# Part III - Local Fields Lectured by Rong Zhou

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### 0 Introduction

This is a first class in graduate algebraic number theory. Something we'd like to do is solve diophantine equations, e.g.  $f(x_1, \ldots, x_r) \in \mathbb{Z}[x_1, \ldots, x_r]$ . In general, solving  $f(x_1, \ldots, x_r) = 0$  is very difficult. A simpler question we might consider is solving  $f(x_1, \ldots, x_r) \equiv 0 \pmod{p}$ , or  $\pmod{p^2}$ ,  $\pmod{p^3}$ , etc. Local fields package all of this information together.

#### 1 Absolute values

**Definition 1.1.** Let K be a field. An **absolute value** on K is a function  $|\cdot|:K\to\mathbb{R}_{\geq 0}$  satisfying:

- (1)  $|x| = 0 \iff x = 0$ .
- $(2) |xy| = |x||y| \forall x, y \in K.$
- (3)  $|x+y| \le |x| + |y| \ \forall x, y \in K$  (triangle inequality).

We say that  $(K, |\cdot|)$  is a **valued field**. Examples:

- Take  $K = \mathbb{Q}, \mathbb{R}, \mathbb{C}$  with the usual absolute value  $|a+ib| = \sqrt{a^2 + b^2}$ . We call this  $|\cdot|_{\infty}$ .
- For K any field, we have the trivial absolute value  $|x| = \begin{cases} 0 & \text{if } x = 0 \\ 1 & \text{else.} \end{cases}$ We will ignore this in this course.
- Take  $K = \mathbb{Q}$  and p a prime. For  $0 \neq x \in \mathbb{Q}$ , write  $x = p^n \frac{a}{b}$  where (a, p) = (b, p) = 1. Then the p-adic absolute value is defined to be

$$|x|_p = \begin{cases} 0 & x = 0\\ p^{-n} & x = p^n \frac{a}{b}. \end{cases}$$

We can check the axioms:

- (1) The first axiom is clear.
- (2)  $|xy|_p = \left| p^{n+m} \frac{ac}{bd} \right|_p = p^{-(n+m)} = |x|_p |y|_p.$
- (3) WLOG let  $m \geq n$ . Then

$$|x + y|_p = \left| p^n \left( \frac{ad + p^{m-n}bc}{bd} \right) \right|_p \le p^{-n} = \max(|x|_p, |y|_p).$$

Any absolute value  $|\cdot|$  on K induces a metric d(x,y) = |x-y| on K, hence induces a topology on K.

**Definition 1.2.** Suppose we have two absolute values  $|\cdot|, |\cdot|'$  on K. We say these absolute values are **equivalent** if they induce the same topology. An equivalence class is called a **place**.

**Proposition 1.1.** Let  $|\cdot|, |\cdot|'$  be (nontrivial) absolute values on K. Then the following are equivalent:

- (i)  $|\cdot|$  and  $|\cdot|'$  are equivalent.
- (ii)  $|x| < 1 \iff |x|' < 1 \ \forall x \in K$ .
- (iii)  $\exists c \in \mathbb{R}_{>0}$  such that  $|x|^c = |x'| \ \forall x \in K$ .

*Proof.* (i)  $\Longrightarrow$  (ii):  $|x| < 1 \iff x^n \to 0$  with respect to  $|\cdot| \iff x^n \to 0$  with respect to  $|\cdot|'$  (since the topologies are the same)  $\iff |x|' < 1$ .

(ii)  $\Longrightarrow$  (iii): Note that  $|x|^c = |x|' \iff c \log |x| = \log |x|'$ . Take  $a \in K^\times$  such that |a| > 1. This exists since  $|\cdot|$  is nontrivial. We need to show that  $\forall x \in K^\times$ ,

$$\frac{\log|x|}{\log|a|} = \frac{\log|x|'}{\log|a|'}.$$

Assume  $\frac{\log|x|}{\log|a|} < \frac{\log|x|'}{\log|a|'}$ . Choose  $m, n \in \mathbb{Z}$  such that  $\frac{\log|x|}{\log|a|} < \frac{m}{n} < \frac{\log|x|'}{\log|a|'}$ . We then have

$$\begin{cases} n\log|x| < m\log|a| \\ n\log|x|' > m\log|a|' \end{cases}$$

$$\implies \left| \frac{x^n}{a^m} \right| < 1, \left| \frac{x^n}{a^m} \right|' > 1,$$

a contradiction. The other inequality is analogous.

