

# Chapter 4

## Network Layer:

### The Data Plane

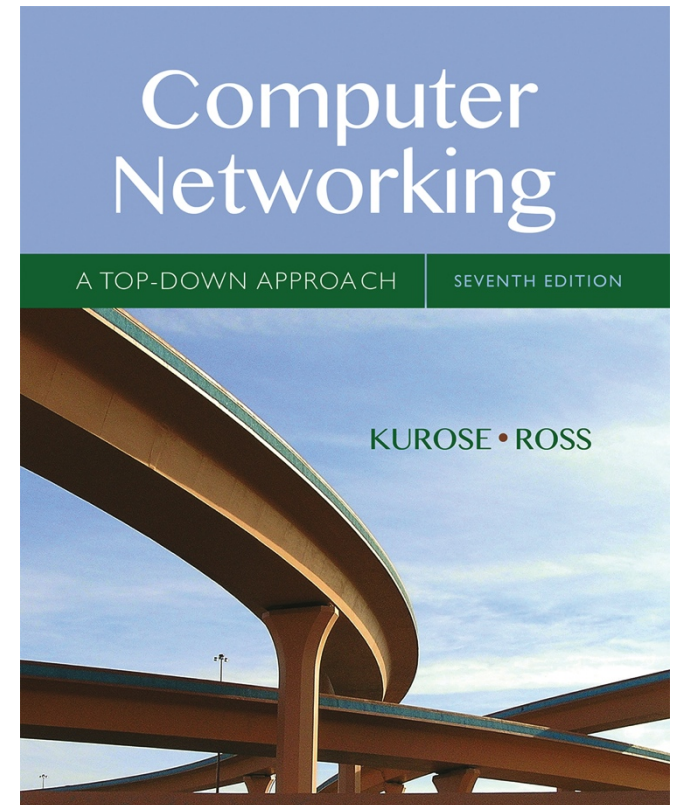
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## *Computer Networking: A Top Down Approach*

7<sup>th</sup> edition

Jim Kurose, Keith Ross  
Pearson/Addison Wesley  
April 2016

# Chapter 4: outline

## 4.1 Overview of Network layer

- data plane
- control plane

## 4.2 What's inside a router

## 4.3 IP: Internet Protocol

- datagram format
- fragmentation
- IPv4 addressing
- network address translation
- IPv6

## 4.4 Generalized Forward and SDN

- match
- action
- OpenFlow examples of match-plus-action in action

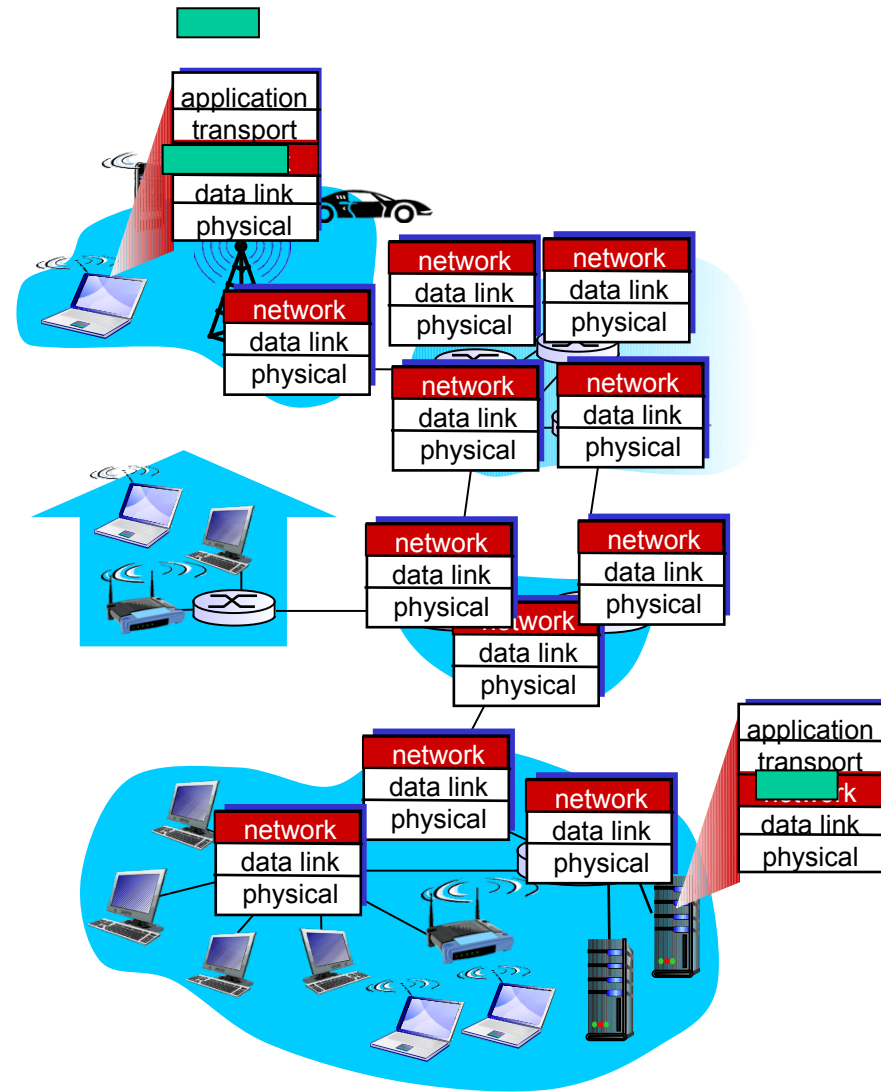
# Chapter 4: network layer

## *chapter goals:*

- understand principles behind network layer services, focusing on data plane:
  - network layer service models
  - forwarding versus routing
  - how a router works
  - generalized forwarding
- instantiation, implementation in the Internet

# Network layer

- transport segment from sending to receiving host
- on sending side encapsulates segments into datagrams
- on receiving side, delivers segments to transport layer
- network layer protocols in *every* host, router
- router examines header fields in all IP datagrams passing through it



# Two key network-layer functions

## *network-layer functions:*

- *forwarding*: move packets from router's input to appropriate router output
- *routing*: determine route taken by packets from source to destination
  - *routing algorithms*

## *analogy: taking a trip*

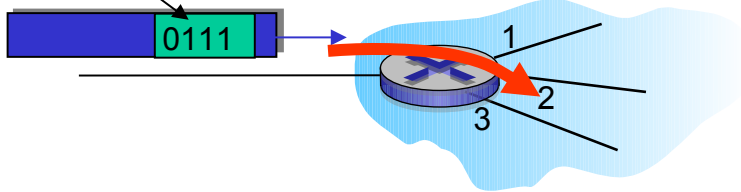
- *forwarding*: process of getting through single interchange
- *routing*: process of planning trip from source to destination

# Network layer: data plane, control plane

## *Data plane*

- local, per-router function
- determines how datagram arriving on router input port is forwarded to router output port
- forwarding function

values in arriving packet header

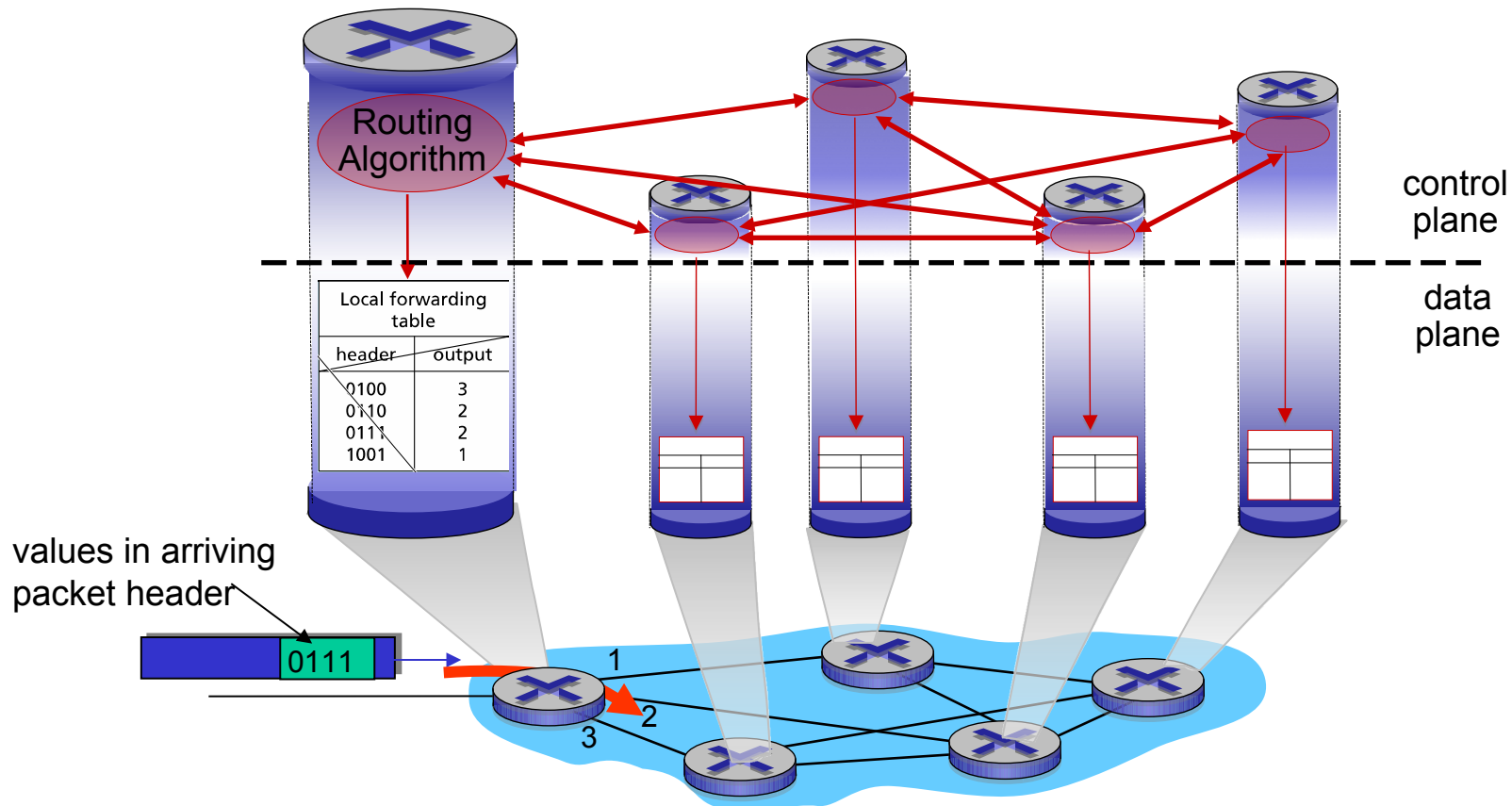


## *Control plane*

- network-wide logic
- determines how datagram is routed among routers along end-end path from source host to destination host
- two control-plane approaches:
  - *traditional routing algorithms*: implemented in routers
  - *software-defined networking (SDN)*: implemented in (remote) servers

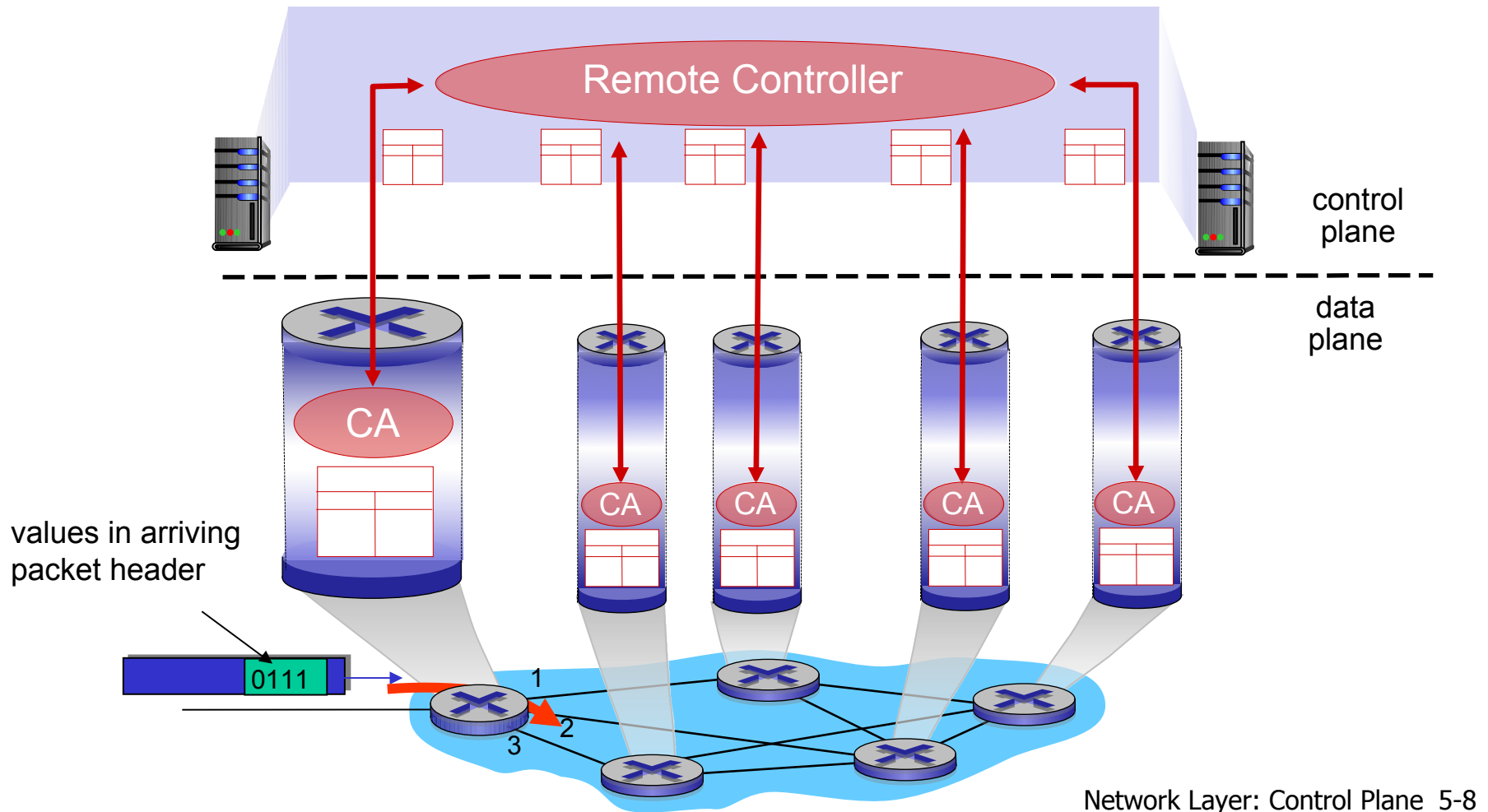
# Per-router control plane

Individual routing algorithm components *in each and every router* interact in the control plane



# Logically centralized control plane

A distinct (typically remote) controller interacts with local control agents (CAs)





# Network service model

*Q:* What *service model* for “channel” transporting datagrams from sender to receiver?

