

# Introduction to Additive Combinatorics

## Part III

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# 1 Fourier-analytic techniques

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Lecture 1

Let  $G = \mathbb{F}_p^n$  for  $p$  a small fixed prime (usually  $p = 2, 3, 5$ ) and  $n$  is large (often we consider  $n \rightarrow \infty$ ).

**Notation.** Given a finite set  $B$  and any function  $f : B \rightarrow \mathbb{C}$ , we write  $\mathbb{E}_{x \in B} f(x)$  to mean  $\frac{1}{|B|} \sum_{x \in B} f(x)$ . Also write  $\omega = e^{2\pi i/p}$  for the  $p^{\text{th}}$  root of unity. Note that  $\sum_{a \in \mathbb{F}_p} \omega^a = 0$ .

**Definition 1.1.** Given  $f : \mathbb{F}_p^n \rightarrow \mathbb{C}$ , we define its **Fourier transform**  $\hat{f} : \mathbb{F}_p^n \rightarrow \mathbb{C}$  by

$$\hat{f}(t) = \mathbb{E}_{x \in \mathbb{F}_p^n} f(x) \omega^{x \cdot t} \quad \forall t \in \mathbb{F}_p^n$$

where  $x \cdot t$  is the standard scalar product.

It is easy to verify the **inversion formula**:

$$f(x) = \sum_{t \in \mathbb{F}_p^n} \hat{f}(t) \omega^{-x \cdot t} \quad \forall x \in \mathbb{F}_p^n.$$

Indeed,

$$\begin{aligned} \sum_{t \in \mathbb{F}_p^n} \hat{f}(t) \omega^{-x \cdot t} &= \sum_{t \in \mathbb{F}_p^n} (\mathbb{E}_y f(y) \omega^{y \cdot t}) \omega^{-x \cdot t} \\ &= \mathbb{E}_y f(y) \underbrace{\sum_{t \in \mathbb{F}_p^n} \omega^{(y-x) \cdot t}}_{p^n \mathbf{1}_{\{y=x\}}} = f(x). \end{aligned}$$

**Remark.** We could use an unnormalized sum in our definition and a normalized sum in the inversion formula, or a minus sign in our definition and a plus sign in the inversion formula – this doesn't matter as long as we're consistent.

Given a subset  $A$  of a finite group  $G$ , write:

- $1_A$  for the **characteristic function** of  $A$ , i.e.  $1_A(x) = \begin{cases} 1 & \text{if } x \in A \\ 0 & \text{if } x \notin A \end{cases}$ .

This is also called the **indicator function**.

- $f_A$  for the **balanced function** of  $A$ , i.e.  $f_A(x) = 1_A(x) - \alpha$ , where  $\alpha = \frac{|A|}{|G|}$ .

- $\mu_A$  for the **characteristic measure** of  $A$ , i.e.  $\mu_A(x) = \alpha^{-1} 1_A(x)$ .

Note  $\mathbb{E}_{x \in G} f_A(x) = 0$  and  $\mathbb{E}_{x \in G} \mu_A(x) = 1$ . Given  $A \subset \mathbb{F}_p^n$ , we have

$$\hat{1}_A(t) = \mathbb{E}_{x \in \mathbb{F}_p^n} 1_A(x) \omega^{x \cdot t}.$$

At  $t = 0$ , we get  $\hat{1}_A(0) = \mathbb{E}_{x \in \mathbb{F}_p^n} 1_A(x) = \alpha$ .

Writing  $-A = \{-a \mid a \in A\}$ , we have

$$\begin{aligned} \hat{1}_{-A}(t) &= \mathbb{E}_{x \in \mathbb{F}_p^n} 1_{-A}(x) \omega^{x \cdot t} = \mathbb{E}_{x \in \mathbb{F}_p^n} 1_A(-x) \omega^{x \cdot t} \\ &\stackrel{y=-x}{=} \mathbb{E}_{y \in \mathbb{F}_p^n} 1_A(y) \omega^{-y \cdot t} = \overline{\mathbb{E}_{y \in \mathbb{F}_p^n} 1_A(y) \omega^{y \cdot t}} = \overline{\hat{1}_A(t)}. \end{aligned}$$

**Example 1.2.** Let  $V \leq \mathbb{F}_p^n$ . Then

$$\hat{1}_V(t) = \mathbb{E}_{x \in \mathbb{F}_p^n} 1_V(x) \omega^{x \cdot t} = \frac{|V|}{p^n} 1_{\{x \cdot t = 0 \ \forall x \in V\}} = \frac{|V|}{p^n} 1_{V^\perp}(t),$$

so  $\hat{\mu}_V(t) = 1_{V^\perp}(t)$ . (Here we use the fact that if  $t \notin \{x \cdot t = 0 \ \forall x \in V\}$ , then  $x \cdot t$  runs over the values uniformly and the sum is zero - details left as exercise).

