Deep Learning

Convolutional Neural Networks Hands-on



Slides by Carlos Grilo & Rolando Miragaia



CNN from scratch to recognize handwritten digits

- We will first use the MINST dataset to create, train and test a CNN from scratch to recognize handwritten digits
- Create a new notebook named

```
04_CNN_01_MNIST.ipynb
```

• Add the following cell code to mount your Google Drive on Colab:

```
from google.colab import drive
drive.mount('/content/drive')
```



Instantiating a small CNN

```
from keras import layers
from keras import models
model = models.Sequential()
model.add(layers.Conv2D(32, (3, 3), activation='relu', input_shape=(28, 28, 1)))
model.add(layers.MaxPooling2D((2, 2)))
model.add(layers.Conv2D(64, (3, 3), activation='relu'))
model.add(layers.MaxPooling2D((2, 2)))
model.add(layers.Conv2D(64, (3, 3), activation='relu'))
```

This is just the feature extraction section



- The network is composed of the following layers:
 - A convolutional layer with 32 channels (filters/feature maps) with 3x3 filters and with the ReLU activation function
 - A maxpooling layer with a 2x2 pooling window
 - A convolutional layer with 64 channels (filters/feature maps) with 3x3 filters and with the ReLU activation function
 - A maxpooling layer with a 2x2 pooling window
 - A convolutional layer with 64 channels (filters/feature maps) with 3x3 filters and with the ReLU activation function



>>> model.summary()

Layer (type)	Output Shape	Param #
conv2d_1 (Conv2D)	(None, 26, 26, 32)	320
maxpooling2d_1 (MaxPooling2D)	(None, 13, 13, 32)	0
conv2d_2 (Conv2D)	(None, 11, 11, 64)	18496
maxpooling2d_2 (MaxPooling2D)	(None, 5, 5, 64)	0
conv2d_3 (Conv2D)	(None, 3, 3, 64)	36928
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Exercise: compute these values

Total params: 55,744
Trainable params: 55,744
Non-trainable params: 0

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About the "None": https://stackoverflow.com/questions/61060736/how-to-interpret-model-summary-output-in-cnn



- (None, 26, 26, 32) means that the layer's output is a volume where each feature map has shape 26x26 and there are 32 channels ("None" -> the batch size is unknown)
- Feature maps are 26x26 because no padding is being used (default) and strides = 1 (default)
- See https://keras.io/api/layers/convolution_layers/convolution2d/

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Layer	(type)	Output	Shaj	ре		Param	#
=====							==
conv2d	_1 (Conv2D)	(None,	26,	26,	32)	320	

- The number of parameters (weights) in the first layer is 320:
 - There are 32 x 3x3 filters -> 288 parameters
 - We must add 32 bias values -> 320 parameters



conv2d_1 (Conv2D) (None, 26, 26, 32) 320	
Layer (type) Output Shape Param	#

- (None, 13, 13, 32) because padding is not being used (default) and strides=2 (by default, strides = pooling window dimensions)
- Max pooling layers don't have associated parameters
- See https://keras.io/api/layers/pooling_layers/max_pooling2d/

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Instantiating a small CNN

We now instantiate the classification section (dense network)

```
model.add(layers.Flatten())
model.add(layers.Dense(64, activation='relu'))
model.add(layers.Dense(10, activation='softmax'))
```



>>> model.summary()		
Layer (type)	Output Shape	Param #
conv2d_1 (Conv2D)	(None, 26, 26, 32)	
maxpooling2d_1 (MaxPooling2D)	(None, 13, 13, 32)	0
conv2d_2 (Conv2D)	(None, 11, 11, 64)	18496
maxpooling2d_2 (MaxPooling2D)	(None, 5, 5, 64)	0
conv2d_3 (Conv2D)	(None, 3, 3, 64)	36928
flatten_1 (Flatten)	(None, 576)	0 \
dense_1 (Dense)	(None, 64)	36928
dense_2 (Dense)	(None, 10)	650
Total params: 93,322		
Trainable params: 93,322		
Non-trainable params: 0		

The 3x3x64 output of the last convolutional layer is flattened into a one-dimensional array of 576 elements

Flatten layers don't have associated parameters



Training the network

```
from keras.datasets import mnist
from keras.utils import to_categorical
(train_images, train_labels), (test_images, test_labels) = mnist.load_data()

train_images = train_images.reshape((60000, 28, 28, 1))
train_images = train_images.astype('float32') / 255
test_images = test_images.reshape((10000, 28, 28, 1))
test_images = test_images.astype('float32') / 255

train_labels = to_categorical(train_labels)
test_labels = to_categorical(test_labels)

model.compile(optimizer='rmsprop', loss='categorical_crossentropy', metrics=['accuracy'])
model.fit(train_images, train_labels, epochs=5, batch_size=64)

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```

The 4^{th} dimension defined in the reshape function has value 1 because each image has only one color channel. If we had na RGB image we would have value 3 in the 4^{th} dimension.



Assessing the network's performance

```
test_loss, test_acc = model.evaluate(test_images, test_labels)
test_acc

0.9922999739646912
------
model.save('/content/drive/MyDrive/models/04_CNN_01_MNIST.h5')
```



```
import tensorflow as tf

digit = train_images[2]

print(digit.shape)

digit = digit[:, :, 0]

print(digit.shape)

image = tf.expand_dims(digit, 0)

print(image.shape)

result = model.predict(image)

print("Result: ", result.round())

import matplotlib.pyplot as plt

plt.imshow(digit, cmap=plt.cm.binary)

plt.show()
```

We will compute the output of the model for the 3^{rd} image in the training dataset

Output: (28, 28, 1)

This means that each image has in fact three dimensions: each pixel is an array of one element. Something like this:



```
import tensorflow as tf

digit = train_images[2]
print(digit.shape)
digit = digit[:, :, 0]
print(digit.shape)
image = tf.expand_dims(digit, 0)
print(image.shape)
result = model.predict(image)
print("Result: ", result.round())
import matplotlib.pyplot as plt
plt.imshow(digit, cmap=plt.cm.binary)
plt.show()
```

However, Keras models expect to receive an array (batch) of images

In this case, it expects to receive a numpy array with shape (number_of_images, 28, 28)

That is, we can compute the output for more than one image at once



```
import tensorflow as tf
digit = train_images[2]
                                           So, we first remove the third dimension of the
print(digit.shape)
                                         → images, reshaping it from shape (28, 28, 1) to
digit = digit[:, :, 0] -
print(digit.shape) _
                                           (28, 28)
image = tf.expand_dims(digit,
                                        → Output: (28, 28)
print(image.shape)
result = model.predict(image)
print("Result: ", result.round())
import matplotlib.pyplot as plt
plt.imshow(digit, cmap=plt.cm.binary)
plt.show()
                                                                                    15
```



