.}$

as a set  $\xrightarrow{\quad} (\text{Max } T_n, T_n)$

Fix  $T_n \rightarrow A$   $\Rightarrow \text{Sp } A \hookrightarrow \text{Sp } T_n = \underbrace{\text{Max } T_n}_{\text{as a top space}} \leftarrow \mathcal{B}^n(\bar{k})$

$A_{\bar{k}}$ -top  $\Rightarrow \mathcal{B}^n(\bar{k})$ -top  $\Rightarrow \text{Sp } T_n$ -top  $\Rightarrow \text{Sp } A$ -top

- indep't of the Zariski top & the choice of  $\alpha: T_n \rightarrow A$ .
- inherited from  $\bar{k}$ -top (intrinsic).

For  $\varepsilon > 0$ ,  $f \in A$ ,  $X = \text{Sp } A$ , define

$$X(f; \varepsilon) := \{x \in X : |f(x)| \leq \varepsilon\},$$

$$X(f) := X(f, 1),$$

$$X(f_1, \dots, f_r) := X(f_1) \cap \dots \cap X(f_r).$$

Def'n A canonical top on  $\text{Sp } A$  is the top gen'd by  $\{X(f; \varepsilon)\}_{f \in A, \varepsilon > 0}$  as open subsets.

Prop  $X = \text{Sp } A$ , can top on  $X$  is gen'd by  $\{X(f)\}_{f \in A}$ .

i.e.  $\forall U \subseteq X$  open,  $U = \bigcup U_i$ ,  $U_i = X(f_{i1}, \dots, f_{i n(i)})$ .

Proof  $X(f; \varepsilon) = \bigcup_{\substack{\varepsilon' \leq \varepsilon \\ \varepsilon' \in |\bar{k}^*|}} X(f; \varepsilon')$   $\xrightarrow{\quad} X \in \text{Max } A$ ,  $(A/x)/k$ ,  $A \xrightarrow{\pi} A/x$ ,  $f \mapsto f(x)$   
 $(|f(x)| \in |\bar{k}^*|, \forall x \in k)$ .

$\forall \varepsilon' \in |\bar{k}^*|$ ,  $\exists s$  s.t.  $(\varepsilon')^s \in |\bar{k}^*|$  by def'n.

$$\Rightarrow X(f; \varepsilon') = X(f^s; (\varepsilon')^s) \underset{\text{?}}{=} X(c^{-1}f^s; 1) = X(c^{-1}f^s). \quad \square$$

$$|f(x)^s| = |f(x)|^s \leq (\varepsilon')^s = |c|^s \Leftrightarrow |c^{-1}f(x)^s| = \frac{1}{|c|} |f(x)|^s \leq 1.$$

Lem Let  $X = \text{Sp}A \ni x$ ,  $f \in A$ ,  $|f(x)| = \varepsilon > 0$ .

Then  $\exists g \in A$  s.t.  $g(x) = 0$ , and  $(y \in X \setminus \{x\} \Rightarrow |f(y)| = \varepsilon)$ .

In particular,  $X(g) \subseteq \{y \in X : |f(y)| = \varepsilon\}$  is an open nbhd of  $x$ .

Proof Consider  $A \xrightarrow{\quad} A/m_x \xleftarrow{\quad} K$   
 $f \mapsto \bar{f} = f(x)$ .

$$\begin{aligned} \text{as } P(\xi) = \xi^n + c_1 \xi^{n-1} + \dots + c_n \in K[\xi] \text{ min poly of } f(x) \in A/m_x \text{ over } K. \\ = \prod_{i=1}^n (\xi - \alpha_i), \quad \alpha_i \in \bar{K} \end{aligned}$$

$$\Rightarrow \forall 1 \leq i \leq n, \quad \varepsilon = |f(x)| = |\alpha_i| \quad \text{by def'n of 1.1 on } A/m_x.$$

Take  $g = P(f) \in A$ .

