

# Recurrence Relations

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$$T(1) = d, T(n) = aT(n/b) + cn^k \quad (n > 1)$$

Assume  $n = b^p$  or  $p = \log_b n$

$$T(b^p) = aT(b^{p-1}) + c(b^p)^k$$

$$aT(b^{p-1}) = a^2T(b^{p-2}) + ac(b^{p-1})^k$$

$$a^2T(b^{p-2}) = a^3T(b^{p-3}) + a^2c(b^{p-2})^k$$

...

$$a^{p-2}T(b^2) = a^{p-1}T(b) + a^{p-2}c(b^2)^k$$

$$a^{p-1}T(b) = a^pT(1) + a^{p-1}c(b)^k$$

$$T(n) = c(b^p)^k + ac(b^{p-1})^k + a^2c(b^{p-2})^k + \dots + a^{p-2}c(b^2)^k + a^{p-1}c(b)^k + a^pT(1)$$

$$T(n) = c[ (b^p)^k + a(b^{p-1})^k + a^2(b^{p-2})^k + \dots + a^{p-2}(b^2)^k + a^{p-1}(b)^k ] + a^pd.$$

# Binary Search

**Algorithm** binSearch(A, x, lower, upper)

*Input:* Already sorted array A of size n, value x to be  
searched for in array section A[lower]..A[upper]

*Output:* true or false

**if** lower > upper **then return** false

mid  $\leftarrow$  (upper + lower)/2

**if** x = A[mid] **then return** true

**if** x < A[mid] **then**

**return** binSearch(A, x, lower, mid - 1)

**else**

**return** binSearch(A, x, mid + 1, upper)

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For the worst case (x is above all elements of A and n a power of 2), running time is given by

the **Recurrence Relation:** (In this case, right half is always half the size of the original.)

$$T(1) = d; \quad T(n) = c + T(n/2)$$

# Binary Search

$$T(1) = d, T(n) = T(n/2) + c \quad (n > 1)$$

$$a = 1, b = 2, k = 0. a = b^0$$

$$T(n) = c[ (b^p)^k + a(b^{p-1})^k + a^2(b^{p-2})^k + \dots + a^{p-2}(b^2)^k + a^{p-1}(b)^k ] + a^p d.$$

$$T(n) = c[ 1 + 1 + 1 + \dots + 1 + 1 ] + d = cp + d = O(p) = O(\log n)$$

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Master Theorem

$$n^k \log_b n = \log_2 n$$

Hence by Master Theorem, we have  $\Theta(\log_2 n)$

# Binary Search

Visualization

There is a depth of  $\Theta(\log n)$ .

Width =  $\Theta(1)$ .

Hence time complexity is  $\Theta(\log n)$ .

# FindMax(A, lower, upper)

**Algorithm** FindMax(A, lower, upper)

*Input:* An unsorted array A[lower, ..., upper]

*Output:* max value

**if** lower = upper **then return** A[lower]

mid  $\leftarrow$  (upper + lower)/2

left  $\leftarrow$  FindMax(A, lower, mid)

right  $\leftarrow$  FindMax(mid + 1, upper)

**return** max(left, right)

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For the worst case (x is above all elements of A and n a power of 2), running time is given by the **Recurrence Relation**: (In this case, right half is always half the size of the original.)

$$T(1) = d; \quad T(n) = c + 2T(n/2)$$

$$T(1) = d, T(n) = 2T(n/2) + c \quad (n > 1)$$
$$a = 2, b = 2, k = 0. a > b^0$$

$$T(n) = c[ (b^p)^k + a(b^{p-1})^k + a^2(b^{p-2})^k + \dots + a^{p-2}(b^2)^k + a^{p-1}(b)^k ] + a^p d.$$

$$T(n) = c[ 1 + 2 + 2^2 + \dots + 2^{p-2} + 2^{p-1} ] + 2^p d = O(2^p) = O(n)$$

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Master Theorem

$$\log_b a = \log_2 2 = 1.$$

Hence by Master Theorem, we have  $\Theta(n)$

# FindMax(A, lower, upper)

## Visualization

There is a depth of  $\Theta(\log n)$ .

**Width is not a constant.** It is 1, 2, ...,  $n/2$ .

$$1 + 2 + \dots + n/2 = n - 1$$

Hence the time complexity is  $\Theta(n)$ .



# FindMax2(A, lower, upper)

**Algorithm** FindMax2(A, lower, upper)

*Input:* An unsorted array A[lower, ..., upper]

*Output:* max value

**if** lower = upper **then return** A[lower]

left  $\leftarrow$  A[lower]

right  $\leftarrow$  FindMax2(A, lower + 1, upper)

**return** max(left, right)

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For the worst case (x is above all elements of A and n a power of 2), running time is given by the ***Recurrence Relation***

$$T(1) = d; \quad T(n) = c + T(n-1)$$

# FindMax2

You cannot apply master theorem.

$$T(n) = T(n - 1) + c = T(n - 2) + 2c = \dots = T(1) + (n - 1)c = d + (n - 1)c \text{ is } \Theta(n).$$

Visualization

There is a depth of  $\Theta(n)$ .

Width is 1.

Hence time complexity is  $\Theta(n)$

# Merge Sort

**Algorithm** MergeSort(A, lower, upper)

*Input:* An unsorted array A[lower, ..., upper]

*Output:* Sorted array

**if** lower = upper **then return** A[lower]

mid  $\leftarrow$  (upper + lower)/2

L  $\leftarrow$  MergeSort(A, lower, mid)

R  $\leftarrow$  MergeSort (A, mid + 1, upper)

**return** merge(L, R)

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For the worst case (x is above all elements of A and n a power of 2), running time is given by the **Recurrence Relation:** (In this case, right half is always half the size of the original.)

$$T(1) = d; \quad T(n) = 2T(n/2) + cn \quad // \text{ n for merge}$$

$$T(1) = d, T(n) = 2T(n/2) + cn \quad (n > 1)$$

$$a = 2, b = 2, k = 1. a = b^1$$

$$T(n) = c[ (b^p)^k + a(b^{p-1})^k + a^2(b^{p-2})^k + \dots + a^{p-2}(b^2)^k + a^{p-1}(b)^k ] + a^p d.$$

$$T(n) = c[ (2^p) + 2(2^{p-1}) + 2^2(2^{p-2}) + \dots + 2^{p-2}(2^2) + 2^{p-1}(2) ] + d < O(n \log n)$$


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Master Theorem

$$k = 1$$

Hence by Master Theorem, we have  $\Theta(n^k \log n)$ . That is,  $\Theta(n \log n)$ .

Visualization

There is a depth of  $\Theta(\log n)$

Width =  $\Theta(n)$ .

Hence time complexity is  $\Theta(n \log n)$ .

# The Master Theorem

For recurrences that arise from Divide-And-Conquer algorithms (like Binary Search), there is a general formula that can be used.

**Theorem.** Suppose  $T(n)$  satisfies

$$T(n) = \begin{cases} d & \text{if } n = 1 \\ aT(\lceil \frac{n}{b} \rceil) + cn^k & \text{otherwise} \end{cases}$$

where  $k$  is a nonnegative integer and  $a, b, c, d$  are constants with  $a > 0, b > 1, c > 0, d \geq 0$ . Then

$$T(n) = \begin{cases} \Theta(n^k) & \text{if } a < b^k \\ \Theta(n^k \log n) & \text{if } a = b^k \\ \Theta(n^{\log_b a}) & \text{if } a > b^k \end{cases}$$