

Introduction to Additive Combinatorics

Part III

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Contents

1	Fourier-analytic techniques	2
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1 Fourier-analytic techniques

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Lecture 1

Let $G = \mathbb{F}_{p^n}$ for p a small fixed prime (usually $p = 2, 3, 5$) and n is large (often we consider $n \rightarrow \infty$).

Notation. Given a finite set B and any function $f : B \rightarrow \mathbb{C}$, we write $\mathbb{E}_{x \in B} f(x)$ to mean $\frac{1}{|B|} \sum_{x \in B} f(x)$. Also write $\omega = e^{2\pi i/p}$ for the p^{th} root of unity. Note that $\sum_{a \in \mathbb{F}_p} \omega^a = 0$.

Definition 1.1. Given $f : \mathbb{F}_{p^n} \rightarrow \mathbb{C}$, we define its **Fourier transform** $\hat{f} : \mathbb{F}_{p^n} \rightarrow \mathbb{C}$ by

$$\hat{f}(t) = \mathbb{E}_{x \in \mathbb{F}_{p^n}} f(x) \omega^{x \cdot t} \quad \forall t \in \mathbb{F}_{p^n}$$

where $x \cdot t$ is the standard scalar product.

It is easy to verify the **inversion formula**:

$$f(x) = \sum_{t \in \mathbb{F}_{p^n}} \hat{f}(t) \omega^{-x \cdot t} \quad \forall x \in \mathbb{F}_{p^n}.$$

Indeed,

$$\begin{aligned} \sum_{t \in \mathbb{F}_{p^n}} \hat{f}(t) \omega^{-x \cdot t} &= \sum_{t \in \mathbb{F}_{p^n}} \left(\mathbb{E}_y f(y) \omega^{y \cdot t} \right) \omega^{-x \cdot t} \\ &= \mathbb{E}_y f(y) \underbrace{\sum_{t \in \mathbb{F}_{p^n}} \omega^{(y-x) \cdot t}}_{p^n 1_{\{y=x\}}} = f(x). \end{aligned}$$

Remark. We could use an unnormalized sum in our definition and a normalized sum in the inversion formula, or a minus sign in our definition and a plus sign in the inversion formula – this doesn't matter as long as we're consistent.

Given a subset A of a finite group G , write:

- 1_A for the **characteristic function** of A , i.e. $1_A(x) = \begin{cases} 1 & \text{if } x \in A \\ 0 & \text{if } x \notin A \end{cases}$.

This is also called the **indicator function**.

- f_A for the **balanced function** of A , i.e. $f_A(x) = 1_A(x) - \alpha$, where $\alpha = \frac{|A|}{|G|}$.

- μ_A for the **characteristic measure** of A , i.e. $\mu_A(x) = \alpha^{-1} 1_A(x)$.

Note $\mathbb{E}_{x \in G} f_A(x) = 0$ and $\mathbb{E}_{x \in G} \mu_A(x) = 1$. Given $A \subset \mathbb{F}_{p^n}$, we have

$$\hat{1}_A(t) = \mathbb{E}_{x \in \mathbb{F}_{p^n}} 1_A(x) \omega^{x \cdot t}.$$

At $t = 0$, we get $\hat{1}_A(0) = \mathbb{E}_{x \in \mathbb{F}_{p^n}} 1_A(x) = \alpha$.

Writing $-A = \{-a \mid a \in A\}$, we have

$$\begin{aligned} \hat{1}_{-A}(t) &= \mathbb{E}_{x \in \mathbb{F}_{p^n}} 1_{-A}(x) \omega^{x \cdot t} = \mathbb{E}_{x \in \mathbb{F}_{p^n}} 1_A(-x) \omega^{x \cdot t} \\ &\stackrel{y=-x}{=} \mathbb{E}_{y \in \mathbb{F}_{p^n}} 1_A(y) \omega^{-y \cdot t} = \overline{\mathbb{E}_{y \in \mathbb{F}_{p^n}} 1_A(y) \omega^{y \cdot t}} = \overline{\hat{1}_A(t)}. \end{aligned}$$

