

2.6 Implicit Differentiation

In the previous sections we learned to find the derivative, $\frac{dy}{dx}$, or y' , when y is given *explicitly* as a function of x . That is, if we know $y = f(x)$ for some function f , we can find $\frac{dy}{dx}$. For example, given $y = 3x^2 - 7$, we can easily find $\frac{dy}{dx} = 6x$. (Here we explicitly state how x and y are related. Knowing x , we can directly find y .)

Sometimes the relationship between y and x is not explicit; rather, it is *implicit*. For instance, we might know that $x^2 - y = 4$. This equality defines a relationship between x and y ; if we know x , we could figure out y . Can we still find $\frac{dy}{dx}$? In this case, sure; we solve for y to get $y = x^2 - 4$ (hence we now know y explicitly) and then differentiate to get $\frac{dy}{dx} = 2x$.

Sometimes the *implicit* relationship between x and y is complicated. Suppose we are given $\sin(y) + y^3 = 6 - x^3$. A graph of this implicit relation is given in Figure 2.19. In this case there is absolutely no way to solve for y in terms of elementary functions. The surprising thing is, however, that we can still find $\frac{dy}{dx}$ via a process known as **implicit differentiation**.

Implicit differentiation is a technique based on the Chain Rule that is used to find a derivative when the relationship between the variables is given implicitly rather than explicitly (solved for one variable in terms of the other).

We begin by reviewing the Chain Rule. Let f and g be functions of x . Then

$$\frac{d}{dx}(f(g(x))) = f'(g(x)) \cdot g'(x).$$

Suppose now that $y = g(x)$. We can rewrite the above as

$$\frac{d}{dx}(f(y)) = f'(y) \cdot \frac{dy}{dx}. \quad (2.1)$$

These equations look strange; the key concept to learn here is that we can find $\frac{dy}{dx}$ even if we don't exactly know how y and x relate.

We demonstrate this process in the following example.

Example 2.40 Using Implicit Differentiation

Find $\frac{dy}{dx}$ given that $\sin(y) + y^3 = 6 - x^3$.

SOLUTION We start by taking the derivative of both sides (thus maintaining the equality.) We have :

$$\frac{d}{dx}(\sin(y) + y^3) = \frac{d}{dx}(6 - x^3).$$

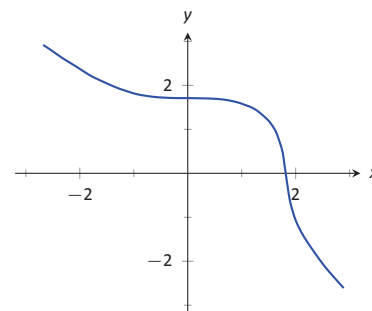


Figure 2.19: A graph of the implicit equation $\sin(y) + y^3 = 6 - x^3$.

Notes:

The right hand side is easy; it returns $-3x^2$.

The left hand side requires more consideration. We take the derivative term-by-term. Using the technique derived from Equation 2.1 above, we can see that

$$\frac{d}{dx}(\sin y) = \cos y \cdot \frac{dy}{dx}.$$

We apply the same process to the y^3 term.

$$\frac{d}{dx}(y^3) = \frac{d}{dx}((y)^3) = 3(y)^2 \cdot \frac{dy}{dx}.$$

Putting this together with the right hand side, we have

$$\cos(y) \frac{dy}{dx} + 3y^2 \frac{dy}{dx} = -3x^2.$$

Now solve for $\frac{dy}{dx}$.

$$\begin{aligned} \cos(y) \frac{dy}{dx} + 3y^2 \frac{dy}{dx} &= -3x^2. \\ (\cos y + 3y^2) \frac{dy}{dx} &= -3x^2 \\ \frac{dy}{dx} &= \frac{-3x^2}{\cos y + 3y^2} \end{aligned}$$

The reason that the x terms and y terms are treated differently is that, for example, a derivative $\frac{d}{dx}(y^3)$ represents a rate of change; how fast is y^3 changing per unit x . The operator $\frac{d}{dx}$ means to take the derivative *with respect to* x . If we were taking the derivative $\frac{d}{dy}(y^3)$ with respect to y , the answer would be $3y^2$. However, instead $\frac{d}{dx}(y^3) = 3y^2 \frac{dy}{dx}$ because the variable in y^3 and the variable x we are taking the derivative with respect to do not match. So, the Chain Rule is needed.

