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Master Thesis

# Keyword Search over Data Service Integration for Accurate Results

make better  
& more CS  
precise title

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## Abstract

We consider the case where there is no direct access to the data and only interfaces of limited capabilities are available (e.g. web services, web forms, proprietary systems), while users are in need of a way to query these sources for accurate results (boolean retrieval augmented with additional operators). This renders the traditional methods that return data-tuples inapplicable (e.g. information retrieval or the keyword search over relational databases).

In that instance, the Enterprise Information Integration (EII), provides the means to query the sources in a coherent way. The querying is usually done through structured query languages such as SQL, implying that the user must learn the query language and has to know how the data is structured.

The alternatives to structured queries include: i) forms, that are impractical for complex queries, ii) the keyword search returning query results directly, risking of choosing the wrong interpretation; or iii) the keyword search based on metadata and proposing a list of structured queries for user to choose from.

In this work we explore the third option and present a keyword search system that approaches this problem operating on the available information: the metadata such as the constraints on allowed values, and some portions of the data. It proposes a ranked list of structured queries along with explanations of their meaning. Unlike the earlier works, it is freely available, makes no assumptions on the input query (still being able to leverage the structural patterns in the query, if present).

The system is discussed within context of an EII service at the Compact Muon Solenoid Experiment (CMS), at CERN, where the simplicity and capabilities of the search interface places a crucial role for adoption among the end users and their ability to cover their information needs. It has been integrated with the CMS production Data Management system and exposed to the CMS Collaboration.

# Contents

<b>1. Introduction</b>	<b>5</b>	
1.1. Motivation . . . . .	5	
1.2. State of the Art in the field . . . . .	6	
1.3. Structure of the work and our contributions . . . . .	7	
<b>2. Project context: EII at CMS</b>	<b>8</b>	
<b>3. Keyword Search over Data Services</b>	<b>9</b>	
3.1. Problem statement . . . . .	9	
3.2. Overview of our approach . . . . .	10	
3.3. Details of the keyword search system . . . . .	12	
3.3.1. Step 1: Tokenizer . . . . .	12	
3.3.2. Step 2: Identifying entry points . . . . .	12	
3.3.2.1. Matching the schema terms . . . . .	12	
3.3.2.2. Matching the value terms . . . . .	14	
3.3.3. Step 3: Candidate-answer generation and ranking . . . . .	15	
3.3.4. Tuning the scoring parameters . . . . .	16	
3.3.5. Miscellanea . . . . .	16	
3.4. Presenting the results to the user . . . . .	18	
3.4.1. Entry points . . . . .	18	
3.5. Incorporating User Feedback . . . . .	18	
3.5.1. Live feedback through auto-completion (prototype) . . . . .	18	here or
3.5.2. Customization and user-preferences . . . . .	19	separate
3.5.3. Using the feedback for self-improvement . . . . .	19	chapter?
<b>4. Evaluation</b>	<b>21</b>	
4.1. Accuracy . . . . .	21	
4.2. Users feedback . . . . .	21	
<b>5. Related Work</b>	<b>23</b>	
<b>6. Future work and Conclusions</b>	<b>25</b>	
<b>Bibliography</b>	<b>25</b>	
<b>A. Complete list of Project Deliverables/Artefacts</b>	<b>28</b>	
<b>B. Data Integration “War stories” at the CMS Experiment</b>	<b>29</b>	or just: Prob-
B.1. Relaxation of the Query Language . . . . .	29	lem solutions
B.2. Solutions to the Performance Issues . . . . .	29	shall we put
		the solutions
		here or some-
		where lower
		in the paper
		after describ-
		ing Keyword
		Search?

# List of Symbols and Abbreviations

CMS	The Compact Moun Selenoid Experiment at the European Organization for Nuclear Research (CERN)
DAS	CMS Data Aggregation System - The EII system used at CMS
EII	Enterprise Information Integration
HMM	HIdden Markov Model
IDF	Inverse Document Frequency, used to downrank frequent and therefore likely irrelevant terms
IDF	Inverse Document Frequency, used to downrank frequent and therefore likely irrelevant terms
KWQ	keyword query
NLP	Natural Language Processing
schema	by schema we refer to the integration schema (virtual schema based of entities exposed by the services)
schema terms	names of entities in integration schema and their attributes (names of either inputs to the services or their output fields)
value terms	values of entity attributes (that could be input parameters of data services, or be contained in their results)

## Acknowledgements

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# 1. Introduction

*Enterprise Information Integration* (EII), which is also called *Virtual Data Integration*, is about “integrating data from multiple sources *without* having to first load data into a central warehouse” [11, page 1]. It allows querying the sources in a coherent way (eliminating the inconsistencies in data formats, naming; combining the results, etc.) and is the most beneficial when other data integration approaches are not applicable<sup>1</sup>. In EII, data physically stays at its origin, and is requested only on demand, usually, through structured query languages such as *SQL*. However, the latter present a number of user interface issues.

Virtual integration presents an additional challenge, since only limited access to the data instances is available, rendering the traditional methods that return data-tuples inapplicable (e.g. Information Retrieval or Keyword search over relational databases).

The alternatives to structured queries include: 1) interfaces based on regular forms, that are impractical for complex queries over large number of entities/attributes; 2) the keyword search based on metadata returning query results directly, risking that the query interpretation chosen is not the correct one, as the keyword queries are ambiguous; or 3) the keyword search based on metadata and proposing a list of structured queries for user to choose from. ?

The objective of this work is to research the third option, the keyword search proposing the ranked list of queries, as a more intuitive alternative, which, in fact, received little attention in the field of data service integration[10].

Building on the experience gained while working on an EII system at the *CMS Experiment* at *CERN*, we will focus on the implementation of keyword search, also touching and the mechanisms for user feedback and some more distant topics such as usability and performance of an EII.

## 1.1. Motivation

At scientific collaborations such as the *CMS Experiment* at *CERN*, where this work has been conducted, data often resides on a fair number of autonomous systems each serving its own purpose<sup>2</sup>. Often users are in need of a centralized and easy-to-use solution for locating and combining data from all these multiple sources.

The EII solves the data integration problem even when data is volatile and systems are heterogeneous and reluctant to change. However the complexity of writing such queries first impacts the simple users, forcing them to learn the “schema” and the query language. Still, even the tech-savvy users may have only a vague idea of where exactly to find what they need.

