

# Lecture 1: Analytic Theory (I) - Abelian Varieties & Complex Tori

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## §1 Abelian Varieties as Group Varieties

"Abelian variety/ $\bar{k}$  = complete group variety".

Defn (1) A group variety is a variety  $X/\bar{k}$  equipped with morphisms

$$m: X \times_{\bar{k}} X \rightarrow X, \quad i: X \rightarrow X,$$

$\exists$  point  $e \in X(\bar{k})$  satisfying the grp law ( $e: \text{Spec } \bar{k} \rightarrow \text{Spec } \bar{k}, e \mapsto X$ ).

(2) An Abelian variety is a complete (i.e. proper) grp var.

Facts (1) Every grp var is nonsingular.

(2) AVs are projective and commutative.

(3) Elliptic curves =  $A/\bar{k}$  of  $\dim 1$ . positive def'd hermitian form

(4)  $AV/\mathbb{C} = \text{polarizable complex tori}$  (which admits a Riemann form).

Prop: The group law on  $A/\bar{k}$  is automatically commutative.

Prof.:  $X = A(\mathbb{C})$  connected compact complex Lie group.

$\hookrightarrow V = T_e(X) = \text{Lie}(X)$  its Lie algebra with  $\exp: V \rightarrow X$  holomorphic.

Adjoint repn:  $C: G \longrightarrow \text{Aut}(G)$

$$g \mapsto (C_g: h \mapsto ghg^{-1}).$$

$\hookrightarrow \text{Ad}: G \longrightarrow \text{Aut}(V)$

$$g \mapsto (\text{Ad}g: h \mapsto (d(C_g))_{e(h)})$$

$\hookrightarrow \text{ad}: \mathfrak{g} \longrightarrow \text{Der}(V) := \text{Lie}(\text{Aut}(V))$   
 $x \mapsto \text{ad}_x := d(\text{Ad})_e(x)$

note:  $\text{Ad}g(y) = gyg^{-1}, y \in \mathfrak{g} \Rightarrow$  For  $g = \exp(tX)$ ,  $\text{Ad}_{e^{tX}}(y) = e^{tX}ye^{-tX}$

$$\Rightarrow \text{ad}_x y = xy - yx \text{ by taking derivation at } t=0.$$

Consider  $\text{Ad}: X \rightarrow \text{Aut}(V) \subseteq \text{End}(V)$  s.t.  $\text{Ad}(\alpha) = dC_\alpha(e)$  (with  $C_\alpha(x) = \alpha x \alpha^{-1}$ ), holo.

Here  $X = \text{connected compact manifold}/\mathbb{C}$

$\text{End}(V) = \text{fin dim } \mathbb{C}\text{-v.s.}$ ,  $\text{Ad}(X) = \text{open submanifold in } \text{End}(V)$ .  $\square$

Fact Global holomorphic functions on  $X$  are const.

So  $\text{Ad} = \text{const}$  &  $d\text{Ad} = 0 \Rightarrow V = \text{Lie}(X)$  comm Lie algebra.  $\square$

## §2 Compact Complex Lie Groups

On the same statement  $X = A(\mathbb{C})$ ,  $V = \text{Lie}(X)$ .

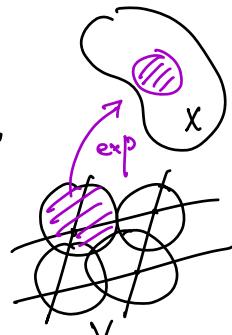
(1)  $\exp: V \rightarrow X$  homomorphism (by commutativity of  $X$ ).

(2)  $\exp: V \rightarrow X$  surjective.

b/c  $\exp(V) \subseteq X$  open subgroup as  $\exp$  is a local homeomorphism

Also,  $X \setminus \exp(V) = \bigcup_{x \in X \setminus \exp(V)} x \cdot \exp(V)$  union of opens

$\Rightarrow \exp(V)$  open & closed  $\Rightarrow \exp(V) = X$ .



(3)  $\ker(\exp) \subseteq V$  is a lattice

(Recall subgroup  $U \subseteq V$  is a lattice  $\Leftrightarrow U$  discrete with compact quotient.)

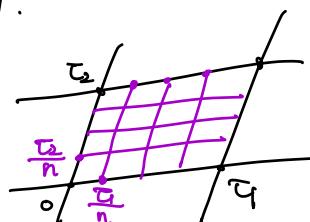
b/c  $\ker(\exp)$  is discrete with  $V/\ker(\exp) \approx \exp(V) = X$  compact.

Moreover,  $\ker(\exp) \approx \mathbb{Z}^{2g}$ ,  $g = \dim_{\mathbb{R}} X = \frac{1}{2} \dim_{\mathbb{C}} V$ .

(4)  $X = V/\mathbb{Z}^{2g}$  is a complex torus (by (2)(3)).

