

# Introduction to Additive Combinatorics

## Part III

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# 1 Fourier-analytic techniques

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Lecture 1

Let  $G = \mathbb{F}_p^n$  for  $p$  a small fixed prime (usually  $p = 2, 3, 5$ ) and  $n$  is large (often we consider  $n \rightarrow \infty$ ).

**Notation.** Given a finite set  $B$  and any function  $f : B \rightarrow \mathbb{C}$ , we write  $\mathbb{E}_{x \in B} f(x)$  to mean  $\frac{1}{|B|} \sum_{x \in B} f(x)$ . Also write  $\omega = e^{2\pi i/p}$  for the  $p^{\text{th}}$  root of unity. Note that  $\sum_{a \in \mathbb{F}_p} \omega^a = 0$ .

**Definition 1.1.** Given  $f : \mathbb{F}_p^n \rightarrow \mathbb{C}$ , we define its **Fourier transform**  $\hat{f} : \mathbb{F}_p^n \rightarrow \mathbb{C}$  by

$$\hat{f}(t) = \mathbb{E}_{x \in \mathbb{F}_p^n} f(x) \omega^{x \cdot t} \quad \forall t \in \mathbb{F}_p^n$$

where  $x \cdot t$  is the standard scalar product.

It is easy to verify the **inversion formula**:

$$f(x) = \sum_{t \in \mathbb{F}_p^n} \hat{f}(t) \omega^{-x \cdot t} \quad \forall x \in \mathbb{F}_p^n.$$

Indeed,

$$\begin{aligned} \sum_{t \in \mathbb{F}_p^n} \hat{f}(t) \omega^{-x \cdot t} &= \sum_{t \in \mathbb{F}_p^n} (\mathbb{E}_y f(y) \omega^{y \cdot t}) \omega^{-x \cdot t} \\ &= \mathbb{E}_y f(y) \underbrace{\sum_{t \in \mathbb{F}_p^n} \omega^{(y-x) \cdot t}}_{p^n \mathbf{1}_{\{y=x\}}} = f(x). \end{aligned}$$

**Remark.** We could use an unnormalized sum in our definition and a normalized sum in the inversion formula, or a minus sign in our definition and a plus sign in the inversion formula – this doesn't matter as long as we're consistent.

Given a subset  $A$  of a finite group  $G$ , write:

- $1_A$  for the **characteristic function** of  $A$ , i.e.  $1_A(x) = \begin{cases} 1 & \text{if } x \in A \\ 0 & \text{if } x \notin A \end{cases}$ .

This is also called the **indicator function**.

- $f_A$  for the **balanced function** of  $A$ , i.e.  $f_A(x) = 1_A(x) - \alpha$ , where  $\alpha = \frac{|A|}{|G|}$ .

- $\mu_A$  for the **characteristic measure** of  $A$ , i.e.  $\mu_A(x) = \alpha^{-1} 1_A(x)$ .

Note  $\mathbb{E}_{x \in G} f_A(x) = 0$  and  $\mathbb{E}_{x \in G} \mu_A(x) = 1$ . Given  $A \subset \mathbb{F}_p^n$ , we have

$$\hat{1}_A(t) = \mathbb{E}_{x \in \mathbb{F}_p^n} 1_A(x) \omega^{x \cdot t}.$$

At  $t = 0$ , we get  $\hat{1}_A(0) = \mathbb{E}_{x \in \mathbb{F}_p^n} 1_A(x) = \alpha$ .

Writing  $-A = \{-a \mid a \in A\}$ , we have

$$\begin{aligned} \hat{1}_{-A}(t) &= \mathbb{E}_{x \in \mathbb{F}_p^n} 1_{-A}(x) \omega^{x \cdot t} = \mathbb{E}_{x \in \mathbb{F}_p^n} 1_A(-x) \omega^{x \cdot t} \\ &\stackrel{y=-x}{=} \mathbb{E}_{y \in \mathbb{F}_p^n} 1_A(y) \omega^{-y \cdot t} = \overline{\mathbb{E}_{y \in \mathbb{F}_p^n} 1_A(y) \omega^{y \cdot t}} = \overline{\hat{1}_A(t)}. \end{aligned}$$

**Example 1.2.** Let  $V \leq \mathbb{F}_p^n$ . Then

$$\hat{1}_V(t) = \mathbb{E}_{x \in \mathbb{F}_p^n} 1_V(x) \omega^{x \cdot t} = \frac{|V|}{p^n} 1_{\{x \cdot t = 0 \ \forall x \in V\}} = \frac{|V|}{p^n} 1_{V^\perp}(t),$$

so  $\hat{\mu}_V(t) = 1_{V^\perp}(t)$ . (Here we use the fact that if  $t \notin \{x \cdot t = 0 \ \forall x \in V\}$ , then  $x \cdot t$  runs over the values uniformly and the sum is zero – details left as exercise).

**Example 1.3.** Let  $R \subset \mathbb{F}_p^n$  be such that each  $x \in \mathbb{F}_p^n$  lies in  $R$  independently with probability  $\frac{1}{2}$ . Then with high probability (i.e.  $\mathbb{P} \rightarrow 1$  as  $n \rightarrow \infty$ ),

$$\sup_{t \neq 0} |\hat{1}_R(t)| = O\left(\sqrt{\frac{\log(p^n)}{p^n}}\right).$$

Proving this is on Ex. Sheet 1. This is proved using a Chernoff-type bound: given complex-valued independent random variables  $X_1, \dots, X_n$  with mean 0,  $\forall \theta \geq 0$ ,

$$\mathbb{P}\left(\left|\sum_{i=1}^n X_i\right| \geq \theta \sqrt{\sum_{i=1}^n \|X_i\|_{L^\infty(\mathbb{P})}^2}\right) \leq 4 \exp(-\theta^2/4).$$

**Example 1.4.** Let  $Q = \{x \in \mathbb{F}_p^n \mid x \cdot x = 0\}$ . Then  $|Q| = \left(\frac{1}{p} + O(p^{-n})\right) p^n$  and  $\sup_{t \neq 0} |\hat{1}_Q(t)| = O(p^{-n/2})$ . This is again on Ex. Sheet 1.

**Notation.** Given  $f, g : \mathbb{F}_p^n \rightarrow \mathbb{C}$ , write

$$\langle f, g \rangle = \mathbb{E}_{x \in \mathbb{F}_p^n} f(x) \overline{g(x)}$$

and

$$\langle \hat{f}, \hat{g} \rangle = \sum_{t \in \mathbb{F}_p^n} \hat{f}(t) \overline{\hat{g}(t)}.$$

Consequently,  $\|f\|_2^2 = \mathbb{E}_x |f(x)|^2$  and  $\|\hat{f}\|_2^2 = \sum_t |\hat{f}(t)|^2$ .

**Lemma 1.5.** The following hold for all  $f, g : \mathbb{F}_p^n \rightarrow \mathbb{C}$ :

- (i)  $\langle f, g \rangle = \langle \hat{f}, \hat{g} \rangle$  (Plancherel's identity).
- (ii)  $\|f\|_2 = \|\hat{f}\|_2$  (Parseval's identity).

*Proof.* (ii) follows from (i). For (i), compute

$$\begin{aligned}\langle \hat{f}, \hat{g} \rangle &= \sum_{t \in \mathbb{F}_p^n} \hat{f}(t) \overline{\hat{g}(t)} = \sum_{t \in \mathbb{F}_p^n} \frac{1}{p^{2n}} \sum_{x \in \mathbb{F}_p^n} f(x) \omega^{x \cdot t} \sum_{y \in \mathbb{F}_p^n} \overline{g(y) \omega^{y \cdot t}} \\ &= \frac{1}{p^{2n}} \sum_{x, y \in \mathbb{F}_p^n} f(x) \overline{g(y)} \sum_{t \in \mathbb{F}_p^n} \omega^{(x-y)t} = \frac{1}{p^{2n}} \sum_{x \in \mathbb{F}_p^n} p^n f(x) \overline{g(x)} = \langle f, g \rangle.\end{aligned}$$

□

**Definition 1.6.** Let  $\rho > 0$  and  $f : \mathbb{F}_p^n \rightarrow \mathbb{C}$ . Define the  $\rho$ -large spectrum of  $f$  to be

$$\text{Spec}_\rho(f) = \{t \in \mathbb{F}_p^n \mid |\hat{f}(t)| \geq \rho \|f\|_1\}.$$

**Example 1.7.** By Example 1.2, if  $f = 1_V$  with  $V \leq \mathbb{F}_p^n$ , then  $\forall \rho > 0$ ,  $\text{Spec}_\rho(f) = V^\perp$ .<sup>1</sup>

**Lemma 1.8.** For all  $\rho > 0$ ,  $|\text{Spec}_\rho(f)| \leq \rho^{-2} \frac{\|f\|_2^2}{\|f\|_1^2}$ .

*Proof.* By Parseval,

$$\|f\|_2^2 = \|\hat{f}\|_2^2 \geq \sum_{t \in \text{Spec}_\rho(f)} |\hat{f}(t)|^2 \geq |\text{Spec}_\rho(f)| (\rho \|f\|_1)^2. \quad \square$$

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**Definition 1.9.** Given  $f, g : \mathbb{F}_p^n \rightarrow \mathbb{C}$ , define their **convolution**  $f * g : \mathbb{F}_p^n \rightarrow \mathbb{C}$  by

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$$f * g(x) = \mathbb{E}_{y \in \mathbb{F}_p^n} f(y) g(x - y) \quad \forall x \in \mathbb{F}_p^n.$$

**Example 1.10.** Given  $A, B \subset \mathbb{F}_p^n$ ,

$$\begin{aligned}1_A * 1_B(x) &= \mathbb{E}_{y \in \mathbb{F}_p^n} 1_A(y) 1_B(x - y) = \frac{1}{p^n} |A \cap (x - B)| \\ &= \frac{1}{p^n} \# \text{ways } x \text{ can be written as } x = a + b \text{ with } a \in A, b \in B.\end{aligned}$$

In particular, the support of  $1_A * 1_B$  is the **sum set**

$$A + B = \{a + b \mid a \in A, b \in B\}$$

of  $A$  and  $B$ .

**Lemma 1.11.** Given  $f, g : \mathbb{F}_p^n \rightarrow \mathbb{C}$ ,

$$\widehat{f * g}(t) = \hat{f}(t) \hat{g}(t) \quad \forall t \in \mathbb{F}_p^n.$$

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<sup>1</sup>Here we have  $0 < \rho \leq 1$ , since it is clear by triangle inequality that  $\|f\|_1 \geq |\hat{f}(t)|$ .

*Proof.* Set  $u = x - y$  to get

$$\begin{aligned}\widehat{f * g}(t) &= \mathbb{E}_{x \in \mathbb{F}_p^n} \left( \mathbb{E}_{y \in \mathbb{F}_p^n} f(y) g(x - y) \right) \omega^{x \cdot t} \\ &= \mathbb{E}_y f(y) \mathbb{E}_u g(u) \omega^{(u+y) \cdot t} \\ &= \hat{f}(t) \hat{g}(t).\end{aligned}\quad \square$$

**Example 1.12.**  $\|\hat{f}\|_4^4 = \mathbb{E}_{x+y=z+w} f(x) f(y) \overline{f(z)} \overline{f(w)}$ . This is on Ex. Sheet 1.

**Lemma 1.13** (Bogolyubov's Lemma). Given  $A \subset \mathbb{F}_p^n$  of density  $\alpha > 0$ , there exists a subspace  $V \leq \mathbb{F}_p^n$  of codimension at most  $2\alpha^{-2}$  s.t.  $A + A - A - A \supset V$ .

*Proof.* Observe that

$$A + A - A - A = \text{supp}(\underbrace{1_A * 1_A * 1_{-A} * 1_{-A}}_{:=g}).$$

Hence we wish to find  $V \leq \mathbb{F}_p^n$  such that  $g(x) > 0 \forall x \in V$ . Let  $K = \text{Spec}_\rho(1_A)$  with  $\rho$  to be determined later and let  $V = \langle K \rangle^\perp$ . By Lemma 1.8<sup>2</sup>,  $|K| \leq \rho^{-2} \alpha^{-1}$  and hence  $\text{codim}(V) \leq |K| \leq \rho^{-2} \alpha^{-1}$ . By the inversion formula,

$$\begin{aligned}g(x) &= \sum_{t \in \mathbb{F}_p^n} (1_A * 1_A * \widehat{1_{-A}} * 1_{-A})(t) \omega^{-x \cdot t} \\ &= \sum_{t \in \mathbb{F}_p^n} |\hat{1}_A(t)|^4 \omega^{-x \cdot t} \\ &= \alpha^4 + \underbrace{\sum_{t \in K \setminus \{0\}} |\hat{1}_A(t)|^4 \omega^{-x \cdot t}}_{(1)} + \underbrace{\sum_{t \notin K} |\hat{1}_A(t)|^4 \omega^{-x \cdot t}}_{(2)}.\end{aligned}$$

For (1), we see it is  $\geq 0$  since  $x \cdot t = 0 \forall t \in K, x \in V$ . (Note we could give better lower bounds but we don't need them).

For (2), we have

$$\begin{aligned}|(2)| &\leq \sum_{t \notin K} |\hat{1}_A(t)|^4 \leq \sup_{t \notin K} |\hat{1}_A(t)|^2 \sum_{t \notin K} |\hat{1}_A(t)|^2 \leq \sup_{t \notin K} |\hat{1}_A(t)|^2 \sum_t |\hat{1}_A(t)|^2 \\ &\leq (\rho \alpha)^2 \|1_A\|_2^2 = \rho^2 \alpha^3.\end{aligned}$$

Now pick  $\rho$  such that  $\rho^2 \alpha^3 \leq \frac{\alpha^4}{2}$ , e.g.  $\rho = \sqrt{\frac{\alpha}{2}}$ , so  $g(x) \geq \frac{\alpha^4}{2} > 0 \forall x \in V$ .  $\square$

**Example 1.14.** The set  $A = \{x \in \mathbb{F}_2^n \mid |x| \geq \frac{n}{2} + \frac{\sqrt{n}}{2}\}$  has density at least  $\frac{1}{4}$ ,

<sup>2</sup>Here  $f = 1_A$  and we get  $\frac{\|f\|_2^2}{\|f\|_1^2} = \frac{(\frac{1}{p^n} \sum |f|^2)}{(\frac{1}{p^n} \sum |f|)^2} = \frac{p^n}{|A|} = \alpha^{-1}$ .

and there is no coset  $C$  of any subspace of codimension at most  $\sqrt{n}$  such that  $C \subset A + A$ . This is on Ex. Sheet 1.

