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# Analytic Number Theory III

Lecture notes

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This script is not a substitute for Prof. Schindler's lecture notes and will not be reviewed by her again. Basically, these are just my personal notes, so I do not guarantee correctness or completeness and I might add further examples and notes if necessary. In general I will not include proofs (because this is no fun in  $\text{\LaTeX}$ ).

If you have any corrections, you can write to me at [Stud.IP](#) or make a pull request directly at the [GitHub repository](#) (which is much more convenient for me than the way via Stud.IP).

glhf,  
Alex

# 1 Number Fields

**Example** (Pell equation): Let  $d > 1$  be an integer, which is not a square, and find all integer solutions to

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$$x^2 - dy^2 = 1. \quad (1.1)$$

Write  $\mathbb{Z}[\sqrt{d}] = \{a + \sqrt{d}b \mid a, b \in \mathbb{Z}\} \subseteq \mathbb{Q}[\sqrt{d}]$  with its natural ring structure. If  $(x, y) \in \mathbb{Z}^2$  is a solution to (1.1), then

$$(x + \sqrt{d}y)(x - \sqrt{d}y) = x^2 - dy^2 = 1$$

and for every  $k \in \mathbb{N}$

$$(x + \sqrt{d}y)^k(x - \sqrt{d}y)^k = x_k^2 - dy_k^2 = 1,$$

with  $x_k, y_k \in \mathbb{Z}$ . I.e. if  $(x, y) \neq (\pm 1, 0)$  we can generate new solutions as above. Define the norm map  $N : \mathbb{Z}[\sqrt{d}] \rightarrow \mathbb{Z}$ ,  $a + \sqrt{d}b \mapsto a^2 - db^2$ . Then solutions to (1.1) can be described as units  $x + \sqrt{d}y \in \mathbb{Z}[\sqrt{d}]^*$  in the ring  $\mathbb{Z}[\sqrt{d}]$  with  $N(x + \sqrt{d}y) = 1$ .

**Example** (Gaussian integers): The question is to find all primes  $p$  which can be written as a sum of two integer squares

$$p = a^2 + b^2.$$

I.e. we ask for primes  $p$  which factor as  $p = (a + ib)(a - ib)$  in the ring  $\mathbb{Z}[i]$ .

## 1.1 Number fields and number rings, first definitions and examples

**Definition** (Number field)

A *number field* is a finite field extension of  $\mathbb{Q}$ .

**Example:** a) For  $d \in \mathbb{Z}$ , where  $d$  is not a square, the fields  $\mathbb{Q}[\sqrt{d}] = \mathbb{Q}[x]/(x^2 - d)$  are number fields (with degree 2 over  $\mathbb{Q}$ ). We call  $\mathbb{Q}[\sqrt{d}]$  a *real quadratic field*

if  $d > 0$  and an *imaginary quadratic field* if  $d < 0$ .

b)  $\mathbb{Q}[\sqrt{d_1}, \sqrt{d_2}]$  are number fields for  $d_1, d_2 \in \mathbb{Z}$ , usually called *biquadratic fields*.

c) Let  $m \in \mathbb{N}$  and  $\omega = e^{\frac{2\pi i}{m}}$ . Then  $\mathbb{Q}[\omega]$  is a number field, called the *m-th cyclotomic field*.

?) What could be an analogue of the integers in a general number field?

$$\mathbb{Z} \subset \mathbb{Q} \quad ? \subset \mathbb{Q}[\sqrt{d}] \quad ? \subset \mathbb{F}$$

### Definition (Algebraic integer)

A complex number  $\alpha \in \mathbb{C}$  is called an *algebraic integer*, if there is a monic polynomial  $P(x) \in \mathbb{Z}[x]$  with  $P(\alpha) = 0$ .

**Example:** • Every  $n \in \mathbb{Z}$  is an algebraic integer.

- $\sqrt{d}$  for  $d \in \mathbb{Z}$  is an algebraic integer (take  $P(x) = x^2 - d$ ).
- $e^{\frac{2\pi i}{m}}$  is an algebraic integer for every  $m \in \mathbb{N}$  (take  $P(x) = x^m - 1$ ).

### Theorem 1.1

Let  $\alpha$  be an algebraic integer and  $f(x) \in \mathbb{Z}[x]$  a monic polynomial with  $f(\alpha) = 0$ . If  $f(x)$  is of minimal degree with these properties, then  $f$  is irreducible.