**Example 1.3.** Let  $R \subset \mathbb{F}_p^n$  be such that each  $x \in \mathbb{F}_p^n$  lies in  $R$  independently with probability  $\frac{1}{2}$ . Then with high probability (i.e.  $\mathbb{P} \rightarrow 1$  as  $n \rightarrow \infty$ ),

$$\sup_{t \neq 0} |\hat{1}_R(t)| = O\left(\sqrt{\frac{\log(p^n)}{p^n}}\right).$$

Proving this is on Ex. Sheet 1. This is proved using a Chernoff-type bound: given complex-valued independent random variables  $X_1, \dots, X_n$  with mean 0,  $\forall \theta \geq 0$ ,

$$\mathbb{P}\left(\left|\sum_{i=1}^n X_i\right| \geq \theta \sqrt{\sum_{i=1}^n \|X_i\|_{L^\infty(\mathbb{P})}^2}\right) \leq 4 \exp(-\theta^2/4).$$

**Example 1.4.** Let  $Q = \{x \in \mathbb{F}_p^n \mid x \cdot x = 0\}$ . Then  $|Q| = \left(\frac{1}{p} + O(p^{-n})\right) p^n$  and  $\sup_{t \neq 0} |\hat{1}_Q(t)| = O(p^{-n/2})$ . This is again on Ex. Sheet 1.

**Notation.** Given  $f, g : \mathbb{F}_p^n \rightarrow \mathbb{C}$ , write

$$\langle f, g \rangle = \mathbb{E}_{x \in \mathbb{F}_p^n} f(x) \overline{g(x)}$$

and

$$\langle \hat{f}, \hat{g} \rangle = \sum_{t \in \mathbb{F}_p^n} \hat{f}(t) \overline{\hat{g}(t)}.$$

Consequently,  $\|f\|_2^2 = \mathbb{E}_x |f(x)|^2$  and  $\|\hat{f}\|_2^2 = \sum_t |\hat{f}(t)|^2$ .

**Lemma 1.5.** The following hold for all  $f, g : \mathbb{F}_p^n \rightarrow \mathbb{C}$ :

- (i)  $\langle f, g \rangle = \langle \hat{f}, \hat{g} \rangle$  (Plancherel's identity).
- (ii)  $\|f\|_2 = \|\hat{f}\|_2$  (Parseval's identity).

*Proof.* (ii) follows from (i). For (i), compute

$$\begin{aligned}\langle \hat{f}, \hat{g} \rangle &= \sum_{t \in \mathbb{F}_p^n} \hat{f}(t) \overline{\hat{g}(t)} = \sum_{t \in \mathbb{F}_p^n} \frac{1}{p^{2n}} \sum_{x \in \mathbb{F}_p^n} f(x) \omega^{x \cdot t} \sum_{y \in \mathbb{F}_p^n} \overline{g(y) \omega^{y \cdot t}} \\ &= \frac{1}{p^{2n}} \sum_{x, y \in \mathbb{F}_p^n} f(x) \overline{g(y)} \sum_{t \in \mathbb{F}_p^n} \omega^{(x-y)t} = \frac{1}{p^{2n}} \sum_{x \in \mathbb{F}_p^n} p^n f(x) \overline{g(x)} = \langle f, g \rangle.\end{aligned}$$

□

**Definition 1.6.** Let  $\rho > 0$  and  $f : \mathbb{F}_p^n \rightarrow \mathbb{C}$ . Define the  $\rho$ -large spectrum of  $f$  to be

$$\text{Spec}_\rho(f) = \{t \in \mathbb{F}_p^n \mid |\hat{f}(t)| \geq \rho \|f\|_1\}.$$

