# Final examination — given Thursday 12th December

General instructions
Closed-book, closed-notes, closed-computer, in-class exam.
Time allowed: 120 minutes.
Total points available: 200 pts.
Answer in the spaces provided.
Your name (print):
I pledge my honor that in the preparation of this assignment I have complied with the University of New Mexico Board of Regents' Policy Manual.  Please sign and date:

# 3.1 Haskell datatypes (20 pts)

Write down the result of evaluating each of the following Haskell expressions.

1. 
$$(5 \text{ pts})$$
 map  $(\x -> x * 2)$  [1,2,3,4,5]

2. 
$$(5 pts)$$
 filter (\x -> x 'mod' 2 == 0) [1,2,3,4,5]

3. 
$$(5 pts)$$
 map  $(\x -> x 'mod' 2 == 0) [1,2,3,4,5]$ 

4. 
$$(5 pts)$$
 map  $(\x -> x * x)$  (take 3 [1..])

# 3.2 Proving properties of Haskell list functionals (20 pts)

Prove, by induction on the structure of lists, that

$$map f (map g 1) = map g (map f 1)$$

for all lists 1 :: [a] and all functions f :: a -> a and g :: a -> a for which the equation f (g x) = g (f x) holds.

You must clearly state your inductive hypothesis in the inductive case of your proof.

# 3.3 Arithmetic in the untyped lambda-calculus (20 pts)

The reduction rules for the call-by-value lambda-calculus are presented in Appendix A.

1. (10 pts) The "successor" function (succ) over Church numerals ( $c_i$ ) in the untyped lambda-calculus can be defined as follows:

$$succ = \lambda n. \lambda s. \lambda z. s (n s z).$$

Using this succ function, or otherwise, define an untyped lambda-term add that implements addition of Church numerals, so that add  $c_i c_j \longrightarrow_{\beta}^* c_{i+j}$ .

2. (10 pts) Using your add function, or otherwise, define an untyped lambda-term times that implements multiplication of Church numerals, so that times  $c_i c_j \longrightarrow_{\beta}^* c_{i \times j}$ .

# 3.4 Typing in the simply-typed lambda-calculus (40 pts)

Use the typing rules for the simply-typed lambda-calculus with booleans and numbers from Appendix B to construct a full typing derivation for each of the typing judgments below.

For each, you should **either** (1) determine the type T for which the judgment holds, **or** (2) determine that no such T exists, as appropriate in each case.

1. (10 pts) 
$$\varnothing \vdash \lambda x$$
: Nat. succ (pred  $x$ ) :  $T$ 

2. (10 pts) 
$$\varnothing \vdash \lambda f : \mathsf{Bool} \to \mathsf{Nat.} \mathsf{iszero} (f y) : T$$

3. (10 pts)  $\varnothing$ ,  $y : \mathsf{Bool} \vdash \lambda f : \mathsf{Bool} \rightarrow \mathsf{Nat.} \mathsf{iszero} (f \ y) : T$ 

4. (10 pts)  $\varnothing$ , y: Nat  $\vdash \lambda f$ : Bool  $\rightarrow$  Nat. iszero (f y) : T

# 3.5 Recursion in the simply-typed lambda-calculus (20 pts)

The reduction axiom for the fix extension to the call-by-value lambda-calculus is as follows:

$$\overline{\operatorname{fix}\left(\lambda f\!:\!T_{1}.\,t_{2}\right)\longrightarrow\left[f\mapsto\left(\operatorname{fix}\left(\lambda f\!:\!T_{1}.\,t_{2}\right)\right)\right]\!t_{2}}\;\left(\operatorname{E-FixBeta}\right)$$

The rest of the definition of this extension is presented in Appendix C.

**Briefly explain** how this rule implements recursive behavior, including the possibility of non-terminating reduction.

You must illustrate your answer by considering the reduction sequence for the expression:

$$\left(\operatorname{fix}\left(\lambda f\!:\!\operatorname{Nat}\to\operatorname{Nat}.\,\lambda n\!:\!\operatorname{Nat}.\,f\left(\operatorname{succ}n\right)\right)\right)0$$

# 3.6 Evaluation in the simply typed lambda-calculus (40 pts)

Using the evaluation rules for the simply-typed lambda calculus with booleans and numbers, as defined in Appendix B, **construct a full derivation for** *every* **step of evaluation** of the following terms.

In each case, state whether evaluation terminates due to reaching a value, or due to getting stuck at a non-value term that cannot be reduced further.