**Remark:** Theorem 1.1 shows, that the minimal polynomial of an algebraic integer over  $\mathbb{Q}$  has coefficients in  $\mathbb{Z}$ .

### Lemma 1.2

Let  $f \in \mathbb{Z}[x]$  be a monic polynomial and  $g, k \in \mathbb{Q}[x]$  monic polynomials with  $f = gh$ . Then,  $g, k \in \mathbb{Z}[x]$ .

### Corollary 1.3

If  $\alpha \in \mathbb{Q}$  is an algebraic integer, then  $\alpha \in \mathbb{Z}$ .

### Theorem 1.4 (Characterization of algebraic integers)

Let  $\alpha \in \mathbb{C}$ . Then the following statements are equivalent:

- (i)  $\alpha$  is an algebraic integer.

- (ii)  $\mathbb{Z}[\alpha]$  is a finitely generated group (under addition).
- (iii) There exists a subring  $R \subset \mathbb{C}$  with  $\alpha \in R$  and such that  $(R, +)$  is a finitely generated group.
- (iv) There is a non-trivial finitely generated subgroup  $(A, +)$  of  $\mathbb{C}$ , such that  $\alpha A \subseteq A$ .

**Corollary 1.5**

The set of algebraic integers in  $\mathbb{C}$  is a ring.

**Definition** (Ring of algebraic integers)

Let  $K$  be a number field. Then we write  $\mathcal{O}_K$  for the set of algebraic integers contained in  $K$  and we call  $\mathcal{O}_K$  the ring of integers of  $K$ .

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**Example:**  $\mathcal{O}_{\mathbb{Q}} = \mathbb{Z}$

**Proposition 1.6**

Let  $d \in \mathbb{Z}$  be a squarefree integer.

- If  $d \equiv 2, 3 \pmod{4}$  then  $\mathcal{O}_{\mathbb{Q}[\sqrt{d}]} = \{a + \sqrt{d}b \mid a, b \in \mathbb{Z}\}$ .
- If  $d \equiv 1 \pmod{4}$ , then  $\mathcal{O}_{\mathbb{Q}[\sqrt{d}]} = \left\{ \frac{a + \sqrt{d}b}{2} \mid a \equiv b \pmod{2} \right\}$ .

## 1.2 Embeddings, Norm and Trace

Recall: Let  $L/K$  be a finite field extension. If  $\text{char} K = 0$ , then  $L/K$  is separable. Let  $\bar{K}$  be an algebraic closure of  $K$ . If  $L/K$  is separable, then  $[L : K] = \# \text{Hom}_K(L, \bar{K})$ .

**Theorem**

Let  $L/K$  be a finite separable field extension. Then there exists an element  $\alpha \in L$  such that  $L = K(\alpha)$ . In particular, for number fields  $Q \subseteq K \subseteq L$  we obtain the following:

- There exists  $\alpha \in L$  such that  $L = K(\alpha)$
- If there is an embedding  $\hat{\iota} : K \hookrightarrow \mathbb{C}$ , then there exist  $[L : K]$  embeddings  $L \hookrightarrow \mathbb{C}$ , which extend  $\hat{\iota}$ . If  $g(x)$  is a minimal polynomial of  $\alpha$  over  $K$  then the embeddings are given by  $\sigma_i : \alpha \mapsto \beta_i$ , where  $\beta_1, \dots, \beta_{[L:K]}$  are the  $[L : K]$  distinct conjugates of  $\alpha$ .

**Example:** 1. Let  $d \in \mathbb{Z}$  be not a square. Then there are exactly two embeddings of  $\mathbb{Q}[\sqrt{d}]$  into  $\mathbb{C}$ , namely  $\sigma_1 : a + \sqrt{d}b \mapsto a + \sqrt{d}b$  and  $\sigma_2 : a + \sqrt{d}b \mapsto a - \sqrt{d}b$ .

2. We have  $[\mathbb{Q}[\sqrt[3]{2} : \mathbb{Q}]] = 3$  and the three embeddings are given by

$$\sigma_1(\sqrt[3]{2}) = \sqrt[3]{2}, \quad \sigma_2(\sqrt[3]{2}) = e^{\frac{2\pi i}{3}} \sqrt[3]{2}, \quad \sigma_3(\sqrt[3]{2}) = e^{\frac{4\pi i}{3}} \sqrt[3]{2}.$$

Note that  $\sigma_1(\mathbb{Q}[\sqrt[3]{2}]) \subseteq \mathbb{R}$ , whereas  $\sigma_2$  and  $\sigma_3$  are "complex embeddings".  $\mathbb{Q}[\sqrt[3]{2}]/\mathbb{Q}$  is not a normal extension.