**Lemma 1.15.** Let  $A \subset \mathbb{F}_p^n$  of density  $\alpha$  be such that  $\exists t \neq 0$  in  $\text{Spec}_\rho(1_A)$ . Then  $\exists V \leq \mathbb{F}_p^n$  of codimension 1 and  $\exists x \in \mathbb{F}_p^n$  such that

$$|A \cap (x + V)| \geq \alpha \left(1 + \frac{\rho}{2}\right) |V|.$$

*Proof.* Let  $t \neq 0$  be such that  $|\hat{1}_A(t)| \geq \rho\alpha$  and let  $V = \langle t \rangle^\perp$ . Write  $v_j + V$  for  $j \in [p] := \{1, 2, \dots, p\}$  for the cosets of  $V$  such that  $v_j + V = \{x \in \mathbb{F}_p^n \mid x \cdot t = j\}$ . Then

$$\begin{aligned} \rho\alpha &\leq \hat{1}_A(t) = \hat{f}_A(t) \\ &= \mathbb{E}_{x \in \mathbb{F}_p^n} (1_A(x) - \alpha) \omega^{x \cdot t} \\ &= \mathbb{E}_{j \in [p]} \underbrace{\mathbb{E}_{x \in v_j + V} (1_A(x) - \alpha) \omega^j}_{:= a_j = \frac{|A \cap (v_j + V)|}{|V|} - \alpha}. \end{aligned}$$

By the triangle inequality,  $\mathbb{E}_{j \in [p]} |a_j| \geq \rho\alpha$ . Since  $p \cdot \mathbb{E}_{j \in [p]} a_j = \frac{|A|}{p^{n-1}} - p\alpha = 0$ ,  $\mathbb{E}_{j \in [p]} (a_j + |a_j|) \geq \rho\alpha$ , so  $\exists j \in [p]$  such that  $a_j + |a_j| \geq \rho\alpha \implies a_j \geq \frac{\rho\alpha}{2}$ .  $\square$

**Lemma 1.16.** Let  $p \geq 3$  and  $A \subset \mathbb{F}_p^n$  of density  $\alpha > 0$  be such that

$$\sup_{t \neq 0} |\hat{1}_A(t)| = o(1).$$

Then  $A$  contains  $(\alpha^3 + o(1))(p^n)^2$  3-term arithmetic progressions (3-APs).

In other words, a set with small Fourier coefficients has the same number of 3-APs as a truly random set of the same density.

**Notation.** Given  $f, g, h : \mathbb{F}_p^n \rightarrow \mathbb{C}$ ,  $T_3(f, g, h) = \mathbb{E}_{x,d} f(x)g(x+d)h(x+2d)$ .

Given  $A \subset \mathbb{F}_p^n$ , write  $2 \cdot A = \{2a \mid a \in A\}$ . This is different from  $2A = A + A = \{a + a' \mid a, a' \in A\}$ .

*Proof.* The number of 3-APs in  $A$  is  $(p^n)^2$  times  $T_3(1_A, 1_A, 1_A)$ , where

$$\begin{aligned} T_3(1_A, 1_A, 1_A) &= \mathbb{E}_{x,d} 1_A(x) 1_A(x+d) 1_A(x+2d) \\ &= \mathbb{E}_{x,y} 1_A(x) 1_A(y) 1_A(2y-x) && y = x+d \\ &= \mathbb{E}_y 1_A(y) (1_A * 1_A)(2y) \\ &= \langle 1_{2 \cdot A}, 1_A * 1_A \rangle && z = 2y \\ &= \langle \widehat{1_{2 \cdot A}}, \widehat{1_A * 1_A} \rangle && \text{by Plancherel.} \end{aligned}$$

Continue the last manipulation to get

$$\begin{aligned}
&= \langle \widehat{1_{2 \cdot A}}, \widehat{1_A^2} \rangle \\
&= \alpha^3 + \sum_{t \neq 0} \widehat{1_A}(t)^2 \overline{\widehat{1_{2 \cdot A}}(t)}.
\end{aligned}$$

The last sum in absolute value is at most

$$\begin{aligned}
&\leq \sup_{t \neq 0} |\widehat{1_A}(t)| \sum_{t \neq 0} |\widehat{1_A}(t) \overline{\widehat{1_{2 \cdot A}}(t)}| \\
&\leq \sup_{t \neq 0} |\widehat{1_A}(t)| \left( \sum_t |\widehat{1_A}(t)|^2 \right)^{1/2} \left( \sum_t |\widehat{1_{2 \cdot A}}(t)|^2 \right)^{1/2} \\
&\leq \sup_{t \neq 0} |\widehat{1_A}(t)| \cdot \alpha^{1/2} \cdot \alpha^{1/2} \\
&= \sup_{t \neq 0} |\widehat{1_A}(t)| \cdot \alpha \\
&\leq \sup_{t \neq 0} |\widehat{1_A}(t)|
\end{aligned}$$

by C-S and Parseval.  $\square$

Using the above two results, we prove:

**Theorem 1.17** (Meshulam's Theorem). Let  $p \geq 3$  and let  $A \subset \mathbb{F}_p^n$  be a set containing no non-trivial 3-APs. Then  $|A| = O\left(\frac{p^n}{n \log p}\right)$ .

*Proof.* By assumption,  $T_3(1_A, 1_A, 1_A) = \frac{\alpha}{p^n}$ , but as in Lemma 1.16,

$$T_3(1_A, 1_A, 1_A) = \alpha^3 + \sum_{t \neq 0} \widehat{1_A}(t)^2 \overline{\widehat{1_{2 \cdot A}}(t)},$$

so  $\left| \frac{\alpha}{p^n} - \alpha^3 \right| \leq \sup_{t \neq 0} |\widehat{1_A}(t)| \cdot \alpha$ , which gives  $\sup_{t \neq 0} |\widehat{1_A}(t)| \geq \left| \frac{1}{p^n} - \alpha^2 \right| \geq \frac{\alpha^2}{2}$  provided  $p^n \geq 2\alpha^{-2}$ . By Lemma 1.15 with  $\rho = \frac{\alpha^2}{2}$ ,  $\exists V \leq \mathbb{F}_p^n$  of codimension 1 and  $x \in \mathbb{F}_p^n$  such that  $|A \cap (x + V)| \geq \left( \alpha + \frac{\alpha^2}{4} \right) |V|$ .

We iterate this observation. Let  $A_0 = A, V_0 = \mathbb{F}_p^n, \alpha_0 = \alpha = \frac{|A_0|}{|V_0|}$ . At step  $i$  of this iteration, we are given a set  $A_{i-1} \subset V_{i-1}$  of density  $\alpha_{i-1}$  with no nontrivial 3-APs. Provided that  $p^{\dim(V_{i-1})} \geq 2\alpha_{i-1}^{-2}$ ,  $\exists V_i \leq V_{i-1}$  of codimension 1 and  $x_i \in V_{i-1}$  such that  $|A_{i-1} \cap (x_i + V_i)| \geq \left( \alpha_{i-1} + \frac{\alpha_{i-1}^2}{4} \right) |V_i|$ . Set  $A_i = A_{i-1} - x_i$ . Note  $\alpha_i \geq \alpha_{i-1} + \frac{\alpha_{i-1}^2}{4}$  and  $A_i$  is free of nontrivial 3-APs. Through this iteration, the density of  $A$  increases from  $\alpha$  to  $2\alpha$  in at most  $\frac{\alpha}{\alpha^2/4} = 4\alpha^{-1}$

steps, from  $2\alpha$  to  $4\alpha$  in at most  $\frac{2\alpha}{(2\alpha)^2/4} = 2\alpha^{-1}$  steps, etc, which reaches 1 in at most

$$(4\alpha^{-1} + 2\alpha^{-1} + \alpha^{-1} + \dots) = 8\alpha^{-1}$$

steps. The argument must therefore end with  $\dim(V_i) \geq n - 8\alpha^{-1}$ , at which point we must have had  $p^{\dim(V_i)} \leq 2\alpha_i^{-2} \leq 2\alpha^{-2}$  (or else we could have continued). But we may assume that  $\alpha \geq \sqrt{2}p^{-n/4}$  (else we're done), whence  $p^{n-8\alpha^{-1}} \leq p^{n/2}$ , i.e.  $\frac{n}{2} \leq 8\alpha^{-1}$ , so  $\alpha \leq \frac{16}{n}$ , finishing the proof (in fact, we can now take  $C = 16 \log p$  as an explicit constant in the big O notation).  $\square$

So for  $A \subset \mathbb{F}_3^n$  containing no nontrivial 3-APs, we have  $|A| = O\left(\frac{3^n}{n}\right)$ . The largest known subset of  $\mathbb{F}_3^n$  containing no nontrivial 3-APs has size  $\geq (2.218)^n$ . (Proving  $2^n$  is trivial: take all combinations of zeroes and ones with no twos).

From now on, let  $G$  be a finite abelian group.  $G$  comes equipped with a set of **characters**, i.e. group homomorphisms  $\gamma : G \rightarrow \mathbb{C}^\times$ , which themselves form a group, denoted by  $\hat{G}$ , often referred to as the **dual** of  $G$ . It turns out that if  $G$  is finite and abelian, then  $\hat{\hat{G}} \cong G$ . For instance:

- If  $G = \mathbb{F}_p^n$ , then  $\hat{G} = \{\gamma_t : x \mapsto \omega^{x \cdot t} \mid t \in G\}$ .
- If  $G = \mathbb{Z}_p := \mathbb{Z}/p\mathbb{Z}$ , then  $\hat{G} = \{\gamma_t : x \mapsto \omega^{xt} \mid t \in G\}$ .

**Definition 1.18.** Given  $f : G \rightarrow \mathbb{C}$ , define its **Fourier transform**  $\hat{f} : \hat{G} \rightarrow \mathbb{C}$  by

$$\hat{f}(\gamma) = \mathbb{E}_{x \in G} f(x) \gamma(x) \quad \forall \gamma \in \hat{G}.$$

It is easy to verify that we have an inversion formula, given by

$$f(x) = \sum_{\gamma \in \hat{G}} \hat{f}(\gamma) \overline{\gamma(x)}.$$

We can also check that Definition 1.6 and 1.9, Examples 1.3 and 1.10 and Lemmas 1.5, 1.8 and 1.11 go through in this general context.

**Example 1.19.** Let  $p$  be a prime, let  $L \leq p-1$  be even and consider  $J = [-\frac{L}{2}, \frac{L}{2}] \subset \mathbb{Z}_p$ . Then  $\forall t \neq 0$ ,

$$|\hat{1}_J(t)| \leq \min \left\{ \frac{L+1}{p}, \frac{1}{2|t|} \right\}.$$

This is on Ex. Sheet 1.

**Theorem 1.20** (Roth's Theorem). Let  $A \subset [N] := \{1, 2, \dots, N\}$  be a set containing no non-trivial 3-APs. Then  $|A| = O\left(\frac{N}{\log \log N}\right)$ .

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**Lemma 1.21.** Let  $A \subset [N]$  be of density  $\alpha > 0$  satisfying  $N > 50\alpha^{-2}$  containing no nontrivial 3-APs. Let  $p$  be a prime in  $[\frac{N}{3}, \frac{2N}{3}]$  and write  $A' = A \cap [p] \subset \mathbb{Z}_p$ . Then either

- (i)  $\sup_{t \neq 0} |\hat{1}_{A'}(t)| \geq \frac{\alpha^2}{10}$  (where the Fourier coefficient is computed in  $\mathbb{Z}_p$ ); or
- (ii)  $\exists$  interval  $J \subset [N]$  of length  $\geq \frac{N}{3}$  such that  $|A \cap J| \geq \alpha(1 + \frac{\alpha}{400})|J|$ .

*Proof.* We may assume that  $|A'| = |A \cap [p]| \geq \alpha(1 - \frac{\alpha}{200})p$ , since otherwise  $|A \cap [p+1, N]| \geq \alpha N - \alpha(1 - \frac{\alpha}{200})p = \alpha(N-p) + \frac{\alpha^2 p}{200} \geq \alpha(1 + \frac{\alpha}{400})(N-p)$ , so case (ii) holds with  $J = [p+1, N]$ .

Let  $A'' = A' \cap [\frac{p}{3}, \frac{2p}{3}]$ . Note that all 3-APs of the form  $(x, x+d, x+2d) \in A' \times A'' \times A''$  are in fact proper APs in  $[N]$  (and not only in  $\mathbb{Z}_p$ , since there's no "wrapping around", since  $x+d, x+2d \in [\frac{p}{3}, \frac{2p}{3}]$ ).

