Part III - Elliptic Curves Lectured by Tom Fisher

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0 Introduction

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Lecture 1

The best books for the course include *The arithmetic of elliptic curves* by Silverman, Springer 1996, and *Lectures on elliptic curves* by Cassels, CUP 1991.

1 Fermat's Method of Infinite Descent

A right–angled triangle Δ has $a^2 + b^2 = c^2$ and area $(\Delta) = \frac{1}{2}ab$.

Definition 1.1. Δ is **rational** if $a,b,c\in\mathbb{Q}$. Δ is **primitive** if $a,b,c\in\mathbb{Z}$ are coprime.

Note that a primitive triangle has pairwise coprime side lengths because $a^2 + b^2 = c^2$.

Lemma 1.1. Every primitive triangle is of the form $(u^2 - v^2, 2uv, u^2 + v^2)$ for some integers u > v > 0.

Proof. WLOG let a,b,c be odd, even, odd. Then $\left(\frac{b}{2}\right)^2 = \frac{c+a}{2} \frac{c-a}{2}$, where we note that the RHS is a product of positive coprime integers. By unique factorization, $\frac{c+a}{2} = u^2, \frac{c-a}{2} = v^2$ for $u,v \in \mathbb{Z}$. This gives the desired result.

Definition 1.2. $D \in \mathbb{Q}_{>0}$ is a **congruent** number if there exists a rational triangle Δ with area $(\Delta) = D$.

Note that it suffices to consider $D \in \mathbb{Z}_{>0}$ squarefree.

Example 1.1. D = 5,6 are congruent.

Lemma 1.2. $D \in \mathbb{Q}_{>0}$ is congruent $\iff Dy^2 = x^3 - x$ for some $x, y \in \mathbb{Q}, y \neq 0$.

Proof. Lemma 1.1 shows that D congruent $\Longrightarrow Dw^2 = uv(u^2 - v^2)$ for some $u, v, w \in \mathbb{Q}, w \neq 0$. This implication also obviously goes the other way. To finish, divide through by w^4 and take $x = \frac{u}{v}, y = \frac{w}{v^2}$.

Fermat showed that 1 is not a congruent number.

Theorem 1.3. There is no solution to $w^2 = uv(u+v)(u-v)$ for $u,v,w \in \mathbb{Z}, w \neq 0$.

Proof. WLOG assume u, v are coprime and that u, w > 0. If v < 0, then replace (u, v, w) by (-v, u, w). If u, v are both odd, then replace (u, v, w) by $\left(\frac{u+v}{2}, \frac{u-v}{2}, \frac{w}{2}\right)$. Then u, v, u+v, u-v are pairwise coprime positive integers with their product a square, so by unique factorization in \mathbb{Z} , $u = a^2, v = b^2, u + v = c^2, u - v = d^2$ for $a, b, c, d \in \mathbb{Z}$.

Since $u \not\equiv v \pmod{2}$, both c and d are odd. Then $\left(\frac{c+d}{2}\right)^2 + \left(\frac{c-d}{2}\right)^2 = \frac{c^2+d^2}{2} = u = a^2$. This gives a primitive triangle with area $\frac{c^2-d^2}{8} = \frac{v}{4} = \left(\frac{b^2}{2}\right)$.

Let $w_1 = \frac{b}{2}$, then by Lemma 1.1, $w_1^2 = u_1 v_1 (u_1 + v_1) (u_1 - v_1)$ for some $u_1, v_1 \in \mathbb{Z}$. Hence we have a new solution to our original question, with $4w_1^2 = b^2 = v \mid w^2 \implies w_1 \leq \frac{w}{2}$, so we're done by infinite descent.

A variant for polynomials. In the above, K is a field with char $K \neq 2$. Let \overline{K} be the algebraic closure of K and consider for this whole section K with char $K \neq 2$.

Lemma 1.4. Let $u, v \in K[t]$ be coprime. If $\alpha u + \beta v$ is a square for 4 distinct $(\alpha : \beta) \in \mathbb{P}^1$, then $u, v \in K$.

Proof. WLOG let $K = \overline{K}$ by extending if necessary. Changing coordinates on \mathbb{P}^1 (i.e. multiplying by a 2×2 invertible matrix), we may assume that the points $(\alpha : \beta)$ are (1 : 0), (0 : 1), (1 : -1), $(1 : -\lambda)$ for $\lambda \in K \setminus \{0, 1\}$. Since our field is algebraically closed, let $\mu = \sqrt{\lambda}$. Then $u = a^2, v = b^2, u - v = (a + b)(a - b), u - \lambda v = (a + \mu b)(a - \mu b)$.

Unique factorization in K[t] implies that $a+b, a-b, a+\mu b, a-\mu b$ are squares (since the necessary terms are coprime up to units, i.e. constants). But $\max(\deg(a), \deg(b)) \leq \frac{1}{2} \max(\deg(u), \deg(v))$, so by Fermat's method of infinite descent, $u, v \in K$.

- **Definition 1.3.** (i) An elliptic curve E/K is the projective closure of the plane affine curve $y^2 = f(x)$ (this is called a Weierstrass equation) where $f \in K[x]$ is a monic cubic polynomial with distinct roots in \overline{K} .
 - (ii) For L/K any field extension, $E(L) = \{(x,y) \in L^2 \mid y^2 = f(x)\} \cup \{0\}$ (the point at infinity in the projective closure), it turns out that E(L) is naturally an abelian group.

In this course, we study E(K) for K a finite field, local field, number field. Lemma 1.2 and Theorem 1.3 show that if $E: y^2 = x^3 - x$, then $E(\mathbb{Q}) = \{0, (0, 0), (\pm 1, 0)\}.$

Corollary 1.5. Let E/K be an elliptic curve. Then E(K(t)) = E(K).

Proof. WLOG $K = \overline{K}$. By a change of coordinates, we may assume $y^2 = x(x-1)(x-\lambda)$ for some $\lambda \in K \setminus \{0,1\}$. Suppose $(x,y) \in E(K(t))$. Write $x = \frac{u}{v}$ for $u,v \in K(t)$ coprime. Then $w^2 = uv(u-v)(u-\lambda v)$ for some $w \in K[t]$. Unique factorization in K[t] shows that $u,v,u-v,u-\lambda v$ are all squares, so by Lemma 1.4, $u,v \in K$, so $x,y \in K$.

2 Some remarks on algebraic curves

In this section, work over an algebraically closed field $K = \overline{K}$.

22 Jan 2024, Lecture 2 **Definition 2.1.** A plane curve $C = \{f(x,y) = 0\} \subset \mathbb{A}^2$ (for $f \in K[x,y]$ irreducible) is **rational** if it has a rational parametrization, i.e. $\exists \phi, \psi \in K(t)$ such that

- (i) The map $\mathbb{A}^1 \to \mathbb{A}^2$ by $t \mapsto (\phi(t), \psi(t))$ is injective on $\mathbb{A}^1 \setminus \{\text{finite set}\}.$
- (ii) $f(\phi(t), \psi(t)) = 0$ in K(t).
- **Example 2.1.** (a) Any nonsingular conic is rational. For example, for $x^2 + y^2 = 1$, take a line with slope t through (-1,0) (the anchor) and solve to get the rational parametrization $(x,y) = \left(\frac{1-t^2}{1+t^2}, \frac{2t}{1+t^2}\right)$.
- (b) Any singular plane cubic is rational, for example $y^2 = x^3$ giving $(x, y) = (t^2, t^3)$ with the anchor at the singularity (0, 0) and $y^2 = x^2(x+1)$ with the parametrization to be computed on Ex. Sheet 1 (anchor still at (0, 0)).
- (c) Corollary 1.5 shows that elliptic curves are not rational.

Remark. The genus $g(C) \in \mathbb{Z}_{\geq 0}$ is an invariant of a smooth projective curve C. If $K = \mathbb{C}$, then g(C) is the genus of the Riemann surface. A smooth plane curve $C \subset \mathbb{P}^2$ of degree d has genus $g(C) = \frac{(d-1)(d-2)}{2}$.

Proposition 2.1. (Here we still assume $K = \overline{K}$). Let C be a smooth projective curve.

- C is rational (see Definition 2.1) \iff g(C) = 0.
- C is an elliptic curve $\iff g(C) = 1$.

Proof. (i) Omitted.

(ii) (\Longrightarrow): Check C is a smooth plane curve in \mathbb{P}^2 (see Ex. Sheet 1) and use the above remark.

 (\Leftarrow) : We will see this later.

Order of vanishing. Let C be an algebraic curve with function field K(C) and let $P \in C$ be a smooth point. Write $\operatorname{ord}_P(f)$ for the order of vanishing of $f \in K(C)$ at P (which is negative if f has a pole at P).

Fact. ord_P: $K(C)^{\times} \to \mathbb{Z}$ is a discrete valuation, i.e. ord_P(f_1f_2) = ord_P(f_1) + ord_P(f_2) and ord_P($f_1 + f_2$) $\geq \min(\operatorname{ord}_P(f_1), \operatorname{ord}_P(f_2))$.

Definition 2.2. We say $t \in K(C)^{\times}$ is a **uniformizer** at P if $\operatorname{ord}_{P}(t) = 1$.

Example 2.2. $C = \{g = 0\} \subset \mathbb{A}^2 \text{ for } g \in K[x,y].$ Then $K(C) = \operatorname{Frac}\left(\frac{K[x,y]}{(g)}\right)$. Write $g = g_0 + g_1(x,y) + g_2(x,y) + \dots$ for g_i homogeneous of degree i. Suppose P = (0,0) is a smooth point, e.g. $g_0 = 0$ and let $g_1(x,y) = \alpha x + \beta y$ with α, β not both zero $(\alpha x + \beta y = 0$ gives a tangent to the curve at P). Let $\gamma, \delta \in K$ and consider also the line $\gamma x + \delta y$ through P. Then it is a fact that $\gamma x + \delta y \in K(C)$ is a uniformizer at P if and only if $\alpha \delta - \beta \gamma \neq 0$.

Example 2.3. Consider $\{y^2 = x(x-1)(x-\lambda)\}\subset \mathbb{A}^2$ for $\lambda \neq 0, 1$ and consider its projective closure by taking $x = \frac{X}{Z}, y = \frac{Y}{Z}$ to get $\{Y^2Z = X(X-Z)(X-\lambda Z)\}\subset \mathbb{P}^2$. This has only one point at infinity, P = (0:1:0). Our aim is to compute $\operatorname{ord}_P(x)$ and $\operatorname{ord}_P(y)$.

For this, put $t = \frac{X}{Y}$, $w = \frac{Z}{Y}$, so $w \stackrel{(\dagger)}{=} t(t-w)(t-\lambda w)$. Now P is the point (t,w) = (0,0), which is a smooth point with $\operatorname{ord}_P(t) = \operatorname{ord}_P(t-w) = \operatorname{ord}_P(t-\lambda w) = 1$, so (\dagger) gives $\operatorname{ord}_P(w) = 3$. We now find

$$\operatorname{ord}_{P}(x) = \operatorname{ord}_{P}\left(\frac{X}{Z}\right) = \operatorname{ord}_{P}\left(\frac{t}{w}\right) = 1 - 3 = -2$$
$$\operatorname{ord}_{P}(y) = \operatorname{ord}_{P}\left(\frac{Y}{Z}\right) = \operatorname{ord}_{P}\left(\frac{1}{w}\right) = -3.$$

Riemann-Roch space. Let C be a smooth projective curve.

Definition 2.3. A divisor is a formal sum of points on C, say $D = \sum_{P \in C} n_P P$ where $n_P \in \mathbb{Z}$ and $n_P = 0$ for all but finitely many $P \in C$. We say deg $D = \sum_{P \in C} n_P$.

D is **effective** (written $D \ge 0$) if $n_P \ge 0 \ \forall P \in C$. If $f \in K(C)^{\times}$, then $\operatorname{div}(f) = \sum_{P \in C} \operatorname{ord}_P(f)P$. The Riemann–Roch space of $D \in \operatorname{Div}(C)$ is

$$\mathcal{L}(D) = \{ f \in K(C)^{\times} \mid \text{div}(f) + D \ge 0 \} \cup \{ 0 \},\$$

i.e. the K-vector space of rational functions on C with "poles no worse than specified by D" (i.e. every coefficient of $\operatorname{div}(f) + D$ is nonnegative).

We quote Riemann–Roch for surfaces of genus 1: We have

$$\dim \mathcal{L}(D) = \begin{cases} \deg D & \text{if deg } D > 0 \\ 0 \text{ or } 1 & \text{if deg } D = 0 \\ 0 & \text{if deg } D < 0. \end{cases}$$

Example 2.4. We revisit Example 2.3. We have $\mathcal{L}(2P) = \langle 1, x \rangle$ and $\mathcal{L}(3P) = \langle 1, x, y \rangle$.

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We still have char $K \neq 2$ and $\overline{K} = K$.

Proposition 2.2. Let $C \subset \mathbb{P}^2$ be a smooth plane cubic and let $P \in C$ be a point of inflection. Then we may change coordinates such that $C: Y^2Z = X(X-Z)(X-\lambda Z)$ and P = (0:1:0) (for some $\lambda \neq 0,1$).

Proof. First change coordinates such that P=(0:1:0). Then change coordinates such that the tangent line becomes $T_pC=\{Z=0\}$. Say $C=\{F(X,Y,Z)=0\}\subset\mathbb{P}^2$. A point on the tangent line is of the form (t:1:0) and since $P\in C$ is a point of inflection, we get $F(t,1,0)=\mathrm{const}\cdot t^3$, i.e. F has no terms X^2Y,XY^2 or Y^3 .

Hence $F = \langle Y^2Z, XYZ, YZ^2, X^3, X^2Z, XZ^2, Z^3 \rangle$. Notably, Y^2Z has a nonzero coefficient, otherwise $P \in C$ would be singular, a contradition to C being smooth. The coefficient of X^3 is nonzero as well, otherwise $Z \mid F$. We are free to rescale X, Y, Z, F, so WLOG C is defined by

$$Y^{2}Z + a_{1}XYZ + a_{3}YZ^{2} = X^{3} + a_{2}X^{2}Z + a_{4}XZ^{2} + a_{6}Z^{3}.$$

Substituting $Y \mapsto Y - \frac{1}{2}a_1X - \frac{1}{2}a_3Z$, we may assume $a_1 = a_3 = 0$. This gives

$$C: Y^2 Z = Z^3 f\left(\frac{X}{Z}\right)$$

for a monic cubic polynomial f. Since C is smooth, f has distinct roots, WLOG $0, 1, \lambda$, so $C: Y^2Z = X(X - Z)(X - \lambda Z)$.

The form $Y^2Z + a_1XYZ + a_3YZ^2 = X^3 + a_2X^2Z + a_4XZ^2 + a_6Z^3$ is the Weierstrass form. The form $Y^2Z = X(X - Z)(X - \lambda Z)$ is the Legendre form.

Remark. It can be shown that the points of inflection of a plane curve $C = \{F(X_1, X_2, X_3) = 0\} \subset \mathbb{P}^2$ are given by solving the Hessian:

$$\begin{cases} \det H = \det \left(\frac{\partial^2 F}{\partial X_i \partial X_j} \right) = 0 \\ F(X_1, X_2, X_3) = 0. \end{cases}$$

2.1 The degree of a morphism

Let $\phi: C_1 \to C_2$ be a nonconstant morphism of smooth projective curves. Then $\phi^*: K(C_2) \to K(C_1)$ by $f \mapsto f \circ \phi$, giving an injective map $\phi^*K(C_2)$ to $K(C_1)$.

