

Research Ethics Screening Form for Students

Middlesex University is concerned with protecting the rights, health, safety, dignity, and privacy of its research participants. It is also concerned with protecting the health, safety, rights, and academic freedom of its students and with safeguarding its own reputation for conducting high quality, ethical research.

This Research Ethics Screening Form will enable students to self-assess and determine whether the research requires ethical review and approval via the Middlesex Online Research Ethics (MORE) form before commencing the study. Supervisors must approve this form after consultation with students.

Student Name:	Damien Maujean	Email: mm3918@live.mdx.ac.uk
Research project title:	Smart Receipt Management and Extractionb	
Programme of study/module:	Computer Science and System Engenering	
Supervisor Name:	Nivede Issur	Email: n.issur@mdx.ac.mu

<i>Please answer whether your research/study involves any of the following given below:</i>		
1. ^H ANIMALS or animal parts.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
2. ^M CELL LINES (established and commercially available cells - biological research).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
3. ^H CELL CULTURE (Primary: from animal/human cells- biological research).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
4. ^H CLINICAL Audits or Assessments (e.g. in medical settings).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
5. ^X CONFLICT of INTEREST or lack of IMPARTIALITY. If unsure see "Code of Practice for Research" (Sec 3.5) at: https://unihub.mdx.ac.uk/study/spotlights/types/research-at-middlesex/research-ethics	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
6. ^X DATA to be used that is not freely available (e.g. secondary data needing permission for access or use).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
7. ^X DAMAGE (e.g., to precious artefacts or to the environment) or present a significant risk to society).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
8. ^X EXTERNAL ORGANISATION – research carried out within an external organisation or your research is commissioned by a government (or government body).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
9. ^M FIELDWORK (e.g biological research, ethnography studies).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
10. ^H GENETICALLY MODIFIED ORGANISMS (GMOs) (biological research).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
11. ^H GENE THERAPY including DNA sequenced data (biological research).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
12. ^M HUMAN PARTICIPANTS – ANONYMOUS Questionnaires (participants not identified or identifiable).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
13. ^X HUMAN PARTICIPANTS – IDENTIFIABLE (participants are identified or can be identified): survey questionnaire/ INTERVIEWS / focus groups / experiments / observation studies.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
14. ^H HUMAN TISSUE (e.g., human relevant material, e.g., blood, saliva, urine, breast milk, faecal material).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

15. ^H ILLEGAL/HARMFUL activities research (e.g., development of technology intended to be used in an illegal/harmful context or to breach security systems, searching the internet for information on highly sensitive topics such as child and extreme pornography, terrorism, use of the DARK WEB, research harmful to national security).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
16. ^X PERMISSION is required to access premises or research participants.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
17. ^X PERSONAL DATA PROCESSING (Any activity with data that can directly or indirectly identify a living person). For example data gathered from interviews, databases, digital devices such as mobile phones, social media or internet platforms or apps with or without individuals'/owners' knowledge or consent, and/or could lead to individuals/owners being IDENTIFIED or SPECIAL CATEGORY DATA (GDPR ¹) or CRIMINAL OFFENCE DATA. ¹ Special category data (GDPR- Art.9): "personal data revealing racial or ethnic origin, political opinions, religious or philosophical beliefs, or trade union membership, and the processing of genetic data, biometric data for the purpose of uniquely identifying a natural person, data concerning health or data concerning a natural person's sex life or sexual orientation".	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
18. ^X PUBLIC WORKS DOCTORATES: Evidence of permission is required for use of works/artifacts (that are protected by Intellectual Property (IP) Rights, e.g. copyright, design right) in a doctoral critical commentary when the IP in the work/artifact is jointly prepared/produced or is owned by another body	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
19. ^H RISK OF PHYSICAL OR PSYCHOLOGICAL HARM (e.g., TRAVEL to dangerous places in your own country or in a foreign country (see https://www.gov.uk/foreign-travel-advice), research with NGOs/humanitarian groups in conflict/dangerous zones, development of technology/agent/chemical that may be harmful to others, any other foreseeable dangerous risks).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
20. ^X SECURITY CLEARANCE – required for research.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
21. ^X SENSITIVE TOPICS (e.g., anything deeply personal and distressing, taboo, intrusive, stigmatising, sexual in nature, potentially dangerous, etc).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

M – Minimal Risk; X – More than Minimal Risk. H – High Risk

If you have answered 'Yes' to ANY of the items in the table, your application **REQUIRES** ethical review and approval using the MOREform **BEFORE commencing your research**. Please apply for ethical approval using the MOREform (<https://moreform.mdx.ac.uk/>). Consult your supervisor for guidance. Also see *Middlesex Online Research Ethics* (MyLearning area) and www.tiny.cc/mdx-ethics (CS students).

If you have answered 'No' to ALL of the items in the table, your application is Low Risk and you may NOT require ethical review and approval using the MOREform before commencing your research. Your research supervisor will confirm this below.

Student Signature:.......... Date:....2024-01-9.....

To be completed by the supervisor:

Based on the details provided in the self-assessment form, I confirm that:	Insert Y or N
The study is Low Risk and <i>does not require</i> ethical review & approval using the MOREform	Y
The study <i>requires</i> ethical review and approval using the MOREform.	N

Supervisor Signature:.......... Date:2024-01-09.....