Example script for SpatialDeltaGLMM for spatio-temporal analysis of catch-rate data

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1 Overview

This tutorial will walk through a simple example of how to use SpatialDeltaGLMM for estimating abundance indices, distribution shifts, and range expansion.

2 Getting started

First, we install necessary packages. We also have to install TMB as appropriate for the operating system (see directions elsewhere).

```
devtools::install_github("nwfsc-assess/geostatistical_delta-GLMM")
devtools::install_github("james-thorson/utilities")
```

Next load libraries.

```
library(TMB) # Can instead load library(TMBdebug)
library(SpatialDeltaGLMM)
```

2.1 Further information

If you have further questions after reading this tutorial, please explore the GitHub repo mainpage, wiki, and glossary. Also please explore the R help files, e.g., ?PlotIndex_Fn.

2.2 Related tools

Related tools for spatio-temporal fisheries analysis are currently housed at www.FishStats.org. These include VAST, a multispecies extension of SpatialDeltaGLMM, and www.FishViz.org, a tool for visualizing results using SpatialDeltaGLMM worldwide.

2.3 How to cite SpatialDeltaGLMM

SpatialDeltaGLMM has involved many publications for developing individual features. If using SpatialDeltaGLMM, please read and cite:

```
citation("SpatialDeltaGLMM")
```

```
##
## 2015 ICES J. Mar. Sci. J. Cons. and if using
## the package; 2016 Methods Ecol. Evol. if
## using the center-of-gravity metric; 2016
## Fish. Res. if using the bias-correction
## feature; 2016 Proc R Soc B if using the
## effective-area-occupied metric.
##
##
     Thorson, J.T., Shelton, A.O., Ward, E.J.,
##
     Skaug, H.J., 2015. Geostatistical
##
     delta-generalized linear mixed models
##
     improve precision for estimated abundance
##
     indices for West Coast groundfishes. ICES
##
     J. Mar. Sci. J. Cons. 72(5), 1297-1310.
##
     doi:10.1093/icesjms/fsu243. URL:
##
     http://icesjms.oxfordjournals.org/content/72/5/1297
##
##
     Thorson, J.T., and Kristensen, K. 2016.
##
     Implementing a generic method for bias
##
     correction in statistical models using
##
     random effects, with spatial and
##
     population dynamics examples. Fish. Res.
##
     175: 66-74.
##
     doi:10.1016/j.fishres.2015.11.016. url:
##
     http://www.sciencedirect.com/science/article/pii/S0165783615301399
##
##
     Thorson, J.T., Pinsky, M.L., Ward, E.J.,
     2016. Model-based inference for estimating
##
##
     shifts in species distribution, area
##
     occupied, and center of gravity. Methods
##
     Ecol. Evol. 7(8), 990-1008.
##
     doi:10.1111/2041-210X.12567. URL:
     http://onlinelibrary.wiley.com/doi/10.1111/2041-210X.12567/full
##
##
     Thorson, J.T., Rindorf, A., Gao, J.,
##
##
    Hanselman, D.H., and Winker, H. 2016.
##
    Density-dependent changes in effective
##
     area occupied for sea-bottom-associated
##
     marine fishes. Proc R Soc B 283(1840):
##
     20161853. doi:10.1098/rspb.2016.1853. URL:
     http://rspb.royalsocietypublishing.org/content/283/1840/20161853.
```

and also browse the GitHub list of packages.

3 Settings

First chose an example data set for this script, as archived with package

```
Data_Set = c("Chatham_rise_hake", "Iceland_cod", "WCGBTS_canary",
    "GSL_american_plaice", "BC_pacific_cod", "EBS_pollock",
    "GOA_Pcod", "GOA_pollock", "GB_spring_haddock",
    "GB_fall_haddock", "SAWC_jacopever", "Aleutian_islands_POP")[4]
```