*example services for individual datagrams:*

- guaranteed delivery
- guaranteed delivery with less than 40 msec delay

*example services for a flow of datagrams:*

- in-order datagram delivery
- guaranteed minimum bandwidth to flow
- restrictions on changes in inter-packet spacing

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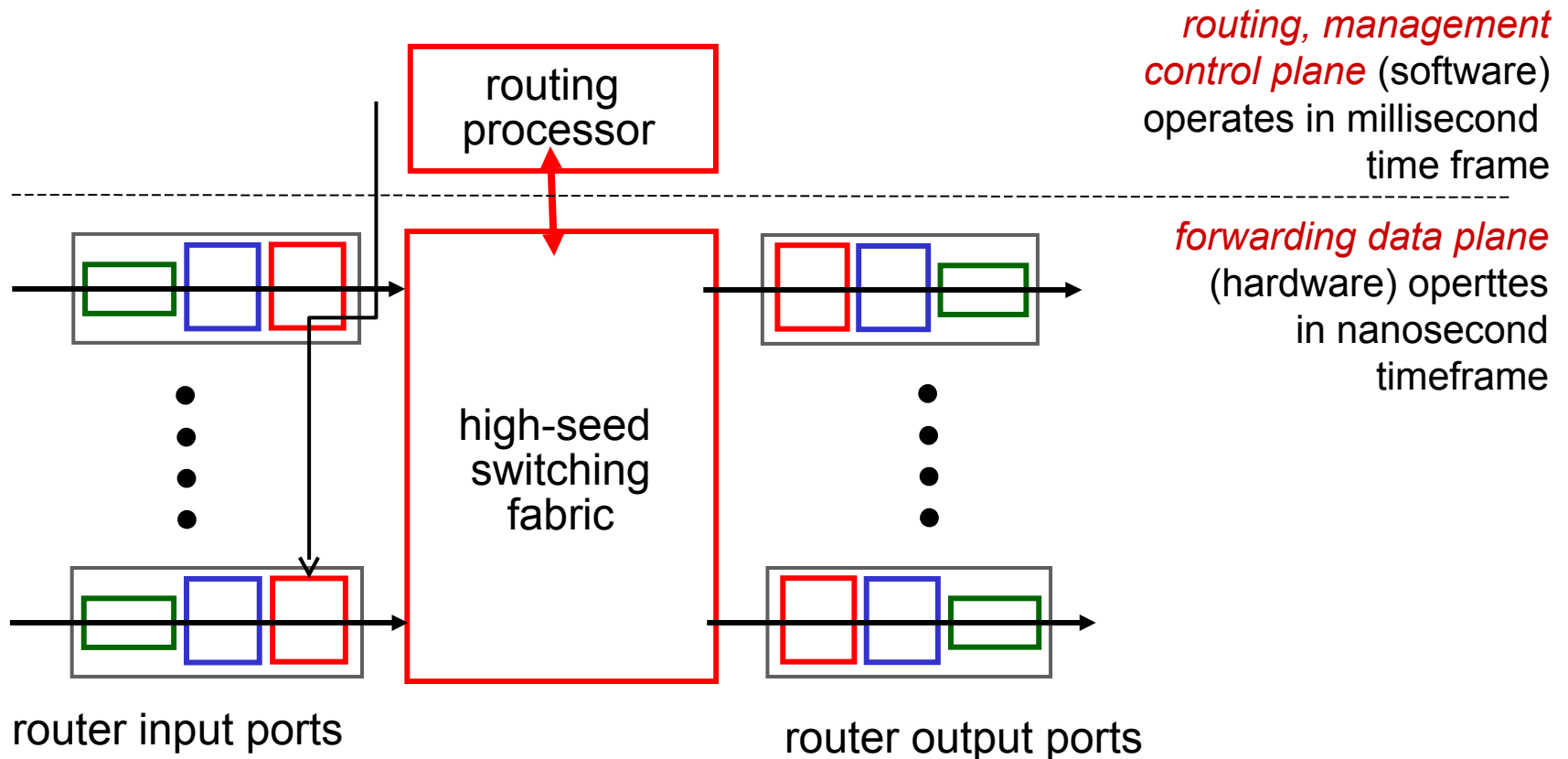
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- IPv4 addressing
- network address translation
- IPv6

## 4.4 Generalized Forward and SDN

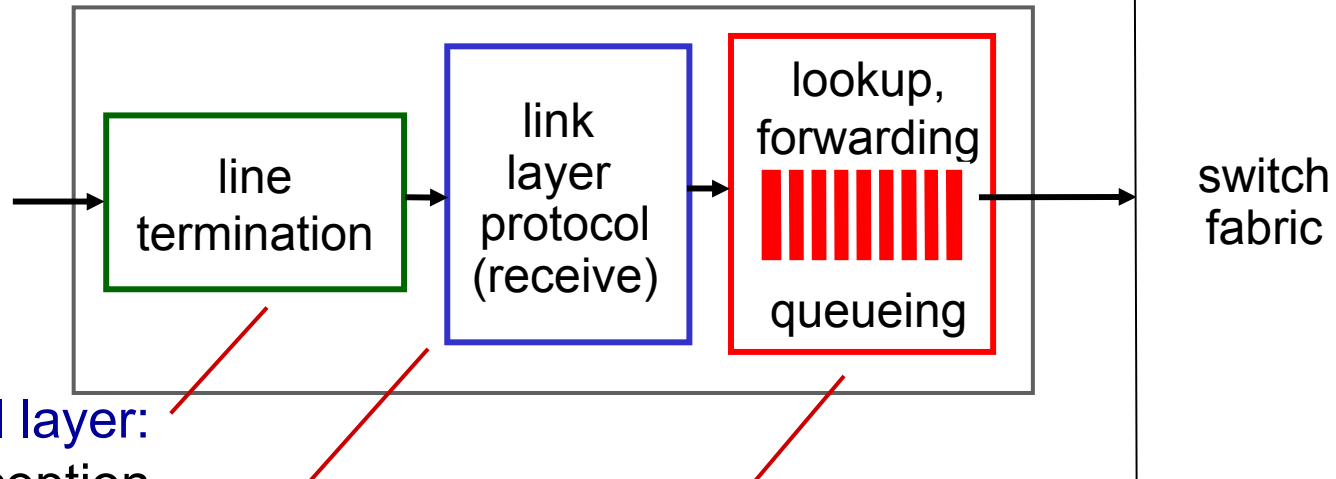
- match
- action
- OpenFlow examples of match-plus-action in action

# Router architecture overview

- high-level view of generic router architecture:



# Input port functions



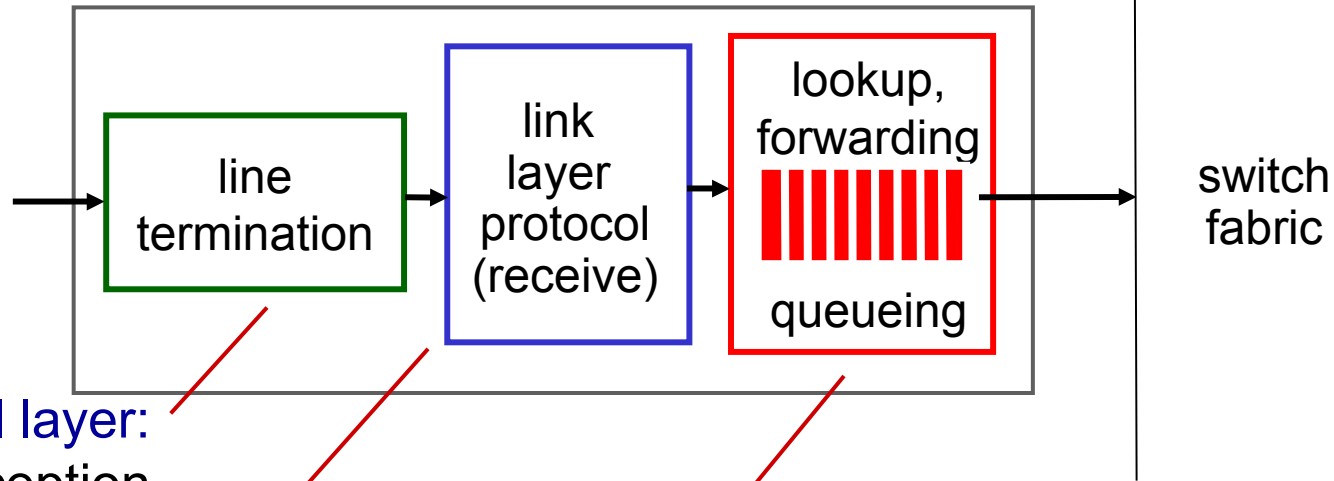
physical layer:  
bit-level reception

data link layer:  
e.g., Ethernet  
see chapter 5

## decentralized switching:

- goal: complete input port processing at 'line speed'
- queuing: if datagrams arrive faster than forwarding rate into switch fabric

# Input port functions



physical layer:  
bit-level reception

data link layer:  
e.g., Ethernet  
see chapter 5

## decentralized switching:

- using header field values, lookup output port using forwarding table in input port memory (“*match plus action*”)
- **destination-based forwarding:** forward based only on destination IP address (traditional)
- **generalized forwarding:** forward based on any set of header field values

# Destination-based forwarding

*forwarding table*

| Destination Address Range   | Link Interface |
|---|----------------|
| 11001000 00010111 00010000 00000000<br>through<br>11001000 00010111 00010111 11111111 | 0              |
| 11001000 00010111 00011000 00000000<br>through<br>11001000 00010111 00011000 11111111 | 1              |
| 11001000 00010111 00011001 00000000<br>through<br>11001000 00010111 00011111 11111111 | 2              |
| otherwise   | 3              |

**Q:** but what happens if ranges don't divide up so nicely?

# Longest prefix matching

## *longest prefix matching*

when looking for forwarding table entry for given destination address, use *longest* address prefix that matches destination address.

| Destination Address Range        | Link interface |
|----------------------------------|----------------|
| 11001000 00010111 00010*** ***** | 0              |
| 11001000 00010111 00011000 ***** | 1              |
| 11001000 00010111 00011*** ***** | 2              |
| otherwise                        | 3              |

examples:

DA: 11001000 00010111 00010110 10100001

which interface? 0

DA: 11001000 00010111 00011000 10101010

which interface? 1

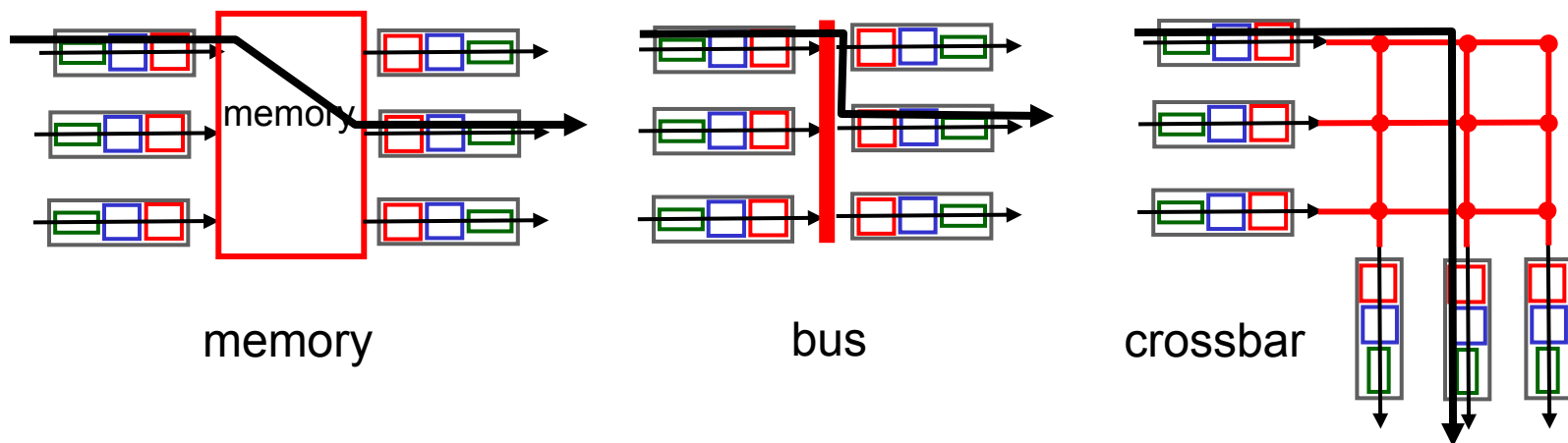
# Longest prefix matching

- we'll see *why* longest prefix matching is used shortly, when we study addressing
- longest prefix matching: often performed using ternary content addressable memories (TCAMs)
  - *content addressable*: present address to TCAM: retrieve address in one clock cycle, regardless of table size
  - Cisco Catalyst: can up ~1M routing table entries in TCAM



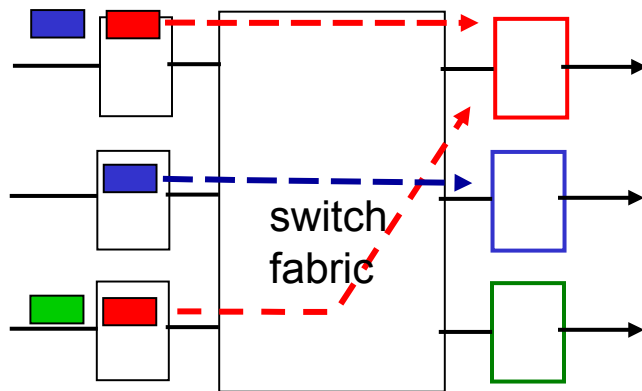
# Switching fabrics

- transfer packet from input buffer to appropriate output buffer
- switching rate: rate at which packets can be transfer from inputs to outputs
  - often measured as multiple of input/output line rate
  - N inputs: switching rate N times line rate desirable
- three types of switching fabrics

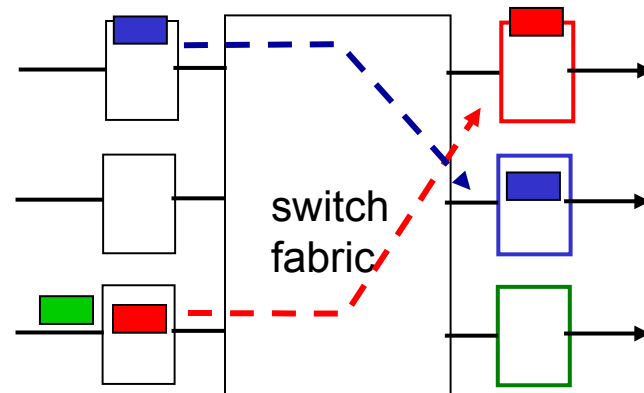


# Input port queuing

- fabric slower than input ports combined -> queueing may occur at input queues
  - *queueing delay and loss due to input buffer overflow!*
- **Head-of-the-Line (HOL) blocking:** queued datagram at front of queue prevents others in queue from moving forward



