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# DEEPlasma GENE Surrogate Model for Tokamak Pedestal Plasma



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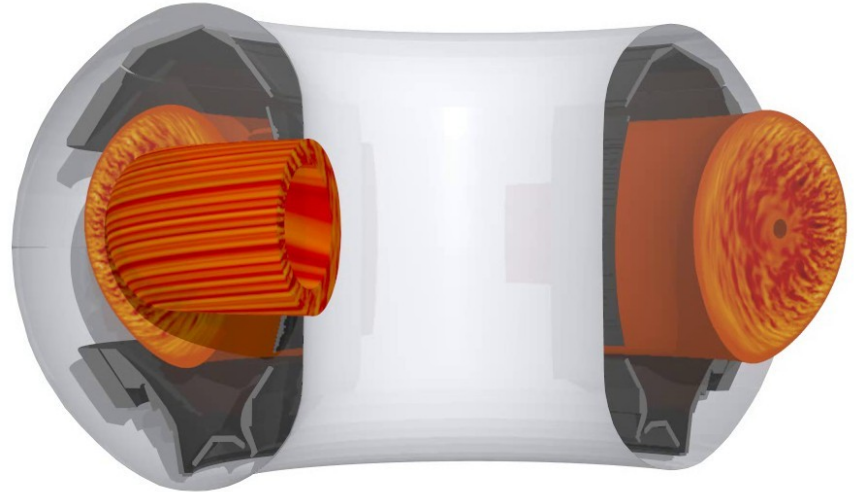
# Introduction to GENE and microturbulence

# Overview

- What is GENE
- Micro Instabilities as Perturbations
- Flux Tube Geometry
- Linear GENE  $k_y$  spectrum
- Quasi Linear
- GENE inputs and outputs

# What is GENE I

- It is very important to understand **particle and heat transport** to minimise energy losses for fusion **performance**, but also for **fuelling** and **impurity purging**
- The most dominant method for heat and particle transport is **micro-turbulence**
- **Non-linear** GENE can simulate micro-turbulence to compute **heat and particle flux**
- **Linear** GENE can be used to **estimate** the heat and particle flux using a saturation rule, called quasilinear (more later)



genecode.org

# What is GENE II

- Gene is a gyrokinetic code that aims to solve the gyrokinetic Vlasov Maxwell equation; which describes the evolution of the particle distribution.

Terms vanishing due to  $\beta = 0$ , drop nonlinearity

$$\begin{aligned} \frac{\partial g_j}{\partial t} + \frac{1}{B_{\text{ref}}} \frac{B_0}{B_{0\parallel}^*} \left( \frac{v_{\parallel}^2}{\Omega_j} + \frac{\mu B_0}{m_j \Omega_j} \right) \left[ \left( \frac{\partial B_0}{\partial x} - \kappa_2 \frac{\partial B_0}{\partial z} \right) \Gamma_{jy} - \left( \frac{\partial B_0}{\partial y} + \kappa_1 \frac{\partial B_0}{\partial z} \right) \Gamma_{jx} \right] + \frac{B_{\text{ref}}}{JB_0} v_{\parallel} \Gamma_{jz} \\ - \frac{F_{j0}}{B_{\text{ref}}} \frac{B_0}{B_{0\parallel}^*} \left[ L_n^{-1} + \left( \frac{m_j v_{\parallel}^2}{2T_{j0}} + \frac{\mu B_0}{T_{j0}} - \frac{3}{2} \right) L_{Tj}^{-1} \right] \left[ c \frac{\partial \chi}{\partial y} + \left( \frac{v_{\parallel}^2}{\Omega_j} + \frac{\mu B_0}{m_j \Omega_j} \right) \left( \frac{\partial B_0}{\partial y} + \kappa_1 \frac{\partial B_0}{\partial z} \right) \right] \\ + \frac{1}{B_{\text{ref}}} \frac{B_0}{B_{0\parallel}^*} \frac{4\pi v_{\parallel}^2}{B_0 \Omega_j} \frac{\partial p_{j0}}{\partial x} \Gamma_{jy} - \frac{B_{\text{ref}}}{JB_0} \frac{\mu}{m_j} \frac{\partial B_0}{\partial z} \frac{\partial f_j}{\partial v_{\parallel}} + \frac{c F_{j0}}{B_{\text{ref}}} \frac{B_0}{B_{0\parallel}^*} \left( \frac{\partial \chi}{\partial x} \Gamma_{jy} - \frac{\partial \chi}{\partial y} \Gamma_{jx} \right) = \frac{\partial f_j}{\partial t} \Big|_{\text{coll}} \end{aligned}$$

