

## **Appendix 9. Statistical Analysis Plan**

**Title:**

Statistical Analysis Plan for CDISCPIL0T01 – Initial Case Study of the CDISC SDTM/ADaM Pilot Project

Title of Case Study: Safety and Efficacy of the Xanomeline Transdermal Therapeutic System (TTS) in Patients with Mild to Moderate Alzheimer’s Disease.

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## List of Abbreviations

AD	Alzheimer's Disease
ADaM	Analysis Dataset Model Team
ADAS-Cog	Alzheimer's Disease Assessment Scale - Cognitive Subscale
AE	adverse event
ANCOVA	analysis of covariance
ANOVA	analysis of variance
BMI	body mass index
CDISC	Clinical Data Interchange Standards Consortium
CIBIC+	Video-referenced Clinician's Interview-based Impression of Change
cm <sup>2</sup>	centimeters squared – measure of area
CMH	Cochran-Mantel-Haentzel
DAD	Disability Assessment for Dementia
ECG	Electrocardiogram
ET	Early Termination visit
FDA	Food and Drug Administration
ITT	Intent-to-treat
PT	Preferred Term
LOCF	last observation carried forward
MedDRA	Medical Dictionary for Regulatory Activities
mg	milligrams
MMRM	Mixed-effects Model Repeated-Measure
MMSE	Mini-Mental State Examination
NINCDS-ADRDA	National Institute of Neurologic and Communicative Disorders and Stroke–Alzheimer's Disease and Related Disorders Association (developed criteria for the diagnosis of Alzheimer's disease)
NPI-X	Revised Neuropsychiatric Inventory
RT	Retrieval visit
SDTM	Study Data Tabulation Model
SOC	System Organ Class
TTS	Transdermal Therapeutic System
XAN	Xanomeline

## 1. BACKGROUND

### 1.1. CDISC SDTM/ADaM Pilot Project

The CDISC SDTM/ADaM Pilot Project team will produce a case study to demonstrate the effective transformation of legacy data into CDISC SDTM domains and ADaM datasets and their associated metadata. The resulting “pilot submission” will be delivered to FDA reviewers for their evaluation in a mock review, assessing whether data submitted to the FDA using the CDISC Standard will meet the needs and expectations of both medical and statistical FDA reviewers.

The pilot submission will include SDTM datasets, analysis datasets, all relevant metadata, analysis results, and an abbreviated report (including only the necessary documentation).

The legacy data being used in CDISCPILOT01 were provided by Eli Lilly and Company (Legacy Sponsor) for the purposes of this pilot project. The data were de-identified and documents were redacted prior to release to the pilot project team.

The submission will not reproduce all of the Legacy Sponsor’s analyses and reports. Instead only the more common elements of a submission will be addressed. These will include safety data, the primary outcome, and at least one secondary outcome. A representative set of analyses will be chosen. Deviations from the protocol-specified analyses are described in [Appendix 1](#). Additional variables and flags may be included in the analysis datasets, but may not be used in the analyses included in the report.

### 1.2. Description of Clinical Study

Study Title: Safety and Efficacy of the Xanomeline Transdermal Therapeutic System (TTS) in Patients with Mild to Moderate Alzheimer’s Disease.

The study was a prospective, randomized, multi-center (17), double-blind, placebo-controlled, parallel-group study. The objectives of the study were to evaluate the efficacy and safety of transdermal xanomeline, 50 cm<sup>2</sup> and 75 cm<sup>2</sup>, and placebo in patients with mild to moderate Alzheimer’s disease.

Xanomeline or placebo was administered daily in the morning, with the application of two adhesive patches, one 50 cm<sup>2</sup> in area, the other 25 cm<sup>2</sup> in area. Doses were measured in terms of the xanomeline base, and were 54mg for the 50 cm<sup>2</sup> patch and 27mg for the 25 cm<sup>2</sup> patch. Placebo was identical in appearance to the primary study material. The total doses being compared are therefore 0 (both patches placebo), 54mg (large patch active drug, small patch placebo), and 81mg (both patches active drug). The treatment groups referred to throughout the pilot submission will be “xanomeline high dose,” “xanomeline low dose,” and “placebo”.

Patients were males or females of non-childbearing potential, 50 years of age or older, had probable Alzheimer's disease according to the NINCDS-ADRDA criteria, and an MMSE score of 10 to 23. The duration of treatment was 26 weeks, with 24 weeks of active treatment. A total of 295 patients were randomized into 1 of 3 treatment groups: xanomeline high dose, 97 patients; xanomeline low dose, 98 patients; and placebo, 100 patients; 166 were females and 129 were males.

## **2. PURPOSE OF THIS ANALYSIS PLAN**

This analysis plan describes the analyses to be performed in the context of the first iteration of the CDISC SDTM/ADaM Pilot Submission, CDISCPIL01. It should be noted that this document is not meant to represent all of the measures assessed or analyses performed in the original study.

## **3. STUDY OBJECTIVE(S) AND ENDPOINT(S)**

### **3.1. Study Objective(s)**

#### **3.1.1. Primary**

The primary objectives of this study are

- To determine if there is a statistically significant relationship (overall Type 1 error rate,  $\alpha=.05$ ) between the change in both the ADAS-Cog (11) and CIBIC+ scores, and drug dose (0, 50 cm<sup>2</sup> [54 mg], and 75 cm<sup>2</sup> [81 mg]).
- To document the safety profile of the xanomeline TTS.

#### **3.1.2. Secondary**

A secondary objective of this study is:

- To assess the dose-dependent improvement in behavior. Improved scores on the Revised Neuropsychiatric Inventory (NPI-X) will indicate improvement in these areas.

### **3.2. Study Endpoint(s)**

#### **3.2.1. Primary**

- Alzheimer's Disease Assessment Scale - Cognitive Subscale, total of 11 items [ADAS-Cog (11)] at Week 24
- Video-referenced Clinician's Interview-based Impression of Change (CIBIC+) at Week 24

### 3.2.2. Secondary

#### Secondary Efficacy Endpoints

- Alzheimer's Disease Assessment Scale - Cognitive Subscale, total of 11 items [ADAS-Cog (11)] at Weeks 8 and 16
- Video-referenced Clinician's Interview-based Impression of Change (CIBIC+) at Weeks 8 and 16
- Mean Revised Neuropsychiatric Inventory (NPI-X) from Week 4 to Week 24

#### Safety Endpoints

- Adverse events
- Vital signs (weight, standing and supine blood pressure, heart rate)
- Laboratory evaluations

### 3.3. Statistical Hypotheses

The statistical hypotheses for the 2 primary endpoints are based on the primary analysis, which is a test for dose response. The primary analysis for ADAS-Cog (11) at Week 24 is based on an ANCOVA model, which includes the baseline score, site, and treatment as continuous variable. The statistical hypothesis is:

$H_0: b = 0$ , where  $b$  is the coefficient for treatment

$H_1: b \neq 0$ , where  $b$  is the coefficient for treatment

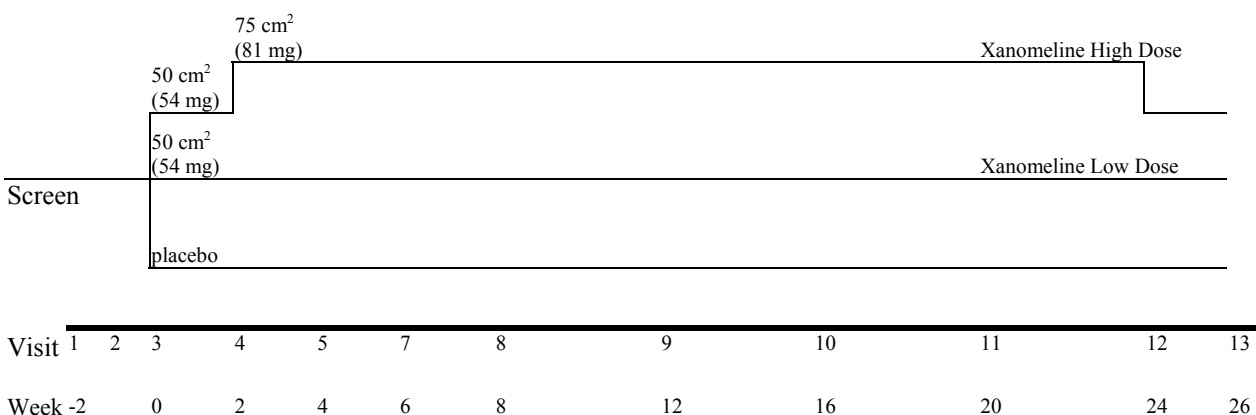
The primary analysis for CIBIC+ at Week 24 is based on an ANOVA model which includes site and treatment as continuous variable. The statistical hypothesis is:

$H_0: b = 0$ , where  $b$  is the coefficient for treatment

$H_1: b \neq 0$ , where  $b$  is the coefficient for treatment

## 4. STUDY DESIGN

Patients with probable mild to moderate AD will be studied in a randomized, double-blind, parallel (3 arm), placebo-controlled trial of 26 weeks duration. The study will be conducted on an outpatient basis. Approximately 300 patients will be enrolled.





## 5. SAMPLE SIZE CONSIDERATIONS

Approximately 100 patients will be randomized to each of the 3 treatment groups. Previous experience with the oral formulation of xanomeline suggests that this sample size has 90% power to detect a 3.0 mean treatment difference in ADAS-Cog ( $p < .05$ , two-sided), based on a standard deviation of 6.5. Furthermore, this sample size has 80% power to detect a 0.36 mean treatment difference in CIBIC+ ( $p < .05$ , two-sided), based on a standard deviation of 0.9.

## 6. ANALYSIS POPULATIONS

For this study, the following definitions are used:

<b>Screen Failures</b>	Patients entered into the study are those from whom informed consent for the study has been obtained. Patients entered into the study but not assigned to a treatment group are considered to be screen failures. Demographic data for screen failures will be included in the data tabulation datasets, but not in the analysis datasets or in the analyses.
<b>Randomized</b>	Patients who are enrolled in the study are those who have been assigned to a treatment group. Patients who are entered into the study but fail to meet criteria specified in the protocol for treatment assignment will not be enrolled in the study. Patients are randomly assigned to treatment groups at Week 0 (Visit 3).
<b>ITT Population</b>	All patients randomized
<b>Safety population</b>	All patients randomized and known to have taken at least one dose of randomized drug
<b>Efficacy population</b>	All patients who were randomized and took drug, and have at least 1 post-baseline measure for both ADAS-Cog and CIBIC+
<b>Completers</b>	All patients in the efficacy population who completed their Week 24 visit (Visit 12).

## 7. GENERAL CONSIDERATIONS FOR DATA ANALYSES

All statistical tests will be 2-sided with a significance level of 0.05. One-sided p-values will not be reported. Summary statistics for continuous variables will include the number of observations, mean, standard deviation, median, minimum, and maximum. Summary statistics for categorical variables will include the frequency and percentage.

## **7.1. Multi-center Studies**

Sites that enroll fewer than 3 patients in any one treatment group will be grouped together, with a new pooled site identifier assigned for the purpose of analysis. If this combination still results in a treatment group having fewer than 3 patients in any one treatment group, then this group of patients will be combined with the next fewest enrolling site. In the event that there is a tie for fewest-enrolling site, one of these will be chosen at random by a random-number generator.

## **7.2. Examination of Subgroups**

The effect of gender upon efficacy will be evaluated if sample sizes are sufficient to warrant such analyses.

Covariates for age, race, baseline disease severity as measured by MMSE, and patient education level will be included in analysis datasets as appropriate for exploratory analysis.

## **7.3. Multiple Comparisons and Multiplicity**

There are 2 primary efficacy endpoints in this study, each of which will be tested at a significance level of 0.05. No adjustments for multiple primary endpoints will be made. Nominal 2-sided p-values will be reported for each primary efficacy endpoint.

The primary analyses for the 2 primary efficacy endpoints will be a test for dose response. Within each primary efficacy endpoint, 3 sets of pairwise comparisons for the 2 active treatment groups and placebo will only be performed if the test for dose response is significant.

# **8. DATA HANDLING CONVENTIONS**

“End of treatment” will refer to the subject’s Week 24 visit or early termination visit.  
“End of study” will refer to the subject’s Week 26 visit or early termination visit.

## **8.1. Early Termination and Missing Data**

If possible, data for subjects who terminated the study early will be collected at the scheduled Week 24 visit.

Laboratory values collected after the discontinuation of study drug will be used. The assessment date will be compared with the last dose of study drug to determine if the assessment was made within the defined washout period and a flag will be set to indicate this status.