Next use latest version for CPP code

```
Version = "geo_index_v4b"
```

3.1 Spatial settings

The following settings define the spatial resolution for the model, and whether to use a grid or mesh approximation

```
Method = c("Grid", "Mesh")[2]
grid_size_km = 25
n_x = c(100, 250, 500, 1000, 2000)[1] # Number of stations
Kmeans_Config = list( "randomseed"=1, "nstart"=100, "iter.max"=1e3 )
```

3.2 Model settings

The following settings define whether to include spatial and spatio-temporal variation, whether its autocorrelated, and whether there's overdispersion

3.3 Stratification for results

We also define any potential stratification of results, and settings specific to any case-study data set

```
# Default
if (Data Set %in% c("GSL american plaice", "BC pacific cod",
    "EBS_pollock", "SAWC_jacopever", "Chatham_rise_hake",
    "Aleutian islands POP")) {
    strata.limits <- data.frame(STRATA = "All_areas")</pre>
}
# Specific (useful as examples)
if (Data Set %in% c("WCGBTS canary", "Sim")) {
    # In this case, it will calculate a coastwide
    # index, and also a separate index for each state
    # (although the state lines are approximate)
    strata.limits <- data.frame(STRATA = c("Coastwide",</pre>
        "CA", "OR", "WA"), north_border = c(49, 42,
        46, 49), south_border = c(32, 32, 42, 46),
        shallow_border = c(55, 55, 55, 55), deep_border = c(1280, 55)
            1280, 1280, 1280))
    # Override default settings for vessels
    VesselConfig = c(Vessel = 0, VesselYear = 1)
}
if (Data_Set %in% c("GOA_Pcod", "GOA_pollock")) {
    # In this case, will calculating an unrestricted
```

```
# index and a separate index restricted to west of
    # -140W
    strata.limits <- data.frame(STRATA = c("All areas",
        "west_of_140W"), west_border = c(-Inf, -Inf),
        east border = c(Inf, -140))
}
if (Data_Set %in% c("GB_spring_haddock", "GB_fall_haddock")) {
    # For NEFSC indices, strata must be specified as a
    # named list of area codes
    strata.limits = list(Georges_Bank = c(1130, 1140,
        1150, 1160, 1170, 1180, 1190, 1200, 1210, 1220,
        1230, 1240, 1250, 1290, 1300))
}
if (Data_Set %in% c("Iceland_cod")) {
    strata.limits = data.frame(STRATA = "All_areas")
    # Turn off all spatial, temporal, and
    # spatio-temporal variation in probability of
    # occurrence, because they occur almost everywhere
   FieldConfig = c(Omega1 = 0, Epsilon1 = 0, Omega2 = 1,
        Epsilon2 = 1)
   RhoConfig = c(Beta1 = 3, Beta2 = 0, Epsilon1 = 0,
        Epsilon2 = 0)
}
```

3.4 Derived objects

Depending on the case study, we define a Region used when extrapolating or plotting density estimates. If its a different data set, it will define Region="Other", and this is a recognized level for all uses of Region (which attempts to define reasonable settings based on the location of sampling). For example Data_Set="Iceland_cod" has no associated meta-data for the region, so it uses Region="Other" by default.

3.5 Save settings

We then set the location for saving files.

```
DateFile = paste0(getwd(),'/SpatialDeltaGLMM_output/')
  dir.create(DateFile)
```

I also like to save all settings for later reference, although this is not necessary.

4 Prepare the data

4.1 Data-frame for catch-rate data

Depending upon the Data_Set chosen, we load archived data sets that are distributed with the package. Each archived data set is then reformatted to create a data-frame Data_Geostat with a standardized set of columns. For a new data set, the user is responsible for formatting Data_Geostat appropriately to match this format. We show the first six rows of Data Geostat given that Data Set = Data Set.