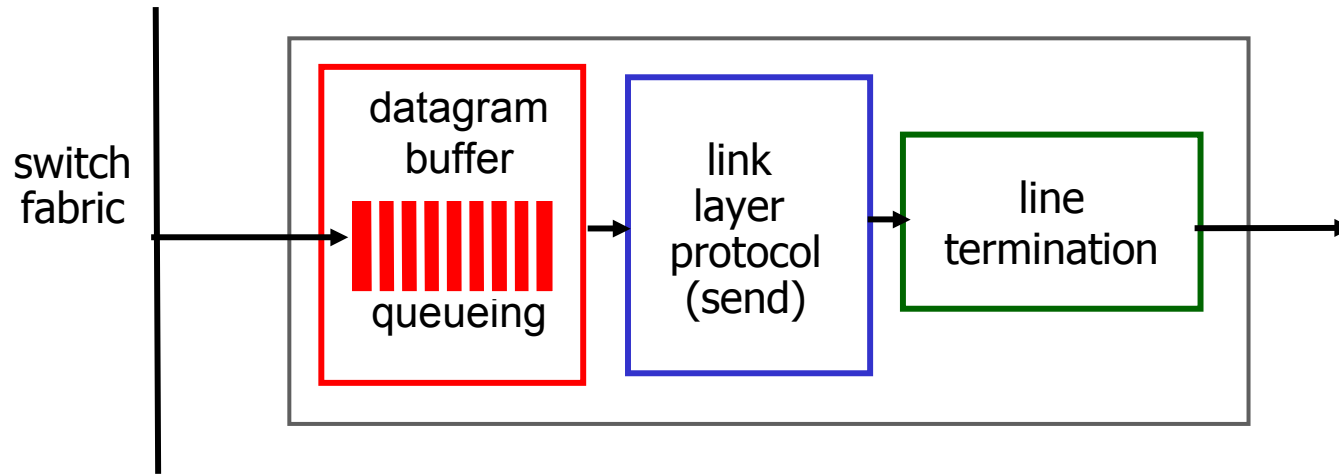
output port contention:  
only one red datagram can be  
transferred.  
*lower red packet is blocked*



one packet time later:  
green packet  
experiences HOL  
blocking

# Output ports

*This slide is HUGELY important!*



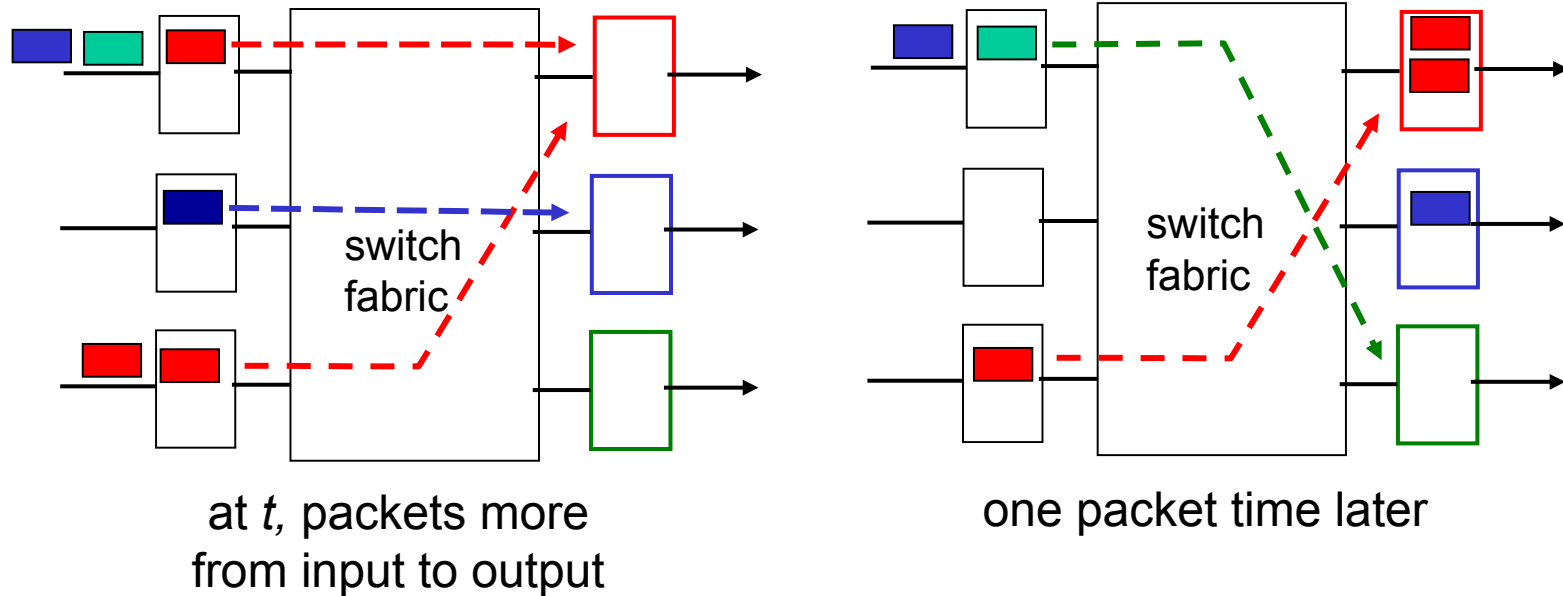
- *buffering* required when datagrams arrive from fabric faster than the transmission rate

Datagram (packets) can be lost due to congestion, lack of buffers

- *scheduling discipline* chooses among queued datagrams for transmission

Priority scheduling – who gets best performance...

# Output port queueing



- buffering when arrival rate via switch exceeds output line speed
- *queueing (delay) and loss due to output port buffer overflow!*

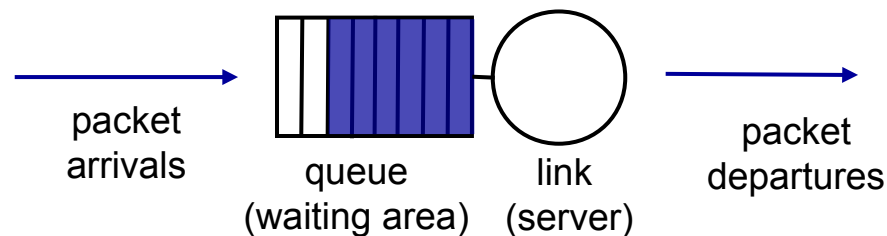
# How much buffering?

- RFC 3439 rule of thumb: average buffering equal to “typical” RTT (say 250 msec) times link capacity  $C$ 
  - e.g.,  $C = 10$  Gpbs link: 2.5 Gbit buffer
- recent recommendation: with  $N$  flows, buffering equal to

$$\frac{RTT \cdot C}{\sqrt{N}}$$

# Scheduling mechanisms

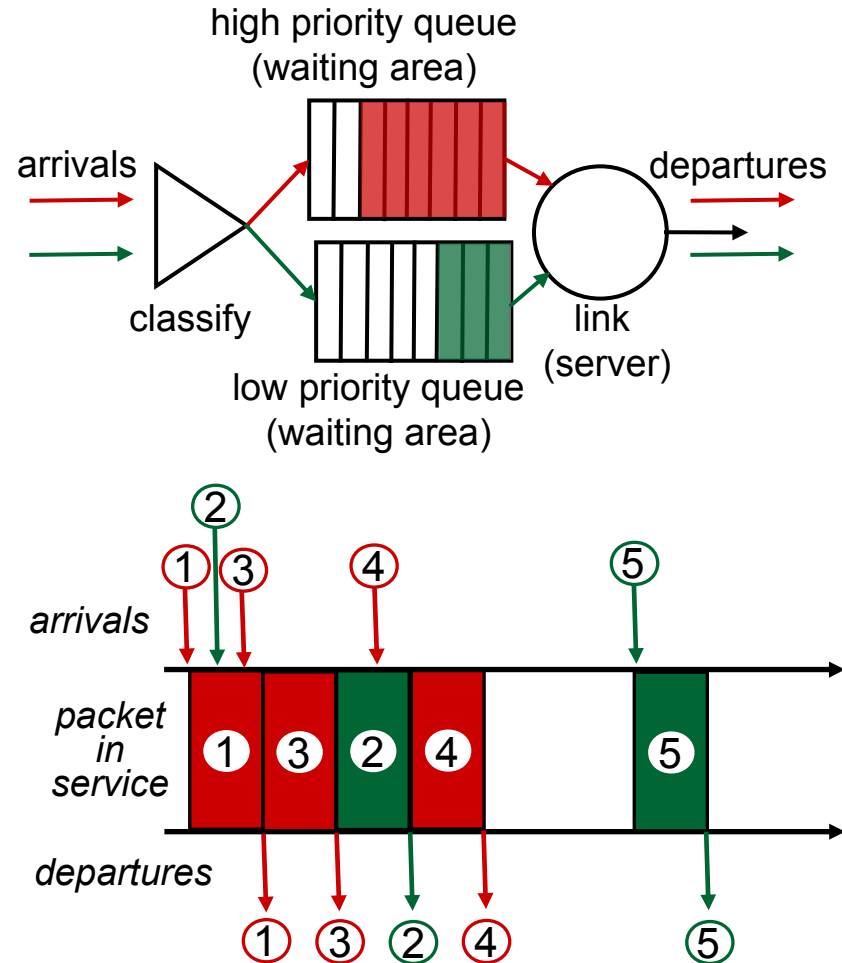
- *scheduling*: choose next packet to send on link
- *FIFO (first in first out) scheduling*: send in order of arrival to queue
  - real-world example?
  - *discard policy*: if packet arrives to full queue: who to discard?
    - *tail drop*: drop arriving packet
    - *priority*: drop/remove on priority basis
    - *random*: drop/remove randomly



# Scheduling policies: priority

*priority scheduling*: send highest priority queued packet

- multiple *classes*, with different priorities
  - class may depend on marking or other header info, e.g. IP source/dest, port numbers, etc.
  - real world example?



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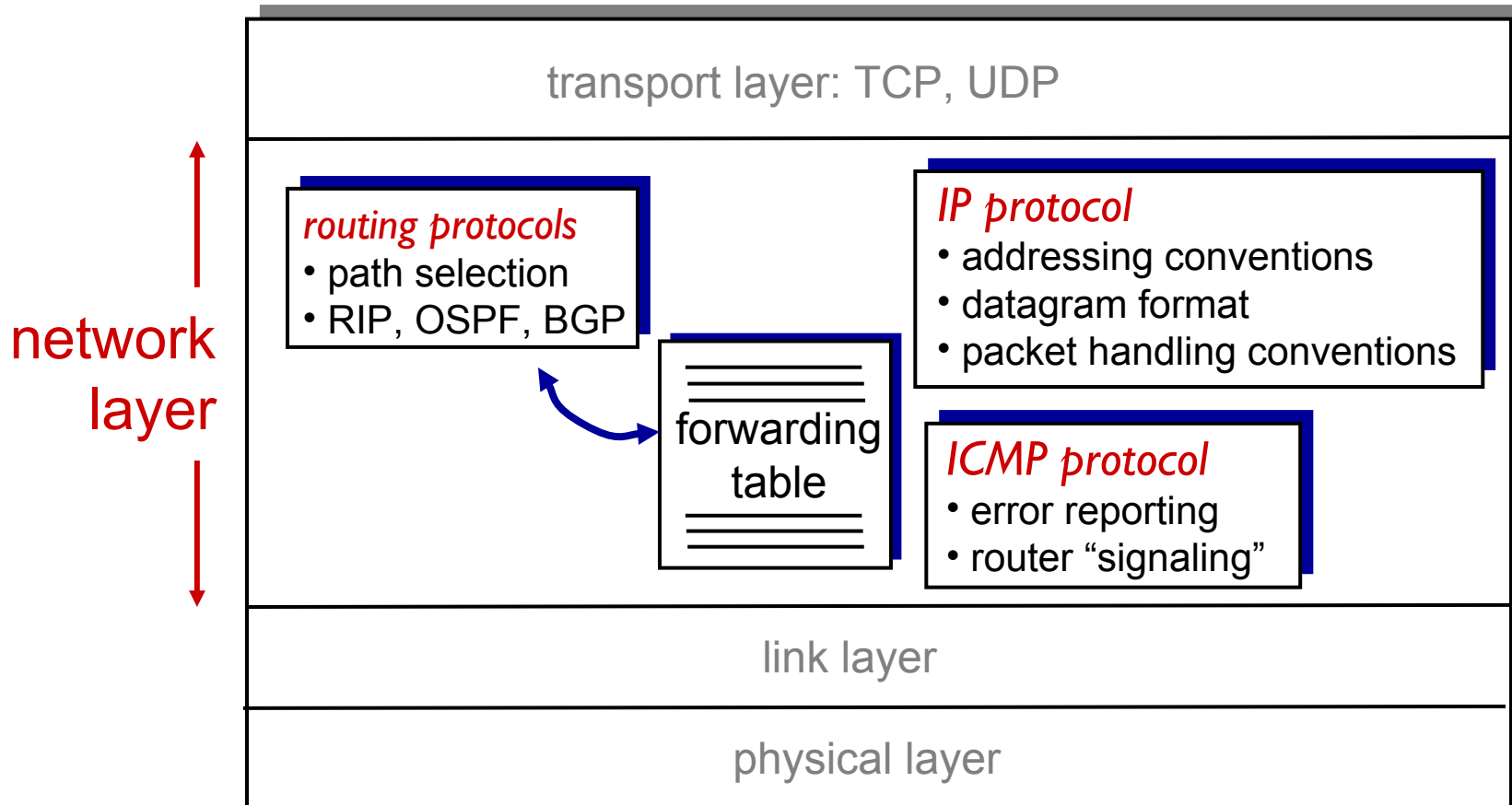
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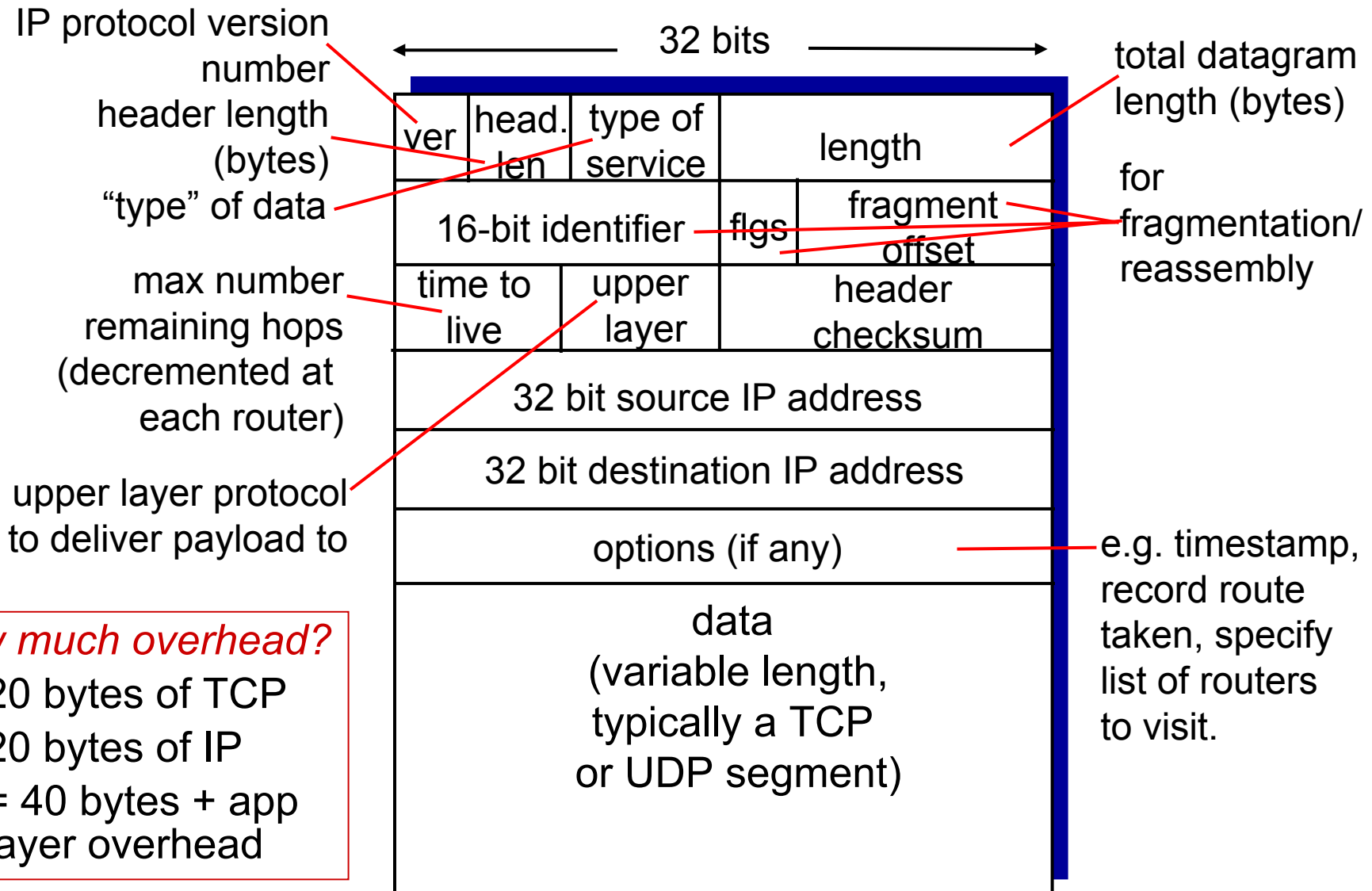


