

Heaps

Keys are assigned to its node (one key per node)

Prop. to be satisfied

1) Trees structure: It should be a complete binary tree:-

→ Completely filled on all levels except the last one with a possible exception that the last level is not completely filled

→ Lowest level filled from L to R

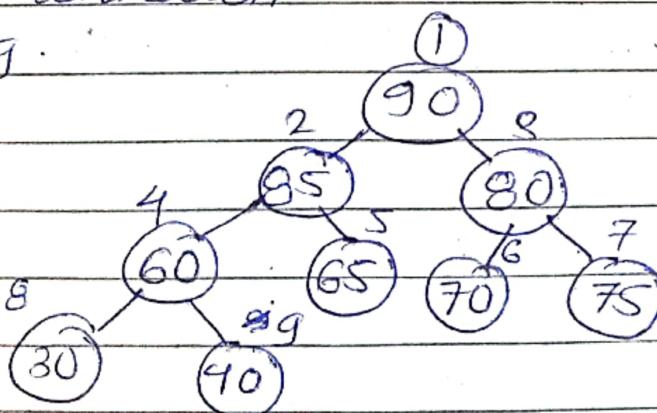
2) Heap order:

should follow max-heap or min-heap property

Max-Heaps:

Key present at the root node must be greater than or equal to among keys present at all of its children.

E.g.



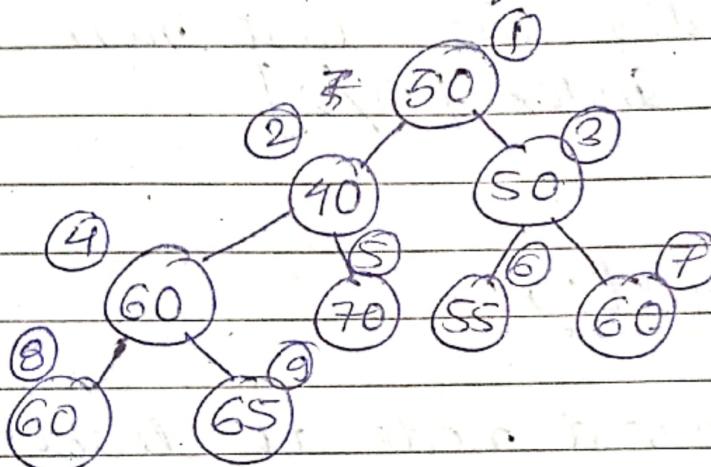
array Representation:

90	85	80	60	65	70	75	80	30	40
----	----	----	----	----	----	----	----	----	----

Max-Heap: Min-Heap:

Key present at the root must be less than or equal to among keys present at all of its children.

E.g.



Representation:

30	40	50	60	70	55	60	60	65
----	----	----	----	----	----	----	----	----

Index Notes:

We begin indexing the root node at $i=1$. (It makes the calculations easy)

If a node has index = i ,

then index of its

left child = 2^i

Right child = $2^i + 1$

If a node has index i :

$$\begin{cases} i \text{ is Even:} \\ \text{Parent} = i/2 \end{cases}$$

$$\boxed{i \text{ is odd: Parent} = (i-1)/2}$$

At the end: for any index i

$$\text{Parent} = \lfloor i/2 \rfloor \rightarrow \text{G-I-F.}$$

\Rightarrow For n nodes the last non-leaf node has index $\lfloor n/2 \rfloor$

Level notes

- The leftmost node at level k (with level $k=0$ being the level of root) is node with index 2^k .
- A node with index i is located at an level $\lceil \lg i \rceil$ $\lfloor \lg i \rfloor$.
- If there are n nodes in all, then max. level (the leaf level) equals $\lceil \lg n \rceil$.
- The no. of levels "left" a node with index i in leaf layer, inclusive, is then $\lceil \lg n \rceil - \lceil \lg i \rceil + 1$

For a heap containing n nodes:

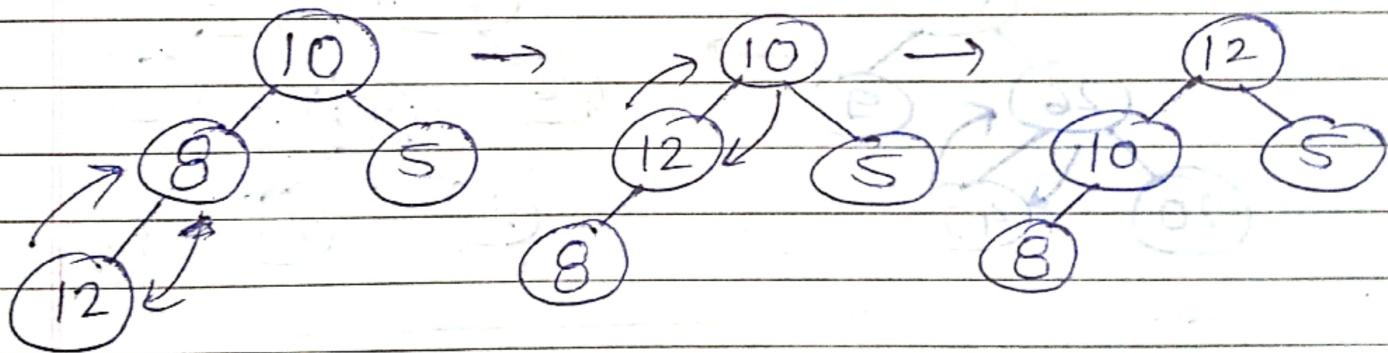
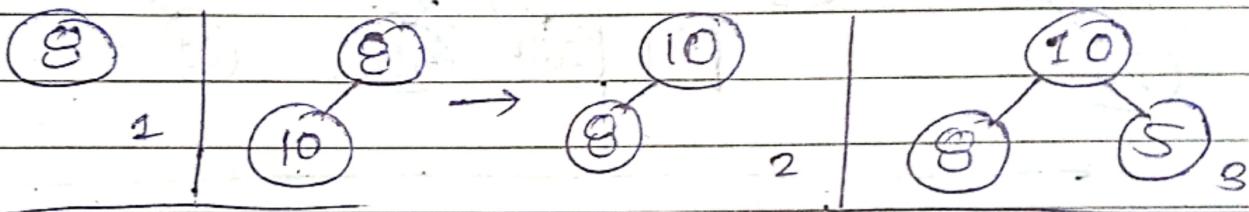
leaf nodes lie from $(\frac{n+1}{2})$ index to n^{th} index

Top-Down Approach or Upheapify

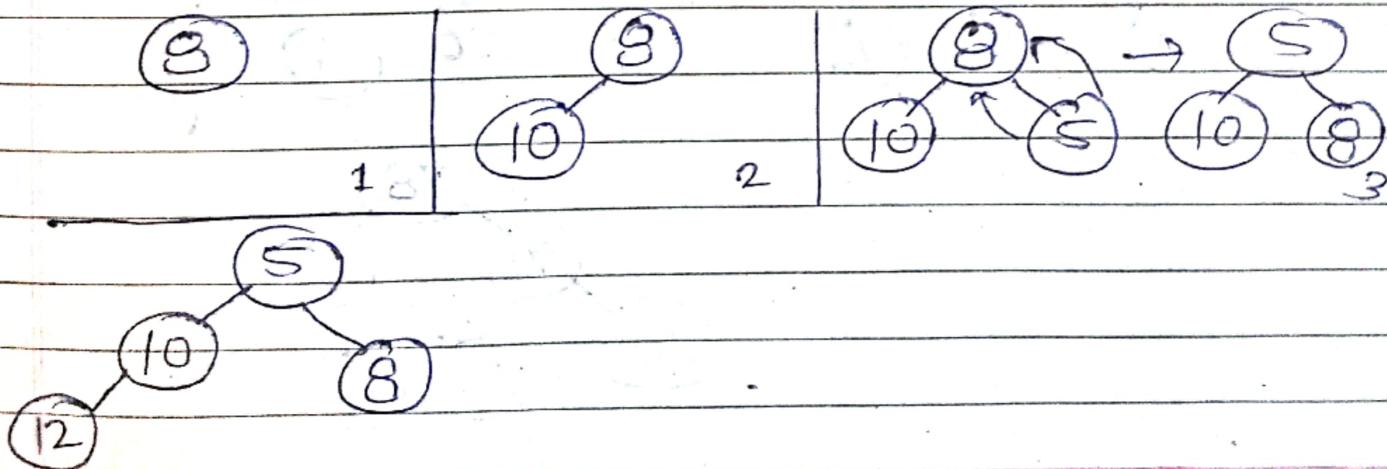
array:

Elements: 8, 10, 5, 12,

Max-Heap:



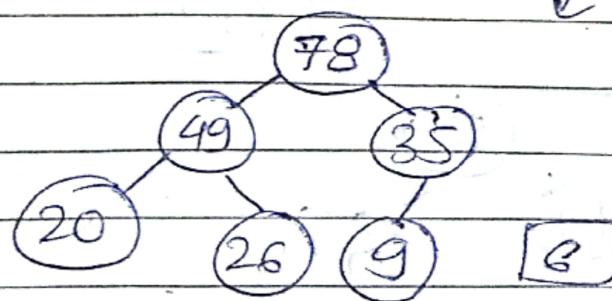
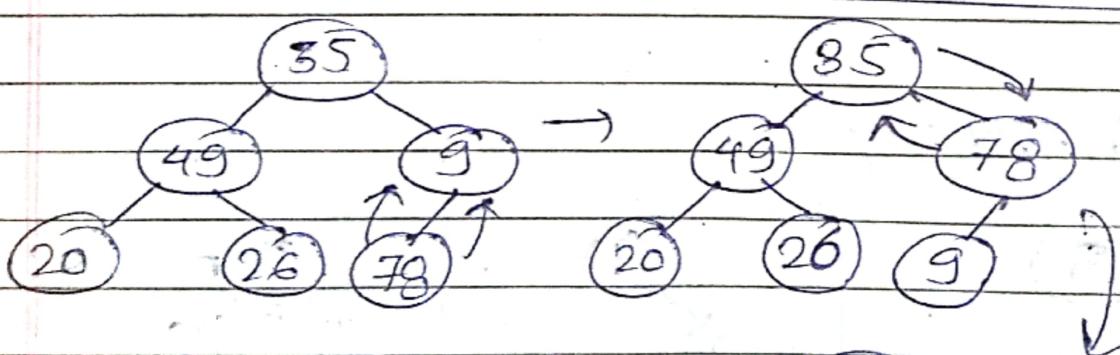
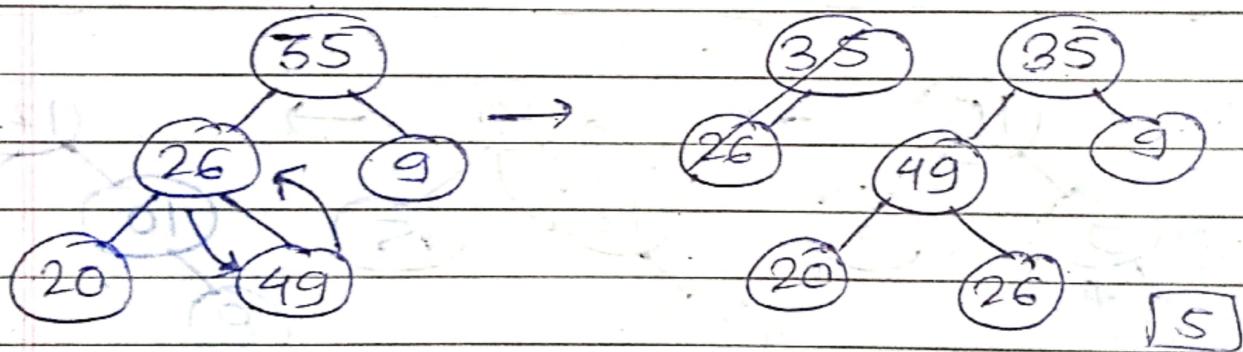
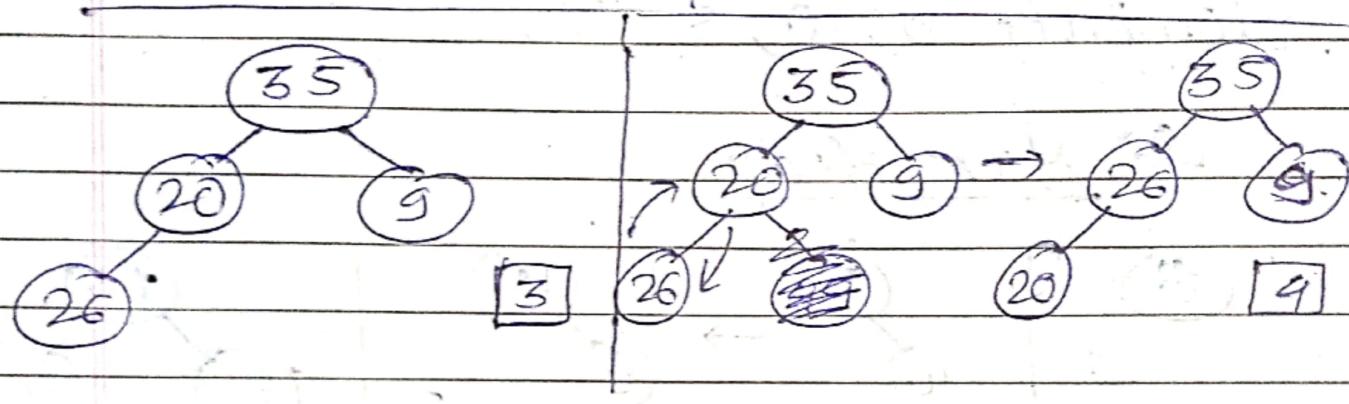
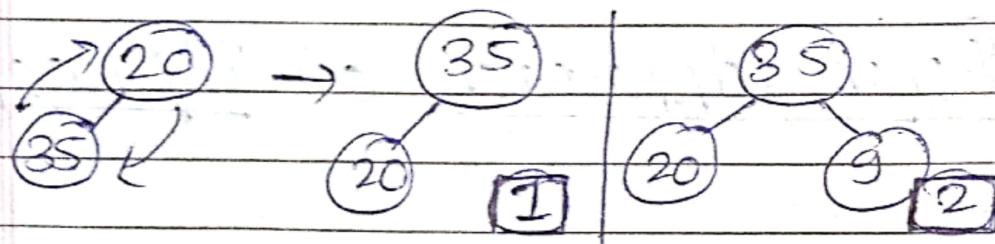
Max-Heap