Year	Lat	Lon	Vessel	AreaSwept_km2	Catch_KG
1971	48	-64.8	missing	0.0324	129
1971	48	-65.1	$_{ m missing}$	0.0393	88.6
1971	47.9	-65.2	missing	0.0509	38.2
1971	47.9	-65.5	missing	0.037	139
1971	48	-64.6	missing	0.0509	0.786
1971	48.2	-64.5	missing	0.044	0.637

4.2 Extrapolation grid

We also generate the extrapolation grid appropriate for a given region. For new regions, we use Region="Other".

```
if (Region %in% c("California_current", "Eastern_Bering_Sea",
    "Gulf_of_Alaska", "Aleutian_Islands", "Northwest_Atlantic",
    "Gulf_of_St_Lawrence", "New_Zealand")) {
    Extrapolation_List = Prepare_Extrapolation_Data_Fn(Region = Region,
        strata.limits = strata.limits)
}
if (Region == "British Columbia") {
   Extrapolation_List = Prepare_Extrapolation_Data_Fn(Region = Region,
        strata.limits = strata.limits, strata to use = c("HS",
            "QCS"))
}
if (Region == "South_Africa") {
    Extrapolation_List = Prepare_Extrapolation_Data_Fn(Region = Region,
        strata.limits = strata.limits, region = "west_coast")
if (Region == "Other") {
    Extrapolation_List = Prepare_Extrapolation_Data_Fn(Region = Region,
        strata.limits = strata.limits, observations_LL = Data_Geostat[,
            c("Lat", "Lon")], maximum distance from sample = 15)
```

4.3 Derived objects for spatio-temporal estimation

And we finally generate the information used for conducting spatio-temporal parameter estimation, bundled in list Spatial_List

5 Build and run model

5.1 Build model

To estimate parameters, we first build a list of data-inputs used for parameter estimation. Data_Fn has some simple checks for buggy inputs, but also please read the help file ?Data_Fn.

```
TmbData = Data_Fn(Version = Version, FieldConfig = FieldConfig,
   RhoConfig = RhoConfig, ObsModel = ObsModel, b_i = Data_Geostat[,
        "Catch_KG"], a_i = Data_Geostat[, "AreaSwept_km2"],
   v_i = as.numeric(Data_Geostat[, "Vessel"]) - 1,
   s_i = Data_Geostat[, "knot_i"] - 1, t_i = Data_Geostat[,
        "Year"], a_xl = Spatial_List$a_xl, MeshList = Spatial_List$MeshList,
   GridList = Spatial_List$GridList, Method = Spatial_List$Method,
   Options = c(SD_site_density = 0, SD_site_logdensity = 0,
        Calculate_Range = 1, Calculate_evenness = 0,
        Calculate_effective_area = 1))
```

We then build the TMB object.

```
TmbList = Build_TMB_Fn(TmbData = TmbData, RunDir = DateFile,
    Version = Version, RhoConfig = RhoConfig, VesselConfig = VesselConfig,
    loc_x = Spatial_List$loc_x)
Obj = TmbList[["Obj"]]
```

5.2 Estimate fixed effects and predict random effects

Next, we use a gradient-based nonlinear minimizer to identify maximum likelihood estimates for fixed-effects

```
Opt = TMBhelper::Optimize(obj = Obj, lower = TmbList[["Lower"]],
    upper = TmbList[["Upper"]], getsd = TRUE, savedir = DateFile,
    bias.correct = FALSE)
```

Finally, we bundle and save output

```
Report = Obj$report()
Save = list("Opt"=Opt, "Report"=Report, "ParHat"=Obj$env$parList(Opt$par), "TmbData"=TmbData)
save(Save, file=pasteO(DateFile, "Save.RData"))
```

6 Diagnostic plots

We first apply a set of standard model diagnostics to confirm that the model is reasonable and deserves further attention. If any of these do not look reasonable, the model output should not be interpreted or used.