If  $|A' \cap [p/3]|$  or  $|A' \cap [2p/3, p]|$  are at least  $\frac{2|A'|}{5}$ , then we are again in case (ii) (details left as exercise). Hence we may assume that  $|A''| \geq \frac{|A'|}{5}$ . Now as in Lemma 1.16 and Theorem 1.17 with  $\alpha' = |A'|/p, \alpha'' = |A''|/p$ ,

$$\frac{\alpha''}{p} = \frac{|A''|}{p^2} = T_3(1_{A'}, 1_{A''}, 1_{A''}) = \alpha' \cdot \alpha''^2 + \sum_{t \neq 0} \hat{1}_{A'}(t) \hat{1}_{A''}(t) \overline{\hat{1}_{2 \cdot A''}(t)},$$

so as before,

$$\begin{aligned} \left| \frac{\alpha''}{p} - \alpha' \alpha''^2 \right| &\leq \frac{\alpha' \cdot \alpha''^2}{2} \leq \sup_{t \neq 0} |\hat{1}_{A'}(t)| \cdot \alpha'' \\ \implies \sup |\hat{1}_{A'}(t)| &\geq \frac{\alpha' \cdot \alpha''}{2} \geq \frac{(\alpha')^2}{10} \end{aligned}$$

provided that  $\frac{\alpha''}{p} \leq \frac{\alpha'(\alpha'')^2}{2}$  which holds since (using  $p \geq \frac{N}{3}$  and  $N > 50\alpha^{-2}$ )

$$\alpha' \alpha'' p \geq \alpha' \alpha'' \frac{N}{3} > \frac{\alpha' \alpha''}{\alpha} \cdot 50 \geq \left( \frac{\alpha'}{\alpha} \right)^2 \cdot 10 = \left( 1 - \frac{\alpha}{200} \right)^2 \cdot 10 \geq 2,$$

where the last step holds for  $\alpha = 1$  and hence for any  $\alpha \leq 1$ .  $\square$

We first now convert the large Fourier coefficient into a density increment.

**Lemma 1.22.** Let  $m \in \mathbb{N}$  and let  $\phi : [m] \rightarrow \mathbb{Z}_p$  by  $x \mapsto xt$  for some nonzero  $t$ . Given  $\epsilon > 0$ , there exists a partition of  $[m]$  into progressions  $P_i$  of length  $\in [\epsilon\sqrt{m}/2, \epsilon\sqrt{m}]$  such that  $\text{diam}(\phi(P_i)) = \max_{x,y \in P_i} |\phi(x) - \phi(y)| \leq \epsilon p \forall i$ .

*Proof.* Set  $u = \lfloor \sqrt{m} \rfloor$  and consider  $0, t, 2t, \dots, ut$ . By pigeonhole, we can find  $0 \leq v < w \leq u$  such that  $|wt - vt| \leq \frac{p}{u}$ . Divide  $[m]$  into residue classes mod  $s$ , where  $s = w - v$  (so  $|st| \leq \frac{p}{u}$ ). Each of these has size at least  $\frac{m}{s} \geq \frac{m}{u}$ . But each

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residue class can be divided into progressions of the form  $a, a+s, a+2s, a+ds$  with  $\frac{\epsilon u}{2} < d \leq \epsilon u$ . The diameter of the image of each progression under  $\phi$  is  $|dst| \leq \epsilon p$ .  $\square$

**Lemma 1.23.** Let  $A \subset [N]$  be of density  $\alpha > 0$ . Let  $p$  be a prime in  $[\frac{N}{3}, \frac{2N}{3}]$  and write  $A' = A \cap [p]$  as a subset of  $\mathbb{Z}_p$ . Suppose  $\exists t \neq 0$  such that  $|\widehat{1_A}(t)| \geq \frac{\alpha^2}{10}$ . Then there exists a progression  $P$  of length at least  $\frac{\alpha^2 \sqrt{N}}{500}$  such that  $|A \cap P| \geq \alpha(1 + \frac{\alpha}{80})|P|$ .

*Proof.* Let  $\epsilon = \frac{\alpha^2}{40\pi}$  and use Lemma 1.22 to partition  $[p]$  into progressions  $P_i$  of length at least  $\frac{\epsilon \sqrt{p}}{2} \geq \frac{\alpha^2}{40\pi} \sqrt{\frac{N}{3}} \cdot \frac{1}{2} \geq \alpha^2 \sqrt{N} \cdot \frac{1}{500}$  and  $\text{diam}(\phi(P_i)) \leq \epsilon p$ . Fix one  $x_i$  from each  $P_i$ . Now work with the balanced function: since  $t \neq 0$ , the Fourier coefficient at  $t$  is the same for the indicator function and the balanced function.

$$\begin{aligned} \frac{\alpha^2}{10} &\leq |\widehat{f_{A'}}(t)| = \frac{1}{p} \left| \sum_{x \in \mathbb{Z}_p} f_{A'}(x) \omega^{xt} \right| = \frac{1}{p} \left| \sum_i \sum_{x \in P_i} f_{A'}(x) \omega^{xt} \right| \\ &= \frac{1}{p} \left| \sum_i \sum_{x \in P_i} f_{A'}(x) \omega^{x_i t} + \sum_i \sum_{x \in P_i} f_{A'}(x) (\omega^{xt} - \omega^{x_i t}) \right| \\ &\leq \frac{1}{p} \sum_i \left| \sum_{x \in P_i} f_{A'}(x) \right| + \frac{1}{p} \sum_i \sum_{x \in P_i} |f_{A'}(x)| 2\pi\epsilon \\ &\leq \frac{1}{p} \sum_i \left| \sum_{x \in P_i} f_{A'}(x) \right| + \frac{\alpha^2}{20} \end{aligned}$$

since  $|t(x_i - x)| \leq \epsilon p \forall x \in P_i$ . Hence

$$\frac{1}{p} \sum_i \left| \sum_{x \in P_i} f_{A'}(x) \right| \geq \frac{\alpha^2}{20}.$$

Since  $f_{A'}$  has mean zero,

$$\sum_i \left( \left| \sum_{x \in P_i} f_{A'}(x) \right| + \sum_{x \in P_i} f_{A'}(x) \right) \geq \frac{\alpha^2 p}{20},$$

so  $\exists i$  such that  $|\sum_{x \in P_i} f_{A'}(x)| + \sum_{x \in P_i} f_{A'}(x) \geq \frac{\alpha^2 |P_i|}{40}$  and so

$$\sum_{x \in P_i} f_{A'}(x) \geq \frac{\alpha^2 |P_i|}{80}.$$

$\square$

This is about as technical as we get in this course.

*Proof of Roth's Theorem, theorem 1.20.* This is on Ex. Sheet 1.  $\square$

**Example 1.24** (Behrend's example). There exists a set  $A \subset [N]$  containing no nontrivial 3-APs of size  $|A| \geq C \exp(-c\sqrt{\log N}) N$ , where  $c$  and  $C$  are absolute constants. This is again on Ex. Sheet 1.

**Definition 1.25.** Let  $\Gamma \subset \widehat{G}$  and  $\rho > 0$ . By the **Bohr set**, written  $B(\Gamma, \rho)$ , we mean

$$B(\Gamma, \rho) = \{x \in G \mid |\gamma(x) - 1| \leq \rho \ \forall \gamma \in \Gamma\}.$$

We call  $|\Gamma|$  the **rank** and  $\rho$  the **radius** of the Bohr set.

**Example 1.26.** When  $G = \mathbb{F}_p^n$  and  $p = 3$ , we have  $B(\Gamma, \rho) = \langle \Gamma \rangle^\perp \ \forall \rho < 1$  (draw a picture!). For larger  $p$ , the same holds for smaller  $\rho$ .

**Lemma 1.27.** Let  $\Gamma \subset \widehat{G}$  be of size  $d$  and let  $\rho > 0$ . Then  $|B(\Gamma, \rho)| \geq \left(\frac{\rho}{2\pi}\right)^d |G|$ .

*Proof.* This is on Ex. Sheet 2.  $\square$

**Lemma 1.28** (Bogolyubov's lemma, again). Given  $A \subset \mathbb{Z}_p$  of density  $\alpha > 0$ ,  $\exists \Gamma \subset \widehat{\mathbb{Z}_p}$  of size at most  $2\alpha^{-2}$  such that  $B(\Gamma, \frac{1}{2}) \subset A + A - A - A$ .

*Proof.* Recall  $1_A * 1_A * 1_{-A} * 1_{-A}(x) = \sum_{t \in \widehat{\mathbb{Z}_p}} \left| \widehat{1_A}(t) \right|^4 \omega^{-xt}$ . Let  $\Gamma = \text{Spec}_{\sqrt{\frac{\alpha}{2}}}(1_A)$  and note that for all  $x \in B(\Gamma, \frac{1}{2})$  and  $t \in \Gamma$ ,  $\cos(2\pi xt/p) > 0$ . Hence

$$\begin{aligned} \text{Re} \left( \sum_{t \in \widehat{\mathbb{Z}_p}} \left| \widehat{1_A}(t) \right|^4 \omega^{-xt} \right) &= \underbrace{\sum_{t \in \Gamma} \left| \widehat{1_A}(t) \right|^4 \cos(2\pi xt/p)}_{\geq \alpha^4} + \\ &\quad \underbrace{\sum_{t \notin \Gamma} \left| \widehat{1_A}(t) \right|^4 \cos(2\pi xt/p)}_{\text{in absolute value } \leq \sup_{t \notin \Gamma} |\widehat{1_A}(t)|^2 \sum |\widehat{1_A}(t)|^2 \leq \left(\sqrt{\frac{\alpha}{2}} \cdot \alpha\right)^2 \cdot \alpha = \frac{\alpha^4}{2}}. \end{aligned}$$

$\square$

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## 2 Combinatorial methods

For now, let  $G$  be an abelian group. Given  $A, B \subset G$ . We defined  $A + B = \{a + b \mid a \in A, b \in B\}$  and can define  $A - B = \{a - b \mid a \in A, b \in B\}$ . If  $A$  and  $B$  are finite, then

$$\max(|A|, |B|) \leq |A \pm B| \leq |A| |B|$$

(and better bounds are available in certain settings).

**Example 2.1.** Let  $V \leq \mathbb{F}_p^n$  be a subspace. Then  $V + V = V$ , so  $|V + V| = |V|$ . In fact, if  $A \subset \mathbb{F}_p^n$  is such that  $|A + A| = |A|$ , then  $A$  must be a coset of a subspace.

**Example 2.2.** Let  $A \subset \mathbb{F}_p^n$  be such that  $|A + A| < \frac{3}{2} |A|$ . Then  $\exists V \leq \mathbb{F}_p^n$  such that  $A \subset V$  and  $|V| < \frac{3}{2} |A|$ . This is on Ex. Sheet 2.

**Example 2.3.** Let  $A \subset \mathbb{F}_p^n$  be a set of linearly independent vectors. Then  $A + A$  has size  $\binom{|A|}{2}$ . However,  $|A| \leq n$ , which is a small set.

Let  $A \subset \mathbb{F}_p^n$  be a set chosen randomly with probability  $p^{-\theta n}$  with  $\theta \in (\frac{1}{2}, 1]$ . Then with high probability,  $|A + A| = (1 - o(1)) \frac{|A|^2}{2}$ .

**Definition 2.4.** Given finite sets  $A, B \subset G$ , we define the **Rusza distance**  $d(A, B)$  between  $A$  and  $B$  by

$$d(A, B) = \log \frac{|A - B|}{\sqrt{|A||B|}}.$$

Observe that  $d(A, B)$  is nonnegative and symmetric.

**Lemma 2.5** (Rusza's triangle inequality). Given finite sets  $A, B, C$ , we have

$$d(A, C) \leq d(A, B) + d(B, C).$$

*Proof.* Observe that  $|B||A - C| \leq |A - B||B - C|$ . Indeed, writing each  $d \in A - C$  as  $d = a_d - c_d$  for some  $a_d \in A, c_d \in C$ , the map

$$\begin{aligned} \phi : B \times (A - C) &\rightarrow (A - B) \times (B - C) \\ (b, d) &\mapsto (a_d - b) \times (b - c_d) \end{aligned}$$

is injective (easy exercise). The triangle inequality now follows from the definition of the Rusza distance.  $\square$

**Definition 2.6.** Given a finite set  $A \subset G$ , we write  $\sigma(A) = \frac{|A+A|}{|A|}$  for the **doubling constant** and  $\delta(A) = \frac{|A-A|}{|A|}$  for the **difference constant**.

Then by Lemma 2.5,

$$\log \delta(A) = d(A, A) \leq d(A, -A) + d(A, -A) = 2 \log \sigma(A),$$

so  $\delta(A) \leq \sigma(A)^2$ , i.e.  $|A - A| \leq \frac{|A+A|^2}{|A|}$ .

**Notation.** Given  $A \subset G$  and  $l, m \in \mathbb{Z}_{\geq 0}$ , write  $lA - mA$  for the set

$$\underbrace{A + A + \dots + A}_{l \text{ times}} - \underbrace{A - A - \dots - A}_{m \text{ times}}.$$

**Theorem 2.7** (Plünnecke's inequality). Let  $A, B \subset G$  be finite sets such that  $|A + B| \leq K |A|$  for some  $K > 0$ . Then for any  $l, m \in \mathbb{Z}_{\geq 0}$ ,

$$|lB - mB| \leq K^{l+m} |A|.$$

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*Proof.* WLOG assume that  $|A + B| = K |A|$ . Choose a nonempty subset  $A' \subset A$  such that the ratio  $\frac{|A' + B|}{|A'|}$  is minimized, and call this ratio  $K'$ . Then  $|A' + B| = K' |A'|$ ,  $K' \leq K$  and  $|A'' + B| \geq K' |A''| \ \forall A'' \subset A$ .

