# Federal Information Processing Standards Publication 180-2

#### **2002 August 1**

#### **Announcing the**

# SECURE HASH STANDARD

Federal Information Processing Standards Publications (FIPS PUBS) are issued by the National Institute of Standards and Technology (NIST) after approval by the Secretary of Commerce pursuant to Section 5131 of the Information Technology Management Reform Act of 1996 (Public Law 104-106), and the Computer Security Act of 1987 (Public Law 100-235).

- 1. Name of Standard: Secure Hash Signature Standard (SHS) (FIPS PUB 180-2).
- **2.** Category of Standard: Computer Security Standard, Cryptography.
- **3. Explanation**: This Standard specifies four secure hash algorithms SHA-1, SHA-256, SHA-384, and SHA-512 for computing a condensed representation of electronic data (message). When a message of any length  $< 2^{64}$  bits (for SHA-1 and SHA-256) or  $< 2^{128}$  bits (for SHA-384 and SHA-512) is input to an algorithm, the result is an output called a message digest. The message digests range in length from 160 to 512 bits, depending on the algorithm. Secure hash algorithms are typically used with other cryptographic algorithms, such as digital signature algorithms and keyed-hash message authentication codes, or in the generation of random numbers (bits).

The four hash algorithms specified in this standard are called secure because, for a given algorithm, it is computationally infeasible 1) to find a message that corresponds to a given message digest, or 2) to find two different messages that produce the same message digest. Any change to a message will, with a very high probability, result in a different message digest. This will result in a verification failure when the secure hash algorithm is used with a digital signature algorithm or a keyed-hash message authentication algorithm.

This standard supersedes FIPS 180-1, adding three algorithms that are capable of producing larger message digests. The SHA-1 algorithm specified herein is the same algorithm that was specified previously in FIPS 180-1, although some of the notation has been modified to be consistent with the notation used in the SHA-256, SHA-384, and SHA-512 algorithms.

- **4. Approving Authority**: Secretary of Commerce.
- **5. Maintenance Agency**: U.S. Department of Commerce, National Institute of Standards and Technology (NIST), Information Technology Laboratory (ITL).

- **6. Applicability**: This standard is applicable to all Federal departments and agencies for the protection of sensitive unclassified information that is not subject to section 2315 of Title 10, United States Code, or section 3502(2) of Title 44, United States Code. This standard shall be implemented whenever a secure hash algorithm is required for Federal applications, including use by other cryptographic algorithms and protocols. The adoption and use of this standard is available to private and commercial organizations.
- **7. Specifications**: Federal Information Processing Standard (FIPS) 180-2, Secure Hash Standard (SHS) (affixed).
- **8. Implementations:** The secure hash algorithms specified herein may be implemented in software, firmware, hardware or any combination thereof. Only algorithm implementations that are validated by NIST will be considered as complying with this standard. Information about the planned validation program can be obtained at <a href="http://csrc.nist.gov/cryptval/">http://csrc.nist.gov/cryptval/</a> or from the National Institute of Standards and Technology, Information Technology Laboratory, Attn: SHS Validation, 100 Bureau Drive Stop 8930, Gaithersburg, MD 20899-8930.
- **9. Implementation Schedule**: This standard becomes effective on February 1, 2003.
- **10. Patents**: Implementations of the secure hash algorithms in this standard may be covered by U.S. or foreign patents.
- 11. Export Control: Certain cryptographic devices and technical data regarding them are subject to Federal export controls. Exports of cryptographic modules implementing this standard and technical data regarding them must comply with these Federal regulations and be licensed by the Bureau of Export Administration of the U.S. Department of Commerce. Applicable Federal government export controls are specified in Title 15, Code of Federal Regulations (CFR) Part 740.17; Title 15, CFR Part 742; and Title 15, CFR Part 774, Category 5, Part 2.
- **12. Qualifications:** While it is the intent of this standard to specify general security requirements for generating a message digest, conformance to this standard does not assure that a particular implementation is secure. The responsible authority in each agency or department shall assure that an overall implementation provides an acceptable level of security. This standard will be reviewed every five years in order to assess its adequacy.
- **13. Waiver Procedure.** Under certain exceptional circumstances, the heads of Federal agencies, or their delegates, may approve waivers to Federal Information Processing Standards (FIPS). The heads of such agencies may redelegate such authority only to a senior official designated pursuant to Section 3506(b) of Title 44, U.S. Code. Waivers shall be granted only when compliance with this standard would
  - a. adversely affect the accomplishment of the mission of an operator of a Federal computer system or
  - b. cause a major adverse financial impact on the operator that is not offset by government-wide savings.

Agency heads may act upon a written waiver request containing the information detailed above. Agency heads may also act without a written waiver request when they determine that conditions for meeting the standard cannot be met. Agency heads may approve waivers only by a written decision that explains the basis on which the agency head made the required finding(s). A copy of each such decision, with procurement sensitive or classified portions clearly identified, shall be sent to: National Institute of Standards and Technology; ATTN: FIPS Waiver Decision, Information Technology Laboratory, 100 Bureau Drive, Stop 8900, Gaithersburg, MD 20899-8900.

In addition, a notice of each waiver granted and each delegation of authority to approve waivers shall be sent promptly to the Committee on Government Operations of the House of Representatives and the Committee on Government Affairs of the Senate and shall be published promptly in the Federal Register.

When the determination on a waiver applies to the procurement of equipment and/or services, a notice of the waiver determination must be published in the Commerce Business Daily as a part of the notice of solicitation for offers of an acquisition or, if the waiver determination is made after that notice is published, by amendment to such notice.

A copy of the waiver, any supporting documents, the document approving the waiver and any supporting and accompanying documents, with such deletions as the agency is authorized and decides to make under Section 552(b) of Title 5, U.S. Code, shall be part of the procurement documentation and retained by the agency.

