



# COMPILER CONSTRUCTION

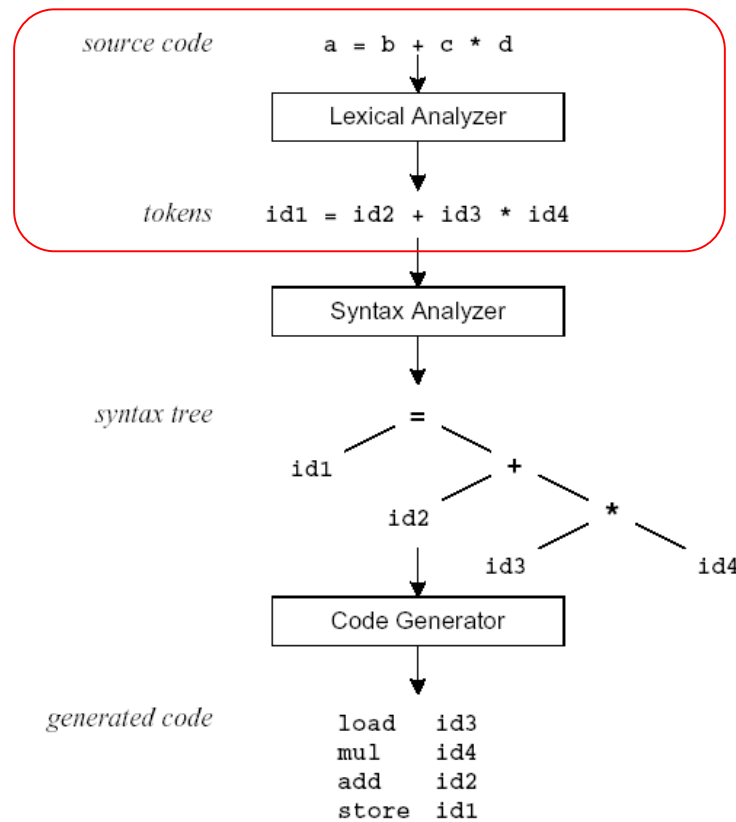
## Lex

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# Where are we?

- Lex and Yacc are able to do the following
- Here, our target is Lex





# Lex and Yacc

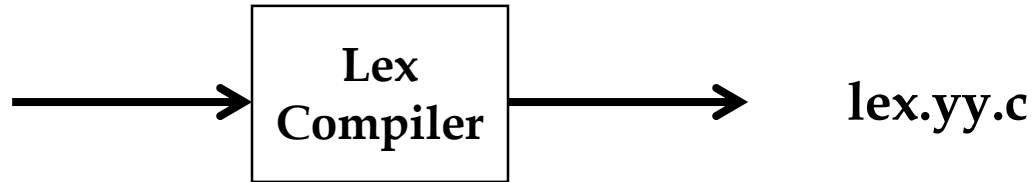
- Two compiler writing tools:
  - Lexical Tokens and their Order of Processing (**Lex**)
  - Context Free Grammar for LALR(1) (**Yacc**)
- Both Lex and Yacc have Long History in Computing
  - Lex and Yacc – Earliest Days of Unix Minicomputers
  - Flex and Bison – From GNU
  - JFlex - Fast Scanner Generator for Java
  - BYacc/J – Berkeley
  - PCLEX and PCYACC from Abacus
  - **ANTLR**, CUP, PCYACC



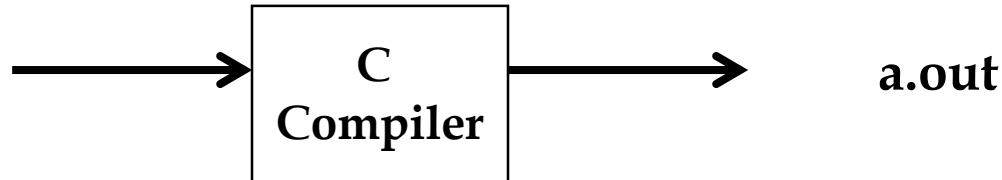
# Lex – A Lexical Analyzer Generator

- A Unix Utility from early 1970s
- A Compiler that takes as source a specification for:
  - Tokens/Patterns of a Language
  - Generates a “C” Lexical Analyzer Program
- Inputs and outputs of Lex

