## ITCT Lecture:7.2 Implementation of Arithmetic Codes

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- The rationale for using numbers in the interval [0,1) as a tag was that there are infinite number of numbers in this interval.
- However, in practice the number of numbers that can be uniquely represented on a machine is limited by the maximum number of digits (or bits) we can use for representing the number.
- Consider  $Newinterval_{low}$  and  $Newinterval_{high}$ . As n gets larger, these values come closer and closer together. This means that in order to represent all the subintervals uniquely, we have to increase precision as the length of the sequence increases.

- In a system with finite precision, the two values are bounded to converge, and we will lose all information about the sequence from the point at which the two values converged.
- To avoid this situation, we need to rescale the interval!
- However, we have to do it in a way that will preserve the information that is being transmitted. We could also like to perform the encoding incrementally that is, to transmit portions of the code as the sequence is being observed, rather than wait until the entire sequence has been observed before transmitting the first bit.

- As the interval becomes narrow, it is very likely that the interval containing the tag will be confined either to the upper or to the lower half of the [0,1) interval. Therefore, the most significant bit of the tag is fully determined.
- If the tag is confined to the upper half of the unit interval, the tag is a number greater than or equal to 0.5, and the first bit of the tag has to be 1.
- If the tag is confined to the lower half of the unit interval, the value of the tag is less than 0.5 and the first bit of the tag is 0.
- In this situation, we can indicate to the decoder which half the tag is confined to be sending a 1 for the upper half and a 0 for the lower half.
- The binary value that we send is also the first bit of the tag.



Once the encoder and decoder know which half contains the tag, we can ignore the half of the unit interval not containing the tag and concentrate on the half containing the tag.

As our arithmetic is of finite precision, we can do this best by mapping the half interval containing the tag to the full [0,1) interval. The mapping required are

$$E_1$$
:  $[0, 0.5) \rightarrow [0, 1) : E_1(X) = 2X$ 

$$E_2$$
: [0.5, 1)  $\rightarrow$  [0, 1) :  $E_2(X) = 2(X - 0.5)$ 



- As soon as we perform either of these mappings, we lose all information about the most significant bit. However, this should not matter as we have already sent that bit to the decoder.
- We can continue with this process, generating another bit of the tag every time the tag interval is restricted to either half of the unit interval.
- This process of generating the bits of the tag without waiting to see the entire sequence is called Incremental Encoding.

## Example:

$$p(a_1) = 0.8$$
,  $p(a_2) = 0.02$ ,  $p(a_3) = 0.18$ .

Encode the sequence  $a_1$ ,  $a_3$ ,  $a_2$ ,  $a_1$ .

Initializing  $u^{(0)}$  to 1, and  $l^{(0)}$  to 0.

The first element of the sequence,  $a_1$ , results in the following update:

$$l^{(1)} = 0 + (1 - 0) 0 = 0$$
  
 $u^{(1)} = 0 + (1 - 0) 0.8 = 0.8$ .

The interval [0, 0.8) is not confined to either the upper or lower half of the unit interval, so we proceed.



■ The second element of the sequence is  $a_3$ . This results in the following update:

$$l^{(2)} = 0 + (0.8 - 0) \times 0.82 = 0.656$$
  
 $u^{(2)} = 0 + (0.8 - 0) \times 1.0 = 0.8$ .

■ The interval [0.656, 0.8) is contained entirely in the upper half of the unit interval, so we send the binary code 1 and rescale:

$$l^{(2)} = 2 \times (0.656 - 0.5) = 0.312$$
  
 $u^{(2)} = 2 \times (0.8 - 0.5) = 0.6$ .



■ The third element,  $a_2$ , results in the following update equation:

$$l^{(3)} = 0.312 + (0.6 - 0.312) \times 0.8 = 0.5424$$
  
 $u^{(3)} = 0.312 + (0.6 - 0.312) \times 0.82 = 0.54816$ .

■ The interval for the tag is [0.5424, 0.54816), which is contained entirely in the upper half of the unit interval. We transmit a 1 and go through another rescaling:

$$l^{(3)} = 2 \times (0.5424 - 0.5) = 0.0848$$
  
 $u^{(3)} = 2 \times (0.54816 - 0.5) = 0.09632$ .



This interval is contained entirely in the lower half of the unit interval, so we send a 0 and use the  $E_1$  mapping to rescale:

$$l^{(3)} = 2 \times (0.0848) = 0.1696$$
  
 $u^{(3)} = 2 \times (0.09632) = 0.19264$ .

■ This interval is still contained entirely in the lower half of the unit interval, so we send another 0 and go through another rescaling:

$$l^{(3)} = 2 \times (0.1696) = 0.3392$$
  
 $u^{(3)} = 2 \times (0.19264) = 0.38528$ .



■ Because the interval containing the tag remains in the lower half of the unit interval, we send another 0 and rescale one more time:

$$l^{(3)} = 2 \times (0.3392) = 0.6784$$
  
 $u^{(3)} = 2 \times (0.38528) = 0.77056$ .

Now the interval containing the tag is contained entirely in the upper half of the unit interval. We transmit a 1 and rescale using the  $E_2$  mapping:

$$l^{(3)} = 2 \times (0.6784 - 0.5) = 0.3568$$
  
 $u^{(3)} = 2 \times (0.77056 - 0.5) = 0.54112$ .



The interval [0.3528, 0.54112) is not confined to either the upper or lower half of the unit interval, so we proceed. The fourth element of the sequence is  $a_1$ . This results in the following update:

$$l^{(4)} = 0.3568 + (0.54112 - 0.3568) \times 0 = 0.3568$$
  
 $u^{(4)} = 0.3568 + (0.54112 - 0.3568) \times 0.8 = 0.504256$ .

At this point, if we wish to stop encoding, all we need to do is inform the receiver of the final status of the tag value.



- We can do so by sending the binary representation of any value in the final tag interval. Generally, this value is taken to be  $l^{(n)}$ .
- In this particular example, it is convenient to use the value of 0.5.
- The binary representation of 0.5 is .100...0. Thus, we would transmit a 1 followed as many as 0's as required by the word length of the implementation being used.
- The binary sequence we sent is: 1100011.
- A binary number .1100011 corresponds to the decimal number 0.7734375.
- By Arithmetic Encoding  $\overline{F}(a_1, a_3, a_2, a_1) \in [0.7712, 0.773504)$ .



- References:
- 1. Special Issue on Q-coder (an adaptive binary arithmetic coder): IBM J. Research & Develop. Nov. 1998.
- 2. Moffat, Neal Witten, "Arithmetic Coding Revisit," ACM T. on Inform. Systems, pp. 256 – 294, July 1998.
- 3.Detlev Marpe et. al., "Context-Based Adaptive Binary Arithmetic Coding in the H.264/AVC Video Compression Standard," IEEE T. on CSVT, pp. 620 -636, July 2003. (Best Paper Award)

- 4. Detlev Marpe, et. al., "Probability Interval Partitioning Entropy Codes," submitted to IEEE T. on Information Theory.
- A novel approach to entropy coding is described that provides the coding efficiency and simple probability modeling capability of arithmetic coding at the complexity level of Huffman coding.