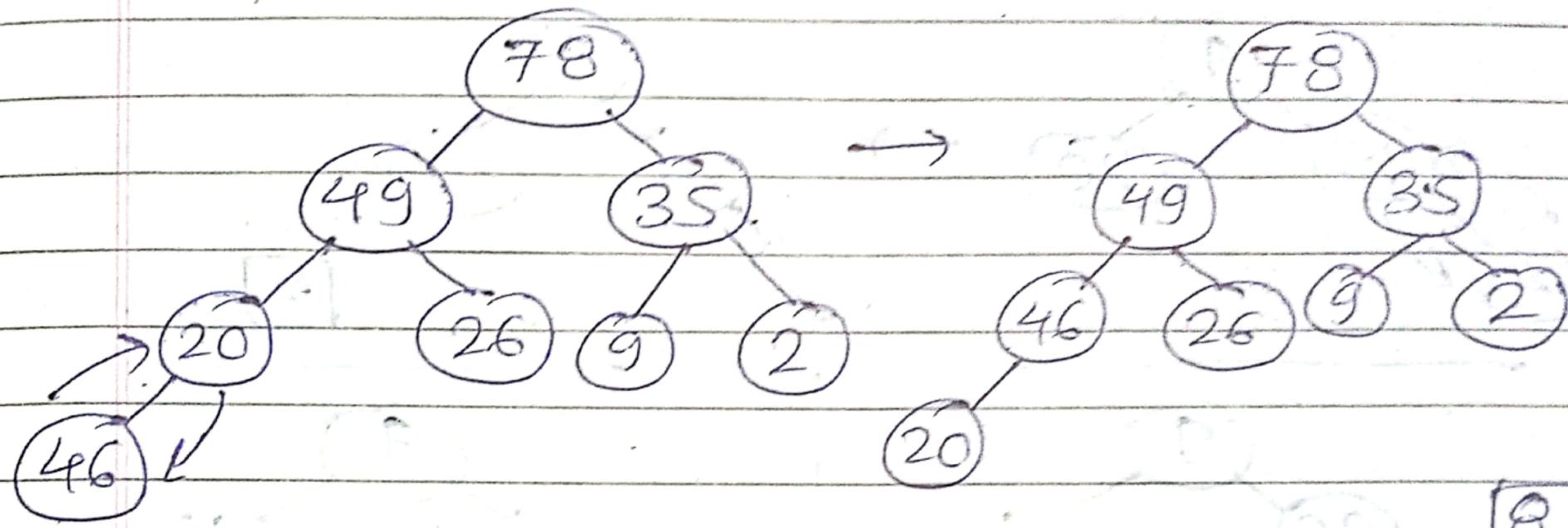
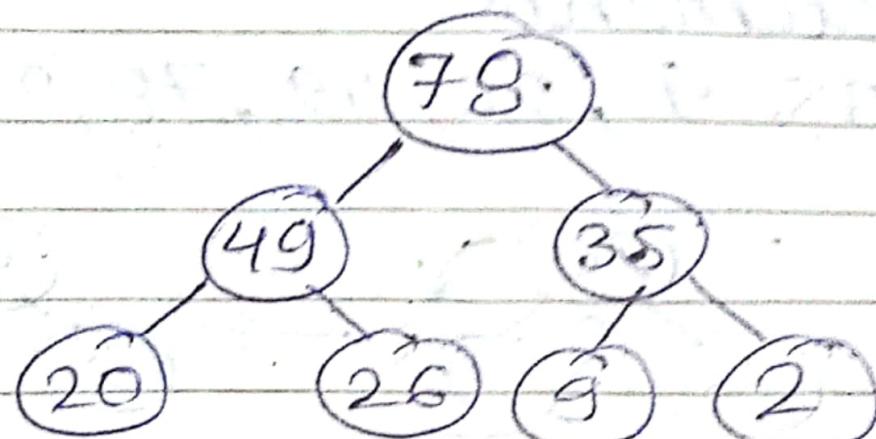


~~Elements~~
Elements:

20, 35, 9, 26, 49, 78, 2, 46

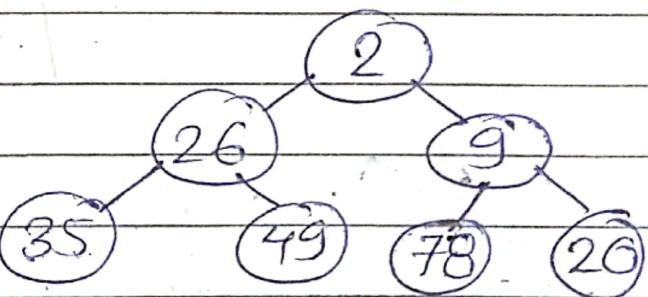
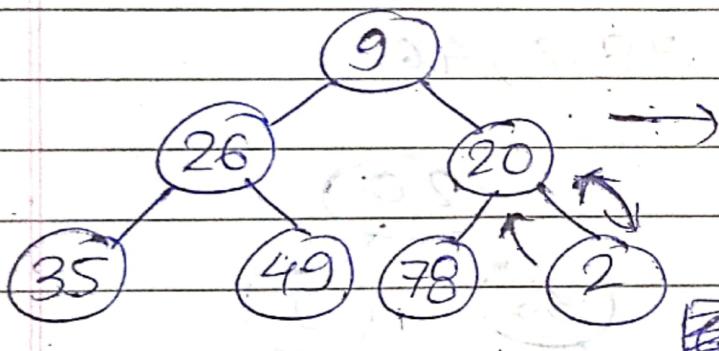
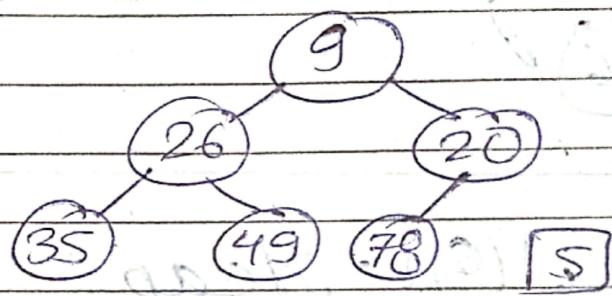
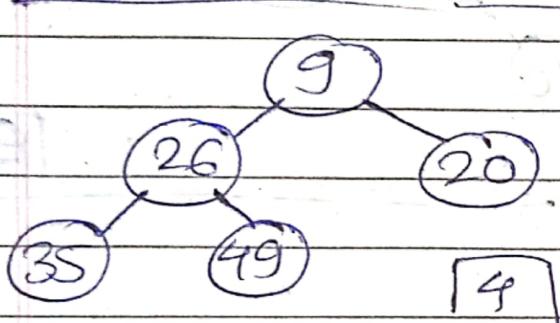
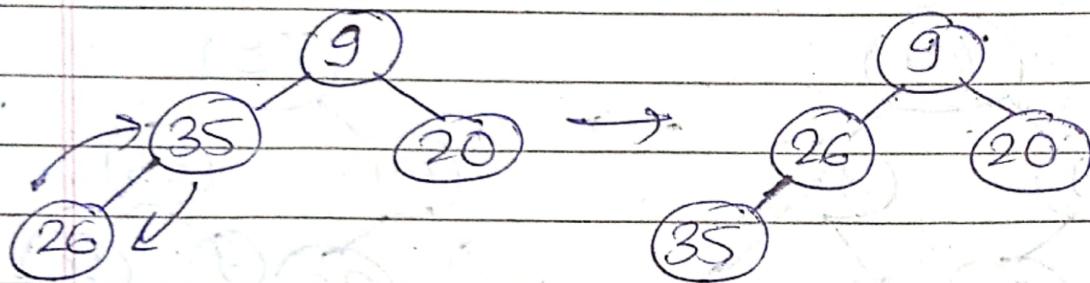
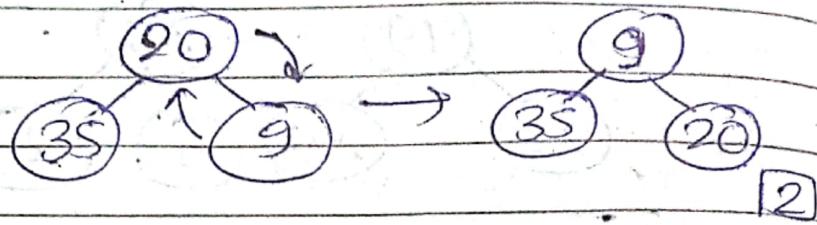
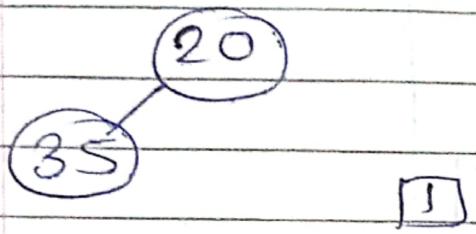
Max-Heap:

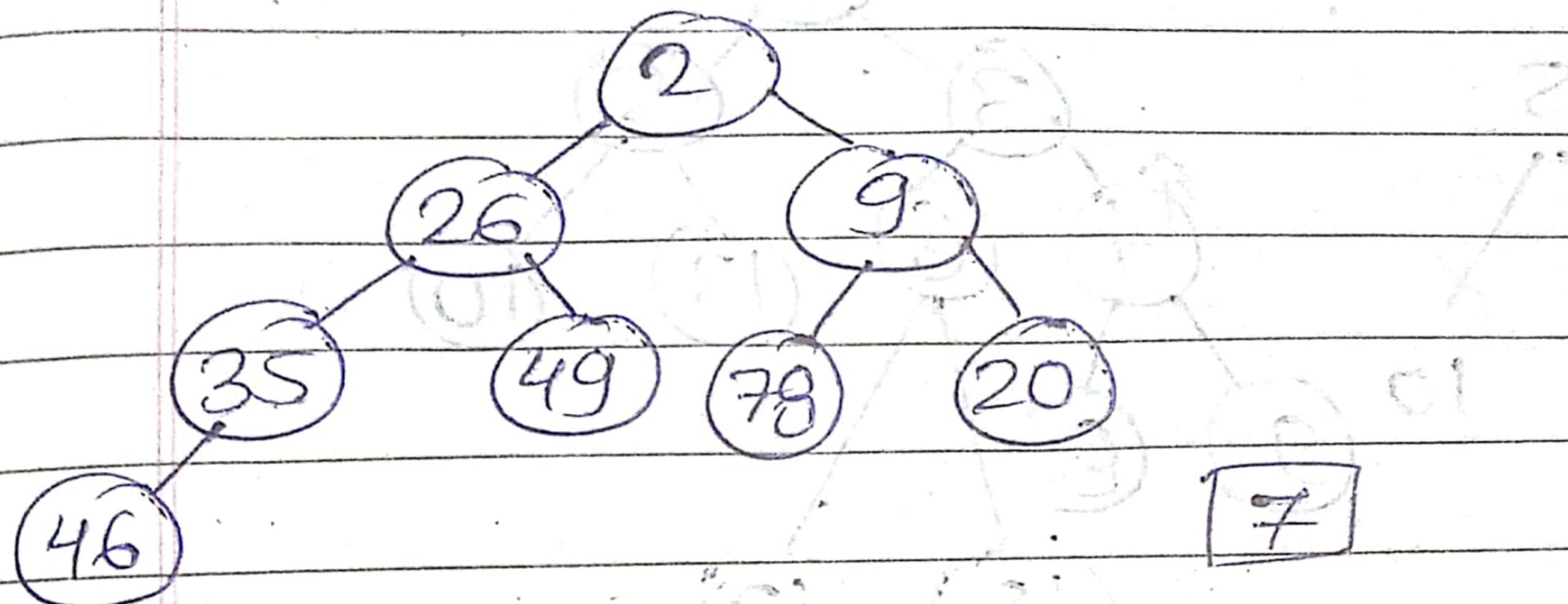




Min-Heap Elements

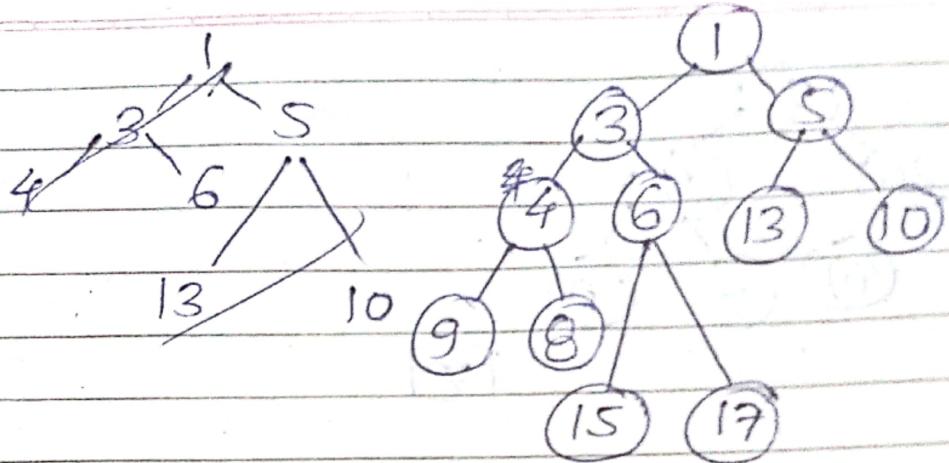
Elements: 20, 35, 9, 26, 49, 78, 2, 46





Heapify (Bottom-up approach)

Elements: 1, 3, 5, 4, 6, 13, 10, 9, 8, 15, 12
Con. Binary Tree:



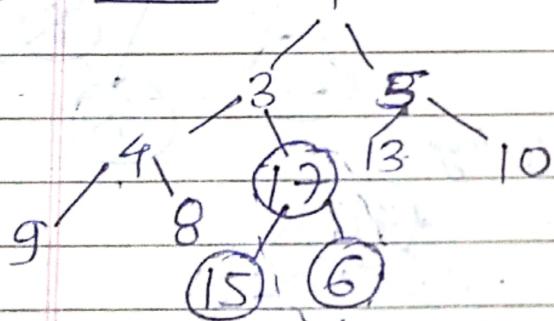
Max-Heap:

Total nodes = 11 : Index of last $\Rightarrow \frac{n}{2} - 1$
non-leaf node = 4

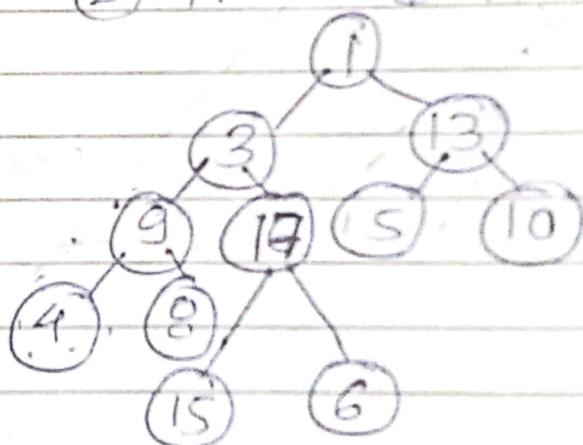
\therefore Last non-leaf node = 6

\therefore Heapify only: [1, 3, 5, 4, 6] in rev. ord.

