

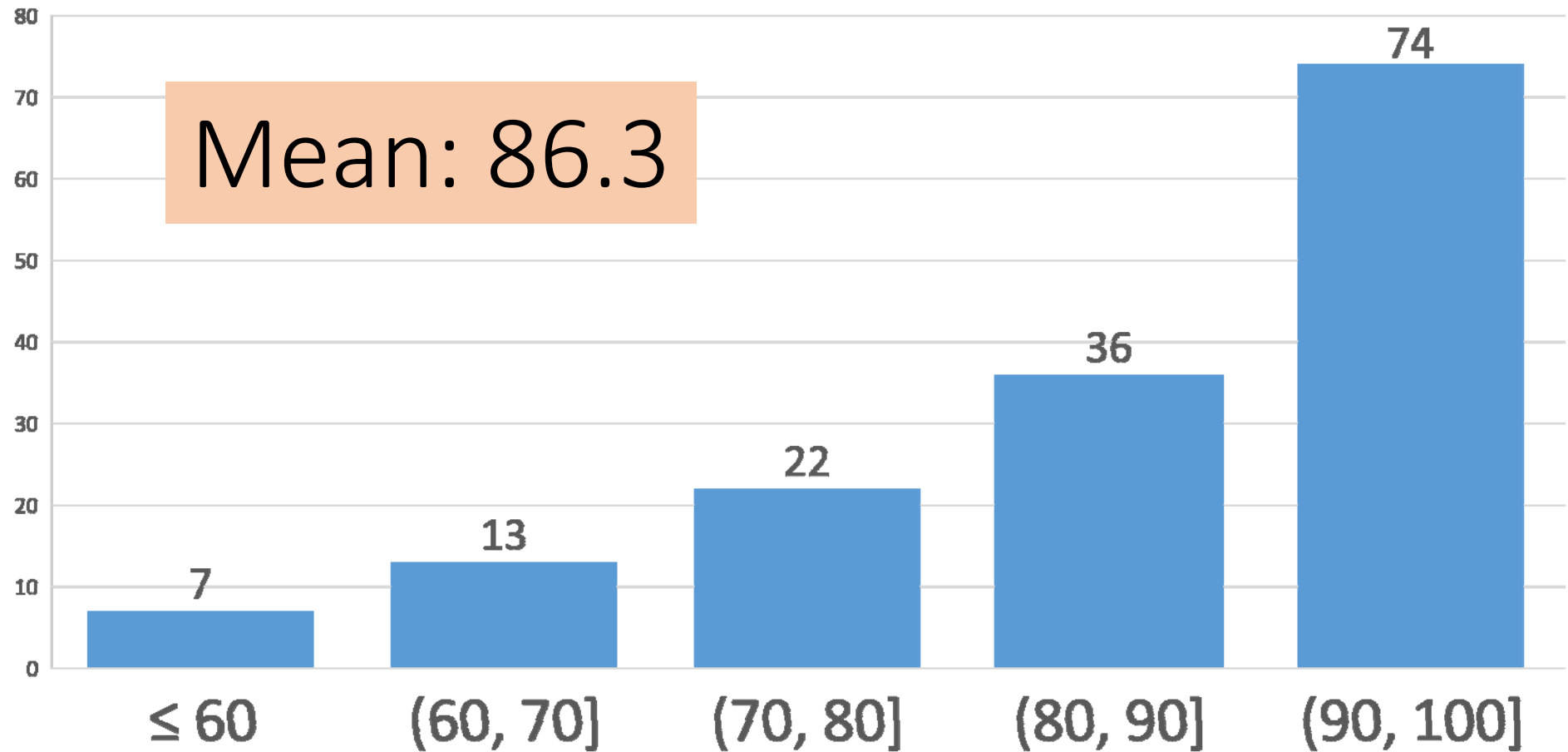
Algorithm 2019

HW 1 Solution

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Algo HW1



1. (10pts) Explain why the statement, “The running time of algorithm A is at least $O(n^2)$.” is meaningless.