Example 1.1. Let $V \leq \mathbb{F}_{p^n}$. Then

$$\hat{1}_V(t) = \mathbb{E}_{x \in \mathbb{F}_{p^n}} 1_V(x) \omega^{x \cdot t} = \frac{|V|}{p^n} 1_{\{x \cdot t = 0 \ \forall x \in V\}} = \frac{|V|}{p^n} 1_{V^\perp}(t),$$

so $\hat{\mu}_V(t) = 1_{V^\perp}(t)$. (Here we use the fact that if $t \notin \{x \cdot t = 0 \ \forall x \in V\}$, then $x \cdot t$ runs over the values uniformly and the sum is zero - details left as an exercise).

Example 1.2. Let $R \subset \mathbb{F}_{p^n}$ be such that each $x \in \mathbb{F}_{p^n}$ lies in R independently with probability $\frac{1}{2}$. Then with high probability (i.e. $\mathbb{P} \rightarrow 1$ as $n \rightarrow \infty$),

$$\sup_{t \neq 0} |\hat{1}_R(t)| = O\left(\sqrt{\frac{\log(p^n)}{p^n}}\right).$$

Proving this is on Ex. Sheet 1. This is proved using a Chernoff-type bound: given complex-valued independent random variables X_1, \dots, X_n with mean 0, $\forall \theta \geq 0$,

$$\mathbb{P}\left(\left|\sum_{i=1}^n X_i\right| \geq \theta \sqrt{\sum_{i=1}^n \|X_i\|_{L^\infty(\mathbb{P})}^2}\right) \leq 4 \exp(-\theta^2/4).$$

Example 1.3. Let $Q = \{x \in \mathbb{F}_{p^n} \mid x \cdot x = 0\}$. Then $|Q| = \left(\frac{1}{p} + O(p^{-n})\right) p^n$ and $\sup_{t \neq 0} |\hat{1}_Q(t)| = O(p^{-n/2})$. This is again on Ex. Sheet 1.

Notation. Given $f, g : \mathbb{F}_{p^n} \rightarrow \mathbb{C}$, write

$$\langle f, g \rangle = \mathbb{E}_{x \in \mathbb{F}_{p^n}} f(x) \overline{g(x)}$$

and

$$\langle \hat{f}, \hat{g} \rangle = \sum_{t \in \mathbb{F}_{p^n}} \hat{f}(t) \overline{\hat{g}(t)}.$$

Consequently, $\|f\|_2^2 = \mathbb{E}_x |f(x)|^2$ and $\|\hat{f}\|_2^2 = \sum_t |\hat{f}(t)|^2$.

TODO: all the definitions/examples/lemmas follow the same numbering

Lemma 1.1. The following hold for all $f, g : \mathbb{F}_{p^n} \rightarrow \mathbb{C}$:

- (i) $\langle f, g \rangle = \langle \hat{f}, \hat{g} \rangle$ (Planchel's identity).
- (ii) $\|f\|_2 = \|\hat{f}\|_2$ (Parseval's identity).

Proof. Exercise. □

Definition 1.2. Let $\rho > 0$ and $f : \mathbb{F}_{p^n} \rightarrow \mathbb{C}$. Define the ρ -**large spectrum** of f to be

$$\text{Spec}_\rho(f) = \{t \in \mathbb{F}_{p^n} \mid |\hat{f}(t)| \geq \rho \|f\|_1\}.$$

Example 1.4. By Example 1.1, if $f = 1_V$ with $V \leq \mathbb{F}_{p^n}$, then $\forall \rho > 0$, $\text{Spec}_\rho(f) = V^\perp$.

Lemma 1.2. For all $\rho > 0$, $|\text{Spec}_\rho(f)| \leq \rho^{-2} \frac{\|f\|_2^2}{\|f\|_1^2}$.

Proof. By Parseval,

$$\|f\|_2^2 = \|\hat{f}\|_2^2 \geq \sum_{t \in \text{Spec}_\rho(f)} |\hat{f}(t)|^2 \geq |\text{Spec}_\rho(f)| (\rho \|f\|_1)^2.$$

□