# Path-based depth-first search for strong and biconnected components

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#### Outline

- Introduction
- Strong Components
  - Reviews
  - Purdom and Munro's High-Level Algorithm
  - Contribution
  - Discussion
- Biconnected Components
  - Review
  - High-Level Algorithm
  - Gabow's Algorithms





## Characterastics of Gabow's Algorithms

- One-pass algorithm. But for the algorithm of strong components, what we have learned from the textbook is a two-pass algorithm, by which we must traverse the whole graph twice.
- Lower time and space complexity. This algorithm only use two stacks and an array, and do not employ a disjoint-set data structure.





#### Outline

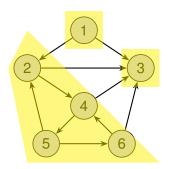
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## Review: What have we learned from the textbook? Concepts of Strong Components

 Two mutually reachable vertices are in the same strong component.







## Review: What have we learned from the textbook? Algorithms to Find Strong Components

- Idea: Run DFS twice. Once on the original graph G, once on its tranposition G<sup>T</sup>.
- Trick: Using finishing times of each vertex computed by the first DFS.
- Linear time complexity: O(V + E)
- Proposed by S. Rao Kosaraju, known as the Kosaraju's Algorithm.





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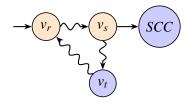
#### Purdom and Munro's High-Level Algorithm: Plain text

- Initially H is the given graph G. If H has no vertices stop. Otherwise start a new path P by choosing a vertex v and setting P = (v). Continue by growing P as follows.
- To grow the path  $P = (v_1, \dots, v_k)$  choose an edge  $(v_k, w)$  directed from the last vertex of P and do the following:
  - If  $w \notin P$ , add w to P, making it the new last vertex of P. Continue growing P.
  - If  $w \in P$ , say  $w = v_i$ , contract the cycle  $v_i, v_{i+1}, \dots, v_k$ , both in H and in P. P is now a path in the new graph H. Continue growing P.
  - If no edge leaves  $v_k$ , output  $v_k$  as a vertex of the strong component graph. Delete  $v_k$  from both H and P. If P is now nonempty continue growing P. Otherwise try to start a new path P.



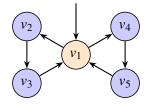


#### How to implement by DFS?



- Assume the current node is  $v_s$  which has at least two adjacent nodes. The current path is  $P = (\cdots, v_r, \cdots, v_s)$ .
- For the node adjacent to  $v_s$  but also in the SCC, after running Sub-DFS() on this node, it will be removed with the SCC.

#### How to implement by DFS?



• For nodes in strong components  $(v_r, \dots, v_s, \dots, v_t)$ , they cannot be deleted(but be contracted first) until the Sub-DFS() on the last vertex  $v_r$  in this SCC is finished.





#### Pseudo Code

#### **Algorithm 1:** Strong components: Main-DFS(G) (DFS caller)

H=G;

while H still has a vertex v do

Sub-DFS(v); /\* start a new path 
$$P = (v)$$







#### Pseudo Code

```
Algorithm 2: Strong components: Sub-DFS(v) (DFS callee)
add the v as the new last vertex of path P;
for w \in \{vertices adjacent to v\} do
   if w \notin P then
       Sub-DFS(w);
   else /* w = v_i, and v = v_k
       contract the cycle v_i, v_{i+1}, \dots, v_k, both in H and in P;
if no edge leaves v and v is the last DFS-finished vertex in a
 SCC then
   output v as a vertex of the strong component graph;
   delete v from both H and P;
```

#### **Assessment**

- Note that contracting means selecting one vertex as a representation and merging others rather than deleting them.
- Correctness: If no edge leaves  $v_k$  then  $v_k$  is a vertex of the finest acyclic contraction.





#### **Assessment**

 The time consumption of each statement in the pseudo-code is clear. Total time complexity is linear. except this statement:

```
contract the cycle v_i, v_{i+1}, \dots, v_k, both in H and in P;
```

- Problem is how to merge in linear time while keeping the next time accessing this vertex still in constant time.
- Therefore, a good data structure for disjoint-set merging is needed usually.