Definition 2.4. The **degree** of ϕ is deg $\phi = [K(C_1) : \phi^*K(C_2)].$

We say ϕ is **separable** if $K(C_1)/\phi^*K(C_2)$ is a separable field extension.

Suppose $P \in C_1, Q \in C_2$ and $\phi : P \mapsto Q$. Let $t \in K(C_2)$ be a uniformizer at Q.

Definition 2.5. $e_{\phi}(P) = \operatorname{ord}_{P}(\phi^{*}t)$, which is always ≥ 1 and independent of t.

Theorem 2.3. Let $\phi: C_1 \to C_2$ be a nonconstant morphism of smooth projective curves. Then

$$\sum_{P \in \phi^{-1}(Q)} e_{\phi}(P) = \deg \phi \ \forall Q \in C_2.$$

Moreover, if ϕ is separable, then $e_{\phi}(P) = 1$ for all but finitely many $P \in C_1$.

We don't prove this.

In particular, this shows that:

- (i) ϕ is surjective (very important here that we're in \overline{K}).
- (ii) $|\phi^{-1}(Q)| \le \deg \phi$.
- (iii) If ϕ is separable, then equality holds in (ii) for all but finitely many points $Q \in C_2$.

Important remark. Let C be an algebraic curve. A rational map is given by

$$C \to \mathbb{P}^n$$

 $\phi \mapsto (f_0, f_1, \dots, f_n)$

where $f_0, \ldots, f_n \in K(C)$ are not all zero. Then we have a fact: If C is smooth, then ϕ is a morphism. This saves us a lot of time (we can go from a rational map to a morphism immediately).

3 Weierstrass equations

We now drop the assumption that $\overline{K} = K$, but we will still assume that K is perfect.

Definition 3.1. An elliptic curve E/K is a smooth projective curve of genus 1 defined over K with a specified K-rational point $O = 0_E$.

Example 3.1. $\{X^3 + pY^3 + p^2Z^3 = 0\} \subset \mathbb{P}^2$ is not an elliptic curve over \mathbb{Q} , since it has no \mathbb{Q} -rational point.

Theorem 3.1. Every elliptic curve E is isomorphic over K to a curve in Weierstrass form via an isomorphism taking 0_E to (0:1:0).

Remark. Proposition 2.2 treated the special case where E is a smooth plane cubic and 0_E is a point of inflection.

Fact. If $D \in \text{Div}(E)$ is defined over K, then $\mathcal{L}(D)$ has a basis in K(E) (not just in $\overline{K}(E)$). Here D is defined over K if it is fixed by $\text{Gal}(\overline{K}/K)$ (this is unimportant for us and we just write it down to be rigorous).

Proof. $\mathcal{L}(2 \cdot 0_E) \subset \mathcal{L}(3 \cdot 0_E)$. Pick bases 1, x and 1, x, y. Note $\operatorname{ord}_{0_E}(x) = -2$ and $\operatorname{ord}_{0_E}(y) = -3$ (else x, y don't give a basis). The 7 elements $1, x, y, x^2, xy, x^3, y^2$ lie in the 6-dimensional vector space $\mathcal{L}(60_E)$ (as they have at most a sixth order pole), so they must satisfy a linear dependence relation.

Leaving out x^3 or y^2 leaves us with 6 elements, all with different order poles, giving a basis for $\mathcal{L}(60_E)$. Hence the coefficients of x^3 and y^2 are nonzero, so by rescaling x, y (if necessary) we get

$$E': y^2 + a_1xy + a_2y = x^3 + a_2x^2 + a_4x + a_6$$

for some $a_i \in K$. Let E' be the curve defined by this equation (or rather its projective closure). There is a morphism $\phi: E \to E' \subset \mathbb{P}^2$ by $P \mapsto (x(P): y(P): 1) = \left(\frac{x}{y}(P): 1: \frac{1}{y}(P)\right)$. (Since E is smooth, we know that this rational map is a morphism). Hence $0_E \mapsto (0:1:0)$.

We have $E \xrightarrow{x} \mathbb{P}^1$ by $x \mapsto (x:1)$ (and similarly for y), so

$$[K(E):K(x)] = \deg(E \xrightarrow{x} \mathbb{P}^1) = \operatorname{ord}_{0_E}\left(\frac{1}{x}\right) = 2$$

$$[K(E):K(x)] = \operatorname{deg}(E \xrightarrow{x} \mathbb{P}^1) = \operatorname{ord}_{0_E}\left(\frac{1}{x}\right) = 2$$

$$[K(E):K(y)] = \deg(E \xrightarrow{y} \mathbb{P}^1) = \operatorname{ord}_{0_E}\left(\frac{1}{y}\right) = 3.$$

This gives an inclusion of fields $K(x) \leq K(E)$ of degree 2, $K(y) \leq K(E)$ of degree 3, while $K(x), K(y) \leq K(x,y) \leq K(E)$, so tower law gives $[K(E): K(x,y)] = 1 \implies K(E) = K(x,y) = \phi^*K(E') \implies \deg \phi = 1$. (draw a picture!). This gives us an inverse that is a rational map, which we want to show is a morphism. For this, we just need to show that E' is smooth.

If E' were singular, then E and E' are rational, a contradiction. So E' is smooth and hence ϕ^{-1} is a morphism, so ϕ is an isomorphism.

Proposition 3.2. Let E, E' be elliptic curves over K in Weierstrass form. Then $E \cong E'$ over $K \iff$ the equations are related by a change of variables

$$x = u^2x' + r$$
$$y = u^3y' + u^2sx' + t$$

for $r, s, t, u \in K$ with $u \neq 0$.

Proof. $\mathcal{L}(2 \cdot 0_E) = \langle 1, x \rangle = \langle 1, x' \rangle \implies x = \lambda x' + r \text{ for some } \lambda, r \in K, \lambda \neq 0.$ Similarly $\mathcal{L}(3 \cdot 0_E) = \langle 1, x, y \rangle = \langle 1, x', y' \rangle \implies y = \mu y' + \sigma x' + t \text{ for some } \mu, \sigma, t \in K, \mu \neq 0.$

Looking at the coefficients of x^3 and y^2 tells us that $\lambda^3 = \mu^2$, so $\lambda = u^2$, $\mu = u^3$ for some $u \in K^{\times}$. Put $s = \frac{\sigma}{u^2}$ to conclude.

A Weierstrass equation defines an elliptic curve \iff it defines a smooth curve $\iff \Delta(a_1, \ldots, a_6) \neq 0$, where $\Delta \in \mathbb{Z}[a_1, \ldots, a_6]$ is a certain polynomial.

If char $K \neq 2,3$, we may reduce to the case $E: y^2 = x^3 + ax + b$. In this case, the discriminant is $\Delta = -16(4a^3 + 27b^2)$.

Corollary 3.3. Assume char $K \neq 2, 3$. Elliptic curves

$$E: y^2 = x^3 + ax + b$$

 $E': y^2 = x^3 + a'x + b'$

are isomorphic over $K \iff \begin{cases} a' = u^4 a \\ b' = u^6 b \end{cases}$ for some $u \in K^{\times}$.

Proof. E, E' are related by a substitution as in Proposition 3.2 with r=s=t=0.

Definition 3.2. The *j*-invariant is $j(E) = \frac{1728(4a^3)}{4a^3+27b^2}$.

Corollary 3.4. $E \cong E' \implies j(E) \cong j(E')$ and the converse holds if $K = \overline{K}$.

Proof.
$$E \cong E' \iff \begin{cases} a' = u^4 a \\ b' = u^6 b \end{cases}$$
 for some $u \in K^{\times} \implies (a^3 : b^2) = ((a')^3 : (b')^2) \iff j(E) = j(E')$. The middle step is reversible if $K = \overline{K}$.

4 The Group Law

Let $E \subset \mathbb{P}^2$ be a smooth plane cubic with $0_E \in E(K)$ (not immediately assumed to be in Weierstrass form). E meets any line in 3 points, counted with multiplicity.

For $P, Q \in E$, let S be the 3^{rd} point of intersction of PQ with E and then let R be the 3^{rd} intersection of $0_E S$ with E. We define $P \oplus Q = R$. (Later we drop the circle and just write +). If P = Q, instead take the tangent line at P, i.e. $T_P E$, etc. This is the "chord and tangent process".

Theorem 4.1. (E, \oplus) is an abelian group.

Remark. Here E means $E(\overline{K})$ since we haven't specified a field yet.

Proof. (i) \oplus is commutative trivially.

(ii) 0_E is the identity, since the line through $0_E P$ meets S for the $3^{\rm rd}$ time at S and then SP meets E for the $3^{\rm rd}$ time at 0_E (drawing a picture makes this obvious).

- (iii) Inverses: Let S be the $3^{\rm rd}$ intersection of T_{0_E} with E and Q the $3^{\rm rd}$ intersection of PS with E. Then $P \oplus Q = 0_E$.
- (iv) Associativity is much harder. We have some setup:

Definition 4.1. $D_1, D_2 \in \text{Div}(E)$ are **linearly equivalent** if $\exists f \in K(E)^{\times}$ such that $\text{div}(f) = D_1 - D_2$. Write $D_1 \sim D_2$ and $[D] = \{D' \mid D' \sim D\}$.

Definition 4.2. The **Picard group** is $Pic(E) = Div(E) / \sim$. Also define $Pic^0(E) = Div^0(E) / \sim$ where $Div^0(E) = \{D \in Div(E) \mid deg(D) = 0\}$.

We define $\psi: E \to \operatorname{Pic}^0(E)$ by $P \mapsto [(P) - (0_E)]$.

Proposition 4.2. (i) $\psi(P \oplus Q) = \psi(P) + \psi(Q)$.

- (ii) ψ is a bijection.
- *Proof.* (i) WLOG let the lines PQ and 0_ES be given by l=0 and m=0. Then

$$\operatorname{div}\left(\frac{l}{m}\right) = (P) + (S) + (Q) - (0_E) - (S) - (R),$$

hence $(P) + (Q) \sim (P \oplus Q) + (0_E)$, so $(P \oplus Q) - (0_E) \sim (P) - (0_E) + (Q) - (0_E)$, so $\psi(P \oplus Q) = \psi(P) + \psi(Q)$.

(ii) Injectivity: Suppose $\psi(P) = \psi(Q)$ for $P \neq Q$. Then $\exists f \in \overline{K}(E)^{\times}$ such that $\operatorname{div}(f) = (P) - (0_E) - (Q) + (0_E) = (P) - (Q) \implies E \xrightarrow{f} \mathbb{P}^1$ has degree 1 (for example since evaluation at 0 on the affine line gives that P has one root and Q has one pole), so $E \cong \mathbb{P}^1$, a contradiction.

Surjectivity: Let $[D] \in \operatorname{Pic}^0(E)$. Then $D + (0_E)$ has degree 1, so by Riemann–Roch, $\dim \mathcal{L}(D+(0_E)) = 1$, so $\exists 0 \neq f \in \overline{K}(E)$ such that $\operatorname{div}(f) + D+(0_E) \geq 0$, but $\operatorname{div}(f) + D+(0_E)$ has degree 1, so $\operatorname{div}(f) + D+(0_E) = (P)$ for some $P \in E \implies (P) - (0_E) \sim D \implies \psi(P) = [D]$.

We conclude that ψ identifies (E, \oplus) with $(\text{Pic}^0(E), +)$, so \oplus is associative.

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Lecture 5

Formulae for E in Weierstrass form. Let $E: y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$. Choose two points $P_1 = (x_1, y_1)$ and $P_2 = (x_2, y_2)$ on it. Let the line through P_1 and P_2 be given by $y = \lambda x + \nu$ and let it meet E again at P' = (x', y'). We want to find $P_1 \oplus P_2 = P_3 = (x_3, y_3) = \ominus P'$ for $\ominus P$ the reflection of P across the x-axis. We easily compute $\ominus P_1 = (x_1, -(a_1x + a_3) - y_1)$.

Substituting $y = \lambda x + \nu$ into our equation for E and looking at the coefficient of x^2 gives $\lambda^2 + a_1\lambda - a_2 = x_1 + x_2 + x' = x_1 + x_2 + x_3$, so $x_3 = \lambda^2 + a_1\lambda - a_2 - x_1 - x_2$. For y_3 we find

$$y_3 = -(a_1x' + a_3) - y' = -(a_1x_3 + a_3) - (\lambda x_3 + \nu) = -(\lambda + a_1)x_3 - a_3 - \nu.$$

It remains to find formulas for λ and ν .

- Case 1. $x_1 = x_2$, but $P_1 \neq P_2$. Then $P_1 \oplus P_2 = 0_E$.
- Case 2. $x_1 \neq x_2$. Then $\lambda = \frac{y_2 y_1}{x_2 x_1}$ and $\nu = y_1 \lambda x_1 = \frac{x_2 y_1 x_1 y_2}{x_2 x_1}$.
- Case 3. $P_1 = P_2$. In this case, compute the equation for the tangent line to get λ, ν as rational expressions in x_1, x_2, y_1, y_2 .

Corollary 4.3. E(K) is an abelian group.

Proof. E(K) is a subgroup of (E, \oplus) .

- It has identity 0_E by definition.
- We have closure and inverses through the formulae above.
- Associativity and commutativity is inherited.

Theorem 4.4. Elliptic curves are group varieties, i.e.

$$[-1]: E \to E, P \mapsto \ominus P$$
$$\oplus: E \times E \to E, (P, Q) \mapsto P \oplus Q$$

are morphisms of algebraic varieties.

Proof. By the above formulae, $[-1]: E \to E$ is a rational map, i.e. a morphism by our important remark.

For \oplus , note by the above formulae that $\oplus: E \times E \to E$ is a rational map regular on

$$U = \{ (P, Q) \in E \times E \mid 0_E \notin \{P, Q, P \oplus Q, P \ominus Q\} \}.$$

For $P \in E$, let $\tau_P : E \to E$ be the "translation by P" map, given by $X \mapsto P \oplus X$. τ_P is a rational map, hence a morphism. Now for $A, B \in E$, we factor \oplus as

$$E \times E \stackrel{\tau_{\ominus A} \times \tau_{\ominus B}}{\to} E \times E \stackrel{\oplus}{\to} E \stackrel{\tau_{A \oplus B}}{\to} E.$$

This shows \oplus is regular on $(\tau_A \times \tau_B)(U)$, so \oplus is regular on $E \times E$.

Statement of results. The following isomorphisms in (i), (ii), (iv) respect the relevant topologies.

- (i) $K = \mathbb{C}$. Then $E(\mathbb{C}) \cong \mathbb{C}/\Lambda \cong \mathbb{R}/\mathbb{Z} \times \mathbb{R}/\mathbb{Z}$ for Λ a lattice.
- (ii) $K = \mathbb{R}$. Then

$$E(\mathbb{R}) = \begin{cases} \mathbb{Z}/2\mathbb{Z} \times \mathbb{R}/\mathbb{Z} & \text{if } \Delta > 0 \\ \mathbb{R}/\mathbb{Z} & \text{if } \Delta < 0. \end{cases}$$

- (iii) $K = \mathbb{F}_q$. Then $||E(\mathbb{F}_q)| (q+1)| \le 2\sqrt{q}$. This is Hasse's Theorem.
- (iv) For a local field $[K:\mathbb{Q}_p]<\infty$ with ring of integers \mathcal{O}_K , E(K) has a subgroup of finite index isomorphic to $(\mathcal{O}_K,+)$.
- (v) For a number field $[K:\mathbb{Q}]<\infty$, E(K) is a finitely generated abelian group (this is the Mordell–Weil Theorem). Basic group theory says that if A is a finitely generated abelian group, then $A\cong$ (finite subgroup) $\times \mathbb{Z}^r$. Here r is called the rank of A. The proof of Mordell–Weil gives an upper bound for rank E(K), but there is no known algorithm to compute the rank in all cases.