1. (20 pts) pred  $((\lambda b : Bool. if b then 0 else succ 0) false)$ 

2. (20 pts)  $(\lambda x : \text{Nat. iszero } x)$  (if true then false else true)

# 3.7 Concepts (40 pts)

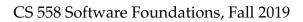
In the spaces provided, **briefly explain** the following **four** concepts from the course:

1. (10 pts) the manipulation of infinite lists in Haskell

2. (10 pts) type variables and polymorphic types in Haskell

3. (10 pts) constraint-based typing and type unification

4. (10 pts) type safety



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# A Reference: untyped call-by-value lambda-calculus

#### **Syntax**

Terms, 
$$t := x$$
 variable  $\begin{vmatrix} \lambda x. t \\ t t \end{vmatrix}$  abstraction application

Values,  $v := \lambda x. t$  abstraction value

#### **Evaluation Rules**

$$\frac{t_1 \longrightarrow t_1'}{t_1 t_2 \longrightarrow t_1' t_2} \text{ (E-APP1)}$$

$$\frac{t_2 \longrightarrow t_2'}{v_1 \ t_2 \longrightarrow v_1 \ t_2'} \ (\text{E-App2})$$

$$\overline{(\lambda x. t_{12}) \ v_2 \longrightarrow [x \mapsto v_2] t_{12}}$$
 (E-Appabs)

#### Capture-avoiding substitution

$$[x \mapsto t]x = t$$

$$[x \mapsto t]y = y \quad \text{if } x \neq y$$

$$[x \mapsto t](t_1 t_2) = ([x \mapsto t]t_1) ([x \mapsto t]t_2)$$

$$[x \mapsto t](\lambda x. t') = \lambda x. t'$$

$$[x \mapsto t](\lambda y. t') = \lambda y. ([x \mapsto t]t') \quad \text{if } x \neq y$$

*NB:* this definition is capture avoiding if we assume that t is a closed term.

# B Reference: simply typed lambda calculus with booleans and numbers

Syntax

Terms, t	::=	$x$ $\lambda x:T.t$ $tt$ true false if $t$ then $t$ else $t$ 0 succ $t$ pred $t$ iszero $t$	variable abstraction application constant true constant false constant false constant zero successor predecessor zero test
Values, v	::=	$\lambda x$ : $T$ . $t$ true false $nv$	abstraction value true value false value numeric value
Numeric values, nv	::=	0 succ nv	zero value successor value
Types, T		T  ightarrow TBool	type of functions type of booleans type of natural numbers
Typing contexts, $\Gamma$	::=	$\emptyset$ $\Gamma, x : T$	empty context variable type assumption

# **Capture-Avoiding Substitution**

$$[x \mapsto t]x = t$$

$$[x \mapsto t]y = y \quad \text{if } x \neq y$$

$$[x \mapsto t](t_1 t_2) = ([x \mapsto t]t_1) ([x \mapsto t]t_2)$$

$$[x \mapsto t](\lambda x : T \cdot t') = \lambda x : T \cdot t'$$

$$[x \mapsto t](\lambda y : T \cdot t') = \lambda y : T \cdot ([x \mapsto t]t') \quad \text{if } x \neq y$$

$$[x \mapsto t] \text{true} = \text{true}$$

$$[x \mapsto t] \text{false} = \text{false}$$

$$[x \mapsto t] \text{fif } t_1 \text{ then } t_2 \text{ else } t_3 = \text{if } ([x \mapsto t]t_1) \text{ then } ([x \mapsto t]t_2) \text{ else } ([x \mapsto t]t_3)$$

$$[x \mapsto t] \text{0} = 0$$

$$[x \mapsto t] \text{(succ } t') = \text{succ } ([x \mapsto t]t')$$

$$[x \mapsto t] \text{(pred } t') = \text{pred } ([x \mapsto t]t')$$

$$[x \mapsto t] \text{(iszero } t') = \text{iszero } ([x \mapsto t]t')$$

*NB*: this definition is capture avoiding if we assume that t is a closed term.

#### **Evaluation Rules**

$$\frac{t_1 \longrightarrow t_1'}{t_1 t_2 \longrightarrow t_1' t_2} \text{ (E-APP1)}$$

$$\frac{t_2 \longrightarrow t_2'}{v_1 t_2 \longrightarrow v_1 t_2'} \text{ (E-APP2)}$$

$$\frac{}{(\lambda x:T_{11}.t_{12})\ v_2 \longrightarrow [x\mapsto v_2]t_{12}}\ (\text{E-APPABS})$$

$$\overline{\text{if true then }t_2\, \text{else}\, t_3\longrightarrow t_2}$$
 (E-IFTRUE)

$$\overline{\text{if false then } t_2 \text{ else } t_3 \longrightarrow t_3} \text{ (E-IFFALSE)}$$