# The Internet network layer

host, router network layer functions:

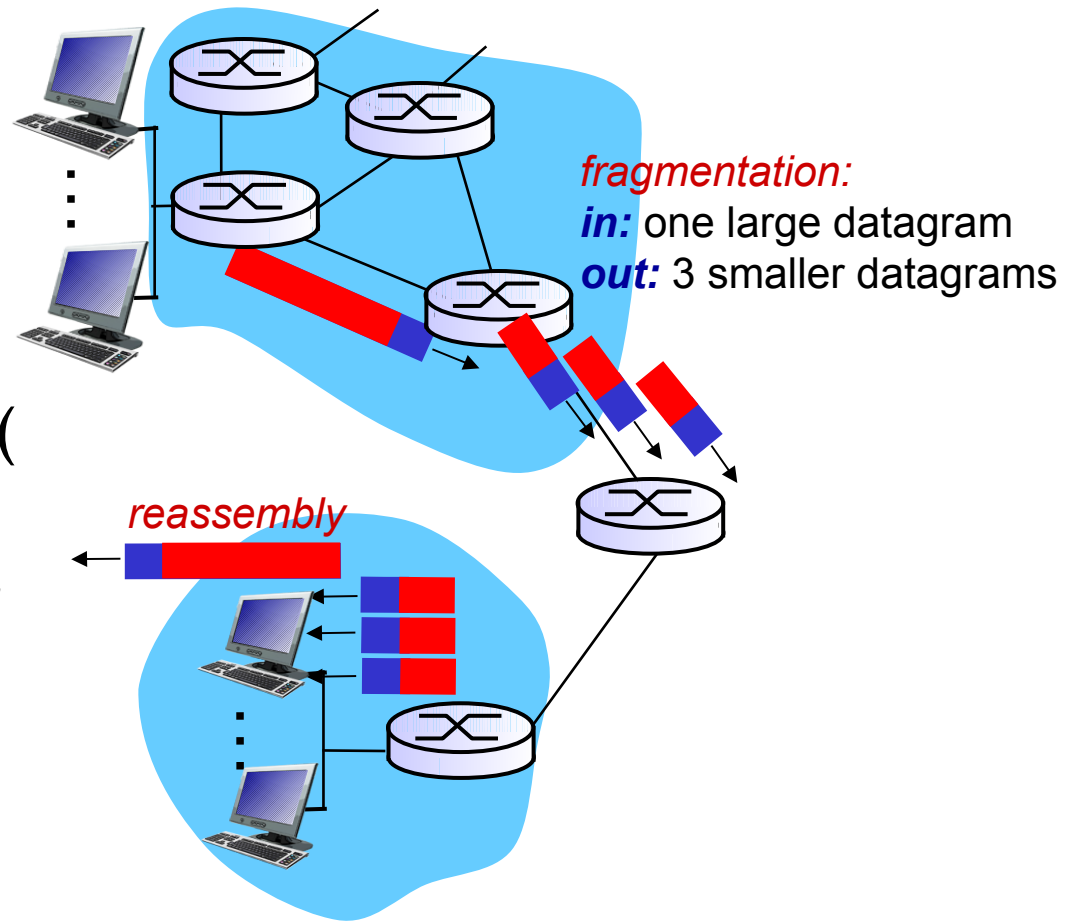


# IP datagram format



# IP fragmentation, reassembly

- network links have MTU (max.transfer size) - largest possible link-level frame
  - different link types, different MTUs
- large IP datagram divided (“fragmented”) within net
  - one datagram becomes several datagrams
  - “reassembled” only at final destination
  - IP header bits used to identify, order related fragments



# IP fragmentation, reassembly

## *example:*

- ❖ 4000 byte datagram
- ❖ MTU = 1500 bytes

|  |        |    |          |        |  |
|--|--------|----|----------|--------|--|
|  | length | ID | fragflag | offset |  |
|  | =4000  | =x | =0       | =0     |  |

*one large datagram becomes  
several smaller datagrams*

1480 bytes in  
data field

offset =  
 $1480/8$

|  |        |    |          |        |  |
|--|--------|----|----------|--------|--|
|  | length | ID | fragflag | offset |  |
|  | =1500  | =x | =1       | =0     |  |

|  |        |    |          |        |  |
|--|--------|----|----------|--------|--|
|  | length | ID | fragflag | offset |  |
|  | =1500  | =x | =1       | =185   |  |

|  |        |    |          |        |  |
|--|--------|----|----------|--------|--|
|  | length | ID | fragflag | offset |  |
|  | =1040  | =x | =0       | =370   |  |

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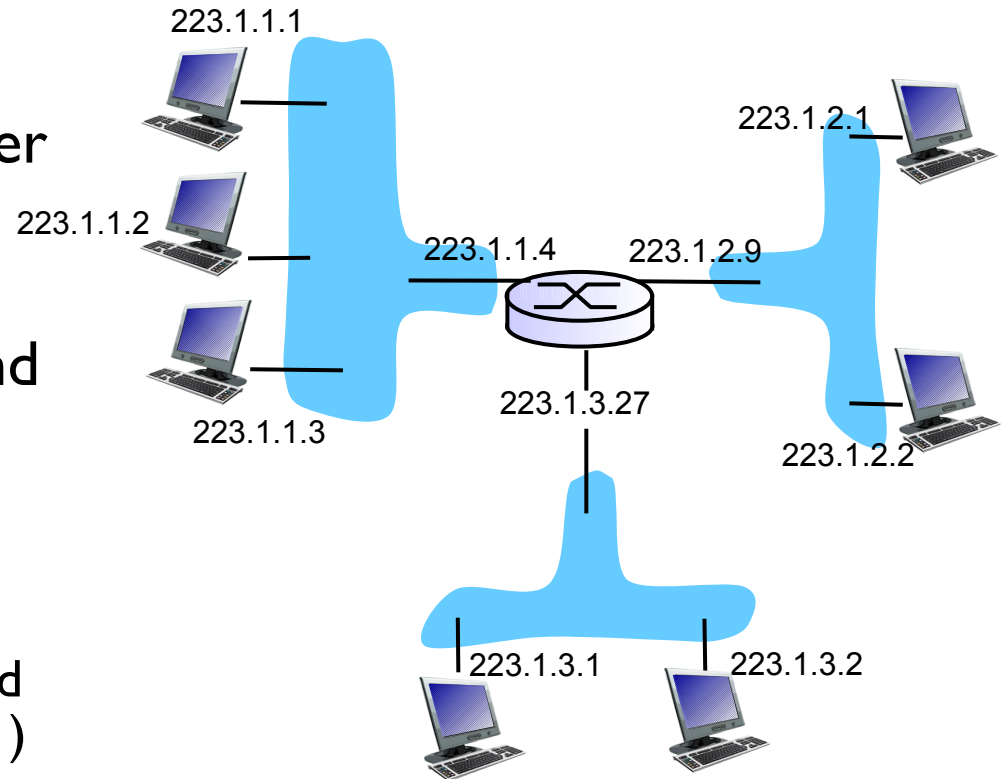
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# IP addressing: introduction

- **IP address:** 32-bit identifier for host, router interface
- **interface:** connection between host/router and physical link
  - router's typically have multiple interfaces
  - host typically has one or two interfaces (e.g., wired Ethernet, wireless 802.11)
- **IP addresses associated with each interface**



$$223.1.1.1 = \underbrace{11011111}_{223} \underbrace{00000001}_1 \underbrace{00000001}_1 \underbrace{00000001}_1$$

# IP addressing: introduction

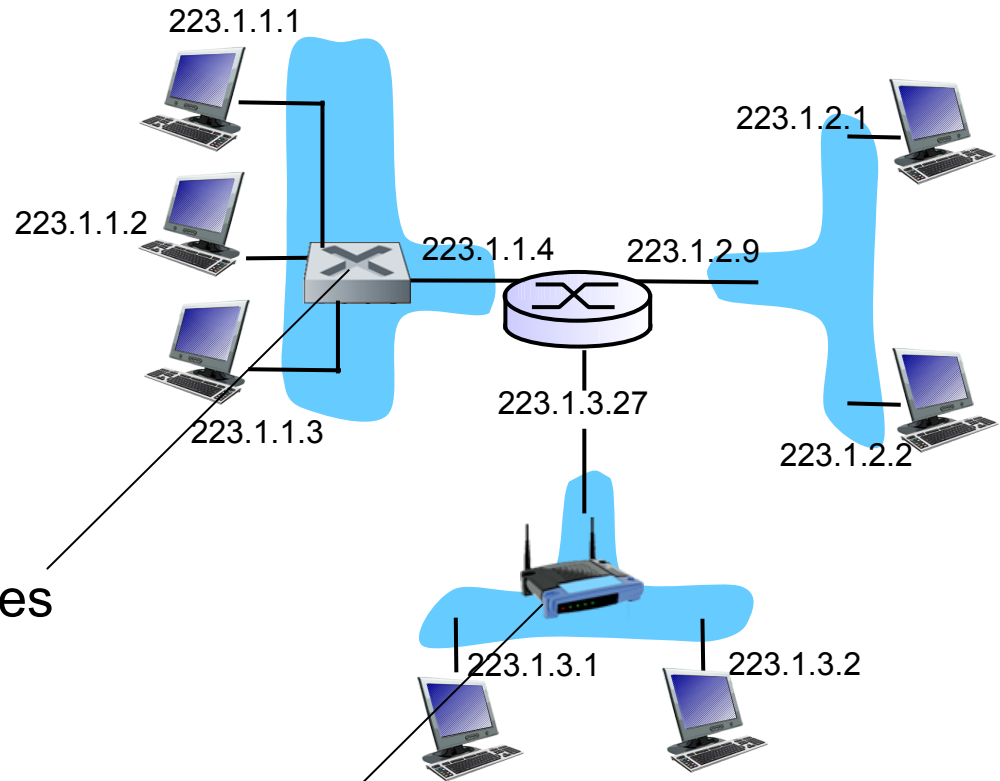
*Q: how are interfaces actually connected?*

*A: we'll learn about that in chapter 5, 6.*

*A:* wired Ethernet interfaces connected by Ethernet switches

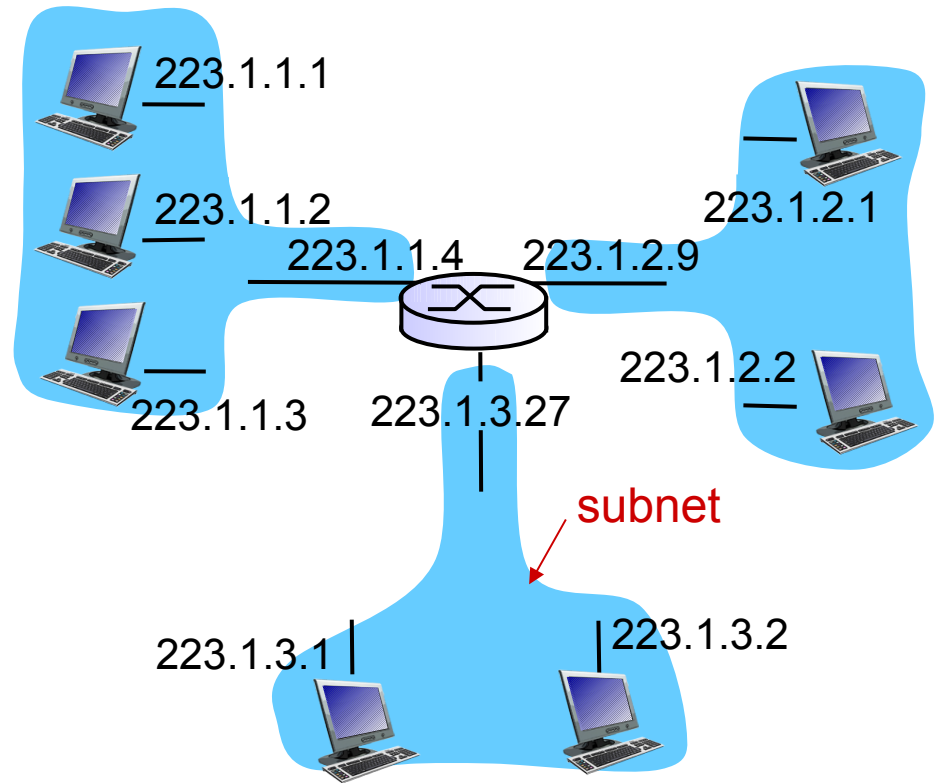
*For now:* don't need to worry about how one interface is connected to another (with no intervening router)

