

# String Theory and Mathematics: an Ongoing Dialogue

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## Question

*How do physics and mathematics talk to each other?*

String Theory	Algebraic Geometry
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# Outline

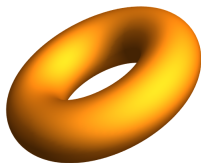
- 1 String Theory and Mathematics I: Enumerative Geometry (1991–1995)
- 2 String Theory and Mathematics II: Derived Categories (2001–2007)
- 3 String Theory and Mathematics III: More Opportunities? (1994–?)

## The Math

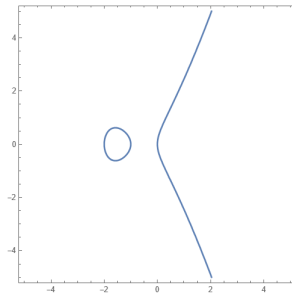
# A Word on $\mathbb{C}$

## Warning

*The dimension of a space over  $\mathbb{C}$  is half that over  $\mathbb{R}$  (e.g.  $\mathbb{C}$  is one-dimensional as a complex vector space, but two-dimensional over  $\mathbb{R}$ ). A “curve” is two-dimensional over  $\mathbb{R}$ , a “surface” is four-dimensional, etc.*



(a) Complex solutions to the equation  $y^2 = x(x+1)(x+2)$



(b) The real solutions are one-dimensional

Figure 1: Both these images are “curves” according to complex geometers

# Algebraic Varieties: Quadrics

## Definition

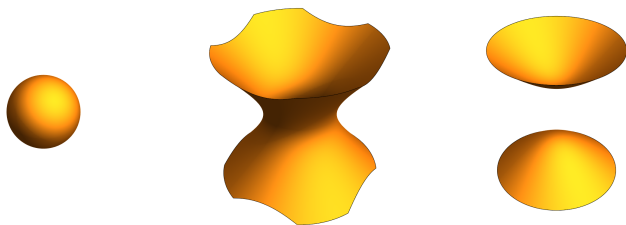
An *algebraic variety* is a geometric shape that can be defined by polynomial equations.

## Example (smooth quadrics in $\mathbb{R}^3$ )

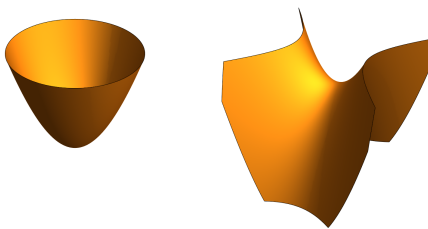
The real zeroes of a real quadratic polynomial in three variables form a surface known as a *real quadric surface*, and generally come in five flavors. A general equation for a quadric surface looks like

$$Ax^2 + By^2 + Cz^2 + Dxy + Exz + Fyz + Gx + Hy + Jz + K = 0$$

# Algebraic Varieties: Quadrics



(a)  $x^2 + y^2 + z^2 = 1$     (b)  $x^2 + y^2 - z^2 = 1$     (c)  $x^2 + y^2 - z^2 = -1$



(d)  $x^2 + y^2 - z = 1$     (e)  $x^2 - y^2 - z = 1$

Figure 2: Real nondegenerate quadrics. Over  $\mathbb{C}$ , these are all the same surface.

# Lines on Quadrics

## Theorem

*Nondegenerate quadrics have infinitely many lines. These lines come in two one-dimensional families.*



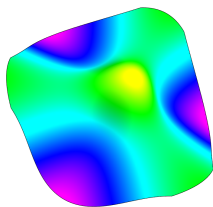
Figure 3: The lines on this quadric are clearly visible in two families.



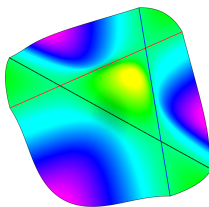
# Algebraic Varieties: Cubics

## Example (smooth cubics in $\mathbb{C}^3$ )

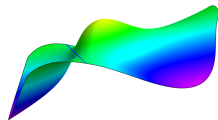
The zeroes of a cubic polynomial in three variables form a surface known as a *cubic surface*.



(a) The real points  
(color indicates height)



(b) Three Lines



(c) Head-on view showing  
the lines are straight

Figure 4: The Fermat cubic  $x^3 + y^3 + z^3 = 1$

# Algebraic Varieties: Cubics

## Example (smooth cubics in $\mathbb{C}^3$ )

The zeroes of a cubic polynomial in three variables form a surface known as a *cubic surface*.

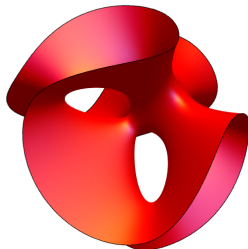
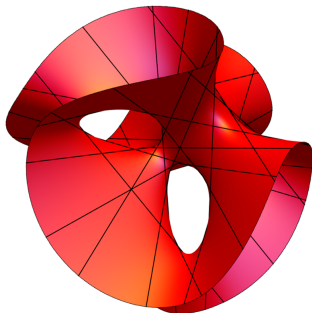


Figure 5: The Clebsch cubic  $x^3 + y^3 + z^3 + 1 = (x + y + z + 1)^3$

# Lines on Cubics

## Theorem

*Every smooth cubic surface has \_\_\_\_\_ exactly 27 lines.*

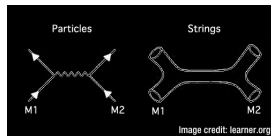


**Figure 6:** The Clebsch Cubic allows for all 27 lines to be seen in just the real points. Lots of interesting combinatorics here!

