## Design and Analysis of Algorithms Lecture # 4



## DIVIDE AND CONQUER ALGORITHMS



### Divide and Conquer Algorithms

- Divide and Conquer Technique
  - ✓ Multiplying large Integers
  - √ Binary Search
  - ✓ Sorting (Merge Sort, Quick Sort)
  - ✓ Matrix Multiplication
  - ✓ Exponential



# DIVIDE & CONQUER (D&C) TECHNIQUE



#### Introduction

- Many useful algorithms are recursive in structure: to solve a given problem, they call themselves recursively one or more times.
- ▶ These algorithms typically follow a divide-and-conquer approach:
- Divide-and-conquer approach involves three steps at each level of the recursion:
  - 1. **Divide:** Break the problem into several sub problems that are similar to the original problem but smaller in size.
  - 2. **Conquer:** Solve the sub problems recursively. If the sub problem sizes are small enough, just solve the sub problems in a straightforward manner.
  - 3. Combine: Combine solutions to create a solution to the original problem.



#### **D&C Running Time Analysis**

- Running-time analysis of divide-and-conquer (D&C) algorithms is almost automatic.
- Let g(n) be the **time required by D&C** on instances of size n.
- The **total time** t(n) taken by this divide-and-conquer algorithm is given by recurrence equation,

$$t(n) = lt(n/b) + g(n) \qquad \mathbf{T(n)} = \mathbf{aT(n/b)} + \mathbf{f(n)}$$

The solution of equation is given as,  $t(n) = \begin{cases} \theta(n^k) & \text{if } l < b^k \\ \theta(n^k log n) & \text{if } l = b^k \\ \theta(n^{log_b l}) & \text{if } l > b^k \end{cases}$ 

where k is the power of n in g(n)



## **BINARY SEARCH**



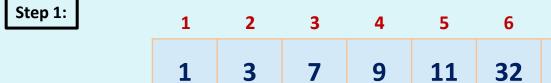
#### Introduction

- Binary Search is an extremely well-known instance of divide-and-conquer approach.
- Let T[1...n] be an array of **increasing sorted order**; that is  $T[i] \le T[j]$  whenever  $1 \le i \le j \le n$ .
- Let x be some number. The problem consists of **finding** x in the array T if it is there.
- If x is not in the array, then we want to find **the position** where it might be inserted.