6.1 Plot data

It is always good practice to conduct exploratory analysis of data. Here, I visualize the spatial distribution of data. Spatio-temporal models involve the assumption that the probability of sampling a given location is statistically independent of the probability distribution for the response at that location. So if sampling "follows" changes in density, then the model is probably not appropriate!

```
## Warning: package 'maps' was built under R version
## 3.2.5
```

6.2 Convergence

Here I print the diagnostics generated during parameter estimation, and I confirm that (1) no parameter is hitting an upper or lower bound and (2) the final gradient for each fixed-effect is close to zero.

pander::pandoc.table(Opt\$diagnostics[,c('Param','Lower','MLE','Upper','final gradient')])

Param	Lower	MLE	Upper	$final_gradient$
ln_H_input	-50	-0.1446	50	5.084e-05
ln_H_input	-50	-0.1721	50	-7.102e-05
$beta1_t$	-50	2.715	50	8.253 e-05
$beta1_t$	-50	1.624	50	-5.51e-05
$beta1_t$	-50	1.956	50	-0.0001728
$beta1_t$	-50	3.501	50	1.707e-05
$beta1_t$	-50	2.901	50	0.0003814
$beta1_t$	-50	2.283	50	0.0001214
$beta1_t$	-50	3.217	50	5.394 e-06
$beta1_t$	-50	2.996	50	-2.909e-05
$beta1_t$	-50	2.904	50	-0.00024
$beta1_t$	-50	2.54	50	-0.0001355
$beta1_t$	-50	3.466	50	7.388e-06
$beta1_t$	-50	2.116	50	5.382e-05
$beta1_t$	-50	3.081	50	-0.0001289
$beta1_t$	-50	3.013	50	0.0004425
beta1_t	-50 -50 -50 -50 -50 -50 -50 -50	2.901 2.283 3.217 2.996 2.904 2.54 3.466 2.116 3.081	50 50 50 50 50 50 50 50 50	0.0003814 0.0001214 5.394e-06 -2.909e-05 -0.00024 -0.0001355 7.388e-06 5.382e-05 -0.0001289

Param	Lower	MLE	Upper	final_gradient
beta1_t	-50	3.417	50	4.824 e - 05
$beta1_t$	-50	4.295	50	-6.802e-06
$beta1_t$	-50	3.316	50	0.0002137
$beta1_t$	-50	3.038	50	-7.757e-05
$beta1_t$	-50	2.689	50	1.947e-05
$beta1_t$	-50	2.804	50	-2.38e-05
$beta1_t$	-50	2.789	50	1.263 e - 05
$beta1_t$	-50	2.865	50	8.166e-05
$beta1_t$	-50	2.506	50	-6.766e-06
$beta1_t$	-50	3.391	50	0.0001246
$beta1_t$	-50	2.899	50	-4.86e-05
$beta1_t$	-50	3.134	50	-9.982e-07
$beta1_t$	-50	2.423	50	4.277e-05
$beta1_t$	-50	2.981	50	2.948e-05
$beta1_t$	-50	2.521	50	1.071e-05
$beta1_t$	-50	3.168	50	-9.561e-05
$beta1_t$	-50	2.566	50	-0.0001085
$beta1_t$	-50	2.465	50	-2.593e-06
$beta1_t$	-50	3.812	50	3.913e-06
$beta1_t$	-50	2.795	50	1.769 e - 05
$beta1_t$	-50	3.103	50	-1.589e-05
$beta1_t$	-50	3.265	50	-0.0003706
$beta1_t$	-50	3.438	50	-0.0003484
$beta1_t$	-50	3.823	50	-7.733e-05
$beta1_t$	-50	3.053	50	0.0005209
$beta1_t$	-50	3.444	50	-0.0006325
$beta1_t$	-50	2.753	50	0.0001019
$beta1_t$	-50	2.564	50	9.283 e-05
$beta1_t$	-50	3.527	50	-8.946e-05
$beta1_t$	-50	3.437	50	0.0001725
$beta1_t$	-50	3.246	50	0.0001832
logetaE1	-50	-0.2503	3.34	7.353e-05
logetaO1	-50	-2.093	3.34	-0.0001432
logkappa1	-5.005	-3.458	-1.595	0.0001398
beta2_t	-50	5.718	50	0.0001852
$beta2_t$	-50	6.045	50	9.288e-05
beta2_t	-50	6.101	50	0.0001071
beta2_t	-50	6.103	50	3.7e-05
beta2_t	-50	6.322	50	-0.0002902
beta2_t	-50	6.915	50	0.0001013
beta2_t	-50	6.773	50	4.642e-06
beta2_t	-50	6.058	50	-0.000116
beta2_t	-50	6.69	50	5.229e-05
beta2_t	-50	6.386	50 50	-1.433e-05
beta2_t	-50	5.795	50	-0.0001164
beta2_t	-50	6.151	50	-7.136e-05
beta2_t	-50	6.014	50 50	8.282e-06
beta2_t	-50	5.727	50	-2.418e-05
beta2_t	-50	5.554	50 50	0.0002868
beta2_t	-50	5.654	50	-5.803e-05
beta2_t	-50	5.665	50	-2.974e-05
$beta2_t$	-50	5.825	50	-7.754e-05