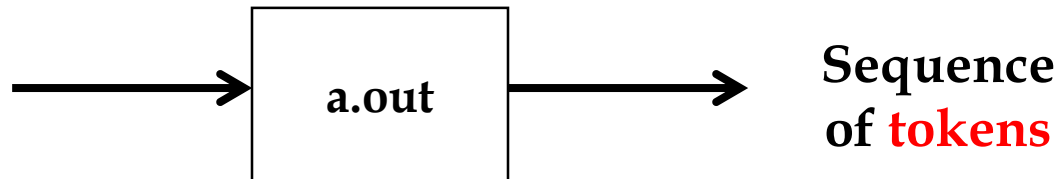
Lex Source Program:  
lex.l



lex.yy.c

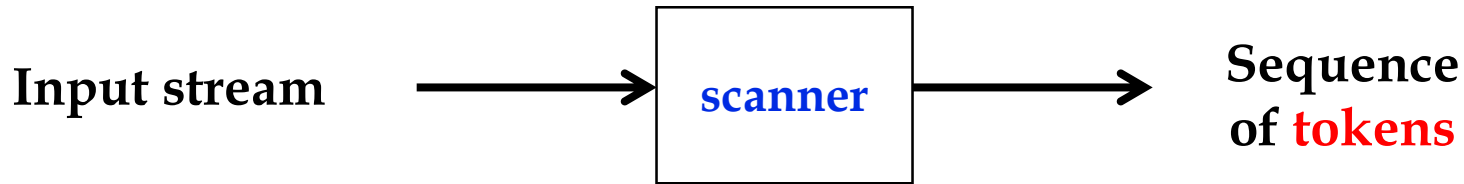


Input stream





# Lex



- The main job of a *lexical analyzer (scanner)* is to break up an input stream into more usable elements (*tokens*)

**a = b + c \* d ;**    ← Input stream

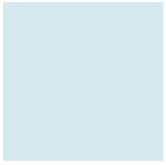
**ID ASSIGN ID PLUS ID MULT ID SEMI**    ← Tokens

- Lex is an utility to help you rapidly generate your scanners



## Lex (Cont'd)

- Lexical analyzers **tokenize** input streams
- Tokens are the **terminals** of a language
  - English
    - words, punctuation marks, ...
  - Programming language
    - Identifiers, operators, keywords, ...
- Regular expressions define **terminals/tokens**



# Lex Source to C Program

- The input (.l) is translated to a C program (lex.yy.c) which
  - reads an input stream,
  - partitions the input into strings which match the given expressions, and
  - copies it to an output stream if necessary



# Lex vs. Yacc

- Lex
  - Generates C code for a lexical analyzer, or **scanner**
  - Uses **patterns** that match strings in the input and converts the strings to **tokens**
- Yacc
  - Generates C code for syntax analyzer, or **parser**
  - Uses **grammar rules** that allow it to analyze tokens from Lex and create a **syntax tree**
- **Together they form a compiler**





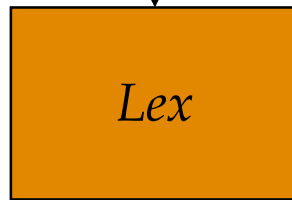
# Lex & Yacc

Lex source code  
(Lexical rules)

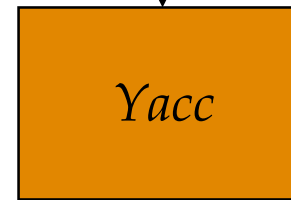
Yacc source code  
(Grammar rules)

1<sup>st</sup>

programming  
assignment



lex.yy.c

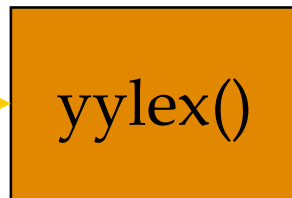


y.tab.c

2<sup>nd</sup> & 3<sup>rd</sup>

programming  
assignments

Input



call



return token

Parsed  
Input



# Format of a Lexical Specification

- lex.l is divided into 3 parts:

## 1. Declarations:

- Defs, Constants, Types, #includes, etc. that can occur in **a C Program**
- Regular Definitions (expressions)

## 2. Translation rules:

- Pairs of (**Regular Expression, Action**)
- Informs lexical analyzer of action when pattern is recognized

## 3. Auxiliary procedures:

- Designer Defined **C Code**
- E.g., symbol table codes

lex.l file format:

DECLARATIONS

%%

TRANSLATION RULES

%%

AUXILIARY PROCEDURES

# lex.l Example



```
%{
```

```
#define T_IDENTIFIER 300
#define T_INTEGER     301
#define T_REAL        302
#define T_STRING      303
#define T_ASSIGN      304
#define T_ELSE        305
#define T_IF          306
#define T_THEN        307
#define T_EQ          308
#define T_LT          309
#define T_NE          310
#define T_GE          311
#define T_GT          312
```

User defined values to each token (else lex will assign)

```
%}
```

Regular expression rules for later token definitions

```
letter    [a-zA-Z]
digit     [0-9]
ws        [ \t\n]+
id        [A-Za-z][A-Za-z0-9]*
comment   "(*([^\n|\"*" + [^)]*)*"*)"
integer   [0-9]+(/[0-9]*|"..")
real      [0-9]+."[0-9]*([0-9]|"E"[+-]?[0-9]+)
string    \'([^\']*|\'\'*)\'
```

Token definitions and action

```
":"="      {printf(" %s ", yytext);return(T_ASSIGN);}
"else"     {printf(" %s ", yytext);return(T_ELSE);}
```

# lex.1 Example



"then"

```
{#ifdef PRNTFLG
printf(" %s ", yytext);
#endif
return(T_THEN);
}
```

Conditional compilation action

"<="

```
{printf(" %s ", yytext);return(T_EQ);}
"
```

"<"

```
{printf(" %s ", yytext);return(T_LT);}
"
```

"<>"

```
{printf(" %s ", yytext);return(T_NE);}
"
```

">="

```
{printf(" %s ", yytext);return(T_GE);}
"
```

">"

```
{printf(" %s ", yytext);return(T_GT);}
}
```

Token definitions  
and action

{id}

```
{printf(" %s ", yytext);return(T_IDENTIFIER);}
{integer}
```

{integer}

```
{printf(" %s ", yytext);return(T_INTEGER);}
{real}
```

{real}

```
{printf(" %s ", yytext);return(T_REAL);}
{string}
```

{string}

```
{printf(" %s ", yytext);return(T_STRING);}
{comment}
```

{comment}

```
{/* T_COMMENT */}
{ws}
```

{ws}

```
{/* spaces, tabs, newlines */}
%%
```

%%

Discard

yywrap()

```
{return 0;}
```

EOF for input

main()

```
{
  int i;
  do {
    i = yylex();
  } while (i!=0);
}
```

Three lex&yacc variables:  
1. **yytext** = "currenttoken"  
2. **yylen** = 12  
3. **yyval** = 300



# Internal Variables in Lex

- You may find the variables useful when writing translation rules
- `char *yytext;`
  - Pointer to current lexeme terminated by `'\0'`
- `int yylen;`
  - Number of characters in `yytext` but not `'\0'`
- `yylval`:
  - Global variable through which the token value can be returned to Yacc
  - Parser (Yacc) can access `yylval`, `yylen`, and `yytext`
- How are these used?  
Consider **integer tokens**:  
`yylval = ascii_to_integer (yytext);`  
→ Conversion from *string* to actual *integer value*



# Internal Variables in Lex (Cont'd)

- FILE **\*yyin**
  - The input of the lex, pointing to the current file position
  - Default is set to stdin
- FILE **\*yyout**
  - The output of the lex program
  - Default is set to stdout
- **yylineno**
  - The current line number of yyin



# Lex Library Routines

- **yylex()**
  - The default main() contains a call of yylex()
- **yymore()**
  - return the next token
- **yyless(n)**
  - retain the first n characters in yytext
- **yywarp()**
  - is called whenever Lex reaches an end-of-file
  - The default yywarp() always returns 1



# Lex Regular Expressions (Extended Regular Expressions)

- A regular expression matches a set of strings
- Regular expression
  - Operators
  - Character classes
  - Arbitrary character
  - Optional expressions
  - Alternation and grouping
  - Context sensitivity
  - Repetitions and definitions





# Operators

“ \ [ ] ^ - ? . \* + | ( ) \$ / { } % < > ”

- Considered as the meta-character of the regular expressions used in Lex
- If they are to be used as **text characters**, an escape should be used

$\backslash \$$  = “\$”

$\backslash \backslash$  = “\”

- Every character is always a text character, except *blank*, *tab* ( $\backslash t$ ), *newline* ( $\backslash n$ ) and **the list above**



