# EECS 487: Introduction to Natural Language Processing

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## Today's Outline



- Show me the data!
- What exactly is a word?
- Text normalization

## Corpora

- Corpus (plural: corpora) = collection of text or speech
- Properties of a corpus:
  - One or more speakers or writers
  - A specific dialect of a specific language
  - At a specific time
  - In a specific place
  - For a specific function
- Pay attention to your corpus! You'll get different results with different corpora

## Datasheets for Corpora

- Movement to document some more data about our corpora (also see Gebru et al (2020), Bender and Friedman (2018))
  - Motivation: Why was the corpus collected, by whom, and who funded it?
  - <u>Situation</u>: When and in what situation was the text written/spoken? For example, was there a task? Was the language originally spoken conversation, edited text, social media communication, monologue vs. dialogue?
  - Language variety: What language (including dialect/region) was the corpus in?
  - Speaker demographics: What was, e.g., the age or gender of the text's authors?
  - <u>Collection process</u>: How big is the data? If it is a subsample how was it sampled? Was the data collected with consent? How was the data pre-processed, and what metadata is available?
  - <u>Annotation process</u>: What are the annotations, what are the demographics of the annotators, how were they trained, how was the data annotated?
  - <u>Distribution</u>: Are there copyright or other intellectual property restrictions?

# Today's Outline

• Show me the data!



- What exactly is a word?
- Text normalization

## How many words are in this sentence?

 He stepped out into the hall, was delighted to encounter a water fountain.

## How many words are in this utterance?

• "I do uh main- mainly business data processing"

## Sidebar: uh vs. um



#### Cognition

Volume 84, Issue 1, May 2002, Pages 73-111



# Using *uh* and *um* in spontaneous speaking

Herbert H. Clark <sup>a</sup> ∠ ⋈, Jean E. Fox Tree <sup>b</sup> ⋈

"The proposal examined here is that speakers use *uh* and *um* to announce that they are initiating what they expect to be a minor *(uh)*, or major *(um)*, delay in speaking..."

## Other quandaries

Are They and they different words?

- Are cat and cats the same word?
  - They have the same lemma cat
  - Lemma = a set of lexical forms having the same stem, the same major part-of-speech (POS), and the same word sense
    - E.g., sang, sung, sings -> all have lemma sing
  - Wordform = full inflected or derived form of the word

## How many words are there in English?

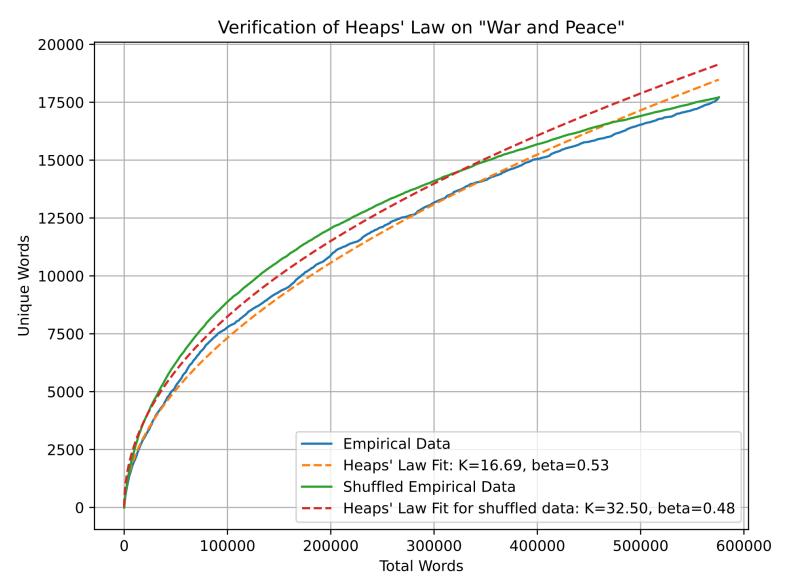
- Two ways to talk about words:
  - Types = number of distinct words in a corpus
  - Tokens = total number of running words
- How many tokens in the following sentence? How many types?
  - They picnicked by the pool, then lay back on the grass and looked at the stars.

# So, how many words are in English?

Corpus	Tokens = N  Types =  V
Shakespeare	884 thousand 31 thousand
Brown corpus	1 million 38 thousand
Switchboard telephone conversations	2.4 million 20 thousand
COCA	440 million 2 million
Google n-grams	1 trillion 13 million

- Relationship between number of types and number of tokens Herdan's Law or Heaps' Law
  - V = set of words in the vocabulary; |V| = vocabulary size (number of types)
  - *N* = number of tokens
  - $|V| = kN^{\beta}$ , where k and  $\beta$  are positive constants, and  $0 < \beta < 1$
  - $\beta$  depends on the corpus size and genre (above,  $\beta$  goes from .67 to .75)

# Herdan's / Heaps' Law



## So, how many words are in English?

- Instead of counting wordform types, what if we counted lemmas?
  - Dictionary entries or boldface forms give us rough upper bound on number of lemmas
  - 1989 Oxford English Dictionary how many entries?

