

Probing BSM effects in $e^+e^- \rightarrow WW$ with machine learning

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Current work with Lingfeng Li and Jiayin Gu



- ▶ How to probe beyond the standard model physics?
- ▶ Why do we study the process of diboson?
- ▶ Why it is necessary to use Machine Learning Method?

Big Picture

- ▶ Build large colliders \rightarrow high energy \rightarrow discover new particles!
- ▶ Build a larger collider?
- ▶ No guaranteed discovery!

Big Picture

- ▶ Build large colliders \rightarrow high energy \rightarrow discover new particles!



do precision measurements \rightarrow discover new physics indirectly!

- ▶ Build a larger collider?
- ▶ No guaranteed discovery!
- ▶ Higgs Factory! (CEPC, ILC, etc)
- ▶ Standard Model Effective Field Theory (SMEFT)

The Standard Model Effective Field Theory

- ▶ $[\mathcal{L}_{SM}] \leq 4$. Why?
 - ▶ Renormalizable
 - ▶ Higher dimensional operators are fine as long as we are happy with finite precision in perturbative calculation.
- ▶ Assuming Baryon and Lepton numbers are conserved,

$$\mathcal{L}_{SMEFT} = \mathcal{L}_{SM} + \sum_i \frac{c_i^{(6)}}{\Lambda^2} \mathcal{O}_i^{(6)} + \sum_j \frac{c_j^{(8)}}{\Lambda^4} \mathcal{O}_j^{(8)} + \dots$$

- ▶ If $\Lambda \gg E, v$, then SM + dimension-6 operators are sufficient to parameterize the physics around the electroweak scale.