```
import tensorflow as tf
digit = train_images[2]
print(digit.shape)
digit = digit[:, :, 0]
print(digit.shape)
                                            Now we had a first dimension (dimension 0)
image = tf.expand_dims(digit, 0) -
                                            with size 1
print(image.shape) -
result = model.predict(image)
                                            Output: (1, 28, 28,)
print("Result: ", result.round())
                                            We now have a numpy array that is able to save
import matplotlib.pyplot as plt
                                            one 28x28 image (in our case, we will compute
plt.imshow(digit, cmap=plt.cm.binary)
                                            the output of the model for just one image)
plt.show()
```





Functional API

```
The model that we have built can be built from tensorflow import keras import layers

inputs = keras.Input(shape=(28, 28, 1))

x = layers.Conv2D(filters=32, kernel_size=3, activation="relu")(inputs)

x = layers.MaxPooling2D(pool_size=2)(x)

x = layers.Conv2D(filters=64, kernel_size=3, activation="relu")(x)

x = layers.MaxPooling2D(pool_size=2)(x)

x = layers.Conv2D(filters=64, kernel_size=3, activation="relu")(x)

x = layers.Flatten()(x)

x = layers.Platten()(x)

x = layers.Dense(64, activation="relu")(x)

outputs = layers.Dense(10, activation="softmax")(x)

model = keras.Model(inputs=inputs, outputs=outputs)
```



>>> model.summary()

Layer (type)	Output Shape	Param #
conv2d_1 (Conv2D)	(None, 26, 26, 32)	320
maxpooling2d_1 (MaxPooling2D)	(None, 13, 13, 32)	0
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maxpooling2d_2 (MaxPooling2D)	(None, 5, 5, 64)	0
conv2d_3 (Conv2D)	(None, 3, 3, 64)	36928
Total params: 55.744		

Exercise: compute these values

Total params: 55,744 Trainable params: 55,744 Non-trainable params: 0

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About the "None": https://stackoverflow.com/questions/61060736/how-to-interpret-model-summary-output-in-cnn $\ \ \,$



Exercise

• Build a model with the following architecture (without and with the Keras Functional API) and train it:

Layer (type) Ou	put Shape	Param #
input_3 (InputLayer) (N conv2d_9 (Conv2D) (N max_pooling2d_6 (MaxPooling2D) (N max_pooling2d_7 (MaxPooling2D) (N conv2d_11 (Conv2D) (N flatten_3 (Flatten) (N	one, 28, 28, 1) one, 26, 26, 32) one, 13, 13, 32) one, 11, 11, 64) one, 5, 5, 64) one, 3, 3, 128) one, 1152) one, 10)	



The dogs vs. cats example

- We will use the dogs vs. cats dataset from Kaggle (www.kaggle.com/c/dogs-vs-cats/)
- We need a Kaggle account to download the dataset
- We will use a smaller version of the dataset:
 - 2000 images for the training set, 1000 dogs and 1000 cats images
 - 1000 images for the validation set, 500 dogs and 500 cats images
 - 1000 images for the test set, 500 dogs and 500 cats images

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Note: we already provide you a zip file with the right files and directories...



Train, validation and test sets

- Train set: used to train the network
- Validation set: used to "test" the model during the training process
- Test set: used to test the model after the training process



Train, validation and test sets

- Why using both the validation and test sets?
 - Developing a model always involves tuning its configuration, for example, choosing the number of layers or the size of the layers
 - We do this tuning by using as a feedback signal the performance of the model on the validation data
 - As a result, tuning the configuration of the model based on its performance on the validation set can result in overfitting to the validation set, even though our model is never directly trained on it
 - So, we need the validation test and the test set



Using the dataset on Google Drive

- Copy your cats_and_dogs_small.zip file to your Google Drive directory
- Open the zip file with Zip Extractor and follow the instructions
- Create the 04_CNN_02_CatsAndDogs_01_cnn_from_scratch.ipynb notebook
- Add the following cell code to mount your Google Drive on Colab:

```
from google.colab import drive
drive.mount('/content/drive')
```



Showing directories' size

```
import os, shutil
train dir = '/content/drive/MyDrive/cats and dogs small/train'
validation_dir = '/content/drive/MyDrive/cats_and_dogs_small/validation'
test_dir = '/content/drive/MyDrive/cats_and_dogs_small/test'
train_cats_dir = '/content/drive/MyDrive/cats_and_dogs_small/train/cats'
train_dogs_dir = '/content/drive/MyDrive/cats_and_dogs_small/train/dogs'
val_cats_dir = '/content/drive/MyDrive/cats_and_dogs_small/validation/cats'
val_dogs_dir = '/content/drive/MyDrive/cats_and_dogs_small/validation/dogs'
test_cats_dir = '/content/drive/MyDrive/cats_and_dogs_small/test/cats'
test_dogs_dir = '/content/drive/MyDrive/cats_and_dogs_small/test/dogs'
print('total training cat images:', len(os.listdir(train cats dir)))
print('total training dog images:', len(os.listdir(train_dogs_dir)))
print('total validation cat images:', len(os.listdir(val cats dir)))
print('total validation dog images:', len(os.listdir(val_dogs_dir)))
print('total testing cat images:', len(os.listdir(test_cats_dir)))
print('total testing dog images:', len(os.listdir(test_dogs_dir)))
```

Output:

total training cat images: 1000 total training dog images: 1000 total validation cat images: 500 total validation dog images: 500 total testing cat images: 500 total testing dog images: 500



Preprocessing the data

```
from keras.utils import image_dataset_from_directory
IMG_SIZE = 150

train_dataset = image_dataset_from_directory(
    train_dir,
    image_size=(IMG_SIZE, IMG_SIZE),
    batch_size=32)

validation_dataset = image_dataset_from_directory(
    validation_dir,
    image_size=(IMG_SIZE, IMG_SIZE),
    batch_size=32)

test_dataset = image_dataset_from_directory(
    test_dir,
    image_size=(IMG_SIZE, IMG_SIZE),
    batch_size=32)
```

See next slide



Preprocessing the data

```
train_dataset = image_dataset_from_directory(
  train_dir,
  image_size=(IMG_SIZE, IMG_SIZE),
  batch_size=32)
```

The image_dataset_from_directory function returns a Dataset object that:

- 1. Reads the picture files
- 2. Decodes the JPEG content to RGB grids of pixels
- 3. Converts these into floating-point tensors
- 4. Resizes them to a shared size (we will use 150×150)
- 5. Packs them into batches (we will use batches of 32 images)

These operations are undertaken during the training process

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If we have a multiclass problem, we should add parameter label_mode='categorical'.



The shape of each batch