Param	Lower	MLE	Upper	final_gradient
beta2_t	-50	5.475	50	2.704e-05
$beta2_t$	-50	5.966	50	-2.132e-05
$beta2_t$	-50	5.578	50	-7.426e-05
$beta2_t$	-50	5.676	50	2.72e-05
$beta2_t$	-50	5.52	50	-4.505e-05
$beta2_t$	-50	5.571	50	1.516e-05
$beta2_t$	-50	5.25	50	-1.561e-06
$beta2_t$	-50	5.162	50	-5.25e-05
$beta2_t$	-50	4.827	50	-1.639e-05
$beta2_t$	-50	5.037	50	1.428e-05
$beta2_t$	-50	5.065	50	-4.487e-05
$beta2_t$	-50	4.663	50	-4.312e-05
$beta2_t$	-50	4.508	50	-6.671e-05
$beta2_t$	-50	4.774	50	8.971e-05
$beta2_t$	-50	5.004	50	-3.301e-05
$beta2_t$	-50	4.716	50	-0.0002815
$beta2_t$	-50	4.564	50	1.298e-05
$beta2_t$	-50	4.666	50	-2.438e-05
$beta2_t$	-50	4.8	50	-5.223e-05
$beta2_t$	-50	4.761	50	3.675 e-05
$beta2_t$	-50	4.458	50	2.05 e-05
$beta2_t$	-50	4.657	50	7.195e-05
$beta2_t$	-50	4.657	50	0.0004198
$beta2_t$	-50	4.45	50	-0.0001862
$beta2_t$	-50	4.812	50	-1.334e-05
$beta2_t$	-50	4.435	50	-2.911e-05
$beta2_t$	-50	4.56	50	0.0001508
logetaE2	-50	-0.8921	3.34	-5.211e-06
logetaO2	-50	-1.578	3.34	0.0001724
logkappa2	-5.005	-3.7	-1.595	1.053e-05
logSigmaM	-50	-0.03413	10	-0.003319

6.3 Diagnostics for encounter-probability component

Next, we check whether observed encounter frequencies for either low or high probability samples are within the 95% predictive interval for predicted encounter probability

6.4 Diagnostics for positive-catch-rate component

We can visualize fit to residuals of catch-rates given encounters using a Q-Q plot. A good Q-Q plot will have residuals along the one-to-one line.

```
Q = QQ_Fn(TmbData = TmbData, Report = Report, FileName_PP = paste0(DateFile,
    "Posterior_Predictive.jpg"), FileName_Phist = paste0(DateFile,
    "Posterior_Predictive-Histogram.jpg"), FileName_QQ = paste0(DateFile,
    "Q-Q_plot.jpg"), FileName_Qhist = paste0(DateFile,
    "Q-Q_hist.jpg"))
```