The Standard Model Effective Field Theory

X^3		φ^6 and $\varphi^4 D^2$	$\psi^2 \varphi^3$		
Q_G	$f^{ABC} G_{\mu\nu}^A G_{\nu\rho}^B G_{\rho\mu}^C$	Q_{φ^3}	$(\varphi^1 \varphi)(\bar{l}_r \gamma^\mu l_r)$		
$Q_{\tilde{G}}$	$f^{ABC} \tilde{G}_{\mu\nu}^A G_{\nu\rho}^B G_{\rho\mu}^C$	$Q_{\varphi\Box}$	$(\varphi^1 \varphi)\Box(\varphi^1 \varphi)$		
Q_W	$\varepsilon^{IJK} W_{\mu\nu}^I W_{\nu\rho}^J W_{\rho\mu}^K$	$Q_{\varphi D}$	$(\varphi^1 D^\mu \varphi)^*(\varphi D_\mu \varphi)$		
$Q_{\tilde{W}}$	$\varepsilon^{IJK} \tilde{W}_{\mu\nu}^I W_{\nu\rho}^J W_{\rho\mu}^K$		$Q_{\tilde{q}\varphi}$	$(\varphi^1 \varphi)(\tilde{q}_L d_\mu \varphi)$	
$X^2 \varphi^2$		$\psi^2 X \varphi$	$\psi^2 \varphi^2 D$		
$Q_{\varphi G}$	$\varphi^1 \varphi G_{\mu\nu}^A G^{A\mu\nu}$	$Q_{\tilde{q}W}$	$(\bar{l}_r \sigma^{\mu\nu} e_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\tilde{q}^3}^{(1)}$	$(\varphi^1 \tilde{D}_\mu^2 \varphi)(\bar{l}_r \gamma^\mu l_r)$
$Q_{\varphi \tilde{G}}$	$\varphi^1 \varphi \tilde{G}_{\mu\nu}^A G^{A\mu\nu}$	$Q_{\tilde{e}B}$	$(\bar{l}_r \sigma^{\mu\nu} e_r) \varphi B_{\mu\nu}$	$Q_{\tilde{q}^3}^{(2)}$	$(\varphi^1 \tilde{D}_\mu^2 \varphi)(\bar{l}_r \gamma^\mu \gamma^L l_r)$
$Q_{\varphi W}$	$\varphi^1 \varphi W_{\mu\nu}^I W^{I\mu\nu}$	$Q_{\tilde{u}G}$	$(\tilde{q}_r \sigma^{\mu\nu} T^A u_r) \tilde{\varphi} G_{\mu\nu}^A$	$Q_{\tilde{q}^3}^{(3)}$	$(\varphi^1 \tilde{D}_\mu^2 \varphi)(\tilde{e}_r \gamma^\mu e_r)$
$Q_{\varphi \tilde{W}}$	$\varphi^1 \varphi \tilde{W}_{\mu\nu}^I W^{I\mu\nu}$	$Q_{\tilde{u}W}$	$(\tilde{q}_r \sigma^{\mu\nu} u_r) \tau^I \tilde{\varphi} W_{\mu\nu}^I$	$Q_{\tilde{q}^3}^{(4)}$	$(\varphi^1 \tilde{D}_\mu^2 \varphi)(\tilde{q}_r \gamma^\mu q_r)$
$Q_{\varphi B}$	$\varphi^1 \varphi B_{\mu\nu} B^{\mu\nu}$	$Q_{\tilde{u}B}$	$(\tilde{q}_r \sigma^{\mu\nu} u_r) \tilde{\varphi} B_{\mu\nu}$	$Q_{\tilde{q}^3}^{(5)}$	$(\varphi^1 \tilde{D}_\mu^2 \varphi)(\tilde{q}_r \tau^I \gamma^\mu q_r)$
$Q_{\varphi \tilde{B}}$	$\varphi^1 \varphi \tilde{B}_{\mu\nu} B^{\mu\nu}$	$Q_{\tilde{d}G}$	$(\tilde{q}_r \sigma^{\mu\nu} T^A d_r) \varphi G_{\mu\nu}^A$	$Q_{\tilde{q}^3}^{(6)}$	$(\varphi^1 \tilde{D}_\mu^2 \varphi)(\tilde{q}_r \gamma^\mu u_r)$
$Q_{\varphi WB}$	$\varphi^1 \varphi^I \varphi W_{\mu\nu}^I B^{\mu\nu}$	$Q_{\tilde{d}W}$	$(\tilde{q}_r \sigma^{\mu\nu} d_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\tilde{q}^3}^{(7)}$	$(\varphi^1 \tilde{D}_\mu^2 \varphi)(\tilde{d}_r \gamma^\mu d_r)$
$Q_{\varphi \tilde{W}B}$	$\varphi^1 \varphi^I \varphi \tilde{W}_{\mu\nu}^I B^{\mu\nu}$	$Q_{\tilde{d}B}$	$(\tilde{q}_r \sigma^{\mu\nu} d_r) \varphi B_{\mu\nu}$	$Q_{\tilde{q}^3}^{(8)}$	$\tilde{\varphi}(\tilde{d}_r \sigma^{\mu\nu} u_r)(\tilde{q}_r \gamma^\mu d_r)$