The equation for $\frac{dy}{dx}$ above probably seems unusual for it contains both x and y terms. How is it to be used? We'll address that next.

Implicit equations are generally harder to deal with than explicit functions. With an explicit function, given an x value, we have an explicit formula for computing the corresponding y value. With an implicit equation, one often has to find x and y values *at the same time* that satisfy the equation. It is much easier to demonstrate that a given point satisfies the equation than to actually find such a point.

For instance, we can affirm easily that the point $(\sqrt[3]{6}, 0)$ lies on the graph of the implicit equation $\sin y + y^3 = 6 - x^3$. Plugging in 0 for y , we see the left

Notes:

hand side is 0. Setting $x = \sqrt[3]{6}$, we see the right hand side is also 0; the equation is satisfied. The following example finds the equation of the tangent line to this equation at this point.

Example 2.41 Using Implicit Differentiation to find a tangent line

Find the equation of the line tangent to the curve of the implicitly defined relation $\sin y + y^3 = 6 - x^3$ at the point $(\sqrt[3]{6}, 0)$.

SOLUTION In Example 2.40 we found that

$$\frac{dy}{dx} = \frac{-3x^2}{\cos y + 3y^2}.$$

We find the slope of the tangent line at the point $(\sqrt[3]{6}, 0)$ by substituting $\sqrt[3]{6}$ for x and 0 for y . Thus at the point $(\sqrt[3]{6}, 0)$, we have the slope as

$$\left. \frac{dy}{dx} \right|_{(\sqrt[3]{6}, 0)} = \frac{-3(\sqrt[3]{6})^2}{\cos 0 + 3 \cdot 0^2} = \frac{-3\sqrt[3]{36}}{1} \approx -9.91.$$

Therefore the equation of the tangent line to the implicitly defined relation $\sin y + y^3 = 6 - x^3$ at the point $(\sqrt[3]{6}, 0)$ is

$$y = -3\sqrt[3]{36}(x - \sqrt[3]{6}) + 0 \approx -9.91x + 18.$$

The curve and this tangent line are shown in Figure 2.20.

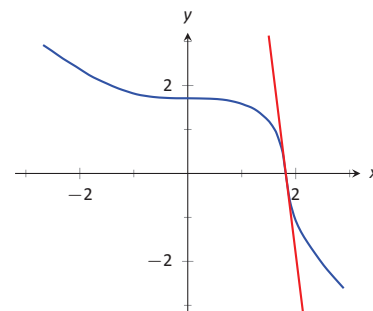


Figure 2.20: The equation $\sin y + y^3 = 6 - x^3$ and its tangent line at the point $(\sqrt[3]{6}, 0)$.

This suggests a general method for implicit differentiation.

1. Take the derivative of each term in the equation (with respect to x). Treat the x terms like normal. When taking the derivatives of y terms, the usual rules apply except that, because of the Chain Rule, we need to multiply each term by $\frac{dy}{dx}$.
2. Get all the $\frac{dy}{dx}$ terms on one side of the equal sign and put the remaining terms on the other side.
3. Factor out $\frac{dy}{dx}$; solve for $\frac{dy}{dx}$ by dividing.

Again, the reason why we need the $\frac{dy}{dx}$ for y terms but not x terms is because we are taking derivatives with respect to x . Later, in Section 4.1 about Related Rates, we determine rates of change with respect to a variable not in the equation (such as time) by a similar process.

Example 2.42 Using Implicit Differentiation

Given the implicitly defined equation $y^3 + x^2y^4 = 1 + 2x$, find $\frac{dy}{dx}$.

