# Local variability of vegetation structure increases forest resilience to wildfire

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Abstract: The long-term persistence of forest ecosystems hinges on their resilience to ongoing disturbance. Measurements of resilience for these valuable ecosystems are critical, but challenging to capture at relevant scales given a forest's vast extent and long-lived characteristic flora. Resilience to wildfire may arise from feedback between fire behavior and vegetation structure, which dictates fuel loading and continuity. High fuel loads paired with hot, dry conditions increase fire-induced tree mortality, but structural variability may enable forests to withstand wildfires and retain their fundamental properties and functions—a hallmark of a resilient system. A century of fire suppression in the western United States has homogenized the structure of many forests, potentially upsetting these feedbacks and compromising forest resilience. We investigate the generality and scale of the effect of structural variability on wildfire behavior in the yellow pine/mixed-conifer forest of California's Sierra Nevada using cloud computing and texture analysis of a 33-year time series of satellite imagery. We measure wildfire response to forest structure for an unprecedented size range of wildfires, ensuring representation of non-extreme fire behavior, and find that greater variability in forest structure within the smallest spatial extent tested (90m x 90m) reduces the probability of fire-induced overstory tree morality. Local structural variability thus makes these forests more resilient to inevitable wildfire disturbance. Management strategies that increase vegetation structural variability, such as allowing fires to burn under moderate fuel moisture conditions, may therefore increase the probability of long-term forest persistence.

# Significance

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variability in vegetation structure, which interrupts fuel continuity and prevents fire from killing overstory trees. Testing the generality and scale of this phenomenon is challenging because forests are vast, long-lived ecosystems. We develop an approach to broadly measure wildfire response to forest structure, and quantify resilience of fire-prone forests in California's Sierra Nevada. We demonstrate that greater structural variability within a local scale increases resilience by reducing the probability of fire-induced tree mortality. Resilience

A "resilient" forest endures disturbance and is likely to persist. Resilience to wildfire may derive from

- of these forests is likely compromised by structural homogenization from a century of fire suppression, but
- may be restored with management that increases structural variability of vegetation.

# 38 Introduction

Biological systems comprising heterogeneous elements can retain their fundamental properties in the face of regular disturbance. This ability of a heterogeneous system to absorb disturbances, reorganize, and to persist within a domain of stability with respect to its identity, structure, function, and feedbacks is termed resilience (1-4). Resilience has been demonstrated in complex biological systems characterized by a variety of different types of "heterogeneity" including genetic diversity (5-7), species diversity (8-10), functional diversity (11), topoclimatic complexity (12, 13), and temporal environmental variation (14). An emerging paradigm in forest ecology is that resilience to disturbances such as wildfire and insect outbreaks may arise from spatial variability in the structure of vegetation (15–17). In much of the western United States, forests are experiencing "unhealthy" (18) conditions which compromise their resilience and leaves them prone to catastrophic shifts in ecosystem type (19). Warmer temperatures coupled with recurrent drought (i.e., "hotter droughts") exacerbate water stress on trees (19, 20) and a century of fire suppression has led to a drastic densification and homogenization of forest structure (21, 22). Combined, these changes are liable to upset the feedbacks between forest structure and pattern-forming ecological disturbances that historically stabilized the system and made it resilient. For instance in the yellow pine/mixed-conifer forests of California's Sierra Nevada mountain range, wildfires kill much larger contiguous patches of trees than in the several centuries prior to Euroamerican settlement making natural forest regeneration after these megafires uncertain (21-24). Forests are of high management priority given their essential ecological roles, valued ecosystem services, and large carbon stores (19, 25–27), making it critical to understand the scope and scale of how spatial structural variability underlies forest resilience. Resilience of forest ecosystems is fundamentally challenging to quantify because forests comprise long-lived species, span large geographic extents, and are affected by disturbances at a broad range of spatial scales. The ease or difficulty with which a disturbance changes a system's state is termed resistance, and it is a key component of resilience (4, 28). To assess a forest's resistance, the relevant change to the system "state" is the loss of its characteristic native biota—overstory trees (29). Using this framework, a forest system that is resistant to wildfire should generally experience less overstory tree mortality when a fire inevitably occurs. Wildfire behavior is inherently complex and is influenced by local weather, topography, and fuel conditions created by a legacy of disturbances at any particular place (30, 31). For instance, high surface fuel loads and presence of "ladder fuels" in the understory increase the probability of "crowning" fire behavior, which kills a high proportion of trees (15, 32). A structurally variable forest can largely avoid overstory tree mortality because discontinuous fuel loads interrupt crown fire spread, because a reduced amount of accumulated ladder fuel decreases the probability of crowning, and because small tree clumps with fewer trees don't facilitate self-propagating fire behavior (33, 34). In frequent-fire forests with relatively intact fire regimes and high structural variability such as in the Jeffrey pine/mixed-conifer forests of the Sierra San Pedro Mártir in Baja, California, there tends to be reduced vegetation mortality after wildfires compared to fire-suppressed forests (15). Thus, more structurally variable forests are predicted to persist due to their resistance to inevitable wildfire disturbance (15, 33, 35). However, it has been difficult to test this foundational concept at broad spatial extents, or resolve at what scale variability in forest structure is meaningful for resilience (36).

Wildfire severity typically describes the proportion of vegetation mortality resulting from fire (30), and can
be measured by comparing pre- and post-fire satellite imagery for a specific area. This usually requires
considerable manual effort for image collation and processing, followed by calibration with field data (23,
37–44). Efforts to measure severity across broad spatial extents, such as the Monitoring Trends in Burn
Severity project (45), are motivated by and fulfill management needs in response to individual fires but are
unsuitably subjective for scientific analysis across wildfires (46). Automated efforts to remotely assess wildfire
have arisen, but they tend to focus on more aggregate measures of wildfire such as whether an area burned or
the probability that it burned rather than the severity of the burn (47–50). Here, we present a method to
automate the measurement of wildfire severity using minimal user inputs: a geometry of interest (a wildfire
perimeter or a field plot location) and an alarm date (the date the fire was discovered). This information is
readily available in many fire-prone areas (such as California, via the Fire and Resource Assessment Program;
http://frap.fire.ca.gov/projects/fire\_data/fire\_perimeters\_index) or could be derived using existing products
(such as the Landsat Burned Area Essential Climate Variable product described in (50)).