Brief remarks on the case $K = \mathbb{C}$. Let $\Lambda = \{a\omega_1 + b\omega_2 \mid a, b \in \mathbb{Z}\}$ where ω_1, ω_2 are a basis for \mathbb{C} as an \mathbb{R} -vector space. Then meromorphic functions on the Riemann surface \mathbb{C}/Λ correspond bijectively with Λ -invariant meromorphic functions in \mathbb{C} . The function field of \mathbb{C}/Λ is generated by $\wp(z)$ and $\wp'(z)$, where

$$\wp(z) = \frac{1}{z^2} + \sum_{\lambda \in \Lambda \setminus \{0\}} \left(\frac{1}{(z - \lambda)^2} - \frac{1}{\lambda^2} \right)$$
$$\wp'(z) = -2 \sum_{\lambda \in \Lambda} \frac{1}{(z - \lambda)^3}.$$

These satisfy $\wp'(z)^2 = 4\wp(z)^3 - g_2\wp(z) - g_3$ for some constants $g_2, g_3 \in \mathbb{C}$ depending on Λ . One shows $\mathbb{C}/\Lambda \cong E(\mathbb{C})$, where $E: y^2 = 4x^3 - g_2x - g_3$ which is an isomorphism on both groups (via $z \mapsto (\wp(z), \wp'(z))$) and on Riemann surfaces. We have the following result:

Theorem 4.5 (Uniformization theorem). Every elliptic curve over \mathbb{C} arises in this way.

Definition 4.3. For
$$n \in \mathbb{Z}$$
, let $[n]: E \to E$ be given by $P \mapsto \underbrace{P \oplus P \oplus \ldots \oplus P}_{n \text{ copies}}$

if n > 0 and $[-n] = [-1] \circ [n]$.

Definition 4.4. The n-torsion subgroup of E is

$$E[n] = \ker(E \xrightarrow{[n]} E).$$

If $K = \mathbb{C}$, then $E(\mathbb{C}) \cong \mathbb{C}/\Lambda$, so $E[n] \cong (\mathbb{Z}/n\mathbb{Z})^2$ and $\deg[n] = n^2$. Call these results (1) and (2). We will show that (2) holds over any field $K = \overline{K}$ and (1) holds if char $K \nmid n$. We sometimes abuse notation and write $E[n] = E[n](\overline{K})$.

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Lemma 4.6. Assume char $K \neq 2$ and $E : y^2 = f(x) = (x - e_1)(x - e_2)(x - e_3)$ (with $e_i \in \overline{K}$). Then $E[2] = \{0, (e_1, 0), (e_2, 0), (e_3, 0)\} \cong (\mathbb{Z}/2\mathbb{Z})^{\times}$.

Proof. Let
$$P=(x,y)\in E$$
. Then $2[P]=0\iff P=-P\iff (x,y)=(x,-y)\iff y=0$.

5 Isogenies

Let E_1, E_2 be elliptic curves.

Definition 5.1. (i) An **isogeny** $\phi: E_1 \to E_2$ is a nonconstant morphism with $\phi(0_{E_1}) = 0_{E_2}$.

(ii) We say E_1 and E_2 are **isogenous** if there is an isogeny between them.

In (i), nonconstant is equivalent to surjective on \overline{K} -points. See Theorem 2.3.

Definition 5.2. Hom $(E_1, E_2) = \{\text{isogenies } E_1 \to E_2\} \cup \{0\} \text{ (the constant map at } 0_E).$ This is an abelian group under $(\phi + \psi)(P) := \phi(P) \oplus \psi(P)$.

If $E_1 \xrightarrow{\phi} E_2 \xrightarrow{\psi} E_3$ are isogenies, then $\psi \circ \phi$ is an isogeny. By tower law, $\deg(\psi \circ \phi) = \deg(\psi)\deg(\phi)$.

Proposition 5.1. If $0 \neq n \in \mathbb{Z}$, then $[n]: E \to E$ is an isogeny.

Proof. [n] is a morphism by Theorem 4.4. We need to show $[n] \neq [0]$. Assume char $K \neq 2$.

- Case n=2. Lemma 4.6 implies that $E[2] \neq E$, so $[2] \neq 0$.
- Case n odd. Lemma 4.6 implies that $\exists 0 \neq T \in E[2]$. Then $nT = T \neq 0$, so $[n] \neq [0]$.

Now use $[mn] = [m] \circ [n]$ to conclude.

If char K=2, then we can replace Lemma 4.6 with an explicit lemma about 3–torsion points.

Corollary 5.2. $\text{Hom}(E_1, E_2)$ is a torsion–free \mathbb{Z} –module.

Theorem 5.3. Let $\phi: E_1 \to E_2$ be an isogeny. Then

$$\phi(P+Q) = \phi(P) + \phi(Q) \ \forall P, Q \in E.$$

Sketch proof. ϕ induces a map $\phi_* : \mathrm{Div}^0(E_1) \to \mathrm{Div}^0(E_2)$ by $\sum_{P \in E_1} n_P P \mapsto \sum_{P \in E_1} n_P \phi(P)$. Recall $\phi^* : K(E_2) \hookrightarrow K(E_1)$.

Fact. If $f \in K(E_1)$, then $\operatorname{div}(N_{K(E_1)/K(E_2)}f) = \phi^*(\operatorname{div} f)$. So ϕ_* sends principal divisors to principal divisors. Since $\phi(0_{E_1}) = 0_{E_2}$, the following diagram commutes:

$$E_{1} \xrightarrow{\phi} E_{2}$$

$$\downarrow^{f} \qquad \qquad \downarrow^{g}$$

$$\operatorname{Pic}^{0}(E_{1}) \xrightarrow{\phi_{*}} \operatorname{Pic}^{0}(E_{2})$$

(with $f(P) = [(P) - (0_{E_1})], g(Q) = [(Q) - (0_{E_2})]$). Since ϕ_* is a group homomorphism, ϕ is a group homomorphism.

Lemma 5.4. Let $\phi: E_1 \to E_2$ be an isogeny. Then there exists a morphism ξ making the following diagram commute:

$$E_1 \xrightarrow{\phi} E_2$$

$$\downarrow^{x_1} \qquad \downarrow^{x_2}$$

$$\mathbb{P}^1 \xrightarrow{\xi} \mathbb{P}^1$$

with x_i the x-coordinate in a Weierstrass equation for E_i . Moreover, if $\xi(t) = \frac{r(t)}{s(t)}$ with $r, s \in K[t]$ coprime, then $\deg(\phi) = \deg(\xi) = \max(\deg(r), \deg(s))$.

Proof. For i = 1, 2, $K(E_i)/K(x_i)$ is a degree 2 Galois extension with Galois group generated by $[-1]^*$. By Theorem 5.3, $\phi \circ [-1] = [-1] \circ \phi$, so if $f \in K(x_2)$, then $[-1]^*(\phi^*f) = \phi^*([-1]^*f) = \phi^*f$ and hence $\phi^*f \in K(x_1)$. Hence we find



In particular, $\phi^*x_2 = \xi(x_1)$ for some $\xi \in K(t)$. By tower law, $2\deg(\phi) = 2\deg(\xi) \implies \deg(\phi) = \deg(\xi)$. Now $K(x_2) \hookrightarrow K(x_1)$ by $x_2 \mapsto \xi(x_1) = \frac{r(x_1)}{s(x_1)}$ for $r, s \in K[t]$ coprime. Then minimal polynomial of x_1 over $K(x_2)$ is $F(t) = r(t) - s(t)x_2 \in K(x_2)[t]$. This is true as $F(x_1) = 0$, F is irreducible on $K[x_2, t]$ (since r, s are coprime) and by Gauss' Lemma, F is irreducible on $K(x_2)[t]$. Hence $\deg(\phi) = \deg(\xi) = [K(x_1) : K(x_2)] = \deg(F) = \max(\deg(r), \deg(s))$. \square

Lemma 5.5. deg[2] = 4.

Proof. Assume char $K \neq 2, 3$, so $E: y^2 = x^3 + ax + b = f(x)$. If P = (x, y), then $x(2P) = \left(\frac{3x^2 + a}{2y}\right)^2 - 2x = \frac{(3x^2 + a)^2 - 2xf(x)}{4f(x)}$. The numerator and denominator are coprime, since otherwise $\exists \theta \in \overline{K}$ with $f(\theta) = f'(\theta) = 0$, meaning f has a multiple root, contradiction. We are now done by Lemma 5.4, since $\deg[2] = \max(3, 4) = 4$.

Definition 5.3. Let A be an abelian group. Then a map $q:A\to\mathbb{Z}$ is a quadratic form if

- (i) $q(nx) = n^2 q(x) \ \forall n \in \mathbb{Z}, x \in A.$
- (ii) $(x,y) \mapsto q(x+y) q(x) q(y)$ is \mathbb{Z} -bilinear.

Lemma 5.6. $q:A\to\mathbb{Z}$ is a quadratic form if and only if it satisfies the parallelogram law $q(x+y)+q(x-y)=2q(x)+2q(y)\ \forall x,y\in A.$

Proof. (\Longrightarrow). Let $\langle x,y\rangle=q(x+y)-q(x)-q(y)$. Then $\langle x,x\rangle=q(2x)-2q(x)=2q(x)$ by (i) with n=2. By (ii), $\langle x+y,x+y\rangle+\langle x-y,x-y\rangle=2\langle x,x\rangle+2\langle y,y\rangle$, which implies q(x+y)+q(x-y)=2q(x)+2q(y).

$$(\longleftarrow)$$
. This is on Ex. Sheet 2.

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Theorem 5.7. deg: $\operatorname{Hom}(E_1, E_2) \to \mathbb{Z}$ is a quadratic form (with $\operatorname{deg}(0) = 0$).

Proof. Assume char $K \neq 2, 3$ and write $E_2 = y^2 = x^3 + ax + b$. Let $P, Q \in E_2$ with P, Q, P + Q, P - Q all nonzero and let x_1, x_2, x_3, x_4 be the x-coordinates of these points.

Lemma 5.8. There exist polynomials $W_0, W_1, W_2 \in \mathbb{Z}[a, b][x_1, x_2]$ of degree ≤ 2 in x_1 and of degree ≤ 2 in x_2 such that

$$(1:x_3+x_4:x_3x_4)=(W_0:W_1:W_2)$$

Proof. Method 1: Direct calculation (results on the formula sheet) gives the result (e.g. $W_0 = (x_1 - x_2)^2$).

Method 2: Let $y=\lambda x+\nu$ be the line through P and Q. Substituting, we get $x^3+ax+b-(\lambda x+\nu)^2=(x-x_1)(x-x_2)(x-x_3)=x^3-s_1x^2+s_2x-s_3$ where s_i is the i^{th} symmetric polynomial in x_1,x_2,x_3 . Comparing coefficients gives $\lambda^2=s_1,-2\lambda\nu=s_2-a,\nu^2=s_3+b$. Eliminating λ and ν gives

$$F(x_1, x_2, x_3) = (s_2 - a)^2 - 4s_1(s_3 + b) = 0,$$

where F has degree at most 2 in each x_i . Hence x_3 is a root of the quadratic $W(t) = F(x_1, x_2, t)$. Repeating this for the line through P and -Q shows that

 x_4 is the other root of W(t). Therefore

$$W(t) = W_0(t - x_3)(t - x_4) = W_0t^2 - W_1t + W_2$$

$$\implies (1: x_3 + x_4: x_3x_4) = (W_0: W_1: W_2).$$

We now show that if $\phi, \psi \in \text{Hom}(E_1, E_2)$, then $\deg(\phi + \psi) + \deg(\phi - \psi) \leq 2\deg(\phi) + 2\deg(\psi)$. We may assume that $\phi, \psi, \phi + \psi, \phi - \psi$ are not the zero maps (otherwise we're done trivially, or use $\deg[-1] = 1$, $\deg[2] = 4$). Now

$$\phi: (x,y) \mapsto (\xi_1(x), \dots)$$

$$\psi: (x,y) \mapsto (\xi_2(x), \dots)$$

$$\phi + \psi: (x,y) \mapsto (\xi_3(x), \dots)$$

$$\phi - \psi: (x,y) \mapsto (\xi_4(x), \dots).$$

Lemma 5.8 implies $(1: \xi_3 + \xi_4: \xi_3 \xi_4) = ((\xi_1 - \xi_2)^2: \ldots)$. Say $\xi_i = \frac{r_i}{s_i}$ for $r_i, s_i \in K[t]$ coprime. This gives

$$(s_3s_4:r_3s_4+r_4s_3:r_3r_4)\stackrel{(\star)}{=}((r_1s_2-r_2s_1)^2:\ldots)$$

where every term is quadratic in r_3, r_4, s_3 and s_4 . Hence (as the terms on the LHS of (\star) are coprime)

$$\begin{split} \deg(\phi + \psi) + \deg(\phi - \psi) &= \max(\deg(r_3), \deg(s_3)) + \max(\deg(r_4), \deg(s_4)) \\ &= \max(\deg(s_3s_4), \deg(r_3s_4 + r_4s_3), \deg(r_3r_4)) \\ &\leq 2\max(\deg(r_1), \deg(s_1)) + 2\max(\deg(r_2), \deg(s_2)) \\ &= 2\deg(\phi) + 2\deg(\psi). \end{split}$$

Now replace ϕ and ψ by $\phi + \psi$ and $\phi - \psi$ and use deg[2] = 4 to get

$$4\deg(\phi) + 4\deg(\psi) = \deg(2\phi) + \deg(2\psi) \le 2\deg(\phi + \psi) + 2\deg(\phi - \psi).$$

This gives the parallelogram law, so deg is a quadratic form. \Box

Corollary 5.9. $deg(n\phi) = n^2 deg(\phi)$. In particular, $deg[n] = n^2$.

Example 5.1. Let E/K be an elliptic curve. Suppose char $K \neq 2$ and $0 \neq T \in E(K)[2]$. WLOG let $E: y^2 = x(x^2 + ax + b)$ for $a, b \in K, b(a^2 - 4b) \neq 0$ (by moving a root to zero) and WLOG T = (0,0).

If P = (x, y) and P' = P + T = (x', y'), then

$$x' = \left(\frac{y}{x}\right)^2 - a - x = \frac{x^2 + ax + b}{x} - a - x = \frac{b}{x}$$
$$y' = -\left(\frac{y}{x}\right)x' = -\frac{by}{x^2}.$$

We let $\xi = x + x' + a = \left(\frac{y}{x}\right)^2$, $\eta = y + y' = \frac{y}{x}\left(x - \frac{b}{x}\right)$. Then

$$\eta^2 = \left(\frac{y}{x}\right)^2 \left(\left(x + \frac{b}{x}\right)^2 - 4b\right) = \xi((\xi - a)^2 - 4b) = \xi(\xi^2 - 2a\xi + a^2 - 4b).$$

Let $E': y^2 = x(x^2 + a'x + b')$ with $a' = -2a, b' = a^2 - 4b$. There is an isogeny $\phi: E \to E'$ given by $(x, y) \mapsto \left(\left(\frac{y}{x}\right)^2: \frac{y(x^2 - b)}{x^2}: 1\right)$.

Sanity check/finding where 0_E maps to: x is a double pole, y is a triple pole, so $\left(\frac{y}{x}\right)^2$ is a double pole and $\frac{y(x^2-b)}{x^2}$ is a triple pole (and the last coordinate 1 has degree 0). Multiplying through by a cube of a uniformizer, the degrees go from (-2, -3, 0) to (1, 0, 3), so $0_E \mapsto (0:1:0)$.

