

PMATH 347 Groups and Rings - Lecture Notes

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University of Waterloo - Fall 2023

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1 Groups

1.1 Basic Properties

Definition 1.1 (Groups): Let G be a set and $*$: $G \times G \rightarrow G$. The pair $(G, *)$ is a group if it satisfies the following:

1. *Closure*: If $a, b \in G$, then $a * b \in G$.
2. *Associativity*: If $a, b, c \in G$ then $a * (b * c) = (a * b) * c$.
3. *Identity*: There exists $e \in G$ such that $a * e = a = e * a$ for all $a \in G$. In this case we call this element e an identity of G and it must be unique (see Proposition 1.1).
4. *Inverse*: For all $a \in G$, there exists some $b \in G$ such that $a * b = e = b * a$. We call b the inverse of a and it is also unique (see Proposition 1.1).

Notation 1.2: Normally in an abstract group we denote the group operation by multiplication (i.e. $a \cdot b$) or omit it entirely (i.e. ab).

Definition 1.3 (Abelian Groups): A group G is abelian if $a * b = b * a$ for all $a, b \in G$.

Example 1.1: The pairs $(\mathbb{Z}, +)$, $(\mathbb{Q}, +)$, $(\mathbb{R}, +)$ and $(\mathbb{C}, +)$ are all abelian groups but (\mathbb{Q}, \cdot) , (\mathbb{R}, \cdot) and (\mathbb{C}, \cdot) are not since they do not contain inverses for 0.

Proposition 1.1 (Uniqueness of the Identity and Inverses): Let G be a group with $a \in G$. There exist unique elements $e, a^{-1} \in G$ satisfying the properties of the identity and the inverse of a respectively.

Proof.

- Let e_1, e_2 be two identities elements of G . Then $e_1 = e_1 * e_2 = e_2$ and so they are the same.
- Let b_1, b_2 be inverses of a in G . Then

$$b_1 = b_1 * e = b_1 * (a * b_2) = (b_1 * a) * b_2 = e * b_2 = b_2$$

and so they are the same as well.

□

Definition 1.4 (Units): Let G be a group and $S \subseteq G$. An element $s \in S$ is a unit of S if $s^{-1} \in S$ as well. We denote the set of all units of S by $S^* := \{s \in S : \exists s^{-1} \in S, s * s^{-1} = e\}$.

Definition 1.5 (The General Linear Group): For a field \mathbb{F} , we define the set

$$\text{GL}_n(\mathbb{F}) := \{M \in M_n(\mathbb{F}) : \det(M) \neq 0\}.$$

When combined with matrix multiplication this set forms a group called the general linear group (see Proposition 1.2).

Proposition 1.2: $(\text{GL}_n(\mathbb{F}), \cdot)$ is a group for all fields \mathbb{F} .

Proof.

1. *Closure:* Note that if $A, B \in \text{GL}_n$ then $\det(AB) = \det(A)\det(B) \neq 0$ since $\det(A) \neq 0$ and $\det(B) \neq 0$.
2. *Associativity:* This follows from the associativity of matrix multiplication.
3. *Identity:* Clearly $I_n \in \text{GL}_n(\mathbb{F})$ since $\det(I_n) = 1 \neq 0$.
4. *Inverse:* Since $\det(A) \neq 0$ for all $A \in \text{GL}_n(\mathbb{F})$, we know that there exists a matrix A^{-1} such that $A^{-1}A = I = AA^{-1}$. Moreover, $\det(A^{-1}) = \frac{1}{\det(A)} \neq 0$ since $\det(A) \neq 0$ so $A^{-1} \in \text{GL}_n(\mathbb{F})$ as well.

Note: $\text{GL}_n(\mathbb{F})$ is only abelian in the trivial case when $n = 1$.

Notation 1.6: When dealing with multiple groups we use subscripts to distinguish their respective group operations and identity elements (i.e. $e_G * _G a = a$).

Definition 1.7 (Direct Product Groups): Let $(G, *_G), (H, *_H)$ be groups. Their direct product group is $(G \times H, *)$ where $*$: $(G \times H) \times (G \times H) \rightarrow G \times H$ is defined by

$$(g_1, h_1) * (g_2, h_2) = (g_1 *_G g_2, h_1 *_H h_2).$$

This group has the identity $e = (e_G, e_H)$ and the inverse of $(g, h) \in G \times H$ is (g^{-1}, h^{-1}) .

Proposition 1.3 (Basic Group Identities): Let G be a group and $g, g \in G$.

1. $(g^{-1})^{-1} = g$
2. $(gh)^{-1} = h^{-1}g^{-1}$
3. $g^n \cdot g^m = g^{n+m}$ for all $n, m \in \mathbb{Z}$
4. $(g^n)^m = g^{nm}$ for all $n, m \in \mathbb{Z}$

Proof. The proof is left as an exercise. □

Note: In general $(gh)^n \neq g^n h^n$. This requires g and h to commute.

Proposition 1.4 (Algebraic Manipulation of Groups): Let G be a group and $f, g, h \in G$. Then:

1. They satisfy left and right cancellation, namely:
 - (a) If $gh = gf$ then $h = f$.
 - (b) If $hg = fg$ then $h = f$.
2. The equations $gx = h$ and $yg = h$ have unique solutions for $x, y \in G$.

Proof.

1. (a) By multiplying by g^{-1} on the left we get:

$$gh = gf \implies g^{-1}gh = g^{-1}gf \implies h = f$$

(b) Same as before but multiply by g^{-1} on the right.

2. Let $x = g^{-1}h$ and $y = hg^{-1}$. Clearly these are valid solutions. Now assume that u, v are additional solutions such that $gu = h$ and $vg = h$. This gives $gu = gx$ and $vg = yg$ and so by (1), $u = x$ and $v = y$.

□

1.2 Symmetric Groups and Cycles

Definition 1.8 (Permutations): Given a non-empty set L , a permutation of L is a bijection from L to L .

Definition 1.9 (Symmetric Groups): Given a non-empty set L , The set of all permutations of L is denoted by S_L and forms a group with function composition called the symmetric group over L .

Note: The identity element $\epsilon \in S_L$ is the identity transformation $\epsilon : L \rightarrow L$ with $\epsilon(x) = x$

Notation 1.10: For $n \in \mathbb{N}$ let S_n denote the symmetric group over the set $\{1, \dots, n\}$.

Proposition 1.5: For all $n \in \mathbb{N}$, $|S_n| = n!$.

Proof. This follows from that fact that there are $n!$ ways to permute n objects. □

Definition 1.11 (Cycle Notation): We can define elements $\sigma \in S_n$ by the cycles they form with the elements of $\{1, 2, \dots, n\}$.

Example 1.2: Let $\sigma, \tau \in S_4$ with

$$\sigma(x) = \begin{cases} 2 & x = 1 \\ 1 & x = 2 \\ 4 & x = 3 \\ 3 & x = 4 \end{cases} \text{ and } \tau(x) = x + 1 \pmod{4}.$$

Then in cycle notation we have:

$$\sigma = (1\ 2)(3\ 4)$$

$$\sigma^{-1} = (2\ 1)(4\ 3)$$

$$\tau = (1\ 2\ 3\ 4)$$

$$\tau^{-1} = (4\ 3\ 2\ 1)$$

$$\sigma\tau = (2\ 4)$$

$$\tau\sigma = (1\ 3)$$

Theorem 1.6 (Cycle Decomposition Theorem): If $\sigma \in S_n$ with $\sigma \neq \epsilon$, then σ is a product of (one or more) disjoint cycles of length at least 2 and this factorization is unique up to the order of the factors.

Proof. The proof of Theorem 1.6 is left as an exercise (difficult). \square

1.3 Cayley Tables

Definition 1.12 (Cayley Tables): Given a group G and $x, y \in G$, if we write the product xy as the entry of a table in the row corresponding to x and column corresponding to y then such a table is called a Cayley table.

Note: By cancellation the entries in each row (or in each column) of a Cayley table are all distinct.

Example 1.3: Consider the groups $(\mathbb{Z}_2, +)$ and (\mathbb{Z}^*, \cdot) where $\mathbb{Z}^* = \{-1, 1\}$. These groups have the following Cayley tables:

\mathbb{Z}_2	[0]	[1]
[0]	[0]	[1]
[1]	[1]	[0]

\mathbb{Z}^*	-1	1
-1	1	-1
1	-1	1

Definition 1.13 (Cyclic Groups): The cyclic group of order n is defined by

$$C_n := (\{1, a, a^2, \dots, a^{n-1}\}, \cdot)$$

with $a^n = 1$ and $1, a, a^2, \dots, a^{n-1}$ all distinct.

Example 1.4: The Cayley table for C_n is:

C_n	1	a	a^2	\dots	a_{n-1}
1	1	a	a^2	\dots	a_{n-1}
a	a	a^2	a^3	\dots	1
a^2	a^2	a^3	a^4	\dots	a
\vdots	\vdots	\vdots	\vdots	\ddots	\vdots
a_{n-1}	a_{n-1}	1	a	\dots	a_{n-2}

Definition 1.14 (Isomorphic Groups): We say that two groups G, H are isomorphic if their Cayley tables are the same (up to the naming and ordering of elements), in which case we write $G \cong H$.

Proposition 1.7 (Classification of Groups up to Order 4): Let G be a group.

1. If $|G| = 1$, then $G \cong \{1\}$.
2. If $|G| = 2$, then $G \cong C_2$.
3. If $|G| = 3$, then $G \cong C_3$.
4. If $|G| = 4$, then $G \cong C_4$ or $G \cong K_4 = C_2 \times C_2$.

Proof.

1. If $|G| = 1$ then trivially $G = \{1\}$ up to isomorphism.
2. If $|G| = 2$, then $G = \{1, g\}$ with $g \neq 1$. We must have a g^{-1} in G with $g^{-1} \neq 1$, so $g^{-1} = g$. This gives the Cayley table for C_2 .
3. If $|G| = 3$, then $G = \{1, g, h\}$ with $g \neq 1$, $h \neq 1$ and $g \neq h$. By cancellation, $gh \neq g$ and $gh \neq h$. Thus $gh = 1$. Similarly, $hg = 1$. Since all entries on the same row of a Cayley table need to be distinct, this then gives $g^2 = h$ and $h^2 = g$. By observation of the resulting Cayley table, if we let $g = a$ and $h = a^2$ then we get $G \cong C_3$.
4. See assignment 1.

□

Definition 1.15 (The Klein 4-Group): The group $K_4 \cong C_2 \times C_2$ is called the Klein 4-group.

2 Subgroups

2.1 Subgroups

Definition 2.1 (Subgroups): Let G be a group and $H \subseteq G$ be a subset of G . If H is itself a group (using G 's group operation) then we say that H is a subgroup of G .

Theorem 2.1 (The Subgroup Test): Let G be a group and $H \subseteq G$. H is a subgroup if and only if the following are all true.

1. If $h_1, h_2 \in H$ then $h_1 h_2 \in H$.
2. $1_G \in H$.
3. If $h \in H$, then $h^{-1} \in H$.

Proof.

\Rightarrow This follows directly from the properties of a group.

\Leftarrow By assumption the closure, identity and inverse properties of a group hold for H . As for associativity, since G was a group the group operation was associative over G , and so it must be associative over H as well. Hence H is a group.

□

Remark: Essentially, what the subgroup test is saying is that when determining if a subset is or isn't a subgroup we may ignore the associativity group axiom.

Definition 2.2 (The Special Linear Group): The group $\text{SL}_n(\mathbb{F}) = \{M \in M_n(\mathbb{F}) : \det(M) = 1\}$ is called the special linear group and it is a subgroup of $\text{GL}_n(\mathbb{F})$.

Definition 2.3 (The Center of a Group): The center of a group G is the set

$$Z(G) := \{z \in G : \forall x \in G, zx = xz\}$$

and it is an abelian subgroup of G (see Proposition 2.2).

Proposition 2.2: For all groups G , $Z(G)$ is an abelian subgroup of G .

Proof. Note that $Z(G)$ is by definition abelian, so we just need to show that it is a subgroup. We proceed by using the subgroup test (Theorem 2.1).

1. Let $y, z \in Z(G)$. By associativity and the definition of the center we have:

$$(yz)g = y(zg) = y(gz) = (yg)z = (gy)z = g(yz)$$

So $yz \in Z(G)$.

2. Clearly $1_G \in Z(G)$ since by definition $1g = g = g1$.

3. Let $z \in Z(G)$ and $g \in G$. we have:

$$zg = gz \iff z^{-1}(gz)z^{-1} = z^{-1}(zg)z^{-1} \iff z^{-1}g = gz^{-1}$$

So $z^{-1} \in Z(G)$ as well.

□

Proposition 2.3: Let H, K be subgroups of G . Then $H \cap K$ is a subgroup of G as well.

Proof. This follows easily from the subgroup test (Theorem 2.1).

□

Proposition 2.4 (The Finite Subgroup Test): If H is a finite non-empty subset of G , then H is a subgroup of G if and only if H is closed under G 's group operation.

Proof.

\implies This follows from the closure of a subgroup.

\impliedby If $H = \emptyset$ then the statement is vacuously true, so assume there exists some $h \in H$. Since H is closed under the group operations, $\{h, h^2, h^3, \dots\} \subseteq H$. Since h is finite these elements are not all distinct and there exists some $n, m \in \mathbb{N}$ such that $h^n = h^{n+m}$. By cancellation this implies that $h^m = 1$ so $1 \in H$. Furthermore, $h \cdot h^{m-1} = h^m = 1$ so $h^{-1} = h^{m-1} \in H$ as well. Thus by the subgroup test (Theorem 2.1) H is a subgroup of G .

□

2.2 Alternating Groups

Recall that by the cycle decomposition theorem (Theorem 1.6) for $\sigma \in S_n$, with $\sigma \neq \epsilon$, σ can be decomposed uniquely (up to the ordering of its factors) as disjoint cycles of length at least 2.

Definition 2.4 (Transpositions): A transposition $\sigma \in S_n$ is a cycle of length 2.

Remark: All larger cycles can be decomposed into transpositions (e.g. $(1\ 2\ 4\ 5) = (1\ 2)(2\ 4)(4\ 5) \in S_5$) however this factorization is not unique.

Theorem 2.5 (Parity Theorem): If a permutation σ has two factorizations

$$\sigma = \gamma_1 \cdots \gamma_r = \mu_1 \cdots \mu_s$$

where each γ_i and μ_j is a transposition, then $r \equiv s \pmod{2}$.