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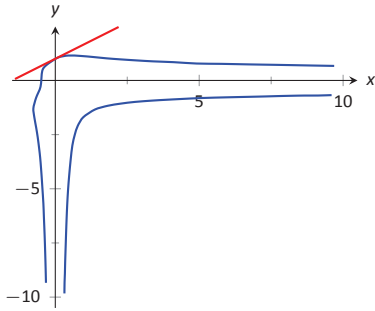


Figure 2.21: A graph of the implicitly defined equation $y^3 + x^2y^4 = 1 + 2x$ along with its tangent line at the point $(0, 1)$.

SOLUTION We will take the implicit derivatives term by term. The derivative of y^3 is $3y^2y'$.

The second term, x^2y^4 , is a little tricky. It requires the Product Rule as it is the product of two expressions: x^2 and y^4 . Its derivative is $2xy^4 + x^2 \left(4y^3 \frac{dy}{dx} \right)$. The second part of this expression requires a $\frac{dy}{dx}$ because we are taking the derivative of a y term. The second part does not require it because we are taking the derivative of x^2 .

The derivative of the right hand side is easily found to be 2. In all, we get:

$$3y^2 \frac{dy}{dx} + 4x^2y^3 \frac{dy}{dx} + 2xy^4 = 2.$$

Move terms around so that the left side consists only of the $\frac{dy}{dx}$ terms and the right side consists of all the other terms:

$$3y^2 \frac{dy}{dx} + 4x^2y^3 \frac{dy}{dx} = 2 - 2xy^4.$$

Factor out $\frac{dy}{dx}$ from the left side and solve to get

$$\frac{dy}{dx} = \frac{2 - 2xy^4}{3y^2 + 4x^2y^3}.$$

To confirm the validity of our work, let's find the equation of a tangent line to this relation at a point. It is easy to confirm that the point $(0, 1)$ lies on the graph of this relation. At this point, $\left. \frac{dy}{dx} \right|_{(0,1)} = 2/3$. So the equation of the tangent line is $y = \frac{2}{3}(x - 0) + 1$. The relation and its tangent line are graphed in Figure 2.21.

Example 2.43 Using Implicit Differentiation

Given the implicitly defined equation $\sin(x^2y^2) + y^3 = x + y$, find $\frac{dy}{dx}$.

SOLUTION Differentiating term by term, we find the most difficulty in the first term. It requires both the Chain and Product Rules.

$$\begin{aligned} \frac{d}{dx}(\sin(x^2y^2)) &= \cos(x^2y^2) \cdot \frac{d}{dx}(x^2y^2) \\ &= \cos(x^2y^2) \cdot \left(2xy^2 + x^2 \left(2y \frac{dy}{dx} \right) \right) \\ &= 2 \left(xy^2 + x^2y \frac{dy}{dx} \right) \cos(x^2y^2). \end{aligned}$$

Notes:

We leave the derivatives of the other terms to the reader. After taking the derivatives of both sides, we have

$$2 \left(xy^2 + x^2 y \frac{dy}{dx} \right) \cos(x^2 y^2) + 3y^2 \frac{dy}{dx} = 1 + \frac{dy}{dx}.$$

We now have to be careful to properly solve for y' , particularly because of the product on the left. It is best to multiply out the product. Doing this, we get

$$2xy^2 \cos(x^2 y^2) + 2x^2 y \cos(x^2 y^2) \frac{dy}{dx} + 3y^2 \frac{dy}{dx} = 1 + \frac{dy}{dx}.$$

From here we can safely move around terms to get the following:

$$2x^2 y \cos(x^2 y^2) \frac{dy}{dx} + 3y^2 \frac{dy}{dx} - \frac{dy}{dx} = 1 - 2xy^2 \cos(x^2 y^2).$$

Then we can solve for $\frac{dy}{dx}$ to get

$$\frac{dy}{dx} = \frac{1 - 2xy^2 \cos(x^2 y^2)}{2x^2 y \cos(x^2 y^2) + 3y^2 - 1}.$$

A graph of this implicit equation is given in Figure 2.22. It is easy to verify that the points $(0, 0)$, $(0, 1)$ and $(0, -1)$ all lie on the graph. We can find the slopes of the tangent lines at each of these points using our formula for y' .