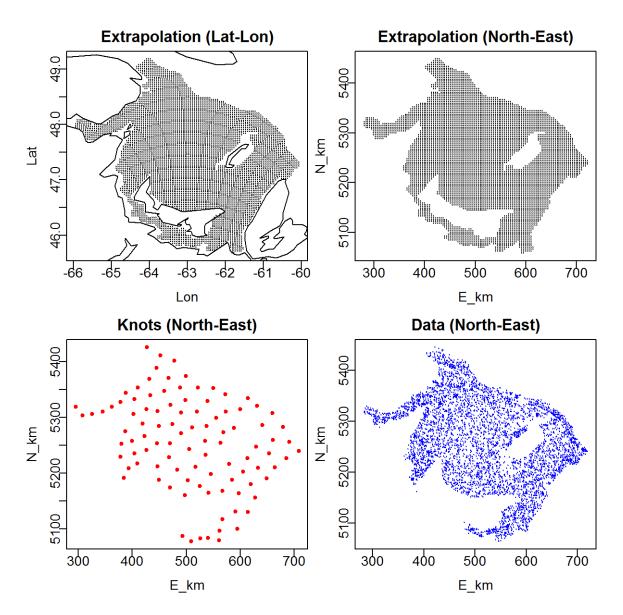


Figure 1: Spatial extent and location of knots

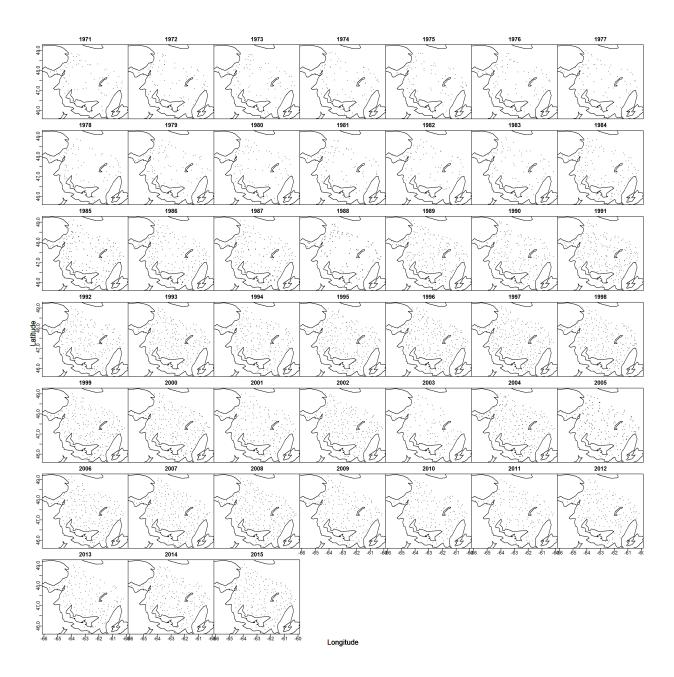


Figure 2: Spatial distribution of catch-rate data

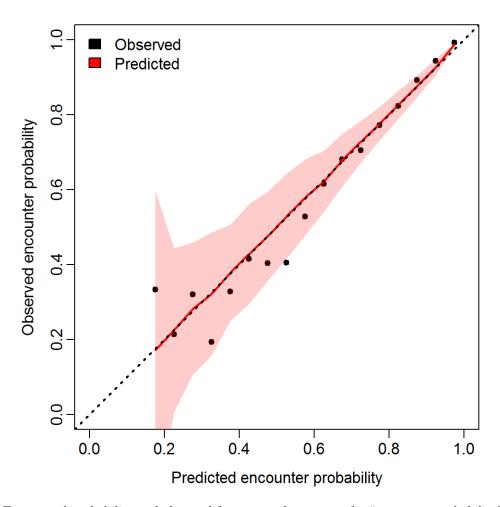


Figure 3: Expectated probability and observed frequency of encounter for "encounter probability" component

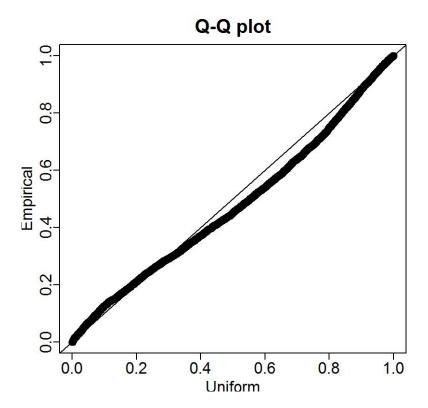


Figure 4: Quantile-quantile plot indicating residuals for "positive catch rate" component