Input: sorted array of integer values. x = 7





 7
 9
 11
 32
 52
 74
 90

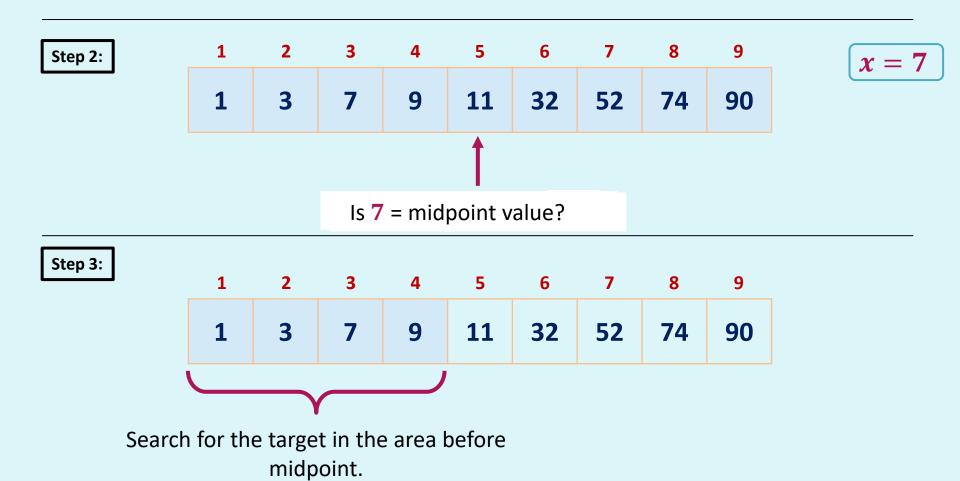
7

8

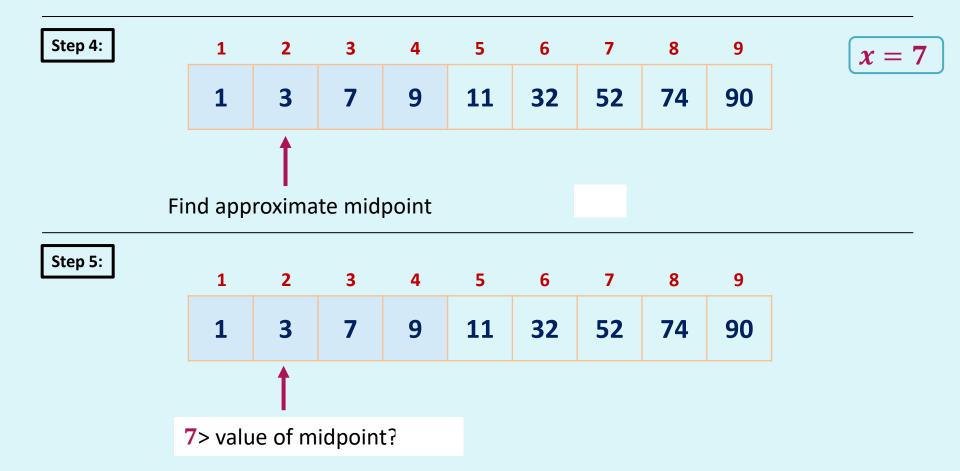
9

Find approximate midpoint

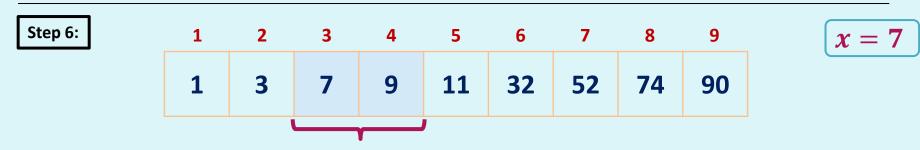






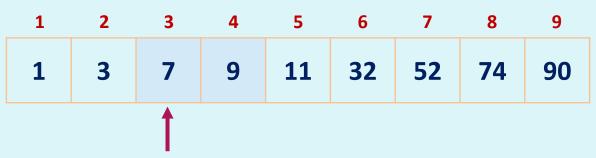






Search for the x in the area after midpoint.





Find approximate midpoint.

Is x = midpoint value?



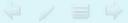
#### **Binary Search – Iterative Algorithm**

```
Algorithm: Function biniter(T[1,...,n], x)
                                                                    n = 7
         if x > T[n] then return n+1
                                                                             x = 33
         i \leftarrow 1;
         j ← n;
         while i < j do
                                                                                   6
                  k \leftarrow (i + j) \div 2
                  if x \le T[k] then j \leftarrow k
                                                                                  11
                  else i \leftarrow k + 1
                                                                                  32
         return i
                                                                                  33
                                                                                  53
```



#### **Binary Search – Recursive Algorithm**

```
Algorithm: Function binsearch(T[1,...,n], x)
   if n = 0 or x > T[n] then return n + 1
      else return binrec(T[1,...,n], x)
   Function binrec(T[i,...,j], x)
   if i = j then return i
      k ← (i + j) ÷ 2
   if x ≤ T[k] then
      return binrec(T[i,...,k],x)
   else return binrec(T[k + 1,...,j], x)
```



#### **Binary Search - Analysis**

- Let t(n) be the time required for a call on binrec (T[i,...,j],x), where n=j-i+1 is the number of elements **still under consideration** in the search.
- The recurrence equation is given as,

$$t(n) = t(n/2) + \theta(1) \quad T(n) = aT(n/b) + f(n)$$

Comparing this to the general template for divide and conquer algorithm, a=1, b=2 and  $f(n)=\theta(1)$ .