假設A的running time為 $T(A)$ ，依題意： $T(A) \geq O(n^2)$ 。

判斷A的upper bound和lower bound:

- Upper bound: 因為 $T(A) \geq O(n^2)$ ，無法確定上限。
- Lower bound: $O(n^2)$ 包含任何小於 n^2 的函數，故 $T(A)$ 的下限為常數。

所有演算法皆符合此upper bound和lower bound，故此敘述沒有意義(沒有有用的資訊)。

The statement is a bit like saying: "The roof of my house is at a height which is at least ground level." True, but completely uninformative.

評分標準:

- 關鍵字: “Big-O的定義” (upper bound、數學型式...) & “至少”，+10分。
- 講到Big-O的定義，+5分
- 講到應該用omega或是應該用至多，+5分

2. (10pts) Come up with a real-world problem in which only the best solution will do. Then come up with one in which a solution that is “approximately” the best is good enough.

一定要best solution才可以(每次找到的答案都一樣):

- 一堆數字依大小排序
- 依索書號在圖書館找書
- 找老公

接近best solution就可以(每次找到的答案可能不一樣):

- 找圓周率: $3.1415926535 \approx 3.14$
- 用google map找兩地點間路線
- 找男朋友

評分標準:

- 缺少前提或條件設定，+9分
- Best solution和approximately best solution只寫其中一個，+5分
- 註: shortest path是最佳解(每次找到都一樣)，需加上情境或限制條件，如: 找google地圖上兩點適宜路徑、在限定時間內能找出的最短路徑。

3. (10pts) Suppose we are comparing implementations of insertion sort and merge sort on the same machine. For input of size n , insertion sort runs in $8n^2$ steps, while merge sort runs in $64n \lg n$ steps. For which values of n does insertion sort beat merge sort?

$$8n^2 < 64n \lg n \implies n/8 < \lg n \implies 2^{n/8} < n$$

$$n=8 \implies 2^{8/8} = 2^1 = 2 < 8$$

$$n=16 \implies 2^{16/8} = 2^2 = 4 < 16$$

$$n=32 \implies 2^{32/8} = 2^4 = 16 < 32 \quad n=64 \implies 2^{64/8} = 2^8 = 256 > 64$$

$$n=(32+64)/2 = 48 \implies 2^{48/8} = 64 > 48$$

$$n=(32+48)/2 = 40 \implies 2^{40/8} = 32 < 40$$

$$n=(40+48)/2 = 44 \implies 2^{44/8} = 44.8 > 44$$

$$n=(40+44)/2 = 42 \implies 2^{42/8} = 34.4 < 42$$

$$n=(42+44)/2 = 43 \implies 2^{43/8} = 42.4 < 43$$

$$\implies 1 < n < 44$$

評分標準:

- 只寫出 n 的不等式，+5分
- 答案的 $<$ 寫 \leq ，+9分

4. (10pts) Prove $\lg(n!) = \Theta(n \lg n)$.

- Consider big-O :

- $\lg(n!) = \lg(n * (n - 1) * (n - 2) * \dots) = \lg(n) + \lg(n - 1) + \dots + \lg(1)$
 $\leq \lg(n) + \lg(n) + \dots + \lg(n) = n \lg n$
 $\Rightarrow \lg(n!) = O(n \lg n)$

- Consider big- Ω :

- $\lg(n!) = \lg\left(n * (n - 1) * \dots \left(\frac{n}{2}\right) * \dots 1\right) = \lg(n) + \lg(n - 1) + \dots \lg\left(\frac{n}{2}\right) + \dots$
 $\geq \lg\left(\frac{n}{2}\right) + \lg\left(\frac{n}{2}\right) + \dots + \lg\left(\frac{n}{2}\right) = \frac{n}{2} \lg \frac{n}{2}$
 $\Rightarrow \lg(n!) = \Omega(n \lg n)$

- By definition:

- $\lg(n!) = \Theta(n \lg n)$.