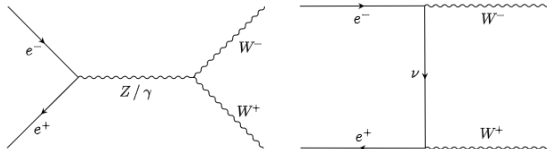
$(\bar{L}L)(\bar{L}L)$		$(\bar{R}R)(\bar{R}R)$	$(\bar{L}L)(\bar{R}R)$
Q_{ll}	$(\bar{l}_r \gamma_\mu l_r)(\bar{l}_r \gamma^\mu l_r)$	Q_{ee}	$(\bar{e}_r \gamma_\mu e_r)(\bar{e}_r \gamma^\mu e_r)$
$Q_{ll}^{(2)}$	$(\bar{q}_r \gamma_\mu q_r)(\bar{q}_r \gamma^\mu q_r)$	Q_{uu}	$(\bar{u}_r \gamma_\mu u_r)(\bar{u}_r \gamma^\mu u_r)$
$Q_{ll}^{(3)}$	$(\bar{q}_r \gamma_\mu \tau^I q_r)(\bar{q}_r \gamma^\mu \tau^I q_r)$	Q_{dd}	$(\bar{d}_r \gamma_\mu d_r)(\bar{d}_r \gamma^\mu d_r)$
$Q_{ll}^{(4)}$	$(\bar{l}_r \gamma_\mu l_r)(\bar{q}_r \gamma^\mu q_r)$	Q_{ue}	$(\bar{u}_r \gamma_\mu u_r)(\bar{e}_r \gamma^\mu e_r)$
$Q_{ll}^{(5)}$	$(\bar{l}_r \gamma_\mu \tau^I l_r)(\bar{q}_r \gamma^\mu \tau^I q_r)$	Q_{ud}	$(\bar{u}_r \gamma_\mu u_r)(\bar{d}_r \gamma^\mu d_r)$
		$Q_{ue}^{(1)}$	$(\bar{q}_r \gamma_\mu q_r)(\bar{e}_r \gamma^\mu e_r)$
		$Q_{ue}^{(2)}$	$(\bar{q}_r \gamma_\mu \tau^I q_r)(\bar{e}_r \gamma^\mu \tau^I e_r)$
		$Q_{ue}^{(3)}$	$(\bar{q}_r \gamma_\mu T^A q_r)(\bar{e}_r \gamma^\mu T^A e_r)$
		$Q_{ue}^{(4)}$	$(\bar{q}_r \gamma_\mu T^A q_r)(\bar{e}_r \gamma^\mu T^A e_r)$
		$Q_{ue}^{(5)}$	$(\bar{q}_r \gamma_\mu T^A q_r)(\bar{e}_r \gamma^\mu T^A e_r)$
$(\bar{L}R)(\bar{R}L)$ and $(\bar{L}R)(\bar{L}R)$		B -violating	
Q_{leq}	$(\bar{l}_r^c e_r)(d_r d_r^c)$	Q_{dss}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(d_\alpha^c)^T C u_\beta^c] [(q_\gamma^c)^T C t_j^c]$
$Q_{leq}^{(1)}$	$(\bar{q}_r^c u_r) \varepsilon_{jk} (\bar{q}_k^c d_r)$	Q_{euu}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(q_\alpha^c)^T C q_\beta^c] [(u_\gamma^c)^T C e_j]$
$Q_{leq}^{(8)}$	$(\bar{q}_r^c T^A u_r) \varepsilon_{jk} (\bar{q}_k^c T^A d_r)$	$Q_{euu}^{(8)}$	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(q_\alpha^c)^T C q_\beta^c] [(u_\gamma^c)^T C t_j^c]$
$Q_{leq}^{(1)}$	$(\bar{l}_r^c e_r) \varepsilon_{jk} (\bar{q}_k^c u_r)$	$Q_{dss}^{(1)}$	$\varepsilon^{\alpha\beta\gamma} [(d_\alpha^c)^T C u_\beta^c] [(u_\gamma^c)^T C e_j]$
$Q_{leq}^{(2)}$	$(\bar{l}_r^c \sigma_{\mu\nu} e_r) \varepsilon_{jk} (\bar{q}_k^c \sigma^{\mu\nu} u_r)$		

- Write down all D6 operators, eliminate redundant ones via field redefinition, integration by parts, equations of motion...
- 59 operators (76 parameters) for 1 generation, or 2499 parameters for 3 generations. [arXiv:1008.4884] Grzadkowski, Iskrzyński, Misiak, Rosiek, [arXiv:1312.2014] Alonso, Jenkins, Manohar, Trott.

Why Diboson

- ▶ Diboson is an important part of the precision measurement program
- ▶ Connected to the higgs couplings in the SMEFT frame
- ▶ Can be measured very well at Higgs factories

EFT Parameterization

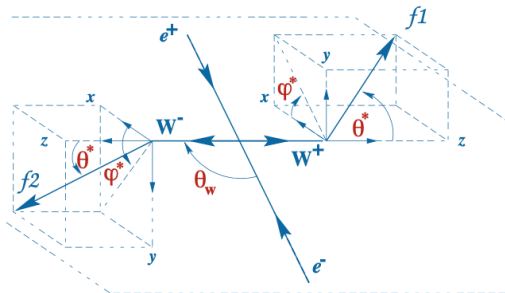


- ▶ Focusing on tree-level CP-even dimension-6 contributions
- ▶ $e^-e^+ \rightarrow WW$ can be parameterized by

$$\delta g_{1,Z}, \delta \kappa_\gamma, \lambda_Z, \delta g_{Z,L}^{ee}, \delta g_{Z,R}^{ee}, \delta g_W^{e\nu}, \delta m_W$$