Vegetation characteristics can be measured using remotely-sensed imagery (53–55) and texture analysis of these vegetation characteristics can quantify ecologically relevant local environmental heterogeneity across broad spatial extents (56–59). Developed for image classification and computer vision, texture analysis characterizes each pixel in an image by a summary statistic of its neighboring pixels, and represents a measure of local heterogeneity which itself varies across the landscape (???, 60). Texture analysis of forested areas detects heterogeneity of overstory vegetation, which corresponds to fuel loading and continuity, capturing the primary influence of vegetation structure on fire behavior.

We use Landsat satellite data and a new image processing approach to calculate wildfire severity for a wide size range (down to 4 hectares) and long time series (1984 to 2017) of Sierra Nevada wildfires that burned in yellow pine/mixed-conifer forest. The larger fires that comprise most severity databases are often able to grow large only after escaping initial suppression efforts and burning under extreme fuel and weather conditions (61). We better represent non-extreme fire behavior by measuring severity in smaller fires, and

are able to investigate general features of wildfire behavior in this system. We calibrate 56 configurations of our algorithmic approach to ground-based wildfire severity measurements, and select the best performing severity metric to generate a comprehensive, system-wide severity dataset. We pair our extensive database of wildfire severity measures with image texture analysis of vegetation to ask: (1) does spatial variability in forest structure increase the resilience of California yellow pine/mixed-conifer forests by reducing the severity of wildfires? (2) At what scale does any effect of spatial variability on wildfire severity have the most support? and (3) Does the influence of structural variability on fire severity depend on topographic, fire weather, or other fuel conditions?

# Methods

# 110 Study system

Our study assesses the effect of vegetation structure on wildfire severity in the Sierra Nevada mountain 111 range of California in yellow pine/mixed-conifer forests (Fig. 1). This system is dominated by a mixture of 112 conifer species including ponderosa pine (Pinus ponderosa), sugar pine (Pinus lambertiana), incense-cedar 113 Calocedrus decurrens), Douglas-fir (Pseudotsuga menziesii), white fir (Abies concolor), and red fir (Abies 114 magnifica), angiosperm trees primarily including black oak (Quercus kellogqii), as well as shrubs (22, 62, 63). We considered "yellow pine/mixed-conifer forest" to be all area designated as a yellow pine, dry mixed-conifer, 116 or moist mixed-conifer pre-settlement fire regime (PFR) in the USFS Fire Return Interval Departure database (https://www.fs.usda.gov/detail/r5/landmanagement/gis/?cid=STELPRDB5327836), which reflects potential 118 vegetation and is less sensitive to recent land cover change (24). We considered the Sierra Nevada region to be the area within the Sierra Nevada Foothills, the High Sierra Nevada, and the Tehachapi Mountain Area 120 Jepson ecoregions (64).

# A new approach to remotely sensing wildfire severity

We measured forest vegetation characteristics and wildfire severity using imagery from the Landsat series of satellites (23, 45) with radiometric correction post-processing (65–68). Landsat satellites image the entire Earth approximately every 16 days with a 30m pixel resolution. We used Google Earth Engine, a massively parallel cloud-based geographic information system and image hosting platform, for all image collation and processing (69).

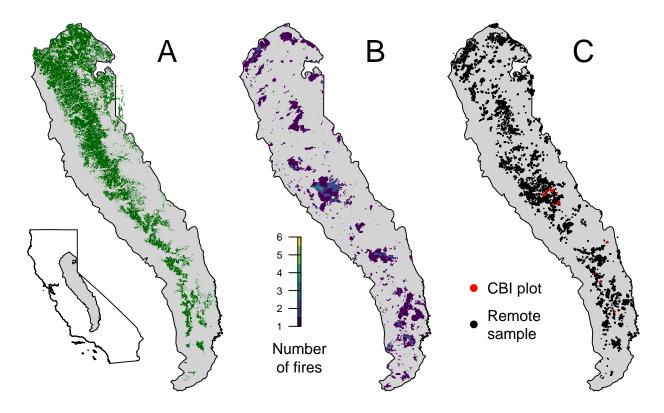


Figure 1: Geographic setting of the study. A) Location of yellow pine/mixed-conifer forests as designated by the Fire Return Interval Departure (FRID) product which, among other things, describes the potential vegetation in an area based on the pre-Euroamerican settlement fire regime. B) Locations of all fires covering greater than 4 hectares that burned in yellow pine/mixed-conifer forest between 1984 and 2017 in the Sierra Nevada mountain range of California according to the State of California Fire Resource and Assessment Program database, the most comprehensive database of fire perimeters of its kind. Colors indicate how many fire perimeters overlapped a given pixel within the study time period. C) (red) Locations of composite burn index (CBI) ground plots used to calibrate the remotely sensed measures of severity. (black) Locations of random samples drawn from 972 unique fires depicted in panel B that were in yellow pine/mixed-conifer forest as depicted in panel A, and which were designated as "burned" by exceeding a threshold relative burn ratio (RBR) determined by calibrating the algorithm presented in this study with ground-based CBI measurements.

# Pre-fire imagery Post-fire imagery Post-fire imagery Post-fire imagery

Figure 2: Schematic for how Landsat imagery was assembled in order to make comparisons between preand post-fire conditions. This schematic depicts a 64-day window of image collation prior to the fire which comprise the pre-fire image collection. A similar, 64-day window collection of imagery is assembled one year after the pre-fire image collection.

We calculated wildfire severity for the most comprehensive digital record of fire perimeters in California: The
California Department of Forestry and Fire Protection, Fire and Resource Assessment Program (FRAP) fire
perimeter database (http://frap.fire.ca.gov/projects/fire\_data/fire\_perimeters\_index). The FRAP database
includes all known fires that covered more than 4 hectares, compared to the current standard severity database
in this region which only includes fires covering greater than 80 hectares (23, 24, 70, 71). Using the FRAP
database of fire perimeters, we quantified fire severity within each perimeter of 979 wildfires in the Sierra
Nevada yellow pine/mixed-conifer forest that burned between 1984 and 2017. Our approach increases the
total burned area with severity measurments in this system from 7.44e+05 to 7.67e+05 hectares (a difference
of only 2.30e+04 hectares), but more than doubles the number of fire events represented (from 430 to 979
fires).