**Claim.** For any finite  $C \subset G$ ,  $|A' + B + C| \leq K' |A' + C|$ .

We first finish the proof assuming this claim, and then prove it. We first show that  $|A' + mB| \leq (K')^m |A'| \ \forall m \in \mathbb{Z}_{\geq 0}$ . The cases  $m = 0$  and  $m = 1$  are clear. Now suppose that  $m > 1$  and the result holds for  $m - 1$ . By the claim with  $C = (m - 1)B$ ,

$$|A' + mB| = |A' + B + (m - 1)B| \leq K' |A' + (m - 1)B| \leq K' \cdot (K')^{m-1} |A'|.$$

But as in the proof of Rusza's triangle inequality,

$$\begin{aligned} |A'| |lB - mB| &\leq |A' + lB| |A' + mB| \leq (K')^l |A'| (K')^m |A'| \\ \implies |lB - mB| &\leq (K')^{l+m} |A'| \leq K^{l+m} |A|. \end{aligned}$$

Finally, we prove the claim by induction on  $|C|$ . For  $|C| = 1$ , we are just translating sets, so the claim holds. Now suppose the claim holds for some  $|C|$  and consider  $C' = C \cup \{x\}$  for some  $x \notin C$ . Observe

$$A' + B + C' = (A' + B + C) \cup (A' + B + x)$$

and in fact

$$A' + B + C' = (A' + B + C) \cup ((A' + B + x) \setminus (D + B + x))$$

where  $D = \{a \in A' \mid a + B + x \subset A' + B + C'\}$ . By the definition of  $K$ ,  $|D + B| \geq K' |D|$ , so

$$\begin{aligned} |A' + B + C'| &\leq |A' + B + C| + |(A' + B + x) \setminus (D + B + x)| \\ &\leq |A' + B + C| + |A' + B| - |D + B| \\ &\leq K' |A' + C| + K' |A'| - K' |D| \\ &= K' (|A' + C| + |A'| - |D|). \end{aligned}$$

Now apply the same argument again for  $A' + C' = (A' + C) \sqcup ((A' + x) \setminus (E + x))$ , where  $E = \{a \in A' \mid a + x \in A' + C\} \subset D$ . Notice that the union is disjoint in

this case. We conclude that

$$\begin{aligned} |A' + C'| &= |A' + C| + |A'| - |E| \geq |A' + C| + |A'| - |D| \\ \implies |A' + B + C'| &\leq K'(|A' + C| + |A'| - |D|) \leq K'|A' + C'|, \end{aligned}$$

proving the claim and hence the proof.  $\square$

We are now in a position to generalize Example 2.2.

**Theorem 2.8** (Freiman–Rusza theorem). Let  $A \subset \mathbb{F}_p^n$  be such that  $|A + A| \leq K|A|$  (i.e.  $\sigma(A) = K$ ) for some  $K > 0$ . Then  $A$  is contained in a coset of a subspace  $H \leq \mathbb{F}_p^n$  of size  $|H| \leq K^2 p^{K^4} |A|$ .

*Proof.* Choose maximal  $X \subset 2A - A$  such that the translates  $x + A$  for  $x \in X$  are disjoint.  $X$  cannot be too large:  $\forall x \in X, x + A \subset 3A - A$  and by Plünnecke,  $|3A - A| \leq K^4 |A|$ . But the translates  $x + A$  for  $x \in X$  are disjoint and each of size  $|A|$ , so

$$|X| |A| = \left| \bigcup_{x \in X} (x + A) \right| \leq |3A - A| \leq K^4 |A|,$$

hence  $|X| \leq K^4$ . We next show that  $2A - A \stackrel{(*)}{\subset} X + A - A$ . Indeed, if  $y \in 2A - A$  and  $y \notin X$ , then  $(y + A) \cap (x + A) \neq \emptyset$  for some  $x \in X$  by maximality of  $X$ , so  $y \in X + A - A$ . If  $y \in X$ , then trivially  $y \in X + A - A$ . It follows by induction from  $(*)$  that for all  $l \geq 2$ ,

$$lA - A \stackrel{(**)}{\subset} (l-1)X + A - A,$$

since using the induction hypothesis,

$$\begin{aligned} lA - A &= A + (l-1)A - A \stackrel{\text{hyp}}{\subset} A + (l-2)X + A - A \\ &= (l-2)X + 2A - A \stackrel{(*)}{\subset} (l-2)X + X + (A - A) = (l-1)X + A - A. \end{aligned}$$

Now let  $H$  be the subgroup of  $\mathbb{F}_p^n$  generated by  $A$ , which we can write in the form  $H = \bigcup_{l \geq 1} (lA - A) \stackrel{(**)}{\subset} Y + A - A$ , where  $Y$  is the subgroup generated by  $X$ . Then  $|Y| \leq p^{|X|} \leq p^{K^4}$ , so

$$|H| \leq |Y + A - A| \leq |Y| |A - A| \leq p^{K^4} K^2 |A|.$$

$\square$

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**Example 2.9.** This example shows that we need a constant that is exponential in  $K$  in the previous result. Let  $A = H \cup R \subset \mathbb{F}_p^n$  where  $H \leq \mathbb{F}_p^n$  is a subspace of

dimension  $d$  with  $K \ll d \ll n - K$ , and  $R$  consists of  $K - 1$  linearly independent vectors in the complementary subspace of  $H$ . Then  $|A| = |H \cup R| \approx |H|$  and

$$|A + A| = |(H \cup R) + (H \cup R)| = |(H + H) \cup (H + R) \cup (R + R)| \approx K |H| \approx K |A|$$

since  $H + H = H$  and  $H + R$  gives us  $K - 1$  cosets of  $H$ , while  $R + R$  has tiny size.

However, a subspace  $V \leq \mathbb{F}_p^n$  containing  $A$  must have size  $\geq p^{d+(K-1)} = |H| \cdot p^{K-1} \approx |A| \cdot p^{K-1}$ , where the constant is exponential in  $K$ .

**Conjecture 2.10** (Polynomial Freiman–Rusza). Let  $A \subset \mathbb{F}_p^n$  be such that  $|A + A| \leq K |A|$ . Then there is a subspace  $H \leq \mathbb{F}_p^n$  of size at most  $C_1(K) |A|$  such that for some  $x \in \mathbb{F}_p^n$ ,

$$|A \cap (x + H)| \geq \frac{|A|}{C_2(K)}$$

where  $C_1(K)$  and  $C_2(K)$  are polynomials in  $K$ . For  $p = 2$ , this is now a theorem since November 2023 (by Gowers, Green, Manners, Tao).

**Definition 2.11.** Given an abelian group  $G$  and finite sets  $A, B \subset G$ , define the **additive energy** between  $A$  and  $B$  to be

$$E(A, B) = \frac{\#\{(a, a', b, b') \in A \times A \times B \times B \mid a + b = a' + b'\}}{|A|^{3/2} |B|^{3/2}}.$$

We refer to quadruples  $(a, a', b, b') \in A^2 \times B^2$  such that  $a + b = a' + b'$  as **additive quadruples**.

Observe that if  $G$  is finite and abelian, then

$$|A|^3 E(A, A) = |G|^3 \mathbb{E}_{x+y=z+w} 1_A(x) 1_A(y) 1_A(z) 1_A(w) \stackrel{(\star)}{=} |G|^3 \|\widehat{1_A}\|_4^4$$

where  $(\star)$  follows from Ex. Sheet 1, Q3.

**Example 2.12.** When  $V \leq \mathbb{F}_p^n$ , then  $E(V, V) = 1$ , i.e. the additive energy achieves its maximum. Exercise on Ex. Sheet 2: think of an example where the additive energy is small.

**Lemma 2.13.** Let  $G$  be abelian and let  $A, B \subset G$  be finite. Then

$$E(A, B) \geq \frac{\sqrt{|A| |B|}}{|A + B|}.$$

*Proof.* Note that for some  $x$  in  $G$ ,

$$|A|^{3/2} |B|^{3/2} E(A, B) = \#\{(a, a', b, b') \in A \times A \times B \times B \mid a + b = a' + b'\} = \sum_{x \in G} r_{A+B}(x)^2,$$

where  $r_{A+B}(x) = \#\text{ways of writing } x = a + b \text{ with } a \in A, b \in B$ . Observe that

$$\sum_{x \in G} r_{A+B}(x) = |A| |B|,$$

so

$$|A|^{3/2} |B|^{3/2} E(A, B) = \sum_{x \in G} r_{A+B}(x)^2 \geq \frac{(\sum_{x \in G} r_{A+B}(x))^2}{\sum_{x \in G} 1_{A+B}(x)^2} = \frac{(|A| |B|)^2}{|A+B|}$$

using Cauchy–Schwarz and the fact that we’re only summing over  $x \in G$  that are in  $A+B$ .  $\square$

In particular, if  $A \subset G$  such that  $|A+A| \leq K|A|$ , then  $E(A) \geq \frac{1}{K}$ . The converse is not true.

**Remark.** The same proof goes through for  $A-B$  instead of  $A+B$ .

**Example 2.14.** Let  $G$  be our favorite abelian group (really our favorite class of abelian groups, e.g.  $\mathbb{Z}_p$  for  $p$  running over primes). Then there exist constants  $\eta, \theta > 0$  such that for all sufficiently large  $n$ , there exists  $A \subset G$  with  $|A| = n$  satisfying  $E(A, A) \geq \eta$  and  $|A+A| \geq \theta |A|^2$ . This is on Ex. Sheet 2.

**Theorem 2.15** (Balog–Szemerédi–Gowers). Let  $G$  be an abelian group and let  $A \subset G$  be finite such that  $E(A, A) \geq \eta$  for some  $\eta > 0$ . Then  $\exists A' \subset A$  of size at least  $c(\eta) |A|$  such that

$$|A' + A'| \leq C(\eta) |A'|.$$

Furthermore, here  $c(\eta)$  and  $C(\eta)$  are polynomials in  $\eta$ .

We first prove a technical lemma using a method called “dependent random choice”.

**Lemma 2.16.** Let  $A_1, A_2, \dots, A_m \subset [n]$  and suppose  $\sum_{i,j \in [m]} |A_i \cap A_j| \geq \delta^2 n m^2$ . Then there exists  $X \subset [m]$  of size at least  $\frac{\delta^5 m}{\sqrt{2}}$  such that  $|A_i \cap A_j| \geq \frac{\delta^2 n}{2}$  for at least 90% of the pairs  $(i, j) \in X^2$ .

*Proof.* First choose  $x_1, x_2, x_3, x_4, x_5$  at random from  $[n]$ , and then define the set  $X = \{i \in [m] \mid x_j \in A_i \ \forall j \in [5]\}$ . Observe that if  $|A_i \cap A_j| = \gamma n$ , then



$\mathbb{P}((i, j) \in X^2) = \gamma^5$ , and hence (by convexity or Hölder<sup>3</sup>)

$$\mathbb{E}|X^2| = \sum_{i,j} \mathbb{P}((i, j) \in X^2) \geq \delta^{10} m^2.$$

Call a pair  $(i, j)$  "bad" if  $|A_i \cap A_j| < \frac{\delta^2 n}{2}$ . As before,

$$\mathbb{E}(\# \text{bad pairs in } X^2) \leq \frac{\delta^{10}}{2^5} m^2.$$

Hence  $\mathbb{E}(|X^2| - 16 \cdot \# \text{bad pairs in } X^2) = \frac{\delta^{10}}{2} m^2$ , so there must be a choice of  $x_1, x_2, \dots, x_5$  such that  $|X| \geq \frac{\delta^5 m}{\sqrt{2}}$  and the proportion of bad pairs in  $X$  is at most  $\frac{1}{16} < 10\%$ .  $\square$

*Proof of Theorem 2.15.* We call a difference  $d$  "popular" if  $d$  can be written as  $d = x - y$  with  $x, y \in A$  in at least  $\eta|A|/2$  ways, i.e.  $r_{A-A}(d) \geq \eta|A|/2$ . There must be at least  $\eta|A|/2$  popular differences, for if not, we get a contradiction through

$$\begin{aligned} \eta|A|^3 &\leq \sum_d r_{A-A}(d)^2 = \sum_{d \text{ popular}} r_{A-A}(d)^2 + \sum_{d \text{ not popular}} r_{A-A}(d)^2 \\ &< \eta \frac{|A|}{2} |A|^2 + \eta \frac{|A|}{2} \sum_d r_{A-A}(d) \\ &\leq \eta \frac{|A|}{2} |A|^2 + \eta \frac{|A|}{2} |A|^2. \end{aligned}$$

where the first inequality comes from the proof of Lemma 2.13.

Define a graph with vertex set  $A$ , joining  $x$  and  $y$  by an edge if  $y - x$  is a popular difference. Then

$$\mathbb{E}_{x \in A} |N(x)| = \frac{1}{|A|} \sum_{x \in A} |N(x)| = \frac{1}{|A|} |\{(x, y) \in A^2 \mid x \sim y\}| \geq \frac{1}{|A|} (\eta|A|/2)^2 = \eta^2 |A|/4$$

since there are at least  $\eta|A|/2$  popular differences and each of them can be written as  $x - y$  with  $x, y \in A$  in at least  $\eta|A|/2$  ways. We also have

$$\mathbb{E}_{x, y \in A} |N(x) \cap N(y)| \geq \frac{\eta^2 |A|}{4}.$$

---

<sup>3</sup>For this, note that the expectation sums over the  $\gamma_{i,j}$  above, and  $\sum_{i,j} \gamma_{i,j} = m^2 \delta^2$ , so Hölder gives  $(m^2)^4 (\gamma_{1,1}^5 + \dots + \gamma_{m,m}^5) \geq (\gamma_{1,1} + \dots + \gamma_{m,m})^5 = \delta^{10} m^{10}$ .

Indeed, by Cauchy–Schwarz,

$$\begin{aligned} \mathbb{E}_{x,y \in A} |N(x) \cap N(y)| &= \mathbb{E}_{x,y \in A} \sum_{z \in A} 1_{N(x)}(z) 1_{N(y)}(z) = \sum_{z \in A} (\mathbb{E}_{x \in A} 1_{N(x)}(z))^2 \\ &\geq \frac{1}{|A|} \left( \sum_{z \in A} \mathbb{E}_{x \in A} 1_{N(x)}(z) \right)^2 = \frac{1}{|A|} (\mathbb{E}_{x \in A} |N(x)|)^2 \geq \frac{1}{|A|} \left( \frac{\eta^2 |A|}{4} \right)^2 = \frac{\eta^4 |A|}{16}. \end{aligned}$$

We apply Lemma 2.16 with  $m = n = |A|$  and  $\delta = \frac{\eta^2}{4}$  to find a subset  $A' \subset A$  of size  $\geq \eta^{10} \frac{|A|}{2^{11}}$  with the property that  $|N(x) \cap N(y)| \geq \frac{\eta^4 |A|}{32}$  for at least 90% of  $(x, y) \in A'^2$ . But then for at least 10% of  $x \in A'$ ,  $|N(x) \cap N(y)| \geq \frac{\eta^4 |A|}{32}$  for at least 80% of  $y \in A'$ . Hence  $\exists A'' \subset A'$  of size  $\geq \frac{\eta^{10} |A|}{2^{15}}$  such that  $\forall x \in A''$ , at least 80% of  $z \in A'$  satisfy  $|N(x) \cap N(z)| \geq \frac{\eta^4 |A|}{32}$ .

