

CS4215: Programming Language Implementation  
2018/9, Sem 2

# Introduction

Lecture 1

# Synopsis

1. Brief introduction to the course
2. Administrative matters
3. Introductory concepts
  - a. Language processing
  - b. Inductive definitions

# Staff

- Razvan Voicu, adjunct instructor, staff engineer at Indeed.com
  - Course lecturer, will be grading your exams
  - [razvan@comp.nus.edu.sg](mailto:razvan@comp.nus.edu.sg), [rvoicu@indeed.com](mailto:rvoicu@indeed.com)
- Assoc Prof Wei Ngan Chin
  - Kindly provides help with co-ordinating the labs
  - Matters related to homework, homework submissions, homework marking
  - [chinwn@comp.nus.edu.sg](mailto:chinwn@comp.nus.edu.sg)
- Lab TAs will be introduced later

# IVLE

- Main medium for interaction and homework submission
- All materials will be there
- Lectures will have screencast recorded

# What this course is about

- Implementation of major programming language concepts
- Distilled, focus on the gist, keep things as simple as possible
- Practical approach, we will be looking at code
- We will also focus on high-level abstract concepts such as semantics
  - Strive to keep a balance
  - Provide an understanding of how high-level solutions/concepts make their way to practice
  - Abstract as they may be, high level concepts are often subtle; understanding them well provides deep insights that can be crucial in finding good practical solutions.

# Learn by Coding

- Nothing beats running your own interpreter or compiler
- Implement a sequence of toy language, each adding a new layer of complexity
  - Expressions
  - Statements
  - Types
  - Procedural/functional abstractions
  - Objects and Exceptions
  - Virtual machines
  - Write toy programs in your toy programming language
- Course revamp: everything will be based on Scala
  - Extensive software support provided

# Incremental and Exploratory

- Incremental

- Sequence of programming language, each adding a new layer.
- Platform: start with interpreter and progress to virtual machines

- Exploratory

- Code given out to experiment with
- Miniproject on domain specific languages

# Overview of Module Content

- Programming language processing tools and inductive definitions
- Scala as an implementation language
- ePL: An expression language
- simPL: A simple functional language
- polyPL: Polymorphism and exceptions
- dPL: Algebraic data types
- imPL: A simple imperative language
- oPL: A simple object-oriented language
- Domain-specific languages



# Housekeeping

- Use IVLE
  - Discussions in the forum
  - Announcements
  - Assignments and assignment submission
- Notes and slides, no textbook
  - Web-based, links will be added to IVLE
- Tutorial cum lab sessions to focus on practical aspects

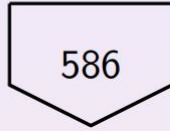
# Assessment

- Labs and assignments: 30%
- Paper reading and presentations: 10%
- Mini-project on DSL: 15%
- Exam: 45%

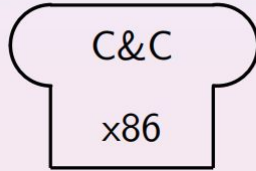
# Language Processing

- T-Diagrams
- Translators
- Interpreters
- Combinations (virtual machines)

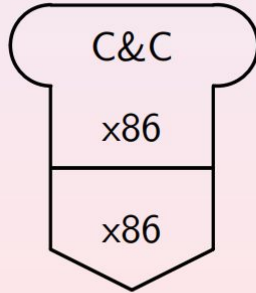
# T-Diagrams



x86 Processor



Program "C&C" (x86 code)