Missing postbaseline efficacy values will be imputed using last observation carried forward (LOCF). Missing baseline values and missing safety data will not be imputed. For the efficacy data, the last observation carried forward will be based on the targeted assessments (i.e. those assigned to be the analyzable assessment based on the assessment windows).

## 8.2. Assessment Windows

In general, assessments will be assigned to visits as collected on the CRFs, and will disregard the actual date of the assessment. For example, if an assessment is recorded on the Visit 10 CRF page, the assessment will be assigned to Week 16 (Visit 10).

The ADAS-Cog (11), CIBIC+, and NPI-X assessments will also be assigned to visits based on the actual visit dates, as will laboratory assessments. Actual visit days will be determined relative to the date of randomization, using the algorithm {day = visit date – randomization date}. If multiple assessments fall into the same visit window (windows defined in following table), then the assessment closest to the target day will be selected. [Note that retrieval visits (visit number 201) are included for the purpose of selecting assessments for the week 24 visit window.] If two assessments are equidistant from the target day, then the assessment prior to the target day will be selected. In situations where imputation of missing values is also involved, imputation will use the targeted assessments within the windows.

Variable	Scheduled Visit	Time Interval (label on output)	Time Interval (Day)	Target Time Point (Day)
ADAS-Cog CIBIC+	3	Baseline	$\leq 1$	1
	8	Week 8	2-84	56
	10	Week 16	85-140	112
	12	Week 24	>140	168
NPI-X	3	Baseline	$\leq 1$	1
	4	Week 2	2-21	14
	5	Week 4	22-35	28
	7	Week 6	36-49	42
	8	Week 8	50-63	56
	8.1	Week 10 (Tel)	64-77	70
	9	Week 12	78-91	84
	9.1	Week 14 (Tel)	92-105	98
	10	Week 16	106-119	112
	10.1	Week 18 (Tel)	120-133	126
	11	Week 20	134-147	140
	11.1	Week 22 (Tel)	148-161	154
	12	Week 24	162-175	168
	13	Week 26	>175	182

### 8.3. Laboratory Data

Multiple laboratory assessments within visit/week windows may be collected. In part, this will be a function of safety monitoring procedures as described in the protocol. Additional and unscheduled labs may also be collected for other reasons. Only planned laboratory values collected at scheduled visits are used for analysis. Additional lab values will be included in datasets, but not incorporated into analyses. A flag will be set to indicate the last on-treatment (prior to or at week 24) observation for each lab parameter.

A change from baseline laboratory value will be calculated as the difference between the baseline lab value and the endpoint value (i.e., the value at the specified visit) and the end of treatment observation.

In addition, each laboratory value, including the baseline value, will be categorized with reference to the lab normal range as

- “L” - less than or equal to the lower limit of normal
- “N” – Greater than the Lower Limit of Normal and less than the Upper Limit of Normal
- “H” – Greater than or equal to the Upper Limit of Normal.

Laboratory values will be assigned a flag of abnormal (high or low) if the value is outside the threshold range (defined as significantly beyond the normal range, i.e.,  $>1.5 \times \text{ULN}$  or  $<.5 \times \text{LLN}$ ) or if the value is significantly different from the value observed at the preceding scheduled visit (i.e., absolute value of the change from previous value is larger than the 50% of the normal range,  $\text{ULN} - \text{LLN}$ ). In addition, the ratio of the value to its LLN (i.e.,  $\text{value}/\text{LLN}$ ) and to its ULN (i.e.,  $\text{value}/\text{ULN}$ ) will be calculated for inclusion in the datasets, but not analyzed. These ratios will allow a quick searching for subjects with values greater than  $1.5 \times \text{ULN}$ , for example, without the use of additional flags.

A separate analysis dataset will be provided containing the lab parameters needed for the assessment of Hy's Law. An abnormal flag will be assigned to indicate whether a subject meets the criteria for a modified Hy's Law assessment of liver function, defined as:

1. Transaminase (SGPT/ALT or SGOT/AST) elevations (i.e.,  $>1.5 \times \text{ULN}$ ) and
2. Bilirubin elevated to greater than  $1.5 \times \text{ULN}$ .

### 8.4. Values of Clinical Concern

#### 8.4.1. Laboratory Values

Laboratory values will be assigned a flag of abnormal if the value is significantly beyond the normal range or if the value is significantly different from the proceeding value.

In addition, elevated liver function values will be used to trigger retesting and possible discontinuation of study drug as described in the protocol. These values of clinical concern are:

- Patients with ALT/SGPT levels  $>120$  IU

- Patients with ALT/SGPT values >400 IU, or alternatively, an elevated ALT/SGPT accompanied by GGT and/or ALP values >500 IU

## **9. STUDY POPULATION**

### **9.1. Disposition of Subjects**

The number of subjects randomized, number of subjects in the ITT population, number of subjects in the safety population and number of subjects in the efficacy population will be summarized by treatment group. The number and percentage of subjects who complete the study as well as subjects who withdraw prematurely from the study will be displayed. The reasons for early termination will be summarized.

Fisher's exact test will be used to analyze 3 reasons for study discontinuation (protocol completed, lack of efficacy, and adverse event).

### **9.2. Demographic and Baseline Characteristics**

The following will be summarized by treatment group and across all treatment groups.

- Age
- Age category (<65, 65-80, >80)
- Sex
- Race
- Mini-Mental State
- Duration of disease [computed as months between date of Week -2 (Visit 1) and date of onset of the first definite symptoms of Alzheimer's Disease]
- Years of education
- Weight, height, BMI at Baseline (Visit 3 for weight and BMI, Visit 1 for height)
- BMI category (BMI<25, BMI 25-<30, BMI≥30)

The treatment groups will be compared by analysis of variance (ANOVA) for continuous variables and by Pearson's chi-square test for categorical variables. Note that because patients are randomized to 1 of the 3 treatment groups, any statistically significant treatment group differences are by definition a Type I error; however, the resulting p-values will be used as another descriptive statistic to help focus possible additional analyses (for example, analysis of covariance, subset analyses) on those factors that are most imbalanced (that is, that have the smallest p-values).

Baseline comparisons across treatment groups for the Mini-Mental State Examination (MMSE) will be made using analysis of variance with treatment and site as main effects.

### 9.3. Treatment Compliance

Treatment compliance will not be provided.

## 10. EFFICACY ANALYSES

Refer to Section [15.1 \(Deviations from Protocol-Specified Efficacy Analyses\)](#) for a description of how these analyses differ from the protocol-specified efficacy analyses.

A key difference from the protocol is that efficacy assessments are considered valid even if they occur while off study drug.

### 10.1. Primary Efficacy Endpoints

#### 10.1.1. ADAS-COG (11)

The primary analysis of the ADAS-Cog (11) at Week 24 will use the efficacy population with LOCF imputation for any missing values at Week 24. A secondary analysis will be performed for the Week 24 endpoint using the completers subset using observed data. For each of these analyses, an ANCOVA model will be used with the baseline score, site, and treatment included as independent variables. Treatment will be included as a continuous variable, and results for a test of dose response will be produced. Interaction terms will not be investigated. If the test for dose response is statistically significant, pairwise comparisons among the 3 groups will be performed and evaluated at a significance level of 0.05.

Summary statistics will be generated for each visit including baseline using the efficacy population with LOCF imputation. The visits for ADAS-Cog (11) are baseline (Week 0), Week 8, Week 16, and Week 24.

A supportive analysis for the ADAS-Cog (11) will use a likelihood-based repeated measures (MMRM) analysis. In this analysis for the change from baseline in the ADAS-Cog (11) at Week 24, the independent variables included in the model are the fixed, categorical effects of treatment, site, time (week), and treatment by time interaction along with the continuous effects of baseline ADAS-Cog (11) score and baseline ADAS-Cog (11) score by time interaction. Barring a computational singularity, an unstructured covariance matrix will be used to model the within-subject errors in the MMRM analysis. The unstructured covariance matrix is chosen to allow the analysis to be unconstrained by the structure of the covariance. If there is any computational singularity, a Toeplitz covariance matrix will be used. The Toeplitz covariance structure provides reasonable flexibility in estimating correlation between visits.

Additional details regarding scoring and methods for handling missing data for ADAS-Cog (11) are in [Appendix 1](#) of this analysis plan.

### **10.1.2. CIBIC+**

The primary analysis of CIBIC+ at Week 24 will use the efficacy population with LOCF imputation for any missing values at Week 24. For this endpoint, an ANOVA model will be used with site and treatment included as independent variables. Interaction terms will not be investigated. Treatment will be included as a continuous variable, and results for a test of dose response will be produced. If the test for dose response is statistically significant, pairwise comparisons among the 3 groups will be performed and evaluated at a significance level of 0.05.

Summary statistics will be generated for each visit using the efficacy population with LOCF imputation. The visits for CIBIC+ are Week 8, Week 16, and Week 24.

Additional details regarding scoring for CIBIC+ are in [Appendix 1](#) of this analysis plan.

## **10.2. Secondary Efficacy Endpoints**

### **10.2.1. NPI-X**

The primary analysis of mean NPI-X total score from Week 4 to Week 24 will use the efficacy population. This endpoint will be calculated as the mean of all available total scores between Weeks 4 and 24, inclusive. For this endpoint, an ANCOVA model will be used with the baseline score, site, and treatment included as independent variables. Interaction terms will not be investigated. Treatment will be included as a continuous variable, and results for a test of dose response will be produced. If the test for dose response is statistically significant, pairwise comparisons among the 3 groups will be performed and evaluated at a significance level of 0.05.

The visits for NPI-X are baseline (Week 0), Week 2, Week 4, Week 6, Week 8, Week 10 (telephone), Week 12, Week 14 (telephone), Week 16, Week 18 (telephone), Week 20, Week 22 (telephone), Week 24, Week 26.

Additional details regarding scoring and methods for handling missing data for NPI-X are in [Appendix 1](#) of this analysis plan.

## **11. SAFETY ANALYSES**

### **11.1. Extent of Exposure**

Average daily dose and cumulative dose at end of study (Week 26 or early termination) will be computed for each subject. Summary statistics will be computed for each of the above quantities for each treatment group.

### **11.2. Adverse Events**

For this submission, the adverse events will be recoded according to MedDRA. Treatment emergent adverse events will be cross-tabulated by System Organ Class (SOC)

and preferred term (PT). Please refer to [Appendix 15.5](#) for additional information about the MedDRA coding. The incidence of treatment emergent events grouped under preferred terms for each active treatment will be compared to placebo using Fisher's exact test. Treatment emergent adverse events are defined relative to the date of first dose [Week 0 (Visit 3) unless indicated otherwise] as

- events with a start date that is equal to or greater than the date of first dose
- events that start prior to the date of first dose and worsen after that date
- events that start and resolve prior to the date of first dose, but then recur after that the date of first dose.

If the recording of an adverse event start date is not complete, imputation of the start date will be done in a conservative manner. Adverse events will be considered treatment emergent if the year and/or the month is the same as the treatment start year and month. In the case of a completely missing adverse event start date, the start date will be imputed as the day of first dose. No imputation of adverse events dates where the partial date clearly indicates a start prior to the beginning of treatment will be done.

Due to the formulation of the clinical path, it is anticipated that there may be an increase in adverse events that are associated with the application of the skin path. For this reason, additional analysis of dermatological adverse events will be conducted. A category of special events will be created to identify the events that are considered dermatological events. These events will be determined by the medical review of blinded coded adverse event terms and all preferred terms that are considered to be dermatologic in nature, such as rash, pruritus, or dermatitis, will be flagged as adverse events of special interest. A complete list of preferred terms that are considered to be dermatologic events will be provided in the final analysis. The time to the first dermatological event will be compared across the treatment groups using Kaplan-Meier methods. Graphical displays of the survival curves will be presented.

### **11.3. Deaths and Serious Adverse Events**

Serious adverse events (SAEs) will be summarized by SOC and PT. Incidence of SAEs will be compared between active drug groups and placebo, again using Fisher's exact test.

### **11.4. Adverse Events Leading to Discontinuation of Investigational Product and/or Withdrawal from the Study and Other Significant Adverse Events**

For this submission, no formal summarization of adverse events leading to discontinuation or withdrawal from the study will be conducted. The analysis data will provide variables to identify these adverse events.



## 11.5. Clinical Laboratory Evaluations

Hematology, and clinical chemistry will be summarized for Baseline and Weeks 2, 4, 6, 8, 12, 16, 20, 24, and 26 (Visits 1, 4, 5, 7, 8, 9, 10, 11, 12, and 13, respectively). Urinalysis and other lab data will not be summarized, but will be included in the tabulation datasets. The baseline values will be those collected at Week -2 (Visit 1).