$$: t(n) \in \theta(\log n)$$

- The complexity of binary search is  $m{ heta(log\,n)}$ 
  - ▶ Example 2:  $T(n) = T(n/2) + \theta(1)$
  - Here a = 1, b = 2. So,  $n^{\log_b a} = n^{\log_2 1} = n^0 = 1$
  - $f(n) = \theta(1) = 1$
  - Case 2 applies: the solution is  $\theta(n^{log_ba}logn)$
  - $T(n) = \theta(\log n)$

1. Demonstrate binary search algorithm and find the element x = 12 in the following array.

2. Explain binary search algorithm and find the element x = 31 in the following array.

3. Let T[1..n] be a sorted array of distinct integers. Give an algorithm that can find an index i such that  $1 \le i \le n$  and T[i] = i, provided such an index exists. Prove that your algorithm takes time in O(logn) in the worst case.

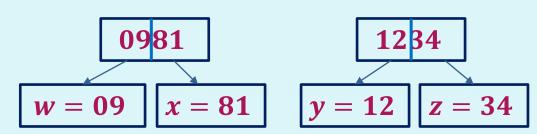


### **MULTIPLYING LARGE INTEGERS**



#### **Multiplying Large Integers – Introduction**

- Multiplying two n digit large integers using divide and conquer method.
- Example: Multiplication of **981** by **1234**.
  - 1. Convert both the numbers into same length nos. and split each operand into two parts:



2. We can write as,

$$0981 = 10^2 w + x$$

$$1234 = 10^2 y + z$$

$$10^{2}w + x$$

$$= 10^{2}(09) + 81$$

$$= 900 + 81$$

$$= 981$$



#### Multiplying Large Integers – Example 1

Now, the required product can be computed as,

$$0981 \times 1234 = (10^{2}w + x) \times (10^{2}y + z)$$

$$= 10^{4}w \cdot y + 10^{2}(w \cdot z + x \cdot y) + x \cdot z$$

$$= 10800000 + 1278000 + 2754$$

$$= 1210554$$

w = 09 x = 81 y = 12z = 34

The above procedure still needs four half-size multiplications:

$$(i)w \cdot y (ii)w \cdot z (iii)x \cdot y (iv)x \cdot z$$

The computation of  $(w \cdot z + x \cdot y)$  can be done as,

$$r = (w + x) \otimes (y + z) = w \cdot y + (w \cdot z + x \cdot y) + x \cdot z$$

Additional terms

Only one multiplication is required instead of two.



#### Multiplying Large Integers – Example 1

$$10^4w\cdot y + 10^2(w\cdot z + x\cdot y) + x\cdot z$$

$$w = 09$$
  
 $x = 81$   
 $y = 12$   
 $z = 34$ 

Now we can compute the required product as follows:

$$p_{x} = w \cdot y = 09 \cdot 12 = 108$$

$$q = x \cdot z = 81 \cdot 34 = 2754$$

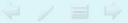
$$r = (w + x) \times (y + z) = 90 \cdot 46 = 4140$$

$$r = (w + x) \times (y + z) = w \cdot y + (w \cdot z + x \cdot y) + x \cdot z$$

$$981 \times 1234 = 10^{4}p + 10^{2}(r - p - q) + q$$

$$= 10800000 + 1278000 + 2754$$

$$= 1210554.$$



#### Multiplying Large Integers - Analysis

- $\triangleright$ 981 × 1234 can be reduced to **three multiplications** of two-figure numbers (09·12, 81·34 and 90·46) together with a certain number of shifts, additions and subtractions.
- Reducing four multiplications to three will enable us to cut 25% of the computing time required for large multiplications.
- We obtain an algorithm that can multiply two n-figure numbers in a time, T(n) = aT(n/b) + f(n)

$$T(n)=3t(n/2)+g(n),$$

Solving it gives,

$$T(n) \in \theta(n^{lg3} | n \text{ is a power of } 2)$$



#### Multiplying Large Integers – Example 2

- Example: Multiply **8114** with **7622** using divide & conquer method.
- ▶ Solution using D&C

```
Step 1: w = 81 x = 14 y = 76 z = 22
```