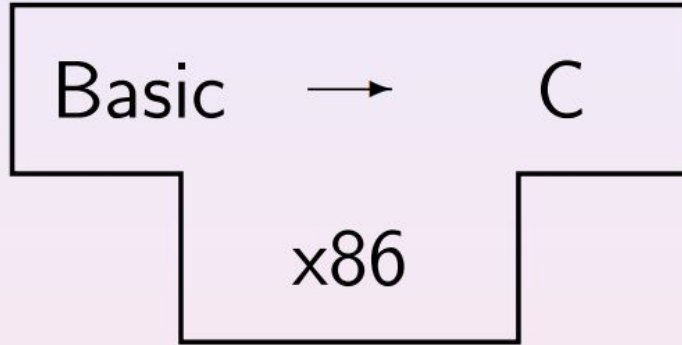


"C&C" running on x86

# Translators

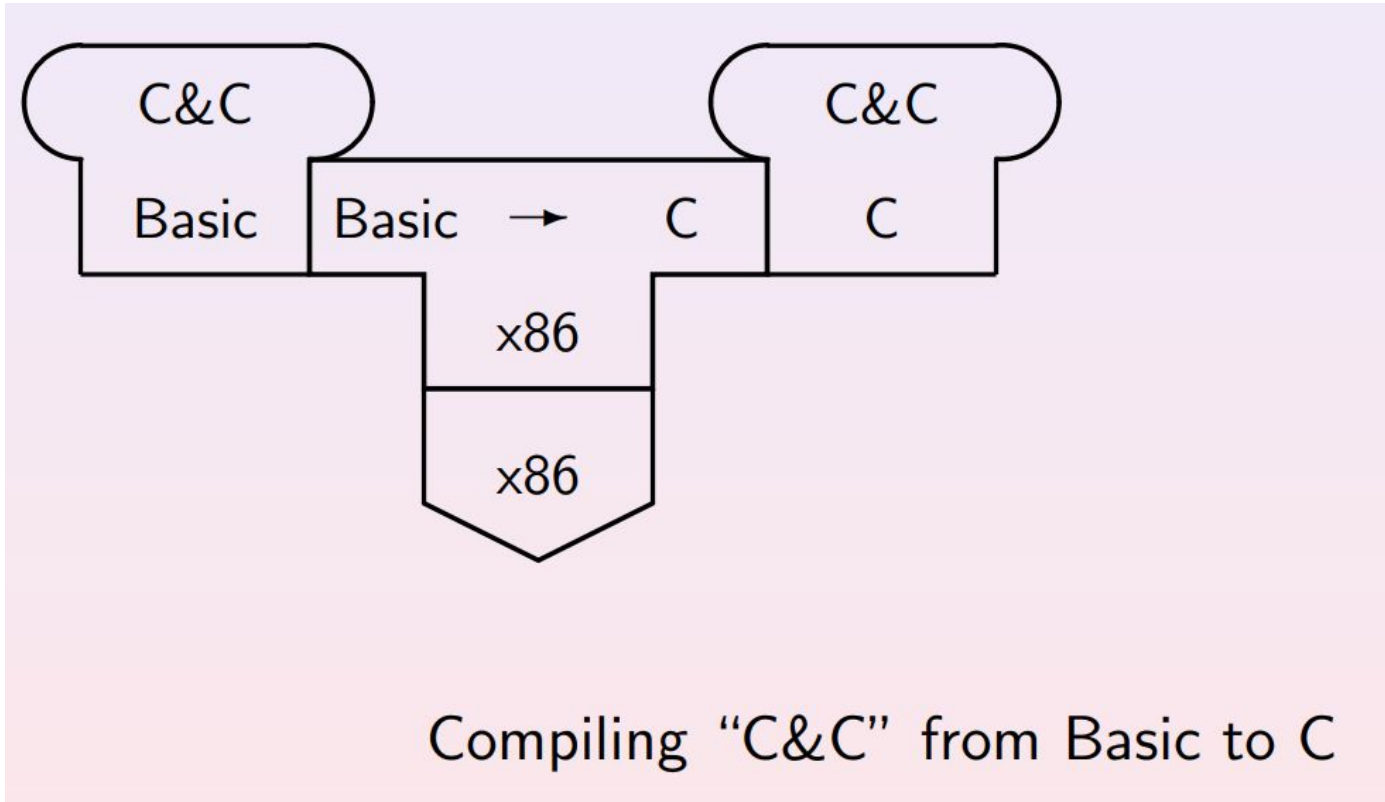
- Translate from one language (source) to another language (object)
- Compiler: translates from high(er)-level to a low(er) level language.
- De-compiler: low-level  $\Rightarrow$  high level