Four assessments of abnormality will be identified for each laboratory analyte, as described in [Section 8.4.1](#):

- Values outside the normal range
- Values significantly beyond the normal range (i.e., outside the threshold range)
- Values differing significantly from values at the previous scheduled visit,
- Abnormal values as defined by Hy's Law

The number of subjects with no abnormal measure during treatment and those with at least one abnormal measure during treatment will be summarized for each lab analyte. Two tables will be provided – one defining abnormal as beyond normal range (i.e, below LLN or above ULN) and the other defining abnormal as a clinically significant change from the previous visit. Fisher's exact test will be used to analyze the incidence of abnormal (high or low) measures during the post-randomization phase.

A display summarizing shifts from baseline by week in terms of abnormality based on threshold range will be provided. The data will be summarized using sets of 3x3 matrices comparing baseline and on drug categorization for each treatment group for each week for each laboratory analyte.

Shift tables summarizing whether or not a subject's status changed from baseline during the treatment period will be provided for changes based on threshold ranges and changes based on Hy's Law. In these tables a subject will be categorized as normal or abnormal (i.e., outside the threshold range) at baseline. During the treatment phase, the most extreme value will be used to categorize a patient as normal or abnormal during the treatment phase. The shift table will show the number of patients whose on treatment categorization was the same or shifted from the baseline categorization. The treatment period is defined as any planned visit after Week 0 (Visit 3), up to and including Week 24 (Visit 12). A Cochran-Mantel-Haenszel (CMH) test, stratifying by status at baseline, will be performed.

## 11.6. Other Safety Measures

Vital sign data (blood pressure supine, blood pressure standing 1 minute, blood pressure standing 3 minutes, heart rate supine, heart rate standing 1 minute, and heart rate standing 3 minutes) at baseline and Week 24 and end of treatment (last visit on or before Week 24 visit) will be summarized by treatment group. Change from baseline will also be summarized.

Weight data at baseline and Week 24 (with and without including early terminations) will be summarized by treatment group. Change from baseline will also be summarized.

The concomitant medication data will be coded using a publicly available sample of WHO Drug. Drugs not matching those in the sample will be considered “uncoded” for the purposes of this submission. The number and percent of subjects receiving each concomitant medication will be summarized. Concomitant medications will be reported by Body System and ingredient. Medications will be sorted in descending order of total incidence across treatment groups for the Body System and in descending order of total incidence for the ingredient within each Body System. If the total incidence for any two or more ingredients is equal, the events will be presented in alphabetical order.

## **12. REFERENCES**

## **13. ATTACHMENTS**

### **13.1. Table of Contents for Data Display Specifications**

#### **13.1.1. Tables**

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2. Summary of End of Study Data ([Template 2](#))
3. Summary of Demographic and Baseline Characteristics ([Template 3](#))
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5. Primary Endpoint Analysis: ADAS Cog (11) - Change from Baseline to Week 24 – LOCF ([Template 5](#))
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7. ADAS Cog (11) - Change from Baseline to Week 8 – LOCF ([Template 5](#))
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12. ADAS Cog (11) - Change from Baseline to Week 24 in Male Subjects – LOCF ([Template 8](#))
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26. Summary of Vital Signs at Baseline and End of Treatment ([Template 21](#))
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29. Summary of Concomitant Medications (Number of Subjects) ([Template 24](#))

### **13.1.2. Figures**

1. Time to First Dermatological Event by Treatment Group ([Figure 1](#))

### **13.1.3. General Comments for Data Displays**

General programming comments: use font size 10.

Note that the templates that follow are for example only. Appropriate changes should be made to titles, as listed in [Section 13.1](#).

## **13.2. Templates for Data Displays**

On following pages.

Protocol: CDISCPILLOT01  
Population: All Subjects

**Template 1**  
Summary of Populations

one dose  
one assessment

Population	Placebo (N=xxx)	Xanomeline Low Dose (N=xxx)	Xanomeline High Dose (N=xxx)	Total (N=xxx)
Intent-To-Treat (ITT)	xxx (xx%)	xxx (xx%)	xxx (xx%)	xxx (xx%)
Safety	xxx (xx%)	xxx (xx%)	xxx (xx%)	xxx (xx%)
Efficacy	xxx (xx%)	xxx (xx%)	xxx (xx%)	xxx (xx%)
Completer Week 24	xxx (xx%)	xxx (xx%)	xxx (xx%)	xxx (xx%)
Complete Study	xxx (xx%)	xxx (xx%)	xxx (xx%)	xxx (xx%)

NOTE: N in column headers represents number of subjects entered in study (i.e., signed informed consent). The ITT population includes all subjects randomized. The Safety population includes all randomized subjects known to have taken at least one dose of randomized study drug. The Efficacy population includes all subjects in the safety population who also have at least one post-baseline ADAS-Cog and CIBIC+ assessment.

Protocol: CDISCPILLOT01  
Population: Intent-to-Treat

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**Template 2**  
Summary of End of Study Data

	Placebo (N=xxx)	Xanomeline Low Dose (N=xxx)	Xanomeline High Dose (N=xxx)	Total (N=xxx)	p-value[1]
Completion Status					
Completed Week 24	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	0.xxx
Early Termination (prior to Week 24)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	
Missing	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	
Reason for Early Termination (prior to Week 24)					
Adverse event	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	0.xxx
Death	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	
Lack of efficacy [2]	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	0.xxx
Lost to follow-up	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	
Subject decided to withdraw	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	
Physician decided to withdraw subject	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	
Protocol criteria not met	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	
Protocol violation	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	
Sponsor decision	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	
Missing	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	

[1] Fisher's exact test.

[2] Based on either patient/caregiver perception or physician perception.

Protocol: CDISCPILLOT01  
Population: Intent-to-Treat

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**Template 3**  
Summary of Demographic and Baseline Characteristics

		Placebo (N=100)	Xanomeline Low Dose (N=100)	Xanomeline High Dose (N=100)	Total (N=300)	p-value [1]
Age (y)	n	xx	xx	xx	xx	
	Mean	xx.x	xx.x	xx.x	xx.x	0.xxx
	SD	x.xx	x.xx	x.xx	x.xx	
	Median	xx.x	xx.x	xx.x	xx.x	
	Min.	xx.x	xx.x	xx.x	xx.x	
	Max.	xx.x	xx.x	xx.x	xx.x	
	<65 yrs	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	0.xxx
	65-80 yrs	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	
	>80 yrs	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	
Sex	n	xxx	xxx	xxx	xxx	0.xxx
	Female	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	
	Male	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	
Origin	n	xxx	xxx	xxx	xxx	0.xxx
	Black	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	
	White	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	
	...					

Also summarize: MMSE, Duration of disease (cont. and as <12 months, >=12 months), Years of education, Baseline Weight, Baseline Height, Baseline BMI (cont. and as normal(<25), overweight(25-<30), obese(>=30))

[1] P-values are results of ANOVA treatment group comparisons for continuous variables and Pearson's chi-square test for categorical variables.

NOTE: Duration of disease is computed as months between date of enrollment and date of onset of the first definite symptoms of Alzheimer's disease.

Protocol: CDISCPILLOT01  
Population: All Subjects

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**Template 4**  
Summary of Number of Subjects by Site

Pooled Id	Site Id	Placebo (N=xxx)			Xanomeline Low Dose (N=xxx)			Xanomeline High Dose (N=xxx)			Total (N=xxx)		
		ITT	Eff	Com	ITT	Eff	Com	ITT	Eff	Com	ITT	Eff	Com
xxxxxxx	xxxxxxx	xxx	xxx	xxx	xxx	xxx	xxx	xxx	xxx	xxx	xxx	xxx	xxx

Note: ITT: Number of subjects in the ITT population, Eff: Number of subjects in the Efficacy population; Com: Number of subjects completing Week 24

Protocol: CDISCPILLOT01

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Population: Efficacy

**Template 5**

ADAS Cog (11) - Change from Baseline to Week xx - LOCF

	Placebo (N=xxx)	Xanomeline Low Dose (N=xxx)	Xanomeline High Dose (N=xxx)	
<b>Baseline</b>				
N	xxx	xxx	xxx	
Mean (SD)	xx.x (xx.xx)	xx.x (xx.xx)	xx.x (xx.xx)	
Median (Range)	xx.x (xx;xxx)	xx.x (xx;xxx)	xx.x (xx;xxx)	
<b>Week xx</b>				
N	xxx	xxx	xxx	
Mean (SD)	xx.x (xx.xx)	xx.x (xx.xx)	xx.x (xx.xx)	
Median (Range)	xx.x (xx;xxx)	xx.x (xx;xxx)	xx.x (xx;xxx)	
<b>Change from Baseline</b>				
N	xxx	xxx	xxx	
Mean (SD)	xx.x (xx.xx)	xx.x (xx.xx)	xx.x (xx.xx)	
Median (Range)	xx.x (xx;xxx)	xx.x (xx;xxx)	xx.x (xx;xxx)	
P-value(Dose Response) [1][2]			x.xxx	ancova adjust baseline
P-value(Xan - Placebo) [1][3]		x.xxx	x.xxx	
Diff. of LS Means (SE)		xx.x(x.xx)	xx.x(x.xx)	ancova with pairwise
95% CI		(xx.xx;xx.xx)	(xx.xx;xx.xx)	but not adjust p value
P-value(Xan High - Xan Low) [1][3]			x.xxx	
Diff. of LS Means (SE)			xx.x(x.xx)	
95% CI			(xx.xx;xx.xx)	
[1] Based on Analysis of covariance (ANCOVA) model with treatment and site as factors, and baseline ADAS Cog (11) value as a covariate.				
[2] Test for a non-zero coefficient for treatment (dose) as a continuous variable.				
[3] Pairwise comparison with treatment as a categorical variable: p-values without adjustment for multiple comparisons.				



Protocol: CDISCPILLOT01  
Population: Efficacy

**Template 6**  
CIBIC+ - Summary at Week xx - LOCF

	Placebo (N=xxx)	Xanomeline Low Dose (N=xxx)	Xanomeline High Dose (N=xxx)
<b>Week xx</b>			
N	xxx	xxx	xxx
Mean (SD)	xx.x (xx.xx)	xx.x (xx.xx)	xx.x (xx.xx)
Median (Range)	xx.x (xx;xxx)	xx.x (xx;xxx)	xx.x (xx;xxx)
P-value(Dose Response) [1][2]			x.xxx
P-value(Xan - Placebo) [1][3]		x.xxx	x.xxx
Diff. of LS Means (SE)		xx.x(x.xx)	xx.x(x.xx)
95% CI		(xx.xx;xx.xx)	(xx.xx;xx.xx)
P-value(Xan High - Xan Low) [1][3]			x.xxx
Diff. of LS Means (SE)			xx.x(x.xx)
95% CI			(xx.xx;xx.xx)

[1] Based on Analysis of covariance (ANCOVA) model with treatment and site as factors.  
[2] Test for a non-zero coefficient for treatment (dose) as a continuous variable.  
[3] Pairwise comparison with treatment as a categorical variable: p-values without adjustment for multiple comparisons.

Protocol: CDISCPILLOT01

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Population: Completers

**Template 7**

ADAS Cog (11) - Change from Baseline to Week 24 - Completers at Week 24 - Observed Cases-Windowed

	Placebo (N=xxx)	Xanomeline Low Dose (N=xxx)	Xanomeline High Dose (N=xxx)
<b>Baseline</b>			
N	xxx	xxx	xxx
Mean (SD)	xx.x (xx.xx)	xx.x (xx.xx)	xx.x (xx.xx)
Median (Range)	xx.x (xx;xxx)	xx.x (xx;xxx)	xx.x (xx;xxx)
<b>Week 24</b>			
N	xxx	xxx	xxx
Mean (SD)	xx.x (xx.xx)	xx.x (xx.xx)	xx.x (xx.xx)
Median (Range)	xx.x (xx;xxx)	xx.x (xx;xxx)	xx.x (xx;xxx)
<b>Change from Baseline</b>			
N	xxx	xxx	xxx
Mean (SD)	xx.x (xx.xx)	xx.x (xx.xx)	xx.x (xx.xx)
Median (Range)	xx.x (xx;xxx)	xx.x (xx;xxx)	xx.x (xx;xxx)
P-value(Dose Response) [1][2]			x.xxx
P-value(Xan - Placebo) [1][3]		x.xxx	x.xxx
Diff. of LS Means (SE)		xx.x (x.xx)	xx.x (x.xx)
95% CI		(xx.xx;xx.xx)	(xx.xx;xx.xx)
P-value(Xan High - Xan Low) [1][3]			x.xxx
Diff. of LS Means (SE)			xx.x (x.xx)
95% CI			(xx.xx;xx.xx)

[1] Based on Analysis of covariance (ANCOVA) model with treatment and site as factors, and baseline ADAS Cog (11) value as a covariate.