Proof. The proof of the parity theorem is left as a (difficult) exercise.

Definition 2.5 (Even and Odd Permutations): A permutation σ is even or odd if it can be written as a product of an even or odd number of transpositions. By the parity theorem (Theorem 2.5) a permutation is either even or odd but not both.

Definition 2.6 (Alternating Groups): For $n \geq 2$, the alternating group A_n is the set of all even permutations of S_n .

Note:

1. It follows from the next proposition (Proposition 2.6) and the subgroup test (Theorem 2.1) that this set is a group.
2. By construction, A_n is a subgroup of S_n .

Proposition 2.6 (Properties of Alternating Groups): For $n \geq 2$ let A_n denote the set of all even permutations of S_n .

1. $\epsilon \in A_n$.
2. If $\sigma, \tau \in A_n$, then $\sigma\tau \in A_n$ and $\sigma^{-1} \in A_n$.
3. $|A_n| = \frac{1}{2}n! = \frac{1}{2}|S_n|$.

Proof.

1. We can write $\epsilon = (1\ 2)(1\ 2)$ so ϵ is even and thus $\epsilon \in A_n$.
2. If $\sigma, \tau \in A_n$ we have

$$\sigma = \sigma_1 \cdots \sigma_{2r}, \tau = \tau_1 \cdots \tau_{2s}$$

where $r, s \in \mathbb{N}$. This gives

$$\sigma\tau = \sigma_1 \cdots \sigma_{2r}\tau_1 \cdots \tau_{2s}$$

so clearly $\sigma\tau \in A_n$ as well.

As for σ^{-1} , we note that since each σ_i is a transposition, $\sigma_i^{-1} = \sigma_i$. This gives

$$\sigma^{-1} = (\sigma_1 \cdots \sigma_{2r})^{-1} = \sigma_{2r}^{-1} \cdots \sigma_1^{-1} = \sigma_{2r} \cdots \sigma_1$$

so $\sigma^{-1} \in A_n$ as well.

3. Let O_n denote the set of odd permutations in S_n . By the parity theorem we have $S_n = A_n \cup O_n$ and $A_n \cap O_n = \emptyset$. Since $|S_n| = n!$, it now suffices to show that $|O_n| = |A_n|$. Let $\gamma = (12)$ and define $f : A_n \rightarrow O_n$ by $f(\sigma) = \gamma\sigma$. Since $\sigma \in A_n$, $\gamma\sigma \in O_n$ and so f is well defined. Also, if $\gamma\sigma_1 = \gamma\sigma_2$ then $\sigma_1 = \sigma_2$ so f is 1 to 1. Finally, observe that

$$f(f(\sigma)) = (12)(12)\sigma = \sigma$$

so $f^{-1} = f$ and thus f is a bijection from A_n to O_n . We conclude $|A_n| = |O_n|$ and so $|A_n| = \frac{1}{2}n!$. □

2.3 Orders of Elements

Definition 2.7 (Cyclic Subgroups): Let G is a group and $g \in G$. We define

$$\langle g \rangle = \{g^n : n \in \mathbb{Z}\} = \{\dots, g^{-2}, g^{-1}, 1, g, g^2, \dots\}.$$

This set is called the cyclic subgroup generated by g (see Proposition 2.7).

Proposition 2.7: If G is a group then for all $g \in G$, $\langle g \rangle$ is a subgroup of G .

Proof. This follows from the subgroup test (Theorem 2.1). □

Definition 2.8 (Orders of Elements): Let G be a group and $g \in G$. If n is the smallest positive integer such that $g^n = 1$, then we say the order of g is n (denoted $o(g) = n$). If no such n exists then we say that the order of g is infinite ($o(g) = \infty$).

Proposition 2.8 (Properties of Elements with Finite Order): Let G be a group and $g \in G$ with $o(g) = n \in \mathbb{N}$. For $k \in \mathbb{Z}$, we have:

1. $g^k = 1 \iff n|k$
2. $g^k = g^m \iff k \equiv m \pmod{n}$
3. $\langle g \rangle = \{1, g, g^2, \dots, g^{n-1}\}$

Proof.

1.

\Leftarrow If $n|k$ then $k = nq$ for some $q \in \mathbb{Z}$. Thus

$$g^k = g^{nq} = (g^n)^q = 1^q = 1.$$

\Rightarrow By the division algorithm we can write $k = nq + r$ with $q, r \in \mathbb{Z}$ and $0 \leq r < n$. Since $g^k = 1$ and $g^n = 1$ we have,

$$g^r = g^{k-nq} = g^k(g^n)^{-q} = 1(1)^{-q} = 1.$$

Since $0 \leq r < n$ and $o(g) = n$, it follows that $r = 0$ and hence $n|k$.

2. Note that $g^k = g^m \iff g^{k-m} = 1$. By (1) this follows if and only if we have $n|(k-m)$.

3. It follows from (2) that $1, g, \dots, g^{n-1}$ are all distinct. Clearly, we have $\{1, g, \dots, g^{n-1}\} \subseteq \langle g \rangle$. To prove that other inclusion, let $x = g^k \in \langle g \rangle$ for some $k \in \mathbb{Z}$. Write $k = nq + r$ with $q, r \in \mathbb{Z}$ and $0 \leq r < n$. Then

$$x = g^k = (g^n)^q g^r = 1^q g^r = g^r \in \{1, g, \dots, g^{n-1}\}.$$

□

Proposition 2.9 (Properties of Elements with Infinite Order): Let G be a group and $g \in G$ satisfying $o(g) = \infty$. For $k \in \mathbb{Z}$, we have:

1. $g^k = 1 \iff k = 0$.
2. $g^k = g^m \iff k = m$.
3. $\langle g \rangle = \{\dots, g^{-2}, g^{-1}, 1, g, g^2, \dots\}$ are all distinct.

Proof.

1.

$\implies g^0 = 1$ by definition.

\impliedby If $g^k = 1$ for some $k \neq 0$, then $g^{-k} = (g^k)^{-1} = 1$ as well. Thus we can assume $k \geq 1$. However, this implies that $o(g)$ is finite.

2. Note that $g^k = g^m \iff g^{k-m} = 1$. By (1) this is equivalent to $k - m = 0$, i.e. $k = m$.

3. This follows directly from (2).

□

Proposition 2.10: Let G be a group and $g \in G$ with $o(g) = n \in \mathbb{N}$. For all $d \in \mathbb{N}$, $o(g^d) = \frac{n}{\gcd(n,d)}$. In particular, if $d|n$ then $\gcd(n,d) = d$ and $o(g^d) = \frac{n}{d}$.

Proof. Let $n_1 = \frac{n}{\gcd(n,d)}$ and $d_1 = \frac{d}{\gcd(n,d)}$. By a result from MATH135, we have $\gcd(n_1, d_1) = 1$. Note that

$$(g^d)^{n_1} = (g^d)^{\frac{n}{\gcd(n,d)}} = (g^n)^{\frac{d}{\gcd(n,d)}} = 1.$$

Thus it remains to show that n_1 is the smallest such positive integer.

Now suppose $(g^d)^r = 1$ with $r \in \mathbb{N}$. Since $o(g) = n$, by theorem 2.8 we have $n|dr$. Thus there exists $q \in \mathbb{Z}$ such that $dr = nq$. Dividing both sides by $\gcd(n,d)$ gives

$$d_1 r = \frac{d}{\gcd(n,d)} r = \frac{n}{\gcd(n,d)} q = n_1 q.$$

Since $n_1|d_1 r$ and $\gcd(n_1, d_1) = 1$, by another result from MATH135 we get $n_1|r$, i.e. $r = n_1 \ell$ for some $\ell \in \mathbb{Z}$.

Since $r_1, n_1 \in \mathbb{N}$ it follows that $\ell \in \mathbb{N}$. Since $\ell \geq 1$, we get $r \geq n$.

□

2.4 Cyclic Groups

Proposition 2.11: Every cyclic group is abelian.

Proof. Let C_n be a cyclic group and $g, h \in C_n$. By the definition of a cyclic group $g = a^{k_1}$ and $h = a^{k_2}$ for some $k_1, k_2 \in \mathbb{Z}$. Thus

$$gh = a^{k_1} a^{k_2} = a^{k_1+k_2} = a^{k_2} a^{k_1} = hg$$

so C_n is abelian.

□

Proposition 2.12: Every subgroup of a cyclic group is cyclic.

Proof. Let $G = \langle g \rangle$ be cyclic and let H be a subgroup of G . If $H = \{1\}$ then we are done so we may assume there exists a $g^k \in H$ with $k \neq 0$. Since H is group, $g^{-k} \in H$ as well so we may also assume $k \in \mathbb{N}$.

Let m be the smallest positive integer such that $g^m \in H$. Note that this means $\langle g^m \rangle \subseteq H$. To prove $H \subseteq \langle g^m \rangle$ we note that for all $h \in H$, $h = g^k$ for some $k \in \mathbb{N}$. By the division algorithm $k = mq + r$ with $0 \leq r < m$. Thus

$$g^r = g^{k-mq} = g^k (g^m)^{-q} \in H$$

so $r = 0$, $m|k$ and $H \subseteq \langle g^m \rangle$. □

Proposition 2.13: Let $G = \langle g \rangle$ be cyclic with $o(g) = n \in \mathbb{N}$. Then $G = \langle g^k \rangle$ if and only if $\gcd(k, n) = 1$.

Proof. This follows directly from Proposition 2.10 and Proposition 2.8.

Theorem 2.14 (Fundamental Theorem of Finite Cyclic Groups): Let $G = \langle g \rangle$ be a cyclic group of order n . Then:

1. If H is a subgroup of G then $H = \langle g^d \rangle$ for some $d|n$. Note it follows that $|H||n$.
2. Conversely, if $k|n$ then $\langle g^{n/k} \rangle$ is a subgroup of G of order k .

Proof.

1. By theorem 2.12 H is cyclic so we have $H = \langle g^m \rangle$ for some $m \in \mathbb{N}$. Let $d = \gcd(m, n)$, by a theorem from MATH135 there exist $x, y \in \mathbb{Z}$ such that $d = mx + ny$.

$$g^d = (g^m)^x (g^n)^y = (g^m)^x 1^y = (g^m)^x \in \langle g^m \rangle$$

2. By theorem 2.10 $o(g^{n/k}) = \frac{n}{\gcd(n, k)} = \frac{n}{\frac{n}{k}} = k$. Now suppose K is any subgroup of G of order k . By (1) $K = \langle g^d \rangle$ for some $d|n$. By theorem 2.8 we have $|K| = o(g^d)$. By theorem 2.10 we have $o(g^d) = \frac{n}{\gcd(n, d)} = \frac{n}{d}$. Since $k = |K|$ we conclude $k = \frac{n}{d}$. It follows that $d = \frac{n}{k}$ and $K = \langle g^{n/k} \rangle$. □

2.5 Non-Cyclic Groups

Definition 2.9 (Group Generators): Given a group G and a nonempty subset $X \subseteq G$, the subgroup generated by X is the set

$$\langle X \rangle = \{x_1^{k_1} x_2^{k_2} \cdots x_m^{k_m} : k_i \in \mathbb{Z}\}.$$

Note: $\langle X \rangle$ is clearly a group by the subgroup test.

Definition 2.10 (Dihedral Groups): For $n \geq 2$ the dihedral group of order $2n$ is defined by the set

$$D_{2n} = \{1, a, \dots, a^{n-1}, b, ba, \dots, ba^{n-1}\}$$

where $a^n = b^2 = 1$ and $aba = b$. Thus

$$D_{2n} = \langle a, b : a^n = b^2 = 1, aba = b \rangle.$$

Remark: In the cases $n = 2$ and $n = 3$ we have $D_4 \cong K_4$ and $D_6 \cong S_3$.

3 Normal Subgroups

3.1 Homomorphisms and Isomorphisms

Definition 3.1 (Group Homomorphisms): Let G and H be groups. A mapping $\alpha : G \rightarrow H$ is a group homomorphism if

$$\alpha(a *_G b) = \alpha(a) *_H \alpha(b)$$

for all $a, b \in G$.

Example 3.1: Given a field \mathbb{F} , the map $\det : \text{GL}_n(\mathbb{F}) \rightarrow \mathbb{F}^*$ is a group homomorphism where $\mathbb{F}^* = \mathbb{F} \setminus \{0\}$.

Proposition 3.1 (Properties of Group Homomorphisms): Let $\alpha : G \rightarrow H$ be a group homomorphism. Then:

1. $\alpha(1_G) = 1_H$
2. For all $g \in G$, $\alpha(g^{-1}) = \alpha(g)^{-1}$
3. For all $g \in G$ and $k \in \mathbb{Z}$, $\alpha(g^k) = \alpha(g)^k$

Proof. The proof is left as an exercise. □

Definition 3.2 (Group Isomorphisms): Let G and H be groups. Consider $\alpha : G \rightarrow H$. If α is a bijective homomorphism then it is a group isomorphism.

Proposition 3.2: For all groups G, H ,

$$G \cong H \iff \text{there exists a group isomorphism } \alpha : G \rightarrow H.$$

Remark: Recall that we defined $G \cong H$ in-terms of Cayley tables in Definition 1.14

Proof. The proof is left as an exercise. □

Proposition 3.3 (Properties of Group Isomorphisms): Let G, H and K be groups.

1. The identity map $G \rightarrow G$ is a group isomorphism.
2. If $\sigma : G \rightarrow H$ is a group isomorphism then so is $\sigma^{-1} : H \rightarrow G$.
3. If $\sigma : G \rightarrow H$ and $\tau : H \rightarrow K$ are both group isomorphisms then $\sigma\tau = \sigma \circ \tau : G \rightarrow K$ is also a group isomorphism.

Proof. The proof is left as an exercise. □

Example 3.2: $(\mathbb{R}, +) \cong (\mathbb{R}_{++}, \times)$ where $\mathbb{R}_{++} = \{r \in \mathbb{R} : r > 0\}$ since the function $\sigma(x) = e^x$ is a group isomorphism from $\mathbb{R} \rightarrow \mathbb{R}_{++}$.

Example 3.3: $(\mathbb{Q}, +) \not\cong (\mathbb{Q}^*, \times)$ since if there existed a group isomorphism $\tau : \mathbb{Q} \rightarrow \mathbb{Q}^*$ then there would exist a $q \in \mathbb{Q}$ such that $\tau(q) = 2$. Letting $\tau(\frac{q}{2}) = a$ this gives:

$$2 = \tau(q) = \tau\left(\frac{q}{2} + \frac{q}{2}\right) = \tau\left(\frac{q}{2}\right) \tau\left(\frac{q}{2}\right) = a^2$$

So $a \in \mathbb{Q}^*$ with $a^2 = 2$ which is a contradiction.

