

# Ordering of Permutations

## 1 Lexicographical Order

To find the  $k^{\text{th}}$  permutation of  $n$  marks (letter, symbols), say  $a_1, a_2, \dots, a_n$ , when the permutations are sorted lexicographically, proceed as given below:

1. Write  $k - 1$  in the form

$$k - 1 = c_{n-1}(n - 1)! + c_{n-2}(n - 2)! + \dots + c_1 1!$$

where each integer  $c_i$  has the maximum possible value,  $0 \leq c_i \leq i$ . In other words, we divide  $k - 1$  by  $(n - 1)!$ , and take  $c_{n-1}$  as the quotient; then divide the remainder by  $(n - 2)!$  and take  $c_{n-2}$  as the quotient; and so on. This gives us a sequence  $c_{n-1}c_{n-2} \dots c_1$ .

**Example 1.** To compute the  $35^{\text{th}}$  permutation of the five marks  $1, 2, 3, 4, 5$ , we note that  $k = 35$  and  $n = 5$ . Now

$$35 - 1 = 34 = \underline{1} \times 4! + \underline{1} \times 3! + \underline{2} \times 2! + \underline{0} \times 1!$$

so that the sequence is 1120.

2. Next, the sequence  $c_{n-1} \dots c_1$  is treated as a sequence of array indices (the range being  $0$  to  $n-1$ ). Then the  $k^{\text{th}}$  permutation is constructed in the following manner. Start with the array of marks  $1, 2, \dots, n$ , and pick the element indexed by  $c_{n-1}$  as the first element of the permutation. Remove this element from the array to get a new array, and also remove  $c_{n-1}$  from the sequence of indices to get the new sequence  $c_{n-2} \dots c_1$ . Now continue until the sequence of indices is exhausted. At this point, exactly one mark will remain in the array, and write this down as the last element of the permutation.

**Example 2.** Continuing from the previous example, to compute the  $35^{\text{th}}$  permutation of the five marks  $1, 2, 3, 4, 5$ , we have already obtained the sequence

**1120.** Now, consider the array of marks **12345**.

The first index is **1** (the first element of **1120**), and the element of the array indexed by this is **2**. Thus, the permutation is **2\_\_\_\_\_**.

The new array is **1345**, and the new sequence of indices is **120**. Now, the element indexed by the first index **1** is **3**. Thus the permutation is **23\_\_\_\_\_**.

The new array is **145**, and the indices are **20**. The element indexed by **2** is **5**, so the permutation is **235\_\_\_\_**.

The array is now **14**, and the only index remaining is **0**. The corresponding element is **1**, and the permutation is **2351\_**.

The only remaining element **4** is the last element of the permutation, so the complete permutation is **23514**.

## Solved Problems

- Find the **23<sup>rd</sup>** permutation of the four marks **1, 2, 3, 4** in lexicographical order.

$$23 - 1 = 22 = \underline{3} \times 3! + \underline{2} \times 2! + \underline{0} \times 1! \rightarrow 320$$

Index	Marks	Mark
<u>3</u> 20	123 <u>4</u>	→ 4
<u>2</u> 0	12 <u>3</u>	→ 3
<u>0</u>	<u>1</u> 2	→ 1
	<u>2</u>	→ 2

Thus, the **23<sup>rd</sup>** permutation of **1, 2, 3, 4** in lexicographical order is **4312**.

- Find the **18<sup>th</sup>** permutation of the marks **a, b, c, d** in lexicographical order.

$$18 - 1 = 17 = \underline{2} \times 3! + \underline{2} \times 2! + \underline{1} \times 1! \rightarrow 221.$$

<u>2</u> 21	a <b><u>b</u></b> <u>c</u> d	→ c
<u>2</u> 1	a <b><u>b</u></b> <u>d</u>	→ d
<u>1</u>	a <u>b</u>	→ b
	<u>a</u>	→ a

Thus, the **18<sup>th</sup>** permutation of the marks **a, b, c, d** in lexicographical order is **cdba**.

- Find the **50<sup>th</sup>** permutation of the marks **0, 1, 2, 3, 4** in lexicographical order.

$$50 - 1 = 49 = \underline{2} \times 4! + \underline{0} \times 3! + \underline{0} \times 2! + \underline{1} \times 1! \rightarrow 2001$$

<u>2</u> 001	0 <u>1</u> 234	→ 2
<u>0</u> 01	0 <u>1</u> 34	→ 0
<u>0</u> 1	<u>1</u> 34	→ 1
<u>1</u>	<u>3</u> 4	→ 4
	<u>3</u>	→ 3

Thus, the 50<sup>th</sup> permutation of 0, 1, 2, 3, 4 in lexicographical order is **20143**.

4. Find the 268<sup>th</sup> permutation of LISTEN in lexicographical order.

$$268 - 1 = 267 = \underline{2} \times 5! + \underline{1} \times 4! + \underline{0} \times 3! + \underline{1} \times 2! + \underline{1} \times 1! \rightarrow 21011$$

<u>2</u> 1011	L <u>I</u> STEN	→ S
<u>1</u> 011	L <u>I</u> TEN	→ I
<u>0</u> 11	<u>L</u> TEN	→ L
<u>1</u> 1	<u>T</u> EN	→ E
<u>1</u>	<u>T</u> N	→ N
	<u>T</u>	→ T

Thus, the 268<sup>th</sup> permutation of LISTEN in lexicographical order is **SILENT**.