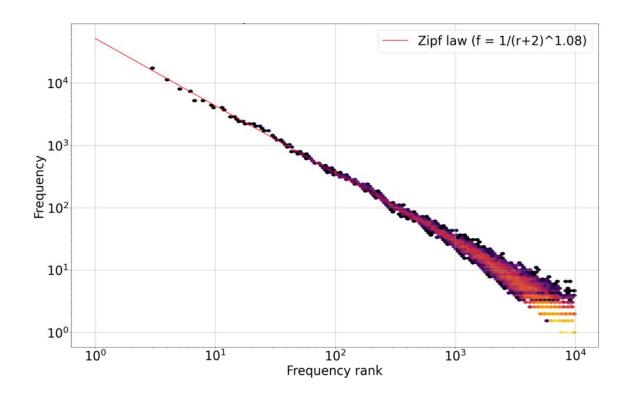
## Another famous law: Zipf's Law

- Suppose we count up how many times a wordtype appears in a large corpus, and then list the words in order of their frequency of occurrence
- What is the relationship between the frequency of a word f and its position in the list (its rank, r)?
- Zipf's Law: fr = k, where k is a constant
  - E.g., the 50<sup>th</sup> most common word should occur 3 times more frequently than the 150<sup>th</sup> most common word

# Zipf's Law for War and Peace

#### • Notice:

- A few very common words
- A middle number of medium frequency words
- Many low frequency words



# Today's Outline

- Show me the data!
- What exactly is a word?



Text normalization

### Text Normalization

- We need to "clean up" our text before we can use it
- Common tasks:
  - Tokenizing (segmenting) words
  - Normalizing word formats
  - Segmenting sentences

#### Word Tokenization

- Word tokenization = the task of segmenting running text into words
- Common standard: Penn Treebank tokenization standard
  - Penn Treebank is a corpora of parsed data released by the Linguistic Data Consortium (LDC)

## Python Natural Language Toolkit (NLTK)

NLTK uses a fast algorithm based on regular expressions

```
>>> text = 'That U.S.A. poster-print costs $12.40...'
>>> pattern = r'''(?x)  # set flag to allow verbose regexps
...     (?:[A-Z]\.)+  # abbreviations, e.g. U.S.A.
...     | \w+?:(-\w+)*  # words with optional internal hyphens
...     | \$?\d+(?:\.\d+)?%?  # currency, percentages, e.g. $12.40, 82%
...     | \.\.\  # ellipsis
...     | [][.,;"'?():_`-]  # these are separate tokens; includes ], [
... '''
>>> nltk.regexp_tokenize(text, pattern)
['That', 'U.S.A.', 'poster-print', 'costs', '$12.40', '...']
```

# What about languages that don't use spaces?

- Written Chinese, Japanese, Thai, etc. don't use spaces to mark potential word-boundaries
- Example: Chinese words are composed of characters (hanzi)
  - Each character generally represents a single unit of meaning (a morpheme) and is pronounceable as a single syllabus

# What about languages that don't use spaces?

• Consider this sentence: 姚明进入总决赛 "Yao Ming reaches the finals"

• Could be treated as 3 words: 姚明 进入 总决赛 YaoMing reaches finals

• Or as 5 words: 姚 明 进入 总 决赛 Yao Ming reaches overall finals

• Or as 7 words (each character is a "word"): yao Ming enter enter overall decision game

• Often, for Chinese, we use the last option! But this isn't true in every language

## Another option for segmentation

- Instead of
  - White-space segmentation
  - Single-character segmentation
- Use the data to tell us how to tokenize!

 Subword tokenization = tokens can be parts of words as well as whole words

## Subword tokenization

#### Three common algorithms:

- Byte-Pair Encoding (BPE) (Sennrich et al., 2016)
- Unigram language modeling tokenization (Kudo, 2018)
- WordPiece (Schuster and Nakajima, 2012)
- Also a SentencePiece library that includes implementations of the first 2 algorithms (Kudo and Richardson, 2018)

#### • All have 2 parts:

- A token learner takes a raw training corpus and induces a vocabulary (a set of tokens)
- A token segmenter takes a raw test sentence and tokenizes it according to that vocabulary

## Byte Pair Encoding (BPE) token learner

Let vocabulary be the set of all individual characters

- Repeat:
  - Choose the two symbols that are most frequently adjacent in the training corpus (say 'A', 'B')
  - Add a new merged symbol 'AB' to the vocabulary
  - Replace every adjacent 'A' 'B' in the corpus with 'AB'.
- Until *k* merges have been done.