## Fetching and processing pre- and postfire imagery

For each fire perimeter, we fetched a time series of prefire Landsat images starting the day before the fire alarm date and extending backward in time by a user-defined time window. An analogous postfire time series of Landsat imagery was fetched exactly one year after the date range used to filter the prefire collection. We tested 4 time windows: 16, 32, 48, or 64 days which were chosen to ensure that at least 1, 2, 3, or 4 Landsat images were captured by the date ranges (Fig. 2). The Landsat archive we filtered included imagery from Landsat 4, 5, 7, and 8, so each pre- and postfire image collection may contain a mix of scenes from different satellite sources to enhance coverage. For each image in the pre- and postfire image collections, we masked pixels that were not clear (i.e., clouds, cloud shadows, snow, and water).

For each Landsat image in the prefire and postfire collections, we calculated standard indices that capture

vegetation cover and fire effects such as charring. Normalized difference vegetation index (NDVI) correlates
with vegetation density, canopy cover, and leaf area index (53). Normalized burn ratio (NBR) and normalized
burn ratio version 2 (NBR2) respond strongly to fire effects on vegetation (50, 67, 68, 72, 73) (Equations in
Supplmental Methods).

We composited each prefire image collection (including the pixel values representing NDVI, NBR, and NBR2) into a single prefire image and each postfire image collection into a single postfire image, by calculating the median of the unmasked values on a per-pixel basis across the stack of images in each pre- and postfire collection. Composite pre- and postfire images can be successfully used to measure wildfire severity instead of using raw, individual images (52).

We composited each pre- and postfire image collection (including the pixel values representing NDVI, NBR, and NBR2) into a single pre- and postfire image using a median reducer, which calculated the median of the unmasked values on a per-pixel basis across the stack of images in each collection. Composite pre- and postfire images can be successfully used to measure wildfire severity instead of using raw, individual images (52).

# 162 Calculating wildfire severity

Using the compositing approach, we calculated the most commonly used metrics of remotely-sensed wildfire 163 severity to validate against ground-based data: the relative burn ratio (RBR) (41), the delta normalized burn ratio (dNBR) (23, 45), the relative delta normalized burn ratio (RdNBR) (23, 71), the delta normalized 165 burn ratio 2 (dNBR2) (50), the relative delta normalized burn ratio 2 (RdNBR2), and the delta normalized difference vegetation index (dNDVI) (45). We also calculate a new, analogous metric to the RdNBR using 167 NDVI- the relative delta normalized difference vegetation index (RdNDVI). We calculated the delta severity 168 indices (dNBR, dNBR2, dNDVI) without multiplying by a rescaling constant (e.g., we did not multiply the result by 1000 as in (23)). Following (51), we did not correct the delta indices using a phenological 170 offset value, as our approach implicitly accounts for phenology by incorporating multiple cloud-free images 171 across the same time window both before the fire and one year later. (Full equations can be found in the 172 Supplemental Methods)

Example algorithm outputs are shown in Fig. 3.

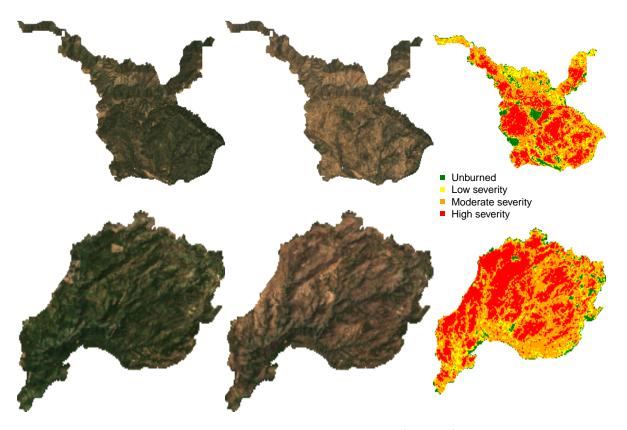


Figure 3: Example algorithm outputs for the Hamm Fire of 1987 (top half) and the American Fire of 2013 (bottom half) showing: prefire true color image (left third), postfire true color image (center third), relative burn ratio (RBR) calculation using a 48-day image collation window before the fire and one year later (right third). For visualization purposes, these algorithm outputs have been resampled to a resolution of  $100 \, \mathrm{m} \, \mathrm{x} \, 100 \, \mathrm{m} \, \mathrm{m} \, \mathrm{m} \, \mathrm{t} \, \mathrm{m} \, \mathrm{t} \, \mathrm{m} \, \mathrm{t} \, \mathrm{m} \, \mathrm{t} \, \mathrm{t} \, \mathrm{t} \, \mathrm{m} \, \mathrm{t} \, \mathrm{m} \, \mathrm{t} \, \mathrm{t} \, \mathrm{m} \, \mathrm{t} \, \mathrm{t} \, \mathrm{t} \, \mathrm{t} \, \mathrm{m} \, \mathrm{t} \, \mathrm{t} \, \mathrm{t} \, \mathrm{t} \, \mathrm{m} \, \mathrm{t} \, \mathrm{t}$ 

# 175 Calibrating remotely-sensed wildfire severity with field-measured wildfire severity

We calibrated our remotely-sensed measure of wildfire severity with 208 field measures of overstory tree mortality from two previously published studies (74, 75) (Fig. 1). The Composite Burn Index (CBI) is a metric of vegetation mortality across several vertical vegetation strata within a 30m diameter field plot (73). The CBI ranges from 0 (no fire impacts) to 3 (very high fire impacts), and has a long history of use as a standard for calibrating remotely-sensed severity data (23, 37, 39, 41, 42, 52, 73). Following (23), (37), (41), and (52), we fit a non-linear model to each remotely-sensed severity metric of the following form:

(1) remote\_severity =  $\beta_0 + \beta_1 e^{\beta_2 \text{cbi\_overstory}}$ 

We fit the model in Eq. 1 for all 7 of our remotely-sensed severity metrics (RBR, dNBR, RdNBR, dNBR2, RdNBR2, dNDVI, RdNDVI) using 4 different time windows from which to collate satellite imagery (16, 32, 48, and 64 days). Following (39), (41), and (52), we used bilinear interpolation to extract remotely-sensed severity at the locations of the CBI field plots to better align remote and field measurements. We also extracted remotely-sensed severity values using bicubic interpolation. In total, we fit 56 models (7 severity measures, 4 time windows, 2 interpolation methods) and performed five-fold cross validation using the modelr and purrr packages in R (76–78). To compare goodness of model fits with (23), (37), and (41), we report the average R<sup>2</sup> value from the five folds for each of the 56 models.