$$\frac{t_1 \longrightarrow t_1'}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \longrightarrow \text{if } t_1' \text{ then } t_2 \text{ else } t_3} \text{ (E-IF)}$$

$$\frac{t_1 \longrightarrow t_1'}{\operatorname{succ} t_1 \longrightarrow \operatorname{succ} t_1'} \text{ (E-SUCC)}$$

$$\frac{}{\text{pred 0} \longrightarrow 0} \text{ (E-PREDZERO)}$$

$$\overline{\operatorname{\mathsf{pred}}\left(\operatorname{\mathsf{succ}} nv_1\right) \longrightarrow nv_1}$$
 (E-PREDSUCC)

$$\frac{t_1 \longrightarrow t_1'}{\operatorname{pred} t_1 \longrightarrow \operatorname{pred} t_1'} \text{ (E-PRED)}$$

$$\frac{}{\mathsf{iszero}\,\mathsf{0}\longrightarrow\mathsf{true}}\;(E\text{-}\mathsf{ISZERO}\mathsf{ZERO})$$

$$\frac{}{\mathsf{iszero}\,(\mathsf{succ}\,nv_1)\longrightarrow\mathsf{false}}$$
 (E-ISZEROSUCC)

$$\frac{t_1 \longrightarrow t_1'}{\text{iszero } t_1 \longrightarrow \text{iszero } t_1'} \text{ (E-ISZERO)}$$

# **Typing Rules**

$$\frac{x:T\in\Gamma}{\Gamma\vdash x:T}$$
 (T-VAR)

$$\frac{\Gamma, x: T_1 \vdash t_2: T_2 \qquad x \notin \text{dom}(\Gamma)}{\Gamma \vdash \lambda x: T_1. t_2: T_1 \to T_2} \text{ (T-Abs)}$$

$$\frac{\Gamma \vdash t_1 : T_{11} \to T_{12} \qquad \Gamma \vdash t_2 : T_{11}}{\Gamma \vdash t_1 \ t_2 : T_{12}} \ (\text{T-App})$$

$$\frac{}{\Gamma \vdash \mathsf{true} : \mathsf{Bool}} \; (\mathsf{T}\text{-}\mathsf{TRUE})$$

$$\frac{}{\Gamma \vdash \mathsf{false} : \mathsf{Bool}}$$
 (T-FALSE)

$$\frac{\Gamma \vdash t_1 : \mathsf{Bool} \qquad \Gamma \vdash t_2 : T \qquad \Gamma \vdash t_3 : T}{\Gamma \vdash \mathsf{if} \ t_1 \, \mathsf{then} \ t_2 \, \mathsf{else} \, t_3 : T} \ (\mathsf{T}\text{-}\mathsf{IF})$$

$$\frac{}{\Gamma \vdash 0 : Nat} (T-ZERO)$$

$$\frac{\Gamma \vdash t : \mathsf{Nat}}{\Gamma \vdash \mathsf{succ}\, t : \mathsf{Nat}} \; (\mathsf{T}\text{-}\mathsf{Succ})$$

$$\frac{\Gamma \vdash t : \mathsf{Nat}}{\Gamma \vdash \mathsf{pred}\, t : \mathsf{Nat}} \; (\mathsf{T}\text{-}\mathsf{PRED})$$

$$\frac{\Gamma \vdash t : \mathsf{Nat}}{\Gamma \vdash \mathsf{iszero}\, t : \mathsf{Bool}} \; (\mathsf{T}\text{-}\mathsf{Iszero})$$

# C Reference: extending the simply typed lambda-calculus with general recursion

# **Extended Syntax**

Extended terms,  $t ::= \cdots \mid \text{fix } t$  fixed point of t

# **Extended Capture-Avoiding Substitution**

$$[x \mapsto t](\operatorname{fix} t') = \operatorname{fix}([x \mapsto t]t')$$

#### **New Evaluation Rules**

$$\overline{\mathsf{fix}\,(\lambda f\!:\!T_1.\,t_2)\longrightarrow [f\mapsto (\mathsf{fix}\,(\lambda f\!:\!T_1.\,t_2))]t_2}\;(\mathsf{E}\text{-}\mathsf{FixBeta})$$

$$\frac{t_1 \longrightarrow t_1'}{\operatorname{fix} t_1 \longrightarrow \operatorname{fix} t_1'} \text{ (E-FIX)}$$

# **New Typing Rules**

$$\frac{\Gamma \vdash t_1 : T_1 \to T_1}{\Gamma \vdash \mathsf{fix}\, t_1 : T_1} \; (\mathsf{T}\text{-}\mathsf{Fix})$$