7 Model output

Last but not least, we generate useful plots by first determining which years to plot (Years2Include), and labels for each plotted year (Year_Set)

```
Year_Set = seq(min(Data_Geostat[,'Year']), max(Data_Geostat[,'Year']))
Years2Include = which( Year_Set %in% sort(unique(Data_Geostat[,'Year'])))
```

We then run a set of pre-defined plots for visualizing results

7.1 Direction of "geometric anisotropy"

We can visualize which direction has faster or slower decorrelation (termed "geometric anisotropy")

```
PlotAniso_Fn(FileName=pasteO(DateFile, "Aniso.png"), Report=Report, TmbData=TmbData)
```

7.2 Density surface for each year

We can visualize many types of output from the model. Here I only show predicted density, but other options are obtained via other integers passed to plot_set as described in ?PlotResultsOnMap_Fn

Distance at 10% correlation Encounter probability Positive catch rates ('w') sbury Positive catch rates Eastings (km.)

Figure 5: Decorrelation distance for different directions

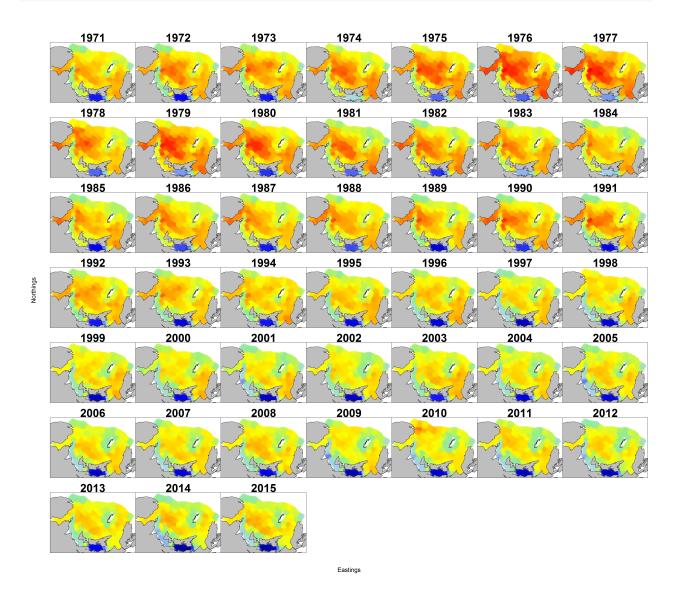


Figure 6: Density maps for each year

7.3 Index of abundance

The index of abundance is generally most useful for stock assessment models.