- ▶ m_W is better constrained, so we can simply set $\delta m_W = 0$

$e^-e^+ \rightarrow WW$ with Histogram



- ▶ The TGCs are sensitive to the differential distributions
 - ▶ One could do a fit to the binned distributions of all angles.
 - ▶ Not the most efficient way of extracting information.
 - ▶ Correlations among angles are sometimes ignored.

$e^-e^+ \rightarrow WW$ with Optimal Observable

► What are Optimal Observables?

Diehl, M., Nachtmann, O., 1994. Zeitschrift Für Physik C Part Fields 62, 397–411.

- In the limit of large statistics (everything is Gaussian) and small parameters (linear contribution dominates), the best possible reaches can be derived analytically!

$$\frac{d\sigma}{d\Omega} = S_0 + \sum_i S_{1,i} g_i, \quad c_{ij}^{-1} = \int d\Omega \frac{S_{1,i} S_{1,j}}{S_0} \cdot \mathcal{L}$$

$e^-e^+ \rightarrow WW$ with Optimal Observable

- ▶ What are Optimal Observables?

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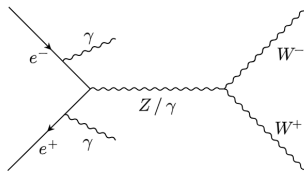
- ▶ In the limit of large statistics (everything is Gaussian) and small parameters (linear contribution dominates), the best possible reaches can be derived analytically!

$$\frac{d\sigma}{d\Omega} = S_0 + \sum_i S_{1,i} g_i, \quad c_{ij}^{-1} = \int d\Omega \frac{S_{1,i} S_{1,j}}{S_0} \cdot \mathcal{L}$$

- ▶ The optimal observable is a function of 5 angles and is given by $\mathcal{O}_i = \frac{S_{1,i}}{S_0}$

Systematic Effects

- ▶ Initial state radiation



- ▶ Jet smearing
- ▶ Detect effects
 - ▶ final state jets can not be distinguished
 - ▶ neutrino cannot be directly measured
- ▶ They are systematic effects

Systematic Effects

- ▶ In simulation, systematic effects can't be ignored

Systematic Effects

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- ▶ Analytical methods become more difficult and time consuming when we include more realistic effects.
- ▶ Naively applying optimal observables could lead to a bias

Systematic Effects

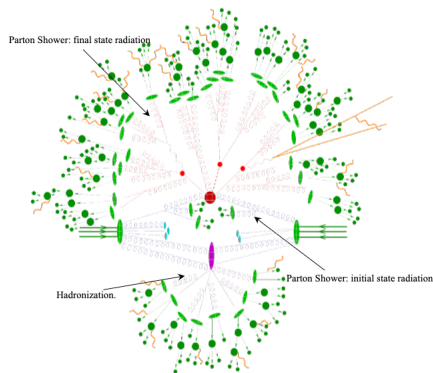
- ▶ In simulation, systematic effects can't be ignored
- ▶ Analytical methods become more difficult and time consuming when we include more realistic effects.
- ▶ Naively applying optimal observables could lead to a bias
- ▶ New method in need

Likelihood Inference

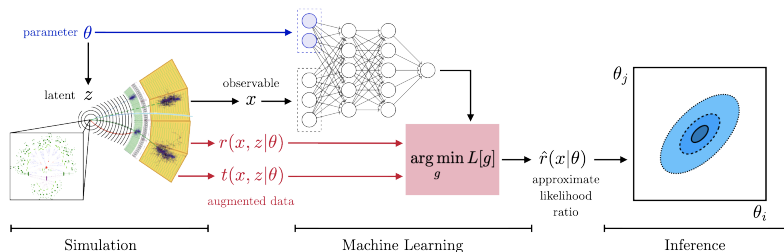
- ▶ Neyman-Pearson lemma says the best statistics to test new physics is the likelihood ratio given data x and theory parameters θ_1 and θ_0

$$r(x|\theta_0, \theta_1) = \frac{p(x|\theta_0)}{p(x|\theta_1)}$$

- ▶ The key thing is $r(x|\theta_0, \theta_1)$
- ▶ Analytical methods always computational consuming and ignore systematic effects