5. (10pts) Partition the following functions by their asymptotic order. (That is, f and g are in the same partition if, and only if, $f \in \Theta(g)$.) Then list them from the lowest asymptotic order to highest asymptotic order.

$$n, 2^n, n \lg n, n^3, n^2, 7n^5 - n^3 + n, n^2 + \lg n, e^n, \\ \sqrt{n}, 2^{n-1}, \lg \lg n, \lg n, \lg^2 n, n!, n^{1+\varepsilon} (0 < \varepsilon < 1)$$

- *comparing rule:*
- *Constant time < Logarithmic time < Polylogarithmic time < Polynomial time < Exponential time < factorial time*
- $\lg \lg n < \lg n < \lg^2 n < \sqrt{n} < n < n \lg n < n^{1+\varepsilon} (0 < \varepsilon < 1) < n^2 + \lg n = n^2 < n^3 < 7n^5 - n^3 + n < 2^{n-1} = 2^n < e^n < n!$

6. (10pts) Given a sequence of numbers a_1, a_2, a_3, a_4, a_5 , in which condition will the insertion sort has the most and the least swap to sort the sequence to ascending order?

- The worst case: descending order
 - The best case: ascending order
- a_1, a_2, a_3, a_4, a_5 are input order!!!

7. (10pts) Answer “true” or “false” first, then explain the reason.

(a) $2^{n+2} = O(2^n)$

(b) $2^{2n} = O(2^n)$

- $2^{n+2} = O(2^n)$

- $2^{2n} = O(4^n)$

- You can use the definition of big O to explain it.

8. Give asymptotic upper and lower bounds for $T(n)$ in each of the following recurrences. Assume that $T(n)$ is constant for sufficiently small n . Make your bounds as tight as possible, and justify your answers.

a) (5pts) $T(n) = T(9n/10) + n$ (Use Master Theorem)

- By master theorem, $a=1, b=10/9$
- $n^{\log_{10/9} 1} = n^0, f(n) = n^1$
- $f(n) = \Omega(n^{0+\varepsilon}), \varepsilon > 1$ (case 3)
- $af\left(\frac{n}{b}\right) \leq cf(n) \Rightarrow \text{取 } c = \frac{9}{10}, \frac{9n}{10} \leq \frac{9n}{10}$ satisfy regularity condition
- $T(n) = \theta(n)$

8. Give asymptotic upper and lower bounds for $T(n)$ in each of the following recurrences. Assume that $T(n)$ is constant for sufficiently small n . Make your bounds as tight as possible, and justify your answers.

b) (5pts) $T(n) = 4T(n/2) + n^2$ (Use Master Theorem)

- **By master theorem, $a=4, b=2$**
- $n^{\log_2 4} = n^2, f(n) = n^2 = \theta(n^{\log_2 4} \log^k n), k=0$ (Case 2)
- $T(n) = \theta(n^{\log_2 4} \log^{0+1} n) = \theta(n^2 \log n)$

8. (20pts) Give asymptotic upper and lower bounds for $T(n)$ in each of the following recurrences. Assume that $T(n)$ is constant for sufficiently small n . Make your bounds as tight as possible, and justify your answers.

c) (5pts) $T(n) = T(\sqrt{n}) + 1, T(2) = 1$ (Use substitution method)

沒過程：扣分(red circle)

(method.1) let $2^k = n, k = \lg n$, then

$$T(n) = T(\sqrt{n}) + 1$$

$$\Rightarrow T(2^k) = T(2^{k/2}) + 1$$

$$= T(2^{k/2^2}) + 2$$

$$= T(2^{k/2^3}) + 3$$

.....

$$= T(2^1) + \lg k$$

$$= 1 + \lg k$$

$$\therefore T(n) = 1 + \lg k = 1 + \lg \lg n = \Theta(\lg \lg n) \quad 2\text{pts}$$

3pts

If you only write:

$$1 + \lg \lg n = O(\lg \lg n)$$

(-1 points)

c) (5pts) $T(n) = T(\sqrt{n}) + 1, T(2) = 1$ (Use substitution method)

Wrong:

“Guess : $T(n) = \lg \lg(n)$ “

Why?

