# Regular Expressions and Finite State Automata

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(Based on slides by Jurafsky & Martin, Julia Hirschberg)

# Regular Expressions and Text Searching

- Everybody does it
  - Emacs, vi, perl, grep, etc...
- Regular expressions are a compact textual representation of a set of strings representing a language.

RE	Example Patterns Matched
/woodchucks/	"interesting links to woodchucks and lemurs"
/a/	"Mary Ann stopped by Mona's"
/Claire_says,/	""Dagmar, my gift please," Claire says,"
/DOROTHY/	"SURRENDER DOROTHY"
/!/	"You've left the burglar behind again!" said Nor.

RE	Match	Example Patterns
/[wW]oodchuck/	Woodchuck or woodchuck	"Woodchuck"
/[abc]/	'a', 'b', <i>or</i> 'c'	"In uomini, in soldati"
/[1234567890]/	any digit	"plenty of <u>7</u> to 5"

RE	Match	Example Patterns Matched
/[A-Z]/	an upper case letter	"we should call it 'Drenched Blossoms'"
/[a-z]/	a lower case letter	"my beans were impatient to be hoed!"
/[0-9]/	a single digit	"Chapter 1: Down the Rabbit Hole"

RE	Match (single characters)	Example Patterns Matched
[^A-Z]	not an upper case letter	"Oyfn pripetchik"
[^Ss]	neither 'S' nor 's'	"I have no exquisite reason for't"
[ ^ \ . ]	not a period	"our resident Djinn"
[e^]	either 'e' or '^'	"look up _ now"
a^b	the pattern 'a^b'	"look up <u>a^ b</u> now"

RE	Match	Example Patterns Matched
woodchucks?	woodchuck or woodchucks	"woodchuck"
colou?r	color or colour	"colour"

RE	Match	Example Patterns	
/beg.n/	any character between beg and n	begin, beg'n, begun	

```
Parenthesis ()
Counters * + ? {}
Sequences and anchors the ^my end$
Disjunction |
```

RE	Expansion	Match	Examples
\d	[0-9]	any digit	Party_of_ <u>5</u>
\D	[^0-9]	any non-digit	Blue_moon
/w	$[a-zA-Z0-9_]$	any alphanumeric/underscore	<u>D</u> aiyu
\W	[^\w]	a non-alphanumeric	<u>!</u> !!!
\s	[	whitespace (space, tab)	
\s	[^\s]	Non-whitespace	<u>i</u> n_Concord

RE	Match	Example Patterns Matched
\*	an asterisk "*"	"K <u>*</u> A*P*L*A*N"
١.	a period "."	"Dr. Livingston, I presume"
/3	a question mark	"Why don't they come and lend a hand?"
\n	a newline	
\t	a tab	

#### **Example**

- Find all the instances of the word "the" in a text.
  - /the/
  - /[tT]he/
  - /\b[tT]he\b/

#### **Errors**

- The process we just went through was based on two fixing kinds of errors
  - Matching strings that we should not have matched (there, then, other)
    - False positives (Type I)
  - Not matching things that we should have matched (The)
    - False negatives (Type II)

#### **Errors**

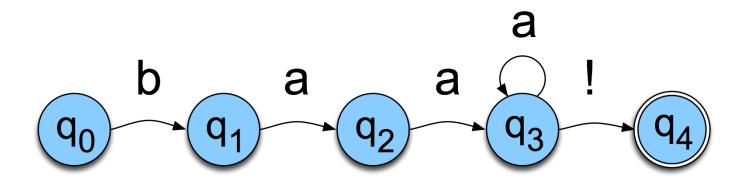
- We'll be telling the same story for many tasks, all semester. Reducing the error rate for an application often involves two antagonistic efforts:
  - Increasing accuracy, or precision, (minimizing false positives)
  - Increasing coverage, or recall, (minimizing false negatives).

#### **Finite State Automata**

- Regular expressions can be viewed as a textual way of specifying the structure of finite-state automata.
- FSAs and their probabilistic relatives are at the core of much of what we'll be doing all semester.
- They also capture significant aspects of what linguists say we need for morphology and parts of syntax.

