

# V5A2 – RIGID ANALYTIC GEOMETRY

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### PRELIMINARIES

These notes roughly correspond to the course **V5A2 - Rigid Analytic Geometry** taught by Prof. Jens Franke at the Universität Bonn in the Summer 2025 semester. These notes are  $\text{\LaTeX}$ -ed after the fact with significant alteration and are subject to misinterpretation and mistranscription. Use with caution. Any errors are undoubtedly my own and any virtues that could be ascribed to these notes ought be attributed to the instructor and not the typist. These notes assume knowledge of the course on the same topic held in the Winter 2024-25 semester.

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## 1. LECTURE 1 – 17TH APRIL 2025

We fix the following notation.

**Notation 1.1.** (i)  $K$  is a field complete with respect to a non-Archimedean norm.

(ii) We denote the Tate algebra

$$\mathbb{T}_n = \left\{ f \in \sum_{\alpha \in \mathbb{N}^n} f_\alpha X^\alpha : \forall \varepsilon > 0, |\{\alpha : |f_\alpha|_K \geq \varepsilon\}| < \infty \right\} \subseteq K[[X_1, \dots, X_n]]$$

the subring of convergent power series, with norm  $\|f\|_{\mathbb{T}_n} = \max_{\alpha \in \mathbb{N}^n} |f_\alpha|$ .

**Remark 1.2.** (i) The norm  $|\cdot|_K$  extends uniquely to any algebraic extension of  $K$ .

(ii) The Tate algebra  $\mathbb{T}_n$  is Noetherian, hence all ideals are closed.

Affinoid algebras are quotients of Tate algebras.

**Definition 1.3** (Affinoid Algebra). A  $K$ -algebra  $A$  is an affinoid  $K$ -algebra if it is of the form  $\mathbb{T}_n/I$ .

There is an induced norm on the Tate algebra known as the residual norm.

**Definition 1.4** (Residual Norm). Let  $A$  be an affinoid  $K$ -algebra. The residue norm of  $a \in A$  is

$$\|a\| = \inf\{\|f\|_{\mathbb{T}_n} : \bar{f} = a\}.$$

**Remark 1.5.** Definition 1.4 is independent of the choice of representative.

As in algebraic geometry, affinoid algebras give rise to ringed spaces via the Tate spectrum. We discuss the construction by first defining the space, and the sheaf of rings on it.

**Definition 1.6** (Tate Spectrum – Set). Let  $A$  be an affinoid  $K$ -algebra. The set underlying the Tate spectrum  $\mathrm{Sp}(A)$  is  $\mathrm{mSpec}(A)$ .

**Remark 1.7.** The Tate spectrum is endowed with the property that  $[\kappa(x) : K] < \infty$ , where  $\kappa(x) = A/\mathfrak{m}_x$  is a field as the ideal  $\mathfrak{m}_x$  corresponding to  $x$  is maximal.

The topology on the set is defined by rational sieves.

**Definition 1.8** (Rational Open Set). Let  $\langle f_0, \dots, f_n \rangle_A = A$ . The rational open associated to the generators  $R_A(f_0|f_1, \dots, f_n)$  is given by

$$R_A(f_0|f_1, \dots, f_n) = \{x \in \mathrm{Sp}(A) : |f_0(x)| < |f_i(x)|, 1 \leq i \leq n\}.$$

**Remark 1.9.** Rational open subsets are preserved under finite intersection. For  $\langle f_0, \dots, f_n \rangle_A, \langle g_0, \dots, g_m \rangle_A$  generators of  $A$ , the intersection

$$R_A(f_0|f_1, \dots, f_n) \cap R_A(g_0|g_1, \dots, g_m) = R_A(f_0g_0|f_ig_j, 1 \leq i \leq n, 1 \leq j \leq m).$$

These rational open sets form the basis for the topology on the Tate spectrum  $\mathrm{Sp}(A)$ .

**Definition 1.10** (Tate Spectrum – Topology). Let  $A$  be an affinoid  $K$ -algebra. The set underlying the Tate spectrum  $\mathrm{Sp}(A)$  has a topology with basis consisting of the rational open sets  $R_A(f_0|f_1, \dots, f_n)$  and with Grothendieck topology obtained by enforcing quasicompactness of the rational open sets.

In some simple cases, the underlying space of the Tate spectrum admits a description.