1971 All_areas 47684 0.1871 1972 All_areas 70145 0.1995 1973 All_areas 70018 0.1913 1974 All_areas 88608 0.1852 1975 All_areas 112462 0.1916 1976 All_areas 180955 0.1775 1977 All_areas 163790 0.1799 1978 All_areas 75802 0.1843 1979 All_areas 145901 0.1762 1980 All_areas 116147 0.1803 1981 All_areas 80664 0.182 1982 All_areas 80053 0.193 1983 All_areas 65770 0.1672 1984 All_areas 48756 0.1382	8922 13993 13396 16409 21548 32122 29458 13973 25713 20944 14678
1972 All_areas 70145 0.1995 1973 All_areas 70018 0.1913 1974 All_areas 88608 0.1852 1975 All_areas 112462 0.1916 1976 All_areas 180955 0.1775 1977 All_areas 163790 0.1799 1978 All_areas 75802 0.1843 1979 All_areas 145901 0.1762 1980 All_areas 116147 0.1803 1981 All_areas 80664 0.182 1982 All_areas 80053 0.193 1983 All_areas 65770 0.1672 1984 All_areas 48756 0.1382	13396 16409 21548 32122 29458 13973 25713 20944
1973 All_areas 70018 0.1913 1974 All_areas 88608 0.1852 1975 All_areas 112462 0.1916 1976 All_areas 180955 0.1775 1977 All_areas 163790 0.1799 1978 All_areas 75802 0.1843 1979 All_areas 145901 0.1762 1980 All_areas 116147 0.1803 1981 All_areas 80664 0.182 1982 All_areas 80053 0.193 1983 All_areas 65770 0.1672 1984 All_areas 48756 0.1382	16409 21548 32122 29458 13973 25713 20944
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1982 All_areas 80053 0.193 1983 All_areas 65770 0.1672 1984 All_areas 48756 0.1382	
1984 All_areas 48756 0.1382	15452
	10997
	6738
1985 All_areas 51918 0.1003	5207
1986 All_areas 60117 0.1099	6609
1987 All_areas 52884 0.1077	5694
1988 All_areas 58157 0.1306	7595
1989 All_areas 51245 0.1173	6011
1990 All_areas 74321 0.1124	8351
1991 All_areas 70618 0.09892	6985
1992 All_areas 51355 0.09628	4945
1993 All_areas 43180 0.1007	4346
1994 All_areas 40792 0.09314	3799
1995 All_areas 34726 0.09441	3279
1996 All_areas 34678 0.09126	3165
1997 All_areas 23678 0.08731	2067
1998 All_areas 26879 0.0891	2395
1999 All_areas 24455 0.09172	2243
2000 All_areas 22089 0.09605	2122
2001 All_areas 20399 0.1107	2259
2002 All_areas 18367 0.09544	1753
2003 All_areas 28638 0.1356	3882
2004 All_areas 19135 0.09377	1794
2005 All_areas 21246 0.08883	1887
2006 All_areas 21739 0.09886	2149
2007 All_areas 22179 0.09481	2103
2008 All_areas 25721 0.09409	2420
2009 All_areas 15483 0.1011	1566
2010 All_areas 21244 0.1065	2263
2011 All_areas 20422 0.1137	2321
2012 All_areas 16126 0.1081	1743

Year	Fleet	Estimate_metric_tons	SD_log	SD_mt
2013	All_areas	21813	0.1133	2472
2014	All_areas	21919	0.1035	2269
2015	All_areas	24343	0.1035	2520

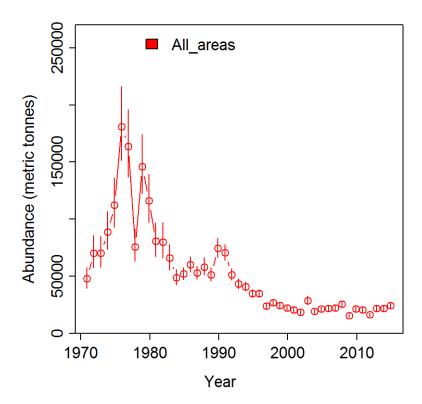


Figure 7: Index of abundance plus/minus 1 standard error

7.4 Center of gravity and range expansion/contraction

We can detect shifts in distribution or range expansion/contraction.

7.5 Vessel effects if included

Most example data-sets don't have vessel effects, so this plot is generally skipped

```
Return = Vessel_Fn(TmbData = TmbData, Sdreport = Opt[["SD"]],
    FileName_VYplot = pasteO(DateFile, "VY-effect.jpg"))
```

Not plotting vessel effects because none are present

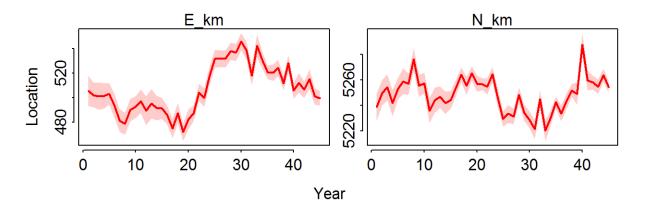


Figure 8: Center of gravity (COG) indicating shifts in distribution plus/minus 1 standard error

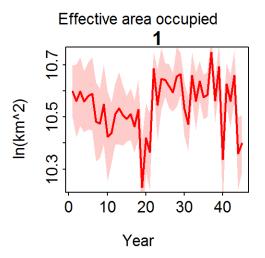


Figure 9: Effective area occupied indicating range expansion/contraction plus/minus 1 standard error