# 191 Remote sensing other conditions

### 192 Variability of vegetation

We used texture analysis to calculate a remotely-sensed measure of local forest variability (59, 60). Within
a moving square neighborhood window with sides of 90m, 150m, 210m, and 270m, we calculated forest
variability for each pixel as the standard deviation of the NDVI values of its neighbors (not including itself).

NDVI correlates well with foliar biomass, leaf area index, and vegetation cover (53), so a higher standard
deviation of NDVI within a given local neighborhood corresponds to discontinuous canopy cover and abrupt
vegetation edges (see Fig. 4) (79). Canopy cover is positively correlated with surface fuel loads including
dead and down wood, grasses, and short shrubs (80, 81), which are primarily responsible for initiation and
spread of "crowning" fire behavior which kills overstory trees (82).

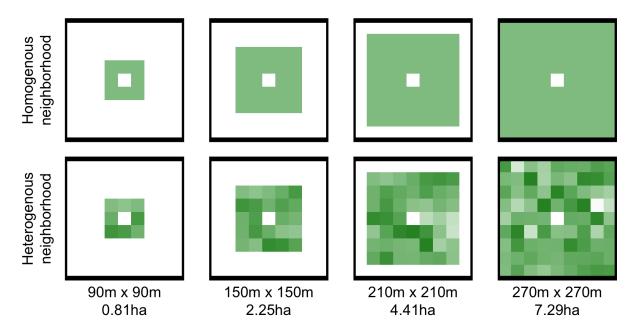


Figure 4: Example of homogenous forest (top row) and heterogenous forest (bottom row) with the same mean NDVI values ( $\sim$ 0.6). Each column represents forest structural variability measured using a different neighborhood size.

# 201 Topographic conditions

Elevation data were sourced from the Shuttle Radar Topography Mission (83), a 1-arc second digital elevation model. Slope and aspect were extracted from the digital elevation model. Per-pixel topographic roughness was calculated as the standard deviation of elevation values within a the same kernel sizes as those used for variability in forest structure (90m, 150m, 210m, and 270m on a side and not including the central pixel).

We used the digital elevation model to calculate the potential annual heat load at each pixel, which is an integrated measure of latitude, slope, and a folding transformation of aspect about the northeast-southwest line ((84) with correction in (85); See Supplemental Methods for equations)

## Moisture conditions

The modeled 100-hour fuel moisture data were sourced from the gridMET product, a gridded meteorological product with a daily temporal resolution and a 4km x 4km spatial resolution (86). We calculated 100-hour fuel moisture as the median 100-hour fuel moisture for the 3 days prior to the fire. The 100-hour fuel moisture is a correlate of the regional temperature and moisture which integrates the relative humidity, the length of day, and the amount of precipitation in the previous 24 hours. Thus, this measure is sensitive to multiple hot dry days across the 4km x 4km spatial extent of each grid cell, but not to diurnal variation in relative

216 humidity.

# 217 Remote samples

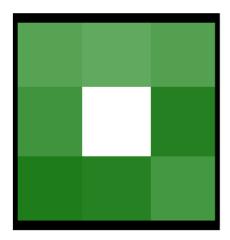
Approximately 100 random points were selected within each FRAP fire perimeter in areas designated as yellow pine/mixed-conifer forest and the values of wildfire severity as well as the values of each covariate were extracted at those points using nearest neighbor interpolation. Using the calibration equation described in Eq. 1 for the best configuration of the remote severity metric, we removed sampled points corresponding to "unburned" area prior to analysis (i.e., below an RBR threshold of 0.045. The random sampling amounted to 54409 total samples across 979 fires.

# Modeling the effect of forest variability on severity

We used the Relative Burn Ratio (RBR) calculated using bicubic interpolation within a 48-day window to derive our response variable for analyses of forest structural variability, as it showed the best correspondence 226 to field severity data measured as average R<sup>2</sup> in the 5-fold cross validation. Using the non-linear relationship 227 between RBR and CBI from the best performing calibration model, we calculated the threshold RBR that 228 corresponds to "high-severity" signifying complete or near-complete overstory mortality (RBR value of 0.282 229 corresponding to a CBI value of 2.25). If the severity at a remote sample point was greater than this threshold, the point was scored as a 1. We used a hierarchical logistic regression model (Eq. 2) to assess the probability 231 of high-severity wildfire as a linear combination of the remote metrics described above: prefire NDVI of each 232 pixel, standard deviation of NDVI within a neighborhood (i.e., forest structural variability), the mean NDVI 233 within a neighborhood, 100-hour fuel moisture, potential annual heat load, and topographic roughness. We included two-way interactions between the structural variability measure and prefire NDVI, neighborhood 235 mean NDVI, and 100-hour fuel moisture. We include the two-way interaction between a pixel's prefire NDVI and its neighborhood mean NDVI to account for structural variability that may arise differences between 237 these variables (see Fig. 5). We scaled all predictor variables, and estimated an intercept for each individual 238 fire with pooled variance.