---

<sup>1</sup>for instance, publish-subscribe approach is not applicable in the presence of proprietary (and reluctant to change) systems, data-warehousing is too heavy and complex then large portions of data is volatile or when only limited interfaces are provided by proprietary services.

<sup>2</sup>due to the complexity of combining the contributions by a large number institutes in a single project, they usually evolve in independent fashion, resulting in fair number of proprietary systems[14]

too much  
blah blah;  
need to discuss  
alternatives?

As another example, the web search engines, which are becoming close to generic question-answering engines<sup>3</sup>, could employ the methods presented in this work, for providing the immediate answers to certain types of queries, whose results can not be pre-cached, but are available on the vast quantities of continuously growing public, corporate, or governmental data services. For instance, the query “tnt 123456789” can be interpreted as requesting the tracking information for a given TNT shipment tracking code.

avoid company names?

## 1.2. State of the Art in the field

In the field of EII, significant experience has been accumulated on its formalisms, source descriptions, query optimization [11], with the recent research focusing on minimizing human efforts on source integration [1, ch.19]. Meanwhile, to the best of our knowledge, the *Boolean Keyword-based search over data service integration*, which is our main focus, received little attention, with only a few attempts [e.g. 6, 3, 10] to address the problem.

**Nature of keyword queries** Keyword queries are often underspecified, therefore every possible interpretation has to be included in the results [4]. Still, some interpretations are more likely than the others, therefore, when the users are interested in complete answer sets, the standard approach is to produce a ranked list of most-likely structured queries [7, 5, 3].

other terminology?

Further, it has been noticed that even if keyword queries do not have any clear syntactic structure, keywords referring to related concepts usually come close to each other in the query [15, 4]. Most of the existing approaches attempt to profit from these dependencies to ameliorate their candidate answers ranking.

this also justifies the applicability of sequential machine learning models such as the Hidden Markov Model in KEYRY [3].

**Keyword querying over EII** Two approaches that are presented below were identified as the closest to our problem.

*Keymantic* [6, 5] answers keyword queries over relational databases with limited access to the data instances or over data integration [5]. First, based on meta-data<sup>4</sup>, individual keywords are scored as potential matches to *schema terms* (entity names and their attributes, using some entity matching techniques) or as potential *value* matches (by checking any available constraints, such as the regular expressions imposed by the database or data-services). Then, these scores are combined, and refined by heuristics that increase the scores of query interpretations with the nearby keywords having related labels assigned. Finally, these labels are interpreted as SQL queries.

*KEYRY* [3] attempts to incorporate users feedback through training an Hidden Markov Model’s (HMM) tagger taking keywords as its input. It uses the List-Viterbi [20] algorithm to produce the top-k most probable tagging sequences (where tags represent the “meaning” of each keyword). This is interpreted as SQL queries and presented to the users. The HMM is first initialized through the supervised training, but even if no training data is available, the initial HMM probability distributions can be estimated through a number of heuristic rules (e.g. promoting related tags). Later, user’s feedback can be used for supervised training, while even the keyword queries itself can

**Note:** Their assumptions: “keyword can be mapped to only one database term; no two keywords can be mapped into the same database term [how about multi-keyword-terms?]. every keyword plays some role in the query, i.e., there are no unjustified keywords

<sup>3</sup>e.g. on the popular search engines, information on currency rates, weather and time at given location, etc. is already available through recognition of certain patterns in web queries

<sup>4</sup>in EII, only limited access to data instances is available, therefore instead of just indexing the all data, the meta-data shall be used

serve for unsupervised training [19]. According to [3] the accuracy of the two systems didn't differ much.

### 1.3. Structure of the work and our contributions

Chapter 2 presents the context in which this thesis was conducted - the EII system - and introduces some real-world issues, such as data-service performance and system's usability (the solutions are presented in appendix B).

In chapter 3 the problem of keyword search over EII is formally defined, and a keyword search engine is presented, that given a keyword query, proposes most probable structured queries. We propose a custom string similarity metric, discuss the presentation of results to the end users, and propose combining keyword search with auto-completion in a novel way.

Next, in section 3.5 we discuss approaches towards incorporating users feedback into the keyword search over EII. The earlier mentioned auto-completion would allow getting the feedback of higher quality without overloading the users, that could be used for improving various parts of the system (future work).

In chapter 4 the developed system is evaluated quantitatively using test queries and qualitatively through user feedback. Chapter 5 presents the more distant related works, while, in chapter 6 the future work is discussed.

**Deliverables and related tasks** The project also included these time-demanding tasks: 1) choosing a precise topic to focus on - because the area is not so actively researched and there is no concise terminology<sup>5</sup>, this took a considerable amount of time, and 2) case analysis at the CMS Experiment included analysing query logs, benchmarking the performance bottlenecks; a users' survey, tutorials and presentations.

For the complete list of project deliverables/artefacts see appendix A.

but they mentioned a better evaluation may be needed...

also mention: NL querying over services

innovation??? relaxed assumptions? (To check) autocompletion?

need less strong for novel?

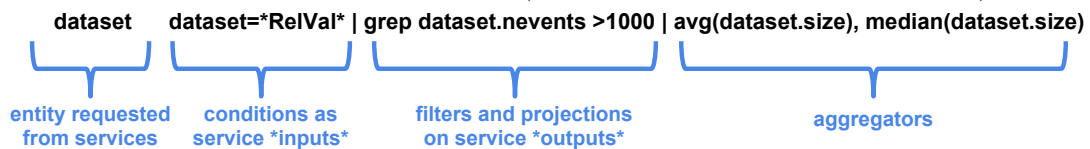
<sup>5</sup>e.g. virtual data integration, enterprise information integration, data virtualization are used as synonyms throughout different time periods; works on keyword search over EII mostly focused on relational databases (with limited access to data instances)

## 2. Project context: EII at CMS

In this chapter, the context is presented, in which the thesis project was conducted.

**The EII system to be extended** An *EII* system called “*CMS Data Aggregation System*” (*DAS*) [16, 2] allows integrated access to a number of proprietary data-sources through simple structured queries (eliminating the inconsistencies in entity naming, data formats, combining the results, etc). *DAS* uses the *Boolean retrieval model* as users are often interested in retrieving ALL the items matching their query.

