

# Announcements

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- HW1 is due **Tuesday, January 30**,  
11:59 PM PT
- Project 1 is due **Friday, February 2**,  
11:59 PM PT

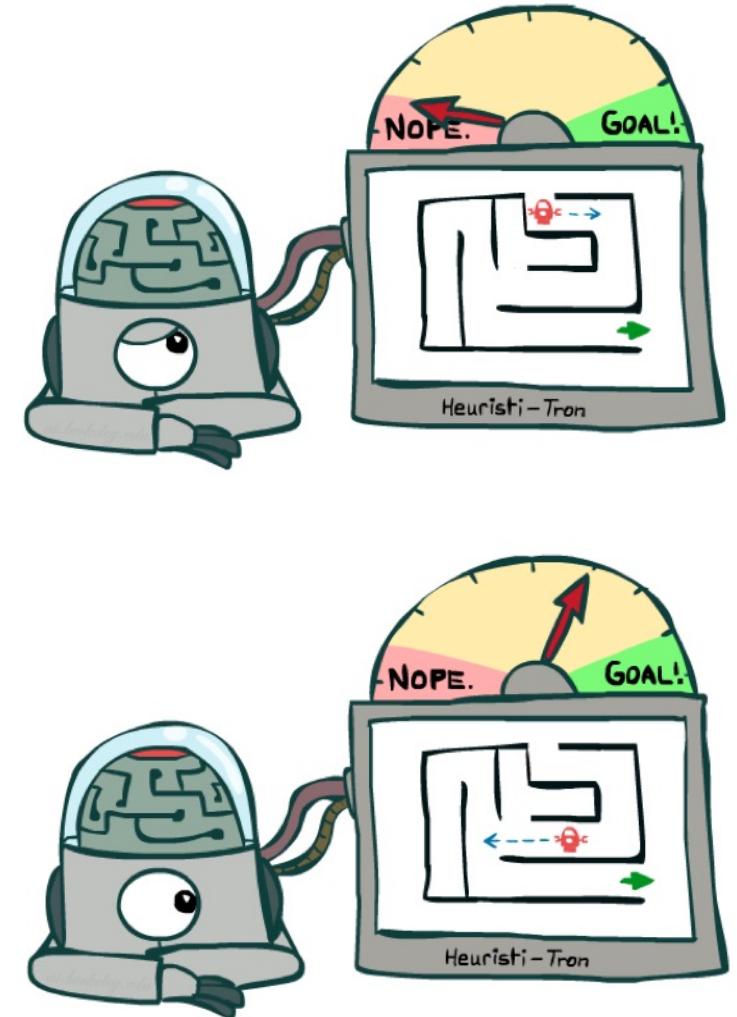
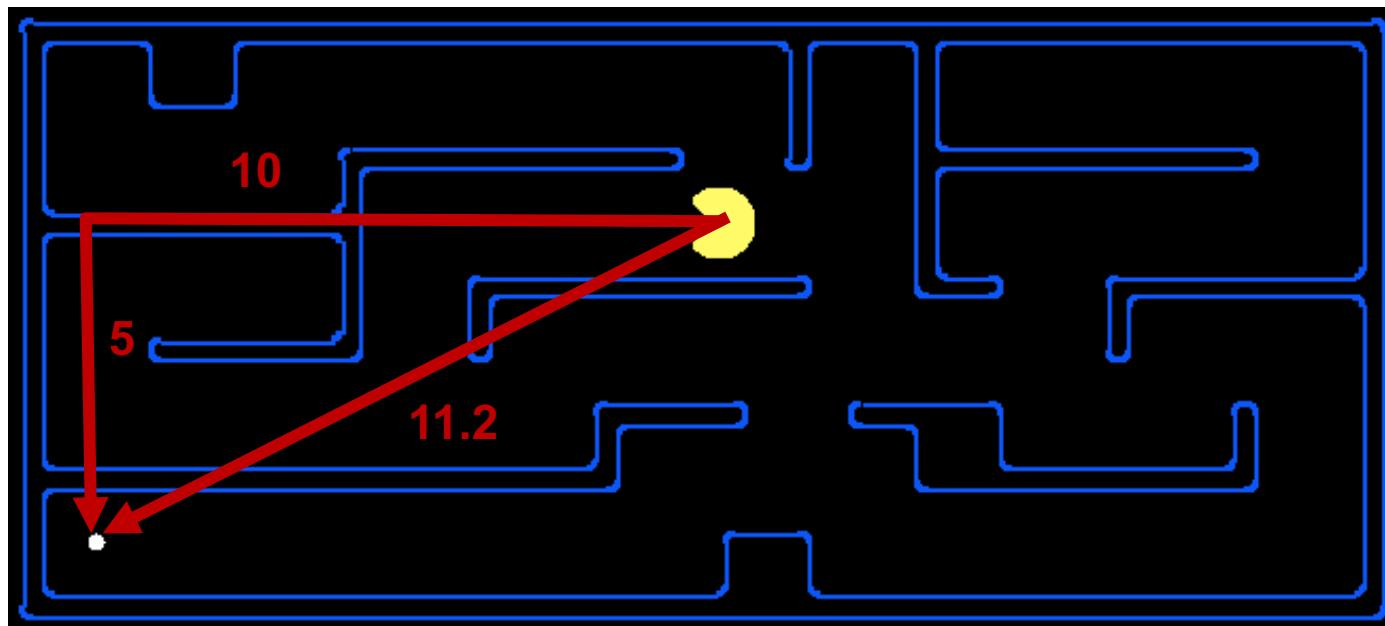


Pre-scan attendance QR code now!  
(Password appears later)

# Recap: Search Heuristics

- A heuristic is:

- A function that *estimates* how close a state is to a goal
- Designed for a particular search problem
- Examples: Manhattan distance, Euclidean distance for pathing



# Recap: Cost- vs. Heuristic-Guided Search

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Uniform-Cost Search  
(only costs,  $g$ )

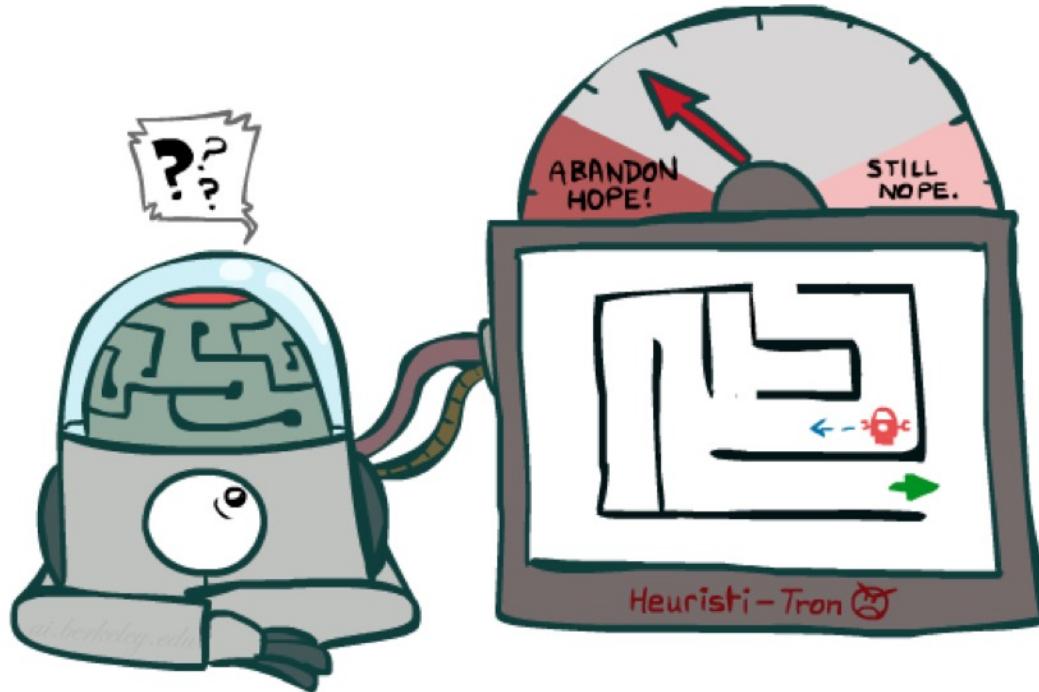


Greedy Best-First Search  
(only heuristic,  $h$ )

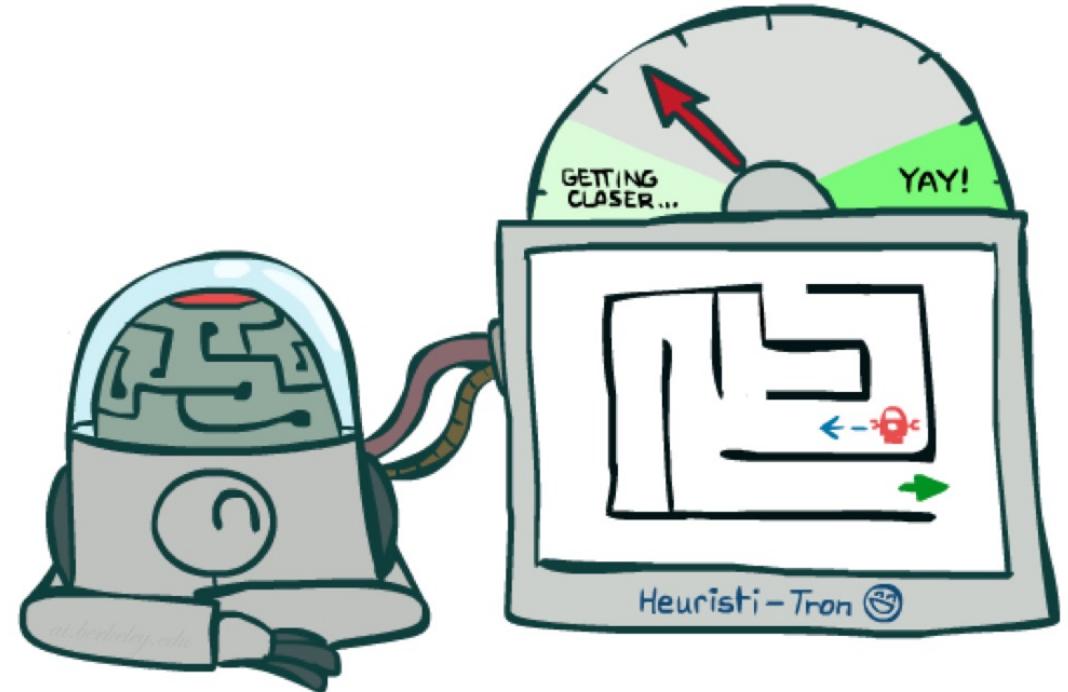


A\* Search  
(both,  $f=g+h$ )

# Recap: Admissibility

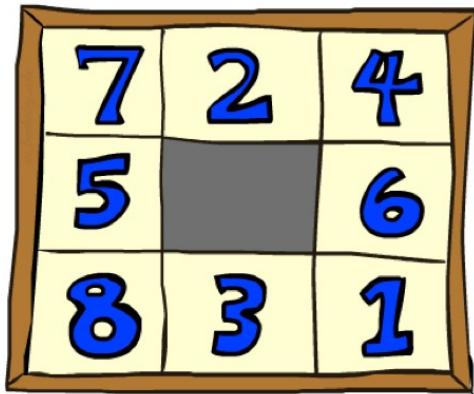


Inadmissible (pessimistic) heuristics break optimality by trapping good plans on the fringe

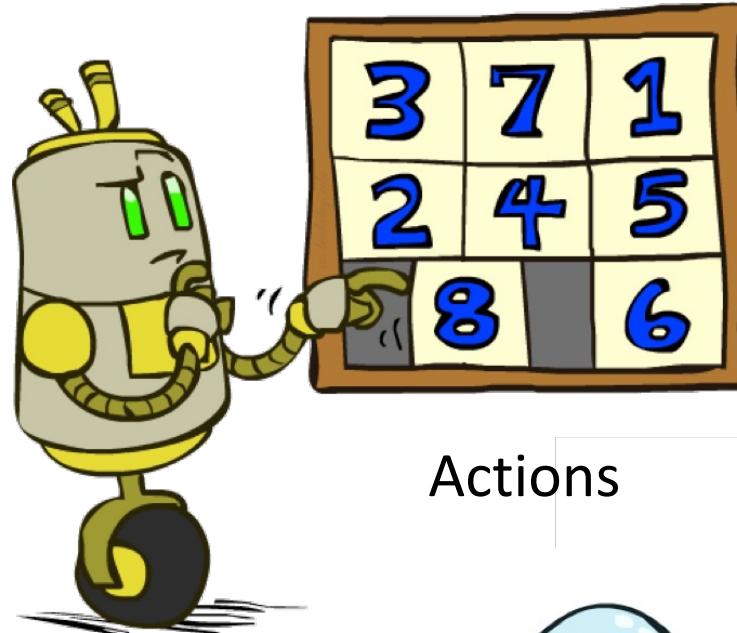


Admissible (optimistic) heuristics slow down bad plans but never outweigh true costs