**Example 1.7.** By Example 1.2, if  $f = 1_V$  with  $V \leq \mathbb{F}_p^n$ , then  $\forall \rho > 0$ ,  $\text{Spec}_\rho(f) = V^\perp$ .<sup>1</sup>

**Lemma 1.8.** For all  $\rho > 0$ ,  $|\text{Spec}_\rho(f)| \leq \rho^{-2} \frac{\|f\|_2^2}{\|f\|_1^2}$ .

*Proof.* By Parseval,

$$\|f\|_2^2 = \|\hat{f}\|_2^2 \geq \sum_{t \in \text{Spec}_\rho(f)} |\hat{f}(t)|^2 \geq |\text{Spec}_\rho(f)| (\rho \|f\|_1)^2.$$

□

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**Definition 1.9.** Given  $f, g : \mathbb{F}_p^n \rightarrow \mathbb{C}$ , define their **convolution**  $f * g : \mathbb{F}_p^n \rightarrow \mathbb{C}$  by

$$f * g(x) = \mathbb{E}_{y \in \mathbb{F}_p^n} f(y) g(x - y) \quad \forall x \in \mathbb{F}_p^n.$$

**Example 1.10.** Given  $A, B \subset \mathbb{F}_p^n$ ,

$$\begin{aligned}1_A * 1_B(x) &= \mathbb{E}_{y \in \mathbb{F}_p^n} 1_A(y) 1_B(x - y) = \frac{1}{p^n} |A \cap (x - B)| \\ &= \frac{1}{p^n} \# \text{ways } x \text{ can be written as } x = a + b \text{ with } a \in A, b \in B.\end{aligned}$$

In particular, the support of  $1_A * 1_B$  is the **sum set**

$$A + B = \{a + b \mid a \in A, b \in B\}$$

of  $A$  and  $B$ .

**Lemma 1.11.** Given  $f, g : \mathbb{F}_p^n \rightarrow \mathbb{C}$ ,

$$\widehat{f * g}(t) = \hat{f}(t) \hat{g}(t) \quad \forall t \in \mathbb{F}_p^n.$$

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<sup>1</sup>Here we have  $0 < \rho \leq 1$ , since it is clear by triangle inequality that  $\|f\|_1 \geq |\hat{f}(t)|$ .

*Proof.* Set  $u = x - y$  to get

$$\begin{aligned}\widehat{f * g}(t) &= \mathbb{E}_{x \in \mathbb{F}_p^n} \left( \mathbb{E}_{y \in \mathbb{F}_p^n} f(y) g(x - y) \right) \omega^{x \cdot t} \\ &= \mathbb{E}_y f(y) \mathbb{E}_u g(u) \omega^{(u+y) \cdot t} \\ &= \hat{f}(t) \hat{g}(t).\end{aligned}$$

□

**Example 1.12.**  $\|\hat{f}\|_4^4 = \mathbb{E}_{x+y=z+w} f(x) f(y) \overline{f(z)} \overline{f(w)}$ . This is on Ex. Sheet 1.

**Lemma 1.13** (Bogolyubov's Lemma). Given  $A \subset \mathbb{F}_p^n$  of density  $\alpha > 0$ , there exists a subspace  $V \leq \mathbb{F}_p^n$  of codimension at most  $2\alpha^{-2}$  s.t.  $A + A - A - A \supset V$ .

*Proof.* Observe that

$$A + A - A - A = \text{supp}(\underbrace{1_A * 1_A * 1_{-A} * 1_{-A}}_{:=g}).$$

Hence we wish to find  $V \leq \mathbb{F}_p^n$  such that  $g(x) > 0 \forall x \in V$ . Let  $K = \text{Spec}_\rho(1_A)$  with  $\rho$  to be determined later and let  $V = \langle K \rangle^\perp$ . By Lemma 1.8<sup>2</sup>,  $|K| \leq \rho^{-2} \alpha^{-1}$  and hence  $\text{codim}(V) \leq |K| \leq \rho^{-2} \alpha^{-1}$ . By the inversion formula,

$$\begin{aligned}g(x) &= \sum_{t \in \mathbb{F}_p^n} (1_A * 1_A * \widehat{1_{-A}} * 1_{-A})(t) \omega^{-x \cdot t} \\ &= \sum_{t \in \mathbb{F}_p^n} |\hat{1}_A(t)|^4 \omega^{-x \cdot t} \\ &= \underbrace{\alpha^4 + \sum_{t \in K \setminus \{0\}} |\hat{1}_A(t)|^4 \omega^{-x \cdot t}}_{(1)} + \underbrace{\sum_{t \notin K} |\hat{1}_A(t)|^4 \omega^{-x \cdot t}}_{(2)}.\end{aligned}$$