# "Hole in the forest"

# "Isolated patch"



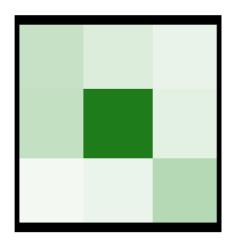


Figure 5: Conceptual diagram of 'decoupling' that sometimes occurs between the central pixel NDVI and the neighborhood mean NDVI. In each of these scenarios, our model results suggest that the probability that the central pixel burns at high severity is higher than expected given the additive effect of the covariates. The left panel depicts the "hole in the forest" decoupling, which occurs more frequently, and the right panel depicts the "isolated patch" decoupling.

$$severity_{i,j} \sim Bern(\phi_{i,j})$$

$$\beta_{0}+$$

$$\beta_{\text{nbhd\_stdev\_NDVI}} * \text{nbhd\_stdev\_NDVI}_{i}+$$

$$\beta_{\text{prefire\_NDVI}} * \text{prefire\_NDVI}_{i}+$$

$$\beta_{\text{nbhd\_mean\_NDVI}} * \text{nbhd\_mean\_NDVI}_{i}+$$

$$\beta_{\text{fm100}} * \text{fm100}_{i}+$$

$$\beta_{\text{pahl}} * \text{pahl}_{i}+$$

$$\beta_{\text{topographic\_roughness}} * \text{topographic\_roughness}_{i}+$$

$$\beta_{\text{nbhd\_stdev\_NDVI}*fm100} * \text{nbhd\_stdev\_NDVI}_{i} * \text{fm100}_{i}+$$

$$\beta_{\text{nbhd\_stdev\_NDVI}*prefire\_NDVI} * \text{nbhd\_stdev\_NDVI}_{i} * \text{prefire\_NDVI}_{i}+$$

$$\beta_{\text{nbhd\_stdev\_NDVI}*nbhd\_mean\_NDVI} * \text{nbhd\_stdev\_NDVI}_{i} * \text{prefire\_NDVI}_{i}+$$

$$\beta_{\text{nbhd\_stdev\_NDVI}*prefire\_NDVI} * \text{nbhd\_stdev\_NDVI}_{i} * \text{prefire\_NDVI}_{i}+$$

$$\beta_{\text{nbhd\_mean\_NDVI}*prefire\_NDVI} * \text{nbhd\_mean\_NDVI}_{i} * \text{prefire\_NDVI}_{i}+$$

$$\gamma_{j}$$

$$\gamma_{j} \sim \mathcal{N}(0, \sigma_{\text{fire}})$$

# Assessing the relevant scale of forest variability

Each neighborhood size (90m, 150m, 210m, 270m on a side) was substituted in turn for the neighborhood standard deviation of NDVI, neighborhood mean NDVI, and terrain ruggedness covariates to generate a candidate set of 4 models. To assess the scale at which the forest structure variability effect manifests, we compared the 4 candidate models based on different neighborhood sizes using leave-one-out cross validation (LOO cross validation) (87). We inferred that the neighborhood size window used in the best-performing model reflected the scale at which the forest structure variability effect had the most support.

# Statistical software and data availability

We used R for all statistical analyses (78). We used the brms package to fit mixed effects models in a Bayesian framework which implements the No U-Turn Sampler (NUTS) extension to the Hamiltonian Monte Carlo algorithm (88, 89). We used 4 chains with 3000 samples per chain (1500 warmup samples and 1500 posterior samples) and chain convergence was assessed for each estimated parameter by ensuring Rhat values were less than or equal to 1.01 (89).

# $_{\scriptscriptstyle{154}}$ Results

We found that the remotely sensed relative burn ratio (RBR) metric of wildfire severity measured across a 48-day interval prior to the wildfire discovery date correlated best with ground-based composite burn index (CBI) measurements of severity (5-fold cross validation  $R^2 = 0.82$ ; Fig. 6; Supp. Table 1). Our method to 257 calculate remotely sensed severity using automated Landsat image fetching performs as well or better than 258 most other reported methods that use hand-curation of Landsat imagery (see review in (43)). Further, several combinations of remotely sensed severity metrics, time windows, and interpolation methods validate well with 260 the ground based severity metrics, including those based on NDVI which is calculated using reflectance in shorter wavelengths than those typically used for measuring severity (Fig. 6). The top three configurations of 262 our remotely sensed severity metric are depicted in Fig. 6. Based on these model comparisons, we used the relative burn ratio (RBR) calculated using a 48-day time window before the fire and bicubic interpolation as our metric of severity. We created the boolean response 265 variable representing whether the sampled point burned at high-severity or not by determining whether the RBR exceeded 0.282, the threshold for high-severity derived using the non-linear relationship in Eq. 1 (Fig. 6).

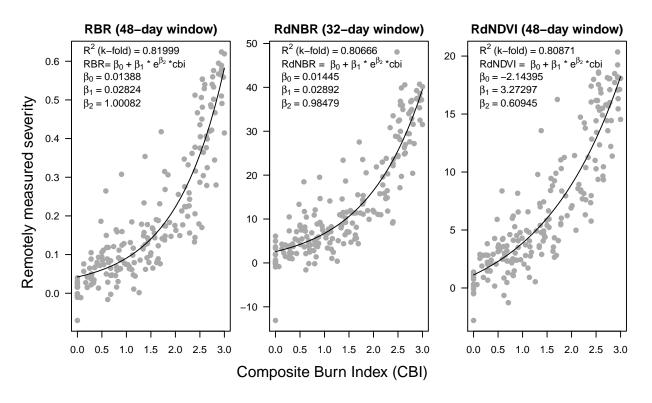


Figure 6: Three top performing remotely-sensed severity metrics based on 5-fold cross validation (relative burn ratio, 48-day window, bicubic interpolation; relative delta normalized burn ratio, 32-day window, bilinear interpolation; and relative delta normalized difference vegetation index, 48-day window, bilinear interpolation) calculated using new automated image collation algorithms, calibrated to 208 field measures of fire severity (composite burn index). See Supplemental Table 1 for performance of all tested models.

# Neighborhood size effect

Table 1: Comparison of four models described in Eq. 2 using different neighborhood sizes for calculating forest structural variability (standard deviation of NDVI within the neighborhood), neighborhood mean NDVI, and topographic roughness. LOO is calculated as -2 times the expected log pointwise predictive density (elpd) for a new dataset (87). The Bayesian R<sup>2</sup> is a "data-based estimate of the proportion of variance explained for new data" (90). Note that Bayesian R<sup>2</sup> values are conditional on the model so shouldn't be compared across models, though they can be informative about a single model at a time.