# T-Diagram of Translator

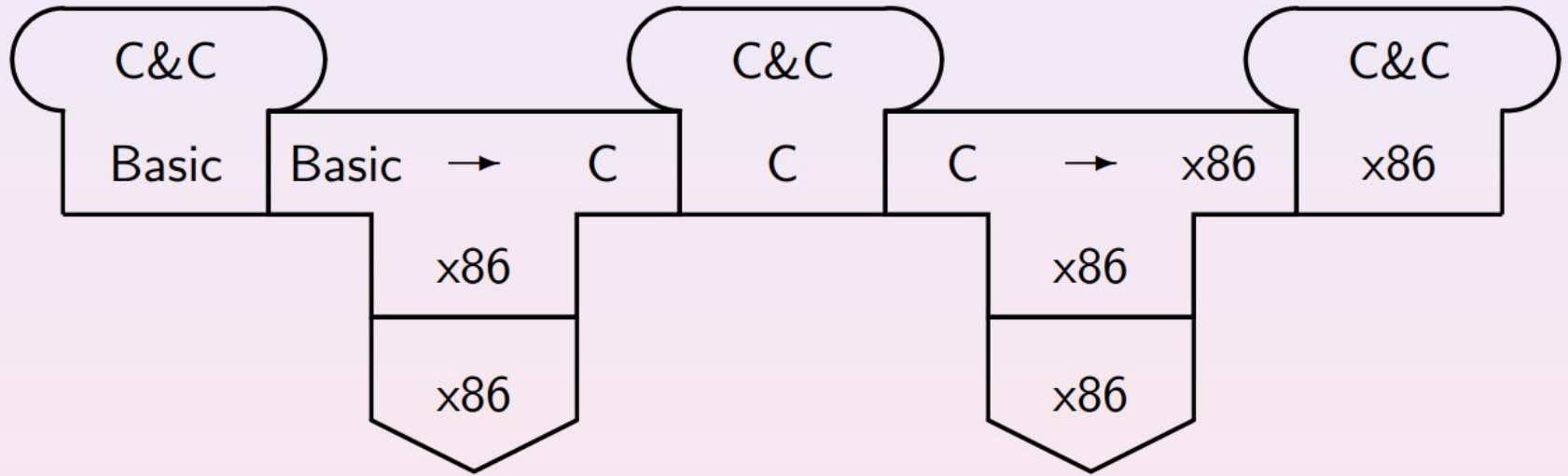


Basic-to-C compiler implemented in x86 machine code

# Compilation



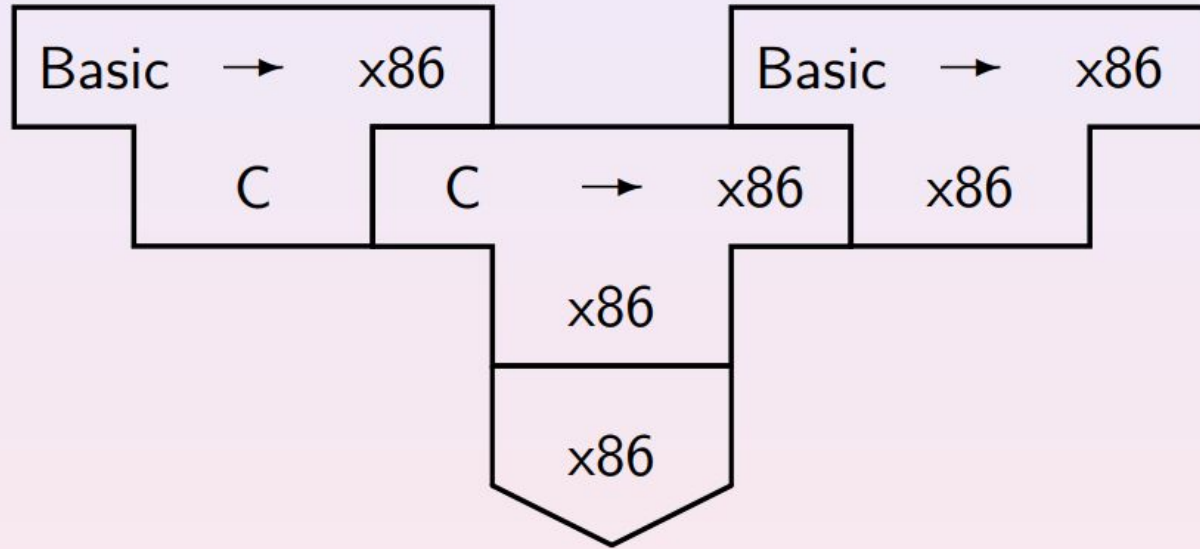
# Two-Stage Compilation



Compiling “C&C” from Basic to C to x86 machine code



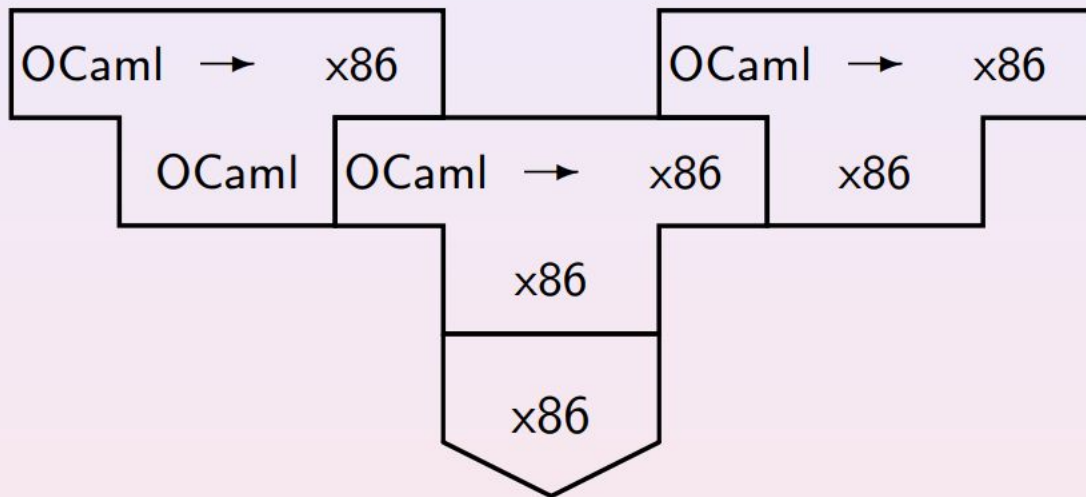
# Compiling a Compiler



Compiling a Basic-to-x86 compiler from C to x86 machine code