At $(0, 0)$, the slope is -1 .

At $(0, 1)$, the slope is $1/2$.

At $(0, -1)$, the slope is also $1/2$.

The tangent lines have been added to the graph in Figure 2.23.

Quite a few “famous” curves have equations that are given implicitly. We can use implicit differentiation to find the slope at various points on those curves. We investigate two such curves in the next examples.

Example 2.44 Finding slopes of tangent lines to a circle

Find the slope of the tangent line to the circle $x^2 + y^2 = 1$ at the point $(1/2, \sqrt{3}/2)$.

SOLUTION Taking derivatives, we get $2x + 2y \frac{dy}{dx} = 0$. Solving for $\frac{dy}{dx}$ gives:

$$\frac{dy}{dx} = \frac{-x}{y}.$$

This is a clever formula. Recall that the slope of the line through the origin and the point (x, y) on the circle will be y/x . We have found that the slope of the tangent line to the circle at that point is the opposite reciprocal of y/x , namely, $-x/y$. Hence these two lines are always perpendicular.

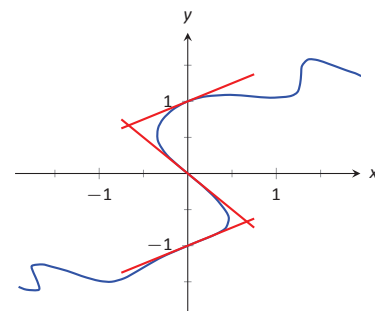


Figure 2.23: A graph of the implicitly defined equation $\sin(x^2 y^2) + y^3 = x + y$ and certain tangent lines.

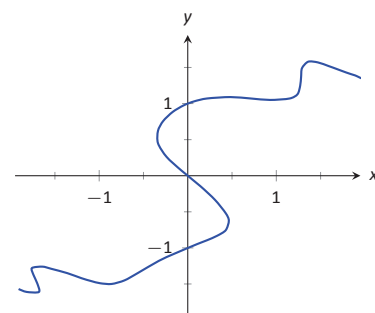


Figure 2.22: A graph of the implicitly defined equation $\sin(x^2 y^2) + y^3 = x + y$.

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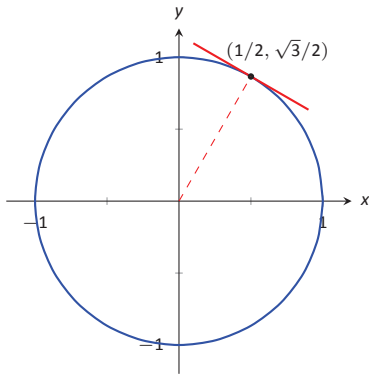


Figure 2.24: The unit circle with its tangent line at $(1/2, \sqrt{3}/2)$.

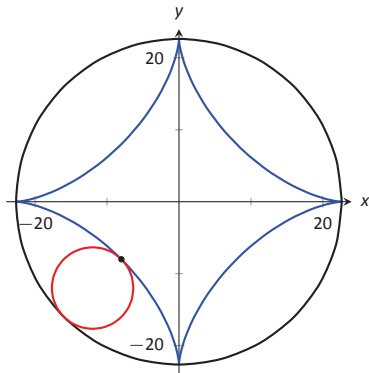


Figure 2.25: An astroid, traced out by a point on the smaller circle as it rolls inside the larger circle.

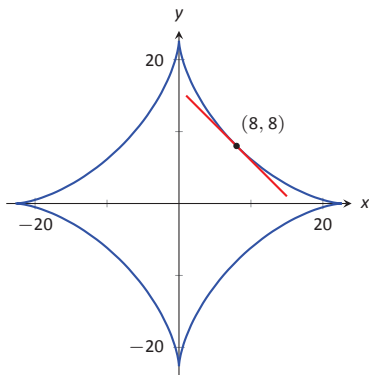


Figure 2.26: An astroid with a tangent line.