(method.2) Guess : $T(n) = d \times \lg \lg(n) + c$,

$T(2) = d * \lg \lg(2) + c = c$, and $T(2) = 1$,

it's true when $c = 1$, so $c = 1$

if it's true for all $n \leq k - 1$, then when $n = k$,

$$T(k) = T(\sqrt{k}) + 1$$

$$= d \times \lg \lg(\sqrt{k}) + 1 + 1$$

$$= d \times \lg \left(\frac{1}{2} \lg(k) \right) + 2$$

$$= d \times \left(\lg \frac{1}{2} + \lg \lg(k) \right) + 2$$

$$= -d + d \times \lg \lg k + 2$$

$$= d \times \lg \lg k + (2 - d)$$

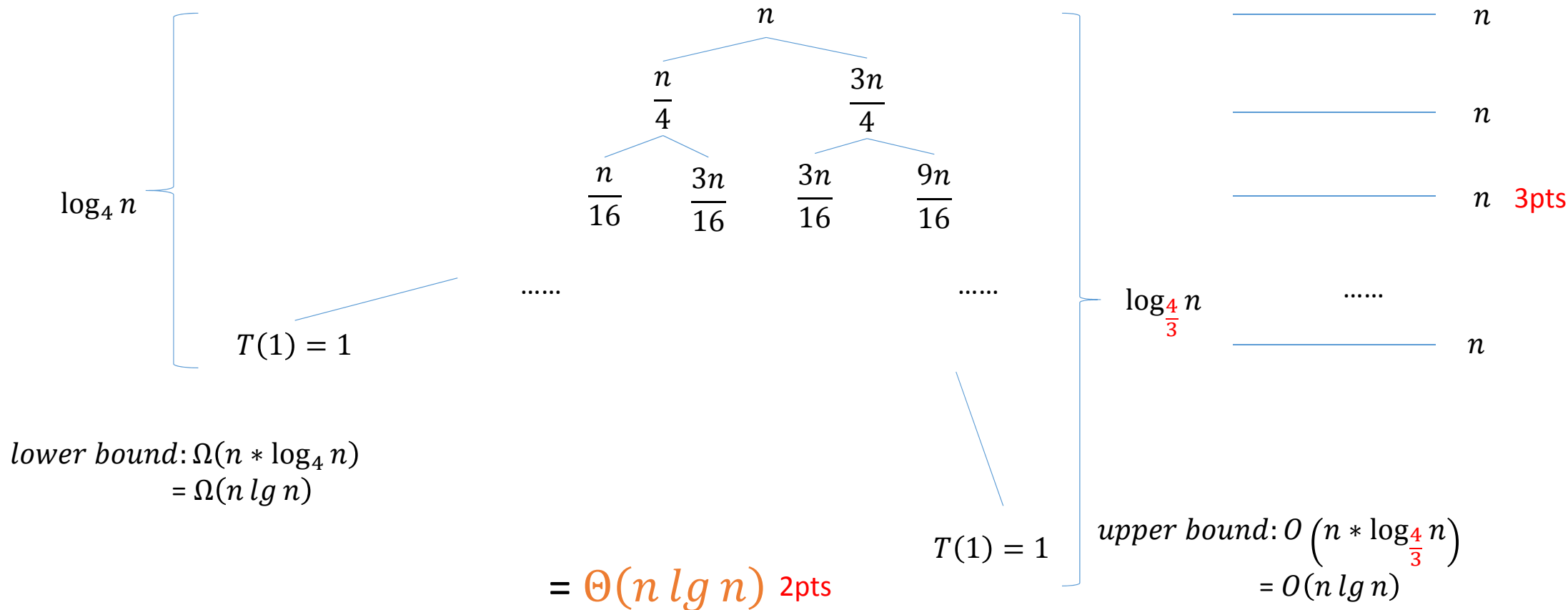
it's true when $d = 1$, and by I. H.,

\therefore we get that $T(n) = \lg \lg n + 1 = \Theta(\lg \lg n)$

8. (20pts) Give asymptotic upper and lower bounds for $T(n)$ in each of the following recurrences. Assume that $T(n)$ is constant for sufficiently small n . Make your bounds as tight as possible, and justify your answers.