Model	Neighborhood size for	LOO	$\Delta  ext{LOO}$	SE of	LOO	Bayesian
	variability measure	(-2*elpd)	to best model	$\Delta { m LOO}$	model weight (%)	$\mathbb{R}^2$
1	90m x 90m	40785.77	0.000	NA	100	0.299
2	$150\mathrm{m} \ge 150\mathrm{m}$	40841.80	56.029	14.689	0	0.298
3	$210\mathrm{m}~\mathrm{x}~210\mathrm{m}$	40882.65	96.872	20.943	0	0.297
4	$270\mathrm{m}~\mathrm{x}~270\mathrm{m}$	40911.68	125.906	24.731	0	0.297

The model with the best out-of-sample prediction accuracy assessed by leave-one-out cross validation was the model fit using the smallest neighborhood size for the variability of forest structure (standard deviation of neighborhood NDVI), the mean of neighborhood NDVI, and the terrain roughness (standard deviation of elevation) Tab. 1. Model weighting based on the LOO score suggests 100% of the model weight belongs to the model using the smallest neighborhood size window.

# Effects of prefire vegetation density, 100-hour fuel moisture, potential annual heat load, and topographic roughness on wildfire severity

We report the results from fitting the model described in Eq. 2 using the smallest neighborhood size (90m x 90m) because this was the best performing model (see above) and because the size and magnitude of estimated coefficients were similar across neighborhood sizes (Supp. Table 2).

We found that the strongest influence on the probability of a forested area burning at high-severity was the density of the vegetation, as measured by the prefire NDVI at that central pixel. A greater prefire NDVI led to a greater probability of high-severity fire ( $\beta_{\text{prefire\_ndvi}} = 1.044$ ; 95% CI: [0.911, 1.174]); Fig. 7). There was a strong negative relationship between 100-hour fuel moisture and wildfire severity such that increasing 100-hour fuel moisture was associated with a reduction in the probability of a high-severity wildfire ( $\beta_{\text{fm}100} = -0.569$ ; 95% CI: [-0.71, -0.423]) (Fig. 7). Potential annual heat load, which integrates aspect, slope, and latitude, also had a strong positive relationship with the probability of a high-severity fire. Areas that were

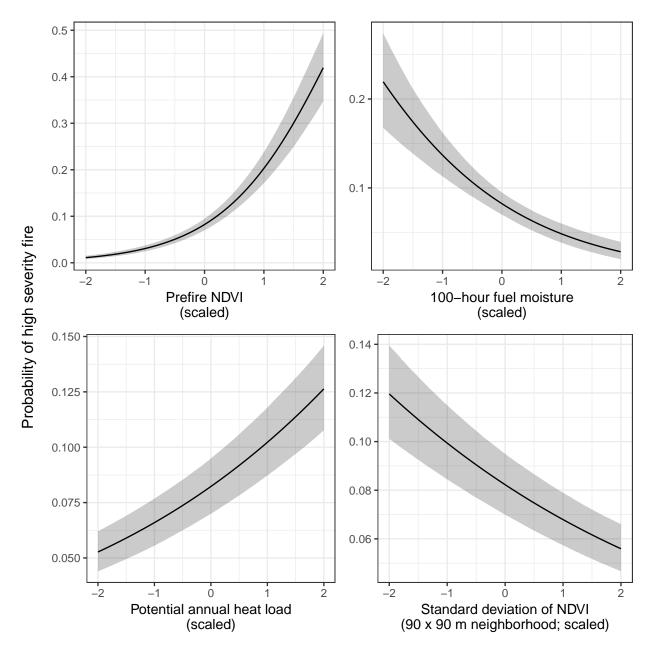


Figure 7: The main effects and shaded 95% credible intervals of the covariates having the strongest relationships with the probability of high-severity fire. All depicted relationships derive from the model using the 90m x 90m neighborhood size window for neighborhood standard deviation of NDVI, neighborhood mean of NDVI, and topographic roughness, as this was the best performing model of the four neighborhood sizes tested. The effect sizes of these covariates were similar for each neighborhood size tested.

- located on southwest facing sloped terrain at lower latitudes had the highest potential annual heat load, and they were more likely to burn at high-severity ( $\beta_{pahl} = 0.239$ ; 95% CI: [0.208, 0.271]) Fig. 7). We found no effect of local topographic roughness on wildfire severity ( $\beta_{topographic\_roughness} = -0.01$ ; 95% CI: [-0.042, 0.022]). We found a negative effect of the prefire neighborhood mean NDVI on the probability of a pixel burning at high-severity ( $\beta_{nbhd\_mean\_NDVI} = -0.14$ ; 95% CI: [-0.278, 0.002]). This is in contrast to the positive effect of the prefire NDVI of the pixel itself.
- There was also a strong negative interaction between the neighborhood mean NDVI and the prefire NDVI of the central pixel ( $\beta_{\rm nbhd\_mean\_NDVI*prefire\_NDVI}$  -0.573; 95% CI: [-0.62, -0.526]).

# 295 Effect of variability of vegetation structure on wildfire severity

We found strong evidence for a negative effect of variability of vegetation structure on the probability of a high-severity wildfire ( $\beta_{\text{nbhd\_stdev\_NDVI}} = -0.208$ ; 95% CI: [-0.247, -0.17]); Fig. 7). We also found significant interactions between variability of vegetation structure and prefire NDVI  $\beta_{\text{nbhd\_stdev\_NDVI*prefire\_NDVI}} = 0.125$ ; 95% CI: [0.029, 0.218]) as well as between variability of vegetation structure and neighborhood mean NDVI ( $\beta_{\text{nbhd\_stdev\_NDVI*nbhd\_mean\_NDVI}} = -0.129$ ; 95% CI: [-0.223, -0.034]).

# Discussion

# $_{\scriptscriptstyle 302}$ A new approach to remotely sensing wildfire severity

Broad-extent, fine-grain, spatially-explicit analyses of whole ecosystems are key to illuminating macroecological
phenomena (91). We used a powerful, cloud-based geographic information system and data repository, Google
Earth Engine, as a 'macroscope' (92) to study feedbacks between vegetation structure and wildfire disturbance
in yellow pine/mixed-conifer forests of California's Sierra Nevada mountain range. With this approach, we
reveal and quantify general features of this forest system, and gain deeper insights into the mechanisms
underlying its function.