**Definition** (Trace and norm)

Let  $K$  be a field and  $V$  an  $n$ -dimensional  $K$ -vector space. For  $\varphi : V \rightarrow V$  a  $K$ -endomorphism, we define the characteristic polynomial

$$\chi_\varphi(x) = \det(xI_n - \varphi) = \sum_{i=0}^n c_i x^{n-i}$$

for some  $c_0, \dots, c_n \in K$ . We define the determinant and trace of  $\varphi$  by  $\det \varphi = (-1)^n c_n$  and  $\text{trace } \varphi = -c_1$

Note that if  $\varphi, \psi : V \rightarrow V$  are both  $K$ -endomorphisms of  $V$ , then  $\det(\varphi \circ \psi) = \det(\varphi) \det(\psi)$  and  $\text{trace}(a\varphi + b\psi) = a \text{trace}(\varphi) + b \text{trace}(\psi) \quad \forall a, b \in K$ .

**Definition**

Let  $\mathbb{Q} \subseteq K \subseteq L$  be number fields and  $\alpha \in L$ . We write  $\varphi_\alpha : L \rightarrow L$ ,  $x \mapsto \alpha x$  and define the (relative) norm and trace of  $\alpha$  by

$$N_{L/K}(\alpha) = \det \varphi_\alpha, \quad \text{Tr}_{L/K}(\alpha) = \text{trace}(\varphi_\alpha).$$

**Remark:** The map  $N_{L/K} : L^* \rightarrow K^*$  is a group homomorphism as  $N_{L/K}(\alpha\beta) = N_{L/K}(\alpha)N_{L/K}(\beta) \quad \forall \alpha, \beta \in L \setminus \{0\}$ . Similarly,  $\text{Tr}_{L/K} : L \rightarrow K$  is a  $K$ -linear map, as

$$\text{Tr}_{L/K}(u\alpha + v\beta) = u \text{Tr}_{L/K}(\alpha) + v \text{Tr}_{L/K}(\beta) \quad \forall u, v \in K, \quad \alpha, \beta \in L.$$

**Example:** Let  $K = \mathbb{Q}$ ,  $L = \mathbb{Q}(i)$  and  $\alpha = a + ib \in \mathbb{Q}(i)$ . Then  $\varphi_\alpha$  can be represented with respect to the basis  $1, i$  by

$$\varphi_\alpha = \begin{bmatrix} a & -b \\ b & a \end{bmatrix}$$



and hence

$$N_{L/\mathbb{Q}}(a + ib) = a^2 + b^2, \quad \text{Tr}_{L/\mathbb{Q}}(a + ib) = 2a.$$

**Lemma 1.7**

Let  $L/K$  be an extension of number fields with  $[L : K] = n$ . For  $a \in K$  we have

$$N_{L/K}(a) = a^n, \quad \text{Tr}_{L/K}(a) = na.$$

**Lemma 1.8**

Let  $L/K$  be an extension of number fields with  $L = K(\alpha)$  and  $[L : K] = n$ . Let  $f(x) = x^n + c_1x^{n-1} + \cdots + c_n$  be the minimal polynomial of  $\alpha$  over  $K$ . Then

$$N_{L/K}(\alpha) = (-1)^n c_n, \quad \text{Tr}_{L/K}(\alpha) = -c_1.$$

**Lemma 1.9**

Let  $L/K$  be a number field extension,  $\alpha \in L$ ,  $[L : K(\alpha)] = r$ . Then we have

$$N_{L/K}(\alpha) = \left( N_{K(\alpha)/K}(\alpha) \right)^r, \quad \text{Tr}_{L/K}(\alpha) = r \text{Tr}_{K(\alpha)/K}(\alpha).$$

**Corollary 1.10**

Let  $L/K$  be number fields and  $\alpha \in \mathcal{O}_L$ . Then  $N_{L/K}(\alpha), \text{Tr}_{L/K}(\alpha) \in \mathcal{O}_K$ . In particular  $N_{L/\mathbb{Q}}(\alpha), \text{Tr}_{L/\mathbb{Q}}(\alpha) \in \mathbb{Z}$ .