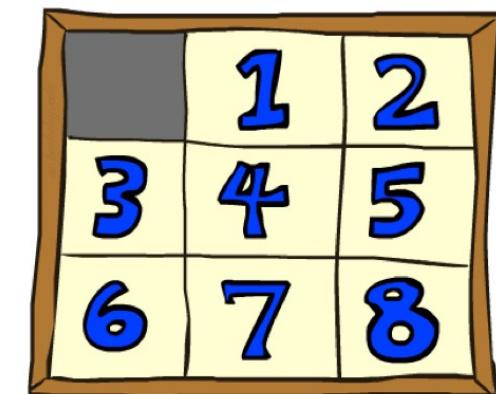
# Recap: 8-Puzzle



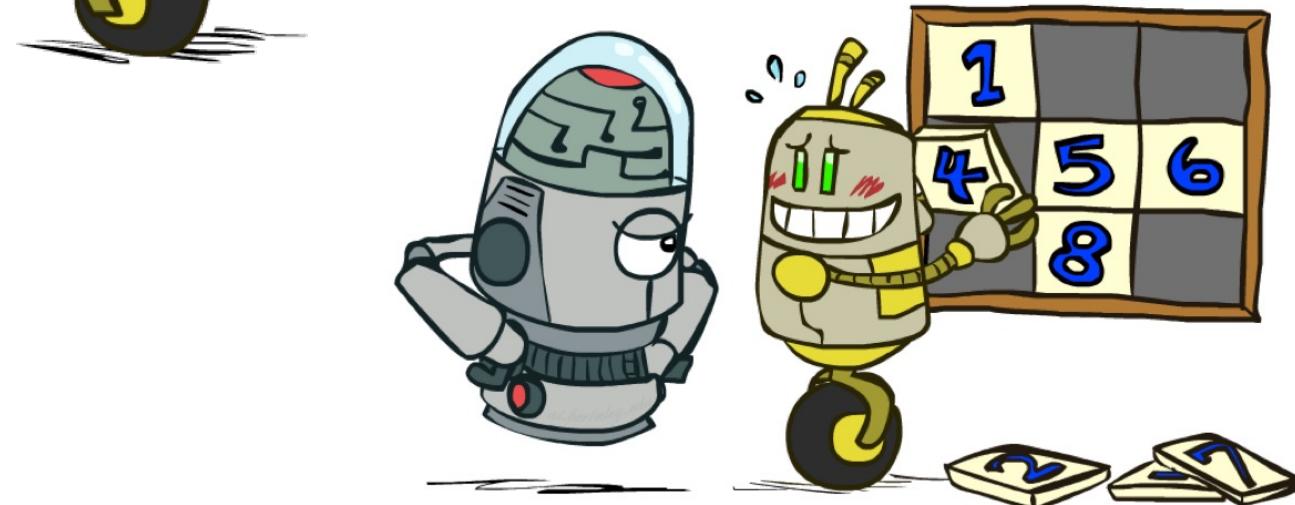
Start State



Actions



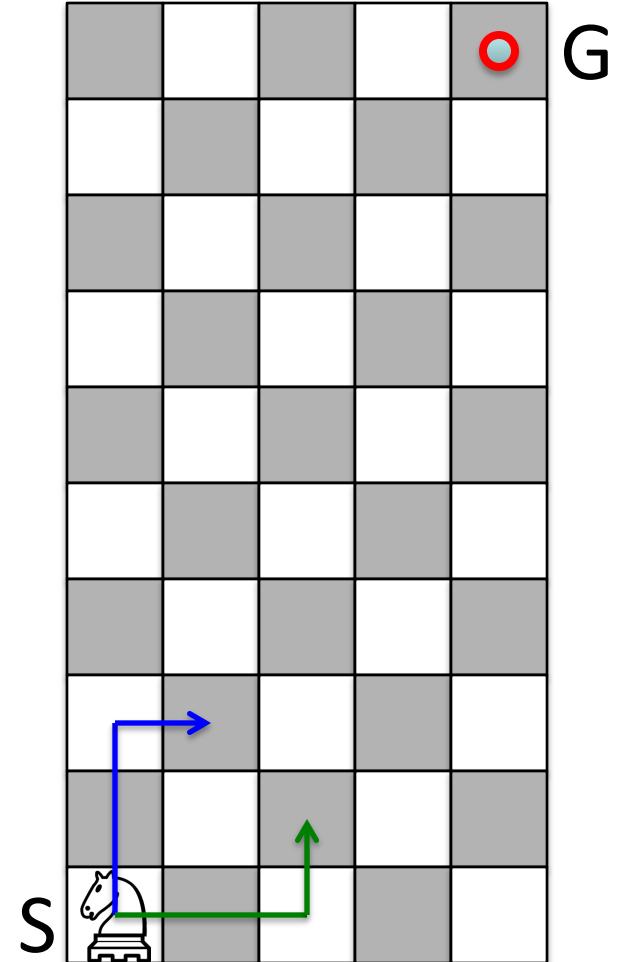
Goal State



# Designing a Heuristic: Knight's moves

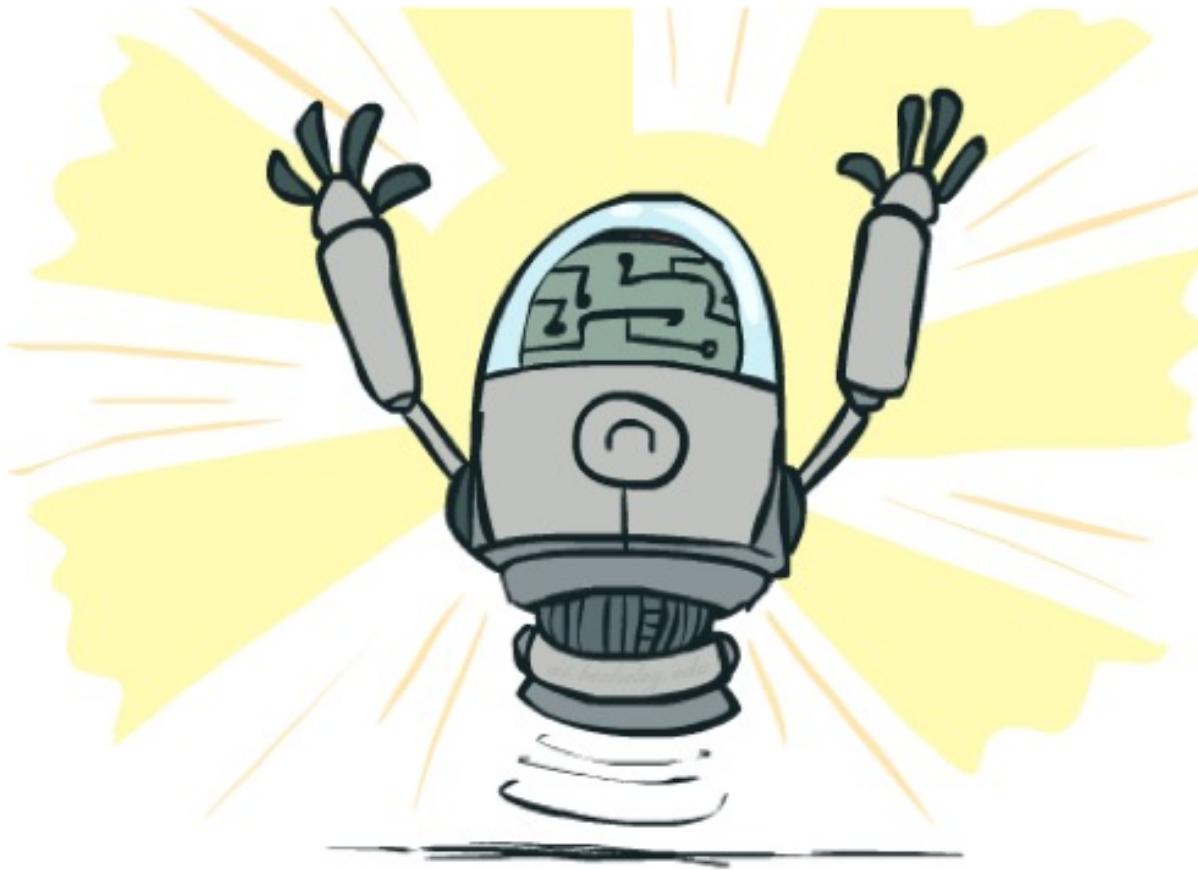
- Minimum number of knight's moves to get from S to G?
  - $h_1 = (\text{Manhattan distance})/3$  because each step of Knight can cover manhattan distance of 3
    - $h_1' = h_1$  rounded up to correct parity (even if S, G same color, odd otherwise)
  - $h_2 = (\text{Euclidean distance})/\sqrt{5}$ 
    - $h_2' = h_2$  rounded up to correct parity
  - $h_3 = (\text{maximum horizontal or vertical distance})/2$ 
    - $h_3' = h_3$  rounded up to correct parity
- $h(n) = \max( h_1'(n), h_2'(n), h_3'(n) )$  is admissible!

because we definitely underestimate the steps using current heuristic methods  
(even we use the max number of the three)  
remember the concept of  $h(n) < h^*(n)$



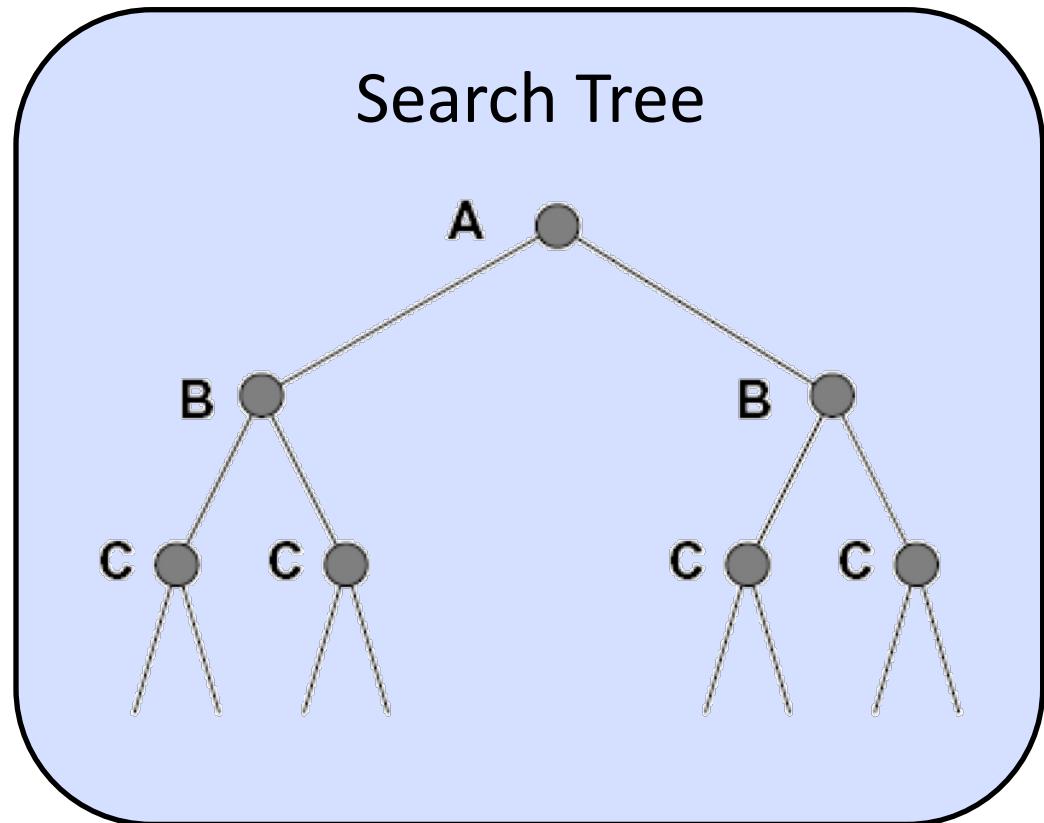
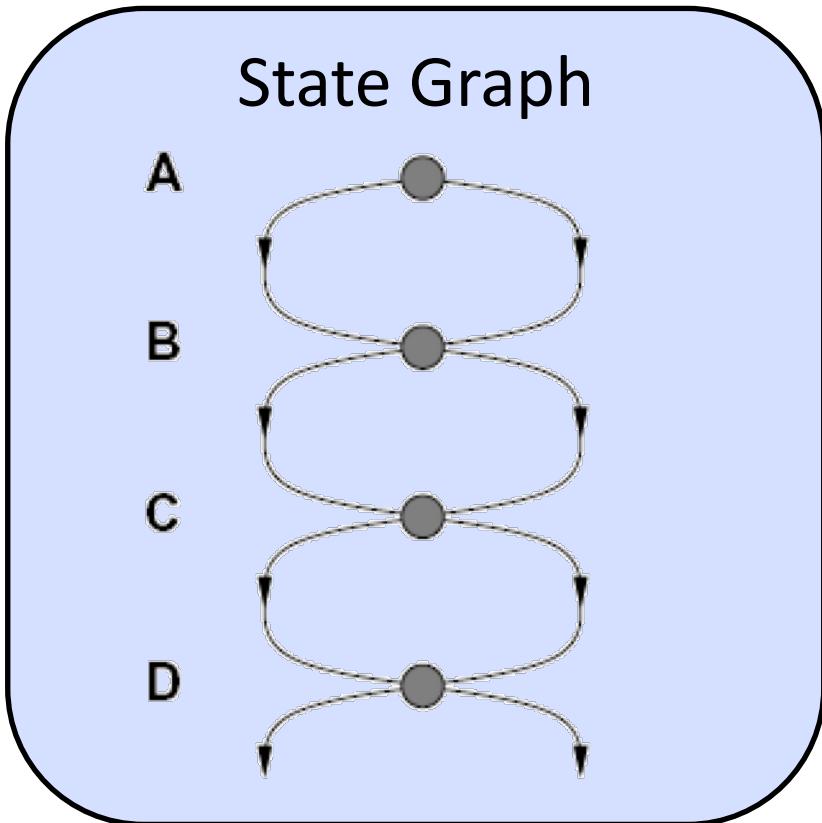
# Recap: Optimality of A\* Tree Search

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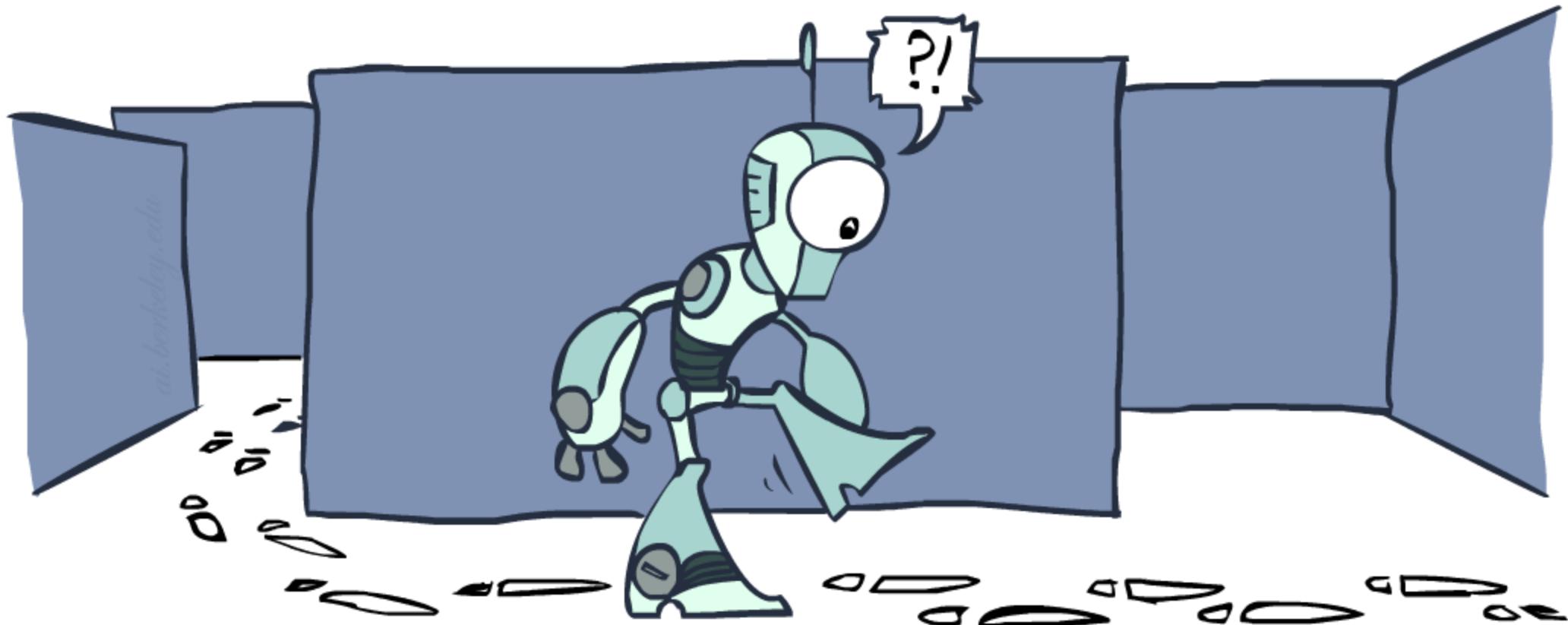
# Tree Search: Extra Work!