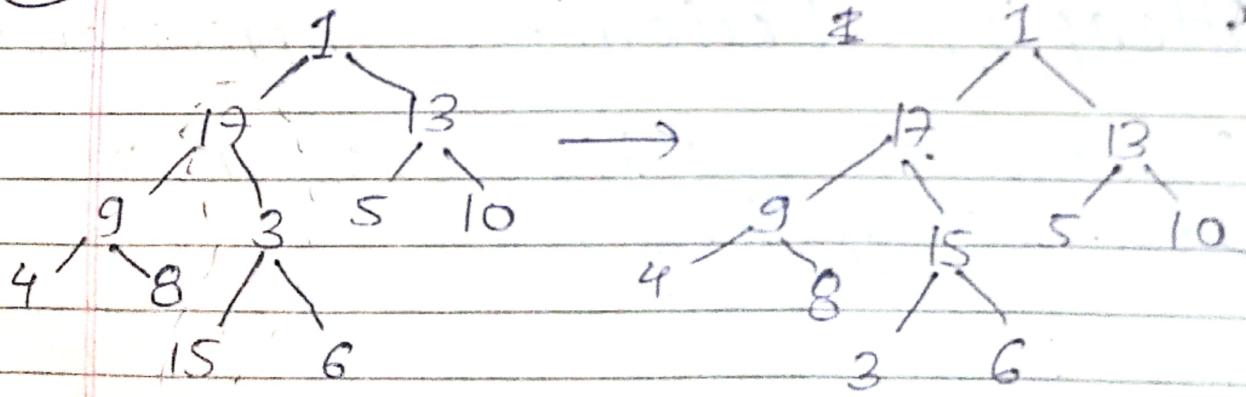
① H-6



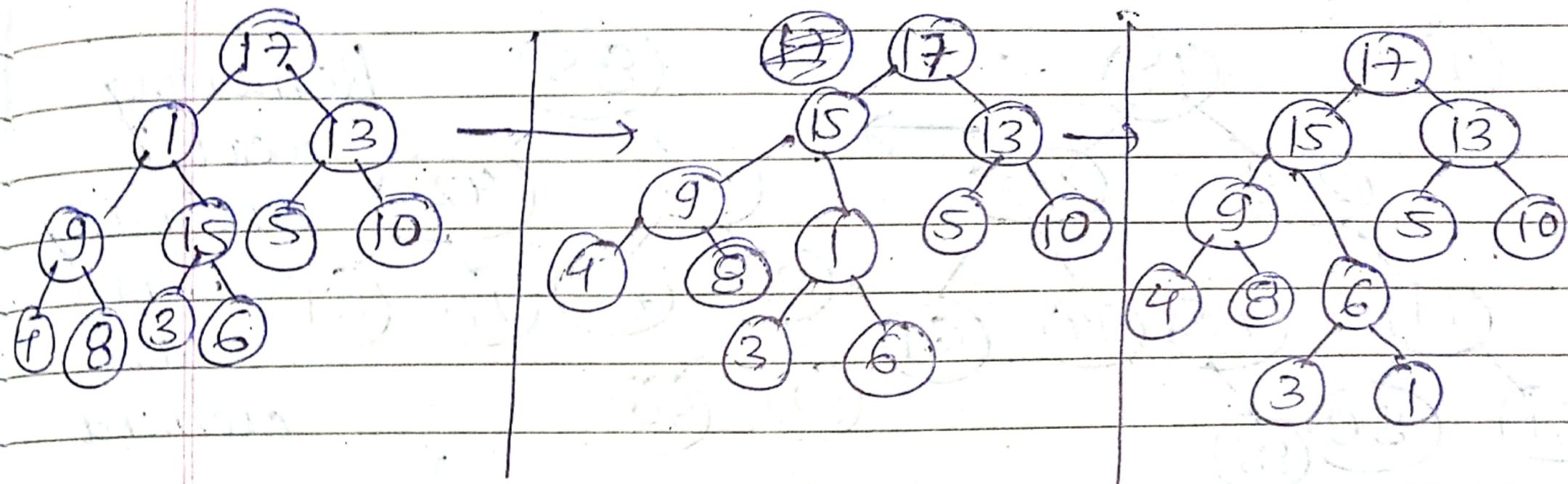
② H-4 & H-5



③ H-3 (1st Heapi Swap 3 & 17 then 3 & 15)



⑨ H-1 Cswap 1 & 17 and then 1 & 15 then 1 & 6



Algorithm: Heapsort

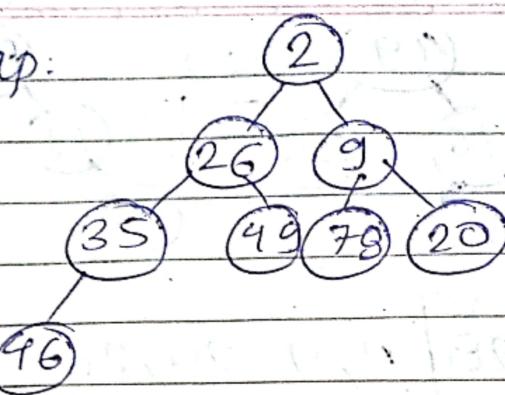
- ① Build a min/max heap from data
- ② Max/Min element is stored at the root of heap.
 - a. Replace it with last item of heap
 - b. Reduce size of array by one
 - c. Heapify the root
- ③ Repeat 2 until size of heap is greater than 1

Elements: Heap: array:

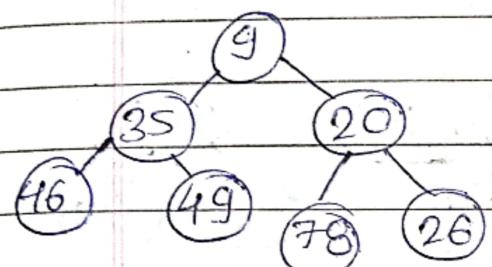
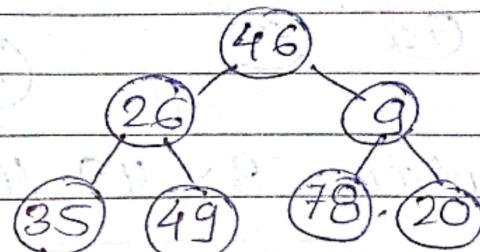
2, 26, 9, 35, 49, 78, 20, 46

Heap:

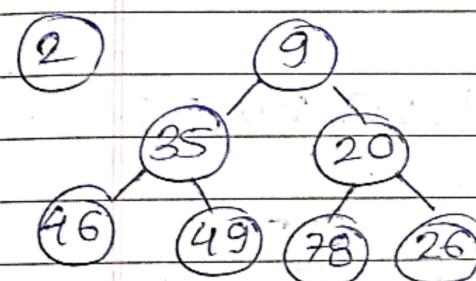
1



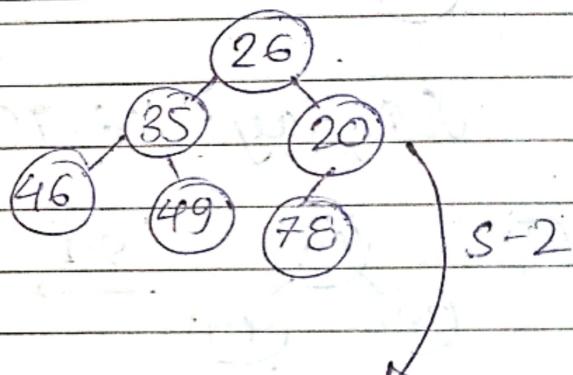
S-1 (Replace root with last element)
↓ dec. size by 1



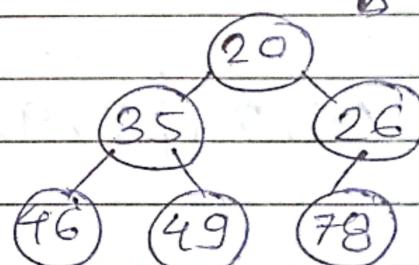
S-2
Heapify



S-1



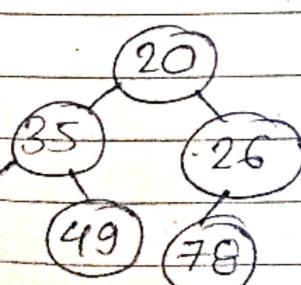
S-2



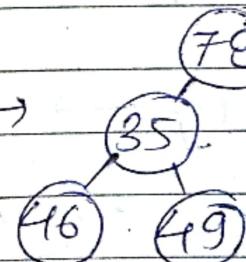
Display:

20, 35, 26, 46, 49, 78 | 2, 9

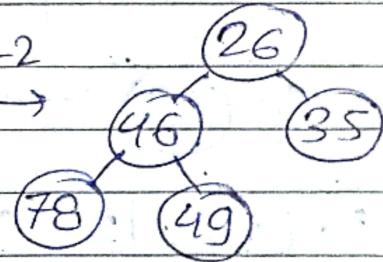
3



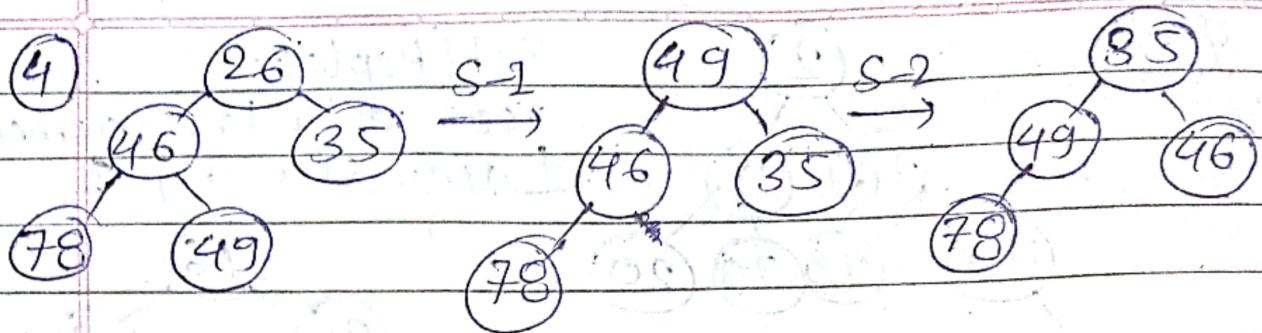
S-1



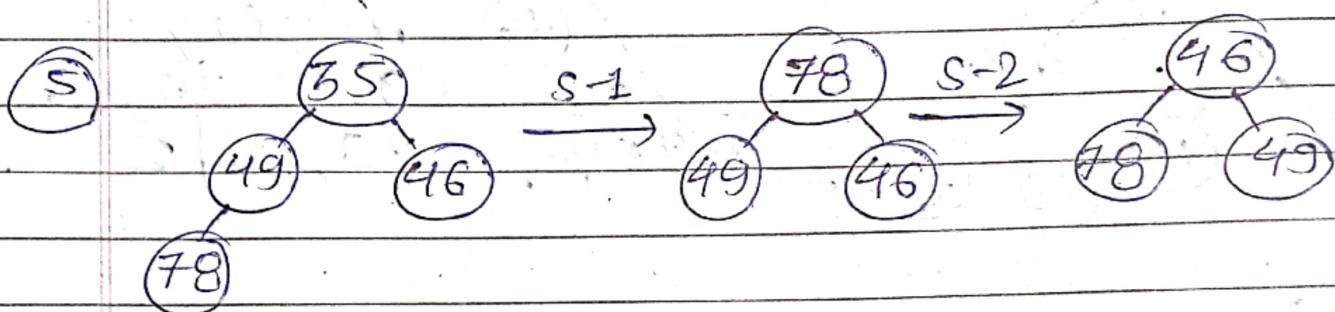
S-2



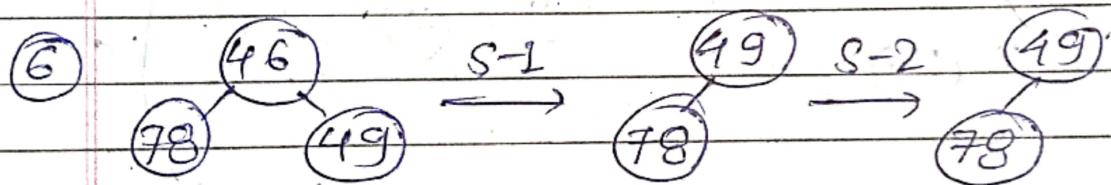
Display: 26, 46, 35, 78, 49 | 2, 9, 20



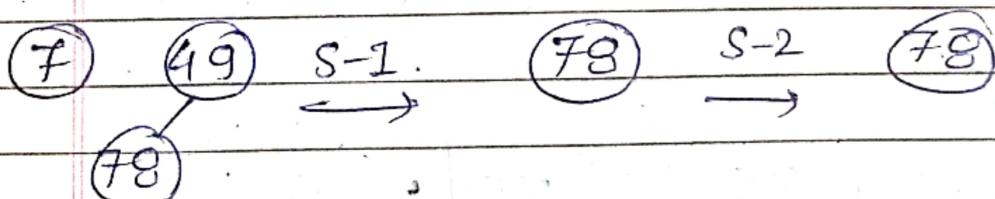
Display: 35, 49, 46, 78 | 2, 9, 20, 26



Display: 46, 78, 49 | 2, 9, 20, 26, 35



Display: 49, 78 | 2, 9, 20, 26, 35, 46

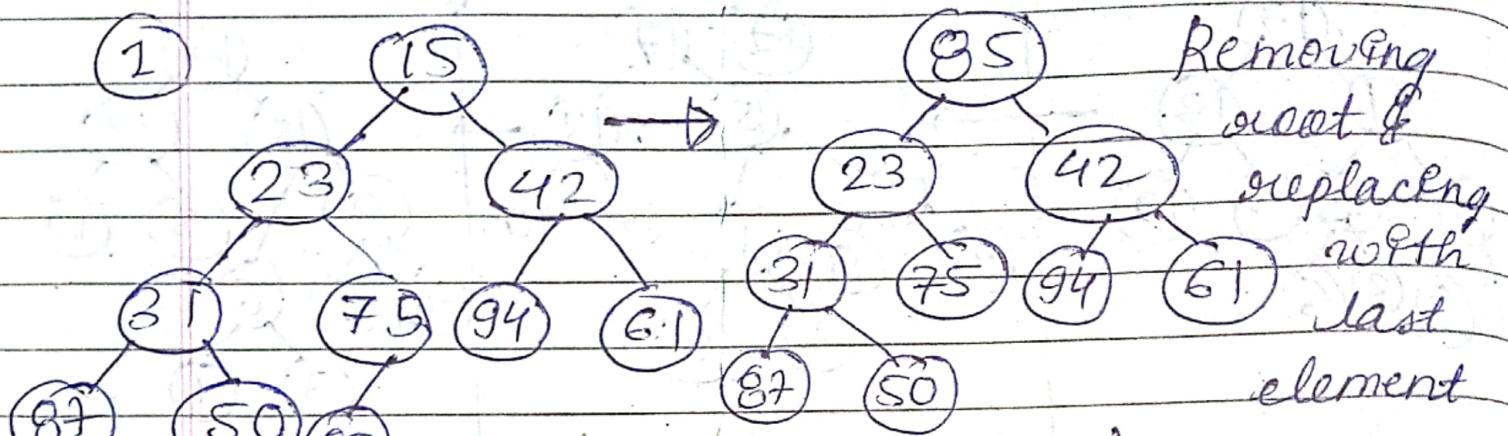


Display: 78 | 2, 9, 20, 26, 35, 46, 49

