

# Design Document for Final Concurrency Control and Recovery Project

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## Overview

This project aims to implement a distributed database system, which has following features:

- (1) multiversion concurrency control;
- (2) deadlock detection (cycle detection with youngest victim selection);
- (3) replication (available copies algorithm using strict two phase locking);
- (4) failure recovery (under available copies algorithm).

Our program mainly consists of two parts: the data management part and the transaction management part. The data management part includes the objects of:

- I. Data variables
- II. Locks
- III. Site: responsible for multiversion concurrency control and other bookkeeping.
- IV. Data manager (DM): contains all Sites. It is responsible for managing replication, failure recovery, and translating requests on variables to requests on copies using the available copy algorithm<sup>1</sup>.

The transaction management part includes objects of:

- I. Transaction
- II. Transaction manager (TM): responsible for translating the read/write requests to transactions, and sending the transactions to DM to translate requests on variables to requests on copies. TM is also responsible for deadlock detection<sup>2</sup>.
- III. Waitlist manager: maintaining the waitlist.

A separated waitlist object is also included to manage the wait list.

Please see Figure 1 for diagrams of major components. The project will be implemented using Python (version 3.6).

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<sup>1</sup> We define DM differently than how the syllabus does. In this project, DM is responsible for the implementation of the available copy algorithm

<sup>2</sup> Per footnote 1, TM in this project is only responsible for sending transactions to DM and deadlock detection. The DM will perform the available copy algorithm instead.

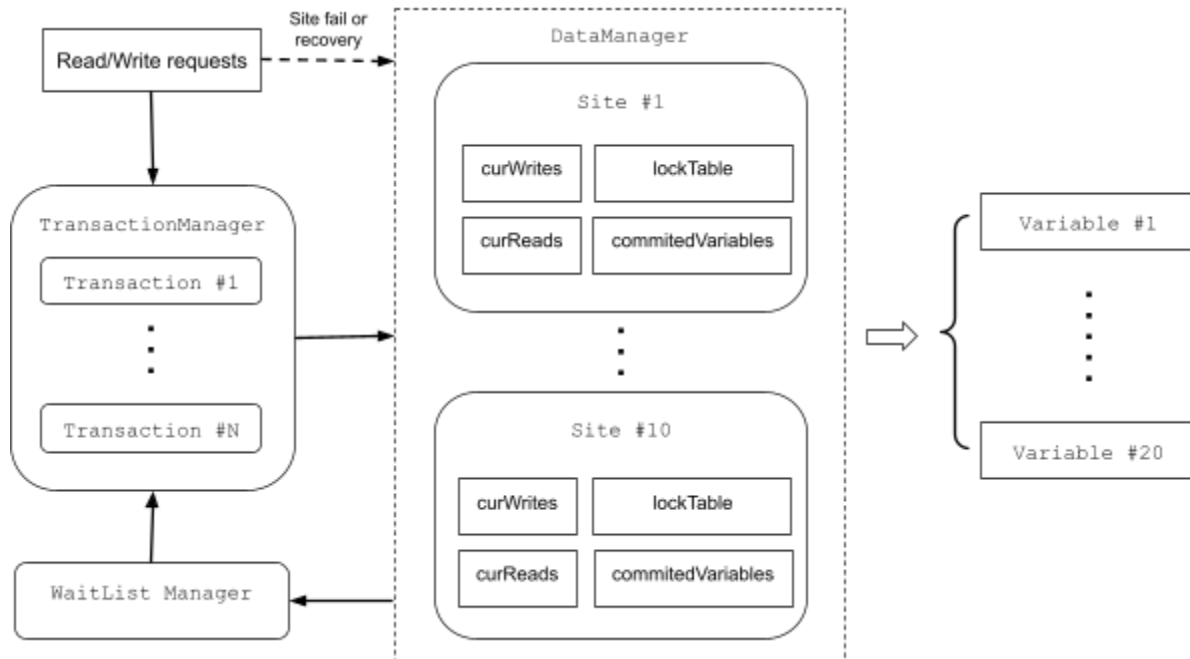


Figure 1 Diagram of major components

Valid operations and corresponding part to execute the operation:

- Begin: transaction manager
- beginR0: transaction manager
- Fail: data manager
- recover: data manager
- R (read-only): transaction manager
- W (read-write): transaction manager
- end: transaction manager
- dump: transaction manager

## Details of Major Components

### Data Management

#### Class Variable

'''The data variable object.  
'''

#### Variable

Name  
Value  
lastCommittedTime

## Class Lock

```The lock object. Stored in the lock table of each site.  
```

### Variable

State: R\_Lock, RW\_Lock    # read or write lock  
Transaction: own by which transaction  
Lineup: if there are transactions waiting for this lock to release

## Class Site

```The site object, representing each site. Contains lock table and transaction information of this site, as well as functions to operate this site.  
```

### Variable

Dictionary lockTable  
  Key: variable  
  Value: lock object

Dictionary curWrites: store write actions that are not yet committed  
  Key: transaction name  
  Value: {variable: value}

List curReads: store transactions (name) that are currently accessing variable on this site

Dictionary committedVariables  
  Key: variable name  
  Value: variable object

Dictionary copies: store the copy of variables for RO Transaction

## Major Functions

### Recover():

```Recover this site  
```

### Fail():

```  
  Fail this site, notify all transactions that are accessing this site(curReads and curWrites) to abort,  
  clear the curReads, the curWrites and the lock table.  
```

**write(t, x, v):**

...