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#### Gabow's Contribution

- He gave a simple list-based implementation that achieves linear time.
- Use only stacks and arrays as data structure.
- Do not need a disjoint set merging data structure.





## Data Structure Used in Algorithm

- In DFS, the path P from root to each node is almost always significant. So it is in this algorithm.
- A stack S contains the sequence of vertices in P.
- A stack B contains the boundaries between contracted vertices.
- An array I[1...n] is used to store stack indices corresponding to vertices.





#### **Contraction Makes Much Difference**

- When contraction is executed, some vertices merges into a set.
- It is possible that several elements in stack S are in the same vertex in path P. More formal,

$$v_i = S[j] : B[i] \le j < B[i+1]$$

• By the way, the formal definition of I[v] is

$$I[j] = \begin{cases} 0, & \text{if } v \text{ has never been in P;} \\ j, & \text{if } v \text{ is currently in P and } S[j] = v; \\ c, & \text{if the strong component containing } v \text{ has been deleted and numbered as } c. \end{cases}$$

where c counts from n + 1.



## New algorithm to discover strong components

```
Procedure 3: STRONG(G)

empty stacks S and B;

for v \in V do

|I[v] = 0;

c = n;

for v \in V do

|if I[v] = 0 then /* vertex v has never been
```

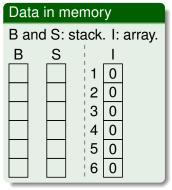


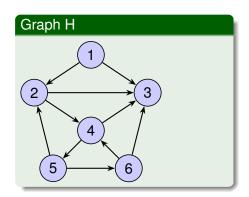


accessed yet | DFS(v);

## New algorithm to discover strong components

```
Procedure 4: DFS(v)
PUSH(v, S); I[v] = TOP(S); PUSH(I[v], B);
/* add v to the end of P
for egdes(v, w) \in E do
   if I[w] = 0 then
       \mathsf{DFS}(w);
   else /* contract if necessary
                                                                * /
       while I[w] < B[TOP(B)] do
          POP(B);
if I[v] = B[TOP(B)] then /* number vertices of the next
 strong component
                                                                * /
   POP(B);
   c = c + 1;
   while I[v] < TOP(S) do
       I[\mathsf{POP}(S)] = c;
```

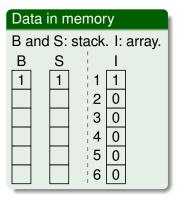


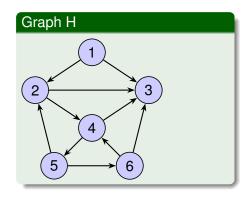


- Call Stack: STRONG()
- This state is the first after initialized. DFS(1) is going to be called.



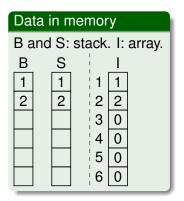


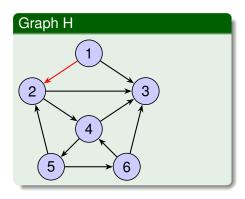




- Call Stack: STRONG()→DFS(1)
- Code: for edges(v,w)∈E do ...
- w = 2.

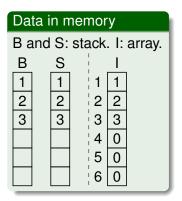


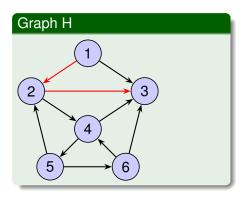




- Call Stack: STRONG()→DFS(1)→DFS(2)
- Code: for edges(v,w)∈E do ...
- w = 3.

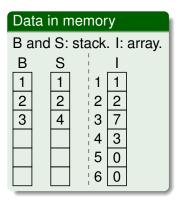


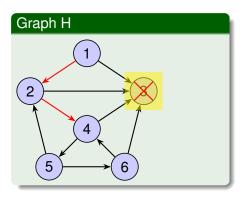




- Call Stack: STRONG()→DFS(1)→DFS(2)→DFS(3)
- Code: if I[v]=B[TOP(B)] then ...
- Go back.