- Failure to detect repeated states can cause exponentially more work.



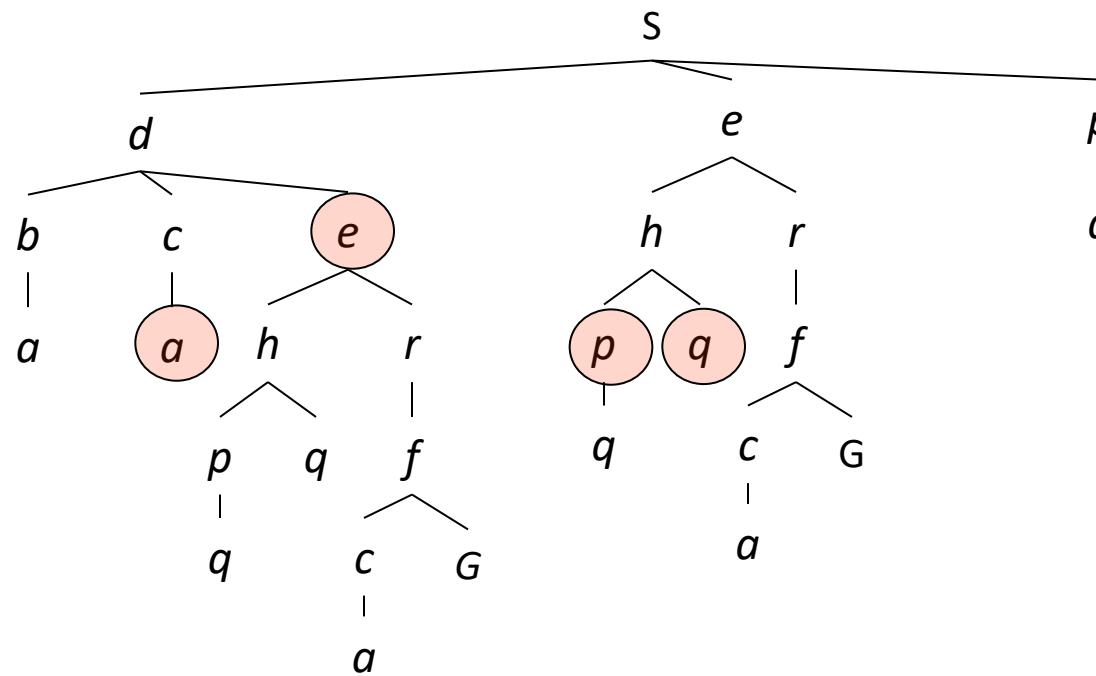
# Graph Search

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# Graph Search

- In BFS, for example, we shouldn't bother expanding the circled nodes (why?)



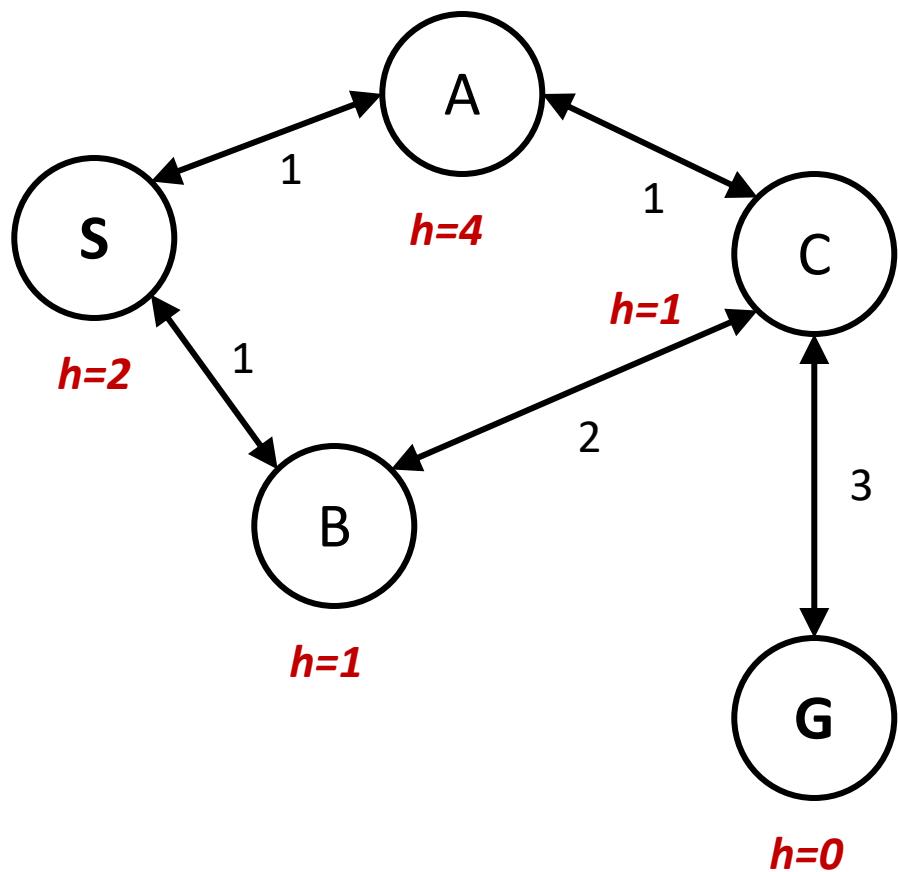
# Graph Search

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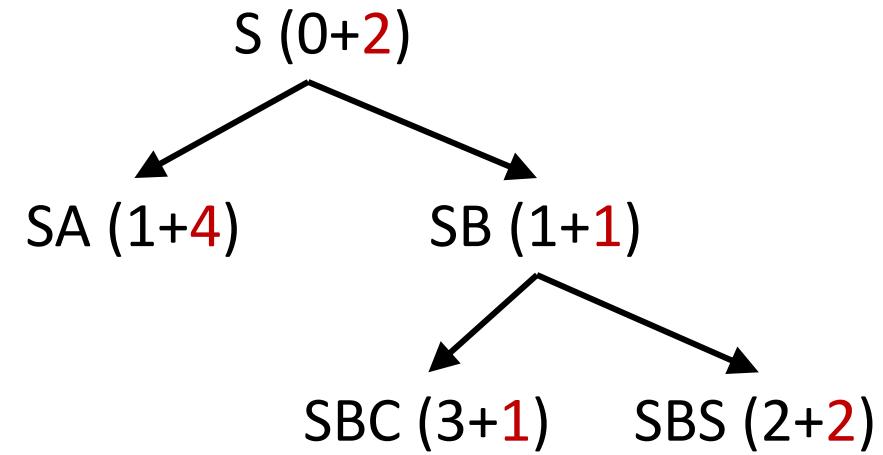
- Idea: never **expand** a state twice
- How to implement:
  - Tree search + set of expanded states (“closed set”)
  - Expand the search tree node-by-node, but...
  - Before expanding a node, check to make sure its state has never been expanded before
  - If not new, skip it, if new add to closed set
- Important: **store the closed set as a set, not a list**
- Can graph search wreck completeness? Why/why not?
- How about optimality?