(iii)  $\implies$  (i): Clear, since they have the same open balls.

**Remark.**  $|\cdot|_{\infty}^2$  on  $\mathbb{C}$  is not an absolute value by our definition (doesn't satisfy the triangle inequality). Some authors replace the triangle inequality by the condition  $|x+y|^{\beta} \leq |x|^{\beta} + |y|^{\beta}$  for some fixed  $\beta \in \mathbb{R}_{>0}$ . The equivalence classes are the same in either case.

In this course, we will mainly be interested in the following:

**Definition 1.3.** An absolute value  $|\cdot|$  on K is said to be **non-archimedean** if it satisfies the **ultrametric inequality** 

$$|x+y| \le \max(|x|, |y|).$$

If  $|\cdot|$  is not non-archimedean, we say it is **archimedean**.

**Example 1.1.** •  $|\cdot|_{\infty}$  on  $\mathbb{R}$  is archimedean.

•  $|\cdot|_p$  on  $\mathbb{Q}$  is non-archimedean.

**Lemma 1.2.** Let  $(K, |\cdot|)$  be non-archimedean and  $x, y \in K$ . If |x| < |y|, then |x - y| = |y|.

*Proof.* On the one hand,  $|x-y| \le \max(|x|,|y|) = |y|$  (using |x| = |-x|). On the other,  $|y| \le \max(|x|,|x-y|) = |x-y|$ .

Convergence is easier in non-archimedean fields:

**Proposition 1.3.** Let  $(K, |\cdot|)$  be non-archimedean and  $(x_n)_{n=1}^{\infty}$  a sequence on K. If  $|x_n - x_{n+1}| \to 0$ , then  $(x_n)_{n=1}^{\infty}$  is Cauchy. In particular, if K is complete, then the sequence converges.

*Proof.* For  $\epsilon > 0$ , choose N such that  $|x_n - x_{n+1}| < \epsilon$  for  $n \ge N$ . Then for N < n < m,

$$|x_n - x_m| = |(x_n - x_{n+1}) + (x_{n+1} - x_{n+2}) + \dots + (x_{m-1} - x_m)| < \epsilon,$$

so  $(x_n)$  is Cauchy.

**Example 1.2.** For p = 5, we can construct a sequence in  $\mathbb{Q}$  satisfying:

- (i)  $x_n^2 + 1 \equiv 0 \pmod{5^n}$ ,
- (ii)  $x_n \equiv x_{n+1} \pmod{5^n}$ .

We construct it by induction. Take  $x_1 = 2$ . Now suppose we've constructed  $x_n$  and write  $x_n^2 + 1 = a \cdot 5^n$  and set  $x_{n+1} = x_n + b \cdot 5^n$ . We compute

$$x_{n+1}^2 + 1 = x_n^2 + 2bx_n5^n + b^25^{2n} + 1 = a5^n + 2bx_n5^n + \underbrace{b^25^{2n}}_{\equiv 0 \pmod{5^{n+1}}} + 1.$$

Hence we choose b such that  $a + 2bx_n \equiv 0 \pmod{5}$  and we're done.

Now (ii) tells us that  $(x_n)$  is Cauchy, but we claim it doesn't converge. Suppose it does,  $x_n \to l \in \mathbb{Q}$ . Then  $x_n^2 \to l^2 \in \mathbb{Q}$ . But by (i),  $x_n^2 \to -1$ , so  $l^2 = -1$ , a contradiction.

This tells us that  $(\mathbb{Q}, |\cdot|_5)$  is not complete.

**Definition 1.4.** The *p*-adic numbers  $\mathbb{Q}_p$  are the completion of  $\mathbb{Q}$  with respect to  $|\cdot|_p$ .