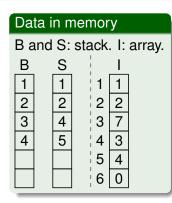


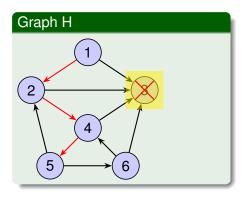




- Call Stack: STRONG()→DFS(1)→DFS(2)→DFS(4)
- Code: for edges(v,w)∈E do ...
- w = 5.

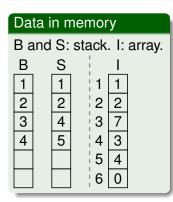


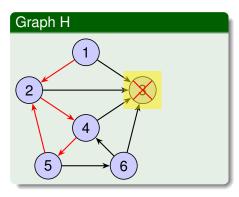




- Call Stack:  $\cdots \rightarrow DFS(1) \rightarrow DFS(2) \rightarrow DFS(4) \rightarrow DFS(5)$
- Code: for edges(v,w)∈E do ...
- w = 2.



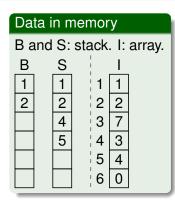


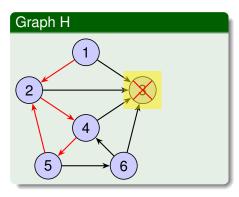


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- Call Stack:  $\cdots \rightarrow DFS(1) \rightarrow DFS(2) \rightarrow DFS(4) \rightarrow DFS(5)$
- Code: while I[w] < B[TOP(B)] do POP(B);</pre>
- Now, w = 2, contract!



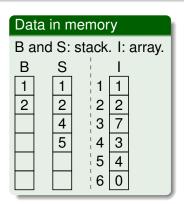


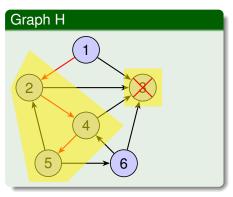


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- Call Stack:  $\cdots \rightarrow DFS(1) \rightarrow DFS(2) \rightarrow DFS(4) \rightarrow DFS(5)$
- Code: while I[w] < B[TOP(B)] do POP(B);</pre>
- Now, w = 2, contract!

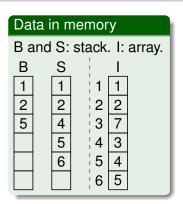


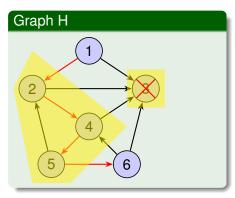




- Call Stack:  $\cdots \rightarrow DFS(1) \rightarrow DFS(2) \rightarrow DFS(4) \rightarrow DFS(5)$
- Code: if I[w] = 0 then DFS(w);
- w = 6.



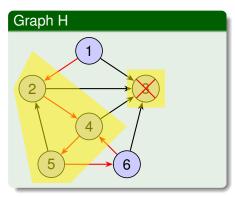




- Call Stack:  $\cdots \rightarrow DFS(2) \rightarrow DFS(4) \rightarrow DFS(5) \rightarrow DFS(6)$
- Code: for edges(v,w)∈E do ...
- w = 4.

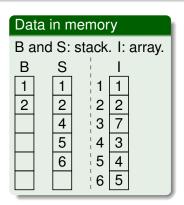


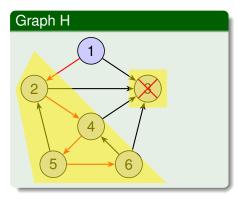
Data in memory		
B and S: stack. I: array.		
В	S	<u> </u>
1	1	1 1
5	2	2 2
5	4	3 7
	5	4 3
	6	5 4
		6 5



- Call Stack:  $\cdots \rightarrow DFS(2) \rightarrow DFS(4) \rightarrow DFS(5) \rightarrow DFS(6)$
- Code: while I[w] < B[TOP(B)] do POP(B);</pre>
- Now, w = 4, contract!