To compute $\deg(\phi)$, $\left(\frac{y}{x}\right)^2 = \frac{x^2 + ax + b}{x}$ with the numerator and denominator coprime as $b \neq 0$, so by Lemma 5.4, $\deg(\phi) = 2$. We say ϕ is a **2-isogeny**.

6 The invariant differential

For C some algebraic curve over $K = \overline{K}$.

Definition 6.1. The space of differentials Ω_C (sometimes called one–forms) is the K(C)-vector space generated by $\mathrm{d} f$ for all $f \in K(C)$ subject to the relations

- (i) d(f+g) = df + dg.
- (ii) d(fg) = fdg + gdf.
- (iii) $da = 0 \ \forall a \in K$.

Fact. Ω_C is a 1-dimensional K(C)-vector space.

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Lecture 8

Let $0 \neq \omega \in \Omega_C$, let $P \in C$ be a smooth point and let $t \in K(C)$ be a uniformizer at P. Then $\omega = f dt$ for some $f \in K(C)^{\times}$. We define $\operatorname{ord}_{P}(\omega) = \operatorname{ord}_{P}(f)$, which is independent of the choice of t.

Fact. Suppose $f \in K(C)^{\times}$ with $\operatorname{ord}_{P}(f) = n \neq 0$. If char $K \nmid n$, then $\operatorname{ord}_{P}(\mathrm{d}f) = n - 1$.

We assume that C is a smooth projective curve.

Definition 6.2. We define $\operatorname{div}(\omega) = \sum_{P \in C} \operatorname{ord}_P(\omega) P \in \operatorname{Div}(C)$. Here we use the fact that $\operatorname{ord}_P(\omega) = 0$ for all but finitely many $P \in C$.

Definition 6.3. A differential $\omega \in \Omega_C$ is regular if $\operatorname{div}(\omega) \geq 0$. We define the genus g(C) of C to be

$$g(C) = \dim_K \{ \omega \in \Omega_C \mid \operatorname{div}(\omega) \ge 0 \},$$

where the set on the RHS is the set of regular differentials.

As a consequence of Riemann–Roch, we have that if $0 \neq \omega \in \Omega_C$, then $\deg(\operatorname{div}(\omega)) = 2q(C) - 2$.

Lemma 6.1. Assume char $K \neq 2$ and let $E : y^2 = (x - e_1)(x - e_2)(x - e_3)$ for e_1, e_2, e_3 distinct. Then $\omega = \frac{dx}{y}$ is a differential on E with no zeroes or poles, which implies g(E) = 1. In particular, the K-vector space of regular differentials on E is 1-dimensional (see previous fact), spanned by ω .

Proof. Let $T_i = (e_i, 0)$. Then $E[2] = \{0, T_1, T_2, T_3\}$ and $\operatorname{div}(y) \stackrel{(\dagger)}{=} (T_1) + (T_2) + (T_3) - 3(0)$. For $0 \neq P \in E$, $\operatorname{div}(x - x_P) = (P) + (-P) - 2(0)$.

- If $P \in E \setminus E[2]$, then $\operatorname{ord}(x x_P) = 1 \implies \operatorname{ord}_P(dx) = 0$.
- If $P = T_i$, then $\operatorname{ord}_P(x x_P) = 2 \implies \operatorname{ord}_P(dx) = 1$.
- If P = 0, then $\operatorname{ord}_P(x) = -2 \implies \operatorname{ord}_P(dx) = -3$.

Hence $\operatorname{div}(\mathrm{d}x) = (T_1) + (T_2) + (T_3) - 3(0)$, which with (\dagger) gives $\operatorname{div}\left(\frac{\mathrm{d}x}{y}\right) = 0$. \square

Definition 6.4. For $\phi: C_1 \to C_2$ a nonconstant morphism, we define

$$\phi^*: \Omega_{C_2} \to \Omega_{C_1}$$

$$f dg \mapsto \phi^* f d(\phi^* g).$$

Lemma 6.2. Let $P \in E$, $\tau_P : E \to E$ by $X \mapsto X + P$ and $\omega = \frac{\mathrm{d}x}{y}$ as above. Then $\tau_P^* \omega = \omega$. We say ω is the **invariant differential**.

Proof. $\tau_P^*\omega$ is a regular differential on E, so $\tau_P^*\omega = \lambda_P\omega$ for some $\lambda_P \in K^\times$. The map $E \to \mathbb{P}^1$ by $P \mapsto \lambda_P$ is a morphism of smooth projectives curves, but it is not surjective (as it misses 0 and ∞). Hence it is constant by Theorem 2.3, i.e. $\exists \lambda \in K^\times$ such that $\tau_P^*\omega = \lambda\omega \ \forall P \in E$. Taking P = 0 shows $\lambda = 1$.

Remark. If $K=\mathbb{C}$ and $\mathbb{C}/\Lambda\cong E(\mathbb{C})$ by $z\mapsto (\wp(z),\wp'(z)):=(x,y)$, then $\frac{\mathrm{d}x}{y}=\frac{\wp'(z)\mathrm{d}z}{\wp'(z)}=\mathrm{d}z$, which is invariant under $z\mapsto z+\mathrm{const.}$

Lemma 6.3. Let $\phi, \psi \in \text{Hom}(E_1, E_2)$. Let ω be the invariant differential on E_2 . Then $(\phi + \psi)^* \omega = \phi^* \omega + \psi^* \omega$.

Proof. Write E for E_2 . We have the maps

$$\begin{split} E\times E &\to E \\ \mu: (P,Q) &\mapsto P + Q \\ \mathrm{pr}_1: (P,Q) &\mapsto P \\ \mathrm{pr}_2: (P,Q) &\mapsto Q. \end{split}$$

Fact. $\Omega_{E\times E}$ is a 2-dimensional $K(E\times E)$ -vector space with basis $\operatorname{pr}_1^*\omega$ and $\operatorname{pr}_2^*\omega$. Consequently, $\mu^*\omega \stackrel{(\dagger)}{=} f\operatorname{pr}_1^*\omega + g\operatorname{pr}_2^*\omega$ for some $f,g\in K(E\times E)$.

For fixed $Q \in E$, let $i_Q : E \to E \times E$ by $P \mapsto (P,Q)$. Applying i_Q^* to (\dagger) gives

$$(\underbrace{\mu \circ i_Q})^* \omega = (i_Q^* f) (\underbrace{\operatorname{pr}_1 \circ i_Q}_{\text{identity map}})^* \omega + (i_Q^* g) (\underbrace{\operatorname{pr}_2 \circ i_Q}_{\text{constant map}})^* \omega$$

$$\Longrightarrow \tau_Q^* \omega = (i_Q^* f) \omega + 0.$$

As $\tau_Q^*\omega = \omega$ by the previous lemma, we conclude $i_Q^*f = 1 \ \forall q \in E$, so $f(P,Q) = 1 \ \forall P,Q \in E$. Similarly $g(P,Q) = 1 \ \forall P,Q \in E$, so (\dagger) gives $\mu^*\omega = \mathrm{pr}_1^*\omega + \mathrm{pr}_2^*\omega$. Now pull back using

$$E_1 \to E \times E$$

 $P \mapsto (\phi(P), \psi(P))$

to get $(\phi + \psi)^* \omega = \phi^* \omega + \psi^* \omega$.

Lemma 6.4. Let $\phi: C_1 \to C_2$ be a nonconstant morphism. Then ϕ is separable if and only if $\phi^*: \Omega_{C_2} \to \Omega_{C_1}$ is nonzero.

Proof. Omitted.
$$\Box$$

Example 6.1. Let $\mathbb{G}_m = \mathbb{A}^1 \setminus \{0\}$ be the multiplicative group. For $n \geq 2$ an integer, consider $\phi : \mathbb{G}_m \to \mathbb{G}_m$ by $x \mapsto x^n$. Then $\phi^*(\mathrm{d}x) = \mathrm{d}(x^n) = nx^{n-1}\mathrm{d}x$. So if char $K \nmid n$, then ϕ is separable, so $|\phi^{-1}(Q)| = \deg \phi$ for all but at most finitely many $Q \in \mathbb{G}_m$.

But ϕ is a group homomorphism, so $|\phi^{-1}(Q)| = |\ker(Q)| \ \forall Q \in \mathbb{G}_m$. Hence $|\ker Q| = \deg \phi = n$. This shows that $K = \overline{K}$ contains exactly n distinct n^{th} roots of unity.

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Theorem 6.5. ¹If char $K \nmid n$, then $E[n] = (\mathbb{Z}/n\mathbb{Z})^2$.

¹Remember that $\overline{K} = K$ here.

Proof. Lemma 6.3 and induction imply $[n]^*\omega = n\omega$ where char $K \nmid n$, so [n] is separable by Lemma 6.4. Hence $|[n]^{-1}(Q)| = \deg[n]$ for all but finitely many points $Q \in E$. But [n] is a group homomorphism, so $|[n]^{-1}Q| = |E[n]| \ \forall Q \in E$. We conclude that $|E[n]| = \deg[n] = n^2$ by Corollary 5.9.

By classification of finite abelian groups, $E[n] \cong \mathbb{Z}/d_1\mathbb{Z} \times \ldots \times \mathbb{Z}/d_t\mathbb{Z}$ with $d_1 \mid d_2 \mid \ldots \mid d_t$, but $d_t \mid n$, and if p is a prime with $p \mid d_1$, then $E[p] \cong (\mathbb{Z}/p\mathbb{Z})^t$, so $|E[p]| = p^2$, so t = 2. Hence $d_1 \mid d_2 \mid n$ with $d_1d_2 = n^2$, so $d_1 = d_2 = n$ and so $E[n] \cong (\mathbb{Z}/n\mathbb{Z})^2$.

Remark. If char K = p, then [p] is inseparable. It can be shown that either $E[p^r] \cong \mathbb{Z}/p^r\mathbb{Z} \ \forall r \geq 1$ or $E[p^r] = 0 \ \forall r \geq 1$ (the "ordinary" case and the "supersingular" case).

Remark about the remark. Do not use this remark to trivialize a question on Ex. Sheet 2.

7 Elliptic curves over finite fields

Lemma 7.1. Let A be an abelian group. Let $q: A \to \mathbb{Z}$ be a positive definite quadratic form. Then

$$\underbrace{|q(x+y)-q(x)-q(y)|}_{\langle x,y\rangle} \leq 2\sqrt{q(x)q(y)}.$$

Proof. We may assume $x \neq 0$, otherwise the result is clear. Hence $q(x) \neq 0$. Let $m, n \in \mathbb{Z}$, then

$$0 \le q(mx + ny) = \frac{1}{2} \langle mx + ny, mx + ny \rangle$$
$$= m^2 q(x) + mn \langle x, y \rangle + n^2 q(y)$$
$$= q(x) \left(m + \frac{\langle x, y \rangle}{2q(x)} n \right)^2 + \left(q(y) - \frac{\langle x, y \rangle^2}{4q(x)} \right) n^2.$$

Get rid of the first term by taking $m = -\langle x, y \rangle$ and n = 2q(x) to deduce $\langle x, y \rangle^2 \leq 4q(x)q(y)$, so the result follows.

Theorem 7.2 (Hasse). Let E/\mathbb{F}_q be an elliptic curve. Then

$$|\#E(\mathbb{F}_q) - (q+1)| \le 2\sqrt{q}.$$

Proof. Recall $\operatorname{Gal}(\mathbb{F}_{q^r}/\mathbb{F}_q)$ is cyclic of order r, generated by the Frobenius map $x \mapsto x^q$. Let E have Weierstrass equation with coefficients $a_1, \ldots, a_6 \in \mathbb{F}_q$ (and note that $a_i^q = a_i \,\forall i$).

Define the Frobenius endomorphism $\phi: E \to E$ by $(x, y) \mapsto (x^q, y^q)$, which is an isogeny of degree q. Then $E(\mathbb{F}_q) = \{P \in E \mid \phi(P) = P\} = \ker(1 - \phi)$. We have

$$\phi^*\omega = \phi^* \left(\frac{\mathrm{d}x}{y}\right) = \frac{d(x^q)}{y^q} = \frac{qx^{q-1}\mathrm{d}x}{y^q} = 0$$

as $q = p^n$, so $p \mid q$. By Lemma 6.3,

$$(1 - \phi)^* \omega = \omega - \phi^* \omega = \omega \neq 0,$$

so $1-\phi$ is separable. By Theorem 2.3 and the fact that $1-\phi$ is a group homomorphism, we argue in the proof of Theorem 6.5 that

$$\underbrace{|\ker(1-\phi)|}_{|E(\mathbb{F}_q)|} = \deg(1-\phi).$$

The map deg: $\operatorname{Hom}(E,E) \to \mathbb{Z}$ is a positive definite quadratic form by Theorem 5.7. Hence by Lemma 7.1,

$$\begin{aligned} |\deg(1-\phi)-1-\deg\phi| &\leq 2\sqrt{\deg\phi} \\ \Longrightarrow |\#E(\mathbb{F}_q)-q-1| &\leq 2\sqrt{q}. \end{aligned} \qed$$

Definition 7.1. For $\phi, \psi \in \text{End}(E) = \text{Hom}(E, E)$, we put $\langle \phi, \psi \rangle = \deg(\phi + \psi) - \deg(\phi) - \deg(\psi)$ and $\text{tr}(\phi) = \langle \phi, 1 \rangle$.

Corollary 7.3. Let E/\mathbb{F}_q be an elliptic curve and let $\phi \in \text{End}(E)$ be the q^{th} power Frobenius map. Then $\#E(\mathbb{F}_q) = q + 1 - \text{tr}(\phi)$ and $|\text{tr}(\phi)| \leq 2\sqrt{q}$.