**Theorem 1.11**

Let  $L/K$  be number fields,  $[L : K] = n$  and  $\sigma_1, \dots, \sigma_n : L \hookrightarrow \mathbb{C}$  be the  $n$  distinct  $K$ -linear embeddings of  $L$  into  $\mathbb{C}$ . Then, for  $\alpha \in L$ , we have

$$N_{L/K}(\alpha) = \prod_{i=1}^n \sigma_i(\alpha), \quad \text{Tr}_{L/K}(\alpha) = \sum_{i=1}^n \sigma_i(\alpha).$$

**Corollary 1.12**

Let  $L/K$  be a Galois extension of number fields. Then, for  $\alpha \in L$  and  $\sigma \in \text{Gal}(L/K)$ , we have

$$N_{L/K}(\sigma(\alpha)) = N_{L/K}(\alpha), \quad \text{Tr}_{L/K}(\sigma(\alpha)) = \text{Tr}_{L/K}(\alpha).$$

**Theorem 1.13**

Let  $K \subseteq L \subseteq M$  be a tower of number fields and  $\alpha \in M$ . Then

$$N_{M/K} = N_{L/K}(N_{M/L}(\alpha)), \quad \text{Tr}_{M/K}(\alpha) = \text{Tr}_{L/K}(\text{Tr}_{M/L}(\alpha)).$$

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03.11.2023

**An application of the norm map**

Given a number field  $K$  with ring of integers  $\mathcal{O}_K$ , how can we find  $\mathcal{O}_K^*$ , i.e. the units in  $\mathcal{O}_K$ ?

- If  $\alpha \in \mathcal{O}_K^*$ ,  $\alpha^{-1} \in \mathcal{O}_K$  and  $1 = N_{K/\mathbb{Q}}(\alpha\alpha^{-1}) = N_{K/\mathbb{Q}}(\alpha) \cdot N_{K/\mathbb{Q}}(\alpha^{-1})$ . By Corollary 1.10,  $N_{K/\mathbb{Q}}(\alpha), N_{K/\mathbb{Q}}(\alpha^{-1}) \in \mathbb{Z} \implies N_{K/\mathbb{Q}}(\alpha) = \pm 1$ .
- If  $\alpha \in \mathcal{O}_K$  with  $N_{K/\mathbb{Q}}(\alpha) = \pm 1$ , then  $\alpha \in \mathcal{O}_K^*$ .

**Example:** Let  $d \in \mathbb{Z}$ ,  $d$  squarefree. Then, for  $a, b \in \mathbb{Q}$ ,  $N_{\mathbb{Q}[\sqrt{d}]/\mathbb{Q}}(a + \sqrt{d}b) = (a + b\sqrt{d})(a - b\sqrt{d}) = a^2 - db^2$ . For  $d \equiv 2, 3 \pmod{4}$ , we find that

$$\mathcal{O}_{\mathbb{Q}[\sqrt{d}]} = \{a + b\sqrt{d} \mid a, b \in \mathbb{Z}, a^2 - db^2 = \pm 1\}.$$

**The trace as a bilinear form**

Let  $L/K$  be number fields. Then  $\text{Tr}_{L/K}$  induces a bilinear form

$$\text{Tr}_{L/K} : L \times L \rightarrow K, (x, y) \mapsto \text{Tr}_{L/K}(x \cdot y). \quad (1.2)$$

Write  $L^*$  for the dual vector space of  $L$ , i.e. the set of all  $K$ -linear vector space homomorphisms.

**Theorem 1.14**

The bilinear form (1.2) induces an isomorphism of  $K$ -vector spaces

$$\psi : L \rightarrow L^*, x \mapsto \text{Tr}_{L/K}(x, \cdot).$$

**Corollary 1.15**

Let  $L/K$  be number fields and  $(v_1, \dots, v_n)$  a  $K$ -basis with  $n = [L : K]$ . Then there exists a unique  $K$ -basis  $(w_1, \dots, w_n)$  of  $L$ , such that  $\text{Tr}_{L/K}(v_i w_j) = \delta_{ij}$ ,  $1 \leq i, j \leq n$ .