[2] Test for a non-zero coefficient for treatment (dose) as a continuous variable.

[3] Pairwise comparison with treatment as a categorical variable: p-values without adjustment for multiple comparisons.

Protocol: CDISCPILLOT01

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Population: Efficacy

**Template 8**

ADAS Cog (11) - Change from Baseline to Week 24 in Male Subjects - LOCF

	Placebo (N=xxx)	Xanomeline Low Dose (N=xxx)	Xanomeline High Dose (N=xxx)
<b>Baseline</b>			
N	xxx	xxx	xxx
Mean (SD)	xx.x (xx.xx)	xx.x (xx.xx)	xx.x (xx.xx)
Median (Range)	xx.x (xx;xxx)	xx.x (xx;xxx)	xx.x (xx;xxx)
<b>Week 24</b>			
N	xxx	xxx	xxx
Mean (SD)	xx.x (xx.xx)	xx.x (xx.xx)	xx.x (xx.xx)
Median (Range)	xx.x (xx;xxx)	xx.x (xx;xxx)	xx.x (xx;xxx)
<b>Change from Baseline</b>			
N	xxx	xxx	xxx
Mean (SD)	xx.x (xx.xx)	xx.x (xx.xx)	xx.x (xx.xx)
Median (Range)	xx.x (xx;xxx)	xx.x (xx;xxx)	xx.x (xx;xxx)
P-value(Dose Response) [1][2]			x.xxx
P-value(Xan - Placebo) [1][3]		x.xxx	x.xxx
Diff. of LS Means (SE)		xx.x (x.xx)	xx.x (x.xx)
95% CI		(xx.xx;xx.xx)	(xx.xx;xx.xx)
P-value(Xan High - Xan Low) [1][3]			x.xxx
Diff. of LS Means (SE)			xx.x (x.xx)
95% CI			(xx.xx;xx.xx)

[1] Based on Analysis of covariance (ANCOVA) model with treatment and site as factors, and baseline ADAS Cog (11) value as a covariate.

[2] Test for a non-zero coefficient for treatment (dose) as a continuous variable.

[3] Pairwise comparison with treatment as a categorical variable: p-values without adjustment for multiple comparisons.

Protocol: CDISCPILLOT01

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Population: Efficacy

**Template 9**

ADAS Cog (11) - Mean and Mean Change from Baseline over Time

		N	Mean	SD	Med	Min	Max	Bsln		Change from Bsln					
								Mean	(SD)	N	Mean	SD	Med	Min	Max
Placebo	Bsln	xxx	x.xx	x.xxx	x.xx	x.x	x.x								
	Wk 8(Windowed)	xxx	x.xx	x.xxx	x.xx	x.x	x.x	x.xx	(x.xxx)	xxx	x.xx	x.xxx	x.xx	x.x	x.x
	Wk 16(Windowed)	xxx	x.xx	x.xxx	x.xx	x.x	x.x	x.xx	(x.xxx)	xxx	x.xx	x.xxx	x.xx	x.x	x.x
	Wk 24(Windowed)	xxx	x.xx	x.xxx	x.xx	x.x	x.x	x.xx	(x.xxx)	xxx	x.xx	x.xxx	x.xx	x.x	x.x
	Wk 8 LOCF	xxx	x.xx	x.xxx	x.xx	x.x	x.x	x.xx	(x.xxx)	xxx	x.xx	x.xxx	x.xx	x.x	x.x
	Wk 16 LOCF	xxx	x.xx	x.xxx	x.xx	x.x	x.x	x.xx	(x.xxx)	xxx	x.xx	x.xxx	x.xx	x.x	x.x
	Wk 24 LOCF	xxx	x.xx	x.xxx	x.xx	x.x	x.x	x.xx	(x.xxx)	xxx	x.xx	x.xxx	x.xx	x.x	x.x
Xan Low	Bsln	xxx	x.xx	x.xxx	x.xx	x.x	x.x								
	Wk 8(Windowed)	xxx	x.xx	x.xxx	x.xx	x.x	x.x	x.xx	(x.xxx)	xxx	x.xx	x.xxx	x.xx	x.x	x.x
	Wk 16(Windowed)	xxx	x.xx	x.xxx	x.xx	x.x	x.x	x.xx	(x.xxx)	xxx	x.xx	x.xxx	x.xx	x.x	x.x
	Wk 24(Windowed)	xxx	x.xx	x.xxx	x.xx	x.x	x.x	x.xx	(x.xxx)	xxx	x.xx	x.xxx	x.xx	x.x	x.x
	Wk 8 LOCF	xxx	x.xx	x.xxx	x.xx	x.x	x.x	x.xx	(x.xxx)	xxx	x.xx	x.xxx	x.xx	x.x	x.x
	Wk 16 LOCF	xxx	x.xx	x.xxx	x.xx	x.x	x.x	x.xx	(x.xxx)	xxx	x.xx	x.xxx	x.xx	x.x	x.x
	Wk 24 LOCF	xxx	x.xx	x.xxx	x.xx	x.x	x.x	x.xx	(x.xxx)	xxx	x.xx	x.xxx	x.xx	x.x	x.x
Xan High	Bsln	xxx	x.xx	x.xxx	x.xx	x.x	x.x								
	Wk 8(Windowed)	xxx	x.xx	x.xxx	x.xx	x.x	x.x	x.xx	(x.xxx)	xxx	x.xx	x.xxx	x.xx	x.x	x.x
	Wk 16(Windowed)	xxx	x.xx	x.xxx	x.xx	x.x	x.x	x.xx	(x.xxx)	xxx	x.xx	x.xxx	x.xx	x.x	x.x
	Wk 24(Windowed)	xxx	x.xx	x.xxx	x.xx	x.x	x.x	x.xx	(x.xxx)	xxx	x.xx	x.xxx	x.xx	x.x	x.x
	Wk 8 LOCF	xxx	x.xx	x.xxx	x.xx	x.x	x.x	x.xx	(x.xxx)	xxx	x.xx	x.xxx	x.xx	x.x	x.x
	Wk 16 LOCF	xxx	x.xx	x.xxx	x.xx	x.x	x.x	x.xx	(x.xxx)	xxx	x.xx	x.xxx	x.xx	x.x	x.x
	Wk 24 LOCF	xxx	x.xx	x.xxx	x.xx	x.x	x.x	x.xx	(x.xxx)	xxx	x.xx	x.xxx	x.xx	x.x	x.x

Protocol: CDISCPILLOT01

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Population: Efficacy

**Template 10**

ADAS Cog (11) - Repeated Measures Analysis of Change from Baseline to Week 24

	Placebo (N=xxx)	Xanomeline Low Dose (N=xxx)	Xanomeline High Dose (N=xxx)
LS Means (SE)	xx.x (x.xx)	xx.x (x.xx)	xx.x (x.xx)
p-value (Xan - placebo)		x.xxx	x.xxx
Diff of LS Means (SE)		xx.x (x.xx)	xx.x (x.xx)
95% CI		(xx.xx;xx.xx)	(xx.xx;xx.xx)
p-value (Xan High - Xan Low)			x.xxx
Diff of LS Means (SE)			xx.x (x.xx)
95% CI			(xx.xx;xx.xx)

Note: The change from baseline is calculated as the post-baseline score minus the baseline score. The covariates included in the MMRM model are treatment, site, time and treatment by time interaction, baseline ADAS-Cog (11) score, and baseline ADAS-Cog (11) score by time interaction.

mmrm model include what  
difference of ls means

Protocol: CDISCPILOT01  
Population: Efficacy

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**Template 11**

Mean NPI-X Total Score from Week 4 through Week 24 - Windowed

	Placebo (N=xxx)	Xanomeline Low Dose (N=xxx)	Xanomeline High Dose (N=xxx)
<b>Baseline</b>			
N	xxx	xxx	xxx
Mean (SD)	xx.x (xx.xx)	xx.x (xx.xx)	xx.x (xx.xx)
Median (Range)	xx.x (xx;xxx)	xx.x (xx;xxx)	xx.x (xx;xxx)
<b>Mean of Weeks 4-24</b>			
N	xxx	xxx	xxx
Mean (SD)	xx.x (xx.xx)	xx.x (xx.xx)	xx.x (xx.xx)
Median (Range)	xx.x (xx;xxx)	xx.x (xx;xxx)	xx.x (xx;xxx)
P-value(Dose Response) [1][2]			x.xxx
P-value(Xan - Placebo) [1][3]		x.xxx	x.xxx
Diff. of LS Means (SE)		xx.x (x.xx)	xx.x (x.xx)
95% CI		(xx.xx;xx.xx)	(xx.xx;xx.xx)
P-value(Xan High - Xan Low) [1][3]			x.xxx
Diff. of LS Means (SE)			xx.x (x.xx)
95% CI			(xx.xx;xx.xx)

[1] Based on Analysis of covariance (ANCOVA) model with treatment and site as factors, and baseline NPI-X value as a covariate.

[2] Test for a non-zero coefficient for treatment (dose) as a continuous variable.

[3] Pairwise comparison with treatment as a categorical variable: p-values without adjustment for multiple comparisons.

Protocol: CDISCPIL0T01

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Population: Safety

**Template 12**

Summary of Planned Exposure to Study Drug, as of End of Study

		Completers at Week 24			Safety Population [1]		
		Placebo (N=100)	Xanomeline Low Dose (N=100)	Xanomeline High Dose (N=100)	Placebo (N=100)	Xanomeline Low Dose (N=100)	Xanomeline High Dose (N=100)
Average daily dose (mg)	n	xx	xx	xx	xx	xx	xx
	Mean	xx.x	xx.x	xx.x	xx.x	xx.x	xx.x
	SD	x.xx	x.xx	x.xx	x.xx	x.xx	x.xx
	Median	xx.x	xx.x	xx.x	xx.x	xx.x	xx.x
	Min.	xx.x	xx.x	xx.x	xx.x	xx.x	xx.x
	Max.	xx.x	xx.x	xx.x	xx.x	xx.x	xx.x
Cumulative dose at end of study [2]	n	xx	xx	xx	xx	xx	xx
	Mean	xx.x	xx.x	xx.x	xx.x	xx.x	xx.x
	SD	x.xx	x.xx	x.xx	x.xx	x.xx	x.xx
	Median	xx.x	xx.x	xx.x	xx.x	xx.x	xx.x
	Min.	xx.x	xx.x	xx.x	xx.x	xx.x	xx.x
	Max.	xx.x	xx.x	xx.x	xx.x	xx.x	xx.x

[1] Includes completers and early terminations.

[2] End of Study refers to Week 26/Early Termination.

Protocol: CDISCPIL0T01

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Population: Safety

## Template 13

Incidence of Treatment Emergent Adverse Events by Treatment Group

SYSTEM ORGAN CLASS PREFERRED TERM	Placebo (N=xxx)		Xanomeline Low Dose (N=xxx)		Xanomeline High Dose (N=xxx)		Placebo vs. Xan Low Dose	Placebo vs. Xan High Dose
	n (%)	Total Events	n (%)	Total Events	n (%)	Total Events	p-value[1]	p-value[1]
Subjects with at least one AE	xx (xx%)	xxx	xx (xx%)	xxx	xx (xx%)	xxx	0.xxx	0.xxx
Cardiac Disorders								
At Least One Event	xx (xx%)	xxx	xx (xx%)	xxx	xx (xx%)	xxx	0.xxx	0.xxx
Hypertension	xx (xx%)	xxx	xx (xx%)	xxx	xx (xx%)	xxx	0.xxx	0.xxx
Palpitation	xx (xx%)	xxx	xx (xx%)	xxx	xx (xx%)	xxx	0.xxx	0.xxx
etc..	xx (xx%)	xxx	xx (xx%)	xxx	xx (xx%)	xxx	0.xxx	0.xxx
Infections and Infestations								
At Least One Event	xx (xx%)	xxx	xx (xx%)	xxx	xx (xx%)	xxx	0.xxx	0.xxx
Cold, Common	xx (xx%)	xxx	xx (xx%)	xxx	xx (xx%)	xxx	0.xxx	0.xxx
Infections	xx (xx%)	xxx	xx (xx%)	xxx	xx (xx%)	xxx	0.xxx	0.xxx
etc...	xx (xx%)	xxx	xx (xx%)	xxx	xx (xx%)	xxx	0.xxx	0.xxx
Nervous System Disorders								
At Least One Event	xx (xx%)	xxx	xx (xx%)	xxx	xx (xx%)	xxx	0.xxx	0.xxx
etc...	xx (xx%)	xxx	xx (xx%)	xxx	xx (xx%)	xxx	0.xxx	0.xxx

Note: Treatment emergent events are defined as events which start or worsen or recur on or after the start of treatment.