Zeta functions. For K a number field,

$$\zeta_K(s) = \sum_{\mathfrak{a} \subset \mathcal{O}_K} \frac{1}{(N(\mathfrak{a}))^s} = \prod_{\mathfrak{p} \subset \mathcal{O}_K, \mathfrak{p} \text{ prime}} \left(1 - \frac{1}{(N(\mathfrak{p}))^s}\right)^{-1}.$$

For K a function field, i.e. $K = \mathbb{F}_q(C)$ where C is a smooth projective curve,

$$\zeta_K(s) = \prod_{x \in |C|} \left(1 - \frac{1}{(Nx)^s} \right)^{-1},$$

where $|C| = \{\text{closed points of } C\} = \{\text{orbits for the action of } \operatorname{Gal}(\overline{\mathbb{F}_q}/\mathbb{F}_q) \text{ on } C(\overline{\mathbb{F}_q})\}$ and $Nx = q^{\deg x}$, where $\deg x$ is the size of the corresponding orbit (these definitions are borrowed from scheme theory). We have $\zeta_K(s) = F(q^{-s})$ for

some $F \in \mathbb{Q}[[T]]$. We have

$$F(T) = \prod_{x \in |C|} \left(1 - T^{\deg x}\right)^{-1}$$

$$\implies \log F(T) = \sum_{x \in |C|} \sum_{m=1}^{\infty} \frac{1}{m} T^{m \deg x}$$

$$\implies T \frac{\mathrm{d}}{\mathrm{d}T} \log F(T) = \sum_{x \in |C|} \sum_{m=1}^{\infty} \deg x T^{m \deg x}$$

$$\stackrel{n = m \deg x}{=} \sum_{n=1}^{\infty} \left(\sum_{x \in |C|, \deg x \mid n} \deg x\right) T^{n}$$

$$= \sum_{n=1}^{\infty} \#C(\mathbb{F}_{q^{n}}) T^{n}$$

$$\implies F(T) = \exp\left(\sum_{n=1}^{\infty} \frac{\#C(\mathbb{F}_{q^{n}})}{n} T^{n}\right).$$

Definition 7.2. The zeta function of a smooth projective curve C/\mathbb{F}_q is

$$Z_C(T) = \exp\left(\sum_{n=1}^{\infty} \frac{\#C(\mathbb{F}_{q^n})}{n} T^n\right).$$

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Theorem 7.4. Let E/\mathbb{F}_q be an elliptic curve with $\#E(\mathbb{F}_q) = q+1-a$. Then

$$Z_E(T) = \frac{1 - aT + qT^2}{(1 - T)(1 - qT)}.$$

Proof. Let $\phi: E \to E$ be the q-power Frobenius map. By Corollary 7.3, $\#E(\mathbb{F}_q) = q+1-\operatorname{tr}(q)$, so $\operatorname{tr}(\phi) = a$ and $\operatorname{deg}(\phi) = q$. By a result from Ex. Sheet 2, $\phi^2 - a\phi + q = 0$. Hence $\phi^{n+2} - a\phi^{n+1} + q\phi^n = 0$. As the trace is linear, $\operatorname{tr}(\phi^{n+2}) - a\operatorname{tr}(\phi^{n+1}) + q\operatorname{tr}(\phi^n) = 0$. The second order difference equation with initial conditions $\operatorname{tr}(1) = \langle 1, 1 \rangle = 2^2 - 1^2 - 1^2 = 2$ and $\operatorname{tr}(\phi) = a$ has solution

$$\operatorname{tr}(\phi^n) = \alpha^n + \beta^n$$

for $\alpha, \beta \in \mathbb{C}$ are roots of $X^2 - aX + q = 0$. Apply Corollary 7.3 again to get

 $^{^{2}}$ We don't need to worry about the case where the roots are equal, since we don't want a general solution, just a solution satisfying our initial conditions.

that $\#E(\mathbb{F}_{q^n}) = q^n + 1 - \text{tr}(\phi^n) = 1 + q^n - \alpha^n - \beta^n$. Hence

$$Z_{E}(T) = \exp \sum_{n=1}^{\infty} \left(\frac{T^{n}}{n} + \frac{(qT)^{n}}{n} - \frac{(\alpha T)^{n}}{n} - \frac{(\beta T)^{n}}{n} \right)$$

$$= \exp \left(-\log(1 - T) - \log(1 - qT) + \log(1 - \alpha T) + \log(1 - \beta T) \right)$$

$$= \frac{(1 - \alpha T)(1 - \beta T)}{(1 - T)(1 - qT)}$$

$$= \frac{1 - aT + qT^{2}}{(1 - T)(1 - qT)}.$$

Remark. Hasse's theorem tells us that $|a| \leq 2\sqrt{q}$, so the discriminant $a^2 - q$ is nonpositive, so the roots are complex conjugates, i.e. $\alpha = \overline{\beta}$, and $|\alpha| = |\beta| \stackrel{(\dagger)}{=} \sqrt{q}$.

Let $K = \mathbb{F}_q(E)$, then $\zeta_K(s) = 0 \implies Z_E(q^{-s}) = 0 \implies q^{-s} \in \{\frac{1}{\alpha}, \frac{1}{\beta}\} \implies q^s \in \{\alpha, \beta\} \implies q^{\text{Re}(s)} = |\alpha| = |\beta| \implies \text{Re}(s) = \frac{1}{2}$. This proves the Riemann hypothesis for elliptic curves over finite fields.

8 Formal groups

Definition 8.1. Let R be a ring and $I \subset R$ an ideal. The I-adic topology on R has basis $\{r + I^n \mid r \in R, n \ge 1\}$.

Definition 8.2. A sequence (x_n) in R is **Cauchy** if $\forall k, \exists N$ such that $x_m - x_n \in I^k \ \forall m, n \geq N$.

Definition 8.3. R is complete if

- (i) $\bigcap_{n>0} I^n = \{0\}$ (this is a Hausdorff-type condition).
- (ii) Every Cauchy sequence converges.

Useful remark. If $x \in I$, then $\frac{1}{1-x} = 1 + x + x^2 + \dots$ This exists as the sequence of partial sums form a Cauchy sequence, and then we check that the result it converges to is an inverse for $\frac{1}{1-x}$. Hence $1-x \in R^{\times}$.

Example 8.1. Basically the only two examples we care about in this course are:

- $R = \mathbb{Z}_p$, the *p*-adic integers, and $I = p\mathbb{Z}_p$.
- $R = \mathbb{Z}[[t]]$ and I = (t).

Lemma 8.1 (Hensel's lemma). Let R be complete with respect to an ideal I. Let $F \in R[X]$, $s \ge 1$ with $s \in \mathbb{Z}$. Suppose $a \in R$ satisfies

$$F(a) \equiv 0 \pmod{I^s}$$
$$F'(a) \in R^{\times}$$

Then there exists a unique $b \in R$ such that F(b) = 0 and $b \equiv a \pmod{I^s}$.

Proof. Let $u \in R^{\times}$ be such that $F'(a) = u \pmod{I}$ (e.g. we could take u = F'(a)). Replacing F(X) by $\frac{F(X+a)}{u}$ we may assume a = 0 and $F'(0) \equiv 1 \pmod{I}$. We put $x_0 = 0$ and $x_{n+1} \stackrel{(\dagger)}{=} x_n - F(x_n)$. Each induction shows that $x_n \equiv 0 \pmod{I^s}$ $\forall n \ (\ddagger)$. Now use the useful identity

$$F(X) - F(Y) = (X - Y)(F'(0) + XG(X, Y) + YH(X, Y))$$

for some $G, H \in R[X, Y]$. Call this identity (\star) .

We claim that $x_{n+1} \equiv x_n \pmod{I^{n+s}} \ \forall n \geq 0$. To prove this, use induction. The case n = 0 is clear. Suppose $x_n \equiv x_{n-1} \pmod{I^{n+s-1}}$. By (\star) ,

$$F(x_n) - F(x_{n-1}) = (x_n - x_{n-1})(1+c)$$

for some $c \in I$. Modulo I^{n+s} we now use (†) to get

$$F(x_n) - F(x_{n-1}) \equiv x_n - x_{n-1} \pmod{I^{n+s}}$$

$$\Longrightarrow x_n - F(x_n) = x_{n-1} - F(x_{n-1}) \pmod{I^{n+s}}$$

$$\Longrightarrow x_{n+1} \equiv x_n \pmod{I^{n+s}}.$$

Hence $(x_n)_{n\geq 0}$ is Cauchy, and R is complete, so $x_n \to b$ as $n \to \infty$ for some $b \in R$. Taking the limit in (\dagger) gives b = b - F(b) (as the polynomial is continuous in our topology), so F(b) = 0. Taking the limit in (\dagger) gives $b \equiv 0 \equiv a \pmod{I^s}$.

For uniqueness, if b_1, b_2 work, then plug them into (\star) and use the useful remark that 1-x is a unit to get that $b_1=b_2$.

Write $E: Y^2Z + a_1XYZ + a_3YZ^2 = X^3 + a_2X^2Z + a_4XZ^2 + a_6Z^3$ and look at its affine piece $Y \neq 0$ with $t = -\frac{X}{Y}, w = -\frac{Z}{Y}$ (the minus signs are here to match Silverman's book). We get

$$w = t^3 + a_1 t w + a_2 t^2 w + a_3 w^2 + a_4 t w^2 + a_6 w^3 = f(t, w).$$

We apply Hensel's lemma (Lemma 8.1) with $R = \mathbb{Z}[a_1, \ldots, a_6][[t]]$, I = (t) and $F(X) = X - f(t, X) \in R[X]$. We take s = 3, a = 0 and check that $F(a) = F(0) = -f(t, 0) = -t^3 \equiv 0 \pmod{I^3}$ and $F'(0) = 1 - a_1t - a_2t^2 \in R^x$

by our useful remark, so the assumptions hold. Hence there exists a unique $\omega(t) \in R = \mathbb{Z}[a_1, \ldots, a_6][[t]]$ such that $\omega(t) = f(t, w(t))$ and $w(t) \equiv 0 \pmod{t^3}$.

Remarks.

- (i) Taking u = 1 in the proof of Hensel's lemma gives $w(t) = \lim_{n \to \infty} w_n(t)$ where $w_0(t) = 0$, $w_{n+1}(t) = f(t, w_n(t))$.
- (ii) In fact, $w(t) = t^3(1 + A_1t + A_2t^2 + ...)$ where $A_1 = a_1$, $A_2 = a_1^2 + a_2$, $A_3 = a_1^3 + 2a_1a_2 + 2a_3$, etc. (i.e. we can compute the series explicitly).

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Lemma 8.2. Let R be an integral domain, complete with respect to an ideal I. Let $a_0, \ldots, a_6 \in R$ and let $K = \operatorname{Frac}(R)$. Then

$$\widehat{E}(I) := \{(t, w) \in E(K) \mid t, w \in I\}$$

is a subgroup of E(K).

Remark. By uniqueness in Hensel's lemma, $\widehat{E}(I) = \{(t, w(t)) \in E(K) \mid t \in I\}.$

Proof. Taking (t,w)=(0,0) shows $0_E\in \widehat{E}(I)$. So it suffices to show that if $P_1,P_2\in \widehat{E}(I)$, then $P_3:=-P_1-P_2\in \widehat{E}(I)$. Since we're working over an affine piece with the identity at 0, we know three points sum to zero if and only if they lie on the same line. Say $P_i=(t_i,w_i)$ with the line P_1P_2 given by $w=\lambda t+\nu$. We have $P_1,P_2\in \widehat{E}(I)\implies t_1,t_2\in I$ and $w_1=w(t_1),w_2=w(t_2)$. Write $w(t)=\sum_{n=2}^\infty A_{n-2}t^{n+1}$ with $A_0=1$. We have

$$\lambda = \begin{cases} \frac{w(t_2) - w(t_1)}{t_2 - t_1} & \text{if } t_1 \neq t_2 \\ w'(t_1) & \text{if } t_1 = t_2 \end{cases} = \sum_{n=2}^{\infty} A_{n-2} (t_1^n + t_1^{n-1} t_2 + \dots + t_2^n) \in I,$$

$$\nu = w_1 - \lambda t_1 \in I.$$

Substituting $w = \lambda t + \nu$ into w = f(t, w) gives

$$\lambda t + \nu = t^3 + a_1 t(\lambda t + \nu) + a_2 t^2 (\lambda t + \nu) + a_3 (\lambda t + \nu)^2 + a_4 t(\lambda t + \nu)^2 + a_6 (\lambda t + \nu)^3.$$

Let

$$A = (\text{coeff. of } t^3) = 1 + a_2 \lambda + a_4 \lambda^2 + a_6 \lambda^3,$$

$$B = (\text{coeff. of } t^2) = a_1 \lambda + a_2 \nu + a_3 \lambda^2 + 2a_4 \lambda \nu + 3a_6 \lambda^2 \nu.$$

We have $A \in \mathbb{R}^{\times}$, $B \in I$. Hence $t_3 = \frac{-B}{A} - t_1 - t_2 \in I$ and $w_3 = \lambda t_3 + \nu \in I$. \square

Taking $R = \mathbb{Z}[a_1, \ldots, a_6][[t]]$ and I = (t) and using Lemma 8.2 implies $\exists \iota \in \mathbb{Z}[a_1, \ldots, a_6][[t]]$ with $\iota(0) = 0$ such that $[-1](t, w(t)) = (\iota(t), w(\iota(t)))$.

Taking $R = \mathbb{Z}[a_1, ..., a_6][[t_1, t_2]]$ and $I = (t_1, t_2)$ and using Lemma 8.2 implies $\exists F \in \mathbb{Z}[a_1, ..., a_6][[t_1, t_2]]$ with F(0, 0) = 0 and

$$(t_1, w(t_1)) + (t_2, w(t_2)) = (F(t_1, t_2), w(F(t_1, t_2))).$$

In fact, $F(X,Y) = X + Y - a_1XY - a_2(X^2Y + XY^2) + \dots$

By properties of the group law, we deduce

- (i) F(X,Y) = F(Y,X),
- (ii) F(X,0) = X and F(0,Y) = Y,
- (iii) F(X, F(Y, Z)) = F(F(X, Y), Z),
- (iv) $F(X, \iota(X)) = 0$.

Definition 8.4. Let R be a ring. A **formal group** over R is a power series $F(X,Y) \in R[[X,Y]]$ satisfying the first three axioms above.

An exercise on Ex. Sheet 2 asks us to show that the first three conditions imply the fourth, i.e. there is a unique $\iota(X) = -X + \ldots \in R[[X]]$ such that $F(X, \iota(X)) = 0$.

Example 8.2. (i) The additive formal group F(X,Y) = X + Y, called $\widehat{\mathbb{G}}_a$.

- (ii) The multiplicative formal group F(X,Y) = X + Y + XY = (1+X)(1+Y) 1, called $\widehat{\mathbb{G}_m}$.
- (iii) The formal group of an elliptic curve, F(X,Y) = [see above], called \widehat{E} .

Definition 8.5. Let \mathcal{F} and \mathcal{G} be formal groups over R given by power serise F and G.

- (i) A morphism $\mathcal{F} \to \mathcal{G}$ is a power series $f \in R[[T]]$ such that f(0) = 0 satisfying f(F(X,Y)) = G(f(X), f(Y)).
- (ii) We say \mathcal{F} is **isomorphic** to \mathcal{G} , i.e. $\mathcal{F} \cong \mathcal{G}$ if there exist morphisms $\mathcal{F} \xrightarrow{f} \mathcal{G}$ and $\mathcal{G} \xrightarrow{g} \mathcal{F}$ such that f(g(T)) = g(f(T)) = T.

Theorem 8.3. If char R = 0, then any formal group $\{$ over R is isomorphic to $\widehat{\mathbb{G}}_a$ over $R \otimes \mathbb{Q}$. (In other words, our conditions are char R = 0 and "the integers are invertible"). More precisely:

(i) There is a unique power series $\log(T) = T + \frac{a_2}{2}T^2 + \frac{a_3}{3}T^3 + \dots$ with $a_i \in R$ such that

$$\log(F(X,Y)) = \log(X) + \log(Y). \quad (\star)$$

(ii) There is a unique power series $\exp(T) = T + \frac{b_2}{2!}T^2 + \frac{b_3}{3!}T^3 + \dots$ with $b_i \in R$ such that

$$\exp(\log(T)) = \log(\exp(T)) = T.$$

Proof. (i) Notation: Write $F_1(X,Y) = \frac{\partial F}{\partial X}(X,Y)$. Uniqueness: Let $p(T) = \frac{\mathrm{d}}{\mathrm{d}T}\log T = 1 + a_2T + 1_3T^2 + \ldots$ Differentiating (\star) with respect to X gives $p(F(X,Y))F_1(X,Y) = p(X) + 0$. Putting X = 0 gives $P(Y)F_1(0,Y) = 1$, so $p(Y) = \frac{1}{F_1(0,Y)}$, proving uniqueness.