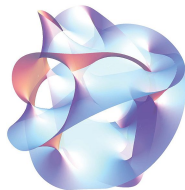
## The Physics

# String Theory and Algebraic Varieties

- Central hypothesis of string theory: fundamental physical object is a one-dimensional loop.
- Major problem: theory is inconsistent if the dimension of the universe is not 10.
- Solution (compactification): spacetime is a product  $\mathbb{R}^4 \times X$  where  $X$  is six-dimensional with finite volume
- Consistency:  $X$  must be *Calabi-Yau*, in particular an algebraic variety.



(a) If strings are small, they look like particles



(b) A Calabi-Yau manifold

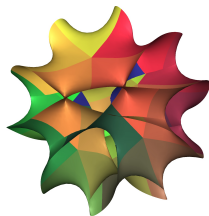
# The Quintic Threefold

## Theorem (Candelas et. al. <sup>a)</sup>)

<sup>a</sup>P. Candelas et al. “A Pair of Calabi-Yau manifolds as an exactly soluble superconformal theory”. *Nucl. Phys. B* 359 (1991). Ed. by S.-T. Yau, pp. 21–74

*The  $q$ -expansion of the Yukawa coupling on a family of smooth quintic hypersurfaces in  $\mathbb{C}^4$  is given by*

$$5 + 2875q + (2^3 \cdot 609250 + 2875)q^2 + \dots$$



**Figure 7:** A slice of the Fermat quintic  $x^5 + y^5 + z^5 + w^5 = 1$  that Candelas, De La Ossa, Green, and Parkes ran string theory on.

# The Quintic Threefold: Enumerative Geometry

## Theorem (Candelas et. al)

*The  $q$ -expansion of the Yukawa coupling on the family of smooth quintic hypersurfaces in  $\mathbb{C}^4$  is given by*

$$5 + 2875q + (2^3 \cdot 609250 + 2875)q^2 + \dots$$

What makes this result particularly surprising is the following enumerative results:

- 5 is the degree of the quintic hypersurface
- 2875 is the number of lines on the quintic
- 609250 is the number of degree-two curves (conics) on the quintic

# The Quintic Threefold: Predictions

The  $q$ -expansion predicts the number of degree-three curves on the quintic to be

$$n_3 = 317206375$$

which was unknown at the time.

This was later computed by Ellingsrud and Stromme<sup>1</sup> and found to be correct!

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<sup>1</sup>G. Ellingsrud and S. A. Stromme. “The Number of Twisted Cubic Curves on the General Quintic Threefold.” *Mathematica Scandinavica* 76.1 (1995), pp. 5–34



# String Theory and Mathematics I: Enumerative Geometry

String Theory	Algebraic Geometry
Yukawa coupling	Curve counting
-	-
-	-

## The Math

# What is a category?

## Definition

A category consists of:

- *Objects*: a collection of primitives.
- *Morphisms*: abstract maps between objects.
- *Composition*:  $(A \rightarrow B) \circ (B \rightarrow C)$  yields  $A \rightarrow C$ .

# Examples of Categories

## Example (The category of groups)

- Objects are groups.
- Morphisms are group homomorphisms.
- Composition is regular function composition.

## Example (The category of natural numbers)

- Objects are natural numbers.
- There is a unique morphism from  $m$  to  $n$  if and only if  $m \leq n$ .
- Composition: if  $k \leq m$  and  $m \leq n$ , then their composition is the unique morphism from  $k \leq n$ .

# The Derived Category

The derived category is a construction that yields a complicated category containing “homological information” about the object under study.

## Example

Given a ring  $R$ , the construction associates to  $R$  its *derived category*  $D(R\text{-Mod})$ , containing information about the representation theory of  $R$ .

## Example

Given a variety  $X$ , the construction associates to  $X$  its *bounded derived category of coherent sheaves*  $D^b(\text{Coh}(X))$ , containing information about vector bundles and subvarieties of  $X$ .

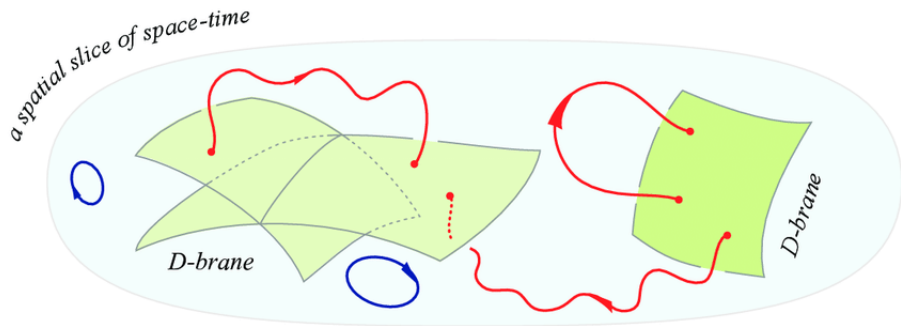
Derived categories are not very well understood (e.g. no notion of subobject, morphisms are computed using “composable roofs”).