Note: Adverse events are coded using MedDRA.

Note: Percentages are based on the number of subjects in the safety population within each treatment group.

Note: P-values are based on Fisher's Exact test for the comparison of placebo versus each active treatment group.

Note: Total Events represent the total number of times an event was recorded within each treatment group.

total of events



Protocol: CDISCPILLOT01

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Population: Safety

**Template 14**

Incidence of Treatment Emergent Serious Adverse Events by Treatment Group

SYSTEM ORGAN CLASS PREFERRED TERM	Placebo (N=xxx)		Xanomeline Low Dose (N=xxx)		Xanomeline High Dose (N=xxx)		Placebo vs. Xan	Placebo
	n (%)	Total Events	n (%)	Total Events	n (%)	Total Events	Low Dose p-value[1]	High Dose p-value[1]
Subjects with at least one AE	xx (xx%)	xxx	xx (xx%)	xxx	xx (xx%)	xxx	0.xxx	0.xxx
Cardiac Disorders								
At Least One Event	xx (xx%)	xxx	xx (xx%)	xxx	xx (xx%)	xxx	0.xxx	0.xxx
Hypertension	xx (xx%)	xxx	xx (xx%)	xxx	xx (xx%)	xxx	0.xxx	0.xxx
Palpitation	xx (xx%)	xxx	xx (xx%)	xxx	xx (xx%)	xxx	0.xxx	0.xxx
etc..	xx (xx%)	xxx	xx (xx%)	xxx	xx (xx%)	xxx	0.xxx	0.xxx
Infections and Infestations								
At Least One Event	xx (xx%)	xxx	xx (xx%)	xxx	xx (xx%)	xxx	0.xxx	0.xxx
Cold, Common	xx (xx%)	xxx	xx (xx%)	xxx	xx (xx%)	xxx	0.xxx	0.xxx
Infections	xx (xx%)	xxx	xx (xx%)	xxx	xx (xx%)	xxx	0.xxx	0.xxx
etc...	xx (xx%)	xxx	xx (xx%)	xxx	xx (xx%)	xxx	0.xxx	0.xxx
Nervous System Disorders								
At Least One Event	xx (xx%)	xxx	xx (xx%)	xxx	xx (xx%)	xxx	0.xxx	0.xxx
etc...	xx (xx%)	xxx	xx (xx%)	xxx	xx (xx%)	xxx	0.xxx	0.xxx

Note: Treatment emergent events are defined as events which start or worsen or recur on or after the start of treatment.

Note: Adverse events are coded using MedDRA.

Note: Percentages are based on the number of subjects in the safety population within each treatment group.

Note: P-values are based on Fisher's Exact test for the comparison of placebo versus each active treatment group.

Note: Total Events represent the total number of times an event was recorded within each treatment group.

Protocol: CDISCPILLOT01  
Population: Safety

Template 15

Summary Statistics for Continuous Laboratory Values

Hemoglobin

Week	-----Placebo-----			-----Xanomeline Low-----			-----Xanomeline High-----		
	N	Mean (SD)	Change from Bsln Mean (SD)	N	Mean (SD)	Change from Bsln Mean (SD)	N	Mean (SD)	Change from Bsln Mean (SD)
Bsln	xxx	x.x(x.xx)		xxx	x.x(x.xx)		xxx	x.x(x.xx)	
2	xxx	x.x(x.xx)	x.x(x.xx)	xxx	x.x(x.xx)	x.x(x.xx)	xxx	x.x(x.xx)	x.x(x.xx)
4	xxx	x.x(x.xx)	x.x(x.xx)	xxx	x.x(x.xx)	x.x(x.xx)	xxx	x.x(x.xx)	x.x(x.xx)
6	xxx	x.x(x.xx)	x.x(x.xx)	xxx	x.x(x.xx)	x.x(x.xx)	xxx	x.x(x.xx)	x.x(x.xx)
8	xxx	x.x(x.xx)	x.x(x.xx)	xxx	x.x(x.xx)	x.x(x.xx)	xxx	x.x(x.xx)	x.x(x.xx)
12	xxx	x.x(x.xx)	x.x(x.xx)	xxx	x.x(x.xx)	x.x(x.xx)	xxx	x.x(x.xx)	x.x(x.xx)
16	xxx	x.x(x.xx)	x.x(x.xx)	xxx	x.x(x.xx)	x.x(x.xx)	xxx	x.x(x.xx)	x.x(x.xx)
20	xxx	x.x(x.xx)	x.x(x.xx)	xxx	x.x(x.xx)	x.x(x.xx)	xxx	x.x(x.xx)	x.x(x.xx)
24	xxx	x.x(x.xx)	x.x(x.xx)	xxx	x.x(x.xx)	x.x(x.xx)	xxx	x.x(x.xx)	x.x(x.xx)
26	xxx	x.x(x.xx)	x.x(x.xx)	xxx	x.x(x.xx)	x.x(x.xx)	xxx	x.x(x.xx)	x.x(x.xx)
End [1]									

[1] Last observed value while on treatment (prior to or at Week 24). locf <=24

Repeat for for each of the continuous lab tests hematology and chemistry analyte.

Protocol: CDISCPILLOT01

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Population: Safety

**Template 16**

Frequency of Normal and Abnormal (Beyond Normal Range) Laboratory Values during Treatment

Lab Analyte	Low n (%)	Placebo (N=xxx)		Low n (%)	Xan. Low (N=xxx)		Low n (%)	Xan. High (N=xxx)		p-val [1]
		Normal n (%)	High n (%)		Normal n (%)	High n (%)		Normal n (%)	High n (%)	
Hematology										
Hemoglobin	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	x.xxx
Hematocrit	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	x.xxx
...										
Chemistry										
Sodium	xx (xx%)	xx (xx%)	xx (xx%)	xxx	xx (xx%)	xx (xx%)	xxx	xx (xx%)	xx (xx%)	x.xxx
Potassium	xx (xx%)	xx (xx%)	xx (xx%)	xxx	xx (xx%)	xx (xx%)	xxx	xx (xx%)	xx (xx%)	x.xxx
...										

Note: The summary reflects one observation per patient with a patient categorized as low or high if any scheduled lab assessment was considered to be abnormally low or abnormally high based on Normal Range  
[1] Fisher's exact test

if any schedule was abnormal  
per person

Protocol: CDISCPILLOT01

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Population: Safety

**Template 17**

Frequency of Normal and Abnormal (Clinically Significant Change from Previous Visit)  
Laboratory Values during Treatment

Lab Analyte	Low n (%)	Placebo (N=xxx)		Low n (%)	Xan. Low (N=xxx)		Low n (%)	Xan. High (N=xxx)		p-val [1]
		Normal n (%)	High n (%)		Normal n (%)	High n (%)		Normal n (%)	High n (%)	
Hematology										
Hemoglobin	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	x.xxx
Hematocrit	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	x.xxx
...										
Chemistry										
Sodium	xx (xx%)	xx (xx%)	xx (xx%)	xxx	xx (xx%)	xx (xx%)	xxx	xx (xx%)	xx (xx%)	x.xxx
Potassium	xx (xx%)	xx (xx%)	xx (xx%)	xxx	xx (xx%)	xx (xx%)	xxx	xx (xx%)	xx (xx%)	x.xxx
...										

Note: The summary reflects one observation per patient with a patient categorized as abnormal (low or high) if any scheduled lab assessment was considered to be abnormal based on change from observation taken at previous scheduled visit

[1] Fisher's exact test

Protocol: CDISCPIL0T01

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Population: Safety

**Template 18**

Shifts of Laboratory Values During Treatment, Categorized Based on Threshold Ranges, by Visit

Lab Analyte	Week	Shift to	Placebo			Xanomeline Low Dose			Xanomeline High Dose		
			Low at Baseline	Norm. at Baseline	High at Baseline	Low at Baseline	Norm. at Baseline	High at Baseline	Low at Baseline	Norm. at Baseline	High at Baseline
			n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)
HEMATOLOGY											
Hemoglobin	2	n	xx	xx	xx	xx	xx	xx	xx	xx	xx
		Low	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)
		Normal	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)
		High	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)
Hemoglobin	4	n	xx	xx	xx	xx	xx	xx	xx	xx	xx
		Low	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)
		Normal	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)
		High	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)

Note: For each lab parameter, present weeks 2, 4, 6, 8, 12, 16, 20, 24, and 26.

Protocol: CDISCPILLOT01

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Population: Safety

**Template 19**

Shifts of Laboratory Values During Treatment, Categorized Based on Threshold Ranges

Lab Analyte	Shift	Placebo			Xan. Low			Xan. High			p-val
		Low at Baseline	Norm. at Baseline	High at Baseline	Low at Baseline	Norm. at Baseline	High at Baseline	Low at Baseline	Norm. at Baseline	High at Baseline	
	[1]	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	[2]
HEMATOLOGY											
Hemoglobin	n	xx	xx	xx	xx	xx	xx	xx	xx	xx	x.xxx
	Low	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	
	Normal	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	
	High	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	

[1] A subject is counted only once for each analyte. A change will be considered shifting from normal at baseline to abnormal or from abnormal at baseline to normal at any visit during the treatment. The treatment period is defined as any planned visit after Week 0 (Visit 3), up to and including Week 24 (Visit 12).

[2] CMH test for general association, controlling for status at baseline.

any visit

the treatment period is from 0 to week 24

Protocol: CDISCPILLOT01

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Population: Safety

**Template 20**

## Shifts of Hy's Law Values During Treatment

Shift during treatment [1]	Placebo		Xanomeline Low Dose		Xanomeline High Dose		p-val [2]
	Normal at Bsln	Abnormal at Bsln	Normal at Bsln	Abnormal at Bsln	Normal at Bsln	Abnormal at Bsln	
	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	
Transaminase 1.5 x ULN							
n	xx	xx	xx	xx	xx	xx	x.xxx
No change	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	
Change	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	
Bilirubin 2 x ULN and Transaminase 1.5 x ULN							
n	xx	xx	xx	xx	xx	xx	x.xxx
No change	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	
Change	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	xx (xx%)	

[1] A subject is counted only once for each analyte. A change will be considered shifting from normal at baseline to abnormal or from abnormal at baseline to normal at any visit during the treatment. The treatment period is defined as any planned visit after Week 0 (Visit 3), up to and including Week 24 (Visit 12).

[2] CMH test for general association, controlling for status at baseline.

cmh to analyze the two binary variables after controlling the third confounder  
two binary are treatment and whether transaminase

Protocol: CDISCPILOT01  
Population: Safety

**Template 21**  
Summary of Vital Signs at Baseline and End of Treatment

Measure	Position	Treatment	N	Planned Relative Time	n	Mean	SD	Median	Min.	Max.	
Systolic BP (mmHg)	AFTER LYING DOWN 5 MIN.	Placebo	xxx	Baseline	xxx	xx.x	xx.xx	xx.x	xx	xxx	
				Week 24	xxx	xx.x	xx.xx	xx.x	xx	xxx	
				End of treatment	xxx	xx.x	xx.xx	xx.x	xx	xxx	
		Xan. Low	xxx	Baseline	xxx	xx.x	xx.xx	xx.x	xx	xxx	
				Week 24	xxx	xx.x	xx.xx	xx.x	xx	xxx	
				End of treatment	xxx	xx.x	xx.xx	xx.x	xx	xxx	
		Xan. High	xxx	Baseline	xxx	xx.x	xx.xx	xx.x	xx	xxx	
				Week 24	xxx	xx.x	xx.xx	xx.x	xx	xxx	
				End of treatment	xxx	xx.x	xx.xx	xx.x	xx	xxx	
	AFTER STANDING 1 MIN.	Placebo	xxx	Baseline	xxx	xx.x	xx.xx	xx.x	xx	xxx	
				Week 24	xxx	xx.x	xx.xx	xx.x	xx	xxx	
				End of treatment	xxx	xx.x	xx.xx	xx.x	xx	xxx	
Systolic BP (mmHg)	AFTER STANDING 3 MIN.	...									
Diastolic BP (mmHg)	AFTER LYING DOWN 5 MIN.										
Heart Rate (bpm)	AFTER STANDING 3 MIN.										