Corollary 3.3.1: The existence of an isomorphism between two groups forms an equivalence relation.

Proof. The proof is left as an exercise (hint, use part 3 of Proposition 3.3). \square

3.2 Cosets and Lagrange's Theorem

Definition 3.3 (Cosets): Let H be a subgroup of G and $a \in G$. The right coset of H generated by a is the set

$$Ha := \{ha : h \in H\}.$$

Similarly, the left coset of H generated by a is

$$aH := \{ah : h \in H\}.$$

Proposition 3.4 (Properties of Cosets): Let H be a subgroup of G , and let $a, b \in G$.

1. $Ha = Hb \iff ab^{-1} \in H$. In particular, $Ha = H \iff a \in H$.
2. If $a \in Hb$, then $Ha = Hb$.
3. Either $Ha = Hb$ or $Ha \cap Hb = \emptyset$. Thus the distinct right cosets of H form a partition of G .

Proof.

1. If $Ha = Hb$ then $a = 1 \cdot a \in Ha = Hb$ and so $a = hb$ for some $h \in H$ so $ab^{-1} = h$. Conversely, if $ab^{-1} = h$ then for all $h \in H$ we may write $ha = hab^{-1}b = h^2b \in Hb$ so $Ha \subseteq Hb$. On the other hand, if $ab^{-1} = h$ then $ba^{-1} = h^{-1} \in H$. So for all $h \in H$, $hb = hba^{-1}a = hh^{-1}a = a \in Ha$ so $Hb \subseteq Ha$ as well.
2. If $a \in Hb$, then $a = hb \implies ab^{-1} = h$ for some $h \in H$ and so by (1), $Ha = Hb$.
3. If $Ha \cap Hb = \emptyset$ then we are done, so we may assume there exists an $x \in Ha \cap Hb$. Since $x \in Ha$ and $x \in Hb$, by (2) we have $Ha = Hx = Hb$.

\square

Definition 3.4 (Indices of Subgroups): Let G be a group and H be a subgroup of G . The index of H in G denoted $[G : H]$ is the number of distinct right (or left) cosets of H in G .

Theorem 3.5 (Lagrange's Theorem): Let H be a subgroup of a finite group G . We have $|H||G|$ and

$$[G : H] = \frac{|G|}{|H|}.$$

Proof. This follows from part 3 of Proposition 3.4. \square

Corollary 3.5.1: If G is a finite group and $g \in G$ then $o(g) \mid |G|$.

Proof. Take $H = \langle g \rangle$, notice that $|H| = o(g)$. The result follows from Lagrange's theorem (Theorem 3.5). \square

Corollary 3.5.2: If G is a finite group with $|G| = n$, then for all $g \in G$, we have $g^n = 1$.

Proof. This follows from Corollary 3.5.1 and Proposition 2.8. \square

Definition 3.5 (Euler's ψ -Function): Euler's ψ -function, $\psi : \mathbb{N} \rightarrow \mathbb{N}$ is defined as

$$\psi(n) = \#\{k \in \{0, \dots, n-1\} : \gcd(k, n) = 1\}.$$

Example 3.4: For $n \geq 2$ let \mathbb{Z}_n^* be the set of (multiplicatively) invertible elements in \mathbb{Z}_n . Then

$$\psi(n) = |\mathbb{Z}_n^*|.$$

This follows from a result from MATH135.

Theorem 3.6 (Euler's Theorem): If $a \in \mathbb{Z}$ with $\gcd(a, n) = 1$ then $a^{\psi(n)} \equiv 1 \pmod{n}$. When $n = p$ is prime this gives Fermat's little theorem.

Proof. This follows from the definition of $\psi(n)$ and Corollary 3.5.1. \square

Proposition 3.7: If G is a group with $|G| = p$ for some prime p then $G \cong C_p$.

Proof. Let $g \in G$ with $g \neq 1$. Then by Corollary 3.5.1 we have $o(g) \mid p$. Since $g \neq 1$ and p is a prime this implies $o(g) = p$. By Proposition 2.8 we have

$$|\langle g \rangle| = o(g) = p.$$

It follows that $G = \langle g \rangle \cong C_p$. \square

Proposition 3.8: Let H, K be finite subgroups of a group G . If $\gcd(|H|, |K|) = 1$, then $H \cap K = \{1\}$.

Proof. By Proposition 2.3, $H \cap K$ is a subgroup of G and H . By Lagrange's Theorem (Theorem 3.5), we have $|H \cap K| \mid |H|$ and $|H \cap K| \mid |K|$. The result follows from $\gcd(|H|, |K|) = 1$. \square

3.3 Normal Subgroups

Definition 3.6: Let H be a subgroup of G . If $gH = Hg$ for all $g \in G$, we say H is normal in G , denoted $H \triangleleft G$.

Theorem 3.9 (The Normality Test): Let H be a subgroup of G . The following are all equivalent.

1. $H \triangleleft G$
2. For all $g \in G, gHg^{-1} \subseteq H$
3. For all $g \in G, gHg^{-1} = H$

Proof.

- (1 \implies 2): Suppose $H \triangleleft G$ and thus $gH = Hg$. This means for all $g \in G$ and $h \in H$, there exists an $h' \in H$ such that $gh = h'g$. Now suppose $x = ghg \in gHg^{-1}$. We have $x = h'gg^{-1} = h' \in H$ so $gHg^{-1} \subseteq H$.
- (2 \implies 3): If $g \in G$ then by (2), $gHg^{-1} \subseteq H$. Taking g^{-1} in place of g gives $g^{-1}Hg \subseteq H$. This implies $H \subseteq gHg^{-1}$. Thus $gHg^{-1} = H$.
- (3 \implies 1): If $gHg^{-1} = H$, then $gH = Hg$.

□

Proposition 3.10: If H is a subgroup of G and $[H : G] = 2$, then $H \triangleleft G$.

Proof. Let $g \in G$. If $g \in H$ then $Hg = H = gH$ and we are done, so we may assume $g \notin H$. Since $[G : H] = 2$, $G = H \cup Hg$ and $H \cap Hg = \emptyset$. Thus $Hg = G \setminus H$. Similarly, $gH = G \setminus H$. Thus $Hg = gH$ for all $g \in G$ and so $H \triangleleft G$. □

Definition 3.7 (Products of Subgroups): Let H, K be subgroups of G . We define their product HK to be the set

$$HK := \{hk : h \in H \text{ and } k \in K\}.$$

Proposition 3.11: Let H and K be subgroups of G . The following are all equivalent.

1. HK is a subgroup of G .
2. $HK = KH$
3. KH is a subgroup of G

Proof. We'll prove (1) \iff (2), then (2) \iff (3) will follow by interchanging H and K .

- (2 \implies 1): We have $1 \cdot 1 \in HK$. Also if $hk \in HK$ then $(hk)^{-1} = k^{-1}h^{-1} \in KH = HK$. Additionally, for $hk, h_1k_1 \in HK$, we have $kh_1 \in KH = HK$, say $kh_1 = h_2k_2$. It follows that $(hk)(h_1k_1) = h(kh_1)k_1 = h(h_2k_2)k_1 = (hh_2)(k_2k_1) \in HK$. So by the subgroup test, HK is a subgroup of G .
- (2 \implies 1): Let $kh \in KH$. Since H and K are subgroups of G , we have $h^{-1} \in H$ and $k^{-1} \in K$. Since HK is also a subgroup of G , we have $kh = (h^{-1}k^{-1})^{-1} \in HK$. Thus we have $KH \subseteq HK$. On the other hand, if $hk \in HK$, since HK is a subgroup of G , we have $(hk)^{-1} = k^{-1}h^{-1} \in HK$, say $k^{-1}h^{-1} = h_1k_1$. Thus $hk = k_1^{-1}h_1^{-1} \in KH$ so $HK \subseteq KH$. It follows that $HK = KH$.

□

Proposition 3.12: Let H and K be subgroups of a group G .

1. If $H \triangleleft G$ or $K \triangleleft G$, then $HK = KH$ is a subgroup of G .
2. If $H \triangleleft G$ and $K \triangleleft H$ then $HK \triangleleft G$

Proof.

1. Suppose $H \triangleleft G$. Then $HK = \bigcup_{k \in K} Hk = \bigcup_{k \in K} kH = KH$. By lemma 3.11, $HK = KH$ is a subgroup of G .
2. If $g \in G$ and $hk \in HK$, since $H \triangleleft G$ and $K \triangleleft H$ we have

$$\begin{aligned} g^{-1}(hk)g &= g^{-1}(hgg^{-1}k)g \\ &= (g^{-1}hg)(g^{-1}kg) \in HK \end{aligned}$$

Thus $HK \triangleleft G$. □

Definition 3.8 (Normalizers): Let H be a subgroup of G . The normalizer of H , denoted $N_G(H)$ is defined to be

$$N_G(H) := \{g \in G : gH = Hg\}.$$

Note: We see that $H \triangleleft G \iff N_G(H) = G$. We also note that in the proof of 3.12 we did not need the full assumption $H \triangleleft G$. We only needed $k \in N_G(H)$ for all $k \in K$.

Corollary 3.12.1: Let H and K be subgroups of G . If $K \subseteq N_G(H)$, then $HK = KH$ is a subgroup of G .

Proof. See the note above. □

Proposition 3.13: If $H \triangleleft G$ and $K \triangleleft G$ satisfy $H \cap K = \{1\}$, then $HK \cong H \times K$.

Proof. Define $\sigma : H \times K \rightarrow HK$ by $\sigma(h, k) = hk$.

Claim 3.13.1: If $H \triangleleft G$ and $K \triangleleft G$ such that $H \cap K = \{1\}$, then $hk = kh$ for all $h \in H$ and $k \in K$.

Proof. Consider $x = hk(kh)^{-1} = hkh^{-1}k^{-1}$. Note that $khk^{-1} \in kHk^{-1} \in H$. Thus $x = h(kh^{-1}k^{-1}) \in H$. Similarly, one can show that $x \in K$. Since $x \in H \cap K = \{1\}$, we have $hkh^{-1}k^{-1} = 1$, i.e. $hk = kh$. □

Claim 3.13.2: σ is an isomorphism.

Proof. Let $(h, k), (h_1, k_1) \in H \times K$. By claim 1 we have $h_1k = kh_1$. Thus,

$$\sigma((h, k)(h_1, k_1)) = \sigma((hh_1, kk_1)) = hh_1kk_1 = hkh_1k_1 = \sigma((h, k))\sigma((h_1, k_1))$$

and σ is a homomorphism. Note that by the definition of HK , σ is onto. Also, if $\sigma((h, k)) = \sigma((h_1, k_1))$ then we have $hk = h_1k_1$. Thus $h_1^{-1}h = k_1k^{-1} \in H \cap K = \{1\}$ implying $h_1^{-1}h = 1 = k_1^{-1}k$ (i.e. $h_1 = h$ and $k_1 = k$). Thus σ is 1-1, and hence it is an isomorphism. □

By Claim 3.13.2, $HK \cong H \times K$. □

Corollary 3.13.3: Let G be a finite group and let H, K be normal subgroups of G such that $H \cap K = \{1\}$ and $|H||K| = |G|$. Then $G \cong H \times K$.

Proof. This follows from Proposition 3.13 and the fact that $|H \times K| = |H||K| = |G|$. \square

4 Isomorphism Theorems

4.1 Quotient Groups

Definition 4.1 (Coset Multiplication): Let G be a group with a subgroup K . We define multiplication on the cosets of K as

$$Ka \cdot Kb := Kab$$

for all $a, b \in G$. Note that we could have $Ka = Ka_1$ and $Kb = Kb_1$ for some $a_1 \neq a$ and $b_1 \neq b$. Thus in order for this operation to make sense, a necessary condition is

$$Ka = Ka_1 \text{ and } Kb = Kb_1 \iff aa_1^{-1} \in K \text{ and } bb_1^{-1} \in K \implies Kab = Ka_1b_1.$$

In this case we say that the multiplication is well-defined.

Lemma 4.1: Let K be a subgroup of G . The following are equivalent:

1. $K \triangleleft G$
2. For all $a, b \in G$, the multiplication $Ka \cdot Kb = Kab$ is well-defined.

Proof.

\implies Let $Ka = Ka_1$ and $Kb = Kb_1$. Thus $aa_1^{-1} \in K$ and $bb_1^{-1} \in K$. To get $Kab = Ka_1b_1$ it suffices to show $ab(a_1b_1)^{-1} \in K$. Note that since $K \triangleleft G$, we have $aKa^{-1} \subseteq K$. Thus,

$$ab(a_1b_1)^{-1} = abb_1^{-1}a_1^{-1} = abb_1^{-1}a^{-1}aa_1^{-1} = (a(bb_1^{-1})a^{-1})(aa_1^{-1}) \in K$$

since by assumption $K \triangleleft G$. It follows that $Kab = Ka_1b_1$.

\impliedby It suffices to show that $aka^{-1} \in K$ for all $k \in K$ and $a \in G$. Since $Kk = K1$,

$$Kak = Ka \cdot K1 = Ka$$

by assumption. It follows that $aka^{-1} \in K$. Thus $K \triangleleft G$. \square

Proposition 4.2 (Properties of Quotient Groups): Let $K \triangleleft G$ and write $G/K = \{Ka : a \in G\}$.

1. G/K is a group under the operation $Ka \cdot Kb = Kab$.
2. The mapping $\phi : G \rightarrow G/K$ given by $\phi(a) = Ka$ is an onto homomorphism.
3. If $[G : K]$ is finite, then $|G/K| = [G : K]$. In-particular, if $|G|$ is finite, then $|G/K| = \frac{|G|}{|K|}$.

Proof.

1. By Lemma 4.1, the group operation is well defined and G/K is closed under it. The identity element is $K = K1$ since $Ka \cdot K1 = Ka = K1 \cdot Ka$ for all $Ka \in G/K$. Also, since $Ka \cdot Ka^{-1} = K1 = Ka^{-1} \cdot Ka$, the inverse of Ka is Ka^{-1} . Finally, by the associativity of G , we have $Ka(Kb \cdot Kc) = (Ka \cdot Kb)Kc$. It follows that G/K is a group.