Existence: Let $p(T) = F_1(0,T)^{-1} = 1 + a_2T + a_3T^2 + \dots$ for some $a_i \in R$. Define $\log T = T + \frac{a_2}{2}T^2 + \frac{a_3}{3}T^3 + \dots$, so $p(T) = \frac{d}{dT}\log T$. Then

$$F(F(X,Y),Z) = F(X,F(Y,Z))$$

$$\stackrel{\stackrel{\mathrm{d}}{\Longrightarrow}}{\Longrightarrow} F_1(F(X,Y),Z)F_1(X,Y) = F_1(X,F(Y,Z))$$

$$\stackrel{X=0}{\Longrightarrow} F_1(Y,Z)p(Y)^{-1} = p(F(Y,Z))^{-1}$$

$$\Longrightarrow F_1(Y,Z)p(F(Y,Z)) = p(Y)$$

$$\stackrel{\mathrm{intg. \ wrt \ } Y}{\Longrightarrow} \log(F(Y,Z)) = \log(Y) + h(Z)$$

for some power series H. But the symmetry in Y and Z implies that $h(Z) = \log Z$, so we're done.

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(ii) For this, use

Lemma 8.4. Let $f(T) = aT + ... \in R[[T]]$ with $a \in R^{\times}$. Then there exists a unique $g(T) = a^{-1}T + ... \in R[[T]]$ with f(g(T)) = g(f(T)) = T.

Proof. We construct polynomials $g_n(T) \in R[T]$ such that $f(g_n(T)) \equiv T \pmod{T^{n+1}}$ and $g_{n+1}(T) \equiv g_n(T) \pmod{T^{n+1}}$. Then $g(T) = \lim_{n \to \infty} g_n(T)$ satisfies f(g(T)) = T. To start the induction, set $g_1(T) = a^{-1}T$.

Now suppose $n \geq 2$, so $g_{n-1}(T)$ exists, so $f(g_{n-1}(T)) \equiv T + bT^n \pmod{T^{n+1}}$ for some $b \in R$. We put $g_n(T) = g_{n-1}(T) + \lambda T^n$ for $\lambda \in R$ to be chosen later. Then $f(g_n(T)) = f(g_{n-1}(T) + \lambda T^n) = f(g_{n-1}(T)) + \lambda aT^n \equiv T + (b + \lambda a)T^n \pmod{T^{n+1}}$, so we take $\lambda = -ba^{-1}$ (then $\lambda \in R$ as $b \in R, a \in R^{\times}$), completing the induction step.

We get $g(T) = a^{-1}T + \ldots \in R[[T]]$ such that f(g(T)) = T (†). Applying the same construction to g gives $h(T) = a + \ldots \in R[[T]]$ such that g(h(T)) = T (‡). Now note that $f(T) \stackrel{(\ddagger)}{=} f(g(h(T))) \stackrel{(\dagger)}{=} h(T)$, so f = h. \square

The result now follows from this lemma and Ex. Sheet 2 Q5 (which allows us to control the denominators, so they'd be n!).

Notation. Let \mathcal{F} be a formal group (e.g. $\widehat{\mathbb{G}}_a, \widehat{\mathbb{G}}_m, \widehat{E}$) given by a power series $F \in R[[X,Y]]$. Suppose R is complete with respect to an ideal I. For $x,y \in I$. define $x \oplus_{\mathcal{F}} y = F(x,y) \in I$. Then $\mathcal{F}(I) = (I, \oplus_{\mathcal{F}})$ is an abelian group.

Example 8.3. • $\widehat{\mathbb{G}}_a(I) = (I, +),$

- $\widehat{\mathbb{G}_m}(I) = (1 + I, \times),$
- $\widehat{E}(I) = \text{subgroup of } E(K) \text{ in Lemma 8.2.}$

Corollary 8.5. Let \mathcal{F} be a formal group over R and $n \in \mathbb{Z}$. Suppose $n \in R^{\times}$. Then

- (i) $[n]: \mathcal{F} \to \mathcal{F}$ is an isomorphism of formal groups.
- (ii) If R is complete with respect to an ideal I, then $\mathcal{F}(I) \stackrel{\times n}{\to} \mathcal{F}(I)$ is an isomorphism of groups. In particular, $\mathcal{F}(I)$ has no n-torsion.

Proof. We define [I](T) = T and $[n](T) = F([n-1]T,T) \ \forall n \geq 2$. (For n < 0, use $[-1](T) = \iota(T)$). Since $F(X,Y) = X + Y + XY(\ldots)$, we have $[2](T) = f(T,T) = 2T + \ldots$ By induction we get $[n](T) = nT + \ldots \in R[[T]]$. Lemma 8.4 shows that if $n \in R^{\times}$, then [n] is an isomorphism. This proves (i). Part (ii) now follows.

9 Elliptic curves over local fields

Let K be a field, compelte with respect to a discrete valuation $v: K \to \mathbb{Z}$. (Here complete means complete with respect to the matric given by the absolute value arising from v.)

- The valuation ring is $\mathcal{O}_K = \{x \in K^\times \mid v(x) \geq 0\} \cup \{0\}.$
- The unit group is $\mathcal{O}_K^{\times} = \{x \in K^{\times} \mid v(x) = 0\}.$
- The maximal ideal is $\pi \mathcal{O}_K$, where $v(\pi) = 1$.
- The residue field is $k = \mathcal{O}_K/\pi\mathcal{O}_K$.

We assume that char K=0, but $\operatorname{char}(k)=p>0$ (i.e. we are in the mixed characteristic case). The key example to keep in mind is $K=\mathbb{Q}_p$, $\mathcal{O}_K=\mathbb{Z}_p$, $k=\mathbb{F}_p$. Now let E/K be an elliptic curve.

Definition 9.1. A Weierstrass equation for E with coefficients $a_1, \ldots, a_6 \in K$ is **integral** if $a_1, \ldots, a_6 \in \mathcal{O}_K$ and **minimal** if $v(\Delta)$ is minimal among all integral Weierstrass equations for E.

Remarks.

- (i) Rescaling $x = u^2x'$, $y = u^3y'$ gives $a_i = u^ia'_i$, so we can clear denominators, so integral Weierstrass equations exist.
- (ii) $a_1, \ldots, a_6 \in \mathcal{O}_K \implies \Delta \in \mathcal{O}_K \implies v(\Delta) \geq 0 \implies \text{minimal Weierstrass}$ equations exist.
- (iii) If $\operatorname{char}(k) \neq 2, 3$, then there exists a minimal Weierstrass equation of the form $y^2 = x^3 + ax + b$.

Lemma 9.1. Let E/K have integral Weierstrass equation $y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$. Let $0 \neq P = (x,y) \in E(K)$. Then either $x,y \in \mathcal{O}_K$ or $\begin{cases} v(x) = -2s \\ v(y) = -3s \end{cases}$ for some $s \geq 1$. (Compare this with Ex. Sheet 1 Q5.)

- *Proof.* Case $v(x) \ge 0$: Suppose v(y) < 0. Then $v(LHS) = v(y^2) < 0$ while $v(RHS) \ge 0$, a contradiction. Hence $v(y) \ge 0$, so $x, y \in \mathcal{O}_K$.
 - Case v(x) < 0: We have $v(\text{LHS}) \ge \min(2v(y), v(x) + v(y), v(y))$ and v(RHS) = 3v(x). Go through 3 cases based on which element is minimal to get v(y) < v(x) in every case. Now v(LHS) = 2v(y), v(RHS) = 3v(x), so we're done.

If K is complete, then \mathcal{O}_K is complete with respect to $\pi^r \mathcal{O}_K$ for any $r \geq 1$. We fix a minimal Weierstrass equation for E/K. This gives rise to a formal group \widehat{E} over \mathcal{O}_K . Take $R = \mathcal{O}_K$, $I = \pi^r \mathcal{O}_K$ for $r \geq 1$ in Lemma 8.2 to get

$$\widehat{E}(\pi^r \mathcal{O}_K) = \left\{ (x, y) \in E(K) \mid -\frac{x}{y}, -\frac{1}{y} \in \pi^r \mathcal{O}_K \right\} \cup \{0\}
= \left\{ (x, y) \in E(K) \mid v\left(\frac{x}{y}\right) \ge r, v\left(\frac{1}{y}\right) \ge r \right\} \cup \{0\}
= \{ (x, y) \in E(K) \mid v(x) = -2s, v(y) = -3s \text{ for some } s \ge r \} \cup \{0\}
= \{ (x, y) \in E(K) \mid v(x) \le -2r, v(y) \le -3r \} \cup \{0\}.$$

By Lemma 8.2 this is a subgroup of E(K), call it $E_r(K)$. It is also clear that 16 Feb 2024, $\ldots \subset E_3(K) \subset E_2(K) \subset E_1(K) \subset E(K)$. More generally, for \mathcal{F} a formal group Lecture 13 over \mathcal{O}_K we have $\ldots \subset \mathcal{F}(\pi^3\mathcal{O}_K) \subset \mathcal{F}(\pi^2\mathcal{O}_K) \subset \mathcal{F}(\mathcal{O}_K)$. We claim that

- $\mathcal{F}(\pi^r \mathcal{O}_K) \cong (\mathcal{O}_K, +)$ for r sufficiently large,
- $\mathcal{F}(\pi^r \mathcal{O}_K)/\mathcal{F}(\pi^{r+1} \mathcal{O}_K) \cong (k,+) \ \forall r \geq 1.$

Reminder. Remember that we always have char K=0, $\operatorname{char}(k)=p>0$.

Theorem 9.2. Let \mathcal{F} be a formal group over \mathcal{O}_K . Let e = v(p). If $r > \frac{e}{p-1}$, then

$$\log : \mathcal{F}(\pi^r \mathcal{O}_K) \xrightarrow{\sim} \widehat{\mathbb{G}_a}(\pi^r \mathcal{O}_K)$$

is an isomorphism of groups with inverse

$$\exp: \widehat{\mathbb{G}_a}(\pi^r \mathcal{O}_K) \xrightarrow{\sim} \mathcal{F}(\pi^r \mathcal{O}_K).$$

Remark. We have
$$\widehat{\mathbb{G}}_a(\pi^r\mathcal{O}_K) = (\pi^r\mathcal{O}_K, +) \cong (\mathcal{O}_K, +)$$
.

Proof. For $x \in \pi^r \mathcal{O}_K$, we must show that the power series $\log(x)$ and $\exp(x)$ converge to elements in $\pi^r \mathcal{O}_K$. Recall $\exp(T) = T + \frac{b_2}{2!}T^2 + \frac{b_3}{3!}T^3 + \dots$ with $b_i \in \mathcal{O}_K$.

Claim. $v_p(n!) \leq \frac{n-1}{p-1}$.

Proof of claim. Write

$$v_p(n!) = \sum_{r=1}^{\infty} \left\lfloor \frac{n}{p^r} \right\rfloor < \sum_{r=1}^{\infty} \frac{n}{p^r} = \frac{n \cdot \frac{1}{p}}{1 - \frac{1}{p}} = \frac{n}{p - 1}.$$

Clearing denominators, $(p-1)v_p(n!) < n \implies v_p(n!) \le \frac{n-1}{p-1}$.

Now
$$v\left(\frac{b_n x^n}{n!}\right) \ge nr - e\left(\frac{n-1}{p-1}\right) = (n-1)\underbrace{\left(r - \frac{e}{p-1}\right)}_{>0} + r$$
. This is always

 $\geq r$ and tends to infinity as $n \to \infty$. Hence $\exp(x)$ converges to an element of $\pi^r \mathcal{O}_K$. The same argument works for log.

Lemma 9.3. We have $\mathcal{F}(\pi^r \mathcal{O}_K)/\mathcal{F}(\pi^{r+1} \mathcal{O}_K) \cong (k,+) \ \forall r \geq 1$.

Proof. Our definition of a formal group gives F(X,Y) = X + Y + XY(...). So if $x, y \in \mathcal{O}_K$, then $F(\pi^r x, \pi^r y) \equiv \pi^r (x + y) \pmod{\pi^{r+1}}$. Therefore $\mathcal{F}(\pi^r \mathcal{O}_K) \to (k, +)$ by $\pi^r x \mapsto x \mod \pi$ is a surjective group homomorphism with kernel $\mathcal{F}(\pi^{r+1}\mathcal{O}_K)$.

Corollary 9.4. If $|k| < \infty$, then $\mathcal{F}(\pi \mathcal{O}_K)$ has a subgroup of finite index isomorphic to $(\mathcal{O}_K, +)$.

Notation. Denote reduction mod π , $\mathcal{O}_K \to \mathcal{O}_K/\pi\mathcal{O}_K = k$ by $x \mapsto \widetilde{x}$.

Proposition 9.5. Let E/K be an elliptic curve. Then the reductions mod π of any two minimal Weierstrass equations for E define isomorphic curves over k.

Proof. Say the Weierstrass equations are related by [u, r, s, t] with $u \in K^{\times}, r, s, t \in K$. Then $\Delta_1 = u^{12}\Delta_2$, but both equations are minimal, so $v(u) = 0 \implies u \in \mathcal{O}_K^{\times}$. The transformation formulae (on the formula sheet) for the a_i and b_i combined with the fact that \mathcal{O}_K is algebraically closed imply $r, s, t \in \mathcal{O}_K$. The Weierstrass equations of the reduction mod π are now related by $[\widetilde{u}, \widetilde{r}, \widetilde{s}, \widetilde{t}]$ with $\widetilde{u} \in k^{\times}, \widetilde{r}, \widetilde{s}, \widetilde{t} \in k$.

Definition 9.2. The reduction \widetilde{E}/k of E/K is defined by the reduction mod π of a minimal Weierstrass equation for E. We say E has **good reduction** if \widetilde{E} is nonsingular (and so \widetilde{E} is an elliptic curve), otherwise E has **bad reduction**.

For an integral Weierstrass equation,

- $v(\Delta) = 0 \implies \text{good reduction}.$
- $0 < v(\Delta) < 12 \implies \text{bad reduction}$.
- $v(\Delta) \ge 12 \implies$ beware that the equation might not be minimal, more information is needed.

There is a well-defined map $\mathbb{P}^2(K) \to \mathbb{P}^2(k)$ by $(x:y:z) \mapsto (\widetilde{x}:\widetilde{y}:\widetilde{z})$. (Here we must choose a representative for (x:y:z) such that $\min(v(x),v(y),v(z))=0$.) We restrict to get a map $E(K) \to \widetilde{E}(k)$ by $P \mapsto \widetilde{P}$.

IF $P = (x, y) \in E(K)$, then by Lemma 9.1, either $x, y \in \mathcal{O}_K$, so $\widetilde{P} = (\widetilde{x}, \widetilde{y}) \in \widetilde{E}(k)$, or v(x) = -2s, v(y) = -3s for some $s \geq 1$, so $P = (x : y : 1) = (\pi^{3s}x : \pi^{3s}y : \pi^{3s})$, so $\widetilde{P} = (0 : 1 : 0)$. Therefore

$$\widehat{E}(\pi \mathcal{O}_K) = E_1(K) = \{ P \in E(K) \mid \widetilde{P} = 0 \},\$$

the **kernel of reduction**. Let

$$\widetilde{E}_{\mathrm{ns}} = \begin{cases} \widetilde{E} & \text{if } E \text{ has good reduction,} \\ \widetilde{E} \setminus \{ \text{singular point} \} & \text{if } E \text{ has bad reduction.} \end{cases}$$

We have a remarkable fact: the chord and tangent process still defines a group law on \widetilde{E}_{ns} . However, in the case of bad reductions, either $\widetilde{E}_{ns} \cong \mathbb{G}_a$ (over k) or $\widetilde{E}_{ns} \cong \mathbb{G}_m$ (over k or possibly over a quadratic extension of k). These are the additive reduction and the multiplicative reduction.

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For simplicity, assume char $k \neq 2$. Then for $\widetilde{E}: y^2 = f(x)$, $\deg f = 3$, we have that \widetilde{E} is singular if and only if f has a repeated root.