Step 2: Calculate p, q and r

```
p = w \cdot y = 81 \cdot 76 = 6156
q = x \cdot z = 14 \cdot 22 = 308
r = (w + x) \cdot (y + z) = 95 \cdot 98 = 9310
8114 \times 7622 = \mathbf{10^4}p + \mathbf{10^2} (r - p - q) + q
= 61560000 + 284600 + 308
= 61844908
```



## **MERGE SORT**



#### Introduction

- ▶ Merge Sort is an example of divide and conquer algorithm.
- It is based on the idea of breaking down a list into several sublists until each sub list consists of a single element.
- ▶ Merging those sub lists in a manner that results into a sorted list.

#### **▶** Procedure

- → Divide the unsorted list into N sub lists, each containing 1 element
- → Take adjacent pairs of two singleton lists and merge them to form a list of 2 elements. N will now convert into N/2 lists of size 2
- → Repeat the process till a single sorted list of all the elements is obtained



#### Merge Sort – Example

#### **Unsorted Array**

724	521	2	98	529	31	189	451
1	2	3	4	5	6	7	8

#### **Step 1: Split the selected array**

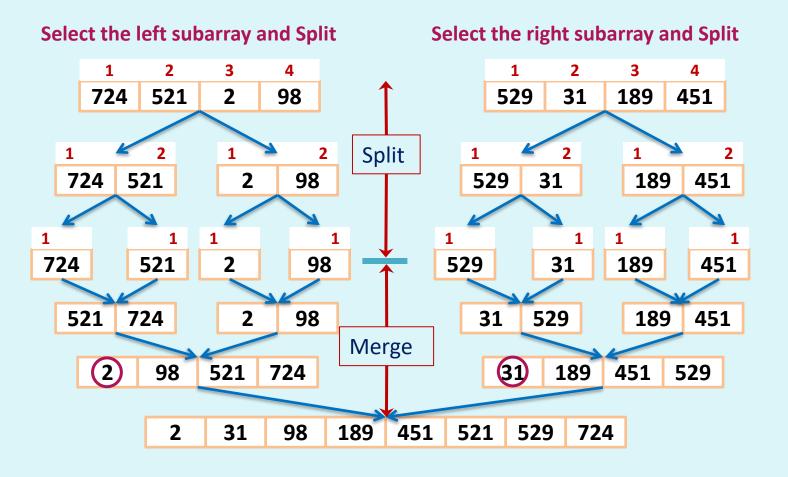
1	2	3	4	5	6	7	8
724	521	2	98	529	31	189	451

724	521	2	98	
1	2	3	4	

529	529 31		451	
1	2	3	4	



#### Merge Sort – Example



#### Merge Sort – Algorithm

```
Procedure: mergesort(T[1,...,n])
if n is sufficiently small then
insert(T)
else
array U[1,...,1+n/2],V[1,...,1+n/2]
        U[1,...,n/2] \leftarrow T[1,...,n/2]
        V[1,...,n/2] \leftarrow T[n/2+1,...,n]
        mergesort(U[1,...,n/2])
        mergesort(V[1,...,n/2])
                 merge(U, V, T)
```

```
Procedure:
merge(U[1,...,m+1],V[1,...,n+1],T[1,...,m+n])
i \leftarrow 1;
j ← 1;
U[m+1], V[n+1] \leftarrow \infty;
for k \leftarrow 1 to m + n do
           if U[i] < V[j]
           then T[k] \leftarrow U[i];
                                 i \leftarrow i + 1;
       else T[k] \leftarrow V[j];
                      j \leftarrow j + 1;
```

#### **Merge Sort - Analysis**

- Let T(n) be the time taken by this algorithm to sort an array of n elements.
- Separating T into U & V takes **linear time**; merge(U, V, T) also takes **linear time**.

$$T(n) = T(n/2) + T(n/2) + g(n) \quad \text{where } g(n) \in \theta(n).$$

$$T(n) = 2t(n/2) + \theta(n) \quad t(n) = lt(n/b) + g(n)$$

- Applying the general case, l=2, b=2, k=1
- Since  $l = b^k$  the **second case** applies so,  $t(n) \in \theta(nlogn)$ .
- Time complexity of merge sort is  $\theta(nlogn)$ .