# A\* Graph Search Gone Wrong?

State space graph



Search tree

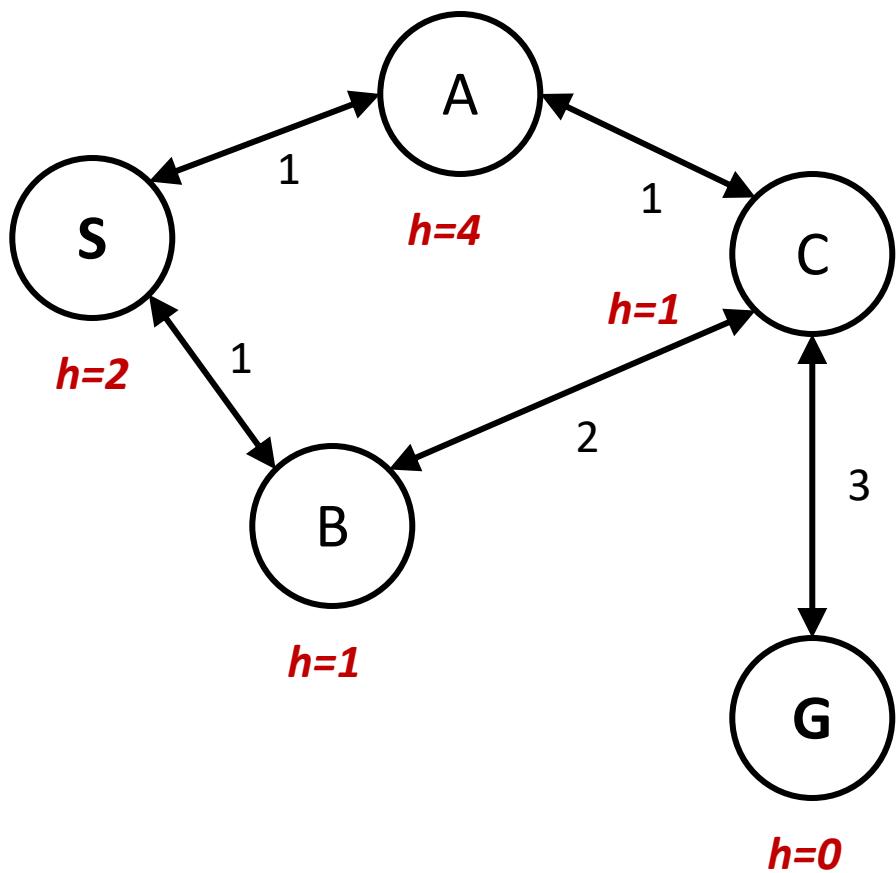


Closed set

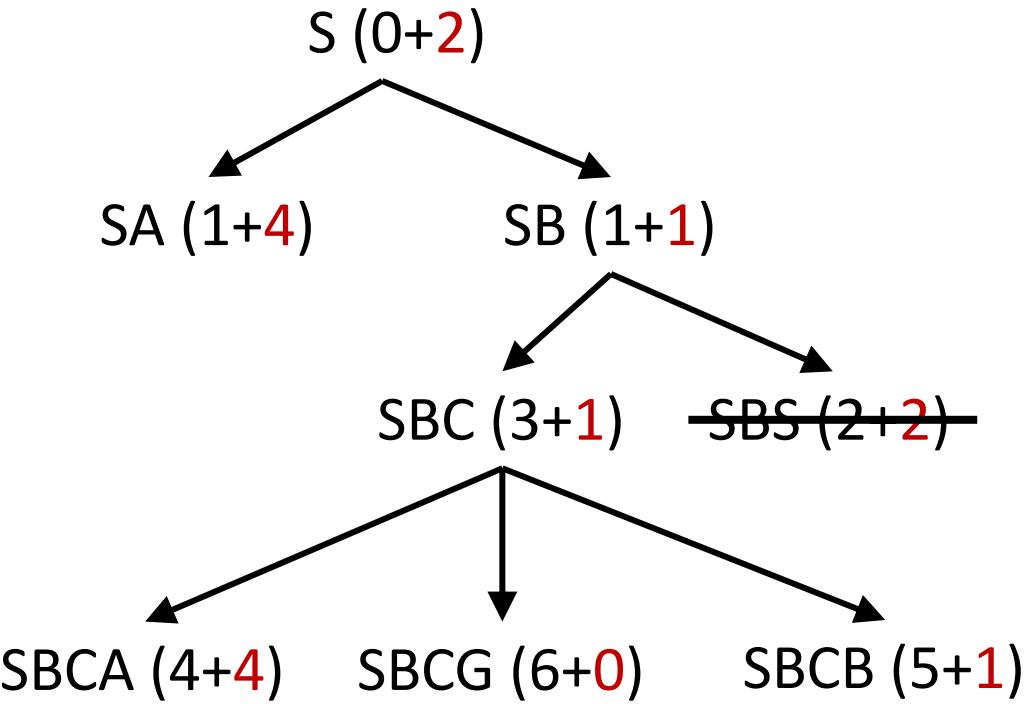
{ S B }

# A\* Graph Search Gone Wrong?

State space graph



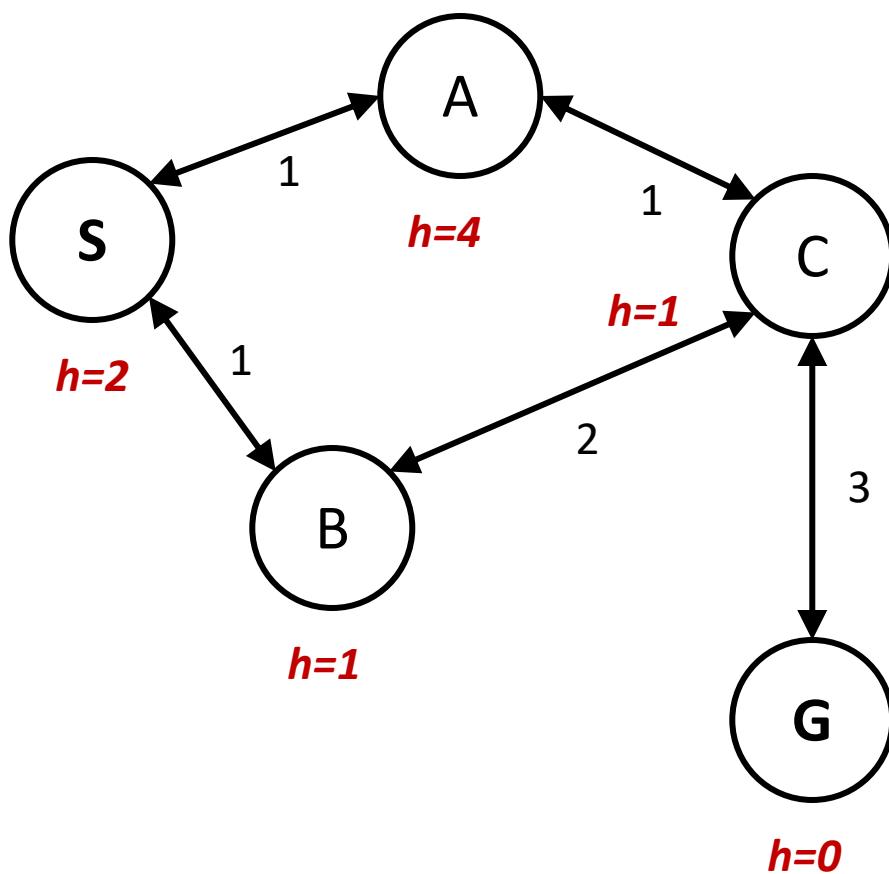
Search tree



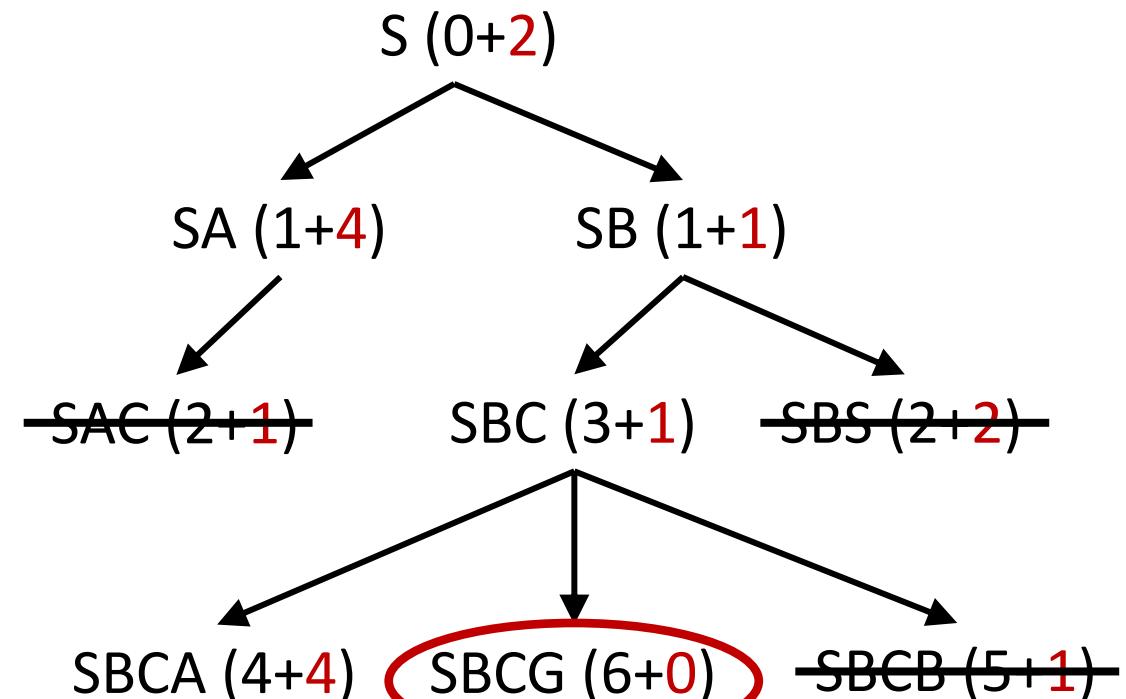
Closed set  
{ S B }

# A\* Graph Search Gone Wrong?

State space graph

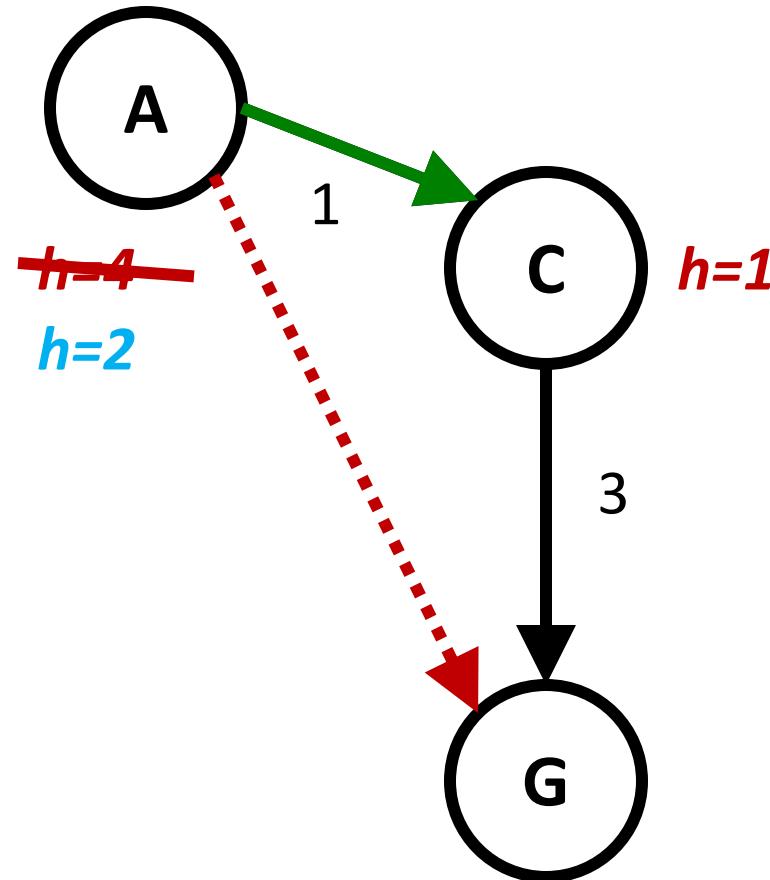


Search tree



Closed set  
{ S B C A }

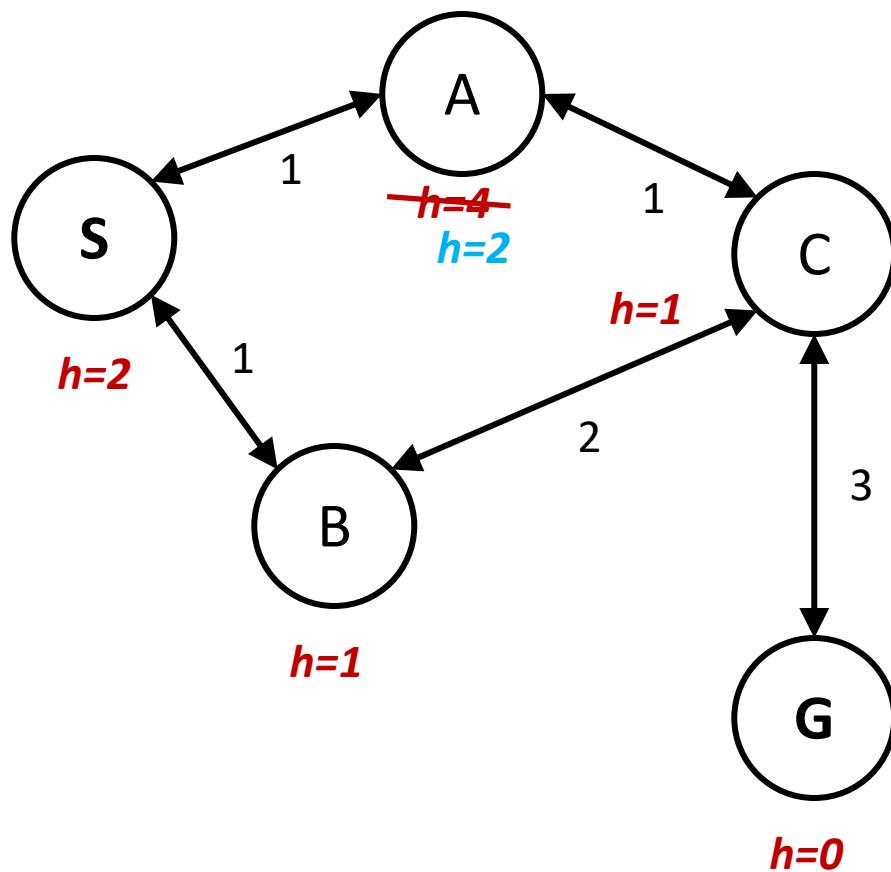
# Consistency of Heuristics



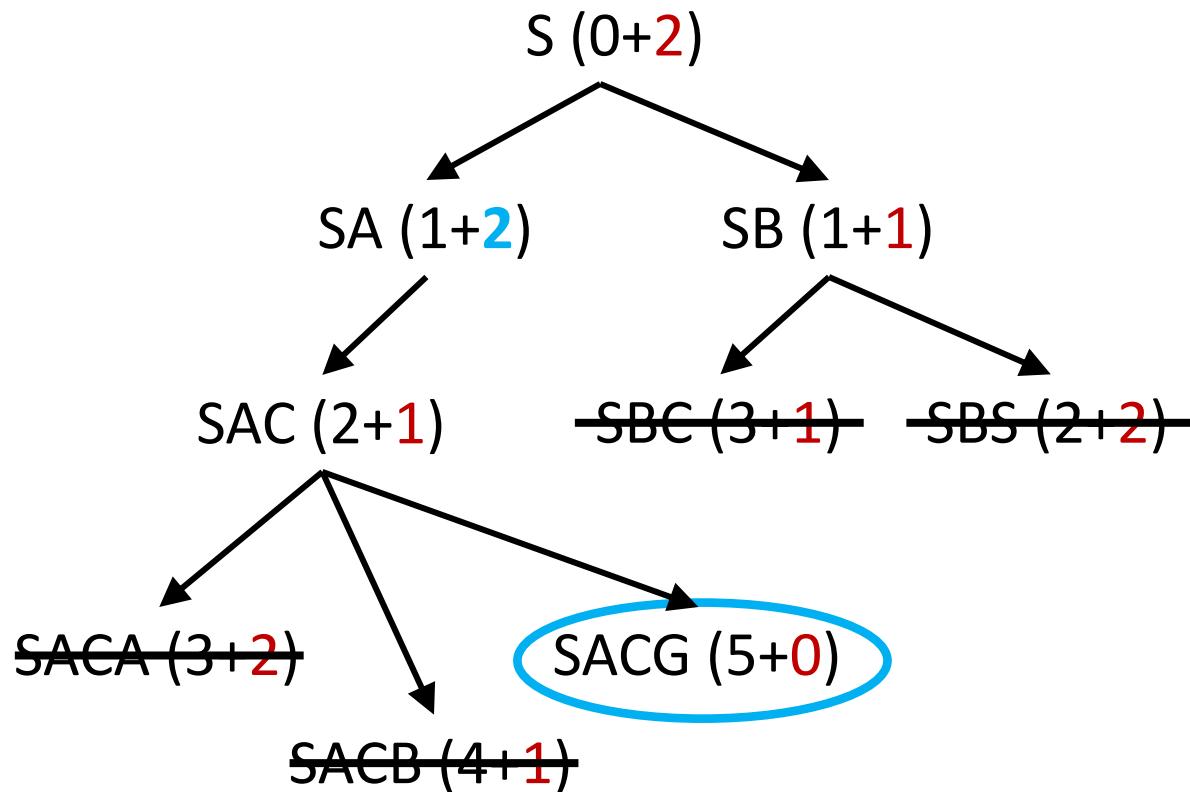
- Main idea: estimated heuristic costs  $\leq$  actual costs
  - Admissibility: heuristic cost  $\leq$  actual cost to goal
$$h(A) \leq \text{actual cost } h^* \text{ from } A \text{ to } G$$
  - Consistency: heuristic “arc” cost  $\leq$  actual cost for each arc
$$h(A) - h(C) \leq \text{cost}(A \text{ to } C)$$
    - a.k.a. “triangle inequality”:  $h(A) \leq \text{cost}(A \text{ to } C) + h(C)$
    - Note: true cost  $h^*$  necessarily satisfies triangle inequality
- Consequences of consistency:
  - The f value along a path never decreases
$$h(A) \leq \text{cost}(A \text{ to } C) + h(C)$$
  - A\* graph search is optimal

