

# Introduction to Additive Combinatorics

## Part III

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# 1 Fourier-analytic techniques

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Lecture 1

Let  $G = \mathbb{F}_p^n$  for  $p$  a small fixed prime (usually  $p = 2, 3, 5$ ) and  $n$  is large (often we consider  $n \rightarrow \infty$ ).

**Notation.** Given a finite set  $B$  and any function  $f : B \rightarrow \mathbb{C}$ , we write  $\mathbb{E}_{x \in B} f(x)$  to mean  $\frac{1}{|B|} \sum_{x \in B} f(x)$ . Also write  $\omega = e^{2\pi i/p}$  for the  $p^{\text{th}}$  root of unity. Note that  $\sum_{a \in \mathbb{F}_p} \omega^a = 0$ .

**Definition 1.1.** Given  $f : \mathbb{F}_p^n \rightarrow \mathbb{C}$ , we define its **Fourier transform**  $\hat{f} : \mathbb{F}_p^n \rightarrow \mathbb{C}$  by

$$\hat{f}(t) = \mathbb{E}_{x \in \mathbb{F}_p^n} f(x) \omega^{x \cdot t} \quad \forall t \in \mathbb{F}_p^n$$

where  $x \cdot t$  is the standard scalar product.

It is easy to verify the **inversion formula**:

$$f(x) = \sum_{t \in \mathbb{F}_p^n} \hat{f}(t) \omega^{-x \cdot t} \quad \forall x \in \mathbb{F}_p^n.$$

Indeed,

$$\begin{aligned} \sum_{t \in \mathbb{F}_p^n} \hat{f}(t) \omega^{-x \cdot t} &= \sum_{t \in \mathbb{F}_p^n} (\mathbb{E}_y f(y) \omega^{y \cdot t}) \omega^{-x \cdot t} \\ &= \mathbb{E}_y f(y) \underbrace{\sum_{t \in \mathbb{F}_p^n} \omega^{(y-x) \cdot t}}_{p^n \mathbf{1}_{\{y=x\}}} = f(x). \end{aligned}$$

**Remark.** We could use an unnormalized sum in our definition and a normalized sum in the inversion formula, or a minus sign in our definition and a plus sign in the inversion formula – this doesn't matter as long as we're consistent.

Given a subset  $A$  of a finite group  $G$ , write:

- $1_A$  for the **characteristic function** of  $A$ , i.e.  $1_A(x) = \begin{cases} 1 & \text{if } x \in A \\ 0 & \text{if } x \notin A \end{cases}$ .

This is also called the **indicator function**.

- $f_A$  for the **balanced function** of  $A$ , i.e.  $f_A(x) = 1_A(x) - \alpha$ , where  $\alpha = \frac{|A|}{|G|}$ .

- $\mu_A$  for the **characteristic measure** of  $A$ , i.e.  $\mu_A(x) = \alpha^{-1} 1_A(x)$ .

Note  $\mathbb{E}_{x \in G} f_A(x) = 0$  and  $\mathbb{E}_{x \in G} \mu_A(x) = 1$ . Given  $A \subset \mathbb{F}_p^n$ , we have

$$\hat{1}_A(t) = \mathbb{E}_{x \in \mathbb{F}_p^n} 1_A(x) \omega^{x \cdot t}.$$

At  $t = 0$ , we get  $\hat{1}_A(0) = \mathbb{E}_{x \in \mathbb{F}_p^n} 1_A(x) = \alpha$ .

Writing  $-A = \{-a \mid a \in A\}$ , we have

$$\begin{aligned} \hat{1}_{-A}(t) &= \mathbb{E}_{x \in \mathbb{F}_p^n} 1_{-A}(x) \omega^{x \cdot t} = \mathbb{E}_{x \in \mathbb{F}_p^n} 1_A(-x) \omega^{x \cdot t} \\ &\stackrel{y=-x}{=} \mathbb{E}_{y \in \mathbb{F}_p^n} 1_A(y) \omega^{-y \cdot t} = \overline{\mathbb{E}_{y \in \mathbb{F}_p^n} 1_A(y) \omega^{y \cdot t}} = \overline{\hat{1}_A(t)}. \end{aligned}$$

**Example 1.2.** Let  $V \leq \mathbb{F}_p^n$ . Then

$$\hat{1}_V(t) = \mathbb{E}_{x \in \mathbb{F}_p^n} 1_V(x) \omega^{x \cdot t} = \frac{|V|}{p^n} 1_{\{x \cdot t = 0 \ \forall x \in V\}} = \frac{|V|}{p^n} 1_{V^\perp}(t),$$

so  $\hat{\mu}_V(t) = 1_{V^\perp}(t)$ . (Here we use the fact that if  $t \notin \{x \cdot t = 0 \ \forall x \in V\}$ , then  $x \cdot t$  runs over the values uniformly and the sum is zero - details left as exercise).