End of treatment is the last on-treatment visit (on or before the Week 24 visit). locf



Protocol: CDISCPILLOT01  
Population: Safety

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**Template 22**

Summary of Vital Signs Change from Baseline at End of Treatment

Measure	Position	Treatment	N	Planned Relative Time	n	Mean	SD	Median	Min.	Max.
Systolic BP (mmHg)	AFTER LYING DOWN 5 MIN.	Placebo	xxx	Week 24	xxx	xx.x	xx.xx	xx.x	xx	xxx
				End of treatment	xxx	xx.x	xx.xx	xx.x	xx	xxx
		Xan. Low	xxx	Week 24	xxx	xx.x	xx.xx	xx.x	xx	xxx
	AFTER STANDING 1 MIN.			End of treatment	xxx	xx.x	xx.xx	xx.x	xx	xxx
		Xan. High	xxx	Week 24	xxx	xx.x	xx.xx	xx.x	xx	xxx
				End of treatment	xxx	xx.x	xx.xx	xx.x	xx	xxx
Diastolic BP (mmHg)	AFTER LYING DOWN 5 MIN.	Placebo	xxx	Week 24	xxx	xx.x	xx.xx	xx.x	xx	xxx
				End of treatment	xxx	xx.x	xx.xx	xx.x	xx	xxx
		Xan. Low	xxx	Week 24	xxx	xx.x	xx.xx	xx.x	xx	xxx
	AFTER STANDING 1 MIN.			End of treatment	xxx	xx.x	xx.xx	xx.x	xx	xxx
		Xan. High	xxx	Week 24	xxx	xx.x	xx.xx	xx.x	xx	xxx
				End of treatment	xxx	xx.x	xx.xx	xx.x	xx	xxx
Heart Rate (bpm)	AFTER LYING DOWN 5 MIN.	Placebo	xxx	Week 24	xxx	xx.x	xx.xx	xx.x	xx	xxx
				End of treatment	xxx	xx.x	xx.xx	xx.x	xx	xxx
		Xan. Low	xxx	Week 24	xxx	xx.x	xx.xx	xx.x	xx	xxx
	AFTER STANDING 1 MIN.			End of treatment	xxx	xx.x	xx.xx	xx.x	xx	xxx
		Xan. High	xxx	Week 24	xxx	xx.x	xx.xx	xx.x	xx	xxx
				End of treatment	xxx	xx.x	xx.xx	xx.x	xx	xxx

Include:

Systolic BP AFTER STANDING 3 MIN.  
Diastolic BP AFTER LYING DOWN 5 MIN.  
(mmHg) AFTER STANDING 1 MIN.  
AFTER STANDING 3 MIN.  
Heart Rate (bpm) AFTER LYING DOWN 5 MIN.  
AFTER STANDING 1 MIN.  
AFTER STANDING 3 MIN.

End of treatment is the last on-treatment visit (on or before the Week 24 visit).

Protocol: CDISCPILOT01  
Population: Safety

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**Template 23**  
Summary of Weight Change from Baseline at End of Treatment

Measure	Treatment	N	Planned Relative Time	n	Mean	SD	Median	Min.	Max.
Weight (kg)	Placebo	xxx	Baseline	xxx	xx.x	xx.xx	xx.x	xx	xxx
			Week 24	xxx	xx.x	xx.xx	xx.x	xx	xxx
			End of Treatment	xxx	xx.x	xx.xx	xx.x	xx	xxx
	Xan. Low	xxx	Baseline	xxx	xx.x	xx.xx	xx.x	xx	xxx
			Week 24	xxx	xx.x	xx.xx	xx.x	xx	xxx
			End of Treatment	xxx	xx.x	xx.xx	xx.x	xx	xxx
	Xan. High	xxx	Baseline	xxx	xx.x	xx.xx	xx.x	xx	xxx
			Week 24	xxx	xx.x	xx.xx	xx.x	xx	xxx
			End of Treatment	xxx	xx.x	xx.xx	xx.x	xx	xxx
Weight Change from Baseline	Placebo	xxx	Week 24	xxx	xx.x	xx.xx	xx.x	xx	xxx
			End of Treatment	xxx	xx.x	xx.xx	xx.x	xx	xxx
	Xan. Low	xxx	Week 24	xxx	xx.x	xx.xx	xx.x	xx	xxx
			End of Treatment	xxx	xx.x	xx.xx	xx.x	xx	xxx
	Xan. High	xxx	Week 24	xxx	xx.x	xx.xx	xx.x	xx	xxx
			End of Treatment	xxx	xx.x	xx.xx	xx.x	xx	xxx

End of treatment is the last on-treatment visit (on or before the Week 24 visit).

Protocol: CDISCPILOT01  
Population: All Subjects

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**Template 24**

Summary of Concomitant Medications (Number of Subjects)

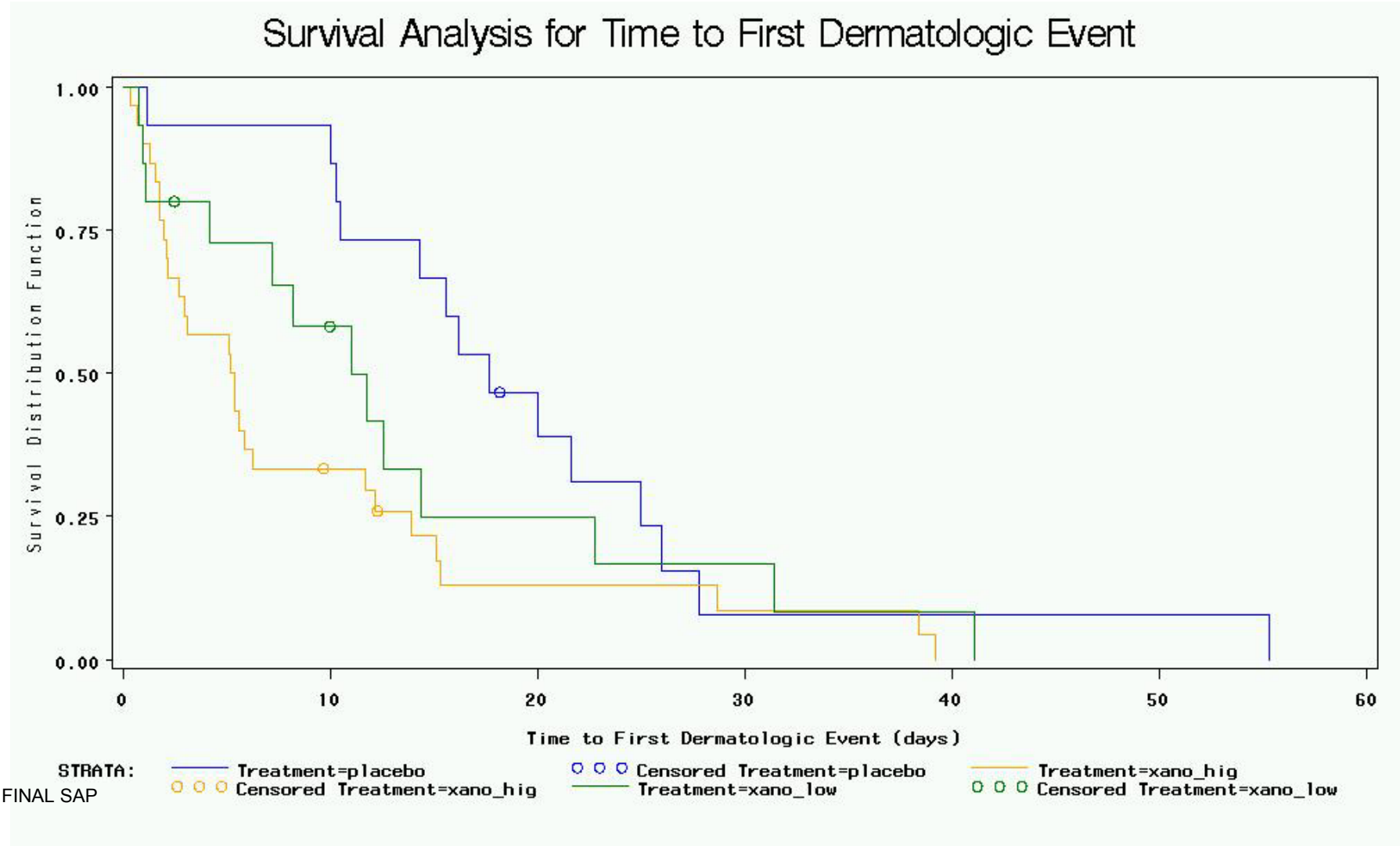
ATC Level 1 Ingredient	Placebo (N=xxx)	Xanomeline Low Dose (N=xxx)	Xanomeline High Dose (N=xxx)
Any medication	xx (xx%)	xx (xx%)	xx (xx%)
Endocrine & Metabolic			
Any medication	xx (xx%)	xx (xx%)	xx (xx%)
Fluticasone propionate	xx (xx%)	xx (xx%)	xx (xx%)
Beclomethasone dipropionate	xx (xx%)	xx (xx%)	xx (xx%)
Anti-infectives & immunologicals			
Any medication	xx (xx%)	xx (xx%)	xx (xx%)
Amoxycillin	xx (xx%)	xx (xx%)	xx (xx%)
Amoxycillin trihydrate	xx (xx%)	xx (xx%)	xx (xx%)
Clamoxyl	xx (xx%)	xx (xx%)	xx (xx%)
Cefaclor	xx (xx%)	xx (xx%)	xx (xx%)
Cefproxil	xx (xx%)	xx (xx%)	xx (xx%)

Note: A medication may be included in more than one ATC Level category and appear more than once.

appear more than once

Protocol: CDISCPIL0T01  
Population: Safety

Figure 1  
Time to **First Dermatological** Event by Treatment Group  
**first event**



## 14. APPENDIX 1 – ADDITIONAL STATISTICAL DETAILS

### 14.1. Scoring algorithms for Efficacy Endpoints

Described below are details for scoring the ADAS-Cog (11), CIBIC+, and NPI-X, and details on how to handle missing data.

#### 14.1.1. ADAS-Cog(11)

##### ADAS-Cog Scoring Methods

Item No.	Description	Score Range
ITEM01	Word Recall Task	0-10
ITEM02	Naming Objects and Fingers	0-5
ITEM03 <sup>a</sup>	Delayed Word Recall	0-10
ITEM04	Commands	0-5
ITEM05	Constructional praxis	0-5
ITEM06	Ideational praxis	0-5
ITEM07	Orientation	0-8
ITEM08	Word recognition	0-12
ITEM09 <sup>a</sup>	Attention/Visual Search Task	0-5 Based on number of correct answers: >30 = 0 24-30 = 1 18-23 = 2 12-17 = 3 6-11 = 4 0-5 = 5
ITEM10 <sup>a</sup>	Maze Solution	0-5 Based on time (in seconds) and number of errors: If <2 errors then: 0-30 seconds = 0 31-60 = 1 61-90 = 2 91-120 = 3 121-239 = 4 ≥ 240 = 5 If 2 or more errors then the score is a 5
ITEM11	Spoken Language Ability	0-5
ITEM12	Comprehension of Spoken Language	0-5

ITEM13	Word Finding Difficulty in Spontaneous Speech	0-5
ITEM14	Recall of Test Instructions	0-5
TOT01	ADAS-Cog (11)	0-70
TOT02	ADAS-Cog (14)	0-90

<sup>a</sup> Additional items for the ADAS-Cog (14) but not part of the ADAS-Cog (11).

### 14.1.2. CIBIC+

This assessment has a 7-point scale and is scored as:

- 1 = Marked improvement,
- 2 = Moderate improvement,
- 3 = Minimal improvement,
- 4 = No change,
- 5 = Minimal worsening,
- 6 = Moderate worsening,
- 7 = Marked worsening

### 14.1.3. NPI-X

The primary assessment of this instrument will be for the total score, not including the sleep, appetite, and euphoria domains. This total score is computed by taking the product of the frequency and severity scores and summing them up across the domains.

Severity:

Range 1-3

1 = mild, 2 = moderate, 3 = marked

Frequency:

Range 1-4

1 = occasionally, 2 = often, 3 = frequently, 4 = very frequently

Can be treated as continuous variables

Frequency × Severity for each NPI domain

Range 0-12

NPI-X Total (9) will be calculated as the sum of all individual domain scores (can be treated as continuous variable). If the domain is absent, then the score for the domain is 0. If the domain is not applicable then the score for the domain is set to missing. The range of NPI-X Total (9) is 0-108.

NPI-X Total (9) domains are:

- Delusions
- Hallucinations
- Agitation/Aggression
- Depression/Dysphoria
- Anxiety
- Apathy/Indifference
- Disinhibition
- Irritability/Lability
- Aberrant Motor Behavior

## **14.2. Handling missing item scores within efficacy data**

The following applies to all totals and subtotals of ADAS-Cog(11) and NPI-X, and does not apply to CIBIC+.