# Bootstrapping a Compiler

Chicken and Egg Problem

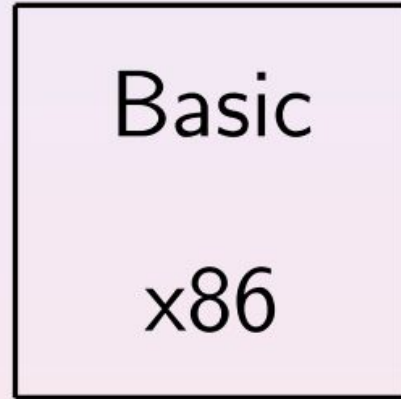


Compiling a OCaml-to-x86 compiler implemented in OCaml to run natively on x86 machine code

# Interpreter

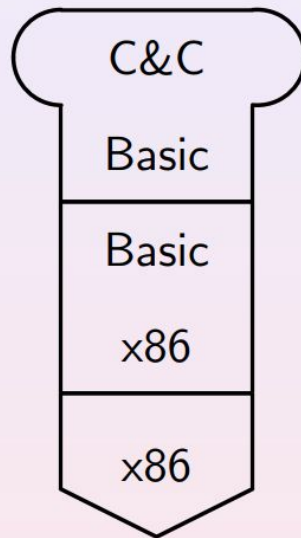
- A program that executes another program
- The interpreter runs throughout the entire execution
- The target program is interpreted, and appears as running to the user.