**14. Where to Obtain Copies of the Standard**: This publication is available electronically by accessing <a href="http://csrc.nist.gov/publications/">http://csrc.nist.gov/publications/</a>. A list of other available computer security publications, including ordering information, can be obtained from NIST Publications List 91, which is available at the same web site. Alternatively, copies of NIST computer security publications are available from: National Technical Information Service (NTIS), 5285 Port Royal Road, Springfield, VA 22161.

# Federal Information Processing Standards Publication 180-2

# **2002 August 1**

# Specifications for the

# SECURE HASH STANDARD

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# 1. INTRODUCTION

This standard specifies four secure hash algorithms, SHA-1<sup>1</sup>, SHA-256, SHA-384, and SHA-512. All four of the algorithms are iterative, one-way hash functions that can process a message to produce a condensed representation called a *message digest*. These algorithms enable the determination of a message's integrity: any change to the message will, with a very high probability, result in a different message digest. This property is useful in the generation and verification of digital signatures and message authentication codes, and in the generation of random numbers (bits).

Each algorithm can be described in two stages: preprocessing and hash computation. Preprocessing involves padding a message, parsing the padded message into *m*-bit blocks, and setting initialization values to be used in the hash computation. The hash computation generates a *message schedule* from the padded message and uses that schedule, along with functions, constants, and word operations to iteratively generate a series of hash values. The final hash value generated by the hash computation is used to determine the message digest.

The four algorithms differ most significantly in the number of bits of security that are provided for the data being hashed – this is directly related to the message digest length. When a secure hash algorithm is used in conjunction with another algorithm, there may be requirements specified elsewhere that require the use of a secure hash algorithm with a certain number of bits of security. For example, if a message is being signed with a digital signature algorithm that provides 128 bits of security, then that signature algorithm may require the use of a secure hash algorithm that also provides 128 bits of security (e.g., SHA-256).

Additionally, the four algorithms differ in terms of the size of the blocks and words of data that are used during hashing. Figure 1 presents the basic properties of all four secure hash algorithms.

			Security <sup>2</sup> (bits)
-			80
-			128
-			192
-			256

Figure 1: Secure Hash Algorithm Properties

The SHA-1 algorithm specified in this document is identical to the SHA-1 algorithm specified in FIPS 180-1 [180-1]. However, this specification, FIPS 180-2, uses ROTI<sup>n</sup>(X) instead of S<sup>n</sup>(X) [180-1] to denote "circular left shift

<sup>1].</sup> However, this specification, FIPS 180-2, uses  $ROTL^n(X)$  instead of  $S^n(X)$  [180-1] to denote "circular left shift by n bits" (i.e., "left rotation by n bits"). This is described in Sec. 3.2. Some other notational changes have been made in order to be consistent with the specifications for SHA-256, SHA-384, and SHA-512.

<sup>&</sup>lt;sup>2</sup> In this context, "security" refers to the fact that a birthday attack [HAC] on a message digest of size n produces a collision with a workfactor of approximately  $2^{n/2}$ .

# 2. **DEFINITIONS**

# 2.1 Glossary of Terms and Acronyms

Bit A binary digit having a value of 0 or 1.

Byte A group of eight bits.

FIPS Federal Information Processing Standard.

Word A group of either 32 bits (4 bytes) or 64 bits (8 bytes), depending on the

secure hash algorithm.

# 2.2 Algorithm Parameters, Symbols, and Terms

#### 2.2.1 Parameters

The following parameters are used in the secure hash algorithm specifications in this standard.

a, b, c, ..., h Working variables that are the w-bit words used in the computation of the

hash values,  $H^{(i)}$ .

 $H^{(i)}$  The  $i^{th}$  hash value.  $H^{(0)}$  is the *initial* hash value;  $H^{(N)}$  is the *final* hash value

and is used to determine the message digest.

 $H_i^{(i)}$  The  $j^{th}$  word of the  $i^{th}$  hash value, where  $H_0^{(i)}$  is the left-most word of hash

value i.

 $K_t$  Constant value to be used for iteration t of the hash computation.

k Number of zeroes appended to a message during the padding step.

 $\ell$  Length of the message, M, in bits.

m Number of bits in a message block,  $M^{(i)}$ .

M Message to be hashed.

 $M^{(i)}$  Message block i, with a size of m bits.

 $M_i^{(i)}$  The  $j^{\text{th}}$  word of the  $i^{\text{th}}$  message block, where  $M_0^{(i)}$  is the left-most word of

message block i.

*n* Number of bits to be rotated or shifted when a word is operated upon.

Number of blocks in the padded message.

T Temporary w-bit word used in the hash computation.

w Number of bits in a word.

 $W_t$  The  $t^{th}$  w-bit word of the message schedule.

## 2.2.2 Symbols

The following symbols are used in the secure hash algorithm specifications, and each operates on w-bit words.

A Bitwise AND operation.

∨ Bitwise OR ("inclusive-OR") operation.

⊕ Bitwise XOR ("exclusive-OR") operation.

¬ Bitwise complement operation.

+ Addition modulo  $2^w$ .

Left-shift operation, where x << n is obtained by discarding the left-most n bits of the word x and then padding the result with n zeroes on the right.

>> Right-shift operation, where x >> n is obtained by discarding the right-most n bits of the word x and then padding the result with n zeroes on the left.

# 3. NOTATION AND CONVENTIONS

# 3.1 Bit Strings and Integers

The following terminology related to bit strings and integers will be used.

- 1. A hex digit is an element of the set {0, 1,..., 9, a,..., f}. A hex digit is the representation of a 4-bit string. For example, the hex digit "7" represents the 4-bit string "0111", and the hex digit "a" represents the 4-bit string "1010".
- 2. A *word* is a *w*-bit string that may be represented as a sequence of hex digits. To convert a word to hex digits, each 4-bit string is converted to its hex digit equivalent, as described in (1) above. For example, the 32-bit string

```
1010 0001 0000 0011 1111 1110 0010 0011
```

can be expressed as "a103fe23", and the 64-bit string

can be expressed as "a103fe2332ef301a".