## 2 Reverse Lexicographical Order

To obtain the  $k^{\text{th}}$  permutation of  $n$  marks  $a_1, a_2, \dots, a_n$  in reverse lexicographical order, first reverse the order of marks to get  $a_n, a_{n-1}, \dots, a_1$ , compute the  $k^{\text{th}}$  permutation of these marks in lexicographical order, and then reverse the resulting permutation.

### Solved Problems

1. Find the 50<sup>th</sup> permutation of the five marks 0, 1, 2, 3, 4 in reverse lexicographical order.

$$50 - 1 = 49 = \underline{2} \times 4! + \underline{0} \times 3! + \underline{0} \times 2! + \underline{1} \times 1! \rightarrow 2001$$

<u>2</u> 001	4 <u>3</u> 2 <u>1</u> 0	→ 2
<u>0</u> 01	<u>4</u> 310	→ 4
<u>0</u> 1	<u>3</u> 10	→ 3
<u>1</u>	<u>1</u> 0	→ 0
	<u>1</u>	→ 1

Thus, the 50<sup>th</sup> permutation of 0, 1, 2, 3, 4 in reverse lexicographical order is **10342**.

2. Find the 100<sup>th</sup> permutation of the marks 1, 2, 3, 4, 5 in reverse lexicographical order.

$$100 - 1 = 99 = \underline{4} \times 4! + \underline{0} \times 3! + \underline{2} \times 1! + \underline{1} \times 1! \rightarrow 4011$$

<u>4</u> 011	5432 <u>1</u>	→ 1
<u>0</u> 11	5432	→ 5
<u>1</u> 1	432	→ 3
<u>1</u>	4 <u>2</u>	→ 2
	4	→ 4

Thus, the 100<sup>th</sup> permutation of 1, 2, 3, 4, 5 in reverse lexicographical order is 42351.

### 3 Fike's Order

To obtain the  $k^{\text{th}}$  permutation of  $n$  marks  $a_1, a_2, \dots, a_n$  in Fike's order, proceed as follows.

1. First, we must generate Fike's sequence, using which the permutation is to be computed. To find the sequence, first write  $k - 1$  in the form

$$k - 1 = c_1 \times n(n - 1) \cdots 3 + c_2 \times n(n - 1) \cdots 4 + \cdots + c_{n-2} \times n + c_{n-1} \times 1.$$

That is, the place values are  $\frac{n!}{2!}, \frac{n!}{3!}, \dots, \frac{n!}{n!} = 1$ . Now, **subtract this sequence**  $c_1 c_2 \cdots c_{n-1}$  from the sequence  $12 \cdots (n - 1)$  to get the sequence  $d_1 d_2 \cdots d_{n-1}$ . That is,  $d_i = i - c_i, i = 1, \dots, n - 1$ . This is Fike's sequence.

**Example 3.** To compute the 65<sup>th</sup> permutation of the five marks 1, 2, 3, 4, 5 in Fike's order, we note that  $k = 65$  and  $n = 5$ . First, compute the place values  $\frac{n!}{2!}, \dots, \frac{n!}{n!}$ . For  $n = 5$ , these are 60, 20, 5, 1. Then,

$$65 - 1 = 64 = \underline{1} \times 60 + \underline{0} \times 20 + \underline{0} \times 5 + \underline{4} \times 1 \rightarrow 1004.$$

Now, Fike's sequence is

$$\begin{array}{r} 1234 - \\ 1004 = \\ \hline 0230. \end{array}$$

2. Using the Fike's sequence, the permutation is generated from the initial permutation  $12 \cdots n$  by a sequence of interchanges, in the following manner. For the sequence  $d_1 d_2 \cdots d_{n-1}$ , first the element of the permutation index 1 is interchanged

with the element at index  $d_1$ . Similarly, at each stage, the element at index  $i$  is interchanged with the element at index  $d_i$ , until the sequence is exhausted. The resulting permutation is the  $k^{\text{th}}$  permutation in Fike's order.

**Example 4.** For the sequence **0230** obtained in the previous example, we start with the original arrangement of the marks: **12345**. Now, the element at index 1 is 2, and the element at index  $d_1 = 0$  is 1. Therefore, interchanging 2 and 1, we get **21345**. Next, the element at index 2 is 3, and the element at index  $d_2 = 2$  is 3 (the same). "Interchanging" these, we get **21345** (i.e., the permutation remains the same). The element at index 3 is 4, and that at index  $d_3 = 3$  is again the same, so once more, the permutation is **21345**. Lastly, the element at index 4 is 5, and that at index  $d_4 = 0$  is 2. Interchanging these, we get **51342**. Thus, the  $65^{\text{th}}$  permutation of **1, 2, 3, 4, 5** in Fike's order is **51342**. We can write this succinctly as given below. First, Fike's sequence is written as a column. Then we write the original permutation in the first row, and underline the element at index 1, which is to be interchanged with the element at index  $d_1$ .