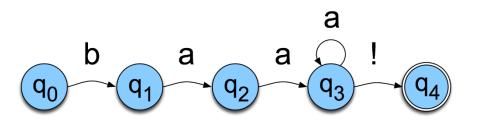
## **FSAs as Graphs**

- Let's start with the sheep language from Chapter 2
  - ♦ /baa+!/



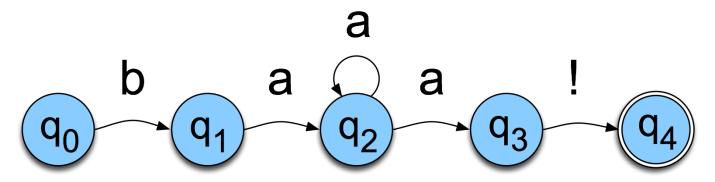
### **Sheep FSA**

- We can say the following things about this machine
  - It has 5 states
  - b, a, and ! are in its alphabet
  - q<sub>0</sub> is the start state
  - q<sub>4</sub> is an accept state
  - It has 5 transitions



#### **But Note**

 There are other machines that correspond to this same language



More on this one later

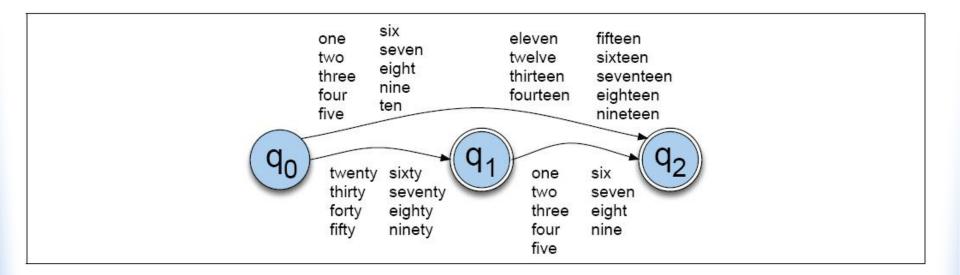
## **More Formally**

- You can specify an FSA by enumerating the following things.
  - The set of states: Q
  - A finite alphabet: Σ
  - A start state
  - A set of accept/final states
  - A transition function that maps QxΣ to Q

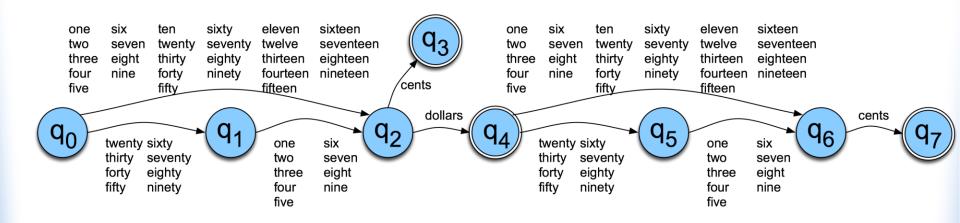
## **About Alphabets**

- Don't take term alphabet word too narrowly; it just means we need a finite set of symbols in the input.
- These symbols can and will stand for bigger objects that can have internal structure.

#### **Dollars and Cents**



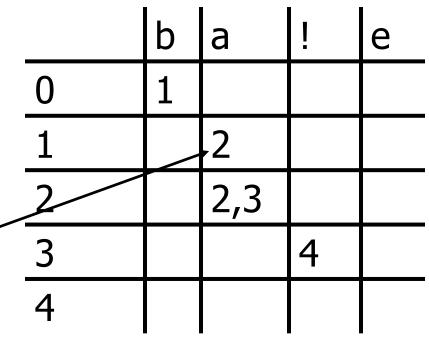
#### **Dollars and Cents**

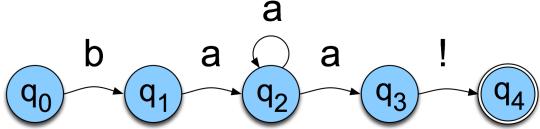


#### **Yet Another View**

 The guts of FSAs can ultimately be represented as tables

If you're in state 1 and you're looking at an a, go to state 2



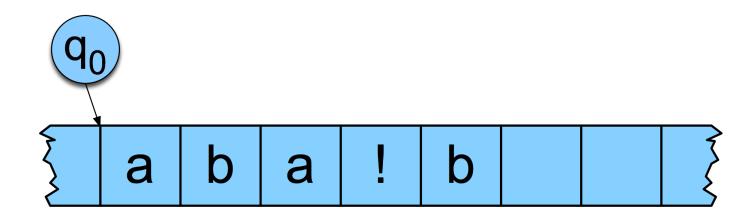


### Recognition

- Recognition is the process of determining if a string should be accepted by a machine
- Or... it's the process of determining if a string is in the language we're defining with the machine
- Or... it's the process of determining if a regular expression matches a string
- Those all amount the same thing in the end

### Recognition

 Traditionally, (Turing's notion) this process is depicted with a tape.



### Recognition

- Simply a process of starting in the start state
- Examining the current input
- Consulting the table
- Going to a new state and updating the tape pointer.
- Until you run out of tape.