*A:* wireless WiFi interfaces connected by WiFi base station



# Subnets

- IP address:
  - subnet part - high order bits
  - host part - low order bits
- *what's a subnet ?*
  - device interfaces with same subnet part of IP address
  - can physically reach each other *without intervening router*

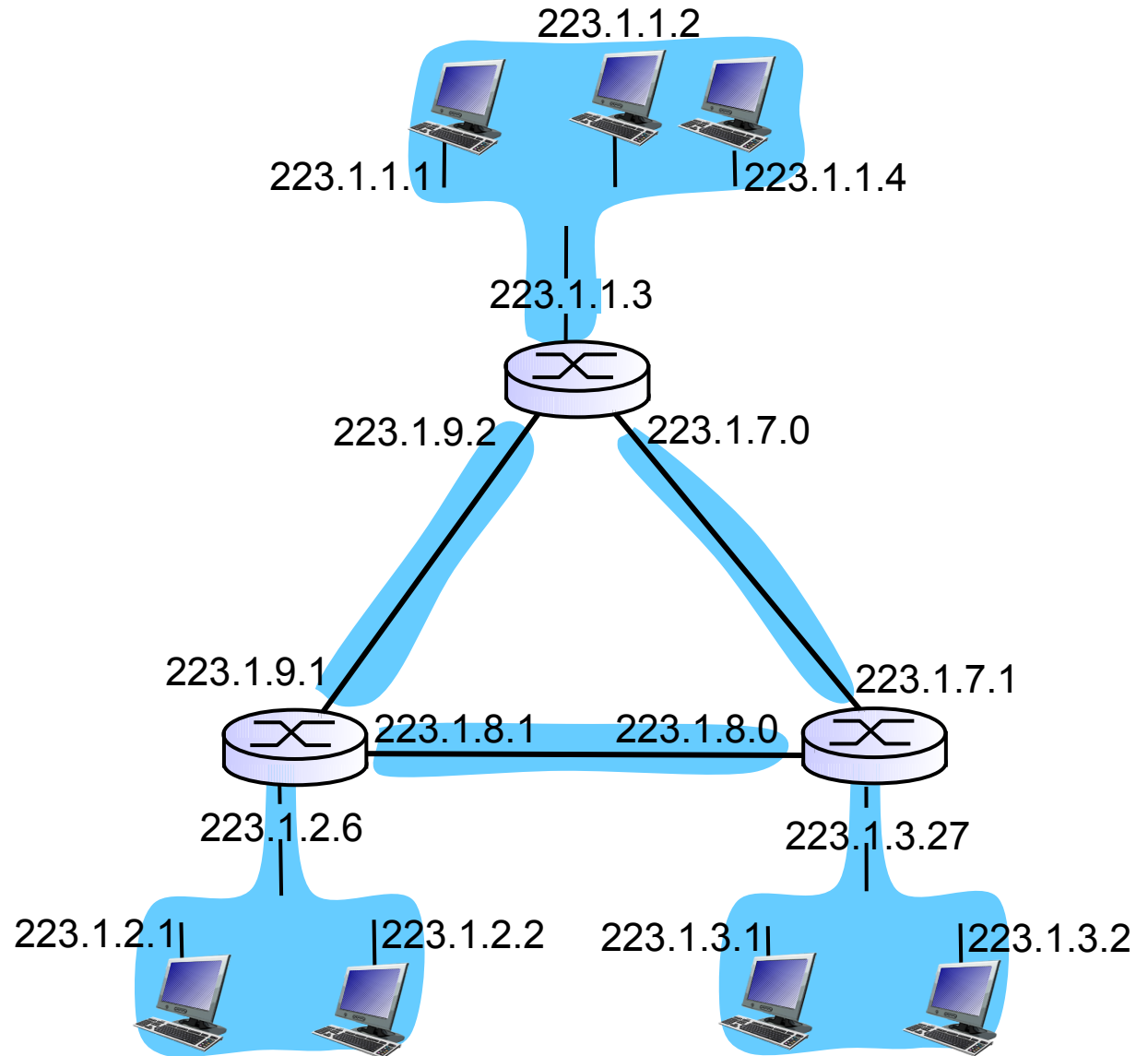


network consisting of 3 subnets



# Subnets

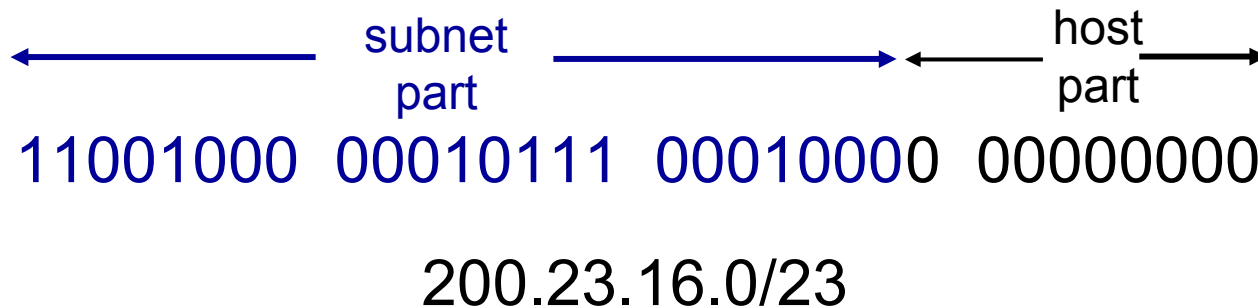
how many?



# IP addressing: CIDR

## CIDR: Classless InterDomain Routing

- subnet portion of address of arbitrary length
- address format: **a.b.c.d/x**, where x is # bits in subnet portion of address



# IP addresses: how to get one?

**Q:** How does a *host* get IP address?

- hard-coded by system admin in a file
  - Windows: control-panel->network->configuration->tcp/ip->properties
  - UNIX: /etc/rc.config
- **DHCP: Dynamic Host Configuration Protocol:** dynamically get address from as server
  - “plug-and-play”

# DHCP: Dynamic Host Configuration Protocol

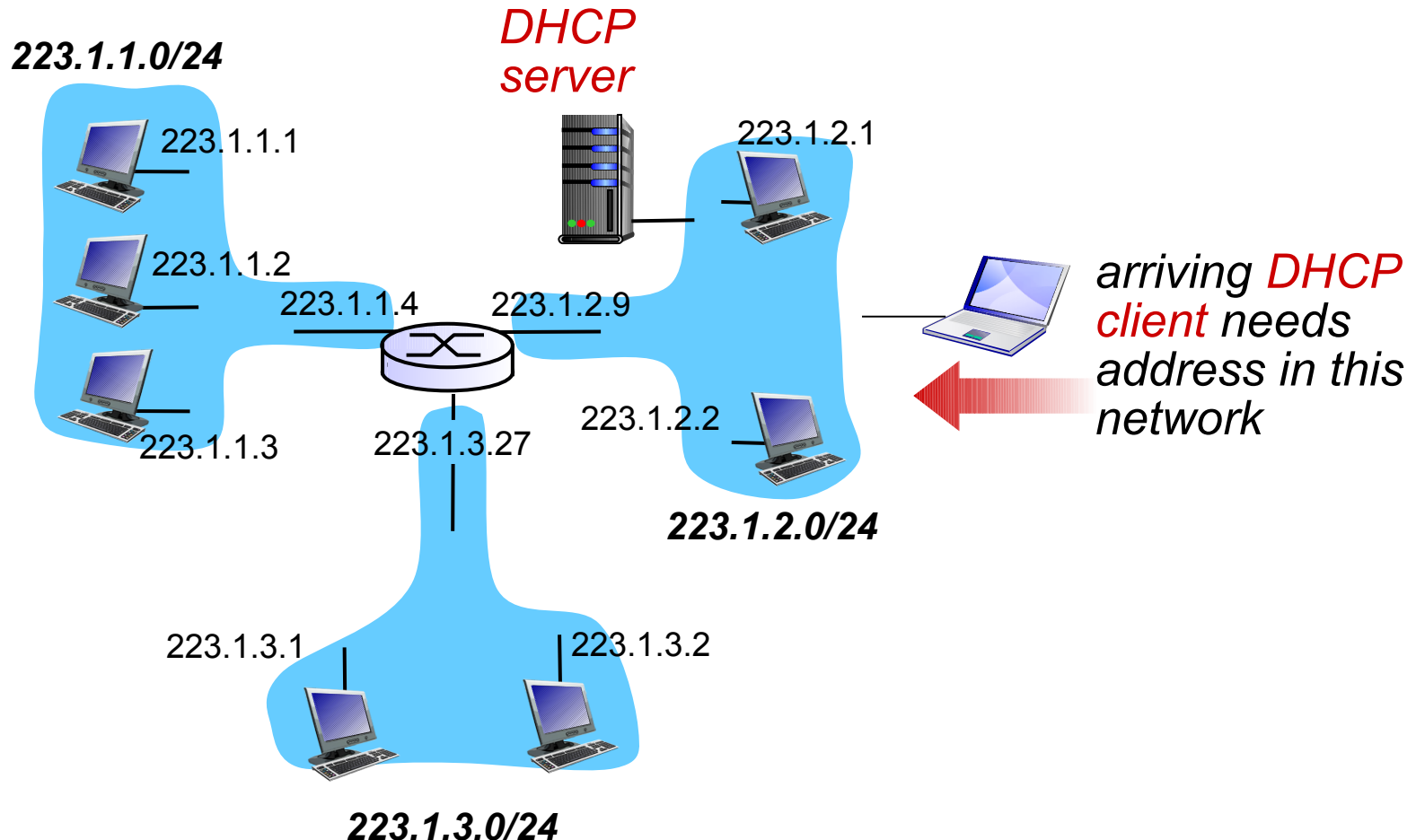
*goal:* allow host to *dynamically* obtain its IP address from network server when it joins network

- can renew its lease on address in use
- allows reuse of addresses (only hold address while connected/“on”)
- support for mobile users who want to join network (more shortly)

## *DHCP overview:*

- host broadcasts “DHCP discover” msg [optional]
- DHCP server responds with “DHCP offer” msg [optional]
- host requests IP address: “DHCP request” msg
- DHCP server sends address: “DHCP ack” msg

# DHCP client-server scenario



# DHCP client-server scenario

DHCP server: 223.1.2.5

**DHCP discover**

Broadcast: is there a  
DHCP server out there?

arriving  
client



**DHCP offer**

Broadcast: I'm a DHCP  
server! Here's an IP  
address you can use

**DHCP request**

Broadcast: OK. I'll take  
that IP address!

**DHCP ACK**

Broadcast: OK. You've  
got that IP address!

# DHCP: more than IP addresses

DHCP can return more than just allocated IP address on subnet:

- address of first-hop router for client
- name and IP address of DNS sever
- network mask (indicating network versus host portion of address)

# DHCP: Wireshark output (home LAN)

Message type: **Boot Request (1)**

Hardware type: Ethernet

Hardware address length: 6

Hops: 0

**Transaction ID: 0x6b3a11b7**

Seconds elapsed: 0

Bootp flags: 0x0000 (Unicast)

Client IP address: 0.0.0.0 (0.0.0.0)

Your (client) IP address: 0.0.0.0 (0.0.0.0)

Next server IP address: 0.0.0.0 (0.0.0.0)

Relay agent IP address: 0.0.0.0 (0.0.0.0)

**Client MAC address: Wistron\_23:68:8a (00:16:d3:23:68:8a)**

Server host name not given

Boot file name not given

Magic cookie: (OK)

Option: (t=53,l=1) **DHCP Message Type = DHCP Request**

Option: (61) Client identifier

Length: 7; Value: 010016D323688A;

Hardware type: Ethernet

Client MAC address: Wistron\_23:68:8a (00:16:d3:23:68:8a)

Option: (t=50,l=4) Requested IP Address = 192.168.1.101

Option: (t=12,l=5) Host Name = "nomad"

**Option: (55) Parameter Request List**

Length: 11; Value: 010F03062C2E2F1F21F92B

**1 = Subnet Mask; 15 = Domain Name**

**3 = Router; 6 = Domain Name Server**

44 = NetBIOS over TCP/IP Name Server

.....

request

Message type: **Boot Reply (2)**

Hardware type: Ethernet

Hardware address length: 6

Hops: 0

**Transaction ID: 0x6b3a11b7**

Seconds elapsed: 0

Bootp flags: 0x0000 (Unicast)

**Client IP address: 192.168.1.101 (192.168.1.101)**

Your (client) IP address: 0.0.0.0 (0.0.0.0)

**Next server IP address: 192.168.1.1 (192.168.1.1)**

Relay agent IP address: 0.0.0.0 (0.0.0.0)

Client MAC address: Wistron\_23:68:8a (00:16:d3:23:68:8a)

Server host name not given

Boot file name not given

Magic cookie: (OK)