At the point $(1/2, \sqrt{3}/2)$, we have the tangent line's slope as

$$\left. \frac{dy}{dx} \right|_{(1/2, \sqrt{3}/2)} = \frac{-1/2}{\sqrt{3}/2} = \frac{-1}{\sqrt{3}} \approx -0.577.$$

A graph of the circle and its tangent line at $(1/2, \sqrt{3}/2)$ is given in Figure 2.24, along with a thin dashed line from the origin that is perpendicular to the tangent line. (It turns out that all normal lines to a circle pass through the center of the circle.)

Example 2.45 Using the Power Rule

Find the slope of $x^{2/3} + y^{2/3} = 8$ at the point $(8, 8)$.

SOLUTION This is a particularly interesting curve called an *astroid*. It is the shape traced out by a point on the edge of a circle that is rolling around inside of a larger circle, as shown in Figure 2.25.

To find the slope of the astroid at the point $(8, 8)$, we take the derivative implicitly.

$$\begin{aligned} \frac{2}{3}x^{-1/3} + \frac{2}{3}y^{-1/3} \frac{dy}{dx} &= 0 \\ \frac{2}{3}y^{-1/3} \frac{dy}{dx} &= -\frac{2}{3}x^{-1/3} \\ \frac{dy}{dx} &= -\frac{x^{-1/3}}{y^{-1/3}} \\ \frac{dy}{dx} &= -\frac{y^{1/3}}{x^{1/3}} = -\sqrt[3]{\frac{y}{x}}. \end{aligned}$$

Plugging in $x = 8$ and $y = 8$, we get a slope of -1 . The astroid, with its tangent line at $(8, 8)$, is shown in Figure 2.26.

Implicit Differentiation and the Second Derivative

We can use implicit differentiation to find higher order derivatives. In theory, this is simple: first find $\frac{dy}{dx}$, then take its derivative with respect to x . In practice, it is not hard, but it often requires a bit of algebra. We demonstrate this in an example.

Example 2.46 Finding the second derivative

Given $x^2 + y^2 = 1$, find $\frac{d^2y}{dx^2} = \frac{d}{dx} \left(\frac{dy}{dx} \right)$.

SOLUTION We found that $\frac{dy}{dx} = -x/y$ in Example 2.44. To find $\frac{d^2y}{dx^2}$, we

Notes:

apply implicit differentiation to $\frac{dy}{dx}$.

$$\begin{aligned}\frac{d^2y}{dx^2} &= \frac{d}{dx} \left(\frac{dy}{dx} \right) \\ &= \frac{d}{dx} \left(-\frac{x}{y} \right) \quad (\text{Now use the Quotient Rule.}) \\ &= -\frac{y(1) - x(\frac{dy}{dx})}{y^2}\end{aligned}$$

replace $\frac{dy}{dx}$ with $-\frac{x}{y}$:

$$\begin{aligned}&= -\frac{y - x(-x/y)}{y^2} \\ &= -\frac{y + x^2/y}{y^2}.\end{aligned}$$

While this is not a particularly simple expression, it is usable. We can see that $y'' > 0$ when $y < 0$ and $y'' < 0$ when $y > 0$. In Section 3.4, we will see how this relates to the shape of the graph.

Logarithmic Differentiation

Consider the function $y = x^x$; it is graphed in Figure 2.27. It is well-defined for $x > 0$ and we might be interested in finding equations of lines tangent and normal to its graph. How do we take its derivative?

The function is not a power function: it has a “power” of x , not a constant. It is not an exponential function: it has a “base” of x , not a constant.

A differentiation technique known as *logarithmic differentiation* becomes useful here. The basic principle is this: take the natural logarithm of both sides of an equation $y = f(x)$, then use implicit differentiation to find $\frac{dy}{dx}$. We demonstrate this in the following example.

Example 2.47 Using Logarithmic Differentiation

Given $y = x^x$, use logarithmic differentiation to find $\frac{dy}{dx}$.