d) (5pts) $T(n) = T(n/4) + T(3n/4) + n$ (Use recursion-tree method)

Lower upper 寫反：扣分



9. (10pts) Given the definition of Fibonacci number: ↵

$$F_n = F_{n-1} + F_{n-2}, \text{ for } n \geq 2 \quad \leftarrow$$

$$F_0 = 0, F_1 = 1 \quad \leftarrow$$

(1) Write a *Pseudocode* to compute F_n with *recursive* method. Please analyze your time complexity and give the answer with Asymptotic notation. ↵

2pts

Function $Fib(n)$

if $n \leq 1$

return n

else

return $Fib(n-1) + Fib(n-2)$

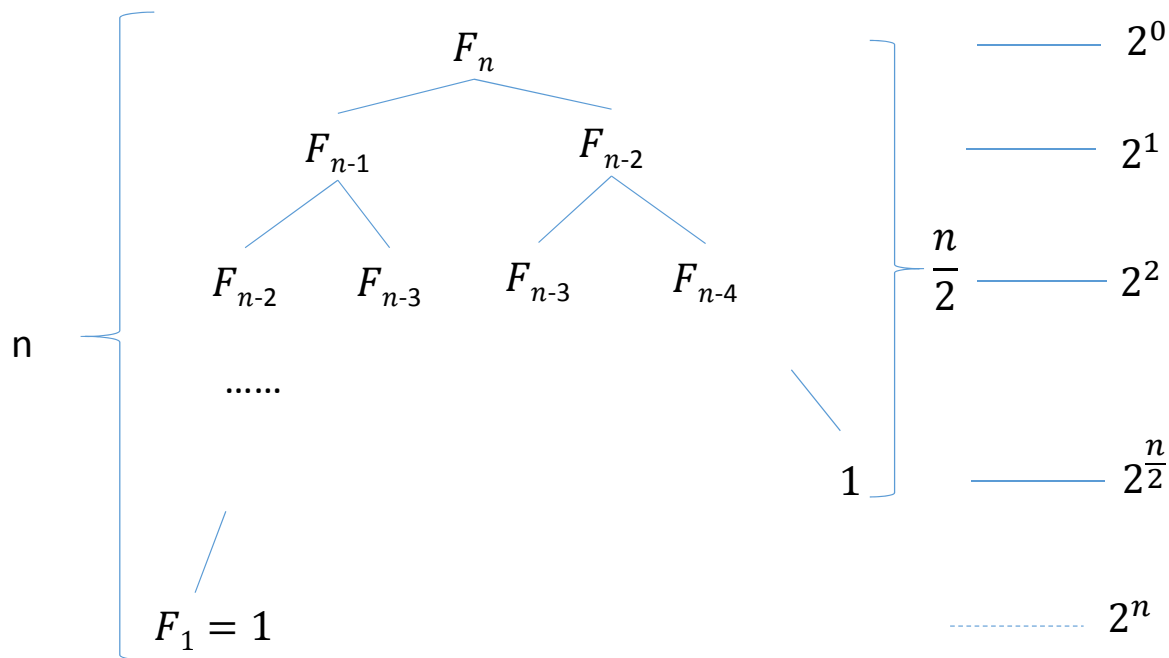
9. (10pts) Given the definition of Fibonacci number: ↵

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(1) Write a *Pseudocode* to compute F_n with *recursive* method. Please analyze your time complexity and give the answer with Asymptotic notation. ↵

3pts Loose bound: use recurrence trees



$$\text{upper bound: } 2^0 + 2^1 + \dots + 2^n = O(2^n)$$

$$\text{lower bound: } 2^0 + 2^1 + \dots + 2^{\frac{n}{2}} = \Omega(2^{\frac{n}{2}})$$

$$\therefore \text{time} = \Theta(2^{\frac{n}{2}})$$

$$\text{true lower bound in this method : } 2^0 + 2^1 + \dots + 2^{\frac{n}{2}} = \Omega(\sqrt{2}^n)$$