```
for data_batch, labels_batch in train_dataset:
    print('data batch shape:', data_batch.shape)
    print('labels batch shape:', labels_batch.shape)
    break

data batch shape: (32, 150, 150, 3)
labels batch shape: (32,)
```



Viewing the first 5 images of the first batch

```
import matplotlib.pyplot as plt

for data_batch, _ in train_dataset.take(1):
    for i in range(5):
       plt.imshow(data_batch[i].numpy().astype("uint8"))
       plt.show()
```

Takes the first n batches of the dataset

In this case, just the first



Creating the neural network

```
from tensorflow import keras
from keras import layers
from keras import models
inputs = keras.Input(shape=(IMG_SIZE, IMG_SIZE, 3))
                                                                           → Rescales images' pixels by 1/255
x = layers.Rescaling(1./255)(inputs)
x = layers.Conv2D(filters=32, kernel_size=3, activation="relu")(x)
x = layers.MaxPooling2D(pool_size=2)(x)
x = layers.Conv2D(filters=64, kernel_size=3, activation="relu")(x)
x = layers.MaxPooling2D(pool_size=2)(x)
x = layers.Conv2D(filters=128, kernel_size=3, activation="relu")(x)
x = layers.MaxPooling2D(pool size=2)(x)
x = layers.Conv2D(filters=128, kernel_size=3, activation="relu")(x)
                                                                                     ... and, then
x = layers.MaxPooling2D(pool_size=2)(x)
x = layers.Flatten()(x)
                                                                                     >> model.summary()
x = layers.Dense(512, activation="relu")(x)
outputs = layers.Dense(1, activation="sigmoid")(x)
model = keras.Model(inputs=inputs, outputs=outputs)
                                                                                                                    30
```



Compiling the neural network

```
import tensorflow as tf
model.compile(
    loss='binary_crossentropy',
    optimizer=tf.keras.optimizers.RMSprop(learning_rate=1e-4),
    metrics=['acc'])
```



Training the model

```
history = model.fit(
  train_dataset,
  epochs=30,
  validation_data=validation_dataset)
```

Given that the training process takes several minutes, we will instead load an already trained model, see next slide



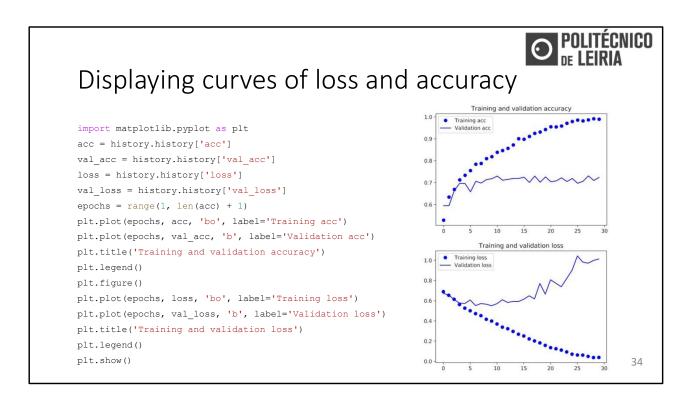
Loading and testing the model

Note: the model that we are loading in this slide was previously saved using the following code:

model.save('/content/drive/MyDrive/cats_and_dogs_small/cats_a
nd dogs small 1 cnn from scratch.h5')

IMPORTANT:

- We are evaluating the loaded model with the validation dataset just to confirm that the model load process went well.
- We do this with the validation set, not the test set, so that we don't overfit the test set.
- To visualize the evolution of the loss and accuracy after the training process for the training and validation dataset, see the next slide.

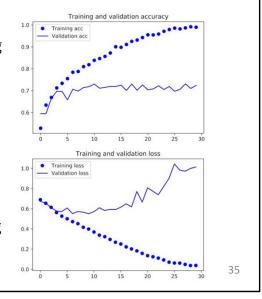


Note: You should run this code only if you do the neural network training yourself.

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Overfitting

- These plots are characteristic of overfitting
- The training accuracy increases over time, until it reaches nearly 100%, whereas the validation accuracy stalls at 70–72%
- The validation loss reaches its minimum after only 5 epochs and then stalls, whereas the training loss keeps decreasing linearly until it reaches nearly 0





Computing the model output for one image

```
{\tt import\ tensorflow\ as\ tf}
import matplotlib.pyplot as plt
from keras.preprocessing import image
img = tf.keras.preprocessing.image.load_img(
    '/content/drive/MyDrive/cats_and_dogs_small/train/cats/cat.1.jpg', target_size=(150, 150), interpolation='bilinear')
#img = tf.keras.preprocessing.image.load img(
    '/content/drive/MyDrive/cats_and_dogs_small/train/dogs/dog.1.jpg', target_size=(150, 150), interpolation='bilinear')
plt.imshow(img)
img_array = tf.keras.preprocessing.image.img_to_array(img)
                                                     "reshapes" the image so that it is put in an array. The size of
img_array = tf.expand_dims(img_array, 0)
                                                     the array will become dimension 0 (the first dimension).
print(img_array.shape)
                                                     image_array will become an array with shape (1, 150, 150, 3)
result = model.predict(img_array)
                                                     -> an array with one image with shape (150, 150, 3).
print("Result: ", result.round())
```



Mitigating overfitting

- We will see two techniques to mitigate overfitting
 - Data augmentation: generating more training data from existing training samples, by augmenting the samples via a number of random transformations that yield believable-looking images
 - Dropout: consists of randomly dropping out (setting to zero) a number of output features of the layer to which it is applied during training
- Other techniques: reduce model's size and L1 and L2 regularization (dropout is a form of regularization)

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- Regularization: A common way to mitigate overfitting is to put constraints on the complexity of a network by forcing its weights to take only small values, which makes the distribution of weight values more regular. This is called weight regularization, and it's done by adding to the loss function of the network a cost associated with having large weights. (Examples, L1 and L2 regularization)



■ Create a new notebook named

```
04\_CNN\_02\_Cats And Dogs\_02\_cnn\_from\_scratch\_with\_data\_aumeng tation.ipynb
```

• Add the following cell code to mount your Google Drive on Colab:

```
from google.colab import drive
drive.mount('/content/drive')
```



```
import os, shutil
train_dir = '/content/drive/MyDrive/cats_and_dogs_small/train'
validation_dir = '/content/drive/MyDrive/cats_and_dogs_small/validation'
test_dir = '/content/drive/MyDrive/cats_and_dogs_small/test'

from keras.utils import image_dataset_from_directory

IMG_SIZE = 150

Crain_dataset = image_dataset_from_directory(
    train_dir,
    image_size=(IMG_SIZE, IMG_SIZE),
    batch_size=32)

validation_dataset = image_dataset_from_directory(
    validation_dir,
    image_size=(IMG_SIZE, IMG_SIZE),
    batch_size=32)

test_dataset = image_dataset_from_directory(
    test_dir,
    image_size=(IMG_SIZE, IMG_SIZE),
    batch_size=32)
```

Copy this code from the previous notebook



• In Keras, data augmentation can be done by adding a number of data augmentation layers at the start of our model