SOLUTION As suggested above, we start by taking the natural log of

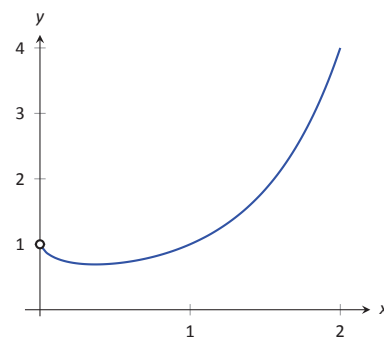


Figure 2.27: A plot of $y = x^x$.

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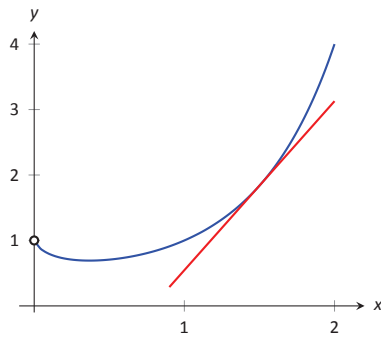


Figure 2.28: A graph of $y = x^x$ and its tangent line at $x = 1.5$.

both sides then applying implicit differentiation.

$$y = x^x$$

$$\ln(y) = \ln(x^x) \quad (\text{apply logarithm rule})$$

$$\ln(y) = x \ln x \quad (\text{now use implicit differentiation})$$

$$\frac{d}{dx}(\ln(y)) = \frac{d}{dx}(x \ln x)$$

$$\frac{1}{y} \cdot \frac{dy}{dx} = \ln x + x \cdot \frac{1}{x}$$

$$\frac{1}{y} \cdot \frac{dy}{dx} = \ln x + 1$$

$$\frac{dy}{dx} = y(\ln x + 1) \quad (\text{substitute } y = x^x)$$

$$\frac{dy}{dx} = x^x(\ln x + 1).$$

Throughout this section, we have left answers in terms of both x and y , which makes sense because we did not have a formula for y in terms of x . However, in this example, we do know that $y = x^x$, so we simplified our final answer to be just in terms of x . We actually found a derivative of an explicit function using implicit differentiation.

To “test” our answer, let’s use it to find the equation of the tangent line at $x = 1.5$. The point on the graph our tangent line must pass through is $(1.5, 1.5^{1.5}) \approx (1.5, 1.837)$. Using the equation for $\frac{dy}{dx}$, we find the slope as

$$\left. \frac{dy}{dx} \right|_{x=1.5} = 1.5^{1.5}(\ln 1.5 + 1) \approx 1.837(1.405) \approx 2.582.$$

Thus the equation of the tangent line is $y = 1.6833(x - 1.5) + 1.837$. Figure 2.25 graphs $y = x^x$ along with this tangent line.

Implicit differentiation proves to be useful as it allows us to find the instantaneous rates of change of a variety of equations. In particular, it extended the Power Rule to rational exponents, which we then extended to all real numbers. In the next section, implicit differentiation will be used to find the derivatives of *inverse* functions, such as $y = \sin^{-1} x$.

Notes:

Exercises 2.6

Terms and Concepts

1. In your own words, explain the difference between implicit relations and explicit relations.
2. Implicit differentiation is based on what other differentiation rule?

Problems

In Exercises 3 – 17, find $\frac{dy}{dx}$ using implicit differentiation.

3. $x^4 + y^2 + y = 7$
4. $x^{2/5} + y^{2/5} = 1$
5. $\cos(x) + \sin(y) = 1$
6. $\frac{x}{y} = 10$
7. $\frac{y}{x} = 10$
8. $y^2 = x^3 - x + 1$
9. $ye^y = x$
10. $x^2e^2 + 2^y = 5$
11. $x^2 \tan y = 50$
12. $(3x^2 + 2y^3)^4 = 2$
13. $(y^2 + 2y - x)^2 = 200$
14. $\frac{x^2 + y}{x + y^2} = 17$
15. $\frac{\sin(x) + y}{\cos(y) + x} = 1$
16. $\ln(x^2 + y^2) = e$
17. $\ln(x^2 + xy + y^2) = 1$

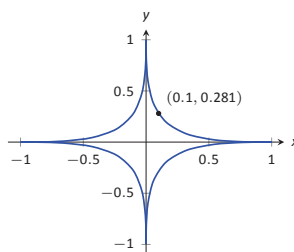
18. Show that $\frac{dy}{dx}$ is the same for each of the following implicitly defined equations.