- ϕ is clearly onto. Also, for $a, b \in G$ we have $\phi(a)\phi(b) = Ka \cdot Kb = Kab = \phi(ab)$. Thus ϕ is a homomorphism.
- If $[G : K]$ is finite, then by the definition of the index $[G : K]$, we have $|G/K| = [G : K]$. Also, if $|G|$ is finite, by Lagrange's theorem (Theorem 3.5)

$$|G/K| = [G : K] = \frac{|G|}{|K|}.$$

□

Definition 4.2 (Quotient Groups): Let $K \triangleleft G$. The group G/K of all cosets of K in G is called the quotient group of G by K . Also, the mapping $\phi : G \rightarrow G/K$ given by $\phi(a) = Ka$ is called the coset map of K in G .

4.2 Isomorphism Theorems

Definition 4.3 (Kernels and Images): Let $\alpha : G \rightarrow H$ be a group homomorphism. The kernal of α is

$$\ker(\alpha) := \{g \in G : \alpha(g) = 1_H\} \subseteq G$$

and the image of α is

$$\text{Im}(\alpha) = \alpha(G) = \{\alpha(g) : g \in G\} \subseteq H.$$

Proposition 4.3: Let $\alpha : G \rightarrow H$ be a group homomorphism.

- $\text{Im}(\alpha)$ is a subgroup of H .
- $\ker(\alpha)$ is a normal subgroup of G .

Proof.

- Note that $1_H = \alpha(1_G) \in \alpha(G)$ and for $h_1 = \alpha(g_1), h_2 = \alpha(g_2)$ in $\alpha(G)$, we have

$$h_1 h_2 = \alpha(g_1) \alpha(g_2) = \alpha(g_1 g_2) \in \alpha(G).$$

Also, by theorem 3.1, $\alpha(g)^{-1} = \alpha(g^{-1}) \in \alpha(G)$. By the subgroup test (Theorem 2.1) $\alpha(G)$ is a subgroup of H .

- For $\ker(\alpha)$, note that we have $\alpha(1_G) = 1_H$. Also, if $k_1, k_2 \in \ker(\alpha)$, then

$$\alpha(k_1 k_2) = \alpha(k_1) \alpha(k_2) = 1 \cdot 1 = 1$$

and $\alpha(k_1^{-1}) = \alpha(k_1)^{-1} = 1^{-1} = 1$. By the subgroup test (Theorem 2.1), $\ker(\alpha)$ is a subgroup of G .

Now note that if $g \in G$ and $k \in \ker(\alpha)$, then

$$\alpha(gkg^{-1}) = \alpha(g)\alpha(k)\alpha(g^{-1}) = \alpha(g)\alpha(g)^{-1} = 1$$

Thus $g(\ker(\alpha))g^{-1} \subseteq \ker(\alpha)$ and by the normality test (Theorem 3.9) we have $\ker(\alpha) \triangleleft G$.

□

Theorem 4.4 (First Isomorphism Theorem): Let $\alpha : G \rightarrow H$ be a group homomorphism. We have

$$G/\ker(\alpha) \cong \text{Im}(\alpha).$$

Proof. Let $K = \ker(\alpha)$. Since $K \triangleleft G$, G/K is a group. Define the group map $\bar{\alpha} : G/K \rightarrow \text{Im}(\alpha)$ by:

$$\bar{\alpha}(kg) = \alpha(g)$$

for all $kg \in G/K$.

Note that:

$$kg = kg_1 \iff gg_1^{-1} \in K \iff \alpha(gg_1^{-1}) = 1 \iff \alpha(g) = \alpha(g_1)$$

Thus $\bar{\alpha}$ is well-defined and 1 to 1. Also, $\bar{\alpha}$ is clearly onto. It follows that $\bar{\alpha}$ is a group homomorphism. Thus $\text{Im}(\alpha) \cong G/\ker(\alpha)$. \square

Proposition 4.5: Let $\alpha : G \rightarrow H$ be a group homomorphism and $K = \ker(\alpha)$. Then α factors uniquely as $\alpha = \bar{\alpha} \circ \phi$, where $\phi : G \rightarrow G/K$ is the coset map and $\bar{\alpha} : G/K \rightarrow H$ is defined by $\bar{\alpha}(kg) = \alpha(g)$. Note that ϕ is onto and 1 to 1.

Note: By uniquely we mean that $\bar{\alpha}$ is the only homomorphism $G/K \rightarrow H$ satisfying $\bar{\alpha} \circ \phi = \alpha$.

Proof. The proof is left as an exercise. \square

Proposition 4.6: If G is a cyclic group then either $G \cong \mathbb{Z}_n$ (if G is finite) or $G \cong \mathbb{Z}$ (if G is infinite).

Proof. Let G be a cyclic group and consider the map $\alpha : (\mathbb{Z}, +) \rightarrow G$ defined by $\alpha(k) = g^k$ for some $g \in G$ with $g \neq 1$. Note that α is a group homomorphism and since G is cyclic α is onto. Also note that $\ker(\alpha) = \{k \in \mathbb{Z} : g^k = 1\}$.

If $o(g) = \infty$ then by Proposition 2.9 $\ker(\alpha) = \{0\}$ and so by the first isomorphism theorem (Theorem 4.4) $G \cong \mathbb{Z}/\{0\} \cong \mathbb{Z}$.

On the other hand, if $o(g) = n$ then by Proposition 2.8 $\ker(\alpha) = n\mathbb{Z}$ and so by the first isomorphism theorem (Theorem 4.4) $G \cong \mathbb{Z}/n\mathbb{Z} \cong \mathbb{Z}_n$. \square

Theorem 4.7 (Second Isomorphism Theorem): Let H and K be subgroups of a group G with $K \triangleleft G$. Then HK is a subgroup of G , $K \triangleleft HK$, $H \cap K \triangleleft H$ and

$$\frac{HK}{K} \cong \frac{H}{H \cap K}.$$

Proof. Since $K \triangleleft G$, HK is a subgroup, $HK = KH$ and $K \triangleleft HK$. Consider the map $\alpha : H \rightarrow \frac{HK}{K}$ given by

$$\alpha(h) = Kh.$$

Then α is a homomorphism (exercise).

Now let $x \in HK = KH$. We have $x = kh$ and so $Kx = Kkh = Kh = \alpha(h)$ so α is onto. By a previous theorem,

$$\ker(\alpha) = \{h \in H : Kh = K\} = \{k \in K : Hk = H\} = H \cap K$$

so by the first isomorphism theorem we have:

$$\frac{H}{H \cap K} \cong \frac{HK}{K}$$

\square

Theorem 4.8 (Third Isomorphism Theorem): Let $K \subseteq H \subseteq G$ be groups with $K \triangleleft G$ and $H \triangleleft G$. Then $H/K \subseteq G/K$ and

$$\frac{G/K}{H/K} \cong \frac{G}{H}.$$

Proof. Define $\alpha : G/K \rightarrow G/H$ by $\alpha(Kg) = Hg$. To see that this map is well-defined, note that if $Kg = Kg_1$ then $gg_1^{-1} \in K \subseteq H$ and thus $Hg = Hg_1$ as well. Clearly α is onto as well.

Next, note that

$$\ker(\alpha) = \{Kg : Hg = H\} = \{Kg : g \in H\} = H \cap K.$$

By the first isomorphism theorem, this means that

$$\frac{G/K}{H/K} \cong \frac{G}{H}.$$

□

5 Group Actions

5.1 Cayley's Theorem

Theorem 5.1 (Cayley's Theorem): If G is a finite group with $|G| = n$ then G is isomorphic to a subgroup of S_n .

Proof. Let $G = \{g_1, \dots, g_n\}$ and let S_G be the permutation group of G . By identifying g_i with $1 \leq i \leq n$ we see that $S_G \cong S_n$. Thus, to prove the theorem it suffices to find a 1-1 homomorphism $\sigma : G \rightarrow S_G$ (so the kernel will be $\{1\}$ and we can apply the first isomorphism theorem).

For $a \in G$ define $\mu_a : G \rightarrow G$ by $\mu_a(g) = ag$. Clearly μ is a 1-1 homomorphism and so by the first isomorphism theorem we have $G \cong G/\{1\} \cong \text{Im } \sigma$, as subgroup of S_n . □

Theorem 5.2 (Extended Cayley's Theorem): Let H be a subgroup of a group G with $[G : H] = m < \infty$. If G has no normal subgroups contained in H except $\{1\}$, then G is isomorphic to a subgroup of S_m .

Proof. Let $X = \{g_1H, \dots, g_mH\}$ be the set of left cosets of G and $\lambda_a : X \rightarrow X$ be defined by

$$\lambda_a(gH) = agH.$$

Now let $\tau : G \rightarrow S_X \cong S_m$ be defined by

$$\tau(a) = \lambda_a$$

and defined $K = \ker \tau \subseteq H$. By the first isomorphism theorem we have $G/K \cong \text{Im } \tau$. Since $K \subseteq H$ and $K \triangleleft G$, by the assumption, $K = \{1\}$. It follows that $G \cong \text{Im } \tau$, a subgroup of $S_X \cong S_m$. □

Corollary 5.2.1: Let G be a finite group and p be the smallest prime divisor of $|G|$. If H is a subgroup of G with $[G : H] = p$, then $H \triangleleft G$.

Remark: This is a generalization of Proposition 3.10 where we had $p = 2$.

Proof. Let X be the set of all left cosets of $H \subseteq G$ and consider the homomorphism $\tau : G \rightarrow S_X$ from the previous proof. Note that if $a \in \ker(\tau)$ then $\lambda_a \epsilon$. Thus

$$H = \lambda_a(H) = aH$$

and hence $a \in H$. Thus $\ker(\tau) \subseteq H$ and so by the first isomorphism theorem (Theorem 4.4) $G/\ker(\tau)$ is isomorphic to some subgroup of S_p . Now let

$$k = [H : \ker(\tau)].$$

By Lagrange's theorem (Theorem 3.5) this gives

$$\left| \frac{G}{K} \right| = \frac{|G|}{|H|} \cdot \frac{|H|}{|K|} = pk$$

and so $pk|p| \implies k|(p-1)!$.

Since $k||H|$, $|H||G|$ and p is the smallest prime divisor of $|G|$, so the only prime divisor k can have is p . However, p cannot be a divisor of k since $k|(p-1)!$. We conclude k has no prime divisors and hence $k = 1$. Therefore $\ker(\tau) = H$ and so $H \triangleleft G$. \square

5.2 Group Actions

Definition 5.1 ((Left) Group Actions): Let G be a group and X be a non-empty subset of G . A (left) group action of G on X is a mapping $G \times X \rightarrow X$, denoted $(a, x) \rightarrow a \cdot x$ such that

1. $1 \cdot x = x$ for all $x \in X$.
2. $a \cdot (b \cdot x) = (ab) \cdot x$ for all $a, b \in G$ and $x \in X$.

In this case we say G acts on X .

Note: Let G be a group acting on an set $X \neq \emptyset$. For $a, b \in G$ and $x, y \in X$, by (1) and (2), we have

$$a \cdot x = b \cdot y \iff (b^{-1}a) \cdot x = y.$$

In particular, we have $a \cdot x = a \cdot y \iff x = y$.

Example 5.1: If G is a group, let G act on itself (i.e. $X = G$) by

$$a \cdot x = axa^{-1}$$

for all $a, x \in G$. Note that this satisfies the definition of a group action. In this case we say G acts on itself by conjugation.

Remark: For all $a \in G$, define $\sigma_a : X \rightarrow X$ by $\sigma_a(x) = a \cdot x$ for all $x \in X$. Then one can show (see assignment 5):

1. $\sigma_a \in S_X$
2. The function $\theta : G \rightarrow S_X$ given by $\theta(a) = \sigma(a)$ is a group homomorphism with

$$\ker \theta = \{a \in G : a \cdot x = x \text{ for all } x \in X\}$$

Note that the group homomorphism θ gives an equivalent definition of a group action of G on X . If $X = G$ with $|G| = n$ and $\ker \theta = 1$, the map $\theta : G \rightarrow S_G \cong S_n$ show that G is isomorphic to a subgroup of S_n which is Cayley's theorem.

Definition 5.2: Let G be a group acting on a set X and $x \in X$. We denote by

$$G \cdot x := \{g \cdot x : g \in G\} \subseteq X$$

the orbit of x and

$$S(x) := \{g \in G : g \cdot x = x\} \subseteq G$$

the stabilizer of x .

Proposition 5.3: Let G be a group on a set $X \neq \emptyset$ and $x \in X$. Let $G \cdot x$ and $S(x)$ be the orbit and stabilizer of x . Then:

1. $S(x)$ is a subgroup of G .
2. There exists a bijection from $G \cdot x$ to $\{gS(x) : g \in G\}$ and $|G \cdot x| = [G : S(x)]$.

Proof.

1. Since $1 \cdot x = x$ we have $1 \in S(x)$. Also, if $g, h \in S(x)$ then

$$(gh) \cdot x = g \cdot (h \cdot x) = g \cdot x = x$$

and

$$g^{-1} \cdot x = g^{-1} \cdot (g \cdot x) = (g^{-1}g) \cdot x = 1 \cdot x = x$$

Thus $gh, g^{-1} \in S(x)$. By the subgroup test (Theorem 2.1, $S(x)$ is a subgroup of G .

2. Write $S(x) = S$ and consider the map

$$\phi : G \cdot x \rightarrow \{gS : g \in G\}$$

defined by

$$\phi(g \cdot x) = gS.$$

Note that

$$\begin{aligned} g \cdot x = h \cdot x &\iff (h^{-1}g) \cdot x = x \\ &\iff h^{-1}g \in S \\ &\iff gS = hS \end{aligned}$$

Thus ϕ is well-defined and 1-1. Since ϕ is clearly onto, ϕ is a bijection. It follows that

$$|G \cdot x| = |\{gS : g \in G\}| = [G : S].$$

□

Theorem 5.4 (Orbit Decomposition Theorem): Let G be a group acting on a finite set $X \neq \emptyset$. Let

$$X_f = \{x \in X : a \cdot x = x, \forall a \in G\}.$$

Note that $x \in X_f$ if and only if $|G \cdot x| = 1$. Let $G \cdot x_1, \dots, G \cdot x_n$ denote the distinct non-singleton orbits (i.e. $|G \cdot x_i| > 1$). Then

$$|X| = |X_f| + \sum_{i=1}^n [G : S(x_i)].$$

Proof. Note that for $a, b \in G$ and $x, y \in X$:

$$\begin{aligned} a \cdot x = b \cdot y &\iff (b^{-1}a) \cdot x = y \\ &\iff y \in G \cdot x \\ &\iff G \cdot x = G \cdot y \end{aligned}$$

Thus two orbits are either disjoint or the same. It follows that the orbits from a disjoint cover of X . Since $x \in X_f$ if and only if $|G \cdot x| = 1$, the set $G \setminus X_f$ contains all non-singleton orbits, which are disjoint. Thus by prop 5.4, we have:

$$|X| = |X_f| + \sum_{i=1}^n |G \cdot x_i| = |X_f| + \sum_{i=1}^n [G : S(x_i)]$$

□

Definition 5.3 (Centralizers): Let G be a group. For $x \in G$ the set

$$C_G(x) = \{g \in G : gx = xg\}$$

is called the centralizer of x in G . Note that $Z(G) \subseteq C_G(x)$ for all $x \in G$.