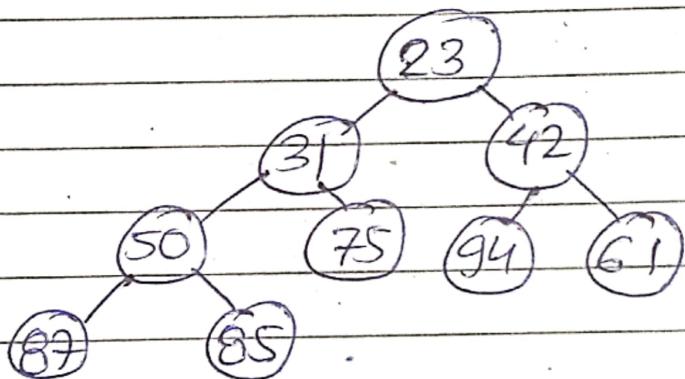
⑧ 78 → Empty → Empty

Display: 2, 9, 20, 26, 35, 46, 49, 78

Heapsort

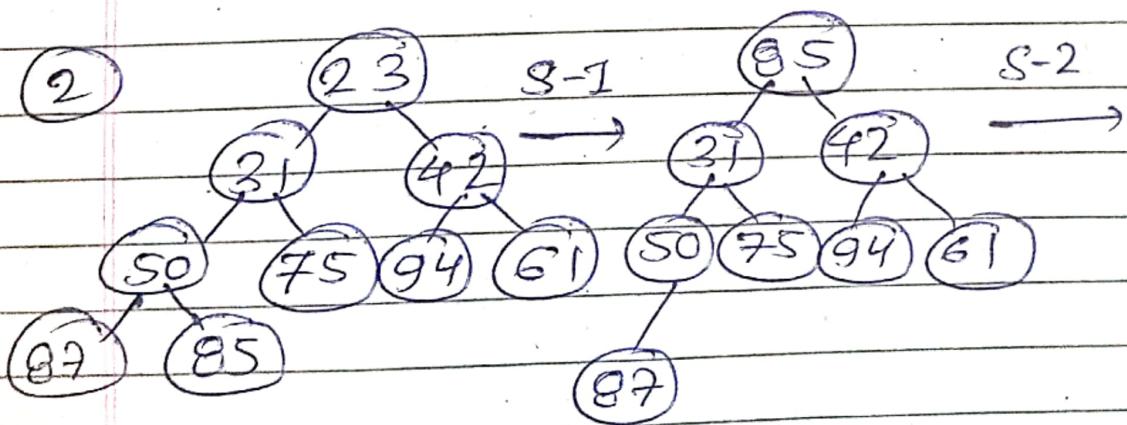


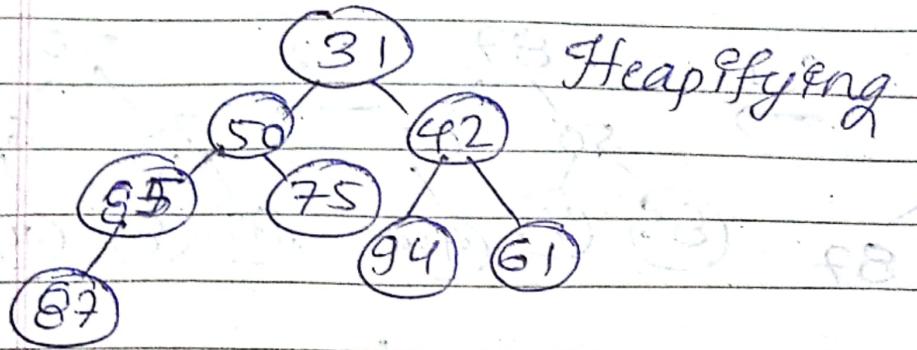
Heapify Eng rem · free



~~15, 23, 42, 31, 75, 94, 61, 87, 50, 85~~

$$23, 31, 42, 50, 75, 94, 61, 87, 85 \quad | \quad 15$$

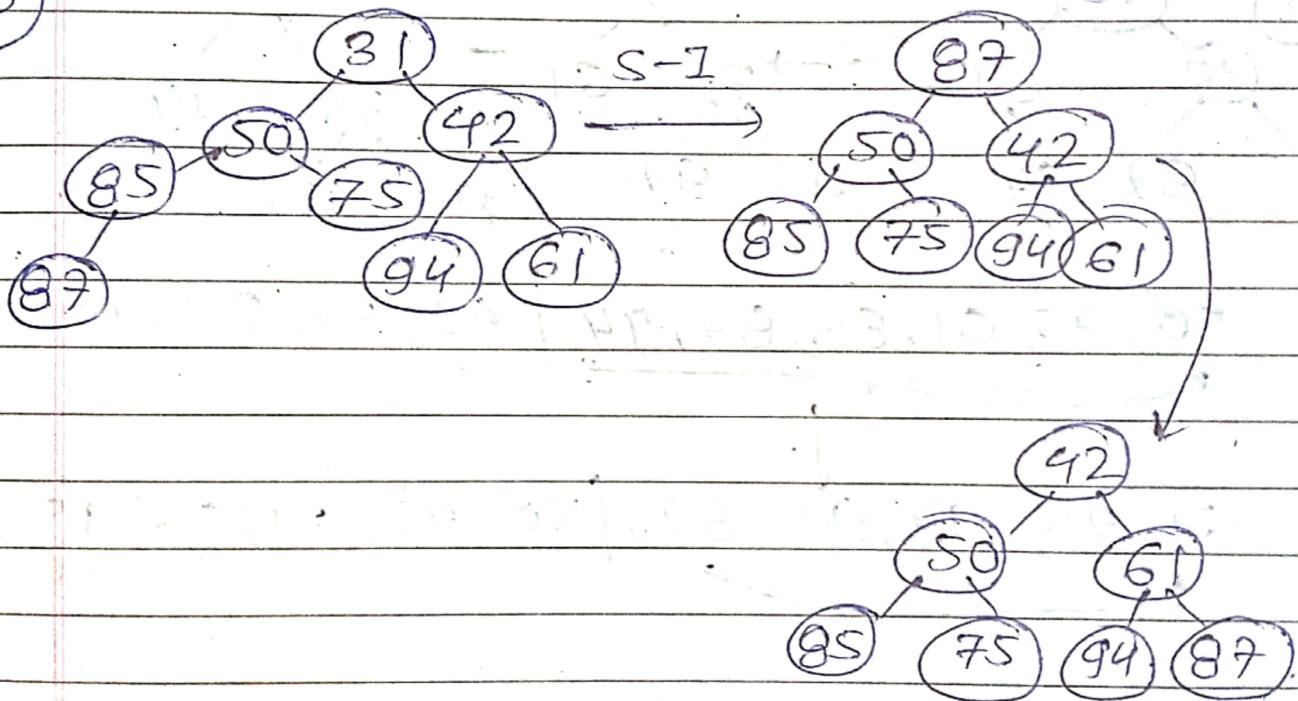




$23, 31, 42, 50, 75, 94, 61, 87, 85 / 15 \rightarrow$

$31, 50, 42, 85, 75, 94, 61, 87 / 23$

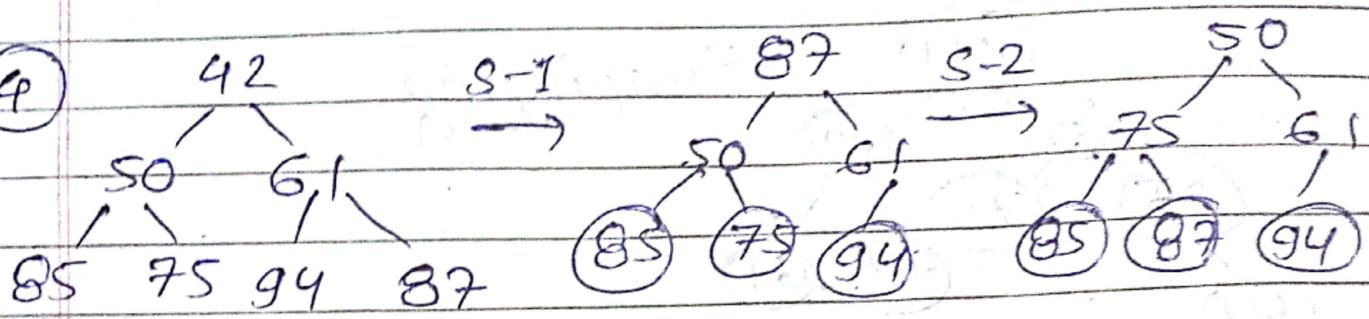
(3)