**Option: (t=53,l=1) DHCP Message Type = DHCP ACK**

**Option: (t=54,l=4) Server Identifier = 192.168.1.1**

**Option: (t=1,l=4) Subnet Mask = 255.255.255.0**

**Option: (t=3,l=4) Router = 192.168.1.1**

**Option: (6) Domain Name Server**

**Length: 12; Value: 445747E2445749F244574092;**

**IP Address: 68.87.71.226;**

**IP Address: 68.87.73.242;**

**IP Address: 68.87.64.146**

**Option: (t=15,l=20) Domain Name = "hsd1.ma.comcast.net."**

reply



# IP addresses: how to get one?

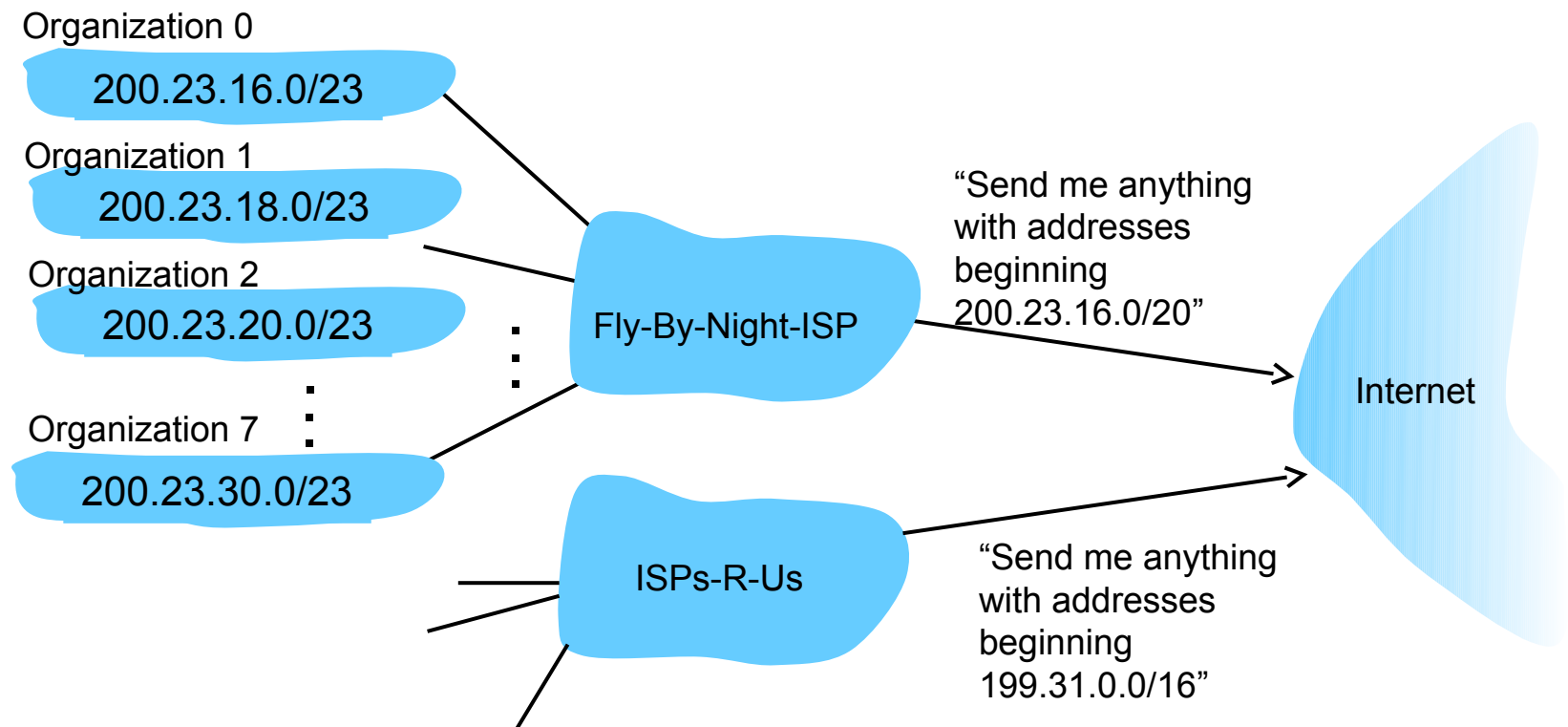
**Q:** how does *network* get subnet part of IP addr?

**A:** gets allocated portion of its provider ISP's address space

|                |                            |          |                |
|----------------|----------------------------|----------|----------------|
| ISP's block    | 11001000 00010111 00010000 | 00000000 | 200.23.16.0/20 |
| Organization 0 | 11001000 00010111 00010000 | 00000000 | 200.23.16.0/23 |
| Organization 1 | 11001000 00010111 00010010 | 00000000 | 200.23.18.0/23 |
| Organization 2 | 11001000 00010111 00010100 | 00000000 | 200.23.20.0/23 |
| ...            | .....                      | ....     | ....           |
| Organization 7 | 11001000 00010111 00011110 | 00000000 | 200.23.30.0/23 |

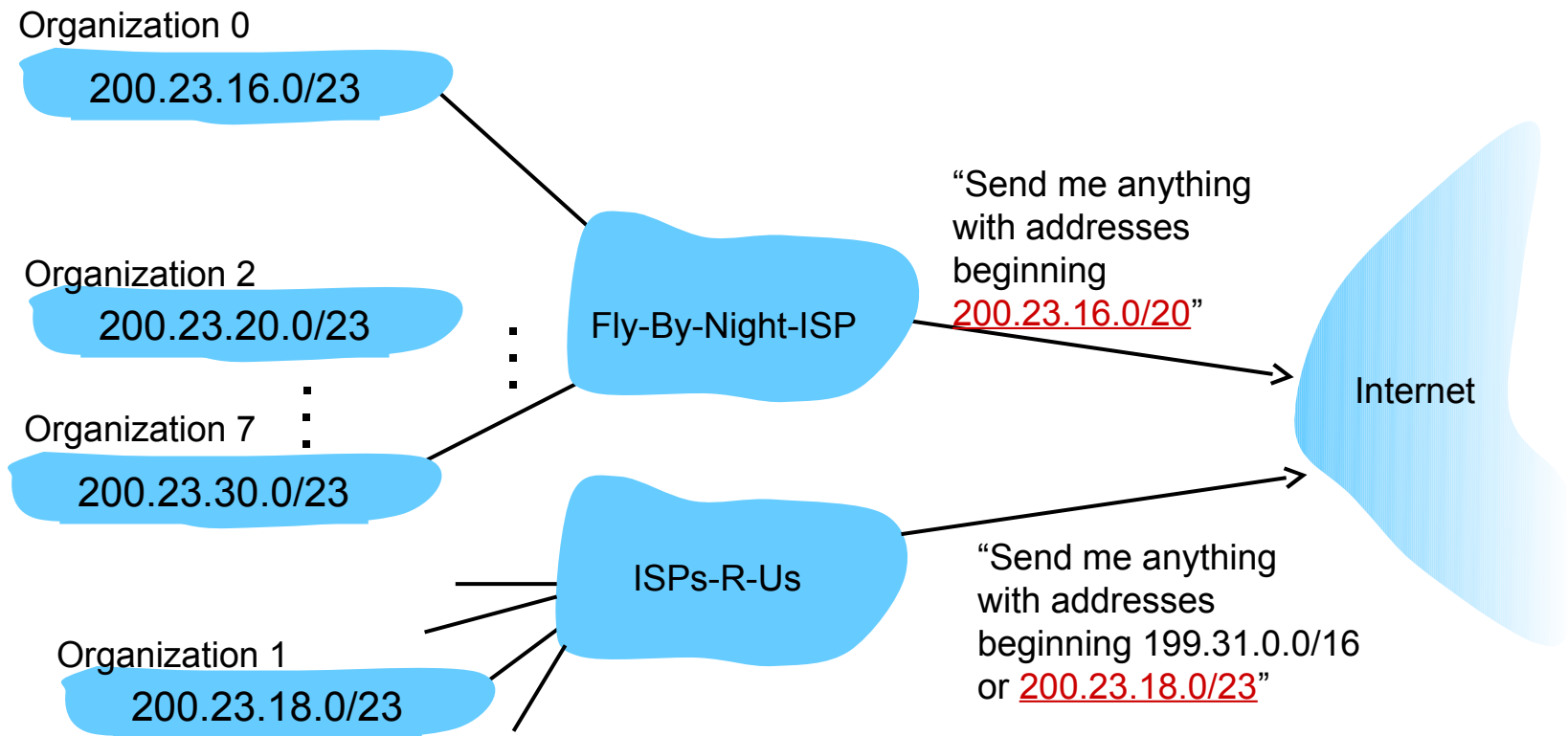
# Hierarchical addressing: route aggregation

hierarchical addressing allows efficient advertisement of routing information:



# Hierarchical addressing: more specific routes

ISPs-R-U has a more specific route to Organization 1



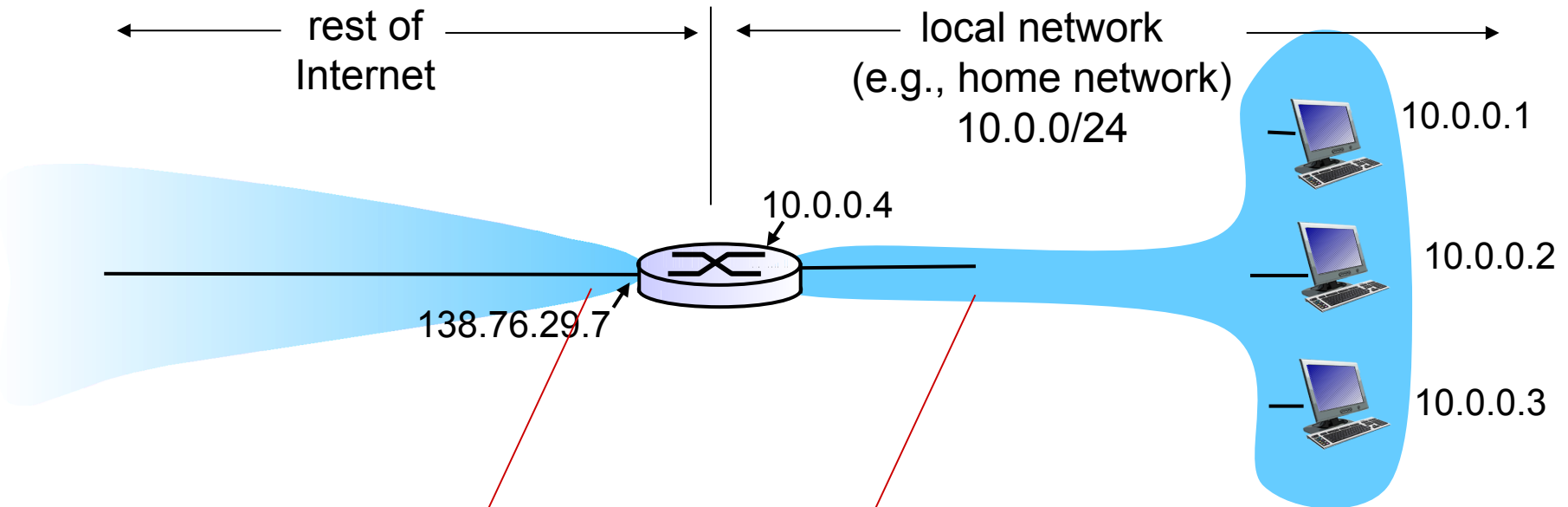
# IP addressing: the last word...

**Q:** how does an ISP get block of addresses?

**A:** ICANN: Internet Corporation for Assigned Names and Numbers <http://www.icann.org/>

- allocates addresses
- manages DNS
- assigns domain names, resolves disputes

# NAT: network address translation



*all* datagrams *leaving* local network have *same* single source NAT IP address: 138.76.29.7, different source port numbers

datagrams with source or destination in this network have 10.0.0/24 address for source, destination (as usual)

# NAT: network address translation

*motivation:* local network uses just one IP address as far as outside world is concerned:

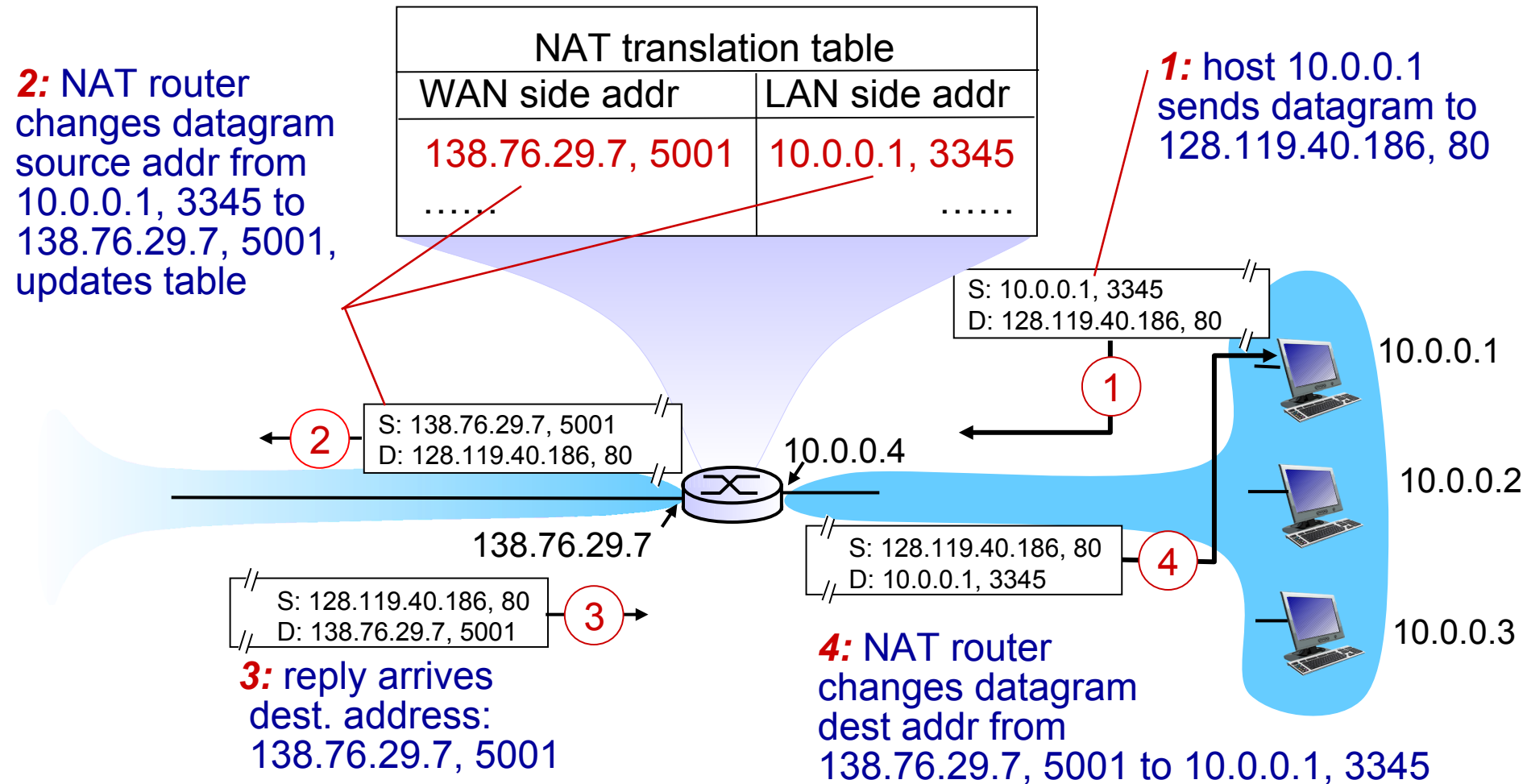
- range of addresses not needed from ISP: just one IP address for all devices
- can change addresses of devices in local network without notifying outside world
- can change ISP without changing addresses of devices in local network
- devices inside local net not explicitly addressable, visible by outside world (a security plus)

# NAT: network address translation

*implementation:* NAT router must:

- *outgoing datagrams: replace* (source IP address, port #) of every outgoing datagram to (NAT IP address, new port #)  
... remote clients/servers will respond using (NAT IP address, new port #) as destination addr
- *remember (in NAT translation table)* every (source IP address, port #) to (NAT IP address, new port #) translation pair
- *incoming datagrams: replace* (NAT IP address, new port #) in dest fields of every incoming datagram with corresponding (source IP address, port #) stored in NAT table

# NAT: network address translation



\* Check out the online interactive exercises for more examples: [http://gaia.cs.umass.edu/kurose\\_ross/interactive/](http://gaia.cs.umass.edu/kurose_ross/interactive/)



# NAT: network address translation

- 16-bit port-number field:
  - 60,000 simultaneous connections with a single LAN-side address!
- NAT is controversial:
  - routers should only process up to layer 3
  - address shortage should be solved by IPv6
  - violates end-to-end argument
    - NAT possibility must be taken into account by app designers, e.g., P2P applications
  - NAT traversal: what if client wants to connect to server behind NAT?