Remark: If G is a group acting on itself by conjugation, then $G_f = Z(G)$ and $S(x) = C_G(x)$ for all $x \in G$. In this case, the orbit

$$G \cdot x = \{gxg^{-1} : g \in G\}$$

is called the conjugacy class of x .

Corollary 5.4.1 (The Class Equation): Let G be a finite group and let

$$\{gx_1g^{-1} : g \in G\}, \dots, \{gx_ng^{-1} : g \in G\}$$

denote the distinct non-singular conjugacy classes. Then:

$$|G| = |Z(G)| + \sum_{i=1}^n [G : C_G(x_i)]$$

Proof. This follows directly from the orbit decomposition theorem. □

Lemma 5.5: Let p be a prime and $m \in \mathbb{N}$. Let G be a group of order p^m acting on a finite set $X \neq \emptyset$. Let X_f be defined as in the orbit decomposition theorem (Theorem 5.4). Then $|X| \equiv |X_f| \pmod{p}$.

Proof. By the orbit decomposition theorem (Theorem 5.4) we have

$$|X| = |X_f| + \sum_{i=1}^n [G : S(x_i)]$$

with $[G : S(x_i)] > 1$ for all i . Since $[G : S(x_i)]$ divides $|G| = p^m$ and $[G : S(x_i)] > 1$, we have $p \mid [G : S(x_i)]$ for all i . It follows that $|X| \equiv |X_f| \pmod{p}$. □

Theorem 5.6 (Cauchy's Theorem): Let p be a prime and G a finite group. If $p \mid |G|$ then G contains an element of order p .

Proof. Define

$$X := \{(a_1, \dots, a_p) : a_i \in G, a_1 \cdots a_p = 1\}.$$

Notice that for any $(a_1, \dots, a_{p-1}) \in G^{p-1}$ we have

$$(a_1, \dots, a_p) \in X \iff a_p = (a_1 \cdots a_{p-1})^{-1} = a_{p-1}^{-1} \cdots a_1^{-1}.$$

This means that if $|G| = n$ then $|X| = n^{p-1}$. Since $p \mid n$ this means that $|X| \equiv 0 \pmod{p}$. Let the group $\mathbb{Z}_p = (\mathbb{Z}_p, +)$ act on X by “cycling” i.e. for $k \in \mathbb{Z}_p$,

$$k \cdots (a_1, \dots, a_p) = (a_{k+1}, \dots, a_p, a_1, \dots, a_k).$$

One can verify this action is well-defined (exercise).

Now let X_f be defined as in the orbit decomposition theorem (Theorem 5.4). Then

$$(a_1, \dots, a_p) \in X_f \iff a_1 = \cdots = a_p \text{ and } a_i^p = 1.$$

Clearly $(1, \dots, 1) \in X_f$ so $|X_f| \geq 1$. Since $|\mathbb{Z}_p| = p$, by the previous lemma we have $|X_f| \equiv |X| \pmod{p}$. Since $|X| \equiv 0 \pmod{p}$ and $|X_f| \geq 1$ it follows that $|X_f| \geq p$. It follows that there exists an element $a \neq 1$ such that $a^p = 1$. \square

6 Sylow Theorems

6.1 p -Groups

Definition 6.1 (p -Groups): Let p be a prime. A group in which every element has an order of a non-negative power of p is called a p -group.

Note: By Cauchy's theorem we have that a finite group G is a p -group if and only if $|G|$ is a power of p .

Lemma 6.1: The center $Z(G)$ of a non-trivial finite p -group G contains more than one element.

Proof. Recall the class equation of G .

$$|G| = |Z(G)| + \sum_i [G : C_G(x_i)]$$

where $[G : C_G(x_i)] > 1$. Since G is a p -group, by the previous remark we have $|G|$ is a power of p . By Lemma 5.5 we have $|G| \equiv |Z(G)| \pmod{p}$. It follows that $p \mid |Z(G)|$. Since $1 \in Z(G)$, $|Z(G)| \geq 1$ and so $|Z(G)| \geq p$. \square

Lemma 6.2: If H is a p -subgroup of a finite group G , then $[N_G(H) : H] \equiv [G : H] \pmod{p}$.

Proof. Let X be the set of all left cosets of H in G , hence $|X| = [G : H]$. Let H act on X by left multiplication. For $x \in G$ we have:

$$\begin{aligned} xH \in X_f &\iff hxH = xH, \forall h \in H \\ &\iff x^{-1}hxH = H, \forall h \in H \\ &\iff x^{-1}hx \in H, \forall h \in H \\ &\iff x \in N_G(H) \end{aligned}$$

Thus $|X_f|$ is the number of cosets xH with $x \in N_G(H)$, and hence $|X_f| = [N_G(H) : H]$. By Lemma 5.5,

$$[N_G(H) : H] = |X_f| \equiv |X| = [G : H] \pmod{p}.$$

□

Corollary 6.2.1: Let H be a p -subgroup of a group G . If $p \mid [G : H]$ then $p \mid [N_G(H) : H]$ and $N_G(H) \neq H$.

Proof. Since $p \mid [G : H]$ by Lemma 6.2 we have $[N_G(H) : H] \equiv 0 \pmod{p}$ and so $p \mid [N_G(H) : H]$. Since $|N_G(H)| \geq 1$ we have $[N_G(H) : H] \geq p$ and so $N_G(H) \neq H$. □

6.2 Sylow's Three Theorems

Theorem 6.3 (First Sylow Theorem): Let G be a group with $|G| = p^n m$ where p is a prime and $\gcd(p, m) = 1$. Then G contains a subgroup of order p^i for all $1 \leq i \leq n$. Moreover, for $i < n$ all subgroups of order p^i are normal in some other subgroup of order p^{i+1} .

Proof. We proceed by induction on i .

- **Base Case:** $i = 1$.

When $i = 1$, since $p \mid |G|$ by Cauchy's theorem (Theorem 5.6) there exists $a \in G$ with $o(a) = p$ and hence $\langle a \rangle$ is a subgroup of order p .

- **Inductive Case:** Suppose the for some $1 \leq i < n$, G has a subgroup H of order p^i .

Since G is finite, by Lagrange's theorem (Theorem 3.5) we have $[G : H] = \frac{|G|}{|H|} = p^{n-i}m$ so $p \mid [G : H]$. By the previous corollary this means $p \mid [N_G(H) : H]$ and $[N_G(H) : H] \geq p$. Thus by Cauchy's theorem (Theorem 5.6) $N_G(H)/H$ contains a subgroup of order p . Such a group is of the form H_1/H where H_1 is a subgroup of $N_G(H)$.

Since $H \triangleleft N_G(H)$ we have $H \triangleleft H_1$. Finally, since $|H_1|$ is finite we have

$$p = \left| \frac{H_1}{H} \right| = \frac{|H_1|}{|H|} = \frac{|H_1|}{p^i} \implies |H_1| = p^{i+1}.$$

□

Definition 6.2 (Sylow p -Subgroups): A subgroup P of a group G is a Sylow p -subgroup of G if P is a maximal p -subgroup of G (i.e. if $P \subseteq H \subseteq G$ where H is another p -subgroup of G then $P = H$).

Proposition 6.4 (Properties of Sylow p -Subgroups): Let G be a group of order $p^n m$ for some prime p with $\gcd(p, m) = 1$. The following are true:

1. For all p -subgroups H of G , H is a Sylow p -subgroup if and only if $|H| = p^n$.
2. Every conjugate of a Sylow p -subgroup is a Sylow p -subgroup.
3. If G has exactly one Sylow p -subgroup P then $P \triangleleft G$.

Proof. This follows from the first Sylow theorem. □

Theorem 6.5 (Second Sylow Theorem): If H is a p -subgroup of a finite group G and P is any Sylow p -subgroup of G then there exists $g \in G$ such that $H \subseteq gPg^{-1}$. In-particular, any two Sylow p -subgroups of G are conjugate.

Proof. Let X be the set of all left cosets of P in H , and let H act on X by left multiplication. Lemma 5.5 we have $|X| \equiv |X_f| = [G : P] \pmod{p}$. Since $p \nmid [G : P]$ we have $|X_f| \neq 0$. Thus there exists some $gP \in X_f$ for some $g \in G$. Note that:

$$\begin{aligned} gP \in X_f &\iff hgP = gP, \forall h \in H \\ &\iff g^{-1}hgP = P, \forall h \in H \\ &\iff g^{-1}hg \in P, \forall h \in H \\ &\iff g^{-1}Hg \subseteq P \\ &\iff H \subseteq gPg^{-1} \end{aligned}$$

Also, since H is a Sylow p -subgroup $|H| = |P| = |gPg^{-1}|$ and so $H = gPg^{-1}$. \square

Theorem 6.6 (Third Sylow Theorem): Let G be a finite group and p be a prime with $p \nmid |G|$. Then the number of Sylow p -subgroups of G divides $|G|$ and is of the form $kp + 1$ for some $k \in \mathbb{N} \cup \{0\}$.

Proof. By the second Sylow theorem (Theorem 6.5), the number of Sylow p -subgroups of G is the number of conjugates of any particular Sylow p -subgroup P . This number is $[G : N_G(P)]$ which divides $|G|$.

Now X be the set of all Sylow p -subgroups of G and let P act on X by conjugation. Then $Q \in X_f \iff gQg^{-1} = Q$ for all $g \in P$. The latter condition holds if and only if $P \subseteq N_G(Q)$. Both P and Q are Sylow p -subgroups of G and hence of $N_G(Q)$. Thus they are conjugate in $N_G(Q)$. Since $Q \triangleleft N_G(Q)$, this can only occur if $Q = P$. Thus $Q = P$ and $X_f = \{P\}$. Since $|X| \equiv |X_f| \equiv 1 \pmod{p}$ this gives $|X| = kp + 1$ for some $k \in \mathbb{N} \cup \{0\}$. \square

Note: Suppose that G is a group with $|G| = p^n m$ where $\gcd(p, m) = 1$. Let n_p be the number of Sylow p -subgroups of G . By the third Sylow theorem we see that $n_p | p^n m$ and $n_p \equiv 1 \pmod{p}$. So we have $n_p | m$.

7 Finite Abelian Groups

7.1 Primary Decomposition

Notation 7.1: Let G be a group and $m \in \mathbb{Z}$. We define $G^{(m)} = \{g \in G : g^m = 1\}$.

Proposition 7.1: Let G be an abelian group. Then $G^{(m)}$ is a subgroup of G .

Proof. This follows easily from the subgroup test (Theorem 2.1). \square

Proposition 7.2: Let G be a finite abelian group with $|G| = mk$ where $\gcd(m, k) = 1$. Then:

1. $G \cong G^{(m)} \times G^{(k)}$
2. $|G^{(m)}| = m$ and $|G^{(k)}| = k$.

Proof.

1. Since G is abelian we have $G^{(m)} \triangleleft G$ and $G^{(k)} \triangleleft G$. Also, since $\gcd(m, k) = 1$, there exist $x, y \in \mathbb{Z}$ such that $mx + ky = 1$.

Claim 7.2.1: $G^{(m)} \cup G^{(k)} = \{1\}$.

Proof. Suppose that $g \in G^{(m)} \cup G^{(k)}$. Then since $mx + ky = 1$:

$$\begin{aligned} g &= g^{mx+ky} \\ &= (g^m)^x (g^k)^y \\ &= 1^x 1^y \\ &= 1 \end{aligned}$$

□

Claim 7.2.2: $G = G^{(m)} G^{(k)}$.

Proof. If $g \in G$ then

$$1 = (g^m)^k = g^{mk} = (g^k)^m.$$

It follows that $g^k \in G^{(m)}$ and $g^m \in G^{(k)}$. Thus

$$g = g^{mx+ky} = (g^k)^y (g^m)^x \in G^{(m)} G^{(k)}.$$

□

Combining Claims 7.2.1 & 7.2.2 gives $G \cong G^{(m)} \times G^{(k)}$.

2. Let $|G^{(m)}| = m'$ and $|G^{(k)}| = k'$. By (1) we have $mk = |G| = m'k'$.

Claim 7.2.3: $\gcd(m, k') = 1$.

Proof. Suppose $\gcd(m, k') \neq 1$. Then there exists a prime p such that $p|m$ and $p|k'$ and so by Cauchy's theorem (Theorem 5.6) there exists a $g \in G^{(k')}$ such that $o(g) = p$. Since $p|m$ we have $g^m = 1$ and so $g \in G^{(m)}$ as well. Thus by Claim 7.2.1 $g = 1$, which contradicts $o(g) = p$. □

Note that since $m|m'k'$ and $\gcd(m, k') = 1$ we have $m|m'$. A similar argument gives $k|k'$. Since $mk = m'k'$ this means $m = m'$ and $k = k'$.

□

Theorem 7.3 (Primary Decomposition Theorem): Let G be a finite abelian group with $|G| = p_1^{n_1} \cdots p_k^{n_k}$ where p_1, \dots, p_k are distinct primes and $n_1, \dots, n_k \in \mathbb{N}$. Then we have:

1. $G \cong G^{(p_1^{n_1})} \times \cdots \times G^{(p_k^{n_k})}$
2. $|G^{(p_i^{n_i})}| = p_i^{n_i}, \forall 1 \leq i \leq k$

Proof. This follows directly from the previous theorem. □

7.2 Structure Theorem for Finite Abelian Groups

Proposition 7.4: If G is a finite abelian p -group that contains only one subgroup of order p , then G is cyclic.

Proof. Let $y \in G$ be of maximal order.

Claim 7.4.1: $G = \langle y \rangle$.