**Example 1.3.** Let  $R \subset \mathbb{F}_p^n$  be such that each  $x \in \mathbb{F}_p^n$  lies in  $R$  independently with probability  $\frac{1}{2}$ . Then with high probability (i.e.  $\mathbb{P} \rightarrow 1$  as  $n \rightarrow \infty$ ),

$$\sup_{t \neq 0} |\hat{1}_R(t)| = O\left(\sqrt{\frac{\log(p^n)}{p^n}}\right).$$

Proving this is on Ex. Sheet 1. This is proved using a Chernoff-type bound: given complex-valued independent random variables  $X_1, \dots, X_n$  with mean 0,  $\forall \theta \geq 0$ ,

$$\mathbb{P}\left(\left|\sum_{i=1}^n X_i\right| \geq \theta \sqrt{\sum_{i=1}^n \|X_i\|_{L^\infty(\mathbb{P})}^2}\right) \leq 4 \exp(-\theta^2/4).$$

**Example 1.4.** Let  $Q = \{x \in \mathbb{F}_p^n \mid x \cdot x = 0\}$ . Then  $|Q| = \left(\frac{1}{p} + O(p^{-n})\right) p^n$  and  $\sup_{t \neq 0} |\hat{1}_Q(t)| = O(p^{-n/2})$ . This is again on Ex. Sheet 1.

**Notation.** Given  $f, g : \mathbb{F}_p^n \rightarrow \mathbb{C}$ , write

$$\langle f, g \rangle = \mathbb{E}_{x \in \mathbb{F}_p^n} f(x) \overline{g(x)}$$

and

$$\langle \hat{f}, \hat{g} \rangle = \sum_{t \in \mathbb{F}_p^n} \hat{f}(t) \overline{\hat{g}(t)}.$$

Consequently,  $\|f\|_2^2 = \mathbb{E}_x |f(x)|^2$  and  $\|\hat{f}\|_2^2 = \sum_t |\hat{f}(t)|^2$ .

**Lemma 1.5.** The following hold for all  $f, g : \mathbb{F}_p^n \rightarrow \mathbb{C}$ :

- (i)  $\langle f, g \rangle = \langle \hat{f}, \hat{g} \rangle$  (Plancherel's identity).
- (ii)  $\|f\|_2 = \|\hat{f}\|_2$  (Parseval's identity).

*Proof.* (ii) follows from (i). For (i), compute

$$\begin{aligned} \langle \hat{f}, \hat{g} \rangle &= \sum_{t \in \mathbb{F}_p^n} \hat{f}(t) \overline{\hat{g}(t)} = \sum_{t \in \mathbb{F}_p^n} \frac{1}{p^{2n}} \sum_{x \in \mathbb{F}_p^n} f(x) \omega^{x \cdot t} \sum_{y \in \mathbb{F}_p^n} \overline{g(y) \omega^{y \cdot t}} \\ &= \frac{1}{p^{2n}} \sum_{x, y \in \mathbb{F}_p^n} f(x) \overline{g(y)} \sum_{t \in \mathbb{F}_p^n} \omega^{(x-y)t} = \frac{1}{p^{2n}} \sum_{x \in \mathbb{F}_p^n} p^n f(x) \overline{g(x)} = \langle f, g \rangle. \end{aligned}$$

□

**Definition 1.6.** Let  $\rho > 0$  and  $f : \mathbb{F}_p^n \rightarrow \mathbb{C}$ . Define the  $\rho$ -large spectrum of  $f$  to be

$$\text{Spec}_\rho(f) = \{t \in \mathbb{F}_p^n \mid |\hat{f}(t)| \geq \rho \|f\|_1\}.$$

**Example 1.7.** By Example 1.2, if  $f = 1_V$  with  $V \leq \mathbb{F}_p^n$ , then  $\forall \rho > 0$ ,  $\text{Spec}_\rho(f) = V^\perp$ .

**Lemma 1.8.** For all  $\rho > 0$ ,  $|\text{Spec}_\rho(f)| \leq \rho^{-2} \frac{\|f\|_2^2}{\|f\|_1^2}$ .

*Proof.* By Parseval,

$$\|f\|_2^2 = \|\hat{f}\|_2^2 \geq \sum_{t \in \text{Spec}_\rho(f)} |\hat{f}(t)|^2 \geq |\text{Spec}_\rho(f)| (\rho \|f\|_1)^2.$$

□