```
from tensorflow import keras

from keras import layers

data_augmentation = keras.Sequential(

[
    layers.RandomFlip("horizontal"),
    layers.RandomRotation(0.1),
    layers.RandomZoom(0.2),

]

Zooms in or out of the image by a random factor in the range [-20%, +20%]
```

• These are just a few of the layers available (for more, see the Keras documentation)

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Keras image augmentation layers documentation: https://keras.io/api/layers/preprocessing_layers/image_augmentation/



```
import matplotlib.pyplot as plt

plt.figure(figsize=(10, 10))

for images, _ in train_dataset.take(1):
    for i in range(4):
        augmented_images = data_augmentation(images)
        ax = plt.subplot(2, 2, i + 1)
        plt.imshow(augmented_images[0].numpy().astype("uint8"))
        plt.axis("off")
```

Plotting some images generated by the data augmentation layers











Building the model with data augmentation and dropout

```
from tensorflow import keras
from keras import layers
from keras import models
inputs = keras.Input(shape=(IMG_SIZE, IMG_SIZE, 3))
                                                                     We add the data augmentation layers
x = data_augmentation(inputs)
                                                                     as the first layers of the model
x = layers.Rescaling(1./255)(x)
x = layers.Conv2D(filters=32, kernel size=3, activation="relu")(x)
x = layers.MaxPooling2D(pool_size=2)(x)
x = layers.Conv2D(filters=64, kernel_size=3, activation="relu")(x)
x = layers.MaxPooling2D(pool size=2)(x)
x = layers.Conv2D(filters=128, kernel_size=3, activation="relu")(x)
x = layers.MaxPooling2D(pool_size=2)(x)
x = layers.Conv2D(filters=128, kernel_size=3, activation="relu")(x)
x = layers.MaxPooling2D(pool_size=2)(x)
                                                                        We also add a dropout layer. In
x = layers.Flatten()(x)
                                                                        this case, it will be applied to
x = layers.Dropout(0.5)(x)
x = layers.Dense(512, activation="relu")(x)
                                                                        the output of the Flatten layer
outputs = layers.Dense(1, activation="sigmoid")(x)
model = keras.Model(inputs=inputs, outputs=outputs)
```

In Keras, you can introduce dropout in a network via the Dropout layer, which is applied to the output of the layer right before it.



Compiling and training the model

• Once again, we will load a previously trained model because the training process takes some time (a lot, in fact). See next slide

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This is the same code as before!



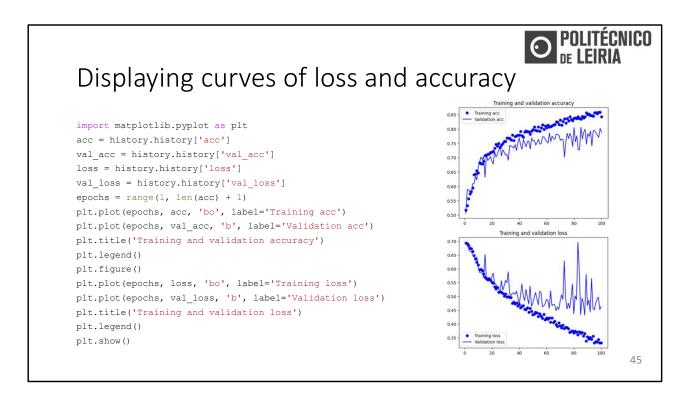
Loading and testing the model

This is the same code as before. Only the name of the file is different.

Note: the model that we are loading in this slide was previously saved using the following code:

```
model.save('/content/drive/MyDrive/cats_and_dogs_small/cats_a
nd_dogs_small_2_cnn_from_scratch_with_data_augmentation.h5')
```

Remember: use the validation dataset, not the test dataset.



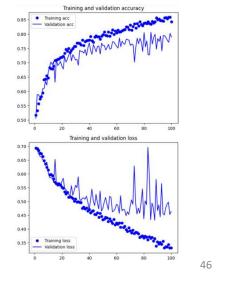
This is the same code as before!

You should run it only if you do the neural network training yourself.



Displaying curves of loss and accuracy

- Thanks to data augmentation and dropout, we start overfitting much later, around epochs 60–70 (compared to epoch 5 for the original model)
- The validation accuracy ends up consistently in the 75–80% range, a big improvement over our first try



This is the same code as before!



Transfer learning

- Often, our dataset is too small, which turns difficult to build a CNN with a good performance
- In these situations, we can use already existing networks that were previously trained on a large dataset, typically on a large-scale image-classification task
- If this original dataset is large enough and general enough, the spatial hierarchy of features learned by the pretrained network can act as a generic model of the visual world and its features can prove useful for many different computer vision problems



Transfer learning

- For instance, we might train a network on the ImageNet dataset (where classes are mostly animals and everyday objects) and then repurpose this trained network for something as remote as identifying furniture items in images
- Such portability of learned features across different problems is a key advantage of deep learning compared to many older, shallow-learning approaches, and it makes deep learning very effective for small-data problems



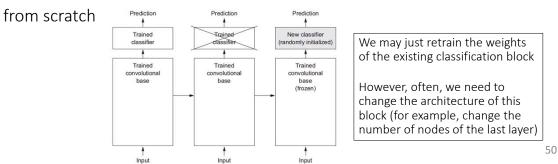
Transfer learning

- There are two ways of using a pretrained network:
 - Feature extraction
 - Fine-tuning



Transfer learning – feature extraction

- Feature extraction consists of using the representations learned by a previous network to extract interesting features from new samples
- These features are then run through a new classifier, which is trained



François Chollet, Deep Learning with Python:

"Why only reuse the convolutional base? Could you reuse the densely connected classifier as well? In general, doing so should be avoided. The reason is that the representations learned by the convolutional base are likely to be more generic and therefore more reusable: the feature maps of a convnet are presence maps of generic concepts over a picture, which is likely to be useful regardless of the computer-vision problem at hand. But the representations learned by the classifier will necessarily be specific to the set of classes on which

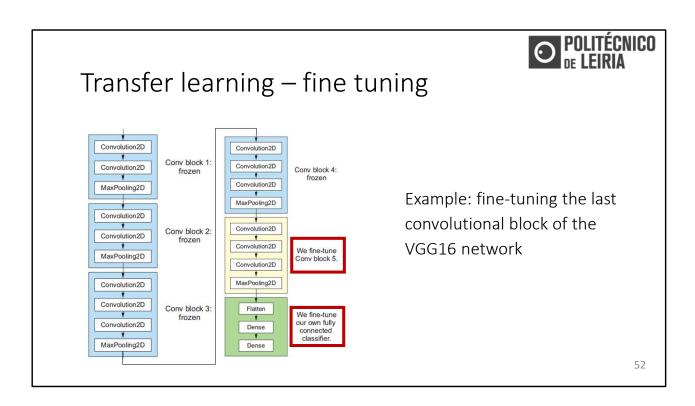
the model was trained - they will only contain information about the presence probability of this or that class in the entire picture. Additionally, representations found in densely connected layers no longer contain any information about *where* objects are located in the input image: these layers get rid of the notion of space, whereas the object location is still described by convolutional feature maps. For problems where object location matters, densely connected features are largely useless.

Note that the level of generality (and therefore reusability) of the representations extracted by specific convolution layers depends on the depth of the layer in the model. Layers that come earlier in the model extract local, highly generic feature maps (such as visual edges, colors, and textures), whereas layers that are higher up extract moreabstract concepts (such as "cat ear" or "dog eye"). So, if your new dataset differs a lot from the dataset on which the original model was trained, you may be better off using only the first few layers of the model to do feature extraction, rather than using the entire convolutional base."



Transfer learning – fine tuning

- Fine-tuning consists of unfreezing a few of the top layers of a frozen model base used for feature extraction, and jointly training both the newly added part of the model (in this case, the fully connected classifier) and these top layers
- This is called fine-tuning because it slightly adjusts the more abstract representations of the model being reused, in order to make them more relevant for the problem at hand



Karen Simonyan and Andrew Zisserman, "Very Deep Convolutional Networks for Large-Scale Image Recognition," arXiv (2014), https://arxiv.org/abs/1409.1556.



We will first use the "feature extraction" approach without using data augmentation



■ Create a new notebook named

