

# 3.1 Process States and Process Control Block

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## Process States and Process Control Block (PCB)

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### What is a Process?

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- ◆ A **process** is an instance of a program that is being executed. It contains the program code and its current activity. A process requires resources such as CPU time, memory, files, and I/O devices to accomplish its task.

### Process States

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A process can be in one of several states during its execution. The state represents the current status of the process in terms of its activity.

#### 1. New:

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- ◆ **Definition:** The process is being created.
- ◆ **Explanation:** The operating system has created the process, but it is not yet ready for execution. It is in the process of being initialized.

#### 2. Ready:

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- ◆ **Definition:** The process is ready to run but waiting for CPU time.
- ◆ **Explanation:** The process has all the resources it needs except the CPU. It is waiting in a queue for CPU allocation.

#### 3. Running:

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- ◆ **Definition:** The process is currently being executed by the CPU.
- ◆ **Explanation:** The process is actively using the CPU to perform its tasks. Only one process can be in this state on a single-core processor at any time.

#### 4. Blocked/Waiting:

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- ◆ **Definition:** The process is waiting for some event (like I/O completion) to occur.
- ◆ **Explanation:** The process cannot proceed until the event it is waiting for is completed, such as waiting for data from an input device.

#### 5. Terminated/Exit:

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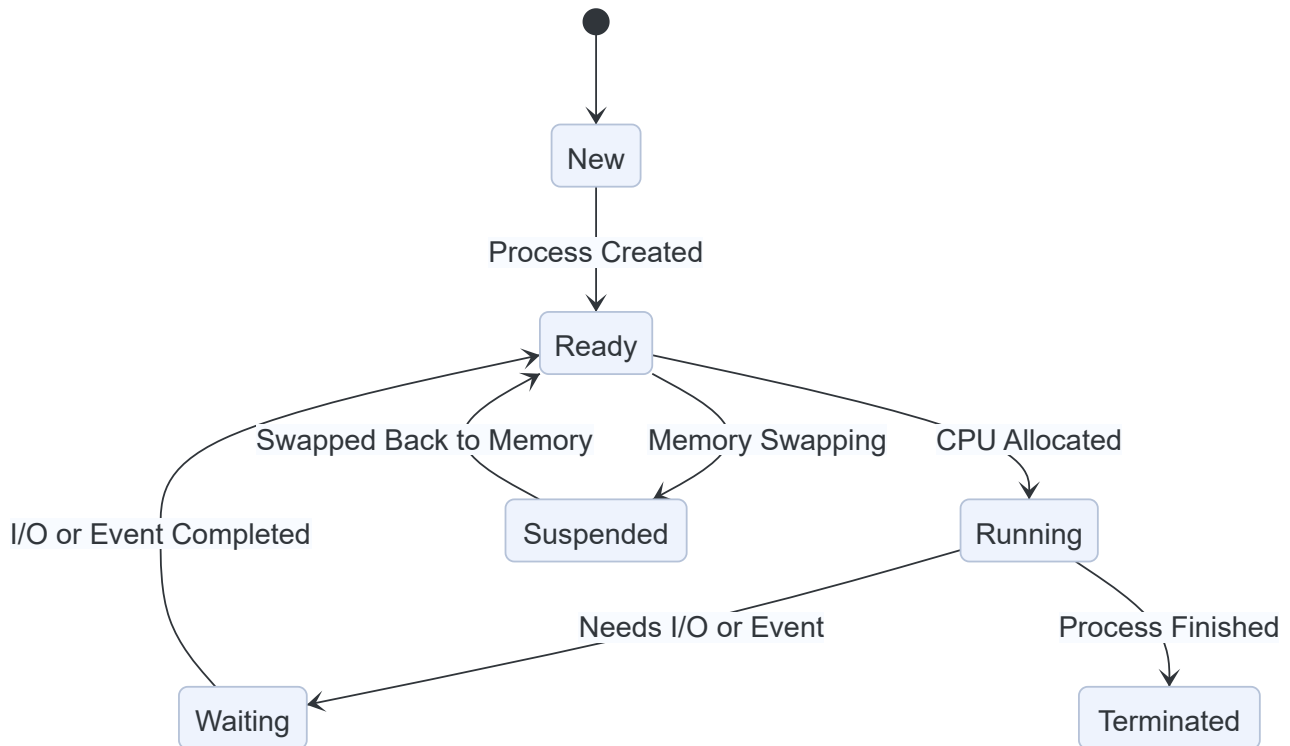
- ◆ **Definition:** The process has completed its execution.

- ◆ **Explanation:** The process has finished its task, and its resources are released back to the operating system.

## 6. Suspended/Swapped Out:

- ◆ **Definition:** The process is temporarily removed from main memory and stored on disk.
- ◆ **Explanation:** This is done to free up memory for other processes. The process will be brought back to the ready state when resources are available.

## Diagram: Process State Transitions



## Process Control Block (PCB)

The **Process Control Block (PCB)** is a data structure used by the operating system to store all the information about a process.

## Key Components of PCB:

Component	Description
<b>Process ID (PID)</b>	Unique identifier for the process.
<b>Program Counter</b>	Holds the address of the next instruction to be executed for the process.
<b>Process State</b>	Current state of the process (New, Ready, Running, etc.).
<b>CPU Registers</b>	Values of CPU registers for the process.
<b>Memory Management Info</b>	Information about the process's memory allocation, page tables, or segment tables.

Component	Description
<b>I/O Status Information</b>	List of I/O devices allocated to the process and their status.
<b>Priority</b>	Priority level of the process.
<b>Accounting Info</b>	Information related to CPU usage, time limits, job numbers, etc.
<b>List of Open Files</b>	Files currently opened by the process.

## Diagram: Process Control Block Structure



## Summary Table of Process States and PCB Components

Aspect	Description
<b>Process States</b>	Represents different stages a process can be in: New, Ready, Running, Waiting, Terminated, Suspended.
<b>New State</b>	Process is being created.
<b>Ready State</b>	Process is ready to run but waiting for CPU time.
<b>Running State</b>	Process is currently being executed by the CPU.
<b>Blocked State</b>	Process is waiting for an event or I/O operation to complete.
<b>Terminated State</b>	Process has completed execution and is released from memory.
<b>Suspended State</b>	Process is temporarily removed from memory to disk.
<b>PCB Components</b>	Key data structure storing process information: PID, Program Counter, State, CPU Registers, Memory Management Info, I/O Status, Priority, etc.

## Explanation in Simple Terms:

- ◆ A **process** is like a person doing a job on a computer. It has different stages, like starting the job (New), waiting for its turn (Ready), doing the job (Running), waiting for something (Blocked), or finishing (Terminated).
- ◆ The **Process Control Block (PCB)** is like a file folder that keeps all the information about the person doing the job, such as their ID, what they are doing, and what they need to do next.

# Different Perspectives and Scenarios:

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## 1. Example in a Classroom:

- ◆ Imagine students (processes) taking turns to use a computer (CPU). Each student waits in line (Ready), uses the computer (Running), waits for their turn again (Blocked), or finishes their assignment (Terminated).

## 2. Scenario in an Operating System:

- ◆ A process may be downloading a file (Blocked state while waiting for I/O) or waiting to execute a function (Ready state). Once it has access to the CPU, it performs its task (Running state).

## 3. Real-World Example:

- ◆ Your phone running multiple apps: When you switch between apps, some may be running (like music playing), some are in the background waiting (Ready), and others might be paused (Blocked).