In particular, if  $x, y \in A''$ , then there are at least  $\frac{\eta^{10} |A|}{2^{12}}$  values of  $z \in A'$  such that  $|N(x) \cap N(z)| \geq \frac{\eta^4 |A|}{32}$  and  $|N(y) \cap N(z)| \geq \frac{\eta^4 |A|}{32}$ .

We shall now prove an upper bound of  $|A'' - A''|$  by showing that each element of  $A'' - A''$  can be written as a linear combination of distinct octuples from  $A$ .

For each such  $z$ , there are thus  $\geq \left( \frac{\eta^4 |A|}{32} \right)^2$  pairs  $(u, v)$  such that  $u \in N(x) \cap N(z)$  and  $v \in N(y) \cap N(z)$ . For each such pair  $(u, v)$ , the elements  $u - x, z - u, v - z, y - v$  are all popular differences. Hence, for each pair  $(u, v)$ , there are at least  $\left( \frac{\eta |A|}{2} \right)^4$  octuples  $(a_1, a_2, \dots, a_8) \in A^8$  such that

$$u - x = a_2 - a_1, \quad z - u = a_4 - a_3, \quad v - z = a_6 - a_5, \quad y - v = a_8 - a_7.$$

In other words, there are at least

$$\underbrace{\left( \frac{\eta^{10} |A|}{2^{12}} \right)}_z \underbrace{\left( \frac{\eta^4 |A|}{32} \right)^2}_{u,v} \underbrace{\left( \frac{\eta |A|}{2} \right)^4}_{(a_1, \dots, a_8)} = \frac{\eta^{22}}{2^{26}} |A|^7$$

octuples  $(a_1, \dots, a_8) \in A^8$  such that

$$\begin{aligned} y - x &= (u - x) + (z - u) + (v - z) + (y - v) \\ &= a_2 - a_1 + a_4 - a_3 + a_6 - a_5 + a_8 - a_7. \end{aligned}$$

But distinct  $y - x$  give rise to distinct octuples, so

$$\begin{aligned} \frac{\eta^{22}}{2^{26}} |A|^7 \cdot |A'' - A''| &\leq |A|^8 \\ \implies |A'' - A''| &\leq 2^{26} \eta^{-22} |A| \leq 2^{41} \eta^{-32} |A''| \end{aligned}$$

(and  $|A'' + A''|$  follows from Plünnecke, we get  $|A'' + A''| \leq 2^{82} \eta^{-64} |A''|$ ).  $\square$

### 3 Probabilistic tools

**Remark.** Assume in this chapter that all our probability spaces are finite, so we don't need to worry about convergence issues. Also, it is later stated that the proofs of these inequalities are nonexaminable, but we are expected to be able to state and apply them.

**Proposition 3.1** (Khintchine's inequality). Let  $X_1, X_2, \dots, X_n$  be independent random variables taking values  $\pm x_i \in \mathbb{C}$  with probability  $\frac{1}{2} \forall i = 1, \dots, n$ . Then  $\forall p \in [2, \infty)$ ,

$$\mathbb{E} \left[ \left| \sum_{i=1}^n X_i \right|^p \right]^{1/p} := \left\| \sum_{i=1}^n X_i \right\|_{L^p(\mathbb{P})} = O \left( p^{1/2} \left( \sum_{i=1}^n \|X_i\|_{L^2(\mathbb{P})}^2 \right)^{1/2} \right)$$

**Remark.** Note that here  $\|X\|_p$  for random variables  $X$  will refer to its  $p^{\text{th}}$  moment – in particular, this involves expectations (i.e. the LHS above is actually an expected value of a random variable).

*Proof.* By nesting of norms, it suffices to prove the case  $p = 2k$  with  $k \in \mathbb{N}$ . For simplicity, write  $X = \sum_{i=1}^n X_i$  and WLOG assume that  $\sum_{i=1}^n \|X_i\|_{L^2(\mathbb{P})}^2 = \sum_{i=1}^n \|X_i\|_2^2 = 1$ . By Chernoff (Example 1.3), which states that  $\forall \theta > 0$ ,

$$\mathbb{P}(|X| \geq \theta) \leq 4 \exp(-\theta^2/4),$$

we have (from the alternative expectation formula which we can by integrating by parts)

$$\|X\|_{2k}^{2k} = \int_0^\infty 2kt^{2k-1} \mathbb{P}(|X| \geq t) dt \leq 8k \underbrace{\int_0^\infty t^{2k-1} \exp(-t^2/4) dt}_{:= I(k)}.$$

We shall prove by induction that  $I(k) \leq C^{2k} (2k)^k / 4k$  for some constant  $C > 0$ .

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For  $k = 1$ ,

$$\int_0^\infty t \exp(-t^2/4) dt = [-2 \exp(-t^2/4)]_0^\infty = 2 \leq C^2 \frac{2}{4}$$

for  $C \geq 2$ . For  $k > 1$ , we have

$$\begin{aligned} I(k) &= \int_0^\infty t^{2k-2} \cdot t \exp(-t^2/4) dt \\ &= [t^{2k-2}(-2) \exp(-t^2/4)]_0^\infty - \int_0^\infty (2k-2)t^{2k-3}(-2) \exp(-t^2/4) dt \\ &= 4(k-1) \int_0^\infty t^{2(k-1)-1} \exp(-t^2/4) dt \\ &= 4(k-1)I(k-1) \\ &\leq 4(k-1)C^{2(k-1)} \frac{(2(k-1))^{k-1}}{4(k-1)} \\ &\leq C^{2k} \frac{(2k)^k}{4k} \end{aligned}$$

for some  $C$ , where  $C \geq \sqrt{2}$  works, so  $C = 2$  works for our proof.  $\square$

**Corollary 3.2** (Rudin's inequality). Let  $\Lambda \subset \widehat{\mathbb{F}_2^n}$  be a linearly independent set and let  $p \in [2, \infty)$ . Then  $\forall \hat{f} \in \ell^2(\Lambda)$ , i.e.  $\hat{f} : \Lambda \rightarrow \mathbb{C}$ ,

$$\left\| \sum_{\gamma \in \Lambda} \hat{f}(\gamma) \gamma \right\|_{L^p(\mathbb{F}_2^n)} = O\left(\sqrt{p} \|\hat{f}\|_{\ell^2(\Lambda)}\right)$$

**Remark.** Note that here the LHS uses  $L^p$  for the normalized counting measure (i.e.  $\mathbb{E}$ ), while the RHS uses  $\ell^2$  for the counting measure (i.e.  $\sum$ ). In other words, these are the same, except one is normalized.

**Corollary 3.3** (Dual form of Rudin's inequality). Let  $\Lambda \subset \widehat{\mathbb{F}_2^n}$  be linearly independent and let  $p \in (1, 2]$ . Then  $\forall f \in L^p(\mathbb{F}_2^n)$ ,

$$\|\hat{f}\|_{\ell^2(\Lambda)} = O\left(\sqrt{\frac{p}{p-1}} \|f\|_{L^p(\mathbb{F}_2^n)}\right).$$

*Proof.* Let  $f \in L^p(\mathbb{F}_2^n)$  and write  $g = \sum_{\gamma \in \Lambda} \hat{f}(\gamma) \gamma$ . Then, as  $g$  has the same Fourier coefficients as  $f$ ,

$$\|\hat{f}\|_{\ell^2(\Lambda)}^2 = \sum_{\gamma \in \Lambda} \left| \hat{f}(\gamma) \right|^2 = \sum_{\gamma \in \Lambda} \hat{f}(\gamma) \overline{\hat{f}(\gamma)} = \langle \hat{f}, \hat{f} \rangle_{\ell^2(\mathbb{F}_2^n)} = \langle f, g \rangle_{L^2(\mathbb{F}_2^n)},$$

but by Hölder,  $\langle f, g \rangle_{L^2(\mathbb{F}_2^n)} \leq \|f\|_{L^p(\mathbb{F}_2^n)} \|g\|_{L^{p'}(\mathbb{F}_2^n)}$ , where  $\frac{1}{p} + \frac{1}{p'} = 1$ . By Rudin's

inequality for  $p' = \frac{p}{p-1}$ , we get

$$\|g\|_{L^{p'}(\mathbb{F}_2^n)} = O\left(\sqrt{p'}\|\hat{g}\|_{\ell^2(\Lambda)}\right) = O\left(\sqrt{\frac{p}{p-1}}\|\hat{f}\|_{\ell^2(\Lambda)}\right),$$

so

$$\begin{aligned} \|\hat{f}\|_{\ell^2(\Lambda)}^2 &= \|f\|_{L^p(\mathbb{F}_2^n)}^2 O\left(\sqrt{\frac{p}{p-1}}\|\hat{f}\|_{\ell^2(\Lambda)}\right) \\ \implies \|\hat{f}\|_{\ell^2(\Lambda)} &= O\left(\sqrt{\frac{p}{p-1}}\|f\|_{L^p(\mathbb{F}_2^n)}\right). \quad \square \end{aligned}$$

Recall that given  $A \subset \mathbb{F}_2^n$  of density  $\alpha > 0$ ,  $|\text{Spec}_\rho(1_A)| \leq \rho^{-2}\alpha^{-1}$ . This is the best possible, as the example of a subspace  $H \leq \mathbb{F}_2^n$  shows  $\text{Spec}_1(1_H) = H^\perp$ , so  $|\text{Spec}_1(1_H)| = |H^\perp| = \frac{|\mathbb{F}_2^n|}{|H|} = \left(\frac{|H|}{|\mathbb{F}_2^n|}\right)^{-1} = \alpha^{-1}$ .

**Theorem 3.4** (Special case of Chang's theorem). Let  $A \subset \mathbb{F}_2^n$  with density  $\alpha > 0$ . Then  $\forall \rho > 0$ , there exists a subspace  $H \leq \mathbb{F}_2^n$  of dimension at most  $O(\rho^{-2} \log \alpha^{-1})$  such that  $\text{Spec}_\rho(1_A) \subset H$ .

*Proof.* Let  $\Lambda \subset \text{Spec}_\rho(1_A)$  be a maximal linearly independent subset of  $\text{Spec}_\rho(1_A)$  and let  $H = \langle \text{Spec}_\rho(1_A) \rangle$ . Then  $\dim(H) = |\Lambda|$ . By dual Rudin (Corollary 3.3),  $\forall p \in (1, 2]$ ,

$$(\rho\alpha)^2 |\Lambda| \leq \sum_{\gamma \in \Lambda} \left| \widehat{1_A}(\gamma) \right|^2 = \|\widehat{1_A}\|_{\ell^2(\Lambda)}^2 = O\left(\frac{p}{p-1} \|1_A\|_{L^p(\mathbb{F}_2^n)}^2\right).$$

We can explicitly compute

$$\|1_A\|_{L^p(\mathbb{F}_2^n)}^2 = (\mathbb{E}_y |1_A(y)|^p)^{2/p} = \alpha^{2/p}.$$

Thus  $|\Lambda| \leq \rho^{-2}\alpha^{-2} O\left(\frac{p}{p-1}\alpha^{2/p}\right)$ . We want to choose  $p$  very close to 1, so choose  $p = 1 + (\log \alpha^{-1})^{-1}$  to conclude that

$$|\Lambda| \leq O(\rho^{-2} \log \alpha^{-1})$$

(calculation details omitted). □

**Theorem 3.5** (Chang's Theorem). Let  $G$  be a finite abelian group and let  $A \subset G$  have density  $\alpha > 0$ . If  $\Lambda \subset \text{Spec}_\rho(1_A)$  is dissociated, then  $|\Lambda| = O(\rho^{-2} \log \alpha^{-1})$ .

**Remark.** A set is said to be **dissociated** if we can't make its elements add up to zero by only using a linear combination containing  $\{-1, 0, 1\}$ .

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*Proof.* On Example Sheet 3. □

**Remark.** Last lecture, we wrote  $f \in L^p(G)$  to mean that  $f$  is a function on  $G$  with bounded  $L^p$ -norm and then said  $\|f\|_{L^p(G)} = (\mathbb{E}_{x \in G} |f(x)|^p)^{1/p}$ . Since we assumed that our groups are finite, the condition "with bounded  $L^p$ -norm" is unnecessary here, but we keep it as it is in line with the usual notation. We also said that  $\hat{f} \in \ell^2(\Lambda)$  if  $\hat{f}$  is a function supported on  $\Lambda \subset \widehat{G}$  with bounded  $\ell^2$ -norm:  $\|\hat{f}\|_{\ell^2(\Lambda)} = \left( \sum_{\gamma \in \Lambda} |\hat{f}(\gamma)|^2 \right)^{1/2}$ . Finally,  $X \in L^p(\mathbb{P})$  means that the random variable  $X$  has bounded  $p^{\text{th}}$  moment, i.e.  $\mathbb{E} |X|^p < \infty$  (with expectation taken with respect to  $\mathbb{P}$ ).

**Remark.** The proofs of these probabilistic inequalities are nonexaminable. However, we are expected to be able to state them and apply them.

We may bootstrap Khintchine's inequality to obtain the following:

**Theorem 3.6** (Marcinkiewicz-Zygmund Inequality). Let  $p \in [2, \infty)$  and let  $X_1, X_2, \dots, X_n \in L^p(\mathbb{P})$  be independent random variables with  $\mathbb{E} \sum_{i=1}^n X_i = 0$ . Then

$$\left\| \sum_{i=1}^n X_i \right\|_{L^p(\mathbb{P})} = O \left( p^{1/2} \left\| \sum_{i=1}^n |X_i|^2 \right\|_{L^{p/2}(\mathbb{P})}^{1/2} \right).$$

*Proof.* For  $\mathbb{C}$ -valued random variables, the result follows from the real case by taking real and imaginary parts and applying the triangle inequality.