$$\text{Check: (1) } g(x) = P(f(x)) = P(\bar{f}) = 0.$$

$$(2) \quad \forall y \in X, \quad |g(y)| \leq 1 \stackrel{?}{\Rightarrow} |f(y)| = \varepsilon$$

$$\text{Claim } |g(y)| < \varepsilon^n \Rightarrow |f(y)| = \varepsilon.$$

$$\text{Otherwise, } |f(y)| - |\alpha_i| \stackrel{?}{=} \max(|f(y)|, |\alpha_i|) \geq \varepsilon.$$

$$|f(y)| \neq |\alpha_i|$$

$$\text{But } |g(y)| = |P(f(y))| = \prod |f(y) - \alpha_i| \geq \varepsilon^n, \text{ contradiction.}$$

$$\text{So } \exists c \in K^\times, \quad |c| < \varepsilon^n. \quad \text{s.t. } X(c^{-1}g) \subseteq \{y \in X : |f(y)| = \varepsilon\}.$$

(may replace  $g$  with  $c^{-1}g$  if necessary). □

Cor (1) The following are open w.r.t. can top :

$$\{x \in \text{Sp}A : |f(x)| = \varepsilon \text{ (resp. } \leq \varepsilon, \geq \varepsilon)\}.$$

$$\{x \in \text{Sp}A : f(x) \neq 0\} = \{x \in \text{Sp}A : |f(x)| \neq 0\}.$$

(2)  $X = \text{Sp}A$ ,  $\forall x \in X$ ,  $X(f_1, \dots, f_r)$ 's with  $f_1, \dots, f_r \in m_x$

form a basis of nbhd of  $x$ .

Prop  $\varphi: \text{Sp}B \rightarrow \text{Sp}A$ ,  $\varphi^*: A \rightarrow B$  morphisms,  $f_1, \dots, f_r \in A$ .

$$\text{Then } \varphi^{-1}((\text{Sp}A)(f_1, \dots, f_r)) = \underbrace{\text{Sp}(B)}_{\text{open of } \text{Sp}A}(\varphi^*(f_1), \dots, \varphi^*(f_r)).$$

In particular, any morphism  $\varphi$  is continuous.

Proof  $\forall y \in \text{Sp}B$ ,

$$\begin{array}{ccccc} \varphi(y) & \xleftarrow{\varphi} & \varphi & \xrightarrow{y} & \text{Sp}B \\ \uparrow & & \downarrow & & \uparrow \\ f \in A & \xrightarrow{\varphi^*} & B & \ni & \varphi^* f \\ \downarrow & & \hookrightarrow & & \downarrow \\ A/\mathfrak{m}_{\varphi(y)} & \hookrightarrow & B/\mathfrak{m}_y & & \end{array}$$

$$\Rightarrow |f(\varphi(y))| = |(\varphi^* f)(y)|, \quad \forall f \in A$$

$$\Rightarrow \varphi^{-1}((\text{Sp}A)(f_1)) = (\text{Sp}B)(\varphi^*(f_1)).$$

□

### §3 Affinoid subdomains

Defin (Spatial off subdomains)  $X = \text{Sp}A$ .

(1) Weierstrass dom:  $X(f_1, \dots, f_r) = \{x \in X : |f_i(x)| \leq 1\}$

(2) Laurent dom:  $X(f_1, \dots, f_r, g_1, \dots, g_s) = \{x \in X : |f_i(x)| \leq 1, |g_j(x)| \geq 1\}$ .

(3) Rational dom:  $X\left(\frac{f_1}{f_0}, \dots, \frac{f_r}{f_0}\right) = \{x \in X : |f_i(x)| \leq |f_0(x)|\}$

where  $f_0, \dots, f_r$  has no common zeros, i.e.  $(f_0, \dots, f_r) = A$ .

Brk In (3),  $(f_0, \dots, f_r) = A$  cannot be dropped.

E.g.  $X = \text{Sp}T_1 = \text{Sp}K<\zeta>$ ,  $|c| \cdot |\zeta(x)|$

$$\Rightarrow X\left(\frac{\zeta}{c\zeta}\right) = \{x \in X : |\zeta(x)| \leq |c\zeta(x)| \text{ for } c \in K, 0 < |c| < 1\}$$

$$= \{x \in X : |\zeta(x)| = 0\}$$

= pt, Cannot be open.

LEM Special doms are open w.r.t. can top.

Proof Weierstrass/Laurent: done.