### **D-Recognize**

**function** D-RECOGNIZE(tape, machine) **returns** accept or reject  $index \leftarrow Beginning of tape$ current-state ← Initial state of machine loop if End of input has been reached then if current-state is an accept state then return accept else return reject **elsif** transition-table[current-state,tape[index]] is empty **then** return reject else current-state  $\leftarrow$  transition-table[current-state,tape[index]]  $index \leftarrow index + 1$ end

## **Key Points**

- Deterministic means that at each point in processing there is always one unique thing to do (no choices).
- D-recognize is a simple table-driven interpreter
- The algorithm is universal for all unambiguous regular languages.
  - To change the machine, you simply change the table.

### **Key Points**

- Crudely therefore... matching strings with regular expressions (ala Perl, grep, etc.) is a matter of
  - translating the regular expression into a machine (a table) and
  - passing the table and the string to an interpreter

### **Recognition as Search**

- You can view this algorithm as a trivial kind of state-space search.
- States are pairings of tape positions and state numbers.
- Operators are compiled into the table
- Goal state is a pairing with the end of tape position and a final accept state
- It is trivial because?

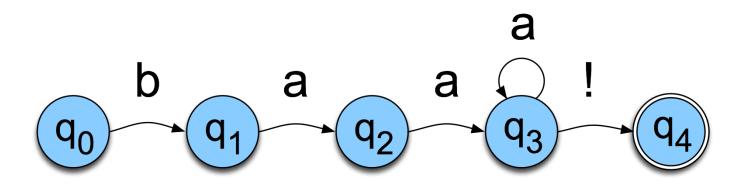
#### **Generative Formalisms**

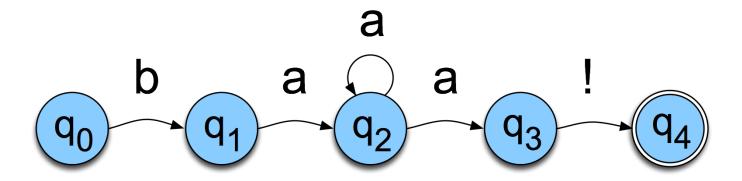
- Formal Languages are sets of strings composed of symbols from a finite set of symbols.
- Finite-state automata define formal languages (without having to enumerate all the strings in the language)
- The term *Generative* is based on the view that you can run the machine as a generator to get strings from the language.

#### **Generative Formalisms**

- FSAs can be viewed from two perspectives:
  - Acceptors that can tell you if a string is in the language
  - Generators to produce all and only the strings in the language

#### **Non-Determinism**





### **Equivalence**

- Non-deterministic machines can be converted to deterministic ones with a fairly simple construction
- That means that they have the same power; non-deterministic machines are not more powerful than deterministic ones in terms of the languages they can accept

## **ND** Recognition

- Two basic approaches (used in all major implementations of regular expressions, see Friedl 2006)
  - Either take a ND machine and convert it to a D machine and then do recognition with that.
  - 2. Or explicitly manage the process of recognition as a state-space search (leaving the machine as is).

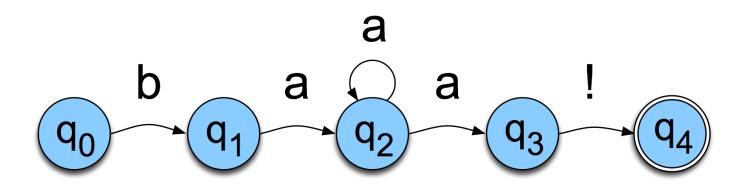
# **Non-Deterministic Recognition: Search**

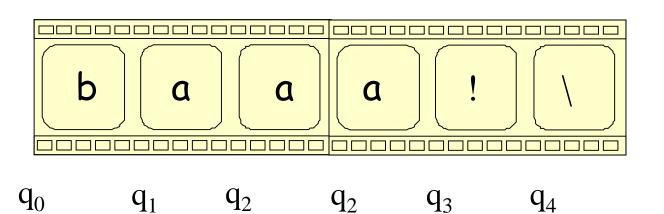
- In a ND FSA there exists at least one path through the machine for a string that is in the language defined by the machine.
- But not all paths directed through the machine for an accept string lead to an accept state.
- No paths through the machine lead to an accept state for a string not in the language.