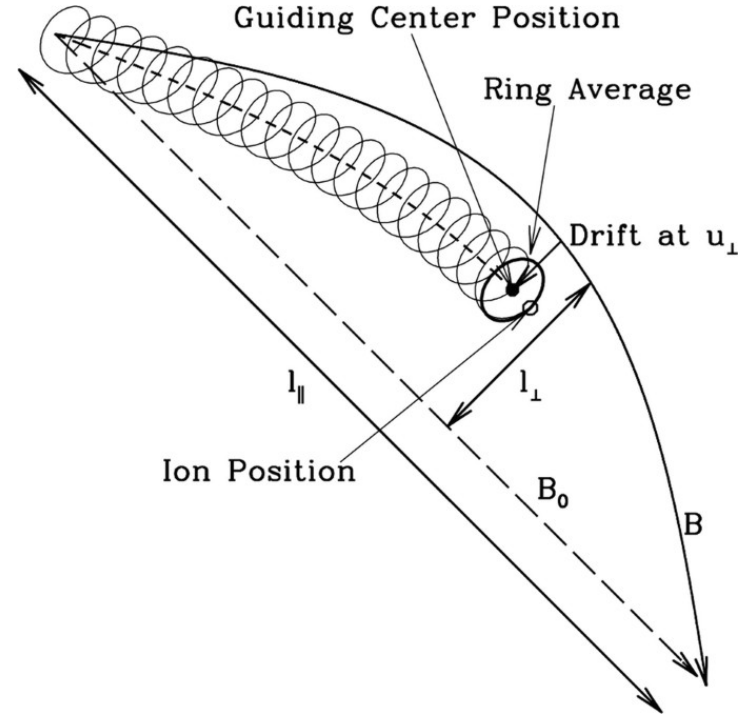
$$g_j = f_j - \frac{q_j}{m_j c} \bar{A}_{\parallel} \frac{\partial F_{j0}}{\partial v_{\parallel}} \quad \chi = \bar{\Phi} - \frac{v_{\parallel}}{c} \bar{A}_{\parallel} + \frac{\mu}{q_j} \bar{B}_{\parallel} \quad \Phi = \frac{C_3 \mathcal{M}_{00} - C_2 \mathcal{M}_{01}}{C_1 C_3 - C_2^2} \quad B_{\parallel} = \frac{C_1 \mathcal{M}_{01} - C_2 \mathcal{M}_{00}}{C_1 C_3 - C_2^2}$$

$$\mathcal{M}_{00} = \sum_j \frac{2q_j}{m_j} \pi B_0 \int J_0 f_j dv_{\parallel} d\mu \quad \mathcal{M}_{01} = \sum_j \frac{q_j \pi (2B_0/m_j)^{3/2}}{ck_{\perp}} \int \mu^{1/2} J_1 f_j dv_{\parallel} d\mu$$

$$C_1 = \frac{k_{\perp}^2}{4\pi} + \sum_j \frac{q_j^2 n_{j0}}{T_{j0}} (1 - \Gamma_0) \quad C_2 = - \sum_j \frac{q_j n_{j0}}{B_0} (\Gamma_0 - \Gamma_1) \quad C_3 = - \frac{1}{4\pi} - \sum_j \frac{m_j n_{j0} v_{Tj}}{B_0^2} (\Gamma_0 - \Gamma_1)$$