# A\* Graph Search with Consistent Heuristic

State space graph



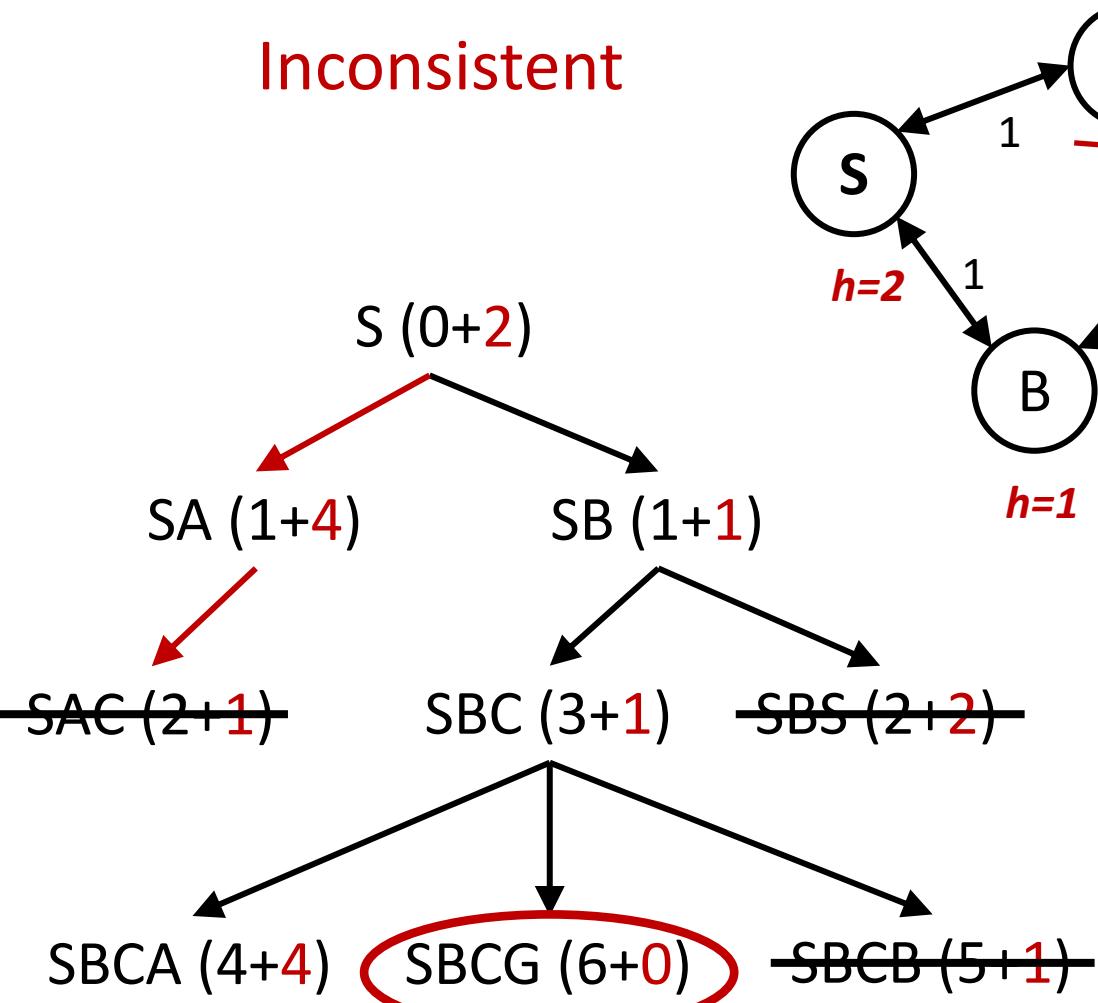
Search tree



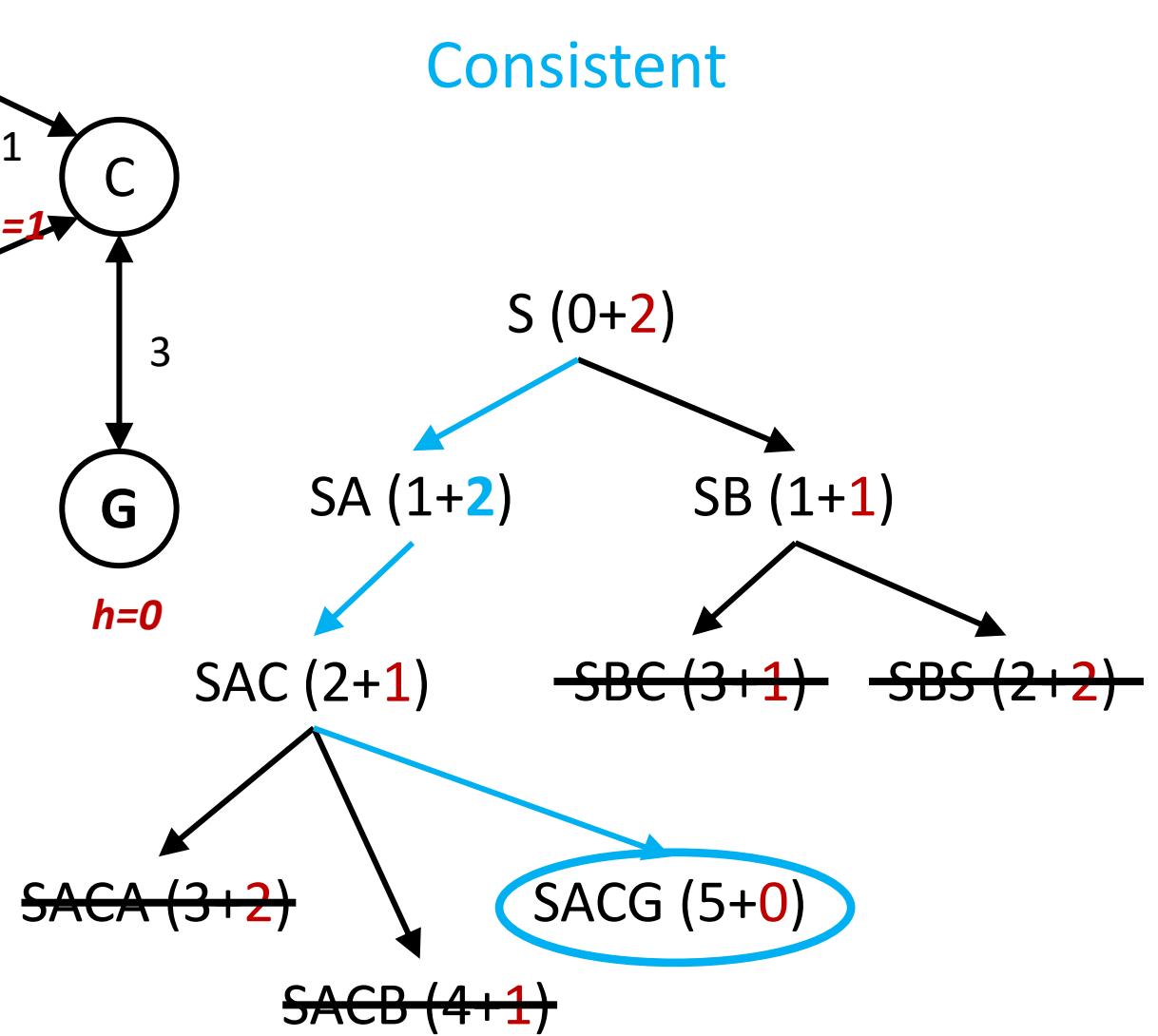
Closed set  
{ S B A C }

# Consistency => non-decreasing f-score

Inconsistent

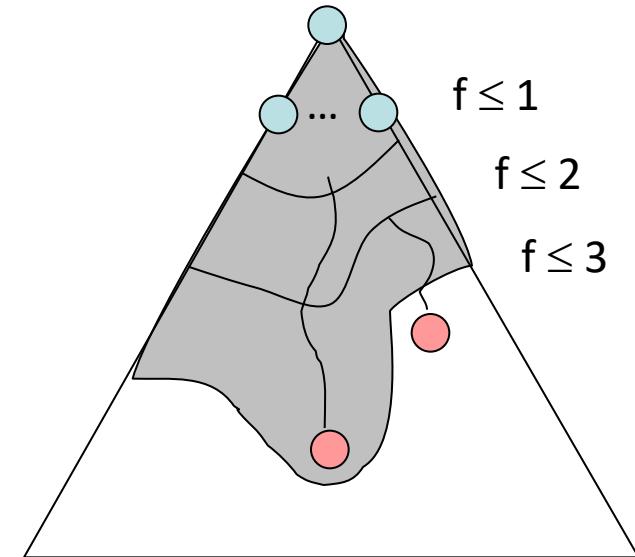


Consistent



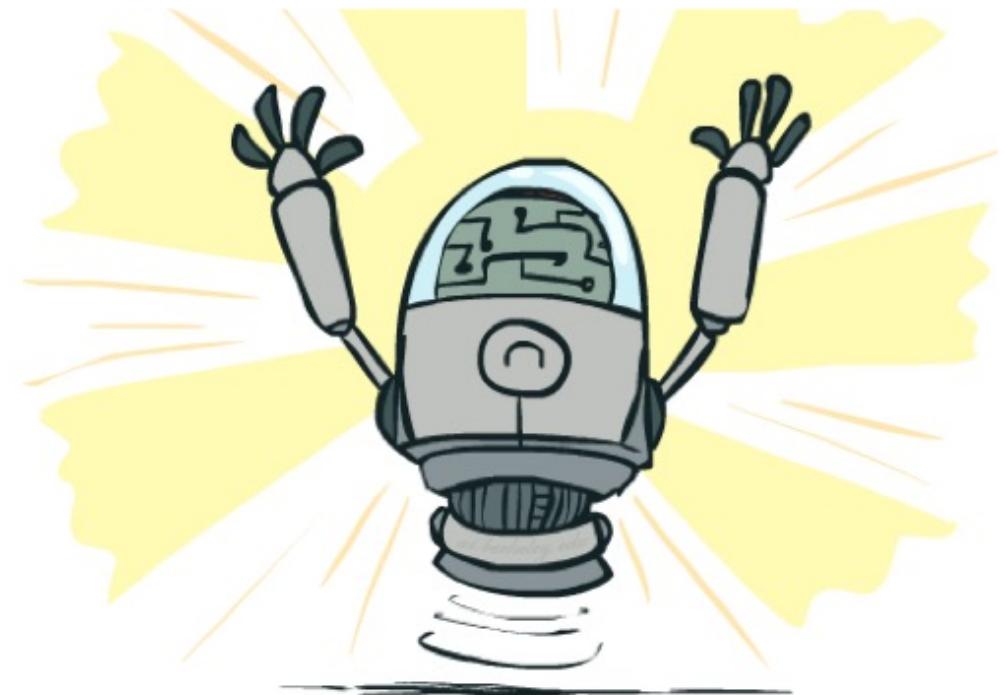
# Optimality of A\* Graph Search

- Sketch: consider what A\* does with a consistent heuristic:
  - Fact 1: In tree search, A\* expands nodes in increasing total f value (f-contours)
  - Fact 2: For every state s, nodes that reach s optimally are expanded before nodes that reach s suboptimally
  - Result: A\* graph search is optimal



# Optimality

- Tree search:
  - A\* is optimal if heuristic is admissible
  - UCS is a special case ( $h = 0$ )
- Graph search:
  - A\* optimal if heuristic is consistent
  - UCS optimal ( $h = 0$  is consistent)
- Consistency implies admissibility
- In general, most natural admissible heuristics tend to be consistent, especially if from relaxed problems



# But...

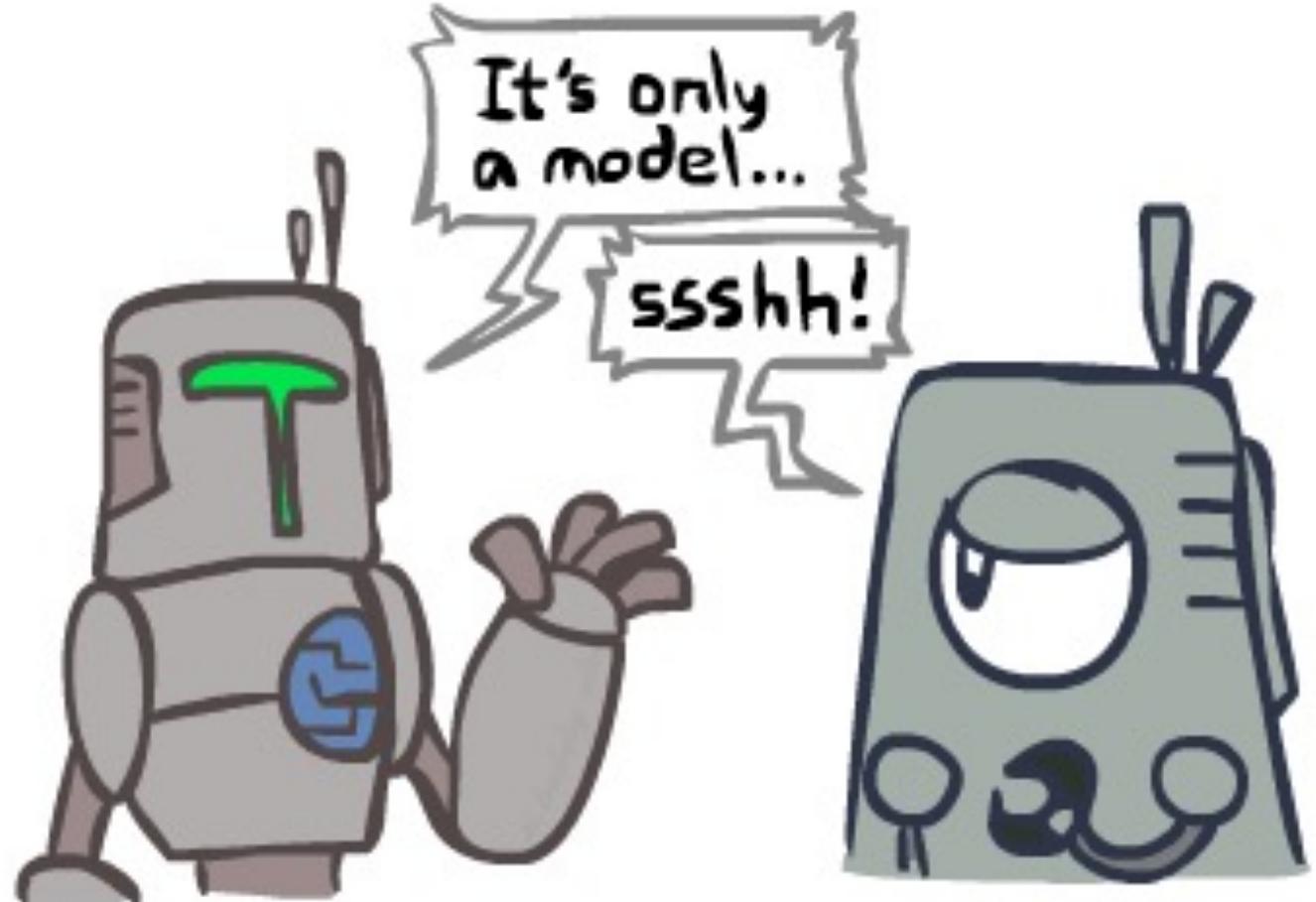
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- A\* keeps the entire explored region in memory
- => will run out of space before you get bored waiting for the answer 
- There are variants that use less memory (Section 3.5.5):
  - IDA\* works like iterative deepening, except it uses an  $f$ -limit instead of a depth limit
    - On each iteration, remember the smallest  $f$ -value that exceeds the current limit, use as new limit
    - Very inefficient when  $f$  is real-valued and each node has a unique value
  - RBFS is a recursive depth-first search that uses an  $f$ -limit = the  $f$ -value of the best alternative path available from any ancestor of the current node
    - When the limit is exceeded, the recursion unwinds but remembers the best reachable  $f$ -value on that branch
  - SMA\* uses *all available memory* for the queue, minimizing thrashing
    - When full, drop worst node on the queue but remember its value in the parent

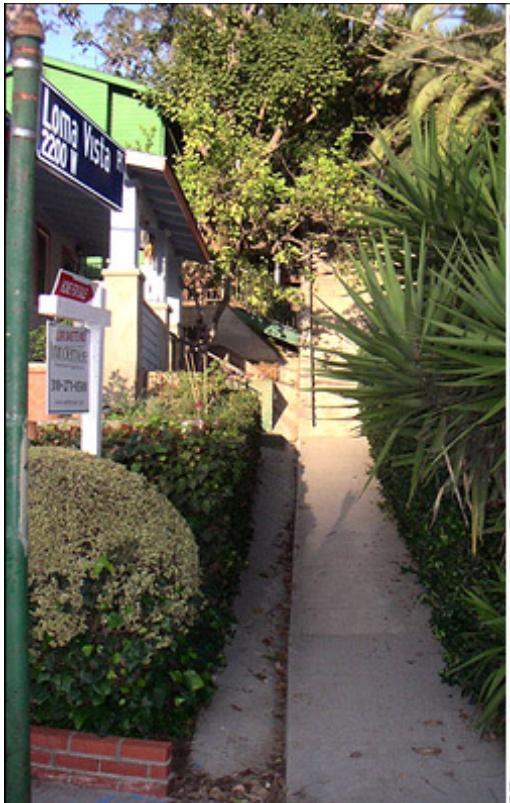
# Search and Models

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- Search operates over models of the world
  - The agent doesn't actually try all the plans out in the real world!
  - Planning is all “in simulation”
  - Your search is only as good as your models...