For (1), we see it is  $\geq 0$  since  $x \cdot t = 0 \forall t \in K, x \in V$ . (Note we could give better lower bounds but we don't need them).

For (2), we have

$$\begin{aligned}|(2)| &\leq \sum_{t \notin K} |\hat{1}_A(t)|^4 \leq \sup_{t \notin K} |\hat{1}_A(t)|^2 \sum_{t \notin K} |\hat{1}_A(t)|^2 \leq \sup_{t \notin K} |\hat{1}_A(t)|^2 \sum_t |\hat{1}_A(t)|^2 \\ &\leq (\rho \alpha)^2 \|1_A\|_2^2 = \rho^2 \alpha^3.\end{aligned}$$

Now pick  $\rho$  such that  $\rho^2 \alpha^3 \leq \frac{\alpha^4}{2}$ , e.g.  $\rho = \sqrt{\frac{\alpha}{2}}$ , so  $g(x) \geq \frac{\alpha^4}{2} > 0 \forall x \in V$ . □

<sup>2</sup>Here  $f = 1_A$  and  $\alpha = \frac{\|f\|_1^2}{\|f\|_2^2} = \frac{\left(\frac{1}{p^n} \sum |f|\right)^2}{\left(\frac{1}{p^n} \sum |f|^2\right)} = \frac{|A|}{p^n} = \alpha$ .

**Example 1.14.** The set  $A = \{x \in \mathbb{F}_2^n \mid |x| \geq \frac{n}{2} + \frac{\sqrt{n}}{2}\}$  has density at least  $\frac{1}{4}$ , and there is no coset  $C$  of any subspace of codimension at most  $\sqrt{n}$  such that  $C \subset A + A$ . This is on Ex. Sheet 1.

**Lemma 1.15.** Let  $A \subset \mathbb{F}_p^n$  of density  $\alpha$  be such that  $\exists t \neq 0$  in  $\text{Spec}_\rho(1_A)$ . Then  $\exists V \leq \mathbb{F}_p^n$  of codimension 1 and  $\exists x \in \mathbb{F}_p^n$  such that

$$|A \cap (x + V)| \geq \alpha \left(1 + \frac{\rho}{2}\right) |V|.$$

*Proof.* Let  $t \neq 0$  be such that  $|\hat{1}_A(t)| \geq \rho\alpha$  and let  $V = \langle t \rangle^\perp$ . Write  $v_j + V$  for  $j \in [p] := \{1, 2, \dots, p\}$  for the cosets of  $V$  such that  $v_j + V = \{x \in \mathbb{F}_p^n \mid x \cdot t = j\}$ . Then

$$\begin{aligned} \rho\alpha &\leq \hat{1}_A(t) = \hat{f}_A(t) \\ &= \mathbb{E}_{x \in \mathbb{F}_p^n} (1_A(x) - \alpha) \omega^{x \cdot t} \\ &= \mathbb{E}_{j \in [p]} \underbrace{\mathbb{E}_{x \in v_j + V} (1_A(x) - \alpha) \omega^j}_{:= a_j = \frac{|A \cap (v_j + V)|}{|V|} - \alpha}. \end{aligned}$$

By the triangle inequality,  $\mathbb{E}_{j \in [p]} |a_j| \geq \rho\alpha$ . Since  $\mathbb{E}_{j \in [p]} a_j = \frac{|A|}{p^{n-1}} - p\alpha = 0$ ,  $\mathbb{E}_{j \in [p]} (a_j + |a_j|) \geq \rho\alpha$ , so  $\exists j \in [p]$  such that  $a_j + |a_j| \geq \rho\alpha \implies a_j \geq \frac{\rho\alpha}{2}$ .  $\square$

**Lemma 1.16.** Let  $p \geq 3$  and  $A \subset \mathbb{F}_p^n$  of density  $\alpha > 0$  be such that

$$\sup_{t \neq 0} |\hat{1}_A(t)| = o(1).$$

Then  $A$  contains  $(\alpha^3 + o(1))(p^n)^2$  3-term arithmetic progressions (3-APs).