Next assume that the distribution of the  $X_i$ 's is symmetric, i.e.  $\mathbb{P}(X_i = a) = \mathbb{P}(X_i = -a) \forall a \in \mathbb{R}$ . Partition the probability space  $\Omega$  into sets  $\Omega_1, \Omega_2, \dots, \Omega_M$ , writing  $\mathbb{P}_j$  for the induced probability measure on  $\Omega_j$ , such that all  $X_i$ 's are symmetric and take at most two values on each  $\Omega_j$ . Applying Khintchine, for each  $j \in [M]$ ,

$$\begin{aligned} \left\| \sum_{i=1}^n X_i \right\|_{L^p(\mathbb{P}_j)}^p &= O(p^{p/2} \underbrace{\left( \sum_{i=1}^n \|X_i\|_{L^2(\mathbb{P}_j)}^2 \right)^{p/2}}_{= \left\| \sum_{i=1}^n |X_i|^2 \right\|_{L^{p/2}(\mathbb{P}_j)}^{p/2}}) \end{aligned}$$

so summing over all  $j \in [M]$  and taking the  $p^{\text{th}}$  roots gives the symmetric case.

Now suppose the  $X_i$ 's are arbitrary and let  $Y_1, \dots, Y_n$  be such that  $X_i \sim Y_i \forall i$  and  $X_1, \dots, X_n, Y_1, \dots, Y_n$  are independent. Applying the symmetric result to

$X_i - Y_i$ ,

$$\begin{aligned} \left\| \sum_{i=1}^n (X_i - Y_i) \right\|_{L^p(\mathbb{P} \times \mathbb{P})} &= O \left( p^{1/2} \left\| \sum_{i=1}^n |X_i - Y_i|^2 \right\|_{L^{p/2}(\mathbb{P} \times \mathbb{P})}^{1/2} \right) \\ &= O \left( p^{1/2} \left\| \sum_{i=1}^n |X_i|^2 \right\|_{L^{p/2}(\mathbb{P})}^{1/2} \right) \end{aligned}$$

by expanding  $|X_i - Y_i|^2$  and bounding above by  $4|X_i|^2$ . But also

$$\begin{aligned} \left\| \sum_{i=1}^n X_i \right\|_{L^p(\mathbb{P})} &= \left\| \sum_{i=1}^n X_i - \mathbb{E} \sum_{i=1}^n Y_i \right\|_{L^p(\mathbb{P})} \\ &\leq \left\| \sum_{i=1}^n (X_i - Y_i) \right\|_{L^p(\mathbb{P} \times \mathbb{P})} \end{aligned}$$

by convexity/Jensen.  $\square$

**Theorem 3.7** (Croo–Sisask Almost Periodicity). Let  $G$  be a finite abelian group, let  $\epsilon > 0$  and let  $p \in [2, \infty)$ . Let  $A, B \subset G$  be such that  $|A + B| \leq K|A|$  and let  $f : G \rightarrow \mathbb{C}$ . Then  $\exists b \in B$  and a set  $X \subset B - b$  such that<sup>4</sup>

$$|X| \geq (2K)^{-O(\epsilon^{-2p})} |B|$$

and

$$\|\tau_x(f * \mu_A) - f * \mu_A\|_{L^p(G)} \leq \epsilon \|f\|_{L^p(G)} \quad \forall x \in X,$$

where  $\tau_x g(y) = g(y + x)$  and  $\mu_A$  is the characteristic measure of  $A$ , defined by  $\mu_A(x) = \alpha^{-1} 1_A(x)$ .

**Remark.** We only need  $G$  to be discrete for the result to hold, but we consider the case "finite and abelian" as we don't want to introduce too much notation in the proof.

**Remark.** For intuition, work through the example  $f = 1_{A-A}$ .

*Proof.* The main idea is to approximate  $f * \mu_A(y) = \mathbb{E}_x \mu_A(x) f(y - x) = \mathbb{E}_{x \in A} f(y - x)$  by  $\frac{1}{k} \sum_{i=1}^k f(y - z_i)$  with  $z_i$  sampled independently at random from  $A$  for some suitable choice  $k$ .

For each  $y \in G$ , define  $Z_i(y) = \tau_{-z_i}(f)(y) - f * \mu_A(y)$  for  $i \in [k]$ . For fixed  $y \in G$ , these are independent and have mean 0, so by Marcinkiewicz–Zygmund,

<sup>4</sup>Here  $B - b$  is the translate of  $B$  by  $b$ . In particular,  $|B - b| = |B|$ .

for each  $y \in G$ ,

$$\begin{aligned} \left\| \sum_{i=1}^k Z_i(y) \right\|_{L^p(\mathbb{P})}^p &= O \left( p^{p/2} \left\| \sum_{i=1}^k |Z_i(y)|^2 \right\|_{L^{p/2}(\mathbb{P})}^{p/2} \right) \\ &= O \left( p^{p/2} \mathbb{E} \left( \sum_{i=1}^k |Z_i(y)|^2 \right)^{p/2} \right) \end{aligned}$$

Applying Hölder with  $\frac{2}{p} + \frac{1}{p'} = 1$  (so  $\frac{1}{p'} \cdot \frac{p}{2} = \frac{p}{2} - 1$ ) to the expression inside the expectation gives that it is

$$\begin{aligned} \left( \sum_{i=1}^k |Z_i(y)|^2 \right)^{p/2} &\leq \left( \sum_{i=1}^k 1^{p'} \right)^{\frac{1}{p'} \cdot \frac{p}{2}} \left( \sum_{i=1}^k |Z_i(y)|^{2 \cdot \frac{p}{2}} \right)^{\frac{2}{p} \cdot \frac{p}{2}} \\ &= k^{\frac{p}{2}-1} \sum_{i=1}^k |Z_i(y)|^p. \end{aligned}$$

So for each  $y \in G$ ,

$$\left\| \sum_{i=1}^k Z_i(y) \right\|_{L^p(\mathbb{P})}^p = O \left( p^{p/2} k^{\frac{p}{2}-1} \mathbb{E} \sum_{i=1}^k |Z_i(y)|^p \right).$$

Summing over  $y \in G$  gives

$$\mathbb{E}_{y \in G} \left\| \sum_{i=1}^k Z_i(y) \right\|_{L^p(\mathbb{P})}^p = O \left( p^{p/2} k^{\frac{p}{2}-1} \mathbb{E} \sum_{i=1}^k \mathbb{E}_{y \in G} |Z_i(y)|^p \right)$$

with

$$(\mathbb{E}_{y \in G} |Z_i(y)|^p)^{1/p} = \|Z_i\|_{L^p(G)} \leq \underbrace{\|\tau_{-z_i}(f)\|_{L^p(G)}}_{=\|f\|_{L^p(G)}} + \underbrace{\|f * \mu_A\|_{L^p(G)}}_{\leq \|f\|_{L^p(G)}} \leq 2\|f\|_{L^p(G)},$$

where the second underbrace estimate follows by Young's Convolution Inequality, which states that if  $1 + \frac{1}{r} = \frac{1}{p} + \frac{1}{q}$ , then  $\|f * g\|_r \leq \|f\|_p \|g\|_q$ . It follows that

$$\begin{aligned} \mathbb{E}_{(z_1, \dots, z_k) \in A^k} \mathbb{E}_{y \in G} \left\| \sum_{i=1}^k Z_i(y) \right\|^p &= O \left( p^{p/2} k^{p/2-1} \mathbb{E}_{(z_1, \dots, z_k) \in A^k} \sum_{i=1}^k 2 \cdot \|f\|_{L^p(G)}^p \right) \\ &= O \left( p^{p/2} k^{p/2} \|f\|_{L^p(G)}^p \right) \\ &= O \left( (pk \|f\|_{L^p(G)}^2)^{p/2} \right), \end{aligned}$$



which implies (after dividing through by  $k^p$ )

$$\mathbb{E}_{(z_1, \dots, z_k) \in A^k} \mathbb{E}_{y \in G} \underbrace{\left| \frac{1}{k} \sum_{i=1}^k \tau_{-z_i}(f)(y) - f * \mu_A(y) \right|^p}_{:= (\star)} = O\left((pk^{-1} \|f\|_{L^p(G)}^2)^{p/2}\right)$$

Choose  $k = O(\epsilon^{-2}p)$  such that RHS is at most  $(\frac{\epsilon}{4} \|f\|_{L^p(G)})^p$ . Write

$$L = \left\{ (z_1, \dots, z_k) \in A^k \mid (\star) \leq \left(\frac{\epsilon}{2} \|f\|_{L^p(G)}\right)^p \right\}.$$

By averaging/Markov, since  $\mathbb{E}(\star) \leq (\frac{\epsilon}{4} \|f\|_{L^p(G)})^p = 2^{-p} (\frac{\epsilon}{2} \|f\|_{L^p(G)})^p$ ,

$$\begin{aligned} \frac{|L^C|}{|A|^k} &= \mathbb{P}\left((\star) \geq \left(\frac{\epsilon}{2} \|f\|_{L^p(G)}\right)^p\right) \leq \mathbb{P}\left((\star) \geq 2^p \mathbb{E}(\star)\right) \leq 2^{-p} \\ \implies \frac{|L|}{|A|^k} &\geq 1 - 2^{-p}. \end{aligned}$$

So in particular,  $|L| \geq \frac{1}{2} |A|^k$ . Let

$$D = \left\{ \underbrace{(b, b, \dots, b)}_{k \text{ times}} \mid b \in B \right\},$$

so  $L + D \subset (A + B)^k$ , whence (as  $|L| \geq \frac{1}{2} |A|^k$ )

$$|L + D| \leq |(A + B)|^k \leq (K|A|)^k = K^k |A|^k \leq 2K^k |L|$$

By the proof of Lemma 2.13,

$$|D|^{3/2} |L|^{3/2} E(L, D) \geq \frac{|D|^2 |L|^2}{|L + D|} \geq \frac{|D|^2 |L|}{2K^k},$$

so there are at least  $\frac{|D|^2}{(2K)^k}$  pairs  $(b_1, b_2) \in D \times D$  such that  $r_{L-L}(b_1 - b_2) > 0$  (recall that the left-hand side of the displayed equation represents the number of additive quadruples in  $L \times L \times D \times D$ ). In particular, there exists  $b \in B$  and  $X \subset B - b$  of size  $|X| \geq \frac{|D|}{(2K)^k} = \frac{|B|}{(2K)^k}$  such that  $r_{L-L}(x) > 0 \forall x \in X$ . In other words,  $\forall x \in X$ ,  $\exists l_1(x), l_2(x) \in L$  such that  $\forall i \in [k]$ ,  $l_1(x)_i = l_2(x)_i + x$ . By the

triangle inequality, for each  $x \in X$ ,

$$\begin{aligned}
 & \|\tau_{-x}(f * \mu_A) - f * \mu_A\|_{L^p(G)} \\
 & \leq \|\tau_{-x}(f * \mu_A) - \tau_{-x}\left(\frac{1}{k} \sum_{i=1}^k \tau_{-l_2(x)_i}(f)\right)\|_{L^p(G)} + \|\tau_{-x}\left(\frac{1}{k} \sum_{i=1}^k \tau_{-l_2(x)_i}(f)\right) - f * \mu_A\|_{L^p(G)} \\
 & = \|f * \mu_A - \frac{1}{k} \sum_{i=1}^k \tau_{-l_2(x)_i}(f)\|_{L^p(G)} + \|\frac{1}{k} \sum_{i=1}^k \tau_{-x-l_2(x)_i}(f) - f * \mu_A\|_{L^p(G)} \\
 & \leq 2 \cdot \frac{\epsilon}{4} \|f\|_{L^p(G)}
 \end{aligned}$$

by the definition of  $L$ .  $\square$

**Theorem 3.8** (Bogolyubov again, due to Sanders). Let  $A \subset \mathbb{F}_p^n$  be a set of density  $\alpha > 0$ . Then there exists a subspace  $V \leq \mathbb{F}_p^n$  of codimension  $O((\log(\alpha^{-1}))^4)$  such that  $V \subset A + A - A$ .

*Proof.* This is on Ex. Sheet 3. Use Croot–Sisask and Chang’s theorem.  $\square$

**Theorem 3.9** (due to Schoen and Shkredov). Let  $p \neq 5$  and let  $A \subset \mathbb{F}_p^n$ . Suppose that  $A$  contains no nontrivial solutions to the equation

$$x_1 + x_2 + x_3 + x_4 + x_5 = 5y,$$

i.e. no solution  $(y, (x_i)_{i=1}^5) \in A^6$  such that  $y \neq x_i$  for some  $i \in [5]$ . Then<sup>5</sup>

$$\begin{aligned}
 |A| &= \exp\left(-\Omega\left(n^{1/5}\right)\right) |\mathbb{F}_p^n| \\
 &= \exp(-\Omega_p(\log |\mathbb{F}_p^n|^{1/5})) |\mathbb{F}_p^n|.
 \end{aligned}$$

*Proof.* Let  $\alpha = \frac{|A|}{|\mathbb{F}_p^n|}$  and partition  $A$  into  $A_1 \sqcup A_2$  with approximately equal sizes  $|A_1| = \lfloor \frac{\alpha}{2} p^n \rfloor$ ,  $|A_2| = \lceil \frac{\alpha}{2} p^n \rceil$ . By averaging,  $\exists z \in \mathbb{F}_p^n$  such that  $|A_1 \cap (z - A_2)| \geq \frac{\alpha^2}{4} p^n$  (this is because for randomly chosen  $z$ , each element of  $z - A_2$  lies in  $A_1$  with probability  $\frac{\alpha}{2}$ ). Let  $A' = A_1 \cap (z - A_2)$ . By Theorem 3.8, there exists a subspace  $V \leq \mathbb{F}_p^n$  of codimension  $O(\log^4 \alpha^{-1})$  such that  $V \subset A' + A' - A' - A'$  and hence

$$2z + V \subset 2z + A' + A' - A' - A' \subset A_1 + A_1 + A_2 + A_2.$$

Consequently,  $(5 \cdot A - A) \cap (2z + V) = \emptyset$ , for if there were  $x, y \in A$  with  $5y - x \in 2z + V$ , then we could write  $5y - x = a_1 + a'_1 + a_2 + a'_2$  for  $a_1, a'_1 \in A_1$ ,  $a_2, a'_2 \in A_2$ , which (since  $A_1, A_2$  are disjoint) would yield a nontrivial solution.