## 1.3 Discriminant

Let  $K/\mathbb{Q}$  be a number field of degree  $n = [K : \mathbb{Q}]$  and  $\sigma_1, \dots, \sigma_n : K \rightarrow \mathbb{C}$  its embeddings.

**Definition** (Discriminant)

For  $\alpha_1, \dots, \alpha_n \in K$ , we define the *discriminant* as

$$\text{disc}(\alpha_1, \dots, \alpha_n) = \det \left( (\sigma_i(\alpha_j))_{1 \leq i, j \leq n} \right)^2.$$

**Theorem 1.16**

Let  $\alpha_1, \dots, \alpha_n \in K$ . Then  $\alpha_1, \dots, \alpha_n$  are  $\mathbb{Q}$ -linearly independent if and only if  $\text{disc}(\alpha_1, \dots, \alpha_n) \neq 0$ .

**Lemma 1.17**

Let  $\alpha_1, \dots, \alpha_n \in K$ . Then

$$\text{disc}(\alpha_1, \dots, \alpha_n) = \det \left( \text{Tr}_{K/\mathbb{Q}}(\alpha_i \alpha_j) \right)_{1 \leq i, j \leq n}.$$

**Corollary 1.18**

Let  $\alpha_1, \dots, \alpha_n \in K$ . Then  $\text{disc}(\alpha_1, \dots, \alpha_n) \in \mathbb{Q}$ . If moreover  $\alpha_1, \dots, \alpha_n \in \mathcal{O}_K$ , then  $\text{disc}(\alpha_1, \dots, \alpha_n) \in \mathbb{Z}$ .

**Theorem 1.19**

Let  $\alpha$  be algebraic over  $\mathbb{Q}$  with  $[\mathbb{Q}[\alpha] : \mathbb{Q}] = n$ , and  $\alpha_1, \dots, \alpha_n$  the  $n$  different conjugates of  $\alpha$ . Then

$$\text{disc}(1, \alpha, \dots, \alpha^{n-1}) = \prod_{1 \leq i, j \leq n} (a_i - a_j)^2.$$

If moreover  $f(x)$  is the minimal polynomial of  $\alpha$  over  $\mathbb{Q}$ , then

$$\text{disc}(1, \alpha, \dots, \alpha^{n-1}) = (-1)^{\frac{n(n-1)}{2}} N_{\mathbb{Q}[\alpha]/\mathbb{Q}}(f'(\alpha)).$$

**Question:** Let  $K$  be a number field with ring of integers  $\mathcal{O}_K$  and of degree  $n = [K : \mathbb{Q}]$ . Then  $K$  is an  $n$ -dimensional  $\mathbb{Q}$ -vector space. How can we describe the structure of the group  $(\mathcal{O}_K, +)$ ?

**Example:** For  $d \in \mathbb{Z}$  squarefree and  $K = \mathbb{Q}[\sqrt{d}]$ , the ring of integers  $\mathcal{O}_K$  is a free abelian group of rank 2, where a  $\mathbb{Z}$ -basis is given by  $(1, \omega)$ , with

$$\omega = \begin{cases} \sqrt{d} & d \equiv 2, 3 \pmod{4}, \\ \frac{1+\sqrt{d}}{2} & d \equiv 1 \pmod{4}. \end{cases}$$

**Theorem 1.20**

Let  $K/\mathbb{Q}$  be a number field of degree  $n = [K : \mathbb{Q}]$ . Then  $\mathcal{O}_K$  is a free abelian group of rank  $n$ , i.e. there exists  $\alpha_1, \dots, \alpha_n \in \mathcal{O}_K$ , such that every  $\beta \in \mathcal{O}_K$  can be uniquely written in the form

$$\beta = m_1\alpha_1 + \dots + m_n\alpha_n$$

with  $m_1, \dots, m_n \in \mathbb{Z}$ .

**Remark:** In the notation of Theorem 1.20, we call  $(\alpha_1, \dots, \alpha_n)$  an integral basis of  $\mathcal{O}_K$  (over  $\mathbb{Z}$ ).

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**Lemma 1.21**

Let  $K$  be a number field as above. Then there exists a  $\mathbb{Q}$ -basis of the number field, say  $(\alpha_1, \dots, \alpha_n)$ , with  $\alpha_1, \dots, \alpha_n \in \mathcal{O}_K$ .