(5)  $X[n] := \ker(X \xrightarrow{[n]} X) \simeq (\mathbb{Z}/n\mathbb{Z})^{2g}$ .

b/c  $X[n] \approx \frac{1}{n} \ker(\exp)/\ker(\exp)$ .



Theorem There are canonical isom's for  $X = V/L$  ( $L = \mathbb{Z}^{2g}$ )

$$\Lambda^r H^*(X, \mathbb{Z}) \rightarrow H^r(X, \mathbb{Z}) \rightarrow \text{Hom}(\Lambda^r L, \mathbb{Z}).$$

Proof. The cup product defines  $\Lambda^r H^*(X, \mathbb{Z}) \xrightarrow{\varphi} H^r(X, \mathbb{Z})$

$$a_1 \wedge \dots \wedge a_r \mapsto a_1 \cup \dots \cup a_r.$$

Moreover, by Künneth formula, as  $X \approx (S')^{\oplus g}$ , to check  $\varphi$  is an isom.

If  $r, s \geq 0$ ,  $H^r(X, \mathbb{Z})$  and  $H^s(Y, \mathbb{Z})$  are free  $\mathbb{Z}$ -mods, then

$$H^m(X \times Y, \mathbb{Z}) = \bigoplus_{r+s=m} H^r(X, \mathbb{Z}) \otimes H^s(Y, \mathbb{Z})$$

$$g^* a \cup g^* b \leftarrow a \otimes b$$

where  $\begin{array}{ccc} & x \times y & \\ p \swarrow & & \searrow q \\ x & & y \end{array}$

it boils down to show

$$\Lambda^r H^*(S', \mathbb{Z}) \cong H^r(S', \mathbb{Z}).$$

$$\begin{aligned} \text{This is b/c } H^*(S', \mathbb{Z}) &\cong \text{Hom}(\pi_1(S', x), \mathbb{Z}) \\ &= \text{Hom}(\mathbb{Z}, \mathbb{Z}) = \mathbb{Z}. \end{aligned}$$

(alternatively, cf. Hartshorne Ex III.2.7.)

Again, note that  $\pi_1(X, x) = L$ .

So  $H^r(X, \mathbb{Z}) \cong \text{Hom}(L, \mathbb{Z}) = L^\vee$ . And by taking  $\Lambda^r$ :

$$\begin{array}{ccc} \Lambda^r H^*(X, \mathbb{Z}) & \cong & \Lambda^r \text{Hom}(L, \mathbb{Z}) \\ \varphi \downarrow & \Downarrow & \downarrow \cong \\ H^r(X, \mathbb{Z}) & \cong & \text{Hom}(\Lambda^r L, \mathbb{Z}). \end{array} \quad \square$$

### §3 Cohomology of AVs

Goal Given  $X$  complex torus, to compute  $H^p(X, \Omega_X^q)$  for all  $p, q \geq 0$ .

where  $\Omega_X^q :=$  sheaf of holomorphic  $q$ -forms on  $X$ .

#### ④ Dolbeault cohomology theory

(i) Complex vector bundles on complex manifold  $X$ .

$\Omega_X = T^* = \text{Hom}_{\mathbb{C}}(V, \mathbb{C})^*$  tangent vector bundle,  $\Omega_X^k = \Lambda^k \Omega_X$ .

Decompose  $T_C = T \otimes \mathbb{C} = T^{1,0} \oplus T^{0,1}$   
by dualizing  $\Omega_X^k = \Omega_X^k \otimes \mathbb{C} = \bigoplus_{p+q=k} \Omega^{p,q}$  where  $\Omega^{p,q} = \Lambda^p(T^{1,0})^* \otimes \Lambda^q(T^{0,1})^*$ .

Notations      Complex vector bundles

$$\begin{aligned} \Lambda^k X &:= \Lambda^k(T_C X)^* \\ \Lambda^{p,q} X &:= \Lambda^p(T^{1,0} X)^* \otimes \Lambda^q(T^{0,1} X)^* \end{aligned}$$

Sheaves of sections

$$\begin{aligned} C_X^k &= \mathbb{C}^k \\ C_X^{p,q} &= \mathbb{C}^{p,q} \end{aligned}$$

sheaf of  $C^\infty$  complex-valued diff forms of type  $(p, q)$ .