In other words, a set with small Fourier coefficients has the same number of 3-APs as a truly random set of the same density.

**Notation.** Given  $f, g, h : \mathbb{F}_p^n \rightarrow \mathbb{C}$ ,  $T_3(f, g, h) = \mathbb{E}_{x,d} f(x)g(x+d)h(x+2d)$ .

Given  $A \subset \mathbb{F}_p^n$ , write  $2 \cdot A = \{2a \mid a \in A\}$ . This is different from  $2A = A + A = \{a + a' \mid a, a' \in A\}$ .

*Proof.* The number of 3-APs in  $A$  is  $(p^n)^2$  times  $T_3(1_A, 1_A, 1_A)$ , where

$$\begin{aligned} T_3(1_A, 1_A, 1_A) &= \mathbb{E}_{x,d} 1_A(x)1_A(x+d)1_A(x+2d) \\ &= \mathbb{E}_{x,y} 1_A(x)1_A(y)1_A(2y-x) && y = x + d \\ &= \mathbb{E}_y 1_A(y)(1_A * 1_A)(2y) \\ &= \langle 1_{2 \cdot A}, 1_A * 1_A \rangle && z = 2y \\ &= \langle \widehat{1_{2 \cdot A}}, \widehat{1_A * 1_A} \rangle. && \text{by Plancherel.} \end{aligned}$$

Continue the last manipulation to get

$$\begin{aligned} &= \langle \widehat{1_{2 \cdot A}}, \widehat{1_A}^2 \rangle \\ &= \alpha^3 + \sum_{t \neq 0} \widehat{1_A}(t)^2 \overline{\widehat{1_{2 \cdot A}}(t)}. \end{aligned}$$

The last sum in absolute value is at most

$$\begin{aligned} &\leq \sup_{t \neq 0} |\widehat{1_A}(t)| \sum_{t \neq 0} |\widehat{1_A}(t) \overline{\widehat{1_{2 \cdot A}}(t)}| \\ &\leq \sup_{t \neq 0} |\widehat{1_A}(t)| \left( \sum_t |\widehat{1_A}(t)|^2 \right)^{1/2} \left( \sum_t |\widehat{1_{2 \cdot A}}(t)|^2 \right)^{1/2} \\ &\leq \sup_{t \neq 0} |\widehat{1_A}(t)| \cdot \alpha^{1/2} \cdot \alpha^{1/2} \\ &\leq \sup_{t \neq 0} |\widehat{1_A}(t)| \end{aligned}$$

by C-S and Parseval. □

Using the above two results, we prove:

**Theorem 1.17** (Meshulam's Theorem). Let  $p \geq 3$  and let  $A \subset \mathbb{F}_p^n$  be a set containing no non-trivial 3-APs. Then  $|A| = O\left(\frac{p^n}{n \log p}\right)$ .

*Proof.* By assumption,  $T_3(1_A, 1_A, 1_A) = \frac{\alpha}{p^n}$ , but as in Lemma 1.16,

$$T_3(1_A, 1_A, 1_A) = \alpha^3 + \sum_{t \neq 0} \widehat{1_A}(t)^2 \overline{\widehat{1_{2 \cdot A}}(t)},$$

so  $\left| \frac{\alpha}{p^n} - \alpha^3 \right| \leq \sup_{t \neq 0} |\widehat{1_A}(t)| \cdot \alpha$ , which gives  $\sup_{t \neq 0} |\widehat{1_A}(t)| \geq \left| \frac{1}{p^n} - \alpha^2 \right| \geq \frac{\alpha^2}{2}$  provided  $p^n \geq 2\alpha^{-2}$ . By Lemma 1.15 with  $\rho = \frac{\alpha}{2}$ ,  $\exists V \leq \mathbb{F}_p^n$  of codimension 1 and  $x \in \mathbb{F}_p^n$  such that  $|A \cap (x + V)| \geq \left( \alpha + \frac{\alpha^2}{4} \right) |V|$ .