# T-Diagram for Basic Interpreter



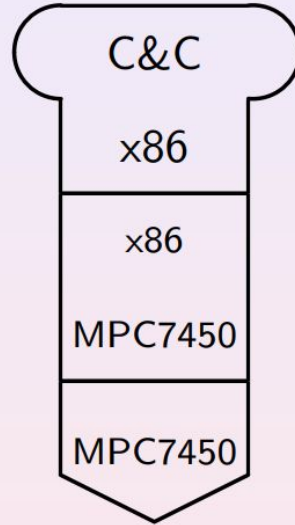
Interpreter for Basic, implemented in x86 machine code

# Interpreting a Program



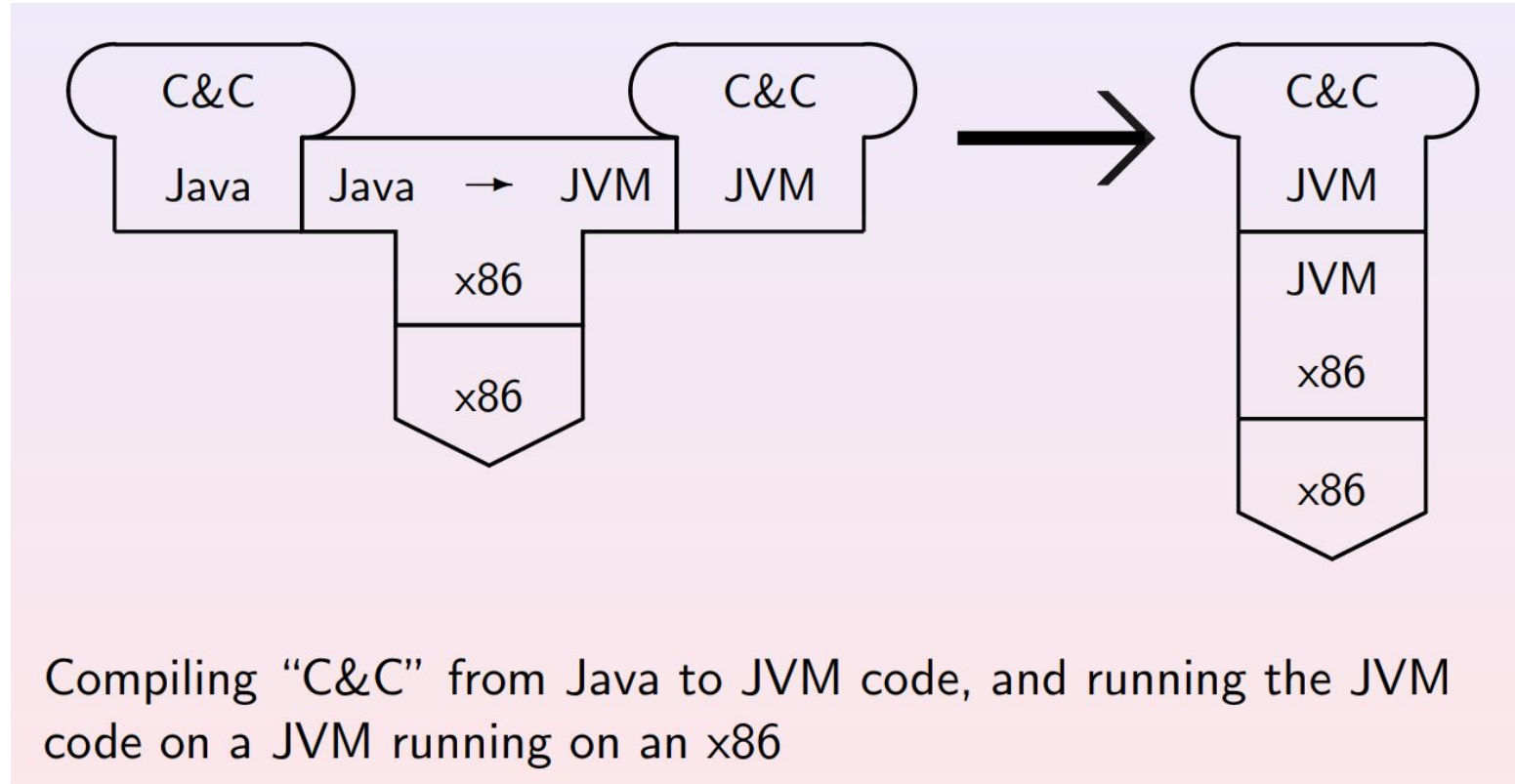
Basic program "C&C"  
running on x86 using interpretation