Recall Diff operators

$$\begin{array}{ccc}
 C^{p,q+1} & \xrightarrow{\partial} & C^{p+1,q+1} \\
 \bar{\partial} \uparrow & & \uparrow \bar{\partial} \\
 C^{p,q} & \xrightarrow{\partial} & C^{p+1,q}
 \end{array}$$

with  $d: C^k \rightarrow C^{k+1}$  C-lin ext'n

satisfying (i) (decomposition)  $d = \partial + \bar{\partial}$

$$(ii) \text{ (complex differential)} \quad \partial^2 = \bar{\partial}^2 = 0$$

$$(iii) \text{ (anti-commutative)} \quad \partial\bar{\partial} = -\bar{\partial}\partial$$

$$(iv) \text{ (Leibniz rule)} \quad \partial(x \wedge y) = \partial x \wedge y + (-)^{p+q} x \wedge \partial y$$

$$\bar{\partial}(x \wedge y) = \bar{\partial}x \wedge y + (-)^{p+q} x \wedge \bar{\partial}y.$$

(2) Dolbeault cohom of complex manifold  $X$ .

$$\text{Defn} \quad H^{p,q}(X) := H^q(C^{\bullet}, \bar{\partial}) := \frac{\ker(C^{p,q} \xrightarrow{\bar{\partial}} C^{p,q+1})}{\text{im}(C^{p,q-1} \xrightarrow{\bar{\partial}} C^{p,q})}.$$

Fact (1) Dolbeault resolution  $0 \rightarrow \Omega^0 \rightarrow C^{p,1} \rightarrow C^{p,2} \rightarrow \dots$  exact sequence

(2) All  $C_x^{p,q}$  are flasque sheaves.

Think about: Over  $\mathbb{R}$ ,  $\mathcal{A}^k$  = sheaf of  $C^\infty$  diff forms  
i.e. sections of the bundle  $\Omega_{X,\mathbb{R}}^k$ .  $\hookrightarrow f: \mathcal{A}^k \rightarrow \mathcal{A}^{k+1}$

Poincaré's lemma: a closed form of deg  $k > 0$  is locally exact

$$\Rightarrow \mathcal{A}^{k-1} \xrightarrow{d} \mathcal{A}^k \xrightarrow{d} \mathcal{A}^{k+1} \quad (k \geq 1) \text{ exact at } \mathcal{A}^k.$$

Also,  $\ker(d: \mathcal{A}^0 \rightarrow \mathcal{A}^1) = \{ \text{locally const functions} \}$ .

$$\text{So } 0 \rightarrow \mathcal{A}^0 \rightarrow \mathcal{A}^1 \rightarrow \dots \rightarrow \mathcal{A}^n \rightarrow 0 \quad (n = \dim_{\mathbb{R}} X)$$

is a resolution for the const sheaf  $\mathbb{R}$  on  $X$ .

Apply similar argument to  $\bar{\partial}: C^{\bullet,0} \rightarrow C^{\bullet,1}$ .

Now we have  $H^{p,q}(X) \cong H^q(X, \Omega^p)$ .

(E.g. note that  $H^0(X, \Omega^p) = \{ s \in C^{\bullet,0} : \bar{\partial}(s) = 0 \} = H^{p,0}(X)$ )

the space of holomorphic p-forms

④ Main Theorem Set  $T = \text{Hom}_{\mathbb{C}\text{-lin}}(V, \mathbb{C})$ ,  $\bar{T} = \text{Hom}_{\mathbb{C}\text{-contlin}}(V, \mathbb{C})$ , where  $V = T_0(X)$ .

Then  $H^q(X, \Omega^p) \simeq \Lambda^q \bar{T} \otimes \Lambda^p T$ .

Step 1 For all  $p, q \geq 0$ ,  $H^q(X, \Omega^p) \simeq H^q(X, \mathcal{O}_X) \otimes \Lambda^p T$

with  $T = \text{Hom}_{\mathbb{C}}(V, \mathbb{C}) = (T_0)^*$  cotangent space at 0.

Fix  $x \in X$  to define  $T_x: X \longrightarrow X$ . Choose  $\alpha \in \Lambda^p T$  a  $q$ -covector.

$$y \longmapsto y+x$$

$\hookrightarrow$   $\omega_x$  translation invariant holomorphic  $p$ -form,  $(\omega_x)_x := T_x^*(\alpha)$

$\hookrightarrow$  Morphism of sheaves  $\mathcal{O}_X \otimes \Lambda^p T \longrightarrow \Omega^p \hookleftarrow$  b/c by localizing.  
 $\alpha \longmapsto \omega_x$ .  $T_x X \simeq \mathcal{O}_{T_x X}^* \simeq V$ .

(An isom in local coordinates, and hence an isom of sheaves).

$$\Rightarrow H^q(X, \Omega^p) \simeq H^q(X, \mathcal{O}_X \otimes \Lambda^p T) = H^q(X, \mathcal{O}_X) \otimes \Lambda^p T.$$

Step 2 Take  $\pi = \Gamma(X, \mathcal{O}^{0,0})$  and  $\Lambda^\cdot = \bigoplus_{p \geq 0} \Lambda^p \bar{T}$  (the exterior algebra of  $\bar{T}$ ,

regarded as a chain complex with 0 differentials).