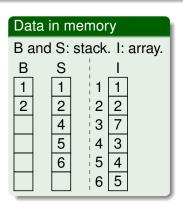


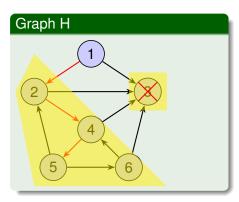




- Call Stack:  $\cdots \rightarrow DFS(2) \rightarrow DFS(4) \rightarrow DFS(5) \rightarrow DFS(6)$
- Code: if I[v]=B[TOP(B)] then ...
- Go back.

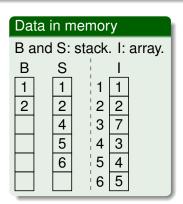


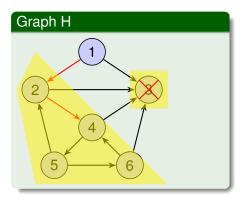




- Call Stack:  $\cdots \rightarrow DFS(2) \rightarrow DFS(4) \rightarrow DFS(5)$
- Code: if I[v]=B[TOP(B)] then ...
- Go back.

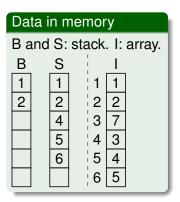


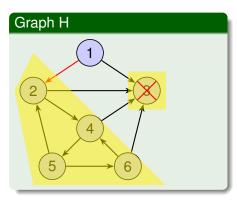




- Call Stack: · · · → DFS(2) → DFS(4)
- Code: if I[v]=B[TOP(B)] then ...
- Go back.



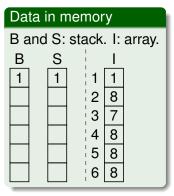


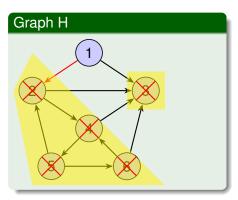


- Call Stack: STRONG()→DFS(1)→DFS(2)
- Code: if I[v]=B[TOP(B)] then ...
- Go back. But this time, Condition in last line is satisfied!





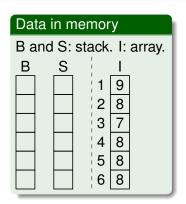


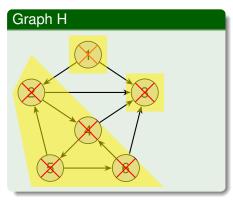


- Call Stack: STRONG()→DFS(1)→DFS(2)
- Code: while I[v]≤TOP(S) do I[POP(S)]=c;
- Pop 2 from B, while 2, 4, 5, 6 in S are also popped.



# Demo: Gabow's strong components algorithm





- Call Stack: STRONG()→DFS(1)
- Code: while I[v]≤TOP(S) do I[POP(S)]=c;
- Pop the last one both in B and in S. Finished!!



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# Correctness of Gabow's Strong Components Algorithm

### Theorem (Correctness and Time Complexity)

When STRONG(G) halts each vertex  $v \in V$  belongs to the strong component numbered I[v]. The time and space are both O(V + E).

 The key of proof is to show that STRONG(G) is a valid implementation of the P&M's high-level algorithm.





# Framework of STRONG(G)

## **Algorithm 5:** Strong components: Main-DFS(G) (DFS caller)

```
H = G;
while H still has a vertex v do

Sub-DFS(v); /* start a new path P = (v)

*/
```

#### Procedure 6: STRONG(G)

```
empty stacks S and B;

for v \in V do

\mid I[v] = 0;

c = n;

for v \in V do

\mid \text{if } I[v] = 0 \text{ then } / \star v \text{ has never been accessed}

\mid \mathsf{DFS}(v);
```





# Growing Path P

## Algorithm 7: A Part of High-Level Algorithm

```
\begin{array}{l} \textbf{for } w \in \{\textit{vertices adjacent to } v\} \textbf{ do} \\ & \textbf{if } w \notin P \textbf{ then} \\ & \mid \textbf{ Sub-DFS}(w); \\ & \textbf{else } / \star \ w = v_i, \ \text{ and } \ v = v_k \\ & \mid \textbf{ contract the cycle } v_i, v_{i+1}, \cdots, v_k, \textbf{ both in } H \textbf{ and in } P; \end{array}
```