```
04\_CNN\_02\_Cats And Dogs\_03\_TL\_load\_features\_no\_data\_augmentation.ipynb
```

• Add the following cell code to mount your Google Drive on Colab:

```
from google.colab import drive
drive.mount('/content/drive')
```



Copy this code from the previous notebook



Let us first load the VGG16 model

```
from tensorflow.keras.applications.vgg16 import VGG16
conv base = VGG16(weights='imagenet', include top=False, input shape=(150, 150, 3))
```

- weights: specifies the weight checkpoint from which to initialize the model
- include_top: refers to including (or not) the densely connected classifier on top of the network. By default, this densely connected classifier corresponds to the 1,000 classes from ImageNet. Because we intend to use our own densely connected classifier (with only two classes: cat and dog), we don't need to include it in our example
- input_shape: is the shape of the image tensors that we'll feed to the network

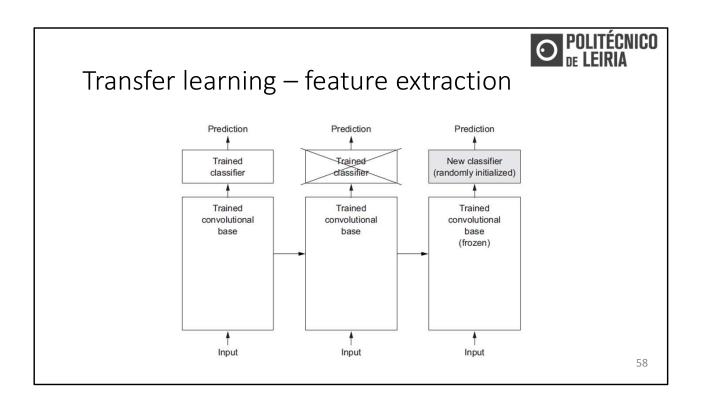
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weights: specifies the weight checkpoint from which to initialize the model -> this means that we are importing a version of the VGG16 model that was trained with the ImageNet dataset.

input_shape is the shape of the image tensors that you'll feed to the network. This argument is purely optional: if you don't pass it, the network will be able to process inputs of any size. Here we pass it so that we can visualize how the size of the feature maps shrinks with each new convolution and pooling layer if we do conv_base.summary()



- In order to train the classification section of the model, we will need several epochs
- That is, we will need to present the train and validation sets several times to the model
- However, each epoch we will present always the same images (because we are not using data augmentation) and because we are not going to change the feature extraction section of the VGG16 model, the output of this section will be the same for all epochs
- This means that we can compute the output of this section of the model for each of the images in the three datasets (train, validation and test) just once
- This output can then be later used as input for the training process of the classification section of the model





```
from tensorflow import keras
                                                This is the function that we use
import numpy as np
                                                to compute the output of the
def get features and labels(dataset):
                                                feature extraction section for
 all features = []
                                                each of the datasets
 all labels = []
  for images, labels in dataset:
   preprocessed images = keras.applications.vgg16.preprocess input(images)
   features = conv base.predict(preprocessed images)
    all features.append(features)
    all labels.append(labels)
  return np.concatenate(all_features), np.concatenate(all_labels)
                                                                                 59
```

The VGG16 model expects inputs that are preprocessed with the function

keras.applications.vgg16.preprocess_input, which scales pixel values to an appropriate range.



• Now, we call the get_features_and_labels function for each of the 3 datasets

train_features, train_labels = get_features_and_labels(train_dataset)
val_features, val_labels = get_features_and_labels(validation_dataset)
test_features, test_labels = get_features_and_labels(test_dataset)

Note: Since this operation takes some time, instead of running this code cell, we will load features and labels arrays that we have previously saved... see next slide



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TL – feature extraction without data augmentation

• Loading previoulsy saved features and labels arrays:

```
from numpy import load
train_features = load('/content/drive/MyDrive/models/04_CNN_02_CatsAndDogs_03_train_features.npy')
train_labels = load('/content/drive/MyDrive/models/04_CNN_02_CatsAndDogs_03_train_labels.npy')
val_features = load('/content/drive/MyDrive/models/04_CNN_02_CatsAndDogs_03_validation_features.npy')
val_labels = load('/content/drive/MyDrive/models/04_CNN_02_CatsAndDogs_03_validation_labels.npy')
test_features = load('/content/drive/MyDrive/models/04_CNN_02_CatsAndDogs_03_test_features.npy')
test_labels = load('/content/drive/MyDrive/models/04_CNN_02_CatsAndDogs_03_test_labels.npy')
```

These arrays where previously saved using the following code, after running the feature extracting code (previous slide):