## The Physics

# Strings and Categories

## Definition

Theories with open strings allow for *D-branes*, extended physical objects which the string endpoints attach to.



**Figure 8:** A cartoon of some D-branes with strings attached to them. Image borrowed from arxiv:1406.0929

# Key Result

## Theorem (Aspinwall and Lawrence <sup>a</sup>)

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<sup>a</sup>P. S. Aspinwall and A. E. Lawrence. “Derived categories and zero-brane stability”. *JHEP* 08 (2001), p. 004. arXiv: [hep-th/0104147](https://arxiv.org/abs/hep-th/0104147)

*The string category associated to a (particular) string theory on a Calabi-Yau algebraic variety  $X$  is the derived category of coherent sheaves on  $X$ .*



# String Theory and Mathematics II: Derived Categories

String Theory	Algebraic Geometry
Yukawa coupling	Curve counting
Open string states	Derived category
-	-

# Insights From Physics

- Michael Douglas <sup>2</sup> observed that strings carry more information than the derived category sees.
- Certain string states can acquire negative mass, indicating the D-branes they are attached to can condense into a new D-brane.
- This process (tachyon condensation) predicts a new structure on the derived category that mathematicians were unaware of.
- Tom Bridgeland formalized this <sup>3</sup> and it has been a central tool in studying derived categories.

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<sup>2</sup>M. R. Douglas. “D-branes, categories and N=1 supersymmetry”. *J. Math. Phys.* 42 (2001), pp. 2818–2843. [arXiv: hep-th/0011017](#)

<sup>3</sup>T. Bridgeland. “Stability conditions on triangulated categories”. *Ann. of Math.* (2) 166.2 (2007), pp. 317–345

# String Theory and Mathematics II: Derived Categories (Bonus)

String Theory	Algebraic Geometry
Yukawa coupling	Curve counting
Open string states	Derived category
Tachyon condensation	$D^b(X)$ stability conditions
-	-

# Hyperkähler Varieties

## Definition

A *hyperkähler variety* is a complex algebraic variety with three independent complex structures  $IJK$  satisfying the quaternion relations

$$I^2 = J^2 = K^2 = IJK = -1$$

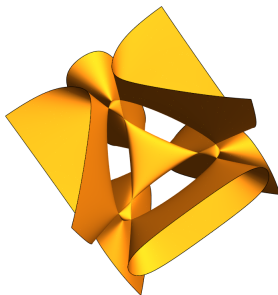


Figure 9: A famous hyperkähler variety known as the K3 surface

# Hyperkähler Varieties and Physics

## Theorem

*An  $\mathcal{N} = (4, 4)$  supersymmetric string theory can only exist on an algebraic variety that is hyperkähler. Conversely, any string theory on a hyperkähler background can be enhanced to an  $\mathcal{N} = (4, 4)$  theory.*

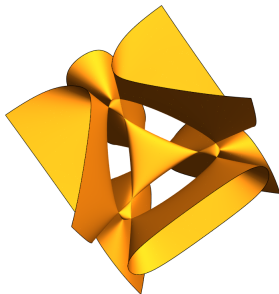


Figure 10: A famous hyperkähler variety known as the K3 surface

# Some New Physics Insights?

- The open-string category has yet to be computed in the  $\mathcal{N} = (4, 4)$  model.
- The corresponding derived category on the hyperkähler side has yet to be defined properly as well.
- Proper understanding requires the use of *generalized complex geometry*, which is a recent construction not yet well-understood.

# String Theory and Mathematics III: New Opportunities

String Theory	Algebraic Geometry
Yukawa coupling	Curve counting
Open string states	Derived category
Tachyon condensation	$D^b(X)$ stability conditions
$\mathcal{N} = (4, 4)$ model	???

# Thank you for your time!

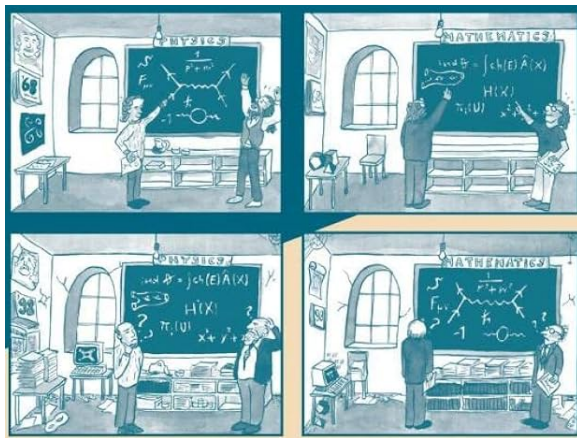


Figure 11: Taken from the cover of “Quantum Fields and Strings: A Course for Mathematicians”