0    12345  
 2  
 3  
 0

The interchange is performed and the result is written in the next row, and this process is repeated until the sequence is exhausted.

0    12345    →  
 2    21345    →  
 3    21345    →  
 0    21345    →  
     51342

## Solved Problems

1. Obtain the  $40^{\text{th}}$  permutation of the five marks **0, 1, 2, 3, 4** in Fike's order. Since  $n = 5$ , the place values are 60, 20, 5, 1.

$$40 - 1 = 39 = \underline{0} \times 60 + \underline{1} \times 20 + \underline{3} \times 5 + \underline{4} \times 1 \rightarrow 0134$$

Then Fike's sequence is

$$\begin{array}{r} 1234 - \\ 0134 = \\ \hline 1100. \end{array}$$

The permutation is then obtained as follows.

$$\begin{array}{rcl}
 1 & 0\underline{1}234 & \rightarrow \\
 1 & 01\underline{2}34 & \rightarrow \\
 0 & 021\underline{3}4 & \rightarrow \\
 0 & 3210\underline{4} & \rightarrow \\
 & \boxed{42103} & 
 \end{array}$$

2. Obtain the 50<sup>th</sup> permutation of the five marks 1, 2, 3, 4 in Fike's order. Since  $n = 5$ , the place values are 60, 20, 5, 1.

$$50 - 1 = 49 = \underline{0} \times 60 + \underline{2} \times 20 + \underline{1} \times 5 + \underline{4} \times 1 \rightarrow 0214$$

Then Fike's sequence is

$$\begin{array}{r}
 1234 - \\
 0214 = \\
 \hline
 1020.
 \end{array}$$

The permutation is then obtained as follows.

$$\begin{array}{rcl}
 1 & 1\underline{2}345 & \rightarrow \\
 0 & 123\underline{4}5 & \rightarrow \\
 2 & 321\underline{4}5 & \rightarrow \\
 0 & 3241\underline{5} & \rightarrow \\
 & \boxed{52413} & 
 \end{array}$$

3. Obtain the 111<sup>th</sup> permutation of the five marks 1, 2, 3, 4, 5 in Fike's order.

$$111 - 1 = 110 = \underline{1} \times 60 + \underline{2} \times 20 + \underline{2} \times 5 + \underline{0} \times 1 \rightarrow 1220$$

Then Fike's sequence is

$$\begin{array}{r}
 1234 - \\
 1220 = \\
 \hline
 0014.
 \end{array}$$

The permutation is then obtained as follows.

$$\begin{array}{rcl}
 0 & 1\underline{2}345 & \rightarrow \\
 0 & 213\underline{4}5 & \rightarrow \\
 1 & 312\underline{4}5 & \rightarrow \\
 4 & 3421\underline{5} & \rightarrow \\
 & \boxed{34215} & 
 \end{array}$$

## Remarks

1. The sequence used in the case of each of these three orderings is completely determined by the values of  $n$ , the total number of marks, and  $k$ , the number of the permutation to be found. It does not depend on the values of the marks at all. Indeed, the marks have no value, even when they are  $1, 2, 3, 4, 5$ , for example. The marks could also be  $a, b, c, d, e$ . They merely represent objects being permuted. In particular, the marks being  $1, 2, 3, 4, 5$ , or  $0, 1, 2, 3, 4$  makes no difference to the sequence (of indices) found.
2. In the case of lexicographical (or reverse lexicographical) order, the sequence used is of the form  $c_{n-1}c_{n-2} \cdots c_1$ , where no  $c_i$  exceeds  $i$ . Thus, for example if  $n = 5$ , **1322** is **not** a valid sequence, since  $c_1 = 2 > 1$ . Also note that the number of terms in the sequence is always  $n - 1$ . If the first term of the sequence is  $0$ , then this cannot be dropped, as the sequence is not a simple number, but rather a collection of indices in a particular order.
3. In the case of Fike's order, the first sequence obtained (the one that is used for computing Fike's sequence) must have  $i^{\text{th}}$  term not exceeding  $i$ , for any  $i$ . For instance, when  $n = 5$ , **1232** is a valid sequence, but not **1322**, since the second term is  $3 > 2$ . Note that we subtract the sequence from **1234** term-wise. Once again, this is a sequence and not an actual number, so that there is no carrying involved in the subtraction. This is always true because any valid sequence will be term-wise smaller than or equal to the sequence  $1234 \cdots (n - 1)$ .

## Exercises

1. Find the  $119^{\text{th}}$  permutation of  $1, 2, 3, 4, 5$  in lexicographical, reverse lexicographical, and Fike's orders.
2. Find the  $123_{\text{rd}}$  permutation of  $1, 2, 3, 4, 5, 6$  in lexicographical, reverse lexicographical, and Fike's orders.
3. Find the  $k^{\text{th}}$  permutation of  $1, 2, \dots, n$  in lexicographical and reverse lexicographical orders, where  $k = m! + 1$  for some  $m < n$ .