**Query language (DASQL) and its execution** The queries are formed specifying the entity the user is interested in (e.g. dataset, file, etc) and providing selection criteria (e.g. attribute=value, attribute *between* [v1, v2]). The results could be later ‘piped’ for further filtering, sorting or aggregation (min, max, avg, sum, count, median), e.g.:



As seen in the figure above, the DASQL closely corresponds to the physical execution flow over the EII: based on the requested entity and the conditions on service inputs, *DAS* decides the set of the services to be queried<sup>1</sup>. Then, after retrieving, processing and merging of the results from services, the filters and projections are applied, which are followed by aggregators. The results are cached for subsequent uses.

**The Data-Sources** The system integrates approx. 10 data providers (~100 interfaces returning JSON, XML, or other formats), most of them uses Oracle as back-end. Because most of the providers were created initially focusing on data storage without much attention to its retrieval, services are not optimized for querying the fairly large data volumes they store<sup>2</sup>: the data is kept in fully-relational fashion, often requiring complex joins over large tables; the services do not allow result pagination, nor sorting.

**User Feedback, Issues, Solutions** *DAS* experienced a number of performance and usability issues (users reported either being totally happy with DASQL, or being totally lost). In addition to keyword search, a slight extension to the query language was proposed. A confusing element of *DAS* was that the fields returned for certain entity were depending on the selection conditions, it was proposed to automatically resolve the additional service orchestration for returning the requested fields (see appendix B.1). Second, analysis of performance bottlenecks indicated that data providers were not ready for data retrieval - appropriate measures were proposed (see appendix B.2).

<sup>1</sup>including pre-defined “virtual services”, which feed results from one service into inputs of the others

<sup>2</sup>the total size of data growing at rate of 1TB/year. E.g. one of the biggest providers, DBS, is partitioned among ~10 instances in Oracle, with the biggest of 80GB data + 280GB indexes

This close correspondence between the query language and the physical execution has both advantages (users are aware of service constraints) and disadvantages (users have to know exactly how the data structured). improve wording?



## 3. Keyword Search over Data Services

### 3.1. Problem statement

Given an EII system, capable of answering structured queries, we are interested in translating the keyword query into the corresponding structured query. A keyword query, KWQ is an ordered tuple of  $n$  keywords ( $kw_1, kw_2, \dots, kw_n$ ). Answering a keyword query is *interpreting* it in terms of its semantics over the *integration schema*. We are given the following metadata (*virtual integration schema*):

- *schema terms*: names of entities in *integration schema* and their attributes (names of either *inputs* to the services or their *output* fields)
- information about possible *value terms*:
  - for some fields, we have a list of possible values
  - the *service mappings* define the *constraints* on values allowed as data-service inputs (required fields, regular expressions defining the values accepted)

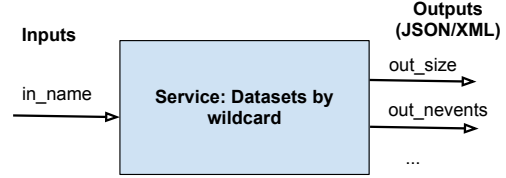


Figure 3.1.1.: a data-service (simplified)

In this work, we consider as potential results only the *conjunctive queries* augmented with simple aggregation functions without grouping (that correspond to select-project[-join<sup>1</sup>] in SQL, with selections composed only of conjunctions) as potential results.

Consider these queries: “*what is the average size of RelVal datasets where number of events is more than 1000*”, “*avg dataset size Zmmg number of events > 1000*” and “*avg(dataset size) Zmmg ‘number of events’ > 1000*”. For all, the expected result is:



aggregations  
not so im-  
portant; not  
yet fully  
implemented

In the particular case of DASQL, used at CMS, the input has to be mapped into:

- type of result entity (e.g. datasets) and projections of fields in the service outputs
- conditions that will be passed to services as their inputs, e.g. `dataset=*RelVal*`
- post-filters on service outputs: e.g. `dataset.nevents > 1000`
- basic aggregation functions, applied on service results: e.g. `avg(dataset.size)`

<sup>1</sup>the “joins” are currently limited, and can not be explicitly specified by the user

## 3.2. Overview of our approach

In the following sections, we present a fairly simple heuristics-based implementation, that produces a ranked list of best matching structured queries, where we focused on the quality of results with the goal of not enforcing any assumptions on the input queries (it could be plain keywords or a full-sentence, while existence of predefined structural patterns could be used to improve the result quality). The implementation is designed with the goal to be able to employ the user feedback for future improvements to various components of the system, such as initial entry points, or ranking of the results.

### The algorithm

Taking inspiration from Keymantic [5], a keyword query is processed as follows (see Fig. 3.2.1).

Firstly, the query is pre-processed by the *tokenizer*: it cleans up the query, identifies any explicit phrase tokens, or basic operators (see section 3.3.1).

Secondly, employing a number of metadata-based entity matching techniques, the “*entry points*” are identified: for each keyword, we obtain a listing of schema<sup>2</sup> and value<sup>3</sup> terms it may correspond to along with a rough estimate of our confidence (see Section 3.3.2). This includes identifying keyword chunks corresponding to multi-word terms (currently, only fields in service results); many of them are unclean, machine-readable field-names, with irrelevant and frequent terms, motivating the use of IDF-based information retrieval techniques.

Lastly, the *entry points* are combined, evaluating the different permutations of them (called *configurations*) by means of combining the scores of individual keywords and using heuristic rules to boost the scores of *configurations* that “respect” the likely dependencies between the nearby keywords. During the same step, the configurations that are compatible with our data integration system are identified and interpreted as structured queries, where we disambiguate the keyword matchings between the result types, the projections the selections (filters on service inputs or outputs), or simple operators. The ranking is presented in the section 3.3.3.

