

**GCB**

Curaçao Gaming Control Board

# Personal History Disclosure Form

This application form is to be completed by the person who is part of an application for an on-line gaming license, or by any person who is directed to do so by the Gaming Control Board.

If a question does not apply (those marked as YES), leave it blank in response to that question. If there is nothing to disclose in response to a particular question, write "None" in response to that question. All questions are mandatory. Forms must be filled and submitted in digital format only. Handwritten forms are not accepted.

**Application Number:**

*Follow instructions on the portal for the application number*

**Personal Application Number:**

*Follow instructions on the portal for the personal application number. If you are already approved, use the PAN that was issued for your approval.*

**Date of Application:****Already Approved**

*See instructions at the end of the form*

**1. FirstName:**

*Enter all first names in English.*

**2. LastName:**

*Enter all last names in English.*

**3. Full Name in Native Alphabet:**

*If your name is written differently on your personal identification documents.*

**4. Aliases:**

*Any other names by which you are known.*

**5. Gender:**☐

Female

☐

Male

☐

Other

**6. Date of Birth:****7. Former First Names:**

*If you change your name. Enter any previous names you had that were used in official documents*

**7.1. Enter year of Change:****8. Former Last Names:**

*If you change your last name. Enter any previous last names you had that were used in official documents*

**8.1. Enter year of Change:****9. Father or First Guardian Full Name****10. Mother or Second Guardian Full Name****11. Civil Status:**

*Select One*

☐

Single

☐

Married

☐

Other:

☐

Divorced

☐

Cohabitation

## Contact Details

12. Email Address:

13. Mobile Number:

*Include country prefix*

## Citizenship and Residences

14. Place of Birth:

15. Personal identification document:

*Select one*

*Must be the same one submitted with the enclosures.*

☐

Passport

☐

Identification Card

☐

Other:

16. Country of issue of identification document:

17. Date of Issue:

18. Date of Expiry:

19. Personal Identification Number:

20. Do you hold other Nationalities?

☐

Yes

*If Yes answer below:*

20.1. List countries where you hold other nationalities

21. Do you hold more than one passport?

☐

Yes

*If Yes answer below:*

21.1. List all passport numbers

*Passport Number:*

*Country of Issue:*

## Politically Exposed Person (PEP)

A politically exposed person means a natural person who is or who was entrusted with prominent public positions and/or functions, but does not include middle ranking or more junior officials.

**Natural persons, currently or previously entrusted with a prominent public function include:**

- Head of state, heads of government, ministers and deputy or assistant ministers;
- Members of parliament or of similar legislative bodies;
- Members of the governing bodies of political parties;
- Members of supreme courts, of constitutional courts or of other high-level judicial bodies, the decision of which are not subject to further appeal, except in exceptional circumstances;
- Members of courts of auditors or of the boards of central banks;
- Ambassadors, chargées d'affaires and high-ranking officers in the armed forces;
- Members of the administrative, management or supervisory bodies of State-owned enterprises;
- Directors, deputy directors and members of the board or equivalent function of an international organization.

**Natural persons considered family members of politically exposed persons by way of their close relations:**

- The spouse, or a person considered to be equivalent to a spouse;
- The children and their spouses, or persons considered to be equivalent to a spouse;
- The parents of a politically exposed person.

**Natural persons known to be close associates with politically exposed persons include:**

- Any natural persons who are known to have joint beneficial ownership of legal entities or legal arrangements.
- Any other close business relations, with a politically exposed person and natural persons who have sole beneficial ownership of a legal entity.
- Any person holding a legal arrangement which is known to have been set up for the de facto benefit of a politically exposed person.

## Persons Involved with Sports Organizations

**Natural persons involved with sports organizations:**

- An athlete, who participates, singularly or as part of a team, in sports competitions on which there is sports betting.
- Owner or part owner of a professional sports team that participates in regional, national or international games
- Coach or manager of a sports team that participates in regional, national or international games.
- Associated with an organization that sponsors sports teams.
- An elected official, including referees, umpires and sports judges of games played in regional, national or international games.
- An elected official of national and international sports organizations.

**22. Politically Exposed Person (PEP) or person involved with a sports organization:**

*Or if you where in such a position in the last 5 years*

☐

Yes

*If yes then answer below:*

**22.1. Position held:**

**22.2. City and/or Country where position is held.**

*If position is within an international organization then enter the name of the organization*

**22.3. Date when started in this position:**

**22.4. Date when this position ended**

*Leave blank if you are still in this position*

**23. Family member of a Politically Exposed Person (PEP):**

*Or if you where in such a position in the last 5 years*

☐

Yes

*If yes then answer below:*

**23.1. Full Name of Person:**

**23.2. Position held:**

**23.3. City and/or Country where position is held.**

*If position is within an international organization then enter the name of the organization*

**23.4. Date when started in this position:**

**23.5. Date when this position ended**

*Leave blank if you are still in this position*

**24. Associate of a Politically Exposed Person (PEP) or person:**

*Or if you where in such a position in the last 5 years*

☐

Yes

*If yes then answer below:*

**24.1. Full Name of Person:**

**24.2. Position held:**

**24.3. City and/or Country where position is held.**

*If position is within an international organization then enter the name of the organization*

**24.4. Date when started in this position:**

**24.5. Date when this position ended**

*Leave blank if you are still in this position*

## Key Person Involvement

25. Involvements with the applicant company:

*Select all that apply*

☐

UBO (Ultimate Beneficial Owner)

☐

Trust/Foundation Settlor or Executive Director of a Trust/foundation

☐

Director/Managing Director

☐

Founder or co-founder

☐

Loan/Financing Provider

☐

Corporate Trustee

☐

Other Individual Holder:

☐

Key Function Holder:

☐

Chief Executive

☐

Compliance Officer

26. Are you involved as a key person in other gaming licenses currently operating in Curaçao?

☐

Yes

*If yes then answer section 26.1 below:*

26.1. List all licenses:

*Use a comma separated list*

27. Are you involved as a key person in other gaming licenses in other jurisdictions?

☐

Yes

*If yes then answer section 27.1 below:*

27.1. List all licenses by jurisdiction and respective license numbers:

*Jurisdiction*

*License Numbers*

## Arrests, Detentions and Litigation

28. Have you ever been investigated, charged, arrested, summoned, or convicted for an offence, regardless of the disposition, in any jurisdiction?