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Let  $(K, |\cdot|)$  be a non–archimedean valued field. For  $x \in K$  and  $r \in \mathbb{R}_{>0}$ , we define  $B(x,r) = \{y \in K \mid |y-x| < r\}$  and  $\overline{B} = \{y \in K \mid |y-x| \le r\}$  to be the open and closed balls of radius r.

**Lemma 1.4.** (i) If  $z \in B(x,r)$ , then B(z,r) = B(x,r), i.e. open balls don't have centers.

- (ii) If  $z \in \overline{B}(x,r)$ , then  $\overline{B}(x,r) = \overline{B}(z,r)$ .
- (iii) B(x,r) is closed.
- (iv)  $\overline{B}(x,r)$  is open.
- *Proof.* (i) Let  $y \in B(x,r)$ . Then  $|x-y| < r \implies |z-y| = |(z-x)+(x-y)| \le \max(|z-x|,|x-y|) < r$ , so  $B(x,r) \subset B(z,r)$ . The reverse inclusion is analogous.
- (ii) Analogous to (i) by replacing < with  $\le$ .
- (iii) Let  $y \in K \setminus B(x,r)$ . If  $z \in B(x,r) \cap B(y,r)$ , then B(x,r) = B(z,r) = B(y,r) by (i), so  $y \in B(x,r)$ , a contradiction. Hence  $B(x,r) \cap B(y,r) = \emptyset$ . Since y was arbitrary,  $K \setminus B(x,r)$  is open, so B(x,r) is closed.
- (iv) If  $z \in \overline{B}(x,r)$ , then  $B(z,r) \subset \overline{B}(z,r) \stackrel{\text{(ii)}}{=} \overline{B}(x,r)$ .

2 Valuation rings

**Definition 2.1.** Let K be a field. A valuation on K is a function  $v:K^{\times}\to\mathbb{R}$  such that

- (i) v(xy) = v(x) + v(y).
- (ii)  $v(x+y) \ge \min(v(x), v(y))$ .

Fix  $0 < \alpha < 1$ . If v is a valuation on K, then  $|x| = \begin{cases} \alpha^{v(x)} & x \neq 0 \\ 0 & x = 0 \end{cases}$  determines a non–archimedean absolute value on K. Conversely, a non–archimedean absolute

a non-archimedean absolute value on K. Conversely, a non-archimedean absolute value on K determines a valuation  $v(x) = \log_{\alpha} |x|$ .

**Remark.** We ignore the trivial evaluation  $v(x) = 0 \ \forall x \in K$ , which corresponds to the trivial absolute value.

**Definition 2.2.** We say valuations  $v_1, v_2$  are equivalent if  $\exists c \in \mathbb{R}_{>0}$  such that  $v_1(x) = cv_2(x) \ \forall x \in K^{\times}$ .

**Example 2.1.** • If  $K = \mathbb{Q}$ ,  $v_p(x) = -\log_p |x|_p$  is the *p*-adic valuation.

• Let k be a field. Let  $K=k(t)=\operatorname{Frac}(k[t])$  be a rational function field. We let

$$v\left(t^n \frac{f(t)}{g(t)}\right) = n$$

for  $f, g \in k[t], f(0) \neq 0, g(0) \neq 0$ . This is called a t-adic valuation.

• Let  $K = k((t)) = \operatorname{Frac}(k[[t]]) = \{\sum_{i=n}^{\infty} a_i t^i \mid a_i \in k, n \in \mathbb{Z}\}$ , the field of formal Laurent series over k. We define

$$v\left(\sum_{i} a_i t^i\right) = \min\{i \mid a_i \neq 0\},\,$$

the t-adic valuation on K.

**Definition 2.3.** Let  $(K, |\cdot|)$  be a non-archimedean valued field. The **valuation** ring of K is defined to be

$$\mathcal{O}_K = \{ x \in K \mid |x| \le 1 \}.$$

(i.e. the closed unit ball,  $\mathcal{O}_K = \overline{B}(0,1)$ , or  $\mathcal{O}_K = \{x \in K^\times \mid v(x) \ge 0\} \cup \{0\}$ ).