We developed a new approach to calculating wildfire severity leveraging the cloud-based data catalog, the

We developed a new approach to calculating wildfire severity leveraging the cloud-based data catalog, the
large parallel processing system, and the distribution of computation tasks in Google Earth Engine to enable
rapid high-throughput analyses of earth observation data (69). Our programmatic assessment of wildfire
severity across the 979 Sierra Nevada yellow pine/mixed-conifer fires in the FRAP perimeter database, which
required fetching thousands of Landsat images and performing dozens of calculations across them, was

automated and took less than an hour to complete. We found that the relative burn ratio (RBR) calculated using prefire Landsat images collected over a 48-day period prior to the fire and postfire Landsat images collected over a 48-day period one year after the prefire images validated the best with ground based severity measurements (composite burn index; CBI). Further, we found that this method was robust to a wide range of severity metrics, time windows, and interpolation techniques.

Most efforts to calculate severity from satellite data rely on hand curation of a single prefire and a single 319 postfire image (23, 37–44). Recently, (52) found that using a composite of several prefire images and several postfire images to detect fire impacts performed at least as well as using a single pre- and post-fire image, 321 which also facilitated automated image fetching. (52) used 3- to 4-month windows during pre-specified times of the year (depending on the fire's region) to collate pre- and postfire imagery one year before the fire and 323 one year after. In contrast, we tested multiple time window lengths based on the fire start date regardless of 324 when it burned during the year. Basing our pre- and postfire image fetching on fixed lengths of time since 325 the fire start date standardized the amount of time elapsed in each severity assessment. Our best remotely 326 sensed severity configuration used a much shorter time window compared to (52) (48 days versus 3 to 4 327 months), which likely balanced an incorporation of enough imagery to be representative of the pre- and 328 post-fire vegetation conditions but not so many images that different phenological conditions across the time window added noise to each composite. 330

Many algorithms have been developed to measure fire effects on vegetation in an attempt to better correspond to field data (23, 41, 73). We found that several other remotely sensed measures of severity, including one based on NDVI that is rarely deployed, validated nearly as well with ground-based data as the best configuration (the Relative Burn Ratio). We echo the conclusion of (74) that the validation of differences between pre- and postfire NDVI to field measured severity data, which uses near infrared reflectance, is comparable to validation using more commonly used severity metrics (e.g., RdNBR and RBR) that rely on short wave infrared reflectance. One immediately operational implication of this is that the increasing availability of low-cost small unhumanned aerial systems (sUAS a.k.a. drones) and near infrared detecting imagers (e.g., those used for agriculture monitoring) may be used to reliably measure wildfire severity at very high spatial resolutions.

# Factors influencing the probability of high-severity wildfire

We found that the strongest influence on the probability of high-severity wildfire within any pixel was the prefire NDVI of that pixel. NDVI correlates with vegetation density and greater amounts of vegetation may

translate directly to greater live fuel loads as suggested by (52), who found a similar relationship. However in
yellow pine/mixed-conifer forest, live crown biomass plays a much smaller role in driving high severity fire
compared to surface fuel loads (82). Surface fuel load positively correlates with overstory canopy cover (80,
81), which correlates with NDVI (53) and thus the increasing probability of high severity fire with greater
NDVI is likely to be driven by greater surface fuel loads.

We found a strong positive effect of potential annual heat load as well as a strong negative effect of 100-hour fuel moisture, which corroborates similar studies (93). Some work has shown that terrain ruggedness (94), and particularly coarser-scale terrain ruggedness (95), is an important predictor of wildfire severity, but we found no effect using our measure of terrain ruggedness.

# Feedback between forest structural variability and wildfire severity

Critically, we found a strong negative effect of variability of vegetation structure on wildfire severity,
approximately equal to the magnitude of the effect of the potential annual heat load. Just as the effect
of NDVI is likely driven by surface fuel loads, the effect of variability in NDVI (our measure of structural
variability), is likely driven by a lack of continuity in surface fuel loads, which can reduce the probability of
initiation and spread of tree-killing crown fires (32, 33, 96–98).

The system-wide negative relationship between forest structural variability and wildfire severity that we 359 present closes a feedback loop that makes Sierra Nevada yellow pine/mixed-conifer forests resilient to wildfire. 360 Wildfires that burn with a mixture of low, moderate, and high-severity generate variable forest structure (99, 100). High proportions of high-severity wildfire, especially when high-severity fire occurs in large, contiguous 362 patches that are uncharacteristic of the system's natural range of variation, are at a higher risk for type conversion to non-forest. (19, 22, 101–103). In contrast, forests with fire regimes more similar to their natural 364 range of variation are less likely to experience type conversion (104). Thus, the relative proportion and patch configuration of high-severity fire compared to lower severity fire in the yellow pine/mixed-conifer system is a 366 key determinant of their long term persistence (21, 24). For instance, (105) found that half of the yellow pine/mixed-conifer system would reach an old-growth condition under pre-suppression levels of high-severity 368 fire, but that only 13% of the forest would reach old-growth condition under modern, elevated probabilities of high-severity fire. 370

Texture analysis has been used to measure habitat heterogeneity in ecology, but has only recently gained recognition for its potential to quantify system resilience in cases where texture measurements reflect the spatial process by which a system stabilizes (106). In our case, we measure variability in vegetation structure as a spatial feature that is part of the feedback loop between wildfire disturbance and forest spatial structure.

We gain insight into longer-term system dynamics by measuring a signature of the pattern forming process

itself— the negative relationship between structural variability and wildfire severity. More work is needed

to assess the degree to which *changing* spatial features of yellow pine/mixed-conifer forests— or the spatial

features of the wildfire disturbance that affect them— may capture the precariousness of a system to a state

change (e.g., to a non-forested system) or an erosion of the underlying feedbacks that make a system resilient.

# Neighborhood size

We found that the effect of a forest patch's neighborhood characteristics on the probability of high-severity fire materialized at the smallest neighborhood size that we tested, 90m x 90m. This suggests that the moderating effect of variability in vegetation structure on fire severity is a very local phenomenon. This corroborates work by (107), who found that crown fires (with high tree killing potential) were almost always reduced to surface fires (with low tree killing potential) within 70m of entering an fuel reduction treatment area.