Parameters:

t: transaction name

x: name of the variable to write on

v: the value to write

Add to dictionary curWrites (curWrites[t][x] = v)

...

**read\_only(t,x):**

...

Parameters:

t: transaction name

x: name of the variable to read

Returns:

The value of x

Read variable from the recorded copies.

...

**read(t,x):**

...

Parameters:

t: transaction name

x: name of the variable to read

Returns:

The value of x

Read variable from the committed variable, and add t to the curReads

...

**lock\_variable(t, x, type):**

...

Parameters:

t: transaction name

x: name of the variable to lock

Type: the type of lock

Check if lock can be acquired, then create a lock object if the lock can be acquired and add it to the lock table. Otherwise, return the list of transaction that is blocking this lock.

...

**Commit(t):**

...

Parameters:

t: transaction name

```
    Commit the variable from curWrites to committedVariables.
...

```

### **Absort(t):**

```
...
```

Parameters:

t: transaction name

```
    Remove any t from curWrites and curReads. Remove any locks issued by t from the lock table.
...

```

## **Class DataManager**

```
``The object that contains all sites. It is also responsible for replication, failure recovery, and
translating transactions (given by TM) on variables to requests on copies using the available
copy algorithm.
...

```

### **Variable**

List sites=[Site1, Site2, ..., Site10]

# Initialize each site following the rule: The odd indexed variables are at one site each. (i.e.  $1 + (\text{index number} \bmod 10)$ ). For example, x3 and x13 are both at site 4. Even indexed variables are at all sites. Each variable xi is initialized to the value 10i (10 times i).

## **Major Functions**

### **Recover(i):**

```
...
```

Parameters:

i: Site number

```
    Recover site i
...

```

### **Fail(i):**

```
...
```

Parameters:

i: Site number

```
    Fail site i
...

```

### **RequestReadOnly(t, x):**

```
...
```

Parameters:

t: transaction name

x: variable name

Returns:

If read successfully, returns (True, the value read);  
otherwise, return (false, list of transaction blocking this read)

Check each available site, then read from the site.

...

### **RequestRead(t, x):**

...

Parameters:

t: transaction name

x: name of the variable to write on

Return:

If read successfully, returns (True, the value read);

otherwise, return (false, list of transaction blocking this read)

Check each available site, then read from the site.

...

### **RequestWrite(t, x, v):**

...

Parameters:

t: transaction name

x: name of the variable to write on

v: the value to write

Returns:

If read successfully, return (True, []);

otherwise, return (false, list of transaction blocking this write)

Check if locks can be acquired on all active sites. If true lock the variable, then do write on each site.

...

### **CommitOnAllSites(t):**

...

Parameters:

t: transaction name

Commit on all active sites.

...

### **AbortOnAllSites(t):**

...

Parameters:

t: transaction name

Abort on all active sites.

...

# Transaction Management

## Class Transaction

...

The object represents a transaction.

...

### Variable

Name: transaction name

startTime: transaction start time

readOnly: a boolean showing whether the transaction is a read-only transaction

Abort: a boolean showing whether the transaction should be aborted

## Class WaitlistManager

...

Response for maintaining the waitlist and deadlock detection.

...

### Major Functions

#### add\_to\_waitList(t, op, args, blockedBy)

...

Add operation (Read or Write) and arguments to the waitlist, storing information about which transaction requesting this operation and which transactions are blocking this operation for wait-for graph.

...

#### DeadLock\_Detection()

...

Detecting deadlock, if deadlock detected, return the youngest transaction along the path.

...

## Class TransactionManager

...

TM object. Responsible for creating Transactions based on requests and sending them to the DM.

...

### Variable

DataManager DM # initialize with the number of sites

Dictionary transactions

Key: transaction name  
Value: transaction object

Waitlist: an WaitList Object

## Major Functions

### **StartTransaction(t):**

...

Parameters:  
t: transaction name

Create a transaction object, initialize it with the transaction name and current time as the start time.

...

### **StartROTransaction(t):**

...

Parameters:  
t: transaction name

Create a transaction object, initialize it with the transaction name, current time as the start time and set the readOnly boolean to True.

...

### **EndTransation(t):**

...

Parameters:  
t: transaction name

Check if this transaction need to abort. (abort boolean) Do commit or abort

...

### **Abort(t):**

...

Parameters:  
t: transaction name

Call DM to abort this transaction. Remove transaction from the transaction dictionary. Remove any operations of this transaction from the waitlist.

...

### **Commit(t):**

...

Parameters:  
t: transaction name

Call DM to commit this transaction. Remove transaction from the transaction dictionary.



...

### **Read(t,x):**

...

Parameters:

t: transaction object

x: name of the variable to write on

- 1) If t is a read-only transaction, call the DM to process the read-only request.
- 2) If t is not a read-only transaction, call the DM to process the read request.

If read failed, add this operation to the waitlist or abort.

...

### **Write(t, x, v):**

...

Parameters:

t: transaction name

x: name of the variable to write on

v: the value to write

Call DM to process the write request. If write failed, add this operation to the waitlist.

...

## **Main()**

...

Main function that reads the input and uses TM to process the requests and corresponding executions. It also maintains the Waitlist etc.

...

## **Major Functions**

### **ProcessInput(file):**

...

Read input from text file, and return a list of operations

...

### **Run(executions, dataMgr, transMgr)**

...

Execute operation one by one, at the beginning of each tick, will try to execute the operation from the waitlist. If last operation was added to the waiting list, at the very beginning of the tick, it will do deadlock detection. If there is a deadlock, abort the youngest transaction until there are no more deadlocks.

...