**Proposition 2.1.** (i)  $\mathcal{O}_K$  is an open subring of K.

- (ii) The subsets  $\{x \in K \mid |x| \le r\}$  and  $\{x \in K \mid |x| < r\}$  for  $r \le 1$  are open ideals in  $\mathcal{O}_K$ .
- (iii)  $\mathcal{O}_K^{\times} = \{ x \in K \mid |x| = 1 \}.$

Proof. (i) We find:

- |0| = 0 and |1| = 1, so  $0, 1 \in \mathcal{O}_K$ .
- If  $x \in \mathcal{O}_K$ , then  $|-x| = |x| \implies -x \in \mathcal{O}_K$ .
- If  $x, y \in \mathcal{O}_K$ , then  $|x + y| \le \max(|x|, |y|) \le 1$ , so  $x + y \in \mathcal{O}_K$ .
- If  $x, y \in \mathcal{O}_K$ , then  $|xy| = |x||y| \le 1$ , so  $xy \in \mathcal{O}_K$ .

Thus  $\mathcal{O}_K$  is a subring, and since  $\mathcal{O}_K = \overline{B}(0,1)$ , it is open.

- (ii) As r < 1,  $\{x \in K \mid |x| < r\} = \overline{B}(0, r) \subset \mathcal{O}_K$ , so it is open. We find:
  - If  $x, y \in \overline{B}(0, r)$ , then  $|x + y| \le \max(|x|, |y|) \le r$ , so  $x + y \in \overline{B}_r$ .
  - If  $x \in \mathcal{O}_K, y \in \overline{B}_r$ , then  $|xy| = |x||y| \le 1 \cdot |y| \le r$ , so  $xy \in \overline{B}_r$ .

Hence this is an open ideal. The proof for  $\{x \in K \mid |x| < r\}$  is analogous.

(iii) Note that  $|x||x^{-1}|=|xx^{-1}|=1$ . Thus  $|x|=1\iff |x^{-1}|=1\iff x,x^{-1}\in\mathcal{O}_K\iff x\in\mathcal{O}_K^\times.$ 

**Notation.** Let  $\mathfrak{m} = \{x \in \mathcal{O}_K \mid |x| < 1\}$ . It turns out this is a maximal ideal in  $\mathcal{O}_K$ . Also let  $\mathfrak{k} = \mathcal{O}_K/\mathfrak{m}$ , the residue field.

Corollary 2.2.  $\mathcal{O}_K$  is a local ring (i.e. a ring with a unique maximal ideal) with unique maximal ideal  $\mathfrak{m}$ .

*Proof.* Let  $\mathfrak{m}'$  be a maximal ideal. If  $\mathfrak{m}' \neq \mathfrak{m}$ , then  $\exists x \in \mathfrak{m}' \setminus \mathfrak{m}$ . Hence |x| = 1, so by (iii) above, x is a unit, so  $\mathfrak{m}' = \mathcal{O}_K$ , a contradiction.

**Example 2.2.**  $K = \mathbb{Q}$  with  $|\cdot|_p$ . Then  $\mathcal{O}_K = \mathbb{Z}_{(p)} = \{\frac{a}{b} \in \mathbb{Q} \mid p \nmid b\}$ . In this case,  $\mathfrak{m} = p\mathbb{Z}_{(p)}$  and  $\mathfrak{k} = \mathbb{F}_p$ .

**Definition 2.4.** Let  $v: K^{\times} \to \mathbb{R}$  be a valuation. If  $v(K^{\times}) \cong \mathbb{Z}$ , then we say v is a **discrete valuation**. In this case, K is said to be a **discretely valued** field.

An element  $\pi \in \mathcal{O}_K$  is said to be a **uniformizer** if  $v(\pi) > 0$  and  $v(\pi)$  generates  $v(K^{\times})$ .

**Example 2.3.** •  $K = \mathbb{Q}$  with the *p*-adic valuation and K = k(t) with the *t*-adic valuation are discretely valued fields.

•  $K = k(t)(t^{\frac{1}{2}}, t^{\frac{1}{4}}, t^{\frac{1}{8}}, \ldots)$  with the *t*-adic valuation is not a discretely valued field.