$$egin{aligned} oldsymbol{t}(oldsymbol{n}) & = egin{cases} oldsymbol{ heta}(oldsymbol{n}^k oldsymbol{logn}) & if \ oldsymbol{l} & oldsymbol{logn}(oldsymbol{n}^{log_b l}) & if \ oldsymbol{l} & > oldsymbol{b}^k \end{cases}$$



## STRASSEN'S ALGORITHM FOR MATRIX MULTIPLICATION



#### **Matrix Multiplication**

Multiply following two matrices. Count how many scalar multiplications are required.

$$\begin{bmatrix} 1 & 3 \\ 7 & 5 \end{bmatrix} \cdot \begin{bmatrix} 6 & 8 \\ 4 & 2 \end{bmatrix}$$

$$answer = \begin{bmatrix} 1 \times 6 + 3 \times 4 & 1 \times 8 + 3 \times 2 \\ 7 \times 6 + 5 \times 4 & 7 \times 8 + 5 \times 2 \end{bmatrix}$$

To multiply  $2 \times 2$  matrices, total  $8(2^3)$  scalar multiplications are required.



#### **Matrix Multiplication**

In general, A and B are two  $2 \times 2$  matrices to be multiplied.

$$A = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{21} \end{bmatrix} \text{ and } B = \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$$

$$C = \begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix} = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \cdot \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$$

$$C_{11} = A_{11} \cdot B_{11} + A_{12} \cdot B_{21}$$

$$C_{12} = A_{11} \cdot B_{12} + A_{12} \cdot B_{22}$$

$$C_{21} = A_{21} \cdot B_{11} + A_{22} \cdot B_{21}$$

$$C_{22} = A_{21} \cdot B_{12} + A_{22} \cdot B_{22}$$

Computing each entry in the product takes n multiplications and there are  $n^2$  entries for a total of  $O(n^3)$ .



#### Strassen's Algorithm for Matrix Multiplication

- Consider the problem of **multiplying** two  $n \times n$  matrices.
- Strassen's devised a better method which has the **same** basic method as the multiplication of long integers.
- The main idea is to save one multiplication on a small problem and then use recursion.



#### Strassen's Algorithm for Matrix Multiplication

$$A = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{21} \end{bmatrix} \text{ and } B = \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$$

#### Step 1

$$S_{1} = B_{12} - B_{22}$$

$$S_{2} = A_{11} + A_{12}$$

$$S_{3} = A_{21} + A_{22}$$

$$S_{4} = B_{21} - B_{11}$$

$$S_{5} = A_{11} + A_{22}$$

$$S_{6} = B_{11} + B_{22}$$

$$S_{7} = A_{12} - A_{22}$$

$$S_{8} = B_{21} + B_{22}$$

$$S_{9} = A_{11} - A_{21}$$

$$S_{10} = B_{11} + B_{12}$$

#### Step 2

$$P_1 = A_{11} \circ S_1$$
 $P_2 = S_2 \circ B_{22}$ 
 $P_3 = S_3 \circ B_{11}$ 
 $P_4 = A_{22} \circ S_4$ 
 $P_5 = S_5 \circ S_6$ 
 $P_6 = S_7 \circ S_8$ 
 $P_7 = S_9 \circ S_{10}$ 
All above operations involve only one multiplication.

#### Step 3

#### Final Answer:

$$C = \begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix}$$

#### Where,

$$C_{11}$$
=  $P_5 + P_4 - P_2 + P_6$ 
 $C_{12} = P_1 + P_2$ 
 $C_{21} = P_3 + P_4$ 
 $C_{22}$ 
=  $P_5 + P_1 - P_3 - P_7$ 

No multiplication is

required here.