Throughout this specification, the "big-endian" convention is used when expressing both 32- and 64-bit words, so that within each word, the most significant bit is stored in the left-most bit position.

3. An *integer* may be represented as a word or pair of words. A word representation of the message length,  $\ell$ , in bits, is required for the padding techniques of Sec. 5.1.

An integer between 0 and  $2^{32}$ -1 *inclusive* may be represented as a 32-bit word. The least significant four bits of the integer are represented by the right-most hex digit of the word representation. For example, the integer  $291 = 2^8 + 2^5 + 2^1 + 2^0 = 256+32+2+1$  is represented by the hex word 00000123.

The same holds true for an integer between 0 and  $2^{4}$ -1 *inclusive*, which may be represented as a 64-bit word.

If Z is an integer,  $0 \le Z < 2^{64}$ , then  $Z = 2^{32}X + Y$ , where  $0 \le X < 2^{32}$  and  $0 \le Y < 2^{32}$ . Since X and Y can be represented as 32-bit words x and y, respectively, the integer Z can be represented as the pair of words (x, y). This property is used for SHA-1 and SHA-256.

If Z is an integer,  $0 \le Z < 2^{128}$ , then  $Z = 2^{64}X + Y$ , where  $0 \le X < 2^{64}$  and  $0 \le Y < 2^{64}$ . Since X and Y can be represented as 64-bit words x and y, respectively, the integer Z can be represented as the pair of words (x, y). This property is used for SHA-384 and SHA-512.

- 4. For the secure hash algorithms, the size of the *message block m* bits depends on the algorithm.
  - a) For **SHA-1** and **SHA-256**, each message block has **512 bits**, which are represented as a sequence of sixteen **32-bit words**.
  - b) For **SHA-384** and **SHA-512**, each message block has **1024 bits**, which are represented as a sequence of sixteen **64-bit words**.

# 3.2 Operations on Words

The following operations are applied to w-bit words in all four secure hash algorithms. SHA-1 and SHA-256 operate on 32-bit words (w = 32), and SHA-384 and SHA-512 operate on 64-bit words (w = 64).

- 1. Bitwise *logical* word operations:  $\land$ ,  $\lor$ ,  $\oplus$ , and (see Sec. 2.2.2).
- 2. Addition modulo  $2^w$ .

The operation x + y is defined as follows. The words x and y represent integers X and Y, where  $0 \le X < 2^w$  and  $0 \le Y < 2^w$ . For positive integers U and V, let  $U \mod V$  be the remainder upon dividing U by V. Compute

$$Z = (X + Y) \mod 2^w$$
.

Then  $0 \le Z < 2^w$ . Convert the integer Z to a word, z, and define z = x + y.

3. The *right shift* operation  $SHR^{n}(x)$ , where x is a w-bit word and n is an integer with  $0 \le n < w$ , is defined by

$$SHR^{n}(x) = x >> n.$$

This operation is used in the SHA-256, SHA-384, and SHA-512 algorithms.

4. The *rotate right* (circular right shift) operation  $ROTR^{n}(x)$ , where x is a w-bit word and n is an integer with  $0 \le n < w$ , is defined by

$$ROTR^{n}(x) = (x >> n) \lor (x << w - n).$$

Thus,  $ROTR^{n}(x)$  is equivalent to a circular shift (rotation) of x by n positions to the right.

This operation is used by the SHA-256, SHA-384, and SHA-512 algorithms.

5. The *rotate left* (circular left shift) operation,  $ROTL^{n}(x)$ , where x is a w-bit word and n is an integer with  $0 \le n < w$ , is defined by

$$ROTL^{n}(x) = (x << n) \lor (x >> w - n).$$

Thus,  $ROTL^{n}(x)$  is equivalent to a circular shift (rotation) of x by n positions to the left.

This operation is used only in the SHA-1 algorithm. Note that in Ref. [180-1] this operation was referred to as " $S^n(X)$ "; however, the notation has been modified for clarity and consistency with the notation used for operations in the other secure hash algorithms.

6. Note the following equivalence relationships, where w is fixed in each relationship:

$$ROTL^{n}(x) \approx ROTR^{w-n}(x)$$

$$ROTR^{n}(x) \approx ROTL^{w-n}(x).$$

#### **FUNCTIONS AND CONSTANTS** 4.

#### 4.1 **Functions**

This section defines the functions that are used by each of the algorithms. Although the SHA-256, SHA-384, and SHA-512 algorithms all use similar functions, their descriptions are separated into sections for SHA-256 (Sec. 4.1.2) and for SHA-384 and SHA-512 (Sec. 4.1.3), since the input and output for these functions are words of different sizes. Each of the algorithms include Ch(x, y, z) and Maj(x, y, z) functions; the exclusive-OR operation ( $\oplus$ ) in these functions may be replaced by a bitwise OR operation ( $\vee$ ) and produce identical results.

#### 4.1.1 **SHA-1 Functions**

SHA-1 uses a sequence of logical functions,  $f_0, f_1, \dots, f_{79}$ . Each function  $f_t$ , where  $0 \le t < 79$ , operates on three 32-bit words, x, y, and z, and produces a 32-bit word as output. The function  $f_t$ (x, y, z) is defined as follows:

$$f_{t}(x, y, z) = \begin{cases} Ch(x, y, z) = (x \land y) \oplus (x \land z) & 0 \le t \le 19 \\ Parity(x, y, z) = x \oplus y \oplus z & 20 \le t \le 39 \\ Maj(x, y, z) = (x \land y) \oplus (x \land z) \oplus (y \land z) & 40 \le t \le 59 \\ Parity(x, y, z) = x \oplus y \oplus z & 60 \le t \le 79. \end{cases}$$

$$(4.1)$$

#### 4.1.2 SHA-256 Functions

SHA-256 uses six logical functions, where each function operates on 32-bit words, which are represented as x, y, and z. The result of each function is a new 32-bit word.