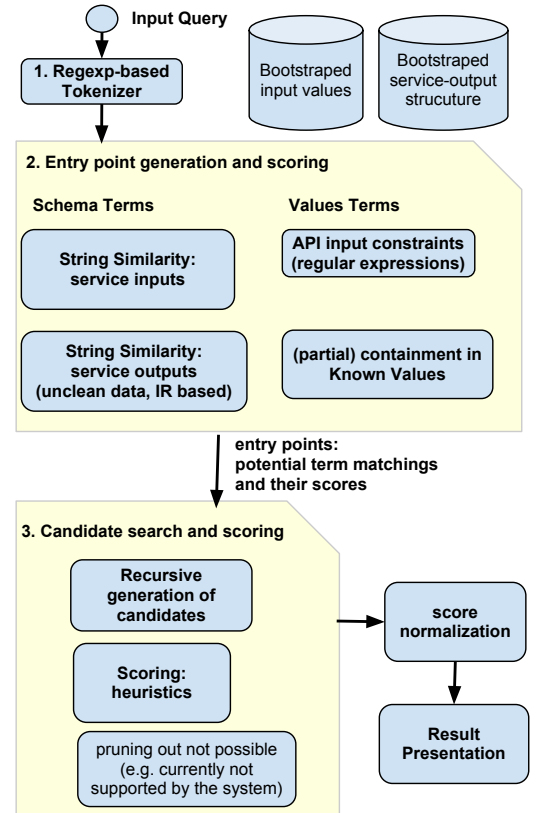


Figure 3.2.1.: Query processing flow

[min, avg,  
unique etc]  
not fully  
implemen-  
ted, lower  
priority

<sup>2</sup>schema term is the name of an entity or it's attribute in the integration schema (e.g. the “result type”, input parameter or output field for some data service)

<sup>3</sup>value term - is the name of schema term which could gain value of given keyword

**Example.** Consider this query: `dataset sizes RelVal 'number of events > 1000'`

Tokenizer would return these tokens: `dataset; sizes; RelVal; 'number of events>1000'`

Second, Each token result in some entry points:

make a nice table!

`RelVal -> 1.0, value: group=RelVal`

`RelVal -> 0.7, value: dataset=*RelVal*`

`datasets -> 0.9, schema: dataset`

Chunks:

`'number of events>1000' ->`

`0.93, filter: dataset.nevents>1000`

`0.93, filter: file.nevents>1000`

`'datasets; sizes' -> 0.99, projection: dataset.size`

`'sizes' -> 0.41, projection: dataset.size`

... and some more with lower scores...

As one can see from the entry points, the 'RelVal' keyword is ambiguous, there is a 'RelVal' group, and also 'RelVal' could be part of dataset name. While, 'number of events' could be attribute of dataset, file or block. Also well there is some ambiguity in chunking, 'dataset sizes' may map to *dataset.size* attribute, while dataset is also the entity type.

After combining the entry point scores, some of the top-four results are:

```
0.38 dataset group=RelVal | grep dataset.size, dataset.nevents>1000 debug
0.34 dataset dataset=*RelVal* | grep dataset.size, dataset.name, dataset.nevents>1000 debug
0.34 block dataset=*RelVal* | grep block.size, block.name, block.nevents>1000 debug
0.34 file dataset=*RelVal* | grep file.size, file.name, file.nevents>1000 debug
```

The first result we see because the score of group=RelVal is higher than the other option for the same keyword.

## Project priorities and constraints

Due to the constraints on the project duration, a number of items had to be excluded from the implementation: question answering approaches with deep language processing; complex service orchestration (feeding of outputs into inputs of other services, which is anyway not directly supported by the EII system and the service performance is not adequate for this<sup>4</sup>); and lastly the performance is of lower priority, as the end user's perceived performance is still dominated by services taking minutes to respond, and the performance was already covered by the earlier works.

subsection:  
constraints,  
limitations,  
considerations?

---

<sup>4</sup>this due to issues with data service performance and unavailability of basic capabilities such as pagination or sorting of their results; we do not control the data services, so a number of suggestions for the providers have been proposed (see appendix B.2); second, these improvements would take a considerable effort to be implemented, pushing this far beyond the scope of this project

### 3.3. Details of the keyword search system

Below each the processing steps is described in more details.

#### 3.3.1. Step 1: Tokenizer

The tokenizer do not try to parse the natural language, however attempts to cover as many of unambiguous cases as possible.

With the goal to simplify subsequent processing, first the keyword query is cleaned-up, standardizing its notation (e.g. removing extra spaces, normalizing date formats from YYYY-MM-DD into YYYYMMDD accepted by EII system, also recognizing some expressions in natural language, such as simple operators [X equals Y, X more than Y, etc]). This is accomplished using a number of regular expression replacement patterns.

Then, the keyword query is tokenized into tokens of:

- strings of "terms operator value" (e.g. `nevent > 1`, `"number of events"=100`, `"number of events">=100"`), if any
- phrases with compound query terms in brackets (e.g. `"number of events"`), if any
- individual query terms, otherwise

The second task is accomplished by splitting the input string on a regular-expression matching pattern which match the three cases above (in proper order), but exclude white-spaces outside of the brackets.

#### 3.3.2. Step 2: Identifying entry points

The second step of query processing is identifying the starting points through applying the techniques below. To lower false positives, only the matches that score above some predefined cut-off threshold are included.

##### 3.3.2.1. Matching the schema terms

**Custom string similarity function** Our experience is that basic string-edit distance metrics, such as the standard Levenshtein edit-distance (where inserts, edits, and mutations are equal) or *Jaro-Winker's* being designed for general matching tasks (e.g. matching people names, correcting typing errors, etc.), do not perform well in the task of matching keywords into specific entity names, either introducing too many false-positives (e.g. 'file' for 'site'), or not recognizing lexically farther word combinations that still make sense, such as *config* vs *configuration*.

Therefore, to minimize the false positives (which have direct effect on ranking), we propose a simple combination of more trustful metrics in the order of decreasing score: full match, lemma match (indicating also the meaning match), stem-match (meaning is further), and finally a stem match within a very small edit distance (see eq.3.3.1). Below *dist* is some string distance metric with tight limitations with score  $\in [0, 1]$  (e.g. max 1-3 characters differing with beginning or end preferred, max 1 mutation/transposition).

but NL support is limited, as there are many ambiguous ways of expressing the same: e.g. more than 123 events

there was a paper on this

TODO: small edit distance; a paper has proposed: dynamic thresholds for Levenshtein distance

TODO: lematization depend on POS tags (saw[v]->see, [n]->saw)

$$\text{similarity}(A, B) = \begin{cases} 1, & \text{if } A = B \\ 0.9, & \text{if } \text{lemma}(A) = \text{lemma}(B) \\ 0.7, & \text{if } \text{stem}(A) = \text{stem}(B) \\ 0.6 \cdot \text{dist}(\text{stem}(A), \text{stem}(B)), & \text{otherwise} \end{cases} \quad (3.3.1)$$

This improves the matching by incorporating basic linguistic knowledge, and without requiring any domain-specific lexical resources<sup>5</sup>. Further, this is easy to implement using existing libraries (such as *PorterStemmer* and *WordNetLemmatizer* in the *nlk*<sup>6</sup>).