#### Strassen's Algorithm - Analysis

- It is therefore possible to multiply two  $2 \times 2$  matrices using only seven scalar multiplications.
- Let t(n) be the time needed to multiply two  $n \times n$  matrices by recursive use of equations. t(n) = t(n/b) + g(n)

$$t(n) = 7t(n/2) + g(n)$$

Where  $g(n) \in O(n^2)$ .

- The general equation applies with l=7, b=2 and k=2.
- Since  $l > b^k$ , the **third case** applies and  $t(n) \in O(n^{lg7})$ .
- Since lg7 > 2.81, it is possible to multiply two  $n \times n$  matrices in a time  $O(n^{2.81})$



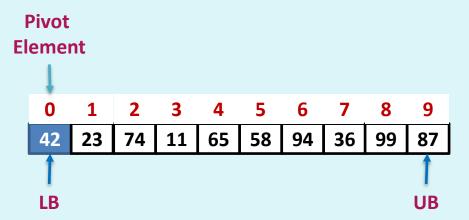
$$t(n) = egin{cases} heta(n^k) & if \ l < b^k \ heta(n^k log n) & if \ l = b^k \ heta(n^{log_b l}) & if \ l > b^k \end{cases}$$

## **QUICK SORT**



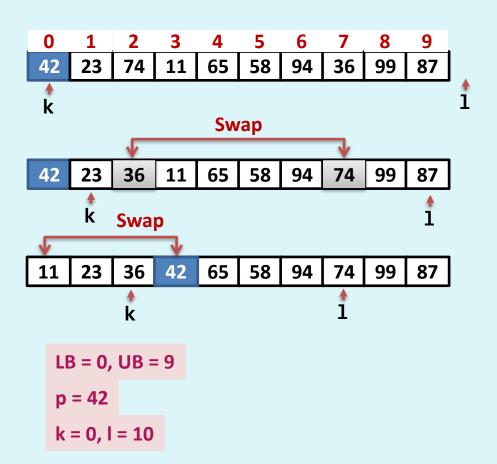
#### Introduction

- Quick sort chooses the first element as a pivot element, a lower bound is the first index and an upper bound is the last index.
- The array is then **partitioned** on either side of the **pivot**.
- Elements are moved so that, those **greater** than the pivot are shifted to its **right** whereas the others are shifted to its **left**.
- Each Partition is internally sorted recursively.





```
Procedure pivot(T[i,...,j]; var 1)
p \leftarrow T[i]
k \leftarrow i; l \leftarrow j+1
Repeat
k \leftarrow k+1 until T[k] > p or k \ge j
Repeat
1 \leftarrow 1-1 until T[1] \leq p
While k < 1 do
    Swap T[k] and T[l]
    Repeat k \leftarrow k+1 until
    T[k] > p
    Repeat 1 \leftarrow 1-1 until
    T[1] ≤ p
Swap T[i] and T[1]
```



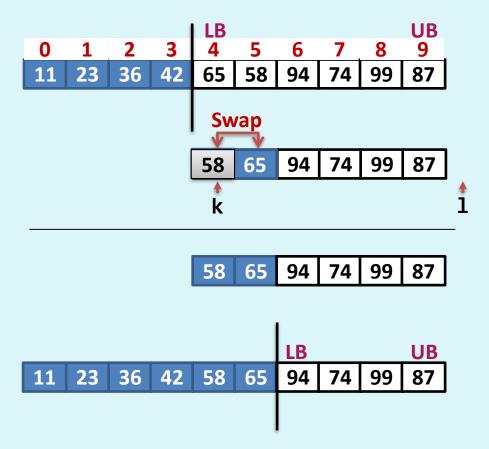


```
Procedure pivot(T[i,...,j]; var 1)
p \leftarrow T[i]
k \leftarrow i; l \leftarrow j+1
Repeat
k \leftarrow k+1 until T[k] > p or k \ge j
Repeat
1 \leftarrow 1-1 until T[1] \leq p
While k < 1 do
   Swap T[k] and T[l]
    Repeat k \leftarrow k+1 until
   T[k] > p
   Repeat 1 ← 1-1 until
   T[1] ≤ p
Swap T[i] and T[l]
```

```
42
   23 | 36 |
               65 | 58 | 94 | 74 | 99 | 87
LB
       UB
   23 | 36
    LB UB
       36 42 65 58 94 74 99 87
11
   23
       36
    23
   23
        36 42
               65 | 58 |
11
                       | 94 | 74 | 99 | 87
```