Rational:  $\forall x \in X(\frac{f_1}{f_0}, \dots, \frac{f_r}{f_0}), |f_0(x)| \neq 0,$

$\exists$  open nbhd  $U = X(f_1; f_1(x)) \cap \dots \cap X(f_r; f_r(x)) \cap \{y : |f_0(y)| \geq |f_0(x)|\}$ .  
(finite intersection of opens)

Check:  $x \in U$  obvious.  $\forall y \in U, |f_i(y)| \leq |f_i(x)|, |f_0(y)| \geq |f_0(x)|$   
 $\Rightarrow |f_i(y)| \leq |f_0(y)| \Rightarrow y \in X(\frac{f_1}{f_0}, \dots, \frac{f_r}{f_0})$ .  $\square$

Def:  $X = \text{Sp } A$ . A subset  $U \subseteq X$  is an affinoid subdomain if

(i)  $\exists \varphi : X' \rightarrow X$  s.t.  $\text{im } \varphi \subseteq U$ ,

(ii)  $\forall \psi : Y \rightarrow X$  s.t.  $\psi(Y) \subseteq U, \exists ! \psi' : Y \xrightarrow{f} X'$  s.t.

$$\begin{array}{ccc} Y & \xrightarrow{\psi} & X' \\ \exists ! \psi' \downarrow & \curvearrowright & \downarrow \varphi \\ & X' & \xrightarrow{f} X \end{array}$$

LEM  $X = \text{Sp } A, X' = \text{Sp } A', U \subseteq X$  aff subdom } as above  
 $(\varphi, \varphi^*) : X' \rightarrow X, \varphi^* : A \rightarrow A'$ .

Then (i)  $\varphi$  injective,  $\varphi(X') = U$  ( $\hookrightarrow$  bijection  $X' \xrightarrow{\sim} U$ ).

(2)  $\forall x \in X', n \in \mathbb{N}, A/\mathfrak{m}_{\varphi(x)}^n \xrightarrow{\sim} A'/\mathfrak{m}_x^n$ .

(3)  $\forall x \in X', \mathfrak{m}_x = \mathfrak{m}_{\varphi(x)} A'$ .

Proof  $\forall y \in U,$

$$\begin{array}{ccc} A & \xrightarrow{\varphi^*} & A' \\ \pi \downarrow & \curvearrowleft \curvearrowright & \downarrow \pi' \\ A/\mathfrak{m}_y^n & \xrightarrow{\sigma} & A'/\mathfrak{m}_y^n A' \end{array} \quad \begin{array}{ccc} \text{Sp } A & \xleftarrow{\varphi} & \text{Sp } A' \\ \uparrow & \curvearrowleft \curvearrowright & \uparrow \\ \text{Sp}(A/\mathfrak{m}_y^n) & \xleftarrow{\text{Sp}(\sigma)} & \text{Sp}(A'/\mathfrak{m}_y^n A') \end{array}$$

Notice that  $\text{Max } A/\mathfrak{m}_y^n = \{y\} = \text{Sp } A/\mathfrak{m}_y^n$ .

$\Rightarrow \text{im}(\text{Sp}(A/\mathfrak{m}_y^n) \rightarrow \text{Sp } A) = \{y\} \subseteq U$ .

$\Rightarrow \exists! \text{Sp}A/m_j \rightarrow \text{Sp}A'$  by univ property

$\Rightarrow \exists! \alpha: A' \rightarrow A/m_j$  s.t.  $\pi = \alpha \circ \gamma^*$ ,  $\pi' = \sigma \circ \alpha$ .

Now  $\pi$  surj  $\Rightarrow \alpha$  surj,  $\pi'$  surj  $\Rightarrow \sigma$  surj.

Have  $\ker \pi' = m_j A' \subset \ker \alpha \Rightarrow \ker \sigma = 0 \Rightarrow \sigma$  inj.

For  $n=1$ ,  $m_{\{x\}} A' = m_x \Rightarrow (i)(j)$ .

And  $\sigma$  bijective  $\Rightarrow (a)$ .  $\square$

Prop  $X = \text{Sp}A$ . Special off subdoms are truly off subdoms.

Lem (For checking  $\text{im } \gamma \subseteq U$ ).