# Hardware Emulation

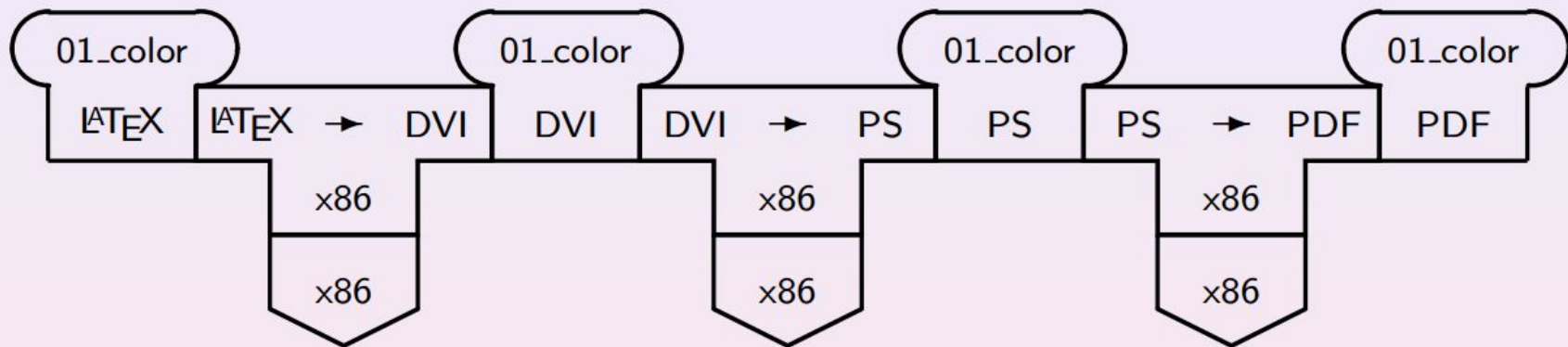


“C&C” x86 executable running on a PowerPC using hardware emulation

# Typical Execution of Java Programs



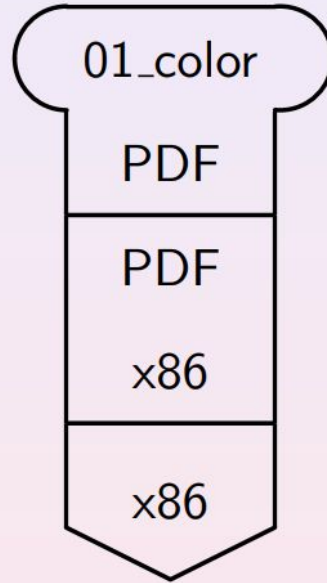
# Excursion: Making Slides



Compiling these slides  
from  $\text{\LaTeX}$  to DVI to PostScript to PDF on x86 (PC)



