

# GARBAGE COLLECTION ALGORITHMS

Garbage collection (GC) is a form of automatic memory management that aims to reclaim memory occupied by objects that are no longer in use by a program. Various algorithms have been developed to efficiently manage and clean up memory, ensuring that programs do not run out of memory or suffer from performance degradation. Below, we discuss some of the most widely used garbage collection algorithms: reference counting, mark-and-sweep, copying, generational, and concurrent garbage collectors.

## Reference Counting

Reference counting is one of the simplest garbage collection algorithms. Each object has a count of the number of references to it. When an object's reference count drops to zero, it means the object is no longer accessible and can be safely deallocated.

Steps:

1. Initialization: Set the reference count of each object to the number of references pointing to it.
2. Increment/Decrement Counts: Adjust the reference count when references are added or removed.
3. Deallocate: If an object's reference count reaches zero, deallocate it and recursively decrement the reference counts of all objects it references.

Advantages:

- Simple to implement.
- Memory is reclaimed immediately when it becomes unreachable.

Disadvantages:

- Cannot handle cyclic references (objects referencing each other in a cycle).
- Overhead due to frequent updates of reference counts.

## Mark-and-Sweep

Mark-and-sweep is a two-phase garbage collection algorithm. It does not suffer from the cyclic reference problem.

Steps:

1. Mark Phase: Traverse the object graph starting from the root objects (e.g., global variables, stack-allocated variables) and mark all reachable objects.
2. Sweep Phase: Traverse the heap and collect all unmarked objects, adding their memory back to the pool of free memory.

Advantages:

- Can handle cyclic references.
- Relatively simple and effective for many use cases.

Disadvantages:

- Requires stopping the program during collection (stop-the-world).
- Can lead to memory fragmentation as it does not move objects.

## Copying Collection

Copying collection divides the heap into two semi-spaces: one active and one inactive. It only allocates memory in the active space. When the active space is full, the algorithm copies live objects to the inactive space and then swaps the roles of the spaces.

Steps:

1. Initialization: Allocate objects in the active space.
2. Copy Phase: When the active space is full, copy live objects to the inactive space.
3. Swap Spaces: Swap the roles of the active and inactive spaces.

Advantages:

- Eliminates memory fragmentation by compacting live objects.
- Efficient allocation as it only needs a pointer to the next free space.

Disadvantages:

- Requires twice the memory since half of it is inactive at any given time.
- May have higher overhead for copying objects.

## Generational Garbage Collection

Generational garbage collection is based on the observation that most objects die young. It divides the heap into several generations and collects younger generations more frequently than older ones.

Steps:

1. Young Generation: Newly created objects are allocated in the young generation.
2. Minor Collection: When the young generation fills up, perform a minor collection, promoting surviving objects to an older generation.
3. Old Generation: Less frequently, perform a major collection on the older generation.

Advantages:

- Efficient for programs where most objects are short-lived.
- Reduces the frequency of full-heap collections.

Disadvantages:

- Requires careful tuning of generation sizes and promotion policies.
- May involve more complex write barriers to track inter-generational references.

## Concurrent Garbage Collection

Concurrent garbage collectors aim to perform most of their work concurrently with the execution of the program, minimizing pause times.

Steps:

1. Mark Phase: Concurrently mark live objects while the program is running.
2. Sweep Phase: Concurrently sweep unmarked objects, reclaiming their memory.
3. Compaction: Optionally compact memory to reduce fragmentation, which can also be done concurrently.

Advantages:

- Minimizes pause times, making it suitable for real-time and interactive applications.
- Can achieve low-latency performance.

Disadvantages:

- More complex to implement.
- May require additional CPU resources and sophisticated synchronization techniques.

## Visual Example

Consider a simple object graph where objects A, B, and C form a cycle:

A -> B -> C -> A

Additionally, A references D and E, and D references F:

A -> D -> F

-> E

## Reference Counting

- A, B, C have counts of 2 (cyclic references).
- D, E have counts of 1.
- F has a count of 1.

If A is deleted, D, E, and F can be collected, but B, C, and A (cyclic references) are not collected.

## Mark-and-Sweep

- Mark phase: Starts from the root and marks A, D, F, and E.
- Sweep phase: B and C are not marked and are collected.

### Copying Collection

- Active space has A, D, F, and E.
- Copy phase: Copies A, D, F, and E to inactive space.
- Result: Compacted memory with only live objects.

### Generational Collection

- Young generation: A, B, C (newly created).
- Minor collection: Collects B and C, promotes A to old generation.
- Major collection: Collects old generation objects infrequently.

### Concurrent Collection

- Concurrently marks A, D, F, and E while program runs.
- Concurrently sweeps unmarked objects (B and C).
- Compacts memory concurrently if needed.

### Conclusion

Garbage collection algorithms are essential for managing memory in programming languages that support automatic memory management. Each algorithm has its advantages and trade-offs, making them suitable for different types of applications. Understanding these algorithms helps developers make informed decisions about memory management and optimize the performance of their applications.