Proof. Suppose $G \neq \langle y \rangle$. Then the quotient group $G/\langle y \rangle$ is a non-trivial p -group, which contains an element z of order p by Cauchy's theorem. In particular, $z \neq 1$. Consider the coset map $\pi : G \rightarrow G/\langle y \rangle$. Let $x \in G$ satisfy $\pi(x) = z$. Since $\pi(x^p) = z^p = 1$ we see that $x^p \in \langle y \rangle$. Thus $x^p = y^m$ for some $m \in \mathbb{Z}$.

• **Case 1:** $p \nmid m$.

If $p \nmid m$ then since $o(y) = p^r$ for some $r \in \mathbb{N}$ we have $o(y^r) = o(y)$. Since y is of maximal order, we have

$$o(x^p) < o(x) \leq o(y) = o(y^m) = o(x^p)$$

which leads to a contradiction.

• **Case 2:** $p \mid m$.

If $p \mid m$ then $m = pk$ for some $k \in \mathbb{Z}$. Thus we have $x^p = y^m = y^{pk}$. Since G is abelian, we have $(xy^{-k})^p = 1$. Thus xy^{-k} belongs to the one and only subgroup of order p , say H . On the other hand, the cyclic group $\langle y \rangle$ contains a subgroup of order p , which must be the one and only H . Thus $xy^{-k} \in \langle y \rangle$, which implies that $x \in \langle y \rangle$. It follows that $z = \pi(x) = 1$ which is a contradiction.

By combining the above two cases we have $G = \langle y \rangle$.

□

Since $G = \langle y \rangle$, it is cyclic and the proof is complete.

□

Proposition 7.5: Let $G \neq \{1\}$ be a finite abelian p -group. Let C be a cyclic subgroup of maximal order. Then G contains a subgroup B such that $G = CB$ and $C \cap B = \{1\}$. Thus by Proposition 3.13 $G \cong C \times B$.

Proof. We proceed by induction.

Base Case: If $|G| = p$ then we take $C = G$ and $B = \{1\}$ and the result follows.

Inductive Case: Suppose that the result holds for all abelian groups of order p^{n-1} for some $n \in \mathbb{N}$, $n \geq 2$. Consider $|G| = p^n$. We have two cases:

• **Case 1:** If $C = G$ then by taking $B = \{1\}$ the result follows.

• **Case 2:** If $G \neq C$ then G is not cyclic. By theorem 7.4, there exist at least two subgroups of order p . Since C is cyclic, it contains exactly one subgroup of order p . Thus there exists a subgroup D of G with $|D| = p$ and $D \subsetneq C$. Since $|D| = p$ and $D \subsetneq C$ we have $C \cap D = \{1\}$. Consider the coset map $\pi : G \rightarrow G/D$. If we consider $\pi|_C$, the restriction of π onto C , then $\ker(\pi|_C) = C \cap D = \{1\}$. Thus by the first isomorphism theorem, $\pi(C) = C$.

Let y be a generator of the cyclic group C . Since $\pi(C) \cong C$, $\pi(C) \cong \langle \pi(y) \rangle$. By the assumption on C , $\pi(C)$ is a cyclic subgroup of G/D of maximal order. Since $|G/D| = p^{n-1}$, by the inductive hypothesis G/D contains a subgroup E such that $G/D = \pi(C)E$ and $\pi(C) \cap E = \{1\}$. Let $B = \pi^{-1}(E)$ i.e. $\pi(B) = E$.

Claim 7.5.1: $G = CB$

Proof. Note that E is a subgroup containing $\{1\}$. We have $\pi^{-1}(\{1\}) = D \subseteq B$. If $x \in G$, since $\pi(C)\pi(B) = \pi(C)E = G/D$, there exist $u \in C$ and $v \in B$ such that $\pi(x) = \pi(u)\pi(v)$. Since $\pi(xu^{-1}v^{-1}) = 1$ we have $xu^{-1}v^{-1} \in D \subseteq B$. Since G is abelian, we have $x = uxu^{-1} \in CB$. \square

Claim 7.5.2: $C \cap B = \{1\}$

Proof. Let $x \in C \cap B$. Then

$$\pi(x) \in \pi(C) \cap \pi(B) = \pi(C) \cap E = \{1\}.$$

Since $\pi(x) = 1 \in G/D$, we have $x \in D$. Since $x \in C \cap D = \{1\}$ we see $x = 1$. \square

By Claims 7.5.1 and 7.5.2, the result follows by induction. \square

Proposition 7.6: Let G be a finite abelian p -group. Then G is isomorphic to a direct product of cyclic groups.

Proof. By the previous theorem there exists a cyclic group C_1 and a subgroup B_1 of G such that $G \cong C_1 \times B_1$. Since $|B_1|$ divides $|G|$ by Lagrange's theorem, the group B_1 is also a p -group. Thus if $B_1 \neq \{1\}$, then by the previous theorem there exists groups C_2 and B_2 such that $B_1 \cong C_2 \times B_2$. Repeat until we get $B_k = \{1\}$. Thus

$$G \cong C_1 \times \cdots \times C_k.$$

\square

Theorem 7.7 (Structure Theorem for Finite Abelian Groups): If G is a finite abelian group then

$$G \cong C_{p_1^{n_1}} \times \cdots \times C_{p_k^{n_k}}$$

where each $C_{p_i^{n_i}}$ is a cyclic group of order $p_i^{n_i}$. The numbers $p_i^{n_i}$ are uniquely determined up to order, but the p_i need not be unique.

Note: If p_1, \dots, p_k are distinct primes then

$$C_{p_1^{n_1}} \times \cdots \times C_{p_k^{n_k}} \cong C_{p_1^{n_1} \cdots p_k^{n_k}}.$$

Proposition 7.8 (Invariant Factor Decomposition of Finite Abelian Groups): Let G be a finite abelian group. Then

$$G \cong C_{n_1} \times \cdots \times C_{n_r}$$

where $n_i \in \mathbb{N}$, $n_1 > 1$ and $n_1 | n_2 | \cdots | n_r$.

8 Rings

8.1 Basic Properties

Definition 8.1 (Rings): A ring is a set R equipped with two binary operations called addition and multiplication (which are denoted $+$ and \cdot) such that $(R, +)$ is an abelian group, (R, \cdot) satisfies the closure, associativity and identity properties of a group and multiplication distributes over addition.

More precisely, a set R is a ring if for all $a, b, c \in R$:

1. $a + b \in R$
2. $a + b = b + a$
3. $a + (b + c) = (a + b) + c$
4. There exists $0 \in R$ such that $a + 0 = a = 0 + a$ (0 is called the zero of R)
5. There exists $-a \in R$ such that $a + (-a) = 0 = (-a) + a$ ($-a$ is called the negative of a in R and we define $a - b := a + (-b)$, $(-a) + b := -a + b$)
6. $ab := a \cdot b \in R$
7. $a(bc) = (ab)c$
8. There exists $1 \in R$ such that $a \cdot 1 = 1 \cdot a$ (1 is called the unity of R)
9. $a(b + c) = ab + ac$ and $(a + b)c = ac + bc$

Furthermore, if R also has the property

10. $ab = ba$

then it is a commutative ring.

Note: Since $(R, +)$ forms a group, it follows that $-(-a) = a$.

Example 8.1: $\mathbb{Z}, \mathbb{Z}_n, \mathbb{Q}, \mathbb{R}$ and \mathbb{C} are all commutative rings, while $M_n(\mathbb{R})$ is a non-commutative ring (for $n \geq 2$).

Definition 8.2 (Repeated Multiplication and Addition): Given a ring R and $n \in \mathbb{Z}$, we define n -times repeated addition as:

$$na = \begin{cases} \underbrace{a + \cdots + a}_{n \text{ times}}, & n > 0 \\ 0, & n = 0 \\ \underbrace{-a - \cdots - a}_{n \text{ times}}, & n < 0 \end{cases}$$

Similarly, for $n \in \mathbb{N} \cup \{0\}$ we define n -times repeated multiplication as:

$$a^n = \begin{cases} \underbrace{a \cdots a}_{n \text{ times}}, & n \in \mathbb{N} \\ 1, & n = 0 \end{cases}$$

Furthermore, if there exists $a^{-1} \in R$ such that $a^{-1}a = 1 = aa^{-1}$ then for $n \in \mathbb{N} \cup \{0\}$ we also define

$$a^{-n} = (a^{-1})^n.$$

Note: Be careful of the difference between na for $n \in \mathbb{Z}$ and ba for $b \in R$. One is repeated ring addition and the other is ring multiplication. We often write $0_R, 1_R \in R$ to distinguish the identity and unity of R with those of \mathbb{Z} .

Proposition 8.1 (Algebraic Identities of Rings): Let R be a ring, $r, s \in R$ and $n, m \in \mathbb{Z}$.

1. $na + ma = (n + m)a$
2. $n(ma) = (nm)a$
3. $n(a + b) = na + nb$
4. $0_R r = 0_R = r 0_R$
5. $(-r)s = r(-s) = -(rs)$
6. $(-r)(-s) = rs$
7. $(mr)(ns) = (mn)(rs)$

Proof. 1, 2 and 3 follow from the corresponding group identities of $(R, +)$. The rest are left as an exercise. \square

Definition 8.3 (Trivial Rings): A ring R is a trivial ring if $|R| = 1$.

Remark: In a trivial ring we have $0_R = 1_R$.

Definition 8.4 (Direct Products of Rings): Let R_1, \dots, R_n be rings. Their direct product is the set $R_1 \times \cdots \times R_n$ and we define addition and multiplication on this set (using the addition and multiplication functions of R_1, \dots, R_n) as

$$(r_1, \dots, r_n) + (s_1, \dots, s_n) = (r_1 + s_1, \dots, r_n + s_n)$$

and

$$(r_1, \dots, r_n) \cdots (s_1, \dots, s_n) = (r_1 s_1, \dots, r_n s_n).$$

Note: One can easily show that the set $R_1 \times \cdots \times R_n$ forms a ring when equipped with the addition and multiplication operations defined above.

Definition 8.5 (Characteristics of Rings): Given a ring R , the characteristic of R (denoted $\text{ch}(R)$) using the order of $1 \in R$ in the additive group $(R, +)$ as

$$\text{ch}(R) = \begin{cases} n, & o(1) = n \text{ in } (R, +) \\ 0, & o(1) = \infty \text{ in } (R, +) \end{cases}$$

Example 8.2: $\mathbb{Z}, \mathbb{Q}, \mathbb{R}$ and \mathbb{C} all have characteristic 0, while \mathbb{Z}_n has characteristic n .

Definition 8.6: For $k \in \mathbb{Z}$ we write $kR = 0$ to mean $kr = 0_R$ for all $r \in R$.

Note: By Proposition 8.1 we have $kr = k(1_R r) = (k1_R)r$ so $kR = 0 \iff k1_R R = 0$.

Theorem 8.2: Let R be a ring and $k \in \mathbb{Z}$.

1. If $\text{ch}(R) = n \in \mathbb{N}$ then $kR = 0 \iff k|n$.
2. If $\text{ch}(R) = 0$ then $kR = 0 \iff k = 0$.

Proof. This follows from results in chapter 2 applied to the group $(R, +)$. □

8.2 Subrings

Definition 8.7 (Subrings): A subset S of a ring R is a subring if S is itself a ring under R 's addition and multiplication operations.

Proposition 8.3 (The Subring Test): A subset S of a ring R is a subring if it satisfies the following properties:

1. $1_R \in S$
2. If $s, t \in S$, then $s - t, st$ are all in S (note that if this is true then $s - s = 0 \in S$ and $0 - t = -t \in S$ as well)

Proof. The properties 2, 3, 7 and 9 of a ring are automatically satisfied in any subset of R . The two requirements of the subring test clearly satisfy the remaining properties. □

Example 8.3: We have a chain of subrings: $\mathbb{Z} \subseteq \mathbb{Q} \subseteq \mathbb{R} \subseteq \mathbb{C}$

Definition 8.8 (Centers of Rings): Given a ring R the center of R is

$$Z(R) = \{z \in R : zr = rz, \forall r \in R\}.$$

Note: We have $1_R \in Z(R)$ and if $s, t \in Z(R)$ then for all $r \in R$,

$$(s - t)r = sr - tr = rs - rt = r(s - r)$$

and

$$(st)r = s(tr) = s(rt) = (sr)t = (rs)t = r(st)$$

so by the subring test $Z(R)$ is a subring of R .

Example 8.4: Let $\mathbb{Z}[i] = \{a + bi : a, b \in \mathbb{Z}, i^2 = -1\}$. Then one can show (exercise) that $\mathbb{Z}[i]$ is a subring of \mathbb{C} called the Gaussian integers.

8.3 Ideals

Definition 8.9 (Ring Cosets and Quotients): Let R be a ring and A be a subgroup of R under addition. Note that since $(R, +)$ is an abelian group, $A \triangleleft R$. For $r \in R$, we define the r -coset of A as:

$$r + A = \{r + a : a \in A\}$$

Similarly to quotient groups, we also define:

$$R/A = \{r + A : r \in R\}$$

Theorem 8.4 (Ring Coset Identities): Let R be a ring and A an additive subgroup of R . For all $r, s \in R$ we have:

1. $r + A = s + A \iff r - s \in A$
2. $(r + A) + (s + A) = (r + s) + A$
3. $0 + A = A$ is the additive identity of R/A
4. $-(r + A) = -r + A$ is the additive inverse of $r + A$
5. $k(r + A) = kr + A, \forall k \in \mathbb{Z}$

Proof. This follows from known properties of cosets and quotient groups. □

Remark: Given $r, r_1, s, s_1 \in R$, if

$$r + A = r_1 + A, s + A = s_1 + A \implies rs + A = r_1s_1 + A$$

then

$$(r + A)(s + A) = rs + A$$

is a well defined multiplication operation on R/A making it into a ring. This is characterized in the following proposition.

Proposition 8.5: Let A be an additive subgroup of a ring R . For $a \in A$ define

$$Ra = \{ra : r \in R\} \text{ and } aR = \{ar : r \in R\}.$$

The following are all equivalent:

1. $Ra \subseteq A$ and $aR \subseteq A$ for every $a \in A$.
2. For $r, s \in R$, the multiplication $(r + A)(s + A) = rs + A$ is well-defined.

Proof.

\Rightarrow If $r + A = r_1 + A$ and $s + A = s_1 + A$ then we need to show $rs + A = r_1s_1 + A$. Since $(r - r_1) \in A$ and $(s - s_1) \in A$ by (1) we have:

$$\begin{aligned} rs - r_1s_1 &= rs - r_1s + r_1s - r_1s_1 \\ &= (r - r_1)s + r_1(s - s_1) \in (r - r_1)R + R(s - s_1) \subseteq A \end{aligned}$$

So $rs + A = r_1s_1 + A$.