## Byte Pair Encoding (BPE) token learner

```
function BYTE-PAIR ENCODING(strings C, number of merges k) returns vocab V
```

```
V \leftarrow all unique characters in C # initial set of tokens is characters

for i = 1 to k do # merge tokens til k times

t_L, t_R \leftarrow Most frequent pair of adjacent tokens in C

t_{NEW} \leftarrow t_L + t_R # make new token by concatenating

V \leftarrow V + t_{NEW} # update the vocabulary

Replace each occurrence of t_L, t_R in C with t_{NEW} # and update the corpus

return V
```

## Byte Pair Encoding (BPE) token learner

- Usually run inside words (not merging across word boundaries)
- So, first we need to white-space separate the input corpus
  - We add a special end-of-word symbol '\_\_\_' before space in training corpus

## BPE token learner

Original (very fascinating (2)) corpus:

low low low low lowest lowest newer newer

Add end-of-word tokens, resulting in this vocabulary:

```
vocabulary
```

\_, d, e, i, l, n, o, r, s, t, w

## BPE token learner

```
      corpus

      5
      1 o w __
      _, d, e, i, 1, n, o, r, s, t, w

      2
      1 o w e s t __

      6
      n e w e r __

      3
      w i d e r __

      2
      n e w __
```

Merge e r to er

new\_

```
corpus
                      vocabulary
                      _, d, e, i, l, n, o, r, s, t, w, er
     1 \circ w \perp
     lowest_
 6 newer_
 3 wider_
 2 new_
Merge er _ to er_
                      vocabulary
 corpus
 5 1 o w _
                      \_, d, e, i, 1, n, o, r, s, t, w, er, er\_
 2 lowest_
 6 newer_
                                  What's the next merge we make?
 3 wider_
```

```
vocabulary
 corpus
     1 o w _
                      \_, d, e, i, 1, n, o, r, s, t, w, er, er\_
     lowest_
 6 newer_
 3 wider_
 2 new_
Merge n e to ne
```

#### corpus

1 o w \_ lowest\_ ne w er\_ w i d er\_

ne w \_

#### vocabulary

 $\_$ , d, e, i, l, n, o, r, s, t, w, er, er $\_$ , ne

The next merges are:

## BPE token segmenter algorithm

- Once we've learned our vocabulary, the token segmenter tokenizes a test sentence
- On the test data, run each merge learned from the training data:
  - Greedily
  - In the order we learned them
  - (test frequencies don't play a role, just training frequencies)
- So: merge every e r to er, then merge er \_ to er\_, etc.
- Result:
  - Test set "n e w e r \_ " would be tokenized as a full word
  - Test set "l o w e r \_" would be two tokens: "low er\_"
  - Exercise: What would "w i n n e r \_" be tokenized as?

- In real settings, many thousands of merges on very large corpus
- Most words will be represented as full symbols
- Only very rare words (and unknown words) will have to be represented by their parts

#### Word Normalization

- Word normalization = Putting words/tokens in a standard format
  - U.S.A. or USA
  - uhhuh or uh-huh
  - Fed or fed
  - am, is, be, are
- Case folding = reduce all letters to lower case
  - When is this helpful? When is it not?

#### Lemmatization

- ► Lemmatization = represent all words as their lemma, their shared root
  - ightharpoonup am, are, is ightharpoonup be
  - ightharpoonup car, cars, cars' ightharpoonup car
  - ▶ Spanish quiero ('I want'), quieres ('you want') → querer 'want'
- ightharpoonup He is reading detective stories ightharpoonup He be read detective story

- Most sophisticated lemmatization done using morphological parsing
  - $\triangleright$  cats  $\rightarrow$  cat and s
  - ▶ Spanish *amaren* ('if in the future they would love')  $\rightarrow$  morpheme *amar* 'to love', and the morphological features are *3PL* (third person plural) and *future subjunctive*

## Stemming

- Lemmatization algorithms can be complex!
- Simpler but cruder method: stemming
  - Basically chop off all word-final affixes

This was not the map we found in Billy Bones's chest, but an accurate copy, complete in all things-names and heights and soundings-with the single exception of the red crosses and the written notes.



Thi wa not the map we found in Billi Bone s chest but an accur copi complet in all thing name and height and sound with the singl except of the red cross and the written note

.

#### Porter Stemmer

- Based on a series of rewrite rules run in series
  - Output of each pass fed as input to the next pass
- Some sample rules:

```
ATIONAL \rightarrow ATE (e.g., relational \rightarrow relate)

ING \rightarrow \epsilon if stem contains vowel (e.g., motoring \rightarrow motor)

SSES \rightarrow SS (e.g., grasses \rightarrow grass)
```

## Stemming

• Simple stemmers tend to commit errors of both over- and undergeneralizing (Krovetz, 1993):

<b>Errors of Co</b>	ommission	Errors of	Omission
organization	organ	European	Europe
doing	doe	analyzes	analysis
numerical	numerous	noisy	noise
policy	police	sparsity	sparse

## Sentence Segmentation

- !, ? mostly unambiguous but period "." is very ambiguous
  - Sentence boundary
  - Abbreviations like Inc. or Dr.
  - Numbers like .02% or 4.3
- Common algorithm:
  - First decide (using rules of machine learning) whether a period is part of the word or is a sentence-boundary marker (an abbreviation dictionary can help)
  - Then, use a set of rules to split sentences