**Matching multi-word schema terms** This component identifies keyword chunks corresponding to *multi-word schema-terms* representing field-names or titles of service results fields. The fields in service results are quite specific: some may have only the machine-readable field-names and no human readable description, and may contain some frequent and not so informative terms. All this motivates the use of IDF-based information retrieval techniques. In addition, the field names in service outputs (which are processed artefacts of JSON or XML responses) have some parent-child structure which may provide useful contextual information (e.g. `block.replica.creation_time` vs `block.creation_time`).

For simplicity, we used an existing IR library, Whoosh<sup>7</sup>, where we store “documents” each representing “a field in service outputs” (that is a field of an entity in integration schema). Each such *document* consists of multiple *fields*, with different weights of importance assigned to each:

- fully-qualified machine readable field-name (e.g. `block.replica.creation_time`)
  - a field containing a tokenized+stemmed version of machine readable field-name (e.g. `creation_time`)
  - context - a field containing a tokenized+stemmed version of machine readable field-name’s parent (`block.replica`)
- human readable field title, if any (e.g. “Creation time of block’s replica”, but often this do not include the context: “Creation time”, or it does not exist)

To find the matches, we query the IR library, both for phrase and single term matches of up to the k-nearby keywords (we use maximum of 4, which both provides sufficient context, and is short enough to be computationally inexpensive), phrase matches given larger weight.

The ranking is done using BM25F scoring function. After filtering out the worst results these will become the entry points for mapping keywords into the fields of data service outputs. Currently we directly use the score returned by the IR library manually normalized between [0..1]. The scoring could be improved tuning the scoring function,

<sup>5</sup>machine-learning based string similarity functions have shown improvements in the accuracy[18], however they require domain-specific training data, that is often not available or costly to obtain, especially in the beginning of a project when no post logs can be used

<sup>6</sup>an open-source natural language processing toolkit for Python <http://nlk.org/>

<sup>7</sup>Even if Apache *Lucene* is assumed as the most mature of the open-source libraries, it requires Java and has large footprint. Even if that may impact the results slightly, we use *whoosh*, a python library which has no dependencies.

no fuzzy matching except stemming is currently used; one more heuristic - if terms on the ends are not used by search engine, they are useless - eliminate such results  
**TODO:** mention paper from XML retrieval

normalization: threshold based on weights signifying a good score + (maybe smoothing function)

but in our case it works already not so bad.

Finally, the same functionality could be also achieved through retrieving a list of matching fields for each keyword separately and then combining them through the scoring function, however the earlier approach allows pruning out the worst scoring token pairs and supporting the phrase search more easily. In either case, the problem of how to incorporate the IDFs and context information remains.

**Semantic similarity (not used)** While semantic matching based on freely available open-domain ontologies, such as the *WordNet*, could work well for open-domain, it do not work well in a very specific domain, out-of-the box. As the EII system at CMS currently has no specific linguistic ontology, no semantic similarity is used - if enabled, it just worsens the results by introducing false positives.

separate  
not used  
section?

### 3.3.2.2. Matching the value terms

**Regular expressions** For the most of service interfaces there exist regular expressions that constraint the *input values* accepted by services. A regular expression (regexp) match do not guarantee that a certain value exists, but also it could result in incorrect keyword interpretations, as as a regexp could be loosely defined. Thus, in the general case, the regexp matches are scored lower than matches of other matching methods. Still, some of the regular expressions are sufficiently restrictive (e.g. email), which we selectively score higher.

**Known values** For some schema terms, we have a list of possible values, that we obtained bootstrapping them through respective data service interfaces. For matching we have a number of cases, with the decreasing score: full match, partial match, and matches of keywords containing wildcards. If keyword's value matches a regular expression, but is not contained in the known values list and the accepted values of the given field are considered to be static (not changing often), we exclude this very likely false match that reduces the false positives.

there are  
some specific  
cases with  
wildcard  
matches

### 3.3.3. Step 3: Candidate-answer generation and ranking

As the last step, different combinations of the entry points (where each permutation of keyword “meanings” is called a *configuration*, defining a tagging of input keywords as schema or value terms) and ranked combining the scores of individual keywords in some way (e.g. summing of log likelihoods, averaging, described below) with addition of a couple of heuristics that boost the scores of *configurations* that “respect” the likely dependencies between the nearby keywords<sup>8</sup>.

#### The scoring functions

We experimented with two scoring functions, the first one basically averaging the scores (as it was used by Keymantic[5]), and the other of more probabilistic nature - summing the log likelihoods. There, the  $score(tag_i|kw_i)$  signifies the score assigned for scoring an individual keyword  $kw_i$  as  $tag_i$  (an entry point);  $h_j(tag_i|kw_i; tag_{i-1,...,1})$  denotes the score boost returned by heuristic  $h_j$  given a concrete tagging so far (in most cases all tags are not needed).

$$score\_avg(tags|KWQ) = \frac{\sum_{i=1}^{|KWQ|} \left( score(tag_i|kw_i) + \sum_{h_j \in H} h_j(tag_i|kw_i; tag_{i-1,...,1}) \right)}{N\_non\_stopword} \quad (3.3.2)$$

$$score\_prob(tags|KWQ) = \sum_{i=1}^{|KWQ|} \left( \ln(score(tag_i|kw_i)) + \sum_{h_j \in H} h_j(tag_i|kw_i; tag_{i-1,...,1}) \right) \quad (3.3.3)$$

Note that in the *probabilistic* approach we introduce a set of “fake” tags where keywords are not mapped to any known entity or operator (e.g. a keyword is unrecognized, or it is a stop word) and we assign some predefined score to it depending on it’s category (e.g. no score for stopword).

The two methods **seemed to perform almost equally well**, with the probabilistic approach being more sensitive to variations in scoring quality of the entry points (the scores are just estimates of our confidence, not real probabilities; the results improved with improvements to accuracy of string matching functions), however **it seems** the probabilistic approach is more exact in ranking the results when the entry point scores are quite exact.

The final scores of the probabilistic approach are also more complex to interpret (we would like to present the user with color coding which identifies the our confidence, and the scores if exponented vary much more than in averaging).