9. (10pts) Given the definition of Fibonacci number: ↵

$$F_n = F_{n-1} + F_{n-2}, \text{ for } n \geq 2 \quad \leftarrow$$

$$F_0 = 0, F_1 = 1 \quad \leftarrow$$

(1) Write a *Pseudocode* to compute F_n with *recursive* method. Please analyze your time complexity and give the answer with Asymptotic notation. ↵

tight bound:(1)

let $T(n) = a_n$, then

$$a_n = a_{n-1} + a_{n-2} \Rightarrow a_n = \frac{1}{\sqrt{5}} \left(\left(\frac{1+\sqrt{5}}{2} \right)^n - \left(\frac{1-\sqrt{5}}{2} \right)^n \right)$$

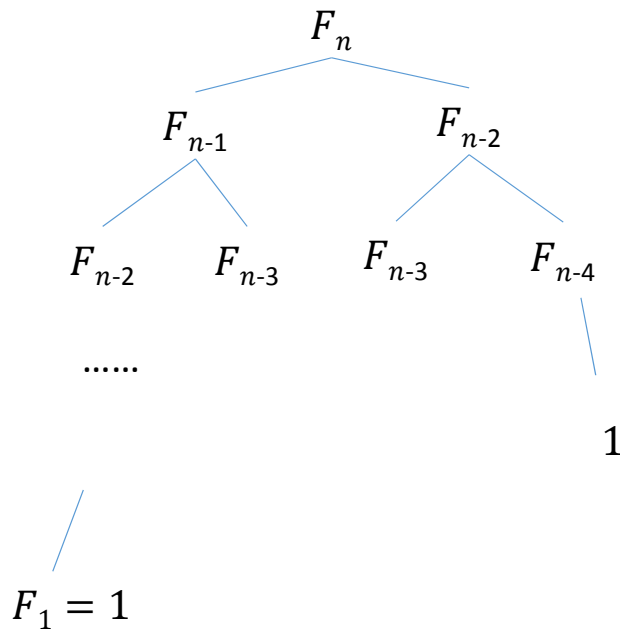
$$\therefore T(n) = \frac{1}{\sqrt{5}} \left(\left(\frac{1+\sqrt{5}}{2} \right)^n - \left(\frac{1-\sqrt{5}}{2} \right)^n \right) = \Theta \left(\left(\frac{1+\sqrt{5}}{2} \right)^n \right)$$

9. (10pts) Given the definition of Fibonacci number: ↵

$$F_n = F_{n-1} + F_{n-2}, \text{ for } n \geq 2 \quad \leftarrow$$

$$F_0 = 0, F_1 = 1 \quad \leftarrow$$

tight bound: (2) use recurrence trees



$$F_n: 1 \text{次}(= F_1)$$

$$F_{n-1}: 1 \text{次}(= F_2)$$

$$F_{n-2}: 2 \text{次}(= F_3)$$

$$F_{n-3}: 3 \text{次}(= F_4)$$

$$F_{n-4}: 5 \text{次}(= F_5)$$

.....

$$F_2: (= F_{n-1})$$

$$F_1: (= F_n)$$

$$F_0: (= F_{n-1})$$

$$\begin{aligned} \text{time} &= F_1 + F_2 + F_3 \dots \dots + F_{n-1} + F_n + F_{n-1} \\ &= F_2 + F_1 + F_2 + F_3 \dots \dots + F_{n-1} + F_n + F_{n-1} - F_2 \\ &= F_3 + F_2 + F_3 \dots \dots + F_{n-1} + F_n + F_{n-1} - F_2 \\ &= F_4 + F_3 \dots \dots + F_{n-1} + F_n + F_{n-1} - F_2 \\ &\dots\dots \\ &= F_{n+1} + F_n + F_{n-1} - F_2 \\ &= F_{n+2} + F_{n-1} - F_2 \end{aligned}$$