# Search Gone Wrong?



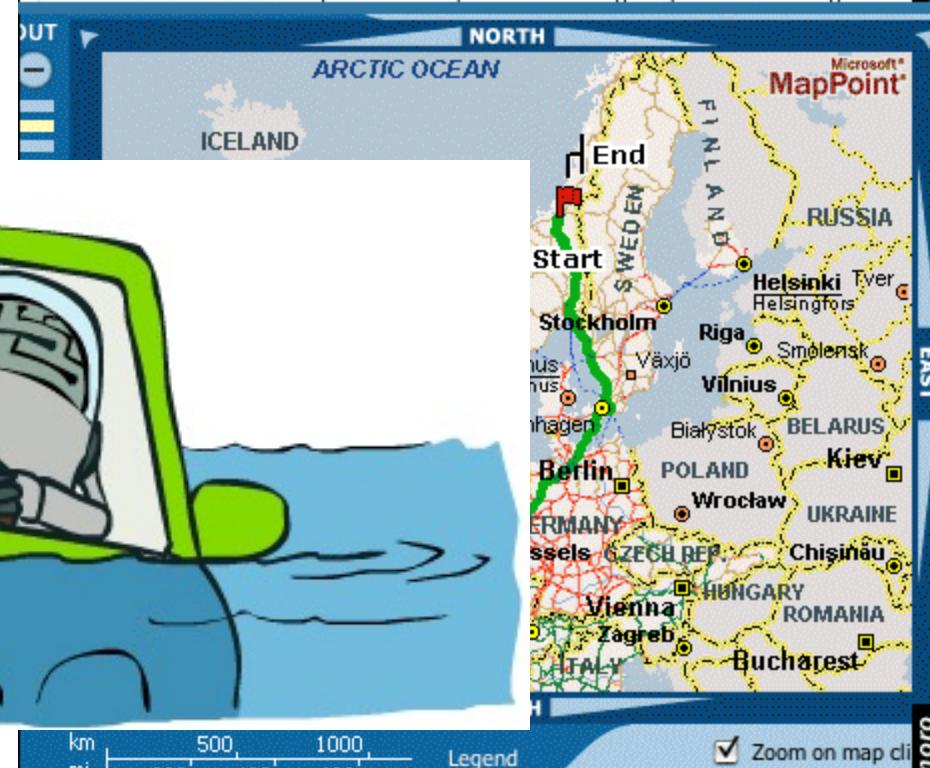
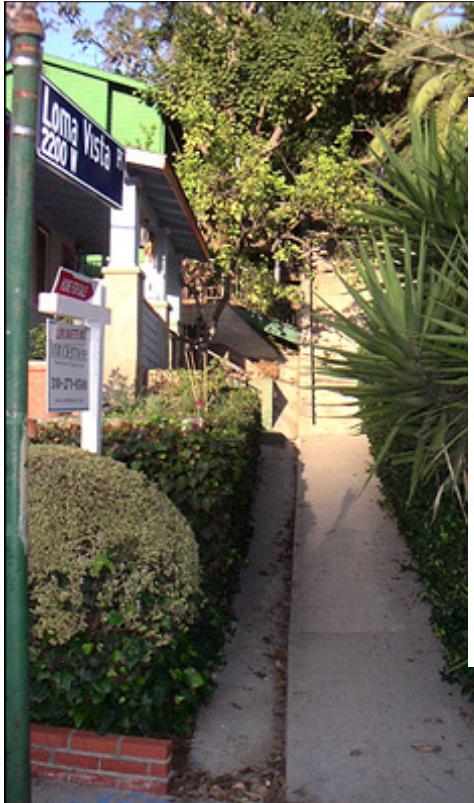
Start: Haugesund, Rogaland, Norway

End: Trondheim, Sør-Trøndelag, Norway

Total Distance: 2713.2 Kilometers

Estimated Total Time: 47 hours, 31 minutes

# Search Gone Wrong?



**Start:** Haugesund, Rogaland, Norway  
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**Total Distance:** 2713.2 Kilometers  
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# Tree Search Pseudo-Code

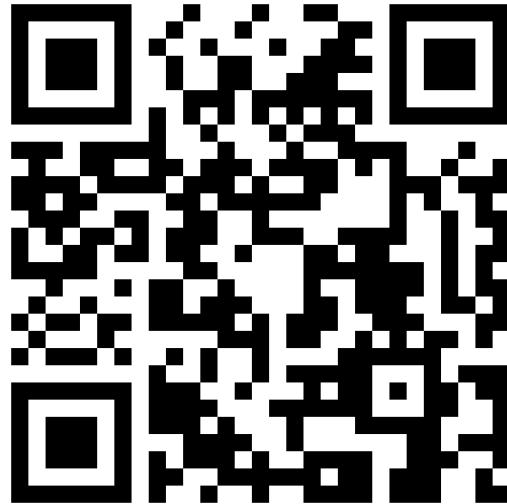
```
function TREE-SEARCH(problem, fringe) return a solution, or failure
  fringe  $\leftarrow$  INSERT(MAKE-NODE(INITIAL-STATE[problem]), fringe)
  loop do
    if fringe is empty then return failure
    node  $\leftarrow$  REMOVE-FRONT(fringe)
    if GOAL-TEST(problem, STATE[node]) then return node
    for child-node in EXPAND(STATE[node], problem) do
      fringe  $\leftarrow$  INSERT(child-node, fringe)
    end
  end
```

# Graph Search Pseudo-Code

```
function GRAPH-SEARCH(problem, fringe) return a solution, or failure
  closed ← an empty set
  fringe ← INSERT(MAKE-NODE(INITIAL-STATE[problem]), fringe)
  loop do
    if fringe is empty then return failure
    node ← REMOVE-FRONT(fringe)
    if GOAL-TEST(problem, STATE[node]) then return node
    if STATE[node] is not in closed then
      add STATE[node] to closed
      for child-node in EXPAND(STATE[node], problem) do
        fringe ← INSERT(child-node, fringe)
    end
  end
```

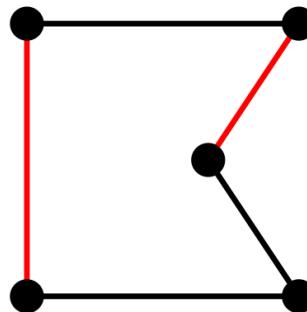
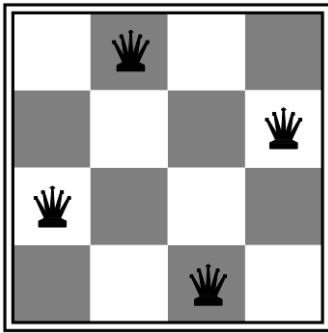
# Local Search

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# Local search algorithms

- In many optimization problems, ***path*** is irrelevant; the goal state ***is*** the solution
- Then state space = set of “complete” configurations;  
find ***configuration satisfying constraints***, e.g., n-queens problem; or, find  
***optimal configuration***, e.g., travelling salesperson problem



- In such cases, can use ***iterative improvement*** algorithms: keep a single “current” state, try to improve it
- Constant space, suitable for online as well as offline search
- More or less unavoidable if the “state” is yourself (i.e., learning)

# Hill Climbing

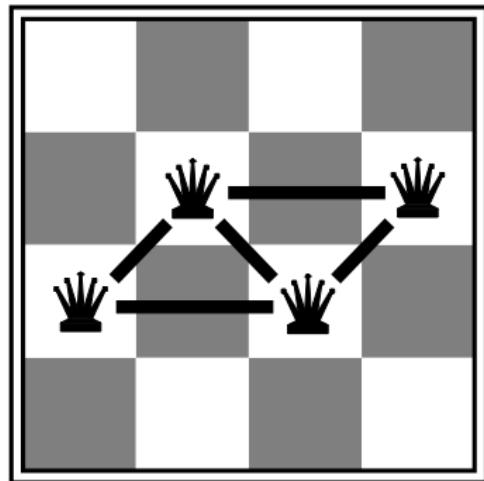
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- Simple, general idea:
  - Start wherever
  - Repeat: move to the best neighboring state
  - If no neighbors better than current, quit

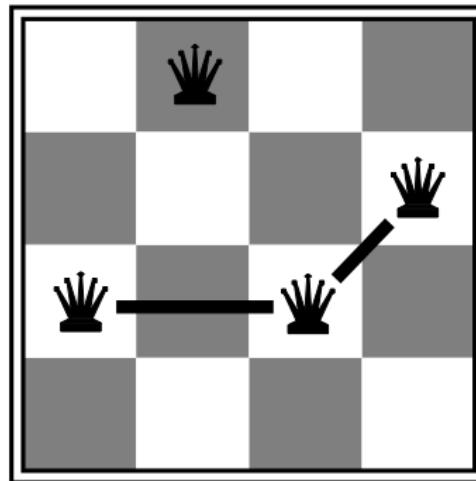
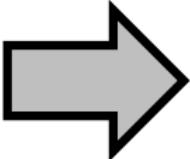


# Heuristic for $n$ -queens problem

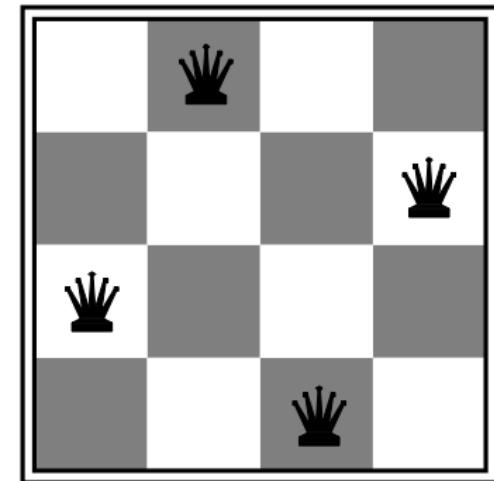
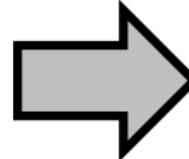
- Goal:  $n$  queens on board with no **conflicts**, i.e., no queen attacking another
- States:  $n$  queens on board, one per column
- Actions: move a queen in its column
- Heuristic value function: number of conflicts



$h = 5$



$h = 2$



$h = 0$

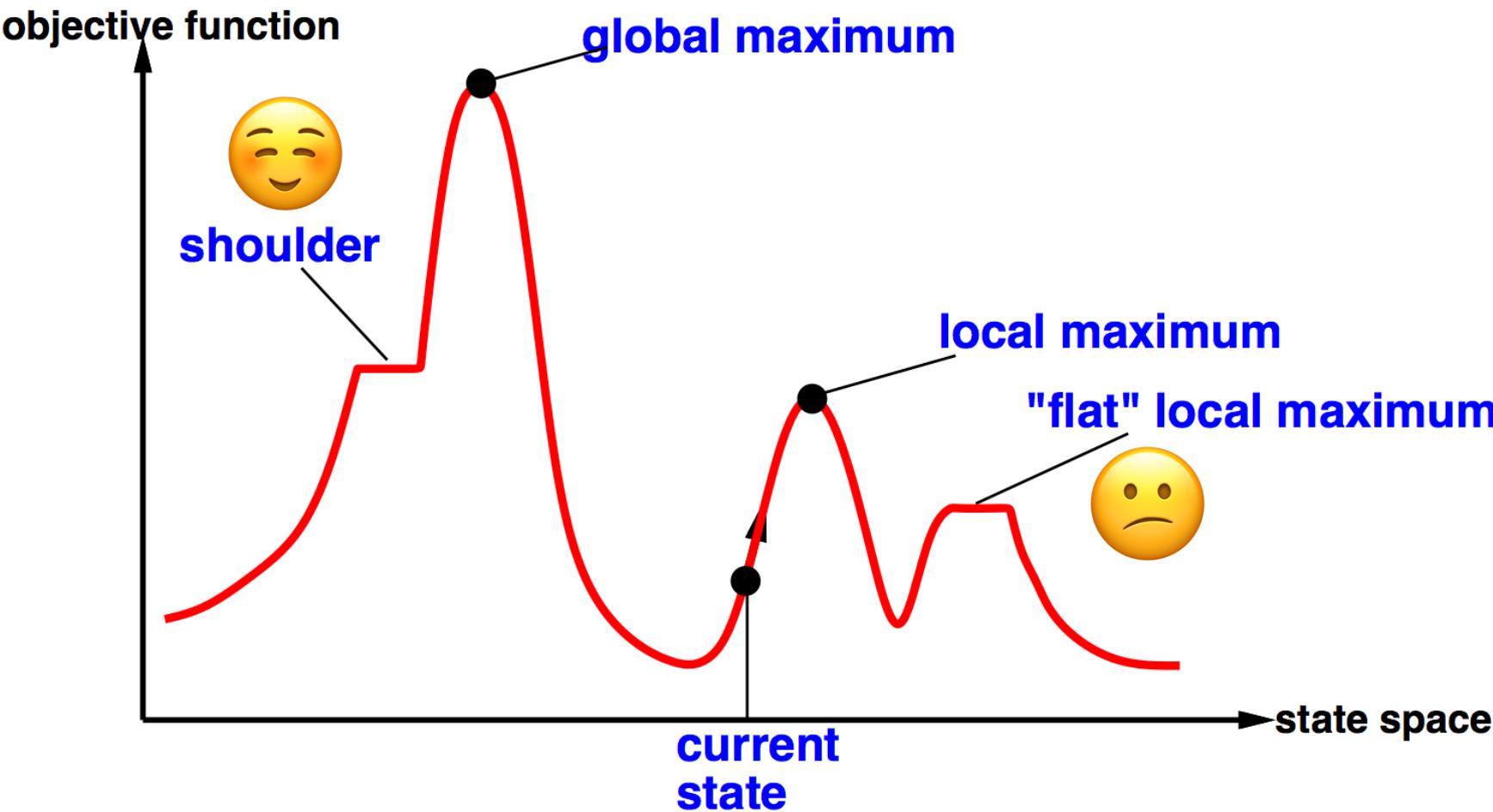
# Hill-climbing algorithm

---

```
function HILL-CLIMBING(problem) returns a state
    current ← make-node(problem.initial-state)
    loop do
        neighbor ← a highest-valued successor of current
        if neighbor.value ≤ current.value then
            return current.state
        current ← neighbor
```