#### The heuristics and pruning out the unacceptable candidates

- Relationships between keywords:

<sup>8</sup>the nearby keywords are expected to be related[6], e.g. a configuration is promoted if the tags of nearby keywords refer to the same entity

sort of useful stopwords: where, who, when?

do we need better test data?

, still one could estimate the high and low thresholds based on initial scores



- promoting such combinations where nearby keywords refer to related schema terms (e.g. entity name and it's value)
- balance between taking the keyword or leaving it out (the one that we are unsure about)
- boost important keywords (different parts of speech are of different importance, e.g. stop-words are less useful than nouns)
- Qualities of Data Integration System:
  - promote data service inputs over filters on their results: 1) it is more efficient, especially when this is possible; 2) there are much more of possible entities to filter, so more false matches are expected there, while the service inputs shall cover large part of cases
  - if some keyword can be matched as the requested entity, and mapping of other keywords fits the service constraints
  - if requested entity and a filter condition is the same (a small increase, a common use-case is retrieving an entity given it's "primary key" identifier or a wild-card)
  - for being able to execute the query, the service constraints must be satisfied; still it could be useful to the interpretations that achieve high rank, even if they do not satisfy some constraint (e.g. a mandatory filter is missing) informing the user

Keymantic assumed all keyword have interpretation!

only stop-words are distinguished now; '(tell|show|display|filter me?)' filtered out by tokenizer  
shall we allow both as filter name and result type? no?

not yet implemented

### 3.3.4. Tuning the scoring parameters

First the individual system components have been tuned to a "sufficient" level using a number of unit tests, and manual testing. In some cases it required assigning arbitrary estimates likelihood/confidence.

Then using a number of keyword queries either written by the end users of the system, or the developer to address **marginal/specific** use cases, a number of global system parameters had been fine-tuned by hand:

- weights for regexps, etc
- not taking a keyword
- multi-word matching

### 3.3.5. Miscellanea

#### Automatically identifying the **qualities** of data services

The integration schema mappings that are used in the EII system are minimal - they only describe services, their input parameters, and mappings between inconsistently named output fields. Any other information, such as the complete listing of fields in the service outputs, or their types are identified by processing results of historical queries. To get satisfactory coverage immediately, a list of bootstrapping queries is used to initialize the most important field listings (of the services that retrieve entities by their "primary key").



## Natural Language Processing and full-sentence search

We first looked into parsing as this is a prerequisite for most other natural language processing methods such as Keyword extraction or Relation Extraction. However it didn't look worth the investment given our time constraints and our specific domain.

describes what  
was NOT  
used. future  
work?

None of the existing out-of-the-box parsers we looked at (TODO: list), didn't show good results for our specific domain, especially then natural language is mixed with technical terms, numbers, and control statements. Still, Enju<sup>9</sup>, a wide-coverage probabilistic HPSG<sup>10</sup> rule-based parser, seemed the most robust for our specific domain, even without any additional training, giving much better results than the standard packages available in NLTK. As the project scope was limited, we didn't want additional dependencies on third-party code, and natural language is still complex to interpret well, this was excluded.

## Performance

A number of methods are available for improving the performance (e.g. Munkres/Hungarian bipartite matching algorithm, or dynamic programming with assumption of maximum length of dependences) [most probably] in exchange for additional assumptions.

describes what  
was NOT  
used. future  
work?

Actually, at the CMS collaboration, implementing additional optimizations is not of highest priority: queries with the length of up to **n=8** keywords runs faster than in a second, while for most of the queries the EII system requires tens of seconds to minutes to retrieve the actual query results from the data services .

---

<sup>9</sup><http://www.nactem.ac.uk/enju/demo.html>

<sup>10</sup>Head-driven phrase structure grammar

### 3.4. Presenting the results to the user

The results presented to the user for the query *Zmmg event number > 10*, are given in fig. 3.4.1. First, it can be seen that the query is ambiguous, as the first three suggestions are equally feasible correct results - the three entities (file, block, dataset) contain the same field (“nevents”). However, if user knows the entity he is searching for, he may immediately filter only these results.

Hovering on the structured query, user sees it’s explanation (an interactive way to learn the semantics of the query language). Different elements of the query are presented visually in different colors (green is used to indicate *conditions applied as service inputs*; red is for *post\_filters applied only on service outputs*, which are more expensive in terms of performance).



Figure 3.4.1.: Presentation of keyword search results

#### 3.4.1. Entry points

**TODO:** showing entry points to the user, so used would understand why he sees results like; and could give live-feedback?

### 3.5. Incorporating User Feedback

#### 3.5.1. Live feedback through auto-completion (prototype)

While structured queries are hard to compose, keyword and natural language queries are complex for a machine to interpret because of their ambiguity or inherent complexity. Form-based interfaces has been around since many years, however with many structural items being candidates for the input, they are not very practical, while static predefined forms are limiting the user’s expressiveness even more.

**We are argue that a simple user-interface** could combine the advantages of both: properly-implemented variation of forms (which for instance could be implemented as an input widget accepting multiple tokens and providing suggestions and

here or  
separate  
chapter?

disambiguations in real time, see fig. 3.5.1). This, being a structured input, could reduce the ambiguity of the queries ; while the availability of keyword search leaves freedom for expressiveness.

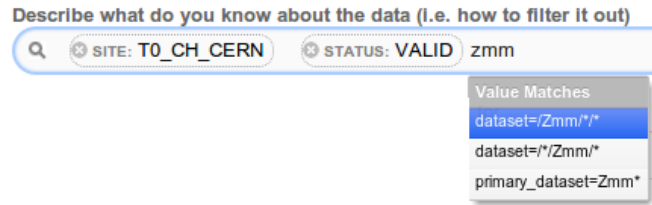


Figure 3.5.1.: prototype of auto-completion based interface

Further, such user interface can be closely integrated with keyword search - some of the suggestions would include multiple interpretations of the last term being typed, allow to get immediate user's feedback and resulting in better-defined input to the system,. This, in turn, would result in more exact query suggestions. Second, this could be potentially useful for evaluating and improving the quality of the entity matching and keyword search. **We will elaborate on this in the subsequent sections.**

### 3.5.2. Customization and user-preferences

### 3.5.3. Using the feedback for self-improvement

First, the implicit feedback from auto-completion could be useful for evaluating and improving the quality of the entity matching (learned edit-distance metrics, updating the weights of different matching metrics).