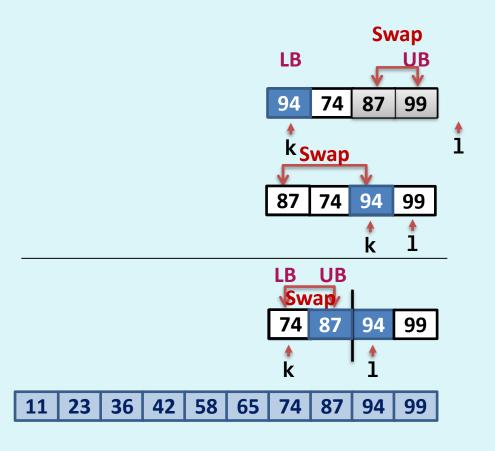


```
Procedure pivot(T[i,...,j]; var 1)
p \leftarrow T[i]
k \leftarrow i; l \leftarrow j+1
Repeat
k \leftarrow k+1 until T[k] > p or k \ge j
Repeat
1 \leftarrow 1-1 until T[1] \leq p
While k < 1 do
    Swap T[k] and T[l]
    Repeat k \leftarrow k+1 until
    T[k] > p
    Repeat 1 ← 1-1 until
    T[1] ≤ p
Swap T[i] and T[l]
```





```
Procedure pivot(T[i,...,j]; var 1)
p \leftarrow T[i]
k \leftarrow i; l \leftarrow j+1
Repeat
k \leftarrow k+1 until T[k] > p or k \ge j
Repeat
1 \leftarrow 1-1 until T[1] \leq p
While k < 1 do
    Swap T[k] and T[l]
    Repeat k \leftarrow k+1 until
   T[k] > p
    Repeat 1 ← 1-1 until
    T[1] ≤ p
Swap T[i] and T[1]
```





#### **Quick Sort - Algorithm**

```
Procedure: quicksort(T[i,...,j])
{Sorts subarray T[i,...,j] into
ascending order}
if j - i is sufficiently small
then insert (T[i,...,j])
else
        pivot(T[i,...,j],1)
        quicksort(T[i,...,1 - 1])
        quicksort(T[1+1,...,j]
```

```
Procedure: pivot(T[i,...,j]; var 1)
p \leftarrow T[i]
k \leftarrow i
1 \leftarrow j + 1
repeat k \leftarrow k+1 until T[k] > p or k \ge j
repeat 1 \leftarrow 1-1 until T[1] \leq p
while k < 1 do
          Swap T[k] and T[1]
        Repeat k \leftarrow k+1 until T[k] > p
        Repeat 1 \leftarrow 1-1 until T[1] \leq p
Swap T[i] and T[l]
```

### **Quick Sort Algorithm – Analysis**

#### Worst Case

- → Running time depends on which element is chosen as key or pivot element.
- The worst case behavior for quick sort occurs when the array is partitioned into one sub-array with n-1 elements and the other with 0 element.
- → In this case, the recurrence will be,

$$T(n) = T(n-1) + T(0) + \theta(n)$$

$$T(n) = T(n-1) + \theta(n)$$

$$T(n) = \theta(n^2)$$

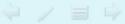
#### Best Case

- **→** Occurs when partition produces sub-problems each of size n/2.
- → Recurrence equation:

$$T(n) = 2T(n/2) + \theta(n)$$

$$l = 2, b = 2, k = 1, so l = b^{k}$$

$$T(n) = \theta(nlogn)$$



### **Quick Sort Algorithm – Analysis**

- 3. Average Case
  - Average case running time is much closer to the best case.
  - →If suppose the partitioning algorithm produces a **9:1** proportional split the recurrence will be,

$$T(n) = T(9n/10) + T(n/10) + \theta(n)$$
$$T(n) = \theta(n \log n)$$



Sort the following array in ascending order using quick sort algorithm.