Given  $\varphi: Y \rightarrow X$ ,  $\forall y \in Y$ ,  $f_1, \dots, f_r \in A$ ,

we have  $\varphi(Y) \subseteq X(f_1, \dots, f_r) \Leftrightarrow \forall i, |\underset{\text{def}}{(f_i(\varphi(y)))}| \leq 1$   
 $|\underset{\text{def}}{(\varphi^* f_i)(y)}|$

(by max principle)  $\Leftrightarrow |\varphi^* f_i|_{\sup} \leq 1, \forall i$ .

Proof (a) Weierstrass:  $f := (f_1, \dots, f_r) \rightsquigarrow X(f) \subseteq X$ .

Let  $A \langle f \rangle = A \langle f_1, \dots, f_r \rangle = A \langle \xi_1, \dots, \xi_r \rangle / (\xi_1 - f_1, \dots, \xi_r - f_r)$ .

$\rightsquigarrow \gamma^*: A \rightarrow A \langle f \rangle$ ,  $\gamma: \text{Sp}A \langle f \rangle \rightarrow X$ .

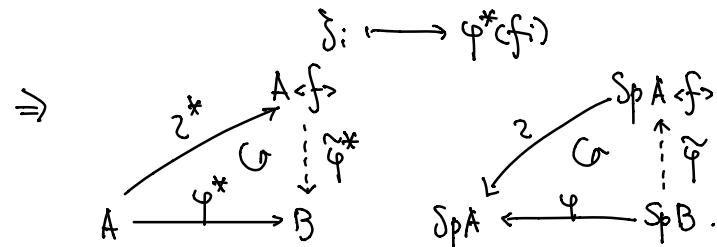
Check (1)  $\text{im } \gamma \subseteq \text{Sp}X(f)$ : for some fixed  $\alpha: T_n \rightarrow A$ ,

$$|\gamma^*(f_i)|_{\sup} \leq |\xi_i|_{\sup} \leq |\xi_i|_{\alpha} \leq 1.$$

(2) Univ property:

Assume  $\varphi: Y = \text{Sp}B \rightarrow X$  s.f.  $\varphi(Y) \subseteq X(f) \rightsquigarrow \varphi^*: A \rightarrow B$

$\rightsquigarrow$  extension  $\tilde{\varphi}^*: A \langle f \rangle \rightarrow B \Rightarrow \xi_i - f_i \in \ker \tilde{\varphi}^*$ .



(b) Laurent:  $X(f, g^{-1}) \subseteq X$ ,  $f = (f_1, \dots, f_r)$ ,  $g = (g_1, \dots, g_s)$ .

Step 1 Construct  $A(f, g^{-1}) = A(\xi_1, \dots, \xi_r, \xi_1, \dots, \xi_s) / (\xi_i - f_i, \xi_j g_i - 1)$ .

Step 2 Check  $\text{im } z \subseteq X(f, g^{-1})$  for  $z: \text{Sp } A(f, g^{-1}) \rightarrow X = \text{Sp } A$ .

$$\xi_i - z^*(f_i) = 0, |\xi_i|_{\text{sup}} \leq 1$$

$$z^*(g_i) \xi_j = 1, |\xi_j|_{\text{sup}} \leq 1.$$

Step 3 Check univ property.

(c) Rational:  $X\left(\frac{f}{f_0}\right) \subseteq X$ ,  $\frac{f}{f_0} = \left(\frac{f_1}{f_0}, \dots, \frac{f_r}{f_0}\right)$ .

$\Rightarrow$  Construct  $A\left(\frac{f}{f_0}\right) = A(\xi_1, \dots, \xi_r) / (f_i - f_0 \xi_1, \dots, f_r - f_0 \xi_r)$ .  $\square$

Prop (Transitivity of aff subdoms)

$V \subset V \subset X = \text{Sp } A$  aff subdoms  $\Rightarrow V \subseteq X$  aff subdom.

Prop  $\varphi: Y \rightarrow X$  of aff spaces.  $X' \subseteq X$  aff subdom.

$$\begin{array}{ccc} Y & \xrightarrow{\varphi'} & X' \\ \downarrow & \lrcorner & \downarrow \\ Y & \xrightarrow{\varphi} & X \end{array} \quad \text{Cartesian.}$$

$$\text{i.e. } Y' = \varphi^{-1}(X') = Y \times_X X'.$$

$$(1) \exists! \varphi': Y' \rightarrow X'$$

$$\varphi^{-1}(X(f)) = Y(\varphi^* f),$$

$$\varphi^{-1}(X(f, g^{-1})) = Y(\varphi^* f, (\varphi^* g)^{-1}),$$

$$\varphi^{-1}(X\left(\frac{f}{f_0}\right)) = Y\left(\frac{\varphi^* f}{\varphi^* f_0}\right), \quad (f) = A. \quad \left. \begin{array}{l} \text{Namely, morphisms} \\ \text{preserve special aff subdoms.} \end{array} \right\}$$

Proof (1)

$$\begin{array}{ccccc} z & \xrightarrow{\exists!} & Y' & \xrightarrow{\varphi'} & X' \\ \downarrow & \lrcorner & \downarrow & & \downarrow \\ & \lrcorner & Y & \xrightarrow{\varphi} & X \\ \downarrow & \lrcorner & \downarrow & & \downarrow \\ & \lrcorner & Y & \xrightarrow{\varphi} & X \end{array}$$

Take  $\psi: Z \rightarrow Y$  s.t.  $\text{im } \psi \subseteq Y'$

$$\Rightarrow \text{im}(\varphi \circ \psi) \subseteq \varphi(Y') \subseteq X'.$$

$\Rightarrow \exists! z \rightarrow X'$  by univ property

Take  $Y' = \varphi'(x') \Rightarrow \exists! z \rightarrow Y' \text{ s.t. } \psi: z \rightarrow Y' \hookrightarrow T$ .

$\Rightarrow Y' \cong T \times_{X'} X' \subseteq Y$  off subdom.

Note that (1)  $\Rightarrow$  (2) as  $T \times_X X(f) = \varphi^{-1}(X(f)) = Y(\varphi^* f)$ , etc..  $\square$

Prop  $U, V \subseteq X$  general (resp. Weierstrass/Laurent/rational) off subdoms.

Then so also is  $U \cap V$ . In particular,

$$\begin{array}{c} \left\{ \begin{array}{l} \text{Weierstrass doms} \\ X(f) \end{array} \right\} \subseteq \left\{ \begin{array}{l} \text{Laurent doms} \\ X(f, g^\pm) \end{array} \right\} \subseteq \left\{ \begin{array}{l} \text{rational doms} \\ X\left(\frac{f}{f_0}\right) \end{array} \right\} \\ \text{trivial} \qquad \qquad \qquad \text{by Prop. } X(f, g^\pm) = X(f) \cap X\left(\frac{1}{g}\right) \end{array}$$

Proof

$$\begin{array}{ccc} U \cap V & \longrightarrow & V \\ \downarrow \Gamma & & \downarrow \\ U & \longrightarrow & X \end{array} \Rightarrow U \cap V \subseteq U \text{ off subdom.}$$

If  $U = X\left(\frac{f}{f_0}\right)$ ,  $V = X\left(\frac{g}{g_0}\right)$  rational subdoms, then

$$(1) (f, f_0) = (g, g_0) = A \Rightarrow (f_i g_j)_{\substack{0 \leq i \leq r \\ 0 \leq j \leq s}} = A$$

$$(2) \forall x \in U \cap V, |(f_i g_j)(x)| \leq |(f_0 g_0)(x)|$$

$$\Rightarrow |(f_i g_0)(x)| \leq |(f_0 g_0)(x)| \Rightarrow |f_i(x)| \leq |f_0(x)|.$$

$$\text{So } U \cap V = X\left(\frac{\sum f_i g_j}{f_0 g_0}\right)_{i,j \geq 0}. \quad \square$$

Thm (Gerritzen-Granert)  $U \subseteq X = \text{SpA}$  off subdom.

$$\Rightarrow U = \bigcup_{i=1}^n X\left(\frac{f_i}{f_{0i}}\right), \quad f_i = (f_{i1}, \dots, f_{ik(i)}).$$

In particular, any off subdom is canonically open.