$$Ch(x, y, z) = (x \land y) \oplus (x \land z) \tag{4.2}$$

$$Maj(x, y, z) = (x \wedge y) \oplus (x \wedge z) \oplus (y \wedge z)$$
 (4.3)

$$\sum_{0}^{\{256\}}(x) = ROTR^{2}(x) \oplus ROTR^{13}(x) \oplus ROTR^{22}(x)$$

$$\sum_{1}^{\{256\}}(x) = ROTR^{6}(x) \oplus ROTR^{11}(x) \oplus ROTR^{25}(x)$$

$$\mathbf{s}_{0}^{\{256\}}(x) = ROTR^{7}(x) \oplus ROTR^{18}(x) \oplus SHR^{3}(x)$$

$$\mathbf{s}_{1}^{\{256\}}(x) = ROTR^{17}(x) \oplus ROTR^{19}(x) \oplus SHR^{10}(x)$$

$$(4.4)$$

$$\sum_{1}^{\{256\}}(x) = ROTR^{6}(x) \oplus ROTR^{11}(x) \oplus ROTR^{25}(x)$$
 (4.5)

$$\mathbf{S}_{0}^{\{256\}}(x) = ROTR^{7}(x) \oplus ROTR^{18}(x) \oplus SHR^{3}(x)$$
 (4.6)

$$\mathbf{S}_{1}^{\{256\}}(x) = ROTR^{17}(x) \oplus ROTR^{19}(x) \oplus SHR^{10}(x)$$
 (4.7)

#### 4.1.3 SHA-384 and SHA-512 Functions

SHA-384 and SHA-512 each use six logical functions, where each function operates on 64-bit words, which are represented as x, y, and z. The result of each function is a new 64-bit word.

$$Ch(x, y, z) = (x \land y) \oplus (x \land z) \tag{4.8}$$

$$Maj(x, y, z) = (x \wedge y) \oplus (x \wedge z) \oplus (y \wedge z)$$
 (4.9)

$$\sum_{0}^{\{512\}}(x) = ROTR^{28}(x) \oplus ROTR^{34}(x) \oplus ROTR^{39}(x)$$
(4.10)  

$$\sum_{1}^{\{512\}}(x) = ROTR^{14}(x) \oplus ROTR^{18}(x) \oplus ROTR^{41}(x)$$
(4.11)  

$$\mathbf{s}_{0}^{\{512\}}(x) = ROTR^{1}(x) \oplus ROTR^{8}(x) \oplus SHR^{7}(x)$$
(4.12)  

$$\mathbf{s}_{1}^{\{512\}}(x) = ROTR^{19}(x) \oplus ROTR^{61}(x) \oplus SHR^{6}(x)$$
(4.13)

$$\sum_{1}^{\{512\}}(x) = ROTR^{14}(x) \oplus ROTR^{18}(x) \oplus ROTR^{41}(x)$$
 (4.11)

$$\mathbf{S}_{0}^{\{512\}}(x) = ROTR^{1}(x) \oplus ROTR^{8}(x) \oplus SHR^{7}(x) \tag{4.12}$$

$$\mathbf{S}_{1}^{[512]}(x) = ROTR^{19}(x) \oplus ROTR^{61}(x) \oplus SHR^{6}(x)$$
 (4.13)

#### 4.2 Constants

#### 4.2.1 **SHA-1 Constants**

SHA-1 uses a sequence of eighty constant 32-bit words,  $K_0, K_1, \dots, K_{79}$ , which are given by

$$K_{t} = \begin{cases} 5a827999 & 0 \le t \le 19 \\ 6ed9eba1 & 20 \le t \le 39 \\ 8f1bbcdc & 40 \le t \le 59 \\ ca62c1d6 & 60 \le t \le 79. \end{cases}$$

$$(4.14)$$

#### 4.2.2 **SHA-256 Constants**

SHA-256 uses a sequence of sixty-four constant 32-bit words,  $K_0^{\{256\}}, K_1^{\{256\}}, ..., K_{63}^{\{256\}}$ . These words represent the first thirty-two bits of the fractional parts of the cube roots of the first sixtyfour prime numbers. In hex, these constant words are (from left to right)

```
428a2f98 71374491 b5c0fbcf e9b5dba5 3956c25b 59f111f1 923f82a4 ab1c5ed5
d807aa98 12835b01 243185be 550c7dc3 72be5d74 80deb1fe 9bdc06a7 c19bf174
e49b69c1 efbe4786 0fc19dc6 240ca1cc 2de92c6f 4a7484aa 5cb0a9dc 76f988da
983e5152 a831c66d b00327c8 bf597fc7 c6e00bf3 d5a79147 06ca6351 14292967
27b70a85 2e1b2138 4d2c6dfc 53380d13 650a7354 766a0abb 81c2c92e 92722c85
a2bfe8a1 a81a664b c24b8b70 c76c51a3 d192e819 d6990624 f40e3585 106aa070
19a4c116 1e376c08 2748774c 34b0bcb5 391c0cb3 4ed8aa4a 5b9cca4f 682e6ff3
748f82ee 78a5636f 84c87814 8cc70208 90befffa a4506ceb bef9a3f7 c67178f2.
```

#### 4.2.3 SHA-384 and SHA-512 Constants

SHA-384 and SHA-512 use the same sequence of eighty constant 64-bit words,  $K_0^{\{512\}}, K_1^{\{512\}}, \dots, K_{79}^{\{512\}}$ . These words represent the first sixty-four bits of the fractional parts of the cube roots of the first eighty prime numbers. In hex, these constant words are (from left to right)

428a2f98d728ae22 7137449123ef65cd b5c0fbcfec4d3b2f e9b5dba58189dbbc 3956c25bf348b538 59f111f1b605d019 923f82a4af194f9b ab1c5ed5da6d8118 d807aa98a3030242 12835b0145706fbe 243185be4ee4b28c 550c7dc3d5ffb4e2