**Remark.** If v is a discrete valuation, we can scale v, i.e. replace it with an equivalent valuation such that  $v(K^{\times}) = \mathbb{Z}$ . Such v are called **normalized valuations**. Then  $\pi$  is a uniformizer  $\iff v(\pi) = 1$ .

**Lemma 2.3.** Let v be a valuation on K. Then the following are equivalent:

- (i) v is discrete;
- (ii)  $\mathcal{O}_K$  is a PID;
- (iii)  $\mathcal{O}_K$  is Noetherian;
- (iv) m is principal.
- Proof. (i)  $\Longrightarrow$  (ii):  $\mathcal{O}_K \subset K$ , so  $\mathcal{O}_K$  is an integral domain. Let  $I \subset \mathcal{O}_K$  be a nonzero ideal and pick  $x \in I$  such that  $v(x) = \min\{v(a) \mid a \in I, a \neq 0\}$ , which exists as v is discrete. Then we claim that  $x\mathcal{O}_K = \{a \in \mathcal{O}_K \mid v(a) \geq v(x)\}$  is equal to I. The inclusion  $x\mathcal{O}_K \subset I$  is clear, as I is an ideal. For  $x\mathcal{O}_K \supset I$ , let  $y \in I$ , then  $v(x^{-1}y) = v(y) v(x) \geq 0 \Longrightarrow y = x(x^{-1}y) \in x\mathcal{O}_K$ .
- (ii)  $\implies$  (iii): Clear, as being a PID means every ideal is generated by one element, i.e. by finitely many.
- (iii)  $\Longrightarrow$  (iv): Write  $\mathfrak{m} = x_1 \mathcal{O}_K + \ldots + x_n \mathcal{O}_K$  and WLOG assume  $v(x_1) \leq v(x_2) \leq \ldots \leq v(x_n)$ . Then  $x_2, \ldots, x_n \in x_1 \mathcal{O}_K$ , since  $x_1 \mathcal{O}_K = \{a \in \mathcal{O}_K \mid v(a) \geq v(x_1)\}$ , so  $\mathfrak{m} = x_1 \mathcal{O}_K$ .
- (iv)  $\Longrightarrow$  (i): Let  $\mathfrak{m} = \pi \mathcal{O}_K$  for some  $\pi \in \mathcal{O}_K$  and let  $c = v(\pi)$ . Then if v(x) > 0, i.e.  $x \in \mathfrak{m}$ , then  $v(x) \geq c$ . Thus  $v(K^{\times}) \cap (0, c) = \emptyset$ . Since  $v(K^{\times})$  is a subgroup of  $(\mathbb{R}, +)$ , we have  $v(K^{\times}) = c\mathbb{Z}$ .

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**Remark.** Let v be a discrete valuation on K,  $\pi \in \mathcal{O}_K$  a uniformizer. For  $x \in K^{\times}$ , let  $n \in \mathbb{Z}$  such that  $v(x) = nv(\pi)$ . Then  $u = x\pi^{-n} \in \mathcal{O}_K^{\times}$  and  $x = u\pi^n$ . In particular,  $K = \mathcal{O}_K \left[\frac{1}{\pi}\right]$  and hence  $K = \operatorname{Frac}(\mathcal{O}_K)$ .

**Definition 2.5.** A ring R is called a **discrete valuation ring** (DVR) if it is a PID with exactly one nonzero prime ideal (which is then necessarily maximal).

**Lemma 2.4.** (i) Let v be a discrete valuation on K. Then  $\mathcal{O}_K$  is a DVR.

- (ii) Let R be a DVR. Then there exists a valuation v on  $K = \operatorname{Frac}(R)$  such that  $R = \mathcal{O}_K$ .
- *Proof.* (i)  $\mathcal{O}_K$  is a PID by the previous lemma, hence any nonzero prime ideal is maximal. Since  $\mathcal{O}_K$  is a local ring, it is a DVR.
  - (ii) Let R be a DVR with maximal ideal  $\mathfrak{m}$ . Then  $\mathfrak{m} = (\pi)$  for  $\pi \in R$ . Since PIDs are UFDs, we can write any nonzero  $x \in R$  uniquely as  $\pi^n u$  for some  $n \geq 0$ , u a unit (since  $\pi$  is the only prime). Then any  $y \in K^{\times}$  can be written uniquely as  $\pi^m u$ ,  $m \in \mathbb{Z}$ . Define  $v(\pi^m u) = m$ . Exercise: check that this is a valuation and  $R = \mathcal{O}_K$ .