- If this is a double root, we get \mathbb{G}_m (e.g. for $y^2 = x^2(x+1)$, a curve with a node).
- If this is a triple root, we get \mathbb{G}_a (e.g. for $y^2 = x^3$, a curve with a cusp).

The proof of the former is on Ex. Sheet 3. For the latter, consider the map $\mathbb{G}_a \to \widetilde{E}_{\rm ns}$ by $t \mapsto (t^{-2}, t^{-3})$, so $\frac{x}{y} \leftarrow (x, y)$ and the point at infinity $\leftrightarrow 0$. Suppose we have a line through P_1 , P_2 meeting the curve again at P_3 (with none of these points at the origin), so this line is ax + by = 1. Write $P_i = (x_i, y_i)$ for i = 1, 2, 3, and $t_i = \frac{x_i}{y_i}$. Then

$$x_i^3 = y_i^2 = y_i^2 (ax_i + by_i)$$

$$\Longrightarrow t_i^3 a t_i - b = 0$$

$$\Longrightarrow t_1, t_2, t_3 \text{ are roots of } X^3 - aX - b = 0.$$

Looking at the coefficient of X^2 gives $t_1 + t_2 + t_3 = 0$, so $\widetilde{E}_{ns} \cong \mathbb{G}_a$.

Definition 9.3. We define

$$E_0(K) = \{ P \in E(K) \mid \widetilde{P} \in \widetilde{E}_{ns}(k) \}.$$

Proposition 9.6. $E_0(K)$ is a subgroup of E(K) and reduction mod π is a surjective group homomorphism $E_0(K) \to \widetilde{E}_{ns}(K)$.

Proof. The group homomorphism part: A line ℓ in \mathbb{P}^2 defined over K has equation $\ell: aX + bY + cZ = 0$ for $a, b, c \in K$, where we may assume that $\min(v(a), v(b), v(c)) = 0$ by scaling.

Reduction mod π gives a line ℓ : $\tilde{a}X + \tilde{b}Y + \tilde{c}Z = 0$. If $P_1, P_2, P_3 \in E(K)$ with $P_1 + P_2 + P_3 = 0$, then these points lie on a line ℓ , so $\widetilde{P}_1, \widetilde{P}_2, \widetilde{P}_3$ lie on the line $\widetilde{\ell}$. If $\widetilde{P}_1, \widetilde{P}_2 \in \widetilde{E}_{\rm ns}(k)$, then $\widetilde{P}_3 \in \widetilde{E}_{\rm ns}(k)$. Hence if $P_1, P_2 \in E_0(K)$, then $P_3 \in E_0(K)$ and $\widetilde{P}_1 + \widetilde{P}_2 + \widetilde{P}_3 = 0$. It is left as an exercise to check that this still works if $\#\{\widetilde{P}_1, \widetilde{P}_2, \widetilde{P}_3\} < 3$.

For surjectivity, let $f(x,y) = y^2 + a_1 x y + a_3 y - (x^3 + \ldots)$. Let $\widetilde{P} \in \widetilde{E}_{ns}(k) \setminus \{0\}$, say $\widetilde{P} = (\widetilde{x}_0, \widetilde{y}_0)$ for some $x_0, y_0 \in \mathcal{O}_K$. As \widetilde{P} is nonsingular, we either have $\frac{\partial f}{\partial x}(x_0, y_0) \not\equiv 0 \mod \pi$ or $\frac{\partial f}{\partial y}(x_0, y_0) \not\equiv 0 \mod \pi$.

In the first case, we put
$$g(t) = f(t, y_0) \in \mathcal{O}_K[t]$$
 to get
$$\begin{cases} g(x_0) \equiv \pmod{\pi}, \\ g'(x_0) \in \mathcal{O}_K^{\times}, \end{cases}$$

so by Hensel's lemma $\exists b \in \mathcal{O}_K$ such that $\begin{cases} g(b) = 0, \\ b \equiv x_0 \pmod{\pi}. \end{cases}$ Then $(b, y_0) \in$

E(K) has reduction \widetilde{P} . The second case is analogous.

Recall that for $r \geq 1$, we put

$$E_r(K) = \{(x,y) \in E(K) \mid v(x) \le -2r, v(y) \le -3r\} \cup \{0\}$$

and we have $\ldots \subset E_r(K) \subset \ldots \subset E_1(K) \subset E_0(K) \subset E_K$. Recall that $\widehat{E}(\pi^r \mathcal{O}_K) = E_r(K)$ by definition. We know that we have $E_r(K) \cong (\mathcal{O}_K, +)$

if $r > \frac{e}{p-1}$ and $E_r(K)/E_{r+1}(K) \cong (k,+) \ \forall r \geq 1$. We can extend this to include $E_0(K)/E_1(K) \cong \widetilde{E}_{\rm ns}(K)$. What about $E_0(K)/E(K)$?

Lemma 9.7. If $|k| < \infty$, then $E_0(K) \subset E_K$ has finite index.

Proof. $|k| < \infty \implies \frac{\mathcal{O}_K}{\pi^r \mathcal{O}_K}$ is finite $\forall r \geq 1$. Hence $\mathcal{O}_K = \lim_{\stackrel{\leftarrow}{r}} \mathcal{O}_K / \pi^r \mathcal{O}_K$ is a profinite group, hence compact. Then $\mathbb{P}^n(K)$ is a union of sets of the form

$$\{(a_0: a_1: a_2: \ldots: a_{i-1}: 1: a_{i+1}: \ldots a_n) \mid a_i \in \mathcal{O}_K\}$$

and hence is compact (with respect to the π -adic topology on K). $E(K) \subset \mathbb{P}^2(K)$ is a closed subset and hence compact, so E(K) is a compact topological group. If \widetilde{E} has a singular point $(\widetilde{x}_0, \widetilde{y}_0)$, then

$$E(K) \setminus E_0(K) = \{(x, y) \in E(K) \mid v(x - x_0) \ge 1, v(y - y_0) \ge 1\}$$

is a closed subset of E(K), so $E_0(K)$ is an open subgroup of E(K). But the cosets of $E_0(K)$ are open, so $[E(K):E_0(K)]<\infty$ by compactness of E(K). \square

Definition 9.4. $c_K(E) = [E_K : E_0(K)]$ is called the **Tamagawa number**.

Remarks.

- (i) Good reduction implies $c_K(E) = 1$, but the converse is false.
- (ii) It can be shown that either $c_K(E) = v(\Delta)$ or $c_K(E) \le 4$ (here it is essential that we work with a minimal Weierstrass equation).

We deduce the following:

Theorem 9.8. If $[K : \mathbb{Q}_p] < \infty$, then E(K) contains a subgroup of finite index isomorphic to $(\mathcal{O}_K, +)$.

Some setup: Let $[K:\mathbb{Q}_p]<\infty$, L/K a finite extension with residue fields k

and
$$k'$$
 and $f = [k':k]$. This gives us the map $\begin{pmatrix} K^{\times} & \stackrel{\circ K}{\longrightarrow} & \mathbb{Z} \\ & & \downarrow_{\times e} \\ & & L^{\times} & \stackrel{v_L}{\longrightarrow} & \mathbb{Z} \end{pmatrix}$

Facts.

- (i) [L:K] = ef.
- (ii) If L/K is Galois, then the natural map $\operatorname{Gal}(L/K) \to \operatorname{Gal}(k'/k)$ is surjective with kernel of order e.

Definition 9.5. L/K is unramified if e = 1.

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Facts.

- (i) For each $m \geq 1$, k has a unique extension of degree m (say k_m).
- (ii) For each $m \geq 1$, K has a unique unramified extension of degree m (say K_m).

These extensions are Galois with cyclic Galois group.

Definition 9.6. We have the maximal unramified extension of K,

$$K^{\mathrm{nr}} = \bigcup_{m \ge 1} K_m \subset \overline{K}.$$

Theorem 9.9. Let $[K : \mathbb{Q}] < \infty$. Suppose E/K has good reduction and $p \nmid n$. If $P \in E(K)$, then $K([n]^{-1}P)/K$ is unramified.

Notation. We have

$$[n]^{-1}(P) = \{ Q \in E(\overline{K}) \mid nQ = P \}$$

and we let

$$K(\{Q_1,\ldots,Q_r\}) = K(x_1,y_1,\ldots,x_r,y_r),$$

where $Q_i = (x_i, y_i)$.

Proof. For each $m \geq 1$, there is a short exact sequence

$$0 \to E_1(K_m) \to E(K_m) \to \widetilde{E}_{k_m} \to 0.$$

Taking the union $\bigcup_{m>1}$ gives us a commutative diagram with exact rows:

$$0 \longrightarrow E_{1}(K^{\operatorname{nr}}) \longrightarrow E(K^{\operatorname{nr}}) \longrightarrow \widetilde{E}(\overline{k}) \longrightarrow 0$$

$$\downarrow^{\times n} \qquad \downarrow^{\times n} \qquad \downarrow^{\times n} \qquad ,$$

$$0 \longrightarrow E_{1}(K^{\operatorname{nr}}) \longrightarrow E(K^{\operatorname{nr}}) \longrightarrow \widetilde{E}(\overline{k}) \longrightarrow 0$$

The first multiplication map is an isomorphism by Corollary 8.5 applied to each K_m (using $p \nmid n$).

The third is surjective by Theorem 2.3, and has kernel $\cong (\mathbb{Z}/n\mathbb{Z})^2$ by Theorem 6.5 (again using $p \nmid n$). Using the snake lemma on this diagram gives $E(K^{\text{nr}})[n] \cong (\mathbb{Z}/n\mathbb{Z})^2$ and $E(K^{\text{nr}})/nE(K^{\text{nr}}) = 0$. So if $P \in E(K)$, then $\exists Q \in E(K^{\text{nr}})$ with nQ = P and $[n]^{-1}P = \{Q + T \mid T \in E[n]\} \subset E(K^{\text{nr}})$. Hence $K([n]^{-1}P) \subset K^{\text{nr}}$ and so $K([n]^{-1}P)/K$ is unramified.

10 Elliptic curves over number fields

10.1 The torsion subgroup

Notation. Let E/K be an elliptic curve for $[K:\mathbb{Q}]<\infty$. We write \mathfrak{p} for a prime of K (i.e. of \mathcal{O}_K), $K_{\mathfrak{p}}$ for the \mathfrak{p} -adic completion of K, and $k_{\mathfrak{p}}=\mathcal{O}_K/\mathfrak{p}$.

Definition 10.1. \mathfrak{p} is a prime of good reduction for E/K if $E/K_{\mathfrak{p}}$ has good reduction.

Lemma 10.1. E/K has only finitely many primes of bad reduction.

Proof. Take a Weierstrass equation for E with $a_1, \ldots, a_6 \in \mathcal{O}_K$. Since E is nonsingular, $0 \neq \Delta \in \mathcal{O}_K$. Write $(\Delta) = \mathfrak{p}_1^{\alpha_1} \ldots \mathfrak{p}_r^{\alpha_r}$ for the factorization into prime ideals and let $S = \{\mathfrak{p}_1, \ldots, \mathfrak{p}_r\}$. If $\mathfrak{p} \notin S$, then $v_{\mathfrak{p}}(\Delta) = 0$, so $E/K_{\mathfrak{p}}$ has good reduction. Hence $\{\text{bad primes of } E\} \subset S$ is finite.

Remark. If K has class number 1 (e.g. if $K = \mathbb{Q}$), then we can always find a Weierstrass equation for E with $a_1, \ldots, a_6 \in \mathcal{O}_K$ which is minimal at all primes \mathfrak{p} .

Basic group theory. If A is a finitely generated abelian group, then

$$A \cong (\text{finite group}) \times \mathbb{Z}^r$$

for the finite group the **torsion subgroup** and r the **rank**.

Lemma 10.2. $E(K)_{tors}$ is finite.

Proof. Take any prime \mathfrak{p} . We saw that $E(K_{\mathfrak{p}})$ has a subgroup A of finite index with $A \cong (\mathcal{O}_{\mathfrak{p}}, +)$. In particular, A is torsion-free. Hence we get

$$E(K)_{\text{tors}} \subset E(K_{\mathfrak{p}})_{\text{tors}} \hookrightarrow E(K_{\mathfrak{p}})/A,$$

and this last group is finite.

Lemma 10.3. Let \mathfrak{p} be a prime of good reduction. Then reduction mod \mathfrak{p} gives an injective group homomorphism $E(K)[n] \hookrightarrow \widetilde{E}(k_{\mathfrak{p}})$.

Proof. Proposition 9.6 implies that $E(K_{\mathfrak{p}}) \to \widetilde{E}(k_{\mathfrak{p}})$ is a group homomorphism with kernel $E_1(K_{\mathfrak{p}})$. Corollary 8.5 and the fact that $\mathfrak{p} \nmid n$ imply now that $E_1(K_{\mathfrak{p}})$ has no *n*-torsion.

Example 10.1. Let E/\mathbb{Q} be given by $y^2 + y = x^3 - x^2$. Then $\Delta = -11$, so E has good reduction at all $p \neq 11$. We can count

By Lemma 10.3, $\#E(\mathbb{Q})_{\text{tors}} \mid 5 \cdot 2^a$ for some $a \geq 0$ and $\#E(\mathbb{Q})_{\text{tors}} \mid 5 \cdot 3^b$ for some $b \geq 0$. This implies that $\#E(\mathbb{Q}) \mid 5$. If we let $T = (0,0) \in E(\mathbb{Q})$, then calculation shows 5T = 0, so $E(\mathbb{Q})_{\text{tors}} \cong \mathbb{Z}/5\mathbb{Z}$.

Example 10.2. Let E/\mathbb{Q} be given by $y^2 + y = x^3 + x^2$. Then $\Delta = -43$, and we get

By Lemma 10.3, $\#E(\mathbb{Q})_{\text{tors}} \mid 5 \cdot 2^a$ for some $a \geq 0$ and $\#E(\mathbb{Q})_{\text{tors}} \mid 9 \cdot 11^b$ for some $b \geq 0$, so $E(\mathbb{Q})_{\text{tors}} = \{0\}$. Hence the point P = (0,0) is a point of infinite order. In particular, $E(\mathbb{Q})$ is infinite.

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Example 10.3. Let E_D/\mathbb{Q} be given by $E_D: y^2=x^3-D^2x$ for $D\in\mathbb{Z}$ squarefree. Then $\Delta=2^6D^6$ and we spot

$$E_D(\mathbb{Q})_{\text{tors}} \supset \{0, (0, 0), (\pm D, 0)\} \cong (\mathbb{Z}/2\mathbb{Z})^2.$$

Let $f(x) = x^3 - D^2x$. If $p \nmid 2D$, then

$$\#\widetilde{E}_D(\mathbb{F}_p) = 1 + \sum_{x \in \mathbb{F}_p} \left(\left(\frac{f(x)}{p} \right) + 1 \right).$$

If $p \equiv 3 \mod 4$, then $\#\widetilde{E}_D(\mathbb{F}_p) = p + 1$, since

$$\left(\frac{f(-x)}{p}\right) = \left(\frac{-f(x)}{p}\right) = \left(\frac{-1}{p}\right)\left(\frac{f(x)}{p}\right) = -\left(\frac{f(x)}{p}\right).$$

Let $m = \#E_D(\mathbb{Q})_{\text{tors}}$. We have $4 \mid m \mid (p+1)$ for all sufficiently large primes p with $p \equiv 3 \mod 4$ $(p \nmid 2Dm$ suffices).