# Character Classes [ ]

- [abc]
  - matches **a single character**, which may be a, b, or c
- Every operator meaning is ignored
  - except \ – and ^
- Examples:

[ab]                      => a or b

[a-z]                      => a or b or c or ... or z

[-+0-9]                      => all the digits and the two signs

[^a-zA-Z]                      => any character which is not a letter



# Arbitrary Character .

- The operator character .
  - is the class of all characters, except newline

An escape character example:

- `[\40-\176]`
  - matches all printable characters in the ASCII character set, from  $40_{\text{octal}}$  (space) to  $176_{\text{octal}}$  (tilde~)



# Optional & Repeated Expressions

- $a?$   $\Rightarrow$  zero or one instance of  $a$
- $a^*$   $\Rightarrow$  zero or more instances of  $a$
- $a^+$   $\Rightarrow$  one or more instances of  $a$
- Examples:
  - $ab?c$   $\Rightarrow$   $ac$  or  $abc$
  - $[a-z]^+$   $\Rightarrow$  all strings of lower case letters
  - $[a-zA-Z][a-zA-Z0-9]^*$   
 $\Rightarrow$  all alphanumeric strings with a leading alphabetic character



# Precedence of Operators

- Level of precedence
  1. Kleene closure (\*), ?, +
  2. concatenation
  3. alternation (|)
- All operators are **left associative**
- Ex:  $a^*b | cd^* = ((a^*)b) | (c(d^*))$



# Pattern Matching Primitives

Metacharacter	Matches
.	any character except newline
\n	newline
*	zero or more copies of the preceding expression
+	one or more copies of the preceding expression
?	zero or one copy of the preceding expression
^	beginning of line / complement
\$	end of line
a   b	a or b
(ab)+	one or more copies of ab (grouping)
[ab]	a or b
a{3}	3 instances of a
"a+b"	literal "a+b" (C escapes still work)



# Regular Expression and its Action

```
// Input stream
a = b + c;
```

```
// Input stream
a = b + c;

// Output
a operator: ASSIGNMENT b + c;
```

```
...
%%
<regex> <action>
<regex> <action>
...
%%
```

```
%%
[abcd]      {printf("%s ", yytext);}
"="         {printf("operator: ASSIGNMENT");}
...
```



# Transition Rules

- regexp <one or more blanks> action (C code);
- regexp <one or more blanks> { actions (C code) }
- A null statement ; will ignore the input (no actions)

– Example:

[ \t\n] ;

Causes the three spacing characters to be ignored

```
// Input stream
a = b + c;
d = b * c;

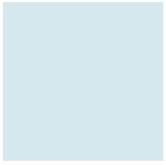
↓ ↓
// Corresponding output for the rule
a=b+c;d=b*c;
```





## Transition Rules (cont'd)

- Four special options for actions:  
|, **ECHO**;, **BEGIN**, and **REJECT**;
- | indicates that the action for this rule is from the action for the next rule
  - [ \t\n] ;
  - “ ” |
  - “\t” |
  - “\n” ;      ← the three applies the same rule
- The **unmatched token** is using a default action:  
**ECHO** from the input to the output



# Transition Rules (cont'd)

- **REJECT**

- Go do the next alternative rule
- It causes whatever rule was second choice after the current rule to be executed

- The matching rules depend on the tool you use:
  - Matching rules for Flex:
    1. Match the longest possible token
    2. Of the tokens with the same length, prefer the pattern **earlier** in the source file



# Transition Rules (cont'd)

- **BEGIN**

- For conditional rules

## **BEGIN name1;**

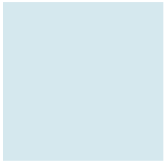
- Executing the action statement enters a start condition, which changes the start condition to **name1**

## **BEGIN o;**

- Executing the action statement resets the initial condition of the Lex automaton interpreter

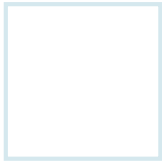
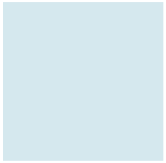
Example:

```
%START AA BB CC
%%
^a      {ECHO; BEGIN AA;}
^b      {ECHO; BEGIN BB;}
^c      {ECHO; BEGIN CC;}
\n      {ECHO; BEGIN o;}
<AA>magic    printf("first");
<BB>magic    printf("second");
<CC>magic    printf("third");
```