- (a) $xy = 1$
- (b) $x^2y^2 = 1$
- (c) $\sin(xy) = 1$
- (d) $\ln(xy) = 1$

In Exercises 19 – 24, find the equation of the tangent line to the graph of the implicitly defined relation at the indicated points. As a visual aid, each function is graphed.

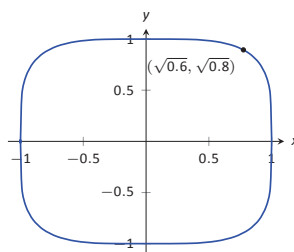
19. $x^{2/5} + y^{2/5} = 1$

- (a) At $(1, 0)$.
- (b) At $(0.1, 0.281)$ (which does not *exactly* lie on the curve, but is very close).



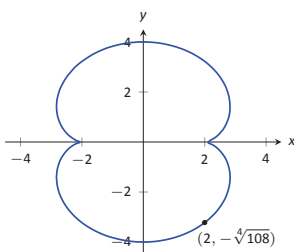
20. $x^4 + y^4 = 1$

- (a) At $(1, 0)$.
- (b) At $(\sqrt{0.6}, \sqrt{0.8})$.
- (c) At $(0, 1)$.



21. $(x^2 + y^2 - 4)^3 = 108y^2$

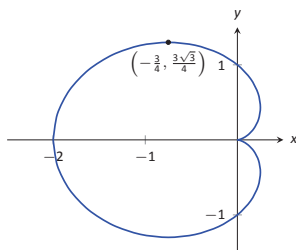
- (a) At $(0, 4)$.
- (b) At $(2, -\sqrt[4]{108})$.



22. $(x^2 + y^2 + x)^2 = x^2 + y^2$

(a) At $(0, 1)$.

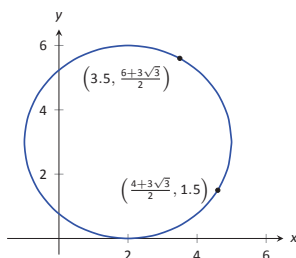
(b) At $\left(-\frac{3}{4}, \frac{3\sqrt{3}}{4}\right)$.



23. $(x - 2)^2 + (y - 3)^2 = 9$

(a) At $\left(\frac{7}{2}, \frac{6 + 3\sqrt{3}}{2}\right)$.

(b) At $\left(\frac{4 + 3\sqrt{3}}{2}, \frac{3}{2}\right)$.



In Exercises 24 – 27, an implicitly defined relation is given.

Find $\frac{d^2y}{dx^2}$. Note: these are the same problems used in Exercises 3 through 6.

24. $x^4 + y^2 + y = 7$

25. $x^{2/5} + y^{2/5} = 1$

26. $\cos x + \sin y = 1$

27. $\frac{x}{y} = 10$

In Exercises 28 – 33, use logarithmic differentiation to find $\frac{dy}{dx}$, then find the equation of the tangent line at the indicated x -value.

28. $y = (1 + x)^{1/x}$, $x = 1$

29. $y = (2x)^{x^2}$, $x = 1$

30. $y = \frac{x^x}{x + 1}$, $x = 1$

31. $y = x^{\sin(x)+2}$, $x = \pi/2$

32. $y = \frac{x + 1}{x + 2}$, $x = 1$

33. $y = \frac{(x + 1)(x + 2)}{(x + 3)(x + 4)}$, $x = 0$

34. For $y = x^{x^x}$, answer the following. This problem takes the idea of logarithmic differentiation further.

(a) Determine $\frac{dy}{dx}$ by first taking the natural logarithm of each side **twice** before applying implicit differentiation.

(b) Use your answer to (a) to find an equation of the tangent line at $x = 2$.