**Example 2.4.**  $\mathbb{Z}_{(p)}$ , R[[t]] for R a field are DVRs.

### 3 p-adic numbers

Recall that  $\mathbb{Q}_p$  is the completion of  $\mathbb{Q}$  with respect to  $|\cdot|_p$ . It is an exercise on example sheet 1 to show that  $\mathbb{Q}_p$  is a field. Moreover,  $|\cdot|_p$  extends to  $\mathbb{Q}_p$  and the associated valuation is discrete (example sheet again).

**Definition 3.1.** The ring of p-adic integers  $\mathbb{Z}_p$  is the valuation ring

$$\mathbb{Z}_p = \{ x \in \mathbb{Q}_p \mid |x|_p \le 1 \}.$$

**Facts.**  $\mathbb{Z}_p$  is a DVR and has a principal maximal ideal  $p\mathbb{Z}_p$ . In  $\mathbb{Z}_p$ , all nonzero ideals are given by  $p^n\mathbb{Z}_p$ .

**Proposition 3.1.**  $\mathbb{Z}_p$  is the closure of  $\mathbb{Z}$  inside  $\mathbb{Q}_p$ . In particular,  $\mathbb{Z}_p$  is the completion of  $\mathbb{Z}$  with respect to  $|\cdot|_p$ .

*Proof.* We need to show  $\mathbb{Z}$  is dense in  $\mathbb{Z}_p$ . Note  $\mathbb{Q}$  is dense in  $\mathbb{Q}_p$ . Since  $\mathbb{Z}_p \subset \mathbb{Q}_p$  is open,  $\mathbb{Z}_p \cap \mathbb{Q}$  is dense in  $\mathbb{Z}_p$ . But

$$\mathbb{Z}_p \cap \mathbb{Q} = \{ x \in \mathbb{Q} \mid |x|_p \le 1 \} = \left\{ \frac{a}{b} \in \mathbb{Q} \mid p \nmid b \right\} = \mathbb{Z}_{(p)}.$$

Thus it suffices to show that  $\mathbb{Z}$  is dense in  $\mathbb{Z}_{(p)}$ . Let  $\frac{a}{b} \in \mathbb{Z}_{(p)}$  with  $a, b \in \mathbb{Z}$  and  $p \nmid b$ . For  $n \in \mathbb{N}$ , choose  $y_n \in \mathbb{Z}$  such that  $by_n \equiv a \pmod{p^n}$ . Then  $y_n \to \frac{a}{b}$  as  $n \to \infty$ .

For the last part, note that  $\mathbb{Z}_p$  is complete (as it is a closed subset of a complete space) and  $\mathbb{Z} \subset \mathbb{Z}_p$  is dense.

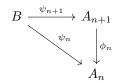
**Inverse limits.** Let  $(A_n)_{n=1}^{\infty}$  be a sequence of sets/groups/rings together with homomorphisms  $\phi_n: A_{n+1} \to A_n$  (called **transition maps**). Then the **inverse limit** of  $(A_n)_{n=1}^{\infty}$  is the set/group/ring

$$\varprojlim_{n} A_{n} = \left\{ (a_{n})_{n=1}^{\infty} \in \prod_{n=1}^{\infty} A_{n} \mid \phi_{n}(a_{n+1}) = a_{n} \ \forall n \right\}.$$

**Fact.** If  $A_n$  is a group/ring, then the inverse limit is also a group/ring. Here the group/ring operations are defined componentwise. Let  $\theta_m : \varprojlim_n A_n \to A_m$  denote the natural projection.

The inverse limit satisfies the following universal property:

**Proposition 3.2.** For any set/group/ring B together with homomorphisms  $\psi_n: B \to A_n$  such that the following diagram commutes,



there exists a unique homomorphism  $\psi: B \to \varprojlim_n A_n$  such that  $\theta_n \circ \psi = \psi_n$  for all n.