$$A_{\parallel} = \left( \sum_j \frac{8\pi^2 q_j B_0}{m_j c} \int v_{\parallel} J_0 g_j dv_{\parallel} d\mu \right) \left( k_{\perp}^2 + \sum_j \frac{8\pi^2 q_j^2 B_0}{m_j c^2 T_{j0}} \int v_{\parallel}^2 J_0^2 F_{j0} dv_{\parallel} d\mu \right)^{-1}$$

$$\Gamma_{jk} = \partial_k g_j + \partial_{v_{\parallel}} F_{j0} \partial_k \chi_j q_j / (m_j v_{\parallel}) + \bar{A}_{\parallel} \partial_k \partial_{v_{\parallel}} F_{j0} q_j / (m_j c)$$



$$f(\vec{x}, \vec{v}) \rightarrow f(\vec{x}, \mu, v_{\parallel})$$

# Micro Instabilities as Perturbations

- Looking at the **toy problem** we get an appreciation of what the **growth-rate** of a micro instability is and the difference between **initial value solver** and **eigenvalue solver**.
- Eigen modes with **-ve** growth rate  $\gamma$  have exponentially **decreasing amplitudes**, these modes are not returned by GENE. **+ve** growth rate modes have exponentially **increasing amplitude** and these are the micro instabilities.
- After some time the **eigenmode** with the **largest growth rate will dominate**. This is the only growth rate an initial value solver can see. The **eigenvalue solver** can also return **sub-dominant modes**.
- There are an **infinite number of eigenmodes** but the number of **unstable eigenmodes are finite**. The number of eigenmodes in the simulation is dependent on the numerical resolution.
- Numerical eigenmodes** are not physically present but appear due to 'resonances of the instability with the discretisation of the space'. Great care needs to be taken to ensure there are **no unstable numerical eigenmodes**.

$$n = n_0 + n_1$$

Where  $n_0$  is the equilibrium density for a specific point in space, and  $n_1$  is a small perturbation.

Looking at a simple **toy demo** of the problem. Taylor expansion of some Physics PDE to separate linear and non-linear Terms

$$\frac{\partial n_1}{\partial t} = A n_1 + B n_1^2 \dots$$

An **Initial value solver** numerically computes the RHS of the PDE and multiplies by a small time step  $\Delta t$  to get the density at the next time step. By tracking the change in density over time we can compute the growth-rate of any instability and angular frequency.