At a landscape level, forest treatments that reduce fuel loads and increase structural variability can be
effective at reducing fire severity across broader spatial scales (108, 109), which may reflect that severity
patterns at broad scales are emergent properties of very local interactions between forest structure and fire
behavior. The notion of emergent patterns of severity arising from local effects of vegetation structure is
supported by work on fuel reduction treatments, which suggests that fire behavior can be readily modified
with forest structural changes to only 20 (when strategically located) to 60% (when randomly located) of the
landscape (33).

Here, we investigated the effect of different scales of variability in forest structure separately to assess which
scale, on average, was most relevant as a driver of wildfire severity. It is possible that the relevant scale of
structural variability itself varies across the system. For instance, some work has demonstrated that the scale
of the forest variability effect can depend on fire weather, with small-scale structural variability failing to
influence fire behavior under extreme conditions (110). We detected no interaction between our measure of
regional climate conditions just before a fire and our measure of structural variability, but our model cannot
capture shorter-duration weather conditions that might lead to the observation of (110).

## 400 Correlation between covariates and interactions

Unexpectedly, we found a strong interaction between the prefire NDVI at a pixel and its neighborhood mean 401 NDVI. The interaction decreases the overall probability of high-severity wildfire when these two variables 402 covary (Spearman's  $\rho = 0.97$ ), effectively dampening the dominating effect of prefire NDVI. That is: if both 403 the prefire NDVI and the prefire neighborhood NDVI are higher, the probability of high-severity fire doesn't 404 increase quite as much as expected from the additive effect of these two covariates alone and conversely, if both the prefire NDVI and the prefire neighborhood NDVI decrease, the probability of high-severity fire 406 doesn't decrease quite as much as expected from the additive effects of these variables alone. Thus, though the relative effect of prefire NDVI on the probability of high-severity fire is still positive and large, its real-world 408 effect might be more comparable to other modeled covariates when including the negative main effect of neighborhood mean NDVI and the negative interaction effect of prefire NDVI and neighborhood mean NDVI 410  $(\beta_{\text{prefire\_ndvi}} + \beta_{\text{nbhd\_mean\_NDVI}} + \beta_{\text{nbhd\_mean\_NDVI}*_{\text{prefire\_NDVI}}} = 0.331)$ . When these variables covary, the 411 effect of vegetation density (including the central pixel and the neighborhood) becomes the second strongest 412 effect on the probability of high-severity wildfire, behind the 100-hour fuel moisture. 413

When prefire NDVI and the neighborhood mean NDVI are decoupled, there is an overall effect of increasing the probability of high-severity fire. When prefire NDVI at the central pixel is high and the neighborhood NDVI 415 is low (e.g., an isolated vegetation patch), the probability of high-severity fire is expected to dramatically 416 increase. When prefire NDVI at the central pixel is low and the neighborhood NDVI is high (e.g., a hole 417 in the center of an otherwise dense forest), the probability of high-severity fire at that central pixel is still 418 expected to be fairly high even though there is limited vegetation density there (see Fig. 5). When these 419 variables do decouple, they tend to do so in the "hole in the forest" case and lead to a greater probability of 420 high-severity fire at the central pixel despite there being limited vegetation density. This can perhaps be explained if the consistently high vegetation density in a local neighborhood—itself more likely to burn at 422 high-severity exerts a contagious effect on the central pixel, raising its probability of burning at high-severity regardless of how much fuel might be there to burn. Texture can also be used to classify vegetation types 424 (111), so another possibility is that a decoupled prefire NDVI and neighborhood mean NDVI is characteristic of a particular vegetation type prone to burning at high-severity, such as shrubs (112).

# Caveats

Our approach to remotely measure wildfire severity should work best in denser vegetation such as forests, as
the signal of a wildfire in other systems can be invisible in a matter of weeks (48). This method would also

- require calibration with field data in other systems, as some severity metrics (such as RBR and RdNBR) have found limited success in other regions (44). The flexibility of our approach should allow for rapid prototyping of novel algorithm configurations to remotely measure severity.
- The calibration of our remotely-sensed severity metric to the Composite Burn Index would likely improve with additional ground data. Though we used data from 208 field plots, they represent only 12 fires and 4 years (1999, 2001, 2002, 2003).
- Our 100-hour fuel moisture measurement captures regional climate conditions on the scale of 4km and across several days, but it misses local weather phenomena such as strong wind events and plume-dominated fire behavior which can greatly influence wildfire severity (110, 113).
- We have captured a coarse measure of forest structural variability. The grain size of our measurement was 439 constrained to the 30m x 30m pixel size of Landast satellite imagery, and the minimum spatial extent of a local 440 vegetation neighborhood that we could capture was 90m x 90m. While we did find that this coarse measure 441 does strongly relate to the probability of high-severity fire, it does not account for fire behavior or spatial pattern forming processes at the individual tree scale. Due to the correlation of NDVI with vegetation density 443 and the spatial constraints on our measurement of forest structural variability as the standard deviation of neighborhood NDVI, we are most likely capturing "intermediate" scales of forest heterogeneity such as the 445 presence and absence of canopy gaps greater than 30m (114). Our approach may prove useful at finer scales using different sets of remotely sensed data (e.g., National Agriculture Imagery Program, Sentinel-2), but at 447 a cost of temporal scale (115). Additional metrics of variability such as vegetation patch size distributions or non-vegetated gap size distributions (99), may also be more tractable using imagery with a finer spatial 449 resolution. 450

# 451 Conclusions

- While the severity of a wildfire in any given place is controlled by many variables, we have presented strong evidence that, across large areas of forest, variable forest structure generally makes yellow pine/mixed-conifer forest in the Sierra Nevada more resistant to this inevitable disturbance. It has been well-documented that frequent, low-severity wildfire maintains forest structural variability. Here, we demonstrate a system-wide reciprocal effect suggesting that greater local-scale variability of vegetation structure makes fire-prone dry forests more resilient to wildfire and may increase the probability of their long-term persistence.
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