**Example 1.11.** Let  $K = \overline{K}$ .  $\mathrm{Sp}(\mathbb{T}_n) = (K^\circ)^n$ , where  $K^\circ$  is the subring of power-bounded elements of  $K$ . Each point  $x \in \mathrm{Sp}(A)$  is taken to  $(\xi_i)_{i=1}^n$  where  $\xi_i$  is the image of  $X_i$  in  $K \cong \kappa(x)$  and an  $n$ -tuple of powerbounded elements of  $K$  is taken to the ideal of  $\mathbb{T}_n$  consisting of functions vanishing at that tuple. In this case, the basis for the ordinary topology on the Tate spectrum is identified with non-Archimedean balls  $d(\xi, \nu) = \max_{1 \leq i \leq n} |\xi_i - \nu_i|$ .

We now want to define the structure sheaf on  $\mathrm{Sp}(A)$  which will be valued in the category affinoid  $K$ -algebras  $\mathrm{Aff}_K$ . This is a full subcategory of the category of  $K$ -algebras as all maps between affinoid  $K$ -algebras are automatically continuous.

The structure sheaf is defined as follows.

**Definition 1.12** (Tate Spectrum – Structure Sheaf). Let  $A$  be an affinoid  $K$ -algebra. The functor

$$R_A(f_0|f_1, \dots, f_n) \mapsto A \left\langle \frac{\varepsilon}{f_0} \right\rangle \left\langle \frac{f_1}{f_0}, \dots, \frac{f_n}{f_0} \right\rangle$$

where  $\varepsilon \in K^\times$  such that  $\max_{0 \leq i \leq n} |f_i(x)| \geq |\varepsilon|$  for all  $x \in \mathrm{Sp}(A)$  represents the functor  $\mathrm{Rat}_A^{\mathrm{Opp}} \rightarrow \mathrm{Aff}_K$

$$F_\Omega(B) = \{\varphi \in \mathrm{Hom}_{\mathrm{Aff}_K}(A, B) : \mathrm{Sp}(\varphi)(\mathrm{Sp}(B)) \subseteq \Omega\}.$$

Summing up the preceding constructions, we have:

**Definition 1.13** (Tate Spectrum – Ringed Space). Let  $A$  be an affinoid  $K$ -algebra. The Tate spectrum is given by:

- Topological space  $\mathrm{mSpec}(A)$  with basis for the topology given by rational open subsets  $R_A(f_0|f_1, \dots, f_n)$  with  $\langle f_0, \dots, f_n \rangle_A = A$ .
- Sheaf of rings given by  $R_A(f_0|f_1, \dots, f_n) \mapsto A \left\langle \frac{\varepsilon}{f_0} \right\rangle \left\langle \frac{f_1}{f_0}, \dots, \frac{f_n}{f_0} \right\rangle$ .

Here we used the fact that any sheaf on the base extends to a sheaf on the space.

**Remark 1.14.** (i) There are identifications  $\mathcal{O}_{\mathrm{Sp}(\mathcal{O}_{\mathrm{Sp}(A)}(\Omega))} \cong \mathcal{O}_{\mathrm{Sp}(A)}|_\Omega$ .  
(ii) By Tate acyclicity, the higher cohomology of  $\mathcal{O}_{\mathrm{Sp}(A)}$  vanishes.

We state some additional results surrounding Tate acyclicity.

**Definition 1.15** (Laurent Order). Let  $\mathcal{S}$  be a sieve on  $\mathrm{Sp}(A)$ . We define the Laurent order  $\mathfrak{o}_L(\mathcal{S})$  inductively as follows:

- $\mathfrak{o}_L(\mathcal{S}) = 0$  if and only if  $\mathcal{S}$  is the all sieve.
- $\mathfrak{o}_L(\mathcal{S}) \leq k$  if there is  $g \in \mathcal{O}_X(\Omega)$  such that the restriction sieves  $\mathcal{S}|_{R_\Omega(g|1)}$  and  $\mathcal{S}|_{R_\Omega(1|g)}$  have Laurent order at most  $k$ .

- $\mathcal{S}$  is of Laurent order  $k$  if  $k$  is the smallest number such that  $\mathcal{S}$  is of Laurent order at most  $k$

Finiteness of the Laurent order characterizes covering sieves.

**Proposition 1.16.** Let  $\mathcal{S}$  be a sieve on  $\mathrm{Sp}(A)$  for  $A$  an affinoid  $K$ -algebra.  $\mathcal{S}$  is a covering sieve if and only if  $\mathfrak{o}_L(\mathcal{S}) < \infty$ .

This immediately gives a simple sufficient condition for Tate acyclicity.