☐

Yes

*If Yes is ticked, please provide details on an attachment sheet and provide references to the investigations and convictions in the Attachment section. List all cases without exception:*

### 28.1. Offence History

Nature of Offence	City/Province, State, Country	Date of Offence	Result of Judgement or other dispute

29. Are you aware of any adverse media/remarks pertaining to yourself on any website, news portal, or any other source?

☐

Yes

*If Yes, provide details and attach any relevant documents in the Attachment section, if required.*



## Source of Wealth

37. Have you ever become bankrupt or availed yourself of the laws relating to bankruptcy or insolvency?

☐

Yes

Currency

Amount

38. What is your annual income?

Use a single currency in ISO-4217 format such as USD, EUR, GBP, etc.

Currency

Amount

39. What is your estimated net worth?

Use a single currency in ISO-4217 format such as USD, EUR, GBP, etc.

40. Provide a summarized statement on how you have accumulated your estimated net worth, by listing the main types of acquisitions/dispositions and events that have led to its accumulation:



## Declaration and Data Privacy

I, \_\_\_\_\_, as the person identified in this Application, declare that the information provided is true and correct. I also understand that any willful dishonesty may render for refusal of this application.

I hereby authorize the Gaming Control Board to conduct a complete investigation using whatever legal means they deem appropriate. I hereby authorize any person or entity contacted by Gaming Control Board to provide any and all such information deemed necessary by the Gaming Control Board. I hereby waive any rights of confidentiality in this regard.

I understand that by signing this authorization on behalf of the applicant, a background record check may be performed. On behalf of the applicant, I authorize any banking, financial institution, judicial, or enforcement agency to surrender to the Gaming Control Board a complete and accurate records, including, but not limited to internal banking memoranda, past and present loan applications, financial statements and any other documents relating to business financial records in whatever form and wherever located.

The Gaming Control Board reserves the right to investigate all relevant data and facts to their satisfaction. I understand that the Gaming Control Board may conduct a complete and comprehensive investigation to determine the accuracy of all information gathered. I, hereby release, waive, discharge and agree not to hold liable the Gaming Control Board for the receipt and use of such data, other than for unlawful processing of such information, acquired during investigations and inquiries. I hereby authorize the lawful use, disclosure or publication of this data.

I understand that by signing this authorization, I am giving my explicit consent to the Gaming Control Board to collect and process personal data, including sensitive personal data which relates to the data subject.

I confirm that I have read the privacy statement of the Gaming Control Board with regards to personal data.

Sign here:

SAVE FORM

EXPORT FORM

*For official use only*

## Enclosures

The following documents are to be enclosed with this form:

1. Original or Certified True Copy of Birth Certificate \*
2. Original or Certified True Copy of Passport and or an identification document that is officially issues by a state.
3. Original or certified true copy of a recent police conduct and/or conviction sheet receipt must be submitted for every jurisdiction whereby the applicant resided for more than six months in the last two years
4. Certified true copies of any Gaming License issued in favor of the applicant in a personal capacity from any jurisdiction such as a UK personal Management License.
5. Original or certified true copy of a credit institution/bank reference or bank statement (issued within six (6) months of the date of filing of this application).
6. Original or certified true copy of a utility bill or bank statement showing the applicant's current address or any document verifying residential address.
7. Letter of Appointment / Engagement agreement as Key Person. I
8. Declaration and evidence of Source of Funds/Source of Wealth of Shareholders/UBO (Optional for UBO and if new person)

### Certification of Documents:

Where documents are to be certified as true copies, certifications need be made by embassy officials, legal or accountancy professionals, entities/persons undertaking a relevant financial business or equivalent activities in reputable jurisdictions, or by any other person who is empowered to certify documents within the person's jurisdiction.

The certifier must make a written statement in the English language confirming that the document is a true copy of the original document and that he/she has seen and verified the original document. Furthermore, the certified true copy must be dated and must include the full name, designation and contact details of the certifier.

Should the certification be on a separate page to the original document, the certifier needs to include a reference to the document being certified.

If a specific document has been downloaded from an official site (such as utility bill, bank statement), applicants can either submit proof that the document has been downloaded from the official site - i.e. screenshot showing both the document and the URL confirming the official site or alternatively, a certifier may certify that the document is a true download from the site (specify the URL), or a true copy of the original version downloaded in front of me.

If the document is composed of more than one page the certifier can either:

- certify each page individually; or
- certify the top of the first page and add a statement detailing the number of pages of the original documentation seen.

### Translations of Documents:

When document translations are provided to the Authority, they must be certified translations confirming that the document is a true translation from the original language, and must include the full name, signature and contact details of the translator.

### Pre-Approved Persons:

If you have already been approved under another application, you do not need to resubmit the whole form again. Fill Questions 1 to 19 and 25. Sign the declaration and submit the form without enclosures.