- 1. 5, 3, 8, 9, 1, 7, 0, 2, 6, 4
- 2. 3, 1, 4, 1, 5, 9, 2, 6, 5, 3, 5, 8, 9
- **3.** 9, 7, 5, 11, 12, 2, 14, 3, 10, 6



# **EXPONENTIATION**



### **Exponentiation - Sequential**

- Let a and n be two integers. We wish to compute the exponentiation  $x = a^n$ .
- Algorithm using Sequential Approach:

```
function exposeq(a, n)
  r ← a
  for i ← 1 to n - 1 do
    r ← a * r
  return r
```

This algorithm takes a time in  $\theta(n)$  since the instruction r = a \* r is executed exactly n - 1 times, provided the multiplications are counted as elementary operations.



#### **Exponentiation - Sequential**

- But **to handle larger operands**, we must consider the time required for each multiplication.
- Let m is the size of operand a.
- Therefore, the multiplication performed the  $i^{th}$  time round the loop concerns an integer of size m and an integer whose size is between im i + 1 and im, which takes a time between M(m, im i + 1) and M(m, im)

```
The body of loop executes 10^{th} time as, r=a*r here 9 times multiplication is already done so r=5^9=1953125 The size of r in the 10^{th} iteration will be between im-i+1 to im, i.e., between im-i+1 to im, i.e., im
```

### **Exponentiation - Sequential**

The total time T(m,n) spent multiplying when computing an with **exposeq** is therefore,

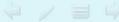
$$\sum_{i=1}^{n-1} M(m, im - 1 + 1) \le T(m, n) \le \sum_{i=1}^{n-1} M(m, im)$$

$$T(m, n) \le \sum_{i=1}^{n-1} M(m, im) \le \sum_{i=1}^{n-1} cm \ im$$

$$cm^{2} \sum_{i=1}^{n-1} i \le cm^{2}n^{2} = \theta(m^{2}n^{2})$$

If we use the divide-and-conquer multiplication algorithm,

$$T(m,n) \in \theta(m^{lg3}n^2)$$



### Exponentiation – D & C

- Suppose, we want to compute  $a^{10}$
- We can write as,

$$a^{10} = (a^5)^2 = (a \cdot a^4)^2 = (a \cdot (a^2)^2)^2$$

In general,

$$a^{n} = \begin{cases} a & if \ n = 1 \\ \left(a^{n/2}\right)^{2} & if \ n \ is \ even \\ a \times a^{n-1} \ otherwise \end{cases}$$

Algorithm using Divide & Conquer Approach:

```
function expoDC(a, n)
  if n = 1 then return a
  if n is even then return [expoDC(a, n/2)]²
  return a * expoDC(a, n - 1)
```

#### Exponentiation – D & C

Number of operations performed by the algorithm is given by,

$$N(n) = \begin{cases} 0 & \text{if } n = 1\\ N(n/2) + 1 & \text{if } n \text{ is even} \\ N(n-1) + 1 & \text{otherwise} \end{cases}$$

Time taken by the algorithm is given by,

$$T(m,n) = \begin{cases} 0 & \text{if } n = 1 \\ T(m,n/2) + M(m\,n/2,m\,n/2) & \text{if } n \text{ is even} \\ T(m,n-1) + M(m,(n-1)m) & \text{otherwise} \end{cases}$$
 Solving it gives, 
$$T(m,n) \in \theta \ (m^{lg3}n^{lg3})$$

```
function expoDC(a, n)
  if n = 1 then return a
  if n is even then return [expoDC(a, n/2)]²
  return a * expoDC(a, n - 1)
```



## **Exponentiation – Summary**

	Multiplication	
	Classic	D&C
exposeq	$\theta(m^2n^2)$	$ heta(m^{lg3}n^2)$
expoDC	$\theta(m^2n^2)$	$ heta(m^{lg3}n^{lg3})$

