



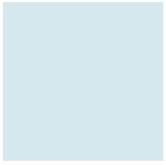
COMPILER CONSTRUCTION

Code Analysis & Optimizations I

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Chapter X

Code Analysis and Optimizations



Outline

- Introductions to code optimization techniques
- Control Flow Analysis
- Note:
 - The contents of Code Analysis and Optimizations do not follow the chapter order in the book
 - You can find related materials online to know more about the contents, or
 - refer to the books:
 - Some of the contents are available in the Ch. 14 of the **textbook**
 - Ch. 14 ~ 14.2.1, 14.3.2 (Live Variables), 14.4, and 14.5

(The information of the following books is listed in **Reference** slide at the end of the file)

 - You may refer to **Advanced Compiler Design & Implementation**
 - Sec. 7.1, 8.1, 8.3, 8.4, and 14.1.3 (Live Variables Analysis)
 - You may refer to the reference book (**Dragon Book**)
 - Sec. 8.4 and 8.5
 - Sec. 9.1, 9.2, 9.3 (optional), and 9.4

Compiler Optimizations

character stream

Lexical Analyzer (Scanner)

token stream

Syntax Analyzer (Parser)

syntax tree

Semantic Analyzer

AST

Intermediate Code Generator

IR

Optimizations

IR is the input and output of compiler passes

IR

Code Generator

target-machine code

target-machine code

- Simplified figure
- Opt. are done at different levels of IRs

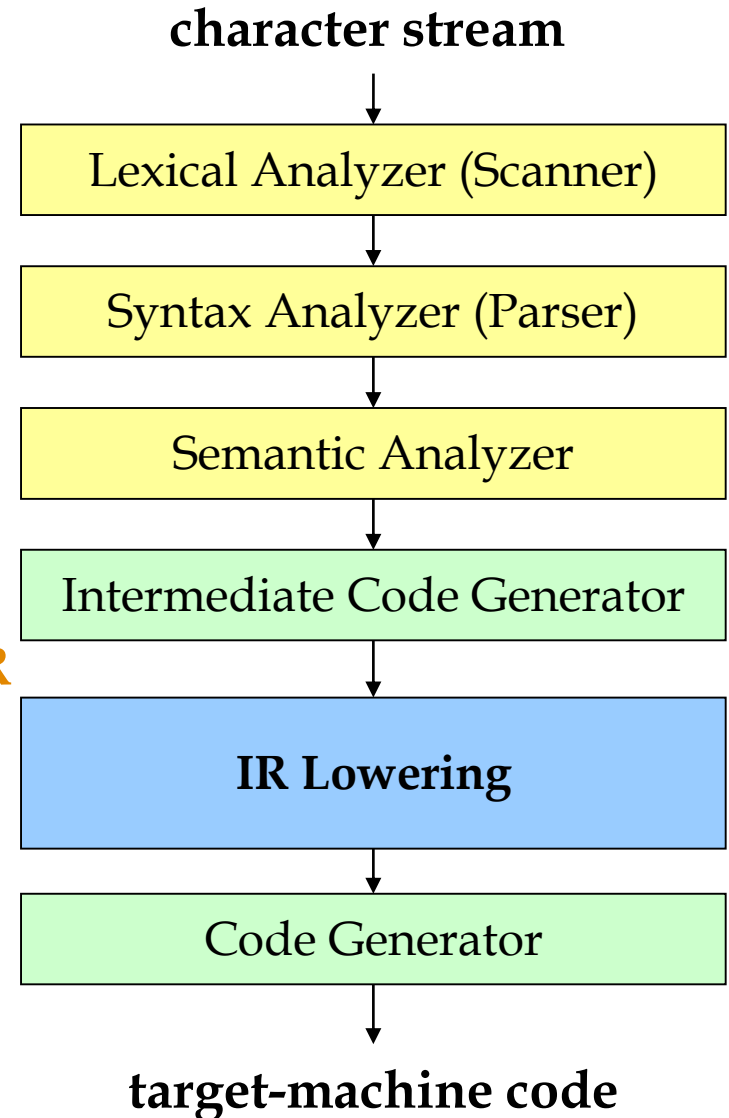


Another View of IR

- Different levels of IRs
 - **High-level IR**
Close to source language; machine-independent optimizations
 - **Low-level IR**
Close to target machine; machine-dependent optimizations

High-level IR

Low-level IR



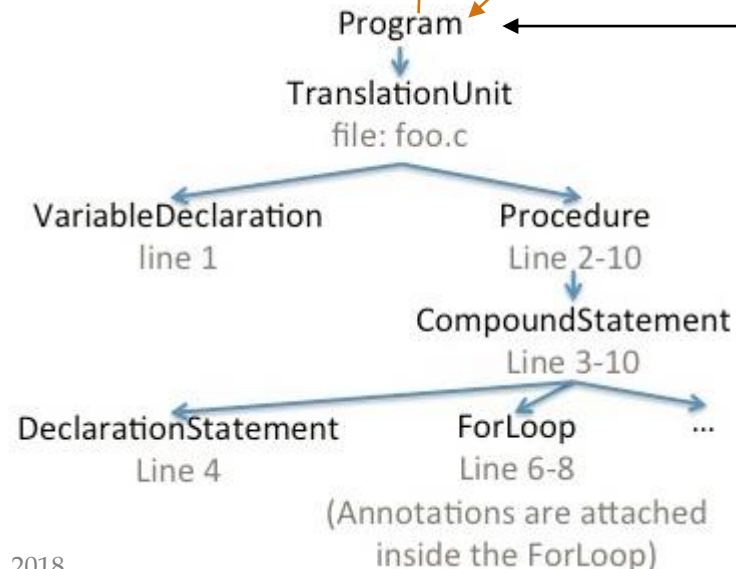


Another View of IR (Cont'd)

Optimizations are done at different level IR(s)

Convert source program to IR

```
0 /* file: foo.c */
1 int c = 10;
2 int main(void)
3 {
4     int i, a[100], b[100];
5     #pragma acc parallel loop
6     for( i=0; i<100; i++ ) {
7         a[i] = c*b[i];
8     }
9     ...
10 }
```



Root of IR structure



What Are the Optimizations?

- Optimizations
 - are referred to as the **code transformations** that improve the execution efficiency of the program (in the current context)
- Execution efficiency may refer to:
 - **Space**: improve memory usage, e.g., smaller size of machine code
 - **Time**: improve execution time, e.g., less running time of the program
 - **Energy**: improve consumed energy, e.g., less energy consumed during the program execution
- Adopt conservative approach for optimizations
 - Transformations must be **safe**,
 - i.e., the program semantics should be preserved &
 - the program will be executed *correctly*



Why Optimizations?

- High-level languages are more
 - human readable, modular, cleaner,
 - but may not be good for efficient machine execution
- High-level language may make some optimizations inconvenient or impossible to express
- High-level unoptimized code may be more readable: cleaner, modular
 - `int square(x) { return x*x; }`
 - `int mulby2(y) { return 2*y; }`

a. What is the potential problem? What will happen if the functions are called many many times?
b. How to do the optimization?



Where to Optimize?

- Goal: improve execution time
- Problem: many optimizations trade off space versus time
- Example: Loop Unrolling

```
/* Copy 20 elements */  
for (i=0; i<20; i++)  
{  
    a[i] = b[i];  
}
```



```
/* Unrolled four times */  
for (i=0; i<20; i+=4)  
{  
    a[i] = b[i];  
    a[i+1] = b[i+1];  
    a[i+2] = b[i+2];  
    a[i+3] = b[i+3];  
}
```



Where to Optimize? (Cont'd)

- Goal: improve execution time
- Problem: many optimizations trade off space versus time
- Example: Loop Unrolling
 - Increase code size and speed up one loop
 - Frequently executed code with long loops: space/time tradeoff is generally a win
 - Infrequently executed code: may want to optimize code space at expense of time
 - Want to optimize program **hot spots**
 - which requires performance analysis beforehand



Many Possible Optimizations

- Many ways to improve program efficiency
- Some of the most common optimizations:
 - a. Function inlining
 - b. Function cloning
 - c. Constant folding
 - d. Constant propagation
 - e. Dead code elimination
 - f. Loop-invariant code motion
 - g. Common sub-expression elimination
 - h. Data prefetching
 - i. Loop unrolling
 - j. ... YOU NAME IT
- A quick question:
 - Is the order of the optimizations affecting the performance?
 - E.g., $\text{execution_time}_{\text{prog}}(a+b+c+d) = ? = \text{execution_time}_{\text{prog}}(d+c+b+a)$



Constant Propagation

- Replace use of variable with constant
 - if value of variable is known to be a constant
- Example
 - $n = 10$
 - $c = 2$
 - `for (i=0; i<n; i++) { s = s+i*c; }`
- Replace n, c:
 - `for (i=0; i<10; i++) { s = s+i*2; }`
- Each variable must be replaced only when it has known **constant value**:
 - Forward from a constant assignment
 - Until **next assignment of the variable**
 - that requires another program analysis to know exact locations at which a variable's *uses* and *defines* occur



Constant Folding

- Transform the computation expression into the corresponding constant
 - Evaluate an expression if operands are known at compile time, i.e., they are constants
- Example
 - $x = 1.1 * 2;$ $\Rightarrow x = 2.2;$
- Performed at every state of compilation
 - E.g., Constants are created during translations or optimizations
 - $\text{int } x = a[2];$ \Rightarrow $t1 = 2 * 4;$ \Rightarrow $t1 = 8;$
 $t2 = a + t1;$
 $x = *t2$

Can we apply further opt. on the low-level code?

Low level code

Applied CF on the low level code

$\Rightarrow t1 = 2 * 4;$

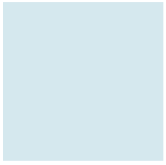
$\Rightarrow t1 = 8;$

$t2 = a + t1;$

$t2 = a + t1;$

$x = *t2$

$x = *t2$



Algebraic Simplification

- More general form of constant folding:
take advantage of usual simplification rules

$$a * 1 \Rightarrow a$$

$$a * 0 \Rightarrow 0$$

$$a / 1 \Rightarrow a$$

$$a + 0 \Rightarrow a$$

$$b \parallel \text{false} \Rightarrow b$$

$$b \parallel \text{true} \Rightarrow b$$

- Repeatedly apply the above rules

$$- (y * 1 + 0) / 1 \Rightarrow y * 1 + 0 \Rightarrow y * 1 \Rightarrow y$$

- Must be careful with floating point!!!



Copy Propagation

- Replace uses of **x** with **y**
 - after seeing assignment **x = y**

```
x = y;  
if (x > 1)  
    s = x * f(x - 1);
```



```
x = y;  
if (y > 1)  
    s = y * f(y - 1);
```

- What if there was an assignment **y = z** before?
 - Transitively apply replacements
 - You might like to find out how!



Common Subexpression Elimination

- Reuse the computed value
 - instead of computing the same expression multiple times
- Example:

$a = b + c;$

$c = b + c;$

$d = b + c;$



$a = b + c;$

$c = a;$

$d = b + c;$



Unreachable Code Elimination

- Eliminate code which is never executed
- Example:

```
#define debug false
```

```
s = 1;
```

```
if (debug)
```

```
    printf("state = %d.", s);
```



```
s = 1;
```

- Unreachable code may not be obvious in low IR
 - Or, in high-level languages with unstructured “goto” statements



Unreachable Code Elimination (Cont'd)

- Unreachable code in **while/if** statements when:
 - Loop condition is always false (loop never executed)
 - Condition of an if statement is always true or always false (only one branch executed)

- Example:

if (false) S; \Rightarrow ;

if (true) S; else S'; \Rightarrow S;

if (false) S; else S'; \Rightarrow S';


while (false) S; \Rightarrow ;

while(2>3) S; \Rightarrow ;



Dead Code Elimination

- Eliminate the statement
 - if effect of the statement is never observed

<code>x = y + 1;</code>		<code>x = y + 1;</code>
<code>y = 1;</code>		<code>y = 1;</code>
<code>x = 2 * z;</code>		<code>x = 2 * z;</code>

- Variable is dead if never used after definition
- Eliminate assignments to dead variables
- Other optimizations may create dead code



Loop Optimizations

- Program hot spots are usually loops
 - There are exceptions: OS kernels, compilers
- Most execution time in most programs is spent in loops: 90/10 is typical
 - High performance computing applications are good examples
- Loop optimizations are important, effective and numerous



Loop-invariant Code Motion

- Hoist the statement out of the loop
 - if result of the statement or expression does not change among loop iterations, and
 - it has no externally-visible side-effect
- Often useful for array element addressing computations
 - which are sometimes invariant code and not visible at source level
- Require analysis to identify loop-invariant expressions



Code Motion Example I

- Identify invariant expression:

```
for (i=0; i<n; i++)  
    a[i] = a[i] + (x*x)/(y*y)
```

- Hoist the expression out of the loop:

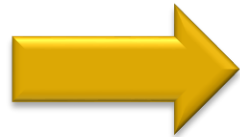
```
c = (x*x)/(y*y)  
for (i=0; i<n; i++)  
    a[i] = a[i] + c
```



Code Motion Example II

- Can also hoist statements out of loops
- Assume x not updated in the loop body:

```
...  
while (...) {  
     $y = x * x$ ;  
    ...  
}  
...
```



```
...  
 $y = x * x$ ;  
while (...) {  
    ...  
}  
...
```

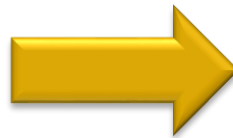
- Is it a safe transformation?



Strength Reduction

- Replaces expensive operations by cheap ones
 - E.g., using adds/subtracts instead of multiplies/divides
- Strength reduction more effective in loops
- Induction variable
 - is a loop variable whose value is depending linearly on the iteration number
- Apply strength reduction into induction variables

```
s = 0;
for (i=0; i<n; i++) {
    v = 4 * i;
    s = s + v;
    ...
}
```



```
s = 0;
v = -4;
for (i=0; i<n; i++) {
    v = v + 4;
    s = s + v;
    ...
}
```




Strength Reduction (Cont'd)

- Can apply strength reduction to computation other than induction variables:

$x * 2$

$i * 2^c$

$i / 2^c$



$x + x$

$i \ll c$

$i \gg c$



Induction Variable Elimination

- If there are multiple induction variables in a loop
 - eliminate the ones which are used only in the test condition
- Need to rewrite test using the other induction variables
- Usually applied after strength reduction

```
s = 0;  
v = -4;  
for (i=0; i<n; i++) {  
    v = v + 4;  
    s = s + v;  
    ...  
}
```



```
s = 0;  
v = -4;  
for ( ; v<(4*n+4); ) {  
    v = v + 4;  
    s = s + v;  
    ...  
}
```



Loop Unrolling

- Execute loop body multiple times at each iteration
- Example:
 - for (i=0; i<n; i++) { S; }
- Unroll loop four times:
 - for (i=0; i<n; i+=4) { S; S; S; S; }
- Space-time tradeoff: program size increases
- Get rid of $\frac{3}{4}$ of conditional branches!!!
- Open the door for more aggressive optimizations



Function Inlining

- Replace a function call with the function body

```
int g (int x) {  
    return f(x) - 1;  
}
```

```
int f (int n) {  
    int b = 1;  
    while (n--) { b = 2*b; }  
    return b;  
}
```



```
int g (int x) {  
    int r;  
    int n = x;  
    {  
        int b = 1;  
        while (n--) { b = 2*b; }  
        r = b;  
    }  
    return r -1;  
}
```



Function Cloning

- Create specialized versions of functions
 - that are called from different call sites with different arguments

```
void f (int x[], int n, int m) {
    for (int i = 0; i < n; i++) { x[i] = x[i] + i * m; }
}
```

- For a call $f(a, 10, 1)$, create a specialized version of f :

```
void f' (int x[]) {
    for (int i = 0; i < 10; i++) { x[i] = x[i] + i; }
}
```

- For another call $f(b, p, 0)$, create another version f''



When to Apply Optimizations

IR Levels

- High level IR
- Low level IR
- Assembly

Optimizations applied

- Function inlining
- Function cloning
- Constant folding
- Constant propagation
- Value numbering
- Dead code elimination
- Loop-invariant code motion
- Common sub-expression elimination
- Strength reduction
- Constant folding & propagation
- Branch prediction/optimization
- Loop unrolling
- Register allocation
- Cache optimization



Summary

- Many useful optimizations that can transform code to make it faster
- Whole is greater than sum of parts
 - Optimizations should be applied together, sometimes more than once, at different levels
- Program transformations should be performed safely



Optimization Safety

- Optimizations must be safe
 - Execution of transformed code must **yield same results** as the original code for **all possible executions**
- Safety of code transformations
 - usually requires certain information which may be not explicit in the code
- Example: dead code elimination
 - **Improper code (statements) elimination will lead to compilation error or execution error**



Optimization Safety (Cont'd)

- Example: dead code elimination
 1. $x = y + 1;$
 2. $y = 2 * z;$
 3. $x = y + z;$
 4. $z = 1;$
 5. $z = x;$
- Which statements are dead and can be removed safely?



Optimization Safety (Cont'd)

- Example: dead code elimination
 - a) $x = y + 1;$
 - b) $y = 2 * z;$
 - c) $x = y + z;$
 - d) $z = 1;$
 - e) $z = x;$
- Need to know what value assigned to x at **a)** is never used later
 - I.e., x is dead at statement **a)**
 - Obvious for this simple example (with no control flow)
 - Not obvious for complex flow of control



Dead Code Example

- Add control flow to the previous example

$x = y + 1;$

$y = 2 * z;$

if (d) $x = y + z;$

$z = 1;$

$z = x;$

- Now, what is dead code?

— $x = y + 1;$

— $z = 1;$

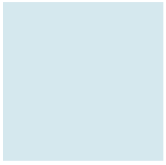


Dead Code Example (Cont'd)

- Add control flow to the previous example

```
x = y + 1;  
y = 2 * z;  
if (d) x = y + z;  
z = 1;  
z = x;
```

- Statement is **x = y + 1;** not dead code now!!!
- On **some executions**, value (of **x**) is used later



Dead Code Example (Cont'd)

- Add more control flow to the previous example:

```
while (c) {  
    x = y + 1;  
    y = 2 * z;  
    if (d) x = y + z;  
    z = 1;  
}  
z = x;
```

- Now, what is dead code?
 - **x = y + 1;**
 - **z = 1;**



Dead Code Example (Cont'd)

- Add more control flow to the previous example:

```
while (c) {  
    x = y + 1;  
    y = 2 * z;  
    if (d) x = y + z;  
    z = 1;  
}  
z = x;
```

- Statement $x = y + 1$; not dead (as before)
- Statement $z = 1$; not dead either
- On **some executions**, value from $z = 1$; is used later (i.e., next iteration)



Low-level Code

- Much harder to eliminate dead code in low-level code:

Label L1:

fjump c L2

x = y + 1

y = 2 * z

fjump d L3

x = y + z

Label L3:

z = 1

jump L1

Label L2:

z = x

Now, are the two statements dead?



Low-level Code (Cont'd)

- Much harder to eliminate dead code in low-level code:

Label L1:

fjump c L2

x = y + 1

y = 2 * z

fjump d L3

x = y + z

Label L3:

z = 1

jump L1

Label L2:

z = x

It is harder to analyze flow of control in low-level code



Optimizations vs. Control Flow

- Application of optimizations requires information
 - Dead code elimination: need to know if variables are dead when assigned values
 - It gets harder when the low-level code with *gotos*
- Required information
 - Not explicit in the program
 - Must compute it **statically (at compile-time)**
 - Must characterize all **dynamic (run-time) executions**
- Control flow makes it hard to extract information
 - Branches and loops in the program
 - Different executions
 - Different branches taken, different number of loop iterations executed



Control Flow Graphs

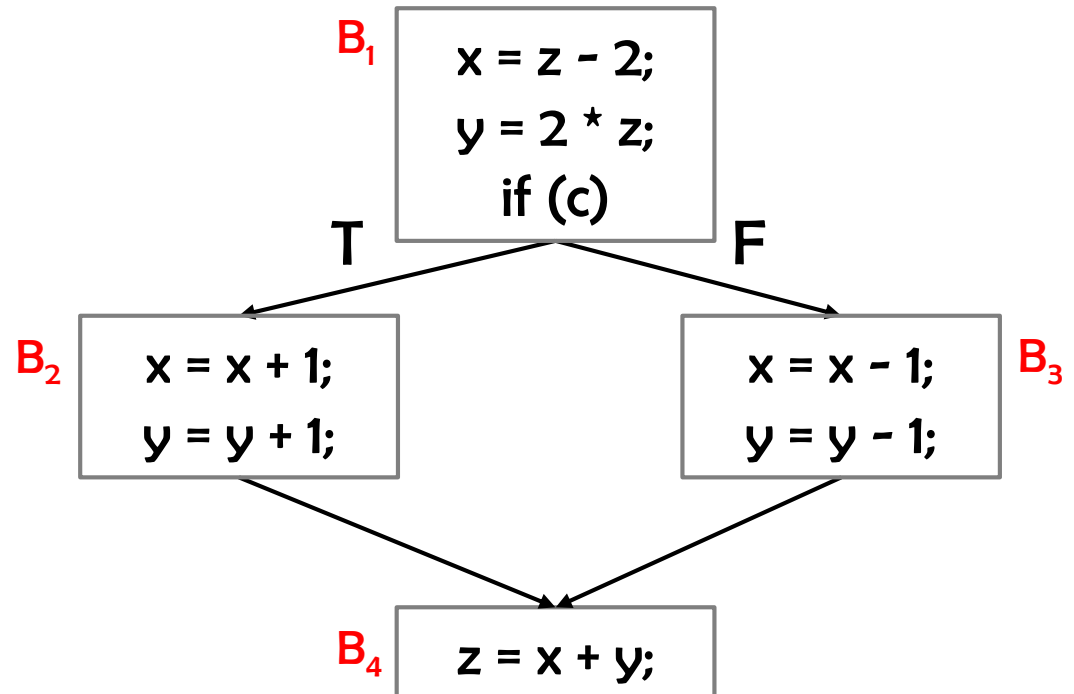
- Control Flow Graph (CFG)
 - Graph representation of computation and control flow in the program
 - Framework to statically analyze program control-flow
- Nodes are **Basic Blocks (BBs)**
 - each of which contains sequences of consecutive non-branching statements
- Edges
 - represent **possible flow of control** from the end of one block to the beginning of the other
 - There may be multiple incoming/outgoing edges for each block



CFG Example

- Program and its CFG

```
x = z - 2;  
y = 2 * z;  
if (c) {  
    x = x + 1;  
    y = y + 1;  
}  
else {  
    x = x - 1;  
    y = y - 1;  
}  
z = x + y;
```



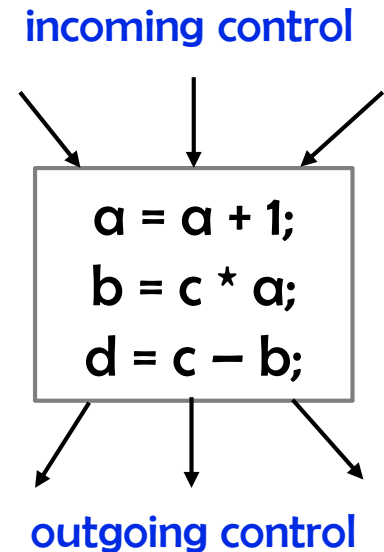


Basic Blocks

- **Basic block**

- Sequence of consecutive statements such that:
- control enters only at beginning of sequence, and
- control leaves only at the end of sequence

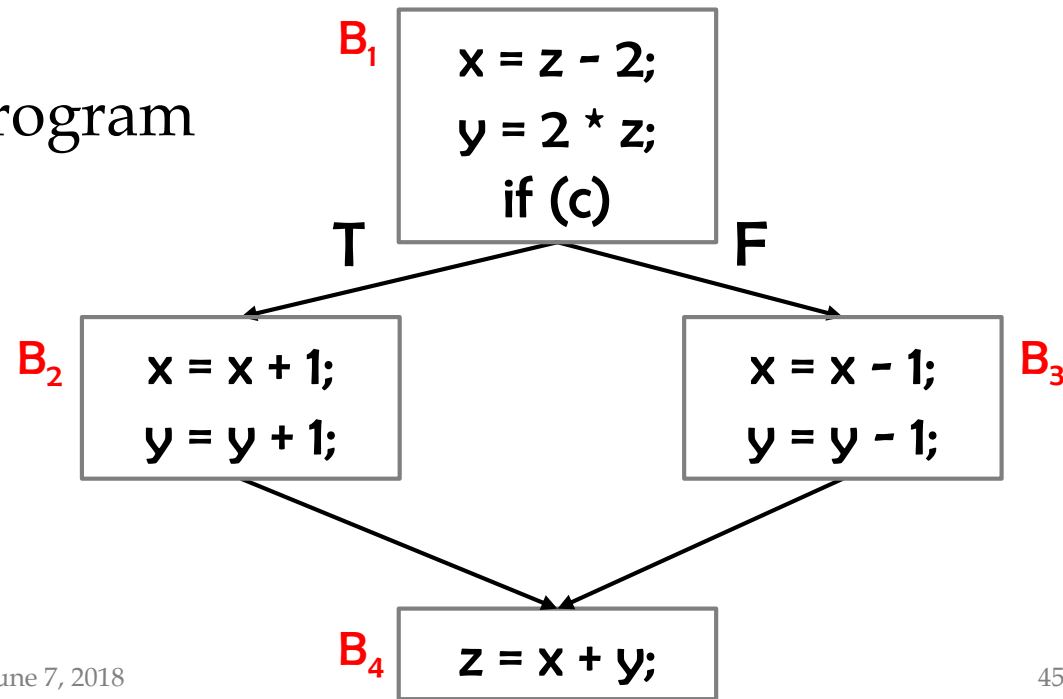
- **No branching in or out in the middle of basic blocks**





Computation and Control Flow

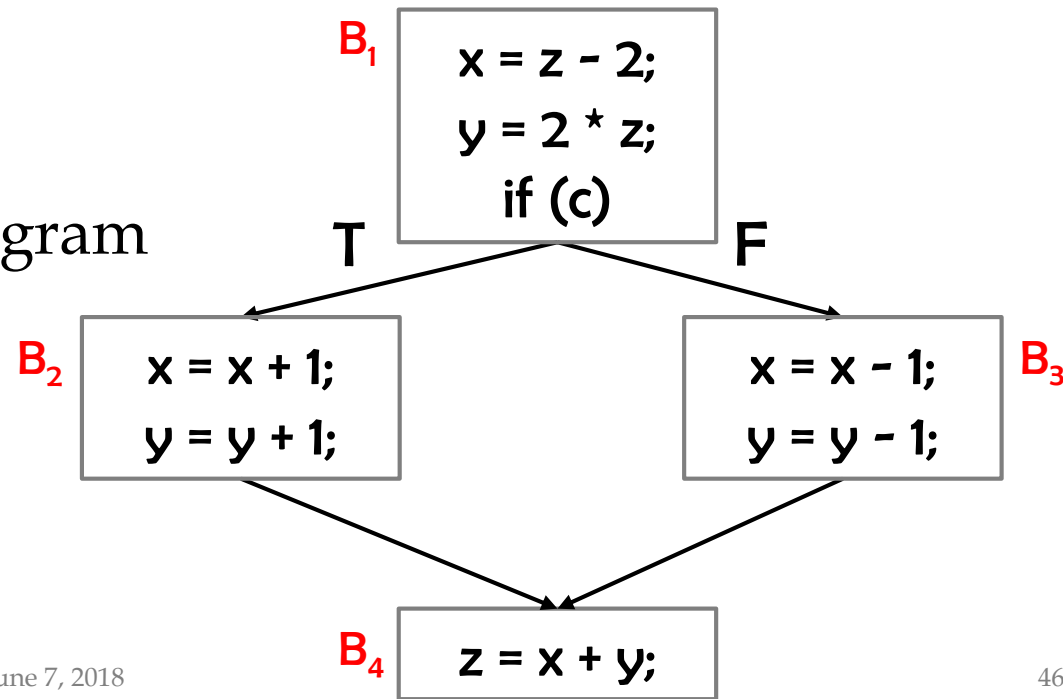
- Basic Blocks
 - Nodes in the CFG
 - Computation in the program
- Edges in the graph
 - Control flow in the program





Multiple Program Executions

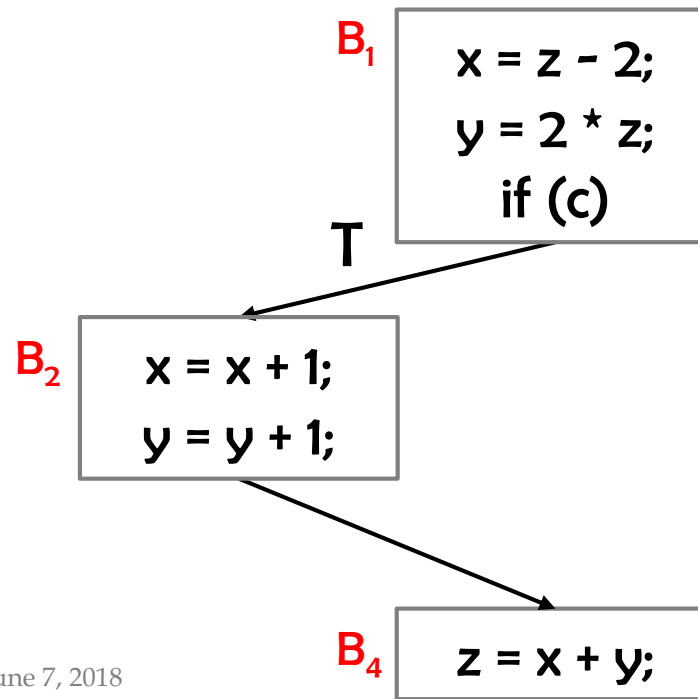
- CFG models all program executions
 - A path in the graph
- Possible execution
 - Multiple possible program executions





Execution 1

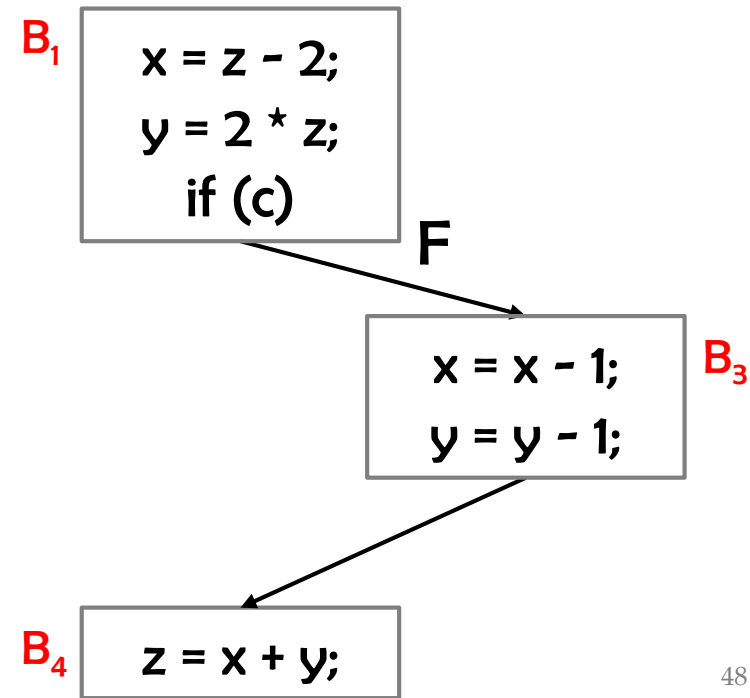
- CFG models all program executions
- Possible execution
→ A path in the graph
- Execution 1:
 - c is true
 - Program executes basic blocks, B_1 , B_2 , and B_4





Execution 2

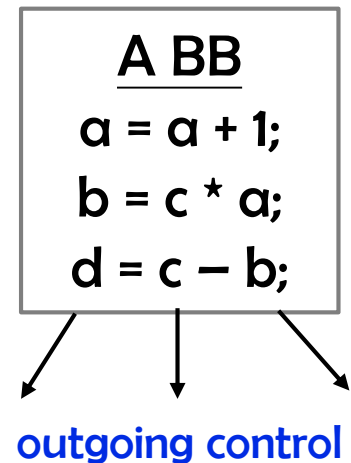
- CFG models all program executions
- Possible execution
→ A path in the graph
- Execution 2:
 - c is true
 - Program executes basic blocks, B_1 , B_3 , and B_4





Edges Going Out

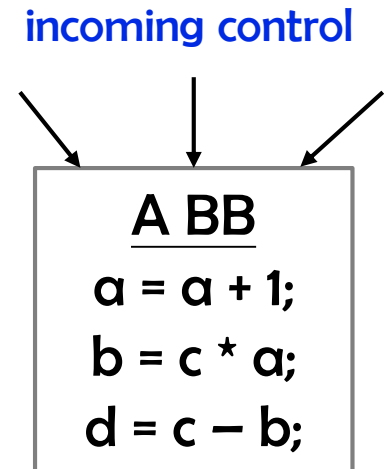
- Multiple outgoing edges
- Basic block executed next **may be** one of the successor basic blocks
- Each outgoing edge
 - Outgoing flow of control in **some execution** of the program





Edges Coming In

- Multiple incoming edges
- Control may come from any of the predecessor basic blocks
- Each incoming edge
 - Incoming flow of control in **some execution** of the program





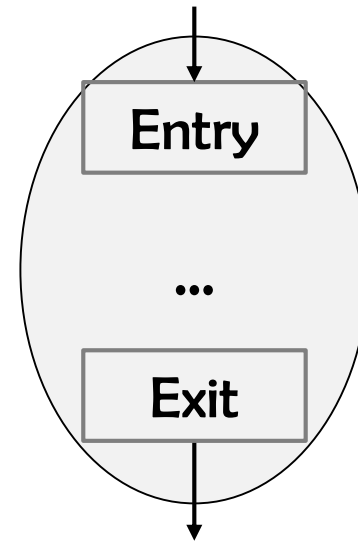
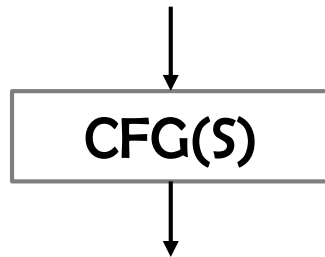
Build the Control Flow Graph

- During the compilation process
 - compiler represents the program using either High IR or Low IR
 - Can construct CFG for either of the two intermediate representations
- Build CFG for High IR
 - Construct CFG for each High IR node
- Build CFG for Low IR
 - Analyze jump and label statements



CFG for High-level IR

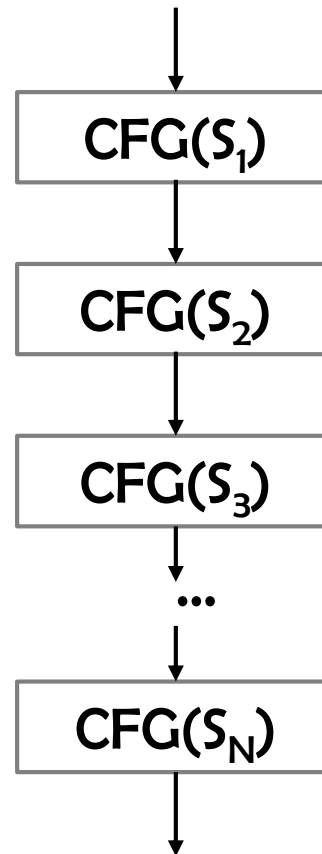
- $\text{CFG}(S)$
 - Flow graph of a high-level statement S
- $\text{CFG}(S)$ is single-entry, single-exit graph
 - One entry node (basic block)
 - One exit node (basic block)





CFG for Block Statement

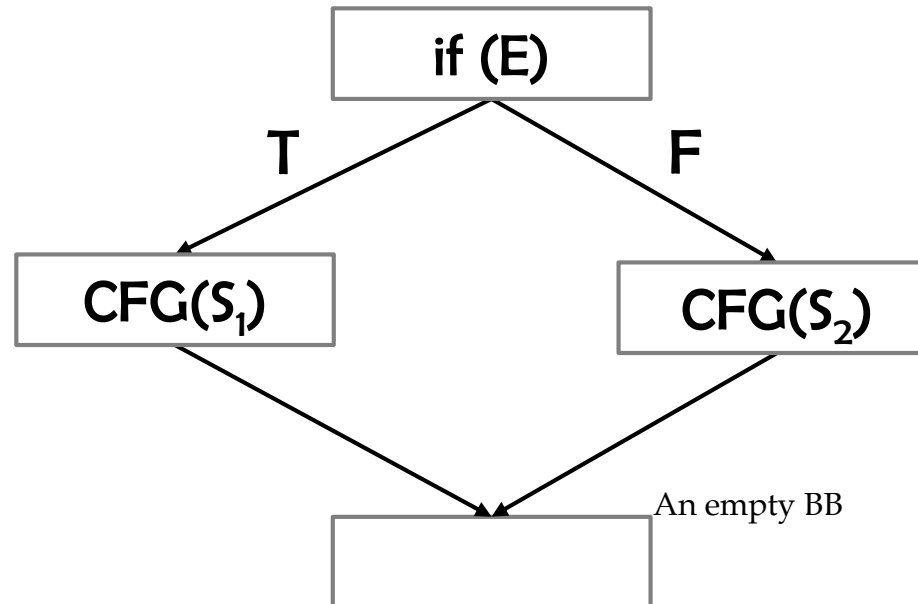
- CFG ($S_1; S_2; \dots; S_N$)





CFG for If-then-else Statement

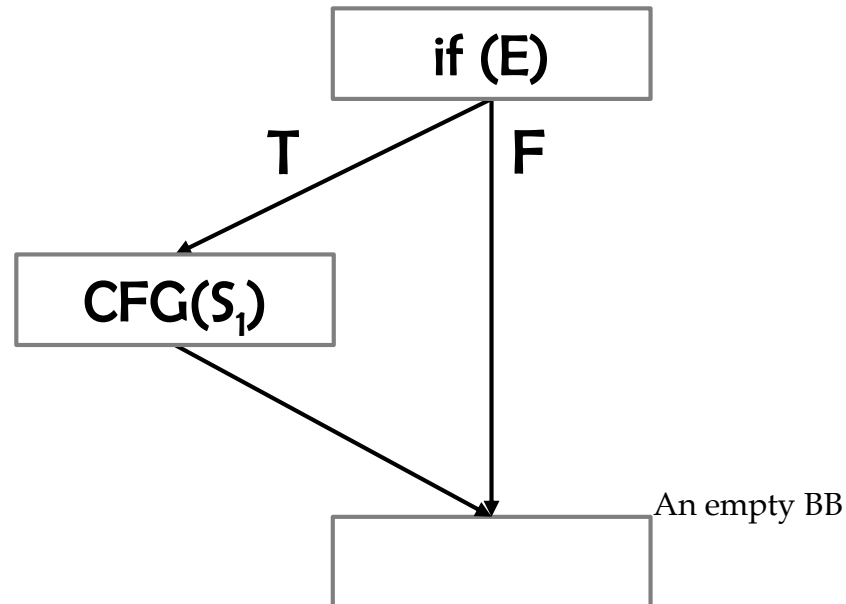
- CFG (if (E) S_1 ; else S_2 ;





CFG for If-then Statement

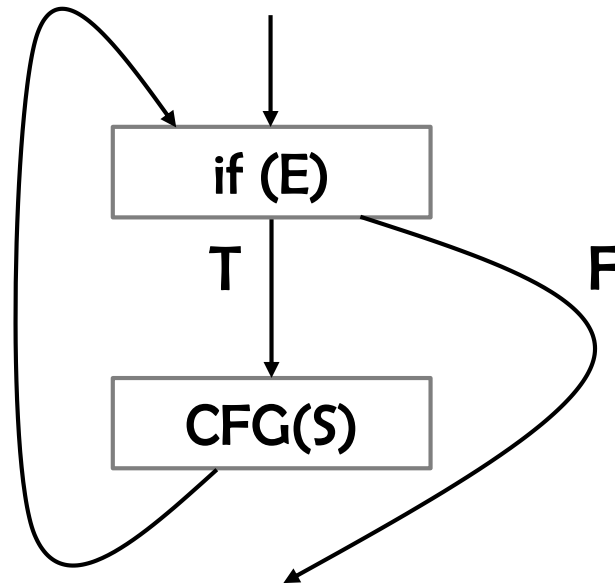
- CFG (if (E) S_1 ;)





CFG for While Statement

- CFG (while (E) S;)





Recursive CFG Construction

- Nested Statements
 - Recursively construct CFG while traversing IR nodes

- Example

```
while (c) {  
    x = y + 1;  
    y = 2 * z;  
    if (d) x = y + z;  
    z = 1;  
}  
z = x;
```

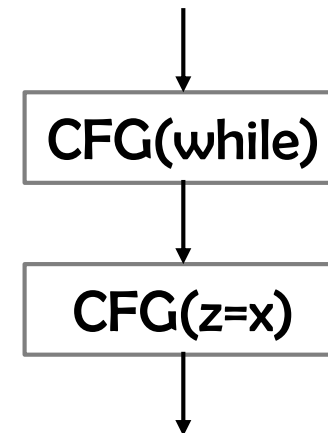


Recursive CFG Construction (Cont'd)

- Nested Statements
 - Recursively construct CFG while traversing IR nodes

- Example

```
while (c) {  
    x = y + 1;  
    y = 2 * z;  
    if (d) x = y + z;  
    z = 1;  
}  
z = x;
```



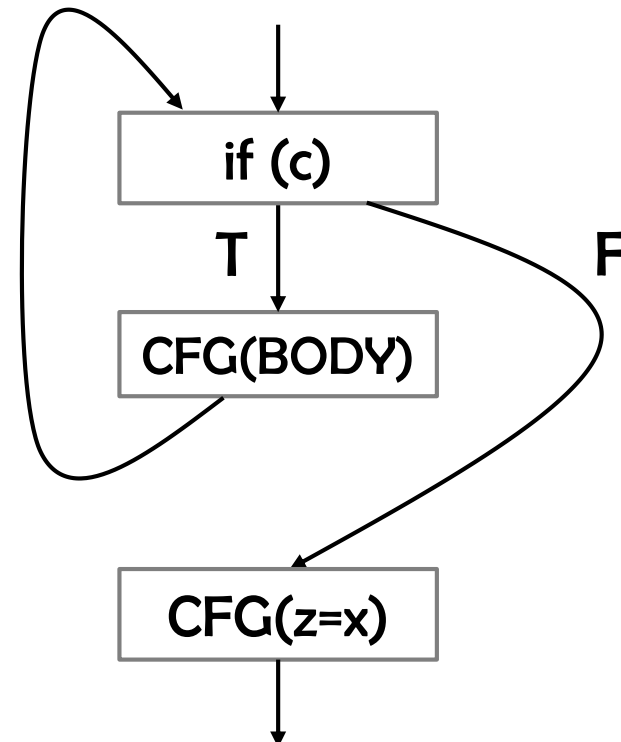


Recursive CFG Construction (Cont'd)

- Nested Statements
 - Recursively construct CFG while traversing IR nodes

- Example

```
while (c) {  
    x = y + 1;  
    y = 2 * z;  
    if (d) x = y + z;  
    z = 1;  
}  
z = x;
```



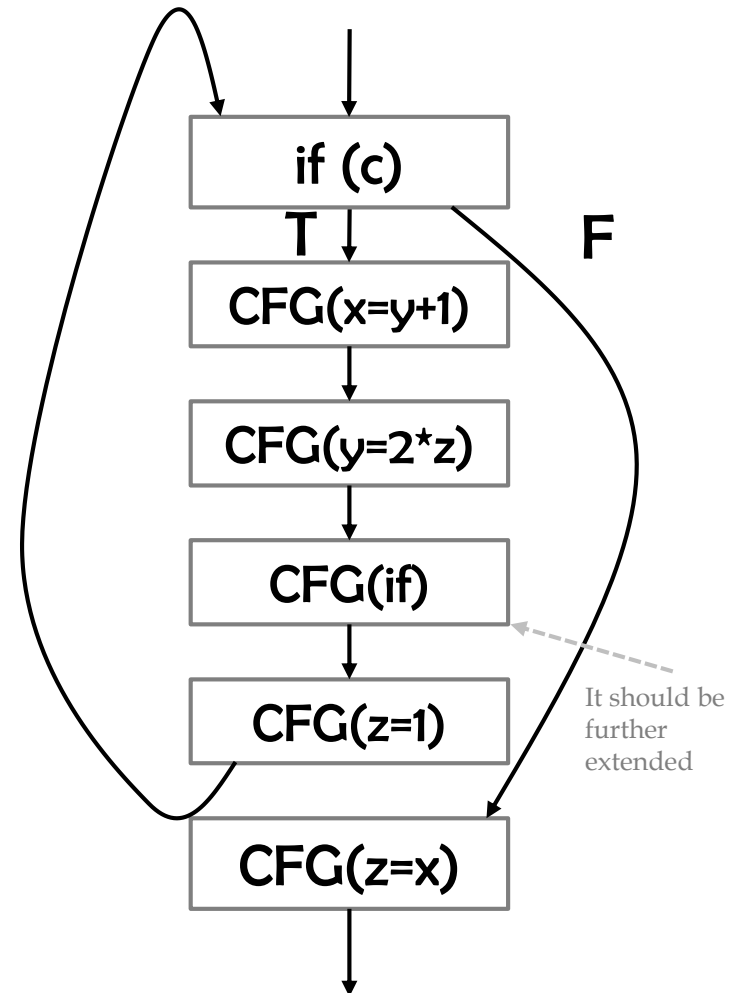


Recursive CFG Construction (Cont'd)

- Nested Statements
 - Recursively construct CFG while traversing IR nodes

- Example

```
while (c) {
    x = y + 1;
    y = 2 * z;
    if (d) x = y + z;
    z = 1;
}
z = x;
```





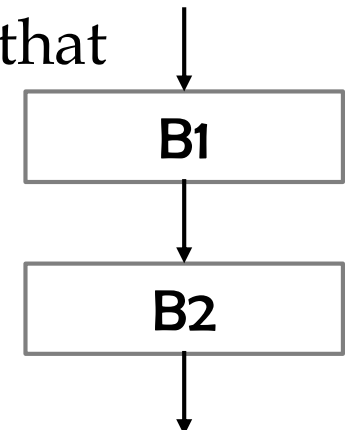
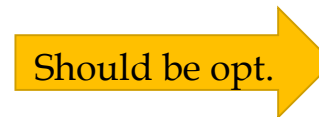
Recursive CFG Construction (Cont'd)

- The above shows a simple algorithm to build CFG
- Generated CFG (with **small BBs**)
 - Each basic block has a single statement
 - There are empty basic blocks
- **Small basic blocks** → Inefficient
 - Small blocks → many nodes in CFG
- Compiler uses CFG to perform optimization
 - Many nodes in CFG → Compiler optimizations will be time- and space-consuming



Efficient CFG Construction

- Basic blocks in CFG:
 - As few as possible
(number of BBs)
 - As large as possible
(number of Instructions within BBs)
- For efficient CFG construction:
 1. There should be no pair of BBs (B1, B2) such that
 - B2 is a successor of B1
 - B1 has one outgoing edge
 - B2 has one incoming edge
 2. There should be no empty BBs



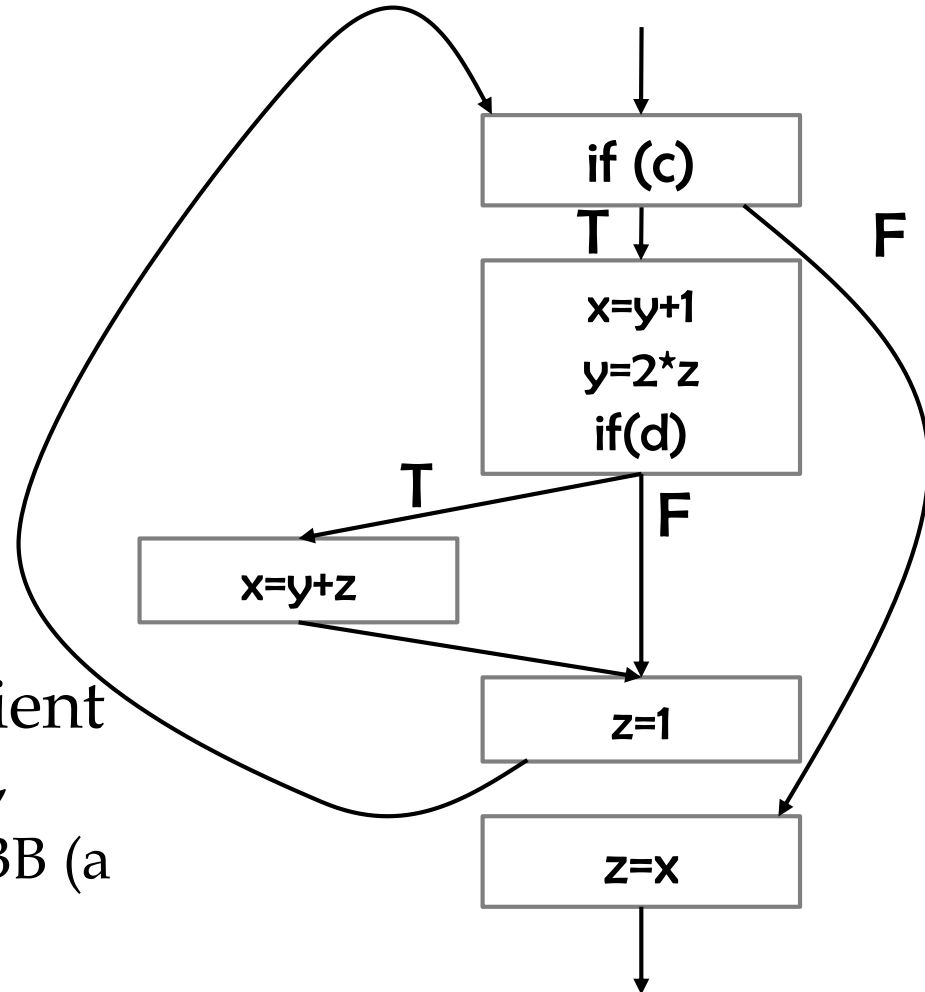


Example

- The while loop code:

```
while (c) {
    x = y + 1;
    y = 2 * z;
    if (d) x = y + z;
    z = 1;
}
z = x;
```

- The CFG is more efficient than the previous one,
 - where a statement is a BB (a node of a CFG)





CFG for Low-level IR

- Identify BBs as sequences of:

- Non-branching instructions
- Non-label instructions

1. No branches (jump) instructions

→ Control does not flow out of BBs

2. No labels instructions

→ Control does not flow into BBs

Label L1:

fjump c L2

$x = y + 1$

$y = 2 * z$

fjump d L3

$x = y + z$

Label L3:

$z = 1$

jump L1

Label L2:

$z = x$



CFG for Low-level IR (Cont'd)

- Basic block starts:
 - at label instructions
 - after jump instructions
- Basic blocks end:
 - at jump instructions
 - before label instructions

Label L1:

fjump c L2

$x = y + 1$

$y = 2 * z$

fjump d L3

$x = y + z$

Label L3:

$z = 1$

jump L1

Label L2:

$z = x$



CFG for Low-level IR (Cont'd)

- Basic block starts:
 - at label instructions
 - after jump instructions
- Basic blocks end:
 - at jump instructions
 - before label instructions

Label L1:

fjump c L2

$x = y + 1$

$y = 2 * z$

fjump d L3

$x = y + z$

Label L3:

$z = 1$

jump L1

Label L2:

$z = x$



CFG for Low-level IR (Cont'd)

- Basic block starts:
 - at label instructions
 - after jump instructions
- Basic blocks end:
 - at jump instructions
 - before label instructions

Label L1:

fjump c L2

x = y + 1

y = 2 * z

fjump d L3

x = y + z

Label L3:

z = 1

jump L1

Label L2:

z = x



CFG for Low-level IR (Cont'd)

- Basic block starts:
 - at label instructions
 - after jump instructions
- Basic blocks end:
 - at jump instructions
 - before label instructions

Label L1:

fjump c L2

$x = y + 1$

$y = 2 * z$

fjump d L3

$x = y + z$

Label L3:

$z = 1$

jump L1

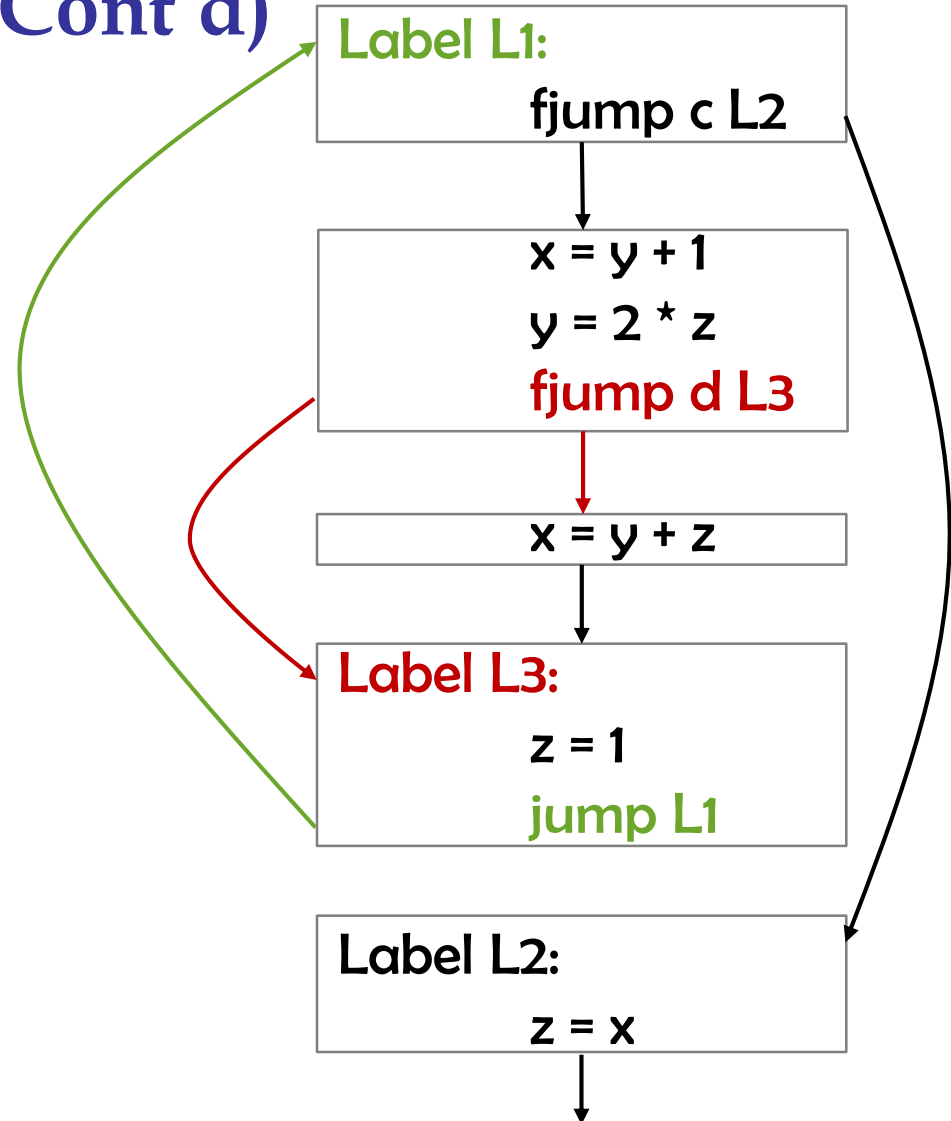
Label L2:

$z = x$



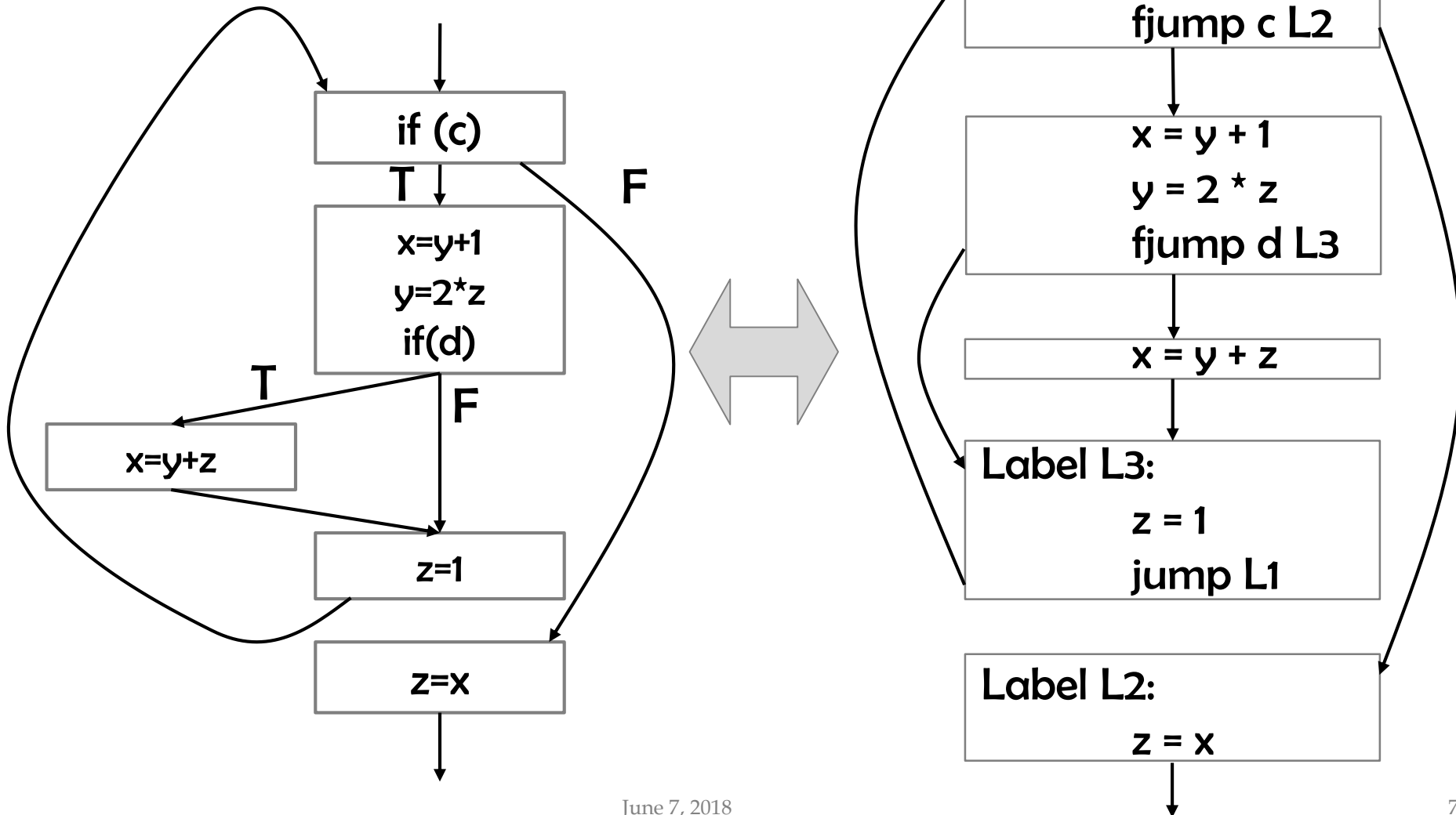
CFG for Low-level IR (Cont'd)

- Conditional jump:
 - 2 successors
 - I.e., **RED** and **BLACK**
- Unconditional jump:
 - 1 successor
 - I.e., **GREEN**





CFG for High- & Low-level IR





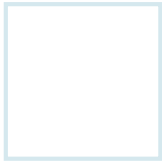
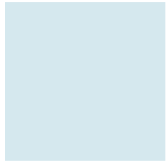
Summary of CFG

- Control Flow Graph
 - Global representation of computation and control flow in the program
 - Framework to statically analyze program control-flow
- In a CFG
 - Nodes are BBs that represent computation
 - Edges characterize control flow between BBs
- Can build the CFG representation either from the high IR or from the low IR



Reference

1. Advanced Compiler Design & Implementation, 1st Edition, by Steven Muchnick, **ISBN-10: 1558603204, ISBN-13: 978-1558603202**, 1997, Morgan Kaufmann
2. Compilers: Principles, Techniques, and Tools, 2nd Edition, by Alfred V. Aho, Monica S. Lam, Ravi Sethi, Jeffrey D. Ullman, **ISBN-10: 0321486811, ISBN-13: 978-0321486813**, 2006, Addison Wesley



QUESTIONS?