72be5d74f27b896f 80deb1fe3b1696b1 9bdc06a725c71235 c19bf174cf692694 e49b69c19ef14ad2 efbe4786384f25e3 0fc19dc68b8cd5b5 240ca1cc77ac9c65 2de92c6f592b0275 4a7484aa6ea6e483 5cb0a9dcbd41fbd4 76f988da831153b5 983e5152ee66dfab a831c66d2db43210 b00327c898fb213f bf597fc7beef0ee4 c6e00bf33da88fc2 d5a79147930aa725 06ca6351e003826f 142929670a0e6e70 27b70a8546d22ffc 2e1b21385c26c926 4d2c6dfc5ac42aed 53380d139d95b3df 650a73548baf63de 766a0abb3c77b2a8 81c2c92e47edaee6 92722c851482353b a2bfe8a14cf10364 a81a664bbc423001 c24b8b70d0f89791 c76c51a30654be30 d192e819d6ef5218 d69906245565a910 f40e35855771202a 106aa07032bbd1b8 19a4c116b8d2d0c8 1e376c085141ab53 2748774cdf8eeb99 34b0bcb5e19b48a8 391c0cb3c5c95a63 4ed8aa4ae3418acb 5b9cca4f7763e373 682e6ff3d6b2b8a3 748f82ee5defb2fc 78a5636f43172f60 84c87814a1f0ab72 8cc702081a6439ec 90befffa23631e28 a4506cebde82bde9 bef9a3f7b2c67915 c67178f2e372532b ca273eceea26619c d186b8c721c0c207 eada7dd6cde0eb1e f57d4f7fee6ed178 06f067aa72176fba 0a637dc5a2c898a6 113f9804bef90dae 1b710b35131c471b 28db77f523047d84 32caab7b40c72493 3c9ebe0a15c9bebc 431d67c49c100d4c 4cc5d4becb3e42b6 597f299cfc657e2a 5fcb6fab3ad6faec 6c44198c4a475817.

## 5. PREPROCESSING

Preprocessing shall take place before hash computation begins. This preprocessing consists of three steps: padding the message, M (Sec. 5.1), parsing the padded message into message blocks (Sec. 5.2), and setting the initial hash value,  $H^{(0)}$  (Sec. 5.3).

# 5.1 Padding the Message

The message, M, shall be padded before hash computation begins. The purpose of this padding is to ensure that the padded message is a multiple of 512 or 1024 bits, depending on the algorithm.

#### 5.1.1 SHA-1 and SHA-256

Suppose that the length of the message, M, is  $\ell$  bits. Append the bit "1" to the end of the message, followed by k zero bits, where k is the smallest, non-negative solution to the equation  $\ell+1+k\equiv 448 \mod 512$ . Then append the 64-bit block that is equal to the number  $\ell$  expressed using a binary representation. For example, the (8-bit ASCII) message "**abc**" has length  $8\times 3=24$ , so the message is padded with a one bit, then 448-(24+1)=423 zero bits, and then the message length, to become the 512-bit padded message

01100001 01100010 01100011 1 00...00 00...011000 . 
$$\ell = 24$$

The length of the padded message should now be a multiple of 512 bits.

#### 5.1.2 SHA-384 and SHA-512

Suppose the ength of the message M, in bits, is  $\ell$  bits. Append the bit "1" to the end of the message, followed by k zero bits, where k is the smallest non-negative solution to the equation  $\ell+1+k\equiv 896 \,\mathrm{mod}\, 1024$ . Then append the 128-bit block that is equal to the number  $\ell$  expressed using a binary representation. For example, the (8-bit ASCII) message "abc" has length  $8\times 3=24$ , so the message is padded with a one bit, then 896-(24+1)=871 zero bits, and then the message length, to become the 1024-bit padded message

01100001 01100010 01100011 1 00...00 00...011000 . 
$$\ell = 24$$

The length of the padded message should now be a multiple of 1024 bits.

# **5.2** Parsing the Padded Message

After a message has been padded, it must be parsed into N m-bit blocks before the hash computation can begin.

#### 5.2.1 SHA-1 and SHA-256

For SHA-1 and SHA-256, the padded message is parsed into N 512-bit blocks,  $M^{(1)}$ ,  $M^{(2)}$ ,...,  $M^{(N)}$ . Since the 512 bits of the input block may be expressed as sixteen 32-bit words, the first 32 bits of message block i are denoted  $M_0^{(i)}$ , the next 32 bits are  $M_1^{(i)}$ , and so on up to  $M_{15}^{(i)}$ .

#### 5.2.2 SHA-384 and SHA-512

For SHA-384 and SHA-512, the padded message is parsed into N 1024-bit blocks,  $M^{(1)}$ ,  $M^{(2)}$ ,...,  $M^{(N)}$ . Since the 1024 bits of the input block may be expressed as sixteen 64-bit words, the first 64 bits of message block i are denoted  $M_0^{(i)}$ , the next 64 bits are  $M_1^{(i)}$ , and so on up to  $M_{15}^{(i)}$ .

# 5.3 Setting the Initial Hash Value ( $H^{(0)}$ )

Before hash computation begins for each of the secure hash algorithms, the initial hash value,  $H^{(0)}$ , must be set. The size and number of words in  $H^{(0)}$  depends on the message digest size.

#### 5.3.1 SHA-1

For SHA-1, the initial hash value,  $H^{(0)}$ , shall consist of the following five 32-bit words, in hex:

 $H_0^{(0)} = 67452301$   $H_1^{(0)} = \text{efcdab89}$   $H_2^{(0)} = 98\text{badcfe}$   $H_3^{(0)} = 10325476$  $H_4^{(0)} = \text{c3d2e1f0}.$ 

#### 5.3.2 SHA-256

For SHA-256, the initial hash value,  $H^{(0)}$ , shall consist of the following eight 32-bit words, in hex:

 $H_0^{(0)} = 6a09e667$   $H_1^{(0)} = bb67ae85$   $H_2^{(0)} = 3c6ef372$   $H_3^{(0)} = a54ff53a$   $H_4^{(0)} = 510e527f$   $H_5^{(0)} = 9b05688c$   $H_6^{(0)} = 1f83d9ab$  $H_7^{(0)} = 5be0cd19$ . These words were obtained by taking the first thirty-two bits of the fractional parts of the square roots of the first eight prime numbers.