Any computed total score will be treated as missing if more than 30% of the items are missing or scored “not applicable”. For example, when computing ADAS-Cog(11), if 4 or more items are missing, then the total score will not be computed. When one or more items are missing (but not more than 30%), the total score will be adjusted in order to maintain the full range of the scale. For example, ADAS-Cog(11) is a 0-70 scale. If the first item, Word Recall (ranges from 0 to 10), is missing, then the remaining 10 items of the ADAS-Cog(11) will be summed and multiplied by  $(70 / (70-10))$ , or 7/6.

## **15. APPENDIX 2 – DEVIATIONS FROM PROTOCOL-SPECIFIED ANALYSES**

Some analyses specified in the original protocol will not be performed for the purposes of this pilot project. Where applicable, deviations are noted in the appropriate sections of this analysis plan. Otherwise, deviations from the protocol-specified analyses are described below.

### **15.1. Deviations from Protocol-Specified Efficacy Analyses**

The following efficacy endpoints will not be used: ADAS-Cog (14) and DAD. ANOVA and ANCOVA models for the efficacy endpoints will not assess site\*treatment interaction. Furthermore, the normality assumption for the efficacy endpoints will not be investigated and consideration for rank transformations will not be done.

The protocol proposes a number of secondary analyses for the efficacy endpoints. The following secondary analyses will not be performed:

- Observed cases at each timepoint for ADAS-Cog (11) and CIBIC+.
- Average of all postrandomization NPI-X scores including Weeks 2 and 26.
- Dichotomizing subjects for each behavior in the NPI-X instrument into those who experienced the behavior for the first time postrandomization and those who had the quotient between frequency and severity increase relative to baseline versus those who did not.

The protocol states that efficacy assessments are invalid if no study drug has been taken within 3 days prior to the assessment. This will not be considered in the pilot project. In addition, efficacy assessments occurring after the last dose of drug will be considered for windowing and for the efficacy analysis if they are collected at visit number 201.

Interim analyses will not be performed.

Covariate analyses examining the effect of Apo E on the efficacy measures will not be performed.

### **15.2. Deviations from Protocol-Specified Pharmacokinetic Analyses**

Pharmacokinetic analyses will not be performed.

### **15.3. Deviations from Protocol-Specified Safety Analyses**

ECG analyses will not be performed.



The caregiver's response about the patch will not be summarized.

No ANCOVA analyses will be performed for laboratory data. Instead, frequency tables based on the on-treatment period will include p-values.

#### **15.4. OMISSIONS FROM LEGACY DATA**

The data reflected in the submitted datasets will not include all of the subjects in the legacy data. This is because we do not have all of the data for the remaining subjects, so chose to omit them as we have an adequate number of subjects left for the purposes of the pilot.

The lab data included many analytes. Because of the large size of the datasets, it was decided to reduce these datasets by dropping less common analytes from the datasets. This was done by comparing the lab tests performed to a list of common lab tests found on the CDISC web site. Lab tests not in this list of common tests were dropped. In addition, lab tests with character results only were dropped, to simplify the analyses provided. These include “RBC Morphology,” “elliptocytosis,” “basophilic stippling,” and “target cells.”

#### **15.5. Coding of Adverse Events**

Due to licensing restrictions for MedDRA, all of the event terms, including verbatim text, LLT, PT, HLT, HLGT, and SOC were initially masked. Discussions with MSSO resulted in an agreement that all can be unmasked with the exception of HLT and HLGT, as long as text is what is provided and not the actual MedDRA numeric code. In the processing of providing the unmasking, it was simpler to also leave the verbatim text masked. Consequently, the SOC, PT, and LLT are real (i.e., not masked).

## **16. APPENDIX 3 - ANALYSES NOT PRE-SPECIFIED IN PROTOCOL**

### **16.1. CIBIC+**

At the request of the FDA reviewers, treatments will also be compared for overall differences in the CIBIC+ by using the CMH test, controlling for site. The template for this result is [Ad hoc Template 1](#).

Protocol: CDISCPILLOT01

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Population: Efficacy

**Ad hoc Template 1**  
CIBIC+ - Categorical Analysis - LOCF

	Placebo (N=xxx)	Xanomeline Low Dose (N=xxx)	Xanomeline High Dose (N=xxx)	p-value [1]
<b>Week 8</b>				
N	xx	xx	xx	x.xxx
Marked improvement	xx (xx%)	xx (xx%)	xx (xx%)	
Moderate improvement	xx (xx%)	xx (xx%)	xx (xx%)	
Minimal improvement	xx (xx%)	xx (xx%)	xx (xx%)	
No Change	xx (xx%)	xx (xx%)	xx (xx%)	
Minimal worsening	xx (xx%)	xx (xx%)	xx (xx%)	
Moderate worsening	xx (xx%)	xx (xx%)	xx (xx%)	
Marked worsening	xx (xx%)	xx (xx%)	xx (xx%)	
<b>Week 16</b>				
N	xx	xx	xx	x.xxx
Marked improvement	xx (xx%)	xx (xx%)	xx (xx%)	
Moderate improvement	xx (xx%)	xx (xx%)	xx (xx%)	
Minimal improvement	xx (xx%)	xx (xx%)	xx (xx%)	
No Change	xx (xx%)	xx (xx%)	xx (xx%)	
Minimal worsening	xx (xx%)	xx (xx%)	xx (xx%)	
Moderate worsening	xx (xx%)	xx (xx%)	xx (xx%)	
Marked worsening	xx (xx%)	xx (xx%)	xx (xx%)	

repeat above for week 24

[1] Overall comparison of treatments using CMH test (Pearson Chi-Square), controlling for site.

**Title:**

Documentation of Statistical Methods
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This document contains raw statistical output for the two primary efficacy analyses and for the repeated measures analysis. In addition to the statistical output, the summary tables generated from the statistical analysis are also included. Because this document was provided in response to a request from the FDA, it was decided that this approach would allow the dates on the corresponding tables to be consistent, as well illustrate that nothing changed from the table included in the original package.

[Supporting Table 14-3.01](#) contains the raw statistical output from the dose response analysis and the treatment comparison analysis, supporting [Table 14-3.01](#), “Primary Endpoint Analysis: ADAS Cog (11) - Change from Baseline to Week 24 – LOCF.”

[Supporting Table 14-3.02](#) contains the raw statistical output from the dose response analysis and the treatment comparison analysis, supporting [Table 14-3.02](#), “Primary Endpoint Analysis: CIBIC+ - Summary at Week 24 - LOCF.”

[Supporting Table 14-3.11](#) contains the raw statistical output from the repeated measures analysis, supporting [Table 14-3.11](#), “ADAS Cog (11) - Repeated Measures Analysis of Change from Baseline to Week 24.”

Table 14-3.01

Primary Endpoint Analysis: ADAS Cog (11) - Change from Baseline to Week 24 - LOCF

	Placebo (N=79)	Xanomeline Low Dose (N=81)	Xanomeline High Dose (N=74)
Baseline			
n	79	81	74
Mean (SD)	24.1 (12.19)	24.4 (12.92)	21.3 (11.74)
Median (Range)	21.0 (5;61)	21.0 (5;57)	18.0 (3;57)
Week 24			
n	79	81	74
Mean (SD)	26.7 (13.79)	26.4 (13.18)	22.8 (12.48)
Median (Range)	24.0 (5;62)	25.0 (6;62)	20.0 (3;62)
Change from Baseline			
n	79	81	74
Mean (SD)	2.5 (5.80)	2.0 (5.55)	1.5 (4.26)
Median (Range)	2.0 (-11;16)	2.0 (-11;17)	1.0 (-7;13)
p-value(Dose Response) [1][2]			0.245
p-value(Xan - Placebo) [1][3]		0.569	0.233
Diff of LS Means (SE)		-0.5 (0.82)	-1.0 (0.84)
95% CI		(-2.1;1.1)	(-2.7;0.7)
p-value(Xan High - Xan Low) [1][3]			0.520
Diff of LS Means (SE)			-0.5 (0.84)
95% CI			(-2.2;1.1)

[1] Based on Analysis of covariance (ANCOVA) model with treatment and site group as factors and baseline value as a covariate.

[2] Test for a non-zero coefficient for treatment (dose) as a continuous variable.

[3] Pairwise comparison with treatment as a categorical variable: p-values without adjustment for multiple comparisons.

Source: C:\cdisc\_pilot\CFB\_revisions\programs\rtf\_eff1\_\_ .sas

16:35 Sunday, February 4, 2007

Protocol: CDISCPILLOT01

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Population: Efficacy

Supporting Table 14-3.01

Primary Endpoint Analysis: ADAS Cog (11) - Change from Baseline to Week 24 - LOCF  
SAS Output from Dose Response Analysis (PROC GLM)

*The GLM Procedure*

Class Level Information											
Class	Levels	Values									
SITEGRP	11	701	703	704	705	708	709	710	713	716	900

Number of Observations Read	234
Number of Observations Used	234

Protocol: CDISCPILOT01

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Population: Efficacy

Supporting Table 14-3.01

Primary Endpoint Analysis: ADAS Cog (11) - Change from Baseline to Week 24 - LOCF

SAS Output from Dose Response Analysis (PROC GLM)

The GLM Procedure

Dependent Variable: CHG Change from baseline (VAL - BASE)

Source	DF	Sum of Squares	Mean Square	F Value	Pr > F
Model	12	610.477907	50.873159	1.92	0.0332
Error	221	5854.045839	26.488895		
Corrected Total	233	6464.523747			

R-Square	Coeff Var	Root MSE	CHG Mean
0.094435	255.44215	1467362	0.014834

Source	DF	Type I SS	Mean Square	F Value	Pr > F
TRTDOSE	1	42.7340434	42.7340434	1.61	0.2054
SITEGRP	10	564.7301815	56.4730182	2.13	0.0232
BASE	1	3.0136824	3.0136824	0.11	0.7362

Source	DF	Type III SS	Mean Square	F Value	Pr > F
TRTDOSE	1	36.0384965	36.0384965	1.36	0.2447
SITEGRP	10	556.3851128	55.6385113	2.10	0.0255
BASE	1	3.0136824	3.0136824	0.11	0.7362

Protocol: CDISCPILOT01

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Population: Efficacy

Supporting Table 14-3.01

Primary Endpoint Analysis: ADAS Cog (11) - Change from Baseline to Week 24 - LOCF  
SAS Output from Treatment Comparison Analysis (PROC GLM)

*The GLM Procedure*

Class Level Information											
Class	Levels	Values									
SITEGRP	11	701	703	704	705	708	709	710	713	716	718 900
TRTPCD	3	H	L	P							

Number of Observations Read	234
Number of Observations Used	234



Protocol: CDISCPILOT01  
Population: Efficacy

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Supporting Table 14-3.01

Primary Endpoint Analysis: ADAS Cog (11) - Change from Baseline to Week 24 - LOCF  
SAS Output from Treatment Comparison Analysis (PROC GLM)

The GLM Procedure

Dependent Variable: CHG Change from baseline (VAL - BASE)

Source	DF	Sum of Squares	Mean Square	F Value	Pr > F
Model	13	612.556057	47.119697	1.77	0.0489
Error	220	5851.967689	26.599853		
Corrected Total	233	6464.523747			

R-Square	Coeff Var	Root MSE	CHG Mean
0.094757	255.97665	1575052.014834	

Source	DF	Type I SS	Mean Square	F Value	Pr > F
TRTPCD	2	44.1412586	22.0706293	0.83	0.4375
SITEGRP	10	565.0065822	56.5006582	2.12	0.0237
BASE	1	3.4082167	3.4082167	0.13	0.7207

Source	DF	Type III SS	Mean Square	F Value	Pr > F
TRTPCD	2	38.1166466	19.0583233	0.72	0.4896
SITEGRP	10	556.3075682	55.6307568	2.09	0.0262
BASE	1	3.4082167	3.4082167	0.13	0.7207

Protocol: CDISCPIL0T01

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Population: Efficacy

Supporting Table 14-3.01

Primary Endpoint Analysis: ADAS Cog (11) - Change from Baseline to Week 24 - LOCF

SAS Output from Treatment Comparison Analysis (PROC GLM)

*The GLM Procedure*

*Dependent Variable: CHG Change from baseline (VAL - BASE)*

Parameter	Estimate	Standard		Value	Pr >  t
		Error	t		
H vs L	-0.53923124	0.83610890	-0.64	0.5196	
H vs P	-1.00601360	0.84052936	-1.20	0.2326	
L vs P	-0.46678236	0.81804222	-0.57	0.5688	

Supporting Table 14-3.01  
Primary Endpoint Analysis: ADAS Cog (11) - Change from Baseline to Week 24 - LOCF  
SAS Output from Treatment Comparison Analysis (PROC GLM)