Likelihood Inference



- ▶ Johann Brehmer, etc develop new simulation-based inference techniques that are tailored to the structure of particle physics processes. [\[arXiv:1805.00013\]](#) Brehmer, J, Cranmer, K, Louppe, G, Pavez, J
- ▶ Machine Learning method can extract more information from x to predict the likelihood ratio

Particle-Physics Structure

- ▶ The likelihood function can be written as

$$p(x|\theta) = \int dz \, p(x, z|\theta) = \int dz \, p(x|z)p(z|\theta)$$

- ▶ Here $p(z|\theta) = 1/\sigma(\theta)d\sigma/dz$ is the parton level density distribution.
- ▶ $p(x|z)$ describes the probabilistic evolution from the parton-level four-momenta to observable particle properties

$$p(x|z) = \int dz_d \int dz_s \int dz \, p(x|z_d)p(z_d|z_s)p(z_s|z)$$

Particle Structure

- ▶ We can extract more information from the simulator by defining joint likelihood ratio and joint score

$$r(x, z|\theta_0, \theta_1) = \frac{p(x|z)p(z|\theta_0)}{p(x|z)p(z|\theta_1)} = \frac{p(z|\theta_0)}{p(z|\theta_1)}$$

$$\alpha(x, z|\theta_0, \theta_1) = \nabla_{\theta_0} r(x, z|\theta_0, \theta_1)|_{\theta_0=\theta_1}$$

- ▶ The loss function is

$$\mathcal{L}[\hat{g}(x)] = \int dx dz p(x, z|\theta) |g(x, z) - \hat{g}(x)|^2$$

- ▶ The loss function is minimized when $g(x, z) = \hat{g}(x)$

ML Algorithm: ALICE

- ▶ Approximate likelihood with improved crossentropy estimator
- ▶ Directly predict the likelihood ratio
- ▶ Loss function \mathcal{L} is

$$\mathcal{L}(\hat{s}) \propto \sum_x [s(x, z|\theta_0, \theta_1) \log(\hat{s}(x)) + (1 - s(x, z|\theta_0, \theta_1)) \log(1 - \hat{s}(x))]$$

- ▶ Here $s(x, z|\theta_0, \theta_1) = \frac{1}{1+r(x,z|\theta_0,\theta_1)}$
- ▶ $\hat{r}(x|\theta_0, \theta_1)$ can be reconstructed by $\hat{s}(x) = \frac{1}{1+\hat{r}(x|\theta_0,\theta_1)}$

ML Algorithm: SALLY

- ▶ Score **a**pproximates **l**ikelihood **l**ocally
- ▶ likelihood ratio can also be parameterized by Wilson coefficients

$$\hat{r}(x, \theta) = 1 + \sum_i \hat{\alpha}_i(x) \theta_i$$

- ▶ And we can predict α_i term as well
- ▶ Loss function \mathcal{L} is

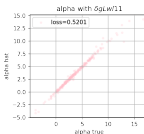
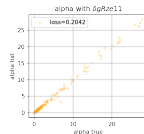
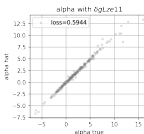
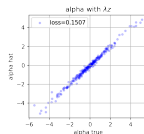
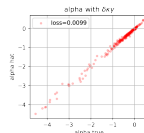
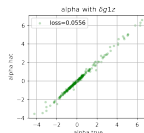
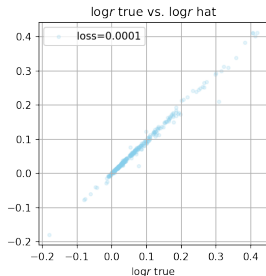
$$\mathcal{L} \propto \sum_i |\hat{\alpha}_i(x) - \alpha_i(x, z | \theta_0, \theta_1)|^2$$