```
from numpy import save
save('/content/drive/MyDrive/models/04_CNN_02_CatsAndDogs_03_tra
in_features.npy', train_features)
save('/content/drive/MyDrive/models/04_CNN_02_CatsAndDogs_03_tra
in_labels.npy', train_labels)
save('/content/drive/MyDrive/models/04_CNN_02_CatsAndDogs_03_val
idation_features.npy', val_features)
save('/content/drive/MyDrive/models/04_CNN_02_CatsAndDogs_03_val
idation_labels.npy', val_labels)
save('/content/drive/MyDrive/models/04_CNN_02_CatsAndDogs_03_tes
t_features.npy', test_features)
save('/content/drive/MyDrive/models/04_CNN_02_CatsAndDogs_03_tes
t_labels.npy', test_labels)
```



Now, we build a dense network that will play the role of the classification section

• The extracted features are currently of shape (number of samples, 4, 4, 512)



Now, the train process, which,

TL – feature extraction without data augmentation

```
loss='binary_crossentropy',
  optimizer='rmsprop',
  metrics=['accuracy'])

history = model.fit(
  train_features, train_labels,
  epochs=20,
  validation data=(val features, val labels))
in this case, doesn't take long.
So, you can run it yourself in the class
```

model.compile(

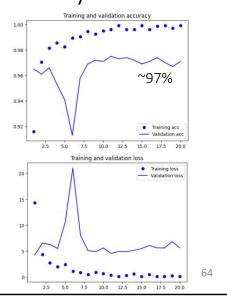
63

Note: instead of 'accuracy', we can use 'acc'



Displaying curves of loss and accuracy

```
import matplotlib.pyplot as plt
acc = history.history['accuracy']
val acc = history.history['val accuracy']
loss = history.history['loss']
val_loss = history.history['val_loss']
epochs = range(1, len(acc) + 1)
plt.plot(epochs, acc, 'bo', label='Training acc')
plt.plot(epochs, val acc, 'b', label='Validation acc')
plt.title('Training and validation accuracy')
plt.legend()
plt.figure()
plt.plot(epochs, loss, 'bo', label='Training loss')
plt.plot(epochs, val_loss, 'b', label='Validation loss')
plt.title('Training and validation loss')
plt.legend()
plt.show()
```





- We reach a validation accuracy of about 97%—much better than we achieved with the small model trained from scratch
- But the plots also indicate that the model is overfitting almost from the start—despite using dropout with a fairly large rate
- This happens because this technique doesn't use data augmentation, which is essential for preventing overfitting with small image datasets

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"This is a bit of an unfair comparison, however, because ImageNet contains many dog and cat instances, which means that our pretrained model already has the exact knowledge required for the task at hand. This won't always be the case when you use pretrained features."

TL – feature extraction without data augmentation – building and saving the model

- You may have noticed that we have two separated models:
 - The feature extraction section, taken from the VGG16 model
 - The classification section, defined and trained by us
- We will now join these two sections in one single model and save it



TL – feature extraction without data augmentation - building the model

 Because models behave just like layers, we can use them as any other layers to build a new model. In this case, we want to use conv_base and model to build the full model:

```
inputs = keras.Input(shape=(150, 150, 3))
x = keras.applications.vgg16.preprocess_input(inputs)
x = conv_base(x)
outputs = model(x)
full_model = keras.Model(inputs, outputs)

Apply input value scaling
for
```



TL – feature extraction without data augmentation - evaluating the model

• Before saving the model, we need to compile it:

```
full_model.compile(
    loss="binary_crossentropy",
    optimizer="rmsprop",
    metrics=["accuracy"])
```

TL – feature extraction without data augmentation - Saving and testing the model

Now, we save the model

```
full_model.save('/content/drive/MyDrive/models/04_CNN_02_CatsAndDogs_03_TL_
without_data_augmentation.h5')
```

We can later load it and test it:

```
from tensorflow import keras

loaded model =
keras.models.load model('/content/drive/MyDrive/models/04_CNN_02_CatsAn
dDogs_03_TL_without_data_augmentation.h5')

val_loss, val_acc = loaded_model.evaluate(validation_dataset)
print('val_acc:', val_acc)
```

Note: if you do this in another notebook, you need instead to use the following code (because we need to define the validation dataset directory – don't forget also to mount the google drive):

```
import os, shutil
from tensorflow import keras
from keras.utils import image_dataset
_from_directory

validation_dir = '/content/drive/MyDr
ive/cats_and_dogs_small/validation'

validation_dataset = image_dataset_fr
```

```
om_directory(validation_dir, image_si
ze=(150, 150), batch_size=32)

loaded_model = keras.models.load_mode
l('/content/drive/MyDrive/models/04_C
NN_02_CatsAndDogs_03_TL_without_data_
augmentation.h5')

val_loss, val_acc = loaded_model.eval
uate(validation_dataset)
print('val acc:', val acc)
```



We will now use the "feature extraction" approach with data augmentation

Note: you need a GPU for this



■ Create a new notebook named

 $04_CNN_02_Cats And Dogs_04_TL_with_data_augmentation_and_fine tuning.ipynb$

• Add the following cell code to mount your Google Drive on Colab:

```
from google.colab import drive
drive.mount('/content/drive')
```



Copy this code from the previous notebook



Let us first load the VGG16 model

```
from keras.applications.vgg16 import VGG16
conv_base = VGG16(weights="imagenet", include_top=False)
conv_base.trainable = False
```

Freezing the feature extraction section of the model

• Setting trainable to False empties the list of trainable weights of the layer or model (see next slide for more on this)



- It is very important to freeze the convolutional base
- Freezing a layer or set of layers means preventing their weights from being updated during training
- If we don't do this, the representations that were previously learned by the convolutional base will be modified during training
- Because the Dense layers on top are randomly initialized, very large weight updates would be propagated through the network, effectively destroying the representations previously learned



```
Creating the model
data_augmentation = keras.Sequential(
     layers.RandomFlip("horizontal"),
     layers.RandomRotation(0.1),
    layers.RandomZoom(0.2),
inputs = keras.Input(shape=(150, 150, 3))
x = data augmentation(inputs)
                                                                  Apply input value scaling
x = keras.applications.vgg16.preprocess_input(x) \leftarrow
x = conv_base(x)
x = layers.Flatten()(x)
x = layers.Dense(256)(x)
x = layers.Dropout(0.5)(x)
outputs = layers.Dense(1, activation="sigmoid")(x)
model = keras.Model(inputs, outputs)
                                                                                                75
```

We need the following imports:

```
from tensorflow import keras
from keras import layers
```



```
model.compile(
  loss="binary_crossentropy",
  optimizer="rmsprop",
  metrics=["accuracy"])

history = model.fit(
  train_dataset,
  epochs=50,
  validation_data=validation_dataset)
```

Compiling and fitting the model

Since the training process takes several minutes, we will instead load an already trained model, see next slide

model.save('/content/drive/MyDrive/models/04_CNN_02_CatsAndDogs_04_TL_with_data augmentation.h5')



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Loading and testing the model