**Corollary 1.17.** Let  $\mathcal{F}$  be a sheaf of Abelian groups on  $\mathrm{Sp}(A)$ . If

$$0 \rightarrow \mathcal{F}(\Omega) \rightarrow \mathcal{F}(R_\Omega(g|1)) \oplus \mathcal{F}(R_\Omega(1|g)) \rightarrow \mathcal{F}(R_\Omega(g|1) \cap R_\Omega(1|g)) \rightarrow 0$$

is exact for all  $\Omega \subseteq \mathrm{Sp}(A)$  rational and  $g \in \mathcal{O}_{\mathrm{Sp}(A)}(\Omega)$  then  $\mathcal{F}$  is acyclic.

We state two additional results concerning the unviersality of certain affinoid  $K$ -algebras. We first recall the following definitions.

**Definition 1.18** (nat Ring). Let  $A$  be a topological ring.  $A$  is a nat ring if it has a basis of neighborhoods of zero consisting of open subgroups.

**Definition 1.19** (Tate Ring). A nat ring  $A$  is Tate if it has a powerbounded neighborhood of zero and has a topologically nilpotent unit known as a quasi-uniformizer.

In turn:

**Proposition 1.20.** Let  $A$  be a Tate ring and

$$A\langle f_1, \dots, f_n \rangle = A\langle X_1, \dots, X_n \rangle / \langle X_1 - f_1, \dots, X_n - f_n \rangle.$$

$A\langle f_1, \dots, f_n \rangle$  is initial among nat  $A$ -algebras  $B$  where  $f_1, \dots, f_n$  are powerbounded. Furthermore,  $A\langle f_1, \dots, f_n \rangle$  contains  $A$  as a dense subring.

**Proposition 1.21.** Let  $A$  be a Tate ring and

$$A\left\langle \frac{1}{f_1}, \dots, \frac{1}{f_n} \right\rangle = A\langle X_1, \dots, X_n \rangle / \left\langle X_1 - \frac{1}{f_1}, \dots, X_n - \frac{1}{f_n} \right\rangle.$$

$A\langle \frac{1}{f_1}, \dots, \frac{1}{f_n} \rangle$  is initial among nat  $A$ -algebras  $B$  where  $f_1, \dots, f_n$  are units with  $\frac{1}{f_1}, \dots, \frac{1}{f_n}$  powerbounded. Furthermore,  $A\langle \frac{1}{f_1}, \dots, \frac{1}{f_n} \rangle$  contains  $A[\frac{1}{f_1}, \dots, \frac{1}{f_n}]$  as a dense subring.

We are now ready to define coherent sheaves.

We begin with the following preparatory result.

**Proposition 1.22.** Let  $A$  be an affinoid algebra and  $\Omega \subseteq \mathrm{Sp}(A)$  a rational subset. Then:

- (i) For  $B = \mathcal{O}_{\mathrm{Sp}(A)}(\Omega)$  and  $\mathfrak{m} \in \mathrm{Sp}(B)$ , there is an isomorphism of  $K$ -algebras  $B_{\mathfrak{m}}^\wedge \cong A_{\tilde{\mathfrak{m}}}^\wedge$  where  $\tilde{\mathfrak{m}}$  is the preimage of  $\mathfrak{m}$  under the map  $A \rightarrow B$  and  $(-)^\wedge_I$  is the completion of a ring with respect to the ideal  $I$ .
- (ii)  $B$  is flat as an  $A$ -algebra.

*Proof of (i).* We first show a claim:

We now begin marginal labeling, which follows the lecture.

Proposition 2.1

(†) For all  $n \in \mathbb{N}$ ,  $A/\tilde{\mathfrak{m}}^n \rightarrow B/\mathfrak{m}^n$  is an isomorphism.

Note that  $B/\mathfrak{m}^n$  is initial amongst affinoid  $B$ -algebras  $C$  such that  $\mathfrak{m}^n C = 0$ , while  $A/\mathfrak{m}^n$  is initial amongst affinoid  $A$ -algebras  $C'$  such that  $\tilde{\mathfrak{m}}^n C' = 0$ . For  $C$  as above, the image of  $\mathrm{Sp}(C)$  in  $\mathrm{Sp}(B)$  is  $\mathfrak{m}$ , while the image of  $\mathrm{Sp}(C')$  in  $\mathrm{Sp}(A)$  is  $\tilde{\mathfrak{m}} \in \Omega$ . Applying the universal property twice,  $C'$  can be endowed uniquely with the structure of a  $B$ -algebra, and by  $\kappa(\mathfrak{m}) \cong \kappa(\tilde{\mathfrak{m}})$  it follows that  $C'$  is generated by  $\tilde{\mathfrak{m}}$ . Thus both  $A/\tilde{\mathfrak{m}}^n, B/\mathfrak{m}^n$  satisfy the same universal property, hence isomorphic.