#### 5.3.3 SHA-384

For SHA-384, the initial hash value,  $H^{(0)}$ , shall consist of the following eight 64-bit words, in hex:

 $H_0^{(0)} = \text{cbbb9d5dc1059ed8}$   $H_1^{(0)} = 629a292a367cd507$   $H_2^{(0)} = 9159015a3070dd17$   $H_3^{(0)} = 152\text{fecd8f70e5939}$   $H_4^{(0)} = 67332667\text{ffc00b31}$   $H_5^{(0)} = 8\text{eb44a8768581511}$   $H_6^{(0)} = db0c2e0d64f98fa7$   $H_7^{(0)} = 47b5481dbefa4fa4.$ 

These words were obtained by taking the first sixty-four bits of the fractional parts of the square roots of the ninth through sixteenth prime numbers.

#### 5.3.4 SHA-512

For SHA-512, the initial hash value,  $H^{(0)}$ , shall consist of the following eight 64-bit words, in hex:

 $H_0^{(0)} = 6a09e667f3bcc908$   $H_1^{(0)} = bb67ae8584caa73b$   $H_2^{(0)} = 3c6ef372fe94f82b$   $H_3^{(0)} = a54ff53a5f1d36f1$   $H_4^{(0)} = 510e527fade682d1$   $H_5^{(0)} = 9b05688c2b3e6c1f$   $H_6^{(0)} = 1f83d9abfb41bd6b$  $H_7^{(0)} = 5be0cd19137e2179$ .

These words were obtained by taking the first sixty-four bits of the fractional parts of the square roots of the first eight prime numbers.

### 6. SECURE HASH ALGORITHMS

In the following sections, SHA-512 is described before SHA-384. That is because the SHA-384 algorithm is identical to SHA-512, with the exception of using a different initial hash value and truncating the final hash value to 384 bits.

For each of the secure hash algorithms, there may exist alternate computation methods that yield identical results; one example is the alternative SHA-1 computation described in Sec. 6.1.3. Such alternate methods may be implemented in conformance to this standard.

### 6.1 SHA-1

SHA-1 may be used to hash a message, M, having a length of  $\ell$  bits, where  $0 \le \ell < 2^{64}$ . The algorithm uses 1) a message schedule of eighty 32-bit words, 2) five working variables of 32 bits each, and 3) a hash value of five 32-bit words. The final result of SHA-1 is a 160-bit message digest.

The words of the message schedule are labeled  $W_0$ ,  $W_1$ ,...,  $W_{79}$ . The five working variables are labeled a, b, c, d, and e. The words of the hash value are labeled  $H_0^{(i)}$ ,  $H_1^{(i)}$ ,..., $H_4^{(i)}$ , which will hold the initial hash value,  $H^{(0)}$ , replaced by each successive intermediate hash value (after each message block is processed),  $H^{(i)}$ , and ending with the final hash value,  $H^{(N)}$ . SHA-1 also uses a single temporary word, T.

Appendix A gives several detailed examples of SHA-1.

### 6.1.1 SHA-1 Preprocessing

- 1. Pad the message, M, according to Sec. 5.1.1;
- 2. Parse the padded message into N 512-bit message blocks,  $M^{(1)}$ ,  $M^{(2)}$ , ...,  $M^{(N)}$ , according to Sec. 5.2.1; and
- 3. Set the initial hash value,  $H^{(0)}$ , as specified in Sec. 5.3.1.

#### 6.1.2 SHA-1 Hash Computation

The SHA-1 hash computation uses functions and constants previously defined in Sec. 4.1.1 and Sec. 4.2.1, respectively. Addition (+) is performed modulo  $2^{32}$ .

After preprocessing is completed, each message block,  $M^{(1)}$ ,  $M^{(2)}$ , ...,  $M^{(N)}$ , is processed in order, using the following steps:

```
For i = 1 to N:

{
    1. Prepare the message schedule, \{W_t\}:
```

$$W_{t} = \begin{cases} M_{t}^{(i)} & 0 \le t \le 15 \\ ROTL^{1}(W_{t-3} \oplus W_{t-8} \oplus W_{t-14} \oplus W_{t-16}) & 16 \le t \le 79 \end{cases}$$

2. Initialize the five working variables, a, b, c, d, and e, with the  $(i-1)^{st}$  hash value:

$$a = H_0^{(i-1)}$$

$$b = H_1^{(i-1)}$$

$$c = H_2^{(i-1)}$$

$$d = H_3^{(i-1)}$$

$$e = H_4^{(i-1)}$$

3. For t = 0 to 79: {  $T = ROTL^{5}(a) + f_{t}(b,c,d) + e + K_{t} + W_{t}$  e = d d = c  $c = ROTL^{30}(b)$  b = a a = T}

4. Compute the  $i^{th}$  intermediate hash value  $H^{(i)}$ :

$$H_0^{(i)} = a + H_0^{(i-1)}$$

$$H_1^{(i)} = b + H_1^{(i-1)}$$

$$H_2^{(i)} = c + H_2^{(i-1)}$$

$$H_3^{(i)} = d + H_3^{(i-1)}$$

$$H_4^{(i)} = e + H_4^{(i-1)}$$

}

After repeating steps one through four a total of N times (i.e., after processing  $M^{(N)}$ ), the resulting 160-bit message digest of the message, M, is

$$H_0^{(N)} \| H_1^{(N)} \| H_2^{(N)} \| H_3^{(N)} \| H_4^{(N)}.$$

### 6.1.3 Alternate Method for Computing a SHA-1 Message Digest

The SHA-1 hash computation method described in Sec. 6.1.2 assumes that the message schedule  $W_0$ ,  $W_1$ ,...,  $W_{79}$  is implemented as an array of eighty 32-bit words. This is efficient from the standpoint of the minimization of execution time, since the addresses of  $W_{t-3}$ ,...,  $W_{t-16}$  in step (2) of Sec. 6.1.2 are easily computed.