```
from tensorflow import keras
model = keras.models.load_model(
'/content/drive/MyDrive/models/04_CNN_02_CatsAndDogs_04_TL_with_data_augmentatio
n.h5')

val_loss, val_acc = model.evaluate(validation_dataset)
print('val_acc:', val_acc)

We would get an accuracy of about 97%
```

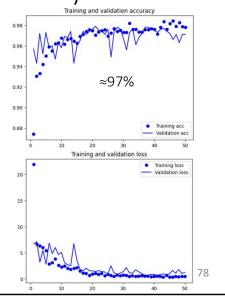
Note: the model that we are loading in this slide was previously saved using the following code:

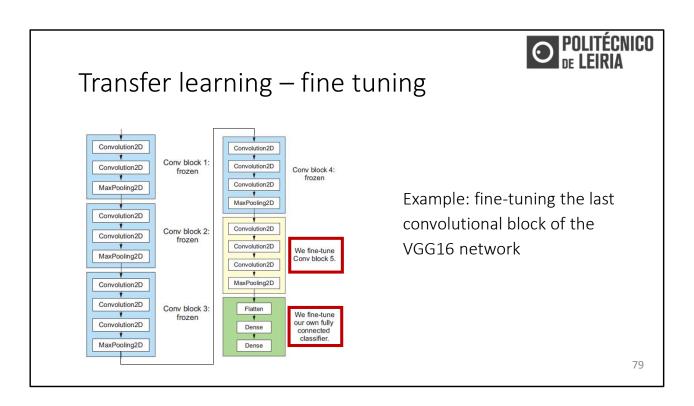
model.save('/content/drive/MyDrive/models/04_CNN_02_CatsAndDo
gs_04_TL with data augmentation.h5'

O POLITÉCNICO DE LEIRIA

Displaying curves of loss and accuracy

```
import matplotlib.pyplot as plt
acc = history.history['acc']
val acc = history.history['val acc']
loss = history.history['loss']
val loss = history.history['val loss']
epochs = range(1, len(acc) + 1)
plt.plot(epochs, acc, 'bo', label='Training acc')
plt.plot(epochs, val acc, 'b', label='Validation acc')
plt.title('Training and validation accuracy')
plt.legend()
plt.figure()
plt.plot(epochs, loss, 'bo', label='Training loss')
plt.plot(epochs, val_loss, 'b', label='Validation loss')
plt.title('Training and validation loss')
plt.legend()
plt.show()
```





Karen Simonyan and Andrew Zisserman, "Very Deep Convolutional Networks for Large-Scale Image Recognition," arXiv (2014), https://arxiv.org/abs/1409.1556.



- Why not fine-tune more layers? Why not fine-tune the entire convolutional base? We could. But we need to consider the following:
 - Earlier layers in the convolutional base encode more-generic, reusable features, whereas layers higher up encode more-specialized features
 - So, it's more useful to fine-tune the more specialized features, because these are the ones that need to be repurposed on our new problem
 - Also, the more parameters we train, the more we are at risk of overfitting



- Thus, in this situation, it's a good strategy to fine-tune only the top two or three layers in the convolutional base
- However, it is only possible to fine-tune the top layers of the convolutional base once the classifier on top has already been trained
- If the classifier isn't already trained, then the error signal propagating through the network during training will be too large, and the representations previously learned by the layers being fine-tuned will be destroyed



- The steps for fine-tuning a network are as follows:
 - 1. Add our custom network on top of an already-trained base network
 - 2. Freeze the base network
 - 3. Train the part we added
 - 4. Unfreeze some layers in the base network
 - 5. Jointly train both these layers and the part we added



- We have already completed the first three steps when doing feature extraction
- Let's proceed with step 4: we will unfreeze our conv_base and then freeze individual layers inside it
- Freezing all layers except the last 4:

```
conv_base.trainable = True
for layer in conv_base.layers[:-4]:
layer.trainable = False
```

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Note: this can be done like this only in the same session in which we train our model (because that way we still have variable conv_base pointing to the convolutional section of our network).



Fine-tuning the model

```
model.compile(
  loss="binary_crossentropy",
  optimizer=keras.optimizers.RMSprop(learning_rate=1e-5),
  metrics=["accuracy"])

history = model.fit(
  train_dataset,
  epochs=30,
  validation_data=validation_dataset)
Given that the trainch takes several minimum instead load an analysis.
```

Given that the training process takes several minutes, we will instead load an already trained model, see next slide



Loading and testing the model

```
from tensorflow import keras
model = keras.models.load_model('/content/drive/MyDrive/models/04_CNN_02_
CatsAndDogs_05_TL_with_data_augmentation_and_fine_tuning.h5')

val_loss, val_acc = model.evaluate(validation_dataset)
print('val_acc:', val_acc)

We would get an accuracy of about 97,6%

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```

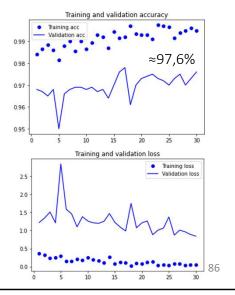
Note: the model that we are loading in this slide was previously saved using the following code:

```
model.save('/content/drive/MyDrive/models/04_CNN_02_CatsAndDo
gs 05 TL with data augmentation and fine tuning.h5')
```



Displaying curves of loss and accuracy

```
import matplotlib.pyplot as plt
acc = history.history['acc']
val_acc = history.history['val_acc']
loss = history.history['loss']
val loss = history.history['val loss']
epochs = range(1, len(acc) + 1)
plt.plot(epochs, acc, 'bo', label='Training acc')
plt.plot(epochs, val_acc, 'b', label='Validation acc')
plt.title('Training and validation accuracy')
plt.legend()
plt.figure()
plt.plot(epochs, loss, 'bo', label='Training loss')
plt.plot(epochs, val_loss, 'b', label='Validation loss')
plt.title('Training and validation loss')
plt.legend()
plt.show()
```





Saving models during and after training



Saving models during and after training

• So far, in order to train the models in this hands-on, we have used the fit function like this:

```
history = model.fit(
  train_dataset,
  epochs=30,
  validation data=validation dataset)
```

- After the training process, the model variable will correspond to the version of the model obtained in the last epoch
- However, the best model can be generated in some epoch other than the last one



Saving models during and after training

- The tf.keras.callbacks.ModelCheckpoint callback allows us to continually save the model both *during* and at *the end* of training
- The use of checkpoints allows the user to save the entire model or just the weights of the model at the end of the training process or during it
- This also allows us, for example, to pick-up the training process where we left off in case the training process was interrupted



ModelCheckpoint

```
tf.keras.callbacks.ModelCheckpoint(
 filepath,
 monitor="val loss",
 verbose=0,
 save best only=False,
 save_weights_only=False,
 mode="auto",
  save_freq="epoch",
 options=None,
  initial_value_threshold=None,
  **kwargs
Class documentation: https://keras.io/api/callbacks/model_checkpoint/
```

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NOTE: In order to test the code that follows, you can make a copy of the first notebook that we have created with the cats and dogs dataset (where we built a CNN from scratch without data augmentation) and modify it.