The desired claim follows from (†) by passage to the limit.  $\blacksquare$

*Proof of (ii).* By a standard result in commutative algebra, it suffices to show  $B_{\mathfrak{m}}$  is  $A$ -flat for all  $\mathfrak{m} \in \mathrm{mSpec}(B)$ .  $B$  being Noetherian,  $B_{\mathfrak{m}}^{\wedge}$  is a faithfully flat  $B_{\mathfrak{m}}$ -algebra, whereby it is sufficient to show that  $B_{\mathfrak{m}}^{\wedge}$  is flat over  $A$ . But  $B_{\mathfrak{m}}^{\wedge} \cong A_{\mathfrak{m}}^{\wedge}$  by (i), which is a flat  $A$ -module as  $A$  is Noetherian, giving the claim.  $\blacksquare$

As in the case of algebraic geometry, coherent sheaves are defined as  $(\widetilde{-})$ -ifications of finitely generated modules.

Definition 2.1

**Definition 1.23** ( $(\widetilde{-})$ ). Let  $A$  be an affinoid  $K$ -algebra and  $M$  a finitely generated  $A$ -module. The sheaf  $\widetilde{M}$  is the sheafification of the presheaf  $\Omega \mapsto M \otimes_A \mathcal{O}_{\mathrm{Sp}(A)}(\Omega)$  on rational open subsets.

Exactness of the sequence

$0 \rightarrow \mathcal{O}_{\mathrm{Sp}(A)}(\Omega) \rightarrow \mathcal{O}_{\mathrm{Sp}(A)}(R_{\Omega}(1|g)) \oplus \mathcal{O}_{\mathrm{Sp}(A)}(R_{\Omega}(g|1)) \rightarrow \mathcal{O}_{\mathrm{Sp}(A)}(R_{\Omega}(g|1, g^2)) \rightarrow 0$   
is preserved under  $- \otimes_A M$  by Corollary 1.17. In particular, we have:

Proposition 2.2

**Proposition 1.24.** Let  $A$  be an affinoid  $K$ -algebra and  $M$  a finitely generated  $A$ -module with associated sheaf  $\widetilde{M}$ . Then  $\widetilde{M}(\Omega) = \mathcal{O}_{\mathrm{Sp}(A)}(\Omega) \otimes_A M$  and  $H^p(\Omega, \widetilde{M}) = 0$  for all  $p > 0$  and for all rational  $\Omega$ .

*Proof.* By flatness of  $\mathcal{O}_{\mathrm{Sp}(A)}(\Omega)$  as an  $A$ -algebra, exactness of the sequence for  $\Omega$  rational, we have a short exact sequence

$$0 \rightarrow \mathcal{O}_{\mathrm{Sp}(A)}(\Omega) \rightarrow \mathcal{O}_{\mathrm{Sp}(A)}(\Omega_1) \oplus \mathcal{O}_{\mathrm{Sp}(A)}(\Omega_2) \rightarrow \mathcal{O}_{\mathrm{Sp}(A)}(\Omega_1 \cap \Omega_2) \rightarrow 0$$

and by flatness of  $\mathcal{O}_{\mathrm{Sp}(A)}(\Omega)$  (vis. [Stacks, Tag 00M5]), we get that

$$0 \rightarrow \mathcal{O}_{\mathrm{Sp}(A)}(\Omega) \otimes_A M \rightarrow (\mathcal{O}_{\mathrm{Sp}(A)}(\Omega_1) \oplus \mathcal{O}_{\mathrm{Sp}(A)}(\Omega_2)) \otimes_A M \rightarrow \mathcal{O}_{\mathrm{Sp}(A)}(\Omega_1 \cap \Omega_2) \otimes_A M \rightarrow 0$$

is exact, so by noting that  $M \otimes_A \mathcal{O}_{\mathrm{Sp}(A)}(\Omega) \cong \widetilde{M}(\Omega)$ , we have that  $\widetilde{M}$  is acyclic.  $\blacksquare$

## REFERENCES

- [Stacks] The Stacks project authors. *The Stacks project*. <https://stacks.math.columbia.edu>. 2025.

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