*Proof.* Define  $\psi: B \to \prod_{n=1}^{\infty} A_n$  by  $b \mapsto (\psi_n(b))_{n=1}^{\infty}$ . Then  $\psi_n = \theta_n \circ \psi_{n+1} \Longrightarrow \psi(b) \in \varprojlim_n A_n$ . This map is clearly unique (determined by  $\psi_n = \phi_n \circ \psi_{n+1}$ ), and is a homomorphism of sets/groups/rings.

**Definition 3.2.** Let  $I \subset R$  be an ideal (in a ring R). The I-adic completion of R is the ring  $\hat{R} = \varprojlim_n R/I^n$  where  $R/I^{n+1} \to R/I^n$  is the natural projection.

Note that there exists a natural map  $i: R \to \hat{R}$  by the universal property (since there exist maps  $R \to R/I^n$ ).

**Definition 3.3.** We say R is I-adically complete if i is an isomorphism.

**Fact.** 
$$\ker(i:R\to\hat{R})=\bigcap_{n=1}^{\infty}I^n$$
 (check!).

Let  $(K, |\cdot|)$  be a non-archimedean valued field and  $\pi \in \mathcal{O}_K$  such that  $|\pi| < 1$ .

**Proposition 3.3.** Assume K is complete with respect to  $|\cdot|$ . Then:

- (i)  $\mathcal{O}_K \stackrel{i}{\cong} \varprojlim_n \mathcal{O}_K / \pi^n \mathcal{O}_K$  (i.e.  $\mathcal{O}_K$  is  $\pi$ -adically complete)<sup>1</sup>.
- (ii) Every  $x \in \mathcal{O}_K$  can be written uniquely as  $x = \sum_{i=0}^{\infty} a_i \pi^i$  with  $a_i \in A$ , where  $A \subset \mathcal{O}_K$  is a set of coset representatives for  $\mathcal{O}_K/\pi\mathcal{O}_K$ . Moreover, any such power series converges (in  $\mathcal{O}_K$ ).
- *Proof.* (i) K is complete and  $\mathcal{O}_K \subset K$  is closed, so  $\mathcal{O}_K$  is complete. If  $x \in \bigcap_{n=1}^{\infty} \pi^n \mathcal{O}_K$ , then  $v(x) \geq nv(\pi) \ \forall n \implies x = 0$ , hence the natural map  $\mathcal{O}_K \to \varprojlim_n \mathcal{O}_K / \pi^n \mathcal{O}_K$  is injective.

For surjectivity, let  $(x_n)_{n=1}^{\infty} \in \varprojlim_n \mathcal{O}_K/\pi^n \mathcal{O}_K$  and for each n, let  $y_n \in \mathcal{O}_K$  be a lifting of  $x_n \in \mathcal{O}_K/\pi^n \mathcal{O}_K$ . Then  $y_n - y_{n+1} \in \pi^n \mathcal{O}_K$ , thus  $(y_n)_{n=1}^{\infty}$  is a Cauchy sequence in  $\mathcal{O}_K$ . Let  $y_n \to y \in \mathcal{O}_K$ . Then y maps to  $(x_n)_{n=1}^{\infty}$  in  $\varprojlim_n \mathcal{O}_K/\pi^n \mathcal{O}_K$ .

(ii) Left as exercise on example sheet 1.

Corollary 3.4. (i)  $\mathbb{Z}_p \cong \varprojlim_n \mathbb{Z}/p^n \mathbb{Z}$ .

(ii) Every element in  $\mathbb{Q}_p$  can be written uniquely as  $x = \sum_{i=n}^{\infty} a_i p^i$  where we have  $a_i \in \{0, 1, \dots, p-1\}$ .

<sup>&</sup>lt;sup>1</sup>There a bit of abuse of notation here – really,  $\mathcal{O}_K$  is  $(\pi)$ –adically complete.

<sup>&</sup>lt;sup>2</sup>Given a surjective map  $G \to G'$ , a lift of an element  $x \in G'$  is a choice of  $y \in G$  such that  $y \mapsto x$  under this map.