<sup>5</sup> $\Omega$  is the opposite to  $O$ , one lowerbounds while the other upperbounds.

It follows that for all  $w \in \mathbb{F}_p^n$ , at most one of  $A \cap (w + V)$  and  $5 \cdot A \cap (w + 2z + V)$  can be nonempty (else  $a_2 - a_1$  for  $a_i$  in the corresponding set would lie in the above empty set). Therefore, since the cosets of  $V$  partition  $\mathbb{F}_p^n$ , we observe that  $|A||V| = \sum_{w \in V} |A \cap (w + V)|$  and thus

$$\begin{aligned} 2|A||V| &= \sum_{w \in \mathbb{F}_p^n} (|A \cap (w + V)| + |5 \cdot A \cap (w + 2z + V)|) \\ &\leq |\mathbb{F}_p^n| \sup_{w \in \mathbb{F}_p^n} |A \cap (w + V)|. \end{aligned}$$

where the inequality holds as at most one of  $A \cap (w + V)$  and  $5 \cdot A \cap (w + 2z + V)$  are nonempty for any  $w \in \mathbb{F}_p^n$ . Hence  $\exists w \in \mathbb{F}_p^n$  such that  $|A \cap (w + V)| \geq \frac{2|A||V|}{|\mathbb{F}_p^n|} = 2\alpha|V|$ . The set  $A \cap (w + V) \subset w + V$  of density at least  $2\alpha$ , or equivalently  $(A - w) \cap V \subset V$  of density at least  $2\alpha$  contains no nontrivial solutions to  $x_1 + x_2 + x_3 + x_4 + x_5 = 5y$ .

After  $t$  iterations, we obtain a subspace  $W$  of codimension  $O(t \log^4 \alpha^{-1})$  and  $w \in \mathbb{F}_p^n$  such that  $|A \cap (w + W)| \geq 2^t \alpha |W|$ . Arguing as in the proof of Meshulam's Theorem (Theorem 1.17) yields the result (details left for Example Sheet 3).

To write it out,  $2^t \alpha = 1 \iff t = \frac{\log(\alpha^{-1})}{\log 2}$ , so for this value of  $t$ , the corresponding  $W$  can only have one element, i.e. it has codimension  $n$ , so  $O(\log^5 \alpha^{-1}) = n \implies \alpha = \exp(-\Omega(n^{1/5}))$  as desired.  $\square$

We have a similar bound in  $\mathbb{Z}_N$ , where Behrend's construction offers a comparable lower bound.

## 4 Further topics

In  $\mathbb{F}_p^n$ , we can do much better, even for 3-APs.

**Theorem 4.1** (due to Ellenberg-Gijswijt, based on Croot-Lev-Pach). Let  $A \subset \mathbb{F}_3^n$  be a set containing no nontrivial 3-APs. Then

$$|A| = o(2.756^n).$$

**Remark.** The proof goes through for general  $p$ , but we do the case  $p = 3$  to avoid having to constantly write  $p - 1$ .

We first have some setup for the proof. Let  $M_n$  be the set of monomials in variables  $x_1, x_2, \dots, x_n$  whose degree in each variable is at most 2. Let  $V_n$  be the vector space over  $\mathbb{F}_3$  generated by  $M_n$ . For any  $d \in [0, 2n]$ , write  $M_n^d$  for the set of monomials in  $M_n$  of (total) degree at most  $d$ , and  $V_n^d$  for the corresponding vector space. Set  $m_d = \dim(V_n^d) = |M_n^d|$ .

**Lemma 4.2.** Let  $A \subset \mathbb{F}_3^n$  and suppose  $P \in V_n^d$  is such that  $P(a + a') = 0 \forall a \neq a' \in A$ . Then

$$|\{a \in A \mid P(2a) \neq 0\}| \leq 2m_{d/2}.$$

*Proof.* Every  $P \in V_n^d$  can be written as a linear combination of monomials from  $M_n^d$ , so

$$P(x + y) = \sum_{\substack{m, m' \in M_n^d, \\ \deg(m \cdot m') \leq d}} c_{m, m'} m(x) m'(y)$$

for some coefficients  $c_{m, m'}$ . Since at least one of  $m, m'$  has to have degree at most  $d/2$ , we can write

$$P(x + y) = \sum_{m \in M_n^{d/2}} m(x) F_m(y) + \sum_{m' \in M_n^{d/2}} m'(y) G_{m'}(x),$$

where  $(F_m)_{m \in M_n^{d/2}}$  and  $(G_{m'})_{m' \in M_n^{d/2}}$  are polynomials. Viewing  $(P(x + y))_{x, y \in A}$  as an  $|A| \times |A|$ -matrix  $C$ , we see that  $C$  can be written as a sum of at most  $2m_{d/2}$  matrices of rank at most 1 (as  $m_x F_m(y)$  for fixed  $x$  and  $y$  running over  $A$  gives the rows, which are all multiples of each other), hence  $\text{rank}(C) \leq 2m_{d/2}$ .

But by our assumption,  $C$  is a diagonal matrix whose rank equals the number of nonzero elements on the diagonal, i.e.  $|\{a \in A \mid P(2a) \neq 0\}|$ .  $\square$

**Proposition 4.3.** Let  $A \subset \mathbb{F}_3^n$  be a set containing no nontrivial 3-APs. Then  $|A| \leq 3m_{2n/3}$ .

*Proof.* Let  $d \in [1, 2n]$  be an integer to be chosen later. Let  $W$  be the subspace of  $V_n^d$  that vanishes on  $(2 \cdot A)^C$ . Clearly

$$\dim(W) \geq \dim(V_n^d) - |(2 \cdot A)^C| = m_d - (3^n - |2 \cdot A|).$$

Next we claim there is  $P \in W$  such that  $|\text{supp}(P)| \geq \dim(W)$ .<sup>6</sup> Indeed, pick  $P \in W$  with maximal support. If  $|\text{supp}(P)| < \dim(W)$ , then there would be a nonzero  $Q \in W$  vanishing on  $\text{supp}(P)$ , in which case  $\text{supp}(P + Q) \supsetneq \text{supp}(P)$ , contradicting our choice of  $P$ . By assumption,  $\{a + a' \mid a \neq a' \in A\} \cap 2 \cdot A = \emptyset$ , so any polynomial that vanishes on  $(2 \cdot A)^C$  also vanishes on  $\{a + a' \mid a \neq a' \in A\}$ . Therefore, by Lemma 4.2,

$$\text{supp}(P) = |\{x \in \mathbb{F}_3^n \mid P(x) \neq 0\}| = |\{a \in A \mid P(2a) \neq 0\}| \leq 2m_{d/2}.$$

<sup>6</sup>Here  $\text{supp}(P)$  is the set  $\{x \in \mathbb{F}_3^n \mid P(x) \neq 0\}$ .

Putting everything together, we have

$$\begin{aligned} m_d - (3^n - |A|) &\leq \dim(W) \leq |\text{supp}(P)| \leq 2m_{d/2} \\ \implies |A| &\leq (3^n - m_d) + 2m_{d/2}. \end{aligned}$$

But the monomials in  $M_n \setminus M_n^d$  are in bijection with those of degree at most  $2n - d$  (via sending  $x_1^{\alpha_1} \dots x_n^{\alpha_n} \mapsto x_1^{2-\alpha_1} \dots x_n^{2-\alpha_n}$ ), whence  $3^n - m_d = m_{2n-d}$ . Setting  $d = \frac{4n}{3}$  gives  $|A| \leq 3m_{2n/3}$ .  $\square$

We will now deduce Theorem 4.1 on Ex. Sheet 3.

**Remark.** We do not know a comparable bound for 4-APs. Fourier-analytic methods also fail.

**Example 4.4.** Recall from Lemma 1.16 that  $|T_3(1_A, 1_A, 1_A) - \alpha^3| \leq \sup_{t \neq 0} |\widehat{1_A}(t)|$ . But it is impossible to bound

$$|T_4(1_A, 1_A, 1_A, 1_A) - \alpha^4| = |\mathbb{E}_{x,d} 1_A(x) 1_A(x+d) 1_A(x+2d) 1_A(x+3d) - \alpha^4|$$

by  $\sup_{t \neq 0} |\widehat{1_A}(t)|$ . Indeed, consider  $Q = \{x \in \mathbb{F}_p^n \mid x \cdot x = 0\}$ . By Problem 2 (ii) on Ex. Sheet 1, we have  $\frac{|Q|}{p^n} = \frac{1}{p} + O(p^{-n/2})$  and  $\sup_{t \neq 0} |\widehat{1_Q}(t)| = O(p^{-n/2})$ . But given a 3-AP  $(x, x+d, x+2d)$  in  $Q$ , we automatically have that  $x+3d \in Q$ , because  $\forall x, d \in \mathbb{F}_p^n$ ,

$$x \cdot x - 3(x+d) \cdot (x+d) + 3(x+2d) \cdot (x+2d) - (x+3d) \cdot (x+3d) = 0.$$

So

$$T_4(1_A, 1_A, 1_A, 1_A) = T_3(1_A, 1_A, 1_A) = \alpha^3 + o(1)$$

by Lemma 1.16.

**Definition 4.5.** Given  $f : G \rightarrow \mathbb{C}$  with  $G$  a finite abelian group, we define its  $U^2$ -norm by the formula

$$\|f\|_{U^2(G)}^4 = \mathbb{E}_{x,a,b \in G} f(x) \overline{f(x+a)} \overline{f(x+b)} f(x+a+b).$$

Problem 3 (i) on Ex. Sheet 1 showed that  $\|f\|_{U^2(G)}^4 = \|\widehat{f}\|_{\ell^4(G)}^4$ , so this is indeed a norm. Part (ii) of the same problem asserted the following:

**Lemma 4.6.** Let  $f_1, f_2, f_3 : G \rightarrow \mathbb{C}$ . Then

$$|T_3(f_1, f_2, f_3)| \leq \min_{i \in [3]} \|f_i\|_{U^2(G)} \prod_{j \neq i} \|f_j\|_{L^\infty(G)}.$$

Note that

$$\sup_{\gamma \in \widehat{G}} |\widehat{f}(\gamma)|^4 \leq \sum_{\gamma \in \widehat{G}} |\widehat{f}(\gamma)|^4 \leq \sup_{\gamma \in \widehat{G}} |\widehat{f}(\gamma)|^2 \sum_{\gamma \in \widehat{G}} |\widehat{f}(\gamma)|^2$$

and thus by Parseval we get

$$\|\widehat{f}\|_{\ell^\infty(\widehat{G})} \leq \|\widehat{f}\|_{\ell^4(\widehat{G})} = \|f\|_{U^2(G)} \leq \|\widehat{f}\|_{\ell^\infty(\widehat{G})}^{1/2} \|f\|_{L^2(G)}^{1/2}.$$

Moreover, if  $f = f_A = 1_A - \alpha$ , then

$$T_3(f, f, f) = T_3(1_A - \alpha, 1_A - \alpha, 1_A - \alpha) = T_3(1_A, 1_A, 1_A) - \alpha^3 + (\star),$$

where  $(\star)$  is six terms: three terms of the form  $(-\alpha)\mathbb{E}_{x,d}1_A(x+d)1_A(x+2d)$ , which after reparametrizing  $(x+d=u, x+2d=u+d)$  gives  $-\alpha^3$ ; plus three other terms of the form  $(-\alpha^2)\mathbb{E}_{x,d}1_A(x+3d) = \alpha^3$ , so these cancel.

We could therefore reformulate the first step in the proof of Meshulam's Theorem (Theorem 1.17) as follows: if  $p^n \geq 2\alpha^{-2}$ , then

$$\frac{\alpha^3}{2} \leq |T_3(1_A, 1_A, 1_A) - \alpha^3| \leq \|f_A\|_{U^2(G)} = \underbrace{\|f_A\|_{U^2(G)}}_{=\frac{\alpha}{p^n}}$$

by Lemma 4.6. It remains to show that if  $\|f_A\|_{U^2}$  is not too small, then there exists a subspace  $V \leq \mathbb{F}_p^n$  of bounded codimension on which  $A$  has increased density.

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**Theorem 4.7.** [ $U^2$ -Inverse Theorem] Let  $f : \mathbb{F}_p^n \rightarrow \mathbb{C}$  satisfying  $\|f\|_\infty \leq 1$  and  $\|f\|_{U^2} \geq \delta$  for some  $\delta > 0$ . Then  $\exists b \in \mathbb{F}_p^n$  such that

$$|\mathbb{E}_x f(x) \omega^{x \cdot b}| \geq \delta^2.$$

In other words,  $|\langle f, \phi \rangle| \geq \delta^2$  for  $\phi(x) = \omega^{x \cdot b}$ , and we say " $f$  correlates with a linear phase function".

"Proof". We have seen  $\|f\|_{U^2}^2 \leq \|\widehat{f}\|_\infty \|f\|_2 \leq \|\widehat{f}\|_\infty$ , so

$$\delta^2 \leq \|\widehat{f}\|_\infty = \mathbb{E}_x f(x) \omega^{x \cdot b}$$

for some  $b \in \mathbb{F}_p^n$ . □

**Definition 4.8.** Given  $f : G \rightarrow \mathbb{C}$  for  $G$  a finite abelian group, we define its

$U^3$ -norm by

$$\begin{aligned} \|f\|_{U^3(G)}^8 &= \mathbb{E}_{x,a,b,c \in G} f(x) \overline{f(x+a)} \overline{f(x+b)} \overline{f(x+c)} \\ &\quad f(x+a+b) f(x+b+c) f(x+a+c) \overline{f(x+a+b+c)} \\ &= \mathbb{E}_{x,h_1,h_2,h_3} \prod_{\epsilon \in \{0,1\}^3} \mathcal{C}^{|\epsilon|} f(x+\epsilon \cdot h) \end{aligned}$$

where  $\mathcal{C}g(x) = \overline{g(x)}$  and  $|\epsilon|$  counts the number of ones in  $\epsilon$ .