$$n_1 = n_{1(t=0)} e^{st}$$

$$s = \gamma + i\omega$$

$$\frac{\partial}{\partial t} n_1 = \Lambda n_1$$

$$n_1 = n_{1(t=0)} e^{\gamma t} e^{i\omega t}$$

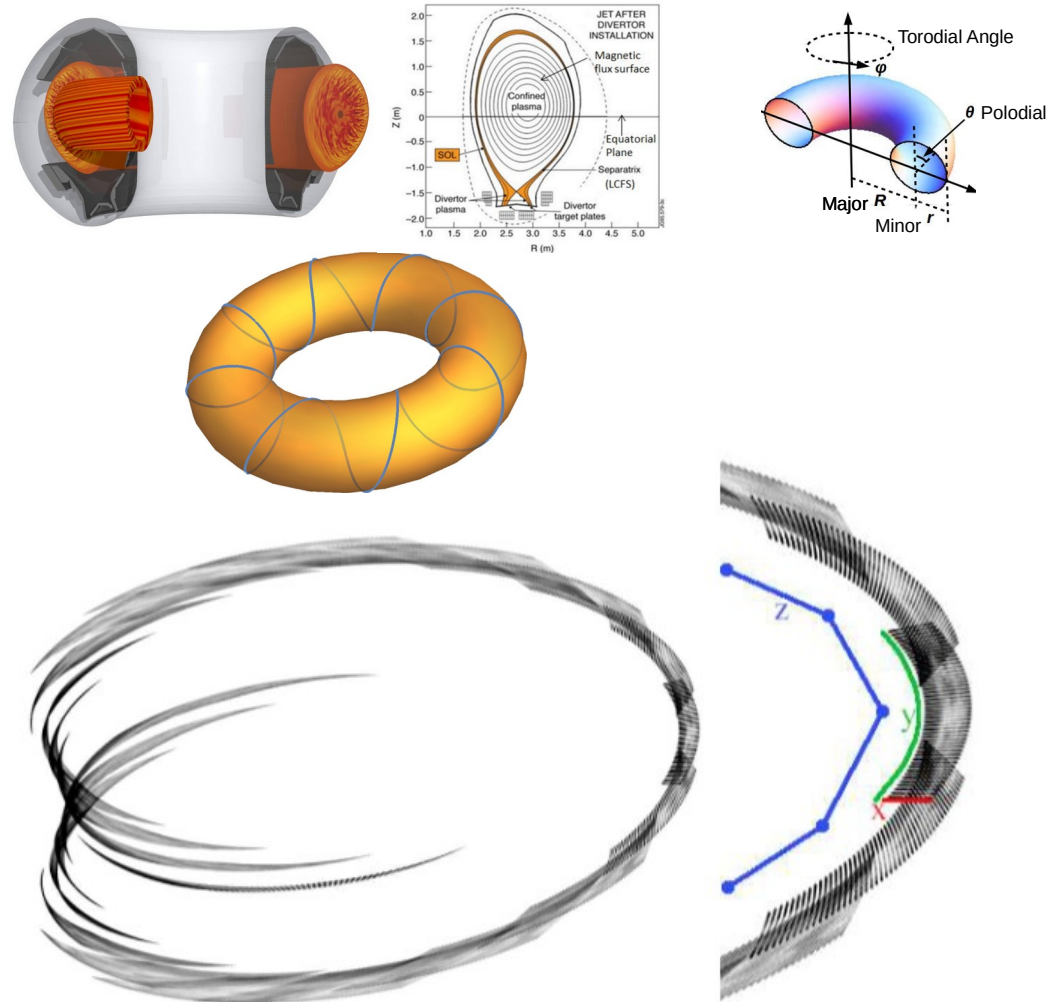
Alternatively for the Linear part we can use the wave ansatz and solve the **eigenvalue problem**. The perturbation function can be expressed as a linear combination of the eigenfunctions. After some time only the eigenmode with the largest growth rate will dominate.

$$n_1(t) = a n_1^1 + b n_1^2 + c n_1^3 \dots$$

$$n_1^1 = e^{\gamma^1 t} e^{i\omega^1 t}$$

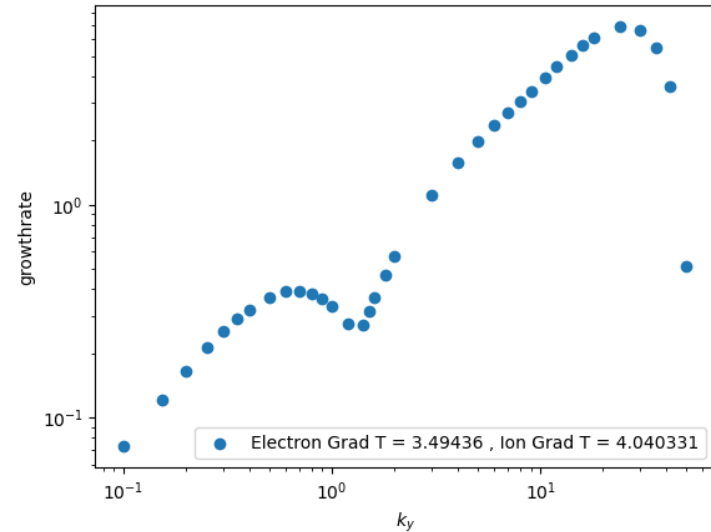
# Flux Tube Geometry

- The **toy perturbation** on the last slide was looking at a **fixed point in space**. It is often easier to solve PDE's in **fourier space**.
- GENE can use a **flux tube geometry** or global geometry. Flux tube utilises tokamak symmetry, is less computationally expensive and can completely describe a flux surface.
- The flux tube is **centred on a magnetic field line** that bites its own tail in a one or a few turns around the torus.
- $z$  is the along the magnetic field line,  $x$  is major radially outwards,  $y$  is perpendicular to both  $z$  and  $x$ , it is called the diamagnetic direction.
- We often focus on  $k_y$  why it is usually the focus and not  $k_x$  or  $k_z$ ?