**Proposition 1.22**

Let  $(\alpha_1, \dots, \alpha_n)$  be a  $\mathbb{Q}$ -basis of a number field  $K$  with  $\alpha_1, \dots, \alpha_n \in \mathcal{O}_K$ ,  $d = \text{disc}(\alpha_1, \dots, \alpha_n)$  and  $\beta \in \mathcal{O}_K$ . Then there exist  $m_1, \dots, m_n \in \mathbb{Z}$ , such that

$$\beta = \frac{m_1\alpha_1 + \dots + m_n\alpha_n}{d}$$

and  $d \mid m_i^2$  for  $1 \leq i \leq n$ .

**Lemma 1.23**

Let  $K$  be a number field with integral bases  $(\alpha_1, \dots, \alpha_n)$  and  $(\beta_1, \dots, \beta_n)$ . Then

$$\text{disc}(\alpha_1, \dots, \alpha_n) = \text{disc}(\beta_1, \dots, \beta_n).$$

**Definition** (Discriminant of  $K$ )

Let  $K$  be a number field and  $(\alpha_1, \dots, \alpha_n)$  a  $\mathbb{Z}$ -basis for  $\mathcal{O}_K$ . We define the *discriminant*

$\text{disc}(K)$  of  $K$  as

$$\text{disc}(K) = \text{disc}(\alpha_1, \dots, \alpha_n).$$

**Example:** Let  $d \in \mathbb{Z}$  be squarefree. Then

$$\text{disc}([\sqrt{d}]) = \begin{cases} 4d & d \equiv 2, 3 \pmod{4}, \\ d & d \equiv 1 \pmod{4}. \end{cases}$$

## 1.4 Cyclotomic fields

### Definition

For  $m \in \mathbb{N}$  we call  $\mathbb{Q}[e^{\frac{2\pi i}{m}}]$  the  $m$ -th cyclotomic field.

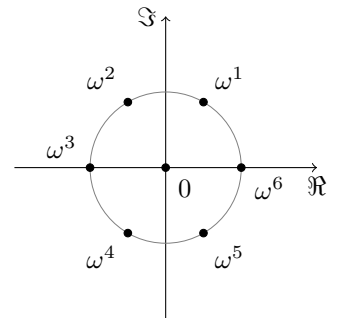
**Example:** • The first two cyclotomic fields are equal to  $\mathbb{Q}$ .

- Let  $m = 6$  and write  $\omega = e^{\frac{2\pi i}{6}}$ . Then  $\omega^5 = -\omega^2$ , i.e.  $\omega = -\omega^4$  and  $\mathbb{Q}[\omega] = \mathbb{Q}[\omega^2]$ . This means that the third and sixth cyclotomic fields are equal.

In the following let  $m \in \mathbb{N}$  and write  $\omega = e^{\frac{2\pi i}{m}}$ .

### Theorem 1.24

The extension  $\mathbb{Q}[\omega]$  over  $\mathbb{Q}$  is Galois with degree equal to  $\varphi(m)$ , where  $\varphi$  is Euler's totient function. Moreover, the Galois group is isomorphic to  $(\mathbb{Z}/m\mathbb{Z})^* = \{k \in \mathbb{Z}/m\mathbb{Z} \mid \gcd(k, m) = 1\}$ .



For  $k \in (\mathbb{Z}/m\mathbb{Z})^*$  the corresponding automorphism is given by  $\omega \mapsto \omega^k$ .

### Proposition 1.25

The conjugates of  $\omega$  are exactly given by  $\omega^k$  with  $\gcd(m, k) = 1$ .

### Corollary 1.26

Let  $m \in \mathbb{N}$  be even. Then the roots of unity contained in  $\mathbb{Q}(e^{\frac{2\pi i}{m}})$  are exactly the  $m$ -th roots of unity.