We iterate this observation. Let  $A_0 = A$ ,  $V_0 = \mathbb{F}_p^n$ ,  $\alpha_0 = \alpha = \frac{|A_0|}{|V_0|}$ . At step  $i$  of this iteration, we are given a set  $A_{i-1} \subset V_{i-1}$  of density  $\alpha_{i-1}$  with no nontrivial 3-APs. Provided that  $p^{\dim(V_{i-1})} \geq 2\alpha_{i-1}^{-2}$ ,  $\exists V_i \leq V_{i-1}$  of codimension 1 and  $x_i \in V_{i-1}$  such that  $|A_{i-1} \cap (x_i + V_i)| \geq \left( \alpha_{i-1} + \frac{\alpha_{i-1}^2}{4} \right) |V_i|$ . Set  $A_i = A_{i-1} - x_i$ . Note  $\alpha_i \geq \alpha_{i-1} + \frac{\alpha_{i-1}^2}{4}$  and  $A_i$  is free of nontrivial 3-APs. Through this iteration, the density of  $A$  increases from  $\alpha$  to  $2\alpha$  in at most  $\frac{\alpha}{\alpha^2/4} = 4\alpha^{-1}$  steps, from  $2\alpha$  to  $4\alpha$  in at most  $\frac{2\alpha}{(2\alpha)^2/4} = 2\alpha^{-1}$  steps, etc, which reaches 1 in at most

$$(4\alpha^{-1} + 2\alpha^{-1} + \alpha^{-1} + \dots) = 8\alpha^{-1}$$

steps. The argument must therefore end with  $\dim(V_i) \geq n - 8\alpha^{-1}$ , at which point we must have had  $p^{\dim(V_i)} \leq 2\alpha_i^{-2} \leq 2\alpha^{-2}$  (or else we could have continued). But we may assume that  $\alpha \geq \sqrt{2}p^{-n/4}$  (else we're done), whence  $p^{n-8\alpha^{-1}} \leq p^{n/2}$ , i.e.  $\frac{n}{2} \leq 8\alpha^{-1}$ , so  $\alpha \leq \frac{16}{n}$ , finishing the proof (in fact, we can now take  $C = 16 \log p$  as an explicit constant in the big O notation).  $\square$

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So for  $A \subset \mathbb{F}_3^n$  containing no nontrivial 3-APs, we have  $|A| = O\left(\frac{3^n}{n}\right)$ . The largest known subset of  $\mathbb{F}_3^n$  containing no nontrivial 3-APs has size  $\geq (2.218)^n$ . (Proving  $2^n$  is trivial: take all combinations of zeroes and ones with no twos).

From now on, let  $G$  be a finite abelian group.  $G$  comes equipped with a set of **characters**, i.e. group homomorphisms  $\gamma : G \rightarrow \mathbb{C}^\times$ , which themselves form a group, denoted by  $\hat{G}$ , often referred to as the **dual** of  $G$ . It turns out that if  $G$  is finite and abelian, then  $\hat{\hat{G}} \cong G$ . For instance:

- If  $G = \mathbb{F}_p^n$ , then  $\hat{G} = \{\gamma_t : x \mapsto \omega^{x \cdot t} \mid t \in G\}$ .
- If  $G = \mathbb{Z}_p := \mathbb{Z}/p\mathbb{Z}$ , then  $\hat{G} = \{\gamma_t : x \mapsto \omega^{xt} \mid t \in G\}$ .

**Definition 1.18.** Given  $f : G \rightarrow \mathbb{C}$ , define its **Fourier transform**  $\hat{f} : \hat{G} \rightarrow \mathbb{C}$  by

$$\hat{f}(\gamma) = \mathbb{E}_{x \in G} f(x) \gamma(x) \quad \forall \gamma \in \hat{G}.$$

It is easy to verify that we have an inversion formula, given by

$$f(x) = \sum_{\gamma \in \hat{G}} \hat{f}(\gamma) \overline{\gamma(x)}.$$

We can also check that Definition 1.6 and 1.9, Examples 1.3 and 1.10 and Lemmas 1.5, 1.8 and 1.11 go through in this general context.