# Linear GENE $k_y$ Spectrum

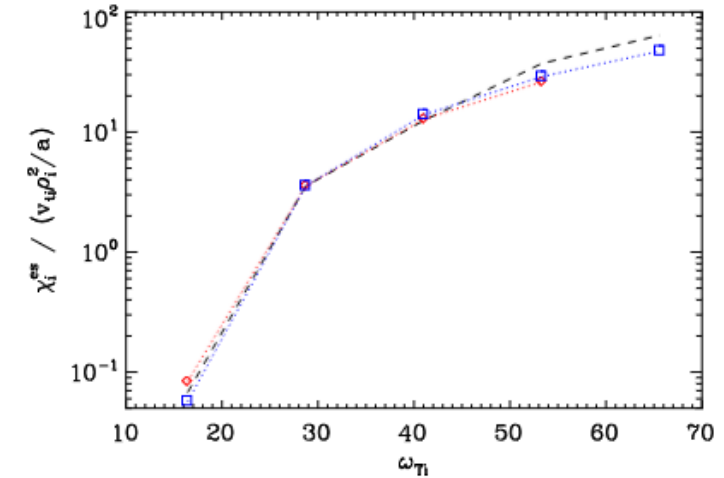
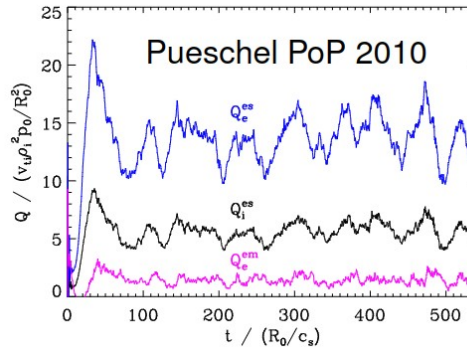
- The plot on the rights shows a **mode transition** between ITG and ETG instabilities that are driven by the ion and electron temperature gradients.
- Does each eigenmode correspond to an instability mode?
- This is the **main output of linear GENE**, growth-rate of micro-instabilities at different  $k_y$  values.





# Quasi Linear

- The main difference between linear and non-linear is that **non-linear heat and particle flux saturates**.
- Using **dimensional analysis** we can get a **rough form** for how a growth rate spectrum can be manipulated to compute the **heat flux**.
- A fudge factor (saturation rule),  $C$ , needs to be tuned for each  $k_y$  so the Quasilinear heat flux matches a non-linear heat flux or an experimental heat flux.



**Figure 16.** Quasilinear transport modeling. Nonlinear heat diffusivities are shown as a function of driving gradient as a dashed black line. Blue squares denote the quasilinear model using only modes centered at  $k_x^{\text{center}} = 0$ , whereas the model shown as red diamonds includes finite  $k_x^{\text{center}}$  modes. Both models are normalized such that they coincide with the nonlinear value at the experimental gradient of  $\omega_{Ti} = 28.72$ . The quasilinear models perform well, with small deviations appearing at the highest gradients due to proximity to the threshold for strong turbulence.

$$Q = -\frac{\nabla T}{T} \sum_{j,k_y} C(k_y) \frac{\gamma(j, k_y)}{\langle k_{\perp}(k_y, j)^2 \rangle}$$

# GENE Inputs and Outputs

## **Inputs:**

Tokamak major and minor radius

Magnetic Equilibrium  
i.e. shape of magnetic flux  
surfaces

Density and Temperature Profiles  
Or Gradients in Flux Tube

Initial particle distribution

## **Non-Linear Outputs:**

Turbulent Heat and Particle flux

## **Linear Outputs:**

Growth rate of each  $k_y$   
Angular frequency of each  $k_y$

## **Lots of Other Outputs:**

Some useful for identifying instability  
modes

Initially the surrogate will focus on one tokamak geometry and magnetic equilibrium, preferably one JET relevant. Then we would train a simple ML model to map from inputs to outputs. Eventually we want to make use of the PDE for physics informed ML.