**Corollary 1.27**

*The  $m$ -th cyclotomic fields, for  $m$  even, are all non-isomorphic.*

**Theorem 1.28**

*Let  $m = p^r$  for some prime  $p$  and  $\omega = e^{\frac{2\pi i}{m}}$ . Then  $\mathcal{O}_{Q[\omega]} = \mathbb{Z}[\omega]$ .*

**Remark:** More generally,  $\mathbb{Z}[\omega] = \mathcal{O}_{Q[\omega]}$  for every cyclotomic field.

**Notation:** We write  $\text{disc}(\alpha) = \text{disc}(1, \alpha, \dots, \alpha^{n-1})$ .

**Lemma 1.29**

*For  $m \in \mathbb{N}$  we have  $\text{disc}(\omega) \mid m^{\varphi(m)}$ .*

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10.11.2023

**Lemma 1.30**

*For  $m \geq 3$  we have  $\text{disc}(1 - \omega) = \text{disc}(\omega)$ .*

**Lemma 1.31**

*Let  $m = p^r$  be a prime power,  $r \in \mathbb{N}$ . Then*

$$\prod_{\substack{1 \leq k \leq m \\ \gcd(k, m) = 1}} (1 - \omega^k) = p.$$

**Remark:** In particular for  $m = p^r$  we have  $\frac{p}{(1-\omega)^{\varphi(m)}} \in \mathbb{Z}[\omega]$ .

## 2 Prime ideal factorisation

Motivation: If  $K$  is a number field with ring of integers  $\mathcal{O}_K$ , then we may not have a unique factorisation in  $\mathcal{O}_K$  into irreducible elements (up to units and ordering).

**Example:** Let  $K = \mathbb{Q}(\sqrt{-5})$ , then  $\mathcal{O}_K = \mathbb{Z}[\sqrt{-5}]$ . In  $\mathbb{Z}[\sqrt{-5}]$  we have  $2 \cdot 3 = 6 = (1 + \sqrt{-5})(1 - \sqrt{-5})$ , where 2 and 3 are irreducible elements.

Our next goal is to replace factorisation into irreducible elements by prime *ideal* factorisation. Instead of number fields, we consider more generally *Dedekind domains*.

**Definition** (Integrally closed ring)

Let  $R$  be an integral domain and  $K = \left\{ \frac{a}{b} \mid a, b \in R, b \neq 0 \right\}$  its field of fractions. We call  $R$  *integrally closed*, if every element  $\frac{a}{b} \in K$ , which is a zero of a monic polynomial with coefficients in  $R$  is contained in  $R$ .

**Example:** Let  $K$  be a number field with ring of integers  $\mathcal{O}_K$ . Then  $\mathcal{O}_K$  is integrally closed. Indeed let  $\alpha \in K$  satisfy  $\alpha^n + b_1\alpha^{n-1} + \dots + b_n = 0$ , with  $b_1, \dots, b_n \in \mathcal{O}_K$ . Then  $\mathbb{Z}[\alpha, b_1, \dots, b_n]$  is finitely generated as an additive group and we have  $\alpha \in \mathcal{O}_K$ .

**Definition** (Noetherian ring)

We call a commutative ring  $R$  *noetherian* if every ideal is finitely generated.

**Remark:** The following statements about a commutative ring  $R$  are equivalent:

1.  $R$  is noetherian.
2. Every increasing sequence of ideals is eventually constant, i.e. if  $I_1 \subseteq I_2 \subseteq \dots$ , then there is some  $n_0 \in \mathbb{N}$ , such that  $I_n = I_{n_0}$  for every  $n > n_0$ .
3. Every non-empty set  $S$  of ideals has a maximal element, i.e. there is some  $M \in S$ , such that if  $M' \in S$  with  $M \subseteq M'$ , then  $M = M'$ .

**Example:** Principal ideal domains and polynomial rings  $\mathbb{Z}[x_1, \dots, x_n]$  or  $K[x_1, \dots, x_n]$  for any field  $K$  are noetherian.

**Definition** (Dedekind domain)

A *Dedekind domain* is a noetherian integrally closed domain, in which every non-zero prime ideal is maximal.

**Theorem 2.1**

*Let  $K$  be a number field. Then its ring of integers  $\mathcal{O}_K$  is a Dedekind domain.*

**Example:** Coordinate rings of irreducible smooth curves over an algebraically closed field, e.g.  $\mathbb{C}[T]$  is a Dedekind domain.

**First properties of Dedekind domains****Lemma 2.2**

*Let  $R$  be a Dedekind domain, which is not a field and  $0 \neq I \subseteq R$  an ideal. Then  $I$  contains a product of non-zero prime ideals  $P_1 \cdots P_k \subseteq I$ .*

**Lemma 2.3**

*Let  $R$  be a Dedekind domain with field of fractions  $K$  and  $0 \neq I \subsetneq R$  an ideal. Then there exists  $\alpha \in K \setminus R$  with  $\alpha I \subseteq R$ .*



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