However, if memory is limited, an alternative is to regard  $\{W_t\}$  as a circular queue that may be implemented using an array of sixteen 32-bit words,  $W_0, W_1, ..., W_{15}$ . The alternate method that is described in this section yields the same message digest as the SHA-1 computation method described in Sec. 6.1.2. Although this alternate method saves sixty-four 32-bit words of storage, it is likely to lengthen the execution time due to the increased complexity of the address computations for the  $\{W_t\}$  in step (3).

For this alternate SHA-1 method, let MASK = 0000000f (in hex). As in Sec. 6.1.1, addition is performed modulo  $2^{32}$ . Assuming that the preprocessing as described in Sec. 6.1.1 has been performed, the processing of  $M^{(i)}$  is as follows:

```
For i = 1 to N:

{
    1. For t = 0 to 15:

    {
        W_t = M_t^{(i)}
}
```

2. Initialize the five working variables, a, b, c, d, and e, with the  $(i-1)^{st}$  hash value:

```
a = H_0^{(i-1)}
b = H_1^{(i-1)}
c = H_2^{(i-1)}
d = H_3^{(i-1)}
e = H_4^{(i-1)}
3. For t = 0 to 79:
\begin{cases} s = t \land MASK \end{cases}
If t \ge 16 then
\begin{cases} W_s = ROTL^1(W_{(s+13)\land MASK} \oplus W_{(s+8)\land MASK} \oplus W_{(s+2)\land MASK} \oplus W_s) \end{cases}
```

```
T = ROTL^{5}(a) + f_{t}(b, c, d) + e + K_{t} + W_{s}
e = d
d = c
c = ROTL^{30}(b)
b = a
a = T
}
```

4. Compute the  $i^{th}$  intermediate hash value  $H^{(i)}$ :

$$H_0^{(i)} = a + H_0^{(i-1)}$$

$$H_1^{(i)} = b + H_1^{(i-1)}$$

$$H_2^{(i)} = c + H_2^{(i-1)}$$

$$H_3^{(i)} = d + H_3^{(i-1)}$$

$$H_4^{(i)} = e + H_4^{(i-1)}$$

After repeating steps one through four a total of N times (i.e., after processing  $M^{(N)}$ ), the resulting 160-bit message digest of the message, M, is

$$H_0^{(N)} \| H_1^{(N)} \| H_2^{(N)} \| H_3^{(N)} \| H_4^{(N)}.$$

### 6.2 SHA-256

}

SHA-256 may be used to hash a message, M, having a length of  $\ell$  bits, where  $0 \le \ell < 2^{64}$ . The algorithm uses 1) a message schedule of sixty-four 32-bit words, 2) eight working variables of 32 bits each, and 3) a hash value of eight 32-bit words. The final result of SHA-256 is a 256-bit message digest.

The words of the message schedule are labeled  $W_0$ ,  $W_1$ ,...,  $W_{63}$ . The eight working variables are labeled a, b, c, d, e, f, g, and h. The words of the hash value are labeled  $H_0^{(i)}$ ,  $H_1^{(i)}$ ,...,  $H_7^{(i)}$ , which will hold the initial hash value,  $H^{(0)}$ , replaced by each successive intermediate hash value (after each message block is processed),  $H^{(i)}$ , and ending with the final hash value,  $H^{(N)}$ . SHA-256 also uses two temporary words,  $T_1$  and  $T_2$ .

Appendix B gives several detailed examples of SHA-256.

# 6.2.1 SHA-256 Preprocessing

- 1. Pad the message, M, according to Sec. 5.1.1;
- 2. Parse the padded message into N 512-bit message blocks,  $M^{(1)}$ ,  $M^{(2)}$ , ...,  $M^{(N)}$ , according to Sec. 5.2.1; and
- 3. Set the initial hash value,  $H^{(0)}$ , as specified in Sec. 5.3.2.

### 6.2.2 SHA-256 Hash Computation

The SHA-256 hash computation uses functions and constants previously defined in Sec. 4.1.2 and Sec. 4.2.2, respectively. Addition (+) is performed modulo  $2^{32}$ .

After preprocessing is completed, each message block,  $M^{(1)}$ ,  $M^{(2)}$ , ...,  $M^{(N)}$ , is processed in order, using the following steps:

```
For i = 1 to N:
```

1. Prepare the message schedule,  $\{W_t\}$ :

$$W_{t} = \begin{cases} M_{t}^{(i)} & 0 \le t \le 15 \\ \mathbf{s}_{1}^{\{256\}}(W_{t-2}) + W_{t-7} + \mathbf{s}_{0}^{\{256\}}(W_{t-15}) + W_{t-16} & 16 \le t \le 63 \end{cases}$$

2. Initialize the eight working variables, a, b, c, d, e, f, g, and h, with the  $(i-1)^{st}$  hash value:

$$\begin{split} a &= H_0^{(i-1)} \\ b &= H_1^{(i-1)} \\ c &= H_2^{(i-1)} \\ d &= H_3^{(i-1)} \\ e &= H_4^{(i-1)} \\ f &= H_5^{(i-1)} \\ g &= H_6^{(i-1)} \\ h &= H_7^{(i-1)} \end{split}$$