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# IPv6: motivation

- *initial motivation*: 32-bit address space soon to be completely allocated.
- additional motivation:
  - header format helps speed processing/forwarding
  - header changes to facilitate QoS

## *IPv6 datagram format:*

- fixed-length 40 byte header
- no fragmentation allowed

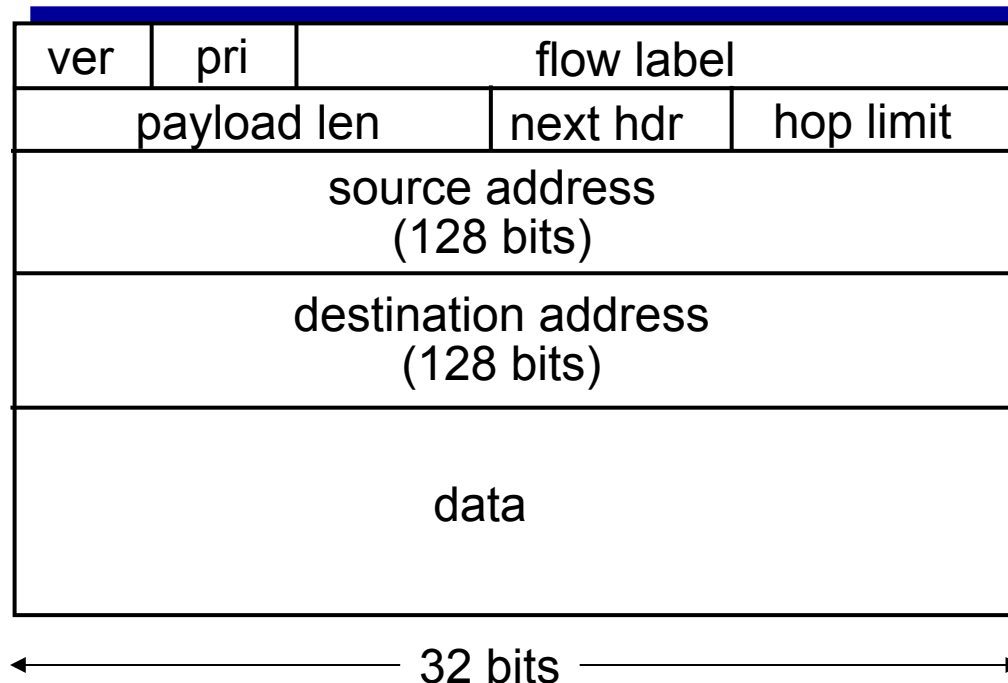
# IPv6 datagram format

*priority:* identify priority among datagrams in flow

*flow Label:* identify datagrams in same “flow.”

(concept of “flow” not well defined).

*next header:* identify upper layer protocol for data

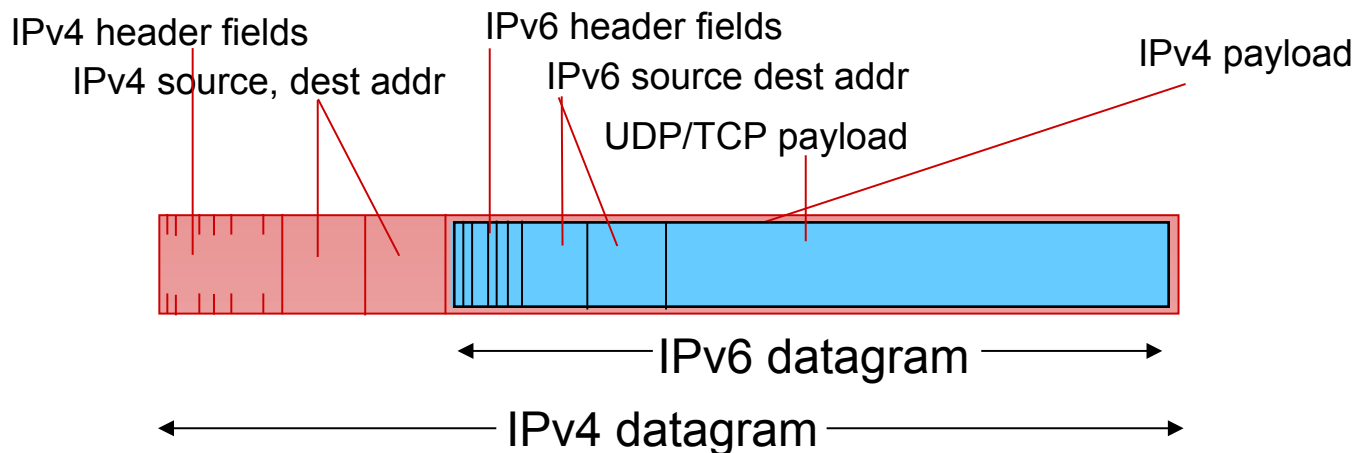


# Other changes from IPv4

- *checksum*: removed entirely to reduce processing time at each hop
- *options*: allowed, but outside of header, indicated by “Next Header” field
- *ICMPv6*: new version of ICMP
  - additional message types, e.g. “Packet Too Big”
  - multicast group management functions

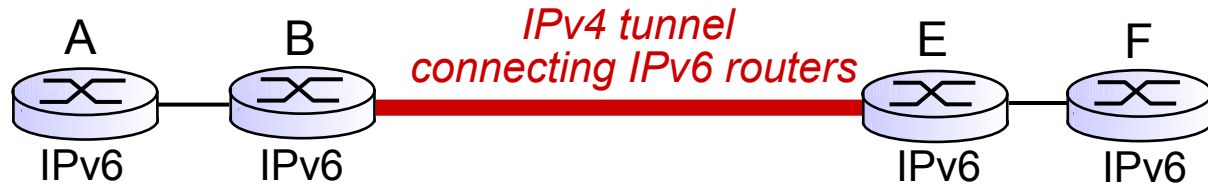
# Transition from IPv4 to IPv6

- not all routers can be upgraded simultaneously
  - no “flag days”
  - how will network operate with mixed IPv4 and IPv6 routers?
- **tunneling**: IPv6 datagram carried as *payload* in IPv4 datagram among IPv4 routers

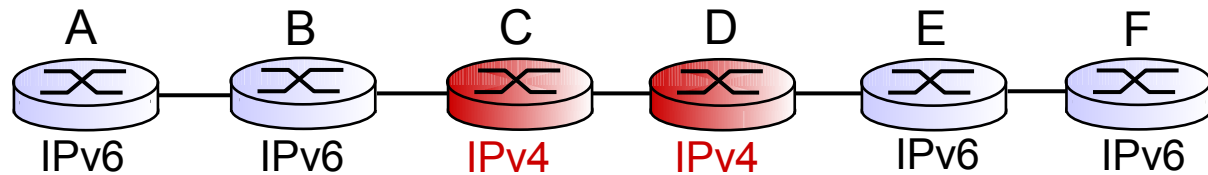


# Tunneling

logical view:

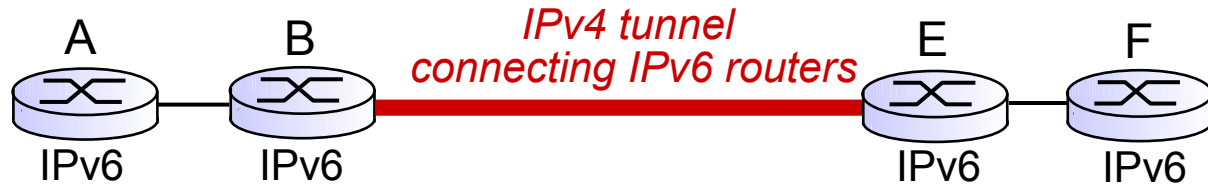


physical view:

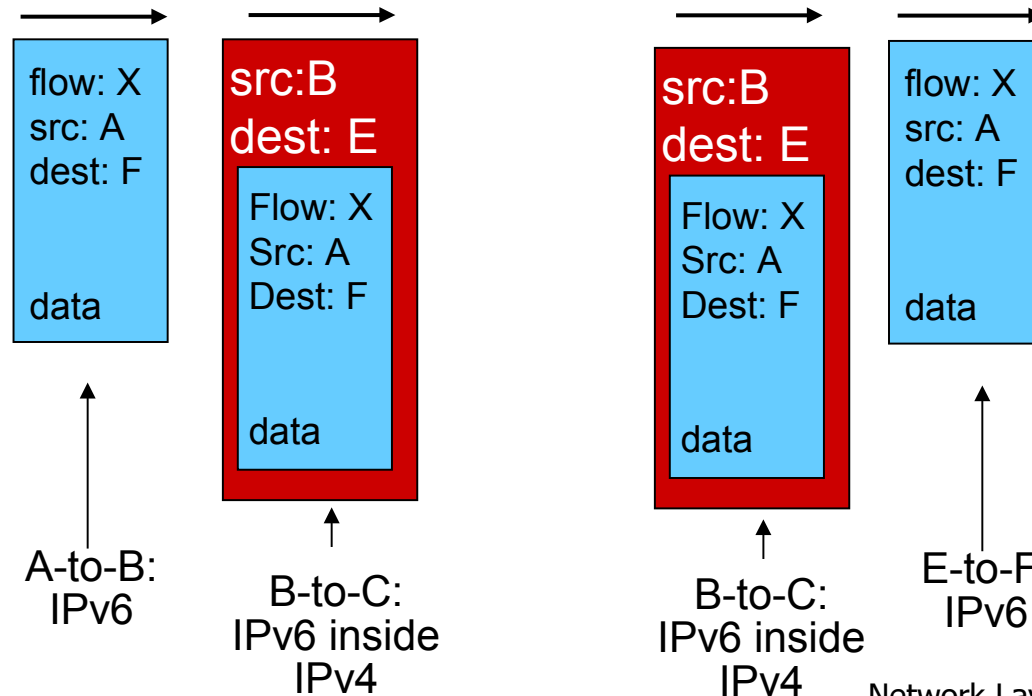
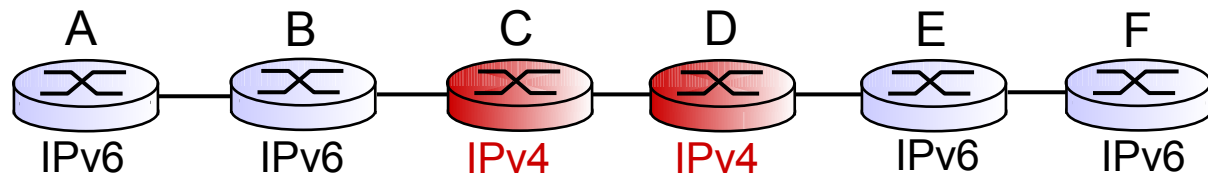


# Tunneling

logical view:



physical view:





# IPv6: adoption

- Google: 8% of clients access services via IPv6
- NIST: 1/3 of all US government domains are IPv6 capable
- *Long (long!) time for deployment, use*
  - 20 years and counting!
  - think of application-level changes in last 20 years: WWW, Facebook, streaming media, Skype, ...
  - *Why?*

# Chapter 4: outline

## 4.1 Overview of Network layer

- data plane
- control plane

## 4.2 What's inside a router

## 4.3 IP: Internet Protocol

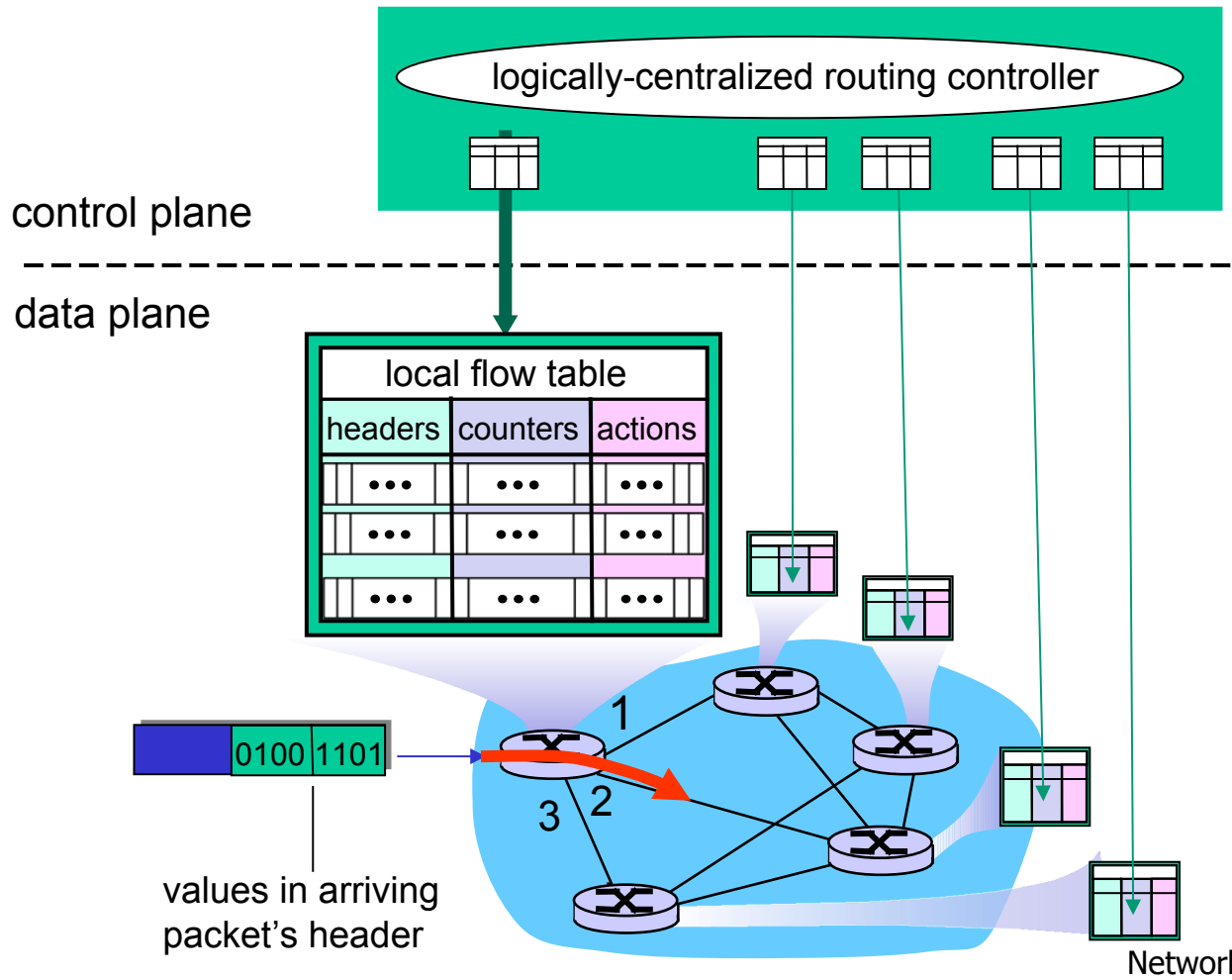
- datagram format
- fragmentation
- IPv4 addressing
- network address translation
- IPv6