Prediction of Likelihood Ratio:ALICE



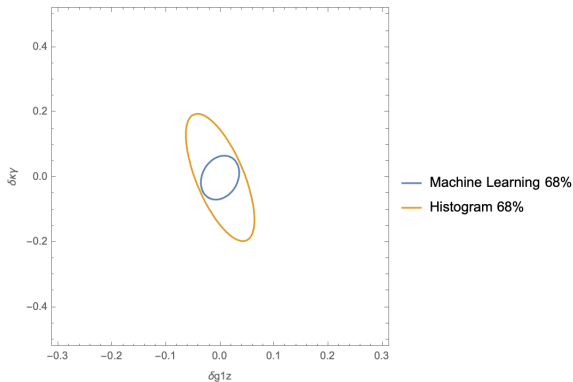
- ▶ ALICE method offers a precise way to predict the likelihood ratio directly.

Prediction of Likelihood Ratio:SALLY



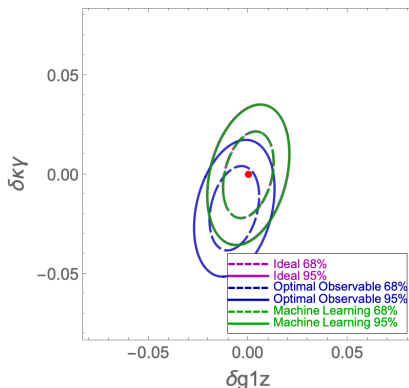
- We can construct the $\hat{r}(x, \theta)$ by predicting the alpha term and give an analytical expression of $\hat{r}(x, \theta)$

Estimation of the Boundary: Compared with Histogram



- ▶ no bias
- ▶ precise bounds along individual directions
- ▶ weak constraints in other directions

Estimation of the Boundary: Compared with OO



- ▶ Once you get the $\hat{r}(x|\theta)$, $\chi^2 = -2 \sum_i \log(\hat{r}(x_i|\theta))$
- ▶ The χ^2 analysis shows that ML method can correct the large bias and give a strong constrain on the model parameters.

Conclusion

- ▶ Future colliders will generate large amount of data, ML will benefit it a lot
- ▶ By machine learning, we can construct 6D likelihood ratio to improve the global fit result
- ▶ Machine Learning can easily take care of systematic effects as long as the MC simulation is accurate.
- ▶ Machine learning is (likely to be) the future

Thanks!

Backup Slides: $e^-e^+ \rightarrow WW$ parameterization

$$\begin{aligned}\mathcal{L}_{TGC} = & ig s_{\theta_w} A^\mu (W^{-\nu} W_{\mu\nu}^+ - W_{\mu\nu}^{+\nu}) \\ & + ig(1 + \delta g_1^Z) c_{\theta_w} Z^\mu (W^{-\nu} W_{\mu\nu}^+ - W_{\mu\nu}^{+\nu}) \\ & + ig[(1 + \delta \kappa_Z) c_{\theta_w} Z^{\mu\nu} + (1 + \delta \kappa_\gamma) s_{\theta_w} A^{\mu\nu}] W_\mu^- W_\nu^+ \\ & + \frac{ig}{m_W^2} (\lambda_Z c_{\theta_w} Z^{\mu\nu} + \lambda_\gamma s_{\theta_w} A^{\mu\nu} W_\nu^{-\rho} W_{\rho\mu}^+)\end{aligned}$$

- ▶ Imposing Gauge invariance one obtains $\delta \kappa_Z = \delta g_{1,Z} - t_{\theta_w}^2 \delta \kappa_\gamma$ and $\lambda_Z = \lambda_\gamma$
- ▶ $\delta g_{1,Z}, \delta \kappa_\gamma, \lambda_Z, \delta g_{Z,L}^{ee}, \delta g_{Z,R}^{ee}, \delta g_W^{e\nu}, \delta m_W$