(use公式解)

$$\begin{aligned} &= \frac{1}{\sqrt{5}} \left(\left(\frac{1+\sqrt{5}}{2} \right)^{n+2} - \left(\frac{1-\sqrt{5}}{2} \right)^{n+2} \right) - 1 \\ &\quad + \left(\frac{1+\sqrt{5}}{2} \right)^{n-1} - \left(\frac{1-\sqrt{5}}{2} \right)^{n-1} \\ &= \Theta \left(\left(\frac{1+\sqrt{5}}{2} \right)^n \right) \end{aligned}$$

9. (10pts) Given the definition of Fibonacci number: ↵

$$F_n = F_{n-1} + F_{n-2}, \text{ for } n \geq 2 \quad \leftarrow$$

$$F_0 = 0, F_1 = 1 \quad \leftarrow$$

(2) Write a *Pseudocode* to compute F_n with *iterative (loop)* method. Please analyze your time complexity and give the answer with Asymptotic notation. ↵

```
a ← 0
b ← 1
ans ← n
for i ← 2 to n
    ans ← a + b
    a ← b
    b ← ans
return ans
```

or

```
A[0] ← 0
A[1] ← 1
for i ← 2 to n
    A[i] ← A[i-1] + A[i-2]
return A[n]
```

2pts

(1) Explain it is linear algo.
(2) Count $(n-2) * \Theta(1)$
(3) Count how many times each step will do

time = $\Theta(n)$

2pts

1pts

Wrong example

- Assume $T(n) = O(2^n)$

$$T(n) = T(n-1) + T(n-2)$$

$$= O(2^{n-1}) + O(2^{n-2})$$

$$= O(2^n)$$

- You can't use your assumption of $O(2^n)$ to prove.

- You can do

$$\text{Assume } T(n) = O(2^n)$$

$$T(n) = T(n-1) + T(n-2)$$

$$\leq d(2^{n-1}) + d(2^{n-2}) + C$$

... ..

About prove

- 在證這類證明的時候，沒有約等於，沒有 \doteq ，no use of \doteq
 - You should use \geq or \leq or Θ
- You should prove what you assume.
 - Wrong e.g.” Guess : $T(n)=2^n$, but prove $O(2^n)$ or $\frac{3}{4}*2^n$ ”

(Bonus 10pts) :

Give asymptotic tight bounds for $T(n)$ in the following recurrences.

$$T(n) = 27T(n/3) + \Theta(n^3 \lg n)$$

因為是**bonus**寫一半的不給部份分 **sorry~**

let $3^k = n, k = \log_3 n$, then

$$T(n) = 27T(n/3) + \Theta(n^3 / \lg n)$$

$$\Rightarrow T(3^k) = 3^3 T(3^{k-1}) + \Theta(3^{3k} / k)$$

$$= 3^{3 \times 2} T(3^{k-2}) + \Theta(3^{3k} / k) + 3^3 \Theta(3^{3(k-1)} / (k-1))$$

$$= 3^{3 \times 2} T(3^{k-2}) + \Theta(3^{3k} / k) + \Theta(3^{3k} / (k-1))$$

.....

$$= 3^{3k} T(3^{k-k}) + \Theta\left(\frac{3^{3k}}{k}\right) + \Theta\left(\frac{3^{3k}}{k-1}\right) + \Theta\left(\frac{3^{3k}}{k-2}\right) \dots \dots + \Theta\left(\frac{3^{3k}}{k-(k-1)}\right)$$

$$= 3^{3k} (\Theta(1)) + 3^{3k} \left(\Theta\left(\frac{1}{k}\right) + \Theta\left(\frac{1}{k-1}\right) + \Theta\left(\frac{1}{k-2}\right) \dots \dots + \Theta(1) \right)$$

$$= 3^{3k} (\Theta(1)) + 3^{3k} \left(\sum_{i=1}^k \Theta\left(\frac{1}{i}\right) \right)$$

$$= 3^{3k} + 3^{3k} (\Theta(\ln k)) = 3^{3k} + 3^{3k} (\Theta(\lg k))$$

$$\therefore T(n) = n^3 + n^3 \Theta(\lg \lg n) = \Theta(n^3 \lg \lg n)$$