# Usage

- To run Lex on a source file, type  
**lex scanner.l**
- It produces a file named `lex.yy.c` which is a C program for the lexical analyzer
- To compile `lex.yy.c`, type  
**cc lex.yy.c -ll**
- To run the lexical analyzer program, type  
**./a.out < inputfile**



# Versions of Lex

- Versions:

- AT&T Lex

[http://www.combo.org/lex\\_yacc\\_page/lex.html](http://www.combo.org/lex_yacc_page/lex.html)

- GNU Flex

<https://github.com/westes/flex>

- Win32 version of Flex:

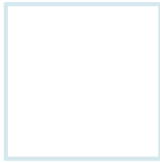
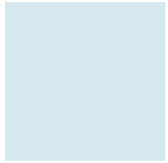
<http://gnuwin32.sourceforge.net/packages/flex.htm>

- Lex on Cygwin :

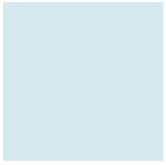
<https://www.cygwin.com/>

- Each Lex implementation may has its own **character**

- Please refer to [the online manual for Lex](#) on [The Lex & Yacc Page](#)



# QUESTIONS?



## A lex.l example for tokens in **Pascal**

# A Pascal lex.1

```
%{  
#include "y.tab.h"  
%}
```

letter	[a-zA-Z]
digit	[0-9]
ws	[ \t\n]+
id	[A-Za-z][A-Za-z0-9]*
comment	"(*"([^\n] \"\"+[^)])***"+")"
integer	[0-9]+/([0-9] "..")
real	[0-9]+."[0-9]*([0-9]"E"[+-]?[0-9]+)
string	\'([^\n] \\\'*)\'

```
%%
```

":="	{return(T_ASSIGN);}
":"	{return(T_COLON);}
"array"	{return(T_ARRAY);}
"begin"	{return(T_BEGIN);}
"case"	{return(T_CASE);}
"const"	{return(T_CONST);}
"downto"	{return(T_DOWNTO);}
"do"	{return(T_DO);}
"else"	{return(T_ELSE);}
"end"	{return(T_END);}
"file"	{return(T_FILE);}
"for"	{return(T_FOR);}





# A Pascal lex.1 (Cont'd)

```
"function" {return(T_FUNCTION);}  
/* "goto"      {return(T_GOTO);} */  
"if"          {return(T_IF);}  
"label"       {return(T_LABEL);}  
"nil"         {return(T_NIL);}  
"not"         {return(T_NOT);}  
"of"          {return(T_OF);}  
/* "packed"    {return(T_PACKED);} */  
"procedure"   {return(T_PROCEDURE);}  
"end"         {return(T_END);}  
"program"     {return(T_PROGRAM);}  
"record"      {return(T_RECORD);}  
"repeat"      {return(T_REPEAT);}  
"set"         {return(T_SET);}  
"then"        {return(T_THEN);}  
"to"          {return(T_TO);}  
"type"        {return(T_TYPE);}  
"until"       {return(T_UNTIL);}  
"var"         {return(T_VAR);}  
"while"       {return(T_WHILE);}  
/* "with"      {return(T_WITH);} */  
"+"          {return(T_PLUS);}  
"_"          {return(T_MINUS);}  
"or"          {return(T_OR);}  
"and"         {return(T_AND);}  
"div"         {return(T_DIV);}  
"mod"         {return(T_MOD);}  
"/"          {return(T_RDIV);}
```



# A Pascal lex.1 (Cont'd)

```
"*"           {return(T_MULT);}
"("           {return(T_LPAREN);}
")"           {return(T_RPAREN);}
"="           {return(T_EQ);}
","           {return(T_COMMA);}
".."          {return(T_RANGE);}
"."           {return(T_PERIOD);}
"["           {return(T_LBRACK);}
"]"           {return(T_RBRACK);}
"<="          {return(T_EQ);}
"<"           {return(T_LT);}
"<>"          {return(T_NE);}
">="          {return(T_GE);}
">"           {return(T_GT);}
"in"          {return(T_IN);}
"^"           {return(T_UPARROW);}
";"           {return(T_SEMI);}

{id}          {return(T_IDENTIFIER);}
{integer}     {return(T_INTEGER);}
{real}        {return(T_REAL);}
{string}      {return(T_STRING);}
{comment}     {/* T_COMMENT */}
{ws}          {/* spaces, tabs, newlines */}
```