\Leftarrow Let $r \in R$ and $a \in A$. We have:

$$ra + A = (r + A)(a + A) = (r + A)(0 + A) = r0 + A = 0 + A = A$$

Thus $ra \in A$ and we have $Ra \subseteq A$. Similarly, $aR \subseteq A$.

□

Definition 8.10 (Ideal): An additive subgroup A of a ring R is an ideal of R if $Ra \subseteq A$ and $aR \subseteq A$ for all $a \in A$. Thus a subset A of R is an ideal if $0 \in A$ and for all $a, b \in A$ and $r \in R$ we have $ra, ar \in A$ and $a - b \in A$.

Example 8.5: For all rings R , $\{0\}$ and R are both ideals.

Note: If A is an ideal of a ring R with $1_R \in A$, then $A = R$.

Example 8.6: Let R be a commutative ring and $a_1, \dots, a_n \in R$. Consider the set I generated by a_1, \dots, a_n , i.e.

$$I = \langle a_1, \dots, a_n \rangle = \{r_1a_1 + \dots + r_na_n : r_i \in R\}.$$

Then one can show that I is an ideal (exercise).

Proposition 8.6: Let A be an ideal of a ring R . Then the additive quotient group R/A is a ring with multiplication $(r + A)(s + A) = rs + A$. The unity of this group is $1 + A$.

Proof. The proof is left as an exercise.

□

Definition 8.11 (Quotient Rings): Let A be an ideal of a ring R . The ring R/A is called the quotient ring of R by A .

Definition 8.12 (Principal Ideals): Let R be a commutative ring and A be an ideal of R . If $A = aR = Ra$ for some $a \in R$ then we say A is a principal ideal generated by a and we write $A = \langle a \rangle$.

Proposition 8.7: All ideals of \mathbb{Z} are of the form $\langle n \rangle$ for some $n \in \mathbb{Z}$. Moreover, if $\langle n \rangle \neq \langle 0 \rangle$ and then n is unique in \mathbb{N} .

Proof. Let A be an ideal of \mathbb{Z} . If $A = \{0\}$ then $A = \langle 0 \rangle$. Otherwise, choose $a \in A$ with $a \neq 0$ and $|a|$ minimal. Clearly $\langle a \rangle \subseteq A$. To prove the other includes, let $b \in A$. By the division algorithm we have $b = qa + r$ for some $q, r \in \mathbb{Z}$ and $0 \leq r < |a|$. If $r \neq 0$, since A is an ideal and $a, b \in A$ we have $r = b - qa \in A$ with $|r| < |a|$, which contradicts our choice of a . Thus $r = 0$ and $b = qa \in \langle a \rangle$. \square

8.4 Ring Isomorphism Theorems

Definition 8.13 (Ring Homomorphisms): Let R and S be rings. A mapping $\theta : R \rightarrow S$ is a ring homomorphism if for all $a, b \in R$:

1. $\theta(a + b) = \theta(a) + \theta(b)$
2. $\theta(ab) = \theta(a)\theta(b)$
3. $\theta(1_R) = 1_S$

Remark: (2) does not imply (3) since $\theta(1_R)$ does not necessarily have a multiplicative inverse in S .

Proposition 8.8 (Properties of Ring Homomorphisms): Let $\theta : R \rightarrow S$ be a ring homomorphism and let $r \in R$.

1. $\theta(1_R) = 0_S$
2. $\theta(-r) = -\theta(r)$
3. $\theta(kr) = k\theta(r), \forall k \in \mathbb{Z}$
4. $\theta(r^n) = \theta(r)^n, \forall n \in \mathbb{N} \cup \{0\}$
5. If $u \in R^*$ (the set elements of R that have multiplicative inverses) then $\theta(u^k) = \theta(u)^k$ for all $k \in \mathbb{Z}$. We call such u a unit of R .

Definition 8.14 (Ring Isomorphisms): A ring homomorphism is a ring isomorphism if it is bijective. If there exists a ring isomorphism from R to S then we say R and S are isomorphic and we write $R \cong S$.

Definition 8.15 (Ring Kernals and Images): Let $\theta : R \rightarrow S$ be a ring homomorphism. The kernal of θ is

$$\ker \theta = \{r \in R : \theta(r) = 0\} \subseteq R$$

and the image is

$$\text{Im } \theta = \theta(R) = \{\theta(r) : r \in R\} \subseteq S.$$

Proposition 8.9: Let $\theta : R \rightarrow S$ be a ring homomorphism. Then:

1. $\text{Im } \theta$ is a subring of S
2. $\ker \theta$ is an ideal of R

Proof.

1. Since $\text{Im } \theta = \theta(R)$ is an additive subgroup of S , it suffices to show that $\theta(R)$ is closed under multiplication and $1_S \in \theta(R)$. Note that $1_S = \theta(1_R) \in \theta(R)$. Also, if $s_1 = \theta(r_1)$ and $s_2 = \theta(r_2)$ are in $\theta(R)$ then

$$s_1 s_2 = \theta(r_1) \theta(r_2) = \theta(r_1 r_2) \in \theta(R).$$

2. Since $\ker(\theta)$ is an additive subgroup of R it suffices to show that $ra, ar \in \ker \theta$ for all $r \in R$ and $a \in \ker(\theta)$. If $r \in R$ and $a \in \ker \theta$ then:

$$\theta(ra) = \theta(r) \theta(a) = \theta(r) \cdot 0 = 0$$

Thus $ra \in \ker \theta$. Similarly, $ar \in \ker \theta$.

□

Theorem 8.10 (First Ring Isomorphism Theorem): Let $\theta : R \rightarrow S$ be a ring homomorphism. We have

$$R / \ker \theta \cong \text{Im } \theta.$$

Proof. Let $A = \ker \theta$. Since A is an ideal of R , R/A is a ring. Define the ring map

$$\bar{\theta} : R/A \rightarrow \text{Im } \theta, \quad \bar{\theta}(r + A) = \theta(r)$$

for all $r + A \in R/A$. Note that

$$r + A = s + A \iff r - s \in A \iff \theta(r - s) = 0 \iff \theta(r) = \theta(s).$$

Thus $\bar{\theta}$ is well-defined and 1-1. Also $\bar{\theta}$ is clearly onto. Moreover, $\bar{\theta}$ is a ring homomorphism (exercise). Thus $\bar{\theta}$ is a ring isomorphism and $R / \ker \theta \cong \text{Im } \theta$. □

Remark: If A, B are subrings of a ring R , then $A \cap B$ is also a subring. Moreover, it is the largest subring contained in both A and B .

Definition 8.16 (Sums of Ring Subsets): To consider the smallest subring of R containing two subsets (not necessarily subrings) A and B , we define

$$A + B := \{a + b : a \in A, b \in B\}.$$

Proposition 8.11: Let R be a ring and let A, B be subsets of R .

1. If A and B are two subrings of R with $1_A = 1_B = 1_R$, then $A \cap B$ is a subring of R .
2. If A is a subring and B is an ideal of R then $A + B$ is a subring of R .
3. If A and B are ideals of R , then $A + B$ is an ideal of R as well.

Proof. The proof is left as an exercise. □

Theorem 8.12 (Second Ring Isomorphism Theorem): Let A be a subring and B an ideal of a ring R . Then $A + B$ is a subring of R , B is an ideal of $A + B$, $A \cap B$ is an ideal of A and

$$\frac{A + B}{B} \cong \frac{A}{A \cap B}.$$

Proof. See assignment 8. □

Theorem 8.13 (Third Ring Isomorphism Theorem): Let A and B be ideal of a ring R with $A \subseteq B$. Then B/A is an ideal of R/A and

$$\frac{R/A}{B/A} \cong R/B.$$

Proof. See assignment 8. □

Example 8.7: Combining the third isomorphism theorem and the fact that all ideals of \mathbb{Z} are principal, it follows that all ideals of \mathbb{Z}_n are principal.

Theorem 8.14 (Chinese Remainder Theorem): Let A and B be ideals of R , then:

1. If $A + B = R$ then $\frac{R}{A \cap B} \cong \frac{R}{A} \times \frac{R}{B}$.
2. If $A + B = R$ and $A \cap B = \{0\}$ then $R \cong \frac{R}{A} \times \frac{R}{B}$.

Proof. (2) is a direct consequence of (1), so it suffices to prove (1). Define $\theta : R \rightarrow \frac{R}{A} \times \frac{R}{B}$ by

$$\theta(r) = (r + A, r + B)$$

for all $r \in R$. Then θ is a ring homomorphism (exercise).

To show θ is onto, let $(s + A, t + B) \in \frac{R}{A} \times \frac{R}{B}$ with $s, t \in R$. Since $A + B = R$, there exists $a \in A$ and $b \in B$ such that $a + b = 1$.

Let $r = sb + ta$. Then

$$s - r = s - sb - ta = s(1 - b) - ta = sa - ta = (s - t)a \in A.$$

Thus $s + A = r + A$. Similarly, we have $t + B = r + B$. Thus

$$\theta(r) = (r + A, r + B) = (s + A, t + B).$$

So $\text{Im } \theta = \frac{R}{A} \times \frac{R}{B}$. Since $\ker \theta = A \cap B$, by the first isomorphism theorem, we have $R/(A \cap B) \cong \frac{R}{A} \times \frac{R}{B}$. □

Corollary 8.14.1:

1. If $m, n \in \mathbb{N}$ with $\gcd(m, n) = 1$, then $\mathbb{Z}_{mn} \cong \mathbb{Z}_m \times \mathbb{Z}_n$.
2. If $m, n \in \mathbb{N}$ with $m, n \geq 2$ and $\gcd(m, n) = 1$ then $\psi(mn) = \psi(m)\psi(n)$ where $\psi(m) = |\mathbb{Z}_m^*|$ is the Euler ψ function.

Remark: Let $m, n \in \mathbb{Z}$ with $\gcd(m, n) = 1$. For $a, b \in \mathbb{Z}$, by the previous corollary, for $[a] \in \mathbb{Z}_m$ and $[b] \in \mathbb{Z}_n$ there exists a unique $[c] \in \mathbb{Z}_{mn}$ such that $[c] = [a]$ in \mathbb{Z}_m and $[c] = [b]$ in \mathbb{Z}_n . In other words, the simultaneous congruences $x \equiv a \pmod{m}$ and $x \equiv b \pmod{n}$ has a unique solution of the form $x \equiv c \pmod{mn}$, which is the Chinese remainder theorem from MATH135.

Proposition 8.15: If R is a ring with $|R| = p$ for some prime p then $R \cong \mathbb{Z}_p$.

Proof. Define $\theta : \mathbb{Z}_p \rightarrow R$ by $\theta([k]) = k1_R$. Note that since R is an additive subgroup of itself and $|R| = p$, by Lagrange's theorem $o(1_R) \in \{1, p\}$. Since $1_R \neq 0$ we have $o(1_R) = p$. Thus

$$[k] = [m] \iff p|(k - m) \iff (k - m)1_R = 0 \iff k1_R = m1_R.$$

So θ is well-defined and 1-1. Also θ is a ring homomorphism (exercise). Since $|\mathbb{Z}_p| = |R| = p$, θ is also onto. We conclude $R \cong \mathbb{Z}_p$. \square

Example 8.8: What are all the possible rings of order $|R| = p^2$ (exercise)?

9 Commutative Rings

9.1 Integral Domains and Fields

Definition 9.1 (Units): Let R be a ring. We say $u \in R$ is a unit if it has a multiplicative inverse in R , denoted by u^{-1} . We have $uu^{-1} = u^{-1}u$ and we write

$$R^* = \{u \in R : u \text{ is a unit}\}$$

Note: If u is a unit in R and $r, s \in R$, we have

$$ur = ur \iff r = s \iff ru = su.$$

Remark: One can show that R^* forms a group under multiplication called the group of units of R .

Definition 9.2 (Division Rings): A non-trivial ring R is a division ring if $R^* = R \setminus \{0_R\}$.

Definition 9.3 (Fields): A field is a commutative division ring.

Remark: Recall that in MATH135 we saw that \mathbb{Z}_n is a field if and only if n is prime.

Theorem 9.1 (Wedderburn's Little Theorem): Every finite division ring is a field.

Proof. The proof is left as an exercise (hard). \square

Definition 9.4 (Zero Divisors): Let $R \neq \{0\}$ be a ring. For $0 \neq a \in R$ we say that a is a zero divisor if there exists $0 \neq b \in R$ such that $ab = 0$.

Proposition 9.2: Given a ring R , the following are equivalent.

1. If $ab = 0$ in R , then $a = 0$ or $b = 0$.
2. If $ab = ac$ in R and $a \neq 0$, then $b = c$.
3. If $ba = ca$ in R and $a \neq 0$, then $b = c$.

Proof. We prove (1) \iff (2). (1) \iff (3) is similar.

\implies Let $ab = ac$ with $a \neq 0$. Then $a(b - c) = 0$ by (1). Since $a \neq 0$ we have $b - c = 0 \implies b = c$.

\impliedby Let $ab = 0$ in R . We have two cases:

1. If $a = 0$ then we are done.
2. If $a \neq 0$ then $ab = 0 = a \cdot 0$. By (2) we have $b = 0$.

□

Definition 9.5 (Integral Domains): A non-trivial commutative ring is an integral domain if it has no zero divisor (i.e. if $ab = 0$ in R then $a = 0$ or $b = 0$).

Proposition 9.3: Every field is an integral domain.

Proof. Let $ab = 0$ in a field R . We want to show $a = 0$ or $b = 0$. If $a = 0$ we are done so suppose $a \neq 0$. Since R is a field this means $a \in R^*$ and so

$$b = 1 \cdot b = a^{-1}ab = a^{-1} \cdot 0 = 0$$

thus $b = 0$ as desired.

□

Remark:

- This proof also shows that every subring of a field is an integral domain.
- The converse of this theorem is not true. However, we do have the following proposition which is similar.

Proposition 9.4: Every finite integral domain is a field.

Proof. Let R be a finite integral domain and $a \in R$ with $a \neq 0$. Let $\theta : R \rightarrow R$ be defined by $\theta(r) = ar$. Since R is an integral domain and $a \neq 0$, θ is injective. In-particular, there exists a $b \in R$ such that $\theta(b) = ab = 1$. Since R is finite θ is also surjective. Since R is commutative we have $ab = ba = 1$ so a is a unit. Thus R is a field.