The GLM Procedure  
Least Squares Means

TRTPCD	CHG	LSMEAN	Standard Error	Pr >  t	Number
H		1.48854043	0.60334071	0.0144	1
L		2.02777167	0.57490509	0.0005	2
P		2.49455402	0.58187565	<.0001	3

Least Squares Means for effect TRTPCD  
Pr > |t| for H0: LSMean(i)=LSMean(j)

Dependent Variable: CHG			
i/j	1	2	3
1		0.5196	0.2326
2	0.5196		0.5688
3	0.2326	0.5688	

TRTPCD	CHG	LSMEAN	95% Confidence Limits
H		1.488540	0.299473 2.677608
L		2.027772	0.894746 3.160798
P		2.494554	1.347790 3.641318

## Supporting Table 14-3.01

Primary Endpoint Analysis: ADAS Cog (11) - Change from Baseline to Week 24 - LOCF

SAS Output from Treatment Comparison Analysis (PROC GLM)

*The GLM Procedure**Least Squares Means*

Least Squares Means for Effect			
TRTPCD			
Difference		95% Confidence	
Between		Limits for	
i j	Means LS	Mean (i)	-LSMean (j)
1 2	-0.539231	-2.187039	1.108577
1 3	-1.006014	-2.662534	0.650506
2 3	-0.466782	-2.078985	1.145420

**Note:** To ensure overall protection level, only probabilities associated with pre-planned comparisons should be used.

**Table 14-3.02**  
**Primary Endpoint Analysis: CIBIC+ - Summary at Week 24 - LOCF**

	Placebo (N=79)	Xanomeline Low Dose (N=81)	Xanomeline High Dose (N=74)
Week 24			
n	79	81	74
Mean (SD)	4.3 (0.77)	4.2 (0.79)	4.3 (0.81)
Median (Range)	4.0 (2;6)	4.0 (2;6)	4.0 (3;6)
p-value(Dose Response) [1][2]			0.960
p-value(Xan - Placebo) [1][3]		0.489	0.799
Diff of LS Means (SE)		-0.1 (0.13)	0.0 (0.13)
95% CI		(-0.3;0.2)	(-0.2;0.3)
p-value(Xan High - Xan Low) [1][3]			0.349
Diff of LS Means (SE)			0.1 (0.13)
95% CI			(-0.1;0.4)

[1] Based on Analysis of covariance (ANCOVA) model with treatment and site group as factors.

[2] Test for a non-zero coefficient for treatment (dose) as a continuous variable.

[3] Pairwise comparison with treatment as a categorical variable: p-values without adjustment for multiple comparisons.

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16:35 Sunday, February 4, 2007

Protocol: CDISCPILLOT01  
Population: Efficacy

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Supporting Table 14-3.02  
Primary Endpoint Analysis: CIBIC+ - Summary at Week 24 - LOCF  
SAS Output from Dose Response Analysis (PROC GLM)

*The GLM Procedure*

Class Level Information											
Class	Levels	Values									
SITEGRP	11	701	703	704	705	708	709	710	713	716	900

Number of Observations Read	234
Number of Observations Used	234

Protocol: CDISCPILOT01  
Population: Efficacy

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Supporting Table 14-3.02  
Primary Endpoint Analysis: CIBIC+ - Summary at Week 24 - LOCF  
SAS Output from Dose Response Analysis (PROC GLM)

*The GLM Procedure*

*Dependent Variable: VAL Numeric value of PARAM*

Source	DF	Sum of Squares	Mean Square	F Value	Pr > F
Model	11	5.1478277	0.4679843	0.74	0.6995
Error	222	140.4248218	0.6325442		
Corrected Total	233	145.5726496			

R-Square	Coeff Var	Root MSE	VAL Mean
0.035363	18.64794	0.795327	4.264957

Source	DF	Type I SS	Mean Square	F Value	Pr > F
TRTDOSE	1	0.00018271	0.00018271	0.00	0.9865
SITEGRP	10	5.14764502	0.51476450	0.81	0.6156

Source	DF	Type III SS	Mean Square	F Value	Pr > F
TRTDOSE	1	0.00162106	0.00162106	0.00	0.9597
SITEGRP	10	5.14764502	0.51476450	0.81	0.6156

Protocol: CDISCPILOT01  
Population: Efficacy

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Supporting Table 14-3.02  
Primary Endpoint Analysis: CIBIC+ - Summary at Week 24 - LOCF  
SAS Output from Treatment Comparison Analysis (PROC GLM)

*The GLM Procedure*

Class Level Information											
Class	Levels	Values									
SITEGRP	11	701	703	704	705	708	709	710	713	716	718 900
TRTPCD	3	H	L	P							

Number of Observations Read	234
Number of Observations Used	234



Protocol: CDISCPILOT01  
Population: Efficacy

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Supporting Table 14-3.02  
Primary Endpoint Analysis: CIBIC+ - Summary at Week 24 - LOCF  
SAS Output from Treatment Comparison Analysis (PROC GLM)

The GLM Procedure

Dependent Variable: VAL Numeric value of PARAM

Source	DF	Sum of Squares	Mean Square	F Value	Pr > F
Model	12	5.7499995	0.4791666	0.76	0.6938
Error	221	139.8226501	0.6326817		
Corrected Total	233	145.5726496			

R-Square	Coeff Var	Root MSE	VAL Mean
0.039499	18.64996	0.7954134	2.64957

Source	DF	Type I SS	Mean Square	F Value	Pr > F
TRTPCD	2	0.83041367	0.41520683	0.66	0.5198
SITEGRP	10	4.91958584	0.49195858	0.78	0.6504

Source	DF	Type III SS	Mean Square	F Value	Pr > F
TRTPCD	2	0.60379285	0.30189642	0.48	0.6212
SITEGRP	10	4.91958584	0.49195858	0.78	0.6504

Parameter	Estimate	Standard Error	t Value	Pr >  t
H vs L	0.12036016	0.12827843	0.94	0.3491
H vs P	0.03287808	0.12904679	0.25	0.7991
L vs P	-0.08748208	0.12615923	-0.69	0.4888

Supporting Table 14-3.02  
Primary Endpoint Analysis: CIBIC+ - Summary at Week 24 - LOCF  
SAS Output from Treatment Comparison Analysis (PROC GLM)

The GLM Procedure  
Least Squares Means

TRTPCD	VAL	LSMEAN	Standard Error	Pr >  t	Number
H		4.31772026	0.09264306	<.0001	1
L		4.19736010	0.08855333	<.0001	2
P		4.28484218	0.08966746	<.0001	3

Least Squares Means for effect TRTPCD  
Pr > |t| for H0: LSMean(i)=LSMean(j)

Dependent Variable: VAL			
i/j	1	2	3
1		0.3491	0.7991
2	0.3491		0.4888
3	0.7991	0.4888	

95% Confidence Limits			
TRTPCD	VAL	LSMEAN	Limits
H		4.317720	4.135143 4.500297
L		4.197360	4.022843 4.371877
P		4.284842	4.108129 4.461555

Supporting Table 14-3.02  
Primary Endpoint Analysis: CIBIC+ - Summary at Week 24 - LOCF  
SAS Output from Treatment Comparison Analysis (PROC GLM)

*The GLM Procedure*  
Least Squares Means

Least Squares Means for Effect			
TRTPCD			
Difference		95% Confidence	
Between		Limits for	
i j	Means LS	Mean (i)	-LSMean (j)
1 2	0.120360	-0.132445	0.373166
1 3	0.032878	-0.221442	0.287198
2 3	-0.087482	-0.336111	0.161147

**Note:** To ensure overall protection level, only probabilities associated with pre-planned comparisons should be used.

Table 14-3.11

## ADAS Cog (11) - Repeated Measures Analysis of Change from Baseline to Week 24

	Placebo (N=79)	Xanomeline Low Dose (N=81)	Xanomeline High Dose (N=74)
LS Means (SE)	1.6 (0.49)	1.5 (0.52)	1.1 (0.56)
p-value(Xan - Placebo)		0.955	0.556
Diff of LS Means (SE)		-0.0 (0.70)	-0.4 (0.72)
95% CI		(-1.4;1.3)	(-1.9;1.0)
p-value(Xan High - Xan Low)			0.606
Diff of LS Means (SE)			-0.4 (0.75)
95% CI			(-1.9;1.1)

Note: The change from baseline is calculated as the post-baseline score minus the baseline score. The covariates included in the MMRM model are treatment, site group, time and treatment by time interaction, baseline ADAS-Cog (11) score, and baseline ADAS-Cog (11) score by time interaction.

Source: C:\cdisc\_pilot\CFB\_revisions\programs\rtf\_eff\_mmrms.sas 15:51 Thursday, February 8, 2007

Supporting Table 14-3.11  
ADAS Cog (11) - Repeated Measures Analysis of Change from Baseline to Week 24  
SAS Output from PROC MIXED

*The Mixed Procedure*

Model Information	
Data Set	WORK.EFF
Dependent Variable	CHG
Covariance Structure	Unstructured
Subject Effect	USUBJID
Estimation Method	REML
Residual Variance Method	None
Fixed Effects SE Method	Prasad-Rao-Jeske-Kackar-Harville
Degrees of Freedom Method	Kenward-Roger

Class Level Information	
Class	LevelsValues
USUBJID	234not printed
SITEGRP	11701 703 704 705 708 709 710 713 716 718 900
AWEEK	38 16 24
TRTPN	30 1 2

Dimensions	
Covariance Parameters	6
Columns in X	31
Columns in Z	0
Subjects	234
Max Obs Per Subject	3

Protocol: CDISCPILOT01  
Population: Efficacy

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Supporting Table 14-3.11  
ADAS Cog (11) - Repeated Measures Analysis of Change from Baseline to Week 24  
SAS Output from PROC MIXED

*The Mixed Procedure*

Number of Observations	
Number of Observations Read	539
Number of Observations Used	539
Number of Observations Not Used	0

Iteration History				
Iteration	Evaluations	-2 Res	Log Like	Criterion
0	1	3202.34096801		
1	2	3087.84605725	0.00000281	
2	1	3087.84303515	0.00000000	

Convergence criteria met.

Covariance Parameter Estimates		
Cov Parm	Subject	Estimate
UN(1,1)	USUBJID	16.8209
UN(2,1)	USUBJID	11.2056
UN(2,2)	USUBJID	28.2581
UN(3,1)	USUBJID	11.8853
UN(3,2)	USUBJID	14.4451
UN(3,3)	USUBJID	31.3944

Supporting Table 14-3.11  
ADAS Cog (11) - Repeated Measures Analysis of Change from Baseline to Week 24  
SAS Output from PROC MIXED

*The Mixed Procedure*

Fit Statistics

-2 Res Log Likelihood	3087.8
AIC (smaller is better)	3099.8
AICC (smaller is better)	3100.0
BIC (smaller is better)	3120.6

Null Model Likelihood

Ratio Test

DF	Chi-Square	Pr > ChiSq
5	114.50	<.0001

Type 3 Tests of Fixed Effects

Effect	NumDen		Value	Pr > F
	DF	DFF		
TRTPN	2	200	0.20	0.8184
SITEGRP	10	218	2.32	0.0129
AWEEK	2	159	0.71	0.4918
AWEEK*TRTPN	4	187	1.25	0.2926
BASE	1	213	0.00	0.9510
BASE*AWEEK	2	158	0.34	0.7137

Supporting Table 14-3.11  
ADAS Cog (11) - Repeated Measures Analysis of Change from Baseline to Week 24  
SAS Output from PROC MIXED

*The Mixed Procedure*

Least Squares Means

ADaM Planned Arm Code,		Standard							
Effect	Numeric	Estimate	Error	DF	t Value	Pr >  t	Alpha	Lower	Upper
TRTPN	0	1.5535	0.4930180	3.15	0.0019	0.05	0.5808	2.5263	
TRTPN	1	1.5136	0.5236211	2.89	0.0042	0.05	0.4815	2.5457	
TRTPN	2	1.1270	0.5552215	2.03	0.0436	0.05	0.03263	2.2213	

Differences of Least Squares Means

ADaM Planned Arm Code,		ADaM Planned Arm Code,		Standard							
Effect	Numeric	Numeric	Estimate	Error	DF	t Value	Pr >  t	Alpha	Lower	Upper	
TRTPN	0	1	0.03993	0.7002195	0.06	0.9546	0.05	-1.3410	1.4209		
TRTPN	0	2	0.4266	0.7237196	0.59	0.5562	0.05	-1.0007	1.8539		
TRTPN	1	2	0.3867	0.7481212	0.52	0.6058	0.05	-1.0881	1.8614		