# Non-Deterministic Recognition

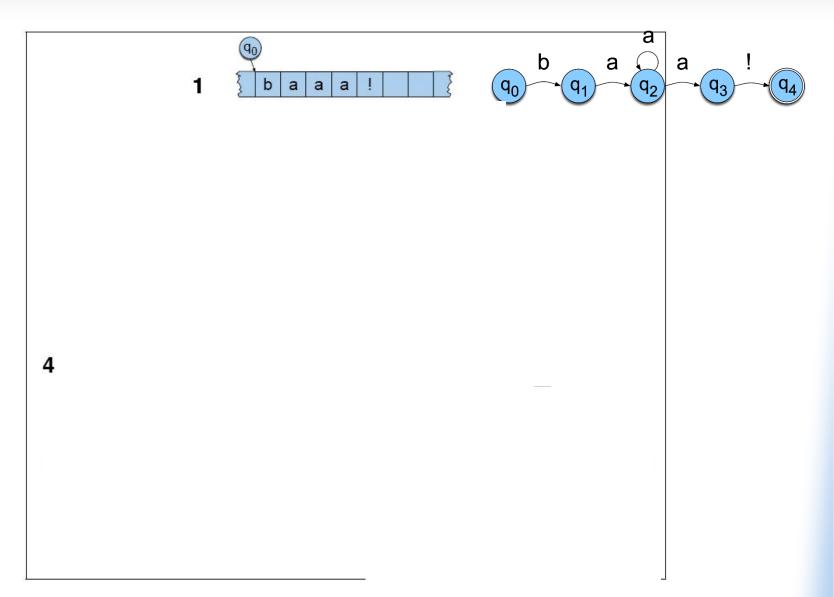
- So success in non-deterministic recognition occurs when a path is found through the machine that ends in an accept.
- Failure occurs when all of the possible paths for a given string lead to failure.

## Example





## Example



### **Key Points**

- States in the search space are pairings of tape positions and states in the machine.
- By keeping track of as yet unexplored states, a recognizer can systematically explore all the paths through the machine given an input.

## Why Bother?

- Non-determinism doesn't get us more formal power and it causes headaches so why bother?
  - More natural (understandable) solutions

## FSTs (Contd)

#### **FST-based Tokenization**

```
#!/usr/bin/perl
$letternumber = "[A-Za-z0-91";
notletter = "[^A-Za-z0-9]";
$alwayssep = "[\\?!()\";/\\|']";
$clitic = "('|:|-|'S|'D|'M|'LL|'RE|'VE|N'T|'s|'d|'m|'ll|'re|'ve|n't)";
$abbr{"Co."} = 1; $abbr{"Dr."} = 1; $abbr{"Jan."} = 1; $abbr{"Feb."} = 1;
while ($line = <>){ # read the next line from standard input
    # put whitespace around unambiguous separators
    $line = s/$alwayssep/ $& /q;
    # put whitespace around commas that aren't inside numbers
    \frac{1}{9} \sin = \frac{3}{([^0-9])}, \frac{3}{1}, \frac{7}{9};
    \frac{1}{q}
    # distinguish singlequotes from apostrophes by
    # segmenting off single quotes not preceded by letter
    $line = s/'/$& /q;
    $line = s/($notletter)'/$1 '/q;
    # segment off unambiguous word-final clitics and punctuation
    $line = s/$clitic$/ $&/q;
    $line = s/$clitic($notletter)/ $1 $2/g;
   # now deal with periods. For each possible word
   @possiblewords=split(/\s+/,$line);
   foreach $word (@possiblewords) {
      # if it ends in a period,
      if ((Sword = /Sletternumber\./)
             && !(Sabbr(Sword)) # and isn't on the abbreviation list
                # and isn't a sequence of letters and periods (U.S.)
                # and doesn't resemble an abbreviation (no vowels: Inc.)
             && ! ($word =~
                 /^([A-Za-z]\.([A-Za-z]\.)+ [A-Z][bcdfqhj-nptvxz]+\.)$/)) {
          # then segment off the period
          $word = s/\.$/ \./;
      # expand clitics
      $word = s/'ve/have/;
      $word = s/'m/am/:
      print $word," ";
print "\n";
```

### Porter Stemmer (1980)

Common algorithm for stemming English

- Conventions + 5 phases of reductions
  - phases applied sequentially
  - each phase consists of a set of commands
  - sample convention: Of the rules in a compound command, select the one that applies to the longest suffix.

### Porter Stemmer (1980)

- Standard, very popular and usable stemmer (IR, IE) – identify a word's stem
- Sequence of cascaded rewrite rules, e.g.
  - IZE  $\rightarrow \epsilon$  (e.g. unionize  $\rightarrow$  union)
  - CY → T (e.g. frequency → frequent)
  - ING  $\rightarrow \epsilon$  , if stem contains vowel (motoring  $\rightarrow$  motor)
- Can be implemented as a lexicon-free FST (many implementations available on the web)
- http://text-processing.com/demo/stem/

#### **Summing Up**

- Regular expressions and FSAs can represent subsets of natural language as well as regular languages
  - Both representations may be difficult for humans to use for any real subset of a language
  - Can be hard to scale up: e.g., when many choices at any point
  - But quick, powerful and easy to use for small problems
  - AT&T Finite State Toolkit does scale
- Finite state transducers and rules are common ways to incorporate linguistic ideas in NLP for small applications