## 4.4 Generalized Forward and SDN

- match
- action
- OpenFlow examples of match-plus-action in action

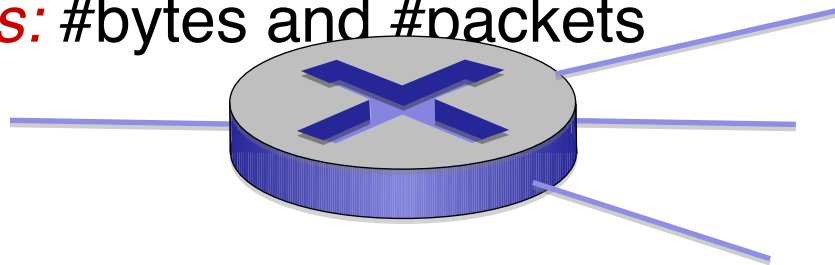
# Generalized Forwarding and SDN

Each router contains a *flow table* that is computed and distributed by a *logically centralized routing controller*



# OpenFlow data plane abstraction

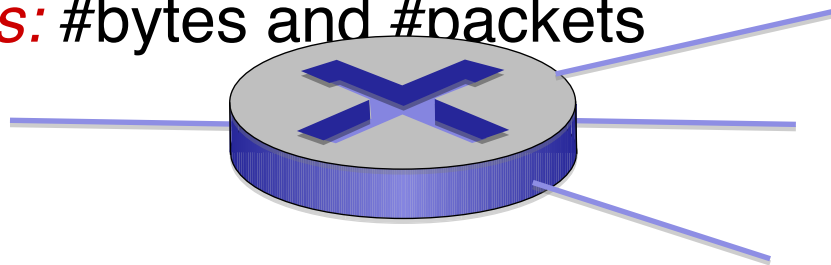
- *flow*: defined by header fields
- generalized forwarding: simple packet-handling rules
  - *Pattern*: match values in packet header fields
  - *Actions: for matched packet*: drop, forward, modify, matched packet or send matched packet to controller
  - *Priority*: disambiguate overlapping patterns
  - *Counters*: #bytes and #packets



*Flow table in a router (computed and distributed by controller) define router's match+action rules*

# OpenFlow data plane abstraction

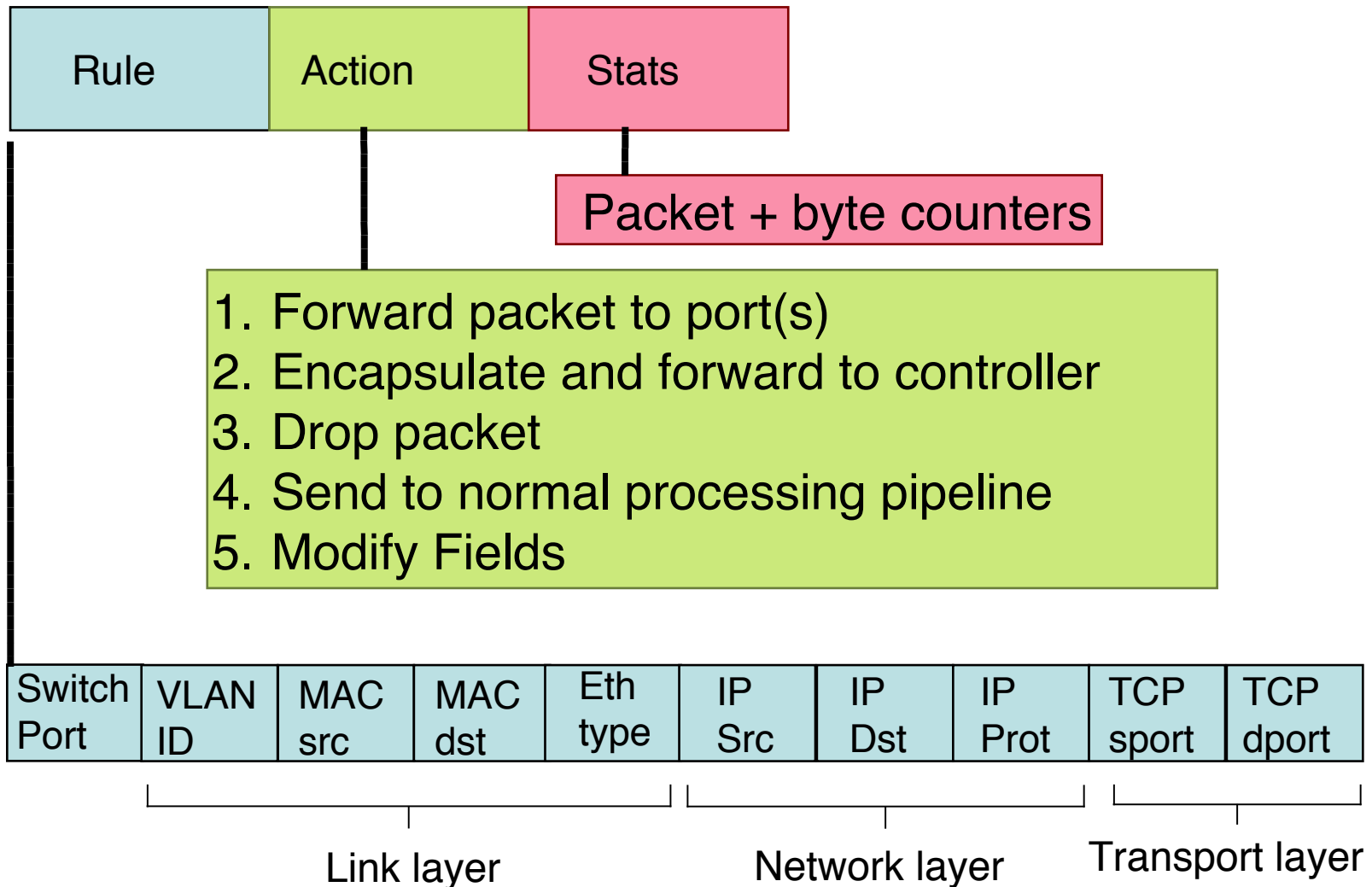
- *flow*: defined by header fields
- generalized forwarding: simple packet-handling rules
  - *Pattern*: match values in packet header fields
  - *Actions: for matched packet*: drop, forward, modify, matched packet or send matched packet to controller
  - *Priority*: disambiguate overlapping patterns
  - *Counters*: #bytes and #packets



\* : wildcard

1. src=1.2.\*.\* , dest=3.4.5.\* → drop
2. src = \*.\*.\*.\* , dest=3.4.\*.\* → forward(2)
3. src=10.1.2.3 , dest=\*.\*.\*.\* → send to

# OpenFlow: Flow Table Entries



# Examples

## Destination-based forwarding:

| Switch Port | MAC src | MAC dst | Eth type | VLAN ID | IP Src | IP Dst   | IP Prot | TCP sport | TCP dport | Action |
|-------------|---------|---------|----------|---------|--------|----------|---------|-----------|-----------|--------|
| *           | *       | *       | *        | *       | *      | 51.6.0.8 | *       | *         | *         | port6  |

*IP datagrams destined to IP address 51.6.0.8 should be forwarded to router output port 6*

## Firewall:

| Switch Port | MAC src | MAC dst | Eth type | VLAN ID | IP Src | IP Dst | IP Prot | TCP sport | TCP dport | Action |
|-------------|---------|---------|----------|---------|--------|--------|---------|-----------|-----------|--------|
| *           | *       | *       | *        | *       | *      | *      | *       | *         | 22        | drop   |

*do not forward (block) all datagrams destined to TCP port 22*

| Switch Port | MAC src | MAC dst | Eth type | VLAN ID | IP Src      | IP Dst | IP Prot | TCP sport | TCP dport | Action |
|-------------|---------|---------|----------|---------|-------------|--------|---------|-----------|-----------|--------|
| *           | *       | *       | *        | *       | 128.119.1.1 | *      | *       | *         | *         | drop   |

*do not forward (block) all datagrams sent by host 128.119.1.1*

# Examples

## Destination-based layer 2 (switch) forwarding:

| Switch Port | MAC src           | MAC dst | Eth type | VLAN ID | IP Src | IP Dst | IP Prot | TCP sport | TCP dport | Action |
|-------------|-------------------|---------|----------|---------|--------|--------|---------|-----------|-----------|--------|
| *           | 22:A7:23:11:E1:02 | *       | *        | *       | *      | *      | *       | *         | *         | port3  |

*layer 2 frames from MAC address 22:A7:23:11:E1:02  
should be forwarded to output port 6*



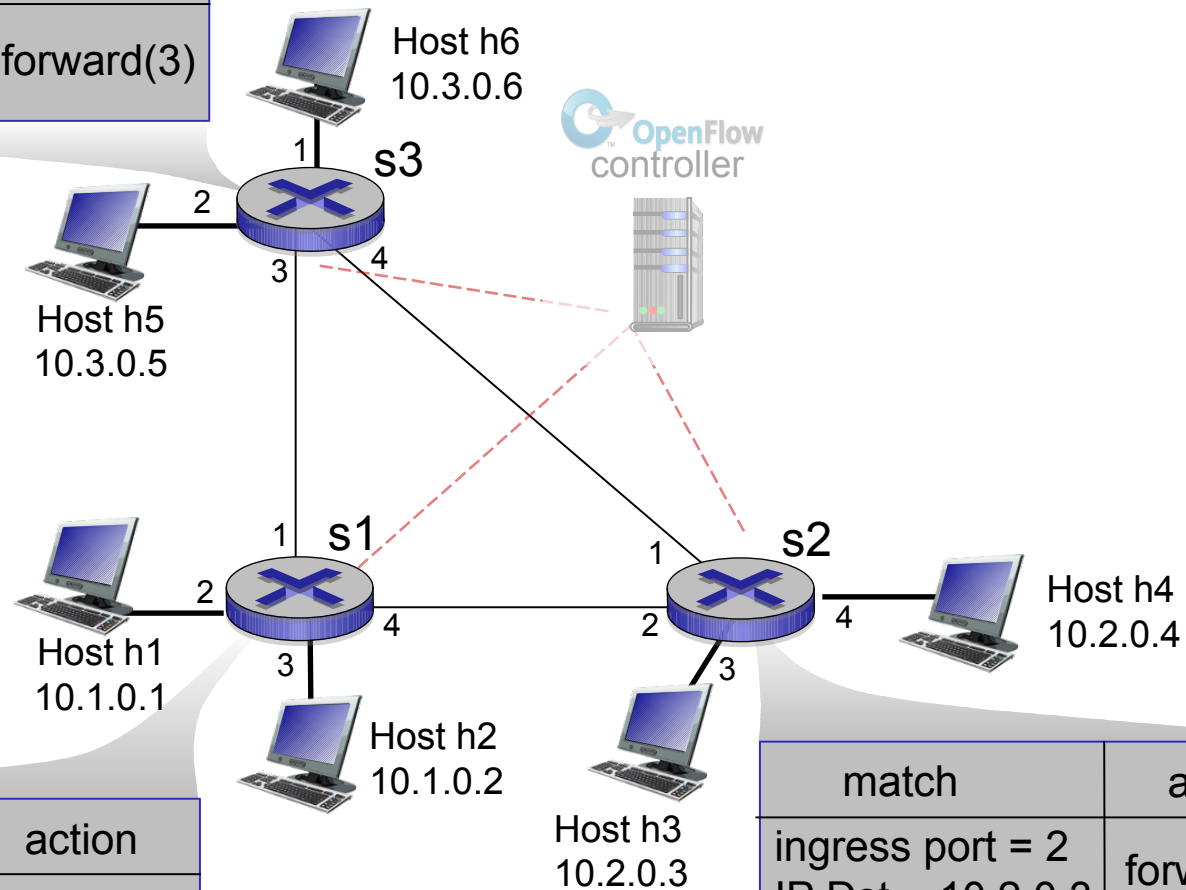
# OpenFlow abstraction

- *match+action*: unifies different kinds of devices
- Router
  - *match*: longest destination IP prefix
  - *action*: forward out a link
- Switch
  - *match*: destination MAC address
  - *action*: forward or flood
- Firewall
  - *match*: IP addresses and TCP/UDP port numbers
  - *action*: permit or deny
- NAT
  - *match*: IP address and port
  - *action*: rewrite address and port

# OpenFlow example

*Example:* datagrams from hosts h5 and h6 should be sent to h3 or h4, via s1 and from there to s2

| match                                  | action     |
|--|------------|
| IP Src = 10.3.*.*<br>IP Dst = 10.2.*.* | forward(3) |



| match  | action     |
|--|------------|
| ingress port = 1<br>IP Src = 10.3.*.*<br>IP Dst = 10.2.*.* | forward(4) |

| match                                 | action     |
|---------------------------------------|------------|
| ingress port = 2<br>IP Dst = 10.2.0.3 | forward(3) |
| ingress port = 2<br>IP Dst = 10.2.0.4 | forward(4) |

# Chapter 4: done!

4.1 Overview of Network layer: data plane and control plane

4.2 What's inside a router

4.3 IP: Internet Protocol

- datagram format
- fragmentation
- IPv4 addressing
- NAT
- IPv6

4.4 Generalized Forward and SDN

- match plus action
- OpenFlow example

*Question:* how do forwarding tables (destination-based forwarding) or flow tables (generalized forwarding) computed?

*Answer:* by the control plane (next chapter)