□

Proposition 9.5: The characteristic of an integral domain is either zero or p for some prime p .

Proof. Let R be an integral domain. If $\text{ch}(R) = 0$ then we are done, so assume $\text{ch}(R) = n$ for some positive integer n . Note that $R \neq \{0\}$ so $n \neq 1$. For the sake of contradiction, suppose that n is not prime, then $n = ab$ for some $1 < a, b < n$, $a, b \in \mathbb{N}$. It follows that

$$(a \cdot 1)(b \cdot 1) = (ab)(1 \cdot 1) = n \cdot 1 = 0$$

which (since R is an integral domain) means that either $a \cdot 1 = 0$ or $b \cdot 1 = 0$. This contradicts $\text{ch}(R) = n$. \square

Example 9.1: Let R be an integral domain with $\text{ch}(R) = p$ for some prime p . Then for all $a, b \in R$ we have:

$$(a + b)^p = \sum_{i=0}^p \binom{p}{i} a^i b^{p-i}$$

But since p is prime, $p \mid \binom{p}{i}$ for all $1 < i < p$. Since $\text{ch}(R) = p$ this means that

$$(a + b)^p = a^p + b^p.$$

9.2 Prime and Maximal Ideals

Definition 9.6 (Prime Ideals): Let R be a commutative ring. An ideal $P \neq R$ is a prime ideal if whenever $r, s \in R$ satisfy $rs \in P$, then $r \in P$ or $s \in P$.

Proposition 9.6: If R is a commutative ring then an ideal P of R is a prime ideal if and only if R/P is an integral domain.

Proof. Since R is a commutative ring, so is R/P . Note that

$$R/P \neq \{0\} \iff 0 + P \neq 1 + P \iff 1 \notin P \iff P \neq R.$$

Also, for $r, s \in R$ we have:

$$\begin{aligned} P \text{ is principal} &\iff (rs \in P \implies r \in P \text{ or } s \in P) \\ &\iff ((r + P)(s + P) = 0 + P \implies r + P = 0 + P \text{ or } s + P = 0 + P) \\ &\iff R/P \text{ is an integral domain} \end{aligned}$$

\square

Definition 9.7 (Maximal Ideals): Let R be a commutative ring, an ideal $M \neq R$ of R is a maximal ideal if whenever A is an ideal such that $M \subseteq A \subseteq R$, then $A = M$ or $A = R$.

Proposition 9.7: If R is a commutative ring, then an ideal M of R is a maximal ideal if and only if R/M is a field.

Proof. Since R is a commutative ring, so is R/M . Notice that:

$$R/M \neq \{0\} \iff 0 + M \neq 1 + M \iff 1 \notin M \iff M \neq R$$

Also, for $r \in R$, note that $r \notin M$ if and only if $r + M \neq 0 + M$, so:

$$\begin{aligned}
 M \text{ is maximal} &\iff \langle r \rangle + M = R, \forall r \notin M \\
 &\iff 1 \in \langle r \rangle + M, \forall r \notin M \\
 &\iff \forall r \notin M, \exists s + M \in R/M \text{ s.t. } (r + M)(s + M) = 1 + M \\
 &\iff R/M \text{ is a field}
 \end{aligned}$$

□

Proposition 9.8: Every maximal ideal of a commutative ring is a prime ideal.

Proof. This follows directly from Propositions 9.3, 9.6, and 9.7 (see diagram below). □

Remark: The converse of this is not true.

Remark: What we have shown is the following:

$I \text{ maximal}$	\iff	$R/I \text{ field}$
\Downarrow		\Downarrow
$I \text{ prime}$	\iff	$R/I \text{ integral domain}$

Furthermore, by Proposition 9.4 all four of these statements are equivalent in the case where $|R|$ is finite.

9.3 Fields of Fractions

Recall that every subring of a field is an integral domain. The “converse” also holds: Every integral domain R is isomorphic to a subring of a field F .

Note: Let R be an integral domain and let $D = R \setminus \{0\}$. Consider the set:

$$X = R \times D = \{(r, s) : r \in R, s \in D\}$$

We say $(r, s) \equiv (r_1, s_1)$ on X if and only if $rs_1 = r_1s$. One can show that this is an equivalence relation (exercise). In particular:

1. $(r, s) \equiv (r, s)$
2. $(r, s) \equiv (r_1, s_1) \implies (r_1, s_1) \equiv (r, s)$
3. $(r, s) \equiv (r_1, s_1) \text{ and } (r_1, s_1) \equiv (r_2, s_2) \implies (r, s) \equiv (r_2, s_2)$

Motivated by the case $R = \mathbb{Z}$, we now define the following:

Definition 9.8 (Fields of Fractions): Let R be an integral domain, $D = R \setminus \{0\}$ and $X = R \times D$ as before. We define the fraction $\frac{r}{s}$ to be the equivalence class $[(r, s)]$ of the pair $(r, s) \in X$. Let F denote the set of all such fractions we call F the field of fractions of R .

$$F = \left\{ \frac{r}{s} : r \in R, s \in D \right\} = \left\{ \frac{r}{s} : r, s \in R, s \neq 0 \right\}$$

We define addition and multiplication over F by:

$$\frac{r}{s} + \frac{r_1}{s_1} = \frac{rs_1 + r_1s}{ss_1} \text{ and } \frac{r}{s} \cdot \frac{r_1}{s_1} = \frac{rr_1}{ss_1}$$

where $ss_1, rs_1 + r_1s, rr_1$ are elements of R . Note that $ss_1 \neq 0$ since R is an integral domain and $s, s_1 \neq 0$, thus these operations are well defined.

Example 9.2: One can show (exercise) the the above operations make F into a field with the zero $\frac{0}{1}$, the unity $\frac{1}{1}$ and the negation $-\frac{r}{s} = \frac{-r}{s}$. Moreover, if $\frac{r}{s} \neq 0 \in F$ then $r \neq 0$ and thus $\frac{s}{r} \in F$ as well and we have

$$\frac{r}{s} \cdot \frac{s}{r} = \frac{rs}{sr} = \frac{rs}{rs} = \frac{1}{1} = 1_F$$

so $\left(\frac{r}{s}\right)^{-1} = \frac{s}{r}$.

Remark: In addition, we have $R \cong R'$ where

$$R' = \left\{ \frac{r}{1} : r \in R \right\} \subseteq F.$$

Thus we have the following theorem:

Proposition 9.9: Let R be an integral domain and F be its corresponding field of fractions. Then R is isomorphic to the subring

$$R' = \left\{ \frac{r}{1} : r \in R \right\} \subseteq F.$$

Proof. The proof is left as an exercise. □

10 Polynomial Rings

10.1 Polynomials Over Rings

Definition 10.1 (Polynomials): Let R be a ring and x a variable. We define:

$$R[x] = \{f(x) = a_0 + a_1x + \cdots + a_mx^m : m \in \mathbb{N} \cup \{0\}, a_i \in R\}$$

The $f(x) \in R[x]$ are called polynomials in x over R . If $a_m \neq 0$, we say $f(x)$ has degree m . This value is denoted $\deg(f) = m$ and we call a_m the leading coefficient of $f(x)$. If the leading coefficient is $a_m = 1$ then we say that $f(x)$ is monic. If $\deg(f) = 0$ then $f(x) = a_0$ is a constant polynomial. Note that

$$f(x) = 0 \iff a_1, \dots, a_m = 0$$

and in this case we define $\deg(0) = -\infty$.

Proposition 10.1: Let R be a ring and x a variable.

1. $R[x]$ is a ring.
2. R is a subring of $R[x]$.
3. If $Z = Z(R)$ is the center of R , then $Z(R[x]) = Z[x]$.

Proof. The proof is left as an exercise. □

Proposition 10.2: Let R be an integral domain, then:

1. $R[x]$ is an integral domain.
2. If $f, g \in R[x] \setminus \{0\}$ then $\deg(fg) = \deg(f) + \deg(g)$.
3. The units in $R[x]$ are R^* .

Proof. Let $f(x) = a_0 + \cdots + a_m x^m$ and $g(x) = b_0 + \cdots + b_n x^n$ with $a_m, b_n \neq 0$. This means that $f(x)g(x) = a_0 b_0 + \cdots + a_m b_n x^{m+n}$. Since R is an integral domain, $a_m b_n \neq 0$ and so $f(x)g(x) \neq 0$ and $\deg(fg) = m + n$, which proves (1) & (2).

As for (3), let $u(x)$ be a unit in $R[x]$ with inverse $v(x)$. Since $u(x)v(x) = 1$, (2) implies that $u(x), v(x) \in R$ and (1) implies $u(x), v(x) \neq 0$. Hence $u(x), v(x) \in R \setminus \{0\} = R^*$ so $R[x]^* \subseteq R^*$. Since $R^* \subseteq R[x]^*$ this means $R[x]^* = R^*$. □

10.2 Polynomials Over Fields

Definition 10.2 (Polynomial Division): Let F be a field and $f(x), g(x) \in F[x]$. We say that $f(x)$ divides $g(x)$ (denoted $f(x)|g(x)$) if there exists a polynomial $q(x) \in F[x]$ such that

$$f(x)q(x) = g(x).$$

Proposition 10.3: Let F be a field and $f(x), g(x), h(x) \in F[x]$.

1. If $f(x)|g(x)$ and $g(x)|h(x)$ then $f(x)|h(x)$.
2. If $f(x)|g(x)$ and $f(x)|h(x)$ then for all $u(x), v(x) \in F[x]$,

$$f(x)|u(x)g(x) + v(x)h(x).$$

Proof. The proof is left as an exercise. □

Proposition 10.4: Let F be a field and $f(x), g(x)$ be monic polynomials. If $f(x)|g(x)$ and $g(x)|f(x)$ then $f(x) = g(x)$.

Proof. Since $f(x)|g(x)$ and $g(x)|f(x)$ we have $f(x)r(x) = g(x)$ and $g(x)s(x) = f(x)$ for some $r, s \in F[x]$. Thus

$$f(x) = g(x)s(x) = f(x)r(x)s(x)$$

and so by Proposition 10.2 we have

$$\deg(f) = \deg(r) + \deg(s) + \deg(f) \implies \deg(r) = \deg(s) = 0.$$

Furthermore, since $f(x)$ and $g(x)$ are both monic, $r(x)$ and $s(x)$ must also be monic. Hence $r(x) = s(x) = 1$ and so $f(x) = g(x)$. □

Remark: Recall that for $a, b \in \mathbb{Z}$, if $a|b$, $b|a$ and a, b are both positive then $a = b$. Thus in a sense the monic polynomials in $F[x]$ play the same role as the positive integers in \mathbb{Z} .

Proposition 10.5 (The Division Algorithm for Polynomials): Let F be a field and $f(x), g(x) \in F[x]$ with $f(x) \neq 0$. Then there exist unique polynomials $q(x), r(x) \in F[x]$ with $\deg(r) < \deg(f)$ such that

$$g(x) = q(x)f(x) + r(x).$$

Proof. This result can be derived by induction, however the proof is long and will be omitted (exercise). \square

Proposition 10.6: Let F be a field and $f(x), g(x) \in F[x] \setminus \{0\}$. There exists a polynomial $d(x) \in F[x]$ with the following properties:

1. $d(x)$ is monic.
2. $d(x)|f(x)$ and $d(x)|g(x)$.
3. If $e(x)|f(x)$ and $e(x)|g(x)$ then $e(x)|d(x)$.
4. $d(x) = u(x)f(x) + v(x)g(x)$ for some $u(x), v(x) \in F[x]$.

Note that if $d(x)$ and $d_1(x)$ both satisfy the above conditions then since they are both monic by Proposition 10.4 $d(x) = d_1(x)$. Hence $d(x)$ is also unique.

Proof. Consider the set

$$X = \{u(x)f(x) + v(x)g(x) : u(x), v(x) \in F[x]\}.$$

Since $f(x) \in X$, X contains a non-zero polynomial. Furthermore, since F is a field we may divide by the leading coefficient and so X contains a monic polynomial. Now let

$$X' = \{h(x) \in X : h(x) \text{ is monic}\}.$$

We have seen that X' is non-empty, so pick $d(x) \in X'$ with minimal degree. Clearly this choice of $d(x)$ satisfies both (1) and (4), while (3) follows from Proposition 10.3. One can also prove that $d(x)$ satisfies (2) using the division algorithm for polynomials (Proposition 10.5) (exercise). \square

Definition 10.3 (Irreducible Polynomials): Let F be a field. A polynomial $\ell(x) \neq 0$ in $F[x]$ is irreducible if $\deg \ell \geq 1$ and whenever $\ell(x) = \ell_1(x)\ell_2(x)$ in $F[x]$, $\deg \ell_1 = 0$ or $\deg \ell_2 = 0$.

Proposition 10.7: Let F be a field and $f(x), g(x) \in F[x]$. If $\ell(x) \in F[x]$ is irreducible and $\ell(x)|f(x)g(x)$, then $\ell(x)|f(x)$ or $\ell(x)|g(x)$.

Proof. The proof is left as an exercise. \square

Theorem 10.8 (Unique Factorization Theorem): Let F be a field and let $f(x) \in F[x]$ with $\deg f \geq 1$. Then we can write

$$f(x) = c\ell_1(x) \cdots \ell_m(x)$$

where $c \in F^*$ and $\ell_i(x)$ are monic irreducible polynomials. This factorization is unique up to the order of ℓ_i .

Remark: This theorem implies the existence of infinitely many irreducible polynomials.

Proof. The proof is left as an exercise. \square

Proposition 10.9: Let F be a field. Then all ideal of $F[x]$ are of the form $\langle h(x) \rangle = h(x)F[x]$ for some $h(x) \in F[x]$. If $\langle h(x) \rangle \neq \{0\}$ and $h(x)$ is monic then the generator is uniquely determined.

Proposition 10.10: Let F be a field and let $h(x) \in F[x]$ with $\deg h = m \geq 1$. Then the quotient ring $R = F[x]/\langle h(x) \rangle$ is given by

$$R = \{a_0 + a_1t + \cdots + a_{m-1}t^{m-1} : a_i \in F \text{ and } h(t) = 0\}$$

in which each element of R can be uniquely represented in the above form.

Proposition 10.11: Let F be a field and let $h(x) \in F[x]$ with $\deg h \geq 1$. The following are all equivalent:

1. $F[x]/\langle h(x) \rangle$ is a field.
2. $F[x]/\langle h(x) \rangle$ is an integral domain.
3. $h(x)$ is irreducible in $F[x]$.