**Second, this could serve as training data for machine learning-based algorithms, however**

There are many ways to employ the users feedback, from .

- live feedback
  - more: if unsure, ask user to specify the interpretation of his query (like SODA but with options) or even while waiting for results – calculating entry points is cheap. evaluating all interpretations is more expensive even with performance optimizations (keymantic was up to 6s)
- influence keyword to schema term matching
  - similarity metrics and their weights
  - allow users to add new: [this is the explicit feedback, that is more valuable than implicit]
    - \* values for schema entities
    - \* synonyms for schema terms
- weights on particular entities or notes in schema graph: SODA [12]

shall be per  
user or per  
user-group!  
SODA didn't  
use any  
clustering?

- promote/demote query suggestions - machine learning

## 4. Evaluation

### 4.1. Accuracy

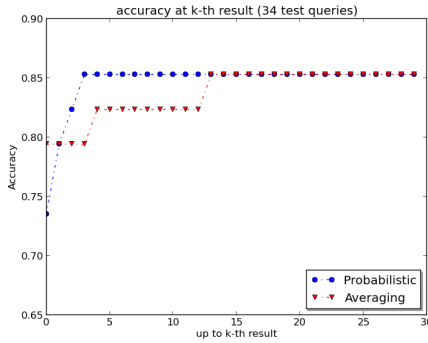


Figure 4.1.1.: Accuracy comparison of the two scoring methods at kth result

At the moment we used 34 test queries written by the end users (around half), or by the developer (to address some specific cases, such as preference of service inputs over filters on their outputs). We use the measure of accuracy at k-th result (i.e. is there expected result among the k top results) for the evaluation.

As seen in figure 4.1.1, with current test data, the difference between “averaging” and the “probabilistic” scoring functions (see section 3.3.3 for details) is not large.

The “averaging method” performing slightly better on the first result, but if we consider more than the first result, the “probabilistic” log-likelihood based function performs better, at the fourth result reaching the maximum accuracy of 0.853. From this, it seems that the latter method perform better (as one could have expected), but it requires better score estimates. For instance, currently the multi-term scores that are based almost directly on the BM25F function (i.e.  $score / \max(goodscore, maxscore)$ ) seem to be not exact enough, nor the IR ranking (which could require adjusting the field weights, the BM25F parameters or slightly modifying it, to account for not clean field titles, repeated irrelevant term, or the fact that same terms could appear in the field-name and it’s title).

It is expected to gather more real-world query examples from the users during the upcoming presentations to the CMS Collaboration (they were not possible earlier due to busy schedules at CMS).

### 4.2. Users feedback

Users liked the idea of auto-completion, both because it could autocomplete the schema terms, and some of the value terms. Regarding the keyword search, there there two

groups of users: 1) the advanced users who are used to query languages and how the organization of the data, who did not express big interest in keyword search, and others, 2) others that liked it. Still, both groups liked the fact that sometimes they could find what they didn't know how to query for it, or even didn't know it may exist.

Because the end users were extremely busy during the last month, only preliminary feedback is available to date. More feedback is expected in close future.

## 5. Related Work

In addition to the few most closely related works namely Keymantic[6, 5] and KEYRY [3] presented earlier, significant amounts of research exists in more distant fields presented below.

**Enterprise Information Integration** During the last 15 years, significant experience has been accumulated in the field of *Enterprise Information Integration*<sup>1</sup> (EII) including: data integration formalisms, ways of describing heterogeneous data sources and their abilities (e.g. database vs web form), query optimization (combining sources efficiently, source overlap, data quality, etc) [11]. Recent research in Enterprise Information Integration mostly focused on approaches minimizing human efforts on source integration, e.g. on probabilistic self-improving EII systems [1, ch.19].

TODO:  
introduce;  
mention  
the closest  
ones: Key-  
mantic/KEYRY;  
compare  
with our  
work (?);  
works on  
user feed-  
back?  
where?!

**String and Entity Matching** provides the possible interpretations of individual keywords, as entry points for further processing. From the fields of information retrieval, entity and string matching, vast amounts of works exist, including various methods for calculating string, word and phrase similarities: string-edit distances, learned string distances [18], and frameworks for semantic similarity.

**Natural Language Processing (NLP)** could be useful in gaining the better understanding of the meaning of a question or a query. Large amount of works exist on Question Answering and NLP including: question focus extraction identifying the requested entit(-ies), parsing into predicate argument structure providing more generic representation of a sentence (e.g. removing differences between passive and active voices) simplifies further analysis, the relation extraction allows grasping more exact relationships between constituents of a clause<sup>2</sup>, word sense disambiguation and other semantic techniques allow choosing more semantically correct interpretations.

It is worth mentioning, that the current state-of-the art methods such as the *IBM Watson* [9], a complex open-domain question answering system, do not even try gaining the complete understanding of the question (which is still a very challenging task), but focuses instead on scoring and analysing the alternative interpretations of questions and result candidates.

**NL interfaces to Data Services:** [10] attempts to process multi-domain full-sentence natural language queries over web-services. It uses focus extraction to find the focus entity, splits the query into constituents (sub-questions), classifies the domain of each constituent, and then tries to combine and resolve these constituents

<sup>1</sup>Enterprise Information Integration (EII) is about 'integrating data from multiple sources *without* having to first load data into a central warehouse'[11, page 1]

<sup>2</sup>especially good for a small predefined set of important relations, but requires lots of manual work; less common relations can be covered through machine-learning based relation extraction, but that requires large corpus[21]

over the data service interfaces (tries recognizing the intent modifiers [e.g. adjectives] as parameters to services). ( too ambitious/not-mature; open domain, real natural language questions - a bit farther from our focus, our domain is very specific.).

**Searching structured DBs** The problem of keyword search over relational and other structured databases received a significant attention within the last decade. It was explored from a number of perspectives: returning top-k ranked data-tuples [17] vs suggesting structured queries as SQL [7], performance optimization, **user feedback mechanisms**, keyword searching over distributed sources, up to lightweight exploratory<sup>3</sup> probabilistic data integration based on users-feedback that minimize the upfront human effort required [1, ch.16]. On the other extreme, the *SODA* [7] system has proved that if enough meta-data is in place, even quite complex queries given in business terms could be answered over a large and complex warehouse.

what in addition to schema mappings for item-based ranking?