#### **Procedure 8:** A Part of DFS(v)

```
for egdes(v, w) \in E do

| if I[w] = 0 then
| DFS(w);
| else /* contract if necessary */
| while I[w] < B[TOP(B)] do
| POP(B);
```



# Having Found a Strong Components

### Algorithm 9: A Part of High-Level Algorithm

if no edge leaves v and v is the last DFS-finished vertex in a SCC then

output v as a vertex of the strong component graph; delete v from both H and P;

## **Procedure 10:** A Part of DFS(v)





# **Time Complexity**

- Every vertex is pushed onto and popped from each stack S, B exactly once. So the algorithm spends O(1) time on each vertex or edge.
- Time Complexity: O(V + E)
- Intuitively, from another view, this algorithm is based on DFS, and no loop is executed on one vertex or one edge.





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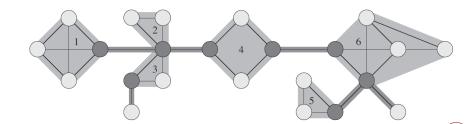
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## **Review: Biconnected Component**

 A biconnected component of G is a maximal set of edges such that any two edges in the set lie on a common simple cycle.



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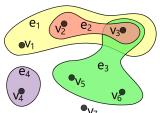
# Concepts: Hypergraph

- A hypergraph H = (V, E) is a generalization of a graph in which an edge can join any number of vertices.
- In the following hypergraph,

$$V = \{v_1, v_2, v_3, v_4, v_5, v_6, v_7\}$$

$$E = \{e_1, e_2, e_3, e_4\}$$

$$= \{\{v_1, v_2, v_3\}, \{v_2, v_3\}, \{v_3, v_5, v_6\}, \{v_4\}\}$$







# Concepts: Hypergraph

- Therefore, we need redefine the edge, path, cycle, ..., and nearly all concepts as long as it is relative to edge.
- A path is a sequence  $(v_1, e_1, \cdots, v_k, e_k)$  of distinct vertices  $v_i$  and distinct edges  $e_i$ ,  $1 \le i \le k$ , with  $v_1 \in e_1$  and  $v_i \in e_{i-1} \cap e_i$  for every  $1 < i \le k$ .
- An important property:

$$v_{i+1} \in e_i - v_i, \quad 1 \le i < k$$

 Merging a set of edges is to replace old edges with the new one:

$$e_{new} = \bigcup_{i=1}^{k} e_i$$





# Addtional Concepts We Need

- The block hypergraph H of G is the hypergraph formed by merging the edges of each biconnected component of G.
- The set of all vertices in edges of P is denoted

$$V(P) = \bigcup_{i=1}^k e_i$$





# High-Level Algorithm in Plain Text

- Initially H is the given graph G. If H has no edges stop. Otherwise start a new path P by choosing an edge  $\{v, w\}$  and setting  $P = (v, \{v, w\})$ . Continue by growing P.
- To grow the path  $P = (v_1, e_1, \dots, v_k, e_k)$  choose an edge  $\{v, w\} \neq e_k$  with  $v \in e_k v_k$  and do:
  - If  $w \notin V(P)$ , add v,  $\{v, w\}$  to the end of P. Continue growing P.
  - If  $w \in V(P)$ , say  $w \in e_i v_{i+1}$ , merge the edges of the cycle w,  $e_i$ ,  $v_{i+1}$ ,  $e_{i+1}$ ,  $\cdots$ ,  $v_k$ ,  $e_k$ , v,  $\{v, w\}$  to a new edge  $e = \bigcup_{i=j}^k e_i$ , both in H and in P. Continue growing P.
  - If no edge leaves  $e_k v_k$ , output  $e_k$  as an edge of the block hypergraph. Delete  $e_k$  from H and delete  $(v_k, e_k)$  from P. If P is now nonempty continue growing P. Otherwise try to start a new path P.