# Excursion: Viewing Slides



Viewing the slides on a PC

# Summary: Language Processing

- Components: programs, translators, interpreters, machines
- T-diagrams
- Combination of interpretation and compilation is common
- Interpretation and compilation are ubiquitous in computing

# Inductive Definitions

- Definition
- Extremal clause
- <sup>极值</sup>Proofs by induction

# Inductive Definitions

- Set of rules that un-equivocally define mathematical objects
  - Example: the set of programs for a particular programming language

# Example: Numerals

## Numerals, in unary (base-1) notation

- *Zero* is a numeral;
- if  $n$  is a numeral, then so is  $Succ(n)$ .

## Examples

- *Zero*
- $Succ(Succ(Succ(Zero)))$

# Binary Trees

## Binary trees (w/o data at nodes)

- *Empty* is a binary tree;
- if  $l$  and  $r$  are binary trees, then so is  $\text{Node}(l, r)$ .

## Examples

- *Empty*
- $\text{Node}(\text{Node}(\text{Empty}, \text{Empty}), \text{Node}(\text{Empty}, \text{Empty}))$

## More formally

- Numerals: The set  $Num$  is defined by the rules

$$\frac{}{Zero \in Num}$$

$$\frac{n \in Num}{Succ(n) \in Num}$$

- Binary trees: The set  $Tree$  is defined by the rules

$$\frac{}{Empty \in Tree}$$

$$\frac{t_l \in Tree \quad t_r \in Tree}{Node(t_l, t_r) \in Tree}$$

# Examples

- Numerals: The set  $Num$  is defined by the rules

$$\frac{}{Zero} \qquad \frac{n}{Succ(n)}$$

- Binary trees: The set  $Tree$  is defined by the rules

$$\frac{}{Empty} \qquad \frac{t_l \quad t_r}{Node(t_l, t_r)}$$



# Defining a Set By Rules

- Given a collection of rules, what set does it define?
  - What is the set of Numerals?
  - What is the set of Trees?
- Do the rules pick out a unique set

# Defining a Set by Rules

- There can be many sets that satisfy a given collection of rules.
  - $Num = \{Zero, Succ(Zero), \dots\}$
  - $StrangeNum = Num \cup \{\infty, Succ(\infty), \dots\}$ , where  $\infty$  is an arbitrary symbol
- Both  $Num$  and  $StrangeNum$  satisfy the rules defining numerals (i.e., the rules are true for these sets). Really?

## *Num* Satisfies the Rules

$$\frac{}{Zero \in Num}$$

$$\frac{n \in Num}{Succ(n) \in Num}$$

$$Num = \{Zero, Succ(Zero), Succ(Succ(Zero)), \dots\}$$

Does *Num* satisfy the rules?

- $Zero \in Num.$  ✓
- If  $n \in Num$ , then  $Succ(n) \in Num.$  ✓

# *StrangeNum* Satisfies the Rules

$$\frac{}{\text{Zero} \in \text{Num}} \qquad \frac{n \in \text{Num}}{\text{Succ}(n) \in \text{Num}}$$

*StrangeNum* =

$\{\text{Zero}, \text{Succ}(\text{Zero}), \text{Succ}(\text{Succ}(\text{Zero})), \dots\} \cup \{\infty, \text{Succ}(\infty), \dots\}$

Does *StrangeNum* satisfy the rules?

- $\text{Zero} \in \text{StrangeNum}$ . ✓
- If  $n \in \text{StrangeNum}$ , then  $\text{Succ}(n) \in \text{StrangeNum}$ . ✓

This is despite the fact that  $\infty \notin \text{StrangeNum}$ .