If $8 \mid m$ or $l \mid m$ for some odd prime l, then this contradicts Dirichlet's Theorem on primes in arithmetic progressions. Hence m = 4 and so $E_D(\mathbb{Q})_{\text{tors}} = (\mathbb{Z}/2\mathbb{Z})^2$. Thus

rank
$$E_D(\mathbb{Q}) \ge 1 \iff \exists x, y \in \mathbb{Q} \text{ with } y \ne 0 \text{ and } y^2 = x^3 - D^2 x.$$

By Lecture 1, this is equivalent to D being a congruent number.

Lemma 10.4. Let E/\mathbb{Q} be given by a Weierstrass equation with $a_1, \ldots, a_6 \in \mathbb{Z}$. Suppose $0 \neq T = (x, y) \in E(\mathbb{Q})_{\text{tors}}$. Then

- (i) $4x, 8y \in \mathbb{Z}$.
- (ii) If $2 \mid a_1 \text{ or } 2T \neq 0$, then $x, y \in \mathbb{Z}$.

Proof. The Weierstrass equation defines a formal group \widehat{E} over \mathbb{Z} . For $r \geq 1$, we have

$$\widehat{E}(p^r \mathbb{Z}_p) = \{(x, y) \in E(\mathbb{Q}_p) \mid v_p(x) \le -2r, v_p(y) \le -3r\} \cup \{0\}.$$

By Theorem 9.2, $\widehat{E}(p^r\mathbb{Z}_p) \cong (\mathbb{Z}_p, +)$ if $r > \frac{1}{p-1}$. Hence $\widehat{E}(4\mathbb{Z}_2)$ and $\widehat{E}(p\mathbb{Z}_p)$ for p odd are torsion-free. This means that $v_2(x) \geq -2, v_2(y) \geq -3$ and $v_p(x), v_p(y) \geq 0$ for all odd primes p, which proves (i).

For the second part, suppose $T \in \widehat{E}(4\mathbb{Z}_2)$, i.e. $v_2(x) = -2, v_2(y) = -3$. Since $\widehat{E}(2\mathbb{Z}_2)/\widehat{E}(4\mathbb{Z}_2) \cong (\mathbb{F}_2, +)$ and $\widehat{E}(4\mathbb{Z}_2)$ is torsion-free, we get 2T = 0. Also, $(x,y) = T = -T = (x, -y - a_1x - a_3) \implies 2y + a_1x + a_3 = 0$, so $8y + a_1(4x) + 4a_3 = 0$. Since $8y, 4x, 4a_3$ are even, we require a_1 to be odd. So if $2T \neq 0$ or a_1 is even, then $T \notin \widehat{E}(2\mathbb{Z}_2)$, so $x, y \in \mathbb{Z}$.

Example 10.4. For $E: y^2 + xy = x^3 + 4x + 1$, $\left(-\frac{1}{4}, \frac{1}{8}\right) \in E(\mathbb{Q})[2]$.

Theorem 10.5 (Lutz-Nagell). Let E/\mathbb{Q} be given by $y^2 = x^3 + ax + b = f(x)$ for $a, b \in \mathbb{Z}$. Suppose $0 \neq T = (x, y) \in E(\mathbb{Q})_{\text{tors}}$. Then $x, y \in \mathbb{Z}$ and either y = 0 or $y^2 \mid (4a^3 + 27b^2)$.

Proof. Lemma 10.4 implies that $x, y \in \mathbb{Z}$. If 2T = 0, then y = 0. Otherwise, $0 \neq 2T = (x_2, y_2) \in E(\mathbb{Q})_{\text{tors}}$, so by Lemma 10.4, $x_2, y_2 \in \mathbb{Z}$. But $x_2 = \left(\frac{f'(x)}{2y}\right)^2 - 2x \implies y \mid f'(x)$. As E is nonsingular, f(X) and f'(X) are coprime, so f(X) and $f'(X)^2$ are coprime, so $\exists g, h \in \mathbb{Q}[X]$ with $g(X)f(X) + h(X)f'(X)^2 = 1$. In fact, we can check that

$$(3X^{2} + 4a)f'(X)^{2} - 27(X^{3} + aX - b)f(X) = 4a^{3} + 27b^{2}.$$

Since y | f'(x) and $y^2 = f(x)$, we get $y^2 | (4a^3 + 27b^2)$.

Remark. Mazur showed that if E/\mathbb{Q} is an elliptic curve, then

$$E(\mathbb{Q})_{\text{tors}} \cong \begin{cases} \mathbb{Z}/n\mathbb{Z} & 1 \leq n \leq 12, n \neq 11, \\ \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2n\mathbb{Z} & 1 \leq n \leq 4. \end{cases}$$

Moreover, all 15 possibilies occur.

11 Kummer theory

Let K be a field with char $K \nmid n$. Assume $\mu_n \subset K$ for μ_n the set of n^{th} (primitive?) roots of unity.

Lemma 11.1. Let $\Delta \subset K^{\times}/(K^{\times})^n$ be a finite subgroup and let $L = K(\sqrt[n]{\Delta})$. Then L/K is Galois and $\operatorname{Gal}(L/K) \cong \operatorname{Hom}(\Delta, \mu_n)$.

Proof. L/K is Galois since $\mu_n \subset K \implies L/K$ normal and char $K \nmid n \implies L/K$ separable. Define the **Kummer pairing**

$$\langle , \rangle : \operatorname{Gal}(L/K) \times \Delta \to \mu_n$$

$$(\sigma, x) \mapsto \frac{\sigma(\sqrt[n]{x})}{\sqrt[n]{x}}.$$

This is well-defined: If $\alpha, \beta \in L$ with $\alpha^n = \beta^n = x$, then $\left(\frac{\alpha}{\beta}\right)^n = 1$, so $\frac{\alpha}{\beta} \in \mu_n \subset K$, so $\sigma\left(\frac{\alpha}{\beta}\right) = \frac{\alpha}{\beta}$ and so $\frac{\sigma(\alpha)}{\alpha} = \frac{\sigma(\beta)}{\beta}$.

This is bilinear: we have

$$\begin{split} \langle \sigma \tau, x \rangle &= \frac{\sigma(\tau \sqrt[n]{x})}{(\tau \sqrt[n]{x})} \frac{\tau \sqrt[n]{x}}{\sqrt[n]{x}} = \langle \sigma, x \rangle \langle \tau, x \rangle, \\ \langle \sigma, xy \rangle &= \frac{\sigma \sqrt[n]{xy}}{\sqrt[n]{xy}} = \frac{\sigma \sqrt[n]{x}}{\sqrt[n]{x}} \frac{\sigma \sqrt[n]{y}}{\sqrt[n]{y}} = \langle \sigma, x \rangle \langle \sigma, y \rangle. \end{split}$$

This is nondegenerate: Let $\sigma \in \operatorname{Gal}(L/K)$. If $\langle \sigma, x \rangle = 1 \ \forall x \in \Delta$, then $\sigma(\sqrt[n]{x}) = \sqrt[n]{x} \ \forall x \in \Delta$, so σ fixes L pointwise, i.e. $\sigma = 1$. Now let $x(K^{\times})^n \in \Delta$. If $\langle \sigma, x \rangle = 1 \ \forall \sigma \in \operatorname{Gal}(L/K)$, then $\sigma(\sqrt[n]{x}) = \sqrt[n]{x} \ \forall \sigma \in \operatorname{Gal}(L/K)$, so $\sqrt[n]{x} \in K$, so $x \in (K^{\times})^n$ and so $x(K^{\times})^n \in \Delta$ is trivial.

We get injective group homomorphisms

- (i) $\operatorname{Gal}(L/K) \hookrightarrow \operatorname{Hom}(\Delta, \mu_n)$,
- (ii) $\Delta \hookrightarrow \operatorname{Hom}(\operatorname{Gal}(L/K), \mu_n)$.

From (i), $\operatorname{Gal}(L/K)$ is abelian and of exponent dividing n. Recall the following **Fact**: If G is a finite abelian group of exponent dividing n, then $\operatorname{Hom}(G, \mu_n) \cong G$ (non-canonically). Hence $|\operatorname{Gal}(L/K)| \stackrel{(i)}{\leq} |\Delta| \stackrel{(ii)}{\leq} |\operatorname{Gal}(L/K)|$, so (i) and (ii) are isomorphisms.

Example 11.1. $Gal(\mathbb{Q}(\sqrt{2}, \sqrt{3}, \sqrt{5})/\mathbb{Q}) \cong (\mathbb{Z}/2\mathbb{Z})^3$.

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Reminder: we are assuming char $K \nmid n$ and $\mu_n \subset K$.

Theorem 11.2. There is a bijection

 $\{\text{finite subgroups of } K^\times/(K^\times)^n\} \leftrightarrow \{\text{finite abelian extensions } L/K \text{ of exponent dividing } n\}$

$$\Delta \mapsto K(\sqrt[n]{\Delta})$$
$$((L^{\times})^n \cap K^{\times})/(K^{\times})^n \longleftrightarrow L$$

Proof. (i). Let $\Delta \subset K^{\times}/(K^{\times})^n$ be a finite subgroup. Let $L = K(\sqrt[n]{\Delta})$ and

 $\Delta' = ((L^{\times})^n \cap K^{\times})/(K^{\times})^n$. We must show $\Delta = \Delta'$. Clearly $\Delta \subset \Delta'$. Also

$$L = K(\sqrt[n]{\Delta}) \subset K(\sqrt[n]{\Delta'}) \subset L$$
$$\Longrightarrow K(\sqrt[n]{\Delta}) = K(\sqrt[n]{\Delta'}).$$

Thus $|\Delta| = |\Delta'|$ by Lemma 11.1. Since $\Delta \subset \Delta'$, we get $\Delta = \Delta'$.

(ii). Let L/K be a finite abelian extension of exponent dividing n. Let $\Delta = ((L^{\times})^n \cap K^{\times})/(K^{\times})^n$, then $K(\sqrt[n]{\Delta}) \subset L$ and we aim to prove that these are equal. Let $G = \operatorname{Gal}(L/K)$. The Kummer pairing gives an injection $\Delta \hookrightarrow \operatorname{Hom}(G, \mu_n)$, which we claim is surjective. Given the claim, we would have $[K(\sqrt[n]{\Delta}) : K] = |\Delta| = |G| = [L : K]$ by Lemma 11.1. Since $K(\sqrt[n]{\Delta}) \subset L$, $L = K(\sqrt[n]{\Delta})$ follows.

It remains to prove the surjectivity claim. For this, let $\chi:G\to \mu_n$ be a group homomorphism. Distinct automorphisms are linearly independent, so $\exists a\in L$ such that $y:=\sum_{\tau\in G}\chi(\tau)^{-1}\tau(a)\neq 0$. Let $\sigma\in G$. Then

$$\sigma(y) = \sum_{\tau \in G} \chi(\tau)^{-1} \sigma \tau(a)$$

$$\tau \mapsto \sigma^{-1} \tau \sum_{\tau \in G} \chi(\sigma^{-1} \tau)^{-1} \tau(a)$$

$$= \chi(\sigma) \sum_{\tau \in G} \chi(\tau)^{-1} \tau(a)$$

$$= \chi(\sigma) y.$$

Hence $\sigma(y^n) = y^n \ \forall \sigma \in G$, so $y^n \in K$. Let $x = y^n$, then $x \in (L^{\times})^n \cap K^{\times}$, so $x(K^{\times})^n \in \Delta$. Also by the calculation above, $\chi : \sigma \mapsto \frac{\sigma(y)}{y} = \frac{\sigma(\sqrt[n]{x})}{\sqrt[n]{x}}$, so the map $\Delta \hookrightarrow \operatorname{Hom}(G, \mu_n)$ sends $x \mapsto \chi$, which proves the claim.

Proposition 11.3. Let K be a number field and $\mu_n \subset K$. Let S be a finite set of primes of K. Then there are only finitely many extensions L/K such that

- (i) L/K is finite and abelian of exponent dividing n.
- (ii) L/K is unramified at all primes $\mathfrak{p} \notin S$.

Proof. Theorem 11.2 implies that this extension is of the form $L = K(\sqrt[n]{\Delta})$ for some finite subgroup $\Delta \subset K^{\times}/(K^{\times})^n$. Let \mathfrak{p} be a prime of K. We have $\mathfrak{p}\mathcal{O}_L = \mathcal{P}_1^{e_1} \dots \mathcal{P}_r^{e_r}$ for \mathcal{P}_i distinct primes of L. If $x \in K^{\times}$ represents an element of Δ , then $nv_{\mathcal{P}_i}(\sqrt[n]{x}) = v_{\mathcal{P}_i}(x) = e_i v_{\mathfrak{p}}(x)$.

If $\mathfrak{p} \notin S$, then all $e_i = 1$, so $v_{\mathfrak{p}}(x) \equiv 0 \pmod{n}$. Hence $\Delta \subset K(S, n)$, where

$$K(S, n) = \{ x \in K^{\times} / (K^{\times})^n \mid v_{\mathfrak{p}}(x) \equiv 0 \pmod{n} \ \forall \mathfrak{p} \notin S \}.$$

We now complete the proof using the following lemma.

Lemma 11.4. K(S, n) is finite.

Proof. The map $K(S,n) \to (\mathbb{Z}/n\mathbb{Z})^{|S|}$ by $x \mapsto (v_{\mathfrak{p}}(x) \bmod n)_{\mathfrak{p} \in S}$ is a group homomorphism with kernel $K(\emptyset,n)$. Since $|S| < \infty$, it suffices to prove the lemma with $S = \emptyset$.

Now, if $x \in K^{\times}$ represents an element of $K(\emptyset, n)$, then $(x) = \mathfrak{a}^n$ for some fractional ideal \mathfrak{a} . There is an exact short sequence

$$0 \to \mathcal{O}_K^{\times}/(\mathcal{O}_K^{\times})^n \to K(\varnothing, n) \to \operatorname{Cl}_K[n] \to 0$$
$$x \mapsto [\alpha].$$

Since $\operatorname{Cl}_K[n]$ is finite and \mathcal{O}_K^{\times} is a finitely generated abelian group (by Dirichlet's unit theorem), we conclude that $K(\emptyset, n)$ is finite.

12 Elliptic curves over number fields continued

12.1 The weak Mordell-Weil theorem

Lemma 12.1. Let E/K be an elliptic curve and L/K a finite Galois extension. Then the natural map $E(K)/nE(K) \to E(L)/nE(L)$ has finite kernel.

Proof. For each element in the kernel, we pick a coset representative $P \in E(K)$ and then $Q \in E(L)$ such that nQ = P. For any $\sigma \in \operatorname{Gal}(L/K)$, $n(\sigma(Q) - Q) = \sigma P - P = 0$, so $\sigma(Q) - Q \in E[n]$. Since $\operatorname{Gal}(L/K)$ and E[n] are finite, there are only finitely many possibilities for the map $\operatorname{Gal}(L/K) \to E[n]$ given by $\sigma \mapsto \sigma(Q) - Q$. But if $P_1, P_2 \in E(K)$ with $P_i = nQ_i$ for $Q_i \in E(L)$ and $\sigma(Q_1) - Q_1 = \sigma(Q_2) - Q_2 \ \forall \sigma \in \operatorname{Gal}(L/K)$, then $\sigma(Q_1 - Q_2) = Q_1 - Q_2 \ \forall \sigma \in \operatorname{Gal}(L/K)$, so $Q_1 - Q_2 \in E(K)$ and so $P_1 - P_2 \in nE(K)$. We conclude that

$$\ker(E(K)/nE(K) \to E(L)/nE(L)) \hookrightarrow \operatorname{Maps}(\operatorname{Gal}(L/K), E[n])$$

and the set on the right is finite, which finishes the proof.