*“Like climbing Everest in thick fog with amnesia”*

# Global and local maxima



## Random restarts

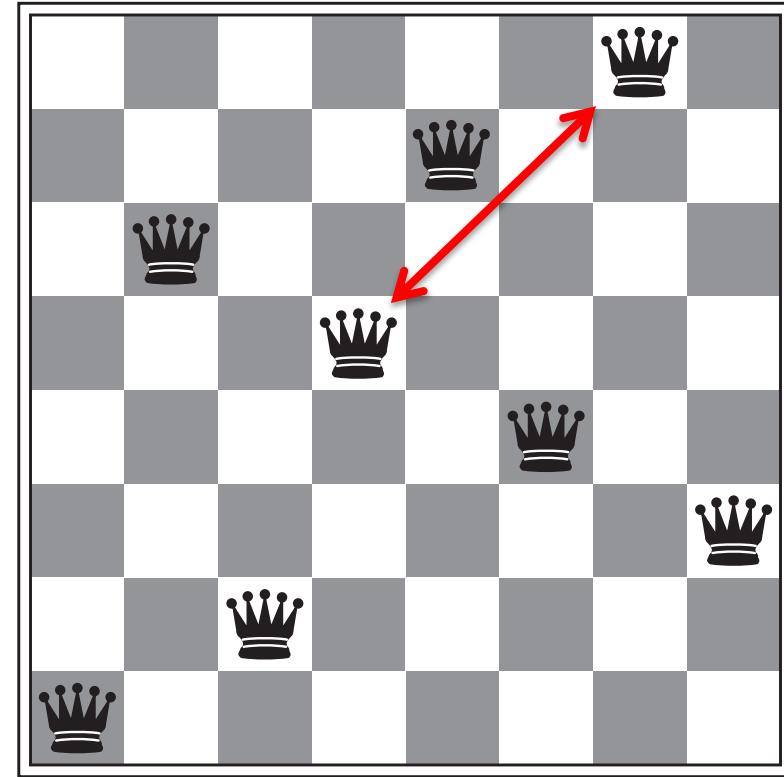
- find global optimum
- duh

## Random sideways moves

- Escape from shoulders
- Loop forever on flat local maxima

# Hill-climbing on the 8-queens problem

- No sideways moves:
  - Succeeds w/ prob. 0.14
  - Average number of moves per trial:
    - 4 when succeeding, 3 when getting stuck
  - Expected total number of moves needed:
    - $3(1-p)/p + 4 = \sim 22$  moves
- Allowing 100 sideways moves:
  - Succeeds w/ prob. 0.94
  - Average number of moves per trial:
    - 21 when succeeding, 65 when getting stuck
  - Expected total number of moves needed:
    - $65(1-p)/p + 21 = \sim 25$  moves



**Moral: algorithms with knobs to twiddle are irritating**

# Simulated annealing

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- Resembles the annealing process used to cool metals slowly to reach an ordered (low-energy) state
- Basic idea:
  - Allow “bad” moves occasionally, depending on “temperature”
  - High temperature => more bad moves allowed, shake the system out of its local minimum
  - Gradually reduce temperature according to some schedule
  - Sounds pretty flaky, doesn’t it?

# Simulated annealing algorithm

```
function SIMULATED-ANNEALING(problem,schedule) returns a state
```

```
current  $\leftarrow$  problem.initial-state
```

```
for t = 1 to  $\infty$  do
```

```
    T  $\leftarrow$  schedule(t)
```

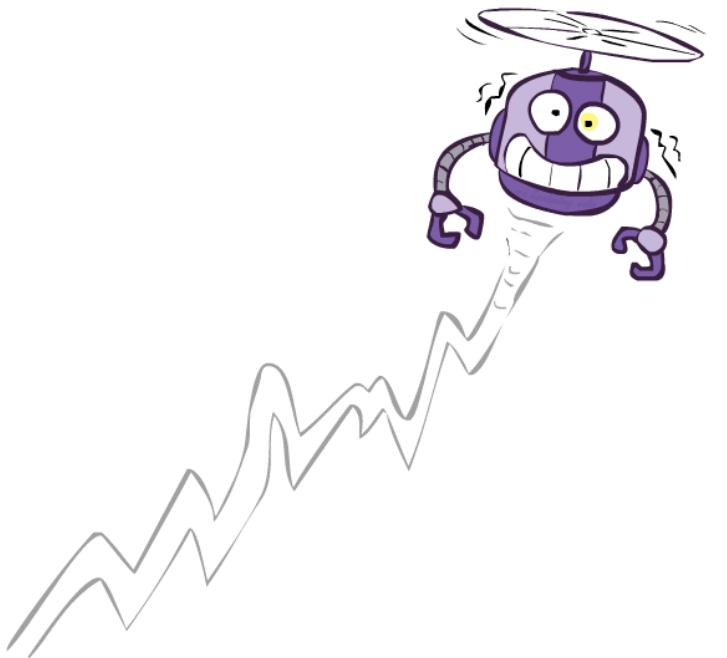
```
    if T = 0 then return current
```

```
    next  $\leftarrow$  a randomly selected successor of current
```

```
     $\Delta E \leftarrow$  next.value – current.value
```

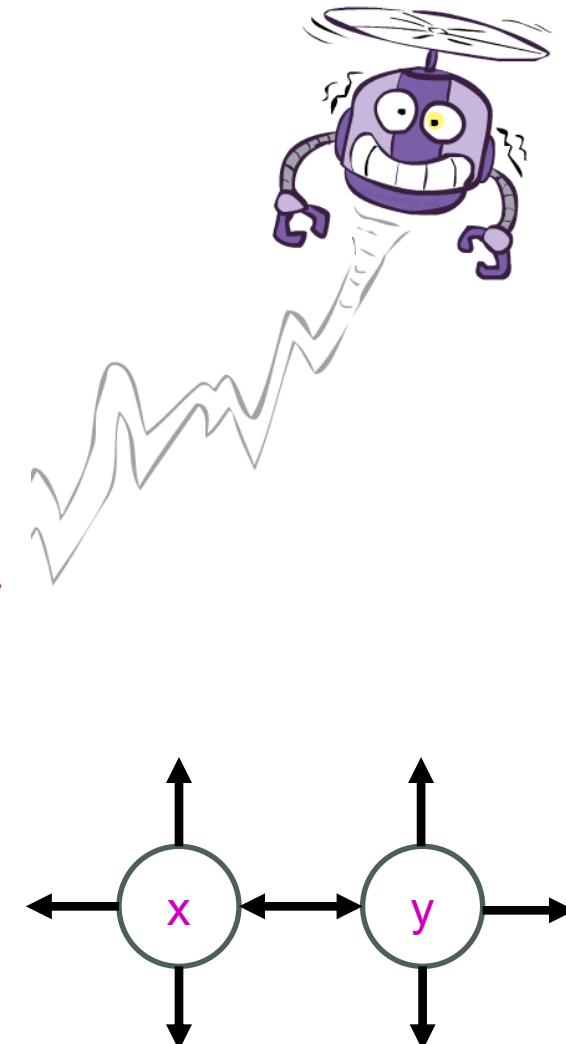
```
    if  $\Delta E > 0$  then current  $\leftarrow$  next
```

```
        else current  $\leftarrow$  next only with probability  $e^{\Delta E/T}$ 
```



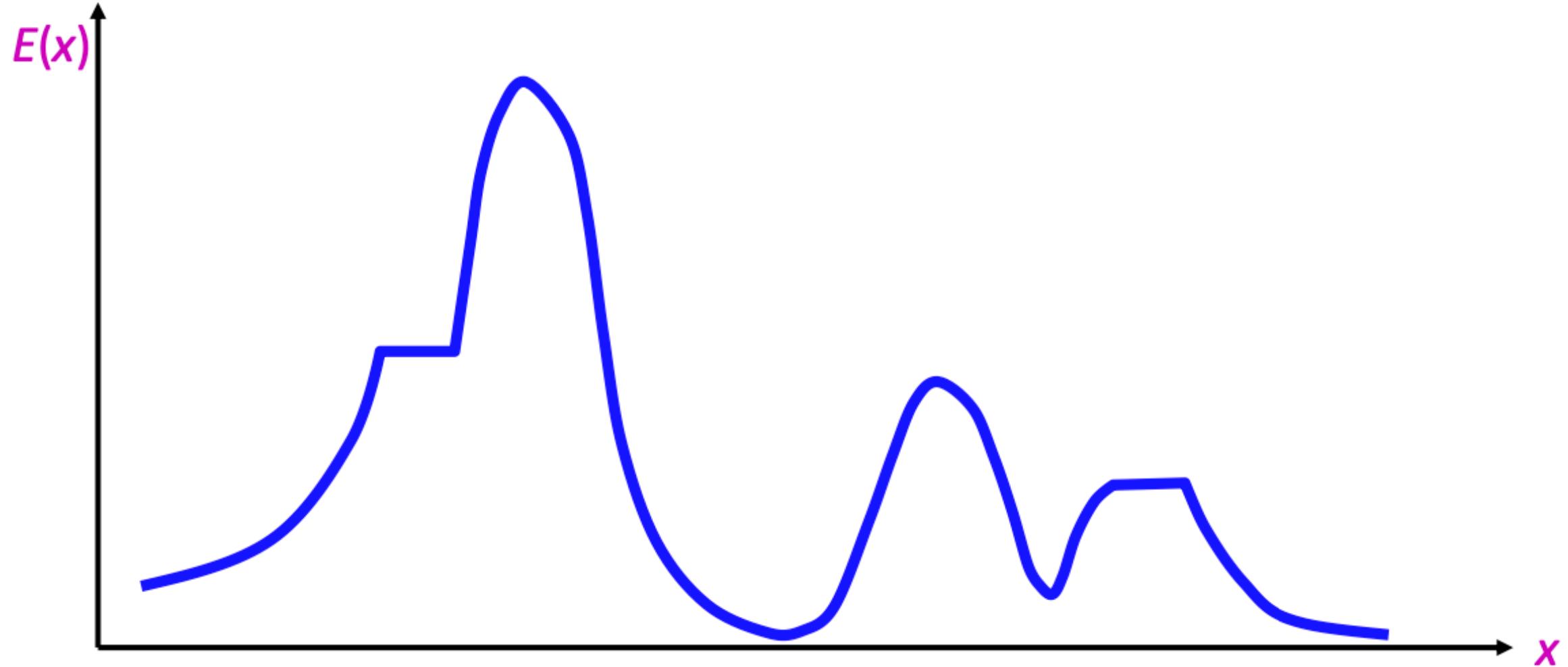
# Simulated Annealing

- Theoretical guarantee:
  - Stationary distribution (Boltzmann):  $P(x) \propto e^{E(x)/T}$
  - If  $T$  decreased slowly enough, will converge to optimal state!
- Proof sketch
  - Consider two adjacent states  $x, y$  with  $E(y) > E(x)$  [high is good]
  - Assume  $x \rightarrow y$  and  $y \rightarrow x$  and outdegrees  $D(x) = D(y) = D$
  - Let  $P(x), P(y)$  be the equilibrium occupancy probabilities at  $T$
  - Let  $P(x \rightarrow y)$  be the probability that state  $x$  transitions to state  $y$



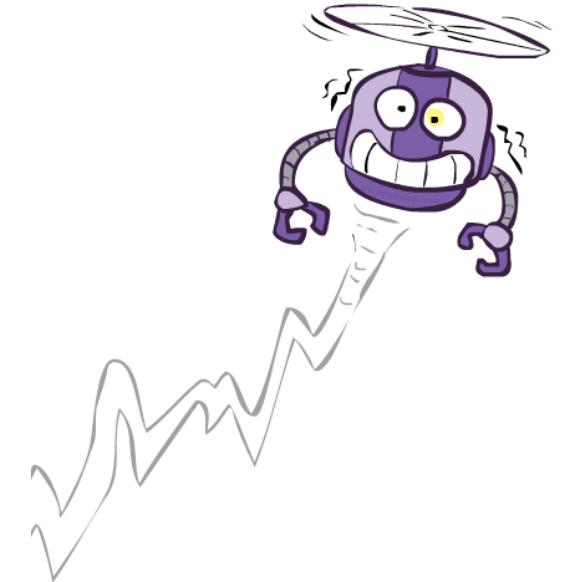
# Occupation probability as a function of T

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# Simulated Annealing

- Is this convergence an interesting guarantee?
- Sounds like magic, but reality is reality:
  - The more downhill steps you need to escape a local optimum, the less likely you are to ever make them all in a row
  - “Slowly enough” may mean exponentially slowly
  - Random restart hillclimbing also converges to optimal state...
- Simulated annealing and its relatives are a key workhorse in VLSI layout and other optimal configuration problems



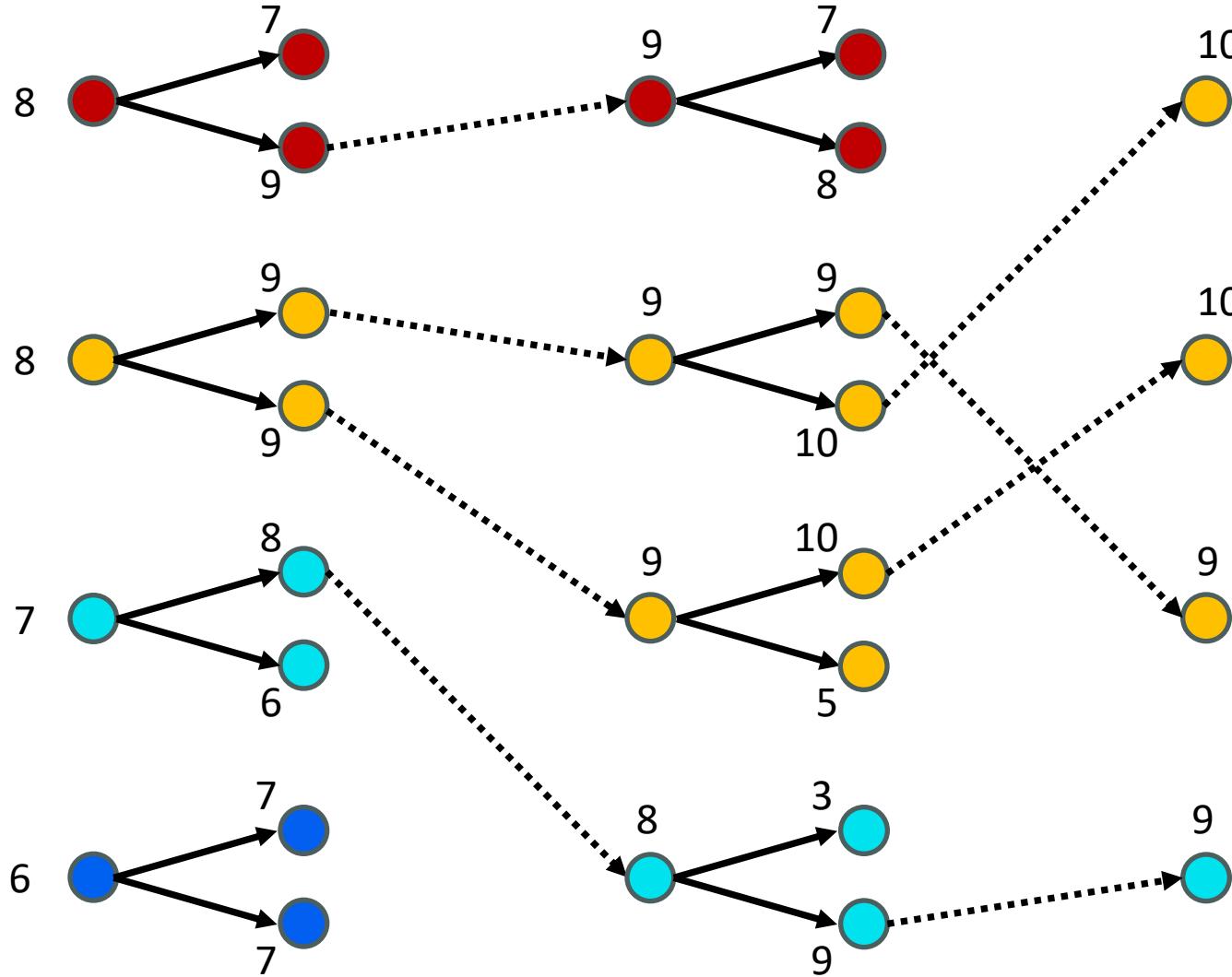
# Local beam search

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- Basic idea:
  - $K$  copies of a local search algorithm, initialized randomly
  - For each iteration
    - Generate ALL successors from  $K$  current states
    - Choose best  $K$  of these to be the new current states