**Example 1.19.** Let  $p$  be a prime, let  $L \leq p-1$  be even and consider  $J = [-\frac{L}{2}, \frac{L}{2}] \subset \mathbb{Z}_p$ . Then  $\forall t \neq 0$ ,

$$|\hat{1}_J(t)| \leq \min \left\{ \frac{L+1}{p}, \frac{1}{2|t|} \right\}.$$

This is on Ex. Sheet 1.

**Theorem 1.20** (Roth's Theorem). Let  $A \subset [N] := \{1, 2, \dots, N\}$  be a set containing no non-trivial 3-APs. Then  $|A| = O\left(\frac{N}{\log \log N}\right)$ .

**Lemma 1.21.** Let  $A \subset [N]$  be of density  $\alpha > 0$  satisfying  $N > 50\alpha^{-2}$  containing no nontrivial 3-APs. Let  $p$  be a prime in  $[\frac{N}{3}, \frac{2N}{3}]$  and write  $A' = A \cap [p] \subset \mathbb{Z}_p$ . Then either

- (i)  $\sup_{t \neq 0} |\hat{1}_{A'}(t)| \geq \frac{\alpha^2}{10}$  (where the Fourier coefficient is computed in  $\mathbb{Z}_p$ ); or



(ii)  $\exists$  interval  $J \subset [N]$  of length  $\geq \frac{N}{3}$  such that  $|A \cap J| \geq \alpha \left(1 + \frac{\alpha}{400}\right) |J|$ .

*Proof.* We may assume that  $|A'| = |A \cap [p]| \geq \alpha \left(1 - \frac{\alpha}{200}\right) p$ , since otherwise  $|A \cap [p+1, N]| \geq \alpha N - \alpha \left(1 - \frac{\alpha}{200}\right) p = \alpha(N-p) + \frac{\alpha^2 p}{200} \geq \alpha \left(1 + \frac{\alpha}{400}\right) (N-p)$ , so case (ii) holds with  $J = [p+1, N]$ .

Let  $A'' = A' \cap [\frac{p}{3}, \frac{2p}{3}]$ . Note that all 3-APs of the form  $(x, x+d, x+2d) \in A' \times A'' \times A''$  are in fact proper APs in  $[N]$  (and not only in  $\mathbb{Z}_p$ , since there's no "wrapping around", since  $x+d, x+2d \in [\frac{p}{3}, \frac{2p}{3}]$ ).

If  $|A' \cap [p/3]|$  or  $|A' \cap [2p/3, p]|$  are at least  $\frac{2|A'|}{5}$ , then we are again in case (ii) (details left as exercise). Hence we may assume that  $|A''| \geq \frac{|A'|}{5}$ . Now as in Lemma 1.16 and Theorem 1.17 with  $\alpha' = |A'|/p, \alpha'' = |A''|/p$ ,

$$\frac{\alpha''}{p} = \frac{|A''|}{p^2} = T_3(1_{A'}, 1_{A''}, 1_{A''}) = \alpha' \cdot \alpha''^2 + \sum_{t \neq 0} \hat{1}_{A'}(t) \hat{1}_{A''}(t) \overline{\hat{1}_{2 \cdot A''}(t)},$$

so as before,

$$\begin{aligned} \left| \frac{\alpha''}{p} - \alpha' \alpha''^2 \right| &\leq \frac{\alpha' \cdot \alpha''^2}{2} \leq \sup_{t \neq 0} |\hat{1}_{A'}(t)| \cdot \alpha'' \\ \implies \sup |\hat{1}_{A'}(t)| &\geq \frac{\alpha' \cdot \alpha''}{2} \geq \frac{(\alpha')^2}{10} \end{aligned}$$

provided that  $\frac{\alpha''}{p} \leq \frac{\alpha'(\alpha'')^2}{2}$  which holds since (using  $p \geq \frac{N}{3}$  and  $N > 50\alpha^{-2}$ )

$$\alpha' \alpha'' p \geq \alpha' \alpha'' \frac{N}{3} > \frac{\alpha'}{\alpha} \frac{\alpha''}{\alpha} \cdot 50 \geq \left(\frac{\alpha'}{\alpha}\right)^2 \cdot 10 = \left(1 - \frac{\alpha}{200}\right)^2 \cdot 10 \geq \frac{1}{2},$$

where the last step holds for  $\alpha = 1$  and hence for any  $\alpha \leq 1$ .  $\square$