3. For t = 0 to 63:

$$T_{1} = h + \sum_{1}^{\{256\}} (e) + Ch(e, f, g) + K_{t}^{\{256\}} + W_{t}$$

$$T_{2} = \sum_{0}^{\{256\}} (a) + Maj(a, b, c)$$

$$h = g$$

$$g = f$$

$$f = e$$

$$e = d + T_{1}$$

$$d = c$$

$$c = b$$

$$b = a$$

$$a = T_{1} + T_{2}$$

4. Compute the  $i^{th}$  intermediate hash value  $H^{(i)}$ :

$$H_0^{(i)} = a + H_0^{(i-1)}$$

$$H_1^{(i)} = b + H_1^{(i-1)}$$

$$H_2^{(i)} = c + H_2^{(i-1)}$$

$$H_3^{(i)} = d + H_3^{(i-1)}$$

$$H_4^{(i)} = e + H_4^{(i-1)}$$

$$H_5^{(i)} = f + H_5^{(i-1)}$$

$$H_6^{(i)} = g + H_6^{(i-1)}$$

$$H_7^{(i)} = h + H_7^{(i-1)}$$

After repeating steps one through four a total of N times (i.e., after processing  $M^{(N)}$ ), the resulting 256-bit message digest of the message, M, is

$$H_0^{(N)} \left\| H_1^{(N)} \right\| H_2^{(N)} \left\| H_3^{(N)} \right\| H_4^{(N)} \left\| H_5^{(N)} \right\| H_6^{(N)} \left\| H_7^{(N)} \right\|.$$

### 6.3 SHA-512

}

SHA-512 may be used to hash a message, M, having a length of  $\ell$  bits, where  $0 \le \ell < 2^{128}$ . The algorithm uses 1) a message schedule of eighty 64-bit words, 2) eight working variables of 64 bits each, and 3) a hash value of eight 64-bit words. The final result of SHA-512 is a 512-bit message digest.

The words of the message schedule are labeled  $W_0$ ,  $W_1$ ,...,  $W_{79}$ . The eight working variables are labeled a, b, c, d, e, f, g, and h. The words of the hash value are labeled  $H_0^{(i)}$ ,  $H_1^{(i)}$ ,...,  $H_7^{(i)}$ , which will hold the initial hash value,  $H^{(0)}$ , replaced by each successive intermediate hash value

(after each message block is processed),  $H^{(i)}$ , and ending with the final hash value,  $H^{(N)}$ . SHA-512 also uses two temporary words,  $T_1$  and  $T_2$ .

Appendix C gives several detailed examples of SHA-512.

# 6.3.1 SHA-512 Preprocessing

- 1. Pad the message, M, according to Sec. 5.1.2;
- 2. Parse the padded message into N 1024-bit message blocks,  $M^{(1)}$ ,  $M^{(2)}$ , ...,  $M^{(N)}$ , according to Sec. 5.2.2; and
- 3. Set the initial hash value,  $H^{(0)}$ , as specified in Sec. 5.3.4.

### 6.3.2 SHA-512 Hash Computation

The SHA-512 hash computation uses functions and constants previously defined in Sec. 4.1.3 and Sec. 4.2.3, respectively. Addition (+) is performed modulo  $2^{64}$ .

After preprocessing is completed, each message block,  $M^{(1)}$ ,  $M^{(2)}$ , ...,  $M^{(N)}$ , is processed in order, using the following steps:

```
For i = 1 to N:
```

1. Prepare the message schedule,  $\{W_t\}$ :

$$W_{t} = \begin{cases} M_{t}^{(i)} & 0 \le t \le 15 \\ \mathbf{s}_{1}^{\{512\}}(W_{t-2}) + W_{t-7} + \mathbf{s}_{0}^{\{512\}}(W_{t-15}) + W_{t-16} & 16 \le t \le 79 \end{cases}$$

2. Initialize the eight working variables, a, b, c, d, e, f, g, and h, with the  $(i-1)^{st}$  hash value:

$$a = H_0^{(i-1)}$$

$$b = H_1^{(i-1)}$$

$$c = H_2^{(i-1)}$$

$$d = H_3^{(i-1)}$$

$$e = H_4^{(i-1)}$$

$$f = H_5^{(i-1)}$$

$$g = H_6^{(i-1)}$$

$$h = H_7^{(i-1)}$$

3. For t = 0 to 79:

```
 T_{1} = h + \sum_{1}^{\{512\}} (e) + Ch(e, f, g) + K_{t}^{\{512\}} + W_{t} 
 T_{2} = \sum_{0}^{\{512\}} (a) + Maj(a, b, c) 
 h = g 
 g = f 
 f = e 
 e = d + T_{1} 
 d = c 
 c = b 
 b = a 
 a = T_{1} + T_{2} 
 \}
```

4. Compute the  $i^{th}$  intermediate hash value  $H^{(i)}$ :

$$H_0^{(i)} = a + H_0^{(i-1)}$$

$$H_1^{(i)} = b + H_1^{(i-1)}$$

$$H_2^{(i)} = c + H_2^{(i-1)}$$

$$H_3^{(i)} = d + H_3^{(i-1)}$$

$$H_4^{(i)} = e + H_4^{(i-1)}$$

$$H_5^{(i)} = f + H_5^{(i-1)}$$

$$H_6^{(i)} = g + H_6^{(i-1)}$$

$$H_7^{(i)} = h + H_7^{(i-1)}$$

After repeating steps one through four a total of N times (i.e., after processing  $M^{(N)}$ ), the resulting 512-bit message digest of the message, M, is

$$H_0^{(N)} \left\| H_1^{(N)} \right\| H_2^{(N)} \left\| H_3^{(N)} \right\| H_4^{(N)} \left\| H_5^{(N)} \right\| H_6^{(N)} \left\| H_7^{(N)} \right\|.$$

### 6.4 SHA-384

}

SHA-384 may be used to hash a message, M, having a length of  $\ell$  bits, where  $0 \le \ell < 2^{128}$ . The algorithm is defined in the exact same manner as SHA-512 (Sec. 6.3), with the following two exceptions:

1. The initial hash value,  $H^{(0)}$ , shall be set as specified in Sec. 5.3.3; and

2. The 384-bit message digest is obtained by truncating the final hash value,  $H^{(N)}$ , to its left-most 384 bits:

$$H_0^{(N)} \| H_1^{(N)} \| H_2^{(N)} \| H_3^{(N)} \| H_4^{(N)} \| H_5^{(N)} .$$

Appendix D gives several detailed examples of SHA-384.