---

<sup>3</sup>because of probabilistic nature of schema mappings, it do not provide 100% result exactness



## 6. Future work and Conclusions

Keyword search over data services is still lacking attention from research community.

In addition to structured query languages, this could help in learning and getting results more quickly.

We presented ...

Future work...

---

### Future work (technical notes):

- Client-side implementation of keyword search - large parts of keyword search could be moved to client-side, saving the server resources. A couple of issues exist: making sure that the load is not too high and synchronizing the logs. The first one could be solved by using so called worker threads. <http://www.w3.org/TR/workers/>  
<http://www.sitepoint.com/javascript-execution-browser-limits/>
- web sockets for auto-completion
- better interpretation of patterns in keyword queries
  - NL - focus
  - semi-structured? - (weak|shallow|permissive) parsing of DAS QL

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## A. Complete list of Project Deliverables/Artefacts

1. Keyword search engine and related components (as described in chapter 3)
  - a) design, implementation, user interface, and tuning of its parameters; including implementation of various entity matching techniques & heuristics
  - b) prototype of advanced auto-completion input widget (as described in section 3.5.1)
  - c) slight relaxation of DASQL (as described in appendixB.1)
    - i. prototype implementation of “simple service orchestration” even then the existing fields are not known in advance
  - d) bootstrapping of: 1) allowed values, 2) fields in service results
2. log analysis and data service performance benchmarking at CMS
  - a) development of tools for log analysis and data service performance benchmarking (per query types)
  - b) presented solutions for data service providers (see appendix B.2)
3. user surveys, presentations and tutorials at the CMS Collaboration
  - a) interim report to developers
  - b) cooperation with a selected group of  $\sim 5+$  users on constant feedback on the system developed
  - c) tutorial for Computing Operators group on EII at CERN (DAS query language, the constraints, and introduction to keyword search)
  - d) Future: at least two more presentations/tutorials to come in the week of 18th of March (CMS Computing & Offline Week for meetings) to get further exposure and feedback from the CMS Collaboration

## B. Data Integration “War stories” at the CMS Experiment

or just: Problem solutions

### B.1. Relaxation of the Query Language

shall we put the solutions here or somewhere lower in the paper after describing Keyword Search?

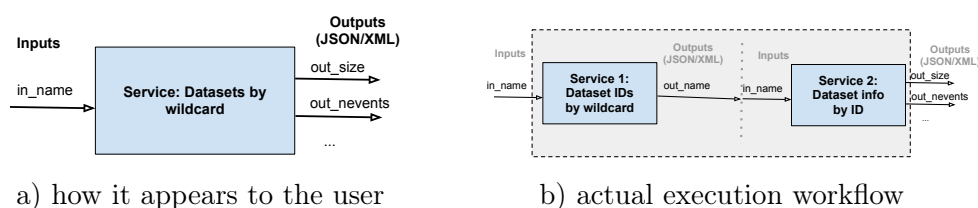


Figure B.1.1.: Simple service orchestration

Initially the queries had to operate exactly on the fields returned by data services...

The fields that are contained in the results of retrieving some entity depend on the filtering conditions (sometimes it contains all the fields, sometimes only the “primary key” allowing to identify the entity<sup>1</sup>). This is creating additional confusion for the users, as it is not easy to figure out where and how to find what the user is searching for.

implementation of simple compound queries so that an entity could always return same list of fields, easy to implement and very useful for users

- makes the system much more clear
- that even makes keyword searching easier to implement, as we could assume that all the fields are almost “stable” for each entity being returned (except a couple of exceptional cases, which could be check afterwards)

### B.2. Solutions to the Performance Issues

Analysing query logs and benchmarking the most popular queries, it was found out that most of the performance issues were due to large data amounts of data the providers are processing, including some unnecessarily work being repeated or requested without need (due to current limitations of services, which are not under our direct control).

<sup>1</sup>e.g. 'dataset dataset=/ZMM/Summer11-DESIGN42\_V11\_428\_SLHC1-v1/GEN-SIM' contains all the possible fields, while 'dataset dataset=/zmm/\*/\*' only the dataset.name and couple of others

## Incremental view maintenance

In the cases when new records are coming, but the existing ones are not changing much, the incremental view maintenance that computes only differences from earlier results could be a fairly easy solution for greatly improving the performance of queries containing heavy joins and/or aggregations.

This is exactly the case with the most popular expensive query over the DBS system (80GB of data + 280GB of indexes): *'find files where run in [r1, r2, r3] and dataset=X'* that requires joining most of the biggest tables in the database (number of tuples in parenthesis, arrow indicate join direction):

Dataset (164K rows) -> Block (2M) -> Files (31M) -> FileRunLumi (902M) <- Runs (65K)

Having a materialized view with all these tables joined together would allow answering such queries much quicker. Given low change rates (in comparison to data already present), maintaining the view incrementally should be comparatively cheap with the only expense of just couple of times of storage space (storage is bound by the size of the largest table anyway).

In Oracle, which is the standard back-end, the *materialized refresh fast views with query rewriting* provide a completely transparent operation not requiring any changes to the proprietary system. Still, it has a couple of limitations on the queries and the ways of refreshing the view[8]. Alternatively, some another continuous view maintenance tool (e.g. DBToaster<sup>2</sup>) could be used, however this is not be as transparent as the earlier solution.

## Pagination and Sorting of results

As many of the queries on the web interface are exploratory and request only the first page of results, supporting pagination is one of the major factors towards performance improvements. As the DAS system is combining records from multiple systems, pagination also requires retrieving results from the data providers in an ordering that is common among the services (in many cases that can be the "Primary key" of the entity that is being requested; however, some cases are more complex from the side of data provider: an ordering not supported by database indexes could induce full table scan!).

## Estimating query running time

not yet implemented

Tracking of the execution time of each data-service, **was proposed to be implemented**, that would inform user of long lasting queries, starting them only with his confirmation

It has been chosen to track the mean of execution time, and its standard deviation. Knuth has shown that the standard deviation can be efficiently computed in an online fashion without need to store each individual value, nor recomputing everything from scratch [13, p. 232].

Because the input parameters passed to the service may heavily impact the service performance, we differentiate between these parameter types: 1) some specific value, 2) a value with wild-card (presumably returning more results than specific value as it may match multiple values), 3) not provided (matches all values). So we store only four values per each different combination of data-service input parameter's.

---

<sup>2</sup><http://www.dbtoaster.org> that is being developed at EPFL