It is easy to verify that  $\|f\|_{U^3(G)}^8 = \mathbb{E}_h \|\Delta_h f\|_{U^2(G)}^4$ , where  $\Delta_h f(x) = f(x) \overline{f(x+h)}$ .

**Definition 4.9.** Given functions  $f_\epsilon : G \rightarrow \mathbb{C}$  for  $\epsilon \in \{0,1\}^3$ , define the **Gowers inner product** (or  **$U^3$ -inner product**) by

$$\langle (f_\epsilon)_{\epsilon \in \{0,1\}^3} \rangle_{U^3(G)} = \mathbb{E}_{x,h_1,h_2,h_3} \prod_{\epsilon \in \{0,1\}^3} \mathcal{C}^{|\epsilon|} f_\epsilon(x + \epsilon \cdot h).$$

Observe that  $\langle f, f, \dots, f \rangle_{U^3(G)} = \|f\|_{U^3(G)}^8$ .

**Lemma 4.10** (Gowers-Cauchy-Schwarz inequality). Given  $f_\epsilon : G \rightarrow \mathbb{C}$  for  $\epsilon \in \{0,1\}^3$ ,

$$|\langle (f_\epsilon)_{\epsilon \in \{0,1\}^3} \rangle_{U^3(G)}| \leq \prod_{\epsilon \in \{0,1\}^3} \|f_\epsilon\|_{U^3(G)}.$$

*Proof.* This is on Ex. Sheet 3. □

Setting  $f_\epsilon = f$  for  $\epsilon \in \{0,1\}^2 \times \{0\}$  (or any other face of the cube) and  $f_\epsilon \equiv 1$  otherwise gives that the LHS equals  $\|f\|_{U^2(G)}^4$ , so  $\|f\|_{U^2(G)} \leq \|f\|_{U^3(G)}$ .

**Proposition 4.11.** Let  $f : G \rightarrow \mathbb{C}$  with  $\|f\|_{L^\infty(G)} \leq 1$ . Then

$$|T_4(f, f, f, f)| \leq \|f\|_{U^3(G)}.$$

*Proof.* Reparametrizing, we have

$$T_4(f, f, f, f) = \mathbb{E}_{a,b,c,d} f(3a+2b+c) f(2a+b-d) f(a-c-2d) f(-b-2c-3d),$$

so using Cauchy–Schwarz many times gives

$$\begin{aligned}
 & |T_4(f, f, f, f)|^8 \\
 & \leq \left( \mathbb{E}_{a,b,c} |\mathbb{E}_d f(2a+b-d) f(a-c-2d) f(-b-2c-3d)|^2 \right)^4 \\
 & = \left( \mathbb{E}_{d,d'} \mathbb{E}_{a,b} f(2a+b-d) \overline{f(2a+b-d')} \mathbb{E}_c f(a-c-2d) f(-b-2c-3d) \overline{f(a-c-2d')} \overline{f(-b-2c-3d')} \right)^4 \\
 & = \left( \mathbb{E}_{d,d'} \mathbb{E}_{a,b} |\mathbb{E}_c \text{ time to expand what's in here }|^2 \right)^2 \\
 & = (\mathbb{E}_{c,c',d,d'} \mathbb{E}_a f(a-c-2d) \overline{f(a-c-2d')} f(a-c'-2d) \overline{f(a-c'-2d')} \\
 & \quad \mathbb{E}_b f(-b-2c-3d) \overline{f(-b-2c-3d')} f(-b-2c'-3d) \overline{f(-b-2c'-3d')})^2 \\
 & \leq \mathbb{E}_{c,c',d,d'} \mathbb{E}_a |\mathbb{E}_b f(-b-2c-3d) \overline{f(-b-2c-3d')} f(-b-2c'-3d) \overline{f(-b-2c'-3d')}|^2 \\
 & = \mathbb{E}_{c,c',d,d'} |\mathbb{E}_b f(-b-2c-3d) \overline{f(-b-2c-3d')} f(-b-2c'-3d) \overline{f(-b-2c'-3d')}|^2 \\
 & \leq \mathbb{E}_{b,b',c,c',d,d'} f(-b-2c-3d) \overline{f(-b-2c-3d')} f(-b-2c'-3d) \overline{f(-b-2c'-3d')} \\
 & \quad \overline{f(-b'-2c-3d)} f(-b'-2c-3d') \overline{f(-b'-2c'-3d)} f(-b'-2c'-3d').
 \end{aligned}$$

□

One might hope to generalize Meshulam's Theorem (Theorem 1.17) as follows:

**Theorem 4.12** (Szemerédi's Theorem (for progressions of length 4)). Let  $A \subset \mathbb{F}_p^n$  be a set containing no nontrivial 4-APs. Then  $|A| = o(p^n)$ .

**Idea.** By Proposition 4.11 with  $f = 1_A = 1_A - \alpha$ , writing  $1_A = f_A + \alpha$ ,

$$T_4(1_A, 1_A, 1_A, 1_A) - \alpha^4 = T_4(f_A, f_A, f_A, f_A) + (\star)$$

where  $(\star)$  consists of terms in which one, two, or three of the inputs are equal to  $f_A$ , each of which is controlled by  $\|f_A\|_{U^2}$  (strictly speaking, we haven't shown this for e.g.  $T_4(f, \alpha, f, f)$ , but this is similar enough to a 3-AP so we can make it work). Hence

$$|T_4(1_A, 1_A, 1_A, 1_A) - \alpha^4| \leq 14 \|f_A\|_{U^3}$$

(since  $\|f_A\|_{U^2} \leq \|f_A\|_{U^3}$ ). So if  $A$  contains no nontrivial 4-APs and  $p^n \geq 2\alpha^{-3}$ , then  $\frac{\alpha^4}{2} \leq 14 \|f_A\|_{U^3}$ .

What can we say about functions whose  $U^3$ -norm is large?

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**Example 4.13.** Let  $M$  be an  $n \times n$  (symmetric) matrix with entries in  $\mathbb{F}_p$ . Then  $f(x) = \omega^{x^T M x}$  satisfies  $\|f\|_{U^3} = 1$ .

**Theorem 4.14** ( $U^3$ -Inverse Theorem). Let  $f : \mathbb{F}_p^n \rightarrow \mathbb{C}$  satisfy  $\|f\|_\infty \leq 1$  and  $\|f\|_{U^3} \geq \delta$  for some  $\delta > 0$ . Then there exists a symmetric  $n \times n$  matrix  $M$  with



entires in  $\mathbb{F}_p$  and  $b \in \mathbb{F}_p^n$  such that

$$\left| \mathbb{E}_x f(x) \omega^{x^T M x + b^T x} \right| \geq c(\delta),$$

where  $c(\delta)$  is a polynomial in  $\delta$  (depending on  $p$ ).

In other words,  $|\langle f, \phi \rangle| \geq c(\delta)$  for  $\phi(x) = \omega^{x^T M x + b^T x}$  and we say that " $f$  correlates with a quadratic phase function".

*Sketch of proof.* Suppose  $\|f\|_{U^3} \geq \delta$ . We divide the sketch into four steps.

**Step 1.** If  $\|f\|_{U^3}^8 = \mathbb{E}_h \|\Delta_h f\|_{U^2}^4 \geq \delta^8$ , then for at least a  $\delta^8/2$ -proportion of  $h \in \mathbb{F}_p^n$ ,  $\|\Delta_h f\|_{U^2}^4 \geq \frac{\delta^8}{2}$ . For each such  $h$ ,  $\exists t_h$  such that  $\|\widehat{\Delta_h f}(t_h)\|^2 \geq \frac{\delta^8}{2}$ .<sup>7</sup> Working a bit harder (details omitted as they are un insightful), one can obtain the following:

**Proposition 4.15.** Let  $f : \mathbb{F}_p^n \rightarrow \mathbb{C}$  satisfy  $\|f\|_\infty \leq 1$  and  $\|f\|_{U^3} \geq \delta$  for some  $\delta \geq 0$ . Suppose that  $|\mathbb{F}_p^n| = \Omega_\delta(1)$ , i.e.  $\mathbb{F}_p^n$ , meaning  $n$ , is bounded below by some constant depending on  $\delta$ . Then  $\exists S \subset \mathbb{F}_p^n$  with  $\frac{|S|}{|\mathbb{F}_p^n|} = \Omega_\delta(1)$  and a function  $\phi : S \rightarrow \mathbb{F}_p^n$  such that

$$(i) \quad \left| \widehat{\Delta_h f}(\phi(h)) \right| = \Omega_\delta(1),$$

$$(ii) \quad \text{there are at least } \Omega_\delta(|\mathbb{F}_p^n|^3) \text{ additive quadruples } (s_1, s_2, s_3, s_4) \in S^4 \text{ with } s_1 + s_2 = s_3 + s_4 \text{ such that } \phi(s_1) + \phi(s_2) = \phi(s_3) + \phi(s_4).$$

**Step 2.** If  $S$  and  $\phi$  are as above, then there is a linear map  $\psi : \mathbb{F}_p^n \rightarrow \widehat{\mathbb{F}_p^n}$  which coincides with  $\phi$  for many elements of  $S$ . More precisely:

**Proposition 4.16.** Let  $S$  and  $\phi$  be given as in Proposition 4.15. Then there exists an  $n \times n$  matrix  $M$  with entries in  $\mathbb{F}_p$  and  $b \in \mathbb{F}_p^n$  such that the map  $\psi : \mathbb{F}_p^n \rightarrow \widehat{\mathbb{F}_p^n}$  by  $x \mapsto Mx + b$  satisfies  $\psi(x) = \phi(x)$  for  $\Omega_\delta(|\mathbb{F}_p^n|)$  elements  $x \in S$ .

*Proof.* Consider the graph  $\Gamma = \{(h, \phi(h)) \mid h \in S\} \subset \mathbb{F}_p^n \times \widehat{\mathbb{F}_p^n}$ . By Proposition 4.15,  $\Gamma$  has  $\Omega_\delta(|\mathbb{F}_p^n|)$  additive quadruples.

By the Balog-Szemerédi-Gowers theorem (Theorem 2.15),  $\exists \Gamma' \subset \Gamma$  with  $|\Gamma'| = \Omega_\delta(|\Gamma|) = \Omega_\delta(|\mathbb{F}_p^n|)$  and  $|\Gamma' + \Gamma'| = O_\delta(|\Gamma'|)$ . Define  $S'$  through  $\Gamma' = \{(h, \phi(h)) \mid h \in S'\}$  and note that  $|S'| = \Omega_\delta(|\mathbb{F}_p^n|)$ .

By the Freiman-Ruzsa theorem (Theorem 2.8) applied to  $\Gamma' \subset \mathbb{F}_p^n \times \widehat{\mathbb{F}_p^n}$ , there exists a subspace  $H \leq \mathbb{F}_p^n \times \widehat{\mathbb{F}_p^n}$  with  $|H| = O_\delta(|\Gamma'|) = O_\delta(|\mathbb{F}_p^n|)$  such that

<sup>7</sup>In fact, the  $U^2$ -inverse theorem gives  $\delta^4$  here, but we will now stop being precise about the exponents of  $\delta$ .

$\Gamma' \subset H$ . Denote by  $\pi : \mathbb{F}_p^n \times \widehat{\mathbb{F}_p^n} \rightarrow \mathbb{F}_p^n$  the projection of the first  $n$  coordinates. By construction,  $\pi(H) \supset S'$ . Moreover, as  $|S'| = \Omega_\delta(|\mathbb{F}_p^n|)$  and  $\text{Im}(\pi|_H) \supset S'$ ,

$$|\ker(\pi|_H)| = \frac{|H|}{|\text{Im}(\pi|_H)|} = O_\delta(1).$$

Let  $H^*$  be the subspace obtained upon quotienting  $H$  by said kernel. We may thus partition  $H$  into  $O_\delta(1)$  cosets of  $H^*$  such that  $\pi|_H$  is injective on each coset. By averaging, there exists a coset  $x + H^*$  such that  $|\Gamma' \cap (x + H^*)| = \Omega_\delta(|\Delta'|) = \Omega_\delta(|\mathbb{F}_p^n|)$ . Set  $\Gamma'' = \Gamma' \cap (x + H^*)$  and define  $S''$  accordingly. Now  $\pi|_{x+H^*}$  is both injective and surjective onto its image  $V = \text{Im}(\pi|_{x+H^*})$ . But this means that there exists an affine linear map  $\psi : V \rightarrow \widehat{\mathbb{F}_p^n}$  such that  $(h, \psi(h)) \in \Gamma'' \forall h \in S''$ .  $\square$

**Step 3.** The symmetry argument (for  $p > 2$ ). Having obtained  $\psi(x) = Mx + b$  for some matrix  $M$  and vector  $b$  such that  $(h, Mh + b) \in \Gamma'' \forall h \in S''$ , we need to turn  $M$  into a symmetric matrix in preparation for Step 4.

**Step 4.** The integration step (for  $p > 2$ ).

**Proposition 4.17.** Suppose  $f, M, b$  are as in Step 3 and  $\mathbb{E}_h \left| \widehat{\Delta_h} f(Mh + b) \right|^2 = \Omega_\delta(1)$ . Then there exists  $b' \in \mathbb{F}_p^n$  such that  $\mathbb{E}_x f(x) \omega^{x^T(M+M^T)x/2+b^Tx} = \Omega_\delta(1)$ .  $\square$

The details of the last two steps are on Ex. Sheet 3.