Or,  $K$  chosen randomly with  
a bias towards good ones

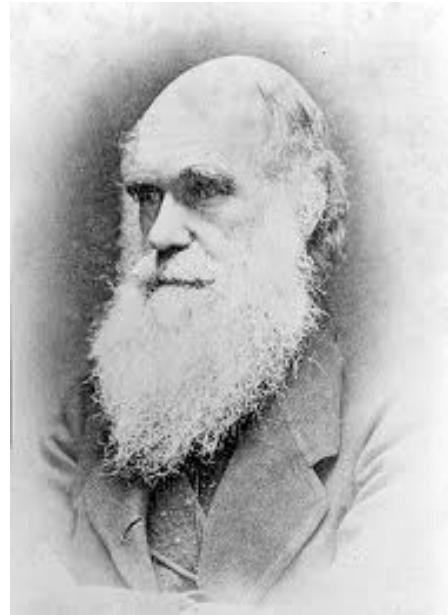
# Beam search example ( $K=4$ )



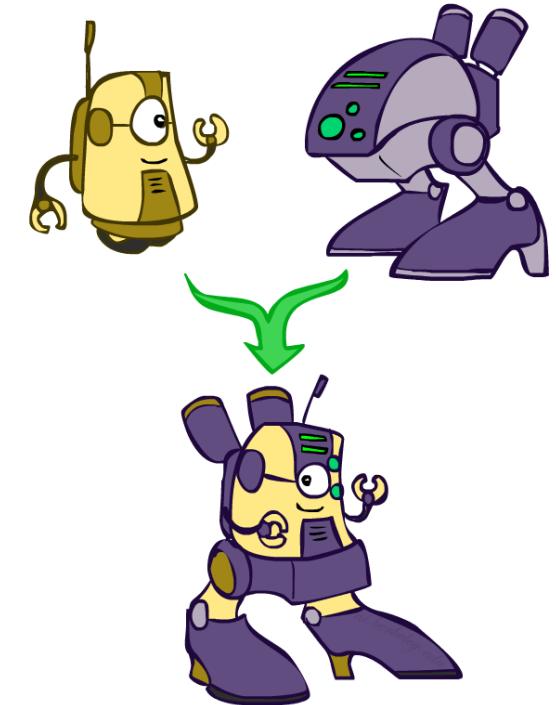
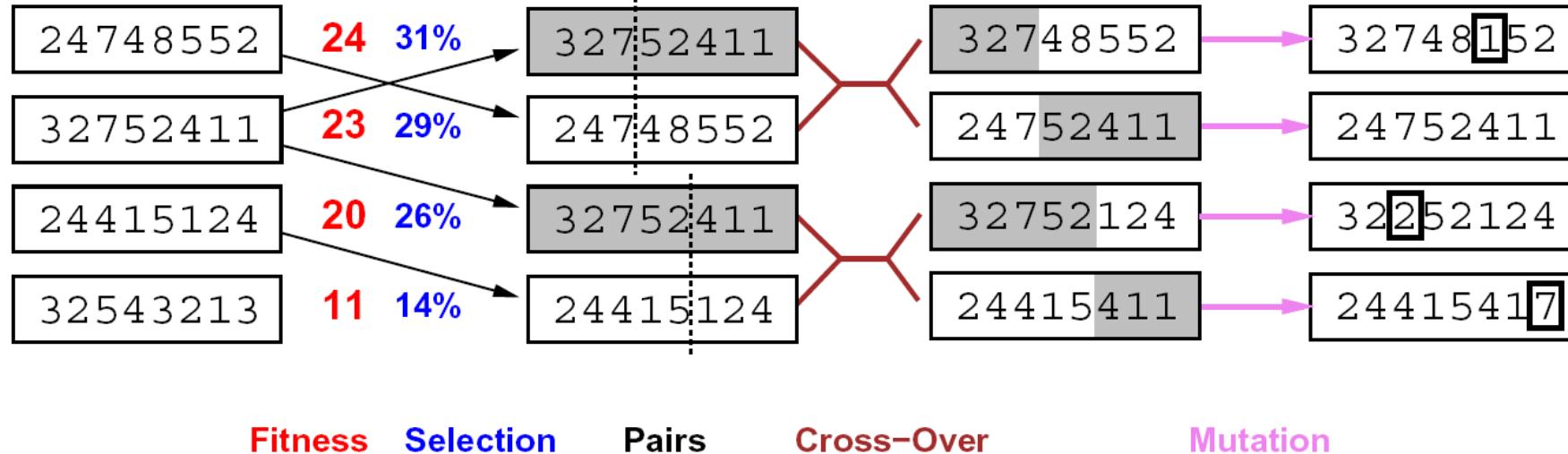
# Local beam search

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- Why is this different from  $K$  local searches in parallel?
  - The searches ***communicate***! “Come over here, the grass is greener!”
- What other well-known algorithm does this remind you of?
  - Evolution!

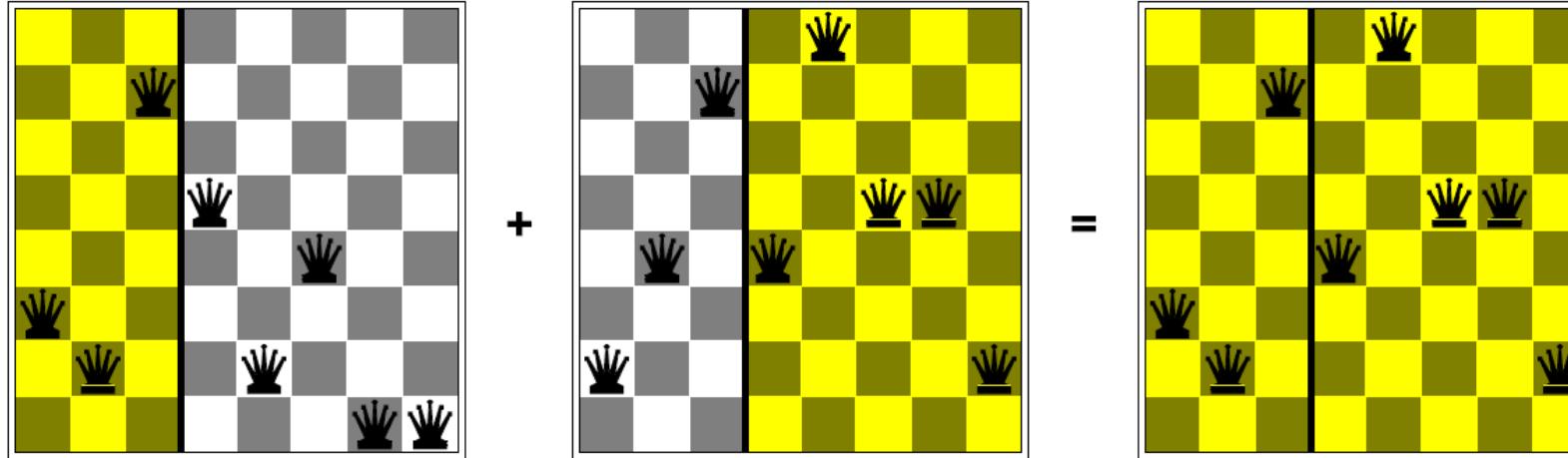


# Genetic algorithms



- Genetic algorithms use a natural selection metaphor
  - Resample  $K$  individuals at each step (selection) weighted by fitness function
  - Combine by pairwise crossover operators, plus mutation to give variety

# Example: N-Queens



- Does crossover make sense here?
- What would mutation be?
- What would a good fitness function be?

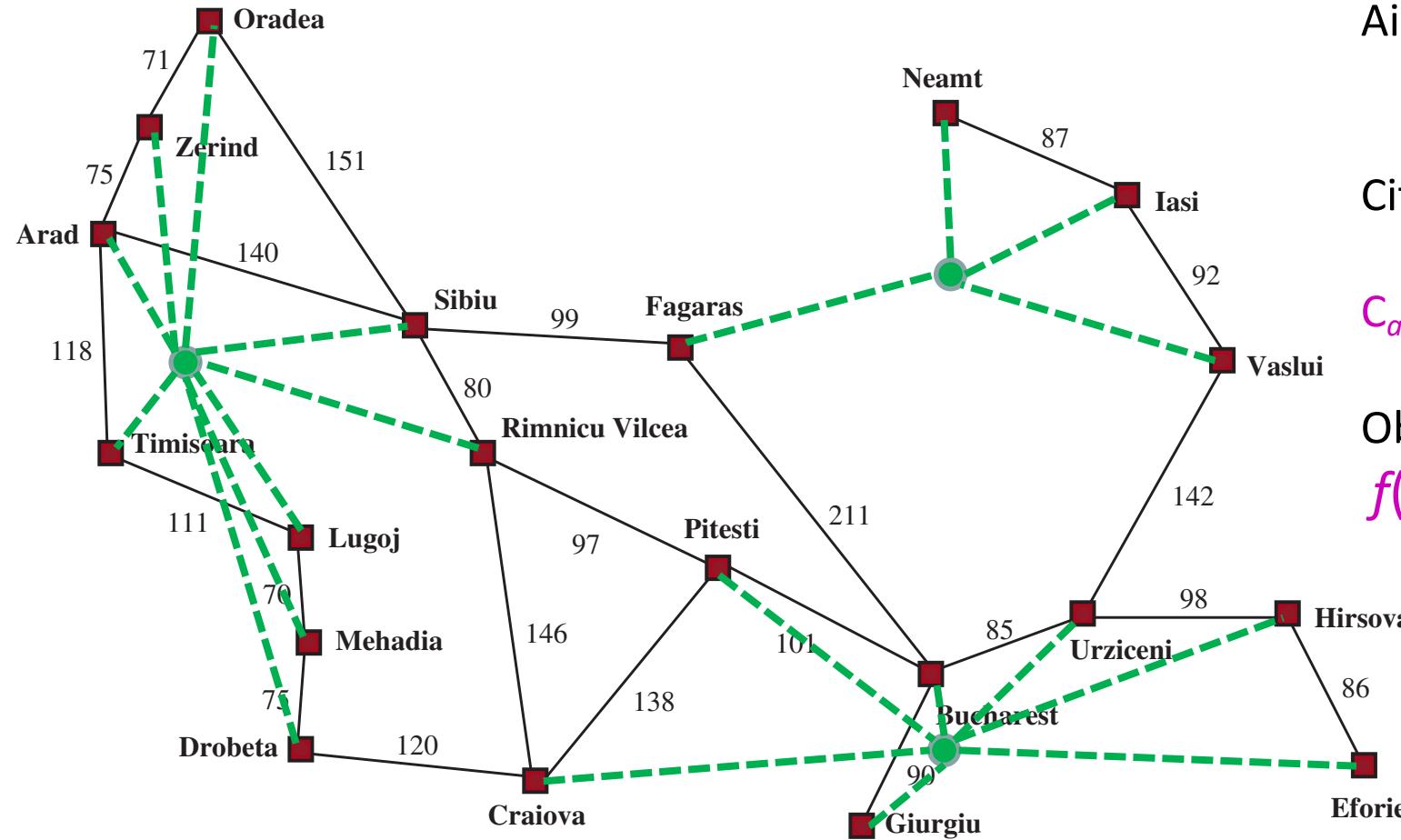
# Local search in continuous spaces

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# Example: Placing airports in Romania

Place 3 airports to minimize the sum of squared distances from each city to its nearest airport



Airport locations

$$\mathbf{x} = (x_1, y_1), (x_2, y_2), (x_3, y_3)$$

City locations  $(x_c, y_c)$

$C_a$  = cities closest to airport  $a$

Objective: minimize

$$f(\mathbf{x}) = \sum_a \sum_{c \in C_a} (x_a - x_c)^2 + (y_a - y_c)^2$$

# Handling a continuous state/action space

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## 1. Discretize it!

- Define a grid with increment  $\delta$ , use any of the discrete algorithms

## 2. Choose random perturbations to the state

- a. First-choice hill-climbing: keep trying until something improves the state
- b. Simulated annealing

## 3. Compute gradient of $f(\mathbf{x})$ analytically

# Finding extrema in continuous space

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- Gradient vector  $\nabla f(\mathbf{x}) = (\partial f / \partial x_1, \partial f / \partial y_1, \partial f / \partial x_2, \dots)^\top$
- For the airports,  $f(\mathbf{x}) = \sum_a \sum_{c \in C_a} (x_a - x_c)^2 + (y_a - y_c)^2$
- $\partial f / \partial x_1 = \sum_{c \in C_1} 2(x_1 - x_c)$
- At an extremum,  $\nabla f(\mathbf{x}) = 0$
- Can sometimes solve in closed form:  $x_1 = (\sum_{c \in C_1} x_c) / |C_1|$ 
  - Is this a local or global minimum of  $f$ ?
- If we can't solve  $\nabla f(\mathbf{x}) = 0$  in closed form...
  - Gradient descent:  $\mathbf{x} \leftarrow \mathbf{x} - \alpha \nabla f(\mathbf{x})$
- Huge range of algorithms for finding extrema using gradients

# Summary

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- Many configuration and optimization problems can be formulated as local search
- General families of algorithms:
  - Hill-climbing, continuous optimization
  - Simulated annealing (and other stochastic methods)
  - Local beam search: multiple interaction searches
  - Genetic algorithms: break and recombine states

Many machine learning algorithms are local searches