Creating the notebook

■ Make a copy of notebook

```
04\_CNN\_02\_CatsAndDogs\_01\_cnn\_from\_scratch.ipynb,
```

the first we have created with the cats and dogs dataset (where we built a CNN from scratch without data augmentation)

■ Name it 04_CNN_02_CatsAndDogs_05_cnn_from_scratch_checkpoint.ipynb



Saving the best model during training

```
callbacks = [
  keras.callbacks.ModelCheckpoint(
    filepath='/content/drive/MyDrive/models/04_CNN_02_CatsAndDogs_05_cnn_from_scratch_CP
best.h5',
    save_best_only=True,
    monitor='val loss')
history = model.fit(
  train_dataset,
  epochs=4,
  validation_data=validation_dataset,
  callbacks=callbacks) <
```

- This code defines and uses a callback that saves the model whenever a best model is generated during the training process
- The model is saved using the hdf5 format (also called h5)
- In this case, the loss obtained with the validation set is used as the metric to assess model quality (we could have used accuracy, "val_acc", instead)



Saving the best model during training

```
callbacks = [
  keras.callbacks.ModelCheckpoint(
   filepath='/content/drive/MyDrive/models/04_CNN_02_CatsAndDogs_05_cnn_from_scratch_CP
best.h5',
   save_best_only=True,
   monitor='val loss')
history = model.fit(
 train_dataset,
 epochs=4,
  validation_data=validation_dataset,
  callbacks=callbacks) <
```

- In this case, we are saving the model to the "models" directory in our Google Drive
- Alternatively, we can save it to our Colab machine (just put the name of the file); after the training process, we can load and then save it to our Google drive



Verbose

```
Epoch 1/4
Epoch 1: val_loss improved from inf to 0.68860, saving model to models/03_CNN_02_CatsAndDogs_05_cnn_from_scratch_Cpbest.h5
Epoch 2/4
Epoch 2: val_loss improved from 0.68860 to 0.68489, saving model to models/03_CNN_02_CatsAndDogs_05_cnn_from_scratch_Cpbest.h5
Epoch 3/4
Epoch 3: val_loss improved from 0.68489 to 0.68285, saving model to models/03_CNN_02_CatsAndDogs_05_cnn_from_scratch_Cpbest.h5
Epoch 4/4
Epoch 4: val_loss improved from 0.68285 to 0.64577, saving model to models/03_CNN_02_CatsAndDogs_05_cnn_from_scratch_Cpbest.h5
```

If we use verbose=1 in the checkpoint model, a message is shown during the training process when the file is
updated



Loading the model

```
# Recreates the exact same model, including its weights and the optimizer
loaded_model =
tf.keras.models.load_model('/content/drive/MyDrive/models/04_CNN_02_CatsAn
dDogs_05_cnn_from_scratch_CPbest.h5')
# Show the model architecture
loaded model.summary()
```

This creates a new model loading the previous trained model "../CPbest.hdf5"

The loaded model includes weights and the state of the optimizer

The state of the optimizer is relevant for continuing the training process



Evaluating the loaded model



Continuing training from a saved point

loaded_model.fit(train_dataset, epochs=2, validation_data=validation_dataset)

It is possible to continue the training process from a given checkpoint

In this case, the process continues from the previous saved model trained with 4 epochs

2 extra training epochs are performed



Saving model weights (only)

- The use of checkpoints allows users to save the entire model or just the weights of the model at the end of the training process or during it
- Saving only the weights can save disk space
- The weights can be loaded later into models with exactly the same architecture

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NOTE: In order to test the code that follows, you can make a copy of the previous notebook and modify it.



Defining the callback

A set of files will be created every epoch

. .



Training the model

```
model.fit(
    train_dataset,
    epochs=4,
    validation_data=validation_dataset,
    callbacks=[model_checkpoint_callback])
```



Training and saving

```
Epoch 1/4
Epoch 1: saving model to models/cpw/cpsw-001.ckpt
938/938 [============ ] - 51s 54ms/step - loss: 0.1752 - accuracy: 0.9461
Epoch 2/4
Epoch 2: saving model to models/cpw/cpsw-002.ckpt
938/938 [============ ] - 51s 55ms/step - loss: 0.0491 - accuracy: 0.9846
Epoch 3/4
Epoch 3: saving model to models/cpw/cpsw-003.ckpt
938/938 [============ ] - 51s 54ms/step - loss: 0.0347 - accuracy: 0.9894
Epoch 4/4
Epoch 4: saving model to models/cpw/cpsw-004.ckpt
938/938 [============ ] - 51s 54ms/step - loss: 0.0262 - accuracy: 0.9919
<keras.callbacks.History at 0x7f2c646ba610>
```



Files

import os

```
checkpoint_dir = os.path.dirname(checkpoint_filepath)
os.listdir(checkpoint dir)
['cpsw-001.ckpt.data-00000-of-00001',
 'cpsw-001.ckpt.index',
 'checkpoint',
 'cpsw-002.ckpt.data-00000-of-00001',
 'cpsw-002.ckpt.index',
 'cpsw-003.ckpt.data-00000-of-00001',
 'cpsw-003.ckpt.index',
 'cpsw-004.ckpt.data-00000-of-00001',
 'cpsw-004.ckpt.index']
```

Each saving action is triggered when an epoch ends

A saving action generates 2 files:

- data contains all the weights
- index contains the indices of the weights

Our callback includes the epoch number in the file name



Using saved weights

- If we want to load weights that have been saved before and use them in a new notebook/program/session, we need to:
 - 1. Define a model exactly with the same architecture as the one of the model whose weights we have saved before
 - 2. Compile the model
 - 3. Load the weights into the model using function load_weights (see next slide)
 - 4. Use the model (evaluate, predict, resume the training process)



Using saved weights

```
# We need first to define a model exactly with the same architecture as the one
of the model whose weights we have saved before...

# Then, we need to compile the model...

# Then, we load the weights (for example, the weights of epoch 4)
model.load_weights('/content/drive/MyDrive/models/cpw/cpsw-004.ckpt')

# Then, we use the model (in this case, we evaluate it)
val_loss, val_acc = model.evaluate(validation_dataset)
print('val_acc:', val_acc)
```



Early stopping

- The EarlyStopping callback interrupts training as soon as validation metrics have stopped improving
- It is typically used in combination with ModelCheckpoint, which, as seen in previous slides, lets us continually save the model during training and, optionally, save only the current best model so far



Early stopping