$31, 50, 42, 85, 75, 94, 61, 87 / 23, 15$

$42, 50, 61, 85, 75, 94, 61, 87 / 31, 23, 15$

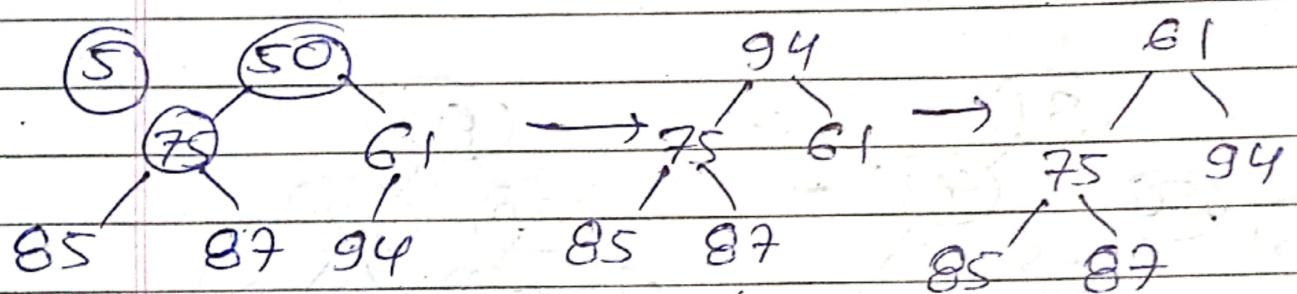
(4)



42, 50, 61, 85, 75, 94, 87 | 31, 23, 15

50, 75, 61, 85, 87, 94 | 42, 31, 23, 15

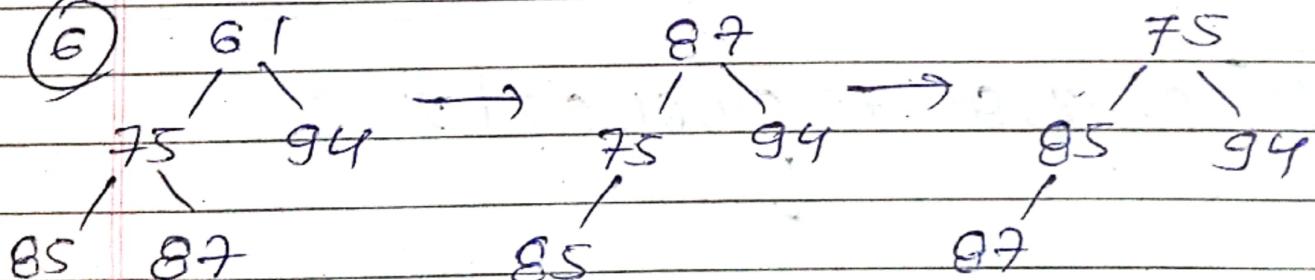
(5)



75, 61, 85, 87, 94 | 42, 31, 23, 15

61, 75, 94, 85, 87 | 50, 42, 31, 23, 15

(6)



61, 75, 94, 85, 87 | 50, 42, 31, 23, 15

75, 85, 94, 87 | 61, 50, 42, 31, 23, 15

⑦

$$\begin{array}{c} 75 \rightarrow 87 \rightarrow 85 \\ \swarrow \searrow \quad \swarrow \searrow \quad \swarrow \searrow \\ 85 \ 94 \quad 85 \ 94 \quad 87 \ 94 \end{array}$$

87

$$\cancel{75}, 85, 94, 87 | 61, 50, 42, 31, 23, 15$$

$$85, 87, 94 | 75, 61, 50, 42, 31, 23, 15$$

⑧

$$\begin{array}{c} 85 \rightarrow 94 \rightarrow 87 \\ \swarrow \quad \searrow \quad \swarrow \searrow \\ 87 \quad 94 \quad 87 \quad 94 \end{array}$$

$$85 \ 87 \ 94 | 75, 61, 50, 42, 31, 23, 15$$

$$87, 94 | 85, 75, 61, 50, 42, 31, 23, 15$$

⑨

$$\begin{array}{c} 87 \rightarrow 94 \\ \swarrow \quad \searrow \\ 94 \end{array}$$

$$87, 94 | 85, 75, 61, 50, 42, 31, 23, 15$$

$$94 | 87, 85, 75, 61, 50, 42, 31, 23, 15$$

(10)

94

→ Empty Tree

94 | 87, 85, 75, 61, 50, 42, 31, 23, 15



94, 87, 85, 75, 61, 50, 42, 31, 23, 15

∴ Final Heap sorted array

94, 87, 85, 75, 61, 50, 42, 31, 23, 15

Heapify (Top-Down approach)

```
struct Heap
```

```
int * arr;
```

```
int size; // Maintaining the total size.
```

```
int usage; // Maintaining the index
```

```
y;
```

```
void swap(int * a, int * b) {
```

```
int temp = *a;
```

```
*a = *b;
```

```
*b = temp;
```

```
y
```

```
int isFull(Struct Heap * h)
```

```
d
```

```
If ( $h \rightarrow usage == h \rightarrow size - 1$ )
```

```
return 1;
```

```
y
```

```
return 0;
```

```
y
```

```
void Display(Struct Heap * h) {
```

```
pf("\nDisplay of Heap:\n");
```

```
for (int i = 1; i <= h->size; i++)
```

```
d
```

```
pf("%d ", h->arr[i]);
```

```
y
```

```
y
```

void TDHeapify(struct Heap * h)

{

if ($h \rightarrow \text{usize} == 1$)

return;

}

// If size of heap is already 1, there
 // is no need to Heapify the tree as
 // it is already Heapified

else {

for (int i = 2; i <= h->usize; i++)

{

int cur_end = i;

// If parent is greater than current
 // index then min heap prop. gets
 // violated

while ((cur_end > i) && (
 $(h \rightarrow \text{arr}[cur_end/2]) > h \rightarrow \text{arr}[cur_end])$){

swap(&(h->arr[cur_end]), &(h->arr[i*2]));

cur_end /= 2;

}

y

3

3

void insertion (struct Heap *h, ent data)

{
if ($\&h \rightarrow \text{full}(h) == 1$)

 pf("Heap is full");
 y

else {

 h \rightarrow usize++;

 h \rightarrow arr[h \rightarrow usize] = data;

 TDHeapify(h);
 y

 Display(h);

}

ent HeapSort (struct Heap *h, ent sorted[])

{
 ent count = 0;

 while ($h \rightarrow \text{usize}_1 == 1$)

 {

 count++;

 sorted[count] = h \rightarrow arr[1];

 swap(&(h \rightarrow arr[h \rightarrow usize]), &(h \rightarrow arr[1]));

 // Replace last element with first of
 // Heap

 h \rightarrow usize--;

 TDHeapify(h);

 Display(h);

}

 count++;

 sorted[count] = h \rightarrow arr[1];

 return count;

y

1006d heapify (structs Heap *h, int i) {

int n = h->size;

int largest = i;

int left = 2 * i + 1;

int right = 2 * i + 2;

If (left < n && h->arr[left] > h->arr[largest])

If (right < n && h->arr[right] > h->arr[largest])
largest = right;

If (left < n && h->arr[left] > h->arr[largest])
largest = left;

If (largest != i) {

swap(&(h->arr[i]), &(h->arr[largest]));

heapify (arr,

heapify (h, largest);

}

3