## Pseudo Code

## **Algorithm 11:** Biconnected Components

```
H=G:
while H still has an edge \{v, w\} do
    start a new path P = (v, \{v, w\});
    while P is not empty do /* Grows path P
        if the last vertex v_k of P has an edge (v_k, w) then
            if w \notin VP then / \star V\{P\} is the set of all
             vertices in P
                add v, \{v, w\} to the end of P, as the new last vertex
                 and edge of P:
            else /* w \in e_i - v_{i+1}, but most likely w \neq v_i
                replace the cycle w, e_i, v_{i+1}, e_{i+1}, \cdots, v_k, e_k, v, \{v, w\}
                 to a new edge e = \bigcup_{i=1}^k e_i, both in H and in P;
        else
            output v_k as a vertex of the strong component graph;
```

delete  $v_k$  from both H and P;

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# **Algorithms**

```
Procedure 12: BICONN(G)
```

```
empty stacks S and B;

for v \in V do

\mid I[v] = 0;

c = n;

for v \in V do

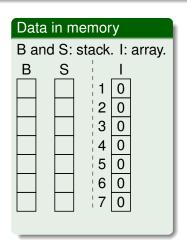
\mid \text{if } I[v] = 0 \text{ and } v \text{ is not isolated then}

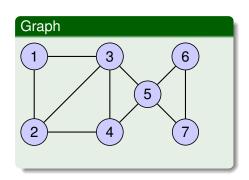
\mid \mathsf{DFS}(v);
```



# **Algorithms**

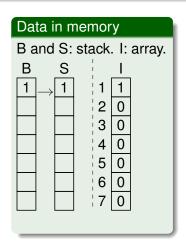
```
Procedure 13: DFS(v)
PUSH(v, S); I[v] = TOP(S);
if I[v] > 1 then /* create a filled arrow on B
   PUSH(I[v], B);
for egdes\{v, w\} \in E do
   if I[w] = 0 then /* create an open arrow on B
       PUSH(I[v], B); DFS(w);
   else /* possible merge
       while I[v] > 1 and I[w] < B[TOP(B) - 1] do
          POP(B); POP(B);
if I[v] = 1 then
   I[\mathsf{POP}(S)] = c;
else if I[v] = B[TOP(B)] then
   POP(B); POP(B); c = c + 1;
   while I[v] < TOP(S) do I[POP(S)] = c;
```

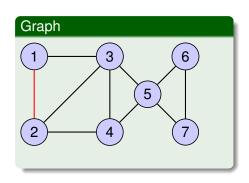




Current procedure: BICONN(G)

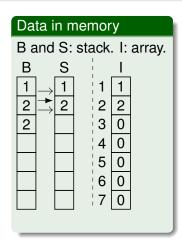


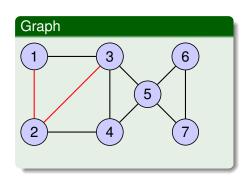




• Current procedure: DFS(1): w=2

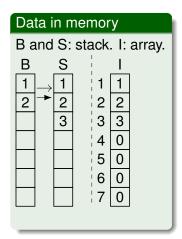


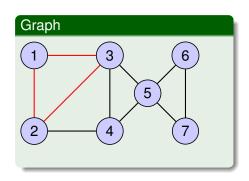




Current procedure: DFS(2): w=3

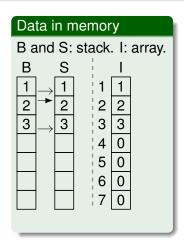


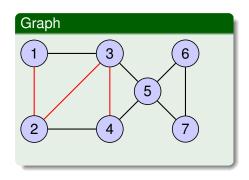




Current procedure: DFS(3): w=1



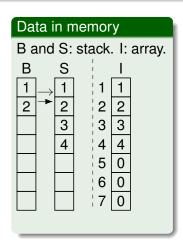


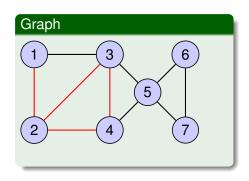


• Current procedure:

DFS(3): w=4



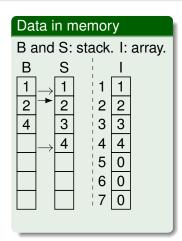


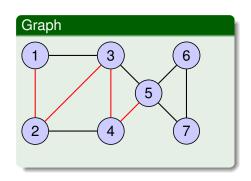


Current procedure:

DFS(4): w=2



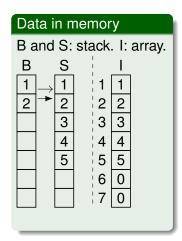


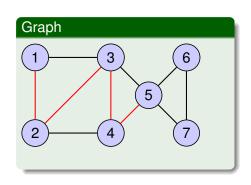


• Current procedure:

DFS(4): w=5



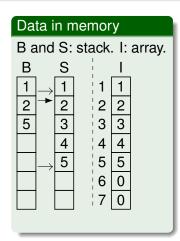


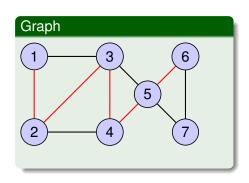


• Current procedure:

DFS(5): w=3



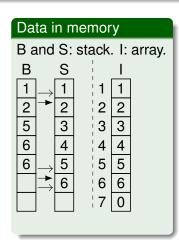


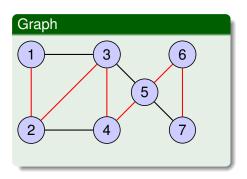


• Current procedure:

DFS(5): w=6







• Current procedure:

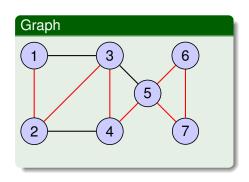
DFS(6): w=7



# 



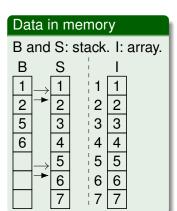
Current procedure:



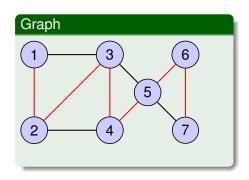
DFS(7): w=5







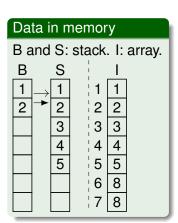
• Current procedure:



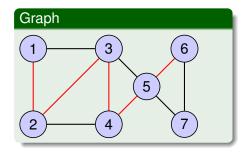
DFS(7): End







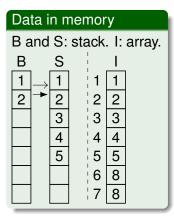
• Current procedure:



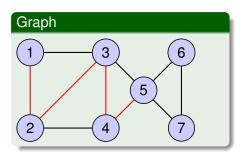
DFS(6): End







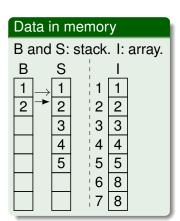
Current procedure: when w=7)



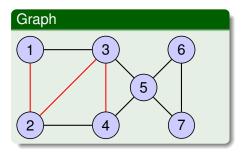
DFS(5): End(No operation







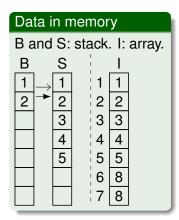
Current procedure:



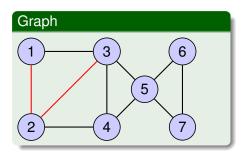
DFS(4): End







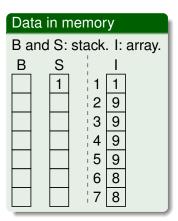
Current procedure: when w=5)



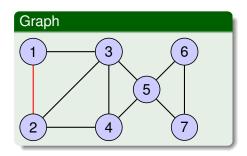
DFS(3): End(No operation







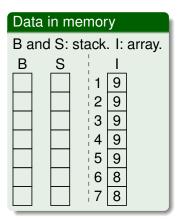
Current procedure:



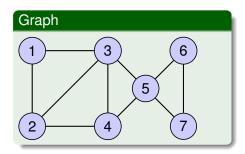
DFS(2): End







• Current procedure:



DFS(1): End





# Summary

- Gabow gave algorithms to find the strong components and biconnected components more effectively. They are one-pass algorithms while do not need a disjoint-set data structure.
- There is a close relationship between strong components and biconnected components, like two faces of a coin: one is directed graph, another is undirected graph.