Then  $H^q(X, \mathcal{O}_X) \simeq H^q(\pi \otimes \Lambda^\cdot)$ .

Apply Dolbeault resolution for  $\Omega^p$ :

$$0 \rightarrow \Omega^p \rightarrow \mathcal{C}^{p,1} \rightarrow \mathcal{C}^{p,2} \rightarrow \dots \quad (*)$$

"lifting" the isomorphism  $\mathcal{O}_X \otimes \Lambda^p T \longrightarrow \Omega^p$  along the resolution

$$\text{we get } \phi_{p,q}: \mathcal{C}^{0,0} \otimes \Lambda^p T \otimes \Lambda^q \bar{T} \xrightarrow{\cong} \mathcal{C}^{p,q}.$$

$$\sum f_i \otimes \alpha_i \longmapsto \sum f_i \omega_i, \quad f_i \in \mathcal{C}^{0,0}, \quad \alpha_i \in \Lambda^p T \otimes \Lambda^q \bar{T}.$$

In particular,

$$(*) \stackrel{p=0}{\Rightarrow} H^q(X, \mathcal{O}_X) = H^{0,q}(X) \simeq \ker(C^{0,q} \xrightarrow{\bar{\partial}} C^{0,q+1}) / \text{im}(C^{0,q-1} \xrightarrow{\bar{\partial}} C^{0,q}).$$

Also,  $\phi_{0,q}$  corresponds to  $\underline{\mathcal{O} \otimes \Lambda^q} \simeq \Gamma(X, \mathcal{O}^{0,q})$ .

the  $q$ -th term in complex  $\pi \otimes \Lambda^\cdot$  with  $\bar{\partial}: \mathcal{O} \otimes \Lambda^q \rightarrow \mathcal{O} \otimes \Lambda^{q+1}$   
 $f \otimes a \mapsto \bar{\partial}f \wedge a$

$\hookrightarrow$  get isoms  $\mathcal{O} \otimes \mathcal{C} \Lambda^i \simeq \Gamma(X, \mathcal{O}^{0,i})$ .

$$\Rightarrow H^q(X, \mathcal{O}_X) \simeq H^{0,q}(X) \simeq H^q(\pi \otimes \Lambda^q), \quad q \geq 0.$$

Step 3 The inclusion  $\Lambda^{\cdot} \rightarrow \Omega \otimes_{\mathbb{C}} \Lambda^{\cdot}$  of complexes defines grp isoms.

$$\Lambda^q \cong H^q(X, \Omega \otimes_{\mathbb{C}} \Lambda^{\cdot})$$

This is proved by Fourier analysis (details are omitted).

#### S4 The Hodge Decomposition

Same method to compute de Rham cohomology.

Observation For  $C^k = \bigoplus_{p+q=k} C^{p,q}$  ( $C^\infty$ -diff k-forms),  $\ker(C^0 \xrightarrow{d} C^1) \cong \mathbb{C}$ .

By Poincaré lemma,  $\exists$  acyclic resolution

$$0 \rightarrow \mathbb{C} \rightarrow C^0 \xrightarrow{d} C^1 \xrightarrow{d} \dots$$

$\hookrightarrow$  to compute  $H^n(X, \mathbb{C}) = H^n(X, \underline{\mathbb{C}})$ .

$\begin{matrix} \text{coh with } \mathbb{C}\text{-coeff} \\ \text{coh of sheaf} \end{matrix}$

$$\text{In particular, } H^n(X, \mathbb{C}) = \frac{\ker(d: C^n \rightarrow C^{n+1})}{\text{im}(d: C^{n-1} \rightarrow C^n)} = \frac{\{d\text{-closed } n\text{-forms}\}}{\{d\text{-closed } (n-1)\text{-forms}\}}.$$

Recall in Step 2, for  $(0, q)$ -forms,  $\forall \omega \in \{d\text{-closed } n\text{-forms}\}$ ,

$\exists!$  translation invariant  $n$ -form  $\omega_\alpha$  ( $\alpha \in \Lambda^n \text{Hom}(V, \mathbb{C})$ )

s.t.  $\omega = \omega_\alpha + dp$ ,  $p \in \{(n-1)\text{-forms}\}$ .

Therefore,  $H^n(X, \mathbb{C}) \cong \Lambda^n \text{Hom}(V, \mathbb{C})$ ,  $n \geq 0$ .

$$\cong \Lambda^n(T \oplus \bar{T}) \quad (\text{linear + anti-linear})$$

$$\cong \bigoplus_{p+q=n} \Lambda^p T \oplus \Lambda^q \bar{T} \quad (\text{by def'n})$$

$$\cong \bigoplus_{p+q=n} H^q(X, \Omega^p) \quad (\text{by Main Thm}). \quad \square$$