# Defining Sets by Rules

- Both *Num* and *StrangeNum* satisfy all rules.
- It is not enough that a set satisfies all rules
- Extremal clause:
  - “And nothing else”
  - “The least set that satisfies these rules”

# Inductive Definitions

- An inductively defined set is the least set that satisfies a given set of rules.
- Example: *Num* is the least set that satisfies these rules:
  - $Zero \in Num$
  - if  $n \in Num$ , then  $Succ(n) \in Num$ .

# Inductive Definitions

Question: What do we mean by “least”?

Answer: The smallest with respect to the subset ordering on sets.

- Contains no “junk”, only what is required by the rules.
- Since  $StrangeNum \supsetneq Num$ ,  $StrangeNum$  is ruled out by the extremal clause.
- $Num$  is “ruled in” because it has no “junk”.

# What is the Big Deal?

- Inductively defined sets “come with” an induction principle.
- Suppose  $I$  is inductively defined by rules  $R$ .
- To show that every  $x \in I$  has property  $P$ , it is enough to show that  $P$  satisfies the rules of  $R$ .
- Sometimes called *structural induction* or *rule induction*.



# Parity of Numerals

- The numeral *Zero* has parity **0**.
- Any numeral  $Succ(n)$  has parity  $1 - p$  if  $p$  is the parity of  $n$
- Let  $P$  be the following property:  
**Every numeral has either parity 0 or parity 1.**

奇偶性

- Does  $P$  satisfy the rules  $\frac{\quad}{P(Zero)} \quad \frac{P(n)}{P(Succ(n))} \quad ?$

# Induction Principle

- To show that every  $n \in Num$  has property  $P$ , it is enough to show:
  - *Zero* has property  $P$ .
  - if  $n$  has property  $P$ , then  $Succ(n)$  has property  $P$ .
- This is just ordinary mathematical induction!

# Induction Principle

- To show that every tree has property  $P$ , it is enough to show that
  - *Empty* has property  $P$ .
  - if  $l$  and  $r$  have property  $P$ , then so does  $\text{Node}(l, r)$ .
- We call this *structural induction on trees*.

## Example: Height of a Tree

- To show: Every tree has a height, defined as follows:
  - The height of *Empty* is 0.
  - If  $l$  has height  $h_l$  and the tree  $r$  has height  $h_r$ , then the tree  $\text{Node}(l, r)$  has height  $1 + \max(h_l, h_r)$ .
- Clearly, every tree has at most one height, but does it have a height at all?

# Example: height

- It may seem obvious that every tree has a height
- Justification is based on structural induction
  - An infinite tree does not have height
  - But the extremal clause rules out the infinite tree!

# Example: height

- Formally, we prove that for every tree  $t$ , there exists a number  $h$  satisfying the specification of height
- Proceed by induction on the rules defining trees, showing that the **property** “there exists a height  $h$  for  $t$ ” satisfies these rules

# Example: height

- **Rule 1:** *Empty* is a tree.
  - Does there exist  $h$  such that  $h$  is the height of *Empty*?
  - **Yes:** take  $h=0$
- **Rule 2:** *Node( $l, r$ )* is a tree if  $l$  and  $r$  are trees.
  - Suppose that there exists  $h_l$  and  $h_r$ , the heights of  $l$  and  $r$ , respectively.
  - Does there exist  $h$  such that  $h$  is the height of *Node( $l, r$ )*?
  - **Yes:** Take  $h=1+\max(h_l, h_r)$ .

# Summary

- An inductively defined set is the least set that satisfies a collection of rules.
- Rules have the form:  
“If  $x_1 \in X$  and ... and  $x_n \in X$ , then  $x \in X$ .”

- Notation:  
$$\frac{x_1 \in X \quad \dots \quad x_n \in X}{x \in X}$$



# Summary

- Inductively defined sets admit proofs by rule induction.
- For each set, with rules of the form:

$$\frac{x_1 \in X \quad \dots \quad x_n \in X}{x \in X}$$

We can proof this property inductively using:

$$\frac{P(x_1) \quad \dots \quad P(x_